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# Domains with Strong Barrier

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# Introduction

The level sets of any Riemann mapping f can not be arbitrarily long. More precisely, there exists an absolute constant P so that if  $\Omega$  is a plane simply connected domain, f a Riemann mapping onto  $\Omega$  and L is an straight line then

length  $(f^{-1}(\Omega \cap L)) \leq P$ .

This beautiful result was first proved by Hayman and Wu [HW], and a bit later by Garnett, Gehring and Jones, [GGJ]. See [FHM] for a simple proof, where it is shown that one can take  $P = 4\pi^2$  and a conjecture as to the correct value of P is offered.

One wonders as to what is the role of simple connectivity in the Hayman-Wu theorem. Let us call a domain  $\Omega$  in the plane a *Hayman-Wu domain* if there exists a constant  $C(\Omega)$  so that

(0.1)  $\operatorname{length}(F^{-1}(\Omega \cap L)) \leq C(\Omega)$ 

for any straight line L and universal cover F from the unit disk  $\Delta$  onto  $\Omega$ .

It was shown in [FH] that domains of finite connectivity with no complementary components consisting of a single point are Hayman-Wu domains. A word of caution: in [FH] one is not concerned with the dependence of the constant of (0.1) upon F, but the argument applies. Moreover, it is easy to see that the punctured disk,  $\Delta^*$ , is not a Hayman-Wu domain, so that the non-degeneracy condition on the complementary components is essential.

Let  $\Gamma$  denote a covering group of the domain  $\Omega$ , *i.e.*, a fix-point free discrete group of Möbius transformations of  $\Delta$  with quotient  $\Delta/\Gamma$  conformally equivalent to  $\Omega$ . Any two covering groups of  $\Omega$  are conjugate, and conversely.

With  $\Gamma$  we associate the invariant function

$$U_{\Gamma,t}(z) = U_t(z) = \sum_{t \in \Gamma} (1 - [z, Tz]^2)^t$$

where

$$[a,b] = \left| \frac{a-b}{1-\bar{a}b} \right|.$$

In [FH] it was shown (see Section 6 for the proof).

## **Theorem A.** If $U_{1/2}$ is bounded in $\Delta$ then $\Omega$ is Hayman-Wu.

As a consequence of Theorem 2 one also has that if  $\Omega$  is Hayman-Wu then  $U_1$  is bounded. Notice that  $U_1 \leq U_{1/2}^2$ .

The exponent of  $\Gamma$  is defined as the exponent of convergence of the Dirichlet series

$$\sum_{\gamma \in \Gamma} \exp(-s\rho(0,\gamma(0)))$$

*i.e.* the smallest number s which makes the series convergent. Here, and hereafter,  $\rho(a, b)$  denotes the Poincaré distance between the points a and b on the unit disk; namely,

$$\rho(a,b)=h([a,b]),$$

with

$$h(t) = \log \frac{1+t}{1-t}, \qquad 0 \le t \le 1.$$

Since conjugate groups have the same exponent we may also speak of the exponent of  $\Omega$ . We shall use the notation  $\delta(\Gamma)$ ,  $\delta(\Omega)$  to denote exponents.

It is an elementary fact that  $\delta(\Omega) \leq 1$ . Also,  $\delta(\Omega) \geq 1/2$ , if  $\Gamma$  contains parabolic elements, or, equivalently if  $\partial\Omega$  has isolated points.

Notice that the groups satisfying the hypothesis of Theorem A have exponent at most 1/2.

Here we shall show the following somehow surprising result.

**Theorem 1.** If  $\Omega$  is a Hayman-Wu domain then  $\delta(\Omega) < 1$ .

Since there are domains of finite connectivity (with no point-boundary components) with exponent arbitrarily close to 1 we see that Theorem 1 is in a certain sense sharp. The exponent of the domain

$$\Omega_{\epsilon} = \Delta \left(0, \frac{1}{\epsilon}\right) \setminus \bar{\Delta}(0, \epsilon) \setminus \bar{\Delta}(1, \epsilon), \qquad \epsilon \in \left(0, \frac{1}{2}\right)$$

increases to 1 as  $\epsilon$  decreases to 0.

We shall deduce Theorem 1 from combining two results about domains with strong barriers.

**Definition.** Let  $\Omega$  be a plane domain. A non-constant positive superharmonic function U of  $\Omega$  is called a strong barrier if there exists a positive number  $\epsilon$  such that

$$\Delta U + \frac{\epsilon \cdot U}{\operatorname{dist}(\bullet, \partial \Omega)^2} \leq 0,$$

(where this inequality is meant in the weak sense).

If  $\Omega$  has a strong barrier then  $\Omega$  has a Green's function and moreover every boundary point is regular for the Dirichlet problem, and thus  $\Omega$  has no pointboundary components.

Domains with strong barriers can be characterized in a variety of ways, and we shall use the rich knowledge about them to prove the following two results which yield Theorem 1 immediately.

**Theorem 2.** If  $\Omega$  is a Hayman-Wu domain then  $\Omega$  posseses a strong barrier.

The reciprocal of Theorem 2 does not hold. This follows from Theorem 4 below.

**Theorem 3.** If  $\Omega$  possesses a strong barrier then  $\delta(\Omega) < 1$ .

In this case it is easy to see that the reciprocal does not hold; simply take  $\Omega = \Delta^*$ , then  $\delta(\Omega) = 1/2$ , but  $\Omega$  does not have a strong barrier.

It should be remarked that in [Po2] an example is offered of a domain with a strong barrier but  $\delta = 1$ . There is an error in the calculations there.

A Denjoy domain is a domain in the sphere whose complement is a compact set of the real line. Thus  $\Omega = \hat{\mathbb{C}} \setminus E$ ,  $E \subset \mathbb{R}$ , E compact. Denjoy domains have been recently studied by several authors in connection mostly with the Corona problem. See [RR], [C], [JM], [GJ]. They provide a test case for problems about multiply connected domains.

A compact set  $E \subset \mathbb{R}$  is called *homogeneous* if there exists a constant  $c_E$  so that if  $x \in \mathbb{R}$  and  $\delta > 0$ .

$$\frac{|(x-\delta,x+\delta)\cap E|}{\delta} \ge c_E.$$

Carleson introduced this condition in [C] where he showed that the associated Denjoy domain satisfies the Corona theorem.

Garnett and Jones [GJ] later showed this with no restriction on the set E. More recently, Zinsmeister has shown that E is homogeneous if and only if  $H^{1}(E) = H^{1}(\mathbb{R})$  (see [Z] for definitions and results).

If E is homogeneous then  $\hat{\mathbb{C}} \setminus E$  has an strong barrier.

For Denjoy domains the homogeneity of the boundary is the key for being a Hayman-Wu domain.

**Theorem 4.** If  $\Omega$  is a Denjoy domain, then  $\Omega$  is a Hayman-Wu domain if and only if  $\partial\Omega$  is homogeneous.

The proof of Theorem *i* is in Section *i*, i = 2, 3, 4. In Section 5 we consider another notion of a domain being almost simply connected and relate that to the results above. In Section 6 we give the proof of Theorem A for the sake of completeness.

I wish to thank A. Ancona for pointing out the example in the Remark in Section 7. I am most grateful to Juha Heinonen for very stimulating conversations which motivated this paper.

### **1. Domains with Strong Barrier**

Here we collect the relevant features of domains with strong barrier.

Let  $\Omega$  be a plane domain other than the plane or a punctured plane. The universal covering Riemann surface is the unit disk. Consider the Poincaré metric in the unit disk. Via the universal covering map,  $\pi$ , it can be projected onto a metric in  $\Omega$  so that  $\pi$  is a local isometry. This projected metric is conformal with the euclidean metric and the scale factor, denoted by  $\lambda_{\Omega}$ , is determined by the equation

$$\lambda_{\Omega}(\pi(z))|\pi'(z)|=\frac{2}{1-|z|^2}, \qquad z\in\Delta.$$

The volume form of this metric will be denoted by  $\omega_{\Omega}$ ; it is simply

$$\omega_{\Omega} = \lambda_{\Omega}^2 \, dx \wedge dy.$$

It is always the case and follows from Schwarz's lemma that

$$\lambda_{\Omega} \leqslant \frac{2}{\operatorname{dist}\left(\bullet, \partial \Omega\right)} \cdot$$

To have a reversed inequality, *i.e.*, to have  $0 < \inf_{z \in \Omega} \lambda_{\Omega}(z) \operatorname{dist}(z, \partial\Omega)$  is equivalent to the existence of a strong barrier, [BP], [Po1]. Also in terms of the group  $\Gamma$  we have that  $\Omega$  has a strong barrier if and only if there exists  $\tau_0 > 0$  so that the translation length of every element of  $\Gamma$  is at least  $\tau_0$ , [P1]. (The translation length of a parabolic element is defined to be zero.) In geometric terms this translates into having no punctures plus the existence of a positive lower bound for the length of closed simple Poincaré geodesics of  $\Omega$ .

We shall need another characterization. A domain  $\Omega$  has a strong barrier if and only if  $\partial \Omega$  verifies the following capacity condition: there exists a constant  $C_0 > 0$ 

(1.1) 
$$\operatorname{cap}(\Delta(b,r) \cap \partial\Omega) > C_0 r$$

for every  $b \in \partial \Omega$ , and r,  $0 < r \leq \text{diam}(\partial \Omega)$ .

The strong barrier condition is also equivalent to  $U_{\Gamma,1}$  being bounded [Po2]. Recall that the condition appearing in Theorem A is that  $U_{\Gamma,1/2}$  is bounded.

All this can be found in [A], [BP], [Po1], [Po2].

# 2. Proof of Theorem 2

We will check that if  $\Omega$  is a Hayman-Wu domain then (a), there is a constant  $\tau_0 > 0$  so that all closed simply geodesic have Poincaré length at least  $\tau_0$ , and (b), there are no punctures. We need a simple lemma:

**Lemma.** Let T be hyperbolic Möbius transformation of the unit disk onto itself whose axis passes through 0. Then

$$\frac{1}{|T(0)|} \leq \sum_{k} (1 - |T^{k}(0)|^{2}) \leq \frac{2}{|T(0)|^{2}}.$$

PROOF. We may assume that the fixed points of T are -1 and 1, and that  $T(0) = a \in (0, 1)$ . Let  $b_n = T^n(0)$ ,  $n \ge 0$ . Then

$$1 - |b_n|^2 = 1 - |T(b_{n-1})|^2 = \frac{(1 - |b_{n-1}|^2)(1 - |a|^2)}{|1 + b_{n-1}a|^2}, \qquad n \ge 1.$$

For  $n \ge 1$  we have:

$$1 \leq |1+b_{n-1}a| \leq 1+a,$$

and so

$$(1-a^2)^n \ge 1-|b_n|^2 \ge \left(\frac{1-a}{1+a}\right)^n, \qquad n \ge 1.$$

Therefore

$$\frac{2}{a^2} > \sum_{k \in \mathbb{Z}} (1 - |T^k(0)|^2) = 1 + 2 \sum_{n=1}^{\infty} (1 - |b_n|^2) \ge \frac{1}{a}.$$

(a) Let  $\sigma$  be a closed simple geodesic in  $\Omega$ .

The Jordan curve  $\sigma$  contains points of  $\partial\Omega$  in its Jordan interior. Let  $s \in \sigma$  and  $b \in \partial\Omega$  be such that

$$|b - s| = \text{dist}(\sigma, \partial \Omega \cap \text{interior}(\sigma)).$$

Let F be a universal covering map which takes 0 to s.

Lift  $\sigma$  to a geodesic segment in  $\Delta$  through 0. The lift is part of a diameter  $\tilde{\sigma}$  of  $\Delta$ . Let T be the Möbius covering transformation  $(F \circ T = F)$  corresponding to  $\sigma$ . Then the axis of T is  $\tilde{\sigma}$  since  $\sigma$  is smooth. Moreover the length L of  $\sigma$  safisfies

(2.1) 
$$\frac{1}{\tanh\left(\frac{L}{2}\right)} \leq \sum_{k \in \mathbb{Z}} 1 - |T^k(0)|^2.$$

The segment from s to b is contained in  $\Omega$  and its preimage under F contains a collection of curves each one of them emanates from a point of the orbit of 0 and goes all the way to  $\partial \Delta$ , therefore the total length of these curves is at least  $\sum_{\gamma \in \Gamma} 1 - |\gamma(0)|$ . And consequently we have that

(2.2) 
$$\sum_{\gamma \in \Gamma} (1 - |\gamma(0)|) \leq c_{\Omega}.$$

Therefore,

$$\tanh\left(\frac{L}{2}\right) \geq \frac{1}{2c_{\Omega}}.$$

and so L is bounded below by a constant depending only on  $c_{\Omega}$ .

(b) It remains to deal with the possible isolated points of the boundary of Ω. We may assume that 0 ∈ ∂Ω and Δ\* ⊂ Ω. Let F be a universal covering map which takes 0 to 1/2. The circle |z| = 1/2 is lifted to a curve joining 0 to T(0) where T∈ Γ is parabolic. We may assume that the unique fixed point of T is 1. Now the segment σ from 1/2 to 0 lifts to a curve õ in

 $\Delta$  which joins 0 to 1. Notice that  $F(\bigcup_{k \in \mathbb{Z}} T^k(\tilde{\sigma})) \subset (0, 1/2]$ , and therefore since  $T(\tilde{\sigma})$  joins  $\gamma(0)$  to 1, we see that

(2.3) 
$$\sum_{k \in \mathbb{Z}} |1 - T^k(0)| \leq \operatorname{length} (F^{-1}(\Omega \cap \mathbb{R})) \leq c(\Omega).$$

But it is easy to see that  $|1 - T^k(0)||k| \to t_0$  as  $|k| \to \infty$  where  $t_0$  is a positive number. Therefore the sum on the left is actually infinite. Thus we have shown that  $\partial\Omega$  has no isolated points and so the proof is complete.

# 3. Proof of Theorem 3

Our proof of Theorem 3 is actually a combination of results which appear in papers by Ancona [A] and Sullivan [S1]. Ancona shows that in domains with strong barrier the following form of Hardy's inequality holds: there exists a constant  $c_1$  so that for every smooth function  $\varphi$  compactly supported in  $\Omega$ 

(3.1) 
$$\iint_{\Omega} |\varphi(z)|^2 \frac{dx \, dy}{\operatorname{dist} (z, \,\partial\Omega)^2} \leq c_1 \iint_{\Omega} |\nabla\varphi(z)|^2 \, dx \, dy, \qquad (z = x + iy).$$

The constant  $c_1$  depends only on the  $\epsilon$  in the definition of strong barrier. As a matter of fact the existence of strong barrier is equivalent to (3.1).

Recall that the density of the Poincaré metric is denoted by  $\lambda_{\Omega}$ , while its volume form is denoted by  $\omega_{\Omega}$ .

The Dirichlet integral is a conformal invariant. Therefore the integral on the right hand side of the inequality (3.1) equals

$$(3.2) \qquad \qquad \int \int_{\Omega} |\nabla_{\Omega} \varphi|^2_{\Omega} \, \omega_{\Omega}$$

where  $\nabla_{\Omega}$  denotes the gradient with respect to the Poincaré metric of  $\Omega$ , and  $| |_{\Omega}$  denotes length in the tangent space with respect to the Poincaré metric of  $\Omega$ .

Moreover, it is always the case that

(3.3) 
$$\lambda_{\Omega}(z) \leq \frac{2}{\operatorname{dist}(z,\partial\Omega)}, \text{ for every } z \in \Omega.$$

Using (3.2) and (3.3) we see that inequality (3.1) implies that

(3.4) 
$$\iint_{\Omega} |\varphi|^2 \omega_{\Omega} \leq c_1 \iint_{\Omega} |\nabla_{\Omega} \varphi|^2 \omega_{\Omega}, \text{ for every } \varphi \in C_0^{\infty}(\Omega).$$

But this means that the Poincaré inequality holds in the Riemannian manifold  $\Omega$  and therefore the spectrum of the Laplace-Beltrami operator of  $\Omega$  is contained in  $(-\infty, -1/C_1)$ .

And now the theorem of Elstrodt-Patterson-Sullivan (see [S1, p. 333]) provides the final stroke because if  $\delta = \delta(\Gamma)$  then it claims in our case that

$$\delta(1-\delta) \geqslant \frac{1}{C_1},$$

if  $\delta \ge 1/2$ . In particular,

$$\delta \leq \max\left\{1-\frac{1}{C_1},\frac{1}{2}\right\} < 1.$$

*Remark.* One can use the argument of Lemma 1 of [Su] to show directly that if a domain posseses strong barrier then the isoperimetric inequality, A < cL, holds (for its Poincaré metric), and combine this with Cheeger's inequality to give the result.

## 4. Proof of Theorem 4

#### Sufficiency

Here we assume that  $\partial \Omega$  is homogeneous.

First of all we reduce the proof to the case  $L = \mathbb{R}$ . Let a universal covering map F be given and assume that we have seen that

$$(4.1) \qquad \qquad \operatorname{length} \left(F^{-1}(\Omega \cap \mathbb{R})\right) \leqslant M$$

where M depends on  $\Omega$  but not on F. Let L be any other straight line and  $L^+$  be the part of L above  $\mathbb{R}$ . Let G be any branch of  $F^{-1}$  defined on the upper half plane. By the Hayman-Wu theorem (see [GGJ]) we have that

(4.2) 
$$\operatorname{length} (G(L^+)) \leq \tilde{P} \operatorname{length} (\partial G(U))$$

where  $\tilde{P}$  is an absolute constant. Adding up (4.2) over all branches G and using (4.1) we see that

length 
$$(F^{-1}(L^+)) \leq \tilde{M}$$
,

where  $\tilde{M}$  depends only on  $\Omega$ . Similarly, length  $(F^{-1}(L^{-})) \leq \tilde{M}$  and so

length 
$$(F^{-1}(L)) \leq 2\tilde{M}$$

Choose now a universal covering map F. We will check that (4.1) holds. Let us denote by  $I_j$  the complementary intervals of E in  $\mathbb{R}$ .

In each  $I_i$  we select points  $z_k^{(j)}$  as follows: if  $I_i = (a, b)$ , with a, b finite then

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$$z_0^{(j)}=\frac{a+b}{2},$$

and

$$z_k^{(j)} = z_0^{(j)} + \operatorname{sign}(k) \frac{|I_j|}{2} (1 - 1/2^k), \qquad k \in \mathbb{Z}.$$

If the interval contains  $\infty$ , we select  $\infty$  as  $z_0^{(j)}$  and, if  $q = \sup E$  and  $p = \inf E$ ,

we let

$$z_k^{(j)} = q + \frac{1}{2^k} \operatorname{diam}(E), \quad k \ge 1,$$
  
 $z_k^{(j)} = p - 2^k \operatorname{diam}(E), \quad k \le -1.$ 

Let  $Z = \{z_k^{(j)}: j, k\}$ . We shall check that  $F^{-1}(Z)$  is an interpolating sequence whose constants are independent of the choice of the universal covering F of  $\Omega$ . Assume this for the moment and let us show how to finish the proof.

Denote by  $I_{j,k}$  the interval  $(z_k^{(j)}, z_{k+1}^{(j)})$ ,  $k \in \mathbb{Z}$ . Let G be any branch of  $F^{-1}$  defined on the whole interval  $3I_{j,k}$  (which is the interval with same center and triple the length). Then  $G(I_{j,k})$  is a curve in  $\Delta$  whose Poincaré diameter is bounded by an absolute constant (log 4); this follows from Schwarz' Lemma. In particular if  $x \in I_{j,k}$  we have

(4.3) 
$$1 - |G(x)|^2 \leq A(1 - |G(z_k^{(j)})|^2),$$

where A is an absolute constant. Thus, if  $x \in I_{j,k}$ ,

$$|G'(x)| = (1 - |G(x)|^2)\lambda_{\Omega}(x) \leq \frac{1 - |G(x)|^2}{\operatorname{dist}(x, \partial\Omega)} \leq \frac{1 - |G(x)|^2}{|I_{j,k}|} \leq A \frac{1 - |G(z_k^{(j)})|^2}{|I_{j,k}|}$$

Consequently,

(4.4) 
$$\int_{I_{j,k}} |G'(x)| \, dx \leq A(1 - |G(z_k^{(j)})|^2).$$

And, in particular, adding up (4.4) over all j, k and G we obtain that

length 
$$(F^{-1}(\Omega \cap \mathbb{R})) \leq A \sum_{w \in F^{-1}(Z)} (1 - |w|^2).$$

But we are assuming that we have already shown that  $F^{-1}(Z)$  is an interpolating sequence and so, in particular, that the measure

$$\mu = \sum_{w \in F^{-1}(Z)} (1 - |w|^2) \delta_w$$

is finite (as a matter of fact, that  $\mu$  is a Carleson measure). The interpolation constants of  $F^{-1}(Z)$  depend only on  $\Omega$  and thus so does the mass of  $\mu$ ; this implies that (4.1) holds.

All that remains is to show that  $F^{-1}(Z)$  is an interpolating sequence. But before doing so let us remark that the argument above (which appears in [GGJ]) is general. In fact, given  $\Omega$  (not necessarily Denjoy), split the intersection with  $\Omega$  of a given line L into disjoint intervals  $J_k$  so that in each interval  $J_k$ 

$$\frac{1}{100} \leqslant \frac{\operatorname{dist}\left(z, \partial \Omega\right)}{\operatorname{length}\left(J\right)} \leqslant 100.$$

Let  $z_k$  be the center of  $J_k$ . Then if  $F^{-1}(\{z_k\})$  is interpolating with contants depending on  $\Omega$  alone one deduces that  $\Omega$  is a Hayman-Wu domain. Conversely, if  $\Omega$  is Hayman-Wu then using that  $\Omega$  has strong barrier one may show that the inverse image of such a sequence is interpolating.

There is an argument introduced by Garnett-Gehring-Jones for checking whether  $F^{-1}(Z)$  is interpolating or not by transfering the problem to a harmonic measure estimate on  $\Omega$  itself. If we assume that  $\Omega$  has an strong barrier then we have that  $F^{-1}(Z)$  is interpolating if and only if there is  $\epsilon < 1/4$  and a > 0 so that if for  $z \in Z$  we define

$$H_{\epsilon}(z) = \sum_{z' \in Z \setminus \{z\}} \overline{\Delta}(z', \epsilon \operatorname{dist}(z', \partial \Omega)) \cap \mathbb{R}.$$

Then

(4.5) 
$$\omega(z, \partial\Omega, \Omega \setminus H_{\epsilon}(z)) \ge a$$
, for all  $z \in Z$ .

This appears in [Po2] and in [JM]. If  $z' = \infty$  by  $\overline{\Delta}(\infty, \epsilon \operatorname{dist}(\infty, \partial\Omega))$  we mean  $\overline{\mathbb{R}} \setminus (p - (1/\epsilon) \operatorname{diam} \partial\Omega, q + (1/\epsilon) \operatorname{diam} \partial\Omega)$ . It turns out that for Denjoy domains with homogeneous complement (4.5) can be easily checked. This could be done as follows: if  $z \in Z \setminus \{\infty\}$ , then  $\Delta(z, (1/8) \operatorname{dist}(z, \partial\Omega)) \subset \Omega \setminus H_{\epsilon}(z)$ ; by Harnack's inequality it is enough to estimate

$$\omega(z + id, \partial\Omega, \Omega \setminus H_{\epsilon}(z))$$

from below, where

$$d=\frac{1}{16}\operatorname{dist}\left(z,\partial\Omega\right),$$

But

$$\omega(z+id,\partial\Omega,\Omega\backslash H_{\epsilon}(z)) \ge \omega(z+id,\partial\Omega,U),$$

(where U is the upper half plane).

Let  $b \in \partial \Omega$  be such that  $|z - b| = \text{dist}(z, \partial \Omega)$ , using again Harnack's inequality we see that we just need to estimate  $\omega(b + id, E, U)$  form below. But from the explicit expression of the Poisson kernel of the upper half plane we readily see that

$$\omega(b+id, E, U) \ge C \frac{|(b-10d, b+10d)|}{d}$$

where C is an absolute constant. And this gives the desired result. (For  $z = \infty$  one needs a minor variation of the argument.)

#### Necessity

Assume that  $\Omega$  is a Hayman-Wu domain. We want to check that  $\partial \Omega$  is homogeneous. Write  $E = \partial \Omega$ .

We already know that E satisfies the capacity condition (1.1).

We use the notation of the proof of the sufficiency.

We know that for some  $\epsilon > 0$  and  $a = a(\epsilon) > 0$ 

$$\omega(z, E, \Omega \setminus H_{\epsilon}(z)) \ge a$$
, for every  $z \in A$ .

It is easy to check that  $E \cup \hat{H}_{\epsilon}(z)$  is homogeneous with a constant depending only on  $\epsilon$  (and not on *E*). Here  $\hat{H}_{\epsilon}(z)$  is the part of H(z) not lying in the component of  $\infty$  of  $\mathbb{R} \setminus E$ . Clearly

$$\omega(z, E, \Omega \setminus \hat{H}_{\epsilon}(z)) \ge a$$
, for every  $z \in Z$ .

Let V = [p, q] be the smallest interval containing E. We shall check that for an appropriate constant  $M = M(\epsilon)$  we have for all  $y \in V \setminus E$  that

$$(4.7) \qquad |\Delta(y, M \operatorname{dist}(y, E)) \cap E| \ge C \operatorname{dist}(y, E)$$

where  $C = C(\epsilon)$ .

This will be enough as the following simple lemma shows.

**Lemma.** Let  $A \subset [0, 1]$  be a closed set and assume that there exist constants  $\eta$ , N such that if  $y \in [0, 1] \setminus A$ 

$$|(y - Nd(y), y + Nd(y)) \cap A| \ge \eta d(y),$$

where d(y) = dist(y, A) then

 $|A| \ge \eta/8N.$ 

PROOF OF LEMMA. Let  $J_y = (y - Nd(y), y + Nd(y))$ .

Consider

$$B=\bigcup_{y\in[0,\,1]\setminus A}J_y.$$

We may choose points  $y_j$  so that

$$B = \bigcup_j J_{y_j}$$

and

$$\sum_{j} \chi_{y_{j}} \leqslant 2\chi_{I}$$

(*i.e.* no point of B is in more than two of the  $J_{y_i}$ ). Then

$$|A \cap B| = \int_A \chi_B \ge \frac{1}{2} \sum_j |A \cap J_{y_j}| \ge \frac{\eta}{2} \sum_j d(y_j) \ge \frac{\eta}{4N} |B|.$$

Now,  $A \cap B \subset A$ , and  $B \supset [0, 1] \setminus A$  so that

$$|A| \ge \frac{\eta}{4N}(1-|A|)$$

and so

$$|A| \geqslant \frac{\eta}{8N}$$

It is clear that in order to check (4.7) for all  $y \in V \setminus E$  it is enough to do so when y is one of the points  $z_k^{(j)}$ .

Since both the data and the desired conclusion are translation and scale invariant, we may assume that  $z_k^{(j)} = 0$ ,  $1 \in E$ , and dist  $(z_k^{(j)}, E) = 1$ .

Around 1/2 there is an interval of length  $2\epsilon$  which lies in  $\partial H_{\epsilon}(0)$ . Then there exists  $M = M(\epsilon)$  so that

$$\omega(0, \mathbb{R} \setminus (-M(\epsilon), M(\epsilon)), \Omega \setminus \hat{H}_{\epsilon}(z) \setminus [-M(\epsilon), M(\epsilon)]) \leq a/2.$$

Therefore we see that

(4.8) 
$$\omega(0, E \cap [-M(\epsilon), M(\epsilon)], \Omega \setminus \hat{H}_{\epsilon}(z)) \ge a/2.$$

We define two sets  $\tilde{E}, \tilde{K}$  as follows: we let  $\tilde{E}$  be the set  $E \cap [-M(\epsilon), M(\epsilon)]$  and  $\tilde{K}$  be the set  $E \cup ([-M(\epsilon), M(\epsilon)] \cap \hat{H}_{\epsilon}(0))$ . Consider  $\tilde{\Omega} = \hat{\mathbb{C}} \setminus \tilde{K}$ . We know from (4.8) that

$$\omega(0, \tilde{E}, \tilde{\Omega}) \ge a/2.$$

Again  $\tilde{K}$  is homogeneous with a constant depending only on  $\epsilon$ , and since  $\tilde{K} \subset [-M(\epsilon), M(\epsilon)]$  then we know that  $\omega(\infty, \cdot, \tilde{\Omega})$  is absolutely continuous with respect to length and in fact, that the Radon-Nikodym derivative *h* is in  $L^p$ , for some p > 1. More precisely.

$$\omega(\infty, \bullet, \tilde{\Omega}) = h \, dx$$

and for  $p = p(\epsilon) > 1$  and  $T = T(\epsilon)$  we have

$$\int_{\partial \tilde{\Omega}} |h(x)|^p \, dx \leqslant T(\epsilon).$$

This is the heart of the matter. It is due to Jones and Marshall ([JM]).

From Harnack's inequality (and a bit of Poincaré geometry), we have

$$\omega(\infty, \tilde{E}, \tilde{\Omega}) \ge a'.$$

 $(a' = a'(a, \epsilon) = a'(\epsilon))$ . Therefore

$$a' \leq \int_E |h(x)| dx \leq T(\epsilon)^{1/p} |\tilde{E}|^{1-1/p}$$

And so

$$|E \cap [-M(\epsilon), M(\epsilon)]| \ge c = c(\epsilon)$$

and we are done.

# 5. Fully Accessible Domains

This is a notion that has been introduced and studied by Patterson, [Pa1], [Pa2], Pommerenke [Po3], [Po4], [Po5], and Sullivan [S2]. A Fuchsian group  $\Gamma$  is called *fully accessible* if the action of  $\Gamma$  on  $\partial \Delta$  is fully dissipative *i.e.* if there is a measurable set  $B \subset \partial \Delta$  so that if  $\gamma \in \Gamma \setminus \{id\}$ ,  $|\gamma(B) \cap B| = 0$  and  $|\partial \Delta \setminus \bigcup_{\gamma \in \Gamma} \gamma(B)| = 0$ , or in other terms that the action of  $\Gamma$  on  $\partial \Delta$  has a measurable fundamental set.

A domain is called *fully accessible* if its covering group is fully accessible. Patterson showed in [Pa1] that if  $\delta(\Gamma) < 1/2$  then  $\Omega$  is fully accessible. On the other hand fully accessible domains may have  $\delta(\Omega) = 1$ . One such example is provided by  $\Omega = \Delta^* \setminus \{a_n\}$ , where  $a_n \to 0$ . It is easy to see that  $\Omega$  is fully accessible (see Theorem 3 or Example 1 in [Po4]) but  $\delta(\Omega) = 1$ . See Remark 1.

It is reasonable to expect that Hayman-Wu domains must be fully accesible. We can only show this for Denjoy domains. In that case a Hayman-Wu domain satisfies that if F is the symmetric universal covering map with  $F(0) = \infty$ ,  $\Gamma$ its covering group, and  $D_0$  the associated Dirichlet region at 0 then

$$\sum_{\gamma \in \Gamma} \operatorname{length} \left( \partial(\gamma(D_0)) \right) < \infty$$

(see [FH]).

This clearly implies that

$$\left| \partial \Delta \setminus \bigcup_{\gamma} \gamma(\partial D_0 \cap \partial \Delta) \right| = 0,$$

which gives that  $\Omega$  is fully accessible. Another argument to show this is provided by two characterizations. Assume that  $\Omega$  is a Denjoy domain. We have seen that  $\Omega$  is Hayman-Wu if and only if  $\Omega$  is homogeneous; on the other hand it has been shown by D. Hamilton and the author that  $\Omega$  is fully-accesible if and only if harmonic measure in  $\partial\Omega$  is absolutely continuous with respect to arc length (see Remark 2). But Carleson, [C], showed that for homogeneous sets harmonic measure is in fact absolutely continuous.

*Remark* 1. Let  $a_n$  be a sequence of numbers converging to zero. Let

$$\Omega = \Delta^* \setminus \{a_k\}_{k=1}^{\infty}$$

Now

$$\delta(\Omega) \geq \delta(\Delta \setminus \{0, a_n\}).$$

This follows from the results about signatures in [Pa2], but in [F] it is shown that  $\delta(\Delta \setminus \{0, a_n\}) \to 1$  as  $n \to \infty$  therefore  $\delta(\Omega) = 1$ .

Remark 2. We simply sketch the argument. It is based on the special form of the Dirichlet's,  $D_0$ , and Green's,  $G_0$ , fundamental region associated to the covering map F with takes 0 to  $\infty$  and is symmetric under complex conjugation. The Dirichlet region is mapped under F on  $\mathbb{C} \setminus [p, q]$  where [p, q] is the smallest closed interval which contains  $\partial\Omega$ . Since  $\partial D_0$  is rectifiable it follows that if  $\Gamma$  is fully accessible then  $\omega(\infty, \cdot, \partial\Omega)$  is absolutely continuous with respect to length. Conversely, since the Green's region is mapped onto  $\mathbb{C} \setminus [p, q]$  one sees that if  $\omega(\infty, \cdot, \partial\Omega)$  is absolutely continuous with respect to length then the Green's measure is absolutely continuous with respect to  $d\theta$ , and this is equivalent to full accessibility; (see [Po3] for definitions and this last result).

## 6. Proof of Theorem A

We start with

**Lemma.** Let G be a Fuchsian group and denote by  $D_0(G)$  the Dirichlet region of G at 0. Then

$$\sum_{g \in G} \text{length} \left( \partial g(D_0(G)) \leqslant \pi^2 \sum_{g \in G} (1 - |g(0)|^2)^{1/2} \right).$$

**PROOF.** The domain  $g(D_0(G))$  is contained in

$$\{z: \rho(z, g(0)) \le \rho(z, 0)\} = H(g(0)).$$

By a result of B. Brown, [B], we have that

length 
$$(\partial g(D_0(G))) \leq \frac{\pi^2}{2} \operatorname{diam} (g(D_0(G))).$$

But

diam 
$$(H(g(0))) = 2(1 - |g(0)|^2)^{1/2},$$

and so the result follows.

If  $\Gamma$  satisfies that  $U_{1/2}$  is bounded then for any group G conjugate to  $\Gamma$  we have

(6.1) 
$$\sum_{g \in G} \operatorname{length} \left( g(\partial D_0(G)) \right) \leqslant \pi^2 \| U_{1/2} \|_{\infty}.$$

For  $G = \omega^{-1} \Gamma \omega$ , where  $\omega \in \text{M\"ob}(\Delta)$ , and then

$$\sum_{g \in G} (1 - |g(0)|^2)^{1/2} = \sum_{\gamma \in G} (1 - [\omega(0), \gamma(\omega(0))]^2)^{1/2} = U_{1/2}(\omega(0)).$$

Assume that a covering group  $\Gamma$  of  $\Omega$  (and hence all) has  $||U_{1/2}||_{\infty} < \infty$ . Let F be any universal covering map from  $\Delta$  onto  $\Omega$ . The group of deck

transformations of  $\Gamma$  is a group G conjugate to  $\Gamma$ .

We want to estimate the length of the set  $V = F^{-1}(\Omega \cap L)$  where L is an straight line. Since F is one-to-one on  $g(D_0(G))$  we deduce form the Hayman-Wu theorem (see [GGJ]) that

(6.2) 
$$\operatorname{length} (V \cap g(D_0(G))) \leq C \operatorname{length} (g(\partial D_0(G)))$$

where C is an absolute constant. But then using (6.1) and (6.2) we deduce that

$$\begin{split} \operatorname{length}(V) &\leq \sum_{g \in G} \operatorname{length}\left(\partial g(D_0(G))\right) + \sum_{g \in G} \operatorname{length}\left(V \cap g(D_0(G))\right) \\ &\leq (1+c) \sum_{g \in G} \operatorname{length}\left(g(\partial D_0(G))\right) \\ &\leq (1+c)\pi^2 \|U_{1/2}\|_{\infty}. \end{split}$$

# 7. An Example

We know that for a domain  $\Omega$ ,  $U_1$  is bounded if and only if  $\Omega$  posseses a strong barrier. Possesing a strong barrier means that  $\Omega$  contains no doubly connected domains (separating  $\partial\Omega$ ) of arbitrarily large modulus, or equivalently, in view of a theorem of Teichmüller ([Ah, p. 74]), that contains no ring (separating  $\partial\Omega$ ) of arbitrarily large modulus (see [BP], [Po1]).

Let us define the modulus of a domain  $\Omega$  as

$$M(\Omega) = \sup \{ \mod(R) : R, \operatorname{ring}, R \subset \Omega, R \text{ separating } \partial\Omega \}.$$

The constant  $M(\Omega)$  and the reciprocal of the  $\epsilon$  in the definition of strong barrier are bounded by functions of each other.

Since  $\delta(\Omega) < 1/2$  guarantees that there are no isolated boundary points it is tempting to guess that  $\delta(\Omega) < 1/2$  implies that  $\Omega$  possesses an strong barrier. Theorem A also points in that direction. Unfortunately

**Example.** Given  $\delta_0 > 0$  there exist a domain  $\Omega$  with  $\delta(\Omega) \leq \delta_0$  but  $M(\Omega) = \infty$ .

In order to show that the exponent of a domain is close to 1 one only has to provide an example of a function  $\varphi \in C_0^{\infty}(\Omega)$  with small

$$\frac{\iint_{\Omega} |\nabla \varphi|^2_{\Omega} \, dx \, dy}{\iint_{\Omega} |\varphi|^2 \omega_{\Omega}}.$$

But the Rayleigh quotient is of no help here since at most it can be used to show that  $\delta(\Omega) \leq 1/2$ . We do have to look into the geometry of  $\Omega$ .

Given a sequence  $\epsilon_i$  of positive numbers tending to zero consider the domain

$$\Omega = \mathbb{C} \setminus \bigcup_{n \in \mathbb{Z}} \overline{\Delta}(2n+1, \epsilon_{|n|}) \setminus \bigcup_{n \in \mathbb{Z}} T(2n, \eta_n).$$

where if  $a \in \mathbb{R}$  and  $\eta > 0$ 

$$T(a, \eta) = \{a + iy: |y| \ge \eta\} \cup \{x + iy: |x - a| \le 1/2, |y| = \eta\}.$$

If  $\delta_0$  is given we can choose the numbers  $\eta_n$  converging to zero so fast that  $\delta(\Omega) \leq \delta_0$ . Of course,  $M(\Omega) = \infty$ .

We content ourselves with giving a proof of the following

**Lemma.** Given  $\delta_0 > 0$  and  $M_0$  there exists a triply connected domain  $\Omega$  with

 $\delta(\Omega) \leq \delta_0 \quad and \quad M(\Omega) \geq M_0.$ 

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Consider the domain

$$\Omega = \mathbb{C} \setminus \overline{\Delta}(1,\epsilon) \setminus \overline{\Delta}(-1,\epsilon) \setminus T(0,\eta).$$

The set  $\{x + iy: |y| \leq \eta/2, |x| \leq 1/2\}$  will be called the tunnel. It is clear that if  $\epsilon$  is small enough then  $M(\Omega) \geq M_0$  (recall that  $\eta \leq \epsilon$ ). We now fix  $\epsilon$  and show that  $\delta(\Omega)$  tends to zero as  $\eta \to 0$ .

Notice that  $\Omega$  is symmetric under reflection on the imaginary axis  $((x + iy)^* = -x + iy)$ . Choose F so that F(0) = 1 and  $F(\overline{z}) = F(z)^*$ . We have to check that for s small (assuming  $\eta$  small) we have

(7.1) 
$$\sum_{\gamma \in \Gamma} e^{-s\rho(0, \gamma(0))} < \infty$$

where  $\Gamma$  is the covering group of *F*. The group  $\Gamma$  is a free group in two generators. One generator,  $\alpha$ , corresponds to the loop with base at 1, which surrounds  $\overline{\Delta}(1, \epsilon)$  the other one,  $\beta$ , corresponds to the \*-symmetric loop. We decompose the sum in (7.1) as follows

(7.2) 
$$1 + \sum_{k=1}^{\infty} \sum_{\gamma \in A_k} e^{-s\rho(0, \gamma(0))},$$

where  $A_k$  denotes the collection of those elements of  $\Gamma$  of the form

$$\sigma = w_1^{p_1} w_2^{p_2} \cdots w_k^{p_k}$$

where  $w_i$  is  $\alpha$  or  $\beta$  but  $w_i \neq w_{i+1}$ , i = 1, ..., k-1, and  $p_i \in \mathbb{Z} \setminus \{0\} = \mathbb{Z}^*$ . Consider  $\sigma \in A_k$ , we will estimate  $\rho(0, \sigma(0))$  from below. Let h denote the length of the shortest geodesic in  $\Omega$  which surrounds  $\overline{\Delta}(1, \epsilon)$ . This number h depends on  $\epsilon$  and  $\eta$  but there exist  $h_0 = h_0(\epsilon)$  which depends only on  $\epsilon$  so that  $h \ge h_0$ . (This could be seen by using the convergence results in [H]).

The segment from 0 to  $\sigma(0)$  is mapped by F onto a curve  $\hat{\sigma}$  which is locally a geodesic and

$$\rho(0, \sigma(0)) = l_{\Omega}(\hat{\sigma})$$
 (= the Poincaré length of  $\hat{\sigma}$ ).

With this information we may estimate  $l_{\Omega}(\hat{\sigma})$  from below as follows:

$$l_{\Omega}(\hat{\sigma}) \ge \left(\sum_{j=1}^{k} |p_j| - k\right) h_0 + k\left(\frac{1}{\eta}\right)$$

For the length of a curve connecting the short sides of the tunnel is at least  $1/\eta$  and  $\hat{\sigma}$  «contains» k arcs connecting these short sides.

For a vector v in  $(\mathbb{N} - \{0\})^k$  we write

$$\|v\| = \sum_{j=1}^{k} |v_j|$$

Then we have that

$$\sum_{\gamma \in A_k} e^{-s\rho(0, \gamma(0))} \leq 2^k \sum_{v \in (\mathbb{N} - \{0\})^k} e^{-s(\|v\|h_0 + k(1/\eta - h_0))}$$
$$= 2^k e^{-sk(1/\eta - h_0)} \sum_{v \in (\mathbb{N} - \{0\})^k} e^{-sh_0 \|v\|}$$
$$= 2^k e^{-s(1/\eta - h_0)k} \left[ \frac{e^{-sh_0}}{1 - e^{-sh_0}} \right]^k$$

and given s if we choose  $\eta$  small enough we have that

$$e^{-s(1/\eta - h_0)} < \frac{1}{4}(e^{sh_0} - 1)$$

and then the sum (7.2) is majorized by

$$1 + \sum_{k=1}^{\infty} \frac{1}{2^k} = 2.$$

*Remark.* The example shows that one can have  $\delta$  small while  $U_1$  is unbounded. On the other hand Theorem 3 shows that for plane domains if  $U_1$  is bounded then  $\delta < 1$ . This last fact *does not hold for Riemann surfaces*. Consider a  $Z^3$ -cover R of a compact Riemann surface S. Now R has a Green's function (see, *e.g.*, [T, p. 484]) and since  $U_1$  is invariant under the  $Z^3$ -action we have that  $U_1$  of R is bounded. On the other hand it is easy to see that the infimum of the Rayleigh's quotient is zero, and so  $\delta = 1$ . This example was pointed out by A. Ancona.

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