DUALITY FOR RELATIVE LOGARITHMIC DE RHAM-WITT SHEAVES ON SEMISTABLE SCHEMES OVER $\mathbb{F}_q[[t]]$

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ABSTRACT. We study duality theorems for the relative logarithmic de Rham-Witt sheaves on semi-stable schemes X over a local ring $\mathbb{F}_q[[t]]$, where \mathbb{F}_q is a finite field. As an application, we obtain a new filtration on the maximal abelian quotient $\pi_1^{ab}(U)$ of the étale fundamental groups $\pi_1(U)$ of an open subscheme $U \subseteq X$, which gives a measure of ramification along a divisor D with normal crossing and $\operatorname{Supp}(D) \subseteq X - U$. This filtration coincides with the Brylinski-Kato-Matsuda filtration in the relative dimension zero case.

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INTRODUCTION

The motivation of this paper is to study ramification theory for higherdimensional schemes of characteristic p > 0. In the light of class field theory, we want to define a filtration on the abelianized étale fundamental group of an open subscheme U of a regular scheme X, which measures the ramification

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of a finite étale covering of U along the complement D = X - U. More precisely, let $D = \bigcup_{i=1}^{s} D_i$ be a reduced effective Cartier divisor on X such that Supp(D) has simple normal crossing, where D_1, \dots, D_s are the irreducible components of D, and let U be its complement in X. We want to define a quotient group $\pi_1^{ab}(X, mD)/p^n$ of $\pi_1^{ab}(U)/p^n$, for a divisor $mD = \sum_{i=1}^{s} m_i D_i$ with each $m_i \geq 1$, which classifies the finite étale coverings of degree p^n over U with ramification bounded by mD along the divisor D.

We define the quotient group $\pi_1^{ab}(X, mD)/p^n$ by using the relationship between $\pi_1^{ab}(U)/p^n$ and $H^1(U, \mathbb{Z}/p^n\mathbb{Z})$, and by then applying a duality theorem for certain cohomology groups. For this we assume some finiteness conditions on the scheme X. The first is to assume that X is smooth and proper of dimension d over the finite field \mathbb{F}_q . For a finite étale covering of U of degree ℓ^n , where ℓ is a prime different from p, this was already done by using duality theory in ℓ -adic cohomology [SGA4 [AGV72]]. The Poincaré-Pontryagin duality theorem [Sai89] gives isomorphisms

$$\pi_1^{\mathrm{ab}}(U)/\ell^n \cong \mathrm{Hom}(H^1(U,\mathbb{Z}/\ell^n),\mathbb{Q}/\mathbb{Z}) \cong H^d_{\mathrm{c}}(U,\mathbb{Z}/\ell^n(d)).$$

The case of degree p^n coverings is more subtle, as we deal with wild ramification and there is no obvious analogue of cohomology with compact support for logarithmic de Rham-Witt sheaves. In [JSZ18], we proposed a new approach. That duality theorem, based on Serre's coherent duality and Milne's duality theorems, together with Pontryagin duality give isomorphisms

$$\pi_1^{ab}(U)/p^n \cong \operatorname{Hom}(H^1(U, \mathbb{Z}/p^n\mathbb{Z}), \mathbb{Q}/\mathbb{Z}) \cong \varprojlim_m H^d(X, W_n\Omega^d_{X|mD, \log}),$$

where $W_n \Omega^d_{X|mD,\log}$ (see Definition 3.3.1) is the relative logarithmic de Rham-Witt sheaf with respect to the divisor mD. Using these isomorphisms, we define a quotient $\pi_1^{ab}(X, mD)/p^n$ of $\pi_1^{ab}(U)/p^n$, ramified of order mD where m is the smallest value such that the above isomorphism factors through $H^d(X, W_n \Omega^d_{X|mD,\log})$. We may think of $\pi_1^{ab}(X, mD)/p^n$ as the quotient of $\pi_1^{ab}(U)$ classifying abelian étale coverings of U whose degree divides p^n with ramification bounded by mD. In [KS14][KS16], Kerz and Saito also defined a similar quotient group by using curves on X.

The second finiteness condition is to assume that X is proper (or projective) over a discrete valuation ring R. More precisely, we may assume that X is a proper semi-stable scheme over $\operatorname{Spec}(R)$. Then there are two cases: mixed and equi-characteristic. In the mixed characteristic case, instead of logarithmic de Rham-Witt sheaves, Sato [Sat07b] defined the *p*-adic Tate twists, and proved an arithmetic duality theorem for X. In [Uzu16], Uzun proved that over the *p*-adic field $\pi_1^{ab}(U)/n$ is isomorphic to some motivic homology groups, for all n > 0.

In this paper, we treat the equi-characteristic case, where the wildly ramified case has not been considered before. We follow the approach suggested by Jannsen and Saito in [JSZ18]. The main result of this paper is the following theorem.

THEOREM A (see Theorem 3.4.2). Let $X \to Spec(\mathbb{F}_q[[t]])$ be a projective strictly semistable scheme of relative dimension d, and let X_s be its special fiber. Let D be an effective Cartier divisor on X such that Supp(D) has simple normal crossing, and let U be its open complement. Then there is a perfect pairing of topological $\mathbb{Z}/p^n\mathbb{Z}$ -modules

$$H^{i}(U, W_{n}\Omega^{r}_{U, \log}) \times \varprojlim_{m} H^{d+2-i}_{X_{s}}(X, W_{n}\Omega^{d+1-r}_{X|mD, \log}) \xrightarrow{T_{r}} \mathbb{Z}/p^{n}\mathbb{Z}$$

where the $H_{X_s}^{d+2-i}(X, W_n \Omega_{X|mD,\log}^{d+1-r})$ is endows with the discrete topology, and $H^i(U, W_n \Omega_{U,\log}^r)$ is endowed with the direct limit topology of compact-open groups.

Therefore, we can define a filtration Fil_• on $H^i(U, W_n \Omega^r_{U, \log})$ via the inverse limit (see Definition 3.4.9). This theorem and Pontryagin duality give isomorphisms

$$\pi_1^{ab}(U)/p^n \cong \operatorname{Hom}(H^1(U, \mathbb{Z}/p^n\mathbb{Z}), \mathbb{Q}/\mathbb{Z}) \cong \varprojlim_m H^{d+1}_{X_s}(X, W_n\Omega^{d+1}_{X|mD, \log})$$

and so we may define $\pi_1^{ab}(X, mD)/p^n$ as the dual of $\operatorname{Fil}_m H^1(U, \mathbb{Z}/p^n\mathbb{Z})$ (see Definition 3.4.9).

This paper is organized as follows.

In the first section, we will prove a new purity theorem on certain regular schemes. Its cohomological version will be used later for the trace map in the above duality theorem.

THEOREM B (see Theorem 1.4.4). Assume X is as before, and $i : X_s \hookrightarrow X$ is the special fiber, which is a reduced divisor and has simple normal crossing. Then there is a canonical isomorphism

$$Gys_{i,n}^{\log}: \nu_{n,X_s}^d[-1] \xrightarrow{\cong} Ri^! W_n \Omega_{X,\log}^{d+1}$$

in $D^+(X_s, \mathbb{Z}/p^n\mathbb{Z})$, where

$$\nu_{n,X_s}^d = \ker(\bigoplus_{x \in X_s^0} i_{x*} W_n \Omega_{x,\log}^d \to \bigoplus_{x \in X_s^1} i_{x*} W_n \Omega_{x,\log}^{d-1})$$

and X_s^i is the set of codimension *i* points on X_s , for i = 0, 1.

Our goal in the second section is to develop an absolute coherent duality on X. This can be achieved by combining an absolute coherent duality on the local ring $B = \text{Spec}(\mathbb{F}_q[[t]])$ and a relative duality for f. For the former, we use the Grothendieck local duality, and the latter is following theorem.

THEOREM C (see Theorem 2.3.1). Let $f: X \to B = Spec(\mathbb{F}_q[[t]])$ be a projective strictly semistable scheme. Then there is a canonical trace isomorphism

$$Tr_f: \Omega^{d+1}_X[d] \xrightarrow{\cong} f^!\Omega^1_B.$$

In the third section, we study the duality theorems of logarithmic de Rham-Witt sheaves on our projective semistable scheme. In fact, we will prove two duality theorems. The first one is for $H^i(X, W_n \Omega^j_{X, \log})$, which we call unramified duality.

THEOREM D (see Theorem 3.1.1). The natural pairing

$$H^{i}(X, W_{n}\Omega^{j}_{X, \log}) \times H^{d+2-i}_{X_{s}}(X, W_{n}\Omega^{d+1-j}_{X, \log}) \to H^{d+2}_{X_{s}}(X, W_{n}\Omega^{d+1}_{X, \log}) \xrightarrow{Tr} \mathbb{Z}/p^{n}\mathbb{Z}$$

induces an isomorphism

$$H^{i}(X, W_{n}\Omega^{j}_{X, \log}) \xrightarrow{\cong} Hom_{\mathbb{Z}/p^{n}\mathbb{Z}}(H^{d+2-i}_{X_{s}}(X, W_{n}\Omega^{d+1-j}_{X, \log}), \mathbb{Z}/p^{n}\mathbb{Z}).$$

of $\mathbb{Z}/p^n\mathbb{Z}$ -modules.

The second is the above main Theorem A for $H^i(U, W_n \Omega^j_{U,\log})$. We call it ramified duality. To define the pairing, we do further studies on the sheaves $W_n \Omega^r_{X|mD,\log}$ in the middle two subsections.

In the last section, we will compare our new filtration with previously known filtrations in some special cases. The first interesting case would be the filtration in local ramification theory. We can show that for the local field $K = \mathbb{F}_q((t))$ our filtration agree with the non-log version of Brylinski-Kato filtration fil_ $H^1(K, \mathbb{Z}/p^n\mathbb{Z})$ [Bry83] [Kat89] defined by Matsuda [Mat97]:

PROPOSITION E (Proposition 4.2.3). For any integer $m \geq 1$, we have $Fil_m H^1(K, \mathbb{Z}/p^n\mathbb{Z}) = fil_m H^1(K, \mathbb{Z}/p^n\mathbb{Z}).$

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1 Purity

1.1 LOGARITHMIC DE RHAM-WITT SHEAVES

Let X be a scheme of dimension d over a perfect field k of characteristic p > 0, and let $W_n(k)$ be the ring of Witt vectors of length n.

Based on ideas of Lubkin, Bloch and Deligne, Illusie defined the de Rham-Witt complex [Ill79]. Recall the de Rham-Witt complex $W\Omega^{\bullet}_{X/k}$ is the inverse limit of an inverse system $(W_n\Omega^{\bullet}_{X/k})_{n\geq 1}$ of complexes

$$W_n\Omega^{\bullet}_{X/k} := (W_n\Omega^0_{X/k} \xrightarrow{d} W_n\Omega^1_{X/k} \to \cdots \xrightarrow{d} W_n\Omega^i_{X/k} \xrightarrow{d} \cdots)$$

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of sheaves of $W_n \mathcal{O}_X$ -modules on the Zariski site of X. The complex $W_n \Omega^{\bullet}_{X/k}$ is called the de Rham-Witt complex of level n.

This complex $W_n \Omega^{\bullet}_{X/k}$ is a strictly anti-commutative differential graded $W_n(k)$ -algebra. In the rest of this section, we will omit the subscript /k to simplify the notation.

We have the following operators on the de Rham-Witt complex ([Ill79, I]):

- (i) The projection $R: W_n \Omega^{\bullet}_X \to W_{n-1} \Omega^{\bullet}_X$, which is a surjective homomorphism of differential graded algebras.
- (ii) The Verschiebung $V: W_n\Omega^\bullet_X\to W_{n+1}\Omega^\bullet_X$, which is an additive homomorphism.
- (iii) The Frobenius $F: W_n \Omega_X^{\bullet} \to W_{n-1} \Omega_X^{\bullet}$, which is a homomorphism of differential graded algebras.

PROPOSITION 1.1.1 ([III79, I 1.13,1.14]).

(i) For each $n \geq 1$, and each i, $W_n \Omega_X^i$ is a quasi-coherent $W_n \mathcal{O}_X$ -module.

(ii) For any étale morphism $f: X \to Y$, $f^*W_n\Omega_Y^i \to W_n\Omega_X^i$ is an isomorphism of $W_n\mathcal{O}_X$ -modules.

Remark 1.1.2. Let \mathscr{F} be a quasi-coherent on X, we denote its associated sheaf on $X_{\text{\acute{e}t}}$ by $\mathscr{F}_{\text{\acute{e}t}}$, then we have $H^i(X_{\text{Zar}}, \mathscr{F}) = H^i(X_{\text{\acute{e}t}}, \mathscr{F}_{\text{\acute{e}t}})$, for all $i \ge 0$ [Mil80, III 3.7]. By the above proposition, we may also denote $W_n \Omega_X^i$ as sheaf on $X_{\text{\acute{e}t}}$, and its étale and Zariski cohomology groups are agree.

Cartier operators are another type of operators on the de Rham-Witt complex. Before stating the theorem, we set

$$ZW_n\Omega_X^i := \operatorname{Ker}(d: W_n\Omega_X^i \to W_n\Omega_X^{i+1});$$

$$BW_n\Omega_X^i := \operatorname{Im}(d: W_n\Omega_X^{i-1} \to W_n\Omega_X^i);$$

$$\mathscr{H}^i(W_n\Omega_X^\bullet) := ZW_n\Omega_X^i/BW_n\Omega_X^i;$$

$$Z_1W_n\Omega_X^i := \operatorname{Im}(F: W_{n+1}\Omega_X^i \to W_n\Omega_X^i)$$

$$= \operatorname{Ker}(F^{n-1}d: W_n\Omega_X^i \xrightarrow{d} W_n\Omega_X^{i+1} \xrightarrow{F^{n-1}} \Omega_X^{i+1}).$$

Since $W_1\Omega_X^i \cong \Omega_X^i$, $ZW_1\Omega_X^i$ (resp. $BW_1\Omega_X^i$) is also denoted by $Z\Omega_X^i$ (resp. $B\Omega_X^i$). Note that $Z\Omega_X^i$, $B\Omega_X^i$, and $\mathscr{H}^i(\Omega_X^o)$ can be given \mathcal{O}_X -module structures via the absolute Frobenius morphism F on \mathcal{O}_X .

THEOREM 1.1.3 (Cartier, [Kat70, Thm. 7.2], [Ill96, Thm. 3.5]). Suppose X is of finite type over k. Then there exists a unique p-linear homomorphism of graded \mathcal{O}_X -algebras

$$C^{-1}:\bigoplus\Omega^i_X\longrightarrow\bigoplus\mathscr{H}^i(\Omega^\bullet_X)$$

satisfying the following two conditions:

- (i) For $a \in \mathcal{O}_X$, $C^{-1}(a) = a^p$;
- (*ii*) For $dx \in \Omega^1_X$, $C^{-1}(dx) = x^{p-1}dx$.

If X is moreover smooth over k, then C^{-1} is an isomorphism. It is called inverse Cartier isomorphism. The inverse of C^{-1} is called Cartier operator, and is denoted by C.

Higher Cartier operators can be defined as follows, which comes back to the above theorem in the case n = 1.

PROPOSITION 1.1.4 ([IR83, III], [Kat85, § 4]). If X is smooth over k, then there is a unique higher Cartier morphism $C: Z_1 W_{n+1} \Omega_X^i \to W_n \Omega_X^i$ such that the diagram



is commutative. We have an isomorphism

$$W_n \Omega_X^i \xrightarrow{F} Z_1 W_{n+1} \Omega_X^i / dV^{n-1} \Omega_X^{i-1},$$

and an exact sequence

$$0 \to dV^{n-1}\Omega_X^{i-1} \to Z_1 W_{n+1}\Omega_X^i \xrightarrow{C} W_n \Omega_X^i.$$

For a smooth variety X over k, the composite morphism

$$W_{n+1}\Omega^i_X \xrightarrow{F} W_n\Omega^i_X \twoheadrightarrow W_n\Omega^i_X/dV^{n-1}\Omega^{i-1}_X$$

is trivial on $\operatorname{Ker}(R: W_{n+1}\Omega_X^i \to W_n\Omega_X^i) = V^n\Omega_X^i + dV^n\Omega_X^{i-1}$. Therefore F induces a morphism

$$F: W_n \Omega^i_X \to W_n \Omega^i_X / dV^{n-1} \Omega^{i-1}_X.$$

DEFINITION 1.1.5. Let X be a smooth variety over k. For any positive integer n, and any non-negative integer i, we define the *i*-th logarithmic de Rham-Witt sheaf of length n as

$$W_n\Omega^i_{X,\log} := Ker(W_n\Omega^i_X \xrightarrow{1-F} W_n\Omega^i_X/dV^{n-1}\Omega^{i-1}_X).$$

For any $x \in X$, we denote $W_n \Omega^i_{x,\log} := W_n \Omega^i_{\kappa(x),\log}$, where $\kappa(x)$ is the residue field at x.

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Remark 1.1.6. (Local description of $W_n \Omega^i_{X,\log}$ [Ill79, I 1.3]) The *i*-th logarithmic de Rham-Witt sheaf $W_n \Omega^i_{X,\log}$ is the additive subsheaf of $W_n \Omega^i_X$, which is étale locally generated by sections $d \log[x_1]_n \cdots d \log[x_i]_n$, where $x_i \in \mathcal{O}_X^{\times}$, $[x]_n$ is the Teichmüller representative of x in $W_n \mathcal{O}_X$, and $d \log[x]_n := \frac{d[x]_n}{[x]_n}$. In other words, it is generated by the image of

PROPOSITION 1.1.7 ([CTSS83],[GS88a],[III79]). For a smooth variety X over k, we have the following exact sequences of étale sheaves on X:

$$(i) \ 0 \to W_n \Omega^i_{X,\log} \xrightarrow{p^m} W_{n+m} \Omega^i_{X,\log} \xrightarrow{R} W_m \Omega^i_{X,\log} \to 0;$$

$$(ii) \ 0 \to W_n \Omega^i_{X,\log} \to W_n \Omega^i_X \xrightarrow{1-F} W_n \Omega^i_X / dV^{n-1} \Omega^{i-1}_X \to 0;$$

$$(iii) \ 0 \to W_n \Omega^i_{X,\log} \to Z_1 W_n \Omega^i_X \xrightarrow{C-1} W_n \Omega^i_X \to 0.$$

Proof. The first assertion is Lemma 3 in [CTSS83], and the second is Lemma 2 in loc.cit.. The last one is Lemma 1.6 in [GS88a], which can easily be deduce from (ii). In particular, for n = 1, (iii) can be also found in [Ill79].

The logarithmic de Rham-Witt sheaves $W_n \Omega_{X,\log}^i$ are $\mathbb{Z}/p^n \mathbb{Z}$ -sheaves, which have a similar duality theory as the $\mathbb{Z}/\ell^n \mathbb{Z}$ -sheaves $\mu_{\ell}^{\otimes n}$ with $\ell \neq p$ for a smooth proper variety:

THEOREM 1.1.8. (Milne duality [Mil86, 1.12]) Let X be a smooth proper variety over k of dimension d, and let n be a positive integer. Then the following holds:

- (i) There is a canonical trace map $tr_X : H^{d+1}(X, W_n\Omega^d_{X, \log}) \to \mathbb{Z}/p^n\mathbb{Z}$. It is bijective if X is connected;
- (ii) For any integers i and r with $0 \le r \le d$, the natural pairing

$$H^{i}(X, W_{n}\Omega^{r}_{X, \log}) \times H^{d+1-i}(X, W_{n}\Omega^{d-r}_{X, \log}) \to \mathbb{Z}/p^{n}\mathbb{Z}$$

is a non-degenerate pairing of finite $\mathbb{Z}/p^n\mathbb{Z}$ -modules.

Remark 1.1.9. The proof can be obtained in the following way: using the exact sequence (i) in Proposition 1.1.7, we reduce to the case n = 1, which can be obtained from Serre's coherent duality via the exact sequence (ii) and (iii) in the same proposition.

1.2 NORMAL CROSSING VARIETIES

In [Sat07a], Sato generalized the definition of logarithmic de Rham-Witt sheaves from smooth varieties to more general varieties, and proved that they share similar properties on normal crossing varieties.

Let Z be a variety over k of dimension d. For a non-negative integer m and a positive integer n > 0, we denote by $C_n^{\bullet}(Z, m)$ the following complex of étale sheaves on X

$$\bigoplus_{x \in Z^0} i_{x*} W_n \Omega_{x,\log}^m \xrightarrow{(-1)^m \cdot \partial} \bigoplus_{x \in Z^1} i_{x*} W_n \Omega_{x,\log}^{m-1} \xrightarrow{(-1)^m \cdot \partial} \cdots$$
$$\xrightarrow{(-1)^m \cdot \partial} \bigoplus_{x \in Z^c} i_{x*} W_n \Omega_{x,\log}^{m-c} \xrightarrow{(-1)^m \cdot \partial} \cdots$$

where i_x is the natural map $x \to Z$, Z^i is the set of codimension *i* points, and ∂ denotes the sum of Kato's residue maps (see [JSS14, 1.7][Kat86]).

- DEFINITION 1.2.1. (i) The mth homological logarithmic Hodge-Witt sheaf is defined as the 0-th cohomology sheaf $\mathscr{H}^0(C^{\bullet}_n(Z,m))$ of the complex $C^{\bullet}_n(Z,m)$, and denoted by $\nu^m_{n,Z}$.
- (ii) The mth cohomological Hodge-Witt sheaf is the image of

$$d\log: (\mathcal{O}_Z^{\times})^{\otimes m} \longrightarrow \bigoplus_{x \in Z^0} i_{x*} W_n \Omega_{x,\log}^m,$$

and denoted by $\lambda_{n,Z}^m$.

Remark 1.2.2. If Z is smooth, then $\nu_{n,Z}^m = \lambda_{n,Z}^m = W_n \Omega_{Z,\log}^m$, but in general $\lambda_{n,Z}^m \subsetneq \nu_{n,Z}^m$ [Sat07a, Rmk. 4.2.3].

DEFINITION 1.2.3. The variety Z is called normal crossing variety if it is everywhere étale locally isomorphic to

$$Spec(k[x_0,\cdots,x_d]/(x_0\cdots x_a))$$

for some integer $a \in [0, d]$, where d = dim(Z). A normal crossing variety is called simple if every irreducible component is smooth.

PROPOSITION 1.2.4. ([Sat07a, Cor. 2.2.5(1)]) For a normal crossing variety Z, the natural map $\nu_{n,Z}^m \longrightarrow C_n^{\bullet}(Z,m)$ is a quasi-isomorphism of complexes.

THEOREM 1.2.5. ([Sat07a, Thm. 1.2.2]) Let Z be a normal crossing variety over a finite field, and proper of dimension d. Then the following holds:

- (i) There is a canonical trace map $tr_Z : H^{d+1}(Z, \nu_{n,Z}^d) \to \mathbb{Z}/p^n\mathbb{Z}$. It is bijective if Z is connected.
- (ii) For any integers i and j with $0 \le j \le d$, the natural pairing

$$H^{i}(Z,\lambda_{n,Z}^{j}) \times H^{d+1-i}(Z,\nu_{n,Z}^{d-j}) \to H^{d+1}(Z,\nu_{n,Z}^{d}) \xrightarrow{tr_{Z}} \mathbb{Z}/p^{n}\mathbb{Z}$$

is a non-degenerate pairing of finite $\mathbb{Z}/p^n\mathbb{Z}$ -modules.

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1.3 REVIEW ON PURITY

In the ℓ -adic setting, the sheaf $\mu_{\ell^n}^{\otimes r}$ on a regular scheme has purity. This was called Grothendieck's absolute purity conjecture, and it was proved by Gabber and can be found in [Fuj02]. In the *p*-adic case, we may ask if purity holds for the logarithmic de Rham-Witt sheaves. But these sheaves only have semi-purity(see Remark 1.3.3 below).

PROPOSITION 1.3.1. ([Gro85]) Let $i: Z \hookrightarrow X$ be a closed immersion of smooth schemes of codimension c over a perfect field k of characteristic p > 0. Then, for $r \ge 0$ and $n \ge 1$, $R^m i^! W_n \Omega^r_{X, \log} = 0$ if $m \ne c, c+1$.

For the logarithmic de Rham-Witt sheaf at top degree, i.e., $W_n \Omega^d_{X,\log}$ where $d = \dim(X)$, the following theorem tells us $R^{c+1}i^!W_n \Omega^d_{X,\log} = 0$.

THEOREM 1.3.2. ([GS88b, Mil86, Suw95]) Assume $i : Z \hookrightarrow X$ is as above. Let d = dim(X). Then, for $n \ge 1$, there is a canonical isomorphism (called Gysin morphism)

$$Gys_i^d: W_n\Omega^{d-c}_{Z,\log}[-c] \longrightarrow Ri^!W_n\Omega^d_{X,\log}$$

in $D^+(Z, \mathbb{Z}/p^n\mathbb{Z})$.

Remark 1.3.3. Note that the above theorem is only for the *d*-th logarithmic de Rham-Witt sheaf. For m < d, the $R^{c+1}i^!W_n\Omega^m_{X,\log}$ is non zero in general [Mil86, Rem. 2.4]. That's the reason why we say they only have semi-purity.

Sato generalized the above theorem to normal crossing varieties.

THEOREM 1.3.4. ([Sat07a, Thm. 2.4.2]) Let X be a normal crossing varieties of dimension d, and $i : Z \hookrightarrow X$ be a closed immersion of pure codimension $c \ge 0$. Then, for $n \ge 1$, there is a canonical isomorphism(also called Gysin morphism)

$$Gys_i^d: \nu_{n,Z}^{d-c}[-c] \xrightarrow{\cong} Ri^! \nu_{n,X}^d$$

in $D^+(Z, \mathbb{Z}/p^n\mathbb{Z})$.

Remark 1.3.5. The second Gysin morphism coincides with the first one, when X and Z are smooth [Sat07a, 2.3,2.4]. In loc.cit., Sato studied the Gysin morphism of $\nu_{n,X}^r$ for $0 \le r \le d$. In fact the above isomorphism for $\nu_{n,X}^d$ was already proved by Suwa [Suw95, 2.2] and Morse [Mos99, 2.4].

COROLLARY 1.3.6. If $i : Z \hookrightarrow X$ is a normal crossing divisor(i.e normal crossing subvariety of codimension 1 in X) and X is smooth, then we have

$$Gys_i^d: \nu_{n,Z}^{d-1}[-1] \xrightarrow{\cong} Ri^! W_n \Omega^d_{X,\log}$$

in $D^+(Z, \mathbb{Z}/p^n\mathbb{Z})$.

Proof. This follows from the fact that $W_n \Omega^d_{X, \log} = \nu^d_{n, X}$, when X is smooth over k.

We want to generalize this corollary to the case where X is regular. For this, we need a purity result of Shiho [Shi07], which is a generalization of Theorem 1.3.2 for smooth schemes to regular schemes.

DEFINITION 1.3.7. Let X be a scheme over \mathbb{F}_p , and $i \in \mathbb{N}_0, n \in \mathbb{N}$. Then we define the *i*-th logarithmic de Rham-Witt sheaf $W_n \Omega^i_{X,\log}$ as the subsheaf of $W_n \Omega^i_X$, which is generated by the image of

$$d\log: (\mathcal{O}_X^{\times})^{\otimes i} \longrightarrow W_n \Omega_X^i,$$

where $d \log is$ defined by

$$d\log(x_1\otimes\cdots\otimes x_i)=d\log[x_1]_n\cdots d\log[x_i]_n,$$

and $[x]_n$ is the Teichmüller representative of x in $W_n \mathcal{O}_X$.

Remark 1.3.8. Note that this definition is a simple generalization of the classical definition for smooth X, by comparing with the local description of logarithmic de Rham-Witt sheaves in Remark 1.1.6.

As in Theorem 1.1.3, we can define the inverse Cartier operator similarly for a scheme over \mathbb{F}_p . Using the Néron-Popescu approximation theorem [Swa98](see Theorem 3.2.10 below) and Grothendieck's limit theorem (SGA 4 [AGV72, VII, Thm. 5.7]), Shiho showed the following results.

PROPOSITION 1.3.9. ([Shi07, Prop. 2.5]) If X is a regular scheme over \mathbb{F}_p , the inverse Cartier homomorphism C^{-1} is an isomorphism.

Using the same method, we can prove:

THEOREM 1.3.10. The results of Proposition 1.1.4 also hold for a regular scheme over \mathbb{F}_p .

PROPOSITION 1.3.11. ([Shi07, Prop. 2.8, 2.10, 2.12]) Let X be a regular scheme over \mathbb{F}_p . Then we have the following exact sequences:

 $(i) \ 0 \to W_n \Omega^i_{X, \log} \xrightarrow{p^m} W_{n+m} \Omega^i_{X, \log} \xrightarrow{R} W_m \Omega^i_{X, \log} \to 0;$

(*ii*)
$$0 \to W_n \Omega^i_{X, \log} \to W_n \Omega^i_X \xrightarrow{1-F} W_n \Omega^i_X / dV^{n-1} \Omega^{i-1}_X \to 0;$$

(*iii*)
$$0 \to W_n \Omega^i_{X, \log} \to Z_1 W_n \Omega^i_X \xrightarrow{C-1} W_n \Omega^i_X \to 0.$$

Proof. The claim (iii) is easily obtained from (ii), as in the smooth case. When n = 1, this is Proposition 2.10 in loc.cit..

Let \mathscr{C} be a category of regular schemes of characteristic p > 0, such that, for any $x \in X$, the absolute Frobenius $\mathcal{O}_{X,x} \to \mathcal{O}_{X,x}$ of the local ring $\mathcal{O}_{X,x}$ is finite. Shiho showed the following cohomological purity result.

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THEOREM 1.3.12. ([Shi07, Thm. 3.2]) Let X be a regular scheme over \mathbb{F}_p , and let $i: Z \hookrightarrow X$ be a regular closed immersion of codimension c. Assume moreover that $[\kappa(x) : \kappa(x)^p] = p^N$ for any $x \in X^0$, where $\kappa(x)$ is the residue field at x. Then there exists a canonical isomorphism

$$\theta_{i,n}^{q,m,\log}: H^q(Z, W_n\Omega_{Z,\log}^{m-c}) \xrightarrow{\cong} H^{q+c}_Z(X, W_n\Omega_{X,\log}^m)$$

if q = 0 holds or if $q > 0, m = N, X \in ob(\mathscr{C})$ hold.

Remark 1.3.13. In [Shi07, Cor. 3.4], Shiho also generalized Proposition 1.3.1 to the case that $Z \hookrightarrow X$ is a regular closed immersion, and without the assumption on the residue fields.

COROLLARY 1.3.14. Let X be as in Theorem 1.3.12, $i_x : x \to X$ be a point of codimension c. Then the canonical morphism

$$\theta_{i_x,n}^{\log}: W_n\Omega_{x,\log}^{N-c}[-c] \xrightarrow{\cong} Ri_x^! W_n\Omega_{X,\log}^N$$

is an isomorphism in $D^+(x, \mathbb{Z}/p^n\mathbb{Z})$.

Proof. Let X_x be the localization of X at x. The assertion is a local problem, hence we may assume $X = X_x$. By the above Remark 1.3.13, we have $R^j i_x^! W_n \Omega_{X,\log}^N = 0$ for $j \neq c, c+1$. The natural map $W_n \Omega_{x,\log}^{N-c}[-c] \rightarrow R^c i_x^! W_n \Omega_{X,\log}^N[0]$ induces the desired morphism $\theta_{i_x,n}^{\log}$, and the above theorem tells us this morphism induces isomorphisms on cohomology groups. An alternative way is to show $R^{c+1} i_x^! W_n \Omega_{X,\log}^N = 0$ directly as Shiho's arguments in the proof of Theorem 1.3.12.

1.4 A NEW RESULT ON PURITY FOR SEMISTABLE SCHEMES

We recall the following definitions.

DEFINITION 1.4.1. For a regular scheme X and a divisor D on X, we say that D has normal crossing if it satisfies the following conditions:

- (i) D is reduced, i.e. $D = \bigcup_{i \in I} D_i$ (scheme-theoretically), where $\{D_i\}_{i \in I}$ is the family of irreducible components of D;
- (ii) For any non-empty subset $J \subset I$, the (scheme-theoretically) intersection $\bigcap_{j \in J} D_j$ is a regular scheme of codimension #J in X, or otherwise empty.

If moreover each D_i is regular, we called D has simple normal crossing.

Let R be a complete discrete valuation ring, with quotient field K, residue field k, and the maximal ideal $\mathfrak{m} = (\pi)$, where π is a uniformizer of R.

DEFINITION 1.4.2. Let $X \to Spec(R)$ be a scheme of finite type over Spec(R). We call X a semistable (resp. strictly semistable) scheme over Spec(R), if it satisfies the following conditions:

- (i) X is regular, $X \to Spec(R)$ is flat, and the generic fiber $X_{\eta} := X_K := X \times_{Spec(R)} Spec(K)$ is smooth;
- (ii) The special fiber $X_s := X_k := X \times_{Spec(R)} Spec(k)$ is a divisor with normal crossings (resp. simple normal crossings) on X.

Remark 1.4.3 (Local description of semistable schemes). Let X be a semistable scheme over Spec(R), then it is everywhere étale locally isomorphic to

$$Spec(R[T_0,\cdots,T_d]/(T_0\cdots T_a-\pi))$$

for some integer a with $a \in [0, d]$, where d denotes the relative dimension of X over Spec(R). In particular, this implies the special fiber of a semistable(resp. strictly semistable) scheme is a normal (resp. simple normal) crossing variety.

Let k be a perfect field of characteristic p > 0, and let B := Spec(k[[t]]) be the affine scheme given by the formal power series with residue field k. Our new purity result is the following theorem.

THEOREM 1.4.4. Let $X \to B$ be a projective strictly semistable scheme of relative dimension d, and let $i : X_s \hookrightarrow X$ be the natural morphism. Then, there is a canonical isomorphism

$$Gys_{i,n}^{\log}: \nu_{n,X_s}^d[-1] \xrightarrow{\cong} Ri^! W_n \Omega_{X,\log}^{d+1}$$

in $D^+(X_s, \mathbb{Z}/p^n\mathbb{Z})$.

We will use Shiho's cohomological purity result (Theorem 1.3.12) in the proof, and the following lemma guarantees our X satisfies the assumption there.

LEMMA 1.4.5. Let X be as in Theorem 1.4.4.

(i) Let A be a ring of characteristic p > 0. If the absolute Frobenius $F : A \to A, a \mapsto a^p$ is finite, then the same holds for any quotient or localization.

(ii) For any $x \in X$, the absolute Frobenius $F : \mathcal{O}_{X,x} \to \mathcal{O}_{X,x}$ is finite. In particular, our X is in the category \mathscr{C} .

(iii) For any $x \in X^0$, we have $[\kappa(x) : \kappa(x)^p] = p^{d+1}$.

Proof. (i) By the assumption, there is a surjection of A-modules $\bigoplus_{i=1}^{m} A \twoheadrightarrow A$ for some m, where the A-module structure in the target is twisted by F. For the quotienting out by an ideal I, then tensoring with A/I, we still have a surjection $\bigoplus_{i=1}^{m} A/I \twoheadrightarrow A/I$. If S is a multiplicative set, then tensoring with $S^{-1}A$ still gives a surjection $\bigoplus_{i=1}^{m} S^{-1}A \twoheadrightarrow S^{-1}A$.

(ii) Note that k[[t]] as $k[[t]]^p$ -module is free with basis $\{1, t, \dots, t^{p-1}\}$. The absolute Frobenius on the polynomial ring $k[[t]][x_1, \dots, x_n]$ is also finite. Now the local ring $\mathcal{O}_{X,x}$ is obtained from a polynomial ring over k[[t]] after passing to a quotient and a localization. Hence the assertion follows by (i).

(iii) For $x \in X^0$, the transcendence degree tr.deg_{k((t))} $\kappa(x) = d$, and $\kappa(x)$ is a finitely generated extension over k((t)). So the *p*-rank of $\kappa(x)$ is the *p*-rank of k((t)) increased by *d*, and we know that $[k((t)) : k((t))^p] = p$.

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We need the following result of Moser.

PROPOSITION 1.4.6. ([Mos99, Prop. 2.3]) Let Y be a scheme of finite type over a perfect field of characteristic p > 0, let $i_x : x \to Y$ be a point of Y with $dim(\overline{\{x\}}) = c$. Then we have $R^q i_{x*} W_n \Omega_{x,\log}^c = 0$, for all $n \ge 1$ and $q \ge 1$.

With the help of the above preparations, we can now prove the theorem.

Proof of Theorem 1.4.4. By [JSS14, 1.5], we have the following local-global spectral sequence of étele sheaves on $Z := X_s$:

$$E_1^{u,v} = \bigoplus_{x \in Z^u} R^{u+v} \imath_{x*} (Ri_x^! W_n \Omega_{X,\log}^{d+1}) \Longrightarrow R^{u+v} i^! (W_n \Omega_{X,\log}^{d+1})$$

where, for $x \in Z$, i (resp. $i_x = i \circ i$) denotes the natural map $x \to Z$ (resp. $x \to X$).

For $x \in Z^u$, we have an isomorphism

$$\theta_{i_x,n}^{\log}: W_n \Omega_{x,\log}^{d-u}[-u-1] \xrightarrow{\simeq} Ri_x^! W_n \Omega_{X,\log}^{d+1},$$

by Corollary 1.3.14. Then

$$E_1^{u,v} = \bigoplus_{x \in Z^u} R^{v-1} \imath_{x*} W_n \Omega_{x,\log}^{d-u} = 0, \quad \text{if} \quad v \neq 1.$$

where the last equality follows from Proposition 1.4.6. Hence the local-global spectral sequence degenerates at the E_1 -page, i.e., $R^r i^! W_n \Omega_{X,\log}^{d+1}$ is the (r-1)-th cohomology sheaf of the following complex

$$\bigoplus_{x \in Z^0} i_{x*} R^1 i_x^! W_n \Omega_{X,\log}^{d+1} \xrightarrow{d_1^{00}} \bigoplus_{x \in Z^1} i_{x*} R^2 i_x^! W_n \Omega_{X,\log}^{d+1} \to \cdots$$
$$\cdots \to \bigoplus_{x \in Z^{r-1}} i_{x*} R^r i_x^! W_n \Omega_{X,\log}^{d+1} \xrightarrow{d_1^{r-1,0}} \bigoplus_{x \in Z^r} i_{x*} R^{r+1} i_x^! W_n \Omega_{X,\log}^{d+1} \to \cdots$$
$$\cdots \to \bigoplus_{x \in Z^d} i_{x*} R^{d+1} i_x^! W_n \Omega_{X,\log}^{d+1}.$$

We denote this complex by $B_n^{\bullet}(Z, d)$. Then Corollary 1.3.14 implies that, $\theta_{i_x,n}^{\log}$ gives an isomorphism $C_n^s(Z, d) \cong B_n^s(Z, d)$, for any s, i.e., a term-wise isomorphism between the complexes $C_n^{\bullet}(Z, d)$ and $B_n^{\bullet}(Z, d)$. The following theorem will imply that $\theta_{i_x,n}^{\log}$ induces an isomorphism of complexes.

THEOREM 1.4.7. Let $X, Z := X_s$ be as in Theorem 1.4.4. For $x \in Z^c$ and $y \in Z^{c-1}$ with $x \in \overline{\{y\}}$, then the following diagram

$$\begin{array}{c} H^{q}(y, W_{n}\Omega_{y,\log}^{r-c}) \xrightarrow{(-1)^{r}\partial_{y,x}^{val}} H^{q}(x, W_{n}\Omega_{x,\log}^{r-c-1}) \\ & \downarrow^{\theta_{iy,n}^{\log}} & \downarrow^{\theta_{ix,n}^{\log}} \\ H^{c+q}_{y}(X, W_{n}\Omega_{X,\log}^{r}) \xrightarrow{\delta_{y,x}^{loc}(W_{n}\Omega_{X,\log}^{r})} H^{c+1+q}_{x}(X, W_{n}\Omega_{X,\log}^{r}) \end{array}$$

commutes if q = 0 or (q, r) = (1, d + 1), where $\partial_{y,x}^{val}$ is Kato's residue map and $\delta_{y,x}^{loc}(W_n \Omega_{X,\log}^r)$ is defined in [JSS14, 1.7].

Theorem 1.4.7 implies 1.4.4. By Theorem 1.4.7, under the Gysin isomorphisms θ^{\log} , the morphisms $d_1^{r,0}$ coincide with the boundary maps of logarithmic de Rham-Witt sheaves. Hence $C_n^{\bullet}(Z,d)$ coincides with $B_n^{\bullet}(Z,d)$. And Proposition 1.2.4 said the complex $C_n^{\bullet}(Z,d)$ is acyclic at positive degree, and isomorphic to $\nu_{n,Z}^d$ at zero degree. This shows the claim.

We are now turning to the proof of commutativity of the above diagram .

Proof of Theorem 1.4.7. We may assume $y \in Z_j$ for some irreducible component Z_j of Z. Note that Z_j is smooth by our assumption. Then we have a commutative diagram:

$$\begin{array}{c|c} H^{q}(y, W_{n}\Omega_{y, \log}^{r-c}) & \xrightarrow{\theta_{iy, n}^{\log}} & H_{y}^{c+q}(X, W_{n}\Omega_{X, \log}^{r}) \\ & & & & \\ G_{ys_{iy}} & & & & \\ & & & & \\ H_{y}^{c-1+q}(Z_{j}, W_{n}\Omega_{Z_{j}, \log}^{r-1}) & \xrightarrow{\theta_{Z_{j} \hookrightarrow X}} & H_{y}^{c-1+q}(Z_{j}, \mathscr{H}_{Z_{j}}^{1}(W_{n}\Omega_{X, \log}^{r})), \end{array}$$

where the right-vertical morphism is the edge morphism of Leray spectral sequence.

Hence we have the following diagram:

$$\begin{array}{c|c} H^{q}(y, W_{n}\Omega_{y,\log}^{r-c}) & \xrightarrow{(-1)^{r}\partial_{y,x}^{val}} & H^{q}(x, W_{n}\Omega_{x,\log}^{r-c-1}) \\ Gys_{y \to Z_{j}} & (1) & Gys_{x \to Z_{j}} \\ H_{y}^{c-1+q}(Z_{j}, W_{n}\Omega_{Z_{j},\log}^{r-1}) & \xrightarrow{\delta_{y,x}^{loc}(W_{n}\Omega_{Z_{j},\log}^{r-1})} & H_{x}^{c+q}(Z_{j}, W_{n}\Omega_{Z_{j},\log}^{r-1}) \\ \theta_{Z_{j} \hookrightarrow X} & (2) & \theta_{Z_{j} \hookrightarrow X} \\ H_{y}^{c-1+q}(Z_{j}, \mathscr{H}_{Z_{j}}^{1}(W_{n}\Omega_{X,\log}^{r}))) & \xrightarrow{\delta_{y,x}^{loc}(\mathscr{H}_{Z_{j}}^{1}(W_{n}\Omega_{X,\log}^{r}))} & H_{x}^{c+q}(Z_{j}, \mathscr{H}_{Z_{j}}^{1}(W_{n}\Omega_{X,\log}^{r})) \\ & & & & & \\ H_{y}^{c+q}(X, W_{n}\Omega_{X,\log}^{r}) & \xrightarrow{\delta_{y,x}^{loc}(W_{n}\Omega_{X,\log}^{r})} & H_{x}^{c+1+q}(X, W_{n}\Omega_{X,\log}^{r}). \end{array}$$

The square (1) is (-1)-commutative if q = 0 or (q, r) = (1, d + 1). This is Theorem 4.1.1 for q = 0 and Corollary 4.4.1 for (q, r) = (1, d + 1) in [JSS14]. The square (2) is commutative by the functoriality of $\delta_{u,x}^{\text{loc}}$. The square (3) is

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(-1)-commutative by the functoriality of the Leray spectral sequences: here the sign (-1) arise from the difference of degrees. Therefore the desired diagram is commutative.

Remark 1.4.8. In [Shi07, Thm. 5.4], Shiho proved this compatibility for more general regular schemes X over \mathbb{F}_q where the residue fields of x, y are not necessarily to be finite or perfect, but assuming that n = 1.

COROLLARY 1.4.9 (Cohomological purity). Assume X and $Z := X_s$ are as before. For any integer $i \leq d+1$, there is a canonical isomorphism

$$Gys_{i,n}: H^{d+1-i}(Z, \nu_{n,Z}^d) \xrightarrow{\cong} H_Z^{d+2-i}(X, W_n\Omega_{X,\log}^{d+1})$$

Proof. This follows from the spectral sequence

$$E_1^{u,v} = H^u(Z, R^v i^! W_n \Omega_{X, \log}^{d+1}) \Rightarrow H_Z^{u+v}(X, W_n \Omega_{X, \log}^{d+1})$$

and the above purity theorem.

COROLLARY 1.4.10. Assume that the residue field $k = \mathbb{F}_q$. Then there is a canonical map, called the trace map:

$$Tr: H_Z^{d+2}(X, W_n \Omega_{X, \log}^{d+1}) \longrightarrow \mathbb{Z}/p^n \mathbb{Z}.$$

It is bijective if Z is connected.

Proof. We define this trace map as $tr_Z \circ Gys_{i,n}^{-1}$, where tr_Z is the trace map in Theorem 1.2.5.

We conclude this chapter with the following compatibility result.

PROPOSITION 1.4.11. Let X, Z be as in Theorem 1.4.4, and let W be a smooth closed subscheme of Z of codimension r, giving the following commutative diagram:



Then the following diagram:



commutes. Recall that $\theta_{W \hookrightarrow X}$ is the Gysin morphism defined by Shiho in Theorem 1.3.12, $Gsy_{W \hookrightarrow Z}$ be the Gysin morphism defined by Sato in Theorem 1.3.4, and $Gy_{Z \hookrightarrow X}$ is the Gysin morphism in Theorem 1.4.4.

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Proof. We may assume $W \subseteq Z_j$, for some irreducible component Z_j of Z. We denote the natural morphisms as in the following diagram:



Then we have



Sato's Gysin morphisms satisfy a transitivity property, which implies the square (1) commutes. So does (2) due to Shiho's remark in [Shi07, Rem. 3.13]. It's enough to show the square (3) commute. Hence we reduced to the case r = 0. Then we may write $W_n \Omega^d_{W,\log}$ as the kernel of $\bigoplus_{x \in W^0} i_{x*} W_n \Omega^d_{x,\log} \rightarrow \bigoplus_{y \in W^1} i_{y*} W_n \Omega^{d-1}_{y,\log}$. Then Sato's Gysin morphism will be the identity, and our definition of Gysin morphism locally is exactly that given by Shiho(see the proof of Theorem 1.4.4).

2 Coherent duality

From now on, we fix the notation as in the following diagram.



where f is a projective strictly semistable scheme, $Z = X_s$ is the special fiber, X_{η} is the generic fiber, and k is a perfect field of characteristic p > 0.

2.1 The absolute differential sheaf Ω^1_X

We recall some lemmas on local algebras.

LEMMA 2.1.1. Let $(A, \mathfrak{m}, k) \to (A', \mathfrak{m}', k')$ be a local morphism of Noetherian local rings. If A' is regular and flat over A, then A is regular.

Proof. Note that flat base change commutes with homology. Hence, for $q > \dim(A')$, we have $\operatorname{Tor}_q^A(k,k) \otimes_A A' = \operatorname{Tor}_q^{A'}(k \otimes A', k \otimes A') = 0$. Since A' is faithful flat over A, this implies $\operatorname{Tor}_q^A(k,k) = 0$, for $q > \dim(A')$. Therefore, the global dimension of A is finite. Thanks to Serre's theorem [MR89, Thm. 19.2], A is regular.

LEMMA 2.1.2. Let A be a regular local ring of characteristic p > 0 such that $A^p \to A$ is finite. Then Ω^1_A is a free A-module.

Proof. By [Kun69, Thm. 2.1], regularity implies that $A^p \to A$ is flat, so faithfully flat. The above lemma implies that A^p is regular as well. Then we use a conjecture of Kunz, which was proved in [KN82], that there exists a *p*-basis of A. Therefore the assertion follows.

PROPOSITION 2.1.3. The absolute differential sheaf Ω_X^1 is a locally free \mathcal{O}_X -module of rank d + 1.

Proof. Note that we have an exact sequence

$$f^*\Omega^1_B \to \Omega^1_X \to \Omega^1_{X/B} \to 0.$$

Both $f^*\Omega^1_B$ and $\Omega^1_{X/B}$ are coherent, so is Ω^1_X . Then we may reduce to local case, and the assertion is clear by the above lemma.

COROLLARY 2.1.4. The sheaves Ω_X^i , $Z\Omega_X^i$ (via F), $\Omega_X^i/B\Omega_X^i$ (via F) are locally free \mathcal{O}_X -modules.

Proof. As in the smooth case, using Cartier isomorphisms, we can show this inductively. \Box

2.2 GROTHENDIECK DUALITY THEOREM

The Grothendieck duality theorem studies the right adjoint functor of Rf_* in $D_{qc}^+(X)$, the derived category of \mathcal{O}_X -modules with bounded from below quasicoherent cohomology sheaves. There are several approaches to this functor. In our case, we follow a more geometric approach, which was given by

Hartshorne in [Har66]. Here we only use the Grothendieck duality theorem for projective morphisms.

Definition 2.2.1. A morphism $g: M \to N$ of schemes is called projectively embeddable if it factors as



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for some $n \in \mathbb{N}$, where q is the natural projection, p is a finite morphism.

Remark 2.2.2. In our case, the projectivity of the scheme $f : X \to B =$ Spec(k[[t]]) and the fact that the basis is affine imply that f factors through a closed immersion $X \to \mathbb{P}^n_S$ for some $n \in \mathbb{N}$ [EGA, II 5.5.4 (ii)].

THEOREM 2.2.3. (Grothendieck duality theorem [Har66, §11]) Let $g: M \to N$ be a projectively embeddable morphism of noetherian schemes of finite Krull dimension. Then, there exists a functor $f^!: D^+_{qc}(N) \to D^+_{qc}(M)$ such that the following holds:

- (i) If $h: N \to T$ is a second projectively embeddable morphism, then $(g \circ h)^! = h^! \circ g^!$;
- (ii) If g is smooth of relative dimension n, then $g!(\mathscr{G}) = f^*(\mathscr{G}) \otimes \Omega^n_{M/N}[n];$
- (iii) If g is a finite morphism, then $g^!(\mathscr{G}) = \bar{g}^* R \mathcal{H}om_{\mathcal{O}_N}(g_*\mathcal{O}_M, \mathscr{G})$, where \bar{g} is the induced morphism $(M, \mathcal{O}_M) \to (N, g_*\mathcal{O}_M)$;
- (iv) There is an isomorphism

 $\theta_q: Rg_*R\mathcal{H}om_{\mathcal{O}_M}(\mathscr{F}, q^!\mathscr{G}) \xrightarrow{\cong} R\mathcal{H}om_{\mathcal{O}_N}(Rf_*\mathscr{F}, \mathscr{G}),$

for $\mathscr{F} \in D^{-}_{ac}(M)$, $\mathscr{G} \in D^{+}_{ac}(N)$.

2.3 Relative coherent duality

In this subsection, we fix a base scheme B = Spec(k[[t]]), and prove the following relative duality result.

THEOREM 2.3.1. Let Y be a regular scheme and let $f: Y \to B = Spec(k[[t]])$ be a projective morphism of relative dimension d. There exists a canonical isomorphism

$$Tr_f: \Omega^{d+1}_V[d] \xrightarrow{\cong} f^! \Omega^1_B$$

This theorem can be obtained by some explicit calculations.

LEMMA 2.3.2. Let $\iota: Y \hookrightarrow \mathbb{P}^N_B$ be a regular closed immersion with the defining sheaf \mathcal{I} . Then the sequence of \mathcal{O}_Y -modules

$$0 \to \iota^* \mathcal{I} / \mathcal{I}^2 \to \iota^* \Omega^1_{\mathbb{P}^N_B} \to \Omega^1_Y \to 0$$

 $is \ exact.$

Proof. We only need to show that the left morphism is injective. Let \mathcal{K} be the kernel of the canonical morphism $\iota^*\Omega^1_{\mathbb{P}^N} \to \Omega^1_Y$. Since both $\iota^*\Omega^1_{\mathbb{P}^N}$ and Ω^1_Y are coherent and locally free, the kernel \mathcal{K} is coherent and flat. Then it follows that \mathcal{K} is also locally free. By counting the ranks, we have $\operatorname{rank}(\mathcal{I}/\mathcal{I}^2) = \operatorname{rank}(\mathcal{K})$. Thus, the induced surjective morphism $\iota^*\mathcal{I}/\mathcal{I}^2 \to \mathcal{K}$ is an isomorphism. \Box

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for some $N \in \mathbb{N}$. Here ι is a regular closed immersion. Using Koszul resolution of \mathcal{O}_Y with respect to the closed immersion ι , we have

$$\iota^{!}\Omega_{\mathbb{P}^{N}_{B}}^{N+1} \cong \iota^{*}\Omega_{\mathbb{P}^{N}_{B}}^{N+1} \otimes \iota^{*}\det(\mathcal{I}/\mathcal{I}^{2})^{\vee}[d-N].$$

By Lemma 2.3.2, we have

$$\iota^*\Omega^{N+1}_{\mathbb{P}^N_B} \otimes \iota^* \det(\mathcal{I}/\mathcal{I}^2)^{\vee} \cong \Omega^{d+1}_Y.$$

Since p is smooth, we have isomorphisms

$$p^{!}\Omega_{B}^{1} \cong p^{!}\mathcal{O}_{B} \otimes p^{*}\Omega_{B}^{1} \cong \Omega_{\mathbb{P}_{B}^{N}/B}^{N}[N] \otimes p^{*}\Omega_{B}^{1} \cong \Omega_{\mathbb{P}_{B}^{N}}^{N+1}[N].$$

Noting that

$$f^!\Omega^1_B = \iota^! p^! \Omega^1_B,$$

the theorem follows.

Remark 2.3.3. From the proof, we can see Theorem 2.3.1 is still true in more general situations. In the light of our application, we just proof this simple case and remark that we only use the case that the residue field k of the base scheme is a finite field \mathbb{F}_{q} .

2.4 GROTHENDIECK LOCAL DUALITY

Let (R, \mathfrak{m}) be a regular local ring of dimension n with maximal ideal \mathfrak{m} , and $R/\mathfrak{m} \cong \mathbb{F}_q$ is a finite field of characteristic p. For any finite R-module M, we have a canonical pairing

$$H^{i}_{\mathfrak{m}}(\operatorname{Spec}(R), M) \times \operatorname{Ext}_{R}^{n-i}(M, \Omega_{R}^{n}) \to H^{n}_{\mathfrak{m}}(\operatorname{Spec}(R), \Omega_{R}^{n}) \xrightarrow{\operatorname{Res}} \mathbb{F}_{q} \xrightarrow{tr_{\mathbb{F}_{q}/\mathbb{F}_{p}}} \mathbb{Z}/p\mathbb{Z}.$$

$$(2.1)$$

THEOREM 2.4.1 (Grothendieck local duality). For each $i \ge 0$, the pairing (2.1) induces isomorphisms

$$\operatorname{Ext}_{R}^{n-i}(M,\Omega_{R}^{n})\otimes_{R}R \cong \operatorname{Hom}_{\mathbb{Z}/p\mathbb{Z}}(H^{i}_{\mathfrak{m}}(\operatorname{Spec}(R),M),\mathbb{Z}/p\mathbb{Z}),$$

$$H^{i}_{\mathfrak{m}}(\operatorname{Spec}(R), M) \cong \operatorname{Hom}_{\operatorname{cont}}(\operatorname{Ext}_{R}^{n-i}(M, \Omega_{R}^{n}), \mathbb{Z}/p\mathbb{Z}),$$

where Hom_{cont} denotes the set of continuous homomorphisms with respect to \mathfrak{m} -adic topology on Ext group.

Proof. This is slightly different from the original form of Grothendieck local duality in [GH67, Thm. 6.3]. But, in our case, the dualizing module $I = H^n_{\mathfrak{m}}(\operatorname{Spec}(R), \Omega^n_R)$ can be written as $\varinjlim_n \operatorname{Hom}_{\mathbb{Z}/p\mathbb{Z}}(R/\mathfrak{m}^n, \mathbb{Z}/p\mathbb{Z}) \subset$ $\operatorname{Hom}_{\mathbb{Z}/p\mathbb{Z}}(A, \mathbb{Z}/p\mathbb{Z})(\text{cf. Example 3 on Page 67 in loc.cit.}).$ Then we identify $\operatorname{Hom}_R(H^i_{\mathfrak{m}}(\operatorname{Spec}(R), M), I)$ $= \operatorname{Hom}_R(H^i_{\mathfrak{m}}(\operatorname{Spec}(R), M), \varinjlim_n \operatorname{Hom}_{\mathbb{Z}/p\mathbb{Z}}(R/\mathfrak{m}^n, \mathbb{Z}/p\mathbb{Z}))$ $= \operatorname{Hom}_R(H^i_{\mathfrak{m}}(\operatorname{Spec}(R), M), \operatorname{Hom}_{\mathbb{Z}/p\mathbb{Z}}(R, \mathbb{Z}/p\mathbb{Z}))$ $= \operatorname{Hom}_{\mathbb{Z}/p\mathbb{Z}}(H^i_{\mathfrak{m}}(\operatorname{Spec}(R), M), \mathbb{Z}/p\mathbb{Z}),$

where the second equality follows from the fact that each element of $H^i_{\mathfrak{m}}(\operatorname{Spec}(R), M)$ is annihilated by some power of \mathfrak{m} . The second isomorphism in the theorem follows from the definition of continuity.

2.5 Absolute coherent duality

In our case, the base scheme B = Spec(k[[t]]) is a complete regular local ring of dimension 1. We assume $k = \mathbb{F}_q$. Combining Grothendieck local duality on the base scheme B with the relative duality theorem 2.3.1, we obtain an absolute duality on X.

PROPOSITION 2.5.1. Let \mathscr{F} be a locally free \mathcal{O}_X -module on X, and let \mathscr{F}^t be the sheaf given by $\operatorname{Hom}(\mathscr{F}, \Omega_X^{d+1})$. Then we have

$$H^{i}_{\mathfrak{m}}(B, Rf_{*}\mathscr{F}) \cong H^{i}_{X_{s}}(X, \mathscr{F}); \qquad (2.2)$$

$$Ext_{\mathcal{O}_B}^{1-i}(Rf_*\mathscr{F},\Omega_B^1) \cong H^{d+1-i}(X,\mathscr{F}^t).$$
(2.3)

Proof. For the first equation, we have the following canonical identifications:

$$\begin{aligned} H^{i}_{\mathfrak{m}}(B, Rf_{*}\mathscr{F}) &= H^{i}(B, i_{s*}Ri_{s}^{!}Rf_{*}\mathscr{F}) \\ &= H^{i}(B, i_{s*}Rf_{s*}Ri^{!}\mathscr{F}) \\ &= H^{i}(\mathbb{F}_{q}, Rf_{s*}Ri^{!}\mathscr{F}) \\ &= H^{i}(X_{s}, Ri^{!}\mathscr{F}) \\ &= H^{i}_{X_{*}}(X, \mathscr{F}) \end{aligned}$$

where the second equality follows from $Rf_{s*}Ri^! \xrightarrow{\cong} Ri_s^!Rf_*$ in [Sta18, Tag 0A9K]. For the second, we have

$$\begin{aligned} \operatorname{Ext}_{\mathcal{O}_{B}}^{1-i}(Rf_{*}\mathscr{F},\Omega_{B}^{1}) &= R^{1-i}\Gamma(B,R\mathcal{H}om_{\mathcal{O}_{B}}(Rf_{*}\mathscr{F},\Omega_{B}^{1})) \\ &= R^{1-i}\Gamma(B,Rf_{*}R\mathcal{H}om_{\mathcal{O}_{X}}(\mathscr{F},f^{!}\Omega_{B}^{1})) \quad (\text{adjunction}) \\ &= R^{1-i}\Gamma(B,Rf_{*}R\mathcal{H}om_{\mathcal{O}_{X}}(\mathscr{F},\Omega_{X}^{d+1}[d])) \quad (\text{Theorem 2.3.1}) \\ &= R^{1-i}\Gamma(B,Rf_{*}\mathscr{F}^{t}[d]) \quad (\text{definition of } \mathscr{F}^{t}) \\ &= H^{d+1-i}(X,\mathscr{F}^{t}) \end{aligned}$$

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By taking different \mathscr{F} in the above theorem and using Grothendieck local duality, we obtain the following corollaries.

COROLLARY 2.5.2. The natural pairing

$$H^{i}(X, \Omega^{j}_{X}) \times H^{d+1-i}_{X_{s}}(X, \Omega^{d+1-j}_{X}) \to H^{d+1}_{X_{s}}(X, \Omega^{d+1}_{X}) \xrightarrow{tr} \mathbb{Z}/p\mathbb{Z}$$

induces isomorphisms

$$H^{i}(X, \Omega_{X}^{j}) \cong Hom_{\mathbb{Z}/p\mathbb{Z}}(H^{d+1-i}_{X_{s}}(X, \Omega^{d+1-j}_{X}), \mathbb{Z}/p\mathbb{Z}),$$
$$H^{d+1-i}_{X_{s}}(X, \Omega^{d+1-j}_{X}) \cong Hom_{cont}(H^{i}(X, \Omega^{j}_{X}), \mathbb{Z}/p\mathbb{Z}).$$

i.e., it is a perfect pairing of topological $\mathbb{Z}/p\mathbb{Z}$ -modules if we endow $H^i(X, \Omega_X^j)$ with the m-adic topology and $H_{X_s}^{d+1-i}(X, \Omega_X^{d+1-j})$ with the discrete topology.

Remark 2.5.3. For the first isomorphism, we may ignore the topological structure on cohomology groups, and view it as an isomorphism of $\mathbb{Z}/p\mathbb{Z}$ -modules. In the next chapter, we only use this type of isomorphisms(cf. Proposition 3.4.5).

COROLLARY 2.5.4. The natural pairing

$$H^{i}(X, Z\Omega_{X}^{j}) \times H^{d+1-i}_{X_{s}}(X, \Omega_{X}^{d+1-j}/B\Omega_{X}^{d+1-j}) \to H^{d+1}_{X_{s}}(X, \Omega_{X}^{d+1}) \xrightarrow{tr} \mathbb{Z}/p\mathbb{Z}$$

is a perfect pairing of topological $\mathbb{Z}/p\mathbb{Z}$ -modules, if we endow the cohomology groups with the topological structures as in the above corollary.

Proof. The fact that $Z\Omega_X^j$ is dual to $\Omega_X^{d+1-j}/B\Omega_X^{d+1-j}$ is similar as [Mil76, Lem. 1.7].

Furthermore, we can do the same thing for twisted logarithmic Kähler differential sheaves.

Let X be as before, and let $j: U \hookrightarrow X$ be the complement of a reduced divisor D on X with simple normal crossings. Let D_1, \dots, D_s be the irreducible components of D. For $\underline{m} = (m_1, \dots, m_s)$ with $m_i \in \mathbb{Z}$ let

$$mD = \underline{m}D = \sum_{i=1}^{s} m_i D_i \tag{2.4}$$

be the associated divisor.

DEFINITION 2.5.5. For the above defined D on X and $j \geq 0, \ m = \underline{m} \in \mathbb{Z}^s$, we set

$$\Omega^{j}_{X|mD} = \Omega^{j}_{X}(\log D)(-mD) = \Omega^{j}_{X}(\log D) \otimes \mathcal{O}_{X}(-mD)$$
(2.5)

where $\Omega^{j}_{X}(\log D)$ denotes the sheaf of absolute Kähler differential *j*-forms on X with logarithmic poles along |D|. Similarly, we can define $Z\Omega^{j}_{X|mD}$, $B\Omega^{j}_{X|mD}$.

Remark 2.5.6. Note that $\Omega_{X|D}^{d+1} = \Omega_X^{d+1} \otimes \mathcal{O}_X(D) \otimes \mathcal{O}_X(-D) = \Omega_X^{d+1}$, where d is the relative dimension of X over B.

COROLLARY 2.5.7. The natural pairing

$$H^{i}(X, \Omega^{j}_{X|-mD}) \times H^{d+1-i}_{X_{s}}(X, \Omega^{d+1-j}_{X|(m+1)D}) \to H^{d+1}_{X_{s}}(X, \Omega^{d+1}_{X}) \xrightarrow{tr} \mathbb{Z}/p\mathbb{Z}$$

is a perfect pairing of topological $\mathbb{Z}/p\mathbb{Z}$ -modules, if we endow the cohomology groups with the topological structures as before.

Proof. Note that the pairing

$$\Omega_X^j(\log D)(mD) \otimes \Omega_X^{d+1-j}(\log D)((-m-1)D) \to \Omega_X^{d+1}$$
(2.6)

is perfect.

Similarly, we define

$$Z\Omega^{j}_{X|-mD} = \ker(d \colon \Omega^{j}_{X|-mD} \to j_*\Omega^{j+1}_U);$$
(2.7)

$$B\Omega^{j}_{X|mD} = \text{Image}(d \colon \Omega^{j-1}_{X|mD} \to \Omega^{j}_{X}).$$
(2.8)

We have the following result.

COROLLARY 2.5.8. The natural pairing

$$H^{i}(X, Z\Omega^{j}_{X|-mD}) \times H^{d+1-i}_{X_{s}}(X, \Omega^{d+1-j}_{X|(m+1)D}/B\Omega^{d+1-j}_{X|(m+1)D}) \to \mathbb{Z}/p\mathbb{Z}$$

is a perfect pairing of topological $\mathbb{Z}/p\mathbb{Z}$ -modules, if we endow the cohomology groups with the topological structures as before.

3 DUALITY

In the rest of this paper, we assume the residue field k of the base scheme B is a finite field \mathbb{F}_q . Recall that $f: X \to B = \operatorname{Spec}(\mathbb{F}_q[[t]])$ is a projective strictly semistable scheme of relative dimension d. In this section, we will prove two duality theorems. The first one is for $H^i(X, W_n \Omega^j_{X, \log})$, which we call unramified duality. The second is for $H^i(U, W_n \Omega^j_{U, \log})$, where U is the open complement of a reduced effective Cartier divisor with $\operatorname{Supp}(D)$ has simple normal crossing. We call it the ramified duality.

3.1 UNRAMIFIED DUALITY

The product on logarithmic de Rham-Witt sheaves

$$W_n\Omega^j_{X,\log}\otimes W_n\Omega^{d+1-j}_{X,\log}\to W_n\Omega^{d+1}_{X,\log}$$

induces a pairing

$$i^*W_n\Omega_{X,\log}^j \otimes^{\mathbb{L}} Ri^!W_n\Omega_{X,\log}^{d+1-j} \to Ri^!(W_n\Omega_{X,\log}^j \otimes W_n\Omega_{X,\log}^{d+1-j}) \to Ri^!W_n\Omega_{X,\log}^{d+1}$$

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where the first morphism is given by the adjoint map of the diagonal map ϕ in the following diagram

$$Ri_{*}(i^{*}W_{n}\Omega_{X,\log}^{j}\otimes^{\mathbb{L}}Ri^{!}W_{n}\Omega_{X,\log}^{d+1-j}) \xrightarrow{\cong} W_{n}\Omega_{X,\log}^{j}\otimes^{\mathbb{L}}Ri_{*}Ri^{!}W_{n}\Omega_{X,\log}^{d+1-j}$$

$$\downarrow^{\mathrm{adj}}_{W_{n}\Omega_{X,\log}^{j}\otimes W_{n}\Omega_{X,\log}^{d+1-j}}.$$

Here the isomorphism is given by the projection formula. Apply $R\Gamma(X_s, \cdot)$ and the proper base change theorem(SGA4 $\frac{1}{2}$, [Del77, Arcata IV]), we have a pairing

$$H^{i}(X, W_{n}\Omega^{j}_{X, \log}) \times H^{d+2-i}_{X_{s}}(X, W_{n}\Omega^{d+1-j}_{X, \log}) \to H^{d+2}_{X_{s}}(X, W_{n}\Omega^{d+1}_{X, \log}) \xrightarrow{Tr} \mathbb{Z}/p^{n}\mathbb{Z},$$
(3.1)

where the trace map Tr is given by Corollary 1.4.10.

THEOREM 3.1.1. The pairing (3.1) induces an isomorphism

$$H^{i}(X, W_{n}\Omega^{j}_{X, \log}) \xrightarrow{\cong} Hom_{\mathbb{Z}/p^{n}\mathbb{Z}}(H^{d+2-i}_{X_{s}}(X, W_{n}\Omega^{d+1-j}_{X, \log}), \mathbb{Z}/p^{n}\mathbb{Z}).$$

of $\mathbb{Z}/p^n\mathbb{Z}$ -modules. If we endow $H_{X_s}^{d+2-i}(X, W_n\Omega_{X,\log}^{d+1-j})$ with the discrete topology, and endow $H^i(X, W_n\Omega_{X,\log}^j)$ with the compact-open topology, we get an isomorphism, by the Pontryagin duality

$$H^{d+2-i}_{X_s}(X, W_n\Omega^{d+1-j}_{X,\log}) \xrightarrow{\cong} Hom_{\mathbb{Z}/p^n\mathbb{Z}, \text{cont}}(H^i(X, W_n\Omega^j_{X,\log}), \mathbb{Z}/p^n\mathbb{Z}).$$

Proof. By the exact sequence (i) in Proposition 1.3.11, the problem is reduced to the case n = 1. In this case, we use the classical method as in [Mil86], i.e., using the exact sequence (ii) and (iii) in Proposition 1.3.11, we reduce the problem to coherent duality. Before we do this, we have to check the compatibility between the trace maps. It is enough to do this on the base scheme B, by the definitions of trace map and residue map(cf. (2.1)).

PROPOSITION 3.1.2. The following diagram



commutes, where δ is the connection map induced by the following exact sequence

$$0 \to \Omega^1_{B,\log} \to \Omega^1_B \to \Omega^1_B \to 0.$$

Proof. We have the following diagram



where the morphism $\varphi : a \mapsto ad \log(t)$, and $K = \mathbb{F}_q((t))$.

The diagrams (1) and (6) are commutative by the definition of Tr and Res. (2) is commutative by the functoriality of δ . That classical Milne duality is compatible with coherent duality implies (3) is commutative. The local description of the Gysin map will imply that the diagram (4) commutes [Shi07, Lem. 3.5], [Gro85, II (3.4)]. The diagram (5) commutes by the explicit definition of φ and the definition of the Tate residue map.

Proof of Theorem 3.1.1(cont.) By taking the cohomolgy groups of the exact sequences (ii) and (iii) in Proposition 1.3.11, we have the following commutative diagram with exact rows:

$$\xrightarrow{} H^{i}(X, \Omega^{j}_{X, \log}) \xrightarrow{} H^{i}(X, Z\Omega^{j}_{X}) \xrightarrow{} H^{i}(X, \Omega^{j}_{X}) \xrightarrow{} H^{i}(X, \Omega^{j}) \xrightarrow{} H^{i}(X, \Omega^{j})$$

where M^* means $\operatorname{Hom}_{\mathbb{Z}/p\mathbb{Z}}(M, \mathbb{Z}/p\mathbb{Z})$, for any $\mathbb{Z}/p\mathbb{Z}$ -module M. The isomorphisms for cohomology groups of $Z\Omega_X^j$ and Ω_X^j are from the coherent duality theorem, see Corollary 2.5.4 and 2.5.2. Hence, we have

$$H^{i}(X, \Omega^{j}_{X, \log}) \xrightarrow{\cong} H^{d+2-i}_{X_{s}}(X, \Omega^{d+1-j}_{X, \log})^{*}.$$

Remark 3.1.3. (i) Note that $H^i(X, W_n \Omega_{X,\log}^r)$ is not finite in general. In Theorem 3.1.1, if $H_{X_s}^{d+2-i}(X, W_n \Omega_{X,\log}^{d+1-j})$ is finite (e.g., j = 0), then we get a perfect pairing of finite $\mathbb{Z}/p^n\mathbb{Z}$ -modules.

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(ii) For the case of j = 0, all the cohomology groups in Theorem 3.1.1 are finite, by using the purity theorem 1.4.4, the above pairing agrees with that in [Sat07a, Thm. 1.2.2].

3.2 Relative Milnor K-sheaf

On a smooth variety over a field, the logarithmic de Rham-Witt sheaves are closely related to the Milnor K-sheaves via the Bloch-Gabber-Kato theorem [BK86]. In this section, we first recall some results on the Milnor K-sheaf on a regular scheme, and then define the relative Milnor K-sheaf with respect to some divisor D as in [RS18, §2.3]. At last, we show the Bloch-Gabber-Kato theorem still holds on a regular scheme over \mathbb{F}_p . This result is well known to the experts but due to the lack of reference, we give a detailed proof.

In this section, we fix Y to be a connected regular scheme over \mathbb{F}_p of dimension d (cf. Remark 3.2.2 below).

DEFINITION 3.2.1. For any integer r, we define the rth-Milnor K-sheaf $\mathcal{K}_{r,Y_{Zar}}^{M}$ to be the sheaf

$$T \mapsto Ker\left(i_{\eta*}K_r^M(\kappa(\eta)) \xrightarrow{\partial} \bigoplus_{x \in Y^1 \cap T} i_{x*}K_{r-1}^M(\kappa(x)\right)$$

on the Zariski site of Y, where T is any open subset of Y, η is the generic point of Y, $i_x : x \to T$ is the natural map and ∂ is the sheafified residue map of Milnor K-theory of fields (cf. §1.3.2). The sheaf $\mathcal{K}^M_{r,Y}$ is the associated sheaf of $\mathcal{K}^M_{r,Y_{zer}}$ on the (small) étale site of Y.

In particular, $\mathcal{K}_{r,Y}^M = 0$ for r < 0, $\mathcal{K}_{0,Y}^M = \mathbb{Z}$ and $\mathcal{K}_{1,Y}^M = \mathcal{O}_X^{\times}$.

Remark 3.2.2. The connectedness assumption on Y is just for simplification our notations. In case Y is not connected, we write $Y = \coprod_j Y_j$ as disjoint union of its connected components. Then define

$$\mathcal{K}^M_{r,Y} := \bigoplus_j i_{Y_j *} \mathcal{K}^M_{r,Y_j}$$

where $i_{Y_j}: Y_j \to Y$ be the natural map. The results in this section still hold for non-connected Y.

We define $\mathcal{K}^{M,\mathrm{naive}}_{*,Y}$ to be the sheaf on the étale site of Y associated to the functor

$$A \mapsto \bigoplus_{i \ge 0} (A^{\times})^{\otimes i} / \langle a \otimes b | a + b = 1 \rangle$$

from commutative rings to graded rings.

THEOREM 3.2.3. ([Ker09, Thm. 1.3], [Ker10, Thm. 13, Prop. 10]) Let Y be a connected regular scheme over \mathbb{F}_p .

- (i) The natural homomorphism $\mathcal{K}_{r,Y}^{M,naive} \to \mathcal{K}_{r,Y}^{M}$ is surjective;
- (ii) If the residue fields at all point of Y are infinite, the map $\mathcal{K}_{r,Y}^{M,naive} \to \mathcal{K}_{r,Y}^{M}$ is an isomorphism;
- (iii) The Gersten complex

$$0 \to \mathcal{K}^{M}_{r,Y} \to i_{\eta*} K^{M}_{r}(\kappa(\eta)) \to \bigoplus_{x \in Y^{1}} i_{x*} K^{M}_{r-1}(\kappa(x))$$
$$\to \bigoplus_{x \in Y^{2}} i_{x*} K^{M}_{r-2}(\kappa(x)) \to \cdots$$

is universally exact(see Definition 3.2.4 below) on the étale site of Y.

DEFINITION 3.2.4. Let $A' \to A \to A''$ be a sequence of abelian groups. We say this sequence is universally exact if $F(A') \to F(A) \to F(A'')$ is exact for every additive functor $F : Ab \to \mathcal{B}$ which commutes with filtered small limit. Here Ab is the category of abelian groups and \mathcal{B} is an abelian category satisfying AB5 (see [Gro57]).

Remark 3.2.5.

(i) Another way to define the Milnor K-sheaf [Ker10] is to first define the naive K-sheaf $\mathcal{K}_{r,Y}^{M,\text{naive}}$ as above, and then the (improved) Milnor K-sheaf as the universal continuous functor associated to the naive K-sheaf. By Theorem 3.2.3 (iii), this definition agree with ours.

- (ii) Theorem 3.2.3 (i) implies that $\mathcal{K}_{r,Y}^M$ is étale locally generated by the symbols of the form $\{x_1, \cdots, x_r\}$ with all $x_i \in \mathcal{O}_Y^{\times}$.
- (iii) The functor $-\otimes_{\mathbb{Z}} \mathbb{Z}/p^n \mathbb{Z}$ is an additive functor and it commutes with filtering small limit, so the universal exactness property of Gersten complex implies the following sequence

$$0 \to \mathcal{K}^M_{r,Y}/p^n \to i_{\eta*}K^M_r(\kappa(\eta))/p^n \to \bigoplus_{x \in Y^{(1)}} i_{x*}K^M_{r-1}(\kappa(x))/p^n \to \cdots$$

is exact.

Let $D = \bigcup_{i=1}^{s} D_i$ be a reduced effective Cartier divisor on Y such that $\operatorname{Supp}(D)$ has simple normal crossing, let D_1, \dots, D_s be the irreducible components of D, and let $j: U := Y - D \hookrightarrow Y$ be the open complement. For $\underline{m} = (m_1, \dots, m_s)$ with $m_i \in \mathbb{N}$ let

$$mD = \underline{m}D = \sum_{i=1}^{s} m_i D_i$$

be the associated divisor. On \mathbb{N}^s , we define a semi-order as follows:

$$\underline{m'} \ge \underline{m'}$$
 if $m'_i \ge m_i$ for all i .

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Using this semi-order, we denote

$$\underline{m'}D \ge \underline{m}D$$
 if $\underline{m'} \ge \underline{m}$.

By the above theorem, we may define the relative Milnor K-sheaves with respect to mD using symbols (cf. [RS18, Def. 2.7]):

DEFINITION 3.2.6. For $r \in \mathbb{Z}, m \in \mathbb{N}^s$, we define the Zariski sheaf $\mathcal{K}^M_{r,Y|mD,Zar}$ to be the image of the following map

$$Ker(\mathcal{O}_Y^{\times} \to \mathcal{O}_{mD}^{\times}) \otimes_{\mathbb{Z}} j_* \mathcal{K}_{r-1, U_{Zar}}^M \to j_* \mathcal{K}_{r, U_{Zar}}^M$$
$$x \otimes \{x_1, \cdots, x_{r-1}\} \mapsto \{x, x_1, \cdots, x_{r-1}\}$$

and define $\mathcal{K}^M_{r,Y|mD}$ to be its associated sheaf on the étale site.

By this definition, it is clear that $j_*\mathcal{K}^M_{r,Y|mD} = \mathcal{K}^M_{r,U}$, for any $m \in \mathbb{N}^s$.

PROPOSITION 3.2.7. ([RS18, Cor. 2.13]) If $m' \ge m$, then we have the inclusions of étale sheaves

$$\mathcal{K}^{M}_{r,X|m'D} \subseteq \mathcal{K}^{M}_{r,X|mD} \subseteq \mathcal{K}^{M}_{r,X}.$$

Proof. The statement in [RS18] is on the Zariski and Nisnevich sites, and in particular, works on the étale site. \Box

Now we recall the Bloch-Gabber-Kato theorem on regular schemes.

LEMMA 3.2.8. There is a natural map $d \log [-]_n : \mathcal{K}^M_{r,Y} \to W_n \Omega^r_Y$.

Proof. If all the residue fields of Y are infinite, this is clear by Theorem 3.2.3. If not, we can still construct this map via the following local computations. We first define this for any ring A. Recall that the (improved) Milnor K-theory of A can be defined by the first row of the following diagram [Ker10]:

$$0 \longrightarrow \hat{K}_{r}^{M}(A) \longrightarrow K_{r}^{M}(A(t)) \xrightarrow{i_{1*}-i_{2*}} K_{r}^{M}(A(t_{1},t_{2}))$$

$$\downarrow d \log[-]_{n} \qquad \qquad \downarrow d \log[-]_{n}$$

$$0 \longrightarrow M_{n} \longrightarrow W_{n} \Omega_{A(t)}^{r} \xrightarrow{i_{1*}-i_{2*}} W_{n} \Omega_{A(t_{1},t_{2})}^{r}$$

Here A(t) is the rational function ring over A, that is $A[t]_S$ the localization of the one variable polynomial ring with respect to the multiplicative set $S = \{\sum_{i \in I} a_i t^i | \langle a_i \rangle_{i \in I} = A\}$. The assertion follows from the following claim.

CLAIM
$$M_n = W_n \Omega_A^r$$
.

Once we have the $d \log map$ for any ring, we will get a map on the Zariski site by sheafification. The desired map is obtained by taking the associated map on the étale site. Now it suffices to prove the above Claim.

Proof of Claim. We first assume n = 1, and in this case we have

$$A(t) \otimes_A \Omega^1_A \oplus A(t) \xrightarrow{\cong} \Omega^1_{A(t)}$$
$$(a \otimes w, b) \mapsto a \cdot w + b \cdot dt$$

Using this explicit expression, we can show the kernel of $i_{1*} - i_{2*}$ is Ω_A^r . For general n, we first noted that $W_n \Omega_A^r \subseteq M_n$, and we can prove the claim by induction on n via the exact sequence

$$0 \to V^n \Omega^r_A + dV^n \Omega^{r-1}_A \to W_{n+1} \Omega^r_A \xrightarrow{R} W_n \Omega^r_A \to 0$$

as i_{1*} and i_{2*} commute with R and V.

By Definition 1.3.7, the image of $d \log[-]_n$ contains in $W_n \Omega_{Y,\log}^r$. It is clear that the map $d \log[-]_n$ factors through $\mathcal{K}_{r,Y}^M/p^n$. Therefore we have the following result.

PROPOSITION 3.2.9. The natural map in Lemma 3.2.8 induces an isomorphism

$$d\log\left[-\right]: \mathcal{K}_{r,Y}^M/p^n \xrightarrow{\cong} W_n\Omega_{Y,\log}^r$$

Proof. It is enough to show this map is injective. This is a local question, so we may assume Y = Spec(A) is a regular local ring over \mathbb{F}_p . The Néron-Popescu desingularization theorem below tells us that we can assume Y = Spec(A) is smooth over \mathbb{F}_p . Then we have the following commutative diagram

$$\begin{array}{c} \mathcal{K}^{M}_{r,Y}/p^{n} & \longrightarrow i_{\eta*} \mathcal{K}^{M}_{r}(\kappa(\eta))/p^{n} \\ \downarrow^{d \log[-]_{n}} & \downarrow^{\cong} \\ \mathcal{W}_{n} \Omega^{r}_{X,\log} & \longleftarrow i_{\eta*} \mathcal{W}_{n} \Omega^{r}_{\eta,\log} \end{array}$$

of étale sheaves on Y. The injection on the first row follows from Theorem 3.2.3(iii), and Remark 1.2.2 implies the injection on the second row. Therefore the assertion follows from the fact that the right vertical map is an isomorphism, which is given by the classical Bloch-Gabber-Kato theorem [BK86].

THEOREM 3.2.10 (Néron-Popescu, [Swa98]). Any regular local ring A of characteristic p can be written as a filtering colimit $\varinjlim A_i$ with each A_i is smooth

(of finite type) over \mathbb{F}_q .

3.3 Relative logarithmic de Rham-Witt sheaves

Assume $X \to \operatorname{Spec}(\mathbb{F}_q[[t]])$ is as before, i.e., a projective strictly semistable scheme over $B = \operatorname{Spec}(\mathbb{F}_q[[t]])$. Let $D = \bigcup_{i=1}^s D_i$ be a reduced effective Cartier divisor on Y such that $\operatorname{Supp}(D)$ has simple normal crossing, let D_1, \dots, D_s be

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the irreducible components of D, and let $j: U := Y - D \hookrightarrow Y$ be the open complement of D. For $\underline{m} = (m_1, \cdots, m_s)$ with $m_i \in \mathbb{N}$ let

$$mD = \underline{m}D = \sum_{i=1}^{s} m_i D_i \tag{3.2}$$

be the associated divisor. In the previous section, we defined a semi-order on \mathbb{N}^s and denoted

$$\underline{m'}D \ge \underline{m}D$$
 if $\underline{m'} \ge \underline{m}$. (3.3)

DEFINITION 3.3.1. For $r \ge 0, n \ge 1$, we define

$$W_n\Omega^r_{X|mD,\log} \subset j_*W_n\Omega^r_{U,\log}$$

to be the étale additive subsheaf generated étale locally by sections

 $d\log[x_1]_n \wedge \cdots \wedge d\log[x_r]_n$ with $x_i \in j_*\mathcal{O}_U^{\times}$, for all i, and $x_1 \in \ker(\mathcal{O}_X \to \mathcal{O}_D)$

where $[x]_n = (x, 0, \dots, 0) \in W_n(\mathcal{O}_X)$ is the Teichmüller representative of $x \in \mathcal{O}_X$, and the symbol $d\log[x]_n = \frac{d[x]_n}{[x]_n}$ as before.

COROLLARY 3.3.2. For any $m \in \mathbb{N}^s$, $j^*W_n\Omega^r_{X|mD,\log} = W_n\Omega^r_{U,\log}$. If $m' \ge m$, then we have the inclusions of étale sheaves

$$W_n\Omega^r_{X|m'D,\log} \subseteq W_n\Omega^r_{X|mD,\log} \subseteq W_n\Omega^r_{X,\log}.$$

Proof. By definition, $W_n \Omega^r_{X|mD,\log}$ is the image of $\mathcal{K}^M_{r,X|mD}$ under the $d\log[-]_n$. Hence the first claim is clear and the second follows from Proposition 3.2.7 and 3.2.9.

THEOREM 3.3.3. ([JSZ18, Thm. 1.1.6]) There is an exact sequence of étale sheaves on X:

$$0 \to W_{n-1}\Omega^r_{X|[m/p]D,\log} \xrightarrow{p} W_n \Omega^r_{X|mD,\log} \xrightarrow{R} \Omega^r_{X|mD,\log} \to 0,$$

where $[m/p]D = \sum_{i=1}^{s} [m_i/p]D_i$, and $[m_i/p] = min\{m \in \mathbb{N} | m \ge m_i/p\}$.

Proof. This is a local problem, and the local proof in [JSZ18] also works in our situation. The idea is reduced to a similar result for Milnor K-groups by the Bloch-Gabber-Kato theorem. Then the graded pieces (with respect to m) on Milnor K-groups can be represented as differential forms, which will give the desired exactness.

If $m'D \ge mD$, then the relation $\mathcal{O}_X(-m'D) \subseteq \mathcal{O}_X(-mD)$ induces a natural transitive map $W_n \Omega^r_{X|m'D,\log} \hookrightarrow W_n \Omega^r_{X|mD,\log}$. This gives us a pro-system of abelian étale sheaves " $\lim_{l \to m} W_n \Omega^r_{X|mD,\log}$.

COROLLARY 3.3.4. The following sequence is exact

$$0 \to \underset{m}{\overset{\text{"im}}{\longleftarrow}} W_{n-1}\Omega^r_{X|mD,\log} \to \underset{m}{\overset{\text{"im}}{\longleftarrow}} W_n\Omega^r_{X|mD,\log} \to \underset{m}{\overset{\text{"im}}{\longleftarrow}} \Omega^r_{X|mD,\log} \to 0$$

as pro-objects, where " \varprojlim " is the pro-system of sheaves defined by the ordering between the D's, which is defined in (3.3).

In [JSZ18], using the filtered de Rham-Witt complexes, we define a pairing be-tween $W_n \Omega_{U,\log}^r$ and the pro-system " \varprojlim " $W_n \Omega_{X|mD,\log}^{d+1-r}$. This pairing induces a pairing on the cohomology groups. In this paper, we give an alternative way to define the pairing between $H^i(U, W_n \Omega^r_{U,\log})$ and $\lim_{{\longleftarrow} m} H^{d+2-i}_{X_s}(X, W_n \Omega^{d+1-r}_{X|mD,\log})$, which can be done without introducing the filtered de Rham-Witt complexes

[JSZ18, §2].

THEOREM 3.3.5. The wedge product on de Rham-Witt complexes induces natural maps

$$j_* W_n \Omega_U^r \to \mathcal{H}om(\underset{m}{\overset{\text{(im)}}{\longleftarrow}} W_n \Omega_{X|mD,\log}^{d+1-r}, W_n \Omega_X^{d+1})$$
$$= \underset{m}{\overset{\text{(im)}}{\longrightarrow}} \mathcal{H}om(W_n \Omega_{X|mD,\log}^{d+1-r}, W_n \Omega_X^{d+1});$$
(3.4)

$$j_*Z_1W_n\Omega_U^r \to \varinjlim_m \mathcal{H}om(W_n\Omega_{X|mD,\log}^{d+1-r}, W_n\Omega_X^d).$$
(3.5)

For the proof, we need some calculations with Witt vectors.

LEMMA 3.3.6. ([GH06, Lem. 1.2.3]) Let R be any ring, and $t \in R$, then $[1+t]_m - [1]_m = (y_0, \cdots, y_{m-1})$ with $y_i \equiv t \mod t^2 R$ for $0 \le i \le m-1$. Here $[x]_m = (x, 0, \dots, 0) \in W_m(R)$ is the Teichmüller representative of $x \in R$.

As a consequence, we have

COROLLARY 3.3.7. Let A be an \mathbb{F}_q -algebra, and let $a, t \in A$. Then

$$[1+ta]_m - [1]_m = (y_0, \cdots, y_{m-1})$$

with $y_i \in tA$ for $0 \leq i \leq m - 1$.

COROLLARY 3.3.8. With the notations as above, we have $d[1 + tA]_m =$ $d(y_0,\ldots,y_m)$ with $y_i \in tA$.

Proof. We have
$$dx = 0$$
 for $x \in W_m(\mathbb{F}_p)$.

COROLLARY 3.3.9. With the notations above we have the following formula, for $t \in A$

$$d[1+t^{p^{(m-1)}n}]_m = d(t^{p^{(m-1)}n}y_0, \cdots, t^{p^{(m-1)}n}y_{m-1}) = d([t]_m^n \cdot (c_0, \cdots, c_{m-1})).$$

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Proof. The last equality is due to the fact that $[t]_m \cdot (y_0, \ldots, y_{m-1}) = (ty_0, t^p y_1, \ldots, t^{p^{m-1}} y_{m-1}).$

Proof of Theorem 3.3.5. Let α be a given local section of $W_n \Omega_U^r$, we need to find a suitable *m* such that, for any local section β of $W_n \Omega_{X|mD,\log}^{d+1-r}$, $\alpha \wedge \beta$ is a local section of $W_n \Omega_X^{d+1}$. This is equivalent to show that we can find *m* such that, for any $a_1 \in 1 + \mathcal{O}_X(-mD)$ the coefficient (with respect to a local basis) of

$$\alpha \wedge \frac{d[1+a_1]_n}{[1+a_1]_n} \wedge \frac{d[a_2]_n}{[a_2]_n} \wedge \dots \wedge \frac{d[a_{d+1-r}]_n}{[a_{d+1-r}]_n}$$

lies in $W_n(\mathcal{O}_X)$. By the above Corollary, this is possible if we take *m* big enough to eliminate the "poles" of α along *D*. This gives us the map 3.4, and the map 3.5 is defined similarly.

In [Mil76], Milne defined a pairing of two-term complexes as follows: Let

$$\mathscr{F}^{\bullet} = (\mathscr{F}^0 \xrightarrow{d_{\mathscr{F}}} \mathscr{F}^1), \quad \mathscr{G}^{\bullet} = (\mathscr{G}^0 \xrightarrow{d_{\mathscr{G}}} \mathscr{G}^1)$$

and

$$\mathscr{H}^{\bullet} = (\mathscr{H}^0 \xrightarrow{d_{\mathscr{H}}} \mathscr{H}^1)$$

be two-term complexes. A pairing of two-term complexes

$$\mathscr{F}^{\bullet} \times \mathscr{G}^{\bullet} \to \mathscr{H}^{\bullet}$$

is a system of pairings

$$\begin{split} &< \cdot, \cdot >_{0,0}^{0}: \mathscr{F}^{0} \times \mathscr{G}^{0} \to \mathscr{H}^{0}; \\ &< \cdot, \cdot >_{0,1}^{1}: \mathscr{F}^{0} \times \mathscr{G}^{1} \to \mathscr{H}^{1}; \\ &< \cdot, \cdot >_{1,0}^{1}: \mathscr{F}^{1} \times \mathscr{G}^{0} \to \mathscr{H}^{1}, \end{split}$$

such that

$$d_{\mathscr{H}}(\langle x, y \rangle_{0,0}^{0}) = \langle x, d_{\mathscr{G}}(y) \rangle_{0,1}^{1} + \langle d_{\mathscr{F}}(x), y \rangle_{1,0}^{1}$$
(3.6)

for all $x \in \mathscr{F}^0, y \in \mathscr{G}^0$. Such a pairing is the same as a mapping

$$\mathscr{F}^{\bullet}\otimes\mathscr{G}^{\bullet}\to\mathscr{H}^{\bullet}.$$

In our situation, we set

$$W_n \mathscr{F}^{\bullet} := [\jmath_* Z_1 W_n \Omega_U^r \xrightarrow{1-C} \jmath_* W_n \Omega_U^r];$$
$$W_n \mathscr{G}^{\bullet}_{-m} := [W_n \Omega_{X|mD,\log}^{d+1-r} \to 0];$$
$$W_n \mathscr{H}^{\bullet} := [W_n \Omega_X^{d+1} \xrightarrow{1-C} W_n \Omega_X^{d+1}].$$

COROLLARY 3.3.10. We have a natural map of complexes

$$W_n \mathscr{F}^{\bullet} \to \varinjlim_m \mathcal{H}om(W_n \mathscr{G}^{\bullet}_{-m}, W_n \mathscr{H}^{\bullet}).$$
 (3.7)

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3.4 RAMIFIED DUALITY

LEMMA 3.4.1. Let D be a normal crossing divisor on X. Then the induced open immersion $j: U := X - |D| \to X$ is affine.

Proof. To be an affine morphism is étale locally on the target, and étale locally D is given by one equation.

By Proposition 1.3.11 (iii), in $D^b(X, \mathbb{Z}/p^n\mathbb{Z})$ we have

$$R_{\mathcal{J}_*}W_n\Omega^r_{U,\log} \cong [\mathfrak{J}_*Z_1W_n\Omega^r_U \xrightarrow{1-C} \mathfrak{J}_*W_n\Omega^r_U] = W_n\mathscr{F}^{\bullet}.$$
(3.8)

Then we have $\mathbb{H}^{i}(X, W_{n}\mathscr{F}^{\bullet}) \cong \mathbb{H}^{i}(U, [Z_{1}W_{n}\Omega_{U}^{r} \to W_{n}\Omega_{U}^{r}]) \cong H^{i}(U, W_{n}\Omega_{U,\log}^{r})$, by the above lemma and Proposition 1.3.11 (iii). Therefore the map (3.7) induces a map of cohomology groups, by taking hypercohomology,

$$H^{i}(U, W_{n}\Omega^{r}_{U, \log}) \to \varinjlim_{m} \mathbb{H}^{i}(X, \mathcal{H}om(W_{n}\mathscr{G}^{\bullet}_{-m}, W_{n}\mathscr{H}^{\bullet}).$$
(3.9)

Note that we also have a natural evaluation map of two-term complexes:

$$\mathcal{H}om(W_n\mathscr{G}^{\bullet}_{-m}, W_n\mathscr{H}^{\bullet}) \otimes W_n\mathscr{G}^{\bullet}_{-m} \to W_n\mathscr{H}^{\bullet}.$$
(3.10)

As the construction of the pairing (3.1) in §3.1, this induces a cup product in hypercohomology of complexes

$$\mathbb{H}^{i}(X, \mathcal{H}om(W_{n}\mathscr{G}^{\bullet}_{-m}, W_{n}\mathscr{H}^{\bullet})) \otimes \mathbb{H}^{d+2-i}_{X_{s}}(X, W_{n}\mathscr{G}^{\bullet}_{-m}) \to \mathbb{H}^{d+2}_{X_{s}}(X, W_{n}\mathscr{H}^{\bullet}).$$
(3.11)

Therefore, it induces a map

$$\mathbb{H}^{i}(X, \mathcal{H}om(W_{n}\mathscr{G}^{\bullet}_{-m}, W_{n}\mathscr{H}^{\bullet}))
\rightarrow \operatorname{Hom}_{\mathbb{Z}/p^{n}\mathbb{Z}}(H^{d+2-i}_{X_{s}}(X, W_{n}\Omega^{d+1-r}_{X|mD,\log}), H^{d+2}_{X_{s}}(X, W_{n}\Omega^{d+1}_{X,\log}))$$
(3.12)

Now combining the maps (3.9), (3.12) and the trace map in Corollary 1.4.10, we get the desired map

$$H^{i}(U, W_{n}\Omega^{r}_{U, \log}) \to \varinjlim_{m} \operatorname{Hom}_{\mathbb{Z}/p^{n}\mathbb{Z}}(H^{d+2-i}_{X_{s}}(X, W_{n}\Omega^{d+1-r}_{X|mD, \log}), \mathbb{Z}/p^{n}\mathbb{Z});$$
(3.13)

Now our main theorem in this paper is the following result.

THEOREM 3.4.2. For each $i, r \in \mathbb{N}$, the above map (3.13) is an isomorphism. If we endow $H_{X_s}^{d+2-i}(X, W_n \Omega_{X|mD,\log}^{d+1-r})$ with the discrete topology, and endow $H^i(U, W_n \Omega_{U,\log}^r)$ with the direct limit topology of compact-open groups, then the Pontryagin duality gives us an isomorphism of topological groups

$$\lim_{m} H^{d+2-i}_{X_s}(X, W_n \Omega^{d+1-r}_{X|mD, \log}) \xrightarrow{\cong} Hom_{\mathbb{Z}/p^n\mathbb{Z}, \text{cont}}(H^i(U, W_n \Omega^r_{U, \log}), \mathbb{Z}/p^n\mathbb{Z}).$$

In the rest of this section, we focus on the proof of this theorem.

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Proof. We can proceed analogously to the proof of Theorem 3.1.1. First, we reduce this theorem to the case n = 1, then using Cartier operator, we will study the relation between this theorem and coherent duality theorems.

STEP 1: REDUCTION TO THE CASE n = 1. We denote t = d + 2 - i and M^{\vee} means $\operatorname{Hom}_{\operatorname{cont}}(M, \mathbb{Q}/\mathbb{Z})$, i.e., its Pontryagin dual, for any locally compact topological abelian group M. We have the following commutative diagram, where the first row is the long exact sequence induced by the short exact sequence in Proposition 1.3.11 (i), and the second row is an long exact sequence induced by Corollary 3.3.4.

By the five lemma and induction, our problem is reduced to the case n = 1. STEP 2: PROOF OF THE CASE n = 1. In this special case, using the relation between the relative logarithmic de Rham-Witt and coherent sheaves, we can reformulate our pairing.

THEOREM 3.4.3. ([JSZ18, Thm. 1.2.1]) We have the following exact sequence

$$0 \to \Omega^{d+1-r}_{X|mD,\log} \to \Omega^{d+1-r}_{X|mD} \xrightarrow{C^{-1}-1} \Omega^{d+1-r}_{X|mD} / B\Omega^{d+1-r}_{X|mD} \to 0,$$

where $\Omega_{X|mD}^{j} = \Omega_{X}^{j}(\log D) \otimes \mathcal{O}_{X}(-mD)$ is defined in (2.5).

Proof. This is again a local problem, and the local proof in [JSZ18] also works in our situation. The key ingredient is to show $\Omega^i_{X|mD,\log} = \Omega^i_{X,\log} \cap \Omega^i_{X|mD}$. This can be obtained by a refinement of [Kat82, Prop. 1].

LEMMA 3.4.4. For any $\underline{m} \in \mathbb{N}^s$, we denote $mD = \underline{m}D$ as in (3.2), and

$$\Omega^{j}_{X|mD} = \Omega^{j}_{X}(\log D)(-mD) = \Omega^{j}_{X}(\log D) \otimes \mathcal{O}_{X}(-mD)$$

as before. The parings

$$\begin{aligned} &< \alpha, \beta >_{0,0}^{0} = \alpha \wedge \beta : Z\Omega_{X|-mD}^{r} \times \Omega_{X|(m+1)D}^{d+1-r} \to \Omega_{X|D}^{d+1}; \\ &< \alpha, \beta >_{0,1}^{1} = -C(\alpha \wedge \beta) : Z\Omega_{X|-mD}^{r} \times \Omega_{X|(m+1)D}^{d+1-r} / B\Omega_{X|(m+1)D}^{d+1-r} \to \Omega_{X|D}^{d+1}; \\ &< \alpha, \beta >_{1,0}^{1} = \alpha \wedge \beta : \Omega_{X|-mD}^{r} \times \Omega_{X|(m+1)D}^{d+1-r} \to \Omega_{X|D}^{d+1}, \end{aligned}$$

define a paring of (two-term) complexes

$$\mathscr{F}^{\bullet}_m \times \mathscr{G}^{\bullet}_{-m} \to \mathscr{H}^{\bullet} \tag{3.14}$$

with

$$\begin{split} \mathscr{F}_{m}^{\bullet} &= (Z\Omega_{X|-mD}^{r} \xrightarrow{1-C} \Omega_{X|-mD}^{r}) \\ \mathscr{G}_{-m}^{\bullet} &= (\Omega_{X|(m+1)D}^{d+1-r} \xrightarrow{C^{-1}-1} \Omega_{X|(m+1)D}^{d+1-r} / B\Omega_{X|(m+1)D}^{d+1-r}) \\ \mathscr{H}^{\bullet} &= (\Omega_{X|D}^{d+1} \xrightarrow{1-C} \Omega_{X|D}^{d+1}) = (\Omega_{X}^{d+1} \xrightarrow{1-C} \Omega_{X}^{d+1}). \end{split}$$

Proof. This is easy to verify.

By taking hypercohomology, the pairing (3.14) induces a pairing of hypercohomology groups:

$$\mathbb{H}^{i}(X,\mathscr{F}_{m}^{\bullet}) \times \mathbb{H}^{d+2-i}_{X_{s}}(X,\mathscr{G}_{-m}^{\bullet}) \to \mathbb{H}^{d+2}_{X_{s}}(X,\mathscr{H}^{\bullet}) \cong H^{d+2}_{X_{s}}(X,\Omega^{d+1}_{X,\log}) \xrightarrow{\mathrm{Tr}} \mathbb{Z}/p\mathbb{Z}.$$
(3.15)

Now by Corollary 2.5.7 and 2.5.8, we can show

PROPOSITION 3.4.5. The pairing (3.15) induces the following isomorphism.

$$\begin{aligned} & \mathbb{H}^{i}(X,\mathscr{F}_{m}^{\bullet}) & \xrightarrow{\cong} & Hom_{\mathbb{Z}/p\mathbb{Z}}(\mathbb{H}^{d+2-i}_{X_{s}}(X,\mathscr{G}_{-m}^{\bullet}),\mathbb{Z}/p\mathbb{Z}) \\ & \cong & Hom_{\mathbb{Z}/p\mathbb{Z}}(H^{d+2-i}_{X_{s}}(X,W_{n}\Omega^{d+1-r}_{X|(m+1)D,\log}),\mathbb{Z}/p\mathbb{Z}). \end{aligned}$$
(3.16)

Proof. This can be done by using the hypercohomology spectral sequences

$${}^{I}E_{1}^{p,q} = H^{q}(X,\mathscr{F}_{m}^{p}) \Rightarrow \mathbb{H}^{p+q}(X,\mathscr{F}_{m}^{\bullet});$$
$${}^{II}E_{1}^{p,q} = H^{q}_{X_{s}}(X,\mathscr{G}_{-m}^{p}) \Rightarrow \mathbb{H}^{p+q}_{X_{s}}(X,\mathscr{G}_{-m}^{\bullet})$$

Corollary 2.5.7 and 2.5.8 tell us that

$${}^{I}E_{1}^{p,q} \cong \operatorname{Hom}_{\mathbb{Z}/p^{n}\mathbb{Z}}({}^{II}E_{1}^{d+1-q,1-p}, \mathbb{Z}/p^{n}\mathbb{Z}),$$

and this isomorphism is compatible with d_1 . Hence we still have this kind of duality at the E_2 -pages. Note that, by definition, $p \neq 0, 1$, ${}^{I}E_1^{p,q} = {}^{II}E_1^{p,q} = 0$. Hence both spectral sequences degenerate at the E_2 -pages. Therefore we have the isomorphism in the claim.

Up to now, we haven't used any topological structure on the (hyper-)cohomology group. Note that $H_{X_s}^{d+2-i}(X, W_n \Omega_{X|(m+1)D,\log}^{d+1-r})$ is not a finite group in general, and we endow it with discrete topology. Hence we endow $\mathbb{H}^i(X, \mathscr{F}_m^{\bullet})$ with the compact-open topology via the isomorphism (3.16). Now the Pontryagin duality theorem implies:

PROPOSITION 3.4.6. There is a perfect pairing of topological $\mathbb{Z}/p\mathbb{Z}$ -modules:

$$\lim_{m} \mathbb{H}^{i}(X, \mathscr{F}_{m}^{\bullet}) \times \lim_{m} H^{d+2-i}_{X_{s}}(X, \Omega^{d+1-r}_{X|(m+1)D, \log}) \to \mathbb{Z}/p\mathbb{Z}$$
(3.17)

where the first term is endowed with direct limit topology, and the second with the inverse limit topology.

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Proof. Note that the Pontryagin dual $\operatorname{Hom}_{\operatorname{cont}}(\cdot, \mathbb{Z}/p\mathbb{Z})$ commutes with direct and inverse limits. Then the proof is straightforward.

Remark 3.4.7. In case that the groups $H_{X_s}^{d+2-i}(X, \Omega_{X|(m+1)D,\log}^{d+1-r})$ are finite for all m(e.g., d = 0 or r = 0), then the direct limit topology of finite (compact-open) topological spaces is discrete, and the inverse limit topology of finite discrete topological spaces is profinite.

We still need to calculate the direct limit term in the above proposition.

PROPOSITION 3.4.8. The direct limit
$$\varinjlim_m \mathbb{H}^i(X, \mathscr{F}_m^{\bullet}) \cong H^i(U, \Omega^r_{U, \log}).$$

Proof. First, direct limits commute with (hyper-)cohomology, hence

$$\lim_{m} \mathbb{H}^{i}(X, \mathscr{F}_{m}^{\bullet}) = \mathbb{H}^{i}(X, \lim_{m} \mathscr{F}_{m}^{\bullet}).$$

Note that

$$\varinjlim_{m} \mathscr{F}_{m}^{\bullet} = [\jmath_{*} Z \Omega_{U}^{r} \xrightarrow{1-C} \jmath_{*} \Omega_{U}^{r}].$$

For coherent sheaves, the affine morphism j (see Lemma 3.4.1) gives an exact functor j_* . Hence we have

$$\mathbb{H}^{i}(X, [\jmath_{*}Z\Omega_{U}^{r} \xrightarrow{1-C} \jmath_{*}\Omega_{U}^{r}]) = \mathbb{H}^{i}(U, [Z\Omega_{U}^{r} \xrightarrow{1-C} \Omega_{U}^{r}]) = H^{i}(U, \Omega_{U, \log}^{r}),$$

where the last equality follows from the special case n = 1 of Proposition 1.3.11 (iii).

Proof of STEP 2. The duality theorem in case n = 1 directly follows from the above two propositions.

Now the proof of our main Theorem 3.4.2 is complete. We denote

$$\Phi: H^{i}(U, W_{n}\Omega_{U, \log}^{r}) \xrightarrow{\cong} \operatorname{Hom}_{\operatorname{cont}}(\varprojlim_{M} H_{X_{s}}^{d+2-i}(X, W_{n}\Omega_{X|mD, \log}^{d+1-r}), \mathbb{Z}/p^{n}\mathbb{Z}); \\
\cong \varprojlim_{m} \operatorname{Hom}(H_{X_{s}}^{d+2-i}(X, W_{n}\Omega_{X|mD, \log}^{d+1-r}), \mathbb{Z}/p^{n}\mathbb{Z})$$

$$\Psi: \lim_{\stackrel{\leftarrow}{m}} H^{d+2-i}_{X_s}(X, W_n\Omega^{d+1-r}_{X|mD,\log}) \xrightarrow{\cong} \operatorname{Hom}_{\operatorname{cont}}(H^i(U, W_n\Omega^r_{U,\log}), \mathbb{Z}/p^n\mathbb{Z}).$$

Using this duality theorem, we may at last define a filtration as follows:

DEFINITION 3.4.9. Assume X, X_s, D, U are as before. For any $\chi \in H^i(U, W_n \Omega^r_{U, \log})$, we define the higher Artin conductor

$$ar(\chi) := \min\{m \in \mathbb{N}_0^s \mid \Phi(\chi) \text{ factors through } H^{d+2-i}_{X_s}(X, W_n \Omega^{d+1-r}_{X|mD,\log})\}.$$

For $m \in \mathbb{N}^s$, we define

$$Fil_m H^i(U, W_n \Omega^r_{U, \log}) := \{ \chi \in H^i(U, W_n \Omega^r_{U, \log}) | ar(\chi) \le m \},\$$

and

$$\pi_1^{ab}(X, mD)/p^n := Hom(Fil_m H^1(U, \mathbb{Z}/p^n \mathbb{Z}), \mathbb{Q}/\mathbb{Z})$$

endowed with the usual profinite topology of the dual.

It is clear that Fil_• is an increasing filtration with respect to the semi-order on \mathbb{N}^s . We have

$$\operatorname{Fil}_{m}H^{i}(U, W_{n}\Omega^{r}_{U, \log}) = \operatorname{Image}(\operatorname{Hom}_{\mathbb{Z}/p^{n}\mathbb{Z}}(H^{d+2-i}_{X_{s}}(X, W_{n}\Omega^{d+1-r}_{X|mD, \log}), \mathbb{Z}/p^{n}\mathbb{Z}) \to H^{i}(U, W_{n}\Omega^{r}_{U, \log})).$$

The quotient $\pi_1^{ab}(X, mD)/p^n$ can be thought of as classifying abelian étale coverings of U whose degree divides p^n with ramification bounded by the divisor mD.

4 Comparison with the classical case

In this section, we want to compare our filtration with the classical one in the local ramification theory.

4.1 LOCAL RAMIFICATION THEORY

Let K be a local field, i.e., a complete discrete valuation field of characteristic p > 0, let \mathcal{O}_K be its ring of integers, let k be its finite residue field, and let ν_K be its valuation. We fix a uniformizer $\pi \in \mathcal{O}_K$, which generates the maximal ideal $\mathfrak{m} \in \mathcal{O}_K$.

The local class field theory [Ser79] gives us an Artin reciprocity homomorphism

$$\operatorname{Art}_K : K^{\times} \to \operatorname{Gal}(K^{\operatorname{ab}}/K),$$

where K^{ab} is the maximal abelian extension of K. Note that both K^{\times} and $Gal(K^{ab}/K)$ are topological groups. Recall the topological structure on K^{\times} is given by the valuation on K, and $Gal(K^{ab}/K)$ is the natural profinite topology. For any $m \in \mathbb{N}$, the Atrin map induces an isomorphism of topological groups

$$\operatorname{Art}_K \otimes 1 : K^{\times} \otimes \mathbb{Z}/m\mathbb{Z} \xrightarrow{\cong} \operatorname{Gal}(K^{\operatorname{ab}}/K) \otimes \mathbb{Z}/m\mathbb{Z}.$$

In particular, take $m = p^n$, it gives:

$$\operatorname{Art}_K \otimes 1 : K^{\times}/(K^{\times})^{p^n} \xrightarrow{\cong} \operatorname{Gal}(K^{\operatorname{ab}}/K) \otimes \mathbb{Z}/p^n\mathbb{Z}.$$
 (4.1)

For $n \ge 1$, the Artin-Schreier-Witt theory tells us there is a natural isomorphism

$$\delta_n: W_n(K)/(1-F)W_n(K) \xrightarrow{\cong} H^1(K, \mathbb{Z}/p^n\mathbb{Z}), \tag{4.2}$$

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where $W_n(K)$ is the ring of Witt vector of length n and F is the Frobenius. Note that $H^1(K, \mathbb{Z}/p^n\mathbb{Z})$ is dual to $Gal(K^{ab}/K) \otimes \mathbb{Z}/p^n\mathbb{Z}$, the interplay between (4.1) and (4.2) gives rise to the following theorem.

THEOREM 4.1.1 (Artin-Schreier-Witt). There is a perfect pairing of topological groups, that we call the Artin-Schreier-Witt symbol

$$W_n(K)/(1-F)W_n(K) \times K^{\times}/(K^{\times})^{p^n} \longrightarrow \mathbb{Z}/p^n\mathbb{Z}$$

$$(a,b) \mapsto [a,b) := (b,L/K)(\alpha) - \alpha$$

$$(4.3)$$

where $(1 - F)(\alpha) = a$, for some $\alpha \in W_n(K^{sep})$, $L = K(\alpha)$, (b, L/K) is the norm residue of b in L/K, and the topological structure on the first term is discrete, on the second term is induced from K^{\times} .

Proof. This pairing is non-degenerate [Tho05, Prop. 3.2]. Taking the topological structure into account, we get the perfectness by Pontryagin duality. \Box

We have filtrations on the two left terms in the pairing (4.3). On $W_n(K)$, Brylinski [Bry83] and Kato [Kat89] defined an increasing filtration, called the Brylinski-Kato filtration, using the valuation on K:

$$\operatorname{fil}_{m}^{\log} W_{n}(K) = \{ (a_{n-1}, \cdots, a_{1}, a_{0}) \in W_{n}(K) | p^{i} \nu_{K}(a_{i}) \geq -m \}.$$

We also have its non-log version introduced by Matsuda [Mat97].

$$\operatorname{fil}_{m} W_{n}(K) = \operatorname{fil}_{m-1}^{\log} W_{n}(K) + V^{n-n'} \operatorname{fil}_{m}^{\log} W_{n'}(K), \qquad (4.4)$$

where $n' = \min\{n, \operatorname{ord}_p(m)\}$ and $V : W_{n-1}(K) \to W_n(K)$ is the Verscheibung on Witt vectors.

Both of them induce filtrations on the quotient $W_n(K)/(1-F)W_n(K)$, and we define

$$\operatorname{fil}_{m}^{\log} H^{1}(K, \mathbb{Z}/p^{n}\mathbb{Z}) = \delta_{n}(\operatorname{fil}_{m}^{\log}(W_{n}(K)/(1-F)W_{n}(K))) = \delta_{n}(\operatorname{fil}_{m}^{\log}W_{n}(K)),$$

$$(4.5)$$

$$\operatorname{fil}_{m} H^{1}(K, \mathbb{Z}/p^{n}\mathbb{Z}) = \delta_{n}(\operatorname{fil}_{m}(W_{n}(K)/(1-F)W_{n}(K))) = \delta_{n}(\operatorname{fil}_{m}W_{n}(K)).$$

$$(4.6)$$

We have the following fact on the relation of two above filtrations.

LEMMA 4.1.2. ([Kat89], [Mat97]) For an integer $m \ge 1$, we have

- $(i) \ fil_m H^1(K, \mathbb{Z}/p^n \mathbb{Z}) \subset fil_m^{\log} H^1(K, \mathbb{Z}/p^n \mathbb{Z}) \subset fil_{m+1} H^1(K, \mathbb{Z}/p^n \mathbb{Z}),$
- (ii) $\operatorname{fl}_m H^1(K, \mathbb{Z}/p^n\mathbb{Z}) = \operatorname{fl}_{m-1}^{\log} H^1(K, \mathbb{Z}/p^n\mathbb{Z})$ if (m, p) = 1.

Remark 4.1.3. The non-log version of Brylinski-Kato filtration is closely related to the Kähler differential module Ω_K^1 [Mat97], and it has an higher analogy on $H^1(U)$, where U is an open smooth subscheme of a normal variety X over a perfect field with $(X - U)_{\text{red}}$ is the support of an effective Cartier divisor [KS14].

On K^{\times} , we have a natural decreasing filtration given by:

$$U_K^{-1} = K^{\times}, U_K^0 = \mathcal{O}_K^{\times}, \ U_K^m = \{x \in \mathcal{O}_K^{\times} | \ x \equiv 1 \mod \pi^m\}.$$

The following theorem says the paring (4.3) is compatible with these filtrations.

THEOREM 4.1.4. ([Bry83, Thm. 1]) Underling the Artin-Schreier-Witt symbol (4.3), the orthogonal complement of $fil_{m-1}^{\log}H^1(K, \mathbb{Z}/p^n\mathbb{Z})$ is $U_K^m \cdot (K^{\times})^{p^n}/(K^{\times})^{p^n}$, for any integer $m \geq 1$.

Proof. A more complete proof can be found in [Tho05, §5].

COROLLARY 4.1.5. The Artin-Schreier-Witt symbol (4.3) induces a perfect pairing of finite groups

$$fil_m(W_n(K)/(1-F)W_n(K)) \times K^{\times}/(K^{\times})^{p^n} \cdot U_K^m \longrightarrow \mathbb{Z}/p^n\mathbb{Z}.$$

Proof. First, note that the filtration $\{U_K^m\}_m$ has no jump greater or equal to 0 that divisible by p, as the residue field of K is perfect. Then, we may assume (m, p) = 1. By Lemma 4.1.2 (ii) and the above Brylinski's theorem, we have, the orthogonal complement of $\operatorname{fil}_m H^1(K, \mathbb{Z}/p^n\mathbb{Z}) = \operatorname{fil}_{m-1}^{\log} H^1(K, \mathbb{Z}/p^n\mathbb{Z})$ is $U_K^m \cdot (K^{\times})^{p^n} / (K^{\times})^{p^n}$. The rest follows easily from the fact that the Pontryagin dual H^{\wedge} of an open subgroup of a locally compact group G is isomorphic to G^{\wedge}/H^{\perp} , where H^{\perp} is the orthogonal complement of H. □

4.2 Comparison of filtrations

Let $X = B = \text{Spec}\mathbb{F}_q[[t]]$, D = s = (t) be the unique closed point. Then $U = \text{Spec}(\mathbb{F}_q((t)))$. Our duality theorem 3.4.2 in this setting is:

COROLLARY 4.2.1. The pairing

$$H^{i}(K, W_{n}\Omega^{j}_{U, \log}) \times \varprojlim_{m} H^{2-i}_{s}(B, W_{n}\Omega^{1-j}_{B|mD, \log}) \to \mathbb{Z}/p^{n}\mathbb{Z}$$

is a perfect paring of topological groups.

In particular, we take i = 1, j = 0, and get

$$H^{1}(U, \mathbb{Z}/p^{n}\mathbb{Z}) \times \varprojlim_{m} H^{1}_{s}(B, W_{n}\Omega^{1}_{B|mD, \log}) \to \mathbb{Z}/p^{n}\mathbb{Z}.$$
(4.7)

We want to compare this pairing (4.7) with the Artin-Schreier-Witt symbol (4.3).

LEMMA 4.2.2. We have $H^1_s(B, W_n\Omega^1_{B|mD,\log}) \cong K^{\times}/(K^{\times})^{p^n} \cdot U_K^m$. The diagram

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commutes, where the left vertical arrow is induced by the morphism of sheaves, and the right vertical arrow is given by projection. In particular,

$$\varprojlim_m H^1_s(B, W_n\Omega^1_{B|mD,\log}) \cong K^{\times}/(K^{\times})^{p^n}.$$

Proof. We prove this by induction on n. If n = 1, the localization sequence gives the following exact sequence

$$0 \rightarrow H^0_s(B, \Omega^1_{B|mD, \log}) \rightarrow H^0(B, \Omega^1_{B|mD, \log}) \rightarrow H^0(U, \Omega^1_{U, \log}) \rightarrow H^1_s(B, \Omega^1_{B|mD, \log}) \rightarrow 0$$

The Bloch-Gabber-Kato theorem [BK86] says $K^{\times}/(K^{\times})^p \xrightarrow{\cong} H^0(U, \Omega^1_{U,\log})$, and by definition, it is easy to see that $U^m_K \cdot (K^{\times})^p/(K^{\times})^p \xrightarrow{\cong} H^0(B, \Omega^1_{B|mD,\log})$. For the induction process, we use the exact sequence in Theorem (3.3.3):

$$0 \to W_{n-1}\Omega^1_{B|[m/p]D,\log} \xrightarrow{p} W_n\Omega^1_{B|mD,\log} \xrightarrow{R} \Omega^1_{X|mD,\log} \to 0.$$

Note that the first term involves dividing by p. But for the filtration $\{U_K^m\}_m$, there are no jump greater or equal to 0 that divisible by p, as the residue field of K is perfect. The commutativity of the diagram follows also directly from the above computation.

Now our main result in this section is the following:

PROPOSITION 4.2.3. The filtration we defined in Definition 3.4.9 is same as the non-log version of Brylinski-Kato filtration, i.e., for any integer $m \ge 1$,

$$Fil_m H^1(U, \mathbb{Z}/p^n \mathbb{Z}) = fil_m H^1(U, \mathbb{Z}/p^n \mathbb{Z}).$$

Proof. We have the following isomorphisms

$$Fil_m H^1(U, \mathbb{Z}/p^n \mathbb{Z}) = \operatorname{Hom}_{\mathbb{Z}/p^n \mathbb{Z}}(H^1_s(B, \Omega^1_{B|mD, \log}), \mathbb{Z}/p^n \mathbb{Z})$$
$$= \operatorname{Hom}_{\mathbb{Z}/p^n \mathbb{Z}}(K^{\times}/(K^{\times})^{p^n} \cdot U^m_K, \mathbb{Z}/p^n \mathbb{Z})$$
$$= fil_m H^1(U, \mathbb{Z}/p^n \mathbb{Z}),$$

where the first identification is due to the fact that the transition maps are surjective, the second equality is given by Lemma 4.2.2, and the last is Corollary 4.1.5.

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