# Operators Characterized by Certain Cauchy-Schwarz Type Inequalities

By

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# Abstract

A Hilbert space operator T satisfying

either (\*\*)  $|(T_{\bar{\varsigma}}, \eta)|^2 \leq (|T|_{\bar{\varsigma}}, \hat{\varsigma})(|T|_{\eta}, \eta)$  for all  $\bar{\varsigma}, \eta \in \mathcal{H}$ , or (\*)  $|(T_{\bar{\varsigma}}, \hat{\varsigma})| \leq (|T|_{\bar{\varsigma}}, \hat{\varsigma})$  for all  $\bar{\varsigma} \in \mathcal{H}$ 

is studied. The condition (\*\*) defines a slightly larger class than the hyponormality, and for compact operators (\*\*) is equivalent to the normality. The condition (\*) is characterized by using an operator whose numerical radius is less than 1, and among other things we show that (\*) and the normality are equivalent for matrices. Moreover, we show that (\*) and the normality are equivalent for trace class operators in Appendix.

## §0. Introduction

 $|(T\xi, \eta)|^2 \leq (|T|\xi, \xi)(|T|\eta, \eta)$  for all  $\xi, \eta \in \mathcal{H}$ ,

The purpose of the present paper is to study operators T satisfying either

(\*\*)

or

(\*) 
$$|(T\xi, \xi)| \leq (|T|\xi, \xi)$$
 for all  $\xi \in \mathcal{A}$ .

Here, T is an operator on a Hilbert space  $\mathcal{H}$  with absolute value  $|T| = (T^*T)^{1/2}$ .

It is obvious that (\*\*) implies (\*). Based on the Cauchy-Schwarz inequality, one can show that (\*\*) is equivalent to the operator inequality  $|T^*| \leq |T|$  (Theorem 1.1). In particular, if T is normal (i.e.,  $TT^*=T^*T$ ), then (\*\*) holds. In the operator theory, several extensions of the notion of the normality are known (see, for example, [8]). One of the most important and most widely studied classes among them is the hyponormality (i.e.,  $TT^* \leq T^*T$ ) (see, for example, [5]). Since the square root function  $t^{1/2}$  ( $t \geq 0$ ) preserves the (natural) order among positive operators ([7]), a hyponormal operator T actually satisfies  $|T^*| \leq |T|$  (and hence (\*\*)). Therefore, we are looking at a slightly (and strictly  $\cdots$  see the end of § 1) larger class than the hyponormality.

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In §1, we will identify the class of operators satisfying (\*\*). We will also show that for compact operators the validity of (\*\*) is equivalent to the normality based on the following result due to T. Ando ([1]): A compact hyponormal operator is automatically normal (see also [4] and [9]).

In §2, we will consider the condition (\*). Firstly we will characterize (\*) by making use of an operator X whose numerical radius w(X) satisfies  $w(X) \leq 1$  (Theorem 2.1). Secondly we will also show that for (finite) matrices (dim  $(\mathcal{H}) < \infty$ ) the condition (\*\*) actually implies the normality (Theorem 2.3). Consequently, (\*), (\*\*), and the normality are all equivalent for matrices.

A beautiful characterization of an operator X with  $w(X) \leq 1$  was obtained by T. Ando ([2]). Based on this characterization and Theorem 2.1, in §3 we will show that the class of operators satisfying (\*) is strictly larger than the class of operators satisfying (\*\*) (when dim  $(\mathcal{H}) = \infty$ ).

Finally, in Appendix, we will extend to the result obtained in §2 to trace class operators. Based on T. Ando's factorization of a numerical contraction X (i.e.,  $w(X) \leq 1$ ) ([2]), we will show that a numerical contraction and its adjoint have the same invariant vectors, and that for trace class operators the validity of (\*) is equivalent to the normality.

The results in Appendix were suggested by the referee, and the author would like to thank the referee for the suggestion.

## §1. Inequality (\*\*)

In this section, we consider the following inequality for an operator  $T \in \mathcal{B}(\mathcal{H})$ :

(\*\*) 
$$|(T\xi, \eta)|^2 \leq (|T|\xi, \xi)(|T|\eta, \eta) \quad \text{for all } \xi, \eta \in \mathcal{H}.$$

**Theorem 1.1.** For an operator  $T \in \mathcal{B}(\mathcal{H})$ , (\*\*) holds for all  $\xi$ ,  $\eta \in \mathcal{H}$  if and only if  $|T^*| \leq |T|$ .

*Proof.* Let T = U | T | be the polar decomposition of T. Then, since  $|T^*| = U | T | U^*$ ,

$$\begin{split} |(T\xi, \eta)|^{2} &= |(U|T|^{1/2}|T|^{1/2}\xi, \eta)|^{2} \\ &= |(|T|^{1/2}\xi, |T|^{1/2}U^{*}\eta)|^{2} \\ &\leq \||T|^{1/2}\xi\|^{2} \cdot \||T|^{1/2}U^{*}\eta\|^{2} \\ &= (|T|\xi, \xi)(U|T|U^{*}\eta, \eta) \\ &= (|T|\xi, \xi)(|T^{*}|\eta, \eta) \end{split}$$

for all  $\xi$ ,  $\eta \in \mathcal{H}$ . Therefore, if  $|T^*| \leq |T|$ , then we get (\*\*). Conversely when (\*\*) is valid, by replacing  $\xi$ ,  $\eta$  by  $U^*\xi$ ,  $\xi$ , we get CAUCHY-SCHWARZ TYPE INEQUALITIES

$$\begin{aligned} |(|T^*|\xi, \xi)|^2 &= |(U|T|U^*\xi, \xi)|^2 \\ &= |(TU^*\xi, \xi)|^2 \\ &\leq (|T|U^*\xi, U^*\xi)(|T|\xi, \xi) \\ &= (U|T|U^*\xi, \xi)(|T|\xi, \xi) \\ &= (|T^*|\xi, \xi)(|T|\xi, \xi). \end{aligned}$$

Hence, we conclude  $|T^*| \leq |T|$ .

From the above theorem, we easily see that the normality of T implies (\*\*). But, in general, the inequality (\*\*) does not imply that T is normal (for example, an isometry). However, when T is compact, we obtain:

**Theorem 1.2.** Let  $T \in \mathcal{B}(\mathcal{H})$  be compact. Then (\*\*) holds for all  $\xi$ ,  $\eta \in \mathcal{H}$  if and only if T is normal.

To prove Theorem 1.2, we need the following fact due to T. Ando ( $[1] \cdots$  see also [4] and [9]):

**Proposition 1.3.** A compact hyponormal operator in  $\mathcal{B}(\mathcal{H})$  is normal.

Proof of Theorem 1.2. Let T=U|T| be the polar decomposition of a compact operator T. We must show that  $|T^*| \leq |T|$  implies the normality of T. By setting  $S=U|T|^{1/2}$ , we observe that

$$SS^* = U |T| |U^* = |T^*|$$
  
$$\leq |T| = |T|^{1/2} U^* U |T|^{1/2} = S^* S,$$

i.e., S is hyponormal. Since T is compact, S is also compact. Thus S is actually normal by Proposition 1.3. On the other hand, since  $S=U|T|^{1/2}$  is the polar decomposition of S, the normality of S implies  $UU^*=U^*U$  and  $U|T|^{1/2}=|T|^{1/2}U$ . Thus, U|T|=|T|U, and hence T is normal. q.e.d

The function  $t^{1/2}$   $(t \ge 0)$  is operator monotone ([7]). Therefore, the hyponormality (i.e.,  $TT^* \le T^*T$ ) implies  $|T^*| \le |T|$ . But  $|T^*| \le |T|$  does not necessarily imply the hyponormality of T. For example, consider the 2×2-matrices

$$r = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$$
 and  $s = \begin{bmatrix} 2 & 1 \\ 1 & 1 \end{bmatrix}$ .

Note that  $r \leq s$  and  $r^2 \leq s^2$ . We set

q. e. d.

$$T = \left[ \begin{array}{ccc} 0 & & \\ r & 0 & \\ & s & 0 \\ & & s & 0 \\ & & & \ddots \end{array} \right].$$

Then we compute

$$TT^{*} = \begin{bmatrix} 0 & & & \\ & r^{2} & & \\ & s^{2} & & \\ & & s^{2} & & \\ & & & \ddots \end{bmatrix} \text{ and } T^{*}T = \begin{bmatrix} r^{2} & & & \\ & s^{2} & & \\ & & s^{2} & & \\ & & & \ddots \end{bmatrix}$$

Therefore  $|T^*| \leq |T|$ , but T is not hyponormal (because of  $r^2 \leq s^2$ ).

# §2. Inequality (\*)

In this section, we consider the following inequality for an operator  $T \in \mathscr{B}(\mathscr{H})$ :

(\*) 
$$|(T\xi,\xi)| \leq (|T|\xi,\xi)$$
 for all  $\xi \in \mathcal{H}$ .

Obviously the inequality (\*\*) implies (\*), and hence the normality of T implies (\*).

For an operator  $T \in \mathcal{B}(\mathcal{H})$ ,  $\sup\{|(T\xi, \xi)| : \xi \in \mathcal{H}, ||\xi|| = 1\}$  is called the *numerical radius* of T and denoted by w(T). Then the following inequality is standard ([6]):

$$(1/2) ||T|| \leq w(T) \leq ||T||$$
.

**Theorem 2.1.** For an operator  $T \in \mathcal{B}(\mathcal{H})$ , (\*) holds for all  $\xi \in \mathcal{H}$  if and only if

$$U |T|^{1/2} = |T|^{1/2} X$$

for some  $X \in \mathcal{B}(\mathcal{H})$  with  $w(X) \leq 1$ , where T = U|T| is the polar decomposition of T.

Related results were obtained in [3].

*Proof.* Suppose that (\*) holds for all  $\xi \in \mathcal{H}$ . For each positive integer  $n \in \mathbb{N}$ , we define  $X_n \in \mathcal{B}(\mathcal{H})$  by

$$X_n = \{ |T| + (1/n)I \}^{-1/2} U \{ |T| + (1/n)I \}^{1/2}.$$

Then, for all  $\xi \in \mathcal{H}$ ,

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$$\begin{split} (X_n\xi,\,\xi) &= (U\,\{|\,T\,|+(1/n)I\}^{1/2}\xi,\,\,\{|\,T\,|+(1/n)I\}^{-1/2}\xi) \\ &= (U\,\{|\,T\,|+(1/n)I\}\,\{|\,T\,|+(1/n)I\}^{-1/2}\xi,\,\,\{|\,T\,|+(1/n)I\}^{-1/2}\xi) \\ &= (T\,\{|\,T\,|+(1/n)I\}^{-1/2}\xi,\,\,\{|\,T\,|+(1/n)I\}^{-1/2}\xi) \\ &+ (1/n)(U\,\{|\,T\,|+(1/n)I\}^{-1/2}\xi,\,\,\{|\,T\,|+(1/n)I\}^{-1/2}\xi) \,. \end{split}$$

Thus, by (\*)  $(X_n\xi, \xi)$  is majorized by

$$(|T| \{ |T| + (1/n)I \}^{-1/2} \xi, \{ |T| + (1/n)I \}^{-1/2} \xi )$$
  
+(1/n)|| {|T| + (1/n)I }^{-1/2} \xi ||^2  
=({|T| + (1/n)I } {|T| + (1/n)I }^{-1/2} \xi, {|T| + (1/n)I }^{-1/2} \xi )  
=( $\xi, \xi$ ).

Therefore, we get

$$w(X_n) \leq 1$$
 and  $||X_n|| \leq 2$ .

Thus, by the Alaoglu theorem, we can construct a subnet  $\{X_j\}_{j\in J}$  converging weakly to some  $X \in \mathcal{B}(\mathcal{H})$  with  $||X|| \leq 2$  from the sequence  $\{X_n\}_{n\in \mathbb{N}}$ . Then, we have  $w(X) \leq 1$  since

$$(X\xi, \xi) = \lim_{i} (X_{i}\xi, \xi) \leq (\xi, \xi).$$

Now, from the definition of  $\{X_j\}_{j\in J}$ , we get

(1) 
$$U\{|T|+(1/F(j))I\}^{1/2} = \{|T|+(1/F(j))I\}^{1/2}X_{F(j)}\}$$

for some mapping  $F: J \rightarrow N$  (in fact,  $X_j = X_{F(j)}$ ). Hence, we conclude

$$U | T |^{1/2} = | T |^{1/2} X$$

by taking weak limits of both sides of (1) (see [7]).

Conversely, assume that  $U|T|^{1/2} = |T|^{1/2}X$  for some  $X \in \mathcal{B}(\mathcal{H})$  with  $w(X) \leq 1$ . Then, for all  $\xi \in \mathcal{H}$ ,

$$\begin{split} |(T\xi, \xi)| &= |(U|T|^{1/2}|T|^{1/2}\xi, \xi)| \\ &= |(|T|^{1/2}X|T|^{1/2}\xi, \xi)| \\ &= |(X|T|^{1/2}\xi, |T|^{1/2}\xi)| \\ &\leq (|T|^{1/2}\xi, |T|^{1/2}\xi) \\ &= (|T|\xi, \xi). \end{split}$$
q. e. d.

From the above theorem, we easily obtain:

**Corollary 2.2.** When  $T \in \mathcal{B}(\mathcal{H})$  satisfies (\*) for all  $\xi \in \mathcal{H}$ , we have

$$|T^*| \leq 4 |T|$$
$$UU^* \leq U^* U.$$

and

For matrices (i.e.,  $\dim(\mathcal{H}) < \infty$ ), we obtain the following characterization:

**Theorem 2.3.** Let  $\mathcal{H}$  be a finite-dimensional Hilbert space. Then for  $T \in \mathcal{B}(\mathcal{H})$ , (\*) holds for all  $\xi \in \mathcal{H}$  if and only if T is normal.

*Proof.* We may assume that  $\mathcal{H} = \mathbb{C}^n$ . Then  $\mathcal{B}(\mathcal{H}) = M_n(\mathbb{C})$  (:={complex  $n \times n$ -matrix}). Thanks to the obvious unitary invariance, we may and do assume that T is of the form



(i.e., T is an upper triangular matrix). We will show that

$$a_{ij} = 0$$
 if  $i < j$ 

by induction on the size n of a matrix. For n=1 this result is trivial. Let

$$T = \begin{bmatrix} a & \beta^* \\ 0 & B \end{bmatrix}$$
 and  $|T| = \begin{bmatrix} x & \zeta^* \\ \zeta & Z \end{bmatrix}$ .

Here,  $a=a_{11}$ , x is a non-negative number,  $\beta$  and  $\zeta$  are (column) vectors in  $\mathbb{C}^n$ , B is an upper triangular matrix in  $M_n(\mathbb{C})$ , and Z is a positive matrix in  $M_n(\mathbb{C})$ . Then, since  $T^*T=|T|^2$ , we have

$$|a|^{2} = x^{2} + \zeta^{*}\zeta$$

by comparing the 1-1 components. Therefore  $|a| \ge x$ . On the other hand, with  $\xi = {}^{t}(1, 0)$  in  $C^{n+1}$ , we have  $|a| \le x$  by the assumption (\*). Hence

$$x = |a|$$
 and  $\zeta = 0$ .

Furthermore, since  $T^*T = |T|^2$ , we have

$$(2) \qquad \qquad \bar{a}\beta^* = 0$$

by comparing the 1-2 components.

We will show  $\beta = 0$  by the contradiction argument. Then, the result follows from the induction hypothesis.

Assume  $\beta \neq 0$ , and hence x = |a| = 0 from (2). We choose and fix a (column) vector  $\xi' (=\beta)$  in  $C^n$  such that

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$$k := \beta^* \xi' (= \beta^* \beta) > 0$$

Let  $\xi = {}^{t}(p, {}^{t}\xi')$  in  $C^{n+1}$  (p>0). Since a=0 and  $\zeta=0$ , straight forward computations show

$$(T\xi, \xi) = k p + (B\xi', \xi')$$

and

 $(|T|\xi, \xi) = (Z\xi', \xi').$ 

Therefore (\*) does not hold for p sufficiently large, a contradiction. q.e.d.

### $\S$ 3. Relation of (\*) and (\*\*)

From Theorem 1.2 and 2.3, for a finite-dimensional Hilbert space  $\mathcal{H}$ , (\*) is equivalent to (\*\*) (and to the normality of T). Recall that (\*\*) implies (\*). But, in general, (\*) does not imply (\*\*) (i.e.,  $|T^*| \leq |T|$  by Theorem 1.1).

We will consider an operator T of the form

$$T = \begin{bmatrix} 0 & & \\ \alpha_1 & 0 & \\ & \alpha_2 & 0 \\ & \ddots & \ddots \end{bmatrix}$$

to explain this phenomenon. Here,  $\alpha_n$ 's are positive numbers to be fixed later. We note that

$$|T^*| = \begin{bmatrix} 0 & & \\ & \alpha_1 & \\ & & \alpha_2 & \\ & & & \ddots \end{bmatrix} \text{ and } |T| = \begin{bmatrix} \alpha_1 & & \\ & \alpha_2 & \\ & & \ddots & \\ & & \ddots & \ddots \end{bmatrix}.$$

Therefore, if the sequence  $\{\alpha_n\}$  is strictly decreasing, then  $|T^*| \leq |T|$  (i.e., (\*\*)) does not hold. On the other hand, Corollary 2.3 indicates that, if  $\{\alpha_n\}$  decreases too rapidly, then (\*) does not hold either. Thus, we are forced to choose a slowly decreasing sequence  $\{\alpha_n\}$  so that T does not satisfy (\*\*) but (\*).

We set

 $e_n^{-1} = 3 \cdot 2^n - 4$   $(n \ge 1).$ 

By using this sequence  $\{e_n\}$  (of positive numbers converging to 0), we set

$$\alpha_1 = 1$$
 and  $\alpha_{n+1} = \alpha_n (1 + e_n)^{-1}$   $(n \ge 1)$ 

Then  $\{\alpha_n\}$  is obviously decreasing, and it remains to show that (\*) holds. For this purpose, we need the following result due to T. Ando ([2]):  $w(Y) \leq 1$  if and only if  $Y = (I+A)^{1/2}B(I-A)^{1/2}$  with  $-I \leq A \leq I$  and  $||B|| \leq 1$  (we actually need just the easier half).

We define the sequence  $\{a_n\} \subset [-1, 1]$  (in fact,  $a_n \in [-1, 0)$ ) by

$$a_n^{-1} = -3 \cdot 2^{n-1} + 2$$
  $(n \ge 1),$ 

and we set

$$X = \begin{bmatrix} \sqrt{1+a_{1}} & & \\ \sqrt{1+a_{2}} & & \\ & \ddots & \ddots \end{bmatrix} U \begin{bmatrix} \sqrt{1-a_{1}} & & \\ & \sqrt{1-a_{2}} & & \\ & \sqrt{1-a_{2}} & & \\ & \ddots & \ddots \end{bmatrix}$$
$$U = \begin{bmatrix} 0 & & & \\ 1 & 0 & & \\ & \ddots & \ddots & \end{bmatrix}$$

In fact, the above U is the partial isometry appearing in the polar decomposition of T. Then, by T. Ando's result, we have  $w(X) \leq 1$ . It is straightforward to see

$$X = \begin{bmatrix} 0 & & \\ \sqrt{1 + e_1} & 0 & \\ & \sqrt{1 + e_2} & 0 & \\ & \ddots & \ddots & \ddots \end{bmatrix},$$

thanks to

$$1+e_n=(1+a_{n+1})(1-a_n).$$

It is also easy to see

$$U |T|^{1/2} = |T|^{1/2} X$$
.

Therefore, we see that T satisfies (\*) by Theorem 2.1.

Since  $\sum_{n=1}^{\infty} e_n$  is convergent, so is

$$\alpha_1 \cdot \alpha_n^{-1} = \prod_{i=1}^{n-1} (1+e_i).$$

Therefore,  $\lim_{n} \alpha_n \ge 0$ , and the above T is not compact.

The author does not know whether the condition (\*) and the normality are different for compact operators (in fact, we can confine ourselves to the case T is compact quasi-nilpotent according to the way used in Theorem 2.3), and this problem seems to deserve further investigation.

# Appendix

Theorem 2.3 is extended to trace class operators, that is, for them the condition (\*) is equivalent to the normality. We show this by the a method different from that of Theorem 2.3.

**Lemma.** Let  $X \in \mathcal{B}(\mathcal{H})$  be a numerical contraction, i.e.,  $w(X) \leq 1$ . Then  $X \xi = \xi$  implies  $X^* \xi = \xi$ .

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with

*Proof.* By T. Ando's factorization of a numerical contraction ([2]), there exist a self-adjoint contraction  $A \in \mathcal{B}(\mathcal{H})$  and a contraction  $B \in \mathcal{B}(\mathcal{H})$  such that

$$X = (I + A)^{1/2} B (I - A)^{1/2}$$

and B is isometric on the range of I-A. Since

$$\begin{split} & (\xi, \, \xi) = (X\xi, \, \xi) = ((I+A)^{1/2}B(I-A)^{1/2}\xi, \, \xi) \\ & \leq \|B(I-A)^{1/2}\xi\| \cdot \|(I+A)^{1/2}\xi\| \\ & \leq (1/2)\{\|(I-A)^{1/2}\xi\|^2 + \|(I+A)^{1/2}\xi\|^2\} \\ & = (\xi, \, \xi), \end{split}$$

we have

(3)  $B(I-A)^{1/2}\xi = c(I+A)^{1/2}\xi$ 

for some scalar c and

(4)  $((I-A)\xi, \xi) = ((I+A)\xi, \xi).$ 

From (4), we have

$$(A\xi, \xi)=0$$
.

Since B is isometric on the range of (1-A), we have from (3)

$$(I-A)\xi = (I-A)^{1/2}B^*B(I-A)^{1/2}\xi$$
$$= c(I-A)^{1/2}B^*(I+A)^{1/2}\xi$$
$$= cX^*\xi$$

by the polarization identity. Therefore

$$(\xi, \xi) = ((I - A)\xi, \xi) = c(X^*\xi, \xi)$$
  
=  $c(\xi, \xi)$ 

and hence c=1 and  $X^*\xi = \xi - A\xi$ . But since

$$\begin{split} \xi = & X \xi = (I + A)^{1/2} B (I - A)^{1/2} \xi \\ = & (I + A) \xi , \end{split}$$

we obtain  $X^*\xi = \xi$ .

**Theorem.** Let  $T \in \mathcal{B}(\mathcal{H})$  be of trace class. Then (\*) holds for all  $\xi \in \mathcal{H}$  if and only if T is normal.

*Proof.* By Theorem 2.1, there exists a numerical contraction  $X \in \mathcal{B}(\mathcal{H})$  such that

$$U \,|\, T \,|^{\, {\scriptscriptstyle 1/2}} {=}\,|\, T \,|^{\, {\scriptscriptstyle 1/2}} X$$
 ,

q.e.d.

where T=U|T| is the polar decomposition of T. The space  $\mathcal{C}_2(\mathcal{H})$  of Hilbert-Schmidt class operators becomes a Hilbert space with the inner product  $\langle K, L \rangle = Tr(L^*K)$  for  $K, L \in \mathcal{C}_2(\mathcal{H})$ . We define the operator  $\Phi$  on  $\mathcal{C}_2(\mathcal{H})$  by  $\Phi(K) = U^*KX$ . Then  $\Phi(|T|^{1/2}) = U^*|T|^{1/2}X = |T|^{1/2}$ .

Now, by T. Ando's factorization, we are led to the representation

$$X = (I+A)^{1/2}B(I-A)^{1/2}$$

with a self-adjoint contraction  $A \in \mathcal{B}(\mathcal{A})$  and a contraction  $B \in \mathcal{B}(\mathcal{A})$ . Then

$$\begin{split} \Phi &= L_{U^*} \circ R_X \\ &= L_{U^*} \circ R_{(I-A)^{1/2}} \circ R_B \circ R_{(I+A)^{1/2}} \\ &= R_{(I-A)^{1/2}} \circ L_{U^*} \circ R_B \circ R_{(I+A)^{1/2}} \\ &= (I-R_A)^{1/2} \circ (L_{U^*} \circ R_B) \circ (I+R_A)^{1/2} \end{split}$$

Here,  $L_D$  and  $R_D$  are the left- and right-multiplication operator on  $\mathcal{C}_2(\mathcal{H})$  induced by  $D \in \mathcal{B}(\mathcal{H})$  respectively. Again by T. Ando's result, we get  $w(\Phi) \leq 1$ . By virtue of the above lemma, we have  $\Phi^*(|T|^{1/2}) = U|T|^{1/2}X^* = |T|^{1/2}$  and hence

$$U^* |T|^{1/2} = |T|^{1/2} X^*$$
.

Therefore, we get  $\operatorname{Re}(U)|T|^{1/2} = |T|^{1/2} \operatorname{Re}(X)$  and  $\operatorname{Im}(U)|T|^{1/2} = |T|^{1/2} \operatorname{Im}(X)$ .

Let  $\{E_{\operatorname{Re}(U)}(S): S \text{ is a Borel subset of } R\}$  and  $\{E_{\operatorname{Re}(X)}(S): S \text{ is a Borel subset of } R\}$  be the spectral projections of  $\operatorname{Re}(U)$  and  $\operatorname{Re}(X)$  respectively. Then, since  $\operatorname{Re}(U)|T|^{1/2} = |T|^{1/2}\operatorname{Re}(X)$ , we have  $E_{\operatorname{Re}(U)}(S)|T|^{1/2} = |T|^{1/2}E_{\operatorname{Re}(X)}(S)$ . This implies

$$E_{\operatorname{Re}(U)}(S) | T | E_{\operatorname{Re}(U)}(S) \leq | T |.$$

But  $E_{\operatorname{Re}(U)}(S)|T|E_{\operatorname{Re}(U)}(S) \leq |T|$  is possible only when  $E_{\operatorname{Re}(U)}(S)$  commutes with |T|. Therefore, we are led to the commutativity of  $\operatorname{Re}(U)$  and |T|. In a similar fashion,  $\operatorname{Im}(U)$  commutes with |T|. Hence, we obtain

$$|U||T| = |T||U|,$$

i.e., T is quasi-normal. Since T is of trace class, T is normal by Proposition 1.3. q.e d.

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