

# Irreducible Modules of Quantized Enveloping Algebras at Roots of 1

By

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## Introduction

Let  $A$  be an associative algebra over a field. An interesting problem is to understand the structure of irreducible modules of  $A$  (of finite dimensions). More or less, this is equivalent to understand the structure of maximal left ideals of  $A$  (of finite codimensions). For the latter, it would be helpful if we know the generators of the maximal left ideals.

In Lie theory, there are some infinite dimensional algebras associated to a semisimple Lie algebra  $\mathfrak{g}$  over  $\mathbb{C}$ . We shall be only concerned with the following four of them.

- (i) The universal enveloping algebra  $\mathcal{U}$  of  $\mathfrak{g}$ .
- (ii) The hyperalgebra  $\mathcal{U}_\mathfrak{f} := \mathcal{U}_\mathbb{Z} \otimes_{\mathbb{Z}} \mathfrak{f}$ , where  $\mathcal{U}_\mathbb{Z}$  is the Kostant  $\mathbb{Z}$ -form of  $\mathcal{U}$  and  $\mathfrak{f}$  is an algebraically closed field of prime characteristic.
- (iii) The quantized enveloping algebra  $U$  (over  $\mathbb{Q}(v)$ ,  $v$  is an indeterminate) of  $\mathfrak{g}$ .
- (iv) The quantized hyperalgebra  $U_\xi := U_{\mathbb{Q}[v, v^{-1}]} \otimes_{\mathbb{Q}[v, v^{-1}]} \mathbb{Q}(\xi)$ , where  $\xi \in \mathbb{C}^*$  and  $U_{\mathbb{Q}[v, v^{-1}]}$  is a  $\mathbb{Q}[v, v^{-1}]$ -form of  $U$  [L1, Section 4.1, p.243], and  $\mathbb{Q}(\xi)$  is regarded as a  $\mathbb{Q}[v, v^{-1}]$ -algebra through the  $\mathbb{Q}$ -algebra homomorphism  $\mathbb{Q}[v, v^{-1}] \rightarrow \mathbb{Q}(\xi)$ ,  $v \rightarrow \xi$ .

We are mainly interested in finite dimensional irreducible modules of these algebras, or equivalently, in maximal left ideals of the algebras of finite codimensions. The generators of maximal left ideals of  $\mathcal{U}$  with finite codimensions are known more than forty years ago [HC, Lemma 15, p.42]. Thanks to the works [L1, Theorem 4.12, p.247] and [APW, Corollary 7.7, p.40], a similar result holds for maximal left ideals of  $U$  and of  $U_\xi$  with finite codimensions provided that  $\xi$  is not a root of 1 or  $\xi^2 = 1$ . We will review these results in Section 1.2.

The purpose of the paper is to find out the counterparts of the above

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results for the hyperalgebra  $\mathfrak{U}_t$  and for the quantized hyperalgebra  $U_\xi$  when  $\xi$  is a root of 1 of order  $\geq 3$ . The main results might lead to a way to compute the characters of finite dimensional irreducible modules of  $\mathfrak{U}_t$  and of  $U_\xi$ .

The basic idea is simple. When  $\xi$  is a root of 1 of order  $\geq 3$ , the algebra  $U_\xi$  has a Frobenius kernel  $\mathfrak{u}_\xi$  [L4, Theorem 8.3, p.107]. The Frobenius kernel  $\mathfrak{u}_\xi$  is a  $\mathbb{Q}(\xi)$ -algebra of finite dimension. Moreover, the algebra  $\mathfrak{u}_\xi$  has a triangular decomposition  $\mathfrak{u}_\xi = \mathfrak{u}_\xi^- \mathfrak{u}_\xi^0 \mathfrak{u}_\xi^+$ . Each Verma module of  $\mathfrak{u}_\xi$  has a unique irreducible submodule, and each irreducible  $\mathfrak{u}_\xi$ -module  $L$  is an irreducible submodule of certain Verma module  $Z$  of  $\mathfrak{u}_\xi$ . As  $\mathfrak{u}_\xi^-$ -modules,  $Z$  is isomorphic to  $\mathfrak{u}_\xi^-$ . Therefore there exists an element  $x$  in  $\mathfrak{u}_\xi^-$  such that as  $\mathfrak{u}_\xi^-$ -modules  $L$  is isomorphic to  $\mathfrak{u}_\xi^- x$ . It turns out that the element  $x$  is a monomial of the generators of  $U_\xi^-$  (the negative part of  $U_\xi$ ). So the generators of the maximal left ideal of  $\mathfrak{u}_\xi$  corresponding to  $L$  can be described explicitly (Theorem 5.3). But  $L$  is a restriction to  $\mathfrak{u}_\xi$  of certain irreducible  $U_\xi$ -module [L2, Prop. 7.1 (c), p.70]. Using tensor product theorem [L2, Theorem 7.4, p.73], we can give the generators of maximal left ideals of  $U_\xi$  of finite codimensions (Theorem 5.4). The same idea is valid to the hyperalgebra  $\mathfrak{U}_t$ .

The paper is organized as follows. In Section 1 we recall some basic definitions and review some results in [APW, HC, L1-L4]. In Section 2 we consider the Frobenius kernel  $\mathfrak{u}_\xi$ . In Section 3 we consider the category of finite dimensional  $U_\xi$ -modules of type 1. In Section 4 we prove that certain monomials in  $U_\xi^-$  are actually in  $\mathfrak{u}_\xi^-$ . For a technical reason we require that every simple component of  $\mathfrak{g}$  is not of type  $G_2$ . In Section 5 we give the main theorems of the paper. In Section 6 we consider the hyperalgebra  $\mathfrak{U}_t$ . In Section 7 we give some questions.

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### §1. Quantized Hyperalgebra

1.1. Let  $\mathfrak{g}$  be a semisimple Lie algebra over  $\mathbb{C}$  with rank  $n$  and let  $(a_{ij})$  be the Cartan matrix associated to  $\mathfrak{g}$ . We can find integers  $d_i$  in  $\{1, 2, 3\}$  such

that  $(d_i a_{ij})$  is a symmetric matrix. Assume that the sum of all  $d_i$  is as small as possible.

Let  $U$  be the quantized enveloping algebra of  $\mathfrak{g}$  over  $\mathbf{Q}(v)$  with parameter  $v$  ( $v$  an indeterminate). By definition,  $U$  is an associative  $\mathbf{Q}(v)$ -algebra and has generators  $E_i, F_i, K_i, K_i^{-1}$  ( $i = 1, 2, \dots, n$ ), which satisfy certain relations (see for example, [L4, 1.1, p.90]). The algebra  $U$  is in fact a Hopf algebra, the coproduct  $\Delta$ , antipode  $S$ , counit  $\varepsilon$  are defined as follows:

$$\begin{aligned} \Delta(E_i) &= E_i \otimes 1 + K_i \otimes E_i, & \Delta(F_i) &= F_i \otimes K_i^{-1} + 1 \otimes F_i, & \Delta(K_i) &= K_i \otimes K_i, \\ S(E_i) &= -K_i^{-1} E_i, & S(F_i) &= -F_i K_i, & S(K_i) &= K_i^{-1}, \\ \varepsilon(E_i) &= \varepsilon(F_i) = 0, & \varepsilon(K_i) &= 1. \end{aligned}$$

We need some notations to introduce quantized hyperalgebras and for later uses. Given an integer  $a$  and positive integers  $b, d$ , set

$$\begin{aligned} [a]_d &:= \frac{v^{ad} - v^{-ad}}{v^d - v^{-d}}, & [b]_d^! &:= \prod_{h=1}^b \frac{v^{hd} - v^{-hd}}{v^d - v^{-d}}, & [0]_d^! &:= 1, & [-b]_d^! &= (-1)^b [b]_d^!; \\ \begin{bmatrix} a \\ b \end{bmatrix}_d &:= \prod_{h=1}^b \frac{v^{(a-h+1)d} - v^{-(a-h+1)d}}{v^{hd} - v^{-hd}}, & \begin{bmatrix} a \\ 0 \end{bmatrix}_d &:= 1, & \begin{bmatrix} a \\ -b \end{bmatrix}_d &:= 0. \end{aligned}$$

Note that  $\begin{bmatrix} a \\ b \end{bmatrix}_d$  is in  $\mathbf{Q}[v, v^{-1}]$ , we shall denote  $\begin{bmatrix} a \\ b \end{bmatrix}_{d, \xi}$  the evaluation of  $\begin{bmatrix} a \\ b \end{bmatrix}_d$  at  $\xi$  for any  $\xi$  in  $\mathbf{C}^* \cup \{v\}$ . Of course, we have  $\begin{bmatrix} a \\ b \end{bmatrix}_{d, v} = \begin{bmatrix} a \\ b \end{bmatrix}_d$ .

The quantized hyperalgebra  $U_\xi$  ( $\xi \in \mathbf{C}^*$ ) is defined as follows. Let  $U_{\mathbf{Q}[v, v^{-1}]}$  be the  $\mathbf{Q}[v, v^{-1}]$ -subalgebra of  $U$  generated by the elements  $E_i^{(a)} := E_i^a / [a]_{d_i}^!$ ,  $F_i^{(a)} := F_i^a / [a]_{d_i}^!$ ,  $K_i, K_i^{-1}$  for  $i = 1, 2, \dots, n, a \geq 0$ . Regard  $\mathbf{Q}(\xi)$  as a  $\mathbf{Q}[v, v^{-1}]$ -algebra through the  $\mathbf{Q}$ -algebra homomorphism  $\mathbf{Q}[v, v^{-1}] \rightarrow \mathbf{Q}(\xi)$ ,  $v \rightarrow \xi$ . Define  $U_\xi := U_{\mathbf{Q}[v, v^{-1}]} \otimes_{\mathbf{Q}[v, v^{-1}]} \mathbf{Q}(\xi)$  and call  $U_\xi$  a quantized hyperalgebra (associated to  $(a_{ij})$  with parameter  $\xi$ ). For convenience, set  $U_v := U$ . The algebra  $U_\xi$  inherits a Hopf algebra structure from that of  $U_{\mathbf{Q}[v, v^{-1}]}$ , denote again by  $\Delta$  the coproduct, by  $S$  the antipode and by  $\varepsilon$  the counit. The tensor product of two  $U_\xi$ -modules then has a natural  $U_\xi$ -module structure by means of the coproduct, and the antipode can be used to define the dual module of a  $U_\xi$ -module.

For an integer  $c$  and a positive integer  $a$  we set

$$\begin{bmatrix} K_i, c \\ a \end{bmatrix} := \prod_{h=1}^a \frac{K_i v^{(c-h+1)d_i} - K_i^{-1} v^{-(c-h+1)d_i}}{v^{hd_i} - v^{-hd_i}} \quad \text{and} \quad \begin{bmatrix} K_i, c \\ 0 \end{bmatrix} := 1.$$

We have  $\begin{bmatrix} K_i, c \\ a \end{bmatrix} \in U_{\mathbf{Q}[v, v^{-1}]}$  [L1, Lemma 4.4, p.244]. For simplicity, the

images in  $U_\xi$  of  $E_i^{(a)}, F_i^{(a)}, K_i, K_i^{-1}, \begin{bmatrix} K_i & c \\ & a \end{bmatrix}$ , etc. will be denoted by the same notations respectively. For convenience, we set  $E_i^{(a)} := 0, F_i^{(a)} := 0$  for all  $i$  and  $a < 0$ .

The algebra  $U_\xi$  has a triangular decomposition. Let  $U_\xi^+$  (resp.  $U_\xi^-; U_\xi^0$ ) be the subalgebra of  $U_\xi$  generated by the elements  $E_i^{(a)}$  (resp.  $F_i^{(a)}; K_i, K_i^{-1}, \begin{bmatrix} K_i & c \\ & a \end{bmatrix}, c \in \mathbf{Z}$ ) for  $i = 1, 2, \dots, n, a \geq 0$ . The multiplication in  $U_\xi$  defines a  $\mathbf{Q}(\xi)$ -space isomorphism between  $U_\xi^- \otimes U_\xi^0 \otimes U_\xi^+$  and  $U_\xi$ .

**1.2.** Given  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n) \in \mathbf{Z}_+^n, \sigma = (\sigma_1, \sigma_2, \dots, \sigma_n) \in \{\pm 1\}^n$ , let  $I_{\lambda, \sigma}^+$  be the left ideal of  $U_\xi$  generated by the elements  $E_i^{(a)}, K_i - \sigma_i \xi^{\lambda_i d_i}, \begin{bmatrix} K_i & c \\ & a \end{bmatrix} - \sigma_i^a \begin{bmatrix} \lambda_i + c \\ & a \end{bmatrix}_{d_i, \xi}$  for  $i = 1, 2, \dots, n, a \geq 1, c \in \mathbf{Z}$ , and let  $I_\lambda^-$  be the left ideal of  $U_\xi^-$  generated by the elements  $F_i^{(a)}$  for all  $i$  and  $a_i \geq \lambda_i + 1$ . Then let  $I_{\lambda, \sigma}$  be the left ideal of  $U_\xi$  generated by all elements in  $I_{\lambda, \sigma}^+ \cup I_\lambda^-$ . Then

(i) The  $U_\xi$ -module  $V_\xi(\lambda, \sigma) := U_\xi / I_{\lambda, \sigma}$  is of finite dimension and has a unique irreducible quotient module, denoted by  $L_\xi(\lambda, \sigma)$ . The dimension of  $V_\xi(\lambda, \sigma)$  is given by Weyl's character formula. [L1, Theorem 4.12, p.247]. We shall denote  $v_{\lambda, \sigma}$  the image in  $V_\xi(\lambda, \sigma)$  of the neutral element  $1 \in U_\xi$ , and denote  $\bar{v}_{\lambda, \sigma}$  the image in  $L_\xi(\lambda, \sigma)$  of  $v_{\lambda, \sigma}$ .

Sometimes we call  $V_\xi(\lambda, \sigma)$  a Weyl module of  $U_\xi$ .

(ii) The map  $(\lambda, \sigma) \rightarrow L_\xi(\lambda, \sigma)$  defines a bijection between the set  $\mathbf{Z}_+^n \times \{\pm 1\}^n$  and the set of isomorphism classes of irreducible  $U_\xi$ -modules of finite dimensions. [L1, Prop. 2.6 and Prop. 3.2, p.241] and [L2, Prop. 6.4, p.69].

(iii) One has

$$V_\xi(\lambda, \sigma) \simeq V_\xi(\lambda, \mathbf{1}) \otimes \mathbf{Q}(\xi)_\sigma, \quad L_\xi(\lambda, \sigma) \simeq L_\xi(\lambda, \mathbf{1}) \otimes \mathbf{Q}(\xi)_\sigma,$$

where  $\mathbf{1} = (1, 1, \dots, 1) \in \{\pm 1\}^n$  and  $\mathbf{Q}(\xi)_\sigma$  is the one dimensional  $U_\xi$ -module on which all  $E_i^{(a)}, F_i^{(a)}$  ( $i = 1, 2, \dots, n, a \geq 1$ ) act by scalar zero and  $K_i, \begin{bmatrix} K_i & c \\ & a \end{bmatrix}$  ( $i = 1, 2, \dots, n, c \in \mathbf{Z}, a \in \mathbf{N}$ ) act by scalar  $\sigma_i, \sigma_i^a \begin{bmatrix} c \\ & a \end{bmatrix}_{d_i, \xi}$  respectively. [APW, 1.6, pp.6–7].

(iv) Provided that  $\xi$  is not a root of 1 or  $\xi^2 = 1$ , then  $V_\xi(\lambda, \sigma)$  is irreducible, i.e.  $V_\xi(\lambda, \sigma) \simeq L_\xi(\lambda, \sigma)$ . And every finite dimensional  $U_\xi$ -module is completely reducible. [L4, 7.2, pp.105–106; APW, Corollary 7.7, p.40].

Therefore, the theory of finite dimensional  $U_\xi$ -modules is well understood when  $\xi$  is not a root of 1 or  $\xi^2 = 1$ . When  $\xi$  is a root of 1 of order  $\geq 3$  we do not know much about the irreducible module  $L_\xi(\lambda, \sigma)$ . In Section 5

we shall describe the generators of the maximal left ideal  $J_{\lambda, \sigma}$  of  $U_{\xi}$  corresponding to  $L_{\xi}(\lambda, \sigma)$ . To have a look what the generators are we introduce some monomials of  $F_i^{(a)}$  ( $i = 1, 2, \dots, n, a \geq 0$ ). These monomials play a central role in the paper.

**1.3.** Set  $\alpha_i = (a_{1i}, a_{2i}, \dots, a_{ni}) \in \mathbf{Z}^n$ . For every  $\mu = (\mu_1, \mu_2, \dots, \mu_n) \in \mathbf{Z}^n$ , we also write  $\langle \mu, \alpha_i^{\vee} \rangle$  for  $\mu_i$ . Define  $s_i: \mathbf{Z}^n \rightarrow \mathbf{Z}^n$  by  $s_i \mu = \mu - \langle \mu, \alpha_i^{\vee} \rangle \alpha_i$ . The reflections  $s_1, s_2, \dots, s_n$  generate the Weyl group  $W$  of the Cartan matrix  $(a_{ij})$ .

Let  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n) \in \mathbf{Z}_+^n$ . Assume that  $s_{i_1} s_{i_2} \dots s_{i_k}$  is a reduced expression of an element  $w$  in  $W$ . Set  $\lambda_{i,1} = \lambda_{i_1}, \lambda_{i,2} = \langle s_{i_1} \lambda, \alpha_{i_2}^{\vee} \rangle, \dots, \lambda_{i,k} = \langle s_{i_{k-1}} \dots s_{i_1} \lambda, \alpha_{i_k}^{\vee} \rangle$ , where  $\mathbf{i} = (i_1, i_2, \dots, i_k)$ . Define

$$x_{\lambda, w, \mathbf{i}} := F_{i_1}^{(\lambda_{i,1})} F_{i_2}^{(\lambda_{i,2})} \dots F_{i_k}^{(\lambda_{i,k})}, \quad \text{and} \quad x'_{\lambda, w^{-1}, \mathbf{i}} := F_{i_k}^{(\lambda_{i,k})} F_{i_{k-1}}^{(\lambda_{i,k-1})} \dots F_{i_1}^{(\lambda_{i,1})}.$$

Depending on the contexts, the monomials will be regarded as elements in  $U_{\xi}$  ( $\xi \in \mathbf{C}^*$ ) or elements in  $U$ . Note that in the universal enveloping algebra  $\mathcal{U}$  of  $\mathfrak{g}$  similar elements are defined by Verma [V, Theorem 4, p.162].

**Lemma 1.4.** *The elements  $x_{\lambda, w, \mathbf{i}}$  and  $x'_{\lambda, w^{-1}, \mathbf{i}}$  are independent of the choice of the reduced expression of  $w$ , only depend on  $\lambda$  and  $w$ . We shall denote them  $x_{\lambda, w}$  and  $x'_{\lambda, w^{-1}}$  respectively. When  $w$  is the longest element  $w_0$  of  $W$ , we simply write  $x_{\lambda}$  and  $x'_{\lambda}$  for  $x_{\lambda, w}$  and  $x'_{\lambda, w}$  respectively.*

*Proof.* Use the quantum Verma identity [L7, Prop. 39.3.7, p.313].

**1.5.** From now on  $\xi$  will be a root of 1 with order  $l \geq 3$ . Let  $l_i$  be the order of  $\xi^{2d_i}$  and set  $\kappa := (l_1 - 1, l_2 - 1, \dots, l_n - 1)$ . We say that an element  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n) \in \mathbf{Z}_+^n$  is  $\mathbf{l}$ -restricted if  $\lambda_1 \leq l_1 - 1, \dots, \lambda_n \leq l_n - 1$ . For each  $\mu = (\mu_1, \mu_2, \dots, \mu_n) \in \mathbf{Z}^n$  we set  $\mathbf{l}\mu := (l_1 \mu_1, l_2 \mu_2, \dots, l_n \mu_n)$ .

Let  $\lambda, \mu \in \mathbf{Z}_+^n, \sigma \in \{\pm 1\}^n$  and assume that  $\lambda$  is  $\mathbf{l}$ -restricted. Let  $J_{\mathbf{l}\mu + \lambda, \sigma}$  be the left ideal of  $U_{\xi}$  generated by all elements in  $I_{\mathbf{l}\mu + \lambda, \sigma}$  and elements  $F$  in  $U_{\xi}^-$  such that  $Fx_{\kappa - \lambda} = 0$ , one main result of the paper says that  $U_{\xi}/J_{\mathbf{l}\mu + \lambda, \sigma} \simeq L_{\xi}(\mathbf{l}\mu + \lambda, \sigma)$  (Theorem 5.4) provided that every indecomposable component of the Cartan matrix  $(a_{ij})$  is not of type  $G_2$ . One key step to reach the result is the assertion that  $x'_{\kappa + w_0 \lambda} (= x_{\kappa - \lambda})$  belongs to the Frobenius kernel (Theorem 4.2).

**1.6. Remark.** Some results in [L1-L4] are stated and proved in full generality in [L7]. The other results in [L1-L4] can be stated and proved in full generality along the same ways in [L1-L4]. Therefore the author feels free to quote the results in [L1-L4] in full generality forms.

## §2. Frobenius Kernel

**2.1.** Recall that  $\xi$  is a root of 1 with order  $l \geq 3$  and  $l_i$  is the order of  $\xi^{2d_i}$ . Let  $R^+$  be the set of positive roots of the root system  $R := W\{\alpha_1, \alpha_2, \dots,$

$\alpha_n\} \subset \mathbf{Z}^n$ . Set  $l_\alpha := l_i, d_\alpha := d_i$  if  $\alpha = w(\alpha_i)$  for some  $w$  in  $W$ . For each positive root  $\alpha$  in  $R^+$ , let  $E_\alpha, F_\alpha$  be the root vectors defined in [L4, Theorem 6.6 (iii), p.104]. For a non-negative integer  $a$ , we also write  $E_\alpha^{(a)}, F_\alpha^{(a)}$  for  $E_\alpha^a/[a]_{d_\alpha}^1, F_\alpha^a/[a]_{d_\alpha}^1$  respectively.

Let  $U_{\xi,l}$  be the subalgebra of  $U_\xi$  generated by the elements  $E_i^{(a_i)}, F_i^{(a_i)}, K_i^{\pm l_i}, \left[ \begin{smallmatrix} K_i, c \\ al_i \end{smallmatrix} \right]$  for  $i = 1, 2, \dots, n, c \in \mathbf{Z}, a \in \mathbf{N}$ . The positive part  $U_{\xi,l}^+$ , the negative part  $U_{\xi,l}^-$  and the zero part  $U_{\xi,l}^0$  of  $U_{\xi,l}$  are defined in an obvious way. Let  $\mathbf{u}_\xi$  be the subalgebra of  $U_\xi$  generated by the elements  $E_\alpha, F_\alpha, K_i, K_i^{-1}$  for  $\alpha \in R_l^+ := \{\alpha \in R^+ \mid l_\alpha \geq 2\}$  and  $i = 1, 2, \dots, n$ . The algebra is called the Frobenius kernel of  $U_\xi$ . The Frobenius kernel  $\mathbf{u}_\xi$  is a Hopf algebra and  $\dim_{\mathbf{Q}(\xi)} \mathbf{u}_\xi = 2^n \prod_{i=1}^n l_i \prod_{\alpha \in R^+} l_\alpha^2$  [L4, 8.11, p.111, and Theorem 8.3, p.107]. We define the positive part  $\mathbf{u}_\xi^+$ , the negative part  $\mathbf{u}_\xi^-$  and the zero part  $\mathbf{u}_\xi^0$  of  $\mathbf{u}_\xi$  in an obvious manner.

**2.2.** The following are some properties concerned with the algebras  $U_{\xi,l}$  and  $\mathbf{u}_\xi$ , which are due to Lusztig.

(i) Assume that  $(a_{ij})$  is indecomposable. Then there exists a unique  $\mathbf{Q}(\xi)$ -algebra homomorphism  $U_{\xi,l} \rightarrow U_{\xi^*}^* \otimes_{\mathbf{Q}} \mathbf{Q}(\xi)$  such that  $E_i^{(a_i)} \rightarrow E_i^{(a_i)}, F_i^{(a_i)} \rightarrow F_i^{(a_i)}, K_i^{\pm l_i} \rightarrow K_i^{\pm 1}, \left[ \begin{smallmatrix} K_i, 0 \\ al_i \end{smallmatrix} \right] \rightarrow \left[ \begin{smallmatrix} K_i, 0 \\ a \end{smallmatrix} \right]$ , and  $E_i^{(b_i)} \rightarrow 0, F_i^{(b_i)} \rightarrow 0, \left[ \begin{smallmatrix} K_i, 0 \\ b_i \end{smallmatrix} \right] \rightarrow 0$ , for  $i = 1, 2, \dots, n, a \in \mathbf{N}, b_i \in \mathbf{N} - l_i \mathbf{N}$ , where  $U_{\xi^*}^* = U_{\xi^*}$  when  $l_1 = l_2 = \dots = l_n$  and  $U_{\xi^*}^*$  is the quantized hyperalgebra associated to the transpose matrix of  $(a_{ij})$  with parameter  $\xi^*$  when  $l_k = d_m l_m$  for some  $k, m$  with  $1 = d_k < d_m \in \{2, 3\}$ , and  $\xi^* = \xi^{l_1^2}$  when  $l_1 = l_2 = \dots = l_n$  and  $\xi^* = \xi^{d_m l_m^2}$  when  $l_k = d_m l_m$  for some  $k, m$  with  $1 = d_k < d_m \in \{2, 3\}$ . (Note that  $l_i = l_j$  if  $\alpha_i, \alpha_j$  are conjugate under  $W$ . So  $\xi^*$  does not depend on the choice of  $m$  and is well defined.) [L7, Theorems 35.1.9, p.270; 35.5.2, p.279; L4, Theorem 8.10, p.110].

We always have  $\xi^* = \pm 1$ . Actually, if  $l_1 = l_2 = \dots = l_n$ , choose  $i$  such that  $d_i = 1$ , then  $\xi^* = \xi^{l_i^2} = (\pm 1)^{l_i} = \pm 1$ , if  $l_k = d_m l_m$  for some  $k, m$  with  $1 = d_k < d_m \in \{2, 3\}$ , then  $\xi^* = \xi^{d_m l_m^2} = (\pm 1)^{l_m} = \pm 1$ .

(ii) Let  $\{x_a\}$  be a  $\mathbf{Q}(\xi)$ -basis of  $\mathbf{u}_\xi^-$  and  $\{y_b\}$  be a  $\mathbf{Q}(\xi)$ -basis of  $U_{\xi,l}^-$ , then  $\{x_a y_b\}$  is a  $\mathbf{Q}(\xi)$ -basis of  $U_{\xi,l}^-$ , so is  $\{y_b x_a\}$  [L4, Lemma 8.8, p.109; L7, Theorem 35.4.2 (b), p.276, 35.5.2, p.279].

(iii) The elements  $\prod_{\alpha \in R_l^+} F_\alpha^{(a_\alpha)} \prod_{i=1}^n K_i^{b_i} \prod_{\alpha \in R_l^+} E_\alpha^{(a'_\alpha)}$  ( $0 \leq a_\alpha, a'_\alpha \leq l_\alpha - 1, 0 \leq b_i \leq 2l_i - 1$ ) form a  $\mathbf{Q}(\xi)$ -basis of  $\mathbf{u}_\xi$ ; the elements  $\prod_{\alpha \in R_l^+} F_\alpha^{(a_\alpha)}$  ( $0 \leq a_\alpha \leq l_\alpha - 1$ ) form a  $\mathbf{Q}(\xi)$ -basis of  $\mathbf{u}_\xi^-$ ; the elements  $\prod_{i=1}^n K_i^{b_i}$  ( $0 \leq b_i \leq 2l_i - 1$ ) form a  $\mathbf{Q}(\xi)$ -basis of  $\mathbf{u}_\xi^0$ ; the elements  $\prod_{\alpha \in R_l^+} E_\alpha^{(a'_\alpha)}$  ( $0 \leq a'_\alpha \leq l_\alpha - 1$ ) form a  $\mathbf{Q}(\xi)$ -basis of  $\mathbf{u}_\xi^+$ . [L4, Theorem 8.3, p.107].

(iv) Let  $\lambda, \mu \in \mathbf{Z}_+^n$  and  $\sigma \in \{\pm 1\}^n$ . Assume that  $\lambda$  is  $l$ -restricted. Then [L2, Theorem 7.4, p.73]

$$L_\xi(\mathbf{1}\mu + \lambda, \sigma) \simeq L_\xi(\mathbf{1}\mu, \sigma) \otimes L_\xi(\lambda, \mathbf{1}) \simeq L_\xi(\mathbf{1}\mu, \mathbf{1}) \otimes L_\xi(\lambda, \sigma).$$

(v) Assume that  $(a_{ij})$  is indecomposable. The restriction to  $U_{\xi, l}$  of  $L_\xi(\mathbf{1}\mu, \sigma)$  is an irreducible  $U_{\xi, l}$ -module, and  $E_\alpha L_\xi(\mathbf{1}\mu, \sigma) = F_\alpha(L_\xi(\mathbf{1}\mu, \sigma)) = 0$  for all  $\alpha \in R_l^+$ . By this and (i) we see there is a  $U_{\xi^*} \otimes_{\mathbf{Q}} \mathbf{Q}(\xi)$ -module structure on  $L_\xi(\mathbf{1}\mu, \sigma)$  which is compatible with the homomorphism in (i). Moreover,  $L_\xi(\mathbf{1}\mu, \sigma)$  is an irreducible  $U_{\xi^*} \otimes_{\mathbf{Q}} \mathbf{Q}(\xi)$ -module corresponding to  $(\mu, \sigma')$  for a suitable  $\sigma' \in \{\pm 1\}^n$ . So dimension of  $L_\xi(\mathbf{1}\mu, \sigma)$  can be computed through Weyl's character formula. [L7, Prop. 35.3.2, p.273, Corollary 35.3.4, p.275; L2, Prop. 7.5 (b), p.74].

(vi) As a  $\mathfrak{u}_\xi$ -module,  $L_\xi(\lambda, \sigma)$  is irreducible if  $\lambda$  is  $l$ -restricted. The map  $(\lambda, \sigma) \rightarrow L_\xi(\lambda, \sigma)$  defines a bijections between the set  $\mathbf{Z}_{+,1}^n \times \{\pm 1\}^n$  and the set of isomorphism classes of irreducible  $\mathfrak{u}_\xi$ -modules, where  $\mathbf{Z}_{+,1}^n$  is the set of all  $l$ -restricted elements in  $\mathbf{Z}_+^n$  [L3, Prop. 5.11, p.291].

According to (i-vi), the algebra  $\mathfrak{u}_\xi$  is a key to understand  $U_\xi$ . For convenience, we consider the subalgebra  $\tilde{\mathfrak{u}}_\xi$  of  $U_\xi$  generated by all elements in  $\mathfrak{u}_\xi \cup U_\xi^0$ . One has  $\tilde{\mathfrak{u}}_\xi = \mathfrak{u}_\xi^- U_\xi^0 \mathfrak{u}_\xi^+$ . By (vi) we see

(vii) Assume that  $(\lambda, \sigma) \in \mathbf{Z}_{+,1}^n \times \{\pm 1\}^n$ , then the restriction to  $\tilde{\mathfrak{u}}_\xi$  of the irreducible  $U_\xi$ -module  $L_\xi(\lambda, \sigma)$  is an irreducible  $\tilde{\mathfrak{u}}_\xi$ -module, denoted by  $\tilde{L}_\xi(\lambda, \sigma)$ .

2.3. To go further we need some notions. Let  $\gamma \in \mathbf{Z}R$ . An element  $x$  in

$U_\xi$  is said to have degree  $\gamma$  if  $K_i x K_i^{-1} = \xi^{-\langle \gamma, \alpha_i^\vee \rangle d_i} x$  and  $\begin{bmatrix} K_i, c \\ a \end{bmatrix} x = x \begin{bmatrix} K_i, c - \langle \gamma, \alpha_i^\vee \rangle \\ a \end{bmatrix}$  for  $i = 1, 2, \dots, n, c \in \mathbf{Z}, a \in \mathbf{N}$ . We also call  $x$  a

homogenous element (of degree  $\gamma$ ) and write  $\deg(x) = \gamma$ .

Let  $U'_\xi$  be a subalgebra of  $U_\xi$  containing  $U_\xi^0$  and let  $M$  be a  $U'_\xi$ -module. Let  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n) \in \mathbf{Z}^n, \sigma = (\sigma_1, \sigma_2, \dots, \sigma_n) \in \{\pm 1\}^n$ . An element  $m \in M$  is called to have weight  $(\lambda, \sigma)$  if

$$K_i m = \sigma_i \xi^{\lambda_i d_i} m, \quad \begin{bmatrix} K_i, c \\ a \end{bmatrix} m = \sigma_i^a \begin{bmatrix} \lambda_i + c \\ a \end{bmatrix}_{d_i, \xi} m$$

for  $i = 1, 2, \dots, n, c \in \mathbf{Z}, a \in \mathbf{N}$ . Denote by  $M_{\lambda, \sigma}$  the set of all elements in  $M$  of weight  $(\lambda, \sigma)$ . We call  $(\lambda, \sigma)$  a weight of  $M$  if  $M_{\lambda, \sigma}$  is not zero. If an element  $x$  in  $U'_\xi$  has degree  $\gamma$ , then obviously  $xM_{\lambda, \sigma} \subseteq M_{\lambda - \gamma, \sigma}$ .

As usual, for  $(\lambda, \sigma), (\mu, \tau) \in \mathbf{Z}^n \times \{\pm 1\}^n$ , we write  $(\lambda, \sigma) \leq (\mu, \tau)$  as well as  $\lambda \leq \mu$  if  $\mu - \lambda \in \mathbf{N}R^+$  and  $\sigma = \tau$ . This defines a partial order in  $\mathbf{Z}^n \times \{\pm 1\}^n$  as well as in  $\mathbf{Z}^n$ .

2.4. Now we return to the algebra  $\tilde{\mathfrak{u}}_\xi$ . Assume that  $\mu = (\mu_1, \mu_2, \dots, \mu_n) \in \mathbf{Z}^n$  and  $\tau = (\tau_1, \tau_2, \dots, \tau_n) \in \{\pm 1\}^n$ . Let  $\tilde{I}_{\mu, \tau}^+$  be the left ideal of  $\tilde{\mathfrak{u}}_\xi$  generated by

the elements  $E_\alpha, K_i - \tau_i \xi^{\mu_i d_i}, \begin{bmatrix} K_i, c \\ a \end{bmatrix} - \tau_i^a \begin{bmatrix} \mu_i + c \\ a \end{bmatrix}_{d_i, \xi}$  for  $\alpha \in R_l^+$  and  $i = 1, 2, \dots, n, c \in \mathbf{Z}, a \in \mathbf{N}$ . Denote by  $\tilde{Z}_\xi(\mu, \tau)$  the Verma module  $\tilde{\mathfrak{u}}_\xi / \tilde{I}_{\mu, \tau}^+$  of  $\tilde{\mathfrak{u}}_\xi$  with highest weight  $(\mu, \tau)$ . We shall write  $\tilde{I}_{\mu, \tau}$  for the image in  $\tilde{Z}_\xi(\mu, \tau)$  of the neutral element  $1 \in \tilde{\mathfrak{u}}_\xi$ . By 2.2 (iii),  $\tilde{Z}_\xi(\mu, \tau)$  has dimension  $\prod_{\alpha \in R^+} l_\alpha$ . We denote by  $\mathbf{Q}(\xi)_{l_{\mu, \tau}}$  the one dimensional  $\tilde{\mathfrak{u}}_\xi$ -module on which all  $E_\alpha, F_\alpha$  ( $\alpha \in R_l^+$ ) act by scalar zero and  $K_i, \begin{bmatrix} K_i, c \\ a \end{bmatrix}$  ( $i = 1, 2, \dots, n, c \in \mathbf{Z}, a \in \mathbf{N}$ ) act by scalar  $\tau_i, \tau_i^a \begin{bmatrix} l_i \mu_i + c \\ a \end{bmatrix}_{d_i, \xi}$ , respectively.

Let  $(\lambda, \sigma), (\mu, \tau) \in \mathbf{Z}^n \times \{\pm 1\}^n$ . Then (cf. [X1, Prop. 2.4, Prop. 2.9])

- (i) The Verma module  $\tilde{Z}_\xi(\lambda, \sigma)$  has a unique irreducible quotient module, denoted by  $\tilde{L}_\xi(\lambda, \sigma)$  (this notation would cause no confusion with that in 2.2 (vii) since both are isomorphic when  $\lambda \in \mathbf{Z}_+^n$ .) Moreover  $\tilde{L}_\xi(l\mu, \tau) \simeq \mathbf{Q}(\xi)_{l_{\mu, \tau}}$ .
- (ii) We have

$$\tilde{Z}_\xi(\lambda + l\mu, \sigma\tau) \simeq \tilde{Z}_\xi(\lambda, \sigma) \otimes \tilde{L}_\xi(l\mu, \tau) \simeq \tilde{Z}_\xi(\lambda, \tau) \otimes \tilde{L}_\xi(l\mu, \sigma),$$

where the meaning of  $\sigma\tau \in \{\pm 1\}^n$  is obvious.

- (iii) We have

$$\tilde{L}_\xi(\lambda + l\mu, \sigma\tau) \simeq \tilde{L}_\xi(\lambda, \sigma) \otimes \tilde{L}_\xi(l\mu, \tau) \simeq \tilde{L}_\xi(\lambda, \tau) \otimes \tilde{L}_\xi(l\mu, \sigma).$$

- (iv) Let  $L$  be an irreducible  $\tilde{\mathfrak{u}}_\xi$ -module such that  $L$  is the direct sum of its weight spaces, then  $L$  is isomorphic to certain  $\tilde{L}_\xi(\lambda, \sigma)$ . Two irreducible  $\tilde{\mathfrak{u}}_\xi$ -modules  $\tilde{L}_\xi(\lambda, \sigma)$  and  $\tilde{L}_\xi(\mu, \tau)$  are isomorphic if and only if  $(\lambda, \sigma) = (\mu, \tau)$ .

- (v) *Remark.* There is a natural bijection between the set of isomorphism classes of irreducible  $\tilde{\mathfrak{u}}_\xi$ -modules and the set of isomorphism classes of irreducible  $U_\xi^0$ -modules (or equivalently, the set of maximal ideals of  $U_\xi^0$  since  $U_\xi^0$  is commutative). Note that the subalgebra  $U_{\xi, l}^0$  of  $U_\xi^0$  generated by  $\begin{bmatrix} K_i, 0 \\ l_i \end{bmatrix}$  ( $i = 1, 2, \dots, n$ ) is isomorphic to a polynomial ring over  $\mathbf{Q}(\xi)$  in  $n$  variables, and  $U_\xi^0$  is generated by all elements in  $U_{\xi, l}^0 \cup \mathfrak{u}_\xi^0$ .

*Proof.* Let  $P$  be an irreducible  $U_\xi^0$ -module. We regard  $P$  as a  $\tilde{\mathfrak{u}}_\xi^b = \mathfrak{u}_\xi^+ U_\xi^0$ -module by defining  $E_\alpha P = 0$  for all  $\alpha \in R_l^+$ . Let  $\tilde{Z}(P) = \tilde{\mathfrak{u}}_\xi \otimes_{\tilde{\mathfrak{u}}_\xi^b} P$ . Then  $\tilde{Z}(P)$  is a  $\tilde{\mathfrak{u}}_\xi$ -module. Denote again by  $P$  the image in  $\tilde{Z}(P)$  of  $P$ . Then  $\tilde{Z}(P) = \mathfrak{u}_\xi^- P$ . Let  $M$  be a submodule of  $\tilde{Z}(P)$ . If  $M \cap P \neq 0$ , then  $P \subseteq M$  since  $P$  is an irreducible  $U_\xi^0$ -module. Thus  $\tilde{Z}(P) = \mathfrak{u}_\xi^- P \subseteq M$ . Therefore, if  $M$  is a proper submodule of  $\tilde{Z}(P)$ , then  $M \cap P = 0$ . Thus  $\tilde{Z}(P)$  has a unique maximal submodule, which is the sum of all proper submodules of  $\tilde{Z}(P)$ . Denote by  $M(P)$  the maximal submodule of  $\tilde{Z}(P)$  and denote by  $\tilde{L}(P)$  the irreducible  $\tilde{\mathfrak{u}}_\xi$ -module  $\tilde{Z}(P)/M(P)$ .

Let  $P, Q$  be irreducible  $U_\xi^0$ -modules. It is easy to see that  $\tilde{L}(P) \simeq \tilde{L}(Q)$  if and only if  $P \simeq Q$ .

Now let  $\tilde{L}$  be an irreducible  $\tilde{\mathfrak{u}}_\xi$ -module. Let  $P = \{x \in \tilde{L} \mid E_\alpha x = 0 \text{ for all } \alpha \in R_l^+\}$ . Let  $y$  be a nonzero element in  $\tilde{L}$ . Then  $\mathfrak{u}_\xi^+ y$  is of finite dimension and we can find a nonzero element  $x$  in  $\mathfrak{u}_\xi^+ y$  such that  $E_\alpha x = 0$  for all  $\alpha \in R_l^+$ . Therefore  $P$  is a nonzero space. Obviously  $P$  is stable under  $U_\xi^0$ . Let  $P'$  be a proper submodule of  $P$ . Then  $\tilde{\mathfrak{u}}_\xi P' \cap P = P'$ . So  $\tilde{\mathfrak{u}}_\xi P'$  is a proper submodule of  $\tilde{L}$ . But  $\tilde{L}$  is an irreducible  $\tilde{\mathfrak{u}}_\xi$ -module, so  $\tilde{\mathfrak{u}}_\xi P' = 0$ . In particular,  $P' = 0$ . Hence  $P$  is an irreducible  $U_\xi^0$ -module. We have a natural  $\tilde{\mathfrak{u}}_\xi$ -homomorphism  $\tilde{Z}(P) = \tilde{\mathfrak{u}}_\xi \otimes_{\tilde{\mathfrak{u}}_\xi^0} P \rightarrow \tilde{L}$ ,  $u \otimes x \rightarrow ux$ , which gives rise to an isomorphism between  $\tilde{L}(P)$  and  $\tilde{L}$ .

The assertion is proved.

We need the following result to see that  $\tilde{Z}_\xi(\lambda, \sigma)$  has a unique irreducible submodule.

**Lemma 2.5.** *Given a nonzero element  $y$  in  $\mathfrak{u}_\xi^-$  we can find an element  $x$  in  $\mathfrak{u}_\xi^-$  such that  $xy = F_\kappa$ , where  $F_\kappa = \prod_{\alpha \in R_l^+} F_\alpha^{(l_\alpha - 1)}$ , the product takes the order opposite to that in [L4, 4.3, pp.93–94].*

*Proof.* Set  $r := |R^+|$ . Let  $\beta_{r-q+1}$  be the  $q$ -th root in the total order on  $R^+$  arranged in [loc. cit]. Then  $\beta_1, \beta_2, \dots, \beta_r$  give rise to a total order on  $R^+$  opposite to that in [loc. cit]. By 2.2 (iii),

$$y = \sum_{\substack{0 \leq a_m \leq l_{\beta_m} - 1 \\ 1 \leq m \leq r}} A(a_1, a_2, \dots, a_r) F_{\beta_1}^{(a_1)} F_{\beta_2}^{(a_2)} \dots F_{\beta_r}^{(a_r)}, \quad A(a_1, a_2, \dots, a_r) \in \mathbf{Q}(\xi).$$

Let  $(b_1, b_2, \dots, b_r)$  be the minimal element in  $\{(a_1, a_2, \dots, a_r) \in \mathbf{Z}_+^r \mid A(a_1, a_2, \dots, a_r) \neq 0\}$ . (Here we use the lexicographical order in  $\mathbf{Z}_+^r$  such that  $(0, 0, \dots, 0, 1) < (0, 0, \dots, 1, 0) < \dots < (0, 1, \dots, 0, 0) < (1, 0, \dots, 0, 0)$ .) Set  $c_1 = l_{\beta_1} - 1 - b_1, \dots, c_r = l_{\beta_r} - 1 - b_r$  and let  $x' = F_{\beta_r}^{(c_r)} \dots F_{\beta_2}^{(c_2)} F_{\beta_1}^{(c_1)}$ . Using commutation relations in [L4, 5.3–4, pp.95–97] and [L4, Theorem 6.6 (iii), p.104], we see  $x'y = A(b_1, b_2, \dots, b_r) x' F_{\beta_1}^{(b_1)} F_{\beta_2}^{(b_2)} \dots F_{\beta_r}^{(b_r)} = \theta F_\kappa$  for some nonzero number  $\theta$  in  $\mathbf{Q}(\xi)$ . Then the element  $x := \theta^{-1} x'$  satisfies our requirements.

**Proposition 2.6.** *Let  $(\lambda, \sigma) \in \mathbf{Z}^n \times \{\pm 1\}^n$ , then*

- (i) *The Verma module  $\tilde{Z}_\xi(\lambda, \sigma)$  has a unique irreducible submodule.*
- (ii) *Assume that  $\lambda$  is 1-restricted. Then the unique irreducible submodule of  $\tilde{Z}_\xi(2\kappa + w_0\lambda, \sigma)$  is isomorphic to  $\tilde{L}_\xi(\lambda, \sigma)$ , where  $w_0$  is the longest element of  $W$ .*

*Proof.* (i) By Lemma 2.5, each submodule of  $\tilde{Z}_\xi(\lambda, \sigma)$  contains the element  $F_\kappa \tilde{I}_{\lambda, \sigma}$ . So  $\tilde{Z}_\xi(\lambda, \sigma)$  has a unique irreducible submodule which is generated by  $F_\kappa \tilde{I}_{\lambda, \sigma}$ .

(ii) Since  $F_\kappa$  has degree  $2\kappa$ , so  $F_\kappa \tilde{I}_{2\kappa + w_0\lambda, \sigma}$  has weight  $(w_0\lambda, \sigma)$ .

According to the symmetries [L7, Prop. 5.2.7, p.45], the lowest weight of  $L_\xi(\lambda, \sigma)$  is  $(w_0\lambda, \sigma)$ . According to 2.2 (vii), 2.4 (iii-iv) and the proof of (i) we see that the unique irreducible submodule of  $\tilde{Z}_\xi(2\kappa + w_0\lambda, \sigma)$  is isomorphic to  $\tilde{L}_\xi(\lambda, \sigma)$ .

**Corollary 2.7.** *Assume that  $\lambda$  is  $\mathfrak{l}$ -restricted. Then*

- (i) *There exists a nonzero element  $y_\lambda$  in  $\mathfrak{u}_\xi^-$  (unique up to a scalar) such that  $y_\lambda \tilde{\mathbb{1}}_{2\kappa + w_0\lambda, \sigma}$  has weight  $(\lambda, \sigma)$  and  $E_\alpha y_\lambda \tilde{\mathbb{1}}_{2\kappa + w_0\lambda, \sigma} = 0$  for all  $\alpha \in R_l^+$ . Necessarily  $y_\lambda \tilde{\mathbb{1}}_{2\kappa + w_0\lambda, \sigma}$  generates the unique irreducible submodule of  $\tilde{Z}_\xi(2\kappa + w_0\lambda, \sigma)$ .*
- (ii) *There exists a nonzero element  $y'_\lambda$  in  $\mathfrak{u}_\xi^-$  (unique up to a scalar) such that  $y'_\lambda \tilde{\mathbb{1}}_{\kappa + \lambda, \sigma}$  has weight  $(\kappa + w_0\lambda, \sigma)$  and  $E_\alpha y'_\lambda \tilde{\mathbb{1}}_{\kappa + \lambda, \sigma} = 0$  for all  $\alpha \in R_l^+$ . Necessarily  $y'_\lambda \tilde{\mathbb{1}}_{\kappa + \lambda, \sigma}$  generates the unique irreducible submodule of  $\tilde{Z}_\xi(\kappa + \lambda, \sigma)$ .*

We shall see that  $y'_\lambda = \eta x'_\lambda$  for some non-zero number  $\eta$  in  $\mathbb{Q}(\xi)$  provided that every indecomposable component of the Cartan matrix  $(a_{ij})$  is not of type  $G_2$  (Theorem 4.2 (ii), see 1.4 for the definition of  $x'_\lambda$ ).

**Proposition 2.8.** *Let  $\sigma \in \{\pm 1\}^n$ . Then*

- (i) *The Verma module  $\tilde{Z}_\xi(\kappa, \sigma)$  is an irreducible  $\tilde{\mathfrak{u}}_\xi$ -module, i.e.  $\tilde{Z}_\xi(\kappa, \sigma) \simeq \tilde{L}_\xi(\kappa, \sigma)$ .*
- (ii) *As a  $\tilde{\mathfrak{u}}_\xi$ -module,  $V_\xi(\kappa, \sigma)$  is isomorphic to  $\tilde{Z}_\xi(\kappa, \sigma)$ . In particular,  $V_\xi(\kappa, \sigma)$  is an irreducible  $U_\xi$ -module (cf. [L7, Prop. 35.4.4, p.277].)*
- (iii) *For every  $\mu \in \mathbb{Z}_+^n$ , the module  $V_\xi(\mathbb{1}\mu + \kappa, \sigma)$  is an irreducible  $U_\xi$ -module.*

*Proof.* (i) Note that  $w_0\kappa = -\kappa$ . By Prop. 2.6 (ii), the unique irreducible submodule of  $\tilde{Z}_\xi(\kappa, \sigma)$  is isomorphic to  $\tilde{L}_\xi(\kappa, \sigma)$ . But  $\tilde{Z}_\xi(\kappa, \sigma)_{\kappa, \sigma}$  is of one dimension, so the irreducible submodule of  $\tilde{Z}_\xi(\kappa, \sigma)$  is generated by  $\tilde{\mathbb{1}}_{\kappa, \sigma}$ . Hence  $\tilde{Z}_\xi(\kappa, \sigma)$  is irreducible and isomorphic to  $\tilde{L}_\xi(\kappa, \sigma)$ .

(ii) By the definitions of  $\tilde{Z}_\xi(\kappa, \sigma)$  and of  $V_\xi(\kappa, \sigma)$ , we have a natural  $\tilde{\mathfrak{u}}_\xi$ -module homomorphism  $\tilde{Z}_\xi(\kappa, \sigma) \rightarrow V_\xi(\kappa, \sigma)$ ,  $\tilde{\mathbb{1}}_{\kappa, \sigma} \rightarrow v_{\kappa, \sigma}$ . The homomorphism is surjective according to 2.2 (ii) and to the definition of  $V_\xi(\kappa, \sigma)$ . Weyl's character formula tells us that the dimension of  $V_\xi(\kappa, \sigma)$  is  $\prod_{\alpha \in R^+} l_\alpha$ . So the homomorphism is a  $\tilde{\mathfrak{u}}_\xi$ -module isomorphism. This proves (ii).

(iii) By 1.2 (i),  $L_\xi(\mathbb{1}\mu + \kappa, \sigma)$  is the unique irreducible quotient module of  $V_\xi(\mathbb{1}\mu + \kappa, \sigma)$ . Using (ii) and 2.2 (iv) we see that  $L_\xi(\mathbb{1}\mu + \kappa, \sigma)$  is isomorphic to  $L_\xi(\mathbb{1}\mu, \sigma) \otimes V_\xi(\kappa, \mathbb{1})$ . Combining 2.2 (v), 1.2 (i) and 1.2 (iv), we know that the dimensions of  $V_\xi(\mathbb{1}\mu + \kappa, \sigma)$  and  $L_\xi(\mathbb{1}\mu, \sigma) \otimes V_\xi(\kappa, \mathbb{1})$  can be calculated by means of Weyl's character formula, they are equal. Hence  $V_\xi(\mathbb{1}\mu + \kappa, \sigma)$  is an irreducible  $U_\xi$ -module.

The proposition is proved.

The following result will not be used in the sequel of the paper.

**Theorem 2.9.** (i) *The algebra  $\mathfrak{u}_\xi$  is symmetric.*

(ii) *Let  $\mathfrak{k}$  be the two sided ideal of  $\mathfrak{u}_\xi$  generated by  $K_1^{l_1} - 1, K_2^{l_2} - 1, \dots, K_n^{l_n} - 1$ . Then the algebra  $\mathfrak{u}'_\xi := \mathfrak{u}_\xi/\mathfrak{k}$  is symmetric provided that all  $K_i^{l_i}$  are central in  $\mathfrak{u}_\xi$ .*

*Remark.* The theorem was proved in [X1, Theorem 3.5] with some restrictions on  $l$ . Since [X1] is unpublished and Theorem 3.5 in [X1] was quoted in some papers, it might be good to represent here a version without restrictions on  $l$ . The proof is the same as in [X1].

*Proof.* (i) We need to construct a  $\mathbf{Q}(\xi)$ -bilinear form  $\varphi$  on  $\mathfrak{u}_\xi$  such that

- (a)  $\varphi$  is associative, that is,  $\varphi(xy, z) = \varphi(x, yz)$  for any  $x, y, z \in \mathfrak{u}_\xi$ ;
- (b)  $\varphi$  is no-degenerate, i.e. if  $\varphi(x, x') = 0$  (resp.  $\varphi(x', x) = 0$ ) for all  $x' \in \mathfrak{u}_\xi$ , then  $x = 0$ ;
- (c)  $\varphi$  is symmetric, that is,  $\varphi(x, y) = \varphi(y, x)$ .

Let  $\beta_1, \beta_2, \dots, \beta_r$  be as in the proof of Lemma 2.5. Set

$$\mathbf{Z}'_{+,1} := \{(a_1, a_2, \dots, a_r) \in \mathbf{Z}^r \mid 0 \leq a_1 \leq l_{\beta_1} - 1, \dots, 0 \leq a_r \leq l_{\beta_r} - 1\},$$

$$\mathbf{Z}^n_{+,21} := \{(h_1, h_2, \dots, h_n) \in \mathbf{Z}^n \mid 0 \leq h_1 \leq 2l_1 - 1, \dots, 0 \leq h_n \leq 2l_n - 1\}.$$

For  $A = (a_1, a_2, \dots, a_r) \in \mathbf{Z}'_{+,1}$  and  $H = (h_1, h_2, \dots, h_r) \in \mathbf{Z}^n_{+,21}$ , we shall write  $F_A, F'_A; E_A, E'_A; K_H$  for  $F_{\beta_1}^{(a_1)} F_{\beta_2}^{(a_2)} \dots F_{\beta_r}^{(a_r)}, F_{\beta_r}^{(a_r)} \dots F_{\beta_2}^{(a_2)} F_{\beta_1}^{(a_1)}; E_{\beta_r}^{(a_r)} E_{\beta_{r-1}}^{(a_{r-1})} \dots E_{\beta_1}^{(a_1)}, E_{\beta_1}^{(a_1)} \dots E_{\beta_{r-1}}^{(a_{r-1})} E_{\beta_r}^{(a_r)}; K_1^{h_1} K_2^{h_2} \dots K_n^{h_n}$ , respectively. Let  $\varphi_0$  be the  $\mathbf{Q}(\xi)$ -linear function of  $\mathfrak{u}_\xi$  defined by

$$\varphi_0(F_A K_H E_{A'}) = \begin{cases} 1, & \text{if } F_A K_H E_{A'} = F_i E_i, \\ 0, & \text{otherwise,} \end{cases}$$

where  $\iota = (l_{\beta_1} - 1, l_{\beta_2} - 1, \dots, l_{\beta_r} - 1) \in \mathbf{Z}'_{+,1}$ . Set  $\varphi(x, y) := \varphi_0(xy)$ . Obviously  $\varphi$  is an associative  $\mathbf{Q}(\xi)$ -bilinear form on  $\mathfrak{u}_\xi$ . We now show that  $\varphi$  is non-degenerate on  $\mathfrak{u}_\xi$ .

Let

$$x = \sum_{\substack{A, A' \in \mathbf{Z}'_{+,1} \\ H \in \mathbf{Z}^n_{+,21}}} \theta(A, H, A') F_A K_H E_{A'} \neq 0, \quad \theta(A, H, A') \in \mathbf{Q}(\xi).$$

Let  $B = (b_1, b_2, \dots, b_r)$  be the minimal element in  $\{A \in \mathbf{Z}'_{+,1} \mid \theta(A, H, A') \neq 0 \text{ for some } H, A'\}$  (for the lexicographical order on  $\mathbf{Z}'_+$  defined in the proof of Lemma 2.5), and let  $B' = (b'_1, b'_2, \dots, b'_r)$  be the minimal element in  $\{A' \in \mathbf{Z}'_{+,1} \mid \theta(B, H, A') \neq 0 \text{ for some } H\}$  (for the lexicographical order on  $\mathbf{Z}'_+$  opposite to that defined in the proof of Lemma 2.5). Set

$$y_1 = F_{\beta_r}^{(c_r)} \dots F_{\beta_2}^{(c_2)} F_{\beta_1}^{(c_1)}, \quad y_2 = E_{\beta_1}^{(c'_1)} \dots E_{\beta_{r-1}}^{(c'_{r-1})} E_{\beta_r}^{(c'_r)},$$

where  $c_1 = l_{\beta_1} - 1 - b_1, \dots, c_r = l_{\beta_r} - 1 - b_r$ , and  $c'_1 = l_{\beta_1} - 1 - b'_1, \dots, c'_r = l_{\beta_r} - 1 - b'_r$ . By the proof of Lemma 2.5 we have

$$y_2 y_1 x = \theta_1 y_2 \sum_{\substack{A' \in \mathbb{Z}_{+,1}^n \\ H \in \mathbb{Z}_{+,21}^n}} \theta(B, H, A') F_i K_H E_{A'}$$

for some nonzero number  $\theta_1 \in \mathbb{Q}(\xi)$ .

Recall that in  $U$  we have

$$E_i F_j - F_j E_i = \delta_{ij} \frac{K_i - K_i^{-1}}{v^{d_i} - v^{-d_i}}.$$

This implies the following

(\*) Let  $\alpha, \beta \in R^+$ . Then in  $U$  we have

$$E_\alpha F_\beta = F_\beta E_\alpha + \sum \sigma(F, K, E) F K E, \quad \sigma(F, K, E) \in \mathbb{Q}[v, v^{-1}],$$

where in the sum  $K$  runs through a finite subset of  $U^0 \cap U_{\mathbb{Q}[v, v^{-1}]}$ ,  $F$  (resp.  $E$ ) runs through a finite subset of homogenous elements of  $U^- \cap U_{\mathbb{Q}[v, v^{-1}]}$  (resp.  $U^+ \cap U_{\mathbb{Q}[v, v^{-1}]}$ ), and  $\sigma(F, K, E) = 0$  if  $\deg(F) \geq \beta$  or  $\deg(E) \leq -\alpha$ .

From (\*) and 2.2 (iii) we get

(★) Let  $\alpha, \beta \in R_+^+$ . Then in  $\mathfrak{u}_\xi$  we have

$$E_\alpha F_\beta = F_\beta E_\alpha + \sum_{\substack{A, A' \in \mathbb{Z}_{+,1}^n \\ H \in \mathbb{Z}_{+,21}^n}} \sigma(A, H, A') F_A K_H E_{A'}, \quad \sigma(A, H, A') \in \mathbb{Q}(\xi),$$

where  $\sigma(A, H, A') = 0$  if  $\deg(F_A) \geq \beta$  or  $\deg(E_{A'}) \leq -\alpha$ .

Repeatedly using (★) we get

(†) Let  $B, C \in \mathbb{Z}_{+,1}^n$  and let  $y = E_B$  or  $E'_B$ ,  $z = F_C$  or  $F'_C$ . Then in  $\mathfrak{u}_\xi$  we have

$$yz = zy + \sum_{\substack{A, A' \in \mathbb{Z}_{+,1}^n \\ H \in \mathbb{Z}_{+,21}^n}} \sigma(A, H, A') F_A K_H E_{A'}, \quad \sigma(A, H, A') \in \mathbb{Q}(\xi),$$

where  $\sigma(A, H, A') = 0$  if  $\deg(F_A) \geq \deg(z)$  or  $\deg(E_{A'}) \leq -\deg(y)$ .

By (†) we get

$$y_2 F_i = F_i y_2 + \sum_{\substack{A, A' \in \mathbb{Z}_{+,1}^n \\ A \neq i \\ H \in \mathbb{Z}_{+,21}^n}} \eta(A, H, A') F_A K_H E_{A'}, \quad \eta(A, H, A') \in \mathbb{Q}(\xi).$$

As in the proof of Lemma 2.5 we see  $\theta(B, H, A') y_2 E_{A'} \neq 0$  implies that  $A' = B'$  and  $y_2 E_{B'} = \theta_2 E_i$  for some nonzero number  $\theta_2 \in \mathbb{Q}(\xi)$ . Thus

$$y_2 y_1 x = \theta_1 \theta_2 \sum_{H \in \mathbb{Z}_{+,21}^n} \theta(B, H, B') F_i K_H E_i + \sum_{\substack{A, A' \in \mathbb{Z}_{+,1}^n \\ A \neq i \\ H \in \mathbb{Z}_{+,21}^n}} \eta'(A, H, A') F_A K_H E_{A'},$$

where  $\eta'(A, H, A') \in \mathbb{Q}(\xi)$ . Let  $I \in \mathbb{Z}_{+,21}^n$  be such that  $\theta(B, I, B') \neq 0$ . By the definition of  $\varphi$  we see  $\varphi(K_I^{-1} y_2 y_1, x) \neq 0$ . We also have  $\varphi(x, K_I^{-1} y_2 y_1) \neq 0$  since  $\varphi$  is symmetric by the following argument.

Note that the elements  $E'_A K_H F'_{A'}$  ( $A, A' \in \mathbf{Z}'_{+,1}, H \in \mathbf{Z}^n_{+,21}$ ) also form a  $\mathbf{Q}(\xi)$ -basis of  $\mathbf{u}_\xi$ . Let  $A = (a_k), B = (b_k), P = (p_k), Q = (q_k)$  be elements in  $\mathbf{Z}'_{+,1}$  and let  $H = (h_i), H' = (h'_i)$  be elements in  $\mathbf{Z}^n_{+,21}$ . Using commutation relations in [L4, 5.3–5.4, pp.95–97], Theorem 6.6 in [L4, pp. 103–104] and using  $(\dagger)$ , we see that  $\varphi(F_A K_H E_P, E'_Q K_{H'} F'_B) = \varphi(E'_Q K_{H'} F'_B, F_A K_H E_P) = 0$  if one of the following three cases happens: (a)  $K_H K_{H'} \neq 1$ , (b)  $\sum_{k=1}^r (a_k + b_k) \beta_k \neq 2\kappa$ , (c)  $\sum_{k=1}^r (p_k + q_k) \beta_k \neq 2\kappa$ . Using [L4, Theorem 6.6, pp.103–104] and commutation relations in [L4, 5.3–5.4, pp.95–97] and induction on  $Q$  (resp.  $B$ ) we know that (d)  $E_P E'_Q = E'_Q E_P$  (resp.  $F_A F'_B = F'_B F_A$ ) if  $\sum_{k=1}^r (p_k + q_k) \beta_k = 2\kappa$  (resp.  $\sum_{k=1}^r (a_k + b_k) \beta_k = 2\kappa$ ). By this and  $(\dagger)$ , and noting that the coefficients of  $E_P E'_Q, F'_B F_A$  in  $K_H E_P E'_Q K_H^{-1}, K_H^{-1} F'_B F_A K_H$  are the same when  $\sum_{k=1}^r (a_k + b_k) \beta_k = \sum_{k=1}^r (p_k + q_k) \beta_k = 2\kappa$ , we see that  $\varphi(F_A K_H E_P, E'_Q K_{H'} F'_B) = \varphi(E'_Q K_{H'} F'_B, F_A K_H E_P)$  if  $\sum_{k=1}^r (a_k + b_k) \beta_k = \sum_{k=1}^r (p_k + q_k) \beta_k = 2\kappa$  and  $K_H K_{H'} = 1$ . Therefore  $\varphi$  is symmetric. Part (i) is proved.

(ii) Since all  $K_i^{l_i}$  are central in  $\mathbf{u}_\xi$ , the images in  $\mathbf{u}'_\xi$  of the elements  $F_A K_H E_{A'}$  ( $A, A' \in \mathbf{Z}'_{+,1}, H \in \mathbf{Z}^n_{+,1}$ ) form a  $\mathbf{Q}(\xi)$ -basis of  $\mathbf{u}'_\xi$ , the proof of (i) is also valid to  $\mathbf{u}'_\xi$ .

The theorem is proved.

### §3. Category of Finite Dimensional $U_\xi$ -modules of Type 1

3.1. Let  $M$  be a finite dimensional  $U_\xi$ -module. For  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbf{Z}^n$ ,  $\sigma = (\sigma_1, \sigma_2, \dots, \sigma_n)$  in  $\{\pm 1\}^n$ , let  $M_{(\lambda, \sigma)}$  be the set of all elements  $m$  in  $M$  satisfying

$$K_i m = \sigma_i \xi^{d_i \lambda_i} m, \left( \left[ \begin{array}{c} K_i, c \\ a \end{array} \right] - \sigma_i^a \left[ \begin{array}{c} \lambda_i + c \\ a \end{array} \right]_{d_i, \xi} \right)^k m = 0,$$

for  $i = 1, 2, \dots, n, c \in \mathbf{Z}$  and some  $k \in \mathbf{N}$ . Then we have

(i)  $M = \bigoplus_{\substack{\lambda \in \mathbf{Z}^n \\ \sigma \in \{\pm 1\}^n}} M_{(\lambda, \sigma)}$ , and  $E_i^{(a)} M_{(\lambda, \sigma)} \subseteq M_{(\lambda + a\alpha_i, \sigma)}, F_i^{(a)} M_{(\lambda, \sigma)} \subseteq M_{(\lambda - a\alpha_i, \sigma)}$ .

Therefore, for a fixed  $\sigma \in \{\pm 1\}^n$ , the space  $M^\sigma = \bigoplus_{\lambda \in \mathbf{Z}^n} M_{(\lambda, \sigma)}$  is a submodule of  $M$  and  $M = \bigoplus_{\sigma \in \{\pm 1\}^n} M^\sigma$ . [L2, Prop. 5.1 and its proof, pp.65–67].

(ii) Obviously,  $M_{\lambda, \sigma} \neq 0$  if and only if  $M_{(\lambda, \sigma)} \neq 0$ . So the set  $P(M) := \{\lambda \in \mathbf{Z}^n \mid M_{(\lambda, \sigma)} \neq 0 \text{ for some } \sigma \in \{\pm 1\}^n\}$  is stable under the action of  $W$  [L7, Prop. 5.2.7, p.45].

In  $U_\xi$  we have  $K_i^{2l_i} = 1$ . For each  $\sigma = (\sigma_1, \sigma_2, \dots, \sigma_n)$  in  $\{\pm 1\}^n$ , set  $\tilde{M}^\sigma := \{m \in M \mid K_i^{l_i} m = \sigma_i m \text{ for } i = 1, 2, \dots, n\}$ . When all  $K_i^{l_i}$  are central in  $U_\xi$ ,  $\tilde{M}^\sigma$  is a submodule of  $M$  and  $M = \bigoplus_{\sigma \in \{\pm 1\}^n} \tilde{M}^\sigma$ . If  $l$  is odd (prime to 3 if there is type  $G_2$  involved), all  $l_i$  are equal to  $l$  and all  $K_i^{l_i}$  are central in  $U_\xi$ , in this case we have  $M^\sigma = \tilde{M}^\sigma$ . When some  $K_i^{l_i}$  are not central in  $U_\xi$ , in general  $\tilde{M}^\sigma$  is not a  $U_\xi$ -submodule of  $M$ , since we can find  $j$  in  $[1, n]$  such

that  $K_i^{l_1} E_j = \xi^{d_i a_j l_1} E_j K_i^{l_1} = -E_j K_i^{l_1}$  and  $K_i^{l_1} F_j = \xi^{-d_i a_j l_1} F_j K_i^{l_1} = -F_j K_i^{l_1}$ .

We say that  $M$  has type  $\sigma$  if  $M = M^\sigma$ . All finite dimensional  $U_\xi$ -modules of type  $\sigma$  with usual  $U_\xi$ -module homomorphisms form a category of  $U_\xi$ -modules, denoted by  $\mathcal{C}_\sigma$ . Clearly, the map  $M \rightarrow M \otimes \mathbf{Q}(\xi)_\sigma$  gives rise to an isomorphism between the categories  $\mathcal{C}_1$  and  $\mathcal{C}_\sigma$  [APW, 1.6, pp. 6–7]. What is more, the  $\mathbf{Q}(\xi)$ -algebra automorphism  $U_\xi \rightarrow U_\xi$  defined by  $E_i^{(a)} \rightarrow \sigma_i^a E_i^{(a)}$ ,  $F_i^{(a)} \rightarrow F_i^{(a)}$ ,  $K_i \rightarrow \sigma_i K_i$  ( $i = 1, 2, \dots, n, a \geq 0$ ) interchanges the  $U_\xi$ -modules of type  $\mathbf{1}$  to those of type  $\sigma$  [L2, 4.6, p. 65].

Therefore, it suffices to work with the category  $\mathcal{C}_1$  of  $U_\xi$ -modules. Note that  $V_\xi(\lambda, \mathbf{1}), L_\xi(\lambda, \mathbf{1}) \in \text{ob } \mathcal{C}_1$  for each  $\lambda \in \mathbf{Z}_+^n$ . We shall drop the index  $\mathbf{1}$  in all notations involving it. So  $\mathcal{C}, V_\xi(\lambda), L_\xi(\lambda), v_\lambda$ , etc. will stand for  $\mathcal{C}_1, V_\xi(\lambda, \mathbf{1}), L_\xi(\lambda, \mathbf{1}), v_{\lambda, \mathbf{1}}$ , etc. respectively. One main result of the section is the following, which will be proved after establishing Lemma 3.4.

**Theorem 3.2.** *Let  $\mu \in \mathbf{Z}_+^n$ .*

- (i) *The module  $V_\xi(\mathbf{1}\mu + \kappa)$  is injective as well as projective in the category  $\mathcal{C}$ .*
- (ii) *The category  $\mathcal{C}$  has enough injective objects and enough projective objects as well.*
- (iii) *In  $\mathcal{C}$  each injective object is also a projective object and vice versa.*
- (iv) *Every module  $M$  in  $\text{ob } \mathcal{C}$  is integrable (i.e.  $M = \bigoplus_{\lambda \in \mathbf{Z}^n} M_\lambda$  and  $E_i^{(a)}, F_i^{(a)}$  are locally nilpotent on  $M$  for  $i = 1, 2, \dots, n, a \geq 1$ ).*
- (v) *If  $M$  is a finite dimensional  $U_\xi$ -module, then  $M = \bigoplus_{(\lambda, \sigma) \in \mathbf{Z}^n \times \{\pm 1\}^n} M_{\lambda, \sigma}$ , i.e.  $M$  is integrable.*
- (vi) *Let  $E$  be an injective object in  $\mathcal{C}$ , then  $E$  has a submodule filtration  $0 = E_k \subset E_{k-1} \subset \dots \subset E_2 \subset E_1 = E$  such that  $E_a/E_{a+1} \simeq V_\xi(v_a)$  for some  $v_a \in \mathbf{Z}_+^n, a = 1, \dots, k - 1$ .*

*Remark.* When  $l$  is a power of a prime number, the theorem is proved in [APW, 9.8, p. 44; 9.12, p. 45].

**3.3.** Let  $M$  be a  $U_\xi$ -module of type  $\mathbf{1}$ . A nonzero element  $m$  in  $M$  is called primitive if  $m \in M_\lambda$  for some  $\lambda \in \mathbf{Z}^n$  and  $E_i^{(a)} m = 0$  for  $i = 1, 2, \dots, n, a \geq 1$ . We have

- (i) Let  $M$  be an integrable or finite dimensional  $U_\xi$ -module of type  $\mathbf{1}$ . Assume that  $m$  is a primitive element of weight  $\lambda$ . Then  $\lambda \in \mathbf{Z}_+^n$  and there is a unique  $U_\xi$ -module homomorphism  $V_\xi(\lambda) \rightarrow M$  which carries  $v_\lambda$  to  $m$ . [L7, Prop. 3.5.8, p. 33].

Given a finite dimensional  $U_\xi$ -module  $E$  of type  $\mathbf{1}$ , we define the dual modules  $E^*, E^\star$  as in [APW, 1.18, p. 9] by means of the antipode  $S$  of  $U_\xi$  and its inverse  $S^{-1}$  respectively. Then [APW, 1.18, p. 9–10]

- (ii) We have  $(E^*)^\star \simeq E \simeq (E^\star)^\star$ .
- (iii) For any  $U_\xi$ -modules  $M, N$ , one has

$$\begin{aligned} \text{Hom}_{U_\xi}(M, N \otimes E) &\simeq \text{Hom}_{U_\xi}(M \otimes E^\star, N), \\ \text{Hom}_{U_\xi}(E^\star \otimes M, N) &\simeq \text{Hom}_{U_\xi}(M, E \otimes N). \end{aligned}$$

The following assertion is well known.

(iv) For  $\lambda \in \mathbf{Z}_+^n$  we have

$$L_\xi(\lambda)^\star \simeq L_\xi(\lambda)^\star \simeq L_\xi(-w_0\lambda).$$

For later uses we prove that some  $U_\xi$ -modules admit filtrations of Weyl modules.

For  $U$ -modules we define weight spaces, primitive elements, and their types as usual. Here we only consider  $U$ -modules of type 1. For a  $U$ -module  $M$  of type 1 we denote  $M_\lambda$  the  $\lambda$ -weight space of  $M$ .

Let  $\mathcal{A} = \mathbf{Z}[v, v^{-1}]$  and let  $U_{\mathcal{A}}$  be the  $\mathcal{A}$ -subalgebra of  $U$  generated by the elements  $E_i^{(a)}, F_i^{(a)}, K_i, K_i^{-1}$  for  $i = 1, \dots, n, a \in \mathbf{N}$ . For  $\lambda \in \mathbf{Z}_+^n$ , let  $V(\lambda)$  be an irreducible  $U$ -module of type 1 with highest weight  $\lambda$ , and let  $v_\lambda \in V(\lambda)$  be a nonzero element of weight  $\lambda$ . Define  $V(\lambda)_{\mathcal{A}} = U_{\mathcal{A}}v_\lambda$ . Let  $B(\lambda)$  be the canonical basis of  $V(\lambda)$  containing  $v_\lambda$ , then  $B(\lambda)$  is an  $\mathcal{A}$ -basis of  $V(\lambda)_{\mathcal{A}}$  (see [K, Section 7.2 and Lemma 7.3.1; L5, Theorem 8.10; L7, 19.3.1, p.170]).

We have

(v) Let  $(M, B)$  be a based module of  $U$  (see [L7, 27.1.2, p.214] for definition) and let  $M_{\mathcal{A}}$  be the  $\mathcal{A}$ -submodule of  $M$  generated by  $B$ . (By definition  $M_{\mathcal{A}}$  is stable under  $U_{\mathcal{A}}$ .) Then  $M_{\mathcal{A}}$  has a filtration of  $U_{\mathcal{A}}$ -submodules

$$0 = M_{\mathcal{A},0} \subset M_{\mathcal{A},1} \subset M_{\mathcal{A},2} \subset \dots \subset M_{\mathcal{A},h} = M_{\mathcal{A}}$$

such that all  $M_{\mathcal{A},1}, \dots, M_{\mathcal{A},h}$  are free  $\mathcal{A}$ -modules and  $M_{\mathcal{A},a}/M_{\mathcal{A},a-1} \simeq V(\delta_a)_{\mathcal{A}}$  for some  $\delta_a \in \mathbf{Z}_+^n, a = 1, \dots, h$ .

*Proof.* Let  $\lambda \in \mathbf{Z}_+^n$  be such that  $M_\lambda \neq 0$  and such that  $\lambda$  is maximal with this property. Let  $b \in B \cap M_\lambda$  and let  $M_1 = Ub$  be the submodule of  $M$  generated by  $b$ . We have  $E_i b = 0$  for all  $i$  in  $[1, n]$  by the maximality of  $\lambda$ . Hence there is a unique  $U$ -homomorphism  $\phi: V(\lambda) \rightarrow M$  which carries  $v_\lambda$  to  $b$  [L7, Prop. 3.5.8, p.33].

By [L7, Prop. 27.1.7, p.215],  $B_1 = B \cap M_1$  is a basis of  $M_1$  and  $\phi$  defines an isomorphism  $V(\lambda) \simeq M_1$  which carries  $B(\lambda)$  to  $B_1$ . Since  $B(\lambda)$  is an  $\mathcal{A}$ -basis of  $V(\lambda)_{\mathcal{A}}$ , we see  $B_1$  is an  $\mathcal{A}$ -basis of  $U_{\mathcal{A}}b$ . Let  $M_{\mathcal{A},1} = U_{\mathcal{A}}b$  and let  $M' = M/M_1$ . Denote by  $B'$  the image in  $M'$  of  $B - B_1$ . Then  $(M', B')$  is a based module [L7, 27.1.4, p.215]. Since  $M_{\mathcal{A}}/M_{\mathcal{A},1} \simeq M'_{\mathcal{A}}$ , using induction on  $\dim M$  we see the required filtration exists.

The assertion is proved.

For  $a = 1, 2, \dots, h$ , let  $M_{\xi,a} = M_{\mathcal{A},a} \otimes_{\mathcal{A}} \mathbf{Q}(\xi)$ , and set  $M_\xi = M_{\mathcal{A}} \otimes_{\mathcal{A}} \mathbf{Q}(\xi)$ . Then  $M_{\xi,a}$  is a  $U_\xi$ -module. Noting that  $V_\xi(\lambda) \simeq V(\lambda)_{\mathcal{A}} \otimes_{\mathcal{A}} \mathbf{Q}(\xi)$ , by (v) we see

(vi) The  $U_\xi$ -module  $M_\xi$  has a filtration of submodules

$$0 = M_{\xi,0} \subset M_{\xi,1} \subset M_{\xi,2} \subset \dots \subset M_{\xi,h} = M_\xi$$

such that  $M_{\xi,a}/M_{\xi,a-1} \simeq V_\xi(\delta_a)$  for some  $\delta_a \in \mathbf{Z}_+^n$ ,  $a = 1, \dots, h$ .

Let  $\lambda, \mu \in \mathbf{Z}_+^n$  and let  $M = V(\lambda) \otimes V(\mu)$ . According to [L7, 27.3.3, p.221], there exists a basis  $B$  of  $M$  such that  $(M, B)$  is a based module and such that the  $\mathcal{A}$ -submodule of  $M$  generated by  $B$  is equal to  $V(\lambda)_{\mathcal{A}} \otimes_{\mathcal{A}} V(\mu)_{\mathcal{A}}$ . (Note that  $B(\lambda)$  and  $B(\mu)$  are  $\mathcal{A}$ -bases of  $V(\lambda)_{\mathcal{A}}$  and  $V(\mu)_{\mathcal{A}}$  respectively.) Since  $V_\xi(\lambda) \otimes V_\xi(\mu) \simeq (V(\lambda)_{\mathcal{A}} \otimes_{\mathcal{A}} V(\mu)_{\mathcal{A}}) \otimes_{\mathcal{A}} \mathbf{Q}(\xi)$ , by (vi) we get

(vii) Let  $\lambda, \mu \in \mathbf{Z}_+^n$ . Then the  $U_\xi$ -module  $V := V_\xi(\lambda) \otimes V_\xi(\mu)$  has a filtration of submodules

$$0 = V_0 \subset V_1 \subset V_2 \subset \dots \subset V_h = V$$

such that  $V_a/V_{a-1} \simeq V_\xi(\delta_a)$  for some  $\delta_a \in \mathbf{Z}_+^n$ ,  $a = 1, \dots, h$ .

**Lemma 3.4.** *Let  $M$  be a finite dimensional  $U_\xi$ -module of type I and let  $\mu \in \mathbf{Z}_+^n$ .*

- (i) *Assume that  $V_\xi(\mathbf{l}\mu + \kappa)$  is a submodule of  $M$ . Then  $V_\xi(\mathbf{l}\mu + \kappa)$  is a direct summand of  $M$ , i.e. there exists a submodule  $M'$  of  $M$  such that  $M$  is isomorphic to  $V_\xi(\mathbf{l}\mu + \kappa) \oplus M'$ .*
- (ii) *Assume that  $V_\xi(\mathbf{l}\mu + \kappa)$  is a quotient module of  $M$ . Then  $V_\xi(\mathbf{l}\mu + \kappa)$  is a direct summand of  $M$ .*
- (iii) *Assume that  $V_\xi(\mathbf{l}\mu + \kappa)$  is a composition factor of  $M$ . Then  $V_\xi(\mathbf{l}\mu + \kappa)$  is a direct summand of  $M$ .*

*Proof.* Let  $v = -w_0(\mu)$ . By 3.2 (iv) we have  $V_\xi(\mathbf{l}\mu + \kappa)^* \simeq V_\xi(\mathbf{l}\mu + \kappa)^\star \simeq V_\xi(\mathbf{l}v + \kappa)$  since  $V_\xi(\mathbf{l}\mu + \kappa)$  is irreducible (Prop. 2.8 (iii)) and  $w_0(\mathbf{l}\mu + \kappa) = -\mathbf{l}v - \kappa$ . Thus part (i) and part (ii) are equivalent by 3.3 (ii). We give a proof of part (i).

(i) By induction on  $\dim_{\mathbf{Q}(\xi)} M$  we may assume that  $M/V_\xi(\mathbf{l}\mu + \kappa)$  is irreducible. One of the following three cases must happen.

- (a) There is a maximal weight  $\lambda$  in  $P(M)$  such that  $\lambda \neq \mathbf{l}\mu + \kappa$ .
- (b)  $\mathbf{l}\mu + \kappa$  is the unique maximal weight in  $P(M)$  and  $\dim_{\mathbf{Q}(\xi)} M_{(\mathbf{l}\mu + \kappa)} = 1$ .
- (c)  $\mathbf{l}\mu + \kappa$  is the unique maximal weight in  $P(M)$  and  $\dim_{\mathbf{Q}(\xi)} M_{(\mathbf{l}\mu + \kappa)} = 2$ .

Case (a). By 3.1 (ii),  $M_\lambda \neq 0$ . Choose a nonzero element  $m$  in  $M_\lambda$ , then  $m$  is a primitive element. Let  $M'$  be the submodule of  $M$  generated by  $m$ . We claim that  $M' \cap V_\xi(\mathbf{l}\mu + \kappa) = \{0\}$ . Otherwise,  $M' \cap V_\xi(\mathbf{l}\mu + \kappa) = V_\xi(\mathbf{l}\mu + \kappa)$ . Then we can find an element  $y$  in  $U_\xi^-$  such that  $v_{\mathbf{l}\mu + \kappa} = ym$ . Note that  $F_\kappa v_{\mathbf{l}\mu + \kappa} \neq 0$ . Using 2.2 (ii-iii) we see that  $F_\kappa v_{\mathbf{l}\mu + \kappa} = F_\kappa y' m$  for some element  $y'$  in  $U_{\xi, \mathbf{l}}^-$ . Therefore  $\lambda = \mathbf{l}\tau + \mathbf{l}\mu + \kappa$  for certain nonzero element  $\tau$  in  $\mathbf{Z}^n$ . By Prop. 2.8 (iii) and 3.3 (i),  $M'$  is irreducible. A contradiction to the assumption  $M' \cap V_\xi(\mathbf{l}\mu + \kappa) = V_\xi(\mathbf{l}\mu + \kappa)$ . Hence  $M' \cap V_\xi(\mathbf{l}\mu + \kappa) = \{0\}$ . In addition we

have  $M \simeq V_\xi(\mathbf{l}\mu + \kappa) \oplus M'$  and  $M'$  is irreducible.

Case (b). By 3.1 (ii), all the four spaces  $M_{\mathbf{l}\mu + \kappa}$ ,  $M_{(\mathbf{l}\mu + \kappa)}$ ,  $M_{-\mathbf{l}\nu - \kappa}$ ,  $M_{(-\mathbf{l}\nu - \kappa)}$  are of one dimension. So  $M_{\mathbf{l}\nu + \kappa}^*$  is equal to  $M_{(\mathbf{l}\nu + \kappa)}^*$  and is of one dimension. By 3.1 (ii),  $\mathbf{l}\nu + \kappa$  is the unique maximal weight in  $P(M^*) = -P(M)$ . Let  $M_1$  be an irreducible submodule of  $M^*$  such that  $M^*/M_1$  is isomorphic to  $V_\xi(\mathbf{l}\mu + \kappa)^* (\simeq V_\xi(\mathbf{l}\nu + \kappa))$ . By our assumptions on  $M$  we have  $\mathbf{l}\nu + \kappa \notin P(M_1)$ . Choose a nonzero element  $m$  in  $M_{\mathbf{l}\nu + \kappa}^*$ , then  $m$  is a primitive element in  $M^*$  and generates a submodule  $M_2$  of  $M^*$ . By Prop. 2.8 (iii) and 3.3 (i),  $M_2$  is isomorphic to  $V_\xi(\mathbf{l}\nu + \kappa) (\simeq V_\xi(\mathbf{l}\mu + \kappa)^*)$ . Hence  $M^*$  is isomorphic to  $V_\xi(\mathbf{l}\nu + \kappa) \oplus M_1$ . Note that  $V_\xi(\mathbf{l}\nu + \kappa)^* \simeq V_\xi(\mathbf{l}\mu + \kappa)$ , by 3.3 (ii) we see that  $M$  is isomorphic to  $V_\xi(\mathbf{l}\mu + \kappa) \oplus M_1^*$ .

Case (c). Set  $\mu_i := \langle \mu, \alpha_i^\vee \rangle$  for  $i = 1, 2, \dots, n$ . By 3.1 (ii) we have  $F_i^{(l_i, \mu_i + l_i)} M_{(\mathbf{l}\mu + \kappa)} = 0$  for all  $i$ . Using 3.1 (i) and our assumption on  $\mathbf{l}\mu + \kappa$  we see

$$\begin{bmatrix} K_i, 0 \\ \mu_i l_i + l_i \end{bmatrix} M_{(\mathbf{l}\mu + \kappa)} = E_i^{(l_i, \mu_i + l_i)} F_i^{(l_i, \mu_i + l_i)} M_{(\mathbf{l}\mu + \kappa)} = 0.$$

But in  $U_\xi$  we have [L2, 4.2 (f), p.63; L7, Lemma 34.1.2 (b), p.265]

$$\begin{bmatrix} K_i, 0 \\ \mu_i l_i + l_i \end{bmatrix} = \frac{\xi^{-\frac{1}{2}d_i l_i^2 \mu_i (\mu_i + 1)}}{(\mu_i + 1)!} \prod_{j=0}^{\mu_i} \begin{bmatrix} K_i, -j l_i \\ l_i \end{bmatrix}.$$

By [L2, 4.2 (d), 4.2 (c), p.63, L7, Lemma 34.1.2 (b), p.265] we see

(\*) On  $M_{(\mathbf{l}\mu + \kappa)}$  the following equality holds

$$\begin{bmatrix} K_i, -j l_i \\ l_i \end{bmatrix} = \xi^{-j l_i^2 d_i} \left( \begin{bmatrix} K_i, 0 \\ l_i \end{bmatrix} - \xi^{d_i l_i^2 (\mu_i + 1) + d_i l_i (l_i - 1) j} \right).$$

By definition,  $\begin{bmatrix} K_i, 0 \\ l_i \end{bmatrix} - \xi^{d_i l_i^2 (\mu_i + 1) + d_i l_i (l_i - 1)} \mu_i$  is nilpotent on  $M_{(\mathbf{l}\mu + \kappa)}$ , so  $\begin{bmatrix} K_i, -j l_i \\ l_i \end{bmatrix}$  is invertible on  $M_{(\mathbf{l}\mu + \kappa)}$  for  $j \neq \mu_i$ . Thus we get

$$\left( \begin{bmatrix} K_i, 0 \\ l_i \end{bmatrix} - \xi^{d_i l_i^2 (\mu_i + 1) + d_i l_i (l_i - 1)} \mu_i \right) M_{(\mathbf{l}\mu + \kappa)} = 0.$$

So  $M_{(\mathbf{l}\mu + \kappa)} = M_{\mathbf{l}\mu + \kappa}$  since all  $K_i, \begin{bmatrix} K_i, 0 \\ l_i \end{bmatrix}$  generate the algebra  $U_\xi^0$ . Therefore  $M$  is isomorphic to  $V_\xi(\mathbf{l}\mu + \kappa) \oplus V_\xi(\mathbf{l}\mu + \kappa)$ .

(iii) Let  $M_1$  be a submodule of  $M$  such that  $V_\xi(\mathbf{l}\mu + \kappa)$  is a quotient module of  $M_1$ . By (ii),  $V_\xi(\mathbf{l}\mu + \kappa)$  is isomorphic to a submodule of  $M_1$ . Since  $M_1$  is a submodule of  $M$ , by (i),  $M$  is isomorphic to  $V_\xi(\mathbf{l}\mu + \kappa) \oplus M'$  for some

submodule  $M'$  of  $M$ .

The lemma is proved.

**3.5.** Now we prove Theorem 3.2. Part (i) is a trivial consequence of Lemma 3.4 (iii).

(ii) According to part (i) and 3.3 (iii), for any finite dimensional  $U_\xi$ -module  $M$  of type  $\mathbb{1}$ , the modules  $V_\xi(\mathbb{1}\mu + \kappa) \otimes M$  and  $M \otimes V_\xi(\mathbb{1}\mu + \kappa)$  are projective and injective as well in the category  $\mathcal{C}$ . For any  $\lambda$  in  $\mathbb{Z}_+^n$ , choose  $v = (a, a, \dots, a)$  in  $\mathbb{Z}_+^n$  such that  $\mathbb{1}v + \kappa - \lambda \in \mathbb{Z}_+^n$ . By 3.3 (i) we have a nonzero  $U_\xi$ -homomorphism  $V_\xi(\mathbb{1}v + \kappa) \rightarrow L_\xi(\lambda) \otimes V_\xi(\mathbb{1}v + \kappa - \lambda)$ . By 3.3 (iii), this gives rise to a nonzero  $U_\xi$ -homomorphism  $V_\xi(\mathbb{1}v + \kappa) \otimes V_\xi(\mathbb{1}v + \kappa - \lambda)^* \rightarrow L_\xi(\lambda)$ , which is necessarily surjective. Further, this surjective gives rise to a nonzero  $U_\xi$ -homomorphism  $L_\xi(-w_0\lambda) \simeq L_\xi(\lambda)^* \rightarrow (V_\xi(\mathbb{1}v + \kappa) \otimes V_\xi(\mathbb{1}v + \kappa - \lambda)^*)^* \simeq V_\xi(\mathbb{1}v + \kappa) \otimes V_\xi(\mathbb{1}v + \kappa - \lambda)$ . (Note that  $w_0(v) = -v$  for our choice.) Therefore the category  $\mathcal{C}$  has enough injective objects and enough projective objects as well. Part (ii) is proved.

(iii) The  $U_\xi$ -modules  $V_\xi(\mathbb{1}\mu + \kappa)^*$ ,  $V_\xi(\mathbb{1}\mu + \kappa)^*$  are isomorphic to  $V_\xi(\mathbb{1}v + \kappa)$ , where  $v = -w_0(\mu)$ . So for each  $M \in \text{ob } \mathcal{C}$ , the modules  $V_\xi(\mathbb{1}\mu + \kappa) \otimes M$  and  $(V_\xi(\mathbb{1}\mu + \kappa) \otimes M)^* = V_\xi(\mathbb{1}v + \kappa) \otimes M^*$  are projective and injective as well in the category  $\mathcal{C}$ . By the proof of (ii) we see that (iii) is true.

(iv) We have seen that each indecomposable injective object is a direct summand of  $V_\xi(\mathbb{1}v + \kappa) \otimes V_\xi(\delta)$  for some  $v, \delta \in \mathbb{Z}_+^n$ . So each injective object in  $\text{ob } \mathcal{C}$  is an integrable  $U_\xi$ -module. Let  $M$  be a finite dimensional  $U_\xi$ -module of type  $\mathbb{1}$  and let  $M'$  be the maximal completely reducible submodule of  $M$ . By (ii), we can find an injective object  $E$  in  $\text{ob } \mathcal{C}$  and an injective  $U_\xi$ -homomorphism  $M' \hookrightarrow E$ . Since  $E$  is injective in the category, the above injection can be extended to an injective  $U_\xi$ -homomorphism  $M \hookrightarrow E$ . Therefore  $M$  is integrable since  $E$  is integrable.

According to the statements in 3.1 we see that (v) is an immediate consequence of (iv).

(vi) It is no harm to assume that  $E$  is indecomposable, then  $E$  is a direct summand of  $V := V_\xi(\mathbb{1}v + \kappa) \otimes V_\xi(\delta)$  for some  $v, \delta \in \mathbb{Z}_+^n$ . By 3.3 (vii),  $V$  has a submodule filtration  $0 = V_h \subset V_{h-1} \subset \dots \subset V_2 \subset V_1 = V$  such that  $V_a/V_{a+1} \simeq V_\xi(\delta_a)$  for some  $\delta_a \in \mathbb{Z}_+^n$ ,  $a = 1, \dots, h - 1$ . Since  $E$  is a direct summand of  $V$ , according to the following Lemma 3.6, the required filtration exists.

The theorem is proved.

**Lemma 3.6.** *Let  $M$  be a finite dimensional  $U_\xi$ -module of type  $\mathbb{1}$ . Assume that  $M$  has a submodule filtration  $0 = M_h \subset M_{h-1} \subset \dots \subset M_2 \subset M_1 = M$  such that  $M_a/M_{a+1} \simeq V_\xi(\delta_a)$  for some  $\delta_a \in \mathbb{Z}_+^n$ ,  $a = 1, \dots, h - 1$ . (We say that  $M$  has a filtration of Weyl modules.)*

(i) *Let  $\lambda$  be a maximal weight of  $M$  and  $m$  be a non-zero element in  $M_\lambda$ . Then the submodule  $U_\xi m$  of  $M$  generated by  $m$  is isomorphic to  $V_\xi(\lambda)$ .*

(ii) Let  $M', M''$  be two  $U_\xi$ -modules. Assume that  $M$  is isomorphic to  $M' \oplus M''$ , then both  $M'$  and  $M''$  have filtrations of Weyl modules.

*Proof.* We copy the arguments in [J3, 3.5 and 3.6, pp.279–280].

(i) Choose  $k$  such that  $m$  is in  $M_k$  but not in  $M_{k-1}$ . Then the image  $\bar{m}$  in  $M_k/M_{k-1} \simeq V_\xi(\delta_k)$  of  $m$  is not zero. Since  $\lambda$  is a maximal weight of  $M$ , we necessarily have  $\delta_k = \lambda$ . Thus we get a surjective  $U_\xi$ -homomorphism  $U_\xi m \rightarrow V_\xi(\lambda)$ ,  $m \rightarrow \bar{m}$ . According to 3.3 (i), the homomorphism is an isomorphism.

(ii) Choose a maximal weight  $\lambda$  of  $M$ . It is no harm to assume that  $M'_\lambda \neq 0$ . Let  $m$  be a non-zero element in  $M'_\lambda$ . By (i),  $U_\xi m$  is isomorphic to  $V_\xi(\lambda)$ . By the argument in (i), the module  $M/U_\xi m$  has a filtration of Weyl modules. But  $M/U_\xi m$  is isomorphic to  $(M'/U_\xi m) \oplus M''$ . Using induction on  $\dim_{\mathbb{Q}(\xi)} M$  we see that both  $M'$  and  $M''$  have filtrations of Weyl modules.

The lemma is proved.

Another main result of the section is the following.

**Theorem 3.7.** *Let  $\lambda \in \mathbb{Z}_{+,1}^n, \mu \in \mathbb{Z}_+^n$ . Then*

- (i) *The module  $V_\xi(\mathbf{l}\mu + \kappa + \lambda)$  contains a unique irreducible submodule.*
- (ii) *The irreducible submodule of  $V_\xi(\mathbf{l}\mu + \kappa + \lambda)$  has highest weight  $\mathbf{l}\mu + \kappa + w_0 \lambda$  and is generated by  $y'_\lambda v_{\mathbf{l}\mu + \kappa + \lambda}$ . (See Corollary 2.7 (ii) for the definition of  $y'_\lambda$ .)*

*Proof.* (i) In the proof of Theorem 3.5 (ii) we have seen that  $V_\xi(\mathbf{l}\mu + \kappa) \otimes V_\xi(\lambda)$  is an injective object in the category  $\mathcal{C}$ . According to 3.3 (vii) and Lemma 3.6 (i), the submodule of  $V_\xi(\mathbf{l}\mu + \kappa) \otimes V_\xi(\lambda)$  generated by  $v_{\mathbf{l}\mu + \kappa} \otimes v_\lambda$  is isomorphic to  $V_\xi(\mathbf{l}\mu + \kappa + \lambda)$ . Let  $E$  be the indecomposable direct summand of  $V_\xi(\mathbf{l}\mu + \kappa) \otimes V_\xi(\lambda)$  containing  $v_{\mathbf{l}\mu + \kappa} \otimes v_\lambda$ , then  $V_\xi(\mathbf{l}\mu + \kappa + \lambda)$  is isomorphic to a submodule of  $E$ . The module  $E$  contains a unique irreducible submodule since  $E$  is an indecomposable injective object in the category  $\mathcal{C}$ . This forces that  $V_\xi(\mathbf{l}\mu + \kappa + \lambda)$  contains a unique irreducible submodule.

(ii) We need to prove that

- (a) The element  $y'_\lambda v_{\mathbf{l}\mu + \kappa + \lambda}$  is a primitive element in  $V_\xi(\mathbf{l}\mu + \kappa + \lambda)$ .
- (b) The element  $y'_\lambda v_{\mathbf{l}\mu + \kappa + \lambda}$  generates an irreducible submodule of  $V_\xi(\mathbf{l}\mu + \kappa + \lambda)$ .

By Prop. 2.8 (ii),  $F_\kappa v_\kappa \neq 0$ , so  $F_\kappa \notin I_\kappa^-$ . But  $I_{\kappa+\lambda}^- \subseteq I_\kappa^-$ . Hence  $F_\kappa \notin I_{\kappa+\lambda}^-$ . This implies that in  $V_\xi(\kappa + \lambda)$  we have  $F_\kappa v_{\kappa+\lambda} \neq 0$ . Since  $F_\alpha F_\kappa \in \mathfrak{u}_\xi^-$  if  $\alpha \in R_l^+$  and  $F_\kappa$  has the maximal degree in  $\mathfrak{u}_\xi^-$  (recall the definition of degree in 2.3), we see  $F_\alpha F_\kappa = 0$  in  $U_\xi$  for all  $\alpha \in R_l^+$ . In particular,  $F_\alpha F_\kappa v_{\kappa+\lambda} = 0$  for all  $\alpha \in R_l^+$ . Noting that  $F_\kappa$  has degree  $2\kappa$  and  $\xi^{d_i, l_i \langle \alpha_i^\vee, 2\kappa \rangle} = 1$  ( $i \in [1, n]$ ), by [L7, Theorem 35.4.2 (a), p.276],  $F_i^{(l_i)} F_\kappa - F_\kappa F_i^{(l_i)} \in \mathfrak{u}_\xi^-$ . But  $F_i^{(l_i)} F_\kappa - F_\kappa F_i^{(l_i)}$  has degree  $2\kappa + l_i \alpha_i > 2\kappa$ , so  $F_i^{(l_i)} F_\kappa = F_\kappa F_i^{(l_i)}$ . Since  $F_\kappa = x F_i^{(l_i-1)}$  for some  $x$  in  $\mathfrak{u}_\xi^-$  (see Lemma 2.5), we get  $F_i^{(l_i)} F_\kappa = F_\kappa F_i^{(l_i)} = x F_i^{(l_i-1)} F_i^{(l_i)} = x F_i^{(2l_i-1)}$  for  $i = 1, 2, \dots, n$  (cf. [L2, 3.2 (c), p.62]). Thus  $F_i^{(l_i)} F_\kappa v_{\kappa+\lambda} = x F_i^{(2l_i-1)} v_{\kappa+\lambda} = 0$  for  $i = 1, 2, \dots, n$ . Therefore,  $-\kappa + \lambda$  (the weight of  $F_\kappa v_{\kappa+\lambda}$ ) is the lowest weight

of the submodule  $M'$  of  $V_\xi(\kappa + \lambda)$  generated by  $F_\kappa v_{\kappa + \lambda}$ .

By the proof of Prop. 2.6 (ii), the submodule  $M'$  of  $V_\xi(\kappa + \lambda)$  is an irreducible module of highest weight  $\kappa + w_0\lambda$ . By Corollary 2.7 (ii), the irreducible module  $M'$  is generated by  $y'_\lambda v_{\kappa + \lambda}$ . Thus

(c)  $y'_\lambda v_{\kappa + \lambda}$  is a primitive element in  $V_\xi(\kappa + \lambda)$  (since it has weight  $\kappa + w_0\lambda$ ) and generates the irreducible submodule of  $V_\xi(\kappa + \lambda)$ .

According to the proof of Theorem 3.2 (ii),  $M := V_\xi(\kappa) \otimes V_\xi(\lambda) \otimes L_\xi(\mathbb{1}\mu)$  is injective in  $\mathcal{C}$ . By Theorem 3.2 (vi) and Lemma 3.6 (i), the submodule  $N$  of  $M$  generated by  $v_\kappa \otimes v_\lambda \otimes \bar{v}_{\mathbb{1}\mu}$  is isomorphic to  $V_\xi(\mathbb{1}\mu + \kappa + \lambda)$ . Since the submodule of  $V_\xi(\kappa) \otimes V_\xi(\lambda)$  generated by  $v_\kappa \otimes v_\lambda$  is isomorphic to  $V_\xi(\kappa + \lambda)$  (see 3.3 (vii) and Lemma 3.6 (i)), by (c) and 2.2 (v), we get

(d) The element  $m' := y'_\lambda(v_\kappa \otimes v_\lambda \otimes \bar{v}_{\mathbb{1}\mu}) = (y'_\lambda(v_\kappa \otimes v_\lambda)) \otimes \bar{v}_{\mathbb{1}\mu}$  is primitive in  $N$ .

Since  $\kappa + w_0\lambda$  is 1-restricted, by (c), (d) and 2.2 (iv), we see that  $m'$  generates an irreducible submodule of  $N$ . This completes the proof of (ii).

#### §4. The Elements $x'_\lambda$

**4.1.** Recall that in 1.4 we have defined the element  $x'_\lambda \in U_\xi^-$  and in Corollary 2.7 (ii) defined the element  $y'_\lambda \in \mathfrak{u}_\xi^-$  for every  $\lambda \in \mathbb{Z}_+^n$ . The main result of this section is Theorem 4.2. We prove it after establishing several lemmas. It is a pity that the author could not find a simple proof of Theorem 4.2 except for type  $A_n, B_2$  and could not prove it for type  $G_2$ . For convenience we say that the quantized hyperalgebra  $U_\xi$  has no factors of type  $G_2$  if any indecomposable component of the Cartan matrix  $(a_{ij})$  is not of type  $G_2$ .

**Theorem 4.2.** *Assume that  $U_\xi$  has no factors of type  $G_2$ . Let  $\lambda \in \mathbb{Z}_{+,1}^n, \mu \in \mathbb{Z}_+^n$ . Then*

- (i) *The element  $x'_\lambda v_{\mathbb{1}\mu + \kappa + \lambda}$  is a primitive element in  $V_\xi(\mathbb{1}\mu + \kappa + \lambda)$ .*
- (ii) *We have  $x'_\lambda = \eta y'_\lambda$  for some nonzero number  $\eta \in \mathbb{Q}(\xi)$ . In particular,  $x'_\lambda$  is in  $\mathfrak{u}_\xi^-$ .*

**Lemma 4.3.** *Let  $M$  be an integrable  $U_\xi$ -module of type 1 and let  $m \in M_\mu$  ( $\mu \in \mathbb{Z}^n$ ). Let  $i, j$  be integers in  $[1, n]$  and let  $a, b, c$  be non-negative integers.*

- (i) *Assume that  $E_i^{(h)}m = 0$  for  $h \geq 1$ . Then  $F_i^{(a)}F_j^{(b)}F_i^{(c)}m = 0$  if  $a + \langle \alpha_j, \alpha_i^\vee \rangle b + c > \langle \mu, \alpha_i^\vee \rangle$ . In particular,  $F_i^{(a)}F_j^{(b)}m = 0$  if  $a + \langle \alpha_j, \alpha_i^\vee \rangle b > \langle \mu, \alpha_i^\vee \rangle$ .*
- (ii) *Assume that  $E_i^{(h)}m = 0, E_j^{(h)}m = 0$  for  $h \geq 1$ . Then  $F_i^{(a)}E_j^{(b)}F_j^{(c)}m = 0$  if  $a + \langle \alpha_j, \alpha_i^\vee \rangle (c - b) > \langle \mu, \alpha_i^\vee \rangle$ .*

*Proof.* (i) By the commutation relations in [L4, 5.3–5.4, pp.95–97], the element  $F_i^{(a)}F_j^{(b)}F_i^{(c)}$  is in the left ideal of  $U_\xi^-$  generated by  $F_i^{(h)}$ ,  $h \geq a + \langle \alpha_j, \alpha_i^\vee \rangle b + c > \langle \mu, \alpha_i^\vee \rangle$ . Now applying 3.3 (i) to the subalgebra of  $U_\xi$  generated by the elements  $E_i^{(h)}, F_i^{(h)}, K_i, K_i^{-1}$  ( $h \geq 0$ ), we see that (i) is true.

(ii) If  $b > c$ , then

$$F_i^{(a)} E_j^{(b)} F_j^{(c)} m = F_i^{(a)} \sum_{0 \leq h \leq c} F_j^{(c-h)} \begin{bmatrix} K_j, 2h - c - b \\ h \end{bmatrix} E_j^{(b-h)} m = 0.$$

If  $b \leq c$ , using (i), we see

$$F_i^{(a)} E_j^{(b)} F_j^{(c)} m = F_i^{(a)} F_j^{(c-b)} \begin{bmatrix} K_j, b - c \\ b \end{bmatrix} m = \begin{bmatrix} \langle \mu, \alpha_i^\vee \rangle + b - c \\ b \end{bmatrix}_{d_j, \xi} F_i^{(a)} F_j^{(c-b)} m = 0.$$

The lemma is proved.

**Lemma 4.4.** *Let  $\lambda \in \mathbf{Z}_{+,1}^n$ ,  $\mu \in \mathbf{Z}_+^n$ ,  $w \in W$ . Then*

- (i) *In  $V_\xi(\mu + \kappa + \lambda)$  we have  $x'_{\lambda,w} v_{1\mu + \kappa + \lambda} \neq 0$ .*
- (ii) *If  $l_i \geq 2$ , then  $E_i x'_{\lambda,w} v_{1\mu + \kappa + \lambda} = 0$ .*
- (iii) *If  $l_\alpha \geq 2$ , then  $E_\alpha x'_{\lambda,w} v_{1\mu + \kappa + \lambda} = 0$ .*
- (iv) *Assume that  $x'_{\lambda,w} = F_{i_1}^{(a_1)} F_{i_2}^{(a_2)} \dots F_{i_k}^{(a_k)}$ . Given non negative integers  $b_1, b_2, \dots, b_k$ , if  $a_h - b_h \in l_{i_h} \mathbf{Z}$  for  $h = 1, \dots, k$ , then  $E_i F_{i_1}^{(b_1)} F_{i_2}^{(b_2)} \dots F_{i_k}^{(b_k)} v_{1\mu + \kappa + \lambda} = 0$  when  $l_i \geq 2$ .*

*Proof.* Set  $m := v_{1\mu + \kappa + \lambda}$ .

(i) According to [L7, Lemma 39.1.2, p.304], in  $V_\xi(\lambda)$  we have  $x'_{\lambda,w} v_\lambda \neq 0$ . By 1.2 (i), this implies that  $x'_{\lambda,w} m \neq 0$ .

(ii) According to [L7, Lemma 39.1.4, p.305], there exists  $z$  in  $U_\xi^-$  such that

$$E_i x'_{\lambda,w} = x'_{\lambda,w} E_i + z \begin{bmatrix} K_i, 1 - \langle \lambda, \alpha_i^\vee \rangle \\ 1 \end{bmatrix}.$$

Since  $l_i \geq 2$ ,  $\begin{bmatrix} K_i, 1 - \langle \lambda, \alpha_i^\vee \rangle \\ 1 \end{bmatrix} m = \begin{bmatrix} l_i \langle \mu, \alpha_i^\vee \rangle + l_i \\ 1 \end{bmatrix}_{d_i, \xi} m = 0$ . Therefore  $E_i x'_{\lambda,w} m = 0$ .

(iii) It is no harm to assume that the Cartan matrix  $(a_{ij})$  is indecomposable. When  $\mathbf{u}_\xi^-$  is generated by the elements  $1, F_i$  ( $i = 1, 2, \dots, n$ ), the assertion is a simple consequence of (ii). When  $\mathbf{u}_\xi^-$  is not generated by the elements  $1, F_i$  ( $i = 1, 2, \dots, n$ ), one of the following cases must happen,

- (a) The Cartan matrix  $(a_{ij})$  is of type  $B_n, C_n, F_4$  and  $l = 4$ .
- (b) The Cartan matrix  $(a_{ij})$  is of type  $G_2$  and  $l = 3, 4, 6$ .

The generators of  $\mathbf{u}_\xi^-$  are described in [L4, 8.3, pp.107–108] explicitly. Using induction on the height of  $\alpha$  and using Theorem 6.6 in [L4, pp. 103–104], one can prove that  $E_\alpha F_i^{(a)} - F_i^{(a)} E_\alpha \in U_\xi^- \mathbf{u}_\xi^0 \mathbf{u}_\xi^+$  for all  $i \in [1, n]$ ,  $a \in \mathbf{N}$ . Using (ii), we then can prove (iii) by induction on  $l(w)$  and on height of  $\alpha$ .

Part (iv) is a simple consequence of (ii).

**Lemma 4.5.** *Let  $\lambda \in \mathbf{Z}_{+,1}^n$ ,  $w \in W$ . Assume that the Cartan matrix  $(a_{ij})$  is*

symmetric. If  $s_j w \geq w$ , then  $E_j^{(a)} x'_{\lambda, w} v_{\kappa+\lambda} = 0$  for all  $a \geq 1$ . (We also use “ $\geq$ ” for the Bruhat order on  $W$ .)

*Proof.* Set  $m := v_{\kappa+\lambda}$ . Noting that all  $l_1, l_2, \dots, l_n$  are equal, we simply write  $l'$  for any one of them. Since  $U_{\xi}^+$  is generated by the elements  $E_i, E_i^{(l')}$  for  $i = 1, 2, \dots, n$ , [L2, Prop. 3.2 (b), p.62], by Lemma 4.4 (ii), it suffices to prove that  $E_j^{(l')} x'_{\lambda, w} m = 0$ . We use induction on the length  $l(w)$  of  $w$ . Let  $s_{i_1} s_{i_2} \cdots s_{i_k}$  be a reduced expression of  $w$ . We shall write  $a_h$  instead of  $\langle s_{i_{h+1}} \cdots s_{i_k} \lambda, \alpha_{i_h}^\vee \rangle$  for  $h = 1, \dots, k$ . When  $k = 0, 1$ , nothing need to be proved. Now assume that  $k \geq 2$ . Set  $i := i_1$  and let  $u$  be the shortest element of the coset  $\langle s_i, s_j \rangle w$  (here  $\langle s_i, s_j \rangle$  denotes the subgroup of  $W$  generated by  $s_i, s_j$ ). Since the Cartan matrix is symmetric,  $k - 1 \geq l(u) \geq k - 2$ .

If  $l(u) = k - 1$ , then  $u = s_{i_2} \cdots s_{i_k}$  and  $s_j u \geq u$ . Note that  $i \neq j$ , using induction hypothesis, we see  $E_j^{(l')} x'_{\lambda, w} m = F_i^{(a_1)} E_j^{(l')} x'_{\lambda, u} m = 0$ .

If  $l(u) = k - 2$ , we may assume that  $i_2 = j$  and  $u = s_{i_3} \cdots s_{i_k}$ . Then  $s_i u \geq u$ ,  $s_j u \geq u$  and  $E_i^{(a)} x'_{\lambda, u} m = 0, E_j^{(a)} x'_{\lambda, u} m = 0$  for all  $a \geq 1$ . So  $E_j^{(l')} x'_{\lambda, w} m = F_i^{(a_1)} E_j^{(l')} F_j^{(a_2)} x'_{\lambda, u} m$ . Noting that  $a_1 = \langle s_j u \lambda, \alpha_i^\vee \rangle = \langle u \lambda, \alpha_i^\vee + \alpha_j^\vee \rangle = \langle u \lambda, \alpha_i^\vee \rangle + a_2$  and  $x'_{\lambda, u} m$  has weight  $\kappa + u\lambda$ , by Lemma 4.3 (ii) we see  $E_j^{(l')} x'_{\lambda, w} m = 0$ .

The lemma is proved.

**Lemma 4.6.** Let  $\lambda \in Z_{+,1}^n$ . Assume that  $U_{\xi}$  has no factors of type  $G_2$ . Then in  $V_{\xi}(\kappa + \lambda)$  the element  $x'_{\lambda} v_{\kappa+\lambda}$  is primitive.

*Proof.* Set  $m := v_{\kappa+\lambda}$ . Since  $U_{\xi}^+$  is generated by the elements  $E_i, E_i^{(l_i)}$  for  $i = 1, 2, \dots, n$ , by Lemma 4.4 (ii), it suffices to prove that  $E_i^{(l_i)} x'_{\lambda} m = 0$  for all  $i$ . Set  $\lambda_i = \langle \lambda, \alpha_i^\vee \rangle$  for  $i = 1, 2, \dots, n$ .

(a) Assume that  $(a_{ij})$  is symmetric. Choose a reduced expression  $s_{i_1} s_{i_2} \cdots s_{i_r}$  of the longest element  $w_0$  of  $W$  such that  $i_1 = i$ . Note that  $a := \langle s_{i_2} \cdots s_{i_r} \lambda, \alpha_i^\vee \rangle < l_i$ , so

$$E_i^{(l_i)} x'_{\lambda} m = \sum_{0 \leq h \leq a} F_i^{(a-h)} \begin{bmatrix} K_i, 2h - a - l_i \\ h \end{bmatrix} E_i^{(l_i-h)} x'_{\lambda, u} m,$$

where  $u = s_{i_2} \cdots s_{i_r}$ . By Lemma 4.5,  $E_i^{(l_i-h)} x'_{\lambda, u} m = 0$  for  $h = 0, 1, \dots, a$ . Therefore  $E_i^{(l_i)} x'_{\lambda} m = 0$  for  $i = 1, 2, \dots, n$ .

(b) Assume that  $(a_{ij})$  is of type  $B_n$ . We number the simple roots in  $R^+$  so that  $\langle \alpha_2, \alpha_1^\vee \rangle = -2, \langle \alpha_1, \alpha_2^\vee \rangle = \langle \alpha_2, \alpha_3^\vee \rangle = \cdots = \langle \alpha_{n-1}, \alpha_n^\vee \rangle = -1$ . We have  $d_1 = 1, d_2 = \cdots = d_n = 2, l_2 = \cdots = l_n$ , and  $2l_j \geq l_1 \geq l_j$  for  $j = 2, \dots, n$ . We use induction on  $n$ .

When  $n = 2$ , write  $a := \langle \lambda, \alpha_1^\vee \rangle, b := \langle \lambda, \alpha_2^\vee \rangle$ . Then

$$x'_{\lambda} = F_1^{(a)} F_2^{(a+b)} F_1^{(a+2b)} F_2^{(b)} = F_2^{(b)} F_1^{(a+2b)} F_2^{(a+b)} F_1^{(a)}.$$

Since  $l_1 > a$ , using Lemma 4.4 (ii) we see

$$E_1^{(l_1)} x'_{\lambda} m = F_1^{(a)} F_2^{(a+b)} E_1^{(l_1)} F_1^{(a+2b)} F_2^{(b)} m.$$

Note that  $F_2^{(b)}m$  is a primitive element of weight  $\kappa + \lambda - b\alpha_2$ . Now

$$a + b - \langle \alpha_1, \alpha_2^\vee \rangle (l_1 - a - 2b) = l_1 - b > l_2 - 1 - b = \langle \kappa + \lambda - b\alpha_2, \alpha_2^\vee \rangle.$$

By Lemma 4.3 (ii) we have  $E_1^{(l_1)}x'_\lambda m = 0$ . Similarly we have

$$E_2^{(l_2)}x'_\lambda m = F_2^{(b)}F_1^{(a+2b)}E_2^{(l_2)}F_2^{(a+b)}F_1^{(a)}m = 0.$$

Now suppose that the lemma is true for type  $B_{n-1}$ . Let  $w$  be the longest element in  $\langle s_1, s_2, \dots, s_{n-1} \rangle$  (the subgroup of  $W$  generated by  $s_1, s_2, \dots, s_{n-1}$ ). Then

$$w_0 = s_n s_{n-1} \cdots s_2 s_1 s_2 \cdots s_{n-1} s_n w = w s_n s_{n-1} \cdots s_2 s_1 s_2 \cdots s_{n-1} s_n.$$

Set

$$a_h := \langle s_{h-1} \cdots s_2 s_1 s_2 \cdots s_{n-1} s_n w \lambda, \alpha_h^\vee \rangle = \lambda_h + \lambda_{h+1} + \cdots + \lambda_n, \quad h = 2, \dots, n,$$

and

$$b_1 := \langle s_2 \cdots s_{n-1} s_n w \lambda, \alpha_1^\vee \rangle = \lambda_1 + 2\lambda_2 + \cdots + 2\lambda_n,$$

$$b_2 := \langle s_3 \cdots s_{n-1} s_n w \lambda, \alpha_2^\vee \rangle = \lambda_1 + \lambda_2 + \cdots + \lambda_n,$$

$$b_h := \langle s_{h+1} \cdots s_{n-1} s_n w \lambda, \alpha_h^\vee \rangle = \lambda_1 + \lambda_2 + \cdots + \lambda_n + \lambda_2 + \cdots + \lambda_{h-1}, \quad h = 3, \dots, n.$$

Then we have

(b1)  $x'_\lambda = F_n^{(a_n)} \cdots F_2^{(a_2)} F_1^{(b_1)} F_2^{(b_2)} \cdots F_n^{(b_n)} x'_{\lambda, w}$ .

By induction hypothesis, we have

(b2) The element  $x'_{\lambda, w} m$  is primitive in  $V_{\xi}(\kappa + \lambda)$  of weight  $\kappa + w\lambda = (l_1 - 1 - \lambda_1, l_2 - 1 - \lambda_2, \dots, l_{n-1} - 1 - \lambda_{n-1}, l_n - 1 + b_n)$ .

Using Lemma 4.3 (ii) and Lemma 4.4 (ii) repeatedly we see

(b3)  $E_i^{(l_i)} F_h^{(b_h)} F_{h+1}^{(b_{h+1})} \cdots F_n^{(b_n)} x'_{\lambda, w} m = 0$  for  $h = 1, 2, \dots, n$  and  $i \neq h$ .

(b4)  $E_i^{(l_i)} F_h^{(a_h)} \cdots F_2^{(a_2)} F_1^{(b_1)} F_2^{(b_2)} \cdots F_n^{(b_n)} x'_{\lambda, w} m = 0$  for  $i, h = 2, \dots, n$  and  $i \neq h$ .

Since  $a_n = \lambda_n < l_n$ , by (b4) and Lemma 4.4 (ii) we know that  $E_i^{(l_i)} x'_\lambda m = 0$  for  $i = 2, \dots, n$ .

We need to do a little more to see that  $E_1^{(l_1)} x'_\lambda m = 0$ . Let  $u$  be the longest element of  $\langle s_2, \dots, s_n \rangle$ . Then

$$w_0 = s_1 s_2 s_1 s_3 s_2 s_1 \cdots s_n \cdots s_2 s_1 u.$$

For  $n \geq i \geq j \geq 1$ , set

$$\begin{aligned} c_{ij} &:= \langle s_{j-1} \cdots s_1 s_{i+1} \cdots s_1 s_{i+2} \cdots s_1 \cdots s_n \cdots s_2 s_1 u \lambda, \alpha_j^\vee \rangle \\ &= \lambda_1 + \cdots + \lambda_i + \lambda_{j+1} + \cdots + \lambda_i. \end{aligned}$$

(Convention:  $\lambda_{i+1} + \cdots + \lambda_i = 0$ ). Then we have

(b5)  $x'_\lambda = F_1^{(c_{11})} F_2^{(c_{22})} F_1^{(c_{21})} \cdots F_n^{(c_{nn})} \cdots F_1^{(c_{n1})} x'_{\lambda, u}$ .

By (a), we have

(b6) The element  $x'_{\lambda,u}m$  is primitive in  $V_{\xi}(\kappa + \lambda)$  of weight  $\kappa + u\lambda = (l_1 - 1 + c_{n1}, l_2 - 1 - \lambda_n, \dots, l_n - 1 - \lambda_2)$ .

Note that  $c_{11} = \lambda_1 < l_1$ . Now we can see  $E_1^{(l_1)}x'_m = 0$  by repeatedly using Lemma 4.3 (ii) and Lemma 4.4 (ii). Thus we complete the proof type  $B_n$ .

(c) Similarly, we prove the lemma for type  $C_n$ .

(d) Similarly we prove the lemma for type  $F_4$  but need a little patience. We number the simple roots so that  $\langle \alpha_i, \alpha_{i+1}^\vee \rangle = -1$  for  $i = 1, 2, 3$ . Then  $d_1 = d_2 = 1, d_3 = d_4 = 2$ . Moreover,  $\alpha_1, \alpha_2, \alpha_3$  generate a root system of type  $C_3$  and  $\alpha_2, \alpha_3, \alpha_4$  generate a root system of type  $B_3$ . Let  $w$  be the longest element of the group  $\langle s_1, s_2, s_3 \rangle$ . Then the longest element of  $W$  is  $s_4s_3s_2s_3s_4s_1s_2s_3s_4s_2s_3s_1s_2s_3s_4w$ . We shall also write  $\lambda_{a,b,c,d}$  for  $a\lambda_1 + b\lambda_2 + c\lambda_3 + d\lambda_4$ . For non-negative integers  $\theta_1, \dots, \theta_4$ , define

$$\begin{aligned}
 Y_1(\theta_1, \dots, \theta_4) &:= F_4^{(\lambda_4)} F_3^{(\lambda_3 + \lambda_4 - \theta_1 l_3)} F_2^{(\lambda_2 + 2\lambda_3 + 2\lambda_4 - \theta_2 l_2)} F_3^{(\lambda_2 + \lambda_3 + \lambda_4 - \theta_3 l_3)} F_4^{(\lambda_2 + 2\lambda_3 + \lambda_4 - \theta_4 l_4)}, \\
 Y_2(\theta_1, \dots, \theta_4) &:= F_1^{(\lambda_1, 1, 2, 2 - \theta_1 l_1)} F_2^{(\lambda_1, 2, 2, 2 - \theta_2 l_2)} F_3^{(\lambda_1, 2, 3, 2 - \theta_3 l_3)} F_4^{(\lambda_1, 1, 1, 1 - \theta_4 l_4)}, \\
 Y_3(\theta_2, \theta_3) &:= F_2^{(\lambda_1, 2, 4, 2 - \theta_2 l_2)} F_3^{(\lambda_1, 1, 2, 1 - \theta_3 l_3)}, \\
 Y_4(\theta_1, \dots, \theta_4) &:= F_1^{(\lambda_1, 3, 4, 2 - \theta_1 l_1)} F_2^{(\lambda_2, 3, 4, 2 - \theta_2 l_2)} F_3^{(\lambda_1, 2, 2, 1 - \theta_3 l_3)} F_4^{(\lambda_1, 2, 3, 1 - \theta_4 l_4)}.
 \end{aligned}$$

We simply write  $Y_i$  for  $Y_i(0, \dots, 0)$ ,  $i = 1, 2, 3, 4$ .

Then we have

(d1)  $x'_\lambda = Y_1 Y_2 Y_3 Y_4 x'_{\lambda,w}$ .

According to (c), we get

(d2) The element  $m' := x'_{\lambda,w}m$  is primitive in  $V_{\xi}(\kappa + \lambda)$  and has weight  $(l_1 - 1 - \lambda_1, l_2 - 1 - \lambda_2, l_3 - 1 - \lambda_3, l_4 - 1 + \lambda_{1,2,3,1})$ .

Using (d2), Lemma 4.3 (ii) and Lemma 4.4 (ii) repeatedly, step by step, we obtain

(d3)  $E_i^{(l_i)} Y_4 x'_{\lambda,w}m$  for  $i = 2, 3, 4$ .

(d4)  $E_i^{(l_i)} Y_3 Y_4 x'_{\lambda,w}m = 0$  for  $i = 1, 3, 4$ .

(d5)  $E_2^{(l_2)} Y_2 Y_3 Y_4 x'_{\lambda,w}m = 0$ .

(d\*)  $E_1^{(l_1)} Y_1 Y_2 Y_3 Y_4 x'_{\lambda,w}m = 0$ .

To avoid more troubles we use the following consequence of Theorem 4.2 for type  $B_3$  (cf. Corollary 4.10 (ii)).

(d6)  $Y_1(0, 1, 0, 0) F_2^{(\lambda_2)} F_3^{(\lambda_2 + \lambda_3)} F_2^{(\lambda_2 + 2\lambda_3)} F_3^{(\lambda_3)} = 0$ .

Obviously, (d6) implies the following

(d7)  $Y_1(0, 1, 0, 0) Y_2 Y_3 Y_4 x'_{\lambda,w} = 0$ .

Combining (d5) and (d7), also using Lemma 4.4 (ii) we see

(d★)  $E_2^{(l_2)} Y_1 Y_2 Y_3 Y_4 x'_{\lambda,w}m = 0$ .

Let  $u$  be the longest element of  $\langle s_2, s_3, s_4 \rangle$ . We may write down a

presentation for  $x'_\lambda$  according to the reduced expression  $s_1 s_2 s_3 s_2 s_1 s_4 s_3 s_2 s_1 s_3 s_2 s_4 s_3 s_2 s_1 u$ . Using an argument similar to that for (d\*) and (d★) we obtain  
 (d†)  $E_i^{(l_i)} Y_1 Y_2 Y_3 Y_4 x'_{\lambda,w} m = 0$  for  $i = 3, 4$ .

The lemma is proved for type  $F_4$  and the proof is completed.

**Lemma 4.7.** *Assume that  $(a_{ij})$  is symmetric and  $\lambda \in \mathbf{Z}_+^n$  is  $\mathbf{l}$ -restricted. Let  $s_{i_1} s_{i_2} \cdots s_{i_r}$  be a reduced expression of the longest element  $w_0$ . Set  $a_h := \langle s_{i_{h+1}} s_{i_{h+2}} \cdots s_{i_r} \lambda, \alpha_{i_h}^\vee \rangle$  for  $h = 1, 2, \dots, r$ . Given non-negative integers  $\theta_1, \theta_2, \dots, \theta_r$ , we define*

$$Y_i(\theta_1, \dots, \theta_r) := F_{i_1}^{(a_1 - \theta_1 l')} F_{i_2}^{(a_2 - \theta_2 l')} \cdots F_{i_r}^{(a_r - \theta_r l')}.$$

Then in  $V_\xi(\kappa + \lambda)$  we have  $Y_i(\theta_1, \dots, \theta_r) v_{\kappa + \lambda} = 0$  if  $\theta_1 + \cdots + \theta_r \geq 1$ . Here  $\mathbf{i} = (i_1, i_2, \dots, i_r)$  and  $l' := l_1 = \cdots = l_r$ . (Recall that  $F_i^{(a)} = 0$  for all  $i$  and  $a < 0$ , see 1.1.)

*Proof.* We use induction on  $h$  to prove that

- (a)  $Y_i(\theta_1, \dots, \theta_h, 0, \dots, 0) v_{\kappa + \lambda} = 0$  if  $\theta_1 + \cdots + \theta_h \geq 1$ .

Since  $a_1 < l'$ , the assertion (a) is obvious when  $h = 1$ . Now assume that

- (a) is true for  $h - 1$ . That is, we assume the following is true.

- (b)  $Y_i(\theta_1, \dots, \theta_{h-1}, 0, \dots, 0) v_{\kappa + \lambda} = 0$  if  $\theta_1 + \cdots + \theta_{h-1} \geq 1$ .

Set  $m_{h+1} := F_{i_{h+1}}^{(a_{h+1})} \cdots F_{i_r}^{(a_r)} v_{\kappa + \lambda}$ , then we have

- (c)  $\begin{bmatrix} K_{i_h}, & \theta' - (a_h - \theta' l') \\ & \theta' \end{bmatrix} m_{h+1} = \binom{\theta' + \theta}{\theta} m_{h+1}$  for  $\theta, \theta' \geq 0$ . (Where  $\binom{\theta' + \theta}{\theta}$  is the ordinary binomial coefficient.)

Using (c), Lemma 4.4 (iv), Lemma 4.5 and Lemma 4.6, we get

- (d)  $E_{i_h}^{(l')} Y_i(\theta_1, \dots, \theta_{h-1}, 0, \dots, 0) v_{\kappa + \lambda} = Y_i(\theta_1, \dots, \theta_{h-1}, 1, 0, \dots, 0) v_{\kappa + \lambda} = 0$ .

Assume that  $\theta_h \geq 1$  and we have

- (e)  $Y_i(\theta_1, \dots, \theta_{h-1}, \theta_h - 1, 0, \dots, 0) v_{\kappa + \lambda} = 0$  if  $\theta_1 + \cdots + \theta_{h-1} + \theta_h - 1 \geq 1$ .

Using (c), (e), Lemma 4.4 (iv), Lemma 4.5 and Lemma 4.6, we get

- (f)  $E_{i_h}^{(l')} Y_i(\theta_1, \dots, \theta_{h-1}, \theta_h - 1, 0, \dots, 0) v_{\kappa + \lambda} = \binom{2\theta_h - 1}{\theta_h} Y_i(\theta_1, \dots, \theta_{h-1}, \theta_h, 0, \dots, 0) v_{\kappa + \lambda} = 0$ .

Thus we have proved the assertion (a) by using induction on  $h$  as well as on  $\theta_h$ . Take  $h = r$ , we obtain the lemma.

**Lemma 4.8.** *Let  $\mu \in \mathbf{Z}_+^n$  and  $\lambda \in \mathbf{Z}_{+,1}^n$ . Then the submodule of  $L_\xi(\mathbf{1}\mu) \otimes V_\xi(\kappa + \lambda)$  generated by  $\bar{v}_{\mathbf{1}\mu} \otimes v_{\kappa + \lambda}$  is isomorphic to  $V_\xi(\mathbf{1}\mu + \kappa + \lambda)$ .*

*Proof.* By 3.3 (i), we have a  $U_\xi$ -homomorphism

$$V_1 := V_\xi(\mathbf{1}\mu + \kappa + \lambda) \rightarrow V := L_\xi(\mathbf{1}\mu) \otimes V_\xi(\kappa + \lambda),$$

which carries  $m_1 := v_{\mathbf{1}\mu + \kappa + \lambda}$  to  $m := \bar{v}_{\mathbf{1}\mu} \otimes v_{\kappa + \lambda}$ . By 2.2 (v),  $y'_\lambda m = \bar{v}_{\mathbf{1}\mu} \otimes y'_\lambda v_{\kappa + \lambda} \neq 0$ . But  $y'_\lambda m_1$  generates the unique irreducible submodule of  $V_1$  (Theorem

3.7 (ii)). Therefore, the submodule of  $V$  generated by  $m$  is isomorphic to  $V_1$ . The lemma is proved.

**4.9.** Proof of Theorem 4.2. (A). We first prove that part (i) implies part (ii). Such an implication will be needed to simplify the induction proof of (i) for type  $B_n, C_n, F_4$ .

Assume that (i) is true. Since  $x'_\lambda v_{1\mu+\kappa+\lambda} \neq 0$  and has the same weight with  $y'_\lambda v_{1\mu+\kappa+\lambda}$ , by (i) and Theorem 3.7, we can find a non-zero number  $\eta \in \mathbf{Q}(\xi)$  such that  $x'_\lambda - \eta y'_\lambda \in I_{1\mu+\kappa+\lambda}^-$ . Because of  $\alpha < 6(\alpha_1 + \alpha_2 + \dots + \alpha_n)$  and  $l_\alpha \leq l$  for every  $\alpha \in R^+$ , we see

$$(a) \quad \lambda - w_0 \lambda \leq \kappa - w_0 \kappa = 2\kappa = \sum_{\alpha \in R^+} (l_\alpha - 1)\alpha < 6lr(\alpha_1 + \alpha_2 + \dots + \alpha_n),$$

where  $r = |R^+|$ .

Choose  $\mu \in \mathbf{Z}_+^n$  such that  $\langle \mu, \alpha_i^\vee \rangle > 6lr$  for all  $i$ . According to the definition of  $I_{1\mu+\kappa+\lambda}^-$  (see 1.2) we see that  $x'_\lambda - \eta y'_\lambda \in I_{1\mu+\kappa+\lambda}^-$  is equivalent to  $x'_\lambda - \eta y'_\lambda = 0$ . So part (i) implies part (ii).

Now we prove (i) case by case. According to Lemma 4.6 and Lemma 4.8, it suffices to prove the following assertion.

(\*) In  $L_\xi(l\mu) \otimes V_\xi(\kappa + \lambda)$  we have  $x'_\lambda(\bar{v}_{1\mu} \otimes v_{\kappa+\lambda}) = \bar{v}_{1\mu} \otimes x'_\lambda v_{\kappa+\lambda}$ .

We need the following formula [L4, 1.3 (b) p.91].

$$(\dagger) \quad \text{In } U_\xi \text{ we have } \Delta(F_i^{(a)}) = \sum_{h=0}^a \xi^{-d_i h(a-h)} F_i^{(h)} \otimes K_i^{-h} F_i^{(a-h)} \text{ for } i = 1, 2, \dots, n, \\ a \geq 0.$$

Recall that we have (see 2.2 (v))

$$(\ddagger) \quad \text{In } L_\xi(l\mu), F_\alpha \bar{v}_{1\mu} = 0 \text{ if } l_\alpha \geq 2.$$

(B) Using  $(\dagger)$ ,  $(\ddagger)$ , and Lemma 4.7 we see that (\*) is true when the Cartan matrix  $(a_{ij})$  is symmetric.

(C) Assume that the Cartan matrix  $(a_{ij})$  is of type  $B_n$ . Keep the notations in (b) of the proof of Lemma 4.6. Given non-negative integers  $\theta_n, \dots, \theta_1, \theta'_2, \dots, \theta'_n$ , set

$$Y(\theta_n, \dots, \theta_1, \theta'_2, \dots, \theta'_n) := F_n^{(a_n - \theta_n l_n)} \dots F_2^{(a_2 - \theta_2 l_2)} F_1^{(b_1 - \theta_1 l_1)} F_2^{(b_2 - \theta'_2 l_2)} \dots F_n^{(b_n - \theta'_n l_n)} x'_{\lambda, w}.$$

Using (b3) and (b4) of the proof of Lemma 4.6, completely as the argument for Lemma 4.7 we get

$$(C1) \quad Y(\theta_n, \dots, \theta_1, \theta'_2, \dots, \theta'_n) v_{\kappa+\lambda} = 0 \text{ if } \theta_n + \dots + \theta_1 + \theta'_2 + \dots + \theta'_n \geq 1.$$

Regard  $\{\alpha_1, -\alpha_1\}$  as a root system of type  $B_1$ , then obviously (i) is true for type  $B_1$ . Assume that (i) is true for type  $B_{n-1}$ . Then according to (A) we have  $x'_{\lambda, w} \in \mathfrak{u}_\xi^-$ . Now using  $(\dagger)$ ,  $(\ddagger)$ , and (C1) we see that (\*) is true for type  $B_n$ .

(D) Similarly, we prove (\*) for type  $C_n$ .

(E) Assume that the Cartan matrix  $(a_{ij})$  is of type  $F_4$ . Keep the notations in (d) of the proof of Lemma 4.6. Given non-negatives integers  $\theta_1, \dots, \theta_{14}$ , set

$$Y(\theta_1, \dots, \theta_{14}) := Y_1(\theta_1, \dots, \theta_4) Y_2(\theta_5, \dots, \theta_8) Y_3(\theta_9, \theta_{10}) Y_4(\theta_{11}, \dots, \theta_{14}) x'_{\lambda, w}.$$

For simplicity, we use the following consequence of Theorem 4.2 for type  $B_3$  (cf. Corollary 4.10 (ii)).

$$(E1) \quad Y_1(\theta_1, \dots, \theta_4) F_2^{(\lambda_2)} F_3^{(\lambda_2 + \lambda_3)} F_2^{(\lambda_2 + 2\lambda_3)} F_3^{(\lambda_3)} = 0 \text{ if } \theta_1 + \dots + \theta_4 \geq 1.$$

Using (d3-d5) of the proof of Lemma 4.6 as well as (E1), completely as the argument for Lemma 4.7 we get

$$(E2) \quad Y(\theta_1, \dots, \theta_{14}) v_{\kappa + \lambda} = 0 \text{ if } \theta_1 + \dots + \theta_{14} \geq 1.$$

By (D) and (A) we know that  $x'_{\lambda, w} \in \mathfrak{u}_{\xi}^-$ . Now using (†), (‡), and (E2) we see that (\*) is true for type  $F_4$ .

The theorem is proved.

**Corollary 4.10.** *Keep the notations in 4.7 and 4.9.*

- (i) *Assume that  $(a_{ij})$  is symmetric. Then  $Y_i(\theta_1, \dots, \theta_r) = 0$  if  $\theta_1 + \dots + \theta_r \geq 1$ .*
- (ii) *Assume that  $(a_{ij})$  is of type  $B_n$ . Then  $Y(\theta_n, \dots, \theta_1, \theta'_2, \dots, \theta'_n) = 0$  if  $\theta_n + \dots + \theta_1 + \theta'_2 + \dots + \theta'_n \geq 1$ . A similar result holds for type  $C_n$ .*
- (iii) *Assume that  $(a_{ij})$  is of type  $F_4$ . Then  $Y(\theta_1, \dots, \theta_{14}) = 0$  if  $\theta_1 + \dots + \theta_{14} \geq 1$ .*

Where  $Y_i(\theta_1, \dots, \theta_r)$ ,  $Y(\theta_n, \dots, \theta_1, \theta'_2, \dots, \theta'_n)$ ,  $Y(\theta_1, \dots, \theta_{14})$  are elements in  $U_{\xi}^-$ . Recall that  $F_i^{(a)} = 0$  for all  $i$  and  $a < 0$ , see 1.1.

*Proof.* We give a proof of (i). The proofs of (ii) and (iii) are similar. Using Lemma 4.7, (†) and (‡) in 4.9 we see that

$$Y_i(\theta_1, \dots, \theta_r) (\bar{v}_{1\mu} \otimes v_{\kappa + \lambda}) = 0, \quad \text{if } \theta_1 + \dots + \theta_r \geq 1.$$

Using Lemma 4.8 and an argument as in 4.9 (A) we know that (i) is true.

**4.11.** By Lemma 4.4 (iii), Theorem 4.2 is actually equivalent to the assertion  $x'_{\lambda} \in \mathfrak{u}_{\xi}^-$  when  $\lambda$  is  $\mathbf{l}$ -restricted. For type  $B_2$ , using the commutation relation in [L4, 5.3 (i), p.96] we see easily that if  $\lambda$  is  $\mathbf{l}$ -restricted then  $x'_{\lambda} \in \mathfrak{u}_{\xi}^-$ . For type  $A_n$  there is a naive argument for the fact, which is based on the following Lemma 4.12. We need a notation. Given  $i \in [1, n]$ , let  $\mathcal{H}_i$  be the  $\mathbf{Q}(\xi)$ -subspace of  $U_{\xi}^-$  spanned by the elements  $F_{\beta_1}^{(a_1)} F_{\beta_2}^{(a_2)} \dots F_{\beta_r}^{(a_r)}$  for  $a_1, \dots, a_r \in \mathbf{N}$  satisfying  $a_h \leq l_{\beta_h} - 1$  whenever  $\beta_h - \alpha_i \in \mathbf{NR}^+$  ( $h = 1, \dots, r$ ). Obviously,  $\bigcap_{i=1}^n \mathcal{H}_i = \mathfrak{u}_{\xi}^-$ .

**Lemma 4.12.** *Let  $x$  be an element in  $U_{\xi}$ . Assume that  $x$  is expressed as a  $\mathbf{Q}(\xi)$ -linear combination of some monomials  $z_1, \dots, z_h$  of  $F_{\alpha}^{(a)}$  ( $\alpha \in \mathbf{R}^+$ ,  $a \in \mathbf{N}$ ).*

Given  $i \in [1, n]$ . If  $a \leq l_\alpha - 1$  whenever  $F_\alpha^{(a)}$  appears in some monomial  $z_k$  and  $\alpha - \alpha_i \in \mathbb{N}R^+$ , then  $x \in \mathcal{H}_i$ .

*Proof.* Using commutation relations in [L4, 5.3–5.4, pp.95–97] and [L4, Theorem 6.6, pp.103–104].

**4.13.** Now we give a simple proof of Theorem 4.2 for type  $A_n$  by using Lemma 4.12. By Lemma 4.4 (ii), it suffices to prove that  $x'_\lambda \in \mathfrak{u}_\xi^-$  when  $\lambda$  is  $\mathfrak{l}$ -restricted. We use induction on  $n$ . Set  $\lambda_i := \langle \lambda, \alpha_i^\vee \rangle$  for  $i = 1, 2, \dots, n$ . When  $1 \leq i \leq j \leq n$  we also write  $\lambda_{i,j}$  for  $\lambda_i + \lambda_{i+1} + \dots + \lambda_j$ . Then

$$x'_\lambda = F_1^{(\lambda_n)} F_2^{(\lambda_{n-1}, n)} \dots F_n^{(\lambda_1, n)} F_1^{(\lambda_{n-1})} F_2^{(\lambda_{n-2}, n-1)} \dots F_{n-1}^{(\lambda_1, n-1)} \dots F_1^{(\lambda_2)} F_2^{(\lambda_1, 2)} F_1^{(\lambda_1)}.$$

Note that  $l_1 = \dots = l_n$ , by Lemma 4.12 we get

(a)  $x'_\lambda \in \mathcal{H}_1$ . Symmetrically, we have  $x'_\lambda \in \mathcal{H}_n$ .

Let  $w = s_1 s_2 s_1 s_3 s_2 s_1 \dots s_{n-1} \dots s_2 s_1$  (the longest element of the group generated by  $s_1, \dots, s_{n-1}$ ). Set

$$y := F_1^{(\lambda_{n-1})} F_2^{(\lambda_{n-2}, n-1)} F_1^{(\lambda_{n-2})} F_3^{(\lambda_{n-3}, n-1)} F_2^{(\lambda_{n-3}, n-2)} F_1^{(\lambda_{n-3})} \dots F_{n-2}^{(\lambda_2, n-1)} \dots F_1^{(\lambda_2)},$$

$$y' := F_{n-1}^{(\lambda_1, n-1)} \dots F_2^{(\lambda_1, 2)} F_1^{(\lambda_1)}.$$

Then  $x'_{\lambda, w} = yy'$ . By induction hypothesis,  $y, x'_{\lambda, w} \in \mathfrak{u}_\xi^-$ . By 2.2 (ii), then  $x'_{\lambda, w} = yz$  for some  $z \in \mathfrak{u}_\xi^-$ . Note that

$$x'_\lambda = F_1^{(\lambda_n)} F_2^{(\lambda_{n-1}, n)} \dots F_{n-1}^{(\lambda_2, n)} y F_n^{(\lambda_1, n)} z \quad \text{and} \quad F_1^{(\lambda_n)} F_2^{(\lambda_{n-1}, n)} \dots F_{n-1}^{(\lambda_2, n)} y = x'_{v, w},$$

where  $v := (\lambda_2, \dots, \lambda_n, \lambda_1)$ . According to induction hypothesis,  $x'_{v, w} \in \mathfrak{u}_\xi^-$ . Now by Lemma 4.12,  $x'_\lambda = x'_{v, w} F_n^{(\lambda_1, n)} z \in \bigcap_{i=1}^{n-1} \mathcal{H}_i$ . Combine this and (a) we see  $x'_\lambda \in \bigcap_{i=1}^n \mathcal{H}_i = \mathfrak{u}_\xi^-$ .

### §5. Main Results

**5.1.** In this section we give the main results of the paper. Essentially, they re-express some results in previous sections. Recall that in 1.4 we have defined the element  $x_\lambda \in U_\xi^-$  for every  $\lambda$  in  $\mathbb{Z}_+^n$ .

**Theorem 5.2.** Assume that  $U_\xi$  has no factors of type  $G_2$ . If  $\lambda$  is  $\mathfrak{l}$ -restricted, then  $x_\lambda$  and  $x'_\lambda$  are elements in  $\mathfrak{u}_\xi^-$ .

*Proof.* By Theorem 4.2 (ii),  $x'_\lambda$  is an element in  $\mathfrak{u}_\xi^-$ . We have  $x_\lambda = x'_{-w_0 \lambda}$ . Note that  $-w_0 \lambda$  is also  $\mathfrak{l}$ -restricted, by Theorem 4.2 (ii),  $x_\lambda \in \mathfrak{u}_\xi^-$ .

**Theorem 5.3.** Assume that  $U_\xi$  has no factors of type  $G_2$ . Let  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n) \in \mathbb{Z}_+^n$  be  $\mathfrak{l}$ -restricted and let  $\sigma = (\sigma_1, \sigma_2, \dots, \sigma_n) \in \{\pm 1\}^n$ . Denote by  $\mathfrak{u}_\xi(\lambda, \sigma)$  the left ideal of  $\mathfrak{u}_\xi$  generated by the elements  $E_\alpha, K_i - \sigma_i \xi^{\lambda, \alpha}$  ( $\alpha \in R_1^+, i = 1, 2, \dots, n$ ) and elements  $F \in \mathfrak{u}_\xi^-$  such that  $Fx_{\kappa-\lambda} = 0$ . Then

- (i)  $\mathbf{u}_\xi/\mathbf{u}_\xi(\lambda, \sigma)$  is an irreducible  $\mathbf{u}_\xi$ -module. Moreover, as  $\mathbf{u}_\xi$ -modules,  $L_\xi(\lambda, \sigma)$  is isomorphic to  $\mathbf{u}_\xi/\mathbf{u}_\xi(\lambda, \sigma)$ .
- (ii) For any  $\gamma \in \mathbb{NR}^+$ , denote  $\mathbf{u}_{\xi, \gamma}^-$  the set of all elements in  $\mathbf{u}_\xi^-$  of degree  $\gamma$ , and set  $\mathbf{n}_\xi(\lambda, \gamma) := \{F \in \mathbf{u}_{\xi, \gamma}^- \mid Fx_{\kappa-\lambda} = 0\}$ . Then

$$\dim_{\mathbf{Q}(\xi)} L_\xi(\lambda, \sigma)_{\lambda-\gamma, \sigma} = \dim_{\mathbf{Q}(\xi)} \mathbf{u}_{\xi, \gamma}^- - \dim_{\mathbf{Q}(\xi)} \mathbf{n}_\xi(\lambda, \gamma).$$

In particular, we have

$$\dim_{\mathbf{Q}(\xi)} L_\xi(\lambda, \sigma) = \prod_{\alpha \in R^+} l_\alpha - \dim_{\mathbf{Q}(\xi)} \{F \in \mathbf{u}_\xi^- \mid Fx_{\kappa-\lambda} = 0\}.$$

*Proof.* (i) Let  $\tilde{J}_{\lambda, \sigma}$  be the left ideal of  $\tilde{\mathbf{u}}_\xi$  generated by the elements  $E_\alpha, K_i - \sigma_i \xi^{\lambda, d_i}, \begin{bmatrix} K_i & c \\ a \end{bmatrix} - \sigma_i^a \begin{bmatrix} \lambda_i + c \\ a \end{bmatrix}_{d_i, \xi}$  ( $\alpha \in R_1^+, i = 1, 2, \dots, n, c \in \mathbf{Z}, a \in \mathbf{N}$ ) and elements  $F \in \mathbf{u}_\xi^-$  such that  $Fx_{\kappa-\lambda} = 0$ . Since  $x_{\kappa-\lambda} = x'_{\kappa+w_0\lambda}$ , by Theorem 4.2 (ii), Corollary 2.7 (ii) and Prop. 2.6 (ii) we see that  $\tilde{\mathbf{u}}_\xi/\tilde{J}_{\lambda, \sigma} \simeq \tilde{L}_\xi(\lambda, \sigma)$ . But  $\lambda$  is  $\mathbf{l}$ -restricted, so the restriction to  $\mathbf{u}_\xi$  of  $\tilde{L}_\xi(\lambda, \sigma)$  is an irreducible  $\mathbf{u}_\xi$ -module. Obviously, the restriction is isomorphic to  $\mathbf{u}_\xi/\mathbf{u}_\xi(\lambda, \sigma)$ . Since  $\tilde{L}_\xi(\lambda, \sigma)$  is the restriction to  $\tilde{\mathbf{u}}_\xi$  of the irreducible  $U_\xi$ -module  $L_\xi(\lambda, \sigma)$ , so as  $\mathbf{u}_\xi$ -modules,  $L_\xi(\lambda, \sigma)$  is isomorphic to  $\mathbf{u}_\xi/\mathbf{u}_\xi(\lambda, \sigma)$ .

Part (ii) is an immediate consequence of part (i).

The theorem is proved.

**Theorem 5.4.** Assume that  $U_\xi$  has no factors of type  $G_2$ . Let  $\lambda, \mu \in \mathbf{Z}_+^n$  and let  $\sigma = (\sigma_1, \sigma_2, \dots, \sigma_n) \in \{\pm 1\}^n$ . Assume that  $\lambda$  is  $\mathbf{l}$ -restricted. Denote by  $J_{\mathbf{1}\mu+\lambda, \sigma}$  the left ideal of  $U_\xi$  generated by all elements in  $I_{\mathbf{1}\mu+\lambda, \sigma}$  (see 1.2 for the definition) and elements  $F \in \mathbf{u}_\xi^-$  such that  $Fx_{\kappa-\lambda} = 0$ . Then  $U_\xi/J_{\mathbf{1}\mu+\lambda, \sigma} \simeq L_\xi(\mathbf{1}\mu + \lambda, \sigma)$ .

*Proof.* Since  $L_\xi(\mathbf{1}\mu + \lambda, \sigma)$  is a quotient module of  $V_\xi(\mathbf{1}\mu + \lambda, \sigma)$ , we have  $I_{\mathbf{1}\mu+\lambda, \sigma} \bar{v}_{\mathbf{1}\mu+\lambda, \sigma} = 0$ . Noting that  $L_\xi(\mathbf{1}\mu + \lambda, \sigma) \simeq L_\xi(\mathbf{1}\mu, \mathbf{1}) \otimes L_\xi(\lambda, \sigma)$  (see 2.2 (iv)), by 2.2 (v) and Theorem 5.3 (i) we know that  $F\bar{v}_{\mathbf{1}\mu+\lambda, \sigma} = 0$  if  $F \in \mathbf{u}_\xi^-$  and  $Fx_{\kappa-\lambda} = 0$ . Therefore we have  $J_{\mathbf{1}\mu+\lambda, \sigma} \bar{v}_{\mathbf{1}\mu+\lambda, \sigma} = 0$ . Note that

(a)  $L_\xi(\mathbf{1}\mu, \mathbf{1}) \otimes L_\xi(\kappa, \sigma) \simeq L_\xi(\mathbf{1}\mu, \mathbf{1}) \otimes V_\xi(\kappa, \sigma) \simeq V_\xi(\mathbf{1}\mu + \kappa, \sigma)$ .

Let  $z_1, z_2, \dots, z_k, \dots$ , be a  $\mathbf{Q}(\xi)$ -basis of  $U_{\xi, \mathbf{l}}^-$  such that

(b) The elements  $z_b \bar{v}_{\mathbf{1}\mu}$  ( $b = 1, 2, \dots, k$ ) form a  $\mathbf{Q}(\xi)$ -basis of the irreducible module  $L_\xi(\mathbf{1}\mu)$ , and  $z_b \bar{v}_{\mathbf{1}\mu} = 0$  for  $b = k + 1, k + 2, \dots$ .

Let  $\bar{I}$  be the  $\mathbf{Q}(\xi)$ -space spanned by the elements  $z_h F$  ( $1 \leq h \leq k, F \in \mathbf{u}_\xi^-$ ). According to (a) and (b) we have  $\bar{I} + I_{\mathbf{1}\mu+\kappa, \sigma}^- = U_{\xi, \mathbf{l}}^-$ . Since  $I_{\mathbf{1}\mu+\kappa, \sigma}^- \subseteq I_{\mathbf{1}\mu+\lambda, \sigma}$ , as  $\mathbf{Q}(\xi)$ -spaces we have

$$U_\xi/J_{\mathbf{1}\mu+\lambda, \sigma} \simeq U_{\xi, \mathbf{l}}^-/U_{\xi, \mathbf{l}}^- \cap J_{\mathbf{1}\mu+\lambda, \sigma} \simeq \bar{I}/\bar{I} \cap J_{\mathbf{1}\mu+\lambda, \sigma}.$$

By Theorem 5.3,  $\dim_{\mathbf{Q}(\xi)} \bar{I} \cap J_{\mathbf{1}\mu+\lambda, \sigma} \geq k(\dim_{\mathbf{Q}(\xi)} \mathbf{u}_\xi^- - \dim_{\mathbf{Q}(\xi)} L_\xi(\lambda, \sigma))$ . Since

$\dim_{\mathbf{Q}(\xi)} \bar{I} = k \dim_{\mathbf{Q}(\xi)} \mathbf{u}_{\xi}^{-}$ , we have

$$\dim_{\mathbf{Q}(\xi)} U_{\xi} / J_{\mathbf{1}\mu + \lambda, \sigma} \leq k \dim_{\mathbf{Q}(\xi)} L_{\xi}(\lambda, \sigma) = \dim_{\mathbf{Q}(\xi)} L_{\xi}(\mathbf{1}\mu + \lambda, \sigma).$$

This forces that  $U_{\xi} / J_{\mathbf{1}\mu + \lambda, \sigma}$  and  $L_{\xi}(\mathbf{1}\mu + \lambda, \sigma)$  have the same dimension and as  $U_{\xi}$ -modules, they are isomorphic.

The theorem is proved

From the above proof we get the following result.

**Corollary 5.5.** *Keep the setup in Theorem 5.4. Then the left ideal  $J_{\mathbf{1}\mu + \lambda, \sigma} \cap U_{\xi}^{-}$  of  $U_{\xi}^{-}$  is generated by the elements  $F_i^{(\mathbf{1}, \mu_i + \lambda_i)}$  ( $i = 1, 2, \dots, n$ ) and elements  $F \in \mathbf{u}_{\xi}^{-}$  such that  $FX_{\kappa - \lambda} = 0$ .*

### §6. Hyperalgebra

**6.1.** In this section we consider the hyperalgebra  $\mathcal{U}_{\mathfrak{t}}$  along the same line in the previous sections, the discussion will be brief. We often omit those proofs which are essentially the same as in the previous sections.

Recall that  $\mathfrak{g}$  is a semisimple Lie algebra over  $\mathbf{C}$  and  $\mathcal{U}$  is the universal enveloping algebra of  $\mathfrak{g}$ . Let  $e_{\alpha}, f_{\alpha}, h_i$  ( $\alpha \in R^+, i = 1, 2, \dots, n$ ) be a Chevalley basis of  $\mathfrak{g}$ . We also write  $e_i, f_i$  for  $e_{\alpha_i}, f_{\alpha_i}$  ( $i = 1, 2, \dots, n$ ). The Kostant  $\mathbf{Z}$ -form  $\mathcal{U}_{\mathbf{Z}}$  of  $\mathcal{U}$  is the  $\mathbf{Z}$ -subalgebra of  $\mathcal{U}$  generated by the elements  $e_{\alpha}^{(k)} := e_{\alpha}^k / k!, f_{\alpha}^{(k)} := f_{\alpha}^k / k!$  for  $\alpha \in R^+$  and  $k \in \mathbf{N}$ . Set

$$\binom{h_i + c}{k} := \frac{(h_i + c)(h_i + c - 1) \cdots (h_i + c - k + 1)}{k!},$$

then  $\binom{h_i + c}{k} \in \mathcal{U}_{\mathbf{Z}}$ , for  $i = 1, 2, \dots, n, c \in \mathbf{Z}, k \in \mathbf{N}$ . Let  $\mathfrak{f}$  be an algebraically closed field of prime characteristic  $p$ . Define  $\mathcal{U}_{\mathfrak{t}} := \mathcal{U}_{\mathbf{Z}} \otimes \mathfrak{f}$  and call  $\mathcal{U}_{\mathfrak{t}}$  the hyperalgebra associated to  $\mathfrak{g}$  and  $\mathfrak{f}$ . Let  $\mathcal{U}_{\mathfrak{t}}^+, \mathcal{U}_{\mathfrak{t}}^-, \mathcal{U}_{\mathfrak{t}}^0$  be the positive part, negative part, zero part of  $\mathcal{U}_{\mathfrak{t}}$  respectively. To simplify notation, the images in  $\mathcal{U}_{\mathfrak{t}}$  of  $e_{\alpha}^{(k)}, f_{\alpha}^{(k)}, \binom{h_i + c}{k}$ , etc. will be denoted by the same notations respectively. The algebra  $\mathcal{U}_{\mathfrak{t}}$  is a Hopf algebra, the coproduct, denoted also by  $\Delta$ , is defined as follows:

$$\Delta(e_{\alpha}^{(k)}) = \sum_{q=0}^k e_{\alpha}^{(q)} \otimes e_{\alpha}^{(k-q)}, \quad \Delta(f_{\alpha}^{(k)}) = \sum_{q=0}^k f_{\alpha}^{(q)} \otimes f_{\alpha}^{(k-q)}.$$

The tensor product of two  $\mathcal{U}_{\mathfrak{t}}$ -modules then has a natural  $\mathcal{U}_{\mathfrak{t}}$ -module structure by means of the coproduct, and the antipode can be used to define the dual module of a  $\mathcal{U}_{\mathfrak{t}}$ -module.

Given a positive integer  $a$ , let  $u_a$  be the  $a$ -th Frobenius kernel of  $\mathcal{U}_{\mathfrak{t}}$ . By definition,  $u_a$  is the subalgebra of  $\mathcal{U}_{\mathfrak{t}}$  generated by the elements  $e_{\alpha}^{(k)}, f_{\alpha}^{(k)}, \binom{h_i}{k}$  for  $\alpha \in R^+, i = 1, 2, \dots, n, 0 \leq k < p^a$ . Denote by  $u_a^+, u_a^-, u_a^0$  the positive part,

negative part, zero part of  $u_a$  respectively. Let  $\tilde{u}_a$  be the subalgebra of  $\mathfrak{U}_t$  generated by all elements in  $u_a \cup \mathfrak{U}_t^0$ , then  $\tilde{u}_a = u_a^- \mathfrak{U}_t^0 u_a^+$ . Let  $\mathfrak{U}_{t,a}$  be the subalgebra of  $\mathfrak{U}_t$  generated by the elements  $1, e_\alpha^{(p^b)}, f_\alpha^{(p^b)}, \binom{h_i}{p^b}$  for  $\alpha \in R^+, i = 1, 2, \dots, n, b \geq a$ . Let  $\mathfrak{U}_{t,a}^+, \mathfrak{U}_{t,a}^-, \mathfrak{U}_{t,a}^0$  be the positive part, negative part, zero part of  $\mathfrak{U}_{t,a}$  respectively. The following results are easy to check.

- (i) Let  $g \in u_a$ . We have  $e_i^{(p^a)}g - ge_i^{(p^a)} \in u_a$  and  $f_i^{(p^a)}g - gf_i^{(p^a)} \in u_a$ .
- (ii) Let  $\{g_k\}$  be a basis of  $u_a^-$  and  $\{G_q\}$  be a basis of  $\mathfrak{U}_{t,a}$ , then  $\{g_k G_q\}$  is a basis of  $\mathfrak{U}_t^-$ , so is  $\{G_q g_k\}$ .
- (iii) There exists a unique surjective  $\mathfrak{k}$ -algebra homomorphism  $\mathfrak{U}_t \rightarrow \mathfrak{U}_t$  such that  $e_\alpha^{(kp^a)} \rightarrow e_\alpha^{(k)}, f_\alpha^{(kp^a)} \rightarrow f_\alpha^{(k)}, \binom{h_i}{kp^a} \rightarrow \binom{h_i}{k}$  for  $\alpha \in R^+, i = 1, 2, \dots, n, k \in \mathbb{N}$ , and such that  $e_\alpha^{(k)} \rightarrow 0, f_\alpha^{(k)} \rightarrow 0, \binom{h_i}{k} \rightarrow 0$  if  $k$  is not divisible by  $p^a$ . In particular  $\mathfrak{U}_{t,a}$  is isomorphic to  $\mathfrak{U}_t$ .

*Proof.* The  $\mathfrak{k}$ -algebra homomorphism is obtained from the  $a$ -th Frobenius map of the simply connected, semisimple algebraic group (associated to  $\mathfrak{g}$ ) over  $\mathfrak{k}$ . One also can see (iii) by using the commutation relations among the generators of  $\mathfrak{U}_t$ .

We order  $R^+$  so that  $R^+ = \{\beta_1, \beta_2, \dots, \beta_r\}$  where  $\beta_i \leq \beta_j$  implies that  $i \geq j$ . For  $a \in \mathbb{N}$ , set  $f_{(p^a-1)\rho} = f_{\beta_1}^{(p^a-1)} f_{\beta_2}^{(p^a-1)} \dots f_{\beta_r}^{(p^a-1)}$ . For  $b \geq a$  we set  $f'_{p^a(p^b-a-1)\rho} = f_{\beta_r}^{(p^b-p^a)} f_{\beta_{r-1}}^{(p^b-p^a)} \dots f_{\beta_1}^{(p^b-p^a)}$ . Since in  $\mathfrak{k}$  we have  $\binom{p^b-1}{p^a-1} = 1$  and  $f'_{p^a(p^b-a-1)\rho} f_{(p^a-1)\rho} \in u_b^-$ , we get

- (iv) Let  $a, b \in \mathbb{N}$  with  $b \geq a$ . Then

$$f_{(p^b-1)\rho} = f'_{p^a(p^b-a-1)\rho} f_{(p^a-1)\rho}.$$

Using commutation relations among  $f_\alpha^{(k)}$  ( $\alpha \in R^+, k \in \mathbb{N}$ ) and using induction on  $j \in [1, r]$  we get

- (v) Let  $a, k \in \mathbb{N}$  with  $k \geq p^a$  and let  $j \in [1, r]$ . Then for each  $i$  in  $[1, n]$  we have

$$f_i^{(k)} f_{\beta_1}^{(p^a-1)} \dots f_{\beta_j}^{(p^a-1)} = f_{\beta_1}^{(p^a-1)} \dots f_{\beta_j}^{(p^a-1)} f_i^{(k)}.$$

In particular,

$$f_i^{(k)} f_{(p^a-1)\rho} = f_{(p^a-1)\rho} f_i^{(k)}.$$

**6.2.** Let  $\mathfrak{U}'_t$  be a subalgebra of  $\mathfrak{U}_t$  containing  $\mathfrak{U}_t^0$  and let  $M$  be a  $\mathfrak{U}'_t$ -module. Let  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n) \in \mathbb{Z}^n$ . An element  $m \in M$  is called to have weight  $\lambda$  if  $\binom{h_i}{k} m = \binom{\lambda_i}{k} m$  for  $i = 1, 2, \dots, n, k \in \mathbb{N}$ . Denote by  $M_\lambda$  the set of all elements in  $M$  of weight  $\lambda$ . We call  $\lambda$  a weight of  $M$  if  $M_\lambda$  is not zero. An element  $g \in \mathfrak{U}'_t$  is said to have degree  $\gamma \in \mathbb{Z}R$  if  $\binom{h_i}{k} g = g \binom{h_i - \langle \gamma, \alpha_i^\vee \rangle}{k}$  for  $i = 1, 2, \dots, n, k \geq 0$ . If an element  $g$  in  $\mathfrak{U}'_t$  has degree  $\gamma$ , then obviously  $gM_\lambda \subseteq M_{\lambda-\gamma}$ . We list some well known properties and supply proofs for a few of them. The letters  $a, b$  will stand for positive integers.

(i) If  $M$  is a finite dimensional  $\mathfrak{U}_t$ -module, then  $\dim M_\lambda = \dim M_{w\lambda}$  for all  $\lambda \in \mathbb{Z}^n$ ,  $w \in W$ .

Given  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n) \in \mathbb{Z}_+^n$ , let  $\mathfrak{F}_\lambda^+$  be the left ideal of  $\mathfrak{U}_t$  generated by the elements  $e_i^{(k)}, \binom{h_i}{k} - \binom{\lambda_i}{k}$  for  $i = 1, 2, \dots, n$ ,  $k \geq 1$ , and let  $\mathfrak{F}_\lambda^-$  be the left ideal of  $\mathfrak{U}_t^-$  generated by the elements  $f_i^{(k)}$  for  $i = 1, 2, \dots, n$ ,  $k_i \geq \lambda_i + 1$ . Then let  $\mathfrak{F}_\lambda$  be the left ideal of  $\mathfrak{U}_t$  generated by all elements in  $\mathfrak{F}_\lambda^+ \cup \mathfrak{F}_\lambda^-$ . Then

(ii) The  $\mathfrak{U}_t$ -module  $V_t(\lambda) := \mathfrak{U}_t / \mathfrak{F}_\lambda$  is of finite dimension and has a unique irreducible quotient module, denoted by  $L_t(\lambda)$ . The dimension of  $V_t(\lambda)$  is given by Weyl's character formula. By abuse of notations, we also denote  $v_\lambda$  the image in  $V_t(\lambda)$  of the neutral element  $1 \in \mathfrak{U}_t$ , and denote  $\bar{v}_\lambda$  the image in  $L_t(\lambda)$  of  $v_\lambda$ . The map  $\lambda \rightarrow L_t(\lambda)$  defines a bijection between the set  $\mathbb{Z}_+^n$  and the set of isomorphism classes of irreducible  $\mathfrak{U}_t$ -modules of finite dimensions.

(iii) When  $\lambda$  is  $p^a$ -restricted (i.e.  $0 \leq \langle \lambda, \alpha_i^\vee \rangle < p^a$  for  $i = 1, 2, \dots, n$ ), the restriction to  $\mathfrak{u}_a$  (resp.  $\tilde{\mathfrak{u}}_a$ ) of  $L_t(\lambda)$  is an irreducible  $\mathfrak{u}_a$ -module (resp.  $\tilde{\mathfrak{u}}_a$ -module), denote the restriction by  $L_{t,a}(\lambda)$  (resp.  $\tilde{L}_{t,a}(\lambda)$ ).

(iv) Assume  $\lambda \in p^a \mathbb{Z}_+^n$ , then  $e_\alpha^{(k)} m = f_\alpha^{(k)} m = 0$  for all  $m \in L_t(\lambda)$ ,  $\alpha \in R^+$ ,  $1 \leq k < p^a$ .

*Proof.* Use 6.1 (iii) and 6.2 (ii).

(v) Assume that  $\delta_0, \delta_1, \dots, \delta_b \in \mathbb{Z}_+^n$  are  $p$ -restricted and set  $\lambda := p^b \delta_b + \dots + p \delta_1 + \delta_0$ . Then (Steinberg's tensor product theorem)

$$L_t(\lambda) \simeq L_t(p^b \delta_b) \otimes \dots \otimes L_t(p \delta_1) \otimes L_t(\delta_0).$$

*Proof.* Use (iv) and the trick in the proof of [L2, Theorem 7.4, p. 73].

Let  $M$  be a  $\mathfrak{U}_t$ -module (resp.  $\tilde{\mathfrak{u}}_a$ -module). A nonzero element  $m$  in  $M$  is called primitive if  $m \in M_\lambda$  for some  $\lambda \in \mathbb{Z}^n$  and  $e_i^{(k)} m = 0$  for  $i = 1, 2, \dots, n$ ,  $k \geq 1$  (resp.  $e_\alpha^{(k)} m = 0$  for all  $\alpha \in R^+$ ,  $1 \leq k \leq p^a - 1$ ).

(vi) Let  $M$  be a finite dimensional  $\mathfrak{U}_t$ -module. Assume that  $m \in M$  is a primitive element of weight  $\lambda$ . Then  $\lambda \in \mathbb{Z}_+^n$  and there is a unique  $\mathfrak{U}_t$ -module homomorphism  $V_t(\lambda) \rightarrow M$  which carries  $v_\lambda$  to  $m$ .

*Proof.* By (i) we see  $s_i \lambda \leq \lambda$  for  $i = 1, 2, \dots, n$ , that is  $\lambda \in \mathbb{Z}_+^n$ . Assume that  $f_i^{(k)} m$  is not zero, again by (i) we see  $s_i(\lambda - k\alpha_i) \leq \lambda$ , i.e.,  $k \leq \langle \lambda, \alpha_i^\vee \rangle$ . According to the definition of  $V_t(\lambda)$  we know that the required  $\mathfrak{U}_t$ -homomorphism exists.

Given  $\mu = (\mu_1, \mu_2, \dots, \mu_n) \in \mathbb{Z}^n$ , let  $\tilde{\mathfrak{F}}_{\mu,a}^+$  be the left ideal of  $\tilde{\mathfrak{u}}_a$  generated by the elements  $e_\alpha^{(k)}, \binom{h_i}{k} - \binom{\lambda_i}{k}$  for  $\alpha \in R^+$ ,  $i = 1, 2, \dots, n$ ,  $1 \leq k < p^a$ ,  $k' \in \mathbb{N}$ . Denote by  $\tilde{Z}_{t,a}(\mu)$  the Verma module  $\tilde{\mathfrak{u}}_a / \tilde{\mathfrak{F}}_{\mu,a}^+$  of  $\tilde{\mathfrak{u}}_a$  with highest weight  $\mu$ . We shall denote  $\tilde{1}_{\mu,a}$  the image in  $\tilde{Z}_{t,a}(\mu)$  of the neutral element  $1 \in \tilde{\mathfrak{u}}_a$ . We have

(vii) Each Verma module of  $\tilde{\mathfrak{u}}_a$  has a unique irreducible submodule. Assume that  $\lambda$  is  $p^a$ -restricted. Then the irreducible  $\tilde{\mathfrak{u}}_a$ -submodule of  $\tilde{Z}_{t,a}((p^a - 1)\rho + \lambda)$  (resp.  $\tilde{Z}_{t,a}(2(p^a - 1)\rho + w_0 \lambda)$ ) is isomorphic to  $\tilde{L}_{t,a}((p^a - 1)\rho + w_0 \lambda)$  (resp.  $\tilde{L}_{t,a}(\lambda)$ ) and is generated by  $f_{(p^a-1)\rho} \tilde{1}_{(p^a-1)\rho+\lambda,a}$  (resp.  $f_{(p^a-1)\rho} \tilde{1}_{2(p^a-1)\rho+w_0\lambda,a}$ ), where  $\rho = (1, \dots, 1) \in \mathbb{Z}_+^n$ . In particular,  $\tilde{Z}_{t,a}((p^a - 1)\rho)$  is isomorphic to

$\tilde{L}_{t,a}((p^a - 1)\rho)$ . [J4, 6.2 (1) p.190].

One also can see (vii) as the same way of proving Prop. 2.6.

(viii) The module  $V_t((p^a - 1)\rho)$  is irreducible. And as a  $\tilde{u}_a$ -module,  $V_t((p^a - 1)\rho)$  is isomorphic to  $\tilde{Z}_{t,a}((p^a - 1)\rho)$ .

*Proof.* Use (iii) and (vii).

By (vii) we get

(ix) Assume that  $\lambda \in \mathbf{Z}_+^n$  is  $p^a$ -restricted. Then there exists a nonzero element  $\eta'_\lambda$  in  $u_a^-$  (unique up to a scalar) of degree  $\lambda - w_0\lambda$  such that  $\eta'_\lambda \tilde{1}_{\mu,a}$  is primitive in  $\tilde{Z}_{t,a}((p^a - 1)\rho + \lambda)$ , where  $\mu = (p^a - 1)\rho + \lambda$ . Necessarily,  $\eta'_\lambda \tilde{1}_{\mu,a}$  generates the unique irreducible submodule of  $\tilde{Z}_{t,a}((p^a - 1)\rho + \lambda)$ .

Using 6.1 (v), in the same way as the proof of Theorem 3.7 (ii) we get

(x) Assume that  $\lambda$  is  $p^a$ -restricted. Then  $\eta'_\lambda v_\mu$  is primitive in  $V_t(\mu)$ , where  $\mu = (p^a - 1)\rho + \lambda$  and  $\eta'_\lambda v_\mu$  generates an irreducible submodule of  $V_t(\mu)$ , which is isomorphic to  $L_t((p^a - 1)\rho + w_0\lambda)$ . (Cf. [J4, Section 6.3, p.191].)

Assume that  $\lambda \in \mathbf{Z}_+^n$  is  $p^a$ -restricted and  $b \geq a$ . Let  $M := L_t(p^a(p^{b-a} - 1)\rho)$

$\otimes V_t((p^a - 1)\rho + \lambda)$  and  $m := \bar{v}_{p^a(p^{b-a}-1)\rho} \otimes v_{(p^a-1)\rho+\lambda}$ .  
 (xi) Keep the notation above. Regarding  $M$  as a  $\tilde{u}_b$ -module, we have a unique  $\tilde{u}_b$ -homomorphism  $\tilde{Z}_{t,b}((p^b - 1)\rho + \lambda) \rightarrow M$  which carries  $\tilde{1}_{(p^b-1)\rho+\lambda,b}$  to  $m$ . We claim the homomorphism is injective.

*Proof.* By (viii) and 6.1 (iii), the elements  $f_{\beta_r}^{(k_r p^a)} f_{\beta_{r-1}}^{(k_{r-1} p^a)} \dots f_{\beta_1}^{(k_1 p^a)} \bar{v}_{p^a(p^{b-a}-1)\rho}$  ( $0 \leq k_r, \dots, k_1 \leq p^{b-a} - 1$ ) form a basis of  $L_t(p^a(p^{b-a} - 1)\rho)$ . Since  $\mathfrak{F}_{(p^a-1)\rho+\lambda}^- \subseteq \mathfrak{F}_{(p^a-1)\rho}^-$ , by (vii) and (viii), the elements  $f_{\beta_1}^{(k_1)} f_{\beta_2}^{(k_2)} \dots f_{\beta_r}^{(k_r)} v_{(p^a-1)\rho+\lambda}$  ( $0 \leq k_1, \dots, k_r \leq p^a - 1$ ) are linearly independent in  $V_t((p^a - 1)\rho + \lambda)$ . Combining these and using (iv) and 6.1 (iv), we see

$$f_{(p^b-1)\rho} m = f'_{p^a(p^{b-a}-1)\rho} (\bar{v}_{p^a(p^{b-a}-1)\rho} \otimes f_{(p^a-1)\rho} v_{(p^a-1)\rho+\lambda}) \neq 0.$$

By (vii) we see the homomorphism is injective.

Since  $\eta'_\lambda m = \bar{v}_{p^a(p^{b-a}-1)\rho} \otimes \eta'_\lambda v_{(p^a-1)\rho+\lambda}$  is primitive in  $M$  (see (x)) and  $\eta'_\lambda \in u_a^- \subseteq u_b^-$ , using (xi) we see  $\eta'_\lambda \tilde{1}_{(p^b-1)\rho+\lambda,b}$  is primitive in  $\tilde{Z}_{t,b}((p^b - 1)\rho + \lambda)$ . Applying (ix) we get

(xii) Assume that  $\lambda \in \mathbf{Z}_+^n$  is  $p^a$ -restricted and  $b \geq a$ . Let  $\eta'_\lambda$  be as in (ix). Then  $\eta'_\lambda \tilde{1}_{(p^b-1)\rho+\lambda,b}$  is primitive in  $\tilde{Z}_{t,b}((p^b - 1)\rho + \lambda)$  and generates the unique irreducible submodule of  $\tilde{Z}_{t,b}((p^b - 1)\rho + \lambda)$  which is isomorphic to  $\tilde{L}_{t,b}((p^b - 1)\rho + w_0\lambda)$ .

Applying (x) we get

(xiii) Assume that  $\lambda \in \mathbf{Z}_+^n$  is  $p^a$ -restricted and  $b \geq a$ . Let  $\eta'_\lambda$  be as in (ix). Then  $\eta'_\lambda v_{(p^b-1)\rho+\lambda}$  is primitive in  $V_t((p^b - 1)\rho + \lambda)$  and generates an irreducible submodule of  $V_t((p^b - 1)\rho + \lambda)$  with highest weight  $(p^b - 1)\rho + w_0\lambda$ .

*Remark.* Let  $\lambda$  be  $p^a$ -restricted. According to 3.3 (viii),  $M' := V_t((p^a - 1)\rho) \otimes V_t(\lambda)$  has a filtration of Weyl modules. So  $V_t((p^a - 1)\rho + \lambda)$  is isomorphic to

a submodule of  $M'$  (cf. Lemma 3.6 (i)). It is well known that as a  $\tilde{u}_a$ -module,  $M'$  is projective and injective. Therefore  $V_i((p^a - 1)\rho + \lambda)$  has a unique irreducible  $\mathcal{U}_i$ -module if as a  $\tilde{u}_a$ -module  $V_i((p^a - 1)\rho + \lambda)$  is indecomposable.

**6.3.** Given  $\lambda \in \mathbb{Z}_+^n$ ,  $w \in W$ , define the monomials  $r_{\lambda,w}, r'_{\lambda,w}, r_\lambda, r'_\lambda$  of  $f_i^{(k)}$  ( $i = 1, 2, \dots, n, k \geq 0$ ) in the same way as 1.4. Depending on the contexts, the monomials will be regarded as elements in  $\mathcal{U}_i$  or elements in  $\mathcal{U}$ . We state the analogues of a few results in Section 4 and Section 5. The letters  $a, b$  will stand for positive integers.

**Lemma 6.4.** *Assume that  $\lambda \in \mathbb{Z}_+^n$  is  $p^a$ -restricted and  $b > 6a|R^+|$ . Let  $w \in W$  and  $\mu = (p^b - 1)\rho + \lambda$ . Then*

- (i) *In  $V_i(\mu)$  we have  $r'_{\lambda,w}v_\mu \neq 0$ .*
- (ii) *If  $k \geq 1$ , then in  $V_i(\mu)$  we have  $e_i^{(k)}r'_{\lambda,w}u_\mu = 0$  for  $i = 1, 2, \dots, n$ . That is,  $r'_{\lambda,w}v_\mu$  is primitive in  $V_i(\mu)$ . In particular we have*
- (iii) *The element  $r'_\lambda v_\mu$  is primitive in  $V_i(\mu)$ .*

*Proof.* Part (i) is obvious (cf. Lemma 4.4 (i) and its proof). Now we prove (ii). Set  $\lambda_i := \langle \lambda, \alpha_i^\vee \rangle$  for  $i = 1, 2, \dots, n$ . Use induction on  $l(w)$  we see that

- (a) There exist  $g_1, g_2, \dots, g_k$  in  $\mathcal{U}_i$  such that

$$e_i^{(k)}r'_{\lambda,w} = r'_{\lambda,w}e_i^{(k)} + g_1 \binom{h_i + 1 - \lambda_i}{1} + g_2 \binom{h_i + 2 - \lambda_i}{2} + \dots + g_k \binom{h_i + k - \lambda_i}{k}.$$

When  $b > 6a|R^+|$ , we must have  $\langle u\lambda, \alpha_j^\vee \rangle < p^b$  for all  $u \in W$  and  $j = 1, 2, \dots, n$ . According to the definition of  $r'_{\lambda,w}$ , we may require that  $g_k = 0$  when  $k' \geq p^b$ . Note that  $g_k \binom{h_i + k' - \lambda_i}{k'} v_\mu = 0$  if  $1 \leq k' < p^b$ . Now according to (a) we get (ii).

The lemma is proved.

**Theorem 6.5.** (i) *Assume that  $\lambda \in \mathbb{Z}_+^n$  is  $p^a$ -restricted. Then  $r_\lambda$  and  $r'_\lambda$  are elements in  $u_a^-$ .*

- (ii)  *$r'_\lambda \tilde{1}_{(p^a-1)\rho+\lambda}$  is primitive in  $\tilde{Z}_{t,a}((p^a - 1)\rho + \lambda)$  and generates the unique irreducible submodule of  $\tilde{Z}_{t,a}((p^a - 1)\rho + \lambda)$ , which is isomorphic to  $\tilde{L}_{t,a}((p^a - 1)\rho + w_0\lambda)$ .*

*Proof.* Let  $b > 6a|R^+|$ . Since  $\mathfrak{S}_{(p^b-1)\rho+\lambda}^- \subseteq \mathfrak{S}_{(p^b-1)\rho}^-$ , we see the  $\tilde{u}_b$ -homomorphism  $\tilde{Z}_{t,b}((p^b - 1)\rho + \lambda) \rightarrow V_i((p^b - 1)\rho + \lambda)$ ,  $\tilde{1}_{(p^b-1)\rho+\lambda,b} \rightarrow v_{(p^b-1)\rho+\lambda}$ , is injective. By our choice of  $b$  we see  $r'_\lambda \in \tilde{u}_b^-$ . By Lemma 6.4 (iii) we see  $r'_\lambda \tilde{1}_{(p^b-1)\rho+\lambda,b}$  is primitive in  $\tilde{Z}_{t,b}((p^b - 1)\rho + \lambda)$ . Since  $r'_\lambda$  has degree  $\lambda - w_0\lambda$ , by 6.2 (xii), 6.2 (vii) and 6.2 (ix) (replacing  $a$  by  $b$ ), we see  $r'_\lambda = \theta v'_\lambda \in \tilde{u}_a^-$  for some nonzero  $\theta \in \mathbb{f}$ . We have  $r_\lambda = r'_{-w_0\lambda}$ , since  $-w_0\lambda$  is also  $p^a$ -restricted, so  $r_\lambda \in u_a^-$ . (i) is proved.

- (ii) follows from 6.2 (xii) and the proof of (i).

**6.6. Remark.** We also can prove Theorem 6.5 (i) by using Theorem 5.2 provided that every simple component of  $\mathfrak{g}$  is not of type  $G_2$ .

If  $p$  is odd, choose a  $p^a$ -th primitive root  $\xi$  of 1. If  $p = 2$ , choose a  $2^{a+1}$ -th primitive root  $\xi$  of 1. Let  $U'_\xi$  be the  $\mathbf{Z}[\xi]$ -subalgebra of  $U_\xi$  generated by the elements  $E_i^{(k)}, F_i^{(k)}, K_i, K_i^{-1}$  for  $i = 1, 2, \dots, n, k \geq 0$ . Consider the  $\mathfrak{f}$ -algebra  $\mathcal{U}'_\xi := U'_\xi \otimes_{\mathbf{Z}[\xi]} \mathfrak{f}$ , where  $\mathfrak{f}$  is regarded as a  $\mathbf{Z}[\xi]$ -algebra through the ring homomorphism  $\mathbf{Z}[\xi] \rightarrow \mathfrak{f}, \xi \rightarrow 1$ . For simplicity, the images in  $\mathcal{U}'_\xi$  of  $E_i^{(k)}, F_i^{(k)}, K_i, K_i^{-1}$ , etc. will be denoted by the same notations respectively.

Let  $\mathcal{K}'$  be the two-sided ideal of  $\mathcal{U}'_\xi$  generated by  $K_1 - 1, \dots, K_n - 1$ . Set  $\mathcal{U}_\xi := \mathcal{U}'_\xi / \mathcal{K}'$ . Again for simplicity, the images in  $\mathcal{U}_\xi$  of  $E_i^{(k)}, F_i^{(k)}, K_i, K_i^{-1}$ , etc. will be denoted by the same notations respectively. The following result is due to Lusztig [L3, 6.7 (d), p.295] (cf. 1.6).

(i) There is a unique  $\mathfrak{f}$ -algebra isomorphism  $\mathcal{U}_\xi \rightarrow \mathfrak{U}_\mathfrak{t}$  such that  $E_i^{(k)}$  maps to  $e_i^{(k)}, F_i^{(k)}$  maps to  $f_i^{(k)}, [K_i, 0]$  maps to  $(\begin{smallmatrix} h_i \\ k \end{smallmatrix})$ , for  $i = 1, 2, \dots, n, k \in \mathbf{N}$ .

When  $\mathfrak{g}$  is of type  $A_n, D_n, E_n$ ; or  $B_n, C_n, F_4$  and  $p$  is odd, Theorem 6.5 (i) is a simple consequence of (i) and Theorem 5.2. When  $\mathfrak{g}$  is of type  $B_n, C_n, F_4$  and  $p = 2$ , one may prove Theorem 6.5 (i) by direct calculations.

**Theorem 6.7.** Assume that  $\lambda \in \mathbf{Z}_+^n$  is  $p^a$ -restricted.

(i) Let  $\mathfrak{S}'_\lambda$  be the left ideal of  $\mathfrak{U}_\mathfrak{t}$  generated by the elements  $e_i^{(k)}, (\begin{smallmatrix} h_i \\ k \end{smallmatrix}) - \langle \lambda, \alpha_i^\vee \rangle, f_i^{(k)}$  ( $i = 1, 2, \dots, n, k \geq 1, k_i \geq p^a$ ) and elements  $f \in \mathfrak{u}_a^-$  such that  $f\mathfrak{r}_{(p^a-1)\rho-\lambda} = 0$ , then  $\mathfrak{U}_\mathfrak{t} / \mathfrak{S}'_\lambda \simeq L_\mathfrak{t}(\lambda)$ .

(ii) Let  $\mathfrak{u}_a(\lambda)$  be the left ideal of  $\mathfrak{u}_a$  generated by the elements  $e_i^{(k)}, (\begin{smallmatrix} h_i \\ k \end{smallmatrix}) - \langle \lambda, \alpha_i^\vee \rangle$  ( $\alpha \in \mathbf{R}^+, i = 1, 2, \dots, n, 1 \leq k \leq p^a - 1$ ) and elements  $f \in \mathfrak{u}_a^-$  such that  $f\mathfrak{r}_{(p^a-1)\rho-\lambda} = 0$ , then  $\mathfrak{u}_a / \mathfrak{u}_a(\lambda) \simeq L_{\mathfrak{t},a}(\lambda)$ .

(iii) For any  $\gamma \in \mathbf{NR}^+$ , denote  $\mathfrak{u}_{a,\gamma}^-$  the set of all elements in  $\mathfrak{u}_a^-$  of degree  $\gamma$  and denote  $\mathfrak{n}_a(\lambda, \gamma)$  the set  $\{f \in \mathfrak{u}_{a,\gamma}^- \mid f\mathfrak{r}_{(p^a-1)\rho-\lambda} = 0\}$ , then

$$\dim L_\mathfrak{t}(\lambda)_{\lambda-\gamma} = \dim \mathfrak{u}_{a,\gamma}^- - \dim \mathfrak{n}_a(\lambda, \gamma).$$

In particular, we have

$$\dim L_\mathfrak{t}(\lambda) = p^{a|\mathbf{R}^+|} - \dim \{f \in \mathfrak{u}_a^- \mid f\mathfrak{r}_{(p^a-1)\rho-\lambda} = 0\}.$$

*Proof.* Since  $\mathfrak{r}_{(p^a-1)\rho-\lambda} = \mathfrak{r}'_{(p^a-1)\rho+w_0\lambda}$ , (ii) follows from Theorem 6.5 (ii) and 6.2 (iii). (i) and (iii) follow from (ii).

### §7. Questions

**7.1.** Recall that  $\xi$  is a root of 1 of order  $l \geq 3$ . For  $i \in [1, n], k \in \mathbf{N}$ , denote  $\Theta_{i,k}$  the  $\mathbf{Q}(\xi)$ -linear homomorphism  $U_\xi \rightarrow U_\xi, x \rightarrow xF_i^{(k)}$ . The kernel and the image of  $\Theta_{i,k}$  are easily described by means of PBW Theorem. Assume that  $\lambda \in \mathbf{Z}_+^n$  is  $l$ -restricted. Let  $s_{i_1}s_{i_2} \cdots s_{i_r}$  be a reduced expression of the longest element of  $W$ . Set  $k_h := \langle s_{i_{h-1}} \cdots s_{i_1}(\kappa - \lambda), \alpha_{i_h}^\vee \rangle, \delta_h := k_1\alpha_{i_1} + \cdots + k_h\alpha_{i_h}$ ,

$h = 1, \dots, r$ . Recall that for any  $\gamma \in \mathbb{NR}^+$  we denote  $\mathbf{u}_{\xi, \gamma}^-$  the set of all elements in  $\mathbf{u}_{\xi}^-$  of degree  $\gamma$ . Given  $\beta \in \mathbb{NR}^+$ , set

$$\begin{aligned} D_{0, \beta} &= \dim_{\mathbf{Q}(\xi)} \mathbf{u}_{\xi, \beta}^-, \\ D_{1, \beta} &= \dim_{\mathbf{Q}(\xi)} \Theta_{i_1, k_1}(\mathbf{u}_{\xi, \beta}^-), \\ D_{2, \beta} &= \min \{D_{1, \beta}, \dim_{\mathbf{Q}(\xi)} \Theta_{i_2, k_2}(\mathbf{u}_{\xi, \beta + \delta_1}^-)\}, \\ &\dots\dots \\ D_{h, \beta} &= \min \{D_{h-1, \beta}, \dim_{\mathbf{Q}(\xi)} \Theta_{i_h, k_h}(\mathbf{u}_{\xi, \beta + \delta_{h-1}}^-)\}, \\ &\dots\dots \\ D_{r, \beta} &= \min \{D_{r-1, \beta}, \dim_{\mathbf{Q}(\xi)} \Theta_{i_r, k_r}(\mathbf{u}_{\xi, \beta + \delta_{r-1}}^-)\}. \end{aligned}$$

**Conjecture A.** *The number  $D_{r, \beta}$  is independent of the choice of the reduced expression of  $w_0$  and  $\dim_{\mathbf{Q}(\xi)} L_{\xi}(\lambda)_{\lambda - \beta} = D_{r, \beta}$ .*

**7.2.** For  $i \in [1, n]$ ,  $k \in \mathbb{N}$ , denote  $\theta_{i, k}$  the  $\mathbb{F}$ -linear homomorphism  $\mathbf{U}_i \rightarrow \mathbf{U}_i$ ,  $x \rightarrow x f_i^{(k)}$ . The kernel and the image of  $\theta_{i, k}$  are easily described by means of PBW Theorem. Assume that  $\lambda \in \mathbb{Z}_+^n$  is  $p^a$ -restricted. Let  $s_{i_1} s_{i_2} \dots s_{i_r}$  be a reduced expression of the longest element of  $W$ . Set  $k_h := \langle s_{i_{h-1}} \dots s_{i_1} ((p^a - 1)\rho - \lambda), \alpha_{i_h}^\vee \rangle$ ,  $\delta_h := k_1 \alpha_{i_1} + \dots + k_h \alpha_{i_h}$ ,  $h = 1, \dots, r$ . Recall that for any  $\gamma \in \mathbb{NR}^+$  we denote  $\mathbf{u}_{a, \gamma}^-$  the set of all elements in  $\mathbf{u}_a^-$  of degree  $\gamma$ . Given  $\beta \in \mathbb{NR}^+$ , set

$$\begin{aligned} \mathfrak{d}_{0, \beta} &= \dim \mathbf{u}_{\xi, \beta}^-, \\ \mathfrak{d}_{1, \beta} &= \dim \theta_{i_1, k_1}(\mathbf{u}_{\xi, \beta}^-), \\ \mathfrak{d}_{2, \beta} &= \min \{\mathfrak{d}_{1, \beta}, \dim \theta_{i_2, k_2}(\mathbf{u}_{\xi, \beta + \delta_1}^-)\}, \\ &\dots\dots \\ \mathfrak{d}_{h, \beta} &= \min \{\mathfrak{d}_{h-1, \beta}, \dim \theta_{i_h, k_h}(\mathbf{u}_{\xi, \beta + \delta_{h-1}}^-)\}, \\ &\dots\dots \\ \mathfrak{d}_{r, \beta} &= \min \{\mathfrak{d}_{r-1, \beta}, \dim \theta_{i_r, k_r}(\mathbf{u}_{\xi, \beta + \delta_{r-1}}^-)\}. \end{aligned}$$

**Conjecture B.** *The number  $\mathfrak{d}_{r, \beta}$  is independent of the choice of the reduced expression of  $w_0$  and  $\dim L_{\mathfrak{t}, a}(\lambda)_{\lambda - \beta} = \mathfrak{d}_{r, \beta}$  provided that  $p \geq$  the Coxeter number of the root system  $R$  associated to  $\mathfrak{g}$ .*

**7.3.** Let  $\phi_l$  be the  $l$ -th cyclomatic polynomial (i.e. the minimal polynomial of  $\xi$ ). Denote by  $\mathcal{A}$  the localization of  $\mathbf{Q}[v, v^{-1}]$  at its prime ideal generated by  $\phi_l$ . Let  $U_{\mathcal{A}}$  be the  $\mathcal{A}$ -subalgebra of  $U$  generated by the elements  $E_i^{(a)}, F_i^{(a)}, K_i, K_i^{-1}$  for  $i = 1, 2, \dots, n$ ,  $a \geq 0$  and let  $U_{\mathcal{A}}^b$  be the  $\mathcal{A}$ -subalgebra of

$U_{\mathcal{A}}$  generated by the elements  $F_i^{(a)}, K_i, K_i^{-1}, \begin{bmatrix} K_i, c \\ a \end{bmatrix}$  for  $i = 1, 2, \dots, n$ ,  $c \in \mathbb{Z}$ ,

$a \in \mathbb{N}$ . Define the category  $\mathcal{C}$  (resp.  $\mathcal{C}^b$ ) of  $U_{\mathcal{A}}$ -modules (resp.  $U_{\mathcal{A}}^b$ -modules) as in [APW, 2.2, p.17]. Then define the induction functor  $H^0: \mathcal{C}^b \rightarrow \mathcal{C}$  as in [APW, 2.8, p.19]. For each  $k \in \mathbb{N}$  we then have a derived functor  $H^k: \mathcal{C}^b \rightarrow \mathcal{C}$ .

Given  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n) \in \mathbb{Z}^n$ , let  $F_i^{(a)}$  acts on  $\mathcal{A}$  by scalar zero and let  $K_i, \begin{bmatrix} K_i, c \\ a \end{bmatrix}$  act on  $\mathcal{A}$  by scalar  $v^{d_i \lambda_i}, \begin{bmatrix} \lambda_i + c \\ a \end{bmatrix}_{d_i}$  respectively,  $i = 1, 2, \dots, n, c \in \mathbb{Z}, a \geq 1$ . This defines a  $U_{\mathcal{A}}^b$ -module structure on  $\mathcal{A}$ . We denote the  $U_{\mathcal{A}}^b$ -module by  $\mathcal{A}_\lambda$ . We shall simply write  $H^k(\lambda)$  for  $H^k(\mathcal{A}_\lambda)$ .

Recall that  $U_v = U$ . We drop the index  $v$  and the index  $1$  in all notations involving them. So  $V(\lambda)$  will stand for  $V_v(\lambda)$ . Let  $\lambda, \mu \in \mathbb{Z}_+^n$ . Assume that  $\lambda \in \mathbb{Z}_+^n$  is  $1$ -restricted. Given  $w \in W$ , set

$$H_w(\mathbf{1}\mu + \lambda) := \{yv_{\mathbf{1}\mu + \lambda} \mid y \in U \text{ and } yx_{\kappa - \lambda, w} \in U_{\mathcal{A}}\}.$$

Then  $H_w(\mathbf{1}\mu + \lambda)$  is a free  $\mathcal{A}$ -submodule of  $V(\mathbf{1}\mu + \lambda)$ .

**Conjecture C.** *The  $U_{\mathcal{A}}$ -module  $H_w(\mathbf{1}\mu + \lambda)$  is the free part of the cohomology group  $H^{l(w^{-1}w_0)}(w^{-1}w_0(\mathbf{1}\mu + \lambda + \rho) - \rho)$ .*

**7.4.** Keep the notations in Section 6. Denote by  $A$  the localization of  $\mathbb{Z}$  at its prime ideal generated by  $p$ . Let  $\mathcal{U}_A$  be the  $A$ -subalgebra of  $\mathcal{U}$  generated by the elements  $e_i^{(k)}, f_i^{(k)}$  for  $i = 1, 2, \dots, n, k \geq 0$  and let  $\mathcal{U}_A^b$  be the  $A$ -subalgebra of  $\mathcal{U}_A$  generated by the elements  $f_i^{(k)}, \binom{h_i + c}{k}$  for  $i = 1, 2, \dots, n, c \in \mathbb{Z}, k \in \mathbb{N}$ . Define the category  $\mathfrak{C}$  (resp.  $\mathfrak{C}^b$ ) of  $\mathcal{U}_A$ -modules (resp.  $\mathcal{U}_A^b$ -modules) in a similar way of [APW, 2.2, p.17]. Then define the induction functor  $\mathcal{H}^0: \mathfrak{C}^b \rightarrow \mathfrak{C}$  as in [APW, 2.8, p.19]. For each  $k \in \mathbb{N}$  we then have a derived functor  $\mathcal{H}^k: \mathfrak{C}^b \rightarrow \mathfrak{C}$ .

Given  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n) \in \mathbb{Z}^n$ , let  $f_i^{(k)}$  acts on  $A$  by scalar zero and let  $\binom{h_i + c}{k}$  acts on  $A$  by scalar  $\binom{\lambda_i + c}{k}$  for  $i = 1, 2, \dots, n, c \in \mathbb{Z}, k \geq 1$ . This defines a  $\mathcal{U}_A^b$ -module structure on  $A$ . We denote the  $\mathcal{U}_A^b$ -module by  $A_\lambda$ . We shall simply write  $\mathcal{H}^k(\lambda)$  for  $\mathcal{H}^k(A_\lambda)$ .

For every  $\lambda \in \mathbb{Z}_+^n$ , denote  $M(\lambda)$  an irreducible  $\mathcal{U}$ -module of highest weight  $\lambda$ . Let  $m_\lambda$  be a nonzero element in  $M(\lambda)$  of weight  $\lambda$ . Assume that  $\lambda \in \mathbb{Z}_+^n$  is  $p^a$ -restricted. Given  $w \in W$ , set

$$\mathcal{H}_w(\lambda) := \{ym_\lambda \mid y \in \mathcal{U} \text{ and } yr_{(p^a - 1)\rho - \lambda, w} \in \mathcal{U}_A\}.$$

Then  $\mathcal{H}_w(\lambda)$  is a free  $A$ -submodule of  $M(\lambda)$ .

**Conjecture D.** (i) *The  $\mathcal{U}_A$ -module  $\mathcal{H}_w(\lambda)$  is well defined and is the free part of the cohomology group  $\mathcal{H}^{l(w^{-1}w_0)}(w^{-1}w_0(\lambda + \rho) - \rho)$ .*

(ii) *The module  $\mathcal{H}^{l(w)}(w(\lambda + \rho) - \rho)$  is isomorphic to the cohomology group  $H^{l(w)}(G_A/B_A, w(\lambda + \rho) - \rho)$  defined in [A, Section 2, p. 501] (which has a natural*

$\mathfrak{U}_A$ -module structure), where  $G_A$  is the ‘simply connected’ Chevalley group over  $A$  and associated to  $\mathfrak{g}$  and  $B_A$  is a suitable ‘Borel subgroup’ of  $G_A$ .

7.5. Keep the notations in 7.3. Let  $s_{i_k} \cdots s_{i_2} s_{i_1}$  be a reduced expression of  $w$ . For  $h = 1, \dots, k$ , set  $a_h := \langle s_{i_{h-1}} s_{i_{h-2}} \cdots s_{i_1} \lambda, \alpha_{i_h}^\vee \rangle$ ,  $v_h := \langle \mathbf{1}\mu + \kappa, \alpha_{i_h}^\vee \rangle + a_h$ ,  $d'_h = d_{i_h}$ . Then define

$$a_{\lambda, w} := \begin{bmatrix} v_1 \\ a_1 \end{bmatrix}_{d'_1} \begin{bmatrix} v_2 \\ a_2 \end{bmatrix}_{d'_2} \cdots \begin{bmatrix} v_k \\ a_k \end{bmatrix}_{d'_k}.$$

**Conjecture E.** As  $U_{\mathcal{A}}$ -modules,  $U_{\mathcal{A}} x'_{\lambda, w} v_{\mathbf{1}\mu + \kappa + \lambda} / a_{\lambda, w}$  is isomorphic to  $H_w(\mathbf{1}\mu + \kappa + \lambda)$ .

7.6. Keep the notations in 7.4. Let  $s_{i_k} \cdots s_{i_2} s_{i_1}$  be a reduced expression of  $w$ . For  $h = 1, \dots, k$ , set  $a_h := \langle s_{i_{h-1}} s_{i_{h-2}} \cdots s_{i_1} \lambda, \alpha_{i_h}^\vee \rangle$ ,  $v_h := p^a - 1 + a_h$ . And define

$$\tilde{a}_{\lambda, w} := \begin{pmatrix} v_1 \\ a_1 \end{pmatrix} \begin{pmatrix} v_2 \\ a_2 \end{pmatrix} \cdots \begin{pmatrix} v_k \\ a_k \end{pmatrix}.$$

**Conjecture F.** As  $\mathfrak{U}_A$ -modules,  $\mathfrak{U}_A x'_{\lambda, w} v_\mu / \tilde{a}_{\lambda, w}$  is isomorphic to  $\mathcal{H}_w(\mu)$ , where  $\mu = (p^a - 1)\rho + \lambda$ .

7.7. Keep the notations in 7.3. Let  $\Omega: U_{\mathcal{A}} \rightarrow U_{\mathcal{A}}^{opp}$  be the  $\mathbf{Q}$ -algebra homomorphism defined by (cf. [L4, 1.1 (d1), p.91])

$$\Omega E_i^{(a)} = F_i^{(a)}, \quad \Omega F_i^{(a)} = E_i^{(a)}, \quad \Omega K_i = K_i^{-1}, \quad \Omega v = v^{-1}.$$

Given  $\lambda \in \mathbf{Z}_+^n$ , we define  $V_{\mathcal{A}}(\lambda) := U_{\mathcal{A}} v_\lambda$ . There exists a unique  $\mathbf{Q}$ -bilinear form  $(\ , \ ) : V_{\mathcal{A}}(\lambda) \times V_{\mathcal{A}}(\lambda) \rightarrow \mathcal{A}$  such that (cf. [CK, 1.9, p.482])

- (a)  $(\varphi u, u') = \varphi(u, u')$ ,  $(u, \varphi u') = \bar{\varphi}(u, u')$ ,
- (b)  $(u, u') = \overline{(u', u)}$ ,
- (c)  $(v_\lambda, v_\lambda) = 1$ ,  $(xu, u') = (u, \Omega(x)u')$ ,

where  $\varphi = \varphi(v) \in \mathcal{A}$  and  $\bar{\varphi} = \varphi(v^{-1})$  (that is,  $\bar{\ \cdot \ }$  denotes the  $\mathbf{Q}$ -algebra homomorphism  $\mathcal{A} \rightarrow \mathcal{A}$ ,  $v \rightarrow v^{-1}$ );  $u, u'$  are elements in  $V_{\mathcal{A}}(\lambda)$  and  $x \in U_{\mathcal{A}}$ .

Assume that  $\lambda$  is  $\mathbf{l}$ -restricted and  $\mu \in \mathbf{Z}_+^n$ . For each integer  $k \in \mathbf{N}$ , set  $V_{\mathcal{A}}(\mathbf{1}\mu + \lambda)_k := \{u \in V_{\mathcal{A}}(\mathbf{1}\mu + \lambda) \mid (u, u') \in \phi_l^k \mathcal{A} \text{ for all elements } u' \text{ in } V_{\mathcal{A}}(\mathbf{1}\mu + \lambda)\}$ ,

$$V_{\mathcal{A}}(\mathbf{1}\mu + \lambda)'_k := \{y v_{\mathbf{1}\mu + \lambda} \mid y \in U_{\mathcal{A}} \text{ and } y x_{\kappa - \lambda} \in \phi_l^k U_{\mathcal{A}}\}.$$

**Assertion.** We have  $V_{\mathcal{A}}(\mathbf{1}\mu + \lambda)'_{q+1} \subseteq \phi_l V_{\mathcal{A}}(\mathbf{1}\mu + \lambda)$ , where  $q = \#\{\alpha \in R^+ \mid \langle \kappa - \lambda, \alpha^\vee \rangle \text{ is not divisible by } l_\alpha\}$ . In particular we have  $V_{\mathcal{A}}(\mathbf{1}\mu + \lambda)'_{r+1} \subseteq \phi_l V_{\mathcal{A}}(\mathbf{1}\mu + \lambda)$  (recall that  $r = |R^+|$ ).

*Proof.* For each  $x \in U_{\mathcal{A}}$ ,  $x F_l^{(k)}$  is not in  $\phi_l U_{\mathcal{A}}$  if  $x \notin \phi_l U_{\mathcal{A}}$  and  $k$  is divisible

by  $l_i$ . Now the assertion follows from Prop. 41.1.7 in [L7, p.326] and the definition of  $x_{\kappa-\lambda}$ .

**Conjecture G.**  $V_{\mathcal{A}}(\mathbf{1}\mu + \lambda)_k = V_{\mathcal{A}}(\mathbf{1}\mu + \lambda)'_k$  for  $k = 0, 1, 2, \dots, r, r + 1$ .

**7.8.** Keep the notations in 7.4. Let  $\omega: \mathfrak{U}_A \rightarrow \mathfrak{U}_A^{opp}$  be the  $A$ -algebra homomorphism defined by

$$\omega e_{\alpha}^{(k)} = f_{\alpha}^{(k)}, \quad \omega f_{\alpha}^{(k)} = e_{\alpha}^{(k)}.$$

For each  $\lambda \in \mathbf{Z}_+^n$ , we set  $M_A(\lambda) := \mathfrak{U}_A m_{\lambda}$ . There exists a unique  $A$ -bilinear form  $(\ , \ ) : M_A(\lambda) \times M_A(\lambda) \rightarrow A$  such that (cf. [J2, Section 2, p.56])

- (a)  $(\theta m, m') = (m, \theta m') = \theta(m, m')$ ,
- (b)  $(m, m') = (m', m)$ ,
- (c)  $(m_{\lambda}, m_{\lambda}) = 1, (gm, m') = (m, \omega(g)m')$ ,

where  $\theta \in A, m, m'$  are elements in  $M_A(\lambda)$  and  $g \in \mathfrak{U}_A$ .

Assume that  $\lambda$  is  $p^a$ -restricted. Following Jantzen [J2, Lemma 3, p.56], for each integer  $k \in \mathbf{N}$ , set

$$M_A(\lambda)_k := \{m \in M_A(\lambda) \mid (m, m') \in p^k A \text{ for all elements } m' \text{ in } M_A(\lambda)\},$$

$$M_A(\lambda)'_k := \{gm_{\lambda} \mid g \in \mathfrak{U}_A \text{ and } g^{\varepsilon_{(p^a-1)\rho-\lambda}} \in p^k \mathfrak{U}_A\}.$$

**Conjecture H.**  $M_A(\lambda)_k = M_A(\lambda)'_k$  for every  $k \in \mathbf{N}$ .

**7.9.** Recall that in  $U^-$  a monomial of  $F_i^{(k)}$  ( $i = 1, 2, \dots, n, k \geq 0$ ) is called to be tight (resp. semi-tight) [L8, Section 1, p.108] if the monomial is an element of the canonical basis of  $U^-$  (resp. a  $\mathbf{Z}$ -linear combination of elements in the canonical basis of  $U^-$ ).

It was hoped that for each  $\lambda \in \mathbf{Z}_+^n$  and  $w \in W$ , the monomials  $x_{\lambda, w}, x'_{\lambda, w} \in U^-$  are tight. This is true for type  $A_1, A_2, A_3, B_2$  and  $A_4$  (see [L5, 3.4; L8, Prop. 13; L6, 12.8, p.64; X2]). But in general this is not true. For example, for type  $G_2$ , let  $\lambda = (1, 0)$ , then  $x_{\lambda}$  is semi-tight but not tight (see [X2]). I donot know whether all  $x_{\lambda, w}, x'_{\lambda, w}$  are semi-tight, or equivalently all  $x'_{\lambda}$  are semi-tight.

We may express the elements  $x_{\lambda}$  as  $\mathbf{Q}(v)$ -linear combinations of various Poincaré-Birkhoff-Witt Bases. It is rather difficult to see relations between the coefficients and Kazhdan-Lusztig polynomials for affine Weyl groups, even for type  $A_2$ .

**7.10.** Recall that in 2.1 we have defined the integer  $l_{\alpha}$  for each  $\alpha \in R^+$ . Assume that  $\mathfrak{g}$  is simple. In  $\mathbf{R}^n$ , consider the hyperplanes

$$H_{\alpha, k} := \{e \in \mathbf{R}^n \mid \langle e + \rho, \alpha^{\vee} \rangle = kl_{\alpha}\}, \quad \alpha \in R^+, k \in \mathbf{Z}.$$

Denote by  $s_{\alpha, k}$  the corresponding reflections of  $\mathbf{R}^n$ , that is

$$s_{\alpha,k}(e) = e - (\langle e + \rho, \alpha^\vee \rangle - kl_\alpha)\alpha, \quad e \in \mathbb{R}^n.$$

These reflections generate an affine Weyl group  $W_1$ , which is the affine Weyl group associated to the Cartan matrix  $(a_{ij})$  when  $l_1 = \dots = l_n$ , the affine Weyl group associated to the transpose matrix of the Cartan matrix  $(a_{ij})$  when  $l_i \neq l_j$  for some  $i, j$ .

**Conjecture I.** *The Conjecture 8.2 in [L2, p.75] is true in terms of  $W_1$  and  $U_\xi$ .*

**7.11.** It would be interesting to describe clearly the injective hull (or projective cover) in  $\mathcal{C}$  of  $L_\xi(\lambda)$  ( $\lambda \in \mathbb{Z}_+^n$ ).

It is known that the category  $\tilde{\mathcal{C}}_a$  of finite dimensional  $\tilde{u}_a$ -modules has enough injective and projective objects. Question: describe clearly the injective hulls (or projective covers) in  $\tilde{\mathcal{C}}_a$  of irreducible  $\tilde{u}_a$ -modules of finite dimension.

**7.12.** We give some indication of evidence and motivations for the conjectures above. All conjectures are true for type  $A_1$ . Conjectures C and G are true for  $\lambda = \kappa$ , Conjectures D and H are true for  $\lambda = (p^n - 1)\rho$ , Conjectures E and F are true for  $\lambda = 0$ .

For an irreducible  $U$ -module  $L$  of finite dimension, one may compute the character  $\text{ch}(L)$  of  $L$  through Weyl's character formula. In [L5, Theorem 8.13; L6, 12.5, p.63], an effective algorithm for computing  $\text{ch}(L)$  has been established (except for type  $G_2$ ). It would be interesting to find an effective algorithm for computing the character  $\text{ch}(L_\xi)$  (resp.  $\text{ch}(L_t)$ ) of an irreducible  $U_\xi$ -module  $L_\xi$  (resp.  $\mathfrak{U}_t$ -module) of finite dimension. For types  $A_2, B_2$ , the author also checked some cases for Conjectures A and B. In Conjecture B there is a restriction on  $p$ , which is based on the following example due to Andersen and Jantzen.

Assume that  $l$  is a prime number  $\geq 3$  and  $0 < a < l - 1$ . Let  $\lambda = (a, l - 1, l - 1, \dots, l - 1, l - a - 2)$  and  $\lambda' = (l - a - 2, a, l - 1, \dots, l - 1, l - a - 2, a)$  be elements in  $\mathbb{Z}_+^{l+2}$ . If  $\text{char } \mathbb{F} = l$ , then for type  $A_{l+2}$  one has  $\text{ch } L_\xi(\lambda) = \text{ch } V_\xi(\lambda)$  and  $\text{ch } L_t(\lambda) = \text{ch } V_t(\lambda) - \text{ch } V_t(\lambda')$ .

The  $U_\xi$ -module  $V_\xi(\mathbb{1}\mu + 2\kappa + w_0\lambda)$  has a unique irreducible submodule which is isomorphic to  $L_\xi(\mathbb{1}\mu + \lambda)$  and is generated by  $x_{\kappa - \lambda} v_{\mathbb{1}\mu + 2\kappa + w_0\lambda}$  (see Theorems 3.7 and 4.2). From this one should be able to show  $H_{w_0}(\mathbb{1}\mu + \lambda) \otimes_{\mathcal{A}} \mathbb{Q}(\xi)$  has a unique irreducible submodule which is isomorphic to  $L_\xi(\mathbb{1}\mu + \lambda)$ . Thus  $H_{w_0}(\mathbb{1}\mu + \lambda)$  is isomorphic to  $H^0(\mathbb{1}\mu + \lambda)$ . Of course we should have  $H_e(\mathbb{1}\mu + \lambda) \simeq H^{l(w_0)}(w_0(\mathbb{1}\mu + \lambda + \rho) - \rho)$  (this is true when  $l$  is a prime number  $> 3$ , see [APW, Theorem 7.3, p.39]). Another evidence is the comparison between the natural homomorphisms

$$H_w(\mathbb{1}\mu + \lambda) \rightarrow H_{ww'}(\mathbb{1}\mu + \lambda),$$

$$H^{l(w^{-1}w_0)}(w^{-1}w_0(\mathbf{l}\mu + \lambda + \rho) - \rho) \rightarrow H^{l(w'^{-1}w^{-1}w_0)}(w'^{-1}w^{-1}w_0(\mathbf{l}\mu + \lambda + \rho) - \rho),$$

where  $w, w' \in W$  and  $l(ww') = l(w) + l(w')$ . For Conjecture D, the motivation is similar.

Conjectures E and F are true if  $l(w) \leq 1$ . I hope that it is not difficult to prove them for  $w = w_0$ .

It should not be difficult to prove that if  $yv_{\mathbf{l}\mu + \lambda} \neq 0$  in  $V(\mathbf{l}\mu + \lambda)$ , then  $yx_{\kappa - \lambda}v_{\mathbf{l}\mu + 2\kappa + w_0\lambda} \neq 0$  in  $V(\mathbf{l}\mu + 2\kappa + w_0\lambda)$ . Then one may prove Conjecture G for  $k = 1$  by using Theorems 4.2 and 3.7. The consideration for Conjecture H is similar.

The Conjecture I is a natural extension of Conjecture 8.2 in [L2, p. 75], which is proved (see [KL, Theorem 38.1, p. 438; KT, Theorem 4.1.2]). For type  $B_2, G_2$ , maybe Conjecture I could be proved in a similar way as [APW, Section 11, pp. 52–54]. The linkage principal is known (see [L9, 8.3, p. 244]). One may try to compute the determinant of the contravariant form of  $V_{\mathscr{A}}(\lambda)$  in a similar way as [J1, Teil II, Satz 1, p. 48] (cf. [KC, Prop. 1.9, p. 483]), then get a sum formula. It would be more interesting to eliminate the restriction on  $l$  in [APW].

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