

# A moduli approach to quadratic $\mathbb{Q}$ -curves realizing projective mod $p$ Galois representations

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## Abstract

For a fixed odd prime  $p$  and a representation  $\varrho$  of the absolute Galois group of  $\mathbb{Q}$  into the projective group  $\mathrm{PGL}_2(\mathbb{F}_p)$ , we provide the twisted modular curves whose rational points supply the quadratic  $\mathbb{Q}$ -curves of degree  $N$  prime to  $p$  that realize  $\varrho$  through the Galois action on their  $p$ -torsion modules. The modular curve to twist is either the fiber product of  $X_0(N)$  and  $X(p)$  or a certain quotient of Atkin-Lehner type, depending on the value of  $N \bmod p$ . For our purposes, a special care must be taken in fixing rational models for these modular curves and in studying their automorphisms. By performing some genus computations, we obtain as a by-product some finiteness results on the number of quadratic  $\mathbb{Q}$ -curves of a given degree  $N$  realizing  $\varrho$ .

## 1. Introduction

This paper makes a moduli contribution to the elliptic realization of projective representations of the absolute Galois group of  $\mathbb{Q}$ , which we denote by  $G_{\mathbb{Q}}$ . The starting point comes from the well-known fact that, given a prime  $p$  and an elliptic curve  $E$  defined over  $\mathbb{Q}$ , the Galois action on the  $p$ -torsion module  $E[p]$  produces a representation

$$\rho_E : G_{\mathbb{Q}} \longrightarrow \mathrm{GL}_2(\mathbb{F}_p)$$

with cyclotomic determinant whose projectivization  $\bar{\rho}_E$  is an invariant of the isomorphism class of  $E$  unless its  $j$ -invariant is 0 or 1728. Understanding

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this kind of representations, along with their links to arithmetic-geometric objects, has been among the most important issues in number theory for the last decades; one of the first related works [17] shows that  $\rho_E$  is surjective for all but a finite number of primes  $p$  whenever  $E$  has no complex multiplication (CM).

The inverse problem may be formulated as follows: for a fixed odd prime  $p$  and a Galois representation

$$\varrho : G_{\mathbb{Q}} \longrightarrow \mathrm{PGL}_2(\mathbb{F}_p),$$

find the (isomorphism classes of) elliptic curves, if any, that give rise to it. This is handled in [12] for the octahedral cyclotomic case  $p = 3$ , whose significance is strengthened by the role that this kind of representations played in Wiles' proof of Shimura-Taniyama-Weil conjecture. In that paper, the elliptic curves solving the problem are parametrized by the rational points on two conics; as suggested by a remark of J.-P. Serre, those conics would turn out to be twists of the modular curve  $X(3)$ . Here is one of the direct origins of the PhD thesis [4], where the general problem for a projective mod  $p$  Galois representation  $\varrho$  with *cyclotomic* determinant is addressed by means of the construction of two concrete twists of a certain rational model for the modular curve  $X(p)$ . This construction, together with an explicit treatment of the genus-zero cases, can be found in [9] and [7].

Obviously, the representation  $\varrho$  cannot be obtained from the  $p$ -torsion of an elliptic curve defined over  $\mathbb{Q}$  if its determinant is not a power of the mod  $p$  cyclotomic character of  $G_{\mathbb{Q}}$ . Any such representation with odd irreducible linear liftings should anyway arise from modular abelian varieties, since Serre's conjecture in [19], whose proof has recently been completed, predicts the modularity of such liftings. Assuming this conjecture, K. Ribet shows in [16] that the elliptic quotients of modular abelian varieties exhaust up to isogeny all elliptic curves over number fields with the property of being isogenous to their Galois conjugates. These elliptic curves are known as  *$\mathbb{Q}$ -curves*. Without assuming Serre's conjecture, [3] establishes the modularity of a large class of  $\mathbb{Q}$ -curves.

Although CM elliptic curves are the first classical example of  $\mathbb{Q}$ -curves, they constitute a specific case to which the known techniques for general  $\mathbb{Q}$ -curves do not apply; moreover, unlike in the generic non-CM case, they do not produce surjective mod  $p$  Galois representations. Thus, in the absence of the cyclotomic hypothesis for  $\varrho$ , and taking into account Ribet's result, one might attempt an *elliptic realization* from the  $p$ -torsion of non-CM  $\mathbb{Q}$ -curves. Stated in this way, the goal seems rather ambitious: a first important simplification may consist of restricting to  $\mathbb{Q}$ -curves defined over quadratic fields. For those in which the isogeny has degree two, the octahe-

dral representations of  $G_{\mathbb{Q}}$  that they *realize* are studied in [8]. This paper tackles the general problem for quadratic  $\mathbb{Q}$ -curves following the moduli point of view in [4].

One should first of all formalize the concept of elliptic realization, which is the aim in Section 2. For a (non-CM)  $\mathbb{Q}$ -curve  $E$ , there happens to be a natural extension

$$\varrho_E : G_{\mathbb{Q}} \longrightarrow \mathrm{PGL}_2(\mathbb{F}_p)$$

of the usual  $p$ -torsion projective representation  $\bar{\rho}_E$  whose conjugacy class is also an invariant of the isomorphism class of  $E$ . In the particular case of quadratic  $\mathbb{Q}$ -curves, K.-Y. Shih points the way to this in [22], and the construction of  $\varrho_E$  is reproduced in [20]. For the general case, this is explained in [3]. By restricting ourselves to what we call  *$p$ -admissible  $\mathbb{Q}$ -curves*, we rewrite the procedure for a direct definition of  $\varrho_E$  convenient to the last two sections of the paper, and include the computation of its determinant. Regardless of this *ad hoc* presentation, the contents of Section 2, except possibly Proposition 2.1, are not original to this paper.

The concept of  *$p$ -admissible  $\mathbb{Q}$ -curve* generalizes the case of a cyclic isogeny of degree  $N$  prime to  $p$  from a non-CM elliptic curve defined over a quadratic field to its Galois conjugate; we refer to such an elliptic curve  $E$  as a *quadratic  $\mathbb{Q}$ -curve of degree  $N$* . The determinant of  $\varrho_E$  draws off two different cases, which we call *cyclotomic* and *non-cyclotomic*, and which correspond to  $N$  being a square mod  $p$  or not, respectively. These two cases rule most of the structure and contents of the rest of sections, whose goal is to explain in detail how to produce the moduli spaces classifying the quadratic  $\mathbb{Q}$ -curves  $E$  of degree  $N$  for which  $\varrho_E = \varrho$ . For  $p = 3$ , some obstructions to the existence of non-degenerate rational points are given in [5] in terms of quaternion algebras over  $\mathbb{Q}$ . Henceforth the term *rational* stands for  *$\mathbb{Q}$ -rational*.

The moduli spaces that we provide are either twists of the modular curve  $X(N, p)$  obtained as the fiber product of the curves  $X_0(N)$  and  $X(p)$  in the non-cyclotomic case, or twists of a certain Atkin-Lehner quotient  $X^+(N, p)$  in the cyclotomic case. The choice is determined in each case by the different structure of the subgroup  $\mathcal{W}(N, p)$  generated by the automorphisms on  $X(N, p)$  extending the Atkin-Lehner involution  $w_N$  on  $X_0(N)$ . The analysis of this structure is carried out in Section 3. Then, in Section 4 we fix a suitable rational model for  $X(N, p)$  and describe the Galois action on  $\mathcal{W}(N, p)$ . In order to do this, we first need to compute the action of  $\mathcal{W}(N, p)$  on the non-cuspidal points of the curve. The study of  $\mathcal{W}(N, p)$ , including its Galois structure, is essential for our purposes.

The last two sections of the paper explain how to obtain the above twisted modular curves, whose non-cuspidal non-CM rational points yield

the quadratic  $\mathbb{Q}$ -curves of degree  $N$  realizing  $\varrho$ . Section 5 is devoted to the cyclotomic case, and Section 6 to the non-cyclotomic case. They also include some finiteness results that follow from Faltings' theorem along with some genus computations performed in Section 3.

Our moduli approach turns out to be simpler in the non-cyclotomic case, since the quadratic field of definition for the possible  $\mathbb{Q}$ -curves realizing the representation  $\varrho$  is uniquely determined and, for every fixed degree  $N$ , we just need one twist  $X(N, p)_\varrho$ . For an explicit application we refer to [6], where a plane quartic model is provided for the genus-three case  $X(5, 3)_\varrho$  and an example with rational points is given.

In the cyclotomic case, one must instead consider two twists  $X^+(N, p)_\varrho$ ,  $X^+(N, p)'_\varrho$  whose rational points include the cyclic isogenies of degree  $N$  between elliptic curves over  $\mathbb{Q}$  realizing  $\varrho$ . One may also approach the problem by adding a given quadratic field  $k$  as extra data: the quadratic  $\mathbb{Q}$ -curves of degree  $N$  defined over  $k$  realizing  $\varrho$  are given by the non-cuspidal non-CM rational points on two other twisted curves  $X(N, p)_{\varrho, k}$ ,  $X(N, p)'_{\varrho, k}$ . For explicit examples corresponding to the genus-three case  $N = 7, p = 3$ , we refer to [2], where Chabauty methods are applied to determine the set of rational points on the twists.

## 2. Projective mod $p$ Galois representations realized by $p$ -admissible $\mathbb{Q}$ -curves

Let  $E$  be a  $\mathbb{Q}$ -curve. By this we mean a non-CM elliptic curve defined over a number field  $L$  and with an isogeny

$$\lambda_\sigma : {}^\sigma E \longrightarrow E$$

for every  $\sigma$  in  $G_{\mathbb{Q}}$ . Without loss of generality, we always take  $\lambda_\sigma$  equal to  $\lambda_\tau$  whenever  $\sigma$  and  $\tau$  restrict to the same embedding of  $L$  into  $\overline{\mathbb{Q}}$ , and one might also assume the isogenies  $\lambda_\sigma$  to be cyclic. We suppose here that the  $\mathbb{Q}$ -curve  $E$  is  $p$ -admissible, namely that the isogenies  $\lambda_\sigma$  can be chosen so that  $p$  does not divide the degree of any of them.

For an isogeny  $\varphi : E' \longrightarrow E$ , let us write  $\varphi^{-1}$  for the element  $(\deg \varphi)^{-1} \otimes \widehat{\varphi}$  in  $\mathbb{Q} \otimes \text{Hom}(E, E')$ , where  $\widehat{\varphi}$  is the dual isogeny of  $\varphi$ . Since  $E$  has no CM, any isogeny  $E' \longrightarrow E$  differs from  $\varphi$  by a rational number. Thus, the 2-cocycle of  $G_{\mathbb{Q}}$

$$c_E : (\sigma, \tau) \longmapsto \lambda_\sigma {}^\sigma \lambda_\tau \lambda_{\sigma\tau}^{-1}$$

takes values in  $\mathbb{Q}^*$ . As proved by J. Tate in Theorem 4 of [18], the cohomology group  $H^2(G_{\mathbb{Q}}, \overline{\mathbb{Q}}^*)$  is trivial when  $\overline{\mathbb{Q}}^*$  is regarded as a trivial  $G_{\mathbb{Q}}$ -module.

So there exists a *splitting map*  $\alpha$  for the 2-cocycle  $c_E$ , that is, a continuous map  $G_{\mathbb{Q}} \rightarrow \overline{\mathbb{Q}}^*$  satisfying

$$\lambda_{\sigma} {}^{\sigma}\lambda_{\tau} \lambda_{\sigma\tau}^{-1} = \alpha(\sigma) \alpha(\tau) \alpha(\sigma\tau)^{-1}$$

for all  $\sigma, \tau$  in  $G_{\mathbb{Q}}$ . By taking degrees, one deduces that the map

$$\sigma \mapsto \alpha(\sigma)^2 / \deg \lambda_{\sigma}$$

is a Galois character. In particular, the values taken by  $\alpha$  are algebraic integers prime to  $p$ . So there exist a finite extension  $\mathbb{F}_{\alpha}$  of  $\mathbb{F}_p$  and a mod  $p$  reduction map  $\tilde{\alpha} : G_{\mathbb{Q}} \rightarrow \mathbb{F}_{\alpha}^*$  obtained from a fixed embedding of  $\overline{\mathbb{Q}}$  into a fixed algebraic closure  $\overline{\mathbb{Q}}_p$  of  $\mathbb{Q}_p$ .

Consider now the  $\mathbb{F}_{\alpha}$ -linear action of  $G_{\mathbb{Q}}$  on  $\mathbb{F}_{\alpha} \otimes_{\mathbb{F}_p} E[p]$  given by

$$(\sigma, 1 \otimes P) \mapsto \tilde{\alpha}(\sigma)^{-1} \otimes \lambda_{\sigma}({}^{\sigma}P).$$

By means of the choice of a basis for the  $\mathbb{F}_p$ -module  $E[p]$ , this action produces a linear representation

$$\rho_{E, \alpha} : G_{\mathbb{Q}} \rightarrow \mathbb{F}_{\alpha}^* \mathrm{GL}_2(\mathbb{F}_p)$$

defined up to conjugation by matrices in  $\mathrm{GL}_2(\mathbb{F}_p)$ . The corresponding projective Galois representation  $\varrho_E$  is actually given by the induced action

$$(\sigma, C) \mapsto \lambda_{\sigma}({}^{\sigma}C)$$

on the projective line

$$\mathbb{P}(E[p]) = \{ C \subset E[p] \mid C \simeq \mathbb{F}_p \}.$$

This projective representation  $\varrho_E$  depends on neither the  $p$ -admissible system of isogenies  $\lambda_{\sigma}$  nor the splitting map  $\alpha$ . Further, the following proposition shows that  $\varrho_E$  is an invariant of the  *$p$ -admissible isogeny class* of  $E$ .

**Proposition 2.1.** *Let  $E'$  be an elliptic curve over  $\overline{\mathbb{Q}}$  and  $\varphi : E' \rightarrow E$  be an isogeny of degree prime to  $p$ . Then  $\varrho_{E'} = \varrho_E$ .*

**Proof.** Let  $\hat{\varphi}$  be the dual isogeny of  $\varphi$  and let  $\lambda_{\sigma}$  and  $\alpha$  be as before. Consider the 2-cocycle  $c_{E'}$  attached to the  $p$ -admissible system of isogenies  $\hat{\varphi} \lambda_{\sigma} {}^{\sigma}\varphi$  for the  $\mathbb{Q}$ -curve  $E'$ . Then  $\alpha \deg \varphi$  is a splitting map for  $c_{E'}$  whose reduction mod  $p$  takes values in the same finite field  $\mathbb{F}$  as  $\tilde{\alpha}$ . The isomorphism  $E'[p] \rightarrow E[p]$  induced by  $\varphi$  extends naturally to an isomorphism  $\mathbb{F} \otimes E'[p] \rightarrow \mathbb{F} \otimes E[p]$  that is compatible with the corresponding  $\mathbb{F}$ -linear actions of  $G_{\mathbb{Q}}$ . So  $\rho_{E, \alpha}$  and  $\rho_{E', \alpha \deg \varphi}$  are conjugated by a matrix in  $\mathrm{GL}_2(\mathbb{F}_p)$ , and the result follows.  $\blacksquare$

**Remark 2.2.** The (conjugacy class of the) representation  $\rho_{E,\alpha}$  is the linear mod  $p$  representation obtained from the Galois action on the abelian variety of  $\mathrm{GL}_2$ -type attached in [16] to the  $\mathbb{Q}$ -curve  $E$  and the splitting map  $\alpha$ . Moreover, any lifting of  $\varrho_E$  into  $\mathrm{GL}_2(\overline{\mathbb{F}}_p)$  is of the form  $\rho_{E,\alpha}$  for some splitting map  $\alpha$  for  $c_E$ .

Note that the restriction of  $\varrho_E$  to  $G_L$  is the projective representation

$$\overline{\rho}_E : G_L \longrightarrow \mathrm{PGL}_2(\mathbb{F}_p)$$

obtained from the usual Galois action on the  $p$ -torsion points of  $E$ . In terms of number fields, this provides the fixed field of  $\varrho_E$  with the following property: its composite with  $L$  is the splitting field of the modular polynomial  $\Phi_p(j_E; X)$  over  $L$ , where  $j_E$  stands for the  $j$ -invariant of the elliptic curve  $E$ . Whenever  $L$  is normal over  $\mathbb{Q}$  and  $\overline{\rho}_E$  is surjective, this property singles out the fixed field of  $\varrho_E$  among all Galois extensions of  $\mathbb{Q}$  with group  $\mathrm{PGL}_2(\mathbb{F}_p)$ .

We recall that the determinant of  $\overline{\rho}_E$  is the restriction to  $G_L$  of the quadratic Galois character

$$\varepsilon : G_{\mathbb{Q}} \longrightarrow \mathbb{F}_p^*/\mathbb{F}_p^{*2} \simeq \{\pm 1\}$$

obtained from the mod  $p$  cyclotomic character  $\chi$ . The fixed field of  $\varepsilon$  is the only quadratic field  $k_p = \mathbb{Q}(\sqrt{\pm p})$  inside the  $p$ -th cyclotomic extension of  $\mathbb{Q}$ .

Let us see how to compute the determinant of  $\varrho_E$ . To this end, one can first obtain the determinant of a lifting  $\rho_{E,\alpha}$  from the properties of the Weil pairing.

**Lemma 2.3.** *The determinant of  $\rho_{E,\alpha}$  is the product of the mod  $p$  cyclotomic character  $\chi$  and the character  $G_{\mathbb{Q}} \longrightarrow \mathbb{F}_p^*$  defined by  $\sigma \mapsto \deg \lambda_{\sigma} / \tilde{\alpha}(\sigma)^2$ .*

Let now  $deg : G_{\mathbb{Q}} \longrightarrow \mathbb{Q}^*/\mathbb{Q}^{*2}$  be the degree character induced by any  $p$ -admissible system of isogenies  $\lambda_{\sigma} : {}^{\sigma}E \longrightarrow E$ . Then, consider the mod  $p$  degree character

$$deg_p : G_{\mathbb{Q}} \longrightarrow \mathbb{F}_p^*/\mathbb{F}_p^{*2} \simeq \{\pm 1\}$$

obtained from  $deg$  by composition with the natural map  $\mathbb{Q}^*/\mathbb{Q}^{*2} \rightarrow \mathbb{Q}_p^*/\mathbb{Q}_p^{*2}$ . The following statement is a straightforward consequence of Lemma 2.3 and the definition of  $\varrho_E$ .

**Proposition 2.4.** *The determinant of  $\varrho_E$  is the product  $\varepsilon deg_p$ .*

**Remark 2.5.** If the map  $deg$  is not trivial, its fixed field  $K_{deg}$  is a polyquadratic number field  $\mathbb{Q}(\sqrt{a_1}), \dots, \mathbb{Q}(\sqrt{a_m})$  of degree  $2^m$  for some positive integer  $m$ . For every  $l = 1, \dots, m$ , take  $\sigma_l$  in  $G_{\mathbb{Q}}$  restricting to the

non-trivial automorphism of  $K_{deg}$  that fixes  $\sqrt{a_h}$  for  $h \neq l$ . Then, the map  $deg_p$  is the product of the quadratic Galois characters attached to the extensions  $\mathbb{Q}(\sqrt{a_l})$  for which  $deg \lambda_{\sigma_l}$  is not a square mod  $p$ .

We say that a projective mod  $p$  Galois representation

$$\varrho : G_{\mathbb{Q}} \longrightarrow \mathrm{PGL}_2(\mathbb{F}_p)$$

is realized by a ( $p$ -admissible)  $\mathbb{Q}$ -curve  $E$  if  $\varrho_E = \varrho$ , where this equality makes only sense up to conjugation in  $\mathrm{PGL}_2(\mathbb{F}_p)$ . The rest of sections are devoted to the particular case of quadratic  $\mathbb{Q}$ -curves. Assume  $\varrho$  to be realized by a  $p$ -admissible quadratic  $\mathbb{Q}$ -curve of degree  $N$ , that is, by a non-CM elliptic curve defined over a quadratic field and with a cyclic isogeny to its Galois conjugate of degree  $N$  prime to  $p$ . From Proposition 2.4 and Remark 2.5,  $\varrho$  has determinant  $\varepsilon$  if and only if  $N$  is a square mod  $p$ , and otherwise any quadratic  $\mathbb{Q}$ -curves of degree  $N$  realizing  $\varrho$  must be defined over the fixed field of the quadratic character  $\varepsilon \det \varrho$ . We refer to the first case ( $N$  square mod  $p$ ) as the *cyclotomic case*, and to the second one ( $N$  non-square mod  $p$ ) as the *non-cyclotomic case*.

### 3. Automorphisms of the modular curve $X(N, p)$

Let  $N > 1$  be an integer prime to  $p$ . Let  $X_0(N)$ ,  $X(p)$  and  $X(1)$  be the modular curves attached to the congruence subgroups  $\Gamma_0(N)$ ,  $\Gamma(p)$  and  $\mathrm{SL}_2(\mathbb{Z})$ , respectively. We denote by  $X(N, p)$  the modular curve attached to the congruence subgroup  $\Gamma_0(N) \cap \Gamma(p)$ , namely the fiber product of  $X_0(N)$  and  $X(p)$  over  $X(1)$ :

$$\begin{array}{ccc} X(N, p) & & \\ \downarrow & \searrow & \\ X_0(N) & & X(p) \\ & \searrow & \downarrow \\ & & X(1) \end{array}$$

The aim of this section is to study the structure of a certain subgroup  $\mathcal{W}(N, p)$  of automorphisms on  $X(N, p)$ . We also compute the genus of this curve.

As a complex curve,  $X(N, p)$  is a Galois covering of  $X_0(N)$  with group  $\mathcal{G}(N, p)$  given by the quotient  $\Gamma_0(N)/\pm \Gamma_0(N) \cap \Gamma(p)$ . Since the mod  $p$  reduction map  $\mathrm{SL}_2(\mathbb{Z}) \longrightarrow \mathrm{SL}_2(\mathbb{F}_p)$  induces the exact sequence

$$1 \longrightarrow \pm \Gamma_0(N) \cap \Gamma(p) \longrightarrow \Gamma_0(N) \longrightarrow \mathrm{PSL}_2(\mathbb{F}_p) \longrightarrow 1,$$

there is a canonical isomorphism

$$\mathcal{G}(N, p) \simeq \mathrm{PSL}_2(\mathbb{F}_p).$$

We recall that  $\mathcal{G}(N, p)$  consists of the automorphisms  $g$  on  $X(N, p)$  for which the following diagram commutes:

$$\begin{array}{ccc} X(N, p) & \xrightarrow{g} & X(N, p) \\ & \searrow & \swarrow \\ & X_0(N) & \end{array}$$

Let  $w_N$  be the Atkin-Lehner involution on  $X_0(N)$  and denote by  $X^+(N)$  the corresponding quotient. For any integers  $a, b, c, d$  with  $adN - bc p^2 = 1$  and  $d \equiv \pm 1 \pmod{p}$ , the action of the matrix

$$\begin{pmatrix} aN & bp \\ cpN & dN \end{pmatrix}$$

on the complex upper half-plane  $\mathbb{H}$  defines an automorphism  $\vartheta$  on  $X(N, p)$  extending  $w_N$ , namely making the following diagram commutative:

$$\begin{array}{ccc} X(N, p) & \xrightarrow{\vartheta} & X(N, p) \\ \downarrow & & \downarrow \\ X_0(N) & \xrightarrow{w_N} & X_0(N) \end{array}$$

Indeed, one can check that the above matrix belongs to the normalizer of  $\Gamma_0(N) \cap \Gamma(p)$  inside  $\mathrm{PSL}_2(\mathbb{R})$ . Hence, the covering  $X(N, p) \rightarrow X^+(N)$  has as many automorphisms as its degree, which means that it is a Galois covering. Let  $\mathcal{W}(N, p)$  denote its automorphism group:

$$\begin{array}{ccc} & X(N, p) & \\ & \downarrow & \text{--- } \mathcal{G}(N, p) \text{ ---} \\ \mathcal{W}(N, p) & X_0(N) & \\ & \downarrow & \\ & X^+(N) & \end{array}$$

The group  $\mathcal{W}(N, p)$  contains  $\mathcal{G}(N, p)$  as a subgroup of index two whose complement consists of the automorphisms on  $X(N, p)$  extending  $w_N$ .



**Proposition 3.1.** *The group  $\mathcal{G}(N, p)$  is a direct factor of  $\mathcal{W}(N, p)$  if and only if  $N$  is a square mod  $p$ . More precisely, the structure of  $\mathcal{W}(N, p)$  is as follows:*

- *In the cyclotomic case, there is a unique involution  $w$  on  $X(N, p)$  such that*

$$\mathcal{W}(N, p) = \mathcal{G}(N, p) \times \langle w \rangle.$$

- *In the non-cyclotomic case,*

$$\mathcal{W}(N, p) \simeq \mathrm{PGL}_2(\mathbb{F}_p).$$

*In the first case, the quotient curve  $X(N, p)/w$  is a Galois covering of  $X^+(N)$  with group  $\mathcal{G}(N, p)$ . In the second case, the quotient of  $X(N, p)$  by an involution in  $\mathcal{W}(N, p)$  is never a Galois covering of  $X^+(N)$ .*

**Proof.** Viewed as the quotient  $\mathrm{SL}_2(\mathbb{F}_p)/\{\pm 1\}$ , the group  $\mathrm{PSL}_2(\mathbb{F}_p)$  is generated by the matrices

$$T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad U = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}.$$

On the other hand, the determinant  $\mathrm{GL}_2(\mathbb{F}_p) \rightarrow \mathbb{F}_p^*$  induces an exact sequence

$$1 \longrightarrow \mathrm{PSL}_2(\mathbb{F}_p) \longrightarrow \mathrm{PGL}_2(\mathbb{F}_p) \xrightarrow{\det} \mathbb{F}_p^*/\mathbb{F}_p^{*2} \longrightarrow 1,$$

so that  $\mathrm{PSL}_2(\mathbb{F}_p)$  can be identified with a subgroup of  $\mathrm{PGL}_2(\mathbb{F}_p)$  of index two whose complementary subgroups are those generated by a conjugate of the matrix

$$V = \begin{pmatrix} 0 & -v \\ 1 & 0 \end{pmatrix},$$

where  $v$  is a non-square in  $\mathbb{F}_p^*$ . Since one has the relations  $VT = U^{-v-1}V$  and  $VU = T^{-v}V$ , a system of generators for  $\mathrm{PGL}_2(\mathbb{F}_p)$  is given by  $V$  and either  $T$  or  $U$ . Now,  $\mathcal{G}(N, p)$  is generated by the automorphisms defined by the matrices

$$T_N = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad U_N = \begin{pmatrix} 1 & 0 \\ \tilde{N}N & 1 \end{pmatrix}$$

in  $\Gamma_0(N)$ , where  $\tilde{N} \in \mathbb{Z}$  is any inverse of  $N$  mod  $p$ . To give a complementary subgroup for  $\mathcal{G}(N, p)$  inside  $\mathcal{W}(N, p)$ , let us consider separately the two possibilities for  $N$  mod  $p$ :

- If  $N$  is a square mod  $p$ , then it is also a square mod  $p^2$ . Let  $a, b$  be any integers satisfying  $a^2 N - b p^2 = 1$ . Then, the matrix

$$Z_N = \begin{pmatrix} a N & b p \\ p N & a N \end{pmatrix}$$

defines an involution  $w$  on  $X(N, p)$  extending  $w_N$ . Moreover,  $w$  commutes with the automorphisms defined by  $T_N$  and  $U_N$ , so it generates a direct cofactor of  $\mathcal{G}(N, p)$  inside  $\mathcal{W}(N, p)$ . The uniqueness of  $w$  comes from the fact that  $\mathrm{PSL}_2(\mathbb{F}_p)$  has trivial center.

- If  $N$  is not a square mod  $p$ , then neither is  $\tilde{N}$ . Moreover, the matrix

$$V_N = \begin{pmatrix} 0 & -1 \\ N & 0 \end{pmatrix},$$

which defines an involution on  $X(N, p)$  extending  $w_N$ , satisfies the relations  $V_N T_N = U_N^{-N} V_N$  and  $V_N U_N = T_N^{-\tilde{N}} V_N$  inside  $\mathcal{W}(N, p)$ . These are precisely the relations that the matrix  $V$ , for  $v$  equal to  $\tilde{N}$  mod  $p$ , satisfies with the generators  $T, U$  of  $\mathrm{PSL}_2(\mathbb{F}_p)$ . Hence, the group  $\mathcal{W}(N, p)$  is isomorphic to  $\mathrm{PGL}_2(\mathbb{F}_p)$ .

The last assertion in the statement follows from the structure of the group  $\mathcal{W}(N, p)$ : in the first case, the subgroup  $\langle w \rangle$  is normal, while in the second case  $\mathcal{W}(N, p)$  has no normal subgroups of order two because it has trivial center. ■

**Remark 3.2.** The matrices  $Z_N$  and  $V_N$  in the proof of Proposition 3.1 have determinant  $N$ . Thus, in the same way as the automorphisms in  $\mathcal{G}(N, p)$  are defined by matrices in  $\Gamma_0(N)$  acting on  $\mathbb{H}$ , the automorphisms on  $X(N, p)$  extending  $w_N$  are defined by matrices in  $M_2(\mathbb{Z})$  with determinant  $N$  and hence lying in  $\mathrm{GL}_2(\mathbb{F}_p)$  when reduced mod  $p$ . So we have a mod  $p$  reduction map

$$\mathcal{W}(N, p) \longrightarrow \mathrm{PGL}_2(\mathbb{F}_p)$$

whose restriction to  $\mathcal{G}(N, p)$  is the canonical isomorphism onto  $\mathrm{PSL}_2(\mathbb{F}_p)$ . In the non-cyclotomic case, this map is the isomorphism  $\mathcal{W}(N, p) \simeq \mathrm{PGL}_2(\mathbb{F}_p)$  constructed in the proof of Proposition 3.1. We keep this *canonical* isomorphism throughout the rest of the paper.

**Remark 3.3.** In the non-cyclotomic case, all involutions on  $X(N, p)$  extending  $w_N$  are conjugated inside  $\mathcal{W}(N, p)$ . Hence, their defining matrices

in  $M_2(\mathbb{Z})$  can be obtained conjugating the matrix  $V_N$  in the proof of Proposition 3.1 by matrices in  $\Gamma_0(N)$ . So they can be chosen to be of the form

$$\begin{pmatrix} aN & b \\ cN & -aN \end{pmatrix},$$

where  $a, b, c$  are integers satisfying  $a^2N + bc = -1$ . This fact is used in the proof of Proposition 4.3.

In the cyclotomic case, let us write  $X^+(N, p)$  for the quotient of  $X(N, p)$  by the only involution  $w$  in the center of the group  $\mathcal{W}(N, p)$ . To conclude this section, we give a formula for the genus of  $X(N, p)$  and compute the values of  $N$  and  $p$  for which the curves  $X(N, p)$  and  $X^+(N, p)$  have genus zero or one. In the proof of Proposition 3.4, we recall the description of the cusps of  $X_0(N)$ . We refer to [10] for this, as well as for the action of the Atkin-Lehner involutions on the set of cusps. Both things are used in the proof of Proposition 3.7.

**Proposition 3.4.** *The genus of the modular curve  $X(N, p)$  is*

$$1 + \frac{\psi(N)p(p^2 - 1)}{24} - \frac{p^2 - 1}{4} \sum_{0 < n | N} \varphi((n, N/n)),$$

where  $(a, b)$ ,  $\varphi(r)$  and  $\psi(N)$  are the usual notations for the greatest common divisor of the integers  $a$  and  $b$ , the order of the group  $(\mathbb{Z}/r\mathbb{Z})^*$  and the index of  $\Gamma_0(N)$  in  $SL_2(\mathbb{Z})$ , respectively.

**Proof.** The number of cusps of  $X_0(N)$  is  $\sum \varphi(h_n)$ , where the sum is taken over the positive divisors  $n$  of  $N$ , and  $h_n$  stands for  $(n, N/n)$ . For every divisor  $n$ , there is exactly one cusp for each integer  $m$  in a system of representatives in  $\mathbb{Z}$  of the group  $(\mathbb{Z}/h_n\mathbb{Z})^*$ . We just take the integer  $m = 1$  whenever  $\varphi(h_n) = 1$ . Any such integer  $m$  can be chosen prime to  $n$ , and the corresponding cusp is then represented by the rational number  $m/n$ . The ramification degree of this cusp over  $X(1)$  is  $N/(nh_n)$ . On the other hand, the cusps of  $X(p)$  have ramification degree  $p$  over  $X(1)$ . Thus, since  $N$  is prime to  $p$ , the cusps of  $X(N, p)$  also have ramification degree  $p$  over  $X_0(N)$ . Moreover,  $X(p)$  has no elliptic points, so neither has  $X(N, p)$ . Lastly, the degrees of the coverings  $X(N, p) \rightarrow X_0(N)$  and  $X_0(N) \rightarrow X(1)$  are  $p(p^2 - 1)/2$  and  $\psi(N)$ , respectively. Hence, the proposition follows from the Hurwitz formula applied to the map  $X(N, p) \rightarrow X(1)$ . ■

**Corollary 3.5.** *The modular curve  $X(N, p)$  has genus greater than one, except for the genus-zero case  $X(2, 3)$  and the elliptic case  $X(4, 3)$ .*

**Proof.** Since the genera of  $X(p)$  and  $X_0(N)$  are greater than one for  $p > 5$  and  $N > 49$ , respectively, one only has to check the values that Proposition 3.4 yields in the remaining cases. ■

**Lemma 3.6.** *Consider the Atkin-Lehner involution  $w_N$  on the modular curve  $X_0(pN)$ . The only pairs  $(N, p)$  for which the quotient curve  $X_0(pN)/w_N$  has genus zero are  $(2, 3)$ ,  $(4, 3)$ ,  $(5, 3)$ ,  $(8, 3)$ ,  $(11, 3)$ ,  $(2, 5)$ ,  $(4, 5)$  and  $(3, 7)$ .*

**Proof.** For every integer  $D > 71$ , the modular curve  $X_0(D)$  has positive genus and is neither elliptic nor hyperelliptic [15]. For each odd prime  $p$  and each integer  $N$  prime to  $p$  such that  $pN \leq 71$ , one can then use the formulae in [11] or the tables [23] to conclude the lemma. ■

**Proposition 3.7.** *The curve  $X^+(N, p)$  has genus greater than one, except for the genus-zero case  $X^+(4, 3)$ .*

**Proof.** The involution  $w$ , which is defined by the matrix  $Z_N$  in the proof of Proposition 3.1, restricts to the Atkin-Lehner involution  $w_N$  on  $X_0(pN)$ , so it induces a Galois covering  $X^+(N, p) \rightarrow X_0(pN)/w_N$ . On the other hand, the cusps of  $X_0(pN)$  that ramify on  $X(N, p)$  are those of the form  $m/n$  with  $p$  dividing  $n$ , and the ramification degree is always  $p$  (cf. the proof of Proposition 3.4). In particular, the Hurwitz formula implies that  $X_0(pN)/w_N$  has genus zero whenever  $X^+(N, p)$  has genus less than two. By Lemma 3.6, the only pairs  $(N, p)$ , with  $N$  prime to  $p$  and square mod  $p$ , for which  $X_0(pN)/w_N$  has genus zero are  $(4, 3)$  and  $(4, 5)$ . In the first case, the involution  $w$  fixes the cusp  $1/2$ , so  $X^+(4, 3)$  is a genus-zero quotient of the elliptic curve  $X(4, 3)$ . Let us now study the second case, for which we consider the following commutative diagram:

$$\begin{array}{ccc}
 X(4, 5) & & \\
 \downarrow 10 & \searrow 2 & \\
 X_0(20) & & X^+(4, 5) \\
 & \searrow 2 & \downarrow 10 \\
 & & X_0(20)/w_4
 \end{array}$$

The only ramified points of the covering  $X(4, 5) \rightarrow X_0(20)$  are cusps. Moreover, it can be checked that the points lying above the two cusps  $1/2, 1/10$  fixed by  $w_4$  are also fixed by the involution  $w$ . Thus, the only ramified cusps of the covering  $X^+(4, 5) \rightarrow X_0(20)/w_4$  are the points above  $1/5$  and  $1/10$ , all of them with ramification degree 5. Then, the Hurwitz formula shows

that there must be ten more ramified points, necessarily with ramification degree 2 and lying above the two non-cuspidal points on  $X_0(20)$  fixed by  $w_4$ , hence the genus of  $X^+(4, 5)$  is four. Notice that there are no other ramified points because the number of points on  $X_0(20)$  fixed by  $w_4$  is exactly four (cf. [11] or [23]).  $\blacksquare$

#### 4. A rational model for the modular curve $X(N, p)$

This section deals with the rationality of the curve  $X(N, p)$  and the automorphism subgroup  $\mathcal{W}(N, p)$  introduced in the previous section: we fix a certain rational model for  $X(N, p)$  that makes the automorphisms in  $\mathcal{W}(N, p)$  be defined over  $k_p$ . Recall that  $k_p$  stands for the only quadratic field inside the  $p$ -th cyclotomic extension of  $\mathbb{Q}$ . We denote by  $\zeta_p$  the root of unity  $e^{2\pi i/p}$ .

Since  $X(N, p)$  is the fiber product of the modular curves  $X(p)$  and  $X_0(N)$  over  $X(1)$ , a rational model for the first curve is determined by fixing rational models for the other three curves. Recall that the function field of  $X(1)$  is generated over  $\mathbb{Q}$  by the elliptic modular function  $j$ . For  $X_0(N)$ , consider the canonical rational model given by the function field  $\mathbb{Q}(j, j_N)$ , where  $j_N$  is the modular function defined by  $j_N(z) = j(Nz)$  for  $z$  in the complex upper half-plane  $\mathbb{H}$ . As for  $X(p)$ , the rational model that we fix satisfies the following property: its extension to  $k_p$  gives by specialization over an elliptic curve  $E$  in  $X(1)(\overline{\mathbb{Q}})$  the fixed field of the projective mod  $p$  Galois representation  $\overline{\rho}_E$  attached to the  $p$ -torsion points of  $E$ . This model for  $X(p)$  is obtained as the next particular case of a general procedure that follows Section II.3 in [13] and Section 2 in [14].

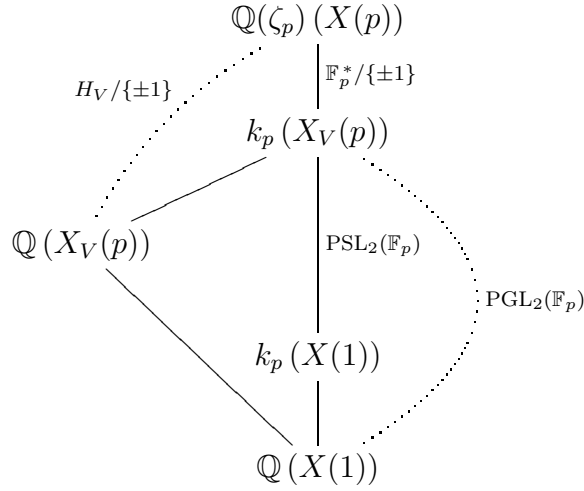
Fix a non-square  $v$  in  $\mathbb{F}_p^*$  and take a matrix  $V$  in  $\mathrm{GL}_2(\mathbb{F}_p)$  of order two in  $\mathrm{PGL}_2(\mathbb{F}_p)$  and with  $\det(V) = v$ . Without risk of confusion, we often identify the matrix  $V$ , up to a sign, with its image in  $\mathrm{PGL}_2(\mathbb{F}_p)$ . Define  $H_V$  as the inverse image in  $\mathrm{GL}_2(\mathbb{F}_p)$  of the subgroup generated by  $V$  in  $\mathrm{PGL}_2(\mathbb{F}_p)$ :

$$H_V = \mathbb{F}_p^* \cup \mathbb{F}_p^* V.$$

Up to conjugation,  $H_V$  is the only subgroup of  $\mathrm{GL}_2(\mathbb{F}_p)$  containing the center  $\mathbb{F}_p^*$  and reducing inside  $\mathrm{PGL}_2(\mathbb{F}_p)$  to a complementary subgroup of  $\mathrm{PSL}_2(\mathbb{F}_p)$ .

The group  $H_V$  defines a rational model  $X_V(p)$  for  $X(p)$  whose  $\mathbb{Q}$ -isomorphism class does not depend on the choice of the matrix  $V$ . Its function field is constructed as follows. In the diagram below,  $\mathbb{Q}(\zeta_p)(X(p))$  stands for the field of modular functions for  $\Gamma(p)$  whose Fourier expansions have coefficients in  $\mathbb{Q}(\zeta_p)$ . This is a Galois extension of  $\mathbb{Q}(X(1))$  with group  $\mathrm{GL}_2(\mathbb{F}_p)/\{\pm 1\}$ . The fixed field by the subgroup  $H_V/\{\pm 1\}$  is the function

field of  $X_V(p)$  :



Although we should denote by  $X_V(N, p)$  the rational model for  $X(N, p)$  obtained from  $X_V(p)$ , we just write  $X(N, p)$  for simplicity. Without loss of generality, we always take the above non-square  $v$  equal to  $N^{-1} \pmod p$  in the non-cyclotomic case. Note that the map  $X(N, p) \rightarrow X_0(N)$  is defined over  $\mathbb{Q}$  and that the function field  $k_p(X(N, p))$  is a Galois extension of  $\mathbb{Q}(X_0(N))$  with group  $\mathrm{PGL}_2(\mathbb{F}_p)$ . In particular, the Galois action on the automorphism subgroup  $\mathcal{G}(N, p)$  factors through  $\mathrm{Gal}(k_p/\mathbb{Q})$ .

The non-cuspidal complex points on  $X(N, p)$  are in bijection with the isomorphism classes of triples

$$(E, C, [T_1, T_2]_V),$$

where  $E$  is a complex elliptic curve,  $C$  is a cyclic subgroup of  $E(\mathbb{C})$  of order  $N$ ,  $[T_1, T_2]$  is a basis for  $E[p]$  and  $[T_1, T_2]_V$  is the corresponding orbit inside  $E[p] \times E[p]$  by the action of  $H_V$ . Here  $H_V$  is viewed as a subgroup of automorphisms of  $E[p]$  through the isomorphism  $\mathrm{GL}_2(\mathbb{F}_p) \simeq \mathrm{Aut}(E[p])$  fixed by the basis  $[T_1, T_2]$ , so that

$$[T_1, T_2]_V = \{ [r T_1, r T_2], [r T_1, r T_2] V \mid r \in \mathbb{F}_p^* \}.$$

Two triples of the form  $(E, C, [T_1, T_2]_V)$  are isomorphic if there is an isomorphism between the corresponding elliptic curves interchanging the cyclic subgroups and the  $H_V$ -orbits.

This bijection is compatible with the usual Galois actions. Thus, a point on  $X(N, p)$  given by a triple as above with  $j_E \neq 0, 1728$  is defined over a number field  $L$  if and only if the elliptic curve  $E$  is defined over  $L$ , the subgroup  $C$  is  $G_L$ -invariant and the image of the linear Galois representation

$$\rho_E : G_L \rightarrow \mathrm{GL}_2(\mathbb{F}_p)$$

attached to  $E[p]$  lies inside a conjugate of the subgroup  $H_V$ .

We can always assume that the basis  $[T_1, T_2]$  in a triple  $(E, C, [T_1, T_2]_V)$  is, inside the corresponding  $H_V$ -orbit, the only one up to a sign that is sent to  $\zeta_p$  by the Weil pairing. The Galois action on the non-cuspidal points of  $X(N, p)$  should then be written accordingly: an automorphism  $\sigma$  of  $\mathbb{C}$  takes any such a triple to that given by the elliptic curve  ${}^\sigma E$ , the subgroup  ${}^\sigma C$  and the  $H_V$ -orbit of either the basis  $[r^{-1} {}^\sigma T_1, r^{-1} {}^\sigma T_2]$  or the basis  $[(vr)^{-1} {}^\sigma T_1, (vr)^{-1} {}^\sigma T_2]_V$ , depending on whether  ${}^\sigma \zeta_p = \zeta_p^{r^2}$  or  ${}^\sigma \zeta_p = \zeta_p^{vr^2}$  for some  $r$  in  $\mathbb{F}_p^*$ , respectively.

The action of the automorphism group  $\mathcal{G}(N, p)$  on the non-cuspidal points of  $X(N, p)$ , and then the Galois action on  $\mathcal{G}(N, p)$ , are stated in Proposition 4.1 and Corollary 4.2, respectively. The symbol  $\hat{\phantom{x}}$  stands henceforth for the matrix (anti)involution given by

$$\hat{M} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} {}^t M \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix},$$

where  ${}^t M$  is the transpose of the matrix  $M$ . Alternatively, it can be defined as follows:

$$M = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \longmapsto \hat{M} = \begin{pmatrix} d & c \\ b & a \end{pmatrix}.$$

**Proposition 4.1.** *An automorphism in  $\mathcal{G}(N, p)$  represented through the canonical isomorphism  $\mathcal{G}(N, p) \simeq \mathrm{PSL}_2(\mathbb{F}_p)$  by a matrix  $\gamma$  in  $\mathrm{SL}_2(\mathbb{F}_p)$  takes a point  $(E, C, [T_1, T_2]_V)$  on  $X(N, p)$  to the point given by the elliptic curve  $E$ , the subgroup  $C$  and the  $H_V$ -orbit of the  $p$ -torsion basis  $[T_1, T_2]_{\hat{\gamma}}$ .*

**Proof.** Take any matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $\mathrm{SL}_2(\mathbb{Z})$  reducing mod  $p$  to  $\gamma$ . The triple  $(E, C, [T_1, T_2]_V)$  is isomorphic to one of the form

$$(E_z, \langle 1/N \rangle, [1/p, z/p]_V)$$

for some  $z$  in  $\mathbb{H}$ , where  $E_z$  stands for the complex elliptic curve defined by the lattice  $\mathbb{Z} + z\mathbb{Z}$ . The automorphism in the statement sends the pair given by  $z$  to that given by

$$z' = \frac{az + b}{cz + d}.$$

Then, the endomorphism of  $\mathbb{C}$  defined by multiplication by  $cz + d$  extends to an isomorphism  $E_{z'} \rightarrow E_z$  that preserves the subgroup  $\langle 1/N \rangle$  and sends the basis  $[1/p, z'/p]$  of  $E_{z'}[p]$  to the basis

$$[(d + cz)/p, (b + az)/p] = [1/p, z/p]_{\hat{\gamma}}$$

of  $E_z[p]$ , so the result follows. ■

**Corollary 4.2.** *An automorphism in  $\mathcal{G}(N, p)$  represented through the canonical isomorphism  $\mathcal{G}(N, p) \simeq \mathrm{PSL}_2(\mathbb{F}_p)$  by a matrix  $\gamma$  in  $\mathrm{SL}_2(\mathbb{F}_p)$  is sent by the non-trivial element in  $\mathrm{Gal}(k_p/\mathbb{Q})$  to the automorphism in  $\mathcal{G}(N, p)$  corresponding to the matrix  $\hat{V}\gamma\hat{V}$  in  $\mathrm{PSL}_2(\mathbb{F}_p)$ .*

**Proof.** Denote by  $g$  the automorphism represented by the matrix  $\gamma$ . Take any element  $\sigma$  in  $G_{\mathbb{Q}}$  such that  ${}^{\sigma}\zeta_p = \zeta_p^v$  and let  $\gamma_{\sigma}$  be a matrix in  $\mathrm{SL}_2(\mathbb{F}_p)$  representing the automorphism  ${}^{\sigma}g$  in  $\mathcal{G}(N, p)$ . Take also any point  $P$  in  $X(N, p)(\overline{\mathbb{Q}})$  given by a triple  $(E, C, [T_1, T_2]_V)$  with  $j_E \neq 0, 1728$ . The definition of  ${}^{\sigma}g$ , namely

$$\sigma(g(P)) = {}^{\sigma}g({}^{\sigma}P),$$

leads, by means of Proposition 4.1, to an automorphism of the elliptic curve  ${}^{\sigma}E$  interchanging the  $H_V$ -orbits of the  $p$ -torsion bases

$$[v^{-1} {}^{\sigma}T_1, v^{-1} {}^{\sigma}T_2] \hat{\gamma} V \quad \text{and} \quad [v^{-1} {}^{\sigma}T_1, v^{-1} {}^{\sigma}T_2] V \hat{\gamma}_{\sigma}.$$

This implies the identity  $\hat{\gamma}_{\sigma} = V \hat{\gamma} V$  in  $\mathrm{PSL}_2(\mathbb{F}_p)$ . ■

From now on, we fix as follows an involution  $w$  on  $X(N, p)$  extending the Atkin-Lehner involution  $w_N$  on  $X_0(N)$ . Recall that  $\mathcal{W}(N, p)$  stands for the group of the Galois covering  $X(N, p) \longrightarrow X^+(N)$ , where  $X^+(N)$  is the quotient of  $X_0(N)$  by  $w_N$ . In the cyclotomic case, we take as  $w$  the only involution in the center of  $\mathcal{W}(N, p)$  (cf. Proposition 3.1) and denote by  $\sqrt{N}$  a square root of  $N \bmod p$ . In the non-cyclotomic case, we take as  $w$  the involution corresponding to the matrix  $\hat{V}$  through the canonical isomorphism  $\mathcal{W}(N, p) \simeq \mathrm{PGL}_2(\mathbb{F}_p)$  (cf. Remark 3.2). Recall that, in the second case,  $v = \det(V)$  is taken to be  $N^{-1} \bmod p$ .

**Proposition 4.3.** *The involution  $w$  sends a point  $(E, C, [T_1, T_2]_V)$  on  $X(N, p)$  to the point given by the elliptic curve  $E/C$ , the subgroup  $E[N]/C$  and the  $H_V$ -orbit of the image in  $E/C$  of the following  $p$ -torsion basis:*

- $[\sqrt{N}^{-1} T_1, \sqrt{N}^{-1} T_2]$  in the cyclotomic case;
- $[T_1, T_2] V$  in the non-cyclotomic case.

**Proof.** According to Remark 3.3 and the proof of Proposition 3.1, the involution  $w$  is always defined by the action on  $\mathbb{H}$  of a matrix in  $M_2(\mathbb{Z})$  of the form

$$\begin{pmatrix} aN & b \\ cN & dN \end{pmatrix},$$

with  $adN - bc = 1$ . Denote by  $\gamma$  the reduction mod  $p$  of this matrix.



We now proceed as in the proof of Proposition 4.1: the given triple is isomorphic to one of the form

$$(E_z, \langle 1/N \rangle, [1/p, z/p]_V)$$

for some  $z$  in  $\mathbb{H}$ , where  $E_z$  stands for the complex elliptic curve defined by the lattice  $\mathbb{Z} + z\mathbb{Z}$ . The involution  $w$  sends the triple given by  $z$  to that given by

$$z' = \frac{aNz + b}{cNz + dN}.$$

Then, the endomorphism of  $\mathbb{C}$  defined by multiplication by  $cz + d$  extends to an isomorphism

$$E_{z'} \longrightarrow E_z / \langle 1/N \rangle.$$

This isomorphism sends the subgroup  $\langle 1/N \rangle$  of  $E_{z'}$  to the image of  $E_z[N]$  under the isogeny  $E_z \longrightarrow E_z / \langle 1/N \rangle$ . Also, it sends the basis  $[1/p, z'/p]$  of  $E_{z'}[p]$  to the image of the basis

$$[(d + cz)/p, (N^{-1}b + az)/p] = [1/p, z/p] N^{-1} \hat{\gamma}$$

of  $E_z[p]$ . In the cyclotomic case,  $d = a$ ,  $c = p$  and  $b$  is a multiple of  $p$ , so that  $a^2$  equals  $N^{-1} \pmod{p}$  and the matrix  $\sqrt{N}^{-1} \hat{\gamma}$  is trivial in  $\mathrm{PSL}_2(\mathbb{F}_p)$ . In the non-cyclotomic case, we have  $\gamma = \pm N \hat{V}$ . This completes the proof. ■

**Corollary 4.4.** *The involution  $w$  is defined over  $\mathbb{Q}$ .*

**Proof.** Take any automorphism  $\sigma$  in  $G_{\mathbb{Q}}$ . Since  $w_N$  is defined over  $\mathbb{Q}$ ,  $\sigma w$  is still an involution in  $\mathcal{W}(N, p) \setminus \mathcal{G}(N, p)$ . Let  $P$  be a non-CM point in  $X(N, p)(\overline{\mathbb{Q}})$  given by a triple  $(E, C, [T_1, T_2]_V)$ . For a fixed model of the elliptic curve  $E/C$ , an isogeny  $\lambda: E \longrightarrow E/C$  with kernel  $C$  is determined up to a sign. One has the conjugate isogeny  $\sigma\lambda: \sigma E \longrightarrow \sigma(E/C)$ . Using Proposition 4.3 and the isomorphism  $\sigma E / \sigma C \longrightarrow \sigma(E/C)$  induced by  $\sigma\lambda$ , we can verify case by case that  $\sigma P$  has the same image by both  $w$  and  $\sigma w$ . Consider, for instance, the cyclotomic case and assume  $\sigma\zeta_p = \zeta_p^{r^2}$  for some  $r$  in  $\mathbb{F}_p^*$ . Then, the point  $\sigma P$  is sent to the isomorphism class of the triple given by the elliptic curve  $\sigma(E/C)$ , the cyclic group  $\sigma\lambda(\sigma E[N])$  and the  $H_V$ -orbit of the basis

$$[(r\sqrt{N})^{-1} \sigma\lambda(\sigma T_1), (r\sqrt{N})^{-1} \sigma\lambda(\sigma T_2)].$$

By Proposition 4.1, this means that the matrix in  $\mathrm{PSL}_2(\mathbb{F}_p)$  corresponding to the automorphism  $\sigma w w$  in  $\mathcal{G}(N, p)$  is the identity, so the result follows. ■

**Remark 4.5.** We can conclude that the Galois covering  $X(N, p) \longrightarrow X^+(N)$  is defined over  $k_p$ . In other words, the function field  $k_p(X(N, p))$  is a Galois extension of  $k_p(X^+(N))$ , with group (anti)isomorphic to  $\mathcal{W}(N, p)$ . As a matter of fact,  $k_p(X(N, p))$  is a Galois extension of  $\mathbb{Q}(X^+(N))$ .

Let us finish this section by reviewing the moduli interpretation of the rational points on  $X^+(N)$ . The non-cuspidal points of  $X_0(N)(\mathbb{C})$  are in bijection with the isomorphism classes of pairs  $(E, C)$ , where  $E$  is a complex elliptic curve and  $C$  is a cyclic subgroup of  $E(\mathbb{C})$  of order  $N$ . Such a point with  $j_E \neq 0, 1728$  is defined over a number field  $L$  if and only if  $E$  and  $C$  are defined over  $L$ , which means that  ${}^\sigma E = E$  and  ${}^\sigma C = C$  for all  $\sigma$  in  $G_L$ . A point on  $X(N, p)$  given by a triple  $(E, C, [T_1, T_2]_V)$  has image on  $X_0(N)$  given by the pair  $(E, C)$ . In particular, the involution  $w_N$  sends this pair to  $(E/C, E[N]/C)$ .

Let  $E$  be an elliptic curve defined over  $L$ , and let  $E \longrightarrow E'$  be an isogeny with cyclic kernel  $C$  of order  $N$ . Assume that  $E$  has no CM, so that an isogeny from  $E$  to  $E'$  is determined up to a sign by its degree. Then, the subgroup  $C$  is defined over  $L$  if and only if  $E'$  admits a model over  $L$ .

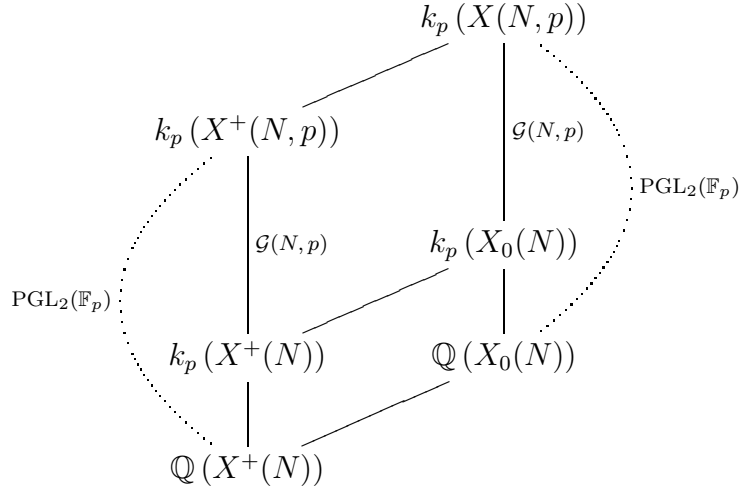
Now, suppose that  $E$  and  $E'$  are defined over a quadratic field  $k$ , so that the pair  $(E, C)$  defines a  $k$ -rational point  $P$  on  $X_0(N)$ . This point is rational if and only if both  $E$  and  $E'$  have a model over  $\mathbb{Q}$ . In this case, we say that the couple  $\{E, E'\}$  is a *rational  $\mathbb{Q}$ -curve of degree  $N$* . Otherwise, the image of  $P$  on  $X^+(N)$  is rational if and only if  $E'$  is isomorphic to the Galois conjugate  ${}^v E$  of  $E$ . Indeed, since  $E$  has no CM, an isogeny  $\mu: E \longrightarrow {}^v E$  with kernel  $C$  sends  $E[N]$  to  ${}^v C$ , so the existence of such an isogeny  $\mu$  amounts to the equality  $w_N(P) = {}^v P$  in  $X_0(N)(k)$ . Thus, every non-cuspidal non-CM rational point on  $X^+(N)$  comes from a pair  $(E, C)$  on  $X_0(N)$  defined over some quadratic field and yielding a (possibly rational)  $\mathbb{Q}$ -curve of degree  $N$ .

## 5. The twisted curves in the cyclotomic case

Assume  $N$  to be a square mod  $p$ . The structure of this section is as follows. We first obtain from a modular point of view the fixed field of the Galois representation  $\rho_E$  attached in Section 2 to a quadratic  $\mathbb{Q}$ -curve  $E$  of degree  $N$ . Next, we produce the twisted modular curves whose non-cuspidal non-CM rational points give the  $\mathbb{Q}$ -curves of degree  $N$  realizing a fixed projective mod  $p$  Galois representation with cyclotomic determinant. We also include a result on the finiteness of the number of such  $\mathbb{Q}$ -curves.

Recall that  $X^+(N, p)$  denotes the quotient of  $X(N, p)$  by the involution  $w$ . The induced map  $X^+(N, p) \longrightarrow X^+(N)$  is a Galois covering with automorphism group  $\mathcal{G}(N, p)$  and hence defined over  $k_p$  (cf. Proposition 3.1

and Remark 4.5). The function field  $k_p(X^+(N, p))$  is in fact a Galois extension of  $\mathbb{Q}(X^+(N))$  with group  $\mathrm{PGL}_2(\mathbb{F}_p)$ :



**Proposition 5.1.** *The function field  $k_p(X^+(N, p))$  produces, by specialization over a rational point on  $X^+(N)$  corresponding to a quadratic  $\mathbb{Q}$ -curve  $E$ , the fixed field of the Galois representation  $\rho_E$ .*

**Proof.** Let  $E$  be a quadratic  $\mathbb{Q}$ -curve of degree  $N$  defined over a quadratic field  $k$ . Fix an automorphism  $\nu$  in  $G_{\mathbb{Q}} \setminus G_k$  and an isogeny  $\mu: E \rightarrow \nu E$  of degree  $N$ . If we let  $C$  be the kernel of  $\mu$ , the pair  $(E, C)$  defines a  $k$ -rational point on  $X_0(N)$  with rational image on  $X^+(N)$ . The preimages on  $X^+(N, p)$  of this rational point are given by the couples  $\{P, w(P)\}$  for all points  $P$  on  $X(N, p)$  represented by a triple of the form  $(E, C, [T_1, T_2]_V)$ . If we denote by  $H$  the subgroup of  $G_{k_p}$  fixing those couples, what the proposition asserts is that  $H$  equals the kernel of  $\rho_E$ . This kernel is indeed a subgroup of  $G_{k_p}$  because the fixed field of  $\det \rho_E$  is  $k_p$  (cf. Proposition 2.4). For a point  $P$  as above,  $w(P)$  is given by the triple  $(\nu E, \nu C, [\sqrt{N}^{-1} \mu(T_1), \sqrt{N}^{-1} \mu(T_2)]_V)$ . Take now any  $\sigma$  in  $G_{k_p}$ , so that  ${}^\sigma \zeta_p = \zeta_p^{r^2}$  for some  $r$  in  $\mathbb{F}_p^*$ . If  $\sigma \in G_k$ , then  $\sigma \in H$  if and only if  ${}^\sigma P = P$ , namely if and only if  ${}^\sigma T = \pm r T$  for all points  $T$  in  $E[p]$ . If  $\sigma \notin G_k$ , then  $\sigma \in H$  if and only if  ${}^\sigma P = w(P)$ , namely if and only if  ${}^\sigma T = \pm r \sqrt{N}^{-1} \mu(T)$  for all points  $T$  in  $E[p]$ . Therefore, the result follows from the definition of  $\rho_E$ . ■

Suppose that we are now given a Galois representation

$$\rho: G_{\mathbb{Q}} \rightarrow \mathrm{PGL}_2(\mathbb{F}_p)$$

with cyclotomic determinant, which means that the fixed field of  $\det \rho$  is  $k_p$ . For the moduli problem of classifying the  $\mathbb{Q}$ -curves of degree  $N$  realizing  $\rho$ ,

we twist the curve  $X^+(N, p)$  by certain elements in the cohomology set  $H^1(G_{\mathbb{Q}}, \mathcal{G}(N, p))$ . Recall that the twists of a curve defined over  $\mathbb{Q}$ , up to  $\mathbb{Q}$ -isomorphism, are in bijection with the elements in the first cohomology set of  $G_{\mathbb{Q}}$  with values in the automorphism group of the curve.

The Galois action on  $\mathcal{G}(N, p)$  is given in Corollary 4.2. Now, the action by conjugation of  $\mathrm{PGL}_2(\mathbb{F}_p)$  makes this group isomorphic to the automorphism group of  $\mathrm{PSL}_2(\mathbb{F}_p)$ . Hence, the canonical isomorphism between  $\mathcal{G}(N, p)$  and  $\mathrm{PSL}_2(\mathbb{F}_p)$  induces an isomorphism  $\mathrm{Aut}(\mathcal{G}(N, p)) \simeq \mathrm{PGL}_2(\mathbb{F}_p)$  through which the Galois action on  $\mathcal{G}(N, p)$  can be described by the morphism

$$\eta : G_{\mathbb{Q}} \longrightarrow \mathrm{Gal}(k_p/\mathbb{Q}) \simeq \langle \hat{V} \rangle \hookrightarrow \mathrm{PGL}_2(\mathbb{F}_p).$$

Consider then the cocycles  $\xi = \varrho_*\eta$  and  $\xi' = \varrho'_*\eta$ , where  $\varrho_*(\sigma) = {}^t\varrho(\sigma^{-1})$  and  $\varrho'_*(\sigma) = \hat{V}\varrho_*(\sigma)\hat{V}$  for all  $\sigma$  in  $G_{\mathbb{Q}}$ . The cyclotomic hypothesis allows us to regard them, through the above canonical isomorphism, as cocycles with values in  $\mathcal{G}(N, p)$ . The cocycle condition for  $\xi$ , namely  $\xi_{\sigma\tau} = \xi_{\sigma}\xi_{\tau}$  for all  $\sigma, \tau$  in  $G_{\mathbb{Q}}$ , can be easily checked case by case, depending on whether  $\sigma$  and  $\tau$  belong to  $G_{k_p}$  or not. The same holds for  $\xi'$ . The cocycle  $\xi$  defines a rational model  $X^+(N, p)_{\varrho}$  for the corresponding twist of  $X^+(N, p)$ , together with an isomorphism

$$\psi_+ : X^+(N, p)_{\varrho} \longrightarrow X^+(N, p)$$

satisfying  $\psi_+ = \xi_{\sigma}\psi_+$  for every  $\sigma$  in  $G_{\mathbb{Q}}$ . Let us denote by  $X^+(N, p)'_{\varrho}$  and  $\psi'_+$  the analogous twist and isomorphism defined by the cocycle  $\xi'$ .

**Theorem 5.2.** *There is a (possibly rational)  $\mathbb{Q}$ -curve of degree  $N$  realizing  $\varrho$  if and only if the set of non-cuspidal non-CM rational points on the curves  $X^+(N, p)_{\varrho}$  and  $X^+(N, p)'_{\varrho}$  is not empty. In this case, the compositions*

$$X^+(N, p)_{\varrho} \xrightarrow{\psi_+} X^+(N, p) \longrightarrow X^+(N)$$

$$X^+(N, p)'_{\varrho} \xrightarrow{\psi'_+} X^+(N, p) \longrightarrow X^+(N)$$

define a surjective map from this set of points to the set of isomorphism classes of:

- quadratic  $\mathbb{Q}$ -curves of degree  $N$  up to Galois conjugation realizing  $\varrho$ ,
- rational  $\mathbb{Q}$ -curves of degree  $N$  realizing  $\varrho$ .

*This map is bijective if and only if the centralizer in  $\mathrm{PGL}_2(\mathbb{F}_p)$  of the image of  $\varrho$  is trivial.*

**Proof.** The rational points on  $X^+(N, p)_{\varrho}$  correspond via  $\psi_+$  to the couples of the form  $\{P, w(P)\}$ , where  $P$  is an algebraic point on  $X(N, p)$  such that, for each given automorphism  $\sigma$  in  $G_{\mathbb{Q}}$ , either  $\xi_{\sigma}(P) = P$  or  $\xi_{\sigma}(P) = w(P)$ .

Let  $P$  be a non-CM point in  $X(N, p)(\overline{\mathbb{Q}})$  given by a triple  $(E, C, [T_1, T_2]_V)$ . We use the basis  $[T_1, T_2]$  of  $E[p]$  to fix the isomorphism  $\text{Aut}(E[p]) \simeq \text{GL}_2(\mathbb{F}_p)$ . By virtue of Proposition 4.1, the condition  ${}^\sigma P = \xi_\sigma^{-1}(P)$  for all  $\sigma$  in  $G_{\mathbb{Q}}$  amounts to saying that  $\{E, E/C\}$  is a rational  $\mathbb{Q}$ -curve of degree  $N$  such that the equality

$$(5.1) \quad \varrho_E(\sigma) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \varrho(\sigma) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

holds in  $\text{PGL}_2(\mathbb{F}_p)$  for every  $\sigma$  in  $G_{\mathbb{Q}}$ . Here, we extend the notation  $\varrho_E$  to the case of elliptic curves over  $\mathbb{Q}$  by putting  $\varrho_E = \overline{\varrho}_E$ .

If, on the other hand, there exists  $\nu$  in  $G_{\mathbb{Q}}$  for which  $\xi_\nu({}^\nu P) = w(P)$ , then  $E$  must be a quadratic  $\mathbb{Q}$ -curve for the point  $\psi_+^{-1}(\{P, w(P)\})$  on  $X^+(N, p)_\varrho$  to be rational. Indeed, in this case the subgroup of  $G_{\mathbb{Q}}$  consisting of those automorphisms  $\sigma$  that satisfy  $\xi_\sigma({}^\sigma P) = P$  has index two, so it is of the form  $G_k$  for some quadratic field  $k$ , and then the condition  ${}^\sigma P = \xi_\sigma^{-1}(P)$  for all  $\sigma$  in  $G_k$  forces the elliptic curve  $E$  and the subgroup  $C$  to be defined over  $k$ , while the condition  $w({}^\nu P) = \xi_\nu^{-1}(P)$  gives an isogeny  $\lambda : {}^\nu E \rightarrow E$  with kernel  ${}^\nu C$ .

So assume now  $E$  and  $C$  to be defined over a quadratic field  $k$  and let  $\lambda$  be an isogeny as above. Then, for  $\sigma \notin G_k$ , the point  $w({}^\sigma P)$  is represented by the triple given by the elliptic curve  $E$ , the cyclic group  $C$  and the  $H_V$ -orbit of the basis

$$\begin{array}{ll} \hline [(r\sqrt{N})^{-1}\lambda({}^\sigma T_1), (r\sqrt{N})^{-1}\lambda({}^\sigma T_2)] & \text{if } {}^\sigma \zeta_p = \zeta_p^{r^2} \\ \hline [(vr\sqrt{N})^{-1}\lambda({}^\sigma T_1), (vr\sqrt{N})^{-1}\lambda({}^\sigma T_2)]V & \text{if } {}^\sigma \zeta_p = \zeta_p^{vr^2} \\ \hline \end{array}$$

This comes from Proposition 4.3 and the isomorphism  ${}^\nu E/{}^\nu C \rightarrow E$  induced by the isogeny  $\lambda$ . Notice that the second case does not occur if  $k = k_p$ .

On the other hand, the automorphism  $\xi_\sigma^{-1}$  is given by  ${}^t\varrho(\sigma)$ , if  $\sigma \in G_{k_p}$ , or by  $\hat{V} {}^t\varrho(\sigma)$ , if  $\sigma \notin G_{k_p}$ . Then, by applying Proposition 4.1 to each case, we obtain that the point  $\psi_+^{-1}(\{P, w(P)\})$  on  $X^+(N, p)_\varrho$  is rational if and only if condition (5.1) holds for every  $\sigma$  in  $G_{\mathbb{Q}}$ .

Similarly, consider a point on  $X^+(N, p)'_\varrho$  corresponding via  $\psi'_+$  to a point on  $X^+(N, p)$  obtained from a triple  $(E, C, [T_1, T_2]_V)$ . By the same reasoning as above, this point is rational if and only if the pair  $(E, C)$  represents a (possibly rational)  $\mathbb{Q}$ -curve of degree  $N$  such that, for every  $\sigma$  in  $G_{\mathbb{Q}}$ ,

$$(5.2) \quad \varrho_E(\sigma) = V \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \varrho(\sigma) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} V.$$

Let us now consider a (possibly rational)  $\mathbb{Q}$ -curve of degree  $N$  given by some point  $(E, C)$  on  $X_0(N)$  and assume  $\varrho_E = \varrho$ . Since this is an equality up to conjugation in  $\mathrm{PGL}_2(\mathbb{F}_p)$ , it amounts to the existence of a basis  $[T_1, T_2]$  of  $E[p]$  for which condition (5.1) holds for every  $\sigma$  in  $G_{\mathbb{Q}}$ . Moreover, we can suppose that such a basis is sent to either  $\zeta_p$  or  $\zeta_p^{v-1}$  by the Weil pairing. In the first case, the image on  $X^+(N, p)$  of the triple  $(E, C, [T_1, T_2]_V)$  defines through  $\psi_+$  a rational point on  $X^+(N, p)_{\varrho}$ . In the second case, let us take  $[T'_1, T'_2] = [T_1, T_2]_V$ . For this new basis, which is sent to  $\zeta_p$  under the Weil pairing, condition (5.2) is satisfied for every  $\sigma$  in  $G_{\mathbb{Q}}$ . So the image on  $X^+(N, p)$  of the triple  $(E, C, [T'_1, T'_2]_V)$  defines through  $\psi'_+$  a rational point on  $X^+(N, p)'_{\varrho}$ .

This proves the first part of the statement, including the surjectivity of the map whenever it is defined. To discuss its injectivity, consider a point  $(E, C)$  on  $X_0(N)$  yielding a (possibly rational)  $\mathbb{Q}$ -curve of degree  $N$ . Suppose that one can take two different rational points on the twists, corresponding (via  $\psi_+$  or  $\psi'_+$ ) to points on  $X^+(N, p)$  obtained from two triples of the form  $(E, C, [T_1, T_2]_V)$ . Three different cases must be distinguished:

- Both rational points are on  $X^+(N, p)_{\varrho}$  if and only if there is a non-trivial element  $\gamma$  in  $\mathrm{PSL}_2(\mathbb{F}_p)$ , representing a basis change in  $E[p]$ , such that

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \varrho(\sigma) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \gamma \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \varrho(\sigma) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \gamma^{-1}$$

for all  $\sigma$  in  $G_{\mathbb{Q}}$ . This amounts to the existence of a non-trivial element in  $\mathrm{PSL}_2(\mathbb{F}_p)$  commuting with all the elements in the image of  $\varrho$ .

- The same characterization is obtained if both points lie on  $X^+(N, p)'_{\varrho}$ .
- One of the points is on  $X^+(N, p)_{\varrho}$  and the other on  $X^+(N, p)'_{\varrho}$  if and only if there exists  $\gamma$  in  $\mathrm{PSL}_2(\mathbb{F}_p)$  such that

$$V \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \varrho(\sigma) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} V = \gamma \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \varrho(\sigma) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \gamma^{-1}$$

for every  $\sigma$  in  $G_{\mathbb{Q}}$ . This amounts to the existence of an element in  $\mathrm{PGL}_2(\mathbb{F}_p)$  not lying in  $\mathrm{PSL}_2(\mathbb{F}_p)$  and commuting with all the elements in the image of  $\varrho$ .

This completes the proof of the statement. ■

It is obvious from Faltings' theorem that the set of points in Theorem 5.2 is always finite whenever the genus of  $X^+(N)$  is greater than one. One can assure this for  $N > 131$ : indeed, the modular curve  $X_0(N)$  has genus at least two and is neither hyperelliptic [15] nor bielliptic [1] for any such integer  $N$ . From Proposition 3.7, one actually gets the following improvement.

**Corollary 5.3.** *For  $N$  square mod  $p$ , the number of isomorphism classes of quadratic  $\mathbb{Q}$ -curves of degree  $N$  realizing  $\varrho$  is finite, except possibly in the case  $N = 4$ ,  $p = 3$ .*

A different moduli description that gets rid of rational  $\mathbb{Q}$ -curves can be given for every quadratic field of definition. In order to do that, we twist  $X(N, p)$  by two certain elements in the cohomology set  $H^1(\mathbb{G}_{\mathbb{Q}}, \mathcal{W}(N, p))$  that are naturally obtained from the above cocycles  $\xi$  and  $\xi'$  as follows. By Proposition 3.1 and Corollary 4.4, the  $\mathbb{G}_{\mathbb{Q}}$ -group  $\mathcal{W}(N, p)$  equals the direct product of  $\mathbb{G}_{\mathbb{Q}}$ -groups  $\mathcal{G}(N, p) \times \langle w \rangle$ . Then,  $H^1(\mathbb{G}_{\mathbb{Q}}, \mathcal{W}(N, p))$  is also the direct product of the corresponding cohomology sets. Fix now a quadratic field  $k$  and take the Galois character

$$\chi_k : \mathbb{G}_{\mathbb{Q}} \longrightarrow \text{Gal}(k/\mathbb{Q}) \simeq \langle w \rangle.$$

We then consider the cocycle  $\xi \chi_k$  and the rational model  $X(N, p)_{\varrho, k}$  for the corresponding twist, along with the isomorphism

$$\psi_k : X(N, p)_{\varrho, k} \longrightarrow X(N, p)$$

satisfying  $\psi_k = (\xi \chi_k)_{\sigma} \psi_k$  for every  $\sigma$  in  $\mathbb{G}_{\mathbb{Q}}$ . Analogously, let us denote by  $X(N, p)'_{\varrho, k}$  and  $\psi'_k$  the twist and the isomorphism defined by the cocycle  $\xi' \chi_k$ .

**Theorem 5.4.** *There exists a quadratic  $\mathbb{Q}$ -curve of degree  $N$  defined over  $k$  realizing  $\varrho$  if and only if the set of non-cuspidal non-CM rational points on the curves  $X(N, p)_{\varrho, k}$  and  $X(N, p)'_{\varrho, k}$  is not empty. In this case, the compositions*

$$X(N, p)_{\varrho, k} \xrightarrow{\psi_k} X(N, p) \longrightarrow X_0(N)$$

$$X(N, p)'_{\varrho, k} \xrightarrow{\psi'_k} X(N, p) \longrightarrow X_0(N)$$

define a surjective map from this set of points to the set of isomorphism classes of quadratic  $\mathbb{Q}$ -curves of degree  $N$  defined over  $k$  realizing  $\varrho$ . This map is bijective if and only if the centralizer in  $\text{PGL}_2(\mathbb{F}_p)$  of the image of  $\varrho$  is trivial.

**Proof.** The rational points on  $X_{\varrho, k}(N, p)$  correspond via  $\psi_k$  to the algebraic points  $P$  on  $X(N, p)$  such that

$$\xi_{\sigma}^{-1}(P) = \begin{cases} \sigma P & \text{for } \sigma \in \mathbb{G}_k, \\ w(\sigma P) & \text{for } \sigma \notin \mathbb{G}_k. \end{cases}$$

The proof runs then in a very similar way to that of Theorem 5.2, so we omit the details. In the current case, a non-CM point on  $X(N, p)_{\varrho, k}$  corresponding via  $\psi_k$  to a triple  $(E, C, [T_1, T_2]_V)$  is rational if and only if  $E$  is defined over  $k$ , there exists an isogeny from  $E$  to its Galois conjugate with kernel  $C$  and condition (5.1) holds for every  $\sigma$  in  $G_{\mathbb{Q}}$  whenever one uses the basis  $[T_1, T_2]$  to fix the isomorphism  $\text{Aut}(E[p]) \simeq \text{GL}_2(\mathbb{F}_p)$ . The same characterization is valid for the rational points on  $X(N, p)'_{\varrho, k}$  if we replace  $\psi_k$  by  $\psi'_k$  and condition (5.1) by condition (5.2). ■

**Remark 5.5.** One can check that  $\xi$  and  $\xi'$  are cohomologous as cocycles with values in  $\mathcal{G}(N, p)$  if and only if the centralizer in  $\text{PGL}_2(\mathbb{F}_p)$  of the image of  $\varrho$  does not lie in  $\text{PSL}_2(\mathbb{F}_p)$ . Thus, the twists  $X^+(N, p)_{\varrho}$  and  $X^+(N, p)'_{\varrho}$  are not a priori isomorphic over  $\mathbb{Q}$ . The same holds for the twisted curves  $X(N, p)_{\varrho, k}$  and  $X(N, p)'_{\varrho, k}$ . Moreover, it can be shown that the involution  $w$  does not switch the rational points on  $X(N, p)_{\varrho, k}$  and  $X(N, p)'_{\varrho, k}$ , so finding the underlying  $\mathbb{Q}$ -curves requires in general the rational points on both twists.

## 6. The twisted curve in the non-cyclotomic case

Assume  $N$  to be a non-square mod  $p$ . This section is the analogue of the previous one for the non-cyclotomic case. Unlike in the cyclotomic case, the quadratic field of definition for the potential  $\mathbb{Q}$ -curves of degree  $N$  realizing a given projective mod  $p$  Galois representation is now fixed by the determinant. Moreover, only one twist is needed for the moduli classification of such  $\mathbb{Q}$ -curves. We prove this in Theorem 6.4 below. As before, let us first give the modular construction of the fixed field of the Galois representation  $\varrho_E$  attached to a quadratic  $\mathbb{Q}$ -curve  $E$  of degree  $N$ . The procedure is now more intricate.

Recall that the group  $\mathcal{W}(N, p)$  of the covering  $X(N, p) \rightarrow X^+(N)$  is canonically isomorphic to  $\text{PGL}_2(\mathbb{F}_p)$ . The action by conjugation of this group makes it isomorphic to its automorphism group. Thus, by virtue of Corollary 4.2 and Corollary 4.4, the Galois action on  $\mathcal{W}(N, p)$  is given by the morphism

$$\eta : G_{\mathbb{Q}} \longrightarrow \text{Gal}(k_p/\mathbb{Q}) \simeq \langle w \rangle \hookrightarrow \mathcal{W}(N, p),$$

where we identify  $\mathcal{W}(N, p)$  with its (inner) automorphism group.

Let  $\tilde{X}(N, p)$  be the twist of  $X(N, p)$  defined by the cocycle  $\eta$ . Likewise, denote by  $\tilde{X}_0(N)$  the twist of  $X_0(N)$  defined by the cocycle

$$G_{\mathbb{Q}} \longrightarrow \text{Gal}(k_p/\mathbb{Q}) \simeq \langle w_N \rangle.$$



We write  $\tilde{X}^+(N)$  for the quotient of  $\tilde{X}_0(N)$  by the involution corresponding to  $w_N$ . Consider the following commutative diagram, where the morphisms are the natural ones:

$$\begin{array}{ccc} \tilde{X}(N, p) & \xrightarrow{\cong} & X(N, p) \\ \downarrow & & \downarrow \\ \tilde{X}_0(N) & \xrightarrow{\cong} & X_0(N) \\ \downarrow & & \downarrow \\ \tilde{X}^+(N) & \xrightarrow{\cong} & X^+(N) \end{array}$$

As remarked in the proof of the next lemma, the lower isomorphism is actually defined over  $\mathbb{Q}$ .

**Lemma 6.1.** *The Galois covering  $\tilde{X}(N, p) \longrightarrow X^+(N)$  is defined over  $\mathbb{Q}$ .*

**Proof.** Denote by

$$\phi : \tilde{X}(N, p) \longrightarrow X(N, p) \quad \text{and} \quad \phi_0 : \tilde{X}_0(N) \longrightarrow X_0(N)$$

the upper isomorphisms in the above diagram. They are defined over  $k_p$  and satisfy

$$\sigma\phi\phi^{-1}=w \quad \text{and} \quad \sigma\phi_0\phi_0^{-1}=w_N \quad \text{for } \sigma \notin G_{k_p}.$$

Then, the involution  $\phi_0^{-1}w_N\phi_0$  on  $\tilde{X}_0(N)$  is defined over  $\mathbb{Q}$ . Hence, so is the corresponding quotient map  $\tilde{X}_0(N) \longrightarrow \tilde{X}^+(N)$ . The isomorphism

$$\phi_+ : X^+(N) \longrightarrow \tilde{X}^+(N)$$

induced by  $\phi_0^{-1}$  sends a couple  $\{P, w_N(P)\}$  to  $\{\phi_0^{-1}(P), \phi_0^{-1}w_N(P)\}$ . It is easily checked to satisfy  $\sigma\phi_+ = \phi_+$  for all  $\sigma$  in  $G_{\mathbb{Q}}$ . The same is true for the morphism  $\tilde{X}(N, p) \longrightarrow \tilde{X}_0(N)$  induced from the natural map  $X(N, p) \longrightarrow X_0(N)$  by the isomorphisms  $\phi$  and  $\phi_0$ . Finally, the automorphisms of the covering  $\tilde{X}(N, p) \longrightarrow X^+(N)$  are also defined over  $\mathbb{Q}$ . Indeed, the relation

$$\sigma(\phi^{-1}\vartheta\phi) = \phi^{-1}w(w\vartheta w)w\phi = \phi^{-1}\vartheta\phi$$

holds for  $\vartheta \in \mathcal{W}(N, p)$  and  $\sigma \notin G_{k_p}$ . For a different proof of the existence of a rational  $\mathrm{PSL}_2(\mathbb{F}_p)$ -covering of  $X_0(N)$ , we refer to [21].  $\blacksquare$

**Remark 6.2.** The function field of  $\tilde{X}(N, p)$  over  $\mathbb{Q}$  is identified, through the isomorphism  $\phi$  in the proof of Lemma 6.1, with a subfield of  $k_p(X(N, p))$ . As shown in the following diagram, it is a Galois extension of  $\mathbb{Q}(X^+(N))$

with group isomorphic to  $\mathrm{PGL}_2(\mathbb{F}_p)$ :

$$\begin{array}{ccccc}
 & & k_p(X(N, p)) & & \\
 & \swarrow & | & \searrow & \\
 \mathbb{Q}(\tilde{X}(N, p)) & & \mathbb{Q}(X(N, p)) & & \mathcal{W}(N, p) \\
 & \searrow & | & \swarrow & \\
 & & \mathbb{Q}(X^+(N)) & & k_p(X^+(N)) \\
 & \swarrow & & \searrow & \\
 & & & & 
 \end{array}$$

$\phi^{-1} \mathcal{W}(N, p) \phi$

**Proposition 6.3.** *The function field  $\mathbb{Q}(\tilde{X}(N, p))$  gives, by specialization over a rational point on  $X^+(N)$  corresponding to a quadratic  $\mathbb{Q}$ -curve  $E$ , the fixed field of the representation  $\varrho_E$ .*

**Proof.** With the same notations as in the proof of Proposition 5.1, take a cyclic isogeny  $\mu : E \rightarrow {}^v E$  with kernel  $C$  of order  $N$ . Consider the isomorphism  $\phi : \tilde{X}(N, p) \rightarrow X(N, p)$  in the proof of Lemma 6.1. Let  $H$  be the subgroup of  $G_{\mathbb{Q}}$  fixing the points on  $\tilde{X}(N, p)$  that correspond through  $\phi$  to the points  $P$  on  $X(N, p)$  given by a triple of the form  $(E, C, [T_1, T_2]_V)$  and to their images by  $w$ . We must show that  $H$  is the kernel of  $\varrho_E$ . For a point  $P$  as above,  $w(P)$  is represented by the triple given by  ${}^v E$ ,  ${}^v C$  and the  $H_V$ -orbit of the basis  $[\mu(T_1), \mu(T_2)]_V$ . Using the definition of  $\phi$ , we see that the group  $H$  consists of those  $\sigma \in G_{k_p}$  satisfying  ${}^\sigma P = P$  and those  $\sigma \notin G_{k_p}$  satisfying  ${}^\sigma P = w(P)$ . Moreover, any such  $\sigma$  lies in  $G_k$  if and only if it lies in  $G_{k_p}$ . Take now any automorphism  $\sigma$  in  $G_{\mathbb{Q}}$ . If  ${}^\sigma \zeta_p = \zeta_p^{r^2}$  for some  $r$  in  $\mathbb{F}_p^*$ , then  $\sigma \in H$  if and only if  ${}^\sigma P = P$ , namely if and only if  ${}^\sigma T = \pm r T$  for all points  $T$  in  $E[p]$ . If  ${}^\sigma \zeta_p = \zeta_p^{r^2 N^{-1}}$  for some  $r$  in  $\mathbb{F}_p^*$ , then  $\sigma \in H$  if and only if  ${}^\sigma P = w(P)$ , namely if and only if  ${}^\sigma T = \pm r N^{-1} \mu(T)$  for all points  $T$  in  $E[p]$ . So the result follows from the definition of  $\varrho_E$ . ■

Suppose that we have now a Galois representation

$$\varrho : G_{\mathbb{Q}} \rightarrow \mathrm{PGL}_2(\mathbb{F}_p)$$

with non-cyclotomic determinant. Recall that any quadratic  $\mathbb{Q}$ -curves of degree  $N$  realizing  $\varrho$  must be defined over the fixed field of  $\varepsilon \det \varrho$ , where  $\varepsilon$  is the character attached to  $k_p$  (cf. Proposition 2.4). Denote this quadratic field by  $k$ . For the moduli classification of such  $\mathbb{Q}$ -curves, we produce a twist of  $X(N, p)$  from a certain element in the cohomology set  $H^1(G_{\mathbb{Q}}, \mathcal{W}(N, p))$ , as follows. The canonical isomorphism  $\mathcal{W}(N, p) \simeq \mathrm{PGL}_2(\mathbb{F}_p)$  allows us to

regard the projective representation  $\varrho_*$  in Section 5 as a morphism taking values in  $\mathcal{W}(N, p)$ . As above, let  $\eta$  stand for the morphism giving the Galois action on  $\mathcal{W}(N, p)$ . Then, consider the cocycle  $\xi = \varrho_*\eta$ . For the twist of  $X(N, p)$  defined by  $\xi$ , we fix a rational model  $X(N, p)_\varrho$  along with an isomorphism

$$\psi : X(N, p)_\varrho \longrightarrow X(N, p)$$

satisfying  $\psi = \xi_\sigma \psi$  for every  $\sigma$  in  $G_\mathbb{Q}$ .

**Theorem 6.4.** *There exists a quadratic  $\mathbb{Q}$ -curve of degree  $N$  realizing  $\varrho$  if and only if the set of non-cuspidal non-CM rational points on the curve  $X(N, p)_\varrho$  is not empty. In this case, the composition*

$$X(N, p)_\varrho \xrightarrow{\psi} X(N, p) \longrightarrow X^+(N)$$

*defines a surjective map from this set of points to the set of isomorphism classes of quadratic  $\mathbb{Q}$ -curves of degree  $N$  up to Galois conjugation realizing  $\varrho$ . This map is bijective if and only if the centralizer in  $\mathrm{PGL}_2(\mathbb{F}_p)$  of the image of  $\varrho$  is trivial.*

**Proof.** The first part of the proof goes along the lines of those of Theorem 5.2 and Theorem 5.4. Let us fix an automorphism  $\nu$  in  $G_\mathbb{Q} \setminus G_k$ . The rational points on  $X_\varrho(N, p)$  correspond via  $\psi$  to the algebraic points  $P$  on  $X(N, p)$  satisfying

$$\varrho_*(\sigma)^{-1}(P) = \begin{cases} \sigma P & \text{for } \sigma \in G_{k_p}, \\ w(\sigma P) & \text{for } \sigma \notin G_{k_p}. \end{cases}$$

Note that the automorphism  $\varrho_*(\sigma)^{-1}$  belongs to  $\mathcal{G}(N, p)$  if and only if  $\sigma$  lies in either both  $G_k$  and  $G_{k_p}$  or none of them. In particular, a non-CM point  $P$  given by a triple  $(E, C, [T_1, T_2]_V)$  may satisfy the above condition only if  $E$  and  $C$  are defined over  $k$  and there is an isogeny  $\lambda : {}^\nu E \longrightarrow E$  with kernel  ${}^\nu C$ . With these hypotheses on  $E$  and  $C$ , and for  $\sigma \notin G_k$ , the point  $w(\sigma P)$  is represented by the triple given by  $E$ ,  $C$  and the  $H_V$ -orbit of the basis

$$\begin{array}{l} \overline{[r^{-1} \lambda(\sigma T_1), r^{-1} \lambda(\sigma T_2)] V} \quad \text{if } \sigma \zeta_p = \zeta_p^{r^2} \\ \overline{[r^{-1} \lambda(\sigma T_1), r^{-1} \lambda(\sigma T_2)]} \quad \text{if } \sigma \zeta_p = \zeta_p^{r^2 N^{-1}} \end{array}$$

In the second case, and also for  $\sigma \in G_k \cap G_{k_p}$ , the automorphism  $\varrho_*(\sigma)^{-1}$  is given by the matrix  ${}^t\varrho(\sigma)$  in  $\mathrm{PSL}_2(\mathbb{F}_p)$ . In the other case, and also for  $\sigma \in G_k \setminus G_{k_p}$ , the automorphism  $w \varrho_*(\sigma)^{-1}$  is given by the matrix  $\hat{V} {}^t\varrho(\sigma)$

in  $\mathrm{PSL}_2(\mathbb{F}_p)$ . So, taking as in the proof of Theorem 5.2 the basis  $[T_1, T_2]$  to fix the isomorphism  $\mathrm{Aut}(E[p]) \simeq \mathrm{GL}_2(\mathbb{F}_p)$ , condition (5.1) is again seen to characterize the rationality of the point  $\psi^{-1}(P)$ .

Consider now a non-CM elliptic curve  $E$  defined over  $k$  and an isogeny  $\mu : E \rightarrow {}^\nu E$  with kernel  $C$ , and assume  $\varrho_E = \varrho$ . This equality amounts to the existence of a basis  $[T_1, T_2]$  of  $E[p]$  for which condition (5.1) holds for every  $\sigma$  in  $G_{\mathbb{Q}}$ . We can further suppose that such a basis is sent to either  $\zeta_p$  or  $\zeta_p^{N-1}$  by the Weil pairing. In the first case, the point  $P$  on  $X(N, p)$  given by the triple  $(E, C, [T_1, T_2]_V)$  defines through  $\psi$  a rational point on  $X(N, p)_{\varrho}$ . In the second case, the triple  $({}^\nu E, {}^\nu C, [\mu(T_1), \mu(T_2)]_V)$  represents a point on  $X(N, p)$  lying above the same point on  $X^+(N)$  as  $P$  and corresponding through  $\psi$  to a rational point on  $X(N, p)_{\varrho}$ . Indeed, if we choose the basis  $[\mu(T_1), \mu(T_2)]$  to fix the isomorphism  $\mathrm{Aut}({}^\nu E[p]) \simeq \mathrm{GL}_2(\mathbb{F}_p)$ , we obtain the equality  $\varrho_{{}^\nu E}(\sigma) = \varrho_E(\sigma)$  for all  $\sigma$  in  $G_{\mathbb{Q}}$ .

Lastly, let us consider two different rational points on  $X(N, p)_{\varrho}$  corresponding via  $\psi$  to non-CM points  $P$  and  $Q$  on  $X(N, p)$  with the same image on  $X^+(N)$ . Let the triple  $(E, C, [T_1, T_2]_V)$  represent the point  $P$  and fix an isogeny  $\mu : E \rightarrow {}^\nu E$  with kernel  $C$ . We must then distinguish two cases for the point  $Q$ :

- It lies over the pair  $(E, C)$  on  $X_0(N)$  if and only if there is a non-trivial element  $\gamma$  in  $\mathrm{PSL}_2(\mathbb{F}_p)$ , representing a basis change in  $E[p]$ , such that

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \varrho(\sigma) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \gamma \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \varrho(\sigma) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \gamma^{-1}$$

for all  $\sigma$  in  $G_{\mathbb{Q}}$ . This amounts to the existence of a non-trivial element in  $\mathrm{PSL}_2(\mathbb{F}_p)$  commuting with all the elements in the image of  $\varrho$ .

- Otherwise, a triple representing the point  $Q$  is given by the elliptic curve  ${}^\nu E$ , the subgroup  ${}^\nu C$  and the  $H_V$ -orbit of a basis obtained from  $[\mu(T_1), \mu(T_2)]_V$  by a basis change preserving the Weil pairing. Thus, this case amounts to the existence of an element  $\gamma$  in  $\mathrm{PSL}_2(\mathbb{F}_p)$  such that

$$V \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \varrho(\sigma) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} V = \gamma \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \varrho(\sigma) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \gamma^{-1}$$

for all  $\sigma$  in  $G_{\mathbb{Q}}$ . This is in turn equivalent to the existence of an element in  $\mathrm{PGL}_2(\mathbb{F}_p) \setminus \mathrm{PSL}_2(\mathbb{F}_p)$  commuting with all the elements in the image of  $\varrho$ .

This completes the proof of the statement. ■

By the same reasoning as in Section 5, the set of points in Theorem 6.4 is always finite whenever  $N > 131$ . A stronger result can now be obtained from Corollary 3.5.

**Corollary 6.5.** *For  $N$  non-square mod  $p$ , the number of isomorphism classes of quadratic  $\mathbb{Q}$ -curves of degree  $N$  realizing  $\varrho$  is finite, unless  $N = 2$  and  $p = 3$ .*

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