J. Eur. Math. Soc. 19, 2697-2737

DOI 10.4171/JEMS/726

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# Flip-graph moduli spaces of filling surfaces

Received October 15, 2014

**Abstract.** This paper is about the geometry of the flip-graphs associated to triangulations of surfaces. More precisely, we consider a topological surface with a privileged boundary curve and study the space of its triangulations with n vertices on this curve. The surfaces we consider topologically fill this boundary curve, so we call them *filling surfaces*. The associated flip-graphs are infinite whenever the mapping class group of the surface (the group of self-homeomorphisms up to isotopy) is infinite, and we can obtain moduli spaces of flip-graphs by considering these graphs up to the action of the mapping class group. This always results in finite graphs, which we call modular flip-graphs. Our main focus is on the diameter growth of these graphs as n increases. We obtain general estimates that hold for filling surfaces of any topological type. We find more precise estimates for certain families of filling surfaces and obtain asymptotic growth results for several of them. In particular, we find the exact diameter of modular flip-graphs when the filling surface is a cylinder with a single vertex on the non-privileged boundary curve.

Keywords. Flip-graphs, triangulations of surfaces, combinatorial moduli spaces

# 1. Introduction

Triangulations of surfaces are very natural objects that appear in the study of topological, geometric, algebraic, probabilistic, and combinatorial aspects of surfaces and related topics. We are interested in a natural structure on spaces of triangulations: *flip-graphs*. Vertices of flip-graphs are triangulations, and two triangulations span an edge if they differ by a single arc (our base surface is a topological object and we consider triangulations up to vertex-preserving isotopy). When edge lengths are all set to one, flip-graphs are geometric objects that provide a measure for how different triangulations can be.

Flip-graphs appear in different contexts and take different forms. As flipping an arc (replacing an arc by another one) does not change either the vertices or the topology of the surface, flip-graphs correspond to triangulations of homeomorphic surfaces with a prescribed set of vertices. Provided the surface has enough topology, flip-graphs are infinite,

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Mathematics Subject Classification (2010): Primary 05C12; Secondary 57M50

and self-homeomorphisms of the surface act on this graph as isomorphisms. In fact, modulo some exceptional cases, the group of self-homeomorphisms of the surface up to isotopy (the *mapping class group*) is exactly the automorphism group of the graph [10]. The quotient of a flip-graph via its automorphism group is finite, and thus via the Schwarz– Milnor Lemma (see for example [1]), a flip-graph and the associated mapping class group are quasi-isometric.

Furthermore, if one gives the triangles in a triangulation a given geometry, each triangulation corresponds to a geometric structure on a surface. In this direction, Brooks and Makover [2] defined *random surfaces* to be geometric surfaces coming from a random triangulation where each triangle is an ideal hyperbolic triangle. This notion of a random surface is a way of sampling points in *Teichmüller and moduli spaces*—roughly speaking, the space of hyperbolic metrics on a given topological structure. Although in the above it is only the vertex set of flip-graphs that appears, in the theory of *decorated* Teichmüller spaces, flip-graphs play an actual role [15]. In a similar direction, Fomin, Shapiro, and Thurston [6], and more recently Fomin and Thurston [7], have used flip-graphs and their variants to study cluster algebras that come from the Teichmüller theory of bordered surfaces. For all of these reasons, flip-graphs and their relatives appear frequently and importantly in the study of moduli spaces, surface topology, and mapping class groups.

In a different context, flip-graphs are important objects for the study of triangulations of arbitrary dimension, whose vertices are placed in a Euclidean space and whose simplices are embedded linearly (see [3] and references therein). In this case, flip-graphs are always finite, and they are sometimes isomorphic to the graph of a polytope, or admit subgraphs that have this property. Such flip-graphs emerge for instance from the study of generalized hypergeometric functions and discriminants [9] and from the theory of cluster algebras [8]. The simplest non-trivial case is that of the flip-graph of a polygon, which turns out to be the graph of a celebrated polytope—the associahedron [11]. The study of this graph has an interesting history of its own [19], and one of the reasons it has attracted so much interest is that it pops up in surprisingly different contexts, including theoretical physics and computer science (see for instance [11, 17, 18, 20]).

Associahedra appear, in particular, in the work of Sleator, Tarjan, and Thurston [17] on the dynamic optimality conjecture. They proved the theorem below about the diameter of these polytopes for sufficiently large n, using constructions of polyhedra in hyperbolic 3-space. Their proof, however, does not tell us how large n should be for the theorem to hold. The second author proved this theorem whenever n > 12 using combinatorial arguments [16]. Note that for smaller n the diameter behaves differently.

**Theorem** ([16, 17]). *The flip-graph of a convex polygon with n vertices has diameter* 2n - 10 *whenever n* > 12.

This theorem is in some sense our starting point. The topology of a polygon is the simplest that one can imagine—it is simply the boundary circle filled by a disk. Our basic question is the following: *what happens when one replaces the disk by a surface with more topology?* These surfaces, which we call *filling* as they fill the boundary circle, give rise to infinite flip-graphs as soon as the mapping class group is infinite. We are interested in precisely these cases here. Up to homeomorphisms that preserve the circle boundary pointwise, we get nice finite combinatorial moduli spaces of triangulations whose geometry, and in particular whose diameter, we study.

We note that the filling surfaces with finite flip-graphs are the disk, the Möbius band, and the disk with a single puncture. In addition to the case of the disk [16, 17], the Möbius band has been discussed in [5], and the once-punctured disk in [14].

Precise definitions and notation can be found in the next section—but in order to state our results, we briefly describe them here. We will consider a filling surface  $\Sigma$  (a topological surface with a privileged boundary curve) and denote by  $\Sigma_n$  the same surface with *n* marked points on the privileged boundary. The *modular flip-graph*  $\mathcal{MF}(\Sigma_n)$  is the flip-graph of  $\Sigma_n$  up to homeomorphism. For example  $\mathcal{MF}(\Sigma_n)$  is the graph of the associahedron when  $\Sigma$  is a disk.

Our first result is the following upper bound for the diameter of  $\mathcal{MF}(\Sigma_n)$  which does not asymptotically depend on the topology of the filling surface.

**Theorem 1.1.** For any filling surface  $\Sigma$  there exists a constant  $K_{\Sigma}$  such that

$$\operatorname{diam}(\mathcal{MF}(\Sigma_n)) \leq 4n + K_{\Sigma}.$$

A simple consequence of this result and of the monotonicity of diam( $\mathcal{MF}(\Sigma_n)$ ), proven in Section 2.3, is that the limit

$$\lim_{n\to\infty}\frac{\operatorname{diam}(\mathcal{MF}(\Sigma_n))}{n}$$

exists (and is less than or equal to 4). Again, in the case of the associahedron, this limit is 2. It is perhaps not a priori obvious why the limit should not *always* be 2, independently of the topology of  $\Sigma$ , but this turns out not to be the case.

In order to exhibit different behaviors, we study particular examples of filling surfaces. Our examples are surfaces  $\Sigma$  with genus 0 and k + 1 boundaries, including the privileged one, and each of the non-privileged boundaries contains a single marked or unmarked point. We will refer to these non-privileged boundary curves with a single point as *boundary loops*. Marking or not the point they contain amounts to disallowing or allowing the mapping class group acting on the flip-graph to exchange them. We provide the following upper bounds for the diameters.

**Theorem 1.2.** Let  $\Sigma$  be a filling surface with  $k \ge 2$  marked boundary loops and no other topology. There exists a constant  $K_k$  which only depends on k such that

$$\operatorname{diam}(\mathcal{MF}(\Sigma_n)) \le (4 - 2/k)n + K_k.$$

Similarly:

**Theorem 1.3.** Let  $\Sigma$  be a filling surface with  $k \ge 1$  unmarked boundary loops and no other topology. There exists a constant  $K_k$  which only depends on k such that

diam
$$(\mathcal{MF}(\Sigma_n)) \leq \left(3 - \frac{1}{2k}\right)n + K_k.$$

The constants  $K_k$  in both these theorems are a priori unrelated. In the case of the associahedron, upper bounds of the correct order (i.e. 2n) are somewhat immediate, but here the upper bounds, although not particularly mysterious, are more involved.

Now consider the filling surface  $\Gamma$  with a unique boundary loop—being marked or unmarked does not matter in this case. This surface will play a particular role in our paper and we are able to obtain the exact diameter of its modular flip-graphs:

#### **Theorem 1.4.** The diameter of the modular flip-graphs of $\Gamma$ satisfies

diam( $\mathcal{MF}(\Gamma_n)$ ) = |5n/2| - 2.

This shows that Theorem 1.3 is asymptotically sharp when k = 1. As for the associahedron, the hard part is the lower bound. We note in the final section that the lower bound from this theorem proves a general lower bound on the diameter of  $\mathcal{MF}(\Sigma_n)$ , provided  $\Sigma$  has at least one interior marked point and any additional topology (for instance any genus or any additional marked points or boundary loops).

Our final main result is about the filling surface with genus 0 and exactly two marked boundary loops—we call this particular surface  $\Pi$  as we give it special attention.

We prove the following.

#### **Theorem 1.5.** *The diameter of* $\mathcal{MF}(\Pi_n)$ *is not less than* 3*n*.

This result and the upper bound from Theorem 1.2 when k = 2 show that the diameter of  $\mathcal{MF}(\Pi_n)$  grows like 3n (with constant error term).

Our lower bounds always come from somewhat involved combinatorial arguments, using the methods introduced in [16]. Boundary loops play an important part, since to ensure that two triangulations are far apart, we show that moving these loops necessarily entails a certain number of flips.

The remainder of the article is organized as follows. We begin with a section devoted to preliminaries which include notation and basic or known results we need. As the results may be of interest to people with different mathematical backgrounds, we spend some time talking about the setup in order to keep the article as self-contained as possible. The third section deals with the upper bounds, and the fourth and fifth sections with the lower bounds. In the final section, we discuss some consequences of our results and we conclude the article with several questions and conjectures about what the more general picture might look like.

## 2. Preliminaries

In this section we describe in some detail the objects we are interested in, introduce notation and some of the tools we use. In particular, the methods from [16] are generalized to arbitrary filling surfaces in Subsection 2.2.

## 2.1. Filling surfaces and flip-graphs

We consider a topological orientable surface  $\Sigma$  with the following three properties.

**Property 1.** The surface  $\Sigma$  has at least one boundary curve, and we think of one of the boundary curves as being special. We will refer to it as the *privileged boundary* (it has no marked or unmarked points on it, but will be endowed with them in what follows). The other boundary curves are non-privileged.

**Property 2.** All non-privileged boundary curves of  $\Sigma$  have at least one marked or unmarked point on it. This is because we need to triangulate  $\Sigma$  and these points are necessary to do so. The distinction between marked and unmarked points will become clearer in the following, but note that if a boundary curve contains one marked point, all the other points on this boundary are naturally marked, as their position relative to the marked point determines a marking. Also note that most of the specific examples we study in more detail have only one point on each non-privileged boundary curve. For this reason, we use the term *boundary loop* for a boundary curve with a single point.

**Property 3.** The surface  $\Sigma$  is of finite type. It can have genus, marked or unmarked points in its interior or on its non-privileged boundary curves, but only a finite number of each. Another way of saying this is to ask that its group of self-homeomorphisms (up to isotopy) be finitely generated (but generally not finite).

We illustrate  $\Sigma$  in Fig. 1 with its different possible features. Note that if it has no topology, then  $\Sigma$  is simply a disk.



**Fig. 1.**  $\Sigma$  and its possible features

For any positive integer *n*, from  $\Sigma$  we obtain a surface  $\Sigma_n$  by placing *n* marked points on the privileged boundary of  $\Sigma$ . We are interested in triangulating  $\Sigma_n$  and studying the geometry of the resulting flip-graph. We fix  $\Sigma_n$ , and we refer to its set of marked and unmarked points as its *vertices*. An *arc* of  $\Sigma_n$  is an isotopy class of non-oriented simple paths between (not necessarily distinct) vertices. A *multi-arc* is a collection of arcs whose interiors can be realized disjointly (they can share vertices but cannot cross).

From arcs, one can construct a simplicial complex called the arc complex. This complex is well studied in geometric topology; it is built by associating simplices to sets of arcs that can be realized disjointly. A *triangulation* of  $\Sigma_n$  is a maximal collection of arcs that can be realized disjointly. Said differently, triangulations are maximal multi-arcs with respect to inclusion. Although they are not necessarily "proper" triangulations in the usual sense, they do cut the surface into a collection of triangles. Also note that a multi-arc can always be completed to a triangulation.

For fixed  $\Sigma_n$ , the number of interior arcs of a triangulation is a fixed number. Note that, by an Euler characteristic argument, it increases linearly in *n*.

We now construct the *flip-graph*  $\mathcal{F}(\Sigma_n)$ . The vertices of  $\mathcal{F}(\Sigma_n)$  are the triangulations of  $\Sigma_n$ , and two vertices share an edge if they coincide in all but one arc. Another way of seeing this is that they share an edge if they are related by a single *flip* operation, as shown in Fig. 2. The resulting flip-graph is sometimes finite, sometimes infinite, but it is always locally finite and connected, as any isotopy class of arcs can be introduced into a triangulation by a finite number of flips (see for instance [12]).



**Fig. 2.** The flip that exchanges the arcs  $\varepsilon$  and  $\varepsilon'$ .

When  $\Sigma$  is a disk,  $\mathcal{F}(\Sigma_n)$  is a finite graph (it is the graph of the associahedron). An example of an infinite flip-graph is given by the surface of genus 0 with a unique boundary loop, and no marked or unmarked points in its interior. It is thus a cylinder with one of the boundary curves being the privileged boundary and the other a boundary loop. This surface, which we denote by  $\Gamma$  for future reference, is depicted on the left of Fig. 3. In this figure, the marked point on the boundary loop is denoted by  $a_0$  and the privileged boundary is shown on the outside.



**Fig. 3.** The filling surfaces  $\Gamma$  and  $\Pi$ .

A triangulation of  $\Gamma_1$  always contains two interior arcs between  $a_0$  and the other marked point placed on the privileged boundary. Both arcs can be flipped, so  $\mathcal{F}(\Gamma_1)$ is everywhere of degree 2. Furthermore, since there are infinitely many isotopy classes of arcs (one can think of arcs winding around the cylinder), there are infinitely many triangulations and  $\mathcal{F}(\Gamma_1)$  is infinite. Being connected, infinite, and regular of degree 2,  $\mathcal{F}(\Gamma_1)$  is isomorphic to the infinite line graph ( $\mathbb{Z}$  with its obvious graph structure). In general, whenever  $\mathcal{F}(\Sigma_n)$  is infinite, there is a non-trivial natural action of the group of self-homeomorphisms of  $\Sigma_n$  on  $\mathcal{F}(\Sigma_n)$ . This is because homeomorphisms will preserve the property of two triangulations being related by a flip, so they induce a simplicial action on  $\mathcal{F}(\Sigma_n)$ . It is where the importance of being a marked or an unmarked point plays a part. We allow homeomorphisms to exchange unmarked points (but fix them globally as a set). In contrast, they must fix all marked points individually. We denote by  $Mod(\Sigma_n)$  the group of such homeomorphisms up to isotopy. Note that once  $n \ge 3$ , by the action on the privileged boundary of  $\Sigma$ , all such homeomorphisms are orientation preserving. As we are primarily interested in large n, we do not need to worry about orientation reversing homeomorphisms.

The combinatorial moduli spaces we are interested in are thus

$$\mathcal{MF}(\Sigma_n) = \mathcal{F}(\Sigma_n) / \mathrm{Mod}(\Sigma_n)$$

Observe that this always gives rise to connected finite graphs. To unify notation, we denote the corresponding flip-graph by  $\mathcal{MF}(\Sigma_n)$  even if the homeomorphism group action is trivial. We think of these graphs as discrete metric spaces where points are vertices of the graphs and the distance is the usual graph distance with edge length 1. In particular, some of these graphs have loops (a single edge from a vertex to itself), but adding or removing a loop gives rise to an identical metric space. For this reason, we think of these graphs as not having any loops.

Our main focus is on the diameter of  $\mathcal{MF}(\Sigma_n)$ , which we denote diam( $\mathcal{MF}(\Sigma_n)$ ). For fixed  $\Sigma$ , we will be interested in how this diameter grows as a function of *n*. In order to exhibit distant triangulations, we will spend some time studying filling surfaces of particular topological types. One of them is  $\Gamma$ , already described above. It has one boundary loop (a non-privileged boundary with a single marked point). Similarly we shall consider the filling surface  $\Pi$  shown on the right of Fig. 3. It has genus 0, exactly two marked boundary loops (we distinguish between them) and no interior marked or unmarked point. This surface is thus a sphere with three holes: one of them is the privileged boundary and the other two are boundary loops, each with a single marked point. These points are respectively denoted by  $a_-$  and  $a_+$  in Fig 3.

#### 2.2. Deleting a vertex on the privileged boundary

One of the main ingredients used in [16] to obtain lower bounds on flip distances is the operation of deleting a vertex from a triangulation. Here, we will use this operation to the same end. When *n* is greater than 1, vertices in the privileged boundary will be deleted from triangulations of a given surface  $\Sigma_n$ , resulting in triangulations of  $\Sigma_{n-1}$ .

Consider a filling surface  $\Sigma_n$ . We label the vertices placed on the privileged boundary from  $a_1$  to  $a_n$  in such a way that two vertices with consecutive indices are also consecutive on the boundary. Furthermore, the boundary arc with vertices  $a_p$  and  $a_{p+1}$  will be denoted by  $\alpha_p$ , and the boundary arc with vertices  $a_n$  and  $a_1$  by  $\alpha_n$ .

Now consider a triangulation T of  $\Sigma_n$ . Some triangle t of T, depicted on the left of Fig. 4, is incident to the arc  $\alpha_p$ . Assuming that n > 1, this triangle necessarily has two other distinct edges. Denote these edges by  $\beta_p$  and  $\gamma_p$  as shown in the figure. Deleting



**Fig. 4.** The triangle incident to  $\alpha_p$  in some triangulation of  $\Sigma_n$  (left), and what happens to it when  $a_p$  is displaced to the other vertex of  $\alpha_p$  (right).

the vertex  $a_p$  consists in displacing this vertex along the boundary to the other vertex of  $\alpha_p$ , and removing the arc  $\beta_p$  from the resulting set of arcs. Observe in particular that the displacement of the vertex  $a_p$  removes  $a_p$  from the privileged boundary and the arc  $\alpha_p$  from the triangulation, as shown on the right of Fig. 4. Moreover, the arcs  $\beta_p$  and  $\gamma_p$  have then become isotopic, and the removal of  $\beta_p$  results in a triangulation of  $\Sigma_{n-1}$ .

Note that the deletion operation preserves triangulation homeomorphy. Therefore, this operation carries over to moduli of flip-graphs, and transforms any triangulation in  $\mathcal{MF}(\Sigma_n)$  into a triangulation in  $\mathcal{MF}(\Sigma_{n-1})$ . The triangulation obtained by deleting the vertex  $a_p$  from T is denoted  $T \setminus p$  in the remainder of the paper, following [16]. This notation will be used for triangulations in both  $\mathcal{F}(\Sigma_n)$  and  $\mathcal{MF}(\Sigma_n)$ .

Consider two triangulations U and V in  $\mathcal{MF}(\Sigma_n)$  and assume that they can be obtained from one another by a flip. The following proposition shows that the relation between  $U \setminus p$  and  $V \setminus p$  can be of two kinds.

**Proposition 2.1.** Suppose  $n \ge 2$ . If U and V are triangulations in  $\mathcal{MF}(\Sigma_n)$  related by a flip, then  $U \setminus p$  and  $V \setminus p$  are either identical or related by a flip.

*Proof.* Consider the quadrilateral whose diagonals are exchanged by the flip relating U and V. The deletion of the vertex  $a_p$  either shrinks this quadrilateral to a triangle, deforms it to another quadrilateral, or leaves it unaffected. In the first case,  $U \setminus p$  and  $V \setminus p$  are identical because the deletion then removes the two arcs exchanged by the flip. In the other two cases,  $U \setminus p$  and  $V \setminus p$  can also be identical (while vertex deletion preserves homeomorphy, it does not always preserve non-homeomorphy), but if they are not, they differ exactly on the (possibly deformed) quadrilateral. More precisely, they can be obtained from one another by the flip that exchanges the diagonals of this quadrilateral.

In the following, a flip between two triangulations U and V in  $\mathcal{MF}(\Sigma_n)$  is called *incident* to the arc  $\alpha_p$  when  $U \setminus p$  is identical to  $V \setminus p$ .

When  $\Sigma$  is a disk, the flips incident to  $\alpha_p$  are exactly the ones that affect the triangle incident to this arc within a triangulation [16]. When  $\Sigma$  is not a disk, these flips are still incident to  $\alpha_p$ , but they are not necessarily the only ones. For instance, the unique triangulation in  $\mathcal{MF}(\Gamma_1)$  and the four triangulations in  $\mathcal{MF}(\Gamma_2)$  are depicted in Fig. 5. Since  $\mathcal{MF}(\Gamma_1)$  has a single element, we have:

**Proposition 2.2.** If T is one of the four triangulations in  $\mathcal{MF}(\Gamma_2)$ , then any flip performed in T is incident to both  $\alpha_1$  and  $\alpha_2$ .



**Fig. 5.** The unique triangulation in  $\mathcal{MF}(\Gamma_1)$  (left) and the four triangulations in  $\mathcal{MF}(\Gamma_2)$ . The lines between the latter four triangulations depict  $\mathcal{MF}(\Gamma_2)$ .

Fig. 5 also shows the edges of the modular flip-graph of  $\Gamma_2$ . In this flip-graph, the third triangulation from the left is obtained from the second one by replacing any of the two interior arcs incident to  $a_1$  by an interior arc incident to  $a_2$ . Assume that the removed arc is the one on the left. In this case, the triangle incident to  $\alpha_1$  is not affected by the flip. Yet, via Proposition 2.2, this flip is incident to  $\alpha_1$ .

Now assume that U and V are two arbitrary triangulations that belong to  $\mathcal{MF}(\Sigma_n)$ . Consider a sequence  $(T_i)_{0 \le i \le k}$  of triangulations in  $\mathcal{MF}(\Sigma_n)$  such that  $T_0 = U$ ,  $T_k = V$ , and  $T_{i-1}$  can be transformed into  $T_i$  by a flip whenever  $0 < i \le k$ . Such a sequence will be called a *path* of length k from U to V, and can be alternatively thought of as a sequence of k flips that transform U into V. According to Proposition 2.1, removing unnecessary triangulations from the sequence  $(T_i \setminus p)_{0 \le i \le k}$  results in a path from  $U \setminus p$  to  $V \setminus p$  and the number of triangulations that need be removed from the sequence is equal to the number of flips incident to  $\alpha_p$  along  $(T_i)_{0 \le i \le k}$ . In other words:

**Lemma 2.3.** Let U and V be two triangulations in  $\mathcal{MF}(\Sigma_n)$ . If f flips are incident to the arc  $\alpha_p$  along a path of length k between U and V, then there exists a path of length k - f between  $U \setminus p$  and  $V \setminus p$ .

Note that when  $\Sigma$  is a disk, this lemma is exactly Theorem 3 from [16]. A path between two triangulations U and V in  $\mathcal{MF}(\Sigma_n)$  is called a *geodesic* if its length is minimal among all the paths between U and V. The length of any such geodesic is equal to the distance of U and V in  $\mathcal{MF}(\Sigma_n)$ , denoted by d(U, V).

Invoking Lemma 2.3 with a geodesic between U and V immediately yields:

**Theorem 2.4.** Let n > 1 and consider two triangulations U and V in  $\mathcal{MF}(\Sigma_n)$ . If there exists a geodesic between U and V along which at least f flips are incident to the arc  $\alpha_p$ , then the following inequality holds:

$$d(U, V) \ge d(U \setminus p, V \setminus p) + f.$$

In well defined situations, at least two flips are incident to a given boundary arc along any geodesic. This may be the case when one of the triangulations at the ends of the geodesic has a well placed *ear*, i.e. a triangle with two edges in the privileged boundary, as shown on the left of Fig. 6. In the figure, these two edges are  $\alpha_p$  and  $\alpha_q$ , and the vertex they share is  $a_q$ . In this case, we will say that the triangulation has an ear *at*  $a_q$ .



**Fig. 6.** The triangulations U (left) and V (right) from the statement of Lemma 2.5. The *j*-th flip along the geodesic used in the proof of this lemma is shown in the middle, where the solid edges belong to  $T_{i-1}$ , and the arc introduced is dotted.

The following result, proven in [16] when  $\Sigma$  is a disk, holds in general:

**Lemma 2.5.** Consider two triangulations U and V in  $\mathcal{MF}(\Sigma_n)$ . Further consider two distinct arcs  $\alpha_p$  and  $\alpha_q$  in the privileged boundary of  $\Sigma_n$  such that  $a_q$  is a vertex of  $\alpha_p$ . If U has an ear at  $a_q$  and if the triangles of V incident to  $\alpha_p$  and to  $\alpha_q$  do not have a common edge, then for any geodesic between U and V, there exists  $r \in \{p, q\}$  such that at least two flips along this geodesic are incident to  $\alpha_r$ .

*Proof.* Assume that U has an ear at  $a_q$  and that the triangles of V incident to  $\alpha_p$  and to  $\alpha_q$  do not have a common edge. In this case, U and V are as shown on the left and on the right, respectively, of Fig. 6. Note that the vertices b and c represented in this figure can be identical. At least one flip along any path between U and V is incident to the arc  $\alpha_p$  because the triangles of U and of V incident to this arc are distinct.

Consider a geodesic  $(T_i)_{0 \le i \le k}$  from U to V and assume that only one of the flips along this geodesic is incident to  $\alpha_p$ , say the *j*-th one. This flip must then be as shown in the middle of Fig. 6. Not only is it incident to  $\alpha_p$  but also to  $\alpha_q$ . Moreover, the triangle *t* of *V* incident to  $\alpha_p$  already belongs to  $T_j$ .

Now observe that the triangle of  $T_j$  incident to  $\alpha_q$  shares an edge with t. By assumption, the triangle of V incident to  $\alpha_q$  does not have this property. Therefore, at least one of the last k - j flips along  $(T_i)_{0 \le i \le k}$  must affect the triangle incident to  $\alpha_q$ . This flip is then the second flip along the geodesic incident to  $\alpha_q$ , as desired.

#### 2.3. A projection lemma

Here we briefly describe a result from [4] in our setting and its implications for our diameter estimates. This lemma is about two triangulations U and V of  $\Sigma_n$  with arcs in common. It says that these arcs must also be arcs of all the triangulations along any geodesic between U and V in the flip-graph  $\mathcal{F}(\Sigma_n)$ . This generalizes Lemma 3 from [17], originally proven in the case of a disk with marked boundary points. Formally:

**Lemma 2.6** (Projection Lemma). Let U and V be two triangulations of  $\Sigma_n$ . Further consider a geodesic  $(T_i)_{0 \le i \le k}$  from U to V in the graph  $\mathcal{F}(\Sigma_n)$ . If  $\mu$  is a multi-arc common to U and V, then  $\mu$  is also a multi-arc of  $T_i$  whenever 0 < i < k.

It is essential to note that the above lemma does *not* necessarily hold in  $\mathcal{MF}(\Sigma_n)$ . However, it clearly does hold if an arc or a multi-arc is invariant under all elements of  $Mod(\Sigma_n)$ . Namely, consider an arc  $\alpha$  *parallel* to the privileged boundary (by parallel we mean that the portion of  $\Sigma_n$  bounded by this arc and by a part of the privileged boundary is a disk). Then, as any element of  $Mod(\Sigma_n)$  fixes the privileged boundary arcs individually, the arc  $\alpha$  is also invariant. In particular, assume that  $\alpha$  has vertices  $a_1$  and  $a_3$ . By the above,  $\alpha$  is never removed along a geodesic between two triangulations containing this arc. So naturally we get a geodesically convex and isometric copy of  $\mathcal{MF}(\Sigma_{n-1})$  inside  $\mathcal{MF}(\Sigma_n)$ . Thus we obtain the following.

**Proposition 2.7.** diam( $\mathcal{MF}(\Sigma_{n-1})$ )  $\leq$  diam( $\mathcal{MF}(\Sigma_n)$ ).

Note that, by observing that there are points of  $\mathcal{MF}(\Sigma_n)$  outside the isometric copy of  $\mathcal{MF}(\Sigma_{n-1})$ , it is not too difficult to see that in fact the above inequality is strict, but we make no use of that in the sequel.

#### 3. Upper bounds

In this section we prove upper bounds on the diameter of modular flip-graphs depending on the topology of the underlying surface.

#### 3.1. A general upper bound

We begin with the following general upper bound.

**Theorem 3.1.** For any filling surface  $\Sigma$  there exists a constant  $K_{\Sigma}$  such that

$$\operatorname{diam}(\mathcal{MF}(\Sigma_n)) \leq 4n + K_{\Sigma}.$$

Before proving the theorem, let us give the basic idea of the proof. Consider a triangulation T of  $\Sigma_n$  and a vertex a of this surface. Let us call the number of interior arcs of Tincident to a the *interior degree* of a in T. For large enough n the average interior degree of the vertices of T can be arbitrarily close to 2, and thus given any two triangulations Uand V the average sum of the interior degrees tends to 4. We can then choose a vertex a(on the privileged boundary) in such a way that its interior degree is at most 4. We perform flips within U to obtain  $\tilde{U}$  and flips within V to obtain  $\tilde{V}$  so that  $\tilde{U}$  and  $\tilde{V}$  both have an ear at a. In doing so we can now safely ignore a boundary vertex and repeat the process.

In order to quantify the number of flips each of the steps described above might cost, we first prove the following lemma.

**Lemma 3.2.** For  $n \ge 2$ , consider a vertex a on the privileged boundary of  $\Sigma_n$  and two triangulations U and V of  $\Sigma_n$ . If the interior degrees of a in U and in V sum to at most 4, then there exist two triangulations  $\tilde{U}$  and  $\tilde{V}$  of  $\Sigma_n$ , each with an ear at a, such that

$$d(U, \tilde{U}) + d(V, \tilde{V}) \le 4.$$

*Proof.* We shall prove the lemma by showing that there is always a flip in either U or V that reduces the degree of a, and thus by iteration, one must flip at most four arcs to reach both  $\tilde{U}$  and  $\tilde{V}$ . Let  $\varepsilon$  be any interior arc incident to a in either U or V.

First suppose that  $\varepsilon$  is flippable. If flipping  $\varepsilon$  reduces the degree of a, we flip it. If not, then the flip quadrilateral of  $\varepsilon$  (shown on the left of Fig. 7) must have a boundary arc, say  $\alpha$ , with the vertex a at its two ends. This situation, sketched in the middle of Fig. 7, corresponds to when the vertex labeled b' on the left of the figure is equal to a.



**Fig. 7.** The flip dealt with in the proof of Lemma 3.2 (left), and a sketch of the surface when this flip does not reduce the degree of a (middle and right).

As *n* is not less than 2,  $\alpha$  must be an interior arc. In addition,  $\alpha$  is twice incident to *a* and is thus flippable. If flipping  $\alpha$  reduces the degree of *a*, we flip  $\alpha$  and we can proceed. Therefore, we assume that flipping  $\alpha$  does not decrease the degree of *a*. In this case, the vertex *a'* shown on the left of Fig. 7 is necessarily the same vertex as *a*. The arcs  $\alpha$ ,  $\beta$  and  $\varepsilon$  (see Fig. 7, right) are now three interior arcs twice incident to *a*. Thus the interior degree of *a* is at least 6, which is impossible.



**Fig. 8.** When  $\varepsilon$  is not flippable.

Finally, consider the case where  $\varepsilon$  is not flippable. This arc is then surrounded by another arc  $\varepsilon'$  twice incident to *a*, as sketched in Fig. 8. Flipping  $\varepsilon'$  reduces the degree of *a* because the flip introduces an arc incident to *a'*.

Note that Lemma 3.2 holds *a fortiori* when U and V belong to  $\mathcal{MF}(\Sigma_n)$ . We can now proceed with the proof of the theorem.

*Proof of Theorem 3.1.* Consider the surface  $\Sigma_1$  and insert points in its privileged boundary to obtain  $\Sigma_n$ . The Euler characteristics of these surfaces satisfy

$$\chi(\Sigma_n) = \chi(\Sigma_1).$$

A triangulation T of  $\Sigma_n$  has n - 1 more vertices and n - 1 more triangles than a triangulation T' of  $\Sigma_1$ . It also has n - 1 more boundary arcs. By invariance of the Euler characteristic, this means that T has exactly n - 1 more *interior* edges than T'. Hence, the number of interior edges of T is exactly

$$n + E_{\Sigma}$$
,

where  $E_{\Sigma}$  is a precise constant which depends on  $\Sigma$  but not on n. We now focus our attention on the interior degree of the privileged boundary vertices. The total interior degree of all these vertices is at most  $2(n + E_{\Sigma})$ .

The sum of the interior degrees of all vertices in two triangulations U and V in  $\mathcal{MF}(\Sigma_n)$  is not greater than  $4(n + E_{\Sigma})$ . Thus the average sum of the interior degrees of the privileged boundary vertices is at most

$$4+\frac{4}{n}E_{\Sigma}.$$

Therefore, for  $n > 4E_{\Sigma}$ , there exists a privileged boundary vertex *a* whose interior degrees in *U* and in *V* sum to at most 4.

We now apply the previous lemma to flip U and V a total of at most four times into two new triangulations with ears at a. We treat the new triangulations as if they lay in  $\mathcal{MF}(\Sigma_{n-1})$  and we repeat the process inductively until  $n \leq 4E_{\Sigma}$ . We end up with two triangulations  $\tilde{U}$  and  $\tilde{V}$  that only differ on a subsurface homeomorphic to  $\Sigma_{n_0}$ , where

$$i_0 \leq 4E_{\Sigma}.$$

ł

Hence, there is a path of length at most diam( $\mathcal{MF}(\Sigma_{n_0})$ ) between  $\tilde{U}$  and  $\tilde{V}$ . We therefore obtain the following inequality:

$$d(U, V) \le 4(n - 4E_{\Sigma}) + \operatorname{diam}(\mathcal{MF}(\Sigma_{n_0})) = 4n + K_{\Sigma}$$

where  $K_{\Sigma} = \operatorname{diam}(\mathcal{MF}(\Sigma_{n_0})) - 16E_{\Sigma}$  does not depend on *n*.

Before looking at more precise bounds for a given surface topology, we note that, together with the monotonicity from Proposition 2.7, we have the following:

**Corollary 3.3.** For any filling surface  $\Sigma$  the following limit exists and satisfies

$$\lim_{n\to\infty}\frac{\operatorname{diam}(\mathcal{MF}(\Sigma_n))}{n}\leq 4.$$

#### 3.2. Upper bounds for $\Gamma$

In this section we prove a much stronger and specific upper bound in the case where our surface is  $\Gamma$ , a cylinder with a single boundary loop.

**Theorem 3.4.** The diameters of the modular flip-graphs of  $\Gamma$  satisfy

diam(
$$\mathcal{MF}(\Gamma_n)$$
)  $\leq 5n/2 - 2$ .

*Proof.* Let U and V be triangulations in  $\mathcal{MF}(\Gamma_n)$ . Denote by  $a_0$  the unique vertex not on the privileged boundary, and  $\alpha_0$  the boundary loop it belongs to. The basic strategy is to perform flips within both triangulations until all interior arcs are incident to  $a_0$  and then find a path between the resulting triangulations.

We begin by observing that a triangulation in  $\mathcal{MF}(\Gamma_n)$  has n + 1 interior arcs. Furthermore, any triangulation T of  $\Gamma_n$  has at least two distinct interior arcs incident to  $a_0$ . Indeed,  $\alpha_0$  is incident to a triangle of T whose other two edges must have vertex  $a_0$ . These edges are also both incident to the same vertex on the privileged boundary. Hence, they must be interior arcs of the triangulation.

Thus, n - 1 flips suffice to reach a triangulation with all arcs incident to  $a_0$  from either U or V. Note that such a triangulation is uniquely determined by the privileged boundary vertex of the triangle incident to  $\alpha_0$ .

We now perform the above flips within U and V to obtain two triangulations U' and V'. Denote by  $a_u$  and  $a_v$  the privileged boundary vertices of the triangle incident to  $\alpha_0$  in U' and V', respectively. This necessitates at most 2n - 2 flips.



Fig. 9. The flip used in the proof of Theorem 3.4. The arc introduced is dotted.

Now to get from U' to V', we proceed as follows. Note that, thinking of the privileged boundary as a graph, the distance of  $a_u$  and  $a_v$  along this boundary is at most n/2. We can perform a flip in U' to obtain a triangulation similar to U', wherein the privileged boundary vertex of the triangle incident to  $\alpha_0$  is 1 closer to  $a_v$  along the privileged boundary (this is illustrated in Fig. 9). Thus, in at most n/2 flips the triangulations U' and V' can be transformed into one another. The result follows.

It turns out that this straightforward upper bound is (somewhat surprisingly) optimal, as will be shown later on. In the next subsection we provide upper bounds for an arbitrary number of boundary loops.

#### 3.3. Upper bounds for surfaces with multiple boundary loops

The first case we treat is that of marked boundary loops.

**Theorem 3.5.** Let  $\Sigma$  be a filling surface with  $k \ge 2$  marked boundary loops and no other topology. There exists a constant  $K_k$ , which only depends on k, such that

$$\operatorname{diam}(\mathcal{MF}(\Sigma_n)) \le (4-2/k)n + K_k.$$

*Proof.* We begin by choosing a boundary loop  $\alpha_0$  and its vertex which we denote  $a_0$ . Note that as before, any triangulation has at least two interior arcs incident to  $a_0$ .

Given two triangulations U and V in  $\mathcal{MF}(\Sigma_n)$  we perform flips within both triangulations until all interior arcs are incident to the vertex  $a_0$ . This can be done with at most 2n + 8k - 10 flips for the following reason. A straightforward Euler characteristic argument shows that any triangulation in  $\mathcal{MF}(\Sigma_n)$  has exactly n + 4k - 3 interior arcs. As observed above, at least two of these arcs are already incident to  $a_0$ , so each triangulation is at most n + 4k - 5 flips away from a triangulation with all arcs incident to  $a_0$ . We denote the resulting triangulations by U' and V'.

Triangulations with the above property are by no means canonical but they do have a very nice structure. Visually, it is useful to think of the vertex  $a_0$  as the center of the triangulation. Most arcs (at least when *n* is considerably larger than *k*) will be arcs going from a privileged boundary vertex  $a_p$  to  $a_0$ , and will be the unique arcs doing so. However, some of them will have a companion arc (or several) also incident to the same two vertices. For this to happen, as they are necessarily non-isotopic arcs, they must enclose some topology: if they bounded a topological disk they would be isotopic, so there must be at least one loop inside. If we consider two successive arcs like this (by successive we mean belonging to the same triangle), they must be boundary arcs of a triangle with a companion loop incident to  $a_0$ . We shall refer to subsurfaces bounded by such two successive arcs as a *pod* and its subsurface bounded by the companion loop as a *pea*. A pod is depicted on the right of Fig. 10, where the pea is the striped region.



Fig. 10. Peas in pods.

Observe that any pea must contain at least one of the k interior boundary loops but could possibly contain several. Hence there are at most k peas, and as every pod is non-empty, at most k pods. We denote the number of peas and pods by k' and the privileged boundary vertices incident to the pods by  $a_{p_1}$  to  $a_{p_{k'}}$  clockwise, as shown on the left of Fig. 10. Note that  $a_{p_i}$  and  $a_{p_{i+1}}$  are possibly the same vertex.

The vertices  $a_{p_1}, \ldots, a_{p_{k'}}$  are separated along the privileged boundary by sequences of vertices (possibly none) which have single arcs to  $a_0$  (see Fig. 10, left). We call these sequences *gaps*. As there are *n* vertices on the boundary separated by at most k' pods, there is always a gap of size at least  $n/k' - 1 \ge n/k - 1$ , i.e., at least n/k - 1 consecutive vertices along the boundary are adjacent to  $a_0$  by a single interior arc. We consider the largest gap in U' and the largest gap in V'. The sets of vertices not found in the gaps are both of cardinality at most n - n/k + 1. We will distinguish two cases. First assume that some vertex, say  $a_g$ , on the privileged boundary does not belong to either the gap of U' or the gap of V'.

The strategy here is to flip U' and V' into triangulations with a single pod incident to  $a_g$ . They will thus coincide outside of the pod and it will suffice to flip inside the pod a number of times depending only on k to relate the two triangulations. We begin by observing that a pod can be moved to a neighboring vertex by a single flip unless another pod obstructs its passage (see Fig. 11, left). For both triangulations we proceed in the same way. We "condemn" the gap, and move the pods until they reach  $a_g$  without passing through the condemned gap as follows. We take one of the pods bounding the gap, say the first one clockwise, and move it clockwise until it reaches another pod or the vertex  $a_g$ . In the former case, the two pods are transformed into a single pod by the flip portrayed on the right of Fig. 11. We then continue to move the pod clockwise until reaching another pod (or  $a_g$ ) etc. Once  $a_g$  has been reached, there are no pods left between  $a_g$  and the condemned gap on one side. We do the same on the other side, moving the pods counterclockwise from the other end of the condemned gap to  $a_g$ .



Fig. 11. A flip that moves a pod (left) and joins two pods (right). The arc introduced is dotted.

We now bound above the number of flips that were necessary to perform the transformation. As there were originally at most k pods, at most k - 1 flips will be necessary to join pods. All of the other flips have reduced by 1 the distance between the pods bounding the condemned gap, thus there were at most n - n/k such flips.

As we performed this in both triangulations, the total number of flips that have been carried out does not exceed

$$(2-2/k)n + 2k - 2$$

We now have two triangulations U'' and V'' that differ only on a single pea containing all of the topology and where all arcs are incident to  $a_0$ . In particular, we only need to perform flips inside the pea. As a subsurface, it is homeomorphic to  $\Sigma_1$ , thus

$$d(U'', V'') \leq \operatorname{diam}(\mathcal{MF}(\Sigma_1)),$$

and this diameter is equal to some constant  $K'_k$  which only depends on k. Using these estimates and our original estimates on the distances to U' and V' we obtain

$$d(U, V) \le (2 - 2/k)n + 2k - 2 + K'_k + 2n + 8k - 10.$$

If we set  $K_k = K'_k + 10k - 12$ , this results in the desired inequality:

$$d(U, V) \le (4 - 2/k)n + K_k.$$

We now review our second case. Assume that each of the vertices on the privileged boundary belongs to the gap of U' or to the gap of V'.

This is the easier case since all the privileged boundary vertices incident to pods of U' lie in a sector disjoint from another sector containing all the privileged boundary vertices incident to pods of V'. As above, we move the pods by flips. Choose a pod in U' at the boundary of the gap, say the first one clockwise, and move it clockwise (i.e. without passing through the gap) towards the other boundary using the flip shown on the left of Fig. 11. This proceeds until U' is transformed into a triangulation with a single pod at the other boundary of the gap. Let  $a_l$  be the vertex on the privileged boundary that is incident to the remaining pod. We now move the pods in V' similarly but in the opposite direction: we start from the last pod clockwise, move it counter-clockwise, and merge it along the way with the other pods until we reach a vertex  $a_l$  with a single remaining pod. We denote the resulting triangulations by U'' and V''. Note that, as above, there were at most 2k - 2 flips that served to join adjacent pods. All other flips brought the outermost pods 1 closer to  $a_l$ . Hence, there were at most n - 1 such flips. Thus,

$$d(U', U'') + d(V', V'') \le n - 1 + 2k - 2.$$

Now U'' and V'' differ in a single pea, and so as above

$$d(U'', V'') \leq \operatorname{diam}(\mathcal{MF}(\Sigma_1)).$$

We can conclude as follows, taking the same constant  $K_k$  as previously:

$$d(U, V) \leq 3n + K_k \leq (4 - 2/k)n + K_k$$

Note that the second inequality holds because  $k \ge 2$ .

Observe that this implies an upper bound of the order of 3n when k = 2, that is, when  $\Sigma = \Pi$ . An adaptation of the above proof for unmarked boundary loops gives stronger upper bounds. In particular the following is true.

**Theorem 3.6.** Let  $\Sigma$  be a filling surface with  $k \ge 1$  unmarked boundary loops and no other topology. There exists a constant  $K_k$  which only depends on k such that

diam
$$(\mathcal{MF}(\Sigma_n)) \leq \left(3 - \frac{1}{2k}\right)n + K_k.$$

*Proof.* Let U and V be triangulations in  $\mathcal{MF}(\Sigma_n)$ . We begin by observing that every boundary loop is *close* to some vertex on the privileged boundary.

More precisely, consider the graph D that is dual to U, whose vertices are the triangles of U and whose edges connect two triangles that share an edge. Observe that D is connected. Let t be the triangle of U incident to some boundary loop. Consider a triangle t' of U incident to the privileged boundary that is closest to t in D, and a geodesic between t and t' in D. The only triangle incident to the privileged boundary along this geodesic is t'. Hence the length of this geodesic cannot depend on n, but only on k. For this reason, the

vertex of t' on the privileged boundary is the one we call *close* to the boundary loop. Now observe that flipping the arcs of U dual to the edges of our geodesic from t' to t will introduce a triangle incident to both the boundary loop and the privileged boundary vertex it is close to. We then say that the boundary loop is *hanging off* this vertex.

We carry out the above sequence of flips for every boundary loop. Note that these flips never remove an arc incident to the privileged boundary. Hence, once a boundary loop is hanging off a privileged boundary vertex, it will be left so by the later flips. The number of flips needed to transform both U and V as described above does not depend on n, but only on k. We denote the resulting triangulations by U' and V'.

By construction, all the boundary loops of U' and V' hang off privileged boundary vertices, either alone or in a bunch as depicted in Fig. 12. Observe that two boundary curves hanging off the same vertex are necessarily separated by at least one triangle.



Fig. 12. Boundary loops "hanging off" privileged boundary vertices.

For a moment we forget all of the triangles of U' and V' that are not incident to a boundary loop. We consider the collection of privileged boundary vertices that have boundary loops hanging off them in either U' or V'. There are at most 2k such vertices, and as in the previous proof we consider the gaps of successive privileged boundary vertices without a boundary loop hanging off them in either triangulation. We now consider the largest gap, whose size is at least n/(2k) - 1.

We choose one of the privileged boundary vertices *contained* in the gap and denote it  $a_0$ . We carry out flips within both U' and V' to increase the interior degree of  $a_0$  but (and this is important) without flipping the edges of any triangle incident to a boundary loop. Once this is done, all other arcs are incident to  $a_0$ . The vertices in the boundary loops are incident to a unique arc which joins them to  $a_0$ , as shown in Fig. 13.

The two triangulations look very similar with the exception of the placement of the boundary loops. They are all found in sectors (which we call *pods*) bounded by two arcs



Fig. 13. A pod with a unique boundary loop (left), and one with several (right).

between  $a_0$  and some other privileged boundary vertex  $a_j$ , possibly alone, possibly with other boundary loops (see Fig. 13). As in the previous theorem, we want to put these boundary loops in *peas* so that they are easy to move, but this time we use the privileged boundary vertex  $a_0$  as a base for all the peas.

To do this, we perform flips inside each pod so that all the boundary loops inside a given pod become enclosed in a single pea attached to  $a_0$ . This may take a certain number of flips, but an upper bound on how many is given by

$$\operatorname{liam}(\mathcal{MF}(\Sigma'_2)),$$

where  $\Sigma'$  is the surface inside the pod. As  $\Sigma'$  has at most k interior boundary curves, this is bounded by some function of k. Now, each boundary curve is inside some pea belonging to a pod attached to both  $a_0$  and some other privileged boundary vertex  $a_j$ . This  $a_j$  is of course the original vertex that the boundary curve was *close* to.

We can now begin to move the pods around. The idea is to move the pods clockwise around  $a_0$  using the flip depicted on the left of Fig. 14.



**Fig. 14.** A flip that moves a pod by one vertex clockwise around  $a_0$  (left) and a flip that joins two pods (right). In each case, the arc introduced is dotted.

We will refer to the number of boundary loops in a pea or in a pod as the pea or the pod's *multiplicity*. We begin as follows: we consider the first pod clockwise around  $a_0$  in either triangulation. If both triangulations have such a pod, we choose the one with the largest multiplicity. If they both have a pod of the same multiplicity, we leave them as they are and look for the next pod clockwise in either triangulation. The selected pod is incident to  $a_0$  and to another privileged boundary vertex  $a_i$ .

If one of the triangulations has no pod incident to  $a_j$ , we move the pod clockwise within the one that does, until the next vertex incident to a pod on either triangulation is reached. As in the proof of the previous theorem, moving a pod by one vertex requires a single flip. This flip is depicted on the left of Fig. 14.

If however both triangulations have pods with different multiplicities incident to  $a_j$ , we first perform flips inside the one with the larger multiplicity to split it into two pods, each containing a pea attached to  $a_0$ . We make the first pod (in the direction of our orientation) with the same multiplicity as the pod of the other triangulation, and the second with whatever multiplicity comes from the leftover boundary loops. Again, this splitting operation requires a number of flips, but no more than

diam(
$$\mathcal{MF}(\Sigma'_2)$$
),

where  $\Sigma'$  is the surface inside the pod, as above. We then move this second pod by flips to the next vertex clockwise incident to a pod on either triangulation. Whenever the moving pod encounters another pod in its own triangulation, we perform a single flip to join them as shown on the right of Fig. 14, and we iterate the process until we reach the last pod clockwise around  $a_0$ .

The two resulting triangulations have pods of the same multiplicity incident to the same privileged boundary vertices. More precisely, these triangulations only possibly differ in the way the peas are triangulated. We therefore finally perform flips inside the peas in order to make the two triangulations coincide. Note that the number of these flips does not depend on n but only on k.

Let us now take a look at how many flips we have performed.

We began by tweaking both triangulations so that all boundary loops hung off privileged boundary vertices. This required a number of flips that does not depend on n, but only on k, which we call  $K'_k$ . We then increased the interior degree of  $a_0$ . By an Euler characteristic argument, this required at most 2n + 4k - 6 flips. Moving pods from one end of the gap to the other required at most n flips from which the size of the gap must be subtracted, thus at most n - n/(2k) flips.

In several places we had to transform two triangulations in  $\mathcal{MF}(\Sigma'_2)$  into one another for some subsurface  $\Sigma'$  of  $\Sigma$ . The number of flips needed to perform every such transformation in any possible subsurface  $\Sigma'$  is bounded above by a number  $K''_k$  that does not depend on *n*. We had to do these transformations at most *k* times to attach the peas to  $a_0$ , and once every time a pod had to be split. The splitting operation was performed at most 2k times because the number of pods in the two triangulations is bounded above by 2k. Hence the total number of flips performed to modify triangulations in  $\mathcal{MF}(\Sigma'_2)$ is at most  $3kK''_k$ . Likewise, we may have had to join pods together, requiring in total at most 2k flips. The final flipping inside the peas was bounded above by a number  $K''_k$  that does not depend on *n*. We therefore obtain an upper bound of

$$\left(3-\frac{1}{2k}\right)n+K_k$$

on the diameter of  $\mathcal{MF}(\Sigma_n)$ , where  $K_k = K'_k + 3kK''_k + K'''_k + 6k - 6$ .

# 3.4. A few other cases

The proof of Theorem 3.5 still works when some of the boundary loops are replaced by interior points. The only difference is that some of the peas will enclose interior points instead of boundary loops. Hence:

**Theorem 3.7.** Let  $\Sigma$  be a filling surface with l marked boundary loops, k marked interior vertices and no other topology. If  $k + l \ge 2$ , then there exists a constant  $K_{k+l}$  which only depends on k + l such that

diam
$$(\mathcal{MF}(\Sigma_n)) \leq \left(4 - \frac{2}{k+l}\right)n + K_{k+l}.$$

Adapting the proof of Theorem 3.6 to surfaces with interior points and boundary loops is not immediate. Indeed, a point and a boundary loop cannot be exchanged. However, if all the boundary loops are replaced by interior vertices, a straightforward adaptation of this proof will work. As above, the only difference is that peas will enclose vertices instead of boundary loops, so we only give the main steps.

**Theorem 3.8.** Let  $\Sigma$  be a disk with  $k \ge 2$  unmarked interior vertices. There exists a constant  $K_k$  which only depends on k such that

diam
$$(\mathcal{MF}(\Sigma_n)) \leq \left(3 - \frac{1}{2(k-1)}\right)n + K_k.$$

*Proof.* Given any two triangulations U and V, we begin by choosing any interior vertex and perform flips to increase its incidence in both triangulations. This requires 2n flips in total plus a constant that only depends on k. The resulting triangulations now have peas in pods where the peas have the form of a loop surrounding a single arc between an interior vertex and the vertex incident to all interior arcs.

As in the proof of Theorem 3.6, we consider the largest gap between two pods and move them around in an almost identical fashion. The gap is of size at least n/(2k - 2) as we have already used one of the interior vertices as the "center" of the triangulation.

The remaining details of the proof are identical to those in the proof of Theorem 3.6 and we leave them to the dedicated reader.  $\Box$ 

#### 4. Lower bounds for $\Gamma$

In this section, we prove the following lower bound on the diameter of  $\mathcal{MF}(\Gamma_n)$ :

$$\operatorname{diam}(\mathcal{MF}(\Gamma_n)) \ge \lfloor 5n/2 \rfloor - 2. \tag{4.1}$$

This will be done by exhibiting two triangulations  $A_n^-$  and  $A_n^+$  in  $\mathcal{MF}(\Gamma_n)$  whose distance is equal to the right-hand side of (4.1). These triangulations are built by modifying the triangulation  $Z_n$  of  $\Delta_n$  depicted in Fig. 15, where  $\Delta_n$  is a disk with *n* marked vertices on the boundary. The interior arcs of  $Z_n$  form a zigzag, i.e., a simple path that alternates between left and right turns. This path starts at the vertex  $a_n$  and ends at  $a_{n/2}$  when *n* is even, and at  $a_{\lceil n/2 \rceil + 1}$  when *n* is odd. When n > 3,  $Z_n$  has an ear at  $a_1$  and another ear



**Fig. 15.** The triangulation  $Z_n$  of  $\Delta_n$  depicted when *n* is even (left) and odd (right).

at  $a_{\lfloor n/2 \rfloor+1}$ . When n = 3, this triangulation is made up of a single triangle which is an ear at all three vertices. Note that  $Z_n$  cannot be defined when n < 3.

Assume that  $n \ge 3$ . A triangulation  $A_n^-$  of  $\Gamma_n$  can be built by considering the ear of  $Z_n$ in  $a_1$  and by "piercing" it. Formally, we place a boundary loop  $\alpha_0$  with a vertex  $a_0$  inside the ear and re-triangulate the pierced ear as shown in the top row of Fig. 16. Another triangulation  $A_n^+$  of  $\Gamma_n$  can be built by piercing the ear of  $Z_n$  at  $a_{\lfloor n/2 \rfloor + 1}$ , by placing the vertex  $a_0$  on the boundary of the resulting hole, thus creating a boundary loop  $\alpha_0$ , and by re-triangulating the pierced ear as shown in the bottom row of Fig. 16.



**Fig. 16.** The triangulations  $A_n^-$  (top row) and  $A_n^+$  (bottom row) of  $\Gamma_n$  depicted when *n* is even (left) and odd (right). For simplicity, the vertex  $a_0$  is unlabeled here.

In the remainder of the section, the triangulations  $A_n^-$  and  $A_n^+$  are understood as elements of  $\mathcal{MF}(\Gamma_n)$ , that is, up to homeomorphism.

We will also define  $A_n^-$  and  $A_n^+$  when  $1 \le n \le 2$ . The triangulations  $A_2^-$  and  $A_2^+$  are the triangulations in  $\mathcal{MF}(\Gamma_2)$  that contain a loop arc at  $a_1$  and  $a_2$ , respectively, as shown in Fig. 5. The triangulations  $A_1^-$  and  $A_1^+$  will both be equal to the unique triangulation in  $\mathcal{MF}(\Gamma_1)$ , also shown in Fig. 5.

One of the main steps of our proof will be to show that for every n > 2,

$$d(A_n^-, A_n^+) \ge \min \left( d(A_{n-1}^-, A_{n-1}^+) + 3, d(A_{n-2}^-, A_{n-2}^+) + 5 \right).$$
(4.2)

This inequality will be obtained using well chosen vertex deletions or sequences of them. For instance, for  $n \ge 2$ , observe that deleting the vertex  $a_n$  from both  $A_n^-$  and  $A_n^+$  results in triangulations isomorphic to  $A_{n-1}^-$  and  $A_{n-1}^+$ . More precisely, once  $a_n$  has been deleted, the other vertices need to be relabeled in order to obtain  $A_{n-1}^-$  and  $A_{n-1}^+$ . If we delete any  $a_j$  instead of  $a_n$ , then the natural relabeling amounts to relabeling  $a_i$  as  $a_{i-1}$  whenever i > j. This relabeling provides a map onto the triangulations of  $\Gamma_{n-1}$ . For future reference we call any such map a *vertex relabeling*. We can now precisely state the

observation we need: the triangulations  $A_n^- \backslash \backslash n$ , resp.  $A_n^+ \backslash \backslash n$  are isomorphic to  $A_{n-1}^-$ , resp.  $A_{n-1}^+$  via the same vertex relabeling. This can be checked using Fig. 5 when  $2 \le n \le 4$  and Fig. 16 when  $n \ge 3$ . According to Theorem 2.4, it follows from this observation that if there exists a geodesic between  $A_n^-$  and  $A_n^+$  with at least three flips incident to  $\alpha_n$ , then

$$d(A_n^-, A_n^+) \ge d(A_{n-1}^-, A_{n-1}^+) + 3, \tag{4.3}$$

and inequality (4.2) holds in this case. Now assume that  $n \ge 3$  and observe that for any integer *i* with  $1 \le i < n$  and any  $j \in \{n - i, n - i + 1\}$ , deleting the vertices  $a_i$ and  $a_j$  from  $A_n^-$  and  $A_n^+$  results in triangulations of  $\Gamma_{n-2}$  isomorphic to  $A_{n-2}^-$  and  $A_{n-2}^+$ , respectively. The isomorphism comes from the vertex relabeling described above. Hence, if there exists a geodesic between  $A_n^-$  and  $A_n^+$  with at least three flips incident to  $\alpha_i$ , and a geodesic between  $A_n^- \backslash i$  and  $A_n^+ \backslash i$  with at least two flips incident to  $\alpha_j$ , then invoking Theorem 2.4 twice yields

$$d(A_n^-, A_n^+) \ge d(A_{n-2}^-, A_{n-2}^+) + 5, \tag{4.4}$$

and inequality (4.2) also holds in this case. Observe that (4.3) and (4.4) follow from the existence of particular geodesic paths. The rest of the section is devoted to proving the existence of geodesic paths that imply at least one of these inequalities.

Since  $\alpha_n$  is not incident to the same triangle in  $A_n^-$  and in  $A_n^+$ , at least one flip is incident to this arc along any geodesic from  $A_n^-$  to  $A_n^+$ . We will study the geodesics between  $A_n^-$  and  $A_n^+$  depending on which arc is introduced by their first flip incident to  $\alpha_n$ . This is the purpose of the next lemmas.

**Lemma 4.1.** Let n > 2. Consider a geodesic from  $A_n^-$  to  $A_n^+$  whose first flip incident to the arc  $\alpha_n$  introduces an arc with vertices  $a_0$  and  $a_n$ . If  $\alpha_n$  is incident to at most two flips along this geodesic, then  $\alpha_1$  is incident to at least three flips along it.

*Proof.* Let  $(T_i)_{0 \le i \le k}$  be a geodesic from  $A_n^-$  to  $A_n^+$ . Assume that the first flip incident to  $\alpha_n$  along  $(T_i)_{0 \le i \le k}$  is the *j*-th one, and that it introduces an arc with vertices  $a_0$  and  $a_n$ . This flip must then be the one shown on the left of Fig. 17.



**Fig. 17.** The *j*-th (left), l'-th (middle), and *l*-th (right) flips performed along the path  $(T_i)_{0 \le i \le k}$  in the proof of Lemma 4.1. In each case, the arc introduced is dotted.

Assume that at most one flip along  $(T_i)_{0 \le i \le k}$  other than the *j*-th one is incident to  $\alpha_n$ . In this case, there must be exactly one such flip among the last k - j flips of  $(T_i)_{0 \le i \le k}$ , say the *l*-th one. Moreover, this flip replaces the triangle of  $T_j$  incident to  $\alpha_n$  by the triangle of  $A_n^+$  incident to  $\alpha_n$ . There is only one way to do so, depicted on the right of Fig. 17. As portrayed in the figure, this flip is incident to  $\alpha_1$ .

To reach a contradiction, assume that at most one flip along  $(T_i)_{0 \le i \le k}$  other than the *l*-th one is incident to  $\alpha_1$ . In this case, the first flip incident to  $\alpha_1$  along  $(T_i)_{0 \le i \le k}$ , say the *l'*-th one, replaces the triangle of  $A_n^-$  incident to the arc  $\alpha_1$  by the triangle of  $T_{l-1}$  incident to this arc. There is only one way to do so, depicted in the middle of Fig. 17. One can see that the triangle of  $T_{l'-1}$  incident to the boundary loop  $\alpha_0$  cannot be identical to the triangle of  $A_n^-$  incident to this arc. Hence one of the first l' - 1 flips along  $(T_i)_{0 \le i \le k}$ , say the *j'*-th one, removes the triangle of  $A_n^-$  incident to  $\alpha_0$ .

As j' is less than l', the arc  $\beta$  shown on the left of Fig. 17, belongs to both  $T_{j'-1}$ and  $T_{j'}$ . The portion of each of these triangulations bounded by the arcs  $\alpha_n$  and  $\beta$  belongs to  $\mathcal{MF}(\Gamma_2)$ . According to Proposition 2.2, the j'-th flip along  $(T_i)_{0 \le i \le k}$  is then incident to  $\alpha_n$ . As the j-th and l-th flips along this path are also incident to  $\alpha_n$ , this contradicts the assumption that  $\alpha_n$  is incident to at most two flips along  $(T_i)_{0 \le i \le k}$ . Therefore  $\alpha_1$  must be incident to at least three flips along this geodesic.

**Lemma 4.2.** Let n > 2. Consider a geodesic from  $A_n^-$  to  $A_n^+$  whose first flip incident to  $\alpha_n$  introduces an arc with vertices  $a_1$  and  $a_2$ . If  $\alpha_n$  is incident to at most two flips along this geodesic, then  $\alpha_1$  is incident to at least four flips along it.

*Proof.* Let  $(T_i)_{0 \le i \le k}$  be a geodesic from  $A_n^-$  to  $A_n^+$  whose first flip incident to  $\alpha_n$ , say the *j*-th one, introduces an arc with vertices  $a_1$  and  $a_2$ . This flip must then be the one shown on the left of Fig. 18. Note that it is incident to  $\alpha_1$ .



**Fig. 18.** The *j*-th (left) and *l*-th (right) flips performed along the path  $(T_i)_{0 \le i \le k}$  in the proof of Lemma 4.2. In each case, the arc introduced is dotted.

Assume that at most one flip along  $(T_i)_{0 \le i \le k}$  other than the *j*-th one is incident to  $\alpha_n$ . In this case, there must be exactly one such flip among the last k - j flips of  $(T_i)_{0 \le i \le k}$ , say the *l*-th one. This flip replaces the triangle of  $T_j$  incident to  $\alpha_n$  by the triangle of  $A_n^+$  incident to  $\alpha_n$ . There is only one way to do so, depicted on the right of Fig. 18. Note that this flip is also incident to  $\alpha_1$ .

Finally, as the arc introduced by the *j*-th flip along  $(T_i)_{0 \le i \le k}$  is not removed before the *l*-th flip, there must be two more flips incident to the arc  $\alpha_1$  along this geodesic: the flip that removes the loop arc with vertex  $a_1$  shown on the left of Fig. 18, and the flip that introduces the loop arc with vertex  $a_2$  shown on the right of the figure. This proves that at least four flips are incident to  $\alpha_1$  along  $(T_i)_{0 \le i \le k}$ .

The following lemma provides the existence of a particular ear in a triangulation along some geodesics between  $A_n^-$  and  $A_n^+$ . This will result in a lower bound on the distance of  $A_n^-$  and  $A_n^+$  via Lemma 4.4 below. The existence of such ears will also be instrumental in Section 5 when proving lower bounds on diam( $\mathcal{MF}(\Pi_n)$ ).

**Lemma 4.3.** For  $n \ge 4$ , consider a geodesic from  $A_n^-$  to  $A_n^+$  whose first flip incident to  $\alpha_n$  introduces an arc with vertices  $a_1$  and  $a_p$ , where  $2 . Then some triangulation along this geodesic has an ear at <math>a_q$ , where  $2 \le q \le n$  and  $q \ne \lfloor n/2 \rfloor + 1$ .

*Proof.* Let  $(T_i)_{0 \le i \le k}$  be a geodesic from  $A_n^-$  to  $A_n^+$  whose first flip incident to  $\alpha_n$ , say the *j*-th one, introduces an arc with vertices  $a_1$  and  $a_p$ , where  $2 . This flip is depicted in Fig. 19, separately when <math>p \le \lceil n/2 \rceil$  and when  $p > \lceil n/2 \rceil$ .



**Fig. 19.** The *j*-th flip performed along the path  $(T_i)_{0 \le i \le k}$  in the proof of Lemma 4.3 when  $p \le \lceil n/2 \rceil$  (left) and when  $p > \lceil n/2 \rceil$  (right). The arc introduced is dotted.

First assume that p is not greater than  $\lceil n/2 \rceil$ . Consider the arc of  $T_j$  with vertices  $a_1$  and  $a_p$  shown as a solid line on the left of Fig. 19. The portion of  $T_j$  bounded by this arc and by the arcs  $\alpha_1, \ldots, \alpha_{p-1}$  is a triangulation of the disk  $\Delta_p$ . If p > 3, then this triangulation has at least two ears, and one of them is also an ear of  $T_j$  at  $a_q$  where  $2 \le q < p$ . If p = 3 this property still necessarily holds with q = 2 since the triangulation of  $\Delta_p$  induced by  $T_j$  is made up of a single triangle.

Now assume that  $\lceil n/2 \rceil . Consider the arc with vertices <math>a_1$  and  $a_p$  introduced by the *j*-th flip along  $(T_i)_{0 \le i \le k}$  and shown as a dotted line on the right of Fig. 19. The portion of  $T_j$  bounded by this arc and by the arcs  $\alpha_p, \ldots, \alpha_n$  is a triangulation of  $\Delta_{n-p+2}$ . Since  $n - p + 2 \ge 3$ , an argument similar to the one used in the last paragraph shows that  $T_j$  has an ear at some vertex  $a_q$  where  $p < q \le n$ .

Hence,  $T_j$  has an ear at  $a_q$  where either  $2 \le q or <math>\lceil n/2 \rceil .$ In particular, <math>q is necessarily distinct from  $\lfloor n/2 \rfloor + 1$ .

Lemma 4.3 can be combined with the following lemma to obtain inequality (4.2). Note that arguments close to the ones used in the proof of the next lemma will serve to prove Theorem 5.3 in Section 5.

**Lemma 4.4.** Let  $n \ge 4$ . If some triangulation along a geodesic between  $A_n^-$  and  $A_n^+$  has an ear at  $a_q$ , where  $2 \le q \le n$  and  $q \ne \lfloor n/2 \rfloor + 1$ , then

$$d(A_n^-, A_n^+) \ge d(A_{n-2}^-, A_{n-2}^+) + 5.$$

*Proof.* Consider a geodesic  $(T_i)_{0 \le i \le k}$  between  $A_n^-$  and  $A_n^+$  and assume that, for some j in  $\{0, \ldots, k\}$ ,  $T_j$  has an ear at  $a_q$ , where  $2 \le q \le n$  and  $q \ne \lfloor n/2 \rfloor + 1$ .

Set r = n - q + 1. The portion of either  $A_n^-$  or  $A_n^+$  placed between the arcs  $\alpha_{q-1}, \alpha_q$ , and  $\alpha_r$  is shown on the left of Fig. 20. As  $T_j$  has an ear at  $a_q$ , one can split the geodesic  $(T_i)_{0 \le i \le k}$  at the triangulation  $T_j$  and invoke Lemma 2.5 for each of the resulting portions. Doing so, we find that either  $\alpha_{q-1}$  and  $\alpha_q$  are both incident to exactly three flips along this geodesic, or one of these arcs is incident to at least four flips along it.

These two cases will be reviewed separately.

First assume that  $\alpha_s$  is incident to at least four flips along  $(T_i)_{0 \le i \le k}$ , where *s* is either q - 1 or *q*. In this case, Theorem 2.4 yields

$$d(A_n^-, A_n^+) \ge d(A_n^- ||s, A_n^+ ||s) + 4.$$
(4.5)

Observe that the arc  $\alpha_r$  is not incident to the same triangle in  $A_n^- \backslash s$  and in  $A_n^+ \backslash s$  (because of the placement of the boundary loop in the two triangulations). Hence, some flip must be incident to this arc along any geodesic between  $A_n^- \backslash s$  and  $A_n^+ \backslash s$ .

Invoking Theorem 2.4 again, we find

$$d(A_n^{-} \backslash \! \backslash s, A_n^{+} \backslash \! \backslash s) \ge d(A_n^{-} \backslash \! \backslash s \backslash \! \backslash r, A_n^{+} \backslash \! \backslash s \backslash \! \backslash r) + 1.$$

$$(4.6)$$

As  $A_n^{-} \setminus s \setminus r$  and  $A_n^{+} \setminus s \setminus r$  are isomorphic to  $A_{n-2}^{-}$  and  $A_{n-2}^{+}$  by the same vertex relabeling, the desired result is obtained by combining (4.5) and (4.6).

Now assume that  $\alpha_{q-1}$  and  $\alpha_q$  are both incident to exactly three flips along  $(T_i)_{0 \le i \le k}$ . Note that at least one of the first *j* flips and at least one of the last k - j flips along  $(T_i)_{0 \le i \le k}$  are incident to either  $\alpha_{q-1}$  or  $\alpha_q$  (because  $A_n^-$  and  $A_n^+$  do not have an ear at  $a_q$ , while  $T_j$  does). We can assume without loss of generality that exactly one of the first *j* flips and two of the last k - j flips along  $(T_i)_{0 \le i \le k}$  are incident to  $\alpha_q$  by, if needed, reversing the geodesic  $(T_i)_{0 \le i \le k}$  (this is possible thanks to the symmetry between  $A_n^-$  and  $A_n^+$ ). In this case, according to Lemma 2.5, exactly two of the first *j* flips and exactly one of the last k - j flips along this geodesic are incident to  $\alpha_{q-1}$ .

It can further be assumed without loss of generality that the *j*-th flip along  $(T_i)_{0 \le i \le k}$ is the one that introduces the ear at  $a_q$ . This flip is therefore incident to  $\alpha_q$ , and since there is only one such flip along  $(T_i)_{0 \le i \le j}$ , it must be as shown on the right of Fig. 20. Note that it is also incident to  $\alpha_{q-1}$ . Now consider the triangle incident to  $\alpha_{q-1}$  when this flip is performed, labeled *t* in the figure. This triangle must be introduced by the first flip incident to  $\alpha_{q-1}$ , earlier along the geodesic. Say this flip is the *l*-th one along the geodesic. It must be as shown in the middle of Fig. 20.

Consider a geodesic  $(T'_i)_{0 \le i \le k'}$  from  $A_n^- \setminus q$  to  $T_l \setminus q$ , and a geodesic  $(T''_i)_{0 \le i \le k''}$  from  $T_l \setminus q$  to  $A_n^+ \setminus q$ . Since three flips are incident to  $\alpha_q$  along  $(T_i)_{0 \le i \le k}$ , splitting  $(T_i)_{0 \le i \le k}$  at the triangulation  $T_i$  and invoking Theorem 2.4 for each of the resulting portions yields

$$k' + k'' \le d(A_n^-, A_n^+) - 3.$$
(4.7)

Observe that the triangles incident to  $\alpha_r$  in  $A_n^- \setminus q$  and in  $T_l \setminus q$  are distinct (see Fig. 20). Hence, at least one flip is incident to  $\alpha_r$  along  $(T_i')_{0 \le i \le k'}$ , and by Theorem 2.4,

$$k' \ge d(A_n^{-} \backslash \langle q \rangle \langle r, T_l \rangle \langle q \rangle \rangle + 1.$$
(4.8)



**Fig. 20.** The portion of either  $T^-$  or  $T^+$  next to the vertex  $a_q$  (left), the *l*-th flip along the geodesic used in the proof of Lemma 4.4 (middle), and the *j*-th flip along this geodesic (right). The arc introduced by each flip is dotted.

Similarly, the triangles incident to  $\alpha_r$  in  $T_l \setminus q$  and in  $A_n^+ \setminus q$  are distinct. Hence, at least one flip is incident to  $\alpha_r$  along  $(T_i'')_{0 < i < k''}$ , and by Theorem 2.4,

$$k'' \ge d(T_l \backslash \langle q \rangle \langle r, A_n^+ \rangle \langle q \rangle \langle r) + 1.$$

$$(4.9)$$

By the triangle inequality, (4.8) and (4.9) yield

$$k' + k'' \ge d(A_n^{-} \backslash \langle q \rangle \langle r, A_n^{+} \rangle \langle q \rangle \rangle + 2.$$

$$(4.10)$$

Since  $A_n^{-} \setminus q \setminus r$  and  $A_n^{+} \setminus q \setminus r$  are isomorphic to  $A_{n-2}^{-}$  and  $A_{n-2}^{+}$  by the same vertex relabeling, the desired inequality is obtained by combining (4.7) and (4.10).

We are now ready to establish the announced inequality.

**Theorem 4.5.** For every n > 2,

$$d(A_n^-, A_n^+) \ge \min \left( d(A_{n-1}^-, A_{n-1}^+) + 3, d(A_{n-2}^-, A_{n-2}^+) + 5 \right).$$

*Proof.* Assume that  $n \ge 3$  and consider a geodesic  $(T_i)_{0 \le i \le k}$  from  $A_n^-$  to  $A_n^+$ . If at least three flips are incident to  $\alpha_n$  along it, then Theorem 2.4 yields

$$d(A_n^-, A_n^+) \ge d(A_{n-1}^-, A_{n-1}^+) + 3.$$

Indeed, as mentioned above,  $A_n^- \backslash n$  and  $A_n^+ \backslash n$  are isomorphic to  $A_{n-1}^-$  and  $A_{n-1}^+$ , respectively, via the same vertex relabeling. Therefore in this case, the desired result holds. So we can assume in the remainder of the proof that at most two flips are incident to  $\alpha_n$  along  $(T_i)_{0 \le i \le k}$ . Further assume that the first flip incident to  $\alpha_n$  along this geodesic is the *j*-th one. We review three cases, depending on which arc is introduced by this flip.

First assume that the *j*-th flip introduces an arc with vertices  $a_0$  and  $a_n$ . This flip must be the one depicted on the left of Fig. 17. Consider a geodesic  $(T'_i)_{0 \le i \le k'}$  from  $A_n^- \setminus 1$  to  $T_j \setminus 1$ , and a geodesic  $(T''_i)_{0 \le i \le k''}$  from  $T_j \setminus 1$  to  $A_n^+ \setminus 1$ . According to Lemma 4.1 and Theorem 2.4, the following inequality holds:

$$k' + k'' \le d(A_n^-, A_n^+) - 3. \tag{4.11}$$

As portrayed on the left of Fig. 17, the triangles incident to  $\alpha_n$  in  $A_n^- \setminus 1$ ,  $T_j \setminus 1$ , and  $A_n^+ \setminus 1$  are pairwise distinct. As a consequence, at least one flip must be incident to  $\alpha_n$  along each of the geodesics  $(T'_i)_{0 \le i \le k'}$  and  $(T''_i)_{j \le i \le k''}$ .

In this case, Theorem 2.4 yields

$$k' \ge d(A_n^{-} \setminus 1 \setminus n, T_j \setminus 1 \setminus n) + 1$$
 and  $k'' \ge d(T_j \setminus 1 \setminus n, A_n^{+} \setminus 1 \setminus n) + 1.$ 

By the triangle inequality, one obtains

$$k' + k'' \ge d(A_n^{-} \backslash \backslash 1 \backslash \backslash n, A_n^{+} \backslash \backslash 1 \backslash \backslash n) + 2.$$

$$(4.12)$$

Since  $A_n^{-} \setminus 1 \setminus n$  and  $A_n^{+} \setminus 1 \setminus n$  are isomorphic to  $A_{n-2}^{-}$  and  $A_{n-2}^{+}$  via the same vertex relabeling, the desired result follows from inequalities (4.11) and (4.12).

Now assume that the *j*-th flip introduces an arc with vertices  $a_1$  and  $a_2$ . It follows from Lemma 4.1 and Theorem 2.4 that

$$d(A_n^-, A_n^+) \ge d(A_n^- \backslash \! \backslash 1, A_n^+ \backslash \! \backslash 1) + 4.$$
(4.13)

Observe that the arc  $\alpha_{n-1}$  is not incident to the same triangle in  $A_n^- \setminus 1$  and in  $A_n^+ \setminus 1$ . Therefore, there must be at least one flip incident to  $\alpha_{n-1}$  along any geodesic between these triangulations, and by Theorem 2.4,

$$d(A_n^{-} \backslash \backslash 1, A_n^{+} \rangle \backslash 1) \ge d(A_n^{-} \backslash \backslash 1 \backslash \backslash n - 1, A_n^{+} \backslash \backslash 1 \backslash \backslash n - 1) + 1.$$

$$(4.14)$$

As  $A_n^{-} \setminus 1 \setminus n - 1$  and  $A_n^{+} \setminus 1 \setminus n - 1$  are isomorphic to  $A_{n-2}^{-}$  and  $A_{n-2}^{+}$  via the same vertex relabeling, the result is obtained by combining (4.13) and (4.14).

Finally, if the *j*-th flip introduces an arc with vertices  $a_1$  and  $a_p$ , where 2 , then <math>n > 3 and the result follows from Lemmas 4.3 and 4.4.

We can conclude the following.

**Theorem 4.6.** The diameter of  $\mathcal{MF}(\Gamma_n)$  is  $\lfloor 5n/2 \rfloor - 2$  for all  $n \ge 1$ .

*Proof.* Since  $\Gamma_1$  has a unique triangulation up to homeomorphism,  $\mathcal{MF}(\Gamma_1)$  has diameter 0. Moreover, as can be seen in Fig. 5,  $\mathcal{MF}(\Gamma_2)$  has diameter 3. The inequality

$$\operatorname{diam}(\mathcal{MF}(\Gamma_n)) \ge \lfloor 5n/2 \rfloor - 2$$

therefore follows by induction from Theorem 4.5. Combining this inequality with the upper bound provided by Theorem 3.4 completes the proof.  $\Box$ 

#### 5. Lower bounds for $\Pi$

We now turn our attention to the triangulations of  $\Pi$ , the filling surface of genus 0, with two marked boundary loops in addition to the privileged boundary, and no marked or un-

marked point in its interior. We shall build two triangulations  $B_n^-$  and  $B_n^+$  in  $\mathcal{MF}(\Pi_n)$  whose flip distance is  $3n + K_{\Pi}$ , where  $K_{\Pi}$  does not depend on *n*.

First assume that n > 2. Recall that  $A_n^-$  has an ear at the vertex  $a_{\lfloor n/2 \rfloor + 1}$  (see Fig. 16). One can transform  $A_n^-$  into a triangulation that belongs to  $\mathcal{MF}(\Pi_n)$  by placing a boundary loop  $\alpha_+$  with a vertex  $a_+$  in this ear and by re-triangulating the pierced ear around the boundary loop as shown in the top row of Fig. 21 (where  $a_+$  is labeled with a +). The vertex  $a_0$  and the arc  $\alpha_0$  will be relabeled  $a_-$  and  $\alpha_-$  (and marked with a – in the figure). The resulting triangulation will be called  $B_n^-$ .



**Fig. 21.** The triangulations  $B_n^-$  (top row) and  $B_n^+$  (bottom row) depicted when *n* is even (left) and odd (right). The vertices  $a_-$  and  $a_+$  are labeled - and +, respectively.

Similarly, consider the ear of  $A_n^+$  at  $a_1$ . One can obtain a triangulation that belongs to  $\mathcal{MF}(\Pi_n)$  by placing a boundary loop  $\alpha_+$  with a vertex  $a_+$  in this ear and by retriangulating the pierced ear as shown in the bottom row of Fig. 21, where  $a_+$  is labeled with a +. The resulting triangulation, wherein the vertex  $a_0$  and the boundary arc  $\alpha_0$  have been respectively relabeled  $a_-$  and  $\alpha_-$ , will be called  $B_n^+$ .

When  $1 \le n \le 2$ ,  $B_n^-$  and  $B_n^+$  will be the triangulations in  $\mathcal{MF}(\Pi_n)$  depicted in Fig. 22. Most of the section is devoted to proving that when  $n \ge 3$ ,

$$d(B_n^-, B_n^+) \ge \min \left( d(B_{n-1}^-, B_{n-1}^+) + 3, d(B_{n-2}^-, B_{n-2}^+) + 6 \right).$$
(5.1)

The proof consists in finding a geodesic between  $B_n^-$  and  $B_n^+$  within which at least a certain number of flips (typically three) are incident to given arcs, and invoking Theorem 2.4 with well chosen vertex deletions. These deletions will be the same as in the case of the triangulations  $A_n^-$  and  $A_n^+$ . Indeed, when  $n \ge 2$ , the same vertex relabeling sends  $B_n^- \backslash n$  and  $B_n^+ \backslash n$  to  $B_{n-1}^-$  and  $B_{n-1}^+$ , respectively. Moreover, if  $n \ge 3$  and if *i* and *j* are two integers such that  $1 \le i < n$  and  $j \in \{n-i, n-i+1\}$ , then another vertex relabeling sends  $B_n^- \backslash i \land j$  and  $B_n^+ \backslash i \land j$  to  $B_{n-2}^-$  and  $B_{n-2}^+$ , respectively.



**Fig. 22.** The triangulations  $B_n^-$  (top row) and  $B_n^+$  (bottom row) depicted when n = 1 (left) and when n = 2 (right). The vertices  $a_-$  and  $a_+$  are labeled - and +, respectively.

#### 5.1. When an ear is found along a geodesic

In this subsection, we consider the geodesics between  $B_n^-$  and  $B_n^+$  along which some triangulation has an ear. Ears at  $a_1$  and at  $a_n$  are first reviewed separately. The following lemma deals with the case of an ear at  $a_1$ . Note that, by symmetry, this also settles the case of an ear at  $a_{\lfloor n/2 \rfloor + 1}$ .

**Lemma 5.1.** Assume that  $n \ge 2$  and consider a geodesic  $(T_i)_{0 \le i \le k}$  between  $B_n^-$  and  $B_n^+$ . *If there exists*  $j \in \{0, ..., k\}$  such that  $T_j$  has an ear at  $a_1$ , then

$$d(B_n^-, B_n^+) \ge d(B_{n-1}^-, B_{n-1}^+) + 4$$

*Proof.* Assume that  $T_j$  has an ear at  $a_1$  for some integer  $j \in \{0, ..., k\}$ . Call this ear t, and let  $t^-$  be the triangle incident to  $\alpha_n$  in  $B_n^-$ . At least two of the first j flips along  $(T_i)_{0 \le i \le k}$  must be incident to  $\alpha_n$ . Indeed, the unique such flip would otherwise replace the triangle  $t^-$  by t. This flip would then simultaneously remove two edges of  $t^-$  (see the sketch of  $B_n^-$  on the left of Fig. 21), which is impossible. By symmetry, at least two of the last k - j flips along the path  $(T_i)_{0 \le i \le k}$  must be incident to  $\alpha_n$ . Hence, there are at least four such flips along  $(T_i)_{0 \le i \le k}$ , and Theorem 2.4 yields

$$d(B_n^-, B_n^+) \ge d(B_n^- \backslash \langle n, B_n^+ \rangle \langle n \rangle) + 4.$$

Since an isomorphism sends  $B_n^- \setminus n$  and  $B_n^+ \setminus n$  to  $B_{n-1}^-$  and  $B_{n-1}^+$  via the same vertex relabeling, the lemma is proven.

The next lemma deals with the case of an ear at  $a_n$ . By symmetry this also settles the case of an ear at  $a_{n/2}$  when *n* is even and at  $a_{\lceil n/2 \rceil+1}$  when *n* is odd.

**Lemma 5.2.** Assume that  $n \ge 3$  and consider a geodesic  $(T_i)_{0 \le i \le k}$  between  $B_n^-$  and  $B_n^+$ . *If there exists*  $j \in \{0, ..., k\}$  such that  $T_j$  has an ear at  $a_n$ , then

$$d(B_n^-, B_n^+) \ge \min(d(B_{n-1}^-, B_{n-1}^+) + 3, d(B_{n-2}^-, B_{n-2}^+) + 6).$$

*Proof.* Assume that  $T_j$  has an ear at  $a_n$  for some  $j \in \{0, ..., k\}$ . One can see in Fig. 21 that the triangles of  $B_n^-$  incident to the arcs  $\alpha_{n-1}$  and  $\alpha_n$  do not have a common edge. Therefore, it follows from Lemma 2.5 that at least two of the first j flips along  $(T_i)_{0 \le i \le k}$  are incident to the arc  $\alpha_r$  for some  $r \in \{n - 1, n\}$ . Similarly, the triangles of  $B_n^+$  incident to the arcs  $\alpha_{n-1}$  and  $\alpha_n$  do not have a common edge, and according to the same lemma, at least two of the last k - j flips along  $(T_i)_{0 \le i \le k}$  are incident to  $\alpha_s$  for some  $s \in \{n - 1, n\}$ .

Since the triangles incident to  $\alpha_n$  in  $B_n^-$  and in  $B_n^+$  are distinct from the ear at  $a_n$ , at least one of the first *j* flips and at least one of the last k - j flips along  $(T_i)_{0 \le i \le k}$  are incident to  $\alpha_n$ . Hence, if *r* or *s* is equal to *n*, then at least three flips along this geodesic are incident to  $\alpha_n$ . In this case, the desired result follows from Theorem 2.4 because  $B_n^- \backslash n$  and  $B_n^+ \backslash n$  are isomorphic to  $B_{n-1}^-$  and  $B_{n-1}^+$ , respectively, via the same vertex relabeling.

Now assume that *r* and *s* are both equal to n - 1. In this case, at least four flips along the path  $(T_i)_{0 \le i \le k}$  are incident to  $\alpha_{n-1}$ , and Theorem 2.4 yields

$$d(B_n^-, B_n^+) \ge d(B_n^- \backslash \! \backslash n - 1, B_n^+ \backslash \! \backslash n - 1) + 4.$$
(5.2)

Denote by  $t^-$  and  $t^+$  the triangles incident to the arc  $\alpha_1$  in  $B_n^- \backslash n-1$  and in  $B_n^+ \backslash n-1$ , respectively. One can see using Fig. 21 that these two triangles separate the two boundary loops in opposite ways. As shown in Fig. 23, a single flip cannot exchange  $t^-$  and  $t^+$ . Hence, at least two flips are incident to  $\alpha_1$  along any geodesic between  $B_n^- \backslash n-1$  and  $B_n^+ \backslash n-1$ , and according to Theorem 2.4,

$$d(B_n^{-} \backslash n-1, B_n^{+} \backslash n-1) \ge d(B_n^{-} \backslash n-1 \backslash 1, B_n^{+} \backslash n-1 \backslash 1) + 2.$$

$$(5.3)$$



**Fig. 23.** No flip can replace the triangle  $t^-$  (solid lines) by the triangle  $t^+$  (dotted lines) because such a flip would simultaneously remove two edges of  $t^-$ .

Since  $B_n^{-}(n-1)(1 \text{ and } B_n^{+}(n-1)(1 \text{ are isomorphic to } B_{n-2}^{-} \text{ and } B_{n-2}^{+})$ , respectively, via the same vertex relabeling, combining (5.2) with (5.3) completes the proof.

When  $n \ge 3$ , the last two lemmas can be generalized to any ear placement as follows. Note that the proof of this theorem is similar to that of Lemma 4.4.

**Theorem 5.3.** Assume that  $n \ge 3$  and consider a geodesic  $(T_i)_{0\le i\le k}$  between  $B_n^-$  and  $B_n^+$ . If there exists  $j \in \{0, ..., k\}$  such that  $T_i$  has an ear, then

$$d(B_n^-, B_n^+) \ge \min(d(B_{n-1}^-, B_{n-1}^+) + 3, d(B_{n-2}^-, B_{n-2}^+) + 6)$$

*Proof.* Assume that  $T_j$  has an ear at  $a_q$  for some  $j \in \{0, ..., k\}$  and  $q \in \{1, ..., n\}$ . If  $q \in \{1, n\}$ , then the desired result follows from Lemma 5.1 or Lemma 5.2. Similarly, if  $q \in \{\lceil n/2 \rceil, \lceil n/2 \rceil + 1\}$ , these two lemmas also provide the desired result because of the symmetries of  $B_n^-$  and  $B_n^+$ . For the remainder of the proof, we may thus assume that q is distinct from 1,  $\lceil n/2 \rceil, \lceil n/2 \rceil + 1$ , and n.

Denote r = n - q + 1. The portion of the triangulation  $B_n^-$  placed between the edges  $\alpha_{q-1}, \alpha_q$ , and  $\alpha_r$  is depicted on the left of Figure 24. Note that if one splits the geodesic  $(T_i)_{0 \le i \le k}$  at the triangulation  $T_j$ , then Lemma 2.5 can be invoked for each of the resulting portions. Doing so, we find that either  $\alpha_{q-1}$  and  $\alpha_q$  are both incident to exactly three flips along this geodesic, or one of these arcs is incident to at least four flips along it.



**Fig. 24.** The portion of the triangulation  $B_n^-$  placed between the arcs  $\alpha_{q-1}$ ,  $\alpha_q$ , and  $\alpha_r$  (left), the *l*-th flip along the geodesic used in the proof of Theorem 5.3 (middle), and the *j*-th flip along this geodesic (right). The arc introduced by each flip is dotted.

First assume that at least four flips are incident to  $\alpha_s$  along  $(T_i)_{0 \le i \le k}$ , where *s* is q-1 or *q*. Denote by  $t^-$  and  $t^+$  the triangles incident to  $\alpha_r$  in  $B_n^- \backslash s$  and in  $B_n^+ \backslash s$ , respectively. Using Fig. 21, one can see that these two triangles separate the two boundary loops in opposite ways. As shown in Fig. 23, a single flip cannot exchange  $t^-$  and  $t^+$ . Hence, at least two flips are incident to  $\alpha_r$  along any geodesic between  $B_n^- \backslash s$  and  $B_n^+ \backslash s$ , and invoking Theorem 2.4 twice yields

$$d(B_n^-, B_n^+) \ge d(B_n^- \backslash \! \backslash s \backslash \! \backslash r, B_n^+ \backslash \! \backslash s \backslash \! \backslash r) + 6.$$

Since  $B_n^- \setminus s \setminus r$  and  $B_n^+ \setminus s \setminus r$  are isomorphic to  $B_{n-2}^-$  and  $B_{n-2}^+$ , respectively, via the same vertex relabeling, the theorem is proven in this case.

Now assume that  $\alpha_{q-1}$  and  $\alpha_q$  are both incident to exactly three flips along  $(T_i)_{0 \le i \le k}$ . Note that at least one of the first *j* flips and at least one of the last k - j flips along  $(T_i)_{0 \le i \le k}$  must be incident to each of these arcs because  $B_n^-$  and  $B_n^+$  do not have an ear at  $a_q$ . Thanks to the symmetry between  $B_n^-$  and  $B_n^+$ , one can assume without loss of generality that exactly one of the first *j* flips and two of the last k - j flips along  $(T_i)_{0 \le i \le k}$  are incident to  $\alpha_q$ , by reversing  $(T_i)_{0 \le i \le k}$  if needed. Then, by Lemma 2.5, exactly two of the first *j* flips and exactly one of the last k - j flips along  $(T_i)_{0 \le i \le k}$  are incident to  $\alpha_{q-1}$ .

Without loss of generality, we may assume that the *j*-th flip along  $(T_i)_{0 \le i \le k}$  introduces the ear at  $a_q$ . This flip is then both the first flip incident to  $\alpha_q$  and the second flip incident to  $\alpha_{q-1}$  along the geodesic. In particular, it must replace the triangle of  $B_n^-$  incident to  $\alpha_q$  by the ear at  $a_q$ , as shown on the right of Fig. 24. Now assume that the first flip

incident to  $\alpha_{q-1}$  along  $(T_i)_{0 \le i \le k}$  is the *l*-th one. Since there is no other such flip among the first j - 1 flips along the geodesic, it must be as shown in the middle of Fig. 24.

Consider a geodesic  $(T'_i)_{0 \le i \le k'}$  from  $B_n^- \backslash q$  to  $T_l \backslash q$ , and a geodesic  $(T''_i)_{0 \le i \le k''}$  from  $T_l \backslash q$  to  $B_n^+ \backslash q$ . Since three flips are incident to  $\alpha_q$  along  $(T_i)_{0 \le i \le k}$ , Theorem 2.4 yields

$$k' + k'' \le d(B_n^-, B_n^+) - 3.$$
(5.4)

Observe that the triangles incident to  $\alpha_r$  in  $B_n^{-} \setminus q$  and in  $T_l \setminus q$  are distinct. Hence, at least one flip is incident to  $\alpha_r$  along  $(T'_i)_{0 \le i \le k'}$ , and by Theorem 2.4,

$$k' \ge d(B_n^{-} \backslash \langle q \rangle \langle r, T_l \rangle \langle q \rangle \rangle + 1.$$
(5.5)

Now denote by  $t^-$  and  $t^+$  the triangles incident to the arc  $\alpha_r$  in  $T_l \setminus q$  and  $B_n^+ \setminus q$ , respectively. By construction,  $t^-$  and  $t^+$  separate the two boundary loops in opposite ways. As shown in Fig. 23, a single flip cannot exchange  $t^-$  and  $t^+$ . Hence, at least two flips are incident to  $\alpha_r$  along  $(T_i'')_{j \le i \le k''}$ , and Theorem 2.4 yields

$$k'' \ge d(T_l \backslash \langle q \rangle \langle r, B_n^+ \rangle \langle q \rangle \langle r) + 2.$$
(5.6)

By the triangle inequality, (5.5) and (5.6) yield

$$k' + k'' \ge d(B_n^{-} \backslash \langle q \rangle \langle r, B_n^{+} \rangle \langle q \rangle \langle r) + 3.$$
(5.7)

Since  $B_n^{-} \setminus q \setminus r$  and  $B_n^{+} \setminus q \setminus r$  are isomorphic to  $B_{n-2}^{-}$  and  $B_{n-2}^{+}$  by the same vertex relabeling, the desired inequality is obtained by combining (5.4) and (5.7).

#### 5.2. When no ear is found along a geodesic

We call a geodesic between  $B_n^-$  and  $B_n^+$  earless if none of the triangulations along this geodesic has an ear. We will first show that under mild conditions, one always finds two particular triangulations along any such geodesics. These triangulations are sketched in Fig. 25. The triangulation shown in the top row will be called  $C_n^-(p)$ , where  $a_p$  is the privileged boundary vertex of the triangle of  $C_n^-(p)$  incident to the boundary loop  $\alpha_+$ . Further note that  $C_n^-(p)$  is sketched separately when  $p > \lceil n/2 \rceil$  (left) and when  $p \le \lceil n/2 \rceil$  (right). The triangulation shown in the bottom row of Fig. 25, called  $C_n^+(p)$ , has a similar structure, but the boundary loop  $\alpha_-$  is placed in a different way.

Observe that the triangulations  $C_n^-(p)$  and  $C_n^+(p)$  do not have an ear. In fact, if at most two flips are incident to either  $\alpha_n$  and  $\alpha_{\lceil n/2\rceil}$  along an earless geodesic between  $B_n^-$  and  $B_n^+$ , then these two triangulations are necessarily both found along this geodesic for appropriate values of p. In order to prove this, the following lemma is needed:

**Lemma 5.4.** Let n > 2. If at most two flips are incident to  $\alpha_n$  along an earless geodesic from  $B_n^-$  to  $B_n^+$ , then the first flip incident to  $\alpha_n$  along this geodesic either introduces an arc with vertices  $a_-$  and  $a_n$ , or an arc with vertices  $a_1$  and  $a_+$ .



**Fig. 25.** A sketch of  $C_n^-(p)$  (top) and  $C_n^+(p)$  (bottom) when  $p > \lceil n/2 \rceil$  (left) and when  $p \le \lceil n/2 \rceil$  (right). Not all the interior edges of these triangulations are shown. The omitted edges connect privileged boundary vertices to  $a_+$ .



**Fig. 26.** The *j*-th flip along the geodesic  $(T_i)_{0 \le i \le k}$  used in the proof of Lemma 5.4. The arc introduced by this flip (dotted) has vertices  $a_-$  and  $a_n$  (left), or vertices  $a_1$  and  $a_p$  with  $2 \le p < n$  (middle and right).

*Proof.* Consider a geodesic  $(T_i)_{0 \le i \le k}$  from  $B_n^-$  to  $B_n^+$  and assume that at most two flips are incident to  $\alpha_n$  along it. Further assume that the first flip incident to  $\alpha_n$  along this geodesic is the *j*-th one. If this flip removes the loop edge of  $B_n^-$  at the vertex  $a_1$ , then it introduces the arc with vertices  $a_-$  shown on the left of Fig. 26, and the desired result holds. It is therefore assumed in the remainder of the proof that this flip removes the interior arc of  $B_n^-$  with vertices  $a_1$  and  $a_n$ . The introduced arc is incident to  $a_1$  and its other vertex is either  $a_+$  or  $a_p$  where 1 . We will use an indirect argument. $Assume that the introduced arc is incident to <math>a_p$  where 1 . One can see in the $middle of Fig. 26 that, in this case, <math>T_j$  induces a triangulation U in the portion  $\Sigma$  of  $\Pi_n$ bounded by the dotted arc and by the arcs  $\alpha_p, \ldots, \alpha_n$ . This triangulation cannot be a triangulation of a disk. Indeed, otherwise, one of the ears of U would be an ear of  $T_j$ . This shows that the boundary loop with vertex  $a_+$  must be a boundary of  $\Sigma$ . In this case, the *j*-th flip along  $(T_i)_{0 \le i \le k}$  must be the one shown on the right of Fig. 26. Indeed,  $T_j$  would otherwise induce a triangulation of a disk in the portion  $\Pi_n$  bounded by the arcs  $\alpha_1, \ldots, \alpha_{p-1}$  and by the interior arc with vertices  $a_1$  and  $a_p$  shown in the middle of the figure as a solid line. This triangulation would then share one of its ears with  $T_i$ .

Now, let  $t^-$  and  $t^+$  be the triangles incident to  $\alpha_n$  in  $T_j$  and  $B_n^+$ , respectively. As the *j*-th flip along  $(T_i)_{0 \le i \le k}$  is the one shown on the right of Fig. 26,  $t^-$  and  $t^+$  separate the two boundary loops in opposite ways. As shown in Fig. 23, a single flip cannot exchange these triangles. Hence, at least two of the last k - j flips must be incident to  $\alpha_n$  along  $(T_i)_{0 \le i \le k}$ , and at least three such flips are found along this geodesic, a contradiction.

**Lemma 5.5.** Let n > 2. If both  $\alpha_n$  and  $\alpha_{\lceil n/2 \rceil}$  are incident to at most two flips along an earless geodesic from  $B_n^-$  to  $B_n^+$ , then there exist a geodesic  $(T_i)_{0 \le i \le k}$  and integers  $p^-$ ,  $p^+$ ,  $j^-$ , and  $j^+$  such that  $j^- < j^+$ , and the triangulations  $T_{j^-}$  and  $T_{j^+}$  are equal to  $C_n^-(p^-)$  and  $C_n^+(p^+)$ , respectively.

*Proof.* Assume that  $\alpha_n$  and  $\alpha_{\lceil n/2 \rceil}$  are each incident to at most two flips along an earless geodesic  $(T_i)_{0 \le i \le k}$  from  $B_n^-$  to  $B_n^+$ . In this case, these arcs are each incident to exactly two flips along this geodesic. Indeed, otherwise the unique such flip would remove two arcs simultaneously, as shown in Fig. 23. Assume that the first flip incident to  $\alpha_n$  along  $(T_i)_{0 \le i \le k}$  is the  $j^-$ -th one. Denote by  $t^-$  the triangle of  $T_{j^-}$  incident to  $\alpha_n$ . From now on,  $t^-$  remains incident to  $\alpha_n$  in the triangulations along the geodesic until the second flip incident to  $\alpha_n$  removes it. Moreover, according to Lemma 5.4, the vertices of  $t^-$  are  $a_1, a_n$ , and either  $a_-$  or  $a_+$ . Thanks to the symmetries of  $B_n^-$  and  $B_n^+$ , one can assume that this vertex is  $a_+$ . Indeed, if  $a_-$  is a vertex of  $t^-$ , then exchanging the labels of  $a_-$  and  $a_+$  and reversing the direction of the geodesic  $(T_i)_{0 \le i \le k}$  results in a geodesic from  $B_n^-$  to  $B_n^+$  whose first flip incident to  $\alpha_n$  introduces an arc with vertices  $a_1$  and  $a_+$ .

In particular,  $T_{j^-}$  must contain all the arcs of  $C_n^-(p)$  shown as solid lines at the top of Fig. 25, except possibly for the edges of the triangles incident to  $\alpha_{\lceil n/2 \rceil}$  and  $\alpha_+$ . However, since  $T_{j^-}$  does not have an ear, all its other interior arcs must connect the privileged boundary vertices to  $a_+$ . In particular  $T_{j^-}$  is necessarily equal to  $C_n^-(p^-)$ , where  $a_{p^-}$  is the privileged boundary vertex of the triangle incident to  $\alpha_+$  in  $T_{j^-}$ .

Now consider the triangle  $t^+$  incident to  $\alpha_{\lceil n/2\rceil}$  in  $T_{j^-}$ , i.e., in  $C_n^-(p^-)$ . The vertices of  $t^+$  are  $a_{\lceil n/2\rceil}$ ,  $a_{\lceil n/2\rceil+1}$ , and  $a_+$ , as shown at the top of Fig. 25. This triangle must be introduced by the first flip incident to  $\alpha_{\lceil n/2\rceil}$  along  $(T_i)_{0 \le i \le k}$ , and removed by the second flip incident to  $\alpha_{\lceil n/2\rceil}$  along this geodesic. Say  $j^+$  is the index such that the latter flip transforms  $T_{j^+}$  into  $T_{j^++1}$ . It turns out that  $t^-$  must still be a triangle of  $T_{j^+}$ . Indeed, otherwise the triangle of  $B_n^+$  incident to  $\alpha_n$  would already be a triangle of  $T_{j^+}$ , which is impossible because it intersects the interior of  $t^+$ . By this argument,  $t^-$  and  $t^+$  are not affected by a flip between  $T_j^-$  and  $T_j^+$ . Therefore, along this portion of the geodesic,  $\alpha_$ must remain in the subsurface  $\Sigma$  of  $\Pi_n$  bounded by the arcs  $\alpha_1$  to  $\alpha_{\lceil n/2\rceil-1}$  and by the edges of  $t^-$  and  $t^+$  not incident to  $a_n$  and to  $a_{\lceil n/2\rceil+1}$ , respectively.

Now recall that the flip that transforms  $T_{j^+}$  into  $T_{j^++1}$  replaces  $t^+$  by the triangle incident to  $\alpha_{\lceil n/2\rceil}$  in  $B_n^+$ . It follows that the triangle of  $T_{j^+}$  incident to the boundary loop  $\alpha_-$  must already be the same as in  $B_n^+$ , and its privileged boundary vertex is either  $a_{\lceil n/2\rceil}$  or  $a_{\lceil n/2\rceil+1}$  depending on the parity of *n*. By the argument in the last paragraph, this triangle is contained in  $\Sigma$ , and therefore must be incident to  $a_{\lceil n/2\rceil}$  because  $\Sigma$  does not contain  $a_{\lceil n/2\rceil+1}$ . In particular,  $T_{j^+}$  necessarily contains all the arcs of  $C_n^+(p)$  shown as solid lines at the bottom of Fig. 25, except possibly for the edges of the triangles incident to  $\alpha_n$  and  $\alpha_+$ . However,  $T_{j^+}$  does not have an ear, and as a consequence it coincides with  $C_n^+(p^+)$ , where  $a_{p^+}$  is the privileged boundary vertex of the triangle incident to  $\alpha_+$ .  $\Box$ 

**Lemma 5.6.** Let n > 2. Consider an integer p such that  $2 \le p \le n$ . If at most one flip is incident to  $\alpha_1$  along some geodesic between  $C_n^+(p)$  and  $B_n^+$ , then at least two flips are incident to  $\alpha_n$  along this geodesic.

*Proof.* Consider a geodesic  $(T_i)_{0 \le i \le k}$  from  $B_n^+$  to  $C_n^+(p)$  and assume that at most one flip along this geodesic is incident to the arc  $\alpha_1$ . In this case, there is exactly one such flip, say the *j*-th one. Denote by  $\beta$  the interior arc of  $B_n^+$  with vertices  $a_1$  and  $a_n$ . This arc belongs to  $T_0, \ldots, T_{j-1}$  and it is removed by the flip that transforms  $T_{j-1}$  into  $T_j$ . More precisely, this flip replaces  $\beta$  by an arc with vertices  $a_2$  and  $a_+$ . There are exactly two ways to do so, shown in the middle and on the right of Fig. 27.



**Fig. 27.** A sketch of  $B_n^+$  (left) and the two possibilities for the *j*-th flip along the geodesic  $(T_i)_{0 \le i \le k}$  in the proof of Lemma 5.6 (middle and right), where the arc introduced is dotted.

If the *j*-th flip along  $(T_i)_{0 \le i \le k}$  is the one shown in the middle of Fig. 27, then at least two flips must have been performed within the portion  $\Sigma$  of  $\Pi_n$  bounded by  $\beta$  and  $\alpha_n$  earlier along the path (see  $\mathcal{MF}(\Gamma_2)$  in Fig. 5). By Proposition 2.2, these two flips are incident to  $\alpha_n$  and the desired result holds.

If the *j*-th flip along  $(T_i)_{0 \le i \le k}$  is the one shown on the right of Fig. 27, then at least one of the earlier flips along the path modifies the triangulation within  $\Sigma$ . By Proposition 2.2, this flip is incident to  $\alpha_n$ . One can see on the right of Fig. 27 that the triangles incident to  $\alpha_1$  and  $\alpha_n$  in  $T_j$  have no common edge. However, since *p* is not equal to 1, the triangles incident to these arcs in  $C_n^+(p)$  share an edge. Hence, at least one of the last k - j flips along  $(T_i)_{0 \le i \le k}$  must be incident to  $\alpha_n$ , thereby proving that there are at least two such flips along the geodesic.

**Lemma 5.7.** Let n > 2. If no flip is incident to  $\alpha_n$  along a geodesic between  $C_n^-(\lceil n/2 \rceil)$  and  $C_n^+(1)$ , then at least two of its flips are incident to  $\alpha_1$ .

*Proof.* Assume that no flip is incident to  $\alpha_n$  along a geodesic between  $C_n^-(\lceil n/2 \rceil)$  and  $C_n^+(1)$ . The triangles incident to  $\alpha_1$  in  $C_n^-(\lceil n/2 \rceil)$  and  $C_n^+(1)$  are depicted in Fig. 28,



**Fig. 28.** The triangles incident to  $\alpha_1$  in  $C_n^-(\lceil n/2 \rceil)$  (solid lines) and in  $C_n^+(1)$  (dotted lines), and an edge of the triangle incident to  $\alpha_n$  in these triangulations.

in solid lines and in dotted lines, respectively. In this figure, the leftmost arc with vertices  $a_1$  and  $a_+$  is an edge of the triangle incident to  $\alpha_1$  in both  $C_n^-(\lceil n/2 \rceil)$  and  $C_n^+(1)$ .

By hypothesis, this arc is never removed along our geodesic. Therefore, if there is exactly one flip incident to  $\alpha_1$  along this geodesic, it must remove two edges of the triangle of  $C_n^-(\lceil n/2 \rceil)$  incident to  $\alpha_1$ , as can be seen in Fig. 28. As a consequence, there are at least two flips incident to  $\alpha_1$  along the geodesic.

#### 5.3. A lower bound on the diameter of $\mathcal{MF}(\Pi_n)$

**Theorem 5.8.** For any n > 2,

$$d(B_n^-, B_n^+) \ge \min(d(B_{n-1}^-, B_{n-1}^+) + 3, d(B_{n-2}^-, B_{n-2}^+) + 6).$$

*Proof.* Assume that n > 2. If one of the triangulations along any geodesic between  $B_n^-$  and  $B_n^+$  has an ear, then the desired result follows from Theorem 5.3. We may thus assume, for the remainder of the proof, that all the triangulations along the geodesic between  $B_n^-$  and  $B_n^+$  are earless. Moreover, if  $p \in \{n, \lceil n/2 \rceil\}$  and if at least three flips are incident to  $\alpha_p$  along some geodesic between  $B_n^-$  and  $B_n^+$ , the result follows from Theorem 2.4 because  $B_n^- \setminus p$  and  $B_n^+ \setminus p$  are isomorphic to  $B_{n-1}^-$  and  $B_{n-1}^+$ , respectively, via the same vertex relabeling. Hence, it will also be assumed that  $\alpha_n$  and  $\alpha_{\lceil n/2 \rceil}$  are incident to at most two flips along any geodesic between  $B_n^-$  and  $B_n^+$ . Under these assumptions, Lemma 5.5 provides an earless geodesic  $(T_i)_{0 \le i \le k}$  from  $B_n^-$  to  $B_n^+$  and four integers  $p^-$ ,  $p^+$ ,  $j^-$ , and  $j^+$  such that  $j^- < j^+$ , and  $T_{j^-}$  and  $T_{j^+}$  are equal to  $C_n^-(p^-)$  and  $C_n^+(p^+)$ , respectively.

First assume that  $p^+ > 1$ . Observe that the triangle incident to  $\alpha_n$  in  $C_n^+(p^+)$  is distinct from the triangles incident to this arc in  $B_n^-$  and in  $B_n^+$ . As no more than two flips are incident to  $\alpha_n$  along  $(T_i)_{0 \le i \le k}$ , exactly one of the first  $j^+$  flips and exactly one of the last  $k - j^+$  flips along this geodesic are incident to  $\alpha_n$ . In this case, Lemma 5.6 states that at least two of the last  $k - j^+$  flips along  $(T_i)_{0 \le i \le k}$  are incident to  $\alpha_1$ . Now observe that the triangle incident to  $\alpha_1$  in  $C_n^-(p^-)$  is distinct from the triangles incident to this arc in  $B_n^-$  and in  $C_n^+(p^+)$ . Hence at least two of the first  $j^+$  flips along  $(T_i)_{0 \le i \le k}$  are incident to  $\alpha_1$ , which proves that at least four such flips are found along this geodesic.

Theorem 2.4 then yields

$$d(B_n^-, B_n^+) \ge d(B_n^- \backslash \! \backslash 1, B_n^+ \backslash \! \backslash 1) + 4.$$
(5.8)

Thanks to the symmetry between  $B_n^-$  and  $B_n^+$ , the arguments in the last paragraph also prove (5.8) when  $p^-$  is distinct from  $\lceil n/2 \rceil$ . Now assume that  $p^- = \lceil n/2 \rceil$  and  $p^+ = 1$ . We will show that (5.8) still holds in this case. According to Lemma 5.7, at least two flips are incident to  $\alpha_1$  in the portion of  $(T_i)_{0 \le i \le k}$  between  $C_n^-(p^-)$  and  $C_n^+(p^+)$ . Now observe that the triangles of  $B_n^-$  and  $C_n^-(\lceil n/2 \rceil)$  incident to  $\alpha_1$  are distinct. Hence at least three of the first  $p^+$  flips along  $(T_i)_{0 \le i \le k}$  are incident to this arc. In addition, the triangles of  $C_n^+(1)$  and  $B_n^+$  incident to  $\alpha_1$  are distinct. Therefore, at least one of the last  $k - p^+$ flips along  $(T_i)_{0 \le i \le k}$  is incident to  $\alpha_1$ , which proves that there are at least four such flips along this geodesic, and inequality (5.8) still holds in this case.

Finally, observe that there must be at least two flips incident to  $\alpha_{n-1}$  along any geodesic between  $B_n^- \setminus 1$  and  $B_n^+ \setminus 1$ . Indeed, the triangles incident to  $\alpha_{n-1}$  in these triangulations separate the two boundary loops in opposite ways and, as can be seen in Fig. 23, a single flip cannot exchange them. Hence, at least two flips are incident to  $\alpha_{n-1}$  along any geodesic between  $B_n^- \setminus 1$  and  $B_n^+ \setminus 1$ , and Theorem 2.4 yields

$$d(B_n^{-} \backslash \! \backslash 1, B_n^{+} \backslash \! \backslash 1) \ge d(B_n^{-} \backslash \! \backslash 1 \backslash \! \backslash n - 1, B_n^{+} \backslash \! \backslash 1 \backslash \! \backslash n - 1) + 2.$$
(5.9)

Since  $B_n^- \setminus 1 \setminus n - 1$  and  $B_n^+ \setminus 1 \setminus n - 1$  are isomorphic to  $B_{n-2}^-$  and to  $B_{n-2}^+$  by the same vertex deletion, the result is obtained by combining (5.8) and (5.9).

We are now able to bound the diameter of  $\mathcal{MF}(\Pi_n)$  as follows.

#### **Theorem 5.9.** *The diameter of* $\mathcal{MF}(\Pi_n)$ *is not less than* 3*n*.

*Proof.* One can see in Fig. 22 that at least three of the interior arcs of  $A_1^-$  have to be removed in order to transform it into  $A_1^+$ . For instance, either all the arcs incident to  $a_-$ , or all the arcs incident to  $a_+$  have to be removed. Hence

$$d(B_1^-, B_1^+) \ge 3. \tag{5.10}$$

One can see in the same figure that transforming  $A_2^-$  into  $A_2^+$  requires removing the arcs incident to  $a_-$  and the arcs incident to  $a_+$ . As there are six such arcs,

$$d(B_2^-, B_2^+) \ge 6. \tag{5.11}$$

The lower bound of 3n on the diameter of  $\mathcal{MF}(\Pi_n)$  therefore follows by induction from Theorem 5.8 and from inequalities (5.10) and (5.11).

Observe that  $B_1^-$  and  $B_1^+$  are exactly three flips distant from each other (flipping all the arcs incident to  $a_-$  provides a geodesic). The triangulations  $B_2^-$  and  $B_2^+$ , however, are at least seven flips apart because all the interior arcs of  $B_2^-$  have to be removed in order to transform it into  $B_2^+$ . In particular, the bound provided by Theorem 5.9 is not sharp.

Finally, consider the triangulations shown in Fig. 29. In order to transform the triangulation on the left into the other one, the three interior arcs incident to  $a_1$  must be removed as well as the interior arc twice incident to  $a_-$  and at least one of the arcs with vertices  $a_$ and  $a_+$ . Hence, these triangulations are at least five flips apart. In particular, even already when n = 1, the triangulations  $B_n^-$  and  $B_n^+$  are not maximally distant.



**Fig. 29.** Two triangulations in  $\mathcal{MF}(\Pi_1)$  at least five flips apart. The vertices  $a_-$  and  $a_+$  are labeled - and +, respectively.

#### 6. Consequences and further questions

As a first consequence of the above theorems, we prove the following.

**Theorem 6.1.** Let  $\Sigma$  be a filling surface. If  $\Gamma \subset \Sigma$  is an essential embedding, then

$$\lim_{n\to\infty}\frac{\operatorname{diam}(\mathcal{MF}(\Sigma_n))}{n}\geq\frac{5}{2}.$$

*Proof.* If  $\Gamma$  is embedded in  $\Sigma$ , there exists a surface  $\Sigma'$  (possibly empty if  $\Gamma$  is equal to  $\Sigma$ ) such that gluing  $\Sigma'$  and  $\Gamma$  results in  $\Sigma$ .

Now we take two diametrically opposite triangulations U and V in  $\mathcal{MF}(\Gamma_n)$  and send them to triangulations in  $\mathcal{MF}(\Sigma_n)$  by gluing a fixed triangulation of  $\Sigma'$  to U and to V. Denote by U' and V' the resulting triangulations of  $\mathcal{MF}(\Sigma_n)$ . We claim that

$$d(U', V') = d(U, V).$$

That the distance of U' and V' is at most that of U and V is obvious, as any path in  $\mathcal{MF}(\Gamma_n)$  can easily be emulated in  $\mathcal{MF}(\Sigma_n)$ . To see that  $d(U', V') \ge d(U, V)$  we will use Lemma 2.6. By the lemma, if two triangulations in  $\mathcal{F}(\Sigma_n)$  have an arc or a set of arcs in common, then any geodesic between them conserves these arcs. Now, of course this property may no longer be true when one quotients by the group of homeomorphisms, but it turns out that it works in this particular case. Indeed, as we consider homeomorphisms that preserve marked points, the isotopy class of a curve parallel to the privileged boundary curve is preserved by any such homeomorphism. This implies that the isotopy class of the embedding of the boundary loop of  $\Gamma_n$  is also preserved. Thus there exists a geodesic between U' and V' such that all triangulations contain this arc. Along this geodesic, any flip in  $\Sigma'$  would be superfluous. As a consequence, it lies entirely in this natural copy of  $\mathcal{MF}(\Gamma_n)$ , and we are done.

This theorem implies that the diameter growth rate for all filling surfaces is at least of the order of 5n/2 except for the disk, the once-punctured disk, and possibly for the filling surfaces of positive genus without interior vertices or non-privileged boundaries. As shown in [13], however, the growth rate is also at least of the order of 5n/2 in the latter case, and we are left with only the disk and the once-punctured disk (whose diameter of modular flip-graphs grows like 2n [14, 16]).

In fact, there are multiple variations and consequences either of the above results or of the methods we use to prove them. For example, one could try to emulate these methods for filling surfaces more complicated than  $\Pi$ , but the combinatorics become more and more difficult to handle. There is reason to believe that increasing the number of marked

boundary loops might increase the diameter of the underlying flip-graph. In the case of unmarked boundary loops, we can also expect some form of monotonicity with respect to the number of boundary loops. In fact, we suspect that the following is true.

**Conjecture 6.2.** For any  $\varepsilon > 0$  there exists a  $k_{\varepsilon}$  such that if  $\Sigma$  is a surface with  $k_{\varepsilon}$  marked boundary loops, the diameter of its flip-graphs satisfies

$$\lim_{n\to\infty}\frac{\operatorname{diam}(\mathcal{MF}(\Sigma_n))}{n}\geq 4-\varepsilon.$$

In the unmarked case, we conjecture the following.

**Conjecture 6.3.** For any  $\varepsilon > 0$  there exists a  $k_{\varepsilon}$  such that if  $\Sigma$  is a surface with  $k_{\varepsilon}$  unmarked boundary loops, the diameter of its flip-graphs satisfies

$$\lim_{n\to\infty}\frac{\operatorname{diam}(\mathcal{MF}(\Sigma_n))}{n}\geq 3-\varepsilon.$$

There are many other questions that we feel could be interesting. A very basic one is to understand the growth of the diameter of the flip-graph when  $\Sigma$  is a torus (with a privileged boundary curve). This problem is studied in [13] with the same methods, but in their current state, these methods are not able to provide sharp estimates.

Other more complicated variations of the above problems include considering surfaces with multiple privileged boundary components and adding points to several of them, whose number is not fixed. We suspect that one could be able to find very different diameter growths by sufficiently varying the problem.

To conclude we now have examples of filling surfaces with 2n,  $\frac{5}{2}n$  and 3n growth rates. This begs the question of classifying which numbers can appear as growth rates of these diameters. We suspect that the growth rates continue to change when the topology changes. More precisely we conjecture the following.

**Conjecture 6.4.** The number of topological types of filling surfaces whose diameter of flip-graphs has a given growth rate is finite.

Acknowledgments. H. Parlier was partially supported by Swiss National Science Foundation grant number PP00P2\_153024 and acknowledges support from U.S. National Science Foundation grants DMS 1107452, 1107263, 1107367 "RNMS: GEometric structures And Representation varieties" (the GEAR Network). L. Pournin was partially supported by the ANR project IComb (grant ANR-08-JCJC-0011) and by Ville de Paris Émergences project "Combinatoire à Paris".

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