

UNIVERSAL NORMS OF  $p$ -UNITS  
IN SOME NON-COMMUTATIVE GALOIS EXTENSIONS

*dedicated to Professor John Coates on the occasion of his 60th birthday*

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1 INTRODUCTION.

Fix a prime number  $p$ . Let  $F$  be a finite extension of  $\mathbb{Q}$  and let  $F_\infty$  be an algebraic extension of  $F$ . We will consider the  $\mathbb{Z}_p$ -submodule  $U(F_\infty/F)$  of  $O_F[1/p]^\times \otimes \mathbb{Z}_p$  defined by

$$U(F_\infty/F) = \text{Image}(\varprojlim_L (O_L[1/p]^\times \otimes \mathbb{Z}_p) \rightarrow O_F[1/p]^\times \otimes \mathbb{Z}_p),$$

where  $L$  ranges over all finite extensions of  $F$  contained in  $F_\infty$  and where the inverse limit is taken with respect to the norm maps.

In the case  $F_\infty$  is the cyclotomic  $\mathbb{Z}_p$ -extension of  $F$ , the understanding of  $U(F_\infty/F)$  is related to profound aspects in Iwasawa theory studied by Coates and other people, as we will shortly recall in §3. Concerning bigger Galois extensions  $F_\infty/F$ , the following result is (essentially) contained in Corollary 3.23 of Coates and Sujatha [4] (see §3 of this paper).

*Assume  $F_\infty/F$  is a Galois extension and  $\text{Gal}(F_\infty/F)$  is a commutative  $p$ -adic Lie group. Assume also that there is only one place of  $F$  lying over  $p$ . Then  $U(F_\infty/F)$  is of finite index in  $O_F[1/p]^\times \otimes \mathbb{Z}_p$ .*

We ask what happens in the case of non-commutative Lie extensions.

The purpose of this paper is to prove the following theorem, which was conjectured by Coates.

THEOREM 1.1. *Let  $a_1, \dots, a_r \in F$ , and let*

$$F_n = F(\zeta_{p^n}, a_1^{1/p^n}, \dots, a_r^{1/p^n}), \quad F_\infty = \cup_{n \geq 1} F_n,$$

where  $\zeta_{p^n}$  denotes a primitive  $p^n$ -th root of 1. Let  $F^{\text{cyc}}$  be the cyclotomic  $\mathbb{Z}_p$ -extension of  $F$ . Then:

- (1) *The quotient group  $U(F^{\text{cyc}}/F)/U(F_\infty/F)$  is finite.*  
 (2) *If there is only one place of  $F$  lying over  $p$ , then  $U(F_\infty/F)$  is of finite index in  $O_F[1/p]^\times \otimes \mathbb{Z}_p$ .*

An interesting point in the proof is that we use the finiteness of the higher  $K$ -groups  $K_{2n}(O_F)$  for  $n \geq 1$ , for this result on the multiplicative group  $K_1$ .

The author does not have any result on  $\varprojlim_L O_F[1/S]^\times$  without  $\otimes \mathbb{Z}_p$ .

The plan of this paper is as follows. In §2, we review basic facts. In §3, we review some known results in the case  $F_\infty/F$  is an abelian extension. In §4 and §5, we prove Theorem 1.1 (we will prove a slightly stronger result Theorem 5.1).

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## 2 BASIC FACTS.

We prepare basic facts related to  $U(F_\infty/F)$ . Most materials appear in Coates and Sujatha [4]. We principally follow their notation.

2.1. Let  $p$  be a prime number, and let  $F$  be a finite extension of  $\mathbb{Q}$ . In the case  $p = 2$ , we assume  $F$  is totally imaginary, for simplicity.

Let  $F_\infty$  be a Galois extension of  $F$  such that the Galois group  $G = \text{Gal}(F_\infty/F)$  is a  $p$ -adic Lie group and such that only finitely many finite places of  $F$  ramify in  $F_\infty$ .

Let  $\mathbb{Z}_p[[G]]$  be the completed group ring of  $G$ , that is, the inverse limit of the group rings  $\mathbb{Z}_p[G/U]$  where  $U$  ranges over all open subgroups of  $G$ .

2.2. We define  $\mathbb{Z}_p[[G]]$ -modules

$$\mathcal{Z}^i(F_\infty) \quad \text{and} \quad \mathcal{Z}_S^i(F_\infty) \quad (i \geq 0)$$

where  $S$  is a finite set of finite places of  $F$  containing all places of  $F$  lying over  $p$ . Let

$$\mathcal{Z}_S^i(F_\infty) = \varprojlim_L H^i(O_L[1/S], \mathbb{Z}_p(1))$$

where  $L$  ranges over all finite extensions of  $F$  contained in  $F_\infty$ ,  $O_L[1/S]$  denotes the subring of  $L$  consisting of all elements which are integral at any finite place of  $L$  not lying over  $S$ , and  $H^i$  is the étale cohomology. In the case  $S$  is the set of all places of  $F$  lying over  $p$ , we denote  $\mathcal{Z}_S^i(F_\infty)$  simply by  $\mathcal{Z}^i(F_\infty)$ .

Since

$$(1) \quad H^1(O_L[1/S], \mathbb{Z}_p(1)) \simeq O_L[1/S]^\times \otimes \mathbb{Z}_p$$

by Kummer theory,

$$(2) \quad \mathcal{Z}_S^1(F_\infty) \simeq \varprojlim_L (O_L[1/S]^\times \otimes \mathbb{Z}_p).$$

Note that  $H^i(O_L[1/S], \mathbb{Z}_p(1))$  are finitely generated  $\mathbb{Z}_p$ -modules and  $\mathcal{Z}^i(F_\infty)$  are finitely generated  $\mathbb{Z}_p[[G]]$ -modules. These modules are zero if  $i \geq 3$  for the reason of cohomological dimension (here in the case  $p = 2$ , we use our assumption  $F$  is totally imaginary).

2.3. Let  $U_S(F_\infty/F)$  be the image of  $\varprojlim_L (O_L[1/S]^\times \otimes \mathbb{Z}_p)$  in  $O_F[1/S]^\times \otimes \mathbb{Z}_p$ . Here  $L$  ranges over all finite extensions of  $F$  contained in  $F_\infty$ .

The main points of the preparation in this section are the isomorphisms (1b) and (2b) below.

(1) Assume  $S$  contains all finite places of  $F$  which ramify in  $F_\infty$ . Then there are canonical isomorphisms

$$(1a) \quad H_0(G, \mathcal{Z}_S^2(F_\infty)) \simeq H^2(O_F[1/S], \mathbb{Z}_p(1)),$$

$$(1b) \quad H_1(G, \mathcal{Z}_S^2(F_\infty)) \simeq (O_F[1/S]^\times \otimes \mathbb{Z}_p)/U_S(F_\infty/F).$$

(2) Assume  $F_\infty$  contains the cyclotomic  $\mathbb{Z}_p$ -extension  $F^{\text{cyc}}$ . Then we have canonical isomorphisms

$$(2a) \quad H_0(G, \mathcal{Z}^2(F_\infty/F)) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \simeq H^2(O_F[1/p], \mathbb{Z}_p(1)) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p,$$

$$(2b) \quad H_1(G, \mathcal{Z}^2(F_\infty)) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \simeq (O_F[1/p]^\times \otimes \mathbb{Z}_p)/U(F_\infty/F) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p.$$

Here  $H_m(G, ?) = \text{Tor}_m^{\mathbb{Z}_p[[G]]}(\mathbb{Z}_p, ?)$  denotes the  $G$ -homology. Note that  $H_m(G, M)$  are finitely generated  $\mathbb{Z}_p$ -modules for any finitely generated  $\mathbb{Z}_p[[G]]$ -module  $M$ .

(1a) and (1b) follow from the spectral sequence

$$E_2^{i,j} = H_{-i}(G, \mathcal{Z}_S^j(F_\infty)) \Rightarrow E_\infty^i = H^i(O_F[1/S], \mathbb{Z}_p(1)),$$

the isomorphisms 2.2 (1) (2), and the fact  $\mathcal{Z}_S^j(F_\infty) = 0$  for  $j \geq 3$ . The above spectral sequence is given in [9] Proposition 8.4.8.3 in the case  $G$  is commutative. In general, we have the above spectral sequence by [6] 1.6.5 (3).

The proofs of (2a) and (2b) are given in 2.6 later.

2.4. By Kummer theory and by the well known structure theorem of the Brauer group of a global field, we have an exact sequence

$$(1) \quad 0 \rightarrow \text{Pic}(O_F[1/S])\{p\} \rightarrow H^2(O_F[1/S], \mathbb{Z}_p(1)) \rightarrow \bigoplus_{v \in S} \mathbb{Z}_p \xrightarrow{\text{sum}} \mathbb{Z}_p \rightarrow 0,$$

where  $\{p\}$  denotes the  $p$ -primary part. Let

$$Y_S(F_\infty) = \varprojlim_L \text{Pic}(O_L[1/S])\{p\},$$

where  $L$  ranges over all finite extensions of  $F$  contained in  $F_\infty$ . In the case  $S$  is the set of all places of  $F$  lying over  $p$ , we denote  $Y_S(F_\infty)$  simply by  $Y(F_\infty)$ . Then the exact sequences (1) with  $F$  replaced by  $L$  give an exact sequence of  $\mathbb{Z}_p[[G]]$ -modules

$$(2) \quad 0 \rightarrow Y_S(F_\infty) \rightarrow \mathcal{Z}_S^2(F_\infty) \rightarrow \bigoplus_{v \in S} \mathbb{Z}_p[[G]] \otimes_{\mathbb{Z}_p[[G_v]]} \mathbb{Z}_p \rightarrow \mathbb{Z}_p \rightarrow 0$$

where for each  $v \in S$ ,  $G_v \subset G$  is the decomposition group of a place of  $F_\infty$  lying over  $v$ .

If  $S$  contains all finite place of  $F$  which ramify in  $F_\infty$ , the composite homomorphism

$$(3) \quad (O_F[1/S]^\times \otimes \mathbb{Z}_p)/U(F_\infty/F) \simeq H_1(G, \mathcal{Z}_S^2(F_\infty)) \\ \rightarrow \bigoplus_{v \in S} H_1(G, \mathbb{Z}_p[[G]] \otimes_{\mathbb{Z}_p[[G_v]]} \mathbb{Z}_p) = \bigoplus_{v \in S} H_1(G_v, \mathbb{Z}_p)$$

induced by (1b) and (2) coincides with the homomorphism induced by the reciprocity maps

$$F_v^\times \rightarrow G_v^{\text{ab}}(p) \simeq H_1(G_v, \mathbb{Z}_p)$$

of local class field theory, where  $G_v^{\text{ab}}$  denotes the abelian quotient of  $G_v$  and  $(p)$  means the pro- $p$  part.

2.5. Assume  $F_\infty \supset F^{\text{cyc}}$ . Then we have isomorphisms

$$\mathcal{Z}^1(F_\infty) \xrightarrow{\simeq} \mathcal{Z}_S^1(F_\infty), \quad Y(F_\infty) \xrightarrow{\simeq} Y_S(F_\infty).$$

The first isomorphism shows  $U(F_\infty/F) = U_S(F_\infty/F)$ .

In fact, for each finite extension  $L$  of  $F$  contained in  $F_\infty$ , we have an exact sequence

$$0 \rightarrow O_L[1/p]^\times \otimes \mathbb{Z}_p \rightarrow O_L[1/S]^\times \otimes \mathbb{Z}_p \rightarrow \\ \rightarrow \bigoplus_w \mathbb{Z}_p \rightarrow \text{Pic}(O_L[1/p])\{p\} \rightarrow \text{Pic}(O_L[1/S])\{p\} \rightarrow 0$$

where  $w$  ranges over all places of  $L$  lying over  $S$  but not lying over  $p$ . If  $L'$  is a finite extension of  $F$  such that  $L \subset L' \subset F_\infty$ , and if  $w'$  is a place of  $L'$  lying over  $w$ , the transition map from  $\mathbb{Z}_p$  at  $w'$  to  $\mathbb{Z}_p$  at  $w$  is the multiplication by the degree of the residue extension of  $w'/w$ . Since the residue extension of  $v$  in  $F^{\text{cyc}}/F$  for  $v$  not lying over  $p$  is a  $\mathbb{Z}_p$ -extension, this shows that the inverse limit of  $\bigoplus_w \mathbb{Z}_p$  for varying  $L$  is zero. Hence we have the above isomorphisms.

2.6. We prove (2a) (2b) of 2.3. Take  $S$  containing all finite places of  $F$  which ramify in  $F_\infty$ . Let  $T$  be the set of all elements of  $S$  which do not lie over  $p$ .

By 2.4 (2) and by  $Y(F_\infty) \xrightarrow{\sim} Y_S(F_\infty)$  in 2.5, we have an exact sequence of  $\mathbb{Z}_p[[G]]$ -modules

$$0 \rightarrow \mathcal{Z}^2(F_\infty) \rightarrow \mathcal{Z}_S^2(F_\infty) \rightarrow \bigoplus_{v \in T} \mathbb{Z}_p[[G]] \otimes_{\mathbb{Z}_p[[G_v]]} \mathbb{Z}_p \rightarrow 0.$$

This gives a long exact sequence

$$\begin{aligned} \cdots \rightarrow H_m(G, \mathcal{Z}^2(F_\infty)) &\rightarrow H_m(G, \mathcal{Z}_S^2(F_\infty)) \rightarrow \\ &\rightarrow \bigoplus_{v \in T} H_m(G_v, \mathbb{Z}_p) \rightarrow H_{m-1}(G, \mathcal{Z}^2(F_\infty)) \rightarrow \cdots . \end{aligned}$$

Let  $G^{\text{cyc}} = \text{Gal}(F^{\text{cyc}}/F)$  and for  $v \in T$ , let  $G_v^{\text{cyc}}$  be the image of  $G_v$  in  $G^{\text{cyc}}$ . Then  $v$  is unramified in  $F^{\text{cyc}}/F$ , and we have a canonical isomorphism  $G_v^{\text{cyc}} \simeq \mathbb{Z}_p$  which sends the Frobenius of  $v$  in  $G_v^{\text{cyc}}$  to  $1 \in \mathbb{Z}_p$ . Let  $H_v$  ( $v \in T$ ) be the kernel of  $G_v \rightarrow G_v^{\text{cyc}}$ . Since  $G$  is a  $p$ -adic Lie group and since the characteristic of the residue field of  $v$  is different from  $p$ ,  $H_v$  is of dimension  $\leq 1$  as a  $p$ -adic Lie group. Furthermore, if  $H_v$  is infinite, for an element  $\sigma_v$  of  $G_v$  whose image in  $G_v^{\text{cyc}}$  is the Frobenius of  $v$ , the inner automorphism on  $H_v$  by  $\sigma_v$  is of infinite order as is seen from the usual description of the tame quotient of the absolute Galois group of  $F_v$ . These prove

(1) For  $v \in T$ , the kernel and the cokernel of the canonical map  $H_m(G_v, \mathbb{Z}_p) \rightarrow H_m(G_v^{\text{cyc}}, \mathbb{Z}_p)$  are finite for any  $m$ .

Since the composition  $O_F[1/S]^\times \rightarrow H_1(G, \mathcal{Z}_S^2(F_\infty)) \rightarrow H_1(G_v^{\text{cyc}}, \mathbb{Z}_p) = G_v^{\text{cyc}} \simeq \mathbb{Z}_p$  for  $v \in T$  coincides with the  $v$ -adic valuation  $O_F[1/S]^\times \rightarrow \mathbb{Z}$ , (1) shows that the cokernel of  $H_1(G, \mathcal{Z}_S^2(F_\infty)) \rightarrow \bigoplus_{v \in T} H_1(G_v, \mathbb{Z}_p)$  is finite. Hence by the above long exact sequence, we have the following commutative diagram with exact rows in which the kernel of the first arrow of each row is finite.

$$\begin{array}{ccccccc} H_0(G, \mathcal{Z}^2(F_\infty)) & \rightarrow & H_0(G, \mathcal{Z}_S^2(F_\infty)) & \rightarrow & \bigoplus_{v \in T} \mathbb{Z}_p & \rightarrow & 0 \\ & & \downarrow & & \downarrow & & \\ H^2(O_F[1/p], \mathbb{Z}_p(1)) & \rightarrow & H^2(O_F[1/S], \mathbb{Z}_p(1)) & \rightarrow & \bigoplus_{v \in T} \mathbb{Z}_p & \rightarrow & 0 \end{array}$$

By this diagram and by 2.3 (1a), we have 2.3 (2a).

We next prove 2.3 (2b). By the above (1),  $H_2(G_v, \mathbb{Z}_p)$  is finite for  $v \in T$ . By this and by the case  $m = 1$  of the above (1), we see that the complex  $0 \rightarrow H_1(G, \mathcal{Z}^2(F_\infty)) \rightarrow H_1(G, \mathcal{Z}_S^2(F_\infty)) \rightarrow \bigoplus_{v \in T} H_1(G_v^{\text{cyc}}, \mathbb{Z}_p)$  has finite homology groups. By 2.3 (1b) and by  $U(F_\infty/F) = U_S(F_\infty/F)$  (2.5), the kernel of the last arrow of this complex is isomorphic to  $(O_F[1/p]^\times \otimes \mathbb{Z}_p)/U(F_\infty/F)$ . This proves 2.3 (2b).

### 3 ABELIAN EXTENSIONS (REVIEW).

In this section, we review the proof of the following result of Coates and Sujatha ([4] Cor. 3.23), and then recall some known facts on  $U(F^{\text{cyc}}/F)$ .

**PROPOSITION 3.1.** *Assume  $F_\infty/F$  is Galois and  $\text{Gal}(F_\infty/F)$  is a commutative  $p$ -adic Lie group. Assume further that there is only one place of  $F$  lying over  $p$ . Then:*

- (1)  $U(F_\infty/F)$  is of finite index in  $O_F[1/p]^\times \otimes \mathbb{Z}_p$ .  
 (2)  $H_m(G, Y(F_\infty))$  and  $H_m(G, \mathcal{Z}^2(F_\infty))$  are finite for any  $m$ .

In fact, this result was written in [4] in the situation  $\text{Gal}(F_\infty/F) \simeq \mathbb{Z}_p^2$ . This was because this result appeared in [4] in the study of the arithmetic of a  $\mathbb{Z}_p^2$ -extension generated by  $p$ -power division points of an elliptic curve with complex multiplication. We just check here that the method of their proof works in this generality.

*Proof.* We may (and do) assume  $F_\infty \supset F^{\text{cyc}}$ . In the case  $p = 2$ , to apply our preparation in §2, we assume  $F$  is totally imaginary without a loss of generality (we may replace  $F$  by a finite extension of  $F$  having only one place lying over  $p$  for the proof of 3.1).

(1) follows from the finiteness of  $H_1(G, \mathcal{Z}^2(F_\infty))$  in (2) by 2.3 (2b). We prove (2).

We have  $H_0(G, \mathcal{Z}^2(F_\infty)) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \simeq H^2(O_F[1/p], \mathbb{Z}_p(1)) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$  by 2.3 (2a), and  $H^2(O_F[1/p], \mathbb{Z}_p(1))$  is finite by the exact sequence 2.4 (1) and by the assumption that there is only one place of  $F$  lying over  $p$ . Hence  $H_0(G, \mathcal{Z}^2(F_\infty)) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p = 0$ . This shows that  $H_m(G, \mathcal{Z}^2(F_\infty)) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p = 0$  for any  $m$  (Serre [11]). (Here the assumption  $G$  is commutative is essential. See 5.6.) This proves  $H_m(G, \mathcal{Z}^2(F_\infty))$  is finite for any  $m$ .

Let  $v$  be the unique place of  $F$  lying over  $p$ . Then by class field theory, the decomposition group  $G_v$  of  $v$  in  $G$  is of finite index in  $G$ . By the exact sequence

$$H_2(G_v, \mathbb{Z}_p) \rightarrow H_2(G, \mathbb{Z}_p) \rightarrow H_1(G, \mathcal{Z}^2(F_\infty)/Y(F_\infty)) \rightarrow H_1(G_v, \mathbb{Z}_p) \rightarrow H_1(G, \mathbb{Z}_p)$$

obtained from 2.4 (2), this shows that  $H_1(G, \mathcal{Z}^2(F_\infty)/Y(F_\infty))$  and hence the kernel of  $H_0(G, Y(F_\infty)) \rightarrow H_0(G, \mathcal{Z}^2(F_\infty))$  are finite. Hence  $H_0(G, Y(F_\infty))$  is finite, and by Serre [11],  $H_m(G, Y(F_\infty))$  is finite for any  $m$ .  $\square$

3.2. In the rest of this section, we recall some known facts about  $U(F^{\text{cyc}}/F)$ . Let  $G^{\text{cyc}} = \text{Gal}(F^{\text{cyc}}/F)$ . For a place  $v$  of  $F$  lying over  $p$ , let  $G_v^{\text{cyc}} \subset G^{\text{cyc}}$  be the decomposition group of  $v$  (so  $G_v^{\text{cyc}} \simeq \mathbb{Z}_p$ ). Let  $(\oplus_{v|p} G_v^{\text{cyc}})^0$  be the kernel of the canonical map  $\oplus_{v|p} G_v^{\text{cyc}} \rightarrow G^{\text{cyc}}$ .

Let

$$\alpha_F : (O_F[1/p]^\times \otimes \mathbb{Z}_p)/U(F^{\text{cyc}}/F) \rightarrow (\oplus_{v|p} G_v^{\text{cyc}})^0$$

be the homomorphism induced by the reciprocity maps of local fields  $F_v$ , which appeared in 2.4 (3).

It is known that the following conditions (1) - (3) are equivalent.

- (1)  $\text{Ker}(\alpha_F)$  is finite. (That is,  $U(F^{\text{cyc}}/F)$  is of finite index in the kernel of  $O_F[1/p]^\times \otimes \mathbb{Z}_p \rightarrow (\oplus_{v|p} G_v^{\text{cyc}})^0$ .)  
 (2)  $\text{Coker}(\alpha_F)$  is finite.  
 (3)  $H_0(G^{\text{cyc}}, Y(F^{\text{cyc}}))$  is finite.

The equivalence of (1)-(3) is proved as follows. Though this is not at all an essential point, in the case  $p = 2$ , to apply our preparation in §2, we assume  $F$  is totally imaginary without a loss of generality (we can replace  $F$  by a finite extension of  $F$  for the proof of the equivalence). Let  $\sigma$  be a topological generator of  $G^{\text{cyc}}$ . Then  $H_0(G^{\text{cyc}}, \mathcal{Z}^2(F^{\text{cyc}}))$  is isomorphic to the cokernel of  $\sigma - 1 : \mathcal{Z}^2(F^{\text{cyc}}) \rightarrow \mathcal{Z}^2(F^{\text{cyc}})$  and  $H_1(G^{\text{cyc}}, \mathcal{Z}^2(F^{\text{cyc}}))$  is isomorphic to the kernel of it. Since  $\mathcal{Z}^2(F^{\text{cyc}})$  is a torsion  $\mathbb{Z}_p[[G^{\text{cyc}}]]$ -module, this shows that the  $\mathbb{Z}_p$ -rank of  $H_1(G^{\text{cyc}}, \mathcal{Z}^2(F^{\text{cyc}})) \simeq (O_F[1/p] \otimes \mathbb{Z}_p)/U(F^{\text{cyc}}/F)$  is equal to the  $\mathbb{Z}_p$ -rank of  $H_0(G^{\text{cyc}}, \mathcal{Z}^2(F^{\text{cyc}})) \simeq H^2(O_F[1/p], \mathbb{Z}_p(1))$  which is equal to the  $\mathbb{Z}_p$ -rank of  $(\oplus_{v|p} G_v^{\text{cyc}})^0$  by 2.4 (1). Hence (1) and (2) are equivalent. The exact sequence 2.4 (2) (take  $F_\infty = F^{\text{cyc}}$  and  $S$  to be the set of all places of  $F$  lying over  $p$ ) shows that  $\text{Coker}(\alpha_F)$  is isomorphic to the kernel of  $H_0(G^{\text{cyc}}, Y(F^{\text{cyc}})) \rightarrow H_0(G^{\text{cyc}}, \mathcal{Z}^2(F^{\text{cyc}})) = H^2(O_F[1/p], \mathbb{Z}_p(1))$ . The image of the last map is  $\text{Pic}(O_F[1/p])\{p\}$  by 2.4 (1) (2), and hence is finite. Hence  $\text{Coker}(\alpha_F)$  is finite if and only if  $H_0(G^{\text{cyc}}, Y(F^{\text{cyc}}))$  is finite.

3.3. Greenberg [7] proved that  $H_0(G^{\text{cyc}}, Y(F^{\text{cyc}}))$  is finite if  $F$  is an abelian extension of  $\mathbb{Q}$  (hence all (1) - (3) in 3.2 are satisfied in this case).

3.4. In the case  $F$  is totally real, by Coates [2] Theorem 1.13,  $H_0(G^{\text{cyc}}, Y(F^{\text{cyc}}))$  is finite if Leopoldt conjecture for  $F$  is true.

3.5. Let  $F$  be a CM field. Let  $F^+$  be the real part of  $F$ , and let  $H_0(G^{\text{cyc}}, Y(F^{\text{cyc}}))^{\pm} \subset H_0(G^{\text{cyc}}, Y(F^{\text{cyc}}))$  be the  $\pm$ -part with respect to the action of the complex conjugation in  $\text{Gal}(F/F^+)$ . Then by the above result of Coates,  $H_0(G^{\text{cyc}}, Y(F^{\text{cyc}}))^+$  is finite if Leopoldt conjecture for  $F^+$  is true. On the other hand, Conjecture 2.2 in Coates and Lichtenbaum [3] says that  $H_0(G^{\text{cyc}}, Y(F^{\text{cyc}}))^-$  is finite. In [8], Gross conjectured that the kernel and the cokernel of the  $(-)$ -part  $\alpha_F$  of  $\alpha_F$  is finite (this finiteness is also a consequence of Conjecture 2.2 of [3]), and formulated a conjecture which relates  $\alpha_F^-$  to the leading terms of the Taylor expansions at  $s = 0$  of  $p$ -adic Artin  $L$ -functions.

Thus known conjectures support that the equivalent conditions (1) - (3) in 3.2 are satisfied by any CM field  $F$ .

A natural question arises: Are (1) - (3) in 3.2 true for any number field  $F$ ?

#### 4 A RESULT ON TOR MODULES.

The purpose of this section is to prove Proposition 4.2 below.

4.1. For a compact  $p$ -adic Lie group  $G$ , for a  $\mathbb{Z}_p[[G]]$ -module  $T$ , and for a continuous homomorphism  $G \rightarrow \mathbb{Z}_p^\times$ , let  $T(\chi)$  be the  $\mathbb{Z}_p[[G]]$ -module whose underlying abelian group is that of  $T$  and on which  $\mathbb{Z}_p[[G]]$  acts by  $\mathbb{Z}_p[[G]] \rightarrow \mathbb{Z}_p[[G]] \rightarrow \text{End}(T)$ , where the first arrow is the automorphism  $\sigma \mapsto \chi(\sigma)\sigma$  ( $\sigma \in G$ ) of the topological ring  $\mathbb{Z}_p[[G]]$  and the second arrow is the original action of  $\mathbb{Z}_p[[G]]$  on  $T$ . We call  $T(\chi)$  the twist of  $T$  by  $\chi$ .

PROPOSITION 4.2. *Let  $G$  be a compact  $p$ -adic Lie group, let  $H$  be a closed normal subgroup of  $G$ , and assume that we are given a finite family of closed normal subgroups  $H_i$  ( $0 \leq i \leq r$ ) of  $G$  such that  $\{1\} = H_0 \subset H_1 \subset \cdots \subset H_r = H$ ,  $H_i/H_{i-1} \simeq \mathbb{Z}_p$  for  $1 \leq i \leq r$  and such that the action of  $G$  on  $H_i/H_{i-1}$  by inner automorphisms is given by a homomorphism  $\chi_i : G/H \rightarrow \mathbb{Z}_p^\times$ .*

*Let  $M$  be a finitely generated  $\mathbb{Z}_p[[G]]$ -module, and let  $M'$  be a subquotient of the  $\mathbb{Z}_p[[G]]$ -module  $M$ . Let  $m \geq 0$ . Then there is a finite family  $(S_i)_{1 \leq i \leq k}$  of  $\mathbb{Z}_p[[G/H]]$ -submodules of  $H_m(H, M')$  satisfying the following (i) and (ii).*

(i)  $0 = S_0 \subset S_1 \subset \cdots \subset S_k = H_m(H, M')$ .

(ii) *For each  $i$  ( $1 \leq i \leq k$ ), there are a subquotient  $T$  of the  $\mathbb{Z}_p[[G/H]]$ -module  $H_0(H, M)$  and a family  $(s(j))_{1 \leq j \leq r}$  of non-negative integers  $s(j)$  such that  $\#\{j | s(j) > 0\} \geq m$  and such that  $S_i/S_{i-1}$  is isomorphic to the twist  $T(\prod_{1 \leq j \leq k} \chi_j^{s(j)})$  of  $T$ .*

Note

$$H_m(H, M) = \mathrm{Tor}_m^{\mathbb{Z}_p[[H]]}(\mathbb{Z}_p, M) = \mathrm{Tor}_m^{\mathbb{Z}_p[[G]]}(\mathbb{Z}_p[[G/H]], M)$$

for  $\mathbb{Z}_p[[G]]$ -modules  $M$ .

A key point in the proof of Proposition 3.1 was that for commutative rings,  $\mathrm{Tor}_m$  vanishes if  $\mathrm{Tor}_0$  vanishes. This is not true for non-commutative rings. In the next section, we will use the above relation of  $\mathrm{Tor}_0$  and  $\mathrm{Tor}_m$  in a non-commutative situation for the proof of Theorem 1.1.

4.3. We denote this proposition with fixed  $r$  by  $(A_r)$ . Let  $(B_r)$  be the case  $M = M'$  of  $(A_r)$ .

Since  $(B_r)$  is a special case of  $(A_r)$ ,  $(B_r)$  follows from  $(A_r)$ .

In 4.4, we show that conversely,  $(A_r)$  follows from  $(B_r)$ . In 4.5, we prove  $(B_1)$ . In 4.6, for  $r \geq 1$ , we prove  $(B_r)$  assuming  $(A_{r-1})$  and  $(B_1)$ . These give a proof of Prop.4.2.

4.4. We can deduce  $(A_r)$  from  $(B_r)$  as follows. Let  $M''$  be the quotient of the  $\mathbb{Z}_p[[G]]$ -module  $M$  such that  $M'$  is a  $\mathbb{Z}_p[[G]]$ -submodule of  $M''$ . We have an exact sequence of  $\mathbb{Z}_p[[G/H]]$ -modules

$$H_{m+1}(H, M''/M') \rightarrow H_m(H, M') \rightarrow H_m(H, M'').$$

Then  $(A_r)$  for the pair  $(M, M')$  is obtained from  $(B_r)$  applied to  $M''/M'$  and to  $M''$  since  $H_0(H, M''/M')$  and  $H_0(H, M'')$  are quotients of the  $\mathbb{Z}_p[[G/H]]$ -module  $H_0(H, M)$ .

4.5. We prove  $(B_1)$ . Assume  $r = 1$ . Let  $\chi = \chi_1$ .

Note that  $H \simeq \mathbb{Z}_p$ . Let  $\alpha$  be a topological generator of  $H$ , and let  $N = \alpha - 1 \in \mathbb{Z}_p[[G]]$ . Let  $I = \mathrm{Ker}(\mathbb{Z}_p[[G]] \rightarrow \mathbb{Z}_p[[G/H]]) = \mathbb{Z}_p[[G]]N = N\mathbb{Z}_p[[G]]$ .

We have



(1) For  $\sigma \in G$ ,  $\sigma N \sigma^{-1}$  is expressed as a power series in  $N$  with coefficients in  $\mathbb{Z}_p$  which is congruent to  $\chi(\sigma)N \pmod{N^2}$ . In particular,  $\sigma N \sigma^{-1} \equiv \chi(\sigma)N \pmod{I^2}$ .

In fact,  $\sigma N \sigma^{-1} = \alpha^{\chi(\sigma)} - 1 = (1 + N)^{\chi(\sigma)} - 1 = \chi(\sigma)N + \sum_{n \geq 2} c_n N^n$  for some  $c_n \in \mathbb{Z}_p$ .

Concerning  $H_m(H, M)$  ( $m \geq 0$ ), we have:

(2)  $N(M)$  is a  $\mathbb{Z}_p[[G]]$ -submodule of  $M$ ,  $I$  kills  $M/N(M)$ , and there is an isomorphism of  $\mathbb{Z}_p[[G/H]]$ -modules

$$H_0(H, M) \simeq M/N(M).$$

(3)  $\text{Ker}(N : M \rightarrow M)$  is a  $\mathbb{Z}_p[[G]]$ -submodule of  $M$ ,  $I$  kills  $\text{Ker}(N : M \rightarrow M)$ , and there is an isomorphism of  $\mathbb{Z}_p[[G/H]]$ -modules

$$H_1(H, M) \simeq \text{Ker}(N : M \rightarrow M)(\chi).$$

(4)  $H_m(H, M) = 0$  for  $m \geq 2$ .

We prove (2)–(4). We have a projective resolution

$$0 \rightarrow I \rightarrow \mathbb{Z}_p[[G]] \rightarrow \mathbb{Z}_p[[G/H]] \rightarrow 0$$

of the right  $\mathbb{Z}_p[[G]]$ -module  $\mathbb{Z}_p[[G/H]]$ . Since  $H_m(H, ?) = \text{Tor}_m^{\mathbb{Z}_p[[G]]}(\mathbb{Z}_p[[G/H]], ?)$ ,  $H_0(H, M)$  (resp.  $H_1(H, M)$ ) is isomorphic to the cokernel (resp. kernel) of  $I \otimes_{\mathbb{Z}_p[[G]]} M \rightarrow M$ , and  $H_m(H, M) = 0$  for all  $m \geq 2$ . This proves (2) and (4). Furthermore,

$$\begin{aligned} H_1(H, M) &\simeq \text{Ker}(I \otimes_{\mathbb{Z}_p[[G]]} M \rightarrow M) \simeq I \otimes_{\mathbb{Z}_p[[G]]} \text{Ker}(N : M \rightarrow M) \\ &\simeq I/I^2 \otimes_{\mathbb{Z}_p[[G/H]]} \text{Ker}(N : M \rightarrow M). \end{aligned}$$

Consider the bijection

$$\text{Ker}(N : M \rightarrow M) \rightarrow I/I^2 \otimes_{\mathbb{Z}_p[[G/H]]} \text{Ker}(N : M \rightarrow M); x \mapsto N \otimes x.$$

By the above (1), for  $\sigma \in G$ , we have  $\sigma N \otimes x = \chi(\sigma)N \sigma \otimes x = \chi(\sigma)N \otimes \sigma x$  in  $I/I^2 \otimes_{\mathbb{Z}_p[[G/H]]} \text{Ker}(N : M \rightarrow M)$ . Hence

$$I/I^2 \otimes_{\mathbb{Z}_p[[G/H]]} \text{Ker}(N : M \rightarrow M) \simeq \text{Ker}(N : M \rightarrow M)(\chi)$$

as  $\mathbb{Z}_p[[G/H]]$ -modules. This proves (3).

Let

$$V_n = \text{Ker}(N^n : M \rightarrow M) \quad (n \geq 0), \quad V = \cup_n V_n.$$

Then, since  $\mathbb{Z}_p[[G]]N^n = N^n \mathbb{Z}_p[[G]]$ ,  $V_n$  is a  $\mathbb{Z}_p[[G]]$ -submodule of  $M$ . Since  $\mathbb{Z}_p[[G]]$  is Noetherian and  $M$  is a finitely generated  $\mathbb{Z}_p[[G]]$ -module,  $V = V_n$  for

some  $n$ . That is,  $N$  is nilpotent on  $V$ . Since  $\text{Ker}(N : M/V \rightarrow M/V) = 0$ , we have  $H_1(H, M/V) = 0$  by (3). Hence

$$(5) \quad H_1(H, V) = H_1(H, M),$$

$$(6) \quad H_0(H, V) \rightarrow H_0(H, M) \text{ is injective.}$$

Consider the monodromy filtration  $(W_i)_i$  on the abelian group  $V$  given by the nilpotent endomorphism  $N$  in the sense of Deligne [5] 1.6. It is an increasing filtration characterized by the properties  $N(W_i) \subset W_{i-2}$  for all  $i$ , and  $N^i : \text{gr}_i^W \xrightarrow{\cong} \text{gr}_{-i}^W$  for all  $i \geq 0$ .

$$(7) \quad W_i \text{ are } \mathbb{Z}_p[[G]]\text{-submodules of } V.$$

In fact, for  $\sigma \in G$ , the filtration  $(\sigma W_i)_i$  also has the characterizing property of  $(W_i)_i$  by (1).

Now we define an increasing filtration  $(W'_i)_i$  of the  $\mathbb{Z}_p[[G/H]]$ -module  $H_0(H, V)$  and an increasing filtration  $(W''_i)_i$  on the  $\mathbb{Z}_p[[G/H]]$ -module  $H_1(H, V) = H_1(H, M)$  as follows. By identifying  $H_0(H, V)$  with  $\text{Coker}(N : V \rightarrow V)$ , let  $W'_i = W_i(\text{Coker}(N : V \rightarrow V))$  (i.e. the image of  $W_i$  in  $\text{Coker}(N : V \rightarrow V)$ ). By identifying  $H_1(H, V)$  with  $\text{Ker}(N : V \rightarrow V)(\chi)$ , let  $W''_i = W_i(\text{Ker}(N : V \rightarrow V)(\chi))$  (i.e.  $(W_i \cap \text{Ker}(N : V \rightarrow V))(\chi)$ ). Then  $W''_0 = H_1(H, M)$ , and  $W''_i = 0$  if  $i$  is sufficiently small. We prove:

$$(8) \quad \text{For any } i \geq 0,$$

$$\text{gr}_{-i}^{W''} \simeq \text{gr}_i^{W'}(\chi^{i+1})$$

as  $\mathbb{Z}_p[[G/H]]$ -modules.

By the injectivity of  $H_0(H, V) \rightarrow H_0(H, M)$  (6), this proves (B<sub>1</sub>).

We prove (8). By (1), we have

$$(9) \quad \text{The map } N : \text{gr}_i^W \rightarrow \text{gr}_{i-2}^W \text{ satisfies } \sigma N \sigma^{-1} = \chi(\sigma)N \text{ for } \sigma \in G.$$

Let  $P_i \subset \text{gr}_i^W$  ( $i \leq 0$ ) be the primitive part  $\text{Ker}(N : \text{gr}_i^W \rightarrow \text{gr}_{i-2}^W)$  ([5] 1.6.3). Then for  $i \geq 0$ , the canonical map  $\text{gr}_{-i}^W(\text{Ker}(N : V \rightarrow V)) \rightarrow P_{-i}$  is an isomorphism of  $\mathbb{Z}_p[[G/H]]$ -modules ([5] 1.6.6). Furthermore, we have a bijection  $P_{-i} \xrightarrow{\cong} \text{gr}_i^W(\text{Coker}(N : V \rightarrow V))$  as the composition

$$P_{-i} \rightarrow \text{gr}_{-i}^W \xleftarrow{N^i} \text{gr}_i^W \rightarrow \text{gr}_i^W(\text{Coker}(N : V \rightarrow V))$$

([5] 1.6.4, 1.6.6, and the dual statement of 1.6.6 for  $\text{Coker}(N)$ ). By (9), this gives an isomorphism of  $\mathbb{Z}_p[[G/H]]$ -modules  $P_{-i} \simeq \text{gr}_i^W(\text{Coker}(N : V \rightarrow V))(\chi^i)$ . Hence we have (8).

4.6. Let  $r \geq 1$ . We prove  $(B_r)$  assuming  $(A_{r-1})$  and  $(B_1)$ . Let  $J = H_1$ . By the spectral sequence

$$E_2^{-i, -j} = H_i(H/J, H_j(J, M)) \Rightarrow E_\infty^{-m} = H_m(H, M)$$

in which  $H_j(J, M) = 0$  for  $j \geq 2$ , we have an exact sequence of  $\mathbb{Z}_p[[G/H]]$ -modules

$$(1) \quad H_{m-1}(H/J, H_1(J, M)) \rightarrow H_m(H, M) \rightarrow H_m(H/J, H_0(J, M)).$$

We consider  $H_{m-1}(H/J, H_1(J, M))$  first. By  $(B_1)$  applied to the triple  $(G, J, M)$ ,  $H_1(J, M)$  is a successive extension of twists of subquotients of  $H_0(J, M)$  by  $\chi_1^i$  ( $i \geq 1$ ). By  $(A_{r-1})$  applied the triple  $(G/J, H/J, H_0(J, M))$ ,  $H_{m-1}(H/J, ?)$  of these subquotients of  $H_0(J, M)$  are successive extensions of twists of subquotients of  $H_0(H/J, H_0(J, M)) = H_0(H, M)$  by  $\prod_{2 \leq j \leq r} \chi_j^{s(j)}$  such that  $s(j) \geq 0$  for all  $j$  and such that  $\#\{j \mid s(j) > 0\} \geq m - 1$ . Hence  $H_{m-1}(H/J, H_1(J, M))$  is a successive extension of twists of subquotients of  $H_0(H, M)$  by  $\prod_{1 \leq j \leq r} \chi_j^{s(j)}$  such that  $s(j) \geq 0$  for all  $j$  and such that  $\#\{j \mid s(j) > 0\} \geq m$ .

We consider  $H_m(H/J, H_0(J, M))$  next. By  $(B_{r-1})$  (which is assumed since we assume  $(A_{r-1})$ ) applied to the triple  $(G/J, H/J, H_0(J, M))$ ,  $H_m(H/J, H_0(J, M))$  is a successive extension of twists of subquotients of  $H_0(H/J, H_0(J, M)) = H_0(H, M)$  by  $\prod_{2 \leq j \leq r} \chi_j^{s(j)}$  such that  $s(j) \geq 0$  for all  $j$  and such that  $\#\{j \mid s(j) > 0\} \geq m$ .

By these properties of  $H_{m-1}(H/J, H_1(J, M))$  and  $H_m(H/J, H_0(J, M))$ , the exact sequence (1) proves  $(B_r)$  (assuming  $(A_{r-1})$  and  $(B_1)$ ).

### 5 SOME NON-COMMUTATIVE GALOIS EXTENSIONS.

Theorem 1.1 in Introduction is contained in Corollary 5.2 of the following Theorem 5.1, for the extension  $F_\infty/F$  in Theorem 1.1 satisfies the assumption of Theorem 5.1 with  $n(i) = 1$  for all  $i$ .

**THEOREM 5.1.** *Assume that  $F_\infty$  is a Galois extension of  $F$ ,  $F_\infty \supset \cup_n F(\zeta_{p^n})$ , and that there is a finite family of closed normal subgroups  $H_i$  ( $1 \leq i \leq r$ ) of  $G = \text{Gal}(F_\infty/F)$  satisfying the following condition. Let  $F^{\text{cyc}}$  be the cyclotomic  $\mathbb{Z}_p$ -extension of  $F$  and let  $H$  be the kernel of  $G \rightarrow G^{\text{cyc}} = \text{Gal}(F^{\text{cyc}}/F)$ . Then  $\{1\} = H_0 \subset H_1 \subset \dots \subset H_r$ ,  $H_r$  is an open subgroup of  $H$ , and for  $1 \leq i \leq r$ ,  $H_i/H_{i-1} \simeq \mathbb{Z}_p$  and the action of  $G$  on it by inner automorphism is the  $n(i)$ -th power of the cyclotomic character  $G \rightarrow \mathbb{Z}_p^\times$  for some positive integer  $n(i) > 0$ . Let  $S$  be any finite set of finite places of  $F$  containing all places lying over  $p$ . Then the kernel and the cokernel of the canonical maps*

$$\begin{aligned} H_m(G, \mathcal{Z}_S^2(F_\infty)) &\rightarrow H_m(G^{\text{cyc}}, \mathcal{Z}_S^2(F^{\text{cyc}})), \\ H_m(G, Y(F_\infty)) &\rightarrow H_m(G^{\text{cyc}}, Y(F^{\text{cyc}})) \end{aligned}$$

are finite for any  $m$ .

In particular (since  $H_m(G^{\text{cyc}}, ?) = 0$  for  $m \geq 2$ ),  $H_m(G, \mathcal{Z}_S^2(F_\infty))$  and  $H_m(G, Y(F_\infty))$  are finite for any  $m \geq 2$ .

COROLLARY 5.2. *Let the assumption be as in Theorem 5.1. Then:*

- (1) *The quotient group  $U(F^{\text{cyc}}/F)/U(F_\infty/F)$  is finite.*
- (2) *If there is only one place of  $F$  lying over  $p$ , then  $U(F_\infty/F)$  is of finite index in  $O_F[1/p]^\times \otimes \mathbb{Z}_p$ , and  $H_m(G, Y(F_\infty))$  and  $H_m(G, \mathcal{Z}^2(F_\infty))$  are finite for any  $m$ .*
- (3) *If  $F$  is an abelian extension over  $\mathbb{Q}$ , then  $H_m(G, Y(F_\infty))$  is finite for any  $m$ .*

In fact, by 2.3 (2b), (1) of Corollary 5.2 follows from the finiteness of the kernel and the cokernel of  $H_1(G, \mathcal{Z}^2(F_\infty)) \rightarrow H_1(G^{\text{cyc}}, \mathcal{Z}^2(F^{\text{cyc}}))$  which is a special case of Theorem 5.1. (2) follows from (1) and the case  $F_\infty = F^{\text{cyc}}$  of Proposition 3.1. (3) follows from (1) and the result of Greenberg introduced in 3.3.

COROLLARY 5.3. *Let the assumption be as in Theorem 5.1. Then  $H_m(G, \mathcal{Z}^1(F_\infty))$  for  $m \geq 1$  and the kernel of the canonical map  $H_0(G, \mathcal{Z}^1(F_\infty)) \rightarrow O_F[1/p]^\times \otimes \mathbb{Z}_p$  are finite.*

In fact, for  $S$  containing all finite places which ramify in  $F_\infty$ , since  $\mathcal{Z}^1(F_\infty) \xrightarrow{\sim} \mathcal{Z}_S^1(F_\infty)$  (2.5), the spectral sequence in 2.3 shows that  $H_m(G, \mathcal{Z}^1(F_\infty))$  for  $m \geq 1$  is isomorphic to  $H_{m+2}(G, \mathcal{Z}_S^2(F_\infty))$ , and the kernel of  $H_0(G, \mathcal{Z}^1(F_\infty)) \rightarrow O_F[1/p]^\times \otimes \mathbb{Z}_p$  is isomorphic to  $H_2(G, \mathcal{Z}_S^2(F_\infty))$ . Hence this corollary follows from the finiteness of  $H_m(G, \mathcal{Z}_S^2(F_\infty))$  for  $m \geq 2$  in Theorem 5.1.

5.4. We prove Theorem 5.1. First in this 5.4, we show that the kernel and the cokernel of  $H_m(G, \mathcal{Z}_S^2(F_\infty)) \rightarrow H_m(G^{\text{cyc}}, \mathcal{Z}_S^2(F^{\text{cyc}}))$  are finite for any  $m$  assuming that  $S$  contains all finite places of  $F$  which ramify in  $F_\infty$ .

We may replace  $F$  by a finite extension of  $F$ . Hence we may assume that  $H_r = H$ ,  $\cup_{n \geq 1} F(\zeta_{p^n}) = F^{\text{cyc}}$ , and that in the case  $p = 2$ ,  $F$  is totally imaginary. Let  $\mathfrak{p}$  be the augmentation ideal of  $\mathbb{Z}_p[[G^{\text{cyc}}]]$ . It is a prime ideal of  $\mathbb{Z}_p[[G^{\text{cyc}}]]$ . By the spectral sequence  $E_2^{-i, -j} = H_i(G^{\text{cyc}}, H_j(H, ?)) \Rightarrow E_\infty^{-m} = H_m(G, ?)$ , it is sufficient to prove that  $H_i(G^{\text{cyc}}, H_m(H, \mathcal{Z}_S^2(F_\infty)))$  is finite for any  $i$  and for any  $m \geq 1$ . For a finitely generated  $\mathbb{Z}_p[[G^{\text{cyc}}]]$ -module  $M$ ,  $H_i(G^{\text{cyc}}, M)$  is isomorphic to  $M/\mathfrak{p}M$  if  $i = 0$ , to the part of  $M$  annihilated by  $\mathfrak{p}$  if  $i = 1$ , and is zero if  $i \geq 2$ . Applying this taking  $M = H_m(H, \mathcal{Z}_S^2(F_\infty))$ , we see that it is sufficient to prove

$$(1) \quad H_m(H, \mathcal{Z}_S^2(F_\infty))_{\mathfrak{p}} = 0 \quad \text{for any } m \geq 1,$$

where  $(?)_{\mathfrak{p}}$  denotes the localization at the prime ideal  $\mathfrak{p}$ .

We apply Proposition 4.2 to the case  $M = M' = \mathcal{Z}_S^2(F_\infty)$ . By this proposition, to prove (1), it is sufficient to show that for any subquotient  $T$  of the  $\mathbb{Z}_p[[G^{\text{cyc}}]]$ -module  $H_0(H, M) = \mathcal{Z}_S^2(F^{\text{cyc}})$  and for any integer  $k \geq 1$ , we have  $T(k)_{\mathfrak{p}} = 0$ . Here  $T(k)$  is the  $k$ -th Tate twist. It is sufficient to prove that  $H_0(G^{\text{cyc}}, T(k))$  is finite. Since  $\mathcal{Z}_S^2(F^{\text{cyc}})$  is a finitely generated torsion  $\mathbb{Z}_p[[G^{\text{cyc}}]]$ -module, the  $\mathbb{Z}_p[[G^{\text{cyc}}]]$ -module  $T$  is a successive extension of  $\mathbb{Z}_p[[G^{\text{cyc}}]]$ -modules which are

either finite or isomorphic to  $\mathbb{Z}_p[[G^{\text{cyc}}]]/\mathfrak{q}$  for some prime ideal  $\mathfrak{q}$  of  $\mathbb{Z}_p[[G^{\text{cyc}}]]$  of height one. We may assume  $T \simeq \mathbb{Z}_p[[G^{\text{cyc}}]]/\mathfrak{q}$ . Then there is a  $\mathbb{Z}_p[[G^{\text{cyc}}]]$ -homomorphism  $\mathcal{Z}_S^2(F^{\text{cyc}}) \rightarrow T$  with finite cokernel. Hence it is sufficient to prove that  $H_0(G^{\text{cyc}}, \mathcal{Z}^2(F^{\text{cyc}})(k))$  is finite for any  $k \geq 1$ . But

$$H_0(G^{\text{cyc}}, \mathcal{Z}^2(F^{\text{cyc}})(k)) \simeq H^2(O_F[1/S], \mathbb{Z}_p(k+1)).$$

The last group is finite by Soulé [12]. In fact, by Quillen [10] and Borel [1],  $K_{2k}(O_F[1/S])$  is finite, and by Soulé [12], we have a surjective Chern class map from  $K_{2k}(O_F[1/S])$  to  $H^2(O_F[1/S], \mathbb{Z}_p(k+1))$ .

5.5. We complete the proof of Theorem 5.1. Let  $S$  be a finite set of finite places of  $F$  which contains all places of  $F$  lying over  $p$ . Take a finite set  $S'$  of finite places of  $F$  such that  $S \subset S'$  and such that  $S'$  contains all finite places of  $F$  which ramify in  $F_\infty$ .

By comparing the exact sequence 2.4 (2) for  $F_\infty/F$  and that for  $F^{\text{cyc}}/F$ , we see that the finiteness of the kernel and the cokernel of  $H_m(G, \mathcal{Z}_S^2(F_\infty)) \rightarrow H_m(G^{\text{cyc}}, \mathcal{Z}_S^2(F^{\text{cyc}}))$  for all  $m$  and that of  $H_m(G, Y(F_\infty)) \rightarrow H_m(G^{\text{cyc}}, Y(F^{\text{cyc}}))$  for all  $m$  are consequences of the following (1) - (3).

(1) The kernel and the cokernel of  $H_m(G, \mathcal{Z}_{S'}^2(F_\infty)) \rightarrow H_m(G^{\text{cyc}}, \mathcal{Z}_{S'}^2(F^{\text{cyc}}))$  are finite for all  $m$ .

(2) The kernel and the cokernel of  $H_m(G, \mathbb{Z}_p) \rightarrow H_m(G^{\text{cyc}}, \mathbb{Z}_p)$  are finite for all  $m$ .

(3) The kernel and the cokernel of  $H_m(G_v, \mathbb{Z}_p) \rightarrow H_m(G_v^{\text{cyc}}, \mathbb{Z}_p)$  are finite for all  $m$  and for all finite places  $v$  of  $F$ . Here  $G_v \subset G$  denotes a decomposition group of a place of  $F_\infty$  lying over  $v$ , and  $G_v^{\text{cyc}}$  denotes the image of  $G_v$  in  $G^{\text{cyc}}$ .

We proved (1) already in 5.4. (2) and (3) follow from the case  $M = M' = \mathbb{Z}_p$  of Proposition 4.2.

REMARK 5.6. There is an example of a  $p$ -adic Lie extension  $F_\infty/F$  for which there is only one place of  $F$  lying over  $p$  but  $U(F_\infty/F)$  is not of finite index in  $O_F[1/p]^\times \otimes \mathbb{Z}_p$ . For example, let  $F = \mathbb{Q}$ , let  $E$  be an elliptic curve over  $F$  with good ordinary reduction at  $p$ , and let  $F_\infty$  be the field generated over  $F$  by  $p^n$ -division points of  $E$  for all  $n$ . Then  $U(F_\infty/F) = \{1\}$  and is not of finite index in  $O_F[1/p]^\times \otimes \mathbb{Z}_p = \mathbb{Z}[1/p]^\times \otimes \mathbb{Z}_p \simeq \mathbb{Z}_p$ . In fact  $U(F_\infty/F)$  must be killed by the reciprocity map of local class field theory of  $\mathbb{Q}_p$  into  $G_p^{\text{ab}}(p) \simeq \mathbb{Z}_p^2$ , where  $G_p \subset G = \text{Gal}(F_\infty/F)$  denotes the decomposition group at  $p$ , and  $G_p^{\text{ab}}(p)$  denotes the pro- $p$  part of the abelian quotient of  $G_p$ . The image of  $p \in \mathbb{Z}[1/p]^\times$  in  $G_p^{\text{ab}}(p)$  is of infinite order. This proves  $U(F_\infty/F) = \{1\}$ . In this case,  $H_0(G, \mathcal{Z}^2(F_\infty))$  is finite, but  $H_1(G, \mathcal{Z}^2(F_\infty))$  is not finite.

REMARK 5.7. There is an example of a  $p$ -adic Lie extension  $F_\infty/F$  for which  $G = \text{Gal}(F_\infty/F) \simeq \mathbb{Z}_p^2$  and  $H_0(G, Y(F_\infty/F))$  is not finite. Let  $K$  be an imaginary quadratic field in which  $p$  splits, let  $K_\infty$  be the unique Galois extension

of  $K$  such that  $\text{Gal}(K_\infty/K) \simeq \mathbb{Z}_p^2$ , let  $F$  be a finite extension of  $K$  in which  $p$  splits completely, and let  $F_\infty = FK_\infty$ . Then the  $\mathbb{Z}_p$ -rank of  $H_1(G, Y(F_\infty))$  is  $\geq [F : K] - 1$  as is shown below. Hence it is not zero if  $F \neq K$ . In fact, from the exact sequence 2.4 (2) with  $S$  the set of all places of  $F$  lying over  $p$ , we can obtain

$$\begin{aligned} \text{rank}_{\mathbb{Z}_p} H_1(G, Y(F_\infty)) &\geq \\ &\geq \left( \sum_{v \in S} \text{rank}_{\mathbb{Z}_p} H_1(G_v, \mathbb{Z}_p) \right) - \text{rank}_{\mathbb{Z}_p} H_1(G, \mathbb{Z}_p) - \text{rank}_{\mathbb{Z}} O_F[1/p]^\times. \end{aligned}$$

But  $\text{rank}_{\mathbb{Z}_p} H_1(G_v, \mathbb{Z}_p) = 2$  for any  $v \in S$ ,  $\text{rank}_{\mathbb{Z}_p} H_1(G, \mathbb{Z}_p) = 2$ ,  $\text{rank}_{\mathbb{Z}} O_F[1/p]^\times = 3[F : K] - 1$  by Dirichlet's unit theorem, and hence the right hand side of the above inequality is  $2[F : \mathbb{Q}] - 2 - (3[F : K] - 1) = [F : K] - 1$ .

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