

# Invariant Sheaves

By

Masaki KASHIWARA \*

## §0. Introduction

The sheaves of tangent vector fields, differential forms or differential operators are canonical. Namely they are invariant by the coordinate transformations. We call such sheaves *invariant sheaves*.

More precisely for a positive integer  $n$ , an invariant sheaf on  $n$ -manifold is given by the data: coherent  $\mathcal{O}_X$ -module  $F_X$  for each smooth variety  $X$  of dimension  $n$  and an isomorphism  $\beta(f) : f^*F_Y \xrightarrow{\sim} F_X$  for any étale morphism  $f : X \rightarrow Y$ . We assume that  $\beta(f)$  satisfies the chain condition (see §1 for the exact definition).

The purpose of this paper is to study the properties of invariant sheaves on  $n$ -manifold.

The first result is that the category  $I(n)$  of invariant sheaves is equivalent to the category of modules over a certain group  $G$  (with infinite dimension). Let us recall that the category of equivariant sheaves with respect to a transitive action is equivalent to the category of modules over the isotropy subgroup. In our case, manifold may be regarded as a homogeneous space of “the group” of all transformations, and the category of invariant sheaves is regarded as an equivariant sheaf with respect to this action. Let us take an  $n$ -dimensional vector space  $V$  and let  $G$  be the group of (formal) transformations that fix the origin. Hence  $G$  is a semi-direct product of  $GL_n$  and a projective limit of finite-dimensional unipotent groups. This  $G$  plays a role of the isotropy subgroup and we have

**Theorem.** *The category of invariant sheaves are equivalent to the category of  $G$ -modules.*

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\*Research Institute for Mathematical Sciences, Kyoto University, Sakyo-ku, Kyoto 606-8502, Japan.

The category  $I(n)$  of invariant sheaves has other remarkable structure: filtered rigid tensor category. The group  $G$  contains  $GL(V)$  as a subgroup and it contains  $\mathbb{G}_m$  as its center. With respect to  $\mathbb{G}_m$ , any  $G$ -module  $M$  has a weight decomposition  $M = \oplus M_l$ . For any  $l$  let us set  $W_l(M) = \oplus_{l' \leq l} M_{l'}$ . Then it turns out that  $W_l(M)$  is a sub- $G$ -module of  $M$ . Since the category of  $G$ -modules is equivalent to  $I(n)$ , any object  $F$  of  $I(n)$  has also a canonical finite filtration  $W$ , that we call the *weight filtration*. Thus,  $I(n)$  has a structure of filtered category. We say that  $F \in I(n)$  is *pure of weight  $w$*  if  $Gr_l^W F = 0$  for  $l \neq w$ . Then the category of pure invariant sheaves of weight  $w$  is equivalent to the category of  $GL(V)$ -modules with weight  $w$  (with respect to the  $\mathbb{G}_m$ -action). Hence any pure invariant sheaf is semisimple.

Moreover  $I(n)$  has a structure of tensor category by  $(F_1 \otimes F_2)_X = F_1 \otimes_{\mathcal{O}_X} F_2$ . Thus  $I(n)$  is a rigid tensor category.

The weight is preserved by the tensor product:  $Gr_l^W(F_1 \otimes F_2) = \oplus_{l=l_1+l_2} Gr_{l_1}^W(F_1) \otimes Gr_{l_2}^W(F_2)$ . This structure is very similar to the category of mixed Hodge structures or motives. In particular, we can see easily

(0.1) If  $F_\nu$  is pure of weight  $w_\nu$  ( $\nu = 1, 2$ ), then

$$\text{Ext}^j(F_1, F_2) = 0 \quad \text{for } w_1 - w_2 < j.$$

We conjecture

(0.2)  $\text{Ext}^j(F_1, F_2) = 0$  for  $j \neq w_1 - w_2$  and  $j < n$ .

This is translated to a conjecture of Lie algebra cohomology (Conjecture A.8 for Theorem A.3 in [F]). Hence (0.2) is already known for  $2j < n$ .

The group  $\text{Ext}^1(\mathcal{O}, \Omega^1)$  is one-dimensional, and its non-zero element is given by the extension  $0 \rightarrow \Omega^1 \rightarrow \Omega^{n \otimes -1} \otimes \mathcal{P}^{(1)}(\Omega^n) \rightarrow \mathcal{O} \rightarrow 0$ . Here  $\mathcal{P}^{(1)}(\Omega^n)_X = p_{1*}((\mathcal{O}_{X \times X}/I^2) \otimes p_2^* \Omega_X^n)$  where  $I$  is the defining ideal of the diagonal of  $X \times X$ , and  $p_1$  and  $p_2$  are the first and the second projection. Note that  $\mathcal{O}$  has weight 0 and  $\Omega^1$  has weight  $-1$ . When  $n = 1$ ,  $\text{Ext}^1(\mathcal{O}, \Omega^{1 \otimes 2})$  is non zero. Its non-zero element gives an extension

(0.3)  $0 \rightarrow \Omega^{1 \otimes 2} \xrightarrow{\varphi_0} K \xrightarrow{\varphi_1} \mathcal{O} \rightarrow 0.$

This is connected with the Schwartzian derivative. Namely, if we take a coordinate  $f$  of  $X$  then the sequence (0.3) splits. Hence there is an element  $s(f) \in K$  such that  $\varphi_1(s(f)) = 0$ . if we take another coordinate  $g$ , then there exists  $\omega \in \Omega_X^{1 \otimes 2}$  such that  $\varphi_0(\omega) = s(g) - s(f)$ . Then  $\omega$  is given by  $\{g; f\}(df)^{\otimes 2}$ . Here  $\{g; f\}$

is the Schwartzian derivative  $(d^3g/d^3f)/(dg/df) - 3(d^2f/d^2g)^2/2(df/dg)^2$ . This explains the cocycle condition of the Schwartzian derivatives:

$$\{h; g\}(dg)^{\otimes 2} + \{g; f\}(df)^{\otimes 2} = \{h; f\}(df)^{\otimes 2}.$$

For any  $n$ , the extension group  $\oplus_{j=0}^n \text{Ext}^j(\mathcal{O}, \Omega^j)$  has a structure of ring by

$$(0.5) \quad \begin{aligned} \text{Ext}^j(\mathcal{O}, \Omega^j) \otimes \text{Ext}^k(\mathcal{O}, \Omega^k) &\rightarrow \text{Ext}^{j+k}(\mathcal{O}, \Omega^j \otimes \Omega^k) \\ &\rightarrow \text{Ext}^{j+k}(\mathcal{O}, \Omega^{j+k}). \end{aligned}$$

There exists a canonical element  $c_j \in \text{Ext}^j(\mathcal{O}, \Omega^j)$  such that

$$\oplus \text{Ext}^j(\mathcal{O}, \Omega^j) \simeq k[c_1, \dots, c_n]'$$

Here  $k[c_1, \dots, c_n]' = k[c_1, \dots, c_n]/\{\text{degree} > n\}$ . This follows from a theorem of Lie algebra cohomologies (cf.[F]). This  $c_j$  is connected with the Chern classes. Namely for any  $n$ -manifold  $X$ , we have the homomorphism

$$\text{Ext}_{I(n)}^j(\mathcal{O}, \Omega^j) \rightarrow \text{Ext}_{\mathcal{O}_X}^j(\mathcal{O}_X, \Omega_X^j) = H^j(X; \Omega_X^j)$$

and the image of  $c_j$  give the  $j$ -th Chern class of  $X$ .

**§1. Definition**

We shall fix a positive integer  $n$ . Let  $S$  be a scheme. Let us first define the category  $\mathcal{S}_n(S)$  as follows. The objects of  $\mathcal{S}_n(S)$  are smooth morphisms  $X \xrightarrow{a} T$  over  $S$  with fiber dimension  $n$ . A morphism  $\varphi$  from  $X \xrightarrow{a} T$  to  $X' \xrightarrow{a'} T'$  in  $\mathcal{S}_n(S)$  is a pair  $(\varphi_s, \varphi_b)$  where  $\varphi_s : X \rightarrow X', \varphi_b : T \rightarrow T'$  are such that

$$\begin{array}{ccc} X & \xrightarrow{\varphi_s} & X' \\ a \downarrow & & \downarrow a' \\ T & \xrightarrow[\varphi_b]{} & T' \end{array}$$

commutes and that  $X \rightarrow X' \times_{T'} T$  is an étale morphism.

An invariant sheaf  $F$  is, by definition, given by following data:

- (1.1) To any object  $X \xrightarrow{a} T$  in  $\mathcal{S}_n(S)$ ,  
assign a quasi-coherent  $\mathcal{O}_X$ -module  $F(X \xrightarrow{a} T)$ ,
- (1.2) To any morphism  $\varphi : (X \rightarrow T) \rightarrow (X' \rightarrow T')$  in  $\mathcal{S}_n(S)$ ,  
assign an isomorphism  $\beta(\varphi) : \varphi_s^* F(X' \rightarrow T') \xrightarrow{\sim} F(X \rightarrow T)$ .

We assume that these data satisfy the following associative law:

(1.3) for a chain of morphisms  $(X \rightarrow T) \xrightarrow{\varphi} (X', T') \xrightarrow{\varphi'} (X'' \rightarrow T'')$ ,  
 the following diagram commutes

$$\begin{array}{ccc}
 \varphi_s^* \varphi_s'^* F(X'' \rightarrow T'') & \xrightarrow{\beta(\varphi')} & \varphi_s^* F(X' \rightarrow T') \\
 \downarrow \wr & & \downarrow \beta(\varphi) \\
 (\varphi_s' \circ \varphi_s)^* F(X'' \rightarrow T'') & \xrightarrow{\beta(\varphi' \circ \varphi)} & F(X \rightarrow T).
 \end{array}$$

In the sequel for an object  $X \xrightarrow{a} T$  in  $\mathcal{S}_n(S)$ , we write  $F_{X/T}$  for  $F(X \rightarrow T)$  if there is no afraid of confusion.

The invariant sheaves form an additive category in an evident way. We denote this category by  $I(n)_S$ . If there is no afraid of confusion we denote it by  $I(n)$ .

The category  $I(n)$  is a commutative tensor category. For objects  $F_1$  and  $F_2$  in  $I(n)$ ,  $F_1 \otimes F_2$  that associates  $F_{1X/T} \otimes_{\mathcal{O}_X} F_{2X/T}$  for any objects  $X \rightarrow T$  in  $\mathcal{S}_n(S)$  is evidently an object of  $I(n)$ . Moreover  $F_1 \otimes F_2 \cong F_2 \otimes F_1$ . Let us give several examples of invariant sheaves.

**Example 1.1.** The object  $\mathcal{O} \in I(n)$ . This associates to any  $X \rightarrow T$  the sheaf  $\mathcal{O}_X$ .

**Example 1.2.** The object  $\Omega^k \in I(n)$ . This associates to any  $X \rightarrow T$ , the sheaf  $\Omega_{X/T}^k$  of relative  $k$ -forms.

**Example 1.3.** The object  $\Theta \in I(n)$ . This associates to any  $X \rightarrow T$  the sheaf  $\Theta_{X/T}$  of relative tangent vectors.

**Example 1.4.**  $S^m(\Omega^k)$ . This associates  $S^m(\Omega_{X/T}^k)$ .

**Example 1.5.** For any object  $X \rightarrow T$  in  $\mathcal{S}_n(S)$ , let  $\Delta_{X/T}^{(m)}$  be the  $m$ -th infinitesimal neighborhood of the diagonal of  $X \times_T X$ . Namely if we denotes by  $I$  the defining ideal of the diagonal  $X \hookrightarrow X \times_T X$ , then  $\Delta_{X/T}^{(m)}$  is the subscheme of  $X \times_T X$  defined by  $I^{m+1}$ . For  $i = 1, 2$  let  $p_i$  be the composition  $\Delta_{X/T}^{(m)} \hookrightarrow X \times_T X \rightarrow X$  where the last arrow is the  $i$ -th projection. Then  $\mathcal{P}^{(m)}$  associates

$p_{1*}\mathcal{O}_{\Delta_{X/T}^{(m)}}$ . More generally, for any invariant sheaf  $F$ ,  $\mathcal{P}^{(m)}(F)$  that assigns  $p_{1*}p_2^*F_{X/T}$  is an invariant sheaf. Then there exists an exact sequence

$$0 \rightarrow S^m(\Omega^1) \otimes F \rightarrow \mathcal{P}^{(m)}(F) \rightarrow \mathcal{P}^{(m-1)}(F) \rightarrow 0.$$

**Example 1.6.**  $W_m(\mathcal{D})$ . This associates the sheaf  $W_m(\mathcal{D}_{X/T})$  of the (relative) differential operators of order at most  $m$ . We regard this as an  $\mathcal{O}_X$ -module by the left multiplication.

**Example 1.7.**  $W_m(\mathcal{D}^{op})$ . This associates the same sheaf  $W_m(\mathcal{D}_{X/T})$  but we regard this as an  $\mathcal{O}_X$ -module by the right multiplication.

## §2. Finiteness and Flat Conditions

### §2.1. Finiteness condition

For the sake of simplicity, let us assume that

$$(2.1.1) \quad S \text{ is Noetherian.}$$

We keep this assumption in the rest of paper. An invariant sheaf  $F$  is called coherent if  $F_{X/T}$  is of locally finite type for any  $X/T$  in  $\mathcal{S}_n(S)$ . Then  $F_{X/T}$  is necessarily locally of finite presentation. In fact there exists locally in  $X$  and  $T$  a morphism  $X/T$  to  $\mathbf{A}^n \times S/S$  in  $\mathcal{S}_n(S)$ . Since  $\mathbf{A}^n \times S$  is locally Noetherian,  $F_{\mathbf{A}^n \times S/S}$  is a coherent  $\mathcal{O}_{\mathbf{A}^n \times S}$ -module. Hence the pull-back  $F_{X/T}$  is locally of finite presentation.

Let us denote by  $I_c(n)$  the full subcategory of  $I(n)$  consisting of coherent invariant sheaves. Then we can see easily that  $I_c(n)$  is an abelian category.

### §2.2. Flat condition

An invariant sheaf  $F$  is called invariant vector bundle if  $F_{X/T}$  is flat over  $T$  and locally of finite presentation over  $\mathcal{O}_X$  for any  $X/T$  in  $\mathcal{S}_n(S)$ .

**Proposition 2.2.1.** *If  $F$  is an invariant vector bundle then  $F_{X/T}$  is locally free of finite rank for any  $X/T$  in  $\mathcal{S}_n(S)$ .*

*Proof.* It is enough to show that  $F_{\mathbb{A}^n \times S/S}$  is a locally free  $\mathcal{O}_{\mathbb{A}^n \times S}$ -module. Since this is flat over  $S$ , it is enough to show that for any  $s \in S$ ,  $F_{\mathbb{A}^n \times s/s}$  is locally free. Thus we may assume that  $S = \text{Spec}(k)$  for a field  $k$ . Since  $F_{\mathbb{A}^n}$  is equivariant over the translation group  $G$  and  $G$  acts transitively on  $\mathbb{A}^n$ . Hence  $F$  is locally free. □

Let us denote by  $I^b(n)$  the category of invariant vector bundles. If  $S$  is  $\text{Spec } k$  for a field  $k$ , then  $I^b(n)$  and  $I_c(n)$  coincides. The functor  $\otimes$  is an exact functor on  $I^b(n)$ , and a right exact functor on  $I_c(n)$ . For  $F$  in  $I^b(n)$ , let  $F^*$  be the invariant sheaf that associates  $\mathcal{H}om_{\mathcal{O}_X}(F_{X/T}, \mathcal{O}_X)$  with  $X/T$  in  $\mathcal{S}_n(S)$ . With this,  $I^b(n)$  has a structure of rigid tensor category.

### §3. Main Results

#### §3.1. Infinitesimal neighborhood

Let  $f : X \hookrightarrow Y$  be an embedding and let  $I$  be the defining ideal of  $f(X)$ . Then for  $m \geq 0$ ,  $\text{Spec}(\mathcal{O}_Y/I^{m+1})$  is called the  $m$ -th infinitesimal neighborhood of  $X$  (or of  $f : X \hookrightarrow Y$ ).

#### §3.2. The group $G$

Let us fix a locally free  $\mathcal{O}_S$ -module  $\mathcal{V}$  of rank  $n$ , (e.g.  $\mathcal{V} = \mathcal{O}_S^{\oplus n}$ ). Let  $V$  be the associated vector bundle  $\text{Spec}(S_{\mathcal{O}_S}(\mathcal{V}^*))$ . Then  $V \rightarrow S$  is an object of  $\mathcal{S}_n(S)$ . Let  $i : S \rightarrow V$  be the zero section and let us denote by  $W_m(V)$  its  $m$ -th infinitesimal neighborhood. Then  $S = W_0(V) \subset W_1(V) \subset \dots$  is an increasing sequence of subschemes of  $V$ . Let us set

$$G(m) = \{g \in \text{Aut}_S(W_m(V)); g \text{ fixes } W_0(V)\}.$$

Then  $G(m)$  is an affine smooth group scheme over  $S$  and we have a canonical smooth surjective morphism  $G(m) \rightarrow G(m-1)$ . Let  $G$  be the projective limit of  $\{G(m); m \in \mathbb{N}\}$ . Then  $G$  is an affine group scheme over  $S$ . Let  $W^m(G)$  be the kernel of  $G \rightarrow G(m)$ . Then

$$(3.2.1) \quad W^0(G) = G,$$

$$(3.2.2) \quad G/W^m(G) = G(m),$$

$$(3.2.3) \quad G/W^1(G) = GL(V).$$

For  $m > 0$ ,  $W^m(G)/W^{m+1}(G)$  is an abelian unipotent group scheme corresponding  $S^m(\mathcal{V}^*) \otimes \mathcal{V}$  (e.g.  $W^m(G)/W^{m+1}(G) = \text{Spec}(S((S^m(\mathcal{V}^*) \otimes \mathcal{V})^*))$ ). Note that  $G$  is a semi-direct product of  $GL(V)$  and  $W_1(G)$ .

**§3.3. Statement**

A  $G$ -module  $M$  is by definition a quasi-coherent  $\mathcal{O}_S$ -module with a structure of  $\pi_*\mathcal{O}_G$ -comodule, where  $\pi : G \rightarrow S$  is the canonical projection. A  $G$ -module  $M$  is called coherent if it is coherent over  $\mathcal{O}_S$ .

If  $M$  is a coherent  $G$ -module then the action of  $G$  on  $M$  comes from a  $G(m)$ -module structure on  $M$  for  $m \gg 0$ . Our main result is the following.

**Theorem 3.1.** *The category  $I_c(n)$  of coherent invariant sheaves is equivalent to the category  $\text{Mod}_c(G)$  of coherent  $G$ -modules.*

*Remark.* Let  $X \rightarrow S$  be a smooth morphism of fiber dimension  $n$  and let  $i : S \rightarrow X$  be its section. Let  $W_m(i)$  be the  $m$ -th infinitesimal neighborhood of  $i$ . Let  $G(m)_i$  be the group of automorphisms of  $W_m(i)$  that fix  $W_0(i) = i(S)$ . Then  $G_i = \varprojlim_m G(m)_i$ , is isomorphic to  $G$  locally in  $S$  with respect to the Zariski topology. Moreover the category of  $G$ -modules is equivalent to the category of  $G_i$ -modules.

**§4. The Weight Filtration**

**§4.1. Definition**

The group  $G$  contains  $\mathbf{G}_m$  as the homothetic subgroup by  $\mathbf{G}_m \times V \ni (t, x) \mapsto tx \in V$ . Any coherent  $G$ -module  $M$  has a weight decomposition

$$(4.1.1) \quad M = \bigoplus_{\ell \in \mathbf{Z}} M_\ell.$$

Here  $\mathbf{G}_m$  acts on  $M_\ell$  by

$$tu = t^\ell u \quad \text{for } u \in M_\ell, t \in \mathbf{G}_m.$$

We set

$$(4.1.2) \quad W_\ell(M) = \bigoplus_{m \leq \ell} M_m.$$

We call this the weight filtration of  $M$ .

**§4.2. Weight filtration**

We shall prove that  $W_\ell(M)$  is a sub- $G$ -module of  $M$ . We shall embed  $\mathbf{G}_m$  into  $\mathbf{A}^1$ . Let  $\mathbf{G}_m \times G \xrightarrow{\varphi} G$  be the modified adjoint action  $\varphi(t, g) = t^{-1}gt$ . We can see easily the following lemmas.

**Lemma 4.2.1.**  $\varphi : \mathbf{G}_m \times G \rightarrow G$  extends uniquely to a morphism  $\tilde{\varphi} : \mathbf{A}^1 \times G \rightarrow G$ .

**Lemma 4.2.2.** For any  $\ell \geq 0$ ,  $\tilde{\varphi} : \mathbf{A}^1 \times W^\ell(G) \rightarrow W^\ell(G)$  is equal to the second projection modulo  $t^\ell$ , i.e. the composition  $W_{\ell-1}(\mathbf{A}^1) \times W^\ell(G) \rightarrow \mathbf{A}^1 \times W^\ell(G) \rightarrow W^\ell(G)$  equals the second projection. Here  $W_{\ell-1}(\mathbf{A}^1) = \text{Spec}(\mathbf{Z}[t]/t^\ell \mathbf{Z}[t])$ .

These lemmas imply the following result.

**Proposition 4.2.3.** Let  $M$  be a  $G$ -module.

- (i)  $W_\ell(M)$  is a sub- $G$ -module.
- (ii) For  $g \in W^m(G)$ ,  $(g - 1)$  sends  $W_\ell(M)$  into  $W_{\ell-m}(G)$ .

Here  $g \in W^m(G)$  means  $g \in \text{Hom}_S(T, W^m(G))$  for an  $S$ -scheme  $T$ . In the sequel, we use the similar abbreviation.

*Proof.* For any  $g \in G$ ,  $b \in \mathbf{Z}$  and  $u_b \in M_b$  let us write  $gu_b = \Sigma g_{ab}u_b$  with  $g_{ab}u_b \in M_a$ . Then  $\varphi(t, g)u_b = \Sigma t^{b-a}g_{ab}u_b$ . Since this is a polynomial in  $t$ ,  $g_{ab}u_b = 0$  for  $a > b$ . This implies (i). If  $g \in W^m(G)$ , then the coefficients of  $t^c$  in  $\Sigma t^{b-a}g_{ab}u_b$  ( $0 < c < m$ ) vanishes. Hence  $g_{ab}u_b = 0$  for  $b > a > b - m$ . Thus  $gu_b - u_b \in \bigoplus_{a \leq b-m} M_a$ . This shows (ii). □

Since  $M$  is coherent,  $W(M)$  is a finite filtration of  $M$ . For  $a, b \in \mathbf{Z}$  with  $a \leq b$ , we say that  $M$  has weights in  $[a, b]$  if  $W_b(M) = M$  and  $W_{a-1}(M) = 0$ . For  $w \in \mathbf{Z}$ , we say that  $M$  is pure of weight  $w$  if  $M$  has weights in  $[w, w]$ .

**Corollary 4.2.4.** If  $M$  has weights in  $[a, b]$ , then the  $G$ -module structure of  $M$  comes from a unique  $G(b - a)$ -module structure on  $M$ .

## §5. Functor $\Phi$

### §5.1. Definition

Let  $F$  be a coherent invariant sheaf in  $I_c(n)$ . Let  $i : S \rightarrow V$  be the zero section of the vector bundle  $V \rightarrow S$  (c.f. §3). Set  $\Phi(F) = i^*F_{V/S}$ . Then  $\Phi(F)$  is a coherent  $\mathcal{O}_S$ -module. In the sequel we shall endow a  $G$ -module structure on  $\Phi(F)$ .



§5.2. Weight decomposition

The group  $GL(V)$  acts on  $V$  and hence on  $i^*F_{V/S}$ . Therefore  $\Phi(F)$  is evidently a  $GL(V)$ -module. Since  $\mathbf{G}_m$  is contained in  $GL(V)$  as the center,  $\Phi(F)$  has a weight decomposition

$$(5.2.1) \quad \Phi(F) = \bigoplus_{l \in \mathbb{Z}} \Phi(F)_l$$

where  $t \in \mathbf{G}_m$  acts on  $\Phi(F)_l$  by  $t^l$ .

As in §4, we set

$$(5.2.2) \quad W_l(\Phi(F)) = \bigoplus_{l' \leq l} \Phi(F)_{l'}$$

Then  $W$  is a finite filtration on  $\Phi(F)$ . We call it the weight filtration of  $\Phi(F)$ .

Similarly to the  $G$ -module case, we say that for  $a \leq b$ ,  $F$  is with weight in  $[a, b]$  if  $W_b(\Phi(F)) = \Phi(F)$  and  $W_{a-1}(\Phi(F)) = 0$ .

Let  $X \rightarrow T$  be an object in  $\mathcal{S}_n(S)$  and  $i : T \rightarrow X$  its section.

**Proposition 5.2.1.** *Let  $f$  and  $g$  be morphisms in  $\mathcal{S}_n(S)$  from  $X \rightarrow T$  to  $X' \rightarrow T'$ . Let  $i : T \rightarrow X$  be a section and let  $T^{(m)}$  be its  $m$ -th infinitesimal neighborhood.*

*Let  $F$  be a coherent invariant sheaf with weights in  $[a, b]$ . We assume*

$$(5.2.3) \quad \begin{array}{ccc} \text{The diagram} & T^{(m)} & \longrightarrow & X & \text{commutes.} \\ & \downarrow & & \downarrow f_s & \\ & X & \xrightarrow{g_s} & X' & \end{array}$$

$$(5.2.4) \quad m > b - a.$$

Then the following diagram commutes:

$$\begin{array}{ccc} (f_s \circ i)^*F_{X'/T'} & = & i^*f_s^*F_{X'/T'} \\ \downarrow & & \searrow^{\beta(f)} \\ & & i^*F_{X/T} \\ & & \nearrow_{\beta(g)} \\ (g_s \circ i)^*F_{X'/T'} & = & i^*g_s^*F_{X'/T'} \end{array}$$

The proof will be given in §5.4.

Admitting this proposition for a while, we shall give its corollary.

Let  $T$  be an  $S$ -scheme and  $T^{(m)}$  a  $T$ -scheme. We assume that locally in  $T$ ,  $T^{(m)}$  is isomorphic to the  $m$ -th infinitesimal neighborhood of a section  $T \rightarrow X$  of a smooth  $T$ -scheme  $X \rightarrow T$  with fiber dimension  $n$ .

**Corollary 5.2.2.** *Let  $F$  be a coherent invariant sheaf with weights in  $[a, b]$  and  $m > b - a$ . Then there exists a  $\mathcal{O}_{T^{(m)}}$ -module  $F_0$  satisfying the following properties (5.2.5) and (5.2.6).*

(5.2.5) *For  $g : T' \rightarrow T$ , let  $X' \rightarrow T'$  be an object of  $\mathcal{S}_n(S)$  and let  $j' : T'^{(m)} = T' \times_T T^{(m)} \hookrightarrow X'$  be an embedding by which  $T'^{(m)}$  is the  $m$ -th infinitesimal neighborhood of  $i' : T' \hookrightarrow T'^{(m)} \hookrightarrow X'$ . Then there is an isomorphism  $\gamma(j') : i'^* F_{X'/T'} \xrightarrow{\sim} g^* F_0$ .*

(5.2.6)  *$\gamma(j')$  satisfies the chain condition. Namely let  $f : (X'' \rightarrow T'') \rightarrow (X' \rightarrow T')$  be a morphism in  $\mathcal{S}_n(S)$ ,  $j'' : T'' \times_T T^{(m)} \hookrightarrow X''$  a morphism over  $j'$  and  $i''$  the composition of  $T'' \hookrightarrow T'' \times_T T^{(m)} \hookrightarrow X''$  and  $j''$ . Then the diagram*

$$\begin{array}{ccc}
 i'^* F_{X'/T'} & \simeq & i''^* f_s^* F_{X'/T'} \\
 \gamma(j') \downarrow & & \downarrow \beta(f) \\
 f_b^* g^* F_0 & \xleftarrow{\gamma(j'')} & i''^* F_{X''/T''}
 \end{array}$$

*commutes.*

Since the proof is straightforward we omit the proof.

### §5.3. Deformation of Normal cone

In order to prove Proposition 5.2.1, we use the deformation of normal cone. Let us recall its definition. Let  $X$  be a scheme and  $Y \subset X$  a subscheme defined by an ideal  $I$ .

Let  $t$  be an indeterminate and consider the ring

$$\bigoplus_{n \in \mathbb{Z}} I^n t^{-n} \subset \mathcal{O}_X[t, t^{-1}].$$

Here we understand  $I^n = \mathcal{O}_X$  for  $n \leq 0$ .

Set  $\tilde{C}_{Y/X} = \text{Spec}(\bigoplus I^n t^{-n})$  and let  $q : \tilde{C}_{Y/X} \rightarrow X$  be the projection. This is called the deformation of normal cone. Then  $t$  gives a morphism  $\tilde{C}_{Y/X} \rightarrow \mathbb{A}^1$ .

Then  $p^{-1}(0)$  is isomorphic to the normal cone  $N_{Y/X} = \text{Spec}(\bigoplus_{n \geq 0} I^n/I^{n+1})$  and  $p^{-1}(\mathbf{A}^1 \setminus \{0\}) \xrightarrow{\sim} X \times (\mathbf{A}^1 \setminus \{0\})$ . The homomorphism  $\bigoplus_n I^n t^{-n} \rightarrow \bigoplus_{n \geq 0} \mathcal{O}_X t^n \rightarrow \bigoplus_{n \geq 0} \mathcal{O}_Y t^n$  gives the embedding  $Y \times \mathbf{A}^1 \subset \tilde{C}_{Y/X}$ .

If  $X$  and  $Y$  are smooth over  $T$ , then  $\tilde{C}_{Y/X}$  is also smooth over  $T$ . If there is a smooth morphism  $X' \xrightarrow{f} X$  and  $f^{-1}Y \cong Y'$ , then there is a Cartesian diagram

$$\begin{array}{ccc} \tilde{C}_{Y'/X'} & \longrightarrow & \tilde{C}_{Y/X} \\ \downarrow & & \downarrow \\ X' & \longrightarrow & X. \end{array}$$

If  $X$  is a vector bundle over  $T$  and if  $Y$  is the zero section of  $X \rightarrow T$ , then there is a unique isomorphism  $X \times \mathbf{A}^1 \xrightarrow{\sim} \tilde{C}_{Y/X}$  such that  $X \times \mathbf{A}^1 \xrightarrow{\sim} \tilde{C}_{Y/X} \rightarrow X$  is given  $(x, t) \rightarrow tx$  and  $X \times \mathbf{A}^1 \cong \tilde{C}_{Y/X} \xrightarrow{p} \mathbf{A}^1$  is the second projection.

**§5.4. Proof of Proposition 5.2.1**

Let us prove Proposition 5.2.1. By [EGA], we may assume  $T$  to be Noetherian. By replacing  $T$  with  $S$  we may assume  $T = S$ . Locally in  $Y$ , there exists a morphism from  $Y \rightarrow S$  to  $V \rightarrow S$  in  $\mathcal{S}_n(S)$  such that the composition  $S \rightarrow X \rightarrow Y \rightarrow V$  coincides with the zero section. Hence replacing  $Y \rightarrow S$  with  $V \rightarrow S$  we may assume from the beginning that

(5.4.1)  $Y = V$

(5.4.2)  $S \rightarrow X \rightarrow Y$  coincides with the zero section.

Hence  $\tilde{C}_{S/Y} \cong Y \times \mathbf{A}^1$  as seen in the preceding section. Thus we obtain a diagram of schemes over  $S \times \mathbf{A}^1$ .

$$\begin{array}{ccc} \tilde{C}_{S/X} & \longrightarrow & X \times \mathbf{A}^1 \\ \tilde{f}_s \downarrow \tilde{g}_s & & f_s \times \text{id} \downarrow g_s \times \text{id} \\ Y \times \mathbf{A}^1 \cong \tilde{C}_{S/Y} & \longrightarrow & Y \times \mathbf{A}^1 \end{array}$$

Note that  $\tilde{f}_s$  and  $\tilde{g}_s$  are étale and hence  $\tilde{f}_s$  and  $\tilde{g}_s$  give morphisms  $\tilde{f}$  and  $\tilde{g}$  from  $(\tilde{C}_{S/X} \rightarrow S \times \mathbf{A}^1)$  to  $(\tilde{C}_{S/Y} \rightarrow S \times \mathbf{A}^1)$  in  $\mathcal{S}_n(S)$ .

**Lemma 5.4.1.**  $\tilde{f}_s$  and  $\tilde{g}_s$  are equal modulo  $t^m$ , i.e.

$$\mathrm{Spec}(\mathcal{O}_{\tilde{C}_{S/X}}/t^m\mathcal{O}_{\tilde{C}_{S/X}}) \rightarrow \tilde{C}_{S/X} \xrightarrow[\tilde{g}_s]{\tilde{f}_s} \tilde{C}_{S/Y}$$

commutes (i.e. the two possible compositions are equal).

*Proof.* Let  $I_X \subset \mathcal{O}_X$  and  $I_Y \subset \mathcal{O}_Y$  be the defining ideal of  $S \subset X$  and  $S \subset Y$ . Then by (5.2.3),  $\mathcal{O}_Y \xrightarrow{f^*} \mathcal{O}_X \rightarrow \mathcal{O}_X/I_X^{1+m}$  commutes. Hence  $I_Y \xrightarrow{g^*} I_X \rightarrow I_X/I_X^{1+m}$  commutes. Thus  $I_Y^l \xrightarrow{g^*} I_X^l \rightarrow I_X^l/I_X^{l+m}$  commutes for  $l \geq 1$ .

Hence  $\bigoplus_l I_Y t^{-l} \xrightarrow{g^*} \bigoplus_l I_X^l t^{-l} \rightarrow \mathcal{O}_{\tilde{C}_{S/X}}/t\mathcal{O}_{\tilde{C}_{S/X}} = \bigoplus_{0 \leq l \leq m} (\mathcal{O}_X/I_X^l)t^{m-l} \oplus \bigoplus_{l \geq 1} (I_X^l/I_X^{l+m})t^{-l}$  commutes. □

Now let  $\tilde{j} : S \times \mathbf{A}^1 \rightarrow \tilde{C}_{S/X}$  be the canonical embedding. Let  $\tilde{j}_Y$  be the composition  $\tilde{f}_s \circ \tilde{j} = \tilde{g}_s \circ \tilde{j}$ .

Then we obtain the homomorphism  $\tilde{\varphi}$ :

$$\begin{aligned} &\tilde{j}_Y^* F(Y \times \mathbf{A}^1 \rightarrow S \times \mathbf{A}^1) \rightarrow \tilde{j}_Y^* F(\tilde{C}_{S/Y} \rightarrow S \times \mathbf{A}^1) \\ &\cong \tilde{j}^* \tilde{f}_s^* F(\tilde{C}_{S/Y} \rightarrow S \times \mathbf{A}^1) \xrightarrow{\beta(\tilde{f})} \tilde{j}^* F(\tilde{C}_{S/X} \rightarrow S \times \mathbf{A}^1) \\ &\xleftarrow[\beta(\tilde{g})]{\sim} \tilde{j}^* \tilde{g}_s^* F(\tilde{C}_{S/Y} \rightarrow S \times \mathbf{A}^1) \cong \tilde{j}_Y^* F(\tilde{C}_{S/Y} \rightarrow S \times \mathbf{A}^1) \\ &\sim j_Y^* F(Y \times \mathbf{A}^1 \rightarrow S \times \mathbf{A}^1). \end{aligned}$$

Let us denote by  $\varphi$  the composition

$$\Phi(F) \sim j^* F_Y \sim j^* f^* F_Y \xrightarrow{\beta(F)} j^* F_X \xleftarrow[\beta(g)]{\sim} j^* g^* F_Y \sim i^* F_Y \sim \Phi(F).$$

Then outside  $t \neq 0$ ,  $\tilde{\varphi}$  coincides with  $t^{-1}\varphi t$ . Thus  $t^{-1}\varphi t$  extends to  $t = 0$ , and equals to the identity modulo  $t^m$  by Lemma 5.4.1. Now let us write

$$\begin{aligned} \varphi(u) &= \sum_{\nu} \varphi_{\nu\mu}(u) \quad \text{for } u \in \Phi(F)_{\mu} \\ &\quad \text{with } \varphi_{\nu\mu}(u) \in \Phi(F)_{\nu}. \end{aligned}$$

Then  $\tilde{\varphi}(u) = \sum t^{-\nu} \varphi_{\nu\mu}(tu) = \sum t^{\mu-\nu} \varphi_{\nu\mu}(u)$ . We have  $\tilde{\varphi}(u) \equiv u \pmod{t^m}$ . Hence  $\varphi_{\nu\mu}(u) = 0$  for  $\mu - \nu < 0$  and  $\varphi_{\nu\mu}(u) = 0$  for  $m > \mu - \nu > 0$ ,  $\varphi_{\mu\mu}(u) = u$ . They imply that  $\varphi(u) - u \in W_{\mu-m}(\Phi(F))$ . Therefore we obtain  $\varphi = id$  by (5.2.4). This completes the proof of Proposition 5.2.1

**§5.5. The  $G$ -module structure on  $\Phi(F)$**

Let  $F$  be a coherent invariant sheaf and let us take  $b \geq a$  such that

$$\Phi(F) = \bigoplus_{a \leq l \leq b} \Phi(F)_l.$$

Let us take  $m > b - a$ . We shall endow the structure of  $G(m)$ -module on  $\Phi(F)$  as follows. For  $g \in G(m)$ , locally on  $S$ , there exist a morphism  $f : V \rightarrow V$  such that the diagram

$$\begin{array}{ccc} W_m(S) & \xrightarrow{g} & W_m(S) \\ \downarrow & & \downarrow \\ V & \xrightarrow{f} & V \end{array}$$

commutes. Hence  $f$  is étale on a neighborhood of  $i(S)$ . We define the action of  $g$  on  $\Phi(F) = i^*F$  as the inverse of the composition

$$i^*F_V = (f \circ i)^*F_V \xrightarrow{\sim} i^*f^*F_V \xrightarrow{\beta(f)} i^*F_V.$$

This definition does not depend on the choice of  $f$  by Proposition 5.2.1. This gives evidently the structure of  $G(m)$ -module and hence the structure of  $G$ -module via  $G \rightarrow G(m)$ . Thus we obtain the functor  $\Phi$  from  $I_c(n)$  to the category of coherent  $G$ -modules. Evidently  $\Phi$  commutes with the tensor product.

**§6. The Functor  $\mathcal{B}$**

**§6.1. Jet bundle**

Let us construct a quasi-inverse  $\mathcal{B}$  of  $\Phi$ . We shall use a standard technique that uses jet bundles. Let us recall the definition of a jet bundle. Let  $X \rightarrow T$  be a smooth morphism with fiber dimension  $n$ . Let  $\Delta_{X/T}^{(m)}$  be the  $m$ -th infinitesimal neighborhood of the diagonal  $X$  in  $X \times_T X$ . Let  $p_1 : \Delta_{X/T}^{(m)} \rightarrow X \times_T X \rightarrow X$  be the first projection and  $p_2 : \Delta_{X/T}^{(m)} \rightarrow X \times_T X \rightarrow X$  the second projection. The jet bundle  $J_{X/T}^{(m)}$  of order  $m$  is the scheme over  $X$  that represents the functor

$$X' \mapsto \{\varphi; \varphi \text{ is an isomorphism from } X' \times W^m(\mathbf{A}^n) \text{ to } X' \times_X \Delta_{X/T}^{(m)}\}.$$

Here  $X' \times_X \Delta_{X/T}^{(m)}$  is the fiber product via  $\Delta_{X/T}^{(m)} \xrightarrow{p_1} X$ . Hence there exists a canonical isomorphism

$$J_{X/T}^{(m)} \times W^m(\mathbf{A}^n) \xrightarrow{\sim} J_{X/T}^{(m)} \times_X \Delta_{X/T}^{(m)}.$$

Moreover the action of  $G(m)$  on  $W_m(\mathbb{A}^n)$  induces the action on  $J_{X/T}^{(m)}$  and  $\pi : J_{X/T}^{(m)} \rightarrow X$  is a principal  $G(m)$  bundle. Note that  $J_{X/T}^{(m)} \rightarrow X$  is locally trivial with respect to the Zariski topology of  $X$ .

**§6.2. Construction of the functor  $\mathcal{B}$**

Let  $M$  be a coherent  $G$ -module. Let us take  $m \gg 0$  such that the  $G$ -action on  $M$  comes from a  $G(m)$ -action on  $M$ .

For a morphism  $X \rightarrow T$ , let  $\mathcal{B}(M)_X$  be the associated bundle of  $M$  with respect to  $J_{X/T}^{(m)}$ . Namely let  $q : J_{X/T}^{(m)} \rightarrow S$  and  $\pi : J_{X/T}^{(m)} \rightarrow X$  be the projections. Then  $\mathcal{B}(M)_X$  is the subsheaf of  $\pi_* q^* M$  consisting of the sections invariant under the action of  $G(m)$ . Here the action of  $G(m)$  on  $\pi_* q^* M$  is induced by its action on  $M$  and the one on  $J_{X/T}^{(m)}$ . This definition does not depend on  $m$ . In fact for  $m' \geq m$ , there is a canonical  $G$ -equivariant morphism  $J_{X/T}^{(m')} \rightarrow J_{X/T}^{(m)}$ . Then  $X \mapsto \mathcal{B}(M)_X$  is evidently an invariant sheaf and we shall denote it by  $\mathcal{B}(M)$ . This definition does not depend on the choice of  $m$  and it gives an exact functor from  $\text{Mod}_c(G)$  to  $I_c(n)$ .

**§6.3.  $\mathcal{B}$  and  $\Phi$**

We shall prove that  $\mathcal{B}$  and  $\Phi$  are quasi-inverse to each other. We can see easily that  $\Phi\mathcal{B}(M) \cong M$  for  $M \in \text{Mod}_c(G)$ . In the sequel we shall show  $\mathcal{B}\Phi(F) \cong F$  for  $F \in I_c(n)$ . Let us set  $M = \Phi(F)$  and let us take  $b \geq a$  such that  $W_b(M) = M$  and  $W_{a-1}(M) = 0$ . Then for  $m > b - a$ ,  $G(m)$  acts on  $M$ . Let us take  $X \rightarrow T$  in  $\mathcal{S}_n(S)$  and let us consider the diagram

$$\begin{array}{ccccccc}
 & & & \xrightarrow{j'} & & & \\
 J_{X/T}^{(m)} \times W_m(\mathbb{A}^n) & \xrightarrow{\sim} & J_{X/T}^{(m)} \times \Delta_{X/T}^{(m)} & \hookrightarrow & J_{X/T}^{(m)} \times_T X & \xrightarrow{f_s} & X \\
 & & & & \downarrow J_{X/T}^{(m)} & & \downarrow \\
 & & & & & \xrightarrow{f_b = \pi} & T
 \end{array}$$

Then  $\pi$  gives a morphism  $f$  from  $\left( J_{X/T}^{(m)} \times_T X \rightarrow J_{X/T}^{(m)} \right)$  to  $(X \rightarrow T)$  in  $\mathcal{S}_n(S)$  and hence an isomorphism

$$\beta(f) : f_s^* F_{X/T} \xrightarrow{\sim} F_{J_{X/T}^{(m)} \times X / J_{X/T}^{(m)}}.$$

Let  $i : J_{X/T}^{(m)} \hookrightarrow J_{X/T}^{(m)} \times \mathbb{A}^n$  and  $i' : J_{X/T}^{(m)} \hookrightarrow J_{X/T}^{(m)} \times_T X$  denote the embeddings. Then by Corollary 5.2.2 we have a canonical isomorphism

$$(6.3.1) \quad i^* F_{J_{X/T}^{(m)} \times \mathbb{A}^n / J_{X/T}^{(m)}} \cong i'^* F_{J_{X/T}^{(m)} \times X / J_{X/T}^{(m)}}.$$

We have  $i^*F_{J_{X/T}^{(m)} \times \mathbf{A}^n / J_{X/T}^{(m)}} = q^*M$  where  $q : J_{X/T}^{(m)} \rightarrow S$  is the canonical projection and  $i'^*F_{J_{X/T}^{(m)} \times X / J_{X/T}^{(m)}} = f_s^*F_{X/T}$ . We can see easily that the isomorphism  $q^*M \simeq f_s^*F_{X/T}$  is  $G(m)$ -equivariant and hence  $\mathcal{B}(M) \cong F_{X/T}$ . This completes the proof of  $\mathcal{B} \circ \Phi \cong id$ .

### §7. The Weight Filtration

We established the equivalence  $\text{Mod}_c(G)$  and  $I_c(n)$ . Since any object of  $\text{Mod}_c(G)$  has a weight filtration  $W$ , any object  $I_c(n)$  has a weight filtration  $W$ .

The corresponding properties of  $W$  for  $\text{Mod}_c(G)$  imply the following properties.

(7.1)  $F \mapsto W_l(F)$  and  $F \mapsto Gr_l^W(F)$  are exact functors from  $I_c(n)$  to  $I_c(n)$ .

(7.2) For invariant sheaves  $F_1, F_2 \in I_c(n)$ , we have

$$W_{l_1+l_2}(W_{l_1}(F_1) \otimes W_{l_2}(F_2)) = W_{l_1}(F_1) \otimes W_{l_2}(F_2).$$

(7.3) For  $F_1, F_2 \in I_c(n)$  and  $l \in \mathbf{Z}$ , the above isomorphism induces an isomorphism

$$\oplus_{l=l_1+l_2} Gr_{l_1}^W(F_1) \otimes Gr_{l_2}^W(F_2) \xrightarrow{\sim} Gr_l^W(F_1 \otimes F_2).$$

(7.4) For  $F \in I^b(n)$ ,  $W_{-l-1}(W_l(F)^*) = 0$  and  $Gr_l^W(F^*) \cong (Gr_{-l}^W(F))^*$ .

Thus  $I^b(n)$  has a structure of a filtered rigid tensor category.

**Example 7.1.**  $\mathcal{O}$  is pure of weight 0.  $\Theta$  is pure of weight 1 and  $\Omega^k$  is pure of weight  $-k$ .

**Example 7.2.**  $\mathcal{P}^{(m)}$  is of weight  $[-m, 0]$  (c.f. Example 1.5) and  $\mathcal{P}^{(m)} / W_{-1-l}(\mathcal{P}^{(m)}) = \mathcal{P}^{(l)}$  for  $0 \leq l \leq m$ .

**Example 7.3.**  $W_m(\mathcal{D})$  is of weight  $[0, m]$  (c.f. Example 1.6) and  $W_l(W_m(\mathcal{D})) = W_l(\mathcal{D})$  for  $0 \leq l \leq m$ . We have  $W_m(\mathcal{D}) = (\mathcal{P}^{(m)})^*$ .

### §8. Lie Derivative

#### §8.1. Definition

Let  $F$  be a coherent invariant sheaf,  $X \rightarrow T$  an object in  $\mathcal{S}_n(S)$  and  $v$  a relative tangent vector on  $X/T$ . Then we can define a Lie derivative  $L(v) :$

$F_{X/T} \rightarrow F_{X'/T}$  that satisfies

$$(8.1.1) \quad L(v)(au) = aL(v)u + v(a)u$$

for  $a \in \mathcal{O}_X$  and  $u \in F_{X'/T}$ .

Let us set  $T' = T \times \text{Spec}(\mathbb{Z}[\varepsilon]/\varepsilon^2\mathbb{Z}[\varepsilon])$  and  $X' = X \times_T T'$  and define an automorphism  $f : X' \rightarrow X'$  over  $T'$  by  $x \mapsto x + \varepsilon v(x)$ . Let  $p$  be the projection ( $X' \rightarrow T'$ ) to ( $X \rightarrow T$ ). Then we have a homomorphism

$$\psi : p_s^* F_{X/T} \simeq F_{X'/T'} \xrightarrow{\beta(f)} F_{X'/T'} = p_s^* F_{X/T}.$$

Since  $p_{s*} p_s^* F_{X/T} = F_{X/T} \oplus \varepsilon F_{X/T}$ , we define  $\psi(v)$  by  $\psi(u) = u \oplus \varepsilon L(v)u$ . Then  $L(v)$  satisfies the relation (7.1.1). Moreover we have

$$(8.1.2) \quad [L(v_1), L(v_2)] = L([v_1, v_2])$$

for  $v_1, v_2 \in \Theta_{X/T}$ .

Note that for any  $s \in F_{X/T}$ ,  $v \mapsto L(v)s$  is a differential operator from  $\Theta_{X/T}$  to  $F_{X/T}$ .

This definition coincides with the usual definition of the Lie derivative on  $\Omega_{X/T}^k$ . The Lie derivative acts on  $W_m(\mathcal{D})$  by the adjoint action.

### §8.2. The infinitesimal action

Let  $\mathfrak{g}$  be the subsheaf of  $p_*(\Theta_{V/S})$  consisting of tangent vectors that vanishes at the zero section. Here  $p : V \rightarrow S$  is the projection. Then we have

$$(8.2.1) \quad \mathfrak{g} = S_+(\mathcal{V}^*) \otimes_{\mathcal{O}_S} \mathcal{V}$$

where  $S_+(\mathcal{V}^*) = \bigoplus_{l>0} S^l(\mathcal{V}^*)$ . Set  $W_l(\mathfrak{g}) = \bigoplus_{1-l' \leq l} S_{l'}(\mathcal{V}^*) \otimes \mathcal{V}$ . Then  $W_0(\mathfrak{g}) = \mathfrak{g}$  and  $\mathfrak{g}/W_{-m-1}(\mathfrak{g})$  is the Lie algebra of  $G(m)$ . Hence for  $F \in I(n)$ ,  $\mathfrak{g}$  acts on  $\Phi(F)$  as its infinitesimal action. This action coincides with the action through the Lie derivative.

### §9. Characteristic Zero Case

In this section 9, let us take  $\text{Spec}(k)$  as  $S$  for a field  $k$  of characteristic 0. Then  $V$  may be regarded as an  $n$ -dimensional vector space over  $k$ . In this case, the Lie algebra  $\mathfrak{g}$  in §8.2 coincides with  $S_+(V^*) \otimes V$  where  $S_+(V^*) = \bigoplus_{l>0} S^l(V^*)$ . It contains the Lie algebra  $V^* \otimes V$  of  $GL(V)$ . Therefore the category of  $G$ -modules coincides with the category of  $(\mathfrak{g}, GL(V))$ -modules.



Set  $W_{-l}(\mathfrak{g}) = \bigoplus_{1-l' \leq -l} S^{l'}(V^*) \otimes V$ . The action homomorphism  $\mathfrak{g} \otimes M \rightarrow M$  preserves the weight filtration  $W$  for a  $(\mathfrak{g}, GL(V))$ -module  $M$ . Hence if  $M$  is a pure module,  $W_{-1}(\mathfrak{g})$  annihilates  $M$  and hence  $M$  is a  $GL(V)$ -module. Thus we have

**Proposition 9.1.** *Any pure invariant sheaf is semisimple.*

This implies the following result by a standard argument.

**Proposition 9.2.** *Let  $F_\nu$  be a pure invariant sheaf of weight  $w_\nu$  ( $\nu = 1, 2$ ). Then we have*

$$(9.2.1) \quad \text{Ext}_{I^b(n)}^k(F_1, F_2) = 0 \quad \text{for } w_1 - w_2 < k.$$

As stated in the introduction, we conjecture

**Conjecture**  $\text{Ext}_{I^b(n)}^k(F_1, F_2) = 0$  for  $w_1 - w_2 \neq k$  and  $k < n$ .

Since the category of  $G$ -modules coincides with the category of  $(\mathfrak{g}, GL(V))$ -modules, we can translate results in the Lie algebra cohomology (e.g. in [F]) in our framework. For example by the result of Goncharova([G]), we have when  $n = 1$

$$\text{Ext}_{I(1)}^l(\mathcal{O}, \Omega^{1 \otimes j}) = \begin{cases} k & \text{for } i = 0 \text{ and } j = 0, \\ k & \text{for } i \geq 1 \text{ and } j = (3i^2 - i)/2 \text{ or } (3i^2 + i)/2, \\ 0 & \text{otherwise.} \end{cases}$$

### §10. Variants

#### §10.1. Complex analytic case

We can perform the same construction for the complex analytic case. Namely we take  $\mathcal{S}_n$  the category of smooth morphisms  $X \rightarrow T$  of fiber dimension  $n$  of complex analytic spaces. A morphism  $f$  from  $X \xrightarrow{a} T$  to  $X' \xrightarrow{a'} T'$  is a commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{f_s} & X' \\ a' \downarrow & & a \downarrow \\ T' & \xrightarrow{f_b} & T \end{array}$$

such that  $X \rightarrow X' \times_T T'$  is a local isomorphism. Then the invariant sheaves are defined similarly to the algebraic case. The category of invariant sheaves (in the complex analytic case) is equivalent to the category of  $G$ -modules with  $S = \text{Spec}(\mathbf{C})$ .

Hence it is equivalent to  $I(n)_{\text{Spec}(\mathbf{C})}$ . In another word invariant sheaves are same in the complex analytic case and algebraic case.

§10.2. Multiple case

Instead of working on the sheaves on  $X$ , we can work on the sheaves on  $X \times_T X$ . More precisely we can consider the following category  $I(n; 2)$ . An object of  $I(n; 2)$  is the data:

(10.2.1) To any object  $X \rightarrow T$  in  $\mathcal{S}_n(S)$ , assign a quasi-coherent  $\mathcal{O}_{X \times_T X}$  modules  $F_{X/T}$  whose support is contained in the diagonal set.

(10.2.2) To any morphism  $\varphi = (\varphi_s, \varphi_b) : (X \rightarrow T) \rightarrow (X' \rightarrow T')$  in  $\mathcal{S}_n(S)$ , assign an isomorphism

$$\beta(\varphi) : (\varphi_s \times \varphi_s)^* F_{X'/T'} \xrightarrow{\sim} F_{X/T}.$$

Here  $\varphi_s \times \varphi_s$  is the morphism  $X' \times_{T'} X' \rightarrow X \times_T X$  induced by  $\varphi$ .

We assume the similar associative law to the invariant sheaf case. We call an object of  $I(n; 2)$  a *double invariant sheaf*. Similarly to the invariant sheaf case we define  $I_c(n; 2)$  to be the category of double invariant sheaves  $F$  such that  $F_{X/T}$  are locally of finite presentation. For an object  $X \rightarrow T$  in  $\mathcal{S}_n(S)$ , let  $p_1 : X \times_T X \rightarrow X$  be the projection. Then for a double invariant sheaf  $F_{X/T}, X/T \mapsto p_{1*} F_{X/T}$  is an invariant sheaf. Thus we obtain the functor

$$p_{1*} : I(n; 2) \rightarrow I(n).$$

Let us denote by  $\mathcal{O}_{\Delta^{(m)}}$  the double invariant sheaf that associates  $\mathcal{O}_{\Delta_{X/T}^{(m)}}$  to  $X \rightarrow T$  in  $\mathcal{S}_n(S)$ . Here  $\Delta_{X/T}^{(m)}$  is the  $m$ -th infinitesimal neighborhood of the diagonal embedding  $X \hookrightarrow X \times_T X$ . Then for a double invariant sheaf  $F$ , there is an action  $\mathcal{O}_{\Delta_{X/T}^{(m)}} \otimes_{\mathcal{O}_{X \times_T X}} F_{X/T} \rightarrow F_{X/T}$  if we take  $m$  sufficiently large. It induces  $p_{1*}(\mathcal{O}_{\Delta_{X/T}^{(m)}}) \otimes p_{1*}(F_{X/T}) \rightarrow p_{1*}(F_{X/T})$ . Thus we obtain a homomorphism in  $I(n)$

$$p_{1*} \mathcal{O}_{\Delta^{(m)}} \otimes p_{1*} F \rightarrow p_{1*} F.$$

We can see easily

$$\Phi(p_{1*} \mathcal{O}_{\Delta^{(m)}}) = p_* \mathcal{O}_{W^m(V)}.$$

Here  $p : W^m(V) \rightarrow S$  is the projection. We have  $p_* \mathcal{O}_{W^m(V)} = S(\mathcal{V}^*)/W_{-m-1} S(\mathcal{V}^*)$ . Here  $W_{-l}(S(\mathcal{V}^*)) = \oplus_{l' \geq l} S^{l'}(\mathcal{V}^*)$ . Thus we obtain

**Proposition 10.2.1.**  *$I_c(n; 2)$  is equivalent to a category of  $G$ -modules with the structure of  $S(\mathcal{V}^*)$ -modules  $M$  such that  $S(\mathcal{V}^*) \otimes M \rightarrow M$  is  $G$ -equivariant (more precisely  $W_{-l}(S(\mathcal{V}^*))M = 0$  for  $l \gg 0$  and  $S(\mathcal{V}^*)/W_{-l}(S(\mathcal{V}^*)) \otimes M \rightarrow M$  is  $G$ -equivariant).*

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