J. Eur. Math. Soc. 19, 285-298

DOI 10.4171/JEMS/666



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# Hedetniemi's conjecture for uncountable graphs

Received February 2, 2014 and in revised form February 17, 2014

**Abstract.** It is proved that in Gödel's constructible universe, for every infinite successor cardinal  $\kappa$ , there exist graphs  $\mathcal{G}$  and  $\mathcal{H}$  of size and chromatic number  $\kappa$ , for which the product graph  $\mathcal{G} \times \mathcal{H}$  is countably chromatic.

In particular, this provides an affirmative answer to a question of Hajnal from 1985.

**Keywords.** Hedetniemi's conjecture, product graph, almost countably chromatic, incompactness, constructible universe, Ostaszewski square

### 1. Introduction

A graph  $\mathcal{G}$  is a pair (G, E), where  $E \subseteq [G]^2 := \{\{x, y\} \mid x, y \in G \& x \neq y\}$ . The *chromatic number* of  $\mathcal{G}$ , denoted  $\operatorname{Chr}(\mathcal{G})$ , is the least (finite or infinite) cardinal  $\kappa$  such that G is the union of  $\kappa$  many E-independent sets. Equivalently,  $\operatorname{Chr}(\mathcal{G})$  is the least cardinal  $\kappa$  for which there exists an E-chromatic  $\kappa$ -coloring of G, that is, a coloring  $\chi : G \to \kappa$  that satisfies  $\chi(x) \neq \chi(y)$  whenever xEy.

Given graphs  $\mathcal{G}_0 = (G_0, E_0)$  and  $\mathcal{G}_1 = (G_1, E_1)$ , the product graph  $\mathcal{G}_0 \times \mathcal{G}_1$  is defined as  $(G_0 \times G_1, E_0 * E_1)$ , where

 $G_0 \times G_1 := \{ (g_0, g_1) \mid g_0 \in G_0, g_1 \in G_1 \}, \\ E_0 * E_1 := \{ \{ (g_0, g_1), (g'_0, g'_1) \} \mid (g_0, g'_0) \in E_0 \text{ and } (g_1, g'_1) \in E_1 \}.$ 

Clearly, a chromatic  $\kappa$ -coloring of one of the two graphs induces a chromatic  $\kappa$ -coloring of their product, and hence  $\operatorname{Chr}(\mathcal{G}_0 \times \mathcal{G}_1) \leq \min{\operatorname{Chr}(\mathcal{G}_0), \operatorname{Chr}(\mathcal{G}_1)}$ . It is then natural to ask whether this inequality is best possible, and the following answer was conjectured by Hedetniemi fifty years ago:

**Hedetniemi's Conjecture** ([Hed66]). For all finite graphs  $\mathcal{G}_0$  and  $\mathcal{G}_1$ ,

 $Chr(\mathcal{G}_0 \times \mathcal{G}_1) = \min\{Chr(\mathcal{G}_0), Chr(\mathcal{G}_1)\}.$ 

In [BEL76], Burr, Erdős and Lovász rediscovered Hedetniemi's conjecture through the perspective of *Ramsey-type graphs*, and in his survey paper [Tar08], Tardif made explicit a well-known Ramsey-type consequence of Hedetniemi's conjecture:

Mathematics Subject Classification (2010): Primary 03E75, 05C63; Secondary 03E35, 05C15

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**The Weak Hedetniemi Conjecture** ([Tar08]). For every positive integer k, there exists a positive integer  $\varphi(k)$  such that if  $\operatorname{Chr}(\mathcal{G}_0) = \operatorname{Chr}(\mathcal{G}_1) = \varphi(k)$ , then  $\operatorname{Chr}(\mathcal{G}_0 \times \mathcal{G}_1) \ge k$ .

The weak conjecture goes back to [PR81], but nonetheless it is still standing.

As sometimes happens, infinitary versions of problems in graph theory lead to problems in set theory of independent interest (see [Sou08] for a recent treatment). Hedetniemi's conjecture is a wonderful example of such a problem—indeed, in [JT95], the finitary version appeared as Problem 11.1, and the infinitary version appeared as Problem 16.13.

This paper is dedicated to the solution of the infinitary counterparts. In [Haj85], Hajnal proved that for every infinite cardinal  $\kappa$ , there exist graphs  $\mathcal{G}_0$ ,  $\mathcal{G}_1$  of chromatic number  $\kappa^+$  such that Chr( $\mathcal{G}_0 \times \mathcal{G}_1$ ) =  $\kappa$ . In [JJ74], [Tod81], [ASS87], [Dav90], [AS93] more structural counterexamples were constructed in the form of pairs of nonspecial  $\kappa^+$ -Aronszajn trees whose product is special. All of these show that a one-cardinal gap is possible, but does not refute the weak conjecture:

**Infinite Weak Hedetniemi Conjecture.** For every infinite cardinal  $\kappa$ , there exists a cardinal  $\varphi(\kappa)$  such that if  $\operatorname{Chr}(\mathcal{G}_0) = \operatorname{Chr}(\mathcal{G}_1) = \varphi(\kappa)$ , then  $\operatorname{Chr}(\mathcal{G}_0 \times \mathcal{G}_1) \ge \kappa$ .

Note that since it is possible to get the consistency of  $\varphi(\kappa) > 2^{\kappa}$ , the nontrivial context to examine the infinite weak conjecture (and its instances) is that of GCH. In his original paper [Haj85], Hajnal asked about the consistency of GCH together with an infinite gap (and this was echoed in [JT95]), but as of now, the best known result in this vein is Soukup's model [Sou88] of GCH with a counterexample of gap 2.

Let us point out a central obstruction towards getting the consistency of GCH with larger gaps. Hajnal discovered (the proof may be found in [Haj04]) that if  $\mathcal{G}_0$ ,  $\mathcal{G}_1$  is a pair of graphs of size and chromatic number  $\lambda$  whose product has chromatic number  $\kappa$ , then  $\mathcal{G}_0$  and  $\mathcal{G}_1$  are  $(\kappa, \lambda)$ -chromatic. That is,  $\mathcal{G}_i$  has chromatic number  $\lambda$ , but all of its smaller subgraphs have chromatic number  $\leq \kappa$ . Thus, in simple words, if  $\mathcal{G}_0$ ,  $\mathcal{G}_1$  were to witness the failure of an instance of the weak conjecture, then  $\mathcal{G}_0$ ,  $\mathcal{G}_1$  are in particular witnesses to the incompactness of infinite chromatic numbers.

The question of the very existence of incompactness graphs is a difficult set-theoretic question that goes back to a paper by Erdős and Hajnal [EH66], which, incidently, is from the same year of Hedetniemi's paper [Hed66]. Moreover, unlike the non-GCH context, answers in the context of GCH are quite rare, as we shall now describe.

A model of ZFC + GCH in which there exists an  $(\aleph_0, \aleph_2)$ -chromatic graph of size  $\aleph_2$ was obtained by Baumgartner [Bau84] via a very complicated notion of forcing, and, indeed, Soukup's model [Sou88] of GCH with  $\varphi(\aleph_0) > \aleph_2$  is a further sophistication of Baumgartner's attack. Unfortunately, Baumgartner's approach does not seem to generalize to yield a model of an  $(\aleph_0, \aleph_3)$ -chromatic graph of size  $\aleph_3$ . In fact, at the time of writing his chapter for the *Handbook of Combinatorics*, Hajnal thought that the problem of getting the consistency of GCH together with an  $(\aleph_0, \aleph_3)$ -chromatic graph of size  $\aleph_3$ "seems to be hopelessly difficult at present" (see page 2093 of [GGL95]).

So, if GCH is consistent with  $\varphi(\aleph_0) > \aleph_3$ , then this will require an alternative construction.

An alternative construction of incompactness graphs was finally given by Shelah [She90], and up to recently, this has been the only known method for getting the consistency of GCH together with  $(\aleph_0, \lambda)$ -chromatic graphs of size  $\lambda$ , for arbitrarily large  $\lambda$ . But, as Hajnal mentioned in a more recent paper [Haj04], there was no success in generalizing Shelah's result (from incompactness to Hedetniemi).

Recently, the author [Rin15a] found yet another construction of incompactness graphs—a construction which is inspired by the concept of *Ostaszewski square* from [Rin14]. He denoted these graphs by  $G(\vec{C})$  and identified the features of G and  $\vec{C}$  that make  $G(\vec{C})$  into  $(\aleph_0, \lambda^+)$ -chromatic graphs. Even more recently, answering a question of Magidor, he proved that, for an appropriate choice of  $\vec{C}$ , these highly chromatic graphs can be made countably chromatic in a certain "nice" forcing extension [Rin17]. In this paper, these new findings are combined with the basic idea of Hajnal's 1985 construction to obtain the desired pair of graphs, arbitrarily high:

**Main Theorem.** If  $\lambda$  is an uncountable cardinal, and  $\bigotimes_{\lambda}$  holds, then there exist graphs  $\mathcal{G}_0, \mathcal{G}_1$  of size  $\lambda^+$  such that:

- $\operatorname{Chr}(\mathcal{G}_0) = \operatorname{Chr}(\mathcal{G}_1) = \lambda^+;$
- $\operatorname{Chr}(\mathcal{G}_0 \times \mathcal{G}_1) = \aleph_0.$

**Remark 1.1.** It is a curious fact that while the graphs  $\mathcal{G}_0$ ,  $\mathcal{G}_1$  are derived directly from  $\bigotimes_{\lambda}$ , their analysis relies heavily on passing to forcing extensions of the universe. In fact, we do not know of a forcing-free proof.

Recalling that Gödel's constructible universe is a model of ZFC + GCH in which the principle  $\bigotimes_{\lambda}$  holds for every uncountable cardinal  $\lambda$ , we get:

**Corollary 1.** In Gödel's constructible universe, GCH holds and all instances of the Infinite Weak Hedetniemi Conjecture fail. Indeed, for any infinite cardinals  $\lambda \ge \kappa$ , there exist graphs  $\mathcal{G}_0, \mathcal{G}_1$  with  $\operatorname{Chr}(\mathcal{G}_0 \times \mathcal{G}_1) = \kappa$  such that  $\operatorname{Chr}(\mathcal{G}_0) = \operatorname{Chr}(\mathcal{G}_1) > \lambda$ .

Recalling that counterexamples to instances of the weak conjecture are in particular incompactness graphs, a straightforward generalization of the de Bruin–Erdős theorem [dBE51] then entails:

**Corollary 2.** If there exist class many strongly-compact cardinals, then the Infinite Weak Hedetniemi Conjecture holds.

Altogether, this establishes the independence of the Infinite Weak Hedetniemi Conjecture from ZFC + GCH.

In addition, Corollary 1 settles the (unnumbered) Problem from [Haj85], Problem 16.13 from [JT95], Problem 40 from [Haj04], and Problem 2.4 from [Sou08].

*Organization of this paper.* In Section 2 we prove the main result of this paper, and its subsequent corollaries. In Section 3, we also settle the generalized problem concerning the product of n + 1 graphs ( $0 < n < \omega$ ).

#### 2. Proof of the Main Theorem

Suppose that  $\lambda$  is an uncountable cardinal, and  $\bigotimes_{\lambda}$  holds. By  $\bigotimes_{\lambda}$  and a standard partitioning argument [Dev78], [Rin14], let us fix a sequence  $\langle (D_{\alpha}, X_{\alpha}) | \alpha < \lambda^{+} \rangle$  along with a function  $h : \lambda^{+} \to \lambda^{+}$  such that:

- 1. for every limit  $\alpha < \lambda^+$ ,  $D_{\alpha}$  is a club in  $\alpha$  of order-type  $\leq \lambda$ ;
- 2. if  $\beta \in \operatorname{acc}(D_{\alpha})$ , then  $D_{\beta} = D_{\alpha} \cap \beta$ ,  $X_{\beta} = X_{\alpha} \cap \beta$  and  $h(\beta) = h(\alpha)$ ;<sup>1</sup>
- 3. for every  $X \subseteq \lambda^+$ , a club  $E \subseteq \lambda^+$  and  $\varsigma < \lambda^+$ , there exists a limit  $\alpha < \lambda^+$  with  $otp(D_{\alpha}) = \lambda$  such that  $h(\alpha) = \varsigma$ ,  $X_{\alpha} = X \cap \alpha$  and  $acc(D_{\alpha}) \subseteq E$ .

Clearly,  $\langle X_{\alpha} \mid \alpha \in G_i \rangle$  is a  $\Diamond(G_i)$ -sequence, where  $G_i := \{\alpha < \lambda^+ \mid h(\alpha) = i \& \operatorname{otp}(D_{\alpha}) = \lambda\}$ . Since  $G_0$  and  $G_1$  are nonreflecting and pairwise-disjoint stationary sets, it is then natural to use  $G_0(\overrightarrow{D})$  and  $G_1(\overrightarrow{D})$  as the building blocks of our graphs.<sup>2</sup> Loosely speaking, one of the features that we would need is the ability to kill (via forcing) the guessing feature of  $\langle X_{\alpha} \mid \alpha \in G_0 \rangle$ , while preserving the features of  $\langle X_{\alpha} \mid \alpha \in G_1 \rangle$ , and vice versa. For this, we shall borrow an idea from [She77, proof of Theorem 2.4], where a model of  $\Diamond(\omega_1 \setminus S) + \neg \Diamond(S)$  was obtained for the first time.<sup>3</sup>

Fix a large enough regular cardinal  $\theta \gg \lambda$  together with a well-ordering  $\leq_{\theta}$  of  $\mathcal{H}_{\theta}$ . Fix a bijection  $\psi : ({}^{<\lambda^+}\omega) \times ({}^{<\lambda^+}({}^{\lambda+1}2)) \leftrightarrow \lambda^+$ .

For every limit  $\alpha < \lambda^+$  with  $\sup(\operatorname{acc}(D_\alpha)) < \alpha$ , let  $d_\alpha$  be a cofinal subset of  $\alpha$  of order-type  $\omega$ , consisting of successor ordinals. For  $\alpha < \lambda^+$  with  $\sup(\operatorname{acc}(D_\alpha)) = \alpha$ , let  $d_\alpha := \operatorname{acc}(D_\alpha)$ .

Fix a limit ordinal  $\alpha < \lambda^+$ . We would like to determine a function  $g_\alpha \in {}^{\leq \alpha}(\lambda^{+1}2)$ . For this, let  $\{\alpha_i \mid i < \operatorname{otp}(d_\alpha)\}$  be the increasing enumeration of  $d_\alpha$ . Recursively define a sequence  $\langle (p_i^\alpha, f_i^\alpha) \mid i < \operatorname{otp}(d_\alpha) \rangle$  as follows:

- Let  $f_0 := \emptyset$  and  $p_0 := \emptyset$ .
- ► If  $i < \operatorname{otp}(d_{\alpha})$  and  $\langle (p_j^{\alpha}, f_j^{\alpha}) \mid j \leq i \rangle$  is defined, let

$$\mathcal{P}_{i}^{\alpha} := \{ p \in {}^{<\alpha_{i+1}}\omega \mid \psi(p, f) \in X_{\alpha} \cap \alpha_{i+1}, \ p \supseteq p_{i}, \ f \supseteq f_{i}, \ \operatorname{dom}(p) > \operatorname{dom}(f) = \alpha_{i} \},$$
$$\mathcal{F}_{i}^{\alpha} := \left\{ f \in {}^{\alpha_{i}}({}^{\lambda+1}2) \mid \psi(p, f) \in X_{\alpha} \cap \alpha_{i+1}, \ p = \min_{\leq \theta} \mathcal{P}_{i}^{\alpha}, \ f \supseteq f_{i} \right\},$$

and set

$$(p_{i+1}^{\alpha}, f_{i+1}^{\alpha}) := \begin{cases} (\min_{\leq_{\theta}} \mathcal{P}_{i}^{\alpha}, \min_{\leq_{\theta}} \mathcal{F}_{i}^{\alpha}), & \mathcal{P}_{i}^{\alpha} \neq \emptyset, \\ (\emptyset, \emptyset), & \text{otherwise} \end{cases}$$

► If  $i < \operatorname{otp}(d_{\alpha})$  is a limit ordinal, and  $\langle (p_j^{\alpha}, f_j^{\alpha}) \mid j < i \rangle$  is defined, let  $p_i^{\alpha} := \bigcup_{j < i} p_j^{\alpha}$  and  $f_i^{\alpha} := \bigcup_{j < i} f_j^{\alpha}$ .

<sup>&</sup>lt;sup>1</sup> Here,  $\operatorname{acc}(A) := \{ \alpha \in \sup(A) \mid \sup(A \cap \alpha) = \alpha > 0 \}.$ 

<sup>&</sup>lt;sup>2</sup> The graph  $G(\vec{D})$  was introduced in [Rin15a], and it was proven there that if  $\vec{D}$  is a  $\Box_{\lambda}$ -sequence, and *G* is a nonreflecting subset of  $\lambda^+$ , then  $G(\vec{D})$  is  $(\aleph_0, \kappa)$ -chromatic for some cardinal  $\kappa$ .

<sup>&</sup>lt;sup>3</sup> The proof is not given in [She77], rather, it is given as the proof of Theorem 2.4 from [She80]. Personally, I learned that proof from Juris Steprāns.

This completes the construction of  $\langle (p_i^{\alpha}, f_i^{\alpha}) | i < \operatorname{otp}(d_{\alpha}) \rangle$ . Define

$$g_{\alpha} := \bigcup \{ f_i^{\alpha} \mid i < \operatorname{otp}(d_{\alpha}), \forall j < i \ (\mathcal{P}_j^{\alpha} \neq \emptyset) \},\$$
  

$$A_{\alpha}^i := \{ \beta < \operatorname{dom}(g_{\alpha}) \mid g_{\alpha}(\beta)(i) = 1, \ h(\beta) = h(\alpha) \} \text{ for all } i < \lambda,\$$
  

$$K_{\alpha} := \{ \beta < \operatorname{dom}(g_{\alpha}) \mid g_{\alpha}(\beta)(\lambda) = 1 \}.$$

For every  $i < \operatorname{otp}(d_{\alpha})$ , set  $\alpha'_i := \min((K_{\alpha} \cup \{\alpha_{i+1}\}) \setminus \alpha_i + 1)$ , and  $\alpha''_i := \min((A^i_{\alpha} \cup \{\alpha_{i+1}\}) \setminus \alpha'_i)$ . Finally, set

$$C_{\alpha} := \begin{cases} d_{\alpha} \setminus \operatorname{dom}(g_{\alpha}), & \operatorname{dom}(g_{\alpha}) < \alpha, \\ \operatorname{acc}(d_{\alpha}) \cup \{\alpha_i'' \mid i < \operatorname{otp}(d_{\alpha}), \alpha_i < \alpha_i'' < \alpha_{i+1}\}, & \operatorname{otherwise.} \end{cases}$$

It can be shown that  $\langle C_{\alpha} | \alpha < \lambda^+ \rangle$  is a relativized Ostaszewski square sequence [Rin14], but here we shall only need the following.

**Lemma 2.1.** For every limit  $\alpha < \lambda^+$ :

(1)  $C_{\alpha}$  is a club in  $\alpha$  of order-type  $\leq \lambda$ ;

(2) if  $\beta \in \operatorname{acc}(C_{\alpha})$ , then  $C_{\beta} = C_{\alpha} \cap \beta$ ;

(3) *if*  $otp(C_{\alpha}) = \lambda$ , then  $h(\beta) = h(\alpha)$  for all  $\beta \in C_{\alpha}$ .

*Proof.* Fix a limit ordinal  $\alpha < \lambda^+$ .

(1) If dom $(g_{\alpha}) < \alpha$ , then  $C_{\alpha} = d_{\alpha} \setminus \text{dom}(g_{\alpha})$  is a club in  $\alpha$  of order-type  $\leq \text{otp}(d_{\alpha}) \leq \lambda$ . Note that  $\text{acc}(C_{\alpha}) \subseteq \text{acc}(d_{\alpha})$ .

If dom $(g_{\alpha}) = \alpha$ , then since  $\alpha_i < \alpha_i'' \le \alpha_{i+1}$  for all  $i < \operatorname{otp}(d_{\alpha})$ , we have  $\operatorname{acc}(C_{\alpha}) \subseteq \operatorname{acc}(d_{\alpha})$  and  $\operatorname{otp}(C_{\alpha}) \le \operatorname{otp}(d_{\alpha})$ . In particular,  $C_{\alpha}$  is a club in  $\alpha$  of order-type  $\le \lambda$ .

(2) Fix  $\beta \in \operatorname{acc}(C_{\alpha})$ . From  $\beta \in \operatorname{acc}(C_{\alpha}) \subseteq \operatorname{acc}(d_{\alpha})$ , we have  $\operatorname{otp}(d_{\alpha}) > \omega$  and  $d_{\alpha} = \operatorname{acc}(D_{\alpha})$ . In particular,  $\beta \in \operatorname{acc}(D_{\alpha})$ ,  $X_{\beta} = X_{\alpha} \cap \beta$ ,  $D_{\beta} = D_{\alpha} \cap \beta$ , and  $d_{\beta} = \operatorname{acc}(D_{\beta})$ . Consequently, the sequence  $\langle (p_i^{\beta}, \mathcal{P}_i^{\beta}, f_i^{\beta}, \mathcal{F}_i^{\beta}) | i < \operatorname{otp}(d_{\beta}) \rangle$  is an initial segment of the sequence  $\langle (p_i^{\alpha}, \mathcal{P}_i^{\alpha}, \mathcal{F}_i^{\alpha}) | i < \operatorname{otp}(d_{\alpha}) \rangle$ , and  $g_{\beta} = g_{\alpha} | \beta$ .

If dom $(g_{\alpha}) < \alpha$ , then since  $\beta \in \operatorname{acc}(C_{\alpha}) = \operatorname{acc}(d_{\alpha} \setminus \operatorname{dom}(g_{\alpha}))$ , we get  $g_{\alpha} = g_{\beta}$  and  $C_{\beta} = d_{\beta} \setminus \operatorname{dom}(g_{\beta}) = d_{\alpha} \cap \beta \setminus \operatorname{dom}(g_{\alpha}) = C_{\alpha} \cap \beta$ .

If dom $(g_{\alpha}) = \alpha$ , then as  $g_{\beta} = g_{\alpha} \upharpoonright \beta$ , we get  $\{\beta_i'' \mid i < \operatorname{otp}(d_{\beta})\} = \{\alpha_i'' \mid i < \operatorname{otp}(d_{\alpha})\} \cap \beta$ , and  $C_{\beta} = C_{\alpha} \cap \beta$ .

(3) Clearly, if  $otp(C_{\alpha}) = \lambda$ , then  $d_{\alpha} = acc(D_{\alpha})$ . So  $h(\beta) = h(\alpha)$  for all  $\beta \in acc(C_{\alpha})$ . Now, if  $\beta \in C_{\alpha} \setminus acc(d_{\alpha})$ , then there exists some  $i < otp(d_{\alpha})$  such that  $\beta = \alpha_i'' \in A_{\alpha}^i \subseteq h^{-1}\{\alpha\}$ . So  $h(\beta) = h(\alpha)$ .

For i < 2, set

$$S_i := \{ \alpha < \lambda^+ \mid h(\alpha) = i \}, \quad G_i := \{ \alpha \in S_i \mid \operatorname{otp}(C_\alpha) = \lambda \},$$
$$E_i := \{ \{ \alpha, \delta \} \in [G_i]^2 \mid \alpha \in C_\delta, \min(C_\alpha) > \sup(C_\delta \cap \alpha) \}.$$

Finally, for i < 2, let

$$V_i := \{\chi : \beta \to \omega \mid \beta \in G_i, \chi \text{ is } E_{(1-i)}\text{-chromatic}\},\$$
  
$$F_i := \{\{\chi, \chi'\} \in [V_i]^2 \mid \{\operatorname{dom}(\chi), \operatorname{dom}(\chi')\} \in E_i, \chi \subseteq \chi'\}.$$

**Lemma 2.2.**  $Chr(V_0 \times V_1, F_0 * F_1) \le \aleph_0.$ 

*Proof.* This is where Hajnal's idea [Haj85] comes into play. Define  $c : V_0 \times V_1 \to \omega$  as follows. Given  $(\chi, \eta) \in V_0 \times V_1$ , as  $G_0 \cap G_1 = \emptyset$ , we have dom $(\chi) \neq \text{dom}(\eta)$ ; thus, let

$$c(\chi, \eta) := \begin{cases} 2 \cdot \chi(\operatorname{dom}(\eta)), & \operatorname{dom}(\chi) > \operatorname{dom}(\eta), \\ 2 \cdot \eta(\operatorname{dom}(\chi)) + 1, & \operatorname{dom}(\eta) > \operatorname{dom}(\chi). \end{cases}$$

Towards a contradiction, suppose that  $\{(\chi, \eta), (\chi', \eta')\} \in F_0 * F_1$ , while  $c(\chi, \eta) = c(\chi', \eta') =: n$ .

If *n* is even, we let  $\chi^* := \chi \cup \chi'$ . Since  $(\chi, \chi') \in F_0$ , we know that  $\chi^*$  is  $E_1$ -chromatic. Since *n* is even, we have dom( $\eta$ ), dom( $\eta'$ )  $\in \chi^*$ . So  $\chi^*(\text{dom}(\eta)) = n/2 = \chi^*(\text{dom}(\eta'))$ . But then the fact that  $\chi^*$  is  $E_1$ -chromatic entails that  $\{\text{dom}(\eta), \text{dom}(\eta')\} \notin E_1$ , contradicting the hypothesis that  $\{\eta, \eta'\} \in F_1$ .

If *n* is odd, we let  $\eta^* := \eta \cup \eta'$ . As  $(\eta, \eta') \in F_1$ ,  $\eta^*$  is  $E_0$ -chromatic. Since *n* is odd, we have  $\eta^*(\operatorname{dom}(\chi)) = (n-1)/2 = \eta^*(\operatorname{dom}(\chi'))$ . But then the fact that  $\eta^*$  is  $E_0$ -chromatic entails that  $\{\operatorname{dom}(\chi), \operatorname{dom}(\chi')\} \notin E_0$ , contradicting the hypothesis that  $\{\chi, \chi'\} \in F_0$ .  $\Box$ 

**Definition 2.3.** For i < 2 and a limit  $\delta < \lambda^+$ , write

$$C_{\delta}^{i} := \{ \alpha \in C_{\delta} \cap G_{i} \mid \min(C_{\alpha}) > \sup(C_{\delta} \cap \alpha) \}.$$

**Definition 2.4.** For i < 2 and  $\gamma < \lambda^+$ , we say that a coloring  $\chi : \gamma \to \omega$  is *i*-suitable if:

- $\chi[C_{\delta}^{i}]$  is finite for all  $\delta \leq \gamma$ ;
- $\chi(\alpha) \neq \chi(\delta)$  for all  $\alpha < \delta \leq \gamma$  with  $\{\alpha, \delta\} \in E_i$ .

**Lemma 2.5.** For every i < 2,  $\beta < \gamma < \lambda^+$  with  $\beta \notin G_i$ , and an *i*-suitable coloring  $\chi : \beta \to \omega$ , there exists an *i*-suitable coloring  $\chi' : \gamma \to \omega$  extending  $\chi$ .

*Proof.* By virtually the same proof of Claim 3.1.3 from [Rin15a], building on Lemma 2.1(2) above.  $\Box$ 

**Lemma 2.6.** For i < 2, the notion of forcing

$$\mathbb{Q}_i := (\{\chi : \beta \to \omega \mid \beta \in \lambda^+ \setminus G_i, \chi \text{ is } i \text{-suitable}\}, \subseteq)$$

*is*  $(\leq \lambda)$ *-distributive.* 

*Proof.* For concreteness, we work with  $\mathbb{Q}_1$ .

Suppose that  $\langle \Omega_i \mid i < \lambda \rangle$  is a given sequence of dense open subsets of  $\mathbb{Q}_1$ ,  $p_0$  is an arbitrary condition, and let us show that there exists  $p \in \bigcap_{i < \lambda} \Omega_i$  extending  $p_0$ . Let  $\langle N_{\alpha} \mid \alpha < \lambda^+ \rangle$  be an increasing and continuous sequence of elementary submodels of  $(\mathcal{H}(\theta), \in, \leq_{\theta})$ , each of size  $\lambda$ , such that  $\langle D_{\delta} \mid \delta < \lambda^+ \rangle$ ,  $\mathbb{Q}_1$ ,  $\langle \Omega_i \mid i < \lambda \rangle$ ,  $p_0 \in N_0$ , and  $\langle N_{\beta} \mid \beta \leq \alpha \rangle \in N_{\alpha+1}$  for all  $\alpha < \lambda^+$ .

Set  $E := \{\delta < \lambda^+ \mid N_\delta \cap \lambda^+ = \delta\}$ . By the choice of  $\langle (D_\alpha, X_\alpha) \mid \alpha < \lambda^+ \rangle$ , let us pick some  $\alpha < \lambda^+$  with  $\operatorname{otp}(D_\alpha) = \lambda$  such that  $h(\alpha) = 0$  and  $\operatorname{acc}(D_\alpha) \subseteq E$ .

Let  $\{\alpha_i \mid i \leq \lambda\}$  denote the increasing enumeration of  $\operatorname{acc}(D_{\alpha}) \cup \{\alpha\}$ . Write  $M_i := N_{\alpha_i}$ . Notice that for all  $i < \lambda$ , since  $\langle N_{\beta} \mid \beta \leq \alpha_i \rangle \in N_{\alpha_i+1} \subseteq M_{i+1}$  and  $\langle D_{\delta} \mid \delta < \lambda^+ \rangle$   $\in M_{i+1}$ , we have  $\langle M_j \mid j \leq i \rangle \in M_{i+1}$ . Also notice that for all  $i \leq \lambda$ , we have  $h(\alpha_i) = 0$ and  $M_i \cap \lambda^+ = \alpha_i \in S_0$ . In particular,  $\alpha_i \in \lambda^+ \setminus G_1$ .

We shall recursively define an increasing sequence  $\langle p_i | i < \lambda \rangle$  of conditions that will satisfy the following for all  $i < \lambda$ :

- $p_{i+1} \in \Omega_i$ ;
- $\langle p_j \mid j \leq i \rangle \in M_{i+1};$
- dom $(p_i) = \alpha_i$  whenever i > 0.

By recursion on  $i < \lambda$ :

▶  $p_0$  was already given to us, and indeed  $p_0 \in M_1$ .

Suppose that  $i < \lambda$ , and  $\langle p_j | j \le i \rangle$  has already been defined, and is an element of  $M_{i+1}$ . In particular,  $p_i \in M_{i+1}$ . We claim that the set  $\Psi_i := \{q \in \Omega_i | q \supseteq p_i, dom(q) = \alpha_{i+1}\}$  is nonempty. To see this, notice that since  $p_i, \Omega_i \in M_{i+1}$ , elementarity of  $M_{i+1}$  yields some  $p \in \Omega_i \cap M_{i+1}$  extending  $p_i$ . Then, from  $M_{i+1} \cap \lambda^+ = \alpha_{i+1}$ , we have dom $(p) < \alpha_{i+1}$ , and then by Lemma 2.5, we infer the existence of a 1-suitable coloring q extending p with dom $(q) = \alpha_{i+1}$ . As  $\alpha_{i+1} \in S_0$ , q is a legitimate condition, and since  $\Omega_i$  is open, we deduce that q is in  $\Omega_i$ , testifying that  $\Psi_i$  is nonempty.

Thus, we let  $p_{i+1}$  be the  $\leq_{\theta}$ -least element of  $\Psi_i$ . Since  $\Psi_i$  is defined from parameters within  $M_{i+2}$ , and by the canonical choice of  $p_{i+1}$ , we have  $p_{i+1} \in M_{i+2}$ . Altogether,  $\langle p_i | j \leq i+1 \rangle \in M_{i+2}$ .

Suppose that  $i < \lambda$  is a nonzero limit ordinal, and  $\langle p_j | j < i \rangle$  has already been defined by our canonical process. Set  $p_i := \bigcup_{j < i} p_j$ . Then dom $(p_i) = \alpha_i$ , and since  $p_i$  is the limit of an increasing chain of 1-suitable colorings,  $p_i$  is  $E_1$ -chromatic, and  $p_i[C_{\beta}^1]$  is finite for every  $\beta < \alpha_i$ . Thus, to see that  $p_i$  is 1-suitable, we are left with verifying that  $p_i[C_{\alpha_i}^1]$  is finite. As  $h(\alpha_i) = 0$ , Lemma 2.1(2)&(3) shows that  $h(\beta) \neq 1$  for all  $\beta \in C_{\alpha} \supseteq C_{\alpha_i}$ , so  $C_{\alpha_i}^1 = \emptyset$ , which entails that  $p_i[C_{\alpha_i}^1]$  is finite indeed. Thus,  $p_i$  is a legitimate condition.

By the canonical process, and the fact that  $\langle M_j | j \leq i \rangle \in M_{i+1}$ , we have  $\langle p_j | j < i \rangle \in M_{i+1}$ , and hence  $p_i = \bigcup_{j < i} p_j \in M_{i+1}$ . So  $\langle p_j | j \leq i \rangle \in M_{i+1}$ .

This completes the construction.

Set  $p := \bigcup_{i < \lambda} p_i$ . Then p is  $E_1$ -chromatic, and  $p[C_{\beta}^1]$  is finite for every  $\beta < \alpha$ . As  $dom(p) = \alpha$  and  $C_{\alpha}^1$  is empty, we find that p is a legitimate condition. Consequently, p is an element of  $\bigcap_{i < \lambda} \Omega_i$  that extends  $p_0$ .

It is clear that  $|V_i| \le 2^{\lambda} = \lambda^+$  for i < 2, so it remains to establish the following.

**Lemma 2.7.** Chr( $V_i$ ,  $F_i$ ) =  $\lambda^+$  for every i < 2.

*Proof.* For concreteness, we prove that  $Chr(V_0, F_0) = \lambda^+$ .

Towards a contradiction, suppose that  $c : V_0 \to \lambda$  is  $F_0$ -chromatic. Let  $\mathbb{G}$  be  $\mathbb{Q}_1$ -generic over V, and work in  $V[\mathbb{G}]$ .

Set  $\chi^* := \bigcup \mathbb{G}$ . Since  $\mathbb{G}$  is directed, for every  $\alpha, \delta \in \operatorname{dom}(\chi^*)$  there exists  $\chi \in \mathbb{G}$  such that  $\{\alpha, \delta\} \subseteq \operatorname{dom}(\chi)$ , and hence  $\chi^*(\alpha) \neq \chi^*(\delta)$  whenever  $\alpha, \delta \in E_1$ . By Lemma 2.5, we also know that  $\operatorname{dom}(\chi^*) \geq \gamma$  for all  $\gamma < \lambda^+$ . Altogether,  $\chi^* : \lambda^+ \to \omega$ 

is an  $E_1$ -chromatic coloring, and so are its initial segments. In particular, we may derive a coloring  $c^* : G_0 \to \lambda$  by letting  $c^*(\beta) := c(\chi^* | \beta)$  for all  $\beta \in G_0$ . Since c is  $F_0$ chromatic, we infer that  $c^*$  is  $E_0$ -chromatic. That is,  $c^*$  witnesses that  $Chr(G_0, E_0) \leq \lambda$ .

For all  $i < \lambda$ , set  $H_i := \{\alpha \in G_0 \mid c^*(\alpha) = i\}$  and  $M_i := \{\min(C_\alpha) \mid \alpha \in H_i\}$ . Define a function  $h_i : \lambda^+ \to \lambda^+$  by letting, for all  $\tau < \lambda^+$ ,

$$h_i(\tau) := \begin{cases} \min\{\alpha \in H_i \mid \min(C_\alpha) > \tau\}, & \sup(M_i) = \lambda^+\\ \sup(M_i), & \text{otherwise.} \end{cases}$$

Then, for all  $i < \lambda$ , set

and

$$K := \{\beta < \lambda^+ \mid \forall i < \lambda, h_i[\beta] \subseteq \beta\}.$$

 $A_i := \begin{cases} \operatorname{rng}(h_i), & \operatorname{sup}(M_i) = \lambda^+, \\ \lambda^+, & \operatorname{sup}(M_i) < \lambda^+, \end{cases}$ 

Finally, define a function  $g : \lambda^+ \to \lambda^{+1}2$  by letting  $g(\alpha)(i) = 1$  iff  $(i < \lambda$  and  $\alpha \in A_i$ ) or  $(i = \lambda$  and  $\alpha \in K$ ). Note that by Lemma 2.6, any initial segment of g belongs to the ground model.

Work back in V. Let  $p_0 \in \mathbb{Q}_1$  be such that

$$p_0 \Vdash \dot{g} : \check{\lambda}^+ \to {}^{\check{\lambda}+1}2$$
, and  $c^*$  is  $E_0$ -chromatic.

By possibly extending  $p_0$ , we may moreover assume that  $p_0$  forces that  $\{\alpha < \lambda^+ \mid g(\alpha)(i) = 1\}$  is unbounded in  $\lambda^+$  for all  $i \leq \lambda$ , and knows about the interaction of g with  $c^*$ .

As any initial segment of g belongs to V, it makes sense to consider the set

$$Z := \{ (p, f) \in \mathbb{Q}_1 \times {}^{<\lambda^+}({}^{\lambda+1}2) \mid p_0 \subseteq p \Vdash_{\mathbb{Q}_1} \dot{g} \upharpoonright \operatorname{dom}(f) = \check{f} \}.$$

Let  $\langle N_{\alpha} \mid \alpha < \lambda^{+} \rangle$  be an increasing and continuous sequence of elementary submodels of  $(\mathcal{H}(\theta), \in, \leq_{\theta})$ , each of size  $\lambda$ , such that  $\langle D_{\delta} \mid \delta < \lambda^{+} \rangle$ ,  $\mathbb{Q}_{1}, \psi, \dot{g}, p_{0} \in N_{0}$ , and  $\langle N_{\beta} \mid \beta \leq \alpha \rangle \in N_{\alpha+1}$  for all  $\alpha < \lambda^{+}$ .

Set  $E := \{\delta < \lambda^+ \mid N_\delta \cap \lambda^+ = \delta\}$ . By the choice of  $\langle (D_\alpha, X_\alpha) \mid \alpha < \lambda^+ \rangle$ , let us pick some  $\alpha < \lambda^+$  with  $\operatorname{otp}(D_\alpha) = \lambda$  such that  $h(\alpha) = 0, X_\alpha = \psi[Z] \cap \alpha$ , and  $\operatorname{acc}(D_\alpha) \subseteq E$ .

Let  $\{\alpha_i \mid i \leq \lambda\}$  denote the increasing enumeration of  $\operatorname{acc}(D_{\alpha}) \cup \{\alpha\}$ . Write  $M_i := N_{\alpha_i}$ . Notice that for all  $i < \lambda$ , we have  $\langle M_j \mid j \leq i \rangle \in M_{i+1}$ . Also, we have  $h(\alpha_i) = 0$  and  $M_i \cap \lambda^+ = \alpha_i \in S_0$  for all  $i \leq \lambda$ .

We shall recursively define a sequence  $\langle (p_i, f_i) | i < \lambda \rangle$  of pairs that will satisfy the following for all  $i < \lambda$ :

- $p_{i+1} \Vdash \dot{g} \upharpoonright \check{\alpha}_i = \check{f}_{i+1};$
- $\alpha_i \leq \operatorname{dom}(p_i) < \alpha_{i+1};$
- $\langle p_j | j \leq i \rangle$  is an increasing sequence of conditions that belongs to  $M_{i+1}$ .

By recursion on  $i < \lambda$ :

▶  $p_0$  was already given to us, and indeed  $p_0 \in M_1$ . Set  $f_0 := \emptyset$ .

Suppose that  $i < \lambda$ , and  $\langle p_j | j \le i \rangle$  has already been defined, and is an element of  $M_{i+1}$ . In particular,  $p_i \in M_{i+1}$ . By Lemmas 2.5 and 2.6, the set  $\Psi_i := \{q \in \mathbb{Q}_1 | q \supseteq p_i, \alpha_i < \operatorname{dom}(q) < \alpha_{i+1}, q \text{ decides } \dot{g} \restriction \alpha_i\}$  is nonempty. Thus, we let  $p_{i+1}$  be the  $\le_{\theta}$ -least element of  $\Psi_i$ , and let  $f_{i+1}$  be such that  $p_{i+1} \Vdash \dot{g} \restriction \check{\alpha}_i = \check{f}_{i+1}$ .

As  $\Psi_i$  is defined from parameters within  $M_{i+2}$ , and by the canonical choice of  $p_{i+1}$ , we have  $p_{i+1} \in M_{i+2}$ . Altogether,  $\langle p_j | j \leq i+1 \rangle \in M_{i+2}$ .

Suppose that  $i < \lambda$  is a nonzero limit ordinal, and  $\langle (p_j, f_j) | j < i \rangle$  has already been defined by our canonical process. Set  $p_i := \bigcup_{j < i} p_j$  and  $f_i := \bigcup_{j < i} p_j$ . Then dom $(p_i) = \alpha_i$ , and since  $p_i$  is the limit of an increasing chain of 1-suitable colorings,  $p_i$ is chromatic, and  $p_i[C^1_\beta]$  is finite for every  $\beta < \alpha_i$ . Thus, to see that  $p_i$  is 1-suitable, it remains to verify that  $p_i[C^1_{\alpha_i}]$  is finite. As  $h(\alpha_i) = 0$ , Lemma 2.1 shows that  $h(\beta) \neq 1$ for all  $\beta \in C_{\alpha_i}$ , so  $p_i[C^1_{\alpha_i}] = \emptyset$  is finite indeed, and  $p_i$  is a legitimate condition.

By the canonical process, and as  $\langle M_j | j \leq i \rangle \in M_{i+1}$ , we have  $\langle p_j | j < i \rangle \in M_{i+1}$ , and hence  $p_i = \bigcup_{j < i} p_j \in M_{i+1}$ . So  $\langle p_j | j \leq i \rangle \in M_{i+1}$ .

This completes the construction. Set  $p := \bigcup_{i < \lambda} p_i$ . Then p is a legitimate condition. Clearly,  $\{(p_i, f_i) \mid i < \lambda\} \subseteq Z$ . Note that for all  $i < \lambda$ , as  $\mathbb{Q}_1, p_i, \dot{g}, \alpha_i, \psi \in M_{i+1}$ , we have  $\psi(p_i, f_i) \in M_{i+1}$ . That is,  $\psi(p_i, f_i) \in \psi(Z) \cap \alpha_{i+1} = X_{\alpha} \cap \alpha_{i+1}$ . It follows that  $\langle (p_i, f_i) \mid 0 < i < \lambda \rangle = \langle (p_i^{\alpha}, f_i^{\alpha}) \mid 0 < i < \lambda \rangle$ !

So,  $p \Vdash \dot{g} \upharpoonright \check{\alpha} = \check{g}_{\alpha}$ . Consequently, p forces that  $A_i \cap \alpha = A_{\alpha}^i$  for all  $i < \lambda$ , and  $K \cap \alpha = K_{\alpha}$ . Also, since  $p_0$  forces that  $\{\alpha < \lambda^+ \mid g(\alpha)(i) = 1\}$  is unbounded in  $\lambda^+$  for all  $i \le \lambda$ , we find that  $\sup(K_{\alpha} \cap \alpha_i) = \sup(A_{\alpha}^i \cap \alpha_i) = \alpha_i$  and  $\alpha_i < \alpha_i'' < \alpha_{i+1}$  for all  $i < \lambda$ . In particular,  $\{\alpha_i'' \mid i < \lambda\} \subseteq C_{\alpha}$ , and  $p \Vdash \min(C_{\alpha}) = \alpha_0'' \ge \min(K)$ . Let  $p^*$  be an extension of p that decides  $c^*(\alpha)$ , say  $p^* \Vdash c^*(\alpha) = \check{i}$ , and decides  $h_i \upharpoonright \alpha$ .

Then  $p^*$  forces that  $\sup(M_i) = \lambda^+$ , because otherwise

$$\sup(M_i) < \min(K) \le \min(C_\alpha),$$

contradicting the fact that  $i = c^*(\alpha)$  entails  $\sup(M_i) \ge \min(C_\alpha)$ .

The upcoming considerations are all forced by  $p^*$ . We have  $\alpha_i < \alpha'_i \le \alpha''_i < \alpha_{i+1}$ with  $\alpha'_i \in K$  and  $\alpha''_i \in A_i \cap C_\alpha$ . Since  $\alpha''_i \in A_i$  and  $\sup(M_i) = \lambda^+$ , we have  $\alpha''_i \in \operatorname{rng}(h_i)$ . Fix  $\tau < \alpha$  such that  $h_i(\tau) = \alpha''_i$ . Then  $\min(C_{\alpha''_i}) > \tau$ . As  $h_i[\alpha'_i] \subseteq \alpha'_i \le \alpha''_i = h_i(\tau)$ , we have  $\tau \ge \alpha'_i$ , and hence  $\min(C_{\alpha''_i}) > \tau \ge \alpha'_i > \sup(C_\alpha \cap \alpha''_i)$ . It follows that  $\{\alpha''_i, \alpha\} \in E_0$ . Recalling that  $\alpha''_i \in \operatorname{rng}(h_i) \subseteq H_i$ , we conclude that  $c^*(\alpha''_i) = i = c^*(\alpha)$ . So  $p^*$  forces that  $c^*$  is not an  $E_0$ -chromatic coloring, contradicting the fact that  $p^*$  extends  $p_0$ .

**Remark 2.1.** Péter Komjáth pointed out that the above construction shows that  $\bigotimes_{\lambda}$  yields a sequence  $\langle \mathcal{G}_i | i < \lambda^+ \rangle$  of graphs, each of size and chromatic number  $\lambda^+$ , such that  $\operatorname{Chr}(\mathcal{G}_i \times \mathcal{G}_i) = \aleph_0$  for all  $i < j < \lambda^+$ .

# Proof of Corollary 1

If  $\lambda = \aleph_0$ , then  $\kappa = \aleph_0$ , and Hajnal's example [Haj85] apply.<sup>4</sup> Otherwise, since  $\bigotimes_{\lambda}$  holds in Gödel's constructible universe (see [ASS87]), let us invoke the main result of this paper and pick subsets  $E_0$ ,  $E_1$  of  $[\lambda^+]^2$  with  $\operatorname{Chr}(\lambda^+, E_0) = \operatorname{Chr}(\lambda^+, E_1) = \lambda^+$  and  $\operatorname{Chr}(\lambda^+ \times \lambda^+, E_0 * E_1) \leq \aleph_0$  as witnessed by  $c : \lambda^+ \times \lambda^+ \to \omega$ . Set  $F_0 := E_0 \cup [\kappa]^2$  and  $F_1 := E_1 \cup [\kappa]^2$ . Clearly,  $\operatorname{Chr}(\lambda^+, F_0) = \operatorname{Chr}(\lambda^+, F_1) = \lambda^+$ , and  $\operatorname{Chr}(\lambda^+ \times \lambda^+, F_0 * F_1) \geq \operatorname{Chr}(\kappa, [\kappa]^2) = \kappa$ . Finally, fix an injection  $d : \kappa \times 2 \to \kappa \setminus \omega$ , and define  $c' : \lambda^+ \times \lambda^+ \to \kappa$  by letting

$$c'(\alpha, \beta) := \begin{cases} d(\alpha, 0), & \alpha < \kappa, \\ d(\beta, 1), & \beta < \kappa \le \alpha, \\ c(\alpha, \beta), & \text{otherwise.} \end{cases}$$

Then *c'* is  $F_0 * F_1$ -chromatic, and hence  $Chr(\lambda^+ \times \lambda^+, F_0 * F_1) = \kappa$ .

## Proof of Corollary 2

De Bruijn and Erdős [dBE51] proved that if  $\mathcal{G}$  is a graph,  $k < \omega$ , and every subgraph of  $\mathcal{G}$  of size  $< \omega$  has chromatic number  $\leq k$ , then  $Chr(\mathcal{G}) \leq k$ . The statement remains true after replacing  $\omega$  in the above statement with a strongly-compact cardinal  $\theta$ .

Hajnal [Haj04] proved that if  $\mathcal{G}_0, \mathcal{G}_1$  are graphs of infinite chromatic number, then every subgraph of  $\mathcal{G}_0$  of size  $< \operatorname{Chr}(\mathcal{G}_1)$  has chromatic number  $\leq \operatorname{Chr}(\mathcal{G}_0 \times \mathcal{G}_1)$ .

Thus, for a cardinal  $\kappa$ , let  $\varphi(\kappa)$  be the least strongly-compact cardinal  $\theta \ge \kappa$ . Towards a contradiction, suppose that  $\mathcal{G}_0, \mathcal{G}_1$  are graphs, each of chromatic number  $\ge \theta$ , while  $\operatorname{Chr}(\mathcal{G}_0 \times \mathcal{G}_1) = \kappa' < \kappa$ . Then, by Hajnal's finding, every subgraph of  $\mathcal{G}_0$  of size  $< \theta$ would have chromatic number  $\le \operatorname{Chr}(\mathcal{G}_0 \times \mathcal{G}_1) = \kappa'$ . But then the generalized de Bruijn– Erdős theorem entails that  $\operatorname{Chr}(\mathcal{G}) \le \kappa' < \theta$ . This is a contradiction.

## 3. A generalization

The main result of this paper generalizes as follows.

**Theorem B.** Suppose that  $\lambda \geq \kappa$  are infinite cardinals. If  $\lambda > \aleph_0$ , suppose in addition that  $\bigotimes_{\lambda}$  holds. Then for every positive integer *n*, there exist graphs  $\langle \mathcal{G}_i | i < n + 1 \rangle$  of size  $\lambda^+$  such that:

- $\operatorname{Chr}(X_{i \in I} \mathcal{G}_i) = \lambda^+$  for every  $I \in [n+1]^n$ ;
- $\operatorname{Chr}(X_{i < n+1} \mathcal{G}_i) = \kappa.$

*Proof.* We focus on the case  $\lambda > \aleph_0 = \kappa$ . All the ideas needed to modify the construction of [Haj85] to establish the case  $\lambda = \aleph_0$  will appear in the proof.

<sup>&</sup>lt;sup>4</sup> In fact, a minor modification to the proof of the main theorem allows one to derive the case  $\lambda = \aleph_0$  as well.

Let  $\langle (D_{\alpha}, X_{\alpha}) | \alpha < \lambda^{+} \rangle$ ,  $h : \lambda^{+} \to \lambda^{+}$ , and  $\langle C_{\alpha} | \alpha < \lambda^{+} \rangle$  be as in the proof from the previous section. For all  $i < \omega$ , set

$$S_i := \{ \alpha < \lambda^+ \mid h(\alpha) = i \}, \quad G_i := \{ \alpha \in S_i \mid \operatorname{otp}(C_\alpha) = \lambda \},$$
  

$$E_i := \{ \{ \alpha, \delta \} \in [G_i]^2 \mid \alpha \in C_\delta, \min(C_\alpha) > \sup(C_\delta \cap \alpha) \},$$
  

$$C_\delta^i := \{ \alpha \in C_\delta \cap G_i \mid \min(C_\alpha) > \sup(C_\delta \cap \alpha) \} \text{ for all } \delta < \lambda^+.$$

For  $i < \omega$  and  $\gamma < \lambda^+$ , we say that a coloring  $\chi : \gamma \to \omega$  is *i*-suitable if:

- $\chi[C_{\delta}^{i}]$  is finite for all  $\delta \leq \gamma$ ;
- $\chi(\alpha) \neq \chi(\delta)$  for all  $\alpha < \delta \leq \gamma$  with  $\{\alpha, \delta\} \in E_i$ .

As in the previous section, for every  $i < \omega$  and  $\beta < \gamma < \lambda^+$  with  $\beta \notin G_i$ , and an *i*-suitable coloring  $\chi : \beta \to \omega$ , there exists an *i*-suitable coloring  $\chi' : \gamma \to \omega$  extending  $\chi$ .

Set  $\mathbb{Q}_i := (\{\chi : \beta \to \omega \mid \beta \in \lambda^+ \setminus G_i, \chi \text{ is } i\text{-suitable}\}, \subseteq)$ . Then a straightforward variation of the proof of Lemma 2.6 shows that the product forcing  $X_{i \in I} \mathbb{Q}_i$  is  $(\leq \lambda)$ -distributive for every  $I \in [\omega]^{<\omega}$ . Moreover, for  $I \in [\omega]^{<\omega}$ , as  $\langle G_i \mid i \in I \rangle$  are pairwise disjoint, the product forcing  $X_{i \in I} \mathbb{Q}_i$  is isomorphic to

$$\mathbb{Q}_I := \left( \left\{ \chi : \beta \to \omega \mid \beta < \lambda^+ \& \bigwedge_{i \in I} (\beta \notin G_i \& \chi \text{ is } i \text{-suitable}) \right\}, \subseteq \right).$$

Finally, fix a positive integer  $n < \omega$ , and for all i < n + 1, set

$$V_{i} := \left\{ \chi : \beta \to \omega \mid \beta \in \biguplus \{G_{j} \mid j < n+1, \ j \neq i\}, \ \chi \text{ is } E_{i}\text{-chromatic} \right\},$$
$$F_{i} := \left\{ \{\chi, \chi'\} \in [V_{i}]^{2} \mid \{\operatorname{dom}(\chi), \operatorname{dom}(\chi')\} \in \biguplus_{j < n+1} E_{j}, \ \chi \subseteq \chi' \right\},$$
$$\mathcal{V}_{i} := (V_{i}, F_{i}).$$

**Lemma 3.1.**  $\operatorname{Chr}(\mathcal{V}_0 \times \cdots \times \mathcal{V}_n) \leq \aleph_0.$ 

*Proof.* Define  $c: V_0 \times \cdots \times V_n \to [\omega^3]^{<\omega}$  by

$$c(\chi_0,\ldots,\chi_n) := \{(\chi_i(\operatorname{dom}(\chi_j)), i, j) \mid i, j < n+1, \operatorname{dom}(\chi_j) \in G_i \cap \operatorname{dom}(\chi_i)\}.$$

Note that, by definition of  $V_i$ ,  $h(\operatorname{dom}(\chi_i)) \neq i$  for all  $i \leq n$ . Let us also point out that  $c(\chi_0, \ldots, \chi_n)$  is nonempty. For this, define a sequence  $\langle a_i \mid i < n+1 \rangle$  by letting  $a_0 := \chi_0$ , and  $a_{j+1} := \chi_{h(\operatorname{dom}(a_j))}$  for all j < n.

If there exists some j < n such that  $dom(a_j) < dom(a_{j+1})$ , then clearly

$$(a_{i+1}(\operatorname{dom}(a_i)), h(\operatorname{dom}(a_{i+1})), h(\operatorname{dom}(a_i))) \in c(\chi_0, \dots, \chi_n),$$

and we are done. Otherwise, we have  $dom(a_0) > dom(a_1) > \cdots > dom(a_n)$ , so set  $a_{n+1} := \chi_{h(dom(a_n))}$ . Let i < n be such that  $a_{n+1} = a_i$ . Then  $dom(a_{n+1}) = dom(a_i) > dom(a_n)$ , and hence

$$\left(a_{n+1}(\operatorname{dom}(a_n)), h(\operatorname{dom}(a_{n+1})), h(\operatorname{dom}(a_n))\right) \in c(\chi_0, \ldots, \chi_n).$$

Finally, suppose towards a contradiction that  $\{(\chi_0, \ldots, \chi_n), (\chi'_0, \ldots, \chi'_n)\} \in F_0 * \cdots * F_n$ , while  $c(\chi_0, \ldots, \chi_n) = c(\chi'_0, \ldots, \chi'_n)$ . Pick  $(m, i, j) \in c(\chi_0, \ldots, \chi_n)$ . By  $(\chi_i, \chi'_i) \in F_i$ , we know that  $\chi^* := \chi_i \cup \chi'_i$  is  $E_i$ -chromatic. So, as  $\chi^*(\operatorname{dom}(\chi_j)) = m = \chi^*(\operatorname{dom}(\chi'_j))$ , we see that  $\{\operatorname{dom}(\chi_j), \operatorname{dom}(\chi'_j)\} \notin E_i$ , contradicting the fact that  $\{\chi_j, \chi'_j\} \in F_j$  and  $h(\operatorname{dom}(\chi_j)) = i = h(\operatorname{dom}(\chi'_i))$ .

**Lemma 3.2.** Chr( $X_{i \in I} \mathcal{V}_i$ ) =  $\lambda^+$  for every  $I \in [n+1]^n$ .

*Proof.* Fix  $I \in [n+1]^n$ . Let k < n+1 be such that  $n+1 = (I \uplus \{k\})$ .

Towards a contradiction, suppose that  $c : X_{i \in I} V_i \to \lambda$  is  $*_{i \in I} F_i$ -chromatic. Let  $\mathbb{G}$  be  $\mathbb{Q}_I$ -generic over V, and work in  $V[\mathbb{G}]$ . Set  $\chi^* := \bigcup \mathbb{G}$ . Then  $\chi^* : \lambda^+ \to \omega$  is  $E_i$ -chromatic for all  $i \in I$ . Notice that for all  $i \in I$  and  $\beta \in G_k$ , as  $i \neq k$ , we have  $\chi^* \upharpoonright \beta \in V_i$ . Thus, we may derive a coloring  $c^* : G_k \to \lambda$  by letting, for all  $\beta \in G_k$ ,

$$c^*(\beta) := c \Big(\prod_{i \in I} \chi^* |\beta\Big).$$

Since *c* is  $*_{i \in I} F_i$ -chromatic, we find that  $c^*$  is  $E_k$ -chromatic. That is,  $c^*$  witnesses that  $Chr(G_k, E_k) \leq \lambda$ .

For concreteness, let us assume that k = 0. Define  $H_i$ ,  $M_i$ ,  $h_i$ ,  $A_i$ , K, g as in the proof of Lemma 2.7. Work back in V. Let  $p_0 \in \mathbb{Q}_I$  be such that

$$p_0 \Vdash \dot{g} : \dot{\lambda}^+ \to {}^{\lambda+1}2$$
, and  $c^*$  is  $E_0$ -chromatic

By possibly extending  $p_0$ , we may moreover assume that  $p_0$  forces that  $\{\alpha < \lambda^+ \mid g(\alpha)(i) = 1\}$  is unbounded in  $\lambda^+$  for all  $i \leq \lambda$ , and knows about the interaction of g with  $c^*$ .

As any initial segment of g belongs to V, we shall consider the set

$$Z := \{ (p, f) \in \mathbb{Q}_I \times {}^{<\lambda^+}({}^{\lambda+1}2) \mid p_0 \subseteq p \Vdash_{\mathbb{Q}_I} \dot{g} \upharpoonright \operatorname{dom}(f) = \check{f} \}.$$

Let  $\langle N_{\alpha} \mid \alpha < \lambda^+ \rangle$  be an increasing and continuous sequence of elementary submodels of  $(\mathcal{H}(\theta), \in, \leq_{\theta})$ , each of size  $\lambda$ , such that  $\langle D_{\delta} \mid \delta < \lambda^+ \rangle$ ,  $\mathbb{Q}_I, \psi, \dot{g}, p_0 \in N_0$  and  $\langle N_{\beta} \mid \beta \leq \alpha \rangle \in N_{\alpha+1}$  for all  $\alpha < \lambda^+$ .

Pick some  $\alpha < \lambda^+$  with  $\operatorname{otp}(D_{\alpha}) = \lambda$  such that  $h(\alpha) = 0$ ,  $X_{\alpha} = \psi[Z] \cap \alpha$ , and  $\operatorname{acc}(D_{\alpha}) \subseteq E := \{\delta < \lambda^+ \mid N_{\delta} \cap \lambda^+ = \delta\}.$ 

Let  $\{\alpha_i \mid i \leq \lambda\}$  denote the increasing enumeration of  $\operatorname{acc}(D_{\alpha}) \cup \{\alpha\}$ . We have  $h(\alpha_i) = 0$  and  $M_i \cap \lambda^+ = \alpha_i \in S_0$  for all  $i \leq \lambda$ . Write  $M_i := N_{\alpha_i}$ .

Recursively and  $\leq_{\theta}$ -canonically define a continuous sequence  $\langle (p_i, f_i) | i < \lambda \rangle$  of pairs that will satisfy the following for all  $i < \lambda$ :

- $p_{i+1} \Vdash \dot{g} \upharpoonright \check{\alpha}_i = \dot{f}_{i+1};$
- $\alpha_i \leq \operatorname{dom}(p_i) < \alpha_{i+1};$
- $\langle p_j | j \leq i \rangle$  is an increasing sequence of conditions that belongs to  $M_{i+1}$ .

This process is feasible thanks to the fact that  $C_{\alpha_i}^j$  is empty for every limit  $i < \lambda$  and every  $j \in I$ .<sup>5</sup> Then  $\langle (p_i, f_i) | 0 < i < \lambda \rangle = \langle (p_i^{\alpha}, f_i^{\alpha}) | 0 < i < \lambda \rangle$ , and  $p := \bigcup_{i < \lambda} p_i$  is a legitimate condition. Let  $p^*$  be an extension of p that decides  $c^*(\alpha)$ , say  $p^* \Vdash c^*(\alpha) = \check{i}$ , and decides  $h_i \upharpoonright \alpha$ . Then  $p^* \Vdash \{\alpha_i'', \alpha\} \in E_0 \& \alpha_i'' \in \operatorname{rng}(h_i) \subseteq H_i$ . So  $p^*$  forces that  $c^*$  is not an  $E_0$ -chromatic coloring, contradicting the fact that  $p^*$  extends  $p_0$ .

This completes the proof of Theorem B.

*Acknowledgments.* Part of this work was done while I was a postdoctoral fellow at the Fields Institute and University of Toronto Mississauga, under the supervision of Ilijas Farah, Stevo Todorcevic, and William Weiss. I would like to express my deep gratitude to my supervisors and the hosting institutes.

I am grateful to Juris Steprāns for illuminating discussions on [She77], [She80], and to Menachem Magidor for asking me about the possibility of changing the chromatic number of the graphs from [Rin15a] by forcing.

Added in proof. The main result of [Rin15b] implies the following generalization of Corollary 1: In any set-forcing extension of Gödel's constructible universe, all instances of the Infinite Weak Hedetniemi Conjecture fail.

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<sup>5</sup> Recall that  $h(\alpha_i) \neq j$  for all  $j \in I$  and  $i \leq \lambda$ .

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