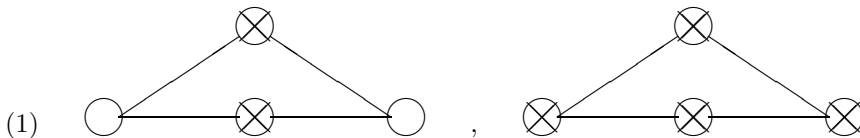


## Errata to “On Defining Relations of Affine Lie Superalgebras and Affine Quantized Universal Enveloping Superalgebras”

By

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The paper [1] contains mistakes; Theorems 4.1.1, 4.5.1 and 8.4.3 for the data corresponding to the Dynkin diagrams below are incorrect.



In Section 2, the statements and proofs of the theorems shall be corrected.

**1 Preliminary.** Keep the notation and terminology of [1]. We say that the datum  $(\mathcal{E}, \Pi, p)$  is of  $(A(1, 1)^{(1)})^{\mathcal{H}}$  type if  $(\mathcal{E}, \Pi, p)$  is of affine ABCD type (see Definition 1.4.1),  $\Pi = \{\alpha_0, \alpha_1, \alpha_2, \alpha_3\}$  and if the Dynkin diagram of  $(\mathcal{E}, \Pi, p)$  is either of the two Dynkin diagrams of (1). Until the end of this section, we assume that  $(\mathcal{E}, \Pi, p)$  is of  $(A(1, 1)^{(1)})^{\mathcal{H}}$  type. Then  $\mathcal{G}(\mathcal{E}, \Pi, p) \cong (A(1, 1)^{(1)})^{\mathcal{H}}$ ,  $\mathcal{G}^{\natural}(\mathcal{E}, \Pi, p) \cong (sl(2, 2)^{(1)})^{\mathcal{H}}$ , and  $\Phi(\mathcal{E}, \Pi, p) = \{\pm(m+1)\delta, \pm(m\delta + \alpha_i), \pm(m\delta + \alpha_i + \alpha_{i-1}), \pm(m\delta + \alpha_i + \alpha_{i-1} + \alpha_{i-2}) \mid i = 0, 1, 2, 3 \in \mathbf{Z}/4\mathbf{Z}, m \geq 0\}$ . (See Subsections 1.5 and 3.5, and notice that  $(A(1, 1)^{(1)})^{\mathcal{H}}$  and  $A(1, 1)^{(1)}$  (resp.  $(sl(2, 2)^{(1)})^{\mathcal{H}}$  and  $sl(2, 2)^{(1)}$ ) are not the same; however they are closely related.) Define  $E_i^{(m)}, E_{ii-1}^{(m)}, E_{ii-1i-2}^{(m)} \in \tilde{\mathcal{N}}_+(\subset \tilde{\mathcal{G}}(\mathcal{E}, \Pi, p))$  ( $i \in \mathbf{Z}/4\mathbf{Z}, m \geq 0$ ) inductively by  $E_i^{(0)} = E_i$ ,  $E_i^{(m)} = [E_i, [E_{i-1}, E_{i-2i-3i}^{(m-1)}]]$ ,  $E_{ii-1}^{(m)} = [E_i, E_{i-1}^{(m)}]$ ,  $E_{ii-1i-2}^{(m)} = [E_i, E_{i-1i-2}^{(m)}]$ . Let  $E_{ii-1} = E_{ii-1}^{(0)}$  and  $E_{ii-1i-2} = E_{ii-1i-2}^{(0)}$ .

Let  $\mathbf{x} : \tilde{\mathcal{N}}_+ \rightarrow \tilde{\mathcal{N}}_-$  be the isomorphism such that  $\mathbf{x}(E_i) = F_i$  ( $0 \leq i \leq 3$ ). Denote  $(\mathcal{E}, \Pi, p)$  by  $(AA)^*$  if its Dynkin diagram is the left one of (1) and if

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$(\alpha_1, \alpha_1) = 2$ . Define  $\mathcal{G}^*$  to be the admissible Lie superalgebra  $\tilde{\mathcal{G}}(\text{AA})^*/(r_+^* + \mathbf{x}(r_+^*))$ , where  $r_+^*$  is the ideal of  $\tilde{\mathcal{N}}_+$  generated by the elements:

$$\begin{cases} [E_0, E_0], [E_2, E_2], [E_0, E_2], [E_1, E_3], \\ [E_1, E_{10}], [E_1, E_{21}], [E_3, E_{03}], [E_3, E_{32}], \\ [E_2, E_{321}], [E_{210}, E_{032}], [E_2, [E_0, E_{103}]]. \end{cases}$$

(See Definition 1.2.1 for the terminology.) Denote  $(\mathcal{E}, \Pi, p)$  by  $(\text{AA})^\bullet$  if its Dynkin diagram is the right one of (1) and if  $(\alpha_1, \alpha_2) = 1$ . Define  $\mathcal{G}^\bullet$  to be the admissible Lie superalgebra  $\tilde{\mathcal{G}}(\text{AA})^\bullet/(r_+^\bullet + \mathbf{x}(r_+^\bullet))$ , where  $r_+^\bullet$  is the ideal of  $\tilde{\mathcal{N}}_+$  generated by the elements:

$$\begin{cases} [E_0, E_0], [E_1, E_1], [E_2, E_2], [E_3, E_3], [E_0, E_2], [E_1, E_3], \\ [E_0, E_{103}], [E_1, E_{210}], [E_2, E_{321}], [E_3, E_{032}]. \end{cases}$$

**Lemma 1.** *Let  $(\mathcal{E}, \Pi, p) = (\text{AA})^\bullet$ , and  $C^\bullet := \{\pm(m\delta + \alpha_i + \alpha_{i-2}) \mid i \in \mathbf{Z}/4\mathbf{Z}, m \geq 1\}$ . Then:*

- (1)  $\dim \mathcal{G}_\beta^\bullet = 1$  if  $\beta \in \Phi(\mathcal{E}, \Pi, p) \setminus \mathbf{Z}\delta$ .
- (2)  $\dim \mathcal{G}_\beta^\bullet = 0$  if  $\beta \in (P_+ \cup P_-) \setminus (\Phi(\mathcal{E}, \Pi, p) \cup C^\bullet \cup \{0\})$ .
- (3)  $\mathcal{G}_\beta^\bullet = [E_i, \mathcal{G}_{\beta-\alpha_i}^\bullet]$ ,  $\mathcal{G}_{-\beta}^\bullet = [F_i, \mathcal{G}_{-\beta+\alpha_i}^\bullet]$  if  $\beta = m\delta + \alpha_i + \alpha_{i-2}$  with  $m \geq 1$ .

*Proof.* Let  $\mathbf{a}$  be the automorphism of  $\mathcal{G}^\bullet$  such that  $\mathbf{a}(E_i) = E_{i-2}$ ,  $\mathbf{a}(F_i) = F_{i-2}$ . Let  $\mathbf{b}_i := \exp(\text{ad}[E_i, E_{i-1}]) \exp(\text{ad}[F_i, F_{i-1}]) \exp(\text{ad}[E_i, E_{i-1}])$ , and  $\mathbf{c}_i := \mathbf{a} \circ \mathbf{b}_i \circ \mathbf{b}_{i+1}$ . (Notice that  $\text{ad}[E_i, E_{i-1}]$  and  $\text{ad}[F_i, F_{i-1}]$  are locally nilpotent.) If  $\beta = a_0\alpha_0 + a_1\alpha_1 + a_2\alpha_2 + a_3\alpha_3$ , then  $\mathbf{c}_i(\mathcal{G}_\beta^\bullet) = \mathcal{G}_{\beta-(a_i-\alpha_{i-2})\delta}^\bullet$ .

Notice that  $\mathcal{G}^\bullet$  has a triangular decomposition  $\mathcal{G}^\bullet = \mathcal{N}_+^\bullet \oplus \mathcal{H} \oplus \mathcal{N}_-^\bullet$ , where  $\mathcal{N}_+^\bullet = \tilde{\mathcal{N}}_+/r_+^\bullet$  and  $\mathcal{N}_-^\bullet = \tilde{\mathcal{N}}_-/\mathbf{x}(r_+^\bullet)$ . Assume that  $\beta \in P_+ \setminus \{0\}$  and that we have already proved the lemma for  $\beta' \in P_+ \setminus \{0\}$  with  $\beta - \beta' \in P_+ \setminus \{0\}$ . Then the lemma can be proved as follows; here we shall treat two cases as examples. First example is the case where  $\beta = m\delta + \alpha_{i+1} + 2\alpha_i + \alpha_{i-1}$  with  $m \geq 0$ . If  $m$  is even, it follows that  $\dim \mathcal{G}_\beta^\bullet = \dim \mathbf{c}_i^{m/2}(\mathcal{G}_\beta^\bullet) = \dim \mathcal{G}_{\alpha_{i+1}+2\alpha_i+\alpha_{i-1}}^\bullet = \dim \mathcal{N}_+^\bullet \cap \mathcal{G}_{\alpha_{i+1}+2\alpha_i+\alpha_{i-1}}^\bullet = 0$ , where the last equality can be proved directly. If  $m$  is odd, it follows that  $\dim \mathcal{G}_\beta^\bullet = \dim \mathcal{G}_{\alpha_i-\alpha_{i-2}}^\bullet = 0$ . Second example is the case where  $\beta = m\delta + \alpha_i + \alpha_{i-2}$  with  $m \geq 0$ . By the assumption, we have  $\mathcal{G}_\beta^\bullet = \sum_{j \in \mathbf{Z}/4\mathbf{Z}} [E_j, \mathcal{G}_{\beta-\alpha_j}^\bullet] = [E_i, \mathcal{G}_{m\delta+\alpha_{i-2}}^\bullet] + [E_{i-2}, \mathcal{G}_{m\delta+\alpha_i}^\bullet] = [E_i, [E_{i-2}, \mathcal{G}_{m\delta}^\bullet]] + [E_{i-2}, [E_i, \mathcal{G}_{m\delta}^\bullet]] = [E_i, [E_{i-2}, \mathcal{G}_{m\delta}^\bullet]] = [E_i, \mathcal{G}_{m\delta+\alpha_{i-2}}^\bullet]$ . Other cases can be treated similarly.

The case where  $\beta \in P_- \setminus \{0\}$  can also be treated similarly. □

**Lemma 2.** *Let  $(\mathcal{E}, \Pi, p) = (\text{AA})^*$ , and  $C^* := \{\pm((m+1)\delta + \alpha_3 + 2\alpha_2 + \alpha_1), \pm(m\delta + \alpha_1 + 2\alpha_0 + \alpha_3) \mid m \geq 0\}$ . Then:*

- (1)  $\dim \mathcal{G}_\beta^* = 1$  if  $\beta \in \Phi(\mathcal{E}, \Pi, p) \setminus \mathbf{Z}\delta$ .
- (2)  $\dim \mathcal{G}_\beta^* = 0$  if  $\beta \in (P_+ \cup P_-) \setminus (\Phi(\mathcal{E}, \Pi, p) \cup C^* \cup \{0\})$ .
- (3)  $\mathcal{G}_\beta^* = [E_i, \mathcal{G}_{\beta-\alpha_i}^*]$ ,  $\mathcal{G}_{-\beta}^* = [F_i, \mathcal{G}_{-\beta+\alpha_i}^*]$  if  $\beta = m\delta + \alpha_{i+1} + 2\alpha_i + \alpha_{i-1}$  with  $m \geq 0$ .

*Proof.* Recall the definition of  $s_2$  from Proposition 2.4.3. We can easily show that there exists an isomorphism  $\mathbf{e} : \mathcal{G}^\bullet \rightarrow \mathcal{G}^*$  such that  $\mathbf{e}(H_\gamma) = H_{s_2(\gamma)}$ ,  $\mathbf{e}(E_j) = -(\alpha_j, \alpha_2)[E_j, E_2]$ ,  $\mathbf{e}(F_j) = -[F_j, F_2]$  ( $j = 1, 3$ ),  $\mathbf{e}(E_2) = F_2$ ,  $\mathbf{e}(F_2) = -E_2$ ,  $\mathbf{e}(E_0) = E_0$ ,  $\mathbf{e}(F_0) = F_0$ . Then the statements (1) and (2) follow from Lemma 1 and from the fact that  $\mathbf{e}(\mathcal{G}_\alpha^\bullet) = \mathcal{G}_{s_2(\alpha)}^*$ . The statement (3) can be proved by an exactly similar argument to that for Lemma 1 (3). □

Keep the notation of Definition 1.2.1. By the fact that  $\mathcal{G}^\natural(\text{AA})^* \cong (sl(2, 2)^{(1)})^{\mathcal{H}}$ , we can easily see that  $\mathcal{G}^* \succ \mathcal{G}^\natural(\text{AA})^*$ . Hence  $\Psi[\mathcal{G}^*, \mathcal{G}^\natural(\text{AA})^*]$  can be defined. Similarly  $\Psi[\mathcal{G}^\bullet, \mathcal{G}^\natural(\text{AA})^\bullet]$  can be defined. Let  $\Psi^{*\natural} = \Psi[\mathcal{G}^*, \mathcal{G}^\natural(\text{AA})^*]$ ,  $\Psi^{M*} = \Psi[\tilde{\mathcal{G}}(\text{AA})^*, \mathcal{G}^*]$ ,  $\Psi^{\bullet\natural} = \Psi[\mathcal{G}^\bullet, \mathcal{G}^\natural(\text{AA})^\bullet]$  and  $\Psi^{M\bullet} = \Psi[\tilde{\mathcal{G}}(\text{AA})^\bullet, \mathcal{G}^\bullet]$ .

**Theorem 3.** (1) *The ideal  $\ker \Psi^{*\natural}$  is generated by the elements  $\Psi^{M*}([E_0, E_{103}^{(m)}])$ ,  $\Psi^{M*}([E_2, E_{321}^{(m+1)}])$ ,  $\Psi^{M*}(\mathbf{x}([E_0, E_{103}^{(m)}]))$ ,  $\Psi^{M*}(\mathbf{x}([E_2, E_{321}^{(m+1)}]))$  ( $m \geq 0$ ).*

(2) *The ideal  $\ker \Psi^{\bullet\natural}$  is generated by the elements  $\Psi^{M\bullet}([E_i, E_{i+2}^{(m)}])$ ,  $\Psi^{M\bullet}(\mathbf{x}([E_i, E_{i+2}^{(m)}]))$  ( $i = 0, 1, m \geq 1$ ).*

*Proof.* Let  $m \geq 0$ , and let  $Y \in \tilde{\mathcal{G}}(\text{AA})^*$  be  $E_{321}^{(m+1)}$ ,  $E_{103}^{(m)}$ ,  $\mathbf{x}(E_{321}^{(m+1)})$  or  $\mathbf{x}(E_{103}^{(m)})$ . Let  $\gamma \in P$  be such that  $Y \in \tilde{\mathcal{G}}_\gamma$ . By the fact that  $\mathcal{G}^\natural(\text{AA})^* \cong (sl(2, 2)^{(1)})^{\mathcal{H}}$ , we see that  $\Psi^{*\natural} \circ \Psi^{M*}(Y) \neq 0$ . It follows from Lemma 2 (1) that  $\mathcal{G}_\gamma^*$  is the one dimensional vector space spanned by  $\Psi^{M*}(Y)$ . Then the statement (1) follows immediately from Lemma 2. (We see that since  $\mathcal{G}^\natural(\text{AA})^*$  is the maximal affine-admissible Lie superalgebra,  $\ker \Psi^{*\natural} \cap \mathcal{G}_{m\delta}^* = \{0\}$  for  $m \neq 0$ .)

(2) We can show this by a similar argument to that for (1), and by Lemma 1. □

We say that  $(\mathcal{E}, \Pi, p)$  is a *usual* datum of  $(A(1, 1)^{(1)})^{\mathcal{H}}$  type, if  $(\alpha_i, \alpha_i) \neq 0$  for some  $0 \leq i \leq 3$ . Otherwise we say that  $(\mathcal{E}, \Pi, p)$  is an *unusual* datum of

$(A(1, 1)^{(1)})^{\mathcal{H}}$  type. If  $(\mathcal{E}, \Pi, p)$  is a usual datum of  $(A(1, 1)^{(1)})^{\mathcal{H}}$  type and if  $(\alpha_1, \alpha_1) \neq 0$  (resp.  $(\alpha_1, \alpha_1) = 0$ ), let  $\kappa(j) = j$  (resp.  $\kappa(j) = j + 1$ ) ( $j \in \mathbf{Z}/4\mathbf{Z}$ ).

**2 Corrections.** We correct Theorems 4.1.1, 4.5.1 and 8.4.3 of [1] as follows:

(2.1) *Correction of Theorem 4.1.1.* We add the following relations to the statement of Theorem 4.1.1.

(S4)(18)  $[E_{\kappa(2)\kappa(1)\kappa(0)}, E_{\kappa(0)\kappa(3)\kappa(2)}] = 0$  and  $[E_{\kappa(2)}, E_{\kappa(3)\kappa(2)\kappa(1)}^{(m)}] = 0$ ,  $[E_{\kappa(0)}, E_{\kappa(1)\kappa(0)\kappa(3)}^{(m)}] = 0$  ( $m \geq 1$ ) if  $(\mathcal{E}, \Pi, p)$  is a usual datum of  $(A(1, 1)^{(1)})^{\mathcal{H}}$  type,

(S4)(19)  $[E_i, E_{i+2}^{(m)}] = 0$  ( $i = 0, 1, m \geq 1$ ) if  $(\mathcal{E}, \Pi, p)$  is an unusual datum of  $(A(1, 1)^{(1)})^{\mathcal{H}}$  type,

(S5)(b) ( $b = 18, 19$ ) The same relations with  $E_r$ 's in place of  $F_r$ 's in (S4)(b)

*Proof of the corrected statement of Theorem 4.1.1.* If  $(\mathcal{E}, \Pi, p)$  is not of  $(A(1, 1)^{(1)})^{\mathcal{H}}$  type, use the original proof. Otherwise use Theorem 3.  $\square$

(2.2) *Correction of Theorem 4.5.1.* We add the relations (S4)(b), (S5)(b) ( $b = 18, 19$ ) to the statement of Theorem 4.5.1.

The corrected statement follows immediately from Theorem 3.5.1 and the corrected Theorem 4.1.1.

(2.3) *Correction of Theorem 8.4.3.* We add the following relations to the statement of Theorem 8.4.3.

(QS4)(18)  $Z_{\kappa(0)}([T_{\omega_3}(K_3^{-1}F_3), T_{\omega_1}(K_1^{-1}F_1)]) = 0$  and  $Z_{\kappa(0)}([E_0, T_{\omega_2}^{m+1}(K_2^{-1}F_2)]) = 0$ ,  $Z_{\kappa(2)}([E_0, T_{\omega_2}^{m+1}(K_2^{-1}F_2)]) = 0$  ( $m \geq 1$ ) if  $(\mathcal{E}, \Pi, p)$  is a usual datum of  $(A(1, 1)^{(1)})^{\mathcal{H}}$  type,

(QS4)(19)  $[E_i, T_{\omega_{i+2}}^{m+1}(K_{i+2}^{-1}F_{i+2})] = 0$  ( $i = 0, 1, m \geq 1$ ) if  $(\mathcal{E}, \Pi, p)$  is an unusual datum of  $(A(1, 1)^{(1)})^{\mathcal{H}}$  type,

(QS5)(b) ( $b = 18, 19$ ) The same relations with  $E_r$ 's in place of  $F_r$ 's in (QS4)(b).

Using Proposition 8.4.2 and using  $T_{\omega_i}$ 's, the corrected statement is obtained by exactly the same argument as in the proof of Theorem 6.8.2.

*Remark 4.* Let  $\mathcal{D}$  be the finite dimensional Lie superalgebra such that  $\dim \mathcal{D} = \dim D(2, 1; x)$  and such that its defining relations are obtained from those of  $D(2, 1; x)$  by substituting  $-1$  for  $x$ . Then there exists an epimorphism

$\mathcal{D} \rightarrow sl(2, 2)$ . (See also [2].) Let  $L(\mathcal{D}, 1)$  be the infinite dimensional Lie superalgebra obtained from  $\mathcal{D}$  by the same way as in Subsection 1.1. We can utilize  $L(\mathcal{D}, 1)$  for giving concrete bases of  $\mathcal{G}^*$  and  $\mathcal{G}^\bullet$ . After doing so, we will see that the elements in the statement of Theorem 3 are indeed non-zero, which implies that the relations of the corrected statements are necessary.

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### References

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