

Mappings of Nonpositively Curved Manifolds¹⁾

By

Samuel I. GOLDBERG*

§ 1. Introduction

In recent papers with S. S. Chern [3] and T. Ishihara [4], the author studied both the volume- and distance-decreasing properties of harmonic mappings thereby obtaining real analogues and generalizations of the classical Schwarz-Ahlfors lemma, as well as Liouville's theorem and the little Picard theorem. The domain M in the first case was the open ball with the hyperbolic metric of constant negative curvature, and the target was a negatively curved Riemannian manifold with sectional curvature bounded away from zero. In this paper, it is shown that M may be taken to be any complete simply connected Riemannian manifold of nonpositive curvature. Details will appear elsewhere.

Theorem. *Let $f:M \rightarrow N$ be a harmonic K -quasiconformal mapping of Riemannian manifolds of dimensions m and n , respectively. If M is complete and simply connected, and (a) the sectional curvatures of M are nonpositive and bounded below by a negative constant $-A$, and (b) the sectional curvatures of N are bounded above by the constant $-\left(\frac{m-1}{k-1}\right)kAK^k$, $k = \min(m, n)$, then f is distance-decreasing. If $m = n$ and (b) is replaced by the condition (b') the sectional curvatures of N are bounded away from zero by $-AK^k$, then f is volume-decreasing.*

§ 2. Harmonic and K -quasiconformal mappings

Let M and N be C^∞ Riemannian manifolds of dimensions m and n ,

Communicated by S. Nakano, October 22, 1975.

* Department of Mathematics, University of Illinois, Urbana, Illinois 61801, U.S.A.

1) This research was supported in part by the National Science Foundation.

respectively. Let $f:M \rightarrow N$ be a C^∞ mapping. The Riemannian metrics of M and N can be written locally as $ds_M^2 = \omega_1^2 + \dots + \omega_m^2$ and $ds_N^2 = \omega_1^{*2} + \dots + \omega_n^{*2}$, where $\omega_i (1 \leq i \leq m)$ and $\omega_a^* (1 \leq a \leq n)$ are linear differential forms in M and N , respectively. The structure equations in M are

$$d\omega_i = \sum_j \omega_j \wedge \omega_{ji},$$

$$d\omega_{ij} = \sum_j \omega_{ik} \wedge \omega_{kj} - \frac{1}{2} \sum_{k,l} R_{ijkl} \omega_k \wedge \omega_l.$$

Similar equations are valid in N and we will denote the corresponding quantities in the same notation with asterisks. Let $f^* \omega_a^* = \sum_i A_i^a \omega_i$. Then the covariant differential of A_i^a is defined by

$$DA_i^a \equiv dA_i^a + \sum_j A_j^a \omega_{ji} + \sum_b A_i^b \omega_{ba}^* \equiv \sum_j A_{ij}^a \omega_j$$

with $A_{ij}^a = A_{ji}^a$. The mapping f is called harmonic if $\sum_i A_{ii}^a = 0$.

The differential f_* of f is extended to the mapping $\wedge^p f_* : \wedge^p T(M) \rightarrow \wedge^p T(N)$. $\wedge^p f_*$ is also regarded as an element of $\wedge^p T^*(M) \otimes \wedge^p T(N)$ on which a norm is defined in terms of the metrics of M and N . The norm $\|\wedge^p f_*\|$ is regarded as the ratio function of intermediate volume elements of M and N . As in [4] we consider the Laplacian Δ of $\|f_*\|^2$ and obtain the following formula when f is harmonic.

$$(1) \quad (1/2) \Delta \|f_*\|^2 = \sum_{a,i,j} (A_{ij}^a)^2 + \sum_{a,i,j} R_{ij} A_i^a A_j^a - \sum_{a,b,c,d,i,j} R_{abcd}^* A_i^a A_j^b A_i^c A_j^d,$$

where R_{ij} is the Ricci tensor of M .

At each point $x \in M$, let A be the matrix representation of $(f_*)_x$ relative to orthonormal bases of $T_x(M)$ and $T_{f(x)}(N)$ and let ${}^t A$ be the transpose of A . In the sequel, we assume $\text{rank } f_* = \text{rank } A = k$ at every point. Then, $k \leq \min(m, n)$ and $\text{rank } G = k$, where G is the positive semi-definite symmetric matrix ${}^t A A$. Let $\lambda_1 \geq \dots \geq \lambda_k > \lambda_{k+1} = \dots = \lambda_m = 0$ be the eigenvalues of G . The norm $\|\wedge^p f_*\|$ is represented as

$$(2) \quad \|\wedge^p f_*\|^2 = \sum_{i_1 < \dots < i_p} \lambda_{i_1} \dots \lambda_{i_p}.$$

Lemma 1. *If $k \leq \min(m, n)$ and rank f_* is k everywhere on M , then*

$$\left(\|\wedge^p f_*\|^2 / \binom{k}{p} \right)^{1/p} \geq \left(\|\wedge^q f_*\|^2 / \binom{k}{q} \right)^{1/q}, \quad 1 \leq p \leq q \leq k.$$

At each point $x \in M$, let S^{k-1} be a unit $(k-1)$ -sphere in $T_x(M)$. If $(f_*)_x$ has maximal rank k , the image of S^{k-1} under $(f_*)_x$ is an ellipsoid of dimension $k-1$. Let f be a C^∞ mapping of maximal rank k and $K \geq 1$. f is K -quasiconformal if at each point x of M , the ratio of the largest to the smallest axis of the ellipsoid $\leq K$. One may verify that f is K -quasiconformal if and only if $\lambda_1/\lambda_k \leq K^2$ at each point. Hence, from (2) we obtain

Lemma 2. *If f is K -quasiconformal, then*

$$\left(\|\wedge^p f_*\|^2 / \binom{k}{p} \right)^{1/p} \leq K^2 \left(\|\wedge^q f_*\|^2 / \binom{k}{q} \right)^{1/q}, \quad 1 \leq p < q \leq k.$$

§ 3. Proof of Theorem

Let $d\tilde{s}_M^2$ be a Riemannian metric on M conformally related to ds_M^2 . Then, there is a function $p > 0$ on M such that $d\tilde{s}_M^2 = p^2 ds_M^2$. Let $\tilde{u} = \sum (\tilde{A}_i^a)^2 = p^{-2} \sum (A_i^a)^2$. Then

Lemma 3. *Let $f: M \rightarrow N$ be harmonic with respect to (ds_M^2, ds_N^2) , and let \tilde{u} attain its maximum at $x \in M$. If the symmetric matrix function $X_{ij} = p_{ij} + \delta_{ij} \sum (p_k)^2 - 2p_i p_j$, where p_i is given by $d \log p = \sum p_i \omega_i$ and p_{ij} is its covariant derivative, is positive semi-definite everywhere on M , then $-\sum R_{abcd}^* \tilde{A}_i^a \tilde{A}_j^b \tilde{A}_i^c \tilde{A}_j^d \leq -\sum \tilde{R}_{ij} \tilde{A}_i^a \tilde{A}_j^a$ at x .*

Let y be a point of M and denote by $d(x, y)$ the distance-from- y function. Then, $t(x) = (d(x, y))^2$, $x \in M$, is C^∞ and convex on M (see [2]). The function $\tau(x) = d(x, y)$ is also convex, but it is only continuous on M . The convex open submanifolds $M_\rho = \{x \in M | t(x) < \rho\}$ of M exhaust M , that is $M = \bigcup_{\rho < \infty} M_\rho$ (see [5]). The nonnegative function $v_\rho = \log \frac{\rho}{\rho - t}$ is a C^∞ convex function.

Consider the metric $d\tilde{s}^2 = e^{2v_\rho} ds^2$ on M_ρ . Then $\tilde{u} = e^{-2v_\rho} u = \left(\frac{\rho - t}{\rho} \right)^2 u$ is nonnegative and continuous on the closure \bar{M}_ρ of M_ρ and vanishes on ∂M_ρ . Since \bar{M}_ρ is compact, \tilde{u} has a maximum in M_ρ . Since the function $t(x)$ is convex the matrix X_{ij} is positive semi-definite, so we obtain the conclusion of Lemma 3.

Relating the Ricci tensors of $d\tilde{s}_M^2$ and ds_M^2 , we obtain

$$\begin{aligned} \sum \tilde{R}_{ij} \tilde{A}_i^a \tilde{A}_j^a &= \left(\frac{\rho-t}{\rho} \right)^2 \sum R_{ij} \tilde{A}_i^a \tilde{A}_j^a - \frac{\rho-t}{\rho^2} (m-2) \sum t_{ij} \tilde{A}_i^a \tilde{A}_j^a \\ &\quad - \frac{\rho-t}{\rho^2} \Delta t \|f_*\|_\rho^2 - \frac{m-1}{\rho^2} \langle dt, dt \rangle \|f_*\|_\rho^2. \end{aligned}$$

Lemma 4. *For each ρ , there exists a positive constant $\varepsilon(\rho)$ such that the inequality*

$$-\sum \tilde{R}_{ij} \tilde{A}_i^a \tilde{A}_j^a \leq [(m-1)A + \varepsilon(\rho)] \tilde{u}$$

holds on M_ρ . Moreover $\varepsilon(\rho) \rightarrow 0$ as $\rho \rightarrow \infty$.

To see that $\Delta\tau$ is bounded as $\tau \rightarrow \infty$, observe that the level hypersurfaces of τ are spheres S with y as center. The hessian $D^2\tau$ of τ can be identified with the second fundamental form h of those spheres, extended to be 0 in the normal direction. It follows that $\Delta\tau = \text{trace } D^2\tau = \text{trace } h = (m-1) \cdot \text{mean relative curvature of } S$. If the curvature $K \geq a^2$, then from [1; pp. 247-255], $\Delta\tau \leq (m-1)a \frac{\cos \alpha\tau}{\sin \alpha\tau}$. If we put $a^2 = -\alpha^2$, then $\Delta\tau \leq (m-1)\alpha \coth \alpha\tau$.

The rest of the proof of the theorem is now a consequence of Lemmas 1-4.

References

- [1] Bishop, R. L. and Crittenden, R. J., *Geometry of Manifolds*, Academic Press Inc., New York, 1964.
- [2] Bishop, R. L. and O'Neill, B., Manifolds of negative curvature, *Trans. Amer. Math. Soc.*, **145** (1969), 1-49.
- [3] Chern, S. S. and Goldberg, S. I., On the volume-decreasing property of a class of real harmonic mappings, *Amer. J. Math.*, **197** (1975), 133-147.
- [4] Goldberg, S. I. and Ishihara, T., Harmonic quasiconformal mappings of Riemannian manifolds, *Amer. J. Math.*, to appear.
- [5] Har'El, Z., Harmonic mappings and distortion theorems, *thesis*, Technion, Israel Inst. of Tech., Haifa, 1975.