

Partial translation algebras for trees

Jacek Brodzki, Graham A. Niblo, and Nick Wright

Abstract. In [1] we introduced the notion of a partial translation C^* -algebra for a discrete metric space. Here we demonstrate that several important classical C^* -algebras and extensions arise naturally by considering partial translation algebras associated with subspaces of trees.

Mathematics Subject Classification (2000). 46L85, 20F65, 54E35.

Keywords. Partial translation algebras, property A, discrete metric spaces, C^* -algebra extensions.

1. Introduction

The uniform Roe algebra $C_u^*(X)$ is a C^* -algebra associated with any discrete metric space X which encodes analytically the coarse geometry of the space [6], Chapter 4. For example, the space X has Yu's property A [8] if and only if the uniform Roe algebra of X is nuclear [7]. A rich source of examples of interesting metric spaces is the class of discrete groups equipped with a left invariant metric. For such a group G , the uniform Roe algebra contains the (right) reduced C^* -algebra of the group $C_\rho^*(G)$. The uniform Roe algebra is vastly larger than $C_\rho^*(G)$; indeed, unless G is finite, it is not separable. For this reason, it is useful for general metric spaces to consider an analogue of the reduced group C^* -algebra. In [1] we introduced the notion of a partial translation algebra for a discrete metric space to play this role.

In this paper we demonstrate the power of this approach by exhibiting several well-known algebras in the new framework. In the context of subspaces of \mathbb{Z} the partial translation algebra encodes the additive structure. We provide several examples of this phenomenon. In particular the Toeplitz extension arises here by considering the algebra associated to the inclusion of the natural numbers in the integers (Theorem 1). Replacing the natural numbers by $\mathbb{Z} \setminus \{0\}$ we obtain a trivial extension of $C(S^1)$ by the compacts which is therefore inequivalent to the Toeplitz extension (Theorem 2). It is also natural to ask what the associated algebra tells us about the additive structure of the primes, and here we make a connection with the classical de Polignac conjecture (Theorem 3).

By generalising these ideas to consider the inclusion of the 3-valent tree and the rooted 3-valent tree into the Cayley graph of the free group on two generators we are able to recover the extension used by Cuntz in his computation in [4] of the K-theory of the Cuntz algebra \mathcal{O}_2 (Theorem 4). It is straightforward to generalise this method for the algebras \mathcal{O}_n , by considering the Cayley graph of the free group F_n on n generators, and we give an outline of this.

Finally we use the geometry to construct an explicit embedding of $C_\rho^*(F_2)$ into the Cuntz algebra \mathcal{O}_2 (Theorem 5). We do this by exhibiting explicit injective quasi-isometries of the regular 4-valent tree into the regular 3-valent tree which are well behaved with respect to the natural partial translations on these trees.

2. Partial translations

Let G be a discrete group equipped with a left invariant metric d . This means that for every element $l \in G$ the map on G defined using the left multiplication by l is an *isometry* with respect to the metric d . On the other hand, the right multiplication by an element $r \in G$ moves every element $g \in G$ by the same amount:

$$d(g, gr) = d(e, r).$$

By analogy with metric geometry, we will call such maps *translations*. These two actions together are responsible for the symmetries and the homogeneity of the group G regarded as a metric space with respect to the left invariant metric d .

It is clear that one cannot hope to have the same amount of information for a general discrete metric space X . However, in [1], we introduced a way of measuring homogeneity. A starting point of our investigations was the observation that, up to a bounded amount of distortion, a metric subspace of a discrete group retains a degree of symmetry. Moreover, this induced structure can be codified.

By a *partial translation* we mean a bijection t defined on a subset $S \subseteq X$, taking values in a subset of X , such that $d(s, t(s))$ is bounded for all $s \in S$. This notion was introduced by Roe [6], Definition 10.21, in his discussion of the coarse groupoid of Skandalis, Tu and Yu [7]. Equivalently, a partial translation may be described in terms of its graph; from that point of view it may be defined as a subspace of $X \times X$ which lies within a bounded distance of the diagonal and such that the coordinate projections are injective. In the case when X is a discrete group, right multiplication by an element $g \in X$ determines a partial translation $t_g: y \mapsto yg$, which is defined for every $y \in X$.

Definition 1. Let X be a uniformly discrete bounded geometry space, and let \mathbf{T} be a family of disjoint partial translations on X . Each of the partial translations $t \in \mathbf{T}$ induces a partial isometry τ on $\ell^2(X)$ defined by $\tau(\delta_x) = \delta_{t(x)}$ for x in the domain of t , and $\tau(\delta_x) = 0$ for x not in the domain.

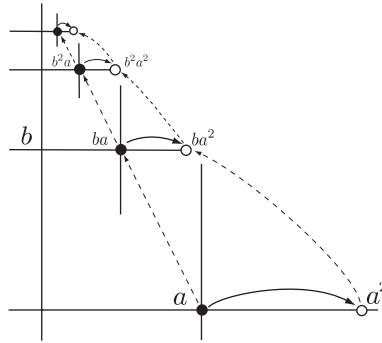


Figure 1. Solid arrows describe the partial translation $\cdot a$ acting on the subset $\{b^n a \mid n \in \mathbb{Z}\}$ of the free group \mathbb{F}_2 ; dashed arrows denote left multiplication by $b \cdot$.

The *partial translation algebra* $C^*(\mathbf{T})$ is the C^* -subalgebra of the uniform Roe algebra, $C_u^*(X)$, generated by the partial translations in \mathbf{T} , regarded as partial isometries in $\ell^2(X)$; see [6], p. 67, for a discussion of $C_u^*(X)$.

Note that for any partial translation t the inverse t^{-1} is also defined as a partial translation on X and that as an element of $\ell^2(X)$, it induces the adjoint τ^* of τ .

The notion of a partial translation algebra was introduced to play the role of the reduced group C^* -algebra for a discrete metric space, and in [1], Theorem 27, we prove that in a countable discrete group there is a canonical family of partial translations \mathbf{T} such that the algebra $C^*(\mathbf{T})$ is isomorphic to $C_\rho^*(G)$.

In general, without additional constraints on the partial translations, we do not expect to be able to recover (or use) geometric information. However, as we showed in [1], in many cases, and in particular in the case of a subspace of a discrete group, we can choose our partial translations to satisfy strong (partial) homogeneity conditions which control the structure of the corresponding partial translation algebras and relate this to the geometry of the space. The analytic properties of this algebra capture some interesting metric properties of the space X . One example of this relation is the statement that when X is sufficiently homogeneous (i.e., in the language of [1] it admits a free and globally controlled atlas for some partial translation structure), then the following statements are all equivalent [1], Theorem 29:

- (1) The space X has property A ;
- (2) The uniform Roe algebra $C_u^*(X)$ is nuclear;
- (3) The algebra $C_u^*(X)$ is exact.

The conditions of this statement are satisfied, for example, when X admits an injective uniform embedding into a countable discrete group.

In this paper we will consider subspaces of trees, which of course embed in free groups and therefore inherit well behaved partial translations.

3. Translation subspaces of \mathbb{Z}

Coburn's theorem, [3], states that the Toeplitz algebra is the middle term of an extension:

$$0 \rightarrow \mathcal{K} \rightarrow \mathcal{T} \rightarrow C(S^1) \rightarrow 0,$$

where \mathcal{K} denotes the compacts. This extension arises naturally by viewing the generator of the Toeplitz algebra as the unilateral shift on $\ell^2(\mathbb{N})$ and identifying the generator of $C(S^1)$ with the bilateral shift on $\ell^2(\mathbb{Z})$, induced by the identification of $C(S^1)$ with the reduced C^* -algebra of \mathbb{Z} . The point of introducing it here is that, as we shall see, it yields the first non-trivial example of a partial translation algebra, arising from the inclusion of \mathbb{N} in \mathbb{Z} .

3.1. The translation algebra $C^*(\mathbb{N})$. In this section we establish the following:

Theorem 1. *The translation algebra $C^*(\mathbb{N})$ arising from the inclusion of the natural numbers in the integers is isomorphic to the Toeplitz algebra, and moreover the inclusion induces the Toeplitz extension.*

Regarding \mathbb{Z} as an infinite cyclic group, it is equipped with a canonical family of partial translations inherited from the right action of the group on itself. The partial translation algebra of \mathbb{Z} induced by this is, by definition, the reduced group C^* -algebra $C_{\rho}^*(\mathbb{Z})$. This is generated by a single element, σ_1 , the *bilateral* shift on $\ell^2(\mathbb{Z})$ induced by the partial translation (actually a translation) $n \mapsto n + 1$.

The subspace \mathbb{N} (which for our purposes will include 0) inherits a family of partial translations by restricting, and corestricting the translations of \mathbb{Z} to \mathbb{N} . That is, the set of partial translations on \mathbb{N} consists of all maps t_n defined on \mathbb{N} of the form $t_n(j) = j + n$ where $n \geq 0$, along with all maps of the form

$$t_n^{-1}: \{n, n + 1, n + 2, \dots\} \rightarrow \mathbb{N}, \quad t_n^{-1}: j \mapsto j - n,$$

where $n > 0$.

The corresponding partial translation algebra is by definition the C^* -algebra $C^*(\mathbb{N}) \subset B(\ell^2(\mathbb{N}))$ generated by the partial isometries τ_i and τ_{-i} corresponding to the partial translations $t_i: \mathbb{N} \rightarrow \mathbb{N} \setminus \{0, \dots, i - 1\}$, $t_i(j) = j + i$ and $t_i^{-1}: \mathbb{N} \setminus \{0, \dots, i - 1\} \rightarrow \mathbb{N}$, $t_i^{-1}(j) = j - i$.

Lemma 1. *The partial translation algebra $C^*(\mathbb{N})$ is generated by τ_1 (and its adjoint) where τ_1 acts on $\ell^2(\mathbb{N})$ as a unilateral shift. It contains the algebra of compact operators on $\ell^2(\mathbb{N})$.*

Proof. It is clear that for each n the operator τ_1^n is induced by the partial translation t_n , while $(\tau_1^*)^n$ is induced by t_n^{-1} proving the first part of the lemma. The operator

$\tau_1^* \tau_1 - \tau_1 \tau_1^*$ is the projection onto the subspace spanned by 0, and conjugating by the operators τ_i^n we obtain all the matrix elements, so $C^*(\mathbb{N})$ contains the algebra of compact operators. \square

Since one can cancel pairs $\tau_1^* \tau_1$, a general element of $C^*(\mathbb{N})$ of the form

$$\tau_1^{i_1} (\tau_1^*)^{j_1} \tau_1^{i_2} (\tau_1^*)^{j_2} \dots \tau_1^{i_k} (\tau_1^*)^{j_k}$$

can be reduced to $\tau_1^i (\tau_1^*)^j$.

Suppose that $n = i - j$ is positive. Then it is easy to see that $t_i t_j^{-1}$ is a subtranslation of t_n , that is, its domain is a subset of the domain of t_n and where both are defined they are equal. Moreover the domains differ only by a finite set. Hence as operators $\tau_1^i (\tau_1^*)^j$ and τ_1^n differ by a finite rank operator. Similarly if $i - j = n$ is negative then $t_i t_j^{-1}$ is a subtranslation of t_n^{-1} , and the operators $\tau_1^i (\tau_1^*)^j$ and $(\tau_1^*)^n$ again differ by a finite rank operator.

Thus elements of the form τ_1^n and $(\tau_1^*)^n$ along with finite rank operators span a dense subspace of $C^*(\mathbb{N})$. It is easy to see that τ_1^i and $(\tau_1^*)^j$ never differ by a compact operator (there is no cancellation between them) while τ_1^i and τ_1^j differ by a compact operator only if $i = j$. Hence the map $\tau_1^n \mapsto \sigma_1^n$, $(\tau_1^*)^l \mapsto (\sigma_1^*)^n$, and $k \mapsto 0$ for every compact operator k , extends to a well-defined linear map from a dense subspace of $C^*(\mathbb{N})$ to $C_\rho^*(\mathbb{Z})$. This map is moreover a *-algebra homomorphism, and extends by continuity to a *-homomorphism from $C^*(\mathbb{N})$ to $C_\rho^*(\mathbb{Z})$ with kernel consisting of compact operators, giving us an extension

$$0 \rightarrow \mathcal{K}(\ell^2(\mathbb{N})) \rightarrow C^*(\mathbb{N}) \rightarrow C_\rho^*(\mathbb{Z}) \rightarrow 0.$$

We now make the following identifications. The Hilbert space $\ell^2(\mathbb{N})$ is naturally identified with the Hardy space H_2 by taking e_n to z^n for $n \in \mathbb{N}$. Similarly $\ell^2(\mathbb{Z})$ is naturally identified with $L^2(S^1)$, again the map takes e_n to z^n (however this is now for all $n \in \mathbb{Z}$). With these identifications τ_1 is identified with T_z , the Toeplitz operator associated with the identity map $z: S^1 \rightarrow S^1$, while the generator σ_1 of $C_\rho^*(\mathbb{Z})$ is identified with M_z , the operator of pointwise multiplication by the function z . Since $C^*(\mathbb{N})$ and \mathcal{T} are generated by τ_1 and T_z respectively, the above identification of Hilbert spaces gives an isomorphism $C^*(\mathbb{N}) \cong \mathcal{T}$. Similarly we have $C_\rho^*(\mathbb{Z}) \cong C(S^1)$.

The isomorphisms $C^*(\mathbb{N}) \cong \mathcal{T}$ and $C_\rho^*(\mathbb{Z}) \cong C(S^1)$ identify this with the Toeplitz extension,

$$0 \rightarrow \mathcal{K}(H_2) \rightarrow \mathcal{T} \rightarrow C(S^1) \rightarrow 0.$$

3.2. The translation algebra $C^*(\mathbb{Z} \setminus \{0\})$. From now on we will abuse notation, denoting both a partial translation and the operator that it defines with the same symbol, using context to determine the meaning. Hence if s is a partial translation we may write s^* to denote the adjoint of the operator corresponding to s .

We next consider the effect of removing a single point from the group \mathbb{Z} .

Theorem 2. *The partial translation algebra $C^*(\mathbb{Z} \setminus \{0\})$ is a trivial extension of $C(S^1)$ by the compact operators which is therefore not equivalent (in the sense of K -homology) to the Toeplitz extension.*

Proof. Let $X = \mathbb{Z} \setminus \{0\}$. The partial translations on X that we obtain by restricting and corestricting the translations of \mathbb{Z} have the form

$$s_n: \mathbb{Z} \setminus \{-n, 0\} \rightarrow \mathbb{Z} \setminus \{0, n\}, \quad s_n: j \mapsto j + n.$$

Note that s_0 is the identity and $s_{-n} = s_n^*$ for all $n \in \mathbb{Z}$.

It appears a priori that we need all of these partial translations to generate the algebra $C^*(\mathbb{Z} \setminus \{0\})$, since it is not true in this case that $s_n = (s_1)^n$. In fact we will see that it suffices to have $s_0 = 1, s_1$ and s_2 .

Consider $s_1 s_1^*$. This acts as the identity on i for all $i \neq 1$, while it is undefined at 1. Thus, as an element of the algebra, $s_1 s_1^* = 1 - p_1$ where p_1 denotes the rank 1 projection onto the basis element e_1 in $\ell^2(X)$. Hence the algebra $C^*(X)$ contains the rank 1 projection p_1 . Now for any $i, j \in X$, the matrix element $e_{i,j}$ is given by $s_{i-1} p_1 (s_{j-1}^*)$, hence the algebra contains all matrix elements, and hence all compact operators.

Now consider compositions of the form $s_{i_1} s_{i_2} \dots s_{i_k}$. Where this is defined it translates by $l = i_1 + \dots + i_k$. In other words it is a subtranslation of s_l . The domain on which this is defined is

$$\mathbb{Z} \setminus \{0, -i_k, -(i_{k-1} + i_k), \dots, -l\}$$

in particular it differs from the domain of s_l by only finitely many points. As before $s_i - s_j$ is compact only if $i = j$ and hence we deduce that we have an extension of the form

$$0 \rightarrow \mathcal{K}(\ell^2(X)) \rightarrow C^*(X) \rightarrow C_\rho^*(\mathbb{Z}) \rightarrow 0,$$

where the map $C^*(X) \rightarrow C_\rho^*(\mathbb{Z})$ is given by extending linearly the map taking s_l to $[l]$ and vanishing on the compacts.

We can identify the algebra $C^*(X)$ more explicitly as follows. Consider the partial translation s_1 . This takes $\mathbb{Z} \setminus \{-1, 0\}$ to $\mathbb{Z} \setminus \{0, 1\}$. We can extend it to a globally defined translation t on X by defining $t(j) = s_1(j)$ for $j \in X, j \neq -1$ and $t(-1) = 1$. Note that this is a compact perturbation of s_1 and hence lies in the algebra. Moreover, t^n is a compact perturbation of s_n for all n , thus $C^*(X)$ is generated by t along with all compact operators.

Now consider the algebra generated by t alone. Let $\phi: X \rightarrow \mathbb{Z}$ be the bijective coarse equivalence defined by $\phi(j) = j$ for $j > 0$ and $\phi(j) = j + 1$ for $j < 0$. If U denotes the corresponding unitary from $\ell^2(X)$ to $\ell^2(\mathbb{Z})$ then UtU^* is right translation

by 1, i.e., it is the element [1] in $C_\rho^*(\mathbb{Z})$. Hence using U to identify these two Hilbert spaces, the algebra $C^*(t)$ is identified with $C_\rho^*(\mathbb{Z})$, the algebra of compacts $\mathcal{K}(\ell^2(X))$ is identified with $\mathcal{K}(\ell^2(\mathbb{Z}))$ and hence (since these together generate $C^*(X)$) we deduce that $C^*(X)$ is identified with the sum $C_\rho^*(\mathbb{Z}) + \mathcal{K}(\ell^2(\mathbb{Z}))$. This identifies the extension as

$$0 \rightarrow \mathcal{K}(\ell^2(\mathbb{Z})) \rightarrow C_\rho^*(\mathbb{Z}) + \mathcal{K}(\ell^2(\mathbb{Z})) \rightarrow C_\rho^*(\mathbb{Z}) \rightarrow 0.$$

As a K-homology cycle for $C_\rho^*(\mathbb{Z})$ this extension is $(\ell^2(\mathbb{Z}), \rho, 1)$ where ρ denotes the right regular representation. This cycle is degenerate, hence it is a trivial element in K-homology. However the K-homology class represented by the Toeplitz extension is non-trivial, thus the two extensions are not equivalent. \square

3.3. Coarsely disconnected subspaces. We will now consider subspaces of \mathbb{Z} such as $\{i^2 : i \in \mathbb{N}\}$, having arbitrarily large gaps. These are said to be coarsely disconnected.

Proposition 1. *Let X be a subset of \mathbb{Z} which is coarsely equivalent to $\{i^2 : i \in \mathbb{N}\}$. Then $C^*(X)$ is isomorphic to the unitised compacts $\tilde{\mathcal{K}}(\ell^2(X))$.*

Proof. Subspaces of \mathbb{Z} which are coarsely equivalent to $\{i^2 : i \in \mathbb{N}\}$ can be characterised as follows. Let X_+ denote the non-negative part of X and let X_- denote the negative part of X . Then X_+ is either finite or consists of an increasing sequence a_i of points with $a_{i+1} - a_i$ tending to infinity as $i \rightarrow \infty$. Similarly X_- is either finite or consists of a decreasing sequence b_i of points with $b_i - b_{i+1}$ tending to infinity as $i \rightarrow \infty$, and X_+, X_- cannot both be finite.

Now fix some $n \neq 0$, and consider t_n the translation by n on X . The domain of t_n consists of those $x \in X$ such that $x + n$ lies in X . Since if X_- is infinite, the gaps $b_n - b_{n+1}$ tend to infinity, it follows that if the domain is non-empty then there is a least such x . Similarly there is a greatest such x , and hence the domain of t_n is finite. Thus as an operator on $\ell^2(X)$ it follows that t_n is compact. For $n = 0$ the translation by n is the identity on X , and hence we deduce that $C^*(X)$ is a subalgebra of the unitisation of the compact operators $\tilde{\mathcal{K}}(\ell^2(X))$.

We will now show that $C^*(X)$ contains all matrix elements. Pick some $n > 0$ for which the domain of t_n is non-empty, let x be the least element of the domain, and let y be the greatest element. Then $y - x = mn$ for some $m > 0$, and the translation $(t_n)^m$ takes x to y and is undefined otherwise. Hence as an operator $(t_n)^m$ is the rank 1 operator taking e_x to e_y . Now, for any a, b in X , the composition $t_{b-y}(t_n)^m t_{x-a}$ is the rank 1 operator taking e_a to e_b . The closed span of these operators is $\mathcal{K}(\ell^2(X))$, hence we conclude that $C^*(X) = \tilde{\mathcal{K}}(\ell^2(X))$.

As in the previous examples there is an extension: in this case we see that taking the quotient by the compact operators we obtain a map to \mathbb{C} , since only the identity on

X has infinite support, and the quotient here can be regarded as the group C^* -algebra of the trivial group. Thus we have the extension

$$0 \rightarrow \mathcal{K}(l^2(X)) \rightarrow \tilde{\mathcal{K}}(l^2(X)) \rightarrow C_\rho^*(\{0\}) \rightarrow 0. \quad \square$$

3.4. The translation algebra of the primes. The primes inherit a partial translation algebra $C^*(P)$ from the integers and for each positive integer n there is specific element $t_n \in C^*(P)$ represented by translation by n . Since there is only one even prime the element $t_1^* t_1$ is a rank 1 projection, and since the translations act transitively the partial translation algebra contains every compact operator. Clearly the element t_0 is the identity, so the partial translation algebra of the primes actually contains the unitised algebra $\tilde{\mathcal{K}}(l^2(P))$ which is an extension of the form:

$$0 \rightarrow \mathcal{K}(l^2(X)) \rightarrow \tilde{\mathcal{K}}(l^2(X)) \rightarrow C_\rho^*(\{0\}) \rightarrow 0.$$

Now the twin prime conjecture is equivalent to the statement that the operator t_2 is not compact. Indeed de Polignac's generalisation of the twin primes conjecture, which asserts the existence of infinitely many prime pairs separated by distance n for each even n ([5]), is equivalent to the statement that t_n is not compact for any even n . Note that if de Polignac's conjecture holds for some even n then the algebra $C^*(P)$ is strictly larger than $\tilde{\mathcal{K}}(l^2(X))$.

The algebra $\tilde{\mathcal{K}}(l^2(X))$ has a unique ideal, namely $\mathcal{K}(l^2(X))$. If $C^*(P)$ is isomorphic to $\tilde{\mathcal{K}}(l^2(X))$ it also must contain a unique ideal and this must be $\mathcal{K}(l^2(X))$ since this is an ideal in $C^*(P)$. It follows that taking the quotient by this ideal we obtain in both cases a copy of \mathbb{C} . Hence $C^*(P) = \tilde{\mathcal{K}}(l^2(X))$.

Theorem 3. *The algebra $C^*(P)$ is not isomorphic to $\tilde{\mathcal{K}}(l^2(X))$ if and only if de Polignac's conjecture holds for some even n , if and only if the quotient of $C^*(P)$ by the compact operators is strictly larger than \mathbb{C} .*

4. Translation subspaces of F_n

4.1. Translation algebras and the Cuntz extension. In this section we will consider the translation algebras arising from subspaces of the regular 4-valent tree, the Cayley graph of the free group of rank 2, F_2 . We will indicate briefly how the arguments carry over to the general case. Let X denote the set of positive words in F_2 including the identity. That is X consists of e along with all words in the generators a and b .

Consider the partial translations on X defined by a and b (acting by right translation). Then a is globally defined, while the image of a is the set of all positive words ending in a . Similarly b is globally defined with image consisting of positive words ending in b . The partial translations a^* and b^* are left inverses of a and b ,

respectively. Viewed as an operator on $\ell^2(X)$, the element a^* acts as a bijection from the words ending in a to all words, and acts as zero on e and all words ending with b . Similarly for b , hence we have the following algebra relations:

$$a^*a = b^*b = 1, \quad a^*b = b^*a = 0, \quad aa^* + bb^* = 1 - p_e,$$

where p_e denotes the rank 1 projection onto e in $\ell^2(X)$.

A general translation is given by right multiplication by a reduced word $x_1 \dots x_k$ with $x_i \in \{a, b, a^{-1}, b^{-1}\}$. It is easy to see that as a partial translation $(x_1 \dots x_k)$ acts as the composition $y_k y_{k-1} \dots y_1$ where y_i equals a or b respectively if x_i is a or b , while $y_i = a^*, b^*$ respectively if x_i is a^{-1} or b^{-1} . Note the reversal of the order of composition, due to the fact that we are using right multiplications, e.g., the operator ab is right multiplication by the group element ba .

We thus see that the algebra $C^*(X)$ is the C^* -algebra generated by a and b . (We do not need to explicitly include the identity since $a^*a = 1$.)

We now compare $C^*(X)$ with the algebra $C^*(Y)$ of a slightly bigger subset of F_2 . Let Y denote the set of elements in F_2 of the form $a^n w(a, b)$, where $n \in \mathbb{Z}$ and $w(a, b)$ is a word in a and b . That is, Y consists of reduced words in a, a^{-1} and b , where a^{-1} can only occur before the first b . Using Y fixes a defect in X : each vertex of X has three neighbours with the exception of the identity, which only has two. In Y however every vertex has three neighbours, i.e., Y is a three regular tree.

We will now consider the algebra $C^*(Y)$. Again the algebra is generated by two elements a and b , with $a^*a = b^*b = 1$. Let A denote the set of words ending with either a or a^{-1} , along with the identity e . (Note that the only words ending with a^{-1} are words of the form a^{-n} .) Let B denote the set of words ending with b . Note that B is the complement of A in Y . The partial translation a gives a bijection from Y to A while, b gives a bijection from Y to B . The partial translations a^* and b^* are left inverses to a and b , hence, as an operator, aa^* is the identity on A and vanishes on B , i.e., it is the projection of $\ell^2(Y)$ onto $\ell^2(A)$. Conversely bb^* is the projection of $\ell^2(Y)$ onto $\ell^2(B)$.

Thus we conclude that $C^*(Y)$ is a subalgebra of $B(\ell^2(Y))$ generated by two isometries a and b , with the property that

$$aa^* + bb^* = 1.$$

This is the defining property of the Cuntz algebra \mathcal{O}_2 , thus we have $C^*(Y) \cong \mathcal{O}_2$.¹

We will now relate $C^*(Y)$ to $C^*(X)$. It will be important to remember at each stage whether a word in a, b, a^*, b^* is to be considered as an operator on $\ell^2(X)$ or on $\ell^2(Y)$. Let $x = x_k x_{k-1} \dots x_1$ be a word in a, b, a^*, b^* , considered as an operator on $\ell^2(X)$, and let $y = y_k y_{k-1} \dots y_1$ denote the corresponding operator on $\ell^2(Y)$. We claim that this gives a well-defined map from $C^*(X)$ to $C^*(Y)$.

¹Recall that the Cuntz algebra is constructed concretely as the algebra generated by two such isometries. Cuntz showed that this is the unique algebra with these properties.

We will say that a word is *quasi-reduced* if it does not contain a^*a or b^*b (since these will act as the identity), and does not contain a^*b or b^*a (since these will act as zero). The quasi-reduced words (as operators on $\ell^2(X)$) span a dense subalgebra of $C^*(X)$.

We claim that the quasi-reduced words are linearly independent. Note that a quasi-reduced word is necessarily of the form $w(a, b)w'(a^*, b^*)$, where $w(a, b)$ (resp. $w'(a^*, b^*)$) denotes a positive word in a, b (resp. a^*, b^*). Say that a word is of type l if w' is a word of length l . Note that a quasi-reduced word of type l acts as the zero operator on words in X of length $0, 1, \dots, l-1$. Thus for a linear combination of quasi-reduced words, the part of type 0 determines the action on e . Having removed the type 0 part, the words of type 1 then determine the action on words in X of length 1, etc. Hence considering the action on words of length $0, 1, 2, \dots$ we deduce that a linear combination which acts as zero must be zero; that is, the quasi-reduced words are linearly independent.

Now we return to the above map

$$x = x_k x_{k-1} \dots x_1 \in B(\ell^2(X)) \mapsto y = y_k y_{k-1} \dots y_1 \in B(\ell^2(Y)).$$

Since the quasi-reduced words are linearly independent, this gives a well-defined map from their linear span to $C^*(Y)$. This is a $*$ -algebra homomorphism, and hence contractive, so it extends to a well-defined $*$ -homomorphism from $C^*(X)$ to $C^*(Y)$. Since a, b generate $C^*(Y)$, this homomorphism is surjective.

Clearly the kernel includes p_e since $aa^* + bb^* \mapsto 1$. Hence in fact the kernel includes $\mathcal{K}(\ell^2(X))$, since one can easily construct all matrix elements by pre- and post-composing p_e with translations.

Lemma 2. *Let $x = x_k x_{k-1} \dots x_1$ be a word in a, b, a^*, b^* , considered as an operator on $\ell^2(X)$. Consider the corresponding operator $y = y_k y_{k-1} \dots y_1$ on $\ell^2(Y)$, and let x' be the truncation $P y P$ where P is the projection of $\ell^2(Y)$ onto $\ell^2(X)$. Then x' is a compact perturbation of x .*

Proof. Note that as operators on $\ell^2(Y)$, $P y_i$ and $y_i P$ differ only on a single basis vector. Hence $P y - y P$ is a compact operator. Thus $x' = P y P = P y_k y_{k-1} \dots y_1 P$ is a compact perturbation of $(P y_k P)(P y_{k-1} P) \dots (P y_1 P)$. It now suffices to note that $P y_i P = x_i$, i.e., for a, b etc. viewed as translations of Y , truncating to X gives the corresponding translation on X . \square

Since we have a right-inverse, which is also a left-inverse modulo compact operators, it follows that the kernel is precisely the compact operators. We thus produce an extension of \mathcal{O}_2 :

$$0 \rightarrow \mathcal{K}(\ell^2(X)) \rightarrow C^*(X) \rightarrow C^*(Y) \cong \mathcal{O}_2 \rightarrow 0.$$

This is an analogue for the Cuntz algebra of the Toeplitz extension.

Note that the argument showing that there is a map from $C^*(X)$ to $C^*(Y)$ in fact shows that $C^*(X)$ has a universal property: If A is any C^* -algebra generated by two elements s, t satisfying

$$s^*s = t^*t = 1 \quad \text{and} \quad s^*t = t^*s = 0,$$

then there is a surjection from $C^*(X)$ to A taking a to s and b to t . These relations suffice to show that there is a homomorphism from the algebra spanned by the quasi-reduced words to A , and this extends by continuity to a surjective $*$ -homomorphism.

Another example of an algebra satisfying these relations is the algebra E_2 of Cuntz, [4]. By definition this is the subalgebra of \mathcal{O}_3 generated by the first two isometries V_1, V_2 , and since $V_1V_1^* + V_2V_2^* + V_3V_3^* = 1$, it follows that $V_1^*V_2 = V_2^*V_1 = 0$. Thus there is a surjection from $C^*(X)$ to E_2 . In [4], Cuntz showed that there is an extension

$$0 \rightarrow J_2 \rightarrow E_2 \rightarrow \mathcal{O}_2 \rightarrow 0$$

where J_2 is an ideal in E_2 isomorphic to the algebra of compact operators. Here the quotient map takes the generators of E_2 to the generators of \mathcal{O}_2 . Thus the quotient map $C^*(X) \rightarrow C^*(Y) \cong \mathcal{O}_2$ factors through the map $C^*(X) \rightarrow E_2$. The kernel of the latter is thus an ideal in the kernel of the former, which is $\mathcal{K}(\ell^2(X))$. Since this is simple, and the kernel is not the whole of $\mathcal{K}(\ell^2(X))$, we deduce that the surjection from $C^*(X)$ to E_2 is in fact an isomorphism. Thus we have proved the following theorem.

Theorem 4. *There is a canonical isomorphism between the Cuntz extension*

$$0 \rightarrow J_2 \rightarrow E_2 \rightarrow \mathcal{O}_2 \rightarrow 0$$

and the extension

$$0 \rightarrow \mathcal{K}(\ell^2(X)) \rightarrow C^*(X) \rightarrow C^*(Y) \rightarrow 0$$

where X and Y are subsets of F_2 as above.

4.2. The algebras \mathcal{O}_n . Following the construction in the previous section we replace the free group F_2 with F_n . Again we define the subtree X to be spanned by all positive words, and choosing a generator we extend this to a regular $n + 1$ -valent tree which we call Y . The inclusions of X and Y into the Cayley graph endow them with partial translation algebras $C^*(X), C^*(Y)$ respectively and we obtain isomorphisms $C^*(X) \cong E_n$ and $C^*(Y) \cong \mathcal{O}_n$, with the algebras defined by Cuntz in [4]. By the same argument as above the inclusion of X into Y can be shown to induce the extension

$$0 \rightarrow J_n \rightarrow E_n \rightarrow \mathcal{O}_n \rightarrow 0.$$

4.3. Embedding $C_\rho^*F_2$ in \mathcal{O}_2 . In [2] it was shown that there is an injection of the reduced C^* -algebra $C_\rho^*F_2$ into \mathcal{O}_2 . We conclude by constructing such an inclusion explicitly using our identification of \mathcal{O}_2 with $C^*(Y)$. To do so we will construct explicit injective quasi-isometries from F_2 into Y . It is well known that for any $n, m > 2$ the n -regular tree is quasi-isometric to the m -regular tree, and such quasi-isometries are easy to construct. The purpose of the construction given here is that these quasi-isometries are defined in such a way that they behave well with respect to the natural partial translations on F_2 and on Y .

An element $g \in F_2$ is uniquely determined by a geodesic segment emanating from the identity element, and this is encoded by a sequence of turns in the Cayley graph of F_2 . To formalise what we mean by a turn we consider the extension of F_2 by the cyclic group of order 4 generated by a rotation of the Cayley graph around the base point as follows.

Let α be the automorphism of F_2 taking a to b and b to a^{-1} . We will use the notation x^α for the image of x under α . Let H be the group of automorphisms of F_2 generated by α , and let G be the semi-direct product $H \ltimes F_2$. This group is generated by a, b, α with the relations $\alpha^4 = 1, \alpha a \alpha^{-1} = b$ and $\alpha b \alpha^{-1} = a^{-1}$. In general we have $\alpha x \alpha^{-1} = x^\alpha$, for x a word in F_2 .

An element of F_2 , viewed as a subgroup of G , can be written uniquely in the form $a^{-n} \alpha^{i_0} a \alpha^{i_1} a \dots \alpha^{i_{d-1}} a \alpha^{i_d}$, where $n, d \geq 0, i_j \in \{-1, 0, 1\}$ for $j < d, i_0 + \dots + i_d = 0 \pmod{4}$, and if $n > 0$ then $i_0 \neq 0$. A reduced word in F_2 can be directly transcribed in this form, and we note that the condition that the word is reduced translates directly into the restrictions that $i_0 \neq 0$ if $n > 0$, and that there are no factors of α^2 , except possibly for the final term α^{i_d} .

We will now consider a couple of examples. The word aba is equal to the normal form word $a^0 \alpha^0 a \alpha^1 a \alpha^{-1} a \alpha^0$. Geometrically we can interpret this as saying that starting from an initial heading of East (the a direction) we go forwards (α^0) then turn left and move forward one unit (α^1) then turn right and move forward one unit (α^{-1}). Our final heading is East and this may be read from the terminal α^0 . The word $a^{-1}b^2$ is equal to $a^{-1} \alpha^1 a \alpha^0 a \alpha^{-1}$, which geometrically we interpret as moving backwards by 1 while facing East, turning left and moving one unit (α^1) then continuing forwards for one unit (α^0). Note that at the end we are facing North, which may also be read from the terminal α^{-1} . Backwards moves are special in the following sense: they can only occur as initial moves, and they do not change the direction in which we are facing. We will call the direction in which we are facing at any stage the *heading*.

We define a heading function $h: F_2 \rightarrow \mathbb{Z}/4\mathbb{Z}$ by $h(a^{-n} \alpha^{i_0} a \dots \alpha^{i_d}) = -i_d$. As $i_0 + \dots + i_d = 0 \pmod{4}$, we have $h(x) = i_0 + \dots + i_{d-1}$. This justifies the observation above that our final heading can be read from the terminal exponent of α . Indeed we note that for a word x in F_2 , if $x = a^{-n}$ for $n \geq 0$ then $h(x) = 0$, otherwise $h(x)$ determines the final term in the word: if $x = x_1 \dots x_k$ then $x_k = \alpha^{h(x)} a \alpha^{-h(x)}$.

To embed F_2 into Y , we will need to encode the turns α^{i_j} and the headings $h(x)$

as words in a, b . Define $u_0 = a, u_1 = b^2, u_{-1} = ab$, and define $v_0 = a^2, v_1 = b^2, v_2 = ab$ and $v_3 = ba$ (the index is interpreted modulo 4). We can now define an embedding of F_2 into Y

$$\phi_0: F_2 \rightarrow Y, \quad \phi_0: x = a^{-n}\alpha^{i_0}a\alpha^{i_1}a \dots \alpha^{i_d} \mapsto a^{-n}u_{i_0}u_{i_1} \dots u_{i_{d-1}}v_{h(x)}.$$

This expression for $\phi_0(x)$ is not necessarily a reduced word, however at most there is cancellation of one factor of a from u_{i_0} with one factor of a^{-1} . One can read off i_d, i_{d-1}, \dots, i_0 from the right of the word, hence one can recover the original word x . Thus ϕ_0 is injective.

We can extend ϕ_0 to a map from G to Y in the following simple way. A general element of G is of the form $a^{-n}\alpha^{i_0}a\alpha^{i_1}a \dots \alpha^{i_d}$, where $n, d \geq 0, i_j \in \{-1, 0, 1\}$ for $j < d$, and if $n > 0$ then $i_0 \neq 0$. Note that we now drop the requirement that $i_0 + \dots + i_d = 0 \pmod{4}$. We will call this the normal form for an element of G . We define

$$\Phi: x = a^{-n}\alpha^{i_0}a\alpha^{i_1}a \dots \alpha^{i_d} \mapsto a^{-n}u_{i_0}u_{i_1} \dots u_{i_{d-1}}v_{h(x)}$$

where as before $h(x) = -i_d$. Again we can recover the word x from its image, thus Φ is injective. Moreover it is a bijection: for any element y of Y we can read off a corresponding word x such that $\Phi(x) = y$.

The restriction of Φ to F_2 in G is ϕ_0 . Moreover G decomposes as four left cosets of F_2 , and these are preserved by the right action of F_2 on G . Using the bijection Φ we can define a corresponding action of F_2 on Y . This action is free and has four orbits which are identified with the cosets by Φ . Given the set of orbit representatives $I = \{v_0, v_1, v_2, v_3\}$ the space $\ell^2(Y)$ is thus identified as $\ell^2(F_2) \otimes \ell^2(I)$, and the action of F_2 on the right gives rise to the representation $\rho \otimes 1$ where ρ is the right regular representation of $\ell^2(F_2)$. This is the natural embedding of $C_\rho^*(F_2)$ into $C_\rho^*(G)$. Furthermore the bijection Φ induces an isomorphism Φ_* between the bounded linear operators on $\ell^2(G)$ and those on $\ell^2(Y)$.

Theorem 5. *The image of $C_\rho^*(F_2) \otimes 1$ under the map Φ_* is contained in $C^*(Y) \cong \mathcal{O}_2$.*

Proof. Note that $C_\rho^*(F_2) \otimes 1$ is generated by the elements $\rho(a) \otimes 1, \rho(b) \otimes 1$. We will construct two elements $t_a, t_b \in C^*(Y)$ and show that these are the images under Φ_* of $\rho(a) \otimes 1, \rho(b) \otimes 1$ respectively. It will thus follow that the image of $C_\rho^*(F_2) \otimes 1$ is contained in $C^*(Y)$.

Recall that right multiplication by a, b, a^{-1}, b^{-1} in F_2 induce partial isometries a, b, a^*, b^* on $\ell^2(Y)$. Let t_a, t_b be the partial isometries defined as follows:

$$\begin{aligned} t_a &= a^3(a^*)^2 + ba(a^*)^2b^* + aba^*b^*a^*b^* + b^2(b^*)^2a^*b^* + a^2ba(b^*)^2 + a^2b^2b^*a^*, \\ t_b &= b^2a(b^*)^2 + aba^*b^*a^* + a^2a^*(b^*)^2a^* + ba(b^*)^3a^* + b^3aa^*b^* + b^4(a^*)^2. \end{aligned}$$

Viewed as partial translations our operators are chosen so that for any element $y \in Y \subset F_2$, there is a unique term in t_a which is defined at y , and likewise for t_b .

Moreover we will see that t_a and t_b are bijections from Y to itself. In terms of the algebra $C^*(Y)$ this means that t_a and t_b are unitaries.

The following tables show which term in t_a, t_b acts on any given word in y , and how the word is changed.

Word ends in	Applicable term for t_a	Ending replaced by
$a^2 = v_0$	$a^3(a^*)^2$	$a^3 = u_0v_0$
$a^2b = u_0v_2$	$ba(a^*)^2b^*$	$ab = v_2$
$abab = u_{-1}v_2$	$aba^*b^*a^*b^*$	$ba = v_3$
$b^2ab = u_1v_2$	$b^2(b^*)^2a^*b^*$	$b^2 = v_1$
$b^2 = v_1$	$a^2ba(b^*)^2$	$aba^2 = u_{-1}v_0$
$ba = v_3$	$a^2b^2b^*a^*$	$b^2a^2 = u_1v_0$

Word ends in	Applicable term for t_b	Ending replaced by
$b^2 = v_1$	$b^2a(b^*)^2$	$ab^2 = u_0v_1$
$aba = u_0v_3$	$aba^*b^*a^*$	$ba = v_3$
$ab^2a = u_{-1}v_3$	$a^2a^*(b^*)^2a^*$	$a^2 = v_0$
$b^3a = u_1v_3$	$ba(b^*)^3a^*$	$ab = v_2$
$ab = v_2$	$b^3aa^*b^*$	$ab^3 = u_{-1}v_1$
$a^2 = v_0$	$b^4(a^*)^2$	$b^4 = u_1v_1$

For the benefit of the reader we consider the following example. Let $y = \Phi(\alpha^0a\alpha^1) = u_0v_3$. Then $t_a y = u_0u_1v_0 = \Phi(\alpha^0a\alpha^1a\alpha^0)$. Thus the action of t_a on y , is the same as the right action of a on y , via the identification Φ of Y with G . Similarly $t_b y = v_3 = \Phi(\alpha)$, and we note that the right action of b on $a\alpha$ produces $a\alpha^2a\alpha^{-1} = \alpha^2a^{-1}a\alpha^{-1} = \alpha$. Thus the action of t_b on y agrees with the right action of b on y .

We now consider the general case. Right multiplication by the element a takes a word of the form $a^{-n}\alpha^{i_0}a\alpha^{i_1}a \dots \alpha^{i_d}$ to $a^{-n}\alpha^{i_0}a\alpha^{i_1}a \dots \alpha^{i_d}a\alpha^0$. This is in normal form unless $i_d = 2$ in which case we have cancellation as $a\alpha^2a = \alpha^2a^{-1}a = \alpha^2$, thus

$$a^{-n}\alpha^{i_0}a\alpha^{i_1}a \dots \alpha^{i_{d-1}}a\alpha^{i_d}a\alpha^0 = a^{-n}\alpha^{i_0}a\alpha^{i_1}a \dots \alpha^{i_{d-1}+2}.$$

In terms of the action of F_2 on Y we thus find that multiplication by a has the effect of taking a word of the form yv_0 to yu_0v_0 , taking yv_1 to $yu_{-1}v_0$, taking yv_3 to yu_1v_0 and taking yu_iv_2 to yu_{2-i} . Thus the translation t_a is precisely the right action of a on Y .

Similarly right multiplication by the element b takes a word of the form

$$a^{-n}\alpha^{i_0}a\alpha^{i_1}a \dots \alpha^{i_d}$$

to $a^{-n}\alpha^{i_0}a\alpha^{i_1}a \dots \alpha^{i_{d+1}}a\alpha^{-1}$. This is in normal form unless $i_d + 1 = 2$ in which case we have the cancellation

$$a^{-n}\alpha^{i_0}a\alpha^{i_1}a \dots \alpha^{i_{d-1}}a\alpha^{i_d+1}a\alpha^{-1} = a^{-n}\alpha^{i_0}a\alpha^{i_1}a \dots \alpha^{i_{d-1}+1}.$$

Hence in terms of the action of F_2 on Y we find that multiplication by b has the effect of taking a word of the form yv_0 to yu_1v_1 , taking yv_1 to yu_0v_1 , taking yv_2 to $yu_{-1}v_1$ and taking yu_iv_3 to yv_{3-i} . Again one can check that this is precisely the effect of t_b . Thus the translation t_b is the right action of b on Y .

We conclude that the subalgebra $C^*(t_a, t_b)$ of $C^*(Y)$ is generated by two unitaries which act on $l^2(Y) \cong l^2(F_2) \otimes l^2(I)$ as $\rho(a) \otimes 1, \rho(b) \otimes 1$, where ρ is the right regular representation of F_2 on $l^2(F_2)$. This completes the proof. \square

We conclude this section by remarking that the above theorem generalises to show that $C_{\rho_G}^*(G)$ embeds into $C^*(Y)$ where ρ_G denotes the right regular representation of G on $l^2(G)$. Taking t_a, t_b as in the proof of the theorem, we additionally define

$$t_\alpha = ab(a^*)^2 + a^2(b^*)^2 + b^2a^*b^* + bab^*a^*.$$

Then t_α takes a word of the form yv_i to yv_{i-1} . This is precisely the action of α by right multiplication on Y , hence the subalgebra $C^*(t_a, t_b, t_\alpha)$ of $C^*(Y)$ is canonically identified as $C_{\rho_G}^*(G)$. We thus have an embedding of $C_{\rho_G}^*(G)$ into $\mathcal{O}_2 = C^*(Y)$.

References

- [1] J. Brodzki, G. A. Niblo, and N. J. Wright, Property A, partial translation structures, and uniform embeddings in groups. *J. London Math. Soc.* (2) **76** (2007), 479–497. [Zbl 1139.46045](#) [MR 2363428](#)
- [2] M. D. Choi, A simple C^* -algebra generated by two finite-order unitaries. *Canad. J. Math.* **31** (1979), 867–880. [Zbl 0441.46047](#) [MR 0540914](#)
- [3] L. A. Coburn, The C^* -algebra generated by an isometry. II. *Trans. Amer. Math. Soc.* **137** (1969), 211–217. [Zbl 0186.19704](#) [MR 0236720](#)
- [4] J. Cuntz, K -theory for certain C^* -algebras. *Ann. of Math.* (2) **113** (1981), 181–197. [Zbl 0437.46060](#) [MR 0604046](#)
- [5] A. de Polignac, Six propositions arithmologiques déduites du crible d'Ératosthène. *Nouv. Ann. Math.* **8** (1849), 423–429.
- [6] J. Roe, *Lectures on coarse geometry*. Univ. Lecture Ser. 31, Amer. Math. Soc., Providence, RI, 2003. [Zbl 1042.53027](#) [MR 2007488](#)

- [7] G. Skandalis, J. L. Tu, and G. Yu, The coarse Baum–Connes conjecture and groupoids. *Topology* **41** (2002), 807–834. [Zbl 1033.19003](#) [MR 1905840](#)
- [8] G. Yu, The coarse Baum–Connes conjecture for spaces which admit a uniform embedding into Hilbert space. *Invent. Math.* **139** (2000), 201–240. [Zbl 0956.19004](#) [MR 1728880](#)

Received April 21, 2008

J. Brodzki, G. A. Niblo, N. Wright, School of Mathematics, University of Southampton, Southampton SO17 1BJ, England

E-mail: J.Brodzki@soton.ac.uk; G.A.Niblo@soton.ac.uk; N.J.Wright@soton.ac.uk