Appendix G

Verification of Fermi's golden rule

The goal of this Appendix is to check that Fermi's golden rule, used in Chapter [4](#page--1-0) (see Lemma [4.2.3](#page--1-1) and the proof of Proposition [4.2.1\)](#page--1-2) does hold. We already know that from Kowalcyk, Martel and Muñoz, who gave a numerical verification of the condition. We shall prove here that it may actually be checked analytically.

G.1 Reductions

We want to prove the following:

Proposition G.1.1. Let Y_2 be the function defined in [\(4.22\)](#page--1-3). Then \hat{Y}_2 ($\overline{2}) \neq 0.$

Let us prove here the following reduction:

Lemma G.1.2. *Define the integral*

$$
I = \int_{\mathbb{R}} e^{2ix\sqrt{2}} \left(\cosh^2 x + \frac{1}{2} + i\sqrt{2} \sinh x \cosh x \right) \frac{\sinh^3 x}{\cosh^7 x} dx.
$$
 (G.1)

If $I \neq 0$ *, then* \hat{Y}_2 ($\overline{2}) \neq 0.$

Proof. Recall that by (4.22) , Y_2 is given by

$$
Y_2(x) = b(x, D_x)^*(\kappa(x)Y(x)^2),
$$
 (G.2)

where κ , Y are defined in [\(2.5\)](#page--1-4)–[\(2.6\)](#page--1-5) and $b(x, D_x)$ has been introduced in Proposi-tion [A.1.1.](#page--1-6) Since $b(x, D_x)^*$ preserves real-valued functions and odd functions, we see that Y_2 is real valued and odd. By Proposition [A.1.1,](#page--1-6) $W^*_{+} = c(D_x)^* \circ b(x, D_x)^*$ (when acting on odd functions), where $c(\xi)$ has modulus one. In order to show that $\hat{Y}_2(\sqrt{2}) \neq 0$, it thus suffices, according to ([G.2\)](#page-0-0), to prove that
 $\overline{W^*_+(\kappa(x)Y^2)}(\sqrt{2}) \neq 0$.

$$
\widehat{W^*_+(\kappa(x)Y^2)}(\sqrt{2}) \neq 0.
$$

Recall that by [\(A.33\)](#page--1-7) and [\(A.34\)](#page--1-8),

$$
W_{+}w = \frac{1}{2\pi} \int \psi_{+}(x,\xi)\hat{w}(\xi) d\xi
$$
 (G.3)

with, by $(A.35)$,

$$
\psi_{+}(x,\xi) = \mathbb{1}_{\xi>0}T(\xi)f_{1}(x,\xi) + \mathbb{1}_{\xi<0}T(-\xi)f_{2}(x,-\xi), \tag{G.4}
$$

where f_1 , f_2 are the two Jost functions introduced at the beginning of [A](#page--1-0)ppendix A

and
$$
T(\xi)
$$
 is defined in (A.26). We thus get
\n
$$
\overbrace{W_+^*(\kappa(x)Y^2)}^{*}(\sqrt{2}) = \int \overbrace{\psi_+(x, \sqrt{2})}^{*} \kappa(x) Y(x)^2 dx
$$
\n
$$
= \overbrace{T(\sqrt{2})}^{*} \int \overbrace{f_1(x, \sqrt{2})}^{*} \kappa(x) Y(x)^2 dx.
$$
\n(G.5)

Since the transmission coefficient $T(\sqrt{2})$ is non-zero, it remains to prove that if I given by $(G.1)$ is different from zero, the same is true for the last integral in $(G.5)$, or since κY^2 is real valued, that

$$
\int f_1(x, \sqrt{2})\kappa(x)Y(x)^2 dx \neq 0.
$$
 (G.6)

One checks by a direct computation that the function

$$
e^{ix\sqrt{2}}\left(1+\frac{1}{2}\cosh^{-2}\left(\frac{x}{2}\right)+i\sqrt{2}\tanh\frac{x}{2}\right)(1+i\sqrt{2})^{-1}
$$

solves [\(A.1\)](#page--1-11) with $\xi =$ $\overline{2}$ and is equivalent to $e^{ix\sqrt{2}}$ when x goes to $+\infty$, so that is solves (A.1) with $\xi = \sqrt{2}$ and is equivalent to $e^{i\lambda \sqrt{2}}$ when x goes to $+\infty$, so that is the Jost function $f_1(x, \sqrt{2})$. If one plugs that value in [\(G.6\)](#page-1-1) and uses the definition (2.5) – (2.6) of κ , Y, one obtains that $(G.6)$ is just a non-zero multiple of $(G.1)$. This concludes the proof.

G.2 Proof of the non-vanishing of \hat{Y}_2 (p 2/

In order to prove Proposition [G.1.1,](#page-0-2) it remains to show that I given by $(G.1)$ is nonzero. We compute explicitly this integral by residues.

Lemma G.2.1. *One has*

$$
I = -\frac{2i\pi}{\sinh(\pi\sqrt{2})}.
$$
 (G.7)

Proof. Denote

$$
F(z) = e^{2iz\sqrt{2}} \left(\cosh^2 z + \frac{1}{2} + i\sqrt{2} \sinh z \cosh z \right) \frac{\sinh^3 z}{\cosh^7 z}.
$$
 (G.8)

This is a meromorphic function on $\mathbb C$ with poles $z_k = i \frac{\pi}{2} (2k + 1)$, $k \in \mathbb Z$. Let $\mathcal R_k$ be the rectangle in the complex plane with vertices at $\pm k\pi$, $\pm k\pi + ik\pi$ for k in N^{*}. In order to show that

$$
I = 2i\pi \sum_{k=0}^{+\infty} \text{Res}(F, z_k)
$$
 (G.9)

we have to check that

$$
\int_0^1 |F(\pm k\pi + itk\pi)|k\,dt \to 0, \quad \int_{-1}^1 |F(tk\pi + ik\pi)|k\,dt \to 0
$$

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when k goes to $+\infty$. As $F(-\bar{z}) = -\overline{F(z)}$, we just have to prove

$$
k\int_0^1 \left(|F(k\pi + itk\pi)| + |F(tk\pi + ik\pi)| \right) dt \to 0 \tag{G.10}
$$

when $k \to +\infty$. As $F(z)$ is a sum of expressions of the form $e^{2iz\sqrt{2}} \frac{\sinh p z}{\cosh q z}$ with p; q in $\mathbb{N}, p < q$, and bounding

$$
\left|\frac{\sinh^p z}{\cosh^q z}\right| \le e^{(p-q)\operatorname{Re} z} \left|\frac{(1-e^{-2z})^p}{(1+e^{-2z})^q}\right|,
$$

we obtain when $0 \le t \le 1, k \in \mathbb{N}^*$,

$$
|F(t k \pi + i k \pi)| \le e^{-2k \pi \sqrt{2} - t k \pi},
$$

$$
|F(k \pi + i t k \pi)| \le e^{-2k \pi \sqrt{2}t - k \pi} \frac{(1 + e^{-2k \pi})^p}{(1 - e^{-2k \pi})^q}
$$

from which [\(G.10\)](#page-2-0) follows.

Using

$$
\cosh(z_k + w) = i(-1)^k \sinh w \quad \text{and} \quad \sinh(z_k + w) = i(-1)^k \cosh w,
$$

we may write

$$
F(z_k + w) = e^{-\pi\sqrt{2}(2k+1)}G(w),
$$

\n
$$
G(w) = e^{2i\sqrt{2}w} \left(-\sinh^2 w + \frac{1}{2} - i\sqrt{2}\sinh w \cosh w\right) \frac{\cosh^3 w}{\sinh^7 w}
$$

 \overline{a}

so that Res $(F, z_k) = e^{-\pi \sqrt{2}(2k+1)}$ Res $(G, 0)$. One checks by direct computation that $Res(G, 0) = -2$. It follows that [\(G.9\)](#page-1-2) is given by

$$
I = -4i\pi e^{-\pi\sqrt{2}} \sum_{k=0}^{+\infty} e^{-2\pi k\sqrt{2}} = -\frac{2i\pi}{\sinh(\pi\sqrt{2})}
$$

whence $(G.7)$.