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Sharp stability inequalities for planar double bubbles

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In this paper we address the global stability problem for double-bubbles in the plane. This is accomplished by combining the *improved convergence theorem* for planar clusters developed in [\[8\]](#page-45-1) with an ad hoc analysis of the problem, which addresses the delicate interaction between the (possible) dislocation of singularities and the multiple-volumes constraint.

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1. Introduction

The double-bubble theorem in \mathbb{R}^3 [\[22\]](#page-45-2) asserts that the total perimeter of two regions bounding given volumes is minimized by *standard double-bubbles*, which are the familiar soap bubble configurations where three spherical caps meet at 120 degree angles along a circle; see Figure [1.](#page-1-0) A mathematical formulation of this result in the context of finite perimeter sets is given as follows. One says that a family $\mathcal{E} = {\mathcal{E}(h)}_{h=1}^N$ of sets of locally finite perimeter in \mathbb{R}^n is a *N*-cluster in \mathbb{R}^n if $|\mathcal{E}(h)| > 0$ for $h = 1, \ldots, N$ and $|\mathcal{E}(h) \cap \mathcal{E}(k)| = 0$ for $1 \leq h < k \leq N$. We use the term *double-bubble* in place of 2-*cluster*. Setting $\mathcal{E}(0) = \mathbb{R}^n \setminus \bigcup_{h=1}^N \mathcal{E}(h)$ for the exterior chamber of \mathcal{E} , one defines the perimeter and the volume of E as

$$
P(\mathcal{E}) = \frac{1}{2} \sum_{h=0}^{N} P(\mathcal{E}(h)), \quad \text{vol}(\mathcal{E}) = (|\mathcal{E}(1)|, \dots, |\mathcal{E}(N)|),
$$

where $P(E)$ and $|E|$ denote, respectively, the distributional perimeter and the Lebesgue measure of a Lebesgue-measurable set $E \subset \mathbb{R}^n$. (In this way, $P(E) = \mathcal{H}^{n-1}(\partial E)$ whenever E is an open set with Lipschitz boundary in \mathbb{R}^n , where \mathcal{H}^k is the k-dimensional Hausdorff measure on \mathbb{R}^n).

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FIG. 1. Standard double-bubbles: three $(n - 1)$ -dimensional spherical caps meeting at 120 degrees angles along a $(n - 2)$ dimensional sphere (depicted by a dashed line).

For every $m_2 \ge m_1 > 0$, there exists a unique way (up to isometries) to enclose volumes m_1 and m_2 in \mathbb{R}^n by three $(n - 1)$ -dimensional spherical caps meeting at 120 degrees angles along a $(n-2)$ -dimensional sphere. The corresponding shape is called the standard double-bubble in \mathbb{R}^n (with volumes m_1 and m_2) and provides the only minimizer (up to isometries) in the isoperimetric problem

$$
\inf \{ P(\mathcal{E}) : \text{vol}(\mathcal{E}) = (m_1, m_2) \}, \qquad m_2 \geq m_1 > 0, \tag{1.1}
$$

as shown in [\[10\]](#page-45-3) when $n = 2$, in [\[22\]](#page-45-2) when $n = 3$, and in [\[26\]](#page-45-4) when $n \ge 4$. In other words, if \mathcal{E}_0 denotes a generic reference standard double-bubble in \mathbb{R}^n , then

$$
P(\mathcal{E}) \ge P(\mathcal{E}_0)
$$
, for every double-bubble \mathcal{E} with vol $(\mathcal{E}) = \text{vol}(\mathcal{E}_0)$, (1.2)

with equality if and only if $\epsilon = \epsilon_0$ modulo isometries. Our goal here is, in the planar case $n = 2$, to strengthen this isoperimetric inequality in two directions. Our first result is the following sharp quantitative form of (1.2) :

Theorem 1.1 (Global stability inequalities) *If* $m_2 \ge m_1 > 0$, *then there exists* $\kappa > 0$ *depending on* m_1 *and* m_2 *only such that, if* \mathcal{E} *is a planar double-bubble with* vol $(\mathcal{E}) = \text{vol}(\mathcal{E}_0) = (m_1, m_2)$ *, then, up to isometries,*

$$
P(\mathcal{E}) \ge P(\mathcal{E}_0) \left\{ 1 + \kappa \left(|\mathcal{E}(1)\Delta \mathcal{E}_0(1)| + |\mathcal{E}(2)\Delta \mathcal{E}_0(2)| \right)^2 \right\}.
$$
 (1.3)

REMARK 1.2 We stress the global character of (1.3) , that is to say, ϵ does not need to be a small perturbation of \mathcal{E}_0 , or to be parameterized on \mathcal{E}_0 in any sense. Moreover, the decay rate in [\(1.3\)](#page-1-2) is sharp: if $\varphi : [0, \infty) \to [0, \infty)$ is such that $P(\mathcal{E}) \ge P(\mathcal{E}_0)(1 + \varphi(\sum_{i=1}^2 |\mathcal{E}(i) \Delta \mathcal{E}_0(i)|))$ for every planar double-bubble $\&$ with vol. $(\&) = \text{vol}(\⊂>60) = (m_1, m_2)$, then there exist $C \geq 0$ and $t_0 > 0$ such that $\varphi(t) \leq C t^2$ for every $t \leq t_0$; see the discussion before Theorem [2.2](#page-5-0) below.

The typical situation in which we expect to observe double-bubbles E whose perimeter is close to that of a standard double-bubble \mathcal{E}_0 with vol $(\mathcal{E}_0) = \text{vol}(\mathcal{E})$, is when \mathcal{E} is the solution to a geometric variational problem sufficiently close to (1.1) , like

$$
\inf \left\{ P(\mathcal{E}) + \beta \int_{\mathcal{E}(1) \cup \mathcal{E}(2)} J(x) dx : \text{vol}(\mathcal{E}) = (m_1, m_2) \right\}, \qquad \beta > 0 \text{ small}, \tag{1.4}
$$

where J is the density of some potential energy (see also [\[27\]](#page-45-5) for an account on the interaction between the cluster perimeter and a nonlocal repulsive potential). Of course one expects such minimizers to be close to standard double bubbles in a much stronger sense than the one expressed in (1.3) , and we obtain such a quantitative estimate in the following theorem.

Theorem 1.3 (Perturbed minimizing clusters) If $m_2 \ge m_1 > 0$ and $J : \mathbb{R}^2 \to \mathbb{R}$ is a continuous *function with* $J(x) \to \infty$ *as* $|x| \to \infty$ *, then there exist* $C_0 > 0$ *and* $\beta_0 > 0$ *, depending on* m_1 *,* m_2 , and J only, with the following property. If \mathcal{E}_β is a minimizer in the variational problem [\(1.4\)](#page-1-4) *with* $\beta \in (0, \beta_0)$, then there exists a standard double-bubble \mathcal{E}_0 *with* vol $(\mathcal{E}_0) = (m_1, m_2)$ and a $C^{1,1}$ -diffeomorphism f_β between $\partial \mathcal{E}_0$ and $\partial \mathcal{E}_\beta$ such that

$$
\|f_{\beta}-\text{Id}\|^3_{C^0(\partial \mathcal{E}_0)}+\|\nabla f_{\beta}-\text{Id}\|^6_{C^0(\partial \mathcal{E}_0)}\leqslant C_0\,\beta.
$$

We now comment on the related literature on quantitative isoperimetric inequalities, and on the strategy of proof of our main results. After the pioneering contributions by Bernstein [\[4\]](#page-44-0) and Bonnesen [\[5\]](#page-44-1), the analysis of global stability problems has received a renewed attention in recent years, with the proof of the sharp stability inequality for the Euclidean isoperimetric problem [\[7,](#page-45-6) [11,](#page-45-7) [12,](#page-45-8) [15,](#page-45-9) [17](#page-45-10)[–20\]](#page-45-11), the Wulff isoperimetric problem [\[16\]](#page-45-12), the Gaussian isoperimetric problem [\[2,](#page-44-2) [6,](#page-45-13) [25\]](#page-45-14), Plateau-type problems [\[9\]](#page-45-15), fractional isoperimetric problems [\[14\]](#page-45-16), and isoperimetric problems in higher codimension [\[3\]](#page-44-3). (This list is probably incomplete, and it does not mention contributions to stability problems for functional inequalities.)

Among the various methods developed to deal with global stability problems in the above mentioned papers, the *selection principle* method from [\[7\]](#page-45-6) has proven to be the more widely applicable. At the heart of this approach lies the use of regularity theory to obtain what we call *improved convergence theorems*. Referring to the introduction of [\[8\]](#page-45-1) for a more detailed account on this kind of results, we just notice here that by exploiting the main result from [\[8\]](#page-45-1) in combination with a selection principle we can reduce the proof of [\(1.3\)](#page-1-2) to the case when $\partial \mathcal{E} = f(\partial \mathcal{E}_0)$ for a $C^{1,1}$. diffeomorphism f between $\partial \mathcal{E}_0$ and $\partial \mathcal{E}$ such that $||f - Id||_{C^1(\partial \mathcal{E}_0)}$ is as small as needed. In the case of the standard isoperimetric problem, following Fuglede [\[17,](#page-45-10) [18\]](#page-45-17), one can directly address this "reduced" stability problem by an expansion in spherical harmonics, which is elementary if $n = 2$.

In the case of double-bubbles, even when $n = 2$, the situation is much subtler, due to the presence of singularities and of the multiple-volumes constraint. We shall address this problem by combining Fourier series arguments in the spirit of Fuglede with the solution of certain onedimensional variational problems, to proceed through a case by case analysis. Different cases will correspond to different behaviors of the perturbed interfaces, based for example on the relative size between their L^2 -mean deviation and their L^2 -distance from the corresponding interfaces of the reference standard double-bubble. The resulting argument, although based on rather elementary mathematical tools, sheds light on the non-trivial interactions between the three interfaces, on which the global stability of standard double-bubbles ultimately depends. As an entirely analogous structure underlies the stability problem for standard double-bubbles in higher dimensions, we expect the methods of this paper to be useful also in that case.

We notice that, at present, there is only another instance of isoperimetric problem with multiple volume constraints whose minimizers are explicitly known. This is the case of the planar triple bubble problem, addressed by Wichiramala in [\[28\]](#page-45-18). It is reasonable to expect that by further exploiting the arguments developed in this paper, and again in combination with the improved convergence theorem from [\[8\]](#page-45-1), one should be able to obtain results like Theorem [1.1](#page-1-5) and Theorem [1.3](#page-2-0) in the case of planar triple bubbles too.

The paper is organized as follows. In Section [2](#page-3-0) we reduce the proof of Theorem [1.1](#page-1-5) to the case of small diffeomorphic images of \mathcal{E}_0 . In Section [3](#page-5-1) we introduce the notion of (ε, σ) -perturbation of a standard double-bubble, and prove Theorem [1.1](#page-1-5) and Theorem [1.3](#page-2-0) assuming Theorem 1.1 on (ε, σ) -perturbations. Finally, in Section [4,](#page-8-0) we address the proof of Theorem [1.1](#page-1-5) on (ε, σ) -perturbations.

2. Reduction to small perturbations

2.1 *Sets of finite perimeter, clusters, and improved convergence*

We describe bubble clusters in the framework of the theory of sets of finite perimeter. Referring to [\[24\]](#page-45-19) for more details, given a set E of locally finite perimeter in \mathbb{R}^n , we denote by μ_E $v_E \mathcal{H}^{n-1} \cup \partial^* E$ its Gauss–Green measure, where v_E and $\partial^* E$ are the measure-theoretic outer unit normal and the reduced boundary of E , respectively. In this way the perimeter of E relative to the Borel set F is $P(E; F) = |\mu_E|(F) = \mathcal{H}^{n-1}(F \cap \partial^* E)$, and we set $P(E) = P(E; \mathbb{R}^n)$. We work under the normalization by a Lebesgue negligible set which ensures that

$$
\overline{\partial^* E} = \operatorname{spt} \mu_E = \left\{ x \in A : 0 < |E \cap B_{x,r}| < \omega_n r^n \quad \forall r > 0 \right\} = \partial E \, .
$$

Given a *N*-cluster $\&$ in \mathbb{R}^n , we set

$$
\partial^* \mathsf{E} = \bigcup_{h=1}^N \partial^* \mathsf{E}(h) , \qquad \partial \mathsf{E} = \bigcup_{h=1}^N \partial \mathsf{E}(h) , \qquad \Sigma(\mathsf{E}) = \partial \mathsf{E} \setminus \partial^* \mathsf{E} ,
$$

so that $\overline{\partial^* \mathcal{E}} = \partial \mathcal{E}$. We set $d(\mathcal{E}, \mathcal{F}) = (1/2) \sum_{h=0}^N |\mathcal{E}(h) \Delta \mathcal{F}(h)|$ for the L^1 -distance between the N-clusters $\mathcal E$ and $\mathcal F$, and say that $\mathcal E$ is a (A, r_0) -minimizing cluster in $\mathbb R^n$ if

$$
P(\mathcal{E}) \leqslant P(\mathcal{F}) + \Lambda \, d(\mathcal{E}, \mathcal{F})\,,\tag{2.1}
$$

whenever $\mathcal{E}(h) \Delta \mathcal{F}(h) \subset \subset B_{x,r_0}$ for some $x \in \mathbb{R}^n$ and every $h = 1, ..., N$. Referring to [\[8,](#page-45-1) Section 4] for an account on the regularity properties of (Λ, r_0) -minimizing clusters in \mathbb{R}^n for *n* arbitrary, here we just need to recall what happens when $n = 2$. Let us say that $\&$ is a $C^{k,\alpha}$ -cluster in \mathbb{R}^2 $(k \in \mathbb{N}, \alpha \in (0, 1])$ if there exist a locally finite family $\{\gamma_i\}_{i \in I}$ of closed $C^{k, \alpha}$ -curves with boundary in \mathbb{R}^2 and a locally finite family of points $\{p_j\}_{j \in J}$ such that

$$
\partial \mathcal{E} = \bigcup_{i \in I} \gamma_i , \qquad \partial^* \mathcal{E} = \bigcup_{i \in I} \mathrm{int}(\gamma_i) , \qquad \Sigma(\mathcal{E}) = \bigcup_{i \in I} \mathrm{bd}(\gamma_i) = \bigcup_{j \in J} \{p_j\} ,
$$

where int (γ) and bd (γ) denote the interior and the boundary points of the curve γ . If ϵ is a (Λ , r_0)minimizing cluster in \mathbb{R}^2 then ϵ is a $C^{1,1}$ -cluster in \mathbb{R}^2 : moreover, each γ_i to have distributional curvature bounded by Λ , and each p_j to be a boundary point of exactly three curves from $\{\gamma_i\}_{i \in I}$, which form three 120 degrees angles at p_i . For a proof of all these facts we refer, for example, to [\[8,](#page-45-1) Theorem 5.2].

Given a $C^{1,1}$ -cluster $\&$ in \mathbb{R}^2 and a map $f : \partial \& \to \mathbb{R}^2$ one says that $f \in C^{1,1}(\partial \&;\mathbb{R}^2)$ if f is continuous on ∂ 8 and

$$
||f||_{C^{1,1}(\partial \mathcal{E})} := \sup_{i \in I} ||f||_{C^{1,1}(\gamma_i)} < \infty ;
$$

moreover, given C^{1,1}-clusters $\&$ and \mathfrak{F} , one says that f is a C^{1,1}-diffeomorphism between $\partial \&$ and $\partial \mathfrak{F}$ if f is an homeomorphism between $\partial \mathcal{E}$ and $\partial \mathfrak{F}$ with $f \in C^{1,1}(\partial \mathcal{E}; \mathbb{R}^2)$, $f^{-1} \in C^{1,1}(\partial \mathfrak{F}; \mathbb{R}^2)$

and $f(\Sigma(\mathcal{E})) = \Sigma(\mathcal{F})$. Finally, given a map $f : \partial \mathcal{E} \to \mathbb{R}^2$, and denoted by $v : \partial^* \mathcal{E} \to S^1$ a vector field with $v(x) \in \{v_{\mathcal{E}(h)}(x), v_{\mathcal{E}(k)}(x)\}\$ for every $x \in \partial^* \mathcal{E}(h) \cap \partial^* \mathcal{E}(k)$, we define the tangential component $\tau_{\mathcal{E}} : \partial^* \mathcal{E} \to \mathbb{R}^2$ of f with respect to \mathcal{E} by setting

$$
\tau_{\mathcal{E}} f(x) = f(x) - (f(x) \cdot \nu(x))\nu(x) \qquad x \in \partial^* \mathcal{E}.
$$

(Note that the continuity of ν is not essential here, as $\tau g f$ depends quadratically from ν .) The following result is [\[8,](#page-45-1) Theorem 1.5].

Theorem 2.1 *Given* $\Lambda \geq 0$, $r_0 > 0$ *and a bounded* $C^{2,1}$ -*cluster* \mathcal{E}_0 *in* \mathbb{R}^2 *, there exist positive constants* μ_0 *and* C_0 *(depending on* Λ *and* ϵ *)* with the following property.

If $\{E_k\}_{k\in\mathbb{N}}$ *is a sequence of* (A, r_0) *-minimizing clusters in* \mathbb{R}^2 *such that* $d(\mathcal{E}_k, \mathcal{E}_0) \to 0$ *as* $k \to \infty$, then for every $\mu < \mu_0$ there exist $k(\mu) \in \mathbb{N}$ and a sequence of maps $\{f_k\}_{k \geq k(\mu)}$ such that ϵ *each* f_k is a $C^{1,1}$ -diffeomorphism between $\partial \mathcal{E}_0$ and $\partial \mathcal{E}_k$ with

$$
|| f_k ||_{C^{1,1}(\partial \mathcal{E}_0)} \leq C_0 , \qquad (2.2)
$$

$$
\lim_{k \to \infty} \|f_k - \text{Id}\|_{C^1(\partial \mathcal{E}_0)} = 0, \tag{2.3}
$$

$$
\tau_{\mathcal{E}_0}(f_k - \mathrm{Id}) = 0, \qquad on \ \partial \mathcal{E}_0 \setminus I_\mu(\Sigma(\mathcal{E}_0)), \tag{2.4}
$$

$$
\|\boldsymbol{\tau}_{\mathcal{E}_0}(f_k - \mathrm{Id})\|_{C^1(\partial^* \mathcal{E}_0)} \leq \frac{C_0}{\mu} \|f_k - \mathrm{Id}\|_{C^0(\Sigma(\mathcal{E}_0))}.
$$
 (2.5)

2.2 *A selection principle*

Let now \mathcal{E}_0 denote a reference standard double-bubble in \mathbb{R}^2 with vol $(\mathcal{E}_0) = (m_1, m_2)$, and for every planar double-bubble E set

$$
\delta(\mathcal{E}) = P(\mathcal{E}) - P(\mathcal{E}_0),
$$

$$
\alpha(\mathcal{E}) = \inf \{ d(\mathcal{E}, f(\mathcal{E}_0)) : f : \mathbb{R}^2 \to \mathbb{R}^2 \text{ is an isometry} \},
$$

and

$$
\kappa(\mathcal{E}_0) = \inf \left\{ \liminf_{k \to \infty} \frac{\delta(\mathcal{E}_k)}{\alpha(\mathcal{E}_k)^2} : \text{vol}(\mathcal{E}_k) = (m_1, m_2), \alpha(\mathcal{E}_k) > 0, \lim_{k \to \infty} d(\mathcal{E}_k, \mathcal{E}_0) = 0 \right\}. \tag{2.6}
$$

Notice that, by pushing the interfaces of ϵ_0 as depicted in Figure [2,](#page-4-0) one defines a one-parameter family of double-bubbles $\{\mathcal{E}_t\}_{0 \le t \le 1}$ such that

$$
\text{vol}\left(\mathcal{E}_{t}\right) = \text{vol}\left(\mathcal{E}_{0}\right), \qquad P(\mathcal{E}_{t}) \leq P(\mathcal{E}_{0}) + C t^{2}, \qquad d(\mathcal{E}_{t}, \mathcal{E}_{0}) \geq C t, \qquad \forall t \in (0, 1);
$$

FIG. 2. The deformations \mathcal{E}_t of \mathcal{E}_0 used to prove that $\kappa(\mathcal{E}_0) < \infty$ is depicted on the right.

moreover, by exploiting the symmetry of \mathcal{E}_t (see [\[23,](#page-45-20) Lemma 5.2] for the kind of argument used here) one has

$$
d(\mathcal{E}_t, \mathcal{E}_0) \leq C \alpha(\mathcal{E}_t), \qquad \forall t \in (0, 1),
$$

so that $\kappa(\mathcal{E}_0) < \infty$. This last fact shows, in particular, the sharpness of the decay rate in [\(1.3\)](#page-1-2) claimed in Remark [1.2.](#page-1-6) Now, Theorem [1.1](#page-1-5) is equivalent to $\kappa(8_0) > 0$, and Theorem [2.2](#page-5-0) below allows one to reduce the proof of Theorem [1.1](#page-1-5) to the case when $\partial \mathcal{E}$ is a $C^{1,1}$ -diffeomorphic image of $\partial \mathcal{E}_0$ (in the sense of Theorem [2.1\)](#page-4-1) by a map f that is arbitrarily C¹-close to the identity.

Theorem 2.2 *There exist positive constants* C_0 *and* μ_0 (depending on m_1 *and* m_2 *only) and a sequence of planar double-bubbles* $\{\mathcal{E}_k\}_{k\in\mathbb{N}}$ *with* vol $(\mathcal{E}_k) = (m_1, m_2)$ *, such that*

$$
\inf_{k \in \mathbb{N}} \alpha(\mathcal{E}_k) > 0, \qquad \lim_{k \to \infty} \mathrm{d}(\mathcal{E}_k, \mathcal{E}_0) = 0, \qquad \lim_{k \to \infty} \frac{\delta(\mathcal{E}_k)}{\alpha(\mathcal{E}_k)^2} = \kappa(\mathcal{E}_0), \tag{2.7}
$$

and such that for every $\mu \in (0, \mu_0)$ *there exist* $k(\mu) \in \mathbb{N}$ *and, for each* $k \geq k(\mu)$, a $C^{1,1}$. *diffeomorphism* f_k *between* $\partial \mathcal{E}_0$ *and* $\partial \mathcal{E}_k$ *, in such a way that* [\(2.2\)](#page-4-2)–[\(2.5\)](#page-4-3) *hold.*

Proof. By Theorem [A.2](#page-25-0) in [Appendix A](#page-24-0)ppendix A there exists a sequence $\{\mathcal{E}_k\}_{k\in\mathbb{N}}$ of (A, r_0) minimizing 2-clusters in \mathbb{R}^2 with vol $(\mathcal{E}_k) = (m_1, m_2)$ satisfying (2.7) . Since $d(\mathcal{E}_k, \mathcal{E}_0) \rightarrow 0$, by applying Theorem [2.1](#page-4-1) we find diffeomorphisms f_k between $\partial \mathcal{E}_0$ and $\partial \mathcal{E}_k$ with the required properties. \Box

3. Proofs of the main theorems

Given $\varepsilon > 0$ and $\sigma \in (-1, 1)$, and denoted by v_{ε_0} a normal vector field to $\partial^* \varepsilon_0$, one says that a planar double-bubble $\&$ is an (ε, σ) -perturbation of $\&$ ₀ if vol $(\&) = \text{vol}(\&)$ and there exist $g \in$ $C^1(\partial \mathcal{E}_0; \mathbb{R}^2)$ with

$$
g = \text{Id} \quad \text{on } \Sigma(\mathcal{E}_0), \qquad (g - \text{Id}) \cdot \nu_{\mathcal{E}_0} = 0 \quad \text{on } \partial^* \mathcal{E}_0, \qquad \|g - \text{Id}\|_{C^1(\partial \mathcal{E}_0)} < \varepsilon, \tag{3.1}
$$

and such that $\partial \mathcal{E} = (1 + \sigma) g(\partial \mathcal{E}_0)$. In the next section, see Theorem [4.7,](#page-19-0) we show the existence of positive constants ε_1 and σ_1 such that [\(1.3\)](#page-1-2) hold on every (ε, σ) -perturbation of ε_0 with $\varepsilon < \varepsilon_1$ and $|\sigma| < \sigma_1$. Based on Theorem [2.2](#page-5-0) and Theorem [4.7](#page-19-0) one can prove Theorem [1.1](#page-1-5) as follows.

Proof of Theorem [1.1](#page-1-5). By Theorem [2.2](#page-5-0) and Theorem [4.7](#page-19-0) it suffices to show that if $\{\mathcal{E}_k\}_{k\in\mathbb{N}}$ is a sequence of (Λ, r_0) -minimizing clusters such that $d(\mathcal{E}_k, \mathcal{E}_0) \to 0$, then for every k large enough \mathcal{E}_k is an $(\varepsilon_k, \sigma_k)$ -perturbation of ε_0 , where $\varepsilon_k, \sigma_k \to 0$ as $k \to \infty$. In other words, we want to prove that, up to isometries, $\partial \mathcal{E}_k$ is a C¹-small *normal* perturbation of the small rescaling $(1 + \sigma_k)\partial \mathcal{E}_0$ of $\partial \mathcal{E}_0$.

We already know $\partial \mathcal{E}_k$ to be a C^1 -small perturbation of $\partial \mathcal{E}_0$ with a small tangential displacement. Indeed, if C_0 and μ_0 are as in Theorem [2.1,](#page-4-1) then by Theorem [2.2](#page-5-0) and for every $\mu < \mu_0$ we find $\{f_k\}_{k\geq k(\mu)}$ (the dependence of f_k from μ is tacitly understood) such that [\(2.2\)](#page-4-2)–[\(2.5\)](#page-4-3) hold. We now exploit the existence of the maps f_k to show that [\(3.1\)](#page-5-3) holds with $\mathcal{E} = \mathcal{E}_k$ for some $\sigma = \sigma_k \to 0$, $\varepsilon = \varepsilon_k \to 0$ and $g = g_k$.

Let us set $\Sigma(\varepsilon_0) = \{p_1, p_2\}$ and let $\{\gamma_i\}_{i=1}^3$ be the circular arcs such that $\partial \varepsilon_0 = \bigcup_{i=1}^3 \gamma_i$ and $\mathrm{bd}(y_i) = \{p_1, p_2\}$ for $i = 1, 2, 3$. Up to a translation of \mathcal{E}_0 (and, correspondingly, of each \mathcal{E}_k) we may assume that $p_1 + p_2 = 0$. Setting $p_j^k = f_k(p_j^k)$, we have $\Sigma(\mathcal{E}_k) = \{p_1^k, p_2^k\}$ and $p_j^k \to p_j$ by [\(2.3\)](#page-4-4), so that, up to moving each \mathcal{E}_k by an isometry (with the corresponding sequence of isometries which converges to the identity map) we entail

$$
p_j^k = (1 + \sigma_k) p_j, \qquad \lim_{k \to \infty} \sigma_k = 0.
$$
 (3.2)

If we set $\gamma_i^k = (1 + \sigma_k)^{-1} f_k(\gamma_i)$, then

$$
(1 + \sigma_k)^{-1} \partial \mathcal{E}_k = \bigcup_{i=1}^3 \gamma_i^k
$$
, $bd(\gamma_i^k) = \{p_1, p_2\}$.

Thanks to [\(2.2\)](#page-4-2)–[\(2.5\)](#page-4-3), by $\gamma_i^k = (1 + \sigma_k)^{-1} f_k(\gamma_i)$, and since $\sigma_k \to 0$, one has:

(i) if $\tau_y : bd(\gamma) \to S^1$ is the outer unit tangent vector to a curve γ at its boundary points, then

$$
\lim_{k\to\infty} \mathrm{hd}(\gamma_i^k, \gamma_i) + \max_{j=1,2} \left| \tau_{\gamma_i^k}(p_j) - \tau_{\gamma_i}(p_j) \right| = 0;
$$

moreover, by exploiting the fact that f_k parameterizes γ_i^k over γ_i , one constructs unit normal vector fields $v_i^k \in C^{0,1}(\gamma_i^k; S^1)$ to γ_i^k such that

$$
|v_i^k(x) \cdot (y - x)| \le L |x - y|^2
$$
, $|v_i^k(x) - v_i^k(y)| \le L |x - y|$, $\forall x, y \in \gamma_i^k$,

where L is independent from k ;

(ii) if we set $[\gamma_i]_t = \{x \in \gamma_i : \text{dist}(x, \text{bd}(\gamma_i)) > t\}, t > 0, \text{ and } \psi_k = (1 + \sigma_k)^{-1} (f_k - \text{Id}) \cdot \nu_i, \text{ then }$ $\psi_k \in C^{1,1}([\gamma_i]_\mu)$ for every $i = 1, 2, 3$ with

$$
\sup_{k \geq k(\mu)} \|\psi_k\|_{C^{1,1}([y_i]_\mu)} \leq C_0 \,, \quad \lim_{k \to \infty} \|\psi_k\|_{C^1([y_i]_\mu)} = 0 \,, \quad [y_i^k]_{2\mu} \subset (\mathrm{Id} + \psi_k v_i)([y_i]_\mu) \subset \gamma_i^k \,,
$$

where $v_i \in C^{0,1}(\gamma_i; S^1)$ is a fixed outer unit normal to γ_i .

Thanks to (i) and (ii) we can apply [\[8,](#page-45-1) Theorem 3.5] to construct a $C^{1,1}$ -normal diffeomorphism \hat{g}_i^k between γ_i and γ_i^k such that $\hat{g}_i^k \to \text{Id}$ in $C^1(\gamma_i)$. Note that, in fact, \hat{g}_i^k is a normal diffeomorphism as bd $(\gamma_i) =$ bd (γ_i^k) , cf. with [\[8,](#page-45-1) Equation (3.85)]. Setting $g_k = \hat{g}_i^k$ on γ_i , we thus define a normal $C^{1,1}$ -diffeomorphism between $\partial \mathcal{E}_0$ and $(1 + \sigma_k)^{-1} \partial \mathcal{E}_k$ with $\varepsilon_k = ||g_k - \text{Id}||_{C^1(\partial \mathcal{E}_0)} \to 0$.

Proof of Theorem [1.3.](#page-2-0) We directly focus on the case $m_2 > m_1$, the case $m_2 = m_1$ being analogous. Let us pick an arbitrary sequence $\beta_k \to 0^+$, and let \mathcal{E}_k be minimizers in [\(1.4\)](#page-1-4) with $\beta = \beta_k$. By arguing as in [\[8,](#page-45-1) Proof of Theorem 1.10] we prove the existence of $\Lambda \geq 0$ and $r_0 > 0$ such that $\{\mathcal{E}_k\}_{k\in\mathbb{N}}$ is a sequence of (A, r_0) -minimizers such that, up to isometries, $d(\mathcal{E}_k, \mathcal{E}_0) \to 0$. By the argument used to prove Theorem [1.1,](#page-1-5) we see that \mathcal{E}_k is an $(\varepsilon_k, \sigma_k)$ -perturbation of \mathcal{E}_0 with $\varepsilon_k, \sigma_k \to 0$. As a first consequence, we note that if $R > 0$ is such that $\mathcal{E}_0(h) \subset B_R$ for $h = 1, 2$, then for k large enough $\mathcal{E}_k(h) \subset B_{2R}$ for $h = 1, 2$, and thus by minimality of \mathcal{E}_k ,

$$
P(\mathcal{E}_k) - P(\mathcal{E}_0) \leq C \beta_k \left\| J \right\|_{C^0(B_{2R})} \sum_{h=1}^2 \left| \mathcal{E}_k(h) \Delta \mathcal{E}_0(h) \right| \leq C \beta_k.
$$

At the same time, if with the same notation of the previous proof we denote by $\{\gamma_i\}_{i=0}^2$ the circular arcs composing $\partial \mathcal{E}_0$, then there exist $u_{k,i} \in C_0^{1,1}(\gamma_i)$ such that

$$
\lim_{k \to \infty} \|u_{k,i}\|_{C^1(\gamma_i)} = 0, \qquad \sup_{k \in \mathbb{N}} \|u''_{k,i}\|_{L^{\infty}(\gamma_i)} \le \Lambda, \qquad \forall i = 0, 1, 2, \tag{3.3}
$$

and such that, by setting

$$
\bar{g}_k(x) = (1 + \sigma_k) \left(x + u_{k,i}(x) v_i(x) \right), \qquad x \in \gamma_i,
$$

one defines a $C^{1,1}$ -diffeomorphism \bar{g}_k between $\partial \mathcal{E}_0$ and $\partial \mathcal{E}_k$ with

$$
\|\bar{g}_k - \text{Id}\|_{C^j(\partial \mathcal{E}_0)} \leq C \left(|\sigma_k| + \sum_{i=0}^2 \|u_{k,i}\|_{C^j(\gamma_i)} \right), \qquad j = 1, 2, \tag{3.4}
$$

Since ε_k , $\sigma_k \to 0$, for k large enough we can use Theorem [4.7](#page-19-0) to deduce that

$$
P(\mathcal{E}_k) - P(\mathcal{E}_0) \ge \kappa \left(\sigma_k^2 + \sum_{i=0}^2 \int_{\gamma_i} u_{k,i}^2\right),\tag{3.5}
$$

and then apply Lemma [3.1](#page-7-0) below to get

$$
\|\bar{g}_k - \mathrm{Id}\|^3_{C^0(\partial \mathcal{E}_0)} + \|\nabla \bar{g}_k - \mathrm{Id}\|^6_{C^0(\partial \mathcal{E}_0)} \leq C \beta_k.
$$

By the arbitrariness of β_k we conclude the proof of the theorem.

Lemma 3.1 *If* $v \in C^{1,1}([a, b])$ *with* $v(a) = v(b) = 0$ *, then*

$$
C \|v\|_{L^1(a,b)}^{2/3} \|v''\|_{L^\infty(a,b)}^{1/3} \ge \|v\|_{C^0([a,b])},
$$

\n
$$
C \|v\|_{L^1(a,b)}^{1/3} \|v''\|_{L^\infty(a,b)}^{2/3} \ge \|v'\|_{C^0([a,b])}.
$$
\n
$$
(3.6)
$$

Proof. The argument is elementary and it is included just for the sake of clarity. Without loss of generality, let $x_0 \in (a, b)$ be such that $||v||_{C^0([a, b])} = |v(x_0)| = v(x_0) > 0$. Since $v(b) = 0$, there exists $\bar{x} \in (x_0, b]$ such that $v > 0$ on (x_0, \bar{x}) and $v(\bar{x}) = 0$. By $v'(x_0) = 0$ we find

$$
|v(x)| = v(x) \ge v(x_0) - \frac{\|v''\|_{L^{\infty}(a,b)}}{2}(x - x_0)^2, \quad \forall x \in (x_0, \bar{x}).
$$

The right-hand side of this inequality is positive for $x \in (x_0, x_0 + r)$ where

$$
r = \left(\frac{2\|v\|_{C^0([a,b])}}{\|v''\|_{L^{\infty}(a,b)}}\right)^{1/2},\,
$$

hence $(x_0, x_0 + r) \subset (x_0, \bar{x})$, and thus

$$
||v||_{L^1(a,b)} \geq \int_{(x_0,x_0+r)} \left(v(x_0) - \frac{||v''||_{L^\infty(a,b)}}{2} (x-x_0)^2 \right) dx = \frac{2\sqrt{2}}{3} \frac{||v||_{C^0([a,b])}^{3/2}}{||v''||_{L^\infty(a,b)}^{1/2}},
$$

 \Box

which is the first estimate in [\(3.6\)](#page-7-1). Now we take $x_1 \in [a, b]$ such that $|v'(x_1)| = ||v'||_{C^0([a, b])}$. Without loss of generality we can assume that $|v'(x_1)| = v'(x_1) > 0$ and that $v(x_1) \ge 0$. (Indeed, this can be achieved by possibly replacing v with $-v$ and then by reflecting v with respect to the mid-point of [a, b]. Notice that this operation may in principle change the sign of $v(x_0)$, but this will not affect our argument as we shall not need to refer to $v(x_0)$ anymore.) Since $v(b) = 0$, there exists $x_2 \in (x_1, b)$ such that $v' = |v'| > 0$ on (x_1, x_2) and $v'(x_2) = 0$, and thus, by $v(x_1) \ge 0$, $|v| = v$ on (x_1, x_2) . In particular,

$$
|v(x)| = v(x) \ge v(x_1) + v'(x_1)(x - x_1) - \frac{\|v''\|_{L^{\infty}(a,b)}}{2} |x - x_1|^2
$$

\n
$$
\ge v'(x_1)(x - x_1) - \frac{\|v''\|_{L^{\infty}(a,b)}}{2} |x - x_1|^2, \qquad \forall x \in (x_1, x_2),
$$

where the right-hand side of this inequality is non-negative for $x \in (x_1, x_1 + s)$, where

$$
s = \frac{2||v'||_{C^0([a,b])}}{||v''||_{L^{\infty}(a,b)}}.
$$

In particular $(x_1, x_1 + s) \subset (x_1, x_2)$, and thus

$$
||v||_{L^1(a,b)} \ge \int_{(x_1,x_1+s)} \left(v'(x_1)(x-x_1) - \frac{||v''||_{L^{\infty}(a,b)}}{2} |x-x_1|^2 \right) dx = \frac{2}{3} \frac{||v'||_{C^0([a,b])}^3}{||v''||_{L^{\infty}(a,b)}^2}.
$$

4. Stability on (ε, σ) -perturbations

We now turn to the proof of Theorem [1.1](#page-1-5) on (ε, σ) -perturbations of ε_0 , see Theorem [4.7](#page-19-0) below. We begin by introducing some specific notation for spherical caps and sectors, and for their normal perturbation by a given function. Let $B = \{x \in \mathbb{R}^2 : |x| < 1\}$. Given $\theta \in (0, \pi)$, we define a circular arc $A(\theta) \subset \partial B$ and a circular sector $S(\theta) \subset B$ by setting

$$
A(\theta) = \{x \in \mathbb{R}^2 : |x| = 1, x_1 > \cos \theta\}, \qquad S(\theta) = \{t \times : x \in A(\theta), 0 < t < 1\},\
$$

while, given $u \in W_0^{1,2}(A(\theta))$ we denote by $A(\theta, u) \subset \mathbb{R}^2$ and $S(\theta, u) \subset \mathbb{R}^2$ the perturbed circular arc and perturbed circular sector defined as

$$
A(\theta, u) = \{(1 + u(x)) x : x \in A(\theta)\}, \qquad S(\theta, u) = \{t (1 + u(x)) x : x \in A(\theta), 0 < t < 1\};
$$

see Figure [3.](#page-9-0) (Notice that $A(\theta, 0) = A(\theta)$ and $S(\theta, 0) = S(\theta)$.) In the analysis of the case $m_1 = m_2$, where the interface between the chambers is a segment, it is convenient to introduce as a reference domain the vertical open segment H and its perturbations $H(u)$ defined as

$$
H = \left\{ x \in \mathbb{R}^2 : |x_2| < \frac{\sqrt{3}}{2}, \, x_1 = 0 \right\}, \qquad H(u) = \left\{ x + u(x) \, e_1 : x \in H \right\},\tag{4.1}
$$

in correspondence of $u \in W_0^{1,2}(H)$. We occasionally identify $A(\theta)$ with the interval $(-\theta, \theta)$ and H with the interval $($ $u \in W_0^{3,2}(H)$. We occasionally identify $A(\theta)$ with the interval $(-\theta, \theta)$ and $\sqrt{3}/2$, $\sqrt{3}/2$); correspondingly, we identify $W_0^{1,2}(A(\theta))$ with $W_0^{1,2}(-\theta, \theta)$ and $W_0^{1,2}(H)$ with $W_0^{1,2}(-$ 2, $\sqrt{3}/2$, corr
 $\sqrt{3}/2$, $\sqrt{3}/2$).

Lemma 4.1 If $u \in C_0^1(-\theta, \theta)$, then

$$
|S(\theta, u)| - |S(\theta)| = \int_{-\theta}^{\theta} u + \frac{u^2}{2},
$$
\n(4.2)

$$
\mathcal{H}^{1}(A(\theta, u)) - \mathcal{H}^{1}(A(\theta)) = \int_{-\theta}^{\theta} u + \frac{(u')^{2}}{2} + ||u||_{C^{1}(-\theta, \theta)} O(||u||^{2}_{W^{1,2}(-\theta, \theta)}).
$$
 (4.3)

Moreover, if $|u| \leq 1$ *, then*

$$
\left| S(\theta, u) \Delta S(\theta) \right| \leq \frac{3}{2} \int_{-\theta}^{\theta} |u| \,. \tag{4.4}
$$

Proof. Identity [\(4.2\)](#page-9-1) follows from $|S(\theta, u)| = (1/2) \int_{-\theta}^{\theta} (1 + u)^2$, which also implies [\(4.4\)](#page-9-2) since, if $|u| \leq 1$, then

$$
\left|S(\theta, u)\Delta S(\theta)\right| = \int_{-\theta}^{\theta} \left|\frac{(1+u)^2-1}{2}\right| \leq \frac{3}{2} \int_{-\theta}^{\theta} |u|.
$$

Concerning [\(4.3\)](#page-9-3), we notice that $A(\theta, u) = T(A(\theta))$ where we have set $T : A(\theta) \rightarrow A(\theta, u)$, $T(x) = (1 + u(x))x, x \in A(\theta)$. The Jacobian of T on $A(\theta)$ is $JT = \sqrt{(1+u)^2 + |u'|^2}$, and thus [\(4.3\)](#page-9-3) follows from $\sqrt{1+t} = 1 + (t/2) - (t^2/8) + O(t^3)$.

Next, given $m_2 \geq m_1 > 0$, we fix a reference standard double-bubble \mathcal{E}_0 with vol (\mathcal{E}_0) = (m_1, m_2) by requiring that the two point singularities of \mathcal{E}_0 belong to the x₂-axis, and that their middle-point lies at the origin (indeed, these geometric requirements uniquely identify ϵ_0). In the case that $m_2 > m_1$, there exist $L_k : \mathbb{R}^2 \to \mathbb{R}^2$ isometries, $r_k > 0$, and $\theta_k \in (0, \pi)$ such that

$$
\partial \mathcal{E}_0(1) \cap \partial \mathcal{E}_0(2) = L_0 r_0 A(\theta_0), \qquad (4.5)
$$

$$
\partial \mathcal{E}_0(1) \setminus \partial \mathcal{E}_0(2) = L_1 r_1 A(\theta_1), \qquad (4.6)
$$

$$
\partial \mathcal{E}_0(2) \setminus \partial \mathcal{E}_0(1) = L_2 r_2 A(\theta_2).
$$
 (4.7)

FIG. 3. The circular arc $A(\theta)$, the circular sector $S(\theta)$, and their perturbations defined by $u \in W_0^{1,2}(A(\theta))$.

FIG. 4. The reference standard double-bubble \mathcal{E}_0

With reference Figure [4,](#page-10-0) we thus have

$$
r_0 = |S - P_0|, \t\t \theta_0 = (P_1 P_0 S),
$$

\n
$$
r_1 = |S - P_1|, \t\t \theta_1 = (P_0 P_1 S),
$$

\n
$$
r_2 = |S - P_2|, \t\t \theta_2 = \pi - (P_1 P_2 S),
$$

and it holds

$$
r_0 \sin \theta_0 = r_1 \sin \theta_1, \qquad r_0 \sin \theta_0 = r_2 \sin \theta_2. \tag{4.8}
$$

By Plateau's laws (vanishing of first variation), the three circular arcs meet at 120 degrees angles,

$$
\theta_1 + \theta_0 = \frac{2\pi}{3}, \qquad \theta_2 - \theta_0 = \frac{2\pi}{3},
$$
\n(4.9)

and, correspondingly, the following inequalities hold true

$$
0 < \theta_0 < \frac{\pi}{3}, \qquad \frac{\pi}{3} < \theta_1 < \frac{2\pi}{3}, \qquad \frac{2\pi}{3} < \theta_2 < \pi. \tag{4.10}
$$

Vanishing of first variation also implies the following "law of pressures",

$$
\frac{1}{r_1} = \frac{1}{r_2} + \frac{1}{r_0} \,. \tag{4.11}
$$

Identities [\(4.8\)](#page-10-1) and [\(4.9\)](#page-10-2) provide four constraints on the six parameters r_k and θ_k , $k = 0, 1, 2$. Up to a scaling, which leaves the ratio m_2/m_1 invariant, we may add to [\(4.8\)](#page-10-1) and [\(4.9\)](#page-10-2) a fifth constraint by requiring that

$$
r_2=1\,.
$$

FIG. 5. The reference standard double-bubble δ_0 with $m_1 = m_2$

This choice allows to express the remaining five parameters as functions of $r_1 \in (0, 1)$:

$$
r_0 = \frac{r_1}{1 - r_1},\tag{4.12}
$$

$$
\theta_0 = \arctan\left(\frac{1 - r_1}{1 + r_1}\sqrt{3}\right),\tag{4.13}
$$

$$
\theta_1 = \frac{2\pi}{3} - \theta_0 \,,\tag{4.14}
$$

$$
\theta_2 = \frac{2\pi}{3} + \theta_0. \tag{4.15}
$$

Finally, in the case $m_1 = m_2$, we set $m = m_1 = m_2$, $r = r_1 = r_2$, we have

$$
\theta_1 = \theta_2 = \frac{2\pi}{3}
$$
, $\theta_0 = 0$, $r_0 = +\infty$,

and describe the interfaces of the reference standard double-bubble \mathcal{E}_0 as

$$
\partial \mathcal{E}_0(1) \cap \partial \mathcal{E}_0(2) = L_0 r H, \tag{4.16}
$$

$$
\partial \mathcal{E}_0(1) \setminus \partial \mathcal{E}_0(2) = L_1 r A\left(\frac{2\pi}{3}\right), \tag{4.17}
$$

$$
\partial \mathcal{E}_0(2) \setminus \partial \mathcal{E}_0(1) = L_2 r A\left(\frac{2\pi}{3}\right),\tag{4.18}
$$

for some isometries $L_k : \mathbb{R}^2 \to \mathbb{R}^2$, $k = 0, 1, 2$; see Figure [5.](#page-11-0) Notice that [\(4.17\)](#page-11-1) and [\(4.18\)](#page-11-2) are obtained from [\(4.6\)](#page-9-4) and [\(4.7\)](#page-9-5) by setting $\theta_1 = \theta_2 = (2/3)\pi$, while [\(4.16\)](#page-11-3) is not directly related to [\(4.5\)](#page-9-6). Finally, we show the following useful formula for $P(\mathcal{E}_0)$ in terms of m_1, m_2, r_1 , and r_2 .

Lemma 4.2 *If* \mathcal{E}_0 *is the standard double-bubble with* $m_2 > m_1$ *, then*

$$
P(\mathcal{E}_0) = 2\left(\frac{m_1}{r_1} + \frac{m_2}{r_2}\right),\tag{4.19}
$$

$$
m_1 = \theta_1 r_1^2 + \theta_0 r_0^2 - \frac{\sqrt{3}}{2} r_0 r_1 , \qquad (4.20)
$$

$$
m_2 = \theta_2 r_2^2 - \theta_0 r_0^2 + \frac{\sqrt{3}}{2} r_0 r_2.
$$
 (4.21)

FIG. 6. We have $t_1/\sin(\pi/3) = r_1/\sin\theta_0$ and $t_2/\sin(2\pi/3) = r_2/\sin\theta_0$

Moreover, [\(4.19\)](#page-11-4) *holds true also when* $m_2 = m_1 = m$ *, and in that case, we have*

$$
m = \left(\frac{2\pi}{3} + \frac{\sqrt{3}}{4}\right)r^2.
$$
 (4.22)

Proof. We apply the divergence theorem on the chamber $\mathcal{E}_0(1)$ to the vector field $x - P_1$, and on the chamber $\mathcal{E}_0(2)$ to the vector field $x - P_2$, to find that

$$
2m_1 = 2\theta_1 r_1^2 + \int_{\partial \mathcal{E}_0(1) \cap \partial \mathcal{E}_0(2)} (x - P_1) \cdot \nu_{\mathcal{E}_0(1)}(x) d\mathcal{H}^1(x), \qquad (4.23)
$$

$$
2m_2 = 2\theta_2 r_2^2 + \int_{\partial \mathcal{E}_0(1) \cap \partial \mathcal{E}_0(2)} (x - P_2) \cdot \left(-\nu_{\mathcal{E}_0(1)}(x) \right) d\mathcal{H}^1(x) \,. \tag{4.24}
$$

(Here, $v_{\mathcal{E}_0(1)}$ denotes the outer unit normal to $\mathcal{E}_0(1)$.) In the case $m_2 > m_1$, we set the origin at P_0 (see Figure [4\)](#page-10-0), and parameterize $\partial \mathcal{E}_0(1) \cap \partial \mathcal{E}_0(2)$ as $\{r_0 e^{i\theta} : |\theta| < \theta_0\}$. In this way, see Figure [6,](#page-12-0) we have $P_1 = (t_1, 0)$ and $P_2 = (t_2, 0)$, where

$$
\frac{t_1}{\sin(\pi/3)} = \frac{r_1}{\sin \theta_0}, \qquad \frac{t_2}{\sin(2\pi/3)} = \frac{r_2}{\sin \theta_0},
$$

and, correspondingly

$$
\int_{\partial \mathcal{E}_0(1) \cap \partial \mathcal{E}_0(2)} (x - P_1) \cdot \nu_{\mathcal{E}_0(1)}(x) d\mathcal{H}^1(x) = \int_{-\theta_0}^{\theta_0} \left(r_0 e^{i\theta} - (t_1, 0) \right) \cdot e^{i\theta} r_0 d\theta
$$

= $2\theta_0 r_0^2 - 2 \sin \theta_0 r_0 t_1 = 2\theta_0 r_0^2 - \sqrt{3} r_0 r_1,$

$$
\int_{\partial \mathcal{E}_0(1) \cap \partial \mathcal{E}_0(2)} (P_2 - x) \cdot \nu_{\mathcal{E}_0(1)}(x) d\mathcal{H}^1(x) = \int_{-\theta_0}^{\theta_0} \left((t_2, 0) - r_0 e^{i\theta} \right) \cdot e^{i\theta} r_0 d\theta
$$

= $-2\theta_0 r_0^2 + 2 \sin \theta_0 r_0 t_2 = -2\theta_0 r_0^2 + \sqrt{3} r_0 r_2.$

We plug these identities into (4.23) and (4.24) to find (4.20) and (4.21) ; moreover, dividing (4.20) and [\(4.21\)](#page-11-6) by r_1 and r_2 respectively, by adding up the resulting inequalities, and by [\(4.11\)](#page-10-3),

$$
2\left(\frac{m_1}{r_1} + \frac{m_2}{r_2}\right) = 2\theta_1 r_1 + 2\theta_2 r_2 + 2\theta_0 \left(\frac{r_0^2}{r_1} - \frac{r_0^2}{r_2}\right) = 2\theta_1 r_1 + 2\theta_2 r_2 + 2\theta_0 r_0 = P(\mathcal{E}_0),
$$

that is [\(4.19\)](#page-11-4). In the case $m_2 = m_1$, $v_{\mathcal{E}_0(1)}(x) = e_1$ and $(x - P_1) \cdot e_1 = (P_2 - x) \cdot e_1 = \ell$ for every $x \in \partial \mathcal{E}_0(1) \cap \partial \mathcal{E}_0(2)$, where, by Pythagoras' theorem, $\ell = r/2$. Therefore, [\(4.23\)](#page-12-1) gives

$$
2m = 2\frac{2\pi}{3}r^2 + \ell \mathcal{H}^1(\partial \mathcal{E}_0(1) \cap \partial \mathcal{E}_0(2)) = \frac{4\pi}{3}r^2 + \frac{\sqrt{3}}{2}r^2 = \frac{P(\mathcal{E}_0)}{2}r,
$$

holds true when $m_2 = m_1$ too.

and [\(4.19\)](#page-11-4) holds true when $m_2 = m_1$ too.

We now describe the generic (ε, σ) -perturbation of ε_0 by means of the coordinates introduced above. Let $\&$ be a planar double-bubble with vol $(\&) =$ vol $(\⊂>6) = (m_1, m_2)$. If $m_2 > m_1$, then $\&$ is an (ε, σ) -perturbation of ε_0 if there exist functions $u_k \in C_0^1(A(\theta_k))$ with $||u_k||_{C^1} \leq \varepsilon$ $(k = 0, 1, 2)$, such that (compare with (4.5) , (4.6) , and (4.7)),

$$
\partial \mathcal{E}(1) \setminus \partial \mathcal{E}(2) = (1 + \sigma) L_1 r_1 A(\theta_1, u_1), \qquad (4.25)
$$

$$
\partial \mathcal{E}(2) \setminus \partial \mathcal{E}(1) = (1 + \sigma) L_2 r_2 A(\theta_2, u_2), \qquad (4.26)
$$

$$
\partial \mathcal{E}(1) \cap \partial \mathcal{E}(2) = (1 + \sigma) L_0 r_0 A(\theta_0, u_0).
$$
 (4.27)

If $m_2 = m_1$, then $\&$ is an (ε, σ) -perturbation of $\⊂>0$ </sub> provided there exist functions $v_0 \in C_0^1(H)$, and $u_k \in C_0^1(A(\theta_k))$, $||v_0||_{C^1} \le \varepsilon$ and $||u_k||_{C^1} \le \varepsilon$ $(k = 1, 2)$, such that [\(4.25\)](#page-13-0) and [\(4.26\)](#page-13-1) hold true for u_1 and u_2 , and, moreover (compare with [\(4.16\)](#page-11-3)), $\partial \mathcal{E}(1) \cap \partial \mathcal{E}(2) = (1 + \sigma) L_0 r H(v_0)$.

Lemma 4.3 *If* $\&$ *is an* (ε , σ)-perturbation of $\&$ ₀ *and* $m_2 > m_1$ *, then*

$$
\frac{P(\mathcal{E}) - P(\mathcal{E}_0)}{1 + \sigma} = \sum_{k=0}^{2} r_k \int_{-\theta_k}^{\theta_k} \left(\frac{(u'_k)^2}{2} - \frac{u_k^2}{2} \right) + \frac{\sigma^2}{2} P(\mathcal{E}_0) + \varepsilon O(\|u\|_{W^{1,2}}^2) + O(|\sigma|^3). \tag{4.28}
$$

If otherwise $m_2 = m_1$ *(and we set* $r_1 = r_2 = r$ *), then we have*

$$
\frac{P(\mathcal{E}) - P(\mathcal{E}_0)}{1 + \sigma} = r \int_{-\sqrt{3}/2}^{\sqrt{3}/2} \frac{(v_0')^2}{2} + r \sum_{k=1}^2 \int_{-2\pi/3}^{2\pi/3} \left(\frac{(u_k')^2}{2} - \frac{u_k^2}{2} \right) + \frac{\sigma^2}{2} P(\mathcal{E}_0) + \varepsilon O(\|u\|_{W^{1,2}}^2) + O(|\sigma|^3). \tag{4.29}
$$

Here we have set

$$
||u||_{W^{1,2}}^2 = \begin{cases} \sum_{k=0}^2 \int_{\theta_k}^{\theta_k} u_k^2 + (u'_k)^2, & \text{if } m_2 > m_1, \\ \int_{-\sqrt{3}/2}^{\sqrt{3}/2} v_0^2 + (v'_0)^2 + \sum_{k=1}^2 \int_{-2\pi/3}^{2\pi/3} u_k^2 + (u'_k)^2, & \text{if } m_2 = m_1. \end{cases}
$$

Proof. We just give the details for the case $m_2 > m_1$. By [\(4.3\)](#page-9-3), [\(4.25\)](#page-13-0), [\(4.26\)](#page-13-1) and [\(4.27\)](#page-13-2),

$$
P(\mathcal{E}) - P((1+\sigma)\mathcal{E}_0) = (1+\sigma) \sum_{k=0}^{2} r_k \left(\mathcal{H}^1(A(\theta_k, u_k)) - \mathcal{H}^1(A(\theta_k)) \right),
$$

= $(1+\sigma) \sum_{k=0}^{2} r_k \int_{-\theta_k}^{\theta_k} \left(\frac{(u'_k)^2}{2} + u_k \right) + \varepsilon O(\|u\|_{W^{1,2}}^2).$

Therefore we may write

$$
\frac{P(\mathcal{E}) - P(\mathcal{E}_0)}{1 + \sigma} = \sum_{k=0}^{2} r_k \int_{-\theta_k}^{\theta_k} \left(\frac{(u'_k)^2}{2} + u_k \right) + (\sigma - \sigma^2) P(\mathcal{E}_0) + \varepsilon O(\|u\|_{W^{1,2}}^2) + O(|\sigma|^3)
$$

$$
= \sum_{k=0}^{2} r_k \int_{-\theta_k}^{\theta_k} \left(\frac{(u'_k)^2}{2} - \frac{u_k^2}{2} \right) + \sum_{k=0}^{2} r_k \int_{-\theta_k}^{\theta_k} \left(\frac{u_k^2}{2} + u_k \right) + (\sigma - \sigma^2) P(\mathcal{E}_0) + \varepsilon O(\|u\|_{W^{1,2}}^2) + O(|\sigma|^3).
$$
 (4.30)

Again by [\(4.25\)](#page-13-0), [\(4.26\)](#page-13-1) and [\(4.27\)](#page-13-2) we find that

$$
|\mathcal{E}(1)| - (1 + \sigma)^2 |\mathcal{E}_0(1)| = (1 + \sigma)^2 r_1^2 (|S(\theta_1, u_1)| - |S(\theta_1)|)
$$

+
$$
(1 + \sigma)^2 r_0^2 (|S(\theta_0, u_0)| - |S(\theta_0)|),
$$
(4.31)

$$
|\mathcal{E}(2)| - (1 + \sigma)^2 |\mathcal{E}_0(2)| = (1 + \sigma)^2 r_2^2 (|S(\theta_2, u_2)| - |S(\theta_2)|)
$$

$$
|A| - (1 + \sigma)^2 |S_0(2)| = (1 + \sigma)^2 r_2^2 (|S(\theta_2, u_2)| - |S(\theta_2)|)
$$

-
$$
(1 + \sigma)^2 r_0^2 (|S(\theta_0, u_0)| - |S(\theta_0)|).
$$
 (4.32)

Since vol. $(\mathcal{E}) = \text{vol}(\mathcal{E}_0) = (m_1, m_2)$, by [\(4.2\)](#page-9-1), [\(4.31\)](#page-14-0) and [\(4.32\)](#page-14-1) we infer

$$
\left(\frac{1}{(1+\sigma)^2} - 1\right) m_1 = r_1^2 \int_{-\theta_1}^{\theta_1} \left(u_1 + \frac{u_1^2}{2}\right) + r_0^2 \int_{-\theta_0}^{\theta_0} \left(u_0 + \frac{u_0^2}{2}\right),\tag{4.33}
$$

$$
\left(\frac{1}{(1+\sigma)^2} - 1\right) m_2 = r_2^2 \int_{-\theta_2}^{\theta_2} \left(u_2 + \frac{u_2^2}{2}\right) - r_0^2 \int_{-\theta_0}^{\theta_0} \left(u_0 + \frac{u_0^2}{2}\right). \tag{4.34}
$$

We now divide [\(4.33\)](#page-14-2) and [\(4.34\)](#page-14-3) by r_1 and r_2 respectively and sum the resulting identities to find that

$$
\left(\frac{1}{(1+\sigma)^2} - 1\right) \left(\frac{m_1}{r_1} + \frac{m_2}{r_2}\right) = r_1 \int_{-\theta_1}^{\theta_1} \left(u_1 + \frac{u_1^2}{2}\right) + r_2 \int_{-\theta_2}^{\theta_2} \left(u_2 + \frac{u_2^2}{2}\right) + \left(\frac{1}{r_1} - \frac{1}{r_2}\right) r_0^2 \int_{-\theta_0}^{\theta_0} \left(u_0 + \frac{u_0^2}{2}\right).
$$

Taking into account (4.11) and (4.19) we conclude that

$$
\left(\frac{1}{(1+\sigma)^2}-1\right)\frac{P(\mathcal{E}_0)}{2}=\sum_{k=0}^2r_k\int_{-\theta_k}^{\theta_k}\left(u_k+\frac{u_k^2}{2}\right).
$$

Plugging this relation into [\(4.30\)](#page-14-4) we find

$$
\frac{P(\mathcal{E}) - P(\mathcal{E}_0)}{(1+\sigma)} = \sum_{k=0}^{2} r_k \int_{-\theta_k}^{\theta_k} \left(\frac{(u'_k)^2}{2} - \frac{u_k^2}{2} \right)
$$

+
$$
\left(\left(\frac{1}{(1+\sigma)^2} - 1 \right) + 2(\sigma - \sigma^2) \right) \frac{P(\mathcal{E}_0)}{2} + \varepsilon O(\|u\|_{W^{1,2}}^2) + O(|\sigma|^3). \quad (4.35)
$$

be conclude the proof since $((1+\sigma)^{-2} - 1) + 2(\sigma - \sigma^2) = \sigma^2 + O(|\sigma|^3).$

We conclude the proof since $((1 + \sigma)^{-2} - 1) + 2(\sigma - \sigma^2) = \sigma^2 + O(|\sigma|^3)$.

We now provide an upper bound on the relative asymmetry of an (ε, σ) -perturbation of \mathcal{E}_0 .

Lemma 4.4 *There exists a constant* C (depending on m_1/m_2 only) with the following property. If E *is an* (ε, σ) -perturbation of \mathcal{E}_0 *with* $|\sigma| < 1/2$ *, then, in case* $m_2 > m_1$ *,*

$$
\alpha(\mathcal{E})^2 \leq C \left(m_2^2 \sigma^2 + \sum_{k=0}^2 r_k^4 \theta_k \int_{-\theta_k}^{\theta_k} u_k^2 \right),\tag{4.36}
$$

while, in case $m_2 = m_1 = m$ *, setting* $r_1 = r_2 = r$ *,*

$$
\alpha(\mathcal{E})^2 \leq C \left(m^2 \sigma^2 + r^4 \sum_{k=1}^2 \int_{-2\pi/3}^{2\pi/3} u_k^2 + r^4 \int_{-\sqrt{3}/2}^{\sqrt{3}/2} v_0^2 \right).
$$

Proof. We just address the case $m_2 > m_1$. Since

$$
\left|\mathcal{E}(1)\Delta(1+\sigma)\mathcal{E}_0(1)\right| = (1+\sigma)^2 \sum_{k=0}^1 r_k^2 \left|S(\theta_k, u_k) \Delta S(\theta_k)\right|,
$$

by the triangular inequality one gets

$$
\left|\mathcal{E}(1)\Delta\mathcal{E}_0(1)\right|\leq (1+\sigma)^2\sum_{k=0}^1 r_k^2\left|S(\theta_k, u_k)\Delta S(\theta_k)\right|+\left|(1+\sigma)\mathcal{E}_0(1)\Delta\mathcal{E}_0(1)\right|.
$$

By [\[13,](#page-45-21) Lemma 4], if $|\sigma| < 1/2$ and $E \subset B_R \subset \mathbb{R}^n$, then $|E\Delta(1+\sigma)E| \leq C(n) R |\sigma| P(E)$. By [13, Lemma 4], if $|\sigma| < 1/2$ and $E \subset B_R \subset \mathbb{R}^n$, then $|E\Delta(1 + \sigma)|$
Moreover, by scaling, $\mathcal{E}_0(1) \subset B_{C\sqrt{m_1}}$ and $P(\mathcal{E}_0(1)) \leq C \sqrt{m_1}$. Hence,

$$
\left|(1+\sigma)\mathcal{E}_0(1)\Delta\mathcal{E}_0(1)\right|\leq C\,m_1\,|\sigma|.
$$

Thus, by $(1 + \sigma)^2 \le 9/4$ (recall that $|\sigma| < 1/2$), we conclude

$$
\left| \mathcal{E}(1) \Delta \mathcal{E}_0(1) \right| \leq C \left(\sum_{k=0}^1 r_k^2 \int_{-\theta_k}^{\theta_k} |u_k| + m_1 |\sigma| \right) \leq C \left(\sum_{k=0}^1 r_k^2 \theta_k^{1/2} \left(\int_{-\theta_k}^{\theta_k} u_k^2 \right)^{1/2} + m_1 |\sigma| \right),
$$

where (4.4) was also taken into account. In conclusion,

$$
\left|\mathcal{E}(1)\Delta\mathcal{E}_0(1)\right|^2 \leq C\left(\sum_{k=0}^1 r_k^4 \theta_k \int_{-\theta_k}^{\theta_k} u_k^2 + m_1^2 \sigma^2\right).
$$

By arguing similarly with $\mathcal{E}(2)$ in place of $\mathcal{E}(1)$, and since $m_2 > m_1$, we obtain [\(4.36\)](#page-15-0).

 \Box

The previous results indicate that in order to prove [\(1.3\)](#page-1-2) on (ε, σ) -perturbation (say, in the case $m_2 > m_1$) we have to provide a control over

$$
\sum_{k=0}^{2} \int_{-\theta_k}^{\theta_k} u_k^2
$$
\n(4.37)

in terms of

$$
\sum_{k=0}^{2} \int_{-\theta_k}^{\theta_k} (u'_k)^2 - u_k^2.
$$
\n(4.38)

However

$$
\int_{-\theta}^{\theta} (u')^2 - u^2 \,, \tag{4.39}
$$

is not L^2 -coercive on $W_0^{1,2}(-\theta, \theta)$, unless $\theta < \pi/2$. Indeed, we easily see that

$$
\inf \left\{ \int_{-\theta}^{\theta} (u')^2 : u \in W_0^{1,2}(-\theta,\theta), \int_{-\theta}^{\theta} u^2 = 1 \right\} = \left(\frac{\pi}{2\theta}\right)^2, \quad \forall \theta > 0,
$$

so that the best control over $||u||_{L^2(-\theta,\theta)}^2$ in terms of $||u'||_{L^2(-\theta,\theta)}^2$ is

$$
\int_{-\theta}^{\theta} (u')^2 \ge \left(\frac{\pi}{2\theta}\right)^2 \int_{-\theta}^{\theta} u^2, \qquad \forall u \in W_0^{1,2}(-\theta, \theta).
$$
 (4.40)

In other words, if $\theta > \pi/2$, then

$$
\inf \left\{ \int_{-\theta}^{\theta} (u')^2 - u^2 : u \in W_0^{1,2}(-\theta, \theta) \right\} = -\infty.
$$

Taking into account that θ_1 and θ_2 may possibly range on $(\pi/2, \pi)$, see [\(4.10\)](#page-10-4), we conclude that in order to control [\(4.37\)](#page-15-1) in terms of [\(4.38\)](#page-16-0) we necessarily have to exploit the interaction between the single perturbations u_k through the multiple volume constraints. We now discuss this issue through a careful application of two Poincaré-type inequalities. We start by addressing the minimization of (4.39) under a constraint on the mean value of u.

Lemma 4.5 *If* $\theta \in (0, \pi)$ *and* $s \in \mathbb{R}$ *, then*

$$
\inf \left\{ \int_{-\theta}^{\theta} (u')^2 - u^2 : u \in W_0^{1,2}(-\theta,\theta), \int_{-\theta}^{\theta} u = s \right\} = \frac{s^2 \cos \theta}{2(\sin \theta - \theta \cos \theta)}.
$$
 (4.41)

Notice that $\sin \theta - \theta \cos \theta$ *defines an increasing function on* $(0, \pi)$ *, with values in* $(0, \pi)$ *. Thus the right-hand side of* [\(4.41\)](#page-16-2) *decreases from* $+\infty$ *to* 0 *as* $\theta \in (0, \pi/2)$, *is equal to* 0 *for* $\theta = \pi/2$ *, and decreases from* 0 *to* $-s^2/2\pi$ *as* $\theta \in (\pi/2, \pi)$ *.*

Proof. Given $u \in W_0^{1,2}(-\theta, \theta)$ with $\int_{-\theta}^{\theta} u = s$, let $v(t) = u(t\theta/\pi)$. Thus $v \in W_0^{1,2}(-\pi, \pi)$,

$$
\int_{-\theta}^{\theta} v = s \frac{\pi}{\theta}, \qquad \int_{-\theta}^{\theta} (u')^2 - u^2 = \int_{-\pi}^{\pi} \frac{\pi}{\theta} (v')^2 - \frac{\theta}{\pi} v^2.
$$
 (4.42)

Let $\{\phi_k\}_{k\in\mathbb{N}} \subset L^2(-\pi,\pi)$ be the orthonormal basis of trigonometric functions with $\phi_0 =$

 $(2\pi)^{-1/2}$, and let $c_k = \int_{-\pi}^{\pi} v \phi_k$ the k-th Fourier coefficient of v. We have

$$
\int_{-\pi}^{\pi} \frac{\pi}{\theta} (v')^2 - \frac{\theta}{\pi} v^2 = \left(\frac{\pi}{\theta} - \frac{\theta}{\pi}\right) \int_{-\pi}^{\pi} (v')^2 - \frac{\theta}{\pi} \int_{-\pi}^{\pi} v^2 - (v')^2
$$

$$
= \left(\frac{\pi}{\theta} - \frac{\theta}{\pi}\right) \int_{-\pi}^{\pi} (v')^2 + \frac{\theta}{\pi} \left(\sum_{k=1}^{\infty} k^2 c_k^2 - \sum_{k=0}^{\infty} c_k^2\right)
$$

$$
\ge \left(\frac{\pi}{\theta} - \frac{\theta}{\pi}\right) \int_{-\pi}^{\pi} (v')^2 - \frac{\theta}{\pi} c_0^2
$$

$$
= \left(\frac{\pi}{\theta} - \frac{\theta}{\pi}\right) \int_{-\pi}^{\pi} (v')^2 - \frac{s^2}{2\theta},
$$

where in the last equality we used (4.42) to compute c_0 . We have thus proved that

$$
\int_{-\theta}^{\theta} (u')^2 - u^2 \ge \left(1 - \left(\frac{\theta}{\pi}\right)^2\right) \int_{-\theta}^{\theta} (u')^2 - \frac{1}{2\theta} \left(\int_{-\theta}^{\theta} u\right)^2, \qquad \forall u \in W_0^{1,2}(-\theta,\theta),
$$

which immediately lead to prove the existence of minimizers in (4.41) by a standard application of the Direct Method. We may thus consider a minimizer u in [\(4.41\)](#page-16-2), that has to be a smooth solution to the Euler–Lagrange equation

$$
\begin{cases}\n u'' + u = c, \\
 u(\theta) = u(-\theta) = 0,\n\end{cases}
$$
\n(4.43)

for some $c \in \mathbb{R}$. If $\theta = \pi/2$, then $u(t) = \cos(t)$ solves [\(4.43\)](#page-17-0) (with $c = 0$), and, correspondingly, the infimum in [\(4.41\)](#page-16-2) is equal to zero. If, instead, $\theta \neq \pi/2$, then [\(4.43\)](#page-17-0) has solution

$$
u(t) = c \left(1 - \frac{\cos t}{\cos \theta} \right), \qquad |t| < \theta \, .
$$

A simple computation then gives,

$$
s = \int_{-\theta}^{\theta} u = 2c (\theta - \tan \theta), \quad \text{that is} \quad c = \frac{s}{2(\theta - \tan \theta)}.
$$

Therefore, again by direct computation,

$$
\int_{-\theta}^{\theta} (u')^2 - u^2 = \frac{-s^2}{2(\theta - \tan \theta)} = \frac{s^2 \cos \theta}{2(\sin \theta - \theta \cos \theta)}.
$$

Lemma 4.6 For every $\theta \in (0, \pi)$ there exists $M = M(\theta)$ such that, if $u \in W_0^{1,2}(-\theta, \theta)$ with

$$
\left(\int_{-\theta}^{\theta} u\right)^2 \le \frac{1}{M} \int_{-\theta}^{\theta} u^2,
$$
\n(4.44)

then

$$
\int_{-\theta}^{\theta} (u')^2 - u^2 \ge \frac{1}{4} \left(1 - \frac{\theta^2}{\pi^2} \right) \int_{-\theta}^{\theta} (u')^2 + \frac{1}{2} \left(\frac{\pi^2}{\theta^2} - 1 \right) \int_{-\theta}^{\theta} u^2.
$$
 (4.45)

A possible value for $M = M(\theta)$ *is*

$$
M = \frac{1}{\theta} \frac{2\pi^2}{\pi^2 - \theta^2}.
$$
\n(4.46)

Proof. Given $u \in W_0^{1,2}(-\theta, \theta)$, define $v \in W_0^{1,2}(-\pi, \pi)$ as $v(t) = u(t \theta/\pi)$. By [\(4.44\)](#page-17-1),

$$
\left(\int_{-\pi}^{\pi} v\right)^2 \leq \frac{\pi}{\theta M} \int_{-\pi}^{\pi} v^2.
$$
\n(4.47)

Let ϕ_k and c_k be defined as in the proof of Lemma [4.5.](#page-16-4) For every $\lambda \in (0, 1)$ we have

$$
(1 - \lambda) \int_{-\theta}^{\theta} (u')^2 - \int_{-\theta}^{\theta} u^2 = \frac{\pi}{\theta} (1 - \lambda) \sum_{k=1}^{\infty} k^2 c_k^2 - \frac{\theta}{\pi} \sum_{k=0}^{\infty} c_k^2
$$

$$
\geq \left(\frac{\pi}{\theta} (1 - \lambda) - \frac{\theta}{\pi}\right) \sum_{k=0}^{\infty} c_k^2 - \frac{\pi}{\theta} (1 - \lambda) c_0^2
$$

$$
\geq \frac{\pi}{\theta} \left(\frac{\pi}{\theta} (1 - \lambda) - \frac{\theta}{\pi} - \frac{\pi (1 - \lambda)}{2\theta^2 M}\right) \int_{-\theta}^{\theta} u^2,
$$
(4.48)

where we have estimated c_0 thanks to [\(4.44\)](#page-17-1) as follows,

$$
c_0^2 = \frac{1}{2\pi} \left(\int_{-\pi}^{\pi} v \right)^2 \leq \frac{1}{2\theta M} \int_{-\pi}^{\pi} v^2 = \frac{\pi}{2\theta^2 M} \int_{-\theta}^{\theta} u^2.
$$

Let us now rearrange [\(4.48\)](#page-18-0) as

$$
\int_{-\theta}^{\theta} (u')^2 - u^2 \ge \lambda \int_{-\theta}^{\theta} (u')^2 + \left(\frac{\pi^2}{\theta^2} \left(1 - \frac{1}{2\theta M}\right) (1 - \lambda) - 1\right) \int_{-\theta}^{\theta} u^2.
$$

We prove (4.45) by choosing M as in (4.46) , by setting

$$
\lambda = \frac{1}{4} \left(1 - \frac{\theta^2}{\pi^2} \right) = \frac{1}{4} \frac{\theta^2}{\pi^2} \left(\frac{\pi^2}{\theta^2} - 1 \right),
$$

and finally noticing that

$$
\frac{\pi^2}{\theta^2} \left(1 - \frac{1}{2\theta M} \right) (1 - \lambda) - 1 \geq \frac{\pi^2}{\theta^2} - 1 - \frac{\pi^2}{\theta^2} \left(\lambda + \frac{1}{2\theta M} \right) = \frac{1}{2} \left(\frac{\pi^2}{\theta^2} - 1 \right).
$$

 \Box

We finally prove Theorem [1.1](#page-1-5) in the case of (ε, σ) -perturbations.

Theorem 4.7 *For every* $m_2 \ge m_1 > 0$, *there exist positive constants* ε_1 , σ_1 , *and* κ_1 *(depending on* m_1/m_2 *only)* with the following property. If $\mathcal E$ *is an* (ε, σ) -perturbation of $\mathcal E_0$ *with* vol $(\mathcal E_0)$ = (m_1, m_2) , and if $\varepsilon < \varepsilon_1$ and $|\sigma| < \sigma_1$, then, in the case $m_2 > m_1$

$$
P(\mathcal{E}) - P(\mathcal{E}_0) \ge \kappa_1 \left(\sigma^2 + \sum_{k=0}^2 r_k \int_{-\theta_k}^{\theta_k} u_k^2\right),\tag{4.49}
$$

while, in the case $m_2 = m_1$ *(and* $r_2 = r_1 = r$ *),*

$$
P(\mathcal{E}) - P(\mathcal{E}_0) \ge \kappa_1 \left(\sigma^2 + r \int_{-\sqrt{3}/2}^{\sqrt{3}/2} v_0^2 + \sum_{k=1}^2 r \int_{-2\pi/3}^{2\pi/3} u_k^2 \right). \tag{4.50}
$$

In both cases, by Lemma [4.4,](#page-15-2) there exists κ_1^* depending on m_1 and m_2 such that

$$
P(\mathcal{E}) \ge P(\mathcal{E}_0) \{ 1 + \kappa_1^* \alpha(\mathcal{E})^2 \}.
$$
 (4.51)

Proof. Step one: Let $\theta \in (0, \pi)$, and let $M(\theta)$ be as in [\(4.46\)](#page-18-2). We notice that for every $\theta \in (0, \pi)$ there exists $\varepsilon(\theta) > 0$ such that if

$$
||u||_{C^0(-\theta,\theta)} \leq \varepsilon(\theta), \qquad \left(\int_{-\theta}^{\theta} u + \frac{u^2}{2}\right)^2 \leq \frac{1}{2 M(\theta)} \int_{-\theta}^{\theta} u^2,
$$

then

$$
\left(\int_{-\theta}^{\theta} u\right)^2 \leq \frac{1}{M(\theta)} \int_{-\theta}^{\theta} u^2.
$$

In the rest of the proof, given m_1 and m_2 , and thus fixed θ_1 and θ_2 according to [\(4.14\)](#page-11-7) and [\(4.15\)](#page-11-8), we shall assume to work with (ε, σ) -perturbations of \mathcal{E}_0 with $\varepsilon < \min{\{\varepsilon(\theta_1), \varepsilon(\theta_2)\}}$.

Step two: We start considering the case $m_2 > m_1$. If ϵ is an (ϵ, σ) -perturbation of ϵ_0 with functions u_0 , u_1 , and u_2 , then, for $t > 0$, $t \&$ is an (ε, σ) -perturbation of $t \&$ ₀ with the same functions u_0 , u_1 , and u_2 . Therefore, without loss of generality, in the following we may assume that $r_2 = 1$. For the sake of symmetry (and, thus, of clarity) we shall keep writing r_2 in place of 1 in the following formulas, until we exploit this scaling assumption. Let us now set

$$
I_k = \int_{-\theta_k}^{\theta_k} u_k + \frac{u_k^2}{2}, \qquad k = 0, 1, 2,
$$

so that the volume constraints [\(4.33\)](#page-14-2) and [\(4.34\)](#page-14-3) take the form

$$
I_0 = -\left(\frac{r_1}{r_0}\right)^2 I_1 + \frac{m_1}{r_0^2} \left(\frac{1}{(1+\sigma)^2} - 1\right),\tag{4.52}
$$

$$
I_0 = \left(\frac{r_2}{r_0}\right)^2 I_2 - \frac{m_2}{r_0^2} \left(\frac{1}{(1+\sigma)^2} - 1\right).
$$
 (4.53)

Multiplying [\(4.52\)](#page-19-1) by $m_2/(m_1 + m_2)$, [\(4.53\)](#page-19-2) by $m_1/(m_1 + m_2)$, and then adding up, we find

$$
I_0 = \frac{m_1}{m_1 + m_2} \left(\frac{r_2}{r_0}\right)^2 I_2 - \frac{m_2}{m_1 + m_2} \left(\frac{r_1}{r_0}\right)^2 I_1.
$$
 (4.54)

Similarly, multiplying both [\(4.52\)](#page-19-1) and [\(4.53\)](#page-19-2) by r_0^2 , and then subtracting the resulting identities, we come to $r_1^2 I_1 + r_2^2 I_2 = (m_1 + m_2)((1 + \sigma)^{-2} - 1)$, which gives

$$
\sigma^2 + \mathcal{O}(|\sigma|^3) = \frac{(r_1^2 I_1 + r_2^2 I_2)^2}{4(m_1 + m_2)^2}.
$$
\n(4.55)

By [\(4.55\)](#page-20-0) we deduce that

$$
\sigma^2 + \mathcal{O}(|\sigma|^3) \le \frac{r_1^4 I_1^2 + r_2^4 I_2^2}{2(m_1 + m_2)^2} + \varepsilon \mathcal{O}(|u||_{L^2}^2), \qquad (4.56)
$$

and, since $I_k \leq C \int_{-\theta_k}^{\theta_k} u_k^2$, that $|\sigma| = O(||u||_{L^2})$. (This is a reflection of the fact that if the u_k 's are all zero, then, by the volume constraint, we necessarily have $\sigma = 0$.) Thus [\(4.28\)](#page-13-3) gives

$$
2\frac{P(\mathcal{E}) - P(\mathcal{E}_0)}{1 + \sigma} = \sum_{k=0}^{2} r_k \int_{-\theta_k}^{\theta_k} (u'_k)^2 - u_k^2 + P(\mathcal{E}_0) \sigma^2 + (\varepsilon + |\sigma|) O(||u||_{W^{1,2}}^2). \tag{4.57}
$$

We now claim that, for a suitable constant C (depending on \mathcal{E}_0) we have

$$
C\left(P(\mathcal{E}) - P(\mathcal{E}_0)\right) \ge r_1 I_1^2 + r_2 I_2^2 + \left(\varepsilon + |\sigma|\right) \mathcal{O}\!\left(\|u\|_{W^{1,2}}^2\right). \tag{4.58}
$$

To this end, let us set for the sake of brevity

$$
g(\theta) = \frac{\cos \theta}{2(\sin \theta - \theta \cos \theta)}, \qquad 0 < \theta < \pi. \tag{4.59}
$$

By Lemma [4.5,](#page-16-4) for $k = 0, 1, 2$ we have

$$
\int_{-\theta_k}^{\theta_k} (u'_k)^2 - u_k^2 \ge g(\theta_k) \left(I_k - \int_{-\theta_k}^{\theta_k} \frac{u_k^2}{2} \right)^2 = g(\theta_k) I_k^2 + \varepsilon O(\|u\|_{L^2}^2), \tag{4.60}
$$

and thus, by inserting (4.55) and (4.60) into (4.57) ,

$$
2 \frac{P(\mathcal{E}) - P(\mathcal{E}_0)}{1 + \sigma} \ge \sum_{k=0}^{2} r_k g(\theta_k) I_k^2 + \frac{P(\mathcal{E}_0)(r_1^2 I_1 + r_2^2 I_2)^2}{4(m_1 + m_2)^2} + (\varepsilon + |\sigma|) O(||u||_{W^{1,2}}^2)
$$

= $\beta_1 r_1 I_1^2 + \beta_2 r_2 I_2^2 + 2\beta_3 \sqrt{r_1 r_2} I_1 I_2 + (\varepsilon + |\sigma|) O(||u||_{W^{1,2}}^2).$ (4.61)

Here, by taking into account (4.54) , we have set

$$
\beta_1 = g(\theta_0) \frac{r_1^3}{r_0^3} \frac{m_2^2}{(m_1 + m_2)^2} + g(\theta_1) + \frac{r_1^3}{4} \frac{P(\mathcal{E}_0)}{(m_1 + m_2)^2},
$$
\n(4.62)

$$
\beta_2 = g(\theta_0) \frac{r_2^3}{r_0^3} \frac{m_1^2}{(m_1 + m_2)^2} + g(\theta_2) + \frac{r_2^3}{4} \frac{P(\mathcal{E}_0)}{(m_1 + m_2)^2},
$$
\n(4.63)

$$
\beta_3 = -g(\theta_0) \frac{r_1^{3/2} r_2^{3/2}}{r_0^3} \frac{m_1 m_2}{(m_1 + m_2)^2} + \frac{r_1^{3/2} r_2^{3/2}}{4} \frac{P(\mathcal{E}_0)}{(m_1 + m_2)^2}.
$$
 (4.64)

FIG. 7. Plotting of $\beta_1(r)$ (left) and of $(\beta_1(r)\beta_2(r) - \beta_3(r)^2)/r$ (right) for $r \in (0,1)$. In particular, $\beta_1(r)\beta_2(r)$ – $\beta_3(r)^2 \approx r$ for r small. The plots have been drawn by Maxima v.5.28.0 (<http://maxima.sourceforge.net>) starting from equations $r_2 = 1$, $r_1 = r \in (0, 1)$, [\(4.12\)](#page-11-9), [\(4.13\)](#page-11-10), [\(4.14\)](#page-11-7), [\(4.15\)](#page-11-8), [\(4.19\)](#page-11-4), [\(4.20\)](#page-11-5), [\(4.21\)](#page-11-6), [\(4.59\)](#page-20-4), [\(4.62\)](#page-20-5), [\(4.63\)](#page-20-6), and [\(4.64\)](#page-20-7).

The quadratic form in $(\sqrt{r_1} I_1, \sqrt{r_2} I_2)$ on the right-hand side [\(4.61\)](#page-20-8) is coercive: indeed, it suffices to show the existence of $\beta_{*} > 0$ (depending on m_1/m_2 only) such that

$$
\min \{ \beta_1, \beta_1 \beta_2 - \beta_3^2 \} \ge \beta_* \,. \tag{4.65}
$$

To this end, let us note that, having set $r_2 = 1$, it turns out that r_0 , θ_0 , θ_1 , θ_2 , m_1 , and m_2 are all explicit functions of $r_1 \in (0, 1)$ according to equations [\(4.12\)](#page-11-9), [\(4.13\)](#page-11-10), [\(4.14\)](#page-11-7), [\(4.15\)](#page-11-8), [\(4.20\)](#page-11-5), and [\(4.21\)](#page-11-6). Correspondingly, the coefficients β_k can be easily expressed as functions of $r_1 \in (0, 1)$, and the validity of [\(4.65\)](#page-21-0) can be deduced by a numerical plot; see Figure [7.](#page-21-1) A formal proof is contained in [Appendix B.](#page-33-0)

As a consequence of [\(4.65\)](#page-21-0), and up to decrease the value of β_* , we find

$$
\beta_1 r_1 I_1^2 + \beta_2 r_2 I_2^2 + 2\beta_3 \sqrt{r_1 r_2} I_1 I_2 \ge \beta_*(r_1 I_1^2 + r_2 I_2^2).
$$

We combine this inequality with (4.61) to prove (4.58) , as claimed. Now, by (4.56) and (4.58) ,

$$
C(P(\mathcal{E}) - P(\mathcal{E}_0)) \ge \sigma^2 + r_1 I_1^2 + r_2 I_2^2 + (\varepsilon + |\sigma|) O(||u||_{W^{1,2}}^2).
$$
 (4.66)

By the choice of ε performed in step one, we now notice that, if for some $k = 1, 2$ we have

$$
I_k^2 \leq \frac{1}{2M(\theta_k)} \int_{-\theta_k}^{\theta_k} u_k^2,
$$

then, by Lemma [4.6,](#page-17-2)

$$
\int_{-\theta_k}^{\theta_k} (u'_k)^2 - u_k^2 \ge \frac{1}{4} \left(1 - \frac{\theta_k^2}{\pi^2} \right) \int_{-\theta_k}^{\theta_k} (u'_k)^2 + \frac{1}{2} \left(\frac{\pi^2}{\theta_k^2} - 1 \right) \int_{-\theta_k}^{\theta_k} u_k^2. \tag{4.67}
$$

Therefore, for $k = 1, 2$, either [\(4.67\)](#page-21-2) holds true, or

$$
I_k^2 \geq \frac{1}{2M(\theta_k)} \int_{-\theta_k}^{\theta_k} u_k^2. \tag{4.68}
$$

Concerning u_0 , let us notice that, by the sharp Poincaré inequality ([4.40\)](#page-16-5), and since $\theta_0 < \pi/3$,

$$
\int_{-\theta_0}^{\theta_0} (u'_0)^2 \ge \left(\frac{\pi}{2\theta_0}\right)^2 \int_{-\theta_0}^{\theta_0} u_0^2 \ge \frac{9}{4} \int_{-\theta_0}^{\theta_0} u_0^2,
$$

which gives

$$
\int_{-\theta_0}^{\theta_0} (u'_0)^2 - u_0^2 \ge \frac{1}{3} \int_{-\theta_0}^{\theta_0} (u'_0)^2 + \left(\frac{3}{2} - 1\right) \int_{-\theta_0}^{\theta_0} u_0^2.
$$
 (4.69)

We are now going to use (4.67) , (4.68) , and (4.69) together with (4.66) to prove that, for some constant C depending on \mathcal{E}_0 , we always have

$$
C(P(\mathcal{E}) - P(\mathcal{E}_0)) \ge \sigma^2 + \sum_{k=0}^{2} r_k \int_{-\theta_k}^{\theta_k} (u'_k)^2 + u_k^2.
$$
 (4.70)

We divide the argument in three cases:

Case one: We assume that [\(4.67\)](#page-21-2) holds true for $k = 1, 2$. By this assumption, [\(4.57\)](#page-20-2), and [\(4.69\)](#page-22-0),

$$
C\left(P(\mathcal{E}) - P(\mathcal{E}_0)\right) \ge \sigma^2 + \sum_{k=0}^2 r_k \int_{-\theta_k}^{\theta_k} (u'_k)^2 + u_k^2 + \left(\varepsilon + |\sigma|\right) \mathcal{O}\big(\|u\|_{W^{1,2}}^2\big),\tag{4.71}
$$

from which [\(4.70\)](#page-22-1) is easily proved.

Case two: We assume that (4.68) holds true for $k = 1, 2$. In this case, by (4.57) we obtain

$$
2 \frac{P(\mathcal{E}) - P(\mathcal{E}_0)}{1 + \sigma} \ge \tau \left(\sum_{k=0}^{2} r_k \int_{-\theta_k}^{\theta_k} (u'_k)^2 - u_k^2 \right) + (1 - \tau) 2 \frac{P(\mathcal{E}) - P(\mathcal{E}_0)}{1 + \sigma}
$$

+ $(\varepsilon + |\sigma|) O(||u||_{W^{1,2}}^2)$
(by (4.69)) $\ge \tau \left(\frac{r_0}{3} \int_{-\theta_0}^{\theta_0} (u'_0)^2 + \frac{r_0}{2} \int_{-\theta_0}^{\theta_0} u_0^2 \right) + \tau \sum_{k=1}^{2} r_k \int_{-\theta_k}^{\theta_k} (u'_k)^2 - u_k^2$
(by (4.66)) $+ \frac{1 - \tau}{C} \left(\sigma^2 + r_1 I_1^2 + r_2 I_2^2 \right) + (\varepsilon + |\sigma|) O(||u||_{W^{1,2}}^2)$
 $\ge \tau \left(\frac{r_0}{3} \int_{-\theta_0}^{\theta_0} (u'_0)^2 + \frac{r_0}{2} \int_{-\theta_0}^{\theta_0} u_0^2 \right) + \tau \sum_{k=1}^{2} r_k \int_{-\theta_k}^{\theta_k} (u'_k)^2 - u_k^2$
(by (4.68) for $k = 1, 2$) $+ \frac{1 - \tau}{C} \left(\sigma^2 + \sum_{k=1}^{2} \frac{r_k}{2M(\theta_k)} \int_{-\theta_k}^{\theta_k} u_k^2 \right) + (\varepsilon + |\sigma|) O(||u||_{W^{1,2}}^2)$
 $\ge \tau \left(\frac{r_0}{3} \int_{-\theta_0}^{\theta_0} (u'_0)^2 + \frac{r_0}{2} \int_{-\theta_0}^{\theta_0} u_0^2 \right) + \tau \sum_{k=1}^{2} r_k \int_{-\theta_k}^{\theta_k} (u'_k)^2$
 $+ \frac{1 - \tau}{2C} \left(\sigma^2 + \sum_{k=1}^{2} \frac{r_k}{2M(\theta_k)} \int_{-\theta_k}^{\theta_k} u_k^2 \right) + (\varepsilon + |\sigma|) O(||u||_{W^{1,2}}^2),$

where in the last inequality we have absorbed the negative terms in u_k^2 , $k = 1, 2$, by choosing τ so small to have

$$
\tau \leq \frac{1-\tau}{4C} \min_{k=1,2} \frac{1}{M(\theta_k)}.
$$

We have thus proved [\(4.71\)](#page-22-2), and thus [\(4.70\)](#page-22-1), up to suitably choose ε and C.

Case three: We assume that [\(4.67\)](#page-21-2) holds true for $k = 1$, while [\(4.68\)](#page-21-3) holds true for $k = 2$. By arguing as in case two we find, for any $\tau \in (0, 1)$,

$$
2 \frac{P(\mathcal{E}) - P(\mathcal{E}_0)}{1 + \sigma} \ge \tau \left(\frac{r_0}{3} \int_{-\theta_0}^{\theta_0} (u'_0)^2 + \frac{r_0}{2} \int_{-\theta_0}^{\theta_0} u_0^2 \right) + \tau \sum_{k=1}^2 r_k \int_{-\theta_k}^{\theta_k} (u'_k)^2 - u_k^2 + \frac{1 - \tau}{C} \left(\sigma^2 + r_1 I_1^2 + r_2 I_2^2 \right) + \left(\varepsilon + |\sigma| \right) O(\|u\|_{W^{1,2}}^2).
$$

By using [\(4.67\)](#page-21-2) for $k = 1$ and [\(4.68\)](#page-21-3) for $k = 2$, and discarding some positive terms, we find

$$
2 \frac{P(\mathcal{E}) - P(\mathcal{E}_0)}{1 + \sigma} \ge \tau c \left(r_0 \int_{-\theta_0}^{\theta_0} \left((u_0')^2 + u_0^2 \right) + r_1 \int_{-\theta_1}^{\theta_1} \left((u_1')^2 + u_1^2 \right) + r_2 \int_{-\theta_2}^{\theta_2} (u_2')^2 \right) + \frac{1 - \tau}{C} \left(\sigma^2 + \frac{r_2}{2M(\theta_2)} \int_{-\theta_2}^{\theta_2} u_2^2 \right) - \tau r_2 \int_{-\theta_2}^{\theta_2} u_2^2 + \left(\varepsilon + |\sigma| \right) O(\|u\|_{W^{1,2}}^2),
$$

for some positive constant c depending on \mathcal{E}_0 . As in case two, we may choose τ small enough to have the negative term in u_2^2 absorbed by its positive counterpart, and come to prove [\(4.71\)](#page-22-2). Finally, when [\(4.67\)](#page-21-2) holds true for $k = 2$ and [\(4.68\)](#page-21-3) holds true for $k = 1$ (note that, formally, this is a fourth different case, as $m_2 > m_1$), then we just repeat the very same argument. Summarizing, we have proved the validity of [\(4.70\)](#page-22-1), which of course implies [\(4.49\)](#page-19-3). The theorem is proved in the case $m_2 > m_1$.

Step three: We now address the case $m_2 = m_1$. In this case we set $r = r_1 = r_2$, $m = m_1 = m_2$, and $\theta = \theta_1 = \theta_2 = 2\pi/3$. Once again, up to scaling, we may assume that $r = 1$, so that

$$
m = \frac{2\pi}{3} + \frac{\sqrt{3}}{4}
$$
, $P(\mathcal{E}_0) = 4m = \frac{8\pi}{3} + \sqrt{3}$.

The volume constraints now take the form

$$
((1+\sigma)^{-2} - 1) m = I_1 + \int_{-\sqrt{3}/2}^{\sqrt{3}/2} v_0 = I_2 - \int_{-\sqrt{3}/2}^{\sqrt{3}/2} v_0,
$$

so that, by arguing as in step one, we find, in analogy to [\(4.54\)](#page-20-3) and [\(4.55\)](#page-20-0),

$$
\int_{-\sqrt{3}/2}^{\sqrt{3}/2} v_0 = \frac{I_2 - I_1}{2}, \qquad \sigma^2 + \mathcal{O}(|\sigma|^3) = \frac{(I_1 + I_2)^2}{4m^2}.
$$
 (4.72)

By Lemma [4.5](#page-16-4) we have [\(4.60\)](#page-20-1) for $k = 1, 2$, and, similarly,

$$
\int_{-\sqrt{3}/2}^{\sqrt{3}/2} (v_0')^2 \ge \int_{-\sqrt{3}/2}^{\sqrt{3}/2} v_0^2 + g\left(\frac{\sqrt{3}}{2}\right) \left(\int_{-\sqrt{3}/2}^{\sqrt{3}/2} v_0\right)^2 = \int_{-\sqrt{3}/2}^{\sqrt{3}/2} v_0^2 + g\left(\frac{\sqrt{3}}{2}\right) \frac{(I_2 - I_1)^2}{4}.
$$
\n(4.73)

(Notice that $\sqrt{3}/2 < \pi/2$, thus $g(\sqrt{3}/2)$ is positive.) By [\(4.72\)](#page-23-0) and [\(4.73\)](#page-23-1), and since $|\sigma| =$ $O(||u||_{L^2}^2)$, from [\(4.29\)](#page-13-4) we deduce

$$
2 \frac{P(\mathcal{E}) - P(\mathcal{E}_0)}{1 + \sigma} = \int_{-\sqrt{3}/2}^{\sqrt{3}/2} (v_0')^2 + \sum_{k=1}^2 \int_{-2\pi/3}^{2\pi/3} (u_k')^2 - u_k^2 + \frac{\sigma^2}{2} P(\mathcal{E}_0) + (\varepsilon + |\sigma|) O(\|u\|_{W^{1,2}}^2)
$$

\n
$$
\geq \int_{-\sqrt{3}/2}^{\sqrt{3}/2} v_0^2 + g\left(\frac{\sqrt{3}}{2}\right) \frac{(I_2 - I_1)^2}{4} + g\left(\frac{2\pi}{3}\right) (I_1^2 + I_2^2) + \frac{(I_1 + I_2)^2}{2m}
$$

\n
$$
+ (\varepsilon + |\sigma|) O(\|u\|_{W^{1,2}}^2)
$$

\n
$$
\geq \int_{-\sqrt{3}/2}^{\sqrt{3}/2} v_0^2 + \alpha_1 I_1^2 + \alpha_2 I_2^2 + 2\alpha_3 I_1 I_2 + (\varepsilon + |\sigma|) O(\|u\|_{W^{1,2}}^2),
$$

provided we set

$$
\alpha_1 = \alpha_2 = \frac{1}{4}g\left(\frac{\sqrt{3}}{2}\right) + g\left(\frac{2\pi}{3}\right) + \frac{1}{2m}
$$

$$
\alpha_3 = -\frac{1}{4}g\left(\frac{\sqrt{3}}{2}\right) + \frac{1}{2m}.
$$

By direct evaluation we see that $\alpha_1 > 0$ and $\alpha_1 \alpha_2 - \alpha_3^2 > 0$. Therefore there exists $\alpha_* > 0$ such that $\alpha_1 I_1^2 + \alpha_2 I_2^2 + 2\alpha_3 I_1 I_2 \ge \alpha_*(I_1^2 + I_2^2)$, and thus

$$
2\,\frac{P(\mathcal{E}) - P(\mathcal{E}_0)}{1 + \sigma} \ge \int_{-\sqrt{3}/2}^{\sqrt{3}/2} v_0^2 + \alpha_* \left(I_1^2 + I_2^2\right) + \left(\varepsilon + |\sigma|\right) \mathcal{O}\!\left(\|u\|_{W^{1,2}}^2\right). \tag{4.74}
$$

We conclude the proof exactly as in step two, with (4.74) playing the role of (4.58) , and with

$$
\int_{-\sqrt{3}/2}^{\sqrt{3}/2} (v_0')^2 \ge \frac{1}{2} \int_{-\sqrt{3}/2}^{\sqrt{3}/2} (v_0')^2 + v_0^2
$$
\n(4.75)

playing the role of (4.69) . (Note that (4.75) follows trivially from (4.73) .) This completes the proof of Theorem [4.7.](#page-19-0) \Box

Appendix A. The qualitative stability theorem and a selection principle

Here we prove a qualitative stability theorem (Theorem [A.1\)](#page-25-1) and a selection principle for quantitative stability inequalities (Theorem [A.2\)](#page-25-0) on isoperimetric N-clusters in \mathbb{R}^n with n and N arbitrary. These results are not entirely standard because of some compactness issues that need to be handled under a multiple volumes constraint. Such compactness issues are usually simpler to address in dimension $n = 2$ (because perimeter controls diameter on indecomposable sets of finite perimeter), and in this paper we only need the above results in the case $N = n = 2$. However, Theorem [A.1](#page-25-1) is interesting in itself and it is useful knowing its validity in the general case. Theorem [A.2,](#page-25-0) although of course of more technical nature, should still reveal useful in addressing the quantitative stability problem for double-bubbles in higher dimensions. Moreover, the simplifications one has setting $n = 2$ seem not that significant, at least if one exploits the arguments we know to prove these results. For these reasons we have decided to prove these theorems in full generality.

The setting considered in this appendix will be as follows. Given a N-cluster \mathcal{E}_0 in \mathbb{R}^n one says that \mathcal{E}_0 is an *isoperimetric cluster* if $P(\mathcal{E}_0) \leq P(\mathcal{E})$ whenever vol $(\mathcal{E}) = \text{vol}(\mathcal{E}_0)$, and that \mathcal{E}_0 is *uniquely minimizing* if $P(\mathcal{E}) = P(\mathcal{E}_0)$ and vol $(\mathcal{E}) = \text{vol}(\mathcal{E}_0)$ imply the existence of an isometry $\hat{f}: \mathbb{R}^n \to \mathbb{R}^n$ such that $f(\mathcal{E}) = \mathcal{E}_0$, where we have set $f(\mathcal{E})(h) = f(\mathcal{E}(h))$ for every $h = 1, ..., N$. For a uniquely minimizing isoperimetric cluster \mathcal{E}_0 in \mathbb{R}^n , we set

$$
\mathfrak{M}_0 = \{ \mathcal{E} : \mathcal{E} \text{ is an } N \text{-cluster, vol } (\mathcal{E}) = \text{vol} (\mathcal{E}_0) \},
$$

$$
\delta(\mathcal{E}) = P(\mathcal{E}) - P(\mathcal{E}_0),
$$

$$
\alpha(\mathcal{E}) = \inf \{ d(\mathcal{E}, f(\mathcal{E}_0)) : f : \mathbb{R}^n \to \mathbb{R}^n \text{ is an isometry} \},
$$

where $d(\mathcal{E}, \mathcal{F}) = (1/2) \sum_{h=0}^{N} |\mathcal{E}(h) \Delta \mathcal{F}(h)|$. Note that if $\mathcal{E} \in \mathfrak{M}_0$, then $\delta(\mathcal{E})$ and $\alpha(\mathcal{E})$ are both positive unless $\&$ is isometric to $\ϵ_0$. In analogy with the case $N = 1$ [\[15\]](#page-45-9), one may ask about the validity of a quantitative stability inequality of the form

$$
\delta(\mathcal{E}) \geq \kappa \, \alpha(\mathcal{E})^2 \,, \qquad \forall \mathcal{E} \in \mathfrak{M}_0 \,, \tag{A.1}
$$

for some $\kappa > 0$. As a first step in this direction, one wants to prove the following theorem.

Theorem A.1 If \mathcal{E}_0 is a uniquely minimizing isoperimetric cluster in \mathbb{R}^n , $n \geq 2$, then for every $\eta > 0$ *there exists* $\delta > 0$ *such that if* vol.(E) = vol.(E₀) *and* $P(\mathcal{E}) \leq P(\mathcal{E}_0) + \delta$ *, then* $\alpha(\mathcal{E}) \leq \eta$ *.*

Once Theorem [A.1](#page-25-1) is proved, and following the approach proposed in [\[7\]](#page-45-6) to address [\(A.1\)](#page-25-2) in the case $N = 1$, one notices that by a simple contradiction argument [\(A.1\)](#page-25-2) is equivalent to showing that $\kappa(\mathcal{E}_0) > 0$, where we have set

$$
\kappa(\mathcal{E}_0) = \inf \left\{ \liminf_{k \to \infty} \frac{\delta(\mathcal{E}_k)}{\alpha(\mathcal{E}_k)^2} : \{\mathcal{E}_k\}_{k \in \mathbb{N}} \subset \mathfrak{M}_0, \alpha(\mathcal{E}_k) > 0, \mathcal{E}_k \to \mathcal{E}_0 \right\}.
$$
 (A.2)

By applying a selection principle to minimizing sequences in [\(A.2\)](#page-25-3), one ends up reducing the proof of [\(A.1\)](#page-25-2) to the case when $\mathcal E$ is a (A, r_0) -minimizing cluster in $\mathbb R^n$ for some $A \ge 0$ and $r_0 > 0$ depending on \mathcal{E}_0 only. In the case $N = 1$, as shown in [\[7\]](#page-45-6), this reduction allows one to complete the proof of [\(A.1\)](#page-25-2) quite easily thanks to a decomposition in spherical harmonics originally introduced by Fuglede $[17]$. At the same time, as shown in this paper, this strategy works to prove $(A,1)$ when $N = n = 2$. It thus seems interesting to know that one can always attack [\(A.1\)](#page-25-2) from this angle. More precisely, we have the following result.

Theorem A.2 If \mathcal{E}_0 is a uniquely minimizing isoperimetric cluster in \mathbb{R}^n with $\kappa(\mathcal{E}_0) < \infty$, *then there exist positive constants* A, r_0 , and R_0 and a sequence of (A, r_0) *-minimizing clusters* $\{\mathcal{E}_k\}_{k\in\mathbb{N}}\subset\mathfrak{M}_0$ with

$$
\inf_{k \in \mathbb{N}} \alpha(\mathcal{E}_k) > 0, \qquad \lim_{k \to \infty} d(\mathcal{E}_k, \mathcal{E}_0) = 0, \qquad \lim_{k \to \infty} \frac{\delta(\mathcal{E}_k)}{\alpha(\mathcal{E}_k)^2} = \kappa(\mathcal{E}_0).
$$

Moreover, $\mathcal{E}_k(h) \subset B_{R_0}$ *for every* $h = 1, ..., N$, and each \mathcal{E}_k *satisfies the global, volumeconstrained minimality property*

$$
P(\mathcal{E}_k) \leq P(\mathfrak{F}) + 3\sqrt{\alpha(\mathcal{E}_k)} \, d(\mathfrak{F}, \mathcal{E}_k), \qquad \forall \mathfrak{F} \in \mathfrak{M}_0. \tag{A.3}
$$

REMARK A.3 The assumption $\kappa(\mathcal{E}_0) < \infty$ is essentially equivalent to showing the existence of a one-parameter family of clusters $\{\mathcal{E}_t\}_{|t|<\varepsilon}$ with vol $(\mathcal{E}_t) = \text{vol}(\mathcal{E}_0), \alpha(\mathcal{E}_t) > 0, P(\mathcal{E}_t) - P(\mathcal{E}_0) \le$ $C t^2$, and $\alpha(\mathcal{E}_t) \geq |t|/C$ for every $|t| < \varepsilon$. By Theorem [A.4](#page-26-0) below, it is not difficult to define \mathcal{E}_t satisfying the first three conditions: what is not immediate, however, is proving that $\alpha(\mathcal{E}_t) \geq$ $|t|/C$. When $N = 1$ or $N = 2$ (see section [2.2](#page-4-5) for the latter case) one can easily address this point by exploiting the symmetries of the corresponding isoperimetric clusters (balls or standard double-bubbles). For general N one does not expect to have symmetry properties or to explicitly characterize isoperimetric clusters. Nevertheless, it is always true that $\kappa(\mathcal{E}_0) < \infty$. We shall not further discuss this issue here.

We now turn to prove Theorem [A.1](#page-25-1) and Theorem [A.2.](#page-25-0) As explained the issue is the lack of global compactness, and thus of the possible loss of volume at infinity. This can be fixed by exploiting an argument similar to the one used in Almgren's proof [\[1\]](#page-44-4) of the existence of isoperimetric clusters for every given volume vector, see also [\[24,](#page-45-19) Chapter 29]. Almgren's argument uses truncations and translations of pieces of the quasi-isoperimetric clusters, so what one needs to do is taking track of what happens to $\alpha(\mathcal{E})$ under these operations. The following theorem is a key tool in implementing this strategy. It is a variant of [\[1,](#page-44-4) Proposition VI.12], see also [\[24,](#page-45-19) Corollary 29.17]. The necessary modifications with respect to [\[24,](#page-45-19) Corollary 29.17] are described in [\[8,](#page-45-1) Appendix B], so that we omit to give a detailed proof in here.

Theorem A.4 (Volume-fixing variations) If ε_0 *is a N-cluster in* \mathbb{R}^n *, then there exist positive constants* r_0 , ε_0 , R_0 and C_0 (depending on ε_0) with the following property. Let ε be a N-cluster in R ⁿ *with*

$$
d(\mathcal{E}, \mathcal{E}_0) \leq \varepsilon_0 \,, \tag{A.4}
$$

and let F *be a* N*-clusters in* R n *such that either*

$$
\bigcup_{h=1}^{N} \mathfrak{F}(h) \Delta \mathfrak{E}(h) \subset\subset B_{x,r_0}, \qquad \text{for some } x \in \mathbb{R}^n,
$$
 (A.5)

or

$$
d(\mathcal{E}, \mathcal{F}) \le \omega_n r_0^n, \qquad \bigcup_{h=1}^N \mathcal{F}(h) \Delta \mathcal{E}(h) \subset \mathbb{R}^n \setminus B_R, \qquad \text{if there exists } R > 0 \text{ s.t.} \qquad (A.6)
$$

Then there exists a N*-cluster* F 0 *such that*

$$
\bigcup_{h=1}^{N} \mathfrak{F}'(h) \Delta \mathfrak{F}(h) \subset \subset \left\{ \begin{array}{ll} B_{R_0} \setminus \overline{B_{x,r_0}}, & \text{if (A.5) holds,} \\ B_R, & \text{if (A.6) holds,} \end{array} \right. \tag{A.7}
$$

$$
vol(\mathcal{F}') = vol(\mathcal{E}),\tag{A.8}
$$

$$
\left|P(\mathfrak{F}') - P(\mathfrak{F})\right| \leq C_0 \, P(\mathfrak{E}) \left|\text{vol}\left(\mathfrak{F}\right) - \text{vol}\left(\mathfrak{E}\right)\right|,\tag{A.9}
$$

$$
\left| d(\mathcal{F}', \mathcal{E}) - d(\mathcal{F}, \mathcal{E}) \right| \leq C_0 P(\mathcal{E}) \left| \text{vol}(\mathcal{F}) - \text{vol}(\mathcal{E}) \right|,
$$
\n(A.10)

$$
\sum_{h=0}^{N} \int_{\mathfrak{F}'(h)\Delta\mathfrak{F}(h)} J \leq C_0 \, \|J\|_{L^{\infty}(B_{R_0})} P(\mathcal{E}) \, |\text{vol}(\mathfrak{F}) - \text{vol}(\mathcal{E})| \,, \tag{A.11}
$$

for every Borel function $J : \mathbb{R}^n \to [0, \infty)$ which is locally bounded.

We now prove Theorem [A.1](#page-25-1) and Theorem [A.2](#page-25-0) for a fixed uniquely minimizing isoperimetric cluster \mathcal{E}_0 . Thanks to [\[24,](#page-45-19) Theorem 29.1], there exists $R > 0$ such that $\mathcal{E}_0(h) \subset\subset B_R$ for every $h = 1, \ldots, N$. Moreover we shall use the obvious inequality

$$
|\alpha(\mathcal{E}) - \alpha(\mathcal{F})| \le d(\mathcal{E}, \mathcal{F}),
$$
 for every *N*-clusters \mathcal{E} and \mathcal{F} . (A.12)

*Proof of Theorem [A.](#page-25-1)*1*.* The argument has several points in common with [\[24,](#page-45-19) Proof of Theorem 29.1]. Arguing by contradiction, we assume the existence of $\eta_* > 0$ and of a sequence $\{\mathcal{E}_k\}_{k\in\mathbb{N}}$ of N-clusters such that vol (\mathcal{E}_k) = vol. (\mathcal{E}_0) for every $k \in \mathbb{N}$ and

$$
\lim_{k \to \infty} P(\mathbf{\varepsilon}_k) = P(\mathbf{\varepsilon}_0), \qquad \lim_{k \to \infty} \alpha(\mathbf{\varepsilon}_k) = \eta_*.
$$

By arguing as in step one of the proof of [\[24,](#page-45-19) Theorem 29.1] we identify for each cluster \mathcal{E}_k a suitable region (constructed as a union of balls of radius S , see the right-hand side of $(A.13)$) inside of which, in the spirit of Theorem [A.4,](#page-26-0) we can perform volume-fixing variations of \mathcal{E}_k with uniform bounds in k. More precisely, there exist positive constants ε_1 , C_1 , and S, points $\{x_k(h)\}_{k\in\mathbb{N}}$ \subset \mathbb{R}^n $(1 \le h \le N)$, and C^1 -maps $\Phi_k : ((-\varepsilon_1, \varepsilon_1)^{N+1} \cap V) \times \mathbb{R}^n \to \mathbb{R}^n$, (here $V = \{a \in \mathbb{R}^n : (a \in \mathbb{R}^n) \in \mathbb{R}^n \times \mathbb{R}^n \to \mathbb{R}^n$ \mathbb{R}^{N+1} : $\sum_{h=0}^{N} \mathbf{a}(h) = 0$) with the property that (up to extracting subsequences in k) $\Phi_k(\mathbf{a}, \cdot)$ is a C¹-diffeomorphism on \mathbb{R}^n for every $\mathbf{a} \in (-\varepsilon_1, \varepsilon_1)^{N+1} \cap V$, and, moreover, for every $\mathbf{a} \in$ $(-\varepsilon_1, \varepsilon_1)^{N+1} \cap V$ and for every \mathcal{H}^{n-1} -rectifiable set $\Sigma \subset \mathbb{R}^n$, it holds

$$
\{x \in \mathbb{R}^n : \Phi_k(\mathbf{a}, x) \neq x\} \subset \subset \bigcup_{h=1}^N B(x_k(h), S), \tag{A.13}
$$

$$
\left| \Phi_{k} \big(\mathbf{a}, \mathcal{E}_{k}(h) \big) \right| = \left| \mathcal{E}_{k}(h) \right| + \mathbf{a}(h), \tag{A.14}
$$

$$
\left|\mathcal{H}^{n-1}\big(\varPhi_k(\mathbf{a},\Sigma)\big)-\mathcal{H}^{n-1}(\Sigma)\right|\leqslant C_1\,\mathcal{H}^{n-1}(\Sigma)\,\left|\mathbf{a}\right|,\tag{A.15}
$$

$$
\left| \Phi_{k}\big(\mathbf{a}, \mathcal{E}_{k}(h)\big) \Delta \mathcal{E}_{k}(h) \right| \leq C_{1} P\big(\mathcal{E}_{k}(h)\big) |\mathbf{a}|. \tag{A.16}
$$

Note that $(A.16)$ is not mentioned in step one of the proof of $[24,$ Theorem 29.1], but that it can be easily achieved by exploiting [\[8,](#page-45-1) Lemma B.2]. At the same time, by arguing as in step two of the proof of [\[24,](#page-45-19) Theorem 29.1], we see that there exist positive constants ε_0 and L (depending on $\{\mathcal{E}_k\}_{k\in\mathbb{N}}$ only) such that for every $\eta < \varepsilon_0, k \in \mathbb{N}$, and $h = 1, \ldots, N$, we can find finitely many points $\{y_k(h, i)\}_{i=1}^{L_k(h)} \subset \mathbb{R}^n$ such that

$$
\left| \mathcal{E}_k(h) \setminus \bigcup_{i=1}^{L_k(h)} B(y_k(h, i), 2) \right| < \frac{\eta}{N}, \qquad L_k(h) \leq \frac{L}{\eta^n} \,. \tag{A.17}
$$

Let us now consider the closed sets

$$
F_k = \bigcup_{h=1}^N \overline{B}(x_k(h), S) \cup \bigcup_{i=1}^{L_k(h)} \overline{B}(y_k(h, i), 2), \qquad k \in \mathbb{N}.
$$

Since, by $(A.17)$,

$$
\sum_{h=1}^{N} \left| \mathcal{E}_{k}(h) \setminus F_{k} \right| \leq \eta, \qquad \forall k \in \mathbb{N}, \tag{A.18}
$$

the *truncation lemma* [\[24,](#page-45-19) Lemma 29.12] guarantees the existence of $r_0 \in [0, 7n \eta^{1/n}]$ such that, if $I_{\varepsilon}(X) = \{x \in \mathbb{R}^n : \text{dist}(x, X) < \varepsilon\}$ denotes the ε -neighborhood of $X \subset \mathbb{R}^n$, and if $\{\mathcal{E}_i\}$ $\binom{k}{k}$ _{k $\in \mathbb{N}$} are the N-clusters defined by \mathcal{E}'_1 $k'_k(h) = \mathcal{E}_k(h) \cap I_{r_0}(F_k), 1 \le h \le N$, then

$$
P(\mathcal{E}'_k) \le P(\mathcal{E}_k) - \frac{\mathrm{d}(\mathcal{E}'_k, \mathcal{E}_k)}{4\,\eta^{1/n}}\,. \tag{A.19}
$$

By [\(A.18\)](#page-27-3) we have $d(\mathcal{E}_i)$ k' , \mathcal{E}_k) $\leq \eta$, so that by [\(A.12\)](#page-27-4)

$$
\alpha(\mathcal{E}'_k) \ge \alpha(\mathcal{E}_k) - \eta, \qquad \forall k \in \mathbb{N}.
$$
 (A.20)

If we set $\mathbf{a}_k(h) = |\mathcal{E}_k(h)| - |\mathcal{E}'_k|$ $|k(k)| = |\mathcal{E}_k(h) \setminus I_{r_0}(F_k)|$ for $1 \le h \le N$ and $\mathbf{a}_k(0) =$ $-\sum_{h=1}^N a_k(h)$, and if we require $\eta \leq \varepsilon_1$, then $a_k \in (-\varepsilon_1, \varepsilon_1)^{N+1} \cap V$ for every $k \in \mathbb{N}$. We may thus define a sequence of clusters $\{8_{k}^{n}\}$ $\binom{n}{k}$ _{k $\in \mathbb{N}$} by setting

$$
\mathcal{E}_k''(h) = \Phi_k\big(\mathbf{a}_k, \mathcal{E}_k'(h)\big), \qquad 1 \leq h \leq N.
$$

Let us notice that, by [\(A.13\)](#page-27-0), $\Phi_k(x) = x$ in an open neighborhood of $\mathbb{R}^n \setminus F_k$, so that, in fact, $\Phi_k(\mathbf{a}_k, \mathcal{E}_l')$ $h'_k(h) = \Phi_k(\mathbf{a}_k, \mathcal{E}_k(h)) \cap I_{r_0}(F_k)$. Therefore, by [\(A.14\)](#page-27-5), [\(A.15\)](#page-27-6), [\(A.19\)](#page-28-0), and the definition of the a_k 's, much as in step two of the proof of [\[24,](#page-45-19) Theorem 29.1], we obtain that

$$
vol(\mathcal{E}_k'') = vol(\mathcal{E}_k) = vol(\mathcal{E}_0),
$$
\n(A.21)

$$
P(\mathcal{E}_k'') \le P(\mathcal{E}_k) + \left(4C_1 P(\mathcal{E}_0) - \frac{1}{4\eta^{1/n}}\right) d(\mathcal{E}_k', \mathcal{E}_k); \tag{A.22}
$$

moreover, this time taking into account [\(A.16\)](#page-27-1), and since $d(\mathcal{E}_k, \mathcal{E}_k)$ $\binom{k}{k} \leq \eta$, we find that

$$
d(\mathcal{E}_k'', \mathcal{E}_k) \le \eta + C_1 P(\mathcal{E}_k) |\mathbf{a}_k| \le C_2 \eta,
$$
\n(A.23)

where C_2 is a constant depending on $\{\mathcal{E}_k\}_{k\in\mathbb{N}}$ only; in particular, by [\(A.23\)](#page-28-1) and [\(A.12\)](#page-27-4)

$$
\alpha(\mathcal{E}_k'') \ge \alpha(\mathcal{E}_k) - C_2 \eta \ge \frac{\eta_*}{2},\tag{A.24}
$$

provided η is small enough; similarly, up to further decreasing the value of η , [\(A.22\)](#page-28-2) gives us

$$
P(\mathcal{E}_k'') \le P(\mathcal{E}_k), \qquad \forall k \in \mathbb{N}.
$$
 (A.25)

Summarizing, by taking into account [\(A.21\)](#page-28-3), [\(A.25\)](#page-28-4), and [\(A.24\)](#page-28-5) we see that $\{\mathcal{E}_{k}^{n}$ $\binom{n}{k}$ _k $\in \mathbb{N}$ satisfies

$$
\lim_{k \to \infty} P(\mathcal{E}_k'') = P(\mathcal{E}_0), \qquad \liminf_{k \to \infty} \alpha(\mathcal{E}_k'') \ge \frac{\eta_*}{2};
$$
\n(A.26)

moreover, by the definition of \mathcal{E}'_1 \mathcal{L}'_k and \mathcal{E}''_k $\binom{n}{k}$, and thanks to [\(A.13\)](#page-27-0), for every $k \in \mathbb{N}$ we find

$$
\bigcup_{h=1}^N \mathcal{E}_k''(h) \subset \subset G_k = \overline{I_{2r_0}(F_k)},
$$

where G_k is a closed set with at most $L_0 = L_0(n, N, L, \eta)$ connected components of diameter at most $S_0 = S_0(S, r_0, L_0)$ with $r_0 \leq 7n\eta^{1/n}$. Clearly, the mutual distances between these connected

components may tend to infinity or not: in any case we can find $\{z_k^j\}$ $\underset{k}{\overset{j}{\sum}}\underset{j=1}{\overset{M}{\sum}}\subset\mathbb{R}^{n}, 1\leqslant M\leqslant L_{0},$ such that for every $k \in \mathbb{N}$ and $1 \le j_1 < j_2 \le M$ (if $M \ge 2$)

$$
\bigcup_{h=1}^N \mathcal{E}_k''(h) \subset \subset \bigcup_{j=1}^M B(z_k^j, S_0), \qquad \lim_{k \to \infty} |z_k^{j_1} - z_k^{j_2}| = \infty.
$$

In particular, $\{B(z_k^j, S_0)\}_{j=1}^M$ is a disjoint family of balls if $M \ge 2$ and k is large enough. Let us assume, as we may up to isometries, that $\alpha(\mathcal{E}_{k}^{n})$ $\binom{n}{k} = d(\mathcal{E}_k^n)$ $\binom{n}{k}$, \mathcal{E}_0). Up to relabeling the index j and up to take k large enough, by taking into account $\mathcal{E}_0(h) \subset \subset B_R$ for every $h = 1, \ldots, N$, we may ensure that

$$
\alpha(\mathcal{E}_k'')=\sum_{h=1}^N\left|\left(\mathcal{E}_k''(h)\Delta\mathcal{E}_0(h)\right)\cap B(z_k^1,S_0)\right|,\qquad 0=\sum_{h=1}^N\sum_{j=2}^M\left|\mathcal{E}_0(h)\cap B(z_k^j,S_0)\right|.
$$

(This implies, in particular, that $|z_k^1| \le R + S_0$.) Let us finally consider vectors $\{y_k^j\}$ $\{(\mathbf{x}^j)\}_{j=2}^M$ such that the balls $\{B(z_k^j + y_k^j)\}$ (k, k, S_0) , $\sum_{j=2}^{M}$ lie at mutually positive distance at least 2 (S₀ + R) and at most $2(S_0 + R)$ *M* one from each other and from $B(z_k^1, S_0)$, and define a sequence $\{\mathcal{E}_k^{\prime\prime}\}$ $\binom{m}{k}$ _{k $\in \mathbb{N}$} so that, for $h = 1, \ldots, N$,

$$
\mathcal{E}_{k}'''(h) \cap B(z_{k}^{1}, S_{0}) = \mathcal{E}_{k}''(h) \cap B(z_{k}^{1}, S_{0}),
$$

\n
$$
\mathcal{E}_{k}'''(h) \cap B(z_{k}^{j} + y_{k}^{j}, S_{0}) = (\mathcal{E}_{k}''(h) \cap B(z_{k}^{j}, S_{0})) + y_{k}^{j}, \qquad 2 \le j \le M,
$$

\n
$$
\mathcal{E}_{k}'''(h) \setminus \left(B(z_{k}^{1}, S_{0}) \cup \bigcup_{j=2}^{M} B(z_{k}^{j}, S_{0})\right) = \emptyset.
$$

In this way, by construction of y_k^j $\frac{d}{dx}$ and since $\mathcal{E}_0 \subset \subset B_R$, it must be $\alpha(\mathcal{E}_k^m)$ $\binom{m}{k} = \alpha \left(\mathcal{E}_{k}^{\prime\prime} \right)$ $\binom{n}{k}$ for every k large enough, so that $\liminf_{k \to \infty} \alpha(\varepsilon_k)$ $\binom{m}{k} \geq \frac{n}{2}$. At the same time, there exists Q depending on S_0 , R, and M only, such that $\mathcal{E}'''_k \subset B_Q$ for every $k \in \mathbb{N}$, so that by $\lim_{k \to \infty} P(\mathcal{E}'''_k)$ $\binom{m}{k} = P(\mathcal{E}_0),$ vol $(\mathcal{E}_k^{\prime\prime\prime})$ $\binom{m}{k}$ = vol ($\binom{8}{0}$), and by the standard compactness theorem [\[24,](#page-45-19) Proposition 29.5], there exists a N-cluster \mathcal{E}_* such that, up to extracting subsequences, $d(\mathcal{E}_k^{\prime\prime})$ $_{k}^{"'}$, \mathcal{E}_{*}) \rightarrow 0 as $k \rightarrow \infty$. Therefore, it holds vol (\mathcal{E}_*) = vol. (\mathcal{E}_0) , $P(\mathcal{E}_*) = P(\mathcal{E}_0)$, and $\alpha(\mathcal{E}_*) \geq \eta_*/2$, a contradiction to the unique minimality of \mathcal{E}_0 . \Box

Proof of Theorem [A.](#page-25-0)2. Let us consider a recovery sequence $\{\mathcal{F}_k\}_{k\in\mathbb{N}} \subset \mathfrak{M}_0$ for $\kappa(\mathcal{E}_0)$, that is

$$
\inf_{k \in \mathbb{N}} \alpha(\mathfrak{F}_k) > 0, \qquad \lim_{k \to \infty} \mathrm{d}(\mathfrak{F}_k, \mathcal{E}_0) = 0, \qquad \kappa(\mathcal{E}_0) = \lim_{k \to \infty} \frac{\delta(\mathfrak{F}_k)}{\alpha(\mathfrak{F}_k)^2}, \tag{A.27}
$$

and notice that, since $\kappa(\mathcal{E}_0) < \infty$, we have

$$
\lim_{k \to \infty} \alpha(\mathfrak{F}_k) = 0, \qquad P(\mathfrak{F}_k) = P(\mathfrak{E}_0) + \kappa(\mathfrak{E}_0) \alpha(\mathfrak{F}_k)^2 + o(\alpha(\mathfrak{F}_k)^2). \tag{A.28}
$$

Without loss of generality, we may assume that, for all $k \in \mathbb{N}$, and for $\beta > 0$ to be suitably chosen,

$$
P(\mathfrak{F}_k) \leq P(\mathfrak{E}_0) + \left(\kappa(\mathfrak{E}_0) + 1\right) \alpha(\mathfrak{F}_k)^2, \qquad \alpha(\mathfrak{F}_k) \leq \beta. \tag{A.29}
$$

We claim that for every k large enough there exists a minimizer \mathcal{E}_k in the problem

$$
\gamma_k(\mathcal{E}_0) = \inf \left\{ P(\mathcal{E}) + \left| \alpha(\mathcal{E}) - \alpha(\mathcal{F}_k) \right|^{3/2} : \ \mathcal{E} \in \mathfrak{M}_0 \right\},\tag{A.30}
$$

and that

$$
\alpha(\mathcal{E}_k) \ge \frac{\alpha(\mathcal{F}_k)}{3},\tag{A.31}
$$

$$
\left|\alpha(\mathcal{E}_k) - \alpha(\mathcal{F}_k)\right| \le \left(\kappa(\mathcal{E}_0) + 1\right)^{2/3} \alpha(\mathcal{F}_k)^{4/3},\tag{A.32}
$$

$$
\bigcup_{h=1}^{N} \mathcal{E}_k(h) \subset B_{R_0}, \qquad R_0 = R + 7n\beta^{1/n}, \qquad (A.33)
$$

$$
P(\mathcal{E}_k) = P(\mathcal{E}_0) + \kappa(\mathcal{E}_0) \alpha(\mathcal{E}_k)^2 + o(\alpha(\mathcal{E}_k)^2), \quad \text{as } k \to \infty. \tag{A.34}
$$

Indeed, given $k \in \mathbb{N}$, let $\{\mathcal{E}_{k,j}\}_{j\in\mathbb{N}}$ be a minimizing sequence in [\(A.30\)](#page-30-0). Since \mathcal{F}_k is admissible in [\(A.30\)](#page-30-0) and by [\(A.29\)](#page-29-0), provided β is small enough, we may assume without loss of generality that

$$
\begin{cases}\nP(\mathcal{E}_{k,j}) + |\alpha(\mathcal{E}_{k,j}) - \alpha(\mathcal{F}_k)|^{3/2} \le P(\mathcal{F}_k) \\
P(\mathcal{E}_{k,j}) \le P(\mathcal{E}_0) + 1\n\end{cases}, \forall k, j \in \mathbb{N}.\n\tag{A.35}
$$

By subtracting $P(\mathcal{E}_0)$ in this last inequality, by $P(\mathcal{E}_{k,j}) \geq P(\mathcal{E}_0)$, and by [\(A.29\)](#page-29-0) we thus get

$$
\left|\alpha(\mathcal{E}_{k,j})-\alpha(\mathcal{F}_k)\right|^{3/2}\leq \left(\kappa(\mathcal{E}_0)+1\right)\alpha(\mathcal{F}_k)^2, \qquad \forall k,j\in\mathbb{N}.
$$
 (A.36)

In particular, provided β is small enough, we find

$$
\frac{\alpha(\mathfrak{F}_k)}{2} \le \alpha(\mathfrak{E}_{k,j}) \le \frac{3}{2}\alpha(\mathfrak{F}_k), \qquad \forall k, j \in \mathbb{N}.
$$
 (A.37)

We now construct new minimizing sequences $\{\widetilde{\mathcal{E}}_{k,j}\}_{j\in\mathbb{N}}$ for the variational problems [\(A.30\)](#page-30-0), with the property that, for some $k_0 \in \mathbb{N}$

$$
\bigcup_{h=1}^{N} \widetilde{\mathcal{E}}_{k,j}(h) \subset B_{R+7n\beta^{1/n}}, \qquad \forall j \in \mathbb{N}, k \ge k_0.
$$
 (A.38)

Indeed, let us assume, as we may do up to isometries, that

$$
\alpha(\mathbf{\mathcal{E}}_{k,j}) = \mathbf{d}(\mathbf{\mathcal{E}}_{k,j}, \mathbf{\mathcal{E}}_0), \qquad \forall j, k \in \mathbb{N}.
$$
 (A.39)

For each k, $j \in \mathbb{N}$ and $r > 0$, we consider the cluster $\mathcal{E}_{k,j}^r(h) = \mathcal{E}_{k,j}(h) \cap B_r$, and correspondingly define a decreasing function $\rho_{k,j} : (0,\infty) \to [0,\infty)$ by setting

$$
\rho_{k,j}(r) = d(\mathcal{E}_{k,j}, \mathcal{E}_{k,j}^r) = \sum_{h=1}^N |\mathcal{E}_{k,j}(h) \setminus B_r|, \qquad k, j \in \mathbb{N}, r > 0.
$$
 (A.40)

By $\bigcup_{h=1}^{N} \mathcal{E}_0(h) \subset \subset B_R$, [\(A.39\)](#page-30-1), [\(A.37\)](#page-30-2) and [\(A.29\)](#page-29-0) we find

$$
\rho_{k,j}(R) \le \frac{d(\mathcal{E}_{k,j}, \mathcal{E}_0)}{2} = \frac{\alpha(\mathcal{E}_{k,j})}{2} \le \frac{3}{4} \alpha(\mathcal{F}_k) \le \frac{3}{4} \beta. \tag{A.41}
$$

Thus, by [\[24,](#page-45-19) Lemma 29.12], there exists $r = r_{k,j} \in [R, R + 7n\beta^{1/n}]$ such that

$$
P(\mathcal{E}_{k,j}^r) \le P(\mathcal{E}_{k,j}) - \frac{\rho_{k,j}(r)}{4\beta^{1/n}}, \qquad \forall j, k \in \mathbb{N},
$$
 (A.42)

where in order to simplify the notation we have set $\mathcal{E}_{k,j}^r = \mathcal{E}_{k,j}^{r_{k,j}}$. Now let ε_0 , r_0 , and C be the constants associated with \mathcal{E}_0 by Theorem [A.4,](#page-26-0) which we want to apply with the choices $\mathcal{E} = \mathcal{E}_{k,j}$ and $\mathfrak{F} = \mathcal{E}_{k,j}^r$. This is possible because by [\(A.39\)](#page-30-1), [\(A.37\)](#page-30-2), and [\(A.29\)](#page-29-0), and provided β is small enough, we have $d(\mathcal{E}_{k,j}, \mathcal{E}_0) \le \varepsilon_0$, while at the same time $d(\mathcal{E}_{k,j}, \mathcal{E}_{k,j}^r) \le \rho_{k,j}(R) \le \beta \le \omega_n r_0^n$ and $\mathcal{E}_{k,j}(h) \Delta \mathcal{E}_{k,j}^r(h) \subset \mathbb{R}^n \setminus B_R$, where $R > 0$ is such that $\mathcal{E}_0(h) \subset \subset B_R$ for every $h = 1, \ldots, N$. By Theorem [A.4](#page-26-0) we thus construct clusters $\widetilde{\mathcal{E}}_{k,i}$ such that

$$
\text{vol}\left(\widetilde{\mathcal{E}}_{k,j}\right) = \text{vol}\left(\mathcal{E}_{k,j}\right) = \text{vol}\left(\mathcal{E}_0\right),
$$
\n
$$
\left|\text{d}\left(\widetilde{\mathcal{E}}_{k,j}, \mathcal{E}_{k,j}\right) - \text{d}\left(\mathcal{E}_{k,j}^r, \mathcal{E}_{k,j}\right)\right| \le C \ P(\mathcal{E}_{k,j}) \rho_{k,j}(r),
$$
\n
$$
\rho(\widetilde{\mathcal{E}}_{k,j}) \le P(\mathcal{E}_{k,j}^r) + C \ P(\mathcal{E}_{k,j}) \rho_{k,j}(r).
$$
\n(A.43)

By [\(A.12\)](#page-27-4), [\(A.43\)](#page-31-0), [\(A.35\)](#page-30-3), and [\(A.40\)](#page-30-4) we find

$$
P(\widetilde{\mathbf{E}}_{k,j}) - P(\mathbf{E}_{k,j}^r) + |\alpha(\widetilde{\mathbf{E}}_{k,j}) - \alpha(\mathbf{E}_{k,j})| \le C_1 \rho_{k,j}(r), \tag{A.44}
$$

for some constant C_1 depending on \mathcal{E}_0 only. By [\(A.42\)](#page-31-1) and [\(A.44\)](#page-31-2) we find

$$
P(\widetilde{\mathcal{E}}_{k,j}) + |\alpha(\widetilde{\mathcal{E}}_{k,j}) - \alpha(\mathcal{F}_k)|^{3/2} \le P(\mathcal{E}_{k,j}) + |\alpha(\widetilde{\mathcal{E}}_{k,j}) - \alpha(\mathcal{F}_k)|^{3/2} - \left(\frac{1}{4\beta^{1/n}} - C_1\right)\rho_{k,j}(r), \quad (A.45)
$$

where, again thanks to $(A.44)$ we have

$$
\left|\alpha(\widetilde{\mathcal{E}}_{k,j}) - \alpha(\mathcal{F}_k)\right|^{3/2} \le \left(|\alpha(\mathcal{E}_{k,j}) - \alpha(\mathcal{F}_k)| + C_1 \rho_{k,j}(r)\right)^{3/2}.\tag{A.46}
$$

If $|\alpha(\mathcal{E}_{k,i}) - \alpha(\mathcal{F}_k)| \ge C_1 \rho_{k,i}(r)$, then, by noticing that $(1 + a)^{3/2} \le 1 + 2a$ for every $a \in [0, 1]$,

$$
\left| \alpha(\widetilde{\mathcal{E}}_{k,j}) - \alpha(\mathcal{F}_k) \right|^{3/2} \leq \left| \alpha(\mathcal{E}_{k,j}) - \alpha(\mathcal{F}_k) \right|^{3/2} \left(1 + \frac{2C_1 \rho_{k,j}(r)}{|\alpha(\mathcal{E}_{k,j}) - \alpha(\mathcal{F}_k)|} \right)
$$

\n
$$
\leq \left| \alpha(\mathcal{E}_{k,j}) - \alpha(\mathcal{F}_k) \right|^{3/2} + 2C_1 \sqrt{\alpha(\mathcal{F}_k)} \rho_{k,j}(r)
$$

\n
$$
\leq \left| \alpha(\mathcal{E}_{k,j}) - \alpha(\mathcal{F}_k) \right|^{3/2} + C_2 \sqrt{\beta} \rho_{k,j}(r), \tag{A.47}
$$

thanks to [\(A.29\)](#page-29-0), and for a constant C_2 depending on \mathcal{E}_0 only; if $|\alpha(\mathcal{E}_{k,j}) - \alpha(\mathcal{F}_k)| \le C_1 \rho_{k,j}(r)$, then by $(A.46)$, and up to possibly increasing the value of C_2 , we simply find

$$
\left|\alpha(\widetilde{\mathcal{E}}_{k,j}) - \alpha(\mathcal{F}_k)\right|^{3/2} \leq \left(2 C_1 \rho_{k,j}(r)\right)^{3/2} \leq C_2 \sqrt{\beta} \rho_{k,j}(r),\tag{A.48}
$$

where we have used again [\(A.41\)](#page-30-5) and the fact that $\rho_{k,j}$ is decreasing. We finally combine [\(A.45\)](#page-31-4), [\(A.47\)](#page-31-5), and [\(A.48\)](#page-31-6), to conclude that, if β is suitably small (in terms of C_1 , C_2 and n), then

$$
P(\widetilde{\mathcal{E}}_{k,j}) + |\alpha(\widetilde{\mathcal{E}}_{k,j}) - \alpha(\mathcal{F}_k)|^{3/2}
$$

\$\leq P(\mathcal{E}_{k,j}) + |\alpha(\mathcal{E}_{k,j}) - \alpha(\mathcal{F}_k)|^{3/2} - \left(\frac{1}{4\beta^{1/n}} - C_1 - C_2\sqrt{\beta}\right)\rho_{k,j}(r)\$ (A.49)

$$
\leq P(\mathcal{E}_{k,j}) + |\alpha(\mathcal{E}_{k,j}) - \alpha(\mathcal{F}_k)|^{3/2} \,. \tag{A.50}
$$

By [\(A.50\)](#page-31-7) and [\(A.38\)](#page-30-6), for every $k \in \mathbb{N}$, we find that $\{\widetilde{\mathcal{E}}_{k,j}\}_{j\in\mathbb{N}} \subset \mathfrak{M}_0$ is a minimizing sequence in [\(A.30\)](#page-30-0), uniformly bounded in space. By the Direct Method (see, e.g., [\[24,](#page-45-19) Propositons 29.4 and 29.5]), up to possibly extracting a subsequence in j, there exist minimizers \mathcal{E}_k in [\(A.30\)](#page-30-0) such that $d(\tilde{\mathcal{B}}_{k,j}, \mathcal{B}_k) \to 0$ as $j \to \infty$. If we denote by C_3 the positive constant appearing in front of $-\rho_{k,j}(r)$ in $(A.49)$, then by $(A.49)$, $(A.35)$, and $(A.28)$, we find

$$
P(\mathcal{E}_0) \le P(\widetilde{\mathcal{E}}_{k,j}) + |\alpha(\widetilde{\mathcal{E}}_{k,j}) - \alpha(\mathcal{F}_k)|^{3/2} + C_3 \rho_{k,j}(r) \le P(\mathcal{F}_k)
$$
 (A.51)

$$
= P(\mathcal{E}_0) + \kappa(\mathcal{E}_0) \alpha(\mathcal{F}_k)^2 + o(\alpha(\mathcal{F}_k)^2).
$$
 (A.52)

By subtracting $P(\mathcal{E}_0)$, we can thus find $k_0 \in \mathbb{N}$ such that, if $k \ge k_0$, then

$$
\sup_{h \in \mathbb{N}} \rho_{k,j}(r) \leq \frac{(\kappa(\mathcal{E}_0) + 1)}{C_3} \alpha(\mathcal{F}_k)^2 \leq \frac{\alpha(\mathcal{F}_k)}{6 C_1},
$$

possibly up to further decreasing the value of β . Correspondingly, by [\(A.44\)](#page-31-2) and by the lower bound in [\(A.37\)](#page-30-2), we find that

$$
\alpha(\widetilde{\mathcal{E}}_{k,j}) \geq \alpha(\mathcal{E}_{k,j}) - \frac{\alpha(\mathcal{F}_k)}{6} \geq \frac{\alpha(\mathcal{F}_k)}{3}, \qquad \forall j \in \mathbb{N}, k \geq k_0,
$$

so that [\(A.31\)](#page-30-7) follows by letting $j \to \infty$ and by using [\(A.12\)](#page-27-4). By a similar argument we see that $(A.51)$ and $(A.29)$ give us

$$
\left|\alpha(\widetilde{\mathcal{E}}_{k,j}) - \alpha(\mathcal{F}_k)\right|^{3/2} \le \left(\kappa(\mathcal{E}_0) + 1\right)\alpha(\mathcal{F}_k)^2, \qquad \forall j, k \in \mathbb{N}.
$$
 (A.53)

Thus [\(A.32\)](#page-30-8) follows by letting $j \to \infty$ in [\(A.53\)](#page-32-1), while [\(A.33\)](#page-30-9) follows by letting $j \to \infty$ in [\(A.38\)](#page-30-6). By $(A.51)$ and $(A.52)$ we also see that

$$
P(\widetilde{\mathcal{E}}_{k,j}) = P(\mathcal{E}_0) + \kappa(\mathcal{E}_0) \alpha(\mathcal{F}_k)^2 + o(\alpha(\mathcal{F}_k)^2) = P(\mathcal{E}_0) + \kappa(\mathcal{E}_0) \alpha(\mathcal{E}_k)^2 + o(\alpha(\mathcal{E}_k)^2),
$$

where $\alpha(\mathcal{E}_k)/\alpha(\mathcal{F}_k) \to 1$ as $k \to \infty$ thanks to $(A.36)$ and $d(\widetilde{\mathcal{E}}_{k,j}, \mathcal{E}_k) \to 0$ as $j \to \infty$. Since $\liminf_{j\to\infty} P(\tilde{\mathcal{E}}_{k,j}) \geq P(\mathcal{E}_k) \geq P(\mathcal{E}_0)$ we deduce [\(A.34\)](#page-30-11). We have thus completed the proof of the existence of minimizers \mathcal{E}_k in [\(A.30\)](#page-30-0) satisfying [\(A.31\)](#page-30-7)–[\(A.34\)](#page-30-11).

We now prove that [\(A.3\)](#page-25-4) holds for $k \ge k_0$. Indeed, if $\mathfrak{F} \in \mathfrak{M}(\mathcal{E}_0)$, then by minimality of \mathcal{E}_k in [\(A.30\)](#page-30-0) we have

$$
P(\mathcal{E}_k) + |\alpha(\mathcal{E}_k) - \alpha(\mathcal{F}_k)|^{3/2} \le P(\mathcal{F}) + |\alpha(\mathcal{F}) - \alpha(\mathcal{F}_k)|^{3/2}.
$$
 (A.54)

Since $|a^{3/2} - b^{3/2}| \leq (3/2) \sqrt{\max\{a, b\}} |b - a|$ for every $a, b \geq 0$, we easily find that

$$
\left|\alpha(\mathfrak{F})-\alpha(\mathfrak{F}_k)\right|^{3/2}-\left|\alpha(\mathfrak{E}_k)-\alpha(\mathfrak{F}_k)\right|^{3/2}\leq \frac{3}{2}\sqrt{\alpha(\mathfrak{F}_k)}\left|\alpha(\mathfrak{E}_k)-\alpha(\mathfrak{F})\right|.\tag{A.55}
$$

We thus prove $(A.3)$ by combining $(A.54)$, $(A.55)$, $(A.31)$, and $(A.12)$. We are left to prove that each \mathcal{E}_k is a (Λ, r_0) -perimeter minimizer, for some constants depending on \mathcal{E}_0 only. Indeed, let ε_0 , r_0 , and C be the constants associated to \mathcal{E}_0 by Theorem [A.4.](#page-26-0) By [\(A.32\)](#page-30-8) and [\(A.29\)](#page-29-0), up to further decreasing the value of β , we may assume that $\alpha(\mathcal{E}_k) \leq \varepsilon_0$ for all $k \in \mathbb{N}$, so that, up to isometries, we may assume that $\alpha(\mathcal{E}_k) = d(\mathcal{E}_k, \mathcal{E}_0) \le \varepsilon_0$ for every $k \in \mathbb{N}$. Now we choose $x \in \mathbb{R}^n$ and an

N-cluster F such that $\mathfrak{F}(h)\Delta \mathfrak{E}_k(h) \subset \subset B(x,r_0)$ for $h = 1, ..., N$. By applying Theorem [A.4](#page-26-0) with $\mathcal{E} = \mathcal{E}_k$, and up to further decreasing the value of β to entail $P(\mathcal{E}_k) \leq 2 P(\mathcal{E}_0)$, we construct a cluster \mathfrak{F}' satisfying $\mathfrak{F}'(h)\Delta \mathfrak{F}(h) \subset \mathbb{R}^n \setminus \overline{B(x,r_0)}$, vol $(\mathfrak{F}') = \text{vol}(\mathfrak{F})$ and

$$
\max\left\{ \left| P(\mathfrak{F}') - P(\mathfrak{F}) \right|, \left| d(\mathfrak{F}', \mathcal{E}_k) - d(\mathfrak{F}, \mathcal{E}_k) \right| \right\} \leq 2C \left| P(\mathcal{E}_0) \left| \text{vol}(\mathfrak{F}) - \text{vol}(\mathcal{E}_k) \right|.
$$

By exploiting these properties and [\(A.3\)](#page-25-4), and since $|vol(\mathcal{F}) - vol(\mathcal{E}_k)| \leq d(\mathcal{F}, \mathcal{E}_k)$, we thus find

$$
P(\mathcal{E}_k) \leq P(\mathfrak{F}') + 3\sqrt{\alpha(\mathcal{E}_k)} d(\mathfrak{F}', \mathcal{E}_k)
$$

\n
$$
\leq P(\mathfrak{F}) + 2C P(\mathcal{E}_0) (1 + 3\sqrt{\alpha(\mathcal{E}_k)}) |\text{vol}(\mathfrak{F}) - \text{vol}(\mathcal{E}_0)| + 3\sqrt{\alpha(\mathcal{E}_k)} d(\mathfrak{F}, \mathcal{E}_k)
$$

\n
$$
\leq P(\mathfrak{F}) + \Lambda d(\mathfrak{F}, \mathcal{E}_k),
$$

for a suitable value of Λ determined by \mathcal{E}_0 only.

 \Box

Appendix B. Proof of [\(4.65\)](#page-21-0)

Here we justify the bound [\(4.65\)](#page-21-0) used in proving our main theorem, namely

$$
\beta_1(t) > 0 \qquad \beta_1(t)\beta_2(t) - \beta_3(t)^2 > 0 \qquad \forall t \in (0, 1]. \tag{B.1}
$$

Explicit expressions for these functions can be obtained by combining the list of formulas [\(B.2\)](#page-33-1) and [\(B.3\)](#page-34-0) below. The result is a complex mixture of rational functions of inverse tangents and trigonometric functions which defies a simple direct analysis. The plotting of these functions on a computer presents no difficulty and confirms the validity of $(B.1)$, see Figure [7.](#page-21-1) Although it seems reasonable to accept this numerical evidence as a proof of [\(B.1\)](#page-33-2), we have decided to include a more formal argument in its support. This argument reduces the proof of $(B.1)$ to estimating the values of some explicit functions at a few specific points, see equations $(B.31)$ and $(B.38)$ below. We now begin our analysis. For the sake of clarity, we first recall that for each $t \in (0, 1)$ we have set

$$
r_1(t) = t,
$$

\n
$$
r_2(t) = 1,
$$

\n
$$
r_0(t) = \frac{r_1}{1 - r_1},
$$

\n
$$
\theta_0(t) = \arctan\left(\sqrt{3} \frac{1 - r_1}{1 + r_1}\right),
$$

\n
$$
\theta_1(t) = \frac{2\pi}{3} - \theta_0,
$$

\n
$$
\theta_2(t) = \frac{2\pi}{3} + \theta_0,
$$

\n
$$
m_1(t) = \theta_1 r_1^2 + \theta_0 r_0^2 - \frac{\sqrt{3}}{2} r_0 r_1,
$$

\n
$$
m_2(t) = \theta_2 r_2^2 - \theta_0 r_0^2 + \frac{\sqrt{3}}{2} r_0 r_2,
$$

\n
$$
g(\theta) = \frac{\cos(\theta)}{2(\sin \theta - \theta \cos \theta)} \qquad 0 < \theta < \pi,
$$

\n
$$
P(\varepsilon_0) = 2\left(\frac{m_1}{r_1} + \frac{m_2}{r_2}\right),
$$

\n(B.2)

and then

$$
\beta_1 = g(\theta_0) \left(\frac{r_1}{r_0}\right)^3 \left(\frac{m_2}{m_1 + m_2}\right)^2 + g(\theta_1) + \frac{P(\mathcal{E}_0)}{4} \frac{r_1^3}{(m_1 + m_2)^2},
$$
\n
$$
\beta_2 = g(\theta_0) \left(\frac{r_2}{r_0}\right)^3 \left(\frac{m_1}{m_1 + m_2}\right)^2 + g(\theta_2) + \frac{P(\mathcal{E}_0)}{4} \frac{r_2^3}{(m_1 + m_2)^2},
$$
\n
$$
\beta_3 = -g(\theta_0) \left(\frac{\sqrt{r_1 r_2}}{r_0}\right)^3 \left(\frac{\sqrt{m_1 m_2}}{m_1 + m_2}\right)^2 + \frac{P(\mathcal{E}_0)}{4} \frac{(\sqrt{r_1 r_2})^3}{(m_1 + m_2)^2}.
$$
\n(D.3)

Notice that $r_0(t) = t/(1-t)$ and $g(\theta_0(t))$ are not defined at $t = 1$ (and they both have limit equal to $+\infty$ as $t \to 1^-$). Nevertheless, β_1 , β_2 and β_3 have finite limits as $t \to 1^-$, and so turn out to be continuous on $(0, 1]$. On noticing that

$$
\lim_{t \to 1^{-}} \theta_0 r_0^2 - \frac{\sqrt{3}}{2} r_0 r_1 = \frac{\sqrt{3}}{4} = \lim_{t \to 1^{-}} \frac{\sqrt{3}}{2} r_0 r_2 - \theta_0 r_0^2,
$$

$$
\lim_{t \to 1^{-}} \frac{g(\theta_0)}{r_0^3} = \frac{4}{\sqrt{3}}, \quad g\left(\frac{2\pi}{3}\right) = -\frac{1}{4\left(\frac{\pi}{3} + \frac{\sqrt{3}}{2}\right)}, \quad \lim_{t \to 1^{-}} m_1(t) = \lim_{t \to 1^{-}} m_2(t) = \frac{2\pi}{3} + \frac{\sqrt{3}}{4}
$$

we find that

$$
\beta_1(1^-) = \beta_2(1^-) = \frac{1}{\sqrt{3}} + \frac{1}{4\left(\frac{2\pi}{3} + \frac{\sqrt{3}}{4}\right)} - \frac{1}{4\left(\frac{\pi}{3} + \frac{\sqrt{3}}{2}\right)},
$$
(B.4)

$$
\beta_3(1^-) = -\frac{1}{\sqrt{3}} + \frac{1}{4\left(\frac{2\pi}{3} + \frac{\sqrt{3}}{4}\right)},
$$
\n(B.5)

so that $\beta_1(1^-) = \beta_2(1^-) > 1/2 > \beta_3(1^-)$, and [\(B.1\)](#page-33-2) holds at $t = 1$. From now on we thus restrict $t \in (0, 1)$. We first address the lower bound for β_1 , and then the one for $\beta_1 \beta_2 - \beta_3^2$.

Lower bound on β_1 . By direct computation one sees that

$$
\begin{cases}\ng(\theta) \text{ is strictly convex and decreasing on } (0, \pi) \\
g(\pi/2) = 0, g(1^-) = -1/2\pi, g(\theta) \theta^3 \to 3/2 \text{ as } \theta \to 0^+.\n\end{cases}
$$
\n(B.6)

$$
\oint_{0} \text{ is strictly decreasing on } (0, 1)
$$
\n
$$
\text{with } \theta_{0}(0) = \pi/2, \theta_{0}(1) = 0
$$
\n(B.7)

with $\theta_0(0) = \pi/3$, $\theta_0(1) = 0$

$$
\begin{cases}\n\theta_1 \text{ is strictly increasing on } (0, 1) \\
\text{with } \theta_1(0) = \pi/3, \theta_1(1) = 2\pi/3, \theta_1(1/2) = \pi/2,\n\end{cases}
$$
\n(B.8)

By combining $(B.6)$ with $(B.7)$ and $(B.8)$ we find that

$$
g(\theta_0) \ge g(\pi/3) > 0 \text{ on } (0, 1) \qquad g(\theta_1) \ge 0 \text{ on } (0, 1/2], \, g(\theta_1) < 0 \text{ on } (1/2, 1) \tag{B.9}
$$

so that $\beta_1 > 0$ on $(0, 1/2]$. We conclude the proof of $\beta_1 > 0$ on $(0, 1)$ by showing that

$$
g(\theta_0) \left(\frac{r_1}{r_0}\right)^3 \left(\frac{m_2}{m_1 + m_2}\right)^2 + g(\theta_1) > 0 \qquad \text{on } [1/2, 1)
$$
 (B.10)

To this end, we prove below that

$$
g(\theta_0) \left(\frac{r_1}{r_0}\right)^3 = g(\theta_0)(1-t)^3 = \frac{(1-t)^3}{2\left(\frac{\sqrt{3}(1-t)}{(1+t)} - \arctan\left(\frac{\sqrt{3}(1-t)}{(1+t)}\right)\right)}
$$
 is increasing on (1/2, 1)
(B.11)

which gives us

$$
g(\theta_0) \left(\frac{r_1}{r_0}\right)^3 \ge g(\theta_0) \left(\frac{r_1}{r_0}\right)^3 \Big|_{t=1/2} = \frac{1}{16\left(\frac{1}{\sqrt{3}} - \frac{\pi}{6}\right)} \qquad \text{on (1/2, 1).} \tag{B.12}
$$

We now claim that

 m_1 is strictly increasing on $(0, 1)$ with $m_1(0) = 0$ and $m_1(1) = \pi/3$, m_2 is strictly decreasing on (0, 1) with $m_2(0) = \pi$ and $m_2(1) = \pi/3$. (B.13)

A stronger property holds for m_1 , and shall be needed in the sequel, namely

$$
m_1/r_1^2
$$
 is increasing on (0, 1). (B.14)

Indeed

$$
\frac{m_1}{r_1^2} = \frac{2\pi}{3} + \left(\frac{1}{(1-t)^2} - 1\right) \arctan\left(\sqrt{3}\frac{1-t}{1+t}\right) - \frac{\sqrt{3}}{2}\frac{1}{1-t}
$$

so that, setting $\alpha =$ $\sqrt{3}(1 - t)/(1 + t)$ and using $t = 0$. $(\sqrt{3} - \alpha)/(\sqrt{3} + \alpha)$, we are bound to show

$$
\frac{m_1}{r_1^2} = \psi(\alpha) = \frac{2\pi}{3} + \frac{(-3\alpha^2 + 2\sqrt{3}\alpha + 3)\arctan(\alpha) - (\alpha + \sqrt{3})\sqrt{3}\alpha}{4\alpha^2}
$$

to be decreasing for $\alpha \in (0, \sqrt{3})$. By elementary computations we get

$$
\psi'(\alpha) = -\frac{3 + \sqrt{3}\alpha}{2\alpha^3 (1 + \alpha^2)} ((1 + \alpha^2) \arctan(\alpha) - \alpha) \le 0 \qquad \forall \alpha \in (0, \sqrt{3})
$$

since $(1 + \alpha^2)$ arctan $(\alpha) > \alpha$ by strict concavity of arctan (α) on $[0, +\infty)$. This proves [\(B.14\)](#page-35-0), thus the first assertion in $(B.13)$. The monotonicity of $m₂$ is obtained by entirely similar computations. Having proved [\(B.13\)](#page-35-1), we use it to infer

$$
\left(\frac{m_2}{m_1 + m_2}\right)^2 = \left(\frac{1}{(m_1/m_2) + 1}\right)^2 \ge \left(\frac{1}{(m_1/m_2) + 1}\right)^2 \Big|_{t=1} = \frac{1}{4} \quad \text{on } (0, 1). \tag{B.15}
$$

By $(B.12)$ and $(B.15)$ we find

$$
g(\theta_0) \left(\frac{r_1}{r_0}\right)^3 \left(\frac{m_2}{m_1 + m_2}\right)^2 \ge \frac{1}{64\left(\frac{1}{\sqrt{3}} - \frac{\pi}{6}\right)} \qquad \text{on (1/2, 1)}.
$$

By [\(B.6\)](#page-34-1) and [\(B.8\)](#page-34-3), $g(\theta_1)$ is strictly decreasing on (0, 1) with

$$
g(\theta_1) \ge g(\theta_1(1)) = g\left(\frac{2\pi}{3}\right) = -\frac{1}{2\sqrt{3} + \frac{4}{3}\pi}.
$$

By combining the last two estimates we conclude that for every $t \in (1/2, 1)$ one has

$$
\beta_1(t) \ge \frac{1}{64\left(\frac{1}{\sqrt{3}} - \frac{\pi}{6}\right)} - \frac{1}{2\sqrt{3} + \frac{4}{3}\pi} > 0
$$

as required. We are thus left to prove $(B.11)$, that is

$$
h(t) = \frac{1}{(1-t)^3} \left(\frac{\sqrt{3}(1-t)}{1+t} - \arctan\left(\frac{\sqrt{3}(1-t)}{1+t}\right) \right)
$$
 is decreasing on (1/2, 1).

To this end we set $s =$ $\overline{3}(1-t)/(1+t), t = 0$ $(\sqrt{3} - s)/(\sqrt{3} + s)$, so that $s(t)$ is decreasing, $h(t) = c N(s(t))$, and we thus have reduced in showing that

$$
N(s) = \frac{(\sqrt{3} + s)^3 (s - \arctan(s))}{s^3} = (\sqrt{3} + s)^3 \sum_{n=0}^{+\infty} (-1)^n \frac{s^{2n}}{2n+3}
$$
 is increasing on $(0, 1/\sqrt{3})$.

This is seen by noticing that when $s \in (0, 1/\sqrt{3})$ one has

$$
N'(s) = 3(\sqrt{3} + s)^2 \sum_{n=0}^{+\infty} (-1)^n \frac{s^{2n}}{2n+3} + (\sqrt{3} + s)^3 \sum_{n=1}^{+\infty} (-1)^n \frac{2n}{2n+3} s^{2n-1}
$$

\n
$$
\geq (\sqrt{3} + s)^2 \left((1 - 3s^2/5) - (\sqrt{3} + s) \frac{2}{5\sqrt{3}} \right)
$$

\n
$$
\geq (\sqrt{3} + s)^2 \left(4/5 - (\sqrt{3} + 1/\sqrt{3}) \frac{2}{5\sqrt{3}} \right) \geq 3 \left(\frac{4}{5} - \frac{2}{5} - \frac{2}{15} \right) = \frac{12}{15}.
$$

Lower bound on $\beta_1 \beta_2 - \beta_3^2$. We compute that, setting $M = m_1 + m_2$,

$$
\beta_1 \beta_2 = \left(\frac{P(\mathcal{E}_0)}{4}\right)^2 \frac{(r_1 r_2)^3}{M^4} + g(\theta_0)^2 \frac{(r_1 r_2)^3}{r_0^6} \frac{(m_1 m_2)^2}{M^4} + g(\theta_1) g(\theta_2) \n+ \frac{P(\mathcal{E}_0)}{4} g(\theta_0) \left(\frac{r_1 r_2}{r_0}\right)^3 \frac{m_1^2 + m_2^2}{M^4} \n+ \frac{P(\mathcal{E}_0)}{4} \frac{r_1^3 g(\theta_2) + r_2^3 g(\theta_1)}{M^2} \n+ g(\theta_0) \left(g(\theta_2) \left(\frac{r_1}{r_0}\right)^3 \left(\frac{m_2}{M}\right)^2 + g(\theta_1) \left(\frac{r_2}{r_0}\right)^3 \left(\frac{m_1}{M}\right)^2\right) \n\beta_3^2 = \left(\frac{P(\mathcal{E}_0)}{4}\right)^2 \frac{(r_1 r_2)^3}{M^4} + g(\theta_0)^2 \frac{(r_1 r_2)^3}{r_0^6} \frac{(m_1 m_2)^2}{M^4} \n- \frac{P(\mathcal{E}_0)}{4} g(\theta_0) \left(\frac{r_1 r_2}{r_0}\right)^3 \frac{2 m_1 m_2}{M^4}
$$

so that

$$
\beta_1 \beta_2 - \beta_3^2 = \frac{A + B + C + D}{M^2},
$$
\n(B.16)

where

$$
A(t) = \frac{P(\mathcal{E}_0)}{4} g(\theta_0) \left(\frac{r_1 r_2}{r_0}\right)^3
$$
\n
$$
B(t) = \frac{P(\mathcal{E}_0)}{4} \left(r_1^3 g(\theta_2) + r_2^3 g(\theta_1)\right)
$$
\n
$$
C(t) = g(\theta_0) \left(g(\theta_2) \left(\frac{r_1}{r_0}\right)^3 m_2^2 + g(\theta_1) \left(\frac{r_2}{r_0}\right)^3 m_1^2\right)
$$
\n
$$
D(t) = g(\theta_1) g(\theta_2) M^2.
$$
\n(B.17)

If we set

$$
G(t) = g(\theta_0) \left(\frac{r_1 r_2}{r_0}\right)^3 = g(\theta_0) (1-t)^3, \qquad h_1(t) = \frac{1}{2} + g(\theta_1) \frac{m_1}{r_1^2}, \qquad h_2(t) = \frac{1}{2} + g(\theta_2) \frac{m_2}{r_2^2},
$$

then we have the useful identity

$$
A + C = \left(h_1 \frac{m_1}{r_1} + h_2 \frac{m_2}{r_2}\right) G.
$$
 (B.18)

We make a separate analysis on the intervals $(0, 1/2)$ and $[1/2, 1)$.

Proof of the lower bound when $t \in [1/2, 1)$. By [\(B.14\)](#page-35-0), and since $g(\theta_1)$ is negative and decreasing on [1/2, 1) thanks to [\(B.6\)](#page-34-1) and [\(B.8\)](#page-34-3), we find that h_1 is decreasing on [1/2, 1). Since

$$
\lim_{t \to 1} \frac{m_1}{r_1^2} = \frac{2\pi}{3} + \frac{\sqrt{3}}{4}, \qquad g(\theta_1(1)) = g\left(\frac{2\pi}{3}\right) = -\frac{1}{4\left(\frac{\pi}{3} + \frac{\sqrt{3}}{2}\right)}
$$

we conclude from the monotonicity of h_1 that

$$
h_1(t) \ge h_1(1) = \frac{1}{2} - \frac{\frac{2\pi}{3} + \frac{\sqrt{3}}{4}}{4\left(\frac{\pi}{3} + \frac{\sqrt{3}}{2}\right)} \ge \frac{1}{6} \qquad \forall t \in [1/2, 1).
$$
 (B.19)

Next we notice that h_2 is increasing on $(0, 1)$. Indeed,

$$
\theta_2
$$
 is strictly decreasing on (0, 1)
with $\theta_2(0) = \pi$, $\theta_2(1) = 2\pi/3$ (B.20)

so that by, [\(B.6\)](#page-34-1), $g(\theta_2)$ is negative and increasing on (0, 1). Since $h_2 - 1/2$ is the product of $g(\theta_2)$ with $m_2/r_2^2 = m_2$ (which is positive and decreasing, recall [\(B.13\)](#page-35-1)) we deduce that h_2 is increasing on $(0, 1)$. By combining this fact with $h_2(0) > 0$, $(B.19)$ and $(B.18)$ we find that

$$
A + C \ge \frac{G m_1}{6 r_1} \qquad \text{on } [1/2, 1). \tag{B.21}
$$

We now prove that

$$
L + D > -B
$$
 on [1/2, 1), where $L = \frac{G m_1}{6 r_1}$. (B.22)

Thanks to $(B.16)$ and $(B.21)$, this last fact will conclude the proof of the required lower bound on $[1/2, 1)$. In order to prove $(B.22)$, we first show that

$$
B \t{is negative and decreasing on } [1/2, 1). \t{(B.23)}
$$

L is positive and increasing on
$$
[1/2, 1)
$$
. $(B.24)$

$$
D \text{ is positive and increasing on } [1/2, 1). \tag{B.25}
$$

To this end we first check that

$$
t^3 g(\theta_2(t))
$$
 is negative decreasing on [1/2, 1). (B.26)

Indeed

$$
\tan \theta_2 = \frac{\sin\left(\frac{2\pi}{3} + \theta_0\right)}{\cos\left(\frac{2\pi}{3} + \theta_0\right)} = \frac{\sqrt{3}\cos(\theta_0) - \sin(\theta_0)}{-\cos(\theta_0) - \sqrt{3}\sin(\theta_0)}
$$

$$
= \frac{\tan(\theta_0) - \sqrt{3}}{1 + \sqrt{3}\tan(\theta_0)} = \sqrt{3}\frac{\frac{1-t}{1+t} - 1}{1 + 3\frac{1-t}{1+t}} = \sqrt{3}\frac{-2t}{4 - 2t} = \frac{\sqrt{3}t}{t - 2}
$$

so that

$$
\frac{1}{t^3 g(\theta_2)} = 2 \frac{\tan(\theta_2) - \theta_2}{t^3} = \frac{2\sqrt{3}}{(t-2)t^2} - \frac{2}{t^3} \left(\frac{2\pi}{3} + \arctan\left(\sqrt{3} \frac{1-t}{1+t}\right) \right)
$$

$$
= \left[\frac{2\sqrt{3}}{(t-2)t^2} - \frac{4\pi}{3t^3} \right] - \left[\frac{2}{t^3} \arctan\left(\sqrt{3} \frac{1-t}{1+t}\right) \right]
$$

The function in the first square bracket is increasing on $[1/2, 1)$, while the

$$
\frac{2}{t^3} \arctan\left(\sqrt{3}\frac{1-t}{1+t}\right) = 2\theta_0(t)/t^3 \quad \text{is decreasing on } [1/2, 1)
$$

(as a product of positive decreasing functions). Since $g(\theta_2)$ < 0 is negative, this proves [\(B.26\)](#page-38-1). To prove [\(B.23\)](#page-38-2) we first show that

$$
p(t) = P(\mathcal{E}_0) \text{ is positive and increasing on } (0, 1). \tag{B.27}
$$

While the positivity of $p(t)$ is obvious, proving the monotonicity requires some extra effort. First of all we prove that

$$
M(t) = m_1(t) + m_2(t)
$$
 is strictly increasing when $t \in (0, 1)$. (B.28)

p

Indeed by an easy computation one gets

$$
M'(t) = 2t\left(\frac{2\pi}{3} - \arctan\left(\sqrt{3}\frac{1-t}{1+t}\right)\right) + \frac{\sqrt{3}}{2}\left(1 - \frac{4(1-t)}{(1+t)^2 + 3(1-t)^2}\right)
$$

$$
\geq \frac{\sqrt{3}}{2}\left(1 - \frac{4(1-t)}{(1+t)^2 + 3(1-t)^2}\right) = \frac{2\sqrt{3}t^2}{(1+t)^2 + 3(1-t)^2} > 0
$$

whenever $t \in (0, 1)$. Then we recall that the isoperimetric profile $I(m_1, m_2)$ is symmetric and concave (see $[21]$) thus we only need to show that

$$
p'(t) = \nabla I(m_1, m_2) \cdot (m'_1(t), m'_2(t)) \ge 0.
$$
 (B.29)

Notice that $m'_1(t) > |m'_2(t)| = -m'_2(t)$ for all $t \in (0, 1)$, which follows by the fact that m_1 is increasing, m_2 is decreasing, and the derivative of $m_1(t) + m_2(t)$ is positive according to [\(B.28\)](#page-38-3). Therefore if we set

$$
v = (m'_1, m'_2),
$$
 $\sigma = \frac{m_1 + m_2}{m'_1 - m'_2},$ $\mu = m_1 - \sigma m'_1 = m_2 - \sigma m'_2,$

then by concavity and symmetry of $I(m_1, m_2)$, and since $\nabla I(\mu, \mu) = (\lambda, \lambda)$ for some $\lambda > 0$,

$$
\nabla I(m_1, m_2) \cdot v \ge \nabla I(\mu, \mu) \cdot v = \lambda(m'_1 + m'_2) \ge 0,
$$

thus proving [\(B.27\)](#page-38-4). Now we notice that

$$
B(t) = \frac{P(\mathcal{E}_0)}{4} \left(t^3 g(\theta_2) + g(\theta_1) \right)
$$

where $t^3g(\theta_2) + g(\theta_1)$ is negative decreasing on [1/2, 1) as both $g(\theta_1)$ and $t^3g(\theta_2)$ are negative decreasing on $[1/2, 1)$ (recall [\(B.6\)](#page-34-1), [\(B.8\)](#page-34-3) and [\(B.26\)](#page-38-1)). Being the product of a positive increasing function with a negative decreasing function, $B(t)$ must be negative decreasing on [1/2, 1), as claimed in [\(B.23\)](#page-38-2).

In order to prove [\(B.24\)](#page-38-2) we notice that L is the product of m_1/r_1^2 (which is positive and increasing on $(0, 1)$ by $(B.14)$), $r_1/6 = t/6$, and $G(t)$ (which is positive and increasing on [1/2, 1) thanks to [\(B.11\)](#page-35-4)). Finally we prove [\(B.25\)](#page-38-2). Indeed we have $g(\theta_1(t)) g(\theta_2(t)) > 0$ when $t \in (1/2, 1)$ and

$$
\begin{aligned} \left(g(\theta_1(t))\,g(\theta_2(t)\right)' &= \theta_0'\left(g'(\theta_2)g(\theta_1) - g'(\theta_1)g(\theta_2)\right) \\ &= \theta_0'\left(g(\theta_1)g(\theta_2)\right)\frac{g'(\theta_2)g(\theta_1) - g'(\theta_1)g(\theta_2)}{g(\theta_1)g(\theta_2)} \\ &= \theta_0'\left(g(\theta_1)g(\theta_2)\right)\left(\frac{g'(\theta_2)}{g(\theta_2)} - \frac{g'(\theta_1)}{g(\theta_1)}\right) \end{aligned}
$$

By a direct computation $g'(\theta)/g(\theta)$ is strictly decreasing, so that $\theta_1 < \theta_2$ coupled with $\theta'_0 < 0$ and the above identity implies that

$$
g(\theta_1)g(\theta_2)
$$
 is increasing and positive on [1/2, 1). (B.30)

By combining $(B.30)$ and $(B.28)$ we find $(B.25)$.

We are ready for the proof of $(B.22)$. Having proved in $(B.23)$, $(B.24)$ and $(B.25)$ that $-B$, L and D are all positive and increasing on [1/2, 1), in order to show that $L + D > -B$ on [1/2, 1) it is enough to check that

$$
(L+D)(1/2) > 0.18 > 0.17 > -B(3/5)
$$
\n
$$
(L+D)(3/5) > 0.37 > 0.35 > -B(3/4)
$$
\n
$$
(L+D)(3/4) > 0.68 > 0.67 > \frac{\frac{2\pi}{3} + \frac{\sqrt{3}}{4}}{\frac{2\pi}{3} + \sqrt{3}} = -B(1).
$$
\n(B.31)

This can be done by numerical evaluation of these functions at the specified values. (Note that the formula for $B(t)$ which is obtained by combining [\(B.2\)](#page-33-1) with [\(B.17\)](#page-37-4) is not defined at $t = 1$. To compute the value of $B(1)$ one needs to take a limit, and this is how the value of $B(1)$ given above has been computed). The proof of $\beta_1 \beta_2 > \beta_3^2$ on [1/2, 1) is complete.

Proof of the lower bound when $t \in (0, 1/2]$. Since the most involved functions appear in $A + C$, we shall work with a rather loose lower bound on $A + C$, and compensate for this sloppiness by obtaining a rather precise upper bound on $-(B + D)$. We start noticing that

$$
B(t) + D(t) = \frac{1}{2} \left(\frac{m_1}{r_1} + \frac{m_2}{r_2} \right) \left(t^3 g(\theta_2) + g(\theta_1) \right) + g(\theta_1) g(\theta_2) \left(m_1 + m_2 \right)^2.
$$

Since $g(\theta_1) \ge 0$ on $(0, 1/2]$ and $g(\theta_2)$ is increasing on $(0, 1)$, we can definitely replace $g(\theta_2(t))$ with $g(\theta_2(0)) = -1/2\pi$ to get

$$
B(t) + D(t) \ge \frac{1}{2} \left(\frac{m_1}{r_1} + \frac{m_2}{r_2} \right) \left(g(\theta_1) - \frac{t^3}{2\pi} \right) - \frac{g(\theta_1) (m_1 + m_2)^2}{2\pi}.
$$

Next, by exploiting the definition of m_1 , m_2 , r_1 and r_2 one easily finds that

$$
\frac{m_1}{r_1} + \frac{m_2}{r_2} = \frac{2\pi}{3}(1+t) + w(t)\,\theta_0(t) \qquad w(t) = \frac{t^2 - t + 1}{1 - t}.
$$

From this expression we find that $m_1/r_1 + m_2/r_2$ is convex on [0, 1/2]: indeed

$$
w'(t) = \frac{(2-t)t}{(1-t)^2} \quad w''(t) = \frac{2}{(1-t)^3} \quad \theta'_0(t) = -\frac{\sqrt{3}}{2} \frac{1}{t^2 - t + 1} \quad \theta''_0(t) = \frac{\sqrt{3}}{2} \frac{2t - 1}{(t^2 - t + 1)^2}
$$

so that $w''\theta_0 + 2 w'\theta'_0 + w \theta''_0 \ge 0$ at some t if and only if

$$
\frac{2}{(1-t)^3} \theta_0(t) \ge \frac{\sqrt{3}(t+1)}{2(1-t)^2(t^2-t+1)}
$$

p

or, equivalently

$$
\theta_0(t) \ge \frac{\sqrt{3}(1-t^2)}{4(t^2-t+1)}
$$

Now $\theta_0(t) \ge \theta_0(1/2) = \pi/6$ for every $t \in [0, 1/2]$, while the right-hand side is always smaller than 1/2. We have thus proved that $m_1/r_1 + m_2/r_2$ is convex on [0, 1/2], and by exploiting this property we easily find that

$$
\pi + \frac{\pi}{3} t \le \frac{m_1}{r_1} + \frac{m_2}{r_2} \le \pi + \left(\pi - \frac{\sqrt{3}}{2}\right)t, \qquad \forall t \in (0, 1/2].
$$
 (B.32)

By [\(B.32\)](#page-41-0) and by $g(\theta_1) \ge 0$ on $(0, 1/2]$, we have

$$
B(t) + D(t) \ge \frac{1}{2} \left(\pi + \frac{\pi}{3} t \right) g(\theta_1) - \frac{t^3}{4\pi} \left(\pi + \left(\pi - \frac{\sqrt{3}}{2} \right) t \right) - \frac{g(\theta_1) \left(m_1 + m_2 \right)^2}{2\pi} . \quad (B.33)
$$

To further simplify [\(B.33\)](#page-41-1) we need the following elementary bound:

$$
m_1 + m_2 \le \pi + \left(\frac{\pi}{3} + \frac{\sqrt{3}}{2}\right)t^2
$$
 $\forall t \in (0, 1/2].$ (B.34)

To obtain [\(B.34\)](#page-41-2) let us notice that

$$
m_1 + m_2 = \frac{2\pi}{3}(1 + t^2) + (1 - t^2)\theta_0(t) + \frac{\sqrt{3}}{2}t
$$

takes the value π at $t = 0$, so that, in order to prove [\(B.34\)](#page-41-2) it suffices to show

$$
\left(\frac{2\pi}{3} + \sqrt{3}\right)t \ge \frac{4\pi}{3}t + \frac{\sqrt{3}}{2} - 2t\theta_0 + (1 - t^2)\theta'_0 \qquad \forall t \in [0, 1/2].
$$
 (B.35)

By noticing that

$$
\frac{\sqrt{3}}{2} + (1 - t^2)\theta'_0 = \frac{\sqrt{3}}{2} \frac{t(2t - 1)}{t^2 - t + 1}
$$

we can simplify a t and rephrase $(B.35)$ as

$$
\sqrt{3} + 2\theta_0 \ge \frac{2\pi}{3} + \frac{\sqrt{3}}{2} \frac{(2t-1)}{t^2 - t + 1} \qquad \forall t \in [0, 1/2].
$$

The function on the right-hand side is bounded from above by $2\pi/3$, while the function on the left-The function on the right-hand side is bounded from above by $2\pi/3$, while the function on the left-
hand side is decreasing, and has $\sqrt{3} + \pi/3 > 2\pi/3$ as its minimum value, on [0, 1/2]; hence [\(B.34\)](#page-41-2) is proved. By combining $(B.34)$ with $(B.33)$ we find

$$
B(t) + D(t) \ge \left(\frac{1}{2}\left(\pi + \pi\frac{t}{3}\right) - \frac{1}{2\pi}\left(\pi + \left(\frac{\pi}{3} + \frac{\sqrt{3}}{2}\right)t^2\right)^2\right)g(\theta_1) - \frac{t^3}{4\pi}\left(\pi + \left(\pi - \frac{\sqrt{3}}{2}\right)t\right) = \left(\frac{\pi}{6}t - \left(\frac{\pi}{3} + \frac{\sqrt{3}}{2}\right)t^2 - \frac{1}{2\pi}\left(\frac{\pi}{3} + \frac{\sqrt{3}}{2}\right)^2t^4\right)g(\theta_1) - \frac{t^3}{4\pi}\left(\pi + \left(\pi - \frac{\sqrt{3}}{2}\right)t\right).
$$

Dividing by t we thus find that

$$
-\frac{B(t) + D(t)}{t} \le E(t) \qquad \forall t \in (0, 1/2)
$$
 (B.36)

where

$$
E(t) = p(t) g(\theta_1(t)) + q(t),
$$

\n
$$
p(t) = \frac{1}{2\pi} \left(\frac{\pi}{3} + \frac{\sqrt{3}}{2}\right)^2 t^3 + \left(\frac{\pi}{3} + \frac{\sqrt{3}}{2}\right) t - \frac{\pi}{6},
$$

\n
$$
q(t) = \frac{t^2}{4\pi} \left(\pi + \left(\pi - \frac{\sqrt{3}}{2}\right)t\right)
$$
\n(B.37)

We first notice that $E(t)$ is negative on [0, 1/5]. Indeed, by $g(\theta_1) \ge 0$ on [0, 1/2], we can use

$$
\frac{\pi}{3} + \frac{\sqrt{3}}{2} \le 2 \qquad \frac{1}{2\pi} \left(\frac{\pi}{3} + \frac{\sqrt{3}}{2} \right)^2 \le \frac{3}{5} \qquad 1 - \frac{\sqrt{3}}{2\pi} \le \frac{4}{5}
$$

to find

$$
E(t) \leq \left(-\frac{\pi}{6} + 2t + \frac{3}{5}t^3\right)g(\theta_1(t)) + \frac{t^2}{4} + \frac{t^3}{5} \qquad \forall t \in [0, 1/2].
$$

Since $g(\theta_1(t))$ is non-negative and decreasing on [0, 1/2] and $-\pi/6 + 2t + 3t^3/5$ is negative on $[0, 1/5]$ we find that

$$
E(t) \leq \left(-\frac{\pi}{6} + 2t + \frac{3}{5}t^3\right)g\left(\theta_1(1/5)\right) + \frac{t^2}{4} + \frac{t^3}{5} \qquad \forall t \in [0, 1/5].
$$

Since

$$
g(\theta_1(1/5)) \le \frac{1}{3} \tag{B.38}
$$

we find

$$
E(t) \leqslant -\frac{\pi}{18} + \frac{2}{3}t + \frac{t^2}{4} + \frac{2t^3}{5} \qquad \forall t \in [0, 1/5].
$$

Since the right-hand side of this inequality is increasing, and its value at $1/5$ is $(1099/7500)$ – $\pi/18$ < 0, we conclude that $E \le 0$ on [0, 1/5]. By [\(B.36\)](#page-41-4), $B + D \ge 0$ on [0, 1/5], and thus $\beta_1\beta_2 - \beta_3^2 > 0$ on $(0, 1/5]$ by [\(B.16\)](#page-37-2). We are thus left to prove the positivity of $A + B + C + D$ on [1/5, 1/2). We start bounding $A + C$ from below by noticing that, since $h_2 \ge 0$ on [0, 1] and $h_1 \geq 1/2$ on [0, 1/2],

$$
\frac{A(t) + C(t)}{t} = \frac{G(t)}{t} \left(h_1(t) \frac{m_1(t)}{t} + h_2(t) \frac{m_2(t)}{t} \right) \ge \frac{G(t)}{2} \frac{m_1(t)}{t^2}.
$$
 (B.39)

Now let us consider the formula

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$$
\frac{m_1(t)}{t^2} = \frac{2\pi}{3} - \frac{\sqrt{3}}{2} \frac{1}{1-t} + \left(\frac{1}{(1-t)^2} - 1\right) \arctan\left(\sqrt{3} \frac{1-t}{1+t}\right).
$$

As arctan($\overline{3}(1-t)/(1+t)$ is concave on $(0, 1/2)$ with values $\pi/3$ at $t = 0$ and $\pi/6$ at $t = 1/2$, one has

$$
\arctan\left(\sqrt{3}\frac{1-t}{1+t}\right) \ge \frac{\pi}{3} \left(1-t\right) \qquad \forall t \in (0,1/2)
$$

and thus

$$
\frac{m_1(t)}{t^2} \ge \frac{\pi}{3} \left(1 + t \right) + \left(\frac{\pi}{3} - \frac{\sqrt{3}}{2} \right) \frac{1}{1 - t} \qquad \forall t \in (0, 1/2).
$$

The function on the right-hand side of this last inequality is trivially convex, so that, bounding it from below with its tangent line at $t = 0$ we find

$$
\frac{m_1(t)}{t^2} \ge \left(\frac{2\pi}{3} - \frac{\sqrt{3}}{2}\right)(1+t) \qquad \forall t \in (0, 1/2],
$$
 (B.40)

By plugging $(B.40)$ in $(B.39)$ we find

$$
\frac{A(t) + C(t)}{t} \ge g(\theta_0(t)) \frac{(1-t)^3}{2} \left(\frac{2\pi}{3} - \frac{\sqrt{3}}{2}\right) (1+t) \qquad \forall t \in [0, 1/2].
$$
 (B.41)

Notice that $g(\theta_0)$ is convex on [0, 1/2]: indeed, by simple direct calculations, g is strictly decreasing and convex, while θ_0 is strictly decreasing and concave on [0, 1/2], hence by

$$
(g(\theta_0))'' = g''(\theta_0)(\theta'_0)^2 + g'(\theta_0)\theta''_0
$$

we see that $g(\theta_0)'' > 0$ on [0, 1/2]. Now we find

$$
g(\theta_0(1/5)) = \frac{\sqrt{3}}{2(\sqrt{3}\arctan(2/\sqrt{3}) - 2)} \ge \frac{3}{2}
$$

$$
(g(\theta_0))'(1/5) = \frac{25}{7\sqrt{3}(\sqrt{3}\arctan(2/\sqrt{3})) - 2} \ge 7,
$$

so that

$$
g(\theta_0(t)) \geq \frac{3}{2} + 7\left(t - \frac{1}{5}\right) \qquad \forall t \in [1/5, 1/2].
$$

By this last inequality, and noticing that $2\pi/3 \geq 1 +$ $3/2$, we deduce that

$$
\frac{A(t) + C(t)}{t} \ge F(t) \qquad \forall t \in [1/5, 1/2]. \tag{B.42}
$$

where we have set

$$
F(t) = \left(\frac{3}{2} + 7\left(t - \frac{1}{5}\right)\right) \frac{(1-t)^3}{2} (1+t) = \frac{1+68t-140t^2+2t^3+139t^4-70t^5}{20}.
$$

It is easily seen that $F''(t) = (-280 + 12t + 1668t^2 - 1400t^3)/20 \le 0$ for $t \in [1/5, 1/2]$. Hence $F(t)$ is concave on [1/5, 1/2], with

$$
F(1/5) = \frac{288}{625} > \frac{27}{80} = F(1/2) > \frac{1}{3},
$$

so that we conclude $F \ge 1/3$ on [1/5, 1/2]. By [\(B.16\)](#page-37-2), [\(B.36\)](#page-41-4), [\(B.42\)](#page-43-1) we are left to prove

$$
E(t) \le \frac{1}{3} \qquad \forall t \in [1/5, 1/2].
$$
 (B.43)

We first notice that

$$
p(1/5) = \frac{-896\pi^2 + 304(\sqrt{3})^3 \pi + 27}{9000 \pi} < 0 \qquad p(1/3) = \frac{112\sqrt{3}^3 \pi + 27 - 104\pi^2}{1944\pi} > 0
$$

so that there exists a unique $t_0 \in \mathbb{R}$ such that $p(t_0) = 0$ and $t_0 \in (1/5, 1/3)$. Thus

 $E(t) = p(t) g(\theta_1(t)) + q(t) \leq q(t) \quad \forall t \in [1/5, t_0]$

and since $q(t)$ is increasing on $t \ge 0$ with

$$
q(1/2) = \frac{1}{16\pi} \left(\pi + \left(\pi - \frac{\sqrt{3}}{2} \right) \frac{1}{2} \right) = \frac{6\pi - \sqrt{3}}{64\pi} < \frac{1}{10}
$$

we have completed the proof of [\(B.43\)](#page-43-2) for $t \in [1/5, t_0]$. Now let $t \in [t_0, 1/2]$. Since $p(t) \ge 0$ on $[t_0, 1/2]$, we need an upper bound on $g(\theta_1)$. We just notice that $g(\theta_1)$ is decreasing on [0, 1/2] so that, being $t_0 \geq 1/5$, we can definitely say that

$$
E(t) \leq p(t) g(\theta_1(1/5)) + q(t)
$$

Now, $p(t)$ and $q(t)$ are increasing on $t \ge 0$ with

$$
p(t) \le p(1/2) = \frac{4\pi^2 + 52\sqrt{3}^3 \pi + 27}{576\pi} < \frac{3}{5} \qquad \forall t \in [1/5, 1/2]
$$

and where $g(\theta_1(1/5))$ < 1/3 as seen in [\(B.38\)](#page-42-0): hence, putting all together,

$$
E(t) \leq \frac{3}{5} \frac{1}{3} + \frac{1}{10} = \frac{3}{10} \qquad \forall t \in [t_0, 1/5].
$$

This concludes the proof of [\(B.43\)](#page-43-2), thus of the positivity of $\beta_1 \beta_2 - \beta_3^2$ on (0, 1].

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