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# Geometric valuation theory

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**Abstract.** A brief introduction to geometric valuation theory is given. The focus is on classification results for valuations on convex bodies and on function spaces.

# 1. Introduction

Measurement is part of the literal meaning of geometry and geometric valuation theory deals with measurement in the following sense. We want to associate to a geometric object a real number (or, more generally, an element of an abelian semigroup  $\mathbb{A}$ ). For example, we can associate to a sufficiently regular subset of  $\mathbb{R}^n$  its *n*-dimensional volume or the (n-1)-dimensional measure of its boundary. Let S be a class of subsets of  $\mathbb{R}^n$ . We call a function  $Z : S \to \mathbb{A}$  a valuation if

$$Z(K) + Z(L) = Z(K \cup L) + Z(K \cap L)$$

for all  $K, L \in S$  with  $K \cap L, K \cup L \in S$  (and we set  $Z(\emptyset) := 0$ ). Thus, the valuation property is just the inclusion-exclusion principle applied to two sets. In particular, measures on  $\mathbb{R}^n$  when restricted to elements of S are valuations but there are many additional interesting valuations.

In his Third Problem, Hilbert asked whether an elementary definition of volume on polytopes is possible. In 1900, it was known that it is possible on  $\mathbb{R}^2$  but the question was open in higher dimensions. Let  $\mathcal{P}^n$  be the set of convex polytopes in  $\mathbb{R}^n$ and call  $Z : \mathcal{P}^n \to \mathbb{R}$  simple if Z(P) = 0 for all lower dimensional polytopes. Using our terminology, Hilbert's Third Problem turns out to be equivalent to the question whether every simple, rigid motion invariant valuation  $Z : \mathcal{P}^n \to \mathbb{R}$  is a multiple of *n*-dimensional volume for  $n \ge 3$ . Dehn [46] solved Hilbert's Third Problem by constructing a simple, rigid motion invariant valuation that is not a multiple of volume and thereby showed that an elementary definition of volume is not possible for  $n \ge 3$ .

Blaschke [30] took the important next step by asking for classification results for invariant valuations on  $\mathcal{P}^n$  and on the space of convex bodies,  $\mathcal{K}^n$ , that is, of

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non-empty, compact, convex sets in  $\mathbb{R}^n$ . For a class *S* of subsets of  $\mathbb{R}^n$ , we say that a function  $Z : S \to \mathbb{A}$  is *G* invariant for a group *G* acting on  $\mathbb{R}^n$  if  $Z(\phi K) = Z(K)$  for all  $\phi \in G$  and  $K \in S$ . Blaschke's question is motivated by Klein's Erlangen Program. We will describe some of the results that were obtained in this direction, in particular, focusing on the special linear group, SL(*n*), and the group of (orientation preserving) rotations, SO(*n*). Often additional regularity assumptions are required and for A, a topological semigroup, we consider continuous and upper semicontinuous valuations, where the topology on  $\mathcal{K}^n$  and its subspaces is induced by the Hausdorff metric.

In addition to classification results and their applications, structural results for spaces of valuations have attracted a lot of attention in recent years. We refer to the books and surveys [14, 17, 21]. Valuations were also considered on various additional spaces, in particular, on manifolds (see [12]). We will restrict our attention to subspaces of  $\mathcal{K}^n$  and to recent results on valuations on spaces of real valued functions. On a space X of (extended) real valued functions, a function  $Z : X \to A$  is called a *valuation* if

$$Z(u) + Z(v) = Z(u \lor v) + Z(u \land v)$$

for all  $u, v \in X$  such that also their pointwise maximum  $u \lor v$  and pointwise minimum  $u \land v$  belong to X. Since spaces of convex bodies can be embedded in various function spaces in such a way that union and intersection of convex bodies correspond to pointwise minimum and maximum of functions, this notion generalizes the classical notion.

## 2. Affine valuations on convex bodies

The first classification result in geometric valuation theory is due to Blaschke. He worked on polytopes and aimed at a complete classification of rigid motion invariant valuations. However, at a certain step, he had to assume also SL(n) invariance and established the following result (and the corresponding result on polytopes).

**Theorem 2.1** (Blaschke [30]). A functional  $Z : \mathcal{K}^n \to \mathbb{R}$  is a continuous, translation and SL(n) invariant valuation if and only if there are  $c_0, c_n \in \mathbb{R}$  such that

$$Z(K) = c_0 V_0(K) + c_n V_n(K)$$

for every  $K \in \mathcal{K}^n$ .

Here,  $V_0(K) := 1$  is the Euler characteristic of K and  $V_n(K)$  is its *n*-dimensional volume. It has become customary to refer to results that involve invariance (or covariance) with respect to SL(*n*) as affine results and the title of this section is to be understood in this sense.

We will first describe results for affine valuations on polytopes and then on general convex bodies. While on  $\mathcal{P}^n$  a complete classification of SL(n) invariant valuations has been established, we require additional regularity assumptions on  $\mathcal{K}^n$ . Such assumptions are also used on important subspaces of  $\mathcal{P}^n$  and  $\mathcal{K}^n$ . We will also describe results for affine valuations with values in tensor spaces, spaces of convex bodies, and related spaces.

#### 2.1. SL(*n*) invariant valuations on convex polytopes

We call a function  $\zeta : [0, \infty) \to \mathbb{R}$  a *Cauchy function* if

$$\zeta(x + y) = \zeta(x) + \zeta(y)$$

for every  $x, y \in [0, \infty)$ . Cauchy functions are well understood and can be completely described (if we assume the axiom of choice) by their values on a Hamel basis.

The following result gives a complete classification of translation and SL(n) invariant valuations on polytopes and is closely related to Theorem 2.1.

**Theorem 2.2** ([94]). A functional  $Z : \mathcal{P}^n \to \mathbb{R}$  is a translation and SL(n) invariant valuation if and only if there are  $c_0 \in \mathbb{R}$  and a Cauchy function  $\zeta : [0, \infty) \to \mathbb{R}$  such that

$$Z(P) = c_0 V_0(P) + \zeta (V_n(P))$$

for every  $P \in \mathcal{P}^n$ .

Even without translation invariance, a complete classification can be obtained (see [94]). We state the case when the valuation is in addition continuous. We write [0, P] for the convex hull of the origin and  $P \in \mathcal{P}^n$ .

**Theorem 2.3** ([94]). A functional  $Z : \mathcal{P}^n \to \mathbb{R}$  is a continuous and SL(n) invariant valuation if and only if there are  $c_0, c_n, d_n \in \mathbb{R}$  such that

$$Z(P) = c_0 V_0(P) + c_n V_n(P) + d_n V_n([0, P])$$

for every  $P \in \mathcal{P}^n$ .

Corresponding results are known on the space,  $\mathcal{P}_0^n$ , of polytopes containing the origin (see [94]).

Let  $\mathcal{P}_{(0)}^n$  be the space of convex polytopes in  $\mathbb{R}^n$  that contain the origin in their interiors. Here, we have additional interesting valuations connected to polarity. For  $K \in \mathcal{K}^n$ , define its polar by

$$K^* := \{ y \in \mathbb{R}^n : \langle x, y \rangle \le 1 \text{ for all } x \in K \},\$$

where  $\langle x, y \rangle$  is the inner product of  $x, y \in \mathbb{R}^n$ . If  $P \in \mathcal{P}^n_{(0)}$ , then  $P^* \in \mathcal{P}^n_{(0)}$ . Hence, setting

$$V_n^*(P) := V_n(P^*),$$

we obtain a finite valued functional on  $\mathcal{P}_{(0)}^n$  and it follows easily from properties of polarity that it is a valuation.

Valuations on  $\mathcal{P}_{(0)}^n$  were first considered in [84], where a classification of Borel measurable, SL(*n*) invariant, and homogeneous valuations was established. Here, we say that Z :  $\mathcal{P}_{(0)}^n \to \mathbb{R}$  is *homogeneous* if there is  $q \in \mathbb{R}$  such that

$$Z(tP) = t^q Z(P)$$

for every  $P \in \mathcal{P}_{(0)}^n$  and t > 0. We say that Z is *Borel measurable* if the pre-image of every open set is a Borel set. We use corresponding notions on  $\mathcal{K}^n$  and related spaces.

The results from [84] were strengthened by Haberl and Parapatits.

**Theorem 2.4** (Haberl and Parapatits [55, 57]). A functional Z :  $\mathcal{P}_{(0)}^n \to \mathbb{R}$  is a Borel measurable and SL(*n*) invariant valuation if and only if there are  $c_0, c_n, c_{-n} \in \mathbb{R}$  such that

$$Z(P) = c_0 V_0(P) + c_n V_n(P) + c_{-n} V_n^*(P)$$

for every  $P \in \mathcal{P}_{(0)}^n$ .

The regularity assumption is again required to exclude discontinuous solutions of the Cauchy functional equation. It is an open problem to establish a complete classification without such assumption.

We remark that lattice polytopes, that is, convex polytopes with vertices in the integer lattice  $\mathbb{Z}^n$ , are important in many fields and subjects. The Betke–Kneser theorem [28] gives a complete classification of valuations on this class that are invariant with respect to translations by integer vectors and by so-called unimodular transformations (which can be described by matrices with integer coefficients and determinant  $\pm 1$ ). For more information on valuations on lattice polytopes, see [32].

### 2.2. Affine surface areas

For  $K \in \mathcal{K}^n$ , the *affine surface area* of K is defined by

$$\Omega(K) := \int_{\partial K} \kappa(K, x)^{\frac{1}{n+1}} \,\mathrm{d}x, \qquad (2.1)$$

where  $\kappa(K, x)$  is the generalized Gaussian curvature of  $\partial K$  at x and integration is with respect to the (n - 1)-dimensional Hausdorff measure. For smooth convex surfaces, this definition is classical (see [29]). It is also classical that  $\Omega$  is translation and

SL(*n*) invariant for smooth surfaces. The extension of the definition of affine surface area to general convex bodies was obtained more recently in a series of papers by Leichtweiß [73], Lutwak [98], and Schütt and Werner [126]. There it is also proved that  $\Omega$  is translation and SL(*n*) invariant on  $\mathcal{K}^n$ . The notion of affine surface area is fundamental in affine differential geometry. Moreover, since many basic problems in discrete and stochastic geometry are translation and SL(*n*) invariant, affine surface area has found numerous applications in these fields (see [47, 50]). It follows easily from (2.1) that  $\Omega$  vanishes on polytopes and therefore is not continuous. The long conjectured upper semicontinuity of affine surface area (for smooth surfaces as well as for general convex surfaces) was proved by Lutwak [98]. For a proof that  $\Omega$  is a valuation, see [125].

The following result gives a classification of upper semicontinuous, translation and SL(n) invariant valuations and represents a strengthening of Theorem 2.1. It provides a characterization of affine surface area.

**Theorem 2.5** ([92]). A functional  $Z : \mathcal{K}^n \to \mathbb{R}$  is an upper semicontinuous, translation and SL(n) invariant valuation if and only if there are  $c_0, c_n \in \mathbb{R}$  and  $c \ge 0$  such that

$$Z(K) = c_0 V_0(K) + c_n V_n(K) + c \Omega(K)$$

for every  $K \in \mathcal{K}^n$ .

For n = 2, this result was proved in [80], where also applications to asymptotic approximation by polytopes were obtained.

A complete classification of translation and SL(n) invariant valuations on  $\mathcal{K}^n$  appears to be out of reach. Already a weakening of upper semicontinuity to, say, Baire-one (that is, a pointwise limit of continuous functionals) would be interesting and would have applications in discrete and stochastic geometry.

Let  $\mathcal{K}_{(0)}^n$  be the space of convex bodies in  $\mathbb{R}^n$  containing the origin in their interiors. For such a convex body with smooth boundary, the *centro-affine surface area* is a classical notion that can be defined by

$$\Omega_n(K) := \int_{\partial K} \kappa_0(K, x)^{\frac{1}{2}} \, \mathrm{d} V_K(x),$$

where  $dV_K(x) := \langle x, u_K(x) \rangle dx$  with  $u_K(x)$  the outer unit normal vector to K at x is (up to a constant) the cone measure on  $\partial K$  and

$$\kappa_0(K, x) := \frac{\kappa(K, x)}{\langle x, u_K(x) \rangle^{n+1}}$$

It is classical that  $\Omega_n$  is GL(*n*) invariant. Lutwak [100] extended this notion to general convex bodies in  $\mathcal{K}_{(0)}^n$  and showed that  $\Omega_n$  is upper semicontinuous.

The following result gives a complete classification of upper semicontinuous and GL(n) invariant valuations on  $\mathcal{K}^n_{(0)}$  and provides a characterization of centro-affine surface area.

**Theorem 2.6** ([93]). A functional  $Z : \mathcal{K}^n_{(0)} \to \mathbb{R}$  is an upper semicontinuous and GL(n) invariant valuation if and only if there are  $c_0 \in \mathbb{R}$  and  $c \ge 0$  such that

$$Z(K) = c_0 V_0(K) + c \Omega_n(K)$$

for every  $K \in \mathcal{K}_{(0)}^n$ .

Lutwak [100] defined the so-called  $L^p$ -affine surface areas which were characterized in [93] as upper semicontinuous, SL(n) invariant, homogeneous valuations.

A more general notion, now called *Orlicz affine surface area*, was introduced in [93]. Let

$$\operatorname{Conc}[0,\infty) := \left\{ \zeta : [0,\infty) \to [0,\infty) : \zeta \text{ concave, } \lim_{t \to 0} \zeta(t) = \lim_{t \to \infty} \frac{\zeta(t)}{t} = 0 \right\}.$$

The following result gives a classification of upper semicontinuous, SL(n) invariant valuations on  $\mathcal{K}_{(0)}^n$  and provides a characterization of Orlicz affine surface areas.

**Theorem 2.7** ([55,93]). A functional Z:  $\mathcal{K}_{(0)}^n \to \mathbb{R}$  is an upper semicontinuous and SL(*n*) invariant valuation if and only if there are  $c_0, c_n, c_{-n} \in \mathbb{R}$  and  $\zeta \in \text{Conc}[0, \infty)$  such that

$$Z(K) = c_0 V_0(K) + c_n V_n(K) + c_{-n} V_n^*(K) + \int_{\partial K} \zeta(\kappa_0(K, x)) \, \mathrm{d}V_K(x)$$

for every  $K \in \mathcal{K}_{(0)}^n$ .

Here, the classification of upper semicontinuous, SL(n) invariant valuations vanishing on polytopes from [93] is combined with Theorem 2.4 by Haberl and Parapatits.

### 2.3. Vector and tensor valuations

We say that  $Z : \mathcal{P}^n \to \mathbb{R}^n$  is SL(n) equivariant if

$$Z(\phi P) = \phi Z(P)$$

for all  $\phi \in SL(n)$  and  $P \in \mathcal{P}^n$ . We use corresponding definitions for subspaces of  $\mathcal{P}^n$ .

The study of SL(n) equivariant vector valuations on convex polytopes containing the origin in their interiors was started in [82], where a classification of Borel measurable, SL(n) equivariant, homogeneous valuations was established. Haberl and Parapatits strengthened this result and obtained the following complete classification, which we state for  $n \ge 3$ . **Theorem 2.8** (Haberl and Parapatits [57, 58]). A function  $Z : \mathcal{P}^n_{(0)} \to \mathbb{R}^n$  is a Borel measurable and SL(n) equivariant valuation if and only if there is  $c \in \mathbb{R}$  such that

$$Z(P) = cm(P)$$

for every  $P \in \mathcal{P}_{(0)}^n$ .

Here, for  $P \in \mathcal{P}^n$ , the moment vector m(P) is defined by  $m(P) := \int_P x \, dx$ .

Zeng and Ma showed that it is possible to obtain a complete classification of vector valuations on convex polytopes without any regularity assumptions. We state their result for  $n \ge 3$ .

**Theorem 2.9** (Zeng and Ma [137]). A function  $Z : \mathcal{P}^n \to \mathbb{R}^n$  is an SL(*n*) equivariant valuation if and only if there are  $c, d \in \mathbb{R}$  such that

$$Z(P) = cm(P) + dm([0, P])$$

for every  $P \in \mathcal{P}^n$ .

In the same paper, a complete classification result is also established for n = 2. The obtained valuations depend on Cauchy functions.

Also higher rank tensor valuations are important in the geometry of convex bodies. In particular, the moment matrix  $M^{2,0}(K)$  of a convex body K is a most valuable tool through its connection to the Legendre ellipsoid and the notion of isotropic position. In a certain way dual is the so-called LYZ ellipsoid, which was introduced by Lutwak, Yang, and Zhang [102, 103]. Associated to this ellipsoid is the LYZ matrix, which was characterized as a matrix valuation on convex polytopes containing the origin in [85]. The LYZ matrix corresponds to the Fisher information matrix [89, 102, 103] important in statistics and information theory.

Haberl and Parapatits [58] extended the result from [85] to general symmetric tensor valuations. For  $p \ge 1$ , let  $\mathbb{T}^p(\mathbb{R}^n)$  denote the space of symmetric *p*-tensors on  $\mathbb{R}^n$ . We identify  $\mathbb{R}^n$  with its dual space and regard each symmetric *p*-tensor as a symmetric *p*-linear functional on  $(\mathbb{R}^n)^p$ . We say that  $Z : \mathcal{P}^n_{(0)} \to \mathbb{T}^p(\mathbb{R}^n)$  is SL(*n*) equivariant if

$$Z(\phi P)(y_1,\ldots,y_p) = Z(P)(\phi^{-1}y_1,\ldots,\phi^{-1}y_p)$$

for all  $y_1, \ldots, y_p \in \mathbb{R}^n$ , all  $\phi \in SL(n)$ , and all  $P \in \mathcal{P}^n_{(0)}$ . We state the result by Haberl and Parapatits for  $n \ge 3$  and  $p \ge 2$ .

**Theorem 2.10** (Haberl and Parapatits [58]). A function  $Z: \mathcal{P}^n_{(0)} \to \mathbb{T}^p(\mathbb{R}^n)$  is a Borel measurable, SL(n) equivariant valuation if and only if there are  $c, d \in \mathbb{R}$  such that

$$Z(P) = cM^{p,0}(P) + dM^{0,p}(P^*)$$

for every  $P \in \mathcal{P}_{(0)}^n$ .

Here, the *p*th moment tensor of a convex polytope  $P \in \mathcal{P}_{(0)}^n$  is defined by

$$M^{p,0}(P) := \frac{1}{p!} \int_P x^p \,\mathrm{d}x,$$
(2.2)

where  $x^p$  is the *p*-fold symmetric tensor product of  $x \in \mathbb{R}^n$  and the *p*th LYZ tensor is

$$M^{0,p}(P) := \int_{\mathbb{S}^{n-1}} y^p \, \mathrm{d}S_{n-1,p}(P,y),$$

where  $S_{n-1,p}(P, \cdot)$  is the  $L^p$  surface area measure of P, which is a central notion in the  $L^p$  Brunn–Minkowski theory (see [99, 100]).

For classifications of matrix valuation on  $\mathcal{P}^n$  without regularity assumptions, see [108, 109], and for tensor valuations on lattice polytopes, see [95]. Continuous tensor valuations on complex vector spaces are classified in [4].

### 2.4. Convex body valued valuations and related notions

Affinely associated convex bodies play an important role in convex geometry (see [122, Chapter 10]). We have already mentioned the Legendre and the LYZ ellipsoid and describe here results on valuations  $Z : \mathcal{K}^n \to \mathcal{K}^n$ , where we choose suitable additions on  $\mathcal{K}^n$ . The most classical choice is the *Minkowski addition*, where for  $K, L \in \mathcal{K}^n$ ,

 $K + L := \{x + y : x \in K, y \in L\},\$ 

and such valuations are called Minkowski valuations.

The first classification result for Minkowski valuations was obtained in [83] and strengthened in [86]. It provides a characterization of projection bodies, a notion that was introduced by Minkowski.

**Theorem 2.11** ([86]). An operator  $Z : \mathcal{P}^n \to \mathcal{K}^n$  is a translation invariant, SL(n) contravariant Minkowski valuation if and only if there is  $c \ge 0$  such that

$$ZP = c\Pi P$$

for every  $P \in \mathcal{P}^n$ .

Here, we describe convex bodies by their support functions, where for  $K \in \mathcal{K}^n$ , the *support function*  $h(K, \cdot) : \mathbb{R}^n \to \mathbb{R}$  is given by

$$h(K, y) := \max \{ \langle x, y \rangle : x \in K \}.$$

The support function is homogeneous of degree 1 and convex and any such function is the support function of a convex body. For  $K \in \mathcal{K}^n$ , the *projection body* of K is defined by

$$h(\Pi K, y) := V_{n-1}(K|y^{\perp})$$

for  $y \in \mathbb{S}^{n-1}$ , where  $y^{\perp}$  is the hyperplane orthogonal to y and  $K|y^{\perp}$  denotes the image of the orthogonal projection of K onto  $y^{\perp}$ . We say that  $Z : \mathcal{P}^n \to \mathcal{K}^n$  is SL(n) contravariant if

$$Z(\phi P) = \phi^{-t} Z P$$

for all  $\phi \in SL(n)$  and  $P \in \mathcal{P}^n$ , where  $\phi^{-t}$  is the inverse of the transpose of  $\phi$ . For more information on projection bodies and their many applications, see [48, 122].

We say that  $Z : \mathcal{P}^n \to \mathcal{K}^n$  is SL(n) equivariant if

$$Z(\phi P) = \phi Z P$$

for all  $\phi \in SL(n)$  and  $P \in \mathcal{P}^n$ . The following result establishes a classification SL(n) equivariant valuations.

**Theorem 2.12** ([86]). An operator  $Z : \mathcal{P}^n \to \mathcal{K}^n$  is a translation invariant, SL(n) equivariant Minkowski valuation if and only if there is  $c \ge 0$  such that

$$ZP = cDP$$

for every  $P \in \mathcal{P}^n$ .

Here, the operator  $P \mapsto D P := \{x - y : x, y \in P\}$  assigns to P its difference body (see [48, 122]).

A classification of SL(*n*) equivariant, homogeneous Minkowski valuations on the space,  $\mathcal{K}_0^n$ , of convex bodies containing the origin was obtained in [86]. The result was strengthened by Haberl [53], who was able to drop the assumption of homogeneity. Let  $n \ge 3$ .

**Theorem 2.13** (Haberl [53]). An operator  $Z : \mathcal{K}_0^n \to \mathcal{K}^n$  is a continuous, SL(n) equivariant Minkowski valuation if and only if there are  $c_0 \in \mathbb{R}$  and  $c_1, c_2, c_3 \ge 0$  such that

 $Z K = c_0 m(K) + c_1 K + c_2 (-K) + c_3 M K$ 

for every  $K \in \mathcal{K}_0^n$ .

Here, the moment body, M K, of K is defined by

$$h(\mathbf{M}\,K,\,y) := \int_{K} \left| \langle x,\,y \rangle \right| \,\mathrm{d}x$$

for  $y \in \mathbb{R}^n$ . When divided by the volume of K, the moment body of K is called its *centroid body* and is a classical and important notion going back to at least Dupin (see [48,122]). Results corresponding to Theorem 2.13 for SL(n) contravariant Minkowski valuations were obtained in [53,86]. On the space,  $\mathcal{P}_0^n$ , of convex polytopes containing the origin, classification results for SL(n) contravariant Minkowski valuations

were established in [53, 86] without assuming continuity and additional operators appear. For the SL(n) equivariant case, such results were established in [76].

We remark that the results from Theorem 2.13 and the corresponding results in the SL(n) equivariant case were complemented in [124, 135] by classification results for continuous, homogeneous Minkowski valuations on  $\mathcal{K}^n$ . A complete classification for SL(n) equivariant Minkowski valuations on  $\mathcal{P}_0^n$  was established in [53]. On the space of convex bodies that contain the origin in their interiors, moment bodies allow to define SL(n) equivariant Minkowski valuations, a complete classification on this space was established in [88]. For Minkowski valuations on lattice polytopes, see [33].

Classification results for Minkowski valuations on complex vector spaces were established by Abardia and Bernig [1–3]. They introduce and characterize complex projection and difference bodies.

An important extension of the classical Brunn–Minkowski theory is the more recent  $L^p$  Brunn–Minkowski theory (see [99,100]). For p > 1, the  $L^p$  sum of convex bodies  $K, L \in \mathcal{K}_0^n$  is defined by

$$h^{p}(K +_{p} L, y) := h^{p}(K, y) + h^{p}(L, y)$$

for  $y \in \mathbb{R}^n$ . An  $L^p$  Minkowski valuation  $Z : \mathcal{K}^n \to \mathcal{K}_0^n$  is a valuation where on  $\mathcal{K}_0^n$  this addition is chosen. Classification results were obtained in [76, 86, 117, 118] and led to the definition of asymmetric  $L^p$  projection and moment bodies (see [86]). Inequalities for these new classes of operators were established by Haberl and Schuster [59]. They generalize the  $L^p$  Petty projection and the  $L^p$  Busemann–Petty moment inequalities, which were established by Lutwak, Yang, and Zhang [101], and were, in turn, generalized within the Orlicz–Brunn–Minkowski inequality by Lutwak, Yang, and Zhang [105, 106]. For information on valuations in this setting, see [77].

A classical notion of addition on full dimensional convex bodies in  $\mathbb{R}^n$  is Blaschke addition, which is defined using the sum of surface area measures of convex bodies and the solution of the classical Minkowski problem. The so-called *Blaschke valuations* were classified in [52]. For information on the corresponding question within the  $L^p$  Brunn–Minkowski theory, see [79].

The dual Brunn–Minkowski theory, established by Lutwak [96], is, in a certain way, dual to the classical theory. Star bodies replace convex bodies and radial addition (defined by the addition of radial functions) corresponds to Minkowski addition. Intersection bodies in the dual Brunn–Minkowski theory correspond to projection bodies in the classical theory. Intersection bodies were critical in the solution of the Busemann–Petty problem [97,139]. A classification of radial valuations and a characterization of the intersection body operator was established in [87]. Replacing radial addition by  $L^p$  radial addition leads to  $L^p$  radial valuations (see [51, 54] for classification results).

Since convex bodies can be described by support functions and star bodies by radial functions, a natural extension of the results described above is a classification of valuations  $Z : \mathcal{K}^n \to F(\mathbb{R}^n)$ , where  $F(\mathbb{R}^n)$  is a suitable space of functions on  $\mathbb{R}^n$ . Such results were obtained by Li [74, 75] and by Li and Ma [78], where a characterization of the Laplace transform on convex bodies is established. Another way to describe convex bodies is by suitable measures and a classification of measure valued valuations was obtained by Haberl and Parapatits [56], where characterization results of surface area measures and of  $L^p$  surface area measures were established.

### 3. The Hadwiger theorem on convex bodies

The classical Steiner formula states that the volume of the outer parallel set of a convex body at distance r > 0 can be expressed as a polynomial in r of degree at most n. Using that the outer parallel set of  $K \in \mathcal{K}^n$  at distance r > 0 is just the Minkowski sum of K and  $rB^n$  (the ball of radius r), we get

$$V_n(K+rB^n) = \sum_{j=0}^n r^{n-j} \kappa_{n-j} V_j(K)$$

for every r > 0, where  $\kappa_j$  is the *j*-dimensional volume of the unit ball in  $\mathbb{R}^j$  (with the convention that  $\kappa_0 := 1$ ). The coefficients  $V_j(K)$  are known as the *intrinsic volumes* of *K*. Up to normalization and numbering, they coincide with the classical quermass-integrals. In particular,  $V_{n-1}(K)$  is proportional to the surface area of *K* and  $V_1(K)$  to its mean width (cf. [122]).

The celebrated Hadwiger theorem gives a characterization of intrinsic volumes and a complete classification of continuous, translation and rotation invariant valuations. For n = 2, it follows from the positive solution to Hilbert's Third Problem in this case. It was proved for n = 3 in [60] and then for general  $n \ge 3$  in [61].

**Theorem 3.1** (Hadwiger [61]). A functional  $Z : \mathcal{K}^n \to \mathbb{R}$  is a continuous, translation and rotation invariant valuation if and only if there are  $c_0, \ldots, c_n \in \mathbb{R}$  such that

$$Z(K) = c_0 V_0(K) + \dots + c_n V_n(K)$$

for every  $K \in \mathcal{K}^n$ .

The Hadwiger theorem leads to effortless proofs of numerous results in integral geometry and geometric probability (see [63,69]). An alternate proof of the Hadwiger theorem is due to Klain [67].

We will describe results on translation invariant and rotation equivariant valuations with values in tensor spaces and spaces of convex bodies. We remark that upper semicontinuous, translation and rotation invariant valuations were only classified in the planar case (see [81]).

#### 3.1. Vector and tensor valuation

The first classification of vector valuations was established by Hadwiger and Schneider [64] using rotation equivariant valuations  $Z : \mathcal{K}^n \to \mathbb{R}^n$ , that is, valuations such that

$$Z(\phi K) = \phi Z(K)$$

for all  $\phi \in SO(n)$  and  $K \in \mathcal{K}^n$ .

**Theorem 3.2** (Hadwiger and Schneider [64]). A function  $Z : \mathcal{K}^n \to \mathbb{R}^n$  is a continuous, translation covariant, rotation equivariant valuation if and only if there are  $c_1, \ldots, c_{n+1} \in \mathbb{R}$  such that

$$Z(K) = c_1 M_1^{1,0}(K) + \dots + c_{n+1} M_{n+1}^{1,0}(K)$$

for every  $K \in \mathcal{K}^n$ .

Here  $M_i^{1,0}(K) := \Phi_i^{1,0}(K)$  are the *intrinsic vectors* of K (see (3.1) below) and see (3.2) for the definition of translation covariance.

The theorem by Hadwiger and Schneider was extended by Alesker [5,7] (based on [6]) to a classification of continuous, translation covariant, rotation equivariant tensor valuations on  $\mathcal{K}^n$ . Just as the intrinsic volumes can be obtained from the Steiner polynomial, the moment tensor (defined in (2.2)) satisfies the Steiner formula

$$\mathbf{M}^{p,0}(K+rB^{n}) = \sum_{j=0}^{n+p} r^{n+p-j} \kappa_{n+p-j} \sum_{k\geq 0} \Phi_{j-p+k}^{p-k,k}(K)$$
(3.1)

for  $K \in \mathcal{K}^n$  and  $r \ge 0$ . The coefficients  $\Phi_k^{p,s}(K)$  are called the *Minkowski tensors* of *K* (see [122, Section 5.4]). Recall that  $\mathbb{T}^p(\mathbb{R}^n)$  is the space of symmetric *p*-tensors on  $\mathbb{R}^n$  and let  $Q \in \mathbb{T}^2(\mathbb{R}^n)$  be the metric tensor, that is,  $Q(x, y) := \langle x, y \rangle$  for  $x, y \in \mathbb{R}^n$ .

**Theorem 3.3** (Alesker [5]). A function  $Z : \mathcal{K}^n \to \mathbb{T}^p(\mathbb{R}^n)$  is a continuous, translation covariant, rotation equivariant valuation if and only if Z can be written as linear combination of the symmetric tensor products  $Q^l \Phi_k^{m,s}$  with 2l + m + s = p.

Here, a valuation  $Z : \mathcal{K}^n \to \mathbb{T}^p(\mathbb{R}^n)$  is called *translation covariant* if there exist associated functions  $Z^j : \mathcal{K}^n \to \mathbb{T}^j(\mathbb{R}^n)$  for j = 0, ..., p such that

$$Z(K+y) = \sum_{j=0}^{p} Z^{r-j}(K) \frac{y^{j}}{j!}$$
(3.2)

for all  $y \in \mathbb{R}^n$  and  $K \in \mathcal{K}^n$ , where on the right side we sum over symmetric tensor products. We say that Z is *G* equivariant for a group *G* acting on  $\mathbb{R}^n$  if

$$Z(\phi K)(y_1,\ldots,y_p) = Z(K)(\phi^t y_1,\ldots,\phi^t y_p)$$

for all  $y_1, \ldots, y_p \in \mathbb{R}^n$ , all transformation  $\phi \in G$ , and all  $K \in \mathcal{K}^n$ , where  $\phi^t$  is the transpose of  $\phi$ .

For a classification of local tensor valuations, see [65], and for applications in various fields, including astronomy and material sciences, see [66].

#### **3.2.** Convex body valued valuations

An operator Z :  $\mathcal{K}^n \to \mathcal{K}^n$  is called *Minkowski additive* if

$$Z(K + L) = Z(K) + Z(L)$$

for all  $K, L \in \mathcal{K}^n$ . Since  $K + L = K \cup L + K \cap L$  for  $K, L \in \mathcal{K}^n$  with  $K \cup L \in \mathcal{K}^n$ , it is easy to see that every Minkowski additive operator is a Minkowski valuation. While the first classification results for Minkowski valuations were established in [83], Schneider [120] earlier obtained the first classification results for rotation equivariant Minkowski additive operators. For continuous, translation invariant, rotation equivariant Minkowski valuations, so far no complete classification has been established. But the following representation is known to hold. Let  $\mathcal{M}_{cen}(\mathbb{S}^{n-1})$  and  $C_{cen}(\mathbb{S}^{n-1})$ denote the spaces of signed Borel measures and continuous functions on  $\mathbb{S}^{n-1}$ , respectively, having their center of mass at the origin.

**Theorem 3.4** (Schuster and Wannerer [123]). If  $Z : \mathcal{K}^n \to \mathcal{K}^n$  is a continuous, translation invariant, rotation equivariant Minkowski valuation, then there are uniquely determined constants  $c_0, c_n \ge 0$  and SO(n-1) invariant measures  $\mu_i \in \mathcal{M}_{cen}(\mathbb{S}^{n-1})$  for  $1 \le i \le n-2$ , as well as an SO(n-1) invariant function  $\zeta_{n-1} \in C_{cen}(\mathbb{S}^{n-1})$  such that

$$h(ZK, \cdot) = c_0 + \sum_{i=1}^{n-2} S_i(K, \cdot) * \mu_i + S_{n-1}(K, \cdot) * \zeta_{n-1} + c_n V_n(K)$$

for every  $K \in \mathcal{K}^n$ .

The Borel measures  $S_i(K, \cdot)$  on  $\mathbb{S}^{n-1}$  are Aleksandrov's area measures (see [122]) of  $K \in \mathcal{K}^n$ . The convolution of functions and measures on  $\mathbb{S}^{n-1}$  is induced from the group SO(*n*) by identifying  $\mathbb{S}^{n-1}$  with the homogeneous space SO(*n*)/SO(*n* - 1) (see [123]). The above representation formula has to be read in the sense of equality of measures and  $h(\mathbb{Z} K, \cdot)$  is identified with the measure with this density.

### 4. More on invariant valuations on convex bodies

Translation invariant valuations on polytopes were classified using simplicity or mild regularity assumptions. Hadwiger [62] established a complete classification of simple, weakly continuous, translation invariant valuations on convex polytopes. Here,

informally, a valuation is *weakly continuous* if it is continuous under parallel displacements of the facets of a polytope. Hadwiger's result was extended by McMullen [112] to the following result.

**Theorem 4.1** (McMullen [112]). A functional Z :  $\mathcal{P}^n \to \mathbb{R}$  is a weakly continuous, translation invariant valuation if and only if

$$Z(P) = \sum_{j=0}^{n} \sum_{F \in \mathcal{F}_{j}(P)} Y_{j} \left( N(P, F) \right) V_{j}(F)$$

for every  $P \in \mathcal{P}^n$  where  $Y_j : \mathcal{Q}^{n-j} \to \mathbb{R}$  is a simple valuation.

Here,  $\mathcal{F}_j(P)$  is the set of *j*-dimensional faces of *P* and N(P, F) is the normal cone to *P* at *F* while  $\mathcal{Q}^k$  is the system of all closed polyhedral convex cones of dimension at most *k*. We remark that valuations on convex polyhedral cones (or, equivalently, on spherical polytopes) are not yet well understood and the problems to classify simple, rotation invariant valuations on spherical polytopes and on spherical convex bodies are open on spheres of dimension  $\geq 3$  (even if continuity is assumed). Kusejko and Parapatits [72] extended Hadwiger's result and established a complete classification of simple, translation invariant valuations on polytopes using Cauchy functions.

Hadwiger [63] proved that simple, continuous, translation invariant valuations on  $\mathcal{K}^n$  have a *homogeneous decomposition*. His result was extended by McMullen [110].

**Theorem 4.2** (McMullen [110]). If  $Z : \mathcal{K}^n \to \mathbb{R}$  is a continuous and translation invariant valuation, then

$$\mathbf{Z}=\mathbf{Z}_0+\cdots+\mathbf{Z}_n,$$

where  $Z_j : \mathcal{K}^n \to \mathbb{R}$  is a continuous, translation invariant valuation that is homogeneous of degree j.

It is easy to see that every continuous, translation invariant valuation that is homogeneous of degree 0 is a multiple of the Euler characteristic. For the degrees of homogeneity j = n and j = n - 1, the following results hold.

**Theorem 4.3** (Hadwiger [63]). A functional  $Z : \mathcal{P}^n \to \mathbb{R}$  is a translation invariant valuation that is homogeneous of degree n if and only if there is  $c \in \mathbb{R}$  such that

$$Z(P) = cV_n(P)$$

for every  $P \in \mathcal{P}^n$ .

**Theorem 4.4** (McMullen [111]). A functional  $Z : \mathcal{K}^n \to \mathbb{R}$  is a continuous and translation invariant valuation which is homogeneous of degree (n - 1) if and only if there is  $\zeta \in C(\mathbb{S}^{n-1})$  such that

$$Z(K) = \int_{\mathbb{S}^{n-1}} \zeta(y) \, \mathrm{d}S_{n-1}(K, y)$$

for every  $K \in \mathcal{K}^n$ . The function  $\zeta$  is uniquely determined up to addition of the restriction of a linear function.

Continuous, translation invariant valuations that are homogeneous of degree 1 were classified by Goodey and Weil [49].

While a complete classification of continuous, translation invariant valuations on  $\mathcal{K}^n$  is out of reach, Alesker [9] proved the following result.

**Theorem 4.5** (Alesker [9]). For  $0 \le j \le n$ , linear combinations of the valuations

$$\left\{K \mapsto V\left(K[j], K_1, \dots, K_{n-j}\right) : K_1, \dots, K_{n-j} \in \mathcal{K}^n\right\}$$

are dense in the space of continuous and translation invariant valuations that are homogeneous of degree *j*.

Here,  $V(K[j], K_1, \ldots, K_{n-j})$  is the mixed volume of K taken j times and  $K_1, \ldots, K_{n-j}$  while the topology on the space of continuous, translation invariant valuations is induced by the norm  $||Z|| := \sup\{|Z(K)| : K \in \mathcal{K}^n, K \subseteq B^n\}$ . Alesker's result confirms a conjecture by McMullen [111] and is based on Alesker's so-called irreducibility theorem, which was proved in [9] and which has far-reaching consequences.

For simple valuations, the following complete classification was established by Klain and Schneider.

**Theorem 4.6** (Klain [67], Schneider [121]). A functional  $Z : \mathcal{K}^n \to \mathbb{R}$  is a simple, continuous, translation invariant valuation if and only if there are  $c \in \mathbb{R}$  and an odd function  $\zeta \in C(\mathbb{S}^{n-1})$  such that

$$Z(K) = \int_{\mathbb{S}^{n-1}} \zeta(y) \,\mathrm{d}S_{n-1}(K, y) + cV_n(K)$$

for every  $K \in \mathcal{K}^n$ . The function  $\zeta$  is uniquely determined up to addition of the restriction of a linear function.

Klain [67] used his classification of simple valuations in his proof of the Hadwiger theorem. For an alternate proof of Theorem 4.6, see [72].

A valuation  $Z : \mathcal{K}^n \to \mathbb{R}$  is called *translatively polynomial* if  $x \mapsto Z(P + x)$  is a polynomial in the coordinates of  $x \in \mathbb{R}^n$  for all  $K \in \mathcal{K}^n$ . Alesker [6] established a complete classification of continuous, translatively polynomial, rotation invariant valuations on  $\mathcal{K}^n$ . Theorem 3.3 is the version of this result for tensor valuations.

Classification results for continuous, translation invariant valuations that are invariant under indefinite orthogonal groups were established by Alesker and Faifman [16] and Bernig and Faifman [23]. For subgroups of the orthogonal group O(n), the following result holds.

**Theorem 4.7** (Alesker [8, 12]). For a compact subgroup G of O(n), the linear space of continuous, translation and G invariant valuations on  $\mathcal{K}^n$  is finite dimensional if and only if G acts transitively on  $\mathbb{S}^{n-1}$ .

As the classification of the such subgroups G is known, it was a natural task (which was already proposed in [8]) to find bases for spaces of G invariant valuations (see [9–11,13,19,20,22,24–27] for results on real valued valuations and [31,136] for results on tensor and measure valued valuations).

# 5. Affine valuations on function spaces

We describe classification results for valuations on function spaces that correspond to the results in Section 2. Let  $F(\mathbb{R}^n)$  be a space of functions  $f : \mathbb{R}^n \to [-\infty, \infty]$  and let *G* be a subgroup of GL(*n*). An operator  $Z : F(\mathbb{R}^n) \to \mathbb{A}$  is *G* invariant if

$$Z(f \circ \phi^{-1}) = Z(f)$$

for all  $\phi \in G$  and  $f \in F(\mathbb{R}^n)$ . If G acts on A, we say that an operator Z :  $F(\mathbb{R}^n) \to \mathbb{A}$  is G contravariant if for some  $q \in \mathbb{R}$ ,

$$Z(f \circ \phi^{-1}) = |\det \phi|^q \phi^{-t} Z(f)$$

for all  $\phi \in G$  and  $f \in F(\mathbb{R}^n)$ . It is *G* equivariant if for some  $q \in \mathbb{R}$ ,

$$Z(f \circ \phi^{-1}) = |\det \phi|^q \phi Z(f)$$

for all  $\phi \in G$  and  $f \in F(\mathbb{R}^n)$ . It is called *homogeneous* if for some  $q \in \mathbb{R}$ ,

$$\mathbf{Z}(sf) = |s|^q \, \mathbf{Z}(f)$$

for all  $s \in \mathbb{R}$  and  $f \in F(\mathbb{R}^n)$  such that  $sf \in F(\mathbb{R}^n)$ . An operator is called *affinely contravariant* if it is translation invariant, GL(n) contravariant, and homogeneous.

### 5.1. Valuations on Sobolev spaces

For  $p \ge 1$ , let  $W^{1,p}(\mathbb{R}^n)$  be the Sobolev space of functions belonging to  $L^p(\mathbb{R}^n)$  whose distributional first-order derivatives belong to  $L^p(\mathbb{R}^n)$ .

The following result corresponds to Theorem 2.11. Let  $\mathcal{K}_c^n$  be the set of originsymmetric convex bodies in  $\mathbb{R}^n$ . Let  $n \ge 3$ .

**Theorem 5.1** ([90]). An operator  $Z : W^{1,1}(\mathbb{R}^n) \to \mathcal{K}_c^n$  is a continuous, affinely contravariant Minkowski valuation if and only if there is  $c \ge 0$  such that

$$Z(f) = c \Pi \langle f \rangle$$

for every  $f \in W^{1,1}(\mathbb{R}^n)$ .

Here, for  $f \in W^{1,1}(\mathbb{R}^n)$ , the *LYZ body*  $\langle f \rangle$  is defined by Lutwak, Yang, and Zhang [104] as the unique origin-symmetric convex body in  $\mathbb{R}^n$  such that

$$\int_{\mathbb{S}^{n-1}} \zeta(y) \, \mathrm{d}S_{n-1}\big(\langle f \rangle, y\big) = \int_{\mathbb{R}^n} \zeta\big(\nabla f(x)\big) \, \mathrm{d}x \tag{5.1}$$

for every even continuous function  $\zeta : \mathbb{R}^n \to \mathbb{R}$  that is homogeneous of degree 1. Equation (5.1) is a functional version of the classical even Minkowski problem.

Combined with (5.1), it follows from the definition of projection bodies and surface area measures that for  $f \in W^{1,1}(\mathbb{R}^n)$  and  $y \in \mathbb{S}^{n-1}$ ,

$$h(\Pi \langle f \rangle, y) = \frac{1}{2} \int_{\mathbb{R}^n} |\langle \nabla f(x), y \rangle| \, \mathrm{d}x.$$

We remark that the convex body  $\langle f \rangle$  has proved to be critical in geometric analysis: the affine Sobolev–Zhang inequality [138] is a volume inequality for the polar body of  $\Pi \langle f \rangle$ , which strengthens and implies the Euclidean case of the classical Sobolev inequality, and it was proved in [104] that  $\langle f \rangle$  describes the optimal Sobolev norm of  $f \in W^{1,1}(\mathbb{R}^n)$ . Tuo Wang [133] studied the LYZ operator  $f \mapsto \langle f \rangle$  on the space of functions of bounded variation. Here, the LYZ operator is not a valuation anymore but Wang [134] established a characterization as an affinely covariant Blaschke semivaluation.

The following classification of tensor valuation corresponds to Theorem 2.10 for p = 2. Let  $n \ge 3$ .

**Theorem 5.2** ([89]). An operator  $Z : W^{1,2}(\mathbb{R}^n) \to \mathbb{T}^2(\mathbb{R}^n)$  is a continuous, affinely contravariant valuation if and only if there is  $c \in \mathbb{R}$  such that

$$Z(f) = c J(f^2)$$

for every  $f \in W^{1,2}(\mathbb{R}^n)$ .

Here, we write J(h) for the *Fisher information matrix* of the weakly differentiable function  $h : \mathbb{R}^n \to [0, \infty)$ , that is, the  $n \times n$  matrix with entries

$$\mathbf{J}_{ij}(h) := \int_{\mathbb{R}^n} \frac{\partial \log h(x)}{\partial x_i} \frac{\partial \log h(x)}{\partial x_j} h(x) \, \mathrm{d}x.$$
(5.2)

We remark that the Fisher information matrix plays an important role in information theory and statistics (see [45]). In general, Fisher information is a measure of the minimum error in the maximum likelihood estimate of a parameter in a distribution. The Fisher information matrix (5.2) describes such an error for a random vector of density h with respect to a location parameter.

For results on real valued valuations on Sobolev spaces, see [107].

# 5.2. Valuations on convex functions

We write  $\operatorname{Conv}(\mathbb{R}^n)$  for the space of convex functions  $u : \mathbb{R}^n \to (-\infty, \infty]$  that are lower semicontinuous and proper, that is,  $u \neq \infty$ . We equip  $\operatorname{Conv}(\mathbb{R}^n)$  and its subspaces with the topology induced by epi-convergence (see [119]). Let

$$\operatorname{Conv}_{\operatorname{coe}}(\mathbb{R}^n) := \left\{ u \in \operatorname{Conv}(\mathbb{R}^n) : \lim_{|x| \to \infty} u(x) = \infty \right\}$$

be the space of *coercive*, convex functions, where |x| is the Euclidean norm of  $x \in \mathbb{R}^n$ . The following result corresponds to Theorem 2.1.

**Theorem 5.3** ([38]). A functional Z :  $Conv_{coe}(\mathbb{R}^n) \to [0, \infty)$  is a continuous, translation and SL(n) invariant valuation if and only if there are a continuous function  $\zeta_0 : \mathbb{R} \to [0, \infty)$  and a continuous function  $\zeta_n : \mathbb{R} \to [0, \infty)$  with finite (n - 1)th moment such that

$$Z(u) = \zeta_0 \Big( \min_{x \in \mathbb{R}^n} u(x) \Big) + \int_{\operatorname{dom} u} \zeta_n \big( u(x) \big) \, \mathrm{d}x$$

for every  $u \in \text{Conv}_{\text{coe}}(\mathbb{R}^n)$ .

Here, a function  $\zeta : \mathbb{R} \to [0, \infty)$  has finite *k*th moment if  $\int_0^\infty t^k \zeta(t) dt < \infty$  and dom *u* is the *domain* of *u*, that is, dom  $u := \{x \in \mathbb{R}^n : u(x) < \infty\}$ .

Let  $\text{Conv}(\mathbb{R}^n; \mathbb{R})$  be the space of finite valued convex functions, that is, of convex functions  $u : \mathbb{R}^n \to \mathbb{R}$ . We say that  $u \in \text{Conv}(\mathbb{R}^n)$  is *super-coercive* if

$$\lim_{|x|\to\infty}\frac{u(x)}{|x|}=\infty.$$

Let  $\text{Conv}_{\text{sc}}(\mathbb{R}^n; \mathbb{R})$  be the space of *super-coercive*, finite valued, convex functions. The following result corresponds to Theorem 2.4.

**Theorem 5.4** (Mussnig [114]). A functional  $Z : \operatorname{Conv}_{sc}(\mathbb{R}^n; \mathbb{R}) \to [0, \infty)$  is a continuous, translation and  $\operatorname{SL}(n)$  invariant valuation if and only if there are a continuous  $\zeta_0 : \mathbb{R} \to [0, \infty)$ , a continuous  $\zeta_n : \mathbb{R} \to [0, \infty)$  with finite (n - 1)th moment, and a continuous  $\zeta_{-n} : \mathbb{R} \to [0, \infty)$  whose support is bounded from above such that

$$Z(u) = \zeta_0 \left( \min_{x \in \mathbb{R}^n} u(x) \right) + \int_{\mathbb{R}^n} \zeta_n \left( u(x) \right) dx + \int_{\mathbb{R}^n} \zeta_{-n} \left( u(x) \right) dMA(u, x)$$

for every  $u \in \text{Conv}_{\text{sc}}(\mathbb{R}^n; \mathbb{R})$ .

Here, MA $(u, \cdot)$  denotes the Monge–Ampère measure of u, which is also called the *n*th Hessian measure. See [113] for a result on coercive functions in Conv $(\mathbb{R}^n; \mathbb{R})$ .

The following results correspond to Theorems 2.11 and 2.12. Let  $n \ge 3$ .

**Theorem 5.5** ([37]). An operator  $Z : \operatorname{Conv}_{\operatorname{coe}}(\mathbb{R}^n) \to \mathcal{K}^n$  is a continuous, monotone, translation invariant,  $\operatorname{SL}(n)$  contravariant Minkowski valuation if and only if there is a continuous, decreasing  $\xi : \mathbb{R} \to [0, \infty)$  with finite (n - 2)th moment such that

$$Z(u) = \Pi \left< \zeta \circ u \right>$$

for every  $u \in \text{Conv}_{\text{coe}}(\mathbb{R}^n)$ .

For  $u \in \text{Conv}_{\text{coe}}(\mathbb{R}^n)$  and suitable  $\zeta \in C(\mathbb{R})$ , define the *level set body*  $[\zeta \circ u] \in \mathcal{K}^n$  by

$$h\big([\zeta \circ u], y\big) := \int_0^\infty h\big(\{\zeta \circ u \ge t\}, y\big) \,\mathrm{d}t$$

for  $y \in \mathbb{R}^n$ . Hence the level set body is a Minkowski average of the level sets.

**Theorem 5.6** ([37]). An operator  $Z : \operatorname{Conv}_{\operatorname{coe}}(\mathbb{R}^n) \to \mathcal{K}^n$  is a continuous, monotone, translation invariant,  $\operatorname{SL}(n)$  equivariant Minkowski valuation if and only if there is a continuous, decreasing  $\zeta : \mathbb{R} \to [0, \infty)$  with finite integral over  $[0, \infty)$  such that

$$\mathbf{Z}(u) = \mathbf{D}\left[\boldsymbol{\zeta} \circ \boldsymbol{u}\right]$$

for every  $u \in \text{Conv}_{\text{coe}}(\mathbb{R}^n)$ .

We remark that the results in this section can be easily translated to classification results for valuations on log-concave functions. In this setting, the results on convex body valued valuations were strengthened by Mussnig [115].

# 6. The Hadwiger theorem on convex functions

We call a functional Z :  $Conv_{sc}(\mathbb{R}^n) \to \mathbb{R}$  epi-translation invariant if

$$Z(u \circ \tau^{-1} + c) = Z(u)$$

for all translations  $\tau : \mathbb{R}^n \to \mathbb{R}^n$  and  $c \in \mathbb{R}$ . Hence Z(u) is not changed by translations of the epi-graph of u. To state the Hadwiger theorem on  $\text{Conv}_{\text{sc}}(\mathbb{R}^n)$ , we need to define functional versions of the intrinsic volumes. Let  $C_b((0, \infty))$  be the set of continuous functions on  $(0, \infty)$  with bounded support. For  $0 \le j \le n - 1$ , let

$$D_j^n := \left\{ \zeta \in C_b((0,\infty)) : \lim_{s \to 0^+} s^{n-j} \zeta(s) = 0, \\ \lim_{s \to 0^+} \int_s^\infty t^{n-j-1} \zeta(t) \, \mathrm{d}t \text{ exists and is finite} \right\}.$$

In addition, let  $D_n^n$  be the set of functions  $\zeta \in C_b((0,\infty))$  where  $\lim_{s\to 0^+} \zeta(s)$  exists and is finite, and set  $\zeta(0) := \lim_{s\to 0^+} \zeta(s)$ .

**Theorem 6.1** ([39]). For  $0 \le j \le n$  and  $\zeta \in D_j^n$ , there exists a unique, continuous, epi-translation and rotation invariant valuation  $V_{j,\xi}$ : Conv<sub>sc</sub>( $\mathbb{R}^n$ )  $\to \mathbb{R}$  such that

$$\mathbf{V}_{j,\zeta}(u) = \int_{\mathbb{R}^n} \zeta(|\nabla u(x)|) [\mathbf{D}^2 u(x)]_{n-j} \, \mathrm{d}x$$

for every  $u \in \operatorname{Conv}_{\mathrm{sc}}(\mathbb{R}^n) \cap C^2_+(\mathbb{R}^n)$ .

Here,  $D^2 u$  is the Hessian matrix of u and  $[D^2 u(x)]_k$  the kth elementary symmetric functions of the eigenvalues of  $D^2 u(x)$  (with the convention that  $[D^2 u(x)]_0 :\equiv 1$ ) while  $C^2_+(\mathbb{R}^n)$  is the space of twice continuously differentiable functions with positive definite Hessian. We remark that  $V_{0,\xi}$  is constant on  $\text{Conv}_{sc}(\mathbb{R}^n)$ .

The following result is the Hadwiger theorem on  $\text{Conv}_{\text{sc}}(\mathbb{R}^n)$ . Here, a functional  $Z : \text{Conv}_{\text{sc}}(\mathbb{R}^n) \to \mathbb{R}$  is said to be *rotation invariant* if  $Z(u \circ \vartheta^{-1}) = Z(u)$  for every  $\vartheta \in \text{SO}(n)$ . Let  $n \ge 2$ .

**Theorem 6.2** ([39]). A functional Z :  $Conv_{sc}(\mathbb{R}^n) \to \mathbb{R}$  is a continuous, epi-translation and rotation invariant valuation if and only if there are functions  $\zeta_0 \in D_0^n, \ldots, \zeta_n \in D_n^n$  such that

$$Z(u) = V_{0,\xi_0}(u) + \dots + V_{n,\xi_n}(u)$$

for every  $u \in \operatorname{Conv}_{\mathrm{sc}}(\mathbb{R}^n)$ .

A comparison of Theorems 3.1 and 6.2 shows that for  $0 \le j \le n$  and  $\zeta \in D_j^n$ , the functional  $V_{j,\zeta}$  plays a role corresponding to that of the *j* th intrinsic volume  $V_j$ . Hence, we call  $V_{j,\zeta}$  a *j* th *functional intrinsic volume* on  $\text{Conv}_{sc}(\mathbb{R}^n)$ . It is connected to the classical intrinsic volume by

$$V_{j,\xi}(I_K) = c V_j(K)$$

for  $K \in \mathcal{K}^n$  where  $I_K$  is the convex indicator function (that is,  $I_K(x) = 0$  for  $x \in K$  and  $I_K(x) = \infty$  otherwise) and *c* depends only on *j*, *n*, and  $\zeta$  (see [42]).

We call a functional Z :  $Conv(\mathbb{R}^n; \mathbb{R}) \to \mathbb{R}$  dually epi-translation invariant if

$$Z(v + \ell + c) = Z(v)$$

for all linear functions  $\ell : \mathbb{R}^n \to \mathbb{R}$  and  $c \in \mathbb{R}$ . Using the convex conjugate or Legendre transform of  $u \in \text{Conv}_{sc}(\mathbb{R}^n)$ , given by

$$u^*(y) := \sup_{x \in \mathbb{R}^n} (\langle x, y \rangle - u(x))$$

for  $y \in \mathbb{R}^n$ , we see that  $v \mapsto Z(v)$  is dually epi-translation invariant on  $\text{Conv}(\mathbb{R}^n; \mathbb{R})$  if and only if  $u \mapsto Z(u^*)$  is epi-translation invariant on  $\text{Conv}_{\text{sc}}(\mathbb{R}^n)$ . It was proved in [40] that Z is a continuous valuation on  $\text{Conv}(\mathbb{R}^n; \mathbb{R})$  if and only if  $Z^*: \text{Conv}_{\text{sc}}(\mathbb{R}^n) \to \mathbb{R}$ , defined by

$$\mathbf{Z}^*(u) := \mathbf{Z}(u^*),$$

is a continuous valuation on  $\text{Conv}_{\text{sc}}(\mathbb{R}^n)$ . This fact permits us to transfer results valid for valuations on  $\text{Conv}_{\text{sc}}(\mathbb{R}^n)$  to results for valuations on  $\text{Conv}(\mathbb{R}^n;\mathbb{R})$  and vice versa.

The following result is obtained from Theorem 6.1 by using convex conjugation.

**Theorem 6.3** ([39]). For  $0 \le j \le n$  and  $\zeta \in D_j^n$ , the functional  $V_{j,\zeta}^*$ : Conv $(\mathbb{R}^n; \mathbb{R}) \to \mathbb{R}$  is a continuous, dually epi-translation and rotation invariant valuation such that

$$\mathbf{V}_{j,\zeta}^{*}(v) = \int_{\mathbb{R}^{n}} \zeta\big(|x|\big) \big[ \mathbf{D}^{2} v(x) \big]_{j} \,\mathrm{d}x \tag{6.1}$$

for every  $v \in \text{Conv}(\mathbb{R}^n; \mathbb{R}) \cap C^2_+(\mathbb{R}^n)$ .

Here,  $V_{j,\zeta}^*(v) := V_{j,\zeta}(v^*)$  for  $0 \le j \le n$  and  $\zeta \in D_j^n$ . Theorem 6.2 has the following dual version. Let  $n \ge 2$ .

**Theorem 6.4** ([39]). A functional Z :  $Conv(\mathbb{R}^n; \mathbb{R}) \to \mathbb{R}$  is a continuous, dually epitranslation and rotation invariant valuation if and only if there are functions  $\zeta_0 \in D_0^n$ ,  $\ldots, \zeta_n \in D_n^n$  such that

$$Z(v) = V_{0,\xi_0}^*(v) + \dots + V_{n,\xi_n}^*(v)$$

for every  $v \in \text{Conv}(\mathbb{R}^n; \mathbb{R})$ .

For  $\zeta \in D_i^n$ , the functional  $V_{i,\zeta}^*$  is connected to the classical intrinsic volume by

$$\mathbf{V}_{i,\xi}^*(h_K) = c \, V_j(K)$$

for  $K \in \mathcal{K}^n$ , where *c* depends only on *j*, *n*, and  $\zeta$  (see [42]).

Applications of the Hadwiger theorem on convex functions including integral geometric formulas and additional representations of functional intrinsic volumes can be found in [42].

# 7. More on invariant valuations on function spaces

For continuous, epi-translation invariant valuations on  $\text{Conv}_{\text{sc}}(\mathbb{R}^n)$ , the existence of a homogeneous decomposition corresponding to Theorem 4.2 was established in [41], that is, every such valuation is a linear combination of continuous, epi-translation

invariant valuations that are epi-homogeneous of degree j and  $0 \le j \le n$ . Here Z is called *epi-homogeneous* of degree j if Z(u) is multiplied by  $t^j$  when the epi-graph of u is multiplied by t > 0. It is not difficult to see that every continuous, epi-translation invariant valuation that is epi-homogeneous of degree 0 is constant.

The following classification corresponding to Theorem 4.3 was established in [41].

**Theorem 7.1** ([41]). A functional Z :  $\text{Conv}_{sc}(\mathbb{R}^n) \to \mathbb{R}$  is an epi-translation invariant valuation that is epi-homogeneous of degree n if and only if there is  $\zeta \in C_c(\mathbb{R}^n)$  such that

$$Z(u) = \int_{\operatorname{dom} u} \zeta \big( \nabla u(x) \big) \, \mathrm{d} x$$

for every  $u \in \text{Conv}_{\text{sc}}(\mathbb{R}^n)$ .

Here,  $C_c(\mathbb{R}^n)$  is the space of continuous functions with compact support. The result corresponding to Theorem 7.1 on  $\text{Conv}(\mathbb{R}^n;\mathbb{R})$  is stated next.

**Theorem 7.2** ([41]). A functional Z :  $\text{Conv}(\mathbb{R}^n; \mathbb{R}) \to \mathbb{R}$  is a dually epi-translation invariant valuation that is homogeneous of degree n if and only if there is  $\zeta \in C_c(\mathbb{R}^n)$  such that

$$Z(v) = \int_{\mathbb{R}^n} \zeta(x) \, \mathrm{d} \, \mathrm{MA}(v, x)$$

for every  $v \in \text{Conv}(\mathbb{R}^n; \mathbb{R})$ .

See [41], for more information on homogeneous decompositions and why such results do not hold for many spaces of convex functions. For more results on valuations on convex functions, see [15, 34, 70, 71], and for results on valuations on quasi-concave functions, see [35, 36].

While formally not results for valuations on function spaces, classification results for valuations on star shaped sets in  $\mathbb{R}^n$  were the motivation for some of the results on function spaces. Let  $S^n(\mathbb{R}^n)$  be the space of sets  $S \subset \mathbb{R}^n$  which are star shaped with respect to the origin and whose radial functions  $\rho(S, \cdot) : \mathbb{S}^{n-1} \to [0, \infty]$ , given by

$$\rho(S, x) := \sup\{r \ge 0 : rx \in S\},\$$

are in  $L^n(\mathbb{S}^{n-1})$ . Let  $S_0$  be the space of star bodies, that is, of star shaped sets with continuous radial functions. We remark that  $S_0^n$  is the space used in the dual Brunn–Minkowski theory (see [48, 96]). Note that union and intersection on  $S^n(\mathbb{R}^n)$  and on  $S_0^n$  correspond to the pointwise maximum and minimum for radial functions. We equip  $S^n(\mathbb{R}^n)$  with the topology induced by the  $L^n$  norm on  $\mathbb{S}^{n-1}$  and  $S_0^n$  with the topology induced by the maximum norm.

Klain [68] established the following classification results on star shaped sets.

**Theorem 7.3** (Klain [68]). A functional  $Z : S^n(\mathbb{R}^n) \to \mathbb{R}$  is a continuous, rotation invariant valuation with  $Z(\{0\}) = 0$  if and only if there is  $\zeta \in C([0, \infty))$  with the properties that  $\zeta(0) = 0$  and  $|\zeta(t)| \le c + d |t|^n$  for all  $t \in \mathbb{R}$  for some  $c, d \ge 0$  such that

$$Z(S) = \int_{\mathbb{S}^{n-1}} \zeta(\rho(S, y)) \,\mathrm{d}y$$

for every  $S \in S^n(\mathbb{R}^n)$ .

If the valuation Z in Theorem 7.3 is in addition positively homogeneous of degree p, then  $\zeta(t) = ct^p$  with  $c \in \mathbb{R}$  and  $0 \le p \le n$  and hence Z is a dual mixed volume (as defined by Lutwak [96]).

Tsang [130] obtained classification results for valuations on  $L^p(X, \mu)$ , when X is a non-atomic measure space. Here we state a special case of his results that complements Theorem 7.3. Let  $p \ge 1$ .

**Theorem 7.4** (Tsang [130]). A functional  $Z : L^p(\mathbb{R}^n) \to \mathbb{R}$  is a continuous, translation invariant valuation that vanishes on the null function if and only if there is  $\zeta \in C(\mathbb{R})$  with the property that  $|\zeta(t)| \leq c|t|^p$  for all  $t \in \mathbb{R}$  for some  $c \geq 0$  such that

$$Z(f) = \int_{\mathbb{R}^n} \zeta(f(x)) \, \mathrm{d}x$$

for every  $f \in L^p(\mathbb{R}^n)$ .

We remark that also Theorem 7.3 can be written as a classification result on the space of non-negative functions in  $L^n(\mathbb{S}^{n-1})$  (also see [130]). For results on tensor and Minkowski valuations on  $L^p$  space, see [91, 116, 131].

Villanueva [132] obtained classification results for non-negative valuations on star bodies. In [127], Tradacete and Villanueva showed that a result corresponding to the classification from Theorem 7.3 is valid on  $S_0^n$ . A complete classification on  $S_0^n$  is given in the following result.

**Theorem 7.5** (Tradacete and Villanueva [128]). A functional  $Z : S_0^n \to \mathbb{R}$  is a continuous valuation if and only if there are a finite Borel measure  $\mu$  on  $\mathbb{S}^{n-1}$  and a function  $\zeta : [0, \infty) \times \mathbb{S}^{n-1} \to \mathbb{R}$  that fulfills the strong Carathéodory condition with respect to  $\mu$  such that

$$Z(S) = \int_{\mathbb{S}^{n-1}} \zeta(\rho(S, y), y) \,\mathrm{d}\mu(y)$$

for every  $u \in S_0^n$ .

Here, we say that  $\zeta : [0, \infty) \times \mathbb{S}^{n-1} \to \mathbb{R}$  fulfills the *strong Carathéodory condition* with respect to  $\mu$  if  $\zeta(s, \cdot)$  is Borel measurable for all  $s \ge 0$  and  $\zeta(\cdot, y)$  is continuous for  $\mu$  almost every  $y \in \mathbb{S}^{n-1}$ , while for every t > 0 there is  $\xi_t \in L^1(\mathbb{S}^{n-1}, \mu)$ such that  $\zeta(s, y) \le \xi_t(y)$  for s < t and  $\mu$  almost every  $y \in \mathbb{S}^{n-1}$ . We remark that Theorem 7.5 can be rewritten as a result on valuations on non-negative functions in  $C(\mathbb{S}^{n-1})$ .

Classification results for valuations on Lipschitz functions on  $\mathbb{S}^{n-1}$  were obtained in [43, 44] and on Banach lattices in [129]. A Hadwiger theorem for valuations on definable functions was established in [18].

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