

On radial behaviour and balanced Bloch functions

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Abstract. A Bloch function g is a function analytic in the unit disk such that $(1 - |z|^2) |g'(z)|$ is bounded. First we generalize the theorem of Rohde that, for every “bad” Bloch function, $g(r\zeta)$ ($r \rightarrow 1$) follows any prescribed curve at a bounded distance for ζ in a set of Hausdorff dimension almost one. Then we introduce balanced Bloch functions. They are characterized by the fact that $|g'(z)|$ does not vary much on each circle $\{|z| = r\}$ except for small exceptional arcs. We show *e.g.* that

$$\int_0^1 |g'(r\zeta)| dr < \infty$$

holds either for all $\zeta \in \mathbb{T}$ or for none.

1. Radial behaviour of Bloch functions.

Let $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$ and $\mathbb{T} = \partial\mathbb{D}$. The function g analytic in \mathbb{D} is called a Bloch function if

$$(1.1) \quad \|g\|_{\mathcal{B}} = \sup_{z \in \mathbb{D}} (1 - |z|^2) |g'(z)| < \infty.$$

This holds if and only if the Riemann image surface of g as a cover of \mathbb{C} does not contain arbitrarily large unramified disks. We denote the family of Bloch functions by \mathcal{B} .

First we generalize a surprising result of Steffen Rohde [Ro93]. Let c_1, c_2, \dots be positive absolute constants and let $\dim E$ denote the Hausdorff dimension [Fa85, p. 7] of $E \subset \mathbb{T}$. Note that $\dim \mathbb{T} = 1$.

Theorem 1.1. *Let $G \subset \mathbb{C}$ be a domain with $0 \in G$ and let g be a Bloch function with $\|g\|_{\mathcal{B}} \leq 1$ and $g(0) = 0$. We assume that, for almost all $\zeta \in \mathbb{T}$,*

$$(1.2) \quad \lim_{r \rightarrow 1} g(r \zeta) \text{ lies in } \mathbb{C} \setminus G \text{ or does not exist.}$$

Let Γ be any halfopen curve in G starting at 0. If

$$(1.3) \quad c_1 < R < \text{dist}(0, \partial G), \quad \text{dist}(\Gamma, \partial G) \geq 2R,$$

then there exists $E_\Gamma \subset \mathbb{T}$ with

$$(1.4) \quad \dim E_\Gamma \geq 1 - \frac{c_2}{R}$$

such that, for $\zeta \in E_\Gamma$, we can find a parametrization $\gamma_\zeta(r)$, $0 \leq r < 1$ of Γ with $\gamma_\zeta(0) = 0$ such that

$$(1.5) \quad |g(r \zeta) - \gamma_\zeta(r)| \leq 2R, \quad \text{for } 0 \leq r < 1.$$

This theorem is due to Rohde [Ro93] for the case that $G = \mathbb{C}$. Thus the radial image follows any prescribed curve with a bounded deviation on a set of dimension almost 1. Now we apply this theorem to (injective) conformal maps f of \mathbb{D} into \mathbb{C} . It is well-known [DuShSh66], [Be72] that

$$(1.6) \quad \begin{aligned} f \text{ conformal implies } \|\log f'\|_{\mathcal{B}} &\leq 6, \\ \|\log f'\|_{\mathcal{B}} \leq 1 \text{ implies } f \text{ conformal.} \end{aligned}$$

If the radial limit $f(\zeta)$ exists and is finite (which holds for almost all $\zeta \in \mathbb{T}$), we write

$$(1.7) \quad \begin{aligned} \alpha(\zeta) &= \liminf_{r \rightarrow 1} \arg((r \zeta) - f(\zeta)), \\ \beta(\zeta) &= \limsup_{r \rightarrow 1} \arg(f(r \zeta) - f(\zeta)). \end{aligned}$$

We give a partial generalization of [CaPo97, Theorem 1].

Corollary 1.2. *Let f map \mathbb{D} conformally into \mathbb{C} and suppose that*

$$(1.8) \quad \limsup_{r \rightarrow 1} |f'(r\zeta)| \geq 1, \quad \text{for almost all } \zeta \in \mathbb{T},$$

$$(1.9) \quad \liminf_{r \rightarrow 1} |f'(r\zeta_0)| = 0, \quad \text{for some } \zeta_0 \in \mathbb{T}.$$

Then, for $j = 1, 2, 3, 4$, there exist sets $E_j \subset \mathbb{T}$ with $\dim E_j = 1$, such that

- i) $\alpha(\zeta) = -\infty, \beta(\zeta) = +\infty$, for $\zeta \in E_1$ (*twist point*),
- ii) $\alpha(\zeta) = \beta(\zeta) = +\infty$, for $\zeta \in E_2$ (*spiral point*),
- iii) $-\infty < \alpha(\zeta) < \beta(\zeta) = +\infty$, for $\zeta \in E_3$ (*gyration point*),
- iv) $-\infty < \alpha(\zeta) + 2\pi < \beta(\zeta) < +\infty$, for $\zeta \in E_4$ (*oscillation point*).

Moreover $f(\zeta)$ is well-accessible for $\zeta \in E_j$ ($j = 1, 2, 3, 4$).

The McMillan Twist Theorem [Mc69], [Po92, p. 142] states that, for almost all points $\zeta \in \mathbb{T}$, either ζ is a twist point or the angular derivative $f'(\zeta) \neq 0, \infty$ exists. The three sets of points satisfying ii), iii) and iv) were introduced in [Do92] and [CaPo97]. The Twist Theorem shows that these sets have measure 0. If $\lim_{r \rightarrow 1} f'(r\zeta)$ fails to exist on a set of positive measure then Plessner's Theorem for Bloch functions [Po92, p. 140] shows that assumption (1.9) is automatically satisfied. The special case of Corollary 1.2 that $\lim f'(r\zeta)$ exists almost nowhere is contained in [CaPo97, Theorem 1]. The boundary point $f(\zeta)$ is called *well-accessible* [Po92, p. 251] if there is a curve $z(t), 0 \leq t \leq 1$ with $z(0) = \zeta$ such that

$$\text{diam} \{f(z(\tau)) : t \leq \tau \leq 1\} = O(\text{dist}(f(z(t)), \partial f(\mathbb{D}))), \quad \text{as } t \rightarrow 1.$$

It is known [CaPo97, (3.17)] that the condition

$$(1.10) \quad -b \leq \log |f'(r\zeta)| \leq b, \quad b > 1,$$

implies that $f(\zeta)$ is well-accessible and [CaPo97, (3.18)] that

$$(1.11) \quad |\arg f'(r\zeta) - \arg (f(r\zeta) - f(\zeta))| \leq c_3 b.$$

PROOF OF COROLLARY 1.2. Let $n > c_1$; see (1.3). By (1.9) there exist $r_n < 1$ such that $a_n = \log f'(r_n \zeta_0)$ satisfies $\operatorname{Re} a_n < -16n$. We define

$$(1.12) \quad \varphi_n(z) = \frac{z + r_n \zeta_0}{1 + r_n \bar{\zeta}_0 z}, \quad f_n = f \circ \varphi_n, \quad g_n = \frac{1}{8} (\log f' \circ \varphi_n - a_n).$$

Then $g_n \in \mathcal{B}$ with $g_n(0) = 0$ and $\|g_n\|_{\mathcal{B}} \leq 1$ by (1.6). We apply Theorem 1.1 with $G = \{\operatorname{Re} w < |\operatorname{Re} a_n|\}$, $R = n$ and curves

$$\Gamma_j(t), \quad 0 \leq t < 1 \quad (j = 1, 2, 3, 4)$$

such that $\Gamma_j(0) = 0$, $\operatorname{Re} \Gamma_j(t) = 0$ and, as $t \rightarrow 1$,

i) $\liminf \operatorname{Im} \Gamma_1(t) = -\infty$, $\limsup \operatorname{Im} \Gamma_1(t) = +\infty$,

ii) $\lim \operatorname{Im} \Gamma_2(t) = +\infty$,

iii) $-\infty < \liminf \operatorname{Im} \Gamma_3(t) < +\infty$, $\limsup \operatorname{Im} \Gamma_3(t) = +\infty$,

iv) $\liminf \operatorname{Im} \Gamma_4(t) = 0$, $\limsup \operatorname{Im} \Gamma_4(t) = 3\pi + 2n + (c_3 b_n + |a_n|)/8$,

see (1.15) below. Then (1.3) is satisfied, and (1.2) holds by (1.8) because $|\operatorname{Re} a_n| > 16n$. We conclude that there are sets $E_{jn} \subset \mathbb{T}$ with

$$(1.13) \quad \dim E_{jn} \geq 1 - \frac{c_2}{n}, \quad \text{for } j = 1, \dots, 4 \text{ and } n > c_1,$$

such that (1.5) holds for $\zeta \in E_{jn}$. We obtain from (1.12) that

$$(1.14) \quad \log f'_n(z) = a_n + \log((1 - r_n^2)(1 + \bar{\zeta}_0 r_n z)^{-2}) + 8g_n(z).$$

Since $\operatorname{Re} \gamma_\zeta(r) = 0$ it follows from (1.5) that

$$(1.15) \quad |\log |f'_n(r \zeta)|| \leq b_n := |\operatorname{Re} a_n| + \log \frac{1 + r_n}{1 - r_n} + 16n$$

so that $f_n(\zeta)$ is well-accessible; see (1.10). We obtain from (1.5), (1.11) and (1.15) that

$$(1.16) \quad \begin{aligned} \limsup_{r \rightarrow 1} |\arg(f_n(r \zeta) - f_n(\zeta)) - 8\gamma_\zeta(r)| \\ < 16n + c_3 b_n + |\operatorname{Im} a_n| + 2 \\ < \infty, \end{aligned}$$

for $\zeta \in E_{j_n}$. Finally we set

$$E_j = \bigcup_n \varphi_n(E_{j_n}), \quad j = 1, 2, 3, 4.$$

Then $\dim E_j = 1$ by (1.13), and if $\zeta \in E_j$ then $\zeta = \varphi_n(\zeta_n)$ for some $\zeta_n \in E_{j_n}$.

Hence $f(\zeta) = f_n(\zeta_n)$ is well-accessible, and by the Koebe distortion theorem it is easy to deduce from (1.16) and the choice of $\text{Im } \Gamma_j(t)$ that $\alpha(\zeta)$ and $\beta(\zeta)$ have the required properties.

REMARK 1. We assume now that $f(\mathbb{D})$ is bounded by a rectifiable curve. Then $f' \in H^1$ and thus [Du70, p. 24]

$$f'(z) = e^{i\alpha} \exp\left(\frac{1}{2\pi} \int_{\mathbb{T}} \frac{\zeta + z}{\zeta - z} \log |f'(\zeta)| |d\zeta|\right) \exp\left(- \int_{\mathbb{T}} \frac{\zeta + z}{\zeta - z} d\mu(\zeta)\right),$$

where $\mu \geq 0$ is a singular measure. By definition $f(\mathbb{D})$ is a Smirnov domain if $\mu = 0$. Hence (1.8) holds if $|f'(\zeta)| \geq 1$ for almost all $\zeta \in \mathbb{T}$, and (1.9) holds if $f(\mathbb{D})$ is not a Smirnov domain. In particular Corollary 1.2 can be applied if $f(\mathbb{D})$ is a Keldish-Lavrentiev domain, that is a non-Smirnov domain for which $|f'(\zeta)| = 1$ for almost all $\zeta \in \mathbb{T}$; see [DuShSh66].

REMARK 2. There are local versions of Theorem 1.1 and Corollary 1.2. We can replace \mathbb{T} by an open subarc A and restrict ζ and our sets E to lie in A .

2. The proof of Theorem 1.1.

We use the martingale technique introduced by Makarov [Ma90] into the theory of Bloch functions. For $n = 0, 1, \dots$ let \mathcal{D}_n be the family of dyadic arcs of length $2\pi/2^n$ on \mathbb{T} , that is,

$$(2.1) \quad \mathcal{D}_n = \left\{ \left\{ e^{it} : \frac{2\pi k}{2^n} \leq t < \frac{2\pi(k+1)}{2^n} \right\} : 0 \leq k < 2^n \right\}.$$

If I and J are any dyadic arcs then $I \cap J = \emptyset$ or $I \subset J$ or $J \subset I$. Let $g \in \mathcal{B}$ and $n = 0, 1, \dots$. We define the *martingale associated to g* by

$$(2.2) \quad W_n(\zeta) \equiv W_n(I) = \lim_{r \rightarrow 1} \frac{1}{|I|} \int_I g(rs) |ds|, \quad \text{for } \zeta \in I \in \mathcal{D}_n,$$

where $|\cdot|$ denotes the linear measure on \mathbb{T} . Let c_1, c_2, \dots denote suitable positive absolute constants. We need two known results. The first is due to Makarov [Ma90]; compare [Po92, p. 156].

Proposition 2.1 (Makarov). *Let $g \in \mathcal{B}$, $\|g\|_{\mathcal{B}} \leq 1$ and let W_n be the associated martingale. Then*

$$(2.3) \quad |g(r\zeta) - W_n(\zeta)| < c, \quad \text{for } \zeta \in \mathbb{T}, \quad 1 - \frac{1}{2^n} \leq r \leq 1 - \frac{1}{2^{n+1}},$$

$$(2.4) \quad |W_{n+1}(\zeta) - W_n(\zeta)| < c, \quad \text{for } \zeta \in \mathbb{T}.$$

We also need the following technical result [ON95], [Do97]; compare [Ro93, p. 493].

Proposition 2.2 (O’Neill, Donaire). *Let W_n be the martingale associated to $g \in \mathcal{B}$ and let $\|g\|_{\mathcal{B}} \leq 1$, $0 < \alpha < \pi/2$. Let $I \in \mathcal{D}_m$ and $R > c_1(\alpha)$. If the stopping time*

$$(2.5) \quad \tau_I(\zeta) = \inf \{n > m : |W_n(\zeta) - W_m(\zeta)| \geq R\}$$

is finite for almost all $\zeta \in I$, then

$$(2.6) \quad |\{\zeta \in I : |\arg(W_{\tau_I(\zeta)}(\zeta) - W_m(\zeta)) - \vartheta| < \alpha\}| \geq c_2(\alpha) |I|,$$

for every ϑ . Here $c_1(\alpha)$ and $c_2(\alpha)$ only depend on α .

PROOF OF THEOREM 1.1. a) Let $\Gamma(t)$, $0 \leq t < 1$ be some parametrization of our given curve Γ . Let $\mathcal{F}_0 = \{\mathbb{T}\}$ and $t_0 = 0$. We shall recursively construct families \mathcal{F}_j of dyadic arcs such that each arc in \mathcal{F}_j is contained in some arc of \mathcal{F}_{j-1} , furthermore stopping times

$$(2.7) \quad t_j(\zeta) \equiv t_j(I) \in [0, 1], \quad \text{for } \zeta \in I \in \mathcal{F}_{j-1}$$

constant on I such that $t_{j-1}(\zeta) \leq t_j(\zeta)$ and

$$(2.8) \quad \text{dist}(W_m(I), \mathbb{C} \setminus G) > R + c, \quad \text{for } I \in \mathcal{F}_j \cap \mathcal{D}_m,$$

where c is the constant of Proposition 2.1.

b) Suppose that \mathcal{F}_j and t_j have already been defined. Let $\zeta \in I \in \mathcal{F}_j$. Then $I \in \mathcal{D}_m$ for some m . If $t_j(\zeta) = 1$ then we define $t_{j+1}(\zeta) = 1$, otherwise

$$(2.9) \quad t_{j+1}(\zeta) \equiv t_{j+1}(I) = \inf \{t > t_j(\zeta) : |\Gamma(t) - W_m(I)| \geq R\},$$

if this set is empty we define $t_{j+1}(I) = 1$ and $A_j(I) = I$.

Now let $t_{j+1}(I) < 1$. Plessner's theorem for Bloch functions [Po92, p. 140] says that, for almost all $\zeta \in \mathbb{T}$, either the radial limit $g(\zeta)$ exists or the limit set of $g(r\zeta)$ as $r \rightarrow 1$ is equal to $\hat{\mathbb{C}}$. Hence it follows from assumption (1.2) that

$$\liminf_{r \rightarrow 1} \text{dist}(g(r\zeta), \mathbb{C} \setminus G) = 0, \quad \text{for almost all } \zeta \in \mathbb{T},$$

so that, by (2.3),

$$\liminf_{n \rightarrow \infty} \text{dist}(W_n(\zeta), \mathbb{C} \setminus G) \leq c, \quad \text{for almost all } \zeta \in \mathbb{T}.$$

Therefore we obtain from (2.4) and (2.8) that, for almost all $\zeta \in I$, the stopping time $\tau_I(\zeta)$ defined in (2.5) is finite. By (2.4) we then have

$$(2.10) \quad R \leq |W_{\tau_I(\zeta)}(\zeta) - W_m(\zeta)| < R + c.$$

Thus we can apply Proposition 2.2 with $\alpha = 1/4$. We see from (2.6) that, for $R > c_3 = \max\{4c, c_1\}$, the set

$$(2.11) \quad A_j(I) = \left\{ \zeta \in I : \left| \arg(W_{\tau_I(\zeta)}(\zeta) - W_m(\zeta)) - \arg(\Gamma_{t_{j+1}(I)} - W_m(I)) \right| < \frac{1}{4} \right\}$$

satisfies $|A_j(I)| \geq c_2 |I|$. Note that $A_j(I)$ is the union of dyadic arcs $J \in \mathcal{D}_n$ with $n > m$.

We define \mathcal{F}_{j+1} as the family of the dyadic arcs J of $A_j(I)$ for all $I \in \mathcal{F}_j$. Then

$$(2.12) \quad \sum_{\substack{J \subset I \\ J \in \mathcal{F}_{j+1}}} |J| = |A_j(I)| \geq c_2 |I|.$$

Furthermore it follows from (2.4) and (2.10) that $\tau_I(\zeta) \geq m + R/c$. Hence

$$(2.13) \quad J \in \mathcal{F}_{j+1}, J \subset I \in \mathcal{F}_j \text{ implies } |J| \leq 2^{-R/c} |I|.$$

Now we verify (2.8) for $j + 1$, that is, we shall show that

$$(2.14) \quad \text{dist}(W_n(J), \mathbb{C} \setminus G) > R + c,$$

for $J \in \mathcal{F}_{j+1}$, $\zeta \in I \in \mathcal{F}_j$, $n = \tau_I(\zeta)$; see (2.11). This is trivial by (2.8) if $t_{j+1}(I) = 1$ and thus $A_j(I) = I$. Therefore let $t_{j+1}(I) < 1$. Since $\Gamma(t)$ is continuous we see from (2.9) that $|\Gamma(t_{j+1}(I)) - W_m(I)| = R$. Hence it follows from (2.10) and (2.11) that the quantity

$$q = \frac{W_n(\zeta) - W_m(\zeta)}{\Gamma_{t_{j+1}}(I) - W_m(I)}$$

satisfies $1 \leq |q| \leq 1 + c/R$ and $|\arg q| < 1/4$. Since $R > c_3 \geq 4c$ we deduce that $|q - 1| < 1/2$. Hence

$$|W_n(\zeta) - \Gamma(t_{j+1})| = |\Gamma(t_{j+1}) - W_m(\zeta)| |q - 1| < \frac{R}{2}$$

and it follows by assumption (1.3) that

$$\text{dist}(W_n(\zeta), \mathbb{C} \setminus G) \geq \text{dist}(\Gamma, \partial G) - \frac{R}{2} \geq \frac{3R}{2} > R + c.$$

This completes our construction.

c) We define

$$(2.15) \quad E_\Gamma = \bigcap_{j \geq 1} \bigcup_{I \in \mathcal{F}_j} I.$$

It follows from (2.12) and (2.13) by a theorem [Po92, p. 226] of Hungerford [Hu88] and Makarov [Ma90] that

$$\dim E_\Gamma \geq \frac{\log(c_2 2^{R/c})}{\log 2^{R/c}} = 1 - \frac{c \log\left(\frac{1}{c_2}\right)}{R \log 2},$$

which proves (1.4).

Now let $\zeta \in E_\Gamma$. There are two cases.

i) First we assume that $t_j(\zeta) < 1$ for all j . Let $I_j \in \mathcal{F}_j$ be the arc containing ζ . Then $I_j \in \mathcal{D}_{n_j}$ for some n_j . We define $\varphi_\zeta : [0, 1) \rightarrow [0, 1)$ by $\varphi_\zeta(2^{-n_j}) = t_j(\zeta)$ and linear in between. We parametrize Γ by

$\gamma_\zeta(r) = \Gamma(\varphi_\zeta(r))$, $0 \leq r < 1$. If $1 - 2^{-n_j} \leq r \leq 1 - 2^{-n_{j+1}}$ then $t_j(\zeta) \leq \varphi_\zeta(r) \leq t_{j+1}(\zeta)$ and thus

$$|g(r\zeta) - \gamma_\zeta(r)| \leq |g(r\zeta) - W_{n_j}(\zeta)| + |\Gamma(\varphi_\zeta(r)) - W_{n_j}(I_j)| \leq c + R \leq 2R$$

by (2.3) and (2.9).

ii) Now we suppose that $t_j(\zeta) < 1$ for $j \leq k$ and $t_j(\zeta) = 1$ for $j > k$. Then we define φ_ζ as in (i) for $j < k$ but linear in $[1 - 2^{-n_k}, 1]$. If $1 - 2^{-n_k} \leq r < 1$ then (see (2.9))

$$|\Gamma(\varphi_\zeta(r)) - W_n(\zeta)| < R, \quad \text{for } n \geq n_k$$

and (1.5) follows as above.

3. Balanced Bloch functions.

Let $\Delta(\zeta, \rho)$ denote the non-euclidean disk of center $\zeta \in \mathbb{D}$ and radius ρ . For $g \in \mathcal{B}$ we define

$$(3.1) \quad \mu_g(r) = \sup_{r \leq |z| < 1} (1 - |z|^2) |g'(z)|, \quad 0 \leq r < 1.$$

Using the maximum principle for $|z| \leq r$, we see that

$$(3.2) \quad |g'(z)| \leq \max \left\{ \frac{\mu_g(r)}{1 - r^2}, \frac{\mu_g(r)}{1 - |z|^2} \right\}, \quad \text{for } z \in \mathbb{D}, \quad 0 \leq r < 1.$$

By definition we have $g \in \mathcal{B}_0$ if $\mu_g(r) \rightarrow 0$ as $r \rightarrow 1$.

We call g a *balanced Bloch function* if there exist $a > 0$ and $\rho < \infty$ such that

$$(3.3) \quad \sup_{z \in \Delta(\zeta, \rho)} (1 - |z|^2) |g'(z)| \geq a \mu_g(|\zeta|), \quad \text{for } \zeta \in \mathbb{D}.$$

This condition is trivially satisfied if $0 < \alpha \leq |g'(z)| \leq \beta < \infty$ for $z \in \mathbb{D}$. Balanced Bloch functions for the case $g \notin \mathcal{B}_0$ were first considered by P. Jones [Jo89]; see *e.g.* also [Ro91], [BiJo97]. Jones showed that if $J = \partial f(\mathbb{D})$ is a quasicircle, then $\log f'$ is balanced and not in \mathcal{B}_0 if and only if

$$\inf_{w_1, w_2 \in J} \sup \left\{ \frac{|w_1 - w| + |w - w_2|}{|w_1 - w_2|} : w \in J \text{ between } w_1 \text{ and } w_2 \right\} > 1.$$

Curves with this property are called uniformly wiggly. The prototype of balanced Bloch functions are sufficiently regular series with Hadamard gaps.

Theorem 3.1. *Suppose that*

$$(3.4) \quad 1 < \lambda \leq \frac{n_{k+1}}{n_k} \leq \lambda' < \infty, \quad \text{for } k = 0, 1, \dots$$

$$(3.5) \quad \frac{1}{M} \left(\frac{n_j}{n_k} \right)^\alpha |b_j| \leq |b_k| \leq M |b_j|, \quad \text{for } 0 \leq j \leq k$$

with constants M and $\alpha < 1$. Then

$$(3.6) \quad g(z) = \sum_{k=0}^{\infty} b_k z^{n_k}, \quad z \in \mathbb{D},$$

is a balanced Bloch function.

A typical example of a balanced Bloch function is

$$g(z) = \sum_{k=1}^{\infty} k^{-\gamma} z^{2^k}, \quad 0 \leq \gamma < \infty.$$

PROOF. Let M_1, M_2, \dots denote constants that depend only on $\lambda, \lambda', \alpha$ and M . If $1 - 1/n_j \leq r \leq 1 - 1/n_{j+1}$ and $|z| = r$ then, by (3.6),

$$\begin{aligned} |z g'(z)| &\leq \sum_{k=0}^j n_k |b_k| + \sum_{k=j+1}^{\infty} n_k |b_k| \exp\left(-\frac{n_k}{n_{j+1}}\right) \\ &\leq M n_j^\alpha |b_j| \sum_{k=0}^j n_k^{1-\alpha} + \lambda' M n_j |b_j| \sum_{k=j+1}^{\infty} \frac{n_k}{n_{j+1}} \exp\left(-\frac{n_k}{n_{j+1}}\right) \end{aligned}$$

by (3.5) and (3.4). Since $t e^{-t}$ is decreasing for $t \geq 1$ we therefore obtain from (3.4) that

$$|z g'(z)| \leq M_1 n_j |b_j| + \lambda' M n_j |b_j| \sum_{\nu=0}^{\infty} \lambda^\nu \exp(-\lambda^\nu) \leq M_2 \frac{|b_j|}{(1-r^2)}.$$

Using the maximum principle near $z = 0$, we thus see from (3.1) that

$$(3.7) \quad \mu_g(r) \leq \sup_{k \geq j} M_3 |b_k| \leq M_4 |b_j|, \quad \text{for } 1 - \frac{1}{n_j} \leq r \leq 1 - \frac{1}{n_{j+1}}.$$

Now we apply a standard method [Bi69] to estimate the coefficients of gap series. It follows from (3.4), (3.5) and [GHPo87, Theorem 2] that

$$n_j |b_j| \leq M_5 \sup \{|g'(z)| : z \in \Delta(\zeta, \rho)\},$$

for $1 - M_6/n_j \leq |\zeta| \leq 1 - M_7/n_j$. Hence

$$\sup_{z \in \Delta(\zeta, \rho)} (1 - |z|^2) |g'(z)| \geq M_8^{-1} (1 - |\zeta|^2) n_j |b_j| \geq M_9^{-1} \mu_g(r)$$

by (3.7).

Further examples of balanced Bloch functions come from automorphic forms. Let Γ be a Fuchsian group with compact fundamental domain F in \mathbb{D} . Let h be an analytic automorphic form of weight 1, corresponding to a differential on the Riemann surface \mathbb{D}/Γ . Then $\gamma'h \circ \gamma = h$ for $\gamma \in \Gamma$ and

$$g(z) = \int_0^z h(\zeta) d\zeta, \quad z \in \mathbb{D}$$

is a balanced Bloch function because $\overline{F} \subset \mathbb{D}$. Note that $\inf \mu_g(r) > 0$.

Now we prove two results on real convex functions needed for the next section.

Lemma 3.2. *Let the real-valued functions φ and ψ be continuous and convex in the interval $I \subset \mathbb{R}$. If the function*

$$(3.8) \quad \chi(s) = \sup_{t \geq s} (\varphi(t) - \psi(t)) + \psi(s), \quad s \in I$$

is finite, then it is also continuous and convex in I .

PROOF. The function $\sup \{\varphi(t) - \psi(t) : t \in I, t \geq s\}$ is decreasing in $s \in I$. Let $I_k = [s_k, t_k]$ be its intervals of constancy with values c_k . We define

$$(3.9) \quad \chi_k(s) = \begin{cases} \varphi(s), & \text{for } s \in I \setminus I_k, \\ c_k + \psi(s), & \text{for } s \in I_k. \end{cases}$$

Since $\varphi(s) - \psi(s) \leq c_k$ for $s \in I_k$, we have

$$(3.10) \quad \varphi(s) \leq c_k + \psi(s) = \chi_k(s), \quad \text{for } s_k \leq s \leq t_k,$$

with equality for $s = s_k$ and $s = t_k$. The convex function φ has left and right derivatives $D^\pm\varphi$ in I and $D^\pm\varphi$ is increasing [HLP67, p. 91-94]. If $s < s_k$ then

$$D^+\chi_k(s) = D^+\varphi(s) \leq D^+\varphi(s_k) \leq D^+\psi(s_k) = D^+\chi_k(s_k)$$

by (3.10). If $s_k \leq s < t_k$ then

$$D^+\chi_k(s_k) = D^+\psi(s_k) \leq D^+\psi(s) = D^+\chi_k(s)$$

by (3.9). Since $D^-\psi(t_k) \leq D^-\varphi(t_k)$ by (3.10), we furthermore have

$$D^+\chi_k(s) \leq D^-\psi(t_k) \leq D^-\varphi(t_k) \leq D^+\varphi(t_k) = D^+\chi_k(t_k).$$

Using again that $D^+\varphi$ and $D^+\psi$ are increasing, we deduce that $D^+\chi_k$ is increasing in I . Since χ_k is locally absolutely continuous it follows by integration that χ_k is convex. Finally $\chi = \sup_k \chi_k$ by (3.9) and (3.10), so χ is also convex.

Lemma 3.3. *The function*

$$\chi(s) = \log \mu_g(e^s) - \log(1 - e^{2s}), \quad -\infty < s < 0$$

is convex and the function $u(z) = \chi(\log |z|)$ with $u(0) = \log \mu_g(0)$ is continuous and subharmonic in \mathbb{D} .

PROOF. Let $M(r) = \max\{|g'(z)| : |z| = r\}$. It follows from (3.1) that (3.8) holds with

$$\varphi(s) = \log M(e^s), \quad \psi(s) = -\log(1 - e^{2s}).$$

The function φ is convex by the Hadamard three circles theorem [Co78, p. 137], and χ is convex because $\psi''(s) = 4e^{2s}(1 - e^{2s})^{-2} > 0$. Therefore χ is convex by Lemma 3.2. It follows that u is subharmonic [HaKe76, Theorem 2.2].

4. Properties of balanced Bloch functions.

Let μ_g be defined by (3.1). We consider the open level sets

$$(4.1) \quad A_g(\varepsilon) = \{z \in \mathbb{D} : (1 - |z|^2) |g'(z)| < \varepsilon \mu_g(|z|)\},$$

for $0 < \varepsilon \leq 1$. We see from (4.1) and (3.1) that

$$|g'(z)| \geq \frac{\varepsilon \mu_g(r)}{1 - r^2} \geq \varepsilon \max_{|\zeta|=r} |g'(\zeta)|, \quad \text{for } z \notin A_g(\varepsilon), |z| = r.$$

If g' is unbounded it follows that $\mathbb{T} \subset \overline{A_g(\varepsilon)}$ for all $\varepsilon > 0$. Otherwise we would have $|g'(z)| \rightarrow \infty$ as $z \rightarrow I$ for some arc I of \mathbb{T} , which is impossible by the Privalov uniqueness theorem [Po92, p. 140].

Let M_1, \dots denote positive constants that depend only on a and ρ in the definition (3.3) of balanced Bloch functions. In particular, if g' is unbounded then $A_g(\varepsilon)$ is nonempty for $0 < \varepsilon \leq 1$. By contrast, the example $g(z) \equiv z$ shows that $A_g(\varepsilon)$ can be empty if g' is bounded and $\varepsilon < 1$.

Proposition 4.1. *Let g be a balanced Bloch function and let $z_0 \in \mathbb{D}$. Then the harmonic measure satisfies*

$$(4.2) \quad \omega(z_1, \overline{\Delta}(z_0, 2\rho) \cap \overline{A_g(\varepsilon)}, \Delta(z_0, 2\rho) \setminus \overline{A_g(\varepsilon)}) \leq \frac{M_1}{\log\left(\frac{1}{\varepsilon}\right)},$$

for some $z_1 \in \Delta(z_0, \rho)$.

PROOF. We write $r = |z_0|$, $\Delta_0 = \Delta(z_0, 2\rho)$ and $A = \overline{A_g(\varepsilon)}$. It follows from (3.2) that

$$(4.3) \quad |g'(z)| \leq \frac{M_2}{1 - r^2} \mu_g(r), \quad \text{for } z \in \overline{\Delta_0}.$$

It follows from (4.1) that

$$|g'(z)| \leq \frac{M_2}{1 - r^2} \mu_g(r) \varepsilon, \quad \text{for } z \in \overline{\Delta_0} \cap A.$$

Hence the two-constants theorem [Ah73, p. 39] implies that

$$(4.4) \quad |g'(z)| \leq \frac{M_2}{1 - r^2} \mu_g(r) \varepsilon^{\omega(z, \overline{\Delta_0} \cap A, \Delta_0 \setminus A)},$$

for $z \in \Delta_0 \setminus A$. By (3.3) there exists $z_1 \in \Delta(z_0, \rho)$ such that

$$|g'(z_1)| \geq \frac{a}{1 - |z_1|^2} \mu_g(r) \geq \frac{M_3^{-1}}{1 - r^2} \mu_g(r).$$

Hence (4.2) follows from (4.4).

Theorem 4.2. *Let g be a balanced Bloch function. Then there are $\alpha > 0$ and $\varepsilon_0 > 0$ such that every component of $A_g(\varepsilon)$ ($0 < \varepsilon < \varepsilon_0$) lies in some disk $\Delta(z_0, \varepsilon^\alpha)$ ($z_0 \in \mathbb{D}$) and contains a zero of g' .*

PROOF. a) Let B be a component of $A_g(\varepsilon)$, let $z_0 \in B$ and let B_0 be the component of $B \cap \Delta(z_0, \rho/2)$ with $z_0 \in B_0$. Let φ map $\Delta(z_0, 2\rho) \setminus \overline{B_0}$ conformally onto $\{r < |z| < 1\}$ such that $\partial\Delta(z_0, 2\rho)$ corresponds to \mathbb{T} . Then

$$\omega(z, \overline{\Delta}(z_0, 2\rho) \cap \overline{B_0}, \Delta(z_0, 2\rho) \setminus \overline{B_0}) = \frac{\log\left(\frac{1}{|\varphi(z)|}\right)}{\log\left(\frac{1}{r}\right)}.$$

Since $B_0 \subset A_g(\varepsilon)$ it follows from Proposition 4.1 and the principle of majorization for harmonic measure [Ah73, p.39] that

$$\frac{\log\left(\frac{1}{|\varphi(z_1)|}\right)}{\log\left(\frac{1}{r}\right)} \leq \frac{M_1}{\log\left(\frac{1}{\varepsilon}\right)},$$

for some $z_1 \in \Delta(z_0, \rho)$. Since $B_0 \subset \Delta(z_0, \rho/2)$ a normal family argument gives $|\varphi(z_1)| < 1 - \alpha_1$ where $\alpha_1 > 0$ depends only on a and ρ . Hence $r \leq \varepsilon^{\alpha_2}$ and therefore

$$B_0 \subset \Delta(z_0, \varepsilon^\alpha), \quad \text{for } 0 < \varepsilon < \alpha_3.$$

Since B is connected and contains z_0 , it follows that $B = B_0$ if $\varepsilon^\alpha < \rho/2$.

b) Now we prove that every component B of $A_g(\varepsilon)$ with $\overline{B} \subset \mathbb{D}$ contains a zero of g' . Suppose that $g'(z) \neq 0$ for $z \in B$ and thus for $z \in \overline{B}$. Then $\log|g'|$ is harmonic in B and continuous in \overline{B} . Hence it follows from Lemma 3.3 that

$$v(z) = \log \mu_g(|z|) - \log(1 - |z|^2) - \log|g'(z)|$$

is subharmonic in B and continuous in \overline{B} . Since B is a component of $A_g(\varepsilon)$ and since $\overline{B} \subset \mathbb{D}$, we see from (4.1) that $v(z) = \log(1/\varepsilon)$ for $z \in \partial B$ and thus $v(z) \leq \log(1/\varepsilon)$ for $z \in B$ by the maximum principle for subharmonic functions. But this contradicts (4.1).

Theorem 4.3. *Let g be a balanced Bloch function and suppose that*

$$(4.5) \quad \frac{\mu_g(r')}{\mu_g(r)} \geq \frac{1-r'}{1-r} \lambda\left(\frac{1-r}{1-r'}\right), \quad \text{for } 0 < r < r' < 1,$$

where $\lambda(x) \nearrow \infty$ as $x \rightarrow \infty$. Then there exist $\varepsilon > 0$ and $\rho^* < \infty$ such that every disk $\Delta(\zeta, \rho^*)$ ($\zeta \in \mathbb{D}$) contains a component of $A_g(\varepsilon)$.

Some (rather weak) condition like (4.5) is necessary as the balanced Bloch function $g(z) \equiv z$ shows. Note that (4.5) implies that g' is unbounded.

PROOF. We claim: Given $\varepsilon > 0$ there exists $\rho' < \infty$ such that

$$(4.6) \quad \Delta(\zeta, \rho') \cap A_g(\varepsilon) \neq \emptyset, \quad \text{for every } \zeta \in \mathbb{D}.$$

This claim implies the assertion of Theorem 4.3 with $\rho^* = \rho' + 2\varepsilon^\alpha$ and $0 < \varepsilon < \varepsilon_0$ by Theorem 4.2.

Suppose our claim is false. Then, for $0 < \varepsilon < 1$, there exist $z_n \in \mathbb{D}$ such that

$$(4.7) \quad (1 - |z|^2) |g'(z)| > \varepsilon \mu_g(|z|), \quad \text{for } z \in \Delta(z_n, n), \quad n = 1, 2, \dots$$

We write $r_n = |z_n|$ and consider the functions

$$(4.8) \quad h_n(s) = \frac{1 - r_n^2}{\mu_g(r_n)} g'\left(\frac{s + z_n}{1 + \bar{z}_n s}\right), \quad s \in \mathbb{D}.$$

It follows from (4.8) and (3.2) that $|h_n(s)| \leq 4/(1 - |s|^2)$ for $s \in \mathbb{D}$. Therefore we may assume that $h_n \rightarrow h$ as $n \rightarrow \infty$ locally uniformly in \mathbb{D} . Furthermore we may assume that $z_n \rightarrow \zeta \in \mathbb{T}$.

Let $|s| = \sigma < 1$. By (3.1) and (4.5) we have

$$\mu_g\left(\left|\frac{s + z_n}{1 + \bar{z}_n s}\right|\right) \geq \mu_g\left(\frac{\sigma + r_n}{1 + r_n \sigma}\right) \geq \frac{1 - \sigma}{1 + r_n \sigma} \lambda\left(\frac{1 + r_n \sigma}{1 - \sigma}\right) \mu_g(r_n).$$

Hence it follows from (4.7) and (4.8) that

$$|h_n(s)| \geq \frac{\varepsilon |1 + \bar{z}_n s|^2}{(1 + \sigma)(1 + r_n \sigma)} \lambda\left(\frac{1 + r_n \sigma}{1 - \sigma}\right).$$

Since $h_n \rightarrow h$ and $\zeta_n \rightarrow \zeta$ as $n \rightarrow \infty$, we conclude that

$$|h(s)| \geq \frac{\varepsilon |1 + \bar{\zeta} s|^2}{(1 + \sigma)^2} \lambda\left(\frac{1 + \sigma}{1 - \sigma}\right) \geq \frac{\varepsilon}{4} \lambda\left(\frac{1 + \sigma}{1 - \sigma}\right),$$

for $\operatorname{Re}(\bar{\zeta} s) > 0$. Hence

$$|h(s)| \rightarrow \infty, \quad \text{as } |s| \rightarrow 1,$$

$\operatorname{Re}(\bar{\zeta} s) > 0$ which contradicts the Privalov uniqueness theorem [Pr56, p. 208], [Po92, p. 140].

Geometric interpretation. Let g be a balanced Bloch function that satisfies condition (4.5). Let $\varepsilon > 0$ be small but fixed. Then

$$(4.9) \quad |g'(z)| \geq \varepsilon \frac{\mu_g(|z|)}{1 - |z|^2} \rightarrow \infty, \quad \text{as } |z| \rightarrow 1, \quad z \in \mathbb{D} \setminus A_g(\varepsilon)$$

by (4.5). Theorem 4.2 says that the components of $A_g(\varepsilon)$ have small hyperbolic diameter, each containing a zero of g' , whereas Theorem 4.3 says that there are many components. Hence the surface

$$\{(x, y, u) : x + iy \in \mathbb{D}, \quad u = |g'(x + iy)|\}$$

rises to infinity at $\partial \mathbb{D}$ except for very many very small but deep holes near the zeros of g' .

Ruscheweyh and Wirths [RuWi82] have studied, for any Bloch function g , the set where $(1 - |z|^2)|g'(z)|$ attains its maximum and its relation to the zeros of g' .

J. Becker [Be87], [PoWa82, Theorem 4.2] has shown that, for any $g \in \mathcal{B}$, the condition

$$(4.10) \quad \int_0^1 \mu_g(r)^2 \frac{dr}{1 - r} < \infty$$

implies that $g \in \text{VMOA}$ (vanishing mean oscillation) and thus has finite radial limits $g(\zeta)$ for almost all $\zeta \in \mathbb{T}$. It follows [Pr56, p. 208] that $\operatorname{cap} \{g(\zeta) : \zeta \in \mathbb{T}, \quad g(\zeta) \neq \infty \text{ exists}\} > 0$.

Now we turn to a condition stronger than (4.10), namely

$$(4.11) \quad \int_0^1 \mu_g(r) \frac{dr}{1 - r} < \infty.$$

It follows from (3.1) by integration that $\int_0^1 |g'(r\zeta)| dr < \infty$ for all $\zeta \in \mathbb{T}$ and that g is continuous in $\overline{\mathbb{D}}$. We show now that exactly the opposite happens if $g \in \mathcal{B}$ is balanced and condition (4.11) is false.

Theorem 4.4. *Let g be a balanced Bloch function with*

$$(4.12) \quad \int_0^1 \mu_g(r) \frac{dr}{1-r} = \infty.$$

If C is any curve in \mathbb{D} ending on \mathbb{T} , then

$$(4.13) \quad \int_C |g'(z)| |dz| = \infty.$$

Furthermore g assumes every value in \mathbb{C} infinitely often in \mathbb{D} .

Geometric interpretation. Let g be a balanced Bloch function that satisfies (4.10) and (4.12). The Riemann image surface of g over \mathbb{C} then has many accessible boundary points; their projection to \mathbb{C} has positive capacity. But (4.13) shows that none of these boundary points is accessible through a curve of finite length.

PROOF. Let c_1, c_2, \dots denote suitable positive constants. Since C goes to \mathbb{T} , we can find $z_n \in C$, $r_n \nearrow 1$ and disks Δ_n such that

$$(4.14) \quad \Delta_n = \Delta(z_n, 2\rho) \subset \{r_n < |z| < r_{n+1}\}, \quad \frac{1-r_{n+1}}{1-r_n} > c_1.$$

Let φ_n map Δ_n conformally onto \mathbb{D} such that $\varphi_n(z_n) = 0$. By Proposition 4.1 there exist $\varepsilon > 0$ and $z_n^* \in \Delta(z_n, \rho)$ such that

$$\frac{M_1}{\log\left(\frac{1}{\varepsilon}\right)} > \omega(z_n^*, \overline{\Delta_n} \cap \overline{A_g}(\varepsilon), \Delta_n \setminus \overline{A_g}(\varepsilon)) = \omega(s_n^*, A_n, \mathbb{D} \setminus A_n),$$

where $s_n^* = \varphi_n(z_n^*)$ and $A_n = \varphi_n(\overline{\Delta_n} \cap \overline{A_g}(\varepsilon))$. If p_n denotes the circular projection onto the radius from 0 to \mathbb{T} opposite to s_n^* , then [Ah73, p. 43], [Ne53, p. 108]

$$\omega(s_n^*, p_n(A_n), \mathbb{D} \setminus p_n(A_n)) < \frac{M_1}{\log\left(\frac{1}{\varepsilon}\right)}.$$

Since $s_n^* \in \varphi_n(\Delta(z_n, \rho)) = \{|z| < \rho^*\}$ with $\rho^* < 1$ depending only on ρ , we see that the linear measure satisfies $|p_n(A_n)| < M_4/\log(1/\varepsilon)$. Since $\varphi_n(C \cap \Delta_n)$ connects 0 and \mathbb{T} , we conclude that

$$|\varphi_n(C \cap \Delta_n) \setminus A_n| \geq 1 - |p_n(A_n)| > 1 - \frac{M_4}{\log\left(\frac{1}{\varepsilon}\right)} > \frac{1}{2}$$

if ε is chosen sufficiently small. It is easy to deduce that

$$|(C \cap \Delta_n) \setminus A_g(\varepsilon)| > c_1(1 - |z_n|) > c_1 c_2(1 - r_n)$$

by (4.14). Hence it follows from (4.1) that

$$\int_{C \cap \Delta_n} |g'(z)| |dz| \geq \frac{\varepsilon \mu_g(r_{n+1})}{1 - r_n^2} |(C \cap \Delta_n) \setminus A_g(\varepsilon)| > \frac{\varepsilon c_2}{2} \mu_g(r_{n+1}).$$

Since $\mu_g(r)$ is decreasing we have

$$\sum_n \mu_g(r_n) \geq c_1 \sum_n \int_{r_n}^{r_{n+1}} \frac{\mu_g(r)}{1 - r} dr = \infty$$

by (4.14) and (4.12). This implies (4.13).

The last assertion is an immediate consequence of (4.13) and the following proposition, where g need not be a Bloch function.

Proposition 4.5. *Let g be analytic in \mathbb{D} and suppose that (4.13) holds for any curve C in \mathbb{D} ending on \mathbb{T} . Then g assumes every finite value infinitely often in \mathbb{D} .*

PROOF. a) For $w \in \mathbb{C}$ let $N(w) \leq \infty$ denote the number of zeros (with multiplicity) of $g - w$ in \mathbb{D} . Let $w, w' \in \mathbb{C}$ and let L be a rectifiable Jordan arc from w to w' that does not meet $\{g(z) : z \in \mathbb{D}, g'(z) = 0\}$ except possibly in w and w' . At each point z_k of $g^{-1}(\{w\})$, we consider the maximal Jordan arcs C_k in $g^{-1}(L)$ with initial point z_k ; the number of these arcs is equal to the multiplicity of the zero z_k of $g - w$. Therefore there are $N(w)$ arcs C_k altogether.

The maximal arc C_k ends either at some point $z'_k \in \mathbb{D}$ with $g(z'_k) = w'$ or approaches \mathbb{T} . The second case cannot arise by our assumption because $|g(C_k)| \leq |L| < \infty$. The number of points z'_k that coincide is

equal to the multiplicity of $g - w'$ in z'_k . Hence $N(w') \geq N(w)$ and thus $N(w') = N(w)$ by symmetry. Thus we have shown

$$(4.15) \quad N(w) \equiv m \leq \infty, \quad \text{for } w \in \mathbb{C}.$$

b) Now we give a proof of the known fact that, for any function g analytic in \mathbb{D} , it is not possible that (4.15) holds with $m < \infty$. Let

$$(4.16) \quad r(\rho) = \sup \{ |z| : |g(z)| = \rho \}, \quad 0 < \rho < \infty.$$

We claim that $r(\rho) < 1$. Otherwise there would exist w with $|w| = \rho$ and points $z_n \in \mathbb{D}$ with $|z_n| \rightarrow 1$ such that $g(z_n) \rightarrow w$. But w is assumed m times in \mathbb{D} so that there exist distinct z_{n_k} ($k = 1, \dots, m$) with $g(z_{n_k}) = g(z_n)$ and $z_{n_k} \neq z_n$ for large n , which would imply $N(w) > m$.

It follows from (4.16) that $|g(z)| \neq \rho$ in $R(\rho) = \{r(\rho) < |z| < 1\}$. Since $g(R(\rho))$ is an unbounded domain we conclude that $|g(z)| > \rho$ for $z \in R(\rho)$ for any $\rho > 0$. Hence $|g(z)| \rightarrow \infty$ as $|z| \rightarrow 1$, which contradicts the Privalov uniqueness theorem.

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