

On a free boundary problem modelling inductive-heating processes

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We study a free boundary problem describing a melting process by using induction heating. The mathematical model in one space dimension consists of a coupled parabolic system in each phase along with a nonequilibrium kinetic condition on the interface. By applying an energy estimate and Campanato type estimates, it is shown that the problem has a unique classical solution globally.

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1. Introduction

Superheating is a phenomenon in which the temperature in a solid phase is higher than the melting temperature. This phenomenon is common when a microwave or an induction heating method is used during a melting process. The classical interface conditions (Stefan conditions) have to be modified in order to model this phenomenon. In this paper we study a melting process for highly conductive materials by using an induction heating method with possible superheating in the process.

To derive the mathematical model, we recall Maxwell's equations for an electric field $\mathbf{E}(x, t)$ and a magnetic field $\mathbf{H}(x, t)$ in \mathbb{R}^3 ([11, 16]):

$$\varepsilon \mathbf{E}_t + \sigma \mathbf{E} = \nabla \times \mathbf{H}, \quad \mu \mathbf{H}_t + \nabla \times \mathbf{E} = 0,$$

where ε is the electric permittivity, μ the magnetic permeability and σ the electric conductivity, which may depend on temperature. Here a bold letter represents a vector or vector function in \mathbb{R}^3 .

Since the targeted material is highly conductive, the eddy currents, $\mathbf{J}(x, t) := \sigma \mathbf{E}(x, t)$, are much stronger than the displacement currents, $\varepsilon \mathbf{E}_t$. It is a common approximation for induction heating modelling ([16]) that one can neglect the displacement currents by simply setting $\varepsilon = 0$. Hence, Maxwell's equations become a single system for $\mathbf{H}(x, t)$:

$$\mu \mathbf{H}_t + \nabla \times [\rho \nabla \times \mathbf{H}] = 0,$$

where $\rho := 1/\sigma$ represents the resistivity of the material which may depend on temperature as well as on space and time variables.

The local density of Joule's heat produced by eddy currents equals ([15, 16])

$$\mathbf{E} \cdot \mathbf{J} = \rho |\nabla \times \mathbf{H}|^2.$$

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For simplicity, the heat convection is neglected here. By Fourier's law and the conservation of energy, we see that the temperature, denoted by u , satisfies

$$u_t - \nabla[k\nabla u] = \rho|\nabla \times \mathbf{H}|^2,$$

where k represents the thermal conductivity and the other physical constants such as the material density and specific heat are assumed to be constants.

The above coupled system for \mathbf{H} and u has been studied by many researchers in the engineering sciences (see [15, 16] and the references therein). Despite the importance of the model in applications, many mathematical issues are still open due to the complicated nonlinear coupling and degeneracy of the system for $\mathbf{H}(x, t)$. Some progress has been made during the past few years. The author [24] proved the global existence of a weak solution in \mathbb{R}^3 under the assumption that $\rho(u)$ is bounded with a positive lower bound (see also [3] for a similar result). The regularity of weak solutions is studied in [25]. In [24] (see also [10]), by deriving a De Giorgi–Nash type estimate for the steady-state system of the magnetic field, classical solvability is established for the coupled steady-state system for $u(x)$ and $\mathbf{H}(x)$.

The problem, however, becomes much more difficult when a phase-change occurs in the system. Some industrial problems can be reduced to or approximated by the one-dimensional case ([16]). For example, assume that an electric field is restricted along the z -direction and its value depends only on x , i.e., $\mathbf{E}(x, t) = \{0, 0, e(x, t)\}$. Then the magnetic field must be in the y -direction, $\mathbf{H}(x, t) = \{0, h(x, t), 0\}$. The system for \mathbf{H} becomes the following equation:

$$h_t - \frac{\partial}{\partial x} \left[\rho(x, t, u) \frac{\partial h}{\partial x} \right] = 0.$$

Since the spatial dimension is equal to one, we may assume that the interface between the liquid and solid materials can be expressed by $x = s(t)$, $t \geq 0$. The classical Stefan condition at the interface is given by

$$u(s(t)-, t) = u(s(t)+, t) = m, \quad Ls'(t) = -k_1 u_x(s(t)-, t) + k_2 u_x(s(t)+, t),$$

where m is the melting temperature, L is the latent heat, and the constants k_1 and k_2 represent thermal conductivities in the solid and liquid, respectively. Here we denote by $f(s-)$ and $f(s+)$ the left- and right-hand limit of a function $f(x)$ as $x \rightarrow s$.

Because of the internal heat source produced by electromagnetic waves, the superheating phenomenon may occur. This leads to a nonequilibrium state at the interface, and the interface is unstable and unrealistic. Moreover, a mushy region may occur. In this paper, however, we only consider the classical case and assume that no mushy region occurs (see [29] for a different model). In order to stabilize the interface, several different theories have been proposed (see [4, 21] for examples). In this paper we use the following kinetic condition proposed in [21] from the nonequilibrium thermodynamics:

$$\begin{aligned} u(s(t)-, t) &= u(s(t)+, t), \\ Ls'(t) &= -k_1 u_x(s(t)-, t) + k_2 u_x(s(t)+, t), \\ s'(t) &= -g(u(s(t), t)), \end{aligned}$$

where $g(u)$ is a known nonnegative function of u , which represents the nonequilibrium force on the interface. The sign condition on g is to ensure that the model describes a melting process.

Let $T > 0$ and

$$Q_T = \{(x, t) : 0 < x < 1, 0 < t \leq T\}.$$

The interface is denoted by

$$\Gamma_T = \{(x, t) : x = s(t), 0 \leq t \leq T\}.$$

The solid and liquid phases are denoted by

$$Q_T^- = \{(x, t) : 0 < x < s(t)\}, \quad Q_T^+ = \{(x, t) : s(t) < x < 1, 0 < t < T\}.$$

Now we assume that the electric fields in the z -axis direction, denoted by $f_1(t)$ and $f_2(t)$, are applied on the fixed boundaries $x = 0$ and $x = 1$, respectively. From the relation between the electric and magnetic fields (Maxwell's first equation with $\varepsilon = 0$), we see that

$$\rho h_x(0, t) = f_1(t), \quad \rho h_x(1, t) = f_2(t).$$

For the temperature $u(x, t)$, we assume that there is no heat exchange across the fixed boundary.

We summarize the above discussion to obtain the following mathematical model for a melting process by using induction heating: Find $(h(x, t), u(x, t))$ and $s(t)$ such that

$$h_t - \frac{\partial}{\partial x} \left[\rho(x, t, u) \frac{\partial h}{\partial x} \right] = 0, \quad (x, t) \in Q_T \setminus \Gamma_T, \quad (1.1)$$

$$u_t - \frac{\partial}{\partial x} \left[k(x, t, u) \frac{\partial u}{\partial x} \right] = \rho(x, t, u) h_x^2, \quad (x, t) \in Q_T \setminus \Gamma_T, \quad (1.2)$$

$$h(s(t)-, t) = h(s(t)+, t), \quad u(s(t)-, t) = u(s(t)+, t), \quad 0 < t < T, \quad (1.3)$$

$$\rho_1 h_x(s(t)-, t) = \rho_2 h_x(s(t)+, t), \quad 0 < t < T, \quad (1.4)$$

$$Ls'(t) = -k_1 u_x(s(t)-, t) + k_2 u_x(s(t)+, t), \quad s(0) = s_0, \quad 0 < t < T, \quad (1.5)$$

$$s'(t) = -g(u(s(t), t)), \quad 0 < t < T, \quad (1.6)$$

$$\rho_1(0, t, u(0, t)) h_x(0, t) = f_1(t), \quad 0 < t < T, \quad (1.7)$$

$$\rho_2(1, t, u(1, t)) h_x(1, t) = f_2(t), \quad 0 < t < T, \quad (1.8)$$

$$u_x(0, t) = u_x(1, t) = 0, \quad 0 < t < T, \quad (1.9)$$

$$h(x, 0) = h_0(x), \quad u(x, 0) = u_0(x), \quad 0 < x < 1, \quad (1.10)$$

where $s_0 \in (0, 1)$; $f_1(t)$, $f_2(t)$ are prescribed electric fields on the fixed boundary; $u_0(x)$ and $h_0(x)$ represent the initial temperature and initial magnetic field; and

$$k = \begin{cases} k_1(x, t, u) & \text{if } (x, t) \in Q_T^-, \\ k_2(x, t, u) & \text{if } (x, t) \in Q_T^+, \end{cases}$$

and

$$\rho(x, t, u) = \begin{cases} \rho_1(x, t, u) & \text{if } (x, t) \in Q_T^-, \\ \rho_2(x, t, u) & \text{if } (x, t) \in Q_T^+, \end{cases}$$

During the past several decades, various free boundary problems have been studied by many authors (see the monographs and conference proceedings [14, 18, 17] for examples). Several authors

have studied the superheating and supercooling phenomena (see [7, 8, 22] etc.). For the Stefan problem with a kinetic condition at the free boundary, the global existence and uniqueness in one space dimension were established in [21, 23] with $g(u) = -u$. The asymptotic behavior of the free boundary was obtained in [6]. Bossavit [2] studied the numerical solution for the model problem associated with classical Stefan conditions on the free boundary. By assuming the magnetic field to be time-harmonic in three space dimensions, the author [29] studied a phase-change problem for a melting process using induction heating. A global weak solution for the phase-change problem is established in [29]. Recently, the author of [28] studied a free boundary problem arising in a microwave heating modelling, where a kinetic condition was used in the model. The general case in \mathbb{R}^3 was studied in [13] where an enthalpy weak form is used to model the process.

In the present paper we study the phase-change problem (1.1)–(1.10). One of the major difficulties for this problem is that the nonlinear term ρh_x^2 in (1.2) only belongs to the L^1 -space even without the phase-change. By using a similar idea to that developed in [5, 28] we are able to prove the global solvability for the problem (1.1)–(1.10). One of the key steps in the proof is the $L^{2,\mu}$ -theory developed in [30]. Another technique from [1] also plays an important role in the proof of the existence theorem.

This paper is organized as follows. In Section 2, we study the coupled parabolic system when an interface $x = s(t)$ is fixed in a suitable space. The existence of a unique weak solution is established. Moreover, various a priori estimates are derived. These estimates have a precise dependence upon the smoothness of the interface. In Section 3, we use those estimates obtained in Section 2 and Schauder’s fixed-point theorem to prove the existence of a solution for the free boundary problem (1.1)–(1.10). The uniqueness is also proved.

2. A coupled system with discontinuous coefficients

In this section we study the coupled parabolic system (1.1)–(1.2) subject to appropriate initial-boundary conditions and interface conditions when an interface $x = s(t)$ is fixed. We will show that the problem has a unique weak solution. Moreover, we derive various a priori estimates which have a precise dependence upon the smoothness of the interface $x = s(t)$. Those estimates are crucial in order to prove the existence of a solution for the original free boundary problem in Section 3.

Without loss of generality, we assume $L = 1$ in (1.5). It is clear that the interface conditions (1.3), (1.5)–(1.6) for $u(x, t)$ are equivalent to the following:

$$\begin{aligned} u(s(t)-, t) &= u(s(t)+, t), & 0 < t < T, \\ -k_1 u_x(s(t)-, t) + k_2 u_x(s(t)+, t) &= -g(u(s(t), t)), & 0 < t < T, \\ s'(t) &= -g(u(s(t), t)), & 0 < t < T. \end{aligned}$$

Throughout this section, we assume that $s \in C^1[0, T]$ is fixed. Moreover, $s(0) = s_0, 0 < s(t) < 1, 0 \leq t \leq T$. Let Γ_T be defined as in Section 1.

Consider the following problem:

$$h_t - \frac{\partial}{\partial x} \left[\rho(x, t, u) \frac{\partial h}{\partial x} \right] = 0, \quad (x, t) \in Q_T \setminus \Gamma_T, \tag{2.1}$$

$$u_t - \frac{\partial}{\partial x} \left[k(x, t, u) \frac{\partial u}{\partial x} \right] = \rho(x, t, u) h_x^2, \quad (x, t) \in Q_T \setminus \Gamma_T, \tag{2.2}$$

$$h(s(t)-, t) = h(s(t)+, t), \quad u(s(t)-, t) = u(s(t)+, t), \quad 0 < t < T, \tag{2.3}$$

$$\rho_1 h_x(s(t)-, t) = \rho_2 h_x(s(t)+, t), \quad 0 < t < T, \tag{2.4}$$

$$-k_1 u_x(s(t)-, t) + k_2 u_x(s(t)+, t) = -g(u(s(t), t)), \quad 0 < t < T, \tag{2.5}$$

$$\rho_1(0, t, u(0, t))h_x(0, t) = f_1(t), \quad 0 < t < T, \tag{2.6}$$

$$\rho_2(1, t, u(1, t))h_x(1, t) = f_2(t), \quad 0 < t < T, \tag{2.7}$$

$$u_x(0, t) = u_x(1, t) = 0, \quad 0 < t < T, \tag{2.8}$$

$$h(x, 0) = h_0(x), \quad u(x, 0) = u_0(x), \quad 0 < x < 1. \tag{2.9}$$

Even without free boundary involved in (2.1)–(2.9), it is still a challenge to prove the global existence of a weak solution for (2.1)–(2.9) because of the nonlinear term $\rho(x, t, u)h_x^2$ in (2.2), the jump condition on the interface in (2.5) and the discontinuous coefficients k and ρ in (2.1)–(2.2). We use the ideas from [5], [24] and [29] to establish the global existence of a unique weak solution.

We introduce the following assumptions:

H(2.1): $\rho(x, t, u), k(x, t, u)$ are measurable with respect to (x, t) and continuous with respect to u and there exist constants a_0 and a_1 such that

$$0 < a_0 \leq \rho(x, t, u), k(x, t, u) \leq a_1 \quad \text{for a.e. } (x, t, u) \in Q_T \times \mathbb{R}.$$

H(2.2): $g \in C^1(\mathbb{R})$ is nonnegative and there exists a constant G_0 such that

$$|g'(s)| \leq G_0, \quad s \in \mathbb{R}.$$

H(2.3): $f_1, f_2 \in H^1(0, T)$ and $u_0, h_0 \in W^{1,\infty}(0, 1)$.

We point out that the assumption on g is stronger than that of [21] due to our method used in this paper. The nonnegativity of $g(s)$ is purely to ensure $s'(t) \leq 0$, a melting process. It will be seen from the proof of the main result given below that g can be chosen to be of a general form (see Remark 3.1 below).

The main result of this section is the following theorem.

THEOREM 2.1 Under the assumptions H(2.1)–(2.3) the problem (2.1)–(2.9) has a weak solution (h, u) with

$$h \in L^2(0, T; H^1(0, 1)) \cap C^{\alpha,\alpha/2}(\bar{Q}_T), \quad u \in L^2(0, T; H^1(0, 1)) \cap C^{\alpha,\alpha/2}(\bar{Q}_T)$$

for some $\alpha \in (0, 1)$. Moreover, the following estimates hold:

$$\sup_{0 \leq t \leq T} \int_0^1 [h^2 + u^2] dx + \int_0^T \int_0^1 [h_x^2 + u_x^2] dx dt \leq C_1, \tag{2.10}$$

$$\|h\|_{C^{\alpha,\alpha/2}(\bar{Q}_T)} + \|u\|_{C^{\alpha,\alpha/2}(\bar{Q}_T)} \leq C_2, \tag{2.11}$$

where C_1 and C_2 depend only on the known data, but are independent of the bound of $\|s\|_{C^1[0,T]}$.

Proof. We use Schauder’s fixed-point theorem to prove the global existence. Let

$$K = \{v \in C^{\beta,\beta/2}(\bar{Q}_T) : \|v\|_{C^{\beta,\beta/2}(\bar{Q}_T)} \leq K_0\},$$

where the constants K_0 and $\beta \in (0, 1)$ will be determined later.

For any $v \in K$, we solve the following parabolic problem:

$$h_t - \frac{\partial}{\partial x} \left[\rho(x, t, v) \frac{\partial h}{\partial x} \right] = 0, \quad (x, t) \in Q_T, \tag{2.11}$$

$$\rho_1(0, t, v(0, t))h_x(0, t) = f_1(t), \quad 0 < t < T, \tag{2.12}$$

$$\rho_2(1, t, v(1, t))h_x(1, t) = f_2(t), \quad 0 < t < T, \tag{2.13}$$

$$h(x, 0) = h_0(x), \quad 0 < x < 1. \tag{2.14}$$

It is known from [12] that the problem (2.11)–(2.14) has a unique weak solution $h \in L^2(0, T; H^1(0, 1)) \cap C^{\alpha_1, \alpha_1/2}(\bar{Q}_T)$ for some $\alpha_1 \in (0, 1)$. Note that the weak solution h satisfies the interface conditions (2.3)–(2.4) automatically in the weak sense. Moreover, there exist constants C_1 and C_2 such that

$$\sup_{0 \leq t \leq T} \int_0^1 |h|^2 dx + \int_0^T \int_0^1 |h_x|^2 dx dt \leq C_1, \quad \|h\|_{C^{\alpha_1, \alpha_1/2}(\bar{Q}_T)} \leq C_2,$$

where C_1 and C_2 depend only on a_0, a_1 , the upper bound of T , $\|f_1\|_{H^1(0, T)}$, $\|f_2\|_{H^1(0, T)}$, and $\|h_0\|_{C^{\alpha_1}[0, 1]}$, but are independent of the bound on K_0, β , and the smoothness of $s(t)$.

Next we consider the following parabolic problem:

$$u_t - [k(x, t, u)u_x]_x = \rho(x, t, v(x, t))h_x^2, \quad (x, t) \in Q_T \setminus \Gamma_T, \tag{2.15}$$

$$u_x(0, t) = u_x(1, t) = 0, \quad 0 < t < T, \tag{2.16}$$

$$u(s(t)-, t) = u(s(t)+, t), \quad 0 < t < T, \tag{2.17}$$

$$k_1 u_x(s(t)-, t) - k_2 u_x(s(t)+, t) = g(u(s(t), t)), \quad 0 < t < T, \tag{2.18}$$

$$u(x, 0) = u_0(x), \quad 0 < x < 1. \tag{2.19}$$

First of all, we use a technique from [25] employing the Dirac-delta function to absorb the interface conditions into the equation. Since $\rho h_x^2 \in L^1(Q_T)$, it is easy to see that the problem (2.15)–(2.19) is equivalent to the following problem in the weak sense, as long as the weak solution is continuous in \bar{Q}_T :

$$u_t - [k(x, t, u)u_x]_x + g(u(x, t))\delta(x - s(t)) = \rho(x, t, v(x, t))h_x^2 \quad \text{in } Q_T, \tag{2.20}$$

$$u_x(0, t) = u_x(1, t) = 0, \quad 0 < t < T, \tag{2.21}$$

$$u(x, 0) = u_0(x), \quad 0 < x < 1, \tag{2.22}$$

where $\delta(x - s(t))$ is the Dirac-delta function with mass concentrated at $x = s(t)$.

To obtain a weak solution with desired regularity for (2.20)–(2.22) we have to make a suitable substitution in order to handle the nonlinear term on the right-hand side of (2.20).

We introduce

$$w(x, t) = u(x, t) + h(x, t)^2/2, \quad (x, t) \in Q_T. \tag{2.23}$$

By using (2.11) we see that (2.20) is equivalent to the following equation in the weak sense:

$$w_t - [k(x, t, u)w_x]_x + g(u)\delta(x - s(t)) = [(\rho - k)hh_x]_x \quad \text{in } Q_T. \tag{2.24}$$

Moreover,

$$w_x(0, t) = \frac{h(0, t)f_1(t)}{\rho_1(0, t, u(0, t))}, \quad w_x(1, t) = \frac{h(1, t)f_2(t)}{\rho_2(1, t, u(1, t))}, \quad 0 < t < T, \quad (2.25)$$

$$w(x, 0) = u_0(x) + h_0(x)^2/2, \quad 0 < x < 1, \quad (2.26)$$

where $u(x, t) = w(x, t) - h(x, t)^2/2$ in (2.25).

Note that for one space dimension the Dirac-delta function can be expressed by the derivative of the Heaviside function in the sense of distributions:

$$g(u(x, t))\delta(x - s(t)) = g(u(x, t))[H(x - s(t))]_x \quad \text{in } Q_T,$$

where $H(x)$ is the classical Heaviside function.

Now we can rewrite the singular term in the weak sense:

$$\begin{aligned} g(u(x, t))\frac{\partial H(x - s(t))}{\partial x} &= \frac{\partial}{\partial x}[g(u(x, t))H(x - s(t))] - H(x - s(t))g'(u(x, t))u_x \\ &= \frac{\partial}{\partial x}[g(u(x, t))H(x - s(t))] - H(x - s(t))g'(u(x, t))[w_x - hh_x]. \end{aligned}$$

Since $g'(u)$ is uniformly bounded, we see from the theory of parabolic equations ([12]) that the problem (2.24)–(2.26) has a unique weak solution $w \in L^2(0, T; H^1(0, 1))$. Moreover, from the estimate for $h(x, t)$ and H(2.1) we see that

$$\left\| \frac{h(0, t)f_1(t)}{\rho_1} \right\|_{L^\infty(0, T)} + \left\| \frac{h(1, t)f_2(t)}{\rho_2} \right\|_{L^\infty(0, T)} \leq C,$$

$I := H(x - s(t))g'(u)hh_x \in L^2(Q_T)$ by H(2.2) and

$$\iint_{Q_T} |I|^2 dx dt \leq C \iint_{Q_T} h_x^2 dx dt \leq C,$$

where C depends only on the known data, but is independent of K_0 and the smoothness of $s(t)$. It follows from regularity theory (Theorem 7.1 in [12]) that $w \in C^{\alpha_2, \alpha_2/2}(\bar{Q}_T)$ for some $\alpha_2 \in (0, 1)$. Moreover, there exists a constant C_3 such that

$$\|w\|_{C^{\alpha_2, \alpha_2/2}(\bar{Q}_T)} \leq C_3, \quad (2.27)$$

where C_3 is independent of β , K_0 , and the smoothness of $s(t)$.

Define a mapping M from K into K as follows:

$$M : v \in K \mapsto u := M[v],$$

where $u(x, t) = w(x, t) - \frac{1}{2}h(x, t)^2$ for $(x, t) \in Q_T$ and w is the weak solution of (2.24)–(2.26).

It is clear that the mapping M is well-defined. From the estimates (2.15) and (2.27), we see that

$$\|u\|_{C^{\alpha, \alpha/2}(\bar{Q}_T)} \leq C_4,$$

where $\alpha = \min\{\alpha_1, \alpha_2\}$ and C_4 depends only on C_2, C_3 .

We choose $K_0 = C_4$ and $\beta \in (0, \alpha)$. Then M maps K into K . The proof of the continuity of M from K into K is a simpler case of that for the mapping M_1 defined in Section 3. Hence we skip this step here. Since the embedding from $C^{\alpha, \alpha/2}(\bar{Q}_T)$ into $C^{\beta, \beta/2}(\bar{Q}_T)$ is compact for any $0 < \beta < \alpha$, it follows that M is compact and continuous from K into K . By Schauder's fixed-point theorem, M has a fixed point. This fixed point along with the weak solution h form a weak solution of the problem (2.1)–(2.9). \square

By using classical regularity theory, we can easily obtain more regularity for the solution of the problem, provided that the known data have more regularity.

Consider the following assumption:

- H(2.4): (a) $\rho_i, k_i \in C^{1+\alpha, \alpha, 1+\alpha}(Q_T \times \mathbb{R})$.
 (b) $f_1, f_2 \in C^{1+\alpha}[0, T], u_0 \in W^{1, \infty}(0, 1) \cap C^{2+\alpha}[0, s_0] \cap C^{2+\alpha}[s_0, 1]$.

Moreover, the following consistency condition holds:

$$k_1 u'_0(s_0-) - k_2 u'_0(s_0+) = g(u_0(s_0)).$$

THEOREM 2.2 Under the assumptions H(2.1)–H(2.4) the problem (2.1)–(2.9) a unique classical solution $h, u \in C^{2+\alpha, 1+\alpha/2}(Q_T^\pm) \cap C^{\alpha, \alpha/2}(\bar{Q}_T)$. Moreover, h_x and u_x are continuous up to the interface $x = s(t)$ on $[0, T]$. Furthermore, there exist constants C_5 and C_6 such that

$$\|h_x\|_{L^\infty(Q_T)} + \|u_x\|_{L^\infty(Q_T)} \leq C_5, \tag{2.28}$$

$$\int_0^T \left\{ \int_0^{s(t)} [u_{xx}^2 + h_{xx}^2] dx + \int_{s(t)}^1 [u_{xx}^2 + h_{xx}^2] dx \right\} dt \leq C_6, \tag{2.29}$$

where C_5 and C_6 depend on the known data and $\|s\|_{C^1[0, T]}$.

Proof. Since $s \in C^1[0, T]$, we know from [9] that u_x and h_x are continuous up to the interface $x = s(t)$. The regularity theory for parabolic equations implies that h and u satisfy the equation in the classical sense in $Q_T \setminus \Gamma_T$. Moreover, h_x and u_x are bounded ([9]). The energy method (see [26]) yields the second estimate. Finally, since the solution is classical, the uniqueness follows easily. \square

3. The free boundary problem

We again use Schauder's fixed-point theorem to prove the existence of a solution for the problem (1.1)–(1.10).

Let $\delta > 0$ be a small constant and $\beta \in (0, \alpha/2)$, where α is the Hölder exponent in Theorem 2.2. Let

$$K_1 = \{s \in C^{1+\beta}[0, T] : s(0) = s_0, \delta \leq s(t) \leq 1 - \delta, \|s\|_{C^{1+\beta}[0, T]} \leq L_0\},$$

where L_0 is a constant to be determined later. The constant L_0 depends only on the upper bound of T .

For each fixed $s \in K_1$, we solve the system (2.1)–(2.9) to obtain a unique solution (h, u) from Theorems 2.1 and 2.2. Define a mapping M_1 from K_1 into $C^{1+\alpha/2}[0, T]$ as follows:

$$M_1 : s \in K_1 \mapsto s^*(t) = M_1[s](t) := s_0 - \int_0^t g(u(s(\tau), \tau)) d\tau,$$

where u is the solution of (2.1)–(2.9) corresponding to s .

It is clear from Theorem 2.2 that the mapping M_1 is well-defined. Moreover, a fixed point of M_1 along with the solution (h, u) for (2.1)–(2.9) form a solution to the original free boundary problem (1.1)–(1.10).

LEMMA 3.1 Under the assumptions H(2.1)–H(2.4) the mapping M_1 is from K_1 into K_1 on $[0, T_0]$ for some $T_0 > 0$, provided that L_0 is chosen properly.

Proof. From the definition of s^* and Hölder continuity of u , we have

$$|(s^*)'(t)| = |g(u(x, t))| \leq G_0|u(x, t) - u_0(x)| + |g(u_0(x))| \leq G_1,$$

where $G_1 = 2G_0C_3 + \max_{x \in [0, 1]} |g(u_0(x))|$. Moreover, we see that for any $t_1, t_2 \in [0, T]$,

$$|(s^*)'(t_1) - (s^*)'(t_2)| \leq G_0|u(x, t_1) - u(x, t_2)| \leq G_0C_3|t_1 - t_2|^{\alpha/2}.$$

Furthermore, from the definition of s^* there exists a number $T_0 > 0$ such that

$$0 < \delta < s^*(t) \leq 1 - \delta, \quad t \in [0, T_0].$$

It follows that M_1 maps K_1 into $C^{1+\beta}[0, T_0]$. Moreover, the image of K_1 , $M_1(K_1)$, is a subset of K_1 if we choose $L_0 = G_1[1 + C_3T_0^{\alpha/2-\beta}] + 1$.

Since $\beta < \alpha/2$ and the embedding from $C^{1+\alpha/2}[0, T]$ into $C^{1+\beta}[0, T]$ is compact, we see that M_1 is pre-compact if M_1 is continuous. \square

To apply Schauder's fixed-point theorem, we only need to show that M_1 is continuous from K_1 into K_1 . This is the most complicated step.

LEMMA 3.2 Under the assumptions H(2.1)–H(2.4) the mapping M_1 is continuous from K_1 into K_1 .

Proof. Fix any sequence $s_n \in K_1$ with $s_n \rightarrow s$ in $C^{1+\beta}[0, T]$. We denote by (h_n, u_n) and (h, u) , respectively, the solutions of (2.1)–(2.9) from Theorem 2.1, corresponding to $x = s_n$ and $x = s$. Let $s_n^* = M_1[s_n]$ and $s^* = M_1[s]$.

From Theorem 2.1, we know that the estimates (2.10)–(2.11) in Theorem 2.1 hold uniformly for (h_n, u_n) . Moreover, since

$$\|s_n\|_{C^{1+\beta}[0, T]} \leq K_0, \quad \|s\|_{C^{1+\beta}[0, T]} \leq K_0,$$

it follows that the estimates (2.28)–(2.29) in Theorem 2.2 also hold for (h_n, u_n) and (h, u) .

Now we use a transformation similar to the one employed in [1]. Let

$$\psi : [0, 1] \times [\delta, 1 - \delta] \rightarrow [-1, 1]$$

be a function such that

- (i) $\psi \in C^3([0, 1] \times [\delta, 1 - \delta])$ and $|D^\gamma \psi| \leq d_0$ for all multi-indices $\gamma = (\gamma_1, \gamma_2)$ with $|\gamma| := |\gamma_1| + |\gamma_2| \leq 3$;
- (ii) $\psi(0, s) = 0, \psi(1, s) = 1, \psi(s, s) = 0$, for all $s \in [\delta, 1 - \delta]$;
- (iii) $\psi_x(s, s) = 1/2, \psi_x(x, s) \geq d_1 > 0$, for all $(x, s) \in [0, 1] \times [\delta, 1 - \delta]$,

where d_0 and d_1 are constants.

Let $\xi = \psi(x, s(t))$, $0 \leq t \leq T$ and $Q_T^* = (-1, 1) \times (0, T]$. We use (ξ, t) as new variables and set $I(\xi, t) = h(x, t)$, $v(\xi, t) = u(x, t)$. It is clear that

$$h_t = I_t + I_\xi \psi_s s', \quad h_x = I_\xi \psi_x, \quad h_{xx} = I_{\xi\xi} (\psi_x)^2 + I_\xi \psi_{xx}.$$

Similarly, one can calculate v_t , v_ξ and $v_{\xi\xi}$.

Let

$$S^- = (-1, 0) \times (0, T], \quad S^+ = (0, 1) \times (0, T].$$

A direct calculation shows that $I(\xi, t)$ and $v(\xi, t)$ satisfy the following equations:

$$I_t - \frac{\partial}{\partial \xi} [\rho_1(x, t, u) \psi_x I_\xi] \psi_x = J_1(\xi, t, I, v, s), \quad (\xi, t) \in S^-, \quad (3.1)$$

$$I_t - \frac{\partial}{\partial \xi} [\rho_2(x, t, u) \psi_x I_\xi] \psi_x = J_2(\xi, t, I, v, s), \quad (\xi, t) \in S^+, \quad (3.2)$$

$$v_t - \frac{\partial}{\partial \xi} [k_1(x, t, u) \psi_x v_\xi] \psi_x = J_3(\xi, t, I, v, s), \quad (\xi, t) \in S^-, \quad (3.3)$$

$$v_t - \frac{\partial}{\partial \xi} [k_2(x, t, u) \psi_x v_\xi] \psi_x = J_4(\xi, t, I, v, s), \quad (\xi, t) \in S^+, \quad (3.4)$$

where

$$\begin{aligned} J_1(\xi, t, I, v, s) &:= -\psi_s s' I_\xi, & J_2(\xi, t, I, v, s) &:= -\psi_s s' I_\xi, \\ J_3(\xi, t, I, v, s) &:= \rho_1 [I_\xi \psi_x]^2 - \psi_s s' v_\xi, & J_4(\xi, t, I, v, s) &:= \rho_2 [I_\xi \psi_x]^2 - \psi_s s' v_\xi. \end{aligned}$$

On the interface $\xi = 0$, $0 \leq t \leq T$,

$$h(0-, t) = h(0+, t), \quad (3.5)$$

$$\rho_1(0-, t, v(0, t)) h_\xi(0-, t) = \rho_2(0+, t, v(0, t)) h_\xi(0+, t), \quad (3.6)$$

$$v(0-, t) = v(0+, t), \quad (3.7)$$

$$k_1(0, t, v(0, t)) v_\xi(0-, t) - k_2(0, t, v(0, t)) v_\xi(0+, t) = \frac{g(v(0, t))}{\psi_x}. \quad (3.8)$$

We also introduce the same transformation $\xi = \psi(x, s_n(t))$ corresponding to the solution (h_n, u_n, s_n) and define

$$I_n(\xi, t) = h_n(x, t), \quad v_n(\xi, t) = u_n(x, t), \quad (x, t) \in Q_T.$$

Then $I_n(\xi, t)$ and $v_n(\xi, t)$ satisfy the same set of equations and the boundary conditions as do $I(\xi, t)$ and $v(\xi, t)$ in S^\pm .

Set

$$P(\xi, t) = I(\xi, t) - I_n(\xi, t), \quad U(\xi, t) = v(\xi, t) - v_n(\xi, t), \quad (\xi, t) \in S^\pm.$$

Then a direct calculation shows that $P(\xi, t)$ satisfies the following equation in the weak sense:

$$P_t - \frac{\partial}{\partial \xi} [\rho_1(x, t, u) \psi_x P_\xi] \psi_x = J_1 - J_{1n} + R_1 \quad \text{in } S^-, \quad (3.9)$$

$$P_t - \frac{\partial}{\partial \xi} [\rho_2(x, t, u) \psi_x P_\xi] \psi_x = J_2 - J_{2n} + R_2 \quad \text{in } S^+, \tag{3.10}$$

where

$$R_1 = \frac{\partial}{\partial \xi} \{ [\rho_1(x, t, u) \psi_x - \rho_1(x, t, u_n) \psi_{nx}] I_{n\xi} \} \psi_x + \frac{\partial}{\partial \xi} [\rho_1 \psi_{nx} I_{n\xi}] (\psi_x - \psi_{nx}),$$

$$R_2 = \frac{\partial}{\partial \xi} \{ [\rho_2(x, t, u) \psi_x - \rho_2(x, t, u_n) \psi_{nx}] I_{n\xi} \} \psi_x + \frac{\partial}{\partial \xi} [\rho_2 \psi_{nx} I_{n\xi}] (\psi_x - \psi_{nx}).$$

Similarly, we can derive the equations for $U(\xi, t)$ in S^\pm .

Since $\psi_x, \psi_s, \psi_{nx}, \psi_{ns}, I_\xi, I_{n\xi}, s', s'_n$ are uniformly bounded, from the equations for $P(x, t)$ and $U(x, t)$ and the estimates (2.28)–(2.29) we can derive the following energy estimates:

$$\int_{-1}^1 |P|^2 d\xi + \int_0^T \int_{-1}^1 |P_\xi|^2 d\xi dt \leq \delta \int_0^T \int_{-1}^1 [|P_\xi|^2 + |U_\xi|^2] d\xi dt$$

$$+ C(\delta) \int_0^T \int_{-1}^1 [P^2 + U^2] d\xi dt + C(\delta) \int_0^T |s'_n(t) - s'(t)|^2 dt,$$

$$\int_{-1}^1 |U|^2 d\xi + \int_0^T \int_{-1}^1 |U_\xi|^2 d\xi dt \leq \delta \int_0^T \int_{-1}^1 [|P_\xi|^2 + |U_\xi|^2] d\xi dt$$

$$+ C(\delta) \int_0^T \int_{-1}^1 [P^2 + U^2] d\xi dt + C(\delta) \int_0^T |s'_n(t) - s'(t)|^2 dt,$$

where $\delta > 0$ is a small parameter.

Since T in the above estimates can be replaced by any $T^* \in (0, T]$, by first choosing δ sufficiently small and then using Gronwall's inequality we obtain

$$\int_{-1}^1 [P^2 + U^2] d\xi + \int_0^T \int_{-1}^1 [|P_\xi|^2 + |U_\xi|^2] d\xi dt \leq C \int_0^T |s'(t) - s'_n(t)|^2 dt.$$

It follows that $h_n \rightarrow h$ and $u_n \rightarrow u$ in $L^2(0, T; H^1(\Omega))$ as $n \rightarrow \infty$.

Now we use $L^{2,\mu}$ -theory (see [20]) to show that u_n converges to u in $C^{\alpha,\alpha/2}(\bar{Q}_T)$ as $n \rightarrow \infty$. First of all, from $L^{2,\mu}$ -theory for any $\mu \in (0, 1)$ (see [30]) we have

$$\|P_x\|_{L^{2,\mu}(Q_T^*)} \leq C \|s'_n - s'\|_{L^\infty(0,T)} + \|P\|_{L^2(0,T;H^1(-1,1))} + \|U\|_{L^2(0,T;H^1(-1,1))}$$

$$\leq C \|s - s_n\|_{W^{1,\infty}(0,T)}.$$

Next we use the same technique as in Section 2 to rewrite the nonlinear term $\rho_i P_\xi^2, i = 1, 2$, in divergence form and then introduce $W(\xi, t) = U(\xi, t) + P(\xi, t)^2/2$. For the equation for $W(\xi, t)$, we apply $L^{2,\mu}$ -theory to obtain

$$\|W_x\|_{L^{2,\mu}(Q_T^*)} \leq C [\|P_x\|_{L^{2,\mu}(Q_T^*)} + \|P\|_{L^2(0,T;H^1(-1,1))} + \|U\|_{L^2(0,T;H^1(-1,1))}]$$

$$\leq C \|s - s_n\|_{W^{1,\infty}(0,T)}.$$

Finally, by applying parabolic interpolation we obtain

$$\|P\|_{C^{\alpha,\alpha/2}(Q_T^*)} + \|W\|_{C^{\alpha,\alpha/2}(Q_T^*)} \leq C \|s - s_n\|_{W^{1,\infty}(0,T)},$$

which approaches 0 as $n \rightarrow \infty$ since $s_n \rightarrow s$ in $C^{1+\beta}[0, T]$.

Thus, from the definition of W we see that u_n converges to u in $C^{\alpha,\alpha/2}(\bar{Q}_T)$, which implies that the mapping M_1 is continuous from K_1 into K_1 . \square

Now we use Lemmas 3.1–3.2 and Schauder’s fixed-point theorem to obtain the following main result.

THEOREM 3.3 Under the assumptions H(2.1)–H(2.4) the problem (1.1)–(1.10) has a unique classical solution in Q_T for some $T > 0$.

Proof. The existence follows from Schauder’s fixed-point theorem. Indeed, from Lemmas 3.1 and 3.2 the mapping M_1 is from K_1 into K_1 . Moreover, since the image of K_1 under M_1 is a subset of $C^{1+\alpha/2}[0, T]$ and the embedding from $C^{1+\alpha/2}[0, T]$ into $C^{1+\beta}[0, T]$ is compact when $0 < \beta < \alpha/2$, it follows that M_1 is also compact. Schauder’s fixed-point theorem implies that M_1 has a fixed point $s = M[s]$. This fixed point s along with (h, u) form a solution of the original problem (1.1)–(1.10).

To show the uniqueness, we use the same argument as in Lemma 3.2. Suppose (h_1, u_1, s_1) and (h_2, u_2, s_2) are two solutions of the problem (1.1)–(1.10). Let

$$h(x, t) = h_1(x, t) - h_2(x, t), \quad u(x, t) = u_1(x, t) - u_2(x, t), \quad s(t) = s_1(t) - s_2(t).$$

By introducing the same new variables $\xi = \psi(x, s)$ as in Lemma 3.2, we can easily derive the estimate

$$\|P\|_{L^2(0,T;H^1(-1,1))} + \|U\|_{L^2(0,T;H^1(-1,1))} \leq C \int_0^T |s'_1(t) - s'_2(t)|^2 dt,$$

where $P(\xi, t) = h(x, t)$ and $U(\xi, t) = u(x, t)$. Using the free boundary condition (1.6) and the Sobolev interpolation, we have

$$\begin{aligned} |s'_1(t) - s'_2(t)| &= |g(u_1(s_1(t), t)) - g(u_2(s_2(t), t))| \leq C|U(0, t)| \\ &\leq \varepsilon \int_{-1}^1 |U_\xi|^2 d\xi + C(\varepsilon) \int_{-1}^1 U^2 d\xi, \end{aligned}$$

where ε is a small parameter. After choosing ε properly and using Gronwall’s inequality, we obtain

$$\int_{-1}^1 [|P|^2 + |U|^2] d\xi = 0,$$

which yields the uniqueness. \square

Now we show that the solution $(h(x, t), u(x, t), s(t))$ can be extended to any interval $[0, T]$ for any $T > 0$.

THEOREM 3.4 Under the conditions H(2.1)–(2.4), the solution (h, u, s) can be extended to any interval $[0, T]$, where $s(t) = 0$ if the interface $x = s(t)$ intersects the fixed boundary $x = 0$.

Proof. Suppose $T > 0$ is arbitrary. From the above proof, we see that the solution (h, u, s) can be extended as long as the free boundary $x = s(t)$ stays away from the fixed boundary $x = 0$. If $s(t)$ stays away from the fixed boundary $x = 0$ on $[0, T]$, then the conclusion holds from Theorem 3.3. On the other hand, suppose $x = s(t)$ intersects the fixed boundary $x = 0$, say, at $t = t^* < T$. Namely,

$$\lim_{t \rightarrow t^*-} s(t) = 0,$$

where the existence of the limit is guaranteed by the uniform boundedness of $s(t)$ in $C^{1+\beta}[0, t^*]$.

Note that

$$s'(t) = -g(u(s(t), t)), \quad 0 < t < t^*,$$

is uniformly bounded on $[0, t^*)$. Moreover, h and u are Hölder continuous in Q_{t^*} . It follows that

$$\lim_{t \rightarrow t^*} h(\cdot, t) = h(\cdot, t^*) \in C^\alpha[0, 1]$$

and

$$\lim_{t \rightarrow t^*} u(\cdot, t) = u(\cdot, t^*) \in C^\alpha[0, 1].$$

Moreover, from the regularity theory for parabolic equations, we know that $h(\cdot, t^*), u(\cdot, t^*) \in C^\alpha[0, 1] \cap C^{2+\alpha}(0, 1)$. Now we can use $h(x, t^*)$ and $u(x, t^*)$ as new initial values and consider the problem (1.1)–(1.2) associated with the same initial-boundary conditions in $[t^*, T]$ (no interface conditions are involved). By using the same method as in Section 2, we see that this problem has a unique solution on $[t^*, T]$. \square

If the coefficients in (1.1)–(1.2) are smooth, then we can obtain the smoothness of the solution.

THEOREM 3.5 Let $0 < s(t) < 1$ on $[0, T]$. Suppose all coefficients in (1.1)–(1.2) are smooth and $g \in C^\infty(\mathbb{R})$. Then h and u are smooth in Q_T^\pm and $s \in C^\infty(0, T)$.

Proof. The idea of the proof comes from [9, 19]. From the interface condition (1.6), we know that $s \in C^{2+\alpha/2}(0, T]$. From the regularity theory for parabolic equations we see that h and u are in $C^{2,1+\alpha/2}(Q_T^\pm)$. Moreover, u_{xx} is continuous up to the interface $x = s$. This implies that $s \in C^{3+\alpha/2}(0, T]$. Then we use the regularity theory again to find that u_{xxx} is continuous up to $x = s(t)$. By continuing this process, we see that $s \in C^\infty(0, T]$.

REMARK 3.1 It is easy to see that the results in this paper hold for a more general free-boundary condition with suitable assumptions:

$$s'(t) = -g(s(t), u(s(t), t)), \quad 0 \leq t \leq T.$$

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