

# Symmetric Crystals for $\mathfrak{gl}_\infty$

*Dedicated to Professor Heisuke Hironaka on the occasion of  
his seventy-seventh birthday*

By

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## Abstract

In the preceding paper, we formulated a conjecture on the relations between certain classes of irreducible representations of affine Hecke algebras of type B and symmetric crystals for  $\mathfrak{gl}_\infty$ . In the present paper, we prove the existence of the symmetric crystal and the global basis for  $\mathfrak{gl}_\infty$ .

## §1. Introduction

Lascoux-Leclerc-Thibon ([LLT]) conjectured the relations between the representations of Hecke algebras of *type A* and the crystal bases of the affine Lie algebras of type A. Then, S. Ariki ([A]) observed that it should be understood in the setting of affine Hecke algebras and proved the LLT conjecture in a more general framework. Recently, we presented the notion of symmetric crystals and conjectured that certain classes of irreducible representations of the affine Hecke algebras of *type B* are described by symmetric crystals for  $\mathfrak{gl}_\infty$  ([EK]).

The purpose of the present paper is to prove the existence of symmetric crystals in the case of  $\mathfrak{gl}_\infty$ .

Let us recall the Lascoux-Leclerc-Thibon-Ariki theory. Let  $H_n^A$  be the affine Hecke algebra of type A of degree  $n$ . Let  $K_n^A$  be the Grothendieck group

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Communicated by T. Kawai. Received May 14, 2007. Revised November 18, 2007.

2000 Mathematics Subject Classification(s): Primary 17B37; Secondary 20C08.

Key words: crystal bases, affine Hecke algebras, LLT conjecture.

The second author is partially supported by Grant-in-Aid for Scientific Research (B) 18340007, Japan Society for the Promotion of Science.

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of the abelian category of finite-dimensional  $H_n^A$ -modules, and  $K^A = \bigoplus_{n \geq 0} K_n^A$ . Then it has a structure of Hopf algebra by the restriction and the induction. The set  $I = \mathbb{C}^*$  may be regarded as a Dynkin diagram with  $I$  as the set of vertices and with edges between  $a \in I$  and  $ap_1^2$ . Here  $p_1$  is the parameter of the affine Hecke algebra usually denoted by  $q$ . Let  $\mathfrak{g}_I$  be the associated Lie algebra, and  $\mathfrak{g}_I^-$  the unipotent Lie subalgebra. Let  $U_I$  be the group associated to  $\mathfrak{g}_I^-$ . Hence  $\mathfrak{g}_I$  is isomorphic to a direct sum of copies of  $A_{\ell-1}^{(1)}$  if  $p_1^2$  is a primitive  $\ell$ -th root of unity and to a direct sum of copies of  $\mathfrak{gl}_\infty$  if  $p_1$  has an infinite order. Then  $\mathbb{C} \otimes K^A$  is isomorphic to the algebra  $\mathcal{O}(U_I)$  of regular functions on  $U_I$ . Let  $U_q(\mathfrak{g}_I)$  be the associated quantized enveloping algebra. Then  $U_q^-(\mathfrak{g}_I)$  has an upper global basis  $\{G^{\text{up}}(b)\}_{b \in B(\infty)}$ . By specializing  $\bigoplus \mathbb{C}[q, q^{-1}]G^{\text{up}}(b)$  at  $q = 1$ , we obtain  $\mathcal{O}(U_I)$ . Then the LLTA-theory says that the elements associated to irreducible  $H^A$ -modules corresponds to the image of the upper global basis.

In [EK], we gave analogous conjectures for affine Hecke algebras of type B. In the type B case, we have to replace  $U_q^-(\mathfrak{g}_I)$  and its upper global basis with symmetric crystals (see § 2.3). It is roughly stated as follows. Let  $H_n^B$  be the affine Hecke algebra of type B of degree  $n$ . Let  $K_n^B$  be the Grothendieck group of the abelian category of finite-dimensional modules over  $H_n^B$ , and  $K^B = \bigoplus_{n \geq 0} K_n^B$ . Then  $K^B$  has a structure of a Hopf bimodule over  $K^A$ . The group  $U_I$  has the anti-involution  $\theta$  induced by the involution  $a \mapsto a^{-1}$  of  $I = \mathbb{C}^*$ . Let  $U_I^\theta$  be the  $\theta$ -fixed point set of  $U_I$ . Then  $\mathcal{O}(U_I^\theta)$  is a quotient ring of  $\mathcal{O}(U_I)$ . The action of  $\mathcal{O}(U_I) \simeq \mathbb{C} \otimes K^A$  on  $\mathbb{C} \otimes K^B$ , in fact, descends to the action of  $\mathcal{O}(U_I^\theta)$ .

We introduce  $V_\theta(\lambda)$  (see § 2.3), a kind of the  $q$ -analogue of  $\mathcal{O}(U_I^\theta)$ . The conjecture in [EK] is then:

- (i)  $V_\theta(\lambda)$  has a crystal basis and a global basis.
- (ii)  $K^B$  is isomorphic to a specialization of  $V_\theta(\lambda)$  at  $q = 1$  as an  $\mathcal{O}(U_I)$ -module, and the irreducible representations correspond to the upper global basis of  $V_\theta(\lambda)$  at  $q = 1$ .

*Remark.* In [KM], Miemietz and the second author gave an analogous conjecture for the affine Hecke algebras of type D.

In the present paper, we prove that  $V_\theta(\lambda)$  has a crystal basis and a global basis for  $\mathfrak{g} = \mathfrak{gl}_\infty$  and  $\lambda = 0$ .

More precisely, let  $I = \mathbb{Z}_{\text{odd}}$  be the set of odd integers. Let  $\alpha_i$  ( $i \in I$ ) be

the simple roots with

$$(\alpha_i, \alpha_j) = \begin{cases} 2 & \text{if } i = j, \\ -1 & \text{if } i = j \pm 2, \\ 0 & \text{otherwise.} \end{cases}$$

Let  $\theta$  be the involution of  $I$  given by  $\theta(i) = -i$ . Let  $\mathcal{B}_\theta(\mathfrak{gl}_\infty)$  be the algebra over  $\mathbf{K} := \mathbb{Q}(q)$  generated by  $E_i, F_i$ , and invertible elements  $T_i$  ( $i \in I$ ) satisfying the following defining relations:

- (i) the  $T_i$ 's commute with each other,
- (ii)  $T_{\theta(i)} = T_i$  for any  $i$ ,
- (iii)  $T_i E_j T_i^{-1} = q^{(\alpha_i + \alpha_{\theta(i)}, \alpha_j)} E_j$  and  $T_i F_j T_i^{-1} = q^{(\alpha_i + \alpha_{\theta(i)}, -\alpha_j)} F_j$  for  $i, j \in I$ ,
- (iv)  $E_i F_j = q^{-(\alpha_i, \alpha_j)} F_j E_i + (\delta_{i,j} + \delta_{\theta(i),j} T_i)$  for  $i, j \in I$ ,
- (v) the  $E_i$ 's and the  $F_i$ 's satisfy the Serre relations (see Definition 2.1 (4)).

Then there exists a unique irreducible  $\mathcal{B}_\theta(\mathfrak{gl}_\infty)$ -module  $V_\theta(0)$  with a generator  $\phi$  satisfying  $E_i \phi = 0$  and  $T_i \phi = \phi$  (Proposition 2.11). We define the endomorphisms  $\tilde{E}_i$  and  $\tilde{F}_i$  of  $V_\theta(0)$  by

$$\tilde{E}_i a = \sum_{n \geq 1} F_i^{(n-1)} a_n, \quad \tilde{F}_i a = \sum_{n \geq 0} f_i^{(n+1)} a_n,$$

when writing

$$a = \sum_{n \geq 0} F_i^{(n)} a_n \quad \text{with } E_i a_n = 0.$$

Here  $F_i^{(n)} = F_i^n / [n]!$  is the divided power. Let  $\mathbf{A}_0$  be the ring of functions  $a \in \mathbf{K}$  which do not have a pole at  $q = 0$ . Let  $L_\theta(0)$  be the  $\mathbf{A}_0$ -submodule of  $V_\theta(0)$  generated by the elements  $\tilde{F}_{i_1} \cdots \tilde{F}_{i_\ell} \phi$  ( $\ell \geq 0, i_1, \dots, i_\ell \in I$ ). Let  $B_\theta(0)$  be the subset of  $L_\theta(0)/qL_\theta(0)$  consisting of the  $\tilde{F}_{i_1} \cdots \tilde{F}_{i_\ell} \phi$ 's. In this paper, we prove the following theorem.

**Theorem** (Theorem 4.15).

- (i)  $\tilde{F}_i L_\theta(0) \subset L_\theta(0)$  and  $\tilde{E}_i L_\theta(0) \subset L_\theta(0)$ ,
- (ii)  $B_\theta(0)$  is a basis of  $L_\theta(0)/qL_\theta(0)$ ,
- (iii)  $\tilde{F}_i B_\theta(0) \subset B_\theta(0)$ , and  $\tilde{E}_i B_\theta(0) \subset B_\theta(0) \sqcup \{0\}$ ,

- (iv)  $\tilde{F}_i \tilde{E}_i(b) = b$  for any  $b \in B_\theta(0)$  such that  $\tilde{E}_i b \neq 0$ , and  $\tilde{E}_i \tilde{F}_i(b) = b$  for any  $b \in B_\theta(0)$ .

By this theorem,  $B_\theta(0)$  has a similar structure to the crystal structure. Namely, we have operators  $\tilde{F}_i: B_\theta(0) \rightarrow B_\theta(0)$  and  $\tilde{E}_i: B_\theta(0) \rightarrow B_\theta(0) \sqcup \{0\}$ , which satisfy (iv). Moreover  $\varepsilon_i(b) := \max \{n \in \mathbb{Z}_{\geq 0} \mid \tilde{E}_i^n b \in B_\theta(0)\}$  is finite. We call it the *symmetric crystal* associated with  $(I, \theta)$ . Contrary to the usual crystal case,  $\tilde{E}_{\theta(i)} b$  may coincide with  $\tilde{E}_i b$  in the symmetric crystal case.

Let  $\bar{\phantom{x}}$  be the bar operator of  $V_\theta(0)$ . Namely,  $\bar{\phantom{x}}$  is a unique endomorphism of  $V_\theta(0)$  such that  $\overline{\bar{\phi}} = \phi$ ,  $\overline{\bar{a}v} = \bar{a}\bar{v}$  and  $\overline{\bar{F}_i v} = F_i \bar{v}$  for  $a \in \mathbf{K}$  and  $v \in V_\theta(0)$ . Here  $\bar{a}(q) = a(q^{-1})$ . Let  $V_\theta(0)_{\mathbf{A}}$  be the smallest submodule of  $V_\theta(0)$  over  $\mathbf{A} := \mathbb{Q}[q, q^{-1}]$  such that it contains  $\phi$  and is stable by the  $F_i^{(n)}$ 's.

Then we prove the existence of global basis:

**Theorem** (Theorem 5.5).

- (i) For any  $b \in B_\theta(0)$ , there exists a unique  $G_\theta^{\text{low}}(b) \in V_\theta(0)_{\mathbf{A}} \cap L_\theta(0)$  such that  $\overline{G_\theta^{\text{low}}(b)} = G_\theta^{\text{low}}(b)$  and  $b = G_\theta^{\text{low}}(b) \pmod{qL_\theta(0)}$ ,
- (ii)  $\{G_\theta^{\text{low}}(b)\}_{b \in B_\theta(0)}$  is a basis of the  $\mathbf{A}_0$ -module  $L_\theta(0)$ , the  $\mathbf{A}$ -module  $V_\theta(0)_{\mathbf{A}}$  and the  $\mathbf{K}$ -vector space  $V_\theta(0)$ .

We call  $G_\theta^{\text{low}}(b)$  the *lower global basis*. The  $\mathcal{B}_\theta(\mathfrak{gl}_\infty)$ -module  $V_\theta(0)$  has a unique symmetric bilinear form  $(\bullet, \bullet)$  such that  $(\phi, \phi) = 1$  and  $E_i$  and  $F_i$  are transpose to each other. The dual basis to  $\{G_\theta^{\text{low}}(b)\}_{b \in B_\theta(0)}$  with respect to  $(\bullet, \bullet)$  is called an *upper global basis*.

Let us explain the strategy of our proof of these theorems. We first construct a PBW type basis  $\{P_\theta(\mathbf{m})\phi\}_{\mathbf{m}}$  of  $V_\theta(0)$  parametrized by the  $\theta$ -restricted multisegments  $\mathbf{m}$ . Then, we explicitly calculate the actions of  $E_i$  and  $F_i$  in terms of the PBW basis  $\{P_\theta(\mathbf{m})\phi\}_{\mathbf{m}}$ . Then, we prove that the PBW basis gives a crystal basis by the estimation of the coefficients of these actions. For this we use a criterion for crystal bases (Theorem 4.1).

## §2. General Definitions and Conjectures

### §2.1. Quantized universal enveloping algebras and its reduced $q$ -analogues

We shall recall the quantized universal enveloping algebra  $U_q(\mathfrak{g})$ . Let  $I$  be an index set (for simple roots), and  $Q$  the free  $\mathbb{Z}$ -module with a basis  $\{\alpha_i\}_{i \in I}$ .

Let  $(\bullet, \bullet): Q \times Q \rightarrow \mathbb{Z}$  be a symmetric bilinear form such that  $(\alpha_i, \alpha_i)/2 \in \mathbb{Z}_{>0}$  for any  $i$  and  $(\alpha_i^\vee, \alpha_j) \in \mathbb{Z}_{\leq 0}$  for  $i \neq j$  where  $\alpha_i^\vee := 2\alpha_i/(\alpha_i, \alpha_i)$ . Let  $q$  be an indeterminate and set  $\mathbf{K} := \mathbb{Q}(q)$ . We define its subrings  $\mathbf{A}_0$ ,  $\mathbf{A}_\infty$  and  $\mathbf{A}$  as follows.

$$\begin{aligned} \mathbf{A}_0 &= \{f \in \mathbf{K} \mid f \text{ is regular at } q = 0\}, \\ \mathbf{A}_\infty &= \{f \in \mathbf{K} \mid f \text{ is regular at } q = \infty\}, \\ \mathbf{A} &= \mathbb{Q}[q, q^{-1}]. \end{aligned}$$

**Definition 2.1.** The quantized universal enveloping algebra  $U_q(\mathfrak{g})$  is the  $\mathbf{K}$ -algebra generated by elements  $e_i, f_i$  and invertible elements  $t_i$  ( $i \in I$ ) with the following defining relations.

- (1) The  $t_i$ 's commute with each other.
- (2)  $t_j e_i t_j^{-1} = q^{(\alpha_j, \alpha_i)} e_i$  and  $t_j f_i t_j^{-1} = q^{-(\alpha_j, \alpha_i)} f_i$  for any  $i, j \in I$ .
- (3)  $[e_i, f_j] = \delta_{ij} \frac{t_i - t_i^{-1}}{q_i - q_i^{-1}}$  for  $i, j \in I$ . Here  $q_i := q^{(\alpha_i, \alpha_i)/2}$ .
- (4) (*Serre relation*) For  $i \neq j$ ,

$$\sum_{k=0}^b (-1)^k e_i^{(k)} e_j e_i^{(b-k)} = 0, \quad \sum_{k=0}^b (-1)^k f_i^{(k)} f_j f_i^{(b-k)} = 0.$$

Here  $b = 1 - (\alpha_i^\vee, \alpha_j)$  and

$$\begin{aligned} e_i^{(k)} &= e_i^k / [k]_i!, \quad f_i^{(k)} = f_i^k / [k]_i!, \\ [k]_i &= (q_i^k - q_i^{-k}) / (q_i - q_i^{-1}), \quad [k]_i! = [1]_i \cdots [k]_i. \end{aligned}$$

Let us denote by  $U_q^-(\mathfrak{g})$  (resp.  $U_q^+(\mathfrak{g})$ ) the  $\mathbf{K}$ -subalgebra of  $U_q(\mathfrak{g})$  generated by the  $f_i$ 's (resp. the  $e_i$ 's).

Let  $e'_i$  and  $e_i^*$  be the operators on  $U_q^-(\mathfrak{g})$  (see [K1, 3.4]) defined by

$$[e_i, a] = \frac{(e_i^* a) t_i - t_i^{-1} e'_i a}{q_i - q_i^{-1}} \quad (a \in U_q^-(\mathfrak{g})).$$

These operators satisfy the following formulas similar to derivations:

$$(2.1) \quad \begin{aligned} e'_i(ab) &= e'_i(a)b + (\text{Ad}(t_i)a)e'_i b, \\ e_i^*(ab) &= a e_i^* b + (e_i^* a)(\text{Ad}(t_i)b). \end{aligned}$$

Note that in [K1], the operator  $e_i''$  was defined. It satisfies  $e_i'' = - \circ e_i' \circ -$ , while  $e_i^*$  satisfies  $e_i^* = * \circ e_i' \circ *$ . They are related by  $e_i^* = \text{Ad}(t_i) \circ e_i''$ .

The algebra  $U_q^-(\mathfrak{g})$  has a unique symmetric bilinear form  $(\bullet, \bullet)$  such that  $(1, 1) = 1$  and

$$(e_i' a, b) = (a, f_i b) \quad \text{for any } a, b \in U_q^-(\mathfrak{g}).$$

It is non-degenerate and satisfies  $(e_i^* a, b) = (a, b f_i)$ . The left multiplication of  $f_j, e_i'$  and  $e_i^*$  have the commutation relations

$$e_i' f_j = q^{-(\alpha_i, \alpha_j)} f_j e_i' + \delta_{ij}, \quad e_i^* f_j = f_j e_i^* + \delta_{ij} \text{Ad}(t_i),$$

and both the  $e_i'$ 's and the  $e_i^*$ 's satisfy the Serre relations.

**Definition 2.2.** The reduced  $q$ -analogue  $\mathcal{B}(\mathfrak{g})$  of  $\mathfrak{g}$  is the  $\mathbf{K}$ -algebra generated by  $e_i'$  and  $f_i$ .

**§2.2. Review on crystal bases and global bases**

Since  $e_i'$  and  $f_i$  satisfy the  $q$ -boson relation, any element  $a \in U_q^-(\mathfrak{g})$  can be uniquely written as

$$a = \sum_{n \geq 0} f_i^{(n)} a_n \quad \text{with } e_i' a_n = 0.$$

Here  $f_i^{(n)} = \frac{f_i^n}{[n]_i!}$ .

**Definition 2.3.** We define the modified root operators  $\tilde{e}_i$  and  $\tilde{f}_i$  on  $U_q^-(\mathfrak{g})$  by

$$\tilde{e}_i a = \sum_{n \geq 1} f_i^{(n-1)} a_n, \quad \tilde{f}_i a = \sum_{n \geq 0} f_i^{(n+1)} a_n.$$

**Theorem 2.4** ([K1]). *We define*

$$L(\infty) = \sum_{\ell \geq 0, i_1, \dots, i_\ell \in I} \mathbf{A}_0 \tilde{f}_{i_1} \cdots \tilde{f}_{i_\ell} \cdot 1 \subset U_q^-(\mathfrak{g}),$$

$$B(\infty) = \left\{ \tilde{f}_{i_1} \cdots \tilde{f}_{i_\ell} \cdot 1 \pmod{qL(\infty)} \mid \ell \geq 0, i_1, \dots, i_\ell \in I \right\} \subset L(\infty)/qL(\infty).$$

Then we have

- (i)  $\tilde{e}_i L(\infty) \subset L(\infty)$  and  $\tilde{f}_i L(\infty) \subset L(\infty)$ ,
- (ii)  $B(\infty)$  is a basis of  $L(\infty)/qL(\infty)$ ,

(iii)  $\tilde{f}_i B(\infty) \subset B(\infty)$  and  $\tilde{e}_i B(\infty) \subset B(\infty) \cup \{0\}$ .

We call  $(L(\infty), B(\infty))$  the crystal basis of  $U_q^-(\mathfrak{g})$ .

Let  $-$  be the automorphism of  $\mathbf{K}$  sending  $q$  to  $q^{-1}$ . Then  $\overline{\mathbf{A}}_0$  coincides with  $\mathbf{A}_\infty$ .

Let  $V$  be a vector space over  $\mathbf{K}$ ,  $L_0$  an  $\mathbf{A}_0$ -submodule of  $V$ ,  $L_\infty$  an  $\mathbf{A}_\infty$ -submodule, and  $V_{\mathbf{A}}$  an  $\mathbf{A}$ -submodule. Set  $E := L_0 \cap L_\infty \cap V_{\mathbf{A}}$ .

**Definition 2.5** ([K1], [K2, 2.1]). We say that  $(L_0, L_\infty, V_{\mathbf{A}})$  is *balanced* if each of  $L_0$ ,  $L_\infty$  and  $V_{\mathbf{A}}$  generates  $V$  as a  $\mathbf{K}$ -vector space, and if one of the following equivalent conditions is satisfied.

- (i)  $E \rightarrow L_0/qL_0$  is an isomorphism,
- (ii)  $E \rightarrow L_\infty/q^{-1}L_\infty$  is an isomorphism,
- (iii)  $(L_0 \cap V_{\mathbf{A}}) \oplus (q^{-1}L_\infty \cap V_{\mathbf{A}}) \rightarrow V_{\mathbf{A}}$  is an isomorphism,
- (iv)  $\mathbf{A}_0 \otimes_{\mathbb{Q}} E \rightarrow L_0$ ,  $\mathbf{A}_\infty \otimes_{\mathbb{Q}} E \rightarrow L_\infty$ ,  $\mathbf{A} \otimes_{\mathbb{Q}} E \rightarrow V_{\mathbf{A}}$  and  $\mathbf{K} \otimes_{\mathbb{Q}} E \rightarrow V$  are isomorphisms.

Let  $-$  be the ring automorphism of  $U_q(\mathfrak{g})$  sending  $q, t_i, e_i, f_i$  to  $q^{-1}, t_i^{-1}, e_i, f_i$ .

Let  $U_q(\mathfrak{g})_{\mathbf{A}}$  be the  $\mathbf{A}$ -subalgebra of  $U_q(\mathfrak{g})$  generated by  $e_i^{(n)}, f_i^{(n)}$  and  $t_i$ . Similarly we define  $U_q^-(\mathfrak{g})_{\mathbf{A}}$ .

**Theorem 2.6.**  $(L(\infty), L(\infty)^-, U_q^-(\mathfrak{g})_{\mathbf{A}})$  is balanced.

Let

$$G^{\text{low}}: L(\infty)/qL(\infty) \xrightarrow{\sim} E := L(\infty) \cap L(\infty)^- \cap U_q^-(\mathfrak{g})_{\mathbf{A}}$$

be the inverse of  $E \xrightarrow{\sim} L(\infty)/qL(\infty)$ . Then  $\{G^{\text{low}}(b) \mid b \in B(\infty)\}$  forms a basis of  $U_q^-(\mathfrak{g})$ . We call it a (lower) *global basis*. It is first introduced by G. Lusztig ([L]) under the name of “canonical basis” for the A, D, E cases.

**Definition 2.7.** Let

$$\{G^{\text{up}}(b) \mid b \in B(\infty)\}$$

be the dual basis of  $\{G^{\text{low}}(b) \mid b \in B(\infty)\}$  with respect to the inner product  $(\bullet, \bullet)$ . We call it the upper global basis of  $U_q^-(\mathfrak{g})$ .

### §2.3. Symmetric crystals

Let  $\theta$  be an automorphism of  $I$  such that  $\theta^2 = \text{id}$  and  $(\alpha_{\theta(i)}, \alpha_{\theta(j)}) = (\alpha_i, \alpha_j)$ . Hence it extends to an automorphism of the root lattice  $Q$  by  $\theta(\alpha_i) = \alpha_{\theta(i)}$ , and induces an automorphism of  $U_q(\mathfrak{g})$ .

**Definition 2.8.** Let  $\mathcal{B}_\theta(\mathfrak{g})$  be the  $\mathbf{K}$ -algebra generated by  $E_i, F_i$ , and invertible elements  $T_i$  ( $i \in I$ ) satisfying the following defining relations:

- (i) the  $T_i$ 's commute with each other,
- (ii)  $T_{\theta(i)} = T_i$  for any  $i$ ,
- (iii)  $T_i E_j T_i^{-1} = q^{(\alpha_i + \alpha_{\theta(i)}, \alpha_j)} E_j$  and  $T_i F_j T_i^{-1} = q^{(\alpha_i + \alpha_{\theta(i)}, -\alpha_j)} F_j$  for  $i, j \in I$ ,
- (iv)  $E_i F_j = q^{-(\alpha_i, \alpha_j)} F_j E_i + (\delta_{i,j} + \delta_{\theta(i),j}) T_i$  for  $i, j \in I$ ,
- (v) the  $E_i$ 's and the  $F_i$ 's satisfy the Serre relations (Definition 2.1 (4)).

We set  $E_i^{(n)} = E_i^n / [n]_i!$  and  $F_i^{(n)} = F_i^n / [n]_i!$ .

**Lemma 2.9.** Identifying  $U_q^-(\mathfrak{g})$  with the subalgebra of  $\mathcal{B}_\theta(\mathfrak{g})$  by the morphism  $f_i \mapsto F_i$ , we have

$$(2.2) \quad T_i a = (\text{Ad}(t_i t_{\theta(i)}) a) T_i,$$

$$(2.3) \quad E_i a = (\text{Ad}(t_i) a) E_i + e'_i a + (\text{Ad}(t_i)(e_{\theta(i)}^* a)) T_i$$

for  $a \in U_q^-(\mathfrak{g})$ .

*Proof.* The first relation is obvious. In order to prove the second, it is enough to show that if  $a$  satisfies (2.3), then  $f_j a$  satisfies (2.3). We have

$$\begin{aligned} E_i(f_j a) &= (q^{-(\alpha_i, \alpha_j)} f_j E_i + \delta_{i,j} + \delta_{\theta(i),j} T_i) a \\ &= q^{-(\alpha_i, \alpha_j)} f_j ((\text{Ad}(t_i) a) E_i + e'_i a + (\text{Ad}(t_i)(e_{\theta(i)}^* a)) T_i) \\ &\quad + \delta_{i,j} a + \delta_{\theta(i),j} (\text{Ad}(t_i t_{\theta(i)}) a) T_i \\ &= ((\text{Ad}(t_i)(f_j a)) E_i + e'_i(f_j a) + (\text{Ad}(t_i)(e_{\theta(i)}^*(f_j a)) T_i). \end{aligned}$$

□

The following lemma can be proved in a standard manner and we omit the proof.



**Lemma 2.10.** *Let  $\mathbf{K}[T_i^\pm; i \in I]$  be the commutative  $\mathbf{K}$ -algebra generated by invertible elements  $T_i$  ( $i \in I$ ) with the defining relations  $T_{\theta(i)} = T_i$ . Then the map  $U_q^-(\mathfrak{g}) \otimes \mathbf{K}[T_i^\pm; i \in I] \otimes U_q^+(\mathfrak{g}) \rightarrow \mathcal{B}_\theta(\mathfrak{g})$  induced by the multiplication is bijective.*

Let  $\lambda \in P_+ := \{\lambda \in \text{Hom}(Q, \mathbb{Q}) \mid \langle \alpha_i^\vee, \lambda \rangle \in \mathbb{Z}_{\geq 0} \text{ for any } i \in I\}$  be a dominant integral weight such that  $\theta(\lambda) = \lambda$ .

**Proposition 2.11.**

- (i) *There exists a  $\mathcal{B}_\theta(\mathfrak{g})$ -module  $V_\theta(\lambda)$  generated by a non-zero vector  $\phi_\lambda$  such that*
  - (a)  $E_i \phi_\lambda = 0$  for any  $i \in I$ ,
  - (b)  $T_i \phi_\lambda = q^{(\alpha_i, \lambda)} \phi_\lambda$  for any  $i \in I$ ,
  - (c)  $\{u \in V_\theta(\lambda) \mid E_i u = 0 \text{ for any } i \in I\} = \mathbf{K} \phi_\lambda$ .

*Moreover such a  $V_\theta(\lambda)$  is irreducible and unique up to an isomorphism.*

- (ii) *there exists a unique symmetric bilinear form  $(\cdot, \cdot)$  on  $V_\theta(\lambda)$  such that  $(\phi_\lambda, \phi_\lambda) = 1$  and  $(E_i u, v) = (u, F_i v)$  for any  $i \in I$  and  $u, v \in V_\theta(\lambda)$ , and it is non-degenerate.*

*Remark 2.12.* Set  $P_\theta = \{\mu \in P \mid \theta(\mu) = \mu\}$ . Then  $V_\theta(\lambda)$  has a weight decomposition

$$V_\theta(\lambda) = \bigoplus_{\mu \in P_\theta} V_\theta(\lambda)_\mu,$$

where  $V_\theta(\lambda)_\mu = \{u \in V_\theta(\lambda) \mid T_i u = q^{(\alpha_i, \mu)} u\}$ . We say that an element  $u$  of  $V_\theta(\lambda)$  has a  $\theta$ -weight  $\mu$  and write  $\text{wt}_\theta(u) = \mu$  if  $u \in V_\theta(\lambda)_\mu$ . We have  $\text{wt}_\theta(E_i u) = \text{wt}_\theta(u) + (\alpha_i + \alpha_{\theta(i)})$  and  $\text{wt}_\theta(F_i u) = \text{wt}_\theta(u) - (\alpha_i + \alpha_{\theta(i)})$ .

In order to prove Proposition 2.11, we shall construct two  $\mathcal{B}_\theta(\mathfrak{g})$ -modules, analogous to Verma modules and dual Verma modules.

**Lemma 2.13.** *Let  $U_q^-(\mathfrak{g})\phi'_\lambda$  be a free  $U_q^-(\mathfrak{g})$ -module with a generator  $\phi'_\lambda$ . Then the following action gives a structure of a  $\mathcal{B}_\theta(\mathfrak{g})$ -module on  $U_q^-(\mathfrak{g})\phi'_\lambda$ :*

$$(2.4) \quad \begin{cases} T_i(a\phi'_\lambda) = q^{(\alpha_i, \lambda)} (\text{Ad}(t_i t_{\theta(i)}) a) \phi'_\lambda, \\ E_i(a\phi'_\lambda) = (e'_i a + q^{(\alpha_i, \lambda)} \text{Ad}(t_i)(e_{\theta(i)}^* a)) \phi'_\lambda, \\ F_i(a\phi'_\lambda) = (f_i a) \phi'_\lambda \end{cases}$$

for any  $i \in I$  and  $a \in U_q^-(\mathfrak{g})$ .

Moreover  $\mathcal{B}_\theta(\mathfrak{g}) / \sum_{i \in I} (\mathcal{B}_\theta(\mathfrak{g}) E_i + \mathcal{B}_\theta(\mathfrak{g})(T_i - q^{(\alpha_i, \lambda)})) \rightarrow U_q^-(\mathfrak{g})\phi'_\lambda$  is an isomorphism.

*Proof.* We can easily check the defining relations in Definition 2.8 except the Serre relations for the  $E_i$ 's.

For  $i \neq j \in I$ , set  $S = \sum_{n=0}^b (-1)^n E_i^{(n)} E_j E_i^{(b-n)}$  where  $b = 1 - \langle h_i, \alpha_j \rangle$ . It is enough to show that the action of  $S$  on  $U_q^-(\mathfrak{g})\phi'_\lambda$  is equal to 0. We can easily check that  $SF_k = q^{-(b\alpha_i + \alpha_j, \alpha_k)} F_k S$ . Since  $S\phi'_\lambda = 0$ , we have  $SU_q^-(\mathfrak{g})\phi'_\lambda = 0$ .

Hence  $U_q^-(\mathfrak{g})\phi'_\lambda$  has a  $\mathcal{B}_\theta(\mathfrak{g})$ -module structure.

The last statement is obvious. □

**Lemma 2.14.** *Let  $U_q^-(\mathfrak{g})\phi''_\lambda$  be a free  $U_q^-(\mathfrak{g})$ -module with a generator  $\phi''_\lambda$ . Then the following action gives a structure of a  $\mathcal{B}_\theta(\mathfrak{g})$ -module on  $U_q^-(\mathfrak{g})\phi''_\lambda$ :*

$$(2.5) \quad \begin{cases} T_i(a\phi''_\lambda) = q^{(\alpha_i, \lambda)} (\text{Ad}(t_i t_{\theta(i)}) a) \phi''_\lambda, \\ E_i(a\phi''_\lambda) = (e'_i a) \phi''_\lambda, \\ F_i(a\phi''_\lambda) = (f_i a + q^{(\alpha_i, \lambda)} (\text{Ad}(t_i) a) f_{\theta(i)}) \phi''_\lambda \end{cases}$$

for any  $i \in I$  and  $a \in U_q^-(\mathfrak{g})$ . Moreover, there exists a non-degenerate bilinear form  $\langle \bullet, \bullet \rangle: U_q^-(\mathfrak{g})\phi'_\lambda \times U_q^-(\mathfrak{g})\phi''_\lambda \rightarrow \mathbf{K}$  such that  $\langle F_i u, v \rangle = \langle u, E_i v \rangle$ ,  $\langle E_i u, v \rangle = \langle u, F_i v \rangle$ ,  $\langle T_i u, v \rangle = \langle u, T_i v \rangle$  for  $u \in U_q^-(\mathfrak{g})\phi'_\lambda$  and  $v \in U_q^-(\mathfrak{g})\phi''_\lambda$ , and  $\langle \phi'_\lambda, \phi''_\lambda \rangle = 1$ .

*Proof.* There exists a unique symmetric bilinear form  $(\bullet, \bullet)$  on  $U_q^-(\mathfrak{g})$  such that  $(1, 1) = 1$  and  $f_i$  and  $e'_i$  are transpose to each other. Let us define  $\langle \bullet, \bullet \rangle: U_q^-(\mathfrak{g})\phi'_\lambda \times U_q^-(\mathfrak{g})\phi''_\lambda \rightarrow \mathbf{K}$  by  $\langle a\phi'_\lambda, b\phi''_\lambda \rangle = (a, b)$  for  $a \in U_q^-(\mathfrak{g})$  and  $b \in U_q^-(\mathfrak{g})$ . Then we can easily check  $\langle F_i u, v \rangle = \langle u, E_i v \rangle$ ,  $\langle T_i u, v \rangle = \langle u, T_i v \rangle$ . Since  $e_i^*$  is transpose to the right multiplication of  $f_i$ , we have  $\langle E_i u, v \rangle = \langle u, F_i v \rangle$ . Hence the action of  $E_i, F_i, T_i$  on  $U_q^-(\mathfrak{g})\phi''_\lambda$  satisfy the defining relations in Definition 2.8. □

*Proof of Proposition 2.11.* Since  $E_i\phi''_\lambda = 0$  and  $\phi''_\lambda$  has a  $\theta$ -weight  $\lambda$ , there exists a unique  $\mathcal{B}_\theta(\mathfrak{g})$ -linear morphism  $\psi: U_q^-(\mathfrak{g})\phi'_\lambda \rightarrow U_q^-(\mathfrak{g})\phi''_\lambda$  sending  $\phi'_\lambda$  to  $\phi''_\lambda$ . Let  $V_\theta(\lambda)$  be its image  $\psi(U_q^-(\mathfrak{g})\phi'_\lambda)$ .

(i) (c) follows from  $\{u \in U_q^-(\mathfrak{g}) \mid e'_i u = 0 \text{ for any } i\} = \mathbf{K}$  and  $U_q^-(\mathfrak{g})\phi''_\lambda \supset V_\theta(\lambda)$ . The other properties (a), (b) are obvious. Let us show that  $V_\theta(\lambda)$  is irreducible. Let  $S$  be a non-zero  $\mathcal{B}_\theta(\mathfrak{g})$ -submodule. Then  $S$  contains a non-zero vector  $v$  such that  $E_i v = 0$  for any  $i$ . Then (c) implies that  $v$  is a constant multiple of  $\phi_\lambda$ . Hence  $S = V_\theta(\lambda)$ .

Let us prove (ii). For  $u, u' \in U_q^-(\mathfrak{g})\phi'_\lambda$ , set  $((u, u')) = \langle u, \psi(u') \rangle$ . Then it is a bilinear form on  $U_q^-(\mathfrak{g})\phi'_\lambda$  which satisfies

$$(2.6) \quad \begin{aligned} ((\phi'_\lambda, \phi'_\lambda)) &= 1, ((F_i u, u')) = ((u, E_i u')), ((E_i u, u')) = ((u, F_i u')), \text{ and} \\ ((T_i u, u')) &= ((u, T_i u')). \end{aligned}$$

It is easy to see that a bilinear form which satisfies (2.6) is unique. Since  $((u', u))$  also satisfies (2.6),  $((u, u'))$  is a symmetric bilinear form on  $U_q^-(\mathfrak{g})\phi'_\lambda$ . Since  $\psi(u') = 0$  implies  $((u, u')) = 0$ ,  $((u, u'))$  induces a symmetric bilinear form on  $V_\theta(\lambda)$ . Since  $(\bullet, \bullet)$  is non-degenerate on  $U_q^-(\mathfrak{g})$ ,  $((\bullet, \bullet))$  is a non-degenerate symmetric bilinear form on  $V_\theta(\lambda)$ . □

**Lemma 2.15.** *There exists a unique endomorphism  $\Phi$  of  $V_\theta(\lambda)$  such that  $\overline{\phi_\lambda} = \phi_\lambda$  and  $\overline{av} = \bar{a}v$ ,  $\overline{F_i v} = F_i \bar{v}$  for any  $a \in \mathbf{K}$  and  $v \in V_\theta(\lambda)$ .*

*Proof.* The uniqueness is obvious.

Let  $\xi$  be an anti-involution of  $U_q^-(\mathfrak{g})$  such that  $\xi(q) = q^{-1}$  and  $\xi(f_i) = f_{\theta(i)}$ . Let  $\tilde{\rho}$  be an element of  $\mathbb{Q} \otimes P$  such that  $(\tilde{\rho}, \alpha_i) = (\alpha_i, \alpha_{\theta(i)})/2$ . Define  $c(\mu) = ((\mu + \tilde{\rho}, \theta(\mu + \tilde{\rho})) - (\tilde{\rho}, \theta(\tilde{\rho}))/2 + (\lambda, \mu)$  for  $\mu \in P$ . Then it satisfies

$$c(\mu) - c(\mu - \alpha_i) = (\lambda + \mu, \alpha_{\theta(i)}).$$

Hence  $c$  takes integral values on  $Q := \sum_i \mathbb{Z}\alpha_i$ .

We define the endomorphism  $\Phi$  of  $U_q^-(\mathfrak{g})\phi''_\lambda$  by  $\Phi(a\phi''_\lambda) = q^{-c(\mu)}\xi(a)\phi''_\lambda$  for  $a \in U_q^-(\mathfrak{g})_\mu$ . Let us show that

$$(2.7) \quad \Phi(F_i(a\phi''_\lambda)) = F_i\Phi(a\phi''_\lambda) \quad \text{for any } a \in U_q^-(\mathfrak{g}).$$

For  $a \in U_q^-(\mathfrak{g})_\mu$ , we have

$$\begin{aligned} \Phi(F_i(a\phi''_\lambda)) &= \Phi(f_i a + q^{(\alpha_i, \lambda + \mu)} a f_{\theta(i)})\phi''_\lambda \\ &= (q^{-c(\mu - \alpha_i)} \xi(a) f_{\theta(i)} + q^{-(\alpha_i, \lambda + \mu) - c(\mu - \alpha_{\theta(i)})} f_i \xi(a))\phi''_\lambda. \end{aligned}$$

On the other hand, we have

$$\begin{aligned} F_i\Phi(a\phi''_\lambda) &= F_i(q^{-c(\mu)} \xi(a)\phi''_\lambda) \\ &= q^{-c(\mu)} (f_i \xi(a) + q^{(\alpha_i, \lambda + \theta(\mu))} \xi(a) f_{\theta(i)})\phi''_\lambda. \end{aligned}$$

Therefore we obtain (2.7).

Hence  $\Phi$  induces the desired endomorphism of  $V_\theta(\lambda) \subset U_q^-(\mathfrak{g})\phi''_\lambda$ . □

Hereafter we assume further that

$$\text{there is no } i \in I \text{ such that } \theta(i) = i.$$

We conjecture that  $V_\theta(\lambda)$  has a crystal basis under this assumption. This means the following. Since  $E_i$  and  $F_i$  satisfy the  $q$ -boson relation, any  $u \in V_\theta(\lambda)$  can be

uniquely written as  $u = \sum_{n \geq 0} F_i^{(n)} u_n$  with  $E_i u_n = 0$ . We define the modified root operators  $\tilde{E}_i$  and  $\tilde{F}_i$  by:

$$\tilde{E}_i(u) = \sum_{n \geq 1} F_i^{(n-1)} u_n \text{ and } \tilde{F}_i(u) = \sum_{n \geq 0} F_i^{(n+1)} u_n.$$

Let  $L_\theta(\lambda)$  be the  $\mathbf{A}_0$ -submodule of  $V_\theta(\lambda)$  generated by  $\tilde{F}_{i_1} \cdots \tilde{F}_{i_\ell} \phi_\lambda$  ( $\ell \geq 0$  and  $i_1, \dots, i_\ell \in I$ ), and let  $B_\theta(\lambda)$  be the subset

$$\left\{ \tilde{F}_{i_1} \cdots \tilde{F}_{i_\ell} \phi_\lambda \bmod qL_\theta(\lambda) \mid \ell \geq 0, i_1, \dots, i_\ell \in I \right\}$$

of  $L_\theta(\lambda)/qL_\theta(\lambda)$ .

**Conjecture 2.16.** For a dominant integral weight  $\lambda$  such that  $\theta(\lambda) = \lambda$ , we have

- (1)  $\tilde{F}_i L_\theta(\lambda) \subset L_\theta(\lambda)$  and  $\tilde{E}_i L_\theta(\lambda) \subset L_\theta(\lambda)$ ,
- (2)  $B_\theta(\lambda)$  is a basis of  $L_\theta(\lambda)/qL_\theta(\lambda)$ ,
- (3)  $\tilde{F}_i B_\theta(\lambda) \subset B_\theta(\lambda)$ , and  $\tilde{E}_i B_\theta(\lambda) \subset B_\theta(\lambda) \sqcup \{0\}$ ,
- (4)  $\tilde{F}_i \tilde{E}_i(b) = b$  for any  $b \in B_\theta(\lambda)$  such that  $\tilde{E}_i b \neq 0$ , and  $\tilde{E}_i \tilde{F}_i(b) = b$  for any  $b \in B_\theta(\lambda)$ .

As in [K1], we have

**Lemma 2.17.** Assume Conjecture 2.16. Then we have

- (i)  $L_\theta(\lambda) = \{v \in V_\theta(\lambda) \mid (L_\theta(\lambda), v) \subset \mathbf{A}_0\}$ ,
- (ii) Let  $(\bullet, \bullet)_0$  be the  $\mathbb{Q}$ -valued symmetric bilinear form on  $L_\theta(\lambda)/qL_\theta(\lambda)$  induced by  $(\bullet, \bullet)$ . Then  $B_\theta(\lambda)$  is an orthonormal basis with respect to  $(\bullet, \bullet)_0$ .

Moreover we conjecture that  $V_\theta(\lambda)$  has a global crystal basis. Namely we have

**Conjecture 2.18.** The triplet  $(L_\theta(\lambda), L_\theta(\lambda)^-, V_\theta(\lambda)_{\mathbf{A}}^{\text{low}})$  is balanced. Here  $V_\theta(\lambda)_{\mathbf{A}}^{\text{low}} := U_q^-(\mathfrak{g})_{\mathbf{A}} \phi_\lambda$ .

Its dual version is as follows.

Let us denote by  $V_\theta(\lambda)_{\mathbf{A}}^{\text{up}}$  the dual space  $\{v \in V_\theta(\lambda) \mid (V_\theta(\lambda)_{\mathbf{A}}^{\text{low}}, v) \subset \mathbf{A}\}$ . Then Conjecture 2.18 is equivalent to the following conjecture.

**Conjecture 2.19.**  $(L_\theta(\lambda), c(L_\theta(\lambda)), V_\theta(\lambda)_{\mathbf{A}}^{\text{up}})$  is balanced.

Here  $c$  is a unique endomorphism of  $V_\theta(\lambda)$  such that  $c(\phi_\lambda) = \phi_\lambda$  and  $c(av) = \bar{a}c(v)$ ,  $c(E_i v) = E_i c(v)$  for any  $a \in \mathbf{K}$  and  $v \in V_\theta(\lambda)$ . We have  $(c(v'), v) = \overline{(v', \bar{v})}$  for any  $v, v' \in V_\theta(\lambda)$ .

Note that  $V_\theta(\lambda)_{\mathbf{A}}^{\text{up}}$  is the largest  $\mathbf{A}$ -submodule  $M$  of  $V_\theta(\lambda)$  such that  $M$  is invariant by the  $E_i^{(n)}$ 's and  $M \cap \mathbf{K}\phi_\lambda = \mathbf{A}\phi_\lambda$ .

By Conjecture 2.19,  $L_\theta(\lambda) \cap c(L_\theta(\lambda)) \cap V_\theta(\lambda)_{\mathbf{A}}^{\text{up}} \rightarrow L_\theta(\lambda)/qL_\theta(\lambda)$  is an isomorphism. Let  $G_\theta^{\text{up}}$  be its inverse. Then  $\{G_\theta^{\text{up}}(b)\}_{b \in B_\theta(\lambda)}$  is a basis of  $V_\theta(\lambda)$ , which we call the *upper global basis* of  $V_\theta(\lambda)$ . Note that  $\{G_\theta^{\text{up}}(b)\}_{b \in B_\theta(\lambda)}$  is the dual basis to  $\{G_\theta^{\text{low}}(b)\}_{b \in B_\theta(\lambda)}$  with respect to the inner product of  $V_\theta(\lambda)$ .

We shall prove these conjectures in the case  $\mathfrak{g} = \mathfrak{gl}_\infty$  and  $\lambda = 0$ .

### §3. PBW Basis of $V_\theta(0)$ for $\mathfrak{g} = \mathfrak{gl}_\infty$

#### §3.1. Review on the PBW basis

In the sequel, we set  $I = \mathbb{Z}_{\text{odd}}$  and

$$(\alpha_i, \alpha_j) = \begin{cases} 2 & \text{for } i = j, \\ -1 & \text{for } j = i \pm 2, \\ 0 & \text{otherwise,} \end{cases}$$

and we consider the corresponding quantum group  $U_q(\mathfrak{gl}_\infty)$ . In this case, we have  $q_i = q$ . We write  $[n]$  and  $[n]!$  for  $[n]_i$  and  $[n]_i!$  for short.

We can parametrize the crystal basis  $B(\infty)$  by the multisegments. We shall recall this parametrization and the PBW basis.

**Definition 3.1.** For  $i, j \in I$  such that  $i \leq j$ , we define a segment  $\langle i, j \rangle$  as the interval  $[i, j] \subset I := \mathbb{Z}_{\text{odd}}$ . A multisegment is a formal finite sum of segments:

$$\mathbf{m} = \sum_{i \leq j} m_{ij} \langle i, j \rangle$$

with  $m_{i,j} \in \mathbb{Z}_{\geq 0}$ . We call  $m_{ij}$  the multiplicity of a segment  $\langle i, j \rangle$ . If  $m_{i,j} > 0$ , we sometimes say that  $\langle i, j \rangle$  appears in  $\mathbf{m}$ . We sometimes write  $m_{i,j}(\mathbf{m})$  for  $m_{i,j}$ . We sometimes write  $\langle i \rangle$  for  $\langle i, i \rangle$ . We denote by  $\mathcal{M}$  the set of multisegments. We denote by  $\emptyset$  the zero element (or the empty multisegment) of  $\mathcal{M}$ .

**Definition 3.2.** For two segments  $\langle i_1, j_1 \rangle$  and  $\langle i_2, j_2 \rangle$ , we define the ordering  $\geq_{\text{PBW}}$  by the following:

$$\langle i_1, j_1 \rangle \geq_{\text{PBW}} \langle i_2, j_2 \rangle \iff \begin{cases} j_1 > j_2 \\ \text{or} \\ j_1 = j_2 \text{ and } i_1 \geq i_2. \end{cases}$$

We call this ordering the *PBW-ordering*.

**Definition 3.3.** For a multisegment  $\mathbf{m}$ , we define the element  $P(\mathbf{m}) \in U_q^-(\mathfrak{gl}_\infty)$  as follows.

(1) For a segment  $\langle i, j \rangle$ , we define the element  $\langle i, j \rangle \in U_q^-(\mathfrak{gl}_\infty)$  inductively by

$$\begin{aligned} \langle i, i \rangle &= f_i, \\ \langle i, j \rangle &= \langle i, j-2 \rangle \langle j, j \rangle - q \langle j, j \rangle \langle i, j-2 \rangle \quad \text{for } i < j. \end{aligned}$$

(2) For a multisegment  $\mathbf{m} = \sum_{i \leq j} m_{ij} \langle i, j \rangle$ , we define

$$P(\mathbf{m}) = \overrightarrow{\prod} \langle i, j \rangle^{(m_{ij})}.$$

Here the product  $\overrightarrow{\prod}$  is taken over segments appearing in  $\mathbf{m}$  from large to small with respect to the PBW-ordering. The element  $\langle i, j \rangle^{(m_{ij})}$  is the divided power of  $\langle i, j \rangle$  i.e.

$$\langle i, j \rangle^{(n)} = \begin{cases} \frac{1}{[n]!} \langle i, j \rangle^n & \text{for } n > 0, \\ 1 & \text{for } n = 0, \\ 0 & \text{for } n < 0. \end{cases}$$

Hence the weight of  $P(\mathbf{m})$  is equal to  $\text{wt}(\mathbf{m}) := - \sum_{i \leq k \leq j} m_{i,j} \alpha_k$ :  $t_i P(\mathbf{m}) t_i^{-1} = q^{(\alpha_i, \text{wt}(\mathbf{m}))} P(\mathbf{m})$ .

**Theorem 3.4** ([L]). *The set of elements  $\{P(\mathbf{m}) \mid \mathbf{m} \in \mathcal{M}\}$  is a  $\mathbf{K}$ -basis of  $U_q^-(\mathfrak{gl}_\infty)$ . Moreover this is an  $\mathbf{A}$ -basis of  $U_q^-(\mathfrak{gl}_\infty)_{\mathbf{A}}$ . We call this basis the PBW basis of  $U_q^-(\mathfrak{gl}_\infty)$ .*

**Definition 3.5.** For two segments  $\langle i_1, j_1 \rangle$  and  $\langle i_2, j_2 \rangle$ , we define the ordering  $\geq_{\text{cry}}$  by the following:

$$\langle i_1, j_1 \rangle \geq_{\text{cry}} \langle i_2, j_2 \rangle \iff \begin{cases} j_1 > j_2 \\ \text{or} \\ j_1 = j_2 \text{ and } i_1 \leq i_2. \end{cases}$$

We call this ordering the *crystal ordering*.

**Example 3.6.** The crystal ordering is different from the PBW-ordering. For example, we have  $\langle -1, 1 \rangle \geq_{\text{cry}} \langle 1, 1 \rangle \geq_{\text{cry}} \langle -1 \rangle$ , while we have  $\langle 1, 1 \rangle \geq_{\text{PBW}} \langle -1, 1 \rangle \geq_{\text{PBW}} \langle -1 \rangle$ .

**Definition 3.7.** We define the crystal structure on  $\mathcal{M}$  as follows: for  $\mathbf{m} = \sum m_{i,j} \langle i, j \rangle \in \mathcal{M}$  and  $i \in I$ , set  $A_k^{(i)}(\mathbf{m}) = \sum_{k' \geq k} (m_{i,k'} - m_{i+2,k'+2})$  for  $k \geq i$ . Define  $\varepsilon_i(\mathbf{m})$  as  $\max \{ A_k^{(i)}(\mathbf{m}) \mid k \geq i \} \geq 0$ .

- (i) If  $\varepsilon_i(\mathbf{m}) = 0$ , then define  $\tilde{\varepsilon}_i(\mathbf{m}) = 0$ . If  $\varepsilon_i(\mathbf{m}) > 0$ , let  $k_e$  be the largest  $k \geq i$  such that  $\varepsilon_i(\mathbf{m}) = A_k^{(i)}(\mathbf{m})$  and define  $\tilde{\varepsilon}_i(\mathbf{m}) = \mathbf{m} - \langle i, k_e \rangle + \delta_{k_e \neq i} \langle i+2, k_e \rangle$ .
- (ii) Let  $k_f$  be the smallest  $k \geq i$  such that  $\varepsilon_i(\mathbf{m}) = A_k^{(i)}(\mathbf{m})$  and define  $\tilde{f}_i(\mathbf{m}) = \mathbf{m} - \delta_{k_f \neq i} \langle i+2, k_f \rangle + \langle i, k_f \rangle$ .

*Remark 3.8.* For  $i \in I$ , the actions of the operators  $\tilde{\varepsilon}_i$  and  $\tilde{f}_i$  on  $\mathbf{m} \in \mathcal{M}$  are also described by the following algorithm:

Step 1. Arrange the segments in  $\mathbf{m}$  in the crystal ordering.

Step 2. For each segment  $\langle i, j \rangle$ , write  $-$ , and for each segment  $\langle i+2, j \rangle$ , write  $+$ .

Step 3. In the resulting sequence of  $+$  and  $-$ , delete a subsequence of the form  $+ -$  and keep on deleting until no such subsequence remains.

Then we obtain a sequence of the form  $- - \dots - + + \dots +$ .

(1)  $\varepsilon_i(\mathbf{m})$  is the total number of  $-$  in the resulting sequence.

(2)  $\tilde{f}_i(\mathbf{m})$  is given as follows:

- (a) if the leftmost  $+$  corresponds to a segment  $\langle i+2, j \rangle$ , then replace it with  $\langle i, j \rangle$ ,
- (b) if no  $+$  exists, add a segment  $\langle i, i \rangle$  to  $\mathbf{m}$ .

(3)  $\tilde{\varepsilon}_i(\mathbf{m})$  is given as follows:

- (a) if the rightmost  $-$  corresponds to a segment  $\langle i, j \rangle$  with  $i < j$ , then replace it with  $\langle i+2, j \rangle$ ,
- (b) if the rightmost  $-$  corresponds to a segment  $\langle i, i \rangle$ , then remove it,
- (c) if no  $-$  exists, then  $\tilde{\varepsilon}_i(\mathbf{m}) = 0$ .

Let us introduce a linear ordering on the set  $\mathcal{M}$  of multisegments, lexicographic with respect to the crystal ordering on the set of segments.

**Definition 3.9.** For  $\mathbf{m} = \sum_{i \leq j} m_{i,j} \langle i, j \rangle \in \mathcal{M}$  and  $\mathbf{m}' = \sum_{i \leq j} m'_{i,j} \langle i, j \rangle \in \mathcal{M}$ , we define  $\mathbf{m}' \underset{\text{cry}}{<} \mathbf{m}$  if there exist  $i_0 \leq j_0$  such that  $m'_{i_0, j_0} < m_{i_0, j_0}$ ,  $m'_{i, j_0} = m_{i, j_0}$  for  $i < i_0$ , and  $m'_{i,j} = m_{i,j}$  for  $j > j_0$  and  $i \leq j$ .

**Theorem 3.10.**

- (i)  $L(\infty) = \bigoplus_{\mathbf{m} \in \mathcal{M}} \mathbf{A}_0 P(\mathbf{m})$ .
- (ii)  $B(\infty) = \{P(\mathbf{m}) \bmod qL(\infty) \mid \mathbf{m} \in \mathcal{M}\}$ .
- (iii) We have

$$\begin{aligned} \tilde{e}_i P(\mathbf{m}) &\equiv P(\tilde{e}_i(\mathbf{m})) \pmod{qL(\infty)}, \\ \tilde{f}_i P(\mathbf{m}) &\equiv P(\tilde{f}_i(\mathbf{m})) \pmod{qL(\infty)}. \end{aligned}$$

Note that  $\tilde{e}_i$  and  $\tilde{f}_i$  in the left-hand-side is the modified root operators.

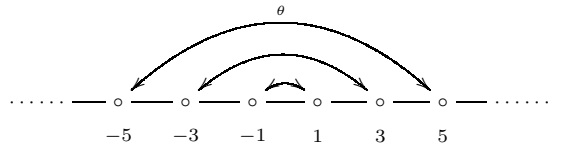
- (iv) We have

$$\overline{P(\mathbf{m})} \in P(\mathbf{m}) + \sum_{\mathbf{m}' \underset{\text{cry}}{<} \mathbf{m}} \mathbf{A} P(\mathbf{m}').$$

Therefore we can index the crystal basis by multisegments. By this theorem we can easily see by a standard argument that  $(L(\infty), L(\infty)^-, U_q^-(\mathfrak{g})_{\mathbf{A}})$  is balanced, and there exists a unique  $G^{\text{low}}(\mathbf{m}) \in L(\infty) \cap U_q^-(\mathfrak{g})_{\mathbf{A}}$  such that  $G^{\text{low}}(\mathbf{m})^- = G^{\text{low}}(\mathbf{m})$  and  $G^{\text{low}}(\mathbf{m}) \equiv P(\mathbf{m}) \bmod qL(\infty)$ . Then  $\{G^{\text{low}}(\mathbf{m})\}_{\mathbf{m} \in \mathcal{M}}$  is a lower global basis.

**§3.2.  $\theta$ -restricted multisegments**

We consider the Dynkin diagram involution  $\theta$  of  $I := \mathbb{Z}_{\text{odd}}$  defined by  $\theta(i) = -i$  for  $i \in I$ .



We shall prove in this case Conjectures 2.16 and 2.18 for  $\lambda = 0$  (Theorems 4.15 and 5.5).

We set

$$\begin{aligned} \tilde{V}_\theta(0) &:= \mathcal{B}_\theta(\mathfrak{gl}_\infty) / \sum_{i \in I} (\mathcal{B}_\theta(\mathfrak{gl}_\infty) E_i + \mathcal{B}_\theta(\mathfrak{gl}_\infty)(T_i - 1) + \mathcal{B}_\theta(\mathfrak{gl}_\infty)(F_i - F_{\theta(i)})) \\ &\simeq U_q^-(\mathfrak{gl}_\infty) / \sum_i U_q^-(\mathfrak{gl}_\infty)(f_i - f_{\theta(i)}). \end{aligned}$$



Let  $\tilde{\phi}$  be the generator of  $\tilde{V}_\theta(0)$  corresponding to  $1 \in \mathcal{B}_\theta(\mathfrak{gl}_\infty)$ . Since  $F_i\phi_0'' = (f_i + f_{\theta(i)})\phi_0'' = F_{\theta(i)}\phi_0''$ , we have an epimorphism of  $\mathcal{B}_\theta(\mathfrak{gl}_\infty)$ -modules

$$(3.1) \quad \tilde{V}_\theta(0) \twoheadrightarrow V_\theta(0).$$

We shall see later that it is in fact an isomorphism (see Theorem 4.15).

**Definition 3.11.** If a multisegment  $\mathbf{m}$  has the form

$$\mathbf{m} = \sum_{-j \leq i \leq j} m_{ij} \langle i, j \rangle,$$

we call  $\mathbf{m}$  a  $\theta$ -restricted multisegment. We denote by  $\mathcal{M}_\theta$  the set of  $\theta$ -restricted multisegments.

**Definition 3.12.** For a  $\theta$ -restricted segment  $\langle i, j \rangle$ , we define its modified divided power by

$$\langle i, j \rangle^{[m]} = \begin{cases} \langle i, j \rangle^{(m)} = \frac{1}{[m]!} \langle i, j \rangle^m & (i \neq -j), \\ \frac{1}{\prod_{\nu=1}^m [2\nu]} \langle -j, j \rangle^m & (i = -j). \end{cases}$$

We understand that  $\langle i, j \rangle^{[m]}$  is equal to 1 for  $m = 0$  and vanishes for  $m < 0$ .

**Definition 3.13.** For  $\mathbf{m} \in \mathcal{M}_\theta$ , we define  $P_\theta(\mathbf{m}) \in U_q^-(\mathfrak{gl}_\infty) \subset \mathcal{B}_\theta(\mathfrak{gl}_\infty)$  by

$$P_\theta(\mathbf{m}) = \overrightarrow{\prod}_{\langle i, j \rangle \in \mathbf{m}} \langle i, j \rangle^{[m_{ij}]}.$$

Here the product  $\overrightarrow{\prod}$  is taken over the segments appearing in  $\mathbf{m}$  from large to small with respect to the PBW-ordering.

If an element  $\mathbf{m}$  of the free abelian group generated by  $\langle i, j \rangle$  does not belong to  $\mathcal{M}_\theta$ , we understand  $P_\theta(\mathbf{m}) = 0$ .

We will prove later that  $\{P_\theta(\mathbf{m})\phi\}_{\mathbf{m} \in \mathcal{M}_\theta}$  is a basis of  $V_\theta(0)$  (see Theorem 4.15). Here and hereafter, we write  $\phi$  instead of  $\phi_0 \in V_\theta(0)$ .

### §3.3. Commutation relations of $\langle i, j \rangle$

In the sequel, we regard  $U_q^-(\mathfrak{gl}_\infty)$  as a subalgebra of  $\mathcal{B}_\theta(\mathfrak{gl}_\infty)$  by  $f_i \mapsto F_i$ . We shall give formulas to express products of segments by a PBW basis.

**Proposition 3.14.** For  $i, j, k, l \in I$ , we have

- (1)  $\langle i, j \rangle \langle k, \ell \rangle = \langle k, \ell \rangle \langle i, j \rangle$  for  $i \leq j$ ,  $k \leq \ell$  and  $j < k - 2$ ,
- (2)  $\langle i, j \rangle \langle j + 2, k \rangle = \langle i, k \rangle + q \langle j + 2, k \rangle \langle i, j \rangle$  for  $i \leq j < k$ ,
- (3)  $\langle j, k \rangle \langle i, \ell \rangle = \langle i, \ell \rangle \langle j, k \rangle$  for  $i < j \leq k < \ell$ ,
- (4)  $\langle i, k \rangle \langle j, k \rangle = q^{-1} \langle j, k \rangle \langle i, k \rangle$  for  $i < j \leq k$ ,
- (5)  $\langle i, j \rangle \langle i, k \rangle = q^{-1} \langle i, k \rangle \langle i, j \rangle$  for  $i \leq j < k$ ,
- (6)  $\langle i, k \rangle \langle j, \ell \rangle = \langle j, \ell \rangle \langle i, k \rangle + (q^{-1} - q) \langle i, \ell \rangle \langle j, k \rangle$  for  $i < j \leq k < \ell$ .

*Proof.* (1) is obvious. We prove (2) by the induction on  $k - j$ . If  $k - j = 2$ , it is trivial by the definition. If  $j < k - 2$ , then  $\langle k \rangle$  and  $\langle i, j \rangle$  commute. Thus, we have

$$\begin{aligned}
 \langle i, j \rangle \langle j + 2, k \rangle &= \langle i, j \rangle (\langle j + 2, k - 2 \rangle \langle k \rangle - q \langle k \rangle \langle j + 2, k - 2 \rangle) \\
 &= (\langle i, k - 2 \rangle + q \langle j + 2, k - 2 \rangle \langle i, j \rangle) \langle k \rangle - q \langle k \rangle \langle i, j \rangle \langle j + 2, k - 2 \rangle \\
 &= \langle i, k - 2 \rangle \langle k \rangle + q \langle j + 2, k - 2 \rangle \langle k \rangle \langle i, j \rangle \\
 &\quad - q \langle k \rangle (\langle i, k - 2 \rangle + q \langle j + 2, k - 2 \rangle \langle i, j \rangle) \\
 &= \langle i, k \rangle + \langle j + 2, k \rangle \langle i, j \rangle.
 \end{aligned}$$

In order to prove the other relations, we first show the following special cases.

**Lemma 3.15.** *We have for any  $j \in I$*

- (a)  $\langle j - 2, j \rangle \langle j \rangle = q^{-1} \langle j \rangle \langle j - 2, j \rangle$  and  $\langle j \rangle \langle j, j + 2 \rangle = q^{-1} \langle j, j + 2 \rangle \langle j \rangle$ ,
- (b)  $\langle j \rangle \langle j - 2, j + 2 \rangle = \langle j - 2, j + 2 \rangle \langle j \rangle$ ,
- (c)  $\langle j - 2, j \rangle \langle j, j + 2 \rangle = \langle j, j + 2 \rangle \langle j - 2, j \rangle + (q^{-1} - q) \langle j - 2, j + 2 \rangle \langle j \rangle$ .

*Proof.* The first equality in (a) follows from

$$\begin{aligned}
 \langle j - 2, j \rangle \langle j \rangle - q^{-1} \langle j \rangle \langle j - 2, j \rangle &= (f_{j-2} f_j - q f_j f_{j-2}) f_j - q^{-1} f_j (f_{j-2} f_j - q f_j f_{j-2}) \\
 &= f_{j-2} f_j^2 - (q + q^{-1}) f_j f_{j-2} f_j + f_j^2 f_{j-2} = 0.
 \end{aligned}$$

We can similarly prove the second.

Let us show (b) and (c). We have, by (a)

$$\begin{aligned}
 \langle j-2, j \rangle \langle j, j+2 \rangle &= \langle j-2, j \rangle (\langle j \rangle \langle j+2 \rangle - q \langle j+2 \rangle \langle j \rangle) \\
 &= q^{-1} \langle j \rangle \langle j-2, j \rangle \langle j+2 \rangle - q (\langle j-2, j+2 \rangle + q \langle j+2 \rangle \langle j-2, j \rangle) \langle j \rangle \\
 &= q^{-1} \langle j \rangle (\langle j-2, j+2 \rangle + q \langle j+2 \rangle \langle j-2, j \rangle) \\
 (3.2) \quad &\quad - q \langle j-2, j+2 \rangle \langle j \rangle - q \langle j+2 \rangle \langle j \rangle \langle j-2, j \rangle \\
 &= (\langle j \rangle \langle j+2 \rangle - q \langle j+2 \rangle \langle j \rangle) \langle j-2, j \rangle \\
 &\quad + q^{-1} \langle j \rangle \langle j-2, j+2 \rangle - q \langle j-2, j+2 \rangle \langle j \rangle \\
 &= \langle j, j+2 \rangle \langle j-2, j \rangle + q^{-1} \langle j \rangle \langle j-2, j+2 \rangle - q \langle j-2, j+2 \rangle \langle j \rangle.
 \end{aligned}$$

Similarly, we have

$$\begin{aligned}
 \langle j-2, j \rangle \langle j, j+2 \rangle &= (\langle j-2 \rangle \langle j \rangle - q \langle j \rangle \langle j-2 \rangle) \langle j, j+2 \rangle \\
 &= q^{-1} \langle j-2 \rangle \langle j, j+2 \rangle \langle j \rangle - q \langle j \rangle (\langle j-2, j+2 \rangle + q \langle j, j+2 \rangle \langle j-2 \rangle) \\
 &= q^{-1} (\langle j-2, j+2 \rangle + q \langle j, j+2 \rangle \langle j-2 \rangle) \langle j \rangle \\
 (3.3) \quad &\quad - q \langle j \rangle \langle j-2, j+2 \rangle - q \langle j, j+2 \rangle \langle j \rangle \langle j-2 \rangle \\
 &= \langle j, j+2 \rangle (\langle j-2 \rangle \langle j \rangle - q \langle j \rangle \langle j-2 \rangle) \\
 &\quad + q^{-1} \langle j-2, j+2 \rangle \langle j \rangle - q \langle j \rangle \langle j-2, j+2 \rangle \\
 &= \langle j, j+2 \rangle \langle j-2, j \rangle + q^{-1} \langle j-2, j+2 \rangle \langle j \rangle - q \langle j \rangle \langle j-2, j+2 \rangle.
 \end{aligned}$$

Then, (3.2) and (3.3) imply (b) and (c). □

We shall resume the proof of Proposition 3.14. By Lemma 3.15 (b),  $\langle i, k \rangle$  commutes with  $\langle j \rangle$  for  $i < j < k$ . Thus we obtain (3).

We shall show (4) by the induction on  $k - j$ . Suppose  $k - j = 0$ . The case  $i = k - 2$  is nothing but Lemma 3.15 (a).

If  $i < k - 2$ , then

$$\begin{aligned}
 \langle i, k \rangle \langle k \rangle &= \langle i, k-4 \rangle \langle k-2, k \rangle \langle k \rangle - q \langle k-2, k \rangle \langle i, k-4 \rangle \langle k \rangle \\
 &= q^{-1} \langle k \rangle \langle i, k-4 \rangle \langle k-2, k \rangle - \langle k \rangle \langle k-2, k \rangle \langle i, k-4 \rangle = q^{-1} \langle k \rangle \langle i, k \rangle.
 \end{aligned}$$

Suppose  $k - j > 0$ . By using the induction hypothesis and (3), we have

$$\begin{aligned}
 \langle i, k \rangle \langle j, k \rangle &= \langle i, k \rangle \langle j \rangle \langle j+2, k \rangle - q \langle i, k \rangle \langle j+2, k \rangle \langle j \rangle \\
 &= \langle j \rangle \langle i, k \rangle \langle j+2, k \rangle - \langle j+2, k \rangle \langle i, k \rangle \langle j \rangle \\
 &= q^{-1} \langle j \rangle \langle j+2, k \rangle \langle i, k \rangle - \langle j+2, k \rangle \langle j \rangle \langle i, k \rangle = q^{-1} \langle j, k \rangle \langle i, k \rangle.
 \end{aligned}$$

Similarly we can prove (5).

Let us prove (6). We have

$$\begin{aligned}
\langle i, k \rangle \langle j, \ell \rangle &= (\langle i, j-2 \rangle \langle j, k \rangle - q \langle j, k \rangle \langle i, j-2 \rangle) \langle j, \ell \rangle \\
&= q^{-1} \langle i, j-2 \rangle \langle j, \ell \rangle \langle j, k \rangle - q \langle j, k \rangle (\langle i, \ell \rangle + q \langle j, \ell \rangle \langle i, j-2 \rangle) \\
&= q^{-1} (\langle i, \ell \rangle + q \langle j, \ell \rangle \langle i, j-2 \rangle) \langle j, k \rangle \\
&\quad - q \langle i, \ell \rangle \langle j, k \rangle - q \langle j, \ell \rangle \langle j, k \rangle \langle i, j-2 \rangle \\
&= \langle j, \ell \rangle \langle i, k \rangle + (q^{-1} - q) \langle i, \ell \rangle \langle j, k \rangle.
\end{aligned}$$

□

**Lemma 3.16.**

- (i) For  $1 \leq i \leq j$ , we have  $\langle -j, -i \rangle \tilde{\phi} = \langle i, j \rangle \tilde{\phi}$ .  
(ii) For  $1 \leq i < j$ , we have  $\langle -j, i \rangle \tilde{\phi} = q^{-1} \langle -i, j \rangle \tilde{\phi}$ .

*Proof.* (i) If  $i = j$ , it is obvious. By the induction on  $j - i$ , we have

$$\begin{aligned}
\langle -j, -i \rangle \tilde{\phi} &= (\langle -j, -i-2 \rangle \langle -i \rangle - q \langle -i \rangle \langle -j, -i-2 \rangle) \tilde{\phi} \\
&= (\langle -j, -i-2 \rangle \langle i \rangle - q \langle -i \rangle \langle i+2, j \rangle) \tilde{\phi} \\
&= (\langle i \rangle \langle -j, -i-2 \rangle - q \langle i+2, j \rangle \langle -i \rangle) \tilde{\phi} \\
&= (\langle i \rangle \langle i+2, j \rangle - q \langle i+2, j \rangle \langle i \rangle) \tilde{\phi} = \langle i, j \rangle \tilde{\phi}.
\end{aligned}$$

- (ii) By (i), we have

$$\begin{aligned}
\langle -j, i \rangle \tilde{\phi} &= (\langle -j, -1 \rangle \langle 1, i \rangle - q \langle 1, i \rangle \langle -j, -1 \rangle) \tilde{\phi} \\
&= (\langle -j, -1 \rangle \langle -i, -1 \rangle - q \langle 1, i \rangle \langle 1, j \rangle) \tilde{\phi} \\
&= (q^{-1} \langle -i, -1 \rangle \langle -j, -1 \rangle - \langle 1, j \rangle \langle 1, i \rangle) \tilde{\phi} \\
&= (q^{-1} \langle -i, -1 \rangle \langle 1, j \rangle - \langle 1, j \rangle \langle -i, -1 \rangle) \tilde{\phi} = q^{-1} \langle -i, j \rangle \tilde{\phi}.
\end{aligned}$$

□

**Proposition 3.17.**

- (i) For a multisegment  $\mathbf{m} = \sum_{i \leq j} m_{i,j} \langle i, j \rangle$ , we have

$$\text{Ad}(t_k) P(\mathbf{m}) = q^{\sum_i (m_{i,k-2} - m_{i,k}) + \sum_j (m_{k+2,j} - m_{k,j})} P(\mathbf{m}).$$

(ii)

$$e'_k \langle i, j \rangle^{(n)} = \begin{cases} q^{1-n} \langle i \rangle^{(n-1)} & \text{if } k = i = j, \\ (1 - q^2) q^{1-n} \langle i + 2, j \rangle \langle i, j \rangle^{(n-1)} & \text{if } k = i < j, \\ 0 & \text{otherwise,} \end{cases}$$

$$e_k^* \langle i, j \rangle^{(n)} = \begin{cases} q^{1-n} \langle i \rangle^{(n-1)} & \text{if } i = j = k, \\ (1 - q^2) q^{1-n} \langle i, j \rangle^{(n-1)} \langle i, j - 2 \rangle & \text{if } i < j = k, \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* (i) is obvious. Let us show (ii). It is obvious that  $e'_k \langle i, j \rangle^{(n)} = 0$  unless  $i \leq k \leq j$ . It is known ([K1]) that we have  $e'_k \langle k \rangle^{(n)} = q^{1-n} \langle k \rangle^{(n-1)}$ . We shall prove  $e'_k \langle k, j \rangle^{(n)} = (1 - q^2) q^{1-n} \langle k + 2, j \rangle \langle k, j \rangle^{(n-1)}$  for  $k < j$  by the induction on  $n$ . By (2.1), we have

$$\begin{aligned} e'_k \langle k, j \rangle &= e'_k (\langle k \rangle \langle k + 2, j \rangle - q \langle k + 2, j \rangle \langle k \rangle) \\ &= \langle k + 2, j \rangle - q^2 \langle k + 2, j \rangle = (1 - q^2) \langle k + 2, j \rangle. \end{aligned}$$

For  $n \geq 1$ , by the induction hypothesis and Proposition 3.14 (4), we get

$$\begin{aligned} [n] e'_k \langle k, j \rangle^{(n)} &= e'_k \langle k, j \rangle \langle k, j \rangle^{(n-1)} \\ &= (1 - q^2) \langle k + 2, j \rangle \langle k, j \rangle^{(n-1)} + q^{-1} \langle k, j \rangle \cdot (1 - q^2) q^{2-n} \langle k + 2, j \rangle \langle k, j \rangle^{(n-2)} \\ &= (1 - q^2) \left\{ \langle k + 2, j \rangle \langle k, j \rangle^{(n-1)} + q^{1-n} \langle k, j \rangle \langle k + 2, j \rangle \langle k, j \rangle^{(n-2)} \right\} \\ &= (1 - q^2) (1 + q^{-n} [n - 1]) \langle k + 2, j \rangle \langle k, j \rangle^{(n-1)} \\ &= (1 - q^2) q^{1-n} [n] \langle k + 2, j \rangle \langle k, j \rangle^{(n-1)}. \end{aligned}$$

Finally we show  $e'_k \langle i, j \rangle = 0$  if  $k \neq i$ . We may assume  $i < k \leq j$ . If  $i < k < j$ , we have

$$\begin{aligned} e'_k \langle i, j \rangle &= e'_k (\langle i, k - 2 \rangle \langle k, j \rangle - q \langle k, j \rangle \langle i, k - 2 \rangle) \\ &= q \langle i, k - 2 \rangle e'_k \langle k, j \rangle - q (e'_k \langle k, j \rangle) \langle i, k - 2 \rangle \\ &= q (1 - q^2) \langle i, k - 2 \rangle \langle k + 2, j \rangle - q (1 - q^2) \langle k + 2, j \rangle \langle i, k - 2 \rangle \\ &= 0. \end{aligned}$$

The case  $k = j$  is similarly proved.

The proof for  $e_k^*$  is similar. □

### §3.4. Actions of divided powers

**Lemma 3.18.** *Let  $a, b$  be non-negative integers, and let  $k \in I_{>0} := \{k \in I \mid k > 0\}$ .*

(1) *For  $\ell > k$ , we have*

$$\begin{aligned} \langle -k \rangle \langle -k+2, \ell \rangle^{(a)} \langle -k, \ell \rangle^{(b)} &= [b+1] \langle -k+2, \ell \rangle^{(a-1)} \langle -k, \ell \rangle^{(b+1)} \\ &\quad + q^{a-b} \langle -k+2, \ell \rangle^{(a)} \langle -k, \ell \rangle^{(b)} \langle -k \rangle. \end{aligned}$$

(2) *We have*

$$\begin{aligned} \langle -k \rangle \langle -k+2, k \rangle^{(a)} \langle -k, k \rangle^{[b]} &= [2b+2] \langle -k+2, k \rangle^{(a-1)} \langle -k, k \rangle^{[b+1]} \\ &\quad + q^{a-b} \langle -k+2, k \rangle^{(a)} \langle -k, k \rangle^{[b]} \langle -k \rangle. \end{aligned}$$

(3) *For  $k > 1$ , we have*

$$\begin{aligned} \langle -k \rangle \langle -k+2, k-2 \rangle^{[a]} &= (q^a + q^{-a})^{-1} \langle -k+2, k-2 \rangle^{[a-1]} \langle -k, k-2 \rangle \\ &\quad + q^a \langle -k+2, k-2 \rangle^{[a]} \langle -k \rangle. \end{aligned}$$

(4) *If  $\ell \leq k-2$ , we have*

$$\langle \ell, k-2 \rangle^{(a)} \langle k \rangle = \langle \ell, k \rangle \langle \ell, k-2 \rangle^{(a-1)} + q^a \langle k \rangle \langle \ell, k-2 \rangle^{(a)}.$$

(5) *For  $k > 1$ , we have*

$$\begin{aligned} \langle -k+2, k-2 \rangle^{[a]} \langle k \rangle &= (q^a + q^{-a})^{-1} \langle -k+2, k \rangle \langle -k+2, k-2 \rangle^{[a-1]} \\ &\quad + q^a \langle k \rangle \langle -k+2, k-2 \rangle^{[a]}. \end{aligned}$$

*Proof.* We show (1) by the induction on  $a$ . If  $a = 0$ , it is trivial. For  $a > 0$ , we have

$$\begin{aligned} &[a] \langle -k \rangle \langle -k+2, \ell \rangle^{(a)} \langle -k, \ell \rangle^{(b)} \\ &= (\langle -k, \ell \rangle + q \langle -k+2, \ell \rangle \langle -k \rangle) \langle -k+2, \ell \rangle^{(a-1)} \langle -k, \ell \rangle^{(b)} \\ &= [b+1] q^{1-a} \langle -k+2, \ell \rangle^{(a-1)} \langle -k, \ell \rangle^{(b+1)} \\ &\quad + q \langle -k+2, \ell \rangle \{ [b+1] \langle -k+2, \ell \rangle^{(a-2)} \langle -k, \ell \rangle^{(b+1)} \\ &\quad \quad + q^{a-b-1} \langle -k+2, \ell \rangle^{(a-1)} \langle -k, \ell \rangle^{(b)} \langle -k \rangle \} \\ &= [b+1] (q^{1-a} + q[a-1]) \langle -k+2, \ell \rangle^{(a-1)} \langle -k, \ell \rangle^{(b+1)} \\ &\quad + q^{a-b} [a] \langle -k+2, \ell \rangle^{(a)} \langle -k, \ell \rangle^{(b)} \langle -k \rangle. \end{aligned}$$

Since  $q^{1-a} + q[a-1] = [a]$ , the induction proceeds.

The proof of (2) is similar by using  $\langle -k, k \rangle^{[b]} = [2b]\langle -k, k \rangle^{[b-1]}\langle -k, k \rangle$ .

We prove (3) by the induction on  $a$ . The case  $a = 0$  is trivial. For  $a > 0$ , we have

$$\begin{aligned} & [2a]\langle -k \rangle \langle -k+2, k-2 \rangle^{[a]} \\ &= (\langle -k, k-2 \rangle + q\langle -k+2, k-2 \rangle \langle -k \rangle) \langle -k+2, k-2 \rangle^{[a-1]} \\ &= q^{1-a} \langle -k+2, k-2 \rangle^{[a-1]} \langle -k, k-2 \rangle \\ &\quad + q\langle -k+2, k-2 \rangle \{ (q^{a-1} + q^{1-a})^{-1} \langle -k+2, k-2 \rangle^{[a-2]} \langle -k, k-2 \rangle \\ &\quad \quad + q^{a-1} \langle -k+2, k-2 \rangle^{[a-1]} \langle -k \rangle \} \\ &= (q^{1-a} + \frac{q[2a-2]}{q^{a-1} + q^{1-a}}) \langle -k+2, k-2 \rangle^{[a-1]} \langle -k, k-2 \rangle \\ &\quad \quad + q^a [2a] \langle -k+2, k-2 \rangle^{[a]} \langle -k \rangle \\ &= (q^a + q^{-a})^{-1} [2a] \langle -k+2, k-2 \rangle^{[a-1]} \langle -k, k-2 \rangle \\ &\quad \quad + q^a [2a] \langle -k+2, k-2 \rangle^{[a]} \langle -k \rangle. \end{aligned}$$

Similarly, we can prove (4) and (5) by the induction on  $a$ . □

**Lemma 3.19.** For  $k > 1$  and  $a, b, c, d \geq 0$ , set

$$(a, b, c, d) = \langle k \rangle^{(a)} \langle -k+2, k \rangle^{(b)} \langle -k, k \rangle^{[c]} \langle -k+2, k-2 \rangle^{[d]} \tilde{\phi}.$$

Then, we have

$$\begin{aligned} \langle -k \rangle (a, b, c, d) &= [2c+2](a, b-1, c+1, d) \\ (3.4) \quad &+ [b+1]q^{b-2c}(a, b+1, c, d-1) \\ &+ [a+1]q^{2d-2c}(a+1, b, c, d). \end{aligned}$$

*Proof.* We shall show first

$$\begin{aligned} (3.5) \quad & \langle -k \rangle \langle -k+2, k-2 \rangle^{[d]} \tilde{\phi} \\ &= (\langle -k+2, k \rangle \langle -k+2, k-2 \rangle^{[d-1]} + q^{2d} \langle k \rangle \langle -k+2, k-2 \rangle^{[d]}) \tilde{\phi}. \end{aligned}$$

By Lemma 3.18 (3), we have

$$\begin{aligned} & \langle -k \rangle \langle -k+2, k-2 \rangle^{[d]} \tilde{\phi} \\ &= ((q^d + q^{-d})^{-1} \langle -k+2, k-2 \rangle^{[d-1]} \langle -k, k-2 \rangle \\ & \quad + q^d \langle -k+2, k-2 \rangle^{[d]} \langle -k \rangle) \tilde{\phi}. \end{aligned}$$

By Lemma 3.16 and Lemma 3.18 (5), it is equal to

$$\begin{aligned} & ((q^d + q^{-d})^{-1}q^{-1}\langle -k+2, k-2 \rangle^{[d-1]}\langle -k+2, k \rangle + q^d\langle -k+2, k-2 \rangle^{[d]}\langle k \rangle)\tilde{\phi} \\ &= \left( (q^d + q^{-d})^{-1}q^{-1}q^{1-d}\langle -k+2, k \rangle\langle -k+2, k-2 \rangle^{[d-1]} \right. \\ & \quad \left. + q^d((q^d + q^{-d})^{-1}\langle -k+2, k \rangle\langle -k+2, k-2 \rangle^{[d-1]} \right. \\ & \quad \left. + q^d\langle k \rangle\langle -k+2, k-2 \rangle^{[d]})\tilde{\phi}. \end{aligned}$$

Thus we obtain (3.5). Applying Lemma 3.18 (2), we have

$$\begin{aligned} \langle -k \rangle(a, b, c, d) &= \langle k \rangle^{(a)} \left( [2c+2]\langle -k+2, k \rangle^{(b-1)}\langle -k, k \rangle^{[c+1]} \right. \\ & \quad \left. + q^{b-c}\langle -k+2, k \rangle^{(b)}\langle -k, k \rangle^{[c]}\langle -k \rangle \right) \langle -k+2, k-2 \rangle^{[d]}\tilde{\phi} \\ &= [2c+2]\langle a, b-1, c+1, d \rangle + q^{b-c}\langle k \rangle^{(a)}\langle -k+2, k \rangle^{(b)}\langle -k, k \rangle^{[c]} \\ & \quad \times (\langle -k+2, k \rangle\langle -k+2, k-2 \rangle^{[d-1]} + q^{2d}\langle k \rangle\langle -k+2, k-2 \rangle^{[d]})\tilde{\phi} \\ &= [2c+2]\langle a, b-1, c+1, d \rangle + q^{b-2c}[b+1]\langle a, b+1, c, d-1 \rangle \\ & \quad + q^{(b-c)+2d-c-b}[a+1]\langle a+1, b, c, d \rangle. \end{aligned}$$

Hence we have (3.4).  $\square$

**Proposition 3.20.**

(1) *We have*

$$\begin{aligned} \langle -1 \rangle^{(a)}\langle -1, 1 \rangle^{[m]}\tilde{\phi} &= \sum_{s=0}^{\lfloor a/2 \rfloor} \left( \prod_{\nu=1}^s \frac{[2m+2\nu]}{[2\nu]} \right) q^{-2(a-s)m + \frac{(a-2s)(a-2s-1)}{2}} \\ & \quad \times \langle 1 \rangle^{(a-2s)}\langle -1, 1 \rangle^{[m+s]}\tilde{\phi}. \end{aligned}$$

(2) *For  $k > 1$ , we have*

$$\begin{aligned} & \langle -k \rangle^{(n)}\langle -k+2, k-2 \rangle^{[a]}\tilde{\phi} \\ &= \sum_{i+j+2t=n, j+t=u} q^{2ai + \frac{j(j-1)}{2} - i(t+u)} \\ & \quad \times \langle k \rangle^{(i)}\langle -k+2, k \rangle^{(j)}\langle -k, k \rangle^{[t]}\langle -k+2, k-2 \rangle^{[a-u]}\tilde{\phi}. \end{aligned}$$

(3) *If  $\ell > k$ , we have*

$$\langle k \rangle^{(n)}\langle k+2, \ell \rangle^{(a)} = \sum_{s=0}^n q^{(n-s)(a-s)}\langle k+2, \ell \rangle^{(a-s)}\langle k, \ell \rangle^{(s)}\langle k \rangle^{(n-s)}.$$



*Proof.* We prove (1) by the induction on  $a$ . The case  $a = 0$  is trivial. Assume  $a > 0$ . Then, Lemma 3.18 (2) implies

$$\begin{aligned} & \langle -1 \rangle \langle 1 \rangle^{(n)} \langle -1, 1 \rangle^{[m]} \tilde{\phi} \\ &= ([2m + 2] \langle 1 \rangle^{(n-1)} \langle -1, 1 \rangle^{[m+1]} + q^{n-m} \langle 1 \rangle^{(n)} \langle -1, 1 \rangle^{[m]} \langle -1 \rangle) \tilde{\phi} \\ &= ([2m + 2] \langle 1 \rangle^{(n-1)} \langle -1, 1 \rangle^{[m+1]} + q^{n-m} \langle 1 \rangle^{(n)} \langle -1, 1 \rangle^{[m]} \langle 1 \rangle) \tilde{\phi} \\ &= ([2m + 2] \langle 1 \rangle^{(n-1)} \langle -1, 1 \rangle^{[m+1]} + q^{n-2m} [n + 1] \langle 1 \rangle^{(n+1)} \langle -1, 1 \rangle^{[m]}) \tilde{\phi}. \end{aligned}$$

Put

$$c_s = \left( \prod_{\nu=1}^s \frac{[2m + 2\nu]}{[2\nu]} \right) q^{-2(a-s)m + \frac{(a-2s)(a-2s-1)}{2}}.$$

Then we have

$$\begin{aligned} [a + 1] \langle -1 \rangle^{(a+1)} \langle -1, 1 \rangle^{[m]} \tilde{\phi} &= \langle -1 \rangle \langle -1 \rangle^{(a)} \langle -1, 1 \rangle^{[m]} \tilde{\phi} \\ &= \langle -1 \rangle \sum_{s=0}^{\lfloor a/2 \rfloor} c_s \langle 1 \rangle^{(a-2s)} \langle -1, 1 \rangle^{[m+s]} \tilde{\phi} \\ &= \sum_{s=0}^{\lfloor a/2 \rfloor} c_s \{ [2(m + s + 1)] \langle 1 \rangle^{(a-2s-1)} \langle -1, 1 \rangle^{[m+s+1]} \\ &\quad + q^{a-2s-2(m+s)} [a - 2s + 1] \langle 1 \rangle^{(a-2s+1)} \langle -1, 1 \rangle^{[m+s]} \} \tilde{\phi}. \end{aligned}$$

In the right-hand-side, the coefficients of  $\langle 1 \rangle^{a+1-2r} \langle -1, 1 \rangle^{[m+r]} \tilde{\phi}$  are

$$\begin{aligned} & [2(m + r)]c_{r-1} + q^{a-2m-4r} [a - 2r + 1]c_r \\ &= \prod_{\nu=1}^r \frac{[2m + 2\nu]}{[2\nu]} q^{-2(a-r+1)m + \frac{(a-2r)(a-2r+1)}{2}} \left( [2r]q^{a-2r+1} + [a - 2r + 1]q^{-2r} \right) \\ &= [a + 1] \prod_{\nu=1}^r \frac{[2m + 2\nu]}{[2\nu]} q^{-2(a-r+1)m + \frac{(a-2r)(a-2r+1)}{2}}. \end{aligned}$$

Hence we obtain (1).

We prove (2) by the induction on  $n$ . We use the following notation for short:

$$(i, j, t, a) := \langle k \rangle^{(i)} \langle -k + 2, k \rangle^{(j)} \langle -k, k \rangle^{[t]} \langle -k + 2, k - 2 \rangle^{[a]} \tilde{\phi}.$$

Then Lemma 3.19 implies that

$$\begin{aligned} \langle -k \rangle (i, j, t, a) &= [2t + 2] (i, j - 1, t + 1, a) \\ &\quad + [j + 1] q^{j-2t} (i, j + 1, t, a - 1) \\ &\quad + [i + 1] q^{2a-2t} (i + 1, j, t, a). \end{aligned}$$

Hence, by assuming (2) for  $n$ , we have

$$\begin{aligned}
 & [n+1]\langle -k \rangle^{(n+1)} \langle -k+2, k-2 \rangle^{[a]} \tilde{\phi} = \langle -k \rangle \langle -k \rangle^{(n)} \langle -k+2, k-2 \rangle^{[a]} \tilde{\phi} \\
 & = \sum_{i+j+2t=n, j+t=u} \left\{ \begin{aligned} & [2t+2]q^{2ai+\frac{i(j-1)}{2}-i(t+u)}(i, j-1, t+1, a-u) \\ & + [j+1]q^{2ai+\frac{j(j-1)}{2}-i(t+u)+j-2t}(i, j+1, t, a-u-1) \\ & + [i+1]q^{2ai+\frac{i(j-1)}{2}-i(t+u)+2a-2u-2t}(i+1, j, t, a-u) \end{aligned} \right\}.
 \end{aligned}$$

Then in the right hand side, the coefficients of  $(i', j', t', a-u')$  satisfying  $i'+j'+2t'=n+1, j'+t'=u'$  are

$$\begin{aligned}
 & [2t']q^{2ai'+\frac{(j'+1)j'}{2}-i'(t'-1+u')} + [j']q^{2ai'+\frac{(j'-1)(j'-2)}{2}-i'(t'+u'-1)+j'-1-2t'} \\
 & \quad + [i']q^{2a(i'-1)+\frac{i'(j'-1)}{2}-(i'-1)(t'+u')+2a-2u'-2t'} \\
 & = q^{2ai'+\frac{j'(j'-1)}{2}-i'(t'+u')} \left( [2t']q^{j'+i'} + [j']q^{i'-2t'} + [i']q^{-(t'+u')} \right) \\
 & = q^{2ai'+\frac{j'(j'-1)}{2}-i'(t'+u')} [n+1].
 \end{aligned}$$

We can prove (3) similarly as above. □

### §3.5. Actions of $E_k, F_k$ on the PBW basis

For a  $\theta$ -restricted multisegment  $\mathbf{m}$ , we set

$$\tilde{P}_\theta(\mathbf{m}) = P_\theta(\mathbf{m})\tilde{\phi}.$$

We understand  $\tilde{P}_\theta(\mathbf{m}) = 0$  if  $\mathbf{m}$  is not a multisegment.

**Theorem 3.21.** *For  $k \in I_{>0}$  and a  $\theta$ -restricted multisegment  $\mathbf{m} = \sum_{-j \leq i \leq j} m_{i,j} \langle i, j \rangle$ , we have*

$$\begin{aligned}
 & F_{-k} \tilde{P}_\theta(\mathbf{m}) \\
 & = \sum_{\ell > k} [m_{-k, \ell} + 1] q^{\sum_{\ell' > \ell} (m_{-k+2, \ell'} - m_{-k, \ell'})} \tilde{P}_\theta(\mathbf{m} - \langle -k+2, \ell \rangle + \langle -k, \ell \rangle) \\
 & \quad + q^{\sum_{\ell' > k} (m_{-k+2, \ell'} - m_{-k, \ell'})} [2m_{-k, k} + 2] \tilde{P}_\theta(\mathbf{m} - \langle -k+2, k \rangle + \langle -k, k \rangle) \\
 & \quad + q^{\sum_{\ell' > k} (m_{-k+2, k} - m_{-k, k}) + m_{-k+2, k} - 2m_{-k, k}} \\
 & \quad \quad \times [m_{-k+2, k} + 1] \tilde{P}_\theta(\mathbf{m} - \delta_{k \neq 1} \langle -k+2, k-2 \rangle + \langle -k+2, k \rangle) \\
 & \quad + \sum_{-k+2 < i \leq k} q^{\sum_{\ell' > k} (m_{-k+2, k} - m_{-k, k}) + 2m_{-k+2, k-2} - 2m_{-k, k} + \sum_{-k+2 < j < i} (m_{j, k-2} - m_{j, k})} \\
 & \quad \quad \times [m_{i, k} + 1] \tilde{P}_\theta(\mathbf{m} - \delta_{i < k} \langle i, k-2 \rangle + \langle i, k \rangle).
 \end{aligned}$$

*Proof.* We divide  $\mathbf{m}$  into four parts

$$\mathbf{m} = \mathbf{m}_1 + \mathbf{m}_2 + \mathbf{m}_3 + \delta_{k \neq 1} m_{-k+2, k-2} \langle -k+2, k-2 \rangle,$$

$$\text{where } \mathbf{m}_1 = \sum_{j>k} m_{i,j} \langle i, j \rangle, \mathbf{m}_2 = \sum_{j=k} m_{i,j} \langle i, j \rangle, \mathbf{m}_3 = \sum_{-k+2 < i \leq j \leq k-2} m_{i,j} \langle i, j \rangle.$$

Then Proposition 3.14 implies

$$\tilde{P}_\theta(\mathbf{m}) = P_\theta(\mathbf{m}_1)P_\theta(\mathbf{m}_2)P_\theta(\mathbf{m}_3)\langle -k+2, k-2 \rangle^{[m_{-k+2, k-2}]} \tilde{\phi}.$$

If  $k = 1$ , we understand  $\langle -k+2, k-2 \rangle^{[n]} = 1$ . By Lemma 3.18 (1), we have

$$\begin{aligned} & \langle -k \rangle P_\theta(\mathbf{m}_1) \\ &= \sum_{\ell > k} q^{\sum_{\ell' > \ell} (m_{-k+2, \ell'} - m_{-k, \ell'})} [m_{-k, \ell} + 1] P_\theta(\mathbf{m}_1 - \langle -k+2, \ell \rangle + \langle -k, \ell \rangle) \\ & \quad + q^{\sum_{\ell > k} (m_{-k+2, \ell} - m_{-k, \ell})} P_\theta(\mathbf{m}_1) \langle -k \rangle, \end{aligned}$$

and Lemma 3.18 (2) implies

$$\begin{aligned} \langle -k \rangle P_\theta(\mathbf{m}_2) &= [2m_{-k, k} + 2] P_\theta(\mathbf{m}_2 - \langle -k+2, k \rangle + \langle -k, k \rangle) \\ & \quad + q^{m_{-k+2, k} - m_{-k, k}} P_\theta(\mathbf{m}_2) \langle -k \rangle. \end{aligned}$$

Since we have  $\langle -k \rangle P_\theta(\mathbf{m}_3) = P_\theta(\mathbf{m}_3) \langle -k \rangle$ , we obtain

$$\begin{aligned} (3.6) \quad \langle -k \rangle \tilde{P}_\theta(\mathbf{m}) &= \sum_{\ell > k} q^{\sum_{\ell' > \ell} (m_{-k+2, \ell'} - m_{-k, \ell'})} [m_{-k, \ell} + 1] \\ & \quad \times \tilde{P}_\theta(\mathbf{m} - \langle -k+2, \ell \rangle + \langle -k, \ell \rangle) \\ & \quad + q^{\sum_{\ell > k} (m_{-k+2, \ell} - m_{-k, \ell})} [2m_{-k, k} + 2] \\ & \quad \times \tilde{P}_\theta(\mathbf{m} - \langle -k+2, k \rangle + \langle -k, k \rangle) \\ & \quad + q^{\sum_{\ell \geq k} (m_{-k+2, \ell} - m_{-k, \ell})} P_\theta(\mathbf{m}_1 + \mathbf{m}_2 + \mathbf{m}_3) \\ & \quad \times \langle -k \rangle \langle -k+2, k-2 \rangle^{[m_{-k+2, k-2}]} \tilde{\phi}. \end{aligned}$$

By (3.5), we have

$$\begin{aligned} & \langle -k \rangle \langle -k+2, k-2 \rangle^{[m_{-k+2, k-2}]} \tilde{\phi} \\ &= \langle -k+2, k \rangle \langle -k+2, k-2 \rangle^{[m_{-k+2, k-2}-1]} \tilde{\phi} \\ & \quad + \delta_{k \neq 1} q^{2m_{-k+2, k-2}} \langle k \rangle \langle -k+2, k-2 \rangle^{[m_{-k+2, k-2}]} \tilde{\phi}. \end{aligned}$$

Hence the last term in (3.6) is equal to

$$\begin{aligned} & q^{\sum_{\ell \geq k} (m_{-k+2, \ell} - m_{-k, \ell}) - m_{-k, k}} \\ & \quad \times [m_{-k+2, k} + 1] \tilde{P}_\theta(\mathbf{m} - \delta_{k \neq 1} \langle -k+2, k-2 \rangle + \langle -k+2, k \rangle) \\ & \quad + \delta_{k \neq 1} q^{\sum_{\ell \geq k} (m_{-k+2, \ell} - m_{-k, \ell}) + 2m_{-k+2, k-2}} \\ & \quad \times P_\theta(\mathbf{m}_1 + \mathbf{m}_2 + \mathbf{m}_3) \langle k \rangle \langle -k+2, k-2 \rangle^{[m_{-k+2, k-2}]} \tilde{\phi}. \end{aligned}$$

For  $k \neq 1$ , Lemma 3.18 (4) implies

$$P_\theta(\mathbf{m}_3)\langle k \rangle = \sum_{-k+2 < i \leq k} q^{\sum_{-k+2 < j < i} m_{j,k-2}} \langle i, k \rangle P_\theta(\mathbf{m}_3 - \delta_{i < k} \langle i, k-2 \rangle),$$

and Proposition 3.14 implies

$$P_\theta(\mathbf{m}_2)\langle i, k \rangle = q^{-\sum_{j < i} m_{j,k}} [m_{i,k} + 1] P_\theta(\mathbf{m}_2 + \langle i, k \rangle).$$

Hence we obtain

$$\begin{aligned} & P_\theta(\mathbf{m}_1)P_\theta(\mathbf{m}_2)P_\theta(\mathbf{m}_3)\langle k \rangle \langle -k+2, k-2 \rangle^{[m_{-k+2,k-2}]} \tilde{\phi} \\ &= \sum_{-k+2 < i \leq k} q^{\sum_{-k+2 < j < i} m_{j,k-2} - \sum_{-k \leq j < i} m_{j,k}} \\ & \quad \times [m_{i,k} + 1] \tilde{P}_\theta(\mathbf{m} - \delta_{i < k} \langle i, k-2 \rangle + \langle i, k \rangle). \end{aligned}$$

Thus we obtain the desired result. □

**Theorem 3.22.** For  $k \in I_{>0}$  and a  $\theta$ -restricted multisegment  $\mathbf{m} = \sum_{-j \leq i \leq j} m_{i,j} \langle i, j \rangle$ , we have

$$\begin{aligned} & E_{-k} \tilde{P}_\theta(\mathbf{m}) \\ &= (1 - q^2) \sum_{\ell > k} q^{1 + \sum_{\ell' \geq \ell} (m_{-k+2,\ell'} - m_{-k,\ell'})} \\ & \quad \times [m_{-k+2,\ell} + 1] \tilde{P}_\theta(\mathbf{m} - \langle -k, \ell \rangle + \langle -k+2, \ell \rangle) \\ &+ (1 - q^2) q^{1 + \sum_{\ell > k} (m_{-k+2,\ell} - m_{-k,\ell}) + m_{-k+2,k} - 2m_{-k,k}} \\ & \quad \times [m_{-k+2,k} + 1] \tilde{P}_\theta(\mathbf{m} - \langle -k, k \rangle + \langle -k+2, k \rangle) \\ &+ (1 - q^2) \sum_{-k+2 < i \leq k-2} q^{1 + \sum_{\ell > k} (m_{-k+2,\ell} - m_{-k,\ell}) + 2m_{-k+2,k-2} - 2m_{-k,k} + \sum_{-k+2 < i' \leq i} (m_{i,k-2} - m_{i',k})} \\ & \quad \times [m_{i,k-2} + 1] \tilde{P}_\theta(\mathbf{m} - \langle i, k \rangle + \langle i, k-2 \rangle) \\ &+ \delta_{k \neq 1} (1 - q^2) q^{1 + \sum_{\ell > k} (m_{-k+2,\ell} - m_{-k,\ell}) + 2m_{-k+2,k-2} - 2m_{-k,k}} \\ & \quad \times [2(m_{-k+2,k-2} + 1)] \tilde{P}_\theta(\mathbf{m} - \langle -k+2, k \rangle + \langle -k+2, k-2 \rangle) \\ &+ q^{\sum_{\ell > k} (m_{-k+2,\ell} - m_{-k,\ell}) - 2m_{-k,k} + \delta_{k \neq 1} (1 - m_{k,k} + 2m_{-k+2,k-2} + \sum_{-k+2 < i \leq k-2} (m_{i,k-2} - m_{i,k}))} \\ & \quad \times \tilde{P}_\theta(\mathbf{m} - \langle k \rangle). \end{aligned}$$

*Proof.* We shall divide  $\mathbf{m}$  into

$$\mathbf{m} = \mathbf{m}_1 + \mathbf{m}_2 + \mathbf{m}_3$$

where  $\mathbf{m}_1 = \sum_{i \leq j, j > k} m_{i,j} \langle i, j \rangle$  and  $\mathbf{m}_2 = \sum_{i \leq k} m_{i,k} \langle i, k \rangle$  and  $\mathbf{m}_3 = \sum_{i \leq j < k} m_{i,j} \langle i, j \rangle$ .  
 By (2.3) and Proposition 3.17, we have

$$(3.7) \quad \begin{aligned} E_{-k} \tilde{P}_\theta(\mathbf{m}) &= \left( (e'_{-k} P_\theta(\mathbf{m}_1)) P_\theta(\mathbf{m}_2 + \mathbf{m}_3) \right. \\ &\quad + (\text{Ad}(t_{-k}) P_\theta(\mathbf{m}_1)) (e'_{-k} P_\theta(\mathbf{m}_2 + \mathbf{m}_3)) \\ &\quad \left. + \text{Ad}(t_{-k}) \{ P_\theta(\mathbf{m}_1) (e_k^* P_\theta(\mathbf{m}_2)) \text{Ad}(t_k) P_\theta(\mathbf{m}_3) \} \right) \tilde{\phi}. \end{aligned}$$

By Proposition 3.17, the first term is

$$(3.8) \quad \begin{aligned} &(e'_{-k} P_\theta(\mathbf{m}_1)) P_\theta(\mathbf{m}_2 + \mathbf{m}_3) \\ &= (1 - q^2) \sum_{\ell > k} q^{1 + \sum_{\ell' \geq \ell} (m_{-k+2, \ell'} - m_{-k, \ell'})} \\ &\quad \times [m_{-k+2, \ell} + 1] P_\theta(\mathbf{m} - \langle -k, \ell \rangle + \langle -k + 2, \ell \rangle). \end{aligned}$$

The second term is

$$(3.9) \quad \begin{aligned} &(\text{Ad}(t_{-k}) P_\theta(\mathbf{m}_1)) (e'_{-k} P_\theta(\mathbf{m}_2 + \mathbf{m}_3)) \\ &= q^{\sum_{\ell > k} (m_{-k+2, \ell} - m_{-k, \ell})} \frac{[m_{-k, k}] [m_{-k+2, k} + 1]}{[2m_{-k, k}]} \\ &\quad \times (1 - q^2) q^{1 - m_{-k, k} + m_{-k+2, k}} P_\theta(\mathbf{m} - \langle -k, k \rangle + \langle -k + 2, k \rangle). \end{aligned}$$

Let us calculate the last part of (3.7). We have

$$\begin{aligned} &\text{Ad}(t_{-k}) \left( P_\theta(\mathbf{m}_1) (e_k^* P_\theta(\mathbf{m}_2)) \text{Ad}(t_k) P_\theta(\mathbf{m}_3) \right) \\ &= q^{\sum_{\ell} (m_{-k+2, \ell} - m_{-k, \ell}) + \sum_{i \leq k-2} m_{i, k-2} - \delta_{k=1}} P_\theta(\mathbf{m}_1) (e_k^* P_\theta(\mathbf{m}_2)) P_\theta(\mathbf{m}_3). \end{aligned}$$

We have

$$\begin{aligned} e_k^* P_\theta(\mathbf{m}_2) &= q^{1 - m_k - \sum_{i < k} m_{i, k}} P_\theta(\mathbf{m}_2 - \langle k \rangle) \\ &\quad + (1 - q^2) \sum_{-k < i < k} q^{1 - m_{i, k} - \sum_{i' < i} m_{i', k}} P_\theta(\mathbf{m}_2 - \langle i, k \rangle) \langle i, k - 2 \rangle \\ &\quad + \frac{[m_{-k, k}]}{[2m_{-k, k}]} (1 - q^2) q^{1 - m_{-k, k}} P(\mathbf{m}_2 - \langle -k, k \rangle) \langle -k, k - 2 \rangle. \end{aligned}$$

For  $-k < i < k$ , we have

$$\begin{aligned} &\langle i, k - 2 \rangle P_\theta(\mathbf{m}_3) \\ &= q^{-\sum_{i' > i} m_{i', k-2}} [(1 + \delta_{i=-k+2}) (m_{i, k-2} + 1)] P_\theta(\mathbf{m}_3 + \langle i, k - 2 \rangle). \end{aligned}$$

By Lemma 3.16, we have

$$\begin{aligned} & \langle -k, k-2 \rangle P_\theta(\mathbf{m}_3) \tilde{\phi} \\ &= q^{-\sum_{-k+2 \leq k \leq k-2} m_{i,k-2}} P_\theta(\mathbf{m}_3) \langle -k, k-2 \rangle \tilde{\phi} \\ &= q^{-\sum_{-k+2 \leq k \leq k-2} m_{i,k-2} - \delta_{k \neq 1}} P_\theta(\mathbf{m}_3) \langle -k+2, k \rangle \tilde{\phi} \\ &= q^{-m_{-k+2,k-2} - \sum_{-k+2 \leq i \leq k-2} m_{i,k-2} - \delta_{k \neq 1}} \langle -k+2, k \rangle P_\theta(\mathbf{m}_3) \tilde{\phi}. \end{aligned}$$

Hence we obtain

$$\begin{aligned} & P_\theta(\mathbf{m}_1) (e_k^* P_\theta(\mathbf{m}_2)) P_\theta(\mathbf{m}_3) \tilde{\phi} \\ &= q^{1 - \sum_{i \leq k} m_{i,k}} \tilde{P}_\theta(\mathbf{m} - \langle k \rangle) \\ &+ (1 - q^2) \sum_{-k+2 < i \leq k-2} q^{1 - \sum_{i' \leq i} m_{i',k} - \sum_{i' > i} m_{i',k-2}} \\ &\quad \times [m_{i,k-2} + 1] \tilde{P}_\theta(\mathbf{m} - \langle i, k \rangle + \langle i, k-2 \rangle) \\ &+ (1 - q^2) \delta_{k \neq 1} q^{1 - m_{-k,k} - m_{-k+2,k} - \sum_{-k+2 < i} m_{i,k-2}} \\ &\quad \times [2(m_{-k+2,k-2} + 1)] \tilde{P}_\theta(\mathbf{m} - \langle -k+2, k \rangle + \langle -k+2, k-2 \rangle) \\ &+ (1 - q^2) q^{2(1 - m_{-k,k}) - m_{-k+2,k-2} - \sum_{-k+2 \leq i \leq k-2} m_{i,k-2} - \delta_{k \neq 1}} \\ &\quad \times \frac{[m_{-k+2,k} + 1][m_{-k,k}]}{[2m_{-k,k}]} P(\mathbf{m} - \langle -k, k \rangle + \langle -k+2, k \rangle). \end{aligned}$$

Hence the coefficient of  $\tilde{P}_\theta(\mathbf{m} - \langle k \rangle)$  in  $E_{-k} \tilde{P}_\theta(\mathbf{m})$  is

$$\begin{aligned} & q^\ell \sum_{i \leq k-2} (m_{-k+2,\ell} - m_{-k,\ell}) + \sum_{i \leq k-2} m_{i,k-2} - \delta_{k=1} + 1 - \sum_{i \leq k} m_{i,k} \\ &= q^{\sum_{\ell > k} (m_{-k+2,\ell} - m_{-k,\ell}) - 2m_{-k,k} + \delta_{k \neq 1} (1 - m_{k,k} + 2m_{-k+2,k-2} + \sum_{-k+2 < i \leq k-2} (m_{i,k-2} - m_{i,k}))}. \end{aligned}$$

The coefficient of  $\tilde{P}_\theta(\mathbf{m} - \langle -k, k \rangle + \langle -k+2, k \rangle)$  in  $E_{-k} \tilde{P}_\theta(\mathbf{m})$  is

$$\begin{aligned} & (1 - q^2) q^{1 + \sum_{\ell \geq k} (m_{-k+2,\ell} - m_{-k,\ell})} \frac{[m_{-k,k}][m_{-k+2,k} + 1]}{[2m_{-k,k}]} \\ &+ q^\ell \sum_{i \leq k-2} (m_{-k+2,\ell} - m_{-k,\ell}) + \sum_{i \leq k-2} m_{i,k-2} - \delta_{k=1} + 2(1 - m_{-k,k}) - m_{-k+2,k-2} - \sum_{-k+2 \leq i \leq k-2} m_{i,k-2} - \delta_{k \neq 1} \\ &\quad \times (1 - q^2) \frac{[m_{-k+2,k} + 1][m_{-k,k}]}{[2m_{-k,k}]} \\ &= (1 - q^2) q^{1 + \sum_{\ell \geq k} (m_{-k+2,\ell} - m_{-k,\ell})} \frac{[m_{-k,k}][m_{-k+2,k} + 1]}{[2m_{-k,k}]} (1 + q^{-2m_{-k,k}}) \\ &= (1 - q^2) q^{1 - m_{-k,k} + \sum_{\ell \geq k} (m_{-k+2,\ell} - m_{-k,\ell})} [m_{-k+2,k} + 1] \\ &= (1 - q^2) q^{1 + m_{-k+2,k} - 2m_{-k,k} + \sum_{\ell > k} (m_{-k+2,\ell} - m_{-k,\ell})} [m_{-k+2,k} + 1]. \end{aligned}$$

For  $-k+2 < i \leq k-2$ , the coefficient of  $\tilde{P}_\theta(\mathbf{m} - \langle i, k \rangle + \langle i, k-2 \rangle)$  in  $E_{-k}\tilde{P}_\theta(\mathbf{m})$  is

$$\begin{aligned} & (1-q^2)q^\ell \sum^{(m_{-k+2,\ell}-m_{-k,\ell})+\sum_{i' \leq k-2} m_{i',k-2}-\delta_{k=1}+1-\sum_{i' \leq i} m_{i',k}-\sum_{i' > i} m_{i',k-2}} [m_{i,k-2}+1] \\ & = (1-q^2) \\ & \quad \times q^{1+\sum_{\ell > k} (m_{-k+2,\ell}-m_{-k,\ell})+2m_{-k+2,k-2}-2m_{-k,k}+\sum_{-k+2 < i' \leq i} (m_{i,k-2}-m_{i',k})} [m_{i,k-2}+1]. \end{aligned}$$

Finally, for  $k \neq 1$ , the coefficient of  $\tilde{P}_\theta(\mathbf{m} - \langle -k+2, k \rangle + \langle -k+2, k-2 \rangle)$  in  $E_{-k}\tilde{P}_\theta(\mathbf{m})$  is

$$\begin{aligned} & (1-q^2)q^\ell \sum^{(m_{-k+2,\ell}-m_{-k,\ell})+\sum_{i \leq k-2} m_{i,k-2}-\delta_{k=1}+1-m_{-k,k}-m_{-k+2,k}-\sum_{-k+2 < i} m_{i,k-2}} \\ & \quad \times [2(m_{-k+2,k-2}+1)] \\ & = (1-q^2)q^{1+\sum_{\ell > k} (m_{-k+2,\ell}-m_{-k,\ell})+2m_{-k+2,k-2}-2m_{-k,k}} [2(m_{-k+2,k-2}+1)]. \end{aligned}$$

□

**Theorem 3.23.** For  $k > 0$  and  $\mathbf{m} \in \mathcal{M}_\theta$ , we have

$$\begin{aligned} E_k \tilde{P}_\theta(\mathbf{m}) &= \sum_{\ell > k} (1-q^2)q^{1+\sum_{\ell' \geq \ell} (m_{k+2,\ell'}-m_{k,\ell'})} \\ & \quad \times [m_{k+2,\ell}+1] \tilde{P}_\theta(\mathbf{m} - \langle k, \ell \rangle + \langle k+2, \ell \rangle) \\ & \quad + q^{1+\sum_{\ell > k} (m_{k+2,\ell}-m_{k,\ell})-m_{k,k}} \tilde{P}_\theta(\mathbf{m} - \langle k \rangle), \\ F_k \tilde{P}_\theta(\mathbf{m}) &= \sum_{\ell \geq k} q^{\sum_{\ell' > \ell} (m_{k+2,\ell'}-m_{k,\ell'})} [m_{k,\ell}+1] \tilde{P}_\theta(\mathbf{m} - \delta_{\ell \neq k} \langle k+2, \ell \rangle + \langle k, \ell \rangle). \end{aligned}$$

*Proof.* The first follows from  $e_{-k}^* P_\theta(\mathbf{m}) = 0$  and Proposition 3.17, and the second follows from Proposition 3.20. □

#### §4. Crystal Basis of $V_\theta(0)$

##### §4.1. A criterion for crystals

We shall give a criterion for a basis to be a crystal basis. Although we treat the case for modules over  $\mathcal{B}(\mathfrak{g})$  in this paper, similar results hold also for  $U_q(\mathfrak{g})$ .

Let  $\mathbf{K}[e, f]$  be the ring generated by  $e$  and  $f$  with the defining relation  $ef = q^{-2}fe + 1$ . We define the divided power by  $f^{(n)} = f^n/[n]!$ .

Let  $P$  be a free  $\mathbb{Z}$ -module, and let  $\alpha$  be a non-zero element of  $P$ .

Let  $M$  be a  $\mathbf{K}[e, f]$ -module. Assume that  $M$  has a weight decomposition  $M = \bigoplus_{\xi \in P} M_\xi$ , and  $eM_\lambda \subset M_{\lambda+\alpha}$  and  $fM_\lambda \subset M_{\lambda-\alpha}$ .

Assume the following finiteness conditions:

$$(4.1) \quad \text{for any } \lambda \in P, \dim M_\lambda < \infty \text{ and } M_{\lambda+n\alpha} = 0 \text{ for } n \gg 0.$$

Hence for any  $u \in M$ , we can write  $u = \sum_{n \geq 0} f^{(n)}u_n$  with  $eu_n = 0$ . We define endomorphisms  $\tilde{e}$  and  $\tilde{f}$  of  $M$  by

$$\begin{aligned} \tilde{e}u &= \sum_{n \geq 1} f^{(n-1)}u_n, \\ \tilde{f}u &= \sum_{n \geq 0} f^{(n+1)}u_n. \end{aligned}$$

Let  $B$  be a crystal with weight decomposition by  $P$ . In this paper, we consider only the following type of crystals. We have  $\text{wt}: B \rightarrow P$ ,  $\tilde{f}: B \rightarrow B$ ,  $\tilde{e}: B \rightarrow B \sqcup \{0\}$ ,  $\varepsilon: B \rightarrow \mathbb{Z}_{\geq 0}$  satisfying the following properties, where  $B_\lambda := \text{wt}^{-1}(\lambda)$ :

- (i)  $\tilde{f}B_\lambda \subset B_{\lambda-\alpha}$  and  $\tilde{e}B_\lambda \subset B_{\lambda+\alpha} \sqcup \{0\}$  for any  $\lambda \in P$ ,
- (ii)  $\tilde{f}\tilde{e}(b) = b$  if  $\tilde{e}b \neq 0$ , and  $\tilde{e} \circ \tilde{f} = \text{id}_B$ ,
- (iii) for any  $\lambda \in P$ ,  $B_\lambda$  is a finite set and  $B_{\lambda+n\alpha} = \emptyset$  for  $n \gg 0$ ,
- (iv)  $\varepsilon(b) = \max \{n \geq 0 \mid \tilde{e}^n b \neq 0\}$  for any  $b \in B$ .

Set  $\text{ord}(a) = \sup \{n \in \mathbb{Z} \mid a \in q^n \mathbf{A}_0\}$  for  $a \in \mathbf{K}$ . We understand  $\text{ord}(0) = \infty$ .

Let  $\{C(b)\}_{b \in B}$  be a system of generators of  $M$  with  $C(b) \in M_{\text{wt}(b)}$ :  $M = \sum_{b \in B} \mathbf{K}C(b)$ .

Let  $\xi$  be a map from  $B$  to an ordered set. Let  $c: \mathbb{Z} \rightarrow \mathbb{R}$ ,  $f: \mathbb{Z} \rightarrow \mathbb{R}$  and  $e: \mathbb{Z} \rightarrow \mathbb{R}$ . Assume that a decomposition  $B = B' \cup B''$  is given.

Assume that we have expressions:

$$(4.2) \quad eC(b) = \sum_{b' \in B} E_{b,b'}C(b'),$$

$$(4.3) \quad fC(b) = \sum_{b' \in B} F_{b,b'}C(b').$$

Now consider the following conditions for these data, where  $\ell = \varepsilon(b)$  and  $\ell' = \varepsilon(b')$ :

$$(4.4) \quad c(0) = 0, \text{ and } c(n) > 0 \text{ for } n \neq 0,$$



- (4.5)  $c(n) \leq n + c(m + n) + e(m)$  for  $n \geq 0$ ,
- (4.6)  $c(n) \leq c(m + n) + f(m)$  for  $n \leq 0$ ,
- (4.7)  $c(n) + f(n) > 0$  for  $n > 0$ ,
- (4.8)  $c(n) + e(n) > 0$  for  $n > 0$ ,
- (4.9)  $\text{ord}(F_{b,b'}) \geq -\ell + f(\ell + 1 - \ell')$ ,
- (4.10)  $\text{ord}(E_{b,b'}) \geq 1 - \ell + e(\ell - 1 - \ell')$ ,
- (4.11)  $F_{b,\tilde{f}b} \in q^{-\ell}(1 + q\mathbf{A}_0)$ ,
- (4.12)  $E_{b,\tilde{e}b} \in q^{1-\ell}(1 + q\mathbf{A}_0)$  if  $\ell > 0$ ,
- (4.13)  $\text{ord}(F_{b,b'}) > -\ell + f(\ell + 1 - \ell')$  if  $b' \neq \tilde{f}b$ ,  $\xi(\tilde{f}b) \not\asymp \xi(b')$ ,
- (4.14)  $\text{ord}(F_{b,b'}) > -\ell + f(\ell + 1 - \ell')$  if  $\tilde{f}b \in B'$ ,  $b' \neq \tilde{f}b$  and  $\ell \leq \ell' - 1$ ,
- (4.15)  $\text{ord}(E_{b,b'}) > 1 - \ell + e(\ell - 1 - \ell')$  if  $b \in B''$ ,  $b' \neq \tilde{e}b$  and  $\ell \leq \ell' + 1$ .

**Theorem 4.1.** *Assume the conditions (4.4)–(4.15). Let  $L$  be the  $\mathbf{A}_0$ -submodule  $\sum_{b \in B} \mathbf{A}_0 C(b)$  of  $M$ . Then we have  $\tilde{e}L \subset L$  and  $\tilde{f}L \subset L$ . Moreover we have*

$$\tilde{e}C(b) \equiv C(\tilde{e}b) \pmod{qL} \quad \text{and} \quad \tilde{f}C(b) \equiv C(\tilde{f}b) \pmod{qL} \quad \text{for any } b \in B.$$

Here we understand  $C(0) = 0$ .

We shall divide the proof into several steps.

Write

$$C(b) = \sum_{n \geq 0} f^{(n)} C_n(b) \quad \text{with } eC_n(b) = 0.$$

Set

$$L_0 = \sum_{b \in B, n \geq 0} \mathbf{A}_0 f^{(n)} C_0(b).$$

Set for  $u \in M$ ,  $\text{ord}(u) = \sup \{n \in \mathbb{Z} \mid u \in q^n L_0\}$ . If  $u = 0$  we set  $\text{ord}(u) = \infty$ , and if  $u \notin \cup_{n \in \mathbb{Z}} q^n L_0$ , then  $\text{ord}(u) = -\infty$ .

We shall use the following two recursion formulas (4.16) and (4.17).

We have

$$\begin{aligned} eC(b) &= \sum_{n \geq 1} q^{1-n} f^{(n-1)} C_n(b) \\ &= \sum_{n \geq 0} E_{b,b'} f^{(n)} C_n(b'). \end{aligned}$$

Hence we have

$$(4.16) \quad C_n(b) = \sum_{b' \in B_{\lambda+\alpha}} q^{n-1} E_{b,b'} C_{n-1}(b') \quad \text{for } n > 0 \text{ and } b \in B_\lambda.$$

If  $\ell := \varepsilon(b) > 0$ , then we have

$$\begin{aligned} fC(\tilde{e}b) &= \sum_{b' \in B, n \geq 0} F_{\tilde{e}b,b'} f^{(n)} C_n(b') \\ &= \sum_{n \geq 0} [n+1] f^{(n+1)} C_n(\tilde{e}b). \end{aligned}$$

Hence, we have by (4.11)

$$\begin{aligned} \delta_{n \neq 0} [n] C_{n-1}(\tilde{e}b) &= \sum_{b'} F_{\tilde{e}b,b'} C_n(b') \\ &\in q^{1-\ell} (1 + q\mathbf{A}_0) C_n(b) + \sum_{b' \neq b} F_{\tilde{e}b,b'} C_n(b'). \end{aligned}$$

Therefore we obtain

$$(4.17) \quad C_n(b) \in \delta_{n \neq 0} (1 + q\mathbf{A}_0) q^{\ell-n} C_{n-1}(\tilde{e}b) + \sum_{b' \neq b} q^{\ell-1} \mathbf{A}_0 F_{\tilde{e}b,b'} C_n(b') \quad \text{if } \ell > 0.$$

**Lemma 4.2.**  $\text{ord}(C_n(b)) \geq c(n - \ell)$  for any  $n \in \mathbb{Z}_{\geq 0}$  and  $b \in B$ , where  $\ell := \varepsilon(b)$ .

*Proof.* For  $\lambda \in P$ , we shall show the assertion for  $b \in B_\lambda$  by the induction on  $\sup \{n \in \mathbb{Z} \mid M_{\lambda+n\alpha} \neq 0\}$ . Hence we may assume

$$(4.18) \quad \text{ord}(C_n(b)) \geq c(n - \ell) \quad \text{for any } n \in \mathbb{Z}_{\geq 0} \text{ and } b \in B_{\lambda+\alpha}.$$

(i) Let us first show  $C_n(b) \in \mathbf{KL}_0$ .

Since it is trivial for  $n = 0$ , assume that  $n > 0$ . Since  $C_{n-1}(b') \in \mathbf{KL}_0$  for  $b' \in B_{\lambda+\alpha}$  by the induction assumption (4.18), we have  $C_n(b) \in \mathbf{KL}_0$  by (4.16).

(ii) Let us show that  $\text{ord}(C_n(b)) \geq c(n - \ell)$  for  $n \geq \ell$ .

If  $n = 0$ , then  $\ell = 0$  and the assertion is trivial by (4.4). Hence we may assume that  $n > 0$ .

We shall use (4.16). For  $b' \in B_{\lambda+\alpha}$ , we have

$$\text{ord}(C_{n-1}(b')) \geq c(n - 1 - \ell') \quad \text{where } \ell' = \varepsilon(b')$$

by the induction hypothesis (4.18). On the other hand,  $\text{ord}(E_{b,b'}) \geq 1 - \ell + e(\ell - 1 - \ell')$  by (4.10). Hence,

$$\begin{aligned} \text{ord}(q^{n-1} E_{b,b'} C_{n-1}(b')) &\geq (n-1) + (1 - \ell + e(\ell - 1 - \ell')) + c(n - 1 - \ell') \\ &= (n - \ell) + e(\ell - 1 - \ell') + c((n - \ell) + (\ell - 1 - \ell')) \\ &\geq c(n - \ell) \end{aligned}$$

by (4.5).

(iii) In the general case, let us set

$$r = \min \{ \text{ord}(C_n(b)) - c(n - \varepsilon(b)) \mid b \in B_\lambda, n \geq 0 \} \in \mathbb{R} \cup \{ \infty \}.$$

Assuming  $r < 0$ , we shall prove

$$\text{ord}(C_n(b)) > c(n - \ell) + r \quad \text{for any } b \in B_\lambda,$$

which leads a contradiction.

By the induction on  $\xi(b)$ , we may assume that

$$(4.19) \quad \text{if } \xi(b') < \xi(b), \text{ then } \text{ord}(C_n(b')) > c(n - \ell') + r \text{ where } \ell' := \varepsilon(b').$$

By (ii), we may assume that  $n < \ell$ . Hence  $\tilde{e}b \in B$ . By the induction hypothesis (4.18), we have  $\text{ord}(q^{\ell-n}C_{n-1}(\tilde{e}b)) \geq \ell - n + c((n-1) - (\ell-1)) \geq c(n - \ell) > c(n - \ell) + r$ . By (4.17), it is enough to show

$$\text{ord}(q^{\ell-1}F_{\tilde{e}b,b'}C_n(b')) > c(n - \ell) + r \quad \text{for } b' \neq b.$$

We shall divide its proof into two cases.

(a)  $\xi(b') < \xi(b)$ .

In this case, (4.19) implies  $\text{ord}(C_n(b')) > c(n - \ell') + r$ . Hence

$$\begin{aligned} \text{ord}(q^{\ell-1}F_{\tilde{e}b,b'}C_n(b')) &> (\ell - 1) + (1 - \ell + f(\ell - \ell')) + c(n - \ell') + r \\ &= f(\ell - \ell') + c((n - \ell) + (\ell - \ell')) + r \geq c(n - \ell) + r \end{aligned}$$

by (4.9) and (4.6).

(b) Case  $\xi(b') \not< \xi(b)$ .

In this case,  $\text{ord}(F_{\tilde{e}b,b'}) > 1 - \ell + f(\ell - \ell')$  by (4.13), and  $\text{ord}(C_n(b')) \geq c(n - \ell') + r$ . Hence,

$$\begin{aligned} \text{ord}(q^{\ell-1}F_{\tilde{e}b,b'}C_n(b')) &> (\ell - 1) + (1 - \ell + f(\ell - \ell')) + c(n - \ell') + r \\ &= f(\ell - \ell') + c((n - \ell) + (\ell - \ell')) + r \geq c(n - \ell) + r. \end{aligned}$$

□

**Lemma 4.3.**  $\text{ord}(C_\ell(b) - C_{\ell-1}(\tilde{e}b)) > 0$  for  $\ell := \varepsilon(b) > 0$ .

*Proof.*

We divide the proof into two cases:  $b \in B'$  and  $b \in B''$ .

(i)  $b \in B'$ .

By (4.17), it is enough to show

$$\text{ord}(q^{\ell-1}F_{\tilde{e}b,b'}C_\ell(b')) > 0 \quad \text{for } b' \neq b.$$

(a) Case  $\ell > \ell' := \varepsilon(b')$ .

We have

$$\text{ord}(q^{\ell-1}F_{\tilde{e}b,b'}C_\ell(b')) \geq (\ell - 1) + (1 - \ell + f(\ell - \ell')) + c(\ell - \ell') > 0$$

by (4.7).

(b) Case  $\ell \leq \ell'$ .

We have  $\text{ord}(F_{\tilde{e}b,b'}) > 1 - \ell + f(\ell - \ell')$  by (4.14). Hence

$$\text{ord}(q^{\ell-1}F_{\tilde{e}b,b'}C_\ell(b')) > (\ell - 1) + (1 - \ell + f(\ell - \ell')) + c(\ell - \ell') \geq 0$$

by (4.6) with  $n = 0$ .

(ii) Case  $b \in B''$ .

We use (4.16). By (4.12), it is enough to show that

$$\text{ord}(q^{\ell-1}E_{b,b'}C_{\ell-1}(b')) > 0 \quad \text{for } b' \neq \tilde{e}b.$$

(a) Case  $\ell - 1 > \ell'$ .

$$\text{ord}(q^{\ell-1}E_{b,b'}C_{\ell-1}(b')) \geq e(\ell - 1 - \ell') + c(\ell - 1 - \ell') > 0 \quad \text{by (4.10) and (4.8).}$$

(b) Case  $\ell - 1 \leq \ell'$ .

$$\text{ord}(E_{b,b'}) > 1 - \ell + e(\ell - 1 - \ell') \quad \text{by (4.15), and } \text{ord}(q^{\ell-1}E_{b,b'}C_{\ell-1}(b')) > e(\ell - 1 - \ell') + c(\ell - 1 - \ell') \geq 0 \quad \text{by (4.5) with } n = 0.$$

□

Hence we have

$$\begin{aligned} C_n(b) &\equiv 0 \pmod{qL_0} \quad \text{for } n \neq \ell := \varepsilon(b), \\ C_\ell(b) &\equiv C_0(\tilde{e}^\ell b) \pmod{qL_0}, \\ C(b) &\equiv f^{(\ell)}C_\ell(b) \pmod{qL_0}, \\ \tilde{f}C(b) &\equiv C(\tilde{f}b) \pmod{qL_0}, \\ \tilde{e}C(b) &\equiv C(\tilde{e}b) \pmod{qL_0}, \\ L_0 &:= \sum_{b \in B, n \geq 0} \mathbf{A}_0 f^{(n)} C_0(b) = \sum_{b \in B} \mathbf{A}_0 C(b). \end{aligned}$$

Indeed, the last equality follows from the fact that  $\{C(b)\}_{b \in B}$  generates  $L_0/qL_0$ . Thus we have completed the proof of Theorem 4.1.

The following is the special case where  $B' = B'' = B$  and  $\xi(b) = \varepsilon(b)$ .

**Corollary 4.4.** *Assume (4.4)–(4.12) and*

$$(4.20) \quad \text{ord}(F_{b,b'}) > -\ell + f(1 + \ell - \ell') \quad \text{if } \ell < \ell' \text{ and } b' \neq \tilde{f}b,$$

$$(4.21) \quad \text{ord}(E_{b,b'}) > 1 - \ell + e(\ell - 1 - \ell') \quad \text{if } \ell \leq \ell' + 1 \text{ and } b' \neq \tilde{e}b.$$

Then the assertions of Theorem 4.1 hold.

### §4.2. Crystal structure on $\mathcal{M}_\theta$

We shall define the crystal structure on  $\mathcal{M}_\theta$ .

**Definition 4.5.** Suppose  $k > 0$ . For a  $\theta$ -restricted multisegment  $\mathbf{m} = \sum_{-j \leq i \leq j} m_{i,j} \langle i, j \rangle$ , we set

$$\varepsilon_{-k}(\mathbf{m}) = \max \left\{ A_j^{(-k)}(\mathbf{m}) \mid j \geq -k + 2 \right\},$$

where

$$A_j^{(-k)}(\mathbf{m}) = \sum_{\ell \geq j} (m_{-k,\ell} - m_{-k+2,\ell+2}) \quad \text{for } j > k,$$

$$A_k^{(-k)}(\mathbf{m}) = \sum_{\ell > k} (m_{-k,\ell} - m_{-k+2,\ell}) + 2m_{-k,k} + \delta(m_{-k+2,k} \text{ is odd}),$$

$$A_j^{(-k)}(\mathbf{m}) = \sum_{\ell > k} (m_{-k,\ell} - m_{-k+2,\ell}) + 2m_{-k,k} - 2m_{-k+2,k-2} \\ + \sum_{-k+2 < i \leq j+2} m_{i,k} - \sum_{-k+2 < i \leq j} m_{i,k-2} \\ \text{for } -k + 2 \leq j \leq k - 2.$$

- (i) Let  $n_f$  be the smallest  $\ell \geq -k + 2$ , with respect to the ordering  $\dots > k + 2 > k > -k + 2 > \dots > k - 2$ , such that  $\varepsilon_{-k}(\mathbf{m}) = A_\ell^{(-k)}(\mathbf{m})$ . We define

$$\tilde{F}_{-k}(\mathbf{m}) = \begin{cases} \mathbf{m} - \langle -k + 2, n_f \rangle + \langle -k, n_f \rangle & \text{if } n_f > k, \\ \mathbf{m} - \langle -k + 2, k \rangle + \langle -k, k \rangle & \text{if } n_f = k \text{ and } m_{-k+2,k} \text{ is odd,} \\ \mathbf{m} - \delta_{k \neq 1} \langle -k + 2, k - 2 \rangle \\ \quad + \langle -k + 2, k \rangle & \text{if } n_f = k \text{ and } m_{-k+2,k} \text{ is even,} \\ \mathbf{m} - \delta_{n_f \neq k-2} \langle n_f + 2, k - 2 \rangle \\ \quad + \langle n_f + 2, k \rangle & \text{if } -k + 2 \leq n_f \leq k - 2. \end{cases}$$

- (ii) If  $\varepsilon_{-k}(\mathbf{m}) = 0$ , then  $\tilde{E}_{-k}(\mathbf{m}) = 0$ . If  $\varepsilon_{-k}(\mathbf{m}) > 0$ , then let  $n_e$  be the largest  $\ell \geq -k + 2$ , with respect to the above ordering, such that  $\varepsilon_{-k}(\mathbf{m}) = A_\ell^{(-k)}(\mathbf{m})$ . We define

$$\tilde{E}_{-k}(\mathbf{m}) = \begin{cases} \mathbf{m} - \langle -k, n_e \rangle + \langle -k + 2, n_e \rangle & \text{if } n_e > k, \\ \mathbf{m} - \langle -k, k \rangle + \langle -k + 2, k \rangle & \text{if } n_e = k \text{ and} \\ & m_{-k+2, k} \text{ is even,} \\ \mathbf{m} - \langle -k + 2, k \rangle & \text{if } n_e = k \text{ and} \\ & + \delta_{k \neq 1} \langle -k + 2, k - 2 \rangle \quad m_{-k+2, k} \text{ is odd,} \\ \mathbf{m} - \langle n_e + 2, k \rangle & \text{if } -k + 2 \leq n_e \leq k - 2. \\ & + \delta_{n_e \neq k-2} \langle n_e + 2, k - 2 \rangle \end{cases}$$

*Remark 4.6.* For  $0 < k \in I$ , the actions of  $\tilde{E}_{-k}$  and  $\tilde{F}_{-k}$  on  $\mathbf{m} \in \mathcal{M}_\theta$  are described by the following algorithm.

- Step 1. Arrange segments in  $\mathbf{m}$  of the form  $\langle -k, j \rangle$  ( $j > k$ ),  $\langle -k + 2, j \rangle$  ( $j > k$ ),  $\langle i, k \rangle$  ( $-k \leq i \leq k$ ),  $\langle i, k - 2 \rangle$  ( $-k + 2 \leq i \leq k - 2$ ) in the order

$$\cdots, \langle -k, k + 2 \rangle, \langle -k + 2, k + 2 \rangle, \langle -k, k \rangle, \langle -k + 2, k \rangle, \langle -k + 2, k - 2 \rangle, \\ \langle -k + 4, k \rangle, \langle -k + 4, k - 2 \rangle, \cdots, \langle k - 2, k \rangle, \langle k - 2, k - 2 \rangle, \langle k \rangle.$$

- Step 2. Write signatures for each segment contained in  $\mathbf{m}$  by the following rules.

- (i) If a segment is not  $\langle -k + 2, k \rangle$ , then
- For  $\langle -k, k \rangle$ , write  $--$ ,
  - For  $\langle -k, j \rangle$  with  $j > k$ , write  $-$ ,
  - For  $\langle -k + 2, k - 2 \rangle$  with  $k > 1$ , write  $++$ ,
  - For  $\langle -k + 2, j \rangle$  with  $j > k$ , write  $+$ ,
  - For  $\langle j, k \rangle$  with  $-k + 2 < j \leq k$ , write  $-$ ,
  - For  $\langle j, k - 2 \rangle$  with  $-k + 2 < j \leq k - 2$ , write  $+$ ,
  - Otherwise, write no signature.
- (ii) For segments  $m_{-k+2, k} \langle -k + 2, k \rangle$ , if  $m_{-k+2, k}$  is even, then write no signature, and if  $m_{-k+2, k}$  is odd, then write  $-+$ .

- Step 3. In the resulting sequence of  $+$  and  $-$ , delete a subsequence of the form  $+ -$  and keep on deleting until no such subsequence remains.

Then we obtain a sequence of the form  $- - \cdots - + + \cdots +$ .

(1)  $\varepsilon_{-k}(\mathbf{m})$  is the total number of  $-$  in the resulting sequence.

(2)  $\tilde{F}_{-k}(\mathbf{m})$  is given as follows:

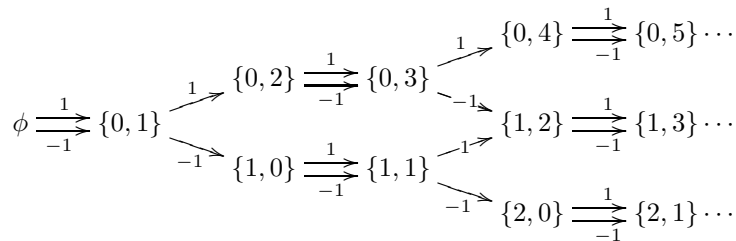
- (i) if the leftmost  $+$  corresponds to a segment  $\langle -k + 2, j \rangle$  for  $j > k$ , then replace it with  $\langle -k, j \rangle$ ,
- (ii) if the leftmost  $+$  corresponds to a segment  $\langle j, k - 2 \rangle$  for  $-k + 2 \leq j \leq k - 2$ , then replace it with  $\langle j, k \rangle$ ,
- (iii) if the leftmost  $+$  corresponds to segment  $m_{-k+2,k} \langle -k + 2, k \rangle$ , then replace one of the segments with  $\langle -k, k \rangle$ ,
- (iv) if no  $+$  exists, add a segment  $\langle k, k \rangle$  to  $\mathbf{m}$ .

(3)  $\tilde{E}_{-k}(\mathbf{m})$  is given as follows:

- (i) if the rightmost  $-$  corresponds to a segment  $\langle -k, j \rangle$  for  $j \geq k$ , then replace it with  $\langle -k + 2, j \rangle$ ,
- (ii) if the rightmost  $-$  corresponds to a segment  $\langle j, k \rangle$  for  $-k + 2 < j < k$ , then replace it with  $\langle j, k - 2 \rangle$ ,
- (iii) if the rightmost  $-$  corresponds to segments  $m_{-k+2,k} \langle -k + 2, k \rangle$ , then replace one of the segment with  $\langle -k + 2, k - 2 \rangle$ ,
- (iv) if the rightmost  $-$  corresponds to a segment  $\langle k, k \rangle$  for  $k > 1$ , then delete it,
- (v) if no  $-$  exists, then  $\tilde{E}_{-k}(\mathbf{m}) = 0$ .

**Example 4.7.**

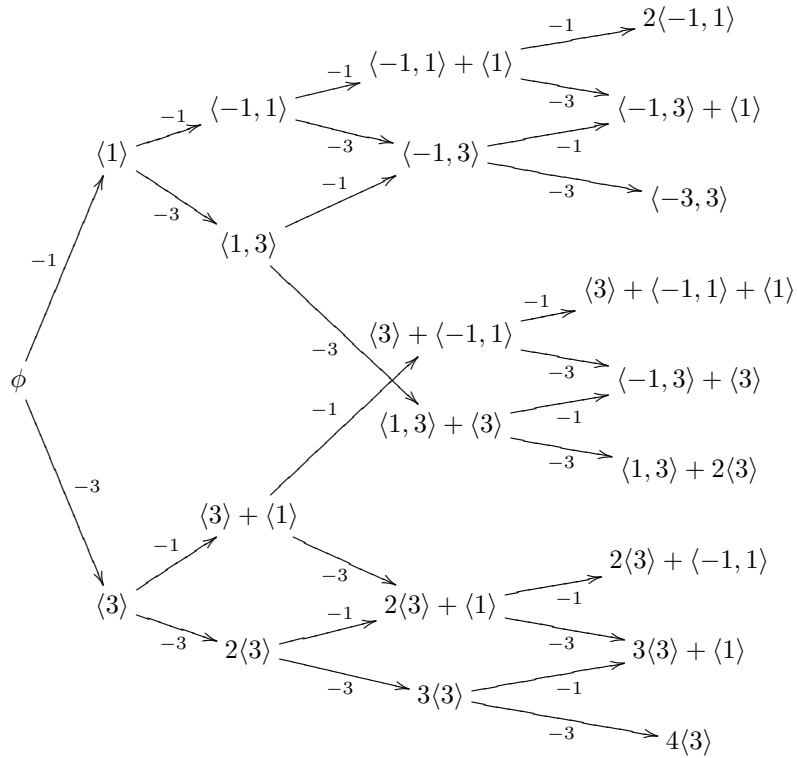
(1) We shall write  $\{a, b\}$  for  $a\langle -1, 1 \rangle + b\langle 1 \rangle$ . The following diagram is the part of the crystal graph of  $B_\theta(0)$  that concerns only the 1-arrows and the  $(-1)$ -arrows.



Especially the part of  $(-1)$ -arrows is the following diagram.

$$\{0, 2n\} \xrightarrow{-1} \{0, 2n + 1\} \xrightarrow{-1} \{1, 2n\} \xrightarrow{-1} \{1, 2n + 1\} \xrightarrow{-1} \{2, 2n\} \cdots$$

- (2) The following diagram is the part of the crystal graph of  $B_\theta(0)$  that concerns only the  $(-1)$ -arrows and the  $(-3)$ -arrows. This diagram is, as a graph, isomorphic to the crystal graph of  $A_2$ .



- (3) Here is the part of the crystal graph of  $B_\theta(0)$  that concerns only the  $n$ -arrows and the  $(-n)$ -arrows for an odd integer  $n \geq 3$ :

$$\phi \begin{matrix} \xrightarrow{n} \\ \xrightarrow{-n} \end{matrix} \langle n \rangle \begin{matrix} \xrightarrow{n} \\ \xrightarrow{-n} \end{matrix} 2\langle n \rangle \begin{matrix} \xrightarrow{n} \\ \xrightarrow{-n} \end{matrix} 3\langle n \rangle \begin{matrix} \xrightarrow{n} \\ \xrightarrow{-n} \end{matrix} \cdots$$

**Lemma 4.8.** For  $k \in I_{>0}$ , the data  $\tilde{E}_{-k}$ ,  $\tilde{F}_{-k}$ ,  $\varepsilon_{-k}$  define a crystal structure on  $\mathcal{M}_\theta$ , namely we have



- (i)  $\tilde{F}_{-k}\mathcal{M}_\theta \subset \mathcal{M}_\theta$  and  $\tilde{E}_{-k}\mathcal{M}_\theta \subset \mathcal{M}_\theta \sqcup \{0\}$ ,
- (ii)  $\tilde{F}_{-k}\tilde{E}_{-k}(\mathbf{m}) = \mathbf{m}$  if  $\tilde{E}_{-k}(\mathbf{m}) \neq 0$ , and  $\tilde{E}_{-k} \circ \tilde{F}_{-k} = \text{id}$ ,
- (iii)  $\varepsilon_{-k}(\mathbf{m}) = \max \{n \geq 0 \mid \tilde{E}_{-k}^n(\mathbf{m}) \neq 0\}$  for any  $\mathbf{m} \in \mathcal{M}_\theta$ .

*Proof.* We shall first show that, for  $\mathbf{m} = \sum_{-j \leq i \leq j} m_{i,j} \langle i, j \rangle \in \mathcal{M}_\theta$ ,  $\tilde{F}_{-k}(\mathbf{m})$  is  $\theta$ -restricted,  $\tilde{E}_{-k}\tilde{F}_{-k}(\mathbf{m}) = \mathbf{m}$  and  $\varepsilon_{-k}(\tilde{F}_{-k}\mathbf{m}) = \varepsilon_{-k}(\mathbf{m}) + 1$ . Let  $A_j := A_j^{(-k)}(\mathbf{m})$  ( $j \geq -k+2$ ) and let  $n_f$  be as in Definition 4.5. Set  $\mathbf{m}' = \tilde{F}_{-k}\mathbf{m}$ . Let  $A'_j = A'_j^{(-k)}(\mathbf{m}')$  and let  $n'_e$  be  $n_e$  for  $\mathbf{m}'$ .

- (i) Assume  $n_f > k$ . Since  $A_{n_f} > A_{n_f-2} = A_{n_f} + m_{-k, n_f-2} - m_{-k+2, n_f}$ , we have  $m_{-k, n_f-2} < m_{-k+2, n_f}$ . Hence  $\mathbf{m}' = \mathbf{m} - \langle -k+2, n_f \rangle + \langle -k, n_f \rangle$  is  $\theta$ -restricted. Then we have

$$A'_j = \begin{cases} A_j & \text{if } j > n_f, \\ A_j + 1 & \text{if } j = n_f, \\ A_j + 2 & \text{if } j < n_f. \end{cases}$$

Hence  $\varepsilon_{-k}(\mathbf{m}') = A_{n_f} + 1 = \varepsilon_{-k}(\mathbf{m}) + 1$  and  $n'_e = n_f$ , which implies  $\mathbf{m} = \tilde{E}_{-k}(\mathbf{m}')$ .

- (ii) Assume  $n_f = k$ .

- (a) If  $m_{-k+2, k}$  is odd, then  $\mathbf{m}' = \mathbf{m} - \langle -k+2, k \rangle + \langle -k, k \rangle$  is  $\theta$ -restricted.

We have

$$A'_j = \begin{cases} A_j & \text{if } j > k, \\ A_j + 1 & \text{if } j = k, \\ A_j + 2 & \text{if } j < k, \end{cases}$$

Hence  $\varepsilon_{-k}(\mathbf{m}') = \varepsilon_{-k}(\mathbf{m}) + 1$  and  $n'_e = k$ , which implies  $\mathbf{m} = \tilde{E}_{-k}(\mathbf{m}')$ .

- (b) Assume that  $m_{-k+2, k}$  is even. If  $k \neq 1$ , then  $A_k > A_{-k+2} = A_k - 2m_{-k+2, k-2}$ , and hence  $m_{-k+2, k-2} > 0$ . Therefore  $\mathbf{m}' = \mathbf{m} - \delta_{k \neq 1} \langle -k+2, k-2 \rangle + \langle -k+2, k \rangle$  is  $\theta$ -restricted. We have

$$A'_j = \begin{cases} A_j & \text{if } j > k, \\ A_j + 1 & \text{if } j = k, \\ A_j + 2 & \text{if } j < k. \end{cases}$$

Hence  $\varepsilon_{-k}(\mathbf{m}') = \varepsilon_{-k}(\mathbf{m}) + 1$  and  $n'_e = k$ , which implies  $\mathbf{m} = \tilde{E}_{-k}(\mathbf{m}')$ .

- (iii) Assume  $-k + 2 \leq n_f < k - 2$ . Since  $A_{n_f} > A_{n_f+2} = A_{n_f} + m_{n_f+4,k} - m_{n_f+2,k-2}$ , we have  $m_{n_f+2,k-2} > m_{n_f+4,k}$ . Hence  $\mathbf{m}' = \mathbf{m} - \langle n_f + 2, k - 2 \rangle + \langle n_f + 2, k \rangle$  is  $\theta$ -restricted. Then we have

$$A'_j = \begin{cases} A_j & \text{if } j > n_f, \\ A_j + 1 & \text{if } j = n_f, \\ A_j + 2 & \text{if } j < n_f. \end{cases}$$

(Here the ordering is as in Definition 4.5 (i).) Hence  $\varepsilon_{-k}(\mathbf{m}') = \varepsilon_{-k}(\mathbf{m}) + 1$  and  $n'_e = n_f$ , which implies  $\mathbf{m} = \tilde{E}_{-k}\mathbf{m}'$ .

- (iv) Assume  $n_f = k - 2$ . It is obvious that  $\mathbf{m}' = \mathbf{m} + \langle k \rangle$  is  $\theta$ -restricted. We have

$$A'_j = \begin{cases} A_j & \text{if } j \neq n_f, \\ A_j + 1 & \text{if } j = n_f. \end{cases}$$

Hence  $\varepsilon_{-k}(\mathbf{m}') = \varepsilon_{-k}(\mathbf{m}) + 1$  and  $n'_e = n_f$ , which implies  $\mathbf{m} = \tilde{E}_{-k}(\mathbf{m}')$ .

Similarly, we can prove that if  $\varepsilon_{-k}(\mathbf{m}) > 0$ , then  $\tilde{E}_{-k}(\mathbf{m})$  is  $\theta$ -restricted and  $\tilde{F}_{-k}\tilde{E}_{-k}(\mathbf{m}) = \mathbf{m}$ . Hence we obtain the desired results. □

**Definition 4.9.** For  $k \in I_{>0}$ , we define  $\tilde{F}_k, \tilde{E}_k$  and  $\varepsilon_k$  by the same rule as in Definition 3.7 for  $\tilde{f}_k, \tilde{e}_k$  and  $\varepsilon_k$ .

Since it is well-known that it gives a crystal structure on  $\mathcal{M}$ , we obtain the following result.

**Theorem 4.10.** By  $\tilde{F}_k, \tilde{E}_k, \varepsilon_k$  ( $k \in I$ ),  $\mathcal{M}_\theta$  is a crystal, namely, we have

- (i)  $\tilde{F}_k\mathcal{M}_\theta \subset \mathcal{M}_\theta$  and  $\tilde{E}_k\mathcal{M}_\theta \subset \mathcal{M}_\theta \sqcup \{0\}$ ,
- (ii)  $\tilde{F}_k\tilde{E}_k(\mathbf{m}) = \mathbf{m}$  if  $\tilde{E}_k(\mathbf{m}) \neq 0$ , and  $\tilde{E}_k \circ \tilde{F}_k = \text{id}$ ,
- (iii)  $\varepsilon_k(\mathbf{m}) = \max \{ n \geq 0 \mid \tilde{E}_k^n(\mathbf{m}) \neq 0 \}$  for any  $\mathbf{m} \in \mathcal{M}_\theta$ .

The crystal  $\mathcal{M}_\theta$  has a unique highest weight vector.

**Lemma 4.11.** If  $\mathbf{m} \in \mathcal{M}_\theta$  satisfies that  $\varepsilon_k(\mathbf{m}) = 0$  for any  $k \in I$ , then  $\mathbf{m} = \emptyset$ . Here  $\emptyset$  is the empty multisegment. In particular, for any  $\mathbf{m} \in \mathcal{M}_\theta$ , there exist  $\ell \geq 0$  and  $i_1, \dots, i_\ell \in I$  such that  $\mathbf{m} = \tilde{F}_{i_1} \cdots \tilde{F}_{i_\ell} \emptyset$ .

*Proof.* Assume  $\mathbf{m} \neq \emptyset$ . Let  $k$  be the largest  $k$  such that  $m_{k,j} \neq 0$  for some  $j$ . Then take the largest  $j$  such that  $m_{k,j} \neq 0$ . Then  $j \geq |k|$ . Moreover, we have  $m_{k+2,\ell} = 0$  for any  $\ell$ , and  $m_{k,\ell} = 0$  for any  $\ell > j$ . Hence we have

$$A_j^{(k)}(\mathbf{m}) = \begin{cases} 2m_{k,j} & \text{if } k = -j, \\ m_{k,j} & \text{otherwise.} \end{cases}$$

Hence  $\varepsilon_k(\mathbf{m}) \geq A_j^{(k)}(\mathbf{m}) > 0$ . □

**§4.3. Estimates of the order of coefficients**

By applying Theorem 4.1, we shall show that  $\{P_\theta(\mathbf{m})\phi\}_{\mathbf{m} \in \mathcal{M}_\theta}$  is a crystal basis of  $V_\theta(0)$  and its crystal structure coincides with the one given in § 4.2.

Let  $k$  be a positive odd integer. We define  $c, f, e: \mathbb{Z} \rightarrow \mathbb{Q}$  by  $c(n) = |n/2|$  and  $f(n) = e(n) = n/2$ . Then the conditions (4.4)–(4.8) are obvious. Set  $\xi(\mathbf{m}) = (-1)^{m_{-k+2,k}} m_{-k,k}$  and

$$B'' = \{\mathbf{m} \in \mathcal{M}_\theta \mid -k + 2 \leq n_e(\mathbf{m}) < k\} \cup \{\mathbf{m} \in \mathcal{M}_\theta \mid m_{-k+2,k}(\mathbf{m}) \text{ is odd}\},$$

$$B' = \mathcal{M}_\theta \setminus B''.$$

Here  $n_e(\mathbf{m})$  is  $n_e$  given in Definition 4.5 (ii). If  $\varepsilon_{-k}(\mathbf{m}) = 0$ , then we understand  $n_e(\mathbf{m}) = \infty$ .

We define  $F_{\mathbf{m},\mathbf{m}'}^{-k}$  and  $E_{\mathbf{m},\mathbf{m}'}^{-k}$  by the coefficients of the following expansion:

$$F_{-k}P_\theta(\mathbf{m})\tilde{\phi} = \sum_{\mathbf{m}'} F_{\mathbf{m},\mathbf{m}'}^{-k} P_\theta(\mathbf{m}')\tilde{\phi},$$

$$E_{-k}P_\theta(\mathbf{m})\tilde{\phi} = \sum_{\mathbf{m}'} E_{\mathbf{m},\mathbf{m}'}^{-k} P_\theta(\mathbf{m}')\tilde{\phi},$$

as given in Theorems 3.21 and 3.22. Put  $\ell = \varepsilon_{-k}(\mathbf{m})$  and  $\ell' = \varepsilon_{-k}(\mathbf{m}')$ .

**Proposition 4.12.** *The conditions (4.9), (4.11), (4.13) and (4.14) are satisfied for  $\tilde{E}_{-k}, \tilde{F}_{-k}, \varepsilon_{-k}$ , namely, we have*

- (a) if  $\mathbf{m}' = \tilde{F}_{-k}(\mathbf{m})$ , then  $F_{\mathbf{m},\mathbf{m}'}^{-k} \in q^{-\ell}(1 + q\mathbf{A}_0)$ ,
- (b) if  $\mathbf{m}' \neq \tilde{F}_{-k}(\mathbf{m})$ , then  $\text{ord}(F_{\mathbf{m},\mathbf{m}'}^{-k}) \geq -\ell + f(\ell + 1 - \ell') = -(\ell + \ell' - 1)/2$ ,
- (c) if  $\mathbf{m}' \neq \tilde{F}_{-k}(\mathbf{m})$  and  $\text{ord}(F_{\mathbf{m},\mathbf{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then the following two conditions hold:
  - (1)  $\xi(\tilde{F}_{-k}(\mathbf{m})) > \xi(\mathbf{m}')$ ,

(2)  $\ell \geq \ell'$  or  $\tilde{F}_{-k}(\mathbf{m}) \in B''$ .

*Proof.* We shall write  $A_j$  for  $A_j^{-k}(\mathbf{m})$ . Let  $n_f$  be as in Definition 4.5 (i). Note that  $F_{\mathbf{m}, \tilde{F}_{-k}(\mathbf{m})}^{-k} \neq 0$ .

If  $F_{\mathbf{m}, \mathbf{m}'}^{-k} \neq 0$ , we have the following four cases. We shall use  $[n] \in q^{1-n}(1 + q\mathbf{A}_0)$  for  $n > 0$ .

**Case 1.**  $\mathbf{m}' = \mathbf{m} - \langle -k + 2, n \rangle + \langle -k, n \rangle$  for  $n > k$ .

In this case, we have

$$F_{\mathbf{m}, \mathbf{m}'}^{-k} = [m_{-k, n} + 1]q^{\sum_{j>n} (m_{-k+2, j} - m_{-k, j})} \in q^{-A_n}(1 + q\mathbf{A}_0)$$

and

$$\begin{aligned} \ell &= \max\{A_j (j \geq -k + 2)\}, \\ \ell' &= \max\{A_j (j > n), A_n + 1, A_j + 2 (j < n)\}. \end{aligned}$$

If  $\mathbf{m}' = \tilde{F}_{-k}(\mathbf{m})$ , then  $\ell = A_n$  and we obtain (a). Assume  $\mathbf{m}' \neq \tilde{F}_{-k}(\mathbf{m})$ . Since  $A_n \leq \ell, \ell' - 1$ , we have  $\text{ord}(F_{\mathbf{m}, \mathbf{m}'}^{-k}) = -A_n \geq -(\ell + \ell' - 1)/2$ . Hence we obtain (b). If  $\text{ord}(F_{\mathbf{m}, \mathbf{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then we have  $A_n = \ell = \ell' - 1$ . Since  $A_j + 2 \leq \ell' = A_n + 1$  for  $j < n$ , we have  $n_f = n$  and  $\mathbf{m}' = \tilde{F}_{-k}(\mathbf{m})$ , which is a contradiction.

**Case 2.**  $\mathbf{m}' = \mathbf{m} - \langle -k + 2, k \rangle + \langle -k, k \rangle$ .

In this case we have

$$F_{\mathbf{m}, \mathbf{m}'}^{-k} = [2m_{-k, k} + 2]q^{\sum_{j>k} (m_{-k+2, j} - m_{-k, j})} \in q^{-A_k - \delta(m_{-k+2, k} \text{ is even})}(1 + q\mathbf{A}_0).$$

(i) Assume that  $m_{-k+2, k}$  is odd. We have  $F_{\mathbf{m}, \mathbf{m}'}^{-k} \in q^{-A_k}(1 + q\mathbf{A}_0)$  and

$$\ell' = \max\{A_j (j > k), A_k + 1, A_j + 2 (j < k)\}.$$

If  $\mathbf{m}' = \tilde{F}_{-k}(\mathbf{m})$ , then  $\ell = A_k$  and (a) holds. Assume that  $\mathbf{m}' \neq \tilde{F}_{-k}(\mathbf{m})$ . We have  $A_k \leq \ell, \ell' - 1$  and hence  $\text{ord}(F_{\mathbf{m}, \mathbf{m}'}^{-k}) = -A_k \geq -(\ell + \ell' - 1)/2$ . If  $\text{ord}(F_{\mathbf{m}, \mathbf{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then  $A_k = \ell = \ell' - 1$ , and we have  $\mathbf{m}' = \tilde{F}_{-k}(\mathbf{m})$ , which is a contradiction.

(ii) Assume that  $m_{-k+2, k}$  is even. Then  $\mathbf{m}' \neq \tilde{F}_{-k}(\mathbf{m})$ ,  $F_{\mathbf{m}, \mathbf{m}'}^{-k} \in q^{-A_k - 1}(1 + q\mathbf{A}_0)$  and

$$\ell' = \max\{A_j (j > k), A_k + 3, A_j + 2 (j < k)\}.$$

We have  $A_k \leq \ell, \ell' - 3$  and hence  $\text{ord}(F_{\mathbf{m}, \mathbf{m}'}^{-k}) = -A_k - 1 \geq -(\ell + \ell' - 1)/2$ . Hence (b) holds. Let us show (c). Assume  $\mathbf{m}' \neq \tilde{F}_{-k}(\mathbf{m})$ , and

$\text{ord}(F_{\mathbf{m}, \mathbf{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ . Then we have  $A_k = \ell = \ell' - 3$ . Hence  $n_f \leq k$  and we have either  $\tilde{F}_{-k}(\mathbf{m}) = \mathbf{m} - \delta_{i \neq k} \langle i, k-2 \rangle + \langle i, k \rangle$  with  $-k+2 < i \leq k$  or  $\tilde{F}_{-k}(\mathbf{m}) = \mathbf{m} - \delta_{k \neq 1} \langle -k+2, k-2 \rangle + \langle -k+2, k \rangle$ . Hence we have  $\xi(\tilde{F}_{-k}(\mathbf{m})) = \pm m_{-k, k} > -m_{-k, k} - 1 = \xi(\mathbf{m}')$ . Hence we obtain (c) (1).

(1) Assume  $\tilde{F}_{-k}(\mathbf{m}) = \mathbf{m} - \delta_{i \neq k} \langle i, k-2 \rangle + \langle i, k \rangle$  with  $-k+2 < i \leq k$ . Then  $k \neq 1$  and  $\tilde{E}_{-k}(\tilde{F}_{-k}(\mathbf{m})) = \tilde{F}_{-k}(\mathbf{m}) - \langle i, k \rangle + \delta_{i \neq k} \langle i, k-2 \rangle$ . Hence  $n_e(\tilde{F}_{-k}(\mathbf{m})) = i - 2 < k$ . Hence  $\tilde{F}_{-k}(\mathbf{m}) \in B''$ . Therefore we obtain (c) (2).

(2) Assume  $\tilde{F}_{-k}(\mathbf{m}) = \mathbf{m} - \delta_{k \neq 1} \langle -k+2, k-2 \rangle + \langle -k+2, k \rangle$ . Then  $m_{-k+2, k}(\tilde{F}_{-k}(\mathbf{m})) = m_{-k+2, k} + 1$  is odd. Hence  $\tilde{F}_{-k}(\mathbf{m}) \in B''$ .

**Case 3.**  $\mathbf{m}' = \mathbf{m} - \delta_{k \neq 1} \langle -k+2, k-2 \rangle + \langle -k+2, k \rangle$ . In this case, we have

$$F_{\mathbf{m}, \mathbf{m}'}^{-k} = [m_{-k+2, k} + 1] q^{\sum_{j>k} (m_{-k+2, j-m_{-k, j}}) + m_{-k+2, k} - 2m_{-k, k}} \in q^{-A_k + \delta(m_{-k+2, k} \text{ is odd})} (1 + q\mathbf{A}_0).$$

(i) If  $m_{-k+2, k}$  is odd, then  $\mathbf{m}' \neq \tilde{F}_{-k}(\mathbf{m})$ ,  $F_{\mathbf{m}, \mathbf{m}'}^{-k} \in q^{-A_k+1}(1 + q\mathbf{A}_0)$ , and

$$\ell' = \max\{A_j \ (j > k), A_k - 1, A_j + 2 \ (j < k)\}.$$

We have  $A_k \leq \ell, \ell' + 1$  and hence  $\text{ord}(F_{\mathbf{m}, \mathbf{m}'}^{-k}) = -A_k + 1 \geq -(\ell + \ell' - 1)/2$ . If  $\text{ord}(F_{\mathbf{m}, \mathbf{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then  $A_k = \ell = \ell' + 1$ , and  $n_f = k$ . Hence we obtain (c) (2), and  $\tilde{F}_{-k}(\mathbf{m}) = \mathbf{m} - \langle -k+2, k \rangle + \langle -k, k \rangle$ . Hence  $\xi(\tilde{F}_{-k}(\mathbf{m})) = m_{-k, k} + 1 > m_{-k, k} = \xi(\mathbf{m}')$ . Hence we obtain (c) (1).

(ii) If  $m_{-k+2, k}$  is even, then  $F_{\mathbf{m}, \mathbf{m}'}^{-k} \in q^{-A_k}(1 + q\mathbf{A}_0)$  and

$$\ell' = \max\{A_j \ (j > k), A_k + 1, A_j + 2 \ (j < k)\}.$$

If  $\mathbf{m}' = \tilde{F}_{-k}(\mathbf{m})$ , then  $\ell = A_k$  and (a) is satisfied. Assume  $\mathbf{m}' \neq \tilde{F}_{-k}(\mathbf{m})$ . We have  $A_k \leq \ell, \ell' - 1$  and hence  $\text{ord}(F_{\mathbf{m}, \mathbf{m}'}^{-k}) = -A_k \geq -(\ell + \ell' - 1)/2$ . If  $\text{ord}(F_{\mathbf{m}, \mathbf{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then  $A_k = \ell = \ell' - 1$ , and hence  $\mathbf{m}' = \tilde{F}_{-k}(\mathbf{m})$ , which is a contradiction.

**Case 4.**  $\mathbf{m}' = \mathbf{m} - \delta_{i \neq k} \langle i, k-2 \rangle + \langle i, k \rangle$  for  $-k+2 < i \leq k$ . We have

$$F_{\mathbf{m}, \mathbf{m}'}^{-k} = [m_{i, k} + 1] \times q^{\sum_{j>k} (m_{-k+2, j-m_{-k, j}}) + 2m_{-k+2, k} - 2m_{-k, k} + \sum_{-k+2 < j < i} (m_{j, k-2-m_{j, k}})} \in q^{-A_{i-2}} (1 + q\mathbf{A}_0),$$

and

$$\ell' = \max\{A_j \ (j \geq k), A_j \ (j < i - 2), A_{i-2} + 1, A_j + 2 \ (i - 2 < j \leq k - 2)\}.$$

If  $\mathbf{m}' = \tilde{F}_{-k}(\mathbf{m})$ , then  $\ell = A_{i-2}$  and (a) holds. Assume  $\mathbf{m}' \neq \tilde{F}_{-k}(\mathbf{m})$ . Since  $A_{i-2} \leq \ell, \ell' - 1$ , we have  $\text{ord}(F_{\mathbf{m}, \mathbf{m}'}^{-k}) = -A_{i-2} \geq -(\ell + \ell' - 1)/2$ . Hence we obtain (b). If  $\text{ord}(F_{\mathbf{m}, \mathbf{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then we have  $A_{i-2} = \ell = \ell' - 1$ . Hence  $\mathbf{m}' = \tilde{F}_{-k}(\mathbf{m})$ , which is a contradiction. □

**Proposition 4.13.** *Suppose  $k > 0$ . The conditions (4.10), (4.12), and (4.15) hold, namely, we have*

- (a) if  $\mathbf{m}' = \tilde{E}_{-k}(\mathbf{m})$ , then  $E_{\mathbf{m}, \mathbf{m}'}^{-k} \in q^{1-\ell}(1 + q\mathbf{A}_0)$ ,
- (b) if  $\mathbf{m}' \neq \tilde{E}_{-k}(\mathbf{m})$ , then  $\text{ord}(E_{\mathbf{m}, \mathbf{m}'}^{-k}) \geq 1 - \ell + e(\ell - 1 - \ell') = -(\ell + \ell' - 1)/2$ ,
- (c) if  $\mathbf{m}' \neq \tilde{E}_{-k}(\mathbf{m})$ ,  $\ell \leq \ell' + 1$  and  $\text{ord}(E_{\mathbf{m}, \mathbf{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then  $b \notin B''$ .

*Proof.* The proof is similar to the one of the above proposition.

We shall write  $A_j$  for  $A_j^{-k}(\mathbf{m})$ . Let  $n_e$  be as in Definition 4.5 (ii).

Note that  $E_{\mathbf{m}, \tilde{E}_{-k}(\mathbf{m})}^{-k} \neq 0$  if  $\tilde{E}_{-k}(\mathbf{m}) \neq 0$ . If  $E_{\mathbf{m}, \mathbf{m}'}^{-k} \neq 0$ , we have the following five cases.

**Case 1.**  $\mathbf{m}' = \mathbf{m} - \langle -k, n \rangle + \langle -k + 2, n \rangle$  for  $n > k$ .

In this case, we have

$$E_{\mathbf{m}, \mathbf{m}'}^{-k} = (1 - q^2)[m_{-k+2, n} + 1]q^{1+\sum_{j \geq n} (m_{-k+2, j} - m_{-k, j})} \in q^{1-A_n}(1 + q\mathbf{A}_0)$$

and

$$\begin{aligned} \ell &= \max\{A_j(j \geq -k + 2)\}, \\ \ell' &= \max\{A_j \ (j > n), A_n - 1, A_j - 2 \ (j < n)\}. \end{aligned}$$

If  $\mathbf{m}' = \tilde{E}_{-k}(\mathbf{m})$ , then  $\ell = A_n$  and we obtain (a). Assume  $\mathbf{m}' \neq \tilde{E}_{-k}(\mathbf{m})$ . Since  $A_n \leq \ell, \ell' + 1$ , we have  $\text{ord}(E_{\mathbf{m}, \mathbf{m}'}^{-k}) = 1 - A_n \geq -(\ell + \ell' - 1)/2$ . Hence we obtain (b). If  $\text{ord}(E_{\mathbf{m}, \mathbf{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then we have  $A_n = \ell = \ell' + 1$ . Since  $A_j \leq \ell' = A_n - 1$  for  $j > n$ , we have  $n_e = n$  and  $\mathbf{m}' = \tilde{E}_{-k}(\mathbf{m})$ , which is a contradiction.

**Case 2.**  $\mathbf{m}' = \mathbf{m} - \langle -k, k \rangle + \langle -k + 2, k \rangle$ .

In this case we have

$$\begin{aligned} E_{\mathbf{m}, \mathbf{m}'}^{-k} &= (1 - q^2)[m_{-k+2, k} + 1]q^{1+\sum_{j > k} (m_{-k+2, j} - m_{-k, j}) + m_{-k+2, k} - 2m_{-k, k}} \\ &\in q^{1-A_k + \delta(m_{-k+2, k} \text{ is odd})}(1 + q\mathbf{A}_0). \end{aligned}$$

- (i) Assume that  $m_{-k+2,k}$  is odd. Then  $\mathfrak{m}' \neq \tilde{E}_{-k}(\mathfrak{m})$ ,  $E_{\mathfrak{m},\mathfrak{m}'}^{-k} \in q^{2-A_k}(1+q\mathbf{A}_0)$  and

$$\ell' = \max\{A_j \ (j > k), A_k - 3, A_j - 2 \ (j < k)\}.$$

We have  $A_k \leq \ell, \ell' + 3$  and hence  $\text{ord}(E_{\mathfrak{m},\mathfrak{m}'}^{-k}) = 2 - A_k \geq -(\ell + \ell' - 1)/2$ . Hence (b) holds. If  $\text{ord}(E_{\mathfrak{m},\mathfrak{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then  $A_k = \ell = \ell' + 3$ . Hence  $\ell > \ell' + 1$  and (c) holds.

- (ii) Assume that  $m_{-k+2,k}$  is even. Then  $E_{\mathfrak{m},\mathfrak{m}'}^{-k} \in q^{1-A_k}(1+q\mathbf{A}_0)$  and

$$\ell' = \max\{A_j \ (j > k), A_k - 1, A_j - 2 \ (j < k)\}.$$

If  $\mathfrak{m}' = \tilde{E}_{-k}(\mathfrak{m})$ , then  $\ell = A_k$ , and we obtain (a). Assume  $\mathfrak{m}' \neq \tilde{E}_{-k}(\mathfrak{m})$ . We have  $A_k \leq \ell, \ell' + 1$  and hence  $\text{ord}(E_{\mathfrak{m},\mathfrak{m}'}^{-k}) = 1 - A_k \geq -(\ell + \ell' - 1)/2$ . If  $\text{ord}(E_{\mathfrak{m},\mathfrak{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then  $A_k = \ell = \ell' + 1$  and  $n_e = k$ . Hence  $\mathfrak{m}' = \tilde{E}_{-k}(\mathfrak{m})$ , which is a contradiction.

**Case 3.**  $\mathfrak{m}' = \mathfrak{m} - \langle -k + 2, k \rangle + \delta_{k \neq 1} \langle -k + 2, k - 2 \rangle$ . If  $k \neq 1$ , we have

$$\begin{aligned} E_{\mathfrak{m},\mathfrak{m}'}^{-k} &= (1 - q^2)[2(m_{-k+2,k-2} + 1)]q^{1+\sum_{j>k}(m_{-k+2,j}-m_{-k,j})+2m_{-k+2,k-2}-2m_{-k,k}} \\ &\in q^{-A_k+\delta(m_{-k+2,k} \text{ is odd})}(1 + q\mathbf{A}_0). \end{aligned}$$

If  $k = 1$ , we have

$$E_{\mathfrak{m},\mathfrak{m}'}^{-k} = q^{\sum_{j>k}(m_{-k+2,j}-m_{-k,j})-2m_{-k,k}} = q^{-A_k+\delta(m_{-k+2,k} \text{ is odd})}.$$

In the both cases, we have

$$E_{\mathfrak{m},\mathfrak{m}'}^{-k} \in q^{-A_k+\delta(m_{-k+2,k} \text{ is odd})}(1 + q\mathbf{A}_0).$$

- (i) If  $m_{-k+2,k}$  is odd, then  $E_{\mathfrak{m},\mathfrak{m}'}^{-k} \in q^{1-A_k}(1 + q\mathbf{A}_0)$  and

$$\ell' = \max\{A_j \ (j > k), A_k - 1, A_j - 2 \ (j < k)\}.$$

If  $\mathfrak{m}' = \tilde{E}_{-k}(\mathfrak{m})$ , then  $\ell = A_k$  and (a) is satisfied. We have  $A_k \leq \ell, \ell' + 1$  and hence  $\text{ord}(E_{\mathfrak{m},\mathfrak{m}'}^{-k}) = 1 - A_k \geq -(\ell + \ell' - 1)/2$ . Assume  $\mathfrak{m}' \neq \tilde{E}_{-k}(\mathfrak{m})$ . If  $\text{ord}(E_{\mathfrak{m},\mathfrak{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then  $A_k = \ell = \ell' + 1$ , and  $n_e = k$ . Hence  $\mathfrak{m}' = \tilde{E}_{-k}(\mathfrak{m})$ , which is a contradiction.

- (ii) If  $m_{-k+2,k}$  is even, then  $\mathfrak{m}' \neq \tilde{E}_{-k}(\mathfrak{m})$ ,  $E_{\mathfrak{m},\mathfrak{m}'}^{-k} \in q^{-A_k}(1 + q\mathbf{A}_0)$ , and

$$\ell' = \max\{A_j \ (j > k), A_k + 1, A_j - 2 \ (j < k)\}.$$

We have  $A_k \leq \ell, \ell' - 1$  and hence  $\text{ord}(E_{\mathfrak{m},\mathfrak{m}'}^{-k}) = -A_k \geq -(\ell + \ell' - 1)/2$ . Hence we obtain (b). If  $\text{ord}(E_{\mathfrak{m},\mathfrak{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then  $A_k = \ell = \ell' - 1$ . Hence  $n_e(\mathfrak{m}) \geq k$  and  $m_{-k+2,k}(\mathfrak{m})$  is even. Hence  $\mathfrak{m} \notin B''$ .

**Case 4.**  $\mathbf{m}' = \mathbf{m} - \langle i, k \rangle + \langle i, k - 2 \rangle$  for  $-k + 2 < i \leq k - 2$ .

We have

$$\begin{aligned} E_{\mathbf{m}, \mathbf{m}'}^{-k} &= (1 - q^2)[m_{i, k-2} + 1] \\ &\quad \times q^{1 + \sum_{j>k} (m_{-k+2, j} - m_{-k, j}) + 2m_{-k+2, k-2} - 2m_{-k, k} + \sum_{-k+2 < j \leq i} (m_{j, k-2} - m_{j, k})} \\ &\in q^{1 - A_{i-2}}(1 + q\mathbf{A}_0), \end{aligned}$$

and

$$\ell' = \max\{A_j \ (j \geq k), A_j \ (j < i - 2), A_{i-2} - 1, A_j - 2 \ (i \leq j \leq k - 2)\}.$$

If  $\mathbf{m}' = \tilde{E}_{-k}(\mathbf{m})$ , then  $\ell = A_{i-2}$  and (a) holds. Assume  $\mathbf{m}' \neq \tilde{E}_{-k}(\mathbf{m})$ . Since  $A_{i-2} \leq \ell, \ell' + 1$ , we have  $\text{ord}(E_{\mathbf{m}, \mathbf{m}'}^{-k}) = 1 - A_{i-2} \geq -(\ell + \ell' - 1)/2$ . Hence we obtain (b). If  $\text{ord}(E_{\mathbf{m}, \mathbf{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then we have  $A_{i-2} = \ell = \ell' + 1$ . Hence  $\mathbf{m}' = \tilde{E}_{-k}(\mathbf{m})$ , which is a contradiction.

**Case 5.**  $k \neq 1$  and  $\mathbf{m}' = \mathbf{m} - \langle k \rangle$ . In this case,

$$\begin{aligned} E_{\mathbf{m}, \mathbf{m}'}^{-k} &= q^{\sum_{j>k} (m_{-k+2, j} - m_{-k, j}) - 2m_{-k, k} + 1 - m_{k, k} + 2m_{-k+2, k-2} + \sum_{-k+2 < i \leq k-2} (m_{i, k-2} - m_{i, k})} \\ &\in q^{1 - A_{k-2}}(1 + q\mathbf{A}_0), \end{aligned}$$

and

$$\ell' = \max\{A_j \ (j \neq k - 2), A_{k-2} - 1\}.$$

If  $\mathbf{m}' = \tilde{E}_{-k}(\mathbf{m})$ , then  $\ell = A_{k-2}$  and (a) holds. Assume  $\mathbf{m}' \neq \tilde{E}_{-k}(\mathbf{m})$ . Since  $A_{k-2} \leq \ell, \ell' + 1$ , we have  $\text{ord}(E_{\mathbf{m}, \mathbf{m}'}^{-k}) = 1 - A_{k-2} \geq -(\ell + \ell' - 1)/2$ . Hence we obtain (b). If  $\text{ord}(E_{\mathbf{m}, \mathbf{m}'}^{-k}) = -(\ell + \ell' - 1)/2$ , then we have  $A_{k-2} = \ell = \ell' + 1$ . Hence  $\mathbf{m}' = \tilde{E}_{-k}(\mathbf{m})$ , which is a contradiction.  $\square$

**Proposition 4.14.** *Let  $k \in I_{>0}$ . Then the conditions in Corollary 4.4 holds for  $\tilde{E}_k, \tilde{F}_k$  and  $\varepsilon_k$ , with the same functions  $c, e, f$ .*

Since the proof is similar to and simpler than the one of the preceding two propositions, we omit the proof.

As a corollary we have the following result. We write  $\phi$  for the generator  $\phi_0$  of  $V_\theta(0)$  for short.

**Theorem 4.15.**

(i) *The morphism*

$$\tilde{V}_\theta(0) := U_q^-(\mathfrak{g}) / \sum_{k \in I} U_q^-(\mathfrak{g})(f_k - f_{-k}) \rightarrow V_\theta(0)$$

*is an isomorphism.*



(ii)  $\{P_\theta(\mathbf{m})\phi\}_{\mathbf{m} \in \mathcal{M}_\theta}$  is a basis of the  $\mathbf{K}$ -vector space  $V_\theta(0)$ .

(iii) Set

$$L_\theta(0) := \sum_{\ell \geq 0, i_1, \dots, i_\ell \in I} \mathbf{A}_0 \tilde{F}_{i_1} \cdots \tilde{F}_{i_\ell} \phi \subset V_\theta(0),$$

$$B_\theta(0) = \left\{ \tilde{F}_{i_1} \cdots \tilde{F}_{i_\ell} \phi \bmod qL_\theta(0) \mid \ell \geq 0, i_1, \dots, i_\ell \in I \right\}.$$

Then,  $B_\theta(0)$  is a basis of  $L_\theta(0)/qL_\theta(0)$  and  $(L_\theta(0), B_\theta(0))$  is a crystal basis of  $V_\theta(0)$ , and the crystal structure coincides with the one of  $\mathcal{M}_\theta$ .

(iv) More precisely, we have

- (a)  $L_\theta(0) = \bigoplus_{\mathbf{m} \in \mathcal{M}_\theta} \mathbf{A}_0 P_\theta(\mathbf{m})\phi,$
- (b)  $B_\theta(0) = \{P_\theta(\mathbf{m})\phi \bmod qL_\theta(0) \mid \mathbf{m} \in \mathcal{M}_\theta\},$
- (c) for any  $k \in I$  and  $\mathbf{m} \in \mathcal{M}_\theta$ , we have
  - (1)  $\tilde{F}_k P_\theta(\mathbf{m})\phi \equiv P_\theta(\tilde{F}_k(\mathbf{m}))\phi \bmod qL_\theta(0),$
  - (2)  $\tilde{E}_k P_\theta(\mathbf{m})\phi \equiv P_\theta(\tilde{E}_k(\mathbf{m}))\phi \bmod qL_\theta(0),$   
where we understand  $P_\theta(0) = 0,$
  - (3)  $\tilde{E}_k^n P_\theta(\mathbf{m})\phi \in qL_\theta(0)$  if and only if  $n > \varepsilon_k(\mathbf{m}).$

*Proof.* Let us recall that  $P_\theta(\mathbf{m})\phi \in V_\theta(0)$  is the image of  $\tilde{P}_\theta(\mathbf{m}) \in \tilde{V}_\theta(0)$ . By Theorem 3.21,  $\{\tilde{P}_\theta(\mathbf{m})\}_{\mathbf{m} \in \mathcal{M}_\theta}$  generates  $\tilde{V}_\theta(0)$ . Let us set  $\tilde{L} = \sum_{\mathbf{m} \in \mathcal{M}_\theta} \mathbf{A}_0 \tilde{P}_\theta(\mathbf{m}) \subset \tilde{V}_\theta(0)$ . Then Theorem 4.1 implies that

$$\tilde{F}_k \tilde{P}_\theta(\mathbf{m}) \equiv \tilde{P}_\theta(\tilde{F}_k(\mathbf{m})) \bmod q\tilde{L} \text{ and } \tilde{E}_k \tilde{P}_\theta(\mathbf{m}) \equiv \tilde{P}_\theta(\tilde{E}_k(\mathbf{m})) \bmod q\tilde{L}.$$

Hence the similar results hold for  $L_0 := \sum_{\mathbf{m} \in \mathcal{M}_\theta} \mathbf{A}_0 P_\theta(\mathbf{m})\phi \subset V_\theta(0)$  and  $P_\theta(\mathbf{m})\phi$ .

Let us show that

(A)  $\{P_\theta(\mathbf{m})\phi \bmod qL_0\}_{\mathbf{m} \in \mathcal{M}_\theta}$  is linearly independent in  $L_0/qL_0,$

by the induction of the  $\theta$ -weight (see Remark 2.12). Assume that we have a linear relation  $\sum_{\mathbf{m} \in S} a_{\mathbf{m}} P_\theta(\mathbf{m})\phi \equiv 0 \bmod qL_0$  for a finite subset  $S$  and  $a_{\mathbf{m}} \in \mathbb{Q} \setminus \{0\}$ . We may assume that all  $\mathbf{m}$  in  $S$  have the same  $\theta$ -weight. Take  $\mathbf{m}_0 \in S$ . If  $\mathbf{m}_0$  is the empty multisegment  $\emptyset$ , then  $S = \{\emptyset\}$  and  $P_\theta(\mathbf{m}_0)\phi = \phi$  is non-zero, which is a contradiction. Otherwise, there exists  $k$  such that  $\varepsilon_k(\mathbf{m}_0) > 0$  by Lemma 4.11. Applying  $\tilde{E}_k$ , we have  $\sum_{\mathbf{m} \in S} a_{\mathbf{m}} \tilde{E}_k P_\theta(\mathbf{m})\phi \equiv \sum_{\mathbf{m} \in S, \tilde{E}_k(\mathbf{m}) \neq 0} a_{\mathbf{m}} P_\theta(\tilde{E}_k(\mathbf{m}))\phi \equiv 0 \bmod qL_0$ . Since  $\tilde{E}_k(\mathbf{m})$  ( $\tilde{E}_k(\mathbf{m}) \neq 0$ ) are mutually distinct, we have  $a_{\mathbf{m}_0} = 0$  by the induction hypothesis. It is a contradiction.

Thus we have proved (A). Hence  $\{P_\theta(\mathbf{m})\phi\}_{\mathbf{m} \in \mathcal{M}_\theta}$  is a basis of  $V_\theta(0)$ , which implies that  $\{\tilde{P}_\theta(\mathbf{m})\}_{\mathbf{m} \in \mathcal{M}_\theta}$  is a basis of  $\tilde{V}_\theta(0)$ . Thus we obtain (i) and (ii).

Let us show (iv) (a). Since  $\tilde{F}_{i_1} \cdots \tilde{F}_{i_\ell} \phi \equiv P_\theta(\tilde{F}_{i_1} \cdots \tilde{F}_{i_\ell} \emptyset) \phi \pmod{qL_0}$ , we have  $L_\theta(0) \subset L_0$  and  $L_0 \subset L_\theta(0) + qL_0$ . Hence Nakayama's lemma implies  $L_0 = L_\theta(0)$ . The other statements are now obvious.  $\square$

### §5. Global Basis of $V_\theta(0)$

#### §5.1. Integral form of $V_\theta(0)$

In this section, we shall prove that  $V_\theta(0)$  has a lower global basis. In order to see this, we shall first prove that  $\{P_\theta(\mathbf{m})\phi\}_{\mathbf{m} \in \mathcal{M}_\theta}$  is a basis of the  $\mathbf{A}$ -module  $V_\theta(0)_\mathbf{A}$ . Recall that  $\mathbf{A} = \mathbb{Q}[q, q^{-1}]$ , and  $V_\theta(0)_\mathbf{A} = U_q^-(\mathfrak{gl}_\infty)_\mathbf{A} \phi$ .

**Lemma 5.1.**  $V_\theta(0)_\mathbf{A} = \bigoplus_{\mathbf{m} \in \mathcal{M}_\theta} \mathbf{A}P_\theta(\mathbf{m})\phi.$

*Proof.* It is clear that  $\bigoplus_{\mathbf{m} \in \mathcal{M}_\theta} \mathbf{A}P_\theta(\mathbf{m})\phi$  is stable by the actions of  $F_k^{(n)}$  by Proposition 3.20. Hence we obtain  $V_\theta(0)_\mathbf{A} \subset \bigoplus_{\mathbf{m} \in \mathcal{M}_\theta} \mathbf{A}P_\theta(\mathbf{m})\phi.$

We shall prove  $P_\theta(\mathbf{m})\phi \in U_q^-(\mathfrak{gl}_\infty)_\mathbf{A} \phi$ . It is well-known that  $\langle i, j \rangle^{(m)}$  is contained in  $U_q^-(\mathfrak{gl}_\infty)_\mathbf{A}$ , which is also seen by Proposition 3.20 (3). We divide  $\mathbf{m}$  as  $\mathbf{m} = \mathbf{m}_1 + \mathbf{m}_2$ , where  $\mathbf{m}_1 = \sum_{-j < i \leq j} m_{ij} \langle i, j \rangle$  and  $\mathbf{m}_2 = \sum_{k > 0} m_k \langle -k, k \rangle$ . Then  $P_\theta(\mathbf{m}) = P(\mathbf{m}_1)P_\theta(\mathbf{m}_2)$  and  $P(\mathbf{m}_1) \in U_q^-(\mathfrak{gl}_\infty)_\mathbf{A}$ . Hence we may assume from the beginning that  $\mathbf{m} = \sum_{0 < k \leq a} m_k \langle -k, k \rangle$ . We shall show that  $P_\theta(\mathbf{m})\phi \in V_\theta(0)_\mathbf{A}$  by the induction on  $a$ .

Assume  $a > 1$ . Set  $\mathbf{m}' = \sum_{0 < k \leq a-4} m_k \langle -k, k \rangle$  and  $v = P_\theta(\mathbf{m}')\phi$ . Then  $\langle -a + 2, a - 2 \rangle^{[m]} v \in V_\theta(0)_\mathbf{A}$  for any  $m$  by the induction hypothesis.

We shall show that  $\langle -a, a \rangle^{[n]} \langle -a + 2, a - 2 \rangle^{[m]} v$  is contained in  $V_\theta(0)_\mathbf{A}$  by the induction on  $n$ . Since  $P_\theta(\mathbf{m}')$  commutes with  $\langle a \rangle, \langle -a \rangle, \langle -a + 2, a - 2 \rangle, \langle -a + 2, a \rangle$  and  $\langle -a, a \rangle$ , Proposition 3.20 (2) implies

$$\begin{aligned} & \langle -a \rangle^{(2n)} \langle -a + 2, a - 2 \rangle^{[n+m]} v \\ &= \sum_{i+j+2t=2n, j+t=u} q^{2(n+m)i+j(j-1)/2-i(t+u)} \\ & \quad \times \langle a \rangle^{(i)} \langle -a + 2, a \rangle^{(j)} \langle -a, a \rangle^{[t]} \langle -a + 2, -2 \rangle^{[n+m-u]} v, \end{aligned}$$

which is contained in  $V_\theta(0)_\mathbf{A}$ . Since we have

$$\langle a \rangle^{(i)} \langle -a + 2, a \rangle^{(j)} \langle -a, a \rangle^{[t]} \langle -a + 2, a - 2 \rangle^{[n+m-u]} v \in V_\theta(0)_\mathbf{A}$$

if  $(i, j, t, u) \neq (0, 0, n, n)$  by the induction hypothesis on  $n$ ,  $\langle -a, a \rangle^{[n]} \langle -a + 2, a - 2 \rangle^{[m]} v$  is contained in  $V_\theta(0)_\mathbf{A}$ .

If  $a = 1$ , we similarly prove  $P_\theta(\mathbf{m})\phi \in V_\theta(0)_\mathbf{A}$  using Proposition 3.20 (1) instead of (2).  $\square$

**§5.2. Conjugate of the PBW basis**

We will prove that the bar involution is upper triangular with respect to the PBW basis  $\{P_\theta(\mathbf{m})\}_{\mathbf{m} \in \mathcal{M}_\theta}$ .

First we shall prove Theorem 3.10 (4).

For  $a, b \in \mathcal{M}$  such that  $a \leq b$ , we denote by  $\mathcal{M}_{[a,b]}$  (resp.  $\mathcal{M}_{\leq b}$ ) the set of  $\mathbf{m} \in \mathcal{M}$  of the form  $\mathbf{m} = \sum_{a \leq i \leq j \leq b} m_{i,j} \langle i, j \rangle$  (resp.  $\mathbf{m} = \sum_{i \leq j \leq b} m_{i,j} \langle i, j \rangle$ ). Similarly we define  $(\mathcal{M}_\theta)_{\leq b}$ . For a multisegment  $\mathbf{m} \in \mathcal{M}_{\leq b}$ , we divide  $\mathbf{m}$  into  $\mathbf{m} = \mathbf{m}_b + \mathbf{m}_{<b}$ , where  $\mathbf{m}_b = \sum_{i \leq b} m_{i,j} \langle i, b \rangle$  and  $\mathbf{m}_{<b} = \sum_{i \leq j < b} m_{i,j} \langle i, j \rangle$ .

**Lemma 5.2.** *For  $n \geq 0$  and  $a, b \in I$  such that  $a \leq b$ , we have*

$$\overline{\langle a, b \rangle^{(n)}} \in \langle a, b \rangle^{(n)} + \sum_{\substack{\mathbf{m} < n \langle a, b \rangle \\ \text{cry}}} \mathbf{K}P(\mathbf{m}).$$

*Proof.* We shall first show

$$(5.1) \quad \overline{\langle a, b \rangle} \in \langle a, b \rangle + \sum_{a+2 \leq k \leq b} \langle k, b \rangle U_q^-(\mathfrak{g})$$

by the induction on  $b - a$ . If  $a = b$ , it is trivial. If  $a < b$ , we have

$$\begin{aligned} \overline{\langle a, b \rangle} &= \langle a \rangle \overline{\langle a+2, b \rangle} - q^{-1} \overline{\langle a+2, b \rangle} \langle a \rangle \\ &\in \langle a \rangle \left( \langle a+2, b \rangle + \sum_{a+2 < k \leq b} \langle k, b \rangle U_q^-(\mathfrak{g}) \right) \\ &\quad - q^{-1} \left( \langle a+2, b \rangle + \sum_{a+2 < k \leq b} \langle k, b \rangle U_q^-(\mathfrak{g}) \right) \langle a \rangle \\ &\subset \langle a, b \rangle + (q - q^{-1}) \langle a+2, b \rangle \langle a \rangle + \sum_{a+2 < k \leq b} (\langle k, b \rangle \langle a \rangle U_q^-(\mathfrak{g}) + \langle k, b \rangle U_q^-(\mathfrak{g}) \langle a \rangle). \end{aligned}$$

Hence we obtain (5.1). We shall show the lemma by the induction on  $n$ . We may assume  $n > 0$  and

$$\overline{\langle a, b \rangle^{n-1}} \in \langle a, b \rangle^{n-1} + \sum_{\substack{\mathbf{m} < (n-1) \langle a, b \rangle \\ \text{cry}}} \mathbf{K}P(\mathbf{m}).$$

Hence we have

$$\overline{\langle a, b \rangle^n} = \overline{\langle a, b \rangle} \overline{\langle a, b \rangle^{n-1}} \in \langle a, b \rangle^n + \sum_{a < k \leq b} \langle k, b \rangle U_q^-(\mathfrak{g}) + \sum_{\substack{\mathbf{m} < (n-1) \langle a, b \rangle \\ \text{cry}}} \mathbf{K} \langle a, b \rangle P(\mathbf{m}).$$

For  $a < k \leq b$  and  $\mathbf{m} \in \mathcal{M}$  such that  $\text{wt}(\mathbf{m}) = \text{wt}(n\langle a, b \rangle) - \text{wt}(\langle k, b \rangle)$ , we have  $\mathbf{m} \in \mathcal{M}_{[a,b]}$  and  $\mathbf{m}_b = \sum_{a \leq i \leq b} m_{i,b} \langle i, b \rangle$  with  $\sum_i m_{i,b} = n - 1$ . In particular,  $m_{a,b} \leq n - 1$ . Hence  $\langle k, b \rangle P(\mathbf{m}) \in \mathbf{KP}(\mathbf{m} + \langle k, b \rangle)$  and  $\mathbf{m} + \langle k, b \rangle \underset{\text{cry}}{<} n\langle a, b \rangle$ .

If  $\mathbf{m} \underset{\text{cry}}{<} (n - 1)\langle a, b \rangle$ , then  $\langle a, b \rangle P(\mathbf{m}) \in \mathbf{KP}(\langle a, b \rangle + \mathbf{m})$  and  $\langle a, b \rangle + \mathbf{m} \underset{\text{cry}}{<} n\langle a, b \rangle$ . □

**Proposition 5.3.** For  $\mathbf{m} \in \mathcal{M}$ ,

$$\overline{P(\mathbf{m})} \in P(\mathbf{m}) + \sum_{\substack{\mathbf{n} \leq \mathbf{m} \\ \text{cry}}} \mathbf{KP}(\mathbf{n}).$$

*Proof.* Put  $\mathbf{m} = \sum_{i \leq j \leq b} m_{i,j} \langle i, j \rangle$  and divide  $\mathbf{m} = \mathbf{m}_b + \mathbf{m}_{<b}$ . We prove the claim by the induction on  $b$  and the number of segments in  $\mathbf{m}_b$ . Suppose  $\mathbf{m}_b = m\langle a, b \rangle + \mathbf{m}_1$  with  $m = m_{a,b} > 0$ , where  $\mathbf{m}_1 = \sum_{a < i \leq b} m_{i,b} \langle i, b \rangle$ .

(i) Let us first show that

$$(5.2) \quad \overline{P(\mathbf{m}_b)} \in P(\mathbf{m}_b) + \sum_{\substack{\mathbf{m}' \leq \mathbf{m}_b \\ \text{cry}}} \mathbf{KP}(\mathbf{m}').$$

We have  $\overline{P(\mathbf{m}_b)} = \overline{P(\mathbf{m}_1)} \cdot \overline{\langle a, b \rangle^{(m)}}$ . Since  $\overline{P(\mathbf{m}_1)} \in P(\mathbf{m}_1) + \sum_{\substack{\mathbf{m}'_1 \leq \mathbf{m}_1 \\ \text{cry}}} \mathbf{KP}(\mathbf{m}'_1)$  by the induction hypothesis, and  $\overline{\langle a, b \rangle^{(m)}} \in \langle a, b \rangle^{(m)} + \sum_{\substack{\mathbf{m}'' \leq m\langle a, b \rangle \\ \text{cry}}} \mathbf{KP}(\mathbf{m}'')$ , we have

$$\overline{P(\mathbf{m}_b)} \in P(\mathbf{m}_b) + \sum_{\substack{\mathbf{m}'_1 \leq \mathbf{m}_1, \mathbf{m}'_1 \in \mathcal{M}_{[a+2,b]} \\ \text{cry}}} \mathbf{KP}(\mathbf{m}'_1) \langle a, b \rangle^{(m)} + \sum_{\substack{\mathbf{m}'_1 \leq \mathbf{m}_1, \mathbf{m}'' \leq m\langle a, b \rangle \\ \text{cry}}} \mathbf{KP}(\mathbf{m}'_1) P(\mathbf{m}'').$$

If  $\mathbf{m}'_1 \underset{\text{cry}}{<} \mathbf{m}_1$  and  $\mathbf{m}'_1 \in \mathcal{M}_{[a+2,b]}$ , then  $P((\mathbf{m}'_1)_{<b})$  and  $\langle a, b \rangle^{(m)}$  commute. Hence  $P(\mathbf{m}'_1) \langle a, b \rangle^{(m)} = P(\mathbf{m}'_1 + m\langle a, b \rangle)$  and  $\mathbf{m}'_1 + m\langle a, b \rangle \underset{\text{cry}}{<} \mathbf{m}_b$ .

If  $\mathbf{m}'_1 \leq \mathbf{m}_1$ ,  $\mathbf{m}'_1 \in \mathcal{M}_{[a+2,b]}$  and  $\mathbf{m}'' \underset{\text{cry}}{<} m\langle a, b \rangle$ , then we can write  $\mathbf{m}'' = j\langle a, b \rangle + \mathbf{m}_2$  with  $j < m$  and  $\mathbf{m}_2 \in \mathcal{M}_{[a+2,b]}$ . Hence we have

$$P(\mathbf{m}'_1) P(\mathbf{m}'') \in \mathbf{KP}((\mathbf{m}'_1)_b) P(j\langle a, b \rangle) P((\mathbf{m}'_1)_{<b}) P(\mathbf{m}_2) P(\mathbf{m}''_{<b}).$$

Since  $(\mathbf{m}'_1)_{<b}$ ,  $\mathbf{m}_2 \in \mathcal{M}_{[a+2,b]}$  we have  $P((\mathbf{m}'_1)_{<b}) P(\mathbf{m}_2) P(\mathbf{m}''_{<b}) \in \sum_{\mathbf{n}_b \in \mathcal{M}_{[a+2,b]}} \mathbf{KP}(\mathbf{n})$ .

Hence we have  $P(\mathbf{m}'_1) P(\mathbf{m}'') \in \sum_{\mathbf{n}_b \in \mathcal{M}_{[a+2,b]}} \mathbf{KP}((\mathbf{m}'_1)_b + j\langle a, b \rangle + \mathbf{n})$  and  $(\mathbf{m}'_1)_b + j\langle a, b \rangle + \mathbf{n} \underset{\text{cry}}{<} \mathbf{m}_b$ . Hence we obtain (5.2).

(ii) By the induction hypothesis,  $\overline{P(\mathfrak{m}_{<b})} \in P(\mathfrak{m}_{<b}) + \sum_{\mathfrak{m}'' <_{\text{cry}} \mathfrak{m}_{<b}} \mathbf{K}P(\mathfrak{m}'')$ .

Since  $\overline{P(\mathfrak{m})} = \overline{P(\mathfrak{m}_b)} \overline{P(\mathfrak{m}_{<b})}$ , (5.2) implies that

$$\overline{P(\mathfrak{m})} \in P(\mathfrak{m}) + \sum_{\substack{\mathfrak{m}' < \mathfrak{m}_b, \mathfrak{m}'' \in \mathcal{M}_{<b} \\ \text{cry}}} \mathbf{K}P(\mathfrak{m}')P(\mathfrak{m}'') + \sum_{\substack{\mathfrak{m}'' < \mathfrak{m}_{<b} \\ \text{cry}}} \mathbf{K}P(\mathfrak{m}_b)P(\mathfrak{m}'').$$

For  $\mathfrak{m}' < \mathfrak{m}_b$  and  $\mathfrak{m}'' \in \mathcal{M}_{<b}$ , we have

$$P(\mathfrak{m}')P(\mathfrak{m}'') = P(\mathfrak{m}'_b)P(\mathfrak{m}'_{<b})P(\mathfrak{m}'') \in \sum_{\mathfrak{n} \in \mathcal{M}_{\leq b}, \mathfrak{n}_b = \mathfrak{m}'_b} \mathbf{K}P(\mathfrak{n}) \subset \sum_{\substack{\mathfrak{n} < \mathfrak{m} \\ \text{cry}}} \mathbf{K}P(\mathfrak{n}).$$

For  $\mathfrak{m}'' <_{\text{cry}} \mathfrak{m}_{<b}$ , we have  $P(\mathfrak{m}_b)P(\mathfrak{m}'') = P(\mathfrak{m}_b + \mathfrak{m}'')$  and  $\mathfrak{m}_b + \mathfrak{m}'' < \mathfrak{m}$ . Thus we obtain the desired result.  $\square$

**Proposition 5.4.** For  $\mathfrak{m} \in \mathcal{M}_\theta$ , we have

$$\overline{P_\theta(\mathfrak{m})}\phi \in P_\theta(\mathfrak{m})\phi + \sum_{\mathfrak{m}' \in \mathcal{M}_\theta, \mathfrak{m}' <_{\text{cry}} \mathfrak{m}} \mathbf{K}P_\theta(\mathfrak{m}')\phi.$$

*Proof.* First note that

$$(5.3) \quad P(\mathfrak{m})\phi \in \sum_{\mathfrak{n} \in (\mathcal{M}_\theta)_{\leq b}} \mathbf{K}P_\theta(\mathfrak{n})\phi \quad \text{for any } b \in I_{>0} \text{ and } \mathfrak{m} \in \mathcal{M}_{[-b,b]},$$

by the weight consideration.

For  $\mathfrak{m} \in \mathcal{M}_\theta$ ,  $P_\theta(\mathfrak{m})$  and  $P(\mathfrak{m})$  are equal up to a multiple of bar-invariant scalar. Thus we have

$$\overline{P_\theta(\mathfrak{m})} \in P_\theta(\mathfrak{m}) + \sum_{\mathfrak{m}' \in \mathcal{M}, \mathfrak{m}' <_{\text{cry}} \mathfrak{m}} \mathbf{K}P(\mathfrak{m}')$$

by Proposition 5.3. Hence it is enough to show that

$$(5.4) \quad P(\mathfrak{m}')\phi \in \sum_{\mathfrak{n} \in \mathcal{M}_\theta, \mathfrak{n} <_{\text{cry}} \mathfrak{m}} \mathbf{K}P_\theta(\mathfrak{n})\phi$$

for  $\mathfrak{m}' \in \mathcal{M}$  such that  $\mathfrak{m}' <_{\text{cry}} \mathfrak{m}$  and  $\text{wt}(\mathfrak{m}') = \text{wt}(\mathfrak{m})$ . Put  $\mathfrak{m} = \sum_{i \leq j \leq b} m_{i,j} \langle i, j \rangle$  and write  $\mathfrak{m} = \mathfrak{m}_b + \mathfrak{m}_{<b}$ . We prove (5.4) by the induction on  $b$ . By the assumption on  $\mathfrak{m}'$ , we have  $\mathfrak{m}' \in \mathcal{M}_{[-b,b]}$  and  $\mathfrak{m}'_b \leq \mathfrak{m}_b$ . Thus  $\mathfrak{m}'_b \in \mathcal{M}_\theta$ . Hence  $\mathbf{K}P(\mathfrak{m}')\phi = \mathbf{K}P_\theta(\mathfrak{m}'_b)P(\mathfrak{m}'_{<b})\phi$ .

If  $\mathbf{m}'_b = \mathbf{m}_b$ , then  $\mathbf{m}'_{<b} <_{\text{cry}} \mathbf{m}_{<b}$ , and the induction hypothesis implies  $P(\mathbf{m}'_{<b})\phi \in \sum_{\mathbf{n} \in \mathcal{M}_\theta, \mathbf{n} <_{\text{cry}} \mathbf{m}_{<b}} \mathbf{K}P_\theta(\mathbf{n})\phi$ . Since  $P_\theta(\mathbf{m}'_b)P_\theta(\mathbf{n}) = P_\theta(\mathbf{m}'_b + \mathbf{n})$  and  $\mathbf{m}'_b + \mathbf{n} <_{\text{cry}} \mathbf{m}$ , we obtain (5.4).

If  $\mathbf{m}'_b <_{\text{cry}} \mathbf{m}_b$ , write  $\mathbf{m}' = \sum_{-b \leq i \leq j \leq b} m'_{i,j} \langle i, j \rangle$ . Set  $s = m_{-b,b} - m'_{-b,b} \geq 0$ . Since  $\text{wt}(\mathbf{m}') = \text{wt}(\mathbf{m})$ , we have  $\sum_{j < b} m'_{-b,j} = s$ . If  $s = 0$ , then  $\mathbf{m}'_{<b} \in \mathcal{M}_{[-b+2, b-2]}$ , and  $P(\mathbf{m}'_{<b})\phi \in \sum_{\mathbf{n} \in (\mathcal{M}_\theta)_{<b}} \mathbf{K}P_\theta(\mathbf{n})\phi$  by (5.3). Then (5.4) follows from  $\mathbf{m}'_b + \mathbf{n} <_{\text{cry}} \mathbf{m}$ .

Assume  $s > 0$ . Since  $\mathbf{m}'_{<b} \in \mathcal{M}_{[-b,b]}$ , we have  $P(\mathbf{m}'_{<b})\phi \in \sum_{\mathbf{n} \in (\mathcal{M}_\theta)_{\leq b}} \mathbf{K}P_\theta(\mathbf{n})\phi$  by (5.3). We may assume  $(1 + \theta) \text{wt}(\mathbf{m}'_{<b}) = (1 + \theta) \text{wt}(\mathbf{n})$  (see Remark 2.12). Hence, we have  $s = 2m_{-b,b}(\mathbf{n}) + \sum_{-b < i \leq b} m_{i,b}(\mathbf{n})$ . In particular,  $m_{-b,b}(\mathbf{n}) \leq s/2$ . We have  $\mathbf{m}'_b + \mathbf{n} \in \mathcal{M}_\theta$  and  $P_\theta(\mathbf{m}'_b)P_\theta(\mathbf{n})\phi = P_\theta(\mathbf{m}'_b + \mathbf{n})\phi$ . Since  $m_{-b,b}(\mathbf{m}'_b + \mathbf{n}) \leq (m_{-b,b} - s) + s/2 < m_{-b,b}$ , we have  $\mathbf{m}'_b + \mathbf{n} <_{\text{cry}} \mathbf{m}$ . Hence we obtain (5.4).  $\square$

**§5.3. Existence of a global basis**

As a consequence of the preceding subsections, we obtain the following theorem.

**Theorem 5.5.**

- (i)  $(L_\theta(0), L_\theta(0)^-, V_\theta(0)_\mathbf{A})$  is balanced.
- (ii) For any  $\mathbf{m} \in \mathcal{M}_\theta$ , there exists a unique  $G_\theta^{\text{low}}(\mathbf{m}) \in L_\theta(0) \cap V_\theta(0)_\mathbf{A}$  such that  $\overline{G_\theta^{\text{low}}(\mathbf{m})} = G_\theta^{\text{low}}(\mathbf{m})$  and  $G_\theta^{\text{low}}(\mathbf{m}) \equiv P_\theta(\mathbf{m})\phi \pmod{qL_\theta(0)}$ .
- (iii)  $G_\theta^{\text{low}}(\mathbf{m}) \in P_\theta(\mathbf{m})\phi + \sum_{\mathbf{n} <_{\text{cry}} \mathbf{m}} q\mathbb{Q}[q]P_\theta(\mathbf{n})\phi$  for any  $\mathbf{m} \in \mathcal{M}_\theta$ .
- (iv)  $\{G_\theta^{\text{low}}(\mathbf{m})\}_{\mathbf{m} \in \mathcal{M}_\theta}$  is a basis of the  $\mathbf{A}$ -module  $V_\theta(0)_\mathbf{A}$ , the  $\mathbf{A}_0$ -module  $L_\theta(0)$  and the  $\mathbf{K}$ -vector space  $V_\theta(0)$ .

*Proof.* We have already seen that  $\overline{P_\theta(\mathbf{m})\phi} = \sum_{\mathbf{m}' <_{\text{cry}} \mathbf{m}} c_{\mathbf{m},\mathbf{m}'} P_\theta(\mathbf{m}')\phi$  for  $c_{\mathbf{m},\mathbf{m}'} \in \mathbf{A}$  with  $c_{\mathbf{m},\mathbf{m}} = 1$ . Let us denote by  $C$  the matrix  $(c_{\mathbf{m},\mathbf{m}'})_{\mathbf{m},\mathbf{m}' \in \mathcal{M}_\theta}$ . Then  $\overline{C}C = \text{id}$  and it is well-known that there is a matrix  $A = (a_{\mathbf{m},\mathbf{m}'})_{\mathbf{m},\mathbf{m}' \in \mathcal{M}_\theta}$  such that  $\overline{A}C = A$ ,  $a_{\mathbf{m},\mathbf{m}'} = 0$  unless  $\mathbf{m}' \leq_{\text{cry}} \mathbf{m}$ ,  $a_{\mathbf{m},\mathbf{m}} = 1$  and  $a_{\mathbf{m},\mathbf{m}'} \in q\mathbb{Q}[q]$  for  $\mathbf{m}' <_{\text{cry}} \mathbf{m}$ . Set  $G_\theta^{\text{low}}(\mathbf{m}) = \sum_{\mathbf{m}' <_{\text{cry}} \mathbf{m}} a_{\mathbf{m},\mathbf{m}'} P_\theta(\mathbf{m}')\phi$ . Then we have  $\overline{G_\theta^{\text{low}}(\mathbf{m})} = G_\theta^{\text{low}}(\mathbf{m})$  and  $G_\theta^{\text{low}}(\mathbf{m}) \equiv P_\theta(\mathbf{m})\phi \pmod{qL_\theta(0)}$ . Since  $G_\theta^{\text{low}}(\mathbf{m})$  is a basis of  $V_\theta(0)_\mathbf{A}$ , we obtain the desired results.  $\square$

*Errata to “Symmetric crystals and affine Hecke algebras of type B, Proc. Japan Acad., 82, no. 8, 2006, 131–136” :*

- (i) In Conjecture 3.8,  $\lambda = \Lambda_{p_0} + \Lambda_{p_0^{-1}}$  should be read as  $\lambda = \sum_{a \in A} \Lambda_a$ , where  $A = I \cap \{p_0, p_0^{-1}, -p_0, -p_0^{-1}\}$ . We thank S. Ariki who informed us that the original conjecture is false.
- (ii) In the two diagrams of  $B_\theta(\lambda)$  at the end of §2,  $\lambda$  should be 0.
- (iii) Throughout the paper,  $A_\ell^{(1)}$  should be read as  $A_{\ell-1}^{(1)}$ .

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