

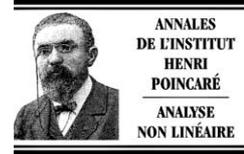


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## Stability of radially symmetric travelling waves in reaction–diffusion equations

## Stabilité des ondes progressives à symétrie sphérique dans les équations de réaction–diffusion

Violaine Roussier

*Département de mathématique, Université de Paris-Sud, bât 425, 91405 Orsay cedex, France*

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### Abstract

The asymptotic behaviour as  $t$  goes to infinity of solutions  $u(x, t)$  of the multidimensional parabolic equation  $u_t = \Delta u + F(u)$  is studied in the “bistable” case. More precisely, we consider the stability of spherically symmetric travelling waves with respect to small perturbations. First, we show that such waves are stable against spherically symmetric perturbations, and that the perturbations decay like  $(\log t)/t^2$  as  $t$  goes to infinity. Next, we observe that this stability result cannot hold for arbitrary (i.e., non-symmetric) perturbations. Indeed, we prove that there exist small perturbations such that the solution  $u(x, t)$  does not converge to a spherically symmetric profile as  $t$  goes to infinity. More precisely, for any direction  $k \in S^{n-1}$ , the restriction of  $u(x, t)$  to the ray  $\{x = kr \mid r \geq 0\}$  converges to a  $k$ -dependent translate of the one-dimensional travelling wave.

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### Résumé

On étudie le comportement pour les grands temps des solutions  $u(x, t)$  de l'équation parabolique  $u_t = \Delta u + F(u)$  dans le cas “bistable” et dans tout l'espace, en dimension supérieure. Plus précisément, on s'intéresse à la stabilité d'ondes progressives à symétrie sphérique pour de petites perturbations. Dans un premier temps, on montre que cette famille d'ondes est stable pour des perturbations à symétrie sphérique et que cette perturbation décroît comme  $(\log t)/t^2$  quand  $t$  tend vers l'infini. On montre ensuite que cette stabilité est mise en défaut pour des perturbations quelconques. En effet, on met en évidence des perturbations pour lesquelles la solution ne tend pas vers une onde à symétrie sphérique : dans chaque direction  $k \in S^{n-1}$ , la restriction de  $u(x, t)$  au rayon  $\{x = kr, r \geq 0\}$  converge vers un translaté de l'onde progressive unidimensionnelle dépendant de  $k$ .

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*E-mail address:* [violaine.roussier@math.u-psud.fr](mailto:violaine.roussier@math.u-psud.fr) (V. Roussier).

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## 0. Introduction

We consider the initial value problem for the semilinear parabolic equation

$$\begin{cases} u_t(x, t) = \Delta u(x, t) + F(u(x, t)), & x \in \mathbf{R}^n, t > 0, \\ u(x, 0) = u_0(x), & x \in \mathbf{R}^n, \end{cases} \quad (1)$$

where  $u \in \mathbf{R}$  and  $n \geq 2$ . Throughout this paper, it is assumed that the nonlinearity  $F$  is a continuously differentiable function on  $\mathbf{R}$  satisfying the following assumptions:

- (i)  $F(0) = F(1) = 0$ ;
- (ii)  $F'(0) < 0$ ,  $F'(1) < 0$ ;
- (iii) There exists  $\mu \in (0, 1)$  such that  $F(u) < 0$  if  $u \in (0, \mu)$  and  $F(u) > 0$  if  $u \in (\mu, 1)$ ;
- (iv)  $\int_0^1 F(u) du > 0$ .

A typical example is the cubic nonlinearity

$$F(u) = 2u(1 - u)(u - \mu) \quad \text{where } 0 < \mu < 1/2. \quad (2)$$

Eq. (1) is a classical model for spreading and interacting particles, which has been often used in biology (population dynamics, propagation of nerves pulses), in physics (shock waves), or in chemistry (chemical reactions, flame propagation). Fisher [5] first proposed a genetical context in which the spread of advantageous genetical traits in a population was modeled by Eq. (1). At the same time, Kolmogorov, Petrovskii and Piskunov [11] gave a mathematical treatment of this equation for a slightly different nonlinearity. Later on, Aronson and Weinberger [1] also discussed the genetical background in some details. In their terminology, the nonlinearity satisfying (i) to (iv) is referred to as the “heterozygote inferior” case. In mathematical terms, this is called the “bistable” case as, by (i) and (ii),  $u \equiv 0$  and  $u \equiv 1$  are both stable steady states.

As far as the initial value problem is concerned, if  $u_0$  is a continuous function from  $\mathbf{R}^n$  to  $(0, 1)$  which goes to 0 as  $|x|$  goes to infinity, then there exists a unique solution  $u(x, t)$  of Eq. (1) with the same properties as  $u_0$  for any  $t \geq 0$ .

One question of interest for this reaction–diffusion equation is the behaviour, as  $t$  goes to infinity, of the solutions  $u(x, t)$  of (1). In one space dimension, a prominent role is played by a family of particular solutions of (1), called travelling waves. These are uniformly translating solutions of the form

$$u(x, t) = w_0(x - ct),$$

where  $c \in \mathbf{R}$  is the speed of the wave. The profile  $w_0$  satisfies the ordinary differential equation:

$$w_0'' + cw_0' + F(w_0) = 0, \quad x \in \mathbf{R}, \quad (3)$$

together with the boundary conditions at infinity

$$\lim_{x \rightarrow -\infty} w_0(x) = 1 \quad \text{and} \quad \lim_{x \rightarrow +\infty} w_0(x) = 0. \quad (4)$$

These waves are characterized by their time independent profile and usually represent the transport of information in the above models. They also often describe the long-time behaviour of many solutions.

Since Fisher and KPP, there has been an extensive literature on the subject. In the one dimensional bistable case, Kanel [9] proved that there exist a unique speed  $c > 0$  and a unique (up to translations) monotone profile  $w_0$ , satisfying (3), (4). Moreover,  $|w_0|$  (resp.  $|1 - w_0|$ ) decays exponentially fast as  $x$  goes to  $+\infty$  (resp.  $-\infty$ ). From now on, we fix  $w_0$  by choosing  $w_0(0) = 1/2$ . For example, if  $F$  is given by (2), one finds  $c = 1 - 2\mu \in (0, 1)$  and  $w_0(x) = (1 + e^x)^{-1}$ .

Afterwards, Sattinger [14] was interested in the local stability of travelling waves. He proved that the family  $\{w_0(\cdot - \gamma), \gamma \in \mathbf{R}\}$  is normally attracting. More precisely, for any initial data  $u_0$  of the form

$$u_0(x) = w_0(x) + \varepsilon v_0(x),$$

where  $\varepsilon > 0$  is sufficiently small and  $v_0$  bounded in a weighted space, Sattinger proved that there exist a  $C^1$  function  $\rho(\varepsilon)$  and positive constants  $K$  and  $\gamma$  such that the solution  $u(x, t)$  of (1) satisfies

$$\|u(x + ct, t) - w_0(x + \rho(\varepsilon))\| \leq K e^{-\gamma t}, \quad t \geq 0,$$

in an appropriate weighted norm. This is the local stability of travelling waves in one dimension. Sattinger’s proof uses the spectral properties of the linearised operator  $L_0 = \partial_y^2 + c\partial_y + F'(w_0)$  around the travelling wave  $w_0$  in the  $c$ -moving frame. These properties can be summarized as follows:

Let  $\phi_0 = \bar{\alpha} w'_0$  and  $\psi_0 = e^{cx} \phi_0$  where  $\bar{\alpha} > 0$  is chosen so that

$$\int_{\mathbf{R}} \phi_0(x) \psi_0(x) dx = 1. \tag{5}$$

Then,  $\phi_0$  is an eigenfunction of  $L_0$  (associated with the eigenvalue 0), and  $\psi_0$  is the corresponding eigenfunction of the adjoint operator  $L_0^*$ :

$$\begin{aligned} \phi_0'' + c\phi_0' + F'(w_0)\phi_0 &= 0, \\ \psi_0'' - c\psi_0' + F'(w_0)\psi_0 &= 0. \end{aligned}$$

Moreover, there exists some  $\gamma > 0$  such that the spectrum of  $L_0$  in  $L^2(\mathbf{R})$  is included in  $]-\infty, -\gamma] \cup \{0\}$ , see [6,14]. Since the eigenvalue 0 is isolated, there exists a projection operator  $P$  onto the null space of  $L_0$ . This operator is given by

$$Pu = \frac{1}{2\pi i} \int_{\Gamma} R(\lambda, L_0)u d\lambda,$$

where  $R(\lambda, L_0) = (\lambda - L_0)^{-1}$  and  $\Gamma$  is a simple closed curve in the complex plane enclosing the eigenvalue 0, see [14,15]. Define the complementary spectral projection  $Q = I - P$  where  $I$  is the identity operator in  $L^2(\mathbf{R})$ . These projection operators  $P$  and  $Q$  are also given by

$$Pu = \left( \int_{\mathbf{R}} u(x) \psi_0(x) dx \right) \phi_0, \quad Qu = (I - P)u,$$

see for instance [10,14]. The spectral subspace corresponding to the eigenvalue 0 is defined by  $\{u \in L^2(\mathbf{R}) \mid u = Pu\}$  and its supplementary by

$$\mathcal{R} = \{u \in L^2(\mathbf{R}) \mid u = Qu\} = \{u \in L^2(\mathbf{R}) \mid Pu = 0\}.$$

Then  $\mathcal{R}$ , equipped with the  $L^2$  norm, is a Banach space and  $L_0|_{\mathcal{R}}$  generates an analytic semi-group which satisfies  $\|e^{tL_0}\|_{\mathcal{L}(\mathcal{R})} \leq c_0 e^{-\gamma t}$  for all  $t \geq 0$ .

On the other hand, Fife and McLeod [4] proved the global stability of travelling waves: they showed, using comparison theorems, that if  $u_0$  satisfies  $0 \leq u_0 \leq 1$  and  $\liminf_{-\infty} u_0(x) > \mu$ ,  $\limsup_{+\infty} u_0(x) < \mu$ , then the solution  $u(x, t)$  of (1) approaches exponentially fast in time a translate of the travelling wave in the supremum norm. Fife [3] also highlighted other possible types of asymptotic behaviour: if  $u_0$  vanishes at infinity in  $x$  and if the solution converges uniformly to 1 on compact sets, then  $u(x, t)$  behaves as a pair of diverging fronts where a wave goes off in each direction.

In higher dimensions, Aronson and Weinberger [2], Xin and Levermore [17,12] and Kapitula [10] were interested in planar travelling waves. These are particular solutions of equation (1) of the form  $u(x, t) = w_0(x \cdot$

$k - ct$ ) where  $k \in S^{n-1}$ . Existence of such solutions can be proved as in the one-dimensional case, but the stability analysis is quite different: unlike in the one-dimensional case, the gap in the spectrum of the linearised operator around the travelling wave disappears. Instead, there exists continuous spectrum all the way up to zero which is due, intuitively, to the effects of the transverse diffusion. To overcome this difficulty, Kapitula decomposed the solution  $u(x, t)$  as

$$u(x, t) = w_0(x \cdot k - ct + \rho(x, t)) + v(x, t),$$

where  $\rho(x, t)$  represents a local shift of the travelling wave and  $v(x, t)$  a transverse perturbation in  $\mathcal{R}$ . The equation for  $\rho$  can be analyzed by the one-dimensional result and Fourier transform, while the transverse perturbation  $v$  satisfies a semilinear heat equation in  $\mathbf{R}^{n-1}$ . Therefore, Kapitula proved that the perturbation decays to zero with a rate of  $O(t^{-(n-1)/4})$  in  $H^k(\mathbf{R}^n)$ ,  $k \geq [(n + 1)/2]$ .

Apart from this particular planar case, Aronson and Weinberger [2] also studied the asymptotic behaviour of other solutions in higher dimensions. They proved that the state  $u \equiv 0$  is stable with respect to perturbations which are not too large on too large a set, but is unstable with respect to some perturbations with bounded support. Moreover, assuming  $u_0$  vanishes at infinity in  $x$  and  $u$  converges to 1 as  $t$  goes to infinity, they showed that the disturbance is propagated with asymptotic speed  $c$ .

Finally, Uchiyama [16] and Jones [7] were interested in spherically symmetric solutions. If  $u_0$  is spherically symmetric with  $\limsup_{|x| \rightarrow +\infty} u_0(x) < \mu$ , and if the solution  $u(x, t)$  of (1) with initial data  $u_0$  converges to 1 uniformly on compact sets as  $t$  goes to infinity, they proved that there exists a function  $g(t)$  such that

$$\lim_{t \rightarrow +\infty} \sup_{x \in \mathbf{R}^n} |u(x, t) - w_0(|x| - ct + g(t))| = 0. \tag{6}$$

Jones proved with dynamical systems considerations that  $\lim_{t \rightarrow +\infty} g(t)/t = 0$  and Uchiyama precised, using energy methods and comparison theorems, that there exists some  $L \in \mathbf{R}$  such that

$$\lim_{t \rightarrow +\infty} \left( g(t) - \frac{n-1}{c} \log t \right) = L. \tag{7}$$

This important result establishes the existence of a family of asymptotic solutions of (1), which we call spherically symmetric travelling waves:  $W(x, t) = w_0(|x| - ct + \frac{n-1}{c} \log t)$  and its translates in time. It also shows that this family is asymptotically stable with respect to spherically symmetric perturbations.

We give in the first section of this paper another method, based on Kapitula’s decomposition, which enables us to get more information on how fast the solution  $u(x, t)$  of (1) converges to a travelling wave and on the asymptotic behaviour of the function  $g(t)$ . To do that, we introduce the following Banach spaces:

$$\begin{aligned} Y &= H^1(\mathbf{R}^+), \\ X &= \{u: \mathbf{R}^n \rightarrow \mathbf{R} \mid \exists \tilde{u} \in Y \text{ so that } u(x) = \tilde{u}(|x|) \text{ for } x \in \mathbf{R}^n\}, \\ \|u\|_X &= \|\tilde{u}\|_Y = \left( \int_0^\infty |\tilde{u}(r)|^2 + |\tilde{u}_r(r)|^2 dr \right)^{1/2}. \end{aligned}$$

Note that  $X$  is included in  $H^1(\mathbf{R}^n) \cap L^\infty(\mathbf{R}^n)$  and contains spherically symmetric functions. Then, we prove in the first section the following theorem:

**Theorem 1.** *Assume  $F$  is a “bistable” non-linearity. There exist positive constants  $R_0, \delta_0, c_1, c_2, \gamma_0$  such that, if  $u_0: \mathbf{R}^n \rightarrow \mathbf{R}$  is a spherically symmetric function satisfying*

$$\|u_0(x) - w_0(|x| - R)\|_X \leq \delta$$

for some  $R \geq R_0$  and some  $\delta \leq \delta_0$ , then Eq. (1) has a unique solution  $u \in C^0([0, +\infty), X)$  with initial data  $u_0$ . Moreover, there exists  $\rho \in C^1([0, +\infty))$  such that

$$\|u(x, t) - w_0(|x| - s(t))\|_X + |\rho'(t)| \leq c_1 \delta e^{-\gamma_0 t} + c_2 \frac{\log(R + ct)}{(R + ct)^2}$$

for all  $t \geq 0$ , where

$$s(t) = R + ct - \frac{n-1}{c} \log\left(\frac{R + ct}{R}\right) + \rho(t). \tag{8}$$

This first theorem shows that the family of spherically symmetric travelling waves is asymptotically stable for small symmetric perturbations. Indeed, any small perturbation tends to zero with a rate of  $O(\log t/t^2)$ . Moreover, as  $|\rho'(t)|$  is bounded by an integrable function of time, the function  $\rho(t)$  converges to a constant  $\rho_\infty$  as  $t$  goes to infinity, which corresponds to  $L$  in (7) and, with our hypothesis on  $u_0$ , the convergence (6) satisfies:

$$\left| u(x, t) - w_0\left(|x| - ct + \frac{n-1}{c} \log t + L\right) \right| \leq c_0 \frac{\log t}{t}.$$

In a second section, we are interested in non-spherically symmetric perturbations of travelling waves in higher dimensions. Based on Uchiyama’s work and a comparison theorem, a corollary on the Lyapunov stability of travelling waves against general small perturbations is first stated.

The only result so far concerning the long-time behaviour of non-spherically symmetric solutions is due to Jones [8]. He considered solutions  $u(x, t)$  whose initial data  $u_0$  have compact support, and he also assumed that  $u(x, t)$  converges to 1 uniformly on compact sets as  $t$  goes to infinity. He then showed that, if followed out in a radial direction at the correct speed  $c$ , the solution approaches the one-dimensional travelling wave, at least in shape. Moreover, for any  $l \in (0, 1)$  and any sufficiently large  $t > 0$ , he proved that, for all point  $P$  of the level surface  $S_l(t) = \{x \in \mathbf{R}^n \mid u(x, t) = l\}$ , the normal to  $S_l(t)$  at  $P$  must intersect the support of  $u_0$ . Obviously, this result implies that the surface  $S_l(t)$  becomes rounder and rounder as  $t$  goes to infinity. It is thus natural to expect spherically symmetric travelling waves to be asymptotically stable against any small non-symmetric perturbations. However, we prove in Section 2 that this is not the case. In the two-dimensional case, we give an example of non-spherically symmetric function  $u_0$  close to a spherically symmetric wave such that the solution  $u(x, t)$  of (1) with initial data  $u_0$  never approaches the family of spherically symmetric travelling waves. Indeed, the translate of the wave which is approached depends on the radial direction.

Subsequently, we require some more technical assumptions. For convenience, we choose to work in  $\mathbf{R}^2$  so that polar coordinates are easier to handle. We assume that  $F$  is in  $C^3(\mathbf{R})$  and satisfies the condition:  $F^{(3)}(u) \leq 0$  for  $u \in [0, 1]$ . In this case, we prove in Appendix C that  $\phi_0$  is log-concave, i.e.,  $(\phi'_0/\phi_0)' < 0$ . Finally, we also assume that every solution of the ODE,  $u_t = F(u)$ , is bounded uniformly in time. By the maximum principle, this easily means that for any bounded initial condition, the solution  $u(x, t)$  is uniformly bounded in time. Example (2) for  $F$  satisfies both conditions.

Precisely, we prove in the second section the following theorem:

**Theorem 2.** Assume  $F$  is a “bistable” nonlinearity satisfying both above conditions. There exist positive constants  $R'_0, \delta'_0, \eta, c_0$  such that if  $u_0 \in H^1(\mathbf{R}^2)$  satisfies

$$\|u_0(x) - w_0(|x| - R)\|_{H^1(\mathbf{R}^2)} \leq \delta$$

for some  $\delta \leq \delta'_0$  and some  $R \geq R'_0$  such that  $R^{1/4} \delta \leq \eta$ , then Eq. (1) has a unique solution  $u \in C^0(\mathbf{R}^+, H^1(\mathbf{R}^2))$  with initial data  $u_0$ . Moreover, there exist  $\rho \in C^0(\mathbf{R}^+, H^1(0, 2\pi))$  and  $\rho_\infty \in L^2(0, 2\pi)$  such that

$$\|u(r, \theta, t) - w_0(r - s(\theta, t))\|_{H^1(\mathbf{R}^2)} \leq \frac{c_0}{(R + ct)^{1/4}},$$

$$s(\theta, t) = R + ct - \frac{1}{c} \log\left(\frac{R + ct}{R}\right) + \rho(\theta, t), \quad (9)$$

$$\lim_{t \rightarrow +\infty} \|\rho(\theta, t) - \rho_\infty(\theta)\|_{L^2(0, 2\pi)} = 0,$$

where  $(r, \theta) \in \mathbf{R}^+ \times (0, 2\pi)$  are the polar coordinates in  $\mathbf{R}^2$ .

This second theorem first illustrates Jones' theorem. Indeed, there exists a class of initial data for which solutions converge to a creased profile as  $t$  goes to infinity. And, if followed out in a radial direction (i.e., for  $\theta = \text{constant}$ ), the solutions behave asymptotically as a one-dimensional travelling wave whose position  $s(\theta, t)$  depends on the radial direction. Precisely, we show that  $s(\theta, t)$  is given by (9), that  $\rho(\theta, t)$  converges in the  $L^2(0, 2\pi)$  norm to a function  $\rho_\infty(\theta)$  and we give an example of initial data for which the solution does not converge to a spherically symmetric travelling wave, i.e., the corresponding function  $\rho_\infty(\theta)$  is not constant. Moreover, we show that the set of all functions  $\rho_\infty$  that can be constructed in that way, is dense in a ball of  $H^1(0, 2\pi)$ . Therefore, there exist a lot of asymptotic behaviours which look like a creased travelling front which never becomes round.

Finally, this theorem shows that the family of spherically symmetric travelling waves is not asymptotically stable for arbitrary perturbations: this means that the higher dimensional case  $n \geq 2$  is very different from the one-dimensional case  $n = 1$  where the asymptotical stability of travelling waves has been widely proved.

Let us now make a few technical remarks on the statement of theorem 2. We assume that the initial condition  $u_0$  is close to a travelling wave ( $\delta \leq \delta'_0$  small) whose interface  $\{w_0 = \frac{1}{2}\}$  is large enough ( $R \geq R'_0$  large). The relation  $R^{1/4}\delta \leq \eta$  should be a technical assumption and we do believe that it can be relaxed by changing the function spaces we use. Actually, we prove in this paper a stronger theorem (Theorem 2.5) where this constraint only appears on one part of the perturbation. We also show in this theorem that the perturbation decreases like  $1/(R + ct)^{1/4}$ . This rate may not be optimal but shows the convergence of the solutions towards travelling fronts. Once more, we prove in Theorem 2.5 a more precise result where the dependance of the initial condition on the convergence rate is emphasized.

**Notations.** Throughout the paper, we use the following notations:  $\|\cdot\|_Z$  is a norm in the Banach space  $Z$ ,  $|\cdot|$  is the usual norm in  $\mathbf{R}$  and  $x$  is a vector of  $\mathbf{R}^n$  while  $(r, \theta)$  are the polar coordinates in  $\mathbf{R}^2$  where  $r \geq 0$ ,  $\theta \in [0, 2\pi)$ . We also denote  $c_i$  generic positive constants which may differ from place to place, even in the same chain of inequalities.

## 1. Radial solutions

The aim of this section is to prove Theorem 1, i.e., the stability of travelling waves against radial perturbations. Hence, we only work with spherically symmetric functions and we always use, for convenience, the notation  $u(r, t)$  instead of  $\tilde{u}(r, t)$  defined in the introduction.

For spherically symmetric solutions, Eq. (1) reduces to the following Cauchy problem:

$$\begin{cases} u_t(r, t) = u_{rr}(r, t) + \frac{n-1}{r}u_r(r, t) + F(u(r, t)), & r > 0, t > 0, \\ u(r, 0) = u_0(r), & r > 0, \\ u_r|_{r=0} = 0, & t \geq 0. \end{cases}$$

The Neumann boundary condition at zero is due to the regularity of the function  $u(x, t)$ ,  $x \in \mathbf{R}^n$ . In this section, we first write a decomposition of the solution  $u(r, t)$  as Kapitula [10] did. Then, we study the new evolution equations in a moving frame to take advantage of spectral properties of the operator  $L_0$  defined in the introduction.

1.1. A coordinate system

We first need to define more precisely a spherically symmetric travelling wave in higher dimension. Since the function

$$x \in \mathbf{R}^n \mapsto W(x, t) = w_0 \left( |x| - R - ct + \frac{n-1}{c} \log \left( \frac{R+ct}{R} \right) \right)$$

is not smooth at  $x = 0$ , we have to modify  $w_0$  in a function  $w$  called also travelling wave or “modified wave”.

Let  $\chi \in C^\infty(\mathbf{R}^+)$  so that  $\chi(r) \equiv 0$  if  $r \leq 1$  and  $\chi(r) \equiv 1$  if  $r \geq 2$ , and define

$$w(y, r) = 1 + \chi(r)(w_0(y) - 1), \quad (y, r) \in \mathbf{R} \times \mathbf{R}^+.$$

Then,  $w(y, r)$  is identically equal to 1 if  $r \leq 1$  and  $w(y, r) = w_0(y)$  if  $r \geq 2$ . Note that  $r$  is a positive parameter which flattens the wave around the origin. Then, for any  $s \in \mathbf{R}$ ,  $r \in \mathbf{R}^+ \mapsto w(r-s, r)$  is a function of  $Y = H^1(\mathbf{R}^+)$ , equal to 1 near the origin and decreasing like the wave  $w_0$  at infinity. In a similar way,  $x \in \mathbf{R}^n \mapsto w(|x| - s, |x|)$  is a spherically symmetric function of  $X$ , equal to 1 near the origin and decreasing like the wave  $w_0$  at infinity in all directions. We also define  $\psi(y, r) = \bar{\alpha} \chi(r) \psi_0(y)$  where  $\bar{\alpha}$  has been chosen in (5).

In a neighborhood of the wave  $w$ , it will be convenient to use a coordinate system given by  $(v, s) \in Y \times \mathbf{R}$  with perturbations of the wave being given at any time by

$$u(r) = w(r - s, r) + v(r), \quad r \geq 0,$$

where  $s$  is chosen so that  $\int_0^\infty v(r) \psi(r - s, r) dr = 0$ . We have decomposed the solution  $u$  as a translate of the wave  $w$  and a transversal perturbation  $v$ . The following lemma shows that this decomposition is always possible:

**Lemma 1.1.** *There exist positive constants  $R_1, \delta_1, K$  such that for any  $R \geq R_1$  and any  $\xi \in Y$  with  $\|\xi\|_Y \leq \delta_1$ , there exists a unique pair  $(v, \rho) \in Y \times \mathbf{R}$  such that*

- (i)  $\|v\|_Y + |\rho| \leq K \|\xi\|_Y$ ,
- (ii)  $w(r - R, r) + \xi(r) = w(r - R - \rho, r) + v(r)$  for all  $r \geq 0$ ,
- (iii)  $\int_0^\infty v(r) \psi(r - R - \rho, r) dr = 0$ .

**Proof.** Define the operator  $A : \mathbf{R} \times Y \rightarrow \mathbf{R}$  by

$$A(\rho, \xi) = \int_0^\infty \xi(r) \psi(r - R - \rho, r) dr + \rho \int_0^\infty \psi(r - R - \rho, r) \int_0^1 w_y(r - R - \rho h, r) dh dr.$$

Since  $A(0, 0) = 0$  and the derivative  $A_\rho(0, 0) = \bar{\alpha}^2 \int_{-R}^{+\infty} \phi_0 \psi_0(y) \chi^2(y + R) dy \neq 0$  for  $R \geq R_1$ , by the implicit function theorem on Banach spaces, there exist a small neighborhood  $\mathcal{V} = \mathcal{V}_1 \times \mathcal{V}_2$  of  $(0, 0)$  in  $\mathbf{R} \times Y$  a function  $\rho(\xi) : \mathcal{V}_2 \mapsto \mathcal{V}_1$  such that  $A(\rho(\xi), \xi) = 0$  and  $|\rho| \leq K \|\xi\|_Y$  for some  $K > 0$ . This yields the spatial translational component  $\rho$ . Let  $v(\cdot) = \xi(\cdot) + w(\cdot - R, \cdot) - w(\cdot - R - \rho(\xi), \cdot)$  a function of  $Y$ . Then,  $\|v\|_Y + |\rho| \leq K \|\xi\|_Y$  for some  $K > 0$ . As  $A(\rho(\xi), \xi) = 0$ , and by Taylor’s theorem,  $\int_0^\infty v(r) \psi(r - R - \rho, r) dr = 0$ . Then,  $(v, \rho)$  satisfies the lemma if  $\|\xi\|_Y \leq \delta_1$  where  $\delta_1 > 0$  is sufficiently small so that  $B_Y(0, \delta_1) \subset \mathcal{V}_2$ .  $\square$

Using the result of Lemma 1.1, we can write for any  $t \geq 0$  and some  $R \geq R_1$ ,

$$\begin{aligned} u(r, t) &= w(r - s(t), r) + v(r, t), \quad r \geq 0, \\ s(t) &= R + ct - \frac{n-1}{c} \log \left( \frac{R+ct}{R} \right) + \rho(t), \end{aligned} \tag{10}$$

$$\int_0^{\infty} v(r, t) \psi(r - s(t), r) \, dr = 0. \quad (11)$$

By Lemma 1.1, such a decomposition exists if, for all  $t \geq 0$ , the solution  $u(r, t)$  is close to the wave, namely if  $\|u(r, t) - w(r - s(t), r)\|_Y \leq \delta_1$ . This assumption will be validated later by the proof of Theorem 1. We are now going to work with these new variables  $v$  and  $\rho$  which are more convenient than  $u$ . We first give the equations they satisfy:

Substitute the decomposition (10) of the solution into Eq. (1) and use equation (3) satisfied by  $w_0$  to get the evolution equation satisfied by  $v$ :

$$\begin{aligned} v_t &= v_{rr} + \frac{n-1}{r} v_r + F'(w_0(r - s(t)))v \\ &\quad + \left( \frac{n-1}{r} - \frac{n-1}{R+ct} + \rho'(t) \right) w_y(r - s(t), r) + N + S, \quad r \geq 0, t > 0, \\ v(r, 0) &= v_0(r), \quad r \geq 0, \\ v_r|_{r=0} &= 0, \quad t > 0, \end{aligned} \quad (12)$$

where

$$\begin{aligned} N &= F(w + v) - F(w_0)\chi(r) - F'(w_0)v \quad \text{is the nonlinear term,} \\ S &= w_{rr} + 2w_{ry} + \frac{n-1}{r} w_r. \end{aligned}$$

The functions  $w, w_0, \psi$  and their derivatives are taken at  $(r - s(t), r)$  or  $(r - s(t))$ , depending if the wave is modified or not. Note the Neumann condition at zero  $v_r|_{r=0} = 0$ . Indeed, if  $u(x) = \tilde{u}(|x|)$ ,  $u \in C^1(\mathbf{R}^2)$  is equivalent to  $\tilde{u} \in C^1(\mathbf{R}^+)$  and  $\tilde{u}'(0) = 0$ . As  $u = w + v$  and  $w$  is identically zero near the origin, the regularity of  $u$  is forwarded to  $v$  and  $v_r|_{r=0} = 0$ .

Derivating Eq. (11) with respect to  $t$  and using Eqs. (8) and (12) satisfied by  $s$  and  $v$ , we get the evolution equation satisfied by  $\rho$ :

$$\begin{aligned} \rho'(t) \int_0^{\infty} (\psi w_y - v \psi_y) \, dr &= \int_0^{\infty} [v \Lambda - (N + S)\psi] \, dr, \quad t > 0, \\ \rho(0) &= \rho_0, \end{aligned} \quad (13)$$

where

$$\Lambda = \left( \frac{n-1}{R+ct} - \frac{n-1}{r} \right) \psi_y + \frac{n-1}{r^2} \psi + \left( \psi_{rr} + 2\psi_{yr} - \frac{n-1}{r} \psi_r \right) + (\psi_{yy} - c\psi_y + F'(w_0)\psi).$$

The functions  $\psi, w, w_0$  and their derivatives are taken at  $(r - s(t), r)$  or  $(r - s(t))$ .

We first consider the initial value problem for Eqs. (12), (13):

**Lemma 1.2.** Fix  $R > 0$ . There exist  $\delta_4 > 0, T > 0$  such that for any initial data  $(v_0, \rho_0) \in Y \times \mathbf{R}$  with  $\|v_0\|_Y \leq \delta \leq \delta_4$  and  $|\rho_0| \leq \frac{1}{2}$ , the integral equations corresponding to (12), (13) have a unique solution  $(v, \rho) \in C^0([0, T], Y \times \mathbf{R})$ . In addition,  $(v, \rho) \in C^1((0, T], Y \times \mathbf{R})$ , and Eqs. (12), (13) are satisfied for  $0 < t \leq T$ .

**Proof.** If  $\|v_0\|_Y \leq \delta$  and  $\delta \leq \delta_4$  is sufficiently small, then  $\int_0^{\infty} \psi w_y - v \psi_y \, dr \neq 0$  and  $\rho'(t)$  can be expressed easily as a function of  $v$  and  $\rho$ . Then, Eqs. (12), (13) can be written as follows:

$$\begin{aligned} \partial_t(v, \rho) &= \bar{L}(v, \rho) + f(v, \rho, t), \\ v_r|_{r=0} &= 0, \\ (v, \rho)(0) &= (v_0, \rho_0), \end{aligned}$$

where

$$\bar{L}(v, \rho) = (Lv, 0) = \left( \partial_r^2 v + \frac{n-1}{r} \partial_r v, 0 \right).$$

As  $\bar{L}$  generates a semigroup on  $Y \times \mathbf{R}$  (see Lemma 1.5 for a detailed proof) and  $f \in C^1(Y \times \mathbf{R} \times \mathbf{R}^+)$ , the integral equations corresponding to (12), (13) have a unique solution  $(v, \rho) \in C^0([0, T], Y \times \mathbf{R})$ , see for instance [13]. In addition, this mild solution is classical and  $(v, \rho) \in C^1((0, T], Y \times \mathbf{R})$ .  $\square$

We now work on the two evolution equations (12), (13) to get information on the asymptotic behaviours of  $v$  and  $\rho$ . Before stating our result, let us explain its content in a heuristic way. Consider first equation (12) for  $v$ . The leading term in the right-hand side is

$$\left( \frac{n-1}{r} - \frac{n-1}{R+ct} + \rho'(t) \right) w_y(r-s(t), r),$$

which decays exponentially in time for any fixed  $r > 0$ , but only like  $(\log(R+ct))/(R+ct)^2$  for  $r = s(t)$ . On the other hand, as we shall show in Section 1.2.3, the evolution operator generated by the time-dependent operator  $\partial_r^2 + \frac{n-1}{r} \partial_r + F'(w_0(r-s(t)))$  is exponentially contracting in the space of functions  $v$  satisfying (11). Therefore, we expect the solution  $v$  of (12) to decay like  $\log t/t^2$  as  $t$  goes to infinity. As for  $\rho$ , we observe that Eq. (13) is close for large times to

$$\rho'(t) = \int_0^\infty \left[ \left( \frac{n-1}{R+ct} - \frac{n-1}{r} \right) \psi_y + \frac{n-1}{r^2} \psi \right] v(r, t) \, dr,$$

since  $\int_0^\infty \psi w_y \, dr$  is close to  $\int_{\mathbf{R}} \psi_0 \phi_0 \, dx = 1$ . Thus, we also expect  $\rho'(t)$  to decrease at least like  $\log t/t^2$  as  $t$  goes to infinity. The following result shows that these heuristic considerations are indeed correct:

**Theorem 1.3.** *There exist positive constants  $R_2, \delta_2, c_1, c_2, \gamma_0$  such that, if  $R \geq R_2$  and  $(v_0, \rho_0) \in Y \times \mathbf{R}$  satisfy  $\|v_0\|_Y \leq \delta_2, |\rho_0| \leq \frac{1}{2}$ , then Eqs. (12), (13) have a unique solution  $(v, \rho) \in C^0([0, +\infty), Y \times \mathbf{R})$  with initial data  $(v_0, \rho_0)$ . In addition,  $\rho \in C^1([0, +\infty), \mathbf{R})$  and*

$$\|v(t)\|_Y + |\rho'(t)| \leq c_1 \|v_0\|_Y e^{-\gamma_0 t} + c_2 \frac{\log(R+ct)}{(R+ct)^2}, \quad t \geq 0.$$

Theorem 1.3 is a new version of Theorem 1 in the variables  $v$  and  $\rho$ . We give right now the proof of Theorem 1 under the assumption that Theorem 1.3 is proved.

**Proof of Theorem 1.** Let  $R_2, \delta_2, c_1, c_2, \gamma_0$  be as in Theorem 1.3 and  $R_1, \delta_1, K$  be as in Lemma 1.1. Choose  $R_0$  and  $\delta_0$  so that:

$$2\delta_0 \leq \delta_1, \quad 2K\delta_0 \leq \min\left(\delta_2, \frac{1}{2}\right), \quad R_0 \geq \max(R_2, R_1), \quad c_0 e^{-\gamma_1 R_0} \leq \delta_0,$$

where  $c_0 > 0$  and  $\gamma_1 > 0$  are chosen so that for any  $R \geq 0$ ,

$$\|w_0(r-R) - w(r-R, r)\|_Y \leq c_0 e^{-\gamma_1 R}. \tag{14}$$

Let  $u_0 : \mathbf{R}^n \rightarrow \mathbf{R}$  be a spherically symmetric function satisfying

$$\|u_0(r) - w_0(r - R)\|_Y \leq \delta$$

for some  $R \geq R_0$  and  $\delta \leq \delta_0$ . Let  $\xi(r) = u_0(r) - w(r - R, r)$ ,  $r \geq 0$ . Then,  $\xi \in Y$  and  $\|\xi\|_Y \leq \delta + c_0 e^{-\gamma_1 R} \leq 2\delta_0 \leq \delta_1$ . Then, by Lemma 1.1, there exists a unique pair  $(v_0, \rho_0) \in Y \times \mathbf{R}$  such that:

- (i)  $\|v_0\|_Y + |\rho_0| \leq K \|\xi\|_Y$ ,
- (ii)  $u_0(r) = w(r - R, r) + \xi(r) = w(r - R - \rho_0, r) + v_0(r)$  for all  $r \geq 0$ ,
- (iii)  $\int_0^\infty v_0(r) \psi(r - R - \rho_0, r) dr = 0$ .

As  $R \geq R_2$  and  $(v_0, \rho_0) \in Y \times \mathbf{R}$  satisfy  $\|v_0\|_Y \leq \delta_2$  and  $|\rho_0| \leq \frac{1}{2}$ , it follows from Theorem 1.3 that Eqs. (12), (13) have a unique solution  $(v, \rho) \in C^0([0, +\infty), Y \times \mathbf{R})$  with initial data  $(v_0, \rho_0)$ . In addition,  $\rho \in C^1([0, +\infty), \mathbf{R})$  and

$$\|v(t)\|_Y + |\rho'(t)| \leq c_1 \|v_0\|_Y e^{-\gamma_0 t} + c_2 \frac{\log(R + ct)}{(R + ct)^2}, \quad t \geq 0.$$

Let  $u(x, t) = w(|x| - s(t), |x|) + v(|x|, t)$ ,  $x \in \mathbf{R}^n$ , where  $s(t)$  is given by (8). Then,  $u \in C^0([0, +\infty), X)$  is the unique solution of Eq. (1) with initial data  $u_0$  and

$$\begin{aligned} & \|u(x, t) - w_0(|x| - s(t))\|_X + |\rho'(t)| \\ & \leq \|u(x, t) - w(|x| - s(t), |x|)\|_X + \|w(r - s(t), r) - w_0(r - s(t))\|_Y + |\rho'(t)| \\ & \leq c_1 \|v_0\|_Y e^{-\gamma_0 t} + c_2 \frac{\log(R + ct)}{(R + ct)^2} + c_0 e^{-\gamma_1 s(t)} \\ & \leq c_1 K \delta e^{-\gamma_0 t} + c_2 \frac{\log(R + ct)}{(R + ct)^2} + c_1 K c_0 e^{-\gamma_1 R - \gamma_0 t} + c_0 e^{-\gamma_1 s(t)}. \end{aligned}$$

Define  $c'_1 = K c_1$  and  $c'_2$  so that for any  $t \geq 0$ , any  $R \geq 0$ ,

$$c_2 + c_1 c_0 K \frac{e^{-\gamma_1 R - \gamma_0 t}}{(\log(R + ct))/(R + ct)^2} + c_0 \frac{e^{-\gamma_1 s(t)}}{(\log(R + ct))/(R + ct)^2} \leq c'_2.$$

Then,

$$\|u(x, t) - w_0(|x| - s(t))\|_X + |\rho'(t)| \leq c'_1 \delta e^{-\gamma_0 t} + c'_2 \frac{\log(R + ct)}{(R + ct)^2}.$$

This ends the proof of Theorem 1.  $\square$

### 1.2. Estimates on the solutions $v$ and $\rho$

Let us now prove Theorem 1.3. We begin with a proposition close to this theorem but local in time. We then show how Theorem 1.3 follows from this proposition.

**Proposition 1.4.** *There exist positive constants  $R_3, \delta_3, c_1, c_2, \gamma_0$  such that, if  $R \geq R_3, T > 0$  and  $(v, \rho) \in C^0([0, T], Y \times \mathbf{R})$  is any solution of (12), (13) satisfying*

$$\|v(t)\|_Y \leq \delta_3, \quad |\rho(t)| \leq 1, \quad 0 \leq t \leq T,$$

then

$$\|v(t)\|_Y + |\rho'(t)| \leq c_1 \|v_0\|_Y e^{-\gamma_0 t} + c_2 \frac{\log(R + ct)}{(R + ct)^2}, \quad 0 \leq t \leq T.$$

**Proof of Theorem 1.3.** Let  $R_3, \delta_3, c_1, c_2, \gamma_0$  be as in Proposition 1.4 and choose positive constants  $R_2, \delta_2$  so that  $R_2 \geq R_3$  and

$$c_1 \delta_2 < \min\left(\frac{\delta_3}{2}, \frac{\gamma_0}{4}\right), \quad \delta_2 \leq \min\left(\frac{\delta_3}{2}, \delta_4\right), \quad c_2 \frac{\log R_2}{R_2^2} < \frac{\delta_3}{2}, \quad \frac{c_2}{c} \frac{1 + \log R_2}{R_2} < \frac{1}{4}.$$

Take  $R \geq R_2$  and  $(v_0, \rho_0) \in Y \times \mathbf{R}$  so that  $\|v_0\|_Y \leq \delta_2, |\rho_0| \leq \frac{1}{2}$ . By Lemma 1.2, let  $(v, \rho) \in C^0([0, T^*), Y \times \mathbf{R})$  be the maximal solution of (12), (13) with initial data  $(v_0, \rho_0)$ . Define

$$T = \sup\{\tilde{T} \in [0, T^*) \mid \|v(t)\|_Y \leq \delta_3 \text{ and } |\rho(t)| \leq 1 \text{ for any } t \in [0, \tilde{T}]\}.$$

Since  $\delta_2 < \delta_3$ , it is clear that  $T > 0$ . We claim that  $T = T^*$ , which also implies  $T = T^* = +\infty$ . Indeed, if  $T < T^*$ , it follows from Proposition 1.4 that for  $t \in [0, T]$ ,

$$\begin{aligned} \|v(t)\|_Y &\leq c_1 \|v_0\|_Y e^{-\gamma_0 t} + c_2 \frac{\log(R + ct)}{(R + ct)^2} \leq c_1 \delta_2 + c_2 \frac{\log R_2}{R_2^2} < \delta_3, \\ |\rho(t)| &\leq |\rho_0| + \int_0^t |\rho'(s)| ds \leq \frac{1}{2} + \frac{c_1 \delta_2}{\gamma_0} + \frac{c_2}{c} \frac{1 + \log R_2}{R_2} < 1, \end{aligned}$$

which contradicts the definition of  $T$ . Thus  $T = T^* = +\infty$ . Since  $\delta_2 < \delta_3$ , the inequality satisfied by  $\|v(t)\|_Y + |\rho'(t)|$  is true for all  $t \geq 0$  and Theorem 1.3 follows immediately from Proposition 1.4.  $\square$

Let us now prove Proposition 1.4. We are first interested in the behaviour of  $v$  which satisfies Eq. (12). The main idea is to work, as in one dimension, in the moving frame at speed  $s(t)$  to get, in Eq. (12), a time independent operator instead of  $\partial_r^2 + \frac{n-1}{r} \partial_r + F'(w_0(r - s(t)))$ . Therefore, we need to work on the whole real line which is invariant by translation. That is why we first extend  $v$  to  $\mathbf{R}$  by a function  $z$  which is convenient, i.e., which decreases exponentially fast in time in the  $H^1$  norm. Precisely, we already explained in a heuristic way that  $v$  decreases exponentially fast as  $t$  goes to infinity near  $r = 0$ . Therefore, we first define a function  $z$  equal to  $v$  near the origin and then extend  $v$  to  $\mathbf{R}$  by  $z$ . We can then use theorems on spectral perturbations of operators, energy estimates and spectral decomposition to highlight the behaviour of  $v$  in  $X$ . As Eqs. (12) and (13), satisfied by  $v$  and  $\rho$ , are coupled, we need at the end to study the behaviour of  $\rho$  as we explained before.

From now on, we fix  $R > 0$  (large),  $0 < \delta \leq \delta_4$  (small), and we assume that  $(v, \rho) \in C^0([0, T], Y \times \mathbf{R})$  is a solution of (12), (13) satisfying

$$\|v(t)\|_Y \leq \delta, \quad |\rho(t)| \leq 1, \quad 0 \leq t \leq T,$$

for some  $T > 0$ . We call these assumptions (H).

### 1.2.1. Localisation near $r = 0$

Let  $\xi \in C^\infty(\mathbf{R}^+)$ ,  $R_4 \geq 2$  and  $\beta > 0$  so that  $\xi \equiv 1$  on  $[0, R_4]$  and  $\xi(r) \sim e^{-\beta r}$  as  $r$  goes to infinity. Let

$$z(r, t) = \xi(r)v(r, t) \tag{15}$$

for all  $r \in \mathbf{R}^+$  and  $t \geq 0$ . Then,  $z$  is equal to  $v$  near  $r = 0$  and satisfies

$$\begin{aligned} z_t(r, t) &= L_1 z(r, t) + G_1(r, t), \quad r \geq 0, t > 0, \\ z_r|_{r=0} &= 0, \quad t > 0, \end{aligned}$$

where

$$\begin{aligned}
L_1 &= \partial_r^2 + \left( \frac{n-1}{r} + a(r) \right) \partial_r + b(r), \\
G_1(r, t) &= (F'(w_0(r-s(t))) - h_-) \xi(r) v(r, t) + (S+N) \xi(r) \\
&\quad + \left( \frac{n-1}{r} - \frac{n-1}{R+ct} + \rho'(t) \right) w_y(r-s(t), r) \xi(r), \\
a(r) &= -2\xi'(r)/\xi(r), \\
b(r) &= 2 \left( \frac{\xi'(r)}{\xi(r)} \right)^2 - \frac{\xi''(r)}{\xi(r)} - \frac{n-1}{r} \frac{\xi'(r)}{\xi(r)} + h_-, \\
h_- &= \inf \left[ \lim_{y \rightarrow +\infty} F'(w_0(y)), \lim_{y \rightarrow -\infty} F'(w_0(y)) \right] \\
&= \inf(F'(0), F'(1)).
\end{aligned}$$

Note that  $h_- < 0$  and  $b$  equals  $h_-$  near  $r = 0$ . Therefore, by choice of appropriate  $\beta$ ,  $a(r)$  can be chosen small and  $b(r) \leq -b_0 < 0$  for all  $r \in \mathbf{R}^+$ .

**Lemma 1.5.** *Under assumptions (H) for any  $R \geq R_4$ ,  $L_1$  generates an analytic semigroup on  $Y$  and there exist positive constants  $c_0, c_1, c_2, \gamma_2$  such that for any  $t \in (0, T)$ ,*

$$\begin{aligned}
\|e^{tL_1}\|_{\mathcal{L}(Y)} &\leq c_0 e^{-\gamma_2 t}, \\
\|G_1(t)\|_Y &\leq c_1(1+\delta) e^{-\gamma_2(R+ct)} + c_2 \delta \|v(t)\|_Y.
\end{aligned}$$

**Proof.** We first study the behaviour of  $\|G_1(t)\|_Y$ : it is a standard result that  $w_0, \phi_0$  and  $\psi_0$  decrease exponentially fast at infinity. Then, it comes that

$$\begin{aligned}
\|(F'(w_0(r-s(t))) - h_-) \xi(r) v(r, t)\|_Y &\leq c_0 \delta e^{-\gamma_2(R+ct)}, \\
\|S\|_Y &\leq c_0 e^{-\gamma_2(R+ct)}.
\end{aligned}$$

In addition,  $N = [F(w+v) - F(w_0+v)] + [F(w_0+v) - F(w_0) - F'(w_0)v] + F(w_0)(1-\chi(r))$  and

$$\|N\|_Y \leq c_0 e^{-\gamma_2(R+ct)} + c_0 \|v\|_Y^2 \leq c_0 e^{-\gamma_2(R+ct)} + c_0 \delta \|v\|_Y.$$

Finally, we want to bound  $\|((n-1)/r - (n-1)/(R+ct) + \rho'(t)) w'_0(r-s(t)) \chi(r) \xi(r)\|_Y$ . As  $R \geq R_4$ ,  $s(t) \geq R_4$  and the particular case  $r = s(t)$  explained in a heuristic way does not occur as  $\xi(r)$  decays exponentially fast as  $r$  goes to infinity. To conclude, we have to explain the bound of  $|\rho'(t)|$ . Indeed, by Eq. (13),

$$|\rho'(t)| \leq c_0 \left( (1+\delta) e^{-\gamma_0(R+ct)} + \delta \frac{\log(R+ct)}{(R+ct)^2} + \frac{\delta}{(R+ct)^2} + \delta \|v\|_Y \right), \quad (16)$$

and

$$\left\| \left( \frac{n-1}{r} - \frac{n-1}{R+ct} + \rho'(t) \right) w'_0(r-s(t)) \chi(r) \xi(r) \right\|_Y \leq c_2(1+\delta) e^{-\gamma_2(R+ct)}.$$

This ends the proof for  $\|G_1\|_Y$ .

On the other hand, the semi-group generated by  $L_1$  on  $Y$  is studied by energy estimates. Let  $u$  be a solution of

$$\begin{cases} u_t = L_1 u, & r > 0, t > 0, \\ u_r|_{r=0} = 0, & t > 0, \\ u(r, 0) = u_0(r), & r > 0. \end{cases}$$

Let  $I_1(t) = \frac{1}{2} \int_0^\infty u^2 dr$  and  $I_2(t) = \frac{1}{2} \int_0^\infty u_r^2 dr$ . Then, the derivatives with respect to  $t$  of  $I_1$  and  $I_2$  satisfy

$$\begin{aligned}
 \dot{I}_1(t) &= -2I_2 + (n-1) \int_0^\infty \frac{uu_r}{r} dr + \int_0^\infty \left(b - \frac{a'}{2}\right) u^2 dr, \\
 \dot{I}_2(t) &= - \int_0^\infty u_{rr}^2 dr - \frac{n-1}{2} \int_0^\infty \left(\frac{u_r}{r}\right)^2 dr + \int_0^\infty \left(b + \frac{a'}{2}\right) u_r^2 dr - \int_0^\infty \frac{b''}{2} u^2 dr.
 \end{aligned}$$

Let introduce  $e > 0$ ,  $\varepsilon > 0$ ,  $I(t) = I_1(t) + eI_2(t)$ , then

$$\begin{aligned}
 \dot{I}(t) &\leq \int_0^\infty \left( \left(b - \frac{a'}{2}\right) - e\frac{b''}{2} + \frac{(n-1)\varepsilon}{2} \right) u^2 dr \\
 &\quad + \int_0^\infty \left( -1 + e\left(b + \frac{a'}{2}\right) \right) u_r^2 dr + \frac{n-1}{2} \left(\frac{1}{\varepsilon} - e\right) \int_0^\infty \left(\frac{u_r}{r}\right)^2 dr.
 \end{aligned} \tag{17}$$

Choosing first  $\varepsilon \ll 1$ , then  $e \gg 1$  depending on  $\varepsilon$  and  $\beta \ll 1$  depending on  $e$ , we obtain

$$\begin{aligned}
 \left(b - \frac{a'}{2}\right) - e\frac{b''}{2} + \frac{(n-1)\varepsilon}{2} &\leq \frac{-\gamma_2}{2} < 0, \\
 -1 + e\left(b + \frac{a'}{2}\right) &\leq \frac{-\gamma_2}{2}e < 0, \\
 \frac{1}{\varepsilon} - e &\leq -1,
 \end{aligned}$$

where  $\gamma_2 = |b_0|$ . It follows that  $\dot{I}(t) \leq -\gamma_2 I(t)$  and  $\|u(t)\|_Y \leq c_0 e^{-\gamma_2 t} \|u_0\|_Y$ . This proves the lemma.  $\square$

We shall use these calculations to get some further information on the behaviour of the semigroup generated by  $L_1$  which are useful in the following sections. Let  $\alpha(t) = \int_0^\infty (u_r/r)^2 dr$ . Then, according to (17),

$$\frac{d}{dt} (e^{\gamma_2 t} I(t)) + \frac{n-1}{2} e^{\gamma_2 t} \alpha(t) \leq 0.$$

Integrating the latter inequality between  $\sigma$  and  $t$  and using Hölder’s inequality, we obtain the following result for  $\gamma$  defined in the introduction and any  $(\sigma, t) \in (0, T)$  such that  $\sigma \leq t$ :

$$\int_\sigma^t e^{-\gamma(t-s)} \left\| \frac{u_r}{r}(s) \right\|_{L^2(\mathbf{R}^+)} ds \leq c_3 \|u(\sigma)\|_Y e^{-(\gamma_2/2)(t-\sigma)}. \tag{18}$$

In the same way, using convolution inequality  $\|f * g\|_{L^1(\mathbf{R})} \leq \|f\|_{L^1(\mathbf{R})} \|g\|_{L^1(\mathbf{R})}$ , we obtain for  $\gamma' < \gamma_2$ ,

$$\int_\sigma^t \frac{e^{-\gamma(t-s)}}{\sqrt{t-s}} \left\| \frac{u_r}{r}(s) \right\|_{L^2(\mathbf{R}^+)} ds \leq c_3 \|u(\sigma)\|_Y e^{-\gamma'(t-\sigma)}.$$

The next lemma is a corollary of these calculations and will be used in the following to compute asymptotics of the solutions  $(v, \rho)$ .

**Lemma 1.6.** *Under assumptions (H) for any  $R \geq R_4$ , there exist positive constants  $c_0, c_1, c_2, \gamma_3$  such that for any  $t \in (0, T)$ ,*

$$\int_0^t e^{-\gamma(t-s)} \left\| \frac{z_r}{r}(s) \right\|_{L^2(\mathbf{R}^+)} ds \leq c_0 \|v_0\|_Y e^{-\gamma_3 t} + c_1(1 + \delta) e^{-\gamma_3(R+ct)} + c_2 \delta \int_0^t e^{-\frac{\gamma_2}{2}(t-s)} \|v(s)\|_Y ds,$$

$$\int_0^t \frac{e^{-\gamma(t-s)}}{\sqrt{t-s}} \left\| \frac{z_r}{r}(s) \right\|_{L^2(\mathbf{R}^+)} ds \leq c_0 \|v_0\|_Y e^{-\gamma_3 t} + c_1(1 + \delta) e^{-\gamma_3(R+ct)} + c_2 \delta \int_0^t e^{-\gamma'(t-s)} \|v(s)\|_Y ds,$$

where  $z$  is defined in (15).

**Proof.** The proofs of these two inequalities are very similar. Therefore, we only prove the first one. We recall that  $z(r, s) = e^{sL_1} z_0 + \int_0^s e^{(s-\sigma)L_1} G_1(r, \sigma) d\sigma$  for any  $r \geq 0, s \geq 0$ . Then,

$$\int_0^t e^{-\gamma(t-s)} \left\| \frac{z_r}{r}(s) \right\|_{L^2(\mathbf{R}^+)} ds$$

$$\leq \int_0^t e^{-\gamma(t-s)} \left\| \frac{\partial_r}{r} e^{sL_1} z_0 \right\|_{L^2(\mathbf{R}^+)} ds + \int_0^t e^{-\gamma(t-s)} \int_0^s \left\| \frac{\partial_r}{r} e^{(s-\sigma)L_1} G_1(r, \sigma) \right\|_{L^2(\mathbf{R}^+)} d\sigma ds.$$

The first term of the right-hand side is bounded by (18):

$$\int_0^t e^{-\gamma(t-s)} \left\| \frac{\partial_r}{r} e^{sL_1} z_0 \right\|_{L^2(\mathbf{R}^+)} ds \leq c_3 e^{-\frac{\gamma_2}{2}t} \|z_0\|_Y.$$

The second term is bounded by Fubini’s theorem, (18) and Lemma 1.5:

$$\int_0^t \int_0^s e^{-\gamma(t-s)} \left\| \frac{\partial_r}{r} e^{(s-\sigma)L_1} G_1(r, \sigma) \right\|_{L^2(\mathbf{R}^+)} d\sigma ds \leq \int_0^t c_3 e^{-(\gamma_2/2)(t-\sigma)} \|G_1(r, \sigma)\|_Y d\sigma$$

$$\leq c_1(1 + \delta) e^{-\gamma_3(R+ct)} + c_2 \delta \int_0^t e^{-(\gamma_2/2)(t-\sigma)} \|v(\sigma)\|_Y d\sigma.$$

This ends the proof of Lemma 1.6.  $\square$

**Corollary 1.7.** Under assumptions (H) for any  $R \geq R_4$ , the behaviour of  $z$  is a result of Lemma 1.5. Indeed, there exist positive constants  $c_1, c_2, c_3$  such that for any  $t \in (0, T)$ ,

$$\|z(t)\|_Y \leq c_1 \|v_0\|_Y e^{-\gamma_2 t} + c_2(1 + \delta) e^{-\gamma_2(R+ct)} + c_3 \delta \int_0^t e^{-\gamma_2(t-s)} \|v(s)\|_Y ds.$$

1.2.2. Extension to the real line

As we said before, we need to work on the whole real line and therefore to extend  $v$  for  $r < 0$ . Let

$$\tilde{z}(r, t) = \begin{cases} z(-r, t) & \text{if } r < 0, \\ v(r, t) & \text{if } r \geq 0. \end{cases}$$

Then,  $\tilde{z}$  is smooth in  $\mathbf{R}$  and satisfies for any  $r \in \mathbf{R}$ ,

$$\begin{aligned} \tilde{z}_t(r, t) = & \tilde{z}_{rr}(r, t) + \frac{n-1}{r} \tilde{z}_r(r, t) + F'(w_0(r-s(t))) \tilde{z}(r, t) \\ & + \left( \frac{n-1}{r} - \frac{n-1}{R+ct} + \rho'(t) \right) w'_0(r-s(t)) \chi(r) + \tilde{N} + G_2(r, t), \end{aligned} \tag{19}$$

where

$$\tilde{N} = \begin{cases} N & \text{if } r \geq 0, \\ N\xi(|r|) & \text{if } r < 0, \end{cases}$$

$$G_2(r, t) = \begin{cases} S & \text{if } r \geq 0, \\ az_r + (b-h_-)z + S\xi(|r|) + (F'(w_0(|r|-s(t))) - F'(w_0(r-s(t))))z(|r|, t) \\ \quad + \left( \frac{n-1}{|r|} - \frac{n-1}{R+ct} + \rho'(t) \right) w'_0(|r|-s(t)) \chi(|r|) \xi(|r|) \\ \quad - \left( \frac{n-1}{r} - \frac{n-1}{R+ct} + \rho'(t) \right) w'_0(r-s(t)) \chi(r) \xi(r) & \text{if } r \leq 0. \end{cases}$$

Using Lemma 1.5 and Corollary 1.7, we have the following lemma:

**Lemma 1.8.** *Under assumptions (H) with  $R \geq R_4$ , there exist positive constants  $c_1, c_2, c_3$  such that for any  $t \in (0, T)$ ,*

$$\|G_2(t)\|_{L^2(\mathbf{R})} \leq c_1 \|v_0\|_Y e^{-\gamma_2 t} + c_2 (1 + \delta) e^{-\gamma_2(R+ct)} + c_3 \delta \int_0^t e^{-\gamma_2(t-s)} \|v(s)\|_Y ds.$$

### 1.2.3. Moving frame

In order to take advantage of spectral properties of the time independent operator  $L_0$ , it is convenient to work in the moving frame with speed  $s(t)$ . So let  $\bar{z}(r-s(t), t) = \tilde{z}(r, t)$  and  $G_3(r-s(t), t) = G_2(r, t)$ . Then,  $\bar{z}$  satisfies an equation similar to (19). As  $\eta(t) = \int_{\mathbf{R}} \bar{z}(y, t) \psi_0(y) dy = \int_{\mathbf{R}} \tilde{z}(r, t) \psi_0(r-s(t)) dr$  is nonzero in general,  $\bar{z}$  does not belong to  $\mathcal{R}$ . We recall that  $\mathcal{R}$  has been defined in the introduction as the supplementary of the spectral subspace corresponding to the eigenvalue 0 of the operator  $L_0$  in  $L^2(\mathbf{R})$ . As  $L_0 = \partial_y^2 + c\partial_y + F'(w_0)$  has interesting spectral properties in  $\mathcal{R}$ , it is convenient to use the following spectral decomposition:

$$\bar{z}(y, t) = \eta(t)\phi_0(y) + r(y, t), \quad \text{where } r \in \mathcal{R}. \tag{20}$$

Note that this  $r \in \mathcal{R}$  is different from the  $r \in \mathbf{R}^+$  used so far. Before going on, notice that  $\eta(t)$  decreases exponentially fast in time:  $|\eta(t)| \leq c_0 e^{-\gamma_4(R+ct)}$  for  $\gamma_4 > 0$ , and let introduce a few notations. Let  $\zeta \in C_0^\infty(\mathbf{R})$ , positive, even, which satisfies  $\zeta \equiv 1$  on  $[-R_4, R_4]$  and  $\zeta \equiv 0$  on  $[-R_4-1, R_4+1]^c$ .

We decompose the nonlinear terms as follows:  $\tilde{N} = N_1 + N_2$  where

$$N_1 = F(w+r) - F(w_0)\chi(y+s(t)) - F'(w_0)r \quad \text{and} \quad N_2 = \tilde{N} - N_1.$$

Then,

$$\begin{aligned} \|N_1\|_{L^2} & \leq c_0 \|r\|_Y^2 + c_0 e^{-\gamma_2(R+ct)}, \\ \|N_2\|_{L^2} & \leq c_0 |\eta(t)|. \end{aligned} \tag{21}$$

Substitute the decomposition (20) into Eq. (19) to get:

$$\begin{aligned} r_t(y, t) & = L_2 r(y, t) + Q(G_4)(y, t), \quad t \geq 0, \quad y \in \mathbf{R}, \\ \int_{\mathbf{R}} r(y, t) \psi_0(y) dy & = 0, \quad t > 0, \end{aligned}$$

where

$$\begin{aligned}
 L_2 &= \partial_y^2 + c\partial_y + F'(w_0) + Q(N_1 + (1 - \zeta)G_5) \\
 G_5 &= \left( \frac{n-1}{y+s(t)} - \frac{n-1}{R+ct} + \rho'(t) \right) r_y(y, t) \\
 G_4 &= G_3(y, t) + N_2 + \zeta G_5(y, t) + \left( \frac{n-1}{y+s(t)} - \frac{n-1}{R+ct} + \rho'(t) \right) (\eta(t)\phi'_0(y) + \xi(y+s(t))\phi_0(y)).
 \end{aligned}
 \tag{22}$$

We recall that  $Q$  is a projector onto  $\mathcal{R}$  defined in the introduction.

**Lemma 1.9.** *There exist positive constants  $R_5, \delta_5$  such that under assumptions (H) with  $R \geq R_5$  and  $\delta \leq \delta_5$ ,  $L_2$  generates a family of evolution operators  $A(t, s)$  on  $\mathcal{R}$  which satisfies*

$$\|A(t, s)\|_{\mathcal{L}(\mathcal{R})} \leq c_0 e^{-\gamma(t-s)}, \quad 0 \leq s \leq t.$$

**Proof.** Let  $L_0 = \partial_y^2 + c\partial_y + F'(w_0)$  defined on  $\mathcal{R}$ . Then  $\sigma(L_0|_{\mathcal{R}}) \subset ]-\infty; -\gamma]$ ,  $\gamma > 0$  and  $L_0$  generates an analytic semi-group on  $\mathcal{R}$  which satisfies  $\|e^{tL_0}\|_{\mathcal{L}(\mathcal{R})} \leq c_0 e^{-\gamma t}$  and  $\mathcal{R}^{1/2} \equiv D(L_0^{1/2}) = H^1(\mathbf{R})$ , see for instance [13]. Let

$$\begin{aligned}
 B : \mathbf{R}^+ &\longrightarrow \mathcal{L}(H^1(\mathbf{R}), L^2(\mathbf{R})), \\
 t &\longmapsto B(t) : H^1(\mathbf{R}) \rightarrow L^2(\mathbf{R}) \\
 r &\longmapsto Q(N_1 + (1 - \zeta)G_5).
 \end{aligned}$$

We want to prove that  $B$  is a small perturbation of the operator  $L_0$  which does not affect its exponential decrease. As  $\|B(t)\|_{\mathcal{L}(H^1, L^2)} \leq c_0((n-1)/R + \delta)$ , Appendix A ends the proof, namely there exist some  $R_5 \gg 1$  and some  $\delta_5 > 0$  so that for all  $R \geq R_5$  and  $\delta \leq \delta_5$ ,  $L_2$  generates a family of evolution operators  $A(t, s)$  on  $\mathcal{R}$  which satisfies Lemma 1.9 for a slightly different  $\gamma$ .  $\square$

**Lemma 1.10.** *Under hypothesis (H) with  $R \geq R_4$ , there exist positive constants  $c_i, i = 0, \dots, 5$ , and  $\gamma_5$  such that for any  $t \in (0, T)$ ,*

$$\begin{aligned}
 \|Q(G_4)(t)\|_{L^2(\mathbf{R})} &\leq c_0 \|v_0\|_Y e^{-\gamma_5 t} + c_1(1 + \delta) e^{-\gamma_5(R+ct)} + c_3 \frac{\log(R+ct)}{(R+ct)^2} \\
 &\quad + c_2 \delta \int_0^t e^{-\gamma_2(t-s)} \|v(s)\|_Y ds + c_4 |\rho'(t)| + c_5 \left\| \frac{z_r}{r}(t) \right\|_{L^2(\mathbf{R}^+)}.
 \end{aligned}$$

**Proof.** As  $G_4$  is given by (22), the first two terms have already been studied in Lemma 1.8 and (21):

$$\begin{aligned}
 \|Q(G_3)(t)\|_{L^2(\mathbf{R})} &\leq \|G_2(t)\|_{L^2(\mathbf{R}^+)} \\
 &\leq c_1 \|v_0\|_Y e^{-\gamma_2 t} + c_2(1 + \delta) e^{-\gamma_2(R+ct)} + c_3 \delta \int_0^t e^{-\gamma_2(t-s)} \|v(s)\|_Y ds,
 \end{aligned}$$

$$\|Q(N_2)(t)\|_{L^2} \leq c_0 |\eta(t)| \leq c_0 e^{-\gamma_4(R+ct)}.$$

The last terms will be cut into four parts with the cut-off  $\zeta$ . As

$$\left( \frac{n-1}{y+s(t)} - \frac{n-1}{R+ct} + \rho'(t) \right) \xi(y+s(t))\phi_0(y)\zeta(y+s(t)) = 0$$

by definition of  $\xi$  and  $\zeta$ , we obtain

$$\left\| \left( \frac{n-1}{y+s(t)} - \frac{n-1}{R+ct} + \rho'(t) \right) \xi(y+s(t)) \phi_0(y) \right\|_{L^2} \leq c_0 \frac{\log(R+ct)}{(R+ct)^2} + c_1 |\rho'(t)|.$$

In the same way, we get

$$\left\| \left( \frac{n-1}{y+s(t)} - \frac{n-1}{R+ct} + \rho'(t) \right) \eta(t) \phi'_0(y) (1 - \zeta(y+s(t))) \right\|_{L^2} \leq c_2 (1 + \delta) e^{-\gamma_4(R+ct)}.$$

Finally, we join the last two terms:

$$\begin{aligned} & \left\| \zeta G_5 + \left( \frac{n-1}{y+s(t)} - \frac{n-1}{R+ct} + \rho'(t) \right) \eta(t) \phi'_0(y) \zeta(y+s(t)) \right\|_{L^2(\mathbf{R})} \\ & \leq \left\| \left( \frac{n-1}{r} - \frac{n-1}{R+ct} + \rho'(t) \right) \zeta(r) \tilde{z}_r(r, t) \right\|_{L^2(\mathbf{R})} \\ & \leq c_2 \left\| \frac{z_r(r, t)}{r} \right\|_{L^2(\mathbf{R}^+)} + \left( \frac{n-1}{R} + c_0 \delta + c_1 \right) \|z(r, t)\|_Y \end{aligned}$$

as  $\tilde{z} = z = v$  on  $[0, R_4]$ . By Corollary 1.7, we conclude that:

$$\begin{aligned} & \left\| \zeta G_5 + \left( \frac{n-1}{y+s(t)} - \frac{n-1}{R+ct} + \rho'(t) \right) \eta(t) \phi'_0(y) \zeta(y+s(t)) \right\|_{L^2(\mathbf{R})} \\ & \leq c_0 \|v_0\|_Y e^{-\gamma_2 t} + c_1 (1 + \delta) e^{-\gamma_2(R+ct)} + c_2 \left\| \frac{z_r(r, t)}{r} \right\|_{L^2(\mathbf{R}^+)} + c_3 \delta \int_0^t e^{-\gamma_2(t-s)} \|v(s)\|_Y ds. \end{aligned}$$

Define  $\gamma_5 = \inf\{\gamma_2, \gamma_4\}$ . This ends the proof.  $\square$

**Corollary 1.11.** Under assumptions (H) with  $R \geq \max(R_4, R_5)$  and  $\delta \leq \delta_5$ , there exist positive constants  $c_i$ ,  $i = 1, \dots, 4$  and  $\gamma_7, \gamma'$  such that for any  $t \in (0, T)$ ,

$$\|r(t)\|_{H^1(\mathbf{R})} \leq c_1 \|r_0\|_{H^1(\mathbf{R})} e^{-\gamma_7 t} + c_2 (1 + \delta) e^{-\gamma_7(R+ct)} + c_3 \frac{\log(R+ct)}{(R+ct)^2} + c_4 \int_0^t \frac{e^{-\gamma'(t-s)}}{\sqrt{(t-s)}} |\rho'(s)| ds.$$

**Proof.** We first want to bound the  $L^2$  norm of  $r$ . As a consequence of Lemmas 1.9, 1.10 and 1.6, we get for any  $t \in (0, T)$ ,

$$\begin{aligned} \|r(t)\|_{L^2(\mathbf{R})} & \leq c_1 \|r_0\|_{H^1(\mathbf{R})} e^{-\gamma_6 t} + c_2 (1 + \delta) e^{-\gamma_6(R+ct)} + c_3 \frac{\log(R+ct)}{(R+ct)^2} \\ & \quad + c_4 \int_0^t e^{-\gamma(t-s)} |\rho'(s)| ds + c_5 \delta \int_0^t e^{-\gamma_6(t-s)} \|r(s)\|_{H^1(\mathbf{R})} ds. \end{aligned} \tag{23}$$

In order to bound the  $H^1$  norm of  $r$ , we recall that  $r_t = L_2 r + Q(G_4)$  and  $L_2 = L_0 + B(t)$ . According to Lemma 1.9, operator  $B(t)$  is a small perturbation of  $L_0$ . Then, the Banach space  $\mathcal{R}^{1/2}$  can be defined by  $D(A^{1/2})$  as well as  $D(L_0^{1/2})$ , and the graph norms are equivalent. Thus,  $\|\partial_x A(t, s)\|_{\mathcal{L}(\mathcal{R})} \leq c_0 e^{-\gamma(t-s)/\sqrt{t-s}}$ . In addition,

$r(y, t) = A(t, 0)r_0(y) + \int_0^t A(t, s)Q(G_4)(y, s) ds$ . Derivating this last expression with respect to  $y$  and bounding the  $L^2$  norm, we get:

$$\|\partial_y r(t)\|_{L^2(\mathbf{R})} \leq c_0 \|r_0\|_{H^1(\mathbf{R})} e^{-\gamma t} + \int_0^t \frac{e^{-\gamma(t-s)}}{\sqrt{t-s}} \|Q(G_4)(s)\|_{L^2} ds.$$

Finally, by (23) and Lemmas 1.10 and 1.6, we get

$$\begin{aligned} \|r(t)\|_{H^1(\mathbf{R})} &\leq c_1 \|r_0\|_{H^1(\mathbf{R})} e^{-\gamma_6 t} + c_2(1 + \delta) e^{-\gamma_6(R+ct)} + c_3 \frac{\log(R + ct)}{(R + ct)^2} \\ &\quad + c_4 \int_0^t \frac{e^{-\gamma(t-s)}}{\sqrt{t-s}} |\rho'(s)| ds + c_5 \int_0^t \frac{e^{-\gamma(t-s)}}{\sqrt{t-s}} \|r(s)\|_{H^1} ds. \end{aligned}$$

Indeed, by Fubini’s theorem and one integration by parts,

$$\int_0^t \frac{e^{-\gamma(t-s)}}{\sqrt{t-s}} \int_0^s e^{-\gamma_2(s-\sigma)} \|v(\sigma)\|_Y d\sigma ds \leq c_0 \int_0^t e^{-\gamma'(t-s)} \|v(s)\|_Y ds.$$

Gronwall’s lemma ends the proof.  $\square$

**Corollary 1.12.** *Under the same assumptions (H) with  $R \geq \max(R_4, R_5)$  and  $\delta \leq \delta_5$ , there exist positive constants  $c_i, i = 1, \dots, 3$ , such that for any  $t \in (0, T)$ ,*

$$\|v(t)\|_Y \leq c_1 \|v_0\|_Y e^{-\gamma_8 t} + c_2(1 + \delta) e^{-\gamma_8(R+ct)} + c_3 \frac{\log(R + ct)}{(R + ct)^2} + \int_0^t \frac{e^{-\gamma(t-s)}}{\sqrt{t-s}} |\rho'(s)| ds.$$

1.2.4. Conclusion

**Proof of Proposition 1.4.** Take  $R_3 = \max\{R_4, R_5\}$  and  $\delta_3 = \inf\{\delta_4, \delta_5\}$ . Let  $T > 0, \delta \leq \delta_3$  and  $R \geq R_3$ . Consider  $(v, \rho) \in C^0([0, T], Y \times \mathbf{R})$  any solution of (12, 13) satisfying

$$\|v\|_Y \leq \delta, \quad |\rho(t)| \leq 1, \quad 0 \leq t \leq T.$$

Then, assumptions (H) are valid and by inequality (16), Corollary 1.12 and Gronwall’s lemma, there exist positive constants  $c_1, c_2, \gamma_0$  such that

$$\|v(t)\|_Y + |\rho'(t)| \leq c_1 \|v_0\|_Y e^{-\gamma_0 t} + c_2 \frac{\log(R + ct)}{(R + ct)^2}, \quad 0 \leq t \leq T.$$

This ends the proof of Proposition 1.4.  $\square$

2. Nonradial solutions

In this section, we deal with nonradial solutions of Eq. (1). We prove, in this case, that travelling waves are Lyapunov stable but not necessarily asymptotically stable for general (i.e., nonnecessarily spherically symmetric) perturbations. In the first part of this section, we explain how the Lyapunov stability follows from Uchiyama’s proposition and the maximum principle. In the second part, we prove Theorem 2. To this end, we introduce some energy functionals which enable us to rule out the asymptotic stability of travelling waves against arbitrary small perturbations. In particular, we give an example in  $\mathbf{R}^2$  of an initial data  $u_0$  close to a travelling wave which converges to a nonradial profile as  $t$  goes to infinity.

2.1. Lyapunov stability

In the first section, we proved in Theorem 1.3 the local stability of travelling waves in  $X$ , i.e., among radial perturbations. Note that Uchiyama [16] proved a similar result in the  $L^\infty$  norm in her Lemma 4.5 without any information on the decay rate of the perturbation. Using comparison theorem, we show easily the Lyapunov stability of travelling waves against arbitrary small perturbations.

**Proposition 2.1.** *For any  $\varepsilon > 0$ , there exist positive constants  $R_0, \delta$  such that if  $u_0 : \mathbf{R}^n \rightarrow \mathbf{R}$  is a spherically symmetric function satisfying*

$$\|u_0(x) - w_0(|x| - R)\|_{L^\infty(\mathbf{R}^n)} \leq \delta$$

for some  $R \geq R_0$ , then Eq. (1) has a unique solution  $u \in C^0(\mathbf{R}^+, L^\infty(\mathbf{R}^n))$  with initial data  $u_0$  and for all  $t \in \mathbf{R}^+$ ,

$$\|u(x, t) - w_0(|x| - \bar{s}(t))\|_{L^\infty(\mathbf{R}^n)} \leq \varepsilon$$

where  $\bar{s}(t) = R + ct - \frac{n-1}{c} \log(c(R + ct)/R)$ .

**Proof.** See Uchiyama [16], Lemma 4.5.  $\square$

**Corollary 2.2.** *For any  $\varepsilon > 0$ , there exist positive constants  $R_0, \delta$  such that if  $u_0 : \mathbf{R}^n \rightarrow \mathbf{R}$  satisfies*

$$\|u_0(x) - w_0(|x| - R)\|_{L^\infty(\mathbf{R}^n)} \leq \delta$$

for some  $R \geq R_0$ , then Eq. (1) has a unique solution  $u \in C^0(\mathbf{R}^+, L^\infty(\mathbf{R}^n))$  with initial data  $u_0$  and for all  $t \in \mathbf{R}^+$ ,

$$\|u(x, t) - w_0(|x| - \bar{s}(t))\|_{L^\infty(\mathbf{R}^n)} \leq \varepsilon,$$

where  $\bar{s}(t) = R + ct - \frac{n-1}{c} \log(\frac{R+ct}{R})$ .

**Proof.** Let  $u(x, t), u_1(x, t), u_2(x, t)$  be the solutions of Eq. (1) with initial data  $u_0, w_0(|x| - R) - \delta, w_0(|x| - R) + \delta$  respectively. Then, combining the maximum principle and Proposition 2.1, we have  $u_1(x, t) \leq u(x, t) \leq u_2(x, t)$  on  $\mathbf{R}^n \times \mathbf{R}^+$  and  $\|u(x, t) - w_0(|x| - \bar{s}(t))\|_{L^\infty(\mathbf{R}^n)} \leq \varepsilon$ . This ends the proof.  $\square$

2.2. Energy estimates

In order to prove Theorem 2 about nonradial profiles, we need to control the perturbation of the wave and in particular the shape of the interface. We proceed as in the first section: we decompose the solution  $u(x, t)$  as a translate of the wave and a transversal perturbation. We use the same notations as in Section 1. As is explained in the introduction, we restrict ourselves for convenience in the two-dimensional case, and we use polar coordinates  $(r, \theta) \in \mathbf{R}^+ \times [0, 2\pi)$  in  $\mathbf{R}^2$ . Define the open set  $\Omega = \mathbf{R}^{+*} \times (0, 2\pi)$  and the measure  $dv = r dr d\theta$ . We need to introduce some Banach spaces adapted to these new variables:

$$W = \left\{ v(r, \theta) \in H^1_{loc}(\Omega) \mid v, v_r, \frac{v_\theta}{r} \in L^2(\Omega, dv) \text{ and } v(r, 0) = v(r, 2\pi) \text{ in } L^2_{loc}(\mathbf{R}^+, dr) \right\},$$

$$Z = \{ \rho(\theta) \in H^1(0, 2\pi) \mid \rho(0) = \rho(2\pi) \}.$$

We also define the associated norms:

$$\|v\|_W = \left( \int_{\Omega} \left( v^2 + v_r^2 + \frac{v_\theta^2}{r^2} \right) dv \right)^{1/2}$$

$$\|\rho\|_Z = \left( \int_0^{2\pi} (\rho^2 + \rho_\theta^2) d\theta \right)^{1/2} = \|\rho\|_{H^1(0,2\pi)}.$$

The space  $W$  does not seem to be very suitable to our problem as the measure  $dv$  induces a linear growth in time of the norm due to the expansion of the front. However, it is convenient for energy estimates as we shall see below. In those spaces, the coordinate system developed in the first section is still valid. More precisely, we have the following lemma:

**Lemma 2.3.** *There exist positive constants  $R'_1$ ,  $\delta'_1$ ,  $K'$  such that for any  $R \geq R'_1$  and any  $\xi \in W$  with  $\|\xi\|_W \leq \delta'_1$ , there exists a unique pair  $(v, \rho) \in W \times Z$  with*

- (i)  $\|v\|_W + \|\rho\|_Z \leq K' \|\xi\|_W$ ,
- (ii)  $w(r - R, r) + \xi(r, \theta) = w(r - R - \rho(\theta), r) + v(r, \theta)$  for all  $(r, \theta) \in \bar{\Omega}$ ,
- (iii)  $\int_0^\infty v(r, \theta) \psi(r - R - \rho(\theta), r) dr = 0$  for any  $\theta \in [0, 2\pi)$ .

**Proof.** The proof is very similar to the one of Lemma 1.1 and we may omit it.  $\square$

Using Lemma 2.3, assuming the solution  $u(x, t)$  is close to a travelling wave, we have for any  $t \geq 0$ ,  $\theta \in [0, 2\pi)$ , and some  $R \geq 0$ ,

$$u(r, \theta, t) = w(r - s(\theta, t), r) + v(r, \theta, t), \quad r \geq 0, \quad (24)$$

$$s(\theta, t) = R + ct - \frac{1}{c} \log\left(\frac{R + ct}{R}\right) + \rho(\theta, t),$$

$$\int_0^\infty v(r, \theta, t) \psi(r - s(\theta, t), r) dr = 0. \quad (25)$$

Note that according to Jones [8], the solution  $u(r, \theta, t)$  is close to a travelling wave in every radial direction of  $\mathbf{R}^2$ . Therefore, in (25),  $v$  is transversal to  $\psi(r - s(\theta, t), r)$  for all  $\theta \in [0, 2\pi)$ .

Then, we get two new evolution equations. The one satisfied by  $v$  is obtained by equations (1) and (24):

$$\begin{aligned} v_t(r, \theta, t) &= \Delta v(r, \theta, t) + F'(w(r - s(\theta, t), r))v(r, \theta, t) + N + S \\ &\quad + w_y(r - s(\theta, t), r)\rho_t(\theta, t) - \frac{1}{r^2}\partial_\theta(w_y(r - s(\theta, t), r)\rho_\theta(\theta, t)), \\ v(r, \theta, 0) &= v_0(r, \theta), \end{aligned} \quad (26)$$

where

$$\Delta = \partial_r^2 + \frac{1}{r}\partial_r + \frac{1}{r^2}\partial_\theta^2,$$

$$N = F(w + v) - F(w) - F'(w)v,$$

$$S = \left(\frac{1}{r} - \frac{1}{R + ct}\right)w_y + \left(w_{rr} + 2w_{ry} + \frac{1}{r}w_r\right) + w_{yy} + cw_y + F(w).$$

Differentiating Eq. (25) with respect to  $t$  and integrating by parts, we get as in the first section, the equation satisfied by  $\rho$ :

$$\begin{aligned} \rho_t(\theta, t)\lambda(\infty, \theta, t) &= - \int_0^\infty g(r, \theta, t) dr, \\ \rho(\theta, 0) &= \rho_0(\theta), \end{aligned} \quad (27)$$

where

$$\begin{aligned} \lambda(r, \theta, t) &= \int_0^r (\psi(z - s(\theta, t), z) w_y - \psi_y v) dz, \\ g(z, \theta, t) &= g_1(z, \theta, t) + g_2(z, \theta, t), \\ g_1(z, \theta, t) &= v\Lambda + \psi(z - s(\theta, t), z)(N + S), \\ g_2(z, \theta, t) &= -\frac{1}{z^2} \psi \partial_\theta (w_y \rho_\theta) + \frac{1}{z^2} \psi v_{\theta\theta}, \\ \Lambda(z, \theta, t) &= \left( \frac{1}{R + ct} - \frac{1}{z} \right) \psi_y + \frac{1}{z^2} \psi + \left( \psi_{rr} + 2\psi_{ry} - \frac{1}{z} \psi_r \right) + (\psi_{yy} - c\psi_y + F'(w)\psi). \end{aligned}$$

As in the first section, we consider the initial value problem for Eqs. (26), (27).

**Lemma 2.4.** *There exist  $R_0 > 0$ ,  $\varepsilon_0 > 0$  and  $T > 0$  such that, for any  $R \geq R_0$  and for all initial data  $(v_0, \rho_0) \in W \times Z$  with  $\|v_0\|_W \leq \varepsilon_0$  and  $\|\rho_0\|_Z \leq \varepsilon_0$ , the integral equations corresponding to (26), (27) have a unique solution  $(v, \rho) \in C^0([0, T], W \times Z)$ . In addition,  $(v, \rho) \in C^1((0, T], W) \times C^1((0, T], Z)$ , and equations (26), (27) are satisfied for  $0 < t \leq T$ .*

**Proof.** Define  $\varepsilon = \delta'_1$  and let  $\delta$  be as in Corollary 2.2. Choose  $0 < \varepsilon_0 \leq \delta(1 + c_0 e^{-\gamma_1 R_0})^{-1}$  for some fixed  $R_0 > 0$  large enough. Let  $(v_0, \rho_0) \in W \times Z$  such that  $\|v_0\|_W \leq \varepsilon_0$  and  $\|\rho_0\|_Z \leq \varepsilon_0$ . Finally, define  $u_0(r, \theta) = w(r - R - \rho_0(\theta), r) + v_0(r, \theta)$ . Then,  $u_0 \in H^1(\mathbf{R}^2)$  and it is a standard result that there exists a unique solution  $u(x, t) \in C^0([0, T], H^1(\mathbf{R}^2)) \cap C^1((0, T], H^1(\mathbf{R}^2))$  to Eq. (1) with initial data  $u_0$ . According to Corollary 2.2,  $u(x, t)$  stay close to a travelling wave in the  $L^\infty$ -norm for all  $t > 0$ . By energy estimates, we show in Sections 2.2.1 and 2.2.2 that this is also the case in the  $H^1$  norm. Thus, Lemma 2.3 is still valid and there exists a unique pair  $(v, \rho) \in W \times Z$  such that (24), (9), (25) hold and  $(v, \rho)$  satisfy Eqs. (26), (27).  $\square$

These two equations are very similar to those found in the first section. We choose here to deal with energy estimates. We study the behaviour of  $\|v(t)\|_W$  and  $\|\rho(t)\|_Z$  under the assumption that the initial data are small. We have the following theorem:

**Theorem 2.5.** *There exist positive constants  $R_1, \varepsilon_1, n$  such that if  $(v_0, \rho_0) \in W \times Z$  satisfy*

$$R^{1/2} \|v_0\|_W^2 + \|\rho_0\|_Z^2 \leq \varepsilon$$

*for some  $R \geq R_1$  and some  $\varepsilon \leq \varepsilon_1$ , then Eqs. (26), (27) have a unique solution  $(v, \rho) \in C^0([0, +\infty), W \times Z)$  with initial data  $(v_0, \rho_0)$ , and*

$$(R + ct)^{1/2} \|v(t)\|_W^2 + \|\rho(t)\|_Z^2 \leq n \left( \varepsilon + \frac{1}{R} \right)$$

*for all  $t \geq 0$ .*

These estimates will be useful to prove Theorem 2. We now give the proof of the first part of Theorem 2:

**Proof of Theorem 2.** Let  $R'_1, \delta'_1, K'$  be as in Lemma 2.4,  $R_1, \varepsilon_1, n$  as in Theorem 2.5 and  $c_0, \gamma_1$  as in (14). Choose  $R'_0, \delta'_0$  and  $\eta$  such that:

$$\begin{aligned} R'_0 &\geq \max(R_1; R'_1), & \eta &= \frac{\sqrt{\varepsilon_1}}{2\sqrt{2}K'} \\ \delta'_0 + c_0 e^{-\gamma_1 R'_0} &\leq \min(\delta'_1; 2\eta), & (R'_0)^{1/4} c_0 e^{-\gamma_1 R'_0} &\leq \eta. \end{aligned}$$

Let now  $u_0 \in H^1(\mathbf{R}^2)$  such that  $\|u_0(x) - w_0(|x| - R)\|_{H^1(\mathbf{R}^2)} \leq \delta$  for some  $\delta \leq \delta'_0$ ,  $R \geq R'_0$  and  $R^{1/4}\delta \leq \eta$ . Let  $\xi(r, \theta) = u_0(r, \theta) - w(r - R, r)$ . Then, by (14),  $\|\xi\|_W \leq \delta + c_0 e^{-\gamma_1 R} \leq \delta'_1$  and  $R \geq R'_1$ . Thus, by Lemma 2.3, there exists a unique pair  $(v_0, \rho_0) \in W \times Z$  such that

- (i)  $\|v_0\|_W + \|\rho_0\|_Z \leq K'\|\xi\|_W$ ,
- (ii)  $w(r - R, r) + \xi(r, \theta) = w(r - R - \rho_0(\theta), r) + v_0(r, \theta)$  for all  $(r, \theta) \in \overline{\Omega}$ ,
- (iii)  $\int_0^\infty v_0(r, \theta)\psi(r - R - \rho_0(\theta), r) dr = 0$  for any  $\theta \in [0, 2\pi)$ .

Then, with the above conditions on  $R$  and  $\varepsilon$ ,

$$R^{1/2}\|v_0\|_W^2 + \|\rho_0\|_Z^2 \leq \varepsilon_1, \quad R \geq R_1.$$

Then, by Theorem 2.5, Eqs. (26), (27) have a unique solution  $(v, \rho)$  in  $C^0([0, +\infty), W \times Z)$  and

$$(R + ct)^{1/2}\|v(t)\|_W^2 + \|\rho(t)\|_Z^2 \leq n\left(\varepsilon + \frac{1}{R}\right) \quad \text{for all } t \geq 0.$$

Let  $u(r, \theta, t) = w(r - s(\theta, t), r) + v(r, \theta, t)$  where  $s(\theta, t)$  is given by (9). Then, by (14),  $u$  is a solution of (1) satisfying

$$\|u(r, \theta, t) - w_0(r - s(\theta, t))\|_W \leq \frac{c_0}{(R + ct)^{1/4}}.$$

This ends the proof of the first part of Theorem 2.  $\square$

We now prove Theorem 2.5. Therefore, we introduce a few functionals linked with the norms of  $v$  and  $\rho$  in  $W$  and  $Z$  respectively.

2.2.1. Definition of primitive and functionals

If  $T > 0$  and  $(v, \rho) \in C^1((0, T], W \times Z)$  is any solution of (26), (27), we first introduce functionals for the functions  $v$  and  $\rho$ :

$$E_1(t) = \frac{1}{2}\|v(t)\|_{L^2(\mathbf{R}^2)}^2 = \frac{1}{2} \int_0^{2\pi} \int_0^\infty v^2(r, \theta, t) r dr d\theta = \frac{1}{2} \int_\Omega v^2 dv,$$

$$E_2(t) = \frac{1}{2}\|\nabla v(t)\|_{L^2(\mathbf{R}^2)}^2 = \frac{1}{2} \int_\Omega \left(v_r^2 + \frac{v_\theta^2}{r^2}\right) dv,$$

$$E_3(t) = \frac{1}{2}\|\Delta v(t)\|_{L^2(\mathbf{R}^2)}^2 = \frac{1}{2} \int_\Omega \left(v_{rr} + \frac{v_r}{r} + \frac{v_{\theta\theta}}{r^2}\right)^2 dv,$$

$$E_4(t) = \frac{1}{2}\|\rho(t)\|_{L^2(0, 2\pi)}^2 = \frac{1}{2} \int_0^{2\pi} \rho^2(\theta, t) d\theta,$$

$$E_5(t) = \frac{1}{2}\|\rho_\theta(t)\|_{L^2(0, 2\pi)}^2 = \frac{1}{2} \int_0^{2\pi} \rho_\theta^2(\theta, t) d\theta,$$

$$E_6(t) = \frac{1}{2}\|\rho_{\theta\theta}(t)\|_{L^2(0, 2\pi)}^2 = \frac{1}{2} \int_0^{2\pi} \rho_{\theta\theta}^2(\theta, t) d\theta.$$

It will be useful to consider also the weighted primitive  $V$  of  $v$ :

$$V(r, \theta, t) = \int_0^r v(z, \theta, t) \psi(z - s(\theta, t), z) dz = - \int_r^\infty v(z, \theta, t) \psi(z - s(\theta, t), z) dz.$$

Note that  $V(0, \theta, t) = V(\infty, \theta, t) = 0$  as  $v$  is a transversal perturbation for any  $\theta \in (0, 2\pi)$ , see (25). Under the above assumptions on  $v$  and  $\rho$ ,  $V \in C^1((0, T], W)$  and it satisfies an evolution equation easily computed by integrations by parts from (26), (27):

$$V_t = V_{rr} - \omega_1(r, \theta, t) V_r + G_5(r, \theta, t), \tag{28}$$

where

$$\omega_1(r, \theta, t) = 2 \frac{\psi'_0(r - s(\theta, t))}{\psi_0(r - s(\theta, t))} + 2 \frac{\chi'(r)}{\chi(r)} - \frac{1}{r},$$

$$G_5(r, \theta, t) = \left(1 - \frac{\lambda(r, \theta, t)}{\lambda(\infty, \theta, t)}\right) \int_0^r g(z, \theta, t) dz - \frac{\lambda(r, \theta, t)}{\lambda(\infty, \theta, t)} \int_r^\infty g(z, \theta, t) dz.$$

We also consider the last functional  $E_0$  for  $V$ :

$$E_0(t) = \frac{1}{2} \|V(t)\|_{L^2(\mathbb{R}^2)}^2 = \frac{1}{2} \int_{\Omega} V^2(r, \theta, t) dv.$$

Note that there exist two positive constants  $l_1$  and  $l_2$  such that for any  $t \in (0, T)$  (see Appendix B),

$$l_1 E_1(t) \leq E_0(t) \leq l_2 E_1(t). \tag{29}$$

We first give the equations satisfied by these functionals and then find the inequalities involving  $E_0$  to  $E_6$  which are useful for the next calculations.

**Lemma 2.6.** *If  $T > 0$  and  $(v, \rho) \in C^1((0, T], W \times Z)$  is any solution of (26), (27), then  $E_i \in C^1((0, T])$  for  $i = 0, \dots, 6$ .  $E_0$  satisfies the equation:*

$$\begin{aligned} \dot{E}_0(t) = & - \int_{\Omega} V_r^2 dv + \int_{\Omega} \left( \frac{\psi'_0(r - s(\theta, t))}{\psi_0(r - s(\theta, t))} \right)' V^2 dv \\ & + \int_{\Omega} \omega_2(r, \theta, t) V^2 dr d\theta + \int_{\Omega} V(r, \theta, t) G_5(r, \theta, t) dv, \end{aligned} \tag{30}$$

where  $\omega_2(r, \theta, t) = \psi'_0(r - s(\theta, t))/\psi_0(r - s(\theta, t)) + \chi'(r)/\chi(r) + (\chi'(r)/\chi(r))'r$ .

Moreover, the functions  $E_1, E_2, E_4$  and  $E_5$  satisfy:

$$\begin{aligned} \dot{E}_1(t) = & -2E_2 + \int_{\Omega} F'(w)v^2 dv + \int_{\Omega} v \left( w_y \rho_t - \frac{1}{r^2} \partial_{\theta}(w_y \rho_{\theta}) + N + S \right) dv, \\ \dot{E}_2(t) = & -2E_3 - \int_{\Omega} \Delta v \left( F'(w)v + w_y \rho_t - \frac{1}{r^2} \partial_{\theta}(w_y \rho_{\theta}) + N + S \right) dv, \end{aligned} \tag{31}$$

$$\begin{aligned} \dot{E}_4(t) = & - \int_{\Omega} \frac{\rho_{\theta}^2}{r^2} \frac{\psi w_y}{\lambda(\infty, \theta, t)} dr d\theta + \int_{\Omega} \frac{\rho \rho_{\theta}^2}{r^2} \frac{\psi_y w_y}{\lambda(\infty, \theta, t)} dr d\theta \\ & + \int_{\Omega} \frac{\rho \rho_{\theta}}{r^2} \psi w_y \frac{\lambda_{\theta}(\infty)}{\lambda^2(\infty)} dr d\theta - \int_{\Omega} \frac{\rho}{\lambda(\infty, \theta, t)} \left( g_1 + \frac{1}{r^2} \psi v_{\theta\theta} \right) dr d\theta, \end{aligned} \tag{32}$$

$$\begin{aligned} \dot{E}_5(t) = & - \int_{\Omega} \frac{\rho_{\theta\theta}^2}{r^2} \frac{\psi w_y}{\lambda(\infty, \theta, t)} \, dr \, d\theta + \int_{\Omega} \frac{\rho_{\theta\theta} \rho_{\theta}^2}{r^2} \frac{\psi w_{yy}}{\lambda(\infty, \theta, t)} \, dr \, d\theta \\ & + \int_{\Omega} \frac{\rho_{\theta\theta}}{\lambda(\infty, \theta, t)} \left( g_1 + \frac{1}{r^2} \psi v_{\theta\theta} \right) \, dr \, d\theta. \end{aligned} \tag{33}$$

**Proof.** Obviously,  $\dot{E}_0(t) = \int_{\Omega} V V_t \, dv$ . Eq. (28) and integrations by parts yield to the desired expression for  $\dot{E}_0$ . The derivatives with respect to  $t$  of  $E_1$  and  $E_2$  are more easily computed by analogy with the heat equation in  $\mathbf{R}^2$  with usual coordinates  $x \in \mathbf{R}^2$  instead of polar coordinates. As far as the functionals for  $\rho$  are concerned,  $\dot{E}_4$  and  $\dot{E}_5$  are computed by a few integrations by parts. Note that all the functions depending on  $\theta$  are  $2\pi$  periodic. The expressions of  $\dot{E}_4$  and  $\dot{E}_5$  have been put in that way to highlight the first terms. Indeed, as we shall see below,  $\int_{\Omega} (\rho_{\theta}^2/r^2)(\psi w_y/\lambda(\infty)) \, dr \, d\theta$  behaves essentially like  $E_5(t)/(R + ct)^2$  and  $\int_{\Omega} (\rho_{\theta\theta}^2/r^2)(\psi w_y/\lambda(\infty)) \, dr \, d\theta$  like  $E_6(t)/(R + ct)^2$ . These quantities are going to play an important role in the next energy estimates. Finally, we do not mind about  $\dot{E}_3$  and  $\dot{E}_6$  as we are only interested in the  $H^1$  norms of  $v$  and  $\rho$ .  $\square$

2.2.2. Bounds on the functionals and proof of Theorem 2.5

**Proposition 2.7.** *There exist positive constants  $R_2, \varepsilon_2, k, c_0, d, e_6$  and  $e_{ij}$  for  $(i, j) \in \{0, \dots, 6\}^2$  such that if  $T > 0$  and  $(v, \rho) \in C^0([0, T], W \times Z)$  is any solution of (26), (27) satisfying for all  $t \in [0, T]$ ,*

$$\|v(t)\|_W^2 + \|\rho(t)\|_Z^2 \leq \varepsilon$$

for some  $R \geq R_2$  and some  $\varepsilon \leq \varepsilon_2$ , then the following inequalities hold:

$$\begin{aligned} \dot{E}_0(t) & \leq - \int_{\Omega} \psi^2 v^2 \, dv + e_{01} E_1 + e_{02} E_2 + \frac{e_6}{\sqrt{R + ct}} \frac{E_6}{(R + ct)^2} + \frac{c_0}{(R + ct)^2}, \\ \dot{E}_1(t) & \leq -2E_2 + \int_{\Omega} F'(w)v^2 \, dv + e_{11} E_1 + e_{12} E_2 + e_{13} E_3 \\ & \quad + e_{15} \frac{E_5}{(R + ct)^2} + \frac{e_6}{\sqrt{R + ct}} \frac{E_6}{(R + ct)^2} + \frac{c_0}{(R + ct)^3}, \\ \dot{E}_2(t) & \leq -2E_3 + (e_{21} + (dk)^2)E_1 + e_{22} E_2 + (e_{23} + 1)E_3 \\ & \quad + e_{25} \frac{E_5}{(R + ct)^2} + \frac{e_6}{\sqrt{R + ct}} \frac{E_6}{(R + ct)^2} + \frac{c_0}{(R + ct)^3}, \\ \dot{E}_4(t) & \leq -d \frac{E_5}{(R + ct)^2} + e_{41} E_1 + e_{42} E_2 + e_{43} E_3 + e_{45} \frac{E_5}{(R + ct)^2} + e_{46} \frac{E_6}{(R + ct)^2} + \frac{c_0}{(R + ct)^2}, \\ \dot{E}_5(t) & \leq -d \frac{E_6}{(R + ct)^2} + e_{51} E_1 + e_{52} E_2 + e_{53} E_3 + \left( e_{56} + \frac{d}{4} \right) \frac{E_6}{(R + ct)^2} \\ & \quad + \frac{c_0}{(R + ct)^2} + \frac{2}{d} (R + ct)(E_1 + E_2)^2 \end{aligned} \tag{34}$$

and

$$\sup_{x \in \mathbf{R}} (F'(w_0(x)) - k\psi_0^2(x)) \leq -2.$$

Moreover, constants  $e_{ij}$  can be chosen as small as we want by choosing  $R_2$  large enough and  $\varepsilon_2$  small enough.

We prove right now how Theorem 2.5 follows from Proposition 2.7.

**Proof of Theorem 2.5.** Let  $R_2, \varepsilon_2, k, c_0, d, e_6$  and  $e_{ij}$  be as in Proposition 2.7,  $R_0, \varepsilon_0$  be as in Lemma 2.4 and  $l_1, l_2$  as in (29). Choose  $m > 0, R_1 > 0, \varepsilon_1 > 0, l = 1/a > 0$  such that

$$R_1 \geq \max(1, R_0, R_2, a^2, ac), \quad \varepsilon_1 \leq \min(\varepsilon_0^2, \varepsilon_2), \quad \frac{\sqrt{\varepsilon_1}}{R_1^{1/4}} \leq \varepsilon_0, \quad m(dk)^2 \leq \frac{1}{2},$$

where  $a = \max(1 + kl_2, m)$  and  $b = \min(1 + kl_1, m)$ . We also request that for any  $R \geq R_1$ , and any  $0 < \varepsilon \leq \varepsilon_1$ , the following inequalities hold for any  $t \geq 0$ :

$$\begin{aligned} ke_{01} + e_{11} + m(e_{21} + (dk)^2) + e_{41} + e_{51} &\leq 1, \\ -2 + ke_{02} + e_{12} + me_{22} + e_{42} + e_{52} &\leq -1, \\ -2m + e_{13} + m(e_{23} + 1) + e_{43} + e_{53} &\leq -\frac{m}{2}, \\ -d + e_{15} + me_{25} + e_{45} &\leq 0, \\ -d + k\frac{e_6}{\sqrt{R+ct}} + \frac{e_6}{\sqrt{R+ct}} + m\frac{e_6}{\sqrt{R+ct}} + e_{46} + e_{56} + \frac{d}{4} &\leq -\frac{d}{2}. \end{aligned} \tag{35}$$

This is possible by first choosing  $m > 0$ , then  $\varepsilon_1$  small enough and  $R_1$  large enough. Take  $R \geq R_1, \varepsilon \leq \varepsilon_1$  and  $(v_0, \rho_0) \in W \times Z$  satisfying

$$R^{1/2}\|v_0\|_W^2 + \|\rho_0\|_Z^2 \leq \varepsilon.$$

By Lemma 2.4, let  $(v, \rho) \in C^0([0, T^*), W \times Z)$  be the maximal solution of (26), (27) with initial data  $(v_0, \rho_0)$ . Define, for some  $n \in \mathbb{N}^*$ ,

$$T = \sup \left\{ \tilde{T} \in [0, T^*) \mid (R + ct)^{1/2}\|v(t)\|_W^2 + \|\rho(t)\|_Z^2 \leq n \left( \varepsilon + \frac{1}{R} \right) \right. \\ \left. \text{and } \int_0^t (R + cs)\mathcal{U}^2(s) ds \leq 2 \left( \varepsilon + \frac{1}{R} \right) \text{ for } 0 \leq t \leq \tilde{T} \right\},$$

where

$$\mathcal{U}(t) = kE_0 + E_1 + mE_2 \quad \text{and} \quad b(E_1 + E_2) \leq \mathcal{U}(t) \leq a(E_1 + E_2).$$

We also give some conditions on  $n$ : we assume that

$$\frac{a}{b} + \left( \frac{2(k+1+m)e_6}{db} (1 + \sqrt{2}) + 1 \right) \left( a + \frac{4}{db^2} \right) \leq n - 1, \tag{36}$$

$$\left( \frac{2(k+1+m)e_6}{db} (1 + \sqrt{2}) + 1 \right) \left( \frac{\bar{c}}{c} + \frac{4}{db^2} \right) + \frac{\bar{c}}{2b} + \frac{\bar{c}\sqrt{2}}{bl} \leq n - 1, \tag{37}$$

$$\frac{a^2\varepsilon_1}{l} + \frac{2an}{l} \left( \frac{2(k+1+m)e_6\tilde{\varepsilon}_1}{d} + \frac{2\bar{c}}{cR_1^{1/2}} \right) \leq 1, \tag{38}$$

$$n \left( \varepsilon_1 + \frac{1}{R_1} \right) \leq \varepsilon_2, \tag{39}$$

where  $\tilde{\varepsilon}_1 = a\varepsilon_1 + \bar{c}/(cR_1) + 4/(db^2)(\varepsilon_1 + 1/R_1)$  and  $\bar{c}$  is defined by (40), (43) and (44). This is possible by first choosing  $n$  large enough such that the first two inequalities are valid and finally  $\varepsilon_1$  small enough and  $R_1$  large enough such that the last two inequalities hold.

By continuity of  $v$  and  $\rho$ , it is clear that  $T > 0$ . We claim that  $T = T^*$ , which also implies  $T = T^* = +\infty$ . Then, the inequalities satisfied by  $v$  and  $\rho$  are true for all  $t \geq 0$  and Theorem 2.5 follows immediately. Indeed, if

$T < T^*$ , it follows from Proposition 2.7 and inequality (39) that for  $t \in [0, T]$ , inequalities (34) are satisfied. To get a contradiction on the definition of  $T$ , we must judiciously bound the expressions  $(R + ct)^{1/2} \|v(t)\|_W^2 + \|\rho(t)\|_Z^2$  and  $\int_0^t (R + cs) \mathcal{U}^2(s) ds$ . Therefore, define

$$\mathcal{E}(t) = kE_0 + E_1 + mE_2 + E_4 + E_5 = \mathcal{U}(t) + E_4 + E_5.$$

Using (34) and (36), there exists  $\bar{c} > 0$  such that

$$\dot{\mathcal{E}}(t) \leq -E_1 - E_2 - \frac{m}{2} E_3(t) - \frac{dE_6(t)}{2(R + ct)^2} + \frac{\bar{c}}{(R + ct)^2} + \frac{2}{d}(R + ct)(E_1 + E_2)^2. \tag{40}$$

Integrating this inequality between 0 and  $t \leq T$ , we get

$$\begin{aligned} \mathcal{E}(t) + \int_0^t (E_1 + E_2)(s) ds + \int_0^t \frac{m}{2} E_3(s) ds + \int_0^t \frac{dE_6(s)}{2(R + cs)^2} ds \\ \leq \mathcal{E}(0) + \int_0^t \frac{\bar{c}}{(R + cs)^2} ds + \int_0^t \frac{2}{d}(R + cs)(E_1 + E_2)^2(s) ds \leq \tilde{\varepsilon}, \end{aligned} \tag{41}$$

where  $\tilde{\varepsilon} = a\varepsilon + \bar{c}/(cR) + 4/(db^2)(\varepsilon + 1/R)$ . Moreover, we also get from inequalities (34) that

$$\dot{\mathcal{U}}(t) \leq -E_1(t) - E_2(t) + f(t) \leq -l\mathcal{U}(t) + f(t), \tag{42}$$

where

$$f(t) = \frac{(k + 1 + m)e_6}{\sqrt{R + ct}} \frac{E_6(t)}{(R + ct)^2} + \frac{(e_{15} + me_{25})E_5(t)}{(R + ct)^2} + \frac{c_0}{(R + ct)^2}.$$

Then,  $\mathcal{U}(t) \leq \mathcal{U}(0) e^{-lt} + \int_0^t e^{-l(t-s)} f(s) ds$ . Finally,

$$E_1(t) + E_2(t) \leq \frac{a\varepsilon}{b\sqrt{R}} e^{-lt} + \int_0^t \frac{f(s)}{b} e^{-l(t-s)} ds.$$

To evaluate this last integral, we cut it into two parts and use inequality (41) and the fact that  $E_5(t) \leq n(\varepsilon + 1/R) \leq \varepsilon_2$ :

$$\begin{aligned} \int_0^{t/2} e^{-l(t-s)} f(s) ds &\leq e^{-lt/2} \left( \frac{2(k + 1 + m)e_6\tilde{\varepsilon}}{d\sqrt{R}} + \frac{c_0 t}{2R^2} \right), \\ \int_{t/2}^t e^{-l(t-s)} f(s) ds &\leq \frac{e_6(k + 1 + m)}{\sqrt{R + ct/2}} \int_{t/2}^t \frac{E_6(s)}{(R + cs)^2} ds + \frac{c_0}{l(R + ct/2)^2} \\ &\leq \frac{2(k + 1 + m)e_6\tilde{\varepsilon}}{d\sqrt{R + ct/2}} + \frac{c_0}{l(R + ct/2)^2}. \end{aligned}$$

Finally, using (36), (37), (41) and the above inequalities, there exists  $\bar{c} > 0$  such that

$$\begin{aligned} (R + ct)^{1/2} (E_1 + E_2)(t) + E_4(t) + E_5(t) \\ \leq \frac{a\varepsilon}{b} + \frac{2(k + 1 + m)e_6\tilde{\varepsilon}}{db} + \frac{\bar{c}}{2bR^{3/2}} + \frac{\sqrt{2}}{b} \left( \frac{2(k + 1 + m)e_6\tilde{\varepsilon}}{d} + \frac{\bar{c}}{lR^{3/2}} \right) + \tilde{\varepsilon} \\ \leq (n - 1) \left( \varepsilon + \frac{1}{R} \right). \end{aligned} \tag{43}$$

We now want to evaluate the integral  $\int_0^t (R + cs)\mathcal{U}^2(s) ds$ . Therefore, define

$$\mathcal{G}(t) = (R + ct)\mathcal{U}^2(t).$$

Then, using (42) and  $R_1 \geq ac$ ,

$$\frac{d\mathcal{G}}{dt} \leq -l\mathcal{G} + 2an \left( \varepsilon + \frac{1}{R} \right) \left( \frac{(k + 1 + m)e_6 E_6(t)}{(R + ct)^2} + \frac{c_0}{(R + ct)^{3/2}} \right).$$

By Gronwall’s lemma, we get a bound on  $\mathcal{G}$  and by integrating between 0 and  $t$ ,

$$\int_0^t \mathcal{G}(s) ds \leq \frac{a^2 \varepsilon^2}{l} + 2an \left( \varepsilon + \frac{1}{R} \right) \int_0^t \int_0^s e^{-l(s-\tau)} \left( \frac{(k + 1 + m)e_6 E_6(\tau)}{(R + c\tau)^2} + \frac{c_0}{(R + c\tau)^{3/2}} \right) d\tau ds.$$

Finally, by Fubini’s theorem, (41) and (38), there exists  $\bar{c} > 0$  such that

$$\int_0^t (R + cs)\mathcal{U}^2(s) ds \leq \frac{a^2 \varepsilon^2}{l} + \frac{2an}{l} \left( \varepsilon + \frac{1}{R} \right) \left( \frac{2(k + 1 + m)e_6 \bar{\varepsilon}}{d} + \frac{2\bar{c}}{cR^{1/2}} \right) \leq \left( \varepsilon + \frac{1}{R} \right). \tag{44}$$

Then, by (43) and (44), we get for any  $\varepsilon \leq \varepsilon_1$  and any  $R \geq R_1$ ,

$$(R + ct)^{1/2}(E_1 + E_2) + E_4 + E_5 \leq (n - 1) \left( \varepsilon + \frac{1}{R} \right),$$

$$\int_0^t (R + cs)\mathcal{U}^2 ds \leq \left( \varepsilon + \frac{1}{R} \right)$$

for all  $0 \leq t \leq T$ . This contradicts the definition of  $T$  and concludes the proof.  $\square$

### 2.2.3. Proof of Proposition 2.7

The proof of Proposition 2.7 is technical and we need a few intermediate lemmas to prove inequalities (34). We only use a few fundamental ideas: Cauchy–Schwartz’ inequality, Jensen’s inequality, Schur’s lemma and the fact that  $\psi_0(r - R - ct)$  and  $\phi_0(r - R - ct)$  are localized around  $r = R + ct$ . We encourage the reader to refer to Appendix B where we explain in detail the way those fundamental ideas are used in the following lemmas. For the whole Section 2.2.3, we call (H) the following assumptions:

Fix  $\varepsilon, R, T$  positive constants.

Let  $(v, \rho) \in C^0([0, T], W \times Z)$  be any solution of (26), (27) satisfying

$$\|v(t)\|_W^2 + \|\rho(t)\|_Z^2 \leq \varepsilon, \quad t \in [0, T]. \tag{45}$$

In the following six lemmas, we prove that inequalities (34) follow from Eqs. (30)–(33) –  $\dot{E}_0$  to  $\dot{E}_6$  and inequality (45).

**Lemma 2.8.** *Under assumptions (H), there exist positive constants  $R_2, \varepsilon_2, c_0$  such that for any  $t \in (0, T]$  and any  $R \geq R_2, \varepsilon \leq \varepsilon_2$ ,*

$$\|\rho_t\|_{L^2(0, 2\pi)} \leq c_0 \left( \frac{E_6^{1/2}}{(R + ct)^2} + \frac{E_5^{3/4} E_6^{1/4}}{(R + ct)^2} + A + B \right),$$

where

$$\begin{aligned}
 A &= \left( \frac{E_6}{(R+ct)^2} \right)^{1/2} \left[ \frac{E_1}{(R+ct)^2} + \frac{(E_1+E_2)^{1/2} E_5^{1/4}}{(R+ct)^{5/4}} + \frac{(E_1 E_2)^{1/4}}{R+ct} + \frac{(E_1 E_5)^{1/2}}{(R+ct)^{3/2}} \right] \\
 &\quad + \frac{E_3^{1/2}}{(R+ct)^{3/2}} + \left( \frac{E_6}{(R+ct)^2} \right)^{1/4} \frac{E_2^{1/2} E_5^{1/4}}{R+ct}, \\
 B &= \frac{2E_1^{1/2}}{(R+ct)^{5/2}} + \frac{E_1+E_2}{\sqrt{R+ct}} + \frac{1}{(R+ct)^2}.
 \end{aligned}$$

**Proof.**  $\rho$  is a solution of Eq. (27) and we want to bound the  $L^2$  norm of  $\rho_t$ . Therefore, we need to bound  $\lambda(\infty, \theta, t)$  from below and  $|\int_0^\infty g(r, \theta, t) dr|$  from above. Using Jensen’s and Cauchy–Schwartz’ inequalities and the Sobolev’s embedding  $H^1(\mathbf{R}^2) \hookrightarrow L^4(\mathbf{R}^2)$ , we first have

$$\begin{aligned}
 \sup_{\theta \in (0, 2\pi)} \left| \int_0^\infty \psi_y v dr \right|^2 &\leq \sup_{\theta \in (0, 2\pi)} \int_0^\infty |\psi_y v|^2 dr \quad (\text{Jensen}) \\
 &\leq \int_0^{2\pi} \int_0^\infty |v^2 \psi_y| dr d\theta + \int_0^{2\pi} \int_0^\infty |2v v_\theta \psi_y| dr d\theta + \int_0^{2\pi} \int_0^\infty |v^2 \rho_\theta \psi_{yy}| dr d\theta \\
 &\leq c_0 \left( \frac{E_1}{R+ct} + (E_1 E_2)^{1/2} + E_5^{1/2} \frac{(E_1+E_2)}{(R+ct)^{1/2}} \right) \leq c_0 \varepsilon
 \end{aligned}$$

as for any function  $f$  such that  $\int_0^{2\pi} f(\theta) d\theta = 0$ ,  $\sup |f| \leq \int_0^{2\pi} |f_\theta| d\theta$ .

As  $\lambda(\infty, \theta, t) = \int_0^\infty \psi w_y dr - \int_0^\infty \psi_y v dr$  and  $\int_0^\infty \psi w_y dr = 1 - O(e^{-(R+ct)})$ , we have

$$1 - c_0(\varepsilon^{1/2} + e^{-R}) \leq \lambda(\infty, \theta, t)$$

for any  $\theta \in (0, 2\pi)$  and any  $t > 0$ . Then, for convenient  $\varepsilon_2$  and  $R_2$ ,  $\lambda(\infty, \theta, t)^{-1} \leq 2$  for any  $\theta \in (0, 2\pi)$ ,  $t > 0$ ,  $R \geq R_2$  and  $\varepsilon \leq \varepsilon_2$ .

Moreover, using Schur’s lemma (see Appendix B), we have

$$\left\| \rho_{\theta\theta} \int_0^\infty \frac{\psi w_y}{r^2 \lambda(\infty, \theta, t)} dr \right\|_{L^2(0, 2\pi)} \leq \frac{c_0 E_6^{1/2}}{(R+ct)^2}$$

and

$$\left\| \rho_\theta^2 \int_0^\infty \frac{\psi w_{yy}}{r^2 \lambda(\infty, \theta, t)} dr \right\|_{L^2(0, 2\pi)} \leq \frac{c_0}{(R+ct)^2} \|\rho_\theta\|_{L^2(0, 2\pi)} \|\rho_\theta\|_{L^\infty(0, 2\pi)} \leq \frac{c_0 E_5^{3/4} E_6^{1/4}}{(R+ct)^2}$$

as  $\|\rho_\theta\|_{L^\infty(0, 2\pi)} \leq (E_5 E_6)^{1/4}$ . To bound the norm of  $\int_0^\infty (\psi v_{\theta\theta} / (r^2 \lambda(\infty))) dr$ , we introduce the difference  $1/r^2 - 1/(R+ct)^2$ :

$$\int_0^\infty \frac{\psi v_{\theta\theta}}{r^2 \lambda(\infty, \theta, t)} dr = \int_0^\infty \left( \frac{1}{(R+ct)^2} - \frac{1}{r^2} \right) \frac{\psi v_{\theta\theta}}{\lambda(\infty)} dr + \frac{1}{(R+ct)^2} \int_0^\infty \frac{\psi v_{\theta\theta}}{\lambda(\infty)} dr.$$

The first term is bounded in the  $L^2(0, 2\pi)$  norm by  $E_3^{1/2}/(R + ct)^{3/2}$ . For the second one, we write  $\int_0^\infty \psi v_{\theta\theta} \, dr$  with derivatives of  $\rho$  and  $v$  by derivating twice identity (11) with respect to  $\theta$ :

$$\int_0^\infty \psi v_{\theta\theta} \, dr = \rho_{\theta\theta} \int_0^\infty \psi_y v \, dr - \rho_\theta^2 \int_0^\infty \psi_{yy} v \, dr + 2\rho_\theta \int_0^\infty \psi_y v_\theta \, dr.$$

Finally, by Jensen’s and Cauchy–Schwartz’ inequalities, Schur’s lemma and the Sobolev’s embedding  $H^1(\mathbf{R}^2) \hookrightarrow L^4(\mathbf{R}^2)$ , we get

$$\begin{aligned} \left\| \int_0^\infty \psi v_{\theta\theta} \, dr \right\|_{L^2(0,2\pi)} &\leq c_0 \left( \int_0^{2\pi} \int_0^\infty \rho_{\theta\theta}^2 \psi_y v^2 \, dr \, d\theta \right)^{1/2} \\ &\quad + c_0 \left( \int_0^{2\pi} \int_0^\infty \rho_\theta^4 \psi_{yy} v^2 \, dr \, d\theta \right)^{1/2} + c_0 \left( \int_0^{2\pi} \int_0^\infty \rho_\theta^2 \psi_y v_\theta^2 \, dr \, d\theta \right)^{1/2} \\ &\leq c_0 \|\rho_{\theta\theta}\|_{L^2(0,2\pi)} \left\| \int_0^\infty \psi_y v^2 \, dr \right\|_{L^\infty(0,2\pi)}^{1/2} + c_0 \|\rho_\theta\|_{L^\infty(0,2\pi)}^2 \left( \int_0^{2\pi} \int_0^\infty \frac{v^2}{r} \psi_{yy} \, dv \right)^{1/2} \\ &\quad + c_0 \|\rho_\theta\|_{L^\infty(0,2\pi)} \left( \int_0^{2\pi} \int_0^\infty \frac{v_\theta^2}{r^2} \psi_y r \, dv \right)^{1/2} \\ &\leq c_0 E_6^{1/2} \left( \frac{E_1}{R + ct} + (E_1 E_2)^{1/4} + E_5^{1/4} \frac{(E_1 + E_2)^{1/2}}{(R + ct)^{1/4}} \right) \\ &\quad + c_0 \left( \frac{E_1 E_5 E_6}{R + ct} \right)^{1/2} + c_0 (E_5 E_6)^{1/4} \sqrt{R + ct} E_2^{1/2}. \end{aligned}$$

Then,

$$\left\| \int_0^\infty \frac{\psi v_{\theta\theta}}{r^{2\lambda(\infty, \theta, t)}} \, dr \right\|_{L^2(0,2\pi)} \leq c_0 A.$$

The last term  $\int_0^\infty v \Lambda + \psi(N + S) \, dr$  is bounded by Jensen’s inequality, Schur’s lemma (see Appendix B) and the Sobolev’s embedding  $H^1(\mathbf{R}^2) \hookrightarrow L^4(\mathbf{R}^2)$ . Then,  $\|\int_0^\infty v \Lambda + \psi(N + S) \, dr\|_{L^2(0,2\pi)} \leq c_0 B$ . Notice that as  $H^1(\mathbf{R}^2)$  is not an algebra, we need some more assumptions to bound the norm of  $N$ . We assumed in the introduction that every solution of  $u_t = F(u)$  is uniformly bounded in time. Therefore,  $v$  is bounded and Taylor’s theorem and Sobolev’s embedding enable us to bound  $\|N\|_{L^2(\mathbf{R}^2)}$ . This concludes the proof of Lemma 2.8.  $\square$

**Lemma 2.9.** *Under assumptions (H), there exist positive constants  $c_0, R_2, \varepsilon_2$  such that for any  $t \in [0, T]$  and any  $R \geq R_2, \varepsilon \leq \varepsilon_2$ ,*

$$\dot{E}_0(t) \leq - \int_\Omega \psi^2 v^2 \, dv + c_0 \left( \frac{E_1}{R + ct} + E_0^{1/2} B + C \right),$$

where

$$C = \frac{E_2}{R+ct} + \left( \frac{E_6}{(R+ct)^2} \right)^{1/4} \left( \frac{(E_1 E_2)^{1/2} E_5^{1/4}}{\sqrt{R+ct}} + \frac{(E_0 E_2)^{1/2} E_5^{1/4}}{\sqrt{R+ct}} \right) \\ + \left( \frac{E_6}{(R+ct)^2} \right)^{1/2} \left( \frac{(E_0 E_5)^{1/2}}{R+ct} + \frac{(E_1 E_5)^{1/2}}{R+ct} \right) + \frac{(E_2 E_5)^{1/2}}{R+ct}.$$

Consequently, there exist positive constants  $e_{01}$ ,  $e_{02}$ ,  $e_6$  such that

$$\dot{E}_0(t) \leq - \int_{\Omega} \psi^2 v^2 \, dv + e_{01} E_1 + e_{02} E_2 + \frac{e_6}{\sqrt{R+ct}} \frac{E_6}{(R+ct)^2} + \frac{c_0}{(R+ct)^2},$$

where  $e_{01}$  and  $e_{02}$  can be chosen small with appropriate  $R_2$  and  $\varepsilon_2$ .

**Proof.** We know that  $V_r = \psi v$ ; by Appendix C, we have  $(\psi'_0/\psi_0)' = (\phi'_0/\phi_0)' < 0$  and there exists some constant  $c_0 > 0$  such that  $|\omega_2| < c_0$ . Then, by Eq. (30), the only difficulty in  $\dot{E}_0$  comes from  $\int_{\Omega} V G_5 \, dv$ . If  $r \ll R+ct$ , the main term in  $G_5$  is  $\int_0^r g \, dz$  and if  $r \gg R+ct$ ,  $\int_r^\infty g \, dz$ . We bound separately the term with  $g_1$  and the one with  $g_2$ .

The term with  $g_1$  is bounded by  $E_0^{1/2} B$  as in Lemma 2.8. The term with  $g_2$  is bounded after one integration by parts in  $\theta$ , Cauchy–Schwartz' and Jensen's inequalities by  $C$ . Indeed, if  $r \ll R+ct$ , as

$$V_\theta = \int_0^r (\psi v_\theta - \rho_\theta \psi_y v) \, dz, \\ \int_0^{R+ct} \int_0^{2\pi} V(r, \theta, t) \int_0^r g_2(z, \theta, t) \, dz \, dv = - \int_0^{R+ct} \int_0^{2\pi} \frac{1}{r^2} \left( \int_0^r \psi v_\theta \, dz \right)^2 \, dv \\ + \int_0^{R+ct} \int_0^{2\pi} \left( \int_0^r \psi v_\theta \, dz \right) \left( \int_0^r \psi v_\theta \left( \frac{1}{r^2} - \frac{1}{z^2} \right) \, dz \right) \, dv + \int_0^{R+ct} \int_0^{2\pi} \rho_\theta \left( \int_0^r \psi_y v \, dz \right) \left( \int_0^r \psi \frac{v_\theta}{z^2} \, dz \right) \, dv \\ + \int_0^{R+ct} \int_0^{2\pi} \rho_\theta V \left( \int_0^r \psi_y \frac{v_\theta}{z^2} \, dz \right) \, dv - \int_0^{R+ct} \int_0^{2\pi} \rho_\theta^2 V \left( \int_0^r \frac{\psi_y w_y}{z^2} \, dz \right) \, dv \\ + \int_0^{R+ct} \int_0^{2\pi} \rho_\theta \left( \int_0^r \frac{\psi w_y}{z^2} \, dz \right) \left( \int_0^r \psi v_\theta \, dz \right) \, dv - \int_0^{R+ct} \int_0^{2\pi} \rho_\theta^2 \left( \int_0^r \frac{\psi w_y}{z^2} \, dz \right) \left( \int_0^r \psi_y v \, dz \right) \, dv.$$

Notice that the first term is negative and can be omitted. The following terms can be treated as described before.

Inequality (34) for  $\dot{E}_0$  is easily computed from this result using inequalities such as  $ab \leq (a^2 + b^2)/2$ . Then,

$$e_{01} = c_0 \left( \frac{1}{R+ct} + \frac{\sqrt{\varepsilon}}{\sqrt{R+ct}} + \frac{\sqrt{\varepsilon}}{R+ct} \right), \\ e_{02} = c_0 \left( \frac{1}{R+ct} + \frac{\sqrt{\varepsilon}}{\sqrt{R+ct}} + \sqrt{\varepsilon} \right), \\ e_6 = c_0 \left( \frac{\sqrt{\varepsilon}}{\sqrt{R+ct}} + \sqrt{\varepsilon} \right).$$

We easily notice that  $e_{01}$  and  $e_{02}$  can be chosen very small with appropriate  $R_2$  and  $\varepsilon_2$ .

**Lemma 2.10.** *Under assumptions (H), there exist positive constants  $c_0, R_2, \varepsilon_2$  such that for any  $t \in (0, T]$  and any  $R \geq R_2, \varepsilon \leq \varepsilon_2$ ,*

$$\dot{E}_1(t) \leq -2E_2 + \int_{\Omega} F'(w)v^2 \, dv + c_0(E_1^{1/2}D + (E_1 + E_2)^{3/2}),$$

where

$$D = \sqrt{R + ct} \|\rho_t\|_{L^2} + \frac{1}{\sqrt{R + ct}} \left( \frac{E_6}{(R + ct)^2} \right)^{1/2} + \frac{E_5^{3/4}}{R + ct} \left( \frac{E_6}{(R + ct)^2} \right)^{1/4} + \frac{1}{(R + ct)^{3/2}}.$$

Consequently, there exist positive constants  $e_{11}, e_{12}, e_{13}, e_{15}$  and  $e_6$  such that

$$\begin{aligned} \dot{E}_1(t) &\leq -2E_2 + \int_{\Omega} F'(w)v^2 \, dv + e_{11}E_1 + e_{12}E_2 + e_{13}E_3 \\ &\quad + e_{15} \frac{E_5}{(R + ct)^2} + \frac{e_6}{\sqrt{R + ct}} \frac{E_6}{(R + ct)^2} + \frac{c_0}{(R + ct)^3}, \end{aligned}$$

where  $\{e_{1j}\}_{j=1,\dots,5}$  can be chosen small with appropriate  $R_2$  and  $\varepsilon_2$ .

**Proof.** From Eq. (31), we bound  $\dot{E}_1(t)$  term by term:  $\|v\rho_t w_y\|_{L^2(\mathbf{R}^2)}$  is bounded with Cauchy–Schwartz’ inequality by  $\sqrt{R + ct} \|\rho_t\|_{L^2(0,2\pi)} E_1^{1/2}$ . The three other terms are bounded as explained in Appendix B:

$$\begin{aligned} \left\| \frac{v}{r^2} \rho_{\theta\theta} w_y \right\|_{L^2(\mathbf{R}^2)} &\leq c_0 \frac{E_6^{1/2} E_1^{1/2}}{(R + ct)^{3/2}}, \\ \left\| \frac{v}{r^2} \rho_{\theta}^2 w_{yy} \right\|_{L^2(\mathbf{R}^2)} &\leq c_0 \frac{E_1^{1/2} E_5^{3/4} E_6^{1/4}}{(R + ct)^{3/2}}, \\ \|v(N + S)\|_{L^2(\mathbf{R}^2)} &\leq c_0 \left( (E_1 + E_2)^{3/2} + \frac{E_1^{1/2}}{(R + ct)^{3/2}} \right). \end{aligned}$$

This last inequality is also obtained by Sobolev’s embedding  $H^1(\mathbf{R}^2) \hookrightarrow L^3(\mathbf{R}^2)$ . We then get inequality (34) for  $\dot{E}_1$  using inequalities such as  $ab \leq (a^2 + b^2)/2$ .  $\square$

**Lemma 2.11.** *Under assumptions (H), there exist positive constants  $c_0, d, R_2, \varepsilon_2$  and  $k > 1$  such that for any  $t \in (0, T]$  and  $R \geq R_2, \varepsilon \leq \varepsilon_2$ ,*

$$\dot{E}_2(t) \leq -2E_3 + c_0 E_3^{1/2} ((dk)E_1^{1/2} + E_1 + E_2 + D).$$

Consequently, there exist positive constants  $e_{21}, e_{22}, e_{23}, e_{25}$  and  $e_6$  such that

$$\begin{aligned} \dot{E}_2(t) &\leq -2E_3 + (e_{21} + (dk)^2)E_1 + e_{22}E_2 + (e_{23} + 1)E_3 \\ &\quad + e_{25} \frac{E_5}{(R + ct)^2} + \frac{e_6}{\sqrt{R + ct}} \frac{E_6}{(R + ct)^2} + \frac{c_0}{(R + ct)^3}, \end{aligned}$$

where  $\{e_{2j}\}_{j=1,\dots,5}$  can be chosen small with appropriate  $R_2$  and  $\varepsilon_2$ .

**Proof.** The proof of this lemma is very similar to the last one and we may leave it out. Notice that  $k$  large enough can be chosen so that  $\sup(F'(w_0) - k\psi_0^2) \leq -2$ . Then,  $\sup|F'(w_0)| \leq dk$ . Once more, inequality (34) for  $\dot{E}_2$  follows for  $R \geq R_2$  and  $\varepsilon \leq \varepsilon_2$ .  $\square$

**Lemma 2.12.** *Under assumptions (H), there exist positive constants  $c_0, d, R_2, \varepsilon_2$  such that for any  $t \in (0, T]$  and any  $R \geq R_2, \varepsilon \leq \varepsilon_2$ ,*

$$\dot{E}_4(t) \leq -d \frac{E_5}{(R+ct)^2} + c_0 E_4^{1/2} \left( \frac{E_5^{3/4}}{(R+ct)^{3/2}} \left( \frac{E_6}{(R+ct)^2} \right)^{1/4} + A + B + G \right),$$

where

$$G = \frac{E_5^{1/4}}{(R+ct)^{3/2}} \left( \frac{E_6}{(R+ct)^2} \right)^{1/4} \left( E_5^{1/2} + E_1^{1/2} E_5^{1/4} \left( \frac{E_6}{(R+ct)^2} \right)^{1/4} + \sqrt{R+ct} E_2^{1/2} \right).$$

Consequently, there exist positive constants  $e_{41}, e_{42}, e_{43}, e_{45}$  and  $e_{46}$  such that

$$\dot{E}_4(t) \leq -d \frac{E_5}{(R+ct)^2} + e_{41} E_1 + e_{42} E_2 + e_{43} E_3 + e_{45} \frac{E_5}{(R+ct)^2} + e_{46} \frac{E_6}{(R+ct)^2} + \frac{c_0}{(R+ct)^2},$$

where  $\{e_{4j}\}_{j=1,\dots,6}$  can be chosen small with appropriate  $R_2$  and  $\varepsilon_2$ .

**Proof.** From Eq. (32), we bound  $\dot{E}_4$  term by term. The only difficulty which has not been seen yet in the previous lemmas is the term  $G$  which can be bounded by

$$\int_{\Omega} \frac{\rho \rho_{\theta}}{r^2} \psi w_y \frac{\lambda_{\theta}(\infty)}{\lambda^2(\infty)} dr d\theta$$

with Cauchy–Schwartz’s inequality.

Let us recall that  $\lambda_{\theta} = \int_0^{\infty} \rho_{\theta} (\psi_{yy} v - \psi_y w_y - \psi w_{yy}) - \psi_y v_{\theta} dr$ . Then,

$$\|\lambda_{\theta}\|_{L^2(0,2\pi)} \leq c_0 \left( \|\rho_{\theta}\|_{L^{\infty}} \frac{E_1^{1/2}}{\sqrt{R+ct}} + E_5^{1/2} + \sqrt{R+ct} E_2^{1/2} \right)$$

and the inequality  $\|\rho_{\theta}\|_{L^{\infty}} \leq (E_5 E_6)^{1/4}$  ends the proof.  $\square$

**Lemma 2.13.** *Under assumptions (H), there exist positive constants  $c_0, R_2, \varepsilon_2$  such that for any  $t \in (0, T]$  and any  $R \geq R_2, \varepsilon \leq \varepsilon_2$ ,*

$$\dot{E}_5(t) \leq -d \frac{E_6}{(R+ct)^2} + c_0 \left( E_5^{1/2} \frac{E_6}{(R+ct)^2} + E_6^{1/2} (A + B) \right).$$

Consequently, there exist positive constants  $e_{51}, e_{52}, e_{53}$  and  $e_{56}$  such that

$$\begin{aligned} \dot{E}_5(t) \leq & -d \frac{E_6}{(R+ct)^2} + e_{51} E_1 + e_{52} E_2 + e_{53} E_3 + \left( e_{56} + \frac{d}{4} \right) \frac{E_6}{(R+ct)^2} \\ & + \frac{c_0}{(R+ct)^2} + \frac{2}{d} (R+ct) (E_1 + E_2)^2, \end{aligned}$$

where  $\{e_{5j}\}_{j=1,\dots,6}$  can be chosen small with appropriate  $R_2$  and  $\varepsilon_2$ .

**Proof.** Once more, the proof is very similar to the previous ones, using Cauchy–Schwartz’ inequality. However, we may detail how we get, from the first result, inequality (34) for  $\dot{E}_5$ . Using inequalities such as  $ab \leq (a^2 + b^2)/2$ , the only difficulties come from the terms  $E_6^{1/2} ((E_1 + E_2)/\sqrt{R+ct})$  and  $E_6^{1/2}/(R+ct)^2$  which appear in  $E_6^{1/2} B$ : for any  $d > 0$ ,

$$\begin{aligned} \frac{E_6^{1/2}}{(R+ct)^2} &\leq \frac{2}{d(R+ct)^2} + \frac{d}{8} \frac{E_6}{(R+ct)^2}, \\ E_6^{1/2} \left( \frac{E_1 + E_2}{\sqrt{R+ct}} \right) &\leq \left( \frac{E_6}{(R+ct)^2} \right)^{1/2} (\sqrt{R+ct} (E_1 + E_2)) \\ &\leq \frac{d}{8} \frac{E_6}{(R+ct)^2} + \frac{2}{d} (R+ct) (E_1 + E_2)^2. \end{aligned}$$

This ends the proof of inequalities (34).  $\square$

These six lemmas end the proof of Proposition 2.7 and hence of Theorem 2.5. Equipped with these energy estimates, we are able to prove the end of Theorem 2.

### 2.3. Example and density of nonradial profiles

In this paragraph, the end of Theorem 2 is proved thanks to Theorem 2.5.

**Lemma 2.14.** *Under the assumptions of Theorem 2.5, there exists a function  $\rho_\infty \in L^2(0, 2\pi)$  such that  $\rho(\cdot, t)$  converges in the  $L^2(0, 2\pi)$  norm to  $\rho_\infty$  as  $t$  goes to infinity.*

**Proof.** By Lemma 2.8 and Theorem 2.5, we get

$$\|\rho_t(t)\|_{L^2(0,2\pi)} \leq c_0 \left( \frac{E_1 + E_2}{\sqrt{R+ct}} + \frac{E_3}{R+ct} + \frac{1}{\sqrt{R+ct}} \frac{E_6}{(R+ct)^2} + \frac{1}{(R+ct)^{3/2}} \right).$$

Then, by inequality (41),

$$\int_0^t \|\rho_t(s)\|_{L^2} ds \leq c_0 \left( \frac{1 + \tilde{\varepsilon}}{\sqrt{R}} \right).$$

As this bound is independent of  $t$ ,  $\int_0^\infty \|\rho_t(s)\|_{L^2(0,2\pi)} ds$  is convergent and there exists a function  $\rho_\infty \in L^2(0, 2\pi)$  such that

$$\|\rho_\infty - \rho(\cdot, t)\|_{L^2(0,2\pi)} \leq \int_t^\infty \|\rho_t(s)\|_{L^2(0,2\pi)} ds$$

converges to zero as  $t$  goes to infinity. This completes the proof.  $\square$

**Lemma 2.15.** *There exist positive constants  $R$  and  $\varepsilon$  such that if  $\tilde{u}_0(r, \theta) = w(r - R - \sqrt{\varepsilon/(2\pi)} \sin \theta, r)$ , the solution  $u(r, \theta, t)$  of Eq. (1) with initial data  $u_0$  converges to a non radial profile.*

**Proof.** Take  $R_1$  and  $\varepsilon_1$  as in Theorem 2.5 and  $R \geq R_1, \varepsilon \leq \varepsilon_1$ . Then  $u_0$  satisfies the assumptions of Theorem 2.5. Indeed,  $u_0 = w(r - R - \rho_0, r) + v_0$  where  $\rho_0(\theta) = \sqrt{\varepsilon/(2\pi)} \sin \theta, v_0 = 0$  and  $R^{1/2} \|v_0\|_W^2 + \|\rho_0\|_Z^2 = \varepsilon$ . Since  $v_0 = 0$ , notice that  $R$  and  $\varepsilon$  can be chosen independently. Therefore, choose  $R$  sufficiently large so that  $\sqrt{\varepsilon/2} > c_1(1 + \tilde{\varepsilon})/\sqrt{R}$ . Let  $\tilde{u}(r, \theta, t) = w(r - s(\theta, t), r) + v(r, \theta, t)$  be the solution of Eq. (1) with initial data  $u_0$  where  $s(\theta, t)$  is defined by (9). Then, by Lemma 2.14,  $\int_0^t \|\rho_t\|_{L^2(0,2\pi)} ds \leq c_0(1 + \tilde{\varepsilon}) \sqrt{R}$ . Finally,

$$\left\| \rho(\theta, t) - \sqrt{\frac{\varepsilon}{2\pi}} \sin \theta \right\|_{L^2(0,2\pi)} \leq c_0 \left( \frac{1 + \tilde{\varepsilon}}{\sqrt{R}} \right)$$

for any  $t \geq 0$ . If there exists some  $t > 0$  such that  $\rho(\theta, t) = \rho$  is independent of  $\theta$ , then

$$\left\| \rho - \sqrt{\frac{\varepsilon}{2\pi}} \sin \theta \right\|_{L^2(0, 2\pi)} = \sqrt{2\pi\rho^2 + \frac{\varepsilon}{2}} > c_1 \left( \frac{1 + \tilde{\varepsilon}}{\sqrt{R}} \right).$$

This contradicts the latter inequality. Therefore, for any  $t \geq 0$ ,  $\rho(\theta, t)$  is not constant.

Moreover, as Theorem 2.5 is satisfied,  $\|v\|_W$  converges to zero as  $t$  goes to infinity and  $u(r, \theta, t)$  converges to a nonradial profile as  $t$  goes to infinity.  $\square$

This ends the proof of Theorem 2. We give a few more information by introducing two new spaces as follows:

$$\mathcal{S}_1 = \{u_0 \in H^1(\mathbf{R}^2) \mid \text{for some } R \geq \max(R_1, R'_1), \tilde{u}_0(r, \theta) - w(r - R, r) \equiv \xi(r, \theta) \text{ satisfies Lemma 2.3 and } (v_0, \rho_0) \in W \times Z \text{ satisfy Theorem 2.5}\}.$$

Moreover, there exists, for any function  $u_0 \in \mathcal{S}_1$ , a unique function  $\rho_\infty \in L^2(0, 2\pi)$  satisfying Lemma 2.14. We call  $\mathcal{S}_2$  the set of all these functions  $\rho_\infty \in L^2(0, 2\pi)$  satisfying the above properties for  $u_0 \in \mathcal{S}_1$ .

**Lemma 2.16.**  $\mathcal{S}_2$  is a subset of  $L^2(0, 2\pi)$  which contains some non constant functions and  $\mathcal{S}_2$  is dense in the ball  $B(0, \min(\delta'_1, \sqrt{\varepsilon_1}))$  of  $Z$ .

**Proof.** For any  $\rho_\infty \in \mathcal{S}_2$ , we know that  $\rho_\infty \in L^2(0, 2\pi)$ . Moreover, there exists, by Lemma 2.15, some  $u_0 \in \mathcal{S}_1$  such that  $\rho_\infty \in \mathcal{S}_2$  is not constant.

Take now  $\rho \in B(0, \min(\delta'_1, \sqrt{\varepsilon_1}))$  and  $R \geq \max(R_1, R'_1)$ . Define  $\tilde{u}_0 \in H^1(\mathbf{R}^2)$  by  $u_0(r, \theta) = w(r - R - \rho(\theta), r)$ . Then,  $\|u_0 - w(r - R, r)\|_W \leq \|\rho\|_Z \leq \delta'_1$ , and by Lemma 2.3, there exists a unique pair  $(v_0, \rho_0) \in W \times Z$  satisfying

$$\begin{aligned} \tilde{u}_0(r, \theta) &= w(r - R - \rho_0(\theta), r) + v_0(r, \theta), \\ \langle v_0, \psi \rangle &= 0, \\ \|v_0\|_W + \|\rho_0\|_Z &\leq K' \min(\delta'_1, \sqrt{\varepsilon_1}). \end{aligned}$$

As a consequence,  $\rho_0 \equiv \rho$  and  $v_0 \equiv 0$  and

$$R^{1/2} \|v_0\|_W^2 + \|\rho_0\|_Z^2 = \|\rho\|_Z^2 \leq \varepsilon_1.$$

Notice that as  $v_0 = 0$ , this last inequality is still valid for arbitrary large  $R$ . Finally by Theorem 2.5 and Lemma 2.14, there exist  $(v, \rho, \rho_\infty) \in C(\mathbf{R}^+, W \times Z) \times L^2(0, 2\pi)$  such that

$$\begin{aligned} (R + ct)^{1/2} \|v\|_W^2 + \|\rho\|_Z^2 &\leq n \left( \varepsilon_1 + \frac{1}{R} \right) \\ \lim_{t \rightarrow +\infty} \|\rho(\cdot, t) - \rho_\infty\|_{L^2(0, 2\pi)} &= 0, \\ \|\rho_0 - \rho_\infty\|_{L^2(0, 2\pi)} &\leq \frac{c_1}{\sqrt{R}}. \end{aligned}$$

As  $R$  can be chosen as large as we need it, the last inequality shows that  $\mathcal{S}_2$  is dense in  $B_Z(0, \min(\delta'_1, \sqrt{\varepsilon_1}))$ .  $\square$

## Appendix A. Perturbation theorem for evolution operators

**Theorem A.1.** Let  $A$  be a sectorial operator on a Banach space  $X$  such that  $\operatorname{Re}(\sigma(A)) \geq a > 0$  and  $\alpha \in [0, 1)$ . We set  $X^\alpha \equiv D(A^\alpha)$ . Let  $\beta > 0$ ,  $M > 0$  so that

$$\|e^{-tA}\|_{\mathcal{L}(X)} \leq M e^{-\beta t} \quad \text{and} \quad \|e^{-tA}x\|_{X^\alpha} \leq \frac{M}{t^\alpha} e^{-\beta t} \|x\|_X$$

for all  $t > 0$  and  $x \in X$ . Suppose  $B : [t_0; +\infty) \rightarrow \mathcal{L}(X^\alpha, X)$  is locally Hölder continuous with

$$\|B(t)\|_{\mathcal{L}(X^\alpha, X)} \leq \gamma$$

for all  $t \geq t_0 \geq 0$  and some  $\gamma > 0$ . Let  $T(t, \tau)$ ,  $t_0 \leq \tau \leq t$ , be the family of evolution operators so that the unique solution of

$$\begin{aligned} \frac{dx}{dt} + Ax &= B(t)x, \quad t \geq \tau, \\ x(\tau) &= x_0, \end{aligned} \tag{46}$$

is  $x(t; \tau, x_0) = T(t, \tau)x_0$ ,  $t_0 \leq \tau \leq t$ . Then, there exists  $\gamma_0 > 0$  such that for any  $\gamma \in (0, \gamma_0)$ , there exists  $\delta \in (0, \beta)$  such that for any  $t_0 \leq s \leq t$ ,

$$\|T(t, s)\|_{\mathcal{L}(X)} \leq M_1 e^{-\delta(t-s)}. \tag{47}$$

**Proof.** Given  $x_0 \in X$ ,  $t_0 \leq \tau \leq T$  and  $\delta \in (0, \beta)$ , we shall solve (46) in the Banach space

$$V = \{x \in C^0([\tau, T], X) \cap C^0((\tau, T], X^\alpha) \mid \|x\|_V < \infty\},$$

where

$$\|x\|_V = \sup_{\tau \leq t \leq T} e^{\delta(t-\tau)} \|x(t)\|_X + \sup_{\tau < t \leq T} (t-\tau)^\alpha e^{\delta(t-\tau)} \|x(t)\|_{X^\alpha}.$$

First, given  $x \in V$ , we define the function  $F$  from  $V$  to  $V$  by

$$F(x)(t) = e^{-A(t-\tau)}x_0 + \int_{\tau}^t e^{-A(t-s)}B(s)x(s) ds.$$

For  $r > 0$ , let  $\gamma_0 > 0$  and  $R > 0$  be chosen so that

$$\begin{aligned} c(T) &= \sup_{\tau \leq t \leq T} \int_{\tau}^t \frac{ds}{(t-s)^\alpha (s-\tau)^\alpha}, \quad R = 4Mr, \\ C_1 &= M\gamma_0 e^{(\delta-\beta)(T-\tau)} (T-\tau)^\alpha c(T) \leq \frac{1}{4}, \quad C_2 = M\gamma_0 e^{-\delta(T-\tau)} \frac{(T-\tau)^{1-\alpha}}{1-\alpha} \leq \frac{1}{4}. \end{aligned}$$

Then, for any  $x_0 \in X$  with  $\|x_0\|_X \leq r$ ,  $F$  maps the ball  $B_V(0, R)$  of  $V$  into itself and has a unique fixed point in the ball  $B_V(0, R)$ . Using Gronwall’s lemma, it is then straightforward to show that this fixed point is actually the unique solution of (46) in the space  $V$ . Finally, since  $\|x\|_V \leq 2M\|x_0\|_X + (C_1 + C_2)\|x\|_V$ , the solution  $x(t)$  is defined for all  $t > 0$  and the bound (47) holds with  $M_1 = 4M$ .  $\square$

## Appendix B. A few lemmas

### B.1. Schur’s lemma

**Lemma B.1.** Let  $P$  be an operator of  $L^2(\mathbf{R}^2)$  defined in polar coordinates by

$$Pu(r, \theta) = \int_0^\infty u(z, \theta) K(z, r, \theta) dz, \quad u \in L^2(\mathbf{R}^2)$$

so that

$$c_1 = \sup_{z \geq 0, \theta \in [0, 2\pi)} \int_0^\infty |K(z, r, \theta)| \sqrt{\frac{r}{z}} dr < \infty,$$

$$c_2 = \sup_{r \geq 0, \theta \in [0, 2\pi)} \int_0^\infty |K(z, r, \theta)| \sqrt{\frac{r}{z}} dz < \infty.$$

Then,  $P$  is continuous on  $L^2(\mathbf{R}^2)$  and for any  $u \in L^2(\mathbf{R}^2)$ ,

$$\|Pu\|_{L^2(\mathbf{R}^2)} \leq \sqrt{c_1 c_2} \|u\|_{L^2(\mathbf{R}^2)}.$$

**Proof.** We fix  $\theta \in [0, 2\pi)$ . Then, by Hölder's inequality and Fubini's theorem,

$$\begin{aligned} \int_0^\infty \left[ \int_0^\infty K(z, r, \theta) u(z, \theta) dz \right]^2 r dr &\leq \int_0^\infty \left( \int_0^\infty K \frac{dz}{\sqrt{z}} \right) \left( \int_0^\infty K u^2 \sqrt{z} dz \right) r dr \\ &\leq c_2 \int_0^\infty u^2(z) z \int_0^\infty K \sqrt{\frac{r}{z}} dr dz \leq c_1 c_2 \int_0^\infty u^2(z, \theta) z dz. \end{aligned}$$

Integrating in  $\theta \in (0, 2\pi)$  the above inequality, we get the continuity of  $P$ .  $\square$

Throughout the proof of Lemma 2.7, we use Schur's lemma in the following way, most of the time without mentioning it. For instance, the following inequality

$$\int_0^{2\pi} \int_0^{R+ct} \left( \int_0^r \psi v_\theta dz \right)^2 r dr d\theta \leq c_0 (R+ct)^2 \int_0^{2\pi} \int_0^\infty \frac{v_\theta^2}{r^2} r dr d\theta$$

is proved by Schur's lemma by writing

$$K(z, r, \theta, t) = \mathbb{I}_{z \leq r \leq (R+ct)} \psi(z - s(\theta, t), z) \quad \text{and} \quad u(z, \theta, t) = \frac{v_\theta}{z}.$$

Then,  $c_i(t) \leq c_0(R+ct)$  for  $i = 1, 2$ . This concludes the proof of the above inequality.

## B.2. Jensen's inequality

**Proposition B.2.** Let  $\phi$  be a convex function and  $\nu$  a probability measure on a measurable set  $A$ . Then, for any  $f \in L^1(A, d\nu)$ ,

$$\phi\left(\int_A f d\nu\right) \leq \int_A \phi(f) d\nu.$$

**Corollary B.3.**

$$\int_0^{2\pi} \left( \int_0^\infty v(r, \theta, t) \psi(r - s(\theta, t), r) dr \right)^2 d\theta \leq c_0 \int_0^{2\pi} \int_0^\infty v^2 \psi(r - s(\theta, t), r) dr d\theta.$$

**Proof.** For any  $\theta \in (0, 2\pi)$  and any  $t > 0$ , let  $dv = \tilde{\alpha}\psi(r - s(\theta, t), r)dr$  where  $\tilde{\alpha}$  is chosen so that  $\int_{\mathbf{R}} \tilde{\alpha}\psi(r - s(\theta, t), r) dr = 1$ . Then,  $v$  is a probability measure for any fixed  $t$  and  $\theta$ , and  $\phi(x) = x^2$  is convex in  $\mathbf{R}^2$ . By Jensen’s inequality,

$$\int_0^{2\pi} \left( \int_0^\infty v(r, \theta, t)\psi(r - s(\theta, t), r) dr \right)^2 d\theta \leq \int_0^{2\pi} \int_0^\infty \frac{1}{\tilde{\alpha}} v^2 \psi(r - s(\theta, t), r) dr d\theta.$$

As  $\tilde{\alpha}^{-1}$  can be bounded independently of  $\theta$  and  $t$ , this ends the proof.  $\square$

### Appendix C. Log-concave functions

**Proposition B.1.** Let  $F \in C^3(\mathbf{R})$  be a function satisfying the following conditions:

$$\begin{aligned} F(0) = F(1) = 0, \quad F'(0) = \alpha < 0, \quad F'(1) = \beta < 0, \\ \exists \mu \in (0, 1) \text{ so that } F(u) > 0 \text{ for } u \in (\mu, 1), \quad F(u) < 0 \text{ for } u \in (0, \mu), \\ \int_0^1 F(u) du > 0, \quad F^{(3)}(u) \leq 0 \text{ for all } u \in [0, 1]. \end{aligned}$$

Let  $c > 0$  and  $w_0 \in C^2(\mathbf{R})$  be a monotone solution of the ODE

$$w_0'' + cw_0' + F(w_0) = 0, \quad x \in \mathbf{R}, \tag{48}$$

with the boundary conditions at infinity

$$\lim_{x \rightarrow -\infty} w_0(x) = 1 \quad \text{and} \quad \lim_{x \rightarrow +\infty} w_0(x) = 0.$$

Define  $\phi_0 = w_0' < 0$ . Then,  $\phi_0$  is log-concave:

$$-\left(\frac{\phi_0'}{\phi_0}\right)' > 0.$$

**Proof.** As  $-\phi_0'/\phi_0 = -w_0''/w_0' = c + F(w_0)/w_0' \equiv c + g$ , it is sufficient to prove that  $g$  is increasing on  $\mathbf{R}$ , i.e., that  $h \equiv g'$  is positive. We first study the behaviour of  $g$  and  $h$  as  $|x|$  goes to infinity. It is a standard result that  $w_0$  (resp.  $1 - w_0$ ) decreases exponentially fast to zero as  $x$  goes to  $+\infty$  (resp.  $-\infty$ ). Let us begin with the behaviour of  $w_0$  at  $-\infty$ :

$$w_0(x) = 1 - e^{\lambda x} + A e^{2\lambda x} + o(e^{2\lambda x}),$$

where  $\lambda > 0$ . Then,

$$\begin{aligned} w_0'(x) &= -\lambda e^{\lambda x} + 2\lambda A e^{2\lambda x} + o(e^{2\lambda x}), \\ w_0''(x) &= -\lambda^2 e^{\lambda x} + 4\lambda^2 A e^{2\lambda x} + o(e^{2\lambda x}), \end{aligned}$$

and by Taylor’s theorem,

$$\begin{aligned} F(w_0(x)) &= F'(1)(w_0(x) - 1) + \frac{1}{2}F''(1)(w_0(x) - 1)^2 + o(e^{2\lambda x}) \\ &= \beta(-e^{\lambda x} + A e^{2\lambda x}) + \frac{1}{2}F''(1)e^{2\lambda x} + o(e^{2\lambda x}). \end{aligned}$$

As  $w_0$  is a solution of (48), the first order of the expansion says that  $\lambda$  is the positive root of

$$\lambda^2 + c\lambda + \beta = 0.$$

The second order gives

$$A(4\lambda^2 + 2c\lambda + \beta) + \frac{1}{2}F''(1) = 0,$$

i.e.,  $A(3\lambda^2 + c\lambda) + \frac{1}{2}F''(1) = 0$ . Notice that the above assumptions on  $F$  forces  $F''(1)$  to be negative. Therefore,  $A > 0$ . Finally,

$$g = -\frac{w_0''}{w_0'} - c = -(c + \lambda) + 2A\lambda e^{\lambda x} + o(e^{2\lambda x})$$

and  $h \sim 2A\lambda^2 e^{\lambda x}$  as  $x$  goes to  $-\infty$ . We can then conclude from this study that  $h$  is positive for  $x < 0$  sufficiently large.

A similar study in  $+\infty$  with  $w_0(x) = e^{\mu x} - B e^{2\mu x} + o(e^{2\mu x})$  where  $\mu$  is the negative root of  $\mu^2 + c\mu + \alpha = 0$ , gives that  $-B(2\mu^2 - \alpha) + \frac{1}{2}F''(0) = 0$  which implies that  $B > 0$ . Finally, as  $g(x) = -(c + \mu) + 2B\mu e^{\mu x} + o(e^{2\mu x})$ ,

$$h(x) \sim 2B\mu^2 e^{\mu x} \quad \text{when } x \rightarrow +\infty$$

and  $h$  is positive for  $x > 0$  sufficiently large.

Suppose now that there exists some  $x_0 \in \mathbf{R}$  such that  $h(x_0) \leq 0$  and define

$$x_1 = \inf\{x \in \mathbf{R} \mid h(x) \leq 0\}, \quad x_2 = \sup\{x \in \mathbf{R} \mid h(x) \leq 0\}.$$

Then,  $h'(x_1) \leq 0$  and  $h'(x_2) \geq 0$ . As  $h = cg + g^2 + F'(w_0)$ , we get

$$h' = c(1 + 2g)h + F''(w_0)w_0'. \tag{49}$$

Then,  $F''(w_0(x_1)) \geq 0$  and  $F''(w_0(x_2)) \leq 0$ . As  $x_1 \leq x_2$  and  $F''(w_0)$  is increasing, we conclude that

$$F''(w_0(x)) = 0 \quad \text{for all } x \in [x_1, x_2].$$

Then,  $F''(w_0(x)) \geq 0$  for all  $x \geq x_2$  and by (49),

$$\begin{cases} h'(x) \leq c(1 + 2g(x))h(x), & x \in [x_2, +\infty), \\ h(x_2) = 0. \end{cases}$$

Finally, by the maximum principle,  $h(x) \leq 0$  for all  $x \geq x_2$  which contradicts the definition of  $x_2$ . Therefore,  $h$  is positive on  $\mathbf{R}$  and  $g$  is increasing. This concludes the proof.  $\square$

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