

Decomposition of a symbolic element over a countable amenable group into blocks approximating ergodic measures

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Abstract. Consider a subshift over a finite alphabet, $X \subset \Lambda^{\mathbb{Z}}$ (or $X \subset \Lambda^{\mathbb{N}_0}$). With each finite block $B \in \Lambda^k$ appearing in X we associate the *empirical measure* ascribing to every block $C \in \Lambda^l$ the frequency of occurrences of C in B . By comparing the values ascribed to blocks C we define a metric on the combined space of blocks B and probability measures μ on X , whose restriction to the space of measures is compatible with the weak- \star topology. Next, in this combined metric space we fix an open set \mathcal{U} containing all ergodic measures, and we say that a block B is “ergodic” if $B \in \mathcal{U}$. In this paper we prove the following main result: Given $\varepsilon > 0$, every $x \in X$ decomposes as a concatenation of blocks of bounded lengths in such a way that, after ignoring a set M of coordinates of upper Banach density smaller than ε , all blocks in the decomposition are ergodic. We also prove a finitistic version of this theorem (about decomposition of long blocks), and a version about decomposition of $x \in X$ into finite blocks of unbounded lengths. The second main result concerns subshifts whose set of ergodic measures is closed. We show that, in this case, no matter how $x \in X$ is partitioned into blocks (as long as their lengths are sufficiently large and bounded), excluding a set M of upper Banach density smaller than ε , all blocks in the decomposition are ergodic. The first half of the paper is concluded by examples showing, among other things, that the small set M , in both main theorems, cannot be avoided. The second half of the paper is devoted to generalizing the two main results described above to subshifts $X \subset \Lambda^G$ with the action of a countable amenable group G . The role of long blocks is played by blocks whose domains are members of a Følner sequence, while the decomposition of $x \in X$ into blocks (of which majority are ergodic) is obtained with the help of a congruent system of tilings.

1. Introduction

In symbolic dynamics, an invariant measure is determined by its values assumed on cylinders pertaining to finite blocks C . Given a long block B , we consider a function assigning to every block C its *frequency of occurrences* in B . In this manner, B determines some kind of substitute of an invariant measure, which we call the *empirical measure* associated

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to B . Moreover, there is a natural metric measuring the distance between empirical measures associated to long blocks and invariant measures. Abusing slightly the terminology, we will say that the metric measures the distance between blocks and invariant measures. It is not hard to prove that any sufficiently long block B occurring in a symbolic system (X, σ) lies very close to some invariant measure $\mu \in \mathcal{M}_\sigma(X)$, where $\mathcal{M}_\sigma(X)$ denotes the set of all shift-invariant measures supported by X .

On the other hand, it is a well-known fact that any invariant measure $\mu \in \mathcal{M}_\sigma(X)$ decomposes as an integral average of ergodic measures supported by X . Henceforth, a question arises: Supposing that a long block B appearing in X is very close to an invariant measure $\mu \in \mathcal{M}_\sigma(X)$, how is the ergodic decomposition of μ reflected in the structure of B ?

Let us tentatively call a block C *ergodic* if it lies very close to some ergodic measure $\mu_C \in \mathcal{M}_\sigma^{\text{erg}}(X)$ (by $\mathcal{M}_\sigma^{\text{erg}}(X)$ we will denote the set of ergodic measures of (X, σ)). It is easy to see that if B is a concatenation of ergodic blocks (not necessarily of equal lengths), say $B = C_1 C_2 \dots C_n$, then B lies very close to the invariant measure μ obtained as a convex combination (with appropriate coefficients) of the ergodic measures μ_{C_i} , $i = 1, 2, \dots, n$. The question asked in the preceding paragraph takes on the following, more particular form: Is being a concatenation of ergodic blocks *the only possibility* for a long block B to lie close to an invariant measure? In this paper (among other things) we answer the above question positively after admitting a small correction in its formulation:

(*) *Every sufficiently long block B appearing in a subshift X decomposes as a concatenation of blocks of which the vast majority (in terms of percentage of the total length) are ergodic.*

The above result is obtained as a corollary of a theorem stating that any sequence $x \in X$ can be decomposed into finite blocks such that the fraction of ergodic blocks (with respect to the upper Banach density) is close to 1. The solution requires invoking subtle interplay between measures and blocks in symbolic systems and some properties of simplices in metric vector spaces.

We comment that our result is interesting mainly for *proper* subshifts. It is known that, in the set of invariant measures of the full shift, ergodic measures lie densely. So, since any sufficiently long block B lies very close to an invariant measure, it lies equally close to an ergodic measure, i.e., B is ergodic itself and needs not be decomposed any further.¹ The (topologically) smaller the set of ergodic measures within $\mathcal{M}_\sigma(X)$ is, the less trivial the problem becomes. We pay special attention to the case when $\mathcal{M}_\sigma(X)$ is a Bauer simplex, since then the ergodic measures form a closed, nowhere dense subset of $\mathcal{M}_\sigma(X)$.

While the property (*) of long blocks may seem predictable for classical subshifts, an analogous property of “blocks”, appearing in subshifts with an action of a general

¹However, even in case of the full shift our theorem does not completely trivialize. Since we can define “ergodicity” of blocks using an arbitrary open set around $\mathcal{M}_\sigma^{\text{erg}}(X)$, not necessarily a ball with respect to some distance, even for the full shift many long blocks can be classified as “nonergodic”.

countable amenable group, is far less obvious. It is a priori not even clear whether blocks with domains large enough to be close to invariant measures can be concatenated together. We made a (successful) attempt to overcome this and other difficulties and generalize our results to subshifts over countable amenable groups.

The paper is divided into five sections. Sections 2 and 5 are of preliminary character. The former pertains to classical symbolic systems with the action of \mathbb{Z} or \mathbb{N}_0 (called also *two-sided* and *one-sided subshifts*, respectively), whereas the latter is concerned with subshifts over a general countable amenable group. Section 5 contains also an exposition on tilings and systems of dynamical tilings of amenable groups, which play an important role in Section 6. The first series of theorems concerning the decomposition of a symbolic element of (as well as a sufficiently long block appearing in) a classical subshift X into blocks approximating ergodic measures is formulated and proved in Section 3. It is shown that for any open neighbourhood \mathcal{U} of the set of ergodic measures of a symbolic system X and any positive ε , for every $x \in X$, there exists a decomposition of x into finite blocks of bounded lengths, such that the domains of those blocks that do not lie in \mathcal{U} cover a set in \mathbb{Z} (or \mathbb{N}_0) of upper Banach density smaller than ε . A small modification of the proof allows us to deduce that for every $x \in X$, there exists also a decomposition into finite blocks of *unbounded* lengths, such that the domains of blocks not lying in \mathcal{U} cover a set of upper Banach density 0. Moreover, it is proved that in a subshift X for which shift-invariant measures form a Bauer simplex, for any decomposition of an element $x \in X$ into sufficiently long blocks, the fraction (with respect to the upper Banach density) of those blocks that do not lie in \mathcal{U} is smaller than ε . In Section 4 we provide three examples showing that the assumptions in theorems from Section 3 cannot be omitted. Section 6 is dedicated to generalizing the main results of Section 3 to the case of symbolic systems with an action of a countable amenable group G . In this case, the role of long blocks is played by “blocks”, whose domains are sets with good Følner properties. Our methodology heavily relies on the theory of tilings and congruent systems of dynamical tilings, explained in Section 4.

2. Classical symbolic systems

All theorems provided in this section are standard and their proofs are omitted.

Let Λ be a finite, discrete space called an *alphabet*. By a classical *symbolic system* with the action of \mathbb{Z} (resp. \mathbb{N}_0) we mean a two-sided (resp. one-sided) subshift, i.e., any nonempty subset X of $\Lambda^{\mathbb{Z}}$ (resp. $\Lambda^{\mathbb{N}_0}$) that is closed and invariant under the *shift* transformation σ given by

$$(\sigma(x))(i) = x(i + 1), \quad x \in \Lambda^{\mathbb{Z}}, i \in \mathbb{Z} \quad (\text{resp. } x \in \Lambda^{\mathbb{N}_0}, i \in \mathbb{N}_0).$$

From now on, to avoid repeating that i ranges over either \mathbb{Z} or \mathbb{N}_0 , depending on the type of subshift, we will skip indicating the range of that index. By a *block* of length k we mean any element $B = (B(0), B(1), \dots, B(k - 1)) \in \Lambda^k$. The length k of the block B

is also denoted by $|B|$. If, for some $x \in X$ and i , we have $\sigma^i(x)|_{[0,k]} = B$, we say that the block B occurs in x at the position i . Abusing slightly the notation, we write $x|_{[i,i+k]} = B$ and call the interval $[i, i + k)$ the domain of the occurrence of the block B in x . A similar convention is applied to “subblocks” of blocks: If $B \in \Lambda^k$ and $[n, m) \subset [0, k)$, then by $B|_{[n,m)}$ we mean the block $C \in \Lambda^{m-n}$ defined by $C(l) = B(n + l)$ for all $l = 0, \dots, m - n - 1$. The set of all blocks occurring in the elements of X is denoted by $\mathcal{B}^*(X)$.

Definition 2.1. Let $B \in \Lambda^k$ and $C \in \Lambda^l$, where $l \leq k$. The frequency of occurrences of the block C in the block B is defined as

$$\text{Fr}_B(C) = \frac{|\{i \in [0, k - l]: B|_{[i,i+l]} = C\}|}{k}.$$

If $|C| > |B|$, we let $\text{Fr}_B(C) = 0$.

For a fixed block $B \in \Lambda^k$, frequencies of occurrences of blocks $C \in \Lambda^l$ in B , where $l \leq k$, form a sub-probability vector. Using the notion of frequency of occurrences of one block in another, we define a distance between two blocks $B_1, B_2 \in \mathcal{B}^*(X)$ by

$$d^*(B_1, B_2) = \sum_{l=1}^{+\infty} 2^{-l} \sum_{C \in \Lambda^l} |\text{Fr}_{B_1}(C) - \text{Fr}_{B_2}(C)|. \tag{2.1}$$

In what follows, $\mathcal{M}(X)$ denotes the set of all Borel probability measures on X , while $\mathcal{M}_\sigma(X) \subset \mathcal{M}(X)$ denotes the set of all shift-invariant measures (i.e., such that $\mu(A) = \mu(\sigma^{-1}(A))$ for every Borel set $A \subset X$). Further, $\mathcal{M}_\sigma^{\text{erg}}(X) \subset \mathcal{M}_\sigma(X)$ denotes the set of all ergodic measures (i.e., such that $\mu(A \Delta \sigma^{-1}(A)) = 0 \Rightarrow \mu(A) \in \{0, 1\}$, for any Borel set $A \subset X$). Note that $\mathcal{M}_\sigma(X)$ is a closed, convex subset of $\mathcal{M}(X)$, which is compact in weak- \star topology. It is well known that the extreme points of $\mathcal{M}_\sigma(X)$ are the ergodic measures.

The formula (2.1) is similar to the one defining the standard metric on $\mathcal{M}(X)$:

$$d^*(\mu_1, \mu_2) = \sum_{l=1}^{+\infty} 2^{-l} \sum_{C \in \Lambda^l} |\mu_1([C]) - \mu_2([C])|, \quad \mu_1, \mu_2 \in \mathcal{M}(X), \tag{2.2}$$

where $[C] = \{x \in X: x|_{[0,l]} = C\}$ denotes the cylinder associated with the block C . Note that the above metric is compatible with the weak- \star topology on $\mathcal{M}(X)$. Henceforth, we can define the distance between a block and an invariant measure by

$$d^*(B, \mu) = \sum_{l=1}^{+\infty} 2^{-l} \sum_{C \in \Lambda^l} |\text{Fr}_B(C) - \mu([C])|, \quad B \in \mathcal{B}^*(X), \mu \in \mathcal{M}(X). \tag{2.3}$$

Then, the function d^* given by equations (2.1), (2.2) and (2.3) is a metric on the set $\mathcal{B}^*(X) \cup \mathcal{M}(X)$. Moreover, it turns out that sufficiently long blocks lie uniformly close to the set $\mathcal{M}_\sigma(X)$, as stated in the next theorem (see [3, Fact 6.6.1]).

Theorem 2.2. Fix an $\varepsilon > 0$. There exists $l_0 \in \mathbb{N}$ such that for all $l \geq l_0$ and every block $B \in \mathcal{B}^*(X)$ of length l we have

$$d^*(B, \mathcal{M}_\sigma(X)) < \varepsilon.$$

The connection between blocks and measures enables us to give an (alternative to the general one involving the measures $\frac{1}{n} \sum_{i=0}^{n-1} \delta_{\sigma^i(x)}$) definition of a generic point, in a symbolic system, for a σ -invariant measure using the metric d^* .

Definition 2.3. An element $x \in X$ is called *generic* for a measure $\mu \in \mathcal{M}_\sigma(X)$ if

$$\lim_{n \rightarrow +\infty} d^*(x|_{[0,n]}, \mu) = 0.$$

A lemma similar to the one below can be found (in a more general version) for example in [1, Lemma 2].

Lemma 2.4. For every $\varepsilon > 0$ and a finite collection of blocks $B_1, \dots, B_m \in \mathcal{B}^*(X)$ such that for every $j = 1, \dots, m$ there exists a measure $\mu_j \in \mathcal{M}(X)$ satisfying $d^*(B_j, \mu_j) < \varepsilon$, the following inequality holds:

$$d^*(B, \mu) < 2\varepsilon,$$

where $B = B_1 \dots B_m$ is the concatenation of the blocks B_1, \dots, B_m , $\mu = \sum_{j=1}^m \frac{|B_j|}{|B|} \mu_j$.

We finish this section by providing the definitions of upper and lower Banach densities of subsets of \mathbb{Z} or \mathbb{N}_0 and some of their properties.

Definition 2.5. Fix a set $A \subset \mathbb{Z}$ (resp. $A \subset \mathbb{N}_0$). The *upper Banach density* of A is defined as

$$\overline{d}_{\text{Ban}}(A) = \inf_{N \in \mathbb{N}} \sup_i \frac{|A \cap [i, i + N]|}{N}.$$

Similarly, we define the *lower Banach density* as

$$\underline{d}_{\text{Ban}}(A) = \sup_{N \in \mathbb{N}} \inf_i \frac{|A \cap [i, i + N]|}{N}.$$

If $\overline{d}_{\text{Ban}}(A) = \underline{d}_{\text{Ban}}(A)$, then we denote the common value by $d_{\text{Ban}}(A)$ and call it the *Banach density* of A .

Remark 2.6. It follows directly from the definition of upper and lower Banach densities that for every set $A \subset \mathbb{Z}$ (resp. $A \subset \mathbb{N}_0$) we have $\overline{d}_{\text{Ban}}(A) = 1 - \underline{d}_{\text{Ban}}(A^c)$.

Theorem 2.7. The upper Banach density is subadditive, that is, for every pair of sets $A, B \subset \mathbb{Z}$ (resp. $A, B \subset \mathbb{N}_0$), the following inequality holds:

$$\overline{d}_{\text{Ban}}(A \cup B) \leq \overline{d}_{\text{Ban}}(A) + \overline{d}_{\text{Ban}}(B).$$

The next lemma, connecting the notions of the upper Banach density and invariant measures, is true not only for symbolic systems, but for every topological dynamical system with an action of \mathbb{Z} or \mathbb{N}_0 on a compact metric space. Hence we formulate it in this general setup.

Lemma 2.8. *Let (X, T) be a topological dynamical system with an action of \mathbb{Z} or \mathbb{N}_0 consisting of the iterates of a homeomorphism (resp. continuous map) $T: X \rightarrow X$, where X is a compact metric space, and let $D \subset X$ be a closed set. Then*

$$\sup_{\mu \in \mathcal{M}_T(X)} \mu(D) \geq \sup_{x \in X} \bar{d}_{\text{Ban}}(\{i: T^i(x) \in D\}),$$

where $\mathcal{M}_T(X)$ denotes the set of all T -invariant, Borel probability measures on X .

3. Decomposition of an element of a classical subshift into ergodic blocks

We begin with a rigorous definition of the ‘‘ergodic blocks’’ alluded to in the introduction.

Definition 3.1. Let \mathcal{U} be an open set in $\mathcal{B}^*(X) \cup \mathcal{M}(X)$ such that $\mathcal{U} \supset \mathcal{M}_\sigma^{\text{erg}}(X)$. A block B is called \mathcal{U} -ergodic if $B \in \mathcal{U}$. All other blocks are shortly called *nonergodic*.

Lemma 3.2. *Let (X, σ) be a classical symbolic system and let $\mathcal{U} \supset \mathcal{M}_\sigma^{\text{erg}}(X)$ be an open set in $\mathcal{B}^*(X) \cup \mathcal{M}(X)$. For every $m \in \mathbb{N}$, the set*

$$D_{\mathcal{U},m} = \{y \in X: \forall_{k \geq m} y|_{[0,k]} \notin \mathcal{U}\}$$

is a null-set for every measure $\mu \in \mathcal{M}_\sigma(X)$.

Proof. If for some measure $\mu \in \mathcal{M}_\sigma(X)$ and some $m \in \mathbb{N}$ we had $\mu(D_{\mathcal{U},m}) > 0$, then by the ergodic decomposition, there would exist an ergodic measure $\mu_0 \in \mathcal{M}_\sigma^{\text{erg}}(X)$ such that $\mu_0(D_{\mathcal{U},m}) > 0$. Hence, by the Birkhoff ergodic theorem, in $D_{\mathcal{U},m}$ there would exist an element x , generic for the measure μ_0 . Therefore, for some $k \geq m$ we would have $x|_{[0,k]} \in \mathcal{U}$. This would contradict the definition of the set $D_{\mathcal{U},m}$. ■

Lemma 3.3. *Let (X, σ) be a classical symbolic system, let $\mathcal{U} \supset \mathcal{M}_\sigma^{\text{erg}}(X)$ be an open set in $\mathcal{B}^*(X) \cup \mathcal{M}(X)$ and let $m \in \mathbb{N}$. For every $n \geq m$ we define*

$$D_{\mathcal{U},m,n} = \{y \in X: \forall_{k \in [m,n]} y|_{[0,k]} \notin \mathcal{U}\}$$

and for all $x \in X$ we define

$$E_{\mathcal{U},m,n,x} = \{i: \sigma^i(x) \in D_{\mathcal{U},m,n}\}.$$

Then the convergence $\lim_{n \rightarrow +\infty} \bar{d}_{\text{Ban}}(E_{\mathcal{U},m,n,x}) = 0$ holds uniformly on X .

Proof. The sequence of sets $(D_{\mathcal{U},m,n})_{n \geq m}$ is obviously nested, hence for every $x \in X$, the sequence $(E_{\mathcal{U},m,n,x})_{n \geq m}$ is also nested. Thus, the sequence $(\bar{d}_{\text{Ban}}(E_{\mathcal{U},m,n,x}))_{n \geq m}$ is nonincreasing.

The set $D_{\mathcal{U},m,n} = \bigcap_{k=m}^n \{y \in X : y|_{[0,k]} \notin \mathcal{U}\}$ is clopen for every $n \geq m$, thence the function $\Phi_{\mathcal{U},m,n}(\mu) = \mu(D_{\mathcal{U},m,n})$ is continuous in the weak- \star topology on $\mathcal{M}(X)$. Moreover, the set $D_{\mathcal{U},m}$ is the intersection of the sets $D_{\mathcal{U},m,n}$, $n \geq m$. By Lemma 3.2 and the continuity of measures from above, it follows that $\Phi_{\mathcal{U},m,n} \rightarrow 0$ as $n \rightarrow +\infty$, pointwise on $\mathcal{M}_\sigma(X)$. Because the sequence of functions $(\Phi_{\mathcal{U},m,n})_{n \geq m}$ is nonincreasing, by Dini's theorem it tends to 0 uniformly on $\mathcal{M}_\sigma(X)$. Hence $\sup_{\mu \in \mathcal{M}_\sigma(X)} \mu(D_{\mathcal{U},m,n})$ tends to 0 as $n \rightarrow +\infty$. By Lemma 2.8, $\sup_{x \in X} \bar{d}_{\text{Ban}}(E_{\mathcal{U},m,n,x}) \rightarrow 0$, thus the functions $x \mapsto \bar{d}_{\text{Ban}}(E_{\mathcal{U},m,n,x})$ converge to 0 as $n \rightarrow +\infty$ uniformly on X , as desired. ■

Now we formulate and prove one of the key theorems of this paper, concerning a decomposition of a symbolic element into \mathcal{U} -ergodic blocks in the case of classical symbolic systems. In Section 6, this theorem will be generalized to the case of a symbolic system with an action of any countable amenable group.

Theorem 3.4. *Let (X, σ) be a classical symbolic system. Let $\mathcal{U} \supset \mathcal{M}_\sigma^{\text{erg}}(X)$ be an open set in $\mathcal{B}^*(X) \cup \mathcal{M}(X)$. For every $m \in \mathbb{N}$ and every $\varepsilon > 0$ there exists $n \geq m$ such that, for any element $x \in X$, there exists a representation of x as an infinite concatenation of blocks: $x = \dots B_{-2}A_{-2}B_{-1}A_{-1}A_1B_1A_2B_2 \dots$ (resp. $x = A_1B_1A_2B_2 \dots$, in the case of a one-sided subshift), where all blocks B_j are \mathcal{U} -ergodic and have lengths ranging between m and n , and the set $M^{\text{NE}}(x)$ of coordinates pertaining to the blocks A_j in this concatenation has upper Banach density smaller than ε (some of the blocks A_j may be empty, i.e., have length zero).*

Proof. By Lemma 3.3, there exists $n \geq m$ such that $\sup_{x \in X} \bar{d}_{\text{Ban}}(E_{\mathcal{U},m,n,x}) < \varepsilon$. Hence, for every $x \in X$, the set $(E_{\mathcal{U},m,n,x})^c = \{i : \sigma^i(x) \notin D_{\mathcal{U},m,n}\}$ has positive lower Banach density (in particular, it is infinite). In the case of the action of \mathbb{N}_0 , the desired decomposition of an element $x \in X$ can be obtained as follows:

We find the smallest $i \geq 0$ such that $\sigma^i(x) \notin D_{\mathcal{U},m,n}$ and denote it by i_1 . We define $A_1 = x|_{[0,i_1]}$. There exists $n_1 \in [m, n]$ such that $\sigma^{i_1}(x)|_{[0,n_1]} \in \mathcal{U}$. We define B_1 as the block $x|_{[i_1,i_1+n_1]}$. Next, we find the smallest $i \geq i_1 + n_1$ such that $\sigma^i(x) \notin D_{\mathcal{U},m,n}$ and denote it by i_2 . We define $A_2 = x|_{[i_1+n_1,i_2]}$. As before, there exists $n_2 \in [m, n]$ satisfying $\sigma^{i_2}(x)|_{[0,n_2]} \in \mathcal{U}$. We define $B_2 = x|_{[i_2,i_2+n_2]}$. In the same way, we define the blocks A_j and B_j for $j \geq 3$.

For every index j we have $B_j \in \mathcal{U}$ and $m \leq n_j \leq n$. Furthermore,

$$M^{\text{NE}}(x) = [0, i_1) \cup \bigcup_j [i_j + n_j, i_{j+1})$$

is contained in $E_{\mathcal{U},m,n,x}$. Henceforth, by the choice of ε , this set has upper Banach density smaller than ε .

In the case of the action of \mathbb{Z} , the blocks with negative indices j are defined analogously, proceeding to the left from the coordinate 0, using the fact that $\mathcal{M}_{\sigma^{-1}}(X) = \mathcal{M}_{\sigma}(X)$. By the same argument as previously, the corresponding set $M^{\text{NE}}(x)$ has upper Banach density smaller than ε . ■

The above theorem allows one to deduce a finitistic result announced in the introduction.

Theorem 3.5. *Let (X, σ) be a classical symbolic system and let $\mathcal{U} \supset \mathcal{M}_{\sigma}^{\text{erg}}(X)$ be an open set in $\mathcal{B}^*(X) \cup \mathcal{M}(X)$. For every $\varepsilon > 0$ there exists $N_0 \in \mathbb{N}$ such that every block $B \in \mathcal{B}^*(X)$ of length at least N_0 may be decomposed as a concatenation of blocks: $B = A_1 B_1 A_2 B_2 \dots A_r B_r A_{r+1}$, such that the blocks B_1, \dots, B_r are \mathcal{U} -ergodic and $\sum_{j=1}^r |B_j| \geq (1 - \varepsilon)|B|$.*

Proof. It suffices to consider a one-sided subshift X . For a contradiction, suppose there exist $\varepsilon > 0$ and a sequence of blocks $(C_s)_{s \in \mathbb{N}}$ such that the lengths $|C_s|$ increase to $+\infty$, and for each $s \in \mathbb{N}$ the block C_s cannot be decomposed as described in the formulation of the theorem. Let x be the infinite concatenation $x = C_1 C_2 C_3 \dots$. Although x need not belong to X , the symbolic system $X' = X \cup \overline{O_{\sigma}(x)}$, where $\overline{O_{\sigma}(x)}$ denotes the orbit-closure of the element x , has the same collection of invariant measures as X . Fix $m \in \mathbb{Z}$. By Theorem 3.4 (applied to X'), there exists $n \geq m$ such that x may be decomposed as the infinite concatenation of blocks $x = A_1 B_1 A_2 B_2 \dots$, where all blocks B_j are \mathcal{U} -ergodic and have lengths ranging between m and n , and the set $M^{\text{NE}}(x)$ of coordinates pertaining to the blocks A_j has upper Banach density smaller than $\frac{\varepsilon}{2}$. By the definition of the upper Banach density, there exists $N_0 \in \mathbb{N}$ such that for every $N \geq N_0$ and every i we have

$$\frac{|M^{\text{NE}}(x) \cap [i, i + N]|}{N} < \frac{\varepsilon}{2}.$$

For s sufficiently large, we have $|C_s| \geq \max\{N_0, \frac{4n}{\varepsilon}\}$. Let t_s be such that $x|_{[t_s, t_s + |C_s|)} = C_s$. Let l and r be such that B_l and B_{l+r} are the first and the last of the \mathcal{U} -ergodic blocks in the concatenation $A_1 B_1 A_2 B_2 \dots$ representing x , entirely covered by the block C_s . It is now elementary to see that

$$C_s = \tilde{A}_l B_l A_{l+1} B_{l+1} \dots A_{l+r} B_{l+r} \tilde{A}_{l+r+1}, \tag{3.1}$$

where \tilde{A}_l is a subblock of the block $B_{l-1} A_l$ and \tilde{A}_{l+r+1} is a subblock of $A_{l+r+1} B_{l+r+1}$. Recall that $|B_{l-1}| + |B_{l+r+1}| \leq 2n$. Hence, the \mathcal{U} -ergodic subblocks of C_s , that is B_l, \dots, B_{l+r} , satisfy the inequality

$$\frac{1}{|C_s|} \sum_{j=l}^{l+r} |B_j| \geq 1 - \frac{|M^{\text{NE}}(x) \cap [t_s, t_s + |C_s|]|}{|C_s|} - \frac{2n}{|C_s|} > 1 - \varepsilon.$$

Finally, note that the blocks B_l, \dots, B_{l+r} appear not only in X' but, as subblocks of C_s , also in X . Thus, formula (3.1) gives a decomposition of C_s in a way assumed to be impossible. This contradiction ends the proof. ■

Theorem 3.6. *Let (X, σ) be a classical symbolic system. Let $\mathcal{U} \supset \mathcal{M}_\sigma^{\text{erg}}(X)$ be an open set in $\mathcal{B}^*(X) \cup \mathcal{M}(X)$. For every $m \in \mathbb{N}$ and $x \in X$ there exists a representation of x as an infinite concatenation of finite blocks: $x = \dots B_{-2}A_{-2}B_{-1}A_{-1}A_1B_1A_2B_2\dots$ (resp. $x = A_1B_1A_2B_2\dots$, in the case of a one-sided subshift), where all blocks B_j are \mathcal{U} -ergodic and have lengths larger than or equal to m (without an upper bound on the lengths) and the set $M^{\text{NE}}(x)$ of coordinates pertaining to the blocks A_j in this concatenation has Banach density equal to 0.*

Proof. We define the set $D_{\mathcal{U},m} = \{y \in X : \forall_{k \geq m} y|_{[0,k)} \notin \mathcal{U}\}$ and, for every point $x \in X$, we define the set $E_{\mathcal{U},m,x} = \{i : \sigma^i(x) \in D_{\mathcal{U},m}\}$. Observe that $D_{\mathcal{U},m} = \bigcap_{n \geq m} D_{\mathcal{U},m,n}$. Hence, for every $x \in X$ we have $E_{\mathcal{U},m,x} = \bigcap_{n \geq m} E_{\mathcal{U},m,n,x}$. So

$$\overline{d}_{\text{Ban}}(E_{\mathcal{U},m,x}) \leq \inf_{n \geq m} \overline{d}_{\text{Ban}}(E_{\mathcal{U},m,n,x}) = 0.$$

The construction of the required decomposition of an element $x \in X$ is a straightforward modification of that in the proof of Theorem 3.4, consisting in replacing the set $E_{\mathcal{U},m,n,x}$ by $E_{\mathcal{U},m,x}$. ■

In the special case, when $\mathcal{M}_\sigma(X)$ is a Bauer simplex, that is, the set of ergodic measures $\mathcal{M}_\sigma^{\text{erg}}(X)$ is closed in $\mathcal{M}(X)$, we will prove a stronger version of Theorem 3.4. The strengthening consists in replacing the phrase “there exists a representation of x as an infinite concatenation of finite blocks” with “for any representation of x as an infinite concatenation of sufficiently long blocks”. In the proof, we use the following two lemmas concerning compact, convex sets in locally-convex, metric vector spaces. Rather standard proofs of these lemmas are omitted.

Lemma 3.7. *Let \mathcal{M} be a compact, convex subset of a locally-convex, metric vector space \mathbb{V} and let d^* denote a convex metric on \mathbb{V} . Let μ_0 be an extreme point of \mathcal{M} . For every $\varepsilon > 0$ there exists $\gamma > 0$ such that for every $\mu = \int_{\mathcal{M}} \nu \, d\xi(\nu)$ for some Borel probability measure ξ on \mathcal{M} (that is, μ is a so-called barycenter of the measure ξ), the following implication holds:*

$$d^*(\mu, \mu_0) < \gamma \Rightarrow \xi(\mathcal{M} \setminus \text{Ball}(\mu_0, \varepsilon)) < \varepsilon.$$

When the set of extreme points of \mathcal{M} is closed, Lemma 3.7 may be strengthened as follows.

Lemma 3.8. *Let \mathcal{M} be a compact, convex subset of a locally-convex, metric vector space \mathbb{V} and let d^* denote a convex metric on \mathbb{V} . Assume that the set $\text{ex}(\mathcal{M})$ of extreme points of \mathcal{M} is closed. Then, for every $\varepsilon > 0$, there exists $\gamma > 0$ such that for every pair μ, μ_0 , where $\mu_0 \in \text{ex}(\mathcal{M})$ and $\mu = \int_{\mathcal{M}} \nu \, d\xi(\nu)$ for some Borel probability measure ξ on \mathcal{M} , the following implication is true:*

$$d^*(\mu, \mu_0) < \gamma \Rightarrow \xi(\mathcal{M} \setminus \text{Ball}(\mu_0, \varepsilon)) < \varepsilon.$$

Theorem 3.9. *Let (X, σ) be a classical symbolic system such that $\mathcal{M}_\sigma(X)$ is a Bauer simplex. Let $\mathcal{U} \supset \mathcal{M}_\sigma^{\text{erg}}(X)$ be an open set in $\mathcal{B}^*(X) \cup \mathcal{M}(X)$. Then, for every $\varepsilon > 0$, there exists $k_0 \in \mathbb{N}$ such that for every $x \in X$ and any decomposition $x = \dots C_{-2}C_{-1}C_1C_2 \dots$ (resp. $x = C_1C_2 \dots$ in the case of a one-sided subshift) into blocks C_j of lengths larger than or equal to k_0 and bounded from above by some $k \geq k_0$, the set of coordinates pertaining to nonergodic (i.e., which are not \mathcal{U} -ergodic) blocks C_j in the above concatenation has upper Banach density smaller than ε .*

Remark 3.10. It is worth mentioning that Theorem 3.9 applies to any partition of x into blocks of equal (sufficiently large) lengths.

Proof of Theorem 3.9. Choose an $\varepsilon > 0$. By compactness of $\mathcal{M}_\sigma^{\text{erg}}(X)$, without loss of generality, we can assume that $\mathcal{U} = \text{Ball}(\mathcal{M}_\sigma^{\text{erg}}(X), \rho)$ for some $\rho > 0$. Then we can also assume that $\varepsilon = \rho$.

Since $\mathcal{M}_\sigma^{\text{erg}}(X)$ is closed, Lemma 3.8 implies that there exists $0 < \gamma < \varepsilon$ such that, for each pair of measures $\mu_0 \in \mathcal{M}_\sigma^{\text{erg}}(X)$ and $\mu = \int_{\mathcal{M}_\sigma(X)} \nu \, d\xi(\nu)$, we have

$$d^*(\mu, \mu_0) < \gamma \Rightarrow \xi(\mathcal{M}_\sigma(X) \setminus \text{Ball}(\mu_0, \frac{\varepsilon}{2})) < \frac{\varepsilon}{2}.$$

By Theorem 2.2, there exists k_0 such that every block $C \in \mathcal{B}^*(X)$ of length at least k_0 satisfies $d^*(C, \mathcal{M}_\sigma(X)) < \frac{\gamma}{4}$. Choose some $k \geq k_0$ and $x \in X$. Fix some decomposition of x into blocks $x = \dots C_{-2}C_{-1}C_1C_2 \dots$ (resp. $x = C_1C_2C_3 \dots$, for a one-sided subshift), of lengths from the interval $[k_0, k]$. Let $m_0 = \lceil \frac{8k}{\gamma} \rceil$.

By Theorem 3.4, there exist n_0 and a representation $x = \dots B_{-1}A_{-1}A_1B_1 \dots$ (resp. $x = A_1B_1A_2B_2 \dots$, in the case of a one-sided subshift), such that the blocks B_i have lengths from the interval $[m_0, n_0]$ and satisfy the condition $d^*(B_i, \mathcal{M}_\sigma^{\text{erg}}(X)) < \frac{\gamma}{4}$, and $\bar{d}_{\text{Ban}}(M^{\text{NE}}(x)) < \frac{\varepsilon}{4}$. Let us denote by I_{A_i} (resp. I_{B_i}, I_{C_j}) the sets of coordinates pertaining to the block A_i (resp. B_i, C_j). We define the sets

$$\mathcal{J}_{B_i} = \{j : I_{C_j} \subset I_{B_i}\}, \quad \mathcal{J}_B = \bigcup_i \mathcal{J}_{B_i},$$

$$\mathcal{J}_{A_i} = \{j : I_{C_j} \cap I_{A_i} \neq \emptyset\}, \quad \mathcal{J}_A = \bigcup_i \mathcal{J}_{A_i}.$$

Furthermore, for each i we define \tilde{B}_i as the concatenation of the blocks C_j with $j \in \mathcal{J}_{B_i}$. The construction of the blocks \tilde{B}_i is presented in Figure 1.

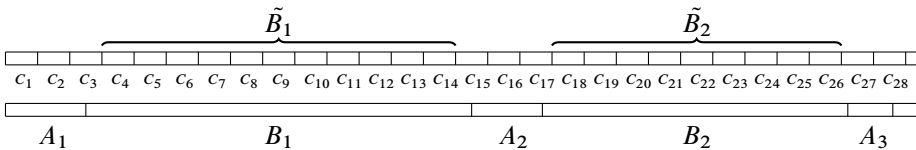


Figure 1. The construction of the blocks \tilde{B}_i .

Note that, for each i , we have

$$\frac{|\tilde{B}_i|}{|B_i|} \geq 1 - \frac{2k}{m_0} \geq 1 - \frac{\gamma}{4},$$

which implies that lower Banach density of the coordinates pertaining to the blocks \tilde{B}_i is larger than or equal to lower Banach density of the coordinates pertaining to the blocks B_i multiplied by $1 - \frac{\gamma}{4}$. Passing to the complements, we get

$$\bar{d}_{\text{Ban}}\left(\bigcup_{j \in \mathcal{J}_A} I_{C_j}\right) \leq \bar{d}_{\text{Ban}}(M^{\text{NE}}(x)) + \frac{\gamma}{4} < \frac{\varepsilon}{2}. \tag{3.2}$$

For every i we have

$$d^*(B_i, \tilde{B}_i) = \sum_{l \in \mathbb{N}} \frac{1}{2^l} \sum_{D \in \Lambda^l} |\text{Fr}_{B_i}(D) - \text{Fr}_{\tilde{B}_i}(D)| \leq \frac{2k}{m_0} \leq \frac{\gamma}{4}.$$

Additionally, for every i , we have $d^*(B_i, \mathcal{M}_\sigma^{\text{erg}}(X)) < \frac{\gamma}{4}$. Hence, there exists a measure $\mu_i \in \mathcal{M}_\sigma^{\text{erg}}(X)$ satisfying $d^*(\mu_i, B_i) < \frac{\gamma}{4}$. On the other hand, for every j , there exists a measure $\nu_j \in \mathcal{M}_\sigma(X)$ such that $d^*(C_j, \nu_j) < \frac{\gamma}{4}$. By Lemma 2.4, for every i , it is true that

$$d^*\left(\tilde{B}_i, \sum_{j \in \mathcal{J}_{B_i}} \frac{|C_j|}{|\tilde{B}_i|} \nu_j\right) < \frac{\gamma}{2}.$$

Thus, using the triangle inequality, we obtain

$$d^*\left(\mu_i, \sum_{j \in \mathcal{J}_{B_i}} \frac{|C_j|}{|\tilde{B}_i|} \nu_j\right) \leq d^*(\mu_i, B_i) + d^*(B_i, \tilde{B}_i) + d^*\left(\tilde{B}_i, \sum_{j \in \mathcal{J}_{B_i}} \frac{|C_j|}{|\tilde{B}_i|} \nu_j\right) < \gamma.$$

Clearly,

$$\sum_{j \in \mathcal{J}_{B_i}} \frac{|C_j|}{|\tilde{B}_i|} \nu_j = \int_{\mathcal{M}_\sigma(X)} \nu \, d\xi_i(\nu)$$

for the measure $\xi_i = \sum_{j \in \mathcal{J}_{B_i}} \frac{|C_j|}{|\tilde{B}_i|} \delta_{\nu_j}$. Observe that the sum of the coefficients $\frac{|C_j|}{|\tilde{B}_i|}$ over the indices j from the set

$$\left\{j \in \mathcal{J}_{B_i} : \nu_j \notin \text{Ball}\left(\mu_i, \frac{\varepsilon}{2}\right)\right\}$$

is equal to $\xi_i(\mathcal{M}_\sigma(X) \setminus \text{Ball}(\mu_i, \frac{\varepsilon}{2}))$. Therefore, by Lemma 3.8, it is smaller than $\frac{\varepsilon}{2}$.

We define the sets

$$\mathcal{J}_{B_i}^{\text{NE}} = \{j \in \mathcal{J}_{B_i} : C_j \notin \mathcal{U}\}, \quad \mathcal{J}_B^{\text{NE}} = \bigcup_i \mathcal{J}_{B_i}^{\text{NE}}.$$

In words, $\mathcal{J}_{B_i}^{\text{NE}}$ is the set of indices j such that C_j is a nonergodic block and $I_{C_j} \subset I_{B_i}$. Fix $j \in \mathcal{J}_{B_i}^{\text{NE}}$. Then $C_j \notin \mathcal{U}$, hence also $C_j \notin \text{Ball}(\mu_i, \varepsilon)$. Since $d^*(C_j, \nu_j) < \frac{\gamma}{4} < \frac{\varepsilon}{4}$, we have,

$$d^*(\nu_j, \mu_i) \geq d^*(C_j, \mu_i) - d^*(C_j, \nu_j) > \varepsilon - \frac{\varepsilon}{4} = \frac{3\varepsilon}{4} > \frac{\varepsilon}{2}.$$

Henceforth, for every i , the following inclusion holds:

$$\left\{ j \in \mathcal{J}_{B_i} : \nu_j \notin \text{Ball}\left(\mu_i, \frac{\varepsilon}{2}\right) \right\} \supset \mathcal{J}_{B_i}^{\text{NE}}.$$

Thus, for every i , it is true that

$$\sum_{j \in \mathcal{J}_{B_i}^{\text{NE}}} \frac{|C_j|}{|\tilde{B}_i|} < \frac{\varepsilon}{2}. \tag{3.3}$$

In words, the fraction of nonergodic blocks C_j in each block \tilde{B}_i is smaller than $\frac{\varepsilon}{2}$.

Let r belong to \mathbb{Z} (resp. \mathbb{N}_0 , for a one-sided subshift) and N belong to \mathbb{N} . We denote $\mathcal{I}_r^N = \{i : I_{\tilde{B}_i} \cap [r, r + N] \neq \emptyset\}$. Note that there are at most two blocks \tilde{B}_i , for which $I_{\tilde{B}_i} \not\subset [r, r + N]$ and $I_{\tilde{B}_i} \cap [r, r + N] \neq \emptyset$. Thus,

$$\sum_{i \in \mathcal{I}_r^N} |\tilde{B}_i| \leq N + 2n_0.$$

On account of that and by inequality (3.3), we get

$$\begin{aligned} \frac{1}{N} \left| \bigcup_{j \in \mathcal{J}_B^{\text{NE}}} I_{C_j} \cap [r, r + N] \right| &= \frac{1}{N} \sum_{i \in \mathcal{I}_r^N} \sum_{j \in \mathcal{J}_{B_i}^{\text{NE}}} |C_j| = \frac{1}{N} \sum_{i \in \mathcal{I}_r^N} |\tilde{B}_i| \sum_{j \in \mathcal{J}_{B_i}^{\text{NE}}} \frac{|C_j|}{|\tilde{B}_i|} \\ &< \frac{1}{N} (N + 2n_0) \frac{\varepsilon}{2} = \frac{\varepsilon}{2} + \frac{n_0}{N} \varepsilon. \end{aligned}$$

Thus, we have

$$\sup_r \frac{1}{N} \left| \bigcup_{j \in \mathcal{J}_B^{\text{NE}}} I_{C_j} \cap [r, r + N] \right| < \frac{\varepsilon}{2} + \frac{n_0}{N} \varepsilon,$$

which implies that

$$\bar{d}_{\text{Ban}} \left(\bigcup_{j \in \mathcal{J}_B^{\text{NE}}} I_{C_j} \right) \leq \frac{\varepsilon}{2}. \tag{3.4}$$

Let $\mathcal{J}^{\text{NE}} = \{j : C_j \notin \mathcal{U}\}$. Our goal is to show that $\bar{d}_{\text{Ban}}(\bigcup_{j \in \mathcal{J}^{\text{NE}}} I_{C_j}) < \varepsilon$. Obviously,

$$\bigcup_{j \in \mathcal{J}^{\text{NE}}} I_{C_j} \subset \bigcup_{j \in \mathcal{J}_A} I_{C_j} \cup \bigcup_{j \in \mathcal{J}_B^{\text{NE}}} I_{C_j}.$$

Therefore, by the inequalities (3.2) and (3.4), and subadditivity of the upper Banach density, we obtain

$$\bar{d}_{\text{Ban}} \left(\bigcup_{j \in \mathcal{J}^{\text{NE}}} I_{C_j} \right) \leq \bar{d}_{\text{Ban}} \left(\bigcup_{j \in \mathcal{J}_A} I_{C_j} \right) + \bar{d}_{\text{Ban}} \left(\bigcup_{j \in \mathcal{J}_B^{\text{NE}}} I_{C_j} \right) < \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon. \quad \blacksquare$$

4. Examples

We begin with two examples showing that sometimes the presence of a small fraction of nonergodic blocks in a decomposition as in Theorems 3.4, 3.5 and 3.6 is inevitable. The first (simple) example shows that for some $x \in \{0, 1\}^{\mathbb{N}_0}$ infinitely many nonergodic blocks must occur. Still, their upper Banach density in this example can be reduced to zero. The example concerns a one-sided symbolic element. A two-sided example can be easily produced by reflection about the coordinate zero.

Example 4.1. Define $B_0 = 01$, $B_1 = 0011$, $B_2 = 000111$, ..., $B_k = 0^{k+1}1^{k+1}$, ..., and put

$$x = B_0 B_1 B_0 B_1 B_2 B_1 B_0 B_1 B_2 B_3 B_2 B_1 B_0 B_1 B_2 B_3 \dots$$

We let X be the shift-orbit closure of x . It is easy to see that X supports only two ergodic measures, δ_0 and δ_1 , the Dirac measures supported at elements $\mathbf{0} = 000\dots$ and $\mathbf{1} = 111\dots$. Let \mathcal{U} (restricted to $\mathcal{B}^*(X)$) be defined by

$$B \in \mathcal{U} \iff |B| > 1 \quad \text{and} \quad \text{Fr}_B(\mathbf{0}) \notin \left[\frac{1}{5}, \frac{4}{5} \right].$$

It is not hard to see that any block B occurring in x in such a place that it has at least one coordinate common with an “explicit” occurrence of B_0 (we disregard here the “implicit” occurrences of B_0 in the centers of the blocks B_k , $k > 0$) does not belong to \mathcal{U} (see Figure 2).

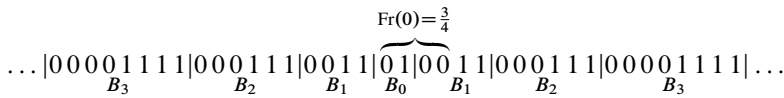


Figure 2. Among all blocks (of lengths larger than 1) having a common coordinate with an explicit B_0 , the largest frequency of zeros is $\frac{3}{4}$ and is achieved on the block B_000 . The smallest frequency of zeros is $\frac{1}{4}$ and is achieved on the block $11B_0$. Extending these blocks further to the right or left will bring the frequency of zeros closer to $\frac{1}{2}$.

Thus, in any decomposition of x as an infinite concatenation of finite blocks (of lengths bounded or not), there will be infinitely many nonergodic components. However, since these components are adjacent to the “explicit” occurrences of B_0 , their upper Banach density can be reduced to zero.

In the next (much more complicated) example, upper Banach density of nonergodic blocks in Theorem 3.4 cannot be reduced to zero. Since $\mathcal{M}_\sigma(X)$ in this example is a Bauer simplex, it also shows that assuming (as in Theorem 3.9) that $\mathcal{M}_\sigma^{\text{erg}}(X)$ is closed does not ensure that upper Banach density of nonergodic blocks is 0. The example concerns a two-sided symbolic element. A one-sided example can be easily produced by restriction to the nonnegative coordinates.

Example 4.2. In this example, x is a binary $\{0, 1\}$ -valued Toeplitz sequence. The standard construction of such a sequence consists in successively filling in periodic patterns of increasing periods until the entire sequence is filled. In this particular example, in step 1 we fill periodically two in every six places, as follows:

$$x = \dots * * * * 0 1 * * * * 0 1 * * * * \underline{0} 1 * * * * 0 1 * * * * 0 1 * * * * \dots$$

(the stars signify the places left to be filled in the following steps, the zero coordinate is marked by the underlined symbol). Abbreviating $0 1 = \bar{B}_0$ and $* * * * = \bar{*}$, the above structure of x becomes

$$x = \dots \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \underline{\bar{B}_0} \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \dots$$

In step 2, we fill all four empty spaces in each of the blocks $\bar{*}$ on either side of every tenth block \bar{B}_0 by placing there the block $B_1 = 0 0 1 1$ as follows:

$$x = \dots \bar{*} \bar{B}_0 \bar{B}_1 \underline{\bar{B}_0} \bar{B}_1 \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{B}_1 \bar{B}_0 \bar{B}_1 \bar{B}_0 \bar{*} \dots$$

Abbreviating $\bar{B}_0 \bar{B}_1 \bar{B}_0 \bar{B}_1 \bar{B}_0 = \bar{\bar{B}}_1$ and $\bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} \bar{B}_0 \bar{*} = \bar{\bar{*}}$, the above structure of x becomes

$$x = \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \underline{\bar{\bar{B}}_1} \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \dots$$

The block $\bar{\bar{*}}$ contains 8 blocks $\bar{*}$, each having 4 unfilled places. In step 3, on either side of every 18th block $\bar{\bar{B}}_1$, we fill all the unfilled places in $\bar{\bar{*}}$ consecutively by the symbols 0000 1 1 1 1 (repeated 4 times). In this manner, two out of 18 blocks $\bar{\bar{*}}$ are replaced by

$$B_2 = 0000 \bar{B}_0 1 1 1 1 \bar{B}_0 0000 \bar{B}_0 1 1 1 1 \bar{B}_0 0000 \bar{B}_0 1 1 1 1 \bar{B}_0 0000 \bar{B}_0 1 1 1 1.$$

After step 3, x has the following form:

$$x = \dots \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{B}}_2 \underline{\bar{\bar{B}}_1} \bar{\bar{B}}_2 \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \dots \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{B}}_2 \bar{\bar{B}}_1 \bar{\bar{B}}_2 \bar{\bar{B}}_1 \bar{\bar{*}} \dots,$$

where, in the central section, $\bar{\bar{*}}$ occurs 16 times and $\bar{\bar{B}}_1$ occurs 15 times. Abbreviating $\bar{\bar{B}}_1 \bar{\bar{B}}_2 \bar{\bar{B}}_1 \bar{\bar{B}}_2 \bar{\bar{B}}_1 = \bar{\bar{\bar{B}}}_2$ and

$$\bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} \bar{\bar{B}}_1 \bar{\bar{*}} = \bar{\bar{\bar{*}}},$$

the above structure of x becomes

$$x = \dots \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \underline{\bar{\bar{\bar{B}}}_2} \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \bar{\bar{\bar{B}}}_2 \bar{\bar{\bar{*}}} \dots$$

In step number k we will fill two out of $2 + 2^{k+1}$ blocks $\hat{*}$ (where $\hat{\cdot}$ stands for the stack of $k - 1$ bars), putting alternatively 2^{k-1} zeros and 2^{k-1} ones in the consecutive free slots (with this pattern repeated $2^{k-1} 2^{k-2} \dots 2^2$ times). We let $\hat{\bar{B}}_k$ be the maximal entirely filled continuous block and we let $\bar{\hat{*}}$ be the (partly unfilled) block between the occurrences

of \bar{B}_k . The density of unfilled positions after step k equals $\prod_{i=2}^k \frac{2^{i+1}}{2+2^{i+1}}$, which tends decreasingly to a positive number $d \approx 0.63$.

In each step, x is positioned so that the zero coordinate falls near the center of an occurrence of \bar{B}_k . Eventually, the entire sequence x is filled out. Then x is a bi-infinite Toeplitz sequence whose orbit-closure X has the following properties (we skip the standard proofs, see [2] for an exposition on Toeplitz subshifts):

- (1) In every $y \in X$ one can distinguish a periodic part $\text{Per}(y)$ (the positions filled in the construction steps) and the complementary aperiodic part $\text{Aper}(y)$ (the positions filled as a result of closing the orbit of x ; the aperiodic part may be empty).
- (2) For almost every (with respect to any invariant measure on X) element $y \in X$, we have $\text{dens}(\text{Aper}(y)) = d$ (here dens denotes the two-sided density of a subset of \mathbb{Z}).
- (3) For almost every $y \in X$, $\text{Aper}(y)$ is either entirely filled with zeros or entirely filled with ones.
- (4) X carries exactly two ergodic measures: μ_0 and μ_1 ; μ_0 is supported by such $y \in X$ that $\text{Aper}(y)$ is entirely filled with zeros, μ_1 is supported by such $y \in X$ that $\text{Aper}(y)$ is entirely filled with ones.
- (5) $\mu_0([0]) = \frac{1+d}{2}$, $\mu_0([1]) = \frac{1-d}{2}$ and $\mu_1([0]) = \frac{1-d}{2}$, $\mu_1([1]) = \frac{1+d}{2}$.

The last technical thing to observe is that for any $k \geq 1$, any subblock of \bar{B}_k of length larger than 1 that covers at least one of the two central positions 0 1 in \bar{B}_k has the frequency of zeros ranging between $\frac{1}{4}$ and $\frac{3}{4}$ (see Figure 3).

$$\dots | \underbrace{0000|01|1111|01|0011|01|0011|01|0000|01|1111}_{\text{Fr}(0)=\frac{3}{4}} | \dots$$

$\underbrace{\hspace{1.5em}}_{B_0} \quad \underbrace{\hspace{1.5em}}_{B_1} \quad \underbrace{\hspace{1.5em}}_{B_0} \quad \underbrace{\hspace{1.5em}}_{B_1} \quad \underbrace{\hspace{1.5em}}_{B_0}$

Figure 3. The figure shows the central part of \bar{B}_k , $k \geq 2$. Among all subblocks (of lengths larger than 1) of \bar{B}_k having a common coordinate with the central B_0 , the largest frequency of zeros is $\frac{3}{4}$ and is achieved on the block B_000 . The smallest frequency of zeros is $\frac{1}{4}$ and is achieved on the block $11B_0$. Extending these blocks further to the right or left will only bring the frequency of zeros closer to $\frac{1}{2}$.

Let us define \mathcal{U} (restricted to $\mathcal{B}^*(X)$) by the properties $|B| > 1$ and $\text{Fr}_B(0) \notin [\frac{1}{5}, \frac{4}{5}]$. Because $\frac{1-d}{2} < \frac{1}{5}$ and $\frac{1+d}{2} > \frac{4}{5}$, \mathcal{U} is an open neighbourhood of $\mathcal{M}_\sigma^{\text{erg}}(X)$. Suppose x is represented as an infinite concatenation of some blocks C_j ($j \in \mathbb{Z}$) of lengths bounded by some n . Let k be such that $n < \frac{1}{2}|\bar{B}_k|$. Then, in every occurrence of \bar{B}_k there is a block C_j not disjoint with the central B_0 , and this block is entirely covered by the \bar{B}_k . As we have noted above, either $|C_j| = 1$ or $\text{Fr}_{C_j}(0) \in [\frac{1}{4}, \frac{3}{4}]$. In either case $C_j \notin \mathcal{U}$, i.e., C_j is a nonergodic block. We have shown that each occurrence of \bar{B}_k in x contains a nonergodic block C_j . Since the explicit occurrences of \bar{B}_k are periodic, all occurrences of \bar{B}_k have

positive lower Banach density. This implies that nonergodic blocks C_j have positive lower Banach density as well.

Remark 4.3. The block B_k in the above example shows also that in Theorem 3.5 the presence of nonergodic subblocks is inevitable in any decomposition of a long block.

We end this section with an example showing that the assumption of compactness of the set $\mathcal{M}_\sigma^{\text{erg}}(X)$ in Theorem 3.9 is essential: there exist a subshift X with $\mathcal{M}_\sigma(X)$ not being a Bauer simplex and an element $x \in X$ such that, for any $n \geq 1$, there exists a representation of x as a concatenation of blocks with lengths bounded by n , such that upper Banach density of nonergodic blocks equals 1. The example concerns a one-sided subshift. A two-sided example can be obtained by reflection about the coordinate zero.

Example 4.4. Let $(B_k)_{k \in \mathbb{N}}$ be the sequence of blocks defined as follows: $B_1 = 111000$, $B_2 = 111111000000, \dots, B_k = 1^{3k}0^{3k}, \dots$. Let $x \in \{0, 1\}^{\mathbb{N}_0}$ be the following concatenation:

$$x = B_1 B_1 B_1 B_2 B_2 B_2 B_1 B_1 B_1 B_2 B_2 B_2 B_3 B_3 B_3 \dots \underbrace{B_1 \dots B_1}_{k \text{ times}} \underbrace{B_2 \dots B_2}_{k \text{ times}} \dots \underbrace{B_k \dots B_k}_{k \text{ times}} \dots$$

Let X be the orbit closure of x . It is easy to check that the only ergodic measures on X are δ_0 and δ_1 and the measures $\mu_k, k \in \mathbb{N}$ supported on the periodic orbits of the points $x_k = B_k B_k \dots$. Observe that the sequence $(\mu_k)_{k \in \mathbb{N}}$ converges in the weak- \star topology to the measure $\frac{1}{2}(\delta_0 + \delta_1) \notin \mathcal{M}_\sigma^{\text{erg}}(X)$. Consequently, the set $\mathcal{M}_\sigma^{\text{erg}}(X)$ is not closed in $\mathcal{M}(X)$. Moreover, every measure $\mu \in \mathcal{M}_\sigma^{\text{erg}}(X)$ satisfies $\mu([0]) \in \{0, \frac{1}{2}, 1\}$. Hence, the condition $\text{Fr}_B(0) \in [0, \frac{1}{5}) \cup (\frac{2}{5}, \frac{3}{5}) \cup (\frac{4}{5}, 1]$ defines an open neighbourhood \mathcal{U} of $\mathcal{M}_\sigma^{\text{erg}}(X)$ (restricted to the set $\mathcal{B}^*(X)$).

For every $m \in \mathbb{N}$, each number $n \geq (m - 1)m$ can be written as a combination $n = am + b(m + 1)$, where $a, b \in \mathbb{N}_0$. For a fixed $k \in \mathbb{N}$, let $n_1 \geq (3k - 1)3k$ be an initial coordinate of a series of repetitions of the blocks B_k in x , and denote by m_1 the terminal coordinate of that series. Because $n_1 + k - 1 \geq (3k - 1)3k$, we can decompose $x|_{[0, n_1 + k)}$ into blocks C_j of lengths $3k$ or $3k + 1$. Then, we divide $x|_{[n_1 + k, m_1 - 2k]}$ into blocks C_j of lengths equal to $3k$. Observe that these blocks have the form

$$C_j = \underbrace{11 \dots 1}_k \underbrace{000 \dots 000}_{2k} \quad \text{or} \quad C_j = \underbrace{00 \dots 0}_k \underbrace{111 \dots 111}_{2k}, \tag{4.1}$$

hence $\text{Fr}_{C_j}(0) \in \{\frac{1}{3}, \frac{2}{3}\}$. Therefore, these blocks C_j are nonergodic. Now, let $n_2 \geq m_1 + (3k - 1)3k$ and m_2 be the initial and terminal coordinates of another series of repetitions of the block B_k (note that this series is longer than the preceding one). It is possible to divide $x|_{[m_1 - 2k + 1, n_2 + k)}$ into blocks C_j of lengths $3k$ or $3k + 1$. Then we decompose $x|_{[n_2 + k, m_2 - 2k]}$ into blocks C_j of lengths $3k$. These blocks also have the form (4.1), hence are nonergodic. We continue the construction similarly for $s \geq 3$. Since $(m_s - 2k) - (n_s + k) \rightarrow +\infty$ as $s \rightarrow +\infty$, upper Banach density of the nonergodic blocks C_j is equal to 1.

5. Symbolic systems with an action of a countable amenable group

5.1. Amenable groups

In what follows, G denotes a countable (infinite), discrete group. All theorems provided in this subsection are standard and their proofs will be omitted.

Definition 5.1. Fix an $\varepsilon > 0$ and a finite subset $K \subset G$. A finite subset $F \subset G$ is called (K, ε) -invariant if it satisfies

$$\frac{|F \Delta KF|}{|F|} < \varepsilon.$$

If $K = \{g\}$ for some $g \in G$ then we say that F is (g, ε) -invariant.

Fact 5.2. Let ε be a positive number and let K, F be finite subsets of G .

- (a) If, for every $g \in K$, the set F is $(g, \frac{\varepsilon}{|K|})$ -invariant, then F is (K, ε) -invariant.
- (b) If F is (K, ε) -invariant, then for every $g \in K$, F is $(g, 2\varepsilon)$ -invariant.

Definition 5.3. A set $A \subset G$ is called an ε -modification of a finite set $B \subset G$ if

$$\frac{|A \Delta B|}{|B|} < \varepsilon.$$

If A is an ε -modification of B then B is an $(\frac{\varepsilon}{1-\varepsilon})$ -modification of A .

Definition 5.4. By a Følner sequence we mean a sequence $(F_n)_{n \in \mathbb{N}}$ of finite subsets of G , such that, for every $\varepsilon > 0$ and every finite set $K \subset G$, the sets F_n are eventually (i.e., for n large enough) (K, ε) -invariant.

Remark 5.5. Since G is infinite, any Følner sequence $(F_n)_{n \in \mathbb{N}}$ satisfies $\lim_{n \rightarrow +\infty} |F_n| = +\infty$.

Definition 5.6. A countable discrete group G is called *amenable* if it has a Følner sequence.

For other definitions of amenability and the proofs of their equivalence, see, e.g., [8].

Definition 5.7. Let $\mathcal{F}(G)$ denote the collection of all finite subsets of a countable group G and let A be any subset of G . The *upper Banach density* of A is defined as

$$\overline{d}_{\text{Ban}}(A) = \inf_{F \in \mathcal{F}(G)} \sup_{g \in G} \frac{|A \cap Fg|}{|F|}.$$

Similarly, we define the *lower Banach density* as

$$\underline{d}_{\text{Ban}}(A) = \sup_{F \in \mathcal{F}(G)} \inf_{g \in G} \frac{|A \cap Fg|}{|F|}.$$

If $\overline{d}_{\text{Ban}}(A) = \underline{d}_{\text{Ban}}(A)$, then we denote the common value by $d_{\text{Ban}}(A)$ and call it the *Banach density* of A .

Remark 5.8. Like in the case of subsets of \mathbb{Z} , we have $\overline{d}_{\text{Ban}}(A) = 1 - \underline{d}_{\text{Ban}}(A^c)$ for any $A \subset G$. The upper Banach density is subadditive, i.e., for every $A, B \subset G$ we have

$$\overline{d}_{\text{Ban}}(A \cup B) \leq \overline{d}_{\text{Ban}}(A) + \overline{d}_{\text{Ban}}(B).$$

Using the notion of a Følner sequence, we can provide equivalent formulas for upper and lower Banach densities in countable amenable groups. In the case of $G = \mathbb{Z}$ and $F_n = [0, n), n \in \mathbb{N}$, the formulas below coincide with those in Definition 2.5. The proof of the following theorem is provided, e.g., in [4, Lemma 2.9].

Theorem 5.9. *Let G be a countable amenable group and let $(F_n)_{n \in \mathbb{N}}$ be a Følner sequence in G . Then*

$$\begin{aligned} \overline{d}_{\text{Ban}}(A) &= \lim_{n \rightarrow +\infty} \sup_{g \in G} \frac{|A \cap F_n g|}{|F_n|}, \\ \underline{d}_{\text{Ban}}(A) &= \lim_{n \rightarrow +\infty} \inf_{g \in G} \frac{|A \cap F_n g|}{|F_n|}. \end{aligned}$$

By a topological dynamical system with an action of a group G we mean a pair (X, τ) , where X is a compact metric space and τ is a homomorphism from G to the group of all self-homeomorphisms of X with the operation of composition. For brevity, we will write $g(x)$ instead of $(\tau(g))(x)$. As before, we denote by $\mathcal{M}(X)$ the set of all Borel probability measures on X , by $\mathcal{M}_\tau(X) \subset \mathcal{M}(X)$ we denote the set of all τ -invariant measures on X (i.e., measures that are g -invariant for every $g \in G$) and by $\mathcal{M}_\tau^{\text{erg}}(X) \subset \mathcal{M}_\tau(X)$ we denote the set of all ergodic measures (i.e., measures such that $\mu(A) \in \{0, 1\}$ for all τ -invariant subsets $A \subset X$).

Theorem 5.10. *Let G be a countable amenable group and let $(F_n)_{n \in \mathbb{N}}$ be a Følner sequence in G . Fix a topological dynamical system (X, τ) with an action τ of G . Let $(\nu_n)_{n \in \mathbb{N}}$ be a sequence of Borel probability measures on X . We define the sequence of measures μ_n by*

$$\mu_n = \frac{1}{|F_n|} \sum_{g \in F_n} g(\nu_n),$$

where $(g(\nu_n))(A) = \nu_n(g^{-1}(A))$ for every Borel set $A \subset X$. Then $(\mu_n)_{n \in \mathbb{N}}$ has a subsequence converging, in the weak- \star topology, to a τ -invariant measure μ .

Corollary 5.11. *If (X, τ) is a topological dynamical system with an action of a countable amenable group G , then the set $\mathcal{M}_\tau(X)$ is nonempty.*

Now we formulate a generalization of Lemma 2.8.

Lemma 5.12. *Let (X, τ) be a topological dynamical system with an action of a countable amenable group G and let $D \subset X$ be a closed set. The following inequality holds:*

$$\sup_{\mu \in \mathcal{M}_\tau(X)} \mu(D) \geq \sup_{x \in X} \overline{d}_{\text{Ban}}(\{g \in G : g(x) \in D\}).$$

5.2. G -subshifts

Let G be a countable group and let Λ be a finite, discrete space (an alphabet). Let us consider the space Λ^G . For every $g \in G$ we define the transformation $\sigma(g): \Lambda^G \rightarrow \Lambda^G$ given by

$$((\sigma(g))(x))(h) = x(hg), \quad h \in G.$$

Then σ is an action of G on Λ^G . As in the previous subsection, we will write $g(x)$ instead of $(\sigma(g))(x)$. By a symbolic system with the action of G (a G -subshift) we mean any nonempty set $X \subset \Lambda^G$ that is closed and σ -invariant.

Let $K \subset G$ be a finite set. By a *block with domain K* we mean an element $C \in \Lambda^K$. For two blocks $C \in \Lambda^K$ and $C' \in \Lambda^{Kg}$ for some $g \in G$ we write $C \approx C'$ if for every $k \in K$ we have $C(k) = C'(kg)$. If for some $x \in X$ and $g \in G$ we have $x|_{Kg} \approx C$, then we say that the block C *occurs in x* . By $\mathcal{B}^*(X)$ we denote the set of all finite blocks occurring in points $x \in X$. Similarly, if for a finite set $F \subset G$ and an element $B \in \Lambda^F$, there exists $g \in G$ such that $Kg \subset F$ and $B|_{Kg} \approx C$, then we say that the block C occurs in B .

Definition 5.13. Let $K, F \subset G$ be finite sets. The K -core of F is the set

$$F_K = \{g \in F: Kg \subset F\}.$$

The following property of a K -core will be useful later in Section 6 (for the proof see, e.g., [4, Lemma 2.6]).

Lemma 5.14. Let $K, F \subset G$ be finite sets. If F is (K, ε) -invariant, then $\frac{|F_K|}{|F|} > 1 - \varepsilon|K|$.

Using the notion of a core, we can define the frequency of occurrences of one block in another.

Definition 5.15. Let $K, F \subset G$ be finite sets and let $C \in \Lambda^K$, and $B \in \Lambda^F$. The *frequency of occurrences of the block C in the block B* is the number

$$\text{Fr}_B(C) = \frac{|\{g \in F_K: B|_{Kg} \approx C\}|}{|F|}.$$

Remark 5.16. If for finite sets $K, F \subset G$ and every $g \in F$ we have $Kg \not\subset F$, then $F_K = \emptyset$, hence for any $C \in \Lambda^K$ and $B \in \Lambda^F$ we have $\text{Fr}_B(C) = 0$.

At this point we enumerate the collection $\mathcal{F}(G)$ of all (countably many) finite subsets of G , getting a sequence $(K_l)_{l \in \mathbb{N}}$. With the help of this sequence, we can define a pseudometric on the set $\mathcal{B}^*(X)$ as follows:

$$d^*(B_1, B_2) = \sum_{l=1}^{+\infty} \frac{2^{-l}}{(|K_l| + 2)} \sum_{C \in \Lambda^{K_l}} |\text{Fr}_{B_1}(C) - \text{Fr}_{B_2}(C)|, \quad B_1, B_2 \in \mathcal{B}^*(X). \quad (5.1)$$

The denominator $(|K_l| + 2)$ has been included for purely technical reasons (it is used in the proof of Lemma 5.18). Observe that $d^*(B, B') = 0$ if and only if $B \approx B'$. For

every finite set $K \subset G$, with each block $C \in \Lambda^K$ we associate the cylinder $[C] = \{x \in X : x|_K = C\}$. Since characteristic functions of cylinders associated with blocks are linearly dense in the Banach space of all continuous functions on X , the formula

$$d^*(\mu_1, \mu_2) = \sum_{l=1}^{+\infty} \frac{2^{-l}}{(|K_l| + 2)} \sum_{C \in \Lambda^{K_l}} |\mu_1([C]) - \mu_2([C])|, \quad \mu_1, \mu_2 \in \mathcal{M}(X) \quad (5.2)$$

defines a metric on $\mathcal{M}(X)$, compatible with the weak- \star topology. We also define a distance between a block and a measure by

$$d^*(B, \mu) = \sum_{l=1}^{+\infty} \frac{2^{-l}}{(|K_l| + 2)} \sum_{C \in \Lambda^{K_l}} |\text{Fr}_B(C) - \mu([C])|, \quad B \in \mathcal{B}^*(X), \mu \in \mathcal{M}(X). \quad (5.3)$$

Equations (5.1), (5.2) and (5.3) define a pseudometric on the set $\mathcal{B}^*(X) \cup \mathcal{M}(X)$, which is a metric on the set $(\mathcal{B}^*(X)/\approx) \cup \mathcal{M}(X)$. The following theorem is a straightforward generalization of Theorem 2.2.

Theorem 5.17. *Let $(F_n)_{n \in \mathbb{N}}$ be a Følner sequence in a countable amenable group G . For every $\varepsilon > 0$ there exists $n_0 \in \mathbb{N}$ such that for every $n \geq n_0$ and every block $B \in \Lambda^{F_n}$ occurring in some $x \in X$, the following inequality holds:*

$$d^*(B, \mathcal{M}_\sigma(X)) < \varepsilon.$$

The next lemma will be used in Section 6. Although it is intuitively obvious, its rigorous proof is unexpectedly technical, hence we provide it whole.

Lemma 5.18. *If $F, H \subset G$ are finite and H is an ε -modification of F , $\varepsilon > 0$, then, for every $x \in X$, we have*

$$d^*(x|_F, x|_H) < \varepsilon.$$

Proof. Let $K \subset G$ be a finite set. First, we estimate the cardinality of the set $F_K \Delta H_K$. Observe that $F_K \Delta H_K \subset K^{-1}(F \Delta H)$. Indeed, if $g \in F_K \setminus H_K$, then $kg \in F$ for all $k \in K$ and either $g \notin H$ or there exists $k_0 \in K$ satisfying $k_0g \notin H$, which implies $g \in (K \cup \{e\})^{-1}(F \setminus H)$ (by symmetry, $g \in H_K \setminus F_K$ implies $g \in (K \cup \{e\})^{-1}(H \setminus F)$). Thus, $|F_K \Delta H_K| \leq (|K| + 1) \cdot |F \Delta H|$.

An occurrence $x|_{Kg} \approx C$ of a block $C \in \Lambda^K$ in x is accounted in the computation of $\text{Fr}_{x|_F}(C)$ and not accounted in the computation of $\text{Fr}_{x|_H}(C)$, or vice versa, if and only if $g \in F_K \Delta H_K$. Henceforth, the following inequality holds:

$$\sum_{C \in \Lambda^K} \left| |H| \text{Fr}_{x|_H}(C) - |F| \text{Fr}_{x|_F}(C) \right| \leq (|K| + 1) |F \Delta H| < (|K| + 1) |F| \varepsilon.$$

Therefore, we obtain

$$\begin{aligned}
 d^*(x|_F, x|_H) &= \sum_{l=1}^{+\infty} \frac{2^{-l}}{|K_l| + 2} \sum_{C \in \Lambda^{K_l}} |\text{Fr}_{x|_H}(C) - \text{Fr}_{x|_F}(C)| \leq \sum_{l=1}^{+\infty} \frac{2^{-l}}{|K_l| + 2} \\
 &\quad \times \sum_{C \in \Lambda^{K_l}} \left(\left| \text{Fr}_{x|_H}(C) - \frac{|H|}{|F|} \text{Fr}_{x|_H}(C) \right| + \left| \frac{|H|}{|F|} \text{Fr}_{x|_H}(C) - \text{Fr}_{x|_F}(C) \right| \right) \\
 &\leq \sum_{l=1}^{+\infty} \frac{2^{-l}}{|K_l| + 2} \left(\left| 1 - \frac{|H|}{|F|} \right| + (|K_l| + 1)\varepsilon \right).
 \end{aligned}$$

Since H is an ε -modification of F , we have $|1 - \frac{|H|}{|F|}| < \varepsilon$, implying $d^*(x|_F, x|_H) < \varepsilon$ as claimed. ■

We finish this subsection with the definition of an (F_n) -generic element for an invariant measure, which, in the case $\mathbb{Z} = G$ and $F_n = [0, n)$, reduces to Definition 2.3.

Definition 5.19. Let $(F_n)_{n \in \mathbb{N}}$ be a Følner sequence in a countable amenable group G . A symbolic element $x \in X \subset \Lambda^G$ is called (F_n) -generic ((F_n) -quasigeneric) for a measure $\mu \in \mathcal{M}_\sigma(X)$ if the sequence (some subsequence of the sequence) of the blocks $(x|_{F_n})_{n \in \mathbb{N}}$ converges to the measure μ in the pseudometric d^* on $\mathcal{B}^*(X) \cup \mathcal{M}(X)$.

5.3. Tilings of countable amenable groups

The aim of this subsection is to provide the necessary background concerning the theory of tilings, playing an instrumental role in generalizations of theorems from Section 3 to the case of countable amenable groups.

Definition 5.20. Let G be a countable group. A tiling is a partition \mathcal{T} of G into finite, pairwise disjoint subsets $T \in \mathcal{T}$ (called the *tiles*), such that there exists a finite collection \mathcal{S} (called the *collection of shapes*) of finite sets S (not necessarily all different), each of them containing the unit e of G , such that every $T \in \mathcal{T}$ has a form $T = Sc$ for some $S \in \mathcal{S}$ and $c \in T$.

Given a tiling \mathcal{T} , for every tile $T \in \mathcal{T}$ we choose one pair (S, c) , where $S \in \mathcal{S}$ and $c \in T$ such that $T = Sc$. We call S the *shape of the tile* T and c the *center of the tile* T . Every tiling \mathcal{T} can be represented as a symbolic element (also denoted by the same letter \mathcal{T}) over the alphabet $V = \{“S” : S \in \mathcal{S}\} \cup \{“0”\}$ as follows:

$$\mathcal{T}(g) = \begin{cases} “S” & \text{if } g \text{ is a center of a tile with the shape } S, \\ “0” & \text{otherwise.} \end{cases}$$

Definition 5.21. Let \mathcal{S} be a collection of shapes and let

$$V = \{“S” : S \in \mathcal{S}\} \cup \{“0”\}.$$

A *dynamical tiling* is a closed and shift-invariant set $T \subset V^G$ consisting of tilings.

Needless to say, the orbit closure of any tiling \mathcal{T} is a dynamical tiling.

Definition 5.22. Let $(\mathbb{T}_k)_{k \in \mathbb{N}}$ be a sequence of dynamical tilings. A *system of dynamical tilings* is a topological joining $(\mathbf{T}, \sigma) = \bigvee_{k \in \mathbb{N}} (\mathbb{T}_k, \sigma)$, i.e., \mathbf{T} is a closed, σ -invariant subset of the product $\prod_{k \in \mathbb{N}} \mathbb{T}_k$, where σ is defined by $(\sigma(g))(\mathcal{T}_1, \mathcal{T}_2, \dots) = ((\sigma(g))(\mathcal{T}_1), (\sigma(g))(\mathcal{T}_2), \dots)$. For brevity, a system of dynamical tilings will be sometimes denoted by $\mathbf{T} = \bigvee_{k \in \mathbb{N}} \mathbb{T}_k$ and instead of $(\sigma(g))(\mathcal{T})$ we will write $g(\mathcal{T})$, $g \in G$.

Definition 5.23. Let $\mathbf{T} = \bigvee_{k \in \mathbb{N}} \mathbb{T}_k$ be a system of dynamical tilings of G and let \mathcal{S}_k denote the collection of shapes of \mathbb{T}_k . We say that the system of tilings \mathbf{T} is:

- (1) *Følner*, if the collection of shapes $\bigcup_{k \in \mathbb{N}} \mathcal{S}_k$ arranged in a sequence is a Følner sequence;
- (2) *congruent*, if for every $\mathcal{T} = (\mathcal{T}_k)_{k \in \mathbb{N}} \in \mathbf{T}$ and each $k \in \mathbb{N}$, every tile $T \in \mathcal{T}_{k+1}$ is a union of some tiles of \mathcal{T}_k ;
- (3) *deterministic*, if it is congruent and for every $k \in \mathbb{N}$ and every shape $S' \in \mathcal{S}_{k+1}$, there exist sets $C_S(S')$ indexed by the shapes $S \in \mathcal{S}_k$, such that

$$S' = \bigcup_{S \in \mathcal{S}_k} \bigcup_{c \in C_S(S')} Sc,$$

and for each $\mathcal{T} = (\mathcal{T}_l)_{l \in \mathbb{N}} \in \mathbf{T}$, if $S'c'$ is a tile of \mathcal{T}_{k+1} , then for every $S \in \mathcal{S}_k$ and $c \in C_S(S')$, the set $Sc c'$ is a tile of \mathcal{T}_k .

A deterministic system \mathbf{T} of dynamical tilings has the property that for every $\mathcal{T} = (\mathcal{T}_k)_{k \in \mathbb{N}} \in \mathbf{T}$ and $k \in \mathbb{N}$, each tiling \mathcal{T}_k uniquely determines the tilings $\mathcal{T}_{k'}$ for $k' \leq k$.

The following useful theorem can be found in [4, Theorem 5.2].

Theorem 5.24. *For every countable amenable group G there exists a Følner, deterministic system of dynamical tilings of G .*

We finish this section by providing a simplified version of [4, Lemma 3.4] and [5, Lemma 4.15], concerning the lower Banach density in the context of a fixed tiling \mathcal{T} .

Lemma 5.25. *Let \mathcal{T} be a tiling of a countable group G . If a subset $A \subset G$ satisfies $\frac{|T \cap A|}{|T|} \geq 1 - \varepsilon$ for every tile $T \in \mathcal{T}$ and some $\varepsilon > 0$, then $\underline{d}_{\text{Ban}}(A) \geq 1 - \varepsilon$.*

6. Decomposition of a symbolic element over G into ergodic blocks

This section contains generalizations of theorems from Section 3 to the case of symbolic systems with the action of a countable amenable group. In what follows, G denotes a countable amenable group, (X, σ) denotes a symbolic system with the shift action σ of G and $\mathbf{T} = \bigvee_{k \in \mathbb{N}} \mathbb{T}_k$ is a Følner, deterministic system of dynamical tilings of G . We let \mathcal{S}_k denote the collection of shapes of \mathbb{T}_k . We define $X = X \times \mathbf{T}$. On the space X we will consider actions of two groups, $G \times G$, given by $(g, h)(x, \mathcal{T}) = (g(x), h(\mathcal{T}))$, and G ,

given by $g(x, \mathcal{T}) = (g(x), g(\mathcal{T}))$. By $\mathcal{M}_{(G \times G)}(X)$ we will denote the set of $(G \times G)$ -invariant measures, i.e., measures on X that are (g, h) -invariant for every $(g, h) \in G \times G$, whereas $\mathcal{M}_G(X)$ will stand for the set of G -invariant measures, i.e., measures on X that are (g, g) -invariant for every $g \in G$.

For a fixed $\mathcal{T} = (\mathcal{T}_k)_{k \in \mathbb{N}} \in \mathbf{T}$ and $g \in G$, by $T_k^g(\mathcal{T})$ we will denote the unique tile $T \in \mathcal{T}_k$ such that $g \in T$. In particular, by $T_k^e(\mathcal{T})$ we will denote the *central* tile $T \in \mathcal{T}_k$ containing the unit e . The simplified notation T_k^g in place of $T_k^g(\mathcal{T})$ always refers to the last sequence of tilings \mathcal{T} mentioned in the text prior to the discussed T_k^g .

We begin with a series of lemmas. The first lemma treats about disintegrations of $(G \times G)$ -invariant measures. For details of the theory of disintegration of measures, we refer the reader, e.g., to [6].

Lemma 6.1. *If μ is a $(G \times G)$ -invariant measure on X and $\{\mu_{\mathcal{T}} : \mathcal{T} \in \mathbf{T}\}$ is a disintegration of μ with respect to the marginal measure $\mu_{\mathbf{T}}$ on \mathbf{T} , then for $\mu_{\mathbf{T}}$ -almost every $\mathcal{T} \in \mathbf{T}$, $\mu_{\mathcal{T}}$ is a σ -invariant measure on X .*

Proof. Let $g \in G$ be fixed. By the definition of a disintegration of a measure, for every measurable function Φ on X we have

$$\int_{X \times \mathbf{T}} \Phi(x, \mathcal{T}) \, d\mu(x, \mathcal{T}) = \int_{\mathbf{T}} \int_X \Phi(x, \mathcal{T}) \, d\mu_{\mathcal{T}}(x) \, d\mu_{\mathbf{T}}(\mathcal{T}).$$

Using the $(G \times G)$ -invariance of μ , we obtain

$$\begin{aligned} \int_{X \times \mathbf{T}} \Phi(x, \mathcal{T}) \, d\mu(x, \mathcal{T}) &= \int_{X \times \mathbf{T}} \Phi(g(x), e(\mathcal{T})) \, d\mu(x, \mathcal{T}) \\ &= \int_{\mathbf{T}} \int_X \Phi(g(x), \mathcal{T}) \, d\mu_{\mathcal{T}}(x) \, d\mu_{\mathbf{T}}(\mathcal{T}) \\ &= \int_{\mathbf{T}} \int_X \Phi(y, \mathcal{T}) \, d\mu_{\mathcal{T}}(g^{-1}(y)) \, d\mu_{\mathbf{T}}(\mathcal{T}) \\ &= \int_{\mathbf{T}} \int_X \Phi(y, \mathcal{T}) \, d(g(\mu_{\mathcal{T}}))(y) \, d\mu_{\mathbf{T}}(\mathcal{T}). \end{aligned}$$

We have shown that $\mathcal{T} \mapsto g(\mu_{\mathcal{T}})$ is also a disintegration of μ . By uniqueness of the disintegration, the equality $\mu_{\mathcal{T}} = g(\mu_{\mathcal{T}})$ holds for $\mu_{\mathbf{T}}$ -almost every \mathcal{T} . Since there are countably many elements $g \in G$, for $\mu_{\mathbf{T}}$ -almost every \mathcal{T} the measure $\mu_{\mathcal{T}}$ is σ -invariant. ■

The next two lemmas are analogs of Lemmas 3.2 and 3.3 from Section 3.

Lemma 6.2. *Let $\mathcal{U} \subset \mathcal{M}_{\sigma}^{\text{erg}}(X)$ be an open set in $\mathcal{B}^*(X) \cup \mathcal{M}_{\sigma}(X)$ and let $m \in \mathbb{N}$. The set*

$$D_{\mathcal{U}, m} = \{(x, \mathcal{T}) \in X : \forall_{k \geq m} x|_{T_k^e} \notin \mathcal{U}\}$$

is a null set for every $(G \times G)$ -invariant measure on X .

Proof. It is not hard to see that $D_{\mathcal{U},m}$ is a Borel (in fact closed) subset of X . Suppose that for some $(G \times G)$ -invariant measure μ on X , we have $\mu(D_{\mathcal{U},m}) > 0$. Let $\{\mu_{\mathcal{T}} : \mathcal{T} \in \mathbf{T}\}$ be the disintegration of the measure μ with respect to $\mu_{\mathbf{T}}$. Then, the following holds:

$$0 < \mu(D_{\mathcal{U},m}) = \int_{\mathbf{T}} \int_X \mathbf{1}_{D_{\mathcal{U},m}}(x, \mathcal{T}) \, d\mu_{\mathcal{T}}(x) \, d\mu_{\mathbf{T}}(\mathcal{T}).$$

By Lemma 6.1, $\mu_{\mathbf{T}}$ -almost all measures $\mu_{\mathcal{T}}$ are σ -invariant. Hence, there exists $\mathcal{T} \in \mathbf{T}$ such that both

$$\int_X \mathbf{1}_{D_{\mathcal{U},m}}(x, \mathcal{T}) \, d\mu_{\mathcal{T}}(x) > 0$$

and the measure $\mu_{\mathcal{T}}$ is σ -invariant. We have shown that the set

$$D_{\mathcal{U},m,\mathcal{T}} = \{x \in X : \forall k \geq m \, x|_{T_k^e} \notin \mathcal{U}\}$$

has positive measure for a σ -invariant measure. Thus, there also exists an ergodic measure μ_0 on X such that $\mu_0(D_{\mathcal{U},m,\mathcal{T}}) > 0$. Hence, by the ergodic theorem, there exists an element $x \in D_{\mathcal{U},m,\mathcal{T}}$ that is quasigeneric² for μ_0 , along the Følner sequence $(T_k^e)_{k \in \mathbb{N}}$ consisting of the central tiles of \mathcal{T} . So, there exists $k \geq m$ such that $x|_{T_k^e} \in \mathcal{U}$, which stands in contradiction with the definition of $D_{\mathcal{U},m,\mathcal{T}}$. ■

Lemma 6.3. *Let $\mathcal{U} \supset \mathcal{M}_{\sigma}^{\text{erg}}(X)$ be an open set in $\mathcal{B}^*(X) \cup \mathcal{M}(X)$ and let $m \in \mathbb{N}$. For every $n \geq m$ we define the set*

$$D_{\mathcal{U},m,n} = \{(x, \mathcal{T}) \in X : \forall m \leq k \leq n \, x|_{T_k^e} \notin \mathcal{U}\}.$$

Furthermore, for every pair $(x, \mathcal{T}) \in X$ we define

$$E_{\mathcal{U},m,n,x,\mathcal{T}} = \{(g, h) \in G \times G : (g(x), h(\mathcal{T})) \in D_{\mathcal{U},m,n}\}.$$

Then the convergence $\lim_{n \rightarrow +\infty} \bar{d}_{\text{Ban}}(E_{\mathcal{U},m,n,x,\mathcal{T}}) = 0$ holds uniformly on X , where the upper Banach density is calculated in $G \times G$.

Proof. Clearly, the sets $D_{\mathcal{U},m,n}$ form a nested sequence with respect to n . Hence, also, for each $(x, \mathcal{T}) \in X$, the sets $E_{\mathcal{U},m,n,x,\mathcal{T}}$ form a nested sequence. Thus, the sequence of numbers $(\bar{d}_{\text{Ban}}(E_{\mathcal{U},m,n,x,\mathcal{T}}))_{n \in \mathbb{N}}$ is nonincreasing. Observe that

$$D_{\mathcal{U},m,n} = \bigcap_{i=m}^n \bigcup_{S \in \mathcal{S}_i} \bigcup_{s \in S} \{x \in X : x|_{Ss^{-1}} \notin \mathcal{U}\} \times \{\mathcal{T} \in \mathbf{T} : T_k^e = Ss^{-1}\}$$

²The existence of an (F_n) -quasigeneric element for an ergodic measure can be deduced from Lindenstrauss' ergodic theorem (see [7]). It also follows from the much more elementary mean ergodic theorem combined with the fact that any sequence of functions convergent in measure has an almost-everywhere convergent subsequence.

is a clopen subset of X . Therefore, the characteristic functions $\mathbf{1}_{D_{\mathcal{U},m,n}}$ are continuous on X and, consequently, for every $n \geq m$, the function $\mu \mapsto \Phi_{\mathcal{U},m,n}(\mu) = \mu(D_{\mathcal{U},m,n})$ is continuous on $\mathcal{M}_{(G \times G)}(X)$. Moreover, the descending intersection $\bigcap_{n \geq m} D_{\mathcal{U},m,n} = D_{\mathcal{U},m}$ is, by Lemma 6.2, a null set for every $(G \times G)$ -invariant measure. Thereupon, by the continuity of measures from above, the sequence $(\Phi_{\mathcal{U},m,n})_{n \geq m}$ converges to the constant function equal to 0, pointwise, on the compact set $\mathcal{M}_{(G \times G)}(X)$. Since the sequence $(\Phi_{\mathcal{U},m,n})_{n \geq m}$ is nonincreasing, by Dini's theorem, it converges to 0 uniformly on $\mathcal{M}_{(G \times G)}(X)$. Thus,

$$\lim_{n \rightarrow +\infty} \sup \{ \mu(D_{\mathcal{U},m,n}) : \mu \in \mathcal{M}_{(G \times G)}(X) \} = 0.$$

By Lemma 5.12, this implies that $\sup_{(x, \mathcal{T}) \in X} \bar{d}_{\text{Ban}}(\mathbf{E}_{\mathcal{U},m,n,x}, \mathcal{T})$ tends to 0 as $n \rightarrow +\infty$, hence the sequence of functions $(x, \mathcal{T}) \mapsto \bar{d}_{\text{Ban}}(\mathbf{E}_{\mathcal{U},m,n,x}, \mathcal{T})$ converges to 0 as $n \rightarrow +\infty$ uniformly on X . ■

In the proof of the main theorem of this section (i.e., Theorem 6.5) we use also the following technical lemma.

Lemma 6.4. *Let $K \subset G$ be a finite set and let $F \subset G$ be $(K, \frac{\varepsilon}{2})$ -invariant. Then the set*

$$L = \bigcup_{f \in F} \{(g, gf) : g \in K\} \tag{6.1}$$

is an ε -modification of the set $K \times F \subset G \times G$.

Proof. Observe (see Figure 4) that

$$L = \bigcup_{g \in K} \{g\} \times gF. \tag{6.2}$$

Since F is $(K, \frac{\varepsilon}{2})$ -invariant, by Fact 5.2 (b), it is (g, ε) -invariant for all $g \in K$. Hence,

$$|L \Delta (K \times F)| \leq \left| \bigcup_{g \in K} ((\{g\} \times gF) \Delta (\{g\} \times F)) \right| \leq \sum_{g \in K} |gF \Delta F| < |K| |F| \varepsilon,$$

and consequently,

$$\frac{|L \Delta (K \times F)|}{|K \times F|} < \varepsilon,$$

what was to be shown. ■

Now we will formulate and prove the generalization of Theorem 3.4 to the case of symbolic systems with the action of a countable amenable group G . We continue to work in the setup introduced at the beginning of this section. Moreover, to abbreviate the notation, for a fixed $x \in X$ and a neighbourhood \mathcal{U} of the set $\mathcal{M}_\sigma^{\text{erg}}(X)$, we will say that a tile $Q = Sc$, where $S \in \mathcal{S}_k, k \in \mathbb{N}$, and $c \in G$, is \mathcal{U} -ergodic if $x|_Q \in \mathcal{U}$. Tiles that are not \mathcal{U} -ergodic will be called shortly *nonergodic*.

Theorem 6.5. *Let $\mathcal{U} \supset \mathcal{M}_\sigma^{\text{erg}}(X)$ be an open set in $\mathcal{B}^*(X) \cup \mathcal{M}(X)$, and let $m \in \mathbb{N}$. Then, for every $\varepsilon > 0$, there exists $n \geq m$ such that, for every $x \in X$, there exists a collection \mathcal{Q} of pairwise disjoint \mathcal{U} -ergodic tiles whose shapes belong to $\bigcup_{k=m}^n S_k$, such that $\bigcup_{Q \in \mathcal{Q}} Q$ has lower Banach density in G at least $1 - \varepsilon$.*

Proof. Let $\varepsilon > 0$ and $m \in \mathbb{N}$ be fixed. By Lemma 6.3, there exists $n \geq m$ such that for all $(x, \mathcal{T}) \in X$ we have $\bar{d}_{\text{Ban}}(\mathbf{E}\mathcal{U}_{m,n,x,\mathcal{T}}) < \frac{\varepsilon}{4}$, where the upper Banach density is calculated in $G \times G$. We denote $K = \bigcup_{k=m}^n \bigcup_{S \in \mathcal{S}_k} S$ and choose l_0 such that, for all $l \geq l_0$, each shape $S \in \mathcal{S}_l$ is $(KK^{-1}, \frac{\varepsilon}{2|K|^2})$ -invariant. For every $l \geq l_0$ we put $\mathcal{S}_l = \{S \times S' : S, S' \in \mathcal{S}_l\}$. Note that the union $\bigcup_{l \geq l_0} \mathcal{S}_l$, arranged in a sequence, is a Følner sequence in $G \times G$. Thus, enlarging if necessary l_0 and using Theorem 5.9, we can assume that for all sets $S \times S' \in \mathcal{S}_{l_0}$, the following estimation is true:

$$\sup_{(g,h) \in G \times G} \frac{|\mathbf{E}\mathcal{U}_{m,n,x,\mathcal{T}} \cap (Sg \times S'h)|}{|S||S'|} < \frac{\varepsilon}{4}. \tag{6.3}$$

We fix a tile $T \in \mathcal{T}_{l_0}$. Let $S \in \mathcal{S}_{l_0}$ be the shape of T , and let c be its center. By equation (6.3), for every $h \in G$ we have

$$\frac{\varepsilon}{4}|T||S'| > |\mathbf{E}\mathcal{U}_{m,n,x,\mathcal{T}} \cap (Sc \times S'h)| = |\mathbf{E}\mathcal{U}_{m,n,x,\mathcal{T}} \cap (T \times S'h)|. \tag{6.4}$$

We now choose a shape $\hat{S}(T) \in \bigcup_{l \geq l_0+1} \mathcal{S}_l$ that is $(T, \frac{\varepsilon}{8})$ -invariant (we point out that, unless G is abelian, $(S, \frac{\varepsilon}{8})$ -invariance is insufficient in the forthcoming argument). Since \mathbf{T} is a deterministic system of tilings, $\hat{S}(T)$ is a union of disjoint shapes belonging to \mathcal{S}_{l_0} : $\hat{S}(T) = \bigcup_{j=1}^p S_j c_j$, where $S_j \in \mathcal{S}_{l_0}$ and c_j are some elements of G . Thus, from equation (6.4) it follows that

$$\frac{|\mathbf{E}\mathcal{U}_{m,n,x,\mathcal{T}} \cap (T \times \hat{S}(T))|}{|\hat{S}(T)||T|} = \sum_{j=1}^p \frac{|S_j|}{|\hat{S}(T)|} \frac{|\mathbf{E}\mathcal{U}_{m,n,x,\mathcal{T}} \cap (T \times S_j c_j)|}{|S_j||T|} < \frac{\varepsilon}{4}.$$

By $(T, \frac{\varepsilon}{8})$ -invariance of $\hat{S}(T)$ and by Lemma 6.4, the set

$$L(T) = \bigcup_{h \in \hat{S}(T)} \{(g, gh) : g \in T\}$$

is an $\frac{\varepsilon}{4}$ -modification of $T \times \hat{S}(T)$ (see Figure 4). Moreover, it has the same cardinality as $T \times \hat{S}(T)$. Therefore, we obtain that

$$\frac{|\mathbf{E}\mathcal{U}_{m,n,x,\mathcal{T}} \cap L(T)|}{|L(T)|} \leq \frac{|\mathbf{E}\mathcal{U}_{m,n,x,\mathcal{T}} \cap (T \times \hat{S}(T))|}{|T \times \hat{S}(T)|} + \frac{|L(T) \setminus (T \times \hat{S}(T))|}{|T \times \hat{S}(T)|} < \frac{\varepsilon}{2}.$$

Because $L(T)$ is a disjoint union (over $h \in \hat{S}(T)$) of the sets $\{(g, gh) : g \in T\}$, each of cardinality $|T|$, there exists at least one element $h_T \in \hat{S}(T)$ such that

$$\frac{|\mathbf{E}\mathcal{U}_{m,n,x,\mathcal{T}} \cap \{(g, gh_T) : g \in T\}|}{|T|} < \frac{\varepsilon}{2}.$$

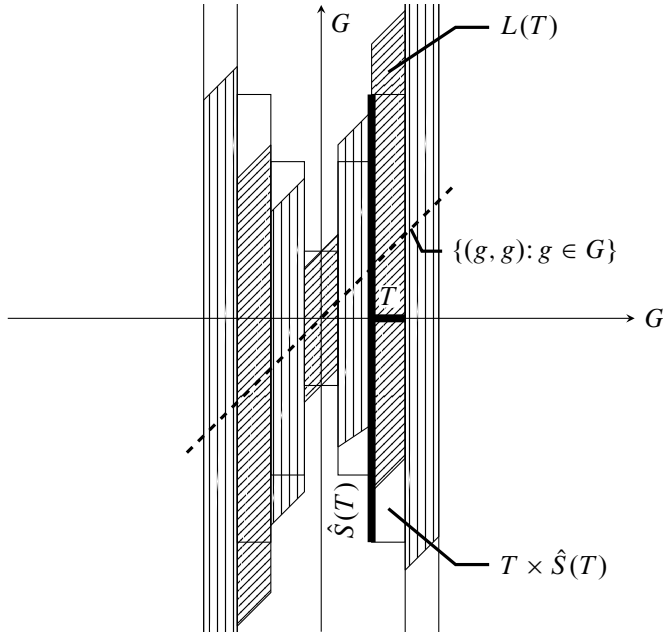


Figure 4. The scheme of choosing the sets $\hat{S}(T)$ and construction of the sets $L(T)$ (diagonal hatching corresponds to equation (6.1), whereas vertical hatching corresponds to equation (6.2)).

Observe that

$$E_{\mathcal{U},m,n,x,\mathcal{T}} \cap \{(g, gh_T) : g \in T\} = E_{\mathcal{U},m,n,x,h_T(\mathcal{T})} \cap \{(g, g) : g \in T\}.$$

Denoting the set $\{g \in G : (g, g) \in E_{\mathcal{U},m,n,x,h_T(\mathcal{T})}\}$ by $E_{\mathcal{U},m,n,x,h_T(\mathcal{T})}$, we also have

$$|E_{\mathcal{U},m,n,x,h_T(\mathcal{T})} \cap \{(g, g) : g \in T\}| = |E_{\mathcal{U},m,n,x,h_T(\mathcal{T})} \cap T|.$$

Hence, we have shown the inequality

$$\frac{|E_{\mathcal{U},m,n,x,h_T(\mathcal{T})} \cap T|}{|T|} < \frac{\varepsilon}{2}.$$

Because every shape $S \in \mathcal{S}_{I_0}$, as well as every tile $T \in \mathcal{T}_{I_0}$, is $(KK^{-1}, \frac{\varepsilon}{2|K|^2})$ -invariant, by Lemma 5.14, for every $T \in \mathcal{T}_{I_0}$ we have

$$\frac{|T \setminus T_{KK^{-1}}|}{|T|} < \frac{\varepsilon}{2}.$$

The core $T_{KK^{-1}}$ has the property that for every shape $S \in \bigcup_{k=m}^n \mathcal{S}_k$ and $c \in G$, the following implication holds:

$$Sc \cap T_{KK^{-1}} \neq \emptyset \Rightarrow Sc \subset T. \tag{6.5}$$

Within T we select a family $\mathcal{Q}(T)$ of \mathcal{U} -ergodic tiles, as follows. By the definition of the set $E_{\mathcal{U},m,n,x,h_T}(\mathcal{T})$, for every $g \notin E_{\mathcal{U},m,n,x,h_T}(\mathcal{T})$, there exists $k \in [m, n]$ for which the tile $T_k^g = T_k^g(h_T(\mathcal{T}_k))$ satisfies $x|_{T_k^g} \in \mathcal{U}$, i.e., T_k^g is \mathcal{U} -ergodic. For every $g \in T_{KK^{-1}} \setminus E_{\mathcal{U},m,n,x,h_T}(\mathcal{T})$, let $k(g)$ denote the largest such $k \in [m, n]$. Since \mathcal{T} belongs to a deterministic system of tilings, for $g \neq g' \in T_{KK^{-1}} \setminus E_{\mathcal{U},m,n,x,h_T}(\mathcal{T})$, the tiles $T_{k(g)}^g, T_{k(g')}^{g'}$ are either disjoint, or one of them is included in the other. However, the way the tiles $T_{k(g)}^g$ and $T_{k(g')}^{g'}$ were chosen excludes the possibility of strict inclusion. Thence, every two of the chosen tiles are either disjoint or equal. Note also that, by (6.5), all the tiles $T_{k(g)}^g, g \in T_{KK^{-1}} \setminus E_{\mathcal{U},m,n,x,h_T}(\mathcal{T})$, are contained in T . We denote the collection of tiles $T_{k(g)}^g$, constructed this way, by $\mathcal{Q}(T)$. We repeat the above construction for all $T \in \mathcal{T}_{I_0}$. Then we put $\mathcal{Q} = \bigcup_{T \in \mathcal{T}_{I_0}} \mathcal{Q}(T)$. All the tiles $Q \in \mathcal{Q}$ are \mathcal{U} -ergodic. It is worth to mention that, by equation (6.5), for every $T \in \mathcal{T}_{I_0}$, the following inclusion holds:

$$T_{KK^{-1}} \setminus E_{\mathcal{U},m,n,x,h_T}(\mathcal{T}) \subset \bigcup_{Q \in \mathcal{Q}(T)} Q \subset T.$$

On account of that, we have

$$\begin{aligned} \frac{|T \cap \bigcup_{Q \in \mathcal{Q}} Q|}{|T|} &= \frac{|\bigcup_{Q \in \mathcal{Q}(T)} Q|}{|T|} \geq \frac{|T_{KK^{-1}} \setminus E_{\mathcal{U},m,n,x,h_T}(\mathcal{T})|}{|T|} \\ &\geq \frac{|T \setminus E_{\mathcal{U},m,n,x,h_T}(\mathcal{T})|}{|T|} - \frac{|T \setminus T_{KK^{-1}}|}{|T|} \\ &> 1 - \frac{\varepsilon}{2} - \frac{\varepsilon}{2} = 1 - \varepsilon, \end{aligned} \tag{6.6}$$

from which, by Lemma 5.25, it follows that

$$d_{\text{Ban}}\left(\bigcup_{Q \in \mathcal{Q}} Q\right) \geq 1 - \varepsilon. \quad \blacksquare$$

Remark 6.6. In the case \mathcal{U} contains a ball $\text{Ball}(\mathcal{M}_{\sigma}^{\text{erg}}(X), \rho), \rho > 0$, it is possible to find a tiling \mathcal{Q}' of G consisting exclusively of \mathcal{U} -ergodic tiles. The construction of the tiling \mathcal{Q}' relies on modifying the collection \mathcal{Q} obtained in Theorem 6.5 for $\frac{\varepsilon}{2} < \frac{\rho}{2}$ and a neighbourhood $\mathcal{V} = \text{Ball}(\mathcal{M}_{\sigma}^{\text{erg}}(X), \frac{\rho}{2})$ (in place of \mathcal{U}) by appropriately distributing the elements of the complement of $\bigcup_{Q \in \mathcal{Q}} Q$ amongst the tiles $Q \in \mathcal{Q}$. The construction follows the same path (based on a variant of Hall’s marriage theorem) as the proof of [4, Theorem 4.3]. As a result, the shapes of the tiles $Q' \in \mathcal{Q}'$ are $\frac{\varepsilon}{2}$ -modifications of the shapes belonging to $\bigcup_{k=m}^n \mathcal{S}_k$. However, one has to bear in mind that in the case $G = \mathbb{Z}$, the tiles of \mathcal{Q}' will typically not be intervals (they will have the form of a union of an interval and a small amount of isolated points). As our Examples 4.1 and 4.2 show, in some cases, a tiling \mathcal{Q}' whose all tiles are \mathcal{U} -ergodic intervals does not exist.

We end this section with a formulation and a proof of a generalization of Theorem 3.9 to the case of G -subshifts. In the proof of Theorem 6.8, we use the following straightforward generalization of Lemma 2.4 to the case of G -subshifts.

Lemma 6.7. *Let G be a countable amenable group and let X be a symbolic system with the action of G . For every $\varepsilon > 0$ and any collection of finite blocks $B_1, \dots, B_m \in \mathcal{B}^*(X)$ with pairwise disjoint domains $F_1, \dots, F_m \subset G$ such that for every $j = 1, \dots, m$, there exists a measure $\mu_j \in \mathcal{M}(X)$ satisfying $d^*(B_j, \mu_j) < \varepsilon$, the following inequality holds:*

$$d^*(B, \mu) < 2\varepsilon,$$

where B is the concatenation of the blocks B_1, \dots, B_m , that is, the block with the domain $F = \bigcup_{j=1}^m F_j$, such that $B|_{F_j} = B_j$ for $j = 1, \dots, m$, and $\mu = \sum_{j=1}^m \frac{|F_j|}{|F|} \mu_j$.

Theorem 6.8. *Let (X, σ) be a symbolic system with the action of a countable amenable group G , such that $\mathcal{M}_\sigma^{\text{erg}}(X)$ is a Bauer simplex. Let \mathbf{T} be a Følner, deterministic system of dynamical tilings of G . Let $\mathcal{U} \subset \mathcal{M}_\sigma^{\text{erg}}(X)$ be an open subset of $\mathcal{B}^*(X) \cup \mathcal{M}(X)$ and fix an $\varepsilon > 0$. Then, there exists $j_0 \in \mathbb{N}$ such that for every $j \geq j_0$ and every pair $(x, \mathcal{T}) \in X = X \times \mathbf{T}$, the union $M^{\text{NE}}(x, \mathcal{T}_j)$ of the nonergodic tiles of \mathcal{T}_j has upper Banach density in G smaller than ε .*

Proof. Since $\mathcal{M}_\sigma^{\text{erg}}(X)$ is a compact set, without loss of generality, we can assume that $\mathcal{U} = \text{Ball}(\mathcal{M}_\sigma^{\text{erg}}(X), \varepsilon)$. By Lemma 3.8, there exists $0 < \gamma < \frac{4\varepsilon}{3}$ such that for every $\mu_0 \in \mathcal{M}_\sigma^{\text{erg}}(X)$ and every $\mu = \int_{\mathcal{M}_\sigma(X)} \nu \, d\xi(\nu)$, the following implication holds:

$$d^*(\mu, \mu_0) < \gamma \Rightarrow \xi(\mathcal{M}_\sigma(X) \setminus \text{Ball}(\mu_0, \frac{\varepsilon}{3})) < \frac{\varepsilon}{3}.$$

Let j_0 be such that for all blocks $B \in \mathcal{B}^*(X)$ with domains being shapes $S \in \bigcup_{j \geq j_0} \mathcal{S}_j$ we have $d^*(B, \mathcal{M}_\sigma(X)) < \frac{\gamma}{4}$. Existence of such j_0 follows from Theorem 5.17. We fix a pair $(x, \mathcal{T}) \in X$, $j \geq j_0$ and we let $\mathcal{T} = \mathcal{T}_j$. We put $K = \bigcup_{S \in \mathcal{S}_j} S$. Let $m \in \mathbb{N}$ be such that for all $k \geq m$, every $S \in \mathcal{S}_k$ is $(KK^{-1}, \frac{\gamma}{4|K|^2})$ -invariant. We put $\mathcal{V} = \text{Ball}(\mathcal{M}_\sigma^{\text{erg}}(X), \frac{\gamma}{4})$. By Theorem 6.5, there exist $n \geq m$ and a collection \mathcal{Q} of pairwise disjoint, \mathcal{V} -ergodic tiles with shapes belonging to $\bigcup_{k=m}^n \mathcal{S}_k$, such that the union of tiles $\bigcup_{Q \in \mathcal{Q}} Q$ has lower Banach density at least $1 - \frac{\varepsilon}{3}$. In what follows, tiles $Q \in \mathcal{Q}$ will be called ‘‘auxiliary ergodic’’. For every ‘‘auxiliary ergodic’’ tile $Q \in \mathcal{Q}$, there exists a measure $\mu_Q \in \mathcal{M}_\sigma^{\text{erg}}(X)$ such that $d^*(x|_Q, \mu_Q) < \frac{\gamma}{4}$.

We fix an ‘‘auxiliary ergodic’’ tile $Q \in \mathcal{Q}$. Since every shape $S \in \bigcup_{k=m}^n \mathcal{S}_k$ is $(KK^{-1}, \frac{\gamma}{4|K|^2})$ -invariant, every $Q \in \mathcal{Q}$ is $(KK^{-1}, \frac{\gamma}{4|K|^2})$ -invariant too. Hence, by Lemma 5.14, we have

$$\frac{|Q \setminus Q_{KK^{-1}}|}{|Q|} < \frac{\gamma}{4}.$$

Let $\mathcal{T}(Q)$ denote the collection of those tiles $T \in \mathcal{T}$ that are not disjoint with the core $Q_{KK^{-1}}$, and let $Q' = (Q_{KK^{-1}})^{\mathcal{T}} = \bigcup_{T \in \mathcal{T}(Q)} T$. Observe that $Q_{KK^{-1}} \subset Q' \subset Q$. Thus, we obtain the inequality

$$\frac{|Q \setminus Q'|}{|Q|} \leq \frac{|Q \setminus Q_{KK^{-1}}|}{|Q|} < \frac{\gamma}{4}. \tag{6.7}$$

Henceforth Q' is $\frac{\gamma}{4}$ -modification of Q . So, by Lemma 5.18, it is true that

$$\begin{aligned} d^*(x|_{Q'}, \mu_Q) &\leq d^*(x|_{Q'}, x|_Q) + d^*(x|_Q, \mu_Q) \\ &< \frac{\gamma}{4} + \frac{\gamma}{4} = \frac{\gamma}{2}. \end{aligned}$$

Recall that for every $T \in \mathcal{T}$, we have $d^*(x|_T, \mathcal{M}_\sigma(X)) < \frac{\gamma}{4}$. So, for every $T \in \mathcal{T}(Q)$, there exists an invariant measure $\nu_T \in \mathcal{M}_\sigma(X)$ such that $d^*(x|_T, \nu_T) < \frac{\gamma}{4}$. From Lemma 6.7 it follows that

$$d^*\left(x|_{Q'}, \sum_{T \in \mathcal{T}(Q)} \frac{|T|}{|Q'|} \nu_T\right) < \frac{\gamma}{2}.$$

Using the triangle inequality we obtain

$$d^*\left(\mu_Q, \sum_{T \in \mathcal{T}(Q)} \frac{|T|}{|Q'|} \nu_T\right) \leq d^*(\mu_Q, x|_{Q'}) + d^*\left(x|_{Q'}, \sum_{T \in \mathcal{T}(Q)} \frac{|T|}{|Q'|} \nu_T\right) < \gamma.$$

It is clear that

$$\sum_{T \in \mathcal{T}(Q)} \frac{|T|}{|Q'|} \nu_T = \int_{\mathcal{M}_\sigma(X)} \nu \, d\xi(\nu)$$

for the measure

$$\xi = \sum_{T \in \mathcal{T}(Q)} \frac{|T|}{|Q'|} \delta_{\nu_T},$$

where δ_{ν_T} is the Dirac measure on the Bauer simplex $\mathcal{M}_\sigma(X)$, supported at ν_T . By Theorem 3.8, the sum of coefficients $\frac{|T|}{|Q'|}$ corresponding to T belonging to the set

$$\{T \in \mathcal{T}(Q) : \nu_T \in \mathcal{M}_\sigma(X) \setminus \text{Ball}(\mu_Q, \frac{\varepsilon}{3})\}$$

is smaller than $\frac{\varepsilon}{3}$.

We denote by $\mathcal{T}^{\text{NE}}(Q)$ the set $\{T \in \mathcal{T}(Q) : d^*(x|_T, \mathcal{M}_\sigma^{\text{erg}}(X)) \geq \varepsilon\}$ (i.e., the collection of nonergodic tiles T included in the ‘‘auxiliary ergodic’’ tile Q). Observe that for $T \in \mathcal{T}^{\text{NE}}(Q)$, by the triangle inequality, we have

$$\begin{aligned} d^*(\nu_T, \mu_Q) &\geq d^*(x|_T, \mu_Q) - d^*(x|_T, \nu_T) \\ &\geq \varepsilon - \frac{\gamma}{4} > \frac{\varepsilon}{3}. \end{aligned}$$

Thence, the following inclusion holds:

$$\mathcal{T}^{\text{NE}}(Q) \subset \{T \in \mathcal{T}(Q) : \nu_T \in \mathcal{M}_\sigma(X) \setminus \text{Ball}(\mu_Q, \frac{\varepsilon}{3})\}.$$

Therefore $\sum_{T \in \mathcal{T}^{\text{NE}}(Q)} \frac{|T|}{|Q'|} < \frac{\varepsilon}{3}$. In other words, the fraction of nonergodic tiles T in the fixed ‘‘auxiliary ergodic’’ tile Q is smaller than $\frac{\varepsilon}{3}$.

Let $M^{\text{NE}}(x, \mathcal{T})$ denote the union of all nonergodic tiles $T \in \mathcal{T}$ and let $M^{\text{NE}}(Q) = \bigcup_{T \in \mathcal{T}^{\text{NE}}(Q)} T$ (the union of all nonergodic tiles T included in the fixed ‘‘auxiliary ergodic’’ tile Q). Then we have

$$\frac{|M^{\text{NE}}(Q)|}{|Q|} = \frac{|Q'|}{|Q|} \sum_{T \in \mathcal{T}^{\text{NE}}(Q)} \frac{|T|}{|Q'|} < \frac{\varepsilon}{3}. \tag{6.8}$$

Recall that our goal is to show that $\bar{d}_{\text{Ban}}(M^{\text{NE}}(x, \mathcal{T})) < \varepsilon$. In the construction of the collection \mathcal{Q} (see the proof of Theorem 6.5) we have used the tiling \mathcal{T}_{I_0} , from now on denoted by \mathcal{P} , with the property that every tile $P \in \mathcal{P}$ satisfies

$$\frac{|P \cap \bigcup_{Q \in \mathcal{Q}} Q|}{|P|} > 1 - \frac{\varepsilon}{3} \tag{6.9}$$

(see equation (6.6) – we remind that the collection of tiles \mathcal{Q} occurring in this proof is constructed using Theorem 6.5 with $\frac{\varepsilon}{3}$ in place of ε). On the account of Lemma 5.25 and Remark 5.8, it suffices to show that for every $P \in \mathcal{P}$ the following inequality holds:

$$\frac{|P \cap M^{\text{NE}}(x, \mathcal{T})|}{|P|} < \varepsilon.$$

By equations (6.9), (6.7) and (6.8), we obtain

$$\begin{aligned} \frac{|P \cap M^{\text{NE}}(x, \mathcal{T})|}{|P|} &\leq \frac{|P \setminus \bigcup_{Q \in \mathcal{Q}} Q|}{|P|} + \sum_{Q \subset P} \frac{|Q|}{|P|} \frac{|Q \setminus Q'|}{|Q|} + \sum_{Q \subset P} \frac{|Q|}{|P|} \frac{|M^{\text{NE}}(Q)|}{|Q|} \\ &< \frac{\varepsilon}{3} + \frac{\gamma}{4} + \frac{\varepsilon}{3} < \varepsilon, \end{aligned}$$

which completes the proof. ■

7. Final remarks

Firstly, we would like to remark that the results presented in the previous section can be directly transferred to the case of countable amenable cancellative semigroups, since every such semigroup can be naturally embedded in a countable amenable group in such a way that a fixed Følner sequence in the semigroup becomes a Følner sequence in the group.

Secondly, we point out that the main theorems of this paper are valid (after an appropriate reformulation, see below) not only for subshifts but also for all classical topological dynamical systems (X, T) (with an action of \mathbb{Z} or \mathbb{N}_0 on a compact metric space X) and for general topological dynamical systems (X, τ) (with actions of a countable amenable group G on a compact metric space X). Instead of blocks occurring in $x \in X$, say $B = x|_{[i, i+k)}$, one has to consider ‘‘pieces of orbits’’ of the form $\{T^j(x) : j \in [i, i+k)\}$ (resp. $\{g(x) : g \in K\}$ instead of $B = x|_K$ for $K \subset G$). Then, instead of the empirical measure

associated with B , one has to consider simply the probability measure $\frac{1}{k} \sum_{j=0}^{k-1} \delta_{T^{i+j}(x)}$ (resp. $\frac{1}{|K|} \sum_{g \in K} \delta_{g(x)}$). Most of the proofs actually simplify, for example, it suffices to consider the metric d^* on $\mathcal{M}(X)$ without needing to extend it to $\mathcal{B}^*(X)$, also, Lemma 2.4 (resp. Lemma 6.7), is not needed. However, the simplification causes that there is no direct way of deducing theorems for symbolic systems from their general analogs; for instance, there are subtle differences between the metric d^* on $\mathcal{M}(X)$ and the extended pseudometric on $\mathcal{B}^*(X) \cup \mathcal{M}(X)$. This is one of the reasons why we have chosen to write all the proofs for symbolic systems rather than the easier proofs for general topological systems. For completeness, let us formulate the main theorems in the general setup of countable amenable group actions:

Theorem 7.1. *Let τ be an action of a countable amenable group G on a compact metric space X . Let \mathcal{U} be an open set in $\mathcal{M}(X)$ containing all ergodic measures of the action τ . Let $\mathbf{T} = \bigvee_{k \in \mathbb{N}} \mathsf{T}_k$ be a Følner, deterministic system of tilings of G and let \mathcal{S}_k denote the collection of shapes of T_k , $k \in \mathbb{N}$. Then, for every $\varepsilon > 0$ there exists $n \geq m$ such that for every $x \in X$ there is a collection \mathcal{Q} of pairwise disjoint tiles with shapes belonging to $\bigcup_{k=m}^n \mathcal{S}_k$, whose union has lower Banach density at least $1 - \varepsilon$ and for every $Q \in \mathcal{Q}$ we have $\frac{1}{|Q|} \sum_{g \in Q} \delta_{g(x)} \in \mathcal{U}$.*

Theorem 7.2. *Let τ be an action of a countable amenable group G on a compact metric space X , such that the set of τ -invariant measures $\mathcal{M}_\tau(X)$ is a Bauer simplex. Let \mathcal{U} be an open set in $\mathcal{M}(X)$ containing all τ -ergodic measures. Let $\mathbf{T} = \bigvee_{k \in \mathbb{N}} \mathsf{T}_k$ be a Følner, deterministic system of tilings of G . Then, for every $\varepsilon > 0$ there exists $j_0 \in \mathbb{N}$ such that for every $j \geq j_0$ and every pair (x, \mathcal{T}) , $x \in X$, $\mathcal{T} = (\mathcal{T}_k)_{k \in \mathbb{N}} \in \mathbf{T}$, the union $M^{\text{NE}}(x, \mathcal{T}_j)$ of tiles T of \mathcal{T}_j such that $\frac{1}{|T|} \sum_{g \in T} \delta_{g(x)} \notin \mathcal{U}$ has upper Banach density smaller than ε .*

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