

Appendix C

Pseudodifferential calculus with a large parameter on

$\mathbb{R} \times \mathbb{T}^d$

In this appendix, we collect several results on pseudodifferential calculus that we shall need in this work. We refer to [2, 119] for a more general and complete approach. Here, our framework is adapted to the physical space $\mathbb{R} \times \mathbb{T}^d$.

For any symbol of the form $a(t, x, \gamma, \tau, k)$ defined on $\mathbb{R} \times \mathbb{T}^d \times (0, +\infty) \times \mathbb{R} \times \mathbb{R}^d \setminus \{0\}$, we consider the quantization

$$\text{Op}^\gamma(a)(h)(t, x) = \frac{1}{(2\pi)^{d+1}} \int_{\mathbb{R} \times \mathbb{Z}^d} e^{i(\tau t + k \cdot x)} a(t, x, \gamma, \tau, k) \mathcal{F}_{t,x} h(\tau, k) \, d\tau \, dk.$$

Here, we use the discrete measure on \mathbb{Z}^d . Above, the variable $\gamma > 0$ should be seen as a parameter. The Fourier transform of a function $h(t, x)$ defined on $\mathbb{R} \times \mathbb{T}^d$ is denoted by

$$\mathcal{F}_{t,x} h(\tau, k) = \int_{\mathbb{R} \times \mathbb{Z}^d} e^{-i(\tau t + k \cdot x)} h(t, x) \, dt \, dx,$$

while the Fourier transform of a symbol $a(t, x, \gamma, \tau, k)$ defined on $\mathbb{R} \times \mathbb{T}^d \times (0, +\infty) \times \mathbb{R} \times \mathbb{R}^d \setminus \{0\}$ is written as

$$\mathcal{F}_{t,x} a(\theta, \ell, \gamma, \tau, k) = \int_{\mathbb{R} \times \mathbb{Z}^d} e^{-i(\theta t + \ell \cdot x)} a(t, x, \gamma, \tau, k) \, dt \, dx.$$

For the sake of readability, we introduce the notation

$$\begin{aligned} \eta &:= (\gamma, \tau, k) \in (0, +\infty) \times \mathbb{R} \times \mathbb{R}^d \setminus \{0\}, \\ |\eta| &:= (\gamma^2 + \tau^2 + |k|^2)^{\frac{1}{2}}, \end{aligned}$$

and we write $L_{t,x,\eta}^\infty$ to denote $L^\infty(\mathbb{R} \times \mathbb{T}^d \times (0, +\infty) \times \mathbb{R} \times \mathbb{R}^d \setminus \{0\})$.

We state L^2 continuity results of Calderón–Vaillancourt type: namely, we shall ask for L^∞ bounds in all the variables for the symbols of the operators (see [40, 102]). We first introduce the following family of seminorms for our symbols.

Notation C.1. For any $M \geq 0$ and for any symbol $a(t, x, \eta)$ with $\eta = (\gamma, \tau, k)$, we set

$$\omega[a] := \sup_{\substack{\alpha \in \mathbb{N}^d \\ \alpha_i \in \{0,1\}}} \|(1+t)\partial_x^\alpha a\|_{L_{t,x,\eta}^\infty} + \sup_{\substack{\alpha \in \mathbb{N}^d \\ \alpha_i \in \{0,1\}}} \|(1+t)\partial_t \partial_x^\alpha a\|_{L_{t,x,\eta}^\infty}, \quad (\text{C.1})$$

$$\begin{aligned} \Omega[a] := & \sup_{\substack{\alpha \in \mathbb{N}^d \\ \alpha_i \in \{0,1\}}} \left\{ \|\eta|\partial_x^\alpha \nabla_{\tau,k} a\|_{L_{t,x,\eta}^\infty} + \|\eta|\partial_x^\alpha \nabla_{\tau,k} \partial_t a\|_{L_{t,x,\eta}^\infty} \right\} \\ & + \sup_{\substack{\alpha \in \mathbb{N}^d \\ \alpha_i \in \{0,1\}}} \left\{ \|\eta|\partial_x^\alpha \partial_t \nabla_{\tau,k} a\|_{L_{t,x,\eta}^\infty} + \|\eta|\partial_x^\alpha \partial_t \nabla_{\tau,k} \partial_t a\|_{L_{t,x,\eta}^\infty} \right\}, \quad (\text{C.2}) \end{aligned}$$

$$\Xi[a]_M := \sup_{\substack{1 \leq |\alpha| \leq 1+M \\ \beta = 0,1,2,3,4}} \|\partial_x^\alpha \partial_t^\beta a\|_{L_{t,x,\eta}^\infty}. \quad (\text{C.3})$$

The following result states the L^2 continuity of a pseudodifferential operator with symbol having a finite seminorm $\omega[\cdot]$. We refer to [102, Theorem 1] for a proof for symbols with compact support in x , whose adaptation to the physical space $\mathbb{R} \times \mathbb{T}^d$ is fairly straightforward.¹

Theorem C.2. *There exists $C_d > 0$ such that if a is a symbol satisfying $\omega[a] < +\infty$, then, for every $\gamma > 0$, we have*

$$\forall h \in L^2(\mathbb{R} \times \mathbb{T}^d), \quad \|\text{Op}^\gamma(a)(h)\|_{L^2(\mathbb{R} \times \mathbb{T}^d)} \leq C_d \omega[a] \|h\|_{L^2(\mathbb{R} \times \mathbb{T}^d)},$$

Next, we have the following symbolic calculus result, with the use of the parameter $\gamma > 0$. Note that taking γ large can be useful in view of an absorption argument. Here, we need to assume that one symbol has a compact support in time, because the time variable $t \in \mathbb{R}$ is unbounded.

Proposition C.3. *There exist $C_d > 0$ and a continuous nonnegative and nondecreasing function Λ such that, for all symbols a, b satisfying*

$$\begin{aligned} \Omega[a], \Xi[b]_M &< +\infty, \quad M > 1 + 2d, \\ \nabla_x b &\text{ has compact support in time,} \end{aligned}$$

and for all $\gamma > 0$ and $h \in L^2(\mathbb{R} \times \mathbb{T}^d)$, we have

$$\begin{aligned} & \|\text{Op}^\gamma(a)\text{Op}^\gamma(b)(h) - \text{Op}^\gamma(ab)(h)\|_{L^2(\mathbb{R} \times \mathbb{T}^d)} \\ & \leq \frac{C_d}{\gamma} \Lambda(|\text{supp}_t \nabla_x b|) \Omega[a] \Xi[b]_M \|h\|_{L^2(\mathbb{R} \times \mathbb{T}^d)}. \end{aligned}$$

Proof. A standard formula about the composition of pseudodifferential operators first shows that

$$\text{Op}^\gamma(a)\text{Op}^\gamma(b) = \text{Op}^\gamma(c),$$

¹More precisely, one can introduce a weight $(1+t)^{-2}$ in [102, proof of Theorem 1, eq. (2.5)] to get some integrability in time. This turns out to be sufficient to consider the seminorm $\omega[\cdot]$ in our statement.

with

$$\begin{aligned} c(t, x, \gamma, \tau, k) &= \frac{1}{(2\pi)^{d+1}} \int_{\mathbb{R} \times \mathbb{T}^d} \int_{\mathbb{R} \times \mathbb{Z}^d} e^{i(\tau'-\tau)(t-t')} e^{i(k'-k) \cdot (x-x')} \\ &\quad \times a(t, x, \gamma, \tau', k') b(t', x', \gamma, \tau, k) dt' dx' d\tau' dk' \\ &= \frac{1}{(2\pi)^{d+1}} \int_{\mathbb{R} \times \mathbb{Z}^d} e^{i(\tau't+k' \cdot x)} a(t, x, \gamma, \tau + \tau', k + k') \\ &\quad \times \mathcal{F}_{t,x} b(\tau', k', \gamma, \tau, k) d\tau' dk'. \end{aligned}$$

Therefore, for $\eta = (\gamma, \tau, k) \in (0, +\infty) \times \mathbb{R} \times \mathbb{R}^d \setminus \{0\}$, we have

$$\begin{aligned} c(t, x, \eta) - a(t, x, \eta) b(t, x, \eta) &= \frac{1}{(2\pi)^{d+1}} \int_{\mathbb{R} \times \mathbb{Z}^d} e^{i(\tau't+k' \cdot x)} \mathcal{F}_{t,x} b(\tau', k', \eta) \\ &\quad \times \left\{ \int_0^1 \nabla_{\tau,k} a(t, x, \gamma, \tau + s\tau', k + sk') \cdot (\tau', k') ds \right\} d\tau' dk' \\ &=: \frac{1}{\gamma} m(t, x, \eta), \end{aligned}$$

where

$$m(t, x, \eta) := \frac{1}{(2\pi)^{d+1}} \int_{\mathbb{R} \times \mathbb{Z}^d} e^{i(\tau't+k' \cdot x)} \mathcal{F}_{t,x} b(\tau', k', \eta) \mathcal{J}(a)(t, x, \eta, \tau', k') d\tau' dk',$$

with

$$\mathcal{J}(a)(t, x, \eta, \tau', k') := \int_0^1 \gamma \nabla_{\tau,k} a(t, x, \gamma, \tau + s\tau', k + sk') \cdot (\tau', k') ds.$$

Since $\text{Op}^\gamma(a)\text{Op}^\gamma(b) - \text{Op}^\gamma(ab) = \frac{1}{\gamma}\text{Op}^\gamma(m)$, and in view of the continuity property stated in Theorem C.2, it remains to estimate the seminorm $\omega[m]$. For all $\alpha \in \mathbb{N}^d$ such that $\alpha_i \in \{0, 1\}$, we now have

$$\begin{aligned} (1+t)m(t, x, \eta) &= \frac{1}{(2\pi)^{d+1}} \int_{\mathbb{R} \times \mathbb{Z}^d} (1 - i\partial_{\tau'}) (e^{i\tau't}) e^{ik' \cdot x} \\ &\quad \times \mathcal{F}_{t,x} b(\tau', k', \eta) \mathcal{J}(a)(t, x, \eta, \tau', k') d\tau' dk', \end{aligned}$$

and

$$\begin{aligned} (1+t)\partial_t m(t, x, \eta) &= \frac{1}{(2\pi)^{d+1}} \int_{\mathbb{R} \times \mathbb{Z}^d} i\tau' (1 - i\partial_{\tau'}) (e^{i\tau't}) e^{ik' \cdot x} \mathcal{F}_{t,x} b(\tau', k', \eta) \\ &\quad \times \mathcal{J}(a)(t, x, \eta, \tau', k') d\tau' dk' \\ &\quad + \frac{1}{(2\pi)^{d+1}} \int_{\mathbb{R} \times \mathbb{Z}^d} (1 - i\partial_{\tau'}) (e^{i\tau't}) e^{ik' \cdot x} \mathcal{F}_{t,x} b(\tau', k', \eta) \\ &\quad \times \partial_t (\mathcal{J}(a))(t, x, \eta, \tau', k') d\tau' dk'. \end{aligned}$$

Tedious but standard computations then show that for $M > d$ we have

$$\begin{aligned} \omega[m] &\lesssim \Omega[a] \sup_{\substack{\alpha \in \mathbb{N}^d \\ \alpha_i \in \{0,1\}}} \left\| (1 + |\tau'|^2) |k'|^{1+|\alpha|} \mathcal{F}_{t,x}[(1+t)b] \right\|_{L^1(\mathbb{R}_{\tau'} \times \mathbb{Z}_{k'}^d; L_{\eta}^{\infty})} \\ &\lesssim \Omega[a] \left(\sup_{1 \leq |\alpha| \leq 1+M} \left\| \mathcal{F}_{t,x}[(1+t)\partial_x^\alpha b] \right\|_{L^1(\mathbb{R} \times \mathbb{Z}^d; L_{\eta}^{\infty})} \right. \\ &\quad \left. + \sup_{\substack{1 \leq |\alpha| \leq 1+M \\ \beta=1,2}} \left\| \mathcal{F}_{t,x}[(1+t)\partial_x^\alpha \partial_t^\beta b] \right\|_{L^1(\mathbb{R} \times \mathbb{Z}^d; L_{\eta}^{\infty})} \right). \end{aligned}$$

As a consequence, we obtain, for $M > 1 + 2d$,

$$\begin{aligned} \omega[m] &\lesssim \Omega[a] \left(\sup_{\substack{1 \leq |\alpha| \leq 1+M \\ \beta=0,1,2}} \left\| \mathcal{F}_{t,x}[(1+t)\partial_x^\alpha \partial_t^\beta b] \right\|_{L^{\infty}(\mathbb{R} \times \mathbb{Z}^d; L_{\eta}^{\infty})} \right. \\ &\quad \left. + \sup_{\substack{1 \leq |\alpha| \leq 1+M \\ \beta=0,1,2,3,4}} \left\| \mathcal{F}_{t,x}[(1+t)\partial_x^\alpha \partial_t^\beta b] \right\|_{L^{\infty}(\mathbb{R} \times \mathbb{Z}^d; L_{\eta}^{\infty})} \right) \\ &\lesssim \Omega[a] \sup_{\substack{1 \leq |\alpha| \leq 1+M \\ \beta=0,1,2,3,4}} \left\| (1+t)\partial_x^\alpha \partial_t^\beta b \right\|_{L^1(\mathbb{R} \times \mathbb{T}^d; L_{\eta}^{\infty})}, \end{aligned}$$

and therefore

$$\omega[m] \lesssim \Lambda(|\text{supp}_t \nabla_x b|) \Omega[a] \mathfrak{E}[b]_M.$$

From Theorem C.2, we have, for all $h \in L^2(\mathbb{R} \times \mathbb{T}^d)$,

$$\begin{aligned} \|\text{Op}^\gamma(m)h\|_{L^2(\mathbb{R} \times \mathbb{T}^d)} &\leq C_d \omega[m] \|h\|_{L^2(\mathbb{R} \times \mathbb{T}^d)} \\ &\lesssim C_d \Lambda(|\text{supp}_t \nabla_x b|) \Omega[a] \mathfrak{E}[b]_M \|h\|_{L^2(\mathbb{R} \times \mathbb{T}^d)}. \end{aligned}$$

We obtain the conclusion since $\text{Op}^\gamma(a)\text{Op}^\gamma(b) - \text{Op}^\gamma(ab) = \frac{1}{\gamma}\text{Op}^\gamma(m)$. ■