Matroids on Convex Geometries: Subclasses, Operations, and Optimization

by

Yoshio Sano

Abstract

A matroid-like structure defined on a convex geometry, called a cg-matroid, was introduced by S. Fujishige, G. A. Koshevoy, and Y. Sano [Matroids on convex geometries (cg-matroids), Discrete Math. **307** (2007) 1936–1950]. In this paper, we continue the study of cg-matroids and extend the theory of cg-matroids. We give some characterizations of cg-matroids by axioms. Strict cg-matroids are a special subclass of cg-matroids which have nice properties. We define another subclass of cg-matroids, called co-strict cg-matroids, which also have good properties. Moreover, we consider operations on cg-matroids such as restriction and contraction. These operations are closely related to subclasses of cg-matroids. We also consider an optimization problem on cg-matroids, which reveals the relation between the greedy algorithm and cg-matroids.

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§1. Introduction

The notion of a matroid was introduced by H. Whitney [17] in 1935 as an abstraction of the notion of linear independence in a vector space. The importance of matroids for combinatorial optimization was revealed in the 1960s by J. Edmonds, who found efficient algorithms and min-max relations for optimization problems involving matroids (see [6]). Matroids are exactly those structures where the greedy algorithm yields an optimum solution. Many researchers have studied and extended the matroid theory (see books by D. J. A. Welsh [16], J. Oxley [11], A. Schrijver [14] and S. Fujishige [8]).

The notion of a poset matroid which was studied by M. Barnabei, G. Nicoletti, and L. Pezzoli [2], [3] in the 1990s as a generalization of a matroid. It is a matroidlike structure defined on a partially ordered set (poset) instead of just a finite set. Poset matroids are equivalent to supermatroids defined on distributive lattices; the notion of a supermatroid was introduced by F. D. J. Dunstan, A. W. Ingleton, and D. J. A. Welsh [4] in 1972 as a generalization of the concept of a matroid (see also [12], [15] for related topics).

A matroid-like structure defined on a convex geometry, called a cg-matroid, was introduced by S. Fujishige, G. A. Koshevoy, and Y. Sano [9] in 2007 as a generalization of a (poset) matroid. A convex geometry on a nonempty finite set E is the pair of the set E and an intersection-closed family \mathcal{F} of subsets of E, called the family of closed sets, which contains the empty set and the set E and satisfies the "one-point extension property". The notion of a convex geometry arose from the notion of convexity in a vector space and was introduced by P. H. Edelman and R. E. Jamison [5]. Note that a convex geometry is the dual of an antimatroid. A cg-matroid is defined to be the pair of a convex geometry and a nonempty subfamily \mathcal{B} of the family of closed sets of the convex geometry, called the family of bases, which is a clutter satisfying a "middle base property". Fujishige et al. showed that all the bases of a cg-matroid have the same cardinality, and gave a characterization of a cg-matroid by an "exchange property" for bases. Here appears one of the significant differences between cg-matroids and ordinary matroids: In

an ordinary matroid, if we have two bases B_1 , B_2 and an element e_1 in the base B_1 then we can find an element e_2 in the other base B_2 so that the base B_1 with e_1 replaced by e_2 is also a base of the matroid. In a cg-matroid, there is no guarantee that we can find such an element e_2 in the base B_2 but still we can find such an element e_2 in the closure of the union of the bases B_1 and B_2 . An independent set of a cg-matroid is a closed subset of a base of the cg-matroid. Fujishige et al. also gave a characterization of cg-matroids by axioms for independent sets.

In this paper, we continue the study of cg-matroids and extend their theory. This paper is organized as follows: In Section 2, we prepare some notation which will be used in this paper and recall the definition and some properties of convex geometries. In Section 3, we first recall the definition and basic results for cg-matroids, which were given in [9]. Then we give another characterization of cg-matroids. A spanning set of a cg-matroid is a closed set which contains a base of the cg-matroid. We characterize cg-matroids by axioms for spanning sets.

In Section 4, we consider some subclasses of cg-matroids which arise naturally from the characterization of cg-matroids obtained in Section 3 by strengthening an axiom in the characterizations. A cg-matroid satisfying the strict augmentation property is said to be strict, and a cg-matroid satisfying the strict reduction property is said to be co-strict. Strict cg-matroids are a special subclass of cg-matroids on which we can define the rank functions naturally. Characterizations of strict cg-matroids by axioms for rank functions were given in [13]. In Section 4, we give some characterizations of strict cg-matroids and co-strict cg-matroids.

In Section 5, we consider operations on cg-matroids such as restriction and contraction. These operations are closely related to the subclasses of cg-matroids given in Section 4. The restriction of a cg-matroid to a spanning set is also a cg-matroid, but the restriction of a cg-matroid to a closed set is not a cg-matroid in general. In the case of a strict cg-matroid, the restriction to a closed set is always a strict cg-matroid. Similarly, the contraction of a cg-matroid by an independent set is also a cg-matroid, but the contraction of a cg-matroid by a closed set is not a cg-matroid in general. In the case of a co-strict cg-matroid, the contraction by a closed set is not a cg-matroid in general. In the case of a co-strict cg-matroid, the contraction by a closed set is always a co-strict cg-matroid.

In Section 6, we consider an optimization problem on cg-matroids, which reveals the relation between the greedy algorithm and cg-matroids. For a given hereditary system on a convex geometry and a nonnegative weight function on the ground set, we consider the maximum base problem, or the maximum independent set problem. We show that if the hereditary system is a strict cg-matroid and the weight function is "natural" on the convex geometry, then the greedy algorithm always produces an optimal solution of the maximum independent set problem. We

also show that a hereditary system on a convex geometry with the property that the greedy algorithm produces an optimal solution of the problem for any natural nonnegative weight function on the convex geometry is a strict cg-matroid. This gives a characterization of strict cg-matroids by the greedy algorithm.

§2. Preliminaries on convex geometries

§2.1. Notation

In this subsection, we prepare some notation which is used in this paper. Let E be a nonempty finite set. We denote the family of all subsets of E by 2^{E} . For two sets A and B, we denote the set $\{e \in A \mid e \notin B\}$ by $A \setminus B$. For a family \mathcal{A} of subsets of E, we denote the set of all maximal elements (with respect to set inclusion) in the family \mathcal{A} by $\mathbf{Max}(\mathcal{A})$, the set of all minimal elements in \mathcal{A} by $\mathbf{Min}(\mathcal{A})$, the *lower set* of \mathcal{A} in the set 2^{E} (endowed with a partial order \subseteq) by $\mathbf{Low}(\mathcal{A})$, and the *upper set* of \mathcal{A} in 2^{E} by $\mathbf{Upp}(\mathcal{A})$:

(2.1) $\mathbf{Low}(\mathcal{A}) := \{ X \in 2^E \mid \exists A \in \mathcal{A} : X \subseteq A \},\$

(2.2)
$$\mathbf{Upp}(\mathcal{A}) := \{ X \in 2^E \mid \exists A \in \mathcal{A} : X \supseteq A \}$$

Definition. For a family \mathcal{A} of subsets of E and a subset X of E, we define the following families:

- (2.3) $\mathcal{A}^{(X)} := \mathbf{Low}(\{X\}) \cap \mathcal{A} = \{A \mid A \in \mathcal{A}, A \subseteq X\} \subseteq 2^X \subseteq 2^E,$
- (2.4) $\mathcal{A}_{[X]} := \mathbf{Upp}(\{X\}) \cap \mathcal{A} = \{A \mid A \in \mathcal{A}, A \supseteq X\} \subseteq 2^E,$
- (2.5) $\mathcal{A}_{(X)} := \{A \setminus X \mid A \in \mathcal{A}_{[X]}\} \subseteq 2^{E \setminus X}.$

We call $\mathcal{A}^{(X)}$ the *(lower) restriction* of \mathcal{A} to X, $\mathcal{A}_{[X]}$ the *upper restriction* of \mathcal{A} to X, and $\mathcal{A}_{(X)}$ the *contraction* of \mathcal{A} by X.

§2.2. Convex geometries

A convex geometry is a fundamental combinatorial structure defined on a finite set (see P. H. Edelman and R. E. Jamison [5]).

Definition. Let E be a nonempty finite set and \mathcal{F} a family of subsets of E. The pair (E, \mathcal{F}) is called a *convex geometry* on E if \mathcal{F} satisfies the following three properties:

 $\begin{array}{l} (\mathrm{CG0}) \ \emptyset, E \in \mathcal{F}. \\ (\mathrm{CG1}) \ X, Y \in \mathcal{F} \Rightarrow X \cap Y \in \mathcal{F}. \\ (\mathrm{CG2}) \ \mathrm{For \ any} \ X \in \mathcal{F} \setminus \{E\}, \ \mathrm{there \ exists} \ e \in E \setminus X \ \mathrm{such \ that} \ X \cup \{e\} \in \mathcal{F}. \end{array}$

The set E is called the *ground set* of the convex geometry (E, \mathcal{F}) , and each element of \mathcal{F} is called a *closed set*. It should be noted that the property (CG2) is equivalent to the following property whenever the properties (CG0) and (CG1) hold (cf. [5, Theorem 2.2]):

(CG2)' Every maximal chain $\emptyset = X_0 \subsetneq X_1 \subsetneq \cdots \subsetneq X_n = E$ of closed sets of (E, \mathcal{F}) has length n = |E|.

Example 2.1. The following are examples of convex geometries.

- (a) Let *E* be a finite set of points in a Euclidean space \mathbb{R}^d . Define $\mathcal{F} = \{X \in 2^E \mid X = \operatorname{Conv}(X) \cap E\}$, where $\operatorname{Conv}(X)$ denotes the convex hull of *X* in \mathbb{R}^d . Then (E, \mathcal{F}) is a convex geometry, called a *convex shelling* or an *affine convex geometry*.
- (b) Let *E* be the vertex set of a tree *T*. Define $\mathcal{F} = \{X \in 2^E \mid X \text{ is the vertex set of a subtree of$ *T* $}. Then <math>(E, \mathcal{F})$ is a convex geometry, called a *tree shelling*.
- (c) Let E be a partially ordered set (poset). Define $\mathcal{F} = \{X \in 2^E \mid X \text{ is an (order)} \text{ ideal of } E\}$. Then (E, \mathcal{F}) is a convex geometry, called a *poset shelling*. It is well-known that a convex geometry (E, \mathcal{F}) is a poset shelling if and only if \mathcal{F} is closed with respect to set union.

Next, we define operators associated with a convex geometry.

Definition. Let (E, \mathcal{F}) be a convex geometry. The *closure operator* of (E, \mathcal{F}) is an operator $\tau : 2^E \to \mathcal{F}$ defined by

(2.6)
$$\tau(X) = \bigcap \{ Y \mid Y \in \mathcal{F}, X \subseteq Y \} \quad (X \in 2^E).$$

That is, $\tau(X)$ is the unique minimal closed set containing X. The set $\tau(X)$ is called the *closure* of X.

The closure operator τ satisfies the following properties:

 $\begin{array}{ll} (\mathrm{cl0}) \ \tau(\emptyset) = \emptyset. \\ (\mathrm{cl1}) \ X \subseteq \tau(X) \ \mathrm{for \ any} \ X \in 2^E \ (\mathrm{Extensionality}). \\ (\mathrm{cl2}) \ X \subseteq Y \Rightarrow \tau(X) \subseteq \tau(Y) \ \mathrm{for \ any} \ X, Y \in 2^E \ (\mathrm{Monotonicity}). \\ (\mathrm{cl3}) \ \tau(\tau(X)) = \tau(X) \ \mathrm{for \ any} \ X \in 2^E \ (\mathrm{Idempotence}). \end{array}$

Note. In general, any operator $\tau : 2^E \to 2^E$ satisfying the four conditions given above is called a *closure operator*. Conversely, given a closure operator τ , define $\mathcal{F} = \{X \in 2^E \mid \tau(X) = X\}$. Then \mathcal{F} satisfies the properties (CG0) and (CG1). The pair (E, τ) of a set E and a closure operator $\tau : 2^E \to 2^E$ is called a *closure*

space. In terms of a closure operator, a closure space (E, τ) is a convex geometry if and only if it satisfies the following property:

(AE) (Anti-Exchange Property) $X \in 2^E$, $p \in E \setminus \tau(X), q \in \tau(X \cup \{p\}) \setminus \{p\} \Rightarrow p \notin \tau(X \cup \{q\})$.

It is well-known that a convex geometry forms a graded lattice with respect to set inclusion, where the lattice operations of *join* \vee and *meet* \wedge are given by $X \vee Y := \tau(X \cup Y)$ and $X \wedge Y := X \cap Y$ for any $X, Y \in \mathcal{F}$.

Definition. Let (E, \mathcal{F}) be a convex geometry with the closure operator τ . The *extreme-point operator* of (E, \mathcal{F}) is an operator ex : $2^E \to 2^E$ defined by

(2.7)
$$\operatorname{ex}(X) = \{ e \mid e \in X, e \notin \tau(X \setminus \{e\}) \} \quad (X \in 2^E).$$

An element in ex(X) is called an *extreme point* of X. The *co-extreme-point operator* of (E, \mathcal{F}) is an operator $ex^* : 2^E \to 2^E$ defined by

(2.8)
$$ex^*(X) = \{e \mid e \in E \setminus \tau(X), \tau(X) \cup \{e\} = \tau(X \cup \{e\})\} \quad (X \in 2^E).$$

An element in $ex^*(X)$ is called a *co-extreme point* of X.

For any closed set $X \in \mathcal{F}$ of a convex geometry (E, \mathcal{F}) , we have

(2.9)
$$\operatorname{ex}(X) = \{ e \in X \mid X \setminus \{ e \} \in \mathcal{F} \},$$

(2.10)
$$\operatorname{ex}^{*}(X) = \{ e \in E \setminus X \mid X \cup \{ e \} \in \mathcal{F} \}$$

(cf. [9, (2.5), (2.6)]).

The extreme-point operator ex: $2^E \rightarrow 2^E$ satisfies the following properties:

(ex0) $ex(\{e\}) = \{e\}$ for all $e \in E$ (Singleton Identity).

- (ex1) $ex(X) \subseteq X$ for all $X \in 2^E$ (Intensionality).
- (ex2) $X \subseteq Y \subseteq E \Rightarrow ex(Y) \cap X \subseteq ex(X)$ (Chernoff Property).
- (ex3) For any $X \in 2^E$ and any $p, q \in E \setminus X$, if $p \notin ex(X \cup \{p\})$ and $q \in ex(X \cup \{q\})$, then $q \in ex(X \cup \{p,q\})$.
- (ex4) $ex(Y) \subseteq X \subseteq Y \subseteq E \Rightarrow ex(X) \subseteq ex(Y)$ (Aizerman's Axiom).

Note. K. Ando [1] showed that the conditions (ex1)-(ex3) completely characterize the extreme-point operators ex for closure spaces ([1, Theorem 2]), while the conditions (ex0)-(ex2) and (ex4) completely characterize the extreme-point operators ex for convex geometries ([1, Theorem 4]).

§2.3. Operations on convex geometries

In this subsection, we consider some operations on convex geometries such as restriction, contraction, and union.

Proposition 2.2 ([5, Theorem 5.9]). Let (E, \mathcal{F}) be a convex geometry and X a closed set. Then the restriction

 $(2.11) (E,\mathcal{F})|X := (X,\mathcal{F}^{(X)})$

of (E, \mathcal{F}) to X is a convex geometry.

Proposition 2.3 ([5, Theorem 5.10]). Let (E, \mathcal{F}) be a convex geometry and X a closed set. Then the contraction

(2.12)
$$(E,\mathcal{F})/X := (E \setminus X, \mathcal{F}_{(X)})$$

of (E, \mathcal{F}) by X is a convex geometry.

A minor of a convex geometry (E, \mathcal{F}) is any convex geometry on a subset E' of E obtained by a sequence of restrictions and contractions.

Corollary 2.4 ([5, Corollary 5.11]). Every minor of a convex geometry is a convex geometry.

Note. A forbidden minor characterization of convex geometries is given as follows (see [5, Theorem 5.12]): A closure space (E, \mathcal{F}) is a convex geometry if and only if it has no minor isomorphic to $(\{1, 2\}, \{\emptyset, \{1, 2\}\})$.

Proposition 2.5. Let (E_1, \mathcal{F}_1) and (E_2, \mathcal{F}_2) be convex geometries with $E_1 \cap E_2 = \emptyset$. Let

$$(2.13) \qquad \mathcal{F}_1 \sqcup \mathcal{F}_2 := \{ X_1 \cup X_2 \subseteq E_1 \cup E_2 \mid X_1 \in \mathcal{F}_1, X_2 \in \mathcal{F}_2 \}.$$

Then $(E_1 \cup E_2, \mathcal{F}_1 \sqcup \mathcal{F}_2)$ is a convex geometry.

Proof. We show that $\mathcal{F}_1 \sqcup \mathcal{F}_2$ satisfies the properties (CG0), (CG1), and (CG2). Since $\emptyset, E_1 \in \mathcal{F}_1$ and $\emptyset, E_2 \in \mathcal{F}_2$, we have $\emptyset, E_1 \cup E_2 \in \mathcal{F}_1 \sqcup \mathcal{F}_2$, and thus (CG0) holds. For any $X_1 \cup X_2, Y_1 \cup Y_2 \in \mathcal{F}_1 \sqcup \mathcal{F}_2$, we have $(X_1 \cup X_2) \cap (Y_1 \cup Y_2) = (X_1 \cap Y_1) \cup (X_2 \cap Y_2) \in \mathcal{F}_1 \sqcup \mathcal{F}_2$ since $E_1 \cap E_2 = \emptyset$, and thus (CG1) holds. Take any $X_1 \cup X_2 \in \mathcal{F}_1 \sqcup \mathcal{F}_2 \setminus \{E_1 \cup E_2\}$. Note that $X_1 \neq E_1$ or $X_2 \neq E_2$ hold, so we may assume that $X_2 \neq E_2$. Then, by the property (CG2) for (E_2, \mathcal{F}_2) , there exists $e \in E_2 \setminus X_2$ such that $X_2 \cup \{e\} \in \mathcal{F}_2$. Therefore we have $e \in (E_1 \cup E_2) \setminus (X_1 \cup X_2)$ and $X_1 \cup X_2 \cup \{e\} \in \mathcal{F}_1 \sqcup \mathcal{F}_2$, and thus (CG2) holds.

Now we consider operations for a subfamily of the family of closed sets of a convex geometry.

Proposition 2.6. Let (E, \mathcal{F}) be a convex geometry with the closure operator τ , X a closed set, and \mathcal{A} a subfamily of \mathcal{F} . Suppose that \mathcal{A} satisfies the following property:

• $A \in \mathcal{A} \Rightarrow X \cap A \in \mathcal{A}$.

Then the restriction $\mathcal{A}^{(X)}$ of \mathcal{A} to X is given by

(2.14)
$$\mathcal{A}^{(X)} = \{ X \cap A \mid A \in \mathcal{A} \}.$$

In particular, we have

(2.15)
$$\mathcal{F}^{(X)} = \{ X \cap Y \mid Y \in \mathcal{F} \}.$$

Proof. Put $\mathcal{Z} := \{X \cap A \mid A \in \mathcal{A}\}$. We show $\mathcal{A}^{(X)} = \mathcal{Z}$. Take any $A \in \mathcal{A}^{(X)}$. Then $A \in \mathcal{A}$ and $A \subseteq X$. Thus $A = X \cap A \in \mathcal{Z}$. Take any $X \cap A \in \mathcal{Z}$ with $A \in \mathcal{A}$. Then $X \cap A \in \mathcal{A}$ by the assumption and $X \cap A \subseteq X$. Thus we have $X \cap A \in \mathcal{A}^{(X)}$. Hence $\mathcal{A}^{(X)} = \mathcal{Z}$.

Proposition 2.7. Let (E, \mathcal{F}) be a convex geometry with the closure operator τ , X a closed set, and \mathcal{A} a subfamily of \mathcal{F} . Suppose that \mathcal{A} satisfies the following property:

•
$$A \in \mathcal{A} \Rightarrow \tau(X \cup A) \in \mathcal{A}.$$

Then the upper restriction $\mathcal{A}_{[X]}$ of \mathcal{A} by X is given by

(2.16)
$$\mathcal{A}_{[X]} = \{ \tau(X \cup A) \mid A \in \mathcal{A} \}$$

In particular, we have

(2.17)
$$\mathcal{F}_{[X]} = \{ \tau(X \cup Y) \mid Y \in \mathcal{F} \}.$$

Proof. Put $\mathcal{Z} := \{\tau(X \cup A) \mid A \in \mathcal{A}\}$. We show $\mathcal{A}_{[X]} = \mathcal{Z}$. Take any $A \in \mathcal{A}_{[X]}$. Then $A \in \mathcal{A} \subseteq \mathcal{F}$ and $A \supseteq X$. Therefore $A = \tau(A)$ and $A = X \cup A$. Thus we have $A = \tau(A) = \tau(X \cup A) \in \mathcal{Z}$. Take any $\tau(X \cup A) \in \mathcal{Z}$ with $A \in \mathcal{A}$. Then $\tau(X \cup A) \in \mathcal{A}$ by the assumption and $\tau(X \cup A) \supseteq X \cup A \supseteq X$ by (cl1). Thus we have $\tau(X \cup A) \in \mathcal{A}_{[X]}$. Hence $\mathcal{A}_{[X]} = \mathcal{Z}$.

Proposition 2.8. Let (E, \mathcal{F}) be a convex geometry with the closure operator τ , X a closed set, and \mathcal{A} a subfamily of \mathcal{F} . Suppose that \mathcal{A} satisfies the following property:

• $A \in \mathcal{A} \Rightarrow \tau(X \cup A) \in \mathcal{A}.$

Then the contraction $\mathcal{A}_{(X)}$ of \mathcal{A} by X is given by

(2.18)
$$\mathcal{A}_{(X)} = \{ \tau(X \cup A) \setminus X \mid A \in \mathcal{A} \}.$$

In particular, we have

(2.19)
$$\mathcal{F}_{(X)} = \{ \tau(X \cup Y) \setminus X \mid Y \in \mathcal{F} \}.$$

Proof. This follows from the definition of contraction and Proposition 2.7. \Box

§3. Matroids on convex geometries (cg-matroids)

Let (E, \mathcal{F}) be a convex geometry on a finite set E with the family \mathcal{F} of closed sets. Let $\tau : 2^E \to \mathcal{F}$ be the closure operator of the convex geometry (E, \mathcal{F}) , ex : $\mathcal{F} \to 2^E$ the extreme-point operator of (E, \mathcal{F}) , and ex^{*} : $\mathcal{F} \to 2^E$ the coextreme-point operator of (E, \mathcal{F}) .

§3.1. Definition

First, we recall the definition of a cg-matroid.

Definition ([9]). Let (E, \mathcal{F}) be a convex geometry and \mathcal{B} be a subfamily of \mathcal{F} . The pair $M = (E, \mathcal{F}; \mathcal{B})$ is called a *matroid on the convex geometry* (E, \mathcal{F}) , or a *cg-matroid* for short, if \mathcal{B} satisfies the following three properties:

- (B0) $\mathcal{B} \neq \emptyset$.
- (B1) $B_1, B_2 \in \mathcal{B}, B_1 \subseteq B_2 \Rightarrow B_1 = B_2.$
- (BM) (Middle Base Property) For any $B_1, B_2 \in \mathcal{B}$ and $X, Y \in \mathcal{F}$ with $B_1 \supseteq X \subseteq Y \supseteq B_2$, there exists $B \in \mathcal{B}$ such that $X \subseteq B \subseteq Y$.

Each element in the family \mathcal{B} is called a *base*, and $\mathcal{B} = \mathcal{B}(M)$ is called the *family* of bases of the cg-matroid $M = (E, \mathcal{F}; \mathcal{B})$.

Let $M = (E, \mathcal{F}; \mathcal{B})$ be a cg-matroid with a family \mathcal{B} of bases. Let

(3.1)
$$\mathcal{I} = \mathcal{I}(M) := \mathbf{Low}(\mathcal{B}) \cap \mathcal{F}$$

(3.2)
$$\mathcal{S} = \mathcal{S}(M) := \mathbf{Upp}(\mathcal{B}) \cap \mathcal{F}.$$

Each element in $\mathcal{I}(M)$ is called an *independent set* of the cg-matroid M, and each element in $\mathcal{S}(M)$ is called a *spanning set* of the cg-matroid M. Note that $\mathcal{B} = \mathbf{Max}(\mathcal{I}) = \mathbf{Min}(\mathcal{S})$.

Example 3.1 ([9, Example 3.2]). Let (E, \mathcal{F}) be a convex geometry and k be an integer such that $0 \leq k \leq |E|$. Define $\mathcal{B} := \{X \in \mathcal{F} \mid |X| = k\}$. Then $(E, \mathcal{F}; \mathcal{B})$ is a cg-matroid, called a *k*-uniform cg-matroid.

Example 3.2 ([13, Example 2.13]). Let (E, \mathcal{F}) be a convex shelling in \mathbb{R}^d . We call a finite set X of points in \mathbb{R}^d a *simplex* if dim(Conv(X)) = |X| - 1. Let

(3.3)
$$\mathcal{I} = \{X \in \mathcal{F} \mid \dim(\operatorname{Conv}(X)) = |X| - 1\}$$

be the family of closed sets which are simplices in \mathbb{R}^d . Then $(E, \mathcal{F}; \mathcal{I})$ is a cgmatroid, called an *affine cg-matroid*.

Example 3.3. Let (E, \mathcal{F}) be a convex geometry, X a nonempty closed set, and A a set of extreme points of X. Let $\mathcal{B} := \{X \setminus \{e\} \mid e \in A\}$. Then $(E, \mathcal{F}; \mathcal{B})$ is a cg-matroid.

Proof. It is easy to see that the properties (B0) and (B1) hold. We show the middle base property (BM). Let Z, Y be closed sets such that $X \setminus \{e_1\} \supseteq Z \subseteq Y \supseteq X \setminus \{e_2\}$ where $e_1, e_2 \in A \subseteq ex(X)$. If $e_2 \in Z \subseteq Y$, then we have $Z \subseteq X \setminus \{e_1\} \subseteq X =$ $(X \setminus \{e_2\}) \cup \{e_2\} \subseteq Y$ with $X \setminus \{e_1\} \in \mathcal{B}$. If $e_2 \notin Z \subseteq X \setminus \{e_1\}$, then we have $Z \subseteq X \setminus \{e_1, e_2\} \subseteq X \setminus \{e_2\} \subseteq Y$ with $X \setminus \{e_2\} \in \mathcal{B}$. Therefore (BM) holds, and thus $(E, \mathcal{F}; \mathcal{B})$ is a cg-matroid.

Example 3.4. Let (E, \mathcal{F}) be a convex geometry, $X \in \mathcal{F} \setminus \{E\}$ a closed set, and A a set of co-extreme points of X. Let $\mathcal{B} := \{X \cup \{e\} \mid e \in A\}$. Then $(E, \mathcal{F}; \mathcal{B})$ is a cg-matroid.

Proof. It is easy to see that the properties (B0) and (B1) hold. We show the middle base property (BM). Let Z, Y be closed sets such that $X \cup \{e_1\} \supseteq Z \subseteq Y \supseteq X \cup \{e_2\}$ where $e_1, e_2 \in A \subseteq ex^*(X)$. If $e_1 \in Z \subseteq Y$, then we have $Z \subseteq X \cup \{e_1\} \subseteq$ $(X \cup \{e_2\}) \cup \{e_1\} \subseteq Y$ with $X \cup \{e_1\} \in \mathcal{B}$. If $e_1 \notin Z \subseteq X \cup \{e_1\}$, then we have $Z \subseteq X \subseteq X \cup \{e_2\} \subseteq Y$ with $X \cup \{e_2\} \in \mathcal{B}$. Therefore (BM) holds, and thus $(E, \mathcal{F}; \mathcal{B})$ is a cg-matroid.

§3.2. Combinatorial structure of cg-matroids

The family of bases of a cg-matroid satisfies the following.

Theorem 3.5 ([9, Theorem 3.3]). All the bases in a cg-matroid have the same cardinality, i.e., for any cg-matroid $(E, \mathcal{F}; \mathcal{B})$, the following property holds: (B1)' $B_1, B_2 \in \mathcal{B} \Rightarrow |B_1| = |B_2|$.

In [9], S. Fujishige, G. A. Koshevoy, and Y. Sano have given a characterization of the family of bases of a cg-matroid by an "exchange property" as follows.

Theorem 3.6 ([9, Theorem 3.7]). Let (E, \mathcal{F}) be a convex geometry and \mathcal{B} a subfamily of \mathcal{F} . Then \mathcal{B} is the family of bases of a cg-matroid on (E, \mathcal{F}) if and only if \mathcal{B} satisfies (B0) and (BE), where

(BE) (Exchange Property) For any $B_1, B_2 \in \mathcal{B}$ and any $e_1 \in ex(\tau(B_1 \cup B_2)) \setminus B_2$, there exists $e_2 \in \tau(B_1 \cup B_2) \setminus B_1$ such that $(B_1 \setminus \{e_1\}) \cup \{e_2\} \in \mathcal{B}$.

Example 3.7. Let (E, \mathcal{F}) be the convex shelling of the 12 points in the plane given in Figure 1. Recall that for a convex shelling (E, \mathcal{F}) , the closure $\tau(X)$ of a subset X of E is the set of points of E which are contained in the convex hull of X, and that an extreme point of a closed set Y is a vertex of the convex hull of Y.

Let $M = (E, \mathcal{F}; \mathcal{B})$ be the 4-uniform cg-matroid defined on (E, \mathcal{F}) . Take two bases $B_1 := \{2, 5, 6, 10\} \in \mathcal{B}$ and $B_2 := \{4, 7, 8, 10\} \in \mathcal{B}$ of the cg-matroid M. Then the closure $\tau(B_1 \cup B_2)$ of the union of the bases B_1 and B_2 is $\{2, 3, 4, 5, 6, 7, 8, 10\}$, and the set of extreme points of $\tau(B_1 \cup B_2)$ is $\{2, 4, 5, 8, 10\}$. So we have

$$ex(\tau(B_1 \cup B_2)) \setminus B_2 = \{2, 5\}, \quad \tau(B_1 \cup B_2) \setminus B_1 = \{3, 4, 7, 8\}.$$

The elements e_1 and e_2 in the statement of the exchange property (BE) are taken from the above sets. Note that the element 3 is not in the base B_2 .

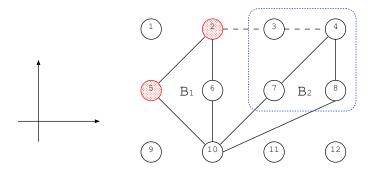


Figure 1. The 4-uniform cg-matroid defined on a convex shelling of 12 points in the plane.

The authors of [9] have also given a characterization of the family of independent sets of a cg-matroid.

Theorem 3.8 ([9, Theorems 3.10, 3.12]). Let (E, \mathcal{F}) be a convex geometry and \mathcal{I} a subfamily of \mathcal{F} . Then \mathcal{I} is the family of independent sets of a cg-matroid on (E, \mathcal{F}) if and only if \mathcal{I} satisfies the following properties:

- (I0) $\emptyset \in \mathcal{I}$.
- (I1) $I_1 \in \mathcal{F}, I_2 \in \mathcal{I}, I_1 \subseteq I_2 \Rightarrow I_1 \in \mathcal{I}.$
- (IA) (Augmentation Property) For any $I_1 \in \mathcal{I}$ and $I_2 \in \mathbf{Max}(\mathcal{I})$ with $|I_1| < |I_2|$, there exists $e \in \tau(I_1 \cup I_2) \setminus I_1$ such that $I_1 \cup \{e\} \in \mathcal{I}$.

By Theorem 3.8, we call the pair $(E, \mathcal{F}; \mathcal{I})$ of a convex geometry (E, \mathcal{F}) and a subfamily \mathcal{I} of \mathcal{F} a *cg-matroid with a family* \mathcal{I} *of independent sets* if \mathcal{I} satisfies the properties (I0), (I1), and (IA).

Proposition 3.9. The property (I1) is equivalent to the following property:

(I1)' (Intersection Property) $X \in \mathcal{F}, I \in \mathcal{I} \Rightarrow X \cap I \in \mathcal{I}.$

Proof. Suppose that the property (I1) holds. Take any $X \in \mathcal{F}$ and $I \in \mathcal{I} \subseteq \mathcal{F}$. Then we have $X \cap I \in \mathcal{F}$ by the property (CG1) and $X \cap I \subseteq I \in \mathcal{I}$. So we have $X \cap I \in \mathcal{I}$ by (I1), and thus the property (I1)' holds.

Conversely, suppose that (I1)' holds. Take any $I_1 \in \mathcal{F}$ and $I_2 \in \mathcal{I}$ with $I_1 \subseteq I_2$. Then we have $I_1 = I_1 \cap I_2 \in \mathcal{I}$ by (I1)', and thus the property (I1) holds.

Proposition 3.10. Let $M = (E, \mathcal{F}; \mathcal{I})$ be a cg-matroid with a family \mathcal{I} of independent sets and X a closed set. Then the restriction $\mathcal{I}^{(X)} = \{I \in \mathcal{I} \mid I \subseteq X\}$ of \mathcal{I} to X is given by

(3.4)
$$\mathcal{I}^{(X)} = \{ X \cap I \mid I \in \mathcal{I} \}.$$

Proof. This follows from Propositions 2.6 and 3.9.

Proposition 3.11. The augmentation property (IA) is equivalent to the following property:

(IA)' For any $I_1, I_2 \in \mathcal{I}$ with $|I_1| < |I_2|$ such that $I \subseteq \tau(I_1 \cup I_2)$ for some $I \in Max(\mathcal{I})$, there exists $e \in \tau(I_1 \cup I_2) \setminus I_1$ such that $I_1 \cup \{e\} \in \mathcal{I}$.

Proof. It is easy to see that the property (IA)' implies (IA). Conversely, suppose that the property (IA) holds. Take $I_1, I_2 \in \mathcal{I}$ with $|I_1| < |I_2|$ such that $I \subseteq \tau(I_1 \cup I_2)$ for some $I \in \mathbf{Max}(\mathcal{I})$. Since $I_1 \subseteq I_1 \cup I_2 \subseteq \tau(I_1 \cup I_2)$, we have $I_1 \cup I \subseteq \tau(I_1 \cup I_2)$. Then $\tau(I_1 \cup I) \subseteq \tau(\tau(I_1 \cup I_2)) = \tau(I_1 \cup I_2)$ by (cl2) and (cl3). By the property (IA), there exists $e \in \tau(I_1 \cup I) \setminus I_1 \subseteq \tau(I_1 \cup I_2) \setminus I_1$ such that $I_1 \cup \{e\} \in \mathcal{I}$. Hence the property (IA)' holds.

In the following, we give a characterization of the family of spanning sets of a cg-matroid.

Theorem 3.12. The family S = S(M) of spanning sets of a cg-matroid $M = (E, \mathcal{F}; \mathcal{B})$ with a family \mathcal{B} of bases satisfies the following properties.

- (S0) $E \in \mathcal{S}$.
- (S1) $S_1 \in \mathcal{F}, S_2 \in \mathcal{S}, S_1 \supseteq S_2 \Rightarrow S_1 \in \mathcal{S}.$
- (SR) (Reduction Property) For any $S_1 \in S$ and $S_2 \in Min(S)$ with $|S_1| > |S_2|$, there exists $e \in S_1 \setminus S_2$ such that $S_1 \setminus \{e\} \in S$.

Proof. We can easily check from the property (B0) and the definition of spanning sets that (S0) and (S1) hold. Let us show that the reduction property (SR) holds. For any $S_1 \in \mathcal{S} \subseteq \mathcal{F}$ and $S_2 \in \operatorname{Min}(\mathcal{S}) \subseteq \mathcal{F}$ with $|S_1| > |S_2|$, there exists a base $B_1 \in \mathcal{B}$ such that $B_1 \subsetneq S_1$, and S_2 itself is a base because of its minimality. Therefore $S_2 \supseteq S_1 \cap S_2 \subseteq S_1 \supseteq B_1$. Note that $S_1 \cap S_2 \in \mathcal{F}$ by the property (CG1). Hence, by the middle base property (BM), there exists a base $B \in \mathcal{B}$ such that $S_1 \cap S_2 \subseteq B \subseteq S_1$. Note that $S_1 \notin \mathcal{B}$ by the property (B1)', since $|B| = |S_2| < |S_1|$. Therefore $B \neq S_1$. By considering a chain of closed sets of (E, \mathcal{F}) containing Band S_1 , we can take $e \in S_1 \setminus B \subseteq S_1 \setminus S_2$ such that $B \subseteq S_1 \setminus \{e\}$. Hence the reduction property (SR) holds.

Conversely, we can show the following.

Theorem 3.13. Let (E, \mathcal{F}) be a convex geometry and \mathcal{S} a subfamily of \mathcal{F} . Suppose that the family \mathcal{S} satisfies the properties (S0), (S1), and (SR). Put $\mathcal{B} := Min(\mathcal{S})$. Then \mathcal{B} is the family of bases of a cg-matroid on (E, \mathcal{F}) .

Before proving this theorem, we show the following lemma.

Lemma 3.14. Let (E, \mathcal{F}) be a convex geometry and $S \subseteq \mathcal{F}$ a subfamily of \mathcal{F} . Suppose that the family S satisfies the properties (S0), (S1), and (SR). Then the family $\mathcal{B} = \operatorname{Min}(S)$ satisfies the property (B1)'.

Proof. If we have $|B_1| > |B_2|$ for some $B_1, B_2 \in \mathcal{B} = \operatorname{Min}(\mathcal{S})$, then from the reduction property (SR) there exists $e \in B_1 \setminus B_2$ such that $B_1 \setminus \{e\} \in \mathcal{S}$, which contradicts the minimality of B_1 in \mathcal{S} .

Proof of Theorem 3.13. The property (B0) follows from the property (S0) and the definition of a spanning set. The property (B1) follows from Lemma 3.14.

Now, we show that the middle base property (BM) holds. For a nonnegative integer k, we consider the following property:

 $(BM)_k$ For any $B_1, B_2 \in \mathcal{B}$ and $X, Y \in \mathcal{F}$ with $B_1 \supseteq X \subseteq Y \supseteq B_2$ and $k = |Y \setminus B_1|$, there exists $B \in \mathcal{B}$ such that $X \subseteq B \subseteq Y$.

Note that the middle base property (BM) holds if and only if the property $(BM)_k$ holds for any $k \in \mathbb{Z}_{\geq 0}$. So we show that $(BM)_k$ holds for any $k \in \mathbb{Z}_{\geq 0}$ by induction on k. When k = 0, we have $B_2 \subseteq Y \subseteq B_1$. It follows from this fact and the property (B1) that $X \subseteq B_1 = B_2 = Y$, and thus the property $(BM)_0$ holds. Next, assume that $(BM)_k$ holds for some $k \geq 0$. Suppose $|Y \setminus B_1| = k + 1$. If $B_2 = Y$, then $X \subseteq B_2 = Y$, and the property $(BM)_{k+1}$ holds. So we assume that $B_2 \subsetneq Y$. Since $Y \in S$, B_1 is minimal in S, and $|Y| > |B_1| (= |B_2|)$, it follows from the reduction

property (SR) that there exists $e \in Y \setminus B_1$ such that $Y' := Y \setminus \{e\} \in S$. Then there exists a base $B'_2 \in \mathcal{B}$ such that $B'_2 \subseteq Y'$. Note that $|Y' \setminus B_1| = |Y \setminus B_1| - 1 = k$ and $X \subseteq Y'$ since $e \in Y$ and $e \notin B_1 \supseteq X$. By the property $(BM)_k$, there exists $B \in \mathcal{B}$ such that $X \subseteq B \subseteq Y' \subsetneq Y$. Thus the property $(BM)_{k+1}$ holds. \Box

By Theorems 3.12 and 3.13, we call the pair $(E, \mathcal{F}; S)$ of a convex geometry (E, \mathcal{F}) and a subfamily S of \mathcal{F} a *cg-matroid* with a *family* S *of spanning sets* if S satisfies the properties (S0), (S1), and (SR).

Proposition 3.15. The property (S1) is equivalent to the following property:

 $(S1)' \ X \in \mathcal{F}, \ S \in \mathcal{S} \Rightarrow \tau(X \cup S) \in \mathcal{S}.$

Proof. Suppose that the property (S1) holds. Take any $X \in \mathcal{F}$ and $S \in \mathcal{S}$. Then we have $\tau(X \cup S) \in \mathcal{F}$ and $\tau(X \cup S) \supseteq X \cup S \supseteq S \in \mathcal{S}$. So $\tau(X \cup S) \in \mathcal{S}$ by (S1), and thus the property (S1)' holds.

Suppose that the property (S1)' holds. Take any $S_1 \in \mathcal{F}$ and $S_2 \in \mathcal{S}$ with $S_1 \supseteq S_2$. Then $S_1 = \tau(S_1)$ and $S_1 = S_1 \cup S_2$. Therefore we have $S_1 = \tau(S_1) = \tau(S_1 \cup S_2) \in \mathcal{S}$ by (S1)', and thus the property (S1) holds.

Proposition 3.16. Let $M = (E, \mathcal{F}; S)$ be a cg-matroid with a family S of spanning sets and X a closed set. Then the contraction $S_{(X)} = \{S \setminus X \mid S \in S, S \supseteq X\}$ of S by X is given by

(3.5)
$$\mathcal{S}_{(X)} = \{ \tau(X \cup S) \setminus X \mid S \in \mathcal{S} \}.$$

Proof. This follows from Propositions 2.8 and 3.15.

Proposition 3.17. The reduction property (SR) is equivalent to the following property:

(SR)' For any $S_1, S_2 \in \mathcal{S}$ with $|S_1| > |S_2|$ such that $S_1 \cap S_2 \subseteq S$ for some $S \in Min(\mathcal{S})$, there exists $e \in S_1 \setminus S_2$ such that $S_1 \setminus \{e\} \in \mathcal{S}$.

Proof. It is easy to see that the property (SR)' implies (SR). Conversely, suppose that the property (SR) holds. Take $S_1, S_2 \in S$ with $|S_1| > |S_2|$ such that $S_1 \cap S_2 \subseteq S$ for some $S \in Min(S)$. Then $S_1 \cap S_2 \subseteq S_1 \cap S$. By the property (SR), there exists $e \in S_1 \setminus S \subseteq S_1 \setminus S_2$ such that $S_1 \setminus \{e\} \in S$. Hence the property (SR)' holds. \Box

§4. Subclasses of cg-matroids

§4.1. Strict cg-matroids

In this subsection, we discuss strict cg-matroids. First, we recall the definition.

Definition ([9]). Let (E, \mathcal{F}) be a convex geometry and \mathcal{I} be a subfamily of \mathcal{F} . We call $(E, \mathcal{F}; \mathcal{I})$ a *strict cg-matroid* with a family \mathcal{I} of independent sets if \mathcal{I} satisfies the properties (I0), (I1), and the strict augmentation property (IsA), where

(IsA) (Strict Augmentation Property) For any $I_1, I_2 \in \mathcal{I}$ with $|I_1| < |I_2|$, there exists $e \in \tau(I_1 \cup I_2) \setminus I_1$ such that $I_1 \cup \{e\} \in \mathcal{I}$.

By definition, any strict cg-matroid is a cg-matroid. It should also be noted that in the case of matroids, i.e., when $\mathcal{F} = 2^E$, the set of axioms (I0), (I1), and (IA) and that of (I0), (I1), and (ISA) are equivalent. But in the case of cg-matroids they are not equivalent; the following example shows a cg-matroid that is not a strict cg-matroid.

Example 4.1 ([9, Example 4.2]). Let (E, \mathcal{F}) be the convex shelling of the five points in the plane given in Figure 2 (left), i.e., $E = \{1, 2, 3, 4, 5\}$ and $\mathcal{F} = 2^E \setminus \{\{1, 2, 4, 5\}, \{1, 2, 4\}, \{1, 2, 5\}\}$. Let $\mathcal{B} = \{\{1, 2, 3\}, \{2, 4, 5\}, \{2, 3, 4\}, \{2, 3, 5\}\}$. Then $(E, \mathcal{F}; \mathcal{B})$ is a cg-matroid with a family of bases. But it is not a strict cgmatroid. To see this, let $I_1 = \{1\}$ and $I_2 = \{4, 5\}$. Then I_1 and I_2 are independent sets of the cg-matroid. Since $|I_1| < |I_2|$ and $\tau(I_1 \cup I_2) \setminus I_1 = \{4, 5\}$, the strict augmentation property (IsA) implies that $\{1, 4\}$ or $\{1, 5\}$ should be an independent set. But neither $\{1, 4\}$ nor $\{1, 5\}$ is included in any member of \mathcal{B} . Hence the present cg-matroid does not satisfy the strict augmentation property (IsA).

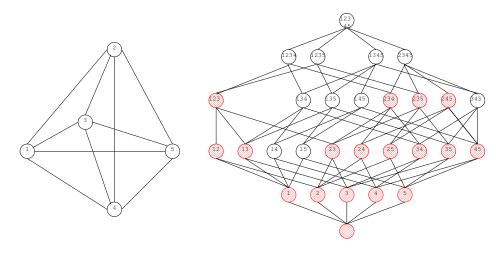


Figure 2. A non-strict cg-matroid.

Example 4.2. Any uniform cg-matroid is a strict cg-matroid.Example 4.3. Any affine cg-matroid is a strict cg-matroid.

Example 4.4. Any cg-matroid $(E, \mathcal{F}; \mathcal{B})$ with the property $|B| \leq 2$ for all $B \in \mathcal{B}$ is a strict cg-matroid.

Strict cg-matroids are characterized by the following properties.

Theorem 4.5 ([9, Theorem 4.4, Lemmas 4.5, 4.6]). Let (E, \mathcal{F}) be a convex geometry and \mathcal{I} a subfamily of \mathcal{F} . Suppose that \mathcal{I} satisfies the properties (I0) and (I1). Then the strict augmentation property (IsA) is equivalent to each of the following properties.

- (ILA) (Local Augmentation Property) For any $I_1, I_2 \in \mathcal{I}$ with $|I_1| + 1 = |I_2|$, there exists $e \in \tau(I_1 \cup I_2) \setminus I_1$ such that $I_1 \cup \{e\} \in \mathcal{I}$.
 - (IS) (Steinitz Exchange Property) For each $X \in \mathcal{F}$, all the maximal elements of $\mathcal{I}^{(X)}$ have the same cardinality.

A characterization of strict cg-matroids in terms of the family of bases is as follows.

Theorem 4.6. Let $M = (E, \mathcal{F}; \mathcal{B})$ be a cg-matroid with a family \mathcal{B} of bases. Then M is a strict cg-matroid if and only if \mathcal{B} satisfies the following property:

(BS) For each $X \in \mathcal{F}$, all the maximal elements of $\{X \cap B \mid B \in \mathcal{B}\}$ have the same cardinality.

Proof. Since $\operatorname{Max}\{X \cap B \mid B \in \mathcal{B}(M)\} = \operatorname{Max}\{X \cap I \mid I \in \mathcal{I} := \mathcal{I}(M)\} = \operatorname{Max}(\mathcal{I}^{(X)})$, the properties (IS) and (BS) are equivalent for M. Thus the assertion follows from Theorem 4.5.

By Theorem 4.6, we call $(E, \mathcal{F}; \mathcal{B})$ a *strict cg-matroid* with a family \mathcal{B} of bases if \mathcal{B} satisfies the properties (B0), (B1), (BM), and (BS).

§4.2. Co-strict cg-matroids

Let us consider the following reduction property that is stronger than (SR) given in Theorem 3.12. Note that we do not require that S_2 is minimal in \mathcal{S} .

Definition. Let (E, \mathcal{F}) be a convex geometry and \mathcal{S} be a subfamily of \mathcal{F} . We call $(E, \mathcal{F}; \mathcal{S})$ a *co-strict cg-matroid* with a family \mathcal{S} of spanning sets if the family \mathcal{S} satisfies the properties (S0), (S1), and the strict reduction property (SsR), where

(SsR) (Strict Reduction Property) For any $S_1, S_2 \in \mathcal{S}$ with $|S_1| > |S_2|$, there exists $e \in S_1 \setminus S_2$ such that $S_1 \setminus \{e\} \in \mathcal{S}$.

By definition, any co-strict cg-matroid is a cg-matroid. It should also be noted that in the case of matroids, i.e., when $\mathcal{F} = 2^E$, the set of axioms (S0), (S1), and (SR) and that of (S0), (S1), and (SsR) are equivalent. But in the case of cg-

matroids they are not equivalent; the following example shows a cg-matroid that is not a co-strict cg-matroid.

Example 4.7. Let (E, \mathcal{F}) be the convex shelling of the five points in the plane given in Figure 3 (left), i.e., $E = \{1, 2, 3, 4, 5\}$ and $\mathcal{F} = 2^E \setminus \{\{4, 5\}, \{1, 3, 4\}, \{1, 4, 5\}, \{2, 4, 5\}, \{1, 2, 4, 5\}, \{1, 3, 4, 5\}\}$. Let $\mathcal{B} = \{\{3, 4\}, \{3, 5\}\}$. Then $(E, \mathcal{F}; \mathcal{B})$ is a cg-matroid with a family of bases. But it is not a co-strict cg-matroid. To see this, let $S_1 = \{1, 2, 3, 4\}$ and $S_2 = \{1, 3, 5\}$. Then S_1 and S_2 are spanning sets of the cg-matroid. Since $|S_1| > |S_2|$ and $S_1 \setminus S_2 = \{2, 4\}$, the strict reduction property (SsR) implies that $\{1, 3, 4\}$ or $\{2, 3, 4\}$ should be a spanning set. But we have $\{1, 3, 4\} \notin \mathcal{F}$, and $\{2, 3, 4\}$ does not contain any member of \mathcal{B} . Hence the present cg-matroid does not satisfy the strict reduction property (SsR).

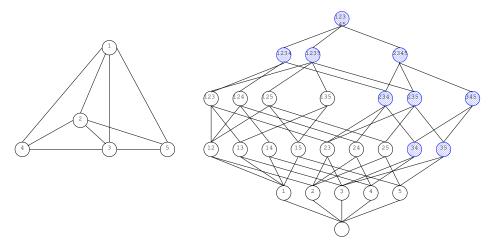


Figure 3. A non-co-strict cg-matroid.

Example 4.8. Any uniform cg-matroid is a co-strict cg-matroid.

Example 4.9. Any cg-matroid $(E, \mathcal{F}; \mathcal{B})$ with the property $|B| \ge |E| - 2$ for all $B \in \mathcal{B}$ is a co-strict cg-matroid.

First, we show the following characterization.

Theorem 4.10. Let (E, \mathcal{F}) be a convex geometry and S a subfamily of \mathcal{F} . Suppose that the family S satisfies the properties (S0) and (S1). Then $(E, \mathcal{F}; S)$ is a costrict cg-matroid with a family S of spanning sets if and only if S satisfies the following property:

(SLR) (Local Reduction Property) For any $S_1, S_2 \in \mathcal{S}$ with $|S_1| = |S_2| + 1$, there exists $e \in S_1 \setminus S_2$ such that $S_1 \setminus \{e\} \in \mathcal{S}$.

Proof. The implication $(SsR) \Rightarrow (SLR)$ is trivial. We show the converse, $(SLR) \Rightarrow (SsR)$. Consider $S_1, S_2 \in \mathcal{S}$ with $|S_1| > |S_2|$. Then there exists a closed set S such that $S_2 \subseteq S$ and $|S| = |S_1| - 1$ by the property (CG2). From the property (S1), we have $S \in \mathcal{S}$. Therefore, from the local reduction property (SLR), there exists $e \in S_1 \setminus S$ such that $S_1 \setminus \{e\} \in \mathcal{S}$. Since $S_2 \subseteq S$, we have $S_1 \setminus S \subseteq S_1 \setminus S_2$, and thus $e \in S_1 \setminus S_2$. Hence the strict reduction property (SsR) holds.

Theorem 4.11. Let (E, \mathcal{F}) be a convex geometry and S a subfamily of \mathcal{F} . Suppose that S satisfies the properties (S0) and (S1). Then $(E, \mathcal{F}; S)$ is a co-strict cg-matroid with a family S of spanning sets if and only if S satisfies the following property:

(SS) For each $X \in \mathcal{F}$, all the minimal elements of $\mathcal{S}_{[X]}$ have the same cardinality.

Proof. First, we show the "only if" part. Take any $X \in \mathcal{F}$. Note that $\mathcal{S}_{[X]} = \{S \in \mathcal{S} \mid S \supseteq X\} = \{\tau(X \cup S) \mid S \in \mathcal{S}\}$ by Propositions 2.7 and 3.15. Suppose that $\tau(X \cup S_1)$ and $\tau(X \cup S_2)$ are minimal in $\mathcal{S}_{[X]}$ and $|\tau(X \cup S_1)| > |\tau(X \cup S_2)|$ where $S_1, S_2 \in \mathcal{S}$. Then it follows from the property (S1) that $\tau(X \cup S_1) \in \mathcal{S}$ and $\tau(X \cup S_2) \in \mathcal{S}$ since $\tau(X \cup S_i) \in \mathcal{F}$ and $S_i \subseteq \tau(X \cup S_i)$ for i = 1, 2. Therefore, from the strict reduction property (SsR), there exists $e \in \tau(X \cup S_1) \setminus \tau(X \cup S_2)$ such that $\tau(X \cup S_1) \setminus \{e\} \in \mathcal{S}$. Note that $\tau(X \cup S_1) \setminus \tau(X \cup S_2) \subseteq \tau(X \cup S_1) \setminus X$ since $X \subseteq X \cup S_2 \subseteq \tau(X \cup S_2)$, and therefore $e \notin X$. Then $\tau(X \cup S_1) \setminus \{e\} \supseteq X$ since $e \notin X$. Thus we have $\tau(X \cup S_1) \setminus \{e\} \in \mathcal{S}_{[X]}$, which contradicts the minimality of $\tau(X \cup S_1)$ in $\mathcal{S}_{[X]}$.

Next, we show the "if" part. Suppose that $S_1, S_2 \in \mathcal{S}$ and $|S_1| > |S_2|$. Consider $X = S_1 \cap S_2$ in the property (SS). Note that $S_1 \cap S_2 \in \mathcal{F}$ by the property (CG1). Then $S_i \in \mathcal{S}_{[S_1 \cap S_2]}$ for i = 1, 2. From the property (SS) and the assumption that $|S_1| > |S_2|, S_1$ is not minimal in $\mathcal{S}_{[S_1 \cap S_2]}$. Hence, there exists $e \in S_1 \setminus (S_1 \cap S_2) = S_1 \setminus S_2$ such that $S_1 \setminus \{e\} \in \mathcal{S}_{[S_1 \cap S_2]} \subseteq \mathcal{S}$. Hence the strict reduction property (SsR) holds.

A characterization of co-strict cg-matroids in terms of the family of bases is given as follows.

Theorem 4.12. Let $M = (E, \mathcal{F}; \mathcal{B})$ be a cg-matroid with a family \mathcal{B} of bases. Then M is a co-strict cg-matroid if and only if \mathcal{B} satisfies the following property:

(BcS) For each $X \in \mathcal{F}$, all the minimal elements of $\{\tau(X \cup B) \mid B \in \mathcal{B}\}$ have the same cardinality.

Proof. Since $\operatorname{Min}\{\tau(X \cup B) \mid B \in \mathcal{B}(M)\} = \operatorname{Min}\{\tau(X \cup S) \mid S \in \mathcal{S} := \mathcal{S}(M)\} = \operatorname{Min}(\mathcal{S}_{[X]})$, the properties (SS) and (BcS) are equivalent for M. Thus the assertion follows from Theorem 4.11.

By Theorem 4.12, we call $(E, \mathcal{F}; \mathcal{B})$ a *co-strict cg-matroid* with a family \mathcal{B} of bases if \mathcal{B} satisfies the properties (B0), (B1), (BM), and (BcS).

$\S 4.3.$ Tame and wild cg-matroids

Definition. A cg-matroid is called *tame* if it is both a strict cg-matroid and a co-strict cg-matroid. A cg-matroid is called *wild* if it is neither strict nor co-strict.

Example 4.13. Any cg-matroid defined on a poset shelling (i.e. a poset matroid) is a tame cg-matroid.

Example 4.14. Any cg-matroid with a single base is a tame cg-matroid.

Example 4.15. Let (E, \mathcal{F}) be a convex geometry with $|E| \leq 5$. Then no cgmatroid M on (E, \mathcal{F}) is wild, i.e., every cg-matroid M is either strict or co-strict.

Proof. This follows from Examples 4.4 and 4.9.

Example 4.16. Let (E', \mathcal{F}') be the convex shelling of the seven points in \mathbb{R}^3 given in Figure 4, i.e., $E' = E \cup \{6,7\}$ and $\mathcal{F}' = \{X \cup A \mid X \in \mathcal{F}, A \subseteq \{6,7\}\} \setminus \{\{1,2,3,7\}\}$, where (E,\mathcal{F}) is the convex shelling given in Example 4.1. Let $\mathcal{B} =$

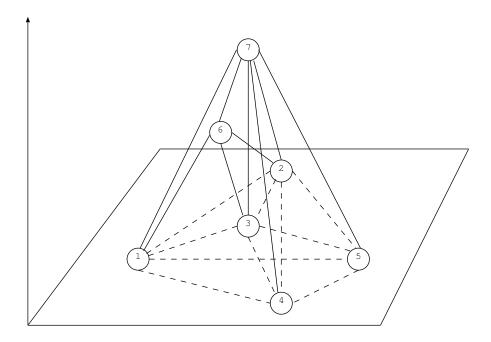


Figure 4. A convex shelling of seven points in \mathbb{R}^3 .

 $\{\{1, 2, 3\}, \{2, 3, 4\}, \{2, 3, 5\}, \{2, 4, 5\}\}$. Then $(E', \mathcal{F}'; \mathcal{B})$ is a wild cg-matroid with a family of bases.

To see this, let $I_1 = \{2\}$ and $I_2 = \{3, 4\}$. Then I_1 and I_2 are independent sets of the cg-matroid. Since $|I_1| < |I_2|$ and $\tau(I_1 \cup I_2) \setminus I_1 = \{3, 4\}$, the strict augmentation property (IsA) implies that $\{2, 3\}$ or $\{2, 4\}$ should be an independent set. But neither $\{2, 3\}$ nor $\{2, 4\}$ is included in any member of \mathcal{B} . Hence the present cg-matroid does not satisfy the strict augmentation property (IsA).

Let $S_1 = \{1, 2, 3, 6, 7\}$ and $S_2 = \{2, 3, 5, 7\}$. Then S_1 and S_2 are spanning sets of the cg-matroid. Since $|S_1| > |S_2|$ and $S_1 \setminus S_2 = \{1, 6\}$, the strict reduction property (SsR) implies that $\{2, 3, 6, 7\}$ or $\{1, 2, 3, 7\}$ should be a spanning set. But we have $\{1, 2, 3, 7\} \notin \mathcal{F}'$, and $\{2, 3, 6, 7\}$ does not contain any member of \mathcal{B} . Hence the present cg-matroid does not satisfy the strict reduction property (SsR).

Tame cg-matroids are characterized as follows:

Theorem 4.17. Let $M = (E, \mathcal{F}; \mathcal{B})$ be a cg-matroid with a family \mathcal{B} of bases. Then M is a tame cg-matroid if and only if \mathcal{B} satisfies the properties (BS) and (BcS).

Proof. This follows from Theorems 4.6 and 4.12.

The relations between subclasses of cg-matroids are shown in Figure 5.

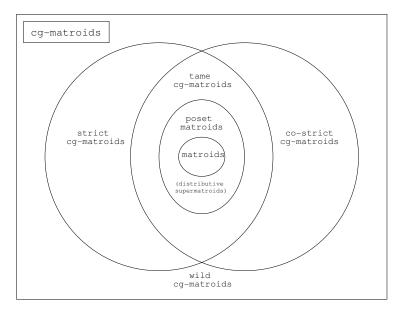


Figure 5. Subclasses of cg-matroids.

§5. Operations on cg-matroids

In this section, we consider various operations on cg-matroids.

§5.1. Restriction

In this subsection, we discuss the restriction of cg-matroids.

Definition. Let $M = (E, \mathcal{F}; \mathcal{I})$ be a cg-matroid with a family \mathcal{I} of independent sets, and let X be a closed set. The *restriction*

(5.1)
$$M|X = (E, \mathcal{F}; \mathcal{I})|X := (X, \mathcal{F}^{(X)}; \mathcal{I}^{(X)})$$

of the cg-matroid M to the closed set X is the pair of the restriction $(X, \mathcal{F}^{(X)})$ of the convex geometry (E, \mathcal{F}) to X and the restriction $\mathcal{I}^{(X)}$ of the family \mathcal{I} of independent sets to X.

Lemma 5.1. Let $M = (E, \mathcal{F}; \mathcal{I})$ be a cg-matroid and X a closed set. The restriction $M|X = (X, \mathcal{F}^{(X)}; \mathcal{I}^{(X)})$ of M to X satisfies the properties (I0) and (I1).

Proof. Since $\emptyset \in \mathcal{I}$, we have $\emptyset = X \cap \emptyset \in \mathcal{I}^{(X)}$, and thus $\mathcal{I}^{(X)}$ satisfies the property (I0). Take any $I_1 \in \mathcal{F}^{(X)}$ and $I_2 \in \mathcal{I}^{(X)}$ with $I_1 \subseteq I_2$. Then $I_1 \in \mathcal{F}$, $I_1 \subseteq X$, and $I_2 \in \mathcal{I}$. By the property (I1) for \mathcal{I} , we have $I_1 \in \mathcal{I}$. Therefore we have $I_1 \in \mathcal{I}^{(X)}$, and thus $\mathcal{I}^{(X)}$ satisfies the property (I1).

Lemma 5.2. Let $M = (E, \mathcal{F}; \mathcal{I})$ be a cg-matroid and S a spanning set of M. Then $Max(\mathcal{I}^{(S)}) \subseteq Max(\mathcal{I})$.

Proof. Take any $I \in \mathbf{Max}(\mathcal{I}^{(S)})$. Then $I \subseteq S$ and $I \in \mathcal{I}$. By the middle base property (BM), there exists $B \in \mathcal{B} = \mathbf{Max}(\mathcal{I})$ such that $I \subseteq B \subseteq S$. Since I is maximal in $\mathcal{I}^{(S)}$, it follows that I = B. Thus we have $I \in \mathbf{Max}(\mathcal{I})$.

Theorem 5.3. Let $M = (E, \mathcal{F}; \mathcal{I})$ be a cg-matroid and S a spanning set of M. The restriction $M|S = (S, \mathcal{F}^{(S)}; \mathcal{I}^{(S)})$ of M to S is also a cg-matroid.

Proof. We show that $\mathcal{I}^{(S)}$ satisfies the property (IA). Take any $I_1 \in \mathcal{I}^{(S)}$ and $I_2 \in \mathbf{Max}(\mathcal{I}^{(S)})$ with $|I_1| < |I_2|$. Then $I_1 \in \mathcal{I}, I_2 \in \mathbf{Max}(\mathcal{I})$ by Lemma 5.2, and $I_1, I_2 \subseteq S$. By the property (IA) for \mathcal{I} , there exists $e \in \tau(I_1 \cup I_2) \setminus I_1$ such that $I_1 \cup \{e\} \in \mathcal{I}$, where τ is the closure operator associated with the convex geometry (E, \mathcal{F}) . Since $I_1, I_2 \subseteq S$, we have $I_1 \cup I_2 \subseteq S$. Then $\tau(I_1 \cup I_2) \subseteq \tau(S) = S$ by (cl2) and $S \in \mathcal{F}$. Since $\tau(I_1 \cup I_2) \subseteq S$, we have $I_1 \cup \{e\} \subseteq S$. By the definition of the closure operator of a convex geometry and $I_1 \cup I_2 \subseteq S$, we have $\tau(I_1 \cup I_2) = \tau'(I_1 \cup I_2)$, where τ' is the closure operator associated with the convex geometry

 $(S, \mathcal{F}^{(S)})$. Therefore $I_1 \cup \{e\} \in \mathcal{I}^{(S)}$ and $e \in \tau'(I_1 \cup I_2) \setminus I_1$, and thus $\mathcal{I}^{(S)}$ satisfies the property (IA). Hence $(S, \mathcal{F}^{(S)}; \mathcal{I}^{(S)})$ is a cg-matroid.

The following example shows that the restriction M|X of a cg-matroid M to a closed set X which is not a spanning set of M is not always a cg-matroid.

Example 5.4. Let $M = (E, \mathcal{F}; \mathcal{B})$ be the (non-strict) cg-matroid given in Example 4.1. Take $X = \{1, 4, 5\}$, which is a closed set but not a spanning set of M. Then the restriction of M to X is $M|X = (X, \mathcal{F}^{(X)}; \mathcal{I}^{(X)})$, where $\mathcal{F}^{(X)} = \{\emptyset, \{1\}, \{4\}, \{5\}, \{1, 4\}, \{1, 5\}, \{4, 5\}, \{1, 4, 5\}\}$ and $\mathcal{I}^{(X)} = \{\emptyset, \{1\}, \{4\}, \{5\}, \{4, 5\}\}$ (see Figure 6). But M|X is not a cg-matroid since the elements of $\mathcal{B}(M|X) =$ **Max** $(\mathcal{I}^{(X)}) = \{\{1\}, \{4, 5\}\}$ do not have the same cardinality.

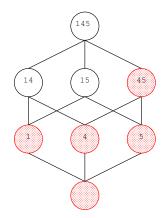


Figure 6. Restriction of a non-strict cg-matroid.

In the case of a strict cg-matroid, the restriction to a closed set is always a strict cg-matroid.

Theorem 5.5. Let $M = (E, \mathcal{F}; \mathcal{I})$ be a strict cg-matroid and X a closed set. The restriction $M|X = (X, \mathcal{F}^{(X)}; \mathcal{I}^{(X)})$ of M to X is also a strict cg-matroid.

Proof. We show that $\mathcal{I}^{(X)}$ satisfies the property (IsA). Take any $I_1, I_2 \in \mathcal{I}^{(X)}$ with $|I_1| < |I_2|$. Then $I_1, I_2 \in \mathcal{I}$ and $I_1, I_2 \subseteq X$. By the property (IsA) for \mathcal{I} , there exists $e \in \tau(I_1 \cup I_2) \setminus I_1$ such that $I_1 \cup \{e\} \in \mathcal{I}$, where τ is the closure operator associated with the convex geometry (E, \mathcal{F}) . Since $\tau(I_1 \cup I_2) \subseteq X$, we have $I_1 \cup \{e\} \subseteq X$. Note that $\tau(I_1 \cup I_2) = \tau'(I_1 \cup I_2)$, where τ' is the closure operator associated with the convex geometry $(X, \mathcal{F}^{(X)})$. Therefore we have $I_1 \cup \{e\} \in \mathcal{I}^{(X)}$ and $e \in \tau'(I_1 \cup I_2) \setminus I_1$, and thus $\mathcal{I}^{(X)}$ satisfies the property (IsA). Hence $(X, \mathcal{F}^{(X)}; \mathcal{I}^{(X)})$ is a strict cg-matroid.

Proposition 5.6. Let $M = (E, \mathcal{F}; \mathcal{B})$ be a cg-matroid and X a closed set. If the restriction M|X of M to X is a cg-matroid, then the family of bases of M|X is given by

(5.2)
$$\mathcal{B}(M|X) = \mathbf{Max}\{B \cap X \mid B \in \mathcal{B}\}.$$

If in addition X is a spanning set of M, then the family of bases of M|X is also given by

(5.3)
$$\mathcal{B}(M|X) = \mathbf{Max}\{B \in \mathcal{B} \mid B \subseteq X\}.$$

Proof. The first statement follows since $\mathcal{B}(M|X) = \mathbf{Max}(\mathcal{I}^{(X)}) = \mathbf{Max}\{I \cap X \mid I \in \mathcal{I}\} = \mathbf{Max}\{B \cap X \mid B \in \mathcal{B}\}$, where $\mathcal{I} = \mathcal{I}(M)$ is the family of independent sets of M. If X is a spanning set of M, then we have $\mathbf{Max}\{B \cap X \mid B \in \mathcal{B}\} = \mathbf{Max}\{B \in \mathcal{B} \mid B \subseteq X\}$, proving the second statement. \Box

§5.2. Contraction

In this subsection, we discuss the contraction of cg-matroids.

Definition. Let $M = (E, \mathcal{F}; \mathcal{S})$ be a cg-matroid with the family \mathcal{S} of spanning sets, and let X be a closed set. The *contraction*

(5.4)
$$M/X = (E, \mathcal{F}; \mathcal{S})/X := (E \setminus X, \mathcal{F}_{(X)}; \mathcal{S}_{(X)})$$

of M by X is the pair of the contraction $(E \setminus X, \mathcal{F}_{(X)})$ of the convex geometry (E, \mathcal{F}) by X and the contraction $\mathcal{S}_{(X)}$ of the family \mathcal{S} of spanning sets by X.

Lemma 5.7. Let $M = (E, \mathcal{F}; \mathcal{S})$ be a cg-matroid and X a closed set. The contraction $M/X = (E \setminus X, \mathcal{F}_{(X)}; \mathcal{S}_{(X)})$ of M by X satisfies the properties (S0) and (S1).

Proof. Since $E \in S$ and $E \supseteq X$, we have $E \setminus X \in S_{(X)}$, and thus $S_{(X)}$ satisfies the property (S0). Take any $S_1 \setminus X \in \mathcal{F}_{(X)}$ with $S_1 \in \mathcal{F}$, $S_1 \supseteq X$ and $S_2 \setminus X \in S_{(X)}$ with $S_2 \in S$, $S_2 \supseteq X$ such that $S_1 \setminus X \supseteq S_2 \setminus X$. Then $S_1 \supseteq S_2$. By the property (S1) for S, we have $S_1 \in S$. Therefore we have $S_1 \setminus X \in S_{(X)}$, and thus $S_{(X)}$ satisfies the property (S1).

Lemma 5.8. Let $M = (E, \mathcal{F}; \mathcal{S})$ be a cg-matroid and I an independent set of M. Then $Min(\mathcal{S}_{[I]}) \subseteq Min(\mathcal{S})$.

Proof. Take any $S \in Min(S_{[I]})$. Then $I \subseteq S$ and $S \in S$. By the middle base property (BM), there exists $B \in \mathcal{B} = Min(S)$ such that $I \subseteq B \subseteq S$. Since S is minimal in $S_{[I]}$, it follows that S = B. Thus we have $S \in Min(S)$. \Box

Lemma 5.9. Let $M = (E, \mathcal{F}; \mathcal{S})$ be a cg-matroid and I an independent set of M. For any $T \in Min(\mathcal{S}_{(I)})$, there exists $S \in Min(\mathcal{S})$ such that $T = S \setminus I$.

Proof. This follows from the definition of contraction and Lemma 5.8.

Theorem 5.10. Let $M = (E, \mathcal{F}; \mathcal{S})$ be a cg-matroid and I an independent set of M. The contraction $M/I = (E \setminus I, \mathcal{F}_{(I)}; \mathcal{S}_{(I)})$ of M by I is also a cg-matroid.

Proof. We show that $S_{(I)}$ satisfies the property (SR). Take any $S_1 \setminus I \in S_{(I)}$ and $S_2 \setminus I \in \operatorname{Min}(S_{(I)})$ such that $|S_1 \setminus I| > |S_2 \setminus I|$. Here we may assume that $I \subseteq S_1 \in S$ and $I \subseteq S_2 \in \operatorname{Min}(S)$ by Lemma 5.9. Then $|S_1| > |S_2|$. By the property (SR) for S, there exists $e \in S_1 \setminus S_2$ such that $S_1 \setminus \{e\} \in S$. Since $e \notin S_2 \supseteq I$, we have $S_1 \setminus \{e\} \supseteq I$. Therefore we have $(S_1 \setminus \{e\}) \setminus I \in S_{(I)}$ and $e \in (S_1 \setminus I) \setminus (S_2 \setminus I)$, and thus $S_{(I)}$ satisfies the property (SR). By Lemma 5.7, $(E \setminus I, \mathcal{F}_{(I)}; \mathcal{S}_{(I)})$ is a cg-matroid.

The following example shows that the contraction M/X of a cg-matroid M by a closed set X which is not an independent set of M is not always a cg-matroid.

Example 5.11. Let $M = (E, \mathcal{F}; \mathcal{B})$ be the (non-co-strict) cg-matroid given in Example 4.7. Take $X = \{1,3\} \in \mathcal{F}$ which is a closed set but not an independent set of M. Then the contraction of M by X is $M/X = (E \setminus X, \mathcal{F}_{(X)}; \mathcal{S}_{(X)})$, where $\mathcal{F}_{(X)} = \{\emptyset, \{2\}, \{5\}, \{2,4\}, \{2,5\}, \{2,4,5\}\}$ and $\mathcal{S}_{(X)} = \{\{5\}, \{2,4\}, \{2,5\}, \{2,4,5\}\}$ (see Figure 7). But M/X is not a cg-matroid since the elements of $\mathcal{B}(M/X) = \operatorname{Min}(\mathcal{S}_{(X)}) = \{\{2,4\}, \{5\}\}\)$ do not have the same cardinality.

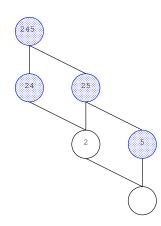


Figure 7. Contraction of a non-co-strict cg-matroid.

In the case of a co-strict cg-matroid, the contraction by a closed set is always a co-strict cg-matroid. **Theorem 5.12.** Let $M = (E, \mathcal{F}; \mathcal{S})$ be a co-strict cg-matroid and X a closed set. The contraction $M/X = (E \setminus X, \mathcal{F}_{(X)}; \mathcal{S}_{(X)})$ of M by X is also a co-strict cg-matroid.

Proof. Take any $S_1 \setminus X, S_2 \setminus X \in \mathcal{S}_{(X)}$ with $S_1, S_2 \in \mathcal{S}, S_1 \supseteq X, S_2 \supseteq X$ such that $|S_1 \setminus X| > |S_2 \setminus X|$. Then $|S_1| > |S_2|$. By the property (SsR) for \mathcal{S} , there exists $e \in S_1 \setminus S_2$ such that $S_1 \setminus \{e\} \in \mathcal{S}$. Since $e \notin S_2 \supseteq X$, we have $S_1 \setminus \{e\} \supseteq X$. Therefore $(S_1 \setminus \{e\}) \setminus X \in \mathcal{S}_{(X)}$ and $e \in (S_1 \setminus X) \setminus (S_2 \setminus X)$, and thus $\mathcal{S}_{(X)}$ satisfies the property (SsR). By Lemma 5.7, $(E \setminus X, \mathcal{F}_{(X)}; \mathcal{S}_{(X)})$ is a co-strict cg-matroid. \Box

Proposition 5.13. Let $M = (E, \mathcal{F}; \mathcal{B})$ be a cg-matroid and X a closed set. If the contraction M/X of M by X is a cg-matroid, then the family of bases of M/X is given by

(5.5)
$$\mathcal{B}(M/X) = \mathbf{Min}\{\tau(B \cup X) \setminus X \mid B \in \mathcal{B}\}.$$

If in addition X is an independent set of M, then the family of bases of M/X is also given by

(5.6)
$$\mathcal{B}(M/X) = \mathbf{Min}\{B \setminus X \mid B \in \mathcal{B}, B \supseteq X\}.$$

Proof. The first statement follows since $\mathcal{B}(M/X) = \operatorname{Min}(\mathcal{S}_{(X)}) = \operatorname{Min}\{\tau(S \cup X) \setminus X \mid S \in \mathcal{S}\} = \operatorname{Min}\{\tau(B \cup X) \setminus X \mid B \in \mathcal{B}\}$, where $\mathcal{S} = \mathcal{S}(M)$ is the family of spanning sets of M. If X is an independent set of M, then $\operatorname{Min}\{\tau(B \cup X) \setminus X \mid B \in \mathcal{B}\} = \operatorname{Min}\{B \in \mathcal{B} \mid B \supseteq X\}$, proving the second statement. \Box

§5.3. Other operations

Definition. A system obtained by restriction and contraction of a cg-matroid is called a *minor* of the cg-matroid.

Proposition 5.14. A minor of a tame cg-matroid is also a tame cg-matroid.

Proof. This follows from Theorems 5.5 and 5.12.

Next, we define truncation and elongation for cg-matroids.

Definition. Let $M = (E, \mathcal{F}; \mathcal{I})$ be a cg-matroid with a family \mathcal{I} of independent sets and k an integer such that $0 \leq k \leq |E|$. The k-truncation $M^k = (E, \mathcal{F}; \mathcal{I}^k)$ of M is the pair of the convex geometry (E, \mathcal{F}) and $\mathcal{I}^k := \{I \in \mathcal{I} \mid |I| \leq k\}$.

Theorem 5.15. Let $M = (E, \mathcal{F}; \mathcal{I})$ be a strict cg-matroid and k an integer such that $0 \leq k \leq |E|$. Then the k-truncation $M^k = (E, \mathcal{F}; \mathcal{I}^k)$ of M is also a strict cg-matroid.

Proof. This follows from the fact that the properties (I0), (I1), and (IsA) for \mathcal{I} imply the same properties for \mathcal{I}^k .

Definition. Let $M = (E, \mathcal{F}; \mathcal{S})$ be a cg-matroid with a family \mathcal{S} of spanning sets and k an integer such that $0 \leq k \leq |E|$. The *k*-elongation $M_k = (E, \mathcal{F}; \mathcal{S}_k)$ of Mis the pair of the convex geometry (E, \mathcal{F}) and $\mathcal{S}_k := \{S \in \mathcal{S} \mid |S| \geq k\}$.

Theorem 5.16. Let $M = (E, \mathcal{F}; \mathcal{S})$ be a co-strict cg-matroid and k an integer such that $0 \leq k \leq |E|$. Then the k-elongation $M_k = (E, \mathcal{F}; \mathcal{S}_k)$ of M is also a co-strict cg-matroid.

Proof. This follows from the fact that the properties (S0), (S1), and (SsR) for S imply the same properties for S_k .

We define the union of two cg-matroids.

Definition. Let $M_1 = (E_1, \mathcal{F}_1; \mathcal{B}_1)$ and $M_2 = (E_2, \mathcal{F}_2; \mathcal{B}_2)$ be cg-matroids with $E_1 \cap E_2 = \emptyset$. The union

(5.7)
$$M_1 \oplus M_2 := (E_1 \cup E_2, \mathcal{F}_1 \sqcup \mathcal{F}_2; \mathcal{B}_1 \sqcup \mathcal{B}_2)$$

of the cg-matroids M_1 and M_2 is the pair of the union $(E_1 \cup E_2, \mathcal{F}_1 \sqcup \mathcal{F}_2)$ of the convex geometries (E_1, \mathcal{F}_1) and $(E_2; \mathcal{F}_2)$ and the union

$$(5.8) \qquad \qquad \mathcal{B}_1 \sqcup \mathcal{B}_2 := \{ B_1 \cup B_2 \mid B_1 \in \mathcal{B}_1, B_2 \in \mathcal{B}_2 \}$$

of \mathcal{B}_1 and \mathcal{B}_2 .

Theorem 5.17. Let $M_1 = (E_1, \mathcal{F}_1; \mathcal{B}_1)$ and $M_2 = (E_2, \mathcal{F}_2; \mathcal{B}_2)$ be cg-matroids with $E_1 \cap E_2 = \emptyset$. Then $M_1 \oplus M_2$ is a cg-matroid.

Proof. By the property (B0) for \mathcal{B}_1 and \mathcal{B}_2 , we have $\mathcal{B}_1 \neq \emptyset$ and $\mathcal{B}_2 \neq \emptyset$. Therefore we have $\mathcal{B}_1 \sqcup \mathcal{B}_2 \neq \emptyset$, and thus $\mathcal{B}_1 \sqcup \mathcal{B}_2$ satisfies the property (B0).

Let $B_1 \cup B_2, B'_1 \cup B'_2 \in \mathcal{B}_1 \sqcup \mathcal{B}_2$ with $B_1 \cup B_2 \subseteq B'_1 \cup B'_2$. Then it follows that $B_1 \subseteq B'_1$ and $B_2 \subseteq B'_2$ since $E_1 \cap E_2 = \emptyset$. By the property (B1) for \mathcal{B}_1 and \mathcal{B}_2 , we have $B_1 = B'_1$ and $B_2 = B'_2$. Therefore we have $B_1 \cup B_2 = B'_1 \cup B'_2$, and thus $\mathcal{B}_1 \sqcup \mathcal{B}_2$ satisfies the property (B1).

Take $X_1 \cup X_2, Y_1 \cup Y_2 \in \mathcal{F}_1 \sqcup \mathcal{F}_2$ and $B'_1 \cup B'_2, B''_1 \cup B''_2 \in \mathcal{B}_1 \sqcup \mathcal{B}_2$ such that $B'_1 \cup B'_2 \supseteq X_1 \cup X_2 \subseteq Y_1 \cup Y_2 \supseteq B''_1 \cup B''_2$. Since $E_1 \cap E_2 = \emptyset$, we have $B'_1 \supseteq X_1 \subseteq Y_1 \supseteq B''_1$ and $B'_2 \supseteq X_2 \subseteq Y_2 \supseteq B''_2$. By the middle base property (BM) for \mathcal{B}_1 and \mathcal{B}_2 , there exists $B_1 \in \mathcal{B}_1$ such that $X_1 \subseteq B_1 \subseteq Y_1$ and there exists $B_2 \in \mathcal{B}_2$ such that $X_2 \subseteq B_2 \subseteq Y_2$. Then we have $B_1 \cup B_2 \in \mathcal{B}_1 \sqcup \mathcal{B}_2$ and $X_1 \cup X_2 \subseteq B_1 \cup B_2 \subseteq Y_1 \cup Y_2$, and thus $\mathcal{B}_1 \sqcup \mathcal{B}_2$ satisfies the middle base property (BM).

Hence $M_1 \oplus M_2$ is a cg-matroid.

§6. Optimization on cg-matroids

In this section, we consider an optimization problem on cg-matroids, which reveals the relation between the greedy algorithm and cg-matroids.

§6.1. Maximum base problem and the greedy algorithm

In this subsection, we consider the maximum base problem of a cg-matroid.

Let $M = (E, \mathcal{F}; \mathcal{B})$ be a cg-matroid with a family \mathcal{B} of bases, and $w : E \to \mathbb{R}_{\geq 0}$ be a nonnegative weight function on E. We denote $\sum_{e \in X} w(e)$ by w(X).

The maximum base problem is the following:

(6.1)
$$P_{\max}(\mathcal{B}, w) : \text{maximize } w(B)$$

(6.2) subject to
$$B \in \mathcal{B}(M)$$

Since the family $\mathcal{B}(M)$ of bases is the family of maximal elements in the family $\mathcal{I}(M)$ of independent sets and the weights are nonnegative, the above maximum base problem has the same optimal solution as the following maximum independent set problem.

Let $M = (E, \mathcal{F}; \mathcal{I})$ be a cg-matroid with the family \mathcal{I} of independent sets, and $w : E \to \mathbb{R}_{\geq 0}$ be a nonnegative weight function on E. The maximum independent set problem is the following:

(6.3)
$$P_{\max}(\mathcal{I}, w) : \text{maximize } w(I)$$

(6.4) subject to
$$I \in \mathcal{I}(M)$$

In the following, we consider this problem, more generally, for a hereditary system on a convex geometry which is defined as follows.

Definition. Let (E, \mathcal{F}) be a convex geometry and \mathcal{I} be a subfamily of \mathcal{F} . We call the pair $(E, \mathcal{F}; \mathcal{I})$ a *hereditary system* on a convex geometry or a *cg-independence* system if \mathcal{I} satisfies the properties (I0) and (I1).

Definition. Let (E, \mathcal{F}) be a convex geometry and X be a closed set, where $1 \leq |X| = k \leq |E|$. An ordering (e_1, \ldots, e_k) of the elements of X is called \mathcal{F} -feasible if $X_i := \{e_1, \ldots, e_i\} \in \mathcal{F}$ for all $1 \leq i \leq k$.

Definition. Let (E, \mathcal{F}) be a convex geometry and $w : E \to \mathbb{R}_{\geq 0}$ be a nonnegative weight function on E. Then w is called a *natural weighting* on (E, \mathcal{F}) if there exists an \mathcal{F} -feasible ordering (e_1, \ldots, e_n) of E such that $w(e_1) \geq \cdots \geq w(e_n)$.

Lemma 6.1. Let (E, \mathcal{F}) be a convex geometry and $w : E \to \mathbb{R}_{\geq 0}$ be a natural weighting on (E, \mathcal{F}) . Then, for any closed set X, there exists an \mathcal{F} -feasible ordering (e_1, \ldots, e_k) of X such that $w(e_1) \geq \cdots \geq w(e_k)$.

Proof. Since $w : E \to \mathbb{R}_{\geq 0}$ is a natural weighting on (E, \mathcal{F}) , there exists an \mathcal{F} -feasible ordering (e_1, \ldots, e_n) of E such that $w(e_1) \geq \cdots \geq w(e_n)$. Put $Y_i = \{e_1, \ldots, e_i\} \in \mathcal{F}$ $(1 \leq i \leq n)$ and $Y_0 = \emptyset$. Also put $Z_i = X \cap Y_i$ $(0 \leq i \leq n)$. Then we have $Z_i \in \mathcal{F}$ by the property (CG1) and

$$(6.5) \qquad \qquad \emptyset = Z_0 \subseteq Z_1 \subseteq \cdots \subseteq Z_n = X.$$

We can take the strictly increasing maximal subchain of this chain,

$$(6.6) \qquad \qquad \emptyset = Z_{i_0} \subsetneq Z_{i_1} \subsetneq \cdots \subsetneq Z_{i_k} = X,$$

where k = |X|. Take $\hat{e}_t \in Z_{i_t} \setminus Z_{i_{t-1}}$ $(1 \le t \le k)$. Then $(\hat{e}_1, \ldots, \hat{e}_k)$ is an \mathcal{F} -feasible ordering of X such that $w(\hat{e}_1) \ge \cdots \ge w(\hat{e}_k)$. Thus the lemma follows. \Box

Lemma 6.2. Let (E, \mathcal{F}) be a convex geometry and $w : E \to \mathbb{R}_{\geq 0}$ be a natural weighting of (E, \mathcal{F}) . Then, for any closed set X, there exists $\hat{e} \in ex(X)$ such that $w(\hat{e}) = \min\{w(e) \mid e \in X\}.$

Proof. Take a closed set X. Then, from Lemma 6.1, there exists an \mathcal{F} -feasible ordering (e_1, \ldots, e_k) of X such that $w(e_1) \geq \cdots \geq w(e_k)$, where k = |X|. Since $\{e_1, \ldots, e_{k-1}\} \in \mathcal{F}$, we have $\hat{e} := e_k \in ex(X)$ and $w(\hat{e}) = \min\{w(e) \mid e \in X\}$. \Box

The greedy algorithm (or the best-in greedy algorithm) is the following:

Greedy Algorithm (Best-In Greedy Algorithm).

- Initialization: Set $I^{(0)} \leftarrow \emptyset$.
- Iteration: For i = 0 to n 1, do the following: step *i*: If there exists $e \in E \setminus I^{(i)}$ such that $I^{(i)} \cup \{e\} \in \mathcal{I}$, then choose such an element e_{i+1} of maximum weight, i.e.,

(6.7)
$$w(e_{i+1}) = \max\{w(e) \mid e \in E \setminus I^{(i)}, I^{(i)} \cup \{e\} \in \mathcal{I}\}.$$

Let $I^{(i+1)} \leftarrow I^{(i)} \cup \{e_{i+1}\}$ and go to step i+1. Otherwise, let $I_{\text{GA}} \leftarrow I^{(i)}$ and go to Termination step.

• Termination: Output I_{GA} .

§6.2. Characterization

Now, we show that the greedy algorithm works for a hereditary system on a convex geometry with any natural weighting if and only if the hereditary system is a strict cg-matroid.

First, we show that the greedy algorithm works for any strict cg-matroid with any natural weighting.

Theorem 6.3. Let $(E, \mathcal{F}; \mathcal{I})$ be a strict cg-matroid. Then the greedy algorithm produces an optimal solution of $P_{\max}(\mathcal{I}, w)$ for $(E, \mathcal{F}; \mathcal{I})$ with any natural weighting w on (E, \mathcal{F}) .

Proof. Fix any natural weighting $w: E \to \mathbb{R}_{\geq 0}$ on (E, \mathcal{F}) . Let $I_{\text{GA}} = \{e_1, \ldots, e_r\}$ $\in \mathcal{I}$ be a solution obtained by the greedy algorithm. Note that (e_1, \ldots, e_r) is an \mathcal{F} feasible ordering such that $w(e_1) \geq \cdots \geq w(e_r)$. Since w is nonnegative, if $X \subseteq Y$ then $w(X) \leq w(Y)$. Take any $I' \in \mathcal{I}$ which is maximal in \mathcal{I} . Then, from the property (IS), I' also has r elements. From Lemma 6.1, there exists an \mathcal{F} -feasible ordering (e'_1, \ldots, e'_r) of I' such that $w(e'_1) \geq \cdots \geq w(e'_r)$. Then it follows from Lemma 6.4 below that $w(e_i) \ge w(e'_i)$ for all $1 \le i \le r$. Thus we have

(6.8)
$$w(I_{\text{GA}}) = \sum_{i=1}^{r} w(e_i) \ge \sum_{i=1}^{r} w(e'_i) = w(I').$$

Hence I_{GA} is an optimal solution of the problem $P_{\text{max}}(\mathcal{I}, w)$, and the theorem follows.

Lemma 6.4. In the setting of the proof of Theorem 6.3, we have $w(e_i) \ge w(e'_i)$ for all $1 \leq i \leq r$.

Proof. Suppose that the conclusion does not hold. Let k be the minimum number such that $w(e_k) < w(e'_k)$. Put $I_1 = \{e_1, \ldots, e_{k-1}\}$ and $I_2 = \{e'_1, \ldots, e'_k\}$. Then we have $I_1 \in \mathcal{F}$ and $I_2 \in \mathcal{F}$ since (e_1, \ldots, e_r) and (e'_1, \ldots, e'_r) are \mathcal{F} -feasible orderings. Thus it follows from (I1) that $I_1 \in \mathcal{I}$ and $I_2 \in \mathcal{I}$. Since $|I_1| < |I_2|$, from (IsA), there exists $e' \in \tau(I_1 \cup I_2) \setminus I_1$ such that $I_1 \cup \{e'\} \in \mathcal{I}$. Here we have the following two cases.

Case 1: $e' \in I_2 \setminus I_1$.

Since e'_k has the minimum weight in I_2 , we have $w(e') \ge w(e'_k) > w(e_k)$. This is a contradiction to the choice of e_k in step k-1 of the greedy algorithm.

Case 2: $e' \in \tau(I_1 \cup I_2) \setminus (I_1 \cup I_2)$.

From Lemma 6.2, there exists $\hat{e} \in ex(\tau(I_1 \cup I_2))$ such that $w(\hat{e}) = \min\{w(e) \mid e_1 \in \mathbb{N}\}$ $e \in \tau(I_1 \cup I_2)$. Here, note that $ex(\tau(I_1 \cup I_2)) \subseteq I_1 \cup I_2$ (cf. [9, (2.9)]). So we have $\hat{e} \in I_1 \cup I_2$, and thus $e' \neq \hat{e}$. Since e'_k has the minimum weight in $I_1 \cup I_2$ and $\hat{e} \in I_1 \cup I_2$, we have $w(\hat{e}) \ge w(e'_k)$. Therefore

$$w(e') \ge \min\{w(e) \mid e \in \tau(I_1 \cup I_2)\} = w(\hat{e}) \ge w(e'_k) > w(e_k).$$

This is a contradiction to the choice of e_k in step k-1 of the greedy algorithm. Hence the lemma follows.

Next, we show that a hereditary system on a convex geometry for which the greedy algorithm works for any natural weighting is a strict cg-matroid.

Theorem 6.5. Let $(E, \mathcal{F}; \mathcal{I})$ be a hereditary system on a convex geometry. Suppose that it satisfies the following property:

- (IG) The greedy algorithm produces an optimal solution of $P_{\max}(\mathcal{I}, w)$ for $(E, \mathcal{F}; \mathcal{I})$ with any natural weighting w on (E, \mathcal{F}) .
- Then $(E, \mathcal{F}; \mathcal{I})$ is a strict cg-matroid.

Proof. We will show that (IsA) holds. Take any $I_1, I_2 \in \mathcal{I}$ such that $|I_1| < |I_2|$. If $I_1 \subseteq I_2$ then it is easy to see that (IsA) holds. So we suppose that $I_1 \not\subseteq I_2$, and suppose that (IsA) does not hold, i.e., there is no element $e \in \tau(I_1 \cup I_2) \setminus I_1$ such that $I_1 \cup \{e\} \in \mathcal{I}$.

Then we have $0 < |I_1 - I_2| = |I_1| - |I_1 \cap I_2| < |I_2| - |I_1 \cap I_2| = |I_2 - I_1|$. Take a positive number ε which satisfies $0 < (1 + \varepsilon)|I_1 - I_2| < |I_2 - I_1|$. Define a weight function $w : E \to \mathbb{R}_{\geq 0}$ as follows:

(6.9)
$$w(e) = \begin{cases} 2 & (e \in I_1 \cap I_2), \\ 1/|I_1 - I_2| & (e \in I_1 \setminus I_2), \\ (1 + \varepsilon)/|I_2 - I_1| & (e \in \tau(I_1 \cup I_2) \setminus I_1) \\ 0 & (e \in E \setminus \tau(I_1 \cup I_2)). \end{cases}$$

Then w is a natural weighting on (E, \mathcal{F}) , because any maximal chain of \mathcal{F} that contains $I_1 \cap I_2$, I_1 , and $\tau(I_1 \cup I_2)$ naturally defines an \mathcal{F} -feasible ordering (e_1, \ldots, e_n) of E such that $w(e_1) \geq \cdots \geq w(e_n)$.

Put $k = |I_1|$ and consider the greedy algorithm. In step k - 1, we have $I^{(k)} = I_1$. From the assumption, we cannot take an element $e \in \tau(I_1 \cup I_2) \setminus I_1$ in step k. Let $I_{\text{GA}} \in \mathcal{I}$ be a solution obtained by the greedy algorithm. We claim that I_{GA} does not contain any elements in $\tau(I_1 \cup I_2) \setminus I_1$, i.e., $I_{\text{GA}} \cap \tau(I_1 \cup I_2) = I_1$. If there exist such elements e_{i_1}, \ldots, e_{i_t} , then consider a maximal chain in \mathcal{F} which contains I_1 and the subset $I_1 \cup \{e_{i_1}, \ldots, e_{i_t}\} = I_{\text{GA}} \cap \tau(I_1 \cup I_2) \in \mathcal{F}$. Then $I_1 \cup \{e_i\} \in \mathcal{F}$ for some $e_i \in \{e_{i_1}, \ldots, e_{i_t}\}$. Since $I_1 \cup \{e_i\} \subseteq I_{\text{GA}} \in \mathcal{I}$, from (I1), we have $I_1 \cup \{e_i\} \in \mathcal{I}$, but this is a contradiction to the assumption.

Now we have the following:

(6.10) $w(I_{\text{GA}}) = w(I_1) = 2|I_1 \cap I_2| + 1,$

(6.11)
$$w(I_2) = 2|I_1 \cap I_2| + 1 + \varepsilon.$$

Thus we have $w(I_{\text{GA}}) < w(I_2)$, i.e., I_{GA} is not an optimal solution of $P_{\max}(\mathcal{I}, w)$. This is a contradiction to (IG).

Hence (IsA) holds, and thus $(E, \mathcal{F}; \mathcal{I})$ is a strict cg-matroid.

Combining Theorems 6.3 and 6.5, we get the following.

Theorem 6.6. Let $(E, \mathcal{F}; \mathcal{I})$ be a hereditary system on a convex geometry. Then $(E, \mathcal{F}; \mathcal{I})$ is a strict cg-matroid if and only if the greedy algorithm produces an optimal solution of $P_{\max}(\mathcal{I}, w)$ for $(E, \mathcal{F}; \mathcal{I})$ with any natural weighting w on (E, \mathcal{F}) .

To end this subsection, we give some examples which show that the greedy algorithm fails for a strict cg-matroid with a non-natural weighting and also fails for a non-strict cg-matroid with a natural weighting.

Example 6.7. Let (E, \mathcal{F}) be the convex shelling of five points in \mathbb{R}^1 , i.e., $E = \{1, 2, 3, 4, 5\}$ and $\mathcal{F} = \{\emptyset, \{1\}, \{2\}, \{3\}, \{4\}, \{5\}, \{1, 2\}, \{2, 3\}, \{3, 4\}, \{4, 5\}, \{1, 2, 3\}, \{2, 3, 4\}, \{3, 4, 5\}, \{1, 2, 3, 4\}, \{2, 3, 4, 5\}, \{1, 2, 3, 4, 5\}\}$. Consider the 3-uniform cg-matroid on this convex geometry (E, \mathcal{F}) , i.e., $\mathcal{I} = \{X \in \mathcal{F} \mid |X| \leq 3\}$ (see Figure 8). Then $(E, \mathcal{F}; \mathcal{I})$ is a strict cg-matroid.

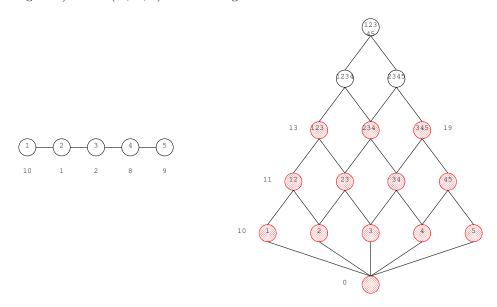


Figure 8. A tree shelling of a path with five vertices.

Let $w: E \to \mathbb{R}_{\geq 0}$ be a weight function on E defined by w(1) = 10, w(2) = 1, w(3) = 2, w(4) = 8, w(5) = 9. This is not a natural weighting on (E, \mathcal{F}) because the ordering (1, 5, 4, 3, 2) is not \mathcal{F} -feasible.

Now the greedy algorithm produces a solution $I_{\text{GA}} = \{1, 2, 3\}$ with $w(I_{\text{GA}}) = 13$. But this is not an optimal solution of $P_{\max}(\mathcal{I}, w)$. The optimal solution is $I = \{3, 4, 5\}$ with w(I) = 19.

Example 6.8. Let $M = (E, \mathcal{F}; \mathcal{B})$ be the (non-strict) cg-matroid given in Example 4.1. Then $\mathcal{I} = \mathcal{I}(M) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{4\}, \{5\}, \{1,2\}, \{1,3\}, \{2,3\}, \{2,4\}, \{2,5\}, \{3,4\}, \{3,5\}, \{4,5\}, \{1,2,3\}, \{2,4,5\}, \{2,3,4\}, \{2,3,5\}\}.$

Let $w: E \to \mathbb{R}_{\geq 0}$ be a weight function on E defined by w(1) = 10, w(2) = 1, w(3) = 2, w(4) = 8, w(5) = 9. This is a natural weighting on (E, \mathcal{F}) because there is an \mathcal{F} -feasible ordering (1, 5, 4, 3, 2) which satisfies $w(1) \ge w(5) \ge w(4) \ge$ $w(3) \ge w(2)$.

Now the greedy algorithm produces a solution $I_{\text{GA}} = \{1, 3, 2\}$ with $w(I_{\text{GA}}) = 13$. But this is not an optimal solution of $P_{\max}(\mathcal{I}, w)$. The optimal solution is $I = \{2, 4, 5\}$ with w(I) = 18.

Note. A general model for matroids and the greedy algorithm is considered in [7] by U. Faigle and S. Fujishige; their model is a generalization of strict cg-matroids.

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