



**Calculus of Variations** — *A new nonautonomous chain rule in BV*, by VIRGINIA DE CICCIO, communicated on 13 November 2015.<sup>1</sup>

ABSTRACT. — The aim of this note is to present a new nonautonomous chain rule formula for the distributional derivative of the composite function  $v(x) = B(x, u(x))$ , where  $u : \mathbb{R}^N \rightarrow \mathbb{R}$  is a scalar function of bounded variation and  $B$  admits a special integral form in terms of a locally bounded function  $b(x, t)$ , with  $b(\cdot, t)$  of bounded variation. It is an useful tool especially in view to applications to semicontinuity results for integral functional (see [1, 8, 9, 10]) and to conservation laws (see [5, 6]).

KEY WORDS: Chain rule, BV functions, lower semicontinuity

MATHEMATICS SUBJECT CLASSIFICATION: 49J45, 49Q20

## 1. INTRODUCTION

In this note we present a new nonautonomous chain rule formula in the scalar case for the distributional derivative of the composite function  $v(x) = B(x, u(x))$ , with  $u : \mathbb{R}^N \rightarrow \mathbb{R}$  a scalar function of bounded variation and  $B(x, t) = \int_0^t b(x, s) ds$ , where  $b(x, t)$  is locally bounded (which implies that  $B(x, \cdot)$  is Lipschitz continuous) and  $b(\cdot, t)$  has bounded variation.

In 1967, A. I. Vol’pert in [13] considers a general  $B$  in the autonomous case and by requiring the Lipschitz continuity of  $B$ , proved that the following identity holds in the sense of measures:

$$(1) \quad Dv = \nabla B(u) \nabla u \mathcal{L}^N + \nabla B(\tilde{u}) D^c u + [B(u^+) - B(u^-)] \nu_u \mathcal{H}^{N-1} \llcorner J_u,$$

where

$$(2) \quad Du = \nabla u \mathcal{L}^N + D^c u + \nu_u \mathcal{H}^{N-1} \llcorner J_u$$

is the usual decomposition of  $Du$  in its absolutely continuous part  $\nabla u$  with respect to the Lebesgue measure  $\mathcal{L}^N$ , its Cantor part  $D^c u$  and its jumping part, which is represented by the restriction of the  $(N - 1)$ -dimensional Hausdorff measure to the jump set  $J_u$ . Moreover,  $\nu_u$  denotes the measure theoretical unit normal to  $J_u$ ,  $\tilde{u}$  is the approximate limit and  $u^+$ ,  $u^-$  are the approximate limits from both sides of  $J_u$ .

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The validity of (1) is stated also in the vectorial case (see Theorem 3.96 in [4] for  $B \in C^1$ ). The situation is significantly more complicated if  $B$  is only a Lipschitz continuous function. In this case, the general chain rule is false, while a weaker form of the formula was proved by Ambrosio and Dal Maso in [3] (see also [12]).

On the other hand, in some recent papers a remarkable effort is devoted to establish chain rule formulas with an explicit dependence on the space variable  $x$  (see [1, 5, 8, 9, 10]). Notice that the new term of derivation with respect to  $x$  needs a particular attention. The proofs are achieved by regularizing  $B(\cdot, t)$  with fixed  $t$ , by applying the Ambrosio–Dal Maso formula to the regularized functions and finally by passing to the limit in each term.

More recently, a very general nonautonomous formula is proven in [2] for vector functions  $u \in BV$ . Here, the first assumption is a  $C^1$  dependence of  $B(x, \cdot)$  with an uniform bound on  $\partial_t B(x, t)$ . Concerning the  $x$ -derivative, it is required the existence of a Radon measure  $\sigma$  bounding from above all measures  $|D_x B(\cdot, t)|$ , uniformly with respect to  $t \in \mathbb{R}$ .

The aim of this note is to consider the special case of

$$B(x, t) = \int_0^t b(x, s) ds.$$

In the spirit of Theorem 3.1 below proved in [9] we find a chain rule in this situation. We assume that  $b$  is  $BV$  in  $x$  and it is locally bounded (then  $B(\cdot, t)$  is  $BV$  and  $B(x, \cdot)$  is Lipschitz continuous) and we find an explicit form for the term involving the  $x$ -derivation, which is described in [9] by a Fubini's type inversion of integration order.

In the spirit of [2] we require the existence of a Radon measure  $\bar{\sigma}$  bounding from above all measures  $|D_x b(\cdot, t)|$ , uniformly with respect to  $t \in \mathbb{R}$ . We prove that for any  $u \in BV_{loc}$  the composite function  $v(x) = B(x, u(x))$  belongs to  $BV_{loc}$  and it is shown the existence of a countably  $\mathcal{H}^{N-1}$ -rectifiable set  $\bar{\mathcal{N}}$ , independent of  $u$  and containing the jump set of  $B(\cdot, t)$  for every  $t \in \mathbb{R}$ , such that the jump set of  $v$  is contained in  $\bar{\mathcal{N}} \cup J_u$ . A chain rule is obtained (see Theorem 4.2) by requiring further uniformity conditions, but without assuming any continuity assumptions. The result here presented will be proven in a forthcoming paper.

## 2. DEFINITIONS AND PRELIMINARIES

In this section we recall some preliminary results and basic definitions (see [4] and [11]).

Let  $E$  be a measurable subset of  $\mathbb{R}^N$ . The *density*  $D(E; x)$  of  $E$  at a point  $x \in \mathbb{R}^N$  is defined by

$$D(E; x) = \lim_{\rho \rightarrow 0} \frac{\mathcal{L}^N(E \cap B_\rho(x))}{\omega_N \rho^N},$$

whenever this limit exists, where  $\omega_N$  is the measure of the unit ball and  $B_\rho(x)$  denotes the ball centered at  $x$  with radius  $\rho$ .

Let  $\Omega \subseteq \mathbb{R}^N$  be an open set and let  $u : \Omega \rightarrow \mathbb{R}$  be a measurable function. The upper and lower approximate limits of  $u$  at a point  $x \in \Omega$  are defined as

$$(3) \quad \begin{aligned} u^+(x) &= \inf\{t \in \mathbb{R} : D(\{u > t\}; x) = 0\}, \\ u^-(x) &= \sup\{t \in \mathbb{R} : D(\{u < t\}; x) = 0\}, \end{aligned}$$

respectively. The quantities  $u^+(x), u^-(x)$  are well defined (possibly equal to  $\pm\infty$ ) at every  $x \in \Omega$ , and  $u^-(x) \leq u^+(x)$ . The functions  $u^+, u^- : \Omega \rightarrow [-\infty, \infty]$  are Borel measurable.

We say that  $u$  is *approximately continuous* at a point  $x \in \Omega$  if  $u^+(x) = u^-(x) \in \mathbb{R}$ . In this case, we set  $\tilde{u}(x) = u^+(x) = u^-(x)$  and call  $\tilde{u}(x)$  the *approximate limit* of  $u$  at  $x$ . The set of all points in  $\Omega$  where  $u$  is approximately continuous is a Borel set which will be denoted by  $C_u$  and called the set of *approximate continuity* of  $u$ . The set  $S_u = \Omega \setminus C_u$  will be referred to as the set of *approximate discontinuity* of  $u$ .

Finally, by  $u^*$  we denote the *precise representative* of  $u$  which is defined by

$$u^*(x) = \frac{u^+(x) + u^-(x)}{2}$$

if  $u^+(x), u^-(x) \in \mathbb{R}$ ,  $u^*(x) = 0$  otherwise.

A locally integrable function  $u$  is said to be *approximately differentiable* at a point  $x \in C_u$  if there exists  $\nabla u(x) \in \mathbb{R}^N$  such that

$$(4) \quad \lim_{\rho \rightarrow 0} \frac{1}{\rho^{N+1}} \int_{B_\rho(x)} |u(y) - \tilde{u}(x) - \langle \nabla u(x), y - x \rangle| dy = 0.$$

Here,  $\langle \cdot, \cdot \rangle$  stands for scalar product in  $\mathbb{R}^N$ . The vector  $\nabla u(x)$  is called the *approximate differential* of  $u$  at  $x$ .

A function  $u \in L^1(\Omega)$  is said to be of *bounded variation* if its distributional gradient  $Du$  is an  $\mathbb{R}^N$ -valued Radon measure in  $\Omega$  and the total variation  $|Du|$  of  $Du$  is finite in  $\Omega$ . The space of all functions of bounded variation in  $\Omega$  is denoted by  $BV(\Omega)$ , while the notation  $BV_{loc}(\Omega)$  will be reserved for the space of those functions  $u \in L^1_{loc}(\Omega)$  such that  $u \in BV(\Omega')$  for every open set  $\Omega' \subset\subset \Omega$ .

Let  $u \in BV(\Omega)$ . Then it can be proved that

$$\lim_{\rho \rightarrow 0} \int_{B_\rho(x)} |u(y) - \tilde{u}(x)| dy = 0 \quad \text{for } \mathcal{H}^{N-1}\text{-a.e. } x \in C_u$$

and that  $u$  is approximately differentiable for  $\mathcal{L}^N$ -a.e.  $x$ . Moreover, the functions  $u^-$  and  $u^+$  are finite  $\mathcal{H}^{N-1}$ -a.e. and for  $\mathcal{H}^{N-1}$ -a.e.  $x \in S_u$  there exists a unit vector  $v_u(x)$  such that

$$(5) \quad \lim_{\rho \rightarrow 0} \int_{B_\rho^\pm(x; v_u(x))} |u(y) - u^\pm(x)| dy = 0,$$

where  $B_\rho^+(x; v_u(x)) = \{y \in B_\rho(x) : \langle y - x, v_u(x) \rangle > 0\}$ , and  $B_\rho^-(x; v_u(x))$  is defined analogously. The set of all points in  $S_u$  where the equalities in (5) are satisfied is called the *jump set* of  $u$  and is denoted by  $J_u$ .

If  $u$  is a  $BV$  function, we denote by  $D^a u$  the absolutely continuous part of  $Du$  with respect to Lebesgue measure. The singular part, denoted by  $D^s u$ , is split into two more parts, the *jump part*  $D^j u$  and the *Cantor part*  $D^c u$ , defined by

$$D^j u = D^s u \llcorner J_u, \quad D^c u = D^s u - D^j u.$$

Finally, we denote by  $\tilde{D}u$  the *diffuse part* of  $Du$ , defined by

$$\tilde{D}u = D^a u + D^c u.$$

### 3. THE CHAIN RULE IN $BV(\mathbb{R}^N)$ PROVEN IN [9]

In the paper [9] the authors deal with a general chain rule formula in  $BV(\mathbb{R}^N)$  for functions whose dependence in  $x$  is  $BV$ . More precisely, the following theorem is proved for particular functions of the type  $B(x, t) = \int_0^t b(x, s) ds$ .

**THEOREM 3.1.** *Let  $b : \mathbb{R}^N \times \mathbb{R} \rightarrow \mathbb{R}$  be a Borel function. Assume that*

- ( $\alpha$ ) *the function  $b(x, t)$  is locally bounded;*
- ( $\beta$ ) *for every  $t \in \mathbb{R}$  the function  $b(\cdot, t) \in BV(\mathbb{R}^N)$ ;*
- ( $\gamma$ ) *for any compact set  $H \subset \mathbb{R}$ ,*

$$\int_H |D_x b(\cdot, t)|(\mathbb{R}^N) dt < +\infty,$$

*where  $D_x b(\cdot, t)$  is the distributional gradient of the map  $x \mapsto b(x, t)$ .*

*Then for every  $u \in BV(\mathbb{R}^N) \cap L_{\text{loc}}^\infty(\mathbb{R}^N)$ , the function  $v : \mathbb{R}^N \rightarrow \mathbb{R}$ , defined by*

$$v(x) := \int_0^{u(x)} b(x, t) dt,$$

*belongs to  $BV_{\text{loc}}(\mathbb{R}^N)$  and for any  $\phi \in C_0^1(\mathbb{R}^N)$  we have*

$$\begin{aligned} (6) \quad \int_{\mathbb{R}^N} \nabla \phi(x) v(x) dx &= - \int_{-\infty}^{+\infty} dt \int_{\mathbb{R}^N} \text{sgn}(t) \chi_{\Omega_{u,t}}^*(x) \phi(x) dD_x b(x, t) \\ &\quad - \int_{\mathbb{R}^N} \phi(x) b(x, u(x)) \nabla u(x) dx - \int_{\mathbb{R}^N} \phi(x) \tilde{b}(x, \tilde{u}(x)) dD^c u \\ &\quad - \int_{J_u} \phi(x) \left[ \int_{u^-(x)}^{u^+(x)} b^*(x, t) dt \right] v_u(x) d\mathcal{H}^{N-1}, \end{aligned}$$

where  $J_u$  is the jump set of  $u$ ,  $\Omega_{u,t} = \{x \in \mathbb{R}^N : t \text{ belongs to the segment of end-points } 0 \text{ and } u(x)\}$  and  $\chi_{\Omega_{u,t}}^*$  and  $b^*(\cdot, t)$  are, respectively, the precise representatives of  $\chi_{\Omega_{u,t}}$  and  $b(\cdot, t)$ .

REMARK 3.2. Notice that  $b^*(x, t) = (b^+(x, t) + b^-(x, t))/2$ , where  $b^+(x, t)$  and  $b^-(x, t)$  are the upper and lower approximate limits of  $b(\cdot, t)$  at a point  $x$ . The function  $b(\cdot, t)$  is approximately continuous at a point  $x$  if  $b^+(\cdot, t) = b^-(\cdot, t) \in \mathbb{R}$ . In this case, we set  $\tilde{b}(\cdot, t) = b^+(\cdot, t) = b^-(\cdot, t)$ . By Lemma 3.1 in [9] the functions  $\tilde{b}(x, t)$ ,  $b^+(x, t)$ ,  $b^-(x, t)$  and  $b^*(x, t)$  are locally bounded Borel functions. Moreover, if  $b(x, t) \equiv b(t)$ , then (6) reduces to the well known chain rule formula for the composition of  $BV$  functions with a Lipschitz function, while, in the special case that  $b(x, t) \equiv b(x)$ , (6) gives the formula for the derivative of the product of two  $BV$  functions.

#### 4. AN EXPLICIT CHAIN RULE

In this section we will present the result and we will write more explicitly the first term appearing in the right hand side of formula (6).

Let  $b : \mathbb{R}^N \times \mathbb{R} \rightarrow \mathbb{R}$  be a Borel function. Assume that

- (i) the function  $b(x, t)$  is locally bounded;
- (ii) for every  $t \in \mathbb{R}$  the function  $b(\cdot, t) \in BV(\mathbb{R}^N)$ ;
- (iii) the measure

$$\bar{\sigma} := \bigvee_{t \in \mathbb{R}} |D_x b(\cdot, t)|$$

is a Radon measure, where  $\bigvee$  denotes the least upper bound in the space of nonnegative Borel measures.

REMARK 4.1. As in Remark 3.5 in [2], since we will consider  $u \in L_{\text{loc}}^\infty(\mathbb{R}^N)$ , condition (iii) can be replaced by the following local version

- (iii)<sub>loc</sub> for every compact set  $H \subset \mathbb{R}$  the measure

$$\bar{\sigma}_H := \bigvee_{t \in H} |D_x b(\cdot, t)|$$

is a Radon measure.

For simplicity we will omit the explicit dependence of  $\bar{\sigma}$  on  $H$ . By (iii), we have that  $\bar{\sigma} \ll \mathcal{H}^{N-1}$  and, if we define

$$\bar{\mathcal{N}} = \left\{ x \in \mathbb{R}^N : \liminf_{r \rightarrow 0} \frac{\bar{\sigma}(B_r(x))}{r^{N-1}} > 0 \right\},$$

then  $\bar{\mathcal{N}}$  is a  $\mathcal{H}^{N-1}$ -rectifiable set. We omit the dependence of  $\bar{\mathcal{N}}$  of  $H$  in the local version (see Remark 3.5 in [2]).

Moreover we consider the following assumptions:

- (iv) there exists a Borel set  $\mathcal{N}_0 \subset \mathbb{R}^N$  with  $\mathcal{L}^N(\mathcal{N}_0) = 0$  such that the approximate differential  $\nabla_x b(x, t)$  of the function  $y \mapsto b(y, t)$  at  $x$  exists for every  $x \in \mathbb{R}^N \setminus \mathcal{N}_0$  and for every  $t \in \mathbb{R}$  and

$$\frac{dD_x b(\cdot, t)}{d\mathcal{L}^N}(x) = \nabla_x b(x, t)$$

for every  $x \in \mathbb{R}^N \setminus \mathcal{N}_0$  and for every  $t \in \mathbb{R}$ ;

- (v) there exists a Borel set  $\mathcal{N}_1 \subseteq \mathbb{R}^N$  with  $\bar{\sigma}(\mathcal{N}_1) = 0$  such that the following limit

$$\lim_{r \downarrow 0} \frac{D_x^c b(\cdot, t)(B_r(x))}{\bar{\sigma}(B_r(x))} = \frac{dD_x^c b(\cdot, t)}{d\bar{\sigma}}(x)$$

exists for every  $x \in \mathbb{R}^N \setminus \mathcal{N}_1$  and for every  $t \in \mathbb{R}$  and this equality holds, where  $\frac{dD_x^c b(\cdot, t)}{d\bar{\sigma}}(x)$  is Radon–Nikodým derivative at  $x$  of the Cantor part of the measure  $D_x b(\cdot, t)$  w.r.t.  $\bar{\sigma}$ ;

- (vi) there exists a Borel set  $\mathcal{N}_2 \subset \mathbb{R}^N$  with  $\mathcal{H}^{N-1}(\mathcal{N}_2) = 0$  such that the one-sided limits  $b^+(x, t)$  and  $b^-(x, t)$  defined by

$$\lim_{r \downarrow 0} \int_{B_r^\pm(x)} |b(y, t) - b^\pm(x, t)| dy = 0$$

exist for every  $x \in \mathbb{R}^N \setminus \mathcal{N}_2$  and for every  $t \in \mathbb{R}$ , where  $B_r^\pm(x)$  are the two half balls determined by the normal  $\nu_{\bar{\mathcal{N}}}$ , and

$$\frac{dD_x^j b(\cdot, t)}{d\mathcal{H}^{N-1}}(x) = [b^+(x, t) - b^-(x, t)] \nu_{\bar{\mathcal{N}}}(x)$$

for every  $x \in \mathbb{R}^N \setminus \mathcal{N}_2$  and for every  $t \in \mathbb{R}$ .

By (vi) the functions  $b^\pm : (\mathbb{R}^N \setminus \mathcal{N}_2) \times \mathbb{R} \rightarrow \mathbb{R}$  are locally bounded Borel functions.

Moreover for all  $x \in \mathbb{R}^N \setminus (\bar{\mathcal{N}} \cup \mathcal{N}_2)$  and  $t \in \mathbb{R}$  there exists the limit

$$\tilde{b}(x, t) = \lim_{r \rightarrow 0} \int_{B_r(x)} b(y, t) dy.$$

For all  $x \in \mathbb{R}^N \setminus (\bar{\mathcal{N}} \cup \mathcal{N}_2)$  the function  $t \mapsto \tilde{b}(x, t)$  is a locally bounded Borel functions. If assumptions (i)–(vi) hold, then for every  $t \in \mathbb{R}$  the following decomposition formula holds

$$(7) \quad (D_x b)(\cdot, t) = (\nabla_x b)(x, t) \mathcal{L}^N + \frac{dD_x^c b(\cdot, t)}{d\bar{\sigma}}(x) \bar{\sigma} \\ + [b^+(x, t) - b^-(x, t)] \nu_{\bar{\mathcal{N}}}(x) \mathcal{H}^{N-1} \llcorner \bar{\mathcal{N}},$$

in the sense of measures.

**THEOREM 4.2.** *Let  $b : \mathbb{R}^N \times \mathbb{R} \rightarrow \mathbb{R}$  be a Borel function satisfying (i)–(vi). Then, for every  $u \in BV(\mathbb{R}^N) \cap L_{loc}^\infty(\mathbb{R}^N)$ , the function  $v : \mathbb{R}^N \rightarrow \mathbb{R}$ , defined by*

$$v(x) := \int_0^{u(x)} b(x, t) dt,$$

belongs to  $BV_{loc}(\mathbb{R}^N)$  and for any  $\phi \in C_0^1(\mathbb{R}^N)$  we have

$$(8) \quad \int_{\mathbb{R}^N} \nabla \phi(x) v(x) dx \\ = - \int_{\mathbb{R}^N} \phi(x) \left[ \int_0^{u(x)} \nabla_x b(x, t) dt \right] dx - \int_{\mathbb{R}^N} \phi(x) b(x, u(x)) \nabla u(x) dx \\ - \int_{\mathbb{R}^N} \phi(x) \left[ \int_0^{\tilde{u}(x)} \frac{dD_x^c b}{d\bar{\sigma}}(x, t) dt \right] d\bar{\sigma} - \int_{\mathbb{R}^N} \phi(x) \tilde{b}(x, \tilde{u}(x)) dD^c u \\ - \int_{\bar{\mathcal{N}} \cup J_u} \phi(x) \left[ \int_0^{u^+(x)} b^+(x, t) dt - \int_0^{u^-(x)} b^-(x, t) dt \right] \nu_{\bar{\mathcal{N}} \cup J_u}(x) d\mathcal{H}^{N-1},$$

where it is understood that for  $\mathcal{H}^{N-1}$ -a.e.  $x \in \bar{\mathcal{N}} \cap J_u$  the normal  $\nu_{\bar{\mathcal{N}} \cup J_u}$  is chosen equal to  $\nu_{\bar{\mathcal{N}}}$ .

**COROLLARY 4.3.** *Let  $b : \mathbb{R}^N \times \mathbb{R} \rightarrow \mathbb{R}$  be a Borel function satisfying*

- (i) *the function  $b(x, t)$  is locally bounded;*
- (ii) *for every  $t \in \mathbb{R}$  the function  $b(\cdot, t) \in W^{1,1}(\mathbb{R}^N)$  and there exists a Borel set  $\mathcal{N}_1 \subseteq \mathbb{R}^N$  such that  $\mathcal{H}^{N-1}(\mathcal{N}_1) = 0$  such that*

$$b(x, t) = \tilde{b}(x, t)$$

*for every  $x \in \mathbb{R}^N \setminus \mathcal{N}_1$  and every  $t \in \mathbb{R}$ ;*

- (iii) *for every compact set  $H \subseteq \mathbb{R}$  the function*

$$g_H(x) := \sup_{t \in H} |\nabla_x b(x, t)|$$

*belongs to  $L_{loc}^1(\mathbb{R}^N)$ ;*

- (iv) there exists a Borel set  $\mathcal{N}_2 \subseteq \mathbb{R}^N$  such that  $\mathcal{L}^N(\mathcal{N}_2) = 0$  such that the approximate gradient  $\nabla_x b(x, t)$  of the function  $y \mapsto b(y, t)$  at  $x$  exists for every  $x \in \mathbb{R}^N \setminus \mathcal{N}_2$  and every  $t \in \mathbb{R}$ .

Then, for every  $u \in BV(\mathbb{R}^N) \cap L^\infty_{\text{loc}}(\mathbb{R}^N)$ , the function  $v : \mathbb{R}^N \rightarrow \mathbb{R}$ , defined by

$$v(x) := \int_0^{u(x)} b(x, t) dt,$$

belongs to  $BV_{\text{loc}}(\mathbb{R}^N)$  and for any  $\phi \in C_0^1(\mathbb{R}^N)$  we have

$$\begin{aligned} \int_{\mathbb{R}^N} \nabla \phi(x) v(x) dx &= \int_{\mathbb{R}^N} \phi(x) \left[ \int_0^{u(x)} \nabla_x b(x, t) dt \right] dx \\ &\quad - \int_{\mathbb{R}^N} \phi(x) b(x, u(x)) \nabla u(x) dx - \int_{\mathbb{R}^N} \phi(x) \tilde{b}(x, \tilde{u}(x)) dD^c u \\ &\quad - \int_{J_u} \phi(x) \left[ \int_{u^-(x)}^{u^+(x)} \tilde{b}(x, t) dt \right] \nu_u(x) d\mathcal{H}^{N-1}. \end{aligned}$$

REMARK 4.4. This corollary improves Proposition 1.2 in [8] where  $\mathcal{N}_2 = \emptyset$  and  $b(x, \cdot)$  is continuous for a.e.  $x \in \mathbb{R}^N$ .

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