



**Partial Differential Equations** — *Some results on weighted subquadratic Lane–Emden Elliptic Systems in unbounded domains*, by SARA BARILE and ADDOLORATA SALVATORE, communicated on 13 November 2015.<sup>1</sup>

ABSTRACT. — We study a nonlinear elliptic system of Lane–Emden type in  $\mathbb{R}^N$ ,  $N \geq 3$ , which is equivalent to a fourth order quasilinear elliptic equation involving a suitable “sublinear” term. Thanks to some compact imbeddings in weighted Sobolev spaces, existence and multiplicity results are proved by means of a generalized Weierstrass Theorem and a variant of the Symmetric Mountain Pass Theorem. These results apply in particular to a biharmonic equation under Navier conditions in  $\mathbb{R}^N$ .

KEY WORDS: Nonlinear elliptic system of Lane–Emden type, subquadratic growth, fourth order elliptic equation, variational tools, compact imbeddings

MATHEMATICS SUBJECT CLASSIFICATION: 35J35, 35J50, 35J58, 35J60

## 1. INTRODUCTION

In the last years many authors have studied elliptic systems of two coupled semi-linear Poisson equations

$$(1.1) \quad \begin{cases} -\Delta u = g(v) & \text{in } \Omega, \\ -\Delta v = f(u) & \text{in } \Omega, \\ u = v = 0 & \text{on } \partial\Omega, \end{cases}$$

where  $\Omega$  is a bounded smooth domain of  $\mathbb{R}^N$ ,  $N \geq 3$  and  $f, g : \mathbb{R} \rightarrow \mathbb{R}$  are given functions.

In the model case  $g(s) = s^{p-1}$  and  $f(s) = s^{q-1}$ ,  $p, q > 1$ , (here and in the following  $s^x = \text{sgn}(s)|s|^x$  denotes the odd extension of the power function) the previous problem is referred to as the Lane–Emden system because it is a natural extension of the classical Lane–Emden equation

$$-\Delta u = u^{p-1} \quad \text{in } \Omega,$$

arising in Astronomy. It has been proved that Lane–Emden type systems have non-trivial solutions for all  $p, q > 1$  either in the so-called superquadratic but

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subcritical case, i.e.  $1 - \frac{2}{N} < \frac{1}{p} + \frac{1}{q} < 1$  (see [2], [11], [13], [14], [19], [23] and [24]) and in the subquadratic case, i.e.  $\frac{1}{p} + \frac{1}{q} > 1$  (see [6], [8] and [16]). On the contrary, if  $p$  and  $q$  belong to the critical hyperbola  $\frac{1}{p} + \frac{1}{q} = 1 - \frac{2}{N}$ , because of the lack of compactness of the problem, non-existence of solutions has been stated in [21] and [25] by using Pohozaev type arguments.

In the superquadratic case, existence and multiplicity results have been stated also in unbounded domains by adding in the second equation a suitable term of the form  $\rho(x)u^{\frac{1}{p-1}}$  (see [3], [4], [5] and [10]).

Aim of the paper is to study nonlinear elliptic systems like (1.1) in the whole space  $\mathbb{R}^N$  but in the subquadratic case. More precisely we consider the following nonlinear elliptic system

$$(1.2) \quad \begin{cases} -\Delta u = v^{p-1} & \text{in } \mathbb{R}^N, \\ -\Delta v = -\rho(x)u^{\frac{1}{p-1}} + f(x, u) & \text{in } \mathbb{R}^N, \\ u, v \rightarrow 0 & \text{as } |x| \rightarrow +\infty, \end{cases}$$

where  $\rho : \mathbb{R}^N \rightarrow \mathbb{R}$  and  $f : \mathbb{R}^N \times \mathbb{R} \rightarrow \mathbb{R}$  satisfy the following assumptions:

( $\rho_1$ )  $\rho$  is a Lebesgue measurable function verifying  $\inf_{\mathbb{R}^N} \rho(x) > 0$ ;

( $\rho_2$ )  $\int_{B_1(x)} \frac{1}{\rho(y)} dy \rightarrow 0$  as  $|x| \rightarrow +\infty$

where  $B_1(x)$  is the unit sphere centered in  $x$ ;

( $f_1$ )  $f$  is a Carathéodory function (i.e.,  $f(\cdot, s)$  is measurable on  $\mathbb{R}^N$  for all  $s \in \mathbb{R}$  and  $f(x, \cdot)$  is continuous on  $\mathbb{R}$  for a.e.  $x \in \mathbb{R}^N$ );

( $f_2$ ) there exist  $q \in (1, \frac{p}{p-1})$  and a positive function  $b \in L^\mu(\mathbb{R}^N)$  with  $\mu = (\frac{p}{q(p-1)})' = \frac{p}{p+q-pq}$  such that

$$|f(x, s)| \leq b(x)|s|^{q-1} \quad \text{for a.e. } x \in \mathbb{R}^N \text{ and for all } s \in \mathbb{R};$$

( $f_3$ ) there exist  $\delta > 0$  and a positive function  $b_1 \in L^\mu(\mathbb{R}^N)$  such that

$$f(x, s)s \geq b_1(x)|s|^q \quad \text{for a.e. } x \in \mathbb{R}^N \text{ and for all } s \in \mathbb{R}, |s| \leq \delta,$$

with  $q$  and  $\mu$  the same as in ( $f_2$ );

( $f_4$ )  $f(x, -s) = -f(x, s)$  for a.e.  $x \in \mathbb{R}^N$  and for all  $s \in \mathbb{R}$ .

We prove the following results. For the definition of the functional space  $E_\rho$  and of the energy functional  $I$  which appear in next theorems see Section 2.

**THEOREM 1.1.** *Suppose that ( $\rho_1$ ), ( $\rho_2$ ), ( $f_1$ )–( $f_3$ ) hold. Then, system (1.2) admits a non-trivial weak solution  $(\bar{u}, (-\Delta \bar{u})^{\frac{1}{p-1}})$ . Moreover, if  $f$  satisfies also ( $f_3$ ) globally (i.e. with  $\delta = +\infty$ ) and ( $f_4$ ), system (1.2) has a sequence  $\{(\bar{u}_k, (-\Delta \bar{u}_k)^{\frac{1}{p-1}})\}$  of non-trivial weak solutions such that  $\bar{u}_k \rightarrow 0$  in  $E_\rho$  and  $I(\bar{u}_k) \rightarrow 0$  as  $k \rightarrow +\infty$ .*

The multiplicity result contained in Theorem 1.1 can be weakened as follows in the case  $1 < p < \frac{N}{N-2}$  by replacing  $(f_2)$  and  $(f_4)$  with the weaker assumptions

$(f_5)$  there exist  $q \in (1, \frac{p}{p-1})$ ,  $\delta > 0$  and a positive function  $b \in L^\mu(\mathbb{R}^N)$  with  $\mu = (\frac{p}{q(p-1)})'$  such that

$$f(x, s)s \leq b(x)|s|^q \quad \text{for a.e. } x \in \mathbb{R}^N \text{ and for all } s \in \mathbb{R}, |s| \leq \delta;$$

$(f_6)$   $f(x, -s) = -f(x, s)$  for a.e.  $x \in \Omega$  and for all  $s \in \mathbb{R}, |s| \leq \delta$ .

**THEOREM 1.2.** *Let  $1 < p < \frac{N}{N-2}$ . Assume that  $(\rho_1), (\rho_2), (f_1), (f_3), (f_5)$  and  $(f_6)$  hold. Then, system (1.2) has a sequence  $\{(\bar{u}_k, (-\Delta \bar{u}_k)^{\frac{1}{p-1}})\}$  of non-trivial weak solutions such that  $\bar{u}_k \rightarrow 0$  uniformly in  $\mathbb{R}^N$  and  $I(\bar{u}_k) \rightarrow 0$  as  $k \rightarrow +\infty$ .*

**REMARK 1.3.** Let us observe that, denoting  $F(x, s) = \int_0^s f(x, t) dt$ , from  $(f_2)$ ,  $(f_3)$  and  $(f_5)$  by integration it follows that

$$(1.3) \quad |F(x, s)| \leq \frac{b(x)}{q} |s|^q \quad \text{for a.e. } x \in \mathbb{R}^N \text{ and for all } s \in \mathbb{R};$$

$$(1.4) \quad F(x, s) \geq \frac{b_1(x)}{q} |s|^q \quad \text{for a.e. } x \in \mathbb{R}^N \text{ and for all } s \in \mathbb{R}, |s| \leq \delta;$$

$$(1.5) \quad F(x, s) \leq \frac{b(x)}{q} |s|^q \quad \text{for a.e. } x \in \mathbb{R}^N \text{ and for all } s \in \mathbb{R}, |s| \leq \delta.$$

We emphasize that, really, in order to obtain the multiplicity result in the case  $1 < p < \frac{N}{N-2}$  we need only local assumptions since Theorem 1.2 still holds if we consider  $f : \mathbb{R}^N \times [-\delta, \delta] \rightarrow \mathbb{R}$  an odd Carathéodory function verifying  $(f_3)$  and  $(f_5)$ .

**REMARK 1.4.** Clearly,  $(f_2)$  and  $(f_3)$  imply that  $b_1(x) \leq b(x)$  for a.e.  $x \in \Omega$ . In particular, the function  $f(x, s) = b(x)s^{q-1}$  verifies  $(f_1)$ – $(f_4)$  if  $b(x)$  is a positive function in  $L^\mu(\mathbb{R}^N)$  with  $\mu = \frac{p}{p+q-pq}$ .

Following the variational formulation in [12] (see also [13]), we shall prove the above results by studying an equivalent fourth order quasilinear elliptic equation under Navier boundary conditions. Really, this equation is very interesting from a mathematical point of view, independently of its equivalence with system (1.2). In the particular case  $p = 2$ , we obtain the biharmonic equation with Navier boundary conditions

$$(1.6) \quad \begin{cases} \Delta^2 u = -\rho(x)u + f(x, u) & \text{in } \mathbb{R}^N, \\ u, \Delta u \rightarrow 0 & \text{as } |x| \rightarrow +\infty. \end{cases}$$

We recall that the biharmonic case has been widely studied in literature since it seems to be special and intermediate between the second order and the general

polyharmonic case (see e.g. [7, 15] and [17, Section 7.2 and page 358 of Section 7.12] and references within). Here, by Theorems 1.1 and 1.2 we deduce the following results which, to our knowledge, are new within the framework of biharmonic equations with “sublinear” terms.

**COROLLARY 1.5.** *Suppose that  $(\rho_1)$ ,  $(\rho_2)$ ,  $(f_1)$ – $(f_3)$  hold. Then, problem (1.6) admits a non-trivial weak solution  $\bar{u}$ . Moreover, if  $f$  satisfies also  $(f_3)$  globally (i.e. with  $\delta = +\infty$ ) and  $(f_4)$ , problem (1.6) has a sequence  $\{\bar{u}_k\}$  of non-trivial weak solutions such that  $\bar{u}_k \rightarrow 0$  in  $E_\rho$  and  $I(\bar{u}_k) \rightarrow 0$  as  $k \rightarrow +\infty$ .*

**COROLLARY 1.6.** *Let  $N = 3$ . Assume that  $(\rho_1)$ ,  $(\rho_2)$ ,  $(f_1)$ ,  $(f_3)$ ,  $(f_5)$  and  $(f_6)$  hold. Then, problem (1.6) has a sequence  $\{\bar{u}_k\}$  of non-trivial weak solutions such that  $\bar{u}_k \rightarrow 0$  uniformly in  $\mathbb{R}^N$  and  $I(\bar{u}_k) \rightarrow 0$  as  $k \rightarrow +\infty$ .*

The paper is organized as follows: in Section 2 we introduce the variational formulation of the problem and we recall a variant of the Symmetric Mountain Pass Theorem for “sublinear” problems stated in [20]. In Section 3 we prove Theorem 1.1 and Theorem 1.2. In particular, in order to prove the multiplicity result stated in Theorem 1.2, we introduce a new modified problem which admits a sequence of solutions uniformly converging to zero. Finally, we prove that these solutions provide solutions to the original system (1.2).

*Notations.*

- $L^t(\mathbb{R}^N)$ , with  $1 \leq t \leq +\infty$ , denotes the Lebesgue space with the usual norm  $|\cdot|_t$ ;
- $W^{k,\sigma}(\mathbb{R}^N)$ , with  $k \in \mathbb{N}$ ,  $\sigma \in \mathbb{R}$ ,  $1 \leq k, \sigma \leq \infty$ , is the usual Sobolev space equipped with the norm

$$\|u\|_{W^{k,\sigma}} = \left( \sum_{|\alpha|=k} \int_{\mathbb{R}^N} |D^\alpha u|^\sigma dx + \int_{\mathbb{R}^N} |u|^\sigma dx \right)^{\frac{1}{\sigma}};$$

- $C_B(\mathbb{R}^N)$  is the space of the continuous bounded functions on  $\mathbb{R}^N$  equipped with the usual norm  $|\cdot|_\infty$ ;
- $c$  denotes a real positive constant changing line from line.

## 2. VARIATIONAL TOOLS

Let  $N \geq 3$  and  $p > 1$ . Arguing as in [12] (see also [13]), it is possible to transform system (1.2) in an equivalent quasilinear scalar problem. Indeed, the system (1.2) can be rewritten as

$$\begin{cases} (-\Delta u)^{\frac{1}{p-1}} = v & \text{in } \mathbb{R}^N, \\ -\Delta v = -\rho(x)u^{\frac{1}{p-1}} + f(x, u) & \text{in } \mathbb{R}^N, \\ u, v \rightarrow 0 & \text{as } |x| \rightarrow +\infty, \end{cases}$$

that is equivalent to the fourth order quasilinear elliptic equation

$$(2.1) \quad \begin{cases} -\Delta(-\Delta u)^{\frac{1}{p-1}} = -\rho(x)u^{\frac{1}{p-1}} + f(x, u) & \text{in } \mathbb{R}^N, \\ u, \Delta u \rightarrow 0 & \text{as } |x| \rightarrow +\infty. \end{cases}$$

Clearly, if  $u$  is a weak solution of (2.1), we define weak solution of system (1.2) the couple  $(u, (-\Delta u)^{\frac{1}{p-1}})$ . In order to prove that problem (2.1) has a variational structure, let  $E$  be the space  $W^{2, \frac{p}{p-1}}(\mathbb{R}^N)$  endowed with the norm

$$\|u\| = \left( \int_{\mathbb{R}^N} |\Delta u|^{\frac{p}{p-1}} dx + \int_{\mathbb{R}^N} |u|^{\frac{p}{p-1}} dx \right)^{\frac{p-1}{p}}$$

and with dual space  $(E', \|\cdot\|_{E'})$ . As  $N \geq 3$ , the above norm is equivalent to the norm  $\|\cdot\|_{W^{2, p/(p-1)}}$  (see Corollary 9.10 and its previous remark in [18, pp. 235]). As  $(\rho_1)$  holds, we can consider the space  $E_\rho = W_\rho^{2, \frac{p}{p-1}}(\mathbb{R}^N)$ , namely

$$\left\{ u \in W^{2, \frac{p}{p-1}}(\mathbb{R}^N) : \int_{\mathbb{R}^N} \rho(x)|u|^{\frac{p}{p-1}} dx < \infty \right\}$$

equipped with the norm

$$\|u\|_\rho = \left( \int_{\mathbb{R}^N} |\Delta u|^{\frac{p}{p-1}} dx + \int_{\mathbb{R}^N} \rho(x)|u|^{\frac{p}{p-1}} dx \right)^{\frac{p-1}{p}}$$

equivalent to

$$\left( \sum_{|\alpha|=2} \int_{\mathbb{R}^N} |D^\alpha u|^{\frac{p}{p-1}} dx + \int_{\mathbb{R}^N} \rho(x)|u|^{\frac{p}{p-1}} dx \right)^{\frac{p-1}{p}}.$$

From now on, let  $1 \leq t < \infty$  and

$$L_\rho^t(\mathbb{R}^N) = \left\{ u \in L^t(\mathbb{R}^N) : \int_{\mathbb{R}^N} \rho(x)|u|^t dx < \infty \right\}$$

endowed with the norm

$$\|u\|_{t, \rho} = \left( \int_{\mathbb{R}^N} \rho(x)|u|^t dx \right)^{\frac{1}{t}}.$$

Clearly,  $E_\rho = E \cap L_\rho^{\frac{p}{p-1}}(\mathbb{R}^N)$  and we have that  $E_\rho \hookrightarrow E$ . Then, if we set

$$(2.2) \quad \left( \frac{p}{p-1} \right)^{**} = \begin{cases} \frac{Np}{(N-2)p-N} & \text{if } p > \frac{N}{N-2}, \\ +\infty & \text{if } 1 < p \leq \frac{N}{N-2}, \end{cases}$$

the Sobolev imbedding Theorems give the following result (see e.g. [9, Corollary 9.13]).

**PROPOSITION 2.1.** *Assume that  $p$  satisfies  $(\rho_1)$ . The following continuous imbeddings hold:*

(A) *if  $p > \frac{N}{N-2}$ , i.e.  $\frac{p}{p-1} < \frac{N}{2}$ , then*

$$E_p \hookrightarrow L^t(\mathbb{R}^N) \quad \text{if } \frac{p}{p-1} \leq t \leq \left(\frac{p}{p-1}\right)^{**};$$

(B) *if  $p = \frac{N}{N-2}$ , i.e.  $\frac{p}{p-1} = \frac{N}{2}$ , then*

$$E_p \hookrightarrow L^t(\mathbb{R}^N) \quad \text{if } \frac{p}{p-1} \leq t < \left(\frac{p}{p-1}\right)^{**};$$

(C) *if  $1 < p < \frac{N}{N-2}$ , i.e.  $\frac{p}{p-1} > \frac{N}{2}$ , then*

$$E_p \hookrightarrow L^t(\mathbb{R}^N) \quad \text{if } \frac{p}{p-1} \leq t \leq \left(\frac{p}{p-1}\right)^{**}$$

and, for every  $u \in E_p$ ,

$$|u(x) - u(x')| \leq C \|u\| |x - x'|^\alpha \quad \text{for a.e. } x, x' \in \mathbb{R}^N,$$

where  $\alpha$  and  $C$  are suitable constants depending on  $p$  and  $N$ .

Moreover,

$$(2.3) \quad E_p \hookrightarrow C_B(\mathbb{R}^N).$$

As ensured by the following result, the presence of the weight  $\rho(x)$  allows us to overcome the lack of compactness of the problem.

**PROPOSITION 2.2.** *Under assumptions  $(\rho_1)$  and  $(\rho_2)$ , it follows that the imbeddings*

$$E_p \hookrightarrow L^t(\mathbb{R}^N) \quad \text{for all } \frac{p}{p-1} \leq t < \left(\frac{p}{p-1}\right)^{**}$$

are compact. Moreover, if  $\frac{p}{p-1} > \frac{N}{2}$ , the imbedding is compact also for  $t = \left(\frac{p}{p-1}\right)^{**}$ , i.e.,

$$(2.4) \quad E_p \hookrightarrow\hookrightarrow C_B(\mathbb{R}^N).$$

**PROOF.** For the proof, we refer to [3, Proof of Proposition 3.1]. □

**REMARK 2.3.** Since  $b$  is a positive function belonging to  $L^\mu(\mathbb{R}^N)$ , by Hölder inequality and Proposition 2.2 it follows that

$$E_p \hookrightarrow\hookrightarrow L_b^q(\mathbb{R}^N)$$

where

$$L_b^q(\mathbb{R}^N) = \left\{ u \in L^q(\mathbb{R}^N) : \int_{\mathbb{R}^N} b(x)|u|^q dx < \infty \right\}$$

endowed with the norm

$$\|u\|_{q,b} = \left( \int_{\mathbb{R}^N} b(x)|u|^q dx \right)^{\frac{1}{q}}.$$

Now, it is possible to state the following variational principle.

**PROPOSITION 2.4.** *Assume that  $(\rho_1)$ ,  $(\rho_2)$ ,  $(f_1)$  and  $(f_2)$  hold. Then, the weak solutions of problem (2.1) are the critical points of the energy functional defined on  $E_\rho$  by*

$$I(u) = \frac{p-1}{p} \int_{\mathbb{R}^N} (|\Delta u|^{\frac{p}{p-1}} + \rho(x)|u|^{\frac{p}{p-1}}) dx - \int_{\mathbb{R}^N} F(x, u) dx.$$

More precisely,  $I \in C^1(E_\rho)$  and its differential  $dI : E_\rho \rightarrow E'_\rho$  is defined as

$$(2.5) \quad dI(u)[\zeta] = \int_{\mathbb{R}^N} [(-\Delta u)^{\frac{1}{p-1}}(-\Delta \zeta) + \rho(x)|u|^{\frac{1}{p-1}}\zeta - f(x, u)\zeta] dx$$

for all  $u, \zeta \in E_\rho$ . Moreover, the function  $u \mapsto f(\cdot, u(\cdot))$  is compact from  $E_\rho$  to  $E'_\rho$ .

**PROOF.** First, we prove that the functional

$$I(u) = \frac{p-1}{p} \|u\|_\rho^{\frac{p}{p-1}} - \int_{\mathbb{R}^N} F(x, u) dx, \quad u \in E_\rho,$$

is well defined and its Fréchet differential given in (2.5) is a continuous operator from  $E_\rho$  to  $E'_\rho$ . We study separately the two maps

$$\varphi_0(u) = \frac{p-1}{p} \|u\|_\rho^{\frac{p}{p-1}}, \quad \varphi_1(u) = \int_{\mathbb{R}^N} F(x, u) dx.$$

Clearly,  $\varphi_0 \in C^1(E_\rho)$  since  $\varphi_0$  is continuous from  $E_\rho$  to  $\mathbb{R}$  and its Gâteaux differential at  $u$

$$d\varphi_0(u)[\zeta] = \int_{\mathbb{R}^N} (-\Delta u)^{\frac{1}{p-1}}(-\Delta \zeta) dx + \int_{\mathbb{R}^N} \rho(x)u^{\frac{1}{p-1}}\zeta dx$$

is a linear continuous map on  $E_\rho$ . For the details we refer to [3, Proof of Proposition 2.7].

Now, we have to prove that also  $\varphi_1 \in C^1(E_\rho)$  with

$$(2.6) \quad d\varphi_1(u)[\zeta] = \int_{\mathbb{R}^N} f(x, u)\zeta \, dx \quad \text{for all } u, \zeta \in E_\rho.$$

First, by (1.3) in Remark 1.3 and Hölder inequality it is

$$|\varphi_1(u)| \leq \int_{\mathbb{R}^N} |F(x, u)| \, dx \leq \frac{1}{q} \int_{\mathbb{R}^N} b(x)|u|^q \, dx \leq \frac{1}{q} |b|_\mu |u|_{\frac{p}{p-1}}^q$$

and similarly, by  $(f_2)$  we obtain

$$\int_{\mathbb{R}^N} |f(x, u)| |\zeta| \, dx \leq \int_{\mathbb{R}^N} b(x)|u|^{q-1} |\zeta| \, dx \leq |b|_\mu |u|_{\frac{p}{p-1}}^{q-1} |\zeta|_{\frac{p}{p-1}}.$$

Hence, by Sobolev imbeddings in Proposition 2.1 it follows that  $\varphi_1(u) \in \mathbb{R}$  and  $d\varphi_1(u)[\zeta] \in \mathbb{R}$  for all  $u, \zeta \in E_\rho$ . Moreover, standard tools imply that the Gâteaux differential of  $\varphi_1$  at  $u$  is as in (2.6) and it is linear and continuous from  $E_\rho$  to  $\mathbb{R}$ .

At this point, we have to prove that  $d\varphi_1$  is continuous from  $E_\rho$  to  $E'_\rho$ , i.e.

$$(2.7) \quad \|d\varphi_1(u_n) - d\varphi_1(u)\|_{E'_\rho} \rightarrow 0 \quad \text{if } u_n \rightarrow u \text{ in } E_\rho.$$

Indeed, by Hölder inequality and Sobolev imbeddings,

$$\begin{aligned} |(d\varphi_1(u_n) - d\varphi_1(u))[\zeta]| &\leq \int_{\mathbb{R}^N} |f(x, u_n) - f(x, u)| |\zeta| \, dx \\ &\leq |f(\cdot, u_n(\cdot)) - f(\cdot, u(\cdot))|_p |\zeta|_{\frac{p}{p-1}}. \end{aligned}$$

Now, by  $(f_2)$  we get

$$\begin{aligned} |f(x, u_n) - f(x, u)|^p &\leq c(|f(x, u_n)|^p + |f(x, u)|^p) \\ &\leq c((b(x))^p |u_n|^{p(q-1)} + (b(x))^p |u|^{p(q-1)}) \\ &\leq c((b(x))^p |u_n - u|^{p(q-1)} + (b(x))^p |u|^{p(q-1)}). \end{aligned}$$

By Fatou's lemma, it follows that

$$\begin{aligned} (2.8) \quad &\int_{\mathbb{R}^N} \liminf_{n \rightarrow +\infty} (c(b(x))^p |u_n - u|^{p(q-1)} + (b(x))^p |u|^{p(q-1)}) \\ &\quad - |f(x, u_n) - f(x, u)|^p \, dx \\ &\leq \liminf_{n \rightarrow +\infty} \int_{\mathbb{R}^N} (c(b(x))^p |u_n - u|^{p(q-1)} + (b(x))^p |u|^{p(q-1)}) \\ &\quad - |f(x, u_n) - f(x, u)|^p \, dx. \end{aligned}$$



Now, we observe that, since  $u_n \rightarrow u$  in  $E_\rho$  it is  $u_n(x) \rightarrow u(x)$  a.e.  $x \in \mathbb{R}^N$ , therefore

$$(b(x))^p |u_n(x) - u(x)|^{p(q-1)} \rightarrow 0 \quad \text{a.e. } x \in \mathbb{R}^N$$

and also by  $(f_1)$

$$|f(x, u_n(x)) - f(x, u(x))|^p \rightarrow 0 \quad \text{a.e. } x \in \mathbb{R}^N.$$

On the other hand, by Hölder inequality and Sobolev imbeddings we get

$$\int_{\mathbb{R}^N} (b(x))^p |u_n - u|^{p(q-1)} dx \leq |b|_\mu^p |u_n - u|_{\frac{p}{p-1}}^{p(q-1)}$$

and, since  $u_n \rightarrow u$  in  $L^{\frac{p}{p-1}}(\mathbb{R}^N)$  by Proposition 2.1, also the left-hand side term goes to zero as  $n \rightarrow +\infty$ . Consequently, (2.8) involves

$$\begin{aligned} c \int_{\mathbb{R}^N} (b(x))^p |u|^{p(q-1)} dx &\leq c \int_{\mathbb{R}^N} (b(x))^p |u|^{p(q-1)} dx \\ &+ \liminf_{n \rightarrow +\infty} \left( - \int_{\mathbb{R}^N} |f(x, u_n) - f(x, u)|^p dx \right) \end{aligned}$$

from which it follows that

$$0 \leq - \limsup_{n \rightarrow +\infty} \left( \int_{\mathbb{R}^N} |f(x, u_n) - f(x, u)|^p dx \right)$$

and therefore

$$\begin{aligned} 0 &\leq \liminf_{n \rightarrow +\infty} \left( \int_{\mathbb{R}^N} |f(x, u_n) - f(x, u)|^p dx \right) \\ &\leq \limsup_{n \rightarrow +\infty} \left( \int_{\mathbb{R}^N} |f(x, u_n) - f(x, u)|^p dx \right) \leq 0. \end{aligned}$$

Hence,

$$|f(\cdot, u_n(\cdot)) - f(\cdot, u(\cdot))|_p \rightarrow 0 \quad \text{as } n \rightarrow +\infty$$

and (2.7) is proved.

Finally, by exploiting Proposition 2.2 instead of Proposition 2.1 in the previous arguments it follows that  $d\varphi_1$  is compact from  $E_\rho$  to  $E'_\rho$ .  $\square$

Now, we recall a suitable version stated by R. Kajikiya in [20] of the classical Symmetric Mountain Pass Theorem (see [1]).

Let  $X$  be an infinite dimensional Banach space,  $X'$  its dual space and  $J : X \rightarrow \mathbb{R}$  be a  $C^1$  functional. Let us recall that  $J$  satisfies the Palais–Smale, briefly  $(PS)$ , condition, if any  $(PS)$  sequence, i.e. any sequence  $\{u_k\}$  in  $X$  such that  $\{J(u_k)\}$  is bounded and  $dJ(u_k) \rightarrow 0$  in  $X'$  as  $k \rightarrow +\infty$ , has a convergent subsequence.

For all integer  $k$ , let

$$\Gamma_k = \{A \subset X - \{0\} \mid A \text{ closed and symmetric, } \gamma(A) \geq k\},$$

where, as usual,  $\gamma(A)$  denotes the genus of the set  $A$  (for the definition and relative properties see, e.g., [22]).

The following result has been proved in [20, Theorem 1].

**THEOREM 2.5.** *Let  $J \in C^1(X, \mathbb{R})$  satisfying*

- (A<sub>1</sub>)  $J$  is even, bounded from below,  $J(0) = 0$  and  $J$  satisfies the (PS) condition;  
 (A<sub>2</sub>) for every  $k \in \mathbb{N}$  there exists  $A_k \in \Gamma_k$  such that  $\sup_{A_k} J(u) < 0$ .

Then,

- (i) either there exists a sequence  $\{u_k\}$  such that  $dJ(u_k) = 0$ ,  $J(u_k) < 0$  and  $\{u_k\}$  converges to zero;  
 (ii) or there exist two sequences  $\{u_k\}$  and  $\{v_k\}$  such that  $dJ(u_k) = 0$ ,  $J(u_k) = 0$ ,  $u_k \neq 0$ ,  $\lim_k u_k = 0$ ,  $dJ(v_k) = 0$ ,  $J(v_k) < 0$ ,  $\lim_k J(v_k) = 0$  and  $\{v_k\}$  converges to a non-zero limit.

**REMARK 2.6.** In any case (i) or (ii), Theorem 2.5 gives the existence of a sequence  $\{u_k\}$  of critical points such that  $J(u_k) \leq 0$ ,  $u_k \neq 0$ ,  $\lim_k u_k = 0$  and, consequently,  $\lim_k J(u_k) = 0$ .

### 3. PROOF OF THE MAIN RESULTS

**PROOF OF THEOREM 1.1.** From (1.3), Hölder inequality and Sobolev embeddings, we get

$$\begin{aligned} I(u) &= \frac{p-1}{p} \int_{\mathbb{R}^N} (|\Delta u|^{\frac{p}{p-1}} + \rho(x)|u|^{\frac{p}{p-1}}) dx - \int_{\mathbb{R}^N} F(x, u) dx \\ &\geq \frac{p-1}{p} \|u\|_{\rho}^{\frac{p}{p-1}} - \frac{1}{q} \int_{\mathbb{R}^N} b(x)|u|^q dx \\ &\geq \frac{p-1}{p} \|u\|_{\rho}^{\frac{p}{p-1}} - c|b|_{\mu} \|u\|_{\rho}^q. \end{aligned}$$

Then, since  $1 < q < \frac{p}{p-1}$ , it follows that  $I$  is bounded from below and coercive on the reflexive Banach space  $E_{\rho}$ .

Moreover, by using the notations introduced in Proposition 2.4, the functional  $I = \varphi_0 - \varphi_1$  is weakly lower semicontinuous on  $E_{\rho}$  since  $\varphi_0$  is weakly lower semicontinuous by the norm properties while  $\varphi_1$  is weakly continuous as it is of class  $C^1$  on  $E_{\rho}$  and its derivative  $d\varphi_1$  is compact by the second part of Proposition 2.4. Then, by a generalized Weierstrass Theorem there exists  $\bar{u} \in E_{\rho}$  such that  $I(\bar{u}) = \min_{u \in E_{\rho}} I(u)$ . Hence, by applying now the first part of Proposition 2.4,  $\bar{u}$  is a solution of problem (2.1).

Clearly,  $(f_2)$  implies  $f(x, 0) = 0$  for a.e.  $x \in \mathbb{R}^N$ , then problem (2.1) admits always the trivial solution  $u = 0$  with  $I(0) = 0$ .

Anyway, by  $(f_3)$  condition (1.4) in Remark 1.3 holds, therefore the solution  $\bar{u}$  is non trivial since, fixed  $u_1 \in E_\rho \cap L^\infty(\mathbb{R}^N)$  with  $u_1 \neq 0$ , by Hölder inequality and  $1 < q < \frac{p}{p-1}$  we get

$$\begin{aligned} I(\varepsilon u_1) &= \frac{p-1}{p} \varepsilon^{\frac{p}{p-1}} \|u_1\|_\rho^{\frac{p}{p-1}} - \int_{\mathbb{R}^N} F(x, \varepsilon u_1) dx \\ &\leq \frac{p-1}{p} \varepsilon^{\frac{p}{p-1}} \|u_1\|_\rho^{\frac{p}{p-1}} - \frac{\varepsilon^q}{q} |b_1|_\mu |u_1|_{\frac{p}{p-1}}^q \\ &< 0 = I(0) \end{aligned}$$

for  $\varepsilon > 0$  small enough.

Now, in order to prove the multiplicity result, assume that also  $(f_4)$  holds. Then, the functional  $I$  is even. Let us point out that  $I$  satisfies  $(PS)$  condition. Indeed, if  $\{u_k\}$  is a  $(PS)$  sequence,  $\{u_k\}$  is bounded by the coerciveness of  $I$ . Thus, up to subsequence, there exists  $u \in E_\rho$  such that  $u_k \rightharpoonup u$ . By Proposition 2.4 we have that the function  $u \rightarrow f(\cdot, u(\cdot))$  is compact from  $E_\rho$  to  $E'_\rho$  and, reasoning as in [15, Section 3] we conclude that  $u_k \rightarrow u$  in  $E_\rho$ . Hence,  $I$  satisfies assumption  $(A_1)$  in Theorem 2.5.

Now, let us denote by  $\{e_j\}$  a Schauder basis of the separable Banach space  $E_\rho$ . For  $k \in \mathbb{N}$  fixed, let  $E_k = \{e_1, \dots, e_k\}$  be a  $k$ -dimensional subspace of  $E_\rho$ . By Remark 2.3 we get that  $|u|_{q, b_1} := \left( \int_{\mathbb{R}^N} b_1(x) |u|^q dx \right)^{\frac{1}{q}}$  is a norm in  $E_\rho$ , therefore, since we are in finite dimension, there exists  $c_k > 0$  such that  $\|u\|_\rho \leq c_k |u|_{q, b_1}$  for every  $u \in E_k$ . Clearly,

$$c_k = \sup_{u \in E_k, |u|_{q, b_1} = 1} \|u\|_\rho,$$

hence the sequence  $\{c_k\}$  is increasing. Moreover,  $c_k \rightarrow +\infty$  if  $k \rightarrow +\infty$ . Indeed, if by contradiction  $\{c_k\}$  was bounded, taken  $u \in E_\rho$  and  $u_k$  the component of  $u$  along  $E_k$ , it is  $u = \lim_k u_k$  in  $E_\rho$  and in  $L^q_{b_1}(\mathbb{R}^N)$ . Since for every  $k$  it is  $\|u_k\|_\rho \leq c_k |u_k|_{q, b_1}$ , passing to the limit we have  $\|u\|_\rho \leq c |u|_{q, b_1}$ , for  $c$  suitable constant independent of  $u$ . Hence,  $L^q_{b_1}(\mathbb{R}^N) \hookrightarrow E_\rho$  while Remark 2.3 ensures that  $E_\rho \hookrightarrow L^q_{b_1}(\mathbb{R}^N)$  which gives the contradiction. Therefore, taken  $u \in E_k$  from (1.4) with  $\delta = +\infty$  we get

$$\begin{aligned} I(u) &\leq \frac{p-1}{p} \|u\|_\rho^{\frac{p}{p-1}} - \frac{1}{q} \int_{\mathbb{R}^N} b_1(x) |u|^q dx \\ &\leq \frac{p-1}{p} \|u\|_\rho^{\frac{p}{p-1}} - \frac{1}{q} c_k^{-q} \|u\|_\rho^q \leq -\frac{p-1}{p} \|u\|_\rho^{\frac{p}{p-1}} \end{aligned}$$

if we choose  $2 \frac{p-1}{p} \|u\|_\rho^{\frac{p}{p-1}} \leq \frac{1}{q} c_k^{-q} \|u\|_\rho^q$  or equivalently  $\|u\|_\rho \leq \left( \frac{p}{2(p-1)q c_k^q} \right)^{\frac{1}{p-1-q}}$ .

Chosen  $0 < d_k \leq \left(\frac{p}{2^{(p-1)qc_k}}\right)^{\frac{1}{p-1-q}} = r_k^{\frac{1}{p-1-q}}$ , it results that  $r_k \rightarrow 0$  as  $k \rightarrow +\infty$  and

$$\{u \in E_k : \|u\|_\rho = d_k\} \subset \left\{u \in E_\rho : I(u) \leq -\frac{p-1}{p} d_k^{\frac{p}{p-1}}\right\}.$$

So, denoted by

$$A_k = \left\{u \in E_\rho : I(u) \leq -\frac{p-1}{p} d_k^{\frac{p}{p-1}}\right\},$$

as  $I$  is even and continuous,  $A_k$  is closed and symmetric, i.e.  $A_k \in \Gamma_k$  and, by well known properties of the genus,  $\gamma(A_k) \geq \gamma(E_k \cap S_{d_k}) = k$ , where  $S_{d_k} = \{u \in E : \|u\|_\rho = d_k\}$ . Consequently, for every  $k \in \mathbb{N}$  there exists  $A_k \in \Gamma_k$  such that

$$\sup_{A_k} I \leq -\frac{p-1}{p} d_k^{\frac{p}{p-1}} < 0.$$

Hence,  $(A_2)$  holds and by Theorem 2.5 (see also Remark 2.6), there exists a sequence  $\{\bar{u}_k\}$  in  $E_\rho$  such that  $\bar{u}_k \neq 0$ ,  $dI(\bar{u}_k) = 0$ ,  $\lim_k \bar{u}_k = 0$  and  $\lim_k I(\bar{u}_k) = 0$ . Therefore, by Proposition 2.4,  $\{\bar{u}_k\}$  is a sequence of non-trivial solutions to (2.1) such that  $I(\bar{u}_k) \leq 0$ ,  $\bar{u}_k \rightarrow 0$  in  $E_\rho$  and  $I(\bar{u}_k) \rightarrow 0$  as  $k \rightarrow +\infty$ , hence  $(\bar{u}_k, (-\Delta \bar{u}_k)^{\frac{1}{p-1}})$  is a solution to system (1.2) with  $\bar{u}_k \rightarrow 0$  in  $E_\rho$  and  $I(\bar{u}_k) \rightarrow 0$  as  $k \rightarrow +\infty$ .  $\square$

Now, we have to prove the multiplicity result stated in Theorem 1.2 for the case  $1 < p < \frac{N}{N-2}$ . First of all, let us observe that, under local assumptions  $(f_3)$  and  $(f_5)$ , problem (2.1) does not admit a variational formulation since the functional  $I$  is not well defined on the space  $E_\rho$ . Therefore, we modify the term  $f$  by introducing a new function  $\bar{f}$  satisfying the same hypotheses of  $f$  but globally with respect to  $s \in \mathbb{R}$ .

First, taken  $\delta > 0$  as in assumptions  $(f_3)$  and  $(f_5)$ , let us consider a cut-off function  $\varphi$  such that  $0 \leq \varphi(s) \leq 1$ ,  $\varphi(s) = 1$  if  $|s| \leq \frac{\delta}{2}$ ,  $\varphi(s) = 0$  if  $|s| \geq \delta$  and  $\varphi$  is even, continuous and strictly decreasing on  $\frac{\delta}{2} \leq |s| \leq \delta$ . Then, let us define

$$\bar{f}(x, s)s = \varphi(s)f(x, s)s + (1 - \varphi(s))b_1(x)|s|^q, \quad \text{for a.e. } x \in \mathbb{R}^N, \text{ for all } s \in \mathbb{R}.$$

It is possible to prove the following Proposition.

**PROPOSITION 3.1.** *Assume that  $f$  verifies assumptions  $(f_1)$ ,  $(f_3)$ ,  $(f_5)$  and  $(f_6)$ . Then  $\bar{f}$  is an odd Carathéodory function such that for a.e.  $x \in \mathbb{R}^N$  and for all  $s \in \mathbb{R}$  it is*

$$(3.1) \quad \frac{1}{2} b_1(x)|s|^q \leq \bar{f}(x, s)s \leq (b(x) + b_1(x))|s|^q.$$

PROOF. Since  $f$  satisfies  $(f_1)$  and  $(f_6)$ , by the definition of  $\varphi$  it is easy to see that  $\bar{f}$  is an odd Carathéodory function on  $\mathbb{R}^N \times \mathbb{R}$ .

Moreover, if  $|s| \leq \frac{\delta}{2}$ , it is  $\bar{f}(x, s)s = f(x, s)s$  and then by  $(f_3)$  and  $(f_5)$  we obtain

$$b_1(x)|s|^q \leq \bar{f}(x, s)s \leq b(x)|s|^q \quad \text{for a.e. } x \in \mathbb{R}^N,$$

and (3.1) follows by the positivity of  $b_1$ .

On the other hand, if  $|s| \geq \delta$ , it is  $\bar{f}(x, s)s = b_1(x)|s|^q$  and (3.1) follows again since  $b$  and  $b_1$  are positive functions. Finally, if  $\frac{\delta}{2} \leq |s| \leq \delta$ , by  $(f_3)$  it is  $f(x, s)s \geq 0$ . Recalling that  $\varphi(s) \geq \frac{1}{2}$  or  $1 - \varphi(s) \geq \frac{1}{2}$ , we have in any case

$$\bar{f}(x, s)s \geq \frac{1}{2}b_1(x)|s|^q \quad \text{for a.e. } x \in \mathbb{R}^N,$$

while by  $(f_5)$

$$\bar{f}(x, s)s \leq f(x, s)s + b_1(x)|s|^q \leq (b(x) + b_1(x))|s|^q \quad \text{for a.e. } x \in \mathbb{R}^N.$$

Hence, the proof of (3.1) is complete.  $\square$

REMARK 3.2. Clearly, since  $b_1(x) > 0$  for a.e.  $x \in \mathbb{R}^N$ , condition (3.1) implies that  $\bar{f}$  verifies  $(f_2)$  with  $b(x)$  replaced by  $b(x) + b_1(x)$  for a.e.  $x \in \mathbb{R}^N$ .

At this point we can consider the following new problem

$$(3.2) \quad \begin{cases} -\Delta(-\Delta u)^{\frac{1}{p-1}} = -\rho(x)u^{\frac{1}{p-1}} + \bar{f}(x, u) & \text{in } \mathbb{R}^N, \\ u, \Delta u \rightarrow 0 & \text{as } |x| \rightarrow +\infty. \end{cases}$$

and the associated energy functional defined on  $E_\rho$  by

$$\bar{I}(u) = \frac{p-1}{p} \int_{\mathbb{R}^N} (|\Delta u|^{\frac{p}{p-1}} + \rho(x)|u|^{\frac{p}{p-1}}) dx - \int_{\mathbb{R}^N} \bar{F}(x, u) dx,$$

with  $\bar{F}(x, t) = \int_0^t \bar{f}(x, s) ds$ . By Proposition 3.1 and Remark 3.2, Proposition 2.4 can be applied to  $\bar{I}$ , hence it follows that  $\bar{I} \in C^1(E_\rho)$  and its critical points are the weak solutions to problem (3.2). Let us remark that, by integration, from (3.1) we obtain that, for a.e.  $x \in \mathbb{R}^N$  and for all  $s \in \mathbb{R}$ , it is

$$(3.3) \quad \frac{1}{2q} b_1(x)|s|^q \leq \bar{F}(x, s) \leq \frac{1}{q} (b(x) + b_1(x))|s|^q.$$

The following Proposition will be crucial in the statement of our multiplicity result since it allows us to obtain solutions of system (1.2) by studying problem (3.2).

PROPOSITION 3.3. *Let  $1 < p < \frac{N}{N-2}$ . Assume that  $(\rho_1)$ ,  $(\rho_2)$ ,  $(f_1)$  and  $(f_5)$  hold. Let  $\{u_k\}$  be a sequence in  $E_\rho$  of solutions of problem (3.2) such that  $u_k \rightarrow 0$  in*

$E_\rho$  as  $k \rightarrow +\infty$ . Thus,  $u_k \rightarrow 0$  uniformly in  $\mathbb{R}^N$  and therefore  $u_k$  solves problem (2.1) for all  $k$  large enough.

PROOF. Since  $1 < p < \frac{N}{N-2}$ , from (2.3) it follows that  $u_k \rightarrow 0$  uniformly in  $\mathbb{R}^N$ . Therefore, let us point out that, taken  $\delta > 0$  as in assumptions  $(f_3)$  and  $(f_5)$ , there exists  $\bar{k} \in \mathbb{N}$  such that for every  $k \geq \bar{k}$  it is  $|u_k|_\infty \leq \frac{\delta}{2}$ , namely  $|u_k(x)| \leq \frac{\delta}{2}$  for every  $x \in \mathbb{R}^N$  and for every  $k \geq \bar{k}$ . It follows that  $\bar{f}(x, u_k(x)) = f(x, u_k(x))$  and  $\bar{F}(x, u_k(x)) = F(x, u_k(x))$ , therefore we have that  $\bar{I}(u_k) = I(u_k)$  and  $d\bar{I}(u_k) = dI(u_k)$ , hence by Proposition 2.4  $u_k$  is a solution to problem (2.1) for every  $k \geq \bar{k}$ .  $\square$

PROOF OF THEOREM 1.2. Observe that by Proposition 3.1  $\bar{f}$  satisfies  $(f_1)$ – $(f_4)$ . Therefore, Theorem 1.1 applies to the functional  $\bar{I}$ . In particular, system (3.2) has a sequence  $\{(\bar{u}_k, (-\Delta \bar{u}_k)^{\frac{1}{p-1}})\}$  of non trivial weak solutions with  $\bar{u}_k \rightarrow 0$  in  $E_\rho$  and  $\bar{I}(\bar{u}_k) \rightarrow 0$  as  $k \rightarrow +\infty$ . Finally, by applying Proposition 3.3,  $\bar{u}_k \rightarrow 0$  uniformly in  $\mathbb{R}^N$  and for  $k$  large enough  $\bar{u}_k$  is a solution to problem (2.1), hence for  $k$  large  $(\bar{u}_k, (-\Delta \bar{u}_k)^{\frac{1}{p-1}})$  is a solution to system (1.2) with  $\bar{u}_k \rightarrow 0$  in  $E_\rho$  and  $\bar{I}(\bar{u}_k) \rightarrow 0$  as  $k \rightarrow +\infty$ .  $\square$

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