Rev. Mat. Iberoam. **30** (2014), no. 4, 1479[–1487](#page-8-0) doi 10.4171/rmi/822

-c European Mathematical Society



# A Nullstellensatz for Lojasiewicz ideals

Francesca Acquistapace, Fabrizio Broglia and Andreea Nicoara

**Abstract.** For an ideal of smooth functions **a** that is either Lojasiewicz<br>or weakly Lojasiewicz, we give a complete characterization of the ideal of or weakly Lojasiewicz, we give a complete characterization of the ideal of functions vanishing on its variety  $\mathcal{I}(\mathcal{Z}(\mathfrak{a}))$  in terms of the global Lojasiewicz<br>radical and Whitney closure. We also prove that the Lojasiewicz radical radical and Whitney closure. We also prove that the Lojasiewicz radical of such an ideal is *analytic-like* in the sense that its saturation equals its Whitney closure. This allows us to revisit Nullstellensatz results due to Bochnak and Adkins–Leahy and to resolve positively a modification of the Nullstellensatz conjecture due to Bochnak.

### **1. Introduction**

In this paper we characterize a class of ideals  $\alpha$  having the zero property in the algebra  $\mathcal{E}(M)$  of real-valued smooth functions on a smooth manifold M. Recall that an ideal  $\mathfrak a$  has the *zero property* if it coincides with the ideal  $\mathcal{I}(\mathcal{Z}(\mathfrak a))$  of all functions vanishing on its zero set.

The investigation of such a Nullstellensatz for the class of  $C^{\infty}$  functions was initiated by Bochnak in 1973 in [\[4\]](#page-8-1) and was continued by Risler in [\[10\]](#page-8-2). Interesting contributions by Adkins and Leahy can be found in [\[2\]](#page-7-0) and [\[3\]](#page-7-1).

In particular, Bochnak formulated the following conjecture:

**Conjecture.** Let  $\mathfrak a$  be a finitely generated ideal in  $\mathcal{E}(M)$ . Then the following are *equivalent:*

- (1) a *has the zero property;*
- (2) a *is closed and real.*

*Here the closure is taken in the compact-open topology.*

He proved his conjecture when  $\alpha$  is generated by finitely many analytic functions. Then Risler in [\[10\]](#page-8-2) completely resolved the conjecture in dimension 2 and for principal ideals in dimension 3. Finally, for an ideal generated by analytic func-

*Mathematics Subject Classification* (2010): Primary 26E05, 26E10, 46E25; Secondary 11E25, 32C05, 14P15.

Keywords: Nullstellensatz, closed ideal, real Nullstellensatz, radical ideal, Lojasiewicz radical ideal, real ideal, real analytic ideal, Whitney closure, saturation of an ideal.

tions (not necessarily finitely many), Adkins and Leahy prove in [\[2\]](#page-7-0) that  $\mathcal{I}(\mathcal{Z}(\mathfrak{a}))$ is the closure of the real radical of a.

Note that a closed finitely generated ideal is Lojasiewicz (see the definition below), but the converse is not true  $([12]$  $([12]$ , p. 104, example 4.8).

In Theorem [2.7,](#page-3-0) we give a complete characterization of  $\mathcal{I}(\mathcal{Z}(\mathfrak{a}))$  for the case when  $\mathfrak a$  is a Lojasiewicz ideal in terms of a particular notion of radical called the Loissiewicz radical. This radical certainly contains the real radical but as far Lojasiewicz radical. This radical certainly contains the real radical, but as far as we know it is not known whether they are equal. An answer to this question probably involves the solution of Hilbert's 17th Problem without denominators in the smooth setting. We define this radical below in Definition [2.6.](#page-3-1)

Lojasiewicz ideals were considered by several authors including Malgrange in - Section 6 of  $[7]$ , Thom in  $[11]$ , and Tougeron in  $[12]$ , page 104. The Lojasiewicz radical appears in work by Kohn  $([6]$  $([6]$ , Theorem 1.21), and Nowak  $[8]$ , though mainly as a notion applied to ideals of germs.

As a consequence of Theorem [2.7,](#page-3-0) we solve the Bochnak conjecture in terms of convexity (defined at the beginning of section [4.1\)](#page-5-0):

**Theorem 1.1.** Let  $\mathfrak{a}$  be a Lojasiewicz ideal in  $\mathcal{E}(M)$ . Then the following are equivalent. *equivalent:*

- (1) a *has the zero property;*
- (2) a *is closed, convex, and radical.*

In fact, we obtain our result for ideals a with countably many generators but still satisfying condition (2) of Definition [2.3.](#page-2-0) See Theorem [3.4.](#page-5-1)

Note that a convex radical ideal is a real ideal. If we had a good representation of positive semidefinite functions as sums of squares, the converse would also be true. This converse happens to be true when  $\alpha$  is generated by analytic functions (see Theorem [4.2\)](#page-6-0). We thus recover the results of Bochnak and Adkins–Leahy.

## 2. Lojasiewicz ideals

Let M be a smooth manifold, and let  $\mathcal{E}(M)$  be its algebra of smooth real-valued functions endowed with the compact open topology.

The *saturation* of an ideal  $\mathfrak{a}$  in  $\mathcal{E}(M)$  is the ideal

$$
\tilde{\mathfrak{a}} = \{ g \in \mathcal{E}(M) \, | \, \forall x \in M \, g_x \in \mathfrak{a} \mathcal{E}_x \}.
$$

An ideal  $\mathfrak a$  is *saturated* if  $\mathfrak a = \tilde{\mathfrak a}$ .

**Lemma 2.1.** *The following inclusions hold:*

$$
\mathfrak{a}\subset\tilde{\mathfrak{a}}\subset\overline{\mathfrak{a}}.
$$

*Proof.* Consider the ideal

$$
\mathfrak{a}^* = \{ g \in \mathcal{E}(M) \, | \, \forall x \in M \, \, T_x g \in T_x \mathfrak{a} \}.
$$

The Whitney spectral theorem gives  $\mathfrak{a}^* = \overline{\mathfrak{a}}$  (see for instance chapter II of [\[7\]](#page-8-4)), and the proof follows since  $\tilde{\mathfrak{a}} \subset \mathfrak{a}^*$ and the proof follows since  $\tilde{\mathfrak{a}} \subset \mathfrak{a}^*$ .

**Remarks 2.2.** (1) Both inclusions  $\mathfrak{a} \subset \tilde{\mathfrak{a}}$  and  $\tilde{\mathfrak{a}} \subset \overline{\mathfrak{a}}$  are strict in general; consult Adkins-Leaby [2] p. 708 for an example Adkins–Leahy [\[2\]](#page-7-0), p. 708, for an example.

<span id="page-2-1"></span>(2) We turn to the analytic setting. If  $M$  is analytic and  $g$  belongs to the ring  $O(M)$  of analytic functions on M, then  $g_x$  can be identified with  $T_xg$ . A consequence of this fact is that for any ideal  $\mathfrak{a} \subset \mathcal{O}(M)$ ,  $\mathfrak{a}^* = \tilde{\mathfrak{a}}$ , where the two operations on  $\mathfrak a$  are performed in the ring  $\mathfrak O(M)$  only. Unlike what happens in the smooth case, in the analytic setting  $\mathfrak{a}^*$  is not the closure of  $\mathfrak{a}$  in the compact-open topology; see [\[5\]](#page-8-8) for details. Henceforth we will call *analytic-like* any ideal in  $\mathcal{E}(M)$ satisfying  $\mathfrak{a}^* = \tilde{\mathfrak{a}}$ .

(3) Note that

$$
\tilde{\mathfrak{a}} = \{ g \in \mathcal{E}(M) \mid \forall \text{ compact } K \subset M \exists h \in \mathcal{E}(M) \text{ s.t. } \mathcal{Z}(h) \cap K = \varnothing \text{ and } hg \in \mathfrak{a} \}
$$

$$
= \{ g \in \mathcal{E}(M) \mid \forall x \in M \exists h \in \mathcal{E}(M) \text{ s.t. } h(x) \neq 0 \text{ and } hg \in \mathfrak{a} \}.
$$

*Proof.* It is clear that both the second and third sets are subsets of  $\tilde{a}$ . It is also clear that the second set is a subset of the third. Therefore, the three-way equality reduces to proving that  $\tilde{a}$  is a subset of the second set. Let  $g \in \tilde{a}$ . Given any compact subset K of M, let  $x \in K$ . It follows that  $g_x \in \mathfrak{a}\mathcal{E}_x$ , and in a suitable neighborhood  $U_x$  of  $x$ ,

$$
g = \alpha_1 f_1 + \cdots + \alpha_k f_k.
$$

Take a positive semidefinite bump function  $\varphi$  such that  $x \in V_x = {\varphi > 0} \subset U_x$ . Then

$$
\varphi g = (\varphi \alpha_1) f_1 + \cdots + (\varphi \alpha_k) f_k \in \mathfrak{a}.
$$

The family  ${V_x}_{x \in K}$  is an open cover of the compact set K. Take a finite subcover  $V_{x_1}, \ldots, V_{x_i}$  with corresponding bump functions  $\varphi_1, \ldots, \varphi_j$ . Summing the expressions for  $\varphi_1 g, \ldots, \varphi_j g$ , we obtain that  $(\varphi_1 + \cdots + \varphi_j) g$  is a finite sum of elements of a with coefficients in  $\mathcal{E}(M)$ . As  $(\varphi_1 + \cdots + \varphi_j)(y) \neq 0$  for all  $y \in K$  by construction, we set  $h = \varphi_1 + \cdots + \varphi_j$  and conclude that g is an element of

$$
\{g \in \mathcal{E}(M) \mid \forall \text{ compact } K \subset M \exists h \in \mathcal{E}(M) \text{ s.t. } \mathcal{Z}(h) \cap K = \varnothing \text{ and } hg \in \mathfrak{a}\}
$$

<span id="page-2-0"></span>as needed.  $\Box$ 

**Definition 2.3.** An ideal  $\mathfrak{a} \subset \mathcal{E}(M)$  is a *Lojasiewicz ideal* if

- (1)  $\alpha$  is generated by finitely many smooth functions  $f_1, \ldots, f_l$ ;
- (2) a contains an element f with the property that for any compact  $K \subset M$ , there exist a constant c and an integer m depending on K such that  $|f(x)| \ge$  $c d(x, \mathcal{Z}(\mathfrak{a}))^m$  on an open neighborhood of K, i.e., f satisfies a *Lojasiewicz inequality* on each compact set *inequality* on each compact set.

**Remark 2.4.** It is well known that in the definition above one can take f to be the sum of squares of the generators  $f_1^2 + \cdots + f_l^2$ . This can be seen as follows:  $f_1,\ldots,f_l$  cannot be simultaneously flat at any point in M; otherwise, f would be flat at some point of its zero set, hence it could not satisfy the inequality in the definition. So  $f_1^2 + \cdots + f_l^2$  is nowhere flat and dominates  $C |f|^2$  on every compact set of M for an appropriately chosen constant  $C > 0$ . It thus satisfies the required inequality with exponent  $2m$ . In what follows, we will replace condition (2) by:

(2') Let  $\{f_1,\ldots,f_l\}$  be generators of a. For any compact  $K\subset M$  there is a constant C and a positive integer m such that  $|f(x)| \geq c d(x, \mathcal{Z}(\mathfrak{a}))^m$  on an open neighborhood of K, where  $f = f_1^2 + \cdots + f_l^2$ .

<span id="page-3-2"></span>**Lemma 2.5.** Let  $\mathfrak{a}$  be a Lojasiewicz ideal generated by  $f_1, \ldots, f_l$  and  $f = f_1^2 + \ldots + f^2$ . Let  $\mathfrak{a} \in \mathcal{E}(M)$  be such that  $\mathcal{Z}(\mathfrak{a}) \supset \mathcal{Z}(f) = \mathcal{Z}(\mathfrak{a})$ . Then for any compact  $\cdots$  +  $f_l^2$ . Let  $g \in \mathcal{E}(M)$  *be such that*  $\mathcal{Z}(g) \supset \mathcal{Z}(f) = \mathcal{Z}(\mathfrak{a})$ *. Then for any compact* set  $K \subset M$  there exist a constant c and a positive integer m, such that  $a^{2m} \leq c f$  on *set*  $K \subset M$ , *there exist a constant c and a positive integer m such that*  $g^{2m} \leq cf$  *on an open neighborhood of* K*. In particular, there exist an integer* m *and an element*  $a \in \mathfrak{a}$  *such that*  $q^{2m} \leq |a|$  *on an open neighborhood of* K.

*Proof.* Let  $X = \mathcal{Z}(\mathfrak{a})$ , and fix a compact set  $K \subset M$ . Now let U be an open set such that  $U \supset K$ ,  $\overline{U}$  is compact, and  $\overline{U} \subset M$ . Then for  $x, y \in U$  close enough to each other,  $|g(x) - g(y)| \leq c_1 d(x, y)$  holds by the mean value theorem, where  $c_1$ is a suitable positive constant related to maxima of norms of first order partial derivatives of g on  $\overline{U}$ . Now  $d(x, X) = \inf_{y \in X} d(x, y)$ , so since g vanishes on X, we obtain  $|q(x)| \leq c_1 d(x, X)$  for  $x \in U$  close enough to X. Since K is compact, we can find finitely many open sets  $V_i \subset U$ , where the previous inequality holds and such that  $X \cap K \subset V = \bigcup V_i$ . Therefore, we have  $|g(x)| \leq c_1 d(x, X)$  on V. Let W be an open neighborhood of  $X \cap K$  such that its closure  $\overline{W}$  satisfies  $\overline{W} \subset V$ . Set  $H = \overline{U} \setminus W$ , which is compact. Let  $\min_{x \in H} d(x, X) = \alpha > 0$ ,  $\sup_{\overline{U}} |q| = A$ , and  $c_2 = A/\alpha$ . Hence for  $x \in H$ , one gets

$$
|g(x)| \le c_2 d(x, X).
$$

Let  $c_3 = \max\{c_1, c_2\}$ . We now have  $|g(x)| \le c_3 d(x, X)$  on  $\overline{U}$  and hence  $g(x)^{2m} \le c_3 d(x, X)$  $c_3^{2m}d(x,X)^{2m}$  for any m. Since  $\mathfrak a$  is Lojasiewicz, in a neighborhood of the compact  $\overline{U}$  one has the inequality  $c d(x,X)^{2m} < f(x)$ . Combining these inequalities set  $\overrightarrow{U}$ , one has the inequality  $c d(x, X)^{2m} \le f(x)$ . Combining these inequalities, one gets  $g^{2m} \le c_4 f$  in an open neighborhood of K, where  $c_4 = c_3^{2m}/c$ .

<span id="page-3-1"></span>Lemma [2.5](#page-3-2) motivates us to globalize the definition of the Lojasiewicz radical.

**Definition 2.6.** The *Lojasiewicz radical* of an ideal  $a \in \mathcal{E}(M)$  is given by

$$
\sqrt[t]{\mathfrak{a}} := \{ g \in \mathcal{E}(M) \, | \, \exists \, f \in \mathfrak{a} \text{ and } m \ge 1 \text{ such that } f - g^{2m} \ge 0 \}.
$$

It is not hard to verify that  $\sqrt[n]{a}$  is a radical real ideal for any ideal  $a$ .<br>We can now prove our main result: We can now prove our main result:

<span id="page-3-0"></span>**Theorem 2.7.** *Let*  $\mathfrak{a} \subset \mathcal{E}(M)$  *be a Lojasiewicz ideal. Then* 

- $\bullet \quad \sqrt[1]{}$  $\overline{\mathfrak{a}}$  *is analytic-like, i.e.*,  $\widehat{\psi}$  $\frac{z}{\mathfrak{a}} = \overline{\frac{L}{\sqrt{2}}}$  $\sqrt[t]{\mathfrak{a}}.$
- $\mathcal{I}(\mathcal{Z}(\mathfrak{a})) = \overline{\sqrt[1]{\sqrt{2}}$ a*.*

*Proof.* Note that for any ideal b we have:

•  $\overline{\mathfrak{b}} \subset \mathcal{I}(\mathcal{Z}(\mathfrak{b}))$ . Indeed,  $g \in \overline{\mathfrak{b}}$  implies  $T_x(g) \in T_x(\mathfrak{b})$  for all  $x \in M$ . Hence if  $x \in \mathcal{Z}(\mathfrak{b})$ , then  $T_x(g)$  has order at least 1 because  $T_x(\mathfrak{b})$  is contained in the maximal ideal of the ring of formal power series at x. Therefore,  $q(x)=0$ .

• 
$$
\mathcal{Z}(\sqrt[t]{\mathfrak{b}}) = \mathcal{Z}(\mathfrak{b}).
$$

VULLSTELLENSATZ F  $\frac{1}{\mathfrak{a}} \subset \overline{\frac{1}{\sqrt[3]{\mathfrak{a}}}}$  $\mathcal{L}(\mathcal{A}) \subset \mathcal{I}(\mathcal{Z}(\alpha)).$  Hence both assertions in the statement A NULLSTELLENSATZ FOR LOJASIEWICZ IDE<br>We thus have  $\widetilde{\sqrt{a}} \subset \overline{\sqrt[n]{a}} \subset \mathcal{I}(\mathcal{Z}(\mathfrak{a}))$ .<br>will be proved if we show  $\mathcal{I}(\mathcal{Z}(\mathfrak{a})) \subset \widetilde{\sqrt[n]{a}}$ . t⁄α.<br>'

Take  $g \in \mathcal{I}(\mathcal{Z}(\mathfrak{a}))$ , and let  $f = f_1^2 + \cdots + f_l^2$ , where  $f_1, \ldots, f_l$  are generators <br>Let K be a compact set in M By Lemma 2.5  $a^{2m} \leq cf$  on a neighborhood of **a**. Let K be a compact set in M. By Lemma [2.5,](#page-3-2)  $q^{2m} \leq cf$  on a neighborhood of K. Let  $\varphi_K \in \mathcal{E}(M)$  be a nonnegative function taking the value 1 on K and the value 0 outside the neighborhood where the inequality  $g^{2m} \leq cf$  holds. Hence  $(\varphi_K g)^{2m} \leq cf$  on the whole of M, which means  $\varphi_K g \in \sqrt[k]{a}$ . By Remark [2.2](#page-2-1) (3), we are done we are done.  $\Box$ 

### **3. Weakly Lojasiewicz ideals**

Examining the proofs above, we see that the main ingredient is the existence of a function  $f \in \mathfrak{a}$  that is the sum of the squares of the generators and has the same zero set as  $\mathfrak{a}$ , making  $\mathfrak{a}$  Lojasiewicz. In Lemma [3.2](#page-4-0) below, we construct a function with this property for a more general class of ideals with this property for a more general class of ideals.

<span id="page-4-1"></span>**Definition 3.1.** An ideal  $\mathfrak{a} \subset \mathcal{E}(M)$  is *weakly Lojasiewicz* if

- (1)  $\alpha$  is locally finitely generated, i.e., for any  $x \in M$  there exist finitely many elements in a generating  $\mathfrak{a} \mathcal{E}(U)$ , where U is a suitable neighborhood of x;
- (2) There exists an element  $f \in \tilde{\mathfrak{a}}$  such that for any compact  $K \subset M$ , there exist a constant c and an exponent m such that  $|f(x)| \geq c d(x, \mathcal{Z}(\mathfrak{a}))^m$ .

<span id="page-4-0"></span>**Lemma 3.2.** *Let*  $\mathfrak{a}$  *be a weakly Lojasiewicz ideal. Then there exists*  $f \in \tilde{\mathfrak{a}}$  *satisfying* <br>*property* (2) in Definition 3.1 such that  $Z(f) - Z(\mathfrak{a})$ . Moreover, for any compact *property* (2) *in Definition* [3](#page-4-1).1 *such that*  $\mathcal{Z}(f) = \mathcal{Z}(\mathfrak{a})$ *. Moreover, for any compact set*  $K \subset M$ , *there exists a neighborhood* U of K *such that the restriction of* f to U *belongs to*  $\mathfrak{a} \mathcal{E}(U)$ *.* 

*Proof.* Since  $\mathfrak a$  is locally finitely generated, we can assume it is globally generated by countably many smooth functions  $\{f_j\}_{j>0}$ . Let h be a smooth function satisfying property (2) of Definition 3.1. Since  $h_x \in \mathfrak{a} \mathcal{E}_x$ , for any  $x \in M$ , there is  $l_x$  such belongs to  $\mathfrak{a} \mathcal{E}(U)$ .<br> *Proof.* Since  $\mathfrak{a}$  is locally finitely generated, we can assume it is globally generated by<br>
countably many smooth functions  $\{f_j\}_{j>0}$ . Let h be a smooth function satisfying<br>
proper if  $K \subset M$  is a compact set, there exist finitely many points  $x_1, \ldots, x_s$  such that  $K \subset U_{x_1} \cup \cdots \cup U_{x_s}$ . Take  $l = \max_i \{l_{x_i}\}\$ , and let  $\{\varphi_i\}$  be a smooth partition of unity subordinate to the covering  $U = U_{x_1} \cup \cdots \cup U_{x_s}$ . Then set, there exist finitely many<br>
ake  $l = \max_i \{l_{x_i}\}$ , and let  $\{\varphi_i\}$ <br>  $\therefore$  covering  $U = U_{x_1} \cup \cdots \cup U_{x_s}$ <br>  $h = \sum_i \varphi_i \left( \sum_i a_{jx_i} f_j \right) = \sum_i l_i$ 

$$
h = \left(\sum_{i} \varphi_i\right) h = \sum_{i} \varphi_i \left(\sum_{j=1}^l a_{jx_i} f_j\right) = \sum_{j=1}^l \left(\sum_{i} \varphi_i a_{jx_i}\right) f_j.
$$

This shows that h belongs to  $a \mathcal{E}(U)$  and that the latter is a Lojasiewicz ideal.<br>Next, take an exhaustion of M by compact sets  $\{K_i\}_{i \ge 0}$  such that K.

Next, take an exhaustion of M by compact sets  $\{K_j\}_{j>0}$  such that  $K_j \subset$ Int  $K_{j+1}$  for every  $j \geq 1$ . We can assume that  $\mathfrak a$  is generated on a neighborhood of  $K_j$  by  $f_i, \ldots, f_{i_j}$ . Consider the open locally finite covering of M given by  ${U_j = \text{Int } K_{j+1} \setminus K_{j-2}}_{j \geq 1}$ , where  $K_{-1} = K_0 = \emptyset$ . Let  ${\alpha_j}_{j \geq 1}$  be a collection of smooth functions  $\alpha_j$  :  $M \to [0, 1]$  satisfying that  $\alpha_j = 1$  on  $K_j \setminus \text{Int } K_{j-1}$ and supp $(\alpha_j) \subset U_j$  for all  $j \geq 1$ . Note that  $\alpha_j f_1, \ldots, \alpha_j f_{i_j}$  still generate  $\mathfrak a$  in a<br>neighborhood  $V \subset K_{i+1}$  of  $K \setminus \text{Int } K_{i-1}$  and that  $\mathfrak a$  is a Loissiewicz ideal on  $V$ . neighborhood  $V_j \subset K_{j+1}$  of  $K_j \setminus \text{Int } K_{j-1}$  and that  $\mathfrak a$  is a Lojasiewicz ideal on  $V_j$ .<br>Now put Now put  $\rightarrow [0,1]$ <br>  $\geq 1$ . Not<br>  $\downarrow \setminus \text{Int } K$ <br>  $f = \sum_{i=1}^{\infty}$ 

$$
f = \sum_{j=1}^{\infty} \alpha_j \left( \sum_{i=1}^{i_j} f_i^2 \right).
$$

We get:

1. *f* ∈  $\mathcal{E}(M)$ . Indeed, for any  $x \in K_j \setminus \text{Int } K_{j-1} \subset M$ , *f* is the sum of three summands of finitely many functions,  $_1 \subset M$ ,<br>+  $\alpha_{j+1}$ (

$$
f = \alpha_{j-1} \left( \sum_{i=1}^{i_{j-1}} f_i^2 \right) + \alpha_j \left( \sum_{i=1}^{i_j} f_i^2 \right) + \alpha_{j+1} \left( \sum_{i=1}^{i_{j+1}} f_i^2 \right).
$$

- 2.  $f \in \tilde{\mathfrak{a}}$ . Indeed, for  $x \in K_j \setminus K_{j-1}$ , the germ  $f_x$  belongs to the ideal generated by  $f_1, \ldots, f_{i_{j+1}}$ , which generate the ideal  $\mathfrak a$  on  $V_{j+1}$ .
- 3.  $f \ge 0$  and  $\mathcal{Z}(f) = \mathcal{Z}(\mathfrak{a})$  since this is true locally.
- 4. f satisfies the inequality of Definition [3.1.](#page-4-1) Indeed, if  $K \subset M$  is a compact set, then  $K \subset K_j$  for some j. Hence f belongs to the restriction of a to  $V_1 \cup \cdots \cup V_j$ , which is a Lojasiewicz ideal, and  $f|_{V_1 \cup \cdots \cup V_j}$  is a finite combination with positive coefficients of the squares of a family of generators of  $\mathfrak{a}\mathcal{E}(V_1\cup\cdots\cup V_i)$ .  $\Box$

**Remark 3.3.** Statement 4 at the end of the previous proof implies that a weakly Lojasiewicz ideal is *locally Lojasiewicz* in the sense that for any point  $x \in M$ , there is an open neighborhood V of x such that the restriction of  $\mathfrak a$  to V is a Lojasiewicz<br>ideal. It is not hard to prove the converse ideal. It is not hard to prove the converse.

Next, note that similar statements to those of Lemma [2.5](#page-3-2) and Theorem [2.7](#page-3-0) hold true for a weakly Lojasiewicz ideal  $\alpha$  with an analogous proof, simply replacing  $f^2 + \cdots + f^2$  by the function f constructed in Lemma 3.2 above provided the ideal  $f_1^2 + \cdots + f_l^2$  by the function f constructed in Lemma [3.2](#page-4-0) above, provided the ideal  $\mathfrak a$  is saturated as  $f \in \tilde{\mathfrak a}$ . Hence, we obtain:  $\lim_{t\to\infty}$  $\frac{1}{2}$ 

<span id="page-5-1"></span>**Theorem 3.4.** *Let*  $\mathfrak{a} \subset \mathcal{E}(M)$  *be a weakly Lojasiewicz ideal. Then*  $\mathcal{I}(\mathcal{Z}(\mathfrak{a})) = \widetilde{\sqrt[n]{\mathfrak{a}}}$ . *In particular, if*  $\mathfrak{a}$  *is saturated, then*  $\mathcal{I}(\mathcal{Z}(\mathfrak{a})) = \overline{\sqrt[4]{\mathfrak{a}}}$ .

#### **4. Consequences**

#### <span id="page-5-0"></span>**4.1. Resolving a modification of the Bochnak conjecture**

We now want to relate the notion of being Lojasiewicz with convexity.

We say that an ideal  $\mathfrak{a}$  of  $\mathcal{E}(M)$  is *convex* if each  $q \in \mathcal{E}(M)$  satisfying  $|q| \leq f$ we say that an ideal  $\mathfrak{a}$  of  $\mathcal{L}(M)$  is *convex* if each  $g \in \mathcal{L}(M)$  satisfying  $|g| \geq f$  for some  $f \in \mathfrak{a}$  belongs to  $\mathfrak{a}$ . In particular, the Lojasiewicz radical  $\sqrt[n]{\mathfrak{a}}$  of an ideal  $\mathfrak{a}$  of  $\mathfrak{a}$  of  $\mathcal{E}(M)$  is a radical convex ideal.

Moreover, we define the *convex hull*  $\mathfrak{g}(\mathfrak{a})$  of an ideal  $\mathfrak{a}$  of  $\mathcal{E}(M)$  by

$$
\mathfrak{g}(\mathfrak{a}) := \{ g \in \mathcal{E}(M) \, | \, \exists f \in \mathfrak{a} \text{ such that } |g| \leq f \}.
$$

Note that  $\mathfrak{g}(\mathfrak{a})$  is the smallest convex ideal of  $\mathcal{E}(M)$  that contains  $\mathfrak{a}$  and

√La = g(a).

Hence if **a** is convex and radical, it coincides with its Lojasiewicz radical, and immediately get: we immediately get:

**Corollary 4.1.** *If the ideal*  $\mathfrak{a} \subset \mathcal{E}(M)$  *is a (weakly) Lojasiewicz ideal, the following are equivalent: are equivalent:*

- (1) a *has the zero property;*
- (2) a *is closed, convex, and radical.*

#### **4.2. Recovering the Bochnak and Adkins–Leahy Nullstellensatz results**

To compare our results with those of Bochnak and Adkins–Leahy, we have to relate Lojasiewicz radicals with real radicals of ideals generated by analytic functions. So assume M is an analytic manifold and the ideal  $\mathfrak{a} \subset \mathcal{E}(M)$  is generated by analytic functions. It follows that the zero set of  $\mathfrak a$  is a global analytic set X and  $\mathfrak a$  is locally finitely generated. Furthermore, there exists an analytic function  $f \in \tilde{\mathfrak{a}}$  whose zero set is  $X$ , and so  $\mathfrak a$  is a weakly Lojasiewicz ideal (see [\[1\]](#page-7-2)).

<span id="page-6-0"></span>**Theorem 4.2.** *Let* M *be an analytic manifold, and let*  $\mathfrak{a} \in \mathcal{O}(M)$  *be an ideal of* real analytic functions. Then *real analytic functions. Then*

Logasiewicz da  
analytic manifold, an  

$$
\overbrace{\sqrt{\widehat{\mathfrak{a}\mathfrak{E}(M)}}}^{\mathcal{L}} = \overbrace{\sqrt[r]{\mathfrak{a}\mathfrak{E}(M)}}^{\mathcal{L}}.
$$

*Proof.* Let  $X = \mathcal{Z}(\mathfrak{a})$ , and consider the ideal  $\sqrt[k]{\phantom{a}}$  $\sqrt[\text{L}]{\mathfrak{a}} \subset \mathcal{O}(M)$ . We have the following:

- $\bullet$  ( $\sqrt[1]{}$  $\overline{\mathfrak{a}}$ )  $\mathfrak{O}_x$   $\subset$   $\overline{\mathfrak{h}}$   $\swarrow$  $\overline{\mathfrak{a} \mathbb{O}_x} = \sqrt[r]{\mathfrak{a} \mathbb{O}_x}$ . Indeed, if  $g \in (\sqrt[k]{\sqrt{p}})$ <br>and  $g \in \sqrt[k]{\sqrt{p}}$ . Hence  $g^{2m_i} \leq g$ .  $\sqrt[n]{\mathfrak{a}\mathcal{E}(M)} = \sqrt[n]{\mathfrak{a}\mathcal{E}(M)}$ .<br>
Let  $X = \mathcal{Z}(\mathfrak{a})$ , and consider the ideal  $\sqrt[n]{\mathfrak{a}} \subset \mathcal{O}(M)$ . We have the following:<br>  $(\sqrt[n]{\mathfrak{a}})\mathcal{O}_x \subset \sqrt[n]{\mathfrak{a}\mathcal{O}_x} = \sqrt[n]{\mathfrak{a}\mathcal{O}_x}$ . Indeed, if  $g \in (\sqrt[n]{\mathfrak$ where  $n_i \in \bigcup_x$  and  $a_i \in \bigvee_x$ . Hence  $a_i \leq c_i$ <br>  $(h_i a_i)^{2m_i} \leq c'_i f$ , for  $f \in \mathfrak{a}$ , which means  $h_i a_i \in \bigvee^i$ <br>
the Loissiewicz radical contains the real radical a  $\frac{1}{a\theta_x}$ . So  $g \in \sqrt[b]{\pi a}$ <br>and  $\mathcal{Z}(h(a))$  $\sqrt{\mathfrak{a}\mathfrak{O}_x}$ . Since<br>=  $\mathcal{Z}(x/\mathfrak{a}\mathfrak{O})$  $\{h_i u_i\}$   $\leq \sum_i f_i$ , for  $f \in \mathfrak{u}$ , which means  $h_i u_i \in \mathcal{V}$   $\mathfrak{u} \circ \mathfrak{x}$ . So  $g \in \mathcal{V}$   $\mathfrak{u} \circ \mathfrak{x}$ . Since<br>the Lojasiewicz radical contains the real radical and  $\mathcal{Z}(\sqrt[k]{\mathfrak{a} \circ \mathfrak{x}}) = \mathcal{Z}(\sqrt[k]{\math$ the last equality is the Risler Nullstellensatz in the ring of germs of analytic functions; see [\[9\]](#page-8-9). e Lojasiewi<br>
e last equal<br>
mctions; see<br>  $\sqrt{\mathfrak{a}}\mathfrak{E}_x \subset \sqrt[1]{\mathfrak{a}}$ <br>  $\overline{\mathfrak{a}}\overline{\mathfrak{E}_x} = \begin{pmatrix} 1 \\ \sqrt{2} \\ m\overline{a} \end{pmatrix}$
- $\bullet$  ( $\sqrt[1]{}$  $\overline{\mathfrak{a}})\mathcal{E}_x \subset \sqrt[\mathfrak{L}]{\phantom{\mathfrak{b}}}\mathcal{E}$  $\frac{1}{2}\sqrt{\mathfrak{a}\mathcal{E}_x}$  by the same argument as before.
- $\sqrt[L]{\mathfrak{a}\mathcal{E}_x} = \left(\sqrt[L]{\mathfrak{b}}\right)$  $\overline{\mathfrak{a}\mathcal{E}(M)}_{x}$ . Only the inclusion  $\overline{\mathfrak{h}}$ <br>tion Indeed if  $\mathfrak{a}\in\mathfrak{a}\mathcal{E}$  then  $\mathfrak{a}$  $\iota^L \sqrt{\mathfrak{a} \mathcal{E}_x} \subset (\sqrt[L]{\mathfrak{a} \mathcal{E}(M)})_x$  requires some interesting is the Kister Nunsteinensatz in the ring or germs of analytic<br>functions; see [9].<br> $\left(\sqrt[k]{\mathfrak{a}}\right)\mathcal{E}_x \subset \sqrt[k]{\mathfrak{a}\mathcal{E}_x}$  by the same argument as before.<br> $\sqrt[k]{\mathfrak{a}\mathcal{E}_x} = \left(\sqrt[k]{\mathfrak{a}\mathcal{E}(M)}\right)_x$  $a_i \in \mathfrak{a}$ . This holds true in an open neighborhood U of x. Take a smooth bump function  $\psi$  such that  $\psi = 1$  in a smaller neighborhood and its support  $\sqrt[k]{\mathfrak{a}\mathcal{E}_x} = (\sqrt[k]{\mathfrak{a}\mathcal{E}(M)})_x$ . Only the inclusion  $\sqrt[k]{\mathfrak{a}\mathcal{E}_x} \subset (\sqrt[k]{\mathfrak{a}\mathcal{E}(M)})_x$  requires<br>some justification. Indeed, if  $\varphi \in \mathfrak{a}\mathcal{E}_x$ , then  $\varphi = \sum \varphi_i a_i$ , for  $\varphi_i \in \mathcal{E}_x$  and<br> $a_i \in \mathfrak$ precisely  $\varphi$ . Thus, taking Lojasiewicz radicals and localizing at x, we have  $\sqrt[n]{\mathfrak{a}\mathcal{E}_x} \subset (\sqrt[n]{\mathfrak{a}\mathcal{E}(M)})_x$  as needed. some justification. Indeed, if  $\varphi \in \mathfrak{a}\mathcal{E}_x$ , then  $\varphi = \sum \varphi_i a_i$ , for  $\varphi_i \in \mathcal{E}_x$  and

We have obtained

F. Acquistapace, F. B:  

$$
(\sqrt[t]{\mathfrak{a}})\mathcal{E}_x \subset \sqrt[t]{\mathfrak{a}\mathcal{E}_x} = (\sqrt[t]{\mathfrak{a}\mathcal{E}(M)})_x.
$$

Now apply the Taylor homomorphism at  $x$  to obtain

obtained

\n
$$
(\sqrt[k]{\mathfrak{a}})\mathcal{E}_x \subset \sqrt[k]{\mathfrak{a}}\mathcal{E}_x = (\sqrt[k]{\mathfrak{a}}\mathcal{E}(M))_x.
$$
\nthe Taylor homomorphism at  $x$  to obtain

\n
$$
T_x(\sqrt[k]{\mathfrak{a}}\mathcal{E}_x) = (\sqrt[k]{\mathfrak{a}})_x \mathcal{F}_x \subset T_x(\sqrt[k]{\mathfrak{a}}\mathcal{E}(M))_x \subset \sqrt[k]{\mathfrak{a}}\mathcal{O}_x \mathcal{F}_x.
$$

It is worth noting here that we identify the Taylor series of analytic functions with the corresponding germs. The last inclusion holds because the elements of  $(\sqrt[k]{a\mathcal{E}(M)})_x$  vanish on  $X_x$ . Therefore, their Taylor series belong to  $\mathcal{I}^{\mathcal{F}_x}(X_x) =$ <br>  $\sqrt[n]{a\mathcal{E}(M)}$   $\uparrow$  by Malgrange's theorem (see Theorem 3.5 in page 90 of [7]). Arguing as  $(\sqrt{\mu}C(M))x$  values on  $\Lambda_x$ . Therefore, then Taylor series belong to  $\mathcal{L}^{-1}(\Lambda_x) = \sqrt[n]{a\mathbb{O}_x} \mathcal{F}_x$  by Malgrange's theorem (see Theorem 3.5 in page 90 of [\[7\]](#page-8-4)). Arguing as in [3] in [\[3\]](#page-7-1), ms. The last inclu<br>
Therefore, their 1<br>
eorem (see Theorer<br>  $\overline{\mathfrak{a} \mathcal{O}_x} \subset \sqrt[x]{\mathfrak{a} \mathcal{E}_x} = (\sqrt[x]{\sqrt[4]{\mathfrak{a} \mathcal{E}_x}})$ 

$$
\sqrt[x]{\mathfrak{a} \mathbb{O}_x} \subset \sqrt[x]{\mathfrak{a} \mathcal{E}_x} = (\sqrt[x]{\mathfrak{a} \mathcal{E}(M)})_x.
$$

As a result, the last inclusion is an equality.

We have now finished making preliminary observations and are ready to prove the statement. Consider

$$
g\in \widetilde{\sqrt[n]{\mathfrak{a}\mathcal{E}(M)}}.
$$

For any compact set  $K \subset M$ , there is an open neighborhood U of K such that g belongs to  $\sqrt[n]{\mathfrak{a}\mathcal{E}(U)}$ . It follows that  $g^{2m} \leq cf$  for some  $f \in \widetilde{\mathfrak{a}\mathcal{E}(U)}$ ,  $c > 0$ , and  $m > 1$ . In turn, on a smaller neighborhood  $V \subset U$  of  $K$ , we get  $f \in \mathfrak{a}\mathcal{E}(V)$ .  $m \geq 1$ . In turn, on a smaller neighborhood  $V \subset U$  of  $K$ , we get  $f \in \mathfrak{a} \mathcal{E}(V)$ .<br>This means that for any  $x \in M$  the germ  $g$  belongs to  $\frac{1}{2} \mathfrak{a} \mathcal{E}$  which equals This means that for any  $x \in M$ , the germ  $g_x$  belongs to  $\sqrt[x]{\mathfrak{a} \mathcal{E}_x}$ , which equals  $(\sqrt[x]{\mathfrak{a} \mathcal{E}(M)})$ . Applying what was stated before, we see that  $(\sqrt[k]{\mathfrak{a}} \mathcal{E}(M))_x$ . Applying what was stated before, we see that acking the germ  $g_x$  belongs to<br>g what was stated before, we see that<br> $T_x g \in T_x(\sqrt[k]{\mathfrak{a}\mathcal{E}(M)}) \subset (\sqrt[k]{\mathfrak{a}\mathcal{E}(M)})_x$ ,<br> $\overline{\mathfrak{a}\mathcal{E}(M)})_x$  for all  $x \in M$  and so  $g \in \sqrt[k]{\mathfrak{a}\mathcal{E}(M)}$ <br>be fact that the Loissiewicz rad

$$
T_x g \in T_x(\sqrt[t]{\mathfrak{a}\mathcal{E}(M)}) \subset (\sqrt[r]{\mathfrak{a}\mathcal{E}(M)})_x,
$$

which implies  $g_x \in (\sqrt[x]{\mathfrak{a}\mathcal{E}(M)})_x$  for all  $x \in M$  and so  $g \in \sqrt[x]{\mathfrak{a}\mathcal{E}(M)}$ . The converse inclusion comes from the fact that the Lojasiewicz radical is analytic-like and bigger than the real one by Theorem [2.7.](#page-3-0)  $\Box$ 

As a consequence of Theorems [2.7](#page-3-0) and [4.2,](#page-6-0) we recover the result of Adkins and Leahy in [\[3\]](#page-7-1). Concerning Bochnak's result, note that a finitely generated analytic ideal  $\mathfrak{a} \subset \mathcal{E}(M)$  is closed and if it is real, it coincides with its real radical. Hence it has the zero property.

### <span id="page-7-2"></span>**References**

- [1] Acquistapace, F., Broglia, F. and Fernando, J. F.: On the Nullstellensatz for Stein spaces and real C-analytic sets. To appear in *Trans. Amer. Math. Soc.*
- <span id="page-7-0"></span>[2] Adkins, W. A. and Leahy, J. V.: Criteria for finite generation of ideals of differentiable functions. *Duke Math. J.* **42** (1975), no. 4, 707–716.
- <span id="page-7-1"></span>[3] Adkins, W. A. and Leahy, J. V.: A Nullstellensatz for analytic ideals of differentiable functions. *Atti Accad. Naz. Lincei Rend. Cl. Sci. Fis. Mat. Natur. (8)* **60** (1976), no. 2, 90–94.
- <span id="page-8-1"></span><span id="page-8-0"></span>[4] Bochnak, J.: Sur le th´eor`eme des z´eros de Hilbert "diff´erentiable". *Topology* **12** (1973), 417–424.
- <span id="page-8-8"></span>[5] de Bartolomeis, P.: Una nota sulla topologia delle algebre reali coerenti. *Boll. Un. Mat. Ital. (5)* **13A** (1976), no. 1, 123–125.
- <span id="page-8-6"></span>[6] KOHN, J. J.: Subellipticity of the  $\bar{\partial}$ -Neumann problem on pseudo-convex domains: sufficient conditions. *Acta Math.* **142** (1979), no. 1-2, 79–122.
- <span id="page-8-4"></span>[7] Malgrange, B.: *Ideals of differentiable functions.* Tata Institute of Fundamental Research Studies in Mathematics 3, Tata Institute of Fundamental Research, Bombay; Oxford University Press, London 1967.
- <span id="page-8-9"></span><span id="page-8-7"></span>[8] Nowak, K. J.: On the real algebra of quasianalytic function germs. Preprint, November 2010.
- [9] RISLER, J.-J.: Le théorème des zéros en géométries algébrique et analytique réelles. *Bull. Soc. Math. France* **104** (1976), no. 2, 113–127.
- <span id="page-8-2"></span>[10] RISLER, J.-J.: Le théorème des zéros pour les idéaux de fonctions différentiables en dimension 2 et 3. *Ann. Inst. Fourier (Grenoble)* **26** (1976), no. 3, x, 73–107.
- <span id="page-8-5"></span>[11] Thom, R.: On some ideals of differentiable functions. *J. Math. Soc. Japan* **19** (1967), 255–259.
- <span id="page-8-3"></span>[12] Tougeron, J.-C.: *Id´eaux de fonctions diff´erentiables.* Ergebnisse der Mathematik und ihrer Grenzgebiete 71, Springer-Verlag, Berlin-New York, 1972.

Received January 7, 2013.

FRANCESCA ACQUISTAPACE: Dipartimento di Matematica, Università degli Studi di Pisa, Largo Bruno Pontecorvo, 5, 56127 Pisa, Italy. E-mail: [acquistf@dm.unipi.it](mailto:acquistf@dm.unipi.it)

Fabrizio Broglia: Dipartimento di Matematica, Universit`a degli Studi di Pisa, Largo Bruno Pontecorvo, 5, 56127 Pisa, Italy. E-mail: [broglia@dm.unipi.it](mailto:broglia@dm.unipi.it)

Andreea Nicoara: Department of Mathematics, University of Pennsylvania, 209 South 33rd St., Philadelphia, PA 19104, USA. E-mail: [anicoara@math.upenn.edu](mailto:anicoara@math.upenn.edu)