

COMPARISON OF SPECTRAL SEQUENCES  
INVOLVING BIFUNCTORS

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Received: October 15, 2006

Revised: October 29, 2008

Communicated by Max Karoubi

ABSTRACT. Suppose given functors  $\mathcal{A} \times \mathcal{A}' \xrightarrow{F} \mathcal{B} \xrightarrow{G} \mathcal{C}$  between abelian categories, an object  $X$  in  $\mathcal{A}$  and an object  $X'$  in  $\mathcal{A}'$  such that  $F(X, -)$ ,  $F(-, X')$  and  $G$  are left exact, and such that further conditions hold. We show that,  $E_1$ -terms exempt, the Grothendieck spectral sequence of the composition of  $F(X, -)$  and  $G$  evaluated at  $X'$  is isomorphic to the Grothendieck spectral sequence of the composition of  $F(-, X')$  and  $G$  evaluated at  $X$ . The respective  $E_2$ -terms are a priori seen to be isomorphic. But instead of trying to compare the differentials and to proceed by induction on the pages, we rather compare the double complexes that give rise to these spectral sequences.

2000 Mathematics Subject Classification: 18G40

Keywords and Phrases: Grothendieck spectral sequence, Lyndon-Hochschild-Serre spectral sequence.

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0 INTRODUCTION

To calculate  $\text{Ext}^*(X, Y)$ , one can either resolve  $X$  projectively or  $Y$  injectively; the result is, up to isomorphism, the same. To show this, one uses the double complex arising when one resolves both  $X$  and  $Y$ ; cf. [5, Chap. V, Th. 8.1]. Two problems in this spirit occur in the context of Grothendieck spectral sequences; cf. §§ 0.2, 0.3.

0.1 LANGUAGE

In §3, we give a brief introduction to the Deligne-Verdier spectral sequence language; cf. [17, II.§4], [6, App.]; or, on a more basic level, cf. [11, Kap. 4]. This language amounts to considering a diagram  $E(X)$  containing all the images between the homology groups of the subquotients of a given filtered complex  $X$ , instead of, as is classical, only selected ones. This helps to gain some elbow room in practice: to govern the objects of the diagram  $E(X)$  we can make use of a certain short exact sequence; cf. §3.4.

Dropping the  $E_1$ -terms and similar ones, we obtain the *proper* spectral sequence  $\dot{E}(X)$  of our filtered complex  $X$ . Amongst others, it contains all  $E_k$ -terms for  $k \geq 2$  in the classical language; cf. §§ 3.6, 3.5.

0.2 FIRST COMPARISON

Suppose given abelian categories  $\mathcal{A}$ ,  $\mathcal{A}'$  and  $\mathcal{B}$  with enough injectives and an abelian category  $\mathcal{C}$ . Suppose given objects  $X \in \text{Ob } \mathcal{A}$  and  $X' \in \text{Ob } \mathcal{A}'$ . Let  $\mathcal{A} \times \mathcal{A}' \xrightarrow{F} \mathcal{B}$  be a biadditive functor such that  $F(X, -)$  and  $F(-, X')$  are left exact. Let  $\mathcal{B} \xrightarrow{G} \mathcal{C}$  be a left exact functor. Suppose further conditions to hold; see §5.1.

We have a Grothendieck spectral sequence for the composition  $G \circ F(X, -)$  and a Grothendieck spectral sequence for the composition  $G \circ F(-, X')$ . We evaluate the former at  $X'$  and the latter at  $X$ .

In both cases, the  $E_2$ -terms are  $(R^i G)(R^j F)(X, X')$ . Moreover, they both converge to  $(R^{i+j}(G \circ F))(X, X')$ . So the following assertion is well-motivated.

**THEOREM 31.** *The proper Grothendieck spectral sequences just described are isomorphic; i.e.  $\dot{E}_{F(X,-),G}^{\text{Gr}}(X') \simeq \dot{E}_{F(-,X'),G}^{\text{Gr}}(X)$ .*

So instead of “resolving  $X'$  twice”, we may just as well “resolve  $X$  twice”.

In fact, the underlying double complexes are connected by a chain of double homotopisms, i.e. isomorphisms in the homotopy category as defined in [5, IV.§4], and rowwise homotopisms (the proof uses a chain  $\bullet \xleftarrow{\text{double}} \bullet \xleftarrow{\text{roww.}} \bullet \xrightarrow{\text{roww.}} \bullet \xrightarrow{\text{double}} \bullet$ ). These morphisms then induce isomorphisms on the associated proper first spectral sequences.

### 0.3 SECOND COMPARISON

Suppose given abelian categories  $\mathcal{A}$  and  $\mathcal{B}'$  with enough injectives and abelian categories  $\mathcal{B}$  and  $\mathcal{C}$ . Suppose given objects  $X \in \text{Ob } \mathcal{A}$  and  $Y \in \text{Ob } \mathcal{B}$ . Let  $\mathcal{A} \xrightarrow{F} \mathcal{B}'$  be a left exact functor. Let  $\mathcal{B} \times \mathcal{B}' \xrightarrow{G} \mathcal{C}$  be a biadditive functor such that  $G(Y, -)$  is left exact.

Let  $B \in \text{Ob } \mathcal{C}^{[0]}(\mathcal{B})$  be a resolution of  $Y$ , i.e. a complex  $B$  admitting a quasiisomorphism  $\text{Conc } Y \longrightarrow B$ . Suppose that  $G(B^k, -)$  is exact for all  $k \geq 0$ . Let  $A \in \text{Ob } \mathcal{C}^{[0]}(\mathcal{A})$  be, say, an injective resolution of  $X$ . Suppose further conditions to hold; see §6.1.

We have a Grothendieck spectral sequence for the composition  $G(Y, -) \circ F$ , which we evaluate at  $X$ . On the other hand, we can consider the double complex  $G(B, FA)$ , where the indices of  $B$  count rows and the indices of  $A$  count columns. To the first filtration of its total complex, we can associate the proper spectral sequence  $\dot{E}_I(G(B, FA))$ .

If  $\mathcal{B}$  has enough injectives and  $B$  is an injective resolution of  $Y$ , then in both cases the  $E_2$ -terms are a priori seen to be  $(R^i G)(Y, (R^j F)(X))$ . So also the following assertion is well-motivated.

**THEOREM 34.** *We have  $\dot{E}_{F,G(Y,-)}^{\text{Gr}}(X) \simeq \dot{E}_I(G(B, FA))$ .*

So instead of “resolving  $X$  twice”, we may just as well “resolve  $X$  once and  $Y$  once”.

The left hand side spectral sequence converges to  $(R^{i+j}(G(Y, -) \circ F))(X)$ . By this theorem, so does the right hand side one.

The underlying double complexes are connected by two morphisms of double complexes (in the directions  $\bullet \longrightarrow \bullet \longleftarrow \bullet$ ) that induce isomorphisms on the associated proper spectral sequences.

Of course, Theorems 31 and 34 have dual counterparts.

0.4 RESULTS OF BEYL AND BARNES

Let  $R$  be a commutative ring. Let  $G$  be a group. Let  $N \trianglelefteq G$  be a normal subgroup. Let  $M$  be an  $RG$ -module.

BEYL generalises Grothendieck’s setup, allowing for a variant of a Cartan-Eilenberg resolution that consists of acyclic, but no longer necessarily injective objects [4, Th. 3.4]. We have documented BEYL’s Theorem as Theorem 40 in our framework, without claiming originality.

BEYL uses his Theorem to prove that, from the  $E_2$ -term on, the Grothendieck spectral sequence for  $RG\text{-Mod} \xrightarrow{(-)^N} RN\text{-Mod} \xrightarrow{(-)^{G/N}} R\text{-Mod}$  at  $M$  is isomorphic to the Lyndon-Hochschild-Serre spectral sequence, i.e. the spectral sequence associated to the double complex  ${}_{RG}(\text{Bar}_{G/N;R} \otimes_R \text{Bar}_{G;R}, M)$ ; cf. [4, Th. 3.5], [3, §3.5]. This is now also a consequence of Theorems 31 and 34, as explained in §§ 8.2, 8.3.

BARNES works in a slightly different setup. He supposes given a commutative ring  $R$ , abelian categories  $\mathcal{A}$ ,  $\mathcal{B}$  and  $\mathcal{C}$  of  $R$ -modules, and left exact functors  $F : \mathcal{A} \rightarrow \mathcal{B}$  and  $G : \mathcal{B} \rightarrow \mathcal{C}$ , where  $F$  is supposed to have an exact left adjoint  $J : \mathcal{B} \rightarrow \mathcal{A}$  that satisfies  $F \circ J = 1_{\mathcal{B}}$ . Moreover, he assumes  $\mathcal{A}$  to have ample injectives and  $\mathcal{C}$  to have enough injectives. In this setup, he obtains a general comparison theorem. See [2, Sec. X.5, Def. X.2.5, Th. X.5.4].

BEYL [4] and BARNES [2] also consider cup products; in this article, we do not.

0.5 ACKNOWLEDGEMENTS

Results of BEYL and HAAS are included for sake of documentation that they work within our framework; cf. Theorem 40 and §4. No originality from my part is claimed.

I thank B. KELLER for directing me to [12, XII,§11]. I thank the referee for helping to considerably improve the presentation, and for suggesting Lemma 47 and §8.2. I thank G. CARNOVALE and G. HISS for help with Hopf algebras.

Conventions

Throughout these conventions, let  $\mathcal{C}$  and  $\mathcal{D}$  be categories, let  $\mathcal{A}$  be an additive category, let  $\mathcal{B}$  and  $\mathcal{B}'$  be abelian categories, and let  $\mathcal{E}$  be an exact category in which all idempotents split.

- For  $a, b \in \mathbf{Z}$ , we write  $[a, b] := \{c \in \mathbf{Z} : a \leq c \leq b\}$ ,  $[a, b[ := \{c \in \mathbf{Z} : a \leq c < b\}$ , etc.
- Given  $I \subseteq \mathbf{Z}$  and  $i \in \mathbf{Z}$ , we write  $I_{\geq i} := \{j \in I : j \geq i\}$  and  $I_{< i} := \{j \in I : j < i\}$ .
- The disjoint union of sets  $A$  and  $B$  is denoted by  $A \sqcup B$ .
- Composition of morphisms is written on the right, i.e.  $\xrightarrow{a} \xrightarrow{b} = \xrightarrow{ab}$ .
- Functors act on the left. Composition of functors is written on the left, i.e.  $\xrightarrow{F} \xrightarrow{G} = \xrightarrow{G \circ F}$ .
- Given objects  $X, Y$  in  $\mathcal{C}$ , we denote the set of morphisms from  $X$  to  $Y$  by  $\mathcal{C}(X, Y)$ .
- The category of functors from  $\mathcal{C}$  to  $\mathcal{D}$  and transformations between them is denoted by  $\mathbb{[}\mathcal{C}, \mathcal{D}\mathbb{]}$ .

- Denote by  $C(\mathcal{A})$  the category of complexes

$$X = (\dots \xrightarrow{d} X^{i-1} \xrightarrow{d} X^i \xrightarrow{d} X^{i+1} \xrightarrow{d} \dots)$$

with values in  $\mathcal{A}$ . Denote by  $C^{[0]}(\mathcal{A})$  the full subcategory of  $C(\mathcal{A})$  consisting of complexes  $X$  with  $X^i = 0$  for  $i < 0$ . We have a full embedding  $\mathcal{A} \xrightarrow{\text{Conc}} C^{[0]}(\mathcal{A})$ , where, given  $X \in \text{Ob } \mathcal{A}$ , the complex  $\text{Conc } X$  has entry  $X$  at position 0 and zero elsewhere.

- Given a complex  $X \in \text{Ob } C(\mathcal{A})$  and  $k \in \mathbf{Z}$ , we denote by  $X^{\bullet+k}$  the complex that has differential  $X^{i+k} \xrightarrow{(-1)^k d} X^{i+1+k}$  between positions  $i$  and  $i + 1$ . We also write  $X^{\bullet-1} := X^{\bullet+(-1)}$  etc.
- Suppose given a full additive subcategory  $\mathcal{M} \subseteq \mathcal{A}$ . Then  $\mathcal{A}/\mathcal{M}$  denotes the quotient of  $\mathcal{A}$  by  $\mathcal{M}$ , which has the same objects as  $\mathcal{A}$ , and which has as morphisms residue classes of morphisms of  $\mathcal{A}$ , where two morphisms are in the same residue class if their difference factors over an object of  $\mathcal{M}$ .
- A morphism in  $\mathcal{A}$  is *split* if it is isomorphic, as a diagram on  $\bullet \longrightarrow \bullet$ , to a morphism of the form  $X \oplus Y \xrightarrow{\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}} X \oplus Z$ . A complex  $X \in \text{Ob } C(\mathcal{A})$  is *split* if all of its differentials are split.
- An *elementary split acyclic* complex in  $C(\mathcal{A})$  is a complex of the form

$$\dots \longrightarrow 0 \longrightarrow T \xrightarrow{1} T \longrightarrow 0 \longrightarrow \dots,$$

where the entry  $T$  is at positions  $k$  and  $k + 1$  for some  $k \in \mathbf{Z}$ . A *split acyclic* complex is a complex isomorphic to a direct sum of elementary split acyclic complexes, i.e. a complex isomorphic to a complex of the form

$$\dots \xrightarrow{\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}} T^i \oplus T^{i+1} \xrightarrow{\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}} T^{i+1} \oplus T^{i+2} \xrightarrow{\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}} T^{i+2} \oplus T^{i+3} \xrightarrow{\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}} \dots$$

Let  $C_{\text{sp ac}}(\mathcal{A}) \subseteq C(\mathcal{A})$  denote the full additive subcategory of split acyclic complexes. Let  $K(\mathcal{A}) := C(\mathcal{A})/C_{\text{sp ac}}(\mathcal{A})$  denote the homotopy category of complexes with values in  $\mathcal{A}$ . Let  $K^{[0]}(\mathcal{A})$  denote the image of  $C^{[0]}(\mathcal{A})$  in  $K(\mathcal{A})$ . A morphism in  $C(\mathcal{A})$  is a *homotopism* if its image in  $K(\mathcal{A})$  is an isomorphism.

- We denote by  $\text{Inj } \mathcal{B} \subseteq \mathcal{B}$  the full subcategory of injective objects.
- Concerning exact categories, introduced by QUILLEN [14, p. 15], we use the conventions of [10, Sec. A.2]. In particular, a commutative quadrangle in  $\mathcal{E}$  being a pullback is indicated by

$$\begin{array}{ccc} A & \longrightarrow & B \\ \downarrow & \lrcorner & \downarrow \\ C & \longrightarrow & D, \end{array}$$

a commutative quadrangle being a pushout by

$$\begin{array}{ccc} A & \longrightarrow & B \\ \downarrow & & \downarrow \\ C & \longrightarrow & D. \end{array}$$

- Given  $X \in \text{Ob } C(\mathcal{E})$  with pure differentials, and given  $k \in \mathbf{Z}$ , we denote by  $Z^k X$  the kernel of the differential  $X^k \longrightarrow X^{k+1}$ , by  $Z'^k X$  the cokernel of the differential  $X^{k-1} \longrightarrow X^k$ , and by  $B^k X$  the image of the differential  $X^{k-1} \longrightarrow X^k$ . Furthermore, we have pure short exact sequences  $B^k X \twoheadrightarrow Z^k X \twoheadrightarrow H^k X$  and  $H^k X \twoheadrightarrow Z'^k X \twoheadrightarrow B^{k+1} X$ .

- A morphism  $X \longrightarrow Y$  in  $C(\mathcal{E})$  between complexes  $X$  and  $Y$  with pure differentials is a *quasiisomorphism* if  $H^k$  applied to it yields an isomorphism for all  $k \in \mathbf{Z}$ . A complex  $X$  with pure differentials is *acyclic* if  $H^k X \simeq 0$  for all  $k \geq 0$ . Such a complex is also called a *purely acyclic* complex.
- Suppose that  $\mathcal{B}$  has enough injectives. Given a left exact functor  $\mathcal{B} \xrightarrow{F} \mathcal{B}'$ , an object  $X \in \text{Ob } \mathcal{B}$  is *F-acyclic* if  $R^i F X \simeq 0$  for all  $i \geq 1$ . In other words,  $X$  is *F-acyclic* if for an injective resolution  $I \in C^{[0]}(\text{Inj } \mathcal{B})$  of  $X$  (and then for all such injective resolutions), we have  $H^i F I \simeq 0$  for all  $i \geq 1$ .
- By a module, we understand a left module, unless stated otherwise. If  $A$  is a ring, we abbreviate  ${}_A(-, =) := {}_A\text{-Mod}(-, =) = \text{Hom}_A(-, =)$ .

1 DOUBLE AND TRIPLE COMPLEXES

We fix some notations and sign conventions.

Let  $\mathcal{A}$  and  $\mathcal{B}$  be additive categories. Let  $C(\mathcal{A}) \xrightarrow{H} \mathcal{B}$  be an additive functor.

1.1 DOUBLE COMPLEXES

1.1.1 DEFINITION

A *double complex* with entries in  $\mathcal{A}$  is a diagram

$$\begin{array}{ccccccc}
 & & \vdots & & \vdots & & \vdots \\
 & & \uparrow \partial & & \uparrow \partial & & \uparrow \partial \\
 \dots & \xrightarrow{d} & X^{i+2,j} & \xrightarrow{d} & X^{i+2,j+1} & \xrightarrow{d} & X^{i+2,j+2} \xrightarrow{d} \dots \\
 & & \uparrow \partial & & \uparrow \partial & & \uparrow \partial \\
 X = & \dots & \xrightarrow{d} & X^{i+1,j} & \xrightarrow{d} & X^{i+1,j+1} & \xrightarrow{d} & X^{i+1,j+2} \xrightarrow{d} \dots \\
 & & \uparrow \partial & & \uparrow \partial & & \uparrow \partial \\
 \dots & \xrightarrow{d} & X^{i,j} & \xrightarrow{d} & X^{i,j+1} & \xrightarrow{d} & X^{i,j+2} \xrightarrow{d} \dots \\
 & & \uparrow \partial & & \uparrow \partial & & \uparrow \partial \\
 & & \vdots & & \vdots & & \vdots
 \end{array}$$

in  $\mathcal{A}$  such that  $dd = 0$ ,  $\partial\partial = 0$  and  $d\partial = \partial d$  everywhere. As morphisms between double complexes, we take all diagram morphisms. Let  $\text{CC}(\mathcal{A})$  denote the category of double complexes. We may identify  $\text{CC}(\mathcal{A}) = C(C(\mathcal{A}))$ .

The double complexes considered in this §1.1 are stipulated to have entries in  $\mathcal{A}$ .

Let  $\text{CC}^{\ulcorner}(\mathcal{A}) := C^{[0]}(C^{[0]}(\mathcal{A}))$  be the category of *first quadrant double complexes*, consisting of double complexes  $X$  such that  $X^{i,j} = 0$  whenever  $i < 0$  or  $j < 0$ .

Given a double complex  $X$  and  $i \in \mathbf{Z}$ , we let  $X^{i,*} \in \text{Ob } C(\mathcal{A})$  denote the complex that has entry  $X^{i,j}$  at position  $j \in \mathbf{Z}$ , the differentials taken accordingly;  $X^{i,*}$  is called the  $i$ th *row* of  $X$ .

Similarly, given  $j \in \mathbf{Z}$ ,  $X^{*,j} \in \text{Ob } C(\mathcal{A})$  denotes the  $j$ th *column* of  $X$ .

### 1.1.2 APPLYING $H$ IN DIFFERENT DIRECTIONS

Given  $X \in \text{Ob } CC(\mathcal{A})$ , we let  $H(X^{*,-}) \in \text{Ob } C(\mathcal{A})$  denote the complex that has  $H(X^{*,j})$  at position  $j \in \mathbf{Z}$ , and as differential  $H(X^{*,j}) \longrightarrow H(X^{*,j+1})$  the image of the morphism  $X^{*,j} \longrightarrow X^{*,j+1}$  of complexes under  $H$ . Similarly,  $H(X^{-,*}) \in \text{Ob } C(\mathcal{A})$  has  $H(X^{j,*})$  at position  $j \in \mathbf{Z}$ .

In other words, a “\*” denotes the index direction to which  $H$  is applied, a “-” denotes the surviving index direction. For short, “\*” before “-”.

### 1.1.3 CONCENTRATED DOUBLE COMPLEXES

Given a complex  $U \in \text{Ob } C^{[0]}(\mathcal{A})$ , we denote by  $\text{Conc}_2 U \in \text{Ob } CC^-(\mathcal{A})$  the double complex whose 0th row is given by  $U$ , and whose other rows are zero; i.e. given  $j \in \mathbf{Z}$ , then  $(\text{Conc}_2 U)^{i,j}$  equals  $U^j$  if  $i = 0$ , and 0 otherwise, the differentials taken accordingly. Similarly,  $\text{Conc}_1 U \in \text{Ob } CC^-(\mathcal{B})$  denotes the double complex whose 0th column is given by  $U$ , and whose other columns are zero.

### 1.1.4 ROW- AND COLUMNWISE NOTIONS

A morphism  $X \xrightarrow{f} Y$  of double complexes is called a *rowwise homotopism* if  $X^{i,*} \xrightarrow{f^{i,*}} Y^{i,*}$  is a homotopism for all  $i \in \mathbf{Z}$ . Provided  $\mathcal{A}$  is abelian, it is called a *rowwise quasiisomorphism* if  $X^{i,*} \xrightarrow{f^{i,*}} Y^{i,*}$  is a quasiisomorphism for all  $i \in \mathbf{Z}$ .

A morphism  $X \xrightarrow{f} Y$  of double complexes is called a *columnwise homotopism* if  $X^{*,j} \xrightarrow{f^{*,j}} Y^{*,j}$  is a homotopism for all  $j \in \mathbf{Z}$ . Provided  $\mathcal{A}$  is abelian, it is called a *columnwise quasiisomorphism* if  $X^{*,j} \xrightarrow{f^{*,j}} Y^{*,j}$  is a quasiisomorphism for all  $j \in \mathbf{Z}$ .

Provided  $\mathcal{A}$  is abelian, a double complex  $X$  is called *rowwise split* if  $X^{i,*}$  is split for all  $i \in \mathbf{Z}$ ; a short exact sequence  $X' \longrightarrow X \longrightarrow X''$  of double complexes is called *rowwise split short exact* if  $X'^{i,*} \longrightarrow X^{i,*} \longrightarrow X''^{i,*}$  is split short exact for all  $i \in \mathbf{Z}$ .

A double complex  $X$  is called *rowwise split acyclic* if  $X^{i,*}$  is a split acyclic complex for all  $i \in \mathbf{Z}$ . It is called *columnwise split acyclic* if  $X^{*,j}$  is a split acyclic complex for all  $j \in \mathbf{Z}$ .

1.1.5 HORIZONTALLY AND VERTICALLY SPLIT ACYCLIC DOUBLE COMPLEXES

An *elementary horizontally split acyclic* double complex is a double complex of the form

$$\begin{array}{ccccccc}
 & \vdots & & \vdots & & \vdots & & \vdots & \\
 & \uparrow & & \uparrow & & \uparrow & & \uparrow & \\
 \cdots & \longrightarrow & 0 & \longrightarrow & T^{i+1} & \xlongequal{\quad} & T^{i+1} & \longrightarrow & 0 & \longrightarrow & \cdots \\
 & & \uparrow & & \uparrow & & \uparrow & & \uparrow & & \\
 & & \uparrow & & \partial & & \partial & & \uparrow & & \\
 \cdots & \longrightarrow & 0 & \longrightarrow & T^i & \xlongequal{\quad} & T^i & \longrightarrow & 0 & \longrightarrow & \cdots \\
 & & \uparrow & & \uparrow & & \uparrow & & \uparrow & & \\
 & & \uparrow & & \uparrow & & \uparrow & & \uparrow & & \\
 & & \vdots & & \vdots & & \vdots & & \vdots & & \cdot
 \end{array}$$

A *horizontally split acyclic* double complex is a double complex isomorphic to a direct sum of elementary horizontally split acyclic double complexes, i.e. to one of the form

$$\begin{array}{ccccccc}
 & \vdots & & \vdots & & \vdots & & \vdots & \\
 & \uparrow & & \uparrow & & \uparrow & & \uparrow & \\
 \cdots & \longrightarrow & T^{i+1,j} \oplus T^{i+1,j+1} & \xrightarrow{\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}} & T^{i+1,j+1} \oplus T^{i+1,j+2} & \longrightarrow & \cdots \\
 & & \uparrow & & \uparrow & & \uparrow & & \uparrow & \\
 & & \uparrow & & \begin{pmatrix} \partial & 0 \\ 0 & \partial \end{pmatrix} & & \begin{pmatrix} \partial & 0 \\ 0 & \partial \end{pmatrix} & & \uparrow & \\
 \cdots & \longrightarrow & T^{i,j} \oplus T^{i,j+1} & \xrightarrow{\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}} & T^{i,j+1} \oplus T^{i,j+2} & \longrightarrow & \cdots \\
 & & \uparrow & & \uparrow & & \uparrow & & \uparrow & \\
 & & \uparrow & & \uparrow & & \uparrow & & \uparrow & \\
 & & \vdots & & \vdots & & \vdots & & \vdots & \\
 & & \vdots & & \vdots & & \vdots & & \vdots & & \cdot
 \end{array}$$

An *elementary vertically split acyclic* double complex is a double complex of the form

$$\begin{array}{ccccccc}
 & & \vdots & & \vdots & & \\
 & & \uparrow & & \uparrow & & \\
 \cdots & \longrightarrow & 0 & \longrightarrow & 0 & \longrightarrow & \cdots \\
 & & \uparrow & & \uparrow & & \\
 \cdots & \longrightarrow & T^i & \xrightarrow{d} & T^{i+1} & \longrightarrow & \cdots \\
 & & \parallel & & \parallel & & \\
 \cdots & \longrightarrow & T^i & \xrightarrow{d} & T^{i+1} & \longrightarrow & \cdots \\
 & & \uparrow & & \uparrow & & \\
 \cdots & \longrightarrow & 0 & \longrightarrow & 0 & \longrightarrow & \cdots \\
 & & \uparrow & & \uparrow & & \\
 & & \vdots & & \vdots & &
 \end{array}$$

A *vertically split acyclic* double complex is a double complex isomorphic to a direct sum of elementary vertically split acyclic double complexes, i.e. to one of the form

$$\begin{array}{ccccccc}
 & & \vdots & & \vdots & & \\
 & & \uparrow & & \uparrow & & \\
 \cdots & \longrightarrow & T^{i+1,j} \oplus T^{i+2,j} & \xrightarrow{\begin{pmatrix} d & 0 \\ 0 & d \end{pmatrix}} & T^{i+1,j+1} \oplus T^{i+2,j+1} & \longrightarrow & \cdots \\
 & & \uparrow & & \uparrow & & \\
 & & \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} & & \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} & & \\
 \cdots & \longrightarrow & T^{i,j} \oplus T^{i+1,j} & \xrightarrow{\begin{pmatrix} d & 0 \\ 0 & d \end{pmatrix}} & T^{i,j+1} \oplus T^{i+1,j+1} & \longrightarrow & \cdots \\
 & & \uparrow & & \uparrow & & \\
 & & \vdots & & \vdots & &
 \end{array}$$

A horizontally split acyclic double complex is in particular rowwise split acyclic. A vertically split acyclic double complex is in particular columnwise split

acyclic.

A double complex is called *split acyclic* if it is isomorphic to the direct sum of a horizontally and a vertically split acyclic double complex. Let  $CC_{\text{sp ac}}(\mathcal{A})$  denote the full additive subcategory of split acyclic double complexes. Let

$$KK(\mathcal{A}) := CC(\mathcal{A})/CC_{\text{sp ac}}(\mathcal{A}) ;$$

cf. [5, IV.§4]. A morphism in  $CC(\mathcal{A})$  that is mapped to an isomorphism in  $KK(\mathcal{A})$  is called a *double homotopism*.

A speculative aside. The category  $K(\mathcal{A})$  is Heller triangulated; cf. [10, Def. 1.5.(i), Th. 4.6]. Such a Heller triangulation hinges on two induced shift functors, one of them induced by the shift functor on  $K(\mathcal{A})$ . Now  $KK(\mathcal{A})$  carries two shift functors, and so there might be more isomorphisms between induced shift functors one can fix. How can the formal structure of  $KK(\mathcal{A})$  be described?

1.1.6 TOTAL COMPLEX

Let  $KK^-(\mathcal{A})$  be the full image of  $CC^-(\mathcal{A})$  in  $KK(\mathcal{A})$ .

The *total complex*  $tX$  of a double complex  $X \in \text{Ob } CC^-(\mathcal{A})$  is given by the complex

$$tX = \left( X^{0,0} \xrightarrow{(d \ \partial)} X^{0,1} \oplus X^{1,0} \xrightarrow{\begin{pmatrix} d & \partial & 0 \\ 0 & -d & -\partial \end{pmatrix}} X^{0,2} \oplus X^{1,1} \oplus X^{2,0} \right. \\ \left. \xrightarrow{\begin{pmatrix} d & \partial & 0 & 0 \\ 0 & -d & -\partial & 0 \\ 0 & 0 & d & \partial \end{pmatrix}} X^{0,3} \oplus X^{1,2} \oplus X^{2,1} \oplus X^{3,0} \longrightarrow \dots \right)$$

in  $\text{Ob } C^{[0]}(\mathcal{A})$ . Using the induced morphisms, we obtain a total complex functor  $CC^-(\mathcal{A}) \xrightarrow{t} C^{[0]}(\mathcal{A})$ . Since  $t$  maps elementary horizontally or vertically split acyclic double complexes to split acyclic complexes, it induces a functor  $KK^-(\mathcal{A}) \xrightarrow{t} K^{[0]}(\mathcal{A})$ . If, in addition,  $\mathcal{A}$  is abelian, the total complex functor maps rowwise quasiisomorphisms and columnwise quasiisomorphisms to quasiisomorphisms, as one sees using the long exact homology sequence and induction on a suitable filtration.

Note that we have an isomorphism  $U \xrightarrow{\sim} t \text{Conc}_1 U$ , natural in  $U \in \text{Ob } C^{[0]}(\mathcal{A})$ , having entries  $1_{U_0}, 1_{U_1}, -1_{U_2}, -1_{U_3}, 1_{U_4}$ , etc. Moreover,  $U = t \text{Conc}_2 U$ , natural in  $U \in \text{Ob } C^{[0]}(\mathcal{A})$ .

1.1.7 THE HOMOTOPY CATEGORY OF FIRST QUADRANT DOUBLE COMPLEXES AS A QUOTIENT

LEMMA 1 *The residue class functor  $CC(\mathcal{A}) \longrightarrow KK(\mathcal{A})$ , restricted to  $CC^-(\mathcal{A}) \longrightarrow KK^-(\mathcal{A})$ , induces an equivalence*

$$CC^-(\mathcal{A})/(CC_{\text{sp ac}}(\mathcal{A}) \cap CC^-(\mathcal{A})) \xrightarrow{\sim} KK^-(\mathcal{A}) .$$

*Proof.* We have to show faithfulness; i.e. that if a morphism  $X \longrightarrow Y$  in  $\text{CC}^{\ulcorner}(\mathcal{A})$  factors over a split acyclic double complex, then it factors over a split acyclic double complex that lies in  $\text{Ob CC}^{\ulcorner}(\mathcal{A})$ . By symmetry and additivity, it suffices to show that if a morphism  $X \longrightarrow Y$  in  $\text{CC}^{\ulcorner}(\mathcal{A})$  factors over a horizontally split acyclic double complex, then it factors over a horizontally split acyclic double complex that lies in  $\text{Ob CC}^{\ulcorner}(\mathcal{A})$ . Furthermore, we may assume  $X \longrightarrow Y$  to factor over an elementary horizontally split acyclic double complex  $S$  concentrated in the columns  $k$  and  $k+1$  for some  $k \in \mathbf{Z}$ . We may assume that  $S^{i,j} = 0$  for  $i < 0$  and  $j \in \mathbf{Z}$ . If  $k < 0$ , and in particular, if  $k = -1$ , then  $X \longrightarrow Y$  is zero because  $S \longrightarrow Y$  is zero, so that in this case we may assume  $S = 0$ . On the other hand, if  $k \geq 0$ , then  $S \in \text{Ob CC}^{\ulcorner}(\mathcal{A})$ .  $\square$

Cf. also the similar Remark 2.

## 1.2 TRIPLE COMPLEXES

### 1.2.1 DEFINITION

Let  $\text{CCC}(\mathcal{A}) := \text{C}(\text{C}(\text{C}(\mathcal{A})))$  be the category of *triple complexes*. A triple complex  $Y$  has entries  $Y^{k,\ell,m}$  for  $k, \ell, m \in \mathbf{Z}$ .

We denote the differentials in the three directions by  $Y^{k,\ell,m} \xrightarrow{d_1} Y^{k+1,\ell,m}$ ,  $Y^{k,\ell,m} \xrightarrow{d_2} Y^{k,\ell+1,m}$  and  $Y^{k,\ell,m} \xrightarrow{d_3} Y^{k,\ell,m+1}$ , respectively.

Let  $k, \ell, m \in \mathbf{Z}$ . We shall use the notation  $Y^{-,\ell,=}$  for the double complex having at position  $(k, m)$  the entry  $Y^{k,\ell,m}$ , differentials taken accordingly. Similarly the complex  $Y^{k,\ell,*}$  etc.

Given a triple complex  $Y \in \text{Ob CCC}(\mathcal{A})$ , we write  $HY^{-,\ell,*} \in \text{Ob CC}(\mathcal{A})$  for the double complex having at position  $(k, \ell)$  the entry  $H(Y^{k,\ell,*})$ , differentials taken accordingly.

Denote by  $\text{CCC}^{\ulcorner}(\mathcal{A}) \subseteq \text{CCC}(\mathcal{A})$  the full subcategory of *first octant triple complexes*; i.e. triple complexes  $Y$  having  $Y^{k,\ell,m} = 0$  whenever  $k < 0$  or  $\ell < 0$  or  $m < 0$ .

### 1.2.2 PLANEWISE TOTAL COMPLEX

For  $Y \in \text{Ob CCC}^{\ulcorner}(\mathcal{A})$  we denote by  $t_{1,2}Y \in \text{Ob CC}^{\ulcorner}(\mathcal{A})$  the *plane-wise total complex* of  $Y$ , defined for  $m \in \mathbf{Z}$  as

$$(t_{1,2}Y)^{*,m} := t(Y^{-,\ell,=,m}),$$

with the differentials of  $t_{1,2}Y$  in the horizontal direction being induced by the differentials in the third index direction of  $Y$ , and with the differentials of  $t_{1,2}Y$  in the vertical direction being given by the total complex differentials. Explicitly, given  $k, \ell \geq 0$ , we have

$$(t_{1,2}Y)^{k,\ell} = \bigoplus_{i,j \geq 0, i+j=k} Y^{i,j,\ell}.$$

By means of induced morphisms, this furnishes a functor

$$\begin{array}{ccc} \text{CCC}^k(\mathcal{A}) & \xrightarrow{t_{1,2}} & \text{CC}^k(\mathcal{A}) \\ Y & \longmapsto & t_{1,2}Y . \end{array}$$

2 CARTAN-EILENBERG RESOLUTIONS

We shall use QUILLEN’s language of exact categories [14, p. 15] to deal with Cartan-Eilenberg resolutions [5, XVII.§1], as it has been done by MAC LANE already before this language was available; cf. [12, XII.§11]. The assertions in this section are for the most part wellknown.

2.1 A REMARK

REMARK 2 *Let  $\mathcal{A}$  be an additive category. Then*

$$\text{C}^0(\mathcal{A}) / (\text{C}^0(\mathcal{A}) \cap \text{C}_{\text{spac}}(\mathcal{A})) \longrightarrow \text{K}^0(\mathcal{A})$$

*is an equivalence.*

*Proof.* Faithfulness is to be shown. A morphism  $X \longrightarrow Y$  in  $\text{C}^0(\mathcal{A})$  that factors over an elementary split acyclic complex of the form  $(\cdots \longrightarrow 0 \longrightarrow T \xlongequal{\quad} T \longrightarrow 0 \longrightarrow \cdots)$  with  $T$  in positions  $k$  and  $k + 1$  is zero, provided  $k < 0$ . □

2.2 EXACT CATEGORIES

Concerning the terminology of exact categories, introduced by QUILLEN [14, p. 15], we refer to [10, Sec. A.2].

Let  $\mathcal{E}$  be an exact category in which all idempotents split. An object  $I \in \text{Ob } \mathcal{E}$  is called *relatively injective*, or a *relative injective* (relative to the set of pure short exact sequences, that is), if  $\mathcal{E}(-, I)$  maps pure short exact sequences of  $\mathcal{E}$  to short exact sequences. We say that  $\mathcal{E}$  has *enough relative injectives*, if for all  $X \in \text{Ob } \mathcal{E}$ , there exists a relative injective  $I$  and a pure monomorphism  $X \twoheadrightarrow I$ .

In case  $\mathcal{E}$  is an abelian category, with all short exact sequences stipulated to be pure, then we omit “relative” and speak of “injectives” etc.

DEFINITION 3 Suppose given a complex  $X \in \text{Ob } \text{C}^0(\mathcal{E})$  with pure differentials. A *relatively injective complex resolution* of  $X$  is a complex  $I \in \text{Ob } \text{C}^0(\mathcal{E})$ , together with a quasiisomorphism  $X \longrightarrow I$ , such that the following properties are satisfied.

- (1) The object entries of  $I$  are relatively injective.
- (2) The differentials of  $I$  are pure.
- (3) The quasiisomorphism  $X \longrightarrow I$  consists of pure monomorphisms.

We often refer to such a relatively injective complex resolution just by  $I$ .  
 A *relatively injective object resolution*, or just a *relatively injective resolution*, of an object  $Y \in \text{Ob } \mathcal{E}$  is a relatively injective complex resolution of  $\text{Conc } Y$ .  
 A *relatively injective resolution* is the complex of a relatively injective object resolution of some object in  $\mathcal{E}$ .

REMARK 4 *Suppose that  $\mathcal{E}$  has enough relative injectives. Every complex  $X \in \text{Ob } C^{[0]}(\mathcal{E})$  with pure differentials has a relatively injective complex resolution  $I \in \text{Ob } C^{[0]}(\mathcal{E})$ . In particular, every object  $Y \in \text{Ob } \mathcal{E}$  has a relatively injective resolution  $J \in \text{Ob } C^{[0]}(\mathcal{E})$ .*

*Proof.* Let  $X^0 \twoheadrightarrow I^0$  be a pure monomorphism into a relatively injective object  $I^0$ . Forming a pushout along  $X^0 \twoheadrightarrow I^0$ , we obtain a pointwise purely monomorphic morphism of complexes  $X \rightarrow X'$  with  $X'^0 = I^0$  and  $X'^k = X^k$  for  $k \geq 2$ . By considering its cokernel, we see that it is a quasiisomorphism. So we may assume  $X^0$  to be relatively injective.

Let  $X^1 \twoheadrightarrow I^1$  be a pure monomorphism into a relatively injective object  $I^1$ . Form a pushout along  $X^1 \twoheadrightarrow I^1$  etc.  $\square$

REMARK 5 *Suppose given  $X \in \text{Ob } C^{[0]}(\mathcal{E})$  with pure differentials such that  $H^k X \simeq 0$  for  $k \geq 1$ . Suppose given  $I \in \text{Ob } C^{[0]}(\mathcal{E})$  such that  $I^k$  is purely injective for  $k \geq 0$ , and such that the differential  $I^0 \xrightarrow{d} I^1$  has a kernel in  $\mathcal{E}$ . Then the map*

$$K^{[0]}(\mathcal{E})(X, I) \longrightarrow \mathcal{E}(\text{Kern}(X^0 \xrightarrow{d} X^1), \text{Kern}(I^0 \xrightarrow{d} I^1))$$

*that sends a representing morphism of complexes to the morphism induced on the mentioned kernels, is bijective.*

Suppose  $\mathcal{E}$  to have enough relative injectives. Let  $\mathcal{I} \subseteq \mathcal{E}$  denote the full subcategory of relative injectives. Let  $C^{[0, \text{res}]}(\mathcal{I})$  denote the full subcategory of  $C^{[0]}(\mathcal{I})$  consisting of complexes  $X$  with pure differentials such that  $H^k X \simeq 0$  for  $k \geq 1$ . Let  $K^{[0, \text{res}]}(\mathcal{I})$  denote the image of  $C^{[0, \text{res}]}(\mathcal{I})$  in  $K(\mathcal{E})$ .

REMARK 6 *The functor  $C^{[0, \text{res}]}(\mathcal{I}) \rightarrow \mathcal{E}$ ,  $X \mapsto H^0(X)$ , induces an equivalence*

$$K^{[0, \text{res}]}(\mathcal{I}) \xrightarrow{\sim} \mathcal{E}.$$

*Proof.* This functor is dense by Remark 4, and full and faithful by Remark 5.  $\square$

REMARK 7 (EXACT HORSESHOE LEMMA)

*Given a pure short exact sequence  $X' \rightarrow X \rightarrow X''$  and relatively injective resolutions  $I'$  of  $X'$  and  $I''$  of  $X''$ , there exists a relatively injective resolution  $I$  of  $X$  and a pointwise split short exact sequence  $I' \rightarrow I \rightarrow I''$  that maps under  $H^0$  to  $X' \rightarrow X \rightarrow X''$ .*

*Proof.* Choose pure monomorphisms  $X' \rightarrow I^0$  and  $X'' \rightarrow I''^0$  into relative injectives  $I^0$  and  $I''^0$ . Embed them into a morphism from the pure short exact sequence

$$X' \rightarrow X \rightarrow X''$$

to the split short exact sequence

$$I' \xrightarrow{(1\ 0)} I' \oplus I'' \xrightarrow{\begin{pmatrix} 0 \\ 1 \end{pmatrix}} I''.$$

Insert the pushout  $T$  of  $X' \rightarrow X$  along  $X' \rightarrow I^0$  and the pullback of  $I^0 \oplus I''^0 \rightarrow I''^0$  along  $X'' \rightarrow I''^0$  to see that  $X \rightarrow I^0 \oplus I''^0$  is purely monomorphic. So we can take the cokernel  $B^1 I' \rightarrow B^1 I \rightarrow B^1 I''$  of this morphism of pure short exact sequences. Considering the cokernels on the commutative triangle  $(X, T, I^0 \oplus I''^0)$  of pure monomorphisms, we obtain a bicartesian square  $(T, I^0 \oplus I''^0, B^1 I', B^1 I)$  and conclude that the sequence of cokernels is itself purely short exact. So we can iterate.  $\square$

### 2.3 AN EXACT CATEGORY STRUCTURE ON $C(\mathcal{A})$

Let  $\mathcal{A}$  be an abelian category with enough injectives.

REMARK 8 *The following conditions on a short exact sequence  $X' \rightarrow X \rightarrow X''$  in  $C(\mathcal{A})$  are equivalent.*

- (1) *All connectors in its long exact homology sequence are equal to zero.*
- (2) *The sequence  $B^k X' \rightarrow B^k X \rightarrow B^k X''$  is short exact for all  $k \in \mathbf{Z}$ .*
- (3) *The morphism  $Z^k X \rightarrow Z^k X''$  is epimorphic for all  $k \in \mathbf{Z}$ .*
- (3') *The morphism  $Z^k X' \rightarrow Z^k X$  is monomorphic for all  $k \in \mathbf{Z}$ .*
- (4) *The diagram*

$$\begin{array}{ccccc} B^k X' & \longrightarrow & Z^k X' & \longrightarrow & H^k X' \\ \downarrow & & \downarrow & & \downarrow \\ B^k X & \longrightarrow & Z^k X & \longrightarrow & H^k X \\ \downarrow & & \downarrow & & \downarrow \\ B^k X'' & \longrightarrow & Z^k X'' & \longrightarrow & H^k X'' \end{array}$$

*has short exact rows and short exact columns for all  $k \in \mathbf{Z}$ .*

*Proof.* We consider the diagram in (4) as a (horizontal) short exact sequence of (vertical) complexes and regard its long exact homology sequence. Taking into account that all assertions are supposed to hold for all  $k \in \mathbf{Z}$ , we can employ the long exact homology sequence on  $X' \rightarrow X \rightarrow X''$  to prove the equivalence of (1), (2), (3) and (4).

Now the assertion (1)  $\iff$  (3) is dual to the assertion (1)  $\iff$  (3').  $\square$

REMARK 9 *The category  $C(\mathcal{A})$ , equipped with the set of short exact sequences that have zero connectors on homology as pure short exact sequences, is an exact category with enough relatively injective objects in which all idempotents split. With respect to this exact category structure on  $C(\mathcal{A})$ , a complex is relatively injective if and only if it is split and has injective object entries.*

Cf. [12, XII.§11], where pure short exact sequences are called *proper*. A relatively injective object in  $C(\mathcal{A})$  is also referred to as an *injectively split complex*. To a relatively injective resolution of a complex  $X \in \text{Ob } C(\mathcal{A})$ , we also refer as a *Cartan-Eilenberg-resolution*, or, for short, as a *CE-resolution* of  $X$ ; cf. [5, XVII.§1]. A *CE-resolution* is a CE-resolution of some complex. Considered as a double complex, it is in particular rowwise split and has injective object entries.

Given a morphism  $X \xrightarrow{f} X'$  in  $C(\mathcal{A})$ , CE-resolutions  $J$  of  $X$  and  $J'$  of  $X'$ , a morphism  $J \xrightarrow{\hat{f}} J'$  in  $CC(\mathcal{A})$  such that  $(J^{i,j} \xrightarrow{\hat{f}^{i,j}} J'^{i,j}) = (0 \longrightarrow 0)$  for  $i < 0$  and such that

$$H^0(J^{*, -} \xrightarrow{\hat{f}^{*, -}} J'^{*, -}) = (X \xrightarrow{f} X')$$

is called a *CE-resolution* of  $X \xrightarrow{f} X'$ . By Remarks 9 and 6, each morphism in  $C(\mathcal{A})$  has a CE-resolution.

*Proof of Remark 9.* We claim that  $C(\mathcal{A})$ , equipped with the said set of short exact sequences, is an exact category. We verify the conditions (Ex 1, 2, 3) listed in [10, Sec. A.2]. The conditions (Ex 1°, 2°, 3°) then follow by duality.

Note that by Remark 8.(3'), a monomorphism  $X \longrightarrow Y$  in  $C(\mathcal{A})$  is pure if and only if  $Z'^k(X \longrightarrow Y)$  is monomorphic in  $\mathcal{A}$  for all  $k \in \mathbf{Z}$ .

Ad (Ex 1). To see that a split monomorphism is pure, we may use additivity of the functor  $Z'^k$  for  $k \in \mathbf{Z}$ .

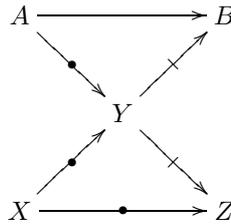
Ad (Ex 2). To see that the composition of two pure monomorphisms is pure, we may use  $Z'^k$  being a functor for  $k \in \mathbf{Z}$ .

Ad (Ex 3). Suppose given a commutative triangle

$$\begin{array}{ccc} & Y & \\ & \nearrow & \searrow \\ X & \xrightarrow{\quad \bullet \quad} & Z \end{array},$$

in  $C(\mathcal{A})$ . Applying the functor  $Z'^k$  to it, for  $k \in \mathbf{Z}$ , we conclude that  $Z'^k(X \longrightarrow Y)$  is monomorphic, whence  $X \longrightarrow Y$  is purely monomorphic. So

we may complete to



in  $C(\mathcal{A})$  with  $(X, Y, B)$  and  $(A, Y, Z)$  pure short exact sequences. Applying  $Z'^k$  to this diagram, we conclude that  $Z'^k(A \rightarrow B)$  is a monomorphism for  $k \in \mathbf{Z}$ , whence  $A \rightarrow B$  is a pure monomorphism.

This proves the *claim*.

Note that idempotents in  $C(\mathcal{A})$  are split since  $C(\mathcal{A})$  is also an abelian category. We *claim* relative injectivity of complexes with split differentials and injective object entries. By a direct sum decomposition, and using the fact that any monomorphism from an elementary split acyclic complex with injective entries to an arbitrary complex is split, we are reduced to showing that a pure monomorphism from a complex with a single nonzero injective entry, at position 0, say, to an arbitrary complex is split. So suppose given  $I \in \text{Ob Inj } \mathcal{A}$ ,  $X \in \text{Ob } C(\mathcal{A})$  and a pure monomorphism  $\text{Conc } I \rightarrow X$ . Using Remark 8.(3'), we may choose a retraction to the composite  $(I \rightarrow X^0 \rightarrow Z'^0 X)$ . This yields a retraction to  $I \rightarrow X^0$  that composes to 0 with  $X^{-1} \rightarrow X^0$ , which can be employed for the sought retraction  $X \rightarrow \text{Conc } I$ . This proves the *claim*.

Let  $X \in \text{Ob } C(\mathcal{A})$ . We *claim* that there exists a pure monomorphism from  $X$  to a relatively injective complex. Since  $\mathcal{A}$  has enough injectives, by a direct sum decomposition we are reduced to finding a pure monomorphism from  $X$  to a split complex. Consider the following morphism  $\phi_k$  of complexes for  $k \in \mathbf{Z}$ ,

$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & 0 & \longrightarrow & X^k & \xrightarrow{(1 \ 0)} & X^k \oplus Z'^k X & \longrightarrow & 0 & \longrightarrow & \cdots \\
 & & \uparrow & & \uparrow d & & \uparrow (1 \ p) & & \uparrow & & \\
 \cdots & \longrightarrow & X^{k-2} & \xrightarrow{d} & X^{k-1} & \xrightarrow{d} & X^k & \xrightarrow{d} & X^{k+1} & \longrightarrow & \cdots,
 \end{array}$$

where  $X^k \xrightarrow{p} Z'^k X$  is taken from  $X$ . The functor  $Z'^k$  maps it to the identity. We take the direct sum of the upper complexes over  $k \in \mathbf{Z}$  and let the morphisms  $\phi_k$  be the components of a morphism  $\phi$  from  $X$  to this direct sum. At position  $k$ , this morphism  $\phi$  is monomorphic because  $\phi_k$  is. Moreover,  $Z'^k(\phi)$  is a monomorphism because  $Z'^k(\phi_k)$  is. Hence  $\phi$  is purely monomorphic by condition (3') of Remark 8. This proves the *claim*. □

REMARK 10 Write  $\mathcal{E} := C(\mathcal{A})$ . Given  $\ell \geq 0$ , we have a homology functor  $\mathcal{E} \xrightarrow{H^\ell} \mathcal{A}$ , which induces a functor  $C(\mathcal{E}) \xrightarrow{C(H^\ell)} C(\mathcal{A})$ . Suppose given a purely acyclic complex  $X \in \text{Ob } C(\mathcal{E})$ . Then  $C(H^\ell)X \in \text{Ob } C(\mathcal{A})$  is acyclic.

*Proof.* This follows using the definition of pure short exact sequences, i.e. Remark 8.(1).  $\square$

#### 2.4 AN EXACT CATEGORY STRUCTURE ON $C^{[0]}(\mathcal{A})$

Write  $CC^{\perp, CE}(\text{Inj } \mathcal{A})$  for the full subcategory of  $CC^{\perp}(\mathcal{A})$  whose objects are CE-resolutions. Write  $KK^{\perp, CE}(\text{Inj } \mathcal{A})$  for the full subcategory of  $KK^{\perp}(\mathcal{A})$  whose objects are CE-resolutions.

REMARK 11 *The category  $C^{[0]}(\mathcal{A})$ , equipped with the short exact sequences that lie in  $C^{[0]}(\mathcal{A})$  and that are pure in  $C(\mathcal{A})$  in the sense of Remark 9 as pure short exact sequences, is an exact category wherein idempotents are split. It has enough relative injectives, viz. injectively split complexes that lie in  $C^{[0]}(\mathcal{A})$ .*

*Proof.* To show that it has enough relative injectives, we replace  $\phi_0$  in the proof of Remark 9 by  $X \xrightarrow{\phi'_0} \text{Conc } X^0$ , defined by  $X_0 \xrightarrow{1_{X_0}} X_0$  at position 0.  $\square$

#### 2.5 THE CARTAN-EILENBERG RESOLUTION OF A QUASIISOMORPHISM

Abbreviate  $\mathcal{E} := C(\mathcal{A})$ , which is an exact category as in Remark 9. Consider  $CC^{\perp}(\mathcal{A}) \subseteq C^{[0]}(\mathcal{E})$ , where the second index of  $X \in \text{Ob } CC^{\perp}(\mathcal{A})$  counts the positions in  $\mathcal{E} = C(\mathcal{A})$ ; i.e. when  $X$  is viewed as a complex with values in  $\mathcal{E}$ , its entry at position  $k$  is given by  $X^{k,*} \in \mathcal{E} = C(\mathcal{A})$ .

REMARK 12 *Suppose given a split acyclic complex  $X \in \text{Ob } C^{[0]}(\mathcal{A})$ . There exists a horizontally split acyclic CE-resolution  $J \in \text{Ob } CC^{\perp, CE}(\text{Inj } \mathcal{A})$  of  $X$ .*

*Proof.* This holds for an elementary split acyclic complex, and thus also in the general case by taking a direct sum.  $\square$

LEMMA 13 *Suppose given  $X \in \text{Ob } CC^{\perp}(\mathcal{A})$  with pure differentials when considered as an object of  $C^{[0]}(\mathcal{E})$ , and with  $H^k(X^{*, -}) \simeq 0$  in  $C^{[0]}(\mathcal{A})$  for  $k \geq 1$ . Suppose given  $J \in \text{Ob } CC^{\perp}(\text{Inj } \mathcal{A})$  with split rows  $J^{k,*}$  for  $k \geq 1$ . In other words,  $J$  is supposed to consist of relative injective object entries when considered as an object of  $C^{[0]}(\mathcal{E})$ .*

*Then the map*

$$(*) \quad KK^{\perp}(\mathcal{A})(X, J) \xrightarrow{H^0((-)^{*, -})} K^{[0]}(\mathcal{A})(H^0(X^{*, -}), H^0(J^{*, -}))$$

*is bijective.*

*Proof.* First, we observe that by Remark 5, we have

$$(**) \quad K^{[0]}(\mathcal{E})(X, J) \xrightarrow[\sim]{H^0((-)^{*, -})} \mathcal{E}(H^0(X^{*, -}), H^0(J^{*, -})).$$

So it remains to show that  $(*)$  is injective. Let  $X \xrightarrow{f} J$  be a morphism that vanishes under  $(*)$ . Then  $H^0(X^{*, -}) \rightarrow H^0(J^{*, -})$  factors over a split acyclic complex  $S \in \text{Ob } C^{[0]}(\mathcal{A})$ ; cf. Remark 2. Let  $K$  be a horizontally split acyclic CE-resolution of  $S$ ; cf. Remark 12. By Remark 5, we obtain a morphism  $X \rightarrow K$  that lifts  $H^0(X^{*, -}) \rightarrow S$  and a morphism  $K \rightarrow J$  that lifts  $S \rightarrow H^0(J^{*, -})$ . The composite  $X \rightarrow K \rightarrow J$  vanishes in  $\text{KK}^{\perp}(\mathcal{A})$ . The difference

$$(X \xrightarrow{f} J) - (X \rightarrow K \rightarrow J)$$

lifts  $H^0(X^{*, -}) \xrightarrow{0} H^0(J^{*, -})$ . Hence by  $(**)$ , it vanishes in  $K^{[0]}(\mathcal{E})$  and so a fortiori in  $\text{KK}^{\perp}(\mathcal{A})$ . Altogether,  $X \xrightarrow{f} J$  vanishes in  $\text{KK}^{\perp}(\mathcal{A})$ .  $\square$

PROPOSITION 14 *The functor  $CC^{\perp, \text{CE}}(\text{Inj } \mathcal{A}) \xrightarrow{H^0((-)^{*, -}} C^{[0]}(\mathcal{A})$  induces an equivalence*

$$\text{KK}^{\perp, \text{CE}}(\text{Inj } \mathcal{A}) \xrightarrow[\sim]{H^0((-)^{*, -}} K^{[0]}(\mathcal{A}).$$

*Proof.* By Lemma 13, this functor is full and faithful. By Remark 4, it is dense.  $\square$

COROLLARY 15 *Suppose given  $X, X' \in \text{Ob } C^{[0]}(\mathcal{A})$ . Let  $J$  be a CE-resolution of  $X$ . Let  $J'$  be a CE-resolution of  $X'$ . If  $X$  and  $X'$  are isomorphic in  $K^{[0]}(\mathcal{A})$ , then  $J$  and  $J'$  are isomorphic in  $\text{KK}^{\perp}(\mathcal{A})$ .*

The following lemma is to be compared to Remark 12.

LEMMA 16 *Suppose given an acyclic complex  $X \in \text{Ob } C^{[0]}(\mathcal{A})$ . There exists a rowwise split acyclic CE-resolution  $J$  of  $X$ . Each CE-resolution of  $X$  is isomorphic to  $J$  in  $\text{KK}^{\perp}(\mathcal{A})$ .*

*Proof.* By Corollary 15, it suffices to show that there exists a rowwise split acyclic CE-resolution of  $X$ . Recall that a CE-resolution of an arbitrary complex  $Y \in \text{Ob } C^{[0]}(\mathcal{A})$  can be constructed by a choice of injective resolutions of  $H^k Y$  and  $B^k Y$  for  $k \in \mathbf{Z}$ , followed by an application of the abelian Horseshoe Lemma to the short exact sequences  $B^k Y \rightarrow Z^k Y \rightarrow H^k Y$  for  $k \in \mathbf{Z}$  and then to  $Z^k Y \rightarrow Y^k \rightarrow B^{k+1} Y$  for  $k \in \mathbf{Z}$ ; cf. [5, Chap. XVII, Prop. 1.2]. Since  $H^k X = 0$  for  $k \in \mathbf{Z}$ , we may choose the zero resolution for it. Applying this construction, we obtain a rowwise split acyclic CE-resolution.  $\square$

Given  $X \xrightarrow{f} X'$  in  $C^{[0]}(\mathcal{A})$ , a morphism  $J \xrightarrow{\hat{f}} J'$  in  $CC^{\perp}(\mathcal{A})$  is called a CE-resolution of  $X \xrightarrow{f} X'$  if  $H^0(\hat{f}^{*, -}) \simeq f$ , as diagrams of the form  $\bullet \rightarrow \bullet$ . By Remark 5, given CE-resolutions  $J$  of  $X$  and  $J'$  of  $X'$ , there exists a CE-resolution  $J \xrightarrow{\hat{f}} J'$  of  $X \xrightarrow{f} X'$ .

PROPOSITION 17 *Let  $X \xrightarrow{f} X'$  be a quasiisomorphism in  $C^{[0]}(\mathcal{A})$ . Let  $J \xrightarrow{\hat{f}} J'$  be a CE-resolution of  $X \xrightarrow{f} X'$ . Then  $\hat{f}$  can be written as a composite in  $CC^{\text{L}, \text{CE}}(\text{Inj } \mathcal{A})$  of a rowwise homotopism, followed by a double homotopism.*

*Proof.* Choose a pointwise split monomorphism  $X \xrightarrow{a} A$  into a split acyclic complex  $X$ . We can factor

$$(X \xrightarrow{f} X') = \left( X \xrightarrow{(f \ a)} X' \oplus A \xrightarrow{\begin{pmatrix} 1 \\ 0 \end{pmatrix}} X' \right),$$

so that  $(f \ a)$  is a pointwise split monomorphism. Let  $B$  be a CE-resolution of  $A$ . Choosing a CE-resolution  $b$  of  $a$ , we obtain the factorisation

$$(J \xrightarrow{\hat{f}} J') = \left( J \xrightarrow{(\hat{f} \ b)} J' \oplus B \xrightarrow{\begin{pmatrix} 1 \\ 0 \end{pmatrix}} J' \right).$$

Since  $X' \oplus A \xrightarrow{\begin{pmatrix} 1 \\ 0 \end{pmatrix}} X'$  is a homotopism,  $J' \oplus B \xrightarrow{\begin{pmatrix} 1 \\ 0 \end{pmatrix}} J'$  is a double homotopism; cf. Corollary 15. Hence  $\hat{f}$  is a composite of a rowwise homotopism and a double homotopism if and only if this holds for  $(\hat{f} \ b)$ . So we may assume that  $f$  is pointwise split monomorphic, so in particular, monomorphic.

By Proposition 14, we may replace the given CE-resolution  $\hat{f}$  by an arbitrary CE-resolution of  $f$  between  $J$  and an arbitrarily chosen CE-resolution of  $X'$  without changing the property of being a composite of a rowwise homotopism and a double homotopism for this newly chosen CE-resolution of  $f$ .

Let  $X \xrightarrow{f} X' \longrightarrow \bar{X}$  be a short exact sequence in  $C^{[0]}(\mathcal{A})$ . Since  $f$  is a quasiisomorphism,  $\bar{X} \in \text{Ob } C^{[0]}(\mathcal{A})$  is acyclic. Let  $\bar{J}$  be a rowwise split acyclic CE-resolution of  $\bar{X}$ ; cf. Lemma 16. The short exact sequence  $X \xrightarrow{f} X' \longrightarrow \bar{X}$  is pure by acyclicity of  $\bar{X}$ ; cf. Remark 8.(1). Hence by the exact Horseshoe Lemma, there exists a rowwise split short exact sequence  $J \longrightarrow \tilde{J}' \longrightarrow \bar{J}$  of CE-resolutions that maps to  $X \xrightarrow{f} X' \longrightarrow \bar{X}$  under  $H^0((-)^*, -)$ ; cf. Remark 7. Since  $\bar{J}$  is rowwise split acyclic and since the sequence  $J \longrightarrow \tilde{J}' \longrightarrow \bar{J}$  is rowwise split short exact,  $J \longrightarrow \tilde{J}'$  is a rowwise homotopism. Since  $J \longrightarrow \tilde{J}'$  is a CE-resolution of  $X \xrightarrow{f} X'$ , this proves the proposition.  $\square$

### 3 FORMALISM OF SPECTRAL SEQUENCES

We follow essentially VERDIER [17, II.4]; cf. [6, App.]; on a more basic level, cf. [11, Kap. 4].

Let  $\mathcal{A}$  be an abelian category.

3.1 POINTWISE SPLIT AND POINTWISE FINITELY FILTERED COMPLEXES

Let  $\mathbf{Z}_\infty := \{-\infty\} \sqcup \mathbf{Z} \sqcup \{\infty\}$ , considered as a linearly ordered set, and thus as a category. Write  $]\alpha, \beta] := \{\sigma \in \mathbf{Z}_\infty : \alpha < \sigma \leq \beta\}$  for  $\alpha, \beta \in \mathbf{Z}_\infty$  such that  $\alpha \leq \beta$ ; etc.

Given  $X \in \text{Ob } \llbracket \mathbf{Z}_\infty, \mathcal{C}(\mathcal{A}) \rrbracket$ , the morphism of  $X$  on  $\alpha \leq \beta$  in  $\mathbf{Z}_\infty$  shall be denoted by  $X(\alpha) \xrightarrow{x} X(\beta)$ .

An object  $X \in \text{Ob } \llbracket \mathbf{Z}_\infty, \mathcal{C}(\mathcal{A}) \rrbracket$  is called a *pointwise split and pointwise finitely filtered complex (with values in  $\mathcal{A}$ )*, provided (SFF 1, 2, 3) hold.

- (SFF 1) We have  $X(-\infty) = 0$ .
- (SFF 2) The morphism  $X(\alpha)^i \xrightarrow{x^i} X(\beta)^i$  is split monomorphic for all  $i \in \mathbf{Z}$  and all  $\alpha \leq \beta$  in  $\mathbf{Z}_\infty$ .
- (SFF 3) For all  $i \in \mathbf{Z}$ , there exist  $\beta_0, \alpha_0 \in \mathbf{Z}$  such that  $X(\alpha)^i \xrightarrow{x^i} X(\beta)^i$  is an identity whenever  $\alpha \leq \beta \leq \beta_0$  or  $\alpha_0 \leq \alpha \leq \beta$  in  $\mathbf{Z}_\infty$ .

The pointwise split and pointwise finitely filtered complexes with values in  $\mathcal{A}$  form a full subcategory  $\text{SFFC}(\mathcal{A}) \subseteq \llbracket \mathbf{Z}_\infty, \mathcal{C}(\mathcal{A}) \rrbracket$ .

Suppose given a pointwise split and pointwise finitely filtered complex  $X$  with values in  $\mathcal{A}$  for the rest of the present §3.

Let  $\alpha \in \mathbf{Z}_\infty$ . Write  $\bar{X}(\alpha) := \text{Cokern}(X(\alpha - 1) \longrightarrow X(\alpha))$  for  $\alpha \in \mathbf{Z}$ . Given  $i \in \mathbf{Z}$ , we obtain  $X(\alpha)^i \simeq \bigoplus_{\sigma \in ]-\infty, \alpha]} \bar{X}(\sigma)^i$ , which is a finite direct sum. We identify along this isomorphism. In particular, we get as a matrix representation for the differential

$$\begin{aligned} & (X(\alpha)^i \xrightarrow{d} X(\alpha)^{i+1}) \\ &= \left( \bigoplus_{\sigma \in ]-\infty, \alpha]} \bar{X}(\sigma)^i \xrightarrow{(d_{\sigma, \tau}^i)_{\sigma, \tau}} \bigoplus_{\tau \in ]-\infty, \alpha]} \bar{X}(\tau)^{i+1} \right), \end{aligned}$$

where  $d_{\sigma, \tau}^i = 0$  whenever  $\sigma < \tau$ ; a kind of lower triangular matrix.

3.2 SPECTRAL OBJECTS

Let  $\bar{\mathbf{Z}}_\infty := \mathbf{Z}_\infty \times \mathbf{Z}$ . Write  $\alpha^{+k} := (\alpha, k)$ , where  $\alpha \in \mathbf{Z}_\infty$  and  $k \in \mathbf{Z}$ . Let  $\alpha^{+k} \leq \beta^{+\ell}$  in  $\bar{\mathbf{Z}}_\infty$  if  $k < \ell$  or  $(k = \ell \text{ and } \alpha \leq \beta)$ , i.e. let  $\bar{\mathbf{Z}}_\infty$  be linearly ordered via a lexicographical ordering. We have an automorphism  $\alpha^{+k} \mapsto \alpha^{+k+1}$  of the poset  $\bar{\mathbf{Z}}_\infty$ , to which we refer as *shift*. Note that  $-\infty^{+k} = (-\infty)^{+k}$ .

We have an order preserving injection  $\mathbf{Z}_\infty \longrightarrow \bar{\mathbf{Z}}_\infty, \alpha \mapsto \alpha^{+0}$ . We use this injection as an identification of  $\mathbf{Z}_\infty$  with its image in  $\bar{\mathbf{Z}}_\infty$ , i.e. we sometimes write  $\alpha := \alpha^{+0}$  by abuse of notation.

Let  $\bar{\mathbf{Z}}_\infty^\# := \{(\alpha, \beta) \in \bar{\mathbf{Z}}_\infty \times \bar{\mathbf{Z}}_\infty : \beta^{-1} \leq \alpha \leq \beta \leq \alpha^{+1}\}$ . We usually write  $\beta/\alpha := (\alpha, \beta) \in \bar{\mathbf{Z}}_\infty^\#$ ; reminiscent of a quotient. The set  $\bar{\mathbf{Z}}_\infty^\#$  is partially ordered by  $\beta/\alpha \leq \beta'/\alpha' :\iff (\beta \leq \beta' \text{ and } \alpha \leq \alpha')$ . We have an automorphism  $\beta/\alpha \mapsto (\beta/\alpha)^{+1} := \alpha^{+1}/\beta$  of the poset  $\bar{\mathbf{Z}}_\infty^\#$ , to which, again, we refer as *shift*.

We write  $\mathbf{Z}_\infty^\# := \{\beta/\alpha \in \bar{\mathbf{Z}}_\infty^\# : -\infty \leq \alpha \leq \beta \leq \infty\}$ . Note that any element of  $\bar{\mathbf{Z}}_\infty^\#$  can uniquely be written as  $(\beta/\alpha)^{+k}$  for some  $\beta/\alpha \in \mathbf{Z}_\infty^\#$  and some  $k \in \mathbf{Z}$ . We shall construct the *spectral object*  $\mathrm{Sp}(X) \in \mathrm{Ob} \llbracket \bar{\mathbf{Z}}_\infty^\#, \mathbf{K}(\mathcal{A}) \rrbracket$ . The morphism of  $\mathrm{Sp}(X)$  on  $\beta/\alpha \leq \beta'/\alpha'$  in  $\bar{\mathbf{Z}}_\infty^\#$  shall be denoted by  $X(\beta/\alpha) \xrightarrow{x} X(\beta'/\alpha')$ . We require that

$$\left( X((\beta/\alpha)^{+k}) \xrightarrow{x} X((\beta'/\alpha')^{+k}) \right) = \left( X(\beta/\alpha) \xrightarrow{x} X(\beta'/\alpha') \right)^{\bullet + k}$$

for  $\beta/\alpha \leq \beta'/\alpha'$  in  $\bar{\mathbf{Z}}_\infty^\#$ ; i.e., roughly put, that  $\mathrm{Sp}(X)$  be periodic up to shift of complexes.

Define

$$X(\beta/\alpha) := \mathrm{Cokern} \left( X(\alpha) \xrightarrow{x} X(\beta) \right)$$

for  $\beta/\alpha \in \mathbf{Z}_\infty^\#$ . By periodicity, we conclude that  $X(\alpha/\alpha) = 0$  and  $X(\alpha^{+1}/\alpha) = 0$  for all  $\alpha \in \bar{\mathbf{Z}}_\infty$ .

Write

$$D_{\beta/\alpha, \beta'/\alpha'}^i := (d_{\sigma, \tau}^i)_{\sigma \in ]\alpha, \beta], \tau \in ]\alpha', \beta']} : X(\beta/\alpha)^i \longrightarrow X(\beta'/\alpha')^{i+1}$$

for  $i \in \mathbf{Z}$  and  $\beta/\alpha, \beta'/\alpha' \in \mathbf{Z}_\infty^\#$ .

Given  $-\infty \leq \alpha \leq \beta \leq \gamma \leq \infty$  and  $i \in \mathbf{Z}$ , we let

$$\begin{aligned} \left( X(\beta/\alpha)^i \xrightarrow{x^i} X(\gamma/\alpha)^i \right) &:= \left( X(\beta/\alpha)^i \xrightarrow{(1 \ 0)} X(\beta/\alpha)^i \oplus X(\gamma/\beta)^i \right) \\ \left( X(\gamma/\alpha)^i \xrightarrow{x^i} X(\gamma/\beta)^i \right) &:= \left( X(\beta/\alpha)^i \oplus X(\gamma/\beta)^i \xrightarrow{\begin{pmatrix} 0 \\ 1 \end{pmatrix}} X(\gamma/\beta)^i \right) \\ \left( X(\gamma/\beta)^i \xrightarrow{x^i} X(\alpha^{+1}/\beta)^i \right) &:= \left( X(\gamma/\beta)^i \xrightarrow{D_{\gamma/\beta, \beta/\alpha}^i} X(\beta/\alpha)^{i+1} \right). \end{aligned}$$

By periodicity up to shift of complexes, this defines  $\mathrm{Sp}(X)$ . The construction is functorial in  $X \in \mathrm{Ob} \mathrm{SFFC}(\mathcal{A})$ .

### 3.3 SPECTRAL SEQUENCES

Let  $\bar{\mathbf{Z}}_\infty^{\#\#} := \{(\gamma/\alpha, \delta/\beta) \in \bar{\mathbf{Z}}_\infty^\# \times \bar{\mathbf{Z}}_\infty^\# : \delta^{-1} \leq \alpha \leq \beta \leq \gamma \leq \delta \leq \alpha^{+1}\}$ . Given  $(\gamma/\alpha, \delta/\beta) \in \bar{\mathbf{Z}}_\infty^{\#\#}$ , we usually write  $\delta/\beta // \gamma/\alpha := (\gamma/\alpha, \delta/\beta)$ . The set  $\bar{\mathbf{Z}}_\infty^{\#\#}$  is partially ordered by

$$\delta/\beta // \gamma/\alpha \leq \delta'/\beta' // \gamma'/\alpha' \iff (\gamma/\alpha \leq \gamma'/\alpha' \text{ and } \delta/\beta \leq \delta'/\beta').$$

Define the *spectral sequence*  $\mathrm{E}(X) \in \mathrm{Ob} \llbracket \bar{\mathbf{Z}}_\infty^{\#\#}, \mathcal{A} \rrbracket$  of  $X$  by letting its value on

$$\delta/\beta // \gamma/\alpha \leq \delta'/\beta' // \gamma'/\alpha'$$

in  $\bar{\mathbf{Z}}_{\infty}^{\#\#}$  be the morphism that appears in the middle column of the diagram

$$\begin{array}{ccccc} \mathrm{H}^0(X(\gamma/\alpha)) & \longrightarrow & \mathrm{E}(\delta/\beta//\gamma/\alpha)(X) & \longrightarrow & \mathrm{H}^0(X(\delta/\beta)) \\ \mathrm{H}^0(x) \downarrow & & \downarrow \epsilon & & \mathrm{H}^0(x) \downarrow \\ \mathrm{H}^0(X(\gamma'/\alpha')) & \longrightarrow & \mathrm{E}(\delta'/\beta'//\gamma'/\alpha')(X) & \longrightarrow & \mathrm{H}^0(X(\delta'/\beta')) . \end{array}$$

Given  $\delta/\beta//\gamma/\alpha \in \bar{\mathbf{Z}}_{\infty}^{\#\#}$  and  $k \in \mathbf{Z}$ , we also write

$$\mathrm{E}(\delta/\beta//\gamma/\alpha)^{+k}(X) := \mathrm{E}((\delta/\beta)^{+k} // (\gamma/\alpha)^{+k})(X) .$$

Altogether,

$$\begin{array}{ccccc} \llbracket \mathbf{Z}_{\infty}, \mathbf{C}(\mathcal{A}) \rrbracket & \supseteq & \mathrm{SFFC}(\mathcal{A}) & \longrightarrow & \llbracket \bar{\mathbf{Z}}_{\infty}^{\#}, \mathbf{K}(\mathcal{A}) \rrbracket & \longrightarrow & \llbracket \bar{\mathbf{Z}}_{\infty}^{\#\#}, \mathcal{A} \rrbracket \\ & & X & \longmapsto & \mathrm{Sp}(X) & \longmapsto & \mathrm{E}(X) . \end{array}$$

### 3.4 A SHORT EXACT SEQUENCE

LEMMA 18 *Given  $\varepsilon^{-1} \leq \alpha \leq \beta \leq \gamma \leq \delta \leq \varepsilon \leq \alpha^{+1}$  in  $\bar{\mathbf{Z}}_{\infty}$ , we have a short exact sequence*

$$\mathrm{E}(\varepsilon/\beta//\gamma/\alpha)(X) \xrightarrow{\epsilon} \mathrm{E}(\varepsilon/\beta//\delta/\alpha)(X) \xrightarrow{\epsilon} \mathrm{E}(\varepsilon/\gamma//\delta/\alpha)(X) .$$

*Proof.* See [10, Lem. 3.9]. □

LEMMA 19 *Given  $\varepsilon^{-1} \leq \alpha \leq \beta \leq \gamma \leq \delta \leq \varepsilon \leq \alpha^{+1}$  in  $\bar{\mathbf{Z}}_{\infty}$ , we have a short exact sequence*

$$\mathrm{E}(\varepsilon/\gamma//\delta/\alpha)(X) \xrightarrow{\epsilon} \mathrm{E}(\varepsilon/\gamma//\delta/\beta)(X) \xrightarrow{\epsilon} \mathrm{E}(\alpha^{+1}/\gamma//\delta/\beta)(X) .$$

*Proof.* Apply the functor induced by  $\beta/\alpha \longmapsto \alpha^{+1}/\beta$  to  $\mathrm{Sp}(X)$ . Then apply [10, Lem. 3.9]. □

The short exact sequence in Lemma 18 is called a *fundamental short exact sequence (in first notation)*, the short exact sequence in Lemma 19 is called a *fundamental short exact sequence (in second notation)*. They will be used without further comment.

### 3.5 CLASSICAL INDEXING

Let  $1 \leq r \leq \infty$  and let  $p, q \in \mathbf{Z}$ . Denote

$$\mathrm{E}_r^{p,q} = \mathrm{E}_r^{p,q}(X) := \mathrm{E}(-p-1+r/-p-1// -p/-p-r)^{+p+q}(X) ,$$

where  $i + \infty := \infty$  and  $i - \infty := -\infty$  for all  $i \in \mathbf{Z}$ .

EXAMPLE 20 The short exact sequences in Lemmata 18, 19 allow to derive the exact couples of Massey. Write

$$D_r^{i,j} = D_r^{i,j}(X) := E(-i/-\infty // -i-r+1/-\infty)^{+i+j}(X)$$

for  $i, j \in \mathbf{Z}$  and  $r \geq 1$ . We obtain an exact sequence

$$D_r^{i,j} \xrightarrow{e} D_r^{i-1,j+1} \xrightarrow{e} E_r^{i+r-2,j-r+2} \xrightarrow{e} D_r^{i+r-1,j-r+2} \xrightarrow{e} D_r^{i+r-2,j-r+3}$$

by Lemmata 18, 19.

### 3.6 COMPARING PROPER SPECTRAL SEQUENCES

Let  $X \xrightarrow{f} Y$  be a morphism in  $\text{SFFC}(\mathcal{A})$ , i.e. a morphism of pointwise split and pointwise finitely filtered complexes with values in  $\mathcal{A}$ . Write  $E(X) \xrightarrow{E(f)} E(Y)$  for the induced morphism on the spectral sequences.

For  $\alpha, \beta \in \mathbf{Z}_\infty$ , we write  $\alpha \dot{<} \beta$  if

$$(\alpha < \beta) \quad \text{or} \quad (\alpha = \beta \quad \text{and} \quad \alpha \in \{\infty^{+k} : k \in \mathbf{Z}\} \cup \{-\infty^{+k} : k \in \mathbf{Z}\}).$$

We write

$$\dot{\mathbf{Z}}_\infty^{\#\#} := \{\delta/\beta // \gamma/\alpha \in \bar{\mathbf{Z}}_\infty^{\#\#} : \delta^{-1} \leq \alpha \dot{<} \beta \leq \gamma \dot{<} \delta \leq \alpha^{+1}\}.$$

We write

$$\dot{E} = \dot{E}(X) := E(X)|_{\dot{\mathbf{Z}}_\infty^{\#\#}} \in \text{Ob} \llbracket \dot{\mathbf{Z}}_\infty^{\#\#}, \mathcal{A} \rrbracket$$

for the *proper spectral sequence* of  $X$ ; analogously for the morphisms.

LEMMA 21 *If  $E(\alpha + 1/\alpha - 1 // \alpha/\alpha - 2)^{+k}(f)$  is an isomorphism for all  $\alpha \in \mathbf{Z}$  and all  $k \in \mathbf{Z}$ , then  $\dot{E}(f)$  is an isomorphism.*

*Proof. Claim 1.* We have an isomorphism  $E(\gamma/\beta - 1 // \beta/\beta - 2)^{+k}(f)$  for all  $k \in \mathbf{Z}$ , all  $\beta \in \mathbf{Z}$  and all  $\gamma \in \mathbf{Z}$  such that  $\gamma > \beta$ . We have an isomorphism  $E(\beta + 1/\beta - 1 // \beta/\alpha - 1)^{+k}(f)$  for all  $k \in \mathbf{Z}$ , all  $\beta \in \mathbf{Z}$  and all  $\alpha \in \mathbf{Z}$  such that  $\alpha < \beta$ .

The assertions follow by induction using the exact sequences

$$\begin{array}{ccc} E(\gamma + 2/\gamma // \gamma + 1/\beta)^{+k-1} & \xrightarrow{e} & E(\gamma/\beta - 1 // \beta/\beta - 2)^{+k} \\ & \xrightarrow{e} & E(\gamma + 1/\beta - 1 // \beta/\beta - 2)^{+k} \longrightarrow 0 \end{array}$$

and

$$\begin{array}{ccc} 0 \longrightarrow & E(\beta + 1/\beta - 1 // \beta/\alpha - 2)^{+k} & \xrightarrow{e} E(\beta + 1/\beta - 1 // \beta/\alpha - 1)^{+k} \\ & & \xrightarrow{e} E(\beta - 1/\alpha - 2 // \alpha - 1/\alpha - 3)^{+k+1}. \end{array}$$

*Claim 2.* We have an isomorphism  $E(\gamma/\beta - 1 // \beta/\alpha - 1)^{+k}(f)$  for all  $k \in \mathbf{Z}$  and all  $\alpha, \beta, \gamma \in \mathbf{Z}$  such that  $\alpha < \beta < \gamma$ .

We proceed by induction on  $\gamma - \alpha$ . By Claim 1, we may assume that  $\alpha < \beta - 1 < \beta + 1 < \gamma$ . Consider the image diagram

$$E(\gamma - 1 // \beta - 1 // \beta/\alpha - 1)^{+k} \xrightarrow{e} E(\gamma/\beta - 1 // \beta/\alpha - 1)^{+k} \xrightarrow{e} E(\gamma/\beta - 1 // \beta/\alpha)^{+k}.$$

*Claim 3.* We have an isomorphism  $E(\delta/\beta // \gamma/\alpha)^{+k}(f)$  for all  $k \in \mathbf{Z}$  and all  $\alpha, \beta, \gamma, \delta \in \mathbf{Z}$  such that  $\alpha < \beta \leq \gamma < \delta$ .

We may assume that  $\gamma - \beta \geq 1$ , for  $E(\delta/\beta // \beta/\alpha)^{+k} = 0$ . We proceed by induction on  $\gamma - \beta$ . By Claim 2, we may assume that  $\gamma - \beta \geq 2$ . Consider the short exact sequence

$$E(\delta/\beta // \gamma - 1/\alpha)^{+k} \xrightarrow{e} E(\delta/\beta // \gamma/\alpha)^{+k} \xrightarrow{e} E(\delta/\gamma - 1 // \gamma/\alpha)^{+k}.$$

*Claim 4.* We have an isomorphism  $E(\delta/\beta // \gamma/\alpha)^{+k}(f)$  for all  $k \in \mathbf{Z}$  and all  $\alpha, \beta, \gamma, \delta \in \mathbf{Z}_\infty$  such that  $\alpha < \beta \leq \gamma < \delta$ .

In view of Claim 3, it suffices to choose  $\tilde{\alpha} \in \mathbf{Z}$  small enough such that  $E(\delta/\beta // \gamma/\tilde{\alpha})^{+k}(f) = E(\delta/\beta // \gamma/-\infty)^{+k}(f)$ ; etc.

*Claim 5.* We have an isomorphism  $E(\delta/\beta // \gamma/\alpha)^{+k}(f)$  for all  $k \in \mathbf{Z}$  and all  $\alpha, \beta, \gamma, \delta \in \mathbf{Z}_\infty$  such that  $\alpha < \beta \leq \gamma < \delta$ .

In view of Claim 4, it suffices to choose  $\tilde{\beta} \in \mathbf{Z}$  small enough such that  $E(\delta/\tilde{\beta} // \gamma/-\infty)^{+k}(f) = E(\delta/-\infty // \gamma/-\infty)^{+k}(f)$ ; etc.

*Claim 6.* We have an isomorphism  $E(\delta/\beta // \gamma/\alpha)^{+k}(f)$  for all  $k \in \mathbf{Z}$  and all  $\alpha, \beta, \gamma, \delta \in \bar{\mathbf{Z}}_\infty$  such that  $-\infty \leq \delta^{-1} \leq \alpha < \beta \leq \gamma \leq \infty < -\infty^{+1} \leq \delta \leq \alpha^{+1}$ .

In view of Claim 5, it suffices to consider the short exact sequence

$$E(\infty/\beta // \gamma/\delta^{-1})^{+k} \xrightarrow{e} E(\infty/\beta // \gamma/\alpha)^{+k} \xrightarrow{e} E(\delta/\beta // \gamma/\alpha)^{+k}.$$

*Claim 7.* The morphism  $\dot{E}(f)$  is an isomorphism.

Suppose given  $\alpha, \beta, \gamma, \delta \in \bar{\mathbf{Z}}_\infty$  such that  $\delta^{-1} \leq \alpha < \beta \leq \gamma < \delta \leq \alpha^{+1}$ . Via a shift, we may assume that we are in the situation of Claim 5 or of Claim 6.  $\square$

### 3.7 THE FIRST SPECTRAL SEQUENCE OF A DOUBLE COMPLEX

Let  $\mathcal{A}$  be an abelian category. Let  $X \in \text{Ob CC}^{\mathbf{L}}(\mathcal{A})$ . Given  $n \in \mathbf{Z}_\infty$ , we write  $X^{[n,*]}$  for the double complex arising from  $X$  by replacing  $X^{i,j}$  by 0 for all  $i \in [0, n[$ . We define a pointwise split and pointwise finitely filtered complex  $t_{\mathbf{I}}X$ , called the *first filtration of  $tX$* , by letting  $t_{\mathbf{I}}X(\alpha) := tX^{[-\alpha,*]}$  for  $\alpha \in \mathbf{Z}_\infty$ ; and by letting  $t_{\mathbf{I}}X(\alpha) \rightarrow t_{\mathbf{I}}X(\beta)$  be the pointwise split inclusion  $tX^{[-\alpha,*]} \rightarrow tX^{[-\beta,*]}$  for  $\alpha, \beta \in \mathbf{Z}_\infty$  such that  $\alpha \leq \beta$ . Let  $E_{\mathbf{I}} = E_{\mathbf{I}}(X) := E(t_{\mathbf{I}}X)$ . This construction is functorial in  $X \in \text{Ob CC}^{\mathbf{L}}(\mathcal{A})$ . Note that  $t_{\mathbf{I}}X(\alpha) = X^{-\alpha,k+\alpha}$ .

We record the following wellknown lemma in the language we use here.

LEMMA 22 *Let  $\alpha \in ]-\infty, 0]$ . Let  $k \in \mathbf{Z}$  such that  $k \geq -\alpha$ . We have*

$$\begin{aligned} E_I(\alpha/\alpha - 1//\alpha/\alpha - 1)^{+k}(X) &= H^{k+\alpha}(X^{-\alpha,*}) \\ E_I(\alpha + 1/\alpha - 1//\alpha/\alpha - 2)^{+k}(X) &= H^{-\alpha}(H^{k+\alpha}(X^{-,*})), \end{aligned}$$

naturally in  $X \in \text{Ob CC}^+(\mathcal{A})$ .

*Proof.* The first equality follows by  $E_I(\alpha/\alpha - 1//\alpha/\alpha - 1)^{+k} = H^k t_I X(\alpha/\alpha - 1) = H^{k+\alpha}(X^{-\alpha,*})$ .

The morphism  $t_I X(\alpha/\alpha - 1) \longrightarrow t_I X((\alpha - 2)^{+1}/\alpha - 1) = t_I X(\alpha - 1/\alpha - 2)^{\bullet+1}$  from  $\text{Sp}(t_I X)$  is at position  $k \geq 0$  given by

$$\overline{t_I X}(\alpha)^k = X^{-\alpha,k+\alpha} \xrightarrow{(-1)^\alpha \partial} X^{-\alpha+1,k+\alpha} = \overline{t_I X}(\alpha - 1)^{k+1};$$

cf. §1.1.6. In particular, the morphisms

$$\begin{aligned} E_I(\alpha + 1/\alpha//\alpha + 1/\alpha)^{+k-1} &\xrightarrow{e} E_I(\alpha/\alpha - 1//\alpha/\alpha - 1)^{+k} \\ &\xrightarrow{e} E_I(\alpha - 1/\alpha - 2//\alpha - 1/\alpha - 2)^{+k+1} \end{aligned}$$

are given by

$$\begin{aligned} H^{k+\alpha}(X^{-\alpha-1,*}) &\xrightarrow{(-1)^{\alpha+1} H^{k+\alpha}(\partial)} H^{k+\alpha}(X^{-\alpha,*}) \\ &\xrightarrow{(-1)^\alpha H^{k+\alpha}(\partial)} H^{k+\alpha}(X^{-\alpha+1,*}). \end{aligned}$$

Now the second equality follows by the diagram

$$\begin{array}{ccccc} & & E_I(\alpha+1/\alpha-1//\alpha/\alpha-2)^{+k} & & \\ & \nearrow e & & \searrow e & \\ E_I(\alpha/\alpha-1//\alpha/\alpha-2)^{+k} & & & & E_I(\alpha+1/\alpha-1//\alpha/\alpha-1)^{+k} \\ & \searrow e & & \nearrow e & \\ E_I(\alpha+1/\alpha//\alpha+1/\alpha)^{+k-1} & \xrightarrow{e} & E_I(\alpha/\alpha-1//\alpha/\alpha-1)^{+k} & \xrightarrow{e} & E_I(\alpha-1/\alpha-2//\alpha-1/\alpha-2)^{+k+1}. \end{array}$$

□

REMARK 23 *Let  $X \xrightarrow{f} Y$  be a rowwise quasiisomorphism in  $\text{CC}^+(\mathcal{A})$ . Then  $E_I(\delta/\beta//\gamma/\alpha)^{+k}(f)$  is an isomorphism for  $\delta^{-1} \leq \alpha \leq \beta \leq \gamma \leq \delta \leq \alpha^{+1}$  in  $\bar{\mathbf{Z}}_\infty$  and  $k \in \mathbf{Z}$ .*

*Proof.* It suffices to show that the morphism  $\text{Sp}(t_I f)$  in  $[\bar{\mathbf{Z}}_\infty^\#, \mathbf{K}(\mathcal{A})]$  is pointwise a quasiisomorphism. To have this, it suffices to show that  $t_I f^{[k,*]}$  is a quasiisomorphism for  $k \geq 0$ . But  $f^{[k,*]}$  is a rowwise quasiisomorphism for  $k \geq 0$ ; cf. §1.1.6. □

LEMMA 24 *The functor  $CC^{\perp}(\mathcal{A}) \xrightarrow{\dot{E}_I} [\dot{\mathbf{Z}}_{\infty}^{\#\#}, \mathcal{A}]$  factors over*  

$$KK^{\perp}(\mathcal{A}) \xrightarrow{\dot{E}_I} [\dot{\mathbf{Z}}_{\infty}^{\#\#}, \mathcal{A}].$$

*Proof.* By Lemma 1, we have to show that  $\dot{E}_I$  annihilates all elementary horizontally split acyclic double complexes in  $\text{Ob } CC^{\perp}(\mathcal{A})$  and all elementary vertically split acyclic double complexes in  $\text{Ob } CC^{\perp}(\mathcal{A})$ .

Let  $U \in \text{Ob } CC^{\perp}(\mathcal{A})$  be an elementary vertically split acyclic double complex concentrated in rows  $i$  and  $i + 1$ , where  $i \geq 0$ . Let  $V \in \text{Ob } CC^{\perp}(\mathcal{A})$  be an elementary horizontally split acyclic double complex concentrated in columns  $j$  and  $j + 1$ , where  $j \geq 0$ .

Since  $V$  is rowwise acyclic,  $E_I$  annihilates  $V$  by Remark 23, whence so does  $\dot{E}_I$ . Suppose given

$$(*) \quad -\infty \leq \alpha \dot{<} \beta \leq \gamma \dot{<} \delta \leq \infty$$

in  $\bar{\mathbf{Z}}_{\infty}$  and  $k \in \mathbf{Z}$ . We *claim* that the functor  $E_I(\delta/\beta//\gamma/\alpha)^{+k}$  annihilates  $U$ . We may assume that  $\beta < \gamma$ . Note that  $E_I(\delta/\beta//\gamma/\alpha)^{+k}(U)$  is the image of

$$H^k(t_I U(\gamma/\alpha)) \longrightarrow H^k(t_I U(\delta/\beta)).$$

The double complex  $U^{[-\delta, *]/U^{[-\beta, *]}$  is columnwise acyclic except possibly if  $-\beta = i + 1$  or if  $-\delta = i + 1$ . The double complex  $U^{[-\gamma, *]/U^{[-\alpha, *]}$  is columnwise acyclic except possibly if  $-\alpha = i + 1$  or if  $-\gamma = i + 1$ . All three remaining combinations of these exceptional cases are excluded by  $(*)$ , however. Hence  $E_I(\delta/\beta//\gamma/\alpha)^{+k}(U) = 0$ . This proves the *claim*.

Suppose given

$$(**) \quad \delta^{-1} \leq \alpha \dot{<} \beta \leq \gamma \leq \infty \leq -\infty^{+1} \leq \delta \leq \alpha^{+1}.$$

in  $\bar{\mathbf{Z}}_{\infty}$  and  $k \in \mathbf{Z}$ . We *claim* that the functor  $E_I(\delta/\beta//\gamma/\alpha)^{+k}$  annihilates  $U$ . We may assume that  $\beta < \gamma$  and that  $\delta^{-1} < \alpha$ . Note that  $E_I(\delta/\beta//\gamma/\alpha)^{+k}(U)$  is the image of

$$H^k(t_I U(\gamma/\alpha)) \longrightarrow H^{k+1}(t_I U(\beta/\delta^{-1})).$$

The double complex  $U^{[-\beta, *]/U^{[-(\delta^{-1}), *]}$  is columnwise acyclic except possibly if  $-(\delta^{-1}) = i + 1$  or if  $-\beta = i + 1$ . The double complex  $U^{[-\gamma, *]/U^{[-\alpha, *]}$  is columnwise acyclic except possibly if  $-\gamma = i + 1$  or if  $-\alpha = i + 1$ . Both remaining combinations of these exceptional cases are excluded by  $(**)$ , however. Hence  $E_I(\delta/\beta//\gamma/\alpha)^{+k}(U) = 0$ . This proves the *claim*.

Both claims taken together show that  $\dot{E}_I$  annihilates  $U$ . □

#### 4 GROTHENDIECK SPECTRAL SEQUENCES

##### 4.1 CERTAIN QUASISOMORPHISMS ARE PRESERVED BY A LEFT EXACT FUNCTOR

Suppose given abelian categories  $\mathcal{A}, \mathcal{B}$ , and suppose that  $\mathcal{A}$  has enough injectives. Let  $\mathcal{A} \xrightarrow{F} \mathcal{B}$  be a left exact functor.

REMARK 25 *Suppose given an  $F$ -acyclic object  $X \in \text{Ob } \mathcal{A}$  and an injective resolution  $I \in \text{Ob } \mathcal{C}^{[0]}(\text{Inj } \mathcal{A})$  of  $X$ . Let  $\text{Conc } X \xrightarrow{f} I$  be its quasiisomorphism. Then  $\text{Conc } FX \xrightarrow{Ff} FI$  is a quasiisomorphism.*

*Proof.* This follows since  $F$  is left exact and since  $H^i(FI) \simeq (R^i F)X \simeq 0$  for  $i \geq 1$ .  $\square$

REMARK 26 *Suppose given a complex  $U \in \text{Ob } \mathcal{C}^{[0]}(\mathcal{A})$  consisting of  $F$ -acyclic objects. There exists an injective complex resolution  $I \in \text{Ob } \mathcal{C}^{[0]}(\text{Inj } \mathcal{A})$  of  $U$  such that its quasiisomorphism  $U \xrightarrow{f} I$  maps to a quasiisomorphism  $FU \xrightarrow{Ff} FI$ .*

*Proof.* Let  $J \in \text{Ob } \text{CC}^{\text{u}, \text{CE}}(\text{Inj } \mathcal{A})$  be a CE-resolution of  $U$ ; cf. Remark 9. Since the morphism of double complexes  $\text{Conc}_2 U \longrightarrow J$  is a columnwise quasiisomorphism consisting of monomorphisms, taking the total complex, we obtain a quasiisomorphism  $U \longrightarrow \text{t}J$  consisting of monomorphisms. By  $F$ -acyclicity of the entries of  $U$ , the image  $\text{Conc}_2 FU \longrightarrow FJ$  under  $F$  is a columnwise quasiisomorphism, too; cf. Remark 25. Hence  $F$  maps the quasiisomorphism  $U \longrightarrow \text{t}J$  to the quasiisomorphism  $FU \longrightarrow F\text{t}J$ . So we may take  $I := \text{t}J$ .  $\square$

LEMMA 27 *Suppose given a complex  $U \in \text{Ob } \mathcal{C}^{[0]}(\mathcal{A})$  consisting of  $F$ -acyclic objects and an injective complex resolution  $I \in \text{Ob } \mathcal{C}^{[0]}(\text{Inj } \mathcal{A})$  of  $U$ . Let  $U \xrightarrow{f} I$  be its quasiisomorphism. Then  $FU \xrightarrow{Ff} FI$  is a quasiisomorphism.*

*Proof.* Let  $U \longrightarrow I'$  be a quasiisomorphism to an injective complex resolution  $I'$  that is mapped to a quasiisomorphism by  $F$ ; cf. Remark 26. Since  $U \longrightarrow I'$  is a quasiisomorphism, the induced map  ${}_{\text{K}(\mathcal{A})}(U, I) \longleftarrow {}_{\text{K}(\mathcal{A})}(I', I)$  is surjective, so that there exists a morphism  $I' \longrightarrow I$  such that  $(U \longrightarrow I' \longrightarrow I) = (U \xrightarrow{f} I)$  in  $\text{K}(\mathcal{A})$ . Since, moreover,  $U \xrightarrow{f} I$  is a quasiisomorphism,  $I' \longrightarrow I$  is a homotopism. Since  $FU \longrightarrow FI'$  is a quasiisomorphism and  $FI' \longrightarrow FI$  is a homotopism, we conclude that  $FU \longrightarrow FI$  is a quasiisomorphism.  $\square$

#### 4.2 DEFINITION OF THE GROTHENDIECK SPECTRAL SEQUENCE FUNCTOR

Suppose given abelian categories  $\mathcal{A}$ ,  $\mathcal{B}$  and  $\mathcal{C}$ , and suppose that  $\mathcal{A}$  and  $\mathcal{B}$  have enough injectives. Let  $\mathcal{A} \xrightarrow{F} \mathcal{B}$  and  $\mathcal{B} \xrightarrow{G} \mathcal{C}$  be left exact functors.

A  $(F, G)$ -acyclic resolution of  $X \in \text{Ob } \mathcal{A}$  is a complex  $A \in \text{Ob } \mathcal{C}^{[0]}(\mathcal{A})$ , together with a quasiisomorphism  $\text{Conc } X \longrightarrow A$ , such that the following hold.

- (A1) The object  $A^i$  is  $F$ -acyclic for  $i \geq 0$ .
- (A2) The object  $A^i$  is  $(G \circ F)$ -acyclic for  $i \geq 0$ .
- (A3) The object  $FA^i$  is  $G$ -acyclic for  $i \geq 0$ .

An object  $X \in \text{Ob } \mathcal{A}$  that possesses an  $(F, G)$ -acyclic resolution is called  $(F, G)$ -acyclicly resolvable. The full subcategory of  $(F, G)$ -acyclicly resolvable objects in  $\mathcal{A}$  is denoted by  $\mathcal{A}_{(F,G)}$ .

A complex  $A \in \text{Ob } C^{[0]}(\mathcal{A})$ , together with a quasiisomorphism  $\text{Conc } X \longrightarrow A$ , is called an  $F$ -acyclic resolution of  $X \in \text{Ob } \mathcal{A}$  if (A 2) holds.

REMARK 28 *If  $F$  carries injective objects to  $G$ -acyclic objects, then (A 1) and (A 3) imply (A 2).*

*Proof.* Given  $i \geq 0$ , we let  $I$  be an injective resolution of  $A^i$ , and  $\tilde{I}$  the acyclic complex obtained by appending  $A^i$  to  $I$  in position  $-1$ . Since  $A^i$  is  $F$ -acyclic, the complex  $F\tilde{I}$  is acyclic; cf. Remark 25. Note that  $FB^0\tilde{I} \simeq FA^i$  is  $G$ -acyclic by assumption. Since

$$(\mathbb{R}^k G)F\tilde{I}^j \longrightarrow (\mathbb{R}^k G)FB^{j+1}\tilde{I} \longrightarrow (\mathbb{R}^{k+1} G)FB^j\tilde{I}$$

is exact in the middle for  $j \geq 0$  and  $k \geq 1$ , we may conclude by induction on  $j$  and by  $G$ -acyclicity assumption on  $F\tilde{I}^j$  that  $FB^j\tilde{I}$  is  $G$ -acyclic for  $j \geq 0$ . In particular, we have  $(\mathbb{R}^1 G)(FB^j\tilde{I}) \simeq 0$  for  $j \geq 0$ , whence

$$GFB^j\tilde{I} \longrightarrow GF\tilde{I}^j \longrightarrow GFB^{j+1}\tilde{I}$$

is short exact for  $j \geq 0$ . We conclude that  $(G \circ F)\tilde{I}$  is acyclic. Hence  $A^i$  is  $(G \circ F)$ -acyclic. □

To see Remark 28, one could also use a Grothendieck spectral sequence, once established.

REMARK 29 *Suppose given  $X \in \text{Ob } \mathcal{A}$ , an injective resolution  $I$  of  $X$  and an  $F$ -acyclic resolution  $A$  of  $X$ . Then there exists a quasiisomorphism  $A \longrightarrow I$  that is mapped to  $1_X$  by  $H^0$ . Moreover, any morphism  $A \xrightarrow{u} I$  that is mapped to  $1_X$  by  $H^0$  is a quasiisomorphism and is mapped to a quasiisomorphism  $FA \xrightarrow{Fu} FI$  by  $F$ .*

*Proof.* Let  $I'$  be an injective complex resolution of  $A$  such that its quasiisomorphism  $A \longrightarrow I'$  is mapped to a quasiisomorphism by  $F$ ; cf. Remark 26. We use the composite quasiisomorphism  $\text{Conc } X \longrightarrow A \longrightarrow I'$  to resolve  $X$  by  $I'$ . To prove the first assertion, note that there is a homotopism  $I' \longrightarrow I$  resolving  $1_X$ ; whence the composite  $(A \longrightarrow I' \longrightarrow I)$  is a quasiisomorphism resolving  $1_X$ .

To prove the second assertion, note that the induced map  $K_{(\mathcal{A})}(A, I) \longleftarrow K_{(\mathcal{A})}(I', I)$  is surjective, whence there is a factorisation  $(A \longrightarrow I' \longrightarrow I) = (A \xrightarrow{u} I)$  in  $K(\mathcal{A})$  for some morphism  $I' \longrightarrow I$ , which, since resolving  $1_X$  as well, is a homotopism. In particular,  $A \xrightarrow{u} I$  is a quasiisomorphism. Finally, since  $FI' \longrightarrow FI$  is a homotopism, also  $FA \xrightarrow{Fu} FI$  is a quasiisomorphism. □

Alternatively, in the last step of the preceding proof we could have invoked Lemma 27.

The following construction originates in [5, XVII.§7] and [7, Th. 2.4.1]. In its present form, it has been carried out by HAAS in the classical framework [8]. We do not claim any originality.

I do not know whether the use of injectives in  $\mathcal{A}$  in the following construction can be avoided; in any case, it would be desirable to do so.

We set out to define the *proper Grothendieck spectral sequence functor*

$$\mathcal{A}_{(F,G)} \xrightarrow{\dot{E}_{F,G}^{\text{Gr}}} \llbracket \dot{\mathbf{Z}}_{\infty}^{\#\#}, \mathcal{C} \rrbracket.$$

We define  $\dot{E}_{F,G}^{\text{Gr}}$  on objects. Suppose given  $X \in \text{Ob } \mathcal{A}_{(F,G)}$ . Choose an  $(F,G)$ -acyclic resolution  $A_X \in \text{Ob } \mathcal{C}^{[0]}(\mathcal{A})$  of  $X$ . Choose a CE-resolution  $J_X \in \text{Ob } \text{CC}^{\perp}(\text{Inj } \mathcal{B})$  of  $FA_X$ . Let  $\dot{E}_{F,G}^{\text{Gr}}(X) := \dot{E}_I(GJ_X) = \dot{E}(t_1 GJ_X) \in \text{Ob } \llbracket \dot{\mathbf{Z}}_{\infty}^{\#\#}, \mathcal{C} \rrbracket$  be the *Grothendieck spectral sequence* of  $X$  with respect to  $F$  and  $G$ . Accordingly, let

$$\dot{E}_{F,G}^{\text{Gr}}(X) := \dot{E}_I(GJ_X) = \dot{E}(t_1 GJ_X) \in \text{Ob } \llbracket \dot{\mathbf{Z}}_{\infty}^{\#\#}, \mathcal{C} \rrbracket$$

be the *proper Grothendieck spectral sequence* of  $X$  with respect to  $F$  and  $G$ .

We define  $\dot{E}_{F,G}^{\text{Gr}}$  on morphisms. Suppose given  $X \in \text{Ob } \mathcal{A}_{(F,G)}$ , and let  $A_X$  and  $J_X$  be as above. Choose an injective resolution  $I_X \in \text{Ob } \mathcal{C}^{[0]}(\text{Inj } \mathcal{A})$  of  $X$ . Choose a quasiisomorphism  $A_X \xrightarrow{p_X} I_X$  that is mapped to  $1_X$  by  $H^0$  and to a quasiisomorphism by  $F$ ; cf. Remark 29. Choose a CE-resolution  $K_X \in \text{Ob } \text{CC}^{\perp}(\text{Inj } \mathcal{B})$  of  $FI_X$ . Choose a morphism  $J_X \xrightarrow{q_X} K_X$  in  $\text{CC}^{\perp}(\text{Inj } \mathcal{B})$  that is mapped to  $Fp_X$  by  $H^0((-)^*, -)$ ; cf. Remark 6.

Note that  $J_X \xrightarrow{q_X} K_X$  can be written as a composite in  $\text{CC}^{\perp, \text{CE}}(\text{Inj } \mathcal{B})$  of a rowwise homotopism, followed by a double homotopism; cf. Proposition 17. Hence, so can  $GJ_X \xrightarrow{Gq_X} GK_X$ . Thus  $\dot{E}_I(GJ_X) \xrightarrow{\dot{E}_I(Gq_X)} \dot{E}_I(GK_X)$  is an isomorphism; cf. Remark 23, Lemma 24.

Suppose given  $X \xrightarrow{f} Y$  in  $\mathcal{A}_{(F,G)}$ . Choose a morphism  $I_X \xrightarrow{f'} I_Y$  in  $\mathcal{C}^{[0]}(\mathcal{A})$  that is mapped to  $f$  by  $H^0$ . Choose a morphism  $K_X \xrightarrow{f''} K_Y$  in  $\text{CC}^{\perp}(\text{Inj } \mathcal{B})$  that is mapped to  $Ff'$  by  $H^0((-)^*, -)$ ; cf. Remark 6. Let

$$\begin{aligned} \dot{E}_{F,G}^{\text{Gr}}(X \xrightarrow{f} Y) := \\ \left( \dot{E}_I(GJ_X) \xrightarrow[\sim]{\dot{E}_I(Gq_X)} \dot{E}_I(GK_X) \xrightarrow{\dot{E}_I(Gf'')} \dot{E}_I(GK_Y) \xleftarrow[\sim]{\dot{E}_I(Gq_Y)} \dot{E}_I(GJ_Y) \right). \end{aligned}$$

The procedure can be adumbrated as follows.

$$\begin{array}{ccc}
 & K_X & \xrightarrow{f''} & K_Y \\
 q_X \nearrow & & & \nearrow q_Y \\
 J_X & & & J_Y
 \end{array}$$

$$\begin{array}{ccc}
 & I_X & \xrightarrow{f'} & I_Y \\
 p_X \nearrow & & & \nearrow p_Y \\
 A_X & & & A_Y
 \end{array}$$

$$X \xrightarrow{f} Y$$

We show that this defines a functor  $\dot{E}_{F,G}^{\text{Gr}} : \mathcal{A}_{(F,G)} \longrightarrow \llbracket \dot{\mathbb{Z}}_{\infty}^{\#\#}, \mathcal{C} \rrbracket$ . We need to show independence of the construction from the choices of  $f'$  and  $f''$ , for then functoriality follows by appropriate choices.

Let  $I_X \xrightarrow{\tilde{f}'} I_Y$  and  $K_X \xrightarrow{\tilde{f}''} K_Y$  be alternative choices. The residue classes of  $f'$  and  $\tilde{f}'$  in  $K^0(\mathcal{A})$  coincide, whence so do the residue classes of  $Ff'$  and  $F\tilde{f}'$  in  $K^0(\mathcal{B})$ . Therefore, the residue classes of  $f''$  and  $\tilde{f}''$  in  $\text{KK}^-(\mathcal{B})$  coincide; cf. Proposition 14. Hence, so do the residue classes of  $Gf''$  and  $G\tilde{f}''$  in  $\text{KK}^-(\mathcal{C})$ . Thus  $\dot{E}_1(Gf'') = \dot{E}_1(G\tilde{f}'')$ ; cf. Lemma 24.

We show that alternative choices of  $A_X, I_X$  and  $p_X$ , and of  $J_X, K_X$  and  $q_X$ , yield isomorphic proper Grothendieck spectral sequence functors.

Let  $\tilde{A}_X \xrightarrow{\tilde{p}_X} \tilde{I}_X$  and  $\tilde{J}_X \xrightarrow{\tilde{q}_X} \tilde{K}_X$  be alternative choices, where  $X$  runs through  $\text{Ob } \mathcal{A}_{(F,G)}$ .

Suppose given  $X \xrightarrow{f} Y$  in  $\mathcal{A}_{(F,G)}$ . We resolve the commutative quadrangle

$$\begin{array}{ccc}
 X & \xrightarrow{f} & Y \\
 \parallel & & \parallel \\
 X & \xrightarrow{f} & Y
 \end{array}$$

in  $\mathcal{A}$  to a commutative quadrangle

$$\begin{array}{ccc}
 I_X & \xrightarrow{f'} & I_Y \\
 u_X \downarrow & & \downarrow u_Y \\
 \tilde{I}_X & \xrightarrow{\tilde{f}'} & \tilde{I}_Y
 \end{array}$$

in  $K^{[0]}(\mathcal{A})$ , in which  $u_X$  and  $u_Y$  are homotopisms; cf. Remark 6. Then we resolve the commutative quadrangle

$$\begin{array}{ccc} FI_X & \xrightarrow{Ff'} & FI_Y \\ Fu_X \downarrow & & \downarrow Fu_Y \\ F\tilde{I}_X & \xrightarrow{F\tilde{f}'} & F\tilde{I}_Y \end{array}$$

in  $K^{[0]}(\mathcal{B})$  to a commutative quadrangle

$$\begin{array}{ccc} K_X & \xrightarrow{f''} & K_Y \\ v_X \downarrow & & \downarrow v_Y \\ \tilde{K}_X & \xrightarrow{\tilde{f}''} & \tilde{K}_Y \end{array}$$

in  $KK^-(\mathcal{B})$ ; cf. Proposition 14. Therein,  $v_X$  and  $v_Y$  are each composed of a rowwise homotopism, followed by a double homotopism; cf. Proposition 17. So are  $Gv_X$  and  $Gv_Y$ . An application of  $\dot{E}_I(G(-))$  yields the sought isotransformation, viz.

$$\left( \dot{E}_I(GJ_X) \xrightarrow[\sim]{\dot{E}_I(Gq_X)} \dot{E}_I(GK_X) \xrightarrow[\sim]{\dot{E}_I(Gv_X)} \dot{E}_I(G\tilde{K}_X) \xleftarrow[\sim]{\dot{E}_I(G\tilde{q}_X)} \dot{E}_I(G\tilde{J}_X) \right)$$

at  $X \in \text{Ob } \mathcal{A}_{(F,G)}$ ; cf. Remark 23, Lemma 24.

Finally, we recall the starting point of the whole enterprise.

REMARK 30 ([5, XVII.§7], [7, TH. 2.4.1]) *Suppose given  $X \in \text{Ob } \mathcal{A}_{(F,G)}$  and  $k, \ell \in \mathbf{Z}_{\geq 0}$ . We have*

$$\begin{aligned} \dot{E}_{F,G}^{\text{Gr}}(-k+1/-k-1// -k/-k-2)^{+k+\ell}(X) &\simeq (\mathbf{R}^k G)(\mathbf{R}^\ell F)(X) \\ \dot{E}_{F,G}^{\text{Gr}}(\infty/-\infty// \infty/-\infty)^{+k+\ell}(X) &\simeq (\mathbf{R}^{k+\ell}(G \circ F))(X), \end{aligned}$$

naturally in  $X$ .

*Proof.* Keep the notation of the definition of  $\dot{E}_{F,G}^{\text{Gr}}$ .

We shall prove the first isomorphism. By Lemma 22, we have

$$\dot{E}_{F,G}^{\text{Gr}}(-k+1/-k-1// -k/-k-2)^{+k+\ell}(X) \simeq \mathbf{H}^k(\mathbf{H}^\ell(GJ_X^{-,*})).$$

Since  $J_X$  is rowwise split, we have  $\mathbf{H}^\ell(GJ_X^{-,*}) \simeq G(\mathbf{H}^\ell J_X^{-,*})$ . Note that  $\mathbf{H}^\ell J_X^{-,*}$  is an injective resolution of  $\mathbf{H}^\ell FA_X$ ; cf. Remark 8.(1). By Remark 29,  $\mathbf{H}^\ell FA_X \xrightarrow[\sim]{\mathbf{H}^\ell Fp_X} \mathbf{H}^\ell FI_X \simeq (\mathbf{R}^\ell F)(X)$ . So

$$\mathbf{H}^k(\mathbf{H}^\ell(GJ_X^{-,*})) \simeq \mathbf{H}^k(G(\mathbf{H}^\ell J_X^{-,*})) \simeq (\mathbf{R}^k G)(\mathbf{H}^\ell FA_X) \simeq (\mathbf{R}^k G)(\mathbf{R}^\ell F)(X).$$

We shall prove naturality of the first isomorphism. Suppose given  $X \xrightarrow{f} Y$  in  $\mathcal{A}_{(F,G)}$ . Consider the following commutative diagram. Abbreviate  $E := \dot{E}(-k + 1 / -k - 1 // -k / -k - 2)^{+k+\ell}$ .

$$\begin{array}{ccccccc}
 E(t_1 G J_X) & \xrightarrow[\sim]{E(t_1 G q_X)} & E(t_1 G K_X) & \xrightarrow{E(t_1 G f'')} & E(t_1 G K_Y) & \xleftarrow[\sim]{E(t_1 G q_Y)} & E(t_1 G J_Y) \\
 \downarrow \wr & & \downarrow \wr & & \downarrow \wr & & \downarrow \wr \\
 H^k H^\ell G J_X^{*,*} & \xrightarrow[\sim]{H^k H^\ell G q_X^{*,*}} & H^k H^\ell G K_X^{*,*} & \xrightarrow{H^k H^\ell G f''^{*,*}} & H^k H^\ell G K_Y^{*,*} & \xleftarrow[\sim]{H^k H^\ell G q_Y^{*,*}} & H^k H^\ell G J_Y^{*,*} \\
 \downarrow \wr & & \downarrow \wr & & \downarrow \wr & & \downarrow \wr \\
 H^k G H^\ell J_X^{*,*} & \xrightarrow[\sim]{H^k G H^\ell q_X^{*,*}} & H^k G H^\ell K_X^{*,*} & \xrightarrow{H^k G H^\ell f''^{*,*}} & H^k G H^\ell K_Y^{*,*} & \xleftarrow[\sim]{H^k G H^\ell q_Y^{*,*}} & H^k G H^\ell J_Y^{*,*} \\
 \downarrow \wr & & \downarrow \wr & & \downarrow \wr & & \downarrow \wr \\
 (R^k G) H^\ell F A_X & \xrightarrow[\sim]{(R^k G) H^\ell F p_X} & (R^k G) H^\ell F I_X & \xrightarrow{(R^k G) H^\ell F f'} & (R^k G) H^\ell F I_Y & \xleftarrow[\sim]{(R^k G) H^\ell F p_Y} & (R^k G) H^\ell F A_Y \\
 & & \downarrow \wr & & \downarrow \wr & & \\
 & & (R^k G)(R^\ell F)(X) & \xrightarrow{(R^k G)(R^\ell F)(f)} & (R^k G)(R^\ell F)(Y) & & 
 \end{array}$$

We shall prove the second isomorphism. By Lemma 27, the quasiisomorphism  $F A_X \rightarrow t J_X$  maps to a quasiisomorphism  $G F A_X \rightarrow t G J_X \simeq G t J_X$ . By Lemma 27, the quasiisomorphism  $A_X \xrightarrow{p_X} I_X$  maps to a quasiisomorphism  $G F A_X \xrightarrow{G F p_X} G F I_X$ . So

$$\begin{aligned}
 \dot{E}_{F,G}^{\text{Gr}}(\infty / -\infty // \infty / -\infty)^{+k+\ell}(X) &\simeq H^{k+\ell}(t G J_X) \simeq H^{k+\ell}(G t J_X) \\
 &\simeq H^{k+\ell}(G F A_X) \simeq H^{k+\ell}(G F I_X) \\
 &\simeq (R^{k+\ell}(G \circ F))(X).
 \end{aligned}$$

We shall prove naturality of the second isomorphism. Consider the following diagram. Abbreviate  $\tilde{E} := \dot{E}_{F,G}^{\text{Gr}}(\infty / -\infty // \infty / -\infty)^{+k+\ell}$ .

$$\begin{array}{ccccccc}
 \tilde{E}(t_1 G J_X) & \xrightarrow[\sim]{\tilde{E}(t_1 G q_X)} & \tilde{E}(t_1 G K_X) & \xrightarrow{\tilde{E}(t_1 G f'')} & \tilde{E}(t_1 G K_Y) & \xleftarrow[\sim]{\tilde{E}(t_1 G q_Y)} & \tilde{E}(t_1 G J_Y) \\
 \downarrow \wr & & \downarrow \wr & & \downarrow \wr & & \downarrow \wr \\
 H^{k+\ell} t G J_X & \xrightarrow[\sim]{H^{k+\ell} t G q_X} & H^{k+\ell} t G K_X & \xrightarrow{H^{k+\ell} t G f''} & H^{k+\ell} t G K_Y & \xleftarrow[\sim]{H^{k+\ell} t G q_Y} & H^{k+\ell} t G J_Y \\
 \downarrow \wr & & \downarrow \wr & & \downarrow \wr & & \downarrow \wr \\
 H^{k+\ell} G t J_X & \xrightarrow[\sim]{H^{k+\ell} G t q_X} & H^{k+\ell} G t K_X & \xrightarrow{H^{k+\ell} G t f''} & H^{k+\ell} G t K_Y & \xleftarrow[\sim]{H^{k+\ell} G t q_Y} & H^{k+\ell} G t J_Y \\
 \uparrow \wr & & \uparrow \wr & & \uparrow \wr & & \uparrow \wr \\
 H^{k+\ell} G F A_X & \xrightarrow[\sim]{H^{k+\ell} G F p_X} & H^{k+\ell} G F I_X & \xrightarrow{H^{k+\ell} G F f'} & H^{k+\ell} G F I_Y & \xleftarrow[\sim]{H^{k+\ell} G F p_Y} & H^{k+\ell} G F A_Y \\
 & & \downarrow \wr & & \downarrow \wr & & \\
 & & (R^{k+\ell}(G \circ F))(X) & \xrightarrow{(R^{k+\ell}(G \circ F))(f)} & (R^{k+\ell}(G \circ F))(Y) & & 
 \end{array}$$

□

## 4.3 HAAS TRANSFORMATIONS

The following transformations have been constructed in the classical framework by HAAS [8]. We do not claim any originality.

## 4.3.1 SITUATION

Consider the following diagram of abelian categories, left exact functors and transformations,

$$\begin{array}{ccccc} \mathcal{A} & \xrightarrow{F} & \mathcal{B} & \xrightarrow{G} & \mathcal{C} \\ U \downarrow & \nearrow \mu & \downarrow V & \nearrow \nu & \downarrow W \\ \mathcal{A}' & \xrightarrow{F'} & \mathcal{B}' & \xrightarrow{G'} & \mathcal{C}' \end{array},$$

i.e.  $F' \circ U \xrightarrow{\mu} V \circ F$  and  $G' \circ V \xrightarrow{\nu} W \circ G$ . Suppose that the conditions (1, 2, 3) hold.

- (1) The categories  $\mathcal{A}$ ,  $\mathcal{B}$ ,  $\mathcal{A}'$  and  $\mathcal{B}'$  have enough injectives.
- (2) The functors  $U$  and  $V$  carry injectives to injectives.
- (3) The functor  $F$  carries injective to  $G$ -acyclic objects. The functor  $F'$  carries injective to  $G'$ -acyclic objects.

We have  $\mathcal{A}_{(F,G)} = \mathcal{A}$  since an injective resolution is an  $(F, G)$ -acyclic resolution. Likewise, we have  $\mathcal{A}'_{(F',G')} = \mathcal{A}'$ .

Note in particular the case  $U = 1_{\mathcal{A}}$ ,  $V = 1_{\mathcal{B}}$  and  $W = 1_{\mathcal{C}}$ .

We set out to define the *Haas transformations*

$$\dot{\mathbb{E}}_{F',G'}^{\text{Gr}}(U(-)) \xrightarrow{h_{\mu}^{\text{I}}} \dot{\mathbb{E}}_{F,G' \circ V}^{\text{Gr}}(-) \xrightarrow{h_{\nu}^{\text{II}}} \dot{\mathbb{E}}_{F,W \circ G}^{\text{Gr}}(-),$$

where  $h_{\mu}^{\text{I}}$  depends on  $F, F', G', U, V$  and  $\mu$ , and where  $h_{\nu}^{\text{II}}$  depends on  $F, G, G', V, W$  and  $\nu$ .

## 4.3.2 CONSTRUCTION OF THE FIRST HAAS TRANSFORMATION

Given  $T \in \text{Ob } \mathcal{A}$ , we let  $\dot{\mathbb{E}}_{F,G}^{\text{Gr}}(T)$  be defined via an injective resolution  $I_T$  of  $T$  and via a CE-resolution  $J_T$  of  $FI_T$ ; cf. §4.2.

Given  $T' \in \text{Ob } \mathcal{A}'$ , we let  $\dot{\mathbb{E}}_{F',G'}^{\text{Gr}}(T')$  be defined via an injective resolution  $I'_{T'}$  of  $T'$  and via a CE-resolution  $J'_{T'}$  of  $F'I'_{T'}$ ; cf. §4.2.

We define  $h_{\mu}^{\text{I}}$ . Let  $X \in \text{Ob } \mathcal{A}$ . By Remark 5, there is a unique morphism  $I'_{UX} \xrightarrow{h'X} UI_X$  in  $\text{K}^{[0]}(\mathcal{A}')$  that maps to  $1_{UX}$  under  $\text{H}^0$ . Let  $J'_{UX} \xrightarrow{h''X} VJ_X$

be the unique morphism in  $\text{KK}^-(\mathcal{B}')$  that maps to the composite morphism  $(F'I'_{UX} \xrightarrow{F'h'X} F'UI_X \xrightarrow{\mu} VFI_X)$  in  $\text{K}^{[0]}(\mathcal{B}')$  under  $\text{H}^0((-)^*, -)$ ; cf. Lemma 13. Let the *first Haas transformation* be defined by

$$\begin{aligned} \left( \dot{\text{E}}_{F',G'}^{\text{Gr}}(UX) \xrightarrow{h_\mu^I X} \dot{\text{E}}_{F',G' \circ V}^{\text{Gr}}(X) \right) \\ := \left( \text{E}_I(G'J'_{UX}) \xrightarrow{\text{E}_I(G'h''X)} \text{E}_I(G'VJ_X) \right). \end{aligned}$$

We show that  $h_\mu^I$  is a transformation. Let  $X \xrightarrow{f} Y$  be a morphism in  $\mathcal{A}$ . Let  $I_X \xrightarrow{f'} I_Y$  resolve  $X \xrightarrow{f} Y$ . Let  $J_X \xrightarrow{f''} J_Y$  resolve  $FI_X \xrightarrow{f'} FI_Y$ . Let  $I'_{UX} \xrightarrow{\tilde{f}'} I'_{UY}$  resolve  $UX \xrightarrow{Uf} UY$ . Let  $J'_{UX} \xrightarrow{\tilde{f}''} J'_{UY}$  resolve  $F'I_{UX} \xrightarrow{F'\tilde{f}'} F'I_{UY}$ . The quadrangle

$$\begin{array}{ccc} UX & \xlongequal{\quad} & UX \\ Uf \downarrow & & \downarrow Uf \\ UY & \xlongequal{\quad} & UY \end{array}$$

commutes in  $\mathcal{A}'$ . Hence, by Remark 5, applied to  $I'_{UX}$  and  $UI_Y$ , the resolved quadrangle

$$\begin{array}{ccc} I'_{UX} & \xrightarrow{h'X} & UI_X \\ \tilde{f}' \downarrow & & \downarrow Uf' \\ I'_{UY} & \xrightarrow{h'Y} & UI_Y \end{array}$$

commutes in  $\text{K}^{[0]}(\mathcal{A}')$ . Hence both quadrangles in

$$\begin{array}{ccccc} F'I'_{UX} & \xrightarrow{F'h'X} & F'UI_X & \xrightarrow{\mu} & VFI_X \\ F'\tilde{f}' \downarrow & & \downarrow F'Uf' & & \downarrow VFf' \\ F'I'_{UY} & \xrightarrow{F'h'Y} & F'UI_Y & \xrightarrow{\mu} & VFI_Y \end{array}$$

commute in  $\text{K}^{[0]}(\mathcal{B}')$ . By Lemma 13, applied to  $J'_{UX}$  and  $VJ_Y$ , the outer quadrangle in the latter diagram can be resolved to the commutative quadrangle

$$\begin{array}{ccc} J'_{UX} & \xrightarrow{h''X} & VJ_X \\ \tilde{f}'' \downarrow & & \downarrow Vf'' \\ J'_{UY} & \xrightarrow{h''Y} & VJ_Y \end{array}$$

in  $\text{KK}^-(\mathcal{B}')$ . Applying  $E_I(G'(-))$  and employing the definitions of  $\dot{E}_{F',G'}^{\text{Gr}}$ ,  $\dot{E}_{F',G' \circ V}^{\text{Gr}}$  and  $h_\mu^I$ , we obtain the sought commutative diagram

$$\begin{array}{ccc} \dot{E}_{F',G'}^{\text{Gr}}(UX) & \xrightarrow{h_\mu^I X} & \dot{E}_{F',G' \circ V}^{\text{Gr}}(X) \\ \dot{E}_{F',G'}^{\text{Gr}}(Uf) \downarrow & & \downarrow \dot{E}_{F',G' \circ V}^{\text{Gr}}(f) \\ \dot{E}_{F',G'}^{\text{Gr}}(UY) & \xrightarrow{h_\mu^I Y} & \dot{E}_{F',G' \circ V}^{\text{Gr}}(Y) \end{array}$$

in  $\llbracket \dot{\mathcal{Z}}_\infty^{\#\#}, \mathcal{C}' \rrbracket$ .

### 4.3.3 CONSTRUCTION OF THE SECOND HAAS TRANSFORMATION

We maintain the notation of §4.3.2.

Given  $X \in \text{Ob } \mathcal{A}$ , we let the *second Haas transformation* be defined by

$$\left( \dot{E}_{F',G \circ V}^{\text{Gr}}(X) \xrightarrow{h_\nu^I X} \dot{E}_{F',W \circ G}^{\text{Gr}}(X) \right) := \left( \dot{E}_I(G'VJ_X) \xrightarrow{\dot{E}_I(\nu)} \dot{E}_I(WGJ_X) \right).$$

It is a transformation since  $\nu$  is.

## 5 THE FIRST COMPARISON

### 5.1 THE FIRST COMPARISON ISOMORPHISM

Suppose given abelian categories  $\mathcal{A}$ ,  $\mathcal{A}'$  and  $\mathcal{B}$  with enough injectives and an abelian category  $\mathcal{C}$ .

Let  $\mathcal{A} \times \mathcal{A}' \xrightarrow{F} \mathcal{B}$  be a biadditive functor. Let  $\mathcal{B} \xrightarrow{G} \mathcal{C}$  be an additive functor. Suppose given objects  $X \in \text{Ob } \mathcal{A}$  and  $X' \in \text{Ob } \mathcal{A}'$ . Suppose the following properties to hold.

- (a) The functor  $F(-, X') : \mathcal{A} \rightarrow \mathcal{B}$  is left exact.
- (a') The functor  $F(X, -) : \mathcal{A}' \rightarrow \mathcal{B}$  is left exact.
- (b) The functor  $G$  is left exact.
- (c) The object  $X$  possesses a  $(F(-, X'), G)$ -acyclic resolution  $A \in \text{Ob } \mathcal{C}^0(\mathcal{A})$ .
- (c') The object  $X'$  possesses a  $(F(X, -), G)$ -acyclic resolution  $A' \in \text{Ob } \mathcal{C}^0(\mathcal{A}')$ .

Moreover, the resolutions appearing in (c) and (c') are stipulated to have the following properties.

- (d) For all  $k \geq 0$ , the quasiisomorphism  $\text{Conc } X \longrightarrow A$  is mapped to a quasiisomorphism  $\text{Conc } F(X, A^k) \longrightarrow F(A, A^k)$  under  $F(-, A^k)$ .
- (d') For all  $k \geq 0$ , the quasiisomorphism  $\text{Conc } X' \longrightarrow A'$  is mapped to a quasiisomorphism  $\text{Conc } F(A^k, X') \longrightarrow F(A^k, A')$  under  $F(A^k, -)$ .

The conditions (d, d') are e.g. satisfied if  $F(-, A^k)$  and  $F(A^k, -)$  are exact for all  $k \geq 0$ .

**THEOREM 31 (FIRST COMPARISON)** *The proper Grothendieck spectral sequence for the functors  $F(X, -)$  and  $G$ , evaluated at  $X'$ , is isomorphic to the proper Grothendieck spectral sequence for the functors  $F(-, X')$  and  $G$ , evaluated at  $X$ ; i.e.*

$$\dot{E}_{F(X,-),G}^{\text{Gr}}(X') \simeq \dot{E}_{F(-,X'),G}^{\text{Gr}}(X)$$

in  $\llbracket \dot{\mathbf{Z}}_{\infty}^{\#\#}, \mathcal{C} \rrbracket$ .

*Proof.* Let  $J_A, J_{A'}, J_{A,A'} \in \text{Ob } \text{CC}^{\perp}(\text{Inj } \mathcal{B})$  be CE-resolutions of the complexes  $F(A, X'), F(X, A'), \text{t}F(A, A') \in \text{Ob } \text{C}^0(\mathcal{B})$ , respectively. The quasiisomorphism  $\text{Conc } X \longrightarrow A$  is mapped to the morphism  $F(\text{Conc } X, A') \longrightarrow F(A, A')$ , yielding  $F(X, A') \longrightarrow \text{t}F(A, A')$ , which is a quasiisomorphism since  $\text{Conc } F(X, A^k) \longrightarrow F(A, A^k)$  is a quasiisomorphism for all  $k \geq 0$  by (d).

Choose a CE-resolution  $J_{A'} \longrightarrow J_{A,A'}$  of  $F(X, A') \longrightarrow \text{t}F(A, A')$ ; cf. Remark 6. Since the morphism  $F(X, A') \longrightarrow \text{t}F(A, A')$  is a quasiisomorphism,  $J_{A'} \longrightarrow J_{A,A'}$  is a composite in  $\text{CC}^{\perp, \text{CE}}(\text{Inj } \mathcal{B})$  of a rowwise homotopism and a double homotopism; cf. Proposition 17. So is  $GJ_{A'} \longrightarrow GJ_{A,A'}$ . Hence, by Remark 23 and by Lemma 24, we obtain an isomorphism of the proper spectral sequences of the first filtrations of the total complexes,

$$\dot{E}_{F(X,-),G}^{\text{Gr}}(X') = \dot{E}_{\text{I}}(GJ_{A'}) \xrightarrow{\simeq} \dot{E}_{\text{I}}(GJ_{A,A'}) .$$

Likewise, we have an isomorphism

$$\dot{E}_{F(-,X'),G}^{\text{Gr}}(X) = \dot{E}_{\text{I}}(GJ_A) \xrightarrow{\simeq} \dot{E}_{\text{I}}(GJ_{A,A'}) .$$

We compose to an isomorphism  $\dot{E}_{F(X,-),G}^{\text{Gr}}(X') \xrightarrow{\simeq} \dot{E}_{F(-,X'),G}^{\text{Gr}}(X)$  as sought.  $\blacksquare$

## 5.2 NATURALITY OF THE FIRST COMPARISON ISOMORPHISM

We narrow down the assumptions just as we have done for the introduction of the Haas transformations in §4.3.1 in order to be able to express, in this narrower case, a naturality of the first comparison isomorphism from Theorem 31.

Suppose given abelian categories  $\mathcal{A}, \mathcal{A}'$  and  $\mathcal{B}$  with enough injectives and an abelian category  $\mathcal{C}$ .

Let  $\mathcal{A} \times \mathcal{A}' \xrightarrow{F} \mathcal{B}$  be a biadditive functor. Let  $\mathcal{B} \xrightarrow{G} \mathcal{C}$  be an additive functor. Suppose that the following properties hold.

- (a) The functor  $F(-, X') : \mathcal{A} \longrightarrow \mathcal{B}$  is left exact for all  $X' \in \text{Ob } \mathcal{A}'$ .
- (a') The functor  $F(X, -) : \mathcal{A}' \longrightarrow \mathcal{B}$  is left exact for all  $X \in \text{Ob } \mathcal{A}$ .
- (b) The functor  $G$  is left exact.
- (c) For all  $X' \in \text{Ob } \mathcal{A}'$ , the functor  $F(-, X')$  carries injective objects to  $G$ -acyclic objects.
- (c') For all  $X \in \text{Ob } \mathcal{A}$ , the functor  $F(X, -)$  carries injective objects to  $G$ -acyclic objects.
- (d) The functor  $F(I, -)$  is exact for all  $I \in \text{Ob Inj } \mathcal{A}$ .
- (d') The functor  $F(-, I')$  is exact for all  $I' \in \text{Ob Inj } \mathcal{A}'$ .

PROPOSITION 32 *Suppose given  $X \xrightarrow{x} \tilde{X}$  in  $\mathcal{A}$  and  $X' \in \text{Ob } \mathcal{A}'$ . Note that we have a transformation  $F(x, -) : F(X, -) \longrightarrow F(\tilde{X}, -)$ . The following quadrangle, whose vertical isomorphisms are given by the construction in the proof of Theorem 31, commutes.*

$$\begin{array}{ccc}
 \dot{\text{E}}_{F(X, -), G}^{\text{Gr}}(X') & \xrightarrow{\text{h}_{F(x, -), X'}^{\text{I}}} & \dot{\text{E}}_{F(\tilde{X}, -), G}^{\text{Gr}}(X') \\
 \downarrow \wr & & \downarrow \wr \\
 \dot{\text{E}}_{F(-, X'), G}^{\text{Gr}}(X) & \xrightarrow{\dot{\text{E}}_{F(-, X'), G}^{\text{Gr}}(x)} & \dot{\text{E}}_{F(-, X'), G}^{\text{Gr}}(\tilde{X})
 \end{array}$$

For the definition of the first Haas transformation  $\text{h}_{F(x, -)}^{\text{I}}$ , see §4.3.2. An analogous assertion holds with interchanged roles of  $\mathcal{A}$  and  $\mathcal{A}'$ .

*Proof of Proposition 32.* Let  $I$  resp.  $\tilde{I}$  be an injective resolution of  $X$  resp.  $\tilde{X}$  in  $\mathcal{A}$ . Let  $I \xrightarrow{\hat{x}} \tilde{I}$  be a resolution of  $X \xrightarrow{x} \tilde{X}$ . Let  $I'$  be an injective resolution of  $X'$  in  $\mathcal{A}'$ .

Let  $J_{I'}^{(X)}$  resp.  $J_{I'}^{(\tilde{X})}$  be a CE-resolution of  $F(X, I')$  resp.  $F(\tilde{X}, I')$ .

Let  $J_{I, I'}$  resp.  $J_{\tilde{I}, I'}$  be a CE-resolution of  $\text{t}F(I, I')$  resp.  $\text{t}F(\tilde{I}, I')$ .

Let  $J_I$  resp.  $J_{\tilde{I}}$  be a CE-resolution of  $F(I, X')$  resp.  $F(\tilde{I}, X')$ .

We have a commutative diagram

$$\begin{array}{ccc}
 F(X, I') & \xrightarrow{F(x, I')} & F(\tilde{X}, I') \\
 \downarrow & & \downarrow \\
 \text{t}F(I, I') & \xrightarrow{\text{t}F(\hat{x}, I')} & \text{t}F(\tilde{I}, I') \\
 \uparrow & & \uparrow \\
 F(I, X') & \xrightarrow{F(\hat{x}, X')} & F(\tilde{I}, X')
 \end{array}$$

in  $C^{[0]}(\mathcal{B})$ , hence in  $K^{[0]}(\mathcal{B})$ . By Proposition 14, it can be resolved to a commutative diagram

$$\begin{array}{ccc}
 J_{I'}^{(X)} & \longrightarrow & J_{I'}^{(\tilde{X})} \\
 \downarrow & & \downarrow \\
 J_{I,I'} & \longrightarrow & J_{\bar{I},I'} \\
 \uparrow & & \uparrow \\
 J_I & \longrightarrow & J_{\bar{I}}
 \end{array}$$

in  $KK^-(\mathcal{B})$ . Application of  $\dot{E}_I(G(-))$  yields the result; cf. Lemma 24.

We refrain from investigating naturality of the first comparison isomorphism in  $G$ .

## 6 THE SECOND COMPARISON

### 6.1 THE SECOND COMPARISON ISOMORPHISM

Suppose given abelian categories  $\mathcal{A}$  and  $\mathcal{B}'$  with enough injectives, and abelian categories  $\mathcal{B}$  and  $\mathcal{C}$ .

Let  $\mathcal{A} \xrightarrow{F} \mathcal{B}'$  be an additive functor. Let  $\mathcal{B} \times \mathcal{B}' \xrightarrow{G} \mathcal{C}$  be a biadditive functor. Suppose given objects  $X \in \text{Ob } \mathcal{A}$  and  $Y \in \text{Ob } \mathcal{B}$ . Let  $B \in \text{Ob } C^{[0]}(\mathcal{B})$  be a resolution of  $Y$ , i.e. suppose a quasiisomorphism  $\text{Conc } Y \rightarrow B$  to exist. Suppose the following properties to hold.

- (a) The functor  $F$  is left exact.
- (b) The functor  $G(Y, -)$  is left exact.
- (c) The object  $X$  possesses an  $(F, G(Y, -))$ -acyclic resolution  $A \in \text{Ob } C^{[0]}(\mathcal{A})$ .
- (d) The functor  $G(B^k, -)$  is exact for all  $k \geq 0$ .
- (e) The functor  $G(-, I')$  is exact for all  $I' \in \text{Ob Inj } \mathcal{B}'$ .

REMARK 33 *Suppose given a morphism  $D \xrightarrow{f} D'$  in  $CC^-(\mathcal{C})$ . If  $H^\ell(f^{-,*})$  is a quasiisomorphism for all  $\ell \geq 0$ , then  $f$  induces an isomorphism*

$$\dot{E}_I(D) \xrightarrow{\dot{E}_I(f)} \dot{E}_I(D')$$

*of proper spectral sequences.*

*Proof.* By Lemma 21, it suffices to show that  $E_I(\alpha + 1/\alpha - 1//\alpha/\alpha - 2)^{+k}(f)$  is an isomorphism for all  $\alpha \in \mathbf{Z}$  and all  $k \in \mathbf{Z}$ . By Lemma 22, this amounts to isomorphisms  $H^k H^\ell(f^{-,*})$  for all  $k, \ell \geq 0$ , i.e. to quasiisomorphisms  $H^\ell(f^{-,*})$  for all  $\ell \geq 0$ . □

Consider the double complex  $G(B, FA) \in \text{Ob CC}^{\ulcorner}(\mathcal{C})$ , where the indices of  $B$  count rows and the indices of  $A$  count columns. To the first filtration of its total complex, we can associate the proper spectral sequence  $\dot{E}_I(G(B, FA)) \in \text{Ob } \llbracket \dot{\mathcal{Z}}_{\infty}^{\#\#}, \mathcal{C} \rrbracket$ .

**THEOREM 34 (SECOND COMPARISON)** *The proper Grothendieck spectral sequence for the functors  $F$  and  $G(Y, -)$ , evaluated at  $X$ , is isomorphic to  $\dot{E}_I(G(B, FA))$ ; i.e.*

$$\dot{E}_{F,G(Y,-)}^{\text{Gr}}(X) \simeq \dot{E}_I(G(B, FA))$$

in  $\llbracket \dot{\mathcal{Z}}_{\infty}^{\#\#}, \mathcal{C} \rrbracket$ .

*Proof.* Let  $J' \in \text{Ob CC}^{\ulcorner}(\text{Inj } \mathcal{B}')$  be a CE-resolution of  $FA$ . By definition,  $\dot{E}_{F,G(Y,-)}^{\text{Gr}}(X) = \dot{E}_I(G(Y, J'))$ . By Remark 33, it suffices to find  $D \in \text{Ob CC}^{\ulcorner}(\mathcal{C})$  and two morphisms of double complexes

$$G(B, FA) \xrightarrow{u} D \xleftarrow{v} G(Y, J')$$

such that  $H^{\ell}(u^{-,*})$  and  $H^{\ell}(v^{-,*})$  are quasiisomorphisms for all  $\ell \geq 0$ . Given a complex  $U \in \text{Ob } C^{[0]}(\mathcal{B})$ , recall that we denote by  $\text{Conc}_2 U \in \text{Ob CC}^{\ulcorner}(\mathcal{B})$  the double complex whose row number 0 is given by  $U$ , and whose other rows are zero.

We have a diagram

$$G(B, \text{Conc}_2 FA) \longrightarrow G(B, J') \longleftarrow G(\text{Conc } Y, J')$$

in  $\text{CCC}^{\ulcorner}(\mathcal{C})$ . Let  $\ell \geq 0$ . Application of  $H^{\ell}((-)^{-,*})$  yields a diagram

$$(*) \quad H^{\ell}(G(B, \text{Conc}_2 FA)^{-,*}) \longrightarrow H^{\ell}(G(B, J')^{-,*}) \longleftarrow H^{\ell}(G(\text{Conc } Y, J')^{-,*})$$

in  $\text{CC}^{\ulcorner}(\mathcal{C})$ . We have

$$\begin{aligned} H^{\ell}(G(B, \text{Conc}_2 FA)^{-,*}) &\simeq G\left(B, H^{\ell}((\text{Conc}_2 FA)^{-,*})\right) \\ &= G(B, \text{Conc } H^{\ell}(FA)) \end{aligned}$$

and

$$H^{\ell}(G(B, J')^{-,*}) \simeq G(B, H^{\ell}(J'^{-,*})),$$

since the functor  $G(B^k, -)$  is exact for all  $k \geq 0$  by (d), or, since the CE-resolution  $J$  is rowwise split. Since the CE-resolution  $J'$  is rowwise split, we moreover have

$$H^{\ell}(G(\text{Conc } Y, J')^{-,*}) \simeq G(\text{Conc } Y, H^{\ell}(J'^{-,*})).$$

So the diagram  $(*)$  is isomorphic to the diagram

$$(**) \quad G(B, \text{Conc } H^{\ell}(FA)) \longrightarrow G(B, H^{\ell}(J'^{-,*})) \longleftarrow G(\text{Conc } Y, H^{\ell}(J'^{-,*})),$$

whose left hand side morphism is induced by the quasiisomorphism  $\text{Conc } H^\ell(FA) \longrightarrow H^\ell(J'^{\cdot,*})$ , and whose right hand side morphism is induced by the quasiisomorphism  $\text{Conc } Y \longrightarrow B$ .

By exactness of  $G(B^k, -)$  for  $k \geq 0$ , the left hand side morphism of (\*\*\*) is a row-wise quasiisomorphism. Since  $H^\ell(J'^k, *)$  is injective, the functor  $G(-, H^\ell(J'^k, *))$  is exact by (e), and therefore the right hand side morphism of (\*\*\*) is a column-wise quasiisomorphism. Thus an application of  $t$  to (\*\*\*) yields two quasiisomorphisms; cf. §1.1.6. Hence, also an application of  $t$  to (\*) yields two quasiisomorphisms in the diagram

$$tH^\ell(G(B, \text{Conc}_2 FA)^{\cdot,*}) \longrightarrow tH^\ell(G(B, J')^{\cdot,*}) \longleftarrow tH^\ell(G(\text{Conc } Y, J')^{\cdot,*}).$$

Note that  $t \circ H^\ell((-)^{\cdot,*}) = H^\ell((-)^{\cdot,*}) \circ t_{1,2}$ , where  $t_{1,2}$  denotes taking the total complex in the first and the second index of a triple complex; cf. §1.2.2. Hence we have a diagram

$$H^\ell((t_{1,2}G(B, \text{Conc}_2 FA))^{\cdot,*}) \longrightarrow H^\ell((t_{1,2}G(B, J'))^{\cdot,*}) \longleftarrow H^\ell((t_{1,2}G(\text{Conc } Y, J'))^{\cdot,*})$$

consisting of two quasiisomorphisms. This diagram in turn, is isomorphic to

$$H^\ell(G(B, FA)^{\cdot,*}) \longrightarrow H^\ell((t_{1,2}G(B, J'))^{\cdot,*}) \longleftarrow H^\ell((G(Y, J'))^{\cdot,*}),$$

where the left hand side morphism is obtained by precomposition with the isomorphism  $G(B, FA^k) \xrightarrow{\sim} t \text{Conc}_1 G(B, FA^k) = (t_{1,2}G(B, \text{Conc}_2 FA))^{\cdot,k}$ , where  $k \geq 0$ ; cf. §1.1.6.

Hence we may take

$$\begin{aligned} (G(B, FA) \xrightarrow{u} D \xleftarrow{v} G(B, J')) \\ := (G(B, FA) \longrightarrow t_{1,2}G(B, J') \longleftarrow G(Y, J')). \end{aligned}$$

□

## 6.2 NATURALITY OF THE SECOND COMPARISON ISOMORPHISM

Again, we narrow down the assumptions just as we have done for the introduction of the Haas transformations in §4.3.1 to express a naturality of the second comparison isomorphism from Theorem 34.

Suppose given abelian categories  $\mathcal{A}$  and  $\mathcal{B}'$  with enough injectives, and abelian categories  $\mathcal{B}$  and  $\mathcal{C}$ . Suppose given additive functors  $\mathcal{A} \xrightleftharpoons[F]{F} \mathcal{B}'$  and a transformation  $F \xrightarrow{\phi} \tilde{F}$ . Let  $\mathcal{B} \times \mathcal{B}' \xrightarrow{G} \mathcal{C}$  be a biadditive functor.

Suppose given a morphism  $X \xrightarrow{x} \tilde{X}$  in  $\mathcal{A}$  and an object  $Y \in \text{Ob } \mathcal{B}$ . Let  $B \in \text{Ob } C^{[0]}(\mathcal{B})$  be a resolution of  $Y$ , i.e. suppose a quasiisomorphism  $\text{Conc } Y \longrightarrow B$  to exist. Suppose the following properties to hold.

- (a) The functors  $F$  and  $\tilde{F}$  are left exact and carry injective to  $G(Y, -)$ -acyclic objects.
- (b) The functor  $G(Y, -)$  is left exact.

- (c) The functor  $G(B^k, -)$  is exact for all  $k \geq 0$ .
- (d) The functor  $G(-, I')$  is exact for all  $I' \in \text{Ob Inj } \mathcal{B}'$ .

Let  $A \xrightarrow{a} \tilde{A}$  in  $\text{C}^{[0]}(\text{Inj } \mathcal{A})$  be an injective resolution of  $X \xrightarrow{x} \tilde{X}$  in  $\mathcal{A}$ . Note that we have a commutative quadrangle

$$\begin{array}{ccc} G(B, FA) & \xrightarrow{G(B, \phi_A)} & G(B, \tilde{F}A) \\ G(B, Fa) \downarrow & & \downarrow G(B, \tilde{F}a) \\ G(B, F\tilde{A}) & \xrightarrow{G(B, \phi_{\tilde{A}})} & G(B, \tilde{F}\tilde{A}) \end{array}$$

in  $\text{CC}^\perp(\mathcal{C})$ .

Note that once chosen injective resolutions  $A$  of  $X$  and  $\tilde{A}$  of  $\tilde{X}$ , the image of  $G(B, Fa)$  in  $\text{KK}^\perp(\mathcal{C})$  does not depend on the choice of the resolution  $A \xrightarrow{a} \tilde{A}$  of  $X \xrightarrow{x} \tilde{X}$ , for  $\text{C}^{[0]}(\mathcal{A}) \xrightarrow{G(B, F(-))} \text{CC}^\perp(\mathcal{C})$  maps an elementary split acyclic complex to an elementary horizontally split acyclic complex.

LEMMA 35 *The quadrangle*

$$\begin{array}{ccc} \dot{\text{E}}_{F, G(Y, -)}^{\text{Gr}}(X) & \xrightarrow{\dot{\text{E}}_{F, G(Y, -)}^{\text{Gr}}(x)} & \dot{\text{E}}_{F, G(Y, -)}^{\text{Gr}}(\tilde{X}) \\ \wr \downarrow & & \downarrow \wr \\ \dot{\text{E}}_1(G(B, FA)) & \xrightarrow{\dot{\text{E}}_1(G(B, Fa))} & \dot{\text{E}}_1(G(B, F\tilde{A})) \end{array}$$

commutes, where the vertical isomorphisms are those constructed in the proof of Theorem 34.

*Proof.* Let  $J' \xrightarrow{\hat{a}} \tilde{J}'$  be a CE-resolution of  $FA \xrightarrow{Fa} F\tilde{A}$ . Consider the following commutative diagram in  $\text{CC}^\perp(\mathcal{C})$ .

$$\begin{array}{ccc} G(Y, J') & \xrightarrow{G(Y, \hat{a})} & G(Y, \tilde{J}') \\ \downarrow & & \downarrow \\ t_{1,2}G(B, J') & \xrightarrow{t_{1,2}G(B, \hat{a})} & t_{1,2}G(B, \tilde{J}') \\ \uparrow & & \uparrow \\ G(B, FA) & \xrightarrow{G(B, Fa)} & G(B, F\tilde{A}) \end{array}$$

An application of  $\dot{\text{E}}_1$  yields the result. □

LEMMA 36 *The quadrangle*

$$\begin{array}{ccc}
 \dot{E}_{\tilde{F}, G(Y, -)}^{\text{Gr}}(X) & \xrightarrow{h_{\phi}^I X} & \dot{E}_{\tilde{F}, G(Y, -)}^{\text{Gr}}(X) \\
 \downarrow \wr & & \downarrow \wr \\
 \dot{E}_I(G(B, FA)) & \xrightarrow{\dot{E}_I(G(B, \phi A))} & \dot{E}_I(G(B, \tilde{F}A))
 \end{array}$$

commutes, where the vertical morphisms are those constructed in the proof of Theorem 34.

For the definition of the first Haas transformation  $h_{F(x, -)}^I$ , see §4.3.2.

*Proof.* Let  $J' \xrightarrow{\hat{\phi}} \check{J}'$  be a CE-resolution of  $FA \xrightarrow{F\phi} \tilde{F}A$ . Consider the following commutative diagram in  $\text{CC}^c(\mathcal{C})$ .

$$\begin{array}{ccc}
 G(Y, J') & \xrightarrow{G(Y, \hat{\phi})} & G(Y, \check{J}') \\
 \downarrow & & \downarrow \\
 t_{1,2}G(B, J') & \xrightarrow{t_{1,2}G(B, \hat{\phi})} & t_{1,2}G(B, \check{J}') \\
 \uparrow & & \uparrow \\
 G(B, FA) & \xrightarrow{G(B, \phi A)} & G(B, \tilde{F}A)
 \end{array}$$

An application of  $\dot{E}_I$  yields the result. □

We refrain from investigating naturality of the second comparison isomorphism in  $Y$ .

## 7 ACYCLIC CE-RESOLUTIONS

We record BEYL's Theorem [4, Th. 3.4] (here Theorem 40) in order to document that it fits in our context. The argumentation is entirely due to BEYL [4, Sec. 3], so we do not claim any originality.

Let  $\mathcal{A}$ ,  $\mathcal{B}$  and  $\mathcal{C}$  be abelian categories. Suppose  $\mathcal{A}$  and  $\mathcal{B}$  to have enough injectives. Let  $\mathcal{A} \xrightarrow{F} \mathcal{B} \xrightarrow{G} \mathcal{C}$  be left exact functors.

### 7.1 DEFINITION

Let  $T \in \text{Ob } C^{[0]}(\mathcal{B})$ . In this §7, a CE-resolution of  $T$  will synonymously (and not quite correctly) be called an *injective CE-resolution*, to emphasise the fact that its object entries are injective.

We regard  $C^{[0]}(\mathcal{B})$  as an exact category as in Remarks 9 and 11.

DEFINITION 37 A double complex  $B \in \text{CC}^{\ulcorner}(\mathcal{B})$  is called a  $G$ -acyclic CE-resolution of  $T$  if the following conditions are satisfied.

- (1) We have  $H^0(B^{*, -}) \simeq T$  and  $H^k(B^{*, -}) \simeq 0$  for all  $k \geq 1$ .
- (2) The morphism of complexes  $B^{k, *} \longrightarrow B^{k+1, *}$ , consisting of vertical differentials of  $B$ , is a pure morphism for all  $k \geq 0$ .
- (3) The object  $B^{\ell}(B^{k, *})$  is  $G$ -acyclic for all  $k, \ell \geq 0$ .
- (4) The object  $Z^{\ell}(B^{k, *})$  is  $G$ -acyclic for all  $k, \ell \geq 0$ .

A  $G$ -acyclic CE-resolution is a  $G$ -acyclic CE-resolution of some  $T \in \text{Ob } C^{[0]}(\mathcal{B})$ .

From (3, 4) and the short exact sequence  $Z^{\ell}(B^{k, *}) \longrightarrow B^{k, \ell} \longrightarrow B^{\ell+1}(B^{k, *})$ , we conclude that  $B^{k, \ell}$  is  $G$ -acyclic for all  $k, \ell \geq 0$ .

From (3, 4) and the short exact sequence  $B^{\ell}(B^{k, *}) \longrightarrow Z^{\ell}(B^{k, *}) \longrightarrow H^{\ell}(B^{k, *})$ , we conclude that  $H^{\ell}(B^{k, *})$  is  $G$ -acyclic for all  $k, \ell \geq 0$ .

EXAMPLE 38 An injective CE-resolution of  $T$  is in particular a  $G$ -acyclic CE-resolution of  $T$ .

Note that given  $Y \in \text{Ob } C(\mathcal{B})$  and  $\ell \in \mathbf{Z}$ , we have  $Z^{\ell}GY \simeq GZ^{\ell}Y$ , whence the universal property of the cokernel  $H^{\ell}GY$  of  $GY^{\ell-1} \longrightarrow Z^{\ell}GY$  induces a morphism  $H^{\ell}GY \longrightarrow GH^{\ell}Y$ . This furnishes a transformation  $H^{\ell}(GX^{k, *}) \xrightarrow{\theta X} GH^{\ell}(X^{k, *})$ , natural in  $X \in \text{Ob } \text{CC}^{\ulcorner}(\mathcal{B})$ .

REMARK 39 If  $B$  is a  $G$ -acyclic CE-resolution, then the morphism  $H^{\ell}(GB^{-, *}) \xrightarrow{\theta B} GH^{\ell}(B^{-, *})$  is an isomorphism for all  $\ell \geq 0$ .

Proof. The sequences

$$\begin{array}{ccccc} GB^{\ell}(B^{k, *}) & \longrightarrow & GZ^{\ell}(B^{k, *}) & \longrightarrow & GH^{\ell}(B^{k, *}) \\ GZ^{\ell-1}(B^{k, *}) & \longrightarrow & GB^{k, \ell-1} & \longrightarrow & GB^{\ell}(B^{k, *}) \end{array}$$

are short exact for  $k, \ell \geq 0$  by  $G$ -acyclicity of  $B^{\ell}(B^{k, *})$  resp. of  $Z^{\ell-1}(B^{k, *})$ . In particular, the cokernel of  $GB^{k, \ell-1} \longrightarrow GZ^{\ell}(B^{k, *})$  is given by  $GH^{\ell}(B^{k, *})$ .  $\square$

7.2 A THEOREM OF BEYL

Let  $X \in \text{Ob } \mathcal{A}_{(F, G)}$ . Let  $A \in C^{[0]}(\mathcal{A})$  be a  $(F, G)$ -acyclic resolution of  $X$ . Let  $B \in \text{CC}^{\ulcorner}(\mathcal{B})$  be a  $G$ -acyclic CE-resolution of  $FA$ .

THEOREM 40 (BEYL, [4, TH. 3.4]) We have an isomorphism of proper spectral sequences

$$\dot{E}_{F, G}^{\text{Gr}}(X) \simeq \dot{E}_1(GB)$$

in  $\llbracket \dot{Z}_{\infty}^{\#\#}, \mathcal{C} \rrbracket$ .

*Proof.* Since the proper Grothendieck spectral sequence is, up to isomorphism, independent of the choice of an injective CE-resolution, as pointed out in §4.2, our assertion is equivalent to the existence of an injective CE-resolution  $J$  of  $FA$  such that  $\dot{E}_I(GJ) \simeq \dot{E}_I(GB)$ . So by Remark 33, it suffices to show that there exists an injective CE-resolution  $J$  of  $FA$  and a morphism  $B \longrightarrow J$  that induces a quasiisomorphism  $H^\ell(GB^{-,*}) \longrightarrow H^\ell(GJ^{-,*})$  for all  $\ell \geq 0$ . By Remark 39 and Example 38, it suffices to show that  $GH^\ell(B^{-,*}) \longrightarrow GH^\ell(J^{-,*})$  is a quasiisomorphism for all  $\ell \geq 0$ .

By the conditions (1, 2) on  $B$  and by  $G$ -acyclicity of  $H^\ell(B^{k,*})$  for  $k, \ell \geq 0$ , the complex  $H^\ell(B^{-,*})$  is a  $G$ -acyclic resolution of  $H^\ell(FA)$ ; cf. Remark 10.

By Remark 4, there exists  $J \in \text{Ob CC}^{\text{u}}(\text{Inj } \mathcal{B})$  with vertical pure morphisms and split rows, and a morphism  $B \longrightarrow J$  consisting rowwise of pure monomorphisms such that  $H^k(B^{*, -}) \longrightarrow H^k(J^{*, -})$  is an isomorphism of complexes for all  $k \geq 0$ . In particular, the composite  $(\text{Conc}_2 FA \longrightarrow B \longrightarrow J)$  turns  $J$  into an injective CE-resolution of  $FA$ .

Let  $\ell \geq 0$ . Since  $B$  is a  $G$ -acyclic and  $J$  an injective CE-resolution of  $FA$ , both  $\text{Conc } H^\ell(FA) \longrightarrow H^\ell(B^{-,*})$  and  $\text{Conc } H^\ell(FA) \longrightarrow H^\ell(J^{-,*})$  are quasiisomorphisms. Hence  $H^\ell(B^{-,*}) \longrightarrow H^\ell(J^{-,*})$  is a quasiisomorphism, too. Now Lemma 27 shows that  $GH^\ell(B^{-,*}) \longrightarrow GH^\ell(J^{-,*})$  is a quasiisomorphism as well. □

## 8 APPLICATIONS

We will apply Theorems 31 and 34 in various algebraic situations. In particular, we will re-prove a theorem of Beyl; viz. Theorem 53 in §8.3.

In several instances below, we will make tacit use of the fact that a left exact functor between abelian categories respects injectivity of objects provided it has an exact left adjoint.

### 8.1 A HOPF ALGEBRA LEMMA

We will establish Lemma 47 in §8.1.4, needed to prove an acyclicity that enters the proof of the comparison result Theorem 52 in §8.2 for Hopf algebra cohomology, which in turn allows to derive comparison results for group cohomology and Lie algebra cohomology; cf. §§ 8.3, 8.4.

#### 8.1.1 DEFINITION

Let  $R$  be a commutative ring. Write  $\otimes := \otimes_R$ . A *Hopf algebra over  $R$*  is an  $R$ -algebra  $H$  together with  $R$ -algebra morphisms  $H \xrightarrow{\varepsilon} R$  (*counit*) and  $H \xrightarrow{\Delta} H \otimes H$  (*comultiplication*), and an  $R$ -linear map  $H \xrightarrow{S} H$  (*antipode*) such that the following conditions (i–iv) hold.

Write  $x\Delta = \sum_i x u_i \otimes x v_i$  for  $x \in H$ , where  $u_i$  and  $v_i$  are chosen maps from  $H$  to  $H$ , and where  $i$  runs over a suitable indexing set. Note that

$$\sum_i (r \cdot x + s \cdot y) u_i \otimes (r \cdot x + s \cdot y) v_i = r \cdot (\sum_i x u_i \otimes x v_i) + s \cdot (\sum_i y u_i \otimes y v_i)$$

for  $x, y \in H$  and  $r, s \in R$ , whereas  $u_i$  and  $v_i$  are not necessarily  $R$ -linear maps.

The elegant Sweedler notation [15, §1.2] for the images under  $\Delta(\Delta \otimes 1)$  etc. led the author, being new to Hopf algebras, to confusion in a certain case. So we will express them in these more naive terms.

Write  $H \otimes H \xrightarrow{\nabla} H$ ,  $x \otimes y \mapsto x \cdot y$  and  $R \xrightarrow{\eta} H$ ,  $r \mapsto r \cdot 1_H$ .

Write  $H \otimes H \xrightarrow{\tau} H \otimes H$ ,  $x \otimes y \mapsto y \otimes x$ .

- (i) We have  $\Delta(\varepsilon \otimes \text{id}_H) = (x \mapsto 1_R \otimes x)$ , i.e.  $\sum_i x u_i \varepsilon \cdot x v_i = x$  for  $x \in H$ .
- (i') We have  $\Delta(\text{id}_H \otimes \varepsilon) = (x \mapsto x \otimes 1_R)$ , i.e.  $\sum_i x u_i \cdot x v_i \varepsilon = x$  for  $x \in H$ .
- (ii) We have  $\Delta(\text{id}_H \otimes \Delta) = \Delta(\Delta \otimes \text{id}_H)$ , i.e.  $\sum_{i,j} x u_i \otimes x v_i u_j \otimes x v_i v_j = \sum_{i,j} x u_i u_j \otimes x u_i v_j \otimes x v_i$  for  $x \in H$ .
- (iii) We have  $\Delta(S \otimes \text{id}_H) \nabla = \varepsilon \eta$ , i.e.  $\sum_i x u_i S \cdot x v_i = x \varepsilon \cdot 1_H$  for  $x \in H$ .
- (iii') We have  $\Delta(\text{id}_H \otimes S) \nabla = \varepsilon \eta$ , i.e.  $\sum_i x u_i \cdot x v_i S = x \varepsilon \cdot 1_H$  for  $x \in H$ .
- (iv) We have  $S^2 = \text{id}_H$ .

In particular, imposing (iv), we stipulate a Hopf algebra to have an *involutive* antipode.

### 8.1.2 SOME BASIC PROPERTIES

In an attempt to be reasonably self-contained, we recall some basic facts on Hopf algebras needed for Lemma 47 below; cf. [15, Ch. IV], [1, §2], [13, §§1-3]. In doing so, we shall use direct arguments.

Suppose given a Hopf algebra  $H$  over  $R$ .

REMARK 41 ([15, PROP. 4.0.1], [1, TH. 2.1.4], [13, 3.4.2])

*The following hold.*

- (1) We have  $\sum_i (x \cdot y) u_i \otimes (x \cdot y) v_i = \sum_{i,j} (x u_i \cdot y u_j) \otimes (x v_i \cdot y v_j)$  for  $x, y \in H$ .
- (2) We have  $1_H S = 1_H$ .
- (3) We have  $(x \cdot y) S = y \cdot x$  for  $x, y \in H$ .
- (4) We have  $S \varepsilon = \varepsilon$ .
- (5) We have  $\Delta(S \otimes S) \tau = S \Delta$ , i.e.  $\sum_i x u_i S \otimes x v_i S = \sum_i x S v_i \otimes x S u_i$  for  $x \in H$ .
- (6) We have  $x \cdot y = \sum_i \left( \sum_j (x u_i) u_j \cdot y \cdot (x u_i) v_j S \right) \cdot x v_i$  for  $x, y \in H$ .
- (6') We have  $y \cdot x = \sum_i x u_i \cdot \left( \sum_j (x v_i) u_j S \cdot y \cdot (x v_i) v_j \right)$  for  $x, y \in H$ .

(7) We have  $\sum_i xv_i \cdot xu_i S = x\varepsilon \cdot 1_H$  for  $x \in H$ .

(7') We have  $\sum_i xv_i S \cdot xu_i = x\varepsilon \cdot 1_H$  for  $x \in H$ .

*Proof.* Ad (1). Given  $x, y \in H$ , we obtain

$$\sum_i (xy)u_i \otimes (xy)v_i = (xy)\Delta = x\Delta \cdot y\Delta = \sum_{i,j} (xu_i \cdot yu_j) \otimes (xv_i \cdot yv_j).$$

Ad (2). Remarking that  $1_H\Delta = 1_H \otimes 1_H$ , we obtain

$$1_H S = 1_H \Delta (S \otimes \text{id}_H) \nabla \stackrel{\text{(iii)}}{=} 1_H \varepsilon \cdot 1_H = 1_H.$$

Ad (3). Given  $x, y \in H$ , we obtain

$$\begin{aligned} (x \cdot y)S &\stackrel{2 \times \text{(i')}}{=} \sum_{i,k} (xu_i \cdot xv_i \varepsilon \cdot yu_k \cdot yv_k \varepsilon)S \\ &\stackrel{\text{(iii')}}{=} \sum_{i,j,k} (xu_i \cdot yu_k \cdot yv_k \varepsilon)S \cdot xv_i u_j \cdot xv_i v_j S \\ &\stackrel{\text{(iii')}}{=} \sum_{i,j,k,\ell} (xu_i \cdot yu_k)S \cdot xv_i u_j \cdot yv_k u_\ell \cdot yv_k v_\ell S \cdot xv_i v_j S \\ &\stackrel{2 \times \text{(ii)}}{=} \sum_{i,j,k,\ell} (xu_i u_j \cdot yu_k u_\ell)S \cdot xv_i v_j \cdot yu_k v_\ell \cdot yv_k S \cdot xv_i S \\ &\stackrel{\text{(1)}}{=} \sum_{i,j,k} (xu_i \cdot yu_k)u_j S \cdot (xu_i \cdot yu_k)v_j \cdot yv_k S \cdot xv_i S \\ &\stackrel{\text{(iii)}}{=} \sum_{i,k} (xu_i \cdot yu_k)\varepsilon \cdot yv_k S \cdot xv_i S \\ &= \sum_{i,k} (yu_k \varepsilon \cdot yv_k)S \cdot (xu_i \varepsilon \cdot xv_i)S \\ &\stackrel{2 \times \text{(i)}}{=} yS \cdot xS. \end{aligned}$$

Ad (4). Note that  $(y\varepsilon \cdot z)\varepsilon = y\varepsilon \cdot z\varepsilon = (y \cdot z)\varepsilon$  for  $y, z \in H$ . Given  $x \in H$ , we obtain

$$\begin{aligned} xS\varepsilon &\stackrel{\text{(i)}}{=} (\sum_i xu_i \varepsilon \cdot xv_i)S\varepsilon = (\sum_i xu_i \varepsilon \cdot xv_i S)\varepsilon = (\sum_i xu_i \cdot xv_i S)\varepsilon \\ &\stackrel{\text{(iii')}}{=} (x\varepsilon \cdot 1_H)\varepsilon = x\varepsilon. \end{aligned}$$

Ad (5). Given  $x \in H$ , we obtain

$$\begin{aligned} &x\Delta(S \otimes S)\tau \\ &\stackrel{\text{(i)}}{=} \sum_i (xu_i \varepsilon \cdot xv_i)\Delta(S \otimes S)\tau \\ &= \sum_i (xu_i \varepsilon \cdot 1_H)\Delta \cdot xv_i \Delta(S \otimes S)\tau \\ &\stackrel{\text{(iii)}}{=} \sum_{i,j} (xu_i u_j S \cdot xv_i v_j)\Delta \cdot xv_i \Delta(S \otimes S)\tau \\ &= \sum_{i,j} xu_i u_j S \Delta \cdot xv_i v_j \Delta \cdot xv_i \Delta(S \otimes S)\tau \\ &\stackrel{\text{(ii)}}{=} \sum_{i,j} xu_i S \Delta \cdot xv_i u_j \Delta \cdot xv_i v_j \Delta(S \otimes S)\tau \\ &= \sum_{i,j,k,\ell} xu_i S \Delta \cdot (xv_i u_j u_k \otimes xv_i u_j v_k) \cdot (xv_i v_j v_\ell S \otimes xv_i v_j u_\ell S) \\ &= \sum_{i,j,k,\ell} xu_i S \Delta \cdot (xv_i u_j u_k \cdot xv_i v_j v_\ell S \otimes xv_i u_j v_k \cdot xv_i v_j u_\ell S) \\ &\stackrel{\text{(ii)}}{=} \sum_{i,j,k,\ell} xu_i S \Delta \cdot (xv_i u_j \cdot xv_i v_j v_k v_\ell S \otimes xv_i v_j u_k \cdot xv_i v_j v_k u_\ell S) \\ &\stackrel{\text{(ii)}}{=} \sum_{i,j,k,\ell} xu_i S \Delta \cdot (xv_i u_j \cdot xv_i v_j v_k S \otimes xv_i v_j u_k u_\ell \cdot xv_i v_j u_k v_\ell S) \\ &\stackrel{\text{(iii')}}{=} \sum_{i,j,k} xu_i S \Delta \cdot (xv_i u_j \cdot xv_i v_j v_k S \otimes xv_i v_j u_k \varepsilon \cdot 1_H) \\ &= \sum_{i,j,k} xu_i S \Delta \cdot (xv_i u_j \cdot (xv_i v_j v_k \cdot xv_i v_j u_k \varepsilon)S \otimes 1_H) \\ &\stackrel{\text{(i)}}{=} \sum_{i,j} xu_i S \Delta \cdot (xv_i u_j \cdot xv_i v_j S \otimes 1_H) \end{aligned}$$

$$\begin{aligned}
& \stackrel{\text{(iii)'}}{=} \sum_i xu_i S \Delta \cdot (xv_i \varepsilon \cdot 1_H \otimes 1_H) \\
& = \sum_i (xu_i \cdot xv_i \varepsilon) S \Delta \\
& \stackrel{\text{(i)'}}{=} x S \Delta .
\end{aligned}$$

Ad (6). Given  $x, y \in H$ , we obtain

$$x \cdot y \stackrel{\text{(i)'}}{=} \sum_i xu_i \cdot y \cdot xv_i \varepsilon \stackrel{\text{(iii)'}}{=} \sum_{i,j} xu_i \cdot y \cdot xv_i u_j S \cdot xv_i v_j \stackrel{\text{(ii)'}}{=} \sum_{i,j} xu_i u_j \cdot y \cdot xv_i v_j S \cdot xv_i .$$

Ad (6'). Given  $x \in H$ , we obtain

$$y \cdot x \stackrel{\text{(i)'}}{=} \sum_i xu_i \varepsilon \cdot y \cdot xv_i \stackrel{\text{(iii)'}}{=} \sum_{i,j} xu_i u_j \cdot xv_i v_j S \cdot y \cdot xv_i \stackrel{\text{(ii)'}}{=} \sum_{i,j} xu_i \cdot xv_i u_j S \cdot y \cdot xv_i v_j .$$

Ad (7). Given  $x \in H$ , we have

$$\begin{aligned}
\sum_i xv_i \cdot xu_i S & \stackrel{\text{(iv)'}}{=} \sum_i x S^2 v_i \cdot x S^2 u_i S \stackrel{\text{(5)'}}{=} \sum_i x S u_i S \cdot x S v_i S^2 \\
& \stackrel{\text{(iv)'}}{=} \sum_i x S u_i S \cdot x S v_i \stackrel{\text{(iii)'}}{=} x S \varepsilon \cdot 1_H \stackrel{\text{(4)'}}{=} x \varepsilon \cdot 1_H .
\end{aligned}$$

Ad (7'). Given  $x \in H$ , we have

$$\begin{aligned}
\sum_i xv_i S \cdot xu_i & \stackrel{\text{(iv)'}}{=} \sum_i x S^2 v_i S \cdot x S^2 u_i \stackrel{\text{(5)'}}{=} \sum_i x S u_i S^2 \cdot x S v_i S \\
& \stackrel{\text{(iv)'}}{=} \sum_i x S u_i \cdot x S v_i S \stackrel{\text{(iii)'}}{=} x S \varepsilon \cdot 1_H \stackrel{\text{(4)'}}{=} x \varepsilon \cdot 1_H .
\end{aligned}$$

□

In the present §8.1, we shall refer to the assertions Remark 41.(1–7') just by (1–7').

### 8.1.3 NORMALITY

Suppose given a Hopf algebra  $H$  over  $R$ , and an  $R$ -subalgebra  $K \subseteq H$ . Suppose  $H$  and  $K$  to be flat as modules over  $R$ .

Note that  $K \otimes K \longrightarrow H \otimes H$  is injective. We will identify  $K \otimes K$  with its image.

The  $R$ -subalgebra  $K \subseteq H$  is called a *Hopf-subalgebra* if  $K \Delta \subseteq K \otimes K$  and  $K S \subseteq K$ . In this case, we may and will suppose the maps  $u_i$  and  $v_i$  to restrict to maps from  $K$  to  $K$ .

Suppose  $K \subseteq H$  to be a Hopf-subalgebra. It is called *normal*, if for all  $a \in K$  and all  $x \in H$ , we have

$$\sum_i xu_i \cdot a \cdot xv_i S \in K \quad \text{and} \quad \sum_i xu_i S \cdot a \cdot xv_i \in K .$$

An ideal  $I \subseteq H$  is called a *Hopf ideal* if  $I \Delta \subseteq I \otimes H + H \otimes I$  (where we have identified  $I \otimes H$  and  $H \otimes I$  with their images in  $H \otimes H$ ),  $I \varepsilon = 0$  and  $I S \subseteq I$ . In this case, the quotient  $H/I$  carries a Hopf algebra structure via

$$\begin{array}{lll}
H/I & \xrightarrow{\varepsilon} & R, & x + I & \longmapsto & x \varepsilon \\
H/I & \xrightarrow{\Delta} & H/I \otimes H/I, & x + I & \longmapsto & \sum_i (xu_i + I) \otimes (xv_i + I) \\
H/I & \xrightarrow{S} & H/I, & x + I & \longmapsto & x S + I .
\end{array}$$

Suppose  $K \subseteq H$  to be a normal Hopf subalgebra. Write  $K^+ := \text{Kern}(H \xrightarrow{\varepsilon} R)$ . By (6, 6', 3, 4),  $HK^+ = K^+H$  is a Hopf ideal in  $H$ .

8.1.4 SOME REMARKS AND A LEMMA

Suppose given a Hopf algebra  $H$  over  $R$  and a normal Hopf-subalgebra  $K \subseteq H$ . Suppose  $H$  and  $K$  to be flat as modules over  $R$ .

Write  $\bar{H} := H/HK^+$ . Given  $x \in H$ , write  $\bar{x} := x + HK^+ \in \bar{H}$  for its residue class.

Let  $N', N, M, M'$  and  $Q$  be  $H$ -modules. Let  $P$  be an  $\bar{H}$ -module, which we also consider as an  $H$ -module via  $H \longrightarrow \bar{H}, x \longmapsto \bar{x}$ .

We write  ${}_K(N, M) = {}_K(N|_K, M|_K)$  for the  $R$ -module of  $K$ -linear maps from  $N$  to  $M$ .

REMARK 42 Given  $f \in {}_R(N, M)$  and  $x \in H$ , we define  $x \cdot f \in {}_R(N, M)$  by

$$[n](x \cdot f) := \sum_i x u_i \cdot [x v_i S \cdot n] f$$

for  $n \in N$ . This defines a left  $H$ -module structure on  ${}_R(N, M)$ .

Formally, squared brackets mean the same as parentheses. Informally, squared brackets are to accentuate the arguments of certain maps.

*Proof.* We claim that  $x' \cdot (x \cdot f) = (x' \cdot x) \cdot f$  for  $x, x' \in H$ . Suppose given  $n \in N$ . We obtain

$$\begin{aligned} [n](x' \cdot (x \cdot f)) &= \sum_i x' u_i \cdot [x' v_i S \cdot n](x \cdot f) \\ &= \sum_{i,j} x' u_i \cdot x u_j \cdot [x v_j S \cdot x' v_i S \cdot n] f \\ &\stackrel{(3)}{=} \sum_{i,j} (x' u_i \cdot x u_j) \cdot [(x' v_i \cdot x v_j) S \cdot n] f \\ &\stackrel{(1)}{=} \sum_i (x' \cdot x) u_i \cdot [(x' \cdot x) v_i S \cdot n] f \\ &= [n]((x' \cdot x) \cdot f). \end{aligned}$$

We claim that  $1_H \cdot f = f$ . Suppose given  $n \in N$ . We obtain

$$[n](1_H \cdot f) = \sum_i 1_H u_i \cdot [1_H v_i S \cdot n] f = 1_H \cdot [1_H S \cdot n] f \stackrel{(2)}{=} [n] f,$$

remarking that  $1_H \Delta = 1_H \otimes 1_H$ . □

I owe to G. Hiss the hint to improve a previous weaker version of Corollary 45 below by means of the following Remark 43.

Denote by

$$M^K := \{m \in M : a \cdot m = a\varepsilon \cdot m \text{ for all } a \in K\}$$

the fixed point module of  $M$  under  $K$ .

REMARK 43 Letting  $\bar{x} \cdot m := x \cdot m$  for  $x \in H$  and  $m \in M^K$ , we define an  $\bar{H}$ -module structure on  $M^K$ .

*Proof.* The value of the product  $\bar{x} \cdot m$  does not depend on the chosen representative  $x$  of  $\bar{x}$  since, given  $y \in H$ ,  $a \in K^+$  and  $m \in M^K$ , we have

$$y \cdot a \cdot m = y \cdot a\varepsilon \cdot m = 0.$$

It remains to be shown that given  $x \in H$  and  $m \in M^K$ , the element  $x \cdot m$  lies in  $M^K$ . In fact, given  $a \in K$ , we obtain

$$\begin{aligned} a \cdot x \cdot m &\stackrel{(6')}{=} \sum_i x u_i \cdot \left( \sum_j (x v_i) u_j S \cdot a \cdot (x v_i) v_j \right) \cdot m \\ &= \sum_i x u_i \cdot \left( \sum_j (x v_i) u_j S \cdot a \cdot (x v_i) v_j \right) \varepsilon \cdot m \\ &= \sum_{i,j} x u_i \cdot x v_i u_j S \varepsilon \cdot a \varepsilon \cdot x v_i v_j \varepsilon \cdot m \\ &\stackrel{(4)}{=} \sum_{i,j} x u_i \cdot x v_i u_j \varepsilon \cdot a \varepsilon \cdot x v_i v_j \varepsilon \cdot m \\ &\stackrel{(ii)}{=} \sum_{i,j} x u_i u_j \cdot x u_i v_j \varepsilon \cdot a \varepsilon \cdot x v_i \varepsilon \cdot m \\ &\stackrel{(i')}{=} \sum_i x u_i \cdot a \varepsilon \cdot x v_i \varepsilon \cdot m \\ &\stackrel{(i')}{=} a \varepsilon \cdot x \cdot m. \end{aligned}$$

□

REMARK 44 We have  $({}_R(N, M))^K = {}_K(N, M)$ , as subsets of  ${}_R(N, M)$ .

*Proof.* The module  $({}_R(N, M))^K$  consists of the  $R$ -linear maps  $N \xrightarrow{f} M$  that satisfy

$$\sum_i x u_i \cdot [x v_i S \cdot n] f = x \varepsilon \cdot [n] f.$$

for  $x \in H$  and  $n \in N$ . The module  ${}_K(N, M)$  consists of the  $R$ -linear maps  $N \xrightarrow{f} M$  that satisfy

$$[x \cdot n] f = x \cdot [n] f$$

for  $x \in H$  and  $n \in N$ . By (iii'), we have  $({}_R(N, M))^K \supseteq {}_K(N, M)$ .

It remains to show that  $({}_R(N, M))^K \subseteq {}_K(N, M)$ . Given  $f \in ({}_R(N, M))^K$ ,  $x \in H$  and  $n \in N$ , we obtain

$$\begin{aligned} x \cdot [n] f &\stackrel{(i')}{=} \sum_i x u_i \cdot x v_i \varepsilon \cdot [n] f \\ &= \sum_i x u_i \cdot [x v_i \varepsilon \cdot n] f \\ &\stackrel{(iii)}{=} \sum_{i,j} x u_i \cdot [x v_i u_j S \cdot x v_i v_j \cdot n] f \\ &\stackrel{(ii)}{=} \sum_{i,j} x u_i u_j \cdot [x u_i v_j S \cdot x v_i \cdot n] f \\ &= \sum_i x u_i \varepsilon \cdot [x v_i \cdot n] f \\ &\stackrel{(i)}{=} [x \cdot n] f. \end{aligned}$$

□

COROLLARY 45 Given  $f \in {}_K(N, M)$  and  $x \in H$ , we define  $\bar{x} \cdot f \in {}_K(N, M)$  by

$$[n](\bar{x} \cdot f) := \sum_i x u_i \cdot [x v_i S \cdot n] f$$

for  $n \in N$ . This defines a left  $\bar{H}$ -module structure on  ${}_K(N, M)$ .

*Proof.* By Remark 42, we may apply Remark 43 to  ${}_R(N, M)$ . By Remark 44, the assertion follows.  $\square$

REMARK 46 Given  $f \in {}_K(N, M)$ ,  $x \in H$ , and  $H$ -linear maps  $N' \xrightarrow{\nu} N$ ,  $M \xrightarrow{\mu} M'$ , we obtain

$$\nu(\bar{x} \cdot f)\mu = \bar{x} \cdot (\nu f\mu).$$

*Proof.* Given  $n' \in N'$ , we obtain

$$\begin{aligned} [n'](\nu(\bar{x} \cdot f)\mu) &= (\sum_i xu_i \cdot [xv_i S \cdot n'\nu]f)\mu = \sum_i xu_i \cdot [xv_i S \cdot n'](\nu f\mu) \\ &= [n'](\bar{x} \cdot (\nu f\mu)). \end{aligned}$$

$\square$

The following Lemma 47 has been suggested by the referee, and has been achieved with the help of G. CARNOVALE. It is reminiscent of [16, Cor. 4.3], but easier. It resembles a bit a Fourier inversion.

Note that the right  $\bar{H}$ -module structure on  $\bar{H}$  induces a left  $\bar{H}$ -module structure on  ${}_R(\bar{H}, M)$ .

LEMMA 47 We have the following mutually inverse isomorphisms of  $\bar{H}$ -modules.

$$\begin{array}{ccc} {}_K(H, M) & \xrightarrow[\sim]{\Phi} & {}_R(\bar{H}, M) \\ f & \longmapsto & (\bar{x} \mapsto \sum_i xu_i \cdot [xv_i S]f) \\ \\ {}_K(H, M) & \xleftarrow[\sim]{\Psi} & {}_R(\bar{H}, M) \\ (x \mapsto \sum_j xv_j \cdot [xu_j S]g) & \longleftarrow & g \end{array}$$

*Proof.* We claim that  $\Phi$  is a welldefined map. We have to show that  $f\Phi$  is welldefined, i.e. that its value at  $\bar{x}$  does not depend on the representing element  $x$ . Suppose given  $y \in H$  and  $a \in K^+$ . We obtain

$$\begin{aligned} \sum_i (ya)u_i \cdot [(ya)v_i S]f &\stackrel{(1)}{=} \sum_{i,j} yu_i \cdot au_j \cdot [(yv_i \cdot av_j)S]f \\ &\stackrel{(3)}{=} \sum_{i,j} yu_i \cdot au_j \cdot [av_j S \cdot yv_i S]f \\ &= \sum_{i,j} yu_i \cdot au_j \cdot av_j S \cdot [yv_i S]f \\ &\stackrel{(iii')}{=} \sum_i yu_i \cdot a\varepsilon \cdot [yv_i S]f \\ &= 0. \end{aligned}$$

We claim that  $\Phi$  is  $\bar{H}$ -linear. Suppose given  $y \in H$  and  $x \in H$ . We obtain

$$\begin{aligned} [\bar{x}]((\bar{y}f)\Phi) &= \sum_i xu_i \cdot [xv_i S](\bar{y}f) \\ &= \sum_{i,j} xu_i \cdot yu_j \cdot [yv_j S \cdot xv_i S]f \\ &\stackrel{(3)}{=} \sum_{i,j} xu_i \cdot yu_j \cdot [(xv_i \cdot yv_j)S]f \\ &\stackrel{(1)}{=} \sum_i (x \cdot y)u_i \cdot [(x \cdot y)v_i S]f \\ &= [\bar{x}](\bar{y}(f\Phi)). \end{aligned}$$

We *claim* that  $\Psi$  is a welldefined map. We have to show that  $g\Psi$  is  $K$ -linear. Suppose given  $a \in K$  and  $x \in H$ . Note that  $au_i \in K$  for all  $i$ , whence also  $au_i S \in K$ , and therefore  $au_i S \equiv_{HK^+} au_i S \varepsilon \cdot 1_H$ . We obtain

$$\begin{aligned}
 [a \cdot x](g\Psi) &= \sum_j (a \cdot x) v_j \cdot [\overline{(a \cdot x) u_j S}] g \\
 &\stackrel{(1)}{=} \sum_{i,j} av_i \cdot xv_j \cdot [\overline{(au_i \cdot xu_j) S}] g \\
 &\stackrel{(3)}{=} \sum_{i,j} av_i \cdot xv_j \cdot [\overline{xu_j S} \cdot \overline{au_i S}] g \\
 &= \sum_{i,j} av_i \cdot xv_j \cdot [\overline{xu_j S} \cdot \overline{au_i S \varepsilon}] g \\
 &\stackrel{(4)}{=} \sum_{i,j} au_i \varepsilon \cdot av_i \cdot xv_j \cdot [\overline{xu_j S}] g \\
 &\stackrel{(i)}{=} \sum_j a \cdot xv_j \cdot [\overline{xu_j S}] g \\
 &= a \cdot [x](g\Psi) .
 \end{aligned}$$

We *claim* that  $\Phi\Psi = \text{id}_{\kappa(H,M)}$ . Suppose given  $x \in H$ . We obtain

$$\begin{aligned}
 [x](f\Phi\Psi) &= \sum_j xv_j \cdot [\overline{xu_j S}](f\Phi) \\
 &= \sum_{i,j} xv_j \cdot xu_j Su_i \cdot [xu_j Sv_i S] f \\
 &\stackrel{(5)}{=} \sum_{i,j} xv_j \cdot xu_j v_i S \cdot [xu_j u_i S^2] f \\
 &\stackrel{(iv)}{=} \sum_{i,j} xv_j \cdot xu_j v_i S \cdot [xu_j u_i] f \\
 &\stackrel{(ii)}{=} \sum_{i,j} xv_j v_i \cdot xv_j u_i S \cdot [xu_j] f \\
 &\stackrel{(7)}{=} \sum_j xv_j \varepsilon \cdot [xu_j] f \\
 &\stackrel{(i)}{=} [x] f .
 \end{aligned}$$

We *claim* that  $\Psi\Phi = \text{id}_{\bar{R}(\bar{H},M)}$ . Suppose given  $x \in H$ . We obtain

$$\begin{aligned}
 [\bar{x}](g\Psi\Phi) &= \sum_i xu_i \cdot [xv_i S](g\Psi) \\
 &= \sum_{i,j} xu_i \cdot xv_i Sv_j \cdot [\overline{xv_i Su_j S}] g \\
 &\stackrel{(5)}{=} \sum_{i,j} xu_i \cdot xv_i u_j S \cdot [\overline{xv_i v_j S^2}] g \\
 &\stackrel{(iv)}{=} \sum_{i,j} xu_i \cdot xv_i u_j S \cdot [\overline{xv_i v_j}] g \\
 &\stackrel{(ii)}{=} \sum_{i,j} xu_i u_j \cdot xu_i v_j S \cdot [\overline{xv_i}] g \\
 &\stackrel{(iii')}{=} \sum_i xu_i \varepsilon \cdot [\overline{xv_i}] g \\
 &\stackrel{(i)}{=} [\bar{x}] g .
 \end{aligned}$$

Finally, it follows by  $\bar{H}$ -linearity of  $\Phi$  and by  $\Psi = \Phi^{-1}$  that  $\Psi$  is  $\bar{H}$ -linear.  $\square$

The tensor product  $N \otimes M$  is an  $H$ -module via  $\Delta$ . Note that  $R$  is an  $H$ -module via  $\varepsilon$ . Note that  $R \otimes M \simeq M \simeq M \otimes R$  as  $H$ -modules by (i, i').

REMARK 48 (CF. [3, LEM. 3.5.1]) *We have mutually inverse isomorphisms*

of  $R$ -modules

$$\begin{array}{ccc} \bar{H}(P, \kappa(Q, M)) & \xrightarrow[\sim]{\alpha} & H(P \otimes Q, M) \\ & f \mapsto & (p \otimes q \mapsto [q](pf)) \\ \bar{H}(P, \kappa(Q, M)) & \xleftarrow[\sim]{\beta} & H(P \otimes Q, M) \\ (p \mapsto (q \mapsto [p \otimes q]g)) & \longleftarrow & g, \end{array}$$

natural in  $P \in \text{Ob } \bar{H}\text{-Mod}$ ,  $Q \in \text{Ob } H\text{-Mod}$  and  $M \in \text{Ob } H\text{-Mod}$ .

*Proof.* We claim that  $\alpha$  is welldefined. We have to show that  $f\alpha$  is  $H$ -linear. Suppose given  $x \in H$ . We obtain

$$\begin{aligned} x \cdot (p \otimes q) &= \sum_i \overline{xu_i} \cdot p \otimes xv_i \cdot q \\ &\xrightarrow{f\alpha} \sum_i [xv_i \cdot q]((\overline{xu_i} \cdot p)f) \\ &= \sum_i [xv_i \cdot q](\overline{xu_i} \cdot (pf)) \\ &= \sum_{i,j} xu_i u_j \cdot [xu_i v_j S \cdot xv_i \cdot q](pf) \\ &\stackrel{(ii)}{=} \sum_{i,j} xu_i \cdot [xv_i u_j S \cdot xv_i v_j \cdot q](pf) \\ &\stackrel{(iii)}{=} \sum_i xu_i \cdot [xv_i \varepsilon \cdot q](pf) \\ &\stackrel{(i')}{=} x \cdot [q](pf) \\ &= x \cdot [p \otimes q](f\alpha). \end{aligned}$$

We claim that  $\beta$  is welldefined. First, we have to show that  $[p](g\beta)$  is  $K$ -linear. Suppose given  $a \in K$ . We obtain

$$a \cdot q \xrightarrow{[p](g\beta)} [p \otimes a \cdot q]g \stackrel{(i)}{=} \sum_i [\overline{au_i \varepsilon} \cdot p \otimes av_i \cdot q]g = \sum_i [\overline{au_i} \cdot p \otimes av_i \cdot q]g = a \cdot [p \otimes q]g.$$

Second, we have to show that  $g\beta$  is  $\bar{H}$ -linear. Suppose given  $x \in H$ . We obtain

$$\begin{aligned} \bar{x} \cdot p &\xrightarrow{g\beta} (q \mapsto [\bar{x} \cdot p \otimes q]g) \\ &\stackrel{(i)}{=} (q \mapsto \sum_i [\overline{xu_i} \cdot xv_i \varepsilon \cdot p \otimes q]g) \\ &\stackrel{(iii')}{=} (q \mapsto \sum_{i,j} [\overline{xu_i} \cdot p \otimes xv_i u_j \cdot xv_i v_j S \cdot q]g) \\ &\stackrel{(ii)}{=} (q \mapsto \sum_{i,j} [\overline{xu_i u_j} \cdot p \otimes xu_i v_j \cdot xv_i S \cdot q]g) \\ &= (q \mapsto \sum_i xu_i \cdot [p \otimes xv_i S \cdot q]g) \\ &= \bar{x} \cdot (q \mapsto [p \otimes q]g). \end{aligned}$$

Finally,  $\alpha$  and  $\beta$  are mutually inverse. □

**COROLLARY 49** We have  $\bar{H}(P, M^K) \simeq \bar{H}(P, \kappa(R, M)) \simeq H(P, M)$  as  $R$ -modules, natural in  $P$  and  $M$ .

*Proof.* Note that  $M \simeq {}_R(R, M)$  as  $H$ -modules, whence  $M^K \simeq {}_K(R, M)$  as  $\bar{H}$ -modules by Remarks 43, 44. Now the assertion follows from Remark 48, letting  $Q = R$ . □

## 8.2 COMPARING HOCHSCHILD-SERRE-HOPF WITH GROTHENDIECK

Let  $R$  be a commutative ring. Suppose given a Hopf algebra  $H$  over  $R$  (with involutive antipode) and a normal Hopf-subalgebra  $K \subseteq R$ ; cf. §8.1.3. Write  $\bar{H} := H/HK^+$ . Suppose  $H$ ,  $K$  and  $\bar{H}$  to be projective as modules over  $R$ . Suppose  $H$  to be projective as a module over  $K$ .

Let  $B \in \text{Ob } C(H\text{-Mod})$  be a projective resolution of  $R$  over  $H$ . Let  $\bar{B} \in \text{Ob } C(\bar{H}\text{-Mod})$  be a projective resolution of  $R$  over  $\bar{H}$ . Note that since  $\bar{H}$  is projective over  $R$ ,  $\bar{B}|_R \in \text{Ob } C(R\text{-Mod})$  is a projective resolution of  $R$  over  $R$ . Let  $M$  be an  $H$ -module.

By Corollary 45 and by Remark 46, we have a biadditive functor

$$\begin{array}{ccc} (H\text{-Mod})^\circ \times H\text{-Mod} & \xrightarrow{U} & \bar{H}\text{-Mod} \\ (X \quad , \quad X') & \longmapsto & U(X, X') := {}_K(X, X') . \end{array}$$

Write

$$\begin{array}{ccc} (\bar{H}\text{-Mod})^\circ \times \bar{H}\text{-Mod} & \xrightarrow{V} & \bar{H}\text{-Mod} \\ (Y \quad , \quad Y') & \longmapsto & V(N, M) := {}_{\bar{H}}(N, M) \end{array}$$

for the usual Hom-functor.

LEMMA 50 *The  $\bar{H}$ -module  $U(H, M)$  is  $V(R, -)$ -acyclic.*

*Proof.* By Lemma 47, this amounts to showing that  ${}_R(\bar{H}, M)$  is  $V(R, -)$ -acyclic, which in turn amounts to showing that  $V(\bar{B}, {}_R(\bar{H}, M)) = {}_{\bar{H}}(\bar{B}, {}_R(\bar{H}, M))$  has vanishing cohomology in degrees  $\geq 1$ . Now,

$${}_{\bar{H}}(\bar{B}, {}_R(\bar{H}, M)) \simeq {}_R(\bar{H} \otimes_{\bar{H}} \bar{B}, M) \simeq {}_R(\bar{B}, M) ,$$

whose cohomology in degree  $i \geq 1$  is  $\text{Ext}_R^i(R, M) \simeq 0$ . □

Consider the double complex

$$D(M) = D^{-\cdot=}(M) := V(\bar{B}_-, U(B_-, M)) = {}_{\bar{H}}(\bar{B}_-, {}_K(B_-, M)) .$$

Note that  $D(M)$  is isomorphic in  $\text{CC}^{\ulcorner}(R\text{-Mod})$  to  ${}_{\bar{H}}(\bar{B}_- \otimes_R B_-, M)$ , naturally in  $M$ ; cf. Remark 48.

We have functors

$$\begin{array}{ccccc} H\text{-Mod} & \xrightarrow{U(R, -)} & \bar{H}\text{-Mod} & \xrightarrow{V(R, -)} & R\text{-Mod} . \\ M & \longmapsto & U(R, M) \simeq M^K & & \\ & & P & \longmapsto & V(R, P) \simeq P^{\bar{H}} \end{array}$$

LEMMA 51 *Given a projective  $H$ -module  $P$ , the  $\bar{H}$ -module  $U(P, M)$  is  $V(R, -)$ -acyclic.*

*Proof.* It suffices to show that  $U(\coprod_{\Gamma} H, M) \simeq \prod_{\Gamma} U(H, M)$  is  $V(R, -)$ -acyclic for any indexing set  $\Gamma$ . By Lemma 50, it remains to be shown that  $R^i V(R, \prod_{\Gamma} Y)$  is isomorphic to  $\prod_{\Gamma} R^i V(R, Y)$  for a given  $\bar{H}$ -module  $Y$  and for  $i \geq 1$ . Having chosen an injective resolution  $J$  of  $Y$ , we may choose the injective resolution  $\prod_{\Gamma} J$  of  $\prod_{\Gamma} Y$ . Then

$$\begin{aligned} R^i V(R, \prod_{\Gamma} Y) &\simeq H^i V(R, \prod_{\Gamma} J) \simeq H^i \prod_{\Gamma} V(R, J) \\ &\simeq \prod_{\Gamma} H^i V(R, J) \simeq \prod_{\Gamma} R^i V(R, Y). \end{aligned}$$

□

**THEOREM 52** *The proper spectral sequences*

$$\dot{E}_I(D(M)) \quad \text{and} \quad \dot{E}_{U(R,-), V(R,-)}^{\text{Gr}}(M)$$

are isomorphic (in  $\mathbb{L}\dot{\mathbf{Z}}_{\infty}^{\#\#}, R\text{-Mod } \mathbb{I}$ ), naturally in  $M \in \text{Ob } H\text{-Mod}$ .

*Proof.* To apply Theorem 31 with, in the notation of §5.1,

$$\begin{aligned} & \left( \mathcal{A} \times \mathcal{A}' \xrightarrow{F} \mathcal{B} \xrightarrow{G} \mathcal{C} \right) \\ &= \left( (H\text{-Mod})^{\circ} \times H\text{-Mod} \xrightarrow{U} \bar{H}\text{-Mod} \xrightarrow{V(R,-)} R\text{-Mod} \right), \end{aligned}$$

and with  $X = R$  and  $X' = M$ , we verify the conditions (a–d') of loc. cit. in this case.

Ad (c). We *claim* that  $B$  is a  $(U(-, M), V(R, -))$ -acyclic resolution of  $R$ . We have to show that  $U(B_i, M)$  is  $V(R, -)$ -acyclic for  $i \geq 0$ ; cf. §4.2. Since  $B_i$  is projective over  $H$ , this follows by Lemma 51. This proves the *claim*.

Ad (c'). Let  $I$  be an injective resolution of  $M$  over  $H$ . We *claim* that  $I$  is a  $(U(R, -), V(R, -))$ -acyclic resolution of  $M$ . We have to show that  $U(R, I^i)$  is  $V(R, -)$ -acyclic for  $i \geq 0$ . In fact, by Corollary 49,  $U(R, I^i)$  is an injective  $\bar{H}$ -module. This proves the *claim*.

Ad (d, d'). We *claim* that  $U(B_i, -)$  and  $U(-, I^i)$  are exact for  $i \geq 0$ ; cf. §5.1. The former follows from  $H$  being projective over  $K$ . The latter is a consequence of  $I^i|_K$  being injective in  $K\text{-Mod}$  by exactness of  $K\text{-Mod} \xrightarrow{H \otimes_K -} H\text{-Mod}$ . This proves the *claim*.

So an application of Theorem 31 yields

$$\dot{E}_{U(R,-), V(R,-)}^{\text{Gr}}(M) \simeq \dot{E}_{U(-,M), V(R,-)}^{\text{Gr}}(R).$$

To apply Theorem 34 with, in the notation of §6.1,

$$\begin{aligned} & \left( \mathcal{A} \xrightarrow{F} \mathcal{B}' , \mathcal{B} \times \mathcal{B}' \xrightarrow{G} \mathcal{C} \right) \\ &= \left( (H\text{-Mod})^{\circ} \xrightarrow{U(-,M)} \bar{H}\text{-Mod} , (\bar{H}\text{-Mod})^{\circ} \times \bar{H}\text{-Mod} \xrightarrow{V} \mathcal{C} \right), \end{aligned}$$

and with  $X = R$  and  $Y = R$ , we verify the conditions (a-e) of loc. cit. in this case.

Ad (c). We have already remarked that  $B$  is a  $(U(-, M), V(R, -))$ -acyclic resolution of  $R$ .

Ad (d). As a resolution of  $R$  over  $\bar{H}$ , we choose  $\bar{B}$ .

So an application of Theorem 34 yields

$$\dot{E}_{U(-,M),V(R,-)}^{\text{Gr}}(R) \simeq \dot{E}_I(V(\bar{B}_-, U(B_-, M))) .$$

Naturality in  $M \in \text{Ob } H\text{-Mod}$  remains to be shown. Suppose given  $M \xrightarrow{m} \tilde{M}$  in  $H\text{-Mod}$ . Note that the requirements of §5.2 are met. By Proposition 32, with roles of  $\mathcal{A}$  and  $\mathcal{A}'$  interchanged, we have the following commutative quadrangle.

$$\begin{array}{ccc} \dot{E}_{U(R,-),V(R,-)}^{\text{Gr}}(M) & \xrightarrow{\dot{E}_{U(R,-),V(R,-)}^{\text{Gr}}(m)} & \dot{E}_{U(R,-),V(R,-)}^{\text{Gr}}(\tilde{M}) \\ \uparrow \wr & & \uparrow \wr \\ \dot{E}_{U(-,M),V(R,-)}^{\text{Gr}}(R) & \xrightarrow{h_{U(-,m)}^I R} & \dot{E}_{U(-,\tilde{M}),V(R,-)}^{\text{Gr}}(R) \end{array}$$

Note that the requirements of §6.2 are met. By Lemma 36, we have the following commutative quadrangle.

$$\begin{array}{ccc} \dot{E}_{U(-,M),V(R,-)}^{\text{Gr}}(R) & \xrightarrow{h_{U(-,m)}^I R} & \dot{E}_{U(-,\tilde{M}),V(R,-)}^{\text{Gr}}(R) \\ \downarrow \wr & & \downarrow \wr \\ \dot{E}_I(V(\bar{B}_-, U(B_-, M))) & \xrightarrow{\dot{E}_I(V(\bar{B}_-, U(B_-, m)))} & \dot{E}_I(V(\bar{B}_-, U(B_-, \tilde{M}))) \end{array}$$

□

### 8.3 COMPARING LYNDON-HOCHSCHILD-SERRE WITH GROTHENDIECK

Let  $R$  be a commutative ring. Let  $G$  be a group and let  $N \trianglelefteq G$  be a normal subgroup. Write  $\bar{G} := G/N$ . Let  $M$  be an  $RG$ -module. Write  $\text{Bar}_{G;R} \in \text{Ob } \mathcal{C}(RG\text{-Mod})$  for the bar resolution of  $R$  over  $RG$ , having  $(\text{Bar}_{G;R})_i = RG^{\otimes(i+1)}$  for  $i \geq 0$ , the tensor product being taken over  $R$ .

Note that  $RG$  is a Hopf algebra over  $R$  via

$$\begin{array}{ccc} RG & \xrightarrow{\Delta} & RG \otimes RG, \quad g \longmapsto g \otimes g \\ RG & \xrightarrow{S} & RG, \quad g \longmapsto g^{-1} \\ RG & \xrightarrow{\varepsilon} & R, \quad g \longmapsto 1, \end{array}$$

where  $g \in G$ ; cf. §8.1.1. Moreover,  $RN$  is a normal Hopf subalgebra of  $RG$  such that  $RG/(RG)(RN)^+ \simeq R\bar{G}$ ; cf. §8.1.3.

Note that  $RG$ ,  $RN$  and  $R\bar{G}$  are projective over  $R$ , and that  $RG$  is projective over  $RN$ .

We have functors  $RG\text{-Mod} \xrightarrow{(-)^N} R\bar{G}\text{-Mod} \xrightarrow{(-)^{\bar{G}}} R\text{-Mod}$ , taking respective fixed points.

THEOREM 53 (BEYL, [4, TH. 3.5]) *The proper spectral sequences*

$$\dot{E}_{(-)^N, (-)^{\bar{G}}}^{\text{Gr}}(M) \quad \text{and} \quad \dot{E}_I\left( {}_{RG}((\text{Bar}_{\bar{G};R})_- \otimes_R (\text{Bar}_{G;R})_+, M) \right)$$

are isomorphic (in  $\mathbb{I}\dot{Z}_{\infty}^{\#\#}, R\text{-Mod}\mathbb{I}$ ), naturally in  $M \in \text{Ob } RG\text{-Mod}$ .

BEYL uses his Theorem 40 to prove Theorem 53. We shall re-derive it from Theorem 52, which in turn relies on the Theorems 31 and 34.

*Proof.* This follows by Theorem 52. □

#### 8.4 COMPARING HOCHSCHILD-SERRE WITH GROTHENDIECK

Let  $R$  be a commutative ring. Let  $\mathfrak{g}$  be a Lie algebra over  $R$  that is free as an  $R$ -module. Let  $\mathfrak{n} \trianglelefteq \mathfrak{g}$  be an ideal such that  $\mathfrak{n}$  and  $\bar{\mathfrak{g}} := \mathfrak{g}/\mathfrak{n}$  are free as  $R$ -modules. Let  $M$  be a  $\mathfrak{g}$ -module, i.e. a  $\mathcal{U}(\mathfrak{g})$ -module. Write  $\text{Bar}_{\mathfrak{g};R} \in \text{Ob } C(\mathcal{U}(\mathfrak{g})\text{-Mod})$  for the Chevalley-Eilenberg resolution of  $R$  over  $\mathcal{U}(\mathfrak{g})$ , having  $(\text{Bar}_{\mathfrak{g};R})_i = \mathcal{U}(\mathfrak{g}) \otimes_R \wedge^i \mathfrak{g}$  for  $i \geq 0$ ; cf. [5, XIII.§7] or [18, Th. 7.7.2]. Note that  $\mathcal{U}(\mathfrak{g})$  is a Hopf algebra over  $R$  via

$$\begin{array}{lll} \mathcal{U}(\mathfrak{g}) & \xrightarrow{\Delta} & \mathcal{U}(\mathfrak{g}) \otimes \mathcal{U}(\mathfrak{g}), \quad g \longmapsto g \otimes 1 + 1 \otimes g \\ \mathcal{U}(\mathfrak{g}) & \xrightarrow{S} & \mathcal{U}(\mathfrak{g}), \quad g \longmapsto -g \\ \mathcal{U}(\mathfrak{g}) & \xrightarrow{\varepsilon} & R, \quad g \longmapsto 0, \end{array}$$

where  $g \in \mathfrak{g}$ ; cf. §8.1.1.

Note that  $\mathcal{U}(\mathfrak{g})$ ,  $\mathcal{U}(\mathfrak{n})$  and  $\mathcal{U}(\bar{\mathfrak{g}})$  are projective over  $R$ , and that  $\mathcal{U}(\mathfrak{g})$  is projective over  $\mathcal{U}(\mathfrak{n})$ ; cf. [18, Cor. 7.3.9].

We have functors  $\mathcal{U}(\mathfrak{g})\text{-Mod} \xrightarrow{(-)^{\mathfrak{n}}} \mathcal{U}(\bar{\mathfrak{g}})\text{-Mod} \xrightarrow{(-)^{\bar{\mathfrak{g}}}} R\text{-Mod}$ , taking respective annihilated submodules; cf. [18, p. 221].

THEOREM 54 *The proper spectral sequences*

$$\dot{E}_{(-)^{\mathfrak{n}}, (-)^{\bar{\mathfrak{g}}}}^{\text{Gr}}(M) \quad \text{and} \quad \dot{E}_I\left( {}_{\mathcal{U}(\mathfrak{g})}((\text{Bar}_{\bar{\mathfrak{g}};R})_- \otimes_R (\text{Bar}_{\mathfrak{g};R})_+, M) \right)$$

are isomorphic (in  $\mathbb{I}\dot{Z}_{\infty}^{\#\#}, R\text{-Mod}\mathbb{I}$ ), naturally in  $M \in \text{Ob } \mathcal{U}(\mathfrak{g})\text{-Mod}$ .

Cf. BARNES, [2, Sec. IV.4, Ch. VII].

*Proof.* This follows by Theorem 52. □

## 8.5 COMPARING TWO SPECTRAL SEQUENCES FOR A CHANGE OF RINGS

The following application is taken from [5, XVI.§6].

Let  $R$  be a commutative ring. Let  $A \xrightarrow{\phi} B$  be a morphism of  $R$ -algebras. Consider the functors

$$A\text{-Mod} \xrightarrow{A(B,-)} B\text{-Mod}, \quad (B\text{-Mod})^\circ \times B\text{-Mod} \xrightarrow{B(-,=)} R\text{-Mod}.$$

Let  $X$  be an  $A$ -module, let  $Y$  be a  $B$ -module.

We shall compare two spectral sequences with  $E_2$ -terms  $\text{Ext}_B^i(Y, \text{Ext}_A^j(B, X))$ , converging to  $\text{Ext}_A^{i+j}(Y, X)$ . If one views  $X \uparrow_A^B := {}_A(B, X)$  as a way to induce from  $A\text{-Mod}$  to  $B\text{-Mod}$ , this measures the failure of the Eckmann-Shapiro-type formula  $\text{Ext}_B^i(Y, X \uparrow_A^B) \stackrel{?}{\simeq} \text{Ext}_A^i(Y, X)$ , which holds if  $B$  is projective over  $A$ .

Let  $I \in \text{Ob } C^{[0]}(A\text{-Mod})$  be an injective resolution of  $X$ . Let  $P \in \text{Ob } C^{[0]}(B\text{-Mod})$  be a projective resolution of  $Y$ .

PROPOSITION 55 *The proper spectral sequences*

$$\dot{E}_{A(B,-), B(Y,-)}^{\text{Gr}}(X) \quad \text{and} \quad \dot{E}_I({}_B(P-, {}_A(B, I^=)))$$

are isomorphic (in  $\mathbb{I}^{\dot{\mathbb{Z}}_{\infty}^{\#\#}, R\text{-Mod}}$ ).

*Proof.* To apply Theorem 34, it suffices to remark that for each injective  $A$ -module  $I'$ , the  $B$ -module  ${}_A(B, I')$  is injective, and thus  ${}_B(Y, -)$ -acyclic.  $\square$

REMARK 56 The functor  ${}_A(B, -)$  can be replaced by  ${}_A(M, -)$ , where  $M$  is an  $A$ - $B$ -bimodule that is flat over  $B$ .

8.6 COMPARING TWO SPECTRAL SEQUENCES FOR  $\text{Ext}$  AND  $\otimes$ 

Let  $R$  be a commutative ring. Let  $S$  be a ring. Let  $A$  be an  $R$ -algebra. Let  $M$  be an  $R$ - $S$ -bimodule. Let  $X$  and  $X'$  be  $A$ -modules. Assume that  $X$  is flat over  $R$ . Assume that  $\text{Ext}_R^i(M, X') \simeq 0$  for  $i \geq 1$ .

EXAMPLE 57 Let  $T$  be a discrete valuation ring, with maximal ideal generated by  $t$ . Let  $R = T/t^\ell$  for some  $\ell \geq 1$ . Let  $S = T/t^k$ , where  $1 \leq k \leq \ell$ . Let  $G$  be a finite group, and let  $A = RG$ . Let  $M = S$ . Let  $X$  and  $X'$  be  $RG$ -modules that are both finitely generated and free over  $R$ .

Consider the functors

$$(A\text{-Mod})^\circ \times A\text{-Mod} \xrightarrow{A(-,=)} R\text{-Mod} \xrightarrow{R(M,-)} S\text{-Mod}$$

PROPOSITION 58 *The proper Grothendieck spectral sequences*

$$\mathring{E}_{A(X,-), R(M,-)}^{\text{Gr}}(X') \quad \text{and} \quad \mathring{E}_{A(-,X'), R(M,-)}^{\text{Gr}}(X)$$

are isomorphic (in  $\mathbb{L}\mathring{Z}_{\infty}^{\#\#}, S\text{-Mod}$ ).

Both have  $E_2$ -terms  $\text{Ext}_R^i(M, \text{Ext}_A^j(X, X'))$ , and both converge to  $\text{Ext}_A^{i+j}(X \otimes_R M, X')$ . In particular, in the situation of Example 57, both have  $E_2$ -terms  $\text{Ext}_R^i(S, \text{Ext}_{RG}^j(X, X'))$  and converge to  $\text{Ext}_{RG}^{i+j}(X/t^k, X')$ .

*Proof of Proposition 58.* To apply Theorem 31, we comment on the conditions in §5.1.

- (c) Given a projective  $A$ -module  $P$ , we want to show that the  $R$ -module  ${}_A(P, X')$  is  ${}_R(M, -)$ -acyclic. We may assume that  $P = A$ , which is to be viewed as an  $A$ - $R$ -bimodule. Now, we have  $\text{Ext}_R^i(M, {}_A(A, X')) \simeq \text{Ext}_R^i(M, X') \simeq 0$  for  $i \geq 1$  by assumption.
- (c') Given an injective  $A$ -module  $I'$ , the  $R$ -module  ${}_A(X, I')$  is injective since  $X$  is flat over  $R$  by assumption. □

8.7 COMPARING TWO SPECTRAL SEQUENCES FOR  $\mathcal{E}xt$  OF SHEAVES

Let  $T \xrightarrow{f} S$  be a flat morphism of ringed spaces, i.e. suppose that

$$\mathcal{O}_T \otimes_{f^{-1}\mathcal{O}_S} - : f^{-1}\mathcal{O}_S\text{-Mod} \longrightarrow \mathcal{O}_T\text{-Mod}$$

is exact. Consequently,  $f^* : \mathcal{O}_S\text{-Mod} \longrightarrow \mathcal{O}_T\text{-Mod}$  is exact.

Given  $\mathcal{O}_S$ -modules  $\mathcal{F}$  and  $\mathcal{F}'$ , we abbreviate  ${}_{\mathcal{O}_S}(\mathcal{F}, \mathcal{F}') := \text{Hom}_{\mathcal{O}_S}(\mathcal{F}, \mathcal{F}') \in \text{Ob } R\text{-Mod}$  and  ${}_{\mathcal{O}_S}(\mathcal{F}, \mathcal{F}') := \mathcal{H}om_{\mathcal{O}_S}(\mathcal{F}, \mathcal{F}') \in \text{Ob } \mathcal{O}_S\text{-Mod}$ .

Let  $\mathcal{F}$  be an  $\mathcal{O}_S$ -module that has a locally free resolution  $\mathcal{B} \in \text{Ob } \mathcal{C}(\mathcal{O}_S\text{-Mod})$ ; cf. [9, Prop. III.6.5]. Let  $\mathcal{G} \in \text{Ob } \mathcal{O}_T\text{-Mod}$ . Let  $\mathcal{A} \in \text{Ob } \mathcal{C}^{[0]}(\mathcal{O}_T\text{-Mod})$  be an injective resolution of  $\mathcal{G}$ .

Consider the functors

$$\mathcal{O}_T\text{-Mod} \xrightarrow{f_*} \mathcal{O}_S\text{-Mod}, \quad (\mathcal{O}_S\text{-Mod})^\circ \times \mathcal{O}_S\text{-Mod} \xrightarrow{{}_{\mathcal{O}_S}((-,-)}} \mathcal{O}_S\text{-Mod}.$$

PROPOSITION 59 *The proper spectral sequences*

$$\mathring{E}_{f_*, {}_{\mathcal{O}_S}(\mathcal{F}, -)}^{\text{Gr}}(\mathcal{G}) \quad \text{and} \quad \mathring{E}_{\mathbb{I}({}_{\mathcal{O}_S}(\mathcal{B}_-, f_*\mathcal{A}^-))}$$

are isomorphic (in  $\mathbb{L}\mathring{Z}_{\infty}^{\#\#}, \mathcal{O}_S\text{-Mod}$ ).

In particular, both spectral sequences have  $E_2$ -terms  $\mathcal{E}xt_{\mathcal{O}_S}^i(\mathcal{F}, (R^j f_*)(\mathcal{G}))$  and converge to  $(R^{i+j} \mathbb{I}_{\mathcal{F}})(\mathcal{G})$ , where  $\mathbb{I}_{\mathcal{F}}(-) := {}_{\mathcal{O}_S}(\mathcal{F}, f_*(-)) \simeq f_* {}_{\mathcal{O}_T}((f^*\mathcal{F}, -))$ . For example, if  $S = \{*\}$  is a one-point-space and if we write  $R := \mathcal{O}_S(S)$ , then we can identify  $\mathcal{O}_S\text{-Mod} = R\text{-Mod}$ . If, in this case,  $\mathcal{F} = R/rR$  for some  $r \in R$ , then  $\mathbb{I}_{R/rR}(\mathcal{G}) \simeq \Gamma(T, \mathcal{G})[r] := \{g \in \mathcal{G}(T) : rg = 0\}$ .

*Proof of Proposition 59.* To apply Theorem 34, we comment on the conditions in §6.1.

- (c) Since  $f_*$  maps injective  $\mathcal{O}_T$ -modules to injective  $\mathcal{O}_S$ -modules by flatness of  $T \xrightarrow{f} S$ , the complex  $\mathcal{A}$  is an  $(f_*, \mathcal{O}_S((\mathcal{F}, -)))$ -acyclic resolution of  $\mathcal{G}$ .
- (e) If  $\mathcal{I}$  is an injective  $\mathcal{O}_S$ -module and  $U \subseteq S$  is an open subset, then  $\mathcal{I}|_U$  is an injective  $\mathcal{O}_U$ -module; cf. [9, Lem. III.6.1]. Hence  $\mathcal{O}_S((-, \mathcal{I}))$  turns a short exact sequence of  $\mathcal{O}_S$ -modules into a sequence that is short exact as a sequence of abelian presheaves, and hence a fortiori short exact as a sequence of  $\mathcal{O}_S$ -modules. In other words, the functor  $\mathcal{O}_S((-, \mathcal{I}))$  is exact.  $\square$

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