

# Mixed Frobenius Structure and Local Quantum Cohomology

by

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## Abstract

In a previous paper, the authors introduced the notion of mixed Frobenius structure (MFS) as a generalization of the structure of a Frobenius manifold. Roughly speaking, the MFS is defined by replacing a metric of the Frobenius manifold with a filtration on the tangent bundle equipped with metrics on its graded quotients. The purpose of the current paper is to construct a MFS on the cohomology of a smooth projective variety whose multiplication is the nonequivariant limit of the quantum product twisted by a concave vector bundle. We show that such a MFS is naturally obtained as the nonequivariant limit of the Frobenius structure in the equivariant setting.

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## §1. Introduction

We continue our study of mixed Frobenius structure and local quantum cohomology initiated in [8].

### §1.1. A mixed Frobenius algebra

Let  $K$  be a field. A finite-dimensional associative commutative  $K$ -algebra  $A$  equipped with a nondegenerate bilinear form  $g$  (called a *metric*) is called a *Frobenius algebra* if  $g$  is invariant under the product, i.e.,  $g(xy, z) = g(x, yz)$  for any  $x, y, z \in A$ .

In [8], the following generalization of the Frobenius algebra was introduced. Let  $A$  be a  $K$ -algebra as above. By definition, a *Frobenius filtration*  $(I_\bullet, g_\bullet)$  on  $A$  consists of an exhaustive increasing filtration  $I_\bullet$  by ideals and  $A$ -invariant met-

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rics  $g_\bullet$  on its graded quotients (Definition 2.1). We call an algebra with a Frobenius filtration a *mixed Frobenius algebra*. If the filtration is trivial, this is nothing but the notion of Frobenius algebra. We show that any algebra over an algebraically closed field admits a Frobenius filtration (Theorem 2.3). This is in contrast to the fact that not all algebras admit invariant metrics.

One of the main results of this paper is to show that a mixed Frobenius  $K$ -algebra appears in the limit as  $\lambda \rightarrow 0$  of a “Frobenius algebra over  $K[\lambda]$ ” (§3). The precise statement is as follows. Let  $H_K^\lambda$  be a free  $K[\lambda]$ -module of finite rank equipped with a symmetric  $K[\lambda]$ -bilinear form  $g^\lambda : H_K^\lambda \times H_K^\lambda \rightarrow K[\lambda, \lambda^{-1}]$ . If  $g^\lambda$  is unimodular over  $K[\lambda, \lambda^{-1}]$ , then it defines on the  $K$ -vector space  $H_K := H_K^\lambda / \lambda H_K^\lambda$  an exhaustive increasing filtration by subspaces and metrics on its graded quotients (Lemma 3.4). We call such a pair a *nondegenerate filtration*. Moreover, if  $H_K^\lambda$  is equipped with a  $K[\lambda]$ -algebra structure with respect to which  $g^\lambda$  is invariant, then the nondegenerate filtration is a Frobenius filtration on  $H_K$  with respect to the induced multiplication (Theorem 3.5). This construction is a generalization of the nilpotent construction in [8, §3.1] (cf. §3.2).

### §1.2. A mixed Frobenius structure

A *Saito structure (without a metric)*<sup>1</sup> on a complex manifold  $M$  [11, §VII.1] is a triple consisting of a torsion-free flat affine connection  $\nabla$ , a symmetric Higgs field  $\Phi : T_M \rightarrow \text{End } T_M$  and a vector field  $E$  called an Euler vector field satisfying certain compatibility conditions (see Definition 4.1). A symmetric Higgs field gives rise to a fiberwise commutative associative multiplication  $\circ$  on  $T_M$ . If a Saito structure  $(\nabla, \Phi, E)$  on  $M$  is further equipped with a  $\circ$ -invariant metric  $g$  on  $T_M$  compatible with the other data, then the Saito structure  $(\nabla, \Phi, E)$  with the metric  $g$  is equivalent to a Frobenius manifold structure on  $M$  [2].

Now we introduce the notion of mixed Frobenius structure which generalizes the Frobenius manifold structure. The idea is to replace a  $\circ$ -invariant metric  $g$  with a Frobenius filtration  $(I_\bullet, g_\bullet)$ . Namely, we define a *mixed Frobenius structure* (MFS) on a manifold  $M$  to be a Saito structure  $(\nabla, \Phi, E)$  on  $M$  together with a nondegenerate filtration  $(I_\bullet, g_\bullet)$  on the tangent bundle  $T_M$  subject to various compatibility conditions (Definition 4.5). In particular, it is required that  $(T_M, \circ, I_\bullet, g_\bullet)$  is a mixed Frobenius algebra. We arrived at this notion through our study of local mirror symmetry [1]. For details about the motivation, we refer to [8, §1.3]. Notice that we slightly modify the definition of MFS from [8] (cf. Remark 4.7).

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<sup>1</sup>In this article, we call a Saito structure without a metric a *Saito structure* for short.

For the application to local quantum cohomology, it is necessary to consider a formal and logarithmic version of MFS. Let  $K$  be a subfield of  $\mathbb{C}$ . Let  $R = K[[t_1, \dots, t_n, q_1, \dots, q_m]]$  and  $M = \text{Spf } R$  be the formal completion of  $K^{n+m} = \text{Spec } K[t, q]$  at the origin. We consider the logarithmic structure on  $M$  defined by the divisor  $\{q_1 \cdots q_m = 0\}$  on  $K^{n+m}$ . We denote by  $M^\dagger$  the resulting logarithmic formal scheme. We define a formal (and logarithmic) MFS on  $M^\dagger$  in §5. As in the case of mixed Frobenius algebra, we show that a formal MFS on  $M^\dagger$  is obtained in the limit as  $\lambda \rightarrow 0$  of a “formal Frobenius structure over  $K[\lambda]$ ” on  $M^\dagger$  (Proposition 5.5).

### §1.3. MFS from local quantum cohomology

Let  $X$  be a smooth complex projective variety and let  $H_{\mathbb{C}} := H^{\text{even}}(X, \mathbb{C})$ . We choose a nef basis  $\{\phi_1, \dots, \phi_p\}$  of  $H^2(X, \mathbb{Z})$  and extend it to a homogeneous basis  $\{\phi_0 = 1, \phi_1, \dots, \phi_p, \phi_{p+1}, \dots, \phi_s\}$  of  $H_{\mathbb{C}}$ . Let  $t_0, \dots, t_s$  be the coordinates on  $H_{\mathbb{C}}$  associated to the basis. We set  $R = K[[t, q]]$  where  $t = (t_0, t_{p+1}, \dots, t_s)$  and  $q = (q_1, \dots, q_p)$  with  $q_i = e^{t_i}$ . Let  $M^\dagger$  be the logarithmic formal scheme defined as in the previous subsection.

Fix a concave holomorphic vector bundle  $\mathcal{V}$  on  $X$  (e.g.,  $\mathcal{V}$  is the dual of an ample line bundle). We construct a formal MFS on  $M^\dagger$  from  $\mathcal{V}$  as follows. Let us introduce the fiberwise  $S^1$ -action on  $\mathcal{V}$  by scalar multiplication. Then, following Givental [3], we consider the  $S^1$ -equivariant Gromov–Witten invariants of  $X$  and the intersection pairing on  $X$ , both twisted by the inverse of the  $S^1$ -equivariant Euler class of  $\mathcal{V}$ . Using them, one can define the twisted quantum cup product  $*_{\mathcal{V}}$  on  $H_{\mathbb{C}}^\lambda := H_{\mathbb{C}} \otimes_{\mathbb{C}} \mathbb{C}[\lambda]$  where  $\mathbb{C}[\lambda] = H_{S^1}^*(pt, \mathbb{C})$  is identified with the  $S^1$ -equivariant cohomology of a point. Identifying the logarithmic tangent sheaf  $\mathcal{T}_{M^\dagger}^\lambda := \mathcal{T}_{M^\dagger} \otimes_{\mathbb{C}} \mathbb{C}[\lambda]$  of  $M^\dagger$  over  $\mathbb{C}[\lambda]$  with  $\mathcal{O}_M \otimes_{\mathbb{C}} H_{\mathbb{C}}^\lambda$ , we obtain a formal Frobenius structure over  $\mathbb{C}[\lambda]$  on  $M^\dagger$ . Then, as an application of the results in §5, we obtain a formal MFS on  $M^\dagger$  in the nonequivariant limit (i.e. the limit as  $\lambda \rightarrow 0$ ) (Theorem 6.4).

As mentioned earlier, our motivation to study MFS comes from local mirror symmetry [1]. Relationships to [1] and to our previous work [7] are explained in §6.4.

### §1.4. Conventions

- (1) Let  $K$  be a field. A  $K$ -algebra means a finite-dimensional commutative associative  $K$ -algebra with a unit.
- (2) Given a commutative ring  $R$ , an  $R$ -algebra structure on a free  $R$ -module means an associative commutative  $R$ -bilinear multiplication which admits a unit.

## §2. Mixed Frobenius algebra

### §2.1. Frobenius filtration and mixed Frobenius algebra

Let  $K$  be a field. A nondegenerate symmetric bilinear form  $g$  on a  $K$ -vector space is called a *metric*. A pair  $(I_\bullet, g_\bullet)$  consisting of an exhaustive increasing filtration  $I_\bullet$  on a  $K$ -vector space by subspaces and a collection of metrics  $g_\bullet$  on  $I_\bullet/I_{\bullet-1}$  is called a *nondegenerate filtration* on the vector space.

Let  $A$  be a  $K$ -algebra. We say that a metric  $g$  on an  $A$ -module  $I$  is  *$A$ -invariant* if it satisfies the condition

$$g(a \cdot x, y) = g(x, a \cdot y) \quad (a \in A, x, y \in I).$$

**Definition 2.1.** A *Frobenius filtration* on a  $K$ -algebra  $A$  is a nondegenerate filtration  $(I_\bullet, g_\bullet)$  on  $A$  such that each filter  $I_\bullet$  is an ideal of  $A$  and the metric  $g_\bullet$  on  $I_\bullet/I_{\bullet-1}$  is  $A/I_{\bullet-1}$ -invariant.

**Definition 2.2.** A *mixed Frobenius  $K$ -algebra* is a pair which consists of a  $K$ -algebra  $A$  and a Frobenius filtration  $(I_\bullet, g_\bullet)$  on  $A$ .

### §2.2. Existence of Frobenius filtrations

In this subsection, the field  $K$  is assumed to be algebraically closed.

**Theorem 2.3.** *Any finite-dimensional  $K$ -algebra  $A$  has a Frobenius filtration.*

Let  $\mathfrak{N} = \sqrt{0}$  be the nilradical of  $A$ . Note that the finite-dimensionality of  $A$  implies that  $\mathfrak{N}$  is a nilpotent ideal and that  $\mathfrak{N}$  coincides with the Jacobson radical of  $A$ . It follows that  $A/\mathfrak{N}$  is a semisimple algebra. Consider the decreasing sequence of ideals  $A \supset \mathfrak{N} \supset \mathfrak{N}^2 \supset \dots \supset \mathfrak{N}^{l-1} \supset \mathfrak{N}^l = 0$ .

**Lemma 2.4.**  *$\mathfrak{N}^i/\mathfrak{N}^{i+1}$  is a completely reducible  $A/\mathfrak{N}^{i+1}$ -module.*

*Proof.* Consider the exact sequence of  $A$ -modules

$$0 \rightarrow \mathfrak{N}/\mathfrak{N}^{i+1} \rightarrow A/\mathfrak{N}^{i+1} \rightarrow A/\mathfrak{N} \rightarrow 0.$$

It follows that  $A/\mathfrak{N}^{i+1}$  acts on  $\mathfrak{N}^i/\mathfrak{N}^{i+1}$  via  $A/\mathfrak{N}$ , since  $\mathfrak{N}/\mathfrak{N}^{i+1}$  annihilates  $\mathfrak{N}^i/\mathfrak{N}^{i+1}$ . Then the semisimplicity of  $A/\mathfrak{N}$  implies that  $\mathfrak{N}^i/\mathfrak{N}^{i+1}$  is a completely reducible  $A/\mathfrak{N}$ -module, hence it is also a completely reducible  $A/\mathfrak{N}^{i+1}$ -module.  $\square$

**Lemma 2.5.** *Let  $B$  be a finite-dimensional  $K$ -algebra. Then for any simple  $B$ -module  $S \neq 0$ , we have  $\dim_K S = 1$ .*

*Proof.* Since  $S$  is a simple  $B$ -module, there is a maximal ideal  $\mathfrak{m}$  of  $B$  such that  $S \cong B/\mathfrak{m}$  as  $B$ -modules. The finite-dimensionality of  $B$  implies that the composition

$K \rightarrow B \rightarrow B/\mathfrak{m}$  is a field extension of finite degree. It then follows that  $B/\mathfrak{m} \cong K$ , since  $K$  is algebraically closed.  $\square$

*Proof of Theorem 2.3.* By the above two lemmas,  $\mathfrak{N}^i/\mathfrak{N}^{i+1}$  is the direct sum of 1-dimensional simple  $A/\mathfrak{N}^{i+1}$ -modules. If we take a basis  $x_{i,j}$  ( $1 \leq j \leq \dim_K \mathfrak{N}^i/\mathfrak{N}^{i+1}$ ) of the simple modules and define a bilinear form  $\langle \cdot, \cdot \rangle_i$  on  $\mathfrak{N}^i/\mathfrak{N}^{i+1}$  by

$$\langle x_{i,j}, x_{i,k} \rangle_i = \delta_{j,k},$$

then  $\langle \cdot, \cdot \rangle_i$  is an invariant metric. Thus the filtration  $I_\bullet := \mathfrak{N}^{l-\bullet}$  with metrics  $g_\bullet := \langle \cdot, \cdot \rangle_{i-\bullet}$  is a Frobenius filtration on  $A$ .  $\square$

### §3. Mixed Frobenius algebra from a localized $K[\lambda]$ -metric

#### §3.1. Construction of a mixed Frobenius algebra

Let  $K$  be a field and let  $H_K$  be a  $K$ -vector space of dimension  $s$ . We set  $H_K^\lambda := H_K \otimes_K K[\lambda]$  and identify  $H_K$  with the quotient module  $H_K^\lambda/\lambda H_K^\lambda$ . Let  $\pi : H_K^\lambda \rightarrow H_K = H_K^\lambda/\lambda H_K^\lambda$  be the projection.

**Definition 3.1.** A *localized  $K[\lambda]$ -metric* on  $H_K^\lambda$  is a symmetric  $K[\lambda]$ -bilinear form  $g^\lambda : H_K^\lambda \times H_K^\lambda \rightarrow K[\lambda, \lambda^{-1}]$  which is unimodular over  $K[\lambda, \lambda^{-1}]$ <sup>2</sup>.

Now assume that a localized  $K[\lambda]$ -metric  $g^\lambda$  on  $H_K^\lambda$  is given. We will construct from  $g^\lambda$  a nondegenerate filtration on  $H_K$ .

**Lemma 3.2.** *There exist a pair of  $K[\lambda]$ -module bases  $\mathbf{x}_1, \dots, \mathbf{x}_s$  and  $\mathbf{y}_1, \dots, \mathbf{y}_s$  of  $H_K^\lambda$  and a set of integers  $\kappa_1 \geq \dots \geq \kappa_s$  satisfying*

$$(3.1) \quad g^\lambda(\mathbf{x}_i, \mathbf{y}_j) = \lambda^{-\kappa_i} \delta_{i,j}.$$

*The integers  $\kappa_i$  are uniquely determined by  $g^\lambda$  (but the bases are not).*

*Proof.* Let  $G$  be the matrix representation of  $g^\lambda$  with respect to a  $K[\lambda]$ -module basis of  $H_K^\lambda$ . Multiplying by  $\lambda^{k_0}$  with some  $k_0 \in \mathbb{Z}$  if necessary, we assume that all entries of the matrix  $\lambda^{k_0}G$  are polynomials. By the theorem of elementary divisors,  $\lambda^{k_0}G$  can be transformed into a diagonal matrix by successive elementary transformations from the left and from the right. This means that there exist  $K[\lambda]$ -module bases  $\{\mathbf{x}_i\}, \{\mathbf{y}_i\}$  of  $H_K^\lambda$  such that  $\lambda^{k_0}g_\lambda(\mathbf{x}_i, \mathbf{y}_j) = \delta_{i,j} e_i$  where  $e_1, \dots, e_s \in K[\lambda]$  are diagonal entries (i.e. the elementary divisors of  $\lambda^{k_0}G$ ). The assumption of unimodularity over  $K[\lambda, \lambda^{-1}]$  implies that  $e_i$ 's are monomials.  $\square$

<sup>2</sup>This means that, given a  $K[\lambda]$ -basis of  $H_K^\lambda$ , the representation matrix of  $g^\lambda$  is unimodular over  $K[\lambda, \lambda^{-1}]$ .

Let us define a sequence of  $K[\lambda]$ -submodules by

$$(3.2) \quad I_k^\lambda = \{\mathbf{x} \in H_K^\lambda \mid \lambda^k g^\lambda(\mathbf{x}, \mathbf{y}) \in K[\lambda] \ (\forall \mathbf{y} \in H_K^\lambda)\} \quad (k \in \mathbb{Z}).$$

Concretely,  $I_k^\lambda$  is written as follows with the basis  $\mathbf{x}_1, \dots, \mathbf{x}_s$  of Lemma 3.2:

$$(3.3) \quad I_k^\lambda = \bigoplus_{i: \kappa_i \leq k} K[\lambda] \mathbf{x}_i \oplus \bigoplus_{i: \kappa_i > k} \lambda^{\kappa_i - k} K[\lambda] \mathbf{x}_i.$$

The same formula holds for the other basis  $\{\mathbf{y}_i\}$ .

**Lemma 3.3.** *For  $\mathbf{x}, \mathbf{y} \in I_k^\lambda$ ,  $\text{Res}_{\lambda=0} \lambda^{k-1} g^\lambda(\mathbf{x}, \mathbf{y})$  depends only on  $\pi(\mathbf{x}), \pi(\mathbf{y}) \in H_K$ . Moreover  $\text{Res}_{\lambda=0} \lambda^{k-1} g^\lambda(\mathbf{x}, \mathbf{y}) = 0$  if  $\mathbf{x} \in I_{k-1}^\lambda$  or  $\mathbf{y} \in I_{k-1}^\lambda$ .*

*Proof.* Let us write  $\mathbf{x}, \mathbf{y} \in I_k^\lambda$  as

$$\begin{aligned} \mathbf{x} &= \sum_{i: \kappa_i \leq k} f_i(\lambda) \mathbf{x}_i + \sum_{i: \kappa_i > k} \lambda^{\kappa_i - k} f_i(\lambda) \mathbf{x}_i \quad (f_i(\lambda) \in K[\lambda]), \\ \mathbf{y} &= \sum_{i: \kappa_i \leq k} h_i(\lambda) \mathbf{y}_i + \sum_{i: \kappa_i > k} \lambda^{\kappa_i - k} h_i(\lambda) \mathbf{y}_i \quad (h_i(\lambda) \in K[\lambda]). \end{aligned}$$

By (3.1), we obtain

$$(3.4) \quad \text{Res}_{\lambda=0} \lambda^{k-1} g^\lambda(\mathbf{x}, \mathbf{y}) = \sum_{i: \kappa_i = k} f_i(0) h_i(0).$$

The statement follows easily from this.  $\square$

Let

$$(3.5) \quad I_k := \pi(I_k^\lambda) = \bigoplus_{i: \kappa_i \leq k} K \pi(\mathbf{x}_i) \quad (k \in \mathbb{Z}).$$

By Lemma 3.3, the following bilinear form  $g_k$  on  $I_k/I_{k-1}$  is well-defined:

$$(3.6) \quad g_k(\bar{x}, \bar{y}) = \text{Res}_{\lambda=0} \lambda^{k-1} g^\lambda(\mathbf{x}, \mathbf{y}) \quad (x, y \in I_k),$$

where  $x \mapsto \bar{x}$  denotes the projection  $H_K \rightarrow H_K/I_{k-1}$  and  $\mathbf{x}, \mathbf{y}$  are any lifts of  $x, y$  to  $I_k^\lambda$ .

**Lemma 3.4.**  *$(I_\bullet, g_\bullet)$  is a nondegenerate filtration on  $H_K$ .*

*Proof.* The nondegeneracy of  $g_k$  follows from (3.4).  $\square$

Now assume that  $H_K^\lambda$  is equipped with an associative commutative  $K[\lambda]$ -algebra structure  $*$  with unit. Let  $g^\lambda$  be a localized  $K[\lambda]$ -metric which is  $*$ -invariant, i.e.

$$(3.7) \quad g^\lambda(\mathbf{x} * \mathbf{y}, \mathbf{z}) = g^\lambda(\mathbf{x}, \mathbf{y} * \mathbf{z}) \quad (\mathbf{x}, \mathbf{y}, \mathbf{z} \in H^\lambda).$$

On  $H_K$ , we have the induced multiplication and the nondegenerate filtration  $(I_\bullet, g_\bullet)$  defined in (3.5), (3.6).

**Theorem 3.5.**  $(H_K, I_\bullet, g_\bullet)$  is a mixed Frobenius algebra.

*Proof.* The  $*$ -invariance (3.7) of  $g^\lambda$  implies that  $I_k^\lambda$  is an ideal. Therefore  $I_k$  is an ideal with respect to the induced multiplication  $\circ$  on  $H_K$ . The  $\circ$ -invariance of  $g_k$  follows from the  $*$ -invariance of  $g^\lambda$ .  $\square$

### §3.2. Nilpotent construction

Let  $(A, g)$  be a Frobenius  $K$ -algebra having nilpotent elements  $n_1, \dots, n_r$  and let

$$\mathbf{n} = \lambda^r + n_1 \lambda^{r-1} + \dots + n_r \in A[\lambda].$$

As an example of Theorem 3.5, we consider the case  $H_K^\lambda = A[\lambda]$  with the localized  $K[\lambda]$ -metric  $g^\lambda$  given by

$$g^\lambda(\mathbf{x}, \mathbf{y}) := g(\mathbf{x} \cdot \mathbf{y}, \mathbf{n}^{-1}) = \sum_{j \geq 0} \frac{1}{\lambda^{(j+1)r}} g(\mathbf{x} \cdot \mathbf{y}, (\lambda^r - \mathbf{n})^j) \quad (\mathbf{x}, \mathbf{y} \in A[\lambda]).$$

Let us calculate the nondegenerate filtration  $(I_\bullet, g_\bullet)$  defined by (3.5), (3.6). The ideals  $I_k^\lambda$  of  $A[\lambda]$  defined in (3.2) are as follows.

**Lemma 3.6.** *We have*

$$(3.8) \quad I_k^\lambda = \begin{cases} \{\lambda^{-k} \mathbf{n} \cdot \mathbf{x} \mid \mathbf{x} \in A[\lambda]\} & (k \leq 0), \\ I_0^\lambda \oplus J_k^\lambda & (k > 0). \end{cases}$$

*In the last line, the direct sum is that of  $A$ -modules and*

$$J_k^\lambda = \{\mathbf{x} \in A^{<r}[\lambda] \mid \lambda^k \mathbf{x} \text{ is divisible by } \mathbf{n}\},$$

where  $A^{<r}[\lambda] = \{\mathbf{x} \in A[\lambda] \mid \deg \mathbf{x} < r\}$ . Here  $\deg \mathbf{x}$  is the degree of  $\mathbf{x}$  with respect to  $\lambda$ .

*Proof.* Since  $\mathbf{n}$  is monic of degree  $r$ , any  $\mathbf{x} \in A[\lambda]$  can be written uniquely as  $\mathbf{x} = \mathbf{n} \cdot \mathbf{x}' + \mathbf{x}''$  with  $\deg \mathbf{x}'' < r$ .

First, we consider the case  $k = 0$ . It is easy to see that  $\mathbf{x} \in I_0^\lambda$  if and only if  $g^\lambda(\mathbf{x}'', y) = 0$  for any  $y \in A$ . If  $\mathbf{x}'' = \sum_{i=1}^r a_i \lambda^{r-i}$  then we have

$$g^\lambda(\mathbf{x}'', y) = \sum_{1 \leq i \leq r, j \geq 0} g(a_i (\lambda^r - \mathbf{n})^j, y) \lambda^{-(i+jr)} = \frac{g(a_1, y)}{\lambda} + o\left(\frac{1}{\lambda^2}\right).$$

From this equation, for  $\mathbf{x}$  to be in  $I_0^\lambda$ , it is necessary to have  $g(a_1, y) = 0$  for any  $y \in A$ , hence  $a_1 = 0$ . Then we have

$$g^\lambda(\mathbf{x}'', y) = \frac{g(a_2, y)}{\lambda^2} + o\left(\frac{1}{\lambda^3}\right),$$

hence  $a_2 = 0$ . Repeating this process, we obtain  $\mathbf{x}'' = 0$ .

Next, we consider the case  $k < 0$ . If  $\mathbf{x} \in I_k^\lambda$  then  $\mathbf{x} \in I_0^\lambda$ . Therefore it can be written as  $\mathbf{x} = \mathbf{n} \cdot \mathbf{x}'$ . Since  $\mathbf{x} \in I_k^\lambda$ , we have  $\lambda^k g^\lambda(\mathbf{x}, y) = \lambda^k g(\mathbf{x}', y) \in K[\lambda]$  for any  $y \in A$ . It then follows that the coefficients of  $\mathbf{x}'$  up to degree  $-k - 1$  must be zero. Hence  $\mathbf{x}'$  is divisible by  $\lambda^{-k}$ .

For  $k > 0$ , it is easy to see that  $\mathbf{x} \in I_k^\lambda$  if and only if  $\lambda^k \mathbf{x}''$  is divisible by  $\mathbf{n}$ .  $\square$

Let  $N : A^{\oplus r} \rightarrow A^{\oplus r}$  be the homomorphism given by

$$N \begin{pmatrix} a_1 \\ \vdots \\ a_r \end{pmatrix} = \begin{pmatrix} -n_1 & 1 & 0 & \cdots & 0 \\ -n_2 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -n_{r-1} & 0 & 0 & \cdots & 1 \\ -n_r & 0 & 0 & \cdots & 0 \end{pmatrix} \begin{pmatrix} a_1 \\ \vdots \\ a_r \end{pmatrix}.$$

The projections  $A^{\oplus r} \rightarrow A$  to the first and the  $r$ th factors are denoted  $p_1, p_r$ .

**Lemma 3.7.** *We have*

$$(3.9) \quad I_k = \begin{cases} 0 & (k < 0), \\ \{x \cdot n_r \mid x \in A\} & (k = 0), \\ I_0 + J_k & (k > 0), \end{cases}$$

where  $J_k = p_r(\text{Ker } N^k)$ .

*Proof.* By Lemma 3.6, it is enough to show that  $\pi(J_k^\lambda) = J_k$  for  $k > 0$ . Let  $\rho : A^{<r}[\lambda] \rightarrow A^{\oplus r}$  be the isomorphism  $\sum_{i=1}^r a_i \lambda^{r-i} \mapsto {}^t(a_1, \dots, a_r)$ . Notice that  $\rho^{-1} \circ N \circ \rho : A^{<r}[\lambda] \rightarrow A^{<r}[\lambda]$  maps  $\mathbf{x}$  to the remainder of  $\lambda \mathbf{x}$  divided by  $\mathbf{n}$ . By induction on  $k$ , we can show that

$$(3.10) \quad \lambda^k \mathbf{x} = \sum_{i=0}^{k-1} (p_1 \circ N^i \circ \rho)(\mathbf{x}) \lambda^{k-1-i} \cdot \mathbf{n} + (\rho^{-1} \circ N^k \circ \rho)(\mathbf{x}) \quad (\mathbf{x} \in A^{<r}[\lambda]).$$

Thus we obtain

$$J_k^\lambda = \{\mathbf{x} \in A^{<r}[\lambda] \mid \rho(\mathbf{x}) \in \text{Ker } N^k\}.$$

From this  $\pi(J_k^\lambda) = J_k$  follows.  $\square$

**Lemma 3.8.** *We have*

$$\begin{aligned} g_0(x \cdot n_r, y \cdot n_r) &= g(x \cdot y, n_r), \\ g_k(\bar{x}, \bar{y}) &= g(x, p_1(N^{k-1} \bar{y})) \quad (k > 0, x, y \in J_k), \end{aligned}$$

where  $\bar{y} \in \text{Ker } N^k$  is any lift of  $y$  satisfying  $p_r(\bar{y}) = y$ .



*Proof.* The case  $k = 0$  is clear. For  $k > 0$ , let  $\mathbf{x}, \mathbf{y} \in J_k^\lambda$  be lifts of  $x, y$ . By (3.10), we have

$$g_k(\bar{x}, \bar{y}) = g^\lambda \left( \mathbf{x}, \frac{\lambda^k \mathbf{y}}{\mathbf{n}} \right) \Big|_{\lambda=0} = g(x, p_1(N^{k-1} \rho(\mathbf{y}))). \quad \square$$

As a corollary of Theorem 3.5, we obtain

**Proposition 3.9.** *( $A, I_\bullet, g_\bullet$ ) with  $I_\bullet, g_\bullet$  given in Lemmas 3.7 and 3.8 is a mixed Frobenius algebra.*

When  $r = 1$ ,  $J_k = \{x \in A \mid n_1^k \cdot x = 0\}$  and  $g_k(\bar{x}, \bar{y}) = g(x \cdot y, (-n_1)^{k-1})$  ( $k > 0$ ). This is the nilpotent construction in [8, §3] up to shifts of the filtration.

#### §4. Mixed Frobenius structure

In this section, the base field is  $K = \mathbb{C}$ , a manifold means a complex manifold and vector bundles are assumed to be holomorphic. For a manifold  $M$ ,  $T_M$  denotes the tangent bundle,  $\mathcal{T}_M$  its sheaf of local sections and we write  $x \in \mathcal{T}_M$  to mean that  $x$  is a local section of  $T_M$ .

Although definitions here are for complex manifolds, they can be easily translated to  $C^\infty$ -manifolds ( $K = \mathbb{R}$ ).

##### §4.1. Saito structure

The following definition is due to Sabbah [11, Ch. VII].

**Definition 4.1.** Let  $M$  be a manifold. A *Saito structure (without a metric)* on  $M$  consists of

- a torsion-free flat connection  $\nabla$  on  $T_M$ ,
- an associative and commutative  $\mathcal{O}_M$ -bilinear multiplication  $\circ$  on  $\mathcal{T}_M$  with a global unit section  $e$ , and
- a global vector field  $E$  on  $M$  (called the *Euler vector field*),

satisfying the following conditions.

- (i) The multiplication  $C_x$  by  $x \in \mathcal{T}_M$  regarded as a local section of  $\text{End } T_M$  satisfies

$$(4.1) \quad \nabla_x C_y - \nabla_y C_x = C_{[x, y]},$$

and the unit vector field  $e$  is flat, i.e.  $\nabla e = 0$ .

- (ii) The vector field  $E$  satisfies  $\nabla(\nabla E) = 0$  and

$$(4.2) \quad [E, x \circ y] - [E, x] \circ y - x \circ [E, y] = x \circ y \quad (x, y \in \mathcal{T}_M).$$

In this article, we call a Saito structure without a metric a *Saito structure* for short.

**Remark 4.2.** In [11], a Saito structure is defined in terms of the symmetric Higgs field instead of the multiplication. As explained in [11, Ch. 0.13], a symmetric Higgs field corresponds to a multiplication and Definition 4.1 is equivalent to that in [11].

**Lemma 4.3.** *Given a Saito structure  $(\nabla, \circ, E)$  on a manifold  $M$ , there exists a local vector field  $\mathcal{G} \in \mathcal{T}_M$  such that*

$$(4.3) \quad \nabla_x \nabla_y \mathcal{G} = x \circ y$$

for any flat vector fields  $x, y \in \mathcal{T}_M$ . Moreover  $\nabla \nabla([E, \mathcal{G}] - \mathcal{G}) = 0$ .

We call  $\mathcal{G}$  satisfying (4.3) a (local) *potential vector field*.

*Proof.* Let  $\{t_\alpha\}_\alpha$  be a local coordinate system on  $M$  whose corresponding local frame fields  $\{\partial_\alpha\}_\alpha$  are  $\nabla$ -flat. Let us write  $\partial_\alpha \circ \partial_\beta = \sum_\gamma C_{\alpha\beta}^\gamma \partial_\gamma$ . The commutativity implies  $C_{\alpha\beta}^\gamma = C_{\beta\alpha}^\gamma$ . Equation (4.1) is equivalent to  $\partial_\alpha C_{\beta\gamma}^\delta = \partial_\beta C_{\alpha\gamma}^\delta$ . Therefore there exist  $\mathcal{G}^\gamma \in \mathcal{O}_M$  such that  $\partial_\alpha \partial_\beta \mathcal{G}^\gamma = C_{\alpha\beta}^\gamma$ . Then  $\mathcal{G} := \sum_\gamma \mathcal{G}^\gamma \partial_\gamma$  satisfies (4.3). The second statement follows from (4.2).  $\square$

**Remark 4.4.** It is known that a Frobenius manifold structure defined by Dubrovin [2] is equivalent to a Saito structure with a metric [11, Ch. VII, Prop. 2.2]. When  $M$  is a Frobenius manifold, (4.1) is equivalent to the potentiality condition, and the gradient vector field of the potential function is a potential vector field.

#### §4.2. Mixed Frobenius structure

**Definition 4.5.** A *mixed Frobenius structure* (MFS) on a manifold  $M$  consists of a Saito structure  $(\nabla, \circ, E)$  together with

- an increasing sequence of subbundles  $I_\bullet$  of  $T_M$  and
- metrics (i.e. nondegenerate symmetric  $\mathcal{O}_M$ -bilinear forms)  $g_\bullet$  on  $\mathcal{I}_\bullet/\mathcal{I}_{\bullet-1}$

satisfying the following conditions.

- (i)  $(\circ, I_\bullet, g_\bullet)$  is a mixed Frobenius algebra structure on  $T_M$ , i.e.  $\mathcal{I}_k$  are ideals of  $\mathcal{T}_M$  and all  $g_k$ 's are  $\circ$ -invariant.
- (ii) The subbundles  $I_k$  ( $k \in \mathbb{Z}$ ) are preserved by  $\nabla$  and the metrics are compatible with  $\nabla$ , i.e.

$$(4.4) \quad z g_k(\bar{x}, \bar{y}) = g_k(\overline{\nabla_z x}, \bar{y}) + g_k(\bar{x}, \overline{\nabla_z y}) \quad (k \in \mathbb{Z}, z \in \mathcal{T}_M, x, y \in \mathcal{I}_k).$$

Here  $x \mapsto \bar{x}$  denotes the projection  $\mathcal{I}_k \rightarrow \mathcal{I}_k/\mathcal{I}_{k-1}$ .

(iii) The subbundles  $I_k$  ( $k \in \mathbb{Z}$ ) are preserved by  $[E, -]$  and there exists a collection  $\{D_k \in K\}_{k \in \mathbb{Z}}$  of numbers (called *charges*) such that

$$(4.5) \quad \begin{aligned} E g_k(\bar{x}, \bar{y}) - g_k(\overline{[E, x]}, \bar{y}) - g_k(\bar{x}, \overline{[E, y]}) \\ = (2 - D_k) g_k(\bar{x}, \bar{y}) \quad (k \in \mathbb{Z}, x, y \in \mathcal{I}_k). \end{aligned}$$

A MFS with the trivial filtration  $I_\bullet$  (i.e.  $0 \subset T_M$ ) is the same as a Saito structure with a metric [11] and also the same as a Frobenius manifold structure [2].

**Lemma 4.6.** *If  $(\nabla, \circ, E, I_\bullet, g_\bullet)$  is a MFS on a manifold  $M$ , then each  $\mathcal{I}_k \subset \mathcal{T}_M$  ( $k \in \mathbb{Z}$ ) is involutive.*

*Proof.* This follows from the condition that  $I_k$  is preserved by the torsion free affine connection  $\nabla$ .  $\square$

As a consequence of this lemma, there exists a flat local coordinate system  $\{t_{k\alpha}\}_{k \in \mathbb{Z}, 1 \leq \alpha \leq \dim I_k/I_{k-1}}$  such that  $\{t_{k\alpha}\}_{k \leq l, 1 \leq \alpha \leq \dim I_k/I_{k-1}}$  is a local coordinate system of leaves of  $I_l$ .

**Remark 4.7.** The definition of MFS in this article is different from that in our previous article [8, Definition 6.2] in a few points.

Firstly the charges  $D_k$  are allowed to take any values. The advantage is that any mixed Frobenius algebra has a MFS (see Proposition 4.8 below) whereas the condition  $D_k = D_0 - k$  in the old definition is quite restrictive.

Secondly the compatibility conditions of the multiplication with the connection and the Euler vector field are strengthened as we adopt the Saito structure (compare [8, (6.2), (6.9)] with (4.1), (4.2)). The reason for this change is the existence of a local potential vector field (Lemma 4.3) and the flat meromorphic connection [11] on the Saito structure. We believe that they may play important roles in formulating local mirror symmetry as an equivalence of MFS's (cf. §6.4).

### §4.3. An algebra with a Frobenius filtration has a MFS

Let  $(A, I_\bullet, g_\bullet)$  be a mixed Frobenius algebra. We assume that  $A = \bigoplus_{d \in \mathbb{Z}} A_d$  is a graded algebra satisfying  $I_k = \bigoplus_d I_k \cap A_d$ . Moreover we assume that there exist  $\{D_k \in \mathbb{Z} \mid k \in \mathbb{Z}, I_k/I_{k-1} \neq 0\}$  such that

$$g_k(x, y) = 0 \quad \text{unless} \quad |x| + |y| = D_k.$$

Here  $|x|$  denotes the degree of  $x \in A$ . Notice that any mixed Frobenius algebra satisfies this assumption with  $A = A_0$  and  $D_0 = 0$ .

Let  $\{e_{k\alpha} \mid k \in \mathbb{Z}, 1 \leq \alpha \leq \dim I_k/I_{k-1}\}$  be a homogeneous basis of  $A$  such that  $\{e_{k\alpha} \mid k \leq l, 1 \leq \alpha \leq \dim I_l/I_{l-1}\}$  is a basis of  $I_l$ . Let  $\{t_{k\alpha}\}$  be the associated coordinates of  $A$ .

**Proposition 4.8.** *The trivial connection  $d$ , the multiplication on  $A$ , the vector field*

$$E = \sum_{k,\alpha} (1 - |e_{k\alpha}|) t_{k\alpha} \partial_{k\alpha},$$

*and the nondegenerate filtration  $(I_\bullet, g_\bullet)$  form a MFS on  $A$  of charges  $\{D_k\}$ .*

## §5. Formal mixed Frobenius structure

In this section we will define a formal (and logarithmic) version of MFS using [4] as reference.

In this section, the base field  $K$  may be any subfield of  $\mathbb{C}$ .

### §5.1. Notation

Fix  $n \in \mathbb{Z}_{>0}$  and  $m \in \mathbb{Z}_{\geq 0}$ . Set  $R = K[[t_1, \dots, t_n, q_1, \dots, q_m]]$  and

$$(5.1) \quad P = \{\Phi(t, q) \in R \mid \exists d_1, \dots, d_m \in \mathbb{Z}_{\geq 0} \text{ such that } q_1^{-d_1} \cdots q_m^{-d_m} \Phi(t, q) \in R^\times\},$$

which is a submonoid of  $R$ . Let  $M = \text{Spf } R$  be the formal completion of  $K^{n+m} = \text{Spec } K[t, q]$  at the origin and let  $P_M$  be the constant sheaf on  $M$  with a stalk  $P$ . Denote by  $M^\dagger$  the formal scheme  $M$  equipped with the logarithmic structure associated to  $P_M \hookrightarrow \mathcal{O}_M$ .

Let  $\mathcal{T}_{M^\dagger}$  be the sheaf of logarithmic vector fields on  $M^\dagger$  which is freely generated by  $\frac{\partial}{\partial t_1}, \dots, \frac{\partial}{\partial t_n}$  and  $q_1 \frac{\partial}{\partial q_1}, \dots, q_m \frac{\partial}{\partial q_m}$  over  $\mathcal{O}_M$ . Namely, if we let

$$(5.2) \quad H_K = \bigoplus_{\alpha=1}^n K \frac{\partial}{\partial t_\alpha} \oplus \bigoplus_{i=1}^m K q_i \frac{\partial}{\partial q_i},$$

then  $\mathcal{T}_{M^\dagger} = \mathcal{O}_M \otimes_K H_K$ . Define a flat connection  $\nabla$  on  $\mathcal{T}_{M^\dagger}$  by  $\nabla = d \otimes 1_{H_K}$ . The Lie bracket  $[\cdot, \cdot]$  satisfies

$$(5.3) \quad [x, y] = \nabla_x y - \nabla_y x \quad (x, y \in \mathcal{T}_{M^\dagger}).$$

### §5.2. Formal mixed Frobenius structure

We keep the notation of §5.1.

**Definition 5.1.** A formal Saito structure on  $M^\dagger$  consists of

- an  $\mathcal{O}_M$ -bilinear multiplication  $\circ$  on  $\mathcal{T}_{M^\dagger}$  and
- an element  $E \in \mathcal{T}_{M^\dagger}$

satisfying the following conditions.

(i) The multiplication  $\circ$  is compatible with  $\nabla$  in the sense that

$$(5.4) \quad \nabla_x(y \circ z) = \nabla_y(x \circ z) \quad (x, y, z \in H_K),$$

and the unit element  $e$  satisfies  $\nabla e = 0$ , i.e.  $e \in H_K$ .

(ii) The element  $E$  satisfies<sup>3</sup>  $\nabla_x \nabla_y E = 0$  for  $x, y \in H_K$  and

$$(5.5) \quad [E, x \circ y] - x \circ [E, y] - [E, x] \circ y = x \circ y \quad (x, y \in \mathcal{T}_{M^\dagger}).$$

If  $(\circ, E)$  is a formal Saito structure on  $M^\dagger$ , then as in Lemma 4.3, there exists  $\mathcal{G} \in K[\log q_1, \dots, \log q_m] \otimes_K \mathcal{T}_{M^\dagger}$  satisfying (4.3) for  $x, y \in H_K$ .

**Definition 5.2.** A formal mixed Frobenius structure on  $M^\dagger$  consists of

- a formal Saito structure  $(\circ, E)$  on  $M^\dagger$  and
- a nondegenerate filtration  $(I_\bullet, g_\bullet)$  on  $H_K$

satisfying the following conditions:

(i)  $\mathcal{I}_k = \mathcal{O}_M \otimes_K I_k$  is an ideal and  $g_k$  extended  $\mathcal{O}_M$ -bilinearly to  $\mathcal{I}_k$  is  $\circ$ -invariant, i.e.

$$(5.6) \quad g_k(x \circ y, z) = g_k(y, x \circ z) \quad (x \in \mathcal{T}_{M^\dagger}, y, z \in \mathcal{I}_k).$$

(ii)  $\mathcal{I}_k$  is preserved by  $[E, -]$ , i.e.  $[E, x] \in \mathcal{I}_k$  ( $x \in \mathcal{I}_k$ ) and there exists a collection  $\{D_k \in K \mid k \in \mathbb{Z}, \mathcal{I}_k / \mathcal{I}_{k-1} \neq 0\}$  of numbers, called *charges*, satisfying

$$(5.7) \quad E g_k(\bar{x}, \bar{y}) - g_k(\overline{[E, x]}, \bar{y}) - g_k(\bar{x}, \overline{[E, y]}) = (2 - D_k) g_k(\bar{x}, \bar{y}) \quad (x, y \in \mathcal{I}_k).$$

Here  $x \mapsto \bar{x}$  denotes the projection  $\mathcal{I}_k \rightarrow \mathcal{I}_k / \mathcal{I}_{k-1}$ .

**Remark 5.3** (on the convergent case). Let  $(\circ, E)$  (resp.  $(\circ, E, I_\bullet, g_\bullet)$ ) be a formal Saito structure (resp. a formal MFS) on  $M^\dagger$  and let  $C_{\alpha\beta}^\gamma \in \mathcal{O}_M$  ( $1 \leq \alpha, \beta, \gamma \leq n+m$ ) denote the structure constants of  $\circ$  with respect to the basis  $(x_1, \dots, x_{n+m}) = (\partial_{t_1}, \dots, \partial_{t_n}, q_1 \partial_{q_1}, \dots, q_m \partial_{q_m})$ . If there exists an open neighborhood  $U'$  of  $0 \in K^{n+m} = \text{Spec } K[t, q]$  where all  $C_{\alpha\beta}^\gamma$  converge, then  $(\nabla, \circ, E)$  (resp.  $(\nabla, \circ, E, I_\bullet, g_\bullet)$ ) is a Saito structure (resp. a MFS) on  $U = U' \cap \{q_1 \cdots q_m \neq 0\}$  with local flat coordinates  $t_1, \dots, t_n, \log q_1, \dots, \log q_m$ . In the case when the filtration  $I_\bullet$  is trivial, then  $(U, \circ, E, e, g_\bullet)$  is a Frobenius manifold with logarithmic poles along the divisor  $\{q_1 \cdots q_m = 0\}$  (see [10] for the definition).

<sup>3</sup>If we write  $E = \sum_{\alpha=1}^n E_\alpha \partial_{t_\alpha} + \sum_{i=1}^m E_i q_i \partial_{q_i}$ , the condition  $\nabla \nabla E = 0$  implies that  $E_\alpha$  ( $1 \leq \alpha \leq n$ ) and  $E_i$  ( $1 \leq i \leq m$ ) are linear polynomials in  $t$  independent of  $q$ .

### §5.3. Localized formal Frobenius structure over $K[\lambda]$

We still keep the notation of §5.1 and use superscripts  $\lambda$  for objects tensored with  $K[\lambda]$ :  $\mathcal{O}_M^\lambda := \mathcal{O}_M \otimes_K K[\lambda]$ ,  $H_K^\lambda = H_K \otimes_K K[\lambda]$ , and  $\mathcal{T}_{M^\dagger}^\lambda := \mathcal{T}_{M^\dagger} \otimes_K K[\lambda] = \mathcal{O}_M \otimes_K H_K^\lambda$ . We have a flat connection  $\nabla$  on  $\mathcal{T}_{M^\dagger}^\lambda$  defined by the  $K[\lambda]$ -linear extension of that introduced in §5.1.

**Definition 5.4.** A *localized formal Frobenius structure over  $K[\lambda]$  on  $M^\dagger$*  consists of

- an  $\mathcal{O}_M^\lambda$ -bilinear multiplication  $*$  on  $\mathcal{T}_{M^\dagger}^\lambda$ ,
- an element  $\mathbf{E} \in \mathcal{T}_{M^\dagger}^\lambda$ , and
- a localized  $K[\lambda]$ -metric  $g^\lambda$  on  $H_K^\lambda$ ,

satisfying the following conditions.

- (i) The multiplication  $*$  is compatible with  $\nabla$  in the sense that

$$(5.8) \quad \nabla_{\mathbf{x}}(\mathbf{y} * \mathbf{z}) = \nabla_{\mathbf{y}}(\mathbf{x} * \mathbf{z}) \quad (\mathbf{x}, \mathbf{y}, \mathbf{z} \in H_K^\lambda),$$

and the unit  $\mathbf{e}$  satisfies  $\nabla \mathbf{e} = 0$ , i.e.  $\mathbf{e} \in H_K^\lambda$ .

- (ii) The element  $\mathbf{E}$  satisfies  $\nabla_{\mathbf{x}} \nabla_{\mathbf{y}} \mathbf{E} = 0$  for  $\mathbf{x}, \mathbf{y} \in H_K^\lambda$  and

$$(5.9) \quad [\mathbf{E}^\lambda, \mathbf{x} * \mathbf{y}] - \mathbf{x} * [\mathbf{E}^\lambda, \mathbf{y}] - [\mathbf{E}^\lambda, \mathbf{x}] * \mathbf{y} = \mathbf{x} * \mathbf{y} \quad (\mathbf{x}, \mathbf{y} \in \mathcal{T}_{M^\dagger}^\lambda),$$

where  $\mathbf{E}^\lambda := \mathbf{E} + \lambda \frac{\partial}{\partial \lambda}$ .

- (iii)  $g^\lambda$ , extended  $\mathcal{O}_M^\lambda$ -bilinearly to  $\mathcal{T}_{M^\dagger}^\lambda$ , is  $*$ -invariant.

- (iv) There exists  $D \in K$  (called a *charge*) satisfying

$$(5.10) \quad \begin{aligned} \mathbf{E}^\lambda g^\lambda(\mathbf{x}, \mathbf{y}) - g^\lambda([\mathbf{E}^\lambda, \mathbf{x}], \mathbf{y}) - g^\lambda(\mathbf{x}, [\mathbf{E}^\lambda, \mathbf{y}]) \\ = (2 - D)g^\lambda(\mathbf{x}, \mathbf{y}) \quad (\mathbf{x}, \mathbf{y} \in \mathcal{T}_{M^\dagger}^\lambda). \end{aligned}$$

**Proposition 5.5.** *Let  $(*, \mathbf{E}, g^\lambda)$  be a localized formal Frobenius structure over  $K[\lambda]$  of charge  $D$  on  $M^\dagger$ . Let  $\circ$  be the multiplication on  $\mathcal{T}_{M^\dagger}$  induced by  $\pi : \mathcal{T}_{M^\dagger}^\lambda \rightarrow \mathcal{T}_{M^\dagger} = \mathcal{T}_{M^\dagger}^\lambda / \lambda \mathcal{T}_{M^\dagger}^\lambda$ ,  $\mathbf{E} = \pi(\mathbf{E})$ , and let  $(I_\bullet, g_\bullet)$  be the nondegenerate filtration on  $H_K$  induced from the localized  $K[\lambda]$ -metric  $g^\lambda$  (see Lemma 3.4). Then  $(\circ, \mathbf{E}, I_\bullet, g_\bullet)$  is a formal MFS on  $M^\dagger$  of charges  $\{D_k = D - k\}$ .*

*Proof.* First, the conditions (i) and (ii) of Definition 5.1 follow from (i) and (ii) in Definition 5.4 respectively.

The  $*$ -invariance of  $g^\lambda$  implies that  $\mathcal{O}_M \otimes I_k^\lambda =: \mathcal{I}_k^\lambda \subset \mathcal{T}_{M^\dagger}^\lambda$  is an ideal with respect to  $*$ , which in turn implies that  $\mathcal{I}_k$  is an ideal with respect to  $\circ$ . It also implies the  $\circ$ -invariance of the metrics  $g_\bullet$ .

Equation (5.10) implies that the Lie bracket  $[\mathbf{E}^\lambda, -]$  preserves  $\mathcal{I}_k^\lambda$ . From this it follows that  $[E, -]$  preserves  $\mathcal{I}_k$ . Equation (5.10) also implies (5.7) as follows. For  $x, y \in \mathcal{I}_k$ , we have

$$\begin{aligned} Eg_k(\bar{x}, \bar{y}) &= \operatorname{Res}_{\lambda=0} \lambda^{k-1} (k + \mathbf{E}^\lambda) g^\lambda(\mathbf{x}, \mathbf{y}) \\ &\stackrel{(5.10)}{=} kg_k(\bar{x}, \bar{y}) \\ &\quad + \operatorname{Res}_{\lambda=0} \lambda^{k-1} \{g^\lambda([\mathbf{E}^\lambda, \mathbf{x}], \mathbf{y}) + g^\lambda(\mathbf{x}, [\mathbf{E}^\lambda, \mathbf{y}]) + (2 - D)g^\lambda(\mathbf{x}, \mathbf{y})\} \\ &= (2 - D + k)g_k(\bar{x}, \bar{y}) + g_k([E, x], y) + g_k(x, [E, y]), \end{aligned}$$

where  $\mathbf{x}, \mathbf{y} \in \mathcal{I}_k^\lambda$  are lifts of  $x, y$ .  $\square$

## §6. Local quantum cohomology

In this section,  $K$  denotes either  $\mathbb{R}$  or  $\mathbb{C}$ .

### §6.1. Notation

Let  $X$  be a smooth complex projective variety. Let  $\mathcal{V} \rightarrow X$  be a concave<sup>4</sup> vector bundle of rank  $r$ . Let  $S^1 = \mathrm{U}(1)$  act on  $\mathcal{V}$  by the scalar multiplication on the fiber. The generator of the  $S^1$ -equivariant cohomology of a point is denoted  $\lambda$ .

Let  $H_K := H^{\mathrm{even}}(X, K)$ . We fix a basis  $\{\phi_1, \dots, \phi_p\}$  of  $H^2(X, \mathbb{Z})$  satisfying the condition that  $\int_C \phi_i \geq 0$  for any curve  $C \subset X$ .<sup>5</sup> We also fix a homogeneous basis  $\{\phi_0 = 1, \phi_1, \dots, \phi_p, \phi_{p+1}, \dots, \phi_s\}$  of  $H_K$ .

Let  $t_0, \dots, t_s$  be the coordinates on  $H_K$  associated to the basis. We set  $R = K[[t, q]]$  where  $t = (t_0, t_{p+1}, \dots, t_s)$  and  $q = (q_1, \dots, q_p)$  with  $q_i = e^{t_i}$ . As in §5.1, we consider the formal scheme  $M = \operatorname{Spf} R$  with a fixed logarithmic structure defined by the monoid (5.1) and denote it by  $M^\dagger$ . We identify  $H_K$  with the linear space of derivations on  $R$  defined in (5.2) by

$$(6.1) \quad \begin{cases} \phi_\alpha \mapsto \frac{\partial}{\partial t_\alpha} & (\alpha = 0, p+1, \dots, s), \\ \phi_i \mapsto q_i \frac{\partial}{\partial q_i} & (1 \leq i \leq p). \end{cases}$$

Hence  $\mathcal{T}_{M^\dagger} = \mathcal{O}_M \otimes_K H_K$ . The same notations  $\mathcal{O}_M^\lambda$ ,  $H_K^\lambda$  and  $\mathcal{T}_{M^\dagger}^\lambda$  as in §5.3 will be used.

<sup>4</sup>A vector bundle  $\mathcal{V}$  is *concave* if  $H^0(C, f^*\mathcal{V}) = 0$  for any genus zero stable map  $(f, C)$  to  $X$  of nonzero degree.

<sup>5</sup>The existence of such a basis follows from the fact that the Mori cone  $\overline{NE}_{\mathbb{R}}(X)$  of a smooth projective variety  $X$  does not contain a straight line (see e.g. [6, Corollary 1.19]). If  $\sigma$  denotes the image of  $\overline{NE}_{\mathbb{R}}(X)$  in  $H_2(X, \mathbb{R})$ , the dual cone  $\sigma^\vee = \{x \in H^2(X, \mathbb{R}) \mid \langle x, y \rangle \geq 0, y \in \sigma\}$  is of maximal dimension. Therefore there exists an integral basis  $\phi_1, \dots, \phi_p$  of  $H^2(X, \mathbb{R})$  such that  $\phi_i \in \sigma^\vee$ .

We put the grading on the vector space  $H_K$  by setting  $|\phi| = k$  if  $\phi \in H^{2k}(X, K)$ . We also put the gradings on the rings  $\mathcal{O}_M$  and  $\mathcal{O}_M^\lambda$  by  $|t_\alpha| = 1 - |\phi_\alpha|$  ( $\alpha = 0, p+1, \dots, s$ ),  $|\lambda| = 1$  and  $|q_i| = \xi_i$ , where  $\xi_i$  are defined by

$$(6.2) \quad c_1(X) + c_1(\mathcal{V}) = \sum_{i=1}^p \xi_i \phi_i.$$

Then we have the induced gradings on  $\mathcal{T}_{M^\dagger}$  and  $\mathcal{T}_{M^\dagger}^\lambda$ .

Let

$$(6.3) \quad \mathbf{E} = \sum_{\alpha=0}^s (1 - |\phi_\alpha|) t_\alpha \frac{\partial}{\partial t_\alpha} + \sum_{i=1}^p \xi_i q_i \frac{\partial}{\partial q_i}, \quad \mathbf{E}^\lambda = \mathbf{E} + \lambda \frac{\partial}{\partial \lambda}.$$

Then, for a homogeneous  $f \in \mathcal{O}_M^\lambda$  and  $\mathbf{x} \in \mathcal{T}_{M^\dagger}^\lambda$ , we have

$$(6.4) \quad \mathbf{E}^\lambda f = |f|f, \quad [\mathbf{E}^\lambda, \mathbf{x}] = (|\mathbf{x}| - 1)\mathbf{x}.$$

### §6.2. Localized formal Frobenius structure over $K[\lambda]$

The following material can be found in [3]. Let  $g^\lambda$  be a localized  $K[\lambda]$ -metric on  $H_K^\lambda$  defined by

$$(6.5) \quad g^\lambda(\phi, \varphi) = \int_X \phi \cup \varphi \cup \frac{1}{e_{S^1}(\mathcal{V})}$$

where  $e_{S^1}(\mathcal{V})$  is the  $S^1$ -equivariant Euler class of  $\mathcal{V}$ :

$$e_{S^1}(\mathcal{V}) = \lambda^r + c_1(\mathcal{V})\lambda^{r-1} + \dots + c_r(\mathcal{V}).$$

**Lemma 6.1.**  $g^\lambda$  and  $\mathbf{E}$  (in (6.3)) satisfy (5.10) with  $D = \dim_{\mathbb{C}} X + r$ .

*Proof.* By degree consideration,  $g^\lambda$  satisfies

$$(6.6) \quad g^\lambda(\phi_\alpha, \phi_\beta) = \eta_{\alpha\beta} \lambda^{|\phi_\alpha| + |\phi_\beta| - \dim_{\mathbb{C}} X - r} \quad (\eta_{\alpha\beta} \in K).$$

This together with (6.4) implies the lemma.  $\square$

We define a multiplication on  $\mathcal{T}_{M^\dagger}^\lambda$  as follows. For  $x_1, \dots, x_m \in H_K$  and  $d \in H_2(X, \mathbb{Z})$ , let

$$(6.7) \quad \langle x_1, \dots, x_m \rangle_{\mathcal{V}, d} = \int_{[\overline{M}_{0,m}(X, d)]^{\text{vir}}} \prod_{i=1}^m \text{ev}_i^* x_i \cup e_{S^1}(-R^\bullet \mu_* \text{ev}_{m+1}^* \mathcal{V}) \in K[\lambda]$$

where  $\overline{M}_{0,m}(X, d)$  is the moduli stack of genus zero stable maps to  $X$  of degree  $d$  with  $m$  marked points,  $\text{ev}_i : \overline{M}_{0,m}(X, d) \rightarrow X$  is the evaluation map at the



$i$ th marked point, and  $\mu : \overline{M}_{0,m+1}(X, d) \rightarrow \overline{M}_{0,m}(X, d)$  is the forgetful map. We define the multiplication  $*_{\mathcal{V}}$  on  $\mathcal{T}_{M^\dagger}^\lambda$  by

$$(6.8) \quad \begin{aligned} g^\lambda(\mathbf{x} *_{\mathcal{V}} \mathbf{y}, \mathbf{z}) &= \sum_d \sum_{m \geq 0} \frac{1}{m!} \langle \mathbf{x}, \mathbf{y}, \mathbf{z}, \underbrace{\tau, \dots, \tau}_m \rangle_{\mathcal{V}, d} \quad (\mathbf{x}, \mathbf{y}, \mathbf{z} \in \mathcal{T}_{M^\dagger}^\lambda) \\ &= \sum_d \sum_{m \geq 0} \frac{1}{m!} \langle \mathbf{x}, \mathbf{y}, \mathbf{z}, \underbrace{\tau_{\geq 4}, \dots, \tau_{\geq 4}}_m \rangle_{\mathcal{V}, d} q^d. \end{aligned}$$

In the first line,  $\tau = \sum_{\alpha=0}^s t_\alpha \phi_\alpha$ , and in the second line,  $\tau_{\geq 4} = \sum_{\alpha=p+1}^s t_\alpha \phi_\alpha$  and  $q^d = e^{\int_d (t_1 \phi_1 + \dots + t_p \phi_p)}$ . In passing to the second line, the fundamental class axiom and the divisor axiom of Gromov–Witten theory (see, e.g., [9, III, §5]) are used.

**Lemma 6.2.** *( $\mathcal{T}_{M^\dagger}^\lambda, *_{\mathcal{V}}$ ) is a graded ring. Hence the multiplication  $*_{\mathcal{V}}$  and  $\mathbf{E}$  in (6.3) satisfy (5.9).*

*Proof.* The lemma follows from the degree axiom of Gromov–Witten theory.  $\square$

**Proposition 6.3.** *( $g^\lambda, *_{\mathcal{V}}, \mathbf{E}$ ) is a localized formal Frobenius structure over  $K[\lambda]$  of charge  $\dim_{\mathbb{C}} X + r$  on  $M^\dagger$ .*

*Proof.* By the definition of  $*_{\mathcal{V}}$ , it is clear that  $g^\lambda$  is  $*_{\mathcal{V}}$ -invariant and satisfies (5.8).  $\square$

### §6.3. Formal mixed Frobenius structure from local quantum cohomology

**Theorem 6.4.** *The collection  $(\circ_{\mathcal{V}}, E, I_\bullet, g_\bullet)$  of the following data determines a formal MFS of charges  $\{\dim_{\mathbb{C}} X + r - k\}_{k \in \mathbb{Z}}$  on  $M^\dagger$ ;*

- the multiplication  $\circ_{\mathcal{V}}$  on  $\mathcal{T}_{M^\dagger}$  induced from the multiplication  $*_{\mathcal{V}}$  on  $\mathcal{T}_{M^\dagger}^\lambda$ ,
- the Euler vector field  $E$  which has the same expression as  $\mathbf{E}$  in (6.3),
- a nondegenerate filtration  $(I_\bullet, g_\bullet)$  on  $H_K$  constructed in Lemma 3.4.

*Proof.* Applying Proposition 5.5 to the localized formal Frobenius structure over  $K[\lambda]$  in Proposition 6.3, we obtain the result.  $\square$

**Remark 6.5** (on convergence of the formal MFS). If  $\mathcal{V} \rightarrow X$  is a negative line bundle, it can be shown that the structure constants of  $\circ_{\mathcal{V}}$  are convergent if those of the quantum product of  $X$  are convergent, e.g. if  $X$  is a smooth projective toric variety [5]. The proof is completely the same as Iritani’s [5] except that it is necessary to modify the proof of his Lemma 4.2. For a pair of such  $X$  and a negative line bundle  $\mathcal{V}$ , the formal MFS described in this subsection is actually a MFS on some open subset of  $H_K$  (see Remark 5.3).

Let us describe the MFS in Theorem 6.4 concretely. The multiplication  $\circ_{\mathcal{V}}$  on  $\mathcal{T}_{M\ddagger}$  is as follows. For  $d \neq 0$ ,  $x_1, \dots, x_m \in H_K$ , let

$$(6.9) \quad \langle x_1, \dots, x_m \rangle_{\mathcal{V}, d}^{\lambda=0} = \int_{[\overline{M}_{0,m}(X, d)]^{\text{vir}}} \prod_{i=1}^m \text{ev}_i^* x_i \cup e(R^1 \mu_* \text{ev}_{m+1}^* \mathcal{V}),$$

where  $e$  denotes the (nonequivariant) Euler class. Then a potential vector field  $\mathcal{G}$  for  $\circ_{\mathcal{V}}$  (cf. Lemma 4.3) is given by

$$(6.10) \quad \mathcal{G} = \sum_{\alpha=0}^s (\partial_{\alpha} \Phi_{\text{cl}}) \phi^{\alpha} + \sum_{\alpha=1}^s (\partial_{\alpha} \Phi_{\text{qu}}) c_r(\mathcal{V}) \cup \phi^{\alpha},$$

where  $\partial_{\alpha} = \frac{\partial}{\partial t_{\alpha}}$  and

$$\Phi_{\text{cl}} = \frac{1}{3!} \int_X \tau \cup \tau \cup \tau, \quad \Phi_{\text{qu}} = \sum_{d \neq 0} \sum_{m \geq 0} \frac{q^d}{m!} \underbrace{\langle \tau_{\geq 4}, \dots, \tau_{\geq 4} \rangle_{\mathcal{V}, d}^{\lambda=0}}_m,$$

and  $\{\phi^{\alpha}\}$  is a basis of  $H_K$  dual to  $\{\phi_{\alpha}\}$  with respect to the intersection form of  $X$ .

By the result of §3.2, the nondegenerate filtration  $(I_{\bullet}, g_{\bullet})$  on  $H_K$  is

$$(6.11) \quad \begin{aligned} I_k &= 0 \quad (k < 0), \\ I_0 &= \{x \cup c_r(\mathcal{V}) \mid x \in H_K\}, \\ I_k &= I_0 + J_k, \quad J_k = p_r(\text{Ker } N^k), \end{aligned}$$

where

$$N = \begin{pmatrix} -c_1(\mathcal{V}) & 1 & 0 & \cdots & 0 \\ -c_2(\mathcal{V}) & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -c_{r-1}(\mathcal{V}) & 0 & 0 & \cdots & 1 \\ -c_r(\mathcal{V}) & 0 & 0 & \cdots & 0 \end{pmatrix} : H_K^{\oplus r} \rightarrow H_K^{\oplus r}$$

and  $p_r$  is the projection to the  $r$ th factor. The metrics  $g_k$  on  $I_k/I_{k-1}$  are given by

$$(6.12) \quad \begin{aligned} g_0(c_r(\mathcal{V}) \cup x, c_r(\mathcal{V}) \cup y) &= \int_X c_r(\mathcal{V}) \cup x \cup y \quad (x, y \in H_K), \\ g_k(\bar{x}, \bar{y}) &= \int_X x \cup p_1(N^{k-1} \bar{y}) \quad (k > 0, x, y \in J_k), \end{aligned}$$

where  $\bar{y} \in \text{Ker } N^k$  is any lift of  $y$ .

**Remark 6.6** (on the nilradical of  $\circ_{\mathcal{V}}$ ). If  $\int_C (c_1(X) + c_1(\mathcal{V})) \leq 0$  for any curve  $C \subset X$ , then  $\phi_{\alpha} \circ_{\mathcal{V}} \phi_{\beta} \in \mathcal{O}_M \otimes_K H^{\geq |\phi_{\alpha}| + |\phi_{\beta}|}(X, K)$  by the degree axiom. Therefore for such  $(X, \mathcal{V})$ , the nilradical of  $(\mathcal{T}_{M\ddagger}, \circ_{\mathcal{V}})$  is  $\mathcal{O}_M \otimes_K H^{\geq 2}(X, K)$ .

### §6.4. Remarks on local mirror symmetry

Let  $X$  be a Fano toric surface and  $\mathcal{V} = K_X$  the canonical bundle. Take  $\phi_{p+1} = \phi^0$ . Then

$$\mathcal{G} = \sum_{\alpha=0}^{p+1} (\partial_\alpha \Phi_{\text{cl}}) \phi^\alpha + \sum_{i=1}^p k_i (\partial_i \Phi_{\text{qu}}) \phi_{p+1},$$

where

$$\Phi_{\text{qu}} = \sum_{d \neq 0} N_d q^d, \quad N_d = \int_{[\overline{M}_{0,0}(X,d)]^{\text{vir}}} e(R^1 \mu_* \text{ev}_{m+1}^* K_X),$$

and the  $k_i$  are defined by  $\sum_{i=1}^p k_i \phi_i = c_1(K_X)$ . The coefficient of  $\phi_{p+1}$  in  $\mathcal{G}$  above is nothing but the function  $\mathcal{F}_{\text{local}}$  in [1, §6.3].

Next, let us discuss the relationship with the mirror side of the story. Let  $\Delta$  be the fan polytope of  $X$ . There is a certain family of curves  $\mathcal{C} \rightarrow \mathcal{M}(\Delta)$  in  $(\mathbb{C}^*)^2$  associated to  $\Delta$ . It was shown that

$$H^*(X, \mathbb{C}) \cong H^2((\mathbb{C}^*)^2, C_z) \quad (z \in \mathcal{M}(\Delta))$$

as  $\mathbb{C}$ -vector spaces and that the weight filtration of the mixed Hodge structure on  $H^2((\mathbb{C}^*)^2, C_z)$  coincides with Frobenius filtration (up to shifts). Compare [7, §8] with (6.11) and [8, (8.8)].

Under the mirror map,  $\mathcal{F}_{\text{local}}$  corresponds to a double logarithmic period of  $\omega_0(z) = [(\frac{dt_1}{t_1} \wedge \frac{dt_2}{t_2}, 0)] \in H^2((\mathbb{C}^*)^2, C_z)$ , and  $\{g_0(\phi_i \circ_{K_X} \phi_j, c_1(K_X))\}_{1 \leq i, j \leq p}$  is essentially equal to the Yukawa coupling defined in [7, §6].

It would be desirable to construct a MFS on  $H^2((\mathbb{C}^*)^2, C_z)$  which is compatible with its variation of mixed Hodge structures and which agrees with the MFS on  $H^*(X, \mathbb{C})$  under the mirror map.

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