## Appendix G

## Verification of Fermi's golden rule

The goal of this Appendix is to check that Fermi's golden rule, used in Chapter 4 (see Lemma 4.2.3 and the proof of Proposition 4.2.1) does hold. We already know that from Kowalcyk, Martel and Muñoz, who gave a numerical verification of the condition. We shall prove here that it may actually be checked analytically.

## **G.1 Reductions**

We want to prove the following:

**Proposition G.1.1.** Let  $Y_2$  be the function defined in (4.22). Then  $\hat{Y}_2(\sqrt{2}) \neq 0$ .

Let us prove here the following reduction:

Lemma G.1.2. Define the integral

$$I = \int_{\mathbb{R}} e^{2ix\sqrt{2}} \left(\cosh^2 x + \frac{1}{2} + i\sqrt{2}\sinh x \cosh x\right) \frac{\sinh^3 x}{\cosh^7 x} dx.$$
(G.1)

If  $I \neq 0$ , then  $\hat{Y}_2(\sqrt{2}) \neq 0$ .

*Proof.* Recall that by (4.22),  $Y_2$  is given by

$$Y_2(x) = b(x, D_x)^* (\kappa(x)Y(x)^2),$$
(G.2)

where  $\kappa$ , Y are defined in (2.5)–(2.6) and  $b(x, D_x)$  has been introduced in Proposition A.1.1. Since  $b(x, D_x)^*$  preserves real-valued functions and odd functions, we see that  $Y_2$  is real valued and odd. By Proposition A.1.1,  $W_+^* = c(D_x)^* \circ b(x, D_x)^*$  (when acting on odd functions), where  $c(\xi)$  has modulus one. In order to show that  $\hat{Y}_2(\sqrt{2}) \neq 0$ , it thus suffices, according to (G.2), to prove that

$$\widehat{W_+^*}(\kappa(x)Y^2)(\sqrt{2}) \neq 0.$$

Recall that by (A.33) and (A.34),

$$W_{+}w = \frac{1}{2\pi} \int \psi_{+}(x,\xi)\hat{w}(\xi) d\xi$$
 (G.3)

with, by (A.35),

$$\psi_{+}(x,\xi) = \mathbb{1}_{\xi>0}T(\xi)f_{1}(x,\xi) + \mathbb{1}_{\xi<0}T(-\xi)f_{2}(x,-\xi),$$
(G.4)

where  $f_1, f_2$  are the two Jost functions introduced at the beginning of Appendix A

and  $T(\xi)$  is defined in (A.26). We thus get

$$\widehat{W_{+}^{*}(\kappa(x)Y^{2})}(\sqrt{2}) = \int \overline{\psi_{+}(x,\sqrt{2})}\kappa(x)Y(x)^{2} dx$$

$$= \overline{T(\sqrt{2})} \int \overline{f_{1}(x,\sqrt{2})}\kappa(x)Y(x)^{2} dx.$$
(G.5)

Since the transmission coefficient  $T(\sqrt{2})$  is non-zero, it remains to prove that if *I* given by (G.1) is different from zero, the same is true for the last integral in (G.5), or since  $\kappa Y^2$  is real valued, that

$$\int f_1(x,\sqrt{2})\kappa(x)Y(x)^2 \, dx \neq 0. \tag{G.6}$$

One checks by a direct computation that the function

$$e^{ix\sqrt{2}}\left(1+\frac{1}{2}\cosh^{-2}\left(\frac{x}{2}\right)+i\sqrt{2}\tanh\frac{x}{2}\right)(1+i\sqrt{2})^{-1}$$

solves (A.1) with  $\xi = \sqrt{2}$  and is equivalent to  $e^{ix\sqrt{2}}$  when x goes to  $+\infty$ , so that is the Jost function  $f_1(x, \sqrt{2})$ . If one plugs that value in (G.6) and uses the definition (2.5)–(2.6) of  $\kappa$ , Y, one obtains that (G.6) is just a non-zero multiple of (G.1). This concludes the proof.

## G.2 Proof of the non-vanishing of $\hat{Y}_2(\sqrt{2})$

In order to prove Proposition G.1.1, it remains to show that I given by (G.1) is non-zero. We compute explicitly this integral by residues.

Lemma G.2.1. One has

$$I = -\frac{2i\pi}{\sinh(\pi\sqrt{2})}.$$
 (G.7)

Proof. Denote

$$F(z) = e^{2iz\sqrt{2}} \left(\cosh^2 z + \frac{1}{2} + i\sqrt{2}\sinh z \cosh z\right) \frac{\sinh^3 z}{\cosh^7 z}.$$
 (G.8)

This is a meromorphic function on  $\mathbb{C}$  with poles  $z_k = i \frac{\pi}{2}(2k + 1), k \in \mathbb{Z}$ . Let  $\mathcal{R}_k$  be the rectangle in the complex plane with vertices at  $\pm k\pi$ ,  $\pm k\pi + ik\pi$  for k in  $\mathbb{N}^*$ . In order to show that

$$I = 2i\pi \sum_{k=0}^{+\infty} \operatorname{Res}(F, z_k)$$
(G.9)

we have to check that

$$\int_0^1 |F(\pm k\pi + itk\pi)| k \, dt \to 0, \quad \int_{-1}^1 |F(tk\pi + ik\pi)| k \, dt \to 0$$

when k goes to  $+\infty$ . As  $F(-\overline{z}) = -\overline{F(z)}$ , we just have to prove

$$k \int_0^1 \left( |F(k\pi + itk\pi)| + |F(tk\pi + ik\pi)| \right) dt \to 0$$
 (G.10)

when  $k \to +\infty$ . As F(z) is a sum of expressions of the form  $e^{2iz\sqrt{2}} \frac{\sinh^p z}{\cosh^q z}$  with p, q in  $\mathbb{N}$ , p < q, and bounding

$$\left|\frac{\sinh^{p} z}{\cosh^{q} z}\right| \le e^{(p-q)\operatorname{Re} z} \left|\frac{(1-e^{-2z})^{p}}{(1+e^{-2z})^{q}}\right|,$$

we obtain when  $0 \le t \le 1, k \in \mathbb{N}^*$ ,

$$\begin{aligned} |F(tk\pi + ik\pi)| &\leq e^{-2k\pi\sqrt{2} - tk\pi}, \\ |F(k\pi + itk\pi)| &\leq e^{-2k\pi\sqrt{2}t - k\pi} \frac{(1 + e^{-2k\pi})^p}{(1 - e^{-2k\pi})^q} \end{aligned}$$

from which (G.10) follows.

Using

 $\cosh(z_k + w) = i(-1)^k \sinh w$  and  $\sinh(z_k + w) = i(-1)^k \cosh w$ ,

we may write

$$F(z_k + w) = e^{-\pi\sqrt{2}(2k+1)}G(w),$$
  

$$G(w) = e^{2i\sqrt{2}w} \left(-\sinh^2 w + \frac{1}{2} - i\sqrt{2}\sinh w \cosh w\right) \frac{\cosh^3 w}{\sinh^7 w}$$

so that  $\operatorname{Res}(F, z_k) = e^{-\pi\sqrt{2}(2k+1)} \operatorname{Res}(G, 0)$ . One checks by direct computation that  $\operatorname{Res}(G, 0) = -2$ . It follows that (G.9) is given by

$$I = -4i\pi e^{-\pi\sqrt{2}} \sum_{k=0}^{+\infty} e^{-2\pi k\sqrt{2}} = -\frac{2i\pi}{\sinh(\pi\sqrt{2})}$$

whence (G.7).