

# Lifting Problem of $\eta$ and Mahowald's Element $\eta_j$

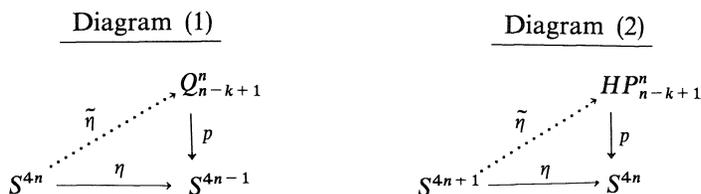
*Dedicated to Professor Shôrô Araki on his 60-th birthday*

By

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## §1. Introduction and Statements of Results

In this paper we consider the following lifting problem: for which  $n$  and  $k$  is there a lift  $\tilde{\eta}$  making the following diagram (1) or (2) commute up to stable homotopy?



Here and throughout this paper we use the following notations;

**Notation**

$HP^n$ : the quaternionic  $n$ -dimensional projective space.

$Q^n$ : the quaternionic quasi-projective space of dimension  $4n-1$ .

$HP_{n-k+1}^n = HP^n/HP^{n-k}$ .

$Q_{n-k+1}^n = Q^n/Q^{n-k}$ .

$p$  is the canonical collapsing map.

$\eta$  is the non-trivial element of  $\pi_1^s(S^0)$ .

These problems are natural 'next' questions after the stable James number problem (For example, see [3]). Since  $Q^n$  is a stable retract of  $Sp(n)$  [5] and since  $HP^n$  is a stable retract of  $\Omega(U(2n+2)/Sp(n+1))$  [2], these problems are closely related to the unstable lifting problem of  $\eta$  in the canonical Stiefel

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bundles: the problem with respect to Diagram (1) is related to the lifting problem of  $\eta$  to the quaternionic Stiefel bundle  $X_{n,k} \rightarrow S^{4n-1}$ , and the problem with respect to Diagram (2) is related to the relative complex-quaternionic Stiefel fibration [5]  $\Gamma_{n+1,k} \rightarrow \Omega S^{4n+1}$ , where  $\Gamma_{n,k}$  is the homotopy fiber of the inclusion  $X_{n,k} \rightarrow W_{2n,2k}$ . For the lifting problem of  $\eta$  to the real Stiefel bundle  $V_{n,k} \rightarrow S^{n-1}$ , the complete answer is known by M.C.Crabb and W.A.Sutherland[4] and the complex case is easy.

**Theorem A.** *If  $n = 2^i$ , then for any  $k \leq n$  there exists a lift  $\tilde{\eta}$  so that the diagram (1) commutes up to stable homotopy.*

**Theorem B.** *There exists a lift  $\tilde{\eta}$  in diagram (2) if and only if one of the following conditions is satisfied;*

- (1)  $k = 1$  or  $2$ ,
- (2)  $k = 3$  or  $4$ , and  $n \equiv 2 \pmod{4}$ .

**Theorem C.** *Let  $i \geq 1$ . Let  $n = 2^i a$  for some odd integer  $a > 1$ . If there is a lift  $\tilde{\eta}: S^{4n} \rightarrow Q_{n-2^i+1}^n$ , then the composite*

$$S^{4n} \xrightarrow{\tilde{\eta}} Q_{n-2^i+1}^n \xrightarrow{\partial} S^{4(n-2^i)}$$

*is non-trivial, where the map  $\partial$  is the usual one in the following usual cofiber sequence;*

$$S^{4(n-2^i)-1} \xrightarrow{i} Q_{n-2^i}^n \xrightarrow{p} Q_{n-2^i+1}^n \xrightarrow{\partial} S^{4(n-2^i)}.$$

*Therefore there is no lift for  $k = 2^i + 1$  when  $n = 2^i a$  ( $a$  is odd).*

In fact the above composite is detected by the secondary operation associated to the following relation;

$$Sq^{2^i+2+1}Sq^1 + Sq^2Sq^{2^i+2} + Sq^4Sq^{2^i+2-2} + Sq^{2^i+2}Sq^2 = 0.$$

Therefore we have a family of the stable homotopy groups closely related to what Mahowald constructed in [8]. If we choose a specific lift, we get precisely Mahowald’s element  $\eta_{5,i+2}$  constructed in [9]. This fact follows from the construction and the result due to Mann-Miller[10] or Mann-Miller-Miller[11]. From Theorems A and C we get the following corollaries.

**Corollary D.** *There exists a stable lift  $\tilde{\eta}: S^{4n} \rightarrow Q^n$  if and only if  $n = 2^t$  for some  $t$ .*

*Remark.* There is no unstable lift of  $\eta$  to the usual bundle projection  $Sp(n) \rightarrow S^{4n-1}$ , because  $\pi_{4n}(Sp(n)) \cong \pi_{4n}(Sp)$  is  $Z/2$  or  $0$  according as  $n$  is odd or even and because the generator of  $\pi_{8m+4}(Sp)$  comes from  $Sp(1)$ .

**Corollary E.** *Let  $i \geq 1$ . The Mahowald's elements  $\eta_{5,i+2}$  as above referred are in the image of the  $S^3$ -transfer homomorphism  $t: \pi_*^s(Q^\infty) \rightarrow \pi_*^s(S^0)$ .*

The following theorem is a partial result about the lifting problem in Diagram (1) in case that  $k$  is small.

**Theorem F.** *Let  $k \leq 6$ . Then in Diagram (1) there exists a stable lift  $\tilde{\eta}$  for  $k$ , if and only if one of the following conditions is satisfied.*

- (1)  $k = 1$  or  $2$ ,
- (2)  $k = 3$  or  $4$  and  $n \equiv 0 \pmod{4}$ ,
- (3)  $k = 5$  or  $6$  and  $n \equiv 0 \pmod{8}$ .

§2. Proof of Theorem A

Throughout this paper, homology and cohomology are assumed to be with  $Z/2$ -coefficients.

For the proof of Theorem A we need the following lemmas;

**Lemma 2.1.**

- (i)  $H_*(\Omega^2 S^5) = Z/2[x_1, x_2, x_3, \dots]$ ,  
 $x_i = Q_1 Q_1 Q_1 \dots Q_1(x_1)$  and the dimension of  $x_i = 2^{i+1} - 1$ .
- (ii) (S. Kochman[7]) In  $H_*(Sp) = A_{Z/2}(\gamma_1, \gamma_2, \gamma_3, \dots)$ ,  $Q_1(\gamma_n) = \gamma_{2n}$

where  $Q_1$  is the Dyer-Lashof (subscripted) homology operation.

Let  $\alpha: S^3 \rightarrow Sp$  be the representative of a generator of  $\pi_3(Sp) \cong Z$ . Since  $Sp$  is an infinite loop space, we have a canonical extension  $\bar{\alpha}: \Omega^2 S^5 \rightarrow Sp$  of the map  $\alpha$ . Let  $\theta: Sp \rightarrow \Omega^\infty \Sigma^\infty Q^\infty$  be the James splitting[5]. Taking the adjoint of the composite  $\theta \circ \bar{\alpha}$ , we have a stable map, say,  $g: \Omega^2 S^5 \rightarrow Q^\infty$ .

**Lemma 2.2.** *Let  $g_*: H_*(\Omega^2 S^5) \rightarrow H_*(Q^\infty)$  be the homology induced homomorphism of  $g$ . Then,*

$$g_*(x_i) = \gamma_{2^{i+1}-1},$$

where  $\gamma_i \in H_{4i-1}(Q^\infty)$  is the standard generator.

*Proof.* Let  $\sigma: H_*(\Omega^\infty \Sigma^\infty Q^\infty) \rightarrow H_*(Q^\infty)$  be the homology suspension. Then  $\sigma\theta_*(\gamma_i) = \gamma_i$  and  $\sigma\theta_*(\text{decomposables}) = 0$ [5]. Now consider the following commutative diagram;

$$\begin{array}{ccccc}
 H_*(\Omega^2 S^5) & \xrightarrow{\bar{\alpha}_*} & H_*(Sp) & \xrightarrow{\theta_*} & H_*(\Omega^\infty \Sigma^\infty Q^\infty) \\
 & & & & \downarrow \sigma \\
 & & & & H_*(Q^\infty). \\
 & \searrow g_* & & & \\
 & & & & 
 \end{array}$$

So it is enough to show that  $\bar{\alpha}_*(x_i) = \gamma_{2^{i-1}}$ . When  $i = 1$  it is obviously true. Since  $\bar{\alpha}$  is a double loop map,  $\bar{\alpha}_*$  commutes with  $Q_1$ -operations. Therefore the cases  $i \geq 2$  follow from Lemma 2.1.

Recall, by Snaithe decomposition [16], that the suspension spectrum of  $\Omega^2 S^5$  is a wedge of spectra, say,  $D_k$  for  $k \geq 1$ . Homologically,  $H_*(D_k)$  corresponds to the submodule of height  $k$  in  $H_*(\Omega^2 S^5)$ . Here the height  $h$  is defined as  $h(x_i) = 2^{i-1}$ . Thus  $D_{2^i}$  is stably  $3 \cdot 2^i - 1$  connected and of dimension  $2^{i+2} - 1$  complex: the bottom cell corresponds to  $x_1^{2^i} \in H_{3 \cdot 2^i}(\Omega^2 S^5) \cong Z/2$  and the top to  $x_{i+1} \in H_{2^{i+2}-1}(\Omega^2 S^5) \cong Z/2$ . According to Mahowald [8], Brown and Peterson [1],  $D_k$  is homotopy equivalent to the Brown-Gitler spectrum  $\Sigma^{3k} B\left(\left[\begin{smallmatrix} k \\ 2 \end{smallmatrix}\right]\right)$ . Mahowald [8] proved that there is a stable map  $g_i: S^{2^{i+2}} \rightarrow D_{2^i}$  such that the composite;

$$S^{2^{i+2}} \longrightarrow D_{2^i} \longrightarrow D_{2^i}/D_{2^i}^{(2^{i+2}-2)} = S^{2^{i+2}-1}$$

is  $\eta$ . Thus by Lemma 2.2 the stable map  $g \circ g_i$  gives the desired lift of  $\eta$ . This completes the proof of Theorem A.

§3. Proof of Theorem C

Let  $y_i \in H^{4i-1}(Q^\infty)$  be the dual basis of  $\gamma_i \in H_{4i-1}(Q^\infty)$ . The following lemma easily follows by using the cofiber sequence;

$$CP^\infty \longrightarrow HP^\infty \longrightarrow Q^\infty \longrightarrow \Sigma CP^\infty.$$

**Lemma 3.1.**  $Sq^{4j}(y_i) = \binom{2i-1}{2j} y_{i+j}$ , where  $Sq^k$  is the Steenrod operation.

Now the proof of Theorem C follows by standard arguments. However, for my own safety I give the details. Let  $n = 2^i a$  for some odd integer  $a > 1$ . If there is a lift  $\tilde{\eta}: S^{4n} \rightarrow Q_{n-2^{i+1}}^n$ , then we denote the composite

$$S^{4n} \xrightarrow{\tilde{\eta}} Q_{n-2^{i+1}}^n \xrightarrow{\partial} S^{4(n-2^i)}$$

by  $h_i \in \pi_{2^{i+2}}^s(S^0)$ . For convenience we denote the normalized spectrum of the mapping cone of  $h_i$ , say  $c_{h_i}$ , by  $X_i \cong S^0 \cup_{h_i} e^{4 \cdot 2^{i+1}}$ . Let  $u \in H^0(X_i)$  be the bottom generator. All we have to do is to calculate the secondary composition associated to the following sequence;

$$X_i \xrightarrow{u} K(0) \xrightarrow{f} K(1) \times K(2^{i+2}) \times K(2^{i+2} - 2) \times K(2) \xrightarrow{g} K(2^{i+2} + 2),$$

where  $f = Sq^1 \times Sq^{2^{i+2}} \times Sq^{2^{i+2}-2} \times Sq^2$ ,  $g = Sq^{2^{i+2}+1} + Sq^2 + Sq^4 + Sq^{2^{i+2}}$  and  $K(m)$  is the  $m$ -fold suspension of the Eilenberg-MacLane spectrum

$HZ/2$ . By the definition there is a cofibration;

$$C_{\tilde{\eta}} \longrightarrow C_h, \xrightarrow{w} \Sigma Q_{n-2}^n.$$

Let  $v \in H^0(\Sigma^{4(2^i-n)+1} Q_{n-2}^n)$  be the bottom generator. Then there is a commutative (up to stable homotopy) diagram;

$$\begin{array}{ccccccc} X_i & \xrightarrow{u} & K(0) & \xrightarrow{f} & K(1) \times K(2^{i+2}) \times K(2^{i+2} - 2) \times K(2) & \xrightarrow{g} & K(2^{i+2} + 2) \\ \parallel & & \uparrow v & & \parallel & & \parallel \\ X_i & \xrightarrow{w} & \Sigma^{4(2^i-n)+1} Q_{n-2}^n & \xrightarrow{fv} & K(1) \times K(2^{i+2}) \times K(2^{i+2} - 2) \times K(2) & \xrightarrow{g} & K(2^{i+2} + 2). \end{array}$$

So it is enough to compute the bracket  $\langle g, fv, w \rangle$ . From Lemma 3.1 it is easy to see that  $\langle g, f, u \rangle = \langle g, fv, w \rangle \neq 0$  without indeterminacy. This completes the proof of Theorem C.

Now we shall prove Corollaries. First, let  $M_k =$  the order of  $J(\xi_k)$ , where  $\xi_k$  is the canonical symplectic line bundle over  $HP^{k-1}$  and  $J$  is the classical  $J$ -homomorphism. Then by James periodicity and by Theorem A, we see that there is a lift  $\tilde{\eta}$  in diagram (1) for  $n = 2^i + M_2$ , and  $k = 2^i$ . In this case, since  $n = 2^i a$  for some odd integer  $a$  (see Sigrist and Suter [15]), by Theorem C we get a non-trivial family  $h_i \in \pi_{2^i+2}^s(S^0)$ . Now according to B. M. Mann and E. Y. Miller [10] or B. M. Mann, E. Y. Miller and H. Miller [11], there is a commutative diagram up to homotopy;

$$\begin{array}{ccc} Sp & \xrightarrow{\theta} & \Omega^\infty \Sigma^\infty Q^\infty \\ \downarrow & & \downarrow t \\ SO & \xrightarrow{J} & \Omega^\infty S^\infty. \end{array}$$

Here  $t$  is the representative as an infinite loop map of the  $S^3$ -transfer homomorphism. Note [6][14] that the  $S^3$ -transfer homomorphism  $t : \pi_k^s(Q^\infty) \rightarrow \pi_k^s(S^0)$  for  $k \leq 4l + 1$  is induced by the map  $\partial : Q_{Ml+1}^{Ml+1} \rightarrow S^{4Ml}$  using James periodicity [5]. Thus if we take the lift as in the proof of Theorem A, then from the constructions of Mahowald's element  $\eta_{5,i+2}$  [8][9] and our element  $h_i$ , we see that our  $h_i$  coincides to the Mahowald element  $\eta_{5,i+2}$ . This proves Corollary E.

§4. Proof of Theorem B and F

First we prove Theorem B. For  $k = 1$  or  $2$ , it is trivial. So there is a lift  $\tilde{\eta} : S^{4n+1} \rightarrow HP_{n-1}^n$ . Consider the cofibration;

$$S^{4(n-k)} \xrightarrow{i_k} HP_{n-k}^n \xrightarrow{p_k} HP_{n-k+1}^n \xrightarrow{\partial_k} S^{4(n-k)+1},$$

where  $i_k$  is the bottom inclusion and  $p_k$  is the collapsing map. Let  $k = 2$ . Consider the composite  $\partial_2 \circ \tilde{\eta}$ . Then we have

**Lemma 4.1.**

$$\partial_2 \circ \tilde{\eta} = \begin{cases} \bar{v} & \text{if } n \equiv 0 \pmod{4} \\ \eta\sigma & \text{if } n \equiv 1 \pmod{4} \\ 0 & \text{if } n \equiv 2 \pmod{4} \\ \varepsilon & \text{if } n \equiv 3 \pmod{4}. \end{cases}$$

The above lemma has been known [12][13], but here we give a very simple (at least, theoretically) proof. Recall  $\pi_8^s(S^0) \cong Z/2 \oplus Z/2$  generated by  $\bar{v}$  and  $\varepsilon$ . Put

$$\partial_2 \circ \tilde{\eta} = a\bar{v} + b\varepsilon.$$

Note that the integer  $a$  and  $b$  are independent of choice of  $\tilde{\eta}$ . By using  $e$ -invariant methods or the Hurewicz homomorphism of  $\text{Im } J$  theory, occasionally denoted by  $h^A$ , we see that  $b = 0$  if and only if  $n$  is even. Here the symbol  $A$  means  $A$ -theory, which is defined as the fiber spectrum of  $\Phi^3 - 1: ko \rightarrow kspin$ , where  $ko$  (resp.  $kspin$ ) is the connective (resp. 2-connected) cover of (2)-localized  $KO$ -theory. On the other hand, by using the well-known structure of  $H^*(HP_{n-k}^n)$  as a module over the Steenrod algebra, we see that  $\partial_2 \circ \tilde{\eta}$  is detected by the secondary operation cited ( $i = 1$ ) in §2 if and only if  $n \equiv 0$  or  $1 \pmod{4}$ . This implies that  $a \neq 0$  if and only if  $n \equiv 0$  or  $1 \pmod{4}$ . This proves Lemma 4.1.

Thus from the above lemma we see that for  $k = 3$  there is a lift of  $\eta$  if and only if  $n \equiv 2 \pmod{4}$ . Since  $\pi_{12}^s(S^0) = 0$ , we see that for  $k = 4$  there is a lift of  $\eta$  if and only if  $n \equiv 2 \pmod{4}$ . Now we shall prove that there is no lift of  $\eta$  for  $k \geq 5$ . For this purpose we use  $KO$  theory and Adams operation. Assume that there exists a map  $f: S^{4n+1} \rightarrow HP_{n-k+1}^n$  such that the following diagram commutes;

$$\begin{array}{ccc} KO^*(S^{4n+1}) & \xleftarrow{\eta^*} & KO^*(S^{4n}) \\ & \swarrow f^* & \downarrow p^* \\ & & KO^*(HP_{n-k+1}^n) \end{array}$$

Recall that  $KO^*(HP_{n-k+1}^n) \cong KO^*(S^0)\{x^s \mid n - k + 1 \leq s \leq n\}$ , where  $x^s \in KO^{4s}(HP_{n-k+1}^n)$ . Let  $\alpha_k \in KO^{-4k-1}(S^0)$  be the element such that

$$f^*(x^s) = \alpha_{n-s} \cdot l_{4n+1},$$

where  $l_m \in KO^m(S^m)$  is the standard generator. Note that  $p^*(x^n) = l_{4n}$  and that  $\alpha_0 \neq 0$ . Let  $\Phi^3$  be the stable Adams operation in  $KO$ -theory. It is not difficult to show that

$$\Phi^3(x^s) \equiv \sum_{i=0}^{\lfloor \frac{n-s}{2} \rfloor} \binom{s}{i} y^i x^{2i+s} \pmod{2},$$

in  $KO^*(HP_{n-k+1}^n)$ , where  $y \in KO^{-8}(S^0)$  is the standard generator. From the commutativity between Adams operation and an induced homomorphism, we see that, for any  $s$  such that  $n - k + 1 \leq s \leq n$ , the following relations hold

$$\sum_{i=1}^{\lfloor \frac{n-s}{2} \rfloor} \binom{s}{i} y^i \alpha_{n-2i-s} = 0.$$

Also note that  $\alpha_{odd} = 0$ . Let  $k = 5$ . Then, applying the above equation, we have

$$(n - 4)y\alpha_2 + \binom{n - 4}{2}y^2\alpha_0 = 0.$$

Since  $n$  must be even if  $k \geq 3$ , we get that  $\binom{n - 4}{2} \equiv 0 \pmod{2}$ . Thus we see that  $n \equiv 0 \pmod{4}$ . But this contradicts the condition that  $n \equiv 2 \pmod{4}$  for  $k = 4$ . Therefore there is no lift of  $\eta$  for  $k = 5$ . This completes the proof of Theorem B.

Now we shall study necessary conditions for the existence of a lift of  $\eta$  with respect to Diagram (1). For convenience, we take the  $S$ -dual of Diagram (1). Then we get the following diagram for some integer  $m$ ;

Diagram (3)

$$\begin{array}{ccc} & & HP_{m-k+1}^m \\ & \nearrow f & \uparrow i \\ S^{4(m-k)+3} & \xleftarrow{\eta} & S^{4(m-k)+4} \end{array}$$

Recall that  $A$ -theory is defined as the fiber spectrum of  $\Phi^3 - 1: ko \rightarrow kspin$ , where  $ko$  (resp.  $kspin$ ) is the connective (resp. 2-connected) cover of  $KO$ . Then by similar consideration, using  $A$ -theory, as in the proof of Theorem B, we get the following necessary condition;

$$\left\lfloor \frac{k - 1}{2} \right\rfloor < 2^{v_2(m)},$$

where  $v_2(m)$  is the exponent of 2 in the prime decomposition of  $m$ . Thus taking  $S$ -dual again, we see that the following condition is necessary for the existence of a lift in Diagram (1);

$$(*) \quad \left[ \frac{k-1}{2} \right] < 2^{v_2(n)}.$$

Remark that the condition obtained from Theorem C is more restrictive than this condition. This implies that the essential obstruction of co-extending  $\eta$  is not in the image of the classical  $J$ -homomorphism. So the problem does not seem to be solved by  $e$ -invariant methods. However, for the case that  $k$  is small, by using both  $e$ -invariant and secondary operation in §2, we can solve the problem. Thus we obtain Theorem F. Details are omitted.

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