

# Q-reflexive Locally Convex Spaces

By

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## Abstract

For a locally convex space  $E$  we use the Aron-Berner extension to define canonical mappings from  $\widehat{\bigotimes_{s,n,\pi} E''_e}$  into different duals of  $\mathcal{P}({}^n E)$ . We investigate necessary and sufficient conditions for the continuity of these mappings, paying particular attention to three special cases — Fréchet spaces, DF spaces and reflexive A-nuclear spaces. We define Q-reflexive spaces as spaces where a certain canonical mapping can be extended to an isomorphism between  $\widehat{\bigotimes_{s,n,\pi} E''_e}$  and  $\overline{(\mathcal{P}({}^n E), \tau_b)'_i}$ . We find examples of such spaces.

## §1. Introduction

In [3] R. Aron and S. Dineen considered the problem of obtaining a polynomial functional representation of the bidual of the space of continuous  $n$ -homogeneous polynomials on a Banach space  $E$ . More precisely, they asked when the space  $\mathcal{P}({}^n E)''$  is isomorphic to  $\mathcal{P}({}^n E'')$  in a canonical way. Spaces with this property are called *Q-reflexive*. A reflexive Banach space  $E$  with the approximation property is Q-reflexive if and only if  $\mathcal{P}({}^n E)$  is reflexive.

In this article we consider the analogous problem when  $E$  is a locally convex space. When  $E$  is a Banach space,  $\mathcal{P}({}^n E)$  endowed with the topology of uniform convergence over the unit ball of  $E$  is a Banach space. The situation becomes complicated in the more general setting due to the increased choice of topologies on  $\mathcal{P}({}^n E)$  and the dual of  $E$ . To arrive at a suitable definition of Q-reflexive locally convex space we examine three classes of spaces which

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have shown themselves to be interesting from polynomial and holomorphic viewpoints — Fréchet spaces, DF spaces and fully nuclear spaces. We refer to [11] and [15] for background information on polynomials over locally convex spaces and the theory of locally convex spaces respectively.

## §2. Biduals of Spaces of Homogeneous Polynomials with the Compact Open Topology

In this section we discuss spaces of polynomials endowed with the compact open topology  $\tau_0$ . Biduality, when the domain space is either DF or Fréchet, is relatively straightforward in this case. We first, however, introduce some notation that will be used throughout the article. Let  $E$  be a locally convex space over the complex numbers  $\mathbb{C}$ . We will denote by  $\overline{E}$  the completion of  $E$ , and by  $E'$  the space of all continuous linear functionals on  $E$ . If  $E'$  is endowed with the strong topology (i.e. the topology of uniform convergence over the bounded subsets of  $E$ ) we denote it by  $E'_\beta$ . We say that  $E$  is *infrabarrelled* (or *quasibarrelled*) if the canonical inclusion of  $E$  into  $E''_{\beta\beta} := (E'_\beta)'_\beta$  is continuous. Let  $\mathcal{V}$  be a fundamental 0-neighbourhood basis of  $E$ , the collection  $(V^{\circ\circ})_{V \in \mathcal{V}}$  is a fundamental 0-neighbourhood basis for the *natural topology* on  $E''$ . The bidual of  $E$  endowed with the natural topology is denoted by  $E''_e$ . It is well known that  $E$  is infrabarrelled if and only if  $E''_e = E''_{\beta\beta}$ , or, equivalently, if and only if the bounded subsets of  $E'_\beta$  are equicontinuous. A locally convex space  $E$  is barrelled if and only if the  $\sigma(E', E)$ -bounded subsets in  $E'$  are equicontinuous (thus every barrelled space is infrabarrelled). A locally convex space is distinguished if its strong dual is barrelled.

For  $E$  a locally convex space we let  $\mathcal{P}_a(^n E)$  denote the vector space of all  $n$ -homogeneous polynomials on  $E$ , and  $\mathcal{P}(^n E)$  denote the space of all *continuous*  $n$ -homogeneous polynomials on  $E$ . The topology on  $\mathcal{P}(^n E)$  of uniform convergence over the compact (respectively bounded) subsets of  $E$  is denoted by  $\tau_0$  (respectively  $\tau_b$ ). A third topology on  $\mathcal{P}(^n E)$  can be defined in the following way. A semi-norm  $p$  on  $\mathcal{P}(^n E)$  is  $\tau_\omega$ -continuous if for every zero neighbourhood  $V$  in  $E$  there exists a positive constant  $C(V)$  such that

$$p(P) \leq C(V) \|P\|_V$$

for all  $P \in \mathcal{P}(^n E)$ . The topology generated by all such semi-norms is denoted by  $\tau_\omega$ . When  $n = 1$ ,  $E'_i := (\mathcal{P}(^1 E), \tau_\omega)$  is the inductive dual of  $E$ ,  $E'_\beta := (\mathcal{P}(^1 E), \tau_b)$  is the strong dual of  $E$  and, if  $E$  is quasi-complete,  $E'_c := (\mathcal{P}(^1 E), \tau_0)$ .

If  $\widehat{\bigotimes}_{s,n,\pi} E$  denotes the completed symmetric  $n$ -fold tensor product of  $E$  endowed with the projective tensor topology, then  $(\widehat{\bigotimes}_{s,n,\pi} E)'_i$  and  $(\mathcal{P}({}^n E), \tau_\omega)$  are isomorphic. The space  $E$  has the  $(BB)_n$  property if the closed convex hull of  $\otimes_{n,s} B$  forms a fundamental system of bounded subsets of  $\widehat{\bigotimes}_{s,n,\pi} E$  as  $B$  ranges over the bounded subsets of  $E$ . Clearly  $E$  has  $(BB)_n$  if and only if  $(\widehat{\bigotimes}_{s,n,\pi} E)'_\beta$  and  $(\mathcal{P}({}^n E), \tau_b)$  are isomorphic. A locally convex space in which all closed bounded sets are compact is called semi-Montel. A semi-Montel Fréchet space is called Fréchet-Montel and a semi-Montel DF space is called a DFM space.

**Proposition 2.1.** *Let  $E$  be a Fréchet space and  $n$  a positive integer. Then*

- (a)  $((\mathcal{P}({}^n E), \tau_0)'_\beta)'_\beta = (\mathcal{P}({}^n E), \tau_w)$  if and only if  $\widehat{\bigotimes}_{s,n,\pi} E$  is a distinguished Fréchet space.
- (b)  $((\mathcal{P}({}^n E), \tau_0)'_\beta)'_\beta = (\mathcal{P}({}^n E), \tau_0)$  if and only if  $E$  is a Fréchet-Montel space with the  $(BB)_n$  property.

*Proof.* By ([11], Proposition 2.20),

$$(2.1) \quad ((\mathcal{P}({}^n E), \tau_0)'_\beta)'_\beta = (\widehat{\bigotimes}_{s,n,\pi} E)'_\beta.$$

Hence (a) holds if and only if  $(\widehat{\bigotimes}_{s,n,\pi} E)'_i = (\widehat{\bigotimes}_{s,n,\pi} E)'_\beta$ . Since  $E$  is Fréchet  $\widehat{\bigotimes}_{s,n,\pi} E$  is also Fréchet. As the strong and inductive duals of a Fréchet space have the same bounded sets, a result of Grothendieck ([14], Theorem 3.16.1) implies that  $(\widehat{\bigotimes}_{s,n,\pi} E)'_i = (\widehat{\bigotimes}_{s,n,\pi} E)'_\beta$  if and only if  $\widehat{\bigotimes}_{s,n,\pi} E$  is distinguished. This proves (a).

By (2.1), (b) holds if and only if  $(\widehat{\bigotimes}_{s,n,\pi} E)'_\beta$  and  $(\mathcal{P}({}^n E), \tau_0) = (\widehat{\bigotimes}_{s,n,\pi} E)'_c$  are isomorphic, i.e. if and only if  $\widehat{\bigotimes}_{s,n,\pi} E$  is Fréchet-Montel. By ([11], Proposition

1.35) and [1],  $\widehat{\bigotimes_{s,n,\pi} E}$  is Fréchet-Montel space if and only if  $E$  is a Fréchet-Montel space with  $(BB)_n$ . This completes the proof of (b).  $\square$

**Proposition 2.2.** *Let  $E$  be a complete infrabarrelled DF space. Then*

- (a)  $((\mathcal{P}^n E, \tau_0)'_\beta)'_\beta = (\mathcal{P}^n E, \tau_\omega)$  for every  $n$ .  
 (b)  $((\mathcal{P}^n E, \tau_0)'_\beta)'_\beta = (\mathcal{P}^n E, \tau_0)$  for every  $n$  if and only if  $E$  is a DFM space.

*Proof.* (a) By ([16], p. 264),  $(\mathcal{P}^n E, \tau_0)' = \widehat{\bigotimes_{s,n,\pi} E}$  algebraically. The topology on  $\widehat{\bigotimes_{s,n,\pi} E}$  is the topology of uniform convergence on the equicontinuous subsets of the dual  $(\widehat{\bigotimes_{s,n,\pi} E})' = \mathcal{P}^n E$ , while the topology on  $(\mathcal{P}^n E, \tau_0)'_\beta$  is the topology of uniform convergence on the  $\tau_0$ -bounded, or, by ([11], Lemma 1.23), the  $\tau_b$ -bounded subsets of  $\mathcal{P}^n E$ . Let  $E$  be infrabarrelled, by ([15], Proposition 15.6.8)  $\widehat{\bigotimes_{s,n,\pi} E}$  is infrabarrelled and hence the strongly bounded and the equicontinuous subsets of its dual  $\mathcal{P}^n E$  coincide. Since every DF space has  $(BB)_n$ , this means that the  $\tau_b$ -bounded subsets and the equicontinuous subsets of  $\mathcal{P}^n E$  coincide and  $(\mathcal{P}^n E, \tau_0)'_\beta = \widehat{\bigotimes_{s,n,\pi} E}$ . By ([4], Corollary 3.4)  $(\widehat{\bigotimes_{s,n,\pi} E})'_\beta = (\widehat{\bigotimes_{s,n,\pi} E})'_i$ , hence

$$(\mathcal{P}^n E, \tau_0)''_{\beta\beta} = (\widehat{\bigotimes_{s,n,\pi} E})'_i = (\mathcal{P}^n E, \tau_\omega).$$

This completes the proof of (a).

(b) Since  $E$  is a complete infrabarrelled DF space, by (a)  $((\mathcal{P}^n E, \tau_0)'_\beta)'_\beta = (\mathcal{P}^n E, \tau_\omega)$  for every  $n$ . Suppose  $E$  is DFM, by ([11], Example 1.32)  $\tau_0 = \tau_\omega$  on  $\mathcal{P}^n E$ , hence  $((\mathcal{P}^n E, \tau_0)'_\beta)'_\beta = (\mathcal{P}^n E, \tau_0)$ .

Conversely, suppose  $((\mathcal{P}^n E, \tau_0)'_\beta)'_\beta = (\mathcal{P}^n E, \tau_0)$ . By (a),  $((\mathcal{P}^n E, \tau_0)'_\beta)'_\beta = (\mathcal{P}^n E, \tau_\omega)$ , so  $\tau_\omega = \tau_0$  on  $\mathcal{P}^n E$ . Since  $\tau_\omega \geq \tau_b \geq \tau_0$ , the Hahn-Banach Theorem implies that  $E$  is a DFM space. This completes the proof.  $\square$

*Remark 1.* The space  $E = \varprojlim (c_0(\Gamma'), \|\cdot\|_{\Gamma'})$ , where the projective limit is over all countable  $\Gamma' \subset \Gamma$  for an uncountable  $\Gamma$ , is a DF space which is not infrabarrelled. Nevertheless, it can be shown that  $((\mathcal{P}^n E, \tau_0)'_\beta)'_\beta = (\mathcal{P}^n E, \tau_\omega)$  for every  $n$ .

### §3. The Canonical Map $J_n$

In this section we consider  $\mathcal{P}({}^n E)$  endowed with the  $\tau_\omega$  and  $\tau_b$  topologies. If  $P \in \mathcal{P}({}^n E)$  let  $AB_n(P)$  denote the Aron-Berner extension of  $P$  to  $E'' := (E'_\beta)'$  (see [2]). If  $x'' \in E''$  then there exists a bounded subset  $B$  of  $E$  such that

$$|AB_n(P)(x'')| \leq \|P\|_B$$

for all  $P \in \mathcal{P}({}^n E)$ . Thus the mapping

$$(3.1) \quad J_n : \bigotimes_{s,n,\pi} E'' \longrightarrow (\mathcal{P}({}^n E), \tau_b)',$$

given by  $[J_n(\otimes_n x'')](P) = [AB_n(P)](x'')$  for all  $P \in \mathcal{P}({}^n E)$  and all  $x'' \in E''$ , and extended by linearity, is well defined. Since the topology  $\tau_\omega$  is finer than  $\tau_b$ , the mapping  $J_n$  is also well defined with range space  $(\mathcal{P}({}^n E), \tau_\omega)'$ .

We are interested in turning  $J_n$  into a continuous mapping. To proceed we need to label the different topologies that we consider. The following diagram fixes our notation:

$$(3.2) \quad \begin{array}{ccc} (\mathcal{P}({}^n E), \tau_b)'_i & \xrightarrow{i_n} & (\mathcal{P}({}^n E), \tau_\omega)'_i \\ & \swarrow J_n^{bw} & \nearrow J_n^{ww} \\ & \bigotimes_{s,n,\pi} E''_e & \\ & \swarrow J_n^{bb} & \searrow J_n^{wb} \\ (\mathcal{P}({}^n E), \tau_b)'_\beta & \xrightarrow{I_n} & (\mathcal{P}({}^n E), \tau_\omega)'_\beta \end{array}$$

$k_n$  (left vertical arrow),  $K_n$  (right vertical arrow)

The diagonal mappings are just the mapping  $J_n$  with superscripts used to denote the structure of the range space. The mappings along the horizontal and vertical arrows are always well defined and continuous.

*Remark 2.* The continuity of  $J_1^{bb} : E''_e \longrightarrow (\mathcal{P}({}^1 E), \tau_b)'_\beta = E''_{\beta\beta}$  implies that  $E$  is infrabarrelled.

We first consider the lower diagonal mappings in Diagram (3.2).

**Proposition 3.1.** *Let  $E$  be a locally convex space such that the  $\tau$ -bounded sets of  $\mathcal{P}({}^n E)$ ,  $\tau = \tau_b$  or  $\tau_\omega$ , are locally bounded for some  $n$ . Then the*

mapping

$$J_n : \bigotimes_{s,n,\pi} E_e'' \longrightarrow (\mathcal{P}({}^n E), \tau)'_{\beta}$$

is continuous. If  $\tau = \tau_{\omega}$  then  $J_n^{wb}$  can be extended to the completion  $\widehat{\bigotimes_{s,n,\pi} E_e''}$ .

*Proof.* By our hypothesis the topology on  $(\mathcal{P}({}^n E), \tau)'_{\beta}$  is generated by the semi-norms

$$\alpha_V(\phi) = \sup \{ |\phi(P)| : \|P\|_V \leq 1 \},$$

where  $V$  ranges over the convex balanced neighbourhoods of zero in  $E$ . Let  $P \in \mathcal{P}({}^n E)$  and  $\check{A}B_n(P)$  be the symmetric  $n$ -linear form associated with  $AB_n(P)$ . The mapping

$$j_n : (x''_1, \dots, x''_n) \longrightarrow [P \rightarrow [\check{A}B_n(P)](x''_1, \dots, x''_n)],$$

where  $x''_i \in E_e''$  for  $1 \leq i \leq n$ , is symmetric,  $n$ -linear, and has linearization  $J_n$ . If  $V$  is a convex balanced neighbourhood of zero in  $E$ , then by ([11], Proposition 1.53) and the Polarization Formula,

$$|[\check{A}B_n(P)](x''_1, \dots, x''_n)| \leq \frac{n^n}{n!} \|x''_1\|_{V^{\circ\circ}} \cdots \|x''_n\|_{V^{\circ\circ}} \|P\|_V$$

where  $x''_i \in E_e''$  for  $1 \leq i \leq n$  and  $P \in \mathcal{P}({}^n E)$ . Hence

$$\begin{aligned} \alpha_V(j_n(x''_1, \dots, x''_n)) &= \sup \{ |[\check{A}B_n(P)](x''_1, \dots, x''_n)| : \|P\|_V \leq 1 \} \\ &\leq \frac{n^n}{n!} \|x''_1\|_{V^{\circ\circ}} \cdots \|x''_n\|_{V^{\circ\circ}}, \end{aligned}$$

and  $j_n$  is continuous. By the definition of the projective tensor product this implies that  $J_n$  is also continuous.

When  $\tau = \tau_{\omega}$  the space  $(\mathcal{P}({}^n E), \tau_{\omega})'_{\beta}$  is complete as the strong dual of a bornological space, and consequently  $J_n$  can be extended to  $\widehat{\bigotimes_{s,n,\pi} E_e''}$  by continuity.  $\square$

Next we consider the mapping  $J_n^{bw}$ , concentrating on some special cases.

If  $E$  is a Fréchet space  $(\mathcal{P}({}^n E), \tau_{\omega})$  is a barrelled DF space, hence its strong and inductive duals coincide by [4]. Thus  $K_n$  is an isomorphism and  $J_n^{wb} = J_n^{ww}$  for every  $n$ . Moreover, the  $\tau_{\omega}$ -bounded and the  $\tau_b$ -bounded subsets of  $\mathcal{P}({}^n E)$  are locally bounded and hence, by Proposition 3.1, the mappings  $J_n^{wb} = J_n^{ww}$  and  $J_n^{bb}$  are continuous.

**Proposition 3.2.** *Let  $E$  be a Fréchet space with  $(BB)_n$  for some  $n$ . Then  $J_n^{bw}$  is continuous,  $k_n$  is an isomorphism and  $J_n^{bw} = J_n^{bb}$ .*

*Proof.* Since  $E$  has  $(BB)_n$  we have

$$(\mathcal{P}({}^n E), \tau_b)'_i = \left( \widehat{\left( \bigotimes_{s,n,\pi} E \right)}_{\beta} \right)'_{\beta} = (\mathcal{P}({}^n E), \tau_b)'_{\beta},$$

hence  $J_n^{bw} = J_n^{bb}$  and, in particular,  $J_n^{bw}$  is continuous. Moreover, as

$$(\mathcal{P}({}^n E), \tau_b)'_i = (\mathcal{P}({}^n E), \tau_b)'_{\beta},$$

$k_n$  is an isomorphism and  $J_n^{bw} = J_n^{bb}$ .  $\square$

Next suppose  $E$  is a DF space. By ([11], Example 1.32)  $\tau_b = \tau_{\omega}$  on  $\mathcal{P}({}^n E)$  for every  $n$ , hence  $i_n$  and  $I_n$  are isomorphisms. Thus  $J_n^{wb} = J_n^{bb}$  and  $J_n^{bw} = J_n^{ww}$  for every  $n$ .

**Proposition 3.3.** *Let  $E$  be a DF space.*

(a) *The mapping*

$$J_n^{bb} : \bigotimes_{s,n,\pi} E''_e \longrightarrow (\mathcal{P}({}^n E), \tau_b)'_{\beta}$$

*is continuous for every  $n$  if and only if  $E$  is infrabarrelled. In this case  $J_n^{bb}$  can be extended to the completion  $\widehat{\bigotimes_{s,n,\pi} E''_e} = \widehat{\bigotimes_{s,n,\pi} E''_{\beta\beta}}$ .*

(b) *The mapping*

$$J_n^{bw} : \bigotimes_{s,n,\pi} E''_e \longrightarrow (\mathcal{P}({}^n E), \tau_b)'_i$$

*is continuous for every  $n$  if and only if  $E$  and  $E''_{\beta\beta}$  are infrabarrelled. In this case  $J_n^{bw}$  can be extended to the completion  $\widehat{\bigotimes_{s,n,\pi} E''_e} = \widehat{\bigotimes_{s,n,\pi} E''_{\beta\beta}}$ .*

*Proof.* (a) If  $J_n^{bb}$  is continuous  $E$  is infrabarrelled by Remark 2. Conversely, if  $E$  is an infrabarrelled DF space then by ([15], Proposition 15.6.8)  $\bigotimes_{s,n,\pi} E$  is an infrabarrelled DF space and consequently the bounded subsets of  $\left( \bigotimes_{s,n,\pi} E \right)'_{\beta}$  are equicontinuous. As a DF space  $E$  has  $(BB)_n$ , this implies

$(\bigotimes_{s,n,\pi} E)'_{\beta} = (\mathcal{P}({}^n E), \tau_b)$ . By Proposition 3.1,  $J_n^{bb}$  is continuous. Since  $(\mathcal{P}({}^n E), \tau_b)$  is Fréchet, it is bornological. Hence  $(\mathcal{P}({}^n E), \tau_b)'_{\beta}$  is complete and the continuous mapping  $J_n^{bb}$  can be extended to  $\widehat{\bigotimes_{s,n,\pi} E''_{\beta\beta}}$ .

(b) Let  $E$  and  $E''_{\beta\beta}$  be infrabarrelled DF spaces. As  $E''_{\beta\beta}$  is the strong dual of a metrizable space, it is barrelled and bornological ([15], Corollary 13.4.4). By ([15], 15.6.8)  $\bigotimes_{s,n,\pi} E''_{\beta\beta}$  is a bornological DF space. By (a)  $J_n^{bb}$  is continuous and hence maps the bounded sets of  $\bigotimes_{s,n,\pi} E''_{\beta\beta}$  onto bounded sets in  $(\mathcal{P}({}^n E), \tau_b)'_{\beta}$ . Since  $\widehat{\bigotimes_{s,n,\pi} E}'_{\beta}$  is Fréchet,  $(\mathcal{P}({}^n E), \tau_b)'_{\beta} = ((\widehat{\bigotimes_{s,n,\pi} E})'_{\beta})'_{\beta}$  and  $(\mathcal{P}({}^n E), \tau_b)'_i = ((\widehat{\bigotimes_{s,n,\pi} E})'_i)'_i$  have the same bounded sets ([11], Example 1.24). Hence  $J_n^{bw}$  maps bounded sets onto bounded sets and by ([14], Proposition 3.7.1) is continuous.

Conversely, let  $J_n^{bw}$  be continuous. Then  $J_n^{bb}$  is continuous and  $E$  is infrabarrelled by Remark 2. When  $n = 1$  we obtain that  $E''_{\beta\beta} = E''_{\beta i}$ , and since inductive duals are barrelled,  $E''_{\beta\beta}$  is barrelled and hence infrabarrelled. Moreover, since  $(\mathcal{P}({}^n E), \tau_b) = (\widehat{\bigotimes_{s,n,\pi} E})'_{\beta}$  is Fréchet, its inductive dual is complete ([15], Corollary 13.4.3). Hence we can extend  $J_n^{bw}$  from  $\bigotimes_{s,n,\pi} E''_{\beta\beta}$  to  $\widehat{\bigotimes_{s,n,\pi} E''_{\beta\beta}}$  by continuity.  $\square$

Now we consider polynomials on reflexive A-nuclear spaces (a number of these results also hold for fully nuclear spaces and for fully nuclear spaces with a basis). A locally convex space  $E$  is *A-nuclear* if it has an absolute basis  $(e_n)_n$  and there exists a sequence of positive real numbers  $(\delta_n)_n$ ,  $\sum_{i=1}^{\infty} \frac{1}{\delta_i} < \infty$ , such that for each  $p \in cs(E)$  the semi-norm

$$q \left( \sum_{i=1}^{\infty} x_i e_i \right) = \sum_{i=1}^{\infty} \delta_i p(x_i e_i)$$

is continuous. By the Grothendieck-Pietsch criterion every A-nuclear space is nuclear. Since the closed bounded subsets of a complete A-nuclear space  $E$  are compact,  $\tau_0 = \tau_b$  on  $\mathcal{P}({}^n E)$  for every  $n$ .

A polynomial  $P \in \mathcal{P}({}^n E)$  has *finite rank* if there exists a finite subset  $\{\varphi_i\}_{i=1}^l$  in  $E'$  such that

$$P(x) = \sum_{i=1}^l \varphi_i^n(x)$$

for all  $x \in E$ . We let  $\mathcal{P}_f({}^n E)$  denote the space of all  $n$ -homogeneous polynomials of finite rank on  $E$ . By ([7], p. 186),

$$(3.3) \quad \mathcal{P}_f({}^n E) = \bigotimes_{s,n} E'_\beta.$$

Polynomials in  $\mathcal{P}_A({}^n E)$ , the closure of  $\mathcal{P}_f({}^n E)$  in  $(\mathcal{P}({}^n E), \tau_b)$ , are called *continuous approximable polynomials*.

An element in  $\mathcal{P}_a({}^n E, F)$  is *hypocontinuous* if its restriction to each compact set is continuous. We let  $\mathcal{P}_{HY}({}^n E, F)$  denote the vector space of all hypocontinuous  $n$ -homogeneous polynomials from  $E$  into  $F$ .

**Proposition 3.4.** *If  $E$  is a reflexive  $A$ -nuclear space then Diagram (3.2) takes the following form:*

$$(3.4) \quad \begin{array}{ccc} (\mathcal{P}({}^n E'_\beta), \tau_\omega) & \xrightarrow{i_n} & (\mathcal{P}_{HY}({}^n E'_\beta), \tau_0^{bor}) \\ & \swarrow J_n^{bw} \quad \searrow J_n^{ww} & \\ & (\mathcal{P}_f({}^n E'_\beta), \tau_0) & \\ & \swarrow J_n^{bb} \quad \searrow J_n^{wb} & \\ (\mathcal{P}({}^n E'_\beta), \tau_\omega) & \xrightarrow{I_n} & (\mathcal{P}_{HY}({}^n E'_\beta), \tau_0^{bor}) \end{array}$$

$k_n$  is on the left vertical arrow,  $K_n$  is on the right vertical arrow.

where  $k_n$  and  $K_n$  are isomorphisms.

*Proof.* If  $E$  is a reflexive  $A$ -nuclear space then in a way similar to ([11], Proposition 3.46) it can be shown that  $(\mathcal{P}({}^n E), \tau_0)$  and  $(\mathcal{P}({}^n E), \tau_\omega)$  are  $A$ -nuclear. Thus  $(\overline{\mathcal{P}({}^n E)}, \tau_0)$  and  $(\overline{\mathcal{P}({}^n E)}, \tau_\omega)$  are complete  $A$ -nuclear and, by [4], are reinforced regular, i.e.  $(\overline{\mathcal{P}({}^n E)}, \tau_0)'_\beta = (\overline{\mathcal{P}({}^n E)}, \tau_0)'_i$  and  $(\overline{\mathcal{P}({}^n E)}, \tau_\omega)'_\beta = (\overline{\mathcal{P}({}^n E)}, \tau_\omega)'_i$ . By ([10], Corollary 5.7)  $(\overline{\mathcal{P}({}^n E)}, \tau_0)'_\beta = (\mathcal{P}({}^n E), \tau_0)'_\beta$  and  $(\overline{\mathcal{P}({}^n E)}, \tau_\omega)'_\beta = (\mathcal{P}({}^n E), \tau_\omega)'_\beta$ . Since similar equalities hold for the inductive duals ([15], p. 200),  $k_n$  and  $K_n$  are isomorphisms.

By ([10], Proposition 1.56)  $(\mathcal{P}({}^n E), \tau_0)'_\beta = (\mathcal{P}({}^n E'_\beta), \tau_\omega)$  and by ([10], Proposition 1.48) the Borel transform is an algebraic isomorphism from

$(\mathcal{P}({}^n E), \tau_\omega)'$  onto  $\mathcal{P}_{HY}({}^n E'_\beta)$  under which the equicontinuous subsets of  $(\mathcal{P}({}^n E), \tau_\omega)'$  can be identified with the  $\tau_0$ -bounded subsets of  $\mathcal{P}_{HY}({}^n E'_\beta)$ . Let  $(\mathcal{P}({}^n E), \tau_\omega)'_\beta = (\mathcal{P}_{HY}({}^n E'_\beta), \tau)$  for some topology  $\tau$ . Since  $(\mathcal{P}({}^n E), \tau_\omega)$  is barrelled, the equicontinuous subsets of its dual coincide with the  $\tau$ -bounded subsets of  $\mathcal{P}_{HY}({}^n E'_\beta)$ . Hence  $\tau$  and  $\tau_0$  define the same bounded sets on  $\mathcal{P}_{HY}({}^n E'_\beta)$ . Since  $K_n$  is an isomorphism  $(\mathcal{P}({}^n E), \tau_\omega)'_\beta$  is bornological and hence  $\tau$  is the bornological topology associated with  $\tau_0, \tau_0^{bor}$ .

Finally, since  $E$  is infrabarrelled  $E_e'' = E''_{\beta\beta}$ , and, by (3.3),  $\bigotimes_{s,n} E''_{\beta\beta} = \mathcal{P}_f({}^n E'_\beta)$ . As  $E$  is a reflexive nuclear space,  $\bigotimes_{s,n,\pi} E''_{\beta\beta} = (\mathcal{P}_f({}^n E'_\beta), \tau_0)$  ([11], Proposition 2.13).  $\square$

Let  $E$  be a reflexive A-nuclear space and  $n$  a positive integer. By Diagram (3.4) and the proof of Proposition 3.4 we have established the following identifications:

$$(3.5) \quad (\mathcal{P}({}^n E), \tau_\omega)'_\beta = (\mathcal{P}({}^n E), \tau_\omega)'_i = (\mathcal{P}_{HY}({}^n E'_\beta), \tau_0^{bor}),$$

$$(3.6) \quad (\mathcal{P}({}^n E), \tau_0)'_\beta = (\mathcal{P}({}^n E), \tau_0)'_i = (\mathcal{P}({}^n E'_\beta), \tau_\omega).$$

By (3.5),  $(\mathcal{P}_{HY}({}^n E'_\beta), \tau_0^{bor})$  is complete as a strong dual of bornological space.

**Corollary 3.1.** *Let  $E$  be a reflexive A-nuclear space and  $n$  a positive integer. Then*

(a)  $J_n^{wb}$  is continuous if and only if  $\tau_0 = \tau_0^{bor}$  on  $\mathcal{P}_{HY}({}^n E'_\beta)$ .

(b)  $J_n^{bw}$  is continuous if and only if  $\tau_0 = \tau_\omega$  on  $\mathcal{P}({}^n E'_\beta)$ .

(c)  $I_n$  is an isomorphism if and only if  $\tau_0 = \tau_\omega$  on  $\mathcal{P}({}^n E)$ .

*Proof.* (a) If  $\tau_0 = \tau_0^{bor}$  on  $\mathcal{P}_{HY}({}^n E'_\beta)$  then  $J_n^{wb}$  is continuous by Diagram (3.4). Conversely, if  $J_n^{wb}$  is continuous then it extends to a continuous mapping  $\tilde{J}_n^{wb}$  from  $(\mathcal{P}_{HY}({}^n E'_\beta), \tau_0)$  into  $(\mathcal{P}_{HY}({}^n E'_\beta), \tau_0^{bor})$ . Since  $J_n^{wb}(P) = P$  for all  $P$  on a dense subspace of  $\mathcal{P}_{HY}({}^n E'_\beta)$ , we have  $\tilde{J}_n^{wb}(P) = P$  for all  $P \in \mathcal{P}_{HY}({}^n E'_\beta)$ . Hence  $\tau_0 = \tau_0^{bor}$  on  $\mathcal{P}_{HY}({}^n E'_\beta)$ .

(b) The method used for (a) can be adapted to prove (b). We give, however, an alternative proof. Clearly, by Diagram (3.4), if  $\tau_0 = \tau_\omega$  on  $\mathcal{P}({}^n E'_\beta)$  then  $J_n^{bw}$  is continuous. Conversely, let  $J_n^{bw}$  be continuous. If  $p$  is a  $\tau_\omega$ -continuous semi-norm on  $\mathcal{P}({}^n E'_\beta)$  then there exist a compact polydisc  $K \subset E'_\beta$  such that  $p(P) \leq \|P\|_K$  for all  $P \in \mathcal{P}_f({}^n E'_\beta)$ . If  $\delta = (\delta_n)_n$  is the sequence defining

A-nuclearity, as in ([11], p. 205) it can be shown that there exists  $C(\delta) > 0$  such that

$$\sum_{m \in \mathbb{N}^{(\mathbb{N})}, |m|=n} \|a_m\| \|z^m\|_K \leq C(\delta) \left\| \sum_{m \in \mathbb{N}^{(\mathbb{N})}, |m|=n} a_m z^m \right\|_{\delta K}$$

for all  $\sum_{m \in \mathbb{N}^{(\mathbb{N})}, |m|=n} a_m z^m \in \mathcal{P}({}^n E'_\beta)$ . The set  $\delta K$  is a compact polydisc in  $E'_\beta$ . By the proof of ([11], Proposition 3.45) the semi-norm

$$\tilde{p}\left(\sum_{m \in \mathbb{N}^{(\mathbb{N})}, |m|=n} a_m z^m\right) := \sum_{m \in \mathbb{N}^{(\mathbb{N})}, |m|=n} |a_m| p(z^m)$$

is  $\tau_\omega$ -continuous and  $p \leq \tilde{p}$ . Hence for all  $P = \sum_{m \in \mathbb{N}^{(\mathbb{N})}, |m|=n} a_m z^m \in \mathcal{P}({}^n E'_\beta)$  we have

$$\begin{aligned} p(P) &\leq \tilde{p}(P) = \sum_{m \in \mathbb{N}^{(\mathbb{N})}, |m|=n} |a_m| p(z^m) \\ &\leq \sum_{m \in \mathbb{N}^{(\mathbb{N})}, |m|=n} \|a_m\| \|z^m\|_K \leq C(\delta) \|P\|_{\delta K}. \end{aligned}$$

Hence  $\tau_\omega = \tau_0$  on  $\mathcal{P}({}^n E'_\beta)$ .

(c) If  $I_n$  is an isomorphism then, by Diagram (3.4),  $\mathcal{P}({}^n E'_\beta) = \mathcal{P}_{HY}({}^n E'_\beta)$ . By ([10], Propositions 1.47 and 1.48) this implies  $(\mathcal{P}({}^n E), \tau_0)' = (\mathcal{P}({}^n E), \tau_\omega)'$ . Since the monomials form an absolute basis for both  $(\mathcal{P}({}^n E), \tau_0)$  and  $(\mathcal{P}({}^n E), \tau_\omega)$ , by ([11], Lemma 4.41)  $\tau_0 = \tau_\omega$  on  $\mathcal{P}({}^n E)$ .

Conversely, if  $\tau_0 = \tau_\omega$  on  $\mathcal{P}({}^n E)$  then, by Diagram (3.2),  $I_n$  is an isomorphism.  $\square$

**Proposition 3.5.** *If  $E$  is a reflexive A-nuclear space then the following are equivalent:*

- (a)  $J_n^{wb}$  is continuous.
- (b) The  $\tau_\omega$ -bounded sets of  $\mathcal{P}({}^n E)$  are locally bounded.
- (c)  $(\mathcal{P}({}^n E), \tau_\omega)'_\beta = (\mathcal{P}_{HY}({}^n E'_\beta), \tau_0)$ .
- (d)  $(\mathcal{P}({}^n E), \tau_\omega)$  is quasi-complete.
- (e)  $(\mathcal{P}({}^n E), \tau_\omega)$  is semi-reflexive.

*Proof.* If the  $\tau_\omega$ -bounded sets of  $\mathcal{P}({}^n E)$  are locally bounded then  $J_n^{wb}$  is continuous by Proposition 3.1, hence (b) $\Rightarrow$ (a).

Conversely, suppose  $J_n^{wb}$  is continuous. By Corollary 3.1,  $\tau_0 = \tau_0^{bor}$  on  $\mathcal{P}_{HY}({}^n E'_\beta)$  and (a) $\Rightarrow$ (c) by (3.5).

(b) $\Leftrightarrow$ (c) follows from ([10], Proposition 1.57). By the proof of ([10], Proposition 5.37) conditions (b), (d) and (e) are equivalent.  $\square$

Further equivalent conditions can be found in [12]. By Corollary 3.1(b) and the proof of ([11], Corollary 4.46) we obtain the following result.

**Proposition 3.6.** *If  $E$  is a reflexive A-nuclear space then the following are equivalent:*

- (a)  $J_n^{bw}$  is continuous.
- (b) The  $\tau_0$ -bounded sets of  $\mathcal{P}({}^n E)$  are locally bounded.
- (c)  $\mathcal{P}({}^n E) = \mathcal{P}_{HY}({}^n E)$ .
- (d)  $(\mathcal{P}({}^n E), \tau_0)$  is complete.

*Remark 3.*

- (a) Proposition 3.5 shows that the hypothesis in Proposition 3.1 is both necessary and sufficient when  $E$  is a reflexive A-nuclear space.
- (b) If  $E$  is a reflexive A-nuclear space and  $J_n^{wb}$  (respectively  $J_n^{bw}$ ) is continuous, then it extends to define an isomorphism onto  $\mathcal{P}_{HY}({}^n E'_\beta)$  (respectively  $\mathcal{P}({}^n E'_\beta)$ ).
- (c) If  $E$  is Fréchet nuclear (or DFN) with basis, then  $E$  is a reflexive A-nuclear space and  $\tau_0 = \tau_\omega$  on  $\mathcal{P}({}^n E'_\beta)$  for every  $n$  ([11], Example 2.18). Hence both  $J_n^{wb}$  and  $J_n^{bw}$  extend to isomorphisms from the respective completions of their domains.
- (d) Countable direct sums and products of reflexive A-nuclear spaces are again reflexive A-nuclear spaces.

**Example 1.**

1. Let  $E = \prod_{k=1}^{\infty} E_k$  where each  $E_k$  is a DFN space. Then  $J_n^{wb}$  is always continuous and  $J_n^{bw}$  is continuous if and only if each  $(E_k)'_\beta$  admits a continuous norm.
2. Let  $E = \bigoplus_{k=1}^{\infty} E_j$  where each  $E_j$  is Fréchet nuclear space with a basis. Then

- (a)  $J_n^{wb}$  is continuous if and only if  $E$  is isomorphic to one of the spaces  $\mathbb{C}^{(\mathbb{N})}$ ,  $\mathbb{C}^{(\mathbb{N})} \times \mathbb{C}^{\mathbb{N}}$  or  $(\mathbb{C}^{\mathbb{N}})^{(\mathbb{N})}$ .
- (b)  $J_n^{bw}$  is continuous if and only if  $E$  is isomorphic to  $\mathbb{C}^{(\mathbb{N})}$ .

*Proof.* (1) The  $\tau_\omega$ -bounded subsets of  $\mathcal{P}({}^n E)$  are locally bounded ([11], Example 3.24(c)), hence by Proposition 3.5  $J_n^{wb}$  is continuous. By ([18], Proposition 2)  $\mathcal{P}({}^n E) = \mathcal{P}_{HY}({}^n E)$  if and only if there exists a continuous norm on  $(E_k)'_\beta$  for every  $k$ . It suffices to apply Proposition 3.6 to obtain the required result for  $J_n^{bw}$ .

(2) Part (a) follows from Proposition 3.5 and ([8], Theorem 1); part (b) follows from Proposition 3.6, ([8], Theorem 1) and ([11], Example 3.24(b)).  $\square$

**Example 2.** Let  $\mathcal{D} = \bigoplus_{k=1}^{\infty} s_j$  where each  $s_j$  is the Fréchet nuclear space of rapidly decreasing sequences. By Example 1 neither of  $J_n^{bw}$  or  $J_n^{wb}$  are continuous. By ([6], Proposition 9)  $\tau_0 = \tau_\omega$  on  $\mathcal{P}({}^n \mathcal{D})$ , hence, by Corollary, 3.1(c)  $I_n$  is an isomorphism.

#### §4. Continuity of $J_n$

In Section 3 we concentrated on continuity of the mappings  $J_n$ . In this section we discuss injectivity. Let  $x'' \in E''_e$  and  $\varphi \in E'$ . Then  $\otimes_n x'' \in \bigotimes_{s,n,\pi} E''_e$ ,  $\varphi^n \in \mathcal{P}_f({}^n E)$ , and we have the duality

$$(4.1) \quad \langle \otimes_n x'', \varphi^n \rangle = x''(\varphi)^n.$$

Suppose

$$[J_n(\otimes_n x'')](\varphi^n) = 0$$

for every  $\varphi \in E'$ . Then, by (4.1),  $x''(\varphi)^n = 0$  for all  $x'' \in E''_e$  and hence  $\otimes_n x'' = 0$ . This motivates us to restrict our attention to  $\mathcal{P}_f({}^n E)$ , and our results in Section 5 show that this is indeed a good choice. Let  $R(T) := T|_{\mathcal{P}_f({}^n E)}$  for  $T \in (\mathcal{P}({}^n E), \tau_b)'$ . We let  $J_n^f := R \circ J_n^{bw}$ . By ([15], Proposition 10.3.4)

$$R : (\mathcal{P}({}^n E), \tau_b)'_i \longrightarrow (\mathcal{P}_f({}^n E), \tau_b)'_i$$

is continuous and open, hence if  $J_n^{bw}$  is continuous then  $J_n^f$  is continuous.

In order to investigate the continuity of  $J_n^f$  we require some further definitions. An  $n$ -homogeneous polynomial  $P$  on  $E$  is called *nuclear* if there exist an equicontinuous sequence  $(\psi_i)_i$  in  $E'$  and  $(\lambda_i)_i$  in  $l_1$  such that

$$P(x) = \sum_{i=1}^{\infty} \lambda_i \psi_i^n(x)$$

for all  $x$  in  $E$ . Let  $\mathcal{P}_N(^n E)$  denote the space of all nuclear polynomials on  $E$ . If  $A$  is a subset of  $E$  let

$$\pi_{N,A}(P) = \|P\|_{N,A} := \inf \left[ \sum_{i=1}^{\infty} |\lambda_i| \|\psi_i\|_A^n : P = \sum_{i=1}^{\infty} \lambda_i \psi_i^n \right]$$

As  $A$  ranges over the bounded sets of  $E$  we obtain the  $\pi_b$  topology. We also let

$$(\mathcal{P}_N(^n E), \pi_\omega) = \varinjlim_{\alpha \in cs(E)} (\mathcal{P}_N(^n E_\alpha), \pi_b).$$

The space of all  $n$ -homogeneous (algebraic) polynomials on  $E'$  which are bounded on the equicontinuous subsets of  $E'$  is denoted by  $\mathcal{P}_\xi(^n E')$ . An  $n$ -homogeneous polynomial  $P$  on a locally convex space  $E$  is *integral* if there is an absolutely convex closed neighbourhood of 0,  $U$ , and a finite regular Borel measure  $\mu$  on  $U^\circ$  endowed with the  $w^*$ -topology, such that

$$P(x) = \int_{U^\circ} \psi^n(x) d\mu(\psi)$$

for all  $x \in E$ . The space of all  $n$ -homogeneous integral polynomials on  $E$  is denoted by  $\mathcal{P}_I(^n E)$ , and the topology  $\tau_I$  is defined as the locally convex inductive limit

$$(\mathcal{P}_I(^n E), \tau_I) = \varinjlim_{U \in \mathcal{U}} (\mathcal{P}(^n E_U), \|\cdot\|_{U,I}),$$

where

$$\|P\|_{U,I} = \inf \left\{ \|\mu\|_{U^\circ} : P(x) = \int_{U^\circ} \psi^n(x) d\mu(\psi) \right\}.$$

Clearly every polynomial of finite rank is nuclear, hence  $\mathcal{P}_f(^n E)$  is a subset of both  $\mathcal{P}_N(^n E)$  and  $\mathcal{P}_I(^n E)$ . Moreover, by ([7], p. 186) the algebraic representation (3.3) can be extended to give

$$(4.2) \quad (\mathcal{P}_f(^n E), \pi_b) = \bigotimes_{s,n,\pi} E'_\beta.$$

The space  $\mathcal{P}_f(^n E)$  is dense both in  $(\mathcal{P}_N(^n E), \pi_b)$  and  $(\mathcal{P}_N(^n E), \pi_\omega)$ . This often allows us to use finite polynomials in place of nuclear polynomials and to avoid the approximation property. Clearly  $\pi_\omega \geq \pi_b$  and, since in the Banach space case  $\|\cdot\|_I \leq \|\cdot\|_N$ , the topology  $\pi_\omega$  is finer than  $\tau_I$ .

**Lemma 4.1.** *Let  $E$  be an infrabarrelled locally convex space and  $n$  be a positive integer. The mapping  $J_n^f$  is continuous if and only if  $\pi_b$  is finer than  $\tau_I$  on  $\mathcal{P}_f(^n E'_\beta)$ .*

*Proof.* By ([7], Proposition 2)

$$(\mathcal{P}_f({}^n E), \tau_b)'_i = (\mathcal{P}_A({}^n E), \tau_b)'_i = (\mathcal{P}_I({}^n E'_\beta), \tau_I).$$

By (4.2),  $\bigotimes_{s,n,\pi} E''_{\beta\beta} = (\mathcal{P}_f({}^n E'_\beta), \pi_b)$ , and hence  $J_n^f$  is the identity mapping

$$(\mathcal{P}_f({}^n E'_\beta), \pi_b) \longrightarrow (\mathcal{P}_f({}^n E'_\beta), \tau_I).$$

This completes the proof.  $\square$

A locally convex space  $E$  is *locally Asplund* if for every probability space  $(\Omega, \Sigma, \mu)$  all operators  $T : L^1(\mu) \rightarrow E'$  which map some neighbourhood of 0 into an equicontinuous set are locally representable. By [9] locally Asplund spaces include Schwartz spaces, reflexive quasinormable spaces and DF spaces with separable duals. By [7] if  $E$  is locally Asplund then  $(\mathcal{P}_I({}^n E), \tau_I) = (\mathcal{P}_N({}^n E), \pi_w)$ . By Lemma 4.1 this implies the following result.

**Corollary 4.1.** *If  $E$  is an infrabarrelled locally convex space and  $E'_\beta$  is locally Asplund, then  $J_n^f$  is continuous if and only if  $\pi_w = \pi_b$  on  $\mathcal{P}_f({}^n E'_\beta)$ .*

**Proposition 4.1.** *If  $E$  is an infrabarrelled locally convex space. Then  $\pi_w = \pi_b$  on  $\mathcal{P}_f({}^n E'_\beta)$  if and only if  $\mathcal{P}_\xi({}^n E''_{\beta\beta}) = \mathcal{P}({}^n E''_{\beta\beta})$  and the subsets of  $\mathcal{P}({}^n E''_{\beta\beta})$  which are bounded on the equicontinuous subsets of  $E''_{\beta\beta}$  are locally bounded.*

*If these conditions are satisfied,  $J_n^f$  is continuous for every  $n$ .*

*Proof.* See [10], Propositions 1.47 and 1.48. The final remark follows from Lemma 4.1.  $\square$

**Proposition 4.2.** *Let  $E$  be a Fréchet space, then  $J_n^f$  is continuous for every positive integer  $n$  and extends to  $\widehat{\bigotimes_{s,n,\pi} E''_{\beta\beta}}$ .*

*Proof.* If  $E$  is Fréchet then  $E''_{\beta\beta}$  is Fréchet. Thus every convergent sequence in  $E''_{\beta\beta}$  is equicontinuous (see [14], p. 293), hence  $\mathcal{P}_\xi({}^n E''_{\beta\beta}) = \mathcal{P}({}^n E''_{\beta\beta})$ . Moreover, subsets of  $\mathcal{P}({}^n E''_{\beta\beta})$  which are bounded on convergent sequences in  $E''_{\beta\beta}$  are locally bounded by ([11], Example 1.24). By Proposition 4.1 this implies the continuity of  $J_n^f$ .

Let  $\theta \in \widehat{\bigotimes_{s,n,\pi} E''_{\beta\beta}}$ , the completion of  $\bigotimes_{s,n,\pi} E''_{\beta\beta}$ . Then  $\theta$  has a representation  $\sum_{i=1}^{\infty} \lambda_i \otimes_n x_i$ , where  $(x_i)_i$  is a null sequence in  $E''_{\beta\beta}$  and  $(\lambda_i)_i \in l_1$

([15], Corollary 15.6.4). Since  $(x_i)_i$  is a countable bounded subset of  $E''_{\beta\beta}$ , it is equicontinuous and hence there exists a bounded subset  $B$  in  $E$  such that  $(x_i)_i \subset B^{\circ\circ}$ . By ([13], Theorem 1.5)

$$|(J_n^f(\otimes_n x_i))(P)| \leq \|AB_n(P)\|_{B^{\circ\circ}} \leq \|P\|_B$$

and consequently for each  $i \in \mathbb{N}$ ,  $J_n^f(\otimes_n x_i)$  lies in  $(P \in \mathcal{P}_f({}^n E) : \|P\|_B \leq 1)^{\circ}$ . Therefore  $J_n^f(\sum_{i=1}^{\infty} \lambda_i \otimes_n x_i)$  belongs to  $(\mathcal{P}_f({}^n E), \tau_b)'_i$ . This completes the proof.  $\square$

### §5. Definition and Basic Properties of Q-reflexive Locally Convex Spaces

In this section we define Q-reflexive locally convex spaces and discuss their basic properties.

**Definition 5.1.** The locally convex space  $E$  is *Q-reflexive* if for every positive integer  $n$ :

1. The mapping  $J_n^{bw}$  is continuous.
2. The extension  $J_n$  of  $J_n^{bw}$  to the completion is an isomorphism between  $\widehat{\bigotimes_{s,n,\pi} E''_e}$  and  $\overline{(\mathcal{P}({}^n E), \tau_b)'_i}$ .

By Remark 2 every locally convex Q-reflexive space  $E$  is infrabarrelled.

A locally convex space  $E$  has the *strict approximation property* if it admits a fundamental system  $\mathcal{A}$  of semi-norms such that  $E_\alpha = (E, \alpha)/\alpha^{-1}(0)$  has the approximation property for each  $\alpha \in \mathcal{A}$ .

**Proposition 5.1.** *If  $E$  is an infrabarrelled locally convex space whose strong bidual has the strict approximation property, then the following conditions are equivalent:*

1.  $E$  is Q-reflexive.
2.  $\overline{(\mathcal{P}_N({}^n E'_\beta), \pi_b)} = (\mathcal{P}_I({}^n E'_\beta), \tau_I)$  and  $\mathcal{P}({}^n E) = \mathcal{P}_A({}^n E)$  for every positive integer  $n$ .

*Proof.* (1) $\Rightarrow$ (2) Since  $J_n^{bw}$  is continuous,  $J_n^f$  is continuous and can be extended to a mapping

$$\overline{J_n^f} : \widehat{\bigotimes_{s,n,\pi} E''_e} \longrightarrow \overline{(\mathcal{P}_f({}^n E), \tau_b)'_i}.$$

Suppose  $\mathcal{P}_A(^nE) \neq \mathcal{P}(^nE)$ . By the Hahn-Banach Theorem there exists a non-zero functional  $\varphi \in (\mathcal{P}(^nE), \tau_b)'$  such that  $\varphi|_{\mathcal{P}_f(^nE)} = 0$ . Since  $E$  is Q-reflexive there exists  $z \in \widehat{\bigotimes_{s,n,\pi} E_e''}$  such that  $\overline{J}_n^f(z) = \varphi|_{\mathcal{P}_f(^nE)} = 0$ . Since  $E''_{\beta\beta} = E''_e$  has the strict approximation property,  $E$  has a neighbourhood basis at the origin,  $\mathcal{U}$ , consisting of convex open balanced sets such that  $E''_{U\circ\circ}$  has the approximation property for all  $U \in \mathcal{U}$ . The space  $E$  can be written as  $\lim_{\substack{\longrightarrow \\ U \in \mathcal{U}}} E_U$ . Then  $E''_{\beta\beta} = \lim_{\substack{\longrightarrow \\ U \in \mathcal{U}}} E''_{U\circ\circ}$ , so for every  $U \in \mathcal{U}$  there exists a sequence  $(x_i)_i$  in  $E''_{U\circ\circ}$  such that  $z = \sum_{i=1}^{\infty} \otimes_n x_i$  and  $\sum_{i=1}^{\infty} (\|x_i\|_{U\circ\circ})^n < \infty$ . For all  $\xi \in (E_U)'$  we have

$$[J_n^f(z)](\xi^n) = \sum_{i=1}^{\infty} x_i^n(\xi) = 0.$$

By Goldstine's Theorem for all  $\psi \in (E''_{U\circ\circ})'$

$$\sum_{i=1}^{\infty} (\psi(x_i))^n = 0$$

Hence  $\|z\|_{U\circ\circ} = 0$  for every  $U \in \mathcal{U}$ . As each  $E''_{U\circ\circ}$  has the approximation property, this implies  $z = 0$  in  $\widehat{\bigotimes_{s,n,\pi} E''_{\beta\beta}}$ , hence  $J_n(z) = \varphi = 0$ . This contradicts our choice of  $\varphi$  and implies  $\mathcal{P}_A(^nE) = \mathcal{P}(^nE)$ .

Using Q-reflexivity and ([7], Proposition 2),

$$(\mathcal{P}_I(^nE'_\beta), \tau_I) = (\overline{(\mathcal{P}_A(^nE), \tau_b)})'_i = (\overline{(\mathcal{P}(^nE), \tau_b)})'_i = \widehat{\bigotimes_{s,n,\pi} E''_{\beta\beta}} = \overline{(\mathcal{P}_N(^nE'_\beta), \pi_b)}.$$

(2)  $\Rightarrow$  (1) By hypothesis

$$(\mathcal{P}(^nE), \tau_b)'_i = (\overline{(\mathcal{P}_A(^nE), \tau_b)})'_i = (\mathcal{P}_I(^nE'_\beta), \tau_I) = \overline{(\mathcal{P}_N(^nE'_\beta), \pi_b)} = \widehat{\bigotimes_{s,n,\pi} E''_{\beta\beta}}.$$

□

**Corollary 5.1.** *If  $E$  is a Q-reflexive locally convex space whose strong bidual has the strict approximation property, then  $J_n^f = J_n^{bw}$  for every positive integer  $n$ .*

Next we list some properties of Q-reflexive spaces. The proofs can be found in [19].

**Proposition 5.2.** *Let  $E$  be a  $Q$ -reflexive locally convex space whose strong bidual has the strict approximation property. Then*

- (a)  $l_1$  is not a subspace of  $E'_\beta$  or  $E$ .
- (b) If  $E$  is complete then  $E'_\beta$  does not contain a copy of  $c_0$ .
- (c) If  $E'_\beta$  is barrelled then  $E$  does not contain a copy of  $c_0$ .
- (d) If  $E$  is a complete DF space or a Fréchet space with  $(BB)_n$  for every  $n$ , then  $l_\infty$  is not a subspace of  $(\mathcal{P}^n E, \tau_b)$  for any  $n$ .

## §6. Examples of $Q$ -reflexive Spaces

In this section we give some examples of  $Q$ -reflexive locally convex spaces. Further examples are given in [19].

Every  $Q$ -reflexive Banach space satisfies Definition 5.1. On the other end of the spectrum, Fréchet nuclear and DFN spaces with a basis are  $Q$ -reflexive by Remark 3(c). This also is a special case of the following proposition.

**Proposition 6.1.** *Let  $E$  be a Fréchet-Montel space with  $(BB)_n$  for every  $n$ . Then  $E$  is  $Q$ -reflexive.*

*Proof.* Since  $E$  is Fréchet-Montel it is reflexive, hence  $\widehat{\bigotimes_{s,n,\pi} E''_{\beta\beta}} = \widehat{\bigotimes_{s,n,\pi} E}$  for every  $n$ . By ([11], Proposition 1.35)  $(\mathcal{P}^n E, \tau_b) = (\widehat{\bigotimes_{s,n,\pi} E})'_\beta$  is a DFM space and in particular is reflexive and reinforced regular. Hence

$$(\mathcal{P}^n E, \tau_b)'_i = (\widehat{\bigotimes_{s,n,\pi} E})''_{\beta i} = (\widehat{\bigotimes_{s,n,\pi} E})''_{\beta\beta} = \widehat{\bigotimes_{s,n,\pi} E}.$$

□

**Proposition 6.2.** *The space  $\mathbb{C}^{(I)}$  is  $Q$ -reflexive if and only if  $I$  is countable.*

*Proof.* Every bounded subset of  $\mathbb{C}^{(I)}$  is finite dimensional and consequently every polynomial on  $\mathbb{C}^{(I)}$  is continuous on bounded sets. The nuclear space  $\mathbb{C}^I$  is locally Asplund and consequently, by ([7], Theorem 3),  $(\mathcal{P}_N(n\mathbb{C}^I), \pi_\omega) = (\mathcal{P}_I(n\mathbb{C}^I), \tau_I)$  for every  $n$ . Hence, by Proposition 5.1,  $\mathbb{C}^{(I)}$  is  $Q$ -reflexive if and only if  $\pi_\omega = \pi_b$  on  $\mathcal{P}_N(n\mathbb{C}^I)$ . If  $(\mathcal{P}_N(n\mathbb{C}^I), \pi_\omega) = (\mathcal{P}_N(n\mathbb{C}^I), \pi_b)$  then

their duals will coincide, i.e.  $\mathcal{P}_\xi({}^n\mathbb{C}^{(I)}) = \mathcal{P}({}^n\mathbb{C}^{(I)})$ . Since  $\mathbb{C}^I$  is barrelled, the equicontinuous and the bounded sets of  $\mathbb{C}^{(I)}$  coincide, i.e. all equicontinuous sets are finite dimensional. Thus  $\mathcal{P}_\xi({}^n\mathbb{C}^{(I)}) = \mathcal{P}_a({}^n\mathbb{C}^{(I)})$  and therefore  $\mathcal{P}({}^n\mathbb{C}^{(I)}) = \mathcal{P}_a({}^n\mathbb{C}^{(I)})$ , the space of all  $n$ -homogeneous (algebraic) polynomials on  $\mathbb{C}^{(I)}$ . Since  $\mathcal{P}({}^nE) = \mathcal{P}_a({}^nE)$  if and only if  $E = \mathbb{C}^{(\mathbb{N})}$ ,  $\mathbb{C}^{(I)}$  is Q-reflexive if and only if  $\mathbb{C}^{(I)} = \mathbb{C}^{(\mathbb{N})}$ .  $\square$

The example  $\mathbb{C}^{(\mathbb{N})} \times \mathbb{C}^{\mathbb{N}}$  shows that Q-reflexivity is not in general preserved by taking inductive or projective limits, direct sums or products. Indeed,  $\mathbb{C}^{(\mathbb{N})} \times \mathbb{C}^{\mathbb{N}}$  is both a countable direct sum of Q-reflexive Fréchet spaces and a countable product of Q-reflexive DF spaces, but is not Q-reflexive. The following example shows that Q-reflexivity is preserved in the case of the Tsirelson-James space  $T_J^*$ .

**Example 3.** The direct sum  $E := \bigoplus_{k=1}^{\infty} T_J^*$  and the product  $F := \prod_{k=1}^{\infty} T_J^*$  are Q-reflexive spaces.

*Proof.* We note first that  $E''_{\beta\beta}$  and  $F''_{\beta\beta}$  have the strict approximation property (see [3]). Let  $(T_J^*)^k := \underbrace{T_J^* \times \cdots \times T_J^*}_k$ . By ([20], Proposition 2.5.2),  $(T_J^*)^k$  is a Q-reflexive Banach space. The space  $((T_J^*)^k)' = \underbrace{(T_J^*)' \times \cdots \times (T_J^*)'}_k$  is Asplund and consequently locally Asplund. Since

$$E'_\beta = \prod_{k=1}^{\infty} (T_J^*)' = \varprojlim_k ((T_J^*)^k)',$$

and projective limits of locally Asplund spaces are locally Asplund (see [9]), by ([7], Theorem 3)  $(\mathcal{P}_N({}^nE'_\beta), \pi_w) = (\mathcal{P}_I({}^nE'_\beta), \tau_I)$  for every  $n$ . As a countable inductive limit of Banach spaces  $E''_{\beta\beta}$  is a barrelled DF space, hence  $E'_\beta$  is a distinguished Fréchet space and by ([10], Corollary 1.53)  $\pi_w = \pi_b$  on  $\mathcal{P}_N({}^nE'_\beta)$ . Hence  $(\mathcal{P}_N({}^nE'_\beta), \pi_b) = (\mathcal{P}_I({}^nE'_\beta), \tau_I)$ .

Let  $P \in \mathcal{P}({}^nE)$  and  $B$  be a bounded subset of  $E$ . The countable strict inductive limit  $\varprojlim_k \widehat{\left(\bigotimes_{n,\pi} (T_J^*)^k\right)}$  is regular, hence there exists positive integer  $k$  such that  $B \subset (T_J^*)^k$ . Since  $(T_J^*)^k$  is Q-reflexive  $\mathcal{P}({}^n(T_J^*)^k) = \mathcal{P}_A({}^n(T_J^*)^k)$ , hence for every  $\epsilon > 0$  we can find  $R \in \mathcal{P}_f({}^n(T_J^*)^k)$  such that

$$\|R - P|_{(T_J^*)^k}\|_B < \epsilon.$$

Let  $\tilde{R}(x+y) := R(x)$ , where  $x \in (T_J^*)^k$  and  $y$  belongs to the complement of  $(T_J^*)^k$  in  $E$ . Then  $\tilde{R} \in \mathcal{P}_f(^n E)$  and

$$\|\tilde{R} - P\|_B = \|R - P|_{(T_J^*)^k}\|_B < \epsilon.$$

Hence  $\mathcal{P}(^n E) = \mathcal{P}_A(^n E)$  and, by Proposition 5.1,  $E$  is Q-reflexive.

Since the countable inductive limit of locally Asplund spaces is locally Asplund ([9]),  $F'_\beta$  is locally Asplund, therefore by ([7], Theorem 3)  $(\mathcal{P}_N(^n F'_\beta), \pi_\omega) = (\mathcal{P}_I(^n F'_\beta), \tau_I)$ . As a countable inductive limit of Banach spaces  $F'_\beta$  is a barrelled DF space, hence by ([10], Corollary 1.53)  $\pi_\omega = \pi_b$  on  $\mathcal{P}_N(^n F'_\beta)$ . Thus  $(\mathcal{P}_N(^n F'_\beta), \pi_b) = (\mathcal{P}_I(^n F'_\beta), \tau_I)$ .

The implication  $\mathcal{P}(^n F) = P_A(^n F)$  can be proved in a way similar to that used for  $E$ , where in place of the fact that the bounded subsets of  $E$  are contained in a finite product we can use the fact that every continuous polynomial factors through  $(T_J^*)^k$  for some integer  $k$ . Here we give an alternative proof.

By ([17], 44.5.6)  $E \widehat{\otimes}_\epsilon (\bigoplus_{j=1}^\infty E_j)$  and  $\bigoplus_{j=1}^\infty (E \widehat{\otimes}_\epsilon E_j)$  are isomorphic. Using this result  $n$  times and applying ([15], Theorem 8.8.5) we obtain

$$\widehat{\bigotimes}_{n,\epsilon} F'_\beta = \bigoplus_{j=1}^\infty \widehat{\bigotimes}_{n,\epsilon} (T_J^*)' = \bigoplus_{j=1}^\infty \widehat{\bigotimes}_{n,\pi} (T_J^*)' = \widehat{\bigotimes}_{n,\pi} (T_J^*)'_\beta = \widehat{\bigotimes}_{s,n,\pi} F'_\beta.$$

Applying the symmetrization operator we obtain  $\mathcal{P}(^n F) = \widehat{\bigotimes}_{s,n,\epsilon} F'_\beta = P_A(^n F)$ .

By Proposition 5.1 the proof is complete.  $\square$

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