

## FUNCTORIALITY PROPERTIES OF THE DUAL GROUP

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Received: July 29, 2017

Revised: August 23, 2018

Communicated by Dan Ciubotaru

ABSTRACT. Let  $G$  be a connected reductive group. Previously, it was shown that for any  $G$ -variety  $X$  one can define the dual group  $G_X^\vee$  which admits a natural homomorphism with finite kernel to the Langlands dual group  $G^\vee$  of  $G$ . Here, we prove that the dual group is functorial in the following sense: if there is a dominant  $G$ -morphism  $X \rightarrow Y$  or an injective  $G$ -morphism  $Y \rightarrow X$  then there is a unique homomorphism with finite kernel  $G_Y^\vee \rightarrow G_X^\vee$  which is compatible with the homomorphisms to  $G^\vee$ .

2010 Mathematics Subject Classification: 17B22, 14L30, 11F70

Keywords and Phrases: Spherical variety, Langlands dual group, root system, algebraic group, reductive group

## 1. INTRODUCTION

Let  $G$  be a connected reductive group defined over an algebraically closed field  $k$  of characteristic zero. To any  $G$ -variety  $X$  one can attach a finite reflection group  $W(X)$  (its “little Weyl group”) which, loosely speaking, determines the large scale geometry of  $X$  (see Brion [Bri90] and [Kno94]).

While it is known that  $W(X)$  is a subgroup of the Weyl group of  $G$ , it is, in general, not true that it is the Weyl group of some subgroup of  $G$ . But surprisingly, the Langlands dual group  $G^\vee$  of  $G$  does contain such a subgroup. At least in the case when  $X$  is spherical, this was first hinted at in work of Gaitsgory and Nadler, [GN10], who constructed a reductive subgroup of  $G^\vee$  whose Weyl group is most likely equal to  $W(X)$ . Later Sakellaridis and Venkatesh, [SV17], refined (at least for  $X$  spherical) the description of a hypothetical subgroup with Weyl group  $W(X)$ . In particular, they worked out precisely how it should embed into  $G^\vee$ . They also replaced the subgroup by a particular finite cover  $G_X^\vee$ , the *dual group of  $X$* , which carries more information about  $X$ .

In [KS17], it was shown that the Sakellaridis-Venkatesh construction does indeed work, i.e., that there is a homomorphism  $\varphi_X : G_X^\vee \rightarrow G^\vee$  as predicted in [SV17]. The approach of [KS17] is purely combinatorial.

In the present paper we investigate the question whether the assignment  $X \mapsto (G_X^\vee, \varphi_X)$  can be turned into a functor. To this end, we are going to normalize

the homomorphism  $\varphi_X$  in such a way that it becomes unique up to conjugation by an element of the maximal torus of  $G_X^\vee$ . The main result of the present paper is:

**THEOREM 1.1.** *Let  $X$  and  $Y$  be two  $G$ -varieties. Assume that there is either a dominant  $G$ -morphism  $f : X \rightarrow Y$  or a generically injective  $G$ -morphism  $Y \rightarrow X$ . Then there exists a unique homomorphism (necessarily with finite kernel)  $\eta : G_Y^\vee \rightarrow G_X^\vee$  such that  $\varphi_Y = \varphi_X \circ \eta$ .*

In the body of the paper, we prove a more precise version of the theorem (see Theorems 2.7 and 2.8).

The proof of Theorem 1.1 proceeds in several steps: first we treat the case of a dominant morphism. First, the theorem is reduced to the case when both  $X$  and  $Y$  are homogeneous with  $Y$  being of rank 1 and  $f$  being proper. Then we use a classification (due to Akhiezer [Ahi83] and Panyushev [Pan95]) to check the assertion case-by-case. To this end, we determine, given a spherical  $G$ -variety  $G/H$  of rank 1, the Luna data of  $G/P$  where  $P$  runs through all maximal parabolic subgroups of  $H$ . This might be of independent interest since the morphisms  $G/P \rightarrow G/H$  are in a sense minimal among all dominant  $G$ -morphisms. The case of injective morphisms will finally follow from the dominant one.

As opposed to [KS17] we are going to argue much more geometrically than combinatorially. This is due to the fact that the weak spherical data used in [KS17] do not possess sufficient functorial properties.

## 2. THE DUAL GROUP AND DISTINGUISHED HOMOMORPHISMS

Let  $G$  be a connected reductive group defined over an algebraically closed ground field  $k$  of characteristic 0. Let  $B \subseteq G$  be a Borel subgroup and  $T \subseteq B$  a maximal torus. Let  $\Lambda := \Xi(B)$  be the weight lattice,  $\Phi \subset \Lambda$  the root system of  $G$ , and  $S \subseteq \Phi$  the set of simple roots with respect to  $B$ .

We recall the dual group  $G_X^\vee$  of a  $G$ -variety  $X$ . A rational function  $f \in k(X)$  is  $B$ -semiinvariant with character  $\chi_f \in \Lambda$  if  $f(b^{-1}x) = \chi_f(b)f(x)$  for all  $b \in B$  and  $x \in X$  where both sides are defined. All characters  $\chi_f$  form a subgroup  $\Xi = \Xi(X)$  of  $\Lambda$ , the *weight lattice of  $X$* . The rank of  $\Xi(X)$  is called the *rank of  $X$*  and is denoted by  $\text{rk } X$ .

Now consider a discrete valuation  $v : k(X) \rightarrow \mathbb{Q} \cup \{\infty\}$ . It is called *central* if it is  $G$ -invariant and restricts to the trivial valuation on the field  $k(X)^B$  of rational  $B$ -invariants. Then  $v(f)$  depends, for any  $B$ -semiinvariant  $f$ , only on its character  $\chi_f$ . Thus we get a map

$$(1) \quad \varrho : \mathcal{Z}(X) \rightarrow \mathcal{N}(X) := \text{Hom}(\Xi, \mathbb{Q})$$

where  $\mathcal{Z}(X)$  is the set of all central valuations. It was proven in [LV83] that  $\varrho$  is injective. Hence we may and will identify  $\mathcal{Z}(X)$  with a subset of the  $\mathbb{Q}$ -vector space  $\mathcal{N}(X)$ .

One can show that  $\mathcal{Z}(X)$  is a finitely generated convex cone which is not contained in a hyperplane. Let

$$(2) \quad \Sigma = \Sigma(X) = \{\sigma_1, \dots, \sigma_s\} \subseteq \Xi_{\mathbb{Q}} := \Xi \otimes \mathbb{Q}$$

be a minimal set of outward normal vectors (so-called *spherical roots of X*) such that

$$(3) \quad \mathcal{Z}(X) = \{a \in \mathcal{N}(X) \mid a(\sigma_1) \leq 0, \dots, a(\sigma_s) \leq 0\}.$$

The  $\sigma_i$  are only unique up to positive factors and there are several normalizations possible. The one which we are adopting uses the fact that each  $\sigma_i$  lies in the intersection  $\Xi_{\mathbb{Q}} \cap \mathbb{Q}S$ . Thus we can and will normalize  $\sigma_i$  is such a way that it is primitive in the root lattice  $\mathbb{Z}S$ . Therefore, every  $\sigma_i$  is a linear combination  $\sum_{\alpha \in S} n_{\alpha} \alpha$  with integral coprime coefficients which one can show to be non-negative. The *support*  $|\sigma_i|$  of  $\sigma_i$  is the set  $\{\alpha \in S \mid n_{\alpha} > 0\}$ . More generally, we put  $|\Sigma_0| = \cup_{\sigma \in \Sigma_0} |\sigma| \subseteq S$  for any subset  $\Sigma_0 \subseteq \mathbb{Z}S$ .

A third invariant of  $X$  is a certain set  $S^p = S^p(X) \subseteq S$  of simple roots. It consists of all  $\alpha \in S$  (called *parabolic for X*) such that  $P_{\alpha}x = Bx$  for generic  $x \in X$ . Here  $P_{\alpha} \subseteq G$  is the minimal parabolic subgroup corresponding to  $\alpha$ . In other words, the parabolic subgroup  $Q(X)$  corresponding to  $S^p$  is the stabilizer of a generic  $B$ -orbit.

The coefficients  $n_{\alpha}$  are always non-negative. In fact much more is true. One can show that the triple  $(|\sigma|, \sigma, S^p \cap |\sigma|)$  will always appear in Table 1. The items correspond to spherical varieties of rank 1 (listed in Table 3) which will be explained in more detail in Section 4.

TABLE 1.

$ \sigma $	$\sigma$	$S^p \cap  \sigma $
$A_1$	$\alpha_1$	$\emptyset$
$A_n, n \geq 2$	$\alpha_1 + \dots + \alpha_n$	$\{\alpha_2, \dots, \alpha_{n-1}\}$
$B_n, n \geq 2$	$\alpha_1 + \dots + \alpha_n$	$\{\alpha_2, \dots, \alpha_n\}$
$B_n, n \geq 2$	$\alpha_1 + \dots + \alpha_n$	$\{\alpha_2, \dots, \alpha_{n-1}\}$
$C_n, n \geq 3$	$\alpha_1 + 2\alpha_2 + \dots + 2\alpha_{n-1} + \alpha_n$	$\{\alpha_1, \alpha_3, \dots, \alpha_n\}$
$C_n, n \geq 3$	$\alpha_1 + 2\alpha_2 + \dots + 2\alpha_{n-1} + \alpha_n$	$\{\alpha_3, \dots, \alpha_n\}$
$F_4$	$\alpha_1 + 2\alpha_2 + 3\alpha_3 + 2\alpha_4$	$\{\alpha_1, \alpha_2, \alpha_3\}$
$G_2$	$2\alpha_1 + \alpha_2$	$\{\alpha_2\}$
$G_2$	$\alpha_1 + \alpha_2$	$\{\alpha_1, \alpha_2\}$
$D_2$	$\alpha_1 + \alpha_2$	$\emptyset$
$D_n, n \geq 3$	$2\alpha_1 + \dots + 2\alpha_{n-2} + \alpha_{n-1} + \alpha_n$	$\{\alpha_2, \dots, \alpha_n\}$
$B_3$	$\alpha_1 + 2\alpha_2 + 3\alpha_3$	$\{\alpha_1, \alpha_2\}$

One unfortunate feature of the normalization of spherical roots is the possibility of  $\Sigma \not\subseteq \Xi$ . Therefore, we define the modified weight lattice of  $X$  as

$$(4) \quad \tilde{\Xi} = \tilde{\Xi}(X) := \Xi(X) + \mathbb{Z}\Sigma(X).$$

According to [KS17, Prop. 5.4], the triple  $(\tilde{\Xi}, \Sigma, S^p)$  is a *weak spherical datum*, i.e., satisfies:

- $\langle \tilde{\Xi} \mid \alpha^\vee \rangle = 0$  for all  $\alpha \in S^p$ .
- $\langle \tilde{\Xi} \mid \alpha^\vee - \beta^\vee \rangle = 0$  whenever  $\sigma = \alpha + \beta \in \Sigma$  is of type  $D_2$ .
- $\langle \beta \mid \alpha^\vee \rangle \neq -1$  whenever  $\alpha, \beta \in S$  with  $\alpha, \alpha + \beta \in \Sigma$ .

Looking at Table 1 one realizes that there are two types of spherical roots namely those which are also roots of  $G$  and those which are not. These types are separated by the middle horizontal line. Each non-root  $\sigma$  is the sum of two strongly orthogonal roots  $\gamma_1, \gamma_2$  as can be seen by inspection of Table 2. The set  $\{\gamma_1, \gamma_2\}$  can be made unique by requiring that

$$(5) \quad \gamma_1^\vee - \gamma_2^\vee = \delta_1^\vee - \delta_2^\vee \text{ with } \delta_1, \delta_2 \in S.$$

It then follows that the restrictions of  $\gamma_1^\vee$  and  $\gamma_2^\vee$  to  $\tilde{\Xi}$  coincide. Thus they

TABLE 2.

$ \sigma $	$\gamma_1, \gamma_2$	$\gamma_1^\vee, \gamma_2^\vee$	$\delta_1^\vee, \delta_2^\vee$
$D_2$	$\alpha_1, \alpha_2$	$\alpha_1^\vee, \alpha_2^\vee$	$\alpha_1^\vee, \alpha_2^\vee$
$D_{n \geq 3}$	$(\alpha_1 + \dots + \alpha_{n-2}) + \alpha_{n-1},$ $(\alpha_1 + \dots + \alpha_{n-2}) + \alpha_n$	$(\alpha_1^\vee + \dots + \alpha_{n-2}^\vee) + \alpha_{n-1}^\vee,$ $(\alpha_1^\vee + \dots + \alpha_{n-2}^\vee) + \alpha_n^\vee$	$\alpha_{n-1}^\vee, \alpha_n^\vee$
$B_3$	$\alpha_1 + \alpha_2 + 2\alpha_3, \alpha_2 + \alpha_3$	$\alpha_1^\vee + \alpha_2^\vee + \alpha_3^\vee, 2\alpha_2^\vee + \alpha_3^\vee$	$\alpha_1^\vee, \alpha_2^\vee$

define an element of  $\tilde{\Xi}^\vee := \text{Hom}(\tilde{\Xi}, \mathbb{Z})$  which is denoted by  $\sigma^\vee$ . On the other hand, if  $\sigma \in \Phi$  then the coroot  $\sigma^\vee$  already has a meaning. Let  $\Sigma^\vee := \{\sigma^\vee \mid \sigma \in \Sigma\}$ . A fundamental fact about weak spherical data is the following

**THEOREM 2.1** ([KS17, Thm. 7.1]). *Let  $(\tilde{\Xi}, \Sigma, S^p)$  be a weak spherical datum. Then  $(\tilde{\Xi}, \Sigma, \tilde{\Xi}^\vee, \Sigma^\vee)$  is a based root datum.*

This theorem gives rise to the following definition.

**DEFINITION 2.2.** The dual group of a  $G$ -variety  $X$  is the connected *complex* reductive group  $G_X^\vee$  whose based root datum is the dual root datum  $(\tilde{\Xi}^\vee, \Sigma^\vee, \tilde{\Xi}, \Sigma)$ .

**REMARKS 2.3.** *i)* The Weyl group of  $G_X^\vee$  is, almost by definition, equal to the little Weyl group  $W(X)$  of  $X$ . Observe that, due to our normalization,  $\Sigma(X)$  and  $W(X)$  determine each other unlike, e.g., the normalization used in [Kno96] where the set of spherical roots carries additionally information about the automorphism group of  $X$ .

*ii)* The normalization of the spherical roots by being primitive in  $\mathbb{Z}S$  is forced on us by the requirement that  $G_X^\vee$  should map to  $G^\vee$  with finite kernel (see Theorem 2.5 below). This in turn forces the extension (4) of character groups. Note, however, that for the representation theoretic purposes of [SV17] this is the wrong lattice since it yields multiplicities which are too big.

*iii)* In the Langlands program, the most common approach is to define the dual group only over  $\mathbb{C}$  and we follow this tradition. Working also simplifies

some definitions and arguments, most notably Definition 2.4 of a distinguished homomorphism in Lie algebraic terms. Nevertheless, it should be remarked that  $G_X^\vee$  can be defined over  $\mathbb{Z}$  and that distinguished homomorphism exist over  $\mathbb{Z}[\frac{1}{2}]$  (see [KS17, Prop. 11.1]). Also our main Theorem 1.1 holds in that generality.

The dual group of  $G$ , i.e., the connected complex reductive group whose root datum is dual to that of  $G$  is denoted by  $G^\vee$ . It is equipped with a pinning, i.e., a choice of generating root vectors  $e_{\alpha^\vee} \in \mathfrak{g}_{\alpha^\vee}^\vee$  with  $\alpha \in S$ .

It was proved in [KS17] that there exists an almost canonical homomorphism  $\varphi : G_X^\vee \rightarrow G^\vee$  with finite kernel. To make this more precise, we define for each  $\sigma \in \Sigma(X)$  a one-dimensional subspace  $\mathfrak{g}_{\sigma^\vee}^\vee$  of  $\mathfrak{g}^\vee$  as follows:

$$(6) \quad \mathfrak{g}_{\sigma^\vee}^\vee := \begin{cases} \mathfrak{g}_{\sigma^\vee}^\vee & \text{if } \sigma \in \Phi, \\ [\mathfrak{g}_{\beta^\vee}^\vee, e_{\delta_1^\vee} - e_{\delta_2^\vee}] & \text{if } \sigma \text{ is of type } D_{n \geq 3}, \\ [\mathfrak{g}_{\beta^\vee}^\vee, 2e_{\delta_1^\vee} - e_{\delta_2^\vee}] & \text{if } \sigma \text{ is of type } B_3, \\ \mathbb{C}(e_{\delta_1^\vee} - e_{\delta_2^\vee}) & \text{if } \sigma \text{ is of type } D_2. \end{cases}$$

Here  $\beta^\vee := \gamma_1^\vee - \delta_1^\vee = \gamma_2^\vee - \delta_2^\vee$  in case  $\sigma \notin \Phi$ . It is easy to check that  $\beta^\vee \in \Phi^\vee$  unless  $\sigma$  is of type  $D_2$  when  $\beta^\vee = 0$ . The definition implies that

$$(7) \quad \mathfrak{g}_{\sigma^\vee}^\vee \subseteq \mathfrak{g}_{\gamma_1^\vee}^\vee \oplus \mathfrak{g}_{\gamma_2^\vee}^\vee \subseteq \mathfrak{g}^\vee.$$

Next observe that the maximal tori  $T^\vee \subseteq G^\vee$  and  $A_X^\vee \subseteq G_X^\vee$  have the cocharacter group  $\Lambda$  and  $\tilde{\Xi}(X)$ , respectively. Therefore, the inclusion  $\tilde{\Xi}(X) \hookrightarrow \Lambda$  induces a homomorphism  $\varphi_A : A_X^\vee \rightarrow T^\vee$  with finite kernel.

DEFINITION 2.4. A homomorphism  $\varphi : G_X^\vee \rightarrow G^\vee$  is called *distinguished* if  $\text{res}_{A_X^\vee} \varphi = \varphi_A$  and  $\varphi(\mathfrak{g}_{X, \sigma^\vee}^\vee) = \mathfrak{g}_{\sigma^\vee}^\vee$  for all  $\sigma \in \Sigma(X)$ .

Here is an immediate consequence of the main result of [KS17]:

THEOREM 2.5. *Let  $X$  be a  $G$ -variety. Then:*

- i) *There exists a distinguished homomorphism  $\varphi_X : G_X^\vee \rightarrow G^\vee$ .*
- ii) *Any other distinguished homomorphism is of the form  $\varphi \circ \text{Ad}(a)$  with  $a \in A_X^\vee$ .*
- iii) *The kernel of  $\varphi_X$  is finite.*
- iv) *The image  $G_X^* := \varphi_X(G_X^\vee)$  is a well-defined subgroup of  $G^\vee$ , i.e., it is independent of the choice of  $\varphi_X$ .*

*Proof.* [KS17, Thm. 7.7] shows the existence of an adapted homomorphism  $\varphi : G_X^\vee \rightarrow G^\vee$  which means that  $\mathfrak{g}_{X, \sigma^\vee}^\vee$  is mapped just diagonally into  $\mathfrak{g}_{\gamma_1^\vee}^\vee \oplus \mathfrak{g}_{\gamma_2^\vee}^\vee \subseteq \mathfrak{g}^\vee$  in case  $\sigma \notin \Phi$ . More precisely, the image of  $\varphi$  is contained in the associated group  $G_X^\wedge \subseteq G^\vee$  (see loc.cit. Def. 7.2 and Thm 7.3). Thus, there an element  $t$  of  $T_{\text{ad}}^\wedge$ , the maximal torus of the adjoint group of  $G_X^\wedge$ , such that  $\text{Ad}(t) \circ \varphi$  is distinguished (cf. loc.cit Thm. 7.10). The other parts follow from the construction of  $\varphi_X$ .  $\square$

REMARKS 2.6. *i)* Let  $L_X^\vee \subseteq G^\vee$  be the Levi subgroup corresponding to  $S^p(X) \subseteq S$ . The pinning of  $G^\vee$  induces a pinning of  $L_X^\vee$ . This in turn gives rise to a canonical principal homomorphism  $\psi : \mathrm{SL}(2, \mathbb{C}) \rightarrow L_X^\vee$ . Then it was shown, [KS17, Prop. 9.10], that the images of  $\varphi_X$  and  $\psi$  commute with each other, i.e., they combine to a group homomorphism  $G_X^\vee \times \mathrm{SL}(2) \rightarrow G^\vee$ . In fact, the normalization (6) for  $\sigma$  of type  $\mathcal{D}_{n \geq 3}$  or  $\mathbf{B}_3$  is equivalent to this commutation property.

*ii)* Distinguished homomorphisms are invariant under certain automorphisms of  $G$ . More precisely, let  $E$  be a group of automorphisms of the based root datum of  $G$ . Then  $E$  acts canonically on  $G^\vee$  by fixing the chosen pinning  $\{e_{\alpha^\vee}\}$ . We say that  $E$  and  $X$  are compatible if  $E$  fixes  $\Xi(X)$ ,  $\Sigma(X)$ , and  $S^p(X)$ . Then (6) implies

$$(8) \quad {}^s \mathfrak{g}_{\sigma^\vee}^\vee = \mathfrak{g}_{s\sigma^\vee}^\vee \text{ for all } s \in E \text{ and } \sigma \in \Sigma(X).$$

This follows from (6) together with the observation that  ${}^s \delta_i^\vee = \bar{\delta}_i^\vee$  in case  $\sigma$  and  $s\sigma = {}^s \sigma$  are both of type  $\mathbf{B}_3$ . Now (8) implies that  $E$  fixes  $G_X^*$ . Moreover, the  $E$ -action lifts uniquely to  $G_X^\vee$  such that  $\varphi_X$  is  $E$ -equivariant. Observe, though, that  $E$  will in general not fix any pinning of  $G_X^\vee$ , i.e., the action may be *non-standard* in the sense of [KS17, §10].

A typical situation we have in mind is if  $G$  and  $X$  are defined over a subfield  $k_0 \subseteq k$ . Then the Galois group  $E$  of  $k_0$  acts on the based root datum of  $G$  by means of the so-called  $*$ -action. Since  $X$  is defined over  $k_0$  it is known (see [KK16]) that  $E$  and  $X$  are compatible.

*iii)* The normalization (6) also plays a role in the proof of Theorem 2.7 below. More precisely, it is needed to prove equation (18).

Now we come to homomorphisms between different dual groups. For this let  $X, Y$  be two  $G$ -varieties and let  $\varphi_X, \varphi_Y$  be distinguished homomorphisms. A homomorphism  $\eta : G_Y^\vee \rightarrow G_X^\vee$  is called *distinguished* if  $\varphi_Y = \varphi_X \circ \eta$ . Since  $\varphi_X$  and  $\varphi_Y$  have finite kernel,  $\eta$  is unique with finite kernel if it exists. Here is the main result of the paper:

**THEOREM 2.7.** *Let  $\varphi : X \rightarrow Y$  be a dominant  $G$ -morphism between two  $G$ -varieties. Then there exists a distinguished homomorphism  $\eta : G_Y^\vee \rightarrow G_X^\vee$ . This implies, in particular, that  $G_Y^* \subseteq G_X^* \subseteq G^\vee$ .*

There is an analogous statement for injective morphisms. It is an easy consequence of Theorem 2.7 (see the proof following Theorem 3.2).

**THEOREM 2.8.** *Let  $\varphi : Y \rightarrow X$  be an injective  $G$ -morphism between two  $G$ -varieties (e.g.,  $Y$  is a  $G$ -stable subvariety of  $X$ ). Then there exists a distinguished homomorphism  $\eta : G_Y^\vee \rightarrow G_X^\vee$  and therefore, in particular,  $G_Y^* \subseteq G_X^* \subseteq G^\vee$ .*

The proof of Theorem 2.7 will occupy the remainder of this paper.

**REMARK 2.9.** In principle, all statements can be formulated and should be valid in some form also over fields of positive characteristic  $p$ . However, the

necessary changes would come at the expense of the readability of the paper so that we decided to treat the characteristic 0 case separately. The main problems in positive characteristic are: First, the list of spherical roots in Table 1 has to be extended by roots obtained by inseparable isogenies. In particular, the  $D_2$ -roots  $\alpha_1 + p^n \alpha_2$  cause trouble. Secondly, the weight lattice  $\Xi(X)$  may not be  $W(X)$ -stable, so has to be modified. Finally, our reasoning in Section 5 uses the classification of spherical varieties. This is more a matter of convenience but it would require considerable effort to work around it.

3. REDUCTION TO RANK ONE

We start the proof of Theorem 2.7 by a number of reduction steps. Let  $G'_X := (G_X^*)'$  be the semisimple part of  $G_X^*$ . Observe that  $G'_X$  depends only on  $\Sigma(X)$  and not on the lattice  $\Xi(X)$ . Since the valuation cone  $\mathcal{Z}(X)$  is a birational invariant so is  $\Sigma(X)$ . Therefore we may later (tacitly) replace  $X$  and  $Y$  by suitable open dense subsets.

LEMMA 3.1. *Let  $f : X \rightarrow Y$  be dominant or let  $f : Y \rightarrow X$  be injective. Assume  $G'_Y \subseteq G'_X$ . Then there is exists a distinguished homomorphism  $\eta : G_Y^\vee \rightarrow G_X^\vee$ .*

*Proof.* We claim that  $\Xi(Y) \subseteq \Xi(X)$  in both cases. This is clear if  $f$  is dominant since the pull-back of a  $B$ -semiinvariant is again a  $B$ -semiinvariant for the same character. For  $f$  injective let  $p : \overline{X} \rightarrow X$  be the normalization and let  $\overline{Y} \subseteq \overline{X}$  be a component of  $p^{-1}(Y)$  mapping dominantly to  $Y$ . By [Kno91, Thm. 1.3 b)], every  $B$ -semiinvariant rational function on  $\overline{Y}$  extends to a  $B$ -semiinvariant rational function on  $\overline{X}$ . Since the character remains unchanged we get  $\Xi(Y) \subseteq \Xi(\overline{Y}) \subseteq \Xi(\overline{X}) = \Xi(X)$ .

It is a general fact that if  $H \subseteq G$  is reductive then the coroot lattice of  $H$  is contained in the coroot lattice of  $G$  (look at simply connected covers). Applying this to  $G'_Y \subseteq G'_X$  we get  $\mathbb{Z}\Sigma(Y) \subseteq \mathbb{Z}\Sigma(X)$  and therefore

$$(9) \quad \tilde{\Xi}(Y) \subseteq \tilde{\Xi}(X)$$

This inclusion induces a homomorphism of maximal tori  $A_Y^\vee \rightarrow A_X^\vee$ . Because  $G_X^*$  is generated by  $G'_X$  and  $\varphi_X(A_X^\vee)$  (and similarly for  $Y$ ) it follows that  $G_Y^* \subseteq G_X^*$ .

Finally, the coweight lattice of  $G_Y^{*\vee} := \varphi_X^{-1}(G_Y^*)^0 \subseteq G_X^\vee$  is  $\tilde{\Xi}(Y)_\mathbb{Q} \cap \tilde{\Xi}(X)$ . By (9), it contains the coweight lattice  $\tilde{\Xi}(Y)$  of  $G_Y^\vee$ . Hence the inclusion  $G_Y^* \hookrightarrow G_X^*$  lifts to an isogeny  $G_Y^\vee \rightarrow G_Y^{*\vee}$  yielding the desired homomorphism  $\eta : G_Y^\vee \rightarrow G_X^\vee$ .  $\square$

The following comparison result will be crucial later on. It is a more precise version of Theorem 2.8 in case  $Y$  is of codimension 1.

THEOREM 3.2. *Let  $X$  be a normal  $G$ -variety and let  $Y \subset X$  be a  $G$ -invariant irreducible subvariety of codimension 1. Then  $\Sigma(Y) \subseteq \Sigma(X)$  and therefore  $G'_Y \subseteq G'_X$ . Moreover, if the valuation  $v := v_Y$  induced by  $Y$  is non-central then  $\mathcal{N}(Y) = \mathcal{N}(X)$ . Otherwise,  $\mathcal{N}(Y) = \mathcal{N}(X)/\mathbb{Q}v$  and*

$$(10) \quad \Sigma(Y) = \{\sigma \in \Sigma(X) \mid v(\sigma) = 0\}.$$

*Proof.* This is essentially proved in [Kno93]. Assume first that  $v$  is central, i.e., that the restriction of  $v$  to  $k(X)^B$  is trivial (that's automatic if  $X$  is spherical). Then there is a surjective homomorphism

$$(11) \quad \mathcal{N}(X) \twoheadrightarrow \mathcal{N}(Y)$$

with kernel  $\mathbb{Q}v$  such that  $\mathcal{Z}(Y)$  is the image of  $\mathcal{Z}(X)$  (loc.cit. Satz 7.5.2 with  $v_0 = o$ ). Thus, the preimage of  $\mathcal{Z}(Y)$  is the cone  $\mathcal{Z}(X) + \mathbb{Q}v$ . Because of  $v \in \mathcal{Z}(X)$ , this cone is defined by the inequalities  $\sigma \leq 0$  with  $\sigma \in \Sigma(X)$  and  $v(\sigma) = 0$ . This proves (10).

Assume now that  $v$  is not central and let  $v_0$  be the restriction of  $v$  to  $k(X)^B$ . Let  $\mathcal{Z}_{v_0}$  be the set of  $G$ -invariant valuations whose restriction of  $k(X)^B$  is a multiple of  $v_0$ . Then  $\mathcal{Z}_{v_0}$  can be identified with a convex cone in some  $\mathbb{Q}$ -vector space  $\mathcal{N}_{v_0}$ . Moreover,  $\mathcal{N}(X)$  is a hyperplane of  $\mathcal{N}_{v_0}$  such that  $\mathcal{Z}_{v_0} \cap \mathcal{N}(X) = \mathcal{Z}(X)$  (see the exact sequence in loc.cit. §5 where  $\mathcal{N}_{v_0}$  corresponds to  $\text{Hom}(\mathcal{Q}_{v_0}(K), \mathbb{Q})$ ). There is a surjective homomorphism (loc.cit. Satz 7.5.2)

$$(12) \quad \mathcal{N}_{v_0} \twoheadrightarrow \mathcal{N}(Y)$$

with kernel  $\mathbb{Q}v$  such that  $\mathcal{Z}(Y)$  is the image of  $\mathcal{Z}_{v_0}$ . Since by assumption  $v \notin \mathcal{N}(X)$  we have  $\mathcal{N}(X) \xrightarrow{\sim} \mathcal{N}(Y)$ , as asserted.

It is a non-trivial fact (loc.cit. Satz 9.2.2) that as a cone  $\mathcal{Z}_{v_0}$  is generated by  $\mathcal{Z}(X)$  along with one extremal non-central valuation  $v_e$ , i.e.,

$$(13) \quad \mathcal{Z}_{v_0} = \mathcal{Z}(X) + \mathbb{Q}_{\geq 0}v_e.$$

Let  $v = v_1 + cv_e$  with  $v_1 \in \mathcal{Z}(X)$  and  $c > 0$ . Then the preimage of  $\mathcal{Z}(Y)$  in  $\mathcal{N}_{v_0}$  equals

$$(14) \quad \mathcal{Z}_{v_0} + \mathbb{Q}v = \mathcal{Z}(X) + \mathbb{Q}_{\geq 0}v_e + \mathbb{Q}v = \mathcal{Z}(X) + \mathbb{Q}v_1 + \mathbb{Q}v_e.$$

This shows that

$$(15) \quad \mathcal{Z}(Y) = (\mathcal{Z}_{v_0} + \mathbb{Q}v) \cap \mathcal{N}(X) = \mathcal{Z}(X) + \mathbb{Q}v_1$$

is defined by the inequalities  $\sigma \leq 0$  with  $\sigma \in \Sigma(X)$  and  $v_1(\sigma) = 0$ . In particular  $\Sigma(Y) \subseteq \Sigma(X)$ .  $\square$

At this point we already have a

*Proof of Theorem 2.8 assuming Theorem 2.7.* We may assume that  $Y$  is a subvariety of  $X$ . It suffices to construct a normal  $G$ -variety  $\overline{X}$ , a birational  $G$ -morphism  $\pi : \overline{X} \rightarrow X$ , and a  $G$ -stable subvariety  $\overline{Y} \subset \overline{X}$  of codimension 1 which maps dominantly to  $Y$ . In fact, in this case we have  $G'_Y \subseteq G'_{\overline{Y}} \subseteq G'_{\overline{X}} = G'_X$  by Theorem 2.7 and Theorem 3.2. Then Lemma 3.1 yields a distinguished homomorphism  $G_Y^\vee \rightarrow G_X^\vee$ .

To construct  $\overline{X}$  let  $p : X_1 \rightarrow X$  be the normalization of  $X$  and let  $Y_1 \subseteq X_1$  be a component of  $p^{-1}(Y)$  which maps surjectively to  $Y$ . Next, let  $X_2 \rightarrow X_1$  be the blow up of  $X_1$  in  $Y_1$  and let  $Y_2 \subset X_2$  be a component of the exceptional divisor. Finally, the normalization  $p_2 : \overline{X} \rightarrow X_2$  with  $\overline{Y} \subset \overline{X}$  a component of  $p_2^{-1}(Y_2)$  meets all requirements.  $\square$



For the next step, recall that a homogeneous variety  $G/H$  is *parabolically induced* if there is a proper parabolic subgroup  $Q \subset G$  with  $Q_u \subseteq H \subseteq Q$ . It is *cuspidal* if it is not parabolically induced and if  $H$  does not contain a simple factor of  $G$ .

LEMMA 3.3. *Assume  $G'_Y \subseteq G'_X$  in the following situation:*

- $G$  is of adjoint type,
- $Y = G/H$  is homogeneous, spherical and cuspidal of rank 1, and  $H$  is connected.
- $X = G/P$  where  $P \subset H$  is a maximal parabolic subgroup.

*Then  $G'_Y \subseteq G'_X$  for all  $G$ -varieties  $X, Y$  and all dominant  $G$ -morphisms  $X \rightarrow Y$ .*

*Proof.* We will prove the assertion by induction on  $\dim X + \dim G$ . For this let  $f : X \rightarrow Y$  be an arbitrary dominant  $G$ -morphism.

*Reduction to  $\text{rk } Y = \#\Sigma(Y) = 1$ :* Assume  $\text{rk } Y \geq 2$ . Every  $\tau \in \Sigma(Y)$  is a simple coroot of  $G'_Y$  and therefore induces a semisimple rank-1-subgroup  $G'_Y(\tau) \subseteq G'_Y$ . Since the subgroups of this form generate  $G'_Y$  it suffices to prove  $G'_Y(\tau) \subseteq G'_X$  for all  $\tau$ .

If  $\Sigma(Y) = \emptyset$  then  $G'_Y = 1$  and there is nothing to prove. So fix  $\tau \in \Sigma(Y)$ . Then  $\tau$  defines a codimension-1-face  $\mathcal{F}$  of the valuation cone  $\mathcal{Z}(Y)$ . Since  $\dim \mathcal{F} = \text{rk } Y - 1 \geq 1$  there is a non-trivial valuation  $v$  in the relative interior of  $\mathcal{F}$ . Let  $Y \hookrightarrow \overline{Y} = Y \cup Y_0$  be the smooth equivariant embedding where  $Y_0$  is an irreducible divisor such that  $v_{Y_0}$  is a rational multiple of  $v$ . Then  $\text{rk } Y_0 = \text{rk } Y - 1$  and  $\Sigma(Y_0) = \{\tau\}$  by Theorem 3.2. By [Kno93, Kor. 3.2] there exists a lift of  $v$  to a (possibly non-central) equivariant valuation  $\overline{v}$  of  $X$ . This gives rise to a similar embedding  $X \hookrightarrow \overline{X} = X \cup X_0$  such that  $f$  extends to a morphism  $\overline{X} \rightarrow \overline{Y}$  which maps  $X_0$  dominantly to  $Y_0$ . Theorem 3.2 implies that  $\Sigma(X_0) \subseteq \Sigma(X)$ . Hence we have

$$(16) \quad G'_Y(\tau) = G'_{Y_0} \text{ and } G'_{X_0} \subseteq G'_X.$$

By induction we have  $G'_{Y_0} \subseteq G'_{X_0}$  which proves the assertion.

*Reduction to  $G$  semisimple:* Let  $Z = Z(G)^0$  be the connected center of  $G$ . If  $Z$  acts trivially on  $X$  then one can replace  $G$  by the semisimple group  $G/Z$ . Otherwise, consider the morphism  $X_0 := X'/Z \rightarrow Y_0 := Y'/Z$  where  $X' \subseteq X$  and  $Y' \subseteq Y$  are non-empty, open, and  $G$ -stable such that the  $Z$ -orbit spaces exist (these exist by [Ros56, Thm. 2]). Because of  $\Sigma(X_0) = \Sigma(X)$  and  $\Sigma(Y_0) = \Sigma(Y)$  by [Kno93, Satz 8.1.4] we have  $G'_Y \subseteq G'_X$  if and only if  $G'_{Y_0} \subseteq G'_{X_0}$ . The latter holds by induction.

*Reduction to  $X$  and  $Y$  homogeneous:* Let  $Y_0 \subseteq Y$  be a general orbit. Then  $\Sigma(Y_0) = \Sigma(Y)$  by [Kno90, Satz 6.5.4]. Let  $X_0 \subseteq X$  be a general orbit in the preimage of  $Y_0$  in  $X$ . Then  $X_0$  is also a general orbit of  $X$  and therefore  $\Sigma(X_0) = \Sigma(X)$ . This proves the assertion by induction unless  $X = X_0$  and  $Y = Y_0$ .

*Reduction to  $f$  proper:* We may assume that  $X$  and  $Y$  are homogeneous. If  $f$  is not proper choose a normal equivariant embedding  $X \hookrightarrow \overline{X}$  such that  $f$

extends to a proper morphism  $\overline{X} \rightarrow Y$ . Let  $X_0$  be a component of  $\overline{X} \setminus X$ . By blowing up  $X$  in  $X_0$  and normalizing, if necessary, we may assume that  $X_0$  is a  $G$ -invariant irreducible divisor. Then  $\Sigma(X_0) \subseteq \Sigma(X)$  by Theorem 3.2 and therefore  $G'_{X_0} \subseteq G'_X$ . The assertion follows by applying the induction hypotheses to  $X_0 \rightarrow Y$ .

Because of the last steps we may assume that  $X = G/P$ ,  $Y = G/H$  with  $P^0 \subseteq H^0$  parabolic and  $\text{rk } Y = 1$ .

*Reduction to  $P$  and  $H$  connected:* Follows from the fact that  $W(X)$ , hence  $\Sigma(X)$ , hence  $G'_X$  is invariant under étale maps (see [Kno90, Satz 6.5.3]).

*Reduction to  $P \subset H$  maximal parabolic:* Assume that there is a parabolic  $Q$  with  $P \subset Q \subset H$  and put  $Z := G/Q$ . We may assume  $P$  to be maximal parabolic in  $Q$ . By induction on the morphism  $Z \rightarrow Y$  it suffices to prove  $G'_Z \subseteq G'_X$  for the morphism  $X \rightarrow Z$ . This is indeed implied by the first reduction step unless  $\text{rk } Z = 1$ .

*Reduction to  $H$  cuspidal:* Suppose there is a parabolic subgroup  $Q = LQ_u \subset G$  with  $Q_u \subseteq H \subseteq Q$ . Then  $Q_u \subseteq H_u$  and  $H_u \subseteq P_u$  (since  $P$  is parabolic in  $H$ ). This shows that  $P$  is also induced by  $Q$ . The  $L = Q/Q_u$ -varieties  $X_0 = Q/P = L/(P \cap L)$  and  $Y_0 = Q/H = L/(H \cap L)$  have  $\Sigma(X_0) = \Sigma(X)$  and  $\Sigma(Y_0) = \Sigma(Y)$  (see, e.g., [KK16] Prop. 8.2). Then we conclude by induction. If  $H$  contains a simple factor  $G_1$  of  $G$  then there are decompositions  $G = G_1 \cdot G_2$  and  $H = G_1 \cdot H_2$ . A maximal parabolic subgroup of  $H$  is either of the form  $P_1 \cdot H_1$  (in which case  $\Sigma(X) = \Sigma(Y)$ ) or  $G_1 \cdot P_2$  (in which case  $G_1$  acts trivially on both  $X$  and  $Y$  and we may replace  $G$  by  $G/G_1$ ).

*Reduction to  $H$  spherical:* The only cuspidal homogeneous rank-1-varieties which are not spherical are of the form  $G/H$  where  $G = \text{SL}(2)$  and  $H$  is finite ([Pan95]). By previous reduction steps we may assume that  $H$  is connected (hence trivial) and contains a proper parabolic subgroup. So this case does not occur.

This finishes the reduction of a general dominant morphism to the situation in the Lemma.  $\square$

#### 4. THE RANK-1-CASE

Using Lemma 3.3, the proof of Theorem 2.7 is now reduced to the cases where  $G$  is of adjoint type,  $Y = G/H$  is homogeneous, spherical and cuspidal of rank 1, with  $H$  connected, and  $X = G/P$  where  $P \subset H$  is a maximal parabolic subgroup.

The classification of all possible pairs  $(G, H)$  is due to Akhiezer [Ahi83] (see also Brion's simplification [Bri89]) and is reproduced in Table 3 below. In the case  $\mathbf{B}'_n$ , the group  $P_n$  denotes a maximal parabolic subgroup of  $\text{SO}(2n)$  whose Levi part is  $GL(n)$ . In  $\mathbf{C}'_n$ , the group  $B_2 \subseteq \text{Sp}(2)$  is a Borel subgroup. Finally  $U_3$  in case  $\mathbf{G}'_2$  is a 3-dimensional unipotent group. The two columns on the right will be used in the final step of the proof of Theorem 2.7.

We have  $\Sigma(G/H) = \{\tau\}$  and we need to compute  $\Sigma = \Sigma(G/P)$  for all maximal parabolic subgroups  $P \subset H$ . This is done in Section 5. All varieties  $G/P$  turn

TABLE 3.

	$G$	$H$	$\tau^\wedge$	$\Sigma^\wedge$
$A_1$	$\mathrm{PGL}(2)$	$\mathbf{G}_m$		
$A_{n \geq 2}$	$\mathrm{PGL}(n+1)$	$\mathbf{G}_m \mathrm{SL}(n)$	(1) $\sigma_1^\vee + \sigma_2^\vee$	$\sigma_1^\vee \xrightarrow{\sigma_2^\vee}$
$B_{n \geq 2}$	$\mathrm{SO}(2n+1)$	$\mathrm{SO}(2n)$	(1) $2\sigma_1^\vee + \sigma_2^\vee$ (2) $\sigma_1^\vee$	$\sigma_1^\vee \xleftrightarrow{\sigma_2^\vee}$ $\sigma_1^\vee$
$B'_{n \geq 2}$	$\mathrm{SO}(2n+1)$	$P_n$	(1) $2\sigma_1^\vee + \sigma_2^\vee$ (2) $2\sigma_1^\vee + \sigma_2^\vee$	$\sigma_1^\vee \xleftrightarrow{\sigma_2^\vee}$ $\sigma_1^\vee \xleftrightarrow{\sigma_2^\vee}$
$C_{n \geq 3}$	$\mathrm{PSp}(2n)$	$\mathrm{Sp}(2)\mathrm{Sp}(2n-2)$	(1) $\sigma_1^\vee$ (2) $\sigma_1^\vee + \sigma_2^\vee$ (3) $\gamma_1^\vee + 2\sigma_2^\vee + \gamma_2^\vee + \sigma_3^\vee$ (4) $\gamma_1^\vee + 2\sigma_2^\vee + 2\gamma_2^\vee$	$\sigma_1^\vee$ $\sigma_1^\vee \xrightarrow{\sigma_2^\vee}$ $\sigma_4^\vee \xrightarrow{\sigma_2^\vee} \gamma_1^\vee$ $\gamma_1^\vee \xrightarrow{\sigma_2^\vee} \gamma_2^\vee$
$C'_{n \geq 3}$	$\mathrm{PSp}(2n)$	$B_2\mathrm{Sp}(2n-2)$	(1) $\sigma_1^\vee + \sigma_2^\vee + \sigma_3^\vee$ (2) $\sigma_1^\vee + 2\sigma_2^\vee + \sigma_3^\vee + \sigma_4^\vee$ (3) $\sigma_1^\vee + 2\sigma_2^\vee + 2\sigma_3^\vee$	$\sigma_1^\vee \xrightarrow{\sigma_2^\vee} \sigma_3^\vee$ $\sigma_4^\vee \xrightarrow{\sigma_2^\vee} \sigma_3^\vee$ $\sigma_1^\vee \xrightarrow{\sigma_2^\vee} \sigma_3^\vee$
$F_4$	$F_4$	$\mathrm{Spin}(9)$	(1) $\gamma_2^\vee + 2\sigma_2^\vee + 2\gamma_1^\vee$ (2) $\sigma_2^\vee + 2\sigma_3^\vee + 2\sigma_1^\vee$ (3) $\sigma_3^\vee + 2\sigma_4^\vee + 2\sigma_2^\vee + 2\sigma_1^\vee$ (4) $\sigma_2^\vee + \sigma_1^\vee + \sigma_3^\vee$	$\gamma_2^\vee \xrightarrow{\sigma_2^\vee} \gamma_1^\vee$ $\sigma_2^\vee \xrightarrow{\sigma_1^\vee} \sigma_3^\vee$ $\sigma_3^\vee \xrightarrow{\sigma_4^\vee} \sigma_2^\vee \xrightarrow{\sigma_1^\vee}$ $\sigma_1^\vee \xrightarrow{\sigma_2^\vee} \sigma_3^\vee$
$G_2$	$G_2$	$\mathrm{SL}(3)$	(1) $\sigma_1^\vee + \sigma_2^\vee$	$\sigma_1^\vee \xrightarrow{\sigma_2^\vee}$
$G'_2$	$G_2$	$\mathbf{G}_m \mathrm{SL}(2)U_3$	(1) $\sigma_1^\vee + 3\sigma_2^\vee$	$\sigma_1^\vee \xleftrightarrow{\sigma_2^\vee}$
$D_{n \geq 2}$	$\mathrm{PSO}(2n)$	$\mathrm{SO}(2n-1)$	(1) $\{\gamma_1^\vee + \sigma_1^\vee, \sigma_1^\vee + \gamma_2^\vee\}$ (2) $\{\sigma_1^\vee, \sigma_2^\vee\}$	$\gamma_1^\vee \xrightarrow{\sigma_1^\vee} \gamma_2^\vee$ $\sigma_1^\vee \xrightarrow{\sigma_2^\vee}$
$B''_3$	$\mathrm{SO}(7)$	$G_2$	(1) $\{\sigma_1^\vee + \sigma_3^\vee, \sigma_2^\vee\}$ (2) $\{\sigma_1^\vee + \sigma_2^\vee + \sigma_3^\vee, 2\sigma_2^\vee + \sigma_3^\vee\}$	$\sigma_1^\vee \xleftrightarrow{\sigma_3^\vee} \sigma_2^\vee$ $\sigma_1^\vee \xrightarrow{\sigma_2^\vee} \sigma_3^\vee$

out to be spherical, even wonderful, a fact for which we don't have a conceptual argument.

For every spherical root  $\sigma$  define its set  $\sigma^\wedge$  of associated roots as

$$(17) \quad \sigma^\wedge = \begin{cases} \{\sigma^\vee\} & \text{if } \sigma \in \Phi, \\ \{\gamma_1^\vee, \gamma_2^\vee\} & \text{otherwise (with } \gamma_i^\vee \text{ as in Table 2).} \end{cases}$$

Put  $\Sigma^\wedge := \cup_{\sigma \in \Sigma} \sigma^\wedge$ . It was shown in [KS17] that  $\Sigma^\wedge$  is the basis of a maximal rank subgroup  $G_X^\wedge \subseteq G^\vee$ . Moreover, the root system of  $G_X^\vee$  is obtained from that of  $G_X^\wedge$  by a process called ‘‘folding’’. Let  $\Phi_X^\wedge$  be the set of roots of  $G_X^\wedge$ . From Table 4 one can read off  $\Sigma^\wedge$  and  $\tau^\wedge$  as a linear combination of  $\Sigma^\wedge$ . The result is recorded in the two right hand columns of Table 3. As an example, consider case  $C_n(4)$ . Here  $\sigma_1 = \gamma_1 + \gamma_2$  with  $\gamma_1 = \alpha_1$  and  $\gamma_2 = \alpha_n$ . Since  $\sigma_2$  is a root we have  $\Sigma^\wedge = \{\gamma_1^\vee, \sigma_2^\vee, \gamma_2^\vee\}$  which is a basis of a root system of type  $B_3$ . Moreover, one verifies  $\tau^\wedge = \alpha_1^\vee + 2\alpha_2^\vee + \dots + 2\alpha_{n-1}^\vee + 2\alpha_n^\vee = \gamma_1^\vee + 2\sigma_2^\vee + 2\gamma_2^\vee$ . Now it is easy to finish the proof of Theorem 2.7.

First, we consider the case  $\Sigma^\wedge = \Sigma^\vee$  (recognizable by the non-appearance of  $\gamma_i^\vee$ 's). Here one checks that  $\tau^\wedge \subseteq \Phi_X^\wedge$  which implies  $G_Y^\vee \subseteq G_X^\wedge = G_X^\vee$ .

Next assume that  $\Sigma^\wedge \neq \Sigma^\vee$  but  $\tau^\wedge = \{\tau^\vee\}$ . Here, one checks that  $\tau^\vee$  is actually the highest root of  $\Phi_X^\wedge$ . Since all simple roots of  $G_X^\wedge$  restrict to simple roots of  $G_X^\vee$ , there is no other root of  $G_X^\wedge$  which has the same restriction as  $\tau^\wedge$ . This implies  $\mathfrak{g}_{X,\tau}^\vee = \mathfrak{g}_{X,\tau}^\wedge = \mathfrak{g}_\tau^\vee$  and therefore  $G_Y^\vee \subseteq G_X^\vee$ .

The only case remaining is that of  $D_n(1)$  depending on a parameter  $\nu \in \{1, \dots, n - 2\}$ . It suffices to prove

$$(18) \quad \mathfrak{g}_{\tau^\vee}^\vee = [\mathfrak{g}_{\sigma_1^\vee}^\vee, \mathfrak{g}_{\sigma_2^\vee}^\vee]$$

since then  $\mathfrak{g}_{\tau^\vee}^\vee \subseteq \mathfrak{g}_X^\vee$  and therefore  $G_Y^\vee \subseteq G_X^\vee$ .

Using the standard basis  $\varepsilon_i$  for the weight lattice of  $D_n$  and the normalization (6) we have

$$(19) \quad \mathfrak{g}_{\tau^\vee}^\vee = [\mathfrak{g}_{\varepsilon_1 - \varepsilon_{n-1}}^\vee, E] \text{ with } E := e_{\varepsilon_{n-1} - \varepsilon_n} - e_{\varepsilon_{n-1} + \varepsilon_n}.$$

If  $\nu = n - 2$  then  $\mathfrak{g}_{\sigma_2^\vee}^\vee = \mathbb{C}E$  and  $\sigma_1^\vee = \varepsilon_1 - \varepsilon_{\nu+1} = \varepsilon_1 - \varepsilon_{n-1}$  which proves (18).

Otherwise, we have

$$(20) \quad \mathfrak{g}_{\sigma_2^\vee}^\vee = [\mathfrak{g}_{\varepsilon_{\nu+1} - \varepsilon_{n-1}}^\vee, E]$$

and therefore

$$(21) \quad [\mathfrak{g}_{\sigma_1^\vee}^\vee, \mathfrak{g}_{\sigma_2^\vee}^\vee] = [\mathfrak{g}_{\varepsilon_1 - \varepsilon_{\nu+1}}^\vee, [\mathfrak{g}_{\varepsilon_{\nu+1} - \varepsilon_{n-1}}^\vee, E]] = [\mathfrak{g}_{\varepsilon_1 - \varepsilon_{n-1}}^\vee, E] = \mathfrak{g}_{\tau^\vee}^\vee.$$

Theorem 2.7 is proved. □

### 5. APPENDIX: MAXIMAL PARABOLICS IN RANK-1-SUBGROUPS

In the following, we use the classification of spherical varieties using Luna diagrams due to Luna [Lun01], Losev [Los09], and Bravi-Pezzini [BP16]. A very good introduction to this topic can be found in [BL11].

Table 4 below lists the Luna diagrams of all cuspidal rank-1-varieties  $Y = G/H$  ( $G$  adjoint,  $H$  connected). For each such diagram we list a number of further

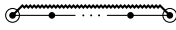
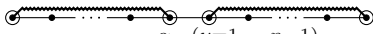
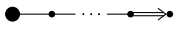
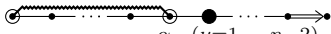
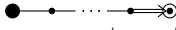
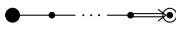
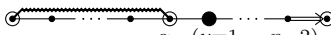
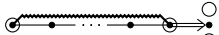
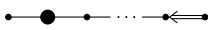

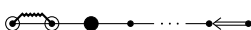
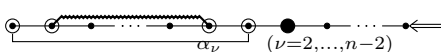
Luna diagrams. We claim that these classify all varieties  $X = G/P$  with  $P \subset H$  maximal parabolic.

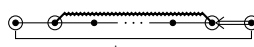
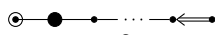

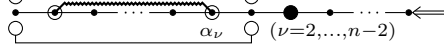



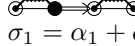
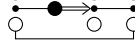
Along with the diagram of  $X$  we are also giving the complete generalized Cartan matrix so that the “decorations” of the diagrams by arrow heads “ $<$ ” or “ $>$ ” are not needed. The rows of the Cartan matrix are labelled by the spherical roots  $\sigma_i \in \Sigma := \Sigma(X)$ . The columns correspond to the colors, i.e., to the  $B$ -invariant irreducible divisors  $D_j$  of  $X$ . They also correspond to the circles (filled or empty) in the Luna diagram. The index  $j$  of  $D_j$  means that  $D_j$  is attached to the simple root  $\alpha_j$ . The entries of the Cartan matrix are the numbers  $v_{D_j}(f_{\sigma_i}) \in \mathbb{Z}$  where  $f_{\sigma_i} \in k(X)$  is a  $B$ -semiinvariant for the character  $\sigma_i$ .

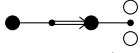




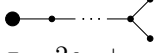
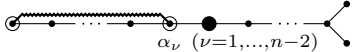
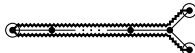



The claim can be verified in several easy steps:

1. First, one checks that all diagrams and Cartan matrices satisfy Luna’s axioms. Thus, each belongs to a unique spherical (even wonderful) variety  $X = G/P$ .
2. Let  $\mathcal{D}_0$  be the set of colors which are printed in boldface. The corresponding columns sum up to 0 which shows that  $\mathcal{D}_0$  is distinguished in the sense of [BL11, 2.3]. Therefore,  $\mathcal{D}_0$  defines a  $G$ -morphism  $X \rightarrow Y' = G/H'$  with  $P \subseteq H' \subseteq G$  and  $H'/P$  is connected.
3. Next one uses [BL11, 2.3] to verify that the spherical systems of  $Y$  and  $Y'$  coincide which then implies that  $H'$  is conjugate to  $H$ . To do this one shows that  $\tau$  (whose coordinates in terms of the  $\sigma_i$  are provided in the leftmost column) generates the orthogonal complement of the boldface columns. One also has to observe that the colors not in  $\mathcal{D}_0$  correspond to the colors of  $Y$ .
4. That  $P$  is parabolic in  $H$  is equivalent to  $G/P \rightarrow G/H$  being proper which is equivalent to no  $G$ -invariant valuation of  $G/P$  restricting to the trivial valuation of  $G/H$ . This in turn translates into  $\tau$  being a linear combination of the  $\sigma_i$  with strictly positive coefficients. This is clear from looking at the leftmost column.
5. The submatrix given by the boldface entries is always a square matrix of defect 1. Hence the columns of every proper subset of  $\mathcal{D}_0$  are linear independent which shows that such a subset is not distinguished. This means that  $P$  is maximal proper subgroup of  $H$ .
6. The preceding steps show that  $P$  is a maximal parabolic in  $H$ . To see that all of them are listed one checks that the number of items in the table equals the number of  $G$ -conjugacy classes of maximal parabolics of  $H$ . To do this one can consult Table 3 for  $H$ . In most cases this number equals the number of maximal parabolics of  $H$ . Only in the cases  $B_n$  and  $G_2$  there is an element of  $N_G(H)$  acting as an outer automorphism on  $H$ . This results in two non-conjugate maximal parabolics of  $H$  being conjugate in  $G$  resulting in one item less.

Table 4.

$A_n$  $\tau = \alpha_1 + \dots + \alpha_n$																									
(1)  $\alpha_\nu$ ( $\nu=1, \dots, n-1$ ) $\sigma_1 = \alpha_1 + \dots + \alpha_\nu$ $\sigma_2 = \alpha_{\nu+1} + \dots + \alpha_n$	<table border="1"> <thead> <tr> <th></th> <th></th> <th><math>D_1</math></th> <th><math>D_\nu</math></th> <th><math>D_{\nu+1}</math></th> <th><math>D_n</math></th> </tr> </thead> <tbody> <tr> <td>1</td> <td><math>\sigma_1</math></td> <td>1</td> <td>1</td> <td>-1</td> <td>0</td> </tr> <tr> <td>1</td> <td><math>\sigma_2</math></td> <td>0</td> <td>-1</td> <td>1</td> <td>1</td> </tr> </tbody> </table>			$D_1$	$D_\nu$	$D_{\nu+1}$	$D_n$	1	$\sigma_1$	1	1	-1	0	1	$\sigma_2$	0	-1	1	1						
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