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Absolute continuity, Lyapunov exponents and rigidity I: geodesic flows

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Abstract. We consider volume-preserving perturbations of the time-one map of the geodesic flow of a compact surface with negative curvature. We show that if the Liouville measure has Lebesgue disintegration along the center foliation then the perturbation is itself the time-one map of a smooth volume-preserving flow, and that otherwise the disintegration is necessarily atomic.

Keywords. Lyapunov exponent, geodesic flow, partial hyperbolicity, disintegration, absolute continuity, rigidity

1. Introduction

If \mathcal{F} is a foliation with C^1 leaves of a compact manifold M , then for any Borel probability measure μ on M , there is a unique *disintegration* $\{[\mu_x] : x \in M\}$ of μ along the leaves of \mathcal{F} . The elements $[\mu_x]$ are *projective measures* (that is, equivalence classes of measures up to scaling) and are defined over a full μ -measure set of $x \in M$. Each representative μ_x is supported on the leaf \mathcal{F}_x of the foliation through x . Locally, a representative measure μ_x can be described as follows. One fixes a foliation box \mathcal{B} for \mathcal{F} with its foliation by local leaves $\{\mathcal{F}_x^{\text{loc}} : x \in \mathcal{B}\}$. In this box, μ_x is simply the conditional measure of μ relative to \mathcal{F}^{loc} , evaluated at x . The conditional measures $\{\mu_x : x \in \mathcal{B}\}$ are probability measures satisfying

$$\mu(A) = \int_{\mathcal{B}} \mu_x(A) d\mu(x)$$

for any Borel set $A \subset \mathcal{B}$, and they are essentially uniquely defined.

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The opposing notions of *Lebesgue disintegration* and *atomic disintegration* are both well-defined; μ has Lebesgue disintegration along \mathcal{F} if for μ -almost every x , any representative of $[\mu_x]$ is equivalent to (i.e. has the same zero sets as) Riemannian volume on \mathcal{F}_x , and μ has atomic disintegration if $[\mu_x]$ is an atomic class for μ -almost every x . Throughout this paper, we restrict to the case where μ is a volume measure on M , which we will always denote by m . If \mathcal{F} is a C^1 foliation, then any volume measure has Lebesgue disintegration along \mathcal{F} , but the converse is false. A weaker condition than C^1 that implies Lebesgue disintegration of volume is *absolute continuity*: a foliation is absolutely continuous if holonomy maps between smooth transversals send zero volume sets to zero volume sets.

Lebesgue disintegration and in particular absolute continuity have long played a central role in smooth ergodic theory. Anosov and Sinai [1, 2] proved in the 60's that the stable and unstable foliations of globally hyperbolic (or Anosov) systems are absolutely continuous, even though they fail to be C^1 in general. This was a key ingredient in Anosov's celebrated proof [1] that the geodesic flow for any compact, negatively curved manifold is ergodic.

1.1. Perturbations of the time-one map of a geodesic flow

Let $\varphi_t : T^1S \rightarrow T^1S$ be the geodesic flow on the unit tangent bundle to a closed, negatively curved surface S . We consider a discretization of this flow, namely its time-one map φ_1 , and examine the properties of all diffeomorphisms f that are C^1 -close to φ_1 .

It follows from the work of Hirsch, Pugh, and Shub [24] that for any such perturbation f of φ_1 , there exists an f -invariant *center foliation* $\mathcal{W}^c = \mathcal{W}^c(f)$ with smooth leaves, that is homeomorphic to the orbit foliation \mathcal{O} of φ_t . Moreover, the homeomorphism $h : T^1S \rightarrow T^1S$ sending \mathcal{W}^c to \mathcal{O} can be chosen close to the identity.

The original orbit foliation \mathcal{O} of φ_t is smooth, and hence volume has Lebesgue disintegration along \mathcal{O} -leaves. If the perturbation f happens to be the time-one map of a smooth flow, then \mathcal{W}^c is the orbit foliation for that flow, and volume has Lebesgue disintegration along \mathcal{W}^c . In general, however, a perturbation f of φ_1 has no reason to embed in a smooth flow, and one can ask whether the disintegration of volume along \mathcal{W}^c -leaves is Lebesgue, atomic, or neither. We obtain a complete answer to this question when f preserves volume.

Main Theorem 1. *Let $\varphi_t : T^1S \rightarrow T^1S$ be the geodesic flow for a closed negatively curved surface S and let m be the φ_t -invariant Liouville probability measure. There is a C^1 -open neighborhood \mathcal{U} of φ_1 in the space $\text{Diff}_m^\infty(T^1S)$ of m -preserving diffeomorphisms of T^1S such that for each $f \in \mathcal{U}$, either*

- (1) *there exists $k \geq 1$ and a full m -measure set $Z \subset T^1S$ that intersects every center leaf in exactly k orbits of f , or*
- (2) *f is the time-one map of an m -preserving C^∞ flow.*

In case (1), m has atomic disintegration, and in case (2) it has Lebesgue disintegration along the center foliation $\mathcal{W}^c(f)$.

Theorem 1 gives conditions under which one can recover the action of a Lie group (in this case \mathbb{R}) from that of a discrete subgroup (in this case \mathbb{Z}). These themes have arisen in the related context of measure-rigidity for algebraic partially hyperbolic actions by Einsiedler, Katok and Lindenstrauss [21]. It would be interesting to understand more deeply the connections between these works. Results of a similar flavor to Theorem 1 but for the stable and unstable foliations of Anosov diffeomorphisms and flows have been proved by Benoist, Foulon and Labourie [6, 7].

1.2. Lyapunov exponents and absolute continuity

The hidden player in Theorem 1 is the concept of *center Lyapunov exponents*. A real number χ is a *center Lyapunov exponent* of the partially hyperbolic diffeomorphism $f : M \rightarrow M$ at $x \in M$ if there exists a nonzero vector $v \in E_x^c$ such that

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log \|Df^n(v)\| = \chi. \quad (1.1)$$

If f preserves m , then Oseledec's theorem implies that the limit in (1.1) exists for each $v \in E_x^c$, for m -almost every x . When the dimension of $E^c(f)$ is 1, the limit in (1.1) depends only on x , and if in addition f is ergodic with respect to m , then the limit takes a single value m -almost-everywhere. When we refer to a *center exponent with respect to volume*, we mean a value in (1.1) assumed on a positive volume set, and by *the center exponent with respect to volume* we mean a (the) value assumed almost everywhere. Deep connections between Lyapunov exponents and geometric properties of invariant measures have long been understood [35, 36, 29, 31, 32, 26, 5]. In the context of partially hyperbolic systems, some of these connections have come to light more recently.

Absolute continuity holds in great generality for the stable and unstable foliations of partially hyperbolic systems [11, 37], and for Pesin stable and unstable laminations of non-uniformly hyperbolic systems [35] (see also Pugh and Shub [38] for the nonconservative case). On the other hand, and in sharp contrast, Shub and Wilkinson [50] showed that *center* foliations of partially hyperbolic systems are, often, *not* absolutely continuous. What is more, Ruelle and Wilkinson [49] showed that, in a similar setting, the disintegration of volume along center leaves is atomic, supported on finitely many points.

The mechanism behind these results is nonvanishing center exponents: for each f in the open set of ergodic diffeomorphisms $\mathcal{V} \subset \text{Diff}_\omega^\infty(\mathbb{T}^3)$ constructed in [50], the center Lyapunov exponent with respect to volume is nonzero. The examples in \mathcal{V} are obtained by perturbing the trivial extension of a hyperbolic automorphism of \mathbb{T}^2 on $\mathbb{T}^3 = \mathbb{T}^2 \times \mathbb{R}/\mathbb{Z}$. By [24], the center foliation $\mathcal{W}^c(f)$ for each $f \in \mathcal{V}$ is homeomorphic to the trivial \mathbb{R}/\mathbb{Z} fibration of $\mathbb{T}^3 = \mathbb{T}^2 \times \mathbb{R}/\mathbb{Z}$; in particular, the center leaves are all compact. The almost everywhere exponential growth associated with nonzero center exponents is incompatible with the compactness of the center foliation, and so the full volume set with positive center exponent must meet almost every leaf in a zero set (in fact a finite set) for these examples. In general, for conservative systems with compact one-dimensional leaves, absolute continuity cannot occur unless the center Lyapunov exponent vanishes, and this is

a kind of codimension-one condition. Absolute continuity is much more common among dissipative systems, as observed by Viana and Yang [52].

Similar results hold for perturbations of the time-one map of volume-preserving Anosov flows: there exist open sets of perturbations with nonvanishing center exponents (Dolgopyat [19]), and the results in [49] also imply that volume must have atomic disintegration for these examples.

The heart of understanding the general perturbation of these and similar examples, then, is to see what happens when the center Lyapunov exponents *vanish*. For this, we use tools that originate in the study of random matrix products. The general theme of this work, summarized by Ledrappier in [30], is that “entropy is smaller than exponents, and entropy zero implies deterministic.” Original results concerning the Lyapunov exponents of random matrix products, due to Furstenberg and Kesten [23, 22], Ledrappier [30], and others, have been extended in the past decade to deterministic products of linear cocycles over hyperbolic systems by Bonatti, Gomez-Mont and Viana [9, 10, 51]. The Bernoulli and Markov measures associated to random products in those earlier works are replaced in the newer results by invariant measures for the hyperbolic system carrying a suitable product structure.

Recent work of Avila and Viana [4] extends this hyperbolic theory from linear to *smooth* (diffeomorphism) cocycles, and we use these results in a central way. Also important for our proofs here are the results of Avila, Santamaria and Viana [3] for cocycles over volume-preserving partially hyperbolic systems, both linear and smooth.

The ideas introduced in this work have already given rise to further applications in distinct settings: the study of measures of maximal entropy [44] and physical measures [52] for partially hyperbolic diffeomorphisms with compact 1-dimensional center foliations.

2. Preliminaries

We start by recalling a few useful facts concerning foliations and partially hyperbolic diffeomorphisms.

2.1. Foliation

Let M be a manifold of dimension $d \geq 2$. A *foliation* (with C^r leaves) is a partition \mathcal{F} of the manifold M into C^r submanifolds of dimension k , for some $0 < k < d$ and $1 \leq r \leq \infty$, such that for every $p \in M$ there exists a continuous local chart

$$\Phi : B_1^k \times B_1^{d-k} \rightarrow M \quad (B_1^m \text{ denotes the unit ball in } \mathbb{R}^m)$$

with $\Phi(0, 0) = p$ and such that the restriction to every horizontal $B_1^k \times \{\eta\}$ is a C^r embedding depending continuously on η and whose image is contained in some \mathcal{F} -leaf. The image of such a chart Φ is a *foliation box* and the $\Phi(B_1^k \times \{\eta\})$ are the corresponding *local leaves*.

2.2. Partially hyperbolic diffeomorphisms

We say that a diffeomorphism $f : M \rightarrow M$ of a compact Riemannian manifold M is *partially hyperbolic* if there exists a continuous, Df -invariant splitting $TM = E^u \oplus E^c \oplus E^s$ into nonzero bundles, and a positive integer k such that for every $x \in M$,

$$\begin{aligned} \|(Df^k|_{E^u(x)})^{-1}\|^{-1} &> 1 > \|Df^k|_{E^s(x)}\|, \\ \|(Df^k|_{E^u(x)})^{-1}\|^{-1} &> \|Df^k|_{E^c(x)}\| \geq \|(Df^k|_{E^c(x)})^{-1}\|^{-1} > \|Df^k|_{E^s(x)}\|. \end{aligned}$$

The time-one map of an Anosov flow is partially hyperbolic and, since partial hyperbolicity is a C^1 -open property, so are its perturbations. Since the geodesic flow for any closed, negatively curved manifold is Anosov, the maps considered in this paper are all partially hyperbolic. For a discussion of partial hyperbolicity, with examples and open questions, see [13, 40, 46].

The stable and unstable bundles E^s and E^u of a partially hyperbolic diffeomorphism are always uniquely integrable, tangent to stable and unstable foliations, \mathcal{W}^s and \mathcal{W}^u respectively. The center bundle E^c is not always integrable (see [45]), but in many examples of interest, such as the time-one map of an Anosov flow and its perturbations, E^c is tangent to a foliation \mathcal{W}^c , as are the bundles $E^{cs} = E^c \oplus E^s$ and $E^{cu} = E^c \oplus E^u$. We say that a partially hyperbolic diffeomorphism f is *dynamically coherent* if there exist f -invariant *center stable* and *center unstable* foliations \mathcal{W}^{cs} and \mathcal{W}^{cu} , tangent to the bundles E^{cs} and E^{cu} , respectively; intersecting their leaves one obtains an invariant center foliation \mathcal{W}^c as well. Most of the facts here are proved in [24]. More detailed discussions can be found in [8], [16] and [17]. It is not known whether every perturbation of a dynamically coherent diffeomorphism is dynamically coherent, but this does hold for systems that are *plaque expansive*.

The notion of plaque expansiveness was introduced by Hirsch, Pugh and Shub [24], who proved among other things that any perturbation of a plaque expansive diffeomorphism is dynamically coherent. Roughly, f is plaque expansive if pseudo orbits that respect local leaves of the center foliation cannot shadow each other too closely (in the case of Anosov diffeomorphisms, plaque expansiveness is the same as expansiveness, which is automatic). Plaque expansiveness holds in a variety of natural settings; in particular if f is dynamically coherent, and either \mathcal{W}^c is a C^1 foliation or the restriction of f to \mathcal{W}^c leaves is an isometry, then f is plaque expansive, and so every C^1 perturbation of f is dynamically coherent. Moreover, plaque expansive systems enjoy the previously mentioned stability property: the center foliations of any two perturbations are homeomorphic via a map that intertwines the dynamics on the space of center leaves.

Because they are uniformly contracted/expanded by the dynamics, the leaves of stable and unstable foliations are always contractible; this is not the case for center foliations. One illustration is the previously mentioned example of the time-one map of an Anosov flow, for which the center foliation \mathcal{O} has both compact leaves (corresponding to periodic orbits of the flow) and noncompact ones.

If f is dynamically coherent, then each leaf of \mathcal{W}^{cs} is simultaneously subfoliated by the leaves of \mathcal{W}^c and by the leaves of \mathcal{W}^s . Similarly \mathcal{W}^{cu} is subfoliated by \mathcal{W}^c and \mathcal{W}^u . This implies that for any two points $x, y \in M$ with $y \in \mathcal{W}_x^s$ there is a neighborhood U_x

of x in the leaf \mathcal{W}_x^c and a homeomorphism $h_{x,y}^s : U_x \rightarrow \mathcal{W}_y^c$ with the property that $h_{x,y}^s(x) = y$ and in general

$$h_{x,y}^s(z) \in \mathcal{W}_z^s \cap \mathcal{W}_{\text{loc},y}^c.$$

We refer to $h_{x,y}^s$ as a *(local) stable holonomy map*. We similarly define unstable holonomy maps between local center leaves. We note that, because the leaves of the stable and unstable foliations are contractible, the local holonomy maps $h_{x,y}^*$ for $* \in \{s, u\}$ are well-defined and are uniquely defined as germs by the endpoints x, y .

We say that f *admits global stable holonomy maps* if for every $x, y \in M$ with $y \in \mathcal{W}_x^s$ there exists a homeomorphism $h_{x,y}^s : \mathcal{W}_x^c \rightarrow \mathcal{W}_y^c$ with the property that $h_{x,y}^s(x) = y$ and in general $h_{x,y}^s(z) \in \mathcal{W}_z^s \cap \mathcal{W}_y^c$. Since global stable holonomy maps must agree locally with local stable holonomy, we use the same notation $h_{x,y}^s$ for both local and global. We similarly define global unstable holonomy maps and say that f *admits global su-holonomy maps* if it admits both global stable and unstable holonomy. Note that if f admits global *su*-holonomy, then all leaves of \mathcal{W}^c are homeomorphic.

Given $r > 0$, we say that f is *r-bunched* if there exists $k \geq 1$ such that:

$$\begin{aligned} \sup_p \|D_p f^k|E^s\| \|(D_p f^k|E^c)^{-1}\|^r &< 1, \\ \sup_p \|(D_p f^k|E^u)^{-1}\| \|D_p f^k|E^c\|^r &< 1, \\ \sup_p \|D_p f^k|E^s\| \|(D_p f^k|E^c)^{-1}\| \|D_p f^k|E^c\|^r &< 1, \\ \sup_p \|(D_p f^k|E^u)^{-1}\| \|D_p f^k|E^c\| \|(D_p f^k|E^c)^{-1}\|^r &< 1. \end{aligned} \tag{2.1}$$

When f is C^r and dynamically coherent, these inequalities ensure that the leaves of \mathcal{W}^{cs} , \mathcal{W}^{cu} , and \mathcal{W}^c are C^r . If f is C^{r+1} and dynamically coherent they also imply that the local stable and local unstable holonomies are C^r local diffeomorphisms. See Pugh, Shub and Wilkinson [41, 53]. We say that f is *center bunched* if it is 1-bunched. If E^c is one-dimensional, then f is automatically center bunched. For a fixed r , the r -bunching property is C^1 -open: any sufficiently C^1 -small perturbation of an r -bunched diffeomorphism is r -bunched.

The ergodic-theoretic properties of center bunched partially hyperbolic diffeomorphisms are in many ways well understood. The state of the art is the following result.

Theorem 2.1 ([17]). *Let f be C^2 , volume-preserving, partially hyperbolic and center bunched. If f is (essentially) accessible, then f is ergodic with respect to the volume measure.*

A partially hyperbolic diffeomorphism is called *accessible* if any two points in the ambient manifold may be joined by an *su-path*, that is, a piecewise smooth path such that every leg is contained in a single leaf of \mathcal{W}^s or a single leaf of \mathcal{W}^u . More generally, the diffeomorphism is *essentially accessible* if, given any two sets with positive volume, one can join some point of one to some point of the other by an *su-path*. Pugh and Shub [39]

have conjectured that accessibility holds for a C^r -open and dense subset of the partially hyperbolic diffeomorphisms, volume-preserving or not.

Concerning the conjecture, it was shown by Dolgopyat and Wilkinson [20] that accessibility holds for a C^1 -open and dense subset of all partially hyperbolic diffeomorphisms, volume-preserving or not. Moreover, Didier [18] proved that accessibility is C^1 -open for systems with 1-dimensional center bundle; that is, accessibility implies *stable accessibility* for such systems. More recently, Rodriguez Hertz, Rodriguez Hertz and Ures [47] checked the complete conjecture for conservative systems whose center bundle is one-dimensional: accessibility is C^r -dense among C^r partially hyperbolic diffeomorphisms, for any $r \geq 1$. A version of this statement for nonconservative diffeomorphisms was obtained in [15]. It remains open whether C^r -density still holds when $\dim E^c > 1$.

Returning to the context of this paper, stable accessibility has been shown to hold for the time-one map of any geodesic flow in negative curvature [27] and more generally for the time-one map of any mixing Anosov flow [14]. Hence the map φ_1 in Theorem A—and in fact any C^1 -small perturbation of φ_1 —is accessible. Combining this fact with Theorem 2.1, we see φ_1 is *stably ergodic*: any C^2 , volume-preserving diffeomorphism that is sufficiently C^1 -close to φ_1 is ergodic with respect to the volume measure.

3. Disintegration of measure

We begin with a general discussion of disintegration of measures.

3.1. Measurable partitions and disintegration of measure

Let Z be a Polish metric space, let μ be a finite Borel measure on Z , and let \mathcal{P} be a partition of Z into measurable sets. Denote by $\hat{\mu}$ the induced measure on the σ -algebra generated by \mathcal{P} , which may be naturally regarded as a measure on \mathcal{P} .

A *system of conditional measures* (or a *disintegration*) of μ with respect to \mathcal{P} is a family $\{\mu_P\}_{P \in \mathcal{P}}$ of probability measures on Z such that

1. $\mu_P(P) = 1$ for μ -almost every $P \in \mathcal{P}$.
2. Given any continuous function $\psi : Z \rightarrow \mathbb{R}$, the function $P \mapsto \int \psi d\mu_P$ is measurable, and

$$\int_M \psi d\mu = \int_{\mathcal{P}} \left(\int \psi d\mu_P \right) d\hat{\mu}(P).$$

It is not always possible to disintegrate a probability measure with respect to a partition—we discuss examples below—but disintegration is always possible if \mathcal{P} is a measurable partition. We say that \mathcal{P} is a *measurable partition* if there exist measurable subsets E_1, E_2, \dots of Z such that

$$\mathcal{P} = \{E_1, Z \setminus E_1\} \vee \{E_2, Z \setminus E_2\} \vee \dots \text{ mod } 0. \tag{3.1}$$

In other words, there exists a full μ -measure subset $F_0 \subset Z$ such that, for any atom P of \mathcal{P} , we have

$$P \cap F_0 = E_1^* \cap E_2^* \cap \dots \cap F_0$$

where E_i^* is either E_i or $Z \setminus E_i$, for $i \geq 1$. Our interest in measurability of a partition derives from the following fundamental result.

Theorem 3.1 (Rokhlin [48]). *If \mathcal{P} is a measurable partition, then there exists a system of conditional measures relative to \mathcal{P} . It is essentially unique in the sense that two such systems coincide on a set of full $\hat{\mu}$ -measure.*

3.2. *Disintegration of measure along foliations with noncompact leaves*

The disintegration theorem of Rokhlin [48] does not apply directly when a foliation has a positive measure set of noncompact leaves. Instead, one must consider disintegrations into *measures defined up to scaling*, that is, equivalence classes where one identifies any two (possibly infinite) measures that differ only by a constant factor. Here we present this theory in a fairly general setting. See also [28, §4] and [33, §3].

Let M be a manifold of dimension $d \geq 2$, and let m be a locally finite measure on M . Let \mathcal{B} be any (small) foliation box. By Rokhlin [48], there is a disintegration $\{m_x^{\mathcal{B}} : x \in \mathcal{B}\}$ of the restriction of m to the foliation box into conditional probabilities along the local leaves, and this disintegration is essentially unique. The crucial observation is that conditional measures corresponding to different foliation boxes coincide on the intersection, up to a constant factor.

Lemma 3.2. *For any foliation boxes \mathcal{B} and \mathcal{B}' and for m -almost every $x \in \mathcal{B} \cap \mathcal{B}'$, the restrictions of $m_x^{\mathcal{B}}$ and $m_x^{\mathcal{B}'}$ to $\mathcal{B} \cap \mathcal{B}'$ coincide up to a constant factor.*

Proof. Let Σ be a *cross-section* to \mathcal{B} , that is, a submanifold of dimension $d - k$ intersecting every local leaf at exactly one point. Let $\mu_{\mathcal{B}}$ be the measure on Σ obtained by projecting $m|_{\mathcal{B}}$ along the local leaves. Consider any $\mathcal{C} \subset \mathcal{B}$ and let $\mu_{\mathcal{C}}$ be the image of $m|_{\mathcal{C}}$ under the projection along the local leaves. The Radon–Nikodym derivative

$$\frac{d\mu_{\mathcal{C}}}{d\mu_{\mathcal{B}}} \in (0, 1] \quad \text{at } \mu_{\mathcal{C}}\text{-almost every point.}$$

For any measurable set $E \subset \mathcal{C}$,

$$m(E) = \int_{\Sigma} m_{\xi}^{\mathcal{B}}(E) d\mu_{\mathcal{B}}(\xi) = \int_{\Sigma} m_{\xi}^{\mathcal{B}}(E) \frac{d\mu_{\mathcal{B}}}{d\mu_{\mathcal{C}}}(\xi) d\mu_{\mathcal{C}}(\xi).$$

By essential uniqueness, this proves that the disintegration of $m|_{\mathcal{C}}$ along the local leaves is given by

$$m_x^{\mathcal{C}} = \frac{d\mu_{\mathcal{B}}}{d\mu_{\mathcal{C}}}(\xi)(m_x^{\mathcal{B}}|_{\mathcal{C}}) \quad \text{for } m\text{-almost every } x \in \mathcal{C} \tag{3.2}$$

where ξ is the point where the local leaf through x intersects Σ . Now we take $\mathcal{C} = \mathcal{B} \cap \mathcal{B}'$. Using (3.2) twice we get

$$\frac{d\mu_{\mathcal{B}}}{d\mu_{\mathcal{C}}}(\xi)(m_x^{\mathcal{B}}|_{\mathcal{C}}) = \frac{d\mu_{\mathcal{B}'}}{d\mu_{\mathcal{C}}}(\xi)(m_x^{\mathcal{B}'}|_{\mathcal{C}})$$

for m -almost every x . This proves the lemma. □

This implies that there exists a family $\{m_x : x \in M\}$ where each m_x is a measure defined up to scaling with $m_x(M \setminus \mathcal{F}_x) = 0$, the function $x \mapsto m_x$ is constant on the leaves of \mathcal{F} , and the conditional probabilities $m_x^{\mathcal{B}}$ along the local leaves of any foliation box \mathcal{B} coincide almost everywhere with the normalized restrictions of the m_x to the local leaves of \mathcal{B} . It is also clear from the arguments that such a family is essentially unique. We call it the *disintegration* of m and refer to the m_x as *conditional classes* of m along the leaves of \mathcal{F} .

3.3. *Foliations whose leaves are fixed under a measure-preserving homeomorphism*

Now suppose the foliation \mathcal{F} is invariant under a homeomorphism $f : M \rightarrow M$, meaning that $f(\mathcal{F}_x) = \mathcal{F}_{f(x)}$ for every $x \in M$. Take the measure m to be invariant under f . Then, by essential uniqueness of the disintegration, $f_*(m_x) = m_{f(x)}$ for almost every x . We are especially interested in the case when f fixes every leaf, that is, when $f(x) \in \mathcal{F}_x$ for all $x \in M$. Then $f_*(m_x) = m_{f(x)}$ for almost every x , which means that every representative m_x of the conditional class m_x is f -invariant up to rescaling: $f_*(m_x) = cm_x$ for some $c > 0$. Actually, the scaling factor c is 1:

Proposition 3.3. *Suppose that m is invariant under a homeomorphism $f : M \rightarrow M$ that fixes all the leaves of \mathcal{F} . Then, for almost all $x \in M$, any representative m_x of the conditional class m_x is an f -invariant measure.*

Proof. Fix $x_0 \in M$ and let \mathcal{B} be a foliation box containing both x_0 and $f(x_0)$. Let Σ be a cross-section to \mathcal{B} and let $\mu_{\mathcal{B}}$ be the image of $m|_{\mathcal{B}}$ under the projection $p : \mathcal{B} \rightarrow \Sigma$ along the local leaves. Choose representatives m_x of the conditional classes scaled so that the restriction of m_x to the local leaf $\mathcal{F}_x^{\mathcal{B}}$ through every $x \in \mathcal{B}$ is a probability. Then

$$m_x^{\mathcal{B}} = m_x|_{\mathcal{F}_x^{\mathcal{B}}}. \tag{3.3}$$

Now let \mathcal{B}_0 be a foliation box containing x_0 , small enough that \mathcal{B}_0 and $\mathcal{B}_1 = f(\mathcal{B}_0)$ are both contained in \mathcal{B} . Note that $m|_{\mathcal{B}_1} = f_*(m|_{\mathcal{B}_0})$, because m is invariant, and $p \circ f = p$, because all the leaves are fixed by f . Thus, $p_*(m|_{\mathcal{B}_0}) = p_*(m|_{\mathcal{B}_1})$. We denote this measure by ν . By (3.2) and (3.3),

$$m_x^{\mathcal{B}_0} = \frac{d\mu_{\mathcal{B}}}{d\nu}(\xi)(m_x|_{\mathcal{F}_x^{\mathcal{B}_0}}) \quad \text{and} \quad m_y^{\mathcal{B}_1} = \frac{d\mu_{\mathcal{B}}}{d\nu}(\eta)(m_y|_{\mathcal{F}_y^{\mathcal{B}_1}})$$

for almost every $x \in \mathcal{B}_0$ and $y \in \mathcal{B}_1$, where $\xi = p(x)$ and $\eta = p(y)$. On the other hand, since f maps local leaves of \mathcal{B}_0 to local leaves of \mathcal{B}_1 , the images of the $m_x^{\mathcal{B}_0}$ under f define a disintegration of $m|_{\mathcal{B}_1}$ along the leaves. By essential uniqueness, it follows that

$$\frac{d\mu_{\mathcal{B}}}{d\nu}(\eta)(m_y|_{\mathcal{F}_y^{\mathcal{B}_1}}) = m_y^{\mathcal{B}_1} = f_*(m_x^{\mathcal{B}_0}) = \frac{d\mu_{\mathcal{B}}}{d\nu}(\xi)f_*(m_x|_{\mathcal{F}_x^{\mathcal{B}_0}})$$

for almost every $x \in \mathcal{B}_0$, where $y = f(x)$. Since $m_x = m_y$ and $\xi = \eta$, it follows that $(m_x|_{\mathcal{F}_{f(x)}^{\mathcal{B}_1}}) = f_*(m_x|_{\mathcal{F}_x^{\mathcal{B}_0}})$ for almost every $x \in \mathcal{B}_0$. This proves that m_x is indeed invariant (the scaling factor is 1) for almost every point in \mathcal{B}_0 . Covering M with such foliation boxes one gets the conclusion of the proposition. \square

3.4. Absolute continuity

This is analyzed in a lot more detail in [42]. Here we just present a few facts that are useful for what follows. As above, let M be a Riemannian manifold. Let λ_Σ denote the volume measure induced by the Riemann metric on a C^1 submanifold Σ of M .

The classical definition of absolute continuity [1, 2] goes as follows. A foliation \mathcal{F} on M is *absolutely continuous* if every holonomy map $h_{\Sigma, \Sigma'}$ between a pair of smooth cross-sections Σ and Σ' is absolutely continuous, meaning that the push-forward $(h_{\Sigma, \Sigma'})_* \lambda_\Sigma$ is absolutely continuous with respect to $\lambda_{\Sigma'}$. Reversing the roles of the cross-sections, one sees that $(h_{\Sigma, \Sigma'})_* \lambda_\Sigma$ is actually equivalent to $\lambda_{\Sigma'}$.

Here it is convenient to introduce the following weaker notion. We say that *volume has Lebesgue disintegration along \mathcal{F} -leaves* if given any measurable set $Y \subset M$, we have $m(Y) = 0$ if and only if for m -almost every $z \in M$ the leaf L through z meets Y in a zero λ_L -measure set. In other words, for almost every leaf L , the conditional measure m_L of m along the leaf is equivalent to the Riemann measure λ_L on the leaf.

Lemma 3.4. *If \mathcal{F} is absolutely continuous then volume has Lebesgue disintegration along \mathcal{F} -leaves.*

Proof. Fixing a smooth foliation transverse to \mathcal{F} , and using the fact that the holonomies are absolutely continuous, one defines a local change of coordinates

$$(x, y) \mapsto (x, h(0, x)(y))$$

that rectifies the leaves of \mathcal{F} and transforms m to a measure of the form $J(x, y) dx dy$ with $J > 0$. Lebesgue disintegration is clear in these coordinates. \square

The converse is false: one can destroy absolute continuity of holonomy at a single transversal while keeping Lebesgue disintegration of volume (this is an exercise in Brin and Stuck [12]).

Lemma 3.5. *Let $f : M \rightarrow M$ be C^2 and partially hyperbolic. The foliations $\mathcal{W}^s(f)$ and $\mathcal{W}^u(f)$ are absolutely continuous, and hence volume has Lebesgue disintegration along $\mathcal{W}^s(f)$ - and $\mathcal{W}^u(f)$ -leaves.*

Proof. This is a classical fact going back to Brin and Pesin [11]. \square

4. Lyapunov exponents and an invariance principle

In this section, we describe the main results we use concerning Lyapunov exponents and invariant measures of smooth cocycles.

Let $\mathfrak{F} : \mathcal{E} \rightarrow \mathcal{E}$ be a continuous smooth cocycle over f , in the sense of [3, 4]. This means that $\pi : \mathcal{E} \rightarrow M$ is a continuous fiber bundle with fibers modeled on some Riemannian manifold, and \mathfrak{F} is a continuous fiber bundle morphism over a Borel measurable map $f : M \rightarrow M$ acting on the fibers by diffeomorphisms with uniformly bounded derivative. Let $\hat{\mu}$ be an \mathfrak{F} -invariant probability measure on \mathcal{E} that projects to an f -invariant

measure μ . We denote by \mathcal{E}_x the fiber $\pi^{-1}(x)$ and by $\mathfrak{F}_x : \mathcal{E}_x \rightarrow \mathcal{E}_{f(x)}$ the induced diffeomorphism on fibers.

We say that a real number χ is a *fiberwise exponent of \mathfrak{F} at $\xi \in \mathcal{E}$* if there exists a nonzero vector $v \in T_\xi \mathcal{E}_{\pi(\xi)}$ in the tangent space to the fiber at ξ such that

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log \|D_\xi \mathfrak{F}^n(v)\| = \chi.$$

By Oseledec’s theorem, this limit $\chi(\xi, v)$ exists for $\hat{\mu}$ -almost every $\xi \in \mathcal{E}$ and every nonzero $v \in T_\xi \mathcal{E}_{\pi(\xi)}$, and it takes finitely many values at each such ξ . Let

$$\bar{\chi}(\xi) = \sup_{\|v\|=1} \chi(\xi, v) \quad \text{and} \quad \underline{\chi}(\xi) = \inf_{\|v\|=1} \chi(\xi, v).$$

The following result follows almost immediately from [49, Theorem II] and uses no assumptions on the base dynamics $f : M \rightarrow M$ other than invertibility. The hypothesis on the fibers can be weakened, but the statement that follows is sufficient for our purposes.

Theorem 4.1 ([49]). *Let $\mathfrak{F} : \mathcal{E} \rightarrow \mathcal{E}$ be a smooth cocycle over f . Assume that the fibers of \mathcal{E} are compact. Assume that \mathfrak{F} preserves an ergodic probability measure $\hat{\mu}$ that projects to an (f -invariant, ergodic) probability μ on M and that f is invertible on a full μ -measure set. Let \mathcal{X}_- be the set of $\xi \in \mathcal{E}$ such that $\bar{\chi}(\xi) < 0$, and \mathcal{X}_+ be the set of $\xi \in \mathcal{E}$ such that $\bar{\chi}(\xi) > 0$. Then both \mathcal{X}_- and \mathcal{X}_+ coincide up to zero $\hat{\mu}$ -measure subsets with measurable sets that intersect each fiber of \mathcal{E} in finitely many points.*

The next result, from [3, 4], treats the possibility that all fiberwise exponents vanish. It admits more general formulations, but we state it in the context in which we will use it, namely, when f is a partially hyperbolic diffeomorphism.

We say that \mathfrak{F} admits a **-holonomy* for $* \in \{s, u\}$ if, for every pair of points x, y lying in the same \mathcal{W}^* -leaf, there exists a Hölder continuous homeomorphism $H_{x,y}^* : \mathcal{E}_x \rightarrow \mathcal{E}_y$ with uniform Hölder exponent, satisfying:

- (i) $H_{x,x}^* = \text{id}$,
- (ii) $H_{x,z}^* = H_{y,z}^* \circ H_{x,y}^*$,
- (iii) $\mathfrak{F}_y \circ H_{x,y}^* = H_{f(x),f(y)}^* \circ \mathfrak{F}_x$, and
- (iv) $(x, y) \mapsto H_{x,y}^*(\xi)$ is continuous on the space of pairs of points (x, y) in the same local \mathcal{W}^* -leaf, uniformly in ξ .

The existence of a *-holonomy is equivalent to the existence of an \mathfrak{F} -invariant foliation (with potentially nonsmooth leaves) of \mathcal{E} whose leaves project homeomorphically (in the intrinsic leaf topology) to \mathcal{W}^* -leaves in M .

A disintegration $\{\hat{\mu}_x : x \in M\}$ is **-invariant*, where $* \in \{s, u\}$, over a set $X \subset M$ if the homeomorphism $H_{x,y}^*$ pushes $\hat{\mu}_x$ forward to $\hat{\mu}_y$ for all $x, y \in X$ with $y \in \mathcal{W}_x^*$. We call a set $X \subset M$ **-saturated*, $* \in \{s, cs, c, cu, u\}$, if it consists of entire leaves of \mathcal{W}^* . Observe that f is accessible if and only if the only nonempty set in M that is both s -saturated and u -saturated is M itself.

Theorem 4.2 ([3, Theorem C]). *Let \mathfrak{F} be a smooth cocycle over the C^2 , volume-preserving partially hyperbolic diffeomorphism f . Assume that f is center bunched and accessible and that \mathfrak{F} preserves a probability measure \widehat{m} that projects to the volume m . Suppose that $\overline{\chi}(\xi) = \underline{\chi}(\xi) = 0$ for \widehat{m} -almost every $\xi \in \mathcal{E}$. Then there exists a continuous disintegration $\{\widehat{m}_x^{su} : x \in M\}$ of \widehat{m} that is invariant under both s -holonomy and u -holonomy.*

Notice that the hypotheses on f in Theorem 4.2 coincide with the hypotheses of the ergodicity criterion in Theorem 2.1; they are satisfied by all maps considered in this paper.

5. Starting the proof of Theorem A

The proof of Theorem A runs through this and the next two sections. Here we construct, over every diffeomorphism close to the time-one map, a certain smooth cocycle $\mathfrak{F} : \mathcal{E} \rightarrow \mathcal{E}$ with su -holonomy, endowed with an invariant measure $m_{\mathcal{E}}$, whose fiberwise Lyapunov exponent coincides with the center Lyapunov exponent of the diffeomorphism.

Let S be a negatively curved surface and $\varphi_t : M \rightarrow M$ be the geodesic flow on the unit tangent bundle $M = T^1S$, whose orbits are lifts to M of geodesics in S . The unit tangent bundle $\widetilde{M} = T^1\widetilde{S}$ of the universal cover \widetilde{S} is a cover (though not the universal cover) of M and the geodesic flow $\widetilde{\varphi}_t : \widetilde{M} \rightarrow \widetilde{M}$ covers φ_t . Since S is negatively curved, the Cartan–Hadamard Theorem implies that \widetilde{S} is contractible and the exponential map $\exp_p : T_p\widetilde{S} \rightarrow \widetilde{S}$ is a diffeomorphism for each $p \in M$. In particular, the orbits of $\widetilde{\varphi}_t$ are all open, diffeomorphic to \mathbb{R} .

Consider the time-one map φ_1 , and note that $\widetilde{\varphi}_1$ is a lift of φ_1 . As explained in Section 2.2, the map φ_1 is partially hyperbolic, center bunched and stably accessible. Theorem 2.1 implies that φ_1 is stably ergodic. The foliation $\mathcal{W}^c(\widetilde{\varphi}_1)$ by $\widetilde{\varphi}$ -orbits is clearly $\widetilde{\varphi}_1$ -invariant, and $\widetilde{\varphi}_1$ acts as translation by 1 in each $\mathcal{W}^c(\widetilde{\varphi}_1)$ -leaf. The foliation $\mathcal{W}^c(\widetilde{\varphi}_1)$ is also normally hyperbolic and, being smooth, plaque expansive. The projection of $\mathcal{W}^c(\widetilde{\varphi}_1)$ to M is the center foliation $\mathcal{W}^c(\varphi_1)$. It has a natural orientation determined by the vector field $\dot{\varphi}$.

Let $f : M \rightarrow M$ be a C^∞ volume-preserving diffeomorphism C^1 -close to φ_1 . Then f is partially hyperbolic, center bunched, accessible and ergodic. In addition, f is dynamically coherent. Let $\widetilde{f} : \widetilde{M} \rightarrow \widetilde{M}$ be the lift of f that is C^1 -close to $\widetilde{\varphi}_1$. The lifted foliations $\mathcal{W}^*(\widetilde{f})$ are homeomorphic to $\mathcal{W}^*(\widetilde{\varphi}_1)$ for $*$ $\in \{c, cu, cs\}$. The action of \widetilde{f} on each leaf of $\mathcal{W}^c(\widetilde{f})$ is uniformly close to translation by 1, and therefore is topologically conjugate to a translation. The leaves of $\mathcal{W}^{cs}(\widetilde{f})$ are bifoliated by the leaves of $\mathcal{W}^c(\widetilde{f})$ and $\mathcal{W}^s(\widetilde{f})$. Before perturbation, the $\mathcal{W}^s(\widetilde{\varphi}_1)$ -holonomy maps between center leaves are orientation-preserving isometries: this follows from the fact that the flow φ_t preserves the stable foliation.

Lemma 5.1. *The map \widetilde{f} admits global su -holonomy.*

Proof. To check that \widetilde{f} admits global stable holonomy maps, we must show that for any $v, v' \in \widetilde{M}$ with $v' \in \mathcal{W}^s(\widetilde{f})_v$, and for every $w \in \mathcal{W}^c(\widetilde{f})_v$, there is a unique point w' in

the intersection $\mathcal{W}^s(\tilde{f})_w \cap \mathcal{W}^c(\tilde{f})_{v'}$. Since \tilde{f} acts on center leaves close to translation by 1, and uniformly contracts stable leaves, it suffices to prove this claim for w lying a distance ≤ 2 from v , and v' a fixed small distance from v . But the claim clearly holds in this case, since the stable holonomy for \tilde{f} between center leaves at a distance $\leq \epsilon$ is uniformly close to the stable holonomy of φ_1 , which is an isometry. This proves that \tilde{f} has global stable holonomy. The proof for unstable holonomy is analogous. \square

The fact that \tilde{f} admits global su -holonomy allows us to construct a fiber bundle $\tilde{\mathcal{E}}$ over \tilde{M} whose fibers are leaves of the center foliation $\mathcal{W}^c(\tilde{f})$, as follows. For $v, w \in \tilde{M}$ and $* \in \{s, c, u\}$, we write $v \sim_* w$ if $v \in \mathcal{W}^*(\tilde{f})_w$. Let

$$\tilde{\mathcal{E}} = \{(v, w) \in \tilde{M}^2 \mid v \sim_c w\}$$

and let $\tilde{p}_1, \tilde{p}_2 : \tilde{\mathcal{E}} \rightarrow \tilde{M}$ be the coordinate projections onto the first and second \tilde{M} factor, respectively.

Lemma 5.2. *The projection $\tilde{p}_1 : \tilde{\mathcal{E}} \rightarrow \tilde{M}$ defines a fiber bundle with the following properties:*

- (1) \tilde{p}_2 sends each fiber $\tilde{\mathcal{E}}_v = (\tilde{p}_1)^{-1}(v)$, $v \in \tilde{M}$, homeomorphically onto the center leaf $\mathcal{W}^c(\tilde{f})_v$;
- (2) $\tilde{\mathcal{E}}$ admits a canonical continuous “diagonal” section sending each $v \in \tilde{M}$ to $(v, v) = (\tilde{p}_2)^{-1}(v) \cap \tilde{\mathcal{E}}_v$.

We remark that the conclusions of this lemma hold with the roles of \tilde{p}_1 and \tilde{p}_2 switched. When we refer to the “fiber bundle $\tilde{\mathcal{E}}$ ”, it is with respect to the first projection \tilde{p}_1 .

Proof. Given any $v \in \tilde{M}$ and v' in a small neighborhood U of v in \tilde{M} , define w to be the point in $\mathcal{W}_{loc}^s(\tilde{f})_v \cap \mathcal{W}_{loc}^{cu}(\tilde{f})_{v'}$ and w' to be the point in $\mathcal{W}_{loc}^u(\tilde{f})_w \cap \mathcal{W}_{loc}^c(\tilde{f})_{v'}$. Notice that w and w' depend continuously on v' . Then $h_{v,v'} = h_{w,w'}^u \circ h_{v,w}^s$ is a homeomorphism from $\mathcal{W}^c(\tilde{f})_v$ to $\mathcal{W}^c(\tilde{f})_{v'}$ that depends continuously on v' . It follows that

$$g_{v,U} : U \times \mathcal{W}^c(\tilde{f})_v \rightarrow \pi^{-1}(U), \quad (v', \eta) \mapsto (v', h_{v,v'}(\eta)),$$

is a homeomorphism mapping each vertical $\{v'\} \times \mathcal{W}^c(\tilde{f})_v$ to $(\tilde{p}_1)^{-1}(v')$. This defines on $\tilde{\mathcal{E}}$ the structure of a continuous fiber bundle. It is clear that every fiber $(\tilde{p}_1)^{-1}(v) = \{v\} \times \mathcal{W}^c(\tilde{f})_v$ is mapped homeomorphically to $\mathcal{W}^c(\tilde{f})_v$ by the second projection \tilde{p}_2 , as claimed in (1). The diagonal embedding $\tilde{M} \rightarrow \tilde{\mathcal{E}}$ defines a section as in (2). \square

The fundamental group $\pi_1(S)$ acts on \tilde{M} by isometries preserving the \sim_* equivalence relations:

$$v \sim_* w \Rightarrow \gamma v \sim_* \gamma w, \quad \text{for all } \gamma \in \pi_1(S), v, w \in \tilde{M} \text{ and } * \in \{s, u, c\}.$$

Consider the induced diagonal action of $\pi_1(S)$ on \tilde{M}^2 . Since this action preserves the \sim_c relation and the product structure, it preserves the fiber bundle $\tilde{\mathcal{E}}$. The stabilizer of each fiber of $\tilde{\mathcal{E}}$ under this action is trivial.

There is also a $\mathbb{Z} \times \mathbb{Z}$ -action $\tilde{\rho}$ on \tilde{M}^2 commuting with the $\pi_1(S)$ -action, defined by

$$\tilde{\rho}(m, n)(x, y) = (\tilde{f}^n(x), \tilde{f}^m(y)).$$

Then $\tilde{\rho}$ also preserves the \sim_* equivalence relations and in particular defines an action on $\tilde{\mathcal{E}}$. The action of $\tilde{\rho}(1, 0)$ on each \tilde{p}_1 -fiber is topologically conjugate to a translation, and the action of $\tilde{\rho}(0, 1)$ on each \tilde{p}_2 -fiber is also conjugate to a translation. Let $\tilde{\mathfrak{F}} = \tilde{\rho}(1, 1)$ and $\tilde{\mathfrak{G}} = \tilde{\rho}(0, 1)$. Note that

$$\tilde{p}_1 \circ \tilde{\mathfrak{F}} = \tilde{f} \circ \tilde{p}_1, \quad \tilde{p}_2 \circ \tilde{\mathfrak{F}} = \tilde{f} \circ \tilde{p}_2, \quad \tilde{p}_1 \circ \tilde{\mathfrak{G}} = \tilde{p}_1, \quad \tilde{p}_2 \circ \tilde{\mathfrak{G}} = \tilde{f} \circ \tilde{p}_2.$$

Let \mathcal{E} be the quotient of $\tilde{\mathcal{E}}$ by the diagonal $\pi_1(S)$ -action. Denote by $p_i : \mathcal{E} \rightarrow M$, $i = 1, 2$, the quotient projections. The fibers of $p_1 : \mathcal{E} \rightarrow M$ are homeomorphic to \mathbb{R} , and for any $v \in M$,

$$p_2 \circ p_1^{-1}(v) = p_1 \circ p_2^{-1}(v) = \mathcal{W}^c(f)_v.$$

Since $\tilde{\rho}$ commutes with the $\pi_1(S)$ -action on $\tilde{\mathcal{E}}$, it also induces an action on the bundle \mathcal{E} , which we denote by ρ . Let $\mathfrak{F} = \rho(1, 1)$ and $\mathfrak{G} = \rho(0, 1)$. Then

$$p_1 \circ \mathfrak{F} = f \circ p_1, \quad p_2 \circ \mathfrak{F} = f \circ p_2, \quad p_1 \circ \mathfrak{G} = p_1, \quad p_2 \circ \mathfrak{G} = f \circ p_2.$$

The fiber \mathcal{E}_v of \mathcal{E} over $v \in M$ is naturally identified with the leaf $\mathcal{W}^c(f)_{v'}$ through any lift v' of v to \tilde{M} . The action of \mathfrak{G} on this fiber is then naturally identified with the action of \tilde{f} on this leaf. For almost every (all but countably many) $v \in M$, the leaf $\mathcal{W}^c(f)_v$ is noncompact and hence is canonically identified with any lift to \tilde{M} . For such v , we identify \mathcal{E}_v with $\mathcal{W}^c(f)_v$ and the action of \mathfrak{G} on \mathcal{E}_v with the action of f .

We define $\tilde{\mathfrak{F}}$ -invariant foliations $\tilde{\mathcal{F}}^*$ of the bundle $\tilde{\mathcal{E}}$ whose leaves project homeomorphically under \tilde{p}_1 to leaves of $\mathcal{W}^*(\tilde{f})$, as follows:

$$\tilde{\mathcal{F}}^*_{(v,w)} = \{(v', w') \in \tilde{\mathcal{E}} \mid v' \sim_* v \text{ and } w' \sim_* w\}$$

for $* \in \{s, u\}$ (recall Lemma 5.1) and

$$\tilde{\mathcal{F}}^c_{(v,w)} = \{(v', w) \in \tilde{\mathcal{E}} \mid v' \sim_c v\} = \{(v', w) \in \tilde{\mathcal{E}} \mid v' \sim_c w\} = (\tilde{p}_2)^{-1}(w).$$

It follows from the construction that $\tilde{\mathcal{F}}^*$ is invariant under the action $\tilde{\rho}$. Notice that for $* \in \{s, u\}$, the leaves of $\tilde{\mathcal{F}}^*$ also project homeomorphically under \tilde{p}_2 to leaves of $\mathcal{W}^*(\tilde{f})$.

Let \mathcal{F}^* be the induced quotient foliations of \mathcal{E} . Those foliations are clearly \mathfrak{F} -invariant. By definition, for each $* \in \{s, u\}$ and any v, v' lying in the same \mathcal{W}^* -leaf in M , there exists a holonomy map

$$H^*_{v,v'} : \mathcal{E}_v \rightarrow \mathcal{E}_{v'} \tag{5.1}$$

sending $\xi \in \mathcal{E}_v$ to the unique point $H^*_{v,v'}(\xi)$ in the intersection $\mathcal{F}^*(\xi) \cap \mathcal{E}_{v'}$. Invariance of the foliations \mathcal{F}^* under \mathfrak{F} implies that for $* \in \{s, u\}$, we have

$$\mathfrak{F} \circ H^*_{v,v'} = H^*_{f(v),f(v')} \circ \mathfrak{F}. \tag{5.2}$$

In other words, the cocycle $\mathfrak{F} : \mathcal{E} \rightarrow \mathcal{E}$ admits *su-holonomy*. It will also be useful to consider the *c-holonomy*

$$H^c_{v,v'} : \mathcal{E}_v \rightarrow \mathcal{E}_{v'}, \tag{5.3}$$

which is given by $(v, w) \mapsto (v', w)$ for all v, v' in the same \mathcal{W}^c -leaf in M . The invariance property (5.2) remains valid for the *c-holonomy*.

5.1. *Constructing a measure on \mathcal{E}*

Denote by \tilde{m} the $\pi_1(S)$ -invariant lift of m to \tilde{M} . It is a σ -finite, \tilde{f} -invariant measure whose restriction to any $\pi_1(S)$ fundamental domain is a probability measure that projects to m on M . We next construct a Radon measure $\tilde{m}_{\tilde{\mathcal{E}}}$ on $\tilde{\mathcal{E}}$ that projects to \tilde{m} , whose restriction to a $(\tilde{\mathcal{G}}, \pi_1(S))$ fundamental domain is a probability measure and which is $\tilde{\rho}$ -invariant and $\pi_1(S)$ -invariant.

For v and w lying in the same $\mathcal{W}^c(\tilde{f})$ -leaf, we denote by $[v, w)$ the positively oriented arc in $\mathcal{W}^c(\tilde{f})_v$ from v to w . Let $\{\tilde{m}_v\}$ be a disintegration of \tilde{m} along $\mathcal{W}^c(\tilde{f})$ -leaves. For each $v \in \tilde{M}$, choose a representative \tilde{m}_v of the conditional class \tilde{m}_v , normalized by

$$\tilde{m}_v([v, \tilde{f}(v))) = 1. \tag{5.4}$$

(By \tilde{f} -invariance, the class \tilde{m}_v is nonvanishing over a fundamental domain of the action of \tilde{f} on $\mathcal{W}^c(\tilde{f})_v$, for \tilde{m} -almost every v , so that (5.4) does make sense.) This choice of a normalization immediately implies that

$$\tilde{f}_* \tilde{m}_v = \tilde{m}_{\tilde{f}(v)}. \tag{5.5}$$

Moreover, using Proposition 3.3, we have

$$\tilde{m}_v([w, \tilde{f}(w))) = \tilde{m}_v([v, \tilde{f}(v))) = 1 \quad \text{for every } w \in \mathcal{W}^c(\tilde{f})_v,$$

so that

$$\tilde{m}_w = \tilde{m}_v \quad \text{for every } w \in \mathcal{W}^c(\tilde{f})_v. \tag{5.6}$$

Then $\tilde{m}_{\tilde{\mathcal{E}}} = \tilde{m}_v d\tilde{m}(v)$ defines a Radon measure on $\tilde{\mathcal{E}}$ that is $\tilde{\mathfrak{F}}$ -invariant, by the choice of normalization (5.4); $\tilde{\mathcal{G}}$ -invariant, because of property (5.5); and $\pi_1(S)$ -invariant, since \tilde{m} is.

The measure $\tilde{m}_{\tilde{\mathcal{E}}}$ projects to a measure $m_{\mathcal{E}}$ on \mathcal{E} ; writing $m_{\mathcal{E}} = m_v dm(v)$, the conditional measure m_v of $m_{\mathcal{E}}$ on each fiber \mathcal{E}_v is naturally identified with the measure $\tilde{m}_{v'}$, where v' is any lift of v to \tilde{M} . In particular,

$$m_w = m_v \quad \text{for every } w \in \mathcal{W}^c(f)_v, \tag{5.7}$$

$$f_* m_v = m_{f(v)} = m_v \quad \text{for every } v \in M. \tag{5.8}$$

Property (5.7) may be rewritten as $(H_{v,w}^c)_* m_v = m_w$ for every v, w in the same center leaf; we say that the family $\{m_v\}$ is *invariant under c -holonomy*. For those $v \in M$ for which the center leaf is noncompact, the measure m_v can be naturally regarded as a measure on $\mathcal{W}^c(f)_v$ via the push-forward under $p_2|_{\mathcal{E}_v}$.

Let $\tilde{\Sigma} \subset \tilde{\mathcal{E}}$ be the ‘‘half-closed’’ set bounded by the diagonal section of $\tilde{\mathcal{E}}$ and its image under $\tilde{\mathcal{G}}$, including the former and excluding the latter. Notice that $\tilde{\Sigma}$ is $\tilde{\mathfrak{F}}$ -invariant and $\pi_1(S)$ -invariant. We denote by $\tilde{m}_{\tilde{\Sigma}}$ the restriction of the measure $\tilde{m}_{\tilde{\mathcal{E}}}$ to $\tilde{\Sigma}$. Then $\tilde{m}_{\tilde{\Sigma}}$ is also $\tilde{\mathfrak{F}}$ -invariant and $\pi_1(S)$ -invariant.

Lemma 5.3. $(\tilde{p}_1)_* \tilde{m}_{\tilde{\Sigma}} = \tilde{m} = (\tilde{p}_2)_* \tilde{m}_{\tilde{\Sigma}}$.

Proof. The first equality is a direct consequence of the normalization (5.4). To prove the second one, begin by noting that

(i) $(\tilde{p}_2)_* \tilde{m}_{\tilde{\Sigma}}$ is the $\pi_1(S)$ -invariant lift of a probability measure on M .

Indeed, $\tilde{m}_{\tilde{\Sigma}}$ is $\pi_1(S)$ -invariant, and if $\tilde{\Sigma}_0 \subset \tilde{\Sigma}$ is a fundamental domain for the $\pi_1(S)$ -action on $\tilde{\mathcal{E}}$ then $\tilde{m}_{\tilde{\mathcal{E}}}(\tilde{\Sigma}_0) = 1$, since $(\tilde{p}_1)_*(\tilde{m}_{\tilde{\mathcal{E}}}|_{\tilde{\Sigma}}) = \tilde{m}$. Moreover,

(ii) $(\tilde{p}_2)_* \tilde{m}_{\tilde{\Sigma}}$ is \tilde{f} -invariant.

That is because $\tilde{m}_{\tilde{\Sigma}}$ is $\tilde{\mathfrak{F}}$ -invariant. Furthermore,

(iii) $(\tilde{p}_2)_* \tilde{m}_{\tilde{\Sigma}}$ is absolutely continuous with respect to \tilde{m} .

Indeed, if a Borel set $X \subset \tilde{M}$ has zero \tilde{m} -measure, then $\tilde{m}_v(X) = 0$ for \tilde{m} -almost every $v \in \tilde{M}$, and then the definition of $\tilde{m}_{\tilde{\mathcal{E}}}$ gives $\tilde{m}_{\tilde{\mathcal{E}}}((\tilde{p}_2)^{-1}(X)) = 0$. Since f is m -ergodic, \tilde{m} is the unique measure on \tilde{M} satisfying properties (i)–(iii). So, $(\tilde{p}_2)_* \tilde{m}_{\tilde{\Sigma}} = \tilde{m}$ as claimed. \square

Let Σ be the projection of $\tilde{\Sigma}$ to \mathcal{E} ; equivalently, Σ is the “half-closed” set bounded by the diagonal section of \mathcal{E} and its image under \mathfrak{G} , including the former and excluding the latter. Let m_Σ be the probability measure on \mathcal{E} induced by $\tilde{m}_{\tilde{\Sigma}}$. Note that m_Σ gives zero measure to the complement of Σ , and hence it is supported on the closure of Σ . Moreover, m_Σ is \mathfrak{F} -invariant and satisfies

$$(\rho_1)_* m_\Sigma = m = (\rho_2)_* m_\Sigma. \tag{5.9}$$

Recalling that almost every fiber \mathcal{E}_v is naturally identified with $\mathcal{W}^c(f)_v$, we can write $m_\Sigma = (m_v|[v, f(v))) dm(v)$.

5.2. Lyapunov exponents

Let $\chi^c(v)$ denote the *center Lyapunov exponent* of f at a point $v \in M$, that is,

$$\chi^c(v) = \lim_{n \rightarrow \infty} \frac{1}{n} \log \|Df^n|E_v^c\|.$$

By ergodicity, there exists $\chi^c \in \mathbb{R}$ such that $\chi^c(v) = \chi^c$ for m -almost every $v \in M$. Since E^c is 1-dimensional, the ergodic theorem ensures that χ^c can be expressed as an integral

$$\chi^c = \int_M \log \|Df|E_v^c\| dm(v),$$

with respect to any fixed Riemannian structure on M .

Lemma 5.4. *The fiberwise exponent of the cocycle \mathfrak{F} exists at a point $\xi \in \mathcal{E}$ if and only if the center Lyapunov exponent for f exists at $p_2(\xi)$, and then the two are equal:*

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log \|D_\xi \mathfrak{F}^n\| = \chi^c(p_2(\xi)).$$

In particular, the fiberwise exponent of the cocycle \mathfrak{F} is equal to χ^c almost everywhere with respect to m_Σ .

Proof. Note that the \mathfrak{F} -orbit of any $\xi \in \mathcal{E}$ is precompact (indeed, $\{\mathfrak{G}^k(\Sigma)\}_{k \in \mathbb{Z}}$ is a partition of \mathcal{E} into precompact \mathfrak{F} -invariant sets), and so the existence and value of the fibered Lyapunov exponent at ξ do not depend on a particular choice of a fiberwise Riemannian metric. Since p_2 restricts to an immersion on each fiber of \mathcal{E} , a particular choice of fiberwise Riemannian metric can be obtained by pulling back the Riemannian metric on M under p_2 . With respect to this metric, we have the identity $\|D_\xi \mathfrak{F}\| = \|Df|E_{p_2(\xi)}^c\|$ (where the derivative of \mathfrak{F} is taken along the fibers of \mathcal{E}). The conclusion follows. \square

6. The atomic case

At this point there are two very different cases in our analysis: $\chi^c \neq 0$ and $\chi^c = 0$. The first is handled easily by existing methods and implies that $\mathcal{W}^c(f)$ has atomic disintegration of volume. In handling the second case, we will introduce the meat of the arguments in this paper.

6.1. The case of nonvanishing center exponents

Suppose that $\chi^c \neq 0$. Let $X = \{v \in M \mid \chi^c(v) = \chi^c\}$, which is a full measure subset of M . Let $\mathcal{X} = p_2^{-1}(X) \cap \Sigma$; Lemma 5.4 implies that \mathcal{X} is the set of $\xi \in \Sigma$ where the fiberwise exponent of \mathfrak{F} is equal to χ^c . We want to use Theorem 4.1 to conclude that \mathcal{X} coincides, up to zero m_Σ -measure, with a measurable set $\mathcal{Y} \subset \Sigma$ meeting almost every fiber of \mathcal{E} in finitely many points.

Strictly speaking, the theorem does not apply directly to the fiber bundle $\Sigma \rightarrow M$, because its fibers are not compact. However, this can be turned into a fiber bundle $\overline{\Sigma} \rightarrow M$ with compact fibers: just take $\overline{\Sigma}$ to be the quotient of \mathcal{E} by \mathfrak{G} , so that the quotient map restricts to a continuous bijection $P : \Sigma \rightarrow \overline{\Sigma}$. The map \mathfrak{F} goes down to the quotient to define a smooth cocycle $\overline{\mathfrak{F}}$ on $\overline{\Sigma}$, which admits an invariant measure $P_*(m_\Sigma|\Sigma)$. Fix an arbitrary Riemannian metric on the fibers of $\overline{\Sigma}$ depending continuously on the base point; any two such metrics are uniformly equivalent, since $\overline{\Sigma}$ is compact. Notice that the restriction of P to each fiber is smooth, with derivative uniformly bounded away from zero and infinity, and so the fibered Lyapunov exponent of $\overline{\mathfrak{F}}$ with respect to $P_*(m_\Sigma|\Sigma)$ is the same as the Lyapunov exponent of \mathfrak{F} with respect to $m_\Sigma|\Sigma$. Thus, we can apply Theorem 4.1 in $\overline{\Sigma}$, and then take the preimage under P to obtain the conclusion in Σ .

By construction, the family $\{m_v|[v, f(v)] : v \in M\}$ is a disintegration of m_Σ along \mathcal{E} fibers. Since \mathcal{Y} has full m_Σ -measure, its intersection with almost every fiber has full conditional measure on the fiber. This implies that $m_v|[v, f(v)]$ is atomic, with finitely many atoms, for m -almost every v . The function that assigns to each $v \in M$ the number of atoms is a measurable, f -invariant function. So, ergodicity of f implies that this number is m -almost everywhere constant. Let $k \geq 1$ be this constant. Then there exists some full m_Σ -measure set $\mathcal{Z} \subset \Sigma$ whose intersection with almost every fiber \mathcal{E}_v coincides with the support of $m_v|[v, f(v)]$ and contains exactly k points.

The projection $p_2(\mathcal{Z})$ is a full m -measure subset of M , by property (5.9). Moreover, $p_2(\mathcal{Z})$ is f -invariant, because m_v is f -invariant; recall (5.8) and (5.7). Since $[v, f(v)]$ is

a fundamental domain for the action of f on any noncompact center leaf, it follows that the intersection of $p_2(\mathcal{Z})$ with almost every $\mathcal{W}^c(f)_v$ consists of exactly k orbits, whose points are the atoms of the corresponding measure m_v . Then $p_2(\mathcal{Z})$ coincides, up to zero m -measure, with some measurable set that intersects every center leaf in exactly k orbits. So, alternative (1) of Theorem A holds in the case where $\chi^c \neq 0$.

6.2. *Vanishing center exponents: using the invariance principle*

Now let us suppose that $\chi^c = 0$. Using the invariance principle stated in Theorem 4.2, we prove:

Lemma 6.1. *There is a continuous¹ family $\{\widehat{m}_v : v \in M\}$ of Radon measures on the fibers of \mathcal{E} with the following properties:*

- (1) $\widehat{m}_v = m_v$ for m -almost every $v \in M$;
- (2) the family is ρ -invariant; in particular,

$$\mathfrak{F}_* \widehat{m}_v = \widehat{m}_{f(v)} \quad \text{and} \quad \mathfrak{G}_* \widehat{m}_v = \widehat{m}_v \quad \text{for all } v \in M;$$

- (3) the family is invariant under su -holonomy:

$$(H_{v,v'}^s)_* \widehat{m}_v = \widehat{m}_{v'} \quad \text{and} \quad (H_{w,w'}^u)_* \widehat{m}_w = \widehat{m}_{w'}$$

for all $v' \in \mathcal{W}^s(f)_v$ and $w' \in \mathcal{W}^u(f)_w$.

Proof. Note that f satisfies the hypotheses of Theorem 4.2: it is partially hyperbolic, volume-preserving, center bunched (since E^c is 1-dimensional) and accessible (since φ_1 is stably accessible). As we have seen, the bundle \mathcal{E} admits su -holonomy, and the probability measure m_Σ on \mathcal{E} projects to the volume m and is invariant under the smooth cocycle \mathfrak{F} . We are in the case where $\chi^c = 0$, which by Lemma 5.4 implies that the fiberwise Lyapunov exponent for \mathfrak{F} vanishes m_Σ -almost everywhere. Applying Theorem 4.2, we conclude that there is a continuous \mathfrak{F} -invariant and su -holonomy invariant family of probability measures supported on the fibers of Σ and agreeing m -almost everywhere with the disintegration $\{m_v[[v, f(v))]\}$ of m_Σ . Since $[v, f(v))$ is a fundamental domain for the action of \mathfrak{G} on the fiber \mathcal{E}_v , we can extend this continuous family of probabilities to a continuous family of σ -finite measures \widehat{m}_v supported on the fibers of \mathcal{E} . By construction, this family is invariant under ρ and under su -holonomy. Moreover, it agrees m -almost everywhere with the family $\{m_v\}$. \square

The family of measures $\{\widehat{m}_v : v \in M\}$ given by Lemma 6.1 is a disintegration of $m_\mathcal{E}$ and shares some properties with the family $\{m_v : v \in M\}$, for example ρ -invariance. The family $\{\widehat{m}_v : v \in M\}$ has the extra properties of continuity and invariance under su -holonomy. On the other hand, the family $\{m_v : v \in M\}$ has one extra property that is not *a priori* enjoyed by $\{\widehat{m}_v : v \in M\}$: invariance under c -holonomy. This reflects the

¹ We recall that the space of Radon measures on \mathcal{E} can be seen as a cone in the dual of the space of compactly supported continuous functions on \mathcal{E} , and hence inherits a natural weak- $*$ topology.

fact that $\{m_v : v \in M\}$ comes from a disintegration of m along local $\mathcal{W}^c(f)$ -leaves, and is not just an arbitrary disintegration of $m_{\mathcal{E}}$ along \mathcal{E} fibers.

We can characterize whether $\{\widehat{m}_v : v \in M\}$ is invariant under c -holonomy by looking at the supports $\text{supp } \widehat{m}_v$ of these measures on the fibers. To this end we show:

Lemma 6.2. *Either*

- (i) *there exists $k \in \mathbb{N}$ such that $\# \text{supp } \widehat{m}_v \cap \Sigma = k$ for all $v \in M$, or*
- (ii) *$\text{supp } \widehat{m}_v = \mathcal{E}_v$ for all $v \in M$.*

The key ingredient in the proof of Lemma 6.2 is the following lemma, which shows that the measures \widehat{m}_v have a strong homogeneity property under holonomy maps.

Lemma 6.3. *For any $v \in M$ and for any $\xi, \xi' \in \text{supp } \widehat{m}_v$, there is an orientation-preserving C^1 diffeomorphism $H_{\xi, \xi'} : \mathcal{E}_v \rightarrow \mathcal{E}_v$ (a composition of s -, u - and c -holonomies in \mathcal{E}) with the following properties:*

- (1) $H_{\xi, \xi'}(\xi) = \xi'$;
- (2) $(H_{\xi, \xi'})_* \widehat{m}_v = \widehat{m}_v$;
- (3) *if $\xi, \xi' \in \text{supp } \widehat{m}_v$, then $H_{\xi, \xi'}(\text{supp } \widehat{m}_v) = \text{supp } \widehat{m}_v$;*
- (4) *if f is r -bunched, then $H_{\xi, \xi'}$ is a C^r diffeomorphism.*

Proof of Lemma 6.3. Note that $\widehat{m}_w = m_v$ for every $w \in \text{supp } m_v$ and almost every v , because $\widehat{m}_v = m_v$ almost everywhere, \widehat{m}_v is continuous in v , and m_v is constant on every center leaf.

Let w, w' be the p_2 -projections of ξ, ξ' . By accessibility of f , there is an su -path γ in M connecting w to w' . Since p_1 maps leaves of \mathcal{F}^* homeomorphically to leaves of $\mathcal{W}^*(f)$, for $* \in \{s, u\}$, we can lift γ to an su -path in \mathcal{E} connecting $\eta = (w, w)$ to $\eta' = (w', w')$. Let $H : \mathcal{E}_w \rightarrow \mathcal{E}_{w'}$ be the su -holonomy map along this su -path. Then H sends η to η' , and since the disintegration $\{\widehat{m}_u : u \in M\}$ is invariant under su -holonomy, it maps \widehat{m}_w to $\widehat{m}_{w'}$.

Suppose first that $v \in \text{supp } m_v$ (this holds m -almost everywhere). Then the condition $\xi \in \text{supp } \widehat{m}_v$ means that $w \in \text{supp } m_v$, which implies $\widehat{m}_w = m_v = \widehat{m}_v$. Analogously, $w' \in \text{supp } m_v$ and $\widehat{m}_{w'} = m_v = \widehat{m}_v$. Identifying the fibers $\mathcal{E}_w, \mathcal{E}_{w'}$ to \mathcal{E}_v through c -holonomy in \mathcal{E} , we obtain a homeomorphism $H_{\xi, \xi'} : \mathcal{E}_v \rightarrow \mathcal{E}_v$ satisfying properties (1)–(3).

The assumption on v is readily removed, as follows. Given any $v \in M$ let v_0 be any point such that $v_0 \in \text{supp } m_{v_0}$ and let γ be an su -path in M connecting v to v_0 . The su -holonomy $H_0 : \mathcal{E}_v \rightarrow \mathcal{E}_{v_0}$ along the lift of γ maps $\text{supp } \widehat{m}_v$ to $\text{supp } \widehat{m}_{v_0}$. Let ξ_0, ξ'_0 be the images of ξ, ξ' under H_0 . Conjugating H_{ξ_0, ξ'_0} by H_0 we obtain a homeomorphism $H_{\xi, \xi'}$ satisfying conclusions (1)–(3).

Since \tilde{f} is partially hyperbolic with 1-dimensional center, it is center bunched, and so the (globally defined) su -holonomy maps between $\mathcal{W}^c(\tilde{f})$ leaves are C^1 . This implies that $H_{\xi, \xi'}$ is a C^1 diffeomorphism. Moreover, if f is r -bunched, then so is \tilde{f} , and the leaves of $\mathcal{W}^c(\tilde{f})$ and all holonomies are C^r ; in this case $H_{\xi, \xi'}$ is a C^r diffeomorphism, satisfying property (4). □

Proof of Lemma 6.2. The support of each \widehat{m}_v is a locally compact subset of the fiber \mathcal{E}_v . If $\text{supp } \widehat{m}_v$ has bilateral accumulation points for some (and hence all) $v \in M$, then

Lemma 6.3 implies that $\text{supp } \widehat{m}_v = \mathcal{E}_v$: otherwise one would have an interval in the complement of $\text{supp } \widehat{m}_v$ whose boundary points would fail to be bilateral accumulation points. This means that conclusion (ii) holds.

If $\text{supp } \widehat{m}_v$ has no bilateral accumulation points then it is countable; since it is locally compact, it therefore contains (and hence consists of) isolated points. Hence, the support of every $\widehat{m}_v|_{[v, f(v))}$ is finite. But $m_\Sigma = (\widehat{m}_v|_{[v, f(v))}) dm(v)$ is \mathfrak{F} -invariant, and so $\#\text{supp}(\widehat{m}_v|_{[v, f(v))})$ is an f -invariant positive measurable function. By ergodicity of f , there exists $k \geq 1$ such that $\#\text{supp}(\widehat{m}_v|_{[v, f(v))}) = k$ for m -almost all v . Conclusion (i) now follows from the continuity of \widehat{m}_v . \square

We call alternative (i) of Lemma 6.2 *the atomic case*, and alternative (ii) *the continuous case*. Let us consider the atomic case first. Then, for every v in some full m -measure subset, $\text{supp}(m_v|_{[v, f(v))}) = \text{supp}(\widehat{m}_v|_{[v, f(v))})$ consists of exactly k points. Since $[v, f(v))$ is a fundamental domain for the action of f on $\mathcal{W}^c(f)_v$, assuming the center leaf is noncompact, it follows that the support of m_v consists of exactly k orbits, for every v in some full m -measure Borel set $M_0 \subset M$. We may further assume that $\widehat{m}_v = m_v$ for $v \in M_0$. Taking the unions of the supports

$$\bigcup_{v \in M_0} \text{supp } m_v = p_2 \left(\bigcup_{v \in M_0} \text{supp } \widehat{m}_v \right)$$

we obtain a full measure set² meeting almost every $\mathcal{W}^c(f)$ -leaf in exactly k orbits of f . Then there exists a full measure set such that this happens for every center leaf, as claimed in alternative (1) of Theorem A.

Finally, observe that in the atomic case the family $\{\widehat{m}_v : v \in M\}$ is not invariant under c -holonomy. Indeed, consider any v such that $v \in \text{supp } m_v$ and let $w \in \mathcal{W}^c(f)_v \setminus \text{supp } m_v$. Then $v \in \text{supp } \widehat{m}_v$ and, by accessibility, $w \in \text{supp } \widehat{m}_w$. The latter implies $\widehat{m}_w \neq m_v = \widehat{m}_v$.

7. The continuous case

One case remains in the proof of Theorem A, in which the fiberwise exponent χ^c vanishes and the family of measures $\{\widehat{m}_v : v \in M\}$ has full support on the fibers of \mathcal{E} . We shall see that in this case the family $\{\widehat{m}_v : v \in M\}$ is invariant under c -holonomy and can be used to define a continuous disintegration of volume along center leaves. The existence of this disintegration leads to alternative (2) in Theorem A: the existence of a smooth vector field in which f embeds. Then the center foliation is smooth and, in particular, has Lebesgue disintegration. The first step is to establish c -invariance of the measures $\{\widehat{m}_v : v \in M\}$.

Lemma 7.1. *The family $\{\widehat{m}_v : v \in M\}$ is invariant under c -holonomy of $\mathfrak{F} : \mathcal{E} \rightarrow \mathcal{E}$.*

² Note that $\bigcup_{v \in M_0} \text{supp } \widehat{m}_v$ is a Borel subset (by continuity of \widehat{m}_v), hence its image under p_2 is analytic, and hence Lebesgue measurable.

Proof. The fact that $\text{supp } \widehat{m}_v = \mathcal{E}_v$ for every v implies that every set of full \widehat{m} -measure must be dense in almost every fiber. Recall that $\widehat{m}_v = m_v$ almost everywhere and $\{m_v : v \in M\}$ is invariant under c -holonomy of \mathcal{E} . This implies that $\{\widehat{m}_v : v \in M\}$ is invariant under c -holonomy restricted to a dense set of points in a dense set of center leaves. Since the family $\{\widehat{m}_v : v \in M\}$ is continuous, it follows that it is invariant under c -holonomy on the whole of \mathcal{E} . \square

7.1. Absolute continuity of $\mathcal{W}^c(f)$

For $v \in M$, denote by λ_v the Riemannian measure on the fiber and denote by $I(\xi, r)$ the interval in \mathcal{E}_v centered at ξ of radius r , with respect to the p_2 -pullback metric of the Riemannian structure on $\mathcal{W}^c(\tilde{f})_v$.

Lemma 7.2. *For each $v \in M$, the measure \widehat{m}_v is equivalent to Lebesgue measure λ_v . The limit*

$$\Delta_v(\xi) = \lim_{r \rightarrow 0} \frac{\widehat{m}_v(I(\xi, r))}{\lambda_v(I(\xi, r))}$$

exists everywhere, is continuous, and takes values in $(0, \infty)$.

Proof. For $v \in M$ and $\xi \in \mathcal{E}_v$ let

$$\overline{\Delta}_v(\xi) = \limsup_{r \rightarrow 0} \frac{\widehat{m}_v(I(\xi, r))}{\lambda_v(I(\xi, r))}, \quad \underline{\Delta}_v(\xi) = \liminf_{r \rightarrow 0} \frac{\widehat{m}_v(I(\xi, r))}{\lambda_v(I(\xi, r))}.$$

For \widehat{m}_v -almost every $\xi \in \mathcal{E}_v$, we have

$$\overline{\Delta}_v(\xi) = \underline{\Delta}_v(\xi) \in (0, \infty].$$

Since $\text{supp } \widehat{m}_v = \mathcal{E}_v$, Lemma 6.3 implies that for any two points $\xi, \xi' \in \mathcal{E}_v$, there is a diffeomorphism $H_{\xi, \xi'} : \mathcal{E}_v \rightarrow \mathcal{E}_v$ preserving \widehat{m}_v and sending ξ to ξ' . Since C^1 diffeomorphisms have continuous and positive Jacobians, it follows that for any ξ, ξ' ,

$$\underline{\Delta}_v(\xi) = \overline{\Delta}_v(\xi) \Leftrightarrow \underline{\Delta}_v(\xi') = \overline{\Delta}_v(\xi').$$

Thus $\underline{\Delta}_v = \overline{\Delta}_v$ everywhere on \mathcal{E}_v ; denote this function by Δ_v .

Then \widehat{m}_v has a singular part with respect to λ_v if and only if there is a positive \widehat{m}_v -measure set $X \subset \mathcal{E}_v$ such that $\Delta_v(\xi) = \infty$ for $\xi \in X$. On the other hand, again using the diffeomorphisms $H_{\xi, \xi'}$ we see that for every ξ, ξ' ,

$$\Delta_v(\xi) = \infty \Leftrightarrow \Delta_v(\xi') = \infty.$$

Hence if \widehat{m}_v had a singular part with respect to λ_v , this would imply that $\Delta_v \equiv \infty$ on \mathcal{E}_v , contradicting the local finiteness of \widehat{m}_v . Therefore \widehat{m}_v is absolutely continuous with respect to λ_v . Similarly, we see that λ_v is absolutely continuous with respect to \widehat{m}_v , and so the two measures are equivalent.

The function Δ is a pointwise limit of the continuous functions

$$\xi \mapsto \frac{\widehat{m}_v(I(\xi, r))}{\lambda_v(I(\xi, r))}$$

and hence is a Baire class 1 function; it follows that Δ has a point of continuity [34, Theorem 7.3]. Again using Lemma 6.3, we see that every point in \mathcal{E} is a point of continuity of Δ , and so Δ is continuous. \square

Recall that for almost every $v \in M$, we have $\widehat{m}_v = m_v$, where m_v is a representative of the disintegration of volume on the (noncompact) leaf $\mathcal{W}^c(f)_v$. The previous lemma thus implies that m_v is equivalent to Lebesgue measure on $\mathcal{W}^c(f)_v$, for almost every v . We conclude:

Lemma 7.3. *$\mathcal{W}^c(f)$ is leafwise absolutely continuous.*

7.2. *Embedding \tilde{f} in a continuous flow*

Consider the continuous vector field Z on \mathcal{E} given by

$$Z(\xi) = Z_0(\xi)/\Delta(\xi),$$

where Z_0 is the positively oriented unit speed vector field tangent to the fibers of \mathcal{E} (with respect to the p_2 -pullback metric). Since $\Delta_v = d\widehat{m}_v/d\lambda_v$, it follows that Z generates a flow ϕ_t on \mathcal{E} satisfying

$$\widehat{m}_v([\xi, \phi_t(\xi)]) = t$$

for all $v \in M$, $\xi \in \mathcal{E}_v$ and $t \in \mathbb{R}$. Since $\widehat{m}_v[\xi, \mathfrak{G}(\xi)] = 1$, it follows that $\phi_1(\xi) = \mathfrak{G}(\xi)$ for all ξ .

The invariance properties of \widehat{m}_v translate into invariance properties of the flow:

- ϕ_t commutes with the ρ -action on \mathcal{E} ;
- ϕ_t commutes with u -, s - and c -holonomy.

The analogous properties hold for the vector field Z ; in particular:

- Z is preserved by the ρ -action on \mathcal{E} ;
- Z is preserved by u -, s - and c -holonomy.

The c -invariance of Z implies that Z projects under p_2 to a well-defined continuous vector field X on M tangent to the leaves of $\mathcal{W}^c(f)$. The \mathfrak{G} -invariance of Z implies that $f_*X = X$. Let ψ_t be the flow generated by X ; it satisfies $\phi_t \circ p_2 = p_2 \circ \psi_t$ for all t . Since $\phi_1 = \mathfrak{G}$ and $p_2 \circ \mathfrak{G} = f \circ p_2$, we see that $\psi_1 = f$; in other words, f embeds in the flow ψ_t .

Lemma 7.4. *The flow ψ preserves the volume m .*

Proof. Fix $t \in \mathbb{R}$. Since $\mathcal{W}^c(f)$ is leafwise absolutely continuous and ψ_t is C^1 along the leaves of $\mathcal{W}^c(f)$, the map ψ_t preserves the measure class of m . Hence ψ_t has a Jacobian with respect to volume:

$$\text{Jac}(\psi_t) = \frac{d((\psi_t)_*m)}{dm}.$$

Since $\psi_t \circ f = f \circ \psi_t$, it follows that $\text{Jac} \psi_t(f(t)) = \text{Jac}(\psi_t)$. Ergodicity of f implies that $\text{Jac}(\psi_t)$ is almost everywhere constant, and hence almost everywhere equal to 1. This immediately implies that $(\psi_t)_*m = m$. \square

7.3. Showing that the flow is smooth

Let ψ be the volume-preserving flow on M satisfying $f = \psi_1$ that we have just constructed. Our remaining task is to prove that the flow ψ is C^∞ . This is accomplished in two steps. In the first step, we show that ψ_t is C^∞ along the leaves of $\mathcal{W}^c(f)$. In the second step we show that ψ_t is C^∞ along the leaves of $\mathcal{W}^u(f)$ and $\mathcal{W}^s(f)$. A straightforward application of a result of Journé then shows that the flow ψ is C^∞ .

To show C^∞ smoothness along the leaves of $\mathcal{W}^c(f)$ one must first establish that the leaves of $\mathcal{W}^c(f)$ are C^∞ . A priori, these leaves have only finite smoothness determined by the C^1 distance from f to φ_1 . However in the case under consideration, in which volume has Lebesgue disintegration along $\mathcal{W}^c(f)$ -leaves, we have more information about the action of f on center leaves.

In particular, since f preserves a continuous vector field X tangent to $\mathcal{W}^c(f)$ -leaves, the derivatives $Df^k|_{E^c}$, $k \in \mathbb{Z}$, of its iterates along the central direction are uniformly bounded. This implies that f is r -bunched for every $r > 0$; recall (2.1). Hence, the leaves of $\mathcal{W}^{cs}(f)$, $\mathcal{W}^{cu}(f)$ and $\mathcal{W}^c(f)$ are C^∞ , and the $\mathcal{W}^s(f)$ -holonomies and $\mathcal{W}^u(f)$ -holonomies between $\mathcal{W}^c(f)$ -leaves are also C^∞ .

This in turn implies that the fibers of \mathcal{E} and the diffeomorphisms $H_{\xi, \xi'}$ given by Lemma 6.3 are C^∞ . We will use this information to conclude that the function Δ is C^∞ along the fibers of \mathcal{E} , which implies that X is C^∞ along the leaves of $\mathcal{W}^c(f)$.

Lemma 7.5. *The function Δ given by Lemma 7.2 is C^∞ along the fibers of \mathcal{E} , with derivatives varying continuously from fiber to fiber. Consequently, X is C^∞ along the leaves of $\mathcal{W}^c(f)$, uniformly in the leaves, as is the flow ψ_t .*

Proof. Fix $v \in M$. For any $\xi \in \mathcal{E}_v$ and any diffeomorphism H of \mathcal{E}_v preserving \widehat{m}_v , we have

$$\Delta_v(H(\xi)) = \frac{\Delta(\xi)}{\text{Jac}(H)(\xi)}. \tag{7.1}$$

If H is C^∞ , then so is the Jacobian $\text{Jac}(H)$. Consider the graph of Δ_v :

$$\text{graph}(\Delta_v) = \{(\xi, \Delta(\xi)) : \xi \in \mathcal{E}_v\} \subset \mathcal{E}_v \times \mathbb{R}.$$

Since the function Δ is continuous, $\text{graph}(\Delta_v)$ is locally compact. If H is an \widehat{m}_v -preserving C^∞ diffeomorphism, then (7.1) implies that the C^∞ diffeomorphism

$$(\xi, t) \mapsto \left(H(\xi), \frac{t}{\text{Jac}(H)(\xi)} \right)$$

preserves $\text{graph}(\Delta_v)$.

Combining this observation with Lemma 6.3, we find that for any pair of points $q = (\xi, \Delta_v(\xi))$ and $q' = (\xi', \Delta_v(\xi'))$ in $\text{graph}(\Delta_v)$, there is a C^∞ diffeomorphism of $\mathcal{E}_v \times \mathbb{R}$ sending q to q' and preserving $\text{graph}(\Delta_v)$. That is, the locally compact set $\text{graph}(\Delta_v)$ is C^∞ homogeneous. A result of Repovš, Skopenkov and Ščepin [43] implies that $\text{graph}(\Delta_v)$ is a C^∞ submanifold of $\mathcal{E}_v \times \mathbb{R}$ (see also [53]). Thus Δ_v is C^∞ off the set of its singularities (by ‘‘singularities,’’ we mean points where the projection of $\text{graph}(\Delta_v)$

onto \mathcal{E}_v fails to be a submersion). But if Δ_v has any singularities, then it is easy to see that every point in \mathcal{E}_v must be a singularity, which violates Sard’s theorem. Hence Δ_v has no singularities and therefore is C^∞ .

To see that the derivatives of Δ_v vary continuously as a function of v , note that one can move from the fiber over v to any neighboring fiber by a composition of local u -, s - and c -holonomies. The derivatives of these holonomy maps vary continuously with the fiber. Equation (7.1) implies that the fiberwise derivatives vary continuously. \square

Our next step is to establish the C^∞ smoothness of X along $\mathcal{W}^s(f)$ - and $\mathcal{W}^u(f)$ -leaves. Note first that because $\psi_t \circ f = f \circ \psi_t$ for all t , it follows that ψ_t preserves the foliations $\mathcal{W}^s(f)$ and $\mathcal{W}^u(f)$. To see this, observe that, since f preserves a nonvanishing continuous vector field, the leaf of $\mathcal{W}^s(f)$ through v is uniquely characterized as the set of points w such that

$$\lim_{n \rightarrow \infty} d(f^n(v), f^n(w)) = 0;$$

since ψ_t commutes with f , for such v, w we will also have

$$\lim_{n \rightarrow \infty} d(f^n(\psi_t(v)), f^n(\psi_t(w))) = \lim_{n \rightarrow \infty} d(\psi_t(f^n(v)), \psi_t(f^n(w))) = 0;$$

and so $\psi_t(w) \in \mathcal{W}^s(f)_{\psi_t(v)}$.

We first show that for every t the restriction of ψ_t to the leaves of $\mathcal{W}^s(f)$ is uniformly C^∞ . Here we use the property that ψ_t preserves volume. The basic idea is that ψ_t must also preserve the disintegration of volume along $\mathcal{W}^s(f)$ -leaves in a foliation box, up to a constant scaling factor along each leaf. But these disintegrations are C^∞ along $\mathcal{W}^s(f)$ -leaves; when the leaves are one-dimensional, this forces ψ_t to be C^∞ along the leaves as well.

The following lemma is well-known (see [8, formula (11.4)]):

Lemma 7.6. *Let $f : M \rightarrow M$ be any C^∞ partially hyperbolic diffeomorphism. For any foliation box $\mathcal{B} \subset M$ for $\mathcal{W}^s(f)$, there is a continuous disintegration of $m|_{\mathcal{B}}$ along leaves of $\mathcal{W}^s(f)$ (defined at every point $p \in \mathcal{B}$). These disintegrations are equivalent to Riemannian measure in the $\mathcal{W}^s(f)$ -leaves. The densities of the disintegrations are C^∞ along leaves and transversely continuous. The same is true for $\mathcal{W}^u(f)$.*

Lemma 7.7. *For any $\mathcal{W}^s(f)$ foliation box \mathcal{B} , any $t \in \mathbb{R}$, and any $v \in \mathcal{B}$, the map ψ_t sends the disintegration m_v^s of $m|_{\mathcal{B}}$ along $\mathcal{W}^s(f)$ -leaves at v to the disintegration $m_{\psi_t(v)}^s$ of $m|_{\psi_t(\mathcal{B})}$ along $\mathcal{W}^s(f)$ -leaves at $\psi_t(v)$. The same is true for $\mathcal{W}^u(f)$.*

Proof. Denote by $\{m_v^s : v \in \mathcal{B}\}$ the disintegration of m along $\mathcal{W}^s(f)$ -leaves inside the box \mathcal{B} . By Lemma 7.6, the map $v \mapsto m_v^s$ is continuous.

Fix $t \in \mathbb{R}$. Since ψ_t preserves both m and the leaves of $\mathcal{W}^s(f)$, we obtain

$$\psi_{t*} m_v^s = m_{\psi_t(v)}^s \tag{7.2}$$

for m -almost every $v \in \mathcal{B}$, where the disintegration on the right hand side takes place in the box $\psi_t(\mathcal{B})$. Since $v \mapsto m_v^s$ is continuous (on both sides of the equation) and ψ_t is a homeomorphism, equation (7.2) holds everywhere. \square

Lemma 7.8. *For every $t \in \psi_t$, the map ψ_t is uniformly C^∞ along $\mathcal{W}^s(f)$ -leaves and uniformly C^∞ along $\mathcal{W}^u(f)$ leaves.*

Proof. Lemma 7.7 implies that ψ_t satisfies an ordinary differential equation along $\mathcal{W}^s(f)$ leaves with C^∞ (and transversely continuous) coefficients, and so the solutions are C^∞ and vary continuously with the leaf. \square

At this point, we have shown that for every $t \in \mathbb{R}$ and $v \in M$:

- the restriction of ψ_t to $\mathcal{W}^c(f)_v$ is C^∞ (Lemma 7.5);
- the restrictions of ψ_t to $\mathcal{W}^s(f)_v$ and $\mathcal{W}^u(f)_v$ are C^∞ (Lemma 7.8);
- the map $t \mapsto \psi_t(v)$ is C^∞ (Lemma 7.5).

Moreover, these statements hold uniformly in t and v .

Our final tool is the so-called ‘‘Journé Lemma’’ which allows us to deduce smoothness of a function by checking along leaves of two transverse foliations with smooth leaves:

Theorem 7.9 (Journé [25]). *Let \mathcal{F}_1 and \mathcal{F}_2 be transverse foliations of a manifold M whose leaves are uniformly C^∞ . Let $\psi : M \rightarrow \mathbb{R}$ be any continuous function such that the restriction of ψ to the leaves of \mathcal{F}_1 is uniformly C^∞ and the restriction of ψ to the leaves of \mathcal{F}_2 is uniformly C^∞ . Then ψ is uniformly C^∞ .*

Let us use this result to finish the proof of Theorem A. To show that the flow ψ is C^∞ , we must show that it is C^∞ on $M \times \mathbb{R}$. Fix t and v , and consider the restriction of ψ_t to the leaf $\mathcal{W}^{cs}(f)_v$. This leaf is uniformly subfoliated by the foliations $\mathcal{W}^c(f)$ and $\mathcal{W}^s(f)$. The map ψ_t is uniformly C^∞ when restricted to the leaves of each of these foliations. Theorem 7.9 implies that ψ_t is uniformly C^∞ along leaves of $\mathcal{W}^{cs}(f)$. But the restriction of ψ_t to leaves of $\mathcal{W}^u(f)$ is also uniformly C^∞ . Applying Theorem 7.9 again, we find that for each t , the map ψ_t is C^∞ on M , uniformly in t . Since $t \mapsto \psi_t(v)$ is C^∞ , uniformly in v , a final application of Theorem 7.9 shows that ψ is C^∞ on $M \times \mathbb{R}$.

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