# A computational approach to an optimal partition problem on surfaces\*

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We explore an optimal partition problem on surfaces using a computational approach. The problem is to minimize the sum of the first Dirichlet Laplace–Beltrami operator eigenvalues over a given number of partitions of a surface. We consider a method based on eigenfunction segregation and perform calculations using modern high performance computing techniques. We first test the accuracy of the method in the case of three partitions on the sphere then explore the problem for higher numbers of partitions and on other surfaces.

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### 1. Introduction

In this paper, we use the surface finite element method to tackle an eigenvalue optimal partition problem for n-dimensional hypersurfaces in  $\mathbb{R}^{n+1}$ . Our computations are restricted to n=2. We denote by  $\Gamma$  a closed, smooth, connected n-dimensional hypersurface embedded in  $\mathbb{R}^{n+1}$ . For a given positive integer m, we say that  $\{\Gamma_i\}_{i=1}^m$  is an m-partition of  $\Gamma$  if  $\Gamma_i \subset \Gamma$  for  $i=1,\ldots,m$ ,  $\Gamma_i \cap \Gamma_j = \emptyset$  for  $i,j=1,\ldots,m$  with  $i \neq j$  and  $\bigcup_{i=1,\ldots,m} \overline{\Gamma}_i = \Gamma$ .

PROBLEM 1.1 Given a positive integer m and a smooth surface  $\Gamma$ , divide  $\Gamma$  into an m-partition  $\{\Gamma_i\}_{i=1}^m$  to minimize the energy:

$$\mathcal{E}\left(\{\Gamma_i\}_{i=1}^m\right) = \sum_{i=1}^m \lambda_1(\Gamma_i),\tag{1.1}$$

where  $\lambda_1(\Gamma_i)$  is the first eigenvalue of the Dirichlet Laplace–Beltrami operator over  $\Gamma_i$ .

This is a generalization of a similar problem considered in various formulations over a Cartesian domain  $\Omega$  with appropriate boundary conditions. The flat problem was studied in the context of shape optimization in the 1990's by Buttazzo and Dal Maso (1993); Sverak (1993); Bucur and Zolesio (1995); Bucur, Buttazzo and Henrot (1998). A key challenge is how to define an appropriate

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space of admissible partitions and how to equip this space with a topology so that one can define an absolute minimizer. By restricting to quasi-open sets, Bucur et al. (1998) show existence of a optimal partition as a consequence of a more general result. Quasi-open sets are sets which are close to open sets in the sense that given a quasi-open set there is an open set such that their symmetric difference has arbitrarily small capacity (Caffarelli and Lin 2007). Formally speaking, these are a class of general sets which can be used to define a weak form of elliptic equations. For example, all open sets are quasi-open. The set  $\Re(\Omega)$  of quasi-open sets in a domain  $\Re\Omega$  can be equipped with a notion of weak convergence by defining that a sequence of quasi-open sets  $\{A_n\}$  weakly converges to  $A \in \Re(\Omega)$  if  $\eta_{A_n} \to \eta_A$  weakly in  $H^1(\Omega)$  and  $A = \{\eta_A > 0\}$  where  $\eta_{\omega} \in H^1(\Omega)$  is the extension to  $\Re\Omega$  by zero of the unique weak solution of

$$-\Delta \eta_{\omega} = 1$$
 in  $\omega$  and  $\eta_{\omega} = 0$  on  $\partial \omega$ .

Using these notions it is possible to establish that the spectral functional is lower semi-continuous with respect to weak convergence in  $\mathfrak{A}(\Omega)$  and existence of an *m*-partition into quasi-open sets follows from the direct method of the calculus of variations (Caffarelli and Lin 2007).

An alternative method is based on using the eigenfunctions to partition the domain using an approach formulated by Caffarelli and Lin (2007). The energy (1.1) is transformed into a functional form as a constrained Dirichlet energy:

PROBLEM 1.2 Given a positive integer m and a smooth surface  $\Gamma$ , find  $\mathbf{u} = (u_1, \dots, u_m) \in H^1(\Gamma, \Xi)$  with  $\|u_i\|_{L^2(\Gamma)} = 1$  for  $i = 1, \dots, m$ , to minimize

$$\mathcal{E}_{SEG}^{0}(\boldsymbol{u}) = \sum_{i=1}^{m} \int_{\Gamma} |\nabla_{\Gamma} u_{i}|^{2} d\sigma, \qquad (1.2)$$

where  $\mathcal{E} \subset \mathbb{R}^m$  is the singular set

$$\mathcal{Z} = \left\{ y = (y_1, \dots, y_m) \in \mathbb{R}^m : \sum_{i=1}^m \sum_{i \neq j} y_i^2 y_j^2 = 0 \quad \text{and} \quad y_i \ge 0, i = 1, 2, \dots, m \right\}.$$

It was shown by Caffarelli and Lin (2007) that, when  $\Gamma$  is a Cartesian domain in  $\mathbb{R}^n$ , (1.2) is equivalent to (1.1) when we restrict to m-partitions of  $\Gamma$  in which  $\Gamma_i$  are quasi-open sets. The proof can be adapted to the surface case also. Let  $\{\Gamma_i\}_{i=1}^m$  be a minimizer of (1.1) consisting of quasi-open sets, then if  $u_i$  is the first eigenfunction of the Dirichlet Laplace-Beltrami operator over  $\Gamma_i$ , for  $i=1,\ldots,m$ , the vector quantity  $\mathbf{u}=(u_1,\ldots,u_m)$  is a minimizer of (1.2). Conversely, let the function  $\mathbf{u}=(u_1,\ldots,u_m)\in H^1(\Gamma,\Xi)$  be a minimizer of (1.2), then setting  $\Gamma_i=\{u_i>0\}$ , for  $i=1,\ldots,m$ , the collection of quasi-open sets  $\{\Gamma_i\}_{i=1}^m$  is an m-partition of  $\Gamma$  which is a minimizer of (1.1) and

$$\lambda_1(\Gamma_i) = \int_{\Gamma} |\nabla_{\Gamma} u_i|^2 d\sigma \quad \text{for } i = 1, \dots, m.$$

The authors Caffarelli and Lin (2007) use this formulation to show existence of minimizers and regularity of the interface between partitions.

Other works by Conti, Terracini and Verzini (2002, 2003) and Caffarelli and Lin (2007, 2008) have focused on regularity and more qualitative aspects of the problem for a Cartesian domain. Conti, Terracini and Verzini derive optimality conditions, such as the gradient of eigenfunctions

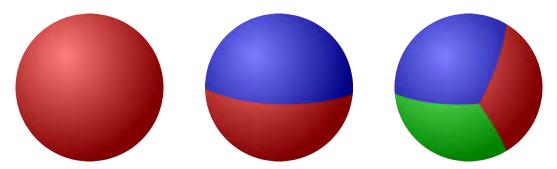


Fig. 1: Plots of solution of Problem 1.1 when  $\Gamma$  is a sphere, m=1 (left), m=2 (center) and m=3 (right, Bishop's conjecture) (Helffer et al. 2010)

should match at partition boundaries, and also that the partition consists of open sets. Caffarelli and Lin obtain regularity results, such as  $C^{1,\alpha}$ -smoothness of the partition boundaries away from a set of codimension two, and also an estimate of the behavior in the limit of large m. In particular, they prove that the optimal energy is bounded above and below by a constant times the m-th eigenvalue on  $\Gamma$  and conjecture that for large m the optimal partition will be asymptotically close to a hexagonal tiling in the case of a planar domain. The problem can be seen as a strong competition limit of segregating species either in Bose-Einstein condensate (Chang, Lin, Lin and Lin 2004), population dynamics (Conti, Terracini and Verzini 2005a,b) or materials science (Chen 2002) in curved geometries.

Numerical studies of this type of problem have so far been limited to the planar case. We mention in particular the study of Chang et al. (2004) and some special algorithms in the case of small m given by Bozorgnia and Arakelyan (2013) and Bozorgnia (2009). Also Bourdin, Bucur and Oudet (2010) considered the problem for large values of m using a fictitious domain approach. This problem has also been considered on graphs (Coifman and Lafon 2006; Osting, White and Oudet 2014) with applications in big data segmentation. Finally, we mention the study which will be the basis of our work in the paper: an eigenfunction segregation approach (Du and Lin 2009). We will describe the algorithm in more detail in the following.

The curved hypersurface problem has been studied analytically in the case that  $\Gamma$  is a sphere. For m=1, the result is clear and for m=2 the solution is two hemispheres leading to total energy 2. The case m=3 on the sphere leads to the Bishop conjecture (Bishop 1992).

CONJECTURE 1.3 The minimal 3-partition for Problem 1.1, with  $\Gamma=$  sphere, corresponds to the Y-partition whose boundary is given, up to a fixed rotation, by the intersection of  $\Gamma$  with the three half planes defined in polar coordinates by  $\phi=0,\frac{2\pi}{3},\frac{-2\pi}{3}$  (see Figure 1 and Section 3.1).

A similar problem to Problem 1.1 has been considered by exchanging the sum in (1.1) to an  $\ell^p$ -norm for  $p \in [1, \infty]$ .

PROBLEM 1.4 Given a positive integer m and a smooth surface  $\Gamma$ , divide  $\Gamma$  into an m-partition  $\{\Gamma_i\}_{i=1}^m$  to minimize the energy

$$\mathcal{E}_{p}(\{\Gamma_{i}\}_{i=1}^{m}) = \begin{cases} \left(\frac{1}{m} \sum_{i=1}^{m} \lambda_{1}(\Gamma_{i})^{p}\right)^{\frac{1}{p}} & p \in [1, \infty), \\ \max_{i=1,\dots,m} \lambda_{1}(\Gamma_{i}) & p = \infty. \end{cases}$$
(1.3)

The differences between this more general problem and the case p=1 have been studied by Helffer and Hoffmann-Ostenhof (2010) in the case of Cartesian domains. In particular they show a monotonicity formula for optimal partitions: Denoting by  $\mathcal{O}_p$  the optimal partition for the energy  $\mathcal{E}_p$ , for  $p \in [1, \infty]$ , then we have

$$\mathcal{E}_p(\mathcal{P}_p) \leq \mathcal{E}_q(\mathcal{P}_q)$$
 if  $p \leq q$ .

It is well known that the optimal partition for the case  $p = \infty$  is equi-spectral (equal  $\lambda_1$  for each set in the partition). This implies that if a partition is optimal for p = 1 and is equi-spectral then it is optimal for  $p = \infty$  (Helffer and Hoffmann-Ostenhof 2010, Proposition 2.1).

The case  $p=\infty$  has been studied on the sphere in the recent work of Helffer et al. (2010). They show the optimal partition is given by two hemispheres for the case m=2 and the Y-partition for m=3; see Figure 1 and Section 3.1. The authors also conjecture that for m=4 the optimal partition is a spherical projection of a regular tetrahedron. Furthermore, they show that for each m there is an optimal partition which satisfies an equal angle condition which says that the boundary arcs that meet at a critical point do so with equal angles. Computations for the  $p=\infty$  case on a flat torus can be found in Léna (2014).

We derive computational approaches using the surface finite element method (Dziuk 1988; Dziuk and Elliott 2007) to find solutions to these problems. A review of computational techniques for partial differential equations on surfaces is given by Dziuk and Elliott (2013). Our methods will be one of the algorithms given by Du and Lin (2009) applied with the surface finite element method in order to explore Problem 1.1.

We believe some of the techniques used in this paper, such as operator splitting and parallel computing, could be applied in a wide range of multiphase problems; for example Gräser, Kornhuber and Sack (2014). In these problems, one typically has a large system of reaction diffusion systems to solve with small parameter  $\varepsilon$  indicating an interfacial width. The small parameter  $\varepsilon$  acts with nonlinear terms to separate different phases. Our methods are designed to be transferable to this type of problem also. In contrast to many multiphase problems, the dynamic problem considered in this paper is based on non-local interface motion.

# 1.1 Approximation approach

One could try to directly compute the gradient flow of the energy  $\mathcal{E}_{SEG}^0$  in (1.2); see Mayer (1998) for analytic considerations of this approach. However, this would lead to equations which would be hard to discretize. We instead relax the constraint that  $\boldsymbol{u}$  takes values in  $\boldsymbol{\Xi}$  by adding a penalty term to the energy functional following Caffarelli and Lin (2008). In this way, we consider the extended energy functional:

$$\aleph_{\mathrm{SEG}}^{\varepsilon}(\boldsymbol{u}^{\varepsilon}) = \sum_{i=1}^{m} \frac{1}{2} \int_{\Gamma} |\nabla_{\Gamma} u_{i}^{\varepsilon}|^{2} d\sigma + \int_{\Gamma} F_{\varepsilon}(\boldsymbol{u}^{\varepsilon}) d\sigma, \qquad F_{\varepsilon}(\boldsymbol{u}^{\varepsilon}) = \frac{1}{\varepsilon^{2}} \sum_{\substack{i=1 \ j \neq i}}^{m} \sum_{\substack{j=1 \ j \neq i}}^{m} (u_{i}^{\varepsilon})^{2} (u_{j}^{\varepsilon})^{2}.$$

PROBLEM 1.5 Given a positive integer m, a smooth surface  $\Gamma$  and  $\varepsilon > 0$ , find  $\mathbf{u}^{\varepsilon} = (u_1^{\varepsilon}, \dots, u_m^{\varepsilon}) \in H^1(\Gamma, \mathbb{R}^m)$  with  $\|u_i^{\varepsilon}\|_{L^2(\Gamma)} = 1$  for  $i = 1, \dots, m$ , to minimize

$$\mathsf{E}_{\mathrm{SEG}}^{\varepsilon}(\boldsymbol{u}^{\varepsilon}) = \sum_{i=1}^{m} \frac{1}{2} \int_{\Gamma} |\nabla_{\Gamma} u_{i}^{\varepsilon}|^{2} \, \mathrm{d}\sigma + \int_{\Gamma} F_{\varepsilon}(\boldsymbol{u}^{\varepsilon}) \, \mathrm{d}\sigma. \tag{1.4}$$

We will now compute the gradient flow of this relaxed problem. We seek a time dependent function  $u^{\varepsilon}$ :  $\Gamma \times \mathbb{R}_+ \to \mathbb{R}^m$  and  $\lambda^{\varepsilon}$ :  $\mathbb{R}_+ \to \mathbb{R}^m$  satisfying

$$\partial_t u_i^{\varepsilon} = \Delta_{\Gamma} u_i^{\varepsilon} + \lambda_i u_i^{\varepsilon} - \frac{2}{\varepsilon^2} \Big( \sum_{j \neq i} (u_j^{\varepsilon})^2 \Big) u_i^{\varepsilon} \qquad \text{on } \Gamma \times \mathbb{R}_+, \text{ for } i = 1, \dots, m,$$
 (1.5a)

$$\boldsymbol{u}^{\varepsilon}(\cdot,0) = \boldsymbol{u}^{0} \qquad \text{on } \Gamma, \tag{1.5b}$$

subject to the constraint

$$\int_{\Gamma} |u_i^{\varepsilon}|^2 d\sigma = 1 \quad \text{for } i = 1, \dots, m.$$
(1.6)

Here, we suppose that the initial condition partitions  $\Gamma$  and has unit norm:

$$\mathbf{u}^{0} \in H^{1}(\Gamma, \Xi), \qquad \int_{\Gamma} |u_{i}^{0}|^{2} d\sigma = 1 \quad \text{for } i = 1, \dots, m.$$

We remark that  $u_i^0 \ge 0$  implies  $u_i^{\varepsilon} \ge 0$  for i = 1, ..., m.

This gradient flow problem was studied by Caffarelli and Lin (2009) for Cartesian geometries. The proofs can be easily transferred onto surfaces. We recall their results stated on surfaces:

$$\lambda_i^{\varepsilon}(t) = \int_{\Gamma} |\nabla_{\Gamma} u_i^{\varepsilon}|^2 + \frac{2}{\varepsilon^2} \Big( \sum_{i \neq i} (u_j^{\varepsilon})^2 \Big) (u_i^{\varepsilon})^2 d\sigma,$$

and

$$\aleph_{\mathrm{SEG}}^{\varepsilon}(\boldsymbol{u}^{\varepsilon}) \leqslant \sum_{i=1}^{m} \lambda_{i}^{\varepsilon}(t) = \aleph_{\mathrm{SEG}}^{\varepsilon}(\boldsymbol{u}^{\varepsilon}) + 2 \int_{\Gamma} F_{\varepsilon}(\boldsymbol{u}^{\varepsilon}) \,\mathrm{d}\sigma.$$

Furthermore, they show that  $\mathcal{E}^{\varepsilon}_{SEG}(u^{\varepsilon})$  is a monotone decreasing function of time for  $u^{\varepsilon}$  the solution of (1.5). This implies the existence of a unique global strong solution  $u^{\varepsilon} \in L^{\infty}(\mathbb{R}_+, H^1(\Gamma, \mathbb{R}^m))$  for each  $\varepsilon > 0$ . Finally, they give estimates of interest when considering the sharp interface limit: Denoting by  $\bar{u}^{\varepsilon}$  the minimizer of the  $\varepsilon$ -problem, for any  $0 < t_1 < t_2$ , we have

$$\int_{t_1}^{t_2} \int_{\Gamma} F_{\varepsilon}(\bar{\boldsymbol{u}}^{\varepsilon}) \, \mathrm{d}\sigma \, \mathrm{d}t \to 0 \quad \text{as } \varepsilon \to 0,$$

and that the limit of minimizing functions as  $\varepsilon \to 0$ ,  $\bar{u}^{\varepsilon}$  converges strongly in  $H^1(\Gamma \times \mathbb{R}_+)$  to a suitable weak solution of the constrained gradient flow of (1.2). Further asymptotic analysis of the limit  $\varepsilon \to 0$  has been considered by Du and Zhang (2011) and Berestycki, Lin, Wei and Zhao (2013).

A key advantage of this approach is that we are trying to approximate smooth functions  $\boldsymbol{u}^{\varepsilon}$  in place of the domains  $\Gamma_i$ . The limiting function  $\boldsymbol{u}^* = (u_1^*, \dots, u_m^*)$ , the limit of  $\boldsymbol{u}^{\varepsilon}$  as  $\varepsilon \to 0$ , partitions  $\Gamma$  so we can define  $\Gamma_i = \{u_i^* > 0\}$  and  $u_j^* = 0$  in  $\Gamma_j$ ,  $j \neq i$ . We note also that setting  $v_i^* := u_i^* - \sum_{j \neq i} u_j^*$  we have  $\Gamma_i = \{v_i^* > 0\}$ . A possible disadvantage of this method is that it is not clear how to relate  $\boldsymbol{u}^{\varepsilon}$  to a partition  $\{\Gamma_i\}$  when  $\varepsilon$  is fixed. Possibilities for defining  $\Gamma_i^{\varepsilon}$  include  $\Gamma_i^{\varepsilon} = \{u_i^{\varepsilon} > c(\varepsilon)\}$  or  $\Gamma_i^{\varepsilon} = \{v_i^{\varepsilon} > 0\}$  where  $v_i^{\varepsilon} := u_i^{\varepsilon} - \sum_{j \neq i} u_j^{\varepsilon}$ .

# 1.2 Outline

In the remainder of this paper, we will give a suitable discretization of this approach using the surface finite element method. We will propose an algorithm to solve the discretized optimization problem and give practical details of how we implement this method. Our experience is that the eigenfunction segregation method performs very well. Our results section consists of three parts. First, we will test our algorithm in the case of three partitions on the sphere for which we know the absolute minimizer. We will then compute partitions of the sphere for larger values of m and make some observations about the structure. Finally we consider other surfaces to see the different effects of curvature and different genus surfaces. The computations lead to some natural conjectures.

### 2. Computational method

#### 2.1 Discretization

We start the discretization by taking a polyhedral approximation  $\Gamma_h$  of  $\Gamma$ . We assume that  $\Gamma_h$  consists of a shape regular triangulation  $\mathfrak{T}_h$  where h is the maximal diameter of a simplex (triangle for n=2) in  $\mathfrak{T}_h$ . We will denote by  $\mathfrak{N}_h$  the vertices of  $\Gamma_h$  and call  $\Gamma_h$  a triangulated surface. We suppose that  $\Gamma_h$  interpolates  $\Gamma$  in the sense that the vertices of triangles of  $\Gamma_h$  lie on  $\Gamma$ .

Over this triangulation, we define two continuous finite element spaces, a space of scalar valued functions  $S_h$  and a space of vector valued functions  $S_h$ . These are given by

$$S_h = \{ \chi_h \in C(\Gamma_h) : \chi_h|_T \text{ is affine linear, for all } T \in \mathfrak{T}_h \},$$

$$S_h = \{ \eta^h = (\eta_1^h, \dots, \eta_m^h) \in C(\Gamma_h; \mathbb{R}^m) : \eta_i^h \in S_h \text{ for } i = 1, \dots, m \}.$$

We can directly formulate the discrete version of Problem 1.5.

PROBLEM 2.1 Given a positive integer m, a triangulated surface  $\Gamma_h$  and  $\varepsilon > 0$ , find  $\boldsymbol{u}^{\varepsilon,h} = (u_1^{\varepsilon,h},\ldots,u_m^{\varepsilon,h}) \in \boldsymbol{S}_h$  to minimize

$$\mathcal{E}_{\text{SEG}}^{\varepsilon,h}(\boldsymbol{u}^{\varepsilon,h}) = \frac{1}{2} \sum_{i=1}^{m} \int_{\Gamma_h} \left| \nabla_{\Gamma_h} u_i^{\varepsilon,h} \right|^2 d\sigma_h + \int_{\Gamma_h} F_{\varepsilon}(\boldsymbol{u}^{\varepsilon,h}) d\sigma_h. \tag{2.1}$$

Our optimization strategy will be to directly solve a discretization of the gradient flow equations. Discretizing in space first, we seek a time dependent finite element function  $\boldsymbol{u}^{\varepsilon,h} \in C^1(\mathbb{R}_+; \boldsymbol{S}_h)$  and  $\lambda^{\varepsilon,h} \colon \mathbb{R}_+ \to \mathbb{R}^m$  satisfying  $||u_i^{\varepsilon,h}||_{\Gamma_h}^2 = 1$  for  $i = 1, 2, \ldots m$ ,

$$\int_{\Gamma_{h}} \partial_{t} u_{i}^{\varepsilon,h} \chi_{h} + \nabla_{\Gamma_{h}} u_{i}^{\varepsilon,h} \cdot \nabla_{\Gamma_{h}} \chi_{h} \, d\sigma_{h}$$

$$= \int_{\Gamma_{h}} \lambda_{i}^{\varepsilon,h} u_{i}^{\varepsilon,h} \chi_{h} - \frac{2}{\varepsilon^{2}} \Big( \sum_{j \neq i} (u_{j}^{\varepsilon,h})^{2} \Big) u_{i}^{\varepsilon,h} \chi_{h} \, d\sigma_{h} \quad \text{for all } \chi_{h} \in S_{h}$$

$$\boldsymbol{u}^{\varepsilon,h}(\cdot,0) = \boldsymbol{u}^{h,0}.$$
(2.2)

Here,  $\boldsymbol{u}^{h,0} = (u_1^{h,0}, \dots, u_m^{h,0})$  is initial data in  $\boldsymbol{S}_h$  such that  $\sum_{j \neq i} (u_i^{h,0})^2 (u_j^{h,0})^2 = 0$  for  $i = 1, \dots, m$ .

We discretize in time using a operator splitting strategy similar to a scheme proposed by Du and Lin (2009). At each time step, we first solve one step of the heat equation, then solve an ordinary differential equation for the nonlinear terms, and use a projection to deal with the Lagrange multiplier.

#### 2.2 Computational method

The operator splitting method is as follows.

ALGORITHM 2.2 Given  $\varepsilon > 0$ , a positive integer m, a time step  $\tau > 0$  and an initial condition  $\boldsymbol{u}^{h,0} = ((u_1^{h,0}),\dots,(u_m^{h,0})) \in \boldsymbol{S}_h$  with  $\sum_{j \neq i} u_i^{h,0}(z)^2 u_j^{h,0}(z)^2 = 0$  for all  $z \in \mathbb{N}_h$  and  $i = 1,\dots,m$ , for  $k = 0,1,2,\dots$ ,

1. Solve one time step of the heat equation for  $i=1,\ldots,m$  using an implicit Euler method. We wish to find  $\tilde{\boldsymbol{u}}^{\varepsilon,h}=(\tilde{u}_1^{\varepsilon,h},\ldots,\tilde{u}_m^{\varepsilon,h})\in \boldsymbol{S}_h$ 

$$\int_{\Gamma_h} \frac{1}{\tau} (\tilde{u}_i^{\varepsilon,h} - (u_i^{\varepsilon,h})_k) \chi_h + \nabla_{\Gamma_h} \tilde{u}_i^{\varepsilon,h} \cdot \nabla_{\Gamma_h} \chi_h \, d\sigma_h = 0 \quad \text{ for all } \chi_h \in S_h, i = 1, \dots, m.$$

2. Solve the nonlinear terms exactly as an ordinary differential equation at each node. For all nodes  $z \in \mathbb{N}_h$  and  $i = 1, \dots, m$ , find  $\hat{u}_i^{\varepsilon,h}(z)$ :  $[t^k, t^{k+1}] \to \mathbb{R}$  such that

$$\frac{\mathrm{d}}{\mathrm{d}t} \left( \hat{u}_i^{\varepsilon,h}(z)(t) \right) = -\left( \frac{2}{\varepsilon^2} \sum_{i \neq i} (\tilde{u}_j^{\varepsilon,h}(z))^2 \right) \hat{u}_i^{\varepsilon,h}(z)(t), \quad \hat{u}_i^{\varepsilon,h}(z)(t^k) = \tilde{u}_i^{\varepsilon,h}(z).$$

3. Find the new solution  $(\boldsymbol{u}^{\varepsilon,h})_{k+1}$  by normalizing the  $(\hat{u}_1^{\varepsilon,h}(\cdot)(t^{k+1}),\dots,\hat{u}_m^{\varepsilon,h}(\cdot)(t^{k+1}))$ : solution

$$(u_i^{\varepsilon,h}(z))_{k+1} = \frac{\hat{u}_i^{\varepsilon,h}(z)(t^{k+1})}{\left\|\hat{u}_i^{\varepsilon,h}(\cdot)(t^{k+1})\right\|_{L^2(\Gamma_h)}} \quad \text{for all } z \in \mathcal{N}_h, i = 1, \dots, m.$$

Similarly to Bao and Du (2004), one can show an energy decreasing property for this scheme. The method is the same as the scheme of Du and Lin (2009) except we exchange a Gauss-Seidel iteration in step 2 for a Jacobi iteration. The ordinary differential equation from Step 2 can be solved exactly to give:

$$\hat{u}_i^{\varepsilon,h}(z)(t^{k+1}) = \tilde{u}_i^{\varepsilon,h}(z) \exp\left(-\frac{\tau}{\varepsilon^2} \sum_{j \neq i} \left(\tilde{u}_j^{\varepsilon,h}(z)(t)\right)^2\right).$$

Using this solution, we write a more practical version of Step 2 as

- 2. For each node  $z \in \mathbb{N}_h$ ,
  - (a) For i = 1, ..., m, compute  $\tilde{u}_i^{\varepsilon,h}(z)^2$ ; (b) Find  $S = \sum_{i=1}^m \tilde{u}_i^{\varepsilon,h}(z)^2$ ;

  - (c) For i = 1, ..., m, compute  $\hat{u}_i^{\varepsilon,h}(z)(t^{k+1})$  by

$$\hat{u}_i^{\varepsilon,h}(z)(t^{k+1}) = \tilde{u}_i^{\varepsilon,h}(z) \exp\left(-\frac{2\tau}{\varepsilon^2} \left(S - \tilde{u}_i^{\varepsilon,h}(z)^2\right)\right)$$

We stop the computation when the change in energy is less than  $10^{-6}$ . In order to reduce the computational cost this is only calculated every  $M_{\tau}$  iterations where  $0.1 = M_{\tau}\tau$ .

Since, in general, we do not know the configuration of the optimal domains, we initialize the computations with a random initial condition. We loop over the grid nodes  $z \in \mathcal{N}_h$  and uniformly at random choose one value  $i \in \{1, \ldots, m\}$  and set  $(u_0^h)(z)_i = 1$  and  $(u_0^h)(z)_j = 0$  for  $j \neq i$  then normalize each component,  $(u_0^h)_i$ , for  $i = 1, \ldots, m$ , in  $L^2(\Gamma)$ . As a result the first linear solve for the heat equation step will take more iterations, however the difference is not significant in this case.

REMARK In practice, we find this operator splitting method to be stable and efficient. If we discretized (2.2) in time directly using the Lagrange multiplier, we would have the choice to take the Lagrange multiplier implicitly or explicitly. An implicit discretization would leave a fully coupled system of equations to solve, which would not be so easily implemented using parallel high performance computing techniques. An explicit discretization would imply a time step restriction based on the size of the maximum  $H^1$ -semi norm of each component. We wish to start with a random initial condition in order to avoid local minima, however this has a very large  $H^1$ -semi norm which would give an unfeasible time step restriction. All three methods are considered for the flat problem in the time discrete-space continuous case by Du and Lin (2009).

# 2.3 Parallel computations

The algorithm has been formulated so that we can use high performance computing to implement the optimization. The key idea is to store the solution over *m* parallel processors and perform most of the computations on a single processor. Communication between processors is kept to a minimum.

We distribute the solution  $u^{\varepsilon,h}$  over m processors so that processor i stores  $u_i^{\varepsilon,h}$ . At each time step, each processor performs one linear solve (step 1), one loop over all nodes communicating with all other nodes to perform the sum in step 2(b) (step 2), then one more loop over all nodes to normalize the solution (step 3). In particular, computing sum in step 2(b) over all j is more efficient then computing the sum over all other  $j \neq i$ .

A similar approach was also taken to parallelisation by Bourdin et al. (2010) who computed up to 512 partitions. Our approach performs very well for  $m \le 32$ . At the moment we restricted to this number of partitions because we wish to have a meaningful number of elements in each partition. It is possible that one may gain efficiency by using an adaptive mesh refinement on the unstructured grids enabling sufficiently accurate computations with a larger number of partitions. This is left for future work.

All test cases were implemented using the Distributed and Unified Numerics Environment (DUNE) (Bastian, Blatt, Dedner, Engwer, Klöfkorn, Ohlberger and Sander 2008b; Bastian, Blatt, Dedner, Engwer, Klöfkorn, Kornhuber, Ohlberger and Sander 2008a). Matrices are assembled using the DUNE-FEM (Dedner, Klöfkorn, Nolte and Ohlberger 2010) and solved using a conjugate gradient method preconditioned with algebraic multigrid Jacobi preconditioner from DUNE-ISTL (Blatt and Bastian 2007). Parallelisation is performed using MPI. All visualization is performed in ParaView (Henderson 2014). The code we have written for the simulations in this paper is available at http://users.dune-project.org/projects/dune-partition.

#### 3. Results

# 3.1 Convergence tests for three partitions of the sphere

Bishop's conjecture (Conjecture 1.3) suggests that the Y-partition is optimal in the case m=3 on the sphere. This corresponds (up to rotations of the sphere) to  $\Gamma_1=\{0<\varphi<2\pi/3\}$ ,  $\Gamma_2\{-2\pi/3<\varphi<0\}$  and  $\Gamma_3=\{|\varphi|>2\pi/3\}$ . We can compute that the first eigenfunctions are:

$$u_1(\theta, \varphi) = \sin(\frac{3\varphi}{2})(\sin \theta)^{\frac{3}{2}} \qquad \text{on } \Gamma_1,$$

$$u_2(\theta, \varphi) = -\sin(\frac{3\varphi}{2})(\sin \theta)^{\frac{3}{2}} \qquad \text{on } \Gamma_2,$$

$$u_3(\theta, \varphi) = \sin(\frac{3|\varphi|}{2} - \pi)(\sin \theta)^{\frac{3}{2}} \qquad \text{on } \Gamma_3.$$

Each of these eigenfunctions has eigenvalue 15/4. We will test our scheme by checking the rate of convergence to the Y-partition.

We first test convergence with respect to the discretization parameters. We perform our algorithm at  $\varepsilon = 5 \cdot 10^{-3}$  and  $\tau = 10^{-4}$  over five levels of mesh refinement, reducing from  $h = 3.21614 \cdot 10^{-2}$  to  $h = 2.01073 \cdot 10^{-3}$ . We compute until t = 2. We have plotted the energy along the time evolution in Figure 2 and see good convergence. We have also included a dashed line at the Y-partition energy 45/4 for  $\varepsilon = 0$ . We see that for a given  $\varepsilon$  the error in energy can be large.

To test the convergence of the regularization we compute the minimizer for a sequence for values for  $\varepsilon$ . We start on a coarse mesh  $(h = 3.21614 \cdot 10^{-2})$  with  $\tau = 8 \cdot 10^{-4}$ , once we have reached

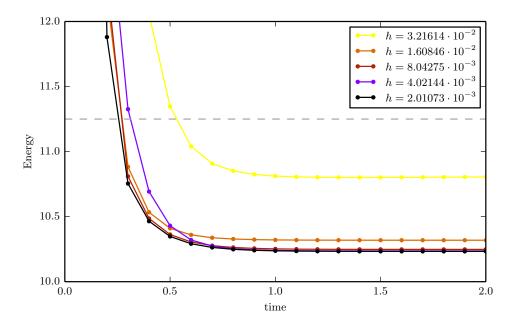


Fig. 2: Convergence with respect to discretization parameters for  $\varepsilon = 5 \cdot 10^{-3}$  to the Y-partition on the sphere. The dashed grey line is the Y-partition energy for  $\varepsilon = 0$ .

Table 1: Results of convergence test in  $\varepsilon$  for numerical tests for three partition case. Energy is  $\mathcal{E}_{SEG}^{\varepsilon}$  at the best computed partition, energy error is the difference to 45/4, the Y-partition energy for  $\varepsilon = 0$ , and  $S_{\varepsilon}$  is given by (3.1).

arepsilon	Energy	Energy error	(eoc)	$S_{oldsymbol{arepsilon}}$	(eoc)
$5.00000 \cdot 10^{-1}$	1.9100	9.3400	_	1.9098	
$2.50000 \cdot 10^{-1}$	4.8759	6.3741	0.5512	1.5350	0.3151
$1.25000 \cdot 10^{-1}$	6.6257	4.6243	0.4630	1.0548	0.5413
$6.25000 \cdot 10^{-2}$	7.8829	3.3671	0.4577	0.7751	0.4444
$3.12500 \cdot 10^{-2}$	8.8095	2.4405	0.4643	0.5714	0.4400
$1.56250 \cdot 10^{-2}$	9.4907	1.7593	0.4721	0.4188	0.4482
$7.81250 \cdot 10^{-3}$	9.9880	1.2620	0.4793	0.3050	0.4576
$3.90625 \cdot 10^{-3}$	10.3487	0.9013	0.4856	0.2209	0.4652
$1.95312 \cdot 10^{-3}$	10.6088	0.6412	0.4912	0.1605	0.4605
$9.76562 \cdot 10^{-4}$	10.7958	0.4542	0.4974	0.1168	0.4591

a minimizer, we refine the mesh by bisecting elements once (two bisections reduces h roughly by half) and reduce  $\tau$  by a factor  $1/\sqrt{2}$ . Instead of computing a new random initial condition after each refinement, we use the previous minimizer as the new initial condition.

We define  $S_{\varepsilon}$  to be part of the energy associated with regularization:

$$S_{\varepsilon}(\boldsymbol{u}^{\varepsilon,h}) := \int_{\Gamma_h} F_{\varepsilon}(\boldsymbol{u}^{\varepsilon,h}) \, d\sigma_h = \frac{1}{\varepsilon^2} \int_{\Gamma_h} \sum_{i=1}^m \sum_{j \neq i} (u_i^{\varepsilon,h})^2 (u_j^{\varepsilon,h})^2 \, d\sigma_h. \tag{3.1}$$

These values illustrate the convergence of the relaxation to the exact problem. We expect  $S_{\varepsilon} \to 0$  as we know that we recover a minimizer of the partition problem as  $\varepsilon \to 0$ .

We have computed the full and regularization energy at each minimizer. The results are shown in Table 1 and Figure 3. The tables also show the experimental order of convergence (eoc) which is computed via the formula

$$(eoc)_i = \frac{\log(error_i/error_{i-1})}{\log(1/2)}.$$

where  $error_i$  is the error in energy against the Y-partition at refinement level i.

The eigenfunction segregation approach performs very well with respect to convergence in  $\varepsilon$ . We observe order  $\varepsilon^{\frac{1}{2}}$  convergence both for the full energy and also for  $S_{\varepsilon}$ . The errors are still quite large for reasonable sized values of  $\varepsilon$  so we must take very small values of  $\varepsilon$  to trust any predictions of energy values using this method.

# 3.2 Computed partitions of the sphere for $m \ge 3$

We proceed with the following refinement rules. We initialize the problem with a random initial condition for  $\varepsilon_0 = \frac{1}{2}$ ,  $\tau_0 = 8 \cdot 10^{-4}$  on a mesh  $\Gamma_{h,0}$ , then for  $l = 0, 1, 2, \ldots$ , we find a minimizer of the  $\varepsilon_l$ -problem on  $\Gamma_{h,l}$ , then refine the mesh globally by bisecting all elements, and find  $\varepsilon_{l+1}$  and  $\tau_{l+1}$  as

$$\varepsilon_{l+1} = \sqrt{2}\varepsilon_l \qquad \tau_{l+1} = \sqrt{2}\tau_l.$$

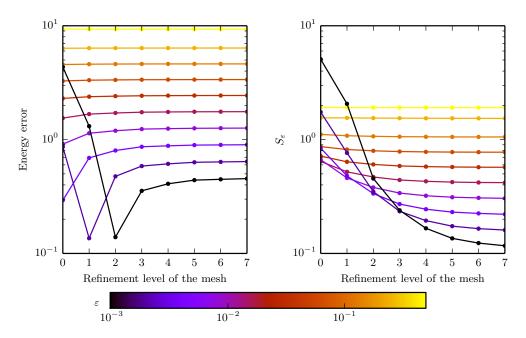


Fig. 3: Convergence with respect to  $\varepsilon$  to the Y-partition on the sphere. The energy error is difference to 45/4, the Y-partition energy for  $\varepsilon = 0$ , and  $S_{\varepsilon}$  is given by (3.1).

We use the optimal function for level l-1 as the initial condition on level l. The final parameters are given in Table 2.

Plots of the solutions for several values of m are given in Figure 4. Observe that the color coding of these figures indicates the partitions using the computed values of the eigenfunctions. Eigenvalue estimates are computing by taking the mean  $H^1$ -semi norm of the components. The computed eigenvalues are plotted in Figure 5. Theorem 3 of the work by Caffarelli and Lin (2007) proves that the energy scales like  $\lambda_m(\Gamma)$  up to a constant factor. Using Weyl's asymptotics, we see that in two

TABLE 2: Final parameters for computations on the sphere

m	l	Degrees of freedom	ε	
3	9	579 830	$6.25 \cdot 10^{-4}$	
4	9	786 440	$6.25 \cdot 10^{-4}$	
5	9	983 050	$6.25 \cdot 10^{-4}$	
6	9	1 179 660	$6.25 \cdot 10^{-4}$	
7	9	1 376 270	$6.25 \cdot 10^{-4}$	
8	7	196 624	$1.25 \cdot 10^{-3}$	
16	7	393 248	$1.25 \cdot 10^{-3}$	
32	7	786 496	$1.25\cdot 10^{-3}$	

space dimensions this means that the average eigenvalue is bounded above and below by m times a constant. This is indicated by the blue line which is m times the first eigenvalue corresponding to a hexagon H of area  $4\pi$  (the surface area of the sphere) – this is the conjectured average eigenvalue for large m in the plane (Caffarelli and Lin 2007). Our results indicate a similar scaling property for the sphere.

Rather than just using the computed eigenfunction values, as mentioned earlier, we may define an approximate partition by

$$\Gamma_i^{\varepsilon,h} := \left\{ x \in \Gamma : v_i^{\varepsilon,h}(x) := u_i^{\varepsilon,h}(x) - \sum_{j \neq i} u_j^{\varepsilon,h}(x) > 0 \right\} \quad \text{for } i = 1, \dots, m.$$
 (3.2)

We motivate the use of this definition by noting that each  $u_i^{\varepsilon,h}$  is positive and the supports of  $\{u_i^{\varepsilon,h}\}$  overlap, hence this function is zero only surrounding one partition where  $u_i^{\varepsilon,h} = u_j^{\varepsilon,h}$  for some  $j \neq i$ . Note that these sets will not cover  $\Gamma$  and there will be a small void between regions. Furthermore we may use  $v_i^{\varepsilon,h}$  in the following interesting way. Suppose that  $\gamma$  is a curve on  $\Gamma$  defined by as the zero level set of a function  $\phi$ ,  $\gamma = \{\phi = 0\}$ , then the geodesic curvature of  $\gamma$ , which we denote by  $\kappa_g$  is given by

$$\kappa_g = \nabla_{\Gamma} \cdot \left( \frac{\nabla_{\Gamma} \phi}{|\nabla_{\Gamma} \phi|} \right). \tag{3.3}$$

We can use ParaView's gradient reconstruction function to compute an approximation of  $\kappa_g$  over the interface at the boundary of each partition  $\Gamma_i$  using  $\phi = v_i^{\varepsilon,h}$ . An example of this is shown in Figure 6. We see that this value is small away from junctions.

We observe that at junctions three partitions coincide with equal angles. See, for example, Figure 7. This is consistent with the results of Helffer et al. (2010) who prove, for the case  $p = \infty$ , that all partitions have an equal angle property. From our results it is difficult to quantify this result since at any triple point there is a void region because of our regularization. Also in Figure 7, we have superimposed an equal angle triple junction which shows good agreement to results we have. We can consider a reduced problem of finding the first eigenvalue over partitions of the unit disk. We find with three equal partitions (similar to the Y-partition) the total energy is approximately  $60.6 (= 3 \cdot 20.2)$  and for four partitions, one in each quadrant, the total energy is approximately  $105.6 (= 4 \cdot 26.4)$ . Taking three partitions leads to a significant reduction in energy.

Table 3 shows one representative of each polygon similarity class and more details of the best estimate of the energy and also the similarity classes of polygons. The energy calculation shows the values of each eigenvalue (mean and standard deviation for each similarity class of polygons) and also  $S_{\varepsilon}$  for each of the final configurations. We note that for m=3,4,6, our optimal configuration are equi-spectral and for the case m=4 we recover a spherically projected tetrahedron as conjectured by Helffer et al. (2010). Thus we conjecture that there partitions are optimal for the case  $p=\infty$  also.

There are several striking features:

- All partitions consist of curvi-linear polygons;
- The boundary of each partition consists of arcs with zero geodesic curvature ("straight lines");
- Each junction is a triple junction with an equal angle condition satisfied;
- There are at most two types of polygon in the partition;
- In the case of two different polygons, the polygon with more sides has lower eigenvalue;

- As *m* increases the number of edges in each polygon increases;
- Each polygon has at most 6 edges.

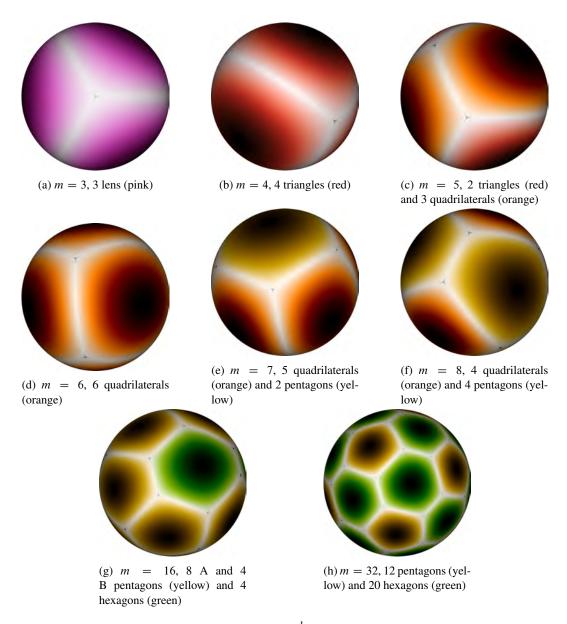


Fig. 4: Plots of the minimizing configurations  $\{\Gamma_i^{\varepsilon,h}\}_{i=1}^m$  with void regions in grey. Colors only in the online version. Each partition is colored according to the polygon type and shaded by the eigenfunction from white for  $u_i = 0$  to black for  $u_i$  at the maximum.

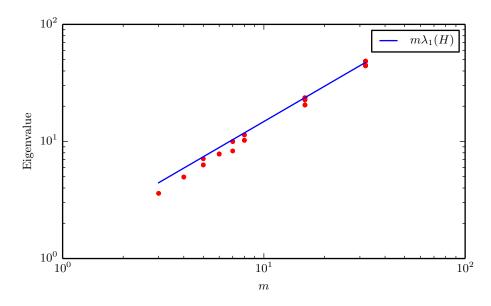


Fig. 5: Plot of the eigenvalues at different values of m. The blue line is  $m\lambda_1(H)$  where H is the planar hexagon with area  $4\pi$  (equal to the surface area of the sphere).

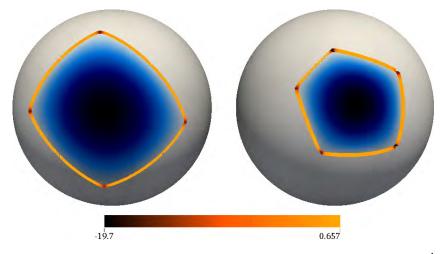


Fig. 6: Plots of one partition and  $\kappa_g$  for m=8 (left) and m=16 (right). The value of  $u_i^{\varepsilon,h}$  is shown on a black to white scale and  $\kappa_g$  is plotted on the curve  $\{v_i^{\varepsilon,h}=0\}$  on a black to orange scale.

We define the dual polygon to a partition by considering the edges and vertices as a graph and taking the dual graph. In our case, since we always have triple junctions this defines a triangulation of the sphere. Let V be the number of vertices, E the number of edges and F the number of faces in the dual polygon to a partition  $\{\Gamma_i\}_{i=1}^m$ . We know that this will satisfy Euler's identity,

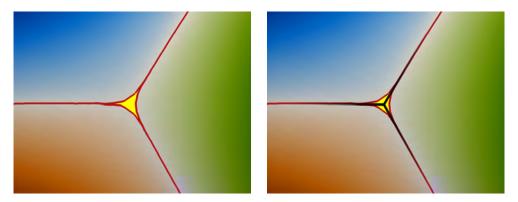


Fig. 7: A zoom of a triple junction on the sphere. Three partitions  $\{v_i^{\varepsilon,h}>0\}$  are colored on blue, green and orange according to the eigenfunction  $u_i^{\varepsilon,h}$  with red boundaries at  $\{v_i^{\varepsilon,h}=0\}$ . The void region is shown in yellow. Additionally in the right plot we have added black lines which would correspond to an equal angle triple junction.

 $V - E + F = \chi$ , where  $\chi$  is the Euler characteristic (2 in the case of a sphere), and also that

$$2E = \sum_{k=0}^{\infty} k n_k, \quad 3F = \sum_{k=0}^{\infty} k n_k, \quad V = \sum_{k=0}^{\infty} n_k,$$

where  $n_k$  is the degree of a vertex in the dual polygon. The degree of a vertex is equal to the number of edges of the corresponding partition. Using these equations in Euler's identity gives

$$4n_2 + 3n_3 + 2n_4 + n_5 = 6\chi + \sum_{k=7}^{\infty} (k - 6)n_k.$$
 (3.4)

This result is a special case of the Gauss-Bonnet theorem. We can think of this result as saying that polygons with less than six sides correspond to regions of positive Gauss curvature, hexagons correspond to zero Gauss curvature and polygons with more than six sides correspond to negative Gauss curvature.

This identity is consistent with the partitions in Table 3. Our computations suggest that the polygonal structure of the optimal partition consists of polygons with six or less sides. This agrees with the idea that the sphere has uniform positive Gauss curvature. We can deduce that if an m-partition of the sphere consists of only pentagons and hexagons, then there will be 12 pentagons and m-12 hexagons. We expect this to be the optimal partition for large values of m.

# 3.3 Computed partitions of other surfaces

We consider two other surfaces to see if these conclusions persist on a large class of surfaces. The first example, surface (D), is taken from the work of Dziuk (1988) where the surface is given by  $\Gamma = \{x \in \mathbb{R}^3 : \Phi(x) = 0\}$  for  $\Phi$  given by

$$\Phi(x_1, x_2, x_3) := (x_1 - x_3^2)^2 + x_2^2 + x_3^2 - 1.$$

Table 3: More details of optimal partitions. In the small plots, we plot the corresponding  $u_i^{\varepsilon,h}$  with a black contour at  $v_i^{\varepsilon,h}=0$ .

m	Shape	Energy information
3	3 lens	Lens eigenvalue: $3.605~(2.59\cdot 10^{-4})$ $S_{\varepsilon}$ : $0.072$ Total energy: $10.887$
4	4 triangles	Triangle eigenvalue: $4.966 \ (2.46 \cdot 10^{-4})$ $S_{\varepsilon}$ : $0.121$ Total energy: $19.987$
5	2 triangles 3 quadrilaterals and	Triangle eigenvalue: $7.118 (3.35 \cdot 10^{-4})$ Quadrilateral eigenvalue: $6.302$ $S_{\varepsilon}$ : $0.187$ Total energy: $33.330$
6	6 quadrilaterals	Quadrilateral eigenvalue: $7.812~(7.22\cdot 10^{-4})$ $S_{\varepsilon}$ : $0.248$ Total energy: $47.122$
7	5 quadrilaterals 2 pentagons and	Quadrilateral eigenvalue: $9.988 (1.63 \cdot 10^{-3})$ Pentagon eigenvalue: $8.298 (7.50 \cdot 10^{-5})$ $S_{\varepsilon}$ : $0.322$ Total energy: $66.859$
8	4 quadrilaterals 4 pentagons and	Quadrilateral eigenvalue: $11.380 (5.31 \cdot 10^{-3})$ Pentagon eigenvalue: $10.230 (2.91 \cdot 10^{-3})$ $S_{\varepsilon}$ : $0.650$ Total energy: $87.102$
16	8 A and 4 B pentagons 4 hexagons and	Pentagon (A) eigenvalue: $22.647 (1.05 \cdot 10^{-2})$ Pentagon (B) eigenvalue: $23.610 (2.43 \cdot 10^{-2})$ Hexagon eigenvalue: $20.496 (1.05 \cdot 10^{-2})$ $S_{\varepsilon}$ : $1.264$ Total energy: $362.718$
32	12 pentagons 20 hexagons and	Pentagon eigenvalue: $48.436 (1.46 \cdot 10^{-1})$ Hexagon eigenvalue: $44.460 (1.24 \cdot 10^{-1})$ $S_{\varepsilon}$ : $2.496$ Total energy: $1472.920$

TABLE 4: Final parameters for computations on the surface (D) and the torus

m	Surface (D)			Torus		
	l	Degrees of freedom	ε	$\overline{l}$	Degrees of freedom	ε
3	12	311 982	$3.125 \cdot 10^{-4}$	12	393 216	$3.125 \cdot 10^{-4}$
4	12	415 976	$3.125 \cdot 10^{-4}$	12	524 288	$3.125 \cdot 10^{-4}$
5	10	256 365	$6.25 \cdot 10^{-4}$	10	326 680	$6.25 \cdot 10^{-4}$
6	9	150 900	$8.883 \cdot 10^{-4}$	10	393 216	$6.25 \cdot 10^{-4}$
7	9	176 050	$8.883 \cdot 10^{-4}$	10	458 752	$6.25 \cdot 10^{-4}$
8	9	201 200	$8.883 \cdot 10^{-4}$	10	524 288	$6.25 \cdot 10^{-4}$
16	9	402 400	$8.883 \cdot 10^{-4}$	10	1 048 576	$6.25 \cdot 10^{-4}$
32	9	804 800	$8.883 \cdot 10^{-4}$	8	1 045 696	$8.883 \cdot 10^{-4}$

This has the same genus as a sphere but has large changes in curvature. The second example is given by a torus (T) with inner radius 0.6 and outer radius 1. This has different genus to the sphere. We proceed with the same refinement strategy as on the sphere. Details of the parameters are given in Table 4.

We plot for the eigenvalues corresponding to the optimal partition in Figure 8. We compute the eigenvalue as the  $H^1(\Gamma)$  semi-norm of each component. We have also included the line at  $m\lambda_1(H_D)$  and  $m\lambda_1(H_T)$  in each plot, where  $H_D$  and  $H_T$  are the regular hexagons with area equal

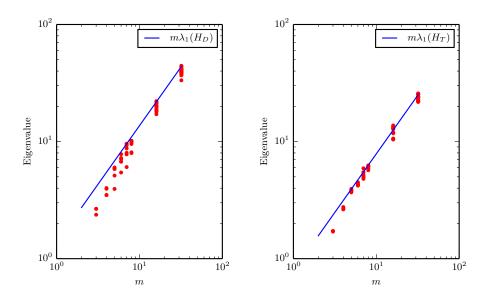


Fig. 8: Plot of the eigenvalues at different values of m. Left for the surface (D) and right for the torus (T). The blue line indicates the scaled eigenvalue corresponding to a hexagon H of equal area to each surface – this is the conjectured average eigenvalue for large m in the plane (Caffarelli and Lin 2007).

to the surface (D) and the torus (T). We do not have direct access to the eigenvalues on either of these surfaces so do not add that to this plot.

For surface (D), we plot the optimal configurations in Figure 9 with more details given, including eigenvalues and energy, in Table 5. For the torus, we plot the optimal configurations in Figure 10 with more details given, include eigenvalues and energy, in Table 6.

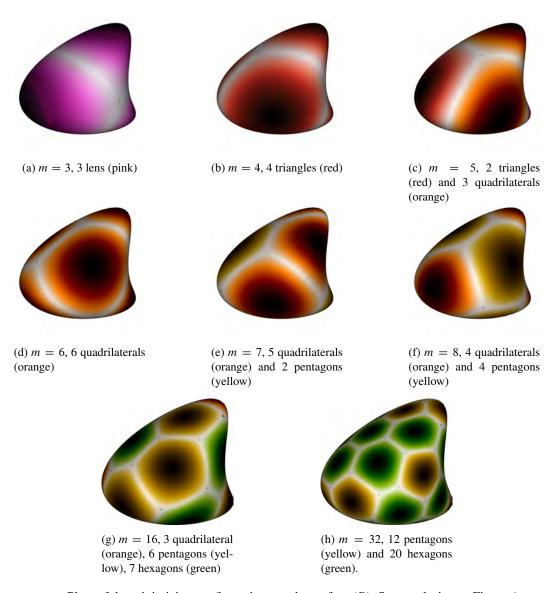


Fig. 9: Plots of the minimizing configurations on the surface (D). Same coloring as Figure 4.

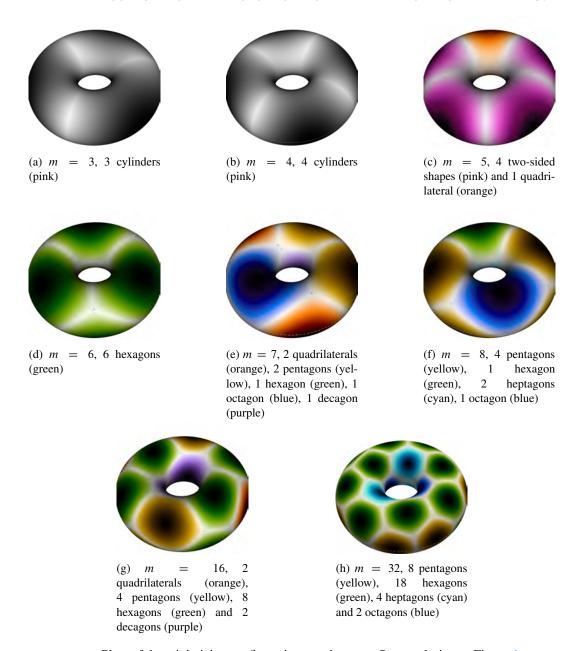
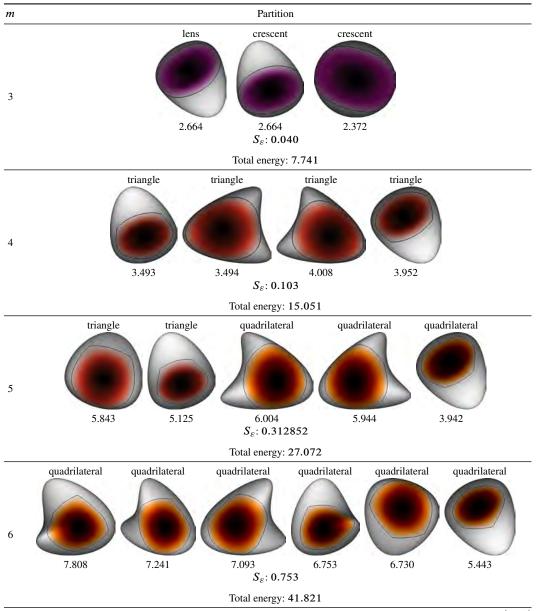


Fig. 10: Plots of the minimizing configurations on the torus. Same coloring as Figure 4.

Table 5: More details of optimal partitions on the surface (D). In the small plots, we plot the corresponding  $u_i^{\varepsilon,h}$  with a black contour at  $v_i^{\varepsilon,h}=0$ .



(cont.)

# A COMPUTATIONAL APPROACH TO AN OPTIMAL PARTITION PROBLEM

# (Table 5 continued)

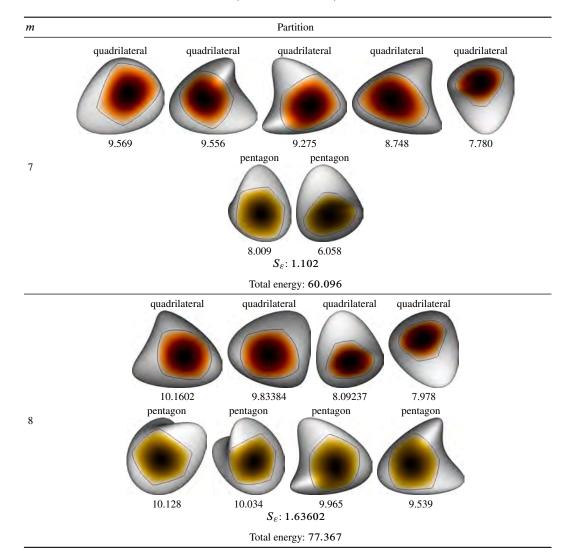
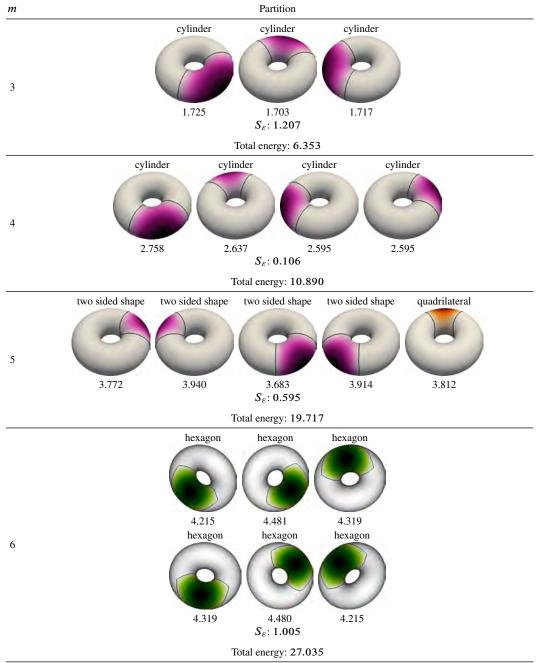
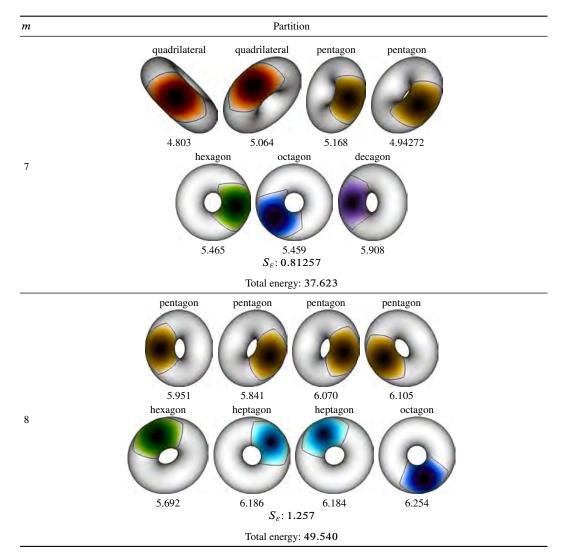


Table 6: More details of optimal partitions on the torus. In the small plots, we plot the corresponding  $u_i^{\varepsilon,h}$  with a black contour at  $v_i^{\varepsilon,h}=0$ .



(cont.)

# (Table 6 continued)



By using  $\Gamma_i^{\varepsilon,h}$  and  $v_i^{\varepsilon,h}$  from (3.2), we can define the boundary of partition on these surfaces also. This allows us to compute the geodesic curvature (3.3) of the boundary of  $\Gamma_i^{\varepsilon,h}$ ; see Figure 11 for computations. We again see that away from junctions the geodesic curvature is small. We also see that boundaries all meet at triple junction with the equal angle condition satisfied. We conjecture that on all surfaces optimal partitions have boundaries with zero geodesic curvature which meet at triple junctions with equal angles between each boundary.

On surface (D), the partition has exactly the same structure as for the sphere for  $m \le 8$  but the eigenvalues do not group in the same way because of the variations in curvature. For large values

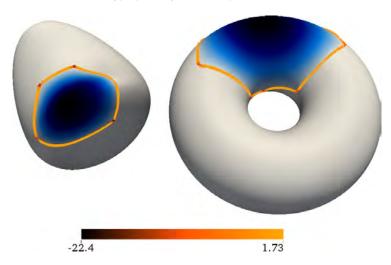


Fig. 11: Plots of one partition and  $\kappa_g$  for m=8 on the surface (D) (left) and m=6 on the torus (right). The value of  $u_i^{\varepsilon,h}$  is shown on a black to white scale and  $\kappa_g$  is plotted on the curve  $\{v_i^{\varepsilon,h}=0\}$  on a black to orange scale.

of m the structure changes. Now in regions with higher curvature we see partitions with few sides. In fact, for m=16, three partitions have four sides, which does not occur in the case of the sphere. The familiar pattern of pentagons and hexagons reoccurs for m=32 except now the pentagons are clustered in regions of high curvature. The number of sides of each partition is still limited to six. Because of (3.4), for larger values of m=12 pentagons and m=12 hexagons with the pentagons clustered in the higher curvature regions. We see that none of the partitions are equi-spectral.

On the torus (T), the situation is very different. For  $m \le 6$ , we have very structured partitions which reflect the symmetry of the surface. For the case of m = 5, we see all triple junctions occur in the center of the torus. For m > 6, we have partitions with more that 6 sides. The formula (3.4) tells us that the numbers of partitions with more than six sides must balance the number of partitions with less than six sides. For the cases we see, the partitions with more than six sides cluster in the center and those with less than six sides cluster on the exterior. As we increase m we see an increase in the number of hexagons, however it is not clear whether the number of non-hexagonal partitions will decrease. For smaller area partitions, for larger m, the curvature of the surface is less important and the problem becomes more like the flat problem, so we expect that for large values of m, we will see a preponderance of hexagons. We see that the partitions for m = 3, 4 are almost equi-spectral and so conjecture that these partitions are also optimal for the case  $p = \infty$ .

# 4. Discussion

We have explored an eigenvalue partition problem on three different surfaces and for many different numbers of partitions. We have observed good convergence both with respect to discretization parameters and also with respect to our choice of regularization. From our results we make the following conjectures:

- 1. The optimal partition consists of curvilinear polygons whose edges have zero geodesic curvature.
- 2. Partitions either meet along edges or at triple junctions where edges meet at equal angles.
- 3. For genus zero surfaces, for large values of m the optimal partition consists of 12 pentagons and m-12 hexagons. If the curvature of the surface varies, the pentagons will be located where the curvature is highest.
- 4. For genus one surfaces, for large values of m the optimal partition has a preponderance of hexagons.

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