J. Spectr. Theory 6 (2016), 267–329 DOI 10.4171/JST/125

Symmetry reduction of holomorphic iterated function schemes and factorization of Selberg zeta functions

David Borthwick and Tobias Weich

Abstract. Given a holomorphic iterated function scheme with a finite symmetry group G, we show that the associated dynamical zeta function factorizes into symmetry-reduced analytic zeta functions that are parametrized by the unitary irreducible representations of G. We show that this factorization implies a factorization of the Selberg zeta function on symmetric *n*-funneled surfaces and that the symmetry factorization simplifies the numerical calculations of the resonances by several orders of magnitude. As an application this allows us to provide a detailed study of the spectral gap and we observe for the first time the existence of a macroscopic spectral gap on Schottky surfaces.

Mathematics Subject Classification (2010). Primary: 37C30; Secondary: 58J505, 57M50.

Keywords. Iterated function scheme, Selberg zeta function.

Contents

1	Introduction
2	Holomorphic iterated function schemes and their transfer operators $\ldots 271$
3	Trace formula for the symmetry-reduced transfer operator $\ldots \ldots \ldots 276$
4	Factorization of the zeta function $\ldots \ldots 282$
5	Application to Selberg zeta functions
А	Numerical implementation of symmetry-reduced zeta functions for
	<i>n</i> -funneled Schottky surfaces $\ldots \ldots 318$
В	Convergence rate estimates
Re	ferences

D. Borthwick and T. Weich

1. Introduction

Let $X = \Gamma \setminus \mathbb{H}$ be a convex co-compact hyperbolic surface, with Δ_X the positive Laplacian on this surface. The resolvent, written in the form

$$R(s) = (\Delta_X - s(1 - s))^{-1}, \tag{1.1}$$

is analytic as an operator on $L^2(X)$ for $s \in \mathbb{C}$ with $\operatorname{Re}(s) > 1$. As an operator on weighted function spaces it can be continued meromorphically to $s \in \mathbb{C}$ with poles of finite rank [21]. The poles of this meromorphic continuation are called the resonances of X and the multiplicity of a resonance is defined to be the rank of the associated pole. The set of all resonances on X, repeated according to multiplicity, will be called $\operatorname{Res}(X)$. The resonance set is the spectral invariant of the surface X which generalizes the discrete eigenvalue spectrum of the Laplacian on a compact manifold.

Interest in the distribution of the resonances arises from different areas of research. First it is a natural mathematical question to understand the strength of the relationship between the geometry of the surface X and the distribution of resonances. Second, the distribution of resonances on infinite volume hyperbolic surfaces has been found to have implications in arithmetics [7]. And third, the Laplace operator on convex co-compact surfaces is an important model for quantum-chaotic scattering, and the resonance distribution has been intensively studied in theoretical [32, 20] and experimental [3, 29] physics during recent years.

With motivation coming from these different directions, various results on the distribution of resonances on convex co-compact surfaces have been obtained. These include, for example, results on the asymptotic number of resonances in a disk in the complex plane [13, 14, 5], results on upper and lower bounds of resonances in a strip near the critical line [36, 12, 28, 15, 23] and about asymptotic spectral gaps [22, 16] in the limit of large Im(s). Despite these big advances, there are still many open conjectures on the distribution of the resonances, for example the fractal Weyl upper bound is conjectured to be sharp [12] and the asymptotic spectral gap is conjectured to be much bigger then what is actually known [17]. We refer to [24] for a more detailed overview on recent results and open questions.

In order to test these conjectures numerically, the first author recently presented a detailed numerical study of the resonance structure on convex co-compact surfaces [6]. Those calculations exploit the fact that the resonances appear as zeros of the Selberg zeta function. This zeta function is defined for Re(s) > 1 by

$$Z_X(s) := \prod_{\gamma \in \mathcal{P}_X} \prod_{k \ge 0} (1 - e^{-(s+k)l(\gamma)}),$$
(1.2)

where \mathcal{P}_X is the set of primitive closed geodesics on *X* (those geodesics that cannot be obtained by a repetition of a shorter closed geodesic) and $l(\gamma)$ denotes the length. For convex co-compact surfaces the Selberg zeta function is known to extend analytically to the complex plane [11] and the relation to the resonances of Δ_X is given by the following:

Theorem 1.1 ([27] Patterson-Perry 2001). For a convex co-compact surface $X = \Gamma \setminus \mathbb{H}$ the zero set of the zeta function $Z_X(s)$ is the union of the resonances $\operatorname{Res}(X)$ and the negative integers s = -k, $k \in \mathbb{N}_0$.

For an tractable numerical calculation of the Selberg zeta function, the correspondence of the Selberg zeta function and the dynamical zeta function of an iterated function scheme, the Bowen–Series map, has been used. The problem of analytic continuation can be circumvented by a trick which was introduced under the name cycle expansion in physics [9] by Cvitanovic and Eckhardt and which has later been rigorously applied to Selberg zeta functions by Jenkinson and Pollicott [18]. These techniques allow the calculation of several thousand resonances on an ordinary personal computer and make it possible to study their distribution in the complex plane. By this approach, in [6] resonance distributions were compared to the existent conjectures. Those investigations also revealed the striking formation of resonance chains, which triggered further numerical [2, 35] and mathematical [34] studies.

The problem with the numerical techniques used so far is that, due to the exponential growth of number of closed geodesics, the convergence of the algorithm is restricted to rather narrow resonance strips near the critical line. Additionally, only surfaces whose Schottky groups have two generators and for which the fractal dimension of the limit set δ is rather small ($0 \le \delta \le 0.1$) could be treated [6, Section 4.1]. For a more thorough tests of the conjectures a larger δ -range would be desirable. Furthermore, recent predictions that the resonance chains observed for 3-funneled surface should be much less clear for 4-funneled surfaces [2] can not be tested at all with the current techniques.

These shortcomings of the existent techniques motivated us to take advantage of the symmetry of the convex co-compact surfaces and prove a symmetry factorization for the dynamical zeta functions. Such factorizations have been calculated in physics in the closely related setting of 3- and 4-disk systems by Cvitanovic and Eckhardt [10]. The aim of this article is to establish rigorous version of their results and apply them to the calculation of resonances on convex co-compact surfaces.

If a convex co-compact surface $X = \Gamma \setminus \mathbb{H}$ has a finite symmetry group G, then the natural approach for a symmetry-reduced calculation of the resonances would be to apply the symmetry reduction on the level of the Laplacian Δ_X and to study the meromorphic continuation of the symmetry-reduced resolvent. For the numerical calculation of the resonances we need, however, the Patterson-Perry correspondence (Theorem 1.1). The proof of a factorization of the Selberg zeta function thus would require to reprove this correspondence for the symmetryreduced resolvent, which seems rather technical. Therefore we have chosen to prove the factorization on the level of the dynamical zeta functions of iterated function schemes. This approach has the advantage that the results apply not only to Bowen-Series maps and convex co-compact surfaces but also extend immediately to other cases where iterated function schemes appear, e.g., in the calculation of Hausdorff dimensions [18]. Additionally, one automatically obtains the analyticity of the symmetry-reduced zeta functions for free. The drawback of this approach is, however, that the symmetry group of the commonly used Bowen-Series maps might be smaller then the symmetry group of the associated surface. This problem can be circumvented for a large class of interesting surfaces as we will show in Section 5.1.

The article is organized as follows. In Section 2 we will first introduce the holomorphic iterated function schemes (IFS), their transfer operators and the dynamical zeta functions. In Section 3 we will introduce the notion of a symmetry group of a holomorphic IFS and derive a symmetry-reduced trace formula for the transfer operator (Proposition 3.3). This symmetry-reduced trace formula is then used in Section 4 to prove, as a first main result, the factorization of the dynamical zeta function (Theorem 4.6). The rest of the section is devoted to a simplification of the symmetry-reduced zeta functions (Theorem 4.6 and Corollary 4.8) which hold under the assumption that the symmetry group acts freely on the set of *G*-closed words.

Section 5 is then devoted to the application of the results to the resonances on convex co-compact surfaces. In Section 5.1 we first introduce a family of symmetric *n*-funneled surfaces for which we construct iterated function schemes that incorporate the whole symmetry group of the surfaces. Using Theorem 4.1, this leads to a factorization of the Selberg zeta function into analytic symmetry-reduced zeta functions (see equation (5.9)). Finally, in Section 5.2, we perform the numerical calculations using these new symmetry-reduced formulas. The symmetry reduction is interesting for theoretical reasons as it allows to associate the calculated resonances to particular unitary irreducible representations of the symmetry group. We also demonstrate the tremendous practical value of the symmetry reduction as

a means of simplifying the numerical calculations: For a 3-funneled surface we show that we can increase the width of the numerically accessible resonance strip by a factor of three and at the same time reduce the number or required periodic orbits from over 170 000 without symmetry reduction to only 41 with symmetry reduction. We are confident that this gain of efficiency will allow much more thorough numerical investigations of the resonance structure on convex co-compact surfaces. As first examples of this, we confirm the prediction from [2] that the resonance structure of symmetric 4-funneled surfaces show no clearly visible resonance chains. We also provide a detailed study of the spectral gap on Schottky surface and observe for the first time the existence of a macroscopic spectral gap on these surfaces.

Acknowledgments. Tobias Weich has been supported by the German Research Foundation (DFG) via the grant DFG HI 412/12-1. This work was initiated at the conference on "Quantum chaos, resonances, and semiclassical measures", Roscoff, France, June 2013, sponsored by a grant from the ANR.

2. Holomorphic iterated function schemes and their transfer operators

Definition 2.1. A holomorphic iterated function scheme (IFS) is defined on a set of N open disks $D_1, \ldots, D_N \subset \mathbb{C}$ whose closures \overline{D}_i are pairwise disjoint. Associated to the IFS is a matrix $A \in \{0, 1\}^{N \times N}$ called the *adjacency matrix*, which defines a relation $i \rightsquigarrow j$ if $A_{i,j} = 1$. It is assumed that for each pair $(i, j) \in \{1, \ldots, N\}^2$ with $i \rightsquigarrow j$ we have a biholomorphic map

$$\phi_{i,j}\colon D_i\longrightarrow \phi_{i,j}(D_i)\Subset D_j.$$

The images are required to be pairwise disjoint in the sense that

$$\phi_{i,j}(D_i) \cap \phi_{k,l}(D_k) \neq \emptyset \iff (i,j) = (k,l).$$
(2.1)

For convenience we denote the union of all the disjoint disks by

$$D:=\bigcup_i D_i$$

and the union of all their images by

$$\phi(D) := \bigcup_{i \rightsquigarrow j} \phi_{i,j}(D_i).$$

From (2.1) it follows directly that for $u \in \phi(D)$ there is exactly one pair $i \rightsquigarrow j$ and a unique $u' \in D_i$ such that $u = \phi_{i,j}(u')$. We have thus a well defined holomorphic inverse function

$$\phi^{-1} \colon \phi(D) \longrightarrow D.$$

Remark 2.2. Instead of disks D_i one could have also taken simply connected domains $U_i \subset \mathbb{C}$. Using the Riemann mapping theorem such an IFS is biholomorphically conjugate to an IFS with disks, so one can always simplify such an IFS to the above situation defined on disks.

Example 2.3. Let D_1, \ldots, D_{2r} be disjoint open disks in \mathbb{C} with centers on the real line and mutually disjoint closures. Then there exists for each pair D_i, D_{i+r} an element $S_i \in PSL(2, \mathbb{R})$ that maps via its Moebius transformation ∂D_i to ∂D_{i+r} and that maps the interior of D_i to the exterior of D_{i+r} . The *Schottky group* is then the free subgroup $\Gamma \subset PSL(2, \mathbb{R})$, generated by S_1, \ldots, S_r (for an illustration see Figure 1). The quotient $\Gamma \setminus \mathbb{H}$ is a hyperbolic surface with Euler characteristic $\chi = 1 - r$, and any convex co-compact hyperbolic surface admits such a representation [8].

The generators and disks in the construction of a Schottky group give also a natural construction of a holomorphic IFS. For convenience we write

$$S_{i+r} := S_i^{-1}$$
 for $i = 1, ..., r$

and use a cyclic notation of the indices:

$$S_{i+2r} := S_i$$
 and $D_{i+2r} := D_i$.

Then for all i = 1, ..., 2r the element S_i maps all disks except D_i holomorphically into the interior of D_{i+r} . The adjacency matrix of this IFS is thus the $2r \times 2r$ matrix with $A_{i,j} = 0$ if |i - j| = r and $A_{i,j} = 1$ else. Furthermore for any $i \rightsquigarrow j$ we define the maps for $u \in D_i$ by

$$\phi_{i,j}(u) := S_{j+r}u = S_j^{-1}u \in D_j,$$

and from this definition it is clear that (2.1) is automatically fulfilled.

Note that the inverse map restricted to $D_j \cap \phi(D)$ is exactly given by S_j . The IFS which we defined is consequently the inverse of the usual *Bowen–Series map* for Schottky groups (see e.g. [4, Section 15.2]).

272



Figure 1. Illustration of the construction of a Schottky group and the corresponding IFS. The blue disks show the four disks from which the generators of the Schottky group are generated. For example the group element S_1 maps ∂D_1 to ∂D_3 and the exterior of D_1 into the interior of D_3 . The red circles illustrate the images of the other three disks under the Moebius transformation S_1 which coincide with the images of the disks under the associates holomorphic IFS.

Returning to the general case with N disks, it will turn out to be useful for the notation to introduce the following symbolic coding. The *symbols* are given by the integers 1, ..., N and the set of words of length n is given by the tuples of symbols

$$\mathcal{W}_n := \{ (w_0, \dots, w_n) : w_i \rightsquigarrow w_{i+1} \text{ for all } i = 0, \dots, n-1 \}.$$

Note that our notation of *word length* does not refer to the number of symbols, but to the number of transitions which they indicate. For $w \in W_n$ and $0 < k \le n$ we define the *truncated word* by

$$w_{0,k} := (w_0, \dots, w_k) \in \mathcal{W}_k. \tag{2.2}$$

Finally we define the iteration of the maps $\phi_{i,j}$ along a word $w \in W_n$ as

$$\phi_w := \phi_{w_{n-1},w_n} \circ \cdots \circ \phi_{w_0,w_1} : D_{w_0} \mapsto D_{w_n}$$

and their images as

$$D_w := \phi_w(D_{w_0}).$$

Note that $D_w \in D_{w_n}$ and that from the separation condition (2.1) one obtains inductively for $w, w' \in W_n$

$$D_w \cap D_{w'} \neq \emptyset \iff w = w'.$$

Definition 2.4. We call a holomorphic IFS *eventually contracting* if there is some $N \in \mathbb{N}$ and $\theta < 1$ such that for $n \ge N$

$$|\phi'_w(u)| \le \theta$$
 for all $w \in \mathcal{W}_n$ and $u \in D_{w_0}$.

Remark 2.5. The Bowen–Series IFS as introduced in Example 2.3 are known to be eventually contracting (see e.g. [4, Proposition 15.4]).

We say a word $w \in W_n$ of length *n* is *closed* if $w_0 = w_n$ and we denote the set of all closed words of length *n* by W_n^{cl} .

Lemma 2.6. If a holomorphic IFS is eventually contracting, then for each $w \in W_n^{cl}$ there exists a unique fixed point $\phi_w(u_w) = u_w$.

Proof. If $w \in \mathcal{W}_n^{cl}$ is closed, then $\phi_w(D_{w_0}) = D_w \subseteq D_{w_0}$ and we write

$$K_k := (\phi_w)^k (D_{w_0}).$$

Then $K_{k+1} \Subset K_k$ and if $k_0 n \ge N$ then from the eventually contracting property diam $(K_{k_0m}) \le \theta^m \text{diam}(D_{w_0})$. Then K_1, K_2, \ldots is a nested sequence of disks whose diameter converges to zero, so there is a unique

$$u_w := \bigcap_{k>0} K_k$$

which must be a fixed point of ϕ_w .

Our next goal is to define the transfer operators associated to the iterated function schemes.

Definition 2.7 (transfer operator). Let

$$\mathcal{B}(D) := \{f : D \to \mathbb{C} \text{ holomorphic, and } f \in L^2(D)\}$$

be the Bergman space on D, where

$$D := \bigcup D_i$$

for a holomorphic IFS. For

$$V: \phi(D) \longrightarrow \mathbb{C}$$

a bounded holomorphic function, we define the transfer operator

$$\mathcal{L}_V \colon \mathcal{B}(D) \longrightarrow \mathcal{B}(D)$$

associated to the IFS by

$$(\mathcal{L}_V f)(u) := \sum_{j: i \nleftrightarrow j} V(\phi_{i,j}(u)) f(\phi_{i,j}(u)), \quad \text{for } u \in D_i.$$
(2.3)

Given such a potential V, a word $w \in W_n$ and a point $u \in D_{w_0}$ we can define the iterated product

$$V_{w}(u) := \prod_{k=1}^{n} V(\phi_{w_{0,k}}(u)), \qquad (2.4)$$

where $w_{0,k}$ is the truncation (w_0, \ldots, w_k) as defined in (2.2). A straight forward calculation of powers of the transfer operator \mathcal{L}_V leads to

$$\left(\mathcal{L}_V^n f\right)(u) = \sum_{w \in \mathcal{W}_n : u \in D_{w_0}} V_w(u) f(\phi_w(u));$$

thus these iterated products naturally occur in powers of \mathcal{L}_V .

It is a well known fact that these transfer operators are trace class (see [30] for the original proof in slightly different function spaces or [4, Lemma 15.7] for a proof in our setting) and that the trace can be expressed in terms of periodic orbits. Accordingly one can define the *dynamical zeta function* by the Fredholm determinant

$$d_V(z) := \det(1 - z\mathcal{L}_V) \tag{2.5}$$

which is an entire function on \mathbb{C} . If furthermore the IFS is eventually contracting the dynamical zeta function can be written for |z| sufficiently small as (see e.g. [4, proof of Thm. 15.8]):

$$d_V(z) = \exp\Big(-\sum_{n>0} \frac{z^n}{n} \sum_{w \in \mathcal{W}_n^{cl}} V_w(u_w) \frac{1}{1 - (\phi_w)'(u_w)}\Big).$$
(2.6)

Example 2.8. An important class of transfer operators arises from the IFS associated to Bowen–Series maps of Schottky surfaces (see Example 2.3). If we choose the potential function $V_s(u) = [(\phi^{-1})'(u)]^{-s}$, which depends analytically on $s \in \mathbb{C}$, then one obtains an analytic family of trace class operators \mathcal{L}_s . The dynamical zeta function

$$d(s,z) := \det(1-z\mathcal{L}_s)$$

is then analytic in $(s, z) \in \mathbb{C}^2$. One has the important relation to the Selberg zeta function Z_X for the Schottky surface *X*,

$$Z_X(s) = d(s, 1),$$

where $Z_X(s)$ was defined in (1.2) as a product over the primitive closed geodesics (see e.g. [4, Thm. 15.8] for a proof).

D. Borthwick and T. Weich

3. Trace formula for the symmetry-reduced transfer operator

Definition 3.1. A symmetry group of a holomorphic IFS is a finite group G which acts holomorphically on D and commutes with the IFS in the sense that for each $g \in G, u \in D_i$ and $i \rightsquigarrow j$, there exists a pair $k \rightsquigarrow l$ such that $g\phi_{i,j}(u) = \phi_{k,l}(gu)$.

As an immediate consequence of the definition we obtain that $\phi(D) \subset D$ is a *G*-invariant subset. Furthermore, as the disks D_i are disjoint and connected, we have

$$g(D_i) = D_j. \tag{3.1}$$

Thus we can reduce the *G*-action to the set of symbols $\{1, ..., N\}$ by setting

$$gi := j$$

for *i*, *j* such that (3.1) holds. With this notation the indices *k*, *l* in Definition 3.1 are uniquely defined by k = gi and l = gj. Accordingly we conclude that $i \rightsquigarrow j$ implies $gi \rightsquigarrow gj$ and consequently we can extend the *G*-action on the symbols to an action on the words of length *n* by setting for $w \in W_n$

$$gw := (gw_0, \ldots, gw_n) \in \mathcal{W}_n.$$

For the iterated maps ϕ_w , the commutation formula reads

$$g\phi_w(u) = \phi_{gw}(gu). \tag{3.2}$$

For further use we can also introduce for $g \in G$ the set of *g*-closed words of length *n*,

$$\mathcal{W}_n^g := \{ w \in \mathcal{W}_n, gw_n = w_0 \}.$$
(3.3)

Example 3.2. We have seen in Example 2.3 that Schottky groups naturally give rise to holomorphic IFS. We will now consider the special case of 3-funneled surfaces. These surfaces are known to be uniquely parametrized by their Fenchel-Nielsen coordinates l_1, l_2, l_3 which determine the lengths of the three fundamental geodesics $\gamma_1, \gamma_2, \gamma_3$ (see Figure 2).

Given three lengths l_1, l_2, l_3 we denote the associated Schottky surface by $X_{l_1, l_2, l_3} = \Gamma_{l_1, l_2, l_3} \setminus \mathbb{H}$. The two generators of the Schottky group can be written in the form

$$S_1 = \begin{pmatrix} \cosh(l_1/2) & \sinh(l_1/2) \\ \sinh(l_1/2) & \cosh(l_1/2) \end{pmatrix}, \quad S_2 = \begin{pmatrix} \cosh(l_2/2) & a \sinh(l_2/2) \\ a^{-1} \sinh(l_2/2) & \cosh(l_2/2) \end{pmatrix},$$

where the parameter a > 0 is chosen such that $Tr(S_1S_2^{-1}) = -2\cosh(l_3/2)$.



Figure 2. Visualization of a Schottky surface with 3-funnels. These surfaces are uniquely determined by the lengths l_1, l_2, l_3 of the three fundamental geodesics $\gamma_1, \gamma_2, \gamma_3$, that turn around each funnel. The surface can be obtained by gluing together the corresponding fundamental domain of the Schottky group (see Figure 3) along the dashed red and dotted blue lines.

Depending on the choice of l_1, l_2, l_3 the associated Bowen–Series IFS have different symmetry groups. In any case the IFS has a \mathbb{Z}_2 symmetry generated by the Moebius transformation of the matrix

$$\sigma_1 = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}.$$

This transformation corresponds to a reflection at the imaginary axis followed by a complex conjugation¹ and it is related to the fact that all 3-funneled Schottky surfaces are symmetric with respect to reflections on the plane spanned by the three funnels.

The action of σ_1 interchanges disk D_1 with D_3 and D_2 which D_4 , thus we get the following action on the symbols

$$\sigma_1(1) = 3$$
, $\sigma_1(2) = 4$, $\sigma_1(3) = 1$, $\sigma_1(4) = 2$.

In order to prove that σ_1 is indeed a symmetry of the Bowen–Series IFS in the sense of Definition 3.1 we have to verify

$$\sigma_1\phi_{1,1}\sigma_1 = \phi_{3,3}, \quad \sigma_1\phi_{2,1}\sigma_1 = \phi_{4,3}, \quad \sigma_1\phi_{4,1}\sigma_1 = \phi_{2,3},$$

$$\sigma_1\phi_{1,2}\sigma_1 = \phi_{3,4}, \quad \sigma_1\phi_{2,2}\sigma_1 = \phi_{4,4}, \quad \sigma_1\phi_{3,2}\sigma_1 = \phi_{1,4}.$$

¹ This complex conjugation is necessary to make the symmetry holomorphic.

The first line follows from the fact that

$$\sigma_1 S_1 \sigma_1 = \begin{pmatrix} \cosh(l_1/2) & -\sinh(l_1/2) \\ -\sinh(l_1/2) & \cosh(l_1/2) \end{pmatrix} = S_1^{-1} = S_3$$

and the second line analogously from $\sigma_1 S_2 \sigma_1 = S_4$.



Figure 3. Illustration of the Symmetry of the Bowen–Series IFS for a 3-funneled Schottky surface with $l_1 = l_2$. Apart from the reflection along the imaginary axis, the IFS is also symmetric w.r.t. reflections along the yellow circle of radius \sqrt{a} .

If the Fenchel-Nielsen coordinates satisfy $l_1 = l_2$ then both the Schottky surface X_{l_1,l_1,l_3} and the Bowen–Series IFS admit an additional symmetry. On the surface this symmetry would correspond to a rotation of 180° around the third funnel. For the IFS this symmetry is represented by a Moebius transformation of the matrix

$$\sigma_2 = \begin{pmatrix} 0 & \sqrt{a} \\ \frac{1}{\sqrt{a}} & 0 \end{pmatrix}.$$

This transformation represents a reflection at the orange circle in Figure 3 followed again by a complex conjugation to restore the holomorphicity. As this transformation interchanges D_1 with D_2 and D_3 with D_4 we obtain the action on the symbols

 $\sigma_2(1) = 2$, $\sigma_2(2) = 1$, $\sigma_2(3) = 4$, $\sigma_2(4) = 3$

and according to Definition 3.1 we have to check

$$\begin{aligned} \sigma_2\phi_{1,1}\sigma_2 &= \phi_{2,2}, \quad \sigma_2\phi_{2,1}\sigma_2 &= \phi_{1,2}, \quad \sigma_2\phi_{4,1}\sigma_2 &= \phi_{3,2}, \\ \sigma_2\phi_{2,3}\sigma_2 &= \phi_{1,4}, \quad \sigma_2\phi_{3,3}\sigma_2 &= \phi_{4,4}, \quad \sigma_2\phi_{4,3}\sigma_2 &= \phi_{3,4}. \end{aligned}$$

278

This is again verified by a simple matrix calculation that shows that $\sigma_2 S_1 \sigma_2 = S_2$ and $\sigma_2 S_3 \sigma_2 = S_4$. Since σ_1 and σ_2 commute, we conclude that in the case $l_1 = l_2$ the surface the holomorphic IFS have the Klein four-group as symmetry group.

If all three fundamental lengths are equal to each other, $l_1 = l_2 = l_3 = l$, then the Schottky surface $X_{l,l,l}$ has an even larger group as symmetry group which can be written as $\mathcal{D}_3 \times \mathbb{Z}_2$, with \mathcal{D}_3 being the symmetry group of the equilateral triangle (see Section 5.1 for more details). The Bowen–Series IFS however does not exhibit these extra symmetries and still has only the Klein four-group as symmetry group. The reason for this discrepancy lies in the construction of the Bowen–Series IFS. Morally, it corresponds to a Poincaré section which is defined by the blue dotted and red dashed cut-lines in Figure 2. This asymmetric choice of a Poincaré section is the reason why the holomorphic IFS has a weaker symmetry then the whole surface. To obtain the full symmetry decomposition of the zeta function we will have to construct a holomorphic IFS whose dynamical zeta function corresponds also to the Selberg zeta function but which incorporates the full symmetry group of the surface. This will be done for symmetric n-funneled surfaces in Section 5.1.

Given a symmetry group *G* of a holomorphic IFS we now want to define the symmetry decomposition of the function spaces B(D). The symmetry group *G* acts from left on B(D) by its left regular representation

$$(gf)(u) = f(g^{-1}u).$$

Note that in general this action is not unitary if the scalar product in B(D) is taken with respect to the Lebesgue measure. However, by averaging the Lebesgue measure λ over *G* with the pushforward $g_*\lambda$ one obtains a *G*-invariant measure

$$\mu_G := \frac{1}{|G|} \sum_{g \in G} g_* \lambda = \frac{1}{|G|} \sum_{g \in G} |g'(u)|^{-1} \lambda,$$

which just modifies the Lebesgue measure by a positive, smooth density factor. We denote the Bergman space with the scalar product defined by μ_G with $B_G(D)$. This space is identical to B(D) as a set, but equipped with a different, topologically equivalent scalar product.

On $B_G(D)$ the left regular action of G is unitary. We thus get a decomposition

$$B_G(D) = \bigoplus_{\chi \in \hat{G}} B^{\chi} \tag{3.4}$$

where \hat{G} is the set of equivalence classes of unitary representations of G and

$$B^{\chi} := P_{\chi} B_G(D)$$

with the orthogonal projection operator

$$P_{\chi} := \frac{d_{\chi}}{|G|} \sum_{g \in G} \overline{\chi(g)}g$$

Here χ is the character of the irreducible representation of dimension d_{χ} and g the operator defined by the left regular representation. Note that the definition of P_{χ} does not involve the scalar product, thus the operators P_{χ} are equally projectors on B(D) and we also get the decomposition of B(D) in closed linear subspaces

$$B(D) = \bigoplus_{\chi \in \hat{G}} B^{\chi}.$$
(3.5)

The only difference to (3.4) is that this decomposition is in general not orthogonal anymore.

If the potential V of the transfer operator is G-invariant, in the sense that

$$V(gu) = V(u), \tag{3.6}$$

then \mathcal{L}_V commutes with the left regular representation on B(D) and accordingly also with the projectors P_{χ} . Consequently \mathcal{L}_V leaves the spaces B^{χ} invariant and we define the symmetry-reduced transfer operator to be

$$\mathcal{L}_{V}^{\chi} := \mathcal{L}_{V}|_{B^{\chi}} \colon B^{\chi} \longrightarrow B^{\chi}. \tag{3.7}$$

For this symmetry-reduced operator we obtain the following formula for its trace:

Proposition 3.3. Let G be the symmetry group of a holomorphic, eventually contracting IFS, with $V : \phi(D) \to \mathbb{C}$ a holomorphic, bounded function which is symmetric with respect to the G-action and \mathcal{L}_V the associated transfer operator. Then for all $n \in \mathbb{N}$, $(\mathcal{L}_V^{\chi})^n$ is trace class and its trace is given by

$$\operatorname{Tr}_{B^{\chi}}\left[\left(\mathcal{L}_{V}^{\chi}\right)^{n}\right] = \frac{d_{\chi}}{|G|} \sum_{g \in G} \chi(g) \sum_{w \in \mathcal{W}_{n}^{g}} \frac{V_{w}(g u_{w,g})}{1 - (\phi_{w} \circ g)'(u_{w,g})},$$
(3.8)

where $u_{w,g}$ is the unique fixed point satisfying

$$u_{w,g} = \phi_w(gu_{w,g}), \tag{3.9}$$

and V_w is the iterated product

$$V_w(u) = \prod_{k=1}^n V(\phi_{w_{0,k}}(u)).$$

Proof. This proposition is a direct consequence of [4, Lemma 15.7]. First, we note that

$$\operatorname{Tr}_{B^{\chi}}[(\mathcal{L}_V^{\chi})^n] = \operatorname{Tr}_{B(D)}[P_{\chi}(\mathcal{L}_V)^n].$$

Since $\overline{\chi(g)} = \chi(g^{-1})$, we can replace g by g^{-1} in the definition of P_{χ} and calculate that

$$(P_{\chi}(\mathcal{L}_V)^n f)(u) = \frac{d_{\chi}}{|G|} \sum_{g \in G} \chi(g) \sum_{w \in \mathcal{W}_n: gu \in D_{w_0}} V_w(gu) f(\phi_w(gu)).$$

This implies that

$$\operatorname{Tr}_{B^{\chi}}[(\mathcal{L}_{V}^{\chi})^{n}] = \frac{d_{\chi}}{|G|} \sum_{g \in G} \chi(g) \sum_{w \in \mathcal{W}_{n}: gu \in D_{w_{0}}} \operatorname{Tr}_{B(D)}\left[T_{V,w,g}\right]$$

where $T_{V,w,g}$ is the following transfer operator

$$(T_{V,w,g}f)(u) := \begin{cases} V_w(gu)f(\phi_w \circ g(u)) & \text{if } u \in D_{g^{-1}w_0} \\ 0 & \text{else.} \end{cases}$$

The map $\phi_w \circ g$ is a biholomorphic function

$$\phi_w \circ g \colon D_{g^{-1}w_0} \longrightarrow D_w \Subset D_{w_n}.$$

If $w_n \neq g^{-1}w_0$, or in other words, if $w \notin W_n^g$, then the operator has trace zero as it is an isomorphism between two orthogonal subsets of B(D). Otherwise the eventually contracting property implies by the same arguments as in the proof of Lemma 2.6 that the map $\phi_w \circ g$ has a unique fixed point which we call $u_{w,g}$. The operator $T_{V,w,g}$ then fulfills all the conditions of [4, Lemma 15.7] and we obtain

$$\mathrm{Tr}_{B(D)}(T_{V,w,g}) = \frac{V_w(gu_{w,g})}{1 - (\phi_w \circ g)'(u_{w,g})}.$$

D. Borthwick and T. Weich

4. Factorization of the zeta function

Proposition 3.3 allows us to prove the following factorization of the dynamical zeta function.

Theorem 4.1. Let G be the symmetry group of a holomorphic, eventually contracting IFS, let $V : \phi(D) \to \mathbb{C}$ be a holomorphic, bounded G-invariant potential and $d_V(z)$ the dynamical zeta function associated to the IFS and V. Then the dynamical zeta function admits a factorization,

$$d_V(z) = \prod_{\chi \in \hat{G}} d_V^{\chi}(z),$$

where the reduced zeta functions $d_V^{\chi}(z)$ can be expressed for sufficiently small |z| by

$$d_V^{\chi}(z) = \exp\Big(-\sum_{n>0} \frac{z^n}{n} \frac{d_{\chi}}{|G|} \sum_{g \in G} \chi(g) \sum_{w \in \mathcal{W}_n^g} V_w(gu_{w,g}) \sum_{k \ge 0} [(\phi_w \circ g)'(u_{w,g})]^k\Big),$$
(4.1)

and they extend analytically to \mathbb{C} .

Proof. As Proposition 3.3 assures that \mathcal{L}_V^{χ} is trace class, we can define the symmetry-reduced zeta function

$$d_V^{\chi}(z) := \det_{B^{\chi}}(1 - z\mathcal{L}_V^{\chi}) \tag{4.2}$$

which is an analytic function on \mathbb{C} . From the symmetry decomposition (3.5) of B(D) into invariant subspaces B^{χ} we furthermore directly obtain the following factorization of the dynamical zeta function

$$d_V(z) = \prod_{\chi \in \hat{G}} d_V^{\chi}(z).$$

Using the formula for the Fredholm determinant and the symmetry-reduced trace formula we obtain

$$d_V^{\chi}(z) = \exp\left(-\sum_{n>0} \frac{z^n}{n} \operatorname{Tr}_{B^{\chi}}[(\mathcal{L}_V^{\chi})^n]\right)$$
$$= \exp\left(-\sum_{n>0} \frac{z^n}{n} \frac{d_{\chi}}{|G|} \sum_{g \in G} \chi(g) \sum_{w \in \mathcal{W}_n^g} \frac{V_w(gu_{w,g})}{1 - (\phi_w \circ g)'(u_{w,g})}\right)$$

expanding the last fraction as a geometric series we obtain (4.1) which finishes the proof. $\hfill \Box$

From an abstract point of view this result is already completely satisfactory, as we have obtained a factorization of the zeta function into reduced zeta functions which themselves are again entire functions. This result is also sufficient to determine which zeros of the dynamical zeta function are related to eigenfunctions of \mathcal{L}_V with a certain symmetry behavior. From a practical, computational point of view we will however see that (4.1) is not yet optimal. In fact, we will show that the symmetry implies that many terms in the series appearing in (4.1) are equal and can be grouped together, which speeds up practical computations considerably. Thus the rest of this section will be devoted to simplifying (4.1) and determining efficient formulas for $d_V^{\chi}(z)$.

For this purpose, we first have to study the symbolic dynamics more thoroughly and introduce some useful notation. We first introduce the set of *words with arbitrary length*

$$\mathcal{W} := \bigcup_{n=1}^{\infty} \mathcal{W}_n$$

and denote for $w \in W$ its *word length* by n_w such that $w \in W_{n_w}$. Similarly, we want to define the set of all words closed under an arbitrary group element. However, in (4.1) the words appear always together with the group element which closes them. If one word admits several closing group elements, then the same word will appear several times with all possible closing elements. It will therefore turn out to be convenient to consider pairs of words and closing group elements and we define

$$\mathcal{W}^G := \{ (w, g) \in \mathcal{W} \times G \colon gw_{n_w} = w_0 \}.$$

In order to shorten the notation we will denote these pairs of words and group elements by a bold **w**. The group element of the pair **w** will be written as $g_{\mathbf{w}}$ and the word by a standard w such that $\mathbf{w} = (w, g_{\mathbf{w}})$. The word length of w will be written as $n_{\mathbf{w}}$.

As shown in the proof of Proposition 3.3, for any $\mathbf{w} \in \mathcal{W}^G$ there exists a unique point $u_{\mathbf{w}}$ satisfying

$$\phi_w(g_{\mathbf{w}}u_{\mathbf{w}})=u_{\mathbf{w}},$$

and we will call these points *relative fixed points* in the sequel. The *G*-action on W_n can be extended to a *G*-action on W^G by taking the adjoint action on the *G*-part of W^G : for $h \in G$,

$$h\mathbf{w} := (hw, hg_{\mathbf{w}}h^{-1}). \tag{4.3}$$

D. Borthwick and T. Weich

In addition to the *G*-action on W^G we can also define the *shift actions*,

$$\sigma_{\mathbf{R}}\mathbf{w} := ((g_{\mathbf{w}}w_{n-1}, w_0, \dots, w_{n-1}), g_{\mathbf{w}}), \tag{4.4a}$$

$$\sigma_L \mathbf{w} := ((w_1, \dots, w_n, g_{\mathbf{w}}^{-1} w_1), g_{\mathbf{w}}).$$

$$(4.4b)$$

Note that it would not be possible to define this action on the *g*-closed words directly, because the shift operation on the word depends explicitly on a choice of the closing group element. The importance of the shift action arises from the fact that it is conjugated to the action of the IFS on the relative fixed points $u_{\mathbf{w}}$. To be more precise, we have for every $\mathbf{w} \in W^G$ that $u_{\sigma_L \mathbf{w}} = \phi_{g_{\mathbf{w}}^{-1} w_{0,1}}(u_{\mathbf{w}})$, where $w_{0,1}$ denotes the truncated word (w_0, w_1) as defined in (2.2). To see this, note that $g_{\sigma_L \mathbf{w}} = g_{\mathbf{w}}$ and that $(w_n, g_{\mathbf{w}}^{-1} w_1) = g_{\mathbf{w}}^{-1} w_{0,1}$, since $g_{\mathbf{w}}$ is a closing element for w. With these facts we simply calculate

$$\begin{split} \phi_{(w_1,\dots,w_n,g_{\mathbf{w}}^{-1}w_{1})}(g_{\mathbf{w}}\phi_{g_{\mathbf{w}}^{-1}w_{0,1}}(u_{\mathbf{w}})) \\ &= \phi_{(w_1,\dots,w_n,g_{\mathbf{w}}^{-1}w_{1})}\phi_{w_{0,1}}(g_{\mathbf{w}}u_{\mathbf{w}}) \\ &= \phi_{w_n,g_{\mathbf{w}}^{-1}w_{1}} \circ \phi_{w_{n-1},w_n} \circ \dots \circ \phi_{w_1,w_0}(g_{\mathbf{w}}u_{\mathbf{w}}) \\ &= \phi_{g_{\mathbf{w}}^{-1}w_{0,1}}(\phi_w(g_{\mathbf{w}}u_{\mathbf{w}})) \\ &= \phi_{g_{\mathbf{w}}^{-1}w_{0,1}}(u_{\mathbf{w}}). \end{split}$$

Finally, as $\sigma_R = \sigma_L^{-1}$, the shift action generates a \mathbb{Z} -action on the set of words \mathcal{W} and the set of *G*-closed words \mathcal{W}^G . Observe that

$$\sigma_L h \sigma_R \mathbf{w} = \sigma_L h((g_{\mathbf{w}} w_{n-1}, w_0, \dots, w_{n-1}), g_{\mathbf{w}})$$

= $\sigma_L((hg_{\mathbf{w}} w_{n-1}, hw_0, \dots, hw_{n-1}), hg_{\mathbf{w}} h^{-1})$
= $((hw_0, \dots, hg_{\mathbf{w}}^{-1}h^{-1}hg_{\mathbf{w}}w_n), hg_{\mathbf{w}}h^{-1})$
= $h\mathbf{w},$

so the *G*-action and the \mathbb{Z} -action commute and we can consider the group $G \times \mathbb{Z}$ acting on \mathcal{W}^G . Thus we can consider the space of $G \times \mathbb{Z}$ -orbits $(G \times \mathbb{Z}) \setminus \mathcal{W}^G$ and we will denote the orbit passing through **w** by

$$[\mathbf{w}] \in [\mathcal{W}^G] := (G \times \mathbb{Z}) \setminus \mathcal{W}^G.$$

We next want to introduce the notion of composite and prime elements in W^G . Given $\mathbf{w} \in W^G$ we can define its *k*-fold iteration by

$$\mathbf{w}^{k} := ((g_{\mathbf{w}}^{k-1}w_{0}, \dots, g_{\mathbf{w}}^{k-1}w_{n}, g_{\mathbf{w}}^{k-2}w_{1}, \dots, g_{\mathbf{w}}w_{1}, \dots, g_{\mathbf{w}}w_{n}, w_{1}, \dots, w_{n}), g_{\mathbf{w}}^{k}).$$
(4.5)

By construction $\mathbf{w}^k \in \mathcal{W}^G$ and $n_{\mathbf{w}^k} = k n_{\mathbf{w}}$. Furthermore we calculate

$$\phi_{w^k}(g_{\mathbf{w}^k}u_{\mathbf{w}}) = (\phi_w \circ \dots \circ \phi_{g_{\mathbf{w}}^{k-1}w})(g_{\mathbf{w}}^k u_{\mathbf{w}})$$

= $[(\phi_w \circ g_{\mathbf{w}}) \circ (\phi_w \circ g_{\mathbf{w}}) \circ \dots \circ (\phi_w \circ g_{\mathbf{w}})](u_{\mathbf{w}})$ (4.6)
= $u_{\mathbf{w}}$,

where the second last equality has been obtained by iteratively using the commutation rule (3.2). This implies that $u_{\mathbf{w}^k} = u_{\mathbf{w}}$.

Definition 4.2. All elements in W^G that are obtained by an iteration of a shorter word are called *composite*, all elements which can't be written as an iteration of shorter elements are called *prime*.

Lemma 4.3. If $\mathbf{w} \in \mathcal{W}^G$ is a composite, respectively prime element then all other elements in the $G \times \mathbb{Z}$ -orbit are equally composite, respectively prime.

Proof. As an element is either prime or composite, it suffices to show the statement for one case. Thus assume that $\tilde{\mathbf{w}} = \mathbf{w}^k$ for $k \ge 2$ is composite and consider

$$\begin{aligned} h(\mathbf{w}^{k}) &= ((hg_{\mathbf{w}}^{k-1}w_{0}, \dots, hg_{\mathbf{w}}^{k-1}w_{n}, \dots, hw_{1}, \dots, hw_{n}), hg_{\mathbf{w}}^{k}h^{-1}) \\ &= (((hg_{\mathbf{w}}h^{-1})^{k-1}hw_{0}, \dots, (hg_{\mathbf{w}}h^{-1})^{k-1}hw_{n}, \dots, hw_{1}, \dots, hw_{n}), \\ (hg_{\mathbf{w}}h^{-1})^{k}) \\ &= ((g_{h\mathbf{w}}^{k-1}hw_{0}, \dots, g_{h\mathbf{w}}^{k-1}hw_{n}, \dots, hw_{1}, \dots, hw_{n}), g_{h\mathbf{w}}^{k}) \\ &= (h\mathbf{w})^{k}. \end{aligned}$$

Similarly one calculates $\sigma_{L/R}(\mathbf{w}^k) = (\sigma_{L/R}\mathbf{w})^k$.

The preceding lemma allows us to define the set of *symmetry classes of G*closed prime orbits as

$$[\mathcal{W}_{\text{prime}}^G] := \{ [\mathbf{w}] \in (G \times \mathbb{Z}) \setminus \mathcal{W}^G, \mathbf{w} \text{ is prime } \}.$$

Having introduced all this notation we can go one step further towards the formulas for the symmetry-reduced zeta functions by considering the terms $V_w(g_w u_w)$ and $(\phi_w \circ g_w)'(u_w)$ appearing in the symmetry-reduced trace formula.

Proposition 4.4. Let $[\mathbf{w}] \in [\mathcal{W}^G]$ be a $G \times \mathbb{Z}$ -orbit. Then for all elements $\mathbf{v} \in [\mathbf{w}^k]$ (the $G \times \mathbb{Z}$ -orbit of \mathbf{w}^k), we obtain

$$V_v(g_\mathbf{v}u_\mathbf{v}) = \left[V_w(g_\mathbf{w}u_\mathbf{w})\right]^k \tag{4.7}$$

and

$$(\phi_v \circ g_\mathbf{v})'(u_\mathbf{v}) = [(\phi_w \circ g_\mathbf{w})'(u_\mathbf{w})]^k.$$
(4.8)

Proof. All calculations for this proof are basically straightforward, but for the reader's convenience we will include the details.

For this proposition we have to prove two things. First, that the two quantities are independent of the choice of representative in the $G \times \mathbb{Z}$ -orbit and second, that a *k*-fold iteration amounts simply to the *k*-th power of the quantities. Let's start with the first point and take an arbitrary element $\mathbf{w} \in \mathcal{W}^G$ and $h \in G$. Then, starting from the definition (2.4),

$$V_{hw}(g_{hw}u_{hw}) = \prod_{k=1}^{n} V(\phi_{(hw)_{0,k}}(g_{hw}u_{hw}))$$

= $\prod_{(4.3)}^{n} \sum_{k=1}^{n} V(\phi_{(hw)_{0,k}}((hg_{w}h^{-1})hu_{w}))$
= $\prod_{(3.2)}^{n} \sum_{k=1}^{n} V(h\phi_{w_{0,k}}(g_{w}u_{w}))$
= $\prod_{(3.6)}^{n} \sum_{k=1}^{n} V(\phi_{w_{0,k}}(g_{w}u_{w})).$

In order to see the invariance under σ_L we first recall that $u_{\sigma_L \mathbf{w}} = \phi_{g_{\mathbf{w}}^{-1}w_{0,1}}(u_{\mathbf{w}})$. Consequently

$$\begin{aligned} V_{\sigma_L w}(g_{\sigma_L w} u_{\sigma_L w}) &= \prod_{k=1}^n V[\phi_{(\sigma_L w)_{0,k}}(g_w \phi_{g_w^{-1} w_{0,1}}(u_w))] \\ &= \prod_{k=1}^n V[\phi_{(\sigma_L w)_{0,k}}(\phi_{w_{0,1}}(g_w u_w))] \\ &= \left(\prod_{k=1}^{n-1} V[\phi_{w_{0,k+1}}(g_w u_w)]\right) \cdot V(\phi_{(w_0,...,w_n,g_w^{-1}w_1)}(g_w u_w)) \\ &= \left(\prod_{k=1}^{n-1} V[\phi_{w_{0,k+1}}(g_w u_w)]\right) \cdot V(\phi_{(w_n,g_w^{-1}w_1)}(\phi_w(g_w u_w))) \\ &= \left(\prod_{k=1}^{n-1} V[\phi_{w_{0,k+1}}(g_w u_w)]\right) \cdot V(g_w \phi_{(w_n,g_w^{-1}w_1)}(u_w) \\ &= \left(\prod_{k=1}^n V[\phi_{w_{0,k}}(g_w u_w)]\right) \cdot V(\phi_{(w_0,w_1)}(g_w u_w) \\ &= \prod_{k=1}^n V[\phi_{w_{0,k}}(g_w u_w)]. \end{aligned}$$

With an analogous calculation one obtains the invariance under σ_R .

In order to see the invariance of $(\phi_w \circ g_w)'(u_w)$, we first consider for arbitrary $u \in D_{g_w^{-1}w_0}$ the equation,

$$(\phi_{hw} \circ g_{h\mathbf{w}})(hu) \stackrel{=}{\underset{(4.3)}{=}} \phi_{hw}(hg_{\mathbf{w}}h^{-1}hu)$$
$$\stackrel{=}{\underset{(3.2)}{=}} h\phi_w(g_{\mathbf{w}}u).$$

Differentiating both sides with respect to *u* yields

$$h'(u) \cdot (\phi_{hw} \circ g_{h\mathbf{w}})'(hu) = h'(\phi_w(g_{\mathbf{w}}u)) \cdot (\phi_w \circ g_{\mathbf{w}})'(u),$$

and plugging in u_w shows the invariance because $\phi_w(g_w u_w) = u_w$. The invariance under the shift can be derived similarly by starting from the equation

$$\begin{aligned} (\phi_{\sigma_L w} \circ g_{\sigma_L w})(\phi_{g_w^{-1} w_{0,1}}(u)) &= \phi_{(w_1,\dots,w_n,g_w^{-1} w_1)}(g_w \phi_{g_w^{-1} w_{0,1}}(u)) \\ &= \phi_{(w_1,\dots,w_n,g_w^{-1} w_1)} \circ \phi_{w_0,w_1}(g_w z) \\ &= \phi_{g_w^{-1} w_{0,1}}((\phi_w \circ g_w)(u)). \end{aligned}$$

Again differentiating both sides and plugging in u_w yields the desired result. The invariance under σ_R follows analogously.

Having proved the $G \times \mathbb{Z}$ -invariance it finally remains to show the behavior under iterations. We calculate

$$V_{w^{k}}(g_{\mathbf{w}^{k}}u_{\mathbf{w}^{k}}) = V_{w^{k}}(g_{\mathbf{w}}^{k}u_{\mathbf{w}})$$

$$= \prod_{l=1}^{kn_{\mathbf{w}}} V[\phi_{(w^{k})_{0,l}}(g_{\mathbf{w}}^{k}u_{\mathbf{w}})]$$

$$= \prod_{l=1}^{n_{\mathbf{w}}} V[\phi_{(g_{\mathbf{w}}^{k-1}w)_{0,l}}(g_{\mathbf{w}}^{k}u_{\mathbf{w}})]$$

$$\cdot \prod_{l=1}^{n_{\mathbf{w}}} V[(\phi_{(g_{\mathbf{w}}^{k-2}w)_{0,l}} \circ \phi_{g_{\mathbf{w}}^{k-1}w})(g_{\mathbf{w}}^{k}u_{\mathbf{w}}))] \cdot \dots$$

$$\cdot \prod_{l=1}^{n_{\mathbf{w}}} V[\phi_{w_{0,l}} \circ \phi_{g_{\mathbf{w}}w} \circ \dots \circ \phi_{g_{\mathbf{w}}^{k-1}w}(g_{\mathbf{w}}^{k}u_{\mathbf{w}})]$$

However each of these products becomes equal to $V_w(g_w u_w)$ after iteratively com-

muting the G-action with the IFS by (3.2). For example, the second one becomes

$$\prod_{l=1}^{n_{\mathbf{W}}} V[(\phi_{(g_{\mathbf{W}}^{k-2}w)_{0,l}} \circ \phi_{g_{\mathbf{W}}^{k-1}w})(g_{\mathbf{W}}^{k}u_{\mathbf{W}}))]$$

$$= \prod_{l=1}^{n_{\mathbf{W}}} V[(\phi_{(g_{\mathbf{W}}^{k-2}w)_{0,l}} \circ g_{\mathbf{W}}^{k-1} \circ \phi_{w})(g_{\mathbf{W}}u_{\mathbf{W}}))]$$

$$= \prod_{l=1}^{n_{\mathbf{W}}} V[(g_{\mathbf{W}}^{k-2}\phi_{w_{0,l}} \circ g_{\mathbf{W}} \circ \phi_{w})(g_{\mathbf{W}}u_{\mathbf{W}}))]$$

$$= \prod_{l=1}^{n_{\mathbf{W}}} V[\phi_{w_{0,l}}(g_{\mathbf{W}}u_{\mathbf{W}})].$$

For the iteration behavior of $(\phi_w \circ g_w)'(u_w)$, we calculate as in (4.6),

$$(\phi_{w^k} \circ g_{\mathbf{w}^k})(u) = (\phi_w \circ g_{\mathbf{w}}) \circ \cdots \circ (\phi_w \circ g_{\mathbf{w}})(u).$$

Again, differentiation of both sides w.r.t. u and insertion of $u_{\mathbf{w}^k} = u_{\mathbf{w}}$ shows that

$$(\phi_{w^k} \circ g_{\mathbf{w}^k})'(u_{\mathbf{w}^k}) = [(\phi_w \circ g_{\mathbf{w}})(u_{\mathbf{w}^k})]^k$$

which finishes the proof.

The last result which we need for simplifying the symmetry-reduced zeta function is the following:

Lemma 4.5. For $[\mathbf{w}] \in [\mathcal{W}_{\text{prime}}^G]$ we denote by $\#[\mathbf{w}]$ the number of elements of the $G \times \mathbb{Z}$ -orbit in \mathcal{W}^G . If G acts freely on \mathcal{W}^G then

$$#[\mathbf{w}] = |G| \cdot n_{\mathbf{w}}.$$

Proof. The *G*-orbit $[\mathbf{w}]$ can be written as the quotient $[\mathbf{w}] = (G \times \mathbb{Z})/(G \times \mathbb{Z})_{\mathbf{w}}$ where $(G \times \mathbb{Z})_{\mathbf{w}}$ is the stabilizer of the element $\mathbf{w} \in \mathcal{W}^G$. So we can prove the lemma by studying the stabilizer $(G \times \mathbb{Z})_{\mathbf{w}}$. For any element $\mathbf{w} \in \mathcal{W}^G$ we have that $g_{\mathbf{w}}\sigma_L^{n_{\mathbf{w}}}\mathbf{w} = \mathbf{w}$, so the group generated by $(g_{\mathbf{w}}, n_{\mathbf{w}})$ is a subset of the stabilizer group, i.e.

$$\langle (g_{\mathbf{w}}, n_{\mathbf{w}}) \rangle \subset (G \times \mathbb{Z})_{\mathbf{w}}. \tag{4.9}$$

 \square

Note that there are exactly $|G| \cdot n_{\mathbf{w}}$ orbits of the right group action of $\langle (g_{\mathbf{w}}, n_{\mathbf{w}}) \rangle$ on $G \times \mathbb{Z}$, so if in (4.9) the equality holds, then $\#[\mathbf{w}] = |G| \cdot n_{\mathbf{w}}$. We have thus to show that for a prime elment \mathbf{w} , the stabilizer is no bigger than $\langle (g_{\mathbf{w}}, n_{\mathbf{w}}) \rangle$. So we first assume that there is $h \in G$ such that $(h, n_{\mathbf{w}}) \in (G \times \mathbb{Z})_{\mathbf{w}}$, which means

$$h\sigma_L^{n_{\mathbf{w}}}\mathbf{w} = \mathbf{w} = g_{\mathbf{w}}\sigma_L^{n_{\mathbf{w}}}\mathbf{w}.$$

From the assumption that G acts freely on \mathcal{W}^G we then obtain $h = g_w$.

Next, suppose that there is a $k \notin n_{\mathbf{w}}\mathbb{Z}$ and $h \in G$ such that $(h, k) \in (G \times \mathbb{Z})_{\mathbf{w}}$. By adding or subtracting the elements $(g_{\mathbf{w}}, n_{\mathbf{w}})$ we can assume, without loss of generality, that $0 < k < n_{\mathbf{w}}$. By basic number theoretic arguments there are integers $a, b \in \mathbb{N}$ such that $ak = bn_{\mathbf{w}} + c$ where *c* is the greatest common divisor of *k* and $n_{\mathbf{w}}$. Thus we can write

$$h^{a} \sigma_{L}^{ak}((w_{0}, \dots, w_{n_{w}}), g_{w}) = ((w_{0}, \dots, w_{n_{w}}), g_{w})$$

$$\iff (h^{a} g_{w}^{-b}(w_{c}, \dots, w_{n_{w}-1}, g_{w}^{-1}w_{0}, \dots, g_{w}^{-1}w_{c}), h^{a} g_{w} h^{-a})$$

$$= ((w_{0}, \dots, w_{n_{w}}), g_{w})$$

Comparing the closing words we obtain

$$h^a g_{\mathbf{w}} = g_{\mathbf{w}} h^a. \tag{4.10}$$

Looking at the last *c* entries of the word, we conclude that

$$(w_{n_{\mathbf{w}}-c},\ldots,w_{n_{\mathbf{w}}}) = h^{a}g_{\mathbf{w}}^{-b-1}(w_{0},\ldots,w_{c}).$$
(4.11)

Inserting this back into the above equation, we iteratively conclude that

$$(w_{n_{\mathbf{w}}-rc},\ldots,w_{n_{\mathbf{w}}-(r-1)c}) = (h^{a}g_{\mathbf{w}}^{-b})^{r}g_{\mathbf{w}}^{-1}(w_{0},\ldots,w_{c}).$$
(4.12)

Additionally from (4.11) we obtain $h^a g_{\mathbf{w}}^{-b} g_{\mathbf{w}}^{-1} w_c = w_{n_{\mathbf{w}}} = g_{\mathbf{w}}^{-1} w_0$, so $h^a g_{\mathbf{w}}^{-b}$ is a closing group element of the word $g_{\mathbf{w}}^{-1}(w_0, \ldots, w_c)$ and we can consider the pair

$$\widetilde{\mathbf{w}} := (g_{\mathbf{w}}^{-1}(w_0, \dots, w_c), h^a g_{\mathbf{w}}^{-b}) \in \mathcal{W}^G.$$

We set

$$t := n_{\mathbf{w}}/c \in \mathbb{N}$$

and calculate

$$(h^{a}g_{\mathbf{w}}^{-b})^{t}\widetilde{\mathbf{w}} = ((h^{a}g_{\mathbf{w}}^{-1})^{t}(w_{0},\ldots,w_{c}),h^{a}g_{\mathbf{w}}^{-b})$$

$$= ((w_{0},\ldots,w_{c}),h^{a}g_{\mathbf{w}}^{-b})$$

$$= g_{\mathbf{w}}\widetilde{\mathbf{w}}.$$
(4.10)

So from the assumption that G acts freely on G, we obtain $(h^a g_{\mathbf{w}}^{-b})^t = g_{\mathbf{w}}$. Putting everything together yields

$$\mathbf{w} = \widetilde{\mathbf{w}}^t$$
,

which is in contradiction to the assumption that **w** is prime.

We can now come back to the formula for the symmetry-reduced zeta function, and first consider the three sums

$$\sum_{n>0} \sum_{g \in G} \sum_{w \in \mathcal{W}_n^g}$$

which can be replaced by a sum over \mathcal{W}^G . In the domain of absolute convergence we have

$$d_V^{\chi}(z) = \exp\Big[-\sum_{k\geq 0}\sum_{\mathbf{w}\in\mathcal{W}^G}\frac{z^{n_{\mathbf{w}}}}{n_{\mathbf{w}}}\frac{d_{\chi}}{|G|}\chi(g_{\mathbf{w}})A(\mathbf{w},k)\Big],$$

where

$$A(\mathbf{w},k) := V_w(g_{\mathbf{w}}u_{\mathbf{w}})[(\phi_w \circ g_{\mathbf{w}})'(u_{\mathbf{w}})]^k$$

Note that $V_w(g_w u_w) [(\phi_w \circ g_w)'(u_w)]^k$ is invariant under the $G \times \mathbb{Z}$ -action by Proposition 4.4. For all $\mathbf{v} \in [\mathbf{w}]$ we have $g_{\mathbf{v}} = hg_w h^{-1}$, so $\chi(g_w)$ is also invariant under this action. Furthermore, we know how $V_w(g_w u_w) [(\phi_w \circ g_w)'(u_w)]^k$ and g_w behave under iteration so we can reduce the sum over \mathcal{W}^G to $[\mathcal{W}^G_{prime}]$ and its iterates. We get

$$d_V^{\chi}(z) = \exp\left[-\sum_{k\geq 0} \sum_{[\mathbf{w}]\in[\mathcal{W}_{\text{prime}}^G]} \sum_{l>0} \#[\mathbf{w}^l] \frac{z^{n_{\mathbf{w}}l}}{n_{\mathbf{w}}l} \frac{d_{\chi}}{|G|} \chi(g_{\mathbf{w}}^l) A(\mathbf{w},k)^l\right].$$
(4.13)

The character χ belongs to an irreducible unitary representation ρ_{χ} on a finite dimensional vector space V_{χ} , and we can write $\chi(g) = \text{Tr}_{V_{\chi}}[\rho_{\chi}(g)]$. Thus we obtain

$$\begin{aligned} d_{V}^{\chi}(z) &= \exp\left[-d_{\chi}\sum_{k\geq 0}\sum_{[\mathbf{w}]\in[\mathcal{W}_{\text{prime}}^{G}]}\sum_{l>0}\frac{z^{n_{\mathbf{w}}l}}{l}\operatorname{Tr}_{V_{\chi}}[\rho_{\chi}(g_{\mathbf{w}})^{l}]A(\mathbf{w},k)^{l}\right] \\ &= \prod_{k\geq 0}\prod_{[\mathbf{w}]\in[\mathcal{W}_{\text{prime}}^{G}]}\exp\left[-d_{\chi}\sum_{l>0}\operatorname{Tr}_{V_{\chi}}[\rho_{\chi}(g_{\mathbf{w}})^{l}]A(\mathbf{w},k)^{l}\right] \\ &= \prod_{k\geq 0}\prod_{[\mathbf{w}]\in[\mathcal{W}_{\text{prime}}^{G}]}(\det_{V_{\chi}}[1-(z^{n_{\mathbf{w}}}A(\mathbf{w},k))\rho_{\chi}(g_{\mathbf{w}})])^{d_{\chi}}.\end{aligned}$$

These calculations have thus proven the following:

Theorem 4.6. Let G be the symmetry group of a holomorphic, eventually expanding IFS that acts freely on W^G . Let $V: \phi(D) \to \mathbb{C}$ be a holomorphic, bounded function which is symmetric with respect to the G-action and \mathcal{L}_V be the transfer operator associated to the holomorphic IFS and V. Let \hat{G} be the set of all unitary irreducible representations of G and $\chi: G \to \mathbb{C}$ the character of an irreducible representation $\rho_{\chi}: G \to GL(V_{\chi})$ on the d_{χ} -dimensional vector space V_{χ} . Then the dynamical zeta function

$$d_V(z) := \det(1 - z\mathcal{L}_V)$$

factorizes according to

$$d_V(z) = \prod_{\chi \in \hat{G}} d_V^{\chi}(z) \tag{4.14}$$

and the symmetry-reduced zeta functions $d_V^{\chi}(z)$ are entire functions. If

 $\mathcal{L}_V^{\chi} \colon B^{\chi} \longrightarrow B^{\chi}$

is the symmetry reduced transfer operator then they are defined by

$$d_V^{\chi}(z) := \det_{B^{\chi}}(1 - z \mathcal{L}_V^{\chi})$$

and for |z| sufficiently small they are given by

$$d_{V}^{\chi}(z) = \prod_{k \ge 0} \prod_{[\mathbf{w}] \in [\mathcal{W}_{\text{prime}}^{G}]} \left(\det_{V_{\chi}} \left[1 - (z^{n_{\mathbf{w}}} V_{w}(g_{\mathbf{w}} u_{\mathbf{w}}) [(\phi_{w} \circ g_{\mathbf{w}})'(u_{\mathbf{w}})]^{k}) \rho_{\chi}(g_{\mathbf{w}}) \right] \right)^{d_{\chi}}.$$

$$(4.15)$$

In (4.15) the action of the group elements on $D \subset \mathbb{C}$ still appear explicitly. Using the following lemma this equation can, however, be reformulated such that the precise form of the *G*-action on *D* does not show up anymore and the symmetry reduction depends only on the *G*-action on the symbols.

Lemma 4.7. Let $[\mathbf{w}] \in [\mathcal{W}_{\text{prime}}^G]$ and let $m_{\mathbf{w}} \in \mathbb{N}$ be such that $g_{\mathbf{w}}^{m_{\mathbf{w}}} = \text{Id}$ and that $g^k \neq \text{Id}$ for all $0 < k < m_w$. Then w^{m_w} is a closed word. If we assume that $(\phi_{w^{m_w}})'(u_{w^{m_w}})$ and $V_{w^{m_w}}(u_{w^{m_w}})$ are real positive numbers, then we have

$$(\phi_w \circ g_\mathbf{w})'(u_\mathbf{w}) = [(\phi_{w^{m_\mathbf{w}}})'(u_{w^{m_\mathbf{w}}})]^{\frac{1}{m_\mathbf{w}}}$$
(4.16)

and

$$V_w(g_{\mathbf{w}}u_{\mathbf{w}}) = [V_{w^{m_{\mathbf{w}}}}(u_{w^{m_{\mathbf{w}}}})]^{\frac{1}{m_{\mathbf{w}}}}.$$
(4.17)

Proof. The property that w^{m_w} is a closed word directly follows from the definition (4.5) of w^k and the definition of m_w and (4.16) and (4.17) from (4.7) and (4.8).

By substituting (4.16) and (4.17) in (4.15), we derive the following:

Corollary 4.8. Under the same conditions and with the same notation as in Theorem 4.6 and Lemma 4.7,

$$d_{V}^{\chi}(z) = \prod_{k \ge 0} \prod_{[w] \in [\mathcal{W}_{\text{prime}}^{G}]} \left(\det_{V_{\chi}} \left[1 - z^{n_{\mathbf{w}}} [V_{w^{m_{\mathbf{w}}}}(u_{\mathbf{w}})((\phi_{w^{m_{\mathbf{w}}}})'(u_{\mathbf{w}}))^{k}]^{\frac{1}{m_{\mathbf{w}}}} \rho_{\chi}(g_{\mathbf{w}}) \right] \right)^{d_{\chi}}.$$
(4.18)

5. Application to Selberg zeta functions

In this section the goal is to apply the results of Section 4 in order to obtain factorizations of the Selberg zeta functions associated to Schottky surfaces. Our main interest is in the symmetric 3-funneled Schottky surfaces which were presented in Example 3.2. However, as pointed out in that example, the symmetry group of the standard Bowen-Series IFS is much smaller than the symmetry group of the surface, thus if one wants to obtain a full factorization of the Selberg zeta function one has to work with an alternative holomorphic IFS which incorporates the whole symmetry of the surface. Such an IFS has been introduced for 3-funneled surfaces in [34] under the name flow-adapted IFS. The idea behind this flow-adapted IFS, however, easily generalizes to certain *n*-funneled surfaces of genus zero. In Section 5.1 we will first introduce the symmetric n-funneled surfaces and the associated flow-adapted IFS. Then we will use Theorem 4.6 in order to obtain a factorization of the Selberg zeta function for these cases. In Section 5.2 we will illustrate that this factorization yields an enormous speed-up in the calculation of the resonances of the Laplacian. In particular we are able to calculate for the first time the resonance structure on surfaces which were numerically not treatable previously, such as 4-funneled surfaces or weakly open surfaces with "thick" trapped sets, i.e. surfaces where the fractal dimension of the limit set $\delta > 0.5$. In Section 5.3 we will use the advantages of the symmetry factorization in order to present a detailed study of the spectral gap on Schottky surfaces.

5.1. Factorization of Selberg zeta functions for symmetric *n*-funneled Schottky surfaces. As mentioned in Example 3.2, the 3-funneled Schottky surfaces of genus zero are uniquely determined by the three funnel-widths l_1 , l_2 , l_3 , i.e. by the lengths of the three geodesics γ_1 , γ_2 , γ_3 (see Figure 2). The symmetric 3-funneled surfaces are thus uniquely determined by a single parameter $l_1 = l_2 = l_3 = l$. For general *n*-funneled surfaces it is not true anymore that the surfaces are uniquely defined by the *n* funnel-widths. Due to their nontrivial pants decomposition, additional lengths along which the pants are glued together as well as the twist angles appear in their Fenchel-Nielsen coordinates. These have to be taken into account in order to characterize them completely [4, Section 13.3]. The symmetric *n*-funneled surfaces which we will consider in this section can, however, be easily defined as follows.

Definition 5.1. Let $n_f \ge 3$ and $0 < \psi < 2\pi/n_f$. Then on the Poincaré diskmodel \mathbb{D} we can define n_f geodesics $\tilde{c}_1, \ldots, \tilde{c}_{n_f}$ by their start and end points (see Figure 4)

$$\tilde{a}_j = e^{i(\pi(2j-1)/n_f - \pi - \psi/2)} \in \partial \mathbb{D}$$

and

$$\tilde{b}_i = e^{i(\pi(2j-1)/n_f - \pi + \psi/2)} \in \partial \mathbb{D}.$$

Each of these geodesics \tilde{c}_j cuts \mathbb{D} into two half spaces and we denote the intersection of all those j half spaces that contain $0 \in \mathbb{D}$ by \tilde{S} . The surface $X_{n_f,\psi}$ is then the hyperbolic surface obtained by gluing together two copies of \tilde{S} along the corresponding geodesic boundaries.

We will next explain how the surfaces $X_{n_f,\psi}$ can be understood as Schottky surfaces in the sense of Example 2.3, and at the same time introduce the objects which are needed to define the flow-adapted IFS. We therefore transform the circles \tilde{c}_i and the domain \tilde{S} to the upper half plane \mathbb{H} by the Cayley transform

$$C: \mathbb{C} \longrightarrow \mathbb{H},$$
$$u \longmapsto -i\frac{u-1}{u+1},$$

and we obtain (see Figure 5)

$$\mathcal{S} := C(\mathcal{S}) \subset \mathbb{H}, \quad c_j := C(\tilde{c}_j)$$

as well as

$$a_j := C(\tilde{a}_j) = \frac{\sin(\pi(2j-1)/n_f - \pi - \psi/2)}{1 + \cos(\pi(2j-1)/n_f - \pi - \psi/2)} \in \partial \mathbb{H}$$

and

$$b_j := C(\tilde{b}_j) = \frac{\sin(\pi(2j-1)/n_f - \pi + \psi/2)}{1 + \cos(\pi(2j-1)/n_f - \pi + \psi/2)} \in \partial \mathbb{H}.$$

D. Borthwick and T. Weich



Figure 4. Sketch of the construction of a 4-funneled symmetric surface defined in Definition 5.1. On the left side one can see the definition of the domain \tilde{S} in the Poincaré disk model. On the right side one can see a schematic sketch of the surface that consists of two copies of \tilde{S} glued together at the geodesic boundaries \tilde{c}_i .



Figure 5. Sketch of the construction of the Schottky group associated to a 4-funneled symmetric surface. The fundamental domain is the union of S and the reflection of this domain along the circle c_4 . The Schottky surface is then obtained by gluing together the circles c_i and R_4c_i (for i = 1, 2, 3) so finally one obtains the same surface as defined in Definition 5.1.

We will henceforth denote the Euclidean disks that are bounded by the geodesics c_i by D_i , their centers by

$$m_j := (b_j + a_j)/2,$$

and their radii by

$$r_j := (b_j - a_j)/2.$$

We can then define the matrices,

$$R_j := \frac{1}{r_j} \begin{pmatrix} m_j & r_j^2 - m_j^2 \\ 1 & -m_j \end{pmatrix}.$$

These matrices have $det(R_i) = -1$, and the associated Möbius transformations,

$$R_{j}u = \frac{m_{j}u + r_{j}^{2} - m_{j}^{2}}{u - m_{j}} = \frac{r_{j}^{2}}{u - m_{j}} + m_{j},$$

are holomorphic transformations on the Riemann sphere $\overline{\mathbb{C}}$ that correspond to a reflection at the boundary circle of D_i followed by a complex conjugation.

With these matrices we can now express the Schottky group associated to the surface $X_{n_f,\psi}$.

Lemma 5.2. With the notation from above let $n_f > 3$ and $0 < \psi < 2\pi/n_f$. Then the finitely generated group

$$\Gamma_{n_f,\psi} := \langle R_{n_f} R_1, \dots, R_{n_f} R_{n_f-1} \rangle$$

is a Schottky group and

$$X_{n_f,\psi}=\Gamma_{n_f,\psi}\backslash\mathbb{H}.$$

Proof. First we note that for $j = 1, ..., n_f - 1$ we have $R_{n_f} R_j \in SL(2, \mathbb{R})$. If we define

$$D_{j+n_f-1} := R_{n_f}(D_j),$$

then the transformation $R_{n_f}R_j$ maps the boundary of D_j to the boundary of D_{j+n_f-1} and the interior of D_j to the exterior of D_{j+n_f-1} . This shows that $\Gamma_{n_f,\psi}$ is a Schottky group in the sense of Example 2.3.

The fact that $X_{n_f,\psi}$ is the associated Schottky surface can be seen as follows. By definition of the disks D_j the fundamental domain of the Schottky group $\Gamma_{n_f,\psi}$ consists of two copies of the domain \tilde{S} that are glued together along c_n . The Schottky surface $\Gamma_{n_f,\psi} \setminus \mathbb{H}$ is obtained by gluing together the fundamental domain along the geodesic boundaries of the disks that are identified by the generators of the Schottky group, so the $\Gamma_{n_f,\psi} \setminus \mathbb{H}$ consists of two copies of S that are glued together the same way as defined in Definition 5.1 (see Figure 5). We can now define the flow-adapted IFS and study its symmetry group. After this we will show, that the dynamical zeta functions of these IFS coincides with the Selberg zeta function.

Definition 5.3. Let $n_f \ge 3$ and $0 < \psi < 2\pi/n_f$. Let m_i , r_i and R_i be constructed as above. We define the offset variable

$$\delta_{\text{offset}} := b_{n_f} - a_1 + 1.$$

The *flow-adapted IFS* is the holomorphic IFS with $N = 2n_f$, where the disks D_i are the Euclidean disks in \mathbb{C} with centers m_i and radii r_i for $1 \le i \le n_f$, and with centers $m_{i-n_f} + \delta_{\text{offset}}$ and radii r_{i-n_f} for $n_f < i \le 2n_f$. The adjacency matrix A is given by $A_{i,j+n_f} = A_{j+n_f,i} = 1$ for all $1 \le i, j \le n_f$ with $i \ne j$, and $A_{i,j} = 0$ else. Finally for $i \rightsquigarrow j$ the maps $\phi_{i,j}$ are given by

$$\phi_{i,j}(u) := \begin{cases} R_{j-n_f}(u) + \delta_{\text{offset}} & \text{for } i = 1, \dots, n_f, \\ R_j(u - \delta_{\text{offset}}) & \text{for } i = n_f + 1, \dots, 2n_f. \end{cases}$$

We next want to compare the symmetry group of the IFS with the symmetry group of the surface (for a sketch of the disk configuration of a 4-funneled surface see Figure 6).



Figure 6. Illustration of the disk configuration of the flow-adapted IFS as defined in Definition 5.3 for a 4-funneled surface.

As the surface consists of two identical parts of $\tilde{S} \subset \mathbb{D}$, glued together, we first note that the symmetry group of the domain \tilde{S} is the dihedral group D_{n_f} , the symmetry group of an n_f sided regular polygon, which is a group of order $2n_f$. This symmetry group is generated by a rotation of $2\pi/n_f$ around $0 \in \mathbb{D}$,

$$\tilde{g}_1(u) = e^{i2\pi/n_f} u$$

and by the reflection along the real axis,

$$\tilde{g}_2(u) = \bar{u}.$$

The surface itself has one additional reflection symmetry, along the plane in which the two copies of \tilde{S} are glued together. This reflection commutes with the action of D_{n_f} on the two copies of \tilde{S} so the full symmetry group of $X_{n_f,\psi}$ is given by $D_{n_f} \times \mathbb{Z}_2$. As the flow-adapted IFS is directly constructed from the two copies of \tilde{S} this symmetry action can directly be transferred via the Cayley transform to the IFS. In particular, the group action of the first generator is given by

$$g_1(u) := C \circ \tilde{g}_1 \circ C^{-1}(u), \qquad \text{for } u \in \bigcup_{j=1}^{n_f} D_j,$$

and

$$g_1(u) := \delta_{\text{offset}} + C \circ \tilde{g}_1 \circ C^{-1}(u - \delta_{\text{offset}}), \text{ for } u \in \bigcup_{j=n_f+1}^{2n_f} D_j.$$

For the definition of the second generator one has to pay a bit more attention, because the reflection along the real axis is an antiholomorphic isometry of \mathbb{D} . So is the transformation of this action to \mathbb{H} , which is given by $C \circ g_2 \circ C^{-1}(u) = -\bar{u}$. In order to make this action holomorphic, as required in Definition 3.1, we have to use the fact that the flow-adapted IFS naturally commutes with complex conjugation. We can thus define

$$g_2(u) = \overline{C \circ g_2 \circ C^{-1}(u)} = -u, \qquad \text{for } u \in \bigcup_{j=1}^{n_f} D_j,$$

and

$$g_2(u) = \delta_{\text{offset}} + \overline{C \circ g_2 \circ C^{-1}(u - \delta_{\text{offset}})} = 2\delta_{\text{offset}} - u, \quad \text{for } u \in \bigcup_{j=n_f+1}^{2n_f} D_j.$$

Finally, the third group generator transforms to

$$g_{3}(u) = \begin{cases} u + \delta_{\text{offset}} & \text{for } u \in \bigcup_{j=1}^{n_{f}} D_{j}, \\ & & 2n_{f} \\ u - \delta_{\text{offset}} & \text{for } u \in \bigcup_{j=n_{f}+1} D_{j} \end{cases}$$

297

10 .

220

D. Borthwick and T. Weich

From the construction of the flow-adapted IFS, it follows directly that the symmetry action commutes with the IFS and that $D_{n_f} \times \mathbb{Z}_2$ is really a symmetry group in the sense of Definition 3.1. The action on the symbols can be represented as a permutation group of the $2n_f$ symbols. In standard cycle notation, the first and third generators can be written as

$$g_1 = (1, 2, \dots, n_f)(n_f + 1, n_f + 2, \dots, 2n_f),$$

$$g_3 = (1, n_f + 1)(2, n_f + 2) \dots (n_f, 2n_f).$$

For the second element we have to distinguish between two cases depending on the parity of n_f : If n_f is even we have

$$g_{2} = (1, n_{f})(2, n_{f} - 1) \dots$$

$$\left(\frac{n_{f}}{2}, \frac{n_{f}}{2} + 1\right)(n_{f} + 1, 2n_{f})(n_{f} + 2, 2n_{f} - 1) \dots$$

$$\left(\frac{3n_{f}}{2}, \frac{3n_{f}}{2} + 1\right),$$

and for n_f odd,

$$g_{2} = (1, n_{f})(2, n_{f} - 1) \dots$$

$$\left(\frac{n_{f} - 1}{2}, \frac{n_{f} + 3}{2}\right)(n_{f} + 1, 2n_{f})(n_{f} + 2, 2n_{f} - 1) \dots$$

$$\left(\frac{3n_{f} - 1}{2}, \frac{3n_{f} + 3}{2}\right).$$

These arguments show that the flow-adapted IFS incorporates the full symmetry group $D_{n_f} \times \mathbb{Z}_2$ of the surface. In order to deduce a corresponding factorization of the Selberg zeta function $Z_{X_{n_f,\psi}}$ associated to the surface, we have one more fact to check. We must verify that the dynamical zeta function of the flow-adapted IFS indeed contains the Selberg zeta function of the surface.

Proposition 5.4. Let $n_f \ge 3$ and $0 < \psi < 2\pi/n_f$, and let \mathcal{L}_s be the Ruelle transfer operator of the flow-adapted IFS as defined in Definition 5.3, with potential² $V_s(u) = [(\phi^{-1})'(u)]^{-s}$. Then the dynamical zeta function coincides with the Selberg zeta function of $X_{n_f,\psi}$

$$Z_{X_{n_f,\psi}}(s) = \det(1-\mathcal{L}_s).$$

298

² Note that for any $u \in \mathbb{R}$, $(\phi^{-1})'(u)$ is real and positive so we can define $[(\phi^{-1})'(u)]^{-s}$ for any $s \in \mathbb{C}$. Because $(\phi^{-1})'(u) \neq 0$, for any $u \in D$, we can holomorphically extend $[(\phi^{-1})'(u)]^{-s}$ in u from the real line to any connected component of $\phi(D)$.

Proof. If we take the trivial group $G = {Id}$ as a symmetry group, then as a special case of Theorem 4.6 we obtain

$$\det(1-\mathcal{L}_s) = \prod_{[\mathbf{w}] \in [\mathcal{W}_{\text{prime}}^{\{\text{Id}\}}]} \prod_{k \ge 0} (1-\phi'_w(u_{\mathbf{w}})^{k+s}).$$

Note that this formula is not at all related to a symmetry decomposition but can be obtained directly by a straight forward calculation (see e.g. [4, proof of Theorem 15.8]). Proposition 5.4 then follows from the following Proposition 5.5 which establishes a one-to-one correspondence between the set $[W_{\text{prime}}^{\{\text{Id}\}}]$ of prime words of the flow-adapted IFS and the set of primitive closed geodesics on $X_{n_f,\psi}$.

Proposition 5.5. Let $n_f \ge 3$ and $0 < \psi < 2\pi/n_f$ and consider the corresponding flow-adapted IFS from Definition 5.3. Then there exists a bijection between the classes of prime words in $[W_{\text{prime}}^{\{\text{Id}\}}]$ and the primitive closed geodesics on $X_{n_f,\psi}$. Additionally, the length of the geodesic associated to $[\mathbf{w}]$ is given by

$$-\log(\phi'_w(u_{\mathbf{w}})). \tag{5.1}$$

Proof. Let R_j with $j = 1, ..., n_f$ be as in Definition 5.3, and $\Gamma_{n_f,\psi}$ the Schottky group from Lemma 5.2. It is known (see e.g. [4, Proposition 2.16]) that the set of primitive closed geodesics on $X_{n_f,\psi}$ is in bijection to the set of primitive conjugacy classes $[T] \in \Gamma_{n_f,\psi}$. (For a conjugacy class, primitive means that there is no $S \in [T]$ such that $S = R^k$ for some $R \in \Gamma_{n_f,\psi}$ and k > 1.) Consequently, our aim is to construct a bijection

 $T: [\mathcal{W}_{\text{prime}}^{\{\text{Id}\}}] \longrightarrow \{\text{primitive conjugacy classes of } \Gamma_{n_f,\psi}\}.$

In order to accomplish this, we note that from the form of the adjacency matrix in Definition 5.3 we have, for $w \in W_k$, that $w_i \le n_f \implies w_{i+1} > n_f$. Thus, if w is a closed word, k has to be even. We first define the map,

$$T: [\mathcal{W}^{\{\mathrm{Id}\}}] \longrightarrow \{\mathrm{conjugacy \ classes \ of \ } \Gamma_{n_f,\psi}\},\$$

on the closed words. Later we will show that we can easily restrict it to the prime words. For a closed word $w = (w_0, \ldots, w_{2r})$, we define the map T by

$$T(w) := \begin{cases} R_{w_{2r}} R_{w_{2r-1}-n_f} \dots R_{w_2} R_{w_1-n_f} & \text{if } w_0 \le n_f, \\ R_{w_{2r-1}} R_{w_{2r-2}-n_f} \dots R_{w_1} R_{w_0-n_f} & \text{if } w_0 > n_f. \end{cases}$$
(5.2)

As closed words have to be of even length, T(w) consists of a even number of reflections and is thus a positive isometry. We first need to show that T is well defined on $[\mathcal{W}^{\{\mathrm{Id}\}}]$, i.e. that it doesn't depend on the choice of the representative of $[\mathbf{w}]$. So let $\mathbf{v} \in [\mathbf{w}]$. Without loss of generality we can assume that $w_0 \leq n_f$ and $v_0 \leq n_f$. Otherwise we could simply apply the right-shift σ_R to obtain such an element in the same equivalence class, and that is mapped to the identical element in $\Gamma_{n_f,\psi}$. Consequently, there exists an integer $0 \leq t \leq r$ such that $v = (w_{2t}, \ldots, w_{2r}, w_1 \ldots, w_{2t})$ and we obtain

$$T(v) = R_{w_{2t}} \dots R_{w_1 - n_f} R_{w_{2r}} \dots R_{w_{2t+2}} R_{w_{2t+1} - n_f} = S^{-1} T(w) S,$$

for $S = R_{w_{2t}} \dots R_{w_{2t+1}-n_f}$. Thus T(v) is in the same conjugacy class as T(w).

In order to see the injectivity, we consider two words v and w that are mapped to the same conjugacy class. We assume first that

$$T(v) = R_a R_b T(w) R_b R_a.$$

From the form of the adjacency matrix, we see that it is not possible that an element in the image of T begins and ends with the same generator. Thus we have either

$$R_b R_a = R_{w_1 - n_f} R_{w_2}$$

or

$$R_a R_b = R_{w_{2r-1}-n_f} R_{w_{2r}}$$

In the first case we have $v = \sigma_L^2 w$ in the latter case $v = \sigma_R^2 w$. By iterating this argument for arbitrary conjugations of T(w) and T(v), we can deduce the injectivity of the map T.

As for the surjectivity of T, we first note that for two arbitrary indices $1 \leq i, j \leq n_f$, the element $R_i R_j$ can be written as $(R_{n_f} R_i)^{-1} R_{n_f} R_j$. This shows that $\Gamma_{n_f,\psi}$ contains all elements that can be written as a composition of an even number of elements R_i . Let $S \in \Gamma_{n_f,\psi}$ be such an arbitrary element, in the form $S = R_{s_{2r}} \dots R_{s_1}$ with $1 \leq s_i \leq n_f$. Since two consecutive identical reflections cancel each other, we can assume that $s_i \neq s_{i+1}$. Finally, if $s_1 = s_{2r}$ then we can conjugate S by $R_{s_2}R_{s_1}$, which leads to an element composed from 2r - 2 reflections. By iterative conjugation, we can thus reduce the element to $\tilde{S} = R_{\tilde{s}_{2\tilde{r}}} \dots R_{\tilde{s}_1}$ with $\tilde{s}_1 \neq \tilde{s}_{2\tilde{r}}$ and we obtain

$$S = T((s_{2\tilde{r}}, s_1 + n_f, s_2, \dots, s_{2\tilde{r}-1} + n_f, s_{2\tilde{r}})).$$

We have thus constructed a bijective map between the classes of closed words and the conjugacy classes in $\Gamma_{n_f,\psi}$. We will now prove that this map can be restricted to a bijection between the classes of prime words and the primitive conjugacy classes. As T is bijective, it suffices to show that T maps composite closed words to composite conjugacy classes. This is, however, straightforward from the definition of T as obviously $T([\mathbf{w}^k]) = T(w)^k$.

We conclude that the restriction of T to the prime words defines a bijection between the classes of closed, prime words and primitive conjugacy classes. Using the above mentioned result on the one-to-one correspondence between oriented primitive geodesics and primitive conjugacy classes, this is equivalently a bijection to the set of primitive, oriented, closed geodesics.

It only remains to prove (5.1). For this, we first recall that the length of the primitive geodesic associated to a conjugacy class of an hyperbolic element $T \in \Gamma_{n_f,\psi}$ is equal to the displacement length of T denoted by l(T) (see e.g. [4, Proposition 2.16]). It is also a well known fact that if $u_T \in \partial \mathbb{H}$ is the stable fixed point of T, then $l(T) = -\log((T)'(u_T))$ (see e.g. [4, (15.2)]). Next we recall from the proof of Theorem 4.4 that $\phi'_w(u_w)$ is independent of the representative in [w]. Assuming, as above, that $w_0 \leq n_f$, we calculate that

$$u_{\mathbf{w}} = \phi_w(u_{\mathbf{w}}) = R_{w_{2r}} \dots R_{w_1 - n_f} u_{\mathbf{w}}.$$

Hence $u_{\mathbf{w}}$ is the stable fixed point of the hyperbolic element T(w), and for the displacement length of T we obtain $l(T(w)) = -\log((T(w))'(u_{\mathbf{w}}))$. This establishes (5.1) and completes the proof of Proposition 5.5.

We have thus shown that the flow-adapted IFS incorporates the full symmetry group $G = D_{n_f} \times \mathbb{Z}_2$ of the surfaces $X_{n_f,\psi}$ and additionally leads to a transfer operator whose dynamical zeta function incorporates the Selberg zeta function of the surface. However, before we can apply Theorem 4.6 to obtain a factorization of the Selberg zeta function we have to face one final problem. The commutation of the group action with the IFS does not imply that the potentials,

$$V_s(u) = [(\phi^{-1})'(u)]^{-s},$$

that appear in the transfer operator \mathcal{L}_s of Proposition 5.4, are *G*-invariant. In fact these potentials are not invariant, as can be seen from the following calculations,

$$\phi^{-1}(gu) = g(\phi^{-1}(u)) \implies (\phi^{-1})'(gu) = \frac{g'(\phi^{-1}(u))}{g'(u)}(\phi^{-1})'(u).$$
(5.3)

Consequently, the transfer operators \mathcal{L}_s do not commute with the left regular *G*-action and will in general not leave the symmetry-reduced function spaces B^{χ} invariant. This problem can however be fixed by an averaging trick for the potential, i.e. by replacing the potential V_s by a family of *G*-invariant potentials V_s^G which leads to the same dynamical zeta functions.

Lemma 5.6. The family of potentials,

$$V_s^G(u) := \prod_{g \in G} V_s(gu)^{1/|G|} = \prod_{g \in G} [(\phi^{-1})'(gu)]^{-s/|G|}$$
(5.4)

is G-invariant.

Furthermore, if \mathcal{L}_s^G denotes the family of transfer operators associated to the potentials V_s^G , then \mathcal{L}_s^G commutes with the left regular *G*-action on *B*(*D*) and

$$\det(1 - z\mathcal{L}_s^G) = \det(1 - z\mathcal{L}_s) = d(s, z).$$
(5.5)

Proof. The *G*-invariance V_s^G is clear by the construction (5.4). It follows directly that \mathcal{L}_s^G commutes with the left regular representation of the *G*-action.

In order to prove (5.5), we can use the fact that in (2.6) the potential appears only via the terms $V_w(u_w)$. Thus it suffices to show that for all $n \in \mathbb{N}$ and all closed words $w \in W_n^{cl}$ we have

$$(V_s^G)_w(u_w) = (V_s)_w(u_w).$$
(5.6)

Thus we calculate for $u \in D$,

Since $\phi^{-1}(\phi_{w_{0,k}}(u)) = \phi_{w_{0,k-1}}(u)$, the terms in subsequent factors of the product over *k* cancel out, and one obtains

$$\left(V_s^G\right)_w(u) = \left(\prod_{g \in G} \frac{g'(u)}{g'(\phi_{w_{0,n_w}}(u))} \prod_{k=1}^{n_w} (\phi^{-1})'(\phi_{w_{0,k}}(u))\right)^{-s/|G|}.$$

Plugging in u_w and using $\phi_{w_{0,n_w}}(u_w) = u_w$, we finally obtain

$$(V_s^G)_w(u_w) = \left(\prod_{g \in G} \prod_{k=1}^{n_w} (\phi^{-1})'(\phi_{w_{0,k}}(u))\right)^{-s/|G|} = \prod_{k=1}^{n_w} ((\phi^{-1})'(\phi_{w_{0,k}}(u)))^{-s}.$$

This proves (5.6) and finishes the proof of Lemma 5.6.

302

From Lemma 5.6 and Corollary 4.8 we conclude

$$\det(1 - z\mathcal{L}_s) = \prod_{\chi \in \hat{G}} d_{\chi}(s, z),$$
(5.7)

303

where

$$d_{\chi}(s,z) = \prod_{k\geq 0} \prod_{[\mathbf{w}]\in[\mathcal{W}_{\text{prime}}^G]} (\det_{V_{\chi}} [1-z^{n_{\mathbf{w}}} [(\phi_{w^{m_{\mathbf{w}}}})'(u_{\mathbf{w}})]^{\frac{s+k}{m_{\mathbf{w}}}} \rho_{\chi}(g_{\mathbf{w}})])^{d_{\chi}}.$$
 (5.8)

Finally, this equation together with Proposition 5.4 yields a factorization of the Selberg zeta function

$$Z_{X_{n_f,\psi}}(s) = \prod_{\chi \in \hat{G}} Z_{X_{n_f,\psi}}^{\chi}(s), \qquad (5.9)$$

with

$$Z^{\chi}_{X_{n_f,\psi}}(s) = d_{\chi}(s,1)$$

5.2. Numerical calculations of resonances on $X_{n_f,\psi}$. We now turn to the issue of numerical computation of the resonances on the surface $X_{n_f,\psi}$. These coincide, according to the Patterson-Perry correspondence, with the zeros of the Selberg zeta function $Z_{X_{n_f},\psi}$. And $Z_{X_{n_f},\psi}$ factors by (5.9) into a product of the analytic symmetry reduced zeta functions $Z_{X_{n_f},\psi}^{\chi}$. So instead of calculating the zeros of $Z_{X_{n_f},\psi}$, it suffices to calculate the zeros of $Z_{X_{n_f},\psi}^{\chi}$. This will turn out to be much easier because the computation of (5.8) requires many fewer fixed points than the full zeta function.

A well known obstacle in the calculation of the zeros of dynamical zeta functions is the fact that the standard product form (5.8) is only valid in the region of absolute convergence. All resonances lie, however, outside the region of absolute convergence, so (5.8) is of no direct use for the numerical calculations of the zeros. The established trick to circumvent this problem, which was first used by Cvitanovic and Eckhardt in physics [9] and later by Jenkinson and Pollicott in mathematics [18], is to exploit the analyticity of the dynamical zeta function in the z-variable. After performing a Taylor expansion in z one obtains an expression for the dynamical zeta function that is everywhere absolutely convergent. For the symmetry-reduced zeta function, this is done in the following proposition which we will state for an arbitrary holomorphic IFS. **Proposition 5.7.** Let $d_V^{\chi}(s, z)$ be the symmetry-reduced dynamical zeta function from Theorem 4.6. The following power series expansion is everywhere absolutely convergent:

$$d_{V}^{\chi}(z) = 1 + \sum_{N=1}^{\infty} z^{N} \sum_{r=1}^{N} \frac{(-1)^{r}}{r!} \sum_{\substack{[([\mathbf{w}_{1}], l_{1}), \dots, ([\mathbf{w}_{r}], l_{r})]\\l_{1}n_{\mathbf{w}_{1}} + \dots + l_{r}n_{\mathbf{w}_{r}} = N}} \prod_{k=1}^{r} T_{[\mathbf{w}_{k}], l_{k}}^{\chi}, \quad (5.10)$$

where the third sum is over all r-tuples of pairs $([\mathbf{w}], l) \in [\mathcal{W}_{\text{prime}}^G] \times \mathbb{N}_{>0}$ such that $l_1 n_{\mathbf{w}_1} + \cdots + l_r n_{\mathbf{w}_r} = N$ and

$$T_{[\mathbf{w}],l}^{\chi} := \frac{d_{\chi}}{l} \frac{\chi(g_{\mathbf{w}}^{l}) V_{w^{m_{\mathbf{w}}}}(u_{\mathbf{w}})^{l/m_{\mathbf{w}}}}{1 - \phi'_{w^{m_{\mathbf{w}}}}(u_{\mathbf{w}})^{l/m_{\mathbf{w}}}}.$$
(5.11)

Remark 5.8. For the special case of the flow-adapted IFS of $X_{n_f,\psi}$ one simply has to replace (5.11) by

$$T_{[\mathbf{w}],l}^{\chi}(s) := \frac{d_{\chi}}{l} \frac{\chi(g_{\mathbf{w}}^{l})(\phi'_{w^{m_{\mathbf{w}}}}(u_{\mathbf{w}}))^{sl/m_{\mathbf{w}}}}{1 - \phi'_{w^{m_{\mathbf{w}}}}(u_{\mathbf{w}})^{l/m_{\mathbf{w}}}}.$$
(5.12)

Proof. From (4.13) and Lemma 4.7 we obtain

$$d_{V}^{\chi}(z) = \exp\Big(-d_{\chi}\sum_{[\mathbf{w}]\in[\mathcal{W}_{\text{prime}}^{G}]}\sum_{l>0}\frac{z^{n_{\mathbf{w}}l}}{l}\frac{\operatorname{Tr}_{V_{\chi}}[\rho_{\chi}(g_{\mathbf{w}}^{l})](V_{w}m_{\mathbf{w}}(u_{\mathbf{w}}))^{l/m_{w}}}{1-(\phi_{w}'m_{w}(u_{\mathbf{w}}))^{l/m_{w}}}\Big).$$

Using the series expression of the exponential function and reordering the terms with respect to powers of *z* leads to (5.10). As (4.13) is absolutely convergent in a neighborhood of zero, and as $d_V^{\chi}(z)$ is an entire function of *z*, the uniform convergence of its Taylor expansion (5.10) on any bounded set follows immediately.

Equation (5.10) can then be used for numerical calculations by truncating the series. We will denote the truncated Selberg zeta function of the surfaces $X_{n_f,\psi}$ by

$$Z_{X_{n_f,\psi}}^{(n)}(s) = \prod_{\chi \in \hat{G}} Z_{X_{n_f,\psi}}^{\chi,(n)}(s)$$
(5.13)

where

$$Z_{X_{n_f,\psi}}^{\chi,(n)}(s) = 1 + \sum_{N=1}^{n} \sum_{r=1}^{N} \frac{(-1)^r}{r!} \sum_{\substack{[([\mathbf{w}_1],l_1),\dots,([\mathbf{w}_r],l_r)]\\l_1n_{\mathbf{w}_1}+\dots+l_rn_{\mathbf{w}_r}=N}} \prod_{k=1}^{r} T_{[\mathbf{w}_k],l_k}^{\chi}(s).$$
(5.14)

This truncated zeta function has been implemented using Sage [33], which allows us to perform efficient numerical calculations using numpy and scipy [19], and also provides an interface to GAP [31], which allows an automated computation of the characters which appear in (5.11). The main problem of these Taylor expanded zeta functions is that the number of fixed points $u_{\mathbf{w}}$ required for the calculation of $Z_{X_{n_f,\psi}}^{\chi,(n)}(s)$ grows exponentially with *n*. In order to have a tractable numerical problem it is crucial that the convergence of $Z_{X_{n_f,\psi}}^{\chi,(n)}(s)$ in *n* be rather fast.

It has been observed that the convergence rate depends both on the parameters of the Schottky surface and also on the complex parameter s [6, 18]. The convergence rate depends very strongly on Re(s) and very weekly on Im(s). As in [6], we can use the relative error term,

$$R_n(s) := \frac{|Z_X^{(n-1)}(s) - Z_X^{(n)}(s)|}{Z_X^{(n)}(s)}$$

to compare convergence rates. Figure 7 shows a comparison of relative error terms for the surface $X_{3,0,1723}$ which corresponds to a 3-funneled Schottky surface with funnel-width $\ell = 12$. We compare the error term obtained by the symmetry factorized zeta function (5.13) of order 6 (blue crosses) with the non-reduced zeta function as used in [6, 18] of order 11 (red dots). Even though we use a much smaller order for the approximation of the symmetry factorized zeta function, the relative error term is significantly smaller for most s values. Especially for Re(s) < 0, the advantage of the symmetry factorized zeta function becomes very dramatic. If one requires a relative accuracy of 10^{-2} the non-reduced zeta function of order 11 allows the calculation of the zeta function only up to $\text{Re}(s) \approx 0$ while the symmetry factorized zeta function of order 6 already allows a calculation up to $\operatorname{Re}(s) \approx -0.2$. The benefit of the symmetry reduction becomes even clearer if one considers how many periodic orbits u_{w} have to be calculated in the two cases. For the non-reduced zeta function of order 11 one needs more then 170000 periodic orbits (cf. [6, Table 1]) the symmetry-reduced zeta function of order 6, however, requires only the calculation of 41 periodic orbits.

This gain of efficiency can be used to calculate resonances in much larger domains. For example, Figure 8 shows the resonance spectrum for the surface $X_{3,0.1723}$. Without symmetry reduction the numerical accessible resonance range was restricted [6] to $\text{Re}(s) \gtrsim 0$. The symmetry reduction allows us to calculate the resonances easily up to Re(s) = -0.3, increasing the width of the resonance strip by a factor of 4.



Figure 7. Relative error term. The blue crosses represent $R_6(x + 1000i)$, calculated with the truncated symmetry factorized zeta function (5.13). The red points represent $R_{11}(x + 1000i)$ for the truncated zeta function without symmetry reduction, as used in [6].



Figure 8. Resonance spectrum for the surface $X_{3,0,1723}$. The different colors correspond to the different representations: I_1 (dark blue), I_2 (light blue), II_1 (red), II_2 (orange), III_1 (dark green), and III_2 (light green). In the left plot the resonances are so dense that they can not be distinguished but appear as continuous line. The right plot shows a zoom into the region of the first crossing of the chains. Here one can distinguish the individual resonances and it becomes evident that within each chain the resonances come from an alternating pair of representations.

Another significant benefit of the symmetry factorization is that it provides additional information on the resonance spectrum. The factorization (5.9) allows us to associate the zeros of the Selberg zeta function to specific unitary irreducible representations of the symmetry group. As discussed above, the symmetry group of the symmetric 3-funneled surface is given by $D_3 \times \mathbb{Z}_2$. Via its action on the symbols, this group can be realized as a permutation group on 6 elements. One then calculates that the group has 6 conjugacy classes and thus 6 irreducible representations. The character table is given in Table 1. As Figure 8 illustrates, the resonance-chain structure corresponds with the symmetry reductions. However, one chain does not correspond to one only representation, as we might have expected, but rather to a pair of representations. The resonances on each chain alternate between the two corresponding representations. Intuitively this alternating behavior can be understood as follows: According to Definition 5.1 all the symmetric *n*-funneled Schottky surfaces consist of two copies of $\tilde{S} \subset \mathbb{D}$ that are glued together along the geodesic boundary, so the surfaces are symmetric with respect to the reflections along the plane in which the two copies are glued together and each resonant state is either symmetric or antisymmetric with respect to this reflection. Those states which are antisymmetric must vanish at the boundaries of \tilde{S} and can thus be considered as resonant states of the open hyperbolic billiard $\tilde{\mathbb{S}}$ with Dirichlet boundary conditions. Those states that are symmetric can be seen as resonant states of the hyperbolic billiard with Neumann boundary conditions. Looking at the character table (Table 1), we see that the two representations on each chain differ exactly by their symmetry with respect to the reflection on the gluing plane which is represented by the permutation (1, 4)(2, 5)(3, 6). Each chain thus corresponds to one specific symmetry type of the hyperbolic billiard S and the alternating behavior comes from switching between Dirichlet and von-Neumann boundary conditions. The same phenomenon is observed in the case of the symmetric 4-funneled surface (Figure 10) as well as for the non-symmetric 3-funneled surface (Figure 1). Note that this observation also fits the findings in [2, 34], where it has been shown that the chain structure is determined by the ratio of the periodic orbit lengths. For the Schottky surfaces considered here this ratio is already fully determined by the geometry of the hyperbolic billiards \tilde{S} , so we also expect the chain structure to be determined by one copy of \tilde{S} . The fact of gluing two copies of \tilde{S} together only doubles the length of all closed geodesics and and thus doubles the number of resonances on the chains by allowing them to alternate between symmetric and antisymmetric types.

D. Borthwick and T. Weich

Table 1. Character table of the symmetry group $D_3 \times \mathbb{Z}_2$ of the symmetric 3-funneled surfaces $X_{3,\psi}$. In the first line the representatives of the conjugacy classes are given in cycle notation, where $D_3 \times \mathbb{Z}_2$ is realized as a permutation group on the symbols of the flow-adapted IFS. The following six lines represent the characters of the six unitary irreducible representations of this group.

	0	(2,3)(5,6)	(1,2,3)(4,5,6)	(1,4)(2,5)(3,6)	(1,4)(2,6)(3,5)	(1,5,3,4,2,6)
I_1	1	1	1	1	1	1
I_2	1	1	1	-1	-1	-1
II_1	1	-1	1	1	-1	1
II_2	1	-1	1	-1	1	-1
III_1	2	0	-1	-2	0	1
III_2	2	0	-1	2	0	-1



Figure 9. Resonance spectrum for the surface $X_{3,0.5930}$. The color code is the same as in Figure 8. The right plot is a zoom into the region of the second crossing of all three chain types. As the resonances on $X_{3,0.5930}$ are less dense than on $X_{3,0.1723}$, individual resonances can be distinguished in some parts of the left plot.

Table 2. Character table of the symmetry group $D_4 \times \mathbb{Z}_2$ of the symmetric 4-funneled surfaces $X_{4,\psi}$. In the first line the representatives of the conjugacy classes are given in cycle notation, where $D_4 \times \mathbb{Z}_2$ is realized as a permutation group on the symbols of the flow-adapted IFS. The following six lines represent the characters of the ten unitary irreducible representations of this group.

		1	0	(2	,4)(6,8)	(1, (5,	(2)(3,4) (6)(7,8)	(1,	2, 3, 4) 6, 7, 8)	(1,	(3)(2,4)	
-	1	1	1		1	(0)	1	(5,	1	(5,	1	
_	1	2	1		1		1		1		1	
	Ι.	I_1	1		1		-1		-1		1	
_	Ι.	I_2	1		1		-1		-1		1	
_	11	I_1	1		-1		-1		1		1	
_	11	I_2	1		-1		-1		1		1	
_	I	V_1	1		-1		1		-1		1	
_	I	V_2	1		-1		1		-1		1	
_	V	1	2		0		0		0		-2	
_	V	2	2		0		0		0		-2	
		(1, (3,	5)(2, 7)(4,	6) 8)	(1,5)(2, (3,7)(4,	8) 6)	(1, 6)(2, (3, 8))(4, (3, 6))(4,	,5) ,7)	(1, 6, 3) (2, 7, 4)	, 8) , 5)	(1,7)(2) (3,5)(4)	8) 6)
I_1		<u> </u>	1		1		1		1		1	
I_2			-1		-1		-1		-1		-1	
II_1			1		1		-1		-1		1	
II_2	2		-1		-1		1		1		-1	
III	1		-1		1		1		-1		-1	
III_2	2		1		-1		-1		1		1	
IV_1	L		-1		1		-1		1		-1	
IV_2	2		1		-1		1		-1		1	
V_1			2		0		0		0		-2	
V_2			-2		0		0		0		2	

Finally, the symmetry decomposition enables us to study the resonance structure of surfaces which were previously not treatable numerically. As an example, we show the resonance structure of the 3-funneled surface $X_{3,0.5930}$ (Figure 9) which corresponds to a funnel-width of 7 and the 4-funneled surface $X_{4,0.1010}$ (Figure 10) which corresponds to a funnel-width of 13. For the 3-funneled surface one observes again resonance chains on a large Im(*s*) range, where each chain is composed of resonances belonging to two representations. As expected from the observations in [2], these chains have a much stronger curvature in comparison to the resonance chains for the surface $X_{3,0.1723}$. For the 4-funneled surface there are no resonance chains visible on a comparable scale to the 3-funneled surfaces. Only if one zooms in strongly on the Im(*s*)-scale and colors the resonances according to the different representations can one see strongly curved chains that are again each composed of contributions from two different representations.



Figure 10. Resonance spectrum for the surface $X_{4,0.1010}$. Resonances of different unitary irreducible representations (cf. Table 2) are plotted in different colors: I_1 (dark blue), I_2 (light blue), II_1 (red), II_2 (orange), V_1 (dark green) and V_2 (light green). There were no resonances found in the plot regions from the representations III_1 , III_2 , IV_1 and IV_2 .

This different behavior between symmetric 3- and 4-funneled surfaces has been predicted in [2], because 4-funneled surface do not have naturally a strong clustering behavior in their primitive length spectrum. A surprising feature, however, is that there is one very stable resonance chain along the imaginary axis related to the two 2-dimensional representations V_1 and V_2 .

As we noted in Example 3.2, in the case X_{l_1,l_1,l_3} with $l_1 \neq l_3$, the symmetry group is $\mathbb{Z}_2 \times \mathbb{Z}_2$, which has four one-dimensional irreducible representations, with the character table shown in Table 3. Proposition 5.7 also applies in this case, and the improvement in convergence properties for the reduced zeta function is impressive, even with this much smaller symmetry group. The error term with n = 6 in this case is actually comparable to the corresponding error term for the

full $D_3 \times \mathbb{Z}_2$ reduction shown in Figure 7. In the $\mathbb{Z}_2 \times \mathbb{Z}_2$ case, to calculate the symmetry-reduced zeta function up to n = 6 requires a calculation of 196 periodic orbits, as opposed to 41 for the larger symmetry group. The gain in efficiency over the unreduced case is still very significant.

Table 3. Character table of the symmetry group $\mathbb{Z}_2 \times \mathbb{Z}_2$ of the surface $X_{7,7,7,01}$.

	0	(1,2)(3,4)	(1,3)(2,4)	(1,4)(2,3)
Α	1	1	1	1
В	1	1	-1	-1
С	1	-1	1	1
D	1	-1	-1	1

Figure 11 shows a companion plot to Figure 9, where the symmetry is broken by perturbing l_3 from 7 to 7.01.



Figure 11. Resonance spectrum for the surface $X_{7,7,7.01}$, which carries a $\mathbb{Z}_2 \times \mathbb{Z}_2$ symmetry group. The different colors correspond to the different representations: A(dark blue), B(light blue), C(red), D(orange) (cf. Table 3). Again the alternating representations differ in their symmetry w.r.t. reflections along the plane spanned by the three funnels.

5.3. Numerical investigations of the spectral gap. As illustrated in the previous subsection the symmetry factorization of the zeta function allows the numerical calculation of the resonance structure on Schottky surfaces that were previously not accessible. In this subsection we will use these convergence improvements in order to investigate the parametric dependence of the spectral gap numerically.

Let us first recall the notion of a spectral gap: By the work of Patterson [25, 26], it is known that the resonance with the largest real part is always located at the critical exponent δ and that all other resonances $s \in \text{Res}(X) \setminus \{\delta\}$ satisfy $\text{Re}(s) < \delta$. By a spectral gap we denote a positive number $\varepsilon > 0$ such that for

$$G_0(X) := \sup\{\operatorname{Re}(s), s \in \operatorname{Res}(X) \setminus \{\delta\}\}$$

one has $G_0(X) < \delta - \varepsilon$. From the positivity and self-adjointness of Δ_X it follows that all resonances with $\operatorname{Re}(s) > 1/2$ lie in the interval $(\frac{1}{2}, 1)$. Consequently, if $\delta > \frac{1}{2}$ the existence of such a gap is immediate. For $\delta \leq \frac{1}{2}$ the existence of such a gap has been shown by Naud [22].

A related notion is the asymptotic spectral gap. If we introduce for $K \ge 0$,

$$G_K(X) := \sup\{\operatorname{Re}(s) : s \in \operatorname{Res}(X) \setminus \{\delta\} \text{ and } |\operatorname{Im}(s)| \ge K\}$$

then the asymptotic spectral gap can be defined by

$$G_{\infty}(X) := \lim_{K \to \infty} G_K(X).$$

While up to now there is not any explicit upper bound known (see [17] for a lower bound), Jakobsen and Naud made the conjecture [17], that for convex co-compact groups one has

$$G_{\infty}(X) = \frac{\delta}{2}.$$

In [6] the dependence of the asymptotic spectral gap on δ was examined. However, the numerically accessible resonance data could not support the above conjecture because of the limitation to small values of δ . Using the symmetry reduction we want to extend the Im(*s*) range in which the resonances for a given surface can be calculated as well as the range of critical exponents δ , i.e. the range of surfaces for which resonances can be calculated. This will provide a more thorough study of the spectral gap as well as the asymptotic spectral gap.

Let $X_{n_f,\psi}$ be a symmetric *n*-funneled surface. According to (5.9) the Selberg zeta function factorizes into its symmetry reduced zeta functions $Z_{X_{n_f,\psi}}^{\chi}(s)$. Beyond the convergence improvement, this symmetry factorization also allows

to study the question of spectral gap and asymptotic spectral gap for particular irreducible representations χ . We define,

$$G_K^{\chi}(X_{n_f,\psi}) := \sup\{\operatorname{Re}(s) : s \in \mathbb{C} \setminus \{\delta\}, \ Z_{X_{n_f,\psi}}^{\chi}(s) = 0, \ |\operatorname{Im}(s)| > K\}$$

In Figure 12 we compare the dependence of the spectral gap for the different representations for the surface $X_{3,0.3631}$ (which corresponds to a surface where the shortest geodesics have lengths equal to 9). To be more precise, Figure 12 shows the resonance envelope functions,



Figure 12. The plot shows the symmetry reduced envelope function $h_{500}^{\chi}(t)$ for the surface $X_{3,0.3631}$ and different representations. As for $\chi = I_1$ and $\chi = I_2$ there is no visible difference between these functions they are both represented by a simple blue line. Similarly red corresponds to II_1 and II_2 and green to III_1 and III_2 .

$$h_w^{\chi}(t) := \max\{\operatorname{Re}(s): Z_{X_{n,\varepsilon},\psi}^{\chi}(s) = 0, |\operatorname{Im}(s) - t| \le w\}.$$

As expected from the observation of the resonance chain structures (see Figure 8 and 9) the envelope functions of the representations I_1 and I_2 are equal to such a good approximation that no difference can be seen in the plot. This also holds for the pairs II_1 and II_2 as well as III_1 and III_2 . Additionally one observes that, while the envelope functions of the representations I and II locally differ slightly

from each other, the spectral gaps

$$G_K^{\chi}(X_{n_f,\psi}) = \sup_{t>K+w} h_w^{\chi}(t)$$

of all the one-dimensional representations are equal to each other up to a very good precision and additionally they are all equal to the spectral gap of the non-reduced system. Only the two-dimensional representations seem to lead to different spectral gaps. The same observation has been made for all other surfaces that we have examined. We therefore conjecture, that for the determination of the asymptotic spectral gap it is enough to study the asymptotic spectral gap of the trivial representation.

Besides the numerical observations, this conjecture is supported by the following heuristic arguments. Morally, the symmetry reduced zeta function associated to the trivial representation corresponds to the Selberg zeta function of a hyperbolic billiard of the symmetry reduced fundamental domain with Neumann boundary conditions. The question of explicit bounds on the asymptotic spectral gap on convex co-compact surfaces can also be interpreted in a more general context of open quantum systems with a fractal trapped set as an improvement of the known topological pressure bounds (cf. [24, Section 8.2]). If such a general improvement of these spectral gap bounds exists, then it should of course be also visible for all symmetry reduced zeta functions that can be interpreted as hyperbolic billiards with certain boundary conditions. Thus, in particular, it should hold also for the symmetry reduction with respect to the trivial representation. For this reason, we will from now on focus on the symmetry reduced spectral gap of the trivial representation $G_K^{I_1}(X_{n_f,\psi})$ in more detail.

Both plots in Figure 13 show the envelope function of the surface $X_{3,0.3631}$ for the trivial representation but on different scales. In the upper plot one sees, that the envelope function $h_{100}(t)$ shows a beating structure. The oscillations correspond to those that have been observed in [6, Figure 22], however one observes that there is a revival of the amplitudes at about t = 25000, where the envelope function nearly reaches δ again. On the lower plot in Figure 13 we see the envelope function $h_w(t)$ but now for a different window width w = 2500 and on a *t*-range which is two orders of magnitude larger. The envelope function again oscillates and the amplitudes show again a nearly periodic modulation. However, now one oscillation of the envelope function in the lower plot corresponds to the modulation of the amplitudes in the upper plot. The beating structure thus repeats at different scales. A convergence of the asymptotic spectral gap towards the conjecture of $\delta/2$ can not be observed. However, the value of $\delta/2$ seems to have an importance as it is on both scales the turning point from where the amplitude oscillations start to grow again. Figure 14 shows that these oscillating envelope functions are also not only an artifact of the 3-funneled Schottky surfaces, that show a particular strong clustering in the length spectrum (cf. [34]), but that they also occur for 4-funneled surfaces.

These oscillations of the envelope function make it difficult to extract reliable information on the asymptotic spectral gap from numerical data. Even if the envelope function has decreased to a certain value within the numerically accessible range, one cannot rule out large-scale oscillations that would return it to higher values.



Figure 13. Envelope function $h_w^{I_1}(t)$ for the surface $X_{3,0.3631}$ on different scales. In the upper plot we have taken w = 100 in the lower plot w = 2500.



Figure 14. Envelope function $h_{30}^{I_1}(t)$ for the 4-funneled surface $X_{4,0.2311}$. The dashed line represents the critical exponent δ .

We nevertheless want to examine the parametric dependence of the asymptotic spectral gap numerically. In particular, we wish to examine the dependence of $G_K(X)$ on the critical exponent δ for 3- and 4-funneled surfaces. In order to avoid effects that come from the finite range of numerically accessible resonances we make sure that $K \ll \max_{Im}$, where \max_{Im} is the maximum of imaginary parts of the accessible resonances. Figure 15 shows $G_0^{I_1}(X)$ and $G_{100}^{I_1}(X)$ for different 3- and 4-funneled surfaces in dependence of the critical exponent δ . As expected from the oscillation of the envelope function, the values of $G_0^{I_1}(X)$ and $G_{100}^{I_1}(X)$ are very similar for all surfaces. We also checked, that this doesn't change if one goes to higher values of K provided that $K \ll \max_{Im}$ is fulfilled. For strongly open surfaces with $\delta \lesssim 0.3$ there is no visible macroscopic gap between the leading resonance at δ and the bulk of the resonances. This, however, changes as one goes to more closed surfaces with $\delta \approx 0.5$. Here one sees a clear gap, and there even seems to be a universal behavior of this gap, as the values for the 3- and 4-funneled surfaces lie on approximately the same line. Note that a very similar behavior of the spectral gap has been observed in numerical and experimental data of quantum resonances in *n*-disk systems [1].



Figure 15. The plot shows the values of $G_0^{I_1}(X)$ (triangles) and $G_{100}^{I_1}(X)$ (stars) for different 3-funneled (blue) and 4-funneled (red) surfaces, in dependence of the critical exponent δ of the surface X. The dashed line indicates the value of the leading resonance which is equal to δ . The dotted line indicates the known bounds on the asymptotic gap and the green solid line shows the conjecture of $\delta/2$.

The examples which we have presented in this subsection demonstrate that the symmetry reduction allows a much more detailed study of the spectral gaps. The efficiency gain that results from restricting our attention to the trivial representation allows us to study the behavior for the envelope function for higher imaginary parts and additionally to study the spectral gap on weakly open surfaces with $\delta \approx 0.5$. Concerning the higher imaginary parts, we could not observe that this improves the spectral gap significantly. We have rather observed that the oscillating behavior of the envelope function repeats itself on different scales. On all surfaces which we could handle numerically the asymptotic spectral gap was already determined quite well by the resonances with low imaginary parts. The study of the weakly open surfaces with $\delta \approx 0.5$ showed however an interesting macroscopic spectral gap which not only holds asymptotically but already from the second resonance on. Especially the fact that the 3-funneled and 4-funneled surfaces behave equally, and that a similar behavior has also been observed for *n*-disk systems [1], suggests that there is a universal principle behind this behavior. To our knowledge there are neither any rigorous nor any heuristic formulas known that describe these observations, and we consider the determination of such formulas as an important task.

Appendices

A. Numerical implementation of symmetry-reduced zeta functions for *n*-funneled Schottky surfaces

In this section we will discuss some practical aspects of the numerical implementation of the symmetry-reduced Selberg zeta functions for symmetric *n*-funneled Schottky surfaces. For a given surface $X_{n_f,\psi}$, a given character χ , and a point $s \in \mathbb{C}$, the task is to calculate the truncated Selberg zeta function (5.14) at a finite order *n*. This task basically splits into two subtasks: First one has to calculate $T_{[\mathbf{w}],l}^{\chi}(s)$ for every pair $([\mathbf{w}], l) \in [\mathcal{W}^G] \times \mathbb{N}$ that appears in the sum. Then one has to handle the combinatorial task of combining these $T_{[\mathbf{w}],l}^{\chi}$ to the products and sums according to (5.14).

By (5.12) the first task reduces, for a given (\mathbf{w}, l) , to the calculation of $\phi'_{w^{m_{\mathbf{w}}}}(u_{\mathbf{w}})$. By the proof of Proposition 5.5 this quantity is directly related to the displacement length of the hyperbolic transformation $T(w^{m_{\mathbf{w}}})$, which was defined for a closed word in (5.2). Using the formula,

$$\cosh(l(T)/2) = \frac{1}{2} \left| \operatorname{Tr}(T) \right|,$$

relating the displacement length l(T) to the trace of the hyperbolic element $T \in SL(2, \mathbb{R})$, we obtain

$$\phi'_{w^{m_{\mathbf{w}}}}(u_{\mathbf{w}}) = \exp(-2l(T(w^{m_{\mathbf{w}}}))) = \exp\left(-2\cosh^{-1}\left(\frac{|\mathrm{Tr}(T(w^{m_{\mathbf{w}}}))|}{2}\right)\right).$$

The second task can be significantly simplified by using the recurrence relation proposed in [12, Section 7]. We can write (5.14) in the form

$$Z_{X_{n_f,\psi}}^{\chi,(n)}(s) = 1 + \sum_{N=1}^{n} \sum_{r=1}^{N} B_{N,r}^{\chi}(s)$$

where

$$B_{N,r}^{\chi}(s) := \frac{1}{r!} \sum_{t \in P(N,r)} \prod_{k=1}^{r} a_{t_k}^{\chi}(s).$$

Here P(N, r) is the set of all *r*-partitions of *N*, i.e. the set of all *r*-tuples

$$t = (t_1, \ldots, t_r) \in \mathbb{N}_{>0}^r$$

such that

$$t_1 + \dots + t_r = N$$

and

$$a_{t_k}^{\chi}(s) := -\sum_{\substack{([\mathbf{w}],l) \in [\mathcal{W}^G] \times \mathbb{N}_{>0} \\ n_{\mathbf{w}} \cdot l = t_k}} T_{[\mathbf{w}],l}^{\chi}(s).$$

To implement this strategy it is sufficient to calculate $a_t^{\chi}(s)$ for all t = 1, ..., n. The coefficients $B_{Nr}^{\chi}(s)$ can then be obtained by the recurrence relation,

$$B_{N,r}^{\chi}(s) = \frac{1}{r} \sum_{t=1}^{N-r+1} B_{N-t,r-1}^{\chi}(s) \cdot a_t^{\chi}(s),$$

with the start value $B_{N,1}^{\chi}(s) = a_N^{\chi}(s)$.

In order to calculate the coefficients $a_t^{\chi}(s)$ one has to determine a representative for each class $[\mathbf{w}] \in [\mathcal{W}^G]$ for $0 < n_{\mathbf{w}} \leq n$. Note this task need only be performed once for all surfaces $X_{n_f,\psi}$ with a fixed number of funnels n_f , so efficiency is not of the utmost importance. (The numerically most expensive task consists in calculating the values of $Z_{X_{n_f}}^{\chi,(n)}(s)$ several million times in order to determine its zeros at a good precision.) Nevertheless, we want to briefly describe an elegant and fast way to determine all such representatives.

We define the symmetry-reduced symbolic dynamics for a n_f -funneled surface to be the complete symbolic dynamics with the symbols

$$\left\{\frac{-n_f-1}{2},\ldots,-1,1,\ldots,\frac{n_f-1}{2}\right\} \quad \text{if } n_f \text{ uneven},$$

and

$$\left\{\frac{-n_f-2}{2}, \dots, -1, 0, 1, \dots, \frac{n_f-2}{2}\right\}$$
 if n_f even.

The term "complete" means that all sequences of symbols are allowed, i.e. the adjacency matrix has the value 1 in each entry. We denote the set of words of the symmetry-reduced symbolic dynamics by W_{sr} . The idea of this symmetry-reduced coding has successfully been used in for 3- and 4-disk systems [10] as well as for 5-disk systems [1].

D. Borthwick and T. Weich

The symmetry-reduced coding can be understood in the example of the 3-funneled surface as follows: A closed geodesic can be represented on two copies of $\tilde{S} \subset \mathbb{D}$. Because the two copies are glued together along the circles c_i , the geodesic alternates between these two copies. If it hits one circle c_i it leaves again at the corresponding partner c_{i+3} or c_{i-3} , respectively. Since there is no geodesic in \tilde{S} entering and leaving the same boundary circle c_i , the geodesic has either to leave the region \tilde{S} by the next circle in clockwise direction or by the next circle in counterclockwise direction. Given a word $w_{sr} \in \mathcal{W}_{sr}$, which consists of a sequence of the symbols $\{1, -1\}$, we can construct the corresponding representative in \mathcal{W}^G as follows. Start at an arbitrary circle c_{start} , with an arbitrary orientation. At each step we proceed to the next circle in the current orientation, but then we either preserve or reverse the orientation for the next step, according to sign of the current symbol. After passing through all symbols of the word w_{sr} , one ends at a circle c_{end} with a final orientation. Now there is a unique symmetry of the surface that maps c_{end} to c_{start} and the final orientation to the initial orientation. We define g to be the associated group element in $D_3 \times \mathbb{Z}_2$. Furthermore, by collecting the indices of the circles from which the geodesic entered the domain S, we get a word $w = (w_0, w_1, \dots, w_n) \in \mathcal{W}$. The representative associated to w_{sr} is then exactly the pair $\mathbf{w} = (w, g)$.

For an uneven number of funnels $n_f > 3$, we must allow for the possibility to leave \tilde{S} through the next 2, 3, ..., $(n_f - 1)/2$ circles in either the clockwise or counterclockwise direction. The symbols $n = 1, ..., (n_f - 1)/2$ thus correspond to "go *n* steps in the current orientation and keep the orientation", and the symbols $-n = -1, ..., -(n_f - 1)/2$ correspond to "go *n* steps in the current orientation and switch the orientation for the next step". In the even case, one has to include also the possibility of stepping forward $n_f/2$ circles. Here it makes no difference which orientation is taken. This possibility is encoded by the label 0 and the current orientation for the further steps is not changed in this case.

Via this algorithm, one can identify words in the reduced symbolic dynamics with elements $\mathbf{w} \in \mathcal{W}^G$. Note that the idea of the reduced symbolic dynamic is not to encode the absolute position of the closed geodesics, but rather to encode the relative changes as one moves along the geodesic. The reduced symbolic dynamic is thus by construction compatible with the action of the symmetry group in the following sense. If \mathbf{w} and \mathbf{w}' are two elements in \mathcal{W}^G obtained from the same reduced word w_{sr} using a different starting circle or orientation, then they are in the same *G*-orbit in \mathcal{W}^G and vice versa. It is easy to check that the shift action on \mathcal{W}_{sr} corresponds to the shift action on \mathcal{W}^G and similarly for the composition of words. Thus one has identified the orbits of prime words under the shift action in \mathcal{W} with the prime elements in $[\mathcal{W}^G]$, which provides an easy means to generate a list of representatives of the elements in $[\mathcal{W}^G_{\text{prime}}]$.

Let us return to the 3-funneled surface for an illustrating example. The alphabet consists of two symbols +1 and -1 and accordingly there are only the two words (1) and (-1) of length one. Let us write $\{c_i, \pm\}$ for a visit of the circle c_i with positive/negative orientation. Starting with c_1 with positive orientation, the word (1) leads to the sequence $\{c_1, +\}, \{c_5, +\}$ while the word (-1) leads to $\{c_1, +\}, \{c_5, -\}$. Now the symmetry group of the 3-funneled surface $D_3 \times \mathbb{Z}_2$, represented as a permutation group of the six symbols, contains two elements that map c_5 to c_1 , namely (1, 6, 2, 4, 3, 5) and (1, 5)(2, 4)(3, 6). While the first one preserves the orientation of the labels, the second one changes them (see Figure 16).



Figure 16. Illustration of the label permutation of the two group elements (1, 6, 2, 4, 3, 5) and (1, 5)(2, 4)(3, 6) on the two copies of \tilde{S} . While the first one preserves the orientation of the labels, the second group element inverts it.

The symmetry reduced word (1) thus corresponds to the pair

$$\mathbf{w}_{(1)} = ((1,5), (1,6,2,4,3,5)) \in \mathcal{W}^G,$$

while the symmetry reduced word (-1) corresponds to

$$\mathbf{w}_{(-1)} = ((1,5), (1,5)(2,4)(3,6)) \in \mathcal{W}^G$$

While the multiplicity of the first word is $m_{\mathbf{w}_{(1)}} = 6$ for the second word we have $m_{\mathbf{w}_{(-1)}} = 2$. The closed word $\mathbf{w}_{(-1)}^{m_{\mathbf{w}_{(-1)}}}$ then corresponds to a geodesic that winds one time around one of the funnels while the closed word $\mathbf{w}_{(1)}^{m_{\mathbf{w}_{(1)}}}$ weaves around all three funnels (see Figure 17 for a sketch of the two geodesics).

The symmetry-reduced words of length two are given by (1, 1), (-1, -1), (1, -1) and (-1, 1). The first two elements are not prime, and last two are related to each other by the shift action. At length two it thus suffices to study the

single symmetry reduced word (1, -1). Applying the algorithm yields a sequence $(\{c_1, +\}, \{c_5, +\}, \{c_3, -\})$. The corresponding closing group element is given by (1, 3)(4, 6) and the geodesic of the closed word $\mathbf{w}_{(1,-1)}^{m_{\mathbf{w}_{(1,-1)}}}$ winds in a figure-eight shape around two funnels (see Figure 17).



Figure 17. Sketch of three geodesics appearing in the construction via the symmetry reduced symbolic dynamics. The geodesic in the upper left figure belongs to the symmetry reduced word (1), the upper right figure to the word (-1) and the lower figure to (1, -1). The dashed blue lines correspond to the cut lines along which the two copies \tilde{S} are glued together.

B. Convergence rate estimates

For a general holomorphic IFS we have noted that the dynamical zeta function $d_V(z)$ is an entire function of z and therefore the corresponding power series

$$d_V(z) = 1 + \sum_{n=1}^{\infty} d_n z^n,$$

converges absolutely for all z. In the application to Selberg zeta functions, we would like to understand the rate of convergence of this series when z = 1.

To estimate the coefficients (following ideas from [18]), we first note that the Fredholm definition of the determinant

$$d_V(z) := \det(1 - z\mathcal{L}_V)$$

allows us to write

$$d_n = (-1)^n \operatorname{Tr}(\wedge^n \mathcal{L}_V)$$

where $\wedge^n A$ denotes the *n*-th antisymmetric tensor power of the operator *A*. We can bound the d_n by the trace norm of $\wedge^n \mathcal{L}_V$, which can be expressed in terms of the singular values of \mathcal{L}_V . Using the Hadamard bound on $n \times n$ matrices with entries smaller than or equal to one, this yields the estimate,

$$|d_n| \le n^{n/2} \sum_{i_1 < \dots < i_n} \mu_{i_1}(\mathcal{L}_V) \dots \mu_{i_n}(\mathcal{L}_V).$$
(B.1)

To estimate the singular values of \mathcal{L}_V is relatively straightforward. Let us introduce an explicit orthonormal basis $\{\psi_n\}$ for $\mathcal{B}(D_j)$,

$$\psi_n(z) := \sqrt{\frac{n+1}{\pi r_j^2}} \left(\frac{z-m_j}{r_j}\right)^n,$$

where m_j and r_j denote the center and radius of D_j , respectively. According to (2.3), for each $i \rightsquigarrow j$ the transfer operator \mathcal{L}_V has a component

$$L_{i,j}: \mathfrak{B}(D_j) \longrightarrow \mathfrak{B}(D_i),$$

given by

$$L_{i,j} f(u) = V(\phi_{i,j}(u)) f(\phi_{i,j}(u))$$

for $u \in D_i$, $f \in \mathcal{B}(D_j)$. If η_{ij} is defined by

$$\eta_{ij} := d(\phi_{i,j}(D_i), \partial D_j) > 0,$$

then the action of the transfer operator on a basis element can be estimated explicitly by

$$\|L_{i,j}\psi_n\|_{\mathcal{B}(D_i)} \le \sqrt{n+1} \, \frac{r_i}{r_j} \Big(1 - \frac{\eta_{ij}}{r_j}\Big)^n \sup_{u \in D_i} |V(\phi_{i,j}(u))| \,. \tag{B.2}$$

Note that these bounds decay exponentially as a function of *n*, at a rate determined only by η_{ij} and r_j .

By min-max, we can combine these basis element estimates into a singular value estimate,

$$\mu_k(L_{i,j}) \le \sum_{n=k}^{\infty} \|L_{i,j}(s)\psi_n\|_{\mathcal{B}(D_i)}.$$
(B.3)

These component estimates can then be combined into an estimate of the singular values of the full transfer operator. The result is an estimate

$$\mu_k(\mathcal{L}_V) \le CM_V e^{-cn},$$

where c > 0 and C depend only on the geometric structure of the IFS, and

$$M_V := \sup_{i \rightsquigarrow j} \sup_{u \in D_i} \left| V(\phi_{i,j}(u)) \right|.$$

Using this estimate in (B.1) then gives

$$|d_n| \le C^n M_V^n n^{n/2} e^{-cn^2}.$$

Note that although the decay of the coefficients is always super-exponential, the convergence rate could still be extremely poor for small n if V is large.

For the symmetry-reduced transfer operator

$$\mathcal{L}_V^{\chi} := \mathcal{L}_V P_{\chi},$$

the same estimate applies, because

$$\mu_k(\mathcal{L}_V^{\chi}) \le \|P_{\chi}\|\mu_k(\mathcal{L}_V).$$

(On $\mathcal{B}(D)$ the P_{χ} are not orthogonal projections, but of course they are still bounded operators.) In cases where the disks are of roughly equal sizes we'd expect $||P_{\chi}|| \approx 1$, so this estimate does not explain the observation in Section 5.2 that convergence rates seem to be much higher in the symmetry-reduced case.

We can interpret this improved convergence as a result of dramatically reducing the size of the Hilbert spaces on which the transfer operator acts. Let us suppose, for example, that the singular value bounds for each component of the transfer operator given in (B.2) and (B.3) give uniform bounds

$$\mu_k(L_{i,j}) \le CM_V e^{-\alpha n}.\tag{B.4}$$

....

For a Schottky group with 2 generators, we need to combine singular estimates for 12 components $L_{i,j}$ to estimate the singular values of \mathcal{L}_V itself. The additive Fan inequality (see e.g. [4, Theorem A.18]) allows us to combine these estimates for the 12 components into the estimate

$$\mu_k(\mathcal{L}_V) \le 12CM_V e^{-\alpha n/12}.$$

In other words, whatever decay rate we achieved for components in (B.4) might be considerably degraded for the full transfer operator.

On the other hand, for \mathcal{L}_V^{χ} we obtain a basis for all of \mathcal{B}^{χ} by applying P^{χ} to the basis ψ_n for a single disk. If we assume that the disks are of roughly equal radii, so that P^{χ} is close to orthogonal, then we can replace the estimates (B.2) with an estimate that applies to a full basis $\{\psi_n\}$ for \mathcal{B}^{χ} , by taking the maximum over *i*, *j*. Then instead of the component-wise estimate (B.4), we would have an estimate for the singular values of the full transfer operator,

$$\mu_k(\mathcal{L}_V^{\chi}) \le C M_V e^{-\alpha n},$$

with no loss of decay rate in the exponent α . Of course, this argument involves upper bounds which are not necessarily effective in either case. But it perhaps suggests a plausible mechanism for the dramatically improved decay rates in the symmetry-reduced numerical calculations.

Another heuristic justification for the good convergence of the symmetry-reduced zeta function is the "shadowing orbits" argument made by Cvitanovic and Eckhardt [9, 10] in the setting of 3-disk systems. They propose that in the Taylor coefficients d_n with $n \ge 2$, the contributions of long closed geodesics are largely canceled by the combination of shorter geodesics. Translated to the threefunneled surface and the case of the trivial representation $\chi = I_1$, these arguments can be illustrated at the following example: According to Appendix A the pairs

$$\mathbf{w}_{(1)} = ((1,5), (1,6,2,4,3,5))$$

and

$$\mathbf{w}_{(-1)} = ((1,5), (1,5)(2,4)(3,6))$$

are the representatives of the only classes of primitive G-closed words of length 1 and

$$\mathbf{w}_{(1,-1)} = ((1,5,3), (1,3)(4,6))$$

is a representative of the only class of length 2. Using (5.14) we can write

$$d_1^{I_1} = -(T_{[\mathbf{w}_{(1)}],1}^{I_1} + T_{[\mathbf{w}_{(-1)}],1}^{I_1})$$
(B.5)

and

$$d_2^{I_1} = \frac{1}{2!} (T_{[\mathbf{w}_{(1)}],1}^{I_1} + T_{[\mathbf{w}_{(-1)}],1}^{I_1})^2 - (T_{[\mathbf{w}_{(1,-1)}],1}^{I_1} + T_{[\mathbf{w}_{(1)}],2}^{I_1} + T_{[\mathbf{w}_{(-1)}],2}^{I_1}).$$
(B.6)

From the definition (5.12) of $T_{[\mathbf{w}_{(1)}],1}^{I_1}$ and the identification of closed words and closed geodesics in Proposition 5.5 we have

$$T_{\mathbf{w}_{(1)},k}^{I_1} = \frac{1}{k} \frac{\exp(-skl_{(1)}/m_{\mathbf{w}_{(1)}})}{1 - \exp(-kl_{(1)}/m_{\mathbf{w}_{(1)}})},$$

where $l_{(1)}$ is the length of the closed geodesic corresponding to the symmetry reduced word (1) (see upper left part of Figure 17). Analogous expressions can be obtained also for the other terms. The crucial observation is that, for three funneled Schottky surfaces with sufficiently large funnel widths, there exists a base length ℓ with

$$l_{(1)}/m_{\mathbf{w}_{(1)}} \approx l_{(-1)}/m_{\mathbf{w}_{(-1)}} \approx \ell$$

and

$$l_{(1,-1)}/m_{\mathbf{w}_{(1,-1)}} \approx 2\ell$$

Indeed this approximation is well satisfied for the surfaces which we consider. For example for the surface $X_{3,0.5930}$ the base length is given by $\ell = 3.5$ and we have

$$l_{(1)}/m_{\mathbf{w}_{(1)}} = 3.530,$$

 $l_{(-1)}/m_{\mathbf{w}_{(-1)}} = 3.5,$
 $l_{(1,-1)}/m_{\mathbf{w}_{(1,-1)}} = 7.032$

Using the approximation of the lengths as well as the approximation

$$1 - \exp(-k\ell) \approx 1$$

we observe that the terms in (B.6) cancel each other. More precisely, one observes that the different combinations of *G*-closed words of length 1 cancel with those of length 2. This approximate canceling can also be observed for the higher Taylor coefficients, leading to very quick convergence.

Note that for the dynamical zeta function obtained by the standard Bowen– Series maps such a cancellation can not be observed due to the asymmetric treatment of the geodesics (cf. discussion in Example 3.2). Even when the dynamical zeta function is analytic in z and the Taylor coefficients thus decay superexponentially, the convergence is much slower in this case due to the non-optimal ordering of the geodesics. For non-reduced flow-adapted IFS and three funneled Schottky surfaces, [34, Lemma 5.6.] implies that such a cancellation occurs for the coefficients of order strictly larger than 6. Without symmetry reduction the lower coefficients do however not cancel completely as the symbolic dynamic is not complete and the remaining terms have been identified to be responsible for the structure of the resonance chains.

References

- S. Barkhofen, Microwave measurements on n-disk systems and investigation of branching in correlated potentials and turbulent flows. Ph.D. thesis. Philipps-Universität Marburg, Marburg, 2013.
- [2] S. Barkhofen, F. Faure, and T. Weich, Resonance chains in open systems, generalized zeta functions and clustering of the length spectrum. *Nonlinearity* 27 (2014), 1829-1858. Zbl 1295.81077
- [3] S. Barkhofen, T. Weich, A. Potzuweit, H-J. Stöckmann, U. Kuhl, and M. Zworski, Experimental observation of the spectral gap in microwave *n*-disk systems. *Phys. Rev. Lett.* **110** (2013), article id. 164102.
- [4] D. Borthwick, Spectral theory of infinite-area hyperbolic surfaces. Progress in Mathematics, 256. Birkhäuser Boston, Boston, MA, 2007. MR 2344504 Zbl 1130.58001
- [5] D. Borthwick, Sharp geometric upper bounds on resonances for surfaces with hyperbolic ends. Anal. PDE 5 (2012), no. 3, 513–552. MR 2994506 Zbl 1264.35157
- [6] D. Borthwick, Distribution of resonances for hyperbolic surfaces. *Exp. Math.* 23 (2014), no. 1, 25–45. MR 3177455 Zbl 1321.58021
- [7] J. Bourgain, A. Gamburd, and P. Sarnak, Generalization of Selberg's ³/₁₆ theorem and affine sieve. Acta Math. 207 (2011), no. 2, 255–290. MR 2892611 Zbl 1276.11081
- [8] J. Button, All Fuchsian Schottky groups are classical Schottky groups. In I. Rivin, C. Rourke, and C. Series (eds.), *The Epstein birthday schrift*. Topology Monographs, 1. Geometry & Topology Publications, Coventry, 1998, 117–125. MR 1668339 MR 1668319 (collection) Zbl 0909.20031 Zbl 0901.00063 (collection)
- [9] P. Cvitanović and B. Eckhardt, Periodic-orbit quantization of chaotic systems. *Phys. Rev. Lett.* 63 (1989), no. 8, 823–826. MR 1008905
- [10] P. Cvitanovic and B. Eckhardt, Symmetry decomposition of chaotic dynamics. Nonlinearity 6 (1993), no. 2, 277–311. MR 1211572 Zbl 0773.58013
- [11] L. Guillopé, Fonctions zêta de Selberg et surfaces de géométrie finie. In N. Kurokawa and T. Sunada (eds.), *Zeta functions in geometry*. Papers from the Research Conference held at Tokyo Institute of Technology, Tokyo, August 14–18, 1990. Advanced Studies in Pure Mathematics, 21. Published for the Mathematical Society of Japan by Kinokuniya, Tokyo; distributed outside Japan by the American Mathematical Society, Providence, R.I., 1992, 33–70. MR 1210782 MR 1210779 (collection) Zbl 0794.58044 Zbl 0771.00036 (collection)
- [12] L. Guillopé, K.K. Lin, and M. Zworski, The Selberg zeta function for convex co-compact Schottky groups. *Comm. Math. Phys.* 245 (2004), no. 1, 149–176. MR 2036371 Zbl 1075.11059
- [13] L. Guillopé and M. Zworski, Upper bounds on the number of resonances for noncompact Riemann surfaces. J. Funct. Anal. 129 (1995), no. 2, 364–389. MR 1327183 Zbl 0841.58063

- [14] L. Guillopé and M. Zworski, Scattering asymptotics for Riemann surfaces. Ann. of Math. (2) 145 (1997), no. 3, 597–660. MR 1454705 Zbl 0898.58054
- [15] L. Guillopé and M. Zworski, The wave trace for Riemann surfaces. *Geom. Funct. Anal.* 9 (1999), no. 6, 1156–1168. MR 1736931 Zbl 1736931
- [16] D. Jakobson and F. Naud, On the resonances of convex co-compact subgroups of arithmetic groups. Preprint 2010. arXiv:1011.6264 [math.SP]
- [17] D. Jakobson and F. Naud, On the critical line of convex co-compact hyperbolic surfaces. *Geom. Funct. Anal.* 22 (2012), no. 2, 352–368. MR 2929068 Zbl 1284.30035
- [18] O. Jenkinson and M. Pollicott, Calculating Hausdorff dimension of Julia sets and Kleinian limit sets. Amer. J. Math. 124 (2002), no. 3, 495–545. MR 1902887 Zbl 1002.37023
- [19] E. Jones, T. Oliphant, P. Peterson, et al, SciPy: Open source scientific tools for Python, 2001.
- [20] W. Lu, S. Sridhar, and M. Zworski, Fractal Weyl laws for chaotic open systems. *Phys Rev Lett.* 91 (2003), no. 15, article id. 154101.
- [21] R. R. Mazzeo and R. B. Melrose, Meromorphic extension of the resolvent on complete spaces with asymptotically constant negative curvature. *J. Funct. Anal.* 75 (1987), no. 2, 260–310. MR 0916753 Zbl 0636.58034
- [22] F. Naud, Expanding maps on Cantor sets and analytic continuation of zeta functions. Ann. Sci. École Norm. Sup. (4) 38 (2005), no. 1, 116–153. MR 2136484 Zbl 1110.37021
- [23] F. Naud, Density and location of resonances for convex co-compact hyperbolic surfaces. *Invent. Math.* 195 (2014), no. 3, 723–750. MR 3166217 Zbl 1291.58016
- [24] S. Nonnenmacher, Spectral problems in open quantum chaos. *Nonlinearity* 24 (2011), no 12, R123-R167. Zbl 1229.35223
- [25] S. J. Patterson, The limit set of a Fuchsian group. Acta Math. 136 (1976), no. 3-4, 241–273. MR 0450547 Zbl 0336.30005
- [26] S. J. Patterson, On a lattice-point problem in hyperbolic space and related questions in spectral theory. Ark. Mat. 26 (1988), no. 1, 167–172. MR 0948288 Zbl 0645.10040
- [27] S. J. Patterson and P. A. Perry, The divisor of Selberg's zeta function for Kleinian groups. *Duke Math. J.* **106** (2001), no. 2, 321–390. Appendix A by Ch. Epstein. MR 1813434 Zbl 1012.11083
- [28] P. Perry, A Poisson summation formula and lower bounds for resonances in hyperbolic manifolds. *Int. Math. Res. Not.* 2003 (2003), no. 34, 1837–1851. MR 1988782 Zbl 1035.58020
- [29] A. Potzuweit, T. Weich, S. Barkhofen, U. Kuhl, H.-J. Stöckmann, and M. Zworski, Weyl asymptotics: From closed to open systems. Phys. Rev. E 86 (2012), no. 6, article id. 066205.
- [30] D. Ruelle, Zeta-functions for expanding maps and Anosov flows. *Invent. Math.* 34 (1976), no. 3, 231–242. MR 0420720 Zbl 0329.58014

- [31] M. Schönert et al, GAP Groups, Algorithms, and Programming. Version 3, release 4, patchlevel 4. Rheinisch Westfälische Technische Hochschule, 1997.
- [32] H. Schomerus and J. Tworzydło, Quantum-to-classical crossover of quasibound states in open quantum systems. *Phys. Rev. Lett.* **93** (2004), article id. 154102.
- [33] W. A. Stein et al, Sage Mathematics Software (Version 6.1.1). The Sage Development Team, 2014. http://www.sagemath.org
- [34] T. Weich, Resonance chains and geometric limits on schottky surfaces. *Comm. Math. Phys.* 337 (2015), no. 2, 727–765. MR 3339161 Zbl 1321.30029
- [35] T. Weich, S. Barkhofen, U. Kuhl, C. Poli, and H. Schomerus, Formation and interaction of resonance chains in the open 3-disk system. *New J. Phys.* 16 (2014), article id. 033029.
- [36] M. Zworski, Dimension of the limit set and the density of resonances for convex cocompact hyperbolic surfaces. *Invent. Math.* 136 (1999), no. 2, 353–409. MR 1688441 Zbl 1016.58014

Received July 23, 2014; revised February 15, 2015

David Borthwick, Department of Mathematics and Computer Science, Emory University, 400 Dowman Dr., W401, Atlanta, GA 30322, USA

e-mail: davidb@mathcs.emory.edu

Tobias Weich, Institut für Mathematik, Universität Paderborn, 33098 Paderborn, Germany

e-mail: weich@math.uni-paderborn.de