Vol. 16, nº 1, 1999, p. 107-135

# Multibump solutions for a class of Lagrangian systems slowly oscillating at infinity

by

Francesca ALESSIO

Dipartimento di Matematica del Politecnico di Torino, Corso Duca degli Abruzzi, 24, I-10129 Torino, e-mail alessio@dm.unito.it

and

#### Piero MONTECCHIARI

Dipartimento di Matematica dell'Università di Trieste, Piazzale Europa, 1, I-34100 Trieste, e-mail montec@univ.trieste.it

ABSTRACT. – We prove the existence of infinitely many homoclinic solutions for a class of second order hamiltonian systems of the form  $-\ddot{u} + u = \alpha(t)\nabla W(u)$  where W is superquadratic and  $\dot{\alpha}(t) \rightarrow 0$ ,  $0 < \liminf \alpha(t) < \limsup \alpha(t)$  as  $t \rightarrow +\infty$ . In fact we prove that such a kind of systems admit a "multibump" dynamics.

© 1999 L'Association Publications de l'Institut Henri Poincaré. Published by Elsevier B.V. All rights reserved

Key words: Lagrangian systems, homoclinic orbits, multibump solutions, minimax arguments.

RÉSUMÉ. – On montre l'existence d'une infinité de solutions homoclines d'une classe de systèmes hamiltoniens du second ordre de la forme  $-\ddot{u} + u = \alpha(t)\nabla W(u)$  où W est superquadratique et  $\dot{\alpha}(t) \rightarrow 0$ ,  $0 < \liminf \alpha(t) < \limsup \alpha(t)$  quand  $t \rightarrow +\infty$ . On montre en particulier que cette famille des systèmes admet une dynamique "multi-bosses". © 1999 L'Association Publications de l'Institut Henri Poincaré. Published by Elsevier B.V. All rights reserved

Classification A.M.S. : 58 E 05, 34 C 37, 58 F 15, 70 H 05.

Annales de l'Institut Henri Poincaré - Analyse non linéaire - 0294-1449 Vol. 16/99/01/

© 1999 L'Association Publications de l'Institut Henri Poincaré. Published by Elsevier B.V. All rights reserved

# 1. INTRODUCTION

In this paper we consider the class of Lagrangian systems

$$-\ddot{u} + u = \alpha(t)\nabla W(u), \quad t \in \mathbf{R}, \ u \in \mathbf{R}^N, \tag{L}$$

where we assume

- $(H_1) \ \alpha \in \mathcal{C}^1(\mathbf{R}, \mathbf{R}), \ W \in \mathcal{C}^2(\mathbf{R}^N, \mathbf{R}),$
- $(H_2)$  there exists  $\theta > 2$  such that  $0 < \theta W(x) \le \nabla W(x)x$  for any  $x \in \mathbf{R}^N \setminus \{0\},$
- (H<sub>3</sub>)  $\nabla W(x)x < \nabla^2 W(x)xx$  for any  $x \in \mathbf{R}^N \setminus \{0\}$ ,
- $(H_4)$  there exist  $\overline{a}$  and  $\underline{a} > 0$  such that  $\overline{a} \ge \alpha(t) \ge \underline{a}$  for any  $t \in \mathbf{R}$ ,
- $(H_5) \ \underline{\alpha} = \liminf_{t \to +\infty} \alpha(t) < \lim \sup_{t \to +\infty} \alpha(t) = \overline{\alpha} \text{ and } \lim_{t \to +\infty} \dot{\alpha}(t) = 0.$

By  $(H_2)$  it follows in particular that  $\nabla^2 W(0) = 0$  and therefore that the origin in the phase space is a hyperbolic rest point for (L). We look for homoclinic solutions to the origin, i.e. solutions u of (L) such that  $u(t) \to 0$  and  $\dot{u}(t) \to 0$  as  $|t| \to \infty$ .

In the recent years, starting with [7], [12] and [23], the homoclinic problem for Hamiltonian systems has been tackled via variational methods by several authors. The variational approach has permitted to study systems with different time dependence of the Hamiltonian. We mention [7], [12], [23], [17], [27], [14], [28], [5], [20], [9], [8], [11], [25], [22] for the periodic and asymptotically periodic case, [6], [29], [13], [24], [21] for the almost periodic and recurrent case.

In these papers different existence and multiplicity results are obtained. Starting from [28], the variational methods have been used to prove shadowing like lemmas and consequently to show the existence of a class of solutions, called multibump solutions, whose presence displays a chaotic dynamics. Such results are always proved assuming some nondegeneracy conditions on the set of "generating" homoclinic solutions which are in general difficult to check. However we quote [5], [8], [11], [25] and [22] where the existence of a multibump dynamics is proved under conditions more general than the classical assumption of transversality between the stable and unstable manifolds to the origin (see e.g. [30]).

In this paper we consider a time behaviour of the Lagrangian different from the ones considered in the papers mentioned above (we refer to [1] for a first study of this kind of systems). This assumption allows us to prove the existence of a multibump dynamics without any others conditions. In fact we prove THEOREM 1.1. – If  $(H_1) - (H_5)$  hold then (L) admits infinitely many multibump solutions. More precisely there exists  $\overline{\delta} > 0$ , a sequence of disjoint intervals  $(Q_j)$  in  $\mathbf{R}^+$  with  $|Q_j| \to +\infty$  and an increasing sequence of indices  $(\hat{j}_n)$  such that given any increasing sequence of indices  $(j_n)$  with  $j_i \ge \hat{j}_i$   $(i \in \mathbf{N})$  and  $\sigma \in \{0, 1\}^{\mathbf{N}}$  there exists  $u_{j,\sigma} \in C^2(\mathbf{R}, \mathbf{R}^N)$  solution of (L) verifying:

- (i)  $|u_{j,\sigma}(t)| < \frac{\delta}{2}$  for all  $t \in \mathbf{R} \setminus \bigcup_{\{i \mid \sigma_i=1\}} Q_{j_i}$ ,
- (ii)  $||u_{j,\sigma}||_{L^{\infty}(Q_{j_i})} \geq \overline{\delta}$  if  $\sigma_i = 1$ .

In addition  $u_{i,\sigma}$  is a homoclinic solution of (L) whenever  $\sigma_i = 0$  definitively.

Our proof use variational techniques and it is based on a localization procedure related to the time dependence of the Lagrangian. In fact we note that even if the action functional satisfies the geometrical assumptions of the Mountain Pass Theorem, there are simple cases in which there are not Palais Smale convergent sequences at the mountain pass level. However, thanks to the slow oscillations of the Lagrangian at  $+\infty$ , we can use localized mountain pass classes related to the mountain pass classes of the limit problems at  $+\infty$ . The use of this localization procedure with a careful analysis of the compactness properties of the action functional give rise to the existence of infinitely many homoclinic solutions. These solutions turn out to be well characterized from the variational point of view and in a certain sense non degenerate. Then to prove theorem 1.1 we can use a product minimax construction somewhat related to the ones used in [28] and [10].

Finally we point out that our construction is possible since the "masses" of the solutions of (L) concentrate in a suitable sense with respect to the slow oscillations of the Lagrangian. In very recent papers, see [2], [15] and [16], it is studied the problem of existence and multiplicity of semiclassical states for nonlinear Schrödinger equations where analogous concentration phenomena occur. In fact with minor changes our proof can be adapted to study also this class of equations.

The current paper is organized as follows. In sections 2 and 3 we state some preliminary results. In section 4 we define the localized minimax classes which we use to prove the existence of infinitely many one-bump homoclinic solutions. The proof of Theorem 1.1 is contained in section 5.

# 2. VARIATIONAL SETTING AND PRELIMINARY RESULTS

We look for homoclinic solutions of (L) as critical points of the action functional

$$\varphi(u) = \frac{1}{2} \|u\|^2 - \int_{\mathbf{R}} \alpha(t) W(u) dt,$$

defined on the Sobolev space  $X = H^1(\mathbf{R}, \mathbf{R}^N)$  endowed with the scalar product  $\langle u, v \rangle = \int_{\mathbf{R}} (\dot{u}\dot{v} + uv)dt$  and the Euclidean norm  $||u|| = \langle u, u \rangle^{\frac{1}{2}}$ . In fact it is standard to check that  $\varphi \in C^2(X, \mathbf{R})$  and

$$\varphi'(u)v = \langle u, v \rangle - \int_{\mathbf{R}} \alpha(t) \nabla W(u)v dt, \quad \forall u, v \in X$$

so that the critical points of  $\varphi$  are weak and then classical homoclinic solutions of (L) (see e.g. [23]).

In the sequel we will collect some preliminary properties of  $\varphi$  that are standard in almost every paper on homoclinic solutions via variational methods.

First note that the origin in X is a strict local minimum for the functional  $\varphi$ . Indeed by  $(H_2)$  there results  $\nabla^2 W(0) = 0$  and so, since  $\alpha$  is bounded, we can fix  $\overline{\delta} > 0$  such that  $|\alpha(t)\nabla^2 W(x)| \leq \frac{1}{4}$  for all  $t \in \mathbf{R}$  and  $x \in \mathbf{R}^N$  with  $|x| \leq \overline{\delta}$ . In particular this implies that  $|\alpha(t)\nabla W(x)| \leq \frac{1}{4}|x|$  and  $|\alpha(t)W(x)| \leq \frac{1}{8}|x|^2$  for all  $t \in \mathbf{R}$  and  $x \in \mathbf{R}^N$  with  $|x| \leq \overline{\delta}$ . Then we obtain

LEMMA 2.1. – If 
$$||u||_{L^{\infty}} \leq \overline{\delta}$$
 then  $\varphi(u) \geq \frac{1}{4} ||u||^2$  and  $\varphi'(u)u \geq \frac{1}{2} ||u||^2$ 

By the Sobolev Immersion Theorem we can fix  $\bar{r} > 0$  such that if I is an interval in **R** with  $|I| \ge 1$  (where |I| denotes the length of I) then

if 
$$||u||_{I} < \bar{r}$$
 then  $||u||_{L^{\infty}(I)} < \frac{\bar{\delta}}{2}$ , (2.1)

where  $||u||_{I}^{2} = \int_{I} (|\dot{u}|^{2} + |u|^{2}) dt$ . We denote  $r_{0} = \frac{\bar{r}}{16}$ .

The functional  $\varphi$  does not satisfy the Palais Smale condition. However, thanks to  $(H_2)$ , we have that

$$\left(\frac{1}{2} - \frac{1}{\theta}\right) \|u\|^2 \le \varphi(u) - \frac{1}{\theta}\varphi'(u)u, \quad \forall u \in X.$$
(2.2)

Therefore if  $(u_n)$  is a Palais Smale (*PS* for short) sequence for  $\varphi$  at level b, i.e.  $\varphi(u_n) \to b$  and  $\varphi'(u_n) \to 0$ , then  $(u_n)$  is bounded in X. Furthermore, by Lemma 2.1, if  $(u_n)$  is a *PS* sequence and  $||u_n|| \leq \bar{r}$  then  $u_n \to 0$  in X. By (2.2) this implies:

LEMMA 2.2. – If  $(u_n)$  is a PS sequence for  $\varphi$  at level b then either b = 0or  $b \ge \overline{\lambda}$ , where  $\overline{\lambda} = (\frac{1}{2} - \frac{1}{\theta})\overline{r}^2$ . Moreover if b = 0 then  $u_n \to 0$ .

We recall that  $\varphi' : X \to X$  is weakly continuous. Moreover, setting  $\mathcal{K} = \{u \in X \setminus \{0\} \mid \varphi'(u) = 0\}$ , arguing as in [14] we obtain:

LEMMA 2.3. – If  $(u_n)$  is a PS sequence for  $\varphi$  at level b then there exists  $v \in \mathcal{K} \cup \{0\}$  such that up to a subsequence  $u_n \rightarrow v$  weakly in X. Moreover  $(u_n - v)$  is a PS sequence for  $\varphi$  at level  $b - \varphi(v)$ .

By Lemma 2.1, in the spirit of concentration compactness Lemma ([18]) it can be proved that we lose compactness of those PS sequences  $(u_n)$  which carry "mass at infinity", in the sense that there exists a sequence  $(t_n)$  in **R** such that  $|t_n| \to \infty$  and  $\liminf_{n \to \infty} |u_n(t_n)| \ge \overline{\delta}$ .

In order to well describe the behaviour of these PS sequences, and therefore to obtain compactness results, it is useful to introduce the function  $T^+: X \to \mathbf{R} \cup \{-\infty\}$  given by:

$$T^{+}(u) = \begin{cases} \sup\{t \in \mathbf{R} \mid |u(t)| \ge \bar{\delta}\}, & \text{if } \|u\|_{L^{\infty}} \ge \bar{\delta}, \\ -\infty, & \text{otherwise.} \end{cases}$$

This function is not continuous in X but the following property holds (see e.g. [22]):

LEMMA 2.4. – If  $(u_n)$  is a *PS* sequence and  $(T^+(u_n))$  is bounded in **R** then, up to a subsequence,  $u_n \rightarrow v \in \mathcal{K}$  weakly in X and  $T^+(u_n) \rightarrow T^+(v)$ .

# 3. PROBLEMS "AT INFINITY" AND RELATED COMPACTNESS PROPERTIES

In this section we will investigate the lack of compactness of those PS sequences which carry mass at  $+\infty$ , more precisely PS sequences  $(u_n)$  such that  $T^+(u_n) \rightarrow +\infty$ . First we note that by  $(H_5)$  such kind of sequences can be characterized in terms of the limit autonomous problems at  $+\infty$  associated to (L). More precisely, given  $\beta \in [\underline{\alpha}, \overline{\alpha}]$  and considered the functional

$$\varphi_{\beta}(u) = \frac{1}{2} ||u||^2 - \beta \int_{\mathbf{R}} W(u) dt, \quad \forall u \in X,$$

we have that if  $(u_n)$  is a *PS* sequence with  $T^+(u_n) \to +\infty$  then, up to a subsequence,  $u_n(\cdot + T^+(u_n)) \to v_\beta$  weakly in *X* where  $v_\beta$  is a critical point for  $\varphi_\beta$ , for some  $\beta \in [\underline{\alpha}, \overline{\alpha}]$ .

We recall some properties of the functionals  $\varphi_{\beta}$ .

First note that all the functionals  $\varphi_{\beta}$ , as the functional  $\varphi$ , satisfy by  $(H_2)$ and  $(H_4)$  the geometric assumptions of the Mountain Pass Theorem. Then, setting  $\Gamma_{\beta} = \{\gamma \in C^0([0, 1], X) \mid \gamma(0) = 0, \varphi_{\beta}(\gamma(1)) < 0\}$ , we have

$$c_{\beta} = \inf_{\gamma \in \Gamma_{\beta}} \sup_{s \in [0,1]} \varphi_{\beta}(\gamma(s)) > 0.$$

We remark that  $c_{\beta}$  is a critical level for  $\varphi_{\beta}$  (see e.g. [3] and [26]). Moreover, by  $(H_3)$ , given  $v_{\beta} \in \mathcal{K}_{\beta} = \{u \in X \setminus \{0\} \mid \varphi'_{\beta}(u) = 0\}$  and  $s_0 \in \mathbf{R}$  such that  $\varphi_{\beta}(s_0v_{\beta}) < 0$ , if we define  $\gamma_{\beta}(s) = ss_0v_{\beta}$  for all  $s \in [0, 1]$  then we have

LEMMA 3.1. – For any  $v_{\beta} \in \mathcal{K}_{\beta}$  there results  $\gamma_{\beta} \in \Gamma_{\beta}$  and

- (i)  $\max_{s \in [0,1]} \varphi_{\beta}(\gamma_{\beta}(s)) = \varphi_{\beta}(v_{\beta}),$
- (ii) for any r > 0 there exists  $h_r > 0$  such that if  $\gamma_\beta(s) \in X \setminus B_r(v_\beta)$ then  $\varphi_\beta(\gamma_\beta(s)) < \varphi_\beta(v_\beta) - h_r$ ,

where  $B_r(u) = \{v \in X \mid ||v - u|| < r\}.$ 

In particular it follows that the critical points of  $\varphi_{\beta}$  at the level  $c_{\beta}$  are mountain pass critical points of  $\varphi_{\beta}$ . Moreover we have

LEMMA 3.2. – For any  $\beta \in [\underline{\alpha}, \overline{\alpha}]$  there results  $c_{\beta} = \min_{u \in \mathcal{K}_{\beta}} \varphi_{\beta}(u)$ .

As shown in [1] it is easy to see that the function  $\beta \to c_{\beta}$  is strictly monotone. More precisely:

LEMMA 3.3. – If  $\beta_1 < \beta_2$  then  $c_{\beta_1} > c_{\beta_2}$ . In particular we have

In particular we have

$$c_{\overline{\alpha}} = \min_{\beta \in [\underline{\alpha}, \overline{\alpha}]} c_{\beta} = \min_{\beta \in [\underline{\alpha}, \overline{\alpha}]} \min_{u \in \mathcal{K}_{\beta}} \varphi_{\beta}(u).$$
(3.1)

Finally note that the functionals  $\varphi_{\beta}$  are invariant under traslations, i.e.  $\varphi_{\beta}(u) = \varphi_{\beta}(u(\cdot + \tau))$  and  $\|\varphi_{\beta}'(u)\| = \|\varphi_{\beta}'(u(\cdot + \tau))\|$  for all  $u \in X$  and  $\tau \in \mathbf{R}$ .

Using arguments similar to the ones used in [1] to characterize the asymptotic behaviour of the *PS* sequences (see also [21]), it can be proved the following result:

LEMMA 3.4. – Let  $(u_n)$  be a PS sequence for  $\varphi$  at level b with  $T^+(u_n) \to +\infty$ . Then there exist  $\beta \in [\underline{\alpha}, \overline{\alpha}]$  and  $v_\beta \in \mathcal{K}_\beta$  such that, up to a subsequence, there results:

(i) 
$$\alpha(T^+(u_n)) \rightarrow \beta$$
 and

(ii) 
$$u_n(\cdot + T^+(u_n)) \rightarrow v_\beta$$
 weakly in X.

Moreover  $(u_n - v_\beta(\cdot - T^+(u_n)))$  is a PS sequence for  $\varphi$  at level  $b - \varphi_\beta(v_\beta)$ . Using Lemma 3.4 and (3.1) we obtain:

LEMMA 3.5. – For any h > 0 there exists T > 0 such that if  $(u_n)$  is a *PS* sequence for  $\varphi$  at level b > 0 with  $T^+(u_n) \ge T$  for all  $n \in \mathbb{N}$  then  $b \ge c_{\overline{\alpha}} - h$ .

*Proof.* – Arguing by contradiction, suppose that there exist h > 0 and a PS sequence  $(u_n)$  for  $\varphi$  with  $T^+(u_n) \to +\infty$  at level b less than  $c_{\overline{\alpha}} - h$ .

By Lemma 3.4, we have that there exist  $\beta \in [\underline{\alpha}, \overline{\alpha}]$  and  $v_{\beta} \in \mathcal{K}_{\beta}$  such that, up to a subsequence,  $u_n(\cdot + T^+(u_n)) \rightarrow v_{\beta}$  and  $(u_n - v_{\beta}(\cdot - T^+(u_n)))$  is a *PS* sequence for  $\varphi$  at level  $b - \varphi_{\beta}(v_{\beta})$ . By ((3.1)) we have  $\varphi_{\beta}(v_{\beta}) \ge c_{\overline{\alpha}}$ and then  $b - \varphi_{\beta}(v_{\beta}) \le b - c_{\overline{\alpha}} \le -h$  in contradiction with Lemma 2.2.

Using the previous results we obtain the following compactness property for  $\varphi$ .

LEMMA 3.6. – There exist  $h_0 > 0$  and  $T_0 > 0$  such that for any PS sequence  $(u_n)$  for  $\varphi$  at level b strictly less than  $c_{\overline{\alpha}} + h_0$  with  $T^+(u_n) \ge T_0$  we have:

- (i) if  $(T^+(u_n))$  is unbounded then there exist  $\beta \in [\underline{\alpha}, \overline{\alpha}]$  and  $v_{\beta} \in \mathcal{K}_{\beta}$ such that, up to a subsequence,  $\alpha(T^+(u_n)) \to \beta$ ,  $u_n(\cdot + T^+(u_n)) \to v_{\beta}$  strongly in X and  $b = \varphi_{\beta}(v_{\beta})$ ,
- (ii) if  $(T^+(u_n))$  is bounded then there exists  $v \in \mathcal{K}$  such that, up to a subsequence,  $u_n \to v$  strongly in X.

*Proof.* – Fix  $h_0 \in (0, \frac{\lambda}{2})$ , where  $\overline{\lambda}$  is given in Lemma 2.2. Corresponding to this value  $h_0$  fix  $T_0 > 0$  using Lemma 3.5.

To prove (i) suppose that  $T^+(u_n) \to +\infty$ . Then by Lemma 3.4 we have that there exist  $\beta \in [\underline{\alpha}, \overline{\alpha}]$  and  $v_{\beta} \in \mathcal{K}_{\beta}$  such that, up to a subsequence,  $u_n(\cdot + T^+(u_n)) \to v_{\beta}$ . Moreover setting  $v_n = u_n - v_{\beta}(\cdot - T^+(u_n))$  we have that  $(v_n)$  is a *PS* sequence for  $\varphi$  at level  $b - \varphi_{\beta}(v_{\beta})$ . By (3.1) we have

$$b - \varphi_{\beta}(v_{\beta}) \le b - c_{\overline{\alpha}} < h_0$$

and therefore by the choice of  $h_0$  and Lemma 2.2 we obtain  $v_n \to 0$ strongly in X and  $b - \varphi_\beta(v_\beta) = 0$ , i.e.  $u_n(\cdot + T^+(u_n)) \to v_\beta$  and (i) holds.

To prove (ii) suppose that  $(T^+(u_n))$  is bounded and  $T^+(u_n) \ge T_0$  for all  $n \in \mathbb{N}$ . Then by Lemmas 2.3 and 2.4 we have that, up to a subsequence,  $u_n \rightarrow v \in \mathcal{K}, T^+(v) \ge T_0$  and  $(u_n - v)$  is a *PS* sequence at level  $b - \varphi(v)$ . Lemma 3.5 in particular implies that  $\varphi(v) \ge c_{\overline{\alpha}} - h_0$ . Then, by the choice of  $h_0$ , we have

$$b - \varphi(v) \le b - c_{\overline{\alpha}} + h_0 < 2h_0 < \overline{\lambda}$$

and therefore by Lemma 2.2 we obtain  $u_n \to v$  strongly in X.

In particular the following result holds.

LEMMA 3.7. – There exist  $\nu_0 > 0$  and  $R_0 > 0$  such that for all  $u \in X$  with  $\|\varphi'(u)\| < \nu_0$ ,  $T^+(u) \ge T_0$  and  $\varphi(u) < c_{\overline{\alpha}} + h_0$  we have

$$||u||_{|t-T^+(u)|>R_0} < r_0.$$

*Proof.* – Arguing by contradiction suppose that there exists a PS sequence  $(u_n)$  in X such that  $\varphi(u_n) < c_{\overline{\alpha}} + h_0$ ,  $T^+(u_n) \ge T_0$  and there exists a sequence  $(R_n) \subset \mathbf{R}$  such that  $R_n \to +\infty$  and

$$||u_n||_{|t-T^+(u_n)|>R_n} \ge r_0.$$

This is impossible since Lemma 3.6 implies that, up to a subsequence,  $u_n(\cdot + T^+(u_n)) \to v$  in X and then  $||u_n(\cdot + T^+(u_n))||_{|t|>R_n} \to 0$ .

*Remark* 3.1. – By (3.2) we can fix  $M_0 > 0$  such that if  $\varphi(u) < c_{\overline{\alpha}} + h_0$  and  $\|\varphi'(u)\| < \nu_0$  then  $\|u\| < M_0$ , where  $h_0$  and  $\nu_0$  are given in Lemma 3.6 and Lemma 3.7.

#### 4. EXISTENCE OF INFINITELY MANY ONE BUMP SOLUTIONS

In this section we will prove the existence of infinitely many critical points for  $\varphi$ . Using assumption  $(H_5)$  and Lemma 3.7 we will select infinitely many regions in X in which the functional  $\varphi$  is close to  $\varphi_{\overline{\alpha}}$  and in which we will look for critical points of  $\varphi$  near critical points of  $\varphi_{\overline{\alpha}}$ .

First of all we need to state some preliminary properties of the functional  $\varphi$  which are essentially due to  $(H_5)$ .

*Remark* 4.1. – Note that by  $(H_5)$  we can select a sequence of intervals in which  $\alpha(t)$  is close to  $\overline{\alpha}$ . More precisely, fixed  $\varepsilon_0 \in (0, \frac{\overline{\alpha}-\underline{\alpha}}{2})$  and any sequence  $\varepsilon_j \to 0$  there exists a sequence  $(\tau_j)$  in **R** such that  $\tau_j \to +\infty$ and  $\alpha(\tau_j) \to \overline{\alpha}$  as  $j \to \infty$ . Moreover there exist  $(\tau_j^{\pm})$  and  $(\sigma_j^{\pm})$  sequences in **R** such that for all  $j \in \mathbf{N}$  there results:

- (i)  $\sigma_j^- < \tau_j^- < \tau_j < \tau_j^+ < \sigma_j^+$  and  $\tau_j^\pm \to +\infty$ ,  $\sigma_j^\pm \to +\infty$ ,  $\tau_j^+ - \tau_j^- \to +\infty$ ,  $0 < \sigma_{j+1}^- - \sigma_j^+ \to +\infty$  and  $|\sigma_j^\pm - \tau_j^\pm| \to +\infty$  as  $j \to \infty$ ;
- (*ii*)  $\alpha(t) \leq \overline{\alpha} + \varepsilon_j$  for all  $t \in [\sigma_j^-, \sigma_j^+]$ ;
- (*iii*)  $\alpha(t) \leq \overline{\alpha} \varepsilon_0$  for all  $t \in [\sigma_j^-, \tau_j^-] \cup [\tau_j^+, \sigma_j^+]$ .

In the sequel we will denote  $P_j = [\sigma_j^-, \sigma_j^+]$  and  $Q_j = [\tau_j^-, \tau_j^+]$ .

Moreover, considered  $T_0$  and  $\dot{R}_0$  given in Lemmas 3.6 and 3.7 respectively, since  $\dot{\alpha}(t) \to 0$  as  $t \to +\infty$ , we have that there exists  $j_0 \in \mathbf{N}$  such that for all  $j \ge j_0$  we have  $\sigma_j^- \ge T_0$  and  $\alpha(t) \le \overline{\alpha} - \frac{\varepsilon_0}{2}$  for all  $t \in [\sigma_j^- - R_0, \tau_j^- + R_0] \cup [\tau_j^+ - R_0, \sigma_j^+ + R_0]$ .

Given any h > 0 and  $\nu > 0$ , for all  $j \in \mathbf{N}$  define

$$\mathcal{A}_j(h,\nu) = \{ u \in X \mid \varphi(u) \le c_{\overline{\alpha}} + h, \ \|\varphi'(u)\| < \nu \text{ and } T^+(u) \in Q_j \}.$$

Then, using Lemma 3.7 we obtain

LEMMA 4.1. – There exist  $\overline{h} \in (0, h_0)$ ,  $\overline{\nu} \in (0, \nu_0)$  and  $\overline{j} \geq j_0$  such that if  $u \in \mathcal{A}_j(\overline{h}, \overline{\nu})$  for some  $j \geq \overline{j}$  then  $||u||_{\mathbf{R}\setminus Q_j} < r_0$ . In particular  $||u||_{L^{\infty}(\mathbf{R}\setminus Q_j)} < \frac{\overline{\delta}}{2}$ .

*Proof.* – Arguing by contradiction, suppose that there exist  $h_n \to 0$ ,  $\nu_n \to 0$ ,  $j_n \to \infty$  and  $u_n \in \mathcal{A}_{j_n}(h_n, \nu_n)$  such that

$$\|u_n\|_{\mathbf{R}\setminus Q_{i_n}} \ge r_0 \quad \forall n \in \mathbf{N}.$$

$$(4.1)$$

Then in particular  $(u_n)$  is a *PS* sequence for  $\varphi$  at level less than or equal to  $c_{\overline{\alpha}}$  with  $T^+(u_n) \to +\infty$ . By Lemma 3.7 and (4.1), since  $T^+(u_n) \in Q_{j_n}$ , we have

$$\inf\{|T^+(u_n) - t| \mid t \in P_{j_n} \setminus Q_{j_n}\} < R_0.$$

Therefore by Remark 4.1, up to a subsequence, we have  $\alpha(T^+(u_n)) \rightarrow \beta \in [\underline{\alpha}, \overline{\alpha} - \frac{\varepsilon_0}{2}]$  and, by Lemma 3.6 (i),  $u_n(\cdot + T^+(u_n)) \rightarrow v_\beta \in \mathcal{K}_\beta$ . Then, by Lemma 3.3,  $\varphi(u_n) \rightarrow \varphi_\beta(v_\beta) \geq c_\beta > c_{\overline{\alpha}}$ , a contradiction.

In particular, by (2.1), we obtain that  $||u||_{L^{\infty}(\mathbf{R}\setminus Q_i)} < \frac{\overline{\delta}}{2}$ .

From now on we will denote  $\mathcal{A}_j = \mathcal{A}_j(\bar{h}, \bar{\nu})$ . Note that it is not restrictive to assume  $\bar{\nu} < \frac{\bar{r}}{2}$ . Then, setting  $B_{\bar{r}}(\mathcal{A}_j) = \{u \in X \mid \inf_{v \in \mathcal{A}_j} ||u - v|| < \bar{r}\}$ , we have

LEMMA 4.2. – If  $u \in B_{\overline{r}}(\mathcal{A}_j) \setminus \mathcal{A}_j$  for some  $j \geq \overline{j}$  and  $\varphi(u) \leq c_{\overline{\alpha}} + \overline{h}$  then

$$\|\varphi'(u)\| \geq \overline{\nu}, \quad and \quad \|u\|_{L^{\infty}(\mathbf{R}\setminus Q_{j})} < \delta.$$

*Proof.* – By Lemma 4.1 if  $v \in A_j$  for some  $j \geq \overline{j}$  then  $|v(t)| < \frac{\delta}{2}$  for all  $t \notin Q_j$ . By the choice of  $\overline{r}$ , this implies that if  $u \in B_{\overline{r}}(A_j)$  then  $|u(t)| < \overline{\delta}$  for all  $t \notin Q_j$ . In particular it follows that either  $T^+(u) \in Q_j$  or  $T^+(u) = -\infty$ . In the first case if  $u \notin A_j$ , we get that  $||\varphi'(u)|| \geq \overline{\nu}$ , by definition of  $A_j$ . In the second case we have  $||u||_{\infty} < \overline{\delta}$  and then, by Lemma 2.1, we obtain  $||\varphi'(u)|| \geq \overline{1}/2 ||u||$ . This prove the lemma since if  $u \in B_{\overline{r}}(A_j)$  then  $||u|| \geq \overline{r} > 2\overline{\nu}$ . Indeed if  $v \in A_j$  then  $T^+(v) \in Q_j$  which implies  $||v||_{\infty} \geq \overline{\delta}$ . Then by (2.1) we get  $\inf_{A_j} ||v|| \geq 2\overline{r}$  from which  $\inf_{B_{\overline{r}}(A_j)} ||u|| \geq \overline{r}$ .

Now we introduce a sequence of mountain pass classes for  $\varphi$  "located" in  $\mathcal{A}_j$ . First we fix some notation.

Let  $\gamma_{\overline{\alpha}}$  be the mountain pass path for  $\varphi_{\overline{\alpha}}$  corresponding (as in Lemma 3.1) to some fixed critical point  $v_{\overline{\alpha}} \in \mathcal{K}_{\overline{\alpha}}$  with  $T^+(v_{\beta}) = 0$  and  $\varphi_{\beta}(v_{\beta}) = c_{\beta}$ .

In the sequel we will denote by  $\gamma_j$  the path given by  $\gamma_j(s) = \gamma_{\overline{\alpha}}(s)(\cdot - \tau_j)$  for all  $s \in [0, 1]$ , where  $(\tau_j)$  is the sequence given in Remark 4.1.

Remark 4.2. – Let  $M > 2M_0$  ( $M_0$  given in Remark 3.1) be such that  $M \ge 2||\gamma_{\overline{\alpha}}(s)||$  for all  $s \in [0, 1]$ . Since W is locally Lipschitz continuous, we can fix  $K_M > 0$  such that  $W(x) \le K_M |x|^2$  for all  $|x| \le M$ .

We define a sequence  $(\Gamma_j)$  of local mountain pass classes for  $\varphi$  and the corresponding sequence of mountain pass levels  $(c_j)$  by setting

$$\begin{split} \Gamma_j &= \{ \gamma \in \mathcal{C}^0([0,1],X) \mid \gamma(0) = 0, \ \varphi(\gamma(1)) < \frac{1}{2} \varphi_{\overline{\alpha}}(\gamma_{\overline{\alpha}}(1)), \\ &|\gamma(s)(t)| \leq \overline{\delta} \ \forall t \notin Q_j \text{ and } \|\gamma(s)\| \leq M \ \forall s \in [0,1] \} \end{split}$$

and

$$c_j = \inf_{\gamma \in \Gamma_j} \sup_{s \in [0,1]} \varphi(\gamma(s))$$

for all  $j \in \mathbf{N}$ , where  $\overline{\delta}$  is given by Lemma 2.1 and M by Remark 4.2.

By construction we obtain that the sequence  $(c_j)$  converges to the mountain pass level  $c_{\overline{\alpha}}$  for  $\varphi_{\overline{\alpha}}$ .

LEMMA 4.3. – There results  $\lim_{j\to\infty} c_j = c_{\overline{\alpha}}$  and in particular

$$\lim_{j \to \infty} \max_{s \in [0,1]} |\varphi(\gamma_j(s)) - \varphi_{\overline{\alpha}}(\gamma_j(s))| = 0.$$

*Proof.* – Let h > 0 be fixed.

By  $(H_2)$  there exists  $\delta_h \in (0, \overline{\delta})$  such that if  $||u|| \leq M$  then

$$\int_{\{t \in \mathbf{R} \mid |u(t)| \le \delta_h\}} W(u) dt \le \frac{h}{4a}$$
(4.2)

where  $a = \sup_{\mathbf{R}} \alpha(t)$ .

Moreover, since  $\gamma_{\overline{\alpha}}([0,1])$  is compact in X, there exists  $R_h > 0$  such that

$$\sup_{|t| \ge R_h} |\gamma_{\overline{\alpha}}(s)(t)| \le \delta_h, \quad \forall s \in [0, 1].$$
(4.3)

By Remark 4.1 there exists  $j_1 = j_1(h) \in \mathbb{N}$  such that for all  $j \ge j_1$  we have  $[\tau_j - R_h, \tau_j + R_h] \subset Q_j$  and

$$\sup_{t \in [\tau_j - R_h, \tau_j + R_h]} |\alpha(t) - \overline{\alpha}| < \frac{h}{2K_M M^2}.$$
(4.4)

Therefore for all  $j \ge j_1$  and  $s \in [0, 1]$ , using (4.2), (4.3), (4.4) and Remark 4.2 we obtain

$$\begin{aligned} |\varphi(\gamma_j(s)) - \varphi_{\overline{\alpha}}(\gamma_j(s))| &\leq \int_{|t-\tau_j| > R_h} |\overline{\alpha} - \alpha(t)| W(\gamma_j(s)) dt + \\ &+ \int_{|t-\tau_j| \leq R_h} |\overline{\alpha} - \alpha(t)| W(\gamma_j(s)) dt \leq h. \end{aligned}$$

Then in particular  $\varphi(\gamma_j(1)) \leq \varphi_{\overline{\alpha}}(\gamma_j(1)) + h < \frac{1}{2}\varphi_{\overline{\alpha}}(\gamma_{\overline{\alpha}}(1))$  if h is small enough. Hence by definition of  $\gamma_j$  and (4.3), we have  $\gamma_j \in \Gamma_j$  for all  $j \geq j_1$  and then

$$c_j \le \varphi(\gamma_j(s)) \le \varphi_{\overline{\alpha}}(\gamma_j(s)) + h, \quad \forall s \in [0, 1].$$

By definitions of  $\gamma_j$  this proves that  $c_j \leq c_{\overline{\alpha}} + h$  for all  $j \geq j_1$ .

Now to prove that definitively  $c_j \ge c_{\overline{\alpha}} - h$  we introduce the following minimum problem. Fixed any  $\tau \in \mathbf{R}$  and  $x \in \mathbf{R}^N$  such that  $|x| \le \overline{\delta}$ , we set  $\mathbf{R}_{\tau}^+ = [\tau, +\infty)$  and  $\mathbf{R}_{\tau}^- = (-\infty, \tau]$ . Define

$$\varphi_{\tau^{\pm}}(u) = \frac{1}{2} \|u\|_{H^{1}(\mathbf{R}^{\pm}_{\tau})}^{2} - \int_{\mathbf{R}^{\pm}_{\tau}} \alpha(t) W(u(t)) dt$$

and

$$\mathcal{U}_{\tau^{\pm},x} = \{ u \in H^1(\mathbf{R}_{\tau}^{\pm}) \mid u(\tau) = x, \ \|u\|_{L^{\infty}(\mathbf{R}_{\tau}^{\pm})} \le \bar{\delta} \}.$$

The minimum problem

$$\min\{\varphi_{\tau^{\pm}}(u) \mid u \in \mathcal{U}_{\tau^{\pm},x}\}$$

admits a unique solution  $u_{\tau^{\pm},x}$  for any  $\tau \in \mathbf{R}$  and  $|x| \leq \overline{\delta}$ . Indeed, by the choice of  $\overline{\delta}$ , we have that  $\varphi_{\tau^{\pm}}$  is strictly convex on the convex set  $\mathcal{U}_{\tau^{\pm},x}$ . Note that  $u_{\tau^{\pm},x}$  is the unique solution of (L) on  $\mathbf{R}_{\tau}^{\pm}$  which verifies the conditions  $u_{\tau^{\pm},x}(\tau) = x$  and  $||u_{\tau^{\pm},x}||_{L^{\infty}(\mathbf{R}_{\tau}^{\pm})} \leq \overline{\delta}$ . Then, by the maximum principle, we infer that for any  $\tau \in \mathbf{R}$  and  $|x| \leq \overline{\delta}$  there results

$$|u_{\tau^{\pm},x}(t)| \le \bar{\delta}e^{-\frac{|t-\tau|}{4}}, \quad \forall t \in \mathbf{R}_{\tau}^{\pm}.$$

It follows that there exists  $r_h > 0$  such that for any  $\tau \in \mathbf{R}$  and  $|x| \leq \delta$  we have

$$|u_{\tau^{\pm},x}(t)| \le \delta_h, \quad \forall t \in \mathbf{R}^{\pm}_{\tau} \text{ with } |t - \tau| \ge r_h$$

$$(4.5)$$

where  $\delta_h$  is given in (4.2).

Given any  $\gamma \in \Gamma_j$  we denote  $x^{\pm}(s) = \gamma(s)(\tau_j^{\pm})$  and  $u^{\pm}(s)(\cdot) = u_{\tau^{\pm},x^{\pm}(s)}$  for all  $s \in [0,1]$ . Therefore it is well defined and continuous the path  $\tilde{\gamma} : [0,1] \to X$  given by

$$\tilde{\gamma}(s)(t) = \begin{cases} u^{-}(s)(t), & \text{if } t \leq \tau_{j}^{-}, \\ \gamma(s)(t), & \text{if } \tau_{j}^{-} \leq t \leq \tau_{j}^{+}, \\ u^{+}(s)(t), & \text{if } \tau_{j}^{+} \leq t \end{cases} \forall s \in [0, 1].$$

By construction  $\varphi(\gamma(s)) \ge \varphi(\tilde{\gamma}(s))$  for any  $s \in [0, 1]$ . Moreover, by (4.5),  $|\tilde{\gamma}(s)(t)| \le \delta_h$  for all  $t \in \mathbf{R}$  with  $t \le \tau_j^- - r_h$  or  $t \ge \tau_j^+ + r_h$ . Then, since  $|\tau_j^{\pm} - \sigma_j^{\pm}| \to \infty$  as  $j \to \infty$ , we have that there exists  $j_2 = j_2(h) \ge j_1$  such that  $[\tau_j^- - r_h, \tau_j^+ + r_h] \subset P_j$  for all  $j \ge j_2$ . Therefore we have

$$|\tilde{\gamma}(s)(t)| \le \delta_h, \quad \forall t \notin P_j,$$

for all  $\gamma \in \Gamma_j$  with  $j \ge j_2$ . Then by (4.2) and the choice of  $P_j$  we obtain

$$\begin{split} \varphi(\tilde{\gamma}(s)) &= \varphi_{\overline{\alpha}}(\tilde{\gamma}(s)) - \int_{\mathbf{R}} (\alpha(t) - \overline{\alpha}) W(\tilde{\gamma}(s)) dt \\ &\geq \varphi_{\overline{\alpha}}(\tilde{\gamma}(s)) - \varepsilon_j \int_{P_j} W(\tilde{\gamma}(s)) dt - \sup_{\mathbf{R}} |\alpha(t) - \overline{\alpha}| \int_{\mathbf{R} \setminus P_j} W(\tilde{\gamma}(s)) dt \\ &\geq \varphi_{\overline{\alpha}}(\tilde{\gamma}(s)) - \varepsilon_j K_M M^2 - \frac{h}{2} \end{split}$$

from which we conclude, since  $\varepsilon_j \to 0$ , that there exists  $j_3 = j_3(h) \ge j_2$  such that

$$\varphi(\gamma(s)) \ge \varphi(\tilde{\gamma}(s)) \ge \varphi_{\overline{\alpha}}(\tilde{\gamma}(s)) - h$$

for all  $s \in [0,1]$  and  $\gamma \in \Gamma_j$  with  $j \ge j_3$ . In particular

$$\varphi_{\overline{\alpha}}(\tilde{\gamma}(1)) \le \varphi(\gamma(1)) + h < \frac{1}{2}\varphi_{\overline{\alpha}}(\gamma_{\overline{\alpha}}(1)) + h.$$

Therefore if h is small enough we have  $\tilde{\gamma} \in \Gamma_{\overline{\alpha}}$  and then

$$\max_{s \in [0,1]} \varphi(\gamma(s)) \ge \max_{s \in [0,1]} \varphi_{\overline{\alpha}}(\tilde{\gamma}(s)) - h \ge c_{\overline{\alpha}} - h$$

for all  $\gamma \in \Gamma_j$  with  $j \ge j_3$ . Then  $c_j \ge c_{\overline{\alpha}} - h$  for all  $j \ge j_3$  and the proof is complete.

*Remark* 4.3. – Note that by the choice of M and Remark 3.1 we have  $\mathcal{A}_j \subset \{u \in X \mid ||u|| \leq \frac{M}{2}\}$ . Therefore we can assume  $\overline{r}$  so small that there

results  $B_{\overline{r}}(\mathcal{A}_j) \subset \{u \in X \mid ||u|| \leq M\}$  for all  $j \in \mathbb{N}$ . Moreover since  $\varphi_{\overline{\alpha}}(\gamma_{\overline{\alpha}}(1)) < 0$ , we can also assume that  $\gamma_j(1) \notin B_{\overline{r}}(\mathcal{A}_j)$  for any  $j \geq \overline{j}$ .

Now, using deformation arguments, by Lemma 4.2 and Lemma 4.3 we can prove the existence of infinitely many one bump solutions of (L).

THEOREM 4.1. – There exists  $\hat{j} \in \mathbf{N}$  such that  $\mathcal{K} \cap \mathcal{A}_j \neq \emptyset$  for all  $j \geq \hat{j}$ .

*Proof.* – For any  $j \ge \overline{j}$ , let  $\eta_j : [0,1] \times X \to X$  be the flow associated to the Cauchy problem

$$\begin{cases} \frac{d}{dt}\eta_j(t,u) = -\psi(\eta_j(t,u))\frac{\inf\{1, \|\varphi'(\eta_j(t,u))\|}{\|\varphi'(\eta_j(t,u))\|}\varphi'(\eta_j(t,u)),\\ \eta_j(0,u) = u, \quad \forall u \in X, \end{cases}$$

where  $\psi: X \to [0,1]$  is a locally Lipschitz continuous function such that  $\psi(u) = 1$  for all  $u \in B_{\frac{r}{2}}(\mathcal{A}_j)$  and  $\psi(u) = 0$  for all  $u \in X \setminus B_{\overline{r}}(\mathcal{A}_j)$ . It is standard to check that  $\varphi$  decreases along the flow lines and moreover that  $X \setminus B_{\overline{r}}(\mathcal{A}_j)$  is invariant under  $\eta_j$ . By Lemma 4.2 and Remark 4.3 this implies in particular that the class  $\Gamma_j$  is invariant under the flow  $\eta_j$ , i.e. for all  $\gamma \in \Gamma_j$  and for all t > 0 we have  $\eta_j(t, \gamma(\cdot)) \in \Gamma_j$ .

Furthermore by Lemma 4.3 if  $u \in B_{\frac{r}{4}}(\mathcal{A}_j)$  and there exists t > 0 such that  $\eta_j(t, u) \notin B_{\frac{r}{2}}(\mathcal{A}_j)$  then

$$\varphi(\eta_j(t,u)) \le \varphi(u) - \frac{r\nu}{4}.$$
(4.6)

By Lemma 4.3 and Lemma 3.1 for any  $h \in (0, \frac{1}{2}\Delta_{\bar{r}})$ , where  $\Delta_{\bar{r}} = \min(\frac{\bar{r}\bar{\nu}}{4}, h_{\frac{\bar{r}}{4}})$  and  $h_{\frac{\bar{r}}{4}}$  is given in Lemma 3.1 (*ii*), there exists  $\hat{j} \geq \bar{j}$  such that for all  $j \geq \hat{j}$  we have  $\gamma_j \in \Gamma_j$  and moreover:

(i) if  $\gamma_j(s) \notin B_{\frac{\pi}{4}}(\mathcal{A}_j)$  then  $\varphi(\gamma_j(s)) < c_j - h$ ,

(*ii*)  $\max_{s \in [0,1]} \varphi(\gamma_j(s)) \le c_j + h.$ 

We claim that for all  $j \ge \hat{j}$  there exists  $s_j \in [0,1]$  such that  $\gamma_j(s_j) \in B_{\frac{\pi}{4}}(\mathcal{A}_j) \cap \{\varphi > c_j - h\}$  and for all  $t \ge 0$  there results:

- (a)  $\varphi(\eta_j(t,\gamma_j(s_j))) > c_j h$ ,
- (b)  $\eta_j(t,\gamma_j(s_j)) \in B_{\frac{r}{2}}(\mathcal{A}_j).$

From the claim we derive that for all  $j \geq \hat{j}$  there exists a *PS* sequence  $(u_n^j)$  for  $\varphi$  in  $B_{\frac{r}{2}}(\mathcal{A}_j)$ . In particular, since  $(u_n^j) \subset B_{\frac{r}{2}}(\mathcal{A}_j)$  by Lemma 4.2 we have that  $u_n^j \in \mathcal{A}_j$ , and then  $T^+(u_n^j) \in Q_j$ , definitively. Therefore by Lemma 3.6 (*ii*) we have that  $(u_n^j)$  is precompact in X and then we obtain a critical point for  $\varphi$  in  $\mathcal{A}_j$  for all  $j \geq \hat{j}$ .

To prove the claim, first we note that (b) plainly follows from (a). Indeed if  $\eta_j(t, \gamma_j(s_j)) \notin B_{\frac{r}{2}}(\mathcal{A}_j)$  for some t > 0 then by (4.6) and (ii) we obtain that  $\varphi(\eta_j(t, \gamma_j(s_j))) \leq \varphi(\gamma_j(s_j)) - \Delta_{\bar{r}} \leq c_j + h - \Delta_{\bar{r}} \leq c_j - h$  which is impossible by (a).

To prove (a) we argue by contradiction assuming that for all  $s \in [0, 1]$ for which  $\gamma_j(s) \in B_{\frac{\pi}{2}}(\mathcal{A}_j) \cap \{\varphi > c_j - h\}$  there exists t > 0 such that

$$\varphi(\eta_j(t,\gamma_j(s))) \le c_j - h. \tag{4.7}$$

Then for any  $s \in [0,1]$  set  $T(s) = \inf\{t \ge 0 : \varphi(\eta_j(t,\gamma_j(s))) \le c_j - h\}$ . By (i) and (4.7) we obtain that  $T : [0,1] \to \mathbf{R}^+$  is well defined and continuous. Therefore setting  $\hat{\gamma}_j(s) = \eta_j(T(s),\gamma_j(s))$  for all  $s \in [0,1]$  we obtain  $\hat{\gamma}_j \in \Gamma_j$  and then a contradiction, since by construction there results  $\varphi(\hat{\gamma}_j(s)) \le c_j - h$  for all  $s \in [0,1]$ . This complete the proof.

## 5. MULTIBUMP SOLUTIONS

In the previous section we proved the existence of infinitely many one bump solutions of (L). In fact, by Theorem 4.1, for any  $j \ge \hat{j}$  there is a homoclinic solution of (L) which has  $L^{\infty}$ -norm greater than  $\bar{\delta}$  only in the time interval  $Q_j$ . In other words such trajectory leaves and returns in the  $\bar{\delta}$  neighbourhood of the origin in the configuration space only in the time interval  $Q_j$ .

In this last section we look for k-bump homoclinic solutions of (L). More precisely we show that there exists a sequence of indices  $(\hat{j}_n)$  such that if  $j_1 < ... < j_k \in \mathbb{N}$  verify  $j_i \ge \hat{j}_i$ , i = 1, ..., k then there is a homoclinic trajectory of (L) which leaves and returns in the  $\bar{\delta}$ -neighbourhood of the origin in the configuration space only in the time interval  $Q_{j_i}$ , i = 1, ..., k. Considering the  $C^1_{loc}$ -closure of the set of k-bump solutions we obtain a multibump dynamics proving Theorem 1.1 stated in the introduction.

First of all we introduce some notation.

Fixed  $k \in \mathbf{N}$  and k indices  $j_1 < \ldots < j_k$  we denote

$$I_{1} = \left(-\infty, \frac{\sigma_{j_{1}}^{+} + \sigma_{j_{2}}^{-}}{2}\right),$$

$$I_{i} = \left(\frac{\sigma_{j_{i-1}}^{+} + \sigma_{j_{i}}^{-}}{2}, \frac{\sigma_{j_{i}}^{+} + \sigma_{j_{i+1}}^{-}}{2}\right), \quad i = 2, \dots, k-1,$$

$$I_{k} = \left(\frac{\sigma_{j_{k-1}}^{+} + \sigma_{j_{k}}^{-}}{2}, +\infty\right),$$

where the sequences  $(\sigma_i^{\pm})$  are given in Remark 4.1.

Then the family of intervals  $\{I_i, i = 1, ..., k\}$  is a partition of **R**. Moreover each interval  $P_{j_i}$  is strictly contained in the interval  $I_i$ . Let  $M_i$  be the complement of the interval  $P_{j_i}$  in  $I_i$ .

We also define the "truncated" functionals  $\varphi_i : X \to \mathbf{R}$  by setting

$$\varphi_i(u) = \frac{1}{2} \|u\|_{I_i}^2 - \int_{I_i} \alpha(t) W(u(t)) dt, \quad \forall u \in X, \ i = 1, \dots, k.$$

Note that  $\varphi(u) = \sum_{i=1}^{k} \varphi_i(u)$  and  $\varphi_i \in C^1(X, \mathbf{R})$  with  $\varphi'_i(u)v = \langle u, v \rangle_{I_i} - \int_{I_i} \alpha(t) W(u(t)) dt$  for all  $u, v \in X$ .

Finally, given r > 0 and  $J = (j_1, \ldots, j_k)$  with  $\overline{j} < j_1 < \ldots < j_k$  we consider the set

$$\mathcal{B}_r(J) = \{ u \in X \mid \inf_{v \in \mathcal{A}_{j_i}} \|u - v\|_{I_i} < r, \ i = 1, \dots, k \}.$$

By Lemma 4.1 if  $v \in A_j$  for some  $j \ge \overline{j}$  then  $\|v\|_{L^{\infty}(\mathbf{R}\setminus Q_{j_i})} < \frac{\delta}{2}$ . Therefore if  $r \in (0, \overline{r}]$  and  $v \in \mathcal{B}_r(J)$  then  $\|v\|_{L^{\infty}(I_i \setminus Q_{j_i})} < \overline{\delta}$ . In other words the functions in  $\mathcal{B}_r(J)$  can be outside the  $\overline{\delta}$ -neighbourhood of the origin only in the intervals  $Q_{j_i}$ . Therefore we will look for k-bump solutions of (L) in these sets. To this end we investigate some compactness properties of  $\varphi$  in  $\mathcal{B}_r(J)$ .

Note that the action of the functional  $\varphi$  on  $\mathcal{B}_r(J)$  separates on the actions of the functionals  $\varphi_i$  and, roughly speaking, that each functional  $\varphi_i$  acts on  $\mathcal{B}_r(J)$  as the functional  $\varphi$  acts on  $\mathcal{B}_r(A_{j_i})$ . Then, starting from the compactness properties of  $\varphi$  on  $\mathcal{B}_{\bar{r}}(A_j)$  proved in the previous sections, see Lemmas 2.1, 3.5 and 4.2, we can obtain analogous properties of  $\varphi$ on  $\mathcal{B}_r(J)$ .

Let we fix  $\bar{\mu} = \frac{1}{8} \min\{\frac{r_0^2}{16}, \frac{\bar{\nu}}{8}\}$ ,  $\tilde{h} = \frac{1}{8} \min\{h_{r_0}, \bar{h}, \bar{\mu}r_0\}$  (where  $h_{r_0}$  is given by Lemma 3.1 (*ii*) with  $r = \frac{r_0}{2}$  and  $\beta = \overline{\alpha}$ ) and a decreasing sequence  $(h_i)$  such that  $0 < \sum_{i=1}^{\infty} h_i < \tilde{h}$ . We set also  $r_1 = \frac{\bar{r}}{2}$ ,  $r_2 = \frac{2\bar{r}}{3}$  and  $r_3 = \frac{3\bar{r}}{4}$ . Defining  $\mathcal{E}_k = \{u \in X; \|u\|_{M_l}^2 \leq \frac{h_l}{8}, l = 1, ..., k\}$  and  $\Phi_k = \bigcap_{i=1}^k \{\varphi_i \geq c_{\overline{\alpha}} - h_i\} \cap \{\varphi \leq kc_{\overline{\alpha}} + \tilde{h}\}$  we have

LEMMA 5.1. – There exists an increasing sequence of indices  $(\tilde{j}_i) \in \mathbf{N}$ such that given  $k \in \mathbf{N}$  and  $J = (j_1, \ldots, j_k)$  with  $j_1 < \ldots < j_k$  and  $j_i \ge \tilde{j}_i$  $(i = 1, \ldots, k)$ , then if  $\mathcal{E}_k \cap \Phi_k \cap B_{\bar{r}}(J) \cap \mathcal{K} = \emptyset$  there exists a locally Lipschitz continuous vector field  $\mathcal{F} : X \to X$  which verifies the following properties:  $(\mathcal{F}1) \quad \|\mathcal{F}(u)\|_{I_i} \le 1 \ (i = 1, \ldots, k), \ \varphi'(u)\mathcal{F}(u) \ge 0$  for any  $u \in X$  and  $\mathcal{F}(u) = 0$  for any  $u \in X \setminus \mathcal{B}_{r_3}(J)$ ;

- (F2) if  $u \in \mathcal{B}_{r_2}(J)$ ,  $r_1 \leq \inf_{v \in \mathcal{A}_{j_i}} ||u v||_{I_i}$  and  $\varphi_i(u) \leq c_{\overline{\alpha}} + 2\tilde{h}$  then  $\varphi'_i(u)\mathcal{F}(u) \geq \bar{\mu}$ ;
- (F3) if  $u \in \mathcal{B}_{r_3}(J)$  and  $\varphi_i(u) \leq c_{\overline{\alpha}} h_i$  then  $\varphi'_i(u)\mathcal{F}(u) \geq 0$ ;
- (F4) if  $u \in \mathcal{B}_{r_3}(J) \setminus \mathcal{E}_k$  then  $\langle u, F(u) \rangle_{M_i} \ge 0$  for any  $i \in \{1, \ldots, k\}$ ;
- (F5) there exists  $\mu_J > 0$  such that  $\varphi'(u)\mathcal{F}(u) \ge \nu_J$  for any  $u \in B_{r_2}(J) \cap \{\varphi < kc_{\overline{\alpha}} + \tilde{h}\}.$

This kind of result is classical in the multibump construction (see [28]). The proof is based on the use of a suitable cutoff procedure, it is quite technical and we postpone it to the Appendix.

We set  $\tilde{J}_k = \{(j_1, \ldots, j_k); j_1 < j_2 < \ldots < j_k, j_i \geq \tilde{j}_i\}$ . As a consequence of Lemma 5.1 we get that if  $J \in \tilde{J}_k$  and  $\mathcal{B}_{\bar{r}}(J) \cap \mathcal{K} = \emptyset$  then the set  $\mathcal{B}_{r_1}(J) \cap \{\varphi \leq kc_{\bar{\alpha}} + \tilde{h}\}$  can be continuously deformed in the set  $\bigcup_{i=i}^k \{\varphi_i \leq c_{\bar{\alpha}} - h_i\}$ . In fact we have

LEMMA 5.2. – Given  $k \in \mathbb{N}$  and  $J \in J_k$ , if  $\mathcal{E}_k \cap \Phi_k \cap \mathcal{B}_{\bar{r}}(J) \cap \mathcal{K} = \emptyset$ then there exists  $\eta \in C(X, X)$  such that

- i)  $\eta|_{X \setminus \mathcal{B}_{r_3}(J)} \equiv I;$
- *ii)*  $\eta(\mathcal{E}_k) \subset \mathcal{E}_k$ ;
- *iii)*  $\eta(\{\varphi_i \leq c_{\overline{\alpha}} h_i\}) \subset \{\varphi_i \leq c_{\overline{\alpha}} h_i\};$
- $\textit{iv)} \quad \textit{if } u \in \mathcal{B}_{r_1}(J) \cap \{\varphi < kc_{\overline{\alpha}} + \tilde{h}\} \textit{ then } \eta(u) \in \bigcup_{i=1}^k \{\varphi_i \leq c_{\overline{\alpha}} h_i\}.$

Proof. - Let us consider the Cauchy problem

$$\begin{cases} \frac{d\eta}{ds} = -\mathcal{F}(\eta) \\ \eta(0, u) = u \end{cases}$$
(5.1)

where  $\mathcal{F}$  is the bounded locally Lipschitz continuous vector field given by Lemma 5.1. For any  $u \in X$  there exists a unique solution  $\eta(\cdot, u) \in C(\mathbf{R}^+, X)$  of (5.1), depending continuously on  $u \in X$ .

By ( $\mathcal{F}$ 1), since  $\mathcal{F}(u) = 0$  for any  $u \in X \setminus \mathcal{B}_{r_3}(J)$ , we obtain that

$$\eta(s,u) = u \quad \forall u \in X \setminus \mathcal{B}_{r_3}(J), \ \forall s > 0.$$
(5.2)

By ( $\mathcal{F}4$ ), if  $\eta(s, u) \in X \setminus \mathcal{E}_k$  then

$$\frac{d}{ds} \|\eta(s,u)\|_{M_i}^2 = -\langle \eta(s,u), \mathcal{F}(\eta(s,u)\rangle_{M_i} \le 0.$$

Therefore the set  $\mathcal{E}_k$  is positively invariant w.r.t. the flow  $\eta$ , i.e.

$$\eta(s, \mathcal{E}_k) \subset \mathcal{E}_k, \quad \forall s > 0.$$
(5.3)

Annales de l'Institut Henri Poincaré - Analyse non linéaire

122

By (F3),  $\frac{d}{ds}\varphi_i(\eta(s,u)) = -\varphi'_i(\eta(s,u))\mathcal{F}(\eta(s,u) \leq 0 \text{ if } \varphi_i(\eta(s,u)) \leq c_{\overline{\alpha}} - h_i$ . Hence also for the sets  $\{\varphi_i \leq c_{\overline{\alpha}} - h_i\}$  we have

$$\eta(s, \{\varphi_i \le c_{\overline{\alpha}} - h_i\}) \subset \{\varphi_i \le c_{\overline{\alpha}} - h_i\}, \quad \forall s > 0.$$
(5.4)

Finally note that since  $\varphi$  sends bounded sets into bounded sets, by (F5) there exists T > 0 such that

$$\forall u \in \mathcal{B}_{r_1}(J) \; \exists s_u \in (0, \mathcal{T}) \quad \text{such that} \quad \eta(s_u, u) \in X \setminus \mathcal{B}_{r_2}(J).$$
(5.5)

By (5.5) for all  $u \in \mathcal{B}_{r_1}(J) \cap \{\varphi \leq kc_{\overline{\alpha}} + \tilde{h}\}$  there is an index  $i_u \in \{1, \ldots, k\}$ and an interval  $[s_1, s_2] \subset (0, \mathcal{T})$  such that  $\inf_{v \in \mathcal{A}_{j_{i_u}}} \|\eta(s_1, u) - v\|_{I_{i_u}} = r_1$ ,  $\inf_{v \in \mathcal{A}_{j_{i_u}}} \|\eta(s_2, u) - v\|_{I_{i_u}} = r_2$  and  $r_1 \leq \inf_{v \in \mathcal{A}_{j_{i_u}}} \|\eta(s, u) - v\|_{I_{i_u}} \leq r_2$ for any  $s \in (s_1, s_2)$ . In particular, by  $(\mathcal{F}1)$  we obtain

$$r_2 - r_1 \le \|\eta(s_2, u) - \eta(s_1, u)\|_{I_{i_u}} \le \int_{s_1}^{s_2} \|\mathcal{F}(\eta(s, u))\|_{I_{i_u}} ds \le s_2 - s_1.$$
(5.6)

Now, let  $u \in \mathcal{B}_{r_1}(J) \cap \{\varphi \leq kc_{\overline{\alpha}} + h\}$ . We claim that there exists  $i \in \{1, \ldots, k\}$  such that  $\varphi_i(\eta(s, u)) < c_{\overline{\alpha}} - h_i$  for some  $s \in [0, s_2]$  and therefore

$$\varphi_i(\eta(\mathcal{T}, u)) \le c_{\overline{\alpha}} - h_i. \tag{5.7}$$

Indeed if not we have  $\inf_{i=1,\ldots,k} \varphi_i(\eta(s,u)) \geq c_{\overline{\alpha}} - h_i$  for any  $s \in [0, s_2]$ . Then since, by  $(\mathcal{F}1)$ ,  $\varphi(\eta(s,u)) \leq kc_{\overline{\alpha}} + \tilde{h}$  we obtain that  $\sup_{i=1,\ldots,k} \varphi_i(\eta(s,u)) \leq c_{\overline{\alpha}} + 2\tilde{h}$  for any  $s \in [0, s_2]$  (recall that  $\sum_{i=1}^{\infty} h_i < \tilde{h}$ ). Then, by  $(\mathcal{F}2)$  and (5.6), we get

$$\begin{aligned} 3h \geq c_{\overline{\alpha}} + 2h - c_{\overline{\alpha}} + h_{i_u} \geq \varphi_{i_u}(\eta(s_1, u)) - \varphi_{i_u}(\eta(s_2, u)) \\ &= \int_{s_1}^{s_2} \varphi_{i_u}'(\eta(s, u)) \mathcal{F}(\eta(s, u)) ds \\ &\geq \bar{\mu}(s_2 - s_1) \geq \bar{\mu}(r_2 - r_1) \end{aligned}$$

in contradiction with the choice of  $\tilde{h}$  (recall that  $\tilde{h} \leq \bar{\mu} \frac{(r_2 - r_1)}{4}$ ).

With abuse of notation we set  $\eta(\cdot) \equiv \eta(\mathcal{T}, \cdot)$  and the lemma follows by (5.2), (5.3), (5.4) and (5.7).

Now we are able to prove the existence of k-bump solutions applying the Séré's product minimax.

THEOREM 5.1. – There exists an increasing sequence of indices  $(\hat{j}_i) \subset \mathbf{N}$ such that if  $k \in \mathbf{N}$  and  $J = (j_1, \ldots, j_k)$  verifies  $j_1 < \ldots < j_k$  and  $j_i \ge \hat{j}_i$  $(i = 1, \ldots, k)$  then  $\mathcal{E}_k \cap \Phi_k \cap \mathcal{B}_{\bar{r}}(J) \cap \mathcal{K} \neq \emptyset$ .

Vol. 16, n° 1-1999.

*Proof.* – For all  $j \in \mathbf{N}$  consider the cutoff function  $\chi_j \in C(\mathbf{R}, [0, 1])$  defined by  $\chi_j(t) = \min\{1, dist(t, \mathbf{R} \setminus Q_j)\}$  and the paths  $\tilde{\gamma}_j(s) = \chi_j \gamma_j(s)$ ,  $s \in [0, 1]$ , where the paths  $\gamma_j$  are given in section 4.

It is immediate to recognize that  $\sup_{s \in (0,1)} \|\tilde{\gamma}_j(s) - \gamma_j(s)\| \to 0$  as  $j \to \infty$ . Therefore since  $\varphi$  is uniformly continuous on the bounded sets, by Remark 4.3 and Lemmas 3.1 and 4.3, we can fix an increasing sequence of indices  $(\hat{j}_i) \subset \mathbf{N}, \ \hat{j}_i \geq \tilde{j}_i \ (i \in \mathbf{N})$ , such that:

- $(\gamma_1) c_j \ge c_{\overline{\alpha}} \frac{h_i}{4}$  for every  $j \ge \hat{j}_i$ ;
- $(\gamma_2) \ \tilde{\gamma}_j \in \Gamma_j \text{ and } \tilde{\gamma}_j(1) \notin B_{\bar{r}}(\mathcal{A}_j) \text{ for every } j \geq \hat{j}_1;$
- $(\gamma_3)$  if  $j \ge \hat{j}_1$  and  $\tilde{\gamma}_j(s) \in X \setminus B_{r_0}(\mathcal{A}_j)$  then  $\varphi(\tilde{\gamma}_j(s)) \le c_{\overline{\alpha}} \frac{h_{r_0}}{2};$
- $(\gamma_4) \max_{s \in [0,1]} \varphi(\tilde{\gamma}_j(s)) \le c_{\overline{\alpha}} + h_i \ \forall j \ge \hat{j}_i.$

Let  $k \in \mathbf{N}$  and  $J = (j_1, \ldots, j_k)$  be such that  $j_1 < \ldots < j_k$  and  $j_i \ge \hat{j}_i$ for all  $i = 1, \ldots, k$ . We define the surface  $G \in C([0, 1]^k, X)$  by setting  $G(\theta) = \sum_{i=1}^k \tilde{\gamma}_{j_i}(\theta_i)$ . We have

- (G<sub>1</sub>)  $\max_{\theta \in [0,1]^k} \varphi(G(\theta)) \le kc_{\overline{\alpha}} + \tilde{h};$
- (G<sub>2</sub>) if  $G(\theta) \in X \setminus \mathcal{B}_{r_1}(J)$  then there exists  $i_{\theta} \in \{1, \dots, k\}$  for which  $\varphi_{i_{\theta}}(G(\theta)) < c_{\overline{\alpha}} h_{i_{\theta}};$
- $(G_3) \ G(\theta) \in \mathcal{E}_k$  for every  $\theta \in [0,1]^k$ .

Indeed (G<sub>1</sub>) plainly follows by  $(\gamma_4)$  since  $\sum_{i=1}^k h_i < \tilde{h}$ . Moreover we obtain (G<sub>2</sub>) by  $(\gamma_3)$  simply noting that if  $G(\theta) \in X \setminus \mathcal{B}_{r_1}(J)$  then there is  $i_{\theta} \in \{1, \ldots, k\}$  such that  $r_0 < r_1 < \inf_{v \in \mathcal{A}_{j_{i_{\theta}}}} ||G(\theta) - v||_{I_{i_{\theta}}} \leq \inf_{v \in \mathcal{A}_{j_{i_{\theta}}}} ||\tilde{\gamma}_{j_{i_{\theta}}}(\theta_{i_{\theta}}) - v||$ . Finally since  $supp \ G(\theta) \subset \bigcup_{i=1}^k Q_{j_i}$  we obtain (G<sub>3</sub>).

Now, arguing by contradiction assume that  $\mathcal{E}_k \cap \Phi_k \cap \mathcal{B}_{\bar{r}}(J) \cap \mathcal{K} = \emptyset$ . Then we can consider the surface  $\tilde{G}(\cdot) = \eta(G(\cdot))$  where  $\eta$  is given by Lemma 5.2. By Lemma 5.2 and  $(G_1)$ - $(G_3)$  we obtain

- $(\tilde{G}_1)$  if  $G(\theta) \in X \setminus \mathcal{B}_{r_3}(J)$  then  $\tilde{G}(\theta) = G(\theta)$  and in particular  $\tilde{G}|_{\partial [0,1]^k} = G|_{\partial [0,1]^k};$
- $(\tilde{G}_2) \ \forall \theta \in [0,1]^k$  there exists  $i_{\theta} \in \{1,...,k\}$  such that  $\varphi_{i_{\theta}}(\tilde{G}(\theta)) < c_{\overline{\alpha}} h_{i_{\theta}};$
- $(\tilde{G}_3)$   $\tilde{G}(\theta) \in \mathcal{E}_k$  for every  $\theta \in [0,1]^k$ .

Indeed  $(\tilde{G}_1)$  plainly follows by Lemma 5.2-(i) since by  $(\gamma_2) G(\partial [0,1]^k) \subset X \setminus \mathcal{B}_{r_3}(J)$ . Also  $(\tilde{G}_3)$  is an immediate consequence of Lemma 5.2-(ii) and  $(G_3)$ .

To prove  $(G_2)$  we consider the following alternative:  $G(\theta) \in X \setminus \mathcal{B}_{r_1}(J)$ or  $G(\theta) \in \mathcal{B}_{r_1}(J)$ .

In the first case by  $(G_2)$  there exists  $i_{\theta}$  such that  $\varphi_{i_{\theta}}(G(\theta)) < c_{\overline{\alpha}} - h_{i_{\theta}}$ and, by Lemma 5.2-(iii), we obtain  $\varphi_{i_{\theta}}(\tilde{G}(\theta)) < c_{\overline{\alpha}} - h_{i_{\theta}}$ . In the second case by  $(G_1)$  we have that  $G(\theta) \in \mathcal{B}_{r_1}(J) \cap \{\varphi < kc_{\overline{\alpha}} + \tilde{h}\}$  and

therefore, by Lemma 5.2-(iv), also in this case there exists  $i_{\theta}$  such that  $\varphi_{i_{\theta}}(\tilde{G}(\theta)) < c_{\overline{\alpha}} - h_{i_{\theta}}$ . Then  $(\tilde{G}_2)$  holds.

Thanks to  $(\tilde{G}_3)$  we can select on  $[0,1]^k$  a path  $\xi$  joining two opposite faces  $\{\theta_i = 0\}$  and  $\{\theta_i = 1\}$  along which the function  $\varphi_i \circ \tilde{G}$  takes values less than  $c_{\overline{\alpha}} - \frac{3h_i}{4}$  for some  $i \in \{1, \ldots, k\}$ . Precisely:

 $(\tilde{G}_4)$  there exists  $\iota \in \{1, \ldots, k\}$  and  $\xi \in C([0, 1], [0, 1]^k)$  such that  $\xi(0) \in \{\theta_{\iota} = 0\}, \ \xi(1) \in \{\theta_{\iota} = 1\}$  and  $\varphi_{\iota}(\tilde{G}(\theta)) < c_{\overline{\alpha}} - \frac{3h_{\iota}}{4}$ , for any  $\theta \in \operatorname{range} \xi$ 

Indeed, assuming the contrary, the set  $D_i = \{\theta \in [0,1]^k : \varphi_i(\bar{G}(\theta)) \ge c_{\overline{\alpha}} - \frac{3h_i}{4}\}$  for any  $i \in \{1, \ldots, k\}$  separates in  $[0,1]^k$  the faces  $F_i^0 = \{\theta_i = 0\}$  and  $F_i^1 = \{\theta_i = 1\}$ . For any  $i \in \{1, \ldots, k\}$  let  $C_i$  be the component of  $[0,1]^k \setminus D_i$  which contains the face  $F_i^1$  and let us define the functions  $f_i : [0,1]^k \to \mathbf{R}$  as follows:

$$f_i(\theta) = \begin{cases} \operatorname{dist}(\theta, D_i) & \text{if } \theta \in [0, 1]^k \setminus C_i \\ -\operatorname{dist}(\theta, D_i) & \text{if } \theta \in C_i. \end{cases}$$

Then,  $f_i \in C([0,1]^k, \mathbf{R})$ ,  $f_i|_{F_i^0} \ge 0$ ,  $f_i|_{F_i^1} \le 0$  and  $f_i(\theta) = 0$  if and only if  $\theta \in D_i$ . Using the Miranda fixed point Theorem (see [19]), we get that there exists  $\theta \in [0,1]^k$  such that  $f_i(\theta) = 0$  for all  $i \in \{1,\ldots,k\}$ , hence  $\bigcap_i D_i \ne \emptyset$ , which is in contradiction with the property  $(\hat{G}_2)$ .

Using  $(G_4)$  we define the cutoff function  $\chi \in C(\mathbf{R}, [0, 1])$  by setting  $\chi(t) = \min\{1, dist(t, \mathbf{R} \setminus I_{\iota})\}$ , and we consider the path  $\gamma \in C([0, 1], X)$  given by  $\gamma(s) = \chi \tilde{G}(\xi(s))$ . We claim that  $\gamma \in \Gamma_{j_{\iota}}$ .

Indeed since  $supp \tilde{\gamma}_{j_{\iota}}(s) \subset Q_{j_{\iota}}$  and  $Q_{j_{\iota}} \subset \{t; \chi(t) = 1\}$ , we have

$$\gamma(0) = 0$$
 and  $\gamma(1) = \tilde{\gamma}_{i}(1)$ .

In particular  $\varphi(\gamma(1)) = \varphi(\tilde{\gamma}_{j_{\iota}}(1)) < \frac{1}{2}\varphi_{\overline{\alpha}}(\gamma_{\overline{\alpha}}(1)).$ 

Moreover if  $\gamma(s) \in B_{\bar{r}}(A_{j_i})$  then  $\|\gamma(s)\| \leq M$  and, by Lemma 4.2, if  $t \notin Q_{j_i}$  then  $|\gamma(s)(t)| < \bar{\delta}$ .

Otherwise, if  $\gamma(s) \notin B_{\bar{r}}(\mathcal{A}_{j_{\iota}})$ , by Lemma 4.1, we have  $\bar{r} \leq \inf_{v \in \mathcal{A}_{j_{\iota}}} \|\gamma(s) - v\| \leq \inf_{v \in \mathcal{A}_{j_{\iota}}} \|\gamma(s) - v\|_{I_{\iota}} + r_0 = \inf_{v \in \mathcal{A}_{j_{\iota}}} \|\chi \tilde{G}(\xi(s)) - v\|_{I_{\iota}} + r_0$ . Therefore since  $\tilde{G}(\theta) \in \mathcal{E}_k$  for any  $\theta \in [0, 1]^k$  we obtain  $\inf_{v \in \mathcal{A}_{j_{\iota}}} \|\tilde{G}(\xi(s)) - v\|_{I_{\iota}} \geq \bar{r} - r_0 - \|(1 - \chi)\tilde{G}(\xi(s))\|_{I_{\iota}} \geq \bar{r} - 2\|\tilde{G}(\xi(s))\|_{M_{\iota}} \geq \bar{r} - (\frac{h_{\iota}}{8})^{\frac{1}{2}} > r_3$ .

Then we conclude that  $\tilde{G}(\xi(s)) \notin \mathcal{B}_{r_3}(J)$  and, by  $(\tilde{G}_1)$ , that  $\gamma(s) = \tilde{\gamma}_{j_{\iota}}(s)$ . Therefore, since  $\tilde{\gamma}_{j_{\iota}} \in \Gamma_{j_{\iota}}$  we have also in this case  $\|\gamma(s)\| \leq M$  and if  $t \notin Q_{j_{\iota}}$  then  $|\gamma(s)(t)| < \overline{\delta}$ .

Then  $\gamma \in \Gamma_{j_i}$  and if we show that  $\varphi(\gamma(s)) < c_{\overline{\alpha}} - \frac{h_i}{4}$ , using  $(\gamma_1)$ , we obtain a contradiction.

By the choice of  $\overline{\delta}$  and by  $(G_3)$  we have

$$\begin{split} \varphi(\gamma(s)) &= \varphi_{\iota}(\gamma(s)) = \varphi_{\iota}(\tilde{G}(\xi(s))) + \varphi_{\iota}(\gamma(s)) - \varphi_{\iota}(\tilde{G}(\xi(s))) \\ &\leq c_{\overline{\alpha}} - \frac{3h_{\iota}}{4} + \frac{1}{2} \|\chi \tilde{G}(\xi(s))\|_{M_{\iota}}^{2} + \int_{M_{\iota}} \alpha(t) W(\tilde{G}(\xi(s))) dt \\ &\leq c_{\overline{\alpha}} - \frac{3h_{\iota}}{4} + \frac{h_{\iota}}{4} + \frac{h_{\iota}}{64} < c_{\overline{\alpha}} - \frac{h_{\iota}}{4} \end{split}$$

and the theorem follows.

As a consequence of Theorem 5.1 we have

COROLLARY 5.1. – For every  $k \in \mathbf{N}$  and  $J = (j_1, \ldots, j_k)$  with  $j_1 < \ldots < j_k$  and  $j_i \ge \hat{j}_i$   $(i = 1, \ldots, k)$  there exists  $u \in C^2(\mathbf{R}, \mathbf{R}^N)$  solution of (L) such that

$$||u||_{L^{\infty}(Q_{j_i})} > \overline{\delta} \quad \forall i = 1, \dots, k \quad and \quad ||u||_{L^{\infty}(\mathbf{R} \setminus \bigcup_{i=1}^{k} Q_{j_i})} < \frac{\delta}{2}.$$

*Proof.* – By Theorem 5.1 there exists  $u \in \mathcal{E}_k \cap \Phi_k \cap \mathcal{B}_{\bar{r}}(J) \cap \mathcal{K}$ . Then for all i = 1, ..., k consider the cutoff function  $\chi_i(t) = dist(t, \mathbf{R} \setminus I_i)$ .

Now, since  $u \in \mathcal{B}_{\bar{r}}(J)$  if  $||u||_{L^{\infty}(Q_{j_i})} \leq \bar{\delta}$  for some  $i \in \{1, \ldots, k\}$  then  $||u||_{L^{\infty}(I_i)} \leq \bar{\delta}$  too and therefore  $||\chi_i u||_{L^{\infty}} \leq \bar{\delta}$ . By the choice of  $\bar{\delta}$  we obtain  $\varphi'(\chi_i u)\chi_i u \geq \frac{1}{2}||\chi_i u||^2$ . Since  $u \in \mathcal{B}_{\bar{r}}(J)$  we have  $||u||_{I_i} \geq \bar{r}$  and, since  $u \in \mathcal{E}_k$ , we obtain  $||\chi_i u||_{I_i} \geq ||u||_{I_i} - ||(1-\chi_i)u||_{I_i} \geq \bar{r} - \frac{h_i}{4} \geq \frac{\bar{r}}{2}$ . This implies that  $\varphi'(\chi_i u)\chi_i u \geq \frac{\bar{r}^2}{8}$ . Then we have  $|\varphi'(\chi_i u)\chi_i u - \varphi'(u)\chi_i u| \leq |\langle (1-\chi_i)u, \chi_i u\rangle_{M_i}| + |\int_{M_i} \alpha(t)(\nabla W(\chi_i u) - \nabla W(u))\chi_i u \, dt| \leq 5||u||_{M_i}^2 < h_i$  and we conclude that  $\varphi'(u)\chi_i u \geq \frac{\bar{r}^2}{16}$  in contradiction with  $u \in \mathcal{K}$ .

Moreover arguing as above it is also easy to prove that since  $u \in \Phi_k \cap \mathcal{K}$ we have  $\|\varphi'(\chi_i u)\| < \overline{\nu}$  and  $\varphi(\chi_i u) < c_{\overline{\alpha}} + \overline{h}$  for all i = 1, ..., k. Then, since we have already proved that  $T^+(\chi_i u) \in Q_{j_i}$ , we obtain  $\chi_i u \in \mathcal{A}_{j_i}$ . Then, by Lemma 5.1 we obtain that  $\|\chi_i u\|_{L^{\infty}(\mathbf{R}\setminus Q_{j_i})} < \frac{\overline{\delta}}{2}$  (i = 1, ..., k). This complete the proof.

Considering the  $C_{loc}^1$  closure of the set of k-bump solution, using the Ascoli Arzelá theorem, by Theorem 5.1 and Corollary 5.1 we obtain Theorem 1.1 stated in the introduction.

# 6. APPENDIX

In this section we prove Lemma 5.1.

First of all we recall two properties which we will use in the sequel (see Lemmas 4.2 and 3.7 respectively):

- (A) if  $u \in B_{\bar{r}}(\mathcal{A}_j) \setminus \mathcal{A}_j$  and  $\varphi(u) \leq c_{\overline{\alpha}} + h$  then  $\|\varphi'(u)\| \geq \bar{\nu}$ (Annuli property),
- (S) for every h > 0 there exists  $j_h \in \mathbf{N}$  and  $\nu_h > 0$  such that if  $\varphi(u) \le c_{\overline{\alpha}} h$  and  $u \in B_{\overline{r}}(\mathcal{A}_j)$  for some  $j \ge j_h$  then  $\|\varphi'(u)\| \ge \nu_h$  (Slices property)

Using the *slices property* fixed a non increasing sequence of positive numbers  $(\nu_i)$  we obtain an increasing sequence of indices  $(j_i(h))$  such that:

 $(S_i)$  if  $u \in B_{\overline{r}}(\mathcal{A}_j)$  for some  $j \ge j_i(h)$  and  $\varphi(u) \le c_{\overline{\alpha}} - \frac{1}{2}h_i$  then  $\|\varphi'(u)\| \ge \nu_i$ .

Now note that if  $u \in \mathcal{B}_r(J)$  then  $||u||_{I_i} \leq \sup_{v \in \mathcal{A}_{I_i}} ||v|| + r \leq M$ . In other words the mass of the functions in  $\mathcal{B}_r(J)$  in each interval  $I_i$  is bounded independently of the number k. Then we obtain

LEMMA 6.1. – Given any sequence of positive real numbers  $(\xi_i)$  there exists a monotone increasing sequence of indices  $(j_i(\xi))$  for which if  $k \in \mathbf{N}$  and  $j_1 < j_2 < \ldots < j_k \in \mathbf{N}$  verify  $j_i \ge j_i(\xi)$   $(i = 1, \ldots, k)$  then for any  $u \in \mathcal{B}_r(J)$  there exist two intervals  $N_{u,i}^- \subset (\sigma_{j_i}^-, \tau_{j_i}^-)$  and  $N_{u,i}^+ \subset (\tau_{j_i}^+, \sigma_{j_i}^+)$  such that

$$|N_{u,i}^{\pm}| = 1$$
, and  $||u||_{N_{u,i}^{\pm} \cup N_{u,i}^{\pm}} \le \xi_i$ ,  $\forall i \in \{1, \dots, k\}$ .

*Proof.* – We recall that  $L_j = \min\{|\sigma_j^- - \tau_j^-|, |\sigma_j^+ - \tau_j^+|\} \to \infty$  as  $j \to \infty$ . Then we can fix an increasing sequence of indices  $(j_i(\xi))$  such that  $\frac{M^2}{[L_j]} \leq \xi_i^2$  for every  $j \geq j_i(\xi)$  (where [x] denotes the entire part of x). Let  $k \in \mathbb{N}$  and  $j_i \in \mathbb{N}$  with  $j_i \geq j_i(\xi)$  for all  $i = 1, \ldots, k$ . If  $u \in \mathcal{B}_r(J)$ 

Let  $k \in \mathbb{N}$  and  $j_i \in \mathbb{N}$  with  $j_i \ge j_i(\xi)$  for all i = 1, ..., k. If  $u \in \mathcal{B}_r(J)$ we have

$$M^{2} \geq \|u\|_{I_{i}}^{2} \geq \sum_{l=1}^{|L_{i}|} [\|u\|_{(\tau_{j_{i}}^{-}-l,\tau_{j_{i}}^{-}-l+1)}^{2} + \|u\|_{(\tau_{j_{i}}^{+}+l-1,\tau_{j_{i}}^{+}+l)}^{2}]$$
  
$$\geq [L_{i}] \min_{l=1,\dots,[L_{i}]} [\|u\|_{(\tau_{j_{i}}^{-}-l,\tau_{j_{i}}^{-}-l+1)}^{2} + \|u\|_{(\tau_{j_{i}}^{+}+l-1,\tau_{j_{i}}^{+}+l)}^{2}] \quad i=1,\dots,k$$

and the lemma follows by the choice of  $j_i(\xi)$ .

We fix a decreasing sequence  $(\xi_i) \subset (0,1)$  with  $\xi_i \leq \frac{1}{8}\min\{r_0, \bar{\nu}, \nu_i, h_{i+1}^{\frac{1}{2}}\}$  for any  $i \in \mathbb{N}$ . We will denote  $J_k(\xi) = \{(j_1, \ldots, j_k); j_1 < j_2 < \ldots < j_k, j_i \geq \max\{j_i(h), j_i(\xi)\}\}$  where  $j_i(h)$  is given in  $(S_i)$  and  $j_i(\xi)$  in Lemma 6.1.

By Lemma 6.1 if  $J = (j_1, \ldots, j_k) \in J_k(\xi)$  and  $u \in \mathcal{B}_r(J)$  then each interval  $P_{j_i}$  contains two subintervals, one on the right and one on the left of  $Q_{j_i}$ , over which the norm of u is controlled by  $\xi_i$ . We will use Vol. 16,  $n^\circ$  1-1999.

this property to produce a suitable cutoff procedure controlling the errors via the sequence  $(\xi_i)$ .

Then, given  $J \in J_k(\xi)$  and  $u \in \mathcal{B}_{\bar{r}}(J)$  we define the cutoff functions by

$$\beta_{u,i}(t) = \begin{cases} 0 & \text{if } t \le \inf N_{u,i}^{-} \\ t - \inf N_{u,i}^{-} & \text{if } t \in N_{u,i}^{-} \\ 1 & \text{if } \sup N_{u,i}^{-} \le t \le \inf N_{u,i}^{+} \\ \sup N_{u,i}^{+} - t & \text{if } t \in N_{u,i}^{+} \\ 0 & \text{if } t \ge \sup N_{u,i}^{+} \end{cases} \quad i = 1, \dots, k.$$

We define also the "complement" functions by

$$\begin{split} \bar{\beta}_{u,0}(t) &= \begin{cases} 1 - \beta_{u,1}(t) & \text{if } t \leq \tau_{j_1} \\ 0 & \text{otherwise} \end{cases} \\ \bar{\beta}_{u,i}(t) &= \begin{cases} 1 - \beta_{u,i}(t) - \beta_{u,i+1}(t) & \text{if } \tau_{j_i} \leq t \leq \tau_{j_{i+1}} \\ 0 & \text{otherwise} \end{cases} \\ \bar{\beta}_{u,k}(t) &= \begin{cases} 1 - \beta_{u,k}(t) & \text{if } t \geq \tau_{j_k} \\ 0 & \text{otherwise.} \end{cases} \end{split}$$

Setting  $\beta_u = \sum_{i=1}^k \beta_{u,i}$  and  $\bar{\beta}_u = \sum_{c=0}^k \bar{\beta}_{u,i}$  we have that  $\beta_u(t) + \bar{\beta}_u(t) = 1$  for any  $t \in \mathbf{R}$ .

We denote  $B_{u,l} = \{t \in \mathbf{R}; \bar{\beta}_{u,l}(t) \neq 0\}, B_u = \bigcup_{l=0}^k B_{u,l}, A_{u,l} = \{t \in \mathbf{R}; \bar{\beta}_{u,l}(t) = 1\}, A_u = \bigcup_{l=0}^k A_{u,l}.$ 

Note that if  $\beta$  is anyone of the above defined cutoff functions then  $|\dot{\beta}(t)| \leq 1$ , a.e. on **R**. Then if A is a measurable subset of **R**, a direct computation shows that  $\|\beta v\|_A^2 \leq 3\|v\|_A^2$  for any  $v \in X$ .

We will use these cutoff functions to study for every  $u \in B_{\bar{r}}(J)$  the different contributions to  $\varphi'(u)$  due to the behaviour of u(t) on each interval  $I_i$ . In fact, as one argues from the following lemma, if  $\|\varphi'(\beta_{u,i}u)\|$  is sufficiently large with respect to  $\xi_i$ , then we get informations on both  $\varphi'(u)$  and  $\varphi'_i(u)$ .

LEMMA 6.2. - If 
$$J \in J_k(\xi)$$
,  $u \in \mathcal{B}_{\tilde{r}}(J)$  then  $\forall i \in \{1, \dots, k\}$   
$$\sup_{\|V\|=1} |\varphi'(\beta_{u,i}u)V - \varphi'(u)\beta_{u,i}V| = \sup_{\|V\|=1} |\varphi'(\beta_{u,i}u)V - \varphi'_i(u)\beta_{u,i}V| \le 2\xi_i.$$

*Proof.* – Note that if  $u \in \mathcal{B}_{\bar{r}}(J)$  we have  $||u||_{L^{\infty}(\mathbf{R} \setminus \bigcup_{i=1}^{k} Q_{j_i})} \leq \bar{\delta}$  for all  $i = 1, \dots, k$  and in particular  $||u||_{L^{\infty}(N_{u,i})} \leq \bar{\delta}$  where  $N_{u,i} = N_{u,i}^{-} \cup N_{u,i}^{+}$ . Therefore by the choice of  $\bar{\delta}$  for every  $V \in X$  with ||V|| = 1 we obtain

$$\begin{aligned} &|\varphi'(\beta_{u,i}u)V - \varphi'(u)\beta_{u,i}V| \\ \leq &|\langle \beta_{u,i}u,V \rangle - \langle u,\beta_{u,i}V \rangle| + |\int_{N_{u,i}} \alpha(t)(\nabla W(\beta_{u,i}u) - \nabla W(u)\beta_{u,i})V \, dt \\ \leq &|\int_{N_{u,i}} \dot{\beta}_{u,i}(u\dot{V} - \dot{u}V)dt| + \frac{1}{2} \int_{N_{u,i}} |u||V|dt \leq 2||u||_{N_{u,i}} \leq 2\xi_i. \end{aligned}$$

Now note that if  $u \in \mathcal{B}_r(J)$  we have  $||u||_{L^{\infty}(\mathbf{R}\setminus \bigcup_{i=1}^k Q_{j_i})} \leq \overline{\delta}$  and therefore  $||\overline{\beta}_{u,i}u||_{L^{\infty}} \leq \overline{\delta}$  too. With the agreement that  $\xi_0 = \xi_1$ , we define for  $l \in \{0, \ldots, k\}$ 

$$\sigma_{u,l} = \begin{cases} 1 & \text{if } \|u\|_{A_{u,l}}^2 \ge 2\xi_l^2 \\ \frac{1}{2} \left(\frac{\xi_k^2}{\sum_{i=0}^k \xi_i^2}\right) & \text{otherwise} \end{cases}$$

and

$$\mathcal{W}_u = \sum_{l=0}^k \sigma_{u,l} \bar{\beta}_{u,l} u.$$

Then, by the choice of  $\overline{\delta}$ , if the mass of the function u on  $M_i$  (which is always contained in  $I_i \cap A_u$ ) is sufficiently large w.r.t.  $\xi_i$ , then  $\mathcal{W}_u$  is an increasing direction both for  $\varphi$  and  $\varphi_i$ . In fact we have

LEMMA 6.3. – For every  $J \in J(\xi)$  and  $u \in \mathcal{B}_{\bar{r}}(J)$ , we have

$$\varphi'(u)\mathcal{W}_{u} \geq \frac{1}{2}\sum_{l=0}^{k} \sigma_{u,l}(\|u\|_{A_{u,l}}^{2} - 2\xi_{l}^{2}), \ \varphi_{i}'(u)\mathcal{W}_{u} \geq \frac{1}{2}\sum_{l=0}^{k} \sigma_{u,l}(\|u\|_{I_{i}\cap A_{u,l}}^{2} - 2\xi_{l}^{2})$$

for all  $i \in \{1, ..., k\}$ .

*Proof.* – By the choice of  $\overline{\delta}$  we have

$$\begin{split} \varphi'(u)\mathcal{W}_{u} &= \sum_{l=0}^{k} \sigma_{u,l}(\langle u, \bar{\beta}_{u,l}u \rangle - \int \nabla W(u)\bar{\beta}_{u,l}u \ dt) \\ &\geq \sum_{l=0}^{k} \sigma_{u,l}(\langle u, \bar{\beta}_{u,l}u \rangle - \frac{1}{4} \int \bar{\beta}_{u,l}u^{2}dt) \\ &\geq \sum_{l=0}^{k} \sigma_{u,l}(\frac{3}{4}||u||^{2}_{A_{u,l}} + \int_{B_{u,l} \setminus A_{u,l}} [\bar{\beta}_{u,l}(\dot{u}^{2} + \frac{3}{4}u^{2}) + \bar{\beta}_{u,l}\dot{u}\dot{u}] \ dt) \\ &\geq \sum_{l=0}^{k} \sigma_{u,l}(\frac{3}{4}||u||^{2}_{A_{u,l}} - \int_{B_{u,l} \setminus A_{u,l}} |\bar{\beta}_{u,l}|u\dot{u} \ dt) \\ &\geq \sum_{l=0}^{k} \sigma_{u,l}(\frac{3}{4}||u||^{2}_{A_{u,l}} - \frac{1}{2}||u||^{2}_{B_{u,l} \setminus A_{u,l}}) \geq \frac{1}{2} \sum_{l=0}^{k} \sigma_{u,l}(||u||^{2}_{A_{u,l}} - 2\xi_{l}^{2}). \end{split}$$

The computation for  $\varphi'_i$  is analogous.

Vol. 16, n° 1-1999.

Remark 6.1. - Note that by construction we have

$$\min\{\varphi'(u)\mathcal{W}_{u},\varphi'_{i}(u)\mathcal{W}_{u}\} \geq -\sum_{\{l:||u||^{2}_{A_{u,l}}<2\xi_{l}^{2}\}}\sigma_{u,l}\xi_{l}^{2}\geq -\frac{1}{2}\xi_{k}^{2} \qquad (6.1)$$

and

$$\langle u, \mathcal{W}_u \rangle_{M_i} \ge \frac{1}{2} (\frac{\xi_k^2}{\sum_{l=0}^k \xi_l^2}) ||u||_{M_i}^2$$
 (6.2)

for all i = 1, ..., k.

Now we are able to prove Lemma 5.1 with the sequence of indices  $\tilde{j}_i = \max\{j_i(h), j_i(\xi)\}.$ 

*Proof of Lemma* 5.1. – We will show that if  $k \in \mathbb{N}$  and  $J = (j_1, \ldots, j_k)$ verifies  $j_1 < \ldots < j_k$  and  $j_i \ge \tilde{j}_i$   $(i = 1, \ldots, k)$  and if  $\mathcal{E}_k \cap \Phi_k \cap \mathcal{B}_{\bar{r}}(J) \cap \mathcal{K} =$  $\emptyset$  then for any  $u \in \mathcal{B}_{r_3}(J)$  there exists  $F_u \in X$  with  $\|F_u\|_{I_i} \leq 1$ which verifies the listed properties  $(\mathcal{F}1)$ - $(\mathcal{F}5)$ . Then the existence of a locally Lipschitz vector field will follow with a classical pseudo-gradient construction.

Given  $u \in B_{r_3}(J)$  we set

$$\mathcal{I}_1(u) = \{ i \in \{1, \dots, k\}; r_1 \le \inf_{v \in \mathcal{A}_{j_i}} \|u - v\|_{I_i}, \varphi_i(u) \le c_{\overline{\alpha}} + 2\tilde{h} \},$$
$$\mathcal{I}_2(u) = \{ i \in \{1, \dots, k\}; \varphi_i(u) \le c_{\overline{\alpha}} - h_i \}.$$

For  $i \in \mathcal{I}_1(u)$ , we have either  $||u||_{I_i \cap A_u} \ge r_0$  or  $||u||_{I_i \cap A_u} < r_0$ . In the first case we have that  $\max\{||u||^2_{A_{u,i-1} \cap I_i}, ||u||^2_{A_{u,i} \cap I_i}\} \ge \frac{1}{2}r_0^2$ . Therefore, since  $\xi_1 \le \frac{r_0}{3}$  (and so every  $\xi_i$ ), by Lemma 6.3, we get

$$\begin{split} &\varphi'(u)\mathcal{W}_{u} \geq \\ &\geq \frac{1}{2}(\max\{\|u\|_{A_{u,i-1}\cap I_{i}}^{2}, \|u\|_{A_{u,i}\cap I_{i}}^{2}\} - 2\xi_{i-1}^{2}) - \frac{1}{2}\sum_{\{l_{+}; \|u\|_{A_{u,l}}^{2} < 2\xi_{l}^{2}\}} \sigma_{u,l}\xi_{l}^{2} \\ &\geq \frac{1}{2}\left(\frac{1}{2}r_{0}^{2} - \frac{1}{4}r_{0}^{2}\right) - \frac{1}{2}\xi_{k}^{2} \geq \frac{1}{16}r_{0}^{2}. \end{split}$$

The same computation shows also that  $\varphi'_i(u)\mathcal{W}_u \geq \frac{1}{16}r_0^2$  and we conclude

$$\min\{\varphi'(u)\mathcal{W}_u,\varphi'_i(u)\mathcal{W}_u\} \ge \frac{1}{16}r_0^2.$$
(6.3)

In this case we set  $F_{u,i} = 0$ .

In the second case, i.e.  $i \in \mathcal{I}_1(u)$  and  $||u||_{I_i \cap A_u} < r_0$ , we claim that  $\beta_{u,i}u \in B_{\bar{r}}(\mathcal{A}_{j_i}) \setminus \mathcal{A}_{j_i}$  and  $\varphi(u) \leq c_{\overline{\alpha}} + \overline{h}$ .

Indeed we obtain easily that  $\beta_{u,i} u \in X \setminus A_{j_i}$  since by Lemma 6.1 we have

$$\inf_{v \in \mathcal{A}_{j_i}} \|\beta_{u,i}u - v\| \ge \inf_{v \in \mathcal{A}_{j_i}} \|\beta_{u,i}u - v\|_{I_i} 
\ge \inf_{v \in \mathcal{A}_{j_i}} \|u - v\|_{I_i} - \|u - \beta_{u,i}u\|_{I_i} 
\ge r_1 - (\|u\|_{\mathcal{A}_u \cap I_i}^2 + 4\xi_i^2)^{\frac{1}{2}} \ge r_1 - (r_0^2 + \frac{1}{2}r_0^2)^{\frac{1}{2}} > 0.$$

On the other hand we recall that by Lemma 4.1, since  $Q_{j_i} \subset I_i$ , we have that  $\sup_{\mathcal{A}_{j_i}} ||v||_{\mathbf{R} \setminus I_i} \leq r_0$ . Therefore

$$\begin{split} \inf_{v \in \mathcal{A}_{j_i}} \|\beta_{u,i}u - v\| &\leq \inf_{v \in \mathcal{A}_{j_i}} \|\beta_{u,i}u - v\|_{I_i} + r_0 \\ &\leq \inf_{v \in \mathcal{A}_{j_i}} \|u - v\|_{I_i} + \|\beta_{u,i}u - u\|_{I_i} + r_0 \\ &\leq r_3 + (r_0^2 + \frac{1}{2}r_0^2)^{\frac{1}{2}} + r_0 < \bar{r} \end{split}$$

To prove our claim we have to show that  $\varphi(\beta_{u,i}u) \leq c_{\overline{\alpha}} + \overline{h}$ .

To this end we observe that since  $||u||_{L^{\infty}(I_i \setminus Q_{j_i})} < \overline{\delta}$ , we have  $\frac{1}{2} ||u||^2_{B_u \cap I_i} - \int_{B_u \cap I_i} \alpha(t) W(u) dt \ge 0$ . Then since  $\varphi_i(u) \le c_{\overline{\alpha}} + 2\tilde{h}$  and  $\xi_i^2 < \frac{h}{3}$ , we obtain

$$\begin{split} \varphi(\beta_{u,i}u) &= \varphi_i(\beta_{u,i}u) = \frac{1}{2} \|u\|_{I_i \setminus B_u}^2 + \frac{1}{2} \|\beta_{u,i}u\|_{N_{u,i}}^2 + \\ &- \int_{I_i \setminus B_u} \alpha(t) W(u) dt - \int_{N_{u,i}} \alpha(t) W(u) dt \\ &\leq \varphi_i(u) + 3\xi_i^2 \leq c_{\overline{\alpha}} + 3\tilde{h} < c_{\overline{\alpha}} + \bar{h}. \end{split}$$

Then by the annuli property there exists  $V_{u,i} \in X$ ,  $||V_{u,i}|| = 1$ , such that  $\varphi'(\beta_{u,i}u)V_{u,i} \geq \frac{\bar{\nu}}{2}$ . By Lemma 6.2, since  $\xi_i^2 < \xi_1 \leq \frac{\bar{\nu}}{8}$ , we obtain

$$\min\{\varphi(u)\beta_{u,i}V_{u,i},\varphi'_i(u)\beta_{u,i}V_{u,i}\} \ge \frac{\bar{\nu}}{4}$$

Then if  $i \in \mathcal{I}_1(u)$  and  $||u||_{I_i \cap A_u} < r_0$  we set  $F_{u,i} = \beta_{u,i} V_{u,i}$ . Since  $\xi_k^2 \leq \frac{\bar{\nu}}{8}$ , by (6.1) we have

$$\min\{\varphi'(u)(F_{u,i}+\mathcal{W}_u),\varphi'_i(u)(F_{u,i}+\mathcal{W}_u)\} \ge \frac{\bar{\nu}}{8}.$$
(6.4)

Defining

$$F_u^{(1)} = \begin{cases} \sum_{i \in \mathcal{I}_1(u)} F_{u,i} + \mathcal{W}_u & \text{if } \mathcal{I}_1(u) \neq \emptyset \\ 0 & \text{otherwise,} \end{cases}$$

by (6.3) and (6.4), recalling that  $\bar{\mu} = \frac{1}{8} \min\{\frac{r_0^2}{16}, \frac{\bar{\nu}}{8}\}$ , we finally obtain that if  $\mathcal{I}_1(u) \neq \emptyset$  then

$$\begin{cases} \varphi'(u)F_{u}^{(1)} \ge 8\bar{\mu} & \text{if } i \in \mathcal{I}_{1}(u) \\ \varphi'_{i}(u)F_{u}^{(1)} \ge 8\bar{\mu} & \text{if } i \in \mathcal{I}_{1}(u) \\ \langle u, F_{u}^{(1)} \rangle_{M_{i}} = \langle u, \mathcal{W}_{u} \rangle_{M_{i}} \ge \frac{1}{2} \left( \frac{\xi_{k}^{2}}{\sum_{t=0}^{k} \xi_{t}^{2}} \right) ||u||_{M_{i}}^{2} & i = 1, \dots, k. \end{cases}$$
(6.5)

Now we consider the case  $i \in \mathcal{I}_2(u)$ .

Considered  $\lambda_i^2 = \min\{\frac{h_i}{2}, r_0^2\}$ , we have either  $||u||_{A_u \cap I_i} \ge \lambda_i$  or  $||u||_{A_u \cap I_i} < \lambda_i$ .

In the first case we set  $\tilde{F}_{u,i} = 0$  and we observe that, replacing  $r_0$  with  $\lambda_i$ , the same estimative with which we obtained (6.3), give now

$$\min\{\varphi'(u)\mathcal{W}_u,\varphi'_i(u)\mathcal{W}_u\} \ge \frac{1}{16}\lambda_i^2.$$
(6.6)

In the second case we claim that  $\beta_{u,i}u \in B_{\bar{r}}(A_{j_i})$  and  $\varphi(\beta_{u,i}u) \leq c_{\overline{\alpha}} - \frac{h_i}{2}$ . Indeed, since  $\lambda_i \leq r_0$  we have already prove that  $\beta_{u,i}u \in B_{\bar{r}}(A_{j_i})$ . Moreover, since  $\lambda_i^2 \leq \frac{h_i}{2}$ ,  $\xi_i^2 \leq \frac{h_i}{16}$  and  $||u||_{L^{\infty}(I_i \cap B_u} \leq \bar{\delta}$ , we have

$$\begin{aligned} |\varphi_i(u) - \varphi(\beta_{u,i}u)| &= \frac{1}{2} (\|u\|_{I_i}^2 - \|\beta_{u,i}u\|_{I_i}^2) - \int_{I_i} \alpha(t) (W(u) - W(\beta_{u,i}u)) dt \\ &\leq \frac{1}{2} (\|u\|_{I_i \cap A_u}^2 + 4\xi_i^2) - \frac{1}{4} \int_{N_{u,i}} |u|^2 dt \\ &\leq \frac{1}{2} \|u\|_{I_i \cap A_u}^2 + 3\xi_i^2 < \frac{1}{2} h_i \end{aligned}$$

and since  $\varphi_i(u) \leq c_{\overline{\alpha}} - h_i$  the claim is proved.

By  $(S_i)$  there exists  $\tilde{V}_{u,i} \in X$ ,  $\|\tilde{V}_{u,i}\| = 1$ , such that  $\varphi'(\beta_{u,i}u)\tilde{V}_{u,i} \ge \frac{\nu_i}{2}$ . By Lemma 6.2, since  $\xi_i^2 < \xi_i \le \frac{\nu_i}{8}$  we have

$$\min\{\varphi(u)\beta_{u,i}\tilde{V}_{u,i},\varphi'_i(u)\beta_{u,i}\tilde{V}_{u,i}\} \ge \frac{\nu_i}{4}$$

Then if  $i \in \mathcal{I}_2(u)$  and  $||u||_{I_i \cap A_u} < \lambda_i$  we set  $\tilde{F}_{u,i} = \beta_{u,i} \tilde{V}_{u,i}$ . Therefore, since  $\xi_k^2 \leq \xi_i \leq \frac{\nu_i}{8}$ , by (6.1) we have

$$\min\{\varphi'(u)(\check{F}_{u,i}+\mathcal{W}_u),\varphi'_i(u)(\check{F}_{u,i}+\mathcal{W}_u)\} \ge \frac{\nu_i}{8}.$$
(6.7)

We define

$$F_u^{(2)} = \begin{cases} \sum_{i \in \mathcal{I}_2(u)} \tilde{F}_{u,i} + \mathcal{W}_u & \text{if } \mathcal{I}_2(u) \neq \emptyset \\ 0 & \text{otherwise,} \end{cases}$$

and by (6.6), (6.7) and (6.2), we have that if  $\mathcal{I}_2(u) \neq \emptyset$  then

$$\begin{cases} \varphi'(u)F_{u}^{(2)} \geq \min\left\{\frac{1}{16}\lambda_{k}^{2}, \frac{1}{8}\nu_{k}\right\} \\ \varphi'_{i}(u)F_{u}^{(1)} \geq \min\left\{\frac{1}{16}\lambda_{k}^{2}, \frac{1}{8}\nu_{k}\right\} & \text{if } i \in \mathcal{I}_{2}(u) \\ \langle u, F_{u}^{(2)}\rangle_{M_{i}} = \langle u, \mathcal{W}_{u}\rangle_{M_{i}} \geq \frac{1}{2}\left(\frac{\xi_{k}^{2}}{\sum_{l=0}^{k}\xi_{l}^{2}}\right) \|u\|_{M_{i}}^{2}, \quad i = 1, \dots, k. \end{cases}$$

$$(6.8)$$

Finally we consider the case  $\mathcal{I}_1(u) = \mathcal{I}_2(u) = \emptyset$ . Also in this case we distinguish between the two following alternative cases:

$$\max_{1 \le i \le k} (\|u\|_{M_i}^2 - 8\xi_{i-1}^2) \ge 0 \quad \text{or} \quad \max_{1 \le i \le k} (\|u\|_{M_i}^2 - 8\xi_{i-1}^2) < 0.$$

In the first case there exists  $i \in \{1, ..., k\}$  for which  $||u||_{M_i}^2 \ge 8\xi_{i-1}^2$  and by Lemma 6.3 we have

$$\varphi'(u)\mathcal{W}_{u} \geq \frac{1}{2}(\max\{\|u\|_{A_{u,i-1}\cap M_{i}}^{2}, \|u\|_{A_{u,i}\cap M_{i}}^{2}\} - 2\xi_{i-1}^{2}) - \frac{1}{2}\xi_{k}^{2} \geq \frac{1}{2}\xi_{k}^{2}.$$
(6.9)

In the second case if  $u \in \{\varphi < kc_{\overline{\alpha}} + \tilde{h}\}$ , since  $\frac{h_i}{8} \ge 8\xi_{i-1}^2$   $(1 \le i \le k)$ , we have that  $u \in \mathcal{E}_k \cap \Phi_k \cap \mathcal{B}_{r_3}(J)$ . Since  $\mathcal{E}_k \cap \Phi_k \cap \mathcal{B}_{\bar{r}}(J) \cap \mathcal{K} = \emptyset$  and since in  $\mathcal{B}_{\bar{r}}(J)$  the Palais Smale sequences are precompact, there exists  $\tilde{\nu}_J > 0$  such that for any  $u \in \mathcal{E}_k \cap \Phi_k \cap \mathcal{B}_{r_3}(J)$  there exists  $V_u \in X$ ,  $||V_u|| = 1$  and such that  $\varphi'(u)V_u \ge \tilde{\nu}_J$ . Setting  $\nu_J = \frac{1}{8}\min\{\tilde{\nu}_J, \frac{1}{2}\xi_k^2\}$  and

$$F_{u}^{(3)} = \begin{cases} \mathcal{W}_{u} & \text{if } \mathcal{I}_{1}(u) = \mathcal{I}_{2}(u) = \emptyset \text{ and } \max_{1 \le i \le k} (\|u\|_{M_{i}}^{2} - 8\xi_{i-1}^{2}) \ge 0, \\ V_{u} & \text{if } \mathcal{I}_{1}(u) = \mathcal{I}_{2}(u) = \emptyset \text{ and if } \max_{1 \le i \le k} (\|u\|_{M_{i}}^{2} - 8\xi_{i-1}^{2}) < 0 \\ 0 & \text{otherwise,} \end{cases}$$

we have that if  $\mathcal{I}_1(u) = \mathcal{I}_2(u) = \emptyset$  then

$$\varphi'(u)F_u^{(3)} \ge 8\nu_J \tag{6.10}$$

and moreover, if  $u \in \mathcal{B}_{r_3}(J) \setminus \mathcal{E}_k$ , by (6.2) we have

$$\langle u, F_u^{(3)} \rangle_{M_i} = \langle u, \mathcal{W}_u \rangle_{M_i} \ge \frac{1}{2} \left( \frac{\xi_k^2}{\sum_{i=0}^k \xi_i^2} \right) \|u\|_{M_i}^2 \quad i = 1, \dots, k.$$
 (6.11)

We define

$$F_u = \frac{1}{6}F_u^{(1)} + \frac{1}{6}F_u^{(2)} + \frac{1}{6}F_u^{(3)}$$

obtaining our results by (6.5), (6.8), (6.10) and (6.11). We note that it is not restrictive to assume  $||F_u||_{I_i} \leq 1$  choosing  $\bar{r}$  smaller if necessary.

#### ACKNOWLEDGMENT

We wish to thank Margherita Nolasco for the useful discussions.

# REFERENCES

- F. ALESSIO, Homoclinic solutions for second order systems with expansive time dependence, preprint, 1996.
- [2] A. AMBROSETTI, M. BADIALE and S. CINGOLANI, Semiclassical states of nonlinear Schrödinger equation, Arch. Rat. Mech. Anal., to appear.
- [3] A. AMBROSETTI and M. L. BERTOTTI, , Homoclinics for second order conservative systems, Partial differential equation and related subjects, Ed. M.Miranda, Pitman Research Notes in *Math. Ser.*, 1992.
- [4] A. AMBROSETTI and V. COTI ZELATI, Multiple homoclinic orbits for a class of conservative systems, *Rend. Sem. Mat. Padova*, Vol. 89,1993, pp. 177–194.
- [5] U. BESSI, A Variational Proof of a Sitnikov-like Theorem, Nonlinear Anal. TMA, Vol. 20, 1993, pp. 1303–1318.
- [6] M. L. BERTOTTI and S. BOLOTIN, A variational approach for homoclinics in almost periodic Hamiltonian systems, *Comm. Appl. Nonlinear Analysis*, Vol. 2, 1995, pp. 43–57.
- [7] S. BOLOTIN, Existence of homoclinic motions, Vestnik Moskov. Univ. Ser. I Mat. MeKh., Vol.6, 1980, pp. 98-103.
- [8] B. BUFFONI and E. SERE, A global condition for quasi random behaviour in a class of conservative systems, preprint, 1995.
- [9] P. CALDIROLI and P. MONTECCHIARI, Homoclinics orbits for second order Hamiltonian systems with potential changing sign, *Comm. Appl. Nonlinear Analysis*, Vol. 1, 1994, pp. 97–129.
- [10] P. CALDIROLI, P. MONTECCHIARI and M. NOLASCO, Asymptotic behaviour for a class of multibump solutions to Duffing-like systems, Proc. of the Workshop on Variational and Local Methods in the study of Hamiltonian systems, World Scientific, 1995.
- [11] K. CIELIEBAK and E. SÉRÉ, Pseudo-holomorphic curves and the shadowing Lemma, Duke Math. Journ., to appear.
- [12] V. COTI ZELATI, I. EKELAND and E. SÉRÉ, A variational approach to homoclinic orbits in Hamiltonian systems, *Math. Ann.*, Vol. 288, 1990, pp. 133-160.
- [13] V. COTI ZELATI, P. MONTECCHIARI and M. NOLASCO, Multibump homoclinic solutions for a class of second order, almost periodic Hamiltonian systems, NODEA, to appear.
- [14] V. COTI ZELATI and P. H. RABINOWITZ, Homoclinic orbits for second order Hamiltonian systems possessing superquadratic potentials, J. Amer. Math. Soc., Vol. 4, 1991, pp. 693-727.
- [15] M. DEL PINO and P. L. FELMER, Multipeak bound states for nonlinear Schrödinger equations, Ann. IHP Anal. Nonlinéaire, to appear.
- [16] C. GUI, Existence of multi-bump solutions for nonlinear Schrödinger equations via variational methods, *Comm. in PDE*, Vol. 21, 1996, pp. 787–820.
- [17] H. HOFER and K. WYSOCKI, First order elliptic systems and the existence of homoclinic orbits in Hamiltonian systems, *Math. Ann.*, Vol. 228, 1990, pp. 483–503.
- [18] P. L. LIONS, The concentration-compactness principle in the calculus of variations. The locally compact case, part 1., part 2., Ann.IHP Anal. Nonlinéaire, Vol. 1, 1984. pp. 109–145, 223–283.
- [19] C. MIRANDA, Un'osservazione su un teorema di Brouwer, Boll. Unione Mat. Ital., Vol. 3, 1940, pp. 5–7.
- [20] P. MONTECCHIARI, Existence and multiplicity of homoclinic solutions for a class of asymptotically periodic second order Hamiltonian systems, Ann. Mat. Pura Appl. (IV), Vol. 168, 1995, pp. 317–354.

- [21] P. MONTECCHIARI, M. NOLASCO and S. TERRACINI, Multiplicity of homoclinics for time recurrent second order systems, Calculus of Variations, to appear.
- [22] P. MONTECCHIARI, M. NOLASCO and S. TERRACINI, A global condition for periodic Duffing-like equations, preprint, SISSA,1995.
- [23] P. H. RABINOWITZ, Homoclinic orbits for a class of Hamiltonian systems, Proc. Roy. Soc. Edinburgh, Vol. 114 A, 1990, pp. 33-38.
- [24] P. H. RABINOWITZ, Multibump solutions for an almost periodically forced singular Hamiltonian system, preprint, 1995.
- [25] P. H. RABINOWITZ, A multibump construction in a degenerate setting, preprint, 1996.
- [26] P. H. RABINOWITZ and K. TANAKA, Some results on connecting orbits for a class of Hamiltonian systems, *Math. Z.*, Vol 206, 1991, pp. 473-479.
- [27] E. Séré, Existence of infinitely many homoclinic orbits in Hamiltonian systems, Math. Zeit., Vol. 209, 1991, pp. 27-42.
- [28] E. SÉRÉ, Looking for the Bernoulli shift, Ann. IHP Anal. Nonlinéaire, Vol. 10, 1993, pp. 561–590.
- [29] E. SERRA, M. TARALLO and S. TERRACINI, On the existence of homoclinic solutions for almost periodic second order systems, Ann. IHP Anal. Nonlinéaire, to appear.
- [30] S. WIGGINS, Global bifurcation and chaos, Applied Mathematical Sciences, Springer-Verlag, Vol. 73,1988.

(Manuscript received December 2, 1996.)