

# Jacquet Modules of Principal Series Generated by the Trivial $K$ -Type

By

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## Abstract

We propose a new approach to the study of the Jacquet module of a Harish-Chandra module of a real semisimple Lie group. Using this method, we investigate the structure of the Jacquet module of a principal series representation generated by the trivial  $K$ -type.

## §1. Introduction

Let  $G$  be a real semisimple Lie group. By Casselman's subrepresentation theorem, any irreducible admissible representation  $\mathbb{X}$  is realized as a subrepresentation of a certain non-unitary principal series representation. Such an embedding is a powerful tool to study an irreducible admissible representation but the subrepresentation theorem does not tell us how it can be realized.

Casselman [Cas80] introduced the Jacquet module  $J(\mathbb{X})$  of  $\mathbb{X}$ . This important object retains all information of embedding given by the subrepresentation theorem. For example, Casselman's subrepresentation theorem is equivalent to  $J(\mathbb{X}) \neq 0$ . However the structure of  $J(\mathbb{X})$  is very intricate and difficult to determine. We remark that if  $G$  has real rank one, then Collingwood [Col85] has computed the detailed structure of Jacquet modules.

In this paper we give generators of the Jacquet module of a principal series representation generated by the trivial  $K$ -type. This representation is

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deeply related to the harmonic analysis on the Riemannian symmetric space  $G/K$  [Hel62, KKM<sup>+</sup>78]. Let  $\mathbb{Z}$  be the ring of integers,  $\mathfrak{g}_0$  the Lie algebra of  $G$ ,  $\theta$  a Cartan involution of  $\mathfrak{g}_0$ ,  $\mathfrak{g}_0 = \mathfrak{k}_0 \oplus \mathfrak{a}_0 \oplus \mathfrak{n}_0$  the Iwasawa decomposition of  $\mathfrak{g}_0$ ,  $\mathfrak{m}_0$  the centralizer of  $\mathfrak{a}_0$  in  $\mathfrak{k}_0$ ,  $W$  the little Weyl group for  $(\mathfrak{g}_0, \mathfrak{a}_0)$ ,  $e \in W$  the unit element of  $W$ ,  $\Sigma$  the restricted root system for  $(\mathfrak{g}_0, \mathfrak{a}_0)$ ,  $\mathfrak{g}_{0,\alpha}$  the root space for  $\alpha \in \Sigma$ ,  $\Sigma^+$  the positive system of  $\Sigma$  such that  $\mathfrak{n}_0 = \bigoplus_{\alpha \in \Sigma^+} \mathfrak{g}_{0,\alpha}$ ,  $\rho = \sum_{\alpha \in \Sigma^+} (\dim \mathfrak{g}_{0,\alpha}/2)\alpha$ ,  $\mathcal{P} = \{\sum_{\alpha \in \Sigma^+} n_\alpha \alpha \mid n_\alpha \in \mathbb{Z}\}$ ,  $\mathcal{P}^+ = \{\sum_{\alpha \in \Sigma^+} n_\alpha \alpha \mid n_\alpha \in \mathbb{Z}, n_\alpha \geq 0\}$ . For a Lie algebra  $\mathfrak{h}$ , let  $U(\mathfrak{h})$  be the universal enveloping algebra of  $\mathfrak{h}$ .

For a Harish-Chandra module  $\mathbb{X}$ , the Jacquet module  $J(\mathbb{X})$  of  $\mathbb{X}$  is defined by

$$J(\mathbb{X}) = \left\{ x \in \varprojlim_k \mathbb{X}/\mathfrak{n}_0^k \mathbb{X} \mid \dim U(\mathfrak{a}_0)x < \infty \right\}.$$

In this paper we prove the following theorem.

**Theorem 1.1** (Theorem 4.10, Proposition 5.1). *Let  $\lambda \in \text{Hom}_{\mathbb{R}}(\mathfrak{a}_0, \mathbb{C})$  and  $I(\lambda)$  be the unique principal series representation with an infinitesimal character  $\lambda$  generated by the trivial  $K$ -type. Assume that  $\lambda$  is regular. Set  $\mathcal{W}(w) = \{w' \in W \mid w\lambda - w'\lambda \in 2\mathcal{P}^+\}$  for  $w \in W$ . Then there exist generators  $\{v_w \mid w \in W\}$  of  $J(I(\lambda))$  such that*

$$\begin{cases} (H - (\rho + w\lambda)(H))v_w \in \sum_{w' \in \mathcal{W}(w)} U(\mathfrak{g})v_{w'} \text{ for all } H \in \mathfrak{a}_0, \\ Xv_w \in \sum_{w' \in \mathcal{W}(w)} U(\mathfrak{g})v_{w'} \text{ for all } X \in \mathfrak{m}_0 \oplus \theta(\mathfrak{n}_0). \end{cases}$$

Hence  $v_w$  is a lowest weight vector of  $J(I(\lambda))/\sum_{w' \in \mathcal{W}(w)} U(\mathfrak{g})v_{w'}$ .

Recall the definition of generalized Verma modules. For  $\mu \in \text{Hom}_{\mathbb{R}}(\mathfrak{a}_0, \mathbb{C})$ , let  $\mathbb{C}_\mu$  be the one-dimensional representation of  $\mathfrak{m}_0 \oplus \mathfrak{a}_0 \oplus \theta(\mathfrak{n}_0)$  defined by  $\mathfrak{m}_0 \oplus \mathfrak{a}_0 \oplus \theta(\mathfrak{n}_0) \rightarrow \mathfrak{a}_0 \rightarrow \mathbb{C}$ , where the first map is the projection to the direct summand and the second map is  $\mu$ . Then the generalized Verma module  $M(\mu)$  is defined by  $M(\mu) = U(\mathfrak{g}) \otimes_{U(\mathfrak{m}_0 \oplus \mathfrak{a}_0 \oplus \theta(\mathfrak{n}_0))} \mathbb{C}_{\mu+\rho}$ .

We enumerate  $W = \{w_1, w_2, \dots, w_r\}$  in such a way that  $\text{Re } w_1\lambda \geq \text{Re } w_2\lambda \geq \dots \geq \text{Re } w_r\lambda$ . Set  $V_i = \sum_{j \geq i} U(\mathfrak{g})v_{w_j}$ . Then by Theorem 1.1 we have a surjective map  $M(w_i\lambda) \rightarrow V_i/V_{i+1}$  where  $M(w_i\lambda)$  is the generalized Verma module. This map is isomorphic. Namely we can prove the following theorem.

**Theorem 1.2** (Theorem 5.5). *There exists a filtration  $J(I(\lambda)) = V_1 \supset V_2 \supset \dots \supset V_{r+1} = 0$  of  $J(I(\lambda))$  such that  $V_i/V_{i+1} \simeq M(w_i\lambda)$ . Moreover if  $w\lambda - \lambda \notin 2\mathcal{P}$  for  $w \in W \setminus \{e\}$  then  $J(I(\lambda)) \simeq \bigoplus_{w \in W} M(w\lambda)$ .*

This theorem does not need the assumption that  $\lambda$  is regular. If  $G$  is split and  $I(\lambda)$  is irreducible, Collingwood [Col91] proved Theorem 1.2.

For example, we obtain the following for  $\mathfrak{g}_0 = \mathfrak{sl}(2, \mathbb{R})$ : Choose a basis  $\{H, E_+, E_-\}$  of  $\mathfrak{g}_0$  such that  $\mathbb{R}H = \mathfrak{a}_0, \mathbb{R}E_+ = \mathfrak{n}_0, [H, E_\pm] = \pm E_\pm$  and  $\theta(E_+) = -E_-$ . Then  $\Sigma^+ = \{\alpha\}$  where  $\alpha(H) = 1$ . Let  $\lambda = r\alpha$  for  $r \in \mathbb{C}$ . We may assume  $\operatorname{Re} r \geq 0$ . By Theorems 1.1 and 1.2, we have an exact sequence

$$0 \longrightarrow M(-r\alpha) \longrightarrow J(I(r\alpha)) \longrightarrow M(r\alpha) \longrightarrow 0.$$

Consider the case where  $\lambda$  is integral, i.e.,  $2r \in \mathbb{Z}$ . If  $r \notin \mathbb{Z}$  then this sequence splits by Theorem 1.2. On the other hand, if  $r \in \mathbb{Z}$  then by a direct calculation using the method introduced in this paper we can show it does not split. Notice that  $I(r\alpha)$  is irreducible if and only if  $r \in \mathbb{Z}$ . Then we have the following; if  $\lambda$  is integral then  $J(I(\lambda))$  is isomorphic to the direct sum of generalized Verma modules if and only if  $I(\lambda)$  is reducible.

We summarize the content of this paper. In Section 2, we prove our main theorem for the case  $G = SL(2, \mathbb{R})$ . We do not need this section later, but it serves as a prototype for the arguments that follow. We begin to treat the general case from Section 3 on. In Section 3 we show fundamental properties of Jacquet modules and introduce a certain extension of the universal enveloping algebra. We construct special elements in the Jacquet module in Section 4. In Section 5 we prove our main theorem in the case of a regular infinitesimal character using the result of Section 4. We complete the proof in Section 6 using the translation principle.

**Notation**

Throughout this paper we use the following notation. As usual we denote the ring of integers, the set of non-negative integers, the set of positive integers, the real number field and the complex number field by  $\mathbb{Z}, \mathbb{Z}_{\geq 0}, \mathbb{Z}_{> 0}, \mathbb{R}$  and  $\mathbb{C}$  respectively. Let  $\mathfrak{g}_0$  be a real semisimple Lie algebra. Fix a Cartan involution  $\theta$  of  $\mathfrak{g}_0$ . Let  $\mathfrak{g}_0 = \mathfrak{k}_0 \oplus \mathfrak{s}_0$  be the decomposition of  $\mathfrak{g}_0$  into the +1 and -1 eigenspaces for  $\theta$ . Take a maximal abelian subspace  $\mathfrak{a}_0$  of  $\mathfrak{s}_0$  and let  $\mathfrak{g}_0 = \mathfrak{k}_0 \oplus \mathfrak{a}_0 \oplus \mathfrak{n}_0$  be the corresponding Iwasawa decomposition of  $\mathfrak{g}_0$ . Set  $\mathfrak{m}_0 = \{X \in \mathfrak{k}_0 \mid [H, X] = 0 \text{ for all } H \in \mathfrak{a}_0\}$ . Then  $\mathfrak{p}_0 = \mathfrak{m}_0 \oplus \mathfrak{a}_0 \oplus \mathfrak{n}_0$  is a minimal parabolic subalgebra of  $\mathfrak{g}_0$ . Write  $\mathfrak{g}$  for the complexification of  $\mathfrak{g}_0$  and  $U(\mathfrak{g})$  for the universal enveloping algebra of  $\mathfrak{g}$ . We use analogous notation for other Lie algebras.

Set  $\mathfrak{a}^* = \operatorname{Hom}_{\mathbb{C}}(\mathfrak{a}, \mathbb{C})$  and  $\mathfrak{a}_0^* = \operatorname{Hom}_{\mathbb{R}}(\mathfrak{a}_0, \mathbb{R})$ . Let  $\Sigma \subset \mathfrak{a}^*$  be the restricted root system for  $(\mathfrak{g}, \mathfrak{a})$  and  $\mathfrak{g}_\alpha$  the root space for  $\alpha \in \Sigma$ . Let  $\Sigma^+$  be the positive root system determined by  $\mathfrak{n}$ , i.e.,  $\mathfrak{n} = \bigoplus_{\alpha \in \Sigma^+} \mathfrak{g}_\alpha$ .  $\Sigma^+$  determines the set of simple roots  $\Pi = \{\alpha_1, \alpha_2, \dots, \alpha_l\}$ . We define a total order on  $\mathfrak{a}_0^*$  by the following; for  $c_i, d_i \in \mathbb{R}$  we define  $\sum_i c_i \alpha_i > \sum_i d_i \alpha_i$  if and only if there exists an

integer  $k$  such that  $c_1 = d_1, \dots, c_k = d_k$  and  $c_{k+1} > d_{k+1}$ . Let  $\{H_1, H_2, \dots, H_l\}$  be the dual basis of  $\{\alpha_i\}$ . Write  $W$  for the little Weyl group for  $(\mathfrak{g}_0, \mathfrak{a}_0)$  and  $e$  for the unit element of  $W$ . Set  $\mathcal{P} = \{\sum_{\alpha \in \Sigma^+} n_\alpha \alpha \mid n_\alpha \in \mathbb{Z}\}$ ,  $\mathcal{P}^+ = \{\sum_{\alpha \in \Sigma^+} n_\alpha \alpha \mid n_\alpha \in \mathbb{Z}_{\geq 0}\}$  and  $\mathcal{P}^{++} = \mathcal{P}^+ \setminus \{0\}$ . Let  $m$  be the dimension of  $\mathfrak{n}$ . Fix a basis  $E_1, E_2, \dots, E_m$  of  $\mathfrak{n}$  such that each  $E_i$  is a restricted root vector. Let  $\beta_i$  be a restricted root such that  $E_i \in \mathfrak{g}_{\beta_i}$ . For  $\mathbf{n} = (n_i) \in \mathbb{Z}_{\geq 0}^m$  we denote  $E_1^{n_1} E_2^{n_2} \dots E_m^{n_m}$  by  $E^{\mathbf{n}}$ .

For  $x = (x_1, x_2, \dots, x_n) \in \mathbb{Z}_{\geq 0}^n$ , we write  $|x| = x_1 + x_2 + \dots + x_n$  and  $x! = x_1! x_2! \dots x_n!$ .

For a  $\mathbb{C}$ -algebra  $R$ , let  $M(r, r', R)$  be the space of  $r \times r'$  matrices with entries in  $R$  and  $M(r, R) = M(r, r, R)$ . Write  $1_r \in M(r, R)$  for the identity matrix.

§2. The Case  $SL(2, \mathbb{R})$

**Definition 2.1** (Jacquet module [Cas80]). Let  $\mathbb{X}$  be a  $U(\mathfrak{g})$ -module. Define modules  $\widehat{J}(\mathbb{X})$  and  $J(\mathbb{X})$  by

$$\begin{aligned} \widehat{J}(\mathbb{X}) &= \varprojlim_k \mathbb{X}/\mathfrak{n}^k \mathbb{X}, \\ J(\mathbb{X}) &= \widehat{J}(\mathbb{X})_{\mathfrak{a}\text{-finite}} = \{x \in \widehat{J}(\mathbb{X}) \mid \dim U(\mathfrak{a})x < \infty\}. \end{aligned}$$

We call  $J(\mathbb{X})$  the Jacquet module of  $\mathbb{X}$ .

*Remark 2.2.* In some articles, e.g., Wallach [Wal88, 4.1.5], the definition of the Jacquet module is different from what we give here. These Jacquet modules are dual to each other (cf. Matumoto [Mat90, Corollary 4.7.4]).

In this section, let  $G = SL(2, \mathbb{R})$ .

Take a basis  $H, E_+, E_-$  of  $\mathfrak{sl}(2, \mathbb{R})$  such that  $[H, E_{\pm}] = \pm E_{\pm}$ ,  $[E_+, E_-] = H$ ,  $\mathfrak{a}_0 = \mathbb{R}H$ ,  $\mathfrak{n}_0 = \mathbb{R}E_+$  and  $\theta(E_+) = -E_-$ . Fix  $\lambda \in \mathbb{C} \setminus \{0\}$  such that  $\text{Re } \lambda \geq 0$ . Let  $I(\lambda)$  be the unique principal series representation generated by the trivial  $K$ -type with an infinitesimal character  $\lambda$ . Then  $I(\lambda)$  has a generator  $u_\lambda$  and the following relations:

$$\begin{aligned} (H^2 - H + 2E_+E_-)u_\lambda &= (\lambda^2 - 1/4)u_\lambda, \\ (E_+ - E_-)u_\lambda &= 0. \end{aligned}$$

The first relation says that  $I(\lambda)$  has an infinitesimal character  $\lambda$  ( $(H^2 - H + 2E_+E_-)$  is the Casimir element of  $\mathfrak{sl}(2, \mathbb{C})$ ) and the second relation says that  $u_\lambda$  belongs to its trivial  $K$ -type.

By the relations, we have  $(H^2 - H + 2E_+^2)u_\lambda = (\lambda^2 - 1/4)u_\lambda$ . Put  $\overline{u_\lambda} = u_\lambda + \mathfrak{n}I(\lambda) \in I(\lambda)/\mathfrak{n}I(\lambda)$ . Then we have  $(H - (\lambda + 1/2))(H - (-\lambda + 1/2))\overline{u_\lambda} = 0$ . Hence the dimension of  $I(\lambda)/\mathfrak{n}I(\lambda)$  is 2 and the eigenvalues of  $H$  are  $\pm\lambda + 1/2$ .

Put  $u_1 = (H - (-\lambda + 1/2))u_\lambda$ ,  $u_2 = (H - (\lambda + 1/2))u_\lambda$ ,  $u = {}^t(u_1, u_2)$ . Then  $\{u_1 + \mathfrak{n}I(\lambda), u_2 + \mathfrak{n}I(\lambda)\}$  is a basis of  $I(\lambda)/\mathfrak{n}I(\lambda)$ . We have

$$(H1_2 - Q)u = \frac{1}{\lambda} \begin{pmatrix} -1 & 1 \\ -1 & 1 \end{pmatrix} E_+^2 u \quad \text{where} \quad Q = \begin{pmatrix} \lambda + 1/2 & 0 \\ 0 & -\lambda + 1/2 \end{pmatrix}.$$

Put

$$R = \frac{1}{\lambda} \begin{pmatrix} -1 & 1 \\ -1 & 1 \end{pmatrix}.$$

Then we have  $(H1_2 - Q - RE_+^2)u = 0$ . Define a  $\mathbb{C}$ -algebra  $\widehat{\mathcal{E}}(\mathfrak{n})$  by  $\widehat{\mathcal{E}}(\mathfrak{n}) = \varprojlim_k U(\mathfrak{n})/\mathfrak{n}^k U(\mathfrak{n})$ . In this case, we have  $\widehat{\mathcal{E}}(\mathfrak{n}) = \mathbb{C}[[E_+]]$ . This is a complete local ring with the maximal ideal  $E_+ \mathbb{C}[[E_+]] = \mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n})$ . Notice that the  $\mathbb{C}$ -algebra  $\widehat{\mathcal{E}}(\mathfrak{n})$  acts on  $\widehat{J}(I(\lambda))$ .

The crucial fact is the following lemma. The lemma says that the action of  $H$  can be expressed by an upper triangular matrix.

**Lemma 2.3.** *There exist  $L \in 1_2 + M(2, \mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}))$  and  $T \in M(2, U(\mathfrak{n}))$  which have the following properties.*

- (1) We have  $(H1_2 - Q - RE_+^2)L = L(H1_2 - Q - T)$ .
- (2) If  $\lambda \notin \mathbb{Z}_{>0}$ , then  $T = 0$ .
- (3) If  $\lambda \in \mathbb{Z}_{>0}$ , then  $T = T_\lambda E_+^{2\lambda}$  where

$$T_\lambda = \begin{pmatrix} 0 & t \\ 0 & 0 \end{pmatrix}$$

for some  $t \in \mathbb{C}$ .

Notice that  $L$  is invertible since  $\det L \in 1 + \mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n})$  is invertible. (Recall that  $\widehat{\mathcal{E}}(\mathfrak{n})$  is a local ring with the maximal ideal  $\mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n})$ .) Take  $L$  as in the lemma and put  $v = {}^t(v_1, v_2) = L^{-1}u$ . Since  $(H1_2 - Q - RE_+^2)u = 0$ , we have  $(H1_2 - Q - T)v = 0$  by condition (1). The action of  $H$  on  $v$  is easier than that on  $u$  (for example,  $v_2$  is an  $H$ -eigenvector) since  $Q + T$  is an upper triangular matrix.

*Proof of Lemma 2.3.* From condition (1), we have

$$(2.1) \quad [H1_2, L] - [Q, L] = RE_+^2 L - LT.$$

The calculation of the left hand side is not so difficult. In fact, the term  $[H1_2, L]$  can be calculated using the  $H$ -weight decomposition, and  $[Q, L]$  calculated easily since  $Q$  is a diagonal matrix.

Thus, take  $L_k, T_k \in M(2, \mathbb{C})$  such that  $L = \sum_k L_k E_+^{2k}$ ,  $T = \sum_k T_k E_+^{2k}$ . Moreover, define  $a_k, b_k, c_k, d_k \in \mathbb{C}$  by

$$L_k = \begin{pmatrix} a_k & b_k \\ c_k & d_k \end{pmatrix}.$$

Then the left hand side of (2.1) is

$$\sum_{k=0}^{\infty} \begin{pmatrix} 2ka_k & 2(k-\lambda)b_k \\ 2(k+\lambda)c_k & 2kd_k \end{pmatrix} E_+^{2k}$$

On the other hand, the right hand side of (2.1) is not so easy. We only expand the right hand side of (2.1) into a power series. Namely, the right hand side of (2.1) is

$$\sum_{k=0}^{\infty} \left( RL_{k-1} - \sum_{l=0}^k L_l T_{k-l} \right) E_+^{2k},$$

where  $L_{-1} = 0$ . By the conditions, we have  $T_0 = 0$  and  $L_0 = 1_2$ . Hence the right hand side of (2.1) is

$$\sum_{k=0}^{\infty} \left( RL_{k-1} - \sum_{l=1}^{k-1} L_l T_{k-l} - T_k \right) E_+^{2k}.$$

Therefore  $(H - Q - RE_+^2)L = L(H - Q - T)$  is equivalent to

$$(2.2) \quad \begin{pmatrix} 2ka_k & 2(k-\lambda)b_k \\ 2(k+\lambda)c_k & 2kd_k \end{pmatrix} + T_k = RL_{k-1} - \sum_{l=1}^{k-1} L_l T_{k-l}$$

for all  $k > 0$ . (The case of  $k = 0$  is automatically satisfied.)

We take  $L_k$  and  $T_k$  inductively. Assume that we have already chosen  $L_0, \dots, L_{k-1}$  and  $T_0, \dots, T_{k-1}$ . Then the right hand side of (2.2) is determined. If  $\lambda \neq k$ , then we can take  $a_k, b_k, c_k, d_k$  such that  $T_k = 0$ . If  $\lambda = k$ , then we can take  $a_k, b_k, c_k, d_k$  such that

$$T_k = \begin{pmatrix} 0 & t \\ 0 & 0 \end{pmatrix}$$

for some  $t \in \mathbb{C}$ . (Recall that we assume  $\text{Re } \lambda \geq 0$  and  $\lambda \neq 0$ .) Hence the lemma is proved. □

Take  $L$  as in the lemma and put  $v = {}^t(v_1, v_2) = L^{-1}u$ . As already mentioned,  $(H1_2 - Q - T)v = 0$ . In particular, we have  $Hv_2 = (-\lambda + 1/2)v_2$ . Moreover, since  $(H - (\lambda + 1/2))v_1 = tE_+^{2\lambda}v_2$  for some  $t \in \mathbb{C}$ , we have  $(H - (\lambda + 1/2))^2v_1 = t(H - (\lambda + 1/2))E_+^{2\lambda}v_2 = tE_+^{2\lambda}(H - (-\lambda + 1/2))v_2 = 0$ . Namely,  $v_1$  has a generalized  $H$ -eigenvalue  $\lambda + 1/2$ .

We prove that  $v_1$  and  $v_2$  generate  $\widehat{J}(I(\lambda))$  as an  $\widehat{\mathcal{E}}(\mathfrak{n})$ -module. By Nakayama's lemma, it is sufficient to prove that  $v_1 + \mathfrak{n}\widehat{J}(I(\lambda))$  and  $v_2 + \mathfrak{n}\widehat{J}(I(\lambda))$  generate  $\widehat{J}(I(\lambda))/\mathfrak{n}\widehat{J}(I(\lambda))$ . However, since  $L \in 1_2 + M(2, \mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}))$ , we have  $v_i \equiv u_i \pmod{\mathfrak{n}\widehat{J}(I(\lambda))}$ . Since the set of images of  $u_1, u_2$  in  $I(\lambda)/\mathfrak{n}I(\lambda)$  is a basis of  $I(\lambda)/\mathfrak{n}I(\lambda) \simeq \widehat{J}(I(\lambda))/\mathfrak{n}\widehat{J}(I(\lambda))$ ,  $v_1 + \mathfrak{n}\widehat{J}(I(\lambda))$  and  $v_2 + \widehat{J}(I(\lambda))$  generate  $\widehat{J}(I(\lambda))/\mathfrak{n}\widehat{J}(I(\lambda))$ .

Now we prove the following lemma.

**Lemma 2.4.**

- (1)  $J(I(\lambda)) = U(\mathfrak{g})v_1 + U(\mathfrak{g})v_2$ .
- (2)  $E_-v_2 = 0$ .
- (3)  $E_-v_1 \in U(\mathfrak{g})v_2$ .

From Lemma 2.4,  $v_2$  is a lowest weight vector in  $J(I(\lambda))$  and  $v_1$  is a lowest weight vector in  $J(I(\lambda))/U(\mathfrak{g})v_2$ .

To prove these formulae, we use the projection to a generalized  $H$ -eigenspace. The justification will be done in §3 (Corollary 3.9). Recall that the generalized  $H$ -eigenvalue of  $v_1$  (resp.  $v_2$ ) is  $\lambda + 1/2$  (resp.  $-\lambda + 1/2$ ).

*Proof of Lemma 2.4.* First we prove (1). Let  $v \in J(I(\lambda))$  be a generalized eigenvector of  $H$  with a generalized eigenvalue  $\mu$ . Since  $v_1, v_2$  generate  $\widehat{J}(I(\lambda))$  as an  $\widehat{\mathcal{E}}(\mathfrak{n})$ -module, there exist  $B^{(1)} = \sum_k B_k^{(1)}E_+^k \in \widehat{\mathcal{E}}(\mathfrak{n})$  and  $B^{(2)} = \sum_k B_k^{(2)}E_+^k \in \widehat{\mathcal{E}}(\mathfrak{n})$  such that  $v = B^{(1)}v_1 + B^{(2)}v_2$ . Applying the projection to the generalized  $H$ -eigenspace with an eigenvalue  $\mu$ , we have  $v = B_{\mu-\lambda-1/2}^{(1)}E_+^{\mu-\lambda-1/2}v_1 + B_{\mu+\lambda-1/2}^{(2)}E_+^{\mu+\lambda-1/2}v_2$  (Here  $E_+^t = 0$  if  $t \notin \mathbb{Z}_{\geq 0}$ ). This implies  $v \in U(\mathfrak{g})v_1 + U(\mathfrak{g})v_2$ .

We will calculate  $E_-v_1$  and  $E_-v_2$ . Roughly speaking, these equations are induced from  $(E_+ - E_-)u_\lambda = 0$  and the projection to a generalized  $H$ -eigenspace. Take  $A^{(1)}, A^{(2)} \in \widehat{\mathcal{E}}(\mathfrak{n})$  such that  $u_\lambda = A^{(1)}v_1 + A^{(2)}v_2$ . Take  $A_k^{(i)} \in \mathbb{C}$  such that  $A^{(i)} = \sum A_k^{(i)}E_+^k$ . From  $(E_- - E_+)u_\lambda = 0$ , we have

$$\begin{aligned}
 0 &= A_0^{(1)} E_- v_1 + \sum_{k=1}^{\infty} A_k^{(1)} E_- E_+^k v_1 - \sum_{k=0}^{\infty} A_k^{(1)} E_+^{k+1} v_1 \\
 &+ A_0^{(2)} E_- v_2 + \sum_{k=1}^{\infty} A_k^{(2)} E_- E_+^k v_2 - \sum_{k=0}^{\infty} A_k^{(2)} E_+^{k+1} v_2.
 \end{aligned}$$

Recall that  $v_1$  (resp.  $v_2$ ) has a generalized  $H$ -eigenvalue  $\lambda + 1/2$  (resp.  $-\lambda + 1/2$ ). Hence the generalized  $H$ -eigenvalue of each term is the following:

$$\begin{aligned}
 &\lambda + 1/2 - 1, \quad \lambda + 1/2 - 1 + k, \quad \lambda + 1/2 + (k + 1), \\
 &-\lambda + 1/2 - 1, \quad -\lambda + 1/2 - 1 + k, \quad -\lambda + 1/2 + (k + 1).
 \end{aligned}$$

Using the projection to the generalized  $H$ -eigenspace with an eigenvalue  $-\lambda + 1/2 - 1$ , we get  $A_0^{(2)} E_- v_2 = 0$  since  $\text{Re } \lambda \geq 0$ .

Next, we project both sides to the generalized  $H$ -eigenspace with an eigenvalue  $\lambda + 1/2 - 1$ . If  $\lambda \notin \mathbb{Z}/2$ , then  $A_0^{(1)} E_- v_1 = 0$ . If  $\lambda \in \mathbb{Z}/2$ , we get

$$0 = A_0^{(1)} E_- v_1 + A_{2\lambda}^{(2)} E_- E_+^{2\lambda} v_2 - A_{2\lambda-2}^{(2)} E_+^{2\lambda-1} v_2.$$

Hence we have  $A_0^{(1)} E_- v_1 \in U(\mathfrak{g})v_2$ .

We must prove that  $A_0^{(1)}, A_0^{(2)} \neq 0$ . Since  $u_\lambda = A^{(1)}v_1 + A^{(2)}v_2$ , we have  $u_\lambda \equiv A_0^{(1)}v_1 + A_0^{(2)}v_2 \pmod{\mathfrak{n}\widehat{J}(I(\lambda))}$ . Moreover, since  $L \in 1 + M(2, \mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}))$ , we have  $u_i \equiv v_i \pmod{\mathfrak{n}\widehat{J}(I(\lambda))}$ . Hence we have  $u_\lambda \equiv A_0^{(1)}u_1 + A_0^{(2)}u_2 \pmod{\mathfrak{n}\widehat{J}(I(\lambda))}$ . By the definition of  $u_1, u_2$ ,  $u_\lambda = (1/2\lambda)u_1 + (-1/2\lambda)u_2$ . Since  $\{u_1 + \mathfrak{n}I(\lambda), u_2 + \mathfrak{n}I(\lambda)\}$  is a basis of  $I(\lambda)/\mathfrak{n}I(\lambda) \simeq \widehat{J}(I(\lambda))/\mathfrak{n}\widehat{J}(I(\lambda))$ , we have  $A_0^{(1)} = 1/2\lambda$  and  $A_0^{(2)} = -1/2\lambda$ . These are nonzero.  $\square$

Recall the definition of Verma module. For  $\mu \in \mathbb{C}$ , let  $\mathbb{C}_\mu$  be the 1-dimensional representation of  $\mathbb{C}H + \mathbb{C}E_-$  such that  $Hv = \mu v$  and  $E_-v = 0$  for  $v \in \mathbb{C}_\mu$ . Put  $M(\mu) = U(\mathfrak{g}) \otimes_{U(\mathbb{C}H + \mathbb{C}E_-)} \mathbb{C}_{\mu+1/2}$  and  $V = U(\mathfrak{g})v_2$ . Since  $v_2$  is a lowest weight vector of  $J(I(\lambda))$  and  $v_1$  is a lowest weight vector of  $J(I(\lambda))/V$ , we have surjective maps  $M(-\lambda) \rightarrow V$  and  $M(\lambda) \rightarrow J(I(\lambda))/V$ .

To prove that these maps are isomorphism, we use the Osborne conjecture, which is now a theorem [HS83a]. Using the Osborne conjecture, we can calculate the character of  $J(I(\lambda))$  from the character of  $I(\lambda)$  (see Section 5). As a consequence, we have  $\Theta(J(I(\lambda))) = \Theta(M(\lambda)) + \Theta(M(-\lambda))$  where  $\Theta$  denotes the character. Hence we have  $V \simeq M(-\lambda)$  and  $J(I(\lambda))/V = M(\lambda)$ .

In the rest of this paper, we generalize these arguments to the general case.



§3. Jacquet Modules and Fundamental Properties

We now treat the general case. Set  $\widehat{\mathcal{E}}(\mathfrak{n}) = \varprojlim_k U(\mathfrak{n})/\mathfrak{n}^k U(\mathfrak{n})$ . For a projective system  $\{M_k, \varphi_k: M_k \rightarrow M_{k-1}\}_{k \in \mathbb{Z}_{\geq 0}}$ , we construct the projective limit as  $\varprojlim_k M_k = \{(m_k)_{k \in \mathbb{Z}_{\geq 0}} \mid m_k \in M_k, \varphi_k(m_k) = m_{k-1}\}$ .

**Proposition 3.1.**

- (1) The  $\mathbb{C}$ -algebra  $\widehat{\mathcal{E}}(\mathfrak{n})$  is right and left Noetherian.
- (2) The  $\mathbb{C}$ -algebra  $\widehat{\mathcal{E}}(\mathfrak{n})$  is flat over  $U(\mathfrak{n})$ .
- (3) If  $\mathbb{X}$  is a finitely generated  $U(\mathfrak{n})$ -module then  $\varprojlim_k \mathbb{X}/\mathfrak{n}^k \mathbb{X} = \widehat{\mathcal{E}}(\mathfrak{n}) \otimes_{U(\mathfrak{n})} \mathbb{X}$ .
- (4) Let  $S = (S_k)_{k \in \mathbb{Z}_{\geq 0}}$  be an element of  $M(r, \mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}))$  and  $(a_n) \in \mathbb{C}^{\mathbb{Z}_{\geq 0}}$ . Define  $\sum_{n=0}^{\infty} a_n S^n = (\sum_{n=0}^k a_n S_k^n)_k$ . Then  $\sum_{n=0}^{\infty} a_n S^n \in M(r, \widehat{\mathcal{E}}(\mathfrak{n}))$ .

*Proof.* Since Stafford and Wallach [SW82, Theorem 2.1] show that  $\mathfrak{n}U(\mathfrak{n}) \subset U(\mathfrak{n})$  satisfies the Artin-Rees property, the usual argument of the proof for commutative rings can be applied to prove (1), (2) and (3). (4) is obvious.  $\square$

**Corollary 3.2.** Let  $S$  be an element of  $M(r, \widehat{\mathcal{E}}(\mathfrak{n}))$  such that  $S - 1_r \in M(r, \mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}))$ . Then  $S$  is invertible.

*Proof.* Set  $T = 1_r - S$ . By Proposition 3.1,  $R = \sum_{n=0}^{\infty} T^n \in M(r, \widehat{\mathcal{E}}(\mathfrak{n}))$ . Then  $SR = RS = 1_r$ .  $\square$

We can prove the following proposition using the methods of Goodman and Wallach [GW80, Lemma 2.2]. For the sake of completeness we give a proof.

**Proposition 3.3.** Let  $\mathbb{X}$  be a  $U(\mathfrak{a} \oplus \mathfrak{n})$ -module which is finitely generated as a  $U(\mathfrak{n})$ -module. Assume that every element of  $\mathbb{X}$  is  $\mathfrak{a}$ -finite. For  $\mu \in \mathfrak{a}^*$  set

$$\mathbb{X}_{\mu} = \{x \in \mathbb{X} \mid \text{For all } H \in \mathfrak{a} \text{ there exists a positive integer } N \text{ such that } (H - \mu(H))^N x = 0\}.$$

Then

$$\widehat{J}(\mathbb{X}) \simeq \prod_{\mu \in \mathfrak{a}^*} \mathbb{X}_{\mu}.$$

*Proof.* Since the action of  $\mathfrak{n}$  increases an  $\mathfrak{a}$ -weight and  $\mathbb{X}$  is a finitely generated  $U(\mathfrak{n})$ -module, there exists a positive integer  $k_{\mu}$  for all  $\mu$  such that  $\mathfrak{n}^{k_{\mu}} \mathbb{X} \cap \mathbb{X}_{\mu} = 0$ . We fix such a  $k_{\mu}$ .

For  $k \in \mathbb{Z}_{>0}$  put  $S_k = \{\mu \in \mathfrak{a}^* \mid \mathbb{X}_\mu \neq 0, \mathbb{X}_\mu \not\subset \mathfrak{n}^k \mathbb{X}\}$ . Since  $\mathbb{X}$  is finitely generated,  $\dim \mathbb{X}/\mathfrak{n}^k \mathbb{X} < \infty$ . Therefore  $S_k$  is a finite set. Define a map  $\varphi: \prod_{\mu \in \mathfrak{a}^*} \mathbb{X}_\mu \rightarrow \widehat{J}(\mathbb{X})$  by

$$\varphi((x_\mu)_{\mu \in \mathfrak{a}^*}) = \left( \sum_{\mu \in S_k} x_\mu \pmod{\mathfrak{n}^k \mathbb{X}} \right)_k.$$

First we show that  $\varphi$  is injective. Assume  $\varphi((x_\mu)_{\mu \in \mathfrak{a}^*}) = 0$ . We have  $\sum_{\mu \in S_k} x_\mu \in \mathfrak{n}^k \mathbb{X}$  for all  $k \in \mathbb{Z}_{>0}$ . Since  $\mathfrak{n}^k \mathbb{X}$  is  $\mathfrak{a}$ -stable and  $S_k$  is a finite set,  $x_\mu \in \mathfrak{n}^k \mathbb{X}$  for all  $\mu \in \mathfrak{a}^*$  and  $k \in \mathbb{Z}_{>0}$ . In particular, we have  $x_\mu \in \mathbb{X}_\mu \cap \mathfrak{n}^{k_\mu} \mathbb{X} = 0$ .

We have to show that  $\varphi$  is surjective. Let  $x = (x_k \pmod{\mathfrak{n}^k \mathbb{X}})_k$  be an element of  $\widehat{J}(\mathbb{X})$ . Since every element of  $\mathbb{X}$  is  $\mathfrak{a}$ -finite, we have  $\mathbb{X} = \bigoplus_{\mu \in \mathfrak{a}^*} \mathbb{X}_\mu$ . Let  $p_\mu: \mathbb{X} \rightarrow \mathbb{X}_\mu$  be the projection. Notice that if  $i, i' \geq k_\mu$  then  $p_\mu(x_i) = p_\mu(x_{i'})$ . Hence we have  $\varphi((p_\mu(x_{k_\mu}))_{\mu \in \mathfrak{a}^*}) = x$ .  $\square$

We define an  $(\mathfrak{a} \oplus \mathfrak{n})$ -representation structure of  $U(\mathfrak{n})$  by  $(H + X)(u) = Hu - uH + Xu$  for  $H \in \mathfrak{a}, X \in \mathfrak{n}, u \in U(\mathfrak{n})$ . Then  $U(\mathfrak{n})$  is a  $U(\mathfrak{a} \oplus \mathfrak{n})$ -module. By Proposition 3.3,  $\widehat{\mathcal{E}}(\mathfrak{n}) = \prod_{\mu \in \mathfrak{a}^*} U(\mathfrak{n})_\mu$ . The following results are corollaries of Proposition 3.3.

**Corollary 3.4.** *The linear map*

$$\begin{aligned} \mathbb{C}[[X_1, X_2, \dots, X_m]] &\longrightarrow \widehat{\mathcal{E}}(\mathfrak{n}) \\ \sum_{\mathfrak{n} \in \mathbb{Z}_{\geq 0}^m} a_{\mathfrak{n}} X^{\mathfrak{n}} &\longmapsto \left( \sum_{|\mathfrak{n}| \leq k} a_{\mathfrak{n}} E^{\mathfrak{n}} \pmod{\mathfrak{n}^k U(\mathfrak{n})} \right)_k \end{aligned}$$

is bijective, where  $X^{\mathfrak{n}} = X_1^{\mathfrak{n}_1} X_2^{\mathfrak{n}_2} \cdots X_m^{\mathfrak{n}_m}$  for  $\mathfrak{n} = (\mathfrak{n}_1, \mathfrak{n}_2, \dots, \mathfrak{n}_m) \in \mathbb{Z}_{\geq 0}^m$ .

*Proof.* By the Poincaré-Birkhoff-Witt theorem,  $\{E^{\mathfrak{n}} \mid \sum_i \mathfrak{n}_i \beta_i = \mu\}$  is a basis of  $U(\mathfrak{n})_\mu$ . This implies the corollary since  $\widehat{\mathcal{E}}(\mathfrak{n}) = \prod_{\mu \in \mathfrak{a}^*} U(\mathfrak{n})_\mu$ .  $\square$

We denote the image of  $\sum_{\mathfrak{n} \in \mathbb{Z}_{\geq 0}^m} a_{\mathfrak{n}} X^{\mathfrak{n}}$  under the map in Corollary 3.4 by  $\sum_{\mathfrak{n} \in \mathbb{Z}_{\geq 0}^m} a_{\mathfrak{n}} E^{\mathfrak{n}}$ .

**Corollary 3.5.** *Let  $\mathbb{X}$  be a  $U(\mathfrak{g})$ -module which is finitely generated as a  $U(\mathfrak{n})$ -module. Assume that all elements are  $\mathfrak{a}$ -finite. Then  $J(\mathbb{X}) = \mathbb{X}$ .*

*Proof.* This follows from the following equation.

$$J(\mathbb{X}) = \widehat{J}(\mathbb{X})_{\mathfrak{a}\text{-finite}} = \left( \prod_{\mu \in \mathfrak{a}^*} \mathbb{X}_\mu \right)_{\mathfrak{a}\text{-finite}} = \bigoplus_{\mu \in \mathfrak{a}^*} \mathbb{X}_\mu = \mathbb{X}.$$

$\square$

Put  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) = \widehat{\mathcal{E}}(\mathfrak{n}) \otimes_{U(\mathfrak{n})} U(\mathfrak{g})$ . We can define a  $\mathbb{C}$ -algebra structure of  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n})$  by

$$\begin{aligned} (f \otimes 1)(1 \otimes u) &= f \otimes u, \\ (1 \otimes u)(1 \otimes u') &= 1 \otimes (uu'), \\ (f \otimes 1)(f' \otimes 1) &= (ff') \otimes 1, \\ (1 \otimes X)(f \otimes 1) &= \sum_{\mathfrak{n} \in \mathbb{Z}_{\geq 0}^n} \frac{1}{\mathfrak{n}!} \frac{\partial^{|\mathfrak{n}|}}{\partial E^{\mathfrak{n}}} f \otimes {}^t(\text{ad}(E))^{\mathfrak{n}}(X), \end{aligned}$$

where  $u, u' \in U(\mathfrak{g})$ ,  $X \in \mathfrak{g}$ ,  $f, f' \in \widehat{\mathcal{E}}(\mathfrak{n})$ ,  ${}^t(\text{ad}(E))^{\mathfrak{n}} = (-\text{ad}(E_m))^{\mathfrak{n}_m} \dots (-\text{ad}(E_1))^{\mathfrak{n}_1}$  and

$$\frac{\partial^{|\mathfrak{n}|}}{\partial E^{\mathfrak{n}}} \left( \sum_{\mathfrak{m} \in \mathbb{Z}_{\geq 0}^n} a_{\mathfrak{m}} E^{\mathfrak{m}} \right) = \sum_{\mathfrak{m} \in \mathbb{Z}_{\geq 0}^n} a_{\mathfrak{m}} \frac{\mathfrak{m}!}{(\mathfrak{m} - \mathfrak{n})!} E^{\mathfrak{m} - \mathfrak{n}}.$$

It is not difficult to see that this definition is independent of a choice of a basis  $\{E_i\}$  and its order. However, we do not use it. So we omit the proof.

Notice that  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} \mathbb{X} \simeq \widehat{\mathcal{E}}(\mathfrak{n}) \otimes_{U(\mathfrak{n})} \mathbb{X}$  as an  $\widehat{\mathcal{E}}(\mathfrak{n})$ -module for a  $U(\mathfrak{g})$ -module  $\mathbb{X}$ . By Proposition 3.1,  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n})$  is flat over  $U(\mathfrak{g})$ . Notice that if  $\mathfrak{b}$  is a subalgebra of  $\mathfrak{g}$  which contains  $\mathfrak{n}$  then  $\widehat{\mathcal{E}}(\mathfrak{n}) \otimes_{U(\mathfrak{n})} U(\mathfrak{b})$  is a subalgebra of  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n})$ . Put  $\widehat{\mathcal{E}}(\mathfrak{b}, \mathfrak{n}) = \widehat{\mathcal{E}}(\mathfrak{n}) \otimes_{U(\mathfrak{n})} U(\mathfrak{b})$ .

Let  $\mathbb{X}$  be a  $U(\mathfrak{a} \oplus \mathfrak{n})$ -module such that  $\mathbb{X} = \bigoplus_{\mu \in \mathfrak{a}^*} \mathbb{X}_{\mu}$ . Set

$$V = \left\{ (x_{\mu})_{\mu} \in \prod_{\mu \in \mathfrak{a}^*} \mathbb{X}_{\mu} \mid \text{there exists an element } \nu \in \mathfrak{a}_0^* \text{ such that } x_{\mu} = 0 \text{ for } \text{Re } \mu < \nu \right\}.$$

Then we can define an  $\mathfrak{a}$ -module homomorphism

$$\varphi: \widehat{\mathcal{E}}(\mathfrak{a} \oplus \mathfrak{n}, \mathfrak{n}) \otimes_{U(\mathfrak{a} \oplus \mathfrak{n})} \mathbb{X} \simeq \left( \prod_{\mu \in \mathfrak{a}^*} U(\mathfrak{n})_{\mu} \right) \otimes_{U(\mathfrak{n})} \left( \bigoplus_{\mu' \in \mathfrak{a}^*} \mathbb{X}_{\mu'} \right) \rightarrow V$$

by  $\varphi((f_{\mu})_{\mu \in \mathfrak{a}^*} \otimes (x_{\mu'})_{\mu' \in \mathfrak{a}^*}) = (\sum_{\mu + \mu' = \lambda} f_{\mu} x_{\mu'})_{\lambda \in \mathfrak{a}^*}$ . Notice that the composition of the maps  $\mathbb{X} \rightarrow \widehat{\mathcal{E}}(\mathfrak{a} \oplus \mathfrak{n}, \mathfrak{n}) \otimes_{U(\mathfrak{a} \oplus \mathfrak{n})} \mathbb{X} \rightarrow V$  is equal to the inclusion map  $\mathbb{X} \hookrightarrow V$ .

We consider the case  $\mathbb{X} = U(\mathfrak{g})$ . Define an  $(\mathfrak{a} \oplus \mathfrak{n})$ -module structure of  $U(\mathfrak{g})$  by  $(H + X)(u) = Hu - uH + Xu$  for  $H \in \mathfrak{a}$ ,  $X \in \mathfrak{n}$ ,  $u \in U(\mathfrak{g})$ . We have a map

$$\varphi: \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \rightarrow \left\{ (P_\mu)_{\mu \in \mathfrak{a}^*} \in \prod_{\mu \in \mathfrak{a}^*} U(\mathfrak{g})_\mu \mid \text{there exists an element } \nu \in \mathfrak{a}_0^* \text{ such that } P_\mu = 0 \text{ for } \operatorname{Re} \mu < \nu \right\}.$$

We write  $\varphi(P) = (P^{(\mu)})_{\mu \in \mathfrak{a}^*}$ . Put  $P^{(H,z)} = \sum_{\mu(H)=z} P^{(\mu)}$  for  $z \in \mathbb{C}$  and  $H \in \mathfrak{a}$  such that  $\operatorname{Re} \alpha(H) > 0$  for all  $\alpha \in \Sigma^+$ . By the condition on  $H$ , the right hand side is a finite sum.

Proposition 3.6 and 3.7 follow at once from the definition.

**Proposition 3.6.** *Let  $\mathbb{X}$  be a  $U(\mathfrak{a} \oplus \mathfrak{n})$ -module such that  $\mathbb{X}$  is finitely generated as a  $U(\mathfrak{n})$ -module and each element is  $\mathfrak{a}$ -finite. Let  $\varphi: \widehat{\mathcal{E}}(\mathfrak{a} \oplus \mathfrak{n}, \mathfrak{n}) \otimes_{U(\mathfrak{a} \oplus \mathfrak{n})} \mathbb{X} \rightarrow \prod_{\mu \in \mathfrak{a}^*} \mathbb{X}_\mu$  be the  $\mathfrak{a}$ -module homomorphism defined as above. Then  $\varphi$  coincides with the map given in Proposition 3.3. In particular  $\varphi$  is isomorphic.*

**Proposition 3.7.**

(1) *We have  $(PQ)^{(\lambda)} = \sum_{\mu+\mu'=\lambda} P^{(\mu)}Q^{(\mu')}$  for  $P, Q \in \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n})$  and  $\lambda \in \mathfrak{a}^*$ . (Since  $P^{(\mu)} = 0$  (resp.  $Q^{(\mu')} = 0$ ) if  $\operatorname{Re} \mu$  (resp.  $\operatorname{Re} \mu'$ ) is sufficiently small, the right hand side is a finite sum.)*

(2) *We have*

$$\left( \sum_{\mathfrak{n} \in \mathbb{Z}_{\geq 0}^n} a_{\mathfrak{n}} E^{\mathfrak{n}} \right)^{(\lambda)} = \sum_{\sum_i \mathfrak{n}_i \beta_i = \lambda} a_{\mathfrak{n}} E^{\mathfrak{n}}$$

for  $\lambda \in \mathfrak{a}^*$ .

**Proposition 3.8.** *Let  $\mathbb{X}$  be a  $U(\mathfrak{g})$ -module which is finitely generated as a  $U(\mathfrak{n})$ -module. We take generators  $v_1, v_2, \dots, v_n$  of an  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n})$ -module  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} \mathbb{X}$  and set  $V = \sum_i U(\mathfrak{g})v_i \subset \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} \mathbb{X}$ . Define a surjective map  $\psi: \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} V \rightarrow \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} \mathbb{X}$  by  $\psi(f \otimes v) = fv$ . Assume that there exist weights  $\lambda_i \in \mathfrak{a}^*$  and a positive integer  $N$  such that  $(H - \lambda_i(H))^N v_i = 0$  for all  $H \in \mathfrak{a}$  and  $1 \leq i \leq n$ . Let  $\varphi: \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} V \rightarrow \prod_{\mu \in \mathfrak{a}^*} V_\mu$  be the map defined as above. Then there exists a unique map  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} \mathbb{X} \rightarrow \prod_{\mu \in \mathfrak{a}^*} V_\mu$  such that the diagram*

$$\begin{array}{ccc} \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} V & \xrightarrow{\varphi} & \prod_{\mu \in \mathfrak{a}^*} V_\mu \\ \downarrow \psi & \nearrow & \\ \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} \mathbb{X} & & \end{array}$$

is commutative.

*Proof.* Set  $\widehat{\mathbb{X}} = \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} \mathbb{X}$  and  $\widehat{V} = \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} V$ . Take  $f^{(i)} \in \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n})$  and  $v^{(i)} \in V$  such that  $\psi(\sum_i f^{(i)} \otimes v^{(i)}) = 0$ . We have to show  $\varphi(\sum_i f^{(i)} \otimes v^{(i)}) = 0$ . Since  $\widehat{V} = \widehat{\mathcal{E}}(\mathfrak{n}) \otimes_{U(\mathfrak{n})} V$ , we may assume  $f^{(i)} \in \widehat{\mathcal{E}}(\mathfrak{n})$ . We can write  $f^{(i)} = (f_\mu^{(i)})_{\mu \in \mathfrak{a}^*}$  by the isomorphism  $\widehat{\mathcal{E}}(\mathfrak{n}) \simeq \prod_{\mu \in \mathfrak{a}^*} U(\mathfrak{n})_\mu$ . Since  $V = \bigoplus_{\mu' \in \mathfrak{a}^*} V_{\mu'}$ , we can write  $v^{(i)} = \sum_{\mu' \in \mathfrak{a}^*} v_{\mu'}^{(i)}$ ,  $v_{\mu'}^{(i)} \in V_{\mu'}$ . We have to show  $\sum_i \sum_{\mu+\mu'=\lambda} f_\mu^{(i)} v_{\mu'}^{(i)} = 0$  for all  $\lambda \in \mathfrak{a}^*$ . Since  $\mathbb{X}$  is a finitely generated  $U(\mathfrak{n})$ -module we have  $\widehat{\mathbb{X}} = \varprojlim_k \mathbb{X}/\mathfrak{n}^k \mathbb{X} = \varprojlim_k \widehat{\mathbb{X}}/\mathfrak{n}^k \widehat{\mathbb{X}}$ . It is sufficient to prove  $\sum_i \sum_{\mu+\mu'=\lambda} f_\mu^{(i)} v_{\mu'}^{(i)} \in \mathfrak{n}^k \widehat{\mathbb{X}}$  for all  $k \in \mathbb{Z}_{>0}$ .

Fix  $\lambda \in \mathfrak{a}^*$  and  $k \in \mathbb{Z}_{>0}$ . We can choose an element  $\nu \in \mathfrak{a}_0^*$  such that  $\bigoplus_{\text{Re } \mu \geq \nu} U(\mathfrak{n})_\mu \subset \mathfrak{n}^k U(\mathfrak{n})$ . Then  $0 = \psi(\sum_i f^{(i)} \otimes v^{(i)}) \equiv \sum_i \sum_{\text{Re } \mu < \nu} f_\mu^{(i)} v_{\mu'}^{(i)} \pmod{\mathfrak{n}^k \widehat{\mathbb{X}}}$ . Notice that the following two sets are finite.

$$\begin{aligned} & \{ \mu \mid \text{Re}(\mu) < \nu \text{ and there exists an integer } i \text{ such that } f_\mu^{(i)} \neq 0 \}, \\ & \{ \mu' \mid \text{there exists an integer } i \text{ such that } v_{\mu'}^{(i)} \neq 0 \}. \end{aligned}$$

This implies  $\sum_i \sum_{\mu+\mu'=\lambda} f_\mu^{(i)} v_{\mu'}^{(i)} \in \mathfrak{n}^k \widehat{\mathbb{X}}$ . □

The following result is a corollary of Proposition 3.8.

**Corollary 3.9.** *In the setting of Proposition 3.8, we have the following. Let  $P_i$  ( $1 \leq i \leq n$ ) be elements of  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n})$  such that  $\sum_{i=1}^n P_i v_i = 0$ . Then  $\sum_i P_i^{(\lambda - \lambda_i)} v_i = 0$  for all  $\lambda \in \mathfrak{a}^*$ .*

#### §4. Construction of Special Elements

Let  $\Lambda$  be a subset of  $\mathcal{P}$ . Put  $\Lambda^+ = \Lambda \cap \mathcal{P}^+$  and  $\Lambda^{++} = \Lambda \cap \mathcal{P}^{++}$ . We define vector spaces  $U(\mathfrak{g})_\Lambda, U(\mathfrak{n})_\Lambda, \widehat{\mathcal{E}}(\mathfrak{n})_\Lambda$  and  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n})_\Lambda$  by

$$\begin{aligned} U(\mathfrak{g})_\Lambda &= \{ P \in U(\mathfrak{g}) \mid P^{(\mu)} = 0 \text{ for all } \mu \notin \Lambda \}, \\ U(\mathfrak{n})_\Lambda &= \{ P \in U(\mathfrak{n}) \mid P^{(\mu)} = 0 \text{ for all } \mu \notin \Lambda \}, \\ \widehat{\mathcal{E}}(\mathfrak{n})_\Lambda &= \{ P \in \widehat{\mathcal{E}}(\mathfrak{n}) \mid P^{(\mu)} = 0 \text{ for all } \mu \notin \Lambda \}, \\ \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n})_\Lambda &= \{ P \in \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \mid P^{(\mu)} = 0 \text{ for all } \mu \notin \Lambda \}. \end{aligned}$$

Put  $(\mathfrak{n}U(\mathfrak{n}))_\Lambda = \mathfrak{n}U(\mathfrak{n}) \cap U(\mathfrak{n})_\Lambda$  and  $(\mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}))_\Lambda = \mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}) \cap \widehat{\mathcal{E}}(\mathfrak{n})_\Lambda$ .

We assume that  $\Lambda$  is a subgroup of  $\mathfrak{a}^*$ . Then  $U(\mathfrak{g})_\Lambda, U(\mathfrak{n})_\Lambda, \widehat{\mathcal{E}}(\mathfrak{n})_\Lambda$  and  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n})_\Lambda$  are  $\mathbb{C}$ -algebras. Let  $\mathbb{X}$  be a  $U(\mathfrak{g})_\Lambda$ -module which is finitely generated

as a  $U(\mathfrak{n})_\Lambda$ -module. Let  $u_1, u_2, \dots, u_N$  be generators of  $\mathbb{X}$  as a  $U(\mathfrak{n})_\Lambda$ -module. Put  $u = {}^t(u_1, u_2, \dots, u_N)$ ,  $\overline{\mathbb{X}} = \mathbb{X}/(\mathfrak{n}U(\mathfrak{n}))_\Lambda \mathbb{X}$  and  $\overline{u} = u + (\mathfrak{n}U(\mathfrak{n}))_\Lambda \mathbb{X}^N \in \overline{\mathbb{X}}^N$ . The module  $\overline{\mathbb{X}}$  has an  $\mathfrak{a}$ -module structure induced from that of  $\mathbb{X}$ . Since  $\mathbb{X}$  is a finitely generated  $U(\mathfrak{n})_\Lambda$ -module, we have  $\dim \overline{\mathbb{X}} < \infty$ . Let  $\lambda_1, \lambda_2, \dots, \lambda_r \in \mathfrak{a}^*$  ( $\operatorname{Re} \lambda_1 \geq \operatorname{Re} \lambda_2 \geq \dots \geq \operatorname{Re} \lambda_r$ ) be eigenvalues of  $\mathfrak{a}$  on  $\overline{\mathbb{X}}$  with multiplicities. We can choose a basis  $\overline{v}_1, \overline{v}_2, \dots, \overline{v}_r$  of  $\overline{\mathbb{X}}$  and a linear map  $\overline{Q}: \mathfrak{a} \rightarrow M(r, \mathbb{C})$  such that

$$\begin{cases} H\overline{v} = \overline{Q}(H)\overline{v} \text{ for all } H \in \mathfrak{a}, \\ \overline{Q}(H)_{ii} = \lambda_i(H) \text{ for all } H \in \mathfrak{a}, \\ \text{if } i > j \text{ then } \overline{Q}(H)_{ij} = 0 \text{ for all } H \in \mathfrak{a}, \\ \text{if } \lambda_i \neq \lambda_j \text{ then } \overline{Q}(H)_{ij} = 0 \text{ for all } H \in \mathfrak{a}, \end{cases}$$

where  $\overline{v} = {}^t(\overline{v}_1, \overline{v}_2, \dots, \overline{v}_r)$ . Take  $\overline{C} \in M(N, r, \mathbb{C})$  and  $\overline{D} \in M(r, N, \mathbb{C})$  such that  $\overline{v} = \overline{D}\overline{u}$  and  $\overline{u} = \overline{C}\overline{v}$ .

Set  $\widehat{\mathbb{X}} = \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n})_\Lambda \otimes_{U(\mathfrak{g})_\Lambda} \mathbb{X}$ .

**Theorem 4.1.** *We use the above notation. There exist matrices  $C \in M(N, r, \widehat{\mathcal{E}}(\mathfrak{n})_\Lambda)$  and  $D \in M(r, N, \widehat{\mathcal{E}}(\mathfrak{n})_\Lambda)$  such that the following conditions hold:*

- *There exists a linear map  $Q: \mathfrak{a} \rightarrow M(r, U(\mathfrak{n})_\Lambda)$  such that*

$$\begin{cases} Hv = Q(H)v \text{ for all } H \in \mathfrak{a}, \\ Q(H) - \overline{Q}(H) \in M(r, (\mathfrak{n}U(\mathfrak{n}))_\Lambda) \text{ for all } H \in \mathfrak{a}, \\ \text{if } \lambda_i - \lambda_j \notin \Lambda^+ \text{ then } Q(H)_{ij} = 0 \text{ for all } H \in \mathfrak{a}, \\ \text{if } \lambda_i - \lambda_j \in \Lambda^+ \text{ then } [H', Q(H)_{ij}] = (\lambda_i - \lambda_j)(H')Q(H)_{ij} \text{ for all } H, H' \in \mathfrak{a}, \\ \text{if } i > j \text{ then } Q(H)_{ij} = 0 \text{ for all } H \in \mathfrak{a}. \end{cases}$$

where  $v = Du$ .

- *We have  $u = CDu$ .*
- *We have  $C - \overline{C} \in M(N, r, (\mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}))_\Lambda)$  and  $D - \overline{D} \in M(r, N, (\mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}))_\Lambda)$ .*

*Remark 4.2.* Assume that Theorem 4.1 holds.

(1) Fix  $H \in \mathfrak{a}$ . Then  $Q(H)_{ii} - \lambda_i(H) = Q(H)_{ii} - \overline{Q}(H)_{ii} \in (\mathfrak{n}U(\mathfrak{n}))_\Lambda$ . However we have  $[H', Q(H)_{ii} - \lambda_i(H)] = [H', Q(H)_{ii}] = (\lambda_i - \lambda_i)(H')Q(H)_{ii} = 0$  for all  $H' \in \mathfrak{a}$ . Hence we have  $Q(H)_{ii} = \lambda_i(H)$ .

(2) We can prove  $(H - \lambda_i(H))^{r-i+1}v_i = 0$  for all  $H \in \mathfrak{a}$  by backward induction on  $i$ . If  $i = r$ , then the claim follows from  $Hv = Q(H)v$  and  $Q(H)_{ij} = 0$  for  $i > j$ . Assume  $i < r$ . Then from  $Hv = Q(H)v$  and (1), we have  $(H - \lambda_i(H))v_i = \sum_{j>i} Q(H)_{ij}v_j$ . Since  $[H, Q(H)_{ij}] = (\lambda_i - \lambda_j)(H)Q(H)_{ij}$ , we have  $(H - \lambda_i(H))^{r-i+1} = \sum_{j>i} Q(H)_{ij}(H - \lambda_j(H))^{r-i}v_j = 0$ .

For the proof we need some lemmas. Put  $w = \overline{D}u \in \widehat{\mathbb{X}}^r$ .

**Lemma 4.3.** *For  $H \in \mathfrak{a}$  there exists a matrix  $R \in M(r, (\mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}))_\Lambda)$  such that  $Hw = (\overline{Q}(H) + R)w$  in  $\widehat{\mathbb{X}}^r$ .*

*Proof.* Since  $w \pmod{((\mathfrak{n}U(\mathfrak{n}))_\Lambda \mathbb{X})^r} = \overline{v}$ , we have  $Hw - \overline{Q}(H)w \in ((\mathfrak{n}U(\mathfrak{n}))_\Lambda \mathbb{X})^r$ . Hence there exists a matrix  $R_1 \in M(N, r, (\mathfrak{n}U(\mathfrak{n}))_\Lambda)$  such that  $Hw - \overline{Q}(H)w = R_1u$ . Similarly we can choose a matrix  $S \in M(N, (\mathfrak{n}U(\mathfrak{n}))_\Lambda)$  which satisfies  $u = \overline{C}w + Su$ . Put  $R = R_1(1 - S)^{-1}\overline{C}$ . Then  $(H - \overline{Q}(H) - R)w = R_1u - R_1(1 - S)^{-1}\overline{C}w = 0$ .  $\square$

**Lemma 4.4.** *Let  $\lambda \in \mathbb{C}$  and  $Q_0, R_0 \in M(r, \mathbb{C})$ . Assume that  $Q_0$  is an upper triangular matrix. Then there exist matrices  $L_0, T_0 \in M(r, \mathbb{C})$  such that*

$$\begin{cases} \lambda L_0 - [Q_0, L_0] = T_0 + R_0, \\ \text{if } (Q_0)_{ii} - (Q_0)_{jj} \neq \lambda \text{ then } (T_0)_{ij} = 0. \end{cases}$$

*Proof.* Since  $(Q_0)_{ij} = 0$  for  $i > j$ , we have

$$\begin{aligned} (\lambda L_0 - [Q_0, L_0])_{ij} &= \lambda(L_0)_{ij} - \sum_{k=1}^r ((Q_0)_{ik}(L_0)_{kj} - (L_0)_{ik}(Q_0)_{kj}) \\ &= \lambda(L_0)_{ij} - \sum_{k=i}^r (Q_0)_{ik}(L_0)_{kj} + \sum_{k=1}^j (L_0)_{ik}(Q_0)_{kj} \\ &= (\lambda - ((Q_0)_{ii} - (Q_0)_{jj}))(L_0)_{ij} - \sum_{k=i+1}^r (Q_0)_{ik}(L_0)_{kj} \\ &\quad + \sum_{k=1}^{j-1} (L_0)_{ik}(Q_0)_{kj}. \end{aligned}$$

Hence we can choose  $(L_0)_{ij}$  and  $(T_0)_{ij}$  inductively on  $(j - i)$ .  $\square$

**Lemma 4.5.** *Let  $H$  be an element of  $\mathfrak{a}$  such that  $\alpha(H) > 0$  for all  $\alpha \in \Sigma^+$ . Let  $Q_0 \in M(r, \mathbb{C})$ ,  $R \in M(r, (\mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}))_\Lambda)$ . Assume  $(Q_0)_{ij} = 0$  for  $i > j$ . Set  $\mathcal{L}^{++} = \{\lambda(H) \mid \lambda \in \Lambda^{++}\}$ . Then there exist matrices  $L \in M(r, \widehat{\mathcal{E}}(\mathfrak{n})_\Lambda)$  and  $T \in M(r, (\mathfrak{n}U(\mathfrak{n}))_\Lambda)$  such that*

$$\begin{cases} L \in 1_r + M(r, (\mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}))_\Lambda), \\ (H1_r - Q_0 - T)L = L(H1_r - Q_0 - R), \\ \text{if } (Q_0)_{ii} - (Q_0)_{jj} \notin \mathcal{L}^{++} \text{ then } T_{ij} = 0, \\ \text{if } (Q_0)_{ii} - (Q_0)_{jj} \in \mathcal{L}^{++} \text{ then } [H, T_{ij}] = ((Q_0)_{ii} - (Q_0)_{jj})T_{ij}. \end{cases}$$

*Proof.* Set  $\mathcal{L} = \{\lambda(H) \mid \lambda \in \Lambda\}$  and  $\mathcal{L}^+ = \{\lambda(H) \mid \lambda \in \Lambda^+\}$ . Put  $f(\mathbf{n}) = \sum_i \mathbf{n}_i \beta_i$  for  $\mathbf{n} = (\mathbf{n}_i) \in \mathbb{Z}^m$ . Set  $\tilde{\Lambda} = \{\mathbf{n} \in \mathbb{Z}_{\geq 0}^m \mid f(\mathbf{n}) \in \Lambda\}$ . We define an order on  $\tilde{\Lambda}$  by  $\mathbf{n} < \mathbf{n}'$  if and only if  $f(\mathbf{n}) < f(\mathbf{n}')$ .

By Corollary 3.4, we can write  $R = \sum_{\mathbf{n} \in \tilde{\Lambda}} R_{\mathbf{n}} E^{\mathbf{n}}$  where  $R_{\mathbf{n}} \in M(r, \mathbb{C})$ . We have  $R_{\mathbf{0}} = 0$  where  $\mathbf{0} = (0, \dots, 0) \in \tilde{\Lambda}$  since  $R \in M(r, (\mathbf{n}\hat{\mathcal{E}}(\mathbf{n}))_{\Lambda})$ . We have to show the existence of  $L$  and  $T$ . Write  $L = 1_r + \sum_{\mathbf{n} \in \tilde{\Lambda}} L_{\mathbf{n}} E^{\mathbf{n}}$  and  $T = \sum_{\mathbf{n} \in \tilde{\Lambda}} T_{\mathbf{n}} E^{\mathbf{n}}$ . By the conditions of  $L$  and  $T$ , we have  $T_{\mathbf{0}} = L_{\mathbf{0}} = 0$ . Then  $(H1_r - Q_0 - T)L = L(H1_r - Q_0 - R)$  is equivalent to

$$f(\mathbf{n})(H)L_{\mathbf{n}} - [Q_0, L_{\mathbf{n}}] = T_{\mathbf{n}} + S_{\mathbf{n}} - R_{\mathbf{n}},$$

where  $S_{\mathbf{n}}$  is defined by

$$\sum_{\mathbf{n} \in \tilde{\Lambda}} S_{\mathbf{n}} E^{\mathbf{n}} = T(L - 1_r) - (L - 1_r)R.$$

By Proposition 3.6, the above equation is equivalent to

$$\sum_{f(\mathbf{n})=\mu} S_{\mathbf{n}} E^{\mathbf{n}} = \sum_{f(\mathbf{k})+f(\mathbf{l})=\mu} T_{\mathbf{k}} L_{\mathbf{l}} E^{\mathbf{k}} E^{\mathbf{l}} - \sum_{f(\mathbf{k})+f(\mathbf{l})=\mu} L_{\mathbf{k}} R_{\mathbf{l}} E^{\mathbf{k}} E^{\mathbf{l}}$$

for all  $\mu \in \mathfrak{a}^*$ .  $S_{\mathbf{n}}$  can be defined from the data  $\{T_{\mathbf{k}} \mid \mathbf{k} < \mathbf{n}\}$ ,  $\{L_{\mathbf{k}} \mid \mathbf{k} < \mathbf{n}\}$  and  $\{R_{\mathbf{k}} \mid \mathbf{k} < \mathbf{n}\}$ .

Now we prove the existence of  $L$  and  $T$ . We choose  $L_{\mathbf{n}}$  and  $T_{\mathbf{n}}$  which satisfy

$$\begin{cases} L_{\mathbf{0}} = 0, & T_{\mathbf{0}} = 0, \\ f(\mathbf{n})(H)L_{\mathbf{n}} - [Q_0, L_{\mathbf{n}}] = T_{\mathbf{n}} + S_{\mathbf{n}} - R_{\mathbf{n}}, \\ \text{if } (Q_0)_{ii} - (Q_0)_{jj} \neq f(\mathbf{n})(H) \text{ then } (T_{\mathbf{n}})_{ij} = 0. \end{cases}$$

By Lemma 4.4, we can choose such  $L_{\mathbf{n}}$  and  $T_{\mathbf{n}}$  inductively. Put  $L = 1_r + \sum_{\mathbf{n} \in \tilde{\Lambda}} L_{\mathbf{n}} E^{\mathbf{n}}$  and  $T = \sum_{\mathbf{n} \in \tilde{\Lambda}} T_{\mathbf{n}} E^{\mathbf{n}}$ . Since  $f(\mathbf{n})(H) \neq (Q_0)_{ii} - (Q_0)_{jj}$  implies  $(T_{\mathbf{n}})_{ij} = 0$ , we have

$$\begin{aligned} [H, T_{ij}] &= \sum_{\mathbf{n} \in \tilde{\Lambda}} (f(\mathbf{n})(H))(T_{\mathbf{n}})_{ij} E^{\mathbf{n}} \\ &= \sum_{\mathbf{n} \in \tilde{\Lambda}, f(\mathbf{n})(H)=(Q_0)_{ii}-(Q_0)_{jj}} (f(\mathbf{n})(H))(T_{\mathbf{n}})_{ij} E^{\mathbf{n}} = ((Q_0)_{ii} - (Q_0)_{jj})T_{ij}, \end{aligned}$$

Hence  $L$  and  $T$  satisfy the conditions of the lemma. □

*Proof of Theorem 4.1.* We can choose positive integers  $\zeta = (\zeta_i) \in \mathbb{Z}_{>0}^l$



such that

$$\begin{aligned} \{\alpha \in \Lambda^{++} \mid \alpha(\sum_s \zeta_s H_s) &= (\lambda_i - \lambda_j)(\sum_s \zeta_s H_s)\} \\ &= \begin{cases} \{\lambda_i - \lambda_j\} & (\lambda_i - \lambda_j \in \Lambda^{++}), \\ \emptyset & (\lambda_i - \lambda_j \notin \Lambda^{++}). \end{cases} \end{aligned}$$

The existence of such  $\zeta$  is shown by Oshima [Osh84, Lemma 2.3]. Set  $X = \sum_s \zeta_s H_s$ . Notice that  $(\lambda_i - \lambda_j)(X) \in \{\mu(X) \mid \mu \in \Lambda^{++}\}$  if and only if  $\lambda_i - \lambda_j \in \Lambda^{++}$ . By Lemma 4.5, there exist  $T \in M(r, (\mathfrak{n}U(\mathfrak{n}))_\Lambda)$  and  $L \in M(r, \widehat{\mathcal{E}}(\mathfrak{n})_\Lambda)$  such that

$$\begin{cases} L \in 1_r + M(r, (\mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}))_\Lambda), \\ (X1_r - \overline{Q}(X) - T)L = L(X1_r - \overline{Q}(X) - R), \\ \text{if } \lambda_i - \lambda_j \notin \Lambda^{++} \text{ then } T_{ij} = 0, \\ \text{if } \lambda_i - \lambda_j \in \Lambda^{++} \text{ then } [X, T_{ij}] = (\lambda_i - \lambda_j)(X)T_{ij}. \end{cases}$$

Let  $S \in M(N, (\mathfrak{n}U(\mathfrak{n}))_\Lambda)$  such that  $u = \overline{C}w + Su$ . Put  $C = (1 - S)^{-1}\overline{C}L^{-1}$ ,  $D = L\overline{D}$  and  $v = (v_1, v_2, \dots, v_r) = Du = Lw$ . Then  $CDu = (1 - S)^{-1}\overline{C}L^{-1}L\overline{D}u = (1 - S)^{-1}\overline{C}w = u$ . Moreover, we have  $(X1_r - \overline{Q}(X) - T)v = 0$ .

Assume  $i < j$ . Then  $\text{Re } \lambda_i - \text{Re } \lambda_j \leq 0$ . Hence  $\lambda_i - \lambda_j \notin \Lambda^{++}$ . So we have  $T_{ij} = 0$ . From this fact and  $(X1_r - \overline{Q}(X) - T)v = 0$ , we have  $(X - \lambda_i(X))^{r-i+1}v_i = 0$  (see Remark 4.2 (2)).

We construct the map  $Q$ . Fix a positive integer  $k$  such that  $1 \leq k \leq l$ . We can choose a matrix  $R_k \in M(r, (\mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n}))_\Lambda)$  such that  $H_k w = (\overline{Q}(H_k) + R_k)w$  by Lemma 4.3. Set  $T_k = H_k 1_r - \overline{Q}(H_k) - L(H_k 1_r - \overline{Q}(H_k) - R_k)L^{-1}$ . Then we have  $(H_k 1_r - \overline{Q}(H_k) - T_k)v = 0$ , i.e.,

$$H_k v_i - \sum_{j=1}^r \overline{Q}(H_k)_{ij} v_j - \sum_{j=1}^r (T_k)_{ij} v_j = 0$$

for each  $i = 1, 2, \dots, r$ . By Corollary 3.9, we have

$$(4.1) \quad H_k v_i - \sum_{j=1}^r \overline{Q}(H_k)_{ij} v_j - \sum_{j=1}^r (T_k)_{ij}^{(X, (\lambda_i - \lambda_j)(X))} v_j = 0.$$

Define  $T'_k \in M(r, (\mathfrak{n}U(\mathfrak{n}))_\Lambda)$  by  $(T'_k)_{ij} = (T_k)_{ij}^{(X, (\lambda_i - \lambda_j)(X))}$ . Since  $(T_k)_{ij}^{(\mu)} = 0$  for  $\mu \notin \Lambda^{++}$ , we have

$$\begin{aligned} (T_k)_{ij}^{(X, (\lambda_i - \lambda_j)(X))} &= \sum_{\mu \in \Lambda^{++}, \mu(X) = (\lambda_i - \lambda_j)(X)} (T_k)_{ij}^{(\mu)} \\ &= \begin{cases} (T_k)_{ij}^{(\lambda_i - \lambda_j)} & (\lambda_i - \lambda_j \in \Lambda^{++}), \\ 0 & (\lambda_i - \lambda_j \notin \Lambda^{++}) \end{cases} \end{aligned}$$

by the condition on  $\zeta$ . In particular  $[H, (T'_k)_{ij}] = (\lambda_i - \lambda_j)(H)(T'_k)_{ij}$  for all  $H \in \mathfrak{a}$ .

Put  $Q(\sum x_s H_s) = \overline{Q}(\sum x_s H_s) + \sum x_s T'_s$  for  $(x_1, x_2, \dots, x_l) \in \mathbb{C}^l$ . Then we have  $(H - Q(H))v = 0$  by (4.1). Recall that we have  $T_{ij} = 0$  for  $i < j$ . Hence we have  $(T'_s)_{ij} = 0$  for  $i < j$ . Moreover, by the condition on  $\overline{Q}$ , we have  $\overline{Q}(H)_{ij} = 0$  for  $i < j$  and  $H \in \mathfrak{a}$ . Hence we have  $Q(H)_{ij} = 0$  for  $i < j$  and  $H \in \mathfrak{a}$ . Therefore  $Q$  satisfies the conditions of the theorem.  $\square$

We apply Theorem 4.1 to a principal series representation. First we define the principal series representation which we will study.

Set  $\rho = \sum_{\alpha \in \Sigma^+} (\dim \mathfrak{g}_\alpha / 2)\alpha$ . From the Iwasawa decomposition  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{a} \oplus \mathfrak{n}$  we have the decomposition into the direct sum

$$U(\mathfrak{g}) = \mathfrak{n}U(\mathfrak{a} \oplus \mathfrak{n}) \oplus U(\mathfrak{a}) \oplus U(\mathfrak{g})\mathfrak{k}.$$

Let  $\chi_1$  be the projection of  $U(\mathfrak{g})$  to  $U(\mathfrak{a})$  with respect to this decomposition and  $\chi_2$  an algebra automorphism of  $U(\mathfrak{a})$  defined by  $\chi_2(H) = H - \rho(H)$  for  $H \in \mathfrak{a}$ . We define  $\chi: U(\mathfrak{g})^\mathfrak{k} \rightarrow U(\mathfrak{a})$  by  $\chi = \chi_2 \circ \chi_1$  where  $U(\mathfrak{g})^\mathfrak{k} = \{u \in U(\mathfrak{g}) \mid Xu = uX \text{ for all } X \in \mathfrak{k}\}$ . Let  $U(\mathfrak{a})^W$  be a subalgebra of  $U(\mathfrak{a})$  consisting of  $W$ -invariant elements. It is known that the image of  $U(\mathfrak{g})^\mathfrak{k}$  under  $\chi$  is contained in  $U(\mathfrak{a})^W$  and induces an isomorphism of algebra  $U(\mathfrak{g})^\mathfrak{k} / (U(\mathfrak{g})^\mathfrak{k} \cap U(\mathfrak{g})\mathfrak{k}) \rightarrow U(\mathfrak{a})^W$ . For details, see Helgason [Hel62, Chapter X, §6.3].

Fix  $\lambda \in \mathfrak{a}^*$ . We can define an algebra homomorphism  $U(\mathfrak{a}) \rightarrow \mathbb{C}$  by  $H \mapsto \lambda(H)$  for  $H \in \mathfrak{a}$ . We denote this map by the same letter  $\lambda$ . Put  $\chi_\lambda = \lambda \circ \chi$ . Define the  $U(\mathfrak{g})$ -module  $I(\lambda)$  by

$$I(\lambda) = U(\mathfrak{g}) / (U(\mathfrak{g}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}).$$

By a result of Kostant [Kos75, Theorem 2.10.3],  $I(\lambda)$  is isomorphic to the principal series representation generated by the trivial  $K$ -type with an infinitesimal character  $\lambda$  (see p. 1194). Set  $u_\lambda = 1 \pmod{(U(\mathfrak{g}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k})} \in I(\lambda)$  and  $I(\lambda)_0 = U(\mathfrak{g})_{2\mathcal{P}}u_\lambda$ . Before applying Theorem 4.1 to  $I(\lambda)_0$ , we give some lemmas.

**Lemma 4.6.** *Let  $u \in U(\mathfrak{g})_\mu$  where  $\mu \in \mathfrak{a}^*$ . Then there exists an element  $x \in U(\mathfrak{g})\mathfrak{k}$  such that  $u + x \in U(\mathfrak{a} \oplus \mathfrak{n})_{\mu+2\mathcal{P}}$ .*

*Proof.* In general, for a Lie algebra  $\mathfrak{c}$ , let  $\{U_n(\mathfrak{c})\}_{n \in \mathbb{Z}_{\geq 0}}$  be the standard filtration of  $U(\mathfrak{c})$ . Let  $n$  be the smallest integer such that  $u \in U_n(\mathfrak{g})$ . We will prove the existence of  $x$  by induction on  $n$ .

If  $n = 0$  then the lemma is obvious. Assume  $n > 0$ . Set  $\bar{\mathfrak{n}} = \theta(\mathfrak{n})$ . First assume that  $u \in U_n(\bar{\mathfrak{n}})_\mu$ . We may assume that there exist a restricted root  $\alpha \in \Sigma^+$ , an element  $u_0 \in U_{n-1}(\bar{\mathfrak{n}})_{\mu+\alpha}$  and a vector  $E_{-\alpha} \in \mathfrak{g}_{-\alpha}$  such that  $u = u_0 E_{-\alpha}$ . Set  $E_\alpha = \theta(E_{-\alpha})$ ,  $u_1 = u_0 E_\alpha$ ,  $u_2 = E_\alpha u_0$  and  $u_3 = u_1 - u_2$ . Then  $u + u_2 + u_3 = u + u_1 \in U(\mathfrak{g})\mathfrak{k}$ ,  $u_1, u_2 \in U(\mathfrak{g})_{\mu+2\alpha}$  and  $u_3 \in U_{n-1}(\mathfrak{g})_{\mu+2\alpha}$ . Using the induction hypothesis we can choose an element  $u_5 \in U(\mathfrak{a} \oplus \mathfrak{n})_{\mu+2\mathcal{P}}$  such that  $u_3 - u_5 \in U(\mathfrak{g})\mathfrak{k}$ . Again by the induction hypothesis we can choose an element  $u_6 \in U(\mathfrak{a} \oplus \mathfrak{n})_{\mu+\alpha+2\mathcal{P}}$  such that  $u_0 - u_6 \in U(\mathfrak{g})\mathfrak{k}$ . Then  $u + u_5 + E_\alpha u_6 \in U(\mathfrak{g})\mathfrak{k}$ ,  $u_5 \in U(\mathfrak{a} \oplus \mathfrak{n})_{\mu+2\mathcal{P}}$  and  $E_\alpha u_6 \in U(\mathfrak{a} \oplus \mathfrak{n})_{\mu+2\alpha+2\mathcal{P}}$ .

Now assume that  $u \in U_n(\mathfrak{g})_\mu$ . Since  $U_n(\mathfrak{g})_\mu = \sum_{k=0}^n \bigoplus_{\mu_1} U_{n-k}(\mathfrak{a} \oplus \mathfrak{n} \oplus \mathfrak{m})_{\mu-\mu_1} \otimes U_k(\bar{\mathfrak{n}})_{\mu_1}$ , we may assume that  $u = u' u''$  where  $u' \in U_{n-k}(\mathfrak{a} \oplus \mathfrak{n} \oplus \mathfrak{m})_{\mu-\mu_1}$  and  $u'' \in U_k(\bar{\mathfrak{n}})_{\mu_1}$ . Take  $x \in U(\mathfrak{g})\mathfrak{k}$  such that  $x + u'' \in U(\mathfrak{a} \oplus \mathfrak{n})_{\mu_1+2\mathcal{P}}$  and put  $x' = u' x \in U(\mathfrak{g})\mathfrak{k}$ . Then we have  $u + x' \in U(\mathfrak{a} \oplus \mathfrak{n} \oplus \mathfrak{m})_{\mu+2\mathcal{P}} = U(\mathfrak{a} \oplus \mathfrak{n})_{\mu+2\mathcal{P}} \oplus U(\mathfrak{a} \oplus \mathfrak{n})_{\mu+2\mathcal{P}}\mathfrak{m}$ . Take  $x'' \in U(\mathfrak{a} \oplus \mathfrak{n})_{\mu+2\mathcal{P}}\mathfrak{m}$  such that  $u + x' - x'' \in U(\mathfrak{a} \oplus \mathfrak{n})_{\mu+2\mathcal{P}}$ . Since  $\mathfrak{m} \subset \mathfrak{k}$ ,  $x' - x'' \in U(\mathfrak{g})\mathfrak{k} + U(\mathfrak{a} \oplus \mathfrak{n})_{\mu+2\mathcal{P}}\mathfrak{m} = U(\mathfrak{g})\mathfrak{k}$ . Hence we have the lemma.  $\square$

**Lemma 4.7.** *The following formulae hold.*

- (1)  $U(\mathfrak{g})\mathfrak{k} \subset U(\mathfrak{g})_{2\mathcal{P}}$ . In particular, we have  $\text{Ker } \chi_\lambda \subset U(\mathfrak{g})_{2\mathcal{P}}$ .
- (2)  $U(\mathfrak{a} \oplus \mathfrak{n}) \cap (\text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}) \subset U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}} \cap (\text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k})$ .
- (3)  $U(\mathfrak{a} \oplus \mathfrak{n}) \cap (U(\mathfrak{a} \oplus \mathfrak{n}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}) \subset U(\mathfrak{a} \oplus \mathfrak{n})(U(\mathfrak{a} \oplus \mathfrak{n}) \cap (\text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}))$ .
- (4)  $U(\mathfrak{a} \oplus \mathfrak{n}) \cap (U(\mathfrak{g}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}) = U(\mathfrak{a} \oplus \mathfrak{n})((U(\mathfrak{g}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}) \cap U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}})$ .

*Proof.* (1) Take a connected Lie group  $G$  such that Lie algebra of  $G$  is  $\mathfrak{g}_0$  and  $G$  has a complexification. Let  $K$  be its maximal compact subgroup such that  $\text{Lie}(K) = \mathfrak{k}_0$ . Since  $K$  is connected,  $U(\mathfrak{g})\mathfrak{k} = U(\mathfrak{g})^K = \{u \in U(\mathfrak{g}) \mid \text{Ad}(k)u = u \text{ for all } k \in K\}$ . Fix a maximal abelian subspace  $\mathfrak{t}_0$  of  $\mathfrak{m}_0$ . Let  $K_{\text{split}}$  and  $A_{\text{split}}$  be the analytic subgroups with Lie algebras given as the intersections of  $\mathfrak{k}_0$  and  $\mathfrak{a}_0$  with  $[Z_{\mathfrak{g}_0}(\mathfrak{t}_0), Z_{\mathfrak{g}_0}(\mathfrak{t}_0)]$  where  $Z_{\mathfrak{g}_0}(\mathfrak{t}_0)$  is the centralizer of  $\mathfrak{t}_0$  in  $\mathfrak{g}_0$ . Let  $F$  be the centralizer of  $A_{\text{split}}$  in  $K_{\text{split}}$ . Since  $F \subset K$ , we have  $U(\mathfrak{g})^K \subset U(\mathfrak{g})^F$ . On the other hand, we have  $U(\mathfrak{g})^F \subset U(\mathfrak{g})_{2\mathcal{P}}$  (See Knapp [Kna02, Theorem 7.55] and Lepowsky [Lep75, Proposition 6.1, Proposition 6.4]). Hence (1) follows.

(2) Let  $u \in \text{Ker } \chi_\lambda$  and  $x \in U(\mathfrak{g})\mathfrak{k}$  such that  $u + x \in U(\mathfrak{a} \oplus \mathfrak{n})$ . We can write  $u = \sum_\mu u_\mu$  where  $u_\mu \in U(\mathfrak{g})_\mu$ . By (1), we have  $u_\mu = 0$  for  $\mu \notin 2\mathcal{P}$ . Let  $\mu \in 2\mathcal{P}$ . By Lemma 4.6, there exists an element  $y_\mu \in U(\mathfrak{g})\mathfrak{k}$  such that  $u_\mu + y_\mu \in U(\mathfrak{a} \oplus \mathfrak{n})_{\mu+2\mathcal{P}} = U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}}$ . Put  $y = \sum_\mu y_\mu$ . Then  $u + y \in U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}}$ . Since  $u + y \in U(\mathfrak{a} \oplus \mathfrak{n})$  and  $x, y \in U(\mathfrak{g})\mathfrak{k}$  we have  $y = x$  by the Poincaré-Birkhoff-Witt theorem. Hence we have  $u + x = u + y \in U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}}$ .

(3) Let  $\sum_i x_i u_i \in U(\mathfrak{a} \oplus \mathfrak{n}) \text{Ker } \chi_\lambda$  where  $x_i \in U(\mathfrak{a} \oplus \mathfrak{n})$  and  $u_i \in \text{Ker } \chi_\lambda$ . We write  $u_i = \sum_j z_j^{(i)} v_j^{(i)}$  where  $z_j^{(i)} \in U(\mathfrak{a} \oplus \mathfrak{n})$  and  $v_j^{(i)} \in U(\mathfrak{k})$ . Let  $y \in U(\mathfrak{g})\mathfrak{k}$  and assume  $\sum_i x_i u_i + y \in U(\mathfrak{a} \oplus \mathfrak{n})$ . By the Poincaré-Birkhoff-Witt theorem,  $\sum_i x_i u_i + y = \sum_{i,j} x_i z_j^{(i)} v_{j,0}^{(i)}$  where  $v_{j,0}^{(i)}$  is the constant term of  $v_j^{(i)}$ . Since  $u_i + \sum_j z_j^{(i)} (v_{j,0}^{(i)} - v_j^{(i)}) = \sum_j z_j^{(i)} v_{j,0}^{(i)} \in U(\mathfrak{a} \oplus \mathfrak{n})$ , we have  $\sum_i x_i u_i + y = \sum_i x_i (u_i + \sum_j z_j^{(i)} (v_{j,0}^{(i)} - v_j^{(i)})) \in U(\mathfrak{a} \oplus \mathfrak{n})(U(\mathfrak{a} \oplus \mathfrak{n}) \cap (\text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}))$ .

(4) Since  $\text{Ker } \chi_\lambda \subset U(\mathfrak{g})\mathfrak{k}$ , we have

$$U(\mathfrak{g}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k} = U(\mathfrak{a} \oplus \mathfrak{n})(\text{Ker } \chi_\lambda)U(\mathfrak{k}) + U(\mathfrak{g})\mathfrak{k} = U(\mathfrak{a} \oplus \mathfrak{n}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}.$$

By (2) and (3), we have

$$\begin{aligned} U(\mathfrak{a} \oplus \mathfrak{n}) \cap (U(\mathfrak{g}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}) &= U(\mathfrak{a} \oplus \mathfrak{n}) \cap (U(\mathfrak{a} \oplus \mathfrak{n}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}) \\ &\subset U(\mathfrak{a} \oplus \mathfrak{n})(U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}} \cap (\text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k})) \\ &\subset U(\mathfrak{a} \oplus \mathfrak{n})((U(\mathfrak{g}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}) \cap U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}}). \end{aligned}$$

This implies (4). □

**Lemma 4.8.**

- (1) We have  $I(\lambda)_0 = U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}} u_\lambda$ .
- (2) The map  $p \otimes u \mapsto pu$  induces an isomorphism  $U(\mathfrak{n}) \otimes_{U(\mathfrak{n})_{2\mathcal{P}}} I(\lambda)_0 \simeq I(\lambda)$ .

*Proof.* (1) Since  $\mathfrak{k}u_\lambda = 0$ , this is obvious from Lemma 4.6.

(2) Let  $I = U(\mathfrak{a} \oplus \mathfrak{n}) \cap (U(\mathfrak{g}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k})$ . We have  $U(\mathfrak{a} \oplus \mathfrak{n}) \otimes_{U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}}} \mathbb{X} = U(\mathfrak{n}) \otimes_{U(\mathfrak{n})_{2\mathcal{P}}} \mathbb{X}$  for any  $U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}}$ -module  $\mathbb{X}$  since  $U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}} = U(\mathfrak{a}) \otimes U(\mathfrak{n})_{2\mathcal{P}}$ .

By (1), we have  $I(\lambda)_0 = U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}} / (I \cap U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}})$ . Hence

$$\begin{aligned} U(\mathfrak{n}) \otimes_{U(\mathfrak{n})_{2\mathcal{P}}} I(\lambda)_0 &= U(\mathfrak{a} \oplus \mathfrak{n}) \otimes_{U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}}} I(\lambda)_0 \\ &= U(\mathfrak{a} \oplus \mathfrak{n}) \otimes_{U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}}} (U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}} / (I \cap U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}})) \\ &= U(\mathfrak{a} \oplus \mathfrak{n}) / (U(\mathfrak{a} \oplus \mathfrak{n}) (I \cap U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}})) \\ &= U(\mathfrak{a} \oplus \mathfrak{n}) / I \\ &= I(\lambda) \end{aligned}$$

by Lemma 4.7 (4). □

**Lemma 4.9.** *Let  $\{U_n(\mathfrak{n})\}_{n \in \mathbb{Z}_{\geq 0}}$  be the standard filtration of  $U(\mathfrak{n})$  and  $U_n(\mathfrak{n})_{2\mathcal{P}} = U_n(\mathfrak{n}) \cap U(\mathfrak{n})_{2\mathcal{P}}$ . Set  $U_{-1}(\mathfrak{n}) = U_{-1}(\mathfrak{n})_{2\mathcal{P}} = 0$ ,  $R = \text{Gr } U(\mathfrak{n})_{2\mathcal{P}} = \bigoplus_n U_n(\mathfrak{n})_{2\mathcal{P}}/U_{n-1}(\mathfrak{n})_{2\mathcal{P}}$  and  $R' = \text{Gr } U(\mathfrak{n}) = \bigoplus_n U_n(\mathfrak{n})/U_{n-1}(\mathfrak{n})$ .*

- (1)  $R'$  is a finitely generated  $R$ -module.
- (2)  $U(\mathfrak{n})$  is a finitely generated  $U(\mathfrak{n})_{2\mathcal{P}}$ -module.
- (3)  $U(\mathfrak{n})_{2\mathcal{P}}$  is right and left Noetherian.
- (4)  $I(\lambda)_0$  is a finitely generated  $U(\mathfrak{n})_{2\mathcal{P}}$ -module.

*Proof.* (1) Let  $\Gamma = \{E^\varepsilon \mid \varepsilon \in \{0, 1\}^m\}$ . We denote the principal symbol of  $u \in U(\mathfrak{n})$  by  $\sigma(u) \in R'$ . Notice that if  $u \in U(\mathfrak{n})_{2\mathcal{P}}$  then  $\sigma(u)$  is the principal symbol of  $u$  as an element of  $U(\mathfrak{n})_{2\mathcal{P}}$ .

We will prove that  $\{\sigma(E) \mid E \in \Gamma\}$  generates  $R'$  as an  $R$ -module. Let  $x \in R'$ . We may assume that  $x$  is homogeneous, so there exists an element  $u \in U(\mathfrak{n})$  such that  $x = \sigma(u)$ . Moreover we may assume that there exist non-negative integers  $\mathbf{p} = (p_1, p_2, \dots, p_m)$  such that  $u = E^{\mathbf{p}}$ . Choose  $\varepsilon_i \in \{0, 1\}$  such that  $\varepsilon_i \equiv p_i \pmod{2}$ . Set  $q_i = (p_i - \varepsilon_i)/2 \in \mathbb{Z}_{\geq 0}$ ,  $\varepsilon = (\varepsilon_1, \varepsilon_2, \dots, \varepsilon_m)$  and  $\mathbf{q} = (q_1, q_2, \dots, q_m)$ . Then we have  $x = \sigma(E^{\mathbf{p}}) = \sigma(E^{2\mathbf{q}})\sigma(E^\varepsilon)$ . Since  $\sigma(E^{2\mathbf{q}}) \in R$ , this implies that  $\{\sigma(E) \mid E \in \Gamma\}$  generates  $R'$  as an  $R$ -module.

(2) This is a direct consequence of (1).

(3) By the Poincaré-Birkhoff-Witt theorem,  $R'$  is isomorphic to a polynomial ring. In particular  $R'$  is Noetherian. By the theorem of Eakin-Nagata and (1), we have  $R$  is Noetherian. This implies (3).

(4) Since  $I(\lambda)$  is a finite-length  $(\mathfrak{g}, K)$ -module,  $I(\lambda)$  is a finitely generated  $U(\mathfrak{n})$ -module by a theorem of Casselman-Osborne [CO78, 2.3 Theorem]. Since  $U(\mathfrak{n})$  is a finitely generated  $U(\mathfrak{n})_{2\mathcal{P}}$ -module,  $I(\lambda)$  is a finitely generated  $U(\mathfrak{n})_{2\mathcal{P}}$ -module. Hence  $I(\lambda)_0$  is a finitely generated  $U(\mathfrak{n})_{2\mathcal{P}}$ -module by (3). □

We enumerate  $W = \{w_1, w_2, \dots, w_r\}$  such that  $\text{Re } w_1\lambda \geq \text{Re } w_2\lambda \geq \dots \geq \text{Re } w_r\lambda$ .

**Theorem 4.10.** *There exist matrices  $A \in M(1, r, \widehat{\mathcal{E}}(\mathfrak{n})_{2\mathcal{P}})$  and  $B \in M(r, 1, \widehat{\mathcal{E}}(\mathfrak{a} \oplus \mathfrak{n}, \mathfrak{n})_{2\mathcal{P}})$  such that  $v_\lambda = Bu_\lambda \in (\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} I(\lambda))^r$  satisfies the following conditions:*

- There exists a linear map  $Q: \mathfrak{a} \rightarrow M(r, U(\mathfrak{n})_{2\mathcal{P}})$  such that

$$\begin{cases} H v_\lambda = Q(H) v_\lambda \text{ for all } H \in \mathfrak{a}, \\ Q(H)_{ii} = (\rho + w_i \lambda)(H) \text{ for all } H \in \mathfrak{a}, \\ \text{if } w_i \lambda - w_j \lambda \notin 2\mathcal{P}^+ \text{ then } Q(H)_{ij} = 0 \text{ for all } H \in \mathfrak{a}, \\ \text{if } w_i \lambda - w_j \lambda \in 2\mathcal{P}^+ \text{ then } [H', Q(H)_{ij}] \\ \qquad \qquad \qquad = (w_i \lambda - w_j \lambda)(H') Q(H)_{ij} \text{ for all } H, H' \in \mathfrak{a}, \\ \text{if } i > j \text{ then } Q(H)_{ij} = 0 \text{ for all } H \in \mathfrak{a}. \end{cases}$$

- We have  $u_\lambda = Av_\lambda$ .
- Let  $(v_1, v_2, \dots, v_r) = v_\lambda$ . Then  $\{v_i \pmod{\mathfrak{n}I(\lambda)}\}$  is a basis of  $I(\lambda)/\mathfrak{n}I(\lambda)$ .

*Proof.* Let  $u_1, u_2, \dots, u_N$  be generators of  $I(\lambda)_0$  as a  $U(\mathfrak{n})_{2\mathcal{P}}$ -module. These are also generators of  $I(\lambda)$  as a  $U(\mathfrak{n})$ -module by Lemma 4.8. We choose matrices  $E = {}^t(E_1, E_2, \dots, E_N) \in M(N, 1, U(\mathfrak{a} \oplus \mathfrak{n})_{2\mathcal{P}})$  and  $F = (F_1, F_2, \dots, F_N) \in M(1, N, U(\mathfrak{n})_{2\mathcal{P}})$  such that  ${}^t(u_1, u_2, \dots, u_N) = Eu_\lambda$  and  $u_\lambda = F{}^t(u_1, u_2, \dots, u_N)$ .

Notice that  $U(\mathfrak{n})_{2\mathcal{P}} + \mathfrak{n}U(\mathfrak{n}) = U(\mathfrak{n})$ . By Lemma 4.8,

$$\begin{aligned} I(\lambda)/\mathfrak{n}I(\lambda) &= (U(\mathfrak{n})/\mathfrak{n}U(\mathfrak{n})) \otimes_{U(\mathfrak{n})} I(\lambda) \\ &= (U(\mathfrak{n})/\mathfrak{n}U(\mathfrak{n})) \otimes_{U(\mathfrak{n})} U(\mathfrak{n}) \otimes_{U(\mathfrak{n})_{2\mathcal{P}}} I(\lambda)_0 \\ &= (U(\mathfrak{n})/\mathfrak{n}U(\mathfrak{n})) \otimes_{U(\mathfrak{n})_{2\mathcal{P}}} I(\lambda)_0 \\ &= ((U(\mathfrak{n})_{2\mathcal{P}} + \mathfrak{n}U(\mathfrak{n}))/\mathfrak{n}U(\mathfrak{n})) \otimes_{U(\mathfrak{n})_{2\mathcal{P}}} I(\lambda)_0 \\ &= (U(\mathfrak{n})_{2\mathcal{P}}/(\mathfrak{n}U(\mathfrak{n}) \cap U(\mathfrak{n})_{2\mathcal{P}})) \otimes_{U(\mathfrak{n})_{2\mathcal{P}}} I(\lambda)_0 \\ &= (U(\mathfrak{n})_{2\mathcal{P}}/(\mathfrak{n}U(\mathfrak{n}))_{2\mathcal{P}}) \otimes_{U(\mathfrak{n})_{2\mathcal{P}}} I(\lambda)_0 \\ &= I(\lambda)_0/(\mathfrak{n}U(\mathfrak{n}))_{2\mathcal{P}}I(\lambda)_0. \end{aligned}$$

On the other hand,

$$\begin{aligned} I(\lambda)/\mathfrak{n}I(\lambda) &= U(\mathfrak{g})/(\mathfrak{n}U(\mathfrak{g}) + U(\mathfrak{g}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}) \\ &= (\mathfrak{n}U(\mathfrak{g}) + U(\mathfrak{a}) + U(\mathfrak{g})\mathfrak{k})/(\mathfrak{n}U(\mathfrak{g}) + U(\mathfrak{g}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}) \\ &= U(\mathfrak{a})/((\mathfrak{n}U(\mathfrak{g}) + U(\mathfrak{g}) \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}) \cap U(\mathfrak{a})). \end{aligned}$$

Since  $\chi$  induces an algebra isomorphism  $U(\mathfrak{g})^\mathfrak{k}/(U(\mathfrak{g})^\mathfrak{k} \cap U(\mathfrak{g})\mathfrak{k}) \rightarrow U(\mathfrak{a})^W$ ,  $\chi_1$  also induces an isomorphism  $\text{Ker } \chi_\lambda/(\text{Ker } \chi_\lambda \cap U(\mathfrak{g})\mathfrak{k}) \rightarrow \sum_{p \in U(\mathfrak{a})^W} (\chi_2^{-1}(p) - \lambda(p))$ . Hence by the definition of  $\chi_1$ , we have

$$(\mathfrak{n}U(\mathfrak{g}) + \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}) = \mathfrak{n}U(\mathfrak{g}) + \left( \sum_{p \in U(\mathfrak{a})^W} (\chi_2^{-1}(p) - \lambda(p)) \right) + U(\mathfrak{g})\mathfrak{k}.$$

By the Poincaré-Birkhoff-Witt theorem, we have

$$(\mathfrak{n}U(\mathfrak{g}) + \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}) \cap U(\mathfrak{a}) = \sum_{p \in U(\mathfrak{a})^W} (\chi_2^{-1}(p) - \lambda(p)).$$

Since  $\text{Ker } \chi_\lambda \subset U(\mathfrak{g})^\mathfrak{k}$  and  $\mathfrak{a}$  normalizes  $\mathfrak{n}$ , we have  $U(\mathfrak{g})\text{Ker } \chi_\lambda = (\mathfrak{n}U(\mathfrak{g}) + U(\mathfrak{a}) + U(\mathfrak{g})\mathfrak{k})\text{Ker } \chi_\lambda \subset \mathfrak{n}U(\mathfrak{g}) + U(\mathfrak{a})\text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k} = U(\mathfrak{a})(\mathfrak{n}U(\mathfrak{g}) + \text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k})$ . Hence we have

$$(\mathfrak{n}U(\mathfrak{g}) + U(\mathfrak{g})\text{Ker } \chi_\lambda + U(\mathfrak{g})\mathfrak{k}) \cap U(\mathfrak{a}) = \sum_{p \in U(\mathfrak{a})^W} U(\mathfrak{a})(\chi_2^{-1}(p) - \lambda(p))$$

The set of eigenvalues of  $H \in \mathfrak{a}$  on  $U(\mathfrak{a})/(\sum_{p \in U(\mathfrak{a})^W} U(\mathfrak{a})(\chi_2^{-1}(p) - \lambda(p)))$  is  $\{(\rho + w\lambda)(H) \mid w \in W\}$  with multiplicities [Osh88, Proposition 2.8].

Put  $\mathbb{X} = I(\lambda)_0$ ,  $\Lambda = 2\mathcal{P}$  and apply Theorem 4.1. We take matrices  $C \in M(N, r, \widehat{\mathcal{E}}(\mathfrak{n})_{2\mathcal{P}})$  and  $D \in M(r, N, \widehat{\mathcal{E}}(\mathfrak{n})_{2\mathcal{P}})$  such that the conditions of Theorem 4.1 hold. Put  $A = FC$ ,  $B = CE$ . Then  $A$  and  $B$  satisfy the conditions of the theorem (for the second condition on  $Q$ , see Remark 4.2 (1)).  $\square$

*Remark 4.11.* For all  $H \in \mathfrak{a}$  we have  $(H - (w_i\lambda + \rho)(H))^{r-i+1}v_i = 0$ . See Remark 4.2 (2).

### §5. Structure of Jacquet Modules (Regular Case)

In this section we assume that  $\lambda$  is regular, i.e.,  $w\lambda \neq \lambda$  for all  $w \in W \setminus \{e\}$ . Let  $r = \#W$  and  $v_\lambda = (v_1, v_2, \dots, v_r) \in (\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} I(\lambda))^r$  as in Theorem 4.10. Set  $\mathcal{W}(i) = \{j \mid w_i\lambda - w_j\lambda \in 2\mathcal{P}^+\}$  for each  $i = 1, 2, \dots, r$ .

**Proposition 5.1.** *The vector  $v_i$  is a lowest weight vector of  $J(I(\lambda))/\sum_{j \in \mathcal{W}(i)} U(\mathfrak{g})v_j$ , i.e., we have  $Xv_i \in \sum_{j \in \mathcal{W}(i)} U(\mathfrak{g})v_j$  for all  $X \in \theta(\mathfrak{n}) \oplus \mathfrak{m}$ .*

Let  $A = {}^t(A^{(1)}, A^{(2)}, \dots, A^{(r)})$  be as in Theorem 4.10 and  $\overline{A} = {}^t(\overline{A}^{(1)}, \overline{A}^{(2)}, \dots, \overline{A}^{(r)})$  an element of  $M(r, 1, \mathbb{C})$  such that  $A^{(i)} - \overline{A}^{(i)} \in \mathfrak{n}\widehat{\mathcal{E}}(\mathfrak{n})$ .

**Lemma 5.2.** *We have  $\overline{A}^{(i)} \neq 0$  for each  $i = 1, 2, \dots, r$ .*

*Proof.* Put  $\overline{I(\lambda)} = I(\lambda)/\mathfrak{n}I(\lambda) \simeq \widehat{J}(I(\lambda))/\mathfrak{n}\widehat{J}(I(\lambda))$ . Since  $u_\lambda$  generates  $I(\lambda)$ , there exists  $\overline{B} = (\overline{B}^{(1)}, \overline{B}^{(2)}, \dots, \overline{B}^{(r)}) \in U(\mathfrak{g})^r$  such that  $v_i - \overline{B}^{(i)}u_\lambda \in \mathfrak{n}\widehat{J}(I(\lambda))$ . From  $\mathfrak{k}u_\lambda = 0$  and the Iwasawa decomposition, we may assume  $\overline{B}^{(i)} \in U(\mathfrak{a})$ .

Put  $\overline{u_\lambda} = u_\lambda \pmod{\mathfrak{n}\widehat{J}(I(\lambda))}$  and  $\overline{v}_i = v_i \pmod{\mathfrak{n}I(\lambda)}$ . Then we have  $\overline{v}_i = \sum_j A^{(j)} \overline{B}^{(i)} \overline{v}_j$ .

We can choose  $H \in \mathfrak{a}$  such that  $(\rho + w_i\lambda)(H) \neq (\rho + w_j\lambda)(H)$  for all  $i \neq j$  since  $\lambda$  is regular. Then we have  $(H - (\rho + w_i\lambda)(H))^{r-i+1}v_i = 0$  (Remark 4.11). Since  $\overline{v_i}$  is a basis of  $\overline{I(\lambda)}$ , we have  $(H - (\rho + w_i\lambda)(H))\overline{v_i} = 0$ . Hence for all  $i = 1, \dots, r$  there exists a polynomial  $f_i$  such that  $f_i(H)$  is a projection  $\overline{I(\lambda)} = \bigoplus_j \mathbb{C}\overline{v_j} \rightarrow \mathbb{C}\overline{v_i}$ . Since  $\mathfrak{a}$  is abelian, we have  $v_i = f_i(H)v_i = \sum_j \overline{A^{(j)} B^{(i)}} f_i(H)\overline{v_j} = \overline{A^{(i)} B^{(i)}}\overline{v_i}$ . This implies  $\overline{A^{(i)}} \neq 0$ .  $\square$

*Proof of Proposition 5.1.* Put  $f(\mathbf{n}) = \sum_i \mathbf{n}_i \beta_i$  for  $\mathbf{n} = (\mathbf{n}_i) \in \mathbb{Z}^m$ . Set  $\tilde{\Lambda} = \{\mathbf{n} \in \mathbb{Z}_{\geq 0}^m \mid f(\mathbf{n}) \in 2\mathcal{P}\}$ . We write  $A^{(j)} = \sum_{\mathbf{n} \in \tilde{\Lambda}} A_{\mathbf{n}}^{(j)} E^{\mathbf{n}}$  where  $A_{\mathbf{n}}^{(j)} \in M(r, 1, \mathbb{C})$ . Let  $\alpha \in \Sigma^+$  and  $E_\alpha \in \mathfrak{g}_\alpha$ . Since  $\mathfrak{k}u_\lambda = 0$ , we have  $(\theta(E_\alpha) + E_\alpha)u_\lambda = 0$ . Hence  $(\theta(E_\alpha) + E_\alpha) \sum_j \sum_{\mathbf{n}} A_{\mathbf{n}}^{(j)} E^{\mathbf{n}} v_j = 0$ .

By applying Corollary 3.9 we have

$$\sum_{j=1}^r \left( \sum_{\mathbf{n} \in \tilde{\Lambda}} A_{\mathbf{n}}^{(j)} (\theta(E_\alpha) + E_\alpha) E^{\mathbf{n}} \right)^{(w_i\lambda - w_j\lambda - \alpha)} v_j = 0$$

for  $i = 1, 2, \dots, r$ . On one hand, if  $w_i\lambda - w_j\lambda \notin 2\mathcal{P}_+$ , then

$$\left( \sum_{\mathbf{n} \in \tilde{\Lambda}} A_{\mathbf{n}}^{(j)} (\theta(E_\alpha) + E_\alpha) E^{\mathbf{n}} \right)^{(w_i\lambda - w_j\lambda - \alpha)} = 0.$$

On the other hand,

$$\left( \sum_{\mathbf{n} \in \tilde{\Lambda}} A_{\mathbf{n}}^{(i)} (\theta(E_\alpha) + E_\alpha) E^{\mathbf{n}} \right)^{(-\alpha)} = A_{\mathbf{0}}^{(i)} \theta(E_\alpha).$$

Hence we have

$$A_{\mathbf{0}}^{(i)} \theta(E_\alpha) v_i \in \sum_{j \in \mathcal{W}(i)} U(\mathfrak{g}) v_j.$$

Since  $A_{\mathbf{0}}^{(i)} = \overline{A^{(i)}} \neq 0$ , we have

$$\theta(E_\alpha) v_i \in \sum_{j \in \mathcal{W}(i)} U(\mathfrak{g}) v_j.$$

Next let  $X$  be an element of  $\mathfrak{m}$ . By Corollary 3.9, we have

$$\sum_{j=1}^r \left( \sum_{\mathbf{n} \in \tilde{\Lambda}} A_{\mathbf{n}}^{(j)} X E^{\mathbf{n}} \right)^{(w_i\lambda - w_j\lambda)} v_j = 0.$$

We can prove  $Xv_i \in \sum_{j \in \mathcal{W}(i)} U(\mathfrak{g}) v_j$  by the same argument.  $\square$



**Corollary 5.3.** Put  $V(\lambda) = \sum_i U(\mathfrak{g})v_i \subset \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} I(\lambda)$ . Then we have  $V(\lambda) = J(I(\lambda))$ .

*Proof.* By Theorem 4.1, we have  $u_\lambda = Av_\lambda$ . Since  $I(\lambda)$  is generated by  $u_\lambda$ , the module  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} I(\lambda)$  is generated by  $v_1, \dots, v_r$  as an  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n})$ -module.

By Proposition 5.1,  $V(\lambda)$  is finitely generated as a  $U(\mathfrak{n})$ -module. By applying Proposition 3.3, we see that the map  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} V(\lambda) \rightarrow \prod_{\mu \in \mathfrak{a}^*} V(\lambda)_\mu$  is bijective. Hence  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} V(\lambda) \rightarrow \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} I(\lambda)$  is injective by Proposition 3.8. This map is also surjective since  $v_1, v_2, \dots, v_r$  are generators of  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} I(\lambda)$ .

We have  $\widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} V(\lambda) = \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} I(\lambda)$ . Since  $I(\lambda)$  and  $V(\lambda)$  are finitely generated as  $U(\mathfrak{n})$ -modules, we have

$$\begin{aligned} \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} I(\lambda) &= \widehat{J}(I(\lambda)), \\ \widehat{\mathcal{E}}(\mathfrak{g}, \mathfrak{n}) \otimes_{U(\mathfrak{g})} V(\lambda) &= \widehat{J}(V(\lambda)), \end{aligned}$$

by Proposition 3.1. Hence we have  $J(I(\lambda)) = J(V(\lambda)) = V(\lambda)$  by Corollary 3.5. □

Recall the definition of generalized Verma modules. Set  $\bar{\mathfrak{p}} = \theta(\mathfrak{p})$  and  $\bar{\mathfrak{n}} = \theta(\mathfrak{n})$ .

**Definition 5.4** (Generalized Verma module). Let  $\mu \in \mathfrak{a}^*$ . Define the one-dimensional representation  $\mathbb{C}_{\rho+\mu}$  of  $\bar{\mathfrak{p}}$  by  $(X + Y + Z)v = (\rho + \mu)(Y)v$  for  $X \in \mathfrak{m}$ ,  $Y \in \mathfrak{a}$ ,  $Z \in \bar{\mathfrak{n}}$ ,  $v \in \mathbb{C}_{\rho+\mu}$ . We define a  $U(\mathfrak{g})$ -module  $M(\mu)$  by

$$M(\mu) = U(\mathfrak{g}) \otimes_{U(\bar{\mathfrak{p}})} \mathbb{C}_{\rho+\mu}.$$

This is called the generalized Verma module.

Set  $V_i = \sum_{j \geq i} U(\mathfrak{g})v_j$ . By the universality of tensor products, any  $U(\bar{\mathfrak{p}})$ -module homomorphism  $\mathbb{C}_{\rho+\mu} \rightarrow V$  can be uniquely extended to the  $U(\mathfrak{g})$ -module homomorphism  $M(\mu) \rightarrow V$  for a  $U(\mathfrak{g})$ -module  $V$ . In particular, we have a surjective  $U(\mathfrak{g})$ -module homomorphism  $M(w_i\lambda) \rightarrow V_i/V_{i+1}$ . We shall show that  $V_i/V_{i+1}$  is isomorphic to the generalized Verma module using the theory of characters.

Let  $G$  be a connected Lie group such that  $\text{Lie}(G) = \mathfrak{g}_0$ ,  $K$  its maximal compact subgroup with its Lie algebra  $\mathfrak{k}_0$ ,  $P$  the parabolic subgroup whose Lie algebra is  $\mathfrak{p}_0$  and  $P = MAN$  the Langlands decomposition of  $P$  where Lie algebra of  $M$  (resp.  $A$ ,  $N$ ) is  $\mathfrak{m}_0$  (resp.  $\mathfrak{a}_0$ ,  $\mathfrak{n}_0$ ).

Since  $I(w\lambda) = I(\lambda)$  for  $w \in W$ , we may assume that  $\text{Re } \lambda$  is dominant, i.e.,  $\text{Re } \lambda(H_i) \geq 0$  for each  $i = 1, 2, \dots, l$ . By a result of Kostant [Kos75, Theorem 2.10.3],  $I(\lambda)$  is isomorphic to the space of  $K$ -finite vectors of the non-unitary principal series representation  $\text{Ind}_P^G(1 \otimes \lambda)$ . The character of this representation is calculated by Harish-Chandra (See Knapp [Kna01, Proposition 10.18]). Before we state it, we fix some notation. Let  $H = TA$  be a maximally split Cartan subgroup,  $\mathfrak{h}_0$  its Lie algebra,  $T = H \cap M$ ,  $\Delta$  the root system of  $H$ ,  $\Delta^+$  the positive system compatible with  $\Sigma^+$ ,  $\Delta_I$  the set of imaginary roots,  $\Delta_I^+ = \Delta^+ \cap \Delta_I$  and  $\xi_\alpha$  the one-dimensional representation of  $H$  whose derivation is  $\alpha$  for  $\alpha \in \mathfrak{h}^*$ . Under these notation, the distribution character  $\Theta_G(I(\lambda))$  of  $I(\lambda)$  is as follows;

$$\Theta_G(I(\lambda))(ta) = \frac{\sum_{w \in W} \xi_{\rho+w\lambda}(a)}{\prod_{\alpha \in \Delta^+ \setminus \Delta_I^+} |1 - \xi_\alpha(ta)|} \quad (t \in T, a \in A).$$

We will use the Osborne conjecture, which was proved by Hecht and Schmid [HS83a, Theorem 3.6]. To state it, we must define the character of  $J(\mathbb{X})$  for a Harish-Chandra module  $\mathbb{X}$ . Recall that  $J(\mathbb{X})$  is an object of the category  $\mathcal{O}'_P$ , i.e.,

- (1) the actions of  $M \cap K$  and  $\mathfrak{g}$  are compatible,
- (2)  $J(\mathbb{X})$  splits under  $\mathfrak{a}$  into the direct sum of generalized weight spaces, each of them being a Harish-Chandra module for  $MA$ ,
- (3)  $J(\mathbb{X})$  is  $U(\bar{\mathfrak{n}})$ - and  $Z(\mathfrak{g})$ -finite

(See Hecht and Schmid [HS83b, (34)Lemma]). For an object  $V$  of  $\mathcal{O}'_P$ , we define the character  $\Theta_P(V)$  of  $V$  by

$$\Theta_P(V) = \sum_{\mu \in \mathfrak{a}^*} \Theta_{MA}(V_\mu),$$

where  $V_\mu$  is the generalized  $\mu$ -weight space of  $V$ . Let  $G'$  be the set of regular elements of  $G$ . Set

$$A^- = \{a \in A \mid \alpha(\log a) < 0 \text{ for all } \alpha \in \Sigma^+\},$$

$$(MA)^- = \text{interior of } \left\{ g \in MA \mid \prod_{\alpha \in \Delta^+ \setminus \Delta_I^+} (1 - \xi_\alpha(ga)) \geq 0 \text{ for all } a \in A^- \right\} \text{ in } MA.$$

Then the Osborn conjecture says that  $\Theta_G(\mathbb{X})$  and  $\Theta_P(J(\mathbb{X}))$  coincide on  $(MA)^- \cap G'$  (See Hecht and Schmid [HS83b, (42)Lemma]). It is easy to calculate the character of a generalized Verma module. We have

$$\Theta_P(M(\mu))(ta) = \frac{\xi_{\rho+\mu}(a)}{\prod_{\alpha \in \Delta^+ \setminus \Delta_I^+} (1 - \xi_\alpha(ta))} \quad (t \in T, a \in A).$$

Consequently we have

$$\Theta_P(J(I(\lambda))) = \sum_{w \in W} \Theta_P(M(w\lambda)).$$

This implies the following theorem when  $\lambda$  is regular.

**Theorem 5.5.** *There exists a filtration  $0 = V_{r+1} \subset V_r \subset \dots \subset V_1 = J(I(\lambda))$  of  $J(I(\lambda))$  such that  $V_i/V_{i+1}$  is isomorphic to  $M(w_i\lambda)$  for an arbitrary  $\lambda \in \mathfrak{a}^*$ . Moreover if  $w\lambda - \lambda \notin 2\mathcal{P}$  for all  $w \in W \setminus \{e\}$  then  $J(I(\lambda)) \simeq \bigoplus_{w \in W} M(w\lambda)$ .*

*Proof.* The first part of the theorem has already been proved. For the second part, consider the exact sequence

$$0 \longrightarrow V_{i+1} \longrightarrow V_i \longrightarrow M(w_i\lambda) \longrightarrow 0.$$

If  $w\lambda - \lambda \notin 2\mathcal{P}$  for all  $w \in W \setminus \{e\}$ , then  $v_i \in V_i$  is a lowest weight vector from Proposition 5.1. Hence we have a map  $M(w_i\lambda) \rightarrow V_i$ , which gives a splitting of the above exact sequence.  $\square$

### §6. Structure of Jacquet Modules (Singular Case)

In this section, we shall prove Theorem 5.5 in the singular case using the translation principle. We keep the notation of Section 5. Let  $\lambda'$  be an element of  $\mathfrak{a}^*$  such that the following conditions hold:

- The weight  $\lambda'$  is regular.
- The weight  $(\lambda - \lambda')/2$  is integral.
- The real part of  $\lambda'$  belongs to the same Weyl chamber that the real part of  $\lambda$  belongs to.

First we define the translation functor  $T_{\lambda'}^\lambda$ . Let  $\mathbb{X}$  be a  $U(\mathfrak{g})$ -module which has an infinitesimal character  $\lambda'$ . (We regard  $\mathfrak{a}^* \subset \mathfrak{h}^*$ .) We define  $T_{\lambda'}^\lambda(\mathbb{X})$  by  $T_{\lambda'}^\lambda(\mathbb{X}) = P_\lambda(\mathbb{X} \otimes E_{\lambda-\lambda'})$  where:

- $E_{\lambda-\lambda'}$  is the finite-dimensional irreducible representation of  $\mathfrak{g}$  with an extreme weight  $\lambda - \lambda'$ .
- $P_\lambda(V) = \{v \in V \mid \text{for some } n > 0 \text{ and all } z \in Z(\mathfrak{g}), (z - \lambda(\tilde{\chi}(z)))^n v = 0\}$  where  $Z(\mathfrak{g})$  is the center of  $U(\mathfrak{g})$  and  $\tilde{\chi}: Z(\mathfrak{g}) \rightarrow U(\mathfrak{h})$  is the Harish-Chandra homomorphism.

Notice that  $P_\lambda$  and  $T_{\lambda'}^\lambda$  are exact functors. Since the functors  $J$  and  $T_{\lambda'}^\lambda$  commute [Mat90, Proposition 3.2.1], Theorem 5.5 in the singular case follows from the following two identities.

- (1)  $T_{\lambda'}^\lambda(I(\lambda')) = I(\lambda)$ .
- (2)  $T_{\lambda'}^\lambda(M(w\lambda')) = M(w\lambda)$ .

The following lemma is important to prove these identities.

**Lemma 6.1.** *Let  $\nu$  be a weight of  $E_{\lambda-\lambda'}$  and  $w \in W$ . Assume  $\nu = w\lambda - \lambda'$ . Then  $\nu = \lambda - \lambda'$ .*

*Proof.* See Vogan [Vog81, Lemma 7.2.18]. □

*Proof of  $T_{\lambda'}^\lambda(I(\lambda')) = I(\lambda)$ .* We may assume that  $\lambda'$  is dominant. Notice that we have  $I(\lambda') \simeq \text{Ind}_P^G(1 \otimes \lambda')_K$ . Let  $0 = E_0 \subset E_1 \subset E_2 \subset \dots \subset E_n = E_{\lambda-\lambda'}$  be a  $P$ -stable filtration with the trivial induced action of  $N$  on  $E_i/E_{i-1}$ . We may assume that  $E_i/E_{i-1}$  is irreducible. Let  $\nu_i$  be the highest weight of  $E_i/E_{i-1}$ . Notice that  $\text{Ind}_P^G(1 \otimes \lambda') \otimes E_{\lambda-\lambda'} = \text{Ind}_P^G((1 \otimes \lambda') \otimes E_{\lambda-\lambda'})$ . Then  $\text{Ind}_P^G(1 \otimes \lambda') \otimes E_{\lambda-\lambda'}$  has a filtration  $\{M_i\}$  such that  $M_i/M_{i-1} \simeq \text{Ind}_P^G((1 \otimes \lambda') \otimes (E_i/E_{i-1}))$ . Since  $\text{Ind}_P^G((1 \otimes \lambda') \otimes (E_i/E_{i-1}))$  has an infinitesimal character  $\lambda + \nu_i$ ,  $P_\lambda(M_i/M_{i-1}) = 0$  if  $\nu_i \neq w\lambda - \lambda'$  for all  $w \in W$ . By Lemma 6.1 we have  $T_{\lambda'}^\lambda(\text{Ind}_P^G(1 \otimes \lambda')) = \text{Ind}_P^G((1 \otimes \lambda') \otimes (E_i/E_{i-1}))$  where  $\nu_i = \lambda - \lambda'$ . By the conditions of  $\lambda'$ , the action of  $M$  on the  $(\lambda - \lambda')$ -weight space of  $E_{\lambda-\lambda'}$  is trivial. Consequently  $T_{\lambda'}^\lambda(\text{Ind}_P^G(1 \otimes \lambda')) = \text{Ind}_P^G((1 \otimes \lambda') \otimes (\lambda - \lambda')) = \text{Ind}_P^G(1 \otimes \lambda)$ . □

*Proof of  $T_{\lambda'}^\lambda(M(w\lambda')) = M(w\lambda)$ .* We may assume  $w = e \in W$ . Since  $M(\lambda') \otimes E_{\lambda-\lambda'} = U(\mathfrak{g}) \otimes (\mathbb{C}_{\lambda'} \otimes E_{\lambda-\lambda'})$ , the equation follows by the same argument of the proof of  $T_{\lambda'}^\lambda(I(\lambda')) = I(\lambda)$ . □

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