

## **On the existence of multiple geodesics in static space-times <sup>(1)</sup>**

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**ABSTRACT.** — In this paper we study the problem of the existence of geodesics in static space-times which are a particular case of Lorentz manifolds. We prove multiplicity results about geodesics joining two given events and about periodic trajectories having a prescribed period.

*Key words* : Lorentz metrics, geodesics, critical point theory.

**RÉSUMÉ.** — Dans ce papier on étudie le problème de l'existence de géodésiques dans les espaces-temps statiques qui représentent un cas particulier de variétés de Lorentz. On démontre ici des résultats de multiplicité pour les géodésiques qui relient deux événements fixés et pour les trajectoires périodiques ayant une période fixée.

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## 1. INTRODUCTION AND STATEMENT OF THE RESULTS

Let  $\mathfrak{M}_0$  be a connected  $n$ -dimensional manifold of class  $C^k$  ( $k \geq 3$ ) and set

$$\mathfrak{M} = \mathfrak{M}_0 \times \mathbb{R}. \quad (1.1)$$

Let  $\langle \cdot, \cdot \rangle$  be a  $C^k$  (positive definite) Riemannian metric on  $\mathfrak{M}_0$  and  $\beta$  be a positive, smooth scalar field on  $\mathfrak{M}_0$ . We set

$$g = \langle \cdot, \cdot \rangle \oplus (-\beta) dt^2$$

that is

$$g(P) \left[ \begin{pmatrix} \xi \\ \tau \end{pmatrix}, \begin{pmatrix} \xi \\ \tau \end{pmatrix} \right] = \langle \xi, \xi \rangle - \beta(P) \tau^2, \quad \xi \in T_P \mathfrak{M}_0, \quad \tau \in \mathbb{R}, \quad (1.2)$$

where  $T_P \mathfrak{M}_0$  denotes the tangent space to  $\mathfrak{M}_0$  at  $P$ .  $g$  is a Lorentz metric, *i. e.* a pseudo-Riemannian metric with index 1.

The manifold  $\mathfrak{M}$  equipped with the Lorentz metric  $g$  is called a static spacetime (for many of the definitions given here we refer to [18] and its references).

$\mathfrak{M}$  is called complete if each geodesic  $\gamma(s)$  <sup>(2)</sup> can be extended (in  $\mathfrak{M}$ ) for all real values of the affine path parameter  $s$ .

$\mathfrak{M}$  is called geodesically connected if given two events  $Q_1, Q_2 \in \mathfrak{M}$  there exists a geodesic  $\gamma: [0, 1] \rightarrow \mathfrak{M}$  such that

$$\gamma(0) = Q_1, \quad \gamma(1) = Q_2. \quad (1.3)$$

If  $\gamma$  is a geodesic there exists a constant  $E_\gamma$  such that

$$E_\gamma = g(\gamma(s))[\dot{\gamma}(s), \dot{\gamma}(s)] \quad \text{for all } s. \quad (1.4)$$

A geodesic  $\gamma$  is called space-like, null or time-like if  $E_\gamma$  is respectively greater, equal or less than zero.

$\gamma$  is called non degenerate if  $\gamma(0)$  and  $\gamma(1)$  are not conjugate points (*i. e.* there does not exist a non-zero Jacobi field  $J$  along  $\gamma$  which vanishes for  $s=0$  and  $s=1$ ).

If  $(P(s), t(s))$  is a geodesic joining  $(P_1, t_1)$ , since the metric tensor  $g$  is independent of  $t$ ,  $(P(s), t(s) + \tau)$  is a geodesic joining  $(P_1, t_1 + \tau)$  and  $(P_2, t_2 + \tau)$ . Therefore the number of geodesics joining two events  $(P_1, t_1)$  and  $(P_2, t_2)$  depends only on  $P_1, P_2$  and  $|t_2 - t_1|$ .

We shall denote with

$$N(P_1, P_2, |t_2 - t_1|)$$

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<sup>(2)</sup> We recall that  $\gamma(s)$  is a geodesic if  $\nabla_s \dot{\gamma}(s) = 0$  for all  $s$ , where  $\dot{\gamma}(s)$  is the tangent vector to  $\gamma$  at  $\gamma(s)$ , and  $\nabla_s \dot{\gamma}(s)$  is the covariant derivative of  $\dot{\gamma}(s)$  in the direction of  $\dot{\gamma}(s)$ , with respect to the Lorentz metric  $g$ .

the number of *time-like* geodesics joining  $(P_1, t_1)$  and  $(P_2, t_2)$ .

Unlike the situation for positive definite Riemannian spaces, there are complete, static spacetime manifolds which are not geodesically connected (Anti-de Sitter space [15], [21]).

In this paper we shall prove the following theorem:

**THEOREM 1.1.** — *Assume the Riemannian manifold  $\mathfrak{M}_0$  to be connected and complete, and  $\beta$  to be a  $C^2$  scalar field on  $\mathfrak{M}_0$  satisfying the following assumption:*

$$\text{there exist } \nu, M > 0 \text{ such that } M \geq \beta(P) \geq \nu \text{ for all } P \in \mathfrak{M}_0. \quad (1.5)$$

Then  $\mathfrak{M}$ , equipped with the metric  $g$  defined by (1.2), is geodesically connected.

Moreover, setting  $\Delta = |t_2 - t_1|$ , we have:

(i) *for every  $P_1, P_2 \in \mathfrak{M}_0$  with  $P_1 \neq P_2$ , there exists  $\Delta$  sufficiently small such that  $N(P_1, P_2, \Delta) = 0$ .*

(ii) *if all the time-like geodesics are non-degenerate, then  $N(P_1, P_2, \Delta)$  is finite for all  $P_1, P_2 \in \mathfrak{M}_0$ , for all  $\Delta \in \mathbb{R}$ .*

Now assume that  $\mathfrak{M}_0$  is not contractible in itself and there exists a retraction of  $\mathfrak{M}_0$  on a compact subset.

Then, for any two given points  $Q_1 = (P_1, t_1), Q_2 = (P_2, t_2) \in \mathfrak{M}$ , there exists a sequence  $\{\gamma_n\}_{n \in \mathbb{N}}$  of geodesics joining  $Q_1$  and  $Q_2$  such that

$$\lim_{n \rightarrow +\infty} E_{\gamma_n} = +\infty$$

Moreover

$$(iii) \quad \lim_{\Delta \rightarrow +\infty} N(P_1, P_2, \Delta) = +\infty \text{ for every } P_1, P_2 \in \mathfrak{M}_0.$$

**Remark 1.2.** — Some results of theorem 1.1 have been proved in [6], [7], [8] for stationary spacetime manifolds. Moreover (ii) can be also obtained by standard techniques of Lorentzian Geometry (*see e. g.* [4]).

The proof we give here holds for static space-times and it uses a variational principle, stated in section 2, which permits to overcome the difficulties arising from the indefiniteness of the metric  $g$ .

Cases in which  $\mathfrak{M}_0$  is not complete are considered in [9].

If  $\gamma: [0,1] \rightarrow \mathfrak{M}$  is a smooth curve and  $Q_1, Q_2 \in \mathfrak{M}$ , we set

$$l(\gamma) = \int_0^1 \sqrt{|g(\gamma(s))[\dot{\gamma}(s), \dot{\gamma}(s)]|} ds \quad (1.6)$$

If  $\mathfrak{M}$  is geodesically connected we define

$$d(Q_1, Q_2) = \inf \{ l(\gamma) : \gamma \text{ is a geodesic joining } Q_1, Q_2 \}. \quad (1.7)$$

$d(Q_1, Q_2)$  is called the geodesic distance between the events  $Q_1, Q_2$ .

The same estimates used in proving Theorem 1.1 permit to deduce the following result:

**THEOREM 1.3.** — *Let  $\mathfrak{M}$  and  $g$  be as in Theorem 1.1. Then for any  $Q_1, Q_2 \in \mathfrak{M}$  there exists a geodesic  $\gamma$  joining  $Q_1$  and  $Q_2$  such that  $d(Q_1, Q_2) = l(\gamma)$ .*

Let us now give the following definition:

**DEFINITION 1.4.** — *Let  $\gamma(s)$ ,  $s \in [0, 1]$  be a smooth curve on  $\mathfrak{M} = \mathfrak{M}_0 \times \mathbb{R}$ . We denote by  $x(s)$  and  $t(s)$  the components of  $\gamma$  on  $\mathfrak{M}_0$  and  $\mathbb{R}$  respectively.*

*$\gamma$  is called a T-periodic trajectory if it is a geodesic for  $g$  and it satisfies the conditions*

$$\left. \begin{aligned} x(0) &= x(1), & \dot{x}(0) &= \dot{x}(1), \\ t(0) &= 0, & t(1) &= T, & \dot{t}(0) &= \dot{t}(1). \end{aligned} \right\} \quad (1.8)$$

*We say that  $\gamma$  is non trivial if  $x(s)$  is not constant.*

*Moreover two periodic trajectories  $\gamma_1(s)$  and  $\gamma_2(s)$  are said to be geometrically distinct if the supports of the curves  $\gamma_1$  and  $\gamma_2$  are different (i. e.  $\gamma_1([0, 1]) \neq \gamma_2([0, 1])$ ).*

It is easy to see that if  $Q \in \mathfrak{M}_0$  is a stationary point for  $\beta$  then  $\gamma(s) = (Q, sT)$  is a trivial T-periodic trajectory.

The existence of one timelike non trivial T-periodic trajectory has been recently proved in [7], [13], [14]. Here we shall prove the existence of infinitely many, geometrically distinct, T-periodic trajectories having energy arbitrarily large.

Moreover we prove the analogous of (ii) of Theorem 1.1, essentially in the same way.

More precisely we have the following result:

**THEOREM 1.5.** — *Let  $\mathfrak{M}$  and  $g$  be as in Theorem 1.1. Moreover assume that  $\mathfrak{M}_0$  is compact and  $\pi_1(\mathfrak{M}_0)$  is finite. Then for every  $T > 0$  there exists a sequence  $\{\gamma_n\}_{n \in \mathbb{N}}$  of non trivial, geometrically distinct, T-periodic trajectories on  $\mathfrak{M}$  such that*

$$\lim_{n \rightarrow +\infty} E_{\gamma_n} = +\infty$$

*Moreover if all the T-periodic trajectories are non degenerate, only finitely many are time-like.*

Of course in the periodic case the concept of nondegeneracy needs a small modification: a periodic orbit  $\gamma$  is called nondegenerate if there does not exist a non zero 1-periodic Jacobi field along  $\gamma$ .

When  $\mathfrak{M}_0$  is not compact the results of theorem 1.5 do not hold in general. In fact if  $\mathfrak{M}$  is the Minkowskii space-time [i. e.  $\mathfrak{M} \equiv \mathbb{R}^{n+1}$  and in (1.2)  $\langle \cdot, \cdot \rangle$  is the euclidean metric and  $\beta(P) \equiv 1$ ] the only T-periodic trajectories are the trivial ones  $\gamma = (P, sT)$ ,  $P \in \mathbb{R}^n$ .

Nevertheless the following multiplicity result holds:

THEOREM 1.6. — Let  $\mathfrak{M}_0 = \mathbb{R}^n$  and assume that the Riemannian metric

$$\langle \xi, \eta \rangle = \sum_{ij} \alpha_{ij}(x) \xi_i \eta_j, \quad i, j = 1, \dots, n$$

on  $\mathfrak{M}_0$  and the function  $\beta$  satisfy the following assumptions:

$$\alpha_{ij}, \beta \in C^2(\mathbb{R}^n, \mathbb{R});$$

$$\forall i, j, k \in \{1, \dots, n\}, \quad \lim_{|x| \rightarrow +\infty} \frac{\partial \alpha_{ij}}{\partial x_k}(x) = 0 \tag{1.9}$$

$$\exists v_0 > 0: \quad \sum_{i,j} \alpha_{ij}(x) \xi_i \xi_j \geq v_0 \cdot \left( \sum_i \xi_i^2 \right);$$

$$\lim_{|x| \rightarrow +\infty} \beta(x) = \sup_{\mathfrak{M}_0} \beta =: d_0, \quad \lim_{|x| \rightarrow +\infty} \text{grad } \beta = 0; \tag{1.10}$$

$$c_0 := \sup_{\mathbb{R}^n} \{ \beta(x) : \text{grad } \beta(x) = 0 \} < d_0; \tag{1.11}$$

where  $| \cdot |$  is the Euclidean norm and  $\text{grad } \beta(x)$  is the gradient of  $\beta$  with respect the Euclidean scalar product in  $\mathbb{R}^n$ .

Then for any  $m \in \mathbb{N}$  there exists  $\bar{T} = \bar{T}(m)$  such that for all  $T \geq \bar{T}$  there exist at least  $m$  non trivial, time-like, geometrically distinct,  $T$ -periodic trajectories  $\gamma_1, \dots, \gamma_m$  such that, for every  $i = 1, \dots, m$ ,

$$-d_0 T^2 < E_{\gamma_i} < -c_0 T^2.$$

Remark 1.7. — These assumptions are e. g. satisfied by a universe containing a unique massive star whose radius is larger than the Schwartzchild radius.

In the literature there are not many global results about the existence of geodesics for Lorentz manifolds. The only results we know, besides the ones we have quoted earlier, are due to Avez [2], Galloway ([11], [12]), Seifert [24], Tipler [26] and Uhlenbeck [27].

The paper is organized as follows:

In section 2 we introduce a variational principle (see Theorems 2.1 and 2.2) which will be systematically used in the subsequent sections. This variational principle allows the use of the “classical” Liusternik-Schnirelmann theory for infinite dimensional manifolds (see e. g. [23]) in Theorem 1.1, the cohomology of the “free loop space” on  $\mathfrak{M}_0$  (see e. g. [28]) in Theorem 1.5, and the use of more recent techniques of the critical points theory (see e. g. [22]) in Theorem 1.6.

In section 3 we introduce the functional setting for the study of the critical points of the action integral related to the metric  $g$ . In sections 4 and 5 we prove theorems 1.1, 1.3, 1.5, 1.6.

## 2. THE VARIATIONAL PRINCIPLE

Consider the static spacetime manifold  $\mathfrak{M}$  equipped with the Lorentz metric  $g$  [see (1.1) and (1.2)] and the action functional

$$f(\gamma) = \int_0^1 g(\gamma(s)) [\dot{\gamma}(s), \dot{\gamma}(s)] ds \\ = \int_0^1 (\langle \dot{x}(s), \dot{x}(s) \rangle_{x(s)} - \beta(x(s)) (\dot{t}(s))^2) ds \quad (2.1)$$

where  $\gamma(s) = (x(s), t(s))$ ,  $s \in [0, 1]$ , is a smooth curve on  $\mathfrak{M}$ .

Let  $Q_1 = (P_1, t_1)$ ,  $Q_2 = (P_2, t_2)$  be two points in  $\mathfrak{M}$ . The geodesics joining  $Q_1$  and  $Q_2$  are the critical points of  $f$  with the condition (1.3); namely they are the smooth curves  $\gamma: [0, 1] \rightarrow \mathfrak{M}$  joining  $Q_1$  and  $Q_2$  and satisfying

$$\int_0^1 g(\gamma(s)) \left[ \dot{\gamma}(s), \nabla_s \begin{pmatrix} v(s) \\ \tau(s) \end{pmatrix} \right] ds = 0, \quad (2.2)$$

for any  $C_0^\infty$  vector field  $\begin{pmatrix} v \\ \tau \end{pmatrix}$  along  $\gamma$ . Here  $\nabla_s \begin{pmatrix} v \\ \tau \end{pmatrix}$  denotes the covariant derivative of  $\begin{pmatrix} v \\ \tau \end{pmatrix}$  with respect the metric  $g$  and along  $\gamma$ .

Analogously the T-periodic trajectories (cf. Definition 1.4) are the stationary points of  $f$  with the condition (1.8), namely they are the smooth curves  $\gamma(s) = (x(s), t(s))$  on  $\mathfrak{M}$  which verify (1.8) and satisfy (2.2) for any  $C^\infty$  vector field  $\begin{pmatrix} v \\ \tau \end{pmatrix}$  along  $\gamma$ , when  $v$  is 1-periodic and  $\tau(0) = 0$ ,  $\tau(1) = 0$ .

Since the metric  $g$  is indefinite, the action functional (2.1) is unbounded both from below and from above and this causes difficulties in the research of the geodesics for  $g$ . Nevertheless the study of the stationary points of  $f$  can be reduced to the study of the stationary points of a suitable functional which is bounded from below when  $\beta$  is bounded.

In fact let  $Q_1 = (P_1, t_1)$ ,  $Q_2 = (P_2, t_2)$  be two points in  $\mathfrak{M}$  and consider the functional

$$J(x) = \int_0^1 \langle \dot{x}(s), \dot{x}(s) \rangle_{x(s)} ds - \Delta^2 \left[ \int_0^1 \sigma(x(s)) ds \right]^{-1} \quad (2.3)$$

where  $\sigma = \frac{1}{\beta}$ ,  $\Delta = t_2 - t_1$  and  $x: [0, 1] \rightarrow \mathfrak{M}_0$  is a smooth curve on  $\mathfrak{M}_0$  with  $x(0) = P_1$ ,  $x(1) = P_2$  and  $\dot{x}(s)$  is the tangent vector to  $x$  at  $x(s)$ .

Observe that (2.3) is bounded from below if  $\beta$  is bounded.

The following theorems holds.

**THEOREM 2.1.** — *Let  $\gamma(s) = (x(s), t(s))$ ,  $s \in [0,1]$ , be a smooth curve on  $\mathfrak{M}$  satisfying (1.3).*

*Then the following statements are equivalents:*

- (i)  $\gamma$  is a stationary point of  $f$  with the condition (1.3);
- (ii)  $x$  is a stationary point for  $J$  with the condition (1.3), i. e.

$$J'(x)[v] = \int_0^1 2 \langle \dot{x}, \nabla_s v \rangle_{x(s)} ds + \int_0^1 \left( \Delta^2 \left[ \int_0^1 \sigma(x) ds \right]^{-2} \right) \sigma'(x)[v] ds = 0 \quad (3) \quad (2.4)$$

for any  $C_0^\infty$  vector field  $v$  along  $x$ , and  $t = t(s)$  solves the problem

$$\left. \begin{aligned} i &= \Delta \left[ \int_0^1 \sigma(x) ds \right]^{-1} \sigma(x) \\ t(0) &= t_1 \end{aligned} \right\} \quad (2.5)$$

Moreover, if (i) [or (ii)] is satisfied, we have

$$f(\gamma) = J(x). \quad (2.6)$$

*Proof.* — (i)  $\Rightarrow$  (ii). Let

$$\gamma(s) = (x(s), t(s))$$

be a stationary point of  $f$  with respect the condition (1.3); then

$$f'(\gamma) \left[ \begin{pmatrix} v \\ \tau \end{pmatrix} \right] = \int_0^1 \langle \dot{x}, \nabla_s v \rangle_x - i^2 \beta'(x)[v] - 2 \beta(x) i \dot{\tau} = 0 \quad (2.7)$$

for all  $C_0^\infty$  vector field  $\begin{pmatrix} v \\ \tau \end{pmatrix}$  along  $\gamma$ .

Taking  $v = 0$  in (2.7) we have

$$\int_0^1 \beta(x) i \dot{\tau} ds = 0 \quad \text{for all } \tau \in C_0^\infty([0,1], \mathbb{R}), \quad (2.8)$$

then there exists a constant  $c$  such that

$$i(s) = \frac{c}{\beta(x(s))} \quad \text{for all } s \in [0,1]. \quad (2.9)$$

Integrating in  $[0,1]$  we get

$$c = \Delta \left[ \int_0^1 \sigma(x(s)) ds \right]^{-1} \quad \text{where } \sigma = \frac{1}{\beta}, \quad \Delta = t_2 - t_1. \quad (2.10)$$

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(<sup>3</sup>) Here  $\sigma'(\varphi(s))$  denotes the gradient of  $\sigma$  at  $\varphi(s)$  and  $\nabla_s$  the covariant derivative with respect the Riemannian metric  $\langle , \rangle$ .

By (2.9) and (2.10) we deduce that  $t=t(s)$  solves (2.5). Now, if we substitute (2.5) in (2.7) and choose  $\tau=0$ , we see that (2.4) is satisfied.

(ii)  $\Rightarrow$  (i). Suppose that  $x$  solves (2.4) and defines  $c$  as in (2.10). Then, since  $t$  solves (2.5), we get (2.9) and consequently (2.8).

Now if in (2.4) we add (2.8) and substitute  $\Delta^2 \left[ \int_0^1 \sigma x ds \right]^{-2}$  by (2.5), we see that  $\gamma=(x, t)$  satisfies (2.7), namely it is a stationary point of  $f$ .

Finally (2.6) is immediately checked. ■

An analogous result holds for the T-periodic trajectoires.

**THEOREM 2.2.** — *Let  $\gamma(s)=(x(s), t(s))$ ,  $s \in [0,1]$ , be a smooth curve on  $\mathfrak{M}$  satisfying (1.8). Then the following statements are equivalent:*

- (i)  $\gamma$  is a stationary point of  $f$  with respect the condition (1.8).
- (ii)  $x$  is a stationary point for the functional  $J$  (with  $\Delta=T$ ) on the smooth curves  $x(s) \in \mathfrak{M}_0$  which satisfy (1.8). Moreover  $t=t(s)$  solves the problem:

$$\left. \begin{aligned} t &= T \left[ \int_0^1 \sigma(x) ds \right]^{-1} \sigma(x) \\ t(0) &= 0 \end{aligned} \right\} \quad (2.11)$$

Moreover, if (i) or (ii) is satisfied, we have

$$f(\gamma) = J(x).$$

The proof of Theorem 2.2 is the same as the proof of Theorem 2.1.

### 3. THE FUNCTIONAL FRAMEWORK

By a well known result of Nash (see [17])  $\mathfrak{M}_0$ , equipped with the Riemannian metric  $\langle \cdot, \cdot \rangle$ , is isometrically embeddable in  $\mathbb{R}^N$  with  $N$  sufficiently large. This means that there exists a  $C^k$  mapping

$$\Psi_0: \mathfrak{M}_0 \rightarrow \mathbb{R}^N \quad (3.1)$$

with injective differential  $\Psi'_0(P)$  for all  $P \in \mathfrak{M}_0$ , such that

$$\langle v, v \rangle_P = \langle \Psi'_0(P)v, \Psi'_0(P)v \rangle \quad (3.2)$$

for all  $P \in \mathfrak{M}_0$  and  $v \in T_P \mathfrak{M}_0$ . Here  $\langle \cdot, \cdot \rangle$  denotes the Euclidean inner product in  $\mathbb{R}^N$ .

Our aim is to get a more explicit form of the functional (2.1) using the embedding  $\Psi_0$ .

In the following we set

$$M_0 = \Psi_0(\mathfrak{M}_0), \quad x = \Psi_0(P), \quad \xi = \Psi'_0(P)v, \quad (3.3)$$

where  $P \in \mathfrak{M}_0$  and  $v \in T_P \mathfrak{M}_0$ .



We improperly set

$$\beta(x) = \beta(P), \quad \text{where } x = \Psi_0(P).$$

Moreover we shall identify  $\mathbb{R}^N$  with its dual space. Thus we have that  $T_x M_0 \subset \mathbb{R}^N$ .

Then the study of the geodesics on  $\mathfrak{M}$  for the metric  $g$  is equivalent to the study of the geodesics on  $M_0 \times \mathbb{R}$  for the metric  $\tilde{g}$ , defined by

$$\tilde{g}(x) \left[ \begin{pmatrix} \xi_1 \\ \tau_1 \end{pmatrix}, \begin{pmatrix} \xi_2 \\ \tau_2 \end{pmatrix} \right] = \langle \xi_1, \xi_2 \rangle - \beta(x) \tau_1 \tau_2, \quad (3.4)$$

where  $x \in M_0$ ,  $\xi_1, \xi_2 \in T_x M_0$  and  $\tau_1, \tau_2 \in \mathbb{R}$ .

We now introduce our functional setting.

If  $I = [0, 1]$ ,  $W^1(I, \mathbb{R}^N)$  denotes the ordinary Sobolev space of  $\mathbb{R}^N$ -valued functions defined on  $I$ .

We denote by

$$\|x\|_1 = \left( \int_0^1 (|\dot{x}(s)|^2 + |x(s)|^2) ds \right)^{1/2}$$

its norm. Here the dot “ $\dot{\phantom{x}}$ ” denotes the derivative with respect to  $s$  and  $|\phantom{x}|$  the Euclidean norm in  $\mathbb{R}^N$ .

Let  $x_1, x_2 \in M_0$  and introduce the space of the  $W^1$ -curves on  $M$  joining  $x_1$  and  $x_2$ .

$$\Omega^1 := \Omega^1(M_0, x_1, x_2) = \{x \in W^1(I, \mathbb{R}^N) : \forall s \in I, x(s) \in M_0, \text{ and } x(0) = x_1, x(1) = x_2\}. \quad (3.5)$$

$\Omega^1$  is a closed submanifold of  $W^1(I, \mathbb{R}^N)$  (cf. e. g. [23] and its references) and its tangent space at  $x \in \Omega^1$  is given by

$$T_x \Omega^1 = \{ \xi \in W_0^1(I, \mathbb{R}^N) : \xi(s) \in T_{x(s)} M_0, s \in I \} \quad (3.6)$$

where

$$W_0^1(I, \mathbb{R}^N) = \{ \xi \in W^1(I, \mathbb{R}^N) : \xi(0) = \xi(1) = 0 \}.$$

Analogously we define the space of the  $W^1$ -closed curves on  $M_0$

$$\Lambda^1 := \Lambda^1 M_0 = \{ x \in W^1(S^1, \mathbb{R}^N) : \forall s \in S^1, x(s) \in M_0 \}, \quad (3.7)$$

( $S^1 = \mathbb{R}/\mathbb{Z} = I/\{0, 1\}$ ), whose tangent space at  $x \in \Lambda^1$  is given by

$$T_x \Lambda^1 = \{ \xi \in W^1(S^1, \mathbb{R}^N) : \xi(s) \in T_{x(s)} M_0, s \in S^1 \}. \quad (3.8)$$

On the following we shall use the notation  $W^1$  to denote  $W^1(I, \mathbb{R}^N)$  or  $W^1(S^1, \mathbb{R}^N)$  depending on the case.

If  $M_0$  is complete,  $\Omega^1$  and  $\Lambda^1$  are complete Riemannian manifolds with the Riemannian structure inherited from  $W^1$ , see e. g. [1], [20].

Now we recall a technical Lemma which will be useful to prove that the functional  $J$  [defined by (2.3)] on  $\Omega^1$  and  $\Lambda^1$  satisfies the Palais-Smale condition (cf. [8], lemma 2.1).

LEMMA 3.2. — Let  $\{x_k\}$  be a sequence in  $\Omega^1$  (or  $\Lambda^1$ ) such that  $x_k$  converges to  $x_0$  weakly in  $W^1$ .

Then there exist two sequences

$$\{\xi_k\} \subset T_{x_k} \Omega^1 \quad (\text{or } T_{x_k} \Lambda^1)$$

and

$$\{v_k\} \subset W_0^1(I, \mathbb{R}^N) \quad [\text{or } W^1(S^1, \mathbb{R}^N)]$$

such that

$$x_k = \xi_k + v_k + x_0,$$

$\xi_k$  converges to 0 weakly in  $W^1$ , and  $v_k$  converges to 0 strongly in  $W^1$ .

#### 4. PROOF OF THEOREMS 1.1, 1.3, 1.5

By Theorems 2.1 and 2.2 the study of the geodesics for  $g$  joining two given events  $Q_1, Q_2 \in \mathfrak{M}$ , or the study of the  $T$ -periodic trajectories on  $\mathfrak{M}$ , is equivalent to the study of the critical points of the functional  $J$  [cf. (2.3)] on  $\Omega^1$  or on  $\Lambda^1$ .

Now we introduce the well known condition (C) of Palais-Smale.

DEFINITION 4.1. — Let  $X$  be a Riemannian manifold modelled on a Hilbert space and let  $F \in C^1(X, \mathbb{R})$ . We say that  $F$  satisfies (P.S.) if any sequence  $\{z_n\} \subset X$  such that  $F(z_n)$  is bounded and  $F'(z_n) \rightarrow 0$ , possesses a convergent subsequence.

We shall prove that the functional  $J$  defined in (2.3) satisfies (P.S.). More precisely the following Lemma holds

LEMMA 4.2. — Let  $\beta$  satisfy assumption (1.5). Then  $J$  satisfies (P.S.) on  $\Omega^1$ . If we assume also that  $\mathfrak{M}_0$  (and therefore  $M_0$ ) is compact, then  $J$  satisfies (P.S.) on  $\Lambda^1$ .

*Proof.* — It will be useful to use  $\mathcal{O}^1$  as joint notation for the space  $\Omega^1$  and  $\Lambda^1$ .

Let  $\{x_n\} \subset \mathcal{O}^1$  such that

$$\exists K \in \mathbb{R} \quad \text{s. t. } \forall n \in \mathbb{N}, \quad J(x_n) \leq K, \quad (4.1)$$

$$\frac{J'(x_n)[\xi]}{\|\xi\|_1} \rightarrow 0 \quad \text{uniformly for } \xi \in T_{x_n} \mathcal{O}^1. \quad (4.2)$$

From (4.1) we deduce that

$$\forall n \in \mathbb{N}, \quad \int_0^1 \langle \dot{x}_n, \dot{x}_n \rangle \leq \Delta^2 \left[ \int_0^1 \sigma(x_n) ds \right]^{-1} + K;$$

and, since  $\sigma$  is bounded, we get that

$$\int_0^1 \langle \dot{x}_n, \dot{x}_n \rangle ds \text{ is bounded independently of } n. \tag{4.3}$$

If  $\mathcal{O}^1 = \Omega^1$  or if  $\mathcal{O}^1 = \Lambda^1$  and  $M_0$  is compact, (4.3) implies that

$$\{x_n\} \text{ is bounded in } W^1 \text{ independently of } n.$$

Then, passing eventually to a subsequence, we get that

$$x_n \text{ converges to } x \text{ weakly in } W^1. \tag{4.4}$$

Now, by (4.2), we have

$$2 \int_0^1 \langle \dot{x}_n, \xi \rangle ds + \Delta^2 \left[ \int_0^1 \sigma(x_n) ds \right]^{-2} \int_0^1 \langle \text{grad } \sigma(x_n), \xi \rangle ds = o(1) \tag{4.5}$$

uniformly for  $\xi \in T_{x_n} \mathcal{O}^1$  and  $\|\xi\|_1 \leq 1$ , where  $o(1)$  denotes an infinitesimal sequence and  $\sigma(x) = \frac{1}{\beta(x)}$ .

By Lemma 3.2 we can take, in (4.5),

$$\xi = \xi_n = x_n - x - v_n$$

where

$$v_n \rightarrow 0 \text{ in } W^1. \tag{4.6}$$

Then we have

$$\int_0^1 \langle \dot{x}_n, \dot{x}_n - \dot{x} - \dot{v}_n \rangle ds + \Delta^2 \left[ \int_0^1 \sigma(x_n) ds \right]^{-2} \int_0^1 \langle \text{grad } \sigma(x_n), x_n - x - v_n \rangle ds = o(1). \tag{4.7}$$

Since  $W^1$  is compactly embedded into  $L^\infty$ , by (4.4) we deduce that

$$x_n \rightarrow x \text{ in } L^\infty. \tag{4.8}$$

Moreover by (4.4) and (4.6) we have

$$x_n - x - v_n \text{ converges to } 0 \text{ weakly in } W^1. \tag{4.9}$$

Now, by (4.7), (4.8) and (4.9) we get

$$\int_0^1 \langle \dot{x}_n, \dot{x}_n - \dot{x} - \dot{v}_n \rangle ds = o(1) \tag{4.10}$$

so (4.6) and (4.10) imply that

$$\int_0^1 \langle \dot{x}_n, \dot{x}_n - \dot{x} \rangle ds = o(1). \tag{4.11}$$

Moreover, since  $\{\dot{x}_n\}$  converges weakly in  $L^2$  to  $\dot{x}$ , we have

$$\int_0^1 \langle \dot{x}, \dot{x}_n - \dot{x} \rangle ds = o(1). \quad (4.12)$$

(4.11) and (4.12) imply that

$$\int_0^1 |\dot{x}_n - \dot{x}_0|^2 = o(1) \quad (4.13)$$

and finally from (4.8) and (4.13) we deduce that

$$x_n \rightarrow x \quad \text{in } W^1. \quad \blacksquare$$

*Proof of Theorem (1.1).* — Since  $M_0$  is connected, (P.S.) holds, and  $J$  is bounded from below, by virtue of Theorem 2.1, we easily get that  $M$  is geodesically connected by a minimum argument.

Now if  $P_1 \neq P_2$  we have  $\inf_{M_0} \int_0^1 \langle \dot{x}(s), \dot{x}(s) \rangle_{x(s)} ds > 0$ , so, if  $\Delta$  is small enough, by (1.5) we have  $\inf_{\Omega^1} J > 0$  and we get (i).

Moreover if all the geodesics joining  $Q_1$  and  $Q_2$  are non degenerate, then all the critical points of  $J$  on  $\Omega^1$  are isolated. Then if we assume that there are infinitely many time-like geodesics we get a contradiction, because by Lemma 4.2 and Theorem 2.1 we get a sequence of critical points of  $J$ , converging to a critical point of  $J$ .

Now assume that  $M_0$  is not contractible in itself and there exist a retraction of  $M_0$  on a compact subset. Under this assumptions, it is possible to apply a well known theorem of Serre (see [25]), in order to prove that

$$\text{cat}_{\Omega^1}(\Omega^1) = +\infty, \quad (4)$$

(see e.g. [10]). Notice that we need the assumption about the retraction of  $M_0$  on a compact subset, because, whenever  $\pi_1(M_0)$  is finite, in order to apply the Serre Theorem, the Betti numbers of  $M_0$  and of its universal covering space must be finite (and not only eventually zero).

For every  $c \in \mathbb{R}$ , we set  $J^c = \{x \in \Omega^1 : J(x) \leq c\}$ . Since  $J$  satisfies (P.S.) and  $\inf_{\Omega^1} J > -\infty$ , we have that

$$\text{cat}_{\Omega^1}(J^c) < +\infty \quad (4.14)$$

---

(4) Here  $\text{cat}_X A$  denotes the Lusternik-Schnirelman category of  $A \subset X$  in the topological space  $X$ .

Indeed, if there exist  $c \in \mathbb{R}$  such that  $\text{cat}_{\Omega_1}(J^c) = +\infty$ , we can consider

$$\bar{c} = \inf \{ c \in \mathbb{R} : \text{cat}_{\Omega_1}(J^c) = +\infty \}.$$

Moreover, by virtue of (P.S.), the set  $Z$  of the critical points of  $J$  is compact, hence there exists a neighbourhood  $U_Z$  of  $Z$ , such that

$$\text{cat}_{\Omega_1}(U_Z) < +\infty.$$

Now, by a well known deformation Lemma (see e.g. [19]), there exists  $\varepsilon > 0$  such that  $J^{\bar{c}-\varepsilon}$  includes a strong deformation retract of  $J^{\bar{c}+\varepsilon} \setminus U_Z$ . Then

$$\text{cat}_{\Omega_1}(J^{\bar{c}+\varepsilon}) \leq \text{cat}_{\Omega_1}(J^{\bar{c}+\varepsilon} \setminus U_Z) + \text{cat}_{\Omega_1}(U_Z) \leq \text{cat}_{\Omega_1}(J^{\bar{c}-\varepsilon}) + \text{cat}_{\Omega_1}(U_Z) < +\infty,$$

and this contradicts the definition of  $\bar{c}$ . Then (4.14) is proved.

Now, by (4.14), we get immediately that there exists a sequence  $x_n$  of critical points for  $J$  on  $\Omega^1$  such that

$$\lim_{n \rightarrow +\infty} J(x_n) = +\infty,$$

so our assertion on  $\gamma_n$  follows by Theorem 2.1.

Let us prove (iii). Under our assumptions on the topology of  $M_0$ , we have the existence of a sequence  $K_m$  of compact subsets of  $\Omega^1$  such that

$$\lim_{m \rightarrow +\infty} \text{cat}_{\Omega^1}(K_m) = +\infty, \tag{4.15}$$

(see e.g. [10]). Moreover, by the minimax characterization of the critical level  $J(x_n)$  (see [23]), if

$$\Gamma_n = \{ A \subset \Omega^1 : \text{cat}_{\Omega^1}(A) \geq n \}$$

we have

$$J(x_n) = \inf_{A \in \Gamma_n} \sup_{x \in A} J(A).$$

If two of these critical levels are equal, there exist infinitely many critical points having such a critical level (see [23]). Then by (4.15) we get easily (iii). ■

*Proof of Theorem 1.3.* – By Theorem 1.1 the set  $G(Q_1, Q_2)$  of geodesics joining  $Q_1, Q_2 \in \mathfrak{M}$  is not empty.

Let  $\{\gamma\}_n \subset G(Q_1, Q_2)$  such that

$$l(\gamma_n) \rightarrow d(a_1, a_2) \quad \text{for } n \rightarrow \infty \quad [\text{cf. [1.7]}].$$

Now

$$E_{\gamma_n} = c_n, \quad \sqrt{|c_n|} = l(\gamma_n), \tag{4.16}$$

and from (4.16) and by Theorem 2.1 we get

$$c_n = f(\gamma_n) = J(x_n) \quad \text{for all } n \in \mathbb{N}.$$

Since  $|c_n| = (l(\gamma_n))^2$ , we deduce that  $\{J(x_n)\}$  is bounded. Then, by lemma 4.2, we deduce that

$$x_n \rightarrow x \text{ in } W^1, \quad J'(x) = 0.$$

So, using once again Theorem 2.1, we get that there exists  $\gamma \in G(Q_1, Q_2)$  with  $l(\gamma) = d(a_1, a_2)$ . ■

In order to prove Theorem 1.5 we cannot use the Liusternik-Schnirelman category since in general  $\text{cat}_{\Lambda^1}(\Lambda^1)$  is not known. However we can exploit some of the results on the cohomology of  $\Lambda^1$  proved in [28] to obtain our result.

First of all we recall one of those results.

PROPOSITION 4.3. — *If  $M_0$  is compact and  $\pi_1(M_0) = 0$  then there exists an infinite set of positive integers  $Q \subset \mathbb{N}$  such that*

$$H^q(\Lambda^1) \neq 0 \text{ for every } q \in Q,$$

where  $H^q(\Lambda^1)$  is the  $q$ -th group of cohomology of  $\Lambda^1$ .

Now consider  $\alpha \in H^*(\Lambda^1)$ ,  $\alpha \neq 0$ , and set

$$\Gamma_\alpha = \{B \subset \Lambda^1 \mid i_B^*(\alpha) \neq 0\} \tag{4.17}$$

where  $i_B^*: H^*(\Lambda^1) \rightarrow H^*(B)$  is the homomorphism induced by the inclusion  $i_B: B \rightarrow \Lambda^1$ .

Observe that  $\Gamma_\alpha$  defined in (4.17) is not empty and contains compact sets (in fact it contains the support of  $k$ -chain,  $k = \text{deg } \alpha$ , which are not homologous to a constant).

For every  $c > 0$  we set

$$\begin{aligned} E^c &= \left\{ x \in \Lambda^1 \mid \int_0^1 \langle \dot{x}(s), \dot{x}(s) \rangle_{x(s)} ds < c \right\} \\ E_c &= \left\{ x \in \Lambda^1 \mid \int_0^1 \langle \dot{x}(s), \dot{x}(s) \rangle_{x(s)} ds \geq c \right\}, \end{aligned} \tag{4.18}$$

and the following Proposition holds

PROPOSITION 4.4. — *For every  $c > 0$  there exists  $\bar{q} = \bar{q}(c) \in \mathbb{N}$  such that*

$$H^q(E^c) = 0 \text{ for } q > \bar{q}.$$

*Proof.* — By a well known result (cf. e. g. [16])  $E^c$  is a strong deformation retract of a finite dimensional manifold, whose dimension depends on  $c$  (cf. also [5]).

Then, if we take  $\bar{q}(c) = n$  we get the conclusion. ■

LEMMA 4.5. — *Let the assumption of lemma 4.2 be satisfied and let  $\alpha \in H^*(\Lambda^1)$ ,  $\alpha \neq 0$ . Then the number*

$$c_\alpha = \inf_{B \in \Gamma_\alpha} \sup J(B) \tag{4.19}$$

is a critical value of  $J$  on  $\Lambda^1$ . Moreover, if we assume that  $H^q(\Lambda^1) \neq 0$  for infinitely many  $q$ , then there exists a sequence  $\{c_\alpha\}$  of critical values of  $J$  defined as in (4.10) and such that

$$c_\alpha \rightarrow +\infty \quad \text{as } \text{deg } \alpha \rightarrow +\infty. \tag{4.20}$$

*Proof.* — First of all we prove that  $c_\alpha$  in (4.19) is well defined. Since  $\Gamma_\alpha$  contains compact subsets of  $\Lambda^1$ , we have  $c_\alpha < +\infty$ . Moreover, since  $\beta(x) \leq M$ ,  $J$  is bounded from below and  $c_\alpha > -\infty$ .

To prove that  $c_\alpha$  is a critical value of  $J$  we follow a standard argument. Arguing indirectly we assume that  $c_\alpha$  is not a critical value of  $J$ . Since  $J$  satisfies the (P.S.) condition on  $\Lambda^1$  (see Lemma 4.2), by a well known deformation Lemma (see e. g. [19]), there exists  $\varepsilon > 0$  and an homeomorphism  $\eta$  on  $\Lambda^1$  s. t.

$$\eta(J^{-1} ]-\infty, c_\alpha + \varepsilon]) \subset J^{-1} ]-\infty, c_\alpha - \varepsilon]). \tag{4.21}$$

Now we claim that

$$\forall B \in \Gamma_\alpha, \quad \eta(B) \in \Gamma_\alpha. \tag{4.22}$$

In fact, let  $\eta^* : H^q(\eta(\Lambda^1)) \rightarrow H^q(\Lambda^1)$  be the isomorphism induced from  $\eta$ , and  $B \in \Gamma_\alpha$ . Then

$$i_{\eta(B)}^*(\alpha) = (\eta^*)^{-1} \circ i_B^*(\alpha) \neq 0,$$

so we conclude that  $\eta(B) \in \Gamma_\alpha$ .

Now, by the definition of  $c_\alpha$  there exists  $B \in \Gamma_\alpha$  such that  $\sup J(B) < c_\alpha + \varepsilon$ ; then by (4.21), we have

$$\sup J(\eta(B)) \leq c_\alpha - \varepsilon, \tag{4.23}$$

while (4.22) and (4.23) contradict the definition of  $c_\alpha$ .

Finally we prove the last part of lemma 4.5 and assume that  $H^q(\Lambda^1) \neq 0$  for  $q \in Q \subset \mathbb{N}$ ,  $Q$  infinite.

If  $n \in \mathbb{N}$ , by Proposition 4.4, there exists  $\bar{q} = \bar{q}(n) \in \mathbb{N}$  such that

$$H^q(E^n) = 0 \quad \text{for } q > \bar{q}. \tag{4.24}$$

Now let  $q_n \in Q$ , with  $q_n > \bar{q}(n)$  and consider  $\alpha \in H^{q_n}(\Lambda^1)$ ,  $\alpha \neq 0$ . We claim that

$$\forall B \in \Gamma_\alpha, \quad B \cap E_n \neq \emptyset \tag{4.25}$$

Arguing by contradiction we assume that there exists  $B \in \Gamma_\alpha$  such that

$$B \subset \Lambda^1 \setminus E_n := E^n,$$

then

$$H^{q_n}(\Lambda^1) \xrightarrow{i_2^*} H^{q_n}(E^n) \xrightarrow{i_1^*} H^{q_n}(B) \tag{4.26}$$

where  $i_2^*$ ,  $i_1^*$  are the homomorphisms induced by the inclusion maps

$$i_2 : E^n \rightarrow \Lambda^1, \quad i_1 : B \rightarrow E^n.$$

Then, since  $B \in \Gamma_\alpha$ , we have

$$i_1^* \circ i_2(\alpha) = i_B^*(\alpha) \neq 0. \tag{4.27}$$

From (4.26) and (4.27) we deduce that  $H^{q_n}(E_n) \neq 0$  and this contradicts (4.24). Then the intersection property (4.25) holds.

By (4.25) and the definition (4.19) of  $c_\alpha$  we easily get

$$c_\alpha \geq n - \Delta^2 M, \tag{4.28}$$

where  $M$  is an upper bound for  $\beta$ . Clearly from (4.28) we deduce (4.20). ■

*Proof of Theorem 1.5.* – In order to prove that  $J$  on  $\Lambda^1$  possesses infinitely many critical points  $\{x_n\}$  such that

$$\lim_{n \rightarrow +\infty} J(x_n) = +\infty, \tag{4.29}$$

we distinguish two cases.

*First case.* – Assume that  $M_0$  is simply connected, then (4.29) immediately follows from Proposition 4.3 and Lemma 4.5.

*Second case.* – Assume  $\pi_1(M_0) \neq 0$  and finite. Denote by  $(\tilde{M}_0, p)$  the universal covering of  $M_0$ .  $\pi_1(\tilde{M}_0) = 0$  and  $\tilde{M}_0$  is compact since  $\pi_1(M_0)$  is finite.

Then arguing as in the first case, we prove the existence of infinitely many critical points  $\{\tilde{x}_n\}$  of  $J$ , such that

$$J(\tilde{x}_n) \rightarrow +\infty \quad \text{as } n \rightarrow \infty.$$

Therefore, if we set  $x_n = p(\tilde{x}_n)$ , we get the existence of infinitely many critical points.

Then by Theorem (2.2) we get that there exists a sequence  $\gamma_n$  of  $T$ -periodic trajectories  $(x_n, t_n)$ , where  $t_n$  defined by (2.11), such that  $E_{\gamma_n} \rightarrow +\infty$ . Notice that by (4.29) the sequence  $\{x_n\}$  of critical points of

$J$  consists at most of finitely many constant curves, because on the constant curves  $J$  is bounded from above. Then, up to consider a subsequence, we can assume that all the  $T$ -periodic trajectories  $\gamma_n$  are non trivial.

In order to prove that curves  $\gamma_n (n \in \mathbb{N})$  are geometrically distinct, assume that two (non trivial)  $T$ -periodic trajectories  $\gamma_i = (x_i, t_i)$  and  $\gamma_j = (x_j, t_j)$  ( $i \neq j$ ) are not geometrically distinct. Then there exists  $\varphi(s)$  such that

$$\gamma_j(s) = \gamma_i(\varphi(s)).$$

Then we have, for all  $s$ ,

$$0 = \nabla_s(\dot{\gamma}_j)(s) = (\varphi'(s))^2 \nabla_s(\dot{\gamma}_i)(\varphi(s)) + \varphi''(s) \dot{\gamma}_i(\varphi(s)) = \varphi''(s) \dot{\gamma}_i(\varphi(s)).$$



Now, since  $\gamma_i$  is non trivial, by the uniqueness on the Cauchy problem

$$\left. \begin{aligned} \nabla_s(\dot{\gamma})(s) &= 0 \\ \gamma(s_0) &= \gamma_0 \\ \dot{\gamma}(s_0) &= 0 \end{aligned} \right\}$$

we have  $\dot{\gamma}_i(\varphi(s)) \neq 0$  for all  $s$ , hence

$$\varphi''(s) = 0 \quad \text{for all } s. \tag{4.30}$$

Moreover  $t_j(s) = t_i(\varphi(s))$  for all  $s$ ,  $t_i$  is strictly increasing and [see (1.8)]  $t_j(0) = t_i(0) = 0$ ,  $t_j(1) = t_i(1) = T$ . Therefore  $\varphi(0) = 0$  and  $\varphi(1) = 1$ , hence, by (4.30),  $\varphi(s) = s$  for all  $s$ .

The proof of (i) is the same of (ii) of (1.1). ■

### 5. PROOF OF THEOREM 1.6

By virtue of Theorem 2.2, we are reduced to studying the functional

$$J(x) = \int_0^1 \langle \alpha(x) \dot{x}, \dot{x} \rangle ds - T^2 \left[ \int_0^1 \sigma(x) ds \right]^{-1} \tag{5.1}$$

where  $x \in W^1(S^1, \mathbb{R}^n)$ ,  $\sigma(x) = \frac{1}{\beta(x)}$ ,  $\langle \cdot, \cdot \rangle$  is the usual scalar product of  $\mathbb{R}^n$  and  $\alpha(x)$  is a symmetric matrix having minimum eigenvalue far from zero.

For technical reasons we modify  $J$  as follows

$$I(x) = - \frac{1}{T^2} J(x) = \left[ \int_0^1 \sigma(x) ds \right]^{-1} - \frac{1}{T^2} \int_0^1 \langle \alpha(x) \dot{x}, \dot{x} \rangle ds. \tag{5.2}$$

Clearly it is enough to show that the multiplicity result contained in Theorem 1.6 holds for the functional  $I$ .

Standard calculations show that  $I$  is of class  $C^2$  on  $W^1$ . Moreover  $I$  is invariant under the unitary representation of the group  $S^1 = \mathbb{R}/\mathbb{Z}$  on  $W^1$  given by the time translations [namely  $J(x(s+\vartheta)) = J(x(s))$  for all  $\vartheta \in \mathbb{R}$  and  $x \in W^1$ ].

Let us now recall the following abstract critical point Theorem:

**THEOREM 5.1.** — *Let  $E$  be a real Hilbert space on which a unitary representation  $G$  of the group  $S^1 = \mathbb{R}/\mathbb{Z}$  acts.*

*Let  $I$  be a  $C^2$  functional on  $E$  satisfying the following properties:*

(I<sub>1</sub>) *is  $G$ -invariant [i. e.  $\forall u \in E, \forall g \in G, I(u) = I(g(u))$ ].*

(I<sub>2</sub>)  *$I$  satisfies the Palais-Smale condition (P.S.) in  $]0, \mu[$ ,  $\mu > 0$  [i. e. for all  $c \in ]0, \mu[$  any sequence  $\{u_k\} \subset E$  s. t.  $I'(u_k) \rightarrow 0$  as  $k \rightarrow \infty$  and  $I(u_k) \rightarrow c$  contains a convergent subsequence].*

(I<sub>3</sub>) There exist two closed G-invariant subspaces E<sub>1</sub> and E<sub>2</sub> of E with codim E<sub>2</sub> < +∞ and a bounded G-invariant neighborhood B of 0 such that:

- (a) I(u) ≥ λ > I(0), ∀ u ∈ E<sub>1</sub> ∩ ∂B (∂B being the boundary of B);
- (b) sup I(E<sub>2</sub>) < μ;
- (c) Fix G ⊂ E<sub>1</sub> or Fix G ⊂ E<sub>2</sub>, where Fix G = { u ∈ E : g(u) = u, ∀ g ∈ G },

Suppose moreover that

- (I<sub>4</sub>) ∀ u ∈ Fix G, with I'(u) = 0, we have I(u) < λ.

Then I possesses at least

$$\frac{1}{2} [\dim (E_1 \cap E_2) - \text{codim} (E_1 + E_2)]$$

distinct critical points <sup>(5)</sup> whose critical values belong to the interval [λ, sup I(E<sub>2</sub>)].

Variants of Theorem 5.1 have been proved in [3] and therefore we omit its proof.

In order to verify that I satisfy the assumptions of Theorem 5.1 we need some Lemmas.

Notice that under our assumptions sup I = d<sub>0</sub> and I(x) < d<sub>0</sub> for all x ∈ W<sup>1</sup><sub>w<sup>1</sup></sub>.

LEMMA 5.2. — Under the assumptions (1.9), (1.10), I satisfies the (P.S.) in ]-∞, d<sub>0</sub>[, i. e. any sequence {x<sub>n</sub>} ⊂ W<sup>1</sup> such that

$$I'(x_n) \rightarrow 0 \quad \text{as } n \rightarrow +\infty, \tag{5.3}$$

$$I(x_n) \rightarrow c < d_0 \quad \text{as } n \rightarrow +\infty, \tag{5.4}$$

contains a convergent subsequence.

*Proof.* — Let {x<sub>n</sub>} be a sequence satisfying (5.3), (5.4). Since β is bounded and (1.9) holds, (5.4) implies that

$$\|\dot{x}_n\|_{L^2} \text{ is bounded.} \tag{5.5}$$

We shall prove that

$$\|x_n\|_{L^\infty} \text{ is bounded.} \tag{5.6}$$

Arguing by contradiction assume that there exists a subsequence (which we continue to call by {x<sub>n</sub>}) such that

$$\|x_n\|_{L^\infty} \rightarrow +\infty. \tag{5.7}$$

By (5.5) and (5.7) we have

$$\inf_{s \in [0, 1]} |x_n(s)| \rightarrow +\infty \quad \text{as } n \rightarrow +\infty, \tag{5.8}$$

---

<sup>(5)</sup> We say that two critical points u<sub>1</sub>, u<sub>2</sub> are distinct if u<sub>1</sub> ≠ g(u<sub>2</sub>) for all g ∈ G.

then by (1.10) we get

$$\begin{aligned} & \|\text{grad } \sigma(x_n)\|_{L^\infty} \rightarrow 0, \\ & \left\| \sigma(x_n) - \frac{1}{d_0} \right\|_{L^\infty} \rightarrow 0 \quad \text{as } n \rightarrow +\infty. \end{aligned} \tag{5.9}$$

Now set

$$\tilde{x}_n = x_n - \int_0^1 x_n ds.$$

By (5.5) we deduce that  $\left\| \frac{d}{ds} \tilde{x}_n \right\|_{L^2}$  is bounded, then by Wirtinger inequality,

$$\|\tilde{x}_n\|_{W^1} \quad \text{is bounded.} \tag{5.10}$$

Since  $W^1$  is continuously embedded into  $L^\infty$ , from (5.10) we deduce that

$$\|\tilde{x}_n\|_{L^\infty} \quad \text{is bounded.} \tag{5.11}$$

Now (5.3) implies that

$$I'(x_n)[\tilde{x}_n] = \varepsilon_n \|\tilde{x}_n\|_{W^1}, \quad \varepsilon_n \rightarrow 0, \quad \text{as } n \rightarrow +\infty \tag{5.12}$$

Then

$$\begin{aligned} & \left[ \int_0^1 \sigma(x_n) ds \right]^{-2} \int_0^1 \langle \text{grad } \sigma(x_n), \tilde{x}_n \rangle ds \\ & \quad + \frac{1}{T^2} \int_0^1 \left[ \langle \alpha'(x_n)[\tilde{x}_n] \dot{x}_n, \dot{x}_n \rangle \right. \\ & \quad \left. + 2 \left\langle \alpha(x_n) \dot{x}_n, \frac{d}{ds} \tilde{x}_n \right\rangle \right] ds = \varepsilon_n \|\tilde{x}_n\|_{W^1}. \end{aligned} \tag{5.13}$$

By (1.9) and (5.8) we have

$$\|\alpha'(x_n)[\tilde{x}_n]\|_{L^\infty} \rightarrow 0, \quad \text{as } n \rightarrow +\infty \tag{5.14}$$

Moreover (5.9) and (5.11) imply that

$$\left[ \int_0^1 \sigma(x_n) ds \right]^{-2} \int_0^1 \langle \text{grad } \sigma(x_n), \tilde{x}_n \rangle ds = o(1) \tag{5.15}$$

and (5.14) and (5.5) imply that

$$\int_0^1 [\langle \alpha'(x_n)[\tilde{x}_n] \dot{x}_n, \dot{x}_n \rangle] ds = o(1). \tag{5.16}$$

Then from (5.13), (5.15) and (5.16) we deduce that

$$\int_0^1 \left\langle \alpha(x_n) \dot{x}_n, \frac{d}{dt} \tilde{x}_n \right\rangle ds = o(1). \tag{5.17}$$

Since  $\frac{d}{ds} \tilde{x}_n = \dot{x}_n$ , (5.17) and (5.9) imply that

$$I(x_n) = d_0 + o(1)$$

and this contradicts (5.4).

Then we conclude that  $\{x_n\}$  is bounded in  $W^1$  and therefore it contains a subsequence  $\{x_n^1\}$  weakly convergent to  $x$  in  $W^1$ .

Now the same argument used in the proof of Lemma 4.2 (obviously here we take  $v_n \equiv 0$ ) permit to prove that  $x_n^1$  converges to  $x$  strongly in  $W^1$ . ■

Let  $k \in \mathbb{N}$  and set

$$W_k = \text{span} \{ e_i \sin(2\pi js), e_i \cos(2\pi js) : i = 1, \dots, n; j = 0, \dots, k \} \tag{5.18}$$

where  $e_i, i = 1, \dots, n$  is the standard basis in  $\mathbb{R}^n$ . Moreover we set

$$\tilde{W} = \left\{ x \in W^1(S^1, \mathbb{R}^n) : \int_0^1 x ds = 0 \right\}, \tag{5.19}$$

$$S_k^r = \{ x \in W_k : \|x\|_{W^1} = r \}, \quad r > 0. \tag{5.20}$$

Notice that  $W_k$  includes  $\text{Fix } G$  which is the set of the constant curves.

The following Lemma holds:

LEMMA 5.3. — *Suppose that  $\beta$  satisfies assumptions (1.9), (1.10) and (1.11).*

*Then for any  $k \in \mathbb{N}$  there exist  $\bar{T} = \bar{T}(k), \delta = \delta(k), r = r(k)$  such that for any  $T \geq \bar{T}$*

$$I(x) \geq c_0 + \delta > I(0), \quad \forall x \in S_k^r, \tag{5.21}$$

where  $c_0 = \sup_{\mathbb{R}^n} \{ \beta(x) : \text{grad } \beta(x) = 0 \}$ .

*Proof.* — Since  $W_k$  is finite dimensional,  $S_k^r$  is compact for every  $r > 0$ . Then there exists  $x_r \in S_k^r$  such that

$$\mu(r) := \left[ \int_0^1 \sigma(x_r) ds \right]^{-1} = \min \left\{ \left[ \int_0^1 \sigma(x) ds \right]^{-1} : x \in S_k^r \right\}. \tag{5.22}$$

We claim that

$$\lim_{r \rightarrow +\infty} \mu(r) = d_0 > c_0, \tag{5.23}$$

where  $d_0 = \sup_{\mathbb{R}^n} \beta$ .

Obviously we have

$$\mu(r) \leq d_0, \quad \forall r > 0.$$

Then, if by contradiction (5.23) does not hold, there exists  $r_n \rightarrow +\infty$  as  $n \rightarrow +\infty$ , such that

$$\lim_{n \rightarrow +\infty} \mu(r_n) < d_0. \tag{5.24}$$

For every  $n \in \mathbb{N}$  consider  $\lambda_n \in \mathbb{R}^+$  and  $x_n \in S'_k$  (i.e.  $x_n \in W_k$  and  $\|x_n\|_{W^1} = 1$ ) such that

$$\lambda_n x_n = x_{r_n},$$

where  $x_{r_n}$  is defined in (5.22) and

$$\lambda_n = \|x_{r_n}\|_{W^1} = r_n \rightarrow +\infty.$$

By (5.24) we get

$$\lim_{n \rightarrow +\infty} \int_0^1 \frac{1}{\beta(\lambda_n x_n(s))} ds > \frac{1}{d_0}.$$

Then there exists  $\varepsilon_0 > 0$  such that for every  $n \in \mathbb{N}$

$$\text{meas} \left\{ s \in [0, 1] : \frac{1}{\beta(\lambda_n x_n(s))} > \frac{1}{d_0 - \varepsilon_0} \right\} > \varepsilon_0, \tag{5.25}$$

where meas denote the Lebesgue measure.

Let

$$A_n = \left\{ s \in [0, 1] : \frac{1}{\beta(\lambda_n x_n(s))} > \frac{1}{d_0 - \varepsilon_0} \right\}, \tag{5.26}$$

and  $R > 0$  such that

$$\beta(x) > d_0 - \varepsilon_0 \quad \text{for every } x \text{ such that } |x| \geq R.$$

Then by virtue of (5.26) we have that

$$\forall s \in A_n, \quad \lambda_n |x_n(s)| < R. \tag{5.27}$$

Now  $x_n$  is a bounded sequence of curves included in  $W_k$ , hence, up to consider a subsequence,  $x_n$  is uniformly convergent to a continuous curve  $\bar{x}$  such that

$$\|\bar{x}\|_{W^1} = 1. \tag{5.28}$$

Moreover by (5.25), (5.26) and (5.27) the function

$$s \mapsto |\bar{x}(s)|^2 \tag{5.29}$$

vanishes in a subset of  $[0, 1]$  having Lebesgue measure greater than  $\varepsilon_0$ .

On the other hand the space  $W_k$  consists of analytic curves, so the function (5.29) is an analytic function. Now the locus of zeros of an

analytic functions which is not identically zero is a discrete set. Then  $\bar{x}(s)=0$  for every  $s \in [0, 1]$  and this contradicts (5.28).

(5.23) is so proved.

Now we have, for any  $x \in S_k^*$ ,

$$I(x) \geq \mu(r) - \frac{1}{T^2} \int_0^1 \langle \alpha(x) \dot{x}, \dot{x} \rangle ds \geq \mu(r) - \frac{K r^2}{T^2}, \quad (5.30)$$

where  $K = \sup_{\mathbb{R}^n} \{ \|\alpha(x)\|_{L^\infty} : x \in W^1 \} < +\infty$  because of (1.9).

At this point we choose  $r=r(k)$  and  $\delta=\delta(k)>0$  such that

$$\mu(r) = c_0 + \delta > I(0),$$

and, because of (5.30), we can choose  $\bar{T}$  sufficiently large in order to get (5.21). ■

LEMMA 5.4. — *Under the assumptions (1.9), (1.10) and (1.11)*

$$\sup I(\tilde{W}) < d_0$$

where  $\tilde{W}$  is defined in (5.19) and  $d_0 = \sup_{\mathbb{R}^n} \beta$ .

*Proof.* — Let  $x \in \tilde{W}$ . Obviously  $I(x) \leq d_0$ . Arguing by contradiction assume that

$$\sup I(\tilde{W}) = d_0. \quad (5.31)$$

Then there exists a sequence  $\{x_n\} \subset \tilde{W}$  such that

$$\int_0^1 \langle \alpha(x_n) \dot{x}_n, \dot{x}_n \rangle ds \rightarrow 0, \quad \text{and} \quad \left[ \int_0^1 \sigma(x_n) ds \right]^{-1} \rightarrow d_0 \quad (5.32)$$

as  $n \rightarrow +\infty$ . Then, by (1.9),  $\|\dot{x}_n\|_{L^2} \rightarrow 0$  as  $n \rightarrow +\infty$  and, since  $x_n \in \tilde{W}$ ,  $\forall n$ , we deduce that

$$\|x_n\|_{W^1} \rightarrow 0 \quad \text{as } n \rightarrow +\infty.$$

Consequently  $\|x_n\|_{L^\infty} \rightarrow 0$  as  $n \rightarrow +\infty$ . Then we have

$$\left[ \int_0^1 \sigma(x_n) ds \right]^{-1} \rightarrow \beta(0) < d_0$$

and this contradicts (5.32). ■

Now are ready to prove Theorem 1.6.

*Proof of Theorem 1.6.* — We have already observed that it is enough to study the critical point of  $I$ . To this aim we show that  $I$  satisfies assumptions  $(I_1)$ ,  $(I_2)$ ,  $(I_3)$ ,  $(I_4)$  in Theorem 5.1.

Clearly  $I$  satisfies  $I_1$ . By virtue of lemma 5.2 also  $(I_2)$  is satisfied with  $\mu = d_0$ .

Now let  $m \in \mathbb{N}$  and set

$$k = \left[ \frac{m}{n} \right] + 1, \tag{5.33}$$

where  $\left[ \frac{m}{n} \right]$  denotes the greatest integer less or equal than  $\frac{m}{n}$ .

By Lemmas 5.3 and 5.4 we obtain that if  $T \geq \bar{T}$  (cf. Lemma 5.3),  $I$  satisfies assumption  $(I_3)$  with  $E_1 = W_k, E_2 = \bar{W}, \lambda = c_0 + \delta, \partial B = S_k^*$ .

Moreover by (1.11) also  $I_4$  is satisfied, because a constant curve  $x$  is a critical point for  $I$  iff  $\text{grad } \beta(x) = 0$ , so  $I(x) \leq c_0$ .

Since  $\text{codim}(W_k + \bar{W}) = 0$  and  $\dim(W_k \cap \bar{W}) = 2nk$ , we obtain, by using theorem 5.1, that, if  $T \geq \bar{T}$ ,  $I$  possesses at least

$$nk = \left( \left[ \frac{m}{n} \right] + 1 \right) n \geq m$$

critical points  $x_j (j=1, \dots, nk)$ .

By Theorem 1.5 we get also

$$c_0 < I(x_j) < d_0, \tag{5.34}$$

so every  $x_j$  is not constant. Moreover, if we denote by  $\gamma_j = (x_j, t_j)$  the  $T$ -periodic trajectory such that  $t_j$  satisfies (2.11), and by  $E_{\gamma_j}$  its energy [cf. (1.4)], we have [by (2.6)],

$$E_{\gamma_j} = -T^2 I(x_j); \tag{5.35}$$

and from (5.34) and (5.35) we get

$$-d_0 T^2 < E_{\gamma_j} < -c_0 T^2, \quad \forall j = 1, \dots, nk.$$

Finally, as in Theorem (1.5) we see that the  $T$ -periodic trajectories  $\gamma_j$  are geometrically distinct. ■

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