

# Notes on the Group of $S^1$ Equivariant Homeomorphisms

By

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## § 1. Introduction

Let  $X$  be a compact smooth manifold with its first betti number  $b_1(X) = 0$ . Let  $P$  be a principal  $S^1$ -bundle over  $X$  and  $(\mathcal{G}, \mathcal{G}^0)$  be its gauge group and based gauge group, respectively. We can identify  $(\mathcal{G}, \mathcal{G}^0) = (\text{Map}(X, S^1), \text{Map}^*(X, S^1))$ , where  $\text{Map}^*(X, S^1)$  denotes the space consisting of all base point preserving maps  $X \rightarrow S^1$ .

In this paper, we shall consider the topology of the group  $\text{Homeo}_{S^1}(P)$  of  $S^1$  equivariant homeomorphisms of  $P$  and in particular, we study the case  $X = \mathbb{C}P^n$ .

It is known ([2], [4]) that there is a fibration

$$(1.1) \quad \mathcal{G} \rightarrow \text{Map}_{S^1}(P, P) \rightarrow \text{Map}(X, X)$$

and it is shown in [8] that (1.1) restricts to the fibration

$$(1.2) \quad \mathcal{G} \rightarrow \text{Homeo}_{S^1}(P) \xrightarrow{\pi} \text{Homeo}_P(X)$$

where we take

$$\text{Homeo}_P(X) = \{\varphi \in \text{Homeo}(X) \mid \varphi^*P = P\}.$$

Define the evaluation map  $\mu_{x_0} = \mu: \text{Homeo}_P(X) \rightarrow X$  by  $\mu(\varphi) = \varphi(x_0)$ , where  $x_0 \in X$  is a fixed base point.

Then we have

**Proposition 1.1.** *There exists a weak homotopy equivalence*

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$$\text{Homeo}_{S^1}(P) \underset{w}{\cong} \mu^*P$$

Let  $\text{Homeo}_P^*(X)$  be the subgroup of  $\text{Homeo}_P(X)$  consisting of all base point preserving maps. Then  $\text{Homeo}_{S^1, x_0}(P) = \pi^{-1}(\text{Homeo}_P^*(X))$  is the space consisting of maps preserving the fibre over  $x_0$ . Let  $\text{Homeo}_{S^1}^*(P) \subset \text{Homeo}_{S^1, x_0}(P)$  be the subgroup acting as identity on the fibre over  $x_0$ .

**Proposition 1.2.** *There exist weak homotopy equivalences*

$$\begin{aligned} B\text{Homeo}_{S^1, x_0}(P) &\underset{w}{\cong} B(\text{Homeo}_P^*(X) \times S^1) \\ B\text{Homeo}_{S^1}^*(P) &\underset{w}{\cong} B\text{Homeo}_P^*(X). \end{aligned}$$

For any paracompact subgroup  $G \subset \text{Homeo}_P(X)$  with homotopy type of CW complexes, since  $\text{Map}(X, X)$  is locally contractible and  $\mathcal{G}$  acts  $\text{Homeo}_{S^1}(P)$  freely on the right, if we restrict (1.2) to  $G$  it is a principal bundle. Then we have:

**Proposition 1.3.**  $\text{Homeo}_{S^1}(P) |_G \cong \mu_{x_0}^*P \times \mathcal{G}^0$  as principal  $\mathcal{G}$  bundle over  $G$ .

Let  $P_k$  be the principal  $S^1$  bundle over  $CP^n$  with  $c_1(P_k) = k$ . Then, by using [6], we obtain the following theorem.

**Theorem 1.4.**  $\pi_{2i+1}(\text{Homeo}_{S^1}(P_k))$  has a free part for  $i = 0, 1, \dots, n$ .

A similar result holds for  $S^3$  bundles over  $HP^n$ , and we discuss it in the appendix.

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### § 2. Proofs

In this section we shall give the proofs of Proposition 1.1, 1.2 and 1.3. By [1], there are universal bundles

$$\begin{aligned} \mathcal{G} &\rightarrow \text{Map}_{S^1}(P, ES^1) \rightarrow \text{Map}_P(X, BS^1) \\ \mathcal{G}^0 &\rightarrow \text{Map}_{S^1}^*(P, ES^1) \rightarrow \text{Map}_P^*(X, BS^1). \end{aligned}$$

Since  $b_1(X) = 0$ ,  $\mathcal{G}^0$  is contractible and  $B\mathcal{G}^0 \simeq \text{Map}_P^*(X, BS^1)$  has the homotopy type of CW complexes. Hence  $B\mathcal{G}^0$  is contractible and

$$B\mathcal{G} \simeq \text{Map}_P(X, BS^1) \underset{ev}{\simeq} BS^1 \simeq CP^\infty.$$

Then the following diagram is commutative up to homotopy:

$$\begin{array}{ccc}
 \text{Map}_{S^1}(P, ES^1) & \xrightarrow{-\tilde{f}} & \text{Map}_{S^1}(X \times S^1, ES^1) \\
 \downarrow & & \downarrow \\
 \text{Map}_P(X, BS^1) & \xrightarrow[-f]{\cong} & \text{Map}_0(X, BS^1) \\
 \text{ev} \downarrow & & \uparrow i \\
 BS^1 & \equiv & BS^1
 \end{array}$$

and it can be easily shown that

$$i^* \text{Map}_{S^1}(X \times S^1, ES^1) \cong ES^1 \times \mathcal{E}^0$$

as  $\mathcal{E}$ -bundle.

*Proof of Proposition 1.3.* Fix a base point preserving classifying map  $f$  for  $P$ , and we identify  $P$  and  $f^*ES^1$ . Then we have following commutative diagram

$$\begin{array}{ccc}
 \text{Homeo}_{S^1}(P) |_{\mathcal{G}} & \xrightarrow{\tilde{f}_*} & \text{Map}_{S^1}(P, ES^1) \\
 \downarrow & & \downarrow \\
 G & \xrightarrow{f_*} & \text{Map}_P(X, BS^1) \\
 \mu_{x_0} \downarrow & & \downarrow \text{ev} \\
 X & \xrightarrow{f} & BS^1
 \end{array}$$

and  $\tilde{f}_*$  is  $\mathcal{G}$ -equivariant. Hence

$$\begin{aligned}
 \text{Homeo}_{S^1}(P) |_{\mathcal{G}} &\cong (f_*)^* \text{Map}_{S^1}(P, ES^1) \\
 &\cong (f_*)^* (-f)^* \text{Map}_{S^1}(X \times S^1, ES^1) \\
 &\cong (f_*)^* \text{ev}^* i^* \text{Map}_{S^1}(X \times S^1, ES^1) \\
 &\cong (f_*)^* \text{ev}^* ES^1 \times \mathcal{E}^0 \\
 &\cong \mu_{x_0}^* f^* ES^1 \times \mathcal{E}^0 \\
 &\cong \mu_{x_0}^* P \times \mathcal{E}^0. \quad \square
 \end{aligned}$$

*Proof of Proposition 1.1.* Fix a base point  $p_0$  in the fibre over  $x_0$ . Then we have a commutative diagram

$$\begin{array}{ccc}
 \text{Homeo}_{S^1}(P) & \xrightarrow{\mu_{p_0}} & P \\
 \downarrow & & \downarrow \\
 \text{Homeo}_P(X) & \xrightarrow{\mu} & X
 \end{array}$$

where  $\mu_{p_0}$  denotes the evaluation map at  $p_0$ . Then the above diagram naturally induces a morphism of fibrations

$$\begin{array}{ccc}
 \mathcal{E} & \xrightarrow{\cong} & S^1 \\
 \downarrow & & \downarrow \\
 \text{Homeo}_{S^1}(P) & \longrightarrow & \mu^*P \\
 \downarrow & & \downarrow \\
 \text{Homeo}_P(X) & \xlongequal{\quad} & \text{Homeo}_P(X).
 \end{array}$$

By the homotopy exact sequence, we have  $\text{Homeo}_{S^1}(P) \underset{w}{\cong} \mu^*P$ .  $\square$

Of course when restricted to the subgroup  $G$  as in Proposition 1.3, this weak homotopy equivalence is the homotopy equivalence given.

*Proof of Proposition 1.2.* Identify  $P_{x_0}$  with  $S^1$  by

$$S^1 \ni z \rightarrow p_0 \cdot z \in P_{x_0},$$

then there is a group isomorphism

$$\Phi : \text{Homeo}_{S^1, x_0}(P) \rightarrow \text{Homeo}_{S^1}^*(P) \times S^1$$

given by  $\Phi(\varphi) = (\varphi \cdot \mu_{p_0}(\varphi)^{-1}, \mu_{p_0}(\varphi))$ .

From (1.2), we have a fibration

$$\mathcal{E}^0 \times S^1 \rightarrow \text{Homeo}_{S^1}^*(P) \times S^1 \xrightarrow{\pi} \text{Homeo}_P^*(X)$$

where  $\pi(\varphi, z) = \pi(\varphi)$ . Since  $\mathcal{E}^0$  is contractible, we easily deduce the results.  $\square$

### § 3. $S^1$ Bundle over $\mathbb{C}P^n$

Let  $P_k$  be the principal  $S^1$  bundle over  $\mathbb{C}P^n$  with  $c_1(P_k) = k$  and consider the subgroup  $PU(n+1) \subset \text{Homeo}_{P_k}(\mathbb{C}P^n)$ .

By an easy computation,  $\mu_{x_0}^*$  is mod  $n+1$  reduction,

$$\begin{array}{ccc}
 0 & \longleftarrow & H^2(PU(n+1); \mathbb{Z}) & \xleftarrow{\mu_{x_0}^*} & H^2(\mathbb{C}P^n; \mathbb{Z}) \\
 & & \parallel & & \parallel \\
 & & \mathbb{Z}/n+1 & & \mathbb{Z}.
 \end{array}$$

The bundle  $P_1$  is given by the following Hopf fibration (for simplicity we orient  $\mathbb{C}P^n$  like this)

$$S^1 \rightarrow S^{2n+1} \rightarrow \mathbb{C}P^n.$$

Since  $P_k = P_1^{\otimes k}$ , we have

$$P_k = S^{2n+1} \times_{\rho_k} S^1$$

where

$$\rho_k : S^1 \rightarrow S^1$$

is defined by  $\rho_k(z) = z^k$ .

Since there is a commutative diagram

$$\begin{array}{ccc}
 S^1 & \xlongequal{\quad} & S^1 \\
 \downarrow & & \downarrow \\
 U(n+1) & \longrightarrow & S^{2n+1} \\
 \downarrow & & \downarrow \\
 PU(n+1) & \xrightarrow{\mu_{x_0}^*} & \mathbb{C}P^n,
 \end{array}$$

we have

$$\mu_{x_0}^* P_k = U(n+1) \times_{\rho_k} S^1 = SU(n+1) \times_k S^1$$

where

$$k = k \times : \mathbb{Z}/n+1 \rightarrow \mathbb{Z}/n+1 \subset S^1.$$

Note that if  $k \equiv k' \pmod{n+1}$ , then  $U(n+1) \times_{\rho_k} S^1 \cong U(n+1) \times_{\rho_{k'}} S^1$  as groups.

By Proposition 1.3, we have

**Proposition 3.1.**  $\text{Homeo}_{S^1}(P_k) |_{PU(n+1)} \cong (U(n+1) \times_{\rho_k} S^1) \times \mathcal{E}^0$  as  $\mathcal{E}$  bundle over  $PU(n+1)$ .

In fact these are isomorphic as groups. We construct the isomorphism explicitly. Consider the following action

$$\rho: (U(n+1) \times S^1) \times (S^{2n+1} \times S^1) \rightarrow (S^{2n+1} \times S^1)$$

given by  $\rho((g, z), (x, z')) = (g \cdot x, z'z)$ . This induces the action

$$\rho: (U(n+1) \times_{\rho_k} S^1) \times P_k \rightarrow P_k.$$

This gives desired isomorphism

$$F: (U(n+1) \times_{\rho_k} S^1) \times \mathcal{E}^0 \rightarrow \text{Homeo}_{S^1}(P_k) |_{PU(n+1)}$$

by

$$F(g, u)(p) = \rho(g, p) \cdot u(x)$$

where  $p \in P_k$  and  $\pi(p) = x \in \mathbb{C}P^n$ .

**Theorem 3.2.**  $\text{Homeo}_{S^1}(P_k) |_{PU(n+1)} \cong (U(n+1) \times_{\rho_k} S^1) \times \mathcal{E}^0$  as group. In particular, there exists a homotopy equivalence

$$B(\text{Homeo}_{S^1}(P_k) |_{PU(n+1)}) \simeq B(U(n+1) \times_{\rho_k} S^1). \quad \square$$

Note that for  $n = 1$ ,  $PU(2) = SO(3)$  and  $\mathbb{C}P^1 = S^2$ . By [5], the inclusion  $SO(3) \hookrightarrow \text{Homeo}^+(S^2)$  is a homotopy equivalence.

Moreover there are group isomorphisms

$$\begin{aligned} U(2) \times_{\rho_{2k}} S^1 &= SO(3) \times S^1 \\ U(2) \times_{\rho_{2k-1}} S^1 &= Spin^c(3) \end{aligned}$$

and we have

**Proposition 3.3.** *There exist weak homotopy equivalences*

$$B\text{Homeo}_{S^1}(P_k) \underset{w}{\cong} \begin{cases} B(SO(3) \times S^1) & k: \text{even} \\ BSpin^c(3) & k: \text{odd.} \end{cases} \quad \square$$

The cases for  $k = 0, 1$  are studied in [8].

Finally we consider the homotopy groups.

**Proposition 3.4.** *The following map is injective for all  $i$ .*

$$\pi_i(U(n+1) \times_{\rho_k} S^1) \otimes \mathbb{Q} \rightarrow \pi_i(\text{Homeo}_{S^1}(P_k)) \otimes \mathbb{Q}.$$

*Proof.* Consider the following diagram

$$\begin{array}{ccccc} S^1 & \longrightarrow & \mathcal{G} & \longrightarrow & \mathcal{G} \\ \downarrow & & \downarrow & & \downarrow \\ U(n+1) \times_{\rho_k} S^1 & \xrightarrow{i} & \text{Homeo}_{S^1}(P_k) & \xrightarrow{j} & \text{Map}(P_k, P_k) \\ \downarrow & & \downarrow & & \downarrow \\ PU(n+1) & \xrightarrow{i} & \text{Homeo}_{P_k}(\mathbb{C}P^n) & \xrightarrow{j} & \text{Map}(\mathbb{C}P^n, \mathbb{C}P^n). \end{array}$$

In [6], Sasao proved that

$$(ji)_* : \pi_i(PU(n+1)) \otimes \mathbb{Q} \rightarrow \pi_i(\text{Map}(\mathbb{C}P^n, \mathbb{C}P^n)) \otimes \mathbb{Q}$$

is an isomorphism for all  $i$ , and

$$\begin{aligned} \pi_1(PU(n+1)) &\cong \pi_1(\text{Map}(\mathbb{C}P^n, \mathbb{C}P^n)) \cong \mathbb{Z}/n+1 \\ \pi_2(\text{Map}(\mathbb{C}P^n, \mathbb{C}P^n)) &\cong \mathbb{Z}/2. \end{aligned}$$

Then the result follows from the commutativity of the diagram. □

*Proof of Theorem 1.4.* Theorem 1.4 immediately follows from this proposition. □

### Appendix

In this appendix, we study  $S^3$  bundles over  $\mathbb{H}P^n$ .  
Let  $P$  be a principal  $S^3$  bundle over  $\mathbb{H}P^n$ . Then we have

**Theorem A.**  $\pi_{4i+3}(\text{Homeo}_{S^3}(P))$  has a free part for  $i = 1, 2, \dots, n$ .

The proof is similar to that of Proposition 3.4. Here we use the result of [7].  
Consider the natural action of  $Sp(n+1)$  on  $\mathbb{H}P^n$ , which induces the map

$$Sp(n+1)/\mathbb{Z}_2 \xrightarrow{i} \text{Homeo}_p(\mathbb{H}P^n) \xrightarrow{j} \text{Map}(\mathbb{H}P^n, \mathbb{H}P^n).$$

Then we have

**Theorem [7].** *The induced homomorphism*

$$(ji)_* \otimes 1 : \pi_i(Sp(n+1)/\mathbb{Z}_2) \otimes \mathbb{Q} \rightarrow \pi_i(\text{Map}(\mathbb{H}P^n, \mathbb{H}P^n)) \otimes \mathbb{Q}$$

is an isomorphism for all  $i > 4$ .

Moreover for  $1 \leq i \leq 6$ ,

$$\pi_i \text{Map}(\mathbb{H}P^n, \mathbb{H}P^n) \otimes \mathbb{Q} = 0.$$

*Proof of Theorem A.* For a space  $Y$ , denote the space  $Y$  localized at 0 by  $Y_{(0)}$ . Consider the following commutative diagram

$$\begin{array}{ccccc}
 \mathcal{G} & \xlongequal{\quad} & \mathcal{G} & \xlongequal{\quad} & \mathcal{G} \\
 \downarrow & & \downarrow & & \downarrow \\
 \text{Homeo}_{S^3}(P) |_{S_p} & \longrightarrow & \text{Homeo}_{S^3}(P) & \longrightarrow & \text{Map}_{S^3}(P, P) \\
 \downarrow & & \downarrow & & \downarrow \\
 Sp(n+1)/\mathbb{Z}_2 & \longrightarrow & \text{Homeo}_P(\mathbb{H}P^n) & \longrightarrow & \text{Map}(\mathbb{H}P^n, \mathbb{H}P^n)
 \end{array}$$

which induces

$$\begin{array}{ccc}
 \mathcal{G}_{(0)} & \xlongequal{\quad} & \mathcal{G}_{(0)} \\
 \downarrow & & \downarrow \\
 (\text{Homeo}_{S^3}(P) |_{S_p})_{(0)} & \longrightarrow & \text{Map}_{S^3}(P, P)_{(0)} \\
 \downarrow & & \downarrow \\
 (Sp(n+1)/\mathbb{Z}_2)_{(0)} & \longrightarrow & \text{Map}(\mathbb{H}P^n, \mathbb{H}P^n)_{(0)}
 \end{array}$$

where  $(\text{Homeo}_{S^3}(P) |_{S_p})_{(0)} = \text{Map}_{S^3}(P, P)_{(0)} |_{(Sp(n+1)/\mathbb{Z}_2)_{(0)}}$  and  $\mathcal{G}_{(0)} = \Omega(B\mathcal{G}_{(0)})$ .

Recall that ([1], [3])

$$\begin{aligned}
 B\mathcal{G}_{(0)} &\simeq \text{Map}_P(\mathbb{H}P^n, BS^3)_{(0)} \\
 &\simeq \text{Map}_P(\mathbb{H}P^n, BS^3_{(0)}) \\
 &\simeq \text{Map}_P(\mathbb{H}P^n, K(\mathbb{Q}, 4)) \\
 &\simeq K(\mathbb{Q}, 4)
 \end{aligned}$$

where the last line is due to the R. Thom's famous result. Hence

$$\mathcal{G}_{(0)} \simeq K(\mathbb{Q}, 3).$$

Therefore the following map is an isomorphism for all  $i \neq 3$

$$\pi_i((\text{Homeo}_{S^3}(P) |_{S^p})_{(0)}) \rightarrow \pi_i(\text{Map}_{S^3}(P, P)_{(0)}).$$

Then

$$\pi_i(\text{Homeo}_{S^3}(P) |_{S^p}) \otimes \mathbb{Q} \rightarrow \pi_i(\text{Homeo}_{S^3}(P)) \otimes \mathbb{Q}$$

is injective for  $i \neq 3$  and this complete the proof.  $\square$

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