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On the integrality of the Witten–Reshetikhin–Turaev 3-manifold invariants

Anna Beliakova, Qi Chen, and Thang Le¹

Abstract. We prove that the SU(2) Witten–Reshetikhin–Turaev invariant of any 3-manifold with any colored link inside at any root of unity is an algebraic integer. As a byproduct, we get a new proof of the integrality of the SO(3) Witten–Reshetikhin–Turaev invariant for any 3-manifold with any colored link inside at any root of unity of odd order.

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1. Introduction

In the late 1980s, Witten [34], using path integral (which is not mathematically rigorous), constructed an invariant $\tau_M^G(\xi) \in \mathbb{C}$ of a closed oriented 3-manifold M, a simple Lie group G, and a root of unity ξ . Reshetikhin and Turaev [30] gave a rigorous construction of $\tau_M^G(\xi)$ for the case G = SU(2). The construction was later generalized to

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the case when *G* is a simple, compact, connected, and simply-connected Lie group, with some restriction on the order of the root ξ of unity. Moreover, the invariant was extended to pairs (M, L), where $L \subset M$ is a framed oriented link whose components are colored by finite-dimensional *G*-modules. We will call $\tau_{M,L}^G(\xi)$ the *quantum* or *Witten–Reshetikhin–Turaev invariant* of *M* with a colored link *L* inside.

For more than twenty years, the problem of integrality of the Witten–Reshetikhin– Turaev invariants has been intensively studied. The interest to this problem was drawn by the theory of perturbative 3-manifold invariants generalizing those of Casson and Walker [29], by the construction of Integral Topological Quantum Field Theories (see [6] and [9]) and their topological applications and more recently, by attempts to categorify the Witten–Reshetikhin–Turaev invariants [15].

In the case G = SU(2), there is a projective version $\tau_M^{SO(3)}(\xi)$, introduced by Kirby and Melvin [16] and defined at roots of unity of *odd* orders. This projective version, when defined, determines the SU(2) version.

In this paper we completely solve the integrality problem for both SO(3) and SU(2) versions of the Witten–Reshetikhin–Turaev invariant for all 3-manifolds with arbitrary colored links inside. Before stating our results, let us give a brief introduction into the history of this subject.

In 1995 Murakami [26] established the integrality of the Witten–Reshetikhin– Turaev SO(3)-invariant for rational homology 3-spheres at roots of unity of *prime orders*. This result was extended to all 3-manifolds by Masbaum and Roberts [23]. Masbaum and Wenzl [24], and independently Takata and Yokota [31], proved the integrality of the projective Witten–Reshetikhin–Turaev SU(n)-invariant for all 3-manifolds, always under the assumption that the orders of the roots of unity are *prime*. Finally Le [19] established the integrality of the projective Witten–Reshetikhin–Turaev invariant associated with any compact simple Lie group, again at roots of unity of prime orders.

The case for the roots of unity of *non-prime* orders is more complicated. The first integrality result for *all roots of unity* was obtained by Habiro [12] in the case of SU(2) and *integral homology 3-spheres*. Habiro's proof relies on the existence of the unified invariant for integral homology 3-spheres as an element of Habiro's ring, a certain cyclotomic completion of the polynomial ring $\mathbb{Z}[q]$. This unified invariant is a kind of generating function for the set of Witten–Reshetikhin–Turaev SU(2) invariants at all roots of unity. The integrality in this approach follows directly from the general properties of Habiro's ring.

Habiro and Le [13] subsequently defined the unified Witten–Reshetikhin–Turaev invariant for all *simple Lie groups* and *integral homology 3-spheres*, thus proving that the Witten–Reshetikhin–Turaev invariant of any *integral homology 3-sphere* associated to any simple Lie group and any root of unity is always an algebraic integer. However, the case of manifolds other than homology spheres was unknown, even with G = SU(2).

In this paper we give a complete solution for the integrality problem for *all 3-manifolds* with *arbitrary* link inside at *all roots* of unity for the case of the group SU(2). Our invariants are normalized as in [16] and we show that integrality in that case implies integrality for all other normalizations used in the literature.

Theorem 1. The Witten–Reshetikhin–Turaev SU(2)-invariant of any 3-manifold M with any colored link inside at any root of unity is an algebraic integer.

Theorem 2. The Witten–Reshetikhin–Turaev SO(3)-invariant of any 3-manifold M with any colored link inside at any root of unity of odd order is an algebraic integer.

Theorem 2 is a generalization of a result in [4] to manifolds which contain a link inside. However, we give here a new independent proof along the same lines as in the SU(2) case. Theorem 1 is the main result of the paper. The key new ideas used in the proofs are the following.

One of the main tools is a significant generalization of some divisibility result (Theorem 3.2) which was originally obtained in [20] using a number-theoretical identity of Andrews [1], whose special cases are the classical Rogers–Ramanujan identities.

Further, to include the case of even colored links in 3-manifolds, we had to introduce a new basis for the Grothendieck ring of the quantum sl(2), which is orthogonal to the odd part of the center with respect to the Rosso form. This led to an important new result (Theorem 2.1) generalizing that of Habiro, which states that the colored Jones polynomial can be presented as a sum of integral "blocks". This result is proved in the Appendix, and it is of independent interest in the quantum link invariant theory.

For manifolds obtained by surgery along links with diagonal linking matrix we show that the contribution of each integral block to the Witten–Reshetikhin–Turaev invariant is integral by using our main tool (Theorem 3.2). The general case can be reduced to the diagonal one by using some classification results for linking pairings. However, it is more demanding in the SU(2) case than in the SO(3) one, since the linking pairings on abelian groups of even order are more complicated [14].

As a byproduct, we generalize the relationship between SU(2) and SO(3) invariants at odd roots of unity to the case when a 3-manifold contains an arbitrary colored link inside. For the empty link and links colored by the fundamental representation, this relationship was established in [16] and [23], respectively.

At the moment of this writing, our proof cannot be generalized to higher-ranked Lie groups because we do not have an analog of Theorem 2.1 (splitting into integral blocks) in those cases. The paper is as self-contained as possible. The only two results used without proofs here are [20], Theorem 7, and [3], Theorem 2.

We organize this paper as follows. In Section 2 we fix notations, recall the definition of the Witten–Reshetikhin–Turaev invariant and state a generalization of Habiro's result. The main strategy of our proofs is explained in Section 2.6. In Section 3 we prove some divisibility results for generic values of the quantum parameter. Formulas related to roots of unity are proved in Section 4. Section 5 deals with the symmetry principle and the splitting of the SU(2) invariant at odd roots of unity into the product of the SO(3) and Deloup's invariants. Section 6 discusses how to construct 3-manifolds that can be obtained by surgery along links with diagonal linking matrices. The last two sections are devoted to the proofs of Theorem 2 and Theorem 1, respectively.

2. The colored Jones polynomial and the Witten-Reshetikhin-Turaev invariant

2.1. Notations. Let $q^{1/4}$ be a formal parameter. Set

$$\{n\} \stackrel{\text{def}}{=} q^{n/2} - q^{-n/2}, \quad \{n\}! \stackrel{\text{def}}{=} \prod_{i=1}^{n} \{i\}, \quad [n] \stackrel{\text{def}}{=} \frac{\{n\}}{\{1\}}, \quad \begin{bmatrix}n\\k\end{bmatrix} \stackrel{\text{def}}{=} \frac{\{n\}!}{\{k\}!\{n-k\}!},$$

and

$$(z;q)_m = \prod_{i=0}^{m-1} (1-q^i z), \quad {\binom{m}{n}} \stackrel{\text{\tiny def}}{=} \frac{(q^{m-n+1};q)_n}{(q;q)_n} = q^{(m-n)n/2} {\binom{m}{n}}.$$

Throughout this paper, let ξ be a primitive root of unity of order r and $\xi^{1/4}$ be a complex number such that $(\xi^{1/4})^4 = \xi$. There are four possible choices for $\xi^{1/4}$, and we will make some restrictions later.

When working in the SO(3) case, we will always assume that $r \ge 3$ is *odd*. In the SU(2) case, $r \ge 2$ will be an arbitrary positive integer.

For $f \in \mathbb{Q}[q^{\pm 1/4}]$, we define the following evaluation map

$$\operatorname{ev}_{\xi}(f) \stackrel{\text{\tiny def}}{=} f|_{q^{1/4} = \xi^{1/4}}.$$

It should be noted that although we write $ev_{\xi}(f)$, this quantity depends on the choice of a 4-th root $\xi^{1/4}$ of ξ .

If f is a function on non-negative integers n_1, \ldots, n_k with values in $\mathbb{Q}[q^{\pm 1/4}]$, we define

$$\sum_{\substack{n_1,\dots,n_k \\ n_j \text{ odd}}} \xi_{j}^{\xi,\text{SO}(3)} f \stackrel{\text{\tiny def}}{=} \frac{1}{4^k} \sum_{\substack{n_j = 0 \\ n_j \text{ odd}}}^{4r-1} \text{ev}_{\xi}(f) \quad \text{and} \quad \sum_{\substack{n_1,\dots,n_k \\ n_1,\dots,n_k}} \xi_{j}^{\xi,\text{SU}(2)} f \stackrel{\text{\tiny def}}{=} \frac{1}{4^k} \sum_{\substack{n_j = 0 \\ n_j = 0}}^{4r-1} \text{ev}_{\xi}(f).$$

All 3-manifolds in this paper are supposed to be closed and oriented. Every link in a 3-manifold is framed, oriented and has ordered components.

2.2. The colored Jones polynomial. Suppose *L* is a framed oriented link in S^3 with *m* ordered components. For an *m*-tuple of non-negative integers $\mathbf{n} = (n_1, \dots, n_m)$,

one has the colored Jones polynomial $J_L(n) \in \mathbb{Z}[q^{\pm \frac{1}{4}}]$, see e.g. [32] and [25]. The number n_i is usually called the *color* of the *i*-th component, and stands for the n_i -dimensional irreducible sl_2 -representation in the theory of quantum link invariants. We use the normalization so that $J_U(n) = [n]$ where U is the unknot with 0 framing. It is well known that if \tilde{L} is obtained from L by increasing the framing on the *i*-th component by 1 then

$$J_{\tilde{L}}(n) = q^{\frac{n_{\tilde{L}}^2 - 1}{4}} J_L(n).$$
(1)

Although there are fractional powers $q^{\pm 1/4}$, there exists an integer $a = a(L, \mathbf{n})$ such that $J_L(\mathbf{n}) \in q^{a/4} \mathbb{Z}[q^{\pm 1}]$. For a precise formula of a see [18]. This formula implies that if all the colors n_j 's are odd, then $J_L(\mathbf{n}) \in \mathbb{Z}[q^{\pm 1}]$.

2.3. Habiro's expansion and its generalization. Assume that $L \sqcup L'$ is a framed link in S^3 with disjoint sublinks L and L'. Suppose L has m ordered components and L' has l ordered components. Fix an l-tuple of positive integers $s = (s_1, \ldots, s_l)$, and let's consider $J_{L \sqcup L'}(n, s)$ as a function on m-tuples $n = (n_1, \ldots, n_m)$. Since s is fixed, we will remove it from the notation for simplicity. The function $J_{L \sqcup L'}(n)$ can be rearranged into another function $c_{L \sqcup L'}(k)$ generalizing an important result of Habiro [12], Theorem 8.2.

To state the result we need to introduce a few notations. Let $\tilde{\ell}_{ij}$ be the linking number between the *i*-th component of *L* and the *j*-th component of *L'*. For any i = 1, ..., m, we define

$$\varepsilon_i \in \{0, 1\}$$
 by $\varepsilon_i \stackrel{\text{def}}{=} \sum_{j=1}^l \tilde{\ell}_{ij}(s_j - 1) \pmod{2}.$ (2)

Theorem 2.1. Assume that $L \sqcup L' \subset S^3$ is as described above. Suppose that L has linking matrix equal to 0. Then for every m-tuple $\mathbf{k} = (k_1, \ldots, k_m)$ of non-negative integers with $k = \max(k_1, \ldots, k_m)$ there exists

$$c_{L\sqcup L'}(\mathbf{k}) \in \frac{(q^{k+1};q)_{k+1}}{1-q} \mathbb{Z}[q^{\pm 1/4}]$$
 (3)

such that for every m-tuple $\mathbf{n} = (n_1, \dots, n_m)$ of non-negative integers,

$$J_{L\sqcup L'}(\boldsymbol{n}) = \sum_{k_i \ge 0} c_{L\sqcup L'}(\boldsymbol{k}) \prod_{i=1}^{m} {n_i + k_i \brack 2k_i + 1} \{k_i\}! \frac{\lambda_{n_i}^{\varepsilon_i}}{\lambda_{k_i+1}^{\varepsilon_i}}$$
(4)

where $\lambda_n = q^{n/2} + q^{-n/2}$.

For the case when all $\varepsilon_i = 0$, or, in particular, when all s_i 's are odd, the statement is equivalent to [2], Theorem 3. A proof of Theorem 2.1 is given in the Appendix.

Note that for a fixed **n** the right hand side of (4) is a finite sum because $\begin{bmatrix} n+k\\2k+1 \end{bmatrix} = 0$ if n < k.

This is the presentation of the colored Jones polynomial as a sum of integral blocks mentioned in Introduction. The existence of $c_{L\cup L'}(k) \in \mathbb{Q}(q^{1/4})$ that satisfies (4) is easy to prove. The real content of Theorem 2.1 is the integrality (3).

2.4. The Witten–Reshetikhin–Turaev invariant. We review here the definition of the Witten–Reshetikhin–Turaev SU(2) invariant of a 3-manifold M with a colored link L' inside [30] and its SO(3) version [16].

We use the convention that the pair (M, L') is obtained from (S^3, L') by surgery along L. Here L' is an s-colored framed link. For G = SU(2) or G = SO(3) set

$$F_{L\sqcup L'}^{G}(\xi) \stackrel{\text{\tiny def}}{=} \sum_{n_1, \dots, n_m} \stackrel{\xi, G}{\left\{ J_{L\sqcup L'}(n) \prod_{i=1}^m [n_i] \right\}}.$$
(5)

For simplicity, we assume here that all entries of s are odd if G = SO(3). In general for G = SO(3), we have to multiply (5) by a power of ξ , depending on the linking matrix of L' and the parity of colors. This is done in Section 5.2. Since the additional factor is a unit, it does not affect integrality.

We want to emphasize that although it is not explicit from the notation, equation (5)depends on a choice of a 4-th root $\xi^{1/4}$ of ξ .

It is known that $F_{L\sqcup L'}^G(\xi)$ is invariant under the handle slide move and if normal-ized appropriately, is an invariant of the pair (M, L').

Let U^{\pm} be the unknot with ± 1 framing. It is easy to see that $F_{U^{-}}^{G}(\xi)$ is the complex conjugate of $F_{U^+}^G(\xi)$. Let

$$\mathcal{D}^G \stackrel{\text{\tiny def}}{=} |F_{U^+}^G(\xi)| = \sqrt{F_{U^+}^G(\xi) F_{U^-}^G(\xi)} \,.$$

This number is called the *rank* of a TQFT in [32]. We normalize by dividing (5)by certain powers of $F_{U^{\pm}}^{G}(\xi) \neq 0$. Hence, we want to know when $F_{U^{\pm}}^{G}(\xi) \neq 0$. The following is probably known. For completeness we include a proof in Section 4.3.

Lemma 2.2. One has $F_{U^{\pm}}^{G}(\xi) = 0$ if and only if

$$G = SU(2)$$
 and $ord(\xi^{1/4}) = 2ord(\xi) = 2r.$ (*)

In [16], [30], and [21] it is assumed that $\operatorname{ord}(\xi^{1/4}) = 4 \operatorname{ord}(\xi)$. However, there are other cases when $F_{U^{\pm}}^{G}(\xi) \neq 0$. Here we consider all of them. In the entire paper we will assume that condition (*) is not satisfied, so that

 $F_{U^{\pm}}^{G}(\xi) \neq 0.$

Then the Witten–Reshetikhin–Turaev invariant of the pair (M, L') is defined by

$$\tau^{G}_{M,L'}(\xi) = \frac{F^{G}_{L\sqcup L'}(\xi)}{(F^{G}_{U^+}(\xi))^{\beta_+}(F^{G}_{U^-}(\xi))^{\beta_-}(\mathcal{D}^G)^{\beta_-}},\tag{6}$$

where β_+ , β_- and β are respectively the number of positive, negative, and 0 eigenvalues of the linking matrix of *L*.

The invariant $\tau_{M,L'}^{\tilde{G}}(\xi)$ is multiplicative with respect to connected sum. If -M is M with the reverse orientation, then $\tau_{-M}^{G}(\xi)$ is the complex conjugate of $\tau_{M}^{G}(\xi)$, and $\tau_{S^3}^{G}(\xi) = 1$.

Remark 2.3. We will prove later that $\mathcal{D}^G \in \mathbb{Z}[\xi^{1/4}, e_8]$, where $e_8 = \exp(\pi \sqrt{-1}/4)$. Note that $\mathbb{Z}[\xi^{1/4}, e_8] = \mathbb{Z}[\exp(2\pi \sqrt{-1}/t)]$, where t = 8r if r is odd and t = 4r if r is even. In the last case, $e_8 \in \mathbb{Z}[\xi^{1/4}]$. Hence *a priori*, $\tau^G_{M,L'}(\xi) \in \mathbb{Q}(\xi^{1/4}, e_8)$. Since the ring of integers of $\mathbb{Q}(\xi^{1/4}, e_8)$

Hence a priori, $\tau_{M,L'}^G(\xi) \in \mathbb{Q}(\xi^{1/4}, e_8)$. Since the ring of integers of $\mathbb{Q}(\xi^{1/4}, e_8)$ is $\mathbb{Z}[\xi^{1/4}, e_8]$, our invariant is algebraically integral if it belongs to $\mathbb{Z}[\xi^{1/4}, e_8]$.

Further, if G = SO(3), M is a rational homology 3-sphere, and all the s_i 's are odd, then $\tau_{M,L'}^{SO(3)}(\xi) \in \mathbb{Q}(\xi)$ by definition. So, in that case integrality means that $\tau_{M,L'}^{SO(3)}(\xi) \in \mathbb{Z}[\xi]$ for any root of unity ξ of odd order.

Relations with other invariants. If we put $\xi^{1/4} \stackrel{\text{def}}{=} \exp(\pi \sqrt{-1/2r})$, then our invariant $\tau_M^{\text{SU}(2)}(\xi)$ and $\tau_M^{\text{SO}(3)}(\xi)$ are respectively $\tau_r(M)$ and $\tau'_r(M)$ in [16]. In that case, our $\mathcal{D}^{\text{SU}(2)}$ equals b^{-1} in the notation of [16].

Again, if $\xi^{1/4} = \exp(\pi \sqrt{-1/2r})$, the original Reshetikhin–Turaev invariant [30] differs from $\tau_r(M)$ by a multiplication with a certain root of unity, so this does not affect integrality.

The set of invariants considered in [23] coincides with ours assuming *r* is an odd prime. More precisely, the invariants $I_{2r}(M)$ and $I_r(M)$, defined in [23] as functions of a variable *A*, coincide with ours $\tau_M^{SU(2)}(\xi)$ and $\tau_M^{SO(3)}(\xi)$ after setting $A = -\xi^{1/4}$ and $A = -\xi^{(r+1)^2/4}$, respectively. At these roots of unity, the SO(3) invariants determine those for SU(2).

Lickorish chose a different normalization and worked with $\tau_M^{SU(2)}(\xi)(\mathcal{D}^{SU(2)})^{\beta}$ in [21]. Clearly, integrality of this invariant will follow from the integrality of $\tau_{M,L'}^G(\xi)$.

2.5. Diagonal case. Of particular importance is the case when the linking matrix of *L* is a diagonal matrix diag (b_1, \ldots, b_m) , $b_i \in \mathbb{Z}$ for any *i*. Let L^0 be the framed link obtained from *L* by switching all the framings to 0. Recall from (2) that for $1 \le i \le m$, $\varepsilon_i \stackrel{\text{def}}{=} \sum_{k=1}^l \tilde{\ell}_{ik}(s_k - 1) \pmod{2}$. Using (1) and (4), we can rewrite $F_{L \sqcup L'}^G(\xi)$ as follows:

$$F_{L\sqcup L'}^{G}(\xi) = \sum_{k_i \ge 0} \operatorname{ev}_{\xi}(c_{L^0 \sqcup L'}(k) / \{1\}^m) \prod_{i=1}^m H^G(k_i, b_i, \varepsilon_i)$$
(7)

where

$$H^{G}(k,b,\varepsilon) \stackrel{\text{\tiny def}}{=} \sum_{n}^{\xi,G} q^{b} \frac{n^{2}-1}{4} \begin{bmatrix} n+k\\2k+1 \end{bmatrix} \frac{\lambda_{n}^{\varepsilon}}{\lambda_{k+1}^{\varepsilon}} \{k\}!\{n\}.$$
(8)

By (5) and (8) we also have

$$F_{U^{\pm}}^{G}(\xi) = \frac{H^{G}(0, \pm 1, 0)}{\operatorname{ev}_{\xi}(\{1\})}.$$
(9)

From (7) and (9) we get the following result.

Proposition 2.4. Suppose the linking matrix of L is $diag(b_1, ..., b_m)$, a diagonal matrix with exactly t non-zero elements $b_1, ..., b_t$. Assume the entries of s are odd when G = SO(3). Then

$$\tau_{M,L'}^{G}(\xi) = \sum_{k_i=0}^{\lfloor \frac{r-2}{2} \rfloor} \operatorname{ev}_{\xi}(c_{L^0 \sqcup L'}(k)) \prod_{i=1}^{t} \frac{H^G(k_i, b_i, \varepsilon_i)}{H^G(0, \operatorname{sn}(b_i), 0)} \prod_{i=t+1}^{m} \frac{H^G(k_i, 0, \varepsilon_i)}{\operatorname{ev}_{\xi}(\{1\})\mathcal{D}^G},$$
(10)

where $sn(b_i)$ is the sign of b_i .

Note that in the above sum the index k_i is from 0 to $\lfloor \frac{r-2}{2} \rfloor$. This is because $(\xi^{k+1}; \xi)_{k+1} = 0$ when k > (r-2)/2, so $\operatorname{ev}_{\xi}(c_{L^0 \sqcup L'}(k)) = 0$ when $k = \max\{k_i\} > (r-2)/2$ according to Proposition 2.1.

To allow an arbitrary coloring s of L' for G = SO(3), we have to multiply the right hand side of (10) by a unit, defined in Section 5.2.

We say that M is *diagonal of prime type*, when M can be obtained by surgery along a link with diagonal linking matrix whose entries are (up to a sign) 0, 1 or prime powers.

2.6. Strategy for the proof of Theorems 1 and 2. We first prove the integrality of $\tau_{M,L'}^G(\xi)$ for the case when *M* is diagonal of prime type. By (10), in this case it suffices to show that

$$\frac{H^G(k, b, \varepsilon)}{H^G(0, \operatorname{sn}(b), 0)} \quad \text{and} \quad \frac{H^G(k, 0, \varepsilon)}{(1 - \xi)\mathcal{D}^G}$$

are algebraic integers when $0 \le k \le \lfloor \frac{r-2}{2} \rfloor$. This is proved in Proposition 7.1 for G = SO(3) and in Proposition 8.1 for G = SU(2), under assumptions *r* is odd and even, respectively.

The general case can be reduced to the diagonal one of prime type by applying some standard results on diagonalization, presented in Section 6. Roughly speaking, M#M becomes diagonal of prime type after adding a diagonalizing manifold N, which is a connected sum of some simple lens spaces. In the SO(3) case, this already solves the problem, since the Witten–Reshetikhin–Turaev invariant of Nis invertible. In the SU(2) case, the Witten–Reshetikhin–Turaev invariants of Nmight be 0. We show that there is an odd colored link $L \subset N$ such that $\tau_{N,L}^{SU(2)}$ is integral and non-zero. However, another difficulty arises since $\tau_{N,L}^{SU(2)}$ is not invertible.

To overcome this difficulty we will look at the connected sum of many copies of M#M with (N, L), which we will show to be diagonal of prime type. Further, we make substantial use of the fact that in any Dedekind domain, every ideal has a unique prime factorization.

The case G = SU(2) and r odd is solved in Section 5. There we generalize the splitting formula of Kirby and Melvin [16] by showing that the SU(2) invariant of any 3-manifold with a colored link inside at a root of unity of odd order is a product of the SO(3) invariant and another integer invariant, previously defined by Deloup.

3. Basic divisibility: the case of generic *q*

In this section we establish a divisibility result for generic q which will help us to prove that each factor of (10) is integral.

3.1. The ideal I_k . Let I_k be the ideal of $\mathbb{Z}[z^{\pm 1}, q^{\pm 1}]$ generated by $(q^a z; q)_k$ for all $a \in \mathbb{Z}$. This ideal plays an important role in the theory of quantum invariants, see [12], [20], and [13].

We will use the following characterization of I_k , which is Proposition 4.3 of [20].

Proposition 3.1. The ideal I_k is the set of all $f \in \mathbb{Z}[z^{\pm 1}, q^{\pm 1}]$ such that $f(q^b, q)$ is divisible by $(q;q)_k$ for every $b \in \mathbb{Z}$.

We will often use the following *q*-binomial formula

$$(q^{a}z;q)_{k} = \sum_{j=0}^{k} (-1)^{j} {\binom{k}{j}} q^{\binom{j}{2}+aj} z^{j}.$$
 (11)

3.2. Divisibility for generic q. For a positive integer k let

$$X_{k} \stackrel{\text{\tiny def}}{=} \frac{(q;q)_{k}}{(q;q)_{\lfloor k/2 \rfloor}} = \prod_{j=\lfloor k/2 \rfloor+1}^{k} (1-q^{j}).$$
(12)

In this paper, a quadratic \mathbb{Z} -polynomial Q(n) is a polynomial of degree ≤ 2 with integer coefficients,

$$Q(n) = a_2 n^2 + a_1 n + a_0, \quad a_0, a_1, a_2 \in \mathbb{Z}.$$

For a quadratic \mathbb{Z} -polynomial Q let

$$\mathscr{L}_{\mathcal{Q}} \colon \mathbb{Z}[z^{\pm 1}, q^{\pm 1}] \longrightarrow \mathbb{Z}[q^{\pm 1}]$$

be the $\mathbb{Z}[q^{\pm 1}]$ -linear map defined by

$$\mathcal{L}_Q(z^j) = q^{\mathcal{Q}(j)}.$$

Note that this map is not an algebra homomorphism if a_2 or $a_0 \neq 0$.

Let σ be the $\mathbb{Z}[q^{\pm 1}]$ -algebra automorphism of $\mathbb{Z}[z^{\pm 1}, q^{\pm 1}]$ defined by $\sigma(z) = z^{-1}$. An important observation is that if $a_1 = 0$, then $\mathcal{L}_Q \sigma = \mathcal{L}_Q$.

Theorem 3.2. Suppose Q is a quadratic \mathbb{Z} -polynomial and $f(z,q) \in I_k$. Then $\mathcal{L}_Q(f)$ is divisible by X_k , i.e.

$$\mathcal{L}_{\mathcal{Q}}(f) \in X_k \mathbb{Z}[q^{\pm 1}].$$

Remark 3.3. This theorem will be used substantially. It is a generalization of [20], Theorem 7, which was proved with the help of Andrews' identity. The case $Q(n) = n^2$ of Theorem 3.2 appeared in [13] for the construction of the unified Witten-Reshetikhin-Turaev invariant.

Proof. By the definition of I_k , it is enough to consider the case $f = z^m (q^a z; q)_k$. Suppose

$$Q(n) = a_2 n^2 + a_1 n + a_0 \, .$$

Let $Q_0(n) = a_2 n^2$. Rewriting f as a sum with help of (11), one can show

$$\mathcal{L}_{Q}(q^{-a_{1}m}z^{m}(q^{a-a_{1}}z;q)_{k}) = q^{a_{0}}\mathcal{L}_{Q_{0}}(z^{m}(q^{a}z;q)_{k}).$$

Hence, the substitution of $q^{-a_1}z$ for z transforms \mathcal{L}_Q into \mathcal{L}_{Q_0} . Without loss of generality, we can further assume $Q = Q_0$.

The rest of the proof is by induction on k.

The case k = 1 is trivial. Suppose that the statement holds true for k - 1. Since

$$z^{m}(q^{a+1}z;q)_{k} - z^{m}(q^{a}z;q)_{k} = q^{a}(1-q^{k})z^{m+1}(q^{a+1}z;q)_{k-1},$$

we see that, by the induction hypothesis, $\mathcal{L}_{Q_0}(z^m(q^{a+1}z;q)_k)$ is divisible by X_k if and only if $\mathcal{L}_{Q_0}(z^m(q^az;q)_k)$ is. Therefore we only need to show the statement for a single value of a. We will take $a = -\lfloor k/2 \rfloor$. The cases of odd and even k will be considered separately.

Suppose k = 2l + 1. Then a = -l and $\mathcal{L}_{Q_0}(z^m(q^{-l}z;q)_{2l+1})$ is divisible by $X_k = (q^{l+1};q)_{l+1}$ by Lemma 3.4 (b) below.

Now suppose k = 2l. Then a = -l and we need to show that for every integer m, X_k divides $\mathcal{L}_{Q_0}(B(m, l))$ where

$$B(m,l) \stackrel{\text{\tiny def}}{=} z^m (q^{-l}z;q)_{2l}.$$

Since

$$B(m,l) - q^{l} B(m+1,l) = z^{m} (q^{-l} z; q)_{2l+1}$$

and $X_k = (q^{l+1}; q)_l$ divides $\mathcal{L}_{Q_0}(z^m(q^{-l}z; q)_{2l+1})$ by Lemma 3.4 (b) below, it is enough to show that X_k divides $\mathcal{L}_{Q_0}(B(m, l))$ for only a single value of m. We choose m = -l, and we will show that $X_k = (q^{l+1}; q)_l$ divides $\mathcal{L}_{Q_0}(B(-l, l))$.

Using that σ is the algebra automorphism of $\mathbb{Z}[z^{\pm 1}, q^{\pm 1}]$ sending z to z^{-1} , we get

$$(\mathrm{id} + \sigma)B(-l, l) = z^{-l}(q^{-l}z;q)_{2l} + z^{l}(q^{-l}z^{-1};q)_{2l}$$

$$= z^{-l}(q^{-l}z;q)_{2l} + z^{-l}q^{-l}(q^{1-l}z;q)_{2l}$$

$$= z^{-l}(q^{1-l}z;q)_{2l-1}(1-q^{-l}z+q^{-l}(1-q^{l}z))$$

$$= z^{-l}(q^{1-l}z;q)_{2l-1}(1-z)(1+q^{-l})$$

$$= -q^{-l}(1+q^{l})y_{l-1},$$

where

$$y_l \stackrel{\text{\tiny def}}{=} z^{-l} (1 - z^{-1}) (q^{-l}z; q)_{2l+1} = (-1)^l q^{-\frac{l(l+1)}{2}} \prod_{j=0}^l (z - q^j) (z^{-1} - q^j).$$

From $\mathcal{L}_{Q_0}\sigma = \mathcal{L}_{Q_0}$ it follows that

$$2\mathcal{L}_{Q_0}(B(-l,l)) = \mathcal{L}_{Q_0}((\mathrm{id} + \sigma)B(-l,l)) = -q^{-l}(1+q^l)\mathcal{L}_{Q_0}(y_{l-1}),$$

which is divisible by $2(1 + q^l)(q^l; q)_l = 2(q^{l+1}; q)_l$ thanks to Lemma 3.4 (a). This completes the induction, whence the proof.

Lemma 3.4. With the same notations as above we have

(a) if f ∈ Z[z^{±1}, q^{±1}] is invariant under σ, then 2(q^{l+1}; q)_{l+1} divides L_{Q0}(fy_l);
(b) for any f ∈ Z[z^{±1}, q^{±1}], L_{Q0}((q^{-l}z; q)_{2l+1} f) is divisible by (q^{l+1}; q)_{l+1}.

Proof. (a) First we prove the case f = 1. We will show that this case follows from [20], Theorem 7, which was proved by using the Andrews identity. In fact we have

$$y_{l} = z^{-l} (1 - z^{-1}) (q^{-l}z; q)_{2l+1}$$

= $z^{-l} (q^{-l}z; q)_{2l+1} - z^{-l-1} (q^{-l}z; q)_{2l+1}.$ (13)

It is easy to see that the two terms of the right hand side of (13) are related by

$$\sigma(z^{-l}(q^{-l}z;q)_{2l+1}) = -z^{-l-1}(q^{-l}z;q)_{2l+1}.$$
(14)

Hence

$$\mathcal{L}_{Q_0}(y_l) = 2\mathcal{L}_{Q_0}(z^{-l}(q^{-l}z;q)_{2l+1})$$
$$= 2\sum_{j=0}^{2l+1} (-1)^j {2l+1 \brack j} q^{a_2(j-l)^2}$$

which, according to [20], Theorem 7, is divisible by

$$2\frac{\{2l+1\}!}{\{l\}!} = 2(-1)^{l+1}q^{-(l+1)(3l+2)/4}(q^{l+1};q)_{l+1}$$

in $\mathbb{Z}[q^{\pm 1/2}]$. Since $\mathcal{L}_{Q_0}(y_l)$ and $2(q^{l+1};q)_{l+1}$ are both in $\mathbb{Z}[q^{\pm 1}]$, (a) is true when f = 1.

Consider the general case. Since $\sigma(f) = f$, f is a polynomial in $(z + z^{-1})$. It is enough to prove (a) for $f = (z + z^{-1})^m$. Since

$$(z + z^{-1})y_l = y_{l+1} + (q^{l+1} + q^{-l-1})y_l$$

 $(z + z^{-1})^m y_l$ is a $\mathbb{Z}[q^{\pm 1}]$ -linear combination of $y_l, y_{l+1}, \ldots, y_{l+m}$. Since we have that $(q^{l+i}; q)_{l+i}$ is divisible by $(q^{l+1}; q)_{l+1}$ for every positive integer *i*, the case $f = (z + z^{-1})^m$ follows from the case f = 1.

(b) For non-negative integer m, using

$$\sigma(z^m(q^{-l}z;q)_{2l+1}) = -z^{-m-2l-1}(q^{-l}z;q)_{2l+1},$$

we get

$$2\mathcal{L}_{Q_0}(z^m(q^{-l}z;q)_{2l+1}) = \mathcal{L}_{Q_0}((\mathrm{id} + \sigma)z^m(q^{-l}z;q)_{2l+1})$$
$$= \mathcal{L}_{Q_0}\left(y_l \sum_{j=-m-l}^{m+l} z^j\right),$$

which is divisible by $2(q^{l+1};q)_{l+1}$ according to (a). Similar argument works for negative *m*.

The following corollary is sometimes more convenient than Theorem 3.2.

Corollary 3.5. For every positive integer k and every quadratic \mathbb{Z} -polynomial Q, X_k divides

$$\sum_{j=0}^{k} (-1)^{j} \binom{k}{j} q^{\mathcal{Q}(j) + \binom{j}{2}}.$$

Proof. From (11) we have

$$\mathscr{L}_{\mathcal{Q}}((z;q)_k) = \sum_{j=0}^k (-1)^j \binom{k}{j} q^{\mathcal{Q}(j) + \binom{j}{2}}.$$

By Theorem 3.2, the left hand side is divisible by X_k , and so is the right hand side. \Box

3.3. Polynomials with *q*-integer values. We also need a generalization of the following classical result in the theory of polynomials with integer values. Suppose that $f(z_1, \ldots, z_n) \in \mathbb{Q}[z_1, \ldots, z_n]$ takes integer values whenever z_1, \ldots, z_n are integers. Then *f* is a \mathbb{Z} -linear combination of $\prod_{i=1}^{n} {\binom{z_i}{k_i}}, k_i \in \mathbb{Z}_{\geq 0}$.

Let us formulate a *q*-analog of this fact.

Proposition 3.6. If $f(z_1, ..., z_n) \in \mathbb{Q}(q)[z_1, ..., z_n]$ satisfies $f(q^{m_1}, ..., q^{m_n}) \in \mathbb{Z}[q^{\pm 1}]$ for every $m_1, ..., m_n \in \mathbb{Z}$, then f is a $\mathbb{Z}[q^{\pm 1}]$ -linear combination of

$$\prod_{i=1}^{n} \frac{(z_i; q)_{k_i}}{(q; q)_{k_i}}, \quad k_i \in \mathbb{Z}_{\ge 0}.$$

Proof. The elements $z_{k} \stackrel{\text{def}}{=} \prod_{i=1}^{n} (z_{i};q)_{k_{i}}/(q;q)_{k_{i}}$, with $k = (k_{1}, \ldots, k_{n}) \in \mathbb{Z}_{\geq 0}^{n}$, form a $\mathbb{Q}(q)$ -basis of $\mathbb{Q}(q)[x_{1}, \ldots, x_{n}]$. Hence there are $c_{k} \in \mathbb{Q}(q)$ such that $f = \sum_{k \in \mathbb{Z}_{\geq 0}^{n}} c_{k} z_{k}$. Only a finite number of c_{k} 's are non-zero. We will show that $c_{k} \in \mathbb{Z}[q^{\pm 1}]$ by induction on $|k| \stackrel{\text{def}}{=} k_{1} + \cdots + k_{n}$.

Suppose k = 0. Let $z_1 = z_2 = \cdots = z_n = 1$, then $z_k = 0$ unless k = 0. Hence $c_0 = f(1, 1, \dots, 1) \in \mathbb{Z}[q^{\pm 1}]$.

Suppose $c_{k} \in \mathbb{Z}[q^{\pm 1}]$ for $|\mathbf{k}| < l$. The z_{k} 's with $|\mathbf{k}| < l$ will be called terms of lower orders. Consider a $\mathbf{k} = (k_{1}, \dots, k_{n})$ with $|\mathbf{k}| = l$. Note that when $z_{i} = q^{-k_{i}}$, $z_{(a_{1},\dots,a_{n})} = 0$ if for some *i* one has $a_{i} > k_{i}$, and $z_{k} = \pm 1$. Hence

 $f(q^{-k_1},\ldots,q^{-k_n}) = \pm c_k + \text{terms of lower orders.}$

By induction, the terms of lower orders are in $\mathbb{Z}[q^{\pm 1}]$. Since the left hand side is in $\mathbb{Z}[q^{\pm 1}]$, we conclude that $c_k \in \mathbb{Z}[q^{\pm 1}]$.

Corollary 3.7. For any integer *a*, the element $(q^a z_1 z_2; q)_k$ is a $\mathbb{Z}[q^{\pm 1}]$ -linear combination of terms

$$\frac{(q;q)_k}{(q;q)_{k_1}(q;q)_{k_2}}(z_1;q)_{k_1}(z_2;q)_{k_2}$$

with $k_1, k_2 \leq k$.

Proof. The evaluation of

$$\frac{(q^a z_1 z_2; q)_k}{(q; q)_k} \in \mathbb{Q}(q)[z_1, z_2]$$

at $z_i = q^{m_i}$ belongs to $\mathbb{Z}[q^{\pm 1}]$ for any m_i . Applying Proposition 3.6 we get the result. Note that k_1 and k_2 should be less than or equal to k by degree reason.

4. Basic results: the case of roots of unity

In this section we prove a basic divisibility result for the case when q is a root of unity ξ of order r. In Section 4.4 the integrality of $H^G(k, b, \varepsilon)/H^G(0, \pm 1, 0)$ will be reduced to that of a simpler quotient.

We use the notation

$$\bar{k} \stackrel{\text{\tiny def}}{=} r - 1 - k,$$

and

$$\binom{m}{n}_{\xi} \stackrel{\text{\tiny def}}{=} \operatorname{ev}_{\xi} \binom{m}{n}, \quad O_{\xi} \stackrel{\text{\tiny def}}{=} (\xi;\xi)_{\lfloor \frac{r-1}{2} \rfloor}, \quad x_{k} \stackrel{\text{\tiny def}}{=} \prod_{j=\lfloor k/2 \rfloor+1}^{k} (1-\xi^{j}) = \operatorname{ev}_{\xi}(X_{k}),$$

where X_k is defined in (12).

4.1. Divisibility. The main divisibility result at roots of unity is formulated below.

Proposition 4.1. For every quadratic \mathbb{Z} -polynomial Q and $f(z,q) \in I_k$ with $0 \le k < r$ we have

$$\sum_{n=0}^{r-1} \xi^{\mathcal{Q}(n)} f(\xi^n, \xi) \in x_k O_{\xi} \cdot \mathbb{Z}[\xi] .$$

We need the following lemma for the proof of Proposition 4.1.

Lemma 4.2. For any integers a, k, with $0 \le k < r$, and any quadratic \mathbb{Z} -polynomial Q, the element

$$y = \sum_{n=0}^{r-1} \xi^{\mathcal{Q}(n)} \binom{n+a}{k}_{\xi}$$

is divisible by $x_{\bar{k}}$.

Proof. Using $1 - \xi^m = -\xi^m (1 - \xi^{r-m})$ we have

$$\binom{n+k}{n}_{\xi} = \frac{(\xi^{k+1};\xi)_n}{(\xi;\xi)_n} = (-1)^n \xi^{kn+n(n+1)/2} \frac{(\xi^{r-k-n};\xi)_n}{(\xi;\xi)_n}$$

$$= (-1)^n \xi^{nk+n+\binom{n}{2}} \binom{\bar{k}}{n}_{\xi}.$$
(15)

Set n' = n + a - k. One has

$$y = \sum_{n=0}^{r-1} \xi^{\mathcal{Q}(n)} \binom{n'+k}{k}_{\xi}$$

= $\sum_{n'=0}^{r-1} (-1)^{n'} \xi^{\mathcal{Q}'(n') + \binom{n'}{2}} \binom{\bar{k}}{n'}_{\xi}$ (16)

by (15), where Q'(n') = Q(n'-a+k) + n'k + n'. In the right hand side of (16), the index n' actually runs from 0 to $\bar{k} - 1$, since $\binom{\bar{k}}{n'}_{\xi} = 0$ if $n' \ge \bar{k}$. The right hand side of (16) is divisible by $x_{\bar{k}}$ by Corollary 3.5.

Proof of Proposition 4.1. The set $\{z^d(zq^a;q)_k: d, a \in \mathbb{Z}\}$ spans I_k over $\mathbb{Z}[q^{\pm 1}]$. So we can assume that $f = z^d(zq^a;q)_k$. Then

$$\frac{\sum_{n=0}^{r-1} \xi^{\mathcal{Q}(n)} f(\xi^n, \xi)}{x_k O_{\xi}} = \frac{(\xi; \xi)_{\lfloor k/2 \rfloor}}{O_{\xi}} \sum_{n=0}^{r-1} \xi^{\mathcal{Q}(n)+dn} \binom{n+a-1}{k}_{\xi}$$
$$\in \frac{(\xi; \xi)_{\lfloor k/2 \rfloor}}{O_{\xi}} x_{\bar{k}} \mathbb{Z}[\xi] \quad \text{(by Lemma 4.2),}$$

which is in $\mathbb{Z}[\xi]$ by Lemma 4.3 (f) below.

4.2. The ring of algebraic integers. It is known that $\mathbb{Z}[\xi]$ is a Dedekind domain with field of fractions $\mathbb{Q}[\xi]$.

Lemma 4.3. a) If (a, r) = (b, r) then $(1 - \xi^a) \sim (1 - \xi^b)$ in $\mathbb{Z}[\xi]$.

b) One has $(\xi; \xi)_{r-1} = r$.

c) Suppose $y \in \mathbb{Q}[\xi]$ and $y^s \in \mathbb{Z}[\xi]$ for some positive integer s. Then $y \in \mathbb{Z}[\xi]$.

d) Suppose $y, z \in \mathbb{Q}[\xi]$ with $z \neq 0$. If $a_s \stackrel{\text{def}}{=} y^s z \in \mathbb{Z}[\xi]$ for infinitely many positive s, then $y \in \mathbb{Z}[\xi]$.

e) One has

$$O_{\xi}^2 \sim \begin{cases} r & \text{if } r \text{ is odd,} \\ r/2 & \text{if } r \text{ is even.} \end{cases}$$

f) For every integer $0 \le k < r$, O_{ξ} divides $(\xi; \xi)_{\lfloor k/2 \rfloor} x_{\bar{k}}$.

Proof. a) Let c = (a, r) = (b, r). Since $1 - \xi^c$ divides $1 - \xi^a$, and also $1 - \xi^a$ divides $1 - \xi^c = 1 - \xi^{aa^*}$ where $aa^* \equiv c \pmod{r}$, we have $1 - \xi^a \sim 1 - \xi^c$. Similarly $1 - \xi^b \sim 1 - \xi^c$.

Part b) is obtained by substituting X = 1 into the following identity:

$$1 + X + \dots + X^{r-1} = \frac{1 - X^r}{1 - X} = \prod_{i=1}^{r-1} (X - \xi^i).$$

Part c) follows from the fact that every Dedekind domain is integrally closed.

d) Let $y = y_1/y_2$ and $z = z_1/z_2$ with $y_1, y_2, z_1, z_2 \in \mathbb{Z}[\xi]$ and $z_i \neq 0$. Then for infinitely many $s > 0, z_1y_1^s = a_s z_2 y_2^s$, and hence

$$(z_1)(y_1)^s = (a_s)(z_2)(y_2)^s, \tag{17}$$

where (x) denotes the principal ideal in $\mathbb{Z}[\xi]$ generated by x. In any Dedekind domain, every ideal decomposes uniquely into a product of prime ideals,

$$(x) = \prod_i \mathfrak{p}_i^{e_i},$$

and this decomposition respects the multiplication. From the uniqueness of prime ideal decomposition and (17), we see easily that $y_2 \mid y_1$, or $y = y_1/y_2 \in \mathbb{Z}[\xi]$.

e) First suppose r is odd. Then $O_{\xi} = (\xi; \xi)_{\frac{r-1}{2}}$. Since $(1 - \xi^k) \sim (1 - \xi^{r-k})$ by part (a), we have

$$O_{\xi}^2 \sim (\xi;\xi)_{r-1} = r$$

Now suppose r is even. Then $O_{\xi} = (\xi; \xi)_{\frac{r-2}{2}}$. Using $(1 - \xi^k) \sim (1 - \xi^{r-k})$, we have

$$O_{\xi}^2 \sim (\xi;\xi)_{r-1}/(1-\xi^{r/2}) = r/2$$

since $\xi^{r/2} = -1$.

f) First suppose r is odd. Note that for odd r, $(1 - \xi^j) \sim (1 - \xi^{2j})$ by part (a). One has

$$x_{\bar{k}} = x_{r-k-1} = \frac{\prod_{j=1}^{r-k-1} (1-\xi^j)}{\prod_{j=1}^{\lfloor \frac{r-k-1}{2} \rfloor} \prod_{j=1}^{(1-\xi^j)} (1-\xi^j)} \\ \sim \frac{\prod_{j=1}^{r-k-1} (1-\xi^j)}{\prod_{j=1}^{\lfloor \frac{r-k-1}{2} \rfloor} (1-\xi^{2j})} \\ \sim (1-\xi)(1-\xi^3) \cdots (1-\xi^{r-2-2\lfloor \frac{k}{2} \rfloor}),$$
(18)

where in the last passage we used $(1-\xi^j) \sim (1-\xi^{2j})$. Using $(1-\xi^j) \sim (1-\xi^{2j}) \sim (1-\xi^{r-2j})$, we have

$$(\xi;\xi)_{\lfloor\frac{k}{2}\rfloor} = \prod_{j=1}^{\lfloor\frac{k}{2}\rfloor} (1-\xi^j) \sim \prod_{j=1}^{\lfloor\frac{k}{2}\rfloor} (1-\xi^{r-2j}).$$
(19)

Multiplying (18) and (19), we get

$$(\xi;\xi)_{\lfloor \frac{k}{2} \rfloor} x_{\bar{k}} \sim \prod_{j=1}^{(r-1)/2} (1-\xi^{2j-1}) \sim O_{\xi},$$
 (20)

where the second ~ follows from the fact that $1 - \xi^{r-a} \sim 1 - \xi^a$ for any integer *a*.

Now suppose r is even.

$$\frac{O_{\xi}}{(\xi;\xi)_{\lfloor\frac{k}{2}\rfloor}} = (1-\xi^{r/2-1})(1-\xi^{r/2-2})\cdots(1-\xi^{\lfloor\frac{k}{2}\rfloor+1})$$

= $(1+\xi)(1+\xi^2)\cdots(1+\xi^{\frac{r}{2}-1-\lfloor\frac{k}{2}\rfloor}).$ (21)

On the other hand there exists $f \in \mathbb{Z}[\xi]$ such that

$$x_{\bar{k}} = x_{r-k-1} = \frac{\prod_{j=1}^{r-k-1} (1-\xi^j)}{\prod_{j=1}^{\lfloor \frac{r-k-1}{2} \rfloor} \prod_{j=1}^{l} (1-\xi^j)}$$
$$= f \frac{\prod_{j=1}^{\lfloor \frac{r-k-1}{2} \rfloor} (1-\xi^{2j})}{\prod_{j=1}^{\lfloor \frac{r-k-1}{2} \rfloor} \prod_{j=1}^{l} (1-\xi^j)}$$
$$= f(1+\xi)(1+\xi^2)\cdots(1+\xi^{\lfloor \frac{r-k-1}{2} \rfloor}).$$

Note that $\lfloor \frac{r-k-1}{2} \rfloor = \frac{r}{2} - 1 - \lfloor \frac{k}{2} \rfloor$ for even *r*. Compare (21) and (22), we see that O_{ξ} divides $(\xi; \xi)_{\lfloor \frac{k}{2} \rfloor} x_{\bar{k}}$.

4.3. Quadratic Gauss sums. For arbitrary integers b and d, the quadratic Gauss sum is defined as rd(t) = 1

$$G(b,d,\xi) \stackrel{\text{\tiny def}}{=} \sum_{n=0}^{\operatorname{ord}(\xi)-1} \xi^{bn^2+dn} \,.$$

The following is well-known.

Proposition 4.4. a) Let $r = \operatorname{ord}(\xi)$ and $c = \operatorname{gcd}(b, r)$. Then

$$G(b, d, \xi) = \begin{cases} c \ G(b/c, d/c, \xi^c) & \text{if } c \mid d; \\ 0 & \text{otherwise.} \end{cases}$$

b) Suppose b and r are co-prime. Then

$$G(b, 0, \xi)^2 \sim \begin{cases} r & \text{if } r \text{ is odd;} \\ 0 & \text{if } r \equiv 2 \pmod{4}; \\ 2r & \text{if } r \equiv 0 \pmod{4}. \end{cases}$$

Furthermore $G(b, b, \xi)^2 = 2r$ if $r \equiv 2 \pmod{4}$.

- c) Suppose d is odd and $r \equiv 0 \pmod{4}$. Then $G(b, d, \xi) = 0$.
- d) Suppose r_1 and r_2 are co-prime and $r = r_1r_2$. Then

$$G(b, d, \xi) = G(br_1, d, \xi^{r_1}) G(br_2, d, \xi^{r_2}).$$
(22)

Proof. Part (a) is clear from the definition when $c \mid d$. Now suppose that $c \nmid d$. We have

$$G(b, d, \xi) = \sum_{t=0}^{r/c-1} \sum_{s=0}^{c-1} \xi^{b(sr/c+t)^2 + d(sr/c+t)}$$
$$= \sum_{t=0}^{r/c-1} \xi^{bt^2 + dt} \sum_{s=0}^{c-1} \xi^{sdr/c} = 0,$$

where the last equality follows from the fact that $\xi^{dr/c} \neq 1$ and its order divides *c*.

b) After a Galois transformation of the form $\xi \to \xi^a$, with *a* co-prime to *r*, one can assume that b = 1 and $\xi = \exp(2\pi i/r)$. The result now follows e.g. from [5], Chapter 2.

c) One has

$$b\left(n + \frac{r}{2}\right)^{2} + d\left(n + \frac{r}{2}\right) = bn^{2} + bnr + br\frac{r}{4} + dn + d\frac{r}{2}$$
$$\equiv bn^{2} + dn + \frac{r}{2} \pmod{r}.$$

Hence

$$G(b, d, \xi) = \sum_{n=0}^{r-1} \xi^{bn^2 + dn}$$

= $\sum_{n=0}^{r-1} \xi^{b(n+r/2)^2 + d(n+r/2)}$
= $\xi^{r/2} \sum_{n=0}^{r-1} \xi^{bn^2 + dn}$
= $-G(b, d, \xi).$

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It follows that $G(b, d, \xi) = 0$.

d) The proof follows easily from the fact that the map $\mathbb{Z}/r_1 \times \mathbb{Z}/r_2 \to \mathbb{Z}/(r_1r_2)$, defined by $(n_1, n_2) \to r_2n_1 + r_1n_2$, is an isomorphism.

Proof of Lemma 2.2. Now we are in position to see that $F_{U^+}^G(\xi) = 0$ if and only if G = SU(2) and $\xi^{1/4}$ has order 2r.

By completing the squares we have

$$\begin{split} (1-\xi)F_{U^+}^G &\sim \frac{2}{1-\xi} \sum_n^{\xi,G} q^{\frac{n^2-1}{4}} (1-q^n) \\ &\sim \frac{2}{1-\xi} \Big(\sum_n^{\xi,G} q^{\frac{n^2-1}{4}} - \xi^{-1} \sum_n^{\xi,G} q^{\frac{(n+2)^2-1}{4}} \Big) \\ &\sim \begin{cases} G(4^*,0,\xi) & \text{if } G = \text{SO}(3); \\ \frac{1}{2}G(1,0,\xi^{1/4}) & \text{if } G = \text{SU}(2) \text{ and } \text{ord}(\xi^{1/4}) = 4r; \\ G(1,0,\xi^{1/4}) & \text{if } G = \text{SU}(2) \text{ and } \text{ord}(\xi^{1/4}) = 2r; \\ 2G(1,0,\xi^{1/4}) & \text{if } G = \text{SU}(2) \text{ and } \text{ord}(\xi^{1/4}) = r. \end{split}$$

Note that for G = SO(3), the sum is over odd *n*'s, so $n^2 - 1$ is always divisible by 4. Hence, for any choice of $\xi^{1/4}$, we have $\xi^{(n^2-1)/4} = \xi^{4^*(n^2-1)}$ with $4^*4 = 1 \pmod{r}$.

If r is even, then $\operatorname{ord}(\xi^{1/4})$ is always 4r. Now Proposition 4.4 (b) implies the claim.

4.4. Simplification of $H^G(k, b, \varepsilon)$

Lemma 4.5. a) For integers k, b, and $\varepsilon \in \{0, 1\}$, there is $f_{\varepsilon}(z, q) \in I_{2k+1+\varepsilon}$ such that

$$H^{G}(k,b,\varepsilon) \sim \frac{2}{x_{2k+1+\varepsilon}} \sum_{n}^{\xi,G} q^{\frac{b(n^2-1)}{4} - \frac{3\varepsilon n}{2}} f_{\varepsilon}(q^n,q).$$

More precisely, one can choose $f_{\varepsilon} = z^{-k}(q^{-k}z;q)_{2k+1+\varepsilon}$.

b) One has $\sqrt{2}, \sqrt{r} \in \mathbb{Z}[\xi^{1/4}, e_8]$ and

$$H^{G}(0, \pm 1, 0) \sim \begin{cases} \sqrt{r} & \text{if } G = \text{SO}(3); \\ \sqrt{2r} & \text{if } G = \text{SU}(2) \text{ and } \operatorname{ord}(\xi^{1/4}) = 4r; \\ 2\sqrt{r} & \text{if } G = \text{SU}(2) \text{ and } \operatorname{ord}(\xi^{1/4}) = r. \end{cases}$$

c) One has $\mathcal{D}^G \in \mathbb{Z}[\xi^{1/4}, e_8]$ and $(1-\xi)\mathcal{D}^G \sim H^G(0, \pm 1, 0)$.

d) Suppose b and r are even. Then $H^{SU(2)}(k, b, 1) = 0$.

Proof. a) We will use the following simple observation: We have

$$\sum_{n}^{\xi,G} q^{\frac{b(n^2-1)}{4}} g(q^{n/2},q) = \sum_{n}^{\xi,G} q^{\frac{b(n^2-1)}{4}} g(q^{-n/2},q)$$
(23)

for every $g(z,q) \in \mathbb{Q}[z^{\pm 1/2}, q^{\pm 1/4}]$. To prove it, one only needs to consider $g(z,q) = z^{a/2}, a \in \mathbb{Z}$. Then

$$\sum_{n}^{\xi,G} q^{\frac{b(n^2-1)}{4}} g(q^{n/2},q) = \sum_{n}^{\xi,G} q^{\frac{b(n^2-1)}{4} + \frac{an}{2}}$$
$$= \sum_{n \to -n}^{\xi,G} q^{\frac{b(n^2-1)}{4} - \frac{an}{2}}$$
$$= \sum_{n}^{\xi,G} q^{\frac{b(n^2-1)}{4}} g(q^{-n/2},q).$$

One can check that $\{n\} \prod_{j=-k}^{k} \{n+j\} = (q^{-kn-n} - q^{-kn})(q^{n-k};q)_{2k+1}$. Then we get

$$\operatorname{ev}_{\xi}\left(\frac{\{2k+1\}!}{\{k\}!}\right)H^{G}(k,b,0) = \sum_{n}^{\xi,G} q^{\frac{b(n^{2}-1)}{4}}\{n\} \prod_{j=-k}^{k} \{n+j\}$$
$$= -2\sum_{n}^{\xi,G} q^{\frac{b(n^{2}-1)}{4}} q^{-nk} (q^{n-k};q)_{2k+1},$$

where the last equality follows from (14), (23) and the fact that

$$q^{-kn-n}(q^{n-k};q)_{2k+1} = -q^{-kn}(q^{n-k};q)_{2k+1}|_{n \to -n}.$$

Analogously, we have

$$ev_{\xi}\left(\frac{\{2k+1\}!}{\{k\}!}\lambda_{k+1}\right)H^{G}(k,b,1)$$

$$=\sum_{n}^{\xi,G}q^{\frac{b(n^{2}-1)}{4}}\{n\}\lambda_{n}\prod_{j=-k}^{k}\{n+j\}$$

$$=-2\sum_{n}^{\xi,G}q^{\frac{b(n^{2}-1)}{4}}q^{-n(k+3/2)}(q^{n-k};q)_{2k+2}.$$

This proves a).

b) Let us first show that $\sqrt{r}, \sqrt{2} \in \mathbb{Z}[\xi^{1/4}, e_8]$. Observe that $\sqrt{2} \in \mathbb{Z}[e_8]$. Further,

$$\prod_{j=1}^{(r-1)/2} |1-\xi^j| = \begin{cases} \sqrt{r} & \text{if } r \text{ is odd;} \\ \sqrt{r/2} & \text{if } r \text{ is even.} \end{cases}$$

Since $|1 - \xi^j| = \pm \sqrt{-1} (\xi^{j/2} - \xi^{-j/2})$, we have $\sqrt{r} \in \mathbb{Z}[\xi^{1/4}, e_4]$.

Part (b) follows now from (9), Proposition 4.4 (b) and the proof of Lemma 2.2.

c) Since $\mathcal{D}_G \stackrel{\text{\tiny def}}{=} |F_{U^+}^G|$, from the proof of Lemma 2.2, we get

$$|1 - \xi|\mathcal{D}^{G} = \begin{cases} \sqrt{r} & \text{if } G = \text{SO}(3); \\ \sqrt{2r} & \text{if } G = \text{SU}(2) \text{ and } \text{ord}(\xi^{1/4}) = 4r; \\ 2\sqrt{r} & \text{if } G = \text{SU}(2) \text{ and } \text{ord}(\xi^{1/4}) = r. \end{cases}$$

Clearly, \sqrt{r} is divisible by $|1 - \xi|$, so $\mathcal{D}^G \in \mathbb{Z}[\xi^{1/4}, e_8]$. The second statement follows from (b).

d) By part (a), it is enough to show that

$$\sum_{n}^{\xi,G} q^{\frac{b(n^2-1)}{4} - \frac{3n}{2}} f(q^n, q) = 0$$

for any $f \in \mathbb{Z}[z^{\pm 1}, q^{\pm 1}]$. We can assume $f = z^a, a \in \mathbb{Z}$. Assume b = 2b'. Then

$$4\sum_{n}^{\xi,\mathrm{SU}(2)} q^{\frac{b(n^2-1)}{4} - \frac{3n}{2}} q^{na} = 2\xi^{-b'/2} \sum_{n=0}^{2r-1} (\xi^{1/2})^{b'n^2 - 3n + 2na}$$
$$= 2\xi^{-b'/2} G(b', 2a - 3, \xi^{1/2}),$$

which is 0 by Proposition 4.4 (c), since $\operatorname{ord}(\xi^{1/2})$ is always 2r if r is even.

4.5. Lens spaces. Suppose $L \sqcup L'$ is the Hopf link with framing $b \neq 0$ on L and framing 0 on L'. Besides, the color of L' is a fixed number a. By surgery on L from (S^3, L') we get the pair (L(b, 1), L'), where L(b, 1) is the lens space. It is known that $J_{L \sqcup L'}(n) = q^{b(n^2-1)/4} [na]$. Hence we have

$$\tau^{G}_{\boldsymbol{L}(b,1),L'}(\xi) = \frac{\sum_{n}^{\xi,G} q^{b(n^2-1)/4}[na][n]}{\sum_{n}^{\xi,G} q^{\operatorname{sn}(b)(n^2-1)/4}[n]^2}.$$
(24)

Note that the invariant of L(b, -1) = L(-b, 1) is just the complex conjugate of (24).

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Lemma 4.6. a) If b and r are co-prime, then $\tau_{\boldsymbol{L}(b,1)}^{SO(3)}$ is invertible in $\mathbb{Z}[\xi]$.

b) Suppose r is even. For $b = 2^k$, there is a knot K in the lens space $M = L(2^k, -1)$ colored by an odd number such that

$$\tau_{M,K}^{\mathrm{SU}(2)}(\xi) \neq 0.$$

Proof. a) The SO(3) invariant of L(b, 1) can be easily computed. By completing the square we have

$$\tau_{\boldsymbol{L}(b,1)}^{\text{SO}(3)}(\xi) = \xi^{(\text{sn}(b)-b)/4} \frac{(1-\xi^{-b^*})}{(1-\xi^{-1})} \frac{G(b,0,\xi)}{G(1,0,\xi)},$$

which is a unit in $\mathbb{Z}[\xi^{1/4}]$ by Proposition 4.4 (b). Here $b^*b \equiv 1 \pmod{r}$.

b) Let $L \sqcup L'$ be the Hopf link with framing $-b = -2^k$ on L and framing 0 on L'. Suppose L' is colored by a = 2s + 1. Surgery on L gives us a pair $(M, K) = (L(2^k, -1), K)$.

An easy calculation shows

$$\tau_{M,K}^{\mathrm{SU}(2)}(\xi) \sim \frac{G(-b, 4s+4, \xi^{1/4}) - G(-b, 4s, \xi^{1/4})}{(1-\xi) G(-1, 0, \xi^{1/4})}.$$
(25)

For b = 2, then $M = \mathbb{R}P^3$. Choose s = 0, or a = 1. Then $\tau_{M,K}^{SU(2)}(\xi) = \tau_M^{SU(2)}(\xi) \neq 0$.

For b = 4 again choose s = 0. Then one and only one term in the numerator of (25) is zero, by Proposition 4.4.

Suppose $b = 2^k > 4$. Then $c \stackrel{\text{def}}{=} (b, 4r) > 4$. By Proposition 4.4 one can choose s such that $G(-b, 4s, \xi^{1/4}) \neq 0$. Then $c \mid 4s$, and c does not divide 4s + 4. Hence $G(-b, 4s + 4, \xi^{1/4}) = 0$. We conclude that $\tau_{M,K}^{SU(2)}(\xi) \neq 0$.

5. Symmetry Principle and splitting of the SU(2) invariant

The symmetry principle of the colored Jones polynomial and the splitting of the SU(2) Witten–Reshetikhin–Turaev invariant were discovered by Kirby and Melvin in [16]. In [18] and [20], Le generalized these to all higher ranked Lie groups. Here we extend the symmetry principle and splitting to the case of pairs of a 3-manifold and a colored link inside. We show that the symmetry principle for a link in an arbitrary 3-manifold holds only for SO(3) invariant, but does not hold for the SU(2) invariant.

5.1. Symmetry Principle for links in S^3 **.** Suppose ξ is a root of unity of order r. Then the colored Jones polynomial at ξ is periodic with period 2r, i.e.

$$\operatorname{ev}_{\xi}(J_L(n_1,\ldots,n_i+2r,\ldots,n_m)) = \operatorname{ev}_{\xi}(J_L(n_1,\ldots,n_i,\ldots,n_m)), \qquad (26)$$

and under the reflection $r - n \rightarrow r + n$ it behaves as follows:

$$\operatorname{ev}_{\xi}(J_L(n_1,\ldots,r+n_i,\ldots,n_m)) = -\operatorname{ev}_{\xi}(J_L(n_1,\ldots,r-n_i,\ldots,n_m)); \quad (27)$$

see [18]. This means that one can restrict the colors to the interval [0, r].

The symmetry principle tells us how J_L behaves under the transformation $n \rightarrow r-n$. More precisely, let $\mathbb{Z}/2\mathbb{Z} = \{0, 1\}$ act on $\mathbb{Z}/r\mathbb{Z}$ by 0 * n = n, 1 * n = r-n. For $\boldsymbol{a} = (a_1, \ldots, a_m) \in \{0, 1\}^m$ and $\boldsymbol{n} = (n_1, \ldots, n_m) \in (\mathbb{Z}/r\mathbb{Z})^m$, let $\boldsymbol{a} * \boldsymbol{n} = (a_1 * n_1, \ldots, a_m * n_m)$. In addition, we set $\hat{n} \stackrel{\text{def}}{=} n - 1$ for any integer $n \in \mathbb{Z}$.

Proposition 5.1. Suppose (ℓ_{ij}) is the linking matrix of *L*. With the notations as above one has

$$\operatorname{ev}_{\xi}(J_L(\boldsymbol{a} * \boldsymbol{n})) = (-\xi^{r/2})^{\sum_i a_i} \xi^t \operatorname{ev}_{\xi}(J_L(\boldsymbol{n})),$$

where

$$t = \frac{r(r-2)}{4} \sum_{i,j} \ell_{ij} a_i a_j + \frac{r}{2} \sum_{i,j} \ell_{ij} a_i \hat{n}_j, \qquad (28)$$

and (ℓ_{ij}) is the linking matrix of L.

Proof. This is the sl₂ case of [18], Theorem 2.6. The factor $(-\xi^{r/2})^{\sum_i \alpha_i}$ comes from the difference between our J_L and Q_L in [18], where Q_L is equal to J_L times the quantum dimensions of the colors on L.

Remark 5.2. If $\operatorname{ord}(\xi^{1/2}) = 2r$, then $-\xi^{r/2} = 1$, and this case was considered in [16]. Proposition 5.1 handles also the case when $\operatorname{ord}(\xi^{1/2}) \neq 2r$, i.e. when $\operatorname{ord}(\xi^{1/2}) = r$.

A simple but useful observation is that if all entries of n are odd, then the second term in (28) is an integer multiple of r, hence can be removed.

5.2. Witten–Reshetikhin–Turaev SO(3) invariant for an arbitrary colored link in M. In the literature, the Witten–Reshetikhin–Turaev SO(3) invariant of the pair (M, L') was defined in the case when all colors of L' are odd or all equal to 2; compare [23]. Here we extend this definition to arbitrary colors. Since the colors of L' will play an important role in this section, we will make the dependence on them explicit in the notation.

Note that SO(3) invariants of M with even colored links inside are not coming from Topological Quantum Field Theories. The main reason is that fusion preserves odd colors. However, fusion of an odd and an even color produces an even color.

This violates the invariance of (6) under sliding in the case when some of the s_i 's are even. We will show that this defect can easily be taken into account by a simple factor depending on the linking matrix and parity of the colors only.

Throughout the remaining of this section let $r = \operatorname{ord}(\xi)$ be odd and let $s = (s_1, \ldots, s_l)$ be the color on L'. Let (ℓ_{ij}) and (p_{ij}) be the linking matrices of L and L' respectively. The linking number between the *i*-th component of L and the *j*-th component of L' will be denoted by $\tilde{\ell}_{ij}$.

Let

$$F_{L\sqcup L'}^{\text{SO(3)}}(\xi;s) \stackrel{\text{\tiny def}}{=} \xi^{\mu(L',s)} \sum_{n_1,\dots,n_m} \xi^{\xi,\text{SO(3)}}[n] J_{L\sqcup L'}(n,s), \tag{29}$$

where $[\mathbf{n}] \stackrel{\text{\tiny def}}{=} \prod_{i=1}^{m} [n_i]$ and

$$\mu(L'; \mathbf{s}) \stackrel{\text{\tiny def}}{=} -\frac{r(r-2)}{4} \sum_{i,j=1}^{l} p_{ij} \hat{s}_i \hat{s}_j.$$

Observe that, when all s_i are odd, (29) coincides with (5).

Lemma 5.3. $F_{L\sqcup L'}^{SO(3)}(\xi; s)$ is invariant under the handle slide of a component of L or L' over a component of L.

Proof. The invariance under sliding of one component of L over another component of L follows by standard arguments; see e.g. [21].

Let $L \sqcup L''$ be the link obtained from $L \sqcup L'$ by sliding a component of L' over a component of L. It is enough to show that

$$F_{L\sqcup L'}^{SO(3)}(\xi; s) = F_{L\sqcup L''}^{SO(3)}(\xi; s).$$

Using the fact that $\hat{s} * \hat{s} * s = s$ for any s with $\hat{s} \equiv s - 1 \pmod{2}$ and Proposition 5.1, we have

$$\xi^{\mu(L',s)} J_{L\sqcup L'}(n,s) = (-\xi^{r/2})^{\sum_i \hat{s}_i} J_{L\sqcup L'}(n,\hat{s}*s).$$
(30)

Here we used the fact $\hat{s} * s$ is always odd, and hence all summands of $\sum p_{ij} \hat{s}_i \hat{s}_j * s_j$ are even.

By the invariance of $F_{L\sqcup L'}^{SO(3)}(\xi, s)$ in the standard case when all colors are odd, we get

$$\sum_{n_1,\dots,n_m} \xi, SO(3)[n] J_{L\sqcup L'}(n, \hat{s} * s) = \sum_{n_1,\dots,n_m} \xi, SO(3)[n] J_{L\sqcup L''}(n, \hat{s} * s).$$
(31)

Further using Proposition 5.1 again, we obtain

$$J_{L\sqcup L''}(n,\hat{s}*s) = (-\xi^{r/2})^{\sum_{i}\hat{s}_{i}} \xi^{\mu(L'',s)} J_{L\sqcup L''}(n,s).$$
(32)

Inserting (30) and (32) into (31) we get the result.

Lemma 5.3 suggests that one can define $\tau_{M,L'}^{SO(3)}(\xi; s)$ for arbitrary *s* by substituting $F_{L\sqcup L'}^{SO(3)}(\xi)$ given by (29) into (6). When all colors of *L'* are odd, the only additional factor $\xi^{\mu(L',s)}$ is 1 and we get back our old invariant.

Corollary 5.4. $\tau_{M,L'}^{SO(3)}(\xi;s)$ is an invariant of the pair (M, L').

Remark 5.5. For a colored link L in the 3-sphere, our invariant equals to

$$\tau_{S^{3},L}^{\text{SO(3)}}(\xi;s) = \xi^{-r(r-2)/4} \sum_{i,j} l_{ij} \hat{s}_{i} \hat{s}_{j} \operatorname{ev}_{\xi}(J_{L}(s))$$

Hence if some colors of L are even, this invariant might differ from the colored Jones polynomial by some factor depending on the linking matrix (l_{ij}) of L.

5.3. Symmetry Principle for the Witten–Reshetikhin–Turaev SO(3) invariant. We use the same notations as in the previous section.

Proposition 5.6. For $a \in \{0, 1\}^l$ and $s \in (\mathbb{Z}/r\mathbb{Z})^l$ one has

$$\tau_{M,L'}^{\mathrm{SO}(3)}(\xi; a * s) = (-\xi^{r/2})^{\sum_i a_i} \tau_{M,L'}^{\mathrm{SO}(3)}(\xi; s).$$

Proof. By Proposition 5.1 we have

$$\sum_{\substack{n_1,...,n_m}} \xi, SO(3)[n] J_{L\sqcup L'}(n, a * s) = (-\xi^{r/2})^{\sum_i a_i} \xi^u \sum_{\substack{n_1,...,n_m}} \xi, SO(3)[n] J_{L\sqcup L'}(n, s),$$

where $u = \frac{r(r-2)}{4} \sum_{i,j} p_{ij} a_i a_j + \frac{r}{2} \sum_{i,j} p_{ij} a_i \hat{s}_j$. Here we use the fact that **n** is odd in the above sum. On the other hand

$$w \stackrel{\text{\tiny det}}{=} \mu(L'; \mathbf{s}) - \mu(L'; \mathbf{a} * \mathbf{s})$$
$$= \frac{r(r-2)}{4} \sum_{i,j} p_{ij} (\widehat{a_i * s_i \hat{a_j} * s_j} - \hat{s}_i \hat{s}_j).$$

Then

$$u - w \equiv \frac{r(r-2)}{4} \sum_{i} p_{ii}(\hat{s}_{i}^{2} - \widehat{a_{i} * s_{i}}^{2} + 2a_{i}\hat{s}_{i} + a_{i}^{2}) + \frac{r}{2} \sum_{i < j} p_{ij}(\hat{s}_{i}\hat{s}_{j} - \widehat{a_{i} * s_{i}}\widehat{a_{j} * s_{j}} + a_{i}\hat{s}_{j} + a_{j}\hat{s}_{i} + a_{i}a_{j}) \equiv 0 \mod r,$$

which can be verified directly.

Remark 5.7. Proposition 5.6 is not true for the Witten–Reshetikhin–Turaev SU(2) invariant. For example, consider the Hopf link with framing 2 on the first component and framing 0 on the second. Surgery on the first component produces a pair ($\mathbb{R}P^3$, K). If $\operatorname{ord}(\xi) = 3$ and $\operatorname{ord}(\xi^{1/4}) = 12$ then $\tau_{M,K}^{SU(2)}(1;\xi) = 0$ and $\tau_{M,K}^{SU(2)}(1*1=2;\xi) \neq 0$.

5.4. Splitting. In [16] it was proved that when both the SO(3) and SU(2) Witten–Reshetikhin–Turaev invariants can be defined, i.e. when r is odd, then one has the splitting

$$\tau_M^{\rm SU(2)}(\xi) = \tau_M^{\mathbb{Z}/2}(\xi) \, \tau_M^{\rm SO(3)}(\xi),$$

where $\tau_M^{\mathbb{Z}/2}(\xi)$ is a simple invariant depending only on the linking pairing of M. Here we generalize this result for invariants of pairs $L' \subset M$. We will follow the approach in [19], where the splitting is generalized to all higher ranked simple Lie algebras.

Let s_1, \ldots, s_l be the colors on L' and set

$$F_{L\sqcup L'}^{\mathbb{Z}/2}(\xi;s) = \xi^{\frac{r(r-2)}{4}\sum p_{ij}\hat{s}_i\hat{s}_j} \sum_{\alpha_1,\dots,\alpha_m \in \{0,1\}} \xi^{\frac{r(r-2)}{4}\sum \ell_{ij}\alpha_i\alpha_j + \frac{r}{2}\sum \varepsilon_i\alpha_i},$$
(33)

where (ℓ_{ij}) and (p_{ij}) are the linking matrices of L and L' respectively, and ε_i is defined by (2).

For example

$$F_{U^{\pm}}^{\mathbb{Z}/2}(\xi) = 1 + \xi^{\pm \frac{r(r-2)}{4}}.$$
(34)

We will assume that $r = \operatorname{ord}(\xi)$ is odd and $\xi^{1/4}$ is chosen so that $\operatorname{ord}(\xi^{1/4}) \neq 2r$, i.e. $\operatorname{ord}(\xi^{1/4})$ is either *r* or 4*r*. This choice guarantees that $F_{II\pm}^{\mathbb{Z}/2}(\xi) \neq 0$. Define

$$\tau_{M,L'}^{\mathbb{Z}/2}(\xi;s) = \frac{F_{L\sqcup L'}^{\mathbb{Z}/2}(\xi;s)}{(F_{U^+}^{\mathbb{Z}/2}(\xi))^{\beta_+}(F_{U^-}^{\mathbb{Z}/2}(\xi))^{\beta_-}|F_{U^+}^{\mathbb{Z}/2}(\xi)|^{\beta_-}}.$$
(35)

Then $\tau_{M,L'}^{\mathbb{Z}/2}(\xi;s)$ is an invariant of the pair (M, L').

Remark 5.8. This type of invariants were studied in [27] and [7] for 3-manifolds without links inside, and in [8] for 3-manifolds with links inside. When the abelian group is $\mathbb{Z}/2\mathbb{Z}$, set the parameters c_i in [8] to be equal to $s_i - 1 \mod 2$, and define the quadratic form q on $\mathbb{Z}/2\mathbb{Z}$ as follows: q(0) = 0, q(1) = (r - 2)/4, then the invariant introduced in [8] is equal to $\tau_{M,L'}^{\mathbb{Z}/2}(\xi; s)$ after setting $\xi^{r/4} = \sqrt{-1}$.

Proposition 5.9. Suppose $r = \operatorname{ord}(\xi)$ is odd, and $\operatorname{ord}(\xi^{1/4})$ is either r or 4r.

(a) One has the splitting

$$\tau^{{\rm SU}(2)}_{M,L'}(\xi;s) = \tau^{\mathbb{Z}/2}_{M,L'}(\xi;s) \, \tau^{{\rm SO}(3)}_{M,L'}(\xi;s).$$

(b) If
$$\operatorname{ord}(\xi^{1/4}) = r$$
, then $\tau_{M,L'}^{\mathbb{Z}/2}(\xi; s) = 1$ and
 $\tau_{M,L'}^{\operatorname{SU}(2)}(\xi; s) = \tau_{M,L'}^{\operatorname{SO}(3)}(\xi; s)$.

(c) One has the integrality

$$\tau_{M,L'}^{\mathbb{Z}/2}(\xi;s) \in \mathbb{Z}[\xi^{1/4},e_8].$$

Proof. (a) Recall that $\tilde{\ell}_{ij}$ is the linking number between the *i*-th component of *L* and the *j*-th component of *L'*. Also note that for all $a \in (\mathbb{Z}/2\mathbb{Z})^m$, a * (a * n) = n. By Proposition 5.1 we have

$$\operatorname{ev}_{\xi}([\mathbf{n}]J_{L\sqcup L'}(\mathbf{n},s)) = \xi^{t} \operatorname{ev}_{\xi}([\mathbf{a}*\mathbf{n}]J_{L\sqcup L'}(\mathbf{a}*\mathbf{n},s)),$$

where $t = \frac{r(r-2)}{4} \sum \ell_{ij} a_i a_j + \frac{r}{2} \sum \tilde{\ell}_{ij} a_i \hat{s}_j$. Note that the factor $(-\xi^{r/2})^{\sum a_i}$ is missing because of the quantum integers. Therefore by (5), (29), and (35) we have

$$\begin{split} F_{L\sqcup L'}^{\mathrm{SU}(2)}(\xi;s) &= \xi^{\frac{r(r-2)}{4}\sum p_{ij}\hat{s}_{i}\hat{s}_{j}} \sum_{a_{i}\in\{0,1\}} \xi^{\frac{r(r-2)}{4}\sum \ell_{ij}a_{i}a_{j} + \frac{r}{2}\sum \tilde{\ell}_{ij}a_{i}\hat{s}_{j}} F_{L\sqcup L'}^{\mathrm{SO}(3)}(\xi;s) \\ &= F_{L\sqcup L'}^{\mathbb{Z}/2}(\xi;s) F_{L\sqcup L'}^{\mathrm{SO}(3)}(\xi;s) \,, \end{split}$$

which implies (a).

(b) If $\operatorname{ord}(\xi^{1/4}) = r$, then by (33), $F_{L\sqcup L'}^{\mathbb{Z}/2}(\xi;s) = 2^m$. In particular, we have $F_{U^{\pm}}^{\mathbb{Z}/2}(\xi;s) = 2$. It follows that $\tau_{M,L'}^{\mathbb{Z}/2}(\xi;s) = 1$.

(c) The case $\operatorname{ord}(\xi^{1/4}) = r$ was covered by (b). Assume that $\operatorname{ord}(\xi^{1/4}) = 4r$. Then from (34) it follows that $F_{U^{\pm}}^{\mathbb{Z}/2}(\xi) \sim \sqrt{2}$. Hence the denominator of (35) is $\sim (\sqrt{2})^m$.

According to [16], p. 522, we may assume $\ell_{ij} \equiv 0 \mod 2$ if $i \neq j$. Since $\ell_{ij}\alpha_i\alpha_j$ appears twice in the exponent in (33) if $i \neq j$, we can write

$$F_{L\sqcup L'}^{\mathbb{Z}/2}(\xi;s) \sim \prod_{i=1}^{m} \left(\sum_{\alpha_i \in \{0,1\}} \xi^{\frac{1}{4}r(r-2)\ell_{ii}\alpha_i^2 + \frac{r}{2}\varepsilon_i\alpha_i} \right)$$
$$= \prod_{i=1}^{m} (1 + \xi^{\frac{1}{4}r(r-2)\ell_{ii} + \frac{r}{2}\varepsilon_i}).$$

Since $\xi^{\frac{1}{4}r(r-2)\sum \ell_{ii}+\frac{r}{2}\sum \varepsilon_i}$ is a 4-th root of unity, each factor in the above product is either 2, 0, or $\sim \sqrt{2}$, and hence is divisible by $\sqrt{2}$. This means $F_{L\sqcup L'}^{\mathbb{Z}/2}(\xi;s)$, the numerator of (35), is divisible by $(\sqrt{2})^m$, and the statement follows.

6. Diagonalization of 3-manifolds

We recall and refine some well-known facts about diagonalization of 3-manifolds. The first diagonalization result was obtained in [28] and was further developed in [20], [4], and [2].

A 3-manifold is said to be *diagonal of prime type* if it can be obtained by surgery along a framed link $L \subset S^3$ with diagonal linking matrix diag (b_1, \ldots, b_m) such that $b_i = \pm p_i^{e_i}$, where each p_i is a prime, 1, or 0. Denote by L(b, a) the lens space obtained from S^3 by surgery on the unknot with framing b/a. Also M#M' is the connected sum of M and M' and $M^{#s}$ is the connected sum of s copies of M.

Proposition 6.1. For every 3-manifold M, there exists a 3-manifold N of the form

 $N = L(2^{k_1}, -1) \# \cdots \# L(2^{k_j}, -1),$

such that for every positive integer s, $M^{\#2s}\#N$ is diagonal of prime type.

To prepare for the proof we recall some well-known facts about linking pairing. A *linking pairing* on a finite abelian group *G* is a non-singular symmetric bilinear map from $G \times G$ to \mathbb{Q}/\mathbb{Z} . Two linking pairings ν , ν' on respectively *G*, *G'* are *isomorphic* if there is an isomorphism between *G* and *G'* carrying ν to ν' . With the obvious block sum, the set of equivalence classes of linking pairings is a semigroup.

Any non-singular $n \times n$ symmetric matrix B with integer entries gives rise to a linking pairing ϕ_B on $\mathbb{Z}^n/B\mathbb{Z}^n$ by $\phi_B(x, x') = x^t B^{-1}x' \in \mathbb{Q}/\mathbb{Z}$, where $x, x' \in \mathbb{Z}^n$ and x^t is the transpose of x. A linking pairing is *diagonal of type* B if it is isomorphic to ϕ_B , where B is a non-singular $n \times n$ diagonal matrix with integer entries.

An enhancement of an $n \times n$ symmetric matrix B is any matrix of the form $B \oplus D$, where D is a diagonal matrix with entries 0 or ± 1 on the diagonal.

For any closed oriented 3-manifold M, there is a linking pairing $\phi(M)$ on the torsion subgroup of $H_1(M, \mathbb{Z})$ defined by the Poincare duality; see [14]. For example, if $b \neq 0$ is an integer, then the lens space L(b, 1) has linking pairing $\phi_{(b)}$, and L(b, -1) has linking pairing $\phi_{(-b)}$. Here (b) is the 1×1 matrix with entry b.

It is clear that $\phi(M#M') = \phi(M) \oplus \phi(M')$. The result of [20], Section 3.5, shows the following.

Proposition 6.2. If the linking pairing $\phi(M)$ on the torsion subgroup of $H_1(M, \mathbb{Z})$ is diagonal of type *B*, then *M* can be obtained from S^3 by surgery along an oriented framed link whose linking matrix is an enhancement of *B*.

Proof of Proposition 6.1. In [4], Section 2.2, it was noticed that $\phi(M#M)$ is almost diagonal. More precisely,

$$\phi(M \# M) = \phi_B \oplus \nu, \tag{36}$$

where *B* is a diagonal matrix whose diagonal entries are prime powers and ν has the form

$$\nu = \bigoplus_{i=1}^{J} E_0^{k_i}.$$

Here E_0^k is a certain linking form on $\mathbb{Z}/2^k \times \mathbb{Z}/2^k$. We don't need the exact description of E_0^k . For us it is important that (see [14])

$$E_0^k \oplus \phi_{(-2^k)} = \phi_{(-2^k)} \oplus \phi_{(2^k)} \oplus \phi_{(2^k)}.$$
(37)

Note that there is still one $\phi_{(-2^k)}$ in the right hand side of (37). From (37) and (36),

$$\phi(N \# (M \# M)^{\# s}) = s \phi_B \oplus \bigoplus_{i=1}^{J} (\phi_{(-2^{k_i})} \oplus 2s \phi_{(2^{k_i})}) = \phi_{B'}, \qquad (38)$$

where B' is a diagonal matrix with diagonal entries of the form $\pm p^m$ with prime p. By Proposition 6.2, $N#(M#M)^{\#s}$ is diagonal of prime type. This completes the proof of Proposition 6.1.

7. Proof of the integrality in the SO(3) case

Throughout this section G = SO(3) and ξ is a root of unity of odd order r.

Proposition 7.1. For integer $0 \le k \le (r-3)/2$, arbitrary integer b, and $\varepsilon \in \{0, 1\}$,

$$\frac{H^{\text{SO}(3)}(k,b,\varepsilon)}{H^{\text{SO}(3)}(0,\pm 1,0)} \in \mathbb{Z}[\xi^{1/4},e_8],\tag{39}$$

and

$$\frac{H^{SO(3)}(k,0,\varepsilon)}{(1-\xi)\mathcal{D}^{SO(3)}} \in \mathbb{Z}[\xi^{1/4},e_8].$$
(40)

Proof. First note that by Lemmas 4.3 (e) and 4.5 (b), $O_{\xi} \sim H^{SO(3)}(0, \pm 1, 0)$. By Lemma 4.5 (a), there is $f_{\varepsilon}(z, q) \in I_{2k+1+\varepsilon} \subset \mathbb{Z}[z^{\pm 1}, q^{\pm 1}]$ such that

$$\frac{H^{\text{SO}(3)}(k,b,\varepsilon)}{H^{\text{SO}(3)}(0,\pm 1,0)} \sim \frac{2\sum_{n}^{\xi,\text{SO}(3)} q^{\frac{b(n^2-1)}{4}} q^{\frac{-3\varepsilon n}{2}} f_{\varepsilon}(q^n,q)}{x_{2k+1+\varepsilon} O_{\xi}}.$$
 (41)

Since *r* is odd, $(n^2 - 1)/4$ and (1 - n)/2 are integers, and there are integers 2^* , 4^* such that $2^* 2 \equiv 4^* 4 \equiv 1 \pmod{r}$. We then have $\xi^{(n^2 - 1)/4} = \xi^{4^*(n^2 - 1)}$, $\xi^{-3n/2} = \xi^{-3/2} \xi^{3(1-n)} 2^*$.

The numerator of (41) is

$$2\sum_{n}^{\xi, \text{SO}(3)} q^{\frac{b(n^{2}-1)}{4}} q^{\frac{-3\varepsilon n}{2}} f_{\varepsilon}(q^{n}, q)$$

$$= \xi^{-3\varepsilon/2} \sum_{\substack{n=0\\n \text{ odd}}}^{2r-1} \xi^{4^{*}b(n^{2}-1)+3\varepsilon 2^{*}(1-n)} f_{\varepsilon}(\xi^{n}, \xi)$$

$$= \xi^{-3\varepsilon/2} \sum_{n=0}^{r-1} \xi^{4^{*}b(n^{2}-1)+3\varepsilon 2^{*}(1-n)} f_{\varepsilon}(\xi^{n}, \xi),$$
(42)

where the second identity follows by replacing odd $n \in [r, 2r - 1]$ with n - r, which is even and in [0, r - 1].

By Proposition 4.1, the right hand side of (42) is divisible by the denominator of the right hand side of (41), and (39) follows.

Statement (40) follows from (39) with b = 0 and Lemma 4.5(c), which says that $H^{SO(3)}(0, \pm 1, 0) \sim (1 - \xi) \mathcal{D}^{SO(3)}$.

Proof of Theorem 2. By Proposition 7.1, each factor in the right hand side of (10) is in $\mathbb{Z}[\xi^{1/4}, e_8]$, hence $\tau_{M,L'}^{SO(3)}(\xi) \in \mathbb{Z}[\xi^{1/4}, e_8]$ if *M* is diagonal.

Now suppose M is an arbitrary 3-manifold. Let N be the manifold described in Proposition 6.1, for which M#M#N is diagonal. Since the Witten–Reshetikhin– Turaev invariant is multiplicative with respect to connected sum, we get

$$(\tau_{M,L'}^{\mathrm{SO}(3)}(\xi))^2 \tau_N^{\mathrm{SO}(3)}(\xi) \in \mathbb{Z}[\xi^{1/4}, e_8].$$

Since 2^k is coprime to r, $\tau_{L(2^k,-1)}^{SO(3)}(\xi)$ is a unit in $\mathbb{Z}[\xi^{1/4}]$ by Lemma 4.6 (a). It follows that $\tau_N^{SO(3)}(\xi)$ is a unit, hence $(\tau_{M,L'}^{SO(3)}(\xi))^2 \in \mathbb{Z}[\xi^{1/4}, e_8]$. By Lemma 4.3 (c), $\tau_{M,L'}^{SO(3)}(\xi) \in \mathbb{Z}[\xi^{1/4}, e_8]$. This completes the proof of the theorem.

8. Proof of the integrality in the SU(2) case

If the order of ξ is odd, then by the splitting property (Proposition 5.9),

$$\tau_{M,L'}^{\mathrm{SU}(2)}(\xi) = \tau_{M,L'}^{\mathbb{Z}/2}(\xi) \, \tau_{M,L'}^{\mathrm{SO}(3)}(\xi).$$

Both factors of the right hand side are algebraic integers by Theorem 2 and Proposition 5.9. Hence $\tau_{M,L'}^{SU(2)}(\xi)$ is also an algebraic integer.

Therefore throughout the remaining part of this section we will assume that $r = \operatorname{ord}(\xi)$ is *even*. Note that in this case the order of $\xi^{1/4}$ is always 4r and $e_8 \in \mathbb{Z}[\xi^{1/4}]$.

Proposition 8.1. Let $r = \operatorname{ord}(\xi)$ be even. Suppose $b = \pm p^s$, where p is 0, 1 or a prime, k an integer, and $\varepsilon \in \{0, 1\}$. Then

$$\frac{H^{\mathrm{SU}(2)}(k,b,\varepsilon)}{H^{\mathrm{SU}(2)}(0,\pm 1,0)} \in \mathbb{Z}[\xi^{1/4}] \quad and \quad \frac{H^{\mathrm{SU}(2)}(k,0,\varepsilon)}{(1-\xi)\mathcal{D}^{\mathrm{SU}(2)}} \in \mathbb{Z}[\xi^{1/4}]$$

The following lemma will be used in the proof of the above proposition for odd *b*.

Lemma 8.2. Suppose b is odd, r is even, $a \in \mathbb{Z}$, and $f \in I_k$. Then

$$A \stackrel{\text{def}}{=} \frac{\sum_{n}^{\xi, \text{SU}(2)} q^{\frac{bn^2}{4} + \frac{an}{2}} f(q^n, q)}{x_k O_{\xi}}$$

belongs to $\mathbb{Z}_{(2)}[\xi^{1/4}]$, where $\mathbb{Z}_{(2)}$ is the set of all rational numbers with odd denominators.

Proof. Let $r = r_e r_o$, where r_o is odd, and r_e is a power of 2. Then $\operatorname{ord}(\xi^{1/4}) = 4r = (4r_e)r_o$, with $4r_e$ and r_o coprime. By definition,

$$\sum_{n}^{\xi, \text{SU}(2)} q^{\frac{bn^{2}}{4} + \frac{an}{2}} q^{nj}$$

$$= \frac{1}{4} G(b, 4j + 2a, \xi^{1/4}) \quad \text{(by Proposition 4.4 (d))}$$

$$= \frac{1}{4} G(br_{o}, 4j + 2a, \xi^{r_{o}/4}) G(4br_{e}, 4j + 2a, \xi^{r_{e}})$$

$$= \frac{1}{4} \xi^{da^{2}/4} G(br_{o}, 0, \xi^{r_{o}/4}) \xi^{d(j^{2} + aj)} G(4br_{e}, 4j + 2a, \xi^{r_{e}}),$$
(43)

where d is any multiple of r_o such that $db \equiv -1 \pmod{4r_e}$.

Let us extend $\Delta(z) = z \otimes z$ to a $\mathbb{Z}[q^{\pm 1}]$ -algebra homomorphism

$$\Delta \colon \mathbb{Z}[z^{\pm 1}, q^{\pm 1}] \longrightarrow \mathbb{Z}[z^{\pm 1}, q^{\pm 1}] \otimes_{\mathbb{Z}[q^{\pm 1}]} \mathbb{Z}[z^{\pm 1}, q^{\pm 1}]$$

Define $Q(j) = dj^2 + daj$. Also define a $\mathbb{Z}[q^{\pm 1/4}]$ -module homomorphism

$$T: \mathbb{Z}[z^{\pm 1}, q^{\pm 1/4}] \longrightarrow \mathbb{Z}[q^{1/4}]$$

by

 $T(z^{j}) = G(4b r_{e}, 4j + 2a, \xi^{r_{e}}).$

Using (43) we can rewrite

$$\sum_{n}^{\xi, \text{SU}(2)} q^{\frac{bn^{2}}{4} + \frac{an}{2}} f(q^{n}, q)$$

$$= \frac{\xi^{da^{2}/4}}{4} G(br_{o}, 0, \xi^{r_{o}/4}) \operatorname{ev}_{\xi}\{(\mathcal{L}_{Q} \otimes T)(\Delta f)\}.$$
(44)

It is enough to consider the case $f = z^m (q^l z; q)_k$. Applying Corollary 3.7 to $\Delta(z^m(q^l z;q)_k)$, using $z_1 = z \otimes 1$ and $z_2 = 1 \otimes z$, we see that A is a $\mathbb{Z}[\xi^{\pm 1/4}]$ -linear combination of terms of the form

$$B = \left(\frac{G(b\,r_o, 0, \xi^{r_o/4})}{4O_{\xi}}\right) \left(\frac{(\xi;\xi)_k \,\operatorname{ev}_{\xi}\{\mathcal{L}_Q(z^m(z;q)_{k_1})\}}{x_k(\xi;\xi)_{k_1}}\right) \left(\frac{T(z^m(z;q)_{k_2})}{(\xi;\xi)_{k_2}}\right) \quad (45)$$

with $k_i \leq k$. There are three factors on the RHS of (45) and we will show that each factor belongs to $\mathbb{Z}_{(2)}[\xi^{1/4}]$. The last factor in B is in $\mathbb{Z}[\xi]$. In fact, if $z^m(z;q)_{k_2} =$ $\sum_{j} c_{j}(q) z^{j}$ then

$$T(z^{m}(z;q)_{k_{2}}) = \sum_{j} c_{j}(\xi)G(4br_{e},4j+2a,\xi^{r_{e}})$$

$$= \sum_{j} c_{j}(\xi)\sum_{n=0}^{r_{o}-1} \xi^{2r_{e}(br_{e}n^{2}+(2j+a)n)}$$

$$= \sum_{n} \xi^{4br_{e}^{2}n^{2}+2r_{e}an} \sum_{j} c_{j}(\xi)\xi^{4r_{e}n}j$$

$$= \sum_{n} \xi^{4br_{e}^{2}n^{2}+2r_{e}n(a+2m)}(\xi^{4r_{e}n};\xi)_{k_{2}},$$

which is divisible by $(\xi;\xi)_{k_2}$ in $\mathbb{Z}[\xi]$. This shows that the last factor of (45) is in $\mathbb{Z}_{(2)}[\xi^{1/4}].$

By Theorem 3.2, $\operatorname{ev}_{\xi}(\mathscr{L}_Q(z^m(z;q)_{k_1}))$ is in $x_{k_1}\mathbb{Z}[\xi]$. Hence the second factor is in

$$\frac{(\xi;\xi)_k x_{k_1}}{x_k(\xi;\xi)_{k_1}} \mathbb{Z}[\xi] = \frac{(\xi;\xi)_{\lfloor k/2 \rfloor}}{(\xi;\xi)_{\lfloor k_1/2 \rfloor}} \mathbb{Z}[\xi] \subset \mathbb{Z}[\xi].$$

By Proposition 4.4 (b), $G^2(b r_o, 0, \xi^{r_o/4}) \sim 8r_e$, while, by Lemma 4.3 (e), $O_{\xi}^2 \sim$ r/2 It follows that the square of the first factor, and hence the first factor itself, is in $\frac{r_e}{r}\mathbb{Z}[\xi] = \frac{1}{r_o}\mathbb{Z}[\xi] \subset \mathbb{Z}_{(2)}[\xi].$ Here we use the fact that $\mathbb{Z}_{(2)}[\xi]$ is integrally closed.

We can conclude that B, and hence A, is in $\mathbb{Z}_{(2)}[\xi]$.

Proof of Proposition 8.1. By Lemma 4.5 there is $f_{\varepsilon}(z,q) \in I_{2k+1+\varepsilon}$ such that

$$\frac{H^{\mathrm{SU}(2)}(k,b,\varepsilon)}{H^{\mathrm{SU}(2)}(0,\pm 1,0)} \sim \frac{\frac{1}{4} \sum_{n=0}^{4r-1} \xi^{\frac{b}{4}(n^2-1)} \xi^{-3\varepsilon n/2} f_{\varepsilon}(\xi^n,\xi)}{x_{2k+1+\varepsilon} O_{\xi}}.$$
 (46)

We split the proof into 3 cases: (1) $b \equiv 0 \mod 4$; (2) $b = \pm 2$; and (3) b is odd. (1) $b = 4b', b' \in \mathbb{Z}$. Since $H^{SU(2)}(k, b, 1) = 0$ by Lemma 4.5 (d), we can assume $\varepsilon = 0$. By (46),

$$\frac{H^{\mathrm{SU}(2)}(k,b,0)}{H^{\mathrm{SU}(2)}(0,\pm 1,0)} \sim \frac{\frac{1}{4} \sum_{n=0}^{4r-1} \xi^{b'(n^2-1)} f_0(\xi^n,\xi)}{x_{2k+1}O_{\xi}} = \frac{\sum_{n=0}^{r-1} \xi^{b'(n^2-1)} f_0(\xi^n,\xi)}{x_{2k+1}O_{\xi}},$$

which is in $\mathbb{Z}[\xi]$ by Proposition 4.1.

(2) $b = \pm 2$. Again $H^{SU(2)}(k, b, 1) = 0$ by Lemma 4.5 (d), and we can assume $\varepsilon = 0$. This case was studied in [3], where the exact value of $H^{SU(2)}(k, \pm 2, 0)$ was obtained. By [3], Lemma 5.2, we have

$$H^{SU(2)}(k, \pm 2, 0) \sim 2\sqrt{r} \prod_{i=0}^{k} \frac{1 - \xi^{(2i+1)/2}}{1 - \xi^{2i+1}}$$
$$= 2\sqrt{r} \prod_{i=0}^{k} \frac{1}{1 + \xi^{(2i+1)/2}}.$$

Hence from Lemma 4.5, with $k \leq r/2 - 1$,

$$\frac{H^{\mathrm{SU}(2)}(k,\pm 2,0)}{H^{\mathrm{SU}(2)}(0,\pm 1,0)} \sim \frac{\sqrt{r}/O_{\xi}}{\prod_{i=0}^{k} (1+\xi^{(2i+1)/2})} \in z\mathbb{Z}[\xi^{1/4}]$$

where

$$z = \frac{\sqrt{r}/O_{\xi}}{\prod_{i=0}^{r/2-1} (1 + \xi^{(2i+1)/2})}.$$

The square of the numerator of z is $r/O_{\xi}^2 \sim 2$, by Lemma 4.3.

Let us calculate the square of the denominator. For any integer j one has

$$(1 + \xi^{(2j+1)/2}) \sim (1 - \xi^{(2j+1)/2}).$$

Hence

$$\left(\prod_{j=0}^{r/2-1} (1+\xi^{(2j+1)/2})\right)^2 \sim \prod_{j=0}^{r/2-1} (1+\xi^{(2j+1)/2})(1-\xi^{(2j+1)/2})$$
$$= \prod_{j=0}^{r/2-1} (1-\xi^{(2j+1)})$$
$$\sim \frac{\prod_{j=0}^{r-1} (1-\xi^{j})}{\prod_{j=1}^{r/2-1} (1-\xi^{2j})}$$
$$= \frac{r}{r/2} = 2.$$

We can conclude that

$$\frac{H^{\mathrm{SU}(2)}(k,\pm 2,0)}{H^{\mathrm{SU}(2)}(0,\pm 1,0)} \in \mathbb{Z}[\xi^{1/4}].$$

(3) Assume that b is odd. Splitting the sum in the numerator of the right hand side of (46) into even and odd n we get

$$\frac{1}{4} \sum_{n=0}^{4r-1} \xi^{b(n^2-1)/4} \xi^{-3\varepsilon n/2} f_{\varepsilon}(\xi^n, \xi)
= \frac{1}{4} \left\{ \xi^{-b/4} \sum_{n=0}^{2r-1} \xi^{bn^2-3\varepsilon n} f_{\varepsilon}(\xi^{2n}, \xi)
+ \xi^{-3\varepsilon/2} \sum_{n=0}^{2r-1} \xi^{b(n^2+n)-3\varepsilon n} f_{\varepsilon}(\xi^{2n+1}, \xi) \right\}$$

$$(47)$$

$$= \frac{1}{2} \left\{ \xi^{-b/4} \sum_{n=0}^{r-1} \xi^{bn^2-3\varepsilon n} f_{\varepsilon}(\xi^{2n}, \xi) \right\}$$

$$+\xi^{-3\varepsilon/2}\sum_{n=0}^{r-1}\xi^{b(n^2+n)-3\varepsilon n}f_{\varepsilon}(\xi^{2n+1},\xi)\Big\}.$$

Since $f_{\varepsilon}(z^2, q)$ and $f_{\varepsilon}(z^2q, q)$ belong to $I_{2k+1+\varepsilon}$ (according to Proposition 3.1), each summand in the curly brackets of the right hand side of (47) is divisible by $x_{2k+1+\varepsilon}O_{\xi}$, by Proposition 4.1. It follows from (46) that

$$\frac{H^{\mathrm{SU}(2)}(k,b,\varepsilon)}{H^{\mathrm{SU}(2)}(0,\pm 1,0)} \in \frac{1}{2} \mathbb{Z}[\xi^{1/4}],$$

which, together with Lemma 8.2, implies

$$\frac{H^{\mathrm{SU}(2)}(k,b,\varepsilon)}{H^{\mathrm{SU}(2)}(0,\pm 1,0)} \in \frac{1}{2} \mathbb{Z}[\xi^{1/4}] \cap \mathbb{Z}_{(2)}[\xi^{1/4}] = \mathbb{Z}[\xi^{1/4}].$$

Finally

$$\frac{H^{\mathrm{SU}(2)}(k,0,\varepsilon)}{(1-\xi)\,\mathcal{D}^{\mathrm{SU}(2)}} \in \mathbb{Z}[\xi^{1/4}]$$

follows from Lemma 4.5 (c), which says that $H^{SU(2)}(0, \pm 1, 0) \sim (1-\xi) \mathcal{D}^{SU(2)}$.

Proof of Theorem 1. By Proposition 8.1, each factor in the right hand side of (10) is in $\mathbb{Z}[\xi^{1/4}]$, hence $\tau_{M,L'}^{SU(2)}(\xi) \in \mathbb{Z}[\xi^{1/4}]$ if *M* is diagonal of prime type.

Now suppose M is an arbitrary 3-manifold. According to Proposition 6.1, there exist lens spaces $L(2^{k_1}, -1), \ldots, L(2^{k_j}, -1)$, such that $M^{\#2s} \# N$ is diagonal of prime type for every positive integer s. Here

$$N \stackrel{\text{\tiny def}}{=} \#_{i=1}^j L(2^{k_i}, -1) \, .$$

By Lemma 4.6, there is an odd colored knot $K_i \subset L(2^{k_i}, -1)$ such that $\tau_{L(2^{k_i}, -1), K_i}^{SU(2)}$ is not 0. The knots K_i together form a link $L'' \subset N$, and

$$\tau_{N,L''}^{\mathrm{SU}(2)}(\xi) = \prod_{i} \tau_{L(2^{k_i},-1),K_i}^{\mathrm{SU}(2)} \neq 0.$$

Taking the connected sum of (N, L'') with 2s copies of (M, L'), we get a diagonal 3-manifold of prime type. Hence,

$$(\tau_{M,L'}^{\mathrm{SU}(2)}(\xi))^{2s}\tau_{N,L''}^{\mathrm{SU}(2)}(\xi) \in \mathbb{Z}[\xi^{1/4}]$$

for every positive integer s. Applying Lemma 4.3 (c), we get $\tau_{M,L'}^{SU(2)}(\xi) \in \mathbb{Z}[\xi^{1/4}]$.

Appendix. Proof of Theorem 2.1

A.1. Algebraic preliminaries. We first recall the universal quantized algebra $U_h = U_h(sl_2)$ and some of its properties. For more details see e.g. [12].

The universal quantized algebra $U_h = U_h(sl_2)$ is the *h*-adically complete $\mathbb{Q}[\![h]\!]$ -algebra, topologically generated by the elements H, E, and F, satisfying the relations

$$HE - EH = 2E,$$

$$HF - FH = -2F,$$

$$EF - FE = \frac{K - K^{-1}}{v - v^{-1}}$$

where

$$K \stackrel{\text{\tiny def}}{=} \exp(hH/2), \quad v \stackrel{\text{\tiny def}}{=} \exp(h/2), \quad v^2 = q$$

The algebra U_h has a structure of Hopf algebra, which makes U_h into a U_h -module via the adjoint representation, and defines a tensor product on the set of U_h -modules. In particular, the completed tensor powers $U_h^{\hat{\otimes}m}$ is a U_h -module via the adjoint representation. For a set $Y \subset U_h^{\hat{\otimes}m}$ its subset of invariant elements is defined by

$$Y^{\text{inv}} \stackrel{\text{\tiny def}}{=} \{ y \in Y \mid a \cdot y = \epsilon(y), \text{ for all } a \in U_h \},\$$

where ϵ is the antipode of U_h and $a \cdot y$ is the adjoint action. It is known that $(U_h)^{inv}$ is exactly the center of U_h .

For each positive integer *n* there is a unique *n*-dimensional irreducible U_h -module, denoted by V_n , we set $V \stackrel{\text{def}}{=} V_2$. Let

$$R = \operatorname{Span}_{\mathbb{Z}[v^{\pm 1}]} \{ V_n, n \ge 1 \},$$

which is a $\mathbb{Z}[v^{\pm 1}]$ -algebra whose multiplication is the tensor product. One has

$$V_n V = V_{n+1} + V_{n-1}, (A.48)$$

and as a ring $R = \mathbb{Z}[v^{\pm 1}][V]$, the $\mathbb{Z}[v^{\pm 1}]$ -polynomial algebra in V.

For an U_h -module W and $x \in U_h$ the quantum trace is defined by

$$\operatorname{tr}_{q}^{W}(x) = \operatorname{tr}(xK^{-1}, W),$$

which can be linearly extended to the case when W is a $\mathbb{Z}[v^{\pm 1}]$ -linear combination of U_h -modules.

The quantum trace preserves ad-invariance, which means the following. Suppose $W \in R$ and $y \in (U_h^{\otimes m})^{\text{inv}}$, then $(\operatorname{id}^{\otimes (m-1)} \otimes \operatorname{tr}_q^W)(y) \in (U_h^{\otimes (m-1)})^{\text{inv}}$.

A.2. New bases for *R*. In *R* consider the following elements: $P_0^{(0)} = P_0^{(1)} = 1$,

$$P_n^{(0)} = \prod_{j=1}^n (V - \lambda_{2j-1}), \quad P_n^{(1)} = \prod_{j=1}^n (V - \lambda_{2j}),$$

where $\lambda_i = v^j + v^{-j}$. Note that $P_n^{(0)}$ is P_n of [12]. Since $P_n^{(0)}$ is a monic polynomial of degree n in V with coefficients in $\mathbb{Z}[v^{\pm 1}]$, it is clear that the set $\{P_n^{(0)}, n =$ (0, 1, 2, ...) forms a $\mathbb{Z}[v^{\pm 1}]$ -basis of R. Similarly, $\{P_n^{(1)}, n = 0, 1, 2, ...\}$ also forms a $\mathbb{Z}[v^{\pm 1}]$ -basis of R. It is not difficult to express V_n through these bases. In fact, (A.48), together with an easy induction, will give the following identities, the first of which was obtained in [12]:

$$V_n = \sum_{k=0}^{n-1} {n+k \brack 2k+1} P_k^{(0)} \quad \text{and} \quad V_n = \sum_{k=0}^{n-1} {n+k \brack 2k+1} \frac{\lambda_n}{\lambda_{k+1}} P_k^{(1)}.$$
(A.49)

A.3. Integral subalgebras and their completions. Following [12] let $\mathcal{U}_{q}^{(0)}$ be the $\mathbb{Z}[q^{\pm 1}]$ -subalgebra of U_h generated by $e, K^{\pm 2}$, and $\widetilde{F}^{(l)}$ with $l = 0, 1, 2, \ldots$, where

$$\widetilde{F}^{(l)} \stackrel{\text{\tiny def}}{=} q^{l(1-l)/4} F^l K^l / [l]! \quad \text{and} \quad e \stackrel{\text{\tiny def}}{=} \{1\} E.$$

Let $\mathcal{U}_q^{(1)} = K \,\mathcal{U}_q^{(0)}$ and $\mathcal{U}_q = \mathcal{U}_q^{(0)} \oplus \mathcal{U}_q^{(1)}$. Let $\mathcal{F}_p(\mathcal{U}_q^{\otimes m}) \subset \mathcal{U}_q^{\otimes m}$ be the $\mathbb{Z}[q^{\pm 1}]$ -span of elements of the form $y_1 \otimes y_2 \otimes \cdots \otimes y_m$, where each y_j belongs to \mathcal{U}_q , and one of them belongs to $\mathcal{U}_q e^p \mathcal{U}_q$. For a set $Y \subset \mathcal{U}_a^{\otimes m}$ define its completion

$$\widetilde{Y} \stackrel{\text{\tiny def}}{=} \Big\{ \sum_{j=0}^{\infty} z_p \mid z_p \in Y \cap \mathcal{F}_p(\mathcal{U}_q^{\otimes m}) \Big\}.$$

In particular, when m = 1, one can define $\tilde{\mathcal{U}}_q$ and $\tilde{\mathcal{U}}_q^{(\varepsilon)}$ for $\varepsilon \in \{0, 1\}$. For $e = (\varepsilon_1, \ldots, \varepsilon_m) \in \{0, 1\}^m$, let $\widetilde{\mathcal{U}_q^{\otimes(e)}}$ be the completion of $\mathcal{U}_q^{(\varepsilon_1)} \otimes \cdots \otimes \mathcal{U}_q^{(\varepsilon_m)}$ defined as above.

The center $Z(\mathcal{U}_q) = (\mathcal{U}_q)^{\text{inv}}$ is freely generated as an $\mathbb{Z}[q^{\pm 1}]$ -algebra by the quantum Casimir operator

$$C = (1 - q^{-1})\tilde{F}^{(1)}K^{-1}e + K + q^{-1}K^{-1} \in \mathcal{U}_q^{(1)}.$$

Set

$$\sigma_n^{(0)} = \prod_{i=1}^n (qC^2 - (q^i + 2 + q^{-i})) \text{ and } \sigma_n^{(1)} = C\sigma_n^{(0)}.$$

Theorem 1.1 in [11] states that

$$(\widetilde{\mathcal{U}}_q^{(\varepsilon)})^{\mathrm{inv}} = \Big\{ \sum_{p \ge 0} a_p \sigma_p^{(\varepsilon)} \mid a_p \in \mathbb{Z}[q^{\pm 1}] \Big\}.$$
(A.50)

We will need the following result.

Proposition A.3. Suppose $x \in \mathcal{U}_q^{(\varepsilon)}$, $\varepsilon \in \{0, 1\}$. Then for every n, $\operatorname{tr}_q^{P_n^{(\varepsilon)}}(x)$ belongs to $(q;q)_n \mathbb{Z}[q^{\pm 1}]$.

Proof. If $\varepsilon = 0$, this is [12], Lemma 8.5. The case $\varepsilon = 1$ can be proved similarly. It is enough to set $x = \tilde{F}^{(l)} K^{2j+1} e^{l'}$. It is easy to see that $\operatorname{tr}_{q}^{P_{n}^{(1)}}(\tilde{F}^{(l)} K^{2j+1} e^{l'}) = 0$ if $l \neq l'$. Set

$$B(n,l,j) \stackrel{\text{\tiny def}}{=} \operatorname{tr}_q^{P_n^{(1)}}(\widetilde{F}^{(l)}K^{2j+1}e^l).$$

Then it is clear that B(n, l, j) = 0 when l > n because e^l vanishes on V_1, \ldots, V_{n+1} . When $l \le n$, by a similar argument as in the proof of [12], Lemma 8.8, we have

$$B(n,l,j) = \{j-n\}\{j+n\}B(n-1,l,j) + q^{j}(1-q^{-l})B(n-1,l-1,j+1).$$

The above recursive relation and a simple induction will show that

$$B(n,l,j) = q^{-(j+l)n}(q;q)_n(q;q)_{n-l} {j-1 \choose n-l} {j+n \choose n-l} \in (q;q)_n \mathbb{Z}[q^{\pm 1}]. \quad \Box$$

Lemma A.4. For every non-negative integers k, p and $\varepsilon \in \{0, 1\}$, one has

$$\operatorname{tr}_{q}^{P_{k}^{(\varepsilon)}}(\sigma_{p}^{(\varepsilon)}) = \delta_{k,p} \frac{\{2k+1\}!}{v^{\varepsilon}\{1\}} \lambda_{k+1}^{\varepsilon}.$$
(A.51)

Proof. The case $\varepsilon = 0$ is proved in [12], Proposition 6.3. Hence, we restrict to $\varepsilon = 1$. As explained in [12], Section 6.3.1, there exists a homomorphism

$$\varphi \colon R \longrightarrow Z(\mathcal{U}_q \otimes \mathbb{Z}[v^{\pm 1}])$$

sending V to vC. In particular, for

$$S_n^{(1)} \stackrel{\text{\tiny def}}{=} V \prod_{j=1}^n (V^2 - (\lambda_j)^2)$$

we have $\varphi(S_n^{(1)}) = v\sigma_n^{(1)}$. Moreover, for any $x, y \in R$,

$$\operatorname{tr}_{q}^{x}(\varphi(y)) = J_{\mathcal{H}}(x, y) \stackrel{\text{\tiny def}}{=} \langle x, y \rangle,$$

where $\langle x, y \rangle$ is the Rosso pairing defined as the colored Jones polynomial of the 0-framed Hopf link \mathcal{H} , whose two components are colored by x and y. Note that this pairing is symmetric. Hence, for $\varepsilon = 1$, the left hand side of (A.51) is equal to $\langle P_k^{(1)}, v^{-1}S_p^{(1)} \rangle$.

Since $\lambda_n \stackrel{\text{\tiny def}}{=} v^n + v^{-n} = \langle V_n, V \rangle / [n]$, for every $f(V) \in R$ we have

$$\langle V_n, f(V) \rangle = [n] f(\lambda_n).$$
 (A.52)

Hence if m < n, then $\langle V_{2m+2}, P_n^{(1)} \rangle = 0$ and $\langle S_n^{(1)}, V_{m+1} \rangle = 0$. Using $V_n V = V_{n+1} + V_{n-1}$, we get

$$P_n^{(1)} = V_{n+1} + a \mathbb{Z}[v^{\pm 1}]$$
-linear combination of $V_1, V_2 \dots, V_n$

and

$$S_n^{(1)} = V_{2n+2} + a \mathbb{Z}[v^{\pm 1}]$$
-linear combination of V_2, V_4, \dots, V_{2n} .

Therefore, from Identity (A.52), we have $\langle S_m^{(1)}, P_n^{(1)} \rangle = 0$ if $m \neq n$. Finally

$$v \operatorname{tr}_{q}^{P_{n}^{(1)}}(\sigma_{n}^{(1)}) = \langle S_{n}^{(1)}, P_{n}^{(1)} \rangle$$

= $\langle S_{n}^{(1)}, V_{n+1} \rangle$
= $[n+1]\lambda_{n+1} \prod_{j=1}^{n} ((\lambda_{n+1})^{2} - (\lambda_{j})^{2})$
= $[n+1]\lambda_{n+1} \prod_{j=1}^{n} \{j\}\{2n+2-j\}.$

This completes the proof of the lemma.

A.4. Proof of Theorem 2.1. Suppose $L \sqcup L'$ is an oriented framed link with fixed colors $s = (s_1, \ldots, s_l)$ on L'. Here L has m ordered components and ε_i are defined in (2).

According to [10], Section 1.2, there is an element $J_T \in (U_h^{\hat{\otimes}(m+l)})^{\text{inv}}$ such that

$$J_L(\boldsymbol{n}) = (\operatorname{tr}_q^{V_{n_1}} \otimes \cdots \otimes \operatorname{tr}_q^{V_{n_m}} \otimes \operatorname{tr}_q^{V_{s_1}} \otimes \cdots \otimes \operatorname{tr}_q^{V_{s_l}})(J_T).$$

(In [10], J_T is the universal invariant of a bottom tangle whose closure is $L \sqcup L'$.)

Using (A.49) to express V_{n_i} as a linear combination of $P_k^{(\varepsilon_i)}$, we have

$$\begin{split} J_L(\boldsymbol{n}) &= \sum_{k_i=0}^{n_i-1} (\operatorname{tr}_q^{P_{k_1}^{(\varepsilon_1)}} \otimes \cdots \otimes \operatorname{tr}_q^{P_{k_m}^{(\varepsilon_m)}} \otimes \operatorname{tr}_q^{V_{s_1}} \otimes \cdots \otimes \operatorname{tr}_q^{V_{s_l}}) (J_T) \\ & \times \prod_{i=1}^m \begin{bmatrix} n_i + k_i \\ 2k_i + 1 \end{bmatrix} \frac{\lambda_{n_i}^{\varepsilon_i}}{\lambda_{k_i+1}^{\varepsilon_i}} \\ &= \sum_{k_i=0}^{n_i-1} (\operatorname{tr}_q^{P_{k_1}^{(\varepsilon_1)'}} \otimes \cdots \otimes \operatorname{tr}_q^{P_{k_m}^{(\varepsilon_m)'}} \otimes \operatorname{tr}_q^{V_{s_1}} \otimes \cdots \otimes \operatorname{tr}_q^{V_{s_l}}) (J_T) \\ & \times \prod_{i=1}^m \begin{bmatrix} n_i + k_i \\ 2k_i + 1 \end{bmatrix} \{k_i\}! \frac{\lambda_{n_i}^{\varepsilon_i}}{\lambda_{k_i+1}^{\varepsilon_i}}, \end{split}$$

which is (4) with

.

$$c_{L\sqcup L'}(k) = (\operatorname{tr}_q^{P_{k_1}^{(\varepsilon_1)'}} \otimes \cdots \otimes \operatorname{tr}_q^{P_{k_m}^{(\varepsilon_m)'}} \otimes \operatorname{tr}_q^{V_{s_1}} \otimes \cdots \otimes \operatorname{tr}_q^{V_{s_l}})(J_T)$$

Here $P_k^{(\varepsilon)'} = P_k^{(\varepsilon)}/\{k\}!$. Without loss of generality, we may assume $k_1 = k = \max(k_1, \dots, k_m)$. By Theorem A.3 in [2],

$$(\mathrm{id}^{\otimes m} \otimes \mathrm{tr}_q^{V_{s_1}} \otimes \cdots \otimes \mathrm{tr}_q^{V_{s_l}})(J_T) \in q^a(\widetilde{\mathcal{U}_q^{\otimes(e)}})^{\mathrm{inv}},$$

for some $a \in \frac{1}{4}\mathbb{Z}$. Let

$$y \stackrel{\text{\tiny def}}{=} (\mathrm{id} \otimes \mathrm{tr}_q^{P_{k_2}^{(\varepsilon_2)'}} \otimes \cdots \otimes \mathrm{tr}_q^{P_{k_m}^{(\varepsilon_m)'}} \otimes \mathrm{tr}_q^{V_{s_1}} \otimes \cdots \otimes \mathrm{tr}_q^{V_{s_l}})(J_T)$$

Then

$$c_{L\sqcup L'}(\boldsymbol{k}) = \operatorname{tr}_q^{P_{k_1}^{(\varepsilon_1)'}}(\boldsymbol{y}).$$

Proposition A.3, as well as the fact that quantum trace preserves ad-invariance, gives us $y \in q^a(\mathcal{U}_q^{(\varepsilon_1)})^{\text{inv}}$. Hence y has a presentation $y = q^a \sum_{p \ge 0} d_p \sigma_p^{(\varepsilon_1)}$ with $d_p \in \mathbb{Z}[q^{\pm 1}]$. We then have

$$\operatorname{tr}_{q}^{P_{k_{1}}^{(\varepsilon_{1})'}}(y) = q^{a} \sum_{p} d_{p} \operatorname{tr}_{q}^{P_{k_{1}}^{(\varepsilon_{1})'}}(\sigma_{p}^{(\varepsilon_{1})}),$$

which belongs to

$$\frac{(q^{k+1};q)_{k+1}}{1-q}\mathbb{Z}[q^{\pm\frac{1}{4}}]$$

by Lemma A.4.

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Anna Beliakova, I-Math, University of Zurich, Winterthurerstrasse 190, 8057 Zurich, Switzerland E-mail: anna@math.uzh.ch

Qi Chen, Department of Mathematics, Winston-Salem State University, Winston Salem, NC 27110, U.S.A. E-mail: chenqi@wssu.edu

Thang Le, Department of Mathematics, Georgia Institute of Technology, Atlanta, GA 30332-0160, U.S.A. E-mail: letu@math.gatech.edu