# COHOMOLOGICAL INVARIANTS FOR G-GALOIS ALGEBRAS AND SELF-DUAL NORMAL BASES

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ABSTRACT. We define degree two cohomological invariants for G-Galois algebras over fields of characteristic not 2, and use them to give necessary conditions for the existence of a self-dual normal basis. In some cases (for instance, when the field has cohomological dimension  $\leq 2$ ) we show that these conditions are also sufficient.

# INTRODUCTION

Let k be a field of characteristic  $\neq 2$ , and let L be a finite degree Galois extension of k. Let G = Gal(L/k). The trace form of L/k is by definition the quadratic form  $q_L : L \times L \to k$  defined by  $q_L(x, y) = \text{Tr}_{L/k}(xy)$ . Note that  $q_L$  is a G-quadratic form, in other words we have  $q_L(gx, gy) = q_L(x, y)$ for all  $x, y \in L$ . A normal basis  $(gx)_{g\in G}$  of L over k is said to be self-dual if  $q_L(gx, gx) = 1$  and  $q_L(gx, hx) = 0$  if  $g \neq h$ . It is natural to ask which extensions have a self-dual normal basis. This question is investigated in several papers (see for instance [BL 90], [BSe 94], [BPS 13]). It is necessary to work in a more general context than the one of Galois extensions, namely that of G-Galois algebras (see for instance [BSe 94], §1); one advantage being that this category is stable by base change of the ground field; the notion of a self-dual normal basis is defined in the same way.

If k is a global field, then the Hasse principle holds : a G-Galois algebra has a self-dual normal basis over k if and only if such a basis exists everywhere locally (see [BPS 13]). The present paper completes this result by giving necessary and sufficient conditions for the existence of a self-dual normal basis when k is a local field (cf.  $\S7$ ). The conditions are given in terms of cohomological invariants defined over the ground field k constructed in  $\S3$  and  $\S4$ .

For an arbitrary ground field k, we start with the  $H^1$ -invariants defined in [BSe 94], §2. Recall from [BSe 94] that the vanishing of these invariants is a necessary condition for the existence of a self-dual normal basis; it is also sufficient in the case of fields of cohomological dimension 1 (see [BSe 94], Corollary 2.2.2 and Proposition 2.2.4).

Let k[G] be the group algebra of G over k, and let J be its radical; the quotient  $k[G]^s = k[G]/J$  is a semisimple k-algebra. Let  $\sigma : k[G] \to k[G]$  be the k-linear involution sending g to  $g^{-1}$ ; it induces an involution  $\sigma^s : k[G]^s \to k[G]^s$ . The algebra  $k[G]^s$  splits as a product of simple algebras. If A is a  $\sigma^s$ -stable simple algebra which is a factor of  $k[G]^s$ , we denote by  $\sigma_A$  the restriction of  $\sigma^s$  to A, and by  $E_A$  the subfield of the center of A fixed by  $\sigma_A$ . We say that A is orthogonal if  $\sigma_A$  is the identity on the center of A, and if over a separable closure of k it is induced by a symmetric form, and unitary if  $\sigma_A$  is not the identity on the center of A (see 1.3 for details).

Let L be a G-Galois algebra over k, and let us assume that its  $H^1$ -invariants are trivial. We then define, for every orthogonal or unitary A as above, cohomology classes in  $H^2(k, \mathbb{Z}/2\mathbb{Z})$ , denoted by  $c_A(L)$  in the orthogonal case and by  $d_A(L)$ in the unitary case (see §3 and §4). They are invariants of the G-Galois algebra L. They also provide necessary conditions for the existence of a self-dual normal basis (this involves restriction to certain finite degree extensions of k, namely, the extensions  $E_A/k$ ; see Propositions 3.5 and 4.7 for precise statements). If moreover k has cohomological dimension  $\leq 2$ , then these conditions are also sufficient (Theorem 5.3.). Finally, if k is a local field, then the conditions can be expressed in terms of the invariants  $c_A(L)$  and  $d_A(L)$ , without passing to finite degree extensions (Theorem 7.1). Section 8 applies the results of §7 and the Hasse principle of [BSP 13] to give necessary and sufficient conditions for the existence of a self-dual normal basis when k is a global field (Theorem 8.1).

Section 6 deals with the case of cyclic groups of order a power of 2 over arbitrary fields. We show that at most one of the unitary components A gives rise to a non-trivial invariant  $d_A(L)$  (Proposition 6.4 (i)), and that this invariant provides a necessary and sufficient condition for the existence of a self-dual normal basis (Corollary 6.5).

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### §1. Definitions, notation and basic facts

# 1.1. Galois cohomology

We use standard notation in Galois cohomology. If K is a field, we denote by  $K_s$  a separable closure of K, and by  $\Gamma_K$  the Galois group  $\operatorname{Gal}(K_s/K)$ . For any

discrete  $\Gamma_K$ -module C, set  $H^i(K, C) = H^i(\Gamma_K, C)$ . If  $\Gamma$  is a finite or profinite group, set  $H^i(\Gamma) = H^i(\Gamma, Z/2Z)$ . If U is a K-group scheme, we denote by  $H^1(K, U)$  the pointed set  $H^1(\Gamma_K, U(K_s))$ .

#### 1.2. Algebras with involution and unitary groups

Let K be a field of characteristic  $\neq 2$ , and let R be a finite dimensional algebra over K. An *involution* of R is a K-linear anti-automorphism  $\sigma : R \to R$  such that  $\sigma^2$  is the identity.

Let us denote by  $\operatorname{Comm}_K$  the category of commutative K-algebras, and by Group the category of groups. If  $(R, \sigma)$  is an algebra with involution, the functor  $\operatorname{Comm}_K \to \operatorname{Group}$  given by  $S \mapsto \{x \in R \otimes_K S \mid x\sigma(x) = 1\}$  is the functor of points of a scheme over  $\operatorname{Spec}(K)$ ; we denote it by  $U_{R,K}$ .

Let  $h = \langle 1 \rangle$  be the rank one unit hermitian form over  $(R, \sigma)$ , given by  $h(x, y) = x\sigma(y)$  for all  $x, y \in R$ . Then  $U_{R,K}$  is the scheme of automorphisms of the hermitian form h. This is a smooth, finitely presented affine group scheme over Spec(K) (see for instance [BF 15], Appendix A). Moreover,  $H^1(K, U_{R,K})$  is in natural bijection with the set of isomorphism classes of rank one hermitian forms over  $(R, \sigma)$  that become isomorphic to h over  $K_s$  (see [Se 64], chap. III, §1).

If F is a subfield of K, then  $U_{R,F} = \mathbb{R}_{K/F}(U_{R,K})$ , where  $\mathbb{R}_{K/F}$  denotes Weil restriction of scalars relative to the extension K/F.

Let Z be the center of R, and assume that R is a simple algebra. We say that  $(R, \sigma)$  is a *central simple algebra with involution over* K if the fixed field of  $\sigma$  in Z is equal to K. If  $(R, \sigma)$  is central simple algebra with involution over K, we set  $U_R = U_{R,K}$ .

#### 1.3. Dévissage

Let G be a finite group and let k[G] be its group algebra over k. The canonical involution of k[G] is the k-linear involution  $\sigma : k[G] \to k[G]$  such that  $\sigma(g) = g^{-1}$  for all  $g \in G$ . Let J be the radical of k[G], and set  $k[G]^s = k[G]/J$ ; it is a semisimple k-algebra. Since J is stable by  $\sigma$ , we obtain an involution  $\sigma^s : k[G]^s \to k[G]^s$ . Set  $U_G = U_{k[G],k}$  and  $U_G^r = U_{k[G]^s,k}$ . Let N be the kernel of the natural surjection  $U_G \to U_G^r$ . Let us define group schemes  $N_i$  by setting  $N_i(S) = \{x \in N(S) \mid x \equiv 1 \mod J^i \otimes_k S\}$ . Then  $1 = N_m \subset N_{m-1} \subset \cdots \subset N_1 = N$ , where m is an integer such that  $J^m = 0$ . Note that  $J^i/J^{i+1}$  is a module over the semisimple algebra  $k[G]^s$ , hence  $N_i/N_{i-1}$  is isomorphic to a finite product of additive groups  $G_a$ ; therefore N is a split unipotent group. This implies that  $H^1(k, U_G) = H^1(k, U_G^r)$  (see for instance [Sa 81], Lemme 1.13).

The semisimple algebra  $k[G]^s$  is known to be a direct product of simple algebras. Note that k[G] comes by scalar extension from  $k_0[G]$  for  $k_0 = Q$  or  $F_p$ , hence

the centers of the factors of  $k[G]^s$  are abelian Galois extensions of k of finite degree; some are stable under  $\sigma^s$  (we call them A), and others come in pairs, interchanged by  $\sigma^s$  (we call them B).

If A is a  $\sigma^s$ -stable simple factor of  $k[G]^s$ , we denote by  $\sigma_A$  the restriction of  $\sigma^s$  to A, by  $F_A$  the center of A, and by  $E_A$  the subfield of  $\sigma_A$ -invariant elements of  $F_A$ . Note that  $U_A$  is a group scheme over  $\text{Spec}(E_A)$ . Similarly, if B is the product of two simple algebras interchanged by  $\sigma^s$ , we denote by  $E_B$  the subfield of the center of B fixed by the involution;  $U_{B,E_B}$  is a group scheme over  $\text{Spec}(E_B)$ .

We have  $U_G^r \simeq \prod_A \mathbf{R}_{E_A/k}(U_A) \times \prod_B \mathbf{R}_{E_B/k}(U_{B,E_B})$ , hence

$$H^{1}(k, U_{G}^{r}) = \prod_{A} H^{1}(k, \mathcal{R}_{E_{A}/k}(U_{A})) \times \prod_{B} H^{1}(k, \mathcal{R}_{E_{B}/k}(U_{B, E_{B}})).$$

Note that  $H^1(k, \mathbb{R}_{E_B/k}(U_{B,E_B})) = H^1(E_B, U_{B,E_B}) = 0$  (see for instance [KMRT 98], (29.2)), that  $H^1(k, \mathbb{R}_{E_A/k}(U_A)) = H^1(E_A, U_A)$  (see for instance [O 84], 2.3), and that  $H^1(k, U_G) = H^1(k, U_G')$  (see above). Therefore we have

$$H^1(k, U_G) = \prod_A H^1(E_A, U_A).$$

The algebras with involution  $(A, \sigma_A)$  appearing in this product are of three types :

(a) The involution  $\sigma_A : A \to A$  is not the identity on the center  $F_A$  of A. Hence  $F_A/E_A$  is a quadratic extension. Such an algebra with involution is called *unitary*; the group scheme  $U_A$  is of Dynkin type A.

(b) The involution  $\sigma_A : A \to A$  is the identity on  $F_A$  (which is then equal to  $E_A$ ), and, over a separable closure of  $E_A$ , the involution is induced by a symmetric form. Such an algebra with involution is called *orthogonal*; the group scheme  $U_A$  is of Dynkin type B or D.

(c) The involution  $\sigma_A : A \to A$  is the identity on  $F_A$  (which is then equal to  $E_A$ ), and, over a separable closure of  $E_A$ , the involution is induced by a skew-symmetric form. Such an algebra with involution is called *symplectic*; the group scheme  $U_A$  is of Dynkin type C.

#### 1.4. G-quadratic forms

A *G*-quadratic form is a pair (M, q), where M is a k[G]-module that is a finite dimensional k-vector space, and  $q: M \times M \to k$  is a non-degenerate symmetric bilinear form such that

$$q(gx, gy) = q(x, y)$$

for all  $x, y \in M$  and all  $g \in G$ . We say that two *G*-quadratic forms (M, q) and (M', q') are *isomorphic* if there exists an isomorphism of k[G]-modules

 $f: M \to M'$  such that q'(f(x), f(y)) = q(x, y) for all  $x, y \in M$ . If this is the case, we write  $(M, q) \simeq_G (M', q')$ , or  $q \simeq_G q'$ . It is well-known that Gquadratic forms correspond bijectively to non-degenerate hermitian forms over  $(k[G], \sigma)$  (see for instance [BPS 13], 2.1, Example on page 441). The unit Gform is by definition the pair  $(k[G], q_0)$ , where  $q_0$  is the G-form characterized by q(g, g) = 1 and q(g, h) = 0 if  $g \neq h$ , for  $g, h \in G$ .

## 1.5. Trace forms of G-Galois algebras

If L is an étale k-algebra, we denote by

$$q_L: L \times L \to k, \quad q_L(x,y) = \operatorname{Tr}_{L/k}(xy),$$

its trace form. Then  $q_L$  is a non-degenerate quadratic form over k; if moreover L is a G-Galois algebra, then  $q_L$  is a G-quadratic form.

Let L be a G-Galois algebra; then L has a self-dual normal basis over k if and only if  $q_L$  is isomorphic to  $q_0$  as a G-quadratic form. Let  $\phi : \Gamma_k \to G$  be a continuous homomorphism corresponding to L (see for instance [BSe 94], 1.3). Recall that  $\phi$  is unique up to conjugation. The composition

$$\Gamma_k \xrightarrow{\phi} G \to U_G(k) \to U_G(k_s)$$

is a 1-cocycle  $\Gamma_k \to U_G(k_s)$ . Let u(L) be its class in the cohomology set  $H^1(k, U_G)$ ; it does not depend on the choice of  $\phi$ . The *G*-Galois algebra *L* has a self-dual normal basis over *k* if and only if u(L) = 0, cf. [BSe 94], Corollaire 1.5.2.

Recall from 1.3 that we have

$$H^1(k, U_G) = \prod_A H^1(E_A, U_A).$$

Let  $u_A(L)$  be the image of u(L) in  $H^1(E_A, U_A)$ ; note that L has a self-dual normal basis if and only if  $u_A(L) = 0$  for every A.

Let A be as above. Composing the injection  $G \to U_G(k)$  with the natural map  $U_G(k) \to U_G^r(k) \to \mathbb{R}_{E_A/k}(U_A)(k) = U_A(E_A)$ , we obtain a homomorphism  $G \to U_A(E_A)$ , denoted by  $i_A$ .

Let  $\phi_A : \Gamma_{E_A} \to \Gamma_k \to G$  be the composition of  $\phi : \Gamma_k \to G$  with the inclusion of  $\Gamma_{E_A}$  in  $\Gamma_k$ . Composing  $\phi_A$  with the map  $i_A : G \to U_A(E_A)$  defined above we obtain a 1-cocycle  $\Gamma_{E_A} \to U_A(k_s)$ . The class of this 1-cocycle in  $H^1(E_A, U_A)$ is equal to  $u_A(L)$ .

# §2. The $H^1$ -condition

Let L be a G-Galois algebra over k, and let  $\phi : \Gamma_k \to G$  be a homomorphism corresponding to L. Let n be an integer  $\geq 1$ . Then  $\phi$  induces a homomorphism

$$\phi^*: H^n(G) \to H^n(k, Z/2Z).$$

Note that  $\phi^*$  is independent of the choice of  $\phi$  in its conjugacy class (see [Se 68], chap. VII, proposition 3). For all  $x \in H^n(G)$ , set  $x_L = \phi^*(x)$ .

PROPOSITION 2.1. If L has a self-dual normal basis over k, then for all  $x \in H^1(G)$  we have  $x_L = 0$ .

PROOF. See [BSe 94], Corollaire 2.2.2.

If  $cd_2(\Gamma_k) \leq 1$ , then L has a self-dual normal basis over k if and only if  $x_L = 0$  for all  $x \in H^1(G)$ , see [BSe 94], Proposition 2.2.4.

We say that the  $H^1$ -condition is satisfied if  $x_L = 0$  for all  $x \in H^1(G)$ . Let  $G^2$  be the subgroup of G generated by the squares of elements of G. Note that  $G/G^2$  is an elementary abelian 2-group, and that the  $H^1$ -condition means that the homomorphism  $\Gamma_k \to G \to G/G^2$  induced by  $\phi$  is trivial, i.e.  $\phi(\Gamma_k) \subset G^2$ .

#### §3. Orthogonal invariants

We keep the notation of the previous sections. In particular, G is a finite group, L is a G-Galois algebra, and  $\phi : \Gamma_k \to G$  is a homomorphism corresponding to L. Let us suppose that the  $H^1$ -condition is satisfied.

Let A be an orthogonal  $\sigma^s$ -stable central simple factor of  $k[G]^s$  (see 1.3), and recall that the center of A is denoted by  $E_A$ . Let us denote by  $\langle A \rangle$  the subgroup of  $\operatorname{Br}(E_A)$  generated by the class of the algebra A. Note that since  $\sigma_A : A \to A$ is an orthogonal involution, this class has order at most 2, hence  $\langle A \rangle$  is a subgroup of  $\operatorname{Br}_2(E_A)$ .

The aim of this section is to define two invariants : an invariant  $c_A(L) \in H^2(k)$ of the *G*-Galois algebra *L*, and an invariant  $\operatorname{clif}_A(q_L) \in \operatorname{Br}_2(E_A)/\langle A \rangle$  of the *G*-form  $q_L$ . We shall compare these two invariants (cf. Theorem 3.3), and give a necessary condition for the existence of self-dual normal bases (Corollary 3.5).

Let  $U_A^0$  be the connected component of the identity in  $U_A$ . Let  $i_A : G \to U_A(E_A)$  be the homomorphism defined in 1.5, and let  $\pi : U_A(E_A) \to U_A(E_A)/U_A^0(E_A)$  be the projection. Since  $U_A(E_A)/U_A^0(E_A)$  is of order  $\leq 2$ , we have  $\pi(i_A(G^2)) = 0$ ; i.e.  $i_A(G^2) \subset U_A^0(E_A)$ .

Let  $\tilde{U}_A$  be the Spin group of  $(A, \sigma)$ ; note that if  $\dim_k(A) \geq 3$ , then  $\tilde{U}_A$  is the universal cover of  $U_A^0$ . Let  $s : \tilde{U}_A \to U_A^0$  be the covering map. We have an exact sequence of algebraic groups over  $E_A$ 

$$1 \to Z/2Z \to \tilde{U}_A \xrightarrow{s} U_A^0 \to 1.$$

Let us consider the associated cohomology exact sequence

$$\tilde{U}_A(E_A) \xrightarrow{s} U^0_A(E_A) \xrightarrow{\delta} H^1(E_A).$$

LEMMA 3.1. We have  $i_A(G^2) \subset s(\tilde{U}_A(E_A))$ .

PROOF. In view of the above exact sequence, it suffices to prove that  $\delta(i_A(G^2)) = 0$ . In order to prove this, let us first assume that A is not split. Then we have  $U_A(E_A) = U_A^0(E_A)$  (cf. [K 69], Lemma 1 b, see also [B 94], cor. 2). Since  $H^1(E_A)$  is a 2-torsion group and since  $i_A(G^2) \subset U_A^0(E_A)$ , this implies that  $\delta(i_A(G^2)) = 0$ , as claimed. Assume now that A is split. Then  $U_A$  is the orthogonal group of a quadratic form q; let  $\operatorname{sn} : U_A(E_A) \to H^1(E_A)$  be the associated spinor norm, and note that sn is a group homomorphism (see for instance [L 05], Chapter 5, Theorem 1.13). The homomorphism sn depends on the choice of the quadratic form q with orthogonal group  $U_A$ , but its restriction to  $U_A^0$  does not depend on this choice. Note that  $\delta : U_A^0(E_A) \to H^1(E_A)$  is the restriction of sn to  $U_A^0(E_A)$ . Therefore for all  $g \in G$ , we have  $\delta(i_A(G^2)) = \operatorname{sn}(i_A(g))^2$ , and since  $H^1(E_A)$  is a 2-torsion group, this implies that  $\delta(i_A(G^2)) = 0$ . This completes the proof of the lemma.

Let H be a subgroup of  $G^2$ . By Lemma 3.1, we have  $i_A(H) \subset s(\tilde{U}_A(E_A))$ . Let

$$V_A^H = \tilde{U}_A(E_A) \times_{U_A^0(E_A)} H$$

be the fibered product of  $s : \tilde{U}_A(E_A) \to U_A^0(E_A)$  and  $i_A : H \to U_A^0(E_A)$ . Therefore we have a central extension

$$1 \to Z/2Z \to V_A^H \xrightarrow{p} H \to 1,$$

where p is the projection to the factor H. Note that the surjectivity of p follows from the fact that by Lemma 3.1 every element of  $i_A(H)$  has a preimage in  $\tilde{U}_A(E_A)$ .

Let us denote by

$$e_A^H \in H^2(H)$$

the cohomology class corresponding to the extension  $V_A^H$ . If  $\phi(\Gamma_k) \subset H$ , we denote by

$$\phi^*: H^2(H) \to H^2(k)$$

the homomorphism induced by  $\phi: \Gamma_k \to H$ .

PROPOSITION 3.2. Let  $\psi : \Gamma_k \to G$  be another continuous homomorphism corresponding to the G-Galois algebra L. Set  $H_{\phi} = \phi(\Gamma_k)$  and  $H_{\psi} = \psi(\Gamma_k)$ . Then we have

$$\phi^*(e_A^{H_{\phi}}) = \psi^*(e_A^{H_{\psi}}) \quad in \quad H^2(k).$$

PROOF. We have  $\psi = \operatorname{Int}(g) \circ \phi$  for some  $g \in G$ . Note that  $i_A(g) \in U_A(E_A)$ , and that  $\operatorname{Int}(i_A(g))$  is an automorphism of  $U^0_A(E_A)$ . Any automorphism of  $U^0_A(E_A)$  can be lifted to an automorphism of  $\tilde{U}_A(E_A)$ ; indeed, such a lift exists over a separable closure, and is unique, hence defined over the ground field. Let  $f: \tilde{U}_A(E_A) \to \tilde{U}_A(E_A)$  be a lift of  $\operatorname{Int}(i_A(g))$ . Then f induces an isomorphism  $V^{H_{\phi}}_A \to V^{H_{\psi}}_A$ , which sends  $H_{\phi}$  to  $H_{\psi}$ , and is the identity on Z/2Z. This implies that  $\phi^*(e^{H_{\phi}}_A) = \psi^*(e^{H_{\psi}}_A)$  in  $H^2(k)$ .

The invariant  $c_A(L)$ 

Recall that we assume that the  $H^1$ -condition is satisfied. We now choose for H the image  $\phi(\Gamma_k)$  of  $\Gamma_k$  in G, and set  $V_A = V_A^H$ ,  $e_A = e_A^H$ . We denote by  $c_A(L)$  the class of  $\phi^*(e_A)$  in  $H^2(k)$ ; Proposition 3.2 shows that this class does not depend on the choice of  $\phi : \Gamma_k \to G$  defining the G-Galois algebra L. Since  $H^2(k) \simeq \operatorname{Br}_2(k)$ , we can also consider  $c_A(L)$  as an element of  $\operatorname{Br}_2(k)$ .

Recall that the *G*-trace form  $q_L$  determines a rank one hermitian form over  $(A, \sigma_A)$ . We want to relate  $c_A(L)$  to the Clifford invariant of this hermitian form.

THE INVARIANT  $\operatorname{clif}_A(q_L)$ 

The map  $i_A: H \to U^0_A(E_A)$  induces a map of pointed sets

$$i_A: H^1(E_A, H) \to H^1(E_A, U_A^0).$$

Let  $u_A^0(L)$  be the image of  $[\phi_A] \in H^1(E_A, H)$  by this map. Then the element  $u_A(L)$  defined in 1.5 is the image of  $u_A^0(L)$  under the further composition with the map  $H^1(E_A, U_A^0) \to H^1(E_A, U_A)$ .

Let us consider the exact sequence  $1 \to Z/2Z \to \tilde{U}_A \to U_A^0 \to 1$ , and let  $\delta$  be the connecting map  $H^1(E_A, U_A^0) \to H^2(E_A) \simeq \operatorname{Br}_2(E_A)$  of the associated cohomology exact sequence. Recall that  $\langle A \rangle$  is the subgroup of  $\operatorname{Br}_2(E_A)$  generated by the class of the algebra A. The *Clifford invariant* of  $q_L$  at A is by definition the image of  $\delta(u_A^0(L))$  in  $\operatorname{Br}_2(E_A)/\langle A \rangle$ . Let us denote it by  $\operatorname{clif}_A(q_L)$ .

THEOREM 3.3. The image of  $\operatorname{Res}_{E_A/k}(c_A(L))$  in  $\operatorname{Br}_2(E_A)/\langle A \rangle$  is equal to  $\operatorname{clif}_A(q_L)$ .

We need the following lemma :

LEMMA 3.4. Let K be a field, let C be a finite group, and let  $f : \Gamma_K \to C$  be a continuous homomorphism. Let us denote by  $[f] \in H^1(K, C)$  the corresponding cohomology class. Let

$$1 \to Z/2Z \to V \to C \to 1$$

be a central extension with trivial  $\Gamma_K$ -action. Let  $[e] \in H^2(C)$  be the class of a 2-cocycle  $e: C \times C \to Z/2Z$  representing this extension. Let  $\partial: H^1(K, C) \to H^2(K)$  be the connecting map associated to the above exact sequence, and let  $f^*: H^2(C) \to H^2(K)$  be the map induced by f. Then

$$f^*([e]) = \partial([f]).$$

PROOF. This follows from a direct computation. For all  $\sigma, \tau \in \Gamma_K$ , we have  $f^*(e)(\sigma,\tau) = e(f(\sigma), f(\tau)) = x_{f(\sigma)} x_{f(\tau)} x_{f(\sigma\tau)}^{-1}$ , where  $x : C \to V$  is a section. On the other hand,  $(\partial f)(\sigma,\tau) = x_{f(\sigma)} f^{(\sigma)}(x_{f(\tau)}) x_{f(\sigma\tau)}^{-1}$ , and this is equal to  $x_{f(\sigma)} x_{f(\tau)} x_{f(\sigma\tau)}^{-1}$ , since the action of  $\Gamma_k$  on V is trivial.

PROOF OF THEOREM 3.3. Let  $\partial : H^1(E_A, H) \to H^2(E_A)$  be the connecting map of the cohomology exact sequence associated to the exact sequence

$$1 \to Z/2Z \to V_A \to H \to 1$$

with all the groups having trivial  $\Gamma_{E_A}$ -action. Recall that  $\phi_A : \Gamma_{E_A} \to \Gamma_k \to H$ is the composition of  $\phi : \Gamma_k \to H$  with the inclusion of  $\Gamma_{E_A}$  into  $\Gamma_k$ . By Lemma 3.4 we have  $\partial([\phi_A]) = \phi_A^*(e_A) = \operatorname{Res}_{E_A/k}(\phi^*(e_A)) = \operatorname{Res}_{E_A/k}(c_A(L))$ . In view of the commutative diagram of  $\Gamma_{E_A}$ -groups

we have  $\delta(u_A^0(L)) = \partial([\phi_A])$ . Therefore we obtain  $\operatorname{Res}_{E_A/k}(c_A(L)) = \delta(u_A^0(L))$ . Since the class of  $\delta(u_A^0(L))$  in  $\operatorname{Br}_2(E_A)/\langle A \rangle$  is equal to  $\operatorname{clif}_A(q_L)$  by definition, this completes the proof of the theorem.

PROPOSITION 3.5. If L has a self-dual normal basis over k, then  $\operatorname{Res}_{E_A/k}(c_A(L))$  is trivial in  $\operatorname{Br}_2(E_A)/\langle A \rangle$ .

PROOF. Since L has a self-dual normal basis over k, the class  $u_A(L)$  corresponds to the class of the rank one unit hermitian form  $\langle 1 \rangle$  in  $H^1(E_A, U_A)$ . As  $\langle 1 \rangle$  corresponds to the trivial cocycle in  $Z^1(E_A, U_A^0)$ , its Clifford invariant is trivial, in other words,  $\operatorname{clif}_A(q_L)$  is trivial. By Theorem 3.3 the image of  $\operatorname{Res}_{E_A/k}(c_A(L))$  in  $\operatorname{Br}_2(E_A)/\langle A \rangle$  is equal to  $\operatorname{clif}_A(q_L)$ , hence the proposition is proved.

We conclude this section with an example where  $c_A(L) \neq 0$ , but  $\operatorname{Res}_{E_A/k}(c_A(L)) = 0$  (and hence  $\operatorname{clif}_A(q_L) = 0$ ):

EXAMPLE 3.6. Let  $G = A_5$ , the alternating group, and assume that k = Q. Let A be a factor of k[G] corresponding to a degree 3 orthogonal representation

of G; then  $A = M_3(E_A)$  with  $E_A = k(\sqrt{5})$ , and the involution  $\sigma_A$  is induced by the unit form  $\langle 1, 1, 1 \rangle$ . Let  $\epsilon \in G$  be a product of two disjoint transpositions.

Let  $z \in k^{\times}$ , and let  $\psi : \Gamma_k \to \{1, \epsilon\}$  be the corresponding quadratic character. Let  $\phi : \Gamma_k \to G$  be given by  $\phi = \iota \circ \psi$ , where  $\iota : \{1, \epsilon\} \to G$  is the inclusion. Let L be the G-Galois algebra corresponding to  $\phi$ . Set  $H = \{1, \epsilon\}$ , and note that the image of  $\phi$  is contained in H. Set  $N = k[X]/(X^2 - z)$ ; then we have  $L = \operatorname{Ind}_H^G(N)$ .

Note that  $\epsilon$  lifts to an element of order 4 in  $\tilde{A}_5$ , hence also in  $\tilde{U}_A(E_A)$ . Therefore the extension  $1 \to Z/2Z \to V_A^H \to H \to 1$  is not trivial; the group  $V_A^H$  is cyclic of order 4. Recall that  $e_A$  is the class of this extension in  $H^2(H)$ ; hence  $e_A$  is the only non-trivial element of  $H^2(H)$ . By definition, we have  $c_A(L) = \phi^*(e_A)$ , and this is equal to the cup product (z)(z) = (-1)(z) in  $H^2(k)$ .

Set z = 11. Then  $c_A(L) = (-1)(11)$  is not trivial in  $H^2(k)$ . On the other hand, since  $E_A = k(\sqrt{5})$ , we have  $\operatorname{Res}_{E_A/k}(c_A(L)) = 0$  in  $H^2(E_A)$ . Note that the subgroup  $\langle A \rangle$  of  $\operatorname{Br}_2(E_A)$  is trivial, and recall that  $\operatorname{clif}_A(q_L) = \operatorname{Res}_{E_A/k}(c_A(L))$ in  $\operatorname{Br}_2(E_A) \simeq H^2(E_A)$  by Theorem 3.3; therefore we have  $\operatorname{clif}_A(q_L) = 0$ .

#### §4. UNITARY INVARIANTS

We keep the notation of the previous sections : G is a finite group, L is a G-Galois algebra, and  $\phi : \Gamma_k \to G$  is a homomorphism associated to L. We suppose that the  $H^1$ -condition is satisfied by  $\phi : \Gamma_k \to G$ , hence  $\phi(\Gamma_k)$  is a subgroup of  $G^2$ . Let A be a unitary  $\sigma^s$ -stable central simple factor of  $k[G]^s$  (see 1.3). We denote by  $F_A$  be the center of A; note that  $F_A$  is a quadratic extension of  $E_A$ .

Using the same strategy as in §3, we first define an element of  $H^2(k)$  which is an invariant of the *G*-Galois algebra *L*. We then consider the hermitian form  $h_A$  over  $(A, \sigma)$  determined by  $q_L$ , and recall the definition of the discriminant of this form, thereby obtaining an element of  $\text{Br}_2(E_A)$ . This is an invariant of the hermitian form  $h_A$ , and hence also of the *G*-form  $q_L$ . We then show that the restriction of the first invariant to  $H^2(E_A)$  is equal to the second one (see Theorem 4.5).

We start by recording some facts from Galois cohomology.

Let E be a field of characteristic  $\neq 2$ , and let  $E_s$  be a separable closure of E. Let F be a quadratic extension of E, let  $x \mapsto \overline{x}$  the non-trivial automorphism of F over E, and let  $F^{\times 1}$  be the subgroup of  $F^{\times}$  consisting of the  $x \in F$ such that  $x\overline{x} = 1$ . Let  $N : F \to E$ , given by  $N(x) = x\overline{x}$ , be the norm map. We denote by [F] the class of the quadratic extension F/E in  $H^1(E)$ . For all  $x \in E^{\times}$ , we denote by (x) the class of x in  $E^{\times}/E^{\times 2}$ , and by [x] the class of xin  $E^{\times}/N(F^{\times})$ .

LEMMA 4.1. (a) The connecting homomorphism  $E^{\times} \to H^1(E, \mathbb{R}^1_{F/E}G_m)$  associated to the exact sequence  $1 \to \mathbb{R}^1_{F/E}G_m \to \mathbb{R}_{F/E}G_m \xrightarrow{\mathbb{N}} G_m \to 1$  induces an isomorphism  $\alpha : E^{\times}/\mathbb{N}(F^{\times}) \to H^1(E, \mathbb{R}^1_{F/E}G_m).$ 

(b) Let  $x \in E^{\times}$ , and let  $f_x : \Gamma_E \to \mathbb{R}^1_{F/E}G_m(E_s)$  be defined by  $f_x(\gamma) = y^{-1}\gamma(y)$ , where  $y \in (F \otimes_E E_s)^{\times}$  is such that  $\mathbb{N}(y) = x$ . Then we have  $\alpha((x)) = [f_x]$ .

**PROOF.** (a) follows from Hilbert's theorem 90, and (b) from the definition of the connecting homomorphism.

; From now on, we identify  $E^{\times}/\mathcal{N}(F^{\times})$  and  $H^1(E, \mathcal{R}^1_{F/E}G_m)$  via the isomorphism  $\alpha$ .

LEMMA 4.2. Let  $1 \to Z/2Z \to \mathbb{R}^1_{F/E}G_m \xrightarrow{s} \mathbb{R}^1_{F/E}G_m \to 1$  be the exact sequence of linear algebraic groups with s the squaring map. Let  $\delta : H^1(E, \mathbb{R}^1_{F/E}G_m) \to H^2(E)$  be the connecting homomorphism associated to this exact sequence. Identifying  $H^1(E, \mathbb{R}^1_{F/E}G_m)$  with  $E^{\times}/\mathbb{N}(F^{\times})$  via  $\alpha$ , we have

$$\delta([x]) = (x)[F] \in H^2(E)$$

for all  $x \in E^{\times}$ , where (x)[F] denotes the cup product of  $(x), [F] \in H^1(E)$ .

PROOF. A 2-cocycle associated to  $(x)[F] \in H^2(E)$  is given by  $f(\sigma, \tau)$  such that  $f(\sigma, \tau) = 1$  if the restriction of  $\sigma$  to  $E(\sqrt{x})$  is the identity, or if the restriction of  $\tau$  to F is the identity, and  $f(\sigma, \tau) = -1$  otherwise. Let us check that the cohomology class of f in  $H^2(E)$  is equal to  $\delta([x])$ . Let  $y \in (F \otimes_E E_s)^{\times}$  be such that  $N_{F \otimes_E E_s/E_s}(y) = y\overline{y} = x$ . A 1-cocycle g in  $Z^1(E, \mathbb{R}^1_{F/E}G_m)$  associated to [x] is given by  $g(\sigma) = y^{-1}\sigma(y)$  for  $\sigma \in \Gamma_E$ . For all  $\tau \in \Gamma_E$ , set  $z_{\tau} = y^{-1}\sqrt{x}$  if the restriction of  $\tau$  to F is not the identity, and  $z_{\tau} = 1$  otherwise. Then  $N_{F \otimes_E E_s/E_s}(z_{\tau}) = z_{\tau}\overline{z_{\tau}} = (y^{-1}\sqrt{x})(\overline{y}^{-1}\sqrt{x})$  if the restriction of  $\tau$  to F is not the identity. Since  $y\overline{y} = x$ , we have  $z_{\tau} \in \mathbb{R}^1_{F/E}G_m(E_s)$ . Further,  $s(z_{\tau}) = y^{-2}x = y^{-1}\tau(y)$  if the restriction of  $\tau$  to F is not the identity, and  $s(z_{\tau}) = 1 = y^{-1}\tau(y)$  otherwise. Thus  $\delta(g)(\sigma, \tau) = z_{\sigma}\sigma(z_{\tau})z_{\sigma\tau}^{-1}$ . It is straightforward to check that  $\delta(g)(\sigma, \tau) = 1$  if the restriction of  $\sigma$  to  $E(\sqrt{x})$  is the identity, or the restriction of  $\tau$  to F is the identity, and that  $\delta(g)(\sigma, \tau) = -1$  otherwise. This is precisely the cocycle f, hence we have  $\delta([x]) = (x)[F]$  in  $H^2(E)$ . This concludes the proof of the lemma.

LEMMA 4.3. We have an injective homomorphism  $E^{\times}/N(F^{\times}) \to Br_2(E)$  defined by  $[x] \mapsto (x, F/E)$ .

PROOF. Indeed, the class of the quaternion algebra (x, F/E) is trivial in  $Br_2(E)$  if and only if  $x \in N(F^{\times})$ .

We now define an invariant  $d_A(L) \in H^2(k, \mathbb{Z}/2\mathbb{Z})$  of the G-Galois algebra L.

The invariant  $d_A(L)$ 

Recall that  $F_A^{\times 1}$  is the subgroup of  $F_A^{\times}$  consisting of the  $x \in F_A$  such that  $x\sigma_A(x) = 1$ ; in other words,  $F_A^{\times 1} = \mathbb{R}^1_{F_A/E_A}G_m(E_A)$ . We denote by

 $s: \mathbb{R}^1_{F_A/E_A}G_m \to \mathbb{R}^1_{F_A/E_A}G_m$  the squaring map, and by  $n: U_A \to \mathbb{R}^1_{F_A/E_A}G_m$  the reduced norm. Recall that  $i_A: G \to U_A(E_A)$  is the homomorphism defined in 1.5; we have  $n(i_A(G^2)) \subset s(F_A^{\times 1})$ .

Let H be a subgroup of  $G^2$ . Let  $V_A^H = F_A^{\times 1} \times_{F_A^{\times 1}} H$  be the fibered product of  $s: F_A^{\times 1} \to F_A^{\times 1}$  and  $n \circ i_A: H \to F_A^{\times 1}$ . Then the sequence

$$1 \to Z/2Z \to V_A^H \to H \to 1$$

is exact. Note that the surjectivity follows from the fact that  $n(i_A(H)) \subset s(F_A^{\times 1})$ . Therefore  $V_A^H$  is a central extension of H by Z/2Z. Recall that the  $H^1$ -condition implies that  $\phi(\Gamma_k) \subset G^2$ .

PROPOSITION 4.4. Let  $\psi : \Gamma_k \to G$  be another continuous homomorphism corresponding to the G-Galois algebra L. Set  $H_{\phi} = \phi(\Gamma_k)$  and  $H_{\psi} = \psi(\Gamma_k)$ . Then we have

$$\phi^*(e_A^{H_\phi}) = \psi^*(e_A^{H_\psi}) \ in \ H^2(k).$$

PROOF. We have  $\psi = \operatorname{Int}(g) \circ \phi$  for some  $g \in G$ . The map  $F_A^{\times 1} \times_{F^{\times 1}} H_{\phi} \to F_A^{\times 1} \times_{F^{\times 1}} H_{\psi}$ , given by  $(x, y) \to (x, gyg^{-1})$ , gives rise to an isomorphism  $V_A^{H_{\phi}} \to V_A^{H_{\psi}}$  that is the identity on Z/2Z and sends  $H_{\phi}$  to  $H_{\psi}$ . This implies that  $\phi^*(e_A^{H_{\phi}}) = \psi^*(e_A^{H_{\psi}})$  in  $H^2(k)$ .

We now choose for H the image  $\phi(\Gamma_k)$  of  $\Gamma_k$  in G, and set  $V_A = V_A^H$ ,  $e_A = e_A^H$ .

NOTATION. Let us denote by  $d_A(L)$  the class of  $\phi^*(e_A)$  in  $H^2(k)$ ; Proposition 4.4 shows that this class is independent of the choice of  $\phi : \Gamma_k \to G$  defining the *G*-Galois algebra *L*.

We define the discriminant of the G-form  $q_L$  at A, and compare it with the cohomology class  $d_A(L)$ .

THE INVARIANT  $\operatorname{disc}_A(q_L)$ 

Recall that composing  $\phi_A : \Gamma_{E_A} \to H$  with the map  $i_A : H \to U_A(k_s)$ we obtain a 1-cocycle  $\Gamma_{E_A} \to U_A(k_s)$ , the class of which in  $H^1(E_A, U_A)$ is  $u_A(L)$ . The reduced norm  $n : U_A \to \mathbb{R}^1_{F_A/E_A}G_m$  induces a map  $n : H^1(E_A, U_A) \to E_A^{\times}/\mathbb{N}(F_A^{\times})$ .

NOTATION. Set disc<sub>A</sub>( $q_L$ ) = ( $n(u_A(L)), F_A/E_A$ ) in Br<sub>2</sub>( $E_A$ ).

Note that this is well-defined by Lemma 4.3. Since we have  $\operatorname{Br}_2(E_A) \simeq H^2(E_A)$ , we can also consider  $\operatorname{disc}_A(q_L)$  as an element of  $H^2(E_A)$ . Then  $\operatorname{disc}_A(q_L)$  is given by the cup product  $n(u_A(L)).[F_A]$  in  $H^2(E_A)$ . This invariant is related to the previously defined invariant  $d_A(L)$  as follows :

THEOREM 4.5. We have  $\operatorname{disc}_A(q_L) = \operatorname{Res}_{E_A/k}(d_A(L))$  in  $H^2(E_A)$ .

PROOF. Let  $\partial : H^1(E_A, H) \to H^2(E_A)$  be the connecting map of the exact sequence

$$1 \to Z/2Z \to V_A \to H \to 1$$

with all the groups having trivial  $\Gamma_{E_A}$ -action. By Lemma 3.4 we have

$$\partial([\phi_A]) = \phi_A^*(e_A) = \operatorname{Res}_{E_A/k}(\phi^*(e_A)) = \operatorname{Res}_{E_A/k}(d_A(L)).$$

We have the commutative diagram

where the second vertical map is the projection on the first factor, and the third one is  $H \xrightarrow{i_A} U_A(E_A) \xrightarrow{n} \operatorname{R}^1_{F_A/E_A} G_m(E_A)$ .

Let  $\delta: H^1(E, \mathbf{R}^1_{F_A/E_A}G_m) \to H^2(E_A)$  be the connecting homomorphism associated to the exact sequence

$$1 \to Z/2Z \to \mathbf{R}^1_{F_A/E_A} G_m \xrightarrow{s} \mathbf{R}^1_{F_A/E_A} G_m \to 1.$$

By the commutativity of the above diagram, we have  $\delta([n(u_A(L))]) = \partial([\phi_A])$ . Hence we have  $\operatorname{Res}_{E_A/k}(d_A(L)) = \delta([n(u_A(L)]))$ . We have  $\delta([n(u_A(L))]) = (n(u_A(L)))$ .  $[F_A]$  by Lemma 4.2 and hence  $\operatorname{Res}_{E_A/k}(d_A(L)) = \operatorname{disc}_A(q_L)$ , as claimed.

LEMMA 4.6. If  $q_L$  corresponds to the hermitian form  $\langle z_A \rangle$  over  $(A, \sigma_A)$ , then we have

$$\operatorname{disc}_A(q_L) = (n(z_A), F_A/E_A)$$
 in  $\operatorname{Br}_2(E_A)$ .

PROOF. Set  $z = z_A$ . Let  $z = w\sigma_A(w)$  with  $w \in A \otimes_{E_A} k_s$ . The cocycle  $\tau \mapsto w^{-1}\tau(w)$  represents the class of the hermitian form  $\langle z \rangle$  in  $H^1(E_A, U_A)$ . Let us denote this class by  $u_z \in H^1(E_A, U_A)$ , and note that we have  $u_z = u_A(L)$  by definition. The cocycle  $\tau \mapsto n(w)^{-1}\tau(n(w))$  represents the class  $n(u_z) \in H^1(E_A, \mathbb{R}^1_{F_A/E_A}G_m)$ . By Lemma 4.1 this class is mapped by  $\alpha^{-1}$  to  $[n(z)] \in E_A^{\times}/\mathbb{N}(F_A^{\times})$ . Therefore we have  $(n(z), F_A/E_A) = (n(u_A(L)), F_A/E_A) = \operatorname{disc}_A(q_L)$ , as claimed.

PROPOSITION 4.7. If L has a self-dual normal basis over k, then  $\operatorname{Res}_{E_A/k}(d_A(L))$  is trivial in  $\operatorname{Br}_2(E_A)$ .

PROOF. Since L has a self-dual normal basis,  $q_L$  corresponds to the hermitian form  $\langle 1 \rangle$  over  $(A, \sigma_A)$ . By Lemma 4.6 this implies that  $\operatorname{disc}_A(q_L)$  is trivial. Since by Theorem 4.5 we have  $\operatorname{disc}_A(q_L) = \operatorname{Res}_{E_A/k}(d_A(L))$ , the Proposition is proved.

REMARK. There are examples where  $d_A(L) \neq 0$  but  $\operatorname{Res}_{E_A/k}(d_A(L)) = 0$ (hence also disc<sub>A</sub>( $q_L$ ) = 0); see for instance Example 5.2 (i).

#### §5. Self-dual normal bases

We keep the notation of the previous sections. In particular, G is a finite group, L is a G-Galois algebra over k, and  $\phi : \Gamma_k \to G$  is a homomorphism associated to L. We now apply the results of the previous sections to give necessary conditions for the existence of a self-dual normal basis, and to show that these are also sufficient when k has cohomological dimension  $\leq 2$ , see Proposition 5.1 and Theorem 5.3.

Putting together the results of  $\S2$  -  $\S4$ , we have the following :

**PROPOSITION 5.1.** Suppose that L has a self-dual normal basis over k. Then the  $H^1$ -condition is satisfied, and

(i) For all orthogonal  $\sigma^s$ -stable central simple factors A of  $k[G]^s$ , we have

$$\operatorname{Res}_{E_A/k}(c_A(L)) = 0$$
 in  $\operatorname{Br}_2(E_A)/\langle A \rangle$ .

(ii) For all unitary  $\sigma^s$ -stable central simple factors A of  $k[G]^s$ , we have

 $\operatorname{Res}_{E_A/k}(d_A(L)) = 0$  in  $\operatorname{Br}_2(E_A)$ .

PROOF. This follows from Propositions 2.1, 3.5 and 4.7.

EXAMPLE 5.2. (i) The aim of this example is to reinterpret and complete Exemple 10.2 of [BSe 94] using the results of the present paper. Assume that G is cyclic of order 8, and let s be a generator of G; let  $\epsilon = s^4$  be the element of order 2 of G. Let  $z \in k^{\times}$ , and let  $\sigma : \Gamma_k \to \{1, \epsilon\}$  be the corresponding quadratic character. Let  $\phi : \Gamma_k \to G$  be given by  $\phi = \iota \circ \sigma$ , where  $\iota : \{1, \epsilon\} \to G$  is the inclusion. Let L be the G-Galois algebra corresponding to  $\phi$ . Set  $H = \{1, \epsilon\}$ , and note that the image of  $\phi$  is contained in H. Set  $N = k[X]/(X^2 - z)$ ; then we have  $L = \operatorname{Ind}_H^G(N)$ . Set  $A = k[X]/(X^4 + 1)$ , and let us write  $k[G] = A' \times A$ . It is easy to see that the image of H in A' is trivial. The involution  $\sigma_A$  sends the class of X to the class of  $X^{-1}$ . If k contains the 4th roots of unity, then Ais a product of two factors exchanged by the involution, hence there k[G] has no involution invariant factor in which the image of H is non trivial. In this case, L has a self-dual normal basis. Assume that k does not contain the 4th roots of unity. Then A is a field; we have  $F_A = A$ , and  $E_A = k[X]/(X^2 - 2)$ . Note that A is unitary. We have  $i_A(\epsilon) = -1$ , hence  $i_A(H) = \{1, -1\}$ .

Let  $i \in F_A$  be a primitive 4th root of unity. By the definition of the extension

$$1 \to Z/2Z \to V_A \to H \to 1$$

(cf. §4), we see that  $V_A = \{(1,1), (-1,1), (i,\epsilon), (-i,\epsilon)\}$ , a cyclic group of order 4. Recall that  $e_A$  is the class of this extension in  $H^2(H)$ ; hence  $e_A$  is the only non-trivial element of  $H^2(H)$ . We have  $d_A(L) = \phi^*(e_A) = (z, z) = (z, -1)$ , and  $\operatorname{Res}_{E_A/k}(d_A(L)) = (z, -1)_E = (z, F_A/E_A)$ . Therefore we have

 $d_A(L) = 0 \iff z$  is a sum of two squares in k,

and

 $\operatorname{Res}_{E_A/k}(d_A(L)) = 0 \iff z \text{ is a sum of two squares in } E_A = k(\sqrt{2}).$ 

It is easy to find examples where  $d_A(L) \neq 0$  and  $\operatorname{Res}_{E_A/k}(d_A(L)) = 0$ ; for instance, we can take k = Q and z = 3.

By Proposition 5.1 the existence of a self-dual normal basis implies that we have  $\operatorname{Res}_{E_A/k}(d_A(L)) = 0$ . On the other hand, in [BSe 94], Exemple 10.2 it is checked by direct computation that if z is a sum of two squares in  $k(\sqrt{2})$ , then L has a self-dual normal basis. Hence we have

L has a self-dual normal basis over  $k \iff z$  is a sum of two squares in  $k(\sqrt{2})$ .

(ii) Assume that  $G = D_4$ , the dihedral group of order 8. Then a G-Galois algebra L has a self-dual normal basis if and only if either L is split or  $L = \text{Ind}_H^G(N)$  with H of order 2, and  $N = k[X]/(X^2 - z)$  for some  $z \in k^{\times}$  such that z is a sum of two squares in k.

Indeed, let  $\phi : \Gamma_k \to G$  be a homomorphism associated to L. Note that  $G^2$  is of order 2, hence the  $H^1$ -condition holds if and only if the image of  $\phi$  is trivial, or equal to  $G^2$ ; in other words, L is split, or induced from a  $G^2$ -Galois algebra. If L is split, then L has a self-dual normal basis. Set  $H = G^2$ , and assume that  $L = \operatorname{Ind}_H^G(N)$ , with  $N = k[X]/(X^2 - z)$  for some  $z \in k^{\times}$ . It remains to show that L has a self-dual normal basis if and only if z is a sum of two squares in k.

The group G has one degree 2 and four degree 1 orthogonal representations. Since the  $H^1$ -condition holds, the image of G is trivial in the factors of k[G] corresponding to the degree 1 representations. Let  $A = M_2(k)$ , and let  $\sigma_A$  be the involution induced by the 2-dimensional unit form; then the factor of k[G] corresponding to the degree 2 orthogonal representation of G is equal to A.

Let  $q_A(L)$  be the 2-dimensional quadratic form corresponding to the cohomology class  $u_A(L)$ . Note that L has a self-dual normal basis if and only if  $q_A \simeq \langle 1, 1 \rangle$ ; this is equivalent with  $q_A$  having trivial determinant and trivial Hasse-Witt invariant. Recall that the  $H^1$ -condition is satisfied by hypothesis; hence we have  $u_A(L) \in H^1(k, U_A^0)$ , and this implies that  $\det(q_A(L)) = 1$  in  $k^{\times}/k^{\times 2}$ . Since A is a matrix algebra over k, we have  $w_2(q_A(L)) = \operatorname{clif}(q_A(L))$ . By Theorem 3.3, this implies that  $w_2(q_A(L)) = c_A(L)$ ; hence it remains to prove that  $c_A(L) = 0$  if and only if z is a sum of two squares in k.

If k contains the 4-th roots of unity, then  $U_A^0 = \tilde{U}_A = G_m$ . If k does not contain the 4-th roots of unity, then  $U_A^0 = \tilde{U}_A = R_{K/k}^1 G_m$ , where  $K = k[X]/(X^2 + 1)$ .

In both cases,  $s : \tilde{U}_A \to U_A^0$  is the squaring map. Using this, we see that the extension  $1 \to Z/2Z \to V_A \to H \to 1$  is non-trivial, and that  $c_A(L) = (z, -1)$ . Therefore  $c_A(L) = 0$  if and only if z is a sum of two squares in k, and hence

L has a self-dual normal basis over  $k \iff z$  is a sum of two squares in k.

(iii) Let  $G = A_4$ , the alternating group of order 12, and assume for simplicity that  $char(k) \neq 3$  and that k contains the third roots of unity. Then  $k[G] = k \times k \times k \times M_3(k)$ , where the first factor corresponds to the unit representation, the second and the third to the two representations of degree 1 with image of order 3, and the fourth one to the irreducible representation of degree 3. Let  $A = M_3(k)$  be the fourth factor, and note that the restriction of  $\sigma$  to A is induced by the 3-dimensional unit form. The extension  $1 \to Z/2Z \to V_A \to G \to 1$  defined in §3 is

$$1 \to Z/2Z \to \tilde{A}_4 \to A_4 \to 1$$
,

corresponding to the unique non-trivial element  $e \in H^2(A_4)$  (see [Se 84], 2.3). Let L be a G-Galois algebra, and note that the  $H^1$ -condition is satisfied, since G has no quotient of order 2. Let E be the subalgebra of L fixed by the subgroup  $A_3$  of  $G = A_4$ ; then E is an étale algebra of rank 4. Let  $\phi : \Gamma_k \to A_4$  be a homomorphism corresponding to L. By [Se 84], Theorem 1 we have  $\phi^*(e) = w_2(q_E)$ , the Hasse-Witt invariant of the quadratic form  $q_E$ ; hence the invariant  $c_A(L)$  is equal to  $w_2(q_E)$ . Let  $q_A(L)$  be the 3-dimensional quadratic form corresponding to the cohomology class  $u_A(L)$ . Then  $q_E \simeq q_A(L) \oplus \langle 1 \rangle$ , and it is easy to check that  $q_A(L) \simeq \langle 1, 1, 1 \rangle \iff w_2(q_E) = 0$ , hence  $u_A(L) = 0$   $\iff w_2(q_E) = 0$ . Therefore we have

L has a self-dual normal basis over  $k \iff w_2(q_E) = 0$ ,

recovering a result of [BSe 94] (see [BSe 94], Exemple 1.6).

The case of cyclic groups of order a power of 2 is further developed in §6; we now look at fields of low cohomological dimension. Recall that the 2cohomological dimension of  $\Gamma_k$ , denoted by  $\operatorname{cd}_2(\Gamma_k)$ , is the smallest integer d such that  $H^i(k, C) = 0$  for all i > d and for every finite 2-primary  $\Gamma_k$ -module C. For fields of cohomological dimension  $\leq 1$ , the question of existence of self-dual normal bases is settled in [BSe 94], 2.2.

THEOREM 5.3. Assume that  $\operatorname{cd}_2(\Gamma_k) \leq 2$ . Then L has a self-dual normal basis over k if and only if the H<sup>1</sup>-condition is satisfied, and the conditions (i) and (ii) below hold :

(i) For all orthogonal  $\sigma^s$ -stable central simple factors A of  $k[G]^s$ , we have

 $\operatorname{Res}_{E_A/k}(c_A(L)) = 0$  in  $\operatorname{Br}_2(E_A)/\langle A \rangle$ .

(ii) For all unitary  $\sigma^s$ -stable central simple factors A of  $k[G]^s$ , we have

 $\operatorname{Res}_{E_A/k}(d_A(L)) = 0$  in  $\operatorname{Br}_2(E_A)$ .

PROOF. If L has a self-dual normal basis over k, then by Proposition 5.1 the  $H^1$ -condition, as well as conditions (i) and (ii) are satisfied. Conversely, let us assume that the  $H^1$ -condition, as well as conditions (i) and (ii) hold. Since the  $H^1$ -condition holds, we can define  $c_A(L)$  and  $d_A(L)$ , cf. §3 and §4. By Theorems 3.3 and 4.5 we have  $\operatorname{clif}_A(q_L) = \operatorname{Res}_{E_A/k}(c_A(L))$  and  $\operatorname{disc}_A(q_L) = \operatorname{Res}_{E_A/k}(d_A(L))$ . Therefore, conditions (i) and (ii) imply that  $\operatorname{clif}_A(q_L)$  is trivial for all orthogonal factors A, and  $\operatorname{disc}_A(q_L)$  is trivial for all unitary factors A. Let us prove that L has a self-dual normal basis over k. Let us denote by  $h_A$  the hermitian form over  $(A, \sigma_A)$  corresponding to  $u_A(L)$ . It is enough to show that for all factors A, the class  $u_A(L)$  is trivial; this is equivalent with saying that the hermitian form  $h_A$  is isomorphic to the unit form  $1_A$ over  $(A, \sigma_A)$ . By Witt cancellation (see for instance [BPS 13], Theorem 2.5.2) this in turn is equivalent to saying that  $h_A \oplus -1_A$  is hyperbolic. Let us prove this successively for symplectic, orthogonal and unitary characters.

Assume first that A is symplectic. Then by [BP 95], Theorem 4.3.1 every even dimensional non-degenerate hermitian form over a central simple algebra with involution is hyperbolic. This implies that  $h_A \oplus -1_A$  is hyperbolic. Assume now that A is orthogonal, and note that the  $H^1$ -condition implies that  $u_A(L)$  is the image of a class  $u_A^0(L)$  of  $H^1(E_A, U_A^0)$ . This implies that  $h_A$  has trivial discriminant. As we saw above,  $\operatorname{clif}_A(q_L)$  is trivial, hence the form  $h_A \oplus -1_A$  has trivial Clifford invariant. By [BP 95], Theorem 4.4.1 every even dimensional non-degenerate hermitian form over a central simple algebra having trivial discriminant and trivial Clifford invariant is hyperbolic, hence  $h_A \oplus -1_A$  is hyperbolic. Assume finally that A is a unitary character. We have seen above that disc<sub>A</sub>(q<sub>L</sub>) is trivial, therefore the form  $h_A \oplus -1_A$  has trivial discriminant. By [BP 95], Theorem 4.2.1 every even dimensional non-degenerate hermitian form over a central simple algebra having trivial discriminant is hyperbolic, hence  $h_A \oplus -1_A$  is hyperbolic.

This implies that L has a self-dual normal basis over k, hence the theorem is proved.

Recall that  $\phi: \Gamma_k \to G$  is a homomorphism associated to the *G*-Galois algebra L, and that for all  $x \in H^n(G)$ , we denote by  $x_L$  the image of x by  $\phi^*$ :  $H^n(G) \to H^n(k)$ . Let  $H = \phi(\Gamma_k)$ . For n = 2, we also need the image of x by the homomorphism  $\phi^*: H^n(H) \to H^n(k)$ ; we denote this image by  $x_L^H$ .

COROLLARY 5.4. Assume that  $cd_2(\Gamma_k) \leq 2$ , that the  $H^1$ -condition is satisfied, and that we have  $x_L^H = 0$  for all  $x \in H^2(H)$ . Then L has a self-dual normal basis over k.

PROOF. This follows immediately from Theorem 5.3. Indeed, the  $H^1$ -condition is satisfied by hypothesis. Moreover, the classes  $c_A(L)$  and  $d_A(L)$  are by definition in the image of  $\phi^* : H^2(H) \to H^2(k)$ , hence the hypothesis  $x_L^H = 0$  for all  $x \in H^2(H)$  implies that  $c_A(L) = 0$  for all orthogonal factors A, and  $d_A(L) = 0$ for all unitary factors A. Therefore conditions (i) and (ii) of Theorem 5.3 are satisfied, and hence L has a self-dual normal basis over k.

REMARKS. (i) Corollary 5.4 suggests the following question : Assume that  $\operatorname{cd}_2(\Gamma_k) \leq 2$ , and that the  $H^1$ -condition is satisfied. If  $x_L = 0$  for all  $x \in H^2(G)$ , does it follow that L has a self-dual normal basis over k? This follows from Corollary 5.4 when L is a field extension, in other words, when  $\phi$  is surjective : indeed, then H = G.

(ii) The question above (see (i)) has a negative answer for fields of higher cohomological dimensions. Indeed, by [BSe 94], III. 10.1, there exist examples of G-Galois algebras L over fields of cohomological dimension 3 such that for all n > 0 we have  $x_L = 0$  for all  $x \in H^n(G)$ , but L does not have a self-dual normal basis over k.

(iii) The converse of the question raised in (i) also has a negative answer : indeed, there exist examples of G-Galois algebras L over Q having a self-dual normal basis such that there exists  $x \in H^2(G)$  with  $x_L \neq 0$  (see [BSe 94], III. 10.2).

The following result was proved in [BSe 94], Corollaire 3.2.2 in the case where k is an imaginary number field; the proof also applies for fields of cohomological dimension  $\leq 2$ , using the results of [BP 95]. We give here an alternative proof.

COROLLARY 5.5. Assume that  $cd_2(\Gamma_k) \leq 2$ , and that

$$H^1(G) = H^2(G) = 0.$$

Then L has a self-dual normal basis over k.

PROOF. Since  $H^1(G) = 0$ , we have  $G = G^2$ . Let A be orthogonal or unitary, and let us construct a central extension  $V'_A$  of G by Z/2Z, as follows. If Ais orthogonal, set  $V'_A = V^G_A = \tilde{U}_A(E_A) \times_{U^0_A(E_A)} G$ , with the notation of §3. If A is unitary, then we set  $V'_A = V^G_A = F^{\times 1}_A \times_{F^{\times 1}_A} G$ , the notation being as in §4. In each case, we get a central extension  $V'_A$  of G by Z/2Z. Since  $H^2(G) = 0$ , this extension is split. Note that the central extension  $V_A$  of H by Z/2Z constructed in §3 and §4 is a subgroup of  $V'_A$ , and that the restriction of the projection  $V'_A \to G$  is the projection  $V_A \to H$ . Hence the extension  $V_A$  is also split. This implies that we have  $c_A(L) = 0$  for every orthogonal A, and  $d_A(L) = 0$  for every unitary A. By Theorem 5.3 this implies that L has a self-dual normal basis over k.

# §6. Cyclic groups of 2-power order

In this section, G is assumed to be cyclic of order  $2^n$ , with  $n \ge 2$ . We start by giving necessary and sufficient conditions for two G–Galois algebras to have isomorphic trace forms in terms of cohomological invariants of degree 1 and 2 (see Proposition 6.2), namely the degree 1 invariants introduced in [BSe 94], and the discriminants of the hermitian forms at the unitary factors (see §4). We then use the invariants defined in the first part of  $\S4$  to give necessary and sufficient conditions for the existence of a self-dual normal basis. We start with settling the case where k contains the 4th roots of unity :

PROPOSITION 6.1. Assume that k contains the 4th roots of unity. Let L and L' be two G-Galois algebras. Then  $q_L \simeq_G q_{L'}$  if and only if  $x_L = x_{L'}$  for all  $x \in H^1(G)$ .

PROOF. The algebra k[G] has two orthogonal factors k; since k contains the 4th roots of unity, there are no other involution invariant factors. Therefore u(L) = u(L') if and only if the cohomology classes u associated to the two degree 1 orthogonal factors coincide, and this is equivalent with the condition  $x_L = x_{L'}$  for all  $x \in H^1(G)$ . Hence, by [BSe 94], Proposition 1.5.1, we have  $q_L \simeq_G q_{L'}$ .

More generally, we have :

PROPOSITION 6.2. Let L and L' be two G-Galois algebras. Then  $q_L \simeq_G q_{L'}$  if and only if the following conditions hold :

(i)  $x_L = x_{L'}$  for all  $x \in H^1(G)$ .

(ii)  $\operatorname{disc}_A(q_L) = \operatorname{disc}_A(q_{L'})$  for all unitary factors A of k[G].

Before proving Proposition 6.2, note that when k contains the 4th roots of unity, then Proposition 6.2 follows from Proposition 6.1. Hence we only need to prove the proposition when k does not contain the 4th roots of unity.

From now on, we assume that k does not contain the 4th roots of unity. We start by introducing some notation. Set  $A(i) = k[X]/(X^{2^{i-1}} + 1)$ , for i = 1, ..., n; then the factors of k[G] are k, and A(1), ..., A(n). Note that k and A(1)are orthogonal, and A(2), ..., A(n) are unitary. For i = 2, ..., n, we have  $A(i) = F_{A(i)}$ .

PROOF OF PROPOSITION 6.2. Recall that we are assuming that k does not contain the 4th roots of unity (otherwise, the proposition follows from Proposition 6.1). For all factors A of k[G], let us denote by  $h_A$ , respectively  $h'_A$ , the hermitian form over  $(A, \sigma_A)$  determined by  $q_L$ , respectively  $q_{L'}$ .

Assume that  $q_L \simeq_G q_{L'}$ . Then (i) holds by [BSe 94], Proposition 2.2.1. Let A be a unitary factor; then the hermitian forms  $h_A$  and  $h'_A$  are isomorphic. Since  $\operatorname{disc}_A(q_L)$  and  $\operatorname{disc}_A(q_{L'})$  are invariants of these hermitian forms, condition (ii) holds as well.

Conversely, suppose that (i) and (ii) hold. Let us show that  $u_A(L) = u_A(L')$  for all factors A. Condition (i) implies that this is true for A = k and A = A(1); indeed, in both cases the group  $U_A$  is of order 2. Let us assume that A is a unitary factor, that is, A = A(i) for some i = 2, ..., n. Note that  $A = F_A$ , hence the hermitian forms  $h_A$  and  $h'_A$  are one dimensional hermitian forms over the commutative field  $F_A$ . Such a form is determined up to isomorphism

by its discriminant; hence condition (ii) implies that  $h_A \simeq h'_A$ . Therefore we have  $u_A(L) = u_A(L')$  for all factors A, hence u(L) = u(L'), and by [BSe 94], Proposition 1.5.1 we have  $q_L \simeq_G q_{L'}$ . This completes the proof of the Proposition.

Let us recall a notation from [Se 84], 1.5 or [Se 92], 9.1.3 : if m is an integer,  $m \ge 1$ , we denote by  $s_m \in H^2(S_m)$  the element of  $H^2(S_m)$  corresponding to the central extension

$$1 \to Z/2Z \to \tilde{S}_m \to S_m \to 1$$

which is characterized by the properties :

1. A transposition in  $S_m$  lifts to an element of order 2 in  $\tilde{S}_m$ .

2. A product of two disjoint transpositions lifts to an element of order 4 in  $\tilde{S}_m$ .

Note that  $s_m = 0$  if and only if  $m \leq 3$  (see [Se 84], 1.5).

If m is a power of 2,  $m \ge 2$ , let us denote by  $C_m$  the cyclic group of order m, and by  $e_m$  be the unique non-trivial element of  $H^2(C_m)$ . Sending a generator of  $C_m$  to an m-cycle of  $S_m$  defines an injective homomorphism  $f: C_m \to S_m$ ; we denote by  $f^*: H^2(S_m) \to H^2(C_m)$  the homomorphism induced by f.

If q is a quadratic form over k, we denote by  $w_2(q)$  its Hasse-Witt invariant (see for instance [Se 84], 1.2 or [Se 92], 9.1.2); it is an element of  $H^2(k)$ .

LEMMA 6.3. Let m be a power of 2.

(i) We have  $f^*(s_m) = e_m$  in  $H^2(C_m)$ .

(ii) Let  $\psi : \Gamma_k \to C_m$  be a continuous homomorphism, and let K be the étale algebra over k corresponding to  $\phi$ . Then the obstruction to the lifting of  $\phi$  to a homomorphism  $\Gamma_k \to C_{2m}$  is

$$w_2(q_K) + (2)(D_K)$$

where  $D_K$  is the discriminant of K, and  $(2)(D_K)$  denotes the cup product of the elements (2) and  $(D_K)$  of  $H^1(k)$ .

PROOF. (i) Let  $\tilde{C}_m$  be the inverse image of  $C_m$  in  $\tilde{S}_m$ ; it suffices to show that  $\tilde{C}_m \simeq C_{2m}$ , in other words that  $\tilde{C}_m$  is a non-trivial extension of  $C_m$ . Raising an m-cycle of  $S_m$  to the  $\frac{m}{2}$ -th power yields a product of  $\frac{m}{2}$  disjoint transpositions, and the inverse image of such an element in  $\tilde{S}_m$  is of order 4. Hence  $\tilde{C}_m$  is a non-trivial extension of  $C_m$ .

(ii) The obstruction to the lifting of  $\psi$  is  $\psi^*(e_m) \in H^2(k)$ . Since  $f^*(s_m) = e_m$  by (i), we have

$$(f \circ \psi)^*(s_m) = \psi^*(e_m).$$

On the other hand,  $(f \circ \psi)^*(s_m) = w_2(q_K) + (2)(D_K)$  by [Se 84], Theorem 1.

**PROPOSITION 6.4.** Let L be a G-Galois algebra, and assume that the  $H^{1-}$  condition holds. Then we have

- (i) Let A be a unitary factor of k[G]. If  $A \neq A(n)$ , then  $d_A(L) = 0$ .
- (ii) Let  $L = K \times \cdots \times K$ , where K is a field extension of k. Then

$$d_{A(n)}(L) = w_2(q_K) + (2)(D_K).$$

PROOF. Let  $\phi : \Gamma_k \to G$  be a homomorphism associated to L, let  $H = \phi(\Gamma_k)$ , and let us denote by |H| its order. Recall from §4 that the extension

(\*) 
$$1 \to Z/2Z \to V_A \to H \to 1$$

is defined by  $V_A = \{(x,h) \in F_A^{\times 1} \times H \mid x^2 = i_A(h)\}$ . Let us show that this extension is split if  $A \neq A(n)$ . Note that the group  $V_A$  is abelian, and hence (\*) is not split if and only if  $V_A$  is a cyclic group of order 2|H|. On the other hand, if  $A \neq A(n)$ , then the order of  $i_A(H)$  is strictly less than |H|, hence the group  $V_A$  does not have any elements of order 2|H|. Therefore the extension (\*) is split, and hence  $d_A(L) = 0$ ; this completes the proof of (i).

Let us prove (ii). If L is split, then (ii) obviously holds, hence we may assume that  $|H| \ge 2$ . If A = A(n), then the group  $V_A$  is cyclic of order 2|H|, and the extension (\*) is not split. Recall that we denote by  $e_A \in H^2(H)$  the class of this extension, and that  $d_A = \phi^*(e_A) \in H^2(k)$ . Note that  $\phi^*(e_A)$  is also the obstruction for the lifting of  $\phi : \Gamma_k \to H$  to a continuous homomorphism  $\Gamma_k \to V_A$ ; by Lemma 6.3 (ii) this obstruction is equal to  $w_2(q_K) + (2)(D_K)$ , hence (ii) is proved.

COROLLARY 6.5. Let L be a G-Galois algebra, and assume that the  $H^1$ -condition holds. Then L has a self-dual normal basis if and only if  $\operatorname{Res}_{E_{A(n)}/k}(d_{A(n)}(L)) = 0$  in  $\operatorname{Br}_2(E_{A(n)})$ .

PROOF. Proposition 6.2 implies that L has a self-dual normal basis if and only if the  $H^1$ -condition holds and if  $\operatorname{disc}_A(q_L) = 0$  for all unitary factors A of k[G]. By Theorem 4.5 we have  $\operatorname{Res}_{E_A/k}(d_A(L)) = \operatorname{disc}_A(q_L)$ , and Proposition 6.4 (i) implies that  $d_A(L) = 0$  if  $A \neq A(n)$ . This completes the proof of the corollary.

COROLLARY 6.6. Let L be a G-Galois algebra, and assume that the  $H^{1-}$ condition holds. Let  $L = K \times \cdots \times K$ , where K is a field extension of k, with Gal(K/k) cyclic of order m. If K can be embedded in a Galois extension of k with cyclic Galois group of order 2m, then L has a self-dual normal basis.

PROOF. Assume that K can be embedded in a Galois extension of k with cyclic Galois group of order 2m. Then by Lemma 6.3 (ii) we have  $w_2(q_K) + (2)(D_K) = 0$ . By Proposition 6.4 (ii), this implies that  $d_{A(n)}(L) = 0$ , and hence by Corollary 6.5 the G-Galois algebra L has a self-dual normal basis.

EXAMPLE 6.7. Assume that G is of order 8. Let  $a, b, c, \epsilon \in k$  with  $a^2 - b^2 \epsilon = c^2 \epsilon$ ; assume c non-zero, and  $\epsilon$  not a square. Set  $x = \sqrt{\epsilon}$ , and let  $K = k(\sqrt{a+bx})$ ; note that  $D_K = \epsilon$ , and that K/k is a cyclic extension of degree 4 (see for instance [Se 92], Theorem 1.2.1). Let L be the G-Galois algebra induced from K. Let us prove that

L has a self-dual normal basis  $\iff a$  is a sum of two squares in  $k(\sqrt{2})$ .

Indeed, set A = A(3); by Corollary 6.5 the *G*-Galois algebra *L* has a selfdual normal basis if and only if  $\operatorname{Res}_{E_A/k}(d_A(L)) = 0$ . We have  $d_A(L) = w_2(q_K) + (2)(\epsilon)$  by Proposition 6.4 (ii).

Let us show that  $w_2(q_K) = (-1)(a)$ . Set  $y = \sqrt{a + bx}$ . Then  $\{1, x, y, xy\}$  is a basis of K over k, and in this basis the quadratic form  $q_K$  is the orthogonal sum of the diagonal form  $\langle 1, \epsilon \rangle$  and of the quadratic form q given by  $aX^2 + 2b\epsilon XY + a\epsilon Y^2$ . The form q represents a, and its determinant is  $\epsilon(a^2 - b^2\epsilon) = c^2\epsilon^2$ , hence  $\det(q) = 1$  in  $k^2/k^{\times 2}$ . This implies that  $q \simeq \langle a, a \rangle$ , hence  $q_K \simeq \langle 1, \epsilon, a, a \rangle$ , and  $w_2(q_K) = (a)(a) = (-1)(a)$ .

Therefore  $d_A(L) = (-1)(a) + (2)(\epsilon)$ . Note that  $E_A = k(\sqrt{2})$ ; hence  $\operatorname{Res}_{A/k}(d_A(L)) = \operatorname{Res}_{k(\sqrt{2})/k}((-1)(a))$ , and this element is 0 if and only if a is a sum of two squares in  $k(\sqrt{2})$ .

Note that combining this example with Example 5.2 (i) we get a necessary and sufficient condition for a  $C_8$ -Galois algebra to have a self-dual normal basis.

# §7. Self-dual normal bases over local fields

We keep the notation of the previous sections, and assume that k is a (non-archimedean) local field. The aim of this section is to give a necessary and sufficient condition for the existence of self-dual normal bases in terms of invariants defined over k.

We say that A is *split* if it is a matrix algebra over its center.

THEOREM 7.1. The G-Galois algebra L has a self-dual normal basis if and only if the  $H^1$ -condition holds, and

(i) For all orthogonal A such that  $[E_A : k]$  is odd and A is split, we have  $c_A(L) = 0$  in  $Br_2(k)$ .

(ii) For all unitary A such that  $[E_A:k]$  is odd, we have  $d_A(L) = 0$  in  $Br_2(k)$ .

PROOF. Assume that the  $H^1$ -condition is satisfied and that (i) and (ii) hold. Note that if A is not split, then we have  $\operatorname{Br}_2(E_A)/\langle A \rangle = 0$ , and that if  $[E_A : k]$  is even, then the map  $\operatorname{Res}_{E_A/k} : \operatorname{Br}_2(k) \to \operatorname{Br}_2(E_A)$  is trivial. Therefore for all orthogonal A we have  $\operatorname{Res}_{E_A/k}(c_A(L)) = 0$  in  $\operatorname{Br}_2(E_A)/\langle A \rangle$ , and for all unitary A we have  $\operatorname{Res}_{E_A/k}(d_A(L)) = 0$  in  $\operatorname{Br}_2(E_A)$ . By Theorem 5.3, this implies that L has a self-dual normal basis.

Conversely, suppose that L has a self-dual normal basis. Then the  $H^1$ -condition holds by Proposition 2.1. By Theorem 5.1 we have  $\operatorname{Res}_{E_A/k}(c_A(L)) = 0$  in  $\operatorname{Br}_2(E_A)/\langle A \rangle$  for all orthogonal A. Since  $\operatorname{Res}_{E_A/k}$  :  $\operatorname{Br}_2(k) \to \operatorname{Br}_2(E_A)$  is injective if  $[E_A:k]$  is odd, condition (i) holds. Moreover, Theorem 5.1 implies that if A is unitary, then  $\operatorname{Res}_{E_A/k}(d_A(L)) = 0$  in  $\operatorname{Br}_2(E_A)$ . Applying again the injectivity of  $\operatorname{Res}_{E_A/k}$  when  $[E_A:k]$  is odd, we obtain condition (ii). This completes the proof of the theorem.

#### §8. Self-dual normal bases over global fields

We keep the notation of the previous sections. Assume that k is a global field, and let  $\Omega_k$  be the set of places of k. For all  $v \in \Omega_k$ , we denote by  $k_v$  the completion of k at v. For all k-algebras R, set  $R^v = R \otimes_k k_v$ . We say that a G-Galois algebra is *split* if it is isomorphic to a direct product of copies of k permuted by G. We now apply the Hasse principle of [BPS 13] together with Theorem 7.1 above to give necessary and sufficient conditions for the existence of a self-dual normal basis over k.

Note that the fields  $E_A$  are abelian Galois extensions of k (cf. 1.2).

For all finite places v, let us write  $E_A^v = K_A(v) \times \cdots \times K_A(v)$ , where  $K_A(v)$  is a field extension of  $k_v$ . Set  $n_A^v = [K_A(v) : k_v]$ .

We need additional notation in the case when A is unitary. Note that while A is a central simple algebra over  $F_A$ , and  $F_A/E_A$  is a quadratic extension, for some places  $v \in \Omega_k$  we may have  $F_A^v = E_A^v \times E_A^v$  with  $\sigma_A$  permuting the components, and  $A^v = B \times B$  for some  $k_v$ -algebra B. In order to take this into account, we set  $\epsilon_A^v = 0$  if  $F_A^v = E_A^v \times E_A^v$ , and  $\epsilon_A^v = 1$  otherwise.

THEOREM 8.1. The G-Galois algebra L has a self-dual normal basis if and only if the  $H^1$ -condition holds, if  $L^v$  is split for all real places v, and if for all finite places v we have

(i) For all orthogonal A such that  $n_A^v$  is odd and  $A^v$  is split, we have  $c_A(L) = 0$ in  $\operatorname{Br}_2(k_v)$ .

(ii) For all unitary A such that  $n_A^v$  is odd and  $\epsilon_A^v = 1$ , we have  $d_A(L) = 0$  in  $\operatorname{Br}_2(k_v)$ .

PROOF. If L has a self-dual normal basis, then  $L^v$  is split for all real places v by [BSe 94], Corollaire 3.1.2, and conditions (i) and (ii) hold for all finite places v by Theorem 7.1. Conversely, assume that  $L^v$  is split for all real places v, and that for all finite places v conditions (i) and (ii) hold. Then [BSe 94], Corollary 3.1.2 (for real places) and Theorem 7.1 (for finite places) imply the existence of a self-dual normal basis for  $L^v$ , for all  $v \in \Omega_k$ . By the Hasse principle result of [BPS 13], Theorem 1.3.1, the *G*-Galois algebra L has a self-dual normal basis over k.

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