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## On ramified covers of the projective plane II: Generalizing Segre's theory

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**Abstract.** The classical Segre theory gives a necessary and sufficient condition for a plane curve to be a branch curve of a (generic) projection of a smooth surface in  $\mathbb{P}^3$ . We generalize this result for smooth surfaces in a projective space of any dimension in the following way: given two plane curves,  $B$  and  $E$ , we give a necessary and sufficient condition for  $B$  to be the branch curve of a surface  $X$  in  $\mathbb{P}^N$  and  $E$  to be the image of the double curve of a  $\mathbb{P}^3$ -model of  $X$ .

In the classical Segre theory, a plane curve  $B$  is a branch curve of a smooth surface in  $\mathbb{P}^3$  iff its 0-cycle of singularities is special with respect to a linear system of plane curves of particular degree. Here we prove that  $B$  is a branch curve of a surface in  $\mathbb{P}^N$  iff (part of) the cycle of singularities of the union of  $B$  and  $E$  is special with respect to the linear system of plane curves of a particular low degree. In particular, given just a curve  $B$ , we provide some necessary conditions for  $B$  to be a branch curve of a smooth surface in  $\mathbb{P}^N$ .

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### 1. Introduction

Let  $X$  be a non-singular algebraic surface of degree  $\nu$  in  $\mathbb{P}^N$  (we work over an algebraically closed field of characteristic 0). Choosing a generic linear subspace  $W$  of codimension 3 in  $\mathbb{P}^N$  and considering the projection of  $\mathbb{P}^N$  to a plane with center  $W$ , we get a ramified cover  $\pi : X \rightarrow \mathbb{P}^2$ . Let  $B$  be the branch curve of  $\pi$ ; it is known to be an irreducible nodal-cuspidal curve. Such branch curves are special among all nodal-cuspidal curves with the same type of singularities; for example, in the simplest and the most well-known case of a cubic surface in  $\mathbb{P}^3$ , the branch curve  $B$ , which is a plane sextic with six cusps, is special since all of its six cusps lie on a conic (see Segre and Zariski [24], [27]). Segre studied the question of whether the singularities of  $B$  form a special configuration of points in the plane for a surface of any degree in  $\mathbb{P}^3$ , as in the case of a surface of degree 3, and found a generalization of this statement. Moreover, he proved that this property uniquely characterizes branch curves and that one can reconstruct the surface from its branch curve.

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More specifically, let  $S$  be a smooth surface in  $\mathbb{P}^3$ ; its branch curve is known to be of degree  $v(v-1)$ , have  $c(v) = v(v-1)(v-2)$  cusps and  $n(v) = \frac{1}{2}v(v-1)(v-2)(v-3)$  nodes. Let  $a(v) = (v-1)(v-2)$ . Segre proved that a nodal-cuspidal plane curve  $B$  of degree  $v(v-1)$  with  $n(v)$  nodes and  $c(v)$  cusps is a branch curve of a generic projection of a smooth surface of degree  $v \geq 3$  in  $\mathbb{P}^3$  if and only if there are two adjoint curves of degrees  $a(v)$  and  $a(v) + 1$  passing through the 0-cycle of singularities of  $B$  and having separated tangents and these singularities. In particular, the 0-cycle of singularities of  $B$  is special. (See [24] for Segre's original proof, but also [5], [19] and [8] for recent surveys.)

In light of this necessary and sufficient condition for a curve to be a branch curve of a smooth surface in  $\mathbb{P}^3$ , it was natural for Chisini [3] to conjecture that a generic ramified cover of the plane  $\mathbb{P}^2$  of degree at least 5 is uniquely determined by its branch curve. This conjecture was proved by Kulikov (in [17] for ramified covers of degree at least 11, and then in [20] for generic linear projections of surfaces other than Veronese), but his proof is not constructive.

Given a smooth surface  $X$  in  $\mathbb{P}^N$ ,  $N > 3$ , one can decompose any projection  $\pi : X \rightarrow \mathbb{P}^2$  as a composition of a generic projection  $X \rightarrow \mathbb{P}^3$  and a projection  $\mathbb{P}^3 \rightarrow \mathbb{P}^2$ . Let  $S$  be the image of  $X$  in  $\mathbb{P}^3$ . It is known that  $S$  is a surface with ordinary singularities, i.e., it has a double curve as its singular locus. (Note that the class of surfaces with ordinary singularities in  $\mathbb{P}^3$  is broader than the class of images of generic linear projections of smooth surfaces in  $\mathbb{P}^N$ ; in particular, if  $E$  has multiple components then  $X$  is not a generic linear projection of a smooth surface.)

One can check that the branch curve of the projection of  $S$  to  $\mathbb{P}^2$  is a union of the branch curve  $B$  of the projection  $X \rightarrow \mathbb{P}^2$  and the image  $2E$  of the double curve  $2E^*$  with the corresponding double (scheme) structure. (We sometimes call  $B$  a *pure branch curve* for the projection of  $S$  to  $\mathbb{P}^2$ .)

One possible direction toward generalizing Segre's theory for the smooth surface  $X$  in  $\mathbb{P}^N$  is to consider its image  $S$  in  $\mathbb{P}^3$  and ask the same questions for the total branch curve, i.e., to study the configuration of the singularities of the branch curve  $B \cup 2E$  on the plane. Slightly more generally, we can consider any surface  $S$  in  $\mathbb{P}^3$  with ordinary singularities (not necessarily a projection of a surface from  $\mathbb{P}^N$ ) projected further to  $\mathbb{P}^2$ , and try to generalize Segre's theory to this class of surfaces.

We ask the following questions: What are the special properties of the pure branch curve  $B$ , or the total branch curve  $B \cup 2E$ ? Can one construct a singular surface  $S$  in  $\mathbb{P}^3$ , given two plane curves, a nodal-cuspidal curve  $B$  and a double curve  $2E$ , where  $E$  possibly has triple points and nodes as singularities, and two adjoint curves (to  $B \cup E$ ) such that the total branch curve of  $S$  is  $B \cup 2E$ ?

In this paper we give answers to these questions. We prove that the branch curve  $B$  has two adjoint curves, which also pass through some of the singularities of  $B \cup E$ , such that one can (re)construct a surface  $S$  with ordinary singularities given  $B$ ,  $E$ , and these two adjoint curves. Thus, there is a 0-cycle of points on  $B$  consisting of cusps and the nodes of  $B$  and two other special sets of points (called the "vertical" points and the "new" intersection points of  $B$  and  $E$ ), which is a special 0-cycle on the plane.

As a consequence, we have a constructive proof of the analogue of Chisini's conjecture for surfaces in  $\mathbb{P}^3$  with ordinary singularities. That is, any such surface in  $\mathbb{P}^3$  is determined uniquely and constructively by its *total* branch curve in  $\mathbb{P}^2$ .

This paper is organized as follows. In Section 2 we give the necessary background regarding surfaces in  $\mathbb{P}^3$ . Section 3 proves that if  $B$  is the pure branch curve of a singular surface  $S \subseteq \mathbb{P}^3$ , then  $B$  (respectively,  $B \cup E$ ) has two adjoint curves, and in particular, there is a special 0-cycle on  $B$  that consists of the singularities of  $B$  (and the intersection of  $B$  and  $E$ ). In Section 4 we prove the converse, i.e., the sufficiency of these two adjoint curves to construct a surface  $S$  with a given (total) branch curve  $B \cup 2E$ . We conclude the paper with a few examples in Section 5.

## 2. Surfaces in $\mathbb{P}^3$

Let  $X$  be a smooth projective surface in  $\mathbb{P}^N$ . Projecting  $X$  to  $\mathbb{P}^2$  by a generic linear projection, we know that the branch curve  $B$  is a nodal-cuspidal curve (see [4] for a modern proof). However,  $X$  can be first projected onto  $\mathbb{P}^3$ , where its image has a double curve. In this section we review the relations between the ramification curve, the double curve and their images in  $\mathbb{P}^2$ .

It is classical that any smooth projective surface  $X$  can be embedded into  $\mathbb{P}^5$  as a smooth surface. However, when projecting generically from  $\mathbb{P}^5$  to  $\mathbb{P}^3$ , the image  $S \subset \mathbb{P}^3$  of  $X$  is a singular surface with so-called "ordinary singularities" (this is, of course, also true for smooth surfaces in  $\mathbb{P}^4$ ). The singular locus of  $S$  is well known (see, e.g., [12]): it consists of a double curve whose only singularities are some triple and pinch points. Explicitly, in terms of a local holomorphic coordinate system  $(x, y, z)$ , a local model of the surface  $S$  is as follows:

- in a neighborhood of a smooth point of the double curve,  $S = \{xy = 0\}$ ;
- in a neighborhood of a triple point,  $S = \{xyz = 0\}$ ;
- in a neighborhood of a pinch point,  $S = \{x^2 - yz^2 = 0\}$ .

**Notation 2.1.** Scheme-theoretically, the double curve  $F^*$  of  $S$  is given as the annihilator of the pushforward of the sheaf  $\Omega_{X/S}^1$  with respect to the map  $f : X \rightarrow S$ . The reduced closed subscheme structure of the double curve of  $S$ , which is denoted by  $E^*$ , is the support of  $F^*$ .

**Remark 2.2.** In  $A_1(S)$ , we have  $[F^*] = 2[E^*]$ , where  $[F^*]$  is the Weil divisor associated with the Cartier divisor  $2[E^*]$ .

It is known that  $E^*$  is irreducible unless  $X = V_2$ , the Veronese embedding of  $\mathbb{P}^2$  in  $\mathbb{P}^5$ , where in this case the (reduced) double curve  $E^*$  of  $f(X) = S$  is a union of three non-coplanar lines meeting in one point. See [21, Theorem 3] and [6]. Note, however, that there are surfaces in  $\mathbb{P}^3$  with ordinary singularities such that their double curve is reducible, i.e., they are not generic projections of a smooth surface in  $\mathbb{P}^N$ ,  $N > 3$ . For example, there is a degree 4 surface in  $\mathbb{P}^3$  with two skew lines as a double curve (see e.g. [12, p. 630]).

Assume now that we are given a degree  $\nu$  surface  $S = \{f = 0\} \subset \mathbb{P}^3 = \mathbb{P}(V)$  with ordinary singularities and a point  $O$  not on  $S$ . We want to emphasize that  $S$  is not necessarily the projection of a smooth surface, and its double curve may be reducible. Consider the projection map  $\pi : S \rightarrow \mathbb{P}(V/l_O) \simeq \mathbb{P}^2$  (where  $l_O$  is the line in  $V$  corresponding to the point  $O$  in  $\mathbb{P}(V)$ ), and define the polar surface of  $S$  with respect to  $O$  as

$$S'_O = \left\{ \sum O_i \frac{\partial f}{\partial x_i} = 0 \right\}.$$

The ramification curve  $B_{\text{Total}}^*$  of the projection is defined as the scheme-theoretic intersection of  $S$  and the polar surface  $S'_O$ . Note that  $B_{\text{Total}}^* = S \cap S'_O$  is the annihilator of the sheaf  $\Omega_{S/\mathbb{P}^2}$ .

One can now see that  $B_{\text{Total}}^*$  can be decomposed (scheme-theoretically and in  $Z_1(S)$ ) as

$$[B_{\text{Total}}^*] = [B^*] + [F^*].$$

Note that the set-theoretic intersection  $B^*$  of  $S'_O$  with the smooth locus of  $S$  is the set of smooth points  $p$  on  $S$  such that the tangent plane  $T_p(S)$  contains  $O$ . Scheme-theoretically,  $B^*$  is the support of the kernel sheaf of the canonical map  $\Omega_{S/\mathbb{P}^2}^1 \rightarrow i_* i^* \Omega_{S/\mathbb{P}^2}^1 \rightarrow 0$ , where  $i$  is the embedding of  $F^*$  into  $S$  (where this map is associated to the left adjointness of  $i_*$ ). For a different scheme-theoretic description of  $E^*$  and  $B^*$ , see [22, Section 2].

**Remark 2.3.** For a smooth surface  $X \subset \mathbb{P}^N$ ,  $N \geq 3$ , any generic projection  $X \subset \mathbb{P}^N \rightarrow \mathbb{P}^2$  can be factored as a composition of projections  $X \subset \mathbb{P}^N \rightarrow \mathbb{P}^3 \rightarrow \mathbb{P}^2$  such that, letting  $S = \text{Im}(X) \subset \mathbb{P}^3$ , the projection  $S \rightarrow \mathbb{P}^2$  is also generic. If we first project  $X$  to  $\mathbb{P}^3$ , and then from  $\mathbb{P}^3$  to  $\mathbb{P}^2$ , we get an extra component of the branch curve: if  $B^* \subset \mathbb{P}^2$  is the ramification curve of the direct projection  $X \subset \mathbb{P}^N \rightarrow \mathbb{P}^2$  and  $F^* \subset \mathbb{P}^3$  is the double curve, then, in  $Z_1(\mathbb{P}^2)$ ,

$$[B_{\text{Total}}] = [B] + [F],$$

where  $B_{\text{Total}}$ ,  $B$  and  $F$  are the scheme-theoretic images of  $B_{\text{Total}}^*$ ,  $B^*$  and  $F^*$ , respectively. Of course, the direct projection of  $X$  from  $\mathbb{P}^N$  to  $\mathbb{P}^2$  “does not know” about the double curve of  $S$  in  $\mathbb{P}^3$ . As before, in terms of cycle classes, group  $A_1(\mathbb{P}^2)$ , we have  $[F] = 2[E]$ .

From now on, let  $S$  be a surface in  $\mathbb{P}^3$  with ordinary singularities.

**Notation 2.4.** Let  $u$  be the number of components of  $E^*$  and let  $E_i^*$  be these components. Set

$$e = \deg E^*, \quad e_i = \deg E_i^*, \quad \nu = \deg S, \quad d = \deg B^* = \nu(\nu - 1) - 2e = \deg B^* - 2 \deg E^*.$$

**Remark 2.5.** A generic hyperplane section  $S \cap H$  of  $S$  is a plane curve of degree  $\nu$  with  $e$  nodes at the points of  $E^* \cap H$ , so

$$0 \leq e \leq \frac{(\nu - 1)(\nu - 2)}{2},$$

since the number of nodes of a plane curve cannot exceed its arithmetic genus. It follows that the pair  $(\nu, d)$  satisfies the relation

$$2(\nu - 1) \leq d \leq \nu(\nu - 1).$$

Note that for a given  $d$  there are only a finite number of possible values of  $\nu$  such that a plane curve  $C$  of degree  $d$  can be a pure branch curve of a degree  $\nu$  surface in  $\mathbb{P}^3$  with ordinary singularities. We discussed the geography of this domain in our previous paper [8].

**Definition 2.6.** We define

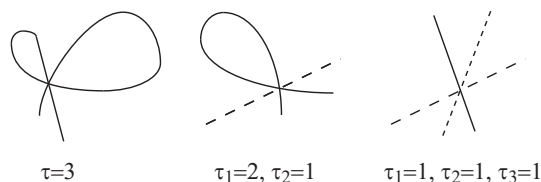
$$Q_{\text{Total}}^* = B_{\text{Total}}^* \cap S''_O$$

to be the scheme-theoretic intersection of  $B_{\text{Total}}^*$  and the second polar surface  $S''_O$ , i.e., the intersection of  $S, S'_O$  and  $S''_O$ . For a smooth surface, the points  $Q_{\text{Total}}^*$  are the preimages of the cusps of the branch curve  $B$  (see e.g. [25]). However, for a singular surface  $S$ , not all the points of  $Q_{\text{Total}}^*$  form cusps on the branch curve. (See Lemma 2.9.)

We denote by  $Q^*$  the smooth points of  $B^*$  such that their images  $\pi(Q^*)$  are the cusps of  $B$ .

**Notation 2.7.** Denote by  $V_i^* \in E_i^*$  the points such that the tangent plane to  $S$  at  $V_i^*$  contains the center of projection  $O$ . We call these points *vertical points*, as we think of  $O$  as being “high-above” (or *points of immersion*, in [25, Chapter VII, Section 3]). Let  $V^* = \bigcup V_i^*$ .

Denote by  $T^*$  the set of triple points of  $E^* = \bigcup E_i^*$ , and by  $t$  the number of these points. Let  $\bigcup_{j=1}^t \tau_{ij}^*$  be the set of triple points of  $E_i^*$  (indexed by  $j$ ) with their multiplicities on the component  $E_i$  (see [12, p. 622]). Note that  $|\sum_i \tau_{ij}^*| = 3$  and  $|\sum_{i,j} \tau_{ij}^*| = 3t$ . We introduce this notation as we have to take into account the fact that there can be different types of spatial triple points, arising from the fact that a triple point can be composed from several components of the branch curve. In the figure below we picture the different arrangements, with the corresponding  $\tau$ 's.



**Fig. 1**

Let  $\text{Pinch}_i^*$  be the set of pinch points of  $E_i^*$  and let  $p_i$  be the number of these points. Denote  $\text{Pinch}^* = \bigcup_i \text{Pinch}_i^*$  and  $p = \sum_i p_i$ .

For any curve  $C \subset \mathbb{P}^N$  let  $h_C$  be the Cartier class of the hyperplane section of  $C$ .

**Remark 2.8.** Note that the number  $p$  of pinch points is always even (see [10] or [21, Theorem 3(4)]) and it is 0 only when  $S$  is a smooth surface in  $\mathbb{P}^3$ .

The following lemma is proved in [25, Chapter IX, Sections 3.1, 3.2].

**Lemma 2.9.** (1)  $Q^*_{\text{Total}} = S''_O \cap B^*_{\text{Total}}$  can be decomposed as

$$[Q^*_{\text{Total}}] = [Q^*] + [S''_O \cap F^*].$$

Note that the images of points of  $S''_O \cap E^*$  under the projection are smooth points of  $B$ .

(2) Points in  $B^* \cap E^*$  do not project to nodes or cusps of the branch curve, i.e., their images are smooth points on  $B$ . Moreover, scheme-theoretically (or in  $Z_0(B^*)$ , the group of 0-cycles),

$$B^* \cap E^* = \text{Pinch}^* \cup V^*, \quad B^* \cap E_i^* = \text{Pinch}_i^* \cup V_i^*.$$

(3) In  $Z_0(E^*)$ ,  $S''_O \cap E^*$  can be decomposed as

$$[S''_O \cap E^*] = [V^*] + 3[T^*],$$

and in  $Z_0(E_i^*)$  the equation is

$$[S''_O \cap E_i^*] = [V_i^*] + \sum_j [\tau_{ij}^*].$$

In  $Z_0(B^*)$ ,  $S''_O \cap B^*$  can be decomposed as

$$[S''_O \cap B^*] = [V^*] + [Q^*].$$

We cite here from [12, p. 628] the computation of the number of pinch points on  $E_i^*$ .

**Lemma 2.10.**

$$p_i = 2(v - 4)e_i - 2 \sum_j |\tau_{ij}| - 4(g_i - 1), \tag{2.1}$$

$$p = 2(v - 4)e - 6t - 4(g - u),$$

where  $g = \sum g_i$  is the sum of the arithmetic genera of  $E_i^*$ , and  $u$  is the number of components of  $E^*$ .

*Proof* (see [12, p. 628]). By Riemann–Hurwitz and adjunction on the blow up of  $S$  with respect to the triple points and the double curve.  $\square$

**Remark 2.11.** In the case when  $S$  is a generic projection of a smooth surface, if  $S$  is not the image of the Veronese surface  $V_2$ , then  $u = 1$ . When  $S$  is the image of  $V_2$ ,  $u = 3$ ,  $p = 6$  and on each double line there are two pinch points ( $|\text{Pinch}_i| = 2$ ,  $1 \leq i \leq 3$ ; see e.g. [25]).

**Remark 2.12.** In [12, p. 624] the Chern classes  $c_1^2$ ,  $c_2$  of  $S$  are expressed in terms of  $v$ ,  $e$ ,  $t$  and  $g - u$ :

$$c_1^2 = v(v - 4)^2 - 5ve + 24e + 4(g - u) + 9t,$$

$$c_2 = v^2(v - 4) + 6v + 24e - 7ve + 8(g - u) + 15t.$$

### 3. From ramification curves to adjoint curves

#### 3.1. The pure branch curve

When projecting a surface  $S \subset \mathbb{P}^3 = \mathbb{P}(V)$  to the plane  $\Pi = \mathbb{P}(V/\ell_O)$  from a generic point  $O \in \mathbb{P}^3$ ,  $O \notin S$  ( $O$  is the projectivization of a 1-dimensional space  $\ell_O \subset V$ ), the (pure) ramification curve  $B^*$  and the reduced double curve  $E^*$  project to two singular plane curves  $B$  and  $E$ , respectively. Denote the projection map by  $\pi : S \rightarrow \Pi$ .

The singular points of  $B$  are nodes, denoted by  $P$ , and cusps, denoted by  $Q$ , while the curve  $E$  has as singularities nodes (arising from the projection  $E^*$  to  $E$ ), denoted by Node, and triple points, denoted by  $T$ .

We recall (from Lemma 2.9) that  $B^*$  and  $E_i^*$  intersect at the pinch points  $\text{Pinch}_i^*$  and at the vertical points  $V_i^*$ .

Let  $\text{Pinch}_i$  (resp.  $V_i$ ) be the image of  $\text{Pinch}_i^*$  (resp.  $V_i^*$ ) under the projection  $S \rightarrow \Pi$ . Thus, set-theoretically,  $B$  and  $E_i$  intersect at the images of the pinch points  $\text{Pinch}_i$  and at the vertical points  $V_i$ , and also potentially at some new points  $N_i$ :

$$B \cap E_i = \text{Pinch}_i \cup V_i \cup N_i.$$

This  $N_i$  is the intersection points of  $\Pi$  with bisecants to  $B^*$  passing through  $O$  and intersecting both  $B^*$  and  $E_i^*$  (at two distinct points).

Let  $\text{Node}_i$  be the set of nodes of  $E_i$  that do not coincide with any of the triple points of  $E$ . Note that the sum of the lengths of 0-cycles  $\#(\text{Node}_i)$  may be strictly less than  $\#(\text{Node})$ , as some nodes of  $E$  may arise from lines through  $O$  intersecting two different components of  $E^*$ ,  $E_i^*$  and  $E_j^*$ .

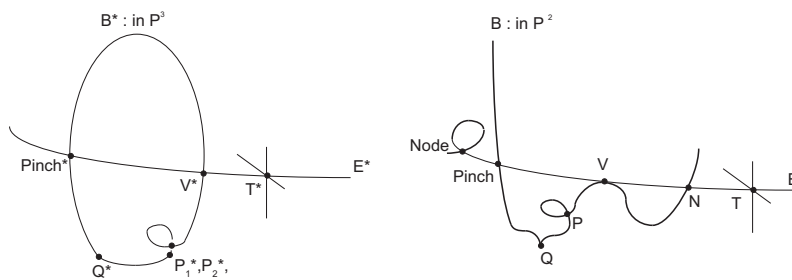


Fig. 2. The ramification curve and the branch curve.

We now prove some relations in the Chow groups  $A_0(B)$  and  $A_0(E_i)$ .

**Lemma 3.1.**

$$[\text{Pinch}_i] + 2[V_i] + [N_i] = e_i h_B \quad \text{in } A_0(B), \quad (3.1)$$

$$[\text{Pinch}_i] + 2[V_i] + [N_i] = (v(v - 1) - 2e) h_{E_i} \quad \text{in } A_0(E_i). \quad (3.2)$$

*Proof.* This is easily verified by a local computation for  $B \cap E_i$ . The curves  $B$  and  $E_i$  intersect transversely at  $\text{Pinch}_i$  and  $N_i$ , and are simply tangent at the vertical points.  $\square$

Note that the version of this equation on  $E$  (and all the other equations that follow) is induced by summing over  $i$ .

**Definition 3.2.** Let  $C = \{f = 0\}$  be a reduced plane curve contained in the plane  $\Pi$ . Choose a generic point  $O' \in \Pi$ ,  $O' \notin C$ . The *polar curve*  $C'$  is defined as

$$C'_{O'} = \text{Pol}_{O'}(C) \doteq \left\{ \sum O'_i \frac{\partial f}{\partial x_i} = 0 \right\}.$$

Note that  $C \cap C' = R_C \cup \text{Sing}(C)$ , where  $R_C$  is the scheme of non-singular points  $p \in C$  such that the tangent line to  $C$  at  $p$  contains  $O'$ . The set  $R_C$  depends on  $O'$ , but the class  $[R_C] \in A_0(C)$  is well defined. It follows that, scheme-theoretically,

$$[R_C] = [C \cap C'] - [\text{Sing}(C)].$$

**Lemma 3.3.** *We have the following equalities in  $A_0(B)$ :*

$$[V] + [Q] = (v - 2)h_B, \tag{3.3}$$

$$2[P] + 3[Q] + [R_B] = (v(v - 1) - 2e - 1)h_B = (d - 1)h_B, \tag{3.4}$$

$$[R_B] = (v - 1)h_B - [V] - 2[\text{Pinch}]. \tag{3.5}$$

*Proof.* (3.3) follows from projecting the intersection  $S'' \cap B^*$  to  $\mathbb{P}^2$  (see Lemma 2.9(3)), (3.4) follows by applying Definition 3.2 to  $B$ , and (3.5) is a new computation, follows from studying  $B^* \cap S'_{O'}$ . The multiplicities are found by a local computation, e.g., in [25, Chapter IX] or [22].  $\square$

Subtract (3.5) from (3.4):

$$2[P] + 3[Q] - [V] - 2[\text{Pinch}] = (v(v - 2) - 2e)h_B.$$

From (3.3) we get  $[Q] = (v - 2)h_B - [V]$ . Thus,

$$2[P] + 2[Q] - 2[V] - 2[\text{Pinch}] = ((v - 1)(v - 2) - 2e)h_B.$$

From the first equation in Lemma 3.1 we see that (by multiplying by 2)  $2e \cdot h_B = 2[\text{Pinch}] + 2[V] + 2[N]$  or  $2[\text{Pinch}] + 2[V] = 2eh_B - 2[V] - 2[N]$ . Substitute this in the equation above to get

$$2[P] + 2[Q] + 2[V] + 2[N] = ((v - 1)(v - 2))h_B. \tag{3.6}$$

### 3.2. Adjoint curves to the pure branch curve and to the double curve

We now ask whether the reduced total branch curve  $B \cup E$  has naturally defined adjoint curves.

**Definition 3.4.** Given a point  $O'$  as above, let  $[e_i^\vee] \in A_0(E_i)$  denote the class of *smooth* points  $p \in E_i$  such that the line  $pO'$  is tangent to  $E_i$ . This is the class corresponding to  $R_{E_i}$  in Definition 3.2.



We recall that Node is the set of nodes of  $E$ . We have the following equalities in the corresponding Chow groups:

**Lemma 3.5.**

$$[V] + 3[T] = (v - 2)h_E, \tag{3.7}$$

$$[V_i] + \sum_j [\tau_{ij}] = (v - 2)h_{E_i}, \tag{3.8}$$

$$[e^\vee] + 2[\text{Node}] + 6[T] = (e - 1)h_E, \tag{3.9}$$

$$[e_i^\vee] + \sum [\text{Node}]|_{E_i} + 2 \sum_j [\tau_{ij}] = (e - 1)h_{E_i}, \tag{3.10}$$

$$[e_i^\vee] + 2[\text{Node}_i] + 2 \sum_{j, \tau_{ij} > 1} [\tau_{ij}] = (e_i - 1)h_{E_i}. \tag{3.11}$$

*Proof.* The first pair of equations is the projection of  $[S'' \cap E^*], [S'' \cap E_i^*]$  (see Lemma 2.9). The next three equations come from  $E \cap E', E_i \cap E'$  and  $E_i \cap E'_i$ .  $\square$

**Lemma 3.6.**

$$[\text{Pinch}_i] = 2[V_i] - 2[e_i^\vee], \quad [\text{Pinch}] = 2[V] - 2[e^\vee]. \tag{3.12}$$

*Proof.* Consider the locus in  $\text{Gr}(2, 3) \times \text{Gr}(2, 3) \times \text{Gr}(1, 3)$  consisting of triples  $(H, H', L)$  such that the line  $L$  is contained in both planes. Then  $V_i$  is the pullback to this locus of the class  $\sigma_1$  in the first Grassmannian of planes, consisting of planes  $H$  containing a given specified point  $P$ ,  $e_i^\vee$  is the pullback of the class  $\sigma_1$  of lines  $L$  meeting a given line  $L'$ , and  $\text{Pinch}_i$  is the pullback of the diagonal in  $\text{Gr}(2, 3) \times \text{Gr}(2, 3)$  where the planes  $H$  and  $H'$  coincide. We can thus see that  $2e_i^\vee = \text{Pinch}_i + 2V_i$ : the line  $L$  meets one of two lines  $L'$  and  $L''$  if and only if  $L$  passes through one of the points where  $L'$  or  $L''$  meets  $H'$ . That is, either  $H = H'$  (and the class  $(H, H', L)$  is in  $\text{Pinch}_i$ ), or  $H$  contains one of these two points (and the class  $(H, H', L)$  is in  $2V_i$ ).  $\square$

**Remark 3.7.** This lemma implies that every component of  $E$  contains vertical points. If  $E_i$  is a component of  $E$  with  $e_i > 1$ , then  $[e_i^\vee] = [e^\vee]|_{E_i} > 0$ , so  $2[V_i] = 2[V]|_{E_i} = [\text{Pinch}_i] + 2[e_i^\vee] > 0$ . If  $E_i$  is a line with no vertical points, then this means that no lines from the projection point  $O$  to  $E_i$  are tangent to the surface  $S$ . But the class of  $[V_i]$  is invariant under the choice of generic  $O$ , so no lines from almost any point to  $E_i$  are tangent to  $S$ . Hence  $S$  must contain a plane containing  $E_i$ , which contradicts the hypothesis that  $S$  is irreducible. Hence, if  $E_i$  is a line, then it must still contain some  $V_i$ . Therefore,  $B^* \cup F^*$  is connected, since every component of  $F^*$  contains some points of  $V^*$ , but  $V^*$  are intersection points of  $F^*$  with  $B^*$ . Since  $B^*$  is irreducible and every component of  $F^*$  meets  $B^*$ ,  $B^* \cup F^*$  is connected.

**Remark 3.8.** Note that we now have equations for all the distinguished classes on  $B \cup F$ , i.e., for  $[T], [\text{Node}], [\text{Pinch}], [V], [N], [Q], [P]$ , in terms of the classes  $h_E, h_B, e^\vee$  and  $K_E$ :

$$6[T] = 2(v - 2)h_E - ([\text{Pinch}] + 2[e^\vee]), \tag{3.13}$$

$$[\text{Node}] = \frac{1}{2}(e - 1)h_E - [e^\vee] - 6[T], \tag{3.14}$$

$$[V] = \frac{1}{2}([\text{Pinch}] + 2[e^\vee]), \tag{3.15}$$

$$[N] = eh_B - 2[V] - [\text{Pinch}], \tag{3.16}$$

$$[Q] = (v - 2)h_B - [V], \tag{3.17}$$

$$[P] = \frac{1}{2}(v - 1)(v - 2)h_B - [Q] - [V] - [N]. \tag{3.18}$$

Local versions also exist, of course, but are more cumbersome to write down. Note that these numerics agree with those that appear in [25].

3.2.1. *Constructing some natural adjoint curves to  $B+E$ .* We now construct some natural adjoint curves to the curve  $B + E$  which later allow us to reconstruct the space curve  $B^* + E^*$  from  $B + E$ .

In the classical Segre theory, in order to construct the natural adjoint curves, we start by computing the intersection of  $B$  with its polar in  $\mathbb{P}^2$  (see [8]).

In the singular case we compute the intersection of  $B \cup F$  with the polar of  $B \cup E$  in  $\mathbb{P}^2$ .

Set-theoretically, the intersection is

$$(B \cup F) \cap (B \cup E)' = P \cup Q \cup \text{Node} \cup R_B \cup R_E$$

(see Definition 3.2 for  $R_E, R_B$ ).

**Proposition 3.9.** *Scheme-theoretically, the intersection  $(B \cup F) \cap (B \cup E)'$  is*

$$2P \cup 3Q \cup 4\text{Node} \cup 3N \cup 3\text{Pinch} \cup 6V \cup 12T \cup R_B \cup 2R_E.$$

*Proof.* We can see this from the following local computations:

- At  $P$ , the nodes of  $B$ ,  $B \cup F$  looks like  $(x + y)(x - y)$ , up to a change of local coordinates, and  $(B \cup E)'$  looks like  $2x$ . The local intersection is the 2-dimensional vector space  $\mathbb{C}\langle 1, y \rangle$ .
- At  $Q$ , the cusps of  $B$ ,  $B \cup F$  looks like  $x^2 - y^3$ , and  $(B \cup E)'$  looks like  $2x$ . The local intersection is the 3-dimensional vector space  $\mathbb{C}\langle 1, y, y^2 \rangle$ .
- At smooth points  $R_B$  of  $B$  where the tangent line passes through 0,  $B$  and  $B'$  meet transversely.
- At all other points of  $B$ , there is no intersection.
- At  $N$  and Pinch,  $B$  intersects  $E$  transversely, so  $B \cup F$  looks like  $(x + y)(x - y)^2$ , and  $(B \cup E)'$  looks like  $2x$ . Hence, the local intersection is of multiplicity 3.
- At  $V$ ,  $B$  is tangent to  $E$ , so  $B \cup F$  looks like  $((x - y) - (x + y)^2)(x - y)^2$ , and  $(B \cup E)'$  looks like

$$(x - y)(-2x - 1) + (x - y) - (x + y)^2 = 2x(x - y) - (x + y)^2 = x^2 - 4xy + y^2.$$

It vanishes to order 2 but is not tangent to either component of  $B \cup F$ , so the total multiplicity is 6.

- At the triple points  $T$ ,  $B \cup F$  looks locally like  $(x - y)^2(x + y)^2(x + 2y)^2$ , and  $(B \cup E)'$  looks like  $(x - y)(x + y) + (x - y)(x + 2y) + (x + y)(x + 2y)$ , which vanishes to order 2 but has no common tangent directions with  $B \cup F$ , so the total multiplicity is 12.

- At the new nodes, Node,  $B \cup F$  is locally  $(x - y)^2(x + y)^2$ , and  $(B \cup E)'$  is locally  $2x$ , so the total intersection multiplicity is 4.
- At the points  $R_E = [e^\vee]$  where  $E$  has a tangent line through  $O'$ ,  $B \cup F$  passes twice and  $(B \cup E)'$  passes once, so the intersection multiplicity is 2.  $\square$

Thus, the polar  $(B \cup E)'$ , which is of degree  $\nu(\nu - 1) - e - 1$ , intersects  $B \cup F$  in

$$2P + 3Q + 4\text{Node} + 3N + 3\text{Pinch} + 6V + 12T + R_B + 2e^\vee.$$

We recall the Residue Theorem (see e.g. Walker [26, Chap. VI, Theorem 6.2]):

**Theorem 3.10** (Residue Theorem). *Let  $C$  be a plane curve. If  $A, A', D$  are divisors on  $C$  and  $A$  and  $A'$  are linearly equivalent, and there is a curve  $L$  intersecting  $C$  in  $A + D$ , then there is also a curve  $L'$  of the same degree intersecting  $C$  in  $A' + D$ .*

Note that the theorem is stated for  $C$  a reduced curve and  $D$  containing its adjoint divisor (Walker denotes our  $D$  by  $B + D$ , where  $D$  is the adjoint divisor and  $B$  is some other positive divisor).

By the Residue Theorem, we can substitute  $Q + 3V + 6T = (\nu - 2)h_{B \cup F}$  (see equations (3.3) and (3.7)) to show that there exists a curve  $W'$  of degree  $\nu(\nu - 1) - e - 1$  that intersects  $B \cup F$  in

$$2P + 2Q + 3N + 3\text{Pinch} + 3V + 6T + 4\text{Node} + R_B + 2e^\vee + A_{\nu-2},$$

where  $A_{\nu-2}$  is the class of the intersection of a generic curve  $C'$  of degree  $\nu - 2$  with  $B \cup F$  (explicitly, in the notation of the Residue Theorem,  $A = Q + 3V + 6T$ ,  $A' = A_{\nu-2}$ ,  $C = B \cup F$ ). Since  $\deg W' \cdot \deg C' < \deg A_{\nu-2}$ ,  $C'$  must be a component of  $W'$ ; hence  $W'$  must be reducible.

Explicitly, dropping the component of degree  $\nu - 2$ , we are left with a curve of degree  $\nu^2 - 2\nu + 1 - e$  that meets  $B \cup F$  in

$$2P + 2Q + 3N + 3\text{Pinch} + 3V + 6T + 4\text{Node} + R_B + 2e^\vee.$$

We now apply the Residue Theorem again to replace equations (3.5), (3.12):

$$(\nu - 1)h_B = [V] + 2[\text{Pinch}] + [R_B], \quad 2[e^\vee] + [\text{Pinch}] = 2[V],$$

to obtain a curve of degree  $\nu^2 - 2\nu + 1 - e$  that meets  $B \cup F$  in

$$2P + 2Q + 3N + 4V + 6T + 4\text{Node} + A'_{\nu-1},$$

where  $A'_{\nu-1}$  is the class of the intersection of a generic curve of degree  $\nu - 1$  with  $B$ .

By adding to this curve a new component of degree  $e$ , we obtain a curve of degree  $\nu^2 - 2\nu + 1$  that meets  $B \cup F$  in

$$2P + 2Q + 3N + 4V + 6T + 4\text{Node} + A'_{\nu-1} + A_e,$$

where  $A_e$  is the class of the intersection of a generic curve of degree  $e$  with  $B \cup F$ . Note that  $[A_e]$  is  $eh_{B \cup F}$  and thus is linearly equivalent to  $\nu(\nu - 1)h_E$ , because both are linearly

equivalent to the excess intersection class of  $E \cap (B \cup F)$  in  $A_0(E) \subset A_0(B \cup F)$ . Using the fact that  $(\nu - 1)h_{B \cup F} = (\nu - 1)h_B + 2(\nu - 1)h_E$ , we can write

$$[A'_{\nu-1}] + [A_e] = (\nu - 1)h_B + eh_{B \cup F} = (\nu - 1)h_{B \cup F} + \nu(\nu - 1)h_E - 2(\nu - 1)h_E = (\nu - 1)h_{B \cup F} + (\nu - 2)(\nu - 1)h_E.$$

Let  $A_{\nu-1}, A''_{(\nu-2)(\nu-1)}$  be the classes of the intersection of a generic curve of degree  $\nu - 1$  (resp.  $(\nu - 2)(\nu - 1)$ ) with  $B \cup F$  (resp.  $E$ ). Hence, there exists a curve of degree  $\nu^2 - 2\nu - 1$  that meets  $B \cup F$  in

$$2P + 2Q + 3N + 4V + 6T + 4\text{Node} + A_{\nu-1} + A''_{(\nu-2)(\nu-1)}.$$

By degree considerations (as before), this curve must split into a component of degree  $\nu - 1$  and a component of degree  $(\nu - 1)(\nu - 2)$  passing through

$$2P + 2Q + 3N + 4V + 6T + 4\text{Node} + A''_{(\nu-2)(\nu-1)}.$$

Explicitly, in  $A_0(B \cup F)$  we can write

$$2P + 2Q + 3N + 4V + 6T + 4\text{Node} + (\nu - 2)(\nu - 1)h_E = (\nu - 2)(\nu - 1)h_{B \cup F}. \tag{3.19}$$

Subtracting (3.6) from the above equation we get

$$2[V] + [N] + 6[T] + 4[\text{Node}] = (\nu - 1)(\nu - 2)h_E.$$

Hence, applying the Residue Theorem one more time, there is a curve  $L$  of degree  $(\nu - 1)(\nu - 2)$  that intersects  $B \cup F$  in

$$2[P] + 2[Q] + 4[N] + 6[V] + 12[T] + 8[\text{Node}].$$

Thus

$$(\nu - 1)(\nu - 2)h_{B \cup F} = 2[P] + 2[Q] + 4[N] + 6[V] + 8[\text{Node}] + 12[T]. \tag{3.20}$$

We recall the definition of an *adjoint* curve (see e.g. [11] for a classical treatment, or the modern definition in [1, Appendix A]):

**Definition 3.11.** Let  $f(x, y) = 0$  be the affine equation of a reduced plane curve  $C \subset \mathbb{P}^2$  of degree  $d$  with normalization  $\phi : C^* \rightarrow C$ . Let  $p \in C$  be a singular point of  $C$  and let  $p_1, \dots, p_s$  be the points of  $C^*$  which lie over  $p$ . The *adjoint divisor*  $\Delta_p$  of  $p$  is the divisor on  $C^*$  defined by  $\Delta_p = \sum_i (a_i p_i)$  where  $a_i = -\text{mult}_{p_i}(\phi^* \frac{dx}{\partial f / \partial y})$ . For a plane curve with affine equation  $g(x, y) = 0$ , define the zero divisor  $\phi^*(g)$  to be the divisor of the meromorphic function  $\phi^*(g)$  on  $C$ .

We say that a plane curve of affine equation  $g(x, y) = 0$  is *adjoint* to  $f(x, y) = 0$  at  $p$  if  $\phi^*(g) \geq \Delta_p$ . Denoting  $\Delta = \sum_{p \in \text{Sing}(C)} \Delta_p$ , a curve  $A$  is adjoint to  $C$  if  $A \cdot C \geq \Delta$ .

Note that the classical definition is the following: Given a plane curve  $C$ , another curve  $A$  is said to be *adjoint* to  $C$  if it contains each singular point of  $C$  of multiplicity  $r$  with multiplicity at least  $r - 1$ . In particular,  $A$  is adjoint to a nodal-cuspidal curve  $C$  if it contains all nodes and all cusps of  $C$ .

**Definition 3.12.** Denote for each singular point  $p \in C_{\text{red}}$ ,  $\Delta'_p = 0$  or  $\sum_i (a_i p_i)$ , and  $\Delta' = \sum_{p \in \text{Sing}(C_{\text{red}})} \Delta'_p$ . A curve  $A$  is *pseudo-adjoint* to  $C$  if  $A \cdot C \geq \Delta'$ .

**Proposition 3.13.** *There are two pseudo-adjoint curves  $L, L_1$  to  $B \cup F$  of degrees  $(v - 1)(v - 2)$ ,  $(v - 1)(v - 2) + 1$ , passing through the points  $P, Q, N, V$ , Node and  $T$  with intersection multiplicities 2, 2, 4, 6, 8, and 12, respectively.*

*Proof.* Though not necessary for the proof, note that we have the following exact sequence:

$$0 \rightarrow H^0(\Pi, \mathcal{O}((v - 1)(v - 2))) \xrightarrow{\text{res}} H^0(B \cup F, \mathcal{O}((v - 1)(v - 2))) \rightarrow 0, \quad (3.21)$$

as  $\text{deg}(B \cup F) = v(v - 1) > (v - 1)(v - 2)$ . Note that we could not have used this sequence to prove the existence of  $L$ , as we should have proved first that  $2[P] + 2[Q] + 4[N] + 6[V] + 8[\text{Node}] + 12[T]$  is a positive Cartier divisor.

Indeed, the existence of the adjoint curve  $L$  of degree  $(v - 1)(v - 2)$  was deduced above (see the discussion before (3.20)). The existence of a pseudo-adjoint curve  $L_1$  of degree  $(v - 1)(v - 2) + 1$  follows from the fact that  $B \cup F$  is a projection of a complete intersection curve in  $\mathbb{P}^3$ , by using [8, Corollary 4.24].  $\square$

**Remark 3.14.**  $L$  and  $L_1$  are indeed pseudo-adjoint curves but not adjoint curves, as they do not pass through all the singular points of  $B \cup F$  (e.g., the images Pinch of the pinch points).

**Remark 3.15.** Note that the curves  $L$  and  $L_1$  are also adjoint curves to  $B$  of degrees  $(v - 1)(v - 2)$ ,  $(v - 1)(v - 2) + 1$ .

### 3.3. Possible tangent directions

We need to determine the possible tangent directions of the pseudo-adjoint curves at the singular points of  $B \cup F$  in order to see how these pseudo-adjoint curves reconstruct the space curve  $B^* \cup F^*$ . Explicitly, denote  $L = \{f = 0\}$ ,  $L_1 = \{f_1 = 0\}$ . We want to identify the necessary restrictions on  $L, L_1$  such that the  $z$ -coordinate of  $B^* \cup F^*$  is given by  $f_1/f$ , i.e., the “new” singularities of  $B \cup F$  are resolved by this definition of the  $z$ -coordinate.

**Remark 3.16.** By (3.19),

$$2[V] + [N] + 6[T] + 4[\text{Node}] = (v - 1)(v - 2)h_E,$$

we see that the curve  $L$  passes transversely to  $E$  through the points  $N$ , and intersects it at  $V$  (which are smooth points of  $E$ ) with intersection multiplicity 2. Therefore,  $L$  must be tangent to  $B$  at  $N$  (so as to have intersection multiplicity 1 with  $E$  and 2 with  $B$  at  $N$ ), must either have a node or be tangent to both curves at  $V$ , and must have nodes at  $T$  and Node.

To determine the possible tangent directions of  $L$  and  $L_1$ , as in [8], we consider the set of Cartier divisors on  $C = B \cup F$  passing through  $\xi$ , where  $\xi = 2[P] + 2[Q] + 4[N] + 6[V] + 8[\text{Node}] + 12[T]$  is the 0-cycle of the singularities to be resolved. We are interested in positive Cartier divisors  $\zeta_0$  and  $\zeta_1$ , where  $\zeta_1$  is of the form  $\zeta_1 = \zeta_1^\xi + \zeta_1^{\text{res}}$ ,  $\zeta_0$  and  $\zeta_1^\xi$  are supported on  $\xi$ , and  $\zeta_1^{\text{res}}$  is supported on the smooth points of  $C$ . Note that the sections of the sheaf  $\mathcal{O}_C(\zeta_0 - \zeta_1)$  can locally be given by  $r = h_1/h_0$ , where  $\text{ord}_p(r) = \text{ord}_p(h_1) - \text{ord}_p(h_0) \geq 0$  at each singular point  $p \in \xi$ . As in [8], we have the adjunction sequence, for  $a = (v - 1)(v - 2)$ ,

$$\begin{aligned} a_{C,i,\zeta_1} : J_{\zeta_1,\mathbb{P}}(a + i) &\xrightarrow{\text{res}_C} \mathcal{O}_C(-\zeta_1)(a + i) \xrightarrow{f_1^a/f_L} \mathcal{O}_C(\zeta_0 - \zeta_1)(i) \\ &= \mathcal{O}_C(\zeta_0 - \zeta_1^\xi)(i)(-\zeta_1^{\text{res}}) \subset \mathcal{O}_C(\zeta_0 - \zeta_1^\xi)(i) \subset R_C(i) \end{aligned} \quad (3.22)$$

where the first map is restriction to  $C$ , the second map is induced from (3.20) (where  $f_l, f_L$  are the equations of a line and the curve  $L$ , respectively), and  $R_C$  is the sheaf of rational functions given locally by fractions  $r = h_1/h_0$  such that  $\text{ord}_Z(r) = \text{ord}_Z(h_1) - \text{ord}_Z(h_0) \geq 0$  for each codimension one subvariety  $Z$  of  $C$ .

**Remark 3.17** (Graded algebras for a space curve  $C^*$ ). Assume we are given a space curve  $C^* = B^* \cup F^*$  not contained in any plane in  $\mathbb{P}^3$  and a projection  $p : C^* \rightarrow C$  to a plane curve  $C$ . Let  $S = \bigoplus S_i, S_i = H^0(C, \mathcal{O}(i))$ , be the graded algebra of homogeneous functions on  $C$ , and  $T$  be the graded algebra of homogeneous functions on  $C^*$ . The inclusion  $S \rightarrow T$  gives an isomorphism of fraction fields  $\mathbb{Q}(S) \rightarrow \mathbb{Q}(T)$ , since  $C$  and  $C^*$  are birational. Now  $T_1 = S_1 \oplus kz$  for some element (the “vertical coordinate”)  $z \in T_1$ ; since  $T_1 \subset \mathbb{Q}(T) \simeq \mathbb{Q}(S)$ , we should have

$$z = f_{n+1}/f_n$$

for some integer  $n$  and plane curves  $f_n$  and  $f_{n+1}$  of degrees  $n$  and  $n + 1$ .

**Corollary 3.18.** *Apply the previous remark to the space curve  $C^* = B^* \cup F^*$ , the generic projection  $p : \mathbb{P}^3 \rightarrow \mathbb{P}^2$  with center  $O$  not on  $C^*$  and the pseudo-adjoint curve  $L$ . Let  $a = (v - 1)(v - 2)$ .*

*Then the “vertical coordinate”  $z$  on  $C^*$ ,  $z \in H^0(C^*, \mathcal{O}_{C^*}(1))$ , is the image of a uniquely defined plane curve  $L_1$  of degree  $a + 1$  under the adjunction map  $a_{C,1}$  defined by (3.22).*

*In other words, we can choose  $n = a$  in the remark above, and*

$$z = f_{L_1}/f_L,$$

*where  $f_L$  and  $f_{L_1}$  are the equations of the plane curves  $L$  and  $L_1$ ,  $\text{deg } L_1 = a + 1$ , and the curve  $L_1$  is not a union of  $L$  and a line, i.e., it is a “new” pseudo-adjoint curve. The curve  $L_1$  must satisfy the following conditions:*

- (1)  $L$  and  $L_1$  meet  $P$  and  $Q$  transversely and have different tangent directions.
- (2)  $L$  and  $L_1$  are both tangent to  $B$  at  $N$ , and have intersection multiplicity 2 with each other.

- (3)  $L$  and  $L_1$  have nodes at  $V$  such that one branch of  $L$  is tangent to one branch of  $L_1$ , or either  $L$  or  $L_1$  is tangent to  $B \cup E$  at  $V$ .
- (4)  $L$  and  $L_1$  have nodes at  $T$  with the same tangent cone, and their intersection multiplicity with each other is exactly 6.
- (5)  $L$  and  $L_1$  have nodes at Node with distinct tangent cones.

*Proof.* Let  $S$  be the graded homogeneous algebra of  $C$ , and  $T$  be the graded homogeneous algebra of  $C^*$ ; consider the element  $t = z \cdot f_L$  of  $T_{a+1}$ . It is enough to prove that  $t$  actually belongs to  $S_{a+1}$ , since then we can let  $f_{a+1} = t$  and  $z = f_{a+1}/f_L$ . Now this is an easy local computation for each singular point of  $C$ , since the exact sequence

$$0 \rightarrow S_{a+1} \rightarrow T_{a+1} \rightarrow T_{a+1}/S_{a+1} \rightarrow 0$$

is obtained from the exact sequence of sheaves

$$0 \rightarrow \mathcal{O}_C(a+1) \rightarrow p_*\mathcal{O}_{C^*}(a+1) \rightarrow \text{Fac}(a+1) \rightarrow 0,$$

where  $\text{Fac}$  is by definition the factor sheaf  $p_*\mathcal{O}_{C^*}/\mathcal{O}_C$ , by passing to global sections,

$$0 \rightarrow H^0(C, \mathcal{O}_C(a+1)) \xrightarrow{p^*} H^0(C^*, \mathcal{O}_{C^*}(a+1)) \rightarrow \text{coker } p^* \rightarrow 0.$$

Since the factor sheaf  $\text{Fac}$  is a product of sheaves supported at singular points of  $C$ , this makes computing the image of  $t$  in  $H^0(C, \text{Fac}(a+1))$  an easy local computation at the singular points.

(1) The nodes  $P$  and cusps  $Q$  are resolved by a single blowup.

(2) A local model for  $B \cup E$  at  $N$  is  $xy = 0$ , and since by intersection theory considerations  $L$  is tangent to  $B$ , we can take  $f_L = y - x^2$ . Since  $L$  vanishes to order 4 on  $B \cup F$ , so must  $L_1$ . Hence  $L_1$  is tangent to  $B$ , say  $f_{L_1} = y - 2x^2$ . It is easy to check that the node is resolved and  $t$  is in  $S_{a+1}$ .

(3) At  $V$ ,  $L$  and  $L_1$  must either have nodes or be tangent to  $B$ . If they both have nodes at  $V$ , then suppose the tangent directions of  $L$  are  $v, w$  and the tangent directions of  $L_1$  are  $\alpha, \beta$ . We want to blow up  $V$  partially, to a transverse intersection. If  $v = \alpha$  and  $w = \beta$  then  $V$  is not blown up at all. If  $v \neq \alpha$  and  $w \neq \beta$  then it blows up completely, unless one of the tangent directions is that of  $B \cup E$ . If  $v$  is the tangent direction of  $B \cup E$ , or if  $v = \alpha$  and  $w \neq \beta$ , then we have the following condition:

$$\left(\frac{f_{L_1}}{f_L}\right)'_{B^*}(t) = \left(\frac{f_{L_1}}{f_L}\right)'_{F^*}(t),$$

i.e., the intersection of  $B^*$  and  $F^*$  at  $V^*$  is transverse.

For example a local model in the first case is

$$V : y \cdot (y - x^2) = 0, \quad L = \{(y + x^2)(y - 2x) = 0\}, \quad L_1 = \{(y - x)(y - 3x) = 0\},$$

and a local model in the second case is

$$V : y \cdot (y - x^2) = 0, \quad L = \{x(y - 2x) = 0\}, \quad L_1 = \{(y - x)(y - 2x) = 0\}.$$

Another possibility is that  $L$  is tangent at  $V$  and  $L_1$  has a node (or vice versa). Again,

$$\left(\frac{f_{L_1}}{f_L}\right)'_{B^*}(t) = \left(\frac{f_{L_1}}{f_L}\right)'_{F^*}(t)$$

as long as the tangent directions of  $L_1$  are different from those of  $L$  and  $B \cup E$ . A local model is

$$V : y \cdot (y - x^2) = 0, \quad L = \{y - 2x^2 = 0\}, \quad L_1 = \{(y - x)(y - 2x) = 0\}.$$

(4) At  $T$ ,  $L$  and  $L_1$  have nodes. If they have different tangent directions, then they will blow up  $T$ . To avoid blowing up,  $L$  and  $L_1$  must have the same tangent cone. A local model at  $T$  is

$$T : x \cdot y \cdot (y - x) = 0, \quad L = \{(x - 2y)(x - 3y) = 0\}, \quad L_1 = \{(x - 2y)(x - 3y + x^2) = 0\}.$$

This model does not resolve the triple point but lifts one of the tangent directions out of the plane of the other two.

(5)  $L$  and  $L_1$  have nodes at [Node] by intersection theory considerations, and they resolve [Node] when the tangent cones of the two adjoint curves are different, e.g.,  $L = \{(y - x)(y + x) = 0\}$ ,  $L_1 = L = \{(y - 2x)(y + 2x) = 0\}$ .

Since  $f_L$  vanishes at the singularities of  $B \cup F$  that are resolved in  $B^* \cup F^*$ ,  $t = zf_L$  is a regular (holomorphic) object on  $B \cup F$ , and thus belongs to  $S_{a+1}$ . □

#### 4. From adjoint curves to ramification curves

Assume we are given a plane curve  $C$  that consists of a reduced component  $B$  and a double curve component  $F$  (where  $F_{\text{red}} = E$ ) such that  $B$  has nodes  $P$  and cusps  $Q$ ,  $E$  has nodes denoted by Node and triple points  $T$ , and that  $B$  and  $E$  intersect transversely (in points which we divide into two sets, called Pinch and  $N$ ) and have simple tangencies at  $V$ . Suppose that these points satisfy all the numerical conditions of the previous section, and that the curve  $C$  has pseudo-adjoint curves  $L$  and  $L_1$  satisfying the conditions of the previous section. In this section we prove that these conditions are sufficient for  $C$  to be the (total) branch curve of a surface with ordinary singularities in  $\mathbb{P}^3$ .

**Theorem 4.1.** *Let  $C = B \cup F$  be a plane curve of degree  $v(v - 1)$  such that  $B$  has nodes  $P$  and cusps  $Q$ , and  $F$  is a double curve such that  $F_{\text{red}} = E$  is of degree  $e$  and has nodes Node and triple points  $T$ , and  $B$  and  $E$  intersect transversely in two distinguished sets of points, which are called the “pinch points” Pinch of the double curve  $F$  and a set of “new nodes”  $N$ , and are simply tangent at a set of points  $V$ . The following conditions are necessary and sufficient for  $C$  to be a (total) branch of a surface  $S$  of degree  $v$  with ordinary singularities:*

- (1) *The distinguished points on  $B, E$  satisfy the numerical conditions induced from (3.13)–(3.18) (see Remark 3.8).*
- (2) *On each component of  $E$  there is at least one point from the set  $V$ .*



- (3) *There are two pseudo-adjoint curves,  $L$  of degree  $a = (v-1)(v-2)$  and  $L_1$  of degree  $a + 1$ , satisfying the constraints (1)–(5) of Corollary 3.18 on their intersections and tangent directions.*

The necessity of these conditions was proved in Section 3. The following subsections provide the proof of the sufficiency.

#### 4.1. The model of the curve in $\mathbb{P}^3$

We use the pseudo-adjoint curves to  $B \cup F$  to construct a model of the curve  $C$  in  $\mathbb{P}^3$ . Let  $f_L$  be the equation of the curve  $L$  and let  $f_{L_1}$  be the equation of  $L_1$ . The equation  $z = f_{L_1}/f_L$  defines a space curve  $C^* = B^* \cup F^*$ , whose projection to  $\mathbb{P}^2$  is  $C$ . Note that  $F^*$  really is a double space curve; its double structure is determined by using the differential of the function  $z$  to lift the tangent vectors from the double structure of  $F$ .

Since  $f_L$  is defined uniquely and  $f_{L_1}$  is defined up to adding multiples of  $f_L$ , and since  $z$  is defined by  $f_L$  and  $f_{L_1}$ , the model  $B^* \cup F^*$  is well defined up to coordinate changes generated by those of the form  $(x : y : z : w) \mapsto (x : y : z + c : w)$ ; in particular, it is determined up to rational automorphisms of  $\mathbb{P}^3$  that commute with projection to  $\mathbb{P}^2$ .

This model has the geometric properties of a ramification curve: by assumption it has all the correct numbers of distinguished points. It resolves each of the singularities correctly, by the calculations in Corollary 3.20.

#### 4.2. The model as a complete intersection

We now prove the following theorem.

**Theorem 4.2.** *The curve  $B^* \cup F^*$  is a complete intersection.*

First, we introduce the following definition:

**Definition 4.3.** Given a curve  $C$ , we define the *index of speciality*

$$s(C) = \max\{n : h^1(C, \mathcal{O}_C(n)) \neq 0\}.$$

(Note that many works denote the index by  $e$  (see, e.g., Schlesinger [23]) but we call it  $s$  to avoid confusion with the degree of  $E$ .)

To motivate our use of this definition we briefly recall some history. One of the first methods used to prove that an irreducible reduced space curve is a complete intersection of two surfaces of degrees  $a$  and  $b$  was Halphen's Speciality Theorem, introduced in 1882:

**Theorem** (see [14]). *Let  $C$  be a space curve of order  $a \cdot b$  in  $\mathbb{P}^3$  such that  $a < b$  which has  $\frac{1}{2}a(a-1)b(b-1)$  bisecants all lying on a cone of degree  $(a-1)(b-1)$ . Assume also that  $C$  is not on a surface of degree smaller than  $a$ . Then  $C$  is a complete intersection of two surfaces of degree  $a$  and  $b$ .*

In the case of a smooth surface in  $\mathbb{P}^3$ , Segre used this method to show that a model built from a nodal-cuspidal plane curve is indeed a complete intersection (and later he showed that this space curve is an intersection of a surface and its polar). Indeed, every node and cusp are induced from a bisecant, so a space curve of degree  $\nu(\nu - 1)$ , not on a surface of degree  $\nu - 2$ , with  $\text{nodes} + \text{cusps} = \frac{1}{2}\nu(\nu - 1)^2(\nu - 2)$  bisecants, lying on a cone of degree  $(\nu - 1)(\nu - 2)$  (induced from the adjoint curve of this degree) is a complete intersection of two surfaces of degree  $\nu$  and  $\nu - 1$ .

However, as noted by Gruson and Peskine [13], the proof of Halphen involves “des considérations qui en rendent l’interprétation hasardeuse”, and it is not at all clear whether it extends to the case of reducible or non-reduced curves. Gruson and Peskine rephrased this theorem in modern terms in 1978 as the Speciality Theorem:

*Let  $C$  be an integral curve in  $\mathbb{P}^3$  of degree  $d$ , not contained in a surface of degree less than  $t$ . Let  $s = s(C)$ . Then  $s \leq t + d/t - 4$ , with equality holding if and only if  $C$  is a complete intersection of type  $(t, d/t)$  (and thus  $\mathcal{O}_C(s)$  is special, i.e.,  $h^1(\mathcal{O}_C(s)) \neq 0$ ).*

Substituting  $t = \nu - 1$ ,  $d = \nu(\nu - 1)$ , we obtain Halphen’s theorem.

However, in our case, the model of our curve in  $\mathbb{P}^3$  is non-reduced and reducible—two phenomena that the Speciality Theorem does not address. However, in 1999, Schlesinger generalized the above theorem:

**Theorem 4.4** ([23]). *Let  $C$  be a curve (possibly non-reduced and reducible) in  $\mathbb{P}^3$  with index of speciality  $s = s(C)$ . Suppose that no subcurve  $D$  of  $C$  with  $s(D) = s$  lies on a surface of degree  $t - 1$ , and let  $m$  be the minimum of  $t$  and the integral part of  $(s + 4)/2$ . Then  $\deg C \geq m(s + 4 - m)$  with equality holding if and only if  $C$  is a deformation with constant cohomology of a complete intersection of two surfaces of degree  $m$  and  $s + 4 - m$ .*

Thus, in order to prove that  $B^* \cup F^*$  is (a degeneration of) a complete intersection of surfaces of degrees  $\nu$  and  $\nu - 1$  we have to prove that no subcurve of  $B^* \cup F^*$  with the same index of speciality of  $s(B^* \cup F^*)$  lies on a surface of degree less than  $\nu - 1$ . We prove this in four steps.

**Lemma 4.5.** *The index of speciality  $s(B^* \cup F^*)$  is  $2\nu - 5$ , while all the subcurves of  $B^* \cup F^*$ , with the possible exception of  $B^* \cup E^*$ , have strictly lower speciality index.*

*Proof.* In the case of  $B^* \cup F^*$ , the proof is the same as that of D’Almeida ([5] for the case of a smooth surface in  $\mathbb{P}^3$ ): by intersection theory considerations, we know that the curve  $L$  does not meet  $B \cup F$  outside the singular points. Hence there can be no curve of smaller degree containing the same set of singular points (counted with their multiplicities).

Let now  $p : B^* \cup F^* \rightarrow B \cup F$  be the projection from the point  $O$ . The conductor of the structure sheaf  $\mathcal{O}_{B^* \cup F^*}$  in  $\mathcal{O}_{B \cup F}$  is  $\text{Ann}(p_*\mathcal{O}_{B^* \cup F^*}/\mathcal{O}_{B \cup F})$ , which by duality is isomorphic to  $\text{Ann}(\omega_{B \cup F}/p_*(\omega_{B^* \cup F^*}))$  (see e.g. [2, Chapter 8]). By the definition of the conductor, we see that  $\text{Ann}(\omega_{B \cup F}/p_*(\omega_{B^* \cup F^*})) = \text{Hom}(\omega_{B \cup F}, p_*(\omega_{B^* \cup F^*})) = p_*(\omega_{B^* \cup F^*}) \otimes \omega_{B \cup F}^\vee$ . It is well known that  $H$  is a global section of the conductor sheaf iff  $H$  passes through the singular points of the curve  $B \cup F$  that get resolved.

By Serre duality, for all  $i$ ,  $H^1(\mathcal{O}_{B^* \cup F^*}(i)) = H^0(\omega_{B^* \cup F^*}(-i))$ . Hence the minimal degree of a curve containing the points that get resolved when  $B \cup F$  is lifted to  $B^* \cup F^*$  is  $v(v - 1) - 3 - s(B^* \cup F^*)$ . Setting this equal to  $(v - 1)(v - 2)$ , the degree of  $L$ , we see that  $s(B^* \cup F^*) = 2v - 5$ .

We now examine the subcurves of  $B^* \cup F^*$ . Note that by our construction, the curve  $B^* \cup F^*$  is connected, and  $B^* \cap F^*$  consists only of  $|\text{Pinch}^*| + |V^*|$  (double) points.

- $s(B^*)$ : We use the fact that for any integral space curve  $C$ ,

$$2p_a(C) - 2 \geq \text{deg}(C)s(C)$$

(see, e.g., [16, Section 2]), as  $2p_a(C) - 2 - \text{deg}(C)s(C)$  is the third Chern class of a rank two reflexive sheaf; this inequality is an equality in the case of subcanonical curves ([12]). (In the case of a smooth surface, the ramification curve is itself a complete intersection, hence subcanonical.) Suppose that  $s(B^*) = 2v - 5$ , i.e.  $2p_a(B^*) - 2 \geq \text{deg}(B^*)(2v - 5)$ . Since

$$p_a(B^*) = \frac{(v(v - 1) - 2e - 1)(v(v - 1) - 2e - 2)}{2} - n - c,$$

we have

$$(v(v - 1) - 2e - 1)(v(v - 1) - 2e - 2) - 2n - 2c - 2 \geq (v(v - 1) - 2e)(2v - 5).$$

Substituting for  $n$  and  $c$ , we get

$$\begin{aligned} &(v(v - 1) - 2e - 1)(v(v - 1) - 2e - 2) \\ &\quad - v(v - 1)(v - 2)(v - 3) + 4e(v - 2)(v - 3) + 4(e^\vee)^* + 24t \\ &\quad - 4e(e - 1) - 2v(v - 1)(v - 2) + 6e(v - 2) - 6t \\ &\qquad \qquad \qquad \geq (v(v - 1) - 2e)(2v - 5), \end{aligned}$$

which simplifies to  $2 + 4(e^\vee)^* + 18T + 12e - 6ve \geq 0$ . Since  $[\text{Pinch}] = 2[V] - 2[e^\vee]$ , we have  $4[e^\vee] = 4[V] - 2[\text{Pinch}]$ . Hence this reduces to  $2 + 4|V| - 2|\text{Pinch}| + 18|T| + 6e(2 - v) \geq 0$ . Since  $e(v - 2) = |V| + 3|T|$ , we get  $2 - 2|\text{Pinch}| - 2|V| \geq 0$ , which is clearly impossible since  $|\text{Pinch} + V|$  is always greater than 1.

- $s(F^*)$ : Consider two Cohen–Macaulay curves  $Y_1, Y_2 \subseteq \mathbb{P}^3$  meeting in a zero-dimensional subscheme  $Z^*$ , let  $Y = Y_1 \cup Y_2$ ,  $Z^* = Y_1 \cap Y_2$ . Then we have the following exact sequence (see [15, p. 82]):

$$0 \rightarrow \omega_Y \rightarrow \omega_{Y_1}(Z^*) \oplus \omega_{Y_2}(Z^*) \rightarrow \mathbb{C}^{\oplus Z^*} \rightarrow 0.$$

Twisting by  $-k$  and taking cohomology, we see that

$$h^0(\omega_Y(-k)) = h^0(\omega_{Y_1}(-kh_{Y_1} + Z^*)) + h^0(\omega_{Y_2}(-kh_{Y_2} + Z^*)).$$

Hence, if  $Z^*$  is at least equal to  $h_{Y_1}$  (in the partial order of the Chow group  $A_0(Y)$ ), then  $s(Y_1) < s(Y)$ , a strict inequality. In our case, since  $B^*$  is irreducible, we can divide

$B^* \cup F^*$  into two components as  $B^*$  and  $F^*$ . The intersection  $[Z]^*$  is  $[\text{Pinch}]^* + [V]^*$ . We need to prove that  $[Z]^* > h_{F^*} = 2h_E$ . Denote by  $Z$  the image of  $Z^*$  in  $\mathbb{P}^2$ ; it is enough to show that  $[Z] = [\text{Pinch}] + [V] > 2h_E$ .

We know that  $[V] + 3[T] = (v - 2)h_E$ , and that  $0 < [\text{Pinch}] = 2(v - 4)h_E - 2C_E - 6[T]$ , so  $3[T] < (v - 4)h_E$ . Hence  $[V]$  must be at least  $2h_E$ , or  $[Z] = [\text{Pinch}] + [V] > 2h_E$ . Hence  $s(F^*) < s(B^* \cup F^*)$ .

Let  $F_1^*$  be a component of  $F^*$ .

- $s(B^* \cup F_1^*)$ : For  $T = 0$ , assume  $s(B^* \cup F_1^*) = 2v - 5$ . The minimal degree of a curve passing through all the singularities of  $B \cup F_1$  that should be resolved must be

$$(v(v - 1) - 2e + 2e_1) - 3 - (2v - 5) = (v - 1)(v - 2) - 2e + 2e_1 \doteq b$$

(by the same reasoning as in the case of  $B^* \cup F^*$ ). Assume such a curve  $C_0$  of degree  $b$  exists. Then

$$C_0 \cap (B \cup F_1) = 2P + 2Q + 4N_1 + 6V_1 + 8\text{Node}_1 + \{\text{Residual points}\},$$

by intersection theory considerations. But

$$\begin{aligned} b \cdot \text{deg}(B \cup F_1) &= ((v - 1)(v - 2) - 2e + 2e_1) \text{deg}(B \cup F_1) \\ &= 2P + 2Q + 4N_1 + 6V_1 + 8\text{Node}_1 - 2(e - e_1) \text{deg}(B \cup F_1) \end{aligned}$$

(by (3.20) restricted to  $B \cup F_1$ ). So we should get

$$b \cdot \text{deg}(B \cup F_1) = |C_0 \cap (B \cup F_1)|,$$

or

$$-2(e - e_1) \text{deg}(B \cup F_1) = \{\text{Residual points}\},$$

which is a contradiction.

However, if such a curve does not exist, then the degree of the minimal-degree curve is not  $b = (v - 1)(v - 2) - 2e + 2e_1$ , i.e.,  $s(B^* \cup F^*) < 2v - 5$ . Likewise, for  $T > 0$ , the minimal degree of a curve passing through the singularities is still  $(v(v - 1) - 2e + 2e_1) - 3 - (2v - 5) = (v - 1)(v - 2) - 2e + 2e_1 = b$ . Assume that such a curve  $C_0$  exists. Then

$$C_0 \cap (B \cup F_1) = 2P + 2Q + 4N_1 + 6V_1 + 8\text{Node}_1 + 3T_1 + \{\text{Residual points}\},$$

where  $T_1$  denotes the triple points that are triple points of  $F_1$ . (The other triple points appear as nodes or smooth points of  $F_1$  and hence do not have to be resolved.) However,

$$\begin{aligned} b \cdot \text{deg}(B \cup F_1) &= ((v - 1)(v - 2) - 2e + 2e_1) \text{deg}(B \cup F_1) \\ &= 2|P| + 2|Q| + 4|N_1| + 6|V_1| + 8|\text{Node}_1| + \sum_j |\tau_{1j}| - 2(e - e_1) \text{deg}(B \cup F_1), \end{aligned}$$

so

$$b \cdot \text{deg}(B \cup F_1) = |C \cap (B \cup F_1)| + \sum_{j, |\tau_{1j}| \neq 3} |\tau_{1j}|.$$

Hence

$$-2(e - e_1) \deg(B \cup F_1) = \{\text{Residual points}\} - \sum_{j, |\tau_{1j}| \neq 3} |\tau_{1j}|.$$

By (3.8),  $V_2 + \sum_j \tau_{2j} = (v - 2)e_2$ , so  $\sum_j \tau_{2j} < (v - 2)e_2$ . Also

$$\sum_{j, |\tau_{1j}| \neq 3} \tau_{1j} \leq 2 \sum_{j, \tau_{2j} \neq 3} \tau_{2j} \leq 2(v - 2)e_2.$$

Hence

$$\begin{aligned} \{\text{Residual points}\} &< -2(e - e_1) \deg(B \cup F_1) + (e - e_1)(2v - 4) \\ &= (e - e_1)(2v - 4 - 2(v(v - 1) - 2e + 2e_1)) \\ &= (e - e_1)(-2v^2 + 4v - 4 + 4e - 4e_1) \\ &< (e - e_1)(-2v^2 + 4v - 4 + 2(v - 1)(v - 2)) \\ &= (e - e_1)(-2v) < 0. \end{aligned}$$

This is a contradiction, as above, so the degree of the minimal curve cannot be  $b$ , and hence  $s(B^* \cup F_1^*) \neq 2v - 5$ .

- As  $\max(s(B^*), s(F_i^*)) \leq s(B^* \cup F_i^*)$ , it follows that  $s(F_i^*) < 2v - 5$ .
- As for the subcurves  $E_i^*$  of  $F_i^*$  (and likewise  $B^* \cup E_i^*$ ), consider the exact sequence

$$0 \rightarrow \mathcal{I}_E(k) \rightarrow \mathcal{O}_F(k) \rightarrow \mathcal{O}_E(k) \rightarrow 0.$$

The last terms of the long exact sequence of cohomology are

$$H^1(\mathcal{O}_F(k)) \rightarrow H^1(\mathcal{O}_E(k)) \rightarrow 0.$$

Thus  $s(F) \geq s(E)$ . □

We next verify that the curve  $B^* \cup F^*$  does not lie on a surface of degree lower than the degree of the polar of the surface we wish to construct.

**Lemma 4.6.** *The curve  $B^* \cup E^*$  does not lie on a surface of degree less than  $v - 1$ .*

*Proof.* Let  $S$  be a surface containing  $B^* \cup E^*$ . Since  $B^*$  is smooth at  $Q$  while  $B$  has a cusp at  $Q$ ,  $B^*$  and  $E^*$  are transverse at  $V^*$  while  $B$  and  $E$  are tangent, and  $E^*$  has a non-planar triple point at  $T^*$  while  $E$  has a planar triple point at  $T$ , the projection to  $\mathbb{P}^2$  kills an element of the tangent space to  $B^* \cup E^*$ , and hence of the tangent space to  $S$ , at each of these points. Therefore, the polar to  $S$  contains  $Q^*$ ,  $V^*$  and  $T^*$ . Thus  $(B^* \cup E^*) \cap S' \geq Q^* + 2V^* + 3T^* = (v - 2)h_{B^* \cup E^*}$ . Hence  $\deg S' \geq v - 2$ , so  $\deg S \geq v - 1$ . □

These two lemmas satisfy the premises of Schlesinger’s generalized Speciality Theorem (Theorem 4.4), which we can apply to conclude that  $B^* \cup F^*$  is a degeneration of a complete intersection.

**Lemma 4.7.** *The curve  $B^* \cup F^*$  is a degeneration of complete intersections of surfaces of degrees  $\nu$  and  $\nu - 1$ , preserving the dimensions of the cohomologies of the twisted ideal sheaves.*

*Proof.* By Theorem 4.4, it is enough to check that no subcurve  $C$  of  $B^* \cup F^*$  with the same index of speciality  $s(B^* \cup F^*)$  lies on a surface of lower degree.

The speciality of  $B^* \cup F^*$  is  $2\nu - 5$ , by Lemma 4.6.  $B^* \cup E^*$  cannot lie on a surface of degree less than  $\nu - 1$ , and  $B^* \cup F^*$  clearly cannot lie on any surface that does not contain its subcurve  $B^* \cup E^*$ . Finally, all the other subcurves of  $B^* \cup F^*$  have speciality index strictly lower than  $2\nu - 5$ , as was shown in Lemma 4.5.  $\square$

In fact, our curve is not only a degeneration of complete intersections, but is itself a complete intersection.

**Theorem 4.8.** *The curve  $B^* \cup F^*$  is itself a complete intersection of two surfaces of degrees  $\nu$  and  $\nu - 1$ .*

*Proof.* A complete intersection of surfaces of degrees  $\nu$  and  $\nu - 1$  lies on one surface of degree  $\nu - 1$  and a 4-dimensional family of surfaces of degree  $\nu$ , generated by the products of three linear forms with the form of degree  $\nu - 1$  and one independent degree  $\nu$  form. Since the degeneration is required to be cohomology-preserving, it follows that the limit  $B^* \cup 2E^*$  also lies on a surface of degree  $\nu - 1$  and a 4-dimensional family of surfaces of degree  $\nu$ . Hence it lies on the intersection of a surface  $\Sigma$  of degree  $\nu - 1$  and an independent surface  $S$  of degree  $\nu$ . Since the degrees match, the intersection is complete.  $\square$

### 4.3. The complete intersection of a surface and its polar

We can now prove our main theorem.

*Proof of Theorem 4.1.* Consider the 5-dimensional vector space  $W$  of  $\nu$ -forms  $S_t$  of the form  $t_0S + t_1xF + t_2yF + t_3zF + t_4wF$ . The generic  $S_t$  is a smooth surface, whose intersection with its polar is the ramification curve of  $S_t$ . The intersection of  $B^*$  with  $S_t \cap S'_t$  includes  $Q^*$  and  $V^*$ , since a tangent direction of the curve  $B^* \cup 2E^*$  gets collapsed at these points, so any surface containing  $B^* \cup 2E^*$  must have a vertical tangent direction at these points. But by degree considerations,  $[B^* \cap S_t \cap S'_t] = (\nu - 1)h_{B^*}$ , whereas  $[Q^*] + [V^*] = (\nu - 2)h_{B^*}$ . Hence  $B^* \cap S_t \cap S'_t = Q^* + V^* + r_{B^*}(t)$ , where  $r_{B^*}(t) \in |h_{B^*}|$ . This  $r_{B^*}(t)$  thus determines (at least generically) a linear map from  $W$  to the 4-dimensional vector space  $H^0(\mathcal{O}_{B^*}(1)) = H^0(\mathcal{O}_{\mathbb{P}^3}(1))$ , by sending  $S_t$  to  $|t|\phi(r_{B^*}(t))$ , where  $|t|$  is the magnitude of the vector  $(t_0, \dots, t_4)$  and  $\phi(r_{B^*}(t))$  is the normalized 1-form that vanishes on  $r_{B^*}(t)$ .

Likewise, the intersection of  $F^*$  with  $S_t \cap S'_t$  includes  $2V^* + 6T^*$ , since vertical tangent directions get collapsed and the local intersection of  $F^*$  with any other curve is at least 2 at  $V^*$  and at least 6 at  $T^*$ . Since  $[2V^*] + [6T^*] \in (\nu - 2)h_{F^*}$ , and  $[F^* \cap S_t \cap S'_t] \in (\nu - 1)h_{F^*}$ , we find that  $F^* \cap S_t \cap S'_t = 2V^* + 6T^* + r_{F^*}(t)$ , where  $r_{F^*}(t) \in |h_{F^*}|$ . Thus we get another generic map from  $W$  to  $H^0(\mathcal{O}_{\mathbb{P}^3}(1))$ . Finally, if we play the same game with  $B^* \cup F^*$ , then  $r_{B^*}(t) + r_{F^*}(t) \in |h_{B^* \cup F^*}|$  determines yet another map to  $H^0(\mathcal{O}_{\mathbb{P}^3}(1))$ .

Any map from a 5-dimensional vector space to a 4-dimensional one has at least a one-dimensional kernel. The only way such a kernel can arise is when the class  $r(t)$  is not well defined, i.e., if the intersection of the curves is an excess intersection rather than a set of points including  $Q^*$ ,  $V^*$  and  $T^*$ , i.e., if  $B^*$  or  $F^*$  is a component of the ramification curve. Hence the kernel of  $r_{B^*}(t)$  is the linear space of  $\nu$ -forms that define surfaces whose ramification curve contains  $B^*$ , and the kernel of  $r_{F^*}(t)$  consists of  $\nu$ -forms defining surfaces whose ramification curve contains the double curve  $F^*$ . The kernel of the third map  $r_{B^* \cup F^*}$  is the set of  $\nu$ -forms for which either  $B^*$  or  $F^*$  is in the ramification curve. Since the kernel of a linear map is a linear subspace, not a union of linear subspaces, we conclude that the above two kernels coincide; that is, there exists a surface  $S_t$  such that  $S_t \cap S'_t$  contains  $B^* \cup F^*$ . By degree considerations,  $S_t \cap S'_t = B^* \cup F^*$ .

Hence  $B^* \cup F^*$  is the intersection of a surface and its polar; in other words, it is a ramification curve. □

**Remark 4.9.** Note that the surface  $S_t$  whose ramification curve is  $B + F$  is uniquely defined by the model  $B^* + F^*$ , since  $B^* + F^*$  can only lie on a single surface  $S'_t$ , and  $S_t$  is determined by its polar up to translation along the  $z$ -axis. Thus the surface, like its ramification curve, is uniquely determined by  $B + F$  up to automorphisms of  $\mathbb{P}^3$  that commute with projection.

The construction in this paper thus provides a constructive proof of an analogue of Chisini’s theorem for surfaces with ordinary singularities in  $\mathbb{P}^3$ . In particular, given the total branch curve of such a surface, one can construct its model in  $\mathbb{P}^3$  from the pseudo-adjoint curves, which are determined by the special divisor class of  $B \cup F$ . This model is then a complete intersection of a surface  $S$  and its polar. This  $S$  is the original surface, up to changes of coordinates that commute with projection.

### 5. Examples

We first introduce some notation.

**Notation 5.1.** Let  $V(c, d, n)$  (resp.  $B(c, d, n)$ ) be the variety of degree  $d$  plane curves (resp. branch curves of generic linear projections) with  $c$  cusps and  $n$  nodes.

By Remark 3.15, equation (3.6) and the exact sequence (3.21), there exists a curve  $L$  of degree  $(\nu - 1)(\nu - 2)$  that passes (smoothly) through the cusps  $Q$  and the nodes  $P$  of the (pure) branch curve  $B$ , is tangent to  $B$  at the points  $N$  and has nodes at the points  $V$ . The curve  $L$  is unique if the exact sequence (3.21) is exact for  $B$  as well as for  $B \cup F$ , in particular if  $d > (\nu - 1)(\nu - 2)$ . Therefore, in this case

$$\{L\} \simeq H^0(\mathbb{P}^2, J_\zeta(a))$$

where  $\zeta = P + Q + 2V + 2N$  is a Cartier divisor on  $B$ . Note that  $2N$  is a summand of  $\zeta$ , as  $L$  has a specified tangent direction at this point, and to pass through a point with a given tangent direction is equivalent to passing through two points (the actual point and one infinitely near point).

Let  $\xi$  be a 0-cycle in  $\mathbb{P}^2$ . Define the *superabundance* of  $\xi$  (relative to degree  $n$  curves) as

$$\delta(\xi, n) = h^1 J_\xi(n).$$

We first examine the case of a surface with a double line.

### 5.1. Surfaces with a double line

A surface  $S \subset \mathbb{P}^3$  of degree  $v$  with a double line as its only double curve has the following invariants:

$$\begin{aligned} d = \deg(B) &= v(v-1) - 2, & e = \deg(E) &= 1, & c &= v(v-1)(v-2) - 3(v-2), \\ n &= \frac{1}{2}v(v-1)(v-2)(v-3) - 2(v-2)(v-3), & p = |\text{Pinch}| &= 2(v-2), & |V| &= v-2, \\ |N| &= (v-2)(v-3), & |\text{Node}| &= 0, & t &= 0, & e_1 &= 0. \end{aligned}$$

These numerics are classical; the history is explained in some detail by Ragni Piene [22].

Set  $\zeta = P + Q + 2V + 2N$  and  $a = (v-1)(v-2)$ . Note that in this case  $d > a$ .

**Proposition 5.2** (Speciality index of  $\zeta$ ). *The speciality index of  $\zeta$  satisfies*

$$\delta(\zeta, a) = \frac{1}{2}(v-2)(v-3)(2v-1).$$

*Proof.* For the expected dimension  $\text{vdim } |J_\zeta(a)|$ , we have

$$\text{vdim } |J_\zeta(a)| = \dim |ah| - \deg \zeta = \frac{1}{2}a(a+3) - \frac{1}{2}(v-2)^2(v^2+1).$$

Since  $a = (v-1)(v-2)$ , we get

$$\text{vdim } |J_\zeta(a)| = \frac{1}{2}(v-2)(-2v^2+7v-3).$$

Since, by the definition of the speciality index,

$$\dim |J_\zeta(d)| = \text{vdim } |J_\zeta(d)| + \delta(\zeta, d),$$

and since  $|J_\zeta(a)| = \{L\}$ , we get the equality. Likewise,  $\delta(\zeta, a+1)$  can be computed analogously.  $\square$

Thus,  $\delta(\zeta, a) > 0$  only when  $v > 3$ . For example, for  $v = 4$ ,  $\delta(\zeta, 6) = 7$ . Indeed, the curve  $L$  (which is adjoint to  $B \in B(10, 18, 8)$ ) passes through 34 points, counted with multiplicity. However, it is not known if there is a curve  $C \in V(10, 18, 8)$  such that  $\delta(\zeta, 6) < 7$ .



## 5.2. Degree 4 surfaces

As was noted at the end of the last subsection, for a degree 4 surface in  $\mathbb{P}^3$  with a double line, the 0-cycle  $\zeta = P + Q + 2V + 2N$  is special, and  $\delta(\zeta, 6) = 7$ . We will now look at other degree 4 surfaces in  $\mathbb{P}^3$  such that this 0-cycle is special with respect to degree 6 curves passing through it (as  $L$  is a degree 6 curve passing through  $\zeta$ ).

- (1) *Double curve is a smooth conic*: In this case,  $e = \deg(E) = 2$ ,  $d = \deg(B) = 8$ ,  $|Q| = 12$ ,  $|P| = 4$ ,  $|N| = 4$ ,  $|V| = 4$ . Thus  $\deg \zeta = 32$  and  $\delta(\zeta, 6) = 5$ . The variety  $V(8, 12, 4)$  is in fact reducible; there is a Zariski pair for this curve (see [7]).
- (2) *Double curve is a union of two skew lines*: In this case,  $e = 2$ ,  $d = 8$ ,  $|Q| = 12$ ,  $|P| = 8$ ,  $|N| = 0$ ,  $|V| = 4$ . Thus  $\deg \zeta = 28$  and  $\delta(\zeta, 6) = 1$ . The variety  $V(8, 12, 8)$  is irreducible, i.e., for every curve  $C \in V(8, 12, 8)$  the 0-cycle  $\zeta$  is special with respect to degree 6 curves.
- (3) *Double curve is a rational space cubic or a union of three lines meeting in a point*: In these two cases,  $d = a$  and it is not known whether  $\zeta$  is a special 0-cycle.

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