

# Inverse Image for the Functor $\mu \text{hom}$

By

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## §0. Introduction

Let  $f: Y \rightarrow X$  be a morphism of real  $C^\infty$  manifolds and let  $F, K$  be sheaves on  $X$  (more precisely objects of the derived category  $D^b(X)$ ).

In this paper we study the microlocal inverse images of sheaves.

In particular we recall the construction of the functors  $f_{\mu,p}^{-1}, f_{\mu,p}^!$  of [K-S 4] (which makes use of the categories of ind-objects and pro-objects on the microlocalization of  $D^b(X)$ ) and study some of their properties.

Then we give a theorem, namely Theorem 2.2.3 below, which asserts that the natural morphism:

$$(0.1) \quad \mu \text{hom}(f_{\mu,p}^{-1} K, f_{\mu,p}^! F \otimes \omega_Y^{\otimes -1})_{p_Y} \longrightarrow \mu \text{hom}(K, F \otimes \omega_X^{\otimes -1})_{p_X},$$

is an isomorphism as soon as a very natural hypothesis, similar to that of “microhyperbolicity” for microdifferential systems, is satisfied (here  $\omega_X$  denotes the dualizing complex on  $X$  and  $\mu \text{hom}$  the microlocalization bifunctor of [K-S 4]).

In fact, one could say that this theorem is a statement of the microlocal well posedness for the Cauchy problem.

As an application, we then state and prove a theorem, namely Theorem 3.1.1, on the well posedness for the Cauchy problem, in a sheaf theoretical frame.

This theorem generalizes what was obtained in [D'A-S] and will allow us not only to recover the classical results on the ramified Cauchy problem (cf. [H-L-W], [K-S 1], [Sc]), but also the result of [K-S 2] on the hyperbolic Cauchy problem.

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§1. Review on Sheaves

In this chapter we collect the notations that will be used throughout this paper.

We also give some basic results on ind-objects and pro-objects that are necessary for the proof of the main theorem.

The frame is that of the microlocal study of sheaves as developed in [K-S 3] and [K-S 4].

Until chapter 4 all manifolds and morphisms of manifolds will be real and of class  $C^\infty$ .

§1.1. Geometry

To a manifold  $X$  one associates its tangent and cotangent bundles noted  $\tau_X: TX \rightarrow X$  and  $\pi_X: T^*X \rightarrow X$  respectively. One notes  $\dot{T}^*X$  the cotangent bundle with the zero-section removed and denotes by  $\dot{\pi}_X$  the projection  $\dot{T}^*X \rightarrow X$ .

If  $M$  is a closed submanifold of  $X$ , one denotes by  $T_M^*X$  the conormal bundle to  $M$  in  $X$ . If  $A$  is a subset of  $X$ , one denotes by  $N^*(A)$  the strict conormal cone to  $A$ , a closed, proper, convex conic subset of  $T^*X$ .

If  $f: Y \rightarrow X$  is a morphism of manifolds, one denotes by  ${}^t f'$  and  $f_\pi$  the natural mappings associated to  $f$ :

$$T^*Y \xleftarrow{{}^t f'} Y \times_X T^*X \xrightarrow{f_\pi} T^*X.$$

One sets:  $T_Y^*X = {}^t f'^{-1}(T_Y^*Y)$ .

If  $N$  (resp.  $M$ ) is a closed submanifold of  $Y$  (resp.  $X$ ) with  $f(N) \subset M$ , one denotes by  ${}^t f'_N$  and  $f_{N\pi}$  the natural mappings associated to  $f$ :

$$T_N^*Y \xleftarrow{{}^t f'_N} N \times_M T_M^*X \xrightarrow{f_{N\pi}} T_M^*X.$$

If  $A$  is a closed conic subset of  $T^*X$ , one says that  $f$  is *non-characteristic* for  $A$  iff  ${}^t f'^{-1}(T_Y^*Y) \cap f_\pi^{-1}(A) \subset Y \times_X T_X^*X$ . If  $V$  is a subset of  $T^*Y$ , we refer to [K-S 3] for the definition of  $f$  being non-characteristic for  $A$  on  $V$ .

§1.2. The Category  $D^b(X)$

We fix a commutative ring  $A$  with finite global dimension (e.g.  $A = \mathbb{Z}$ ).

Let  $X$  be a manifold. One denotes by  $D^b(X)$  the derived category of the category of bounded complexes of sheaves of  $A$ -modules on  $X$ .

If  $F \in \text{Ob}(D^b(X))$ , one notes by  $\text{SS}(F)$  the *micro-support* of  $F$  (cf. [K-S 3]). This is a closed conic involutive subset of  $T^*X$  that describes the directions of non propagation for the cohomology of  $F$ .

If  $M$  is a closed submanifold of  $X$ , one denotes by  $\mu_M(F)$  the Sato's

microlocalization of  $F$  along  $M$ , an object of  $D^b(T_M^*X)$ . If  $G$  is another object of  $D^b(X)$ , following [K-S 3], one defines the microlocalization of  $F$  along  $G$  by:

$$\mu \text{hom}(G, F) = \mu_{\Delta} R \mathcal{H}om(q_2^{-1}G, q_1^!F),$$

where  $\Delta$  is the diagonal of  $X \times X$  and  $q_1, q_2$  denote the projections from  $X \times X$  to  $X$ . This is an object of  $D^b(T^*X)$  with the following properties:

$$(1.2.1) \quad R\pi_{X*} \mu \text{hom}(G, F) = R \mathcal{H}om(G, F),$$

$$(1.2.2) \quad \mu \text{hom}(A_M, F) = \mu_M(F),$$

$$(1.2.3) \quad \text{supp } \mu \text{hom}(G, F) \subset \text{SS}(G) \cap \text{SS}(F).$$

Here, as general notation on sheaves, for  $Z$  a locally closed subset of  $X$ , one denotes by  $A_Z$  the sheaf which is 0 on  $X \setminus Z$  and the constant sheaf with stalk  $A$  on  $Z$ .

If  $Y$  is another manifold and  $F \in \text{Ob}(D^b(X)), G \in \text{Ob}(D^b(Y))$ , one defines the *external product* of  $F$  and  $G$  by:

$$F \boxtimes G = q_1^{-1}F \overset{L}{\otimes} q_2^{-1}G,$$

where  $q_1$  (resp.  $q_2$ ) is the projection from  $X \times Y$  to  $X$  (resp.  $Y$ ). This is an object of  $D^b(X \times Y)$ .

Let  $f: Y \rightarrow X$  be a morphism of manifolds. One denotes by  $\omega_{Y/X}$  the relative dualizing complex defined by  $\omega_{Y/X} = f^!A_X$ . One sets  $\omega_X = a_X^!A$ , where  $a_X: X \rightarrow \{pt\}$ . If  $\text{or}_X$  is the orientation sheaf, one has an isomorphism  $\omega_X \cong \text{or}_X[\dim X]$ , and hence, for local problems,  $\omega_X$  plays essentially the role of a shift.

If  $F$  is an object of  $D^b(X)$ , one says that  $f$  is non-characteristic for  $F$  if  $f$  is non-characteristic for  $\text{SS}(F)$ .

### § 1.3. The Category $D^b(X; p_X)$

Let  $X$  be a manifold and let  $\Omega$  be a subset of  $T^*X$ . One denotes by  $D^b(X; \Omega)$  the localized category  $D^b(X)/D^b_{\Omega}(X)$ , where  $D^b_{\Omega}(X)$  is the null system:  $D^b_{\Omega}(X) = \{F \in \text{Ob}(D^b(X)); \text{SS}(F) \cap \Omega = \emptyset\}$ . Recall that the objects of  $D^b(X; \Omega)$  are the same as those of  $D^b(X)$  and that a morphism  $u: F \rightarrow G$  in  $D^b(X)$  becomes an isomorphism in  $D^b(X; \Omega)$  if  $\Omega \cap \text{SS}(H) = \emptyset$ ,  $H$  being the third term of a distinguished triangle:  $F \xrightarrow{u} G \rightarrow H \xrightarrow{+1}$ . Such an  $u$  is called an isomorphism on  $\Omega$ . If  $p_X \in T^*X$  one writes  $D^b(X; p_X)$  instead of  $D^b(X; \{p_X\})$ .

A question naturally arising is whether a functor, acting on derived categories of sheaves, still has a "microlocal" meaning, i.e. if it is well defined as a functor acting on these localized categories. In this section we will mainly be concerned in giving an answer to this problem for several well known functors.

Let  $Y$  be another manifold and denote by  $q_1$  (resp.  $q_2$ ) the projections from

$X \times Y$  to  $X$  (resp.  $Y$ ). Let  $M$  be a closed submanifold of  $X$ . Take a point  $p_X \in T^*X$  (resp.  $p_Y \in T^*Y$ ) and set  $p_{X \times Y} = (p_X, p_Y) \in T^*(X \times Y)$ .

**Proposition 1.3.1.** *The functors:*

$$\begin{aligned} \cdot \overset{\text{L}}{\boxtimes} \cdot &: \mathbf{D}^b(X) \times \mathbf{D}^b(Y) \longrightarrow \mathbf{D}^b(X \times Y), \\ \mathbf{R} \mathcal{H}om(q_2^{-1}(\cdot), q_1^!(\cdot)) &: \mathbf{D}^b(Y)^\circ \times \mathbf{D}^b(X) \longrightarrow \mathbf{D}^b(X \times Y), \\ \mu_M(\cdot) &: \mathbf{D}^b(X) \longrightarrow \mathbf{D}^b(T_M^*X), \\ \mu \text{ hom}(\cdot, \cdot) &: \mathbf{D}^b(X)^\circ \times \mathbf{D}^b(X) \longrightarrow \mathbf{D}^b(T^*X), \end{aligned}$$

are microlocally well defined, i.e. extend naturally as functors (that we denote by the same names):

$$\begin{aligned} \cdot \overset{\text{L}}{\boxtimes} \cdot &: \mathbf{D}^b(X; p_X) \times \mathbf{D}^b(Y; p_Y) \longrightarrow \mathbf{D}^b(X \times Y; p_{X \times Y}), \\ \mathbf{R} \mathcal{H}om(q_2^{-1}(\cdot), q_1^!(\cdot)) &: \\ &\mathbf{D}^b(Y; p_Y)^\circ \times \mathbf{D}^b(X; p_X) \longrightarrow \mathbf{D}^b(X \times Y; p_{X \times Y}), \\ \mu_M(\cdot) &: \mathbf{D}^b(X; p_X) \longrightarrow \mathbf{D}^b(T_M^*X; p_X), \quad (p_X \in T_M^*X) \\ \mu \text{ hom}(\cdot, \cdot) &: \mathbf{D}^b(X; p_X)^\circ \times \mathbf{D}^b(X; p_X) \longrightarrow \mathbf{D}^b(T^*X; p_X). \end{aligned}$$

Here  $\mathbf{D}^b(Y)^\circ$  denotes the opposite category to  $\mathbf{D}^b(Y)$ , i.e. the category whose objects are the same as those of  $\mathbf{D}^b(Y)$  and whose morphisms are reversed.

*Proof.* Let  $F, G \in \text{Ob}(\mathbf{D}^b(X))$  and  $H \in \text{Ob}(\mathbf{D}^b(Y))$ . Recall the following estimates of the micro-support (cf [K-S 3, Proposition 4.2.1, 4.2.2, Theorem 5.2.1]):

$$\begin{aligned} \text{SS}(F \overset{\text{L}}{\boxtimes} H) &\subset \text{SS}(F) \times \text{SS}(H), \\ \text{SS}(\mathbf{R} \mathcal{H}om(q_2^{-1}(H), q_1^!(F))) &\subset \text{SS}(F) \times \text{SS}(H)^a, \\ \text{SS}(\mu_M(F)) &\subset C_{T_M^*X}(\text{SS}(F)), \\ \text{SS}(\mu \text{ hom}(G, F)) &\subset C(\text{SS}(F), \text{SS}(G)), \end{aligned}$$

where  $^a$  denotes the antipodal and  $C$  the Whitney normal cone.

Since the proofs are similar we will treat only the first functor. The hypothesis  $F \in \mathbf{D}^b_{(p_X)}(X)$  or  $H \in \mathbf{D}^b_{(p_Y)}(X)$  means that  $p_X \notin \text{SS}(F)$  or  $p_Y \notin \text{SS}(H)$ . Then it follows from the first estimate that  $p_{X \times Y} \notin \overset{\text{L}}{\boxtimes} \text{SS}(F \boxtimes H)$ . Q.E.D.

### §1.4. Complements on Ind-objects and Pro-objects

Let  $f: Y \rightarrow X$  be a morphism of manifolds. Take a point  $p \in Y \times_X T^*X$  and

set  $p_X = f_\pi(p)$ ,  $p_Y = {}'f'(p)$ . Contrarily to the case of the functors treated in Proposition 1.3.1, the functors  $Rf_*$ ,  $Rf_!$  (resp.  $f^{-1}$ ,  $f^!$ ) are not microlocal, i.e. are not well defined as functors from  $D^b(Y; p_Y)$  (resp.  $D^b(X; p_X)$ ) to  $D^b(X; p_X)$  (resp.  $D^b(Y; p_Y)$ ). To give a microlocal meaning to these functors one must enlarge the category  $D^b(X; p_X)$  and work with ind-objects and pro-objects. In this section we recall the definition of ind-objects and pro-objects and, as a preparation for the next section, we give some of their basic properties.

Let us first recall some basic notions on ind-objects and pro-objects due to Grothendieck [G] (for an exposition e.g. cf. [K-S 4, Chapter 1, §11]).

Let  $\mathcal{C}$  be a category. Denote by  $\mathcal{C}^\wedge$  (resp.  $\mathcal{C}^\vee$ ) the category of covariant (resp. contravariant) functors from  $\mathcal{C}$  to the category of sets. Notice first that  $\mathcal{C}$  may be considered as a full subcategory of  $\mathcal{C}^\wedge$  or  $\mathcal{C}^\vee$  via the fully faithful functors:

$$\begin{aligned} h^\wedge : \mathcal{C} &\longrightarrow \mathcal{C}^\wedge & h^\vee : \mathcal{C} &\longrightarrow \mathcal{C}^\vee \\ X &\longmapsto \text{Hom}_{\mathcal{C}}(X, \cdot) & X &\longmapsto \text{Hom}_{\mathcal{C}}(\cdot, X) \end{aligned}$$

An object  $\phi$  of  $\mathcal{C}^\wedge$  in the image of  $h^\wedge$  is called *representable*. An object  $X$  of  $\mathcal{C}$  such that  $\phi = h^\wedge(X)$  is called a *representative* of  $\phi$ . Representatives are defined up to an isomorphism.

A category  $\mathcal{I}$  is called *filtrant* if for  $i, j \in \text{Ob}(\mathcal{I})$  there exist  $k \in \text{Ob}(\mathcal{I})$  and morphisms  $i \rightarrow k, j \rightarrow k$  and if for two morphisms  $f, g \in \text{Hom}_{\mathcal{I}}(i, j)$  there exists a morphism  $h: j \rightarrow k$  such that  $h \circ f = h \circ g$ .

Let  $\phi$  be a covariant functor from a filtrant category  $\mathcal{I}$  to  $\mathcal{C}$ . Recall that the object “ $\varinjlim_{\mathcal{I}} \phi(i)$ ” of  $\mathcal{C}^\vee$  is defined by “ $\varinjlim_{\mathcal{I}} \phi(i)(X) = \varinjlim_{\mathcal{I}} \text{Hom}_{\mathcal{C}}(X, \phi(i))$ ” for  $X \in \text{Ob}(\mathcal{C})$ . Here  $\varinjlim_{\mathcal{I}}$  denotes the classical inductive limit in the category of sets. Similarly, if  $\phi$  is a contravariant functor from  $\mathcal{I}$  to  $\mathcal{C}$ , “ $\varinjlim_{\mathcal{I}} \phi(i)$ ” is the object of  $\mathcal{C}^\wedge$  defined by “ $\varinjlim_{\mathcal{I}} \phi(i)(X) = \varinjlim_{\mathcal{I}} \text{Hom}_{\mathcal{C}}(\phi(i), X)$ ”. The category of ind-objects (resp. pro-objects) is the full subcategory of  $\mathcal{C}^\vee$  (resp.  $\mathcal{C}^\wedge$ ) consisting of those objects isomorphic to “ $\varinjlim_{\mathcal{I}} \phi(i)$ ” (resp. “ $\varinjlim_{\mathcal{I}} \phi(i)$ ”) for some covariant (resp. contravariant) functor  $\phi$  from  $\mathcal{I}$  to  $\mathcal{C}$ .

We will give now some results on ind-objects and pro-objects which will be useful in section 2.

Let  $\mathcal{I}, \mathcal{I}'$  be two filtrant categories and, for simplicity, assume  $\text{Ob}(\mathcal{I})$  and  $\text{Ob}(\mathcal{I}')$  being sets. One defines the filtrant category  $\mathcal{I} \times \mathcal{I}'$  in the obvious way. Let  $\mathcal{C}, \mathcal{C}'$  be two categories and let  $\phi, \phi'$  be two covariant functors from  $\mathcal{I}$  to  $\mathcal{C}$  and from  $\mathcal{I}'$  to  $\mathcal{C}'$  respectively. One can prove the following result as in [K-S 4, Corollary 1.11.8].

**Proposition 1.4.1.** *Keeping the same notations as above, let  $T$  be a bifunctor from  $\mathcal{C} \times \mathcal{C}'$  to a category  $\mathcal{C}''$ . If “ $\varinjlim_{\mathcal{I}} \phi(i)$ ” and “ $\varinjlim_{\mathcal{I}'} \phi'(i')$ ” are representable*

then so is “ $\varinjlim_{\mathcal{I} \times \mathcal{I}'} T(\phi(i), \phi'(i'))$ ”, a representative being given by  $T(\text{“}\varinjlim_{\mathcal{I}}\text{” } \phi(i), \text{“}\varinjlim_{\mathcal{I}'}\text{” } \phi'(i'))$ .

A similar result holds if  $\phi$  or  $\phi'$  or both of them are contravariant.

Let  $\mathcal{C}$  be a category. Let  $\mathcal{I}$  and  $\mathcal{I}'$  be filtrant categories and let  $\iota: \mathcal{I} \rightarrow \mathcal{I}'$  be a functor. Let  $\phi$  be a covariant (resp. contravariant) functor from  $\mathcal{I}$  to  $\mathcal{C}$ .

**Definition 1.4.2.** One says that  $\mathcal{I}$  and  $\mathcal{I}'$  are *cofinal* with respect to  $\phi$  by  $\iota$  if the following properties hold:

- (a) For any  $i' \in \text{Ob}(\mathcal{I}')$  there exists  $i \in \text{Ob}(\mathcal{I})$  and a morphism  $\phi(i') \rightarrow \phi(i)$  (resp.  $\phi(i) \rightarrow \phi(i')$ ).
- (b) For any  $i \in \text{Ob}(\mathcal{I})$ ,  $i' \in \text{Ob}(\mathcal{I}')$  and a morphism  $f: \iota(i) \rightarrow i'$ , there exists a morphism  $g: i \rightarrow i_1$  in  $\mathcal{I}$  such that  $\phi(\iota(g))$  factors through  $\phi(f)$ .

If  $\mathcal{I}$  and  $\mathcal{I}'$  are cofinal with respect to the identical functor of  $\mathcal{I}'$  for  $\iota$ , we will say that  $\mathcal{I}$  and  $\mathcal{I}'$  are cofinal (by  $\iota$ ). This is the classical definition (cf. [K-S 4, Exercice 1.38]). Note that if  $\mathcal{I}$  and  $\mathcal{I}'$  are cofinal by  $\iota$  then they are cofinal with respect to any  $\phi: \mathcal{I} \rightarrow \mathcal{C}$  by  $\iota$ .

Let us now state a proposition that extends to this more general definition a result of [K-S 4, Exercice 1.38].

**Proposition 1.4.3.** *With the same notations as above, if  $\mathcal{I}$  and  $\mathcal{I}'$  are cofinal with respect to  $\phi$  by  $\iota$ , the natural morphism:*

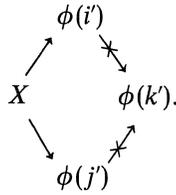
$$\text{“}\varinjlim_{\mathcal{I}}\text{” } \phi \circ \iota \longrightarrow \text{“}\varinjlim_{\mathcal{I}'}\text{” } \phi$$

(resp.

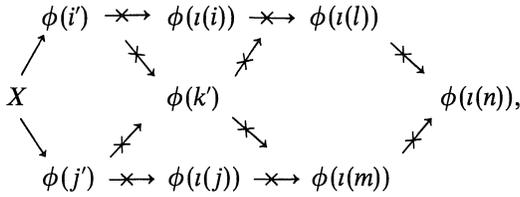
$$\text{“}\varinjlim_{\mathcal{I}'}\text{” } \phi \longrightarrow \text{“}\varinjlim_{\mathcal{I}}\text{” } \phi \circ \iota)$$

is an isomorphism.

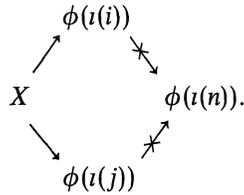
*Proof.* For  $X \in \text{Ob}(\mathcal{C})$  set  $A_X = \varinjlim_{\mathcal{I}} \text{Hom}_{\mathcal{C}}(X, \phi(\iota(i)))$ ,  $B_X = \varinjlim_{\mathcal{I}'} \text{Hom}_{\mathcal{C}}(X, \phi(i'))$ . We have to show that  $A_X \simeq B_X$  for every  $X$ . Let  $[u: X \rightarrow \phi(i')]$  be an element of  $B_X$  (here  $[u]$  denotes the equivalence class of  $u$  in  $B_X$ ). Due to (a) of Definition 1.4.2 we can find a morphism  $v: i' \rightarrow \iota(i)$  in  $\mathcal{I}'$  with  $i \in \text{Ob}(\mathcal{I})$ . We define a map  $F: A_X \rightarrow B_X$  by  $F([u]) = [\phi(v) \circ u]$ . We then have to show that  $F$  is well defined, injective and surjective. Since the proofs of these facts are similar, we will assume that the definition of  $F$  does not depend on the choice of the representative  $v$  of  $[v]$  and we will only prove that it does not depend on the choice of  $u$  either. Let  $[u: X \rightarrow \phi(i')] = [u': X \rightarrow \phi(j')]$  in  $B_X$  and let be given morphisms  $i' \rightarrow \iota(i)$ ,  $j' \rightarrow \iota(j)$  in  $\mathcal{I}'$ . In what follows  $\times$  will denote a morphism induced by a morphism in  $\mathcal{I}'$  and  $\ominus$  a morphism induced by one of  $\mathcal{I}$ .  $[u] = [u']$  means that there is a commutative diagram:



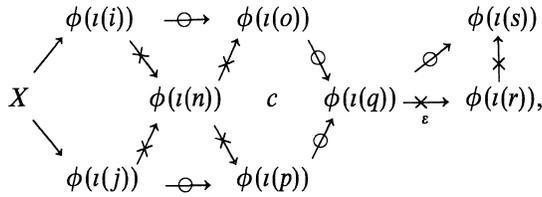
Due to (a) of Definition 1.4.2 and to the fact that  $\mathcal{I}$  and  $\mathcal{I}'$  are filtrant, it is then easy to get the following commutative diagram:



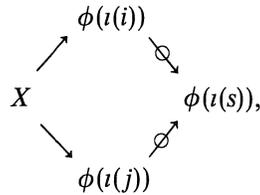
i.e. we have a commutative diagram:



Using (b) of Definition 1.4.2 one then easily get the diagram:



where all the diagrams, except  $c$ , are commutative. Nevertheless  $\varepsilon \circ c$  is commutative. Hence we have the commutative diagram:



which means that  $F([u]) = F([u'])$ .

Q.E.D.

§2. Microlocal Inverse Image Theorem

§2.1. Microhyperbolic Theorem for Sheaves

Let  $f: Y \rightarrow X$  be a morphism of manifolds. Let  $M$  (resp.  $N$ ) be a closed submanifold of  $X$  (resp.  $Y$ ), with  $f(N) \subset M$ .

In [K-S 4] (or [K-S 3]) the main result on the comparison between inverse image and microlocalization is the following.

**Theorem 2.1.1.** (cf. [K-S 4, Theorem 6.7.1] or [K-S 3, Theorem 5.4.1].) *Let  $V$  be an open subset of  $T_N^* Y$  and let  $F \in \text{Ob}(D^b(X))$ . Assume:*

- (i)  $f$  is non-characteristic for  $F$  on  $V$ ,
- (ii)  $f_{N\pi}$  is non-characteristic for  $C_{T_M^* X}(\text{SS}(F))$  on  $f_N^{-1}(V)$ ,
- (iii)  $f'^{-1}(V) \cap f_\pi^{-1}(\text{SS}(F)) \subset Y \times_X T_M^* X$ .

Then the natural morphism:

$$(2.1.1) \quad \mu_N(f^! F)|_V \rightarrow Rf'_{N*} f_{N\pi}^! \mu_M(F)|_V,$$

is an isomorphism.

§2.2. Inverse Image for  $\mu\text{hom}$

In this section we aim at giving our main result, i.e. Theorem 2.2.3 below, which is a variation of Theorem 2.1.1. To this end, let us recall the definition of microlocal images.

Let  $f: Y \rightarrow X$  be a morphism. Let  $p \in Y \times_X T^* X$  and set  $p_X = f_\pi(p)$ ,  $p_Y = f'_\pi(p)$ .

**Definition 2.2.1.** Let  $F$  be an object of  $D^b(X)$ . We denote by  $\mathcal{P}roj_F(p_X)$  (resp.  $\mathcal{I}nd_F(p_X)$ ) the filtrant category whose objects consist of the morphisms  $u: F' \rightarrow F$  (resp.  $u: F \rightarrow F'$ ) in  $D^b(X)$  which are isomorphisms at  $p_X$ . A morphism  $(u: F' \rightarrow F) \rightarrow (u': F'' \rightarrow F)$  of  $\mathcal{P}roj_F(p_X)$  is defined by a morphism  $v: F'' \rightarrow F'$  in  $D^b(X)$  with  $u' = u \circ v$  (and similarly for  $\mathcal{I}nd_F(p_X)$ ).

**Definition 2.2.2.** (cf [K-S 4, Definition 6.1.7].)

- (i) Let  $F \in \text{Ob}(D^b(X; p_X))$ . One denotes by  $f_{\mu,p}^{-1} F$  (resp.  $f_{\mu,p}^! F$ ) the pro-object (resp. ind-object) “ $\varinjlim_{\mathcal{P}roj_F(p_X)} f^{-1} F'$ ” (resp. “ $\varinjlim_{\mathcal{I}nd_F(p_X)} f^! F'$ ”). Here  $f^{-1}$  is the functor from  $\mathcal{P}roj_F(p_X)$  to  $D^b(Y; p_Y)$  which associates  $f^{-1} F'$  to  $F' \rightarrow F$  (and similarly for  $f^!$ ). One calls  $f_{\mu,p}^{-1} F$  the *microlocal inverse image* of  $F$  at  $p$ .
- (ii) Let  $G \in \text{Ob}(D^b(Y; p_Y))$ . One denotes by  $f_!^{\mu,p} G$  (resp.  $f_*^{\mu,p} G$ ) the pro-object (resp. ind-object) “ $\varinjlim_{\mathcal{P}roj_G(p_Y)} Rf_! G'$ ” (resp. “ $\varinjlim_{\mathcal{I}nd_G(p_Y)} Rf_* G'$ ”). Here  $Rf_!$  is the functor from  $\mathcal{P}roj_G(p_Y)$  to  $D^b(X; p_X)$  which associates

$Rf_!G'$  to  $G' \rightarrow G$  (and similarly for  $Rf_{*\ast}$ ). One calls  $f_{*\ast}^{\mu,p}G$  the *microlocal direct image* of  $G$  at  $p$ .

From now on, for a given  $p \in Y \times_X T^*X$  we will set  $p_X = f_\pi(p)$  and  $p_Y = {}^t f'(p)$ . We shall now give a variation of Theorem 2.1.1.

For  $F$  and  $K$  objects of  $D^b(X)$ , there is a natural morphism:

$$(2.2.1) \quad \mu\text{hom}(f^{-1}K, f^!F) \longrightarrow R{}^t f'_* f_\pi^! \mu\text{hom}(K, F).$$

**Theorem 2.2.3.** *Let  $F$  and  $K$  be objects of  $D^b(X)$  and take  $p \in Y \times_X T^*X$ . Let  $V$  be an open neighborhood of  $p_Y$  and assume:*

- (i)  $p \notin T_Y^*X$ ,
- (ii)  $f_{\mu,p}^{-1}K$  and  $f_{\mu,p}^!F$  are representable in  $D^b(Y; p_Y)$ ,
- (iii)  $f_\pi$  is non-characteristic for  $C(\text{SS}(F), \text{SS}(K))$  on  ${}^t f'^{-1}(V)$ .

Then the morphism (2.2.1) induces an isomorphism:

$$(2.2.2) \quad \mu\text{hom}(f_{\mu,p}^{-1}K, f_{\mu,p}^!F \otimes \omega_Y^{\otimes -1})_{p_Y} \xrightarrow{\sim} \mu\text{hom}(K, F \otimes \omega_X^{\otimes -1})_{p_X}.$$

In the left hand side of (2.2.2) we consider  $\mu\text{hom}$  acting microlocally as remarked in Proposition 1.3.1. Taking the germ at  $p_Y$  we get a bifunctor  $\mu\text{hom}: D^b(Y; p_Y)^\circ \times D^b(Y; p_Y) \rightarrow D^b(\text{Mod}(A))$ . Hence the isomorphism in (2.2.2) holds in  $D^b(\text{Mod}(A))$ , the derived category of the category of  $A$ -modules.

Let us explain how the morphism (2.2.2) is deduced from (2.2.1). Consider the maps:

$$\begin{array}{ccccc} T^*Y & \xleftarrow{{}^t f'} & Y \times_X T^*X & \xrightarrow{f_\pi} & T^*X \\ \pi_Y \downarrow & & \pi \downarrow & & \pi_X \downarrow \\ Y & \xleftarrow{\sim} & Y & \xrightarrow{f} & X. \end{array}$$

By adjonction, the morphism (2.2.1) induces the morphism:

$$(2.2.3) \quad {}^t f'^{-1} \mu\text{hom}(f^{-1}K, f^!F) \longrightarrow f_\pi^! \mu\text{hom}(K, F).$$

By (iii), the natural morphism:  $f_\pi^{-1} \mu\text{hom}(K, F) \otimes \pi^{-1} \omega_{Y/X} \rightarrow f_\pi^! \mu\text{hom}(K, F)$  is an isomorphism on  ${}^t f'^{-1}(V)$  (cf. [K-S 3, Proposition 5.3.2]). Composing (2.2.3) with the inverse of this last morphism and recalling that  $\pi^{-1} \omega_{Y/X} \cong \pi^{-1} \omega_Y \otimes \pi^{-1} f^{-1} \omega_X^{\otimes -1}$  we then get the morphism:

$${}^t f'^{-1} \mu\text{hom}(f^{-1}K, f^!F \otimes \omega_Y^{\otimes -1}) \longrightarrow f_\pi^{-1} \mu\text{hom}(K, F \otimes \omega_X^{\otimes -1}).$$

Taking the fiber at  $p$  and via the natural morphisms  $f_{\mu,p}^!F \rightarrow f^!F$  and  $f_{\mu,p}^{-1}K \leftarrow f^{-1}K$ , we obtain the morphism of (2.2.2).

In order to prove Theorem 2.2.3, we shall need Theorem 2.2.4 below.

Let  $M$  (resp.  $N$ ) be a closed submanifold of  $X$  (resp.  $Y$ ) such that  $f(M)$

$\subset N$ . For  $p \in N \times_M T_M^* X$  we will denote  $p_X = f_{N\pi}(p)$ ,  $p_Y = {}^{t'}f'_N(p)$ , coherently with the previous notations. Recall that for  $F \in \text{Ob}(\mathbb{D}^b(X))$  there is a natural morphism corresponding to (2.2.1):

$$(2.2.4) \quad \mu_N(f^! F) \longrightarrow \mathbf{R}f'_{N*} f_{N\pi}^! \mu_M(F).$$

**Theorem 2.2.4.** *Let  $F \in \text{Ob}(\mathbb{D}^b(X))$  and take  $p \in N \times_M T_M^* X$ . Let  $V$  be an open neighborhood of  $p_Y$  in  $T_N^* Y$  and assume:*

- (i)  $p \notin T_Y^* X$ ,
- (ii)  $f_{\mu,p}^! F$  is representable,
- (iii)  $f_{N\pi}$  is non-characteristic for  $C_{T_M^* X}(\text{SS}(F))$  on  ${}^{t'}f_N^{-1}(V)$ .

Then the morphism (2.2.4) induces an isomorphism:

$$(2.2.5) \quad \mu_N(f_{\mu,p}^! F \otimes \omega_Y^{\otimes -1})_{p_Y} \xrightarrow{\sim} \mu_M(F \otimes \omega_X^{\otimes -1})_{p_X}.$$

The isomorphism holds once more in  $\mathbb{D}^b(\text{Mod}(A))$ , and (2.2.5) is deduced from (2.2.4) similarly as (2.2.2) was deduced from (2.2.1).

### § 2.3. A Particular Case

Let us first recall some results of [K-S 4] on microlocal images. Let  $f: Y \rightarrow X$  be a morphism of manifolds and take  $p \in Y \times_X T^* X$ . Set  $p_X = f_\pi(p)$  and  $p_Y = {}^{t'}f'(p)$ .

**Proposition 2.3.1.** (cf. [K-S 4, Proposition 6.1.8].) *Let  $F \in \text{Ob}(\mathbb{D}^b(X; p_X))$  and  $G \in \text{Ob}(\mathbb{D}^b(Y; p_Y))$ . The following equalities hold:*

$$(2.3.1) \quad \text{Hom}_{\mathbb{D}^b(X; p_X)^\wedge} (f_!^{\mu,p} G, F) = \text{Hom}_{\mathbb{D}^b(Y; p_Y)^\vee} (G, f_{\mu,p}^! F),$$

$$(2.3.2) \quad \text{Hom}_{\mathbb{D}^b(X; p_X)^\vee} (G, f_*^{\mu,p} F) = \text{Hom}_{\mathbb{D}^b(Y; p_Y)^\wedge} (f_{\mu,p}^{-1} G, F).$$

Moreover there are canonical morphisms:

$$(2.3.3) \quad f_!^{\mu,p} G \longrightarrow f_*^{\mu,p} G,$$

$$(2.3.4) \quad f_{\mu,p}^{-1} F \otimes \omega_{Y/X} \longrightarrow f_{\mu,p}^! F.$$

**Proposition 2.3.2.** (cf. [K-S 4, Proposition 6.1.10].) *Let  $G \in \text{Ob}(\mathbb{D}^b(Y))$ . If  $\text{supp}(G)$  is proper over  $X$  and if:*

$$(2.3.5) \quad f_\pi^{-1}(p_X) \cap {}^{t'}f'^{-1}(\text{SS}(G)) \subset \{p\},$$

then  $f_!^{\mu,p} G$  and  $f_*^{\mu,p} G$  are representable and one has the isomorphisms:

$$f_!^{\mu,p} G \cong f_*^{\mu,p} G \cong \mathbf{R}f_* G,$$

in  $\mathbb{D}^b(X; p_X)$ .

We are now ready to prove a particular case of Theorem 2.2.4.

**Proposition 2.3.3.** *Let  $f: Y \rightarrow X$  be a closed embedding and set  $M = f(N)$ . Take a point  $p \in N \times_M T_M^* X \cong T_M^* X$  and set  $p_X = f_{N\pi}(p)$ ,  $p_Y = {}^t f'_N(p)$ . Let  $F \in \text{Ob}(\mathcal{D}^b(X))$  and assume that  $f_{\mu,p}^! F$  is representable. Then the natural morphism (2.2.4) induces an isomorphism:*

$$\mu_N(f_{\mu,p}^! F)_{p_Y} \xrightarrow{\sim} \mu_M(F)_{p_X}.$$

*Proof.* It is enough to prove the isomorphism for the cohomology groups. One has:

$$\begin{aligned} \mathcal{H}^j \mu_N(f_{\mu,p}^! F)_{p_Y} &\cong \text{Hom}_{\mathcal{D}^b(Y; p_Y)}(A_N, f_{\mu,p}^! F[j]) \\ &\cong \text{Hom}_{\mathcal{D}^b(Y; p_Y)^\vee}(A_N, f_{\mu,p}^! F[j]) \\ &\cong \text{Hom}_{\mathcal{D}^b(X; p_X)^\wedge}(f_{\mu,p}^{\mu,p} A_N, F[j]) \\ &\cong \text{Hom}_{\mathcal{D}^b(X; p_X)}(A_M, F[j]) \\ &\cong \mathcal{H}^j \mu_M(F)_{p_X}. \end{aligned}$$

Here the first isomorphism follows from [K-S 4, Theorem 6.1.2], the second expresses the fact that  $\mathcal{D}^b(Y; p_Y)$  is a full subcategory of  $\mathcal{D}^b(Y; p_Y)^\vee$ , the third follows from Proposition 2.3.1 and the fourth from the fact that, since  $f_\pi$  is injective, we can apply Proposition 2.3.2 and get:  $f_{\mu,p}^{\mu,p} A_N = Rf_* A_N = A_M$ .

Q.E.D.

**§2.4. The Microlocal Cut-Off Lemma**

First let us recall the definition of cutting functors as it has been given in [K-S 4, chapter 6].

Since we are concerned with problems of a local nature, we will assume  $X$  being a vector space. In what follows we will often identify  $X$  with  $T_0 X$ .

Let  $\gamma$  be a (not necessarily proper) closed convex cone of  $T_0 X$ . Let  $\omega$  be an open neighborhood of 0 in  $X$  with smooth boundary. We shall denote by  $q_1$  and  $q_2$  the projections from  $X \times X$  to  $X$ , by  $\gamma^0$  the polar to  $\gamma$  and by  $s$  the map  $s(x_1, x_2) = x_1 - x_2$ . The following definition is a slight modification of that of [K-S 4, Proposition 6.1.4, 6.1.8].

**Definition 2.4.1.** Let  $\gamma$  and  $\omega$  be as above and let  $F$  be an object of  $\mathcal{D}^b(X)$ . We set:

$$\Phi_X(\gamma, \omega; F) = Rq_{2*}(s^{-1} A_\gamma \overset{L}{\otimes} q_1^{-1} F_\omega),$$

$$\Psi_X(\gamma, \omega; F) = Rq_{2!} R\Gamma_{s^{-1}(\gamma^0)}(q_1^! R\Gamma_\omega(F)).$$

Notice that for  $\gamma' \subset \gamma$ ,  $\omega' \supset \omega$ , one has the following natural morphisms in  $\mathcal{D}^b(X)$ :

$$(2.4.1) \quad \begin{aligned} \Phi_X(\gamma, \omega; F) &\longrightarrow \Phi_X(\gamma', \omega'; F), \\ \Psi_X(\gamma', \omega'; F) &\longrightarrow \Psi_X(\gamma, \omega; F). \end{aligned}$$

In particular, recalling the isomorphisms  $Rq_{2*}(s^{-1}A_{\{0\}} \overset{L}{\otimes} q_1^{-1}F) \xrightarrow{\sim} F$ ,  $F \xrightarrow{\sim} Rq_{2!}R\Gamma_{s^{-1}(0)}(q_1^!F)$ , we get natural morphisms:

$$(2.4.2) \quad \begin{aligned} \Phi_X(\gamma, \omega; F) &\longrightarrow F, \\ F &\longrightarrow \Psi_X(\gamma, \omega; F). \end{aligned}$$

One has the following result.

**Proposition 2.4.2.** (cf. [K-S 4, Theorem 5.2.3] or [K-S 3, Proposition 3.2.2])  
 With the same notations as above:

- a)  $SS(F)$  is contained in  $\bar{\omega} \times \gamma^{\circ a}$  if and only if the morphism  $\Phi_X(\gamma, \omega; F) \rightarrow F$  (resp.  $F \rightarrow \Psi_X(\gamma, \omega; F)$ ) is an isomorphism.
- b)  $\Phi_X(\gamma, \omega; F) \rightarrow F$  (resp.  $F \rightarrow \Psi_X(\gamma, \omega; F)$ ) is an isomorphism on  $\omega \times \text{Int } \gamma^{\circ a}$ .

In particular one has the following estimates:

$$\begin{aligned} SS(\Phi_X(\gamma, \omega; F)) &\subset \bar{\omega} \times \gamma^{\circ a}, \\ SS(\Psi_X(\gamma, \omega; F)) &\subset \bar{\omega} \times \gamma^{\circ a}. \end{aligned}$$

In order to give a sharper result on the cutting of the microsupport one should take care of the relation between  $\gamma$  and  $\omega$ . Refining [K-S 4, Proposition 6.1.4], we give the following definition:

**Definition 2.4.3.** Take  $\xi_0 \in \dot{T}_0^*X$ . Let  $\gamma \subset T_0X$  and  $\omega \subset X$  be such that:

- (i)  $\gamma$  is a closed proper convex cone,
- (ii)  $\partial\gamma \setminus \{0\}$  is  $C^1$ ,
- (iii)  $\xi_0 \in \text{Int } \gamma^{\circ a}$ ,
- (iv)  $\omega$  is an open neighborhood of 0,
- (v)  $\partial\omega$  is  $C^1$ ,
- (vi)  $\omega \subset \{x; |x| < \varepsilon\}$  for some  $\varepsilon > 0$ ,
- (vii)  $\forall x \in \partial\omega \cap \partial\gamma, N_x^*(\omega)^a = N_x^*(\gamma)$ .

We will call a pair  $(\gamma, \omega)$  satisfying (i)–(vii) a *refined cutting pair* on  $X$  at  $(0; \xi_0)$ .

Note that since  $\partial\omega$  and  $\partial\gamma$  are smooth, condition (vii) means that  $\partial\omega$  and  $\partial\gamma$  are tangent at their intersection. More precisely, if  $g(x) < 0$  (resp.  $h(x) \leq 0$ ) is a local equation for  $\omega$  (resp.  $\gamma$ ) at  $x \in \partial\omega \cap \partial\gamma$ , this means that  $-d g(x) \in \mathbf{R}^+ d h(x)$ .

Let  $S$  be a vector space and take  $p_S \in T_0^*S$ . If  $(\gamma, \omega)$  is a refined cutting pair on  $X$  at  $(0; \xi_0)$ , and if  $\omega$  is defined by  $\omega = \{x; g(x) < 0\}$  for a  $C^1$  function  $g$  with

$dg \neq 0$ , we can find an open neighborhood  $\omega_S$  of 0 in  $X \times S$  with smooth boundary such that:

$$(2.4.3) \quad \begin{cases} \omega_S = \{(x, s) \in X \times S; \langle s, p_S \rangle + g(x) < 0\} \text{ near } X \times \{0\}, \\ \omega_S \subset \{(x, s); |(x, s)| < \varepsilon\} \end{cases}$$

The following proposition is an extension of Proposition 6.1.4 of [K-S 4].

**Proposition 2.4.4.** *Let  $H \in \text{Ob}(D^b(X \times S))$  and let  $(\gamma, \omega)$  be a refined cutting pair on  $X$  at  $(0; \xi_0)$ ,  $\xi_0 \neq 0$ . Take  $p_S \in T_0^*S$  and set  $H' = \Phi_{X \times S}(\gamma \times \{0\}, \omega_S; H)$  (resp.  $H' = \Psi_{X \times S}(\gamma \times \{0\}, \omega_S; H)$ ) for  $\omega_S$  defined as in (2.4.3). The following estimate holds:*

$$\begin{aligned} \text{SS}(H') \cap (\pi_X^{-1}(0) \times \{p_S\}) \subset \\ (\{\xi \in \gamma^{\circ a} \setminus \{0\}; \exists x \in \bar{\omega}: ((x; \xi), p_S) \in \text{SS}(H)\} \cup \{0\}) \times \{p_S\}. \end{aligned}$$

We will give a proof based on the same line as the one of [K-S 4, Proposition 6.1.4].

*Proof.* By Proposition 2.4.2 we know that  $H \cong H'$  on  $\omega_S \times \text{Int}((\gamma \times \{0\})^{\circ a})$  and that  $\text{SS}(H') \subset \overline{\omega_S} \times (\gamma \times \{0\})^{\circ a}$ . It then remains to show that

$$(2.4.4) \quad \begin{aligned} \xi \in \partial((\gamma \times \{0\})^{\circ a}) \setminus \{0\}, ((0; \xi), p_S) \in \text{SS}(H') \\ \Downarrow \\ \exists (x; \xi); x \in \bar{\omega}, ((x; \xi), p_S) \in \text{SS}(H). \end{aligned}$$

The map  $q_2: \text{supp}(s^{-1}A_{\gamma \times \{0\}} \overset{L}{\otimes} q_1^{-1}H_{\omega_S}) \rightarrow X \times S$  is proper due to (2.4.3) and (i) of Definition 2.4.3. One may then apply Propositions 5.4.4, 5.4.5 and 5.4.14 of [K-S 4] and get the estimate:

$$(2.4.5) \quad \begin{aligned} ((0; \xi), p_S) \in \text{SS}(H') \\ \Downarrow \\ \exists x: ((x; \xi), p_S) \in \text{SS}(A_{\gamma \times \{0\}})^a \cap \text{SS}(H_{\omega_S}). \end{aligned}$$

Let us then prove (2.4.4) using (2.4.5). Since  $\xi \neq 0$  and  $((x; \xi), p_S) \in \text{SS}(A_{\gamma \times \{0\}})^a$ , we have  $x \in \partial\gamma$ .

If  $x \in X \setminus \bar{\omega}$  then  $\text{SS}(H_{\omega_S}) \cap \pi_{X \times S}^{-1}((x, 0)) = \emptyset$ .

If  $x \in \omega$  then  $H_{\omega_S} \cong H$  at  $(x, 0)$ .

If  $x \in \partial\omega$ , by (vii) of Definition 2.4.3 we get:  $N_{(x, 0)}^*(\omega_S) \cap N_{(x, 0)}^*(\gamma \times \{0\})^a = \mathbf{R}_{\geq 0}(\xi, p_S)$ .

Assume  $((x; \xi), p_S) \notin \text{SS}(H)$ , then one may estimate  $\text{SS}(H_{\omega_S})$  as

$$\text{SS}(H_{\omega_S}) \cap \pi_{X \times S}^{-1}((x, 0)) \subset -\mathbf{R}_{\geq 0}(\xi, p_S) + (\text{SS}(H) \cap \pi_{X \times S}^{-1}((x, 0)))$$

which implies  $((x; \xi), p_S) \in \text{SS}(H)$ . This is a contradiction and this completes

the proof.

Q.E.D.

**Corollary 2.4.5.** (cf. [K-S 4, Proposition 6.1.4, 6.1.8]) *Keep the same notations as above. Let  $K$  be a proper closed convex cone of  $T_0^*X$  and let  $U \subset K$  be an open cone. Let  $F \in \text{Ob}(D^b(X))$  and let  $W$  be a conic neighborhood of  $K \cap (\text{SS}(F) \setminus \{0\})$ . Then:*

a) (Refined microlocal cut-off lemma). *There exists  $F' \in \text{Ob}(D^b(X))$  and a morphism  $u: F' \rightarrow F$  satisfying:*

- (1)  $u$  is an isomorphism on  $U$ ;
  - (2)  $\pi_X^{-1}(0) \cap \text{SS}(F') \subset W \cup \{0\}$ .
- b) (Dual refined microlocal cut-off lemma). *Same as a) with  $u: F \rightarrow F'$ .*

*Proof.* It is not restrictive to assume  $\bar{U} \subset \{0\} \cup \text{Int} K$ . Take  $\xi_0 \in U$  and choose a refined cutting pair  $(\gamma, \omega)$  on  $X$  at  $(0; \xi_0)$  with  $K^{\circ a} \subset \gamma \subset U^{\circ a}$ . It then remains to apply Proposition 2.4.4 to the case  $S = \{pt\}$ . Q.E.D.

§2.5. Complements on the Microlocal Inverse Image

As a preparation to the proof of the theorems of §2.2 we need to give some results concerning microlocal inverse images.

Let  $f: Y \rightarrow X$  be a morphism of manifolds. Take  $p \in Y \times_X T^*X$  and set  $p_X = f_\pi(p)$ ,  $p_Y = {}^t f'(p)$ . Assume  $p_X \notin T_X^*X$ . Set  $x_0 = \pi_X(p_X)$ ,  $y_0 = \pi_Y(p_Y)$ . Fix a local system of coordinates  $(x) \in X$  in a neighborhood of  $x_0 = \pi_X(p_X)$  and let  $(x; \xi)$  be the associated symplectic coordinates in  $T^*X$ . Since all statements in what follows are of a local nature, we may assume  $X$  is a vector space. Let  $p_X = (x_0; \xi_0)$  and recall that we assumed  $\xi_0 \neq 0$ . Let  $\gamma \subset T_{x_0}X$  be a cone and let  $\omega \subset X$  be an open set such that:

$$(2.5.1) \quad \begin{cases} \xi_0 \in \text{Int} \gamma^{\circ a}, \\ x_0 \in \omega. \end{cases}$$

Let  $\mathcal{C}ut_X(p_X)$  be the category whose objects are the pairs  $(\gamma, \omega)$  satisfying (2.5.1) and whose morphisms are defined as:

$$\text{Hom}_{\mathcal{C}ut_X(p_X)}((\gamma, \omega), (\gamma', \omega')) = \begin{cases} \{>\} & \text{if } \gamma \supset \gamma', \omega \subset \omega', \\ \emptyset & \text{otherwise.} \end{cases}$$

Let  $\phi_F(p_X): \mathcal{C}ut_X(p_X) \rightarrow \mathcal{P}roj_F(p_X)$  be the functor associating to an object  $(\gamma, \omega)$  of  $\mathcal{C}ut_X(p_X)$  the morphism  $u: \Phi_X(\gamma, \omega; F) \rightarrow F$  defined in (2.4.2) (note that  $u$  belongs to  $\text{Ob}(\mathcal{P}roj_F(p_X))$  due to Proposition 2.4.2) and to a morphism  $(\gamma, \omega) > (\gamma', \omega')$  the morphism defined in (2.4.1). Similarly, let  $\psi_F(p_X): \mathcal{C}ut_X(p_X) \rightarrow \mathcal{I}nd_F(p_X)$  be defined by  $\psi_F(p_X)((\gamma, \omega)) = (F \rightarrow \Psi_X(\gamma, \omega; F))$ .

**Proposition 2.5.1.** *Let  $F \in \text{Ob}(D^b(X))$  and take  $p \in Y \times_X T^*X \setminus T_Y^*X$ . The following isomorphisms hold:*

(i)  $f_{\mu,p}^{-1}F \cong \varinjlim_{\mathcal{C}ut_X(p_X)} f^{-1}\Phi_X(\gamma, \omega; F)$

(ii)  $f_{\mu,p}^!F \cong \varinjlim_{\mathcal{C}ut_X(p_X)} f^!\Psi_X(\gamma, \omega; F)$

Here  $f^{-1}\Phi_X(\gamma, \omega; F)$  is the functor from  $\mathcal{C}ut_X(p_X)$  to  $D^b(Y; p_Y)$  which associates the object  $f^{-1}\Phi_X(\gamma, \omega; F)$  to  $(\gamma, \omega) \in \text{Ob}(\mathcal{C}ut_X(p_X))$ .

*Proof.* Since the proofs of (i) and (ii) are similar we will treat only the case (i). Denote by  $f^{-1}F'$  the functor from  $\mathcal{P}roj_F(p_X)$  to  $D^b(Y; p_Y)$  which associates  $f^{-1}F'$  to  $F' \rightarrow F$ . Due to Proposition 1.4.3 we have to show that  $\mathcal{C}ut_X(p_X)$  and  $\mathcal{P}roj_F(p_X)$  are cofinal with respect to  $f^{-1}F'$  by  $\phi_F(p_X)$ . Let  $u: F' \rightarrow F$  be an object of  $\mathcal{P}roj_F(p_X)$ . In order to prove that (a) of Definition 1.4.2 holds, we have to find a pair  $(\gamma, \omega)$  satisfying (2.5.1) and a morphism  $f^{-1}\Phi_X(\gamma, \omega; F) \rightarrow f^{-1}F'$  in  $D^b(Y; p_Y)$ . To this end, embed  $u$  in a distinguished triangle  $F' \xrightarrow{u} F \rightarrow F_0 \xrightarrow{+1}$ . Since  $u \in \mathcal{P}roj_F(p_X)$ , we have  $p_X \notin \text{SS}(F_0)$ . Take a proper closed convex cone  $K$  and an open convex cone  $U$  such that  $p_X \in U \subset K$  and  $\text{SS}(F_0) \cap K \subset \{0\}$ . Following the proof of Corollary 2.4.5 we can find a refined cutting pair  $(\gamma, \omega)$  on  $X$  at  $p_X$  such that  $f$  is non-characteristic for  $\Phi_X(\gamma, \omega; F_0)$  at  $x_0$  and  $f_{\pi}^{-1}\text{SS}(\Phi_X(\gamma, \omega; F_0)) \cap f'^{-1}(p_Y) = \emptyset$ . Hence  $p_Y \notin \text{SS}(f^{-1}\Phi_X(\gamma, \omega; F_0))$  and this means that the morphism  $v: f^{-1}\Phi_X(\gamma, \omega; F') \rightarrow f^{-1}\Phi_X(\gamma, \omega; F)$ , obtained by applying  $f^{-1}\Phi_X(\gamma, \omega; \cdot)$  to  $u$ , is an isomorphism at  $p_Y$ . Composing, in  $D^b(Y; p_Y)$ ,  $v^{-1}$  with the natural morphism  $f^{-1}\Phi_X(\gamma, \omega; F') \rightarrow f^{-1}F'$ , we get the desired morphism  $f^{-1}\Phi_X(\gamma, \omega; F) \rightarrow f^{-1}F'$ . As for (b) of Definition 1.4.2 we have to show that for any  $(\gamma, \omega)$  as in (2.5.1), any  $(F \rightarrow F') \in \text{Ob}(\mathcal{P}roj_F(p_X))$  and any morphism  $u: F' \rightarrow \Phi_X(\gamma, \omega; F)$ , there exists  $(\gamma', \omega') \in \text{Ob}(\mathcal{C}ut_X(p_X))$  such that the natural morphism  $\Phi_X(\gamma', \omega'; F) \rightarrow \Phi_X(\gamma, \omega; F)$  obtained from (2.4.1) factors as:

$$\begin{array}{ccc} f^{-1}\Phi_X(\gamma', \omega'; F) & \longrightarrow & f^{-1}\Phi_X(\gamma, \omega; F) \\ & \searrow & \nearrow \\ & & f^{-1}F' \end{array}$$

Reasoning as for part (a), one can find a refined cutting pair  $(\gamma', \omega') > (\gamma, \omega)$  so that the natural morphisms:

$$\begin{aligned} f^{-1}\Phi_X(\gamma', \omega'; F') &\longrightarrow f^{-1}\Phi_X(\gamma', \omega'; \Phi_X(\gamma, \omega; F)) \\ &\longrightarrow f^{-1}\Phi_X(\gamma', \omega'; F), \end{aligned}$$

are isomorphisms at  $p_Y$ . Composing, in  $D^b(Y; p_Y)$ , the inverse of this composite with the natural arrow  $f^{-1}\Phi_X(\gamma', \omega'; F') \rightarrow f^{-1}F'$ , we get the claim. Q.E.D.

Let  $S$  be another manifold and consider the map:

$$\hat{f} = f \times \text{id}_S: Y \times S \rightarrow X \times S.$$

We will identify  $T^*(X \times S)$  with  $T^*X \times T^*S$ . For  $p \in Y \times {}_X T^*X$  and  $p_S \in T^*S$ , set  $\hat{p} = (p, p_S)$  and define  $p_X = f_\pi(p)$ ,  $p_Y = {}'f'(p)$ ,  $\hat{p}_X = \hat{f}_\mu(\hat{p})$  and  $\hat{p}_Y = {}'f'(\hat{p})$ . Set  $x_0 = \pi_X(p_X)$ ,  $y_0 = \pi_Y(p_Y)$ ,  $s_0 = \pi_S(p_S)$ . Fix a local system of coordinates  $(s)$  on  $S$  at  $s_0$  and consider it as a vector space.

**Proposition 2.5.2.** *Let  $F \in \text{Ob}(D^b(X))$ ,  $G \in \text{Ob}(D^b(S))$  and take  $p \in Y \times {}_X T^*X \setminus T_Y^*X$ ,  $p_S \in T^*S$ . Assume that  $f_{\mu,p}^{-1}F$  (resp.  $f_{\mu,p}^!F$ ) is representable. Then the following isomorphisms hold in  $D^b(Y \times S; \hat{p}_Y)$ :*

- (i)  $\hat{f}_{\mu,\hat{p}}^{-1}(F \boxtimes G) \cong (f_{\mu,p}^{-1}F) \boxtimes G,$   
(ii)  $\hat{f}_{\mu,\hat{p}}^! \mathcal{R} \mathcal{H}om(q_1^{-1}F, q_2^!G) \cong \mathcal{R} \mathcal{H}om(q_1^{-1}f_{\mu,p}^{-1}F, q_2^!G)$   
(resp.  
(iii)  $\hat{f}_{\mu,\hat{p}}^! \mathcal{R} \mathcal{H}om(q_2^{-1}G, q_1^!F) \cong \mathcal{R} \mathcal{H}om(q_2^{-1}G, q_1^!f_{\mu,p}^!F).$

Here  $q_1$  and  $q_2$  denote the projections from  $X \times S$  to  $X$  and  $S$  respectively and we remark that (i)–(iii) make sense due to Proposition 1.3.1.

*Proof.* Since the proofs are similar we will treat only the case (i). For a pair  $(\gamma, \omega) \in \text{Ob}(\mathcal{C}ut_X(p_X))$  and an open subset  $\omega' \subset S$ , it is easy to check that

$$f^{-1} \Phi_X(\gamma, \omega; F) \boxtimes G_{\omega'} \cong \hat{f}^{-1} \Phi_{X \times S}(\gamma \times \{0\}, \omega \times \omega'; F \boxtimes G).$$

We then have the isomorphisms in  $D^b(Y \times S; \hat{p}_Y)$ :

$$\begin{aligned} (f_{\mu,p}^{-1}F) \boxtimes G &\cong \left( \varinjlim_{\mathcal{C}ut_X(p_X)} f^{-1} \Phi_X(\gamma, \omega; F) \right) \boxtimes \left( \varinjlim_{\omega'} G_{\omega'} \right) \\ &\cong \left( \varinjlim_{\mathcal{C}ut_X(p_X) \times \omega'} (f^{-1} \Phi_X(\gamma, \omega; F) \boxtimes G_{\omega'}) \right) \\ &\cong \left( \varinjlim_{\mathcal{C}ut_X(p_X) \times \omega'} \hat{f}^{-1} \Phi_{X \times S}(\gamma \times \{0\}, \omega \times \omega'; F \boxtimes G) \right). \end{aligned}$$

Here  $\omega'$  ranges over an open neighborhood system of  $s_0$ . Notice that the first isomorphism follows from Proposition 2.5.1 and the second one from Proposition 1.4.1.

We need now a lemma.

**Lemma 2.5.3.** *Keeping the same notations as above and for  $\omega_S$  as in (2.4.3), the following isomorphism holds for  $H \in \text{Ob}(D^b(X \times S))$ :*

$$\hat{f}_{\mu,\hat{p}}^{-1}(H) \cong \left( \varinjlim_{\mathcal{C}ut_X(p_X)} \hat{f}^{-1} \Phi_{X \times S}(\gamma \times \{0\}, \omega_S; H) \right).$$

*Proof.* Let be given a morphism in  $D^b(X \times S)$   $H' \rightarrow H$  which is an isomorphism at  $\hat{p}_X$ . Let  $H_0$  be the third term of a distinguished triangle:  $H' \rightarrow H \rightarrow H_0 \xrightarrow{+1}$ . By the same proof as in Proposition 2.5.1 it is enough to show that there exists a pair  $(\gamma, \omega) \in \text{Ob}(\mathcal{C}ut_X(p_X))$  such that  $\hat{p}_Y \notin \text{SS}(\hat{f}^{-1} \Phi_{X \times S}(\gamma \times \{0\}, \omega_S; H_0))$ .

For that purpose it is enough to prove that  $\text{SS}(\Phi_{X \times S}(\gamma \times \{0\}, \omega_S; H_0)) \cap \hat{f}_\pi^{-1} \hat{f}'^{-1}(p_Y) \subset \{0\}$ . Since  $\hat{f}_\pi^{-1} \hat{f}'^{-1}(p_Y) = f_\pi^{-1} f'^{-1}(p_Y) \times \{p_S\}$ , this follows from Proposition 2.4.4. Q.E.D.

*End of the proof of Proposition 2.5.2.* The only thing which is left to prove is the isomorphism

$$\begin{aligned} & \text{“} \varinjlim_{\mathcal{C}ut_X(p_X)} \text{”} \hat{f}^{-1} \Phi_{X \times S}(\gamma \times \{0\}, \omega_S; F \boxtimes G) \\ & \cong \text{“} \varinjlim_{\mathcal{C}ut_{X \times S}(p_X) \times \omega'} \text{”} \hat{f}^{-1} \Phi_{X \times S}(\gamma \times \{0\}, \omega \times \omega'; F \boxtimes G), \end{aligned}$$

but this follows from the fact that both  $\omega_S$  and  $\omega \times \omega'$  describe a fundamental neighborhood system of  $(x_0, s_0)$ . Q.E.D.

Let  $g: Y \rightarrow X \times S$  be a morphism of manifolds. Consider the composite:

$$f: Y \xrightarrow{g} X \times S \xrightarrow{q_1} X.$$

For  $p \in Y \times_X T^*X$  we will set  $p_Y = {}^t f'(p)$ ,  $p_X = f_\pi(p)$ ,  $y_0 = \pi_Y(p_Y) \in Y$ ,  $(x_0, s_0) = g(y_0)$ ,  $\hat{p}_X = {}^t q_1'((x_0, s_0), p_X) \in T^*(X \times S)$  and  $\hat{p} = (y_0, \hat{p}_X) \in Y \times_{(X \times S)} T^*(X \times S)$ .

**Proposition 2.5.4.** *Let  $F \in \text{Ob}(D^b(X))$  and take  $p \in Y \times_X T^*X \setminus T_Y^*X$ . The following isomorphisms hold:*

- (i)  $f_{\mu, p}^{-1} F \cong g_{\mu, \hat{p}}^{-1}(q_1^{-1} F),$
- (ii)  $f_{\mu, p}^1 F \cong g_{\mu, \hat{p}}^1(q_1^1 F).$

*Proof.* Since the proofs are similar we will treat only the case (i). Due to Proposition 2.5.1 we have to prove the isomorphism:

$$\text{“} \varinjlim_{\mathcal{C}ut_X(p_X)} \text{”} g^{-1} q_1^{-1} \Phi_X(\gamma, \omega; F) \cong \text{“} \varinjlim_{\mathcal{C}ut_{X \times S}(\hat{p}_X)} \text{”} g^{-1} \Phi_{X \times S}(\Gamma, \Omega; q_1^{-1} F).$$

Let  $\hat{j}: \mathcal{C}ut_X(p_X) \rightarrow \mathcal{C}ut_{X \times S}(\hat{p}_X)$  be the functor of filtrant categories defined by  $\hat{j}((\gamma, \omega)) = (\gamma \times \{0\}, \omega \times S)$  for  $(\gamma, \omega) \in \text{Ob}(\mathcal{C}ut_X(p_X))$ . One has the following evident isomorphism:

$$\Phi_{X \times S}(\gamma \times \{0\}, \omega \times S; F \boxtimes A_S) \cong q_1^{-1} \Phi_X(\gamma, \omega; F),$$

and hence the proposition is proven if we show that  $\mathcal{C}ut_X(p_X)$  and  $\mathcal{C}ut_{X \times S}(\hat{p}_X)$  are cofinal with respect to  $g^{-1} \Phi_{X \times S}(\Gamma, \Omega; q_1^{-1} F)$  by  $\hat{j}$ . To this end it is enough to prove that they are cofinal by  $\hat{j}$ . In order to prove that (a) of Definition 1.4.3 holds, for a given  $(\Gamma, \Omega) \in \text{Ob}(\mathcal{C}ut_{X \times S}(\hat{p}_X))$ , we have to find  $(\gamma, \omega) \in \text{Ob}(\mathcal{C}ut_X(p_X))$  and a morphism  $\Phi_{X \times S}(\gamma \times \{0\}, \omega \times S; F \boxtimes A_S) \rightarrow \Phi_{X \times S}(\Gamma, \Omega; F \boxtimes A_S)$  in  $D^b(X \times S; (x_0, s_0))$ . It is not restrictive to assume  $(\Gamma, \Omega)$  being

a refined cutting pair on  $X \times S$  at  $\hat{p}_X$ . Consider a distinguished triangle:  $\Phi_{X \times S}(\Gamma, \Omega; F \boxtimes^L A_S) \rightarrow F \boxtimes^L A_S \rightarrow H \xrightarrow{+1}$ . Choose a refined cutting pair  $(\gamma, \omega)$  on  $X$  at  $p_X$  such that

$$\begin{aligned} & -\{(x, s_0)\} \times (\gamma \times \{0\})^{\circ a} \cap \text{SS}(H) \subset \{0\} \text{ for } x \in \bar{\omega}, \\ & -N_x^*(\omega) \subset (\gamma \times \{0\})^{\circ a} \quad \forall x \in \bar{\omega} \cap \gamma. \end{aligned}$$

Set  $H' = \Phi_{X \times S}(\gamma \times \{0\}, \omega \times S; H)$ . Due to [K-S 4, Proposition 5.4.8] we have the estimate:  $\text{SS}(H_{\omega \times S}) \subset N^*(\omega \times S)^a + \text{SS}(H)$ . Due to (vii) of Definition 2.4.3, we have:  $N_{(x, s_0)}^*(\omega \times S) = N_x^*(\gamma)^a \times \{0\}$  for any  $x \in \partial\gamma \cap \partial\omega$ , and hence we get the estimate:

$$\text{SS}(H_{\omega \times S}) \cap (\pi_X^{-1}(x_0) \times \{s_0\}) \cap (\gamma \times \{0\})^{\circ a} \subset \{0\} \quad \forall x \in \partial\gamma \cap \partial\omega.$$

From the estimate:

$$\text{SS}(H') \cap (\pi_X^{-1}(x_0) \times \{s_0\}) \subset \text{SS}(A_{\gamma \times \{0\}})^a \cap \text{SS}(H_{\omega \times S}),$$

we then get:

$$\text{SS}(H') \cap (\pi_X^{-1}(x_0) \times \{s_0\}) \subset \{0\},$$

and hence  $H'$  is a complex of constant sheaves. Moreover, since the stalks at  $(x_0, s_0)$  of both sides of the morphism:

$$(2.5.2) \quad \begin{aligned} & \Phi_{X \times S}(\gamma \times \{0\}, \omega \times S; \Phi_{X \times S}(\Gamma, \Omega; F \boxtimes^L A_S)) \longrightarrow \\ & \longrightarrow \Phi_{X \times S}(\gamma \times \{0\}, \omega \times S; F \boxtimes^L A_S), \end{aligned}$$

are isomorphic to the stalk of  $F \boxtimes^L A_S$  at  $(x_0, s_0)$ , then  $H' = 0$  at  $(x_0, s_0)$ . This means that (2.5.2) is an isomorphism at  $(x_0, s_0)$  and to conclude it is then enough to compose the inverse of this morphism with the natural morphism  $\Phi_{X \times S}(\gamma \times \{0\}, \omega \times S; \Phi_{X \times S}(\Gamma, \Omega; F \boxtimes^L A_S)) \rightarrow \Phi_{X \times S}(\Gamma, \Omega; F \boxtimes^L A_S)$ .

Part (b) of Definition 1.4.2 is similarly proven. Q.E.D.

### §2.6. Proof of the Theorems

We are now ready to prove the theorems stated in §2.2.

*Proof of Theorem 2.2.4:* Let us decompose  $f$  as:

$$\begin{array}{ccccc} Y & \xrightarrow{j} & Y \times X & \xrightarrow{q} & X \\ \uparrow & & \uparrow & & \uparrow \\ N & \xrightarrow{\simeq} & N & \longrightarrow & M, \end{array}$$

where  $j$  is the graph map,  $q$  denotes the second projection and we identified  $N$  and  $j(N)$ . We will divide the proof in several steps.

The first step will concern the map  $q$  for which we shall use Theorem 2.1.1. Remark that  $f_{N\pi} = (j \times_M \text{id}_{T^*_M X}) \circ q_{N\pi}$ . Then one checks easily that the hypothesis (iii) of Theorem 2.2.4 implies the corresponding hypothesis:

(iii)' *there is an open neighborhood  $W$  of  $(y_0, p_X)$  in  $T^*_N(Y \times X)$  such that  $q_{N\pi}$  is non-characteristic for  $C_{T^*_M X}(\text{SS}(F))$  on  ${}^t q'^{-1}(W)$ .*

Here  $y_0$  is the projection of  $p_Y$  on  $Y$ .

Since  $q$  is smooth the hypotheses of Theorem 2.1.1 are all satisfied. Applying this theorem we get:

$$\mu_N(q^1 F)_{(y_0, p_X)} \xrightarrow{\sim} R^t q'_{N*} q^1_{N\pi} \mu_M(F)_{(y_0, p_X)}.$$

Moreover, since  ${}^t q'_N$  is a closed embedding one has the isomorphisms:

$$\begin{aligned} R^t q'_{N*} q^1_{N\pi} \mu_M(F)_{(y_0, p_X)} &\cong (q^1_{N\pi} \mu_M(F))_{(y_0, p_X)} \\ &\cong \mu_M(F)_{p_X} \otimes \omega_{N/M}. \end{aligned}$$

One then gets:

$$(2.6.1) \quad \mu_N(q^1 F)_{(y_0, p_X)} \xrightarrow{\sim} \mu_M(F)_{p_X} \otimes \omega_{N/M}.$$

As for the second step let us apply Proposition 2.3.3 to the closed embedding  $j$ . We get the isomorphism:

$$(2.6.2) \quad \mu_N(j^1_{\mu, \hat{p}} q^1 F)_{p_Y} \xrightarrow{\sim} \mu_N(q^1 F)_{(y_0, p_X)},$$

where  $\hat{p} = (y_0, {}^t q'((y_0, f(y_0)), p_X))$ . Notice that in  $Y \times_{(Y \times X)} (T^* Y \times T^* X)$ ,  $\hat{p}$  is written as  $\hat{p} = (y_0, (y_0, p_X))$ . Finally remark that

$$(2.6.3) \quad f^1_{\mu, p} F \cong j^1_{\mu, \hat{p}} q^1 F$$

due to Proposition 2.5.4. By combining (2.6.1), (2.6.2) and (2.6.3) the proof is complete. Q.E.D.

*Proof of Theorem 2.2.3:* Decompose the map  $\tilde{f} = f \times f$  as follows:

$$\begin{array}{ccccc} Y \times Y & \xrightarrow{2f} & Y \times X & \xrightarrow{1f} & X \times X \\ \uparrow & & \uparrow & & \uparrow \\ \Delta_Y & \longrightarrow & \Delta & \longrightarrow & \Delta_X, \end{array}$$

where  $2f = \text{id}_Y \times f$ ,  $1f = f \times \text{id}_X$ ,  $\Delta_Y$  is the diagonal of  $Y \times Y$ , and  $\Delta = 2f(\Delta_Y)$ . One has the chain of isomorphisms:

$$\mu \text{ hom}(f_{\mu, p}^{-1} K, f^1_{\mu, p} F)_{(p_Y, p_Y)}$$

$$\begin{aligned}
 &= (\mu_{\Delta_Y} \mathbf{R} \mathcal{H}om(q_2^{-1} f_{\mu,p}^{-1} K, q_1^1 f_{\mu,p}^1 F))_{(p_Y, p_Y)} \\
 &\cong (\mu_{\Delta_Y} {}^2 f_{\mu, (p_Y, p)}^1 \mathbf{R} \mathcal{H}om(q_2^{-1} K, q_1^1 f_{\mu,p}^1 F))_{(p_Y, p_Y)} \\
 &\cong (\mu_{\Delta} \mathbf{R} \mathcal{H}om(q_2^{-1} K, q_1^1 f_{\mu,p}^1 F))_{(p_Y, p_X)} \otimes \omega_{\Delta/\Delta_X} \\
 &\cong (\mu_{\Delta} {}^1 f_{\mu, (p, p_X)}^1 \mathbf{R} \mathcal{H}om(q_2^{-1} K, q_1^1 F))_{(p_Y, p_X)} \otimes \omega_{\Delta/\Delta_X} \\
 &\cong (\mu_{\Delta_X} \mathbf{R} \mathcal{H}om(q_2^{-1} K, q_1^1 F))_{(p_X, p_X)} \otimes \omega_{\Delta_Y/\Delta_X} \\
 &= \mu \text{hom}(K, F)_{p_X} \otimes \omega_{Y/X}.
 \end{aligned}$$

Here  $q_1$  and  $q_2$  denote the projections from  $Y \times Y$ ,  $Y \times X$  or  $X \times X$  to the corresponding factor, the meaning being clear from the context. The second and the forth isomorphisms follow from Proposition 2.5.2 applied to  ${}^2 f$  and  ${}^1 f$  respectively. The third and the fifth one follow from Theorem 2.2.4. Q.E.D.

### §3. The Inverse Image Theorem for Sheaves

In [D'A-S] is given a theorem on the well posedness for the Cauchy problem in a sheaf theoretical frame that allows to recover classical results as those of [H-L-W], [K-S 1] or [Sc].

In the statement of this theorem, among the others, there are some hypotheses concerning microlocal inverse images. When dealing with microlocal images there are two ways that may be taken: to work with ind-objects and pro-objects or else to restrict the attention to a class of complexes with prescribed conditions on the micro-support. The first choice is the one of §2.2, while the second is the one of [D'A-S]. Using the results of section 2, we are then able to state here a sharper result than that of [D'A-S] that will allow us to recover also the result of [K-S 2] on the hyperbolic Cauchy problem.

#### §3.1. Cauchy Problem in Sheaf Theory

Let  $X$  be a manifold. We say that  $K \in \text{Ob}(D^b(X))$  is *weakly cohomologically constructible* (w-c-c for short), if the following conditions are satisfied:

- (i) For any  $x \in X$ , " $\varinjlim_{U \ni x} \mathbf{R}\Gamma(U; F)$ " is represented by  $F_x$ ,
- (ii) For any  $x \in X$ , " $\varinjlim_{U \ni x} \mathbf{R}\Gamma_c(U; F)$ " is represented by  $\mathbf{R}\Gamma_{\{x\}} F$ .

Here  $U$  ranges over an open neighborhood system of  $x$ .

In particular, weakly  $\mathbf{R}$ -constructible complexes on a real analytic manifold are w-c-c (cf. [K-S 3, §8.4]).

Let  $f: Y \rightarrow X$  be a morphism of manifolds. Let  $Z$  be a subset of  $Y$  (e.g.  $Z = \{y\}$  for  $y \in Y$ ).

**Theorem 3.1.1.** *Let  $F$  and  $K$  be objects of  $D^b(X)$ , let  $L$  be an object of  $D^b(Y)$ . Assume to be given a morphism  $\psi: L \rightarrow f^{-1}K$ . Let  $V$  be an open*

neighborhood of  $\hat{\pi}_Y^{-1}(Z)$ . Assume that:

- (i)  $f$  is non-characteristic for  $F$  on  $V$ ,
- (ii)  $f_\pi$  is non-characteristic for  $C(\text{SS}(F), \text{SS}(K))$  on  $f'^{-1}(V)$ .

Assume that for every  $p_Y \in \hat{\pi}_Y^{-1}(Z)$  there exist  $p_1, \dots, p_r$  in  $f'^{-1}(p_Y)$  with:

- (iii)  $f'^{-1}(p_Y) \cap f_\pi^{-1}(\text{SS}(F)) \subset \{p_1, \dots, p_r\}$ ,
- (iv)  $f_{\mu, p_j}^{-1}K$  is representable for  $j = 1, \dots, r$ ,
- (v) the morphism induced by  $\psi$ ,  $L \rightarrow f_{\mu, p_j}^{-1}K$ , is an isomorphism in  $D^b(Y; p_Y)$  for  $j = 1, \dots, r$ .

Finally assume:

- (vi)  $K$  and  $L$  are w-c-c,
- (vii) the morphism induced by  $\psi$ ,  $R\Gamma_{\{y\}}(L \otimes \omega_Y) \rightarrow R\Gamma_{\{x\}}(K \otimes \omega_X)$ , is an isomorphism for every  $y \in Z$ ,  $x = f(y)$ .

Then the natural morphism induced by  $\psi$ :

$$(3.1.1) \quad f^{-1} R \mathcal{H}om(K, F)|_Z \rightarrow R \mathcal{H}om(L, f^{-1}F)|_Z,$$

is an isomorphism.

The only difference between this statement and that of Theorem 2.1.1 of [D'A-S] is the hypothesis (iv) which is actually weakened.

*Proof.* One has a morphism induced by  $\psi$ :

$$Rf'_! f_\pi^{-1} \mu \text{hom}(K, F) \rightarrow \mu \text{hom}(L, f^{-1}F).$$

As in [D'A-S], following an idea of [K-S 1], we consider the commutative diagram:

$$\begin{array}{ccccc} R\pi_{Y_!}A & \longrightarrow & R\pi_{Y_*}A & \longrightarrow & R\hat{\pi}_{Y_*}A \xrightarrow{+1} \\ \downarrow & & \downarrow & & \downarrow \\ R\pi_{Y_!}B & \longrightarrow & R\pi_{Y_*}B & \longrightarrow & R\hat{\pi}_{Y_*}B \xrightarrow{+1} \end{array},$$

where  $A = Rf'_! f_\pi^{-1} \mu \text{hom}(K, F)$  and  $B = \mu \text{hom}(L, f^{-1}F)$ .

Due to (1.2.1), we are easily reduced to prove that the first and the third vertical arrows are isomorphisms on  $Z$ .

The proof of the first vertical arrow being an isomorphism follows from hypotheses (vi) and (vii) and is given in [D'A-S].

Let us consider the third vertical arrow.

We have to prove that the natural morphism:

$$Rf'_! f_\pi^{-1} \mu \text{hom}(K, F)_{p_Y} \rightarrow \mu \text{hom}(L, f^{-1}F)_{p_Y},$$

is an isomorphism for every  $p_Y \in \hat{\pi}_Y(Z)$ . Due to the assumption (iii) we can find refined cutting pairs  $(\gamma_j, \omega_j)$  on  $X$  at  $p_{X,j}$  (where  $p_{X,j} = f_\pi(p_j)$ ) such that:

$$f_\pi^{-1} \text{SS}(\Psi_X(\gamma_j, \omega_j; F)) \cap {}^t f'^{-1}(p_Y) \subset \{p_j\}.$$

Of course,  $\Psi_X(\gamma_j, \omega_j; F)$  is isomorphic to  $F$  in  $D^b(X; p_{X,j})$ , and hence, due to Proposition 2.3.2:

$$f_{\mu,p_j}^! F = f^! \Psi_X(\gamma_j, \omega_j; F).$$

Set  $F_j = \Psi_X(\gamma_j, \omega_j; F)$ . One has the isomorphism  $F \cong \bigoplus_j F_j$  in  $D^b(X; f_\pi {}^t f'^{-1}(p_Y))$ . Since  $f$  is non-characteristic for  $F$  one also has the isomorphism  $f^{-1}F \cong \bigoplus_j f^{-1}F_j$  in  $D^b(Y; p_Y)$  and hence we get the following chain of isomorphisms:

$$\begin{aligned} \mathbf{R}^t f'_! f_\pi^{-1} \mu \text{hom}(K, F)_{p_Y} &\cong (\mathbf{R}^t f'_! f_\pi^{-1} \bigoplus_{j=1}^r \mu \text{hom}(K, F_j))_{p_Y} \\ &\cong \bigoplus_{j=1}^r (f_\pi^{-1} \mu \text{hom}(K, F_j))_{p_j} \\ &\cong \bigoplus_{j=1}^r \mu \text{hom}(K, F_j)_{p_X} \\ &\cong \bigoplus_{j=1}^r \mu \text{hom}(f_{\mu,p_j}^{-1} K, f_{\mu,p_j}^! F_j)_{p_Y} \otimes \omega_Y^{\otimes -1} \\ &\cong \bigoplus_{j=1}^r \mu \text{hom}(f_{\mu,p_j}^{-1} K, f_{\mu,p_j}^{-1} F_j)_{p_Y} \\ &\cong \bigoplus_{j=1}^r \mu \text{hom}(L, f^{-1} F_j)_{p_Y} \\ &\cong \mu \text{hom}(L, f^{-1} F)_{p_Y}. \end{aligned}$$

Here the first isomorphism is due to the fact that  $f$  is non-characteristic for  $F$  and that  $\mu \text{hom}$  is a microlocal functor, the fourth to Theorem 2.2.3 and assumptions (ii), (iv), the fifth to assumption (i) and the sixth to assumption (v).  
 Q.E.D.

#### §4. Applications to the Cauchy Problem

We said that Theorem 3.1.1 generalizes the corresponding result of [D'A-S]. As it was for [D'A-S], we are then able to recover (and even extend to general systems) the classical results of [H-L-W] (cf. also [K-S 1]) on the initial value problem for a linear partial differential operator when the data are ramified along the characteristic hypersurfaces as well as a result of [Sc] that shows how the holomorphic solution for the Cauchy problem can be expressed as a sum of functions which are holomorphic in domains whose boundary is given by the real characteristic hypersurfaces issued from the boundary of a strictly pseudoconvex domain where the data are defined.

Moreover we get the following results.

##### §4.1. Other Applications

a) Our aim here is to recover the results of [K-S 2] concerning hyperbolic systems (cf. [B-S] for the case of a single differential operator).

Let  $N$  and  $M$  be two real analytic manifolds, and let  $f$  be a real analytic

map from  $N$  to  $M$ , which extends to a holomorphic map from  $Y$  to  $X$ . Here  $Y$  and  $X$  are complexifications of  $N$  and  $M$  respectively. Let  $\mathcal{M}$  be a left coherent  $\mathcal{D}_X$ -module.

**Definition 4.1.1.** One says that  $\mathcal{M}$  is hyperbolic with respect to  $f$  if the following conditions are satisfied.

- (i)  $f$  is non-characteristic for  $\mathcal{M}$ ,
- (ii)  ${}^t f'^{-1}(T_N^* Y) \cap f_\pi^{-1}(\text{char}(\mathcal{M})) \subset f_\pi^{-1}(T_M^* X)$ ,
- (iii)  $f_\pi$  is non-characteristic for  $C(T_M^* X, \text{char}(\mathcal{M}))$ .

Recall that the sheaf of Sato's hyperfunctions on  $M$  is defined by  $\mathcal{B}_M := R\Gamma_M(\mathcal{O}_X) \otimes \omega_{M/X}^{\otimes -1}$ . (Here  $\mathcal{O}_X$  denotes the sheaf of holomorphic functions on  $X$ .)

We can now state the well-posedness for the hyperbolic Cauchy problem in the hyperfunction frame (cf. [K-S 2, Corollary 2.1.2]).

**Proposition 4.1.2.** *Let  $\mathcal{M}$  be a hyperbolic system with respect to  $f$ . Then the natural morphism:*

$$f^{-1} R\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathcal{B}_M) \longrightarrow R\mathcal{H}om_{\mathcal{D}_Y}(\mathcal{M}_Y, \mathcal{B}_N),$$

is an isomorphism.

*Proof.* One has the isomorphisms:

$$\begin{aligned} R\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathcal{B}_M) &\cong R\mathcal{H}om(\omega_{M/X}, R\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathcal{O}_X)) \\ R\mathcal{H}om_{\mathcal{D}_Y}(\mathcal{M}_Y, \mathcal{B}_N) &\cong R\mathcal{H}om(\omega_{N/Y}, f^{-1} R\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathcal{O}_X)) \end{aligned}$$

(in the second isomorphism we used the hypothesis (i) of Definition 4.1.1 and the Cauchy-Kowalevski-Kashiwara's theorem). We then have to show that we can apply Theorem 3.1.1, for the choice  $F = R\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathcal{O}_X)$ ,  $K = \omega_{M/X}$ ,  $L = \omega_{N/Y}$ . Hypotheses (i)–(iii) as well as (vi) are easily verified, hypotheses (iv) and (v) follow from the next Lemma 4.1.3, while hypothesis (vii) follows from Lemma 4.1.4. Q.E.D.

**Lemma 4.1.3.** *Let  $p \in N \times_M T_M^* X \setminus T_Y^* X$ , then  $f_{\mu, p}^{-1}(A_M)$  is represented by  $A_N$  in  $D^b(Y; p_Y)$ .*

*Proof.* We can choose a refined cutting pair  $(\gamma, \omega)$  on  $X$  at  $p_X$  so that  $f_\pi^{-1}(\gamma^{\circ a}) \cap T_Y^* X \subset \{0\}$ . The map  $f$  is then non-characteristic for  $\Phi_X(\gamma, \omega; A_M)$  and hence we have:

$$\begin{aligned} \text{SS}(f^{-1} \Phi_X(\gamma, \omega; A_M)) &\subset {}^t f' f_\pi^{-1}(\text{SS}(\Phi_X(\gamma, \omega; A_M))) \\ &\subset {}^t f' f_\pi^{-1}(T_M^* X) \subset T_N^* Y. \end{aligned}$$

Here the last inclusion follows from the fact that  $f$  is induced by a map from  $N$

to  $M$ . Due to [K-S 3, Proposition 6.2.2] we then have the isomorphism at  $p_Y: f^{-1}\Phi_X(\gamma, \omega; A_M) \cong M'_N$  for a complex of  $A$ -modules  $M'$ . Computing the fiber, we get the result. Q.E.D.

**Lemma 4.1.4.** *One has the isomorphism:  $R\Gamma_{\{y\}}(L \otimes \omega_Y) \cong R\Gamma_{\{x\}}(K \otimes \omega_X)$ .*

*Proof.* One has the isomorphisms:  $R\Gamma_{\{y\}}(L \otimes \omega_Y) \cong R\Gamma_{\{y\}}\omega_N \cong A \cong R\Gamma_{\{x\}}\omega_M \cong R\Gamma_{\{x\}}(K \otimes \omega_X)$ . Q.E.D.

*Remark 4.1.5.* It would be possible to treat micro-hyperbolic systems and recover Theorem 2.3.1 of [K-S 2] by exactly the same method. Details are left to the reader.

b) A similar result to that of [Sc] holds in the real case. Let  $N$  be a real analytic hypersurface of an open subset  $M$  of  $\mathbf{R}^n$  and  $\omega$  an open subset of  $N$  with smooth boundary. Let  $P$  be a linear differential operator with analytic coefficients for which  $N$  is hyperbolic. Assume  $P$  to have real characteristics with constant multiplicities transversal to  $N \times_M T^*M$ . Following the same line as above one can get a statement analogous the theorem of [Sc] mentioned at the beginning of §4 in the frame of hyperfunctions.

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