## On Some Uniform Convexities and Smoothness in Certain Sequence Spaces

Y. Cui, H. Hudzik and R. Płuciennik

Abstract. It is proved that any Banach space X with property  $A_2^c$  has property  $A_2$  and that a Banach space X is nearly uniformly smooth if and only if it is nearly uniformly.\*smooth and weakly sequentially complete. It is shown that if X is a Köthe sequence space the dual of which contains no isomorphic copy of  $l_1$  and has property  $A_2^c$ , then X has the uniform Kadec-Klee property. Criteria for nearly uniformly convexity of Musielak-Orlicz spaces equipped with the Orlicz norm are presented. It is also proved that both properties nearly uniformly smoothness and nearly uniformly convexity for Musielak-Orlicz spaces equipped with the Luxemburg norm coincide with reflexivity. Finally, an interpretation of those results for Nakano spaces  $l^{(p_i)}$   $(1 < p_i < \infty)$  is given.

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## 1. Introduction

Let  $(X, \|\cdot\|)$  be a real Banach space and  $X^*$  be the dual space of X. By B(X) and S(X) we denote the closed unit ball and the unit sphere of X, respectively. For any subset A of X by conv(A) we denote the convex hull of A. In the sequel N,  $\mathbb{R}$ ,  $\mathbb{R}_+$  and  $\mathbb{R}_+^e$  stand for the set of natural numbers, the set of reals, the set of non-negative reals and the interval  $[0, +\infty]$ , respectively.

The following notions used in the paper can be found in [14: Chapter 1].

A sequence  $(x_n)$  in a real Banach space X is called a Schauder basis of X (or basis for short) if for each  $x \in X$  there exists a unique sequence  $(a_n)$  of reals such that

 $\left\|x-\sum_{n=1}^k a_n x_n\right\|\longrightarrow 0 \quad \text{as } k\to\infty.$ 

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A sequence  $(x_n)$  which is a Schauder basis of its closed linear span is called a *basic* sequence.

A basis  $(x_n)$  of X is said to be an unconditional basis if every convergent series  $\sum_{n=1}^{\infty} a_n x_n$  with  $a_n \in \mathbb{R}$  is unconditionally convergent, i.e. for any permutation  $(\pi(n))$  of N the series  $\sum_{n=1}^{\infty} a_{\pi(n)} x_{\pi(n)}$  converges.

For a basis  $(x_n)$  of X, its *basic constant* is defined by  $K = \sup_n ||P_n||$ , where  $P_n: X \to X$  are projections defined by

$$P_n\left(\sum_{i=1}^\infty a_i x_i\right) = \sum_{i=1}^n a_i x_i.$$

If  $(x_n)$  is a basis of X such that the series  $\sum_{n=1}^{\infty} a_n x_n$  converges whenever  $(a_n)$  is a sequence of reals such that

$$\sup_n \left\|\sum_{i=1}^n a_i x_i\right\| < \infty,$$

then  $(x_n)$  is said to be a boundedly complete basis. It is known that  $(x_n)$  is a boundedly complete basis of a Banach space X if and only if  $(x_n)$  is an unconditional basis and X is weakly sequentially complete.

Recall that X is said to be weakly sequentially complete if for any sequence  $(y_n)$  in X such that  $\lim_n x^*(y_n)$  exists for every  $x^* \in X^*$ , there is  $y \in X$  such that  $y_n \to y$  weakly.

Clarkson [5] introduced the concept of uniform convexity. The norm  $\|\cdot\|$  is called *uniformly convex* if for each  $\varepsilon > 0$  there is  $\delta > 0$  such that for  $x, y \in S(X)$  the inequality  $\|x - y\| > \varepsilon$  implies  $\|\frac{1}{2}(x + y)\| < 1 - \delta$ .

A Banach space X is said to have the Kadec-Klee property if every sequence from S(X) weakly convergent to an element  $x \in S(X)$  is convergent to x in norm. Recall that for a given  $\varepsilon > 0$  a sequence  $(x_n)$  is said to be  $\varepsilon$ -separated if

$$\operatorname{sep}(x_n) = \inf_{m \neq n} \{ \|x_n - x_m\| \} > \varepsilon.$$

A Banach space X is said to have the uniform Kadec-Klee property if for every  $\varepsilon > 0$ there exists  $\delta > 0$  such that if x is a weak limit of an  $\varepsilon$ -separated sequence in S(X), then  $||x|| < 1 - \delta$ .

The notion of nearly uniformly convexity for Banach spaces was introduced in [11]. It is an infinite dimensional counterpart of the classical uniform convexity. A Banach space is said to be *nearly uniformly convex* if for every  $\varepsilon > 0$  there exists  $\delta \in (0,1)$  such that for every sequence  $(x_n) \subset B(X)$  with  $\operatorname{sep}(x_n) > \varepsilon$ , there holds

$$\operatorname{conv}(\{x_n\}) \cap (1-\delta)B(X) \neq \phi.$$

It is easy to see that every nearly uniformly convex space has the uniform Kadec-Klee property, and every Banach space with the uniform Kadec-Klee property has the Kadec-Klee property. Huff [11] proved that X is nearly uniformly convex if and only if X is reflexive and has the uniform Kadec-Klee property.

A Banach space X is said to be nearly uniformly smooth if for any  $\varepsilon > 0$  there exists  $\delta > 0$  such that for each basic sequence  $(x_n)$  in B(X) there is k > 1 such that

$$\|x_1 + tx_k\| \le 1 + t\varepsilon$$

for each  $t \in [0, \delta]$  (see [17, 18]). Originally, this property was defined in [20] in a different way. Prus [17] showed that a Banach space X is nearly uniformly convex if and only if  $X^*$  is nearly uniformly smooth.

For  $x \in S(X)$  and a positive number  $\delta$ , denote

$$S^*(x,\delta) = \{x^* \in B(X^*) : x^*(x) \ge 1 - \delta\}.$$

Let A be a bounded subset of X. Its Kuratowski measure of non-compactness  $\alpha(A)$  is defined as the infimum of all numbers d > 0 such that A may be covered by finitely many sets of diameter smaller than d (see [1, 2]).

A Banach space X is said to be nearly uniformly \*-smooth provided that for every  $\varepsilon > 0$  there exists  $\delta > 0$  such that if  $x \in S(X)$ , then

$$\alpha(S^*(x,\delta)) \leq \varepsilon.$$

A Banach space X is said to have property  $A_2$  if there exists  $\Theta \in (0, 2)$  such that for each weakly null sequence  $(x_n)$  in S(X), there are  $n_1, n_2 \in \mathbb{N}$  satisfying  $||x_{n_1} + x_{n_2}|| < \Theta$ . It is well known that if X has property  $A_2$ , then it has the weak Banach-Saks property (see [7]).

A Banach space X is said to have property  $A_{2}^{\epsilon}$  if for any  $\epsilon > 0$  there exists  $\delta > 0$ such that for each weakly null sequence  $(x_{n})$  in B(X), there is  $k \in \mathbb{N} \setminus \{1\}$  satisfying  $||x_{1} + tx_{k}|| < 1 + t\epsilon$  whenever  $t \in [0, \delta]$ . Prus [18] proved that X is nearly uniformly \*-smooth if and only if X has property  $A_{2}^{\epsilon}$  and contains no copy of  $l_{1}$ . Moreover, he also showed that if X is nearly uniformly \*-smooth, then it has the weak Banach-Saks property.

The space of all real sequences x = (x(i)) is denoted by  $l^0$ . A Banach space X is called a *Köthe sequence space* if it is a subspace of  $l^0$  equipped with a norm  $\|\cdot\|$  such that for every  $x = (x(i)) \in l^0$  and  $y = (y(i)) \in X$  satisfying  $|x(i)| \leq |y(i)|$  for all  $i \in \mathbb{N}$ , there hold  $x \in X$  and  $||x|| \leq ||y||$ .

X is said to have the Fatou property, if  $0 \le x_n \uparrow x$  with  $x_n \in X$ ,  $x \in l^0$ ,  $\sup_{n \in \mathbb{N}} \{ \|x_n\| \} < \infty$  imply  $x \in X$  and  $\lim_{n \to \infty} \|x_n\| = \|x\|$ .

We say an element  $x \in X$  is order continuous if for any sequence  $(x_n)$  in X such that  $|x_n(i)| \to 0$  and  $|x_n(i)| \le |x(i)|$   $(i \in \mathbb{N})$  we have  $\lim_{n\to\infty} ||x_n|| = 0$ . It is easy to see that x is order continuous if and only if  $\lim_{n\to\infty} ||\sum_{i=n}^{\infty} x(i)e_i|| = 0$ . The space X is called order continuous if every  $x \in X$  is order continuous.

A mapping  $\Phi : \mathbb{R} \to \mathbb{R}_+^e$  is said to be an Orlicz function if  $\Phi$  is vanishing only at 0, even, convex and left continuous on the whole  $\mathbb{R}_+$  (see [13, 16, 19]). An Orlicz function  $\Phi$  is said to be an N-function if  $\lim_{u\to 0} \frac{\Phi(u)}{u} = 0$  and  $\lim_{u\to\infty} \frac{\Phi(u)}{u} = \infty$ . A sequence  $\Phi = (\Phi_i)$  of Orlicz functions is called a Musielak-Orlicz function. By  $\Psi = (\Psi_i)$  we denote the complementary function of  $\Phi$  in sense of Young, i.e.

$$\Psi_i(v) = \sup \left\{ |v|u - \Phi_i(u) : u \ge 0 \right\} \qquad (i \in \mathbb{N}).$$

For a given Musielak-Orlicz function  $\Phi$ , we define a convex modular

$$I_{\Phi}(x) = \sum_{i=1}^{\infty} \Phi_i(x_i)$$

for any  $x \in l^0$ . A linear space  $l_{\Phi}$  defined by

$$l_{\Phi} = \left\{ x \in l^0 : I_{\Phi}(cx) < \infty \text{ for some } c > 0 \right\}$$

is called the Musielak-Orlicz sequence space generated by  $\Phi$ . We consider  $l_{\Phi}$  equipped with the Luxemburg norm

$$||x|| = \inf \left\{ \varepsilon > 0 : I_{\Phi}\left(\frac{x}{\varepsilon}\right) \le 1 \right\}$$

or with the Amemiya-Orlicz norm

$$||x||_0 = \inf \left\{ \frac{1}{k} (1 + I_{\Phi}(kx)) : k > 0 \right\}.$$

To simplify notations, we assume  $l_{\Phi} = (l_{\Phi}, \|\cdot\|)$  and  $l_{\Phi}^0 = (l_{\Phi}, \|\cdot\|_0)$ . Both  $l_{\Phi}$  and  $l_{\Phi}^0$  are Banach spaces (see [3, 16]).

We say a Musielak-Orlicz function  $\Phi$  satisfies the  $\delta_2$ -condition ( $\Phi \in \delta_2$  for short) if there exist constants  $k \ge 2$  and a > 0 and a sequence  $(c_i)$  in  $\mathbb{R}_+$  such that  $\sum_{i=1}^{\infty} c_i < \infty$  and the inequality

$$\Phi_i(2u) \le k\Phi_i(u) + c_i$$

holds for every  $i \in \mathbb{N}$  and every  $u \in \mathbb{R}$  satisfying  $\Phi_i(u) \leq a$ .

In the sequel  $h_{\Phi}$  stands for the space  $\{x \in l^0 : I_{\Phi}(lx) < \infty \text{ for any } l > 0\}$  equipped with the norm induced from  $l_{\Phi}$ . To indicate that it is considered with the Orlicz norm, we write  $h_{\Phi}^0$ .

Let us recall three results which will be used in the following.

Lemma 1 (see [9]). If  $\Phi = (\Phi_i)$  is a Musielak-Orlicz function with all  $\Phi_i$  being finitely valued,  $\Phi$  satisfies the  $\delta_2$ -condition and  $(x_n)$  is a sequence in  $l_{\Phi}$  such that  $I_{\Phi}(x_n) \to 0$  as  $n \to \infty$ , then  $||x_n|| \to 0$  as  $n \to \infty$ .

Lemma 2 (see [6]). If a Musielak-Orlicz function  $\Psi = (\Psi_i)$  satisfies the  $\delta_2$ condition, then for each  $\lambda, \varepsilon \in (0,1)$  there is  $\theta \in (0,1)$  and a sequence  $(h_i)$  in  $\mathbb{R}_+$ with  $\sum_{i=1}^{\infty} \Phi_i(h_i) < \varepsilon$  such that

$$\Phi_i(\lambda u) \leq \lambda \theta \Phi_i(u)$$

holds for every  $i \in \mathbb{N}$  and  $u \in \mathbb{R}$  satisfying  $\Phi_i(h_i) \leq \Phi_i(u) \leq 1$ .

**Lemma 3** (see [3, 8, 21]). If  $\Phi = (\Phi_i)$  is a Musielak-Orlicz function with all  $\Phi_i$  being finitely-valued N-functions, then for each  $x \neq 0$  in  $l_{\Phi}^0$  there is k > 0 such that

$$||x||_0 = \frac{1}{k}(1 + I_{\Phi}(kx)).$$

For more details on Musielak-Orlicz spaces we refer to [3] or [16].

## 2. Results

We start with some general results which improve the result of Prus [18] that nearly uniformly \*-smooth Banach spaces have the weak Banach-Saks property.

**Theorem 1.** If a Banach space X has property  $A_2^{\epsilon}$ , then X has property  $A_2$ .

**Proof.** For  $\varepsilon = \frac{1}{2}$  there is  $\delta \in (0, 1)$  such that for each weakly null sequence  $(x_n)$  in S(X) there is k > 1 such that

$$||x_1 + tx_k|| < 1 + \frac{t}{2}$$
  $(t \in [0, \delta]).$ 

Hence

$$||x_1 + x_k|| = ||x_1 + \delta x_k + (1 - \delta)x_k|| < 1 + \frac{\delta}{2} + (1 - \delta) = 2 - \frac{\delta}{2} = \Theta < 2$$

and the statement is proved  $\blacksquare$ 

Now we will present the following useful remark.

**Remark 1.** A Banach space X is reflexive if and only if X contains no isomorphic copy of  $l_1$  and X is weakly sequentially complete.

Indeed, since  $l_1$  is not reflexive, a reflexive Banach space cannot contain an isomorphic copy of  $l_1$ . Moreover, any reflexive Banach space X is weakly sequentially complete. If X contains no isomorphic copy of  $l_1$ , by the well known Rosenthal theorem, for every sequence  $(x_n)$  in B(X) there exists a subsequence  $(z_n)$  of  $(x_n)$  which is a weakly Cauchy sequence. So, if X is additionally weakly sequentially complete, we get that  $(x_n)$  is relatively weakly sequentially compact. Hence X is reflexive

Corollary 1. A Banach space X is nearly uniformly smooth if and only if X is nearly uniformly \*-smooth and weakly sequentially complete.

**Proof.** It is obvious that X is nearly uniformly \*-smooth and weakly sequentially complete if it is nearly uniformly smooth. Assume now that X is nearly uniformly \*-smooth and weakly sequentially complete. Since nearly uniformly \*-smoothness of X implies that X contains no copy of  $l_1$ , by Remark 1, X is reflexive, whence nearly uniformly \*-smoothness coincides with nearly uniformly smoothness  $\blacksquare$ 

So, we can now easily understand why  $c_0$  is not nearly uniformly smooth although it is nearly uniformly \*-smooth.

**Theorem 2.** Let X be a Köthe sequence space. If  $X^*$  contains no isomorphic copy of  $l^1$  and has property  $A_2^e$ , then X has the uniform Kadec-Klee property.

**Proof.** Since  $X^*$  contains no isomorphic copy of  $l^1$ , for every sequence  $(x_n^*)$  in  $B(X^*)$  there is a weak Cauchy subsequence  $(x_{n_k}^*)$ . It is obvious that the sequence  $(x_{n_k}^* - x_{n_l}^*)$  is weakly null. By the assumption that  $X^*$  has property  $A_2^{\varepsilon}$ , there are n > k > 1 such that

$$\|x_1^*+t(x_k^*-x_n^*)\|<1+\frac{t\varepsilon}{32}\qquad (t\in[0,\delta])$$

(see [18]). Let  $(x_n)$  be a sequence in S(X) with  $sep(x_n) > \varepsilon$  and  $x_n \to x \in X$  weakly. Then  $sep(x_n - x) > \varepsilon$ . We need to show that  $||x|| < 1 - \eta(\varepsilon)$ , where  $\eta(\varepsilon)$  depends only on  $\varepsilon$ . Put  $K = \frac{32+2\delta\varepsilon}{32+\delta\varepsilon}$ . By the Bessaga-Pelczyński selection principle, there exists a subsequence  $(z_n)$  of  $\{x_n - x, x : n \in \mathbb{N}\}$  with  $z_1 = x$  being a basic sequence with basic constant less or equal to K. Put  $X_0 = \overline{\operatorname{span}}\{z_n : n \in \mathbb{N}\}$ . Let us consider the sequence  $(z_n^*)$  of the norm preserving extensions from  $X_0$  to the whole X of the coefficient functionals for the basic sequence  $(z_n)$ . Then  $\langle z, z_n^* \rangle \to 0$  as  $n \to \infty$  for any  $z \in X_0$ . Indeed,  $z = \sum_{i=1}^{\infty} z_i^*(z)z_i$  for any  $z \in X_0$ , whence

$$\begin{aligned} \|z_n^*(z)z_n\| &= \left\|\sum_{i=n}^{\infty} z_i^*(z)z_i - \sum_{i=n+1}^{\infty} z_i^*(z)z_i\right\| \\ &\leq \left\|\sum_{i=n}^{\infty} z_i^*(z)z_i\right\| + \left\|\sum_{i=n+1}^{\infty} z_i^*(z)z_i\right\| \\ &\to 0 \quad \text{as} \quad n \to \infty. \end{aligned}$$

Since  $||z_n|| > \frac{\varepsilon}{2}$  for all n, this yields  $z_n^*(z) \to 0$  as  $n \to \infty$ .

Let us write  $\langle x, z_k^* \rangle$  for  $z_k^*(x)$  and take n > k > 1 large enough such that  $|\langle x, z_k^* \rangle| < \frac{\varepsilon}{32}$  and  $|\langle x, z_n^* \rangle| < \frac{\varepsilon}{32}$ . Notice that  $||z_1^*|| \le K$  and  $||z_k^*|| \le 2K$  for k > 1. Hence, taking into account that  $||x + z_k|| = 1$  for k > 1 and applying property  $A_2^{\varepsilon}$  for  $X^*$ , we get

$$\left\|z_1^* + \frac{\delta}{2}(z_k^* - z_n^*)\right\| \le K\left(1 + \frac{\delta\varepsilon}{32}\right)$$

and consequently

$$\begin{aligned} \|x\| &= \langle x, z_1^* \rangle \\ &= \langle x + z_k, z_1^* \rangle + \frac{\delta}{2} \langle x + z_k, z_k^* - z_n^* \rangle - \frac{\delta}{2} \langle x + z_k, z_k^* - z_n^* \rangle \\ &= \left\langle x + z_k, z_1^* + \frac{\delta}{2} (z_k^* - z_n^*) \right\rangle - \frac{\delta}{2} \langle x + z_k, z_k^* - z_n^* \rangle \\ &\leq \left\| z_1^* + \frac{\delta}{2} (z_k^* - z_n^*) \right\| - \frac{\delta}{2} \|z_k\| + |\langle x, z_k^* \rangle| + |\langle x, z_n^* \rangle| \\ &< K \left( 1 + \frac{\delta \varepsilon}{32} \right) - \frac{\delta \varepsilon}{4} + \frac{\delta \varepsilon}{16} \\ &= K \left( 1 + \frac{\delta \varepsilon}{32} \right) - \frac{3\delta \varepsilon}{16} \\ &\leq \left( 1 + \frac{\delta \varepsilon}{16} \right) - \frac{3\delta \varepsilon}{16} \\ &= 1 - \frac{\delta \varepsilon}{8} \end{aligned}$$

which finishes the proof

Lemma 4. Let  $\Phi = (\Phi_i)$  be a finitely-valued Musielak-Orlicz function such that  $\Phi^*$  satisfies the  $\delta_2$ -condition. Then for every  $\varepsilon > 0$ ,  $\lambda \in (0,1)$  and  $K \ge 1$  there are  $(h_i)_{i=1}^{\infty} \subset \mathbb{R}_+$  and  $\theta \in (0,1)$  such that  $\sum_{i=1}^{\infty} \Phi_i(h_i) < \varepsilon$  and the inequality

$$\Phi_i(\gamma u) \leq \gamma \theta \Phi_i(u)$$

holds for all  $i \in \mathbb{N}$  and  $u \ge 0$  satisfying the inequalities  $\Phi_i(h_i) \le \Phi_i(u) \le K$  and all  $\gamma \in (0, \lambda]$ .

**Proof.** It is known from [6] that our lemma is true for K = 1 under the additional assumption that  $\Phi_i(1) = 1$  for all  $i \in \mathbb{N}$ . Let  $a_i > 0$  be such that  $\Phi_i(a_i) = K$  for all  $i \in \mathbb{N}$  and define  $\phi_i(u) = \frac{1}{K} \Phi_i(a_i u)$  for all  $u \in \mathbb{R}$  and  $i \in \mathbb{N}$ . Then  $\phi = (\phi_i)$  is a Musielak-Orlicz function such that  $\phi_i(1) = 1$  for all  $i \in \mathbb{N}$ . Since, denoting by  $\phi_i^*$  and  $\Phi_i^*$  the complementary functions of  $\phi_i$  and  $\Phi_i$ , respectively, there holds

$$\phi_i^*(u) = \frac{1}{K} \Phi_i^*\left(\frac{K}{a_i} u\right)$$

for all  $i \in \mathbb{N}$  and  $u \in \mathbb{R}$ , we know that  $\phi^*$  satisfies the  $\delta_2$ -condition. By the above mentioned result from [6] there are  $(h'_i)_{i=1}^{\infty} \subset \mathbb{R}_+$  and  $\theta \in (0,1)$  such that

$$\sum_{i=1}^{\infty} \phi_i(h'_i) < rac{arepsilon}{K}$$
 and  $\phi_i(\gamma u) \leq \gamma heta \phi_i(u)$ 

for all  $\gamma \in (0, \lambda]$ ,  $i \in \mathbb{N}$  and  $u \in \mathbb{R}$  satisfying  $\phi_i(h'_i) \leq \phi_i(u) \leq 1$ . Setting  $h_i = a_i h'_i$  for each  $i \in \mathbb{N}$ , we easily see that it is just the desired result  $\blacksquare$ 

**Theorem 3.** If  $\Phi = (\Phi_i)$  is a Musielak-Orlicz function with all  $\Phi_i$  being finitelyvalued N-functions, then  $l_{\Phi}^0$  is nearly uniformly convex if and only if  $\Phi$  and  $\Psi$  satisfy the  $\delta_2$ -condition.

**Proof.** We need only to prove the sufficiency. Since  $l_{\Phi}^0$  is reflexive, it suffices to prove that  $l_{\Phi}^0$  has the uniform Kadec-Klee property. Let  $\varepsilon > 0$  be given and take any sequence  $\{x_n\} \subset S(l_{\Phi}^0)$  with  $\operatorname{sep}(x_n) > 2\varepsilon$  and  $x_n \xrightarrow{w} x$ . It is clear that for any  $m \in \mathbb{N}$  there is  $n_m \in \mathbb{N}$  such that

$$\sup\left(\left(\sum_{i=m+1}^{\infty}x_n(i)e_i\right)_{n=n_m}^{\infty}\right)>2\varepsilon.$$

This follows by the fact that  $x_n \xrightarrow{w} x$  implies that  $x_n \to x$  coordinatewise. Hence for any  $m \in \mathbb{N}$  there is  $n_m \in \mathbb{N}$  such that

$$\left\|\sum_{i=m+1}^{\infty} x_n(i)e_i\right\|_0 \ge \varepsilon \qquad (n \ge n_m).$$
(1)

By Lemma 3 there are  $k_n \ge 1$  and  $k \ge 1$  such that

$$||x_n||_0 = \frac{1}{k_n}(1 + I_{\Phi}(k_n x_n)) \qquad (n \in \mathbb{N})$$

and

$$||x||_0 = \frac{1}{k}(1 + I_{\Phi}(kx)).$$

Then  $K = \sup_n k_n < \infty$ . Indeed, since  $||x||_0 > 1-\delta$ , there is  $i_0 \in \mathbb{N}$  such that  $x_0(i_0) \neq 0$ . If  $K = \infty$ , we can assume without loss of generality that  $\lim_{n \to \infty} k_n = \infty$ . Hence

$$1 = \frac{1}{k_n} (1 + I_{\Phi}(k_n x_n))$$
  
= 
$$\lim_{n \to \infty} \frac{1}{k_n} I_{\Phi}(k_n x_n)$$
  
\ge 
$$\lim_{n \to \infty} \frac{1}{k_n} \Phi_{i_0}(k_n x_n(i_0))$$
  
\to \infty

which is a contradiction.

By the  $\delta_2$ -condition of  $\Phi$  and inequality (1) there is  $\delta > 0$  such that

$$\sum_{i=m+1}^{\infty} \Phi_i(x_n(i)) \ge \delta \qquad (n \ge n_m).$$
<sup>(2)</sup>

Put  $\lambda = \frac{K}{K+1}$ . Then, by Lemma 4, there is  $h = (h_i)_{i=1}^{\infty}$  with  $\sum_{i=1}^{\infty} \Phi_i(h_i) \leq \frac{K}{2}$  and a number  $\theta \in (0, 1)$  such that

$$\Phi_i(\gamma u) \leq \gamma(1-\theta)\Phi_i(u)$$

for all  $\gamma \in [0, \lambda]$  and  $u \in \mathbb{R}$  satisfying  $\Phi_i(h_i) \leq \Phi_i(u) \leq K$ . Take *m* large enough such that

$$\left\|\sum_{i=m+1}^{\infty} x(i)e_i\right\|_0 < \frac{\delta\theta}{8}$$
(3)

and

$$\left\|\sum_{i=m+1}^{\infty}h_ie_i\right\|_0 < \frac{\delta\theta}{8}.$$
 (4)

Since  $\frac{k}{k_n+k} \leq \frac{k}{k+1} \leq \frac{K}{K+1}$  for any  $n \in \mathbb{N}$ , we have

$$\Phi_i\left(\frac{kk_n}{k+k_n}x_n(i)\right) \leq \frac{1-\theta}{k_n+k}\,k\Phi_i(k_nx_n(i))$$

whenever  $|x_n(i)| \ge h_i$ . Therefore,

$$\sum_{i=m+1}^{\infty} \Phi_i\left(\frac{kk_n}{k+k_n}x_n(i)\right) \le \sum_{i=1}^{\infty} \Phi_i(h_i) + \frac{1-\theta}{k_n+k}k\sum_{i=1}^{\infty} \Phi_i(k_nx_n(i)).$$
(5)

It is obvious that

$$\|x_{n} + x\|_{0} = \left\|\sum_{i=1}^{m} x(i)e_{i} + \sum_{i=m+1}^{\infty} x(i)e_{i} + x_{n}\right\|_{0}$$

$$\leq \left\|\sum_{i=1}^{m} x(i)e_{i} + x_{n}\right\|_{0} + \left\|\sum_{i=m+1}^{\infty} x(i)e_{i}\right\|_{0}$$

$$\leq \left\|\sum_{i=1}^{m} x(i)e_{i} + x_{n}\right\|_{0} + \frac{\delta\theta}{8}$$
(6)

for m large enough. Moreover, by (3) - (5), we get for  $n \ge n_m$ 

$$\begin{split} \left| \sum_{i=1}^{m} x(i)e_{i} + x_{n} \right\|_{0} \\ &\leq \frac{k_{n} + k}{k_{n}k} \left( 1 + \sum_{i=1}^{m} \Phi_{i} \left( \frac{kk_{n}}{k_{n} + k} (x(i) + x_{n}(i)) \right) \right) \\ &+ \sum_{i=m+1}^{\infty} \Phi_{i} \left( \frac{kk_{n}}{k_{n} + k} x_{n}(i) \right) \right) \\ &\leq \frac{k_{n} + k}{k_{n}k} \left( 1 + \frac{k_{n}}{k_{n} + k} \sum_{i=1}^{m} \Phi_{i}(kx(i)) + \frac{k}{k_{n} + k} \sum_{i=1}^{m} \Phi_{i}(k_{n}x_{n}(i)) \right) \\ &+ \frac{1 - \theta}{k_{n} + k} k \sum_{i=m+1}^{\infty} \Phi_{i} \left( \frac{kk_{n}}{k_{n} + k} x_{n}(i) \right) + \sum_{i=m+1}^{\infty} \Phi_{i}(h_{i}) \right) \\ &= \frac{1}{k} + \frac{1}{k_{n}} + \frac{1}{k} \sum_{i=1}^{m} \Phi_{i}(kx(i)) + \frac{1}{k_{n}} \sum_{i=1}^{m} \Phi_{i}(k_{n}x_{n}(i)) \\ &+ \frac{1}{k_{n}} \sum_{i=m+1}^{\infty} \Phi_{i}(k_{n}x_{n}(i)) + \sum_{i=m+1}^{\infty} \Phi_{i}(h_{i}) - \frac{\theta}{k_{n}} \sum_{i=m+1}^{\infty} \Phi_{i}(k_{n}x_{n}(i)) \\ &\leq \frac{1}{k} \left( 1 + \sum_{i=1}^{m} \Phi_{i}(kx(i)) \right) + \frac{1}{k_{n}} (1 + I_{\Phi}(k_{n}x_{n})) \\ &+ \sum_{i=m+1}^{\infty} \Phi_{i}(h_{i}) - \frac{\theta}{k_{n}} \sum_{i=m+1}^{\infty} \Phi_{i}(k_{n}x_{n}(i)) \\ &\leq 2 + \frac{\delta\theta}{8} - \delta\theta. \end{split}$$

Therefore, combining (6) and (7), we obtain

. . . . . .

$$\|x_n + x\|_0 \leq 2 + \frac{\delta\theta}{8} - \delta\theta + \frac{\delta\theta}{8} = 2 - \frac{3}{4}\theta \qquad (n > n_m).$$

Hence, by  $x_n \xrightarrow{w} x$  and the lower semicontinuity of the norm with respect to the weak topology, we deduce that

$$\|x\|_0 \leq \lim_{n \to \infty} \left\|\frac{x_n + x}{2}\right\|_0 \leq \frac{1}{2}\left(2 - \frac{3}{4}\theta\right) = 1 - \frac{3}{8}\theta.$$

This contradiction finishes the proof

**Theorem 4.** For any Musielak-Orlicz function  $\Phi = (\Phi_i)$  with all  $\Phi_i$  being finitelyvalued N-functions the following statements are equivalent:

- (a)  $l_{\Phi}$  is nearly uniformly smooth.
- (b)  $l_{\Phi}$  is nearly uniformly \*-smooth.
- (c)  $\Phi$  and  $\Psi$  satisfy the  $\delta_2$ -condition.

**Proof.**  $(c) \Rightarrow (a)$ : By Theorem 3,  $l_{\Psi}^0$  is nearly uniformly convex, so its dual  $l_{\Phi}$  is nearly uniformly smooth. Therefore, we need only to prove that  $(b) \Rightarrow (c)$ . We will show that (b) implies the  $\delta_2$ -condition for  $\Phi$ . If  $\Phi$  does not satisfy the  $\delta_2$ -condition, we can construct  $x \in S(l_{\Phi})$  such that  $I_{\Phi}(x) \leq 1$  and  $I_{\Phi}((1 + \frac{1}{n})x) = \infty$  for every  $n \in \mathbb{N}$ (see [12]). Take a sequence  $(i_k)$  of natural numbers such that  $i_k \uparrow$  and

$$\sum_{i=i_k+1}^{i_{k+1}} \Phi_i\left(\left(1+\frac{1}{k}\right)x(i)\right) \ge 1 \qquad (k \in \mathbb{N}).$$

Put

$$x_{k} = (0, 0, \dots, 0, x(i_{k} + 1), x(i_{k} + 2), \dots, x(i_{k+1}), 0, 0, \dots) \quad (k \in \mathbb{N})$$

Then it is obvious that

$$\frac{k}{k+1} \le ||x_k|| \le 1 \qquad (k \in \mathbb{N}).$$

Moreover,

$$x_k \longrightarrow 0$$
 weakly. (8)

Indeed, for every  $y \in (l_{\Phi})^*$  we have  $y^* = y_0^* + y_1^*$  uniquely, where  $y_0^*$  is the regular part of  $y^*$  and  $y_1^*$  is the singular part of  $y^*$ , i.e.  $y_1^*(x) = 0$  for any  $x \in h_{\Phi}$  (see [10]). The functional  $y_0^*$  is generated by some  $y_0 \in l_{\Psi}$  by the formula

$$y_0^*(x) = \langle x, y_0 \rangle = \sum_{i=1}^\infty x(i)y_0(i) \qquad (x \in l_{\Phi}).$$

Let  $\lambda > 0$  be such that  $\sum_{i=1}^{\infty} \Psi_i(\lambda y_0(i)) < \infty$ . Since  $x_k \in h_{\Phi}$  for any  $k \in \mathbb{N}$ , we have

 $\langle x_k, y^* \rangle = \langle x_k, y_0^* \rangle$ 

$$=\sum_{i=i_{k}+1}^{i_{k+1}} x(i)y_{0}(i)$$
  
$$\leq \frac{1}{\lambda} \left(\sum_{i=i_{k}+1}^{i_{k+1}} \Phi_{i}(x(i)) + \sum_{i=i_{k}+1}^{i_{k+1}} \Psi_{i}(\lambda y_{0}(i))\right)$$
  
$$\rightarrow 0 \quad \text{as} \quad k \rightarrow \infty,$$

i.e. (8) holds.

Since the space  $l_{\Phi}$  is nearly uniformly \*-smooth, it has property  $A_2^{\varepsilon}$ , i.e. for any  $\varepsilon > 0$  there exists  $\delta \in (0, 1)$  such that for each weakly null sequence  $(z_n)$  in  $B(l_{\Phi})$  there is m > 1 such that

$$\|z_1 + tz_m\| \le 1 + t\varepsilon$$

whenever  $t \in [0, \delta]$  (see [18]). Take  $k_0 \in \mathbb{N}$  such that  $\frac{2}{k+1} < (1-\varepsilon)\delta$  if  $k \ge k_0$ . We have for  $k \ge k_0$ 

$$1 + \delta \varepsilon \ge \|x + \delta x_k\| \ge \|(1 + \delta)x_k\| \ge (1 + \delta)\frac{k}{k+1}$$
$$= (1 + \delta)\left(1 - \frac{1}{k+1}\right) > 1 + \delta - \frac{2}{k+1}$$

whence  $\frac{2}{k+1} > (1-\varepsilon)\delta$ . This is a contradiction which finishes the proof of the fact that (b) implies the  $\delta_2$ -condition for  $\Phi$ .

Next, we will show that (b) implies the  $\delta_2$ -condition for  $\Psi$ . By the above part of the proof, we can assume that  $l_{\Phi}$  is nearly uniformly \*-smooth and  $\Phi$  satisfies the  $\delta_2$ -condition. So,  $l_{\Phi}$  is order continuous. Moreover, any Musielak-Orlicz space  $l_{\Phi}$  has the Fatou property and consequently, it is weakly sequentially complete. So, in view of Corollary 1,  $l_{\Phi}$  is nearly uniformly smooth and consequently reflexive. This yields the  $\delta_2$ -condition for  $\Psi \blacksquare$ 

**Theorem 5.** Let  $\Phi = (\Phi_i)$  be a Musielak-Orlicz function with all  $\Phi_i$  being finitelyvalued N-functions. Then  $\Phi$  and  $\Psi$  satisfy the  $\delta_2$ -condition whenever  $l_{\Phi}^0$  is nearly uniformly \*-smooth.

**Proof.** Since  $l_{\Phi}^{0}$  is nearly uniformly \*-smooth, it has property  $A_{2}^{\varepsilon}$ , i.e. for any  $\varepsilon > 0$  there exists  $\delta \in (0,1)$  such that for each weakly null sequence  $(z_{n})$  in  $B(l_{\Phi}^{0})$  there is  $m \in \mathbb{N} \setminus \{1\}$  such that

$$\|z_1 + tz_m\|_0 \le 1 + t\varepsilon$$

for all  $t \in [0, \delta]$ . Let  $\theta \in (0, 1)$  be such that  $1 + \delta \varepsilon < (1 + \delta)\theta$ . If  $\Phi$  does not satisfy the  $\delta_2$ -condition, then there exists  $x \in S(l_{\Phi}^0)$  and a sequence  $\{n_i\}$  of natural numbers  $n_i \uparrow$  such that  $n_1 = 1$  and

$$\left\|\sum_{i=n_k}^{n_{k+1}} x(i)\right\|_0 \ge \theta \qquad (k \in \mathbb{N})$$

(see [4]). Define

$$x_k = \sum_{i=n_k}^{n_{k+1}} x(i) \qquad (k \in \mathbb{N}).$$

Then we can prove in the same way as for the Luxemburg norm (see the proof of Theorem 4) that  $(x_k)$  is a weakly null sequence. Therefore, there is  $k_0 \in \mathbb{N}$  such that for all  $k \geq k_0$ 

$$1 + \delta \varepsilon \ge \|x + \delta x_k\|_0 \ge \|(1 + \delta) x_k\|_0 \ge (1 + \delta)\theta.$$

This is a contradiction which shows the necessity of the  $\delta_2$ -condition of  $\Phi$  for the nearly uniformly \*-smoothness of  $l_{\Phi}^0$ .

The necessity of the  $\delta_2$ -condition of  $\Psi$  can be proved in the same way as for the Luxemburg norm in Theorem 4, since the Amemiya-Orlicz norm has the Fatou property

Recall that the Nakano space  $l^{(p_i)}$  is the Musielak-Orlicz space  $l_{\Phi}$  with  $\Phi = (\Phi_i)$ , where

$$\Phi_i(u) = |u|^{p_i} \qquad (1 < p_i < +\infty, i \in \mathbb{N}).$$

**Corollary 2.** For both the Luxemburg and the Amemiya-Orlicz norms the following statements are equivalent:

- (a)  $l^{(p_i)}$  is nearly uniformly convex.
- (b)  $l^{(p_i)}$  is nearly uniformly smooth.
- (c)  $l^{(p_i)}$  is nearly uniformly \*-smooth.
- (d)  $1 < \liminf_{i \to \infty} p_i \leq \limsup_{i \to \infty} p_i < +\infty$ .

**Proof.** If  $\Phi_i(u) = |u|^{p_i}$  for all  $u \in \mathbb{R}$  and  $i \in \mathbb{N}$ , then the complementary functions  $\Psi_i$  of  $\Phi_i$  are defined by the formula

$$\Psi_i(u) = c_i |u|^{q_i}$$

where  $\frac{1}{p_i} + \frac{1}{q_i} = 1$  and  $c_i = (p_i)^{1/p_i} (q_i)^{1/q_i}$  for all  $i \in \mathbb{N}$ . It is easy to see that  $\Phi = (\Phi_i)$  satisfies the  $\delta_2$ -condition if and only if  $\limsup_{i \to \infty} p_i < +\infty$ . Moreover,  $\Psi = (\Psi_i)$  satisfies the  $\delta_2$ -condition if and only if  $\liminf_{i \to \infty} p_i > 1$ .

Now, we prove the equivalence of the conditions.

 $(d) \Rightarrow (a)$ : Assume first that  $l^{(p_i)}$  is equipped with the Amemiya-Orlicz norm. Then, by Theorem 4,  $l_{\Psi}$  is nearly uniformly smooth. So  $l^{(p_i)}$  is nearly uniformly convex as well. It follows in the same way that condition (d) implies that  $l_{\Psi}$  is nearly uniformly convex. Therefore, by the fact that a Banach space X is nearly uniformly convex if and only if  $X^*$  is nearly uniformly smooth and that if both Musielak-Orlicz functions  $\Phi$ and  $\Psi$  satisfy the  $\delta_2$ -condition, then  $(l_{\Phi})^* \cong l_{\Psi}^0$  and  $(l_{\Phi}^0)^* \cong l_{\Psi}$  (see [3, 15, 16, 19]), we deduce that (a) and (b) are equivalent for both norms. By Theorem 4, conditions (b), (c) and (d) are pairwise equivalent. The implication (b)  $\Rightarrow$  (c) holds in general and, by Theorem 5, (c)  $\Rightarrow$  (d) in the case of the Amemiya-Orlicz norm. This completes the proof

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