# Remarks on Arithmetic Restricted Volumes and Arithmetic Base Loci

by

### Hideaki Ikoma

#### Abstract

We collect some fundamental properties of arithmetic restricted volumes (or arithmetic multiplicities) of adelically metrized line bundles. Every arithmetic restricted volume has the concavity property and characterizes the corresponding arithmetic augmented base locus as the null locus. We also establish a generalized Fujita approximation for arithmetic restricted volumes.

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### §1. Introduction

Let K be a number field. We denote the set of all finite places of K by  $M_K^f$ , and set  $M_K := M_K^f \cup \{\infty\}$ . Let X be a projective variety over K. According to [28, 24], we consider an adelically metrized line bundle  $\overline{L} = (L, (|\cdot|_v^{\overline{L}})_{v \in M_K})$  on X, which is defined as a pair of a line bundle L on X and an adelic metric  $(|\cdot|_v^{\overline{L}})_{v \in M_K}$  on L

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(see §5 for details). For each place  $v \in M_K$ , we denote by  $\|\cdot\|_{v,\sup}^{\overline{L}}$  the supremum norm defined by  $\|\cdot\|_v^{\overline{L}}$ . Let

$$\begin{split} \widehat{\Gamma}^{\mathrm{f}}(\overline{L}) &:= \{s \in H^0(L) : \|s\|_{v, \sup}^{\overline{L}} \leq 1, \, \forall v \in M_K^{\mathrm{f}}\}, \\ \widehat{\Gamma}^{\mathrm{ss}}(\overline{L}) &:= \{s \in \widehat{\Gamma}^{\mathrm{f}}(\overline{L}) : \|s\|_{\infty, \sup}^{\overline{L}} < 1\}. \end{split}$$

We call an element in  $\widehat{\Gamma}^{ss}(\overline{L})$  a strictly small section of  $\overline{L}$ , and set

$$\widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L}) := \{ x \in X : s(x) = 0, \, \forall s \in \widehat{\Gamma}^{\mathrm{ss}}(m\overline{L}), \, \forall m \ge 1 \}.$$

An adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{L}$  is said to be weakly ample, or w-ample for short, if L is ample and  $\langle \widehat{\Gamma}^{ss}(m\overline{L}) \rangle_K = H^0(mL)$  for every sufficiently large m such that mL is a line bundle (see the beginning of §2 for the notation  $\langle \cdot \rangle_K$ ). Let Y be a closed subvariety of X. We say that  $\overline{L}$  is Y-big if there exist a positive integer m, a w-ample adelically metrized line bundle  $\overline{A}$ , and an  $s \in \widehat{\Gamma}^{ss}(m\overline{L} - \overline{A})$  such that  $s|_Y$  is non-zero.

The purpose of this paper is twofold. First, we give an elementary proof of Theorem A below, which was first proved by Moriwaki [23, Theorem A and Corollary B] by using Zhang's technique [27]. (More precisely, Moriwaki [23] treated only continuous Hermitian line bundles but it is easy to generalize his result to the form given below). Following Moriwaki's suggestion, we call this result a "Zhang–Moriwaki theorem". The proof given in this paper is very simple and based on some elementary properties of base loci. We need neither induction on dimension nor estimates of the last minima.

**Theorem A** (Theorem 4.3). Let X be a projective variety over K, and let  $\overline{L}$  be an adelically metrized line bundle on X such that L is semiample. Then the following are equivalent:

- (a)  $\widehat{\mathbf{B}}^{ss}(\overline{L}) = \emptyset$ .
- (b)  $H^0(mL) = \langle \widehat{\Gamma}^{ss}(m\overline{L}) \rangle_K$  for every  $m \gg 1$ .

The celebrated arithmetic Nakai–Moishezon criterion for arithmetic ampleness was first proved by Zhang [27, 28], and later was slightly generalized by Moriwaki [23] by using the same technique. Theorem A itself is not powerful enough to recover Zhang's criterion ([27, Corollary (4.8)], [28, Theorem (1.8)], [23, Theorem 4.2]).

Next, we establish some fundamental properties of arithmetic restricted volumes (or arithmetic multiplicities) of adelically metrized line bundles along closed subvarieties. A CL-subset of a free  $\mathbb{Z}$ -module of finite rank is a subset which can be written as the intersection of a  $\mathbb{Z}$ -submodule and a convex subset (see [22,  $\S1.2$ ] for details, where such a subset is referred to as a  $convex\ lattice$ ). Given

an adelically metrized line bundle  $\overline{L}$  and a closed subvariety Y of X, we define  $\widehat{\operatorname{CL}}_{X|Y}(\overline{L})$  as the smallest CL-subset of  $\widehat{\Gamma}^{\mathrm{f}}(\overline{L}|_{Y})$  containing the image of  $\widehat{\Gamma}^{\mathrm{ss}}(\overline{L})$ . Denote by  $\mathbb N$  the semigroup of all positive integers and set  $\widehat{\mathbf{N}}_{X|Y}(\overline{L}) := \{m \in \mathbb N : \widehat{\operatorname{CL}}_{X|Y}(m\overline{L}) \neq \{0\}\}$ . We define the arithmetic restricted volume of  $\overline{L}$  along Y as

$$\widehat{\operatorname{vol}}_{X|Y}(\overline{L}) := \limsup_{m \to \infty} \frac{\log \sharp \widehat{\operatorname{CL}}_{X|Y}(m\overline{L})}{m^{\dim Y + 1}/(\dim Y + 1)!},$$

and the arithmetic augmented base locus of  $\overline{L}$  as

$$\widehat{\mathbf{B}}_{+}(\overline{L}) := \bigcap_{\overline{A} \text{ w-ample}} \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L} - \overline{A}),$$

where  $\overline{A}$  runs over all w-ample adelically metrized  $\mathbb{Q}$ -line bundles on X. In the literature, we can find several other definitions of  $\widehat{\mathbf{B}}_+(\overline{L})$ . For example, Moriwaki [22, §3] defined it by using "small sections", and Chen [9, §4] used sections having normalized Arakelov degrees not less than zero. In important cases, these three definitions all coincide (Remark 5.5). The definitions given above have some desirable properties. For example, if X is normal, then

$$\widehat{\mathbf{B}}_{+}(\overline{L}) = \bigcup_{\substack{Z \subset X \\ \widehat{\text{vol}}_{X|Z}(\overline{L}) = 0}} Z$$

(Corollary 7.14). By the theory of Okounkov bodies [17, 3], if  $Y \not\subset \mathbf{B}(L)$ , we have the following limit called the *multiplicity of* L along Y:

$$e_{X|Y}(L) := \lim_{\substack{m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L}) \\ m \to \infty}} \frac{\dim_{H^0(\mathcal{O}_Y)} \langle \operatorname{Image}(H^0(mL) \to H^0(mL|_Y)) \rangle_{H^0(\mathcal{O}_Y)}}{m^{\kappa_{X|Y}(L)} / \kappa_{X|Y}(L)!},$$

where

$$\kappa_{X|Y}(L) := \mathrm{tr.deg}_{H^0(\mathcal{O}_Y)} \Big( \bigoplus_{m \geq 0} \langle \mathrm{Image}(H^0(mL) \to H^0(mL|_Y)) \rangle_{H^0(\mathcal{O}_Y)} \Big) - 1.$$

The following is an arithmetic analogue of this limit, which we call the arithmetic multiplicity of  $\overline{L}$  along Y and denote by  $\widehat{e}_{X|Y}(\overline{L})$ .

**Theorem B** (Theorem 7.11 and Lemma 7.4). Let X be a projective variety over a number field, let Y be a closed subvariety of X, and let  $\overline{L}$  be an adelically metrized line bundle on X. If  $Y \not\subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$ , then the sequence

$$\left(\frac{\log \sharp \widehat{\mathrm{CL}}_{X|Y}(m\overline{L})}{m^{\kappa_{X|Y}(L)+1}/(\kappa_{X|Y}(L)+1)!}\right)_{m\in \widehat{\mathbf{N}}_{X|Y}(\overline{L})}$$

converges to a positive real number.

As an application, we establish a generalized Fujita approximation for arithmetic restricted volumes, which can be viewed as an arithmetic analogue of [10, Theorem 2.13]. Let X be a normal projective variety over a number field, let  $\overline{L}$  be an adelically metrized  $\mathbb{Q}$ -line bundle on X, and let Z be a closed subvariety of X. A Z-compatible approximation for  $\overline{L}$  is a pair  $(\mu: X' \to X, \overline{M})$  consisting of a projective birational morphism  $\mu: X' \to X$  and a nef adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{M}$  on X' having the following properties:

- (a) X' is smooth and  $\mu$  is isomorphic around the generic point of Z.
- (b) Denote the strict transform of Z via  $\mu$  by  $\mu_*^{-1}(Z)$ . Then  $\overline{M}$  is  $\mu_*^{-1}(Z)$ -big and  $\mu^*\overline{L} \overline{M}$  is a  $\mu_*^{-1}(Z)$ -pseudoeffective adelically metrized  $\mathbb{Q}$ -line bundle.

We denote by  $\widehat{\Theta}_Z(\overline{L})$  the set of all Z-compatible approximations for  $\overline{L}$ .

**Theorem C** (Theorem 8.4). Let X be a normal projective variety over a number field, let Z be a closed subvariety of X, and let  $\overline{L}$  be an adelically metrized  $\mathbb{Q}$ -line bundle on X. If  $\overline{L}$  is Z-big, then for every closed subvariety Y containing Z,

$$\widehat{\operatorname{vol}}_{X|Y}(\overline{L}) = \sup_{(\mu, \overline{M}) \in \widehat{\Theta}_Z(\overline{L})} \widehat{\operatorname{deg}} \left( (\overline{M}|_{\mu_*^{-1}(Y)})^{\cdot (\dim Y + 1)} \right).$$

This paper is organized as follows: We give a definition and properties of adelically metrized line bundles in Section 2 (Definition 2.9) and of the augmented base loci of general graded linear series in Section 3 (Definition 3.1). As an interlude, we give the proof of Theorem A (Theorem 4.3) in Section 4, which is independent of the previous sections except Lemma 3.7. In Section 5, we give a definition of the arithmetic augmented base locus of an adelically metrized line bundle (Definition 5.1) and characterize it as the minimal exceptional locus of a Kodaira-type map (Theorem 5.6). Sections 6 and 7 are devoted to giving a definition of the arithmetic restricted volume of an adelically metrized line bundle along a closed subvariety, and the proof of Theorem B (Theorem 7.11). The arguments here are based on Yuan's idea [25] and the general theory of Okounkov bodies [17, 3]. In Section 8, we establish the generalized Fujita approximation for arithmetic restricted volumes (Theorem 8.4).

### §2. Preliminaries

Let M be a module over a ring R and let  $\Gamma$  be a subset of M. We denote by  $\langle \Gamma \rangle_R$  the R-submodule of M generated by  $\Gamma$ . Let K be a number field and let  $O_K$  be the ring of integers. Denote by  $M_K^f$  the set of all finite places of K and set  $M_K := M_K^f \cup \{\infty\}$ . For each  $P \in M_K^f$ , we denote the P-adic completion of K by

 $K_P$ , a uniformizer of  $K_P$  by  $\varpi_P$ , and set

(2.1) 
$$|a|_P := \begin{cases} \sharp (O_K/P)^{-\operatorname{ord}_P(a)} & \text{if } a \in K_P^{\times}, \\ 0 & \text{if } a = 0 \end{cases}$$

for  $a \in K_P$ . We denote the valuation ring of  $K_P$  by  $O_{K_P}$ , and the residue field of  $K_P$  by  $K_P$ . For  $v=\infty$ , we set  $K_\infty:=\mathbb{C}$  and denote by  $|\cdot|_\infty$  the absolute value on  $\mathbb{C}$ .

Adelically normed linear series. Let V be a finite-dimensional K-vector space. We set  $V_{K_v} := V \otimes_K K_v$  for  $v \in M_K^f$ , and  $V_\infty := V \otimes_{\mathbb{Q}} \mathbb{C}$ . An adelic norm on Vis a collection  $(\|\cdot\|_v)_{v\in M_K}$  of norms such that

- (a) if  $v \in M_K^{\mathrm{f}}$ , then  $\|\cdot\|_v$  is a non-Archimedean  $(K_v, |\cdot|_v)$ -norm on  $V_{K_v}$ ,
- (b)  $\|\cdot\|_{\infty}$  is a  $(K_{\infty}, |\cdot|_{\infty})$ -norm on  $V_{K_{\infty}}$ , and
- (c) for each  $s \in V$ ,  $||s||_v \le 1$  for all but finitely many  $v \in M_K^f$ .

An adelically normed K-vector space is a pair  $\overline{V} = (V, (\|\cdot\|_v^{\overline{V}})_{v \in M_K})$  of a finitedimensional K-vector space V and an adelic norm  $(\|\cdot\|_v^{\overline{V}})_{v\in M_K}$  on V such that the following equivalent conditions are satisfied (see [6, Proposition 2.4]):

- (a)  $\widehat{\Gamma}^{\mathrm{f}}(\overline{V}):=\{s\in V: \|s\|_v^{\overline{V}}\leq 1, \, \forall v\in M_K^{\mathrm{f}}\}$  is a finitely generated  $O_K$ -module that spans V over K.
- (b)  $\widehat{\Gamma}^{\mathrm{s}}(\overline{V}) := \{ s \in \widehat{\Gamma}^{\mathrm{f}}(\overline{V}) : \|s\|_{\infty}^{\overline{V}} \leq 1 \}$  is a finite set. (c)  $\widehat{\Gamma}^{\mathrm{ss}}(\overline{V}) := \{ s \in \widehat{\Gamma}^{\mathrm{f}}(\overline{V}) : \|s\|_{\infty}^{\overline{V}} < 1 \}$  is a finite set.

Note that the above definition of an adelically normed K-vector space is strictly weaker than the classic one as given in [28, (1.6)], [12, Definition 3.1]. We do not require the existence of an integral model of V that induces all but finitely many  $\|\cdot\|_v$ , which corresponds to condition (b) of [28, (1.6)] and to condition 1) of [12, Definition 3.1].

Let X be a projective variety over K, that is, a projective, reduced, and irreducible scheme over K. Let L be a line bundle on X. A K-linear series belonging to L is a K-subspace V of  $H^0(L)$ . The base locus of V is defined as

Bs 
$$V := \bigcap_{s \in V} \{ x \in X : s(x) = 0 \}.$$

We consider endowing V with an adelic norm  $(\|\cdot\|_v)_{v\in M_K}$  such that  $\overline{V}:=$  $(V,(\|\cdot\|_v)_{v\in M_K})$  is an adelically normed K-vector space in the above sense. An adelically normed graded K-linear series  $\overline{V}_{\bullet}$  belonging to L is a graded K-linear series  $V_{\bullet} = \bigoplus_{m \geq 0} V_m$  endowed for each  $m \geq 0$  with an adelic norm  $(\|\cdot\|_v^{V_m})_{v \in M_K}$ 

on  $V_m$  in such a way that

for every  $v \in M_K$ ,  $s \in V_m$ ,  $t \in V_n$ , and  $m, n \geq 0$ . Given an adelically normed graded K-linear series  $\overline{V}_{\bullet}$ , we have a graded K-linear series  $\bigoplus_{m \geq 0} \langle \widehat{\Gamma}^{ss}(\overline{V}_m) \rangle_K$  belonging to L, and set

$$(2.3) \qquad \widehat{\operatorname{Bs}}^{?}(\overline{V}_{m}) := \operatorname{Bs}\langle \widehat{\Gamma}^{?}(\overline{V}_{m}) \rangle_{K} \quad \text{and} \quad \widehat{\mathbf{B}}^{?}(\overline{V}_{\bullet}) := \bigcap_{m \geq 1} \operatorname{Bs}\langle \widehat{\Gamma}^{?}(\overline{V}_{m}) \rangle_{K}$$

for  $m \ge 1$  and ? = ss or s.

Adelically metrized line bundles. Let X be a projective variety over K. For each  $v \in M_K^f$  (respectively,  $v = \infty$ ), we denote the Berkovich analytic space (respectively, complex analytic space) associated to  $X_{K_v} := X \times_{\operatorname{Spec}(K)} \operatorname{Spec}(K_v)$  (respectively,  $X_{\mathbb{C}} := \coprod_{\sigma:K \to \mathbb{C}} X \times_{\operatorname{Spec}(K),\sigma} \operatorname{Spec}(\mathbb{C})$ ) by  $(X_v^{\operatorname{an}}, \rho_v : X_v^{\operatorname{an}} \to X_{K_v})$  (see [1, §3.4]). If  $W = \operatorname{Spec}(A)$  is an affine open subscheme of  $X_{K_v}$ , then a point  $x \in W^{\operatorname{an}} = \rho_v^{-1}(W)$  corresponds to a multiplicative seminorm  $|\cdot|_x$  on A whose restriction to  $K_v$  is  $|\cdot|_v$ , and the morphism  $\rho_v|_{W^{\operatorname{an}}} : W^{\operatorname{an}} \to W$  is given by  $x \to \operatorname{Ker} |\cdot|_x$  (see [1, Remark 3.4.2]). In particular, the multiplicative seminorm  $|\cdot|_x$  defines a norm on the residue field  $k(\rho_v(x))$  at  $\rho_v(x)$  (that is, the field of fractions of  $A/\operatorname{Ker} |\cdot|_x$ ), which we also denote by  $|\cdot|_x$ .

Let L be a line bundle on X. For each  $v \in M_K^{\mathrm{f}}$ , we set  $L_v^{\mathrm{an}} := \rho_v^* L_{K_v}$ . A continuous metric  $|\cdot|^{L_v}$  on  $L_v^{\mathrm{an}}$  is a collection  $(|\cdot|_x^{L_v})_{x \in X^{\mathrm{an}}}$  such that, for every  $x \in X_v^{\mathrm{an}}$ ,  $|\cdot|_x^{L_v}$  is a  $(k(\rho_v(x)), |\cdot|_x)$ -norm on  $L_v^{\mathrm{an}}(x) = L_{K_v}(\rho_v(x))$  and, for every local section s of  $L_{K_v}$ , the map  $x \mapsto |s|^{L_v}(x) := |s(\rho_v(x))|_x^{L_v}$  is continuous in the Berkovich topology.

We consider a finite subset S of  $M_K^f$  and set  $U = \operatorname{Spec}(O_K) \setminus S$ . A U-model of X is a flat, projective, reduced, and irreducible U-scheme  $\mathscr{X}_U$  such that  $\mathscr{X}_U \times_U$   $\operatorname{Spec}(K)$  is K-isomorphic to X. A U-model of the pair (X, L) is a pair  $(\mathscr{X}_U, \mathscr{L}_U)$  of a U-model  $\mathscr{X}_U$  of X and a  $\mathbb{Q}$ -line bundle  $\mathscr{L}_U$  on  $\mathscr{X}_U$  such that  $\mathscr{L}_U|_X = L$  as  $\mathbb{Q}$ -line bundles. Let  $(\mathscr{X}_U, \mathscr{L}_U)$  be a U-model of (X, L), and let  $v \in U$ . Given an  $x \in X_v^{\mathrm{an}}$ , by using the valuative criterion we can uniquely extend the natural morphism  $\operatorname{Spec}(k(\rho_v(x))) \to X_{K_v}$  to

$$(2.4) t_x : \operatorname{Spec}(O_{k(\rho_n(x))}) \to \mathscr{X}_{O_{K_n}} = \mathscr{X}_U \times_U \operatorname{Spec}(O_{K_n}),$$

where  $O_{k(\rho_v(x))} := \{ f \in k(\rho_v(x)) : |f|_x \leq 1 \}$ . Let  $n \geq 1$  be an integer such that  $n\mathscr{L}_{O_{K_v}}$  is a line bundle on  $\mathscr{X}_{O_{K_v}}$ . For  $\ell \in L_v^{\mathrm{an}}(x)$ , we have  $\ell^{\otimes n} \in (n\mathscr{L}_{O_{K_v}}) \otimes_{O_{K_v}} k(\rho_v(x))$  and we set

$$(2.5) \quad |\ell|^{\mathscr{L}_{O_{K_v}}}(x) = |\ell|_x^{\mathscr{L}_{O_{K_v}}} := \inf\{|f|_x^{1/n} : f \in k(\rho_v(x)), \, \ell^{\otimes n} \in ft_x^*(n\mathscr{L}_{O_{K_v}})\},$$

which does not depend on the choice of n. Let  $\operatorname{Red}_{\mathscr{X}_{O_{K_v}}}(x)$  be the image of the closed point of  $\operatorname{Spec}(O_{k(\rho(x))})$  under  $t_x$ . The map

$$(2.6) \qquad \qquad \mathrm{Red}_{\mathscr{X}_{O_{K_{v}}}}: X_{v}^{\mathrm{an}} \to \mathscr{X}_{O_{K_{v}}} \times_{\mathrm{Spec}(O_{K_{v}})} \mathrm{Spec}(\widetilde{K}_{v})$$

is called the reduction map (see [1, §2.4]). Let  $\eta$  be a local frame of  $n\mathcal{L}_{O_{K_v}}$  around  $\operatorname{Red}_{\mathscr{L}_{O_{K_v}}}(x)$ . For  $\ell \in L_v^{\operatorname{an}}(x)$ , choose an  $f \in k(\rho_v(x))$  such that  $\ell^{\otimes n} = f\eta(x)$  in  $(nL)_v^{\operatorname{an}}(x)$ . We then have

(2.7) 
$$|\ell|^{\mathcal{L}_{O_{K_v}}}(x) = |f|_x^{1/n}.$$

**Lemma 2.1.** (1) Red  $\mathcal{X}_{O_K}$  is anti-continuous.

- (2)  $|\cdot|^{\mathcal{L}_{O_{K_v}}}$  is a continuous metric on  $L_v^{\mathrm{an}}$ .
- (3) Let  $\iota: X \to \mathscr{X}_U$  be the natural morphism and assume that  $\mathscr{X}_U$  is integrally closed in  $\iota_* \mathcal{O}_X$  (see [13, §6.3]). Then  $s^{\otimes n}$  extends to a global section of  $n\mathscr{L}_U$  if and only if

$$\sup_{x \in X_{v}^{\mathrm{an}}} |s|^{\mathcal{L}_{O_{K_{v}}}}(x) \leq 1 \quad \text{for every } v \in U.$$

**Definition 2.2.** We say that  $\mathscr{X}_U$  is relatively normal in X if the condition in Lemma 2.1(3) is satisfied. Given any U-model  $\mathscr{X}_U$ , there exists an integral morphism  $\nu: \mathscr{X}'_U \to \mathscr{X}_U$  of U-models of X such that  $\mathscr{X}'_U$  is relatively normal in X (see [13, §6.3]). We call  $\mathscr{X}'_U$  a relative normalization of  $\mathscr{X}_U$  in X. Since the normalization  $\widetilde{\nu}: \widetilde{\mathscr{X}}_U \to \mathscr{X}_U$  is a finite morphism, we can see that  $\nu$  is also finite.

Proof of Lemma 2.1. (1): We cover  $\mathscr{X}_{O_{K_v}}$  with finitely many affine open subschemes  $\operatorname{Spec}(\mathscr{A}_i)$  such that each  $\mathscr{A}_i$  is a finitely generated  $O_{K_v}$ -algebra. Set  $A_i := \mathscr{A}_i \otimes_{O_{K_v}} K_v$  and  $\widetilde{\mathscr{A}}_i := \mathscr{A}_i \otimes_{O_{K_v}} \widetilde{K}_v$ . It is sufficient to show that  $\operatorname{Red}_{\mathscr{X}_{O_{K_v}}}|_{\operatorname{Spec}(A_i)^{\operatorname{an}}}: \operatorname{Spec}(\widetilde{A}_i)^{\operatorname{an}} \to \operatorname{Spec}(\widetilde{\mathscr{A}_i})$  is anti-continuous for each i. Every closed subset of  $\operatorname{Spec}(\widetilde{\mathscr{A}_i})$  can be written in the form

$$V(\widetilde{\mathfrak{a}}) = \{ p \in \operatorname{Spec}(\widetilde{\mathscr{A}_i}) : \widetilde{f}_j(p) = 0 \text{ for all } j \},$$

where  $\widetilde{\mathfrak{a}}$  is an ideal of  $\widetilde{\mathscr{A}_i}$  generated by finitely many  $\widetilde{f}_j \in \widetilde{\mathscr{A}_i}$ . Choose  $f_j \in \mathscr{A}_i$  whose image in  $\widetilde{\mathscr{A}_i}$  is  $\widetilde{f}_j$ . One can see that  $x \in \operatorname{Spec}(A_i)^{\operatorname{an}}$  satisfies  $\widetilde{f}_j(\operatorname{Red}_{\mathscr{X}_{O_{K_v}}}(x)) = 0$  if and only if  $f_j \in O_{k(\rho_v(x))}$  belongs to the maximal ideal. So

$$\operatorname{Red}_{\mathscr{X}_{OK_n}}^{-1}(V(\widetilde{\mathfrak{a}})) = \{ x \in \operatorname{Spec}(A_i)^{\operatorname{an}} : |f_j|_x < 1 \text{ for all } j \},$$

which is open.

(2): Let  $n \geq 1$  be an integer such that  $n\mathscr{L}_{O_{K_v}}$  is a line bundle on  $\mathscr{X}_{O_{K_v}}$ . Let W' be an open subscheme of  $X_{K_v}$  and let s be a local section of  $L_{K_v}$  over W'. We are going to show that  ${W'}^{\mathrm{an}} \to \mathbb{R}$ ,  $x \mapsto |s|^{\mathscr{L}_{O_{K_v}}}(x)$ , is continuous.

For each  $x \in {W'}^{\mathrm{an}}$ , we choose an affine open neighborhood  $\mathscr{W} = \operatorname{Spec}(\mathscr{A})$  of  $\operatorname{Red}_{\mathscr{X}_{O_{K_v}}}(x)$  such that  $n\mathscr{L}_{O_{K_v}}$  is trivial over  $\mathscr{W}$ . Set  $A := \mathscr{A} \otimes_{O_{K_v}} K_v$  and  $W := \operatorname{Spec}(A)$ . Since  $\operatorname{Red}_{\mathscr{X}_{O_{K_v}}}(x)$  is contained in the Zariski closure of  $\rho_v(x)$  in  $\mathscr{X}_{O_{K_v}}$ , W contains  $\rho_v(x)$ . Fix a local frame  $\eta$  of  $n\mathscr{L}_{O_{K_v}}$  over  $\mathscr{W}$ , and write  $s^{\otimes n} = f\eta|_W$  with  $f \in A$ . Then

$$|s|^{\mathcal{L}_{O_{K_v}}}(x) = |f|_x^{1/n}$$

is continuous over  $W^{\rm an}$  by definition of the Berkovich topology. So we are done.

(3): It suffices to show the "if" part. We can assume  $s \neq 0$ . The section  $s^{\otimes n}$  can be regarded as a non-zero rational section of  $n\mathcal{L}_U$  on  $\mathcal{X}_U$ , so it suffices to show that the Cartier divisor  $\operatorname{div}_{\mathcal{X}_U}(s^{\otimes n})$  on  $\mathcal{X}_U$  is effective.

## Claim 2.3. It suffices to show

(2.8) 
$$\operatorname{ord}_{Z}(\operatorname{div}_{\mathscr{X}}(s^{\otimes n})) \geq 0$$

for every vertical prime divisor Z on  $\mathscr{X}_U$ .

Proof of Claim 2.3. There exists a non-empty open subset  $U' \subset U$  such that  $s^{\otimes n}$  extends to a global section on  $\mathscr{X}_{U'}$ . Let  $\mathscr{W} = \operatorname{Spec}(\mathscr{A})$  be an affine open subscheme of  $\mathscr{X}_U$  such that  $n\mathscr{L}_U$  is trivial with local frame  $\eta$ . We can write  $s^{\otimes n} = f\eta$  with a rational function f on  $\mathscr{W}$ . The domain of f contains  $\mathscr{W} \cap \mathscr{X}_{U'}$  and all the generic points of the vertical fibers of  $\mathscr{W}$ . So, by Lemma 2.4(3) below, we have  $f \in \mathscr{A}$ .  $\square$ 

Suppose that Z is lying over a place  $v \in U$ . We define  $|\cdot|_Z$  by

$$|\phi|_Z := \begin{cases} (\sharp \widetilde{K}_v)^{-\frac{\operatorname{ord}_Z(\phi)}{\operatorname{ord}_Z(\varpi_v)}} & \text{if } \phi \neq 0, \\ 0 & \text{if } \phi = 0 \end{cases}$$

for  $\phi \in \operatorname{Rat}(X_{K_v})$ , where  $\operatorname{Rat}(X_{K_v})$  denotes the field of rational functions on  $X_{K_v}$ . Then  $|\cdot|_Z$  gives a norm on  $\operatorname{Rat}(X_{K_v})$  extending  $|\cdot|_v$  on  $K_v$ , so  $|\cdot|_Z$  corresponds to a point  $x_Z \in X_v^{\operatorname{an}}$ . Note that  $\rho_v(x_Z)$  is the generic point of  $X_{K_v}$ , and  $\operatorname{Red}_{\mathscr{X}_{O_{K_v}}}(x_Z)$  is the generic point of Z, so  $x_Z$  belongs to the Shilov boundary of  $X_v^{\operatorname{an}}$  (see [1, Proposition 2.4.4]). Let f be a local equation defining  $\operatorname{div}_{\mathscr{X}_U}(s^{\otimes n})$  around the generic point of Z. Then

$$\left(|s|^{\mathcal{L}_{O_{K_v}}}(x_Z)\right)^n = |s^{\otimes n}|^{n\mathcal{L}_{O_{K_v}}}(x_Z) = |f|_Z = (\sharp \widetilde{K}_v)^{-\frac{\operatorname{ord}_Z(f)}{\operatorname{ord}_Z(\varpi_v)}} \le 1,$$
so  $\operatorname{ord}_Z(\operatorname{div}_{\mathscr{X}}(s^{\otimes n})) = \operatorname{ord}_Z(f) \ge 0.$ 

**Lemma 2.4.** Let A be a Noetherian integral domain and let S be a multiplicative subset of  $A \setminus \{0\}$ . Suppose that A is integrally closed in  $S^{-1}A$ .

- (1) Let  $a \in A \setminus \{0\}$  and let  $\mathfrak{p}$  be a prime ideal of A associated to aA. If  $\mathfrak{p} \cap S$  is non-empty, then  $A_{\mathfrak{p}}$  is a discrete valuation ring and  $\mathfrak{p}$  has height one.
- (2) For any prime ideal  $\mathfrak{P}$  of A such that  $\mathfrak{P} \cap S \neq \emptyset$ , one has

$$\operatorname{depth}(A_{\mathfrak{P}}) \ge \min \left\{ \operatorname{ht}(\mathfrak{P}), 2 \right\}.$$

(3) One has

$$A = S^{-1}A \cap \bigcap_{\substack{\mathfrak{P} \cap S \neq \emptyset \\ \operatorname{ht}(\mathfrak{P}) = 1}} A_{\mathfrak{P}}.$$

*Proof.* (1): Let  $\varpi \in \mathfrak{p} \cap S$ . There exists a  $b \in A$  such that  $\mathfrak{p} = \{x \in A : xb \in aA\}$ . So  $\mathfrak{p}A_{\mathfrak{p}} = \{x \in A_{\mathfrak{p}} : xb \in aA_{\mathfrak{p}}\}$  and  $\varpi b \in aA_{\mathfrak{p}}$ .

Claim 2.5.  $\mathfrak{p}A_{\mathfrak{p}}$  is an invertible ideal of  $A_{\mathfrak{p}}$ .

Proof of Claim 2.5. We have  $ba^{-1} \in (\mathfrak{p}A_{\mathfrak{p}})^{-1} \cap A_{\mathfrak{p}}[1/\varpi]$  and  $ba^{-1} \notin A_{\mathfrak{p}}$ . Suppose that  $(ba^{-1})\mathfrak{p}A_{\mathfrak{p}}$  is contained in  $\mathfrak{p}A_{\mathfrak{p}}$ . Since  $A_{\mathfrak{p}}$  is Noetherian, we can see by the "determinant trick" (see [21, Theorem 2.1]) that  $ba^{-1} \in A_{\mathfrak{p}}[1/\varpi]$  is integral over  $A_{\mathfrak{p}}$ . On the other hand, by the hypothesis,  $A_{\mathfrak{p}}$  is integrally closed in  $A_{\mathfrak{p}}[1/\varpi]$ , which is a contradiction. Hence  $(ba^{-1})\mathfrak{p}A_{\mathfrak{p}} = A_{\mathfrak{p}}$ .

By Claim 2.5 and [21, Theorem 11.4],  $A_{\mathfrak{p}}$  is a discrete valuation ring and  $\mathfrak{p}$  has height one.

(2): Since  $\mathfrak{P} \cap S \neq \emptyset$ ,  $A_{\mathfrak{P}}$  has depth  $\geq 1$ . Suppose that  $\operatorname{ht}(\mathfrak{P}) \geq 2$ . Let  $x_1 \in \mathfrak{P} \cap S$ . If  $\mathfrak{P} \subset \bigcup_{\mathfrak{p} \in \operatorname{Ass}_A(A/x_1A)} \mathfrak{p}$ , then  $\mathfrak{P} \subset \mathfrak{p}$  for a  $\mathfrak{p} \in \operatorname{Ass}_A(A/x_1A)$  (see [21, Exercise 1.6]), which contradicts (1). So one can take an

$$x_2 \in \mathfrak{P} \setminus \bigcup_{\mathfrak{p} \in \mathrm{Ass}_A(A/x_1A)} \mathfrak{p}.$$

By [21, Theorem 6.1(ii)],  $x_2 \in \mathfrak{P}A_{\mathfrak{P}}$  is  $(A_{\mathfrak{P}}/x_1A_{\mathfrak{P}})$ -regular. So,  $A_{\mathfrak{P}}$  has depth  $\geq 2$ . (3): Let  $a \in A \setminus \{0\}$  and let

$$aA = \bigcap_{\mathfrak{p} \in \mathrm{Ass}_A(A/aA)} I(\mathfrak{p})$$

be a reduced primary decomposition of aA, where  $I(\mathfrak{p})$  is  $\mathfrak{p}$ -primary in A.

Claim 2.6. One has

(2.9) 
$$S^{-1}(aA) \cap A = \bigcap_{\mathfrak{p} \in \mathrm{Ass}_A(A/aA) \cap \mathrm{Spec}(S^{-1}A)} I(\mathfrak{p}).$$

*Proof.* Since

$$S^{-1}(aA) = \bigcap_{\mathfrak{p} \in \operatorname{Ass}_A(A/aA) \cap \operatorname{Spec}(S^{-1}A)} S^{-1}I(\mathfrak{p}).$$

it suffices to show  $S^{-1}I(\mathfrak{p}) \cap A = I(\mathfrak{p})$  for  $\mathfrak{p} \in \mathrm{Ass}_A(A/aA) \cap \mathrm{Spec}(S^{-1}A)$ . Suppose that  $sx \in I(\mathfrak{p})$  for  $s \in S$  and  $x \in A$ . If  $x \notin I(\mathfrak{p})$ , then s is a zero-divisor for  $A/I(\mathfrak{p})$  and  $s \notin \mathfrak{p}$ , so it is a contradiction. Hence,  $x \in I(\mathfrak{p})$ .

On the other hand, by (1),

$$(2.10) I(\mathfrak{p}) = aA_{\mathfrak{p}} \cap A$$

for every  $\mathfrak{p} \in \mathrm{Ass}_A(A/aA) \setminus \mathrm{Spec}(S^{-1}A)$ . So

(2.11) 
$$aA = (aS^{-1}A \cap A) \cap \bigcap_{\mathfrak{p} \in \mathrm{Ass}_A(A/aA) \backslash \mathrm{Spec}(S^{-1}A)} (aA_{\mathfrak{p}} \cap A).$$

If

$$ba^{-1} \in S^{-1}A \cap \bigcap_{\substack{\mathfrak{P} \cap S \neq \emptyset \\ \operatorname{ht}(\mathfrak{P}) = 1}} A_{\mathfrak{P}},$$

then by (2.11) we have

$$b \in (aS^{-1}A \cap A) \cap \bigcap_{\mathfrak{P} \in \mathrm{Ass}_A(A/aA) \setminus \mathrm{Spec}(S^{-1}A)} (aA_{\mathfrak{P}} \cap A) = aA,$$

and so 
$$ba^{-1} \in A$$
.

**Lemma 2.7.** Let L be a line bundle on X.

- (1) There exists an  $O_K$ -model  $(\mathcal{X}, \mathcal{L})$  of (X, L) such that  $\mathcal{L}$  is a line bundle on  $\mathcal{X}$ .
- (2) Let U be a non-empty open subset of  $\operatorname{Spec}(O_K)$  and let  $(\mathscr{X}_U, \mathscr{L}_U)$  and  $(\mathscr{X}'_U, \mathscr{L}'_U)$  be two U-models of (X, L). There then exists a non-empty open subset  $U_0 \subset U$  such that  $\mathscr{X}_{U_0}$  and  $\mathscr{X}'_{U_0}$  are  $U_0$ -isomorphic and  $\mathscr{L}_{U_0} = \mathscr{L}'_{U_0}$  as  $\mathbb{Q}$ -line bundles.

*Proof.* (1): Since X is projective, L can be written as a difference  $L_2 - L_1$  of two effective line bundles. Each  $-L_i$  endowed with a non-zero global section of  $L_i$  can be regarded as a locally principal ideal sheaf  $I_i$  of  $\mathfrak{O}_X$ .

Let  $\mathscr{X}_1$  be an  $O_K$ -model of X, let  $J_1$  be the kernel of  $\mathfrak{O}_{\mathscr{X}_1} \to \mathfrak{O}_X/I_1$ , and let  $\mu_1: \mathscr{X}_2 \to \mathscr{X}_1$  be the blow-up along  $J_1$ . So  $\mathscr{I}_1:=J_1\mathfrak{O}_{\mathscr{X}_2}$  is invertible and  $\mathscr{X}_2$  is an  $O_K$ -model of X. Let  $J_2$  be the kernel of  $\mathscr{O}_{\mathscr{X}_2} \to \mathfrak{O}_X/I_2$ , let  $\mu_2: \mathscr{X}_3 \to \mathscr{X}_2$  be the blow-up along  $J_2$ , and let  $\mathscr{I}_2:=J_2\mathfrak{O}_{\mathscr{X}_3}$ . Then  $\mathscr{X}:=\mathscr{X}_3$  is an  $O_K$ -model of X and  $\mathscr{L}:=\mu_2^*\mathscr{I}_1\otimes\mathscr{I}_2^{\otimes (-1)}$  is an invertible sheaf extending L.

(2): Let  $n \geq 1$  be an integer such that both  $n\mathscr{L}_U$  and  $n\mathscr{L}_U'$  are line bundles. Let  $\mathscr{X}_U''$  be the Zariski closure of the diagonal  $\mathrm{id}_X \times \mathrm{id}_X : X \to \mathscr{X}_U \times_U \mathscr{X}_U'$  in  $\mathscr{X}_U \times_U \mathscr{X}_U'$ . We then have two birational projective U-morphisms  $\mathscr{X}_U \stackrel{\varphi_U}{\longleftrightarrow} \mathscr{X}_U'' \stackrel{\psi_U}{\to} \mathscr{X}_U'$ . The two line bundles  $\varphi_U^*(n\mathscr{L}_U)$  and  $\psi_U^*(n\mathscr{L}_U')$  on  $\mathscr{X}_U''$  are isomorphic over the generic fiber X. So, by [15, Corollaires (8.8.2.4) et (8.8.2.5)], one can find a  $U_0 \subset U$  such that  $\varphi_{U_0}$  and  $\psi_{U_0}$  are isomorphisms and  $\varphi_{U_0}^*(n\mathscr{L}_{U_0})$  and  $\psi_{U_0}^*(n\mathscr{L}_{U_0}')$  are isomorphic.

**Lemma 2.8.** Let  $j: Y \to X$  be a morphism of projective varieties over K and let L be a line bundle on X. Let  $U \subset \operatorname{Spec}(O_K)$  be a non-empty open subset. Let  $(\mathscr{X}_U, \mathscr{L}_U)$  be a U-model of (X, L), let  $\mathscr{Y}_U$  be a U-model of Y, and let  $j_U: \mathscr{Y}_U \to \mathscr{X}_U$  be a U-morphism that extends j. Then, for any  $P \in U$ , any local section S of L, and any  $Y \in Y_P^{\operatorname{an}}$ ,

$$\left|j^{*}s\right|^{j_{U}^{*}\mathcal{L}_{O_{K_{P}}}}(y)=\left|s\right|^{\mathcal{L}_{O_{K_{P}}}}(j_{P}^{\mathrm{an}}(y)).$$

*Proof.* Set  $x := j_P^{an}(y)$ . Note that

$$\rho_P(x) = j(\rho_P(y)) \quad \text{and} \quad \mathrm{Red}_{\mathscr{X}_{O_{K_P}}}(x) = j_U(\mathrm{Red}_{\mathscr{Y}_{O_{K_P}}}(y)).$$

Let  $n \geq 1$  be an integer such that  $n\mathscr{L}_U$  is a line bundle. Let  $\eta$  be a local frame of  $n\mathscr{L}_{O_{K_P}}$  around  $\operatorname{Red}_{\mathscr{X}_{O_{K_P}}}(x)$  and set  $s(\rho_P(x)) = f\eta(\rho_P(x))$  in  $L_P^{\operatorname{an}}(x)$  with  $f \in k(\rho_P(x))$ . Then  $j_U^*\eta$  is a local frame of  $j_U^*(n\mathscr{L}_{O_{K_P}})$  around  $\operatorname{Red}_{\mathscr{Y}_{O_{K_P}}}(y)$ , and  $(j^*s)(\rho_P(y)) = (j^*f)(j_U^*\eta)(\rho_P(y))$ . So

$$|j^*s|^{j_U^*\mathcal{L}_{O_{K_P}}}(y) = |j^*f|_y = |f|_x = |s|^{\mathcal{L}_{O_{K_P}}}(x).$$

**Definition 2.9.** Let S be a finite subset of  $M_K$  containing  $\infty$ . An S-adelically metrized line bundle on X is a pair  $\overline{L} = (L, (|\cdot|_v^{\overline{L}})_{v \in M_K \setminus S})$  of a line bundle L on X and a collection  $(|\cdot|_v^{\overline{L}})_{v \in M_K \setminus S}$  of metrics having the following properties:

- (a) For every  $v \in M_K \setminus S$ ,  $|\cdot|_v^{\overline{L}}$  is a continuous metric on  $L_v^{\mathrm{an}}$ .
- (b) There exist a non-empty open set  $U \subset \operatorname{Spec}(O_K) \setminus S$  and a U-model  $(\mathscr{X}_U, \mathscr{L}_U)$  of (X, L) such that  $|\cdot|_{\overline{L}}^{\overline{L}}(x) = |\cdot|_{\mathscr{L}_{O_{K_P}}}(x)$  on  $X_P^{\operatorname{an}}$  for every  $P \in U$ .

By Lemma 2.7, there exist a non-empty open subset  $U \subset \operatorname{Spec}(O_K)$  and a U-model  $(\mathscr{X}_U, \mathscr{L}_U)$  of (X, L) such that  $(\mathscr{X}_U, \mathscr{L}_U)$  satisfies condition (b) above and  $\mathscr{L}_U$  is a line bundle on  $\mathscr{X}_U$ . We call such a U-model a U-model of definition for  $\overline{L}$ .

An adelically metrized line bundle on X is a pair  $\overline{L} = (L, (|\cdot|_v^L)_{v \in M_K})$  such that  $\overline{L}^{\{\infty\}} := (L, (|\cdot|_v^{\overline{L}})_{v \in M_K^f})$  is an  $\{\infty\}$ -adelically metrized line bundle on X and  $|\cdot|_{\infty}^{\overline{L}}$  is a continuous Hermitian metric on  $L_{\infty}^{\mathrm{an}}$  such that for every  $x \in X_{\infty}^{\mathrm{an}}$  and

every local section s of  $L_{\infty}^{\rm an}$ ,

$$(2.12) |F_{\infty}^* s|(\overline{x}) = |s|(x),$$

where  $F_{\infty}: x \mapsto \overline{x}$  is complex conjugation on  $X_{\infty}^{\mathrm{an}}$ . The  $\mathbb{Z}$ -module of all adelically metrized line bundles on X is denoted by  $\widehat{\mathrm{Pic}}(X)$ , and an element in  $\widehat{\mathrm{Pic}}(X) := \widehat{\mathrm{Pic}}(X) \otimes_{\mathbb{Z}} \mathbb{Q}$  is called an *adelically metrized*  $\mathbb{Q}$ -line bundle on X.

Let  $\overline{L} = (L, (|\cdot|_v^{\overline{L}})_{v \in M_K \setminus S})$  be an adelically metrized line bundle on X, let s be a non-zero rational section of L, and let  $v \in M_K$ . Suppose that  $x \notin \operatorname{Supp}(\operatorname{div}(s))_v^{\operatorname{an}}$ , so  $\rho_v(x) \notin \operatorname{Supp}(\operatorname{div} s)$ . Let f be a regular function defined around  $\rho_v(x)$  such that fs is a local section of L around  $\rho_v(x)$  and  $f(\rho_v(x)) \neq 0$ . Then we can define

$$|s|_v^{\overline{L}}(x) := |fs|_v^{\overline{L}}(x)/|f|_x,$$

which does not depend on the choice of f.

**Remark 2.10.** (1) Let  $\overline{L}$  be an adelically metrized  $\mathbb{Q}$ -line bundle in our sense, let  $n \geq 1$  be an integer such that  $n\overline{L}$  is an adelically metrized line bundle, and let s be a non-zero rational section of nL. Then

$$(1/n)\left(\operatorname{div}(s), (-2\log|s|_v^{n\overline{L}})_{v\in M_K}\right)$$

is an adelic arithmetic  $\mathbb{R}$ -divisor in the sense of Moriwaki [24].

(2) Let  $K_X := H^0(\mathcal{O}_X)$  and let  $K \subset K' \subset K_X$  be a subextension. Given a finite place v of K and a point  $x \in X_v^{\mathrm{an}}$ , we can restrict  $|\cdot|_x$  to K' and obtain a place w of K' lying over v. Thus we have

$$X_v^{\mathrm{an}} = \bigcup_{w|v} X_w^{\mathrm{an}},$$

where w runs over all finite places of K' lying over v. So, in particular, the notion of adelically metrized line bundles does not depend on the choice of K.

(3) Let  $\mathscr{X}$  be a projective arithmetic variety over  $O_K$ , let  $\mathscr{L}$  be a  $\mathbb{Q}$ -line bundle on  $\mathscr{X}$ , and let  $n \geq 1$  be an integer such that  $n\mathscr{L}_K$  is a line bundle on  $\mathscr{X}_K$ . To  $\mathscr{L}$  we can associate an  $\{\infty\}$ -adelically metrized line bundle

$$\mathscr{L}^{\mathrm{ad}} := (1/n) \big( n \mathscr{L}_K, (|\cdot|^{n \mathscr{L}_{O_{K_P}}})_{P \in M_K^{\mathrm{f}}} \big),$$

and to a continuous Hermitian  $\mathbb Q$ -line bundle  $\overline{\mathscr L}$  on  $\mathscr X$  we can associate an adelically metrized  $\mathbb Q$ -line bundle

$$\overline{\mathscr{L}}^{\mathrm{ad}} := (1/n) \left( n \mathscr{L}_K, (|\cdot|^{n \mathscr{L}_{O_{K_P}}})_{P \in M_K^{\mathrm{f}}} \cup (|\cdot|_{\infty}^{n \overline{\mathscr{L}}}) \right).$$

(4) For each  $v \in M_K$ , we define the *trivial metric*  $|\cdot|_v^{\text{triv}}$  on  $\mathcal{O}_{X,v}^{\text{an}}$  as the collection  $(|\cdot|_x)_{x \in X_v^{\text{an}}}$  of the norms  $|\cdot|_x$  on  $k(\rho_v(x))$ . Then  $\overline{\mathcal{O}}_X^{\text{triv}} := (\mathcal{O}_X, (|\cdot|_v^{\text{triv}})_{v \in M_K})$  is

an adelically metrized line bundle on X. For any continuous function  $\lambda: X_P^{\mathrm{an}} \to \mathbb{R}$ , we set

$$\overline{\mathbb{O}}_X(\lambda[P]) := \left(\mathbb{O}_X, (|\varpi_P|_P^{\lambda}|\cdot|_P^{\mathrm{triv}}) \cup (|\cdot|_v^{\mathrm{triv}})_{v \in M_K \setminus \{P\}}\right),$$

and for any continuous function  $\lambda: X_{\infty}^{\mathrm{an}} \to \mathbb{R}$  that is invariant under the complex conjugation, we set

$$\overline{\mathbb{O}}_X(\lambda[\infty]) := \left( \mathbb{O}_X, (\exp(-\lambda)|\cdot|_\infty^{\mathrm{triv}}) \cup (|\cdot|_v^{\mathrm{triv}})_{v \in M_K^{\mathrm{f}}} \right).$$

Let  $\pi: \mathscr{X} \to \operatorname{Spec}(O_K)$  be an  $O_K$ -model of X. If  $\lambda \in \mathbb{Q}$ , then the adelically metrized  $\mathbb{Q}$ -line bundle on X associated to  $\lambda(\mathfrak{O}_{\mathscr{X}}(\pi^{-1}(P)), |\cdot|_{\infty}^{\operatorname{triv}})$  is  $\overline{\mathcal{O}}_X(\lambda[P])$ .

Let  $\overline{L} = (L, (|\cdot|_v^{\overline{L}})_{v \in M_K})$  be an adelically metrized line bundle on X. For each  $v \in M_K$ , we define the supremum norm of  $s \in H^0(L) \otimes_K K_v$  by

(2.13) 
$$||s||_{v,\sup}^{\overline{L}} := \sup_{x \in X_v^{\operatorname{an}}} |s|_v^{\overline{L}}(x).$$

Then

(2.14) 
$$\overline{V}_{\bullet} = \bigoplus_{m>0} \left( H^0(mL), (\|\cdot\|_{v,\sup}^{\overline{L}})_{v \in M_K} \right)$$

is an adelically normed graded K-linear series belonging to L, and we write, for short,  $\widehat{\Gamma}^{\mathrm{f}}(m\overline{L}) := \widehat{\Gamma}^{\mathrm{f}}(\overline{V}_m)$ ,

$$\widehat{\Gamma}^{?}(m\overline{L}) := \widehat{\Gamma}^{?}(\overline{V}_{m}), \quad \widehat{\mathrm{Bs}}^{?}(m\overline{L}) := \widehat{\mathrm{Bs}}^{?}(\overline{V}_{m}), \quad \text{and} \quad \widehat{\mathbf{B}}^{?}(\overline{L}) := \widehat{\mathbf{B}}^{?}(\overline{V}_{\bullet}),$$

where ? = ss or s. We refer to a section in  $\widehat{\Gamma}^{\text{ss}}(\overline{L})$  as a *strictly small section* of  $\overline{L}$ . Since  $\widehat{\mathbf{B}}^{?}(m\overline{L}) = \widehat{\mathbf{B}}^{?}(\overline{L})$  for every  $m \geq 1$ , we can define  $\widehat{\mathbf{B}}^{?}(\overline{L})$  for every adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{L}$ .

Let  $(\mathscr{X}_U, \mathscr{L}_U)$  be a *U*-model of definition for  $\overline{L}^{\{\infty\}}$  and let  $\nu_U : \mathscr{X}'_U \to \mathscr{X}_U$  be a relative normalization in X (Definition 2.2). Then we have a natural injection

$$\widehat{\Gamma}^{\mathrm{f}}(\overline{L}) \to H^0(\nu_U^* \mathscr{L}_U)$$

(Lemma 2.1(3)).

**Definition 2.11.** The *arithmetic volume* of an adelically metrized line bundle  $\overline{L}$  is defined as

(2.16) 
$$\widehat{\operatorname{vol}}(\overline{L}) := \limsup_{m \to \infty} \frac{\log \sharp \, \widehat{\Gamma}^{\operatorname{ss}}(m\overline{L})}{m^{\dim X + 1}/(\dim X + 1)!}.$$

(Note that in the original definition in [24], Moriwaki uses  $\widehat{\Gamma}^{\rm s}(m\overline{L})$  instead of  $\widehat{\Gamma}^{\rm ss}(m\overline{L})$ . These two definitions are actually equivalent by continuity of the volume function.) We recall the following results (see [24] for details).

- (1) The  $\limsup in (2.16)$  is actually a limit.
- (2) For any adelically metrized line bundle  $\overline{L}$  and for any  $a \geq 1$ ,  $\widehat{\operatorname{vol}}(a\overline{L}) = a^{\dim X + 1} \widehat{\operatorname{vol}}(\overline{L})$ . In particular, we can define  $\widehat{\operatorname{vol}}$  for adelically metrized  $\mathbb{Q}$ -line bundles on X.

Positivity notions for adelically metrized Q-line bundles are defined as follows.

(effective): An adelically metrized line bundle  $\overline{L}$  on X is said to be effective if  $\widehat{\Gamma}^{\mathrm{s}}(\overline{L}) \neq \{0\}$ . For  $\overline{L}_1, \overline{L}_2 \in \widehat{\mathrm{Pic}}(X)$ , we write  $\overline{L}_1 \leq \overline{L}_2$  if  $\overline{L}_2 - \overline{L}_1$  is effective.

(big): We say that an adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{L}$  is big if  $\widehat{vol}(\overline{L}) > 0$ .

(pseudoeffective): We say that an adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{L}$  is pseudoeffective if  $\overline{L} + \overline{A}$  is big for every big adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{A}$ . For  $\overline{L}_1, \overline{L}_2 \in \widehat{\operatorname{Pic}}_{\mathbb{Q}}(X)$ , we write  $\overline{L}_1 \preceq \overline{L}_2$  if  $\overline{L}_2 - \overline{L}_1$  is pseudoeffective.

**Example 2.12.** Unlike the geometric case (Zariski's theorem on removable base loci),  $\hat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$  can contain an isolated closed point. Let  $\mathscr{X} := \mathbb{P}^d_{\mathbb{Z}}$  be the projective space and let  $\mathscr{L} := \mathfrak{O}_{\mathscr{X}}(1)$  be the hyperplane line bundle. We consider the Hermitian metric  $|\cdot|^{\overline{\mathscr{L}}}$  on  $\mathscr{L}$  defined by

$$|X_0|^{\overline{\mathscr{L}}}(x_0:\dots:x_d)^2:=\frac{|x_0|^2}{\max\{a_0^2|x_0|^2,\dots,a_d^2|x_d|^2\}},$$

and set  $\overline{\mathscr{L}} := (\mathscr{L}, |\cdot|^{\overline{\mathscr{L}}})$ . If  $a_j \geq 1$  for  $j \neq i$  and  $0 < a_i < 1$ , then  $\widehat{\mathbf{B}}^{ss}(\overline{\mathscr{L}}^{ad}) = \{(0: \cdots : 0: 1: 0: \cdots : 0)\}$ .

**Proposition 2.13.** Let  $\overline{L} = (L, (|\cdot|_v^{\overline{L}})_{v \in M_K^f})$  be an  $\{\infty\}$ -adelically metrized line bundle on X and let U be a non-empty open subset of  $\operatorname{Spec}(O_K)$ .

(1) For any U-model of definition  $(\mathscr{X}_U, \mathscr{L}_U)$  for  $\overline{L}$  and for any  $\varepsilon > 0$ , there exists an  $O_K$ -model  $(\mathscr{X}_{\varepsilon}, \mathscr{L}_{\varepsilon})$  such that  $\mathscr{X}_{\varepsilon} \times_{\operatorname{Spec}(O_K)} U$  is U-isomorphic to  $\mathscr{X}_U$ ,  $\mathscr{L}_{\varepsilon}|_{\mathscr{X}_U} = \mathscr{L}_U$  as  $\mathbb{Q}$ -line bundles, and

$$\exp(-\varepsilon)|\cdot|^{\mathscr{L}_{\varepsilon,O_{K_{P}}}}(x)\leq|\cdot|_{P}^{\overline{L}}(x)\leq\exp(\varepsilon)|\cdot|^{\mathscr{L}_{\varepsilon,O_{K_{P}}}}(x)$$

for every  $P \in \operatorname{Spec}(O_K) \setminus U$  and every  $x \in X_P^{\operatorname{an}}$ .

- (2) If L is nef, then the following are equivalent:
  - (a) For any U-model of definition  $(\mathcal{X}_U, \mathcal{L}_U)$  for  $\overline{L}$  and for any  $\varepsilon > 0$ , there exists an  $O_K$ -model  $(\mathcal{X}_{\varepsilon}, \mathcal{L}_{\varepsilon})$  such that  $\mathcal{X}_{\varepsilon} \times_{\operatorname{Spec}(O_K)} U$  is U-isomorphic to  $\mathcal{X}_U$ ,  $\mathcal{L}_{\varepsilon}|_{\mathcal{X}_U} = \mathcal{L}_U$  as  $\mathbb{Q}$ -line bundles,  $\mathcal{L}_{\varepsilon}$  is relatively nef, and

$$\exp(-\varepsilon)|\cdot|^{\mathscr{L}_{\varepsilon,O_{K_P}}}(x) \leq |\cdot|_P^{\overline{L}}(x) \leq \exp(\varepsilon)|\cdot|^{\mathscr{L}_{\varepsilon,O_{K_P}}}(x)$$

for every  $P \in \operatorname{Spec}(O_K) \setminus U$  and every  $x \in X_P^{\operatorname{an}}$ .

(b) For any U-model of definition  $(\mathcal{X}_U, \mathcal{L}_U)$  for  $\overline{L}$  and for any rational  $\varepsilon > 0$ , there exist  $O_K$ -models  $(\mathcal{X}_{\varepsilon}, \mathcal{L}_{\varepsilon,1})$  and  $(\mathcal{X}_{\varepsilon}, \mathcal{L}_{\varepsilon,1})$  such that  $\mathcal{X}_{\varepsilon} \times_{\operatorname{Spec}(O_K)} U$  is U-isomorphic to  $\mathcal{X}_U$ ,  $\mathcal{L}_{\varepsilon,i}|_{\mathcal{X}_U} = \mathcal{L}_U$  as  $\mathbb{Q}$ -line bundles,  $\mathcal{L}_{\varepsilon,i}$  are relatively nef, and

$$\exp(-\varepsilon)|\cdot|_{P}^{\overline{L}}(x) \leq |\cdot|^{\mathscr{L}_{\varepsilon,1,O_{K_{P}}}}(x) \leq |\cdot|_{P}^{\overline{L}}(x) \leq |\cdot|^{\mathscr{L}_{\varepsilon,2,O_{K_{P}}}}(x) \leq \exp(\varepsilon)|\cdot|_{P}^{\overline{L}}(x)$$

for every  $P \in \operatorname{Spec}(O_K) \setminus U$  and every  $x \in X_P^{\operatorname{an}}$ .

*Proof.* For (1), we refer to [24, Theorem 4.1.3] (see also [7]). We are going to show the equivalence (a) $\Leftrightarrow$ (b) in (2). The implication (b) $\Rightarrow$ (a) is clear since we can take a rational  $0 < \varepsilon' \le \varepsilon$  and set  $\mathscr{L}_{\varepsilon} := \mathscr{L}_{\varepsilon',1}$ .

(a) $\Rightarrow$ (b): By (a), given any rational  $\varepsilon > 0$  we can find an  $O_K$ -model  $(\mathscr{X}_{\varepsilon}, \mathscr{L}_{\varepsilon})$  such that  $\mathscr{X}_{\varepsilon} \times_{\operatorname{Spec}(O_K)} U$  is U-isomorphic to  $\mathscr{X}_U, \mathscr{L}_{\varepsilon}|_{\mathscr{X}_U} = \mathscr{L}_U$  as  $\mathbb{Q}$ -line bundles,  $\mathscr{L}_{\varepsilon}$  is relatively nef, and

$$\left|\varpi_{P}\right|_{P}^{\varepsilon'}\left|\cdot\right|^{\mathscr{L}_{\varepsilon,O_{K_{P}}}}(x)\leq\left|\cdot\right|_{P}^{\overline{L}}(x)\leq\left|\varpi_{P}\right|_{P}^{-\varepsilon'}\left|\cdot\right|^{\mathscr{L}_{\varepsilon,O_{K_{P}}}}(x)$$

for every  $P \in \operatorname{Spec}(O_K) \setminus U$  and  $x \in X_P^{\operatorname{an}}$ , where  $\varepsilon'$  is a rational number such that

$$0 < \varepsilon' \le \frac{\varepsilon}{-\log|\varpi_P|_P}.$$

Let  $\pi_{\varepsilon}: \mathscr{X}_{\varepsilon} \to \operatorname{Spec}(O_K)$  denote the structure morphism and set

$$\mathscr{L}_{\varepsilon,1} := \mathscr{L}_{\varepsilon} - \varepsilon' \sum_{P \notin U} \mathfrak{O}_{\mathscr{X}_{\varepsilon}}(\pi_{\varepsilon}^{-1}(P)) \quad \text{ and } \quad \mathscr{L}_{\varepsilon,2} := \mathscr{L}_{\varepsilon} + \varepsilon' \sum_{P \notin U} \mathfrak{O}_{\mathscr{X}_{\varepsilon}}(\pi_{\varepsilon}^{-1}(P)).$$

Then

$$|\cdot|^{\mathscr{L}_{\varepsilon,1,O_{K_P}}} = |\varpi_P|_P^{\varepsilon'}|\cdot|^{\mathscr{L}_{\varepsilon,O_{K_P}}} \quad \text{and} \quad |\cdot|^{\mathscr{L}_{\varepsilon,2,O_{K_P}}} = |\varpi_P|_P^{-\varepsilon'}|\cdot|^{\mathscr{L}_{\varepsilon,O_{K_P}}}.$$

**Definition 2.14.** Let  $\overline{L} = (L, (|\cdot|_v^{\overline{L}})_{v \in M_K})$  be an adelically metrized line bundle on X and let  $x \in X(\overline{K})$  be an algebraic point on X. Let K(x) be a field of definition for x such that  $K(x)/\mathbb{Q}$  is finite, that is, K(x) is a number field that contains the residue field k(x) of the image of x. For each  $P \in M_K^f$ , we have a canonical isomorphism  $K(x) \otimes_K K_P = \bigoplus_{Q|P} K(x)_Q$  (see [8, Chap. VI, §8.2, Corollary 2]), so

(2.17) 
$$[K(x):K] = [K(x) \otimes_K K_P : K_P] = \sum_{Q|P} [K(x)_Q : K_P].$$

We can choose a non-zero rational section s of L such that  $x \notin \operatorname{Supp}(\operatorname{div}(s))$ . For  $v \in M_K^f$ , we set

(2.18) 
$$\widehat{\operatorname{deg}}_{v}(\widehat{\operatorname{div}}(s)|_{x}) := -\sum_{w|v} [K(x)_{w} : K_{v}] \log |s|_{v}^{\overline{L}}(x^{w}),$$

where w runs over all finite places of K(x) lying over v, and  $x^w \in X_v^{\mathrm{an}}$  is the point corresponding to  $(k(x)_w, w|_{k(x)})$ . For  $v = \infty$ ,

(2.19) 
$$\widehat{\operatorname{deg}}_{\infty}(\widehat{\operatorname{div}}(s)|_{x}) := -\sum_{\sigma:K(x)\to\mathbb{C}} \log|s|_{\infty}^{\overline{L}}(x^{\sigma}).$$

We then define the *height* of x by

(2.20) 
$$h_{\overline{L}}(x) := \frac{1}{[K(x):K]} \sum_{v \in M_K} \widehat{\operatorname{deg}}_v(\widehat{\operatorname{div}}(s)|_x),$$

which does not depend on the choice of K(x) and s. For  $\overline{L}, \overline{M} \in \widehat{\mathrm{Pic}}(X)$ , we have  $h_{\overline{L}+\overline{M}}(x) = h_{\overline{L}}(x) + h_{\overline{M}}(x)$ , so we can define  $h_{\overline{L}}(x)$  for every  $\overline{L} \in \widehat{\mathrm{Pic}}_{\mathbb{Q}}(X)$ .

(nef): We say that  $\overline{L} \in \widehat{\text{Pic}}(X)$  is nef if:

- (a) L is nef.
- (b) The  $\{\infty\}$ -adelically metrized line bundle  $\overline{L}^{\{\infty\}}$  satisfies the equivalent conditions in Proposition 2.13(2).
- (c) The curvature current of  $(L_{\infty}^{\text{an}}, |\cdot|_{\infty}^{\overline{L}})$  is semipositive.
- (d) For every  $x \in X(\overline{K})$ , the height  $h_{\overline{L}}(x)$  is non-negative.

An adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{L}$  is said to be nef if some multiple of  $\overline{L}$  is nef.

(integrable): We say that  $\overline{L} \in \widehat{\operatorname{Pic}}_{\mathbb{Q}}(X)$  is integrable if  $\overline{L}$  is a difference of two nef adelically metrized  $\mathbb{Q}$ -line bundles. Denote the  $\mathbb{Q}$ -vector space of all the integrable adelically metrized  $\mathbb{Q}$ -line bundles on X by  $\widehat{\operatorname{Int}}(X)$ .

Proposition 2.15. (1) There exists a unique map

$$\widehat{\operatorname{deg}} : \widehat{\operatorname{Pic}}_{\mathbb{Q}}(X) \times \widehat{\operatorname{Int}}(X)^{\times \dim X} \to \mathbb{R},$$

$$(\overline{L}_0; \overline{L}_1, \dots, \overline{L}_{\dim X}) \mapsto \widehat{\operatorname{deg}}(\overline{L}_0 \cdot \overline{L}_1 \cdots \overline{L}_{\dim X}),$$

having the following properties:

- (a)  $\widehat{\operatorname{deg}}$  is multilinear and the restriction  $\widehat{\operatorname{deg}}: \widehat{\operatorname{Int}}(X)^{\times (\dim X + 1)} \to \mathbb{R}$  is symmetric.
- (b) If  $\overline{L} \in \widehat{\operatorname{Pic}}_{\mathbb{Q}}(X)$  is nef, then  $\widehat{\operatorname{deg}}(\overline{L}^{\cdot(\dim X+1)}) = \widehat{\operatorname{vol}}(\overline{L})$ .
- (c) If  $\overline{L}_0$  is pseudoeffective and  $\overline{L}_1, \ldots, \overline{L}_{\dim X}$  are nef, then

$$\widehat{\operatorname{deg}}(\overline{L}_0 \cdot \overline{L}_1 \cdots \overline{L}_{\dim X}) \ge 0.$$

(2) For  $\lambda \in \mathbb{R}$  and for  $\overline{L}_1, \ldots, \overline{L}_{\dim X} \in \widehat{\operatorname{Int}}(X)$ , we have

$$\widehat{\operatorname{deg}}(\overline{\mathcal{O}}_X(\lambda[\infty]) \cdot \overline{L}_1 \cdots \overline{L}_{\dim X}) = [K : \mathbb{Q}] \lambda \operatorname{deg}_K(L_1 \cdots L_{\dim X}).$$

(3) Suppose that X is normal, and let  $\varphi: X' \to X$  be a birational projective K-morphism. Then

$$\begin{array}{ccc} \widehat{\operatorname{Pic}}_{\mathbb{Q}}(X') \times \widehat{\operatorname{Int}}(X')^{\times \dim X} & \xrightarrow{\widehat{\operatorname{deg}}} \mathbb{R} \\ & & & & & & & & & \\ \varphi^{* \times (\dim X + 1)} & & & & & & & & \\ \widehat{\operatorname{Pic}}_{\mathbb{Q}}(X) \times \widehat{\operatorname{Int}}(X)^{\times \dim X} & \xrightarrow{\widehat{\operatorname{deg}}} \mathbb{R} \end{array}$$

is commutative.

**Remark 2.16.** The above map  $\widehat{\text{deg}}$  gives a unique extension of the classical arithmetic intersection numbers of  $C^{\infty}$ -Hermitian line bundles.

If  $\overline{L}_0, \ldots, \overline{L}_{\dim X}$  and  $\overline{M}_0, \ldots, \overline{M}_{\dim X}$  are nef adelically metrized  $\mathbb{Q}$ -line bundle on X and  $\overline{L}_i \preceq \overline{M}_i$  for every i, then, by the successive use of property (c) of Proposition 2.15(1), we obtain

$$\widehat{\operatorname{deg}}(\overline{L}_0 \cdots \overline{L}_{\dim X}) \le \widehat{\operatorname{deg}}(\overline{M}_0 \cdots \overline{M}_{\dim X}).$$

Proof of Proposition 2.15. (1): We refer to [24, §4.5], [16, proof of Lemma 2.6], and [24, Proposition 4.5.4].

- (2): If  $\overline{L}_i$  are associated to  $C^{\infty}$ -Hermitian line bundles on some  $O_K$ -model of X, then the assertion is clear. In general, we can show the result by approximation (Proposition 2.13).
- (3): If  $\overline{L}_i$  are associated to continuous Hermitian line bundles on an  $O_K$ -model of X, then the assertion follows from the projection formula [18, Proposition 2.4.1] (see also [16, Lemma 2.3]). In general, we can assume that  $\overline{L}_0, \ldots, \overline{L}_{\dim X}$  are nef and approximate them by nef continuous Hermitian line bundles on a suitable  $O_K$ -model of X.

# §3. Basic properties of base loci

In this section, we collect some elementary properties of the augmented base loci of general graded linear series. Let X be a projective variety over a field k, and let L be a line bundle on X. Recall that the *base locus*, the *stable base locus*, and the augmented base locus of L are respectively defined as

$$\operatorname{Bs}(L) := \operatorname{Bs} H^0(L), \quad \mathbf{B}(L) := \bigcap_{m \geq 1} \operatorname{Bs}(mL), \quad \mathbf{B}_+(L) := \bigcap_{a \geq 1} \mathbf{B}(aL - A),$$

where A is a fixed ample line bundle on X and  $\mathbf{B}_{+}(L)$  does not depend on the choice of A. By homogeneity, we can define the stable base locus and the augmented base locus for all  $\mathbb{Q}$ -line bundles on X.

To a k-linear series  $V \subset H^0(L)$ , we can associate a k-morphism denoted by

(3.1) 
$$\Phi_V: X \setminus \operatorname{Bs} V \to \mathbb{P}_k(V).$$

A graded k-linear series  $V_{\bullet} = \bigoplus_{m \geq 0} V_m$  belonging to L is a graded k-subalgebra of  $\bigoplus_{m \geq 0} H^0(mL)$ . Let  $V_{\bullet}$  be a graded k-linear series belonging to L, let A be a line bundle on X, and let  $a \geq 1$  be an integer. We define a k-linear series belonging to aL - A as

$$(3.2) \qquad \Lambda(V_{\bullet};A,a) := \left\langle s : \frac{\operatorname{Image}\left(H^{0}(pA) \xrightarrow{\otimes s^{\otimes p}} H^{0}(paL)\right) \subset V_{pa} \right\rangle_{k}.$$

It is clear that Bs  $\Lambda(V_{\bullet}; nA, na) \subset \text{Bs } \Lambda(V_{\bullet}; A, a)$  for every  $n \geq 1$ . Thus, if we set

$$\mathbf{B}(V_{\bullet};A,a):=\bigcap_{n\geq 1}\operatorname{Bs}\Lambda(V_{\bullet};nA,na),$$

then  $\mathbf{B}(V_{\bullet}; A, a) = \operatorname{Bs} \Lambda(V_{\bullet}; nA, na)$  for every sufficiently divisible n.

Let  $\mu: X' \to X$  be a birational k-morphism of projective k-varieties and let  $V_{\bullet}$  be a graded k-linear series belonging to a line bundle L on X. If X is normal, then  $H^0(mL) \stackrel{\mu^*}{=} H^0(\mu^*(mL))$  for every  $m \geq 1$ . We define the pull-back of  $V_{\bullet}$  via  $\mu$  as

(3.4) 
$$\mu^* V_{\bullet} := \bigoplus_{m>0} \operatorname{Image} \left( V_m \xrightarrow{\mu^*} H^0(\mu^*(mL)) \right).$$

**Definition 3.1** (see [9, Definition 2.2]). We define the *augmented base locus* of  $V_{\bullet}$  as

(3.5) 
$$\mathbf{B}_{+}(V_{\bullet}) := \bigcap_{\substack{A \text{ ample} \\ a > 1}} \operatorname{Bs} \Lambda(V_{\bullet}; A, a),$$

where the intersection is taken over all ample line bundles A on X and all positive integers a.

**Lemma 3.2.** Let  $V_{\bullet}$  be a graded linear series belonging to L.

(1) If A, B are line bundles on X, then for  $a, b \ge 1$ ,

$$\mathbf{B}(V_{\bullet}; A, a) \subset \mathbf{B}(V_{\bullet}; B, b) \cup \mathbf{B}((1/b)B - (1/a)A).$$

- (2)  $\mathbf{B}_{+}(V_{\bullet}) = \mathbf{B}(V_{\bullet}; A, a)$  for any ample line bundle A and every  $a \gg 1$ .
- (3) If A is semiample, then Bs  $\Lambda(V_{\bullet}; A, a) \supset \mathbf{B}(V_{\bullet})$  for every  $a \geq 1$ .

(4) Let  $\mu: X' \to X$  be a birational k-morphism of projective k-varieties. If X is normal, then

Bs 
$$\Lambda(\mu^* V_{\bullet}; \mu^* A, a) = \mu^{-1}$$
 Bs  $\Lambda(V_{\bullet}; A, a)$ .

- (5) The following are equivalent:
  - (a) L is ample and  $V_m = H^0(mL)$  for every sufficiently divisible  $m \gg 1$ .
  - (b) For some  $a \geq 1$ ,  $\Phi_{V_a}: X \to \mathbb{P}_k(V_a)$  is a closed immersion.
  - (c)  $\mathbf{B}_{+}(V_{\bullet}) = \emptyset$ .

*Proof.* (1): Suppose that  $x \notin \mathbf{B}(V_{\bullet}; B, b) \cup \mathbf{B}((1/b)B - (1/a)A)$ . There exists an  $n \geq 1$  such that  $x \notin \mathrm{Bs}(n(aB - bA))$  and  $x \notin \mathrm{Bs}\Lambda(V_{\bullet}; naB, nab)$ . We can find an  $s \in \Lambda(V_{\bullet}; naB, nab)$  and a  $t \in H^0(n(aB - bA))$  such that  $s(x) \neq 0$  and  $t(x) \neq 0$ . Set  $s' := s \otimes t \in H^0(nb(aL - A))$ . Then  $s'(x) \neq 0$  and

$$\operatorname{Image}\Big(H^0(pnbA) \xrightarrow{\otimes t^{\otimes p}} H^0(pnaB) \xrightarrow{\otimes s^{\otimes p}} H^0(pnabL)\Big) \subset V_{pnab}$$

for every  $p \gg 1$ .

(2): Since X is a Noetherian topological space, there exist ample line bundles  $B_1, \ldots, B_r$  and positive integers  $b_1, \ldots, b_r$  such that

$$\mathbf{B}_{+}(V_{\bullet}) = \bigcap_{i=1}^{r} \operatorname{Bs} \Lambda(V_{\bullet}; B_{i}, b_{i}).$$

We can find an  $a_0 \ge 1$  such that  $(1/b_i)B_i - (1/a_0)A$  is ample for all i. Then by (1), we have  $\mathbf{B}_+(V_{\bullet}) = \operatorname{Bs} \Lambda(V_{\bullet}; A, a)$  for every  $a \ge a_0$ .

- (3): Suppose that  $x \notin \operatorname{Bs} \Lambda(V_{\bullet}; A, a)$ . Since A is semiample, there exist a  $p \geq 1$ , a  $t \in H^0(pA)$ , and an  $s \in H^0(aL A)$  such that  $t(x) \neq 0$ ,  $s(x) \neq 0$ , and  $t \otimes s^{\otimes p} \in V_{pa}$ . Thus  $x \notin \mathbf{B}(V_{\bullet})$ . Assertion (4) is clear.
  - (5): The implications (a) $\Rightarrow$ (b) and (a) $\Rightarrow$ (c) are clear.
- (b) $\Rightarrow$ (a): Let  $P := \mathbb{P}_k(V_a)$  and let  $\mathcal{O}_P(1)$  be the hyperplane line bundle on P. Since  $aL = \Phi_{V_a}^* \mathcal{O}_P(1)$ ,  $H^0(\mathcal{O}_P(p)) = \operatorname{Sym}_k^p V_a \to H^0(paL)$  is surjective for every  $p \gg 1$ . Thus  $V_{pa} = H^0(paL)$  for every  $p \gg 1$ .
  - (c) $\Rightarrow$ (b): One can find a very ample line bundle A and an  $a \ge 1$  such that

$$\mathbf{B}_{+}(V_{\bullet}) = \operatorname{Bs} \Lambda(V_{\bullet}; A, a) = \emptyset.$$

There exist  $s_0, \ldots, s_r \in H^0(aL - A)$  and a  $p \ge 1$  such that

$$\{x \in X : s_0(x) = \dots = s_r(x) = 0\} = \emptyset$$

and

$$\operatorname{Image}\left(H^0(pA) \xrightarrow{\otimes s_i^{\otimes p}} H^0(paL)\right) \subset V_{pa}$$

for all i. Denote by  $\Phi: X \to \mathbb{P}^r_k$  the k-morphism defined by  $s_0^{\otimes p}, \dots, s_r^{\otimes p}$ . Since  $X \xrightarrow{\Phi_{pA} \times \Phi} \mathbb{P}_k(H^0(pA)) \times_k \mathbb{P}^r_k \xrightarrow{\text{Segre emb.}} \mathbb{P}_k(H^0(pA) \otimes_k \langle s_0^{\otimes p}, \dots, s_r^{\otimes p} \rangle_k)$  is a closed immersion, so is  $\Phi_{V_{pq}}$ .

Let  $\mu: X' \to X$  be a morphism of k-varieties. The exceptional locus of  $\mu$  is defined as the minimal Zariski closed subset  $\text{Ex}(\mu) \subset X'$  such that

(3.6) 
$$\mu|_{X'\setminus \operatorname{Ex}(\mu)}: X'\setminus \operatorname{Ex}(\mu) \to X$$

is an immersion. If  $\mu: X' \to \overline{\mu(X')}$  is not birational, then  $\text{Ex}(\mu)$  is defined as X'.

**Lemma 3.3.** Let  $\mu: X' \to X$  be a birational projective k-morphism of k-varieties. If X is normal, then

$$\operatorname{Ex}(\mu) = \bigcup_{\substack{Z' \subset X' \\ \dim Z' > \dim \mu(Z')}} Z'.$$

*Proof.* The inclusion  $\supset$  is clear, so we are going to show the reverse. By [14, Proposition (4.4.1)], one has

$$\operatorname{Ex}(\mu) = \{ x' \in X' : \dim_{x'} \mu^{-1}(\mu(x')) \ge 1 \}$$

and  $\mu^{-1}(\mu(\operatorname{Ex}(\mu))) = \operatorname{Ex}(\mu)$ . Given any closed point  $x' \in \operatorname{Ex}(\mu)$ , there exists an irreducible component Z' of  $\mu^{-1}(\mu(x'))$  such that Z' passes through x' and  $\dim Z' \geq 1$ . Since  $\mu(x')$  is a closed point of X, one has  $\dim Z' > \dim \mu(Z')$ .  $\square$ 

**Lemma 3.4.** Suppose that X is normal. Let  $V_{\bullet}$  be a graded k-linear series belonging to a line bundle L on X.

- (1)  $\mathbf{B}_{+}(V_{\bullet}) = \bigcap_{\mu: X' \to X} \mu(\mathbf{B}_{+}(\mu^{*}V_{\bullet}))$ , where the intersection is taken over all projective birational k-morphisms  $\mu$  onto X.
- (2) Let  $\mu: X' \to X$  be a birational k-morphism of projective k-varieties. Then

$$\mathbf{B}_{+}(\mu^*V_{\bullet}) = \mu^{-1}\mathbf{B}_{+}(V_{\bullet}) \cup \mathrm{Ex}(\mu).$$

*Proof.* (1): The inclusion  $\supset$  is obvious by definition. The reverse follows from the following claim.

Claim 3.5. For any  $\mu: X' \to X$ , we have  $\mathbf{B}_{+}(V_{\bullet}) \subset \mu(\mathbf{B}_{+}(\mu^*V_{\bullet}))$ .

Proof of Claim 3.5. Let A be an ample line bundle on X. Suppose that  $x \notin \mu(\mathbf{B}(\mu^*V_{\bullet}; A', a))$  for a positive integer a and an ample line bundle A' on X' such that  $A' - \mu^*A$  is ample. Since

$$\mu^{-1}\mathbf{B}(V_{\bullet}; A, a) = \mathbf{B}(\mu^*V_{\bullet}; \mu^*A, a) \subset \mathbf{B}(\mu^*V_{\bullet}; A', a)$$

by Lemma 3.2(1), (4), we have  $x \notin \mathbf{B}(V_{\bullet}; A, a)$ .

(2): Suppose that  $x' \notin \mathbf{B}(\mu^* V_{\bullet}; A', a)$  for an ample line bundle A' on X' and an  $a \ge 1$ . Since  $\mathbf{B}(\mu^* V_{\bullet}; A', a) \supset \mathbf{B}(\mu^* L - (1/a)A') \supset \mathrm{Ex}(\mu)$  (see [19, Lemma 3.39(2)]), we see that  $x' \notin \mathrm{Ex}(\mu)$ . Thus by (1), we have  $\mu(x') \notin \mathbf{B}_{+}(V_{\bullet})$ .

To show the reverse, we assume that  $x' \notin \mu^{-1}\mathbf{B}_{+}(V_{\bullet}) \cup \mathrm{Ex}(\mu)$ . By [4, Proposition 2.3], we can find an ample line bundle A on X, an ample line bundle A' on X', and an  $a \geq 1$  such that  $x' \notin \mathrm{Bs}(\mu^*A - A') = \mathrm{Ex}(\mu)$  and that  $x' \notin \mathbf{B}(\mu^*V_{\bullet}; \mu^*A, a) = \mu^{-1}\mathbf{B}_{+}(V_{\bullet})$ . Thus  $x' \notin \mathbf{B}(\mu^*V_{\bullet}; A', a)$  by Lemma 3.2(1).

**Lemma 3.6.** (1) For positive integers m, n,

$$\operatorname{Ex}(\Phi_{V_{mn}}) \cup \operatorname{Bs}(V_{mn}) \subset \operatorname{Ex}(\Phi_{V_m}) \cup \operatorname{Bs}(V_m).$$

(2) For every sufficiently divisible n,

$$\operatorname{Ex}(\Phi_{V_n}) \cup \operatorname{Bs}(V_n) = \bigcap_{m \ge 1} (\operatorname{Ex}(\Phi_{V_m}) \cup \operatorname{Bs}(V_m)).$$

*Proof.* (1): Set  $Q := \operatorname{Coker}(V_m^{\otimes n} \to V_{mn})$ . Considering the commutative diagram

$$X \setminus (\operatorname{Ex}(\Phi_{V_m}) \cup \operatorname{Bs}(V_m)) \xrightarrow{\Phi_{V_{mn}}} \mathbb{P}_k(V_{mn}) \setminus \mathbb{P}_k(Q)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \qquad \downarrow \qquad \qquad$$

we find that  $\Phi_{V_{mn}}: X \setminus (\operatorname{Ex}(\Phi_{V_m}) \cup \operatorname{Bs}(V_m)) \to \mathbb{P}_k(V_{mn})$  is an immersion.

(2): Since X is a Noetherian topological space, one can find positive integers  $m_1, \ldots, m_r$  such that

$$\bigcap_{m\geq 1} (\operatorname{Ex}(\Phi_{V_m}) \cup \operatorname{Bs}(V_m)) = \bigcap_{i=1}^r (\operatorname{Ex}(\Phi_{V_{m_i}}) \cup \operatorname{Bs}(V_{m_i})).$$

Thus by (1) we have

$$\bigcap_{m\geq 1} (\operatorname{Ex}(\Phi_{V_m}) \cup \operatorname{Bs}(V_m)) = \operatorname{Ex}(\Phi_{V_{am_1\cdots m_r}}) \cup \operatorname{Bs}(V_{am_1\cdots m_r})$$

for every a > 1.

**Lemma 3.7.** For any graded k-linear series  $V_{\bullet}$  belonging to L,

$$\mathbf{B}_{+}(V_{\bullet}) \supset \bigcap_{m \geq 1} (\mathrm{Ex}(\Phi_{V_m}) \cup \mathrm{Bs}(V_m)).$$

*Proof.* We choose a very ample line bundle A on X and an  $a \geq 1$  such that  $\mathbf{B}(V_{\bullet}) = \mathrm{Bs}(V_a)$  and  $\mathbf{B}_{+}(V_{\bullet}) = \mathrm{Bs}\Lambda(V_{\bullet}; A, a)$ . Fix a basis  $s_0, \ldots, s_r$  for  $\Lambda(V_{\bullet}; A, a)$ 

and take a  $p \ge 1$  such that

$$\operatorname{Image}\left(H^0(pA) \xrightarrow{\otimes s_i^{\otimes p}} H^0(paL)\right) \subset V_{pa}$$

for all i. Let  $\Phi: X \backslash \operatorname{Bs} \Lambda(V_{\bullet}; A, a) \to \mathbb{P}_k^r$  be the k-morphism defined by  $s_0^{\otimes p}, \ldots, s_r^{\otimes p}$  and set  $Q := \operatorname{Coker}(H^0(pA) \otimes_k \langle s_0^{\otimes p}, \ldots, s_r^{\otimes p} \rangle_k \to V_{pa})$ . Considering the commutative diagram

$$X \setminus \operatorname{Bs}(V_{pa}) \xrightarrow{\Phi_{V_{pa}}} \mathbb{P}_{k}(V_{pa}) \setminus \mathbb{P}_{k}(Q)$$

$$\uparrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$X \setminus \operatorname{Bs}\Lambda(V_{\bullet}; A, a) \xrightarrow{\Phi_{pA} \times \Phi} \mathbb{P}_{k}(H^{0}(pA)) \times_{k} \mathbb{P}_{k}^{r} \xrightarrow{\operatorname{Segre}} \mathbb{P}_{k}(H^{0}(pA) \otimes_{k} \langle s_{0}^{\otimes p}, \dots, s_{r}^{\otimes p} \rangle_{k})$$
we conclude that  $\Phi_{V_{pa}}$  is an immersion over  $X \setminus \mathbf{B}_{+}(V_{\bullet})$ .

The following was proved in [10, 5].

**Theorem 3.8.** Suppose that X is normal, and let L be a line bundle on X.

(1)  $\mathbf{B}_{+}(L)$  is characterized as the minimal Zariski closed subset of X such that the restriction of the Kodaira map

$$\Phi_{mL}: X \setminus \operatorname{Bs}(mL) \to \mathbb{P}_k(H^0(mL))$$

to  $X \setminus \mathbf{B}_{+}(L)$  is an immersion for every sufficiently divisible  $m \gg 1$ .

(2) 
$$\mathbf{B}_{+}(L) = \bigcup_{\substack{Z \subset X \\ \operatorname{vol}_{X|Z}(L) = 0}} Z.$$

Proof. If  $k = H^0(\mathcal{O}_X)$ , then by passing to the algebraic closure  $\overline{k}$ , we can apply [5, Theorems A and B]. In general, since the natural morphism  $\mathbb{P}_{H^0(\mathcal{O}_X)}(H^0(mL)) \to \mathbb{P}_k(H^0(mL)) \times_k \operatorname{Spec}(H^0(\mathcal{O}_X))$  is a closed immersion, we can easily deduce the result from the case of  $k = H^0(\mathcal{O}_X)$ .

**Remark 3.9.** In Theorem 5.6 and Corollary 7.14, we show results analogous to Theorem 3.8 under the conditions that X is a normal projective variety over a number field K and  $V_{\bullet} = \bigoplus_{m>0} \langle \widehat{\Gamma}^{\mathrm{ss}}(m\overline{L}) \rangle_K$  for an  $\overline{L} \in \widehat{\mathrm{Pic}}(X)$ .

# §4. A result of Zhang-Moriwaki

In this section, we give a simple proof of the Zhang–Moriwaki theorem (see [23, Corollary B] and Theorem 4.3 below). The proof is independent of the previous sections except Lemma 3.7. Our method can recover [23, Theorem A] but is not

powerful enough to recover the arithmetic Nakai–Moishezon criterion (see [23, Theorem 3.1]). Let X be a projective variety over a number field K, and let L be a line bundle on X.

**Lemma 4.1.** Let  $\overline{V}_{\bullet}$  be an adelically normed graded K-linear series belonging to L. If  $V_{\bullet}$  is Noetherian, then the following are equivalent:

- (1)  $V_m = \langle \widehat{\Gamma}^{ss}(\overline{V}_m) \rangle_K$  for every  $m \gg 1$ .
- (2) For some integer  $a \ge 1$ ,  $V_{ma} = \langle \widehat{\Gamma}^{ss}(\overline{V}_{ma}) \rangle_K$  for every  $m \gg 1$ .

*Proof.* The implication  $(1)\Rightarrow(2)$  is clear, so we are going to show  $(2)\Rightarrow(1)$ .

Claim 4.2. The Veronese subalgebra

$$(4.1) V_{\bullet}^{(a)} := \bigoplus_{m \ge 0} V_{ma}$$

is also Noetherian and  $V_{\bullet}$  is a finitely generated  $V_{\bullet}^{(a)}$ -module.

*Proof.* This is well-known (see [8, Chap. III,  $\S1.3$ , Proposition 2]).

By [8, Chap. III, §1.3, Proposition 3], we can replace a with a high multiple of a and assume that  $V_{\bullet}^{(a)}$  is generated by  $V_a$  over  $V_0$  and  $V_a = \langle \widehat{\Gamma}^{\rm ss}(\overline{V}_a) \rangle_K$ .

Let  $\alpha_1, \ldots, \alpha_p \in V_0$  be generators of  $V_0$  over K and let

$$\widehat{\Gamma}^{\mathrm{ss}}(\overline{V}_a) \setminus \{0\} = \{s_1, \dots, s_q\}.$$

By Claim 4.2, we can take generators  $t_1 \in V_{n_1}, \ldots, t_r \in V_{n_r}$  of  $V_{\bullet}$  as a  $V_{\bullet}^{(a)}$ -module. Then

$$V_m = \sum_{a(i_1 + \dots + i_q) + n_j = m} (K\alpha_1 + \dots + K\alpha_q) s_1^{\otimes i_1} \otimes \dots \otimes s_q^{\otimes i_q} \otimes t_j$$

for every  $m \gg 1$ . If  $i_1 + \cdots + i_q$  is sufficiently large, we can see that

$$s_1^{\otimes i_1} \otimes \cdots \otimes s_q^{\otimes i_q} \otimes (\alpha_k t_j) \in \langle \widehat{\Gamma}^{\mathrm{ss}}(\overline{V}_m) \rangle_K$$

for every k, j. So  $V_m = \langle \widehat{\Gamma}^{ss}(\overline{V}_m) \rangle_K$  for every  $m \gg 1$ .

**Theorem 4.3.** Let X be a projective variety over a number field K, and let L be a line bundle on X. Let  $\overline{V}_{\bullet}$  be an adelically normed graded K-linear series belonging to L. Suppose that:

- (a)  $V_{\bullet}$  is Noetherian.
- (b)  $\widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{V}_{\bullet}) = \emptyset$ .

Then  $V_m = \langle \widehat{\Gamma}^{ss}(\overline{V}_m) \rangle_K$  for every  $m \gg 1$ .

*Proof.* Thanks to Lemma 4.1, we can assume  $Bs(V_m) = \widehat{Bs}^{ss}(\overline{V}_m) = \emptyset$  for every  $m \ge 1$ . We divide the proof into two steps.

Step 1. First, we suppose that  $V_{\bullet}$  is generated by  $V_1$  over K, so, in particular,  $V_0 = K$ . Let  $P := \mathbb{P}_K(V_1)$ , let  $Y := \Phi_{V_1}(X)$  be the image, and decompose the morphism  $\Phi_{V_1}$  into  $X \xrightarrow{\Phi} Y \to P$ . Let  $\mathcal{O}_P(1)$  (respectively  $\mathcal{O}_Y(1)$ ) be the hyperplane line bundle on P (respectively Y). For every  $m \geq 1$ , the image of the homomorphism

$$(4.2) \Phi_{V_*}^* : H^0(\mathcal{O}_P(m)) = \operatorname{Sym}_K^m V_1 \xrightarrow{\operatorname{rest.}} H^0(\mathcal{O}_Y(m)) \xrightarrow{\Phi^*} H^0(mL)$$

coincides with  $V_m$ .

For each  $m \geq 1$ , let

$$(4.3) W_m := (\Phi^*)^{-1} (\langle \widehat{\Gamma}^{ss}(\overline{V}_m) \rangle_K),$$

a K-subspace of  $H^0(\mathcal{O}_Y(m))$ . Then  $W_{\bullet}$  forms a graded K-linear series belonging to  $\mathcal{O}_Y(1)$ . We can choose an  $a \geq 1$  such that the restriction

$$(4.4) H^0(\mathcal{O}_P(m)) \twoheadrightarrow H^0(\mathcal{O}_Y(m))$$

is surjective for every  $m \geq a$ , and the homomorphism

(4.5) 
$$\operatorname{Sym}_{K}^{p} H^{0}(\mathcal{O}_{Y}(a)) \twoheadrightarrow H^{0}(\mathcal{O}_{Y}(pa))$$

is surjective for every  $p \geq 1$ .

Claim 4.4. For any  $y \in Y$ , there exists an  $s \in W_1$  such that  $s(y) \neq 0$  and  $\Phi^* s \in \widehat{\Gamma}^{ss}(\overline{V}_1)$ .

Proof of Claim 4.4. We can find an  $s_0 \in H^0(\mathcal{O}_P(1))$  such that  $s_0(y) \neq 0$  and  $\Phi_{V_*}^* s_0 \in \widehat{\Gamma}^{\mathrm{ss}}(\overline{V}_1)$ . Then the restriction  $s := s_0|_Y$  has the desired properties.  $\square$ 

**Claim 4.5.** Let  $y \in Y$  and  $s \in W_1$  be as in Claim 4.4. There exists an integer  $b \ge 1$  such that

$$\operatorname{Image}\left(H^0(\mathcal{O}_Y(pa)) \xrightarrow{\otimes s^{\otimes pb}} H^0(\mathcal{O}_Y(p(a+b)))\right) \subset W_{p(a+b)}$$

for every  $p \gg 1$ . In other words,  $s^{\otimes b} \in \Lambda(W_{\bullet}; \mathcal{O}_Y(a), a+b)$ .

Proof of Claim 4.5. Fix a K-basis  $e_1, \ldots, e_r$  for  $H^0(\mathcal{O}_Y(a))$ . By (4.2) and (4.4),  $\Phi^* e_j \in V_a$  for every j. Hence we can find a  $b \geq 1$  such that

$$\Phi^*(e_i \otimes s^{\otimes b}) = (\Phi^*e_i) \otimes (\Phi^*s)^{\otimes b} \in \langle \widehat{\Gamma}^{\mathrm{ss}}(\overline{V}_{a+b}) \rangle_K$$

for every j. Since by (4.5),  $\operatorname{Sym}_K^p H^0(\mathcal{O}_Y(a)) \to H^0(\mathcal{O}_Y(pa))$  is surjective for every p, the claim follows.

By Claim 4.5, we have  $y \notin \operatorname{Bs} \Lambda(W_{\bullet}; \mathcal{O}_Y(a), a+b)$ , and  $\mathbf{B}_+(W_{\bullet}) = \emptyset$ . By Lemma 3.7, a K-morphism  $Y \to Q := \mathbb{P}_K(W_c)$  associated to  $W_c$  is a closed immersion for some  $c \geq 1$ . Let  $\mathcal{O}_Q(1)$  be the hyperplane line bundle on Q. Since the top horizontal arrow of the diagram

$$\begin{split} H^0(\mathcal{O}_Q(m)) &= \mathrm{Sym}_K^m(W_c) \xrightarrow{\quad \mathrm{rest.} \quad} H^0(\mathcal{O}_Y(mc)) \\ \downarrow & \qquad \downarrow_{\Phi^*} \\ \langle \widehat{\Gamma}^{\mathrm{ss}}(\overline{V}_{mc}) \rangle_K \xrightarrow{\quad \ } V_{mc} \end{split}$$

is surjective for every  $m \gg 1$ , we have  $\langle \widehat{\Gamma}^{ss}(\overline{V}_{mc}) \rangle_K = V_{mc}$  for every  $m \gg 1$ . By using Lemma 4.1 again, we conclude the proof.

Step 2. Next, we consider the general case. By [8, Chap. III, §1.3, Proposition 3] and Lemma 4.1, we can assume without loss of generality that  $V_{\bullet}$  is generated by  $V_1$  over  $V_0$ . Let  $W_{\bullet}$  be the graded K-subalgebra of  $V_{\bullet}$  generated by  $V_1$ . Each  $W_m$   $(m \geq 0)$  is endowed with the subspace norms  $(\|\cdot\|_v^{\overline{W}_m})_{v \in M_K}$  induced from  $(\|\cdot\|_v^{\overline{V}_m})_{v \in M_K}$ .

We choose a suitably small  $\varepsilon > 0$  and replace  $\|\cdot\|_{\infty}^{\overline{W}_m}$  with  $\exp(\varepsilon m)\|\cdot\|_{\infty}^{\overline{W}_m}$ . By applying the above arguments, we can find an  $m_0 \geq 1$  such that, for every  $m \geq m_0$ ,  $W_m$  is generated over K by its strictly small sections with  $\|\cdot\|_{\infty}^{\overline{W}_m} < \exp(-\varepsilon m)$ . Let  $\alpha_1, \ldots, \alpha_p \in \widehat{\Gamma}^{\mathrm{f}}(\overline{V}_0)$  be generators of  $V_0$  over K. Then

$$V_m = (K\alpha_1 + \dots + K\alpha_p)W_m$$

is generated by its strictly small sections for every m with

$$m \ge \max \left\{ m_0, \frac{\log \|\alpha_1\|_{\infty}^{\overline{V}_0}}{\varepsilon}, \dots, \frac{\log \|\alpha_p\|_{\infty}^{\overline{V}_0}}{\varepsilon} \right\}.$$

**Remark 4.6.** There are many graded linear series  $V_{\bullet}$  such that  $V_{\bullet}$  are Noetherian,  $\mathbf{B}(V_{\bullet}) = \emptyset$ , and  $V_m \neq H^0(mL)$  for every  $m \geq 1$ . Suppose that a line bundle L on X is free and let  $\mu: Y \to X$  be a morphism such that  $H^0(mL) \to H^0(\mu^*(mL))$  is not surjective for every  $m \geq 1$ . Then  $\bigoplus_{m>0} \mu^*(H^0(mL))$  gives an example.

In the rest of this section, we apply Theorem 4.3 to the case of adelically metrized line bundles (Corollary 4.10). Suppose that X is normal. Let  $\overline{L} = (L, (|\cdot|_v^{\overline{L}})_{v \in M_K})$  be an adelically metrized line bundle on X such that  $\widehat{\Gamma}^{\text{ss}}(m\overline{L}) \neq \{0\}$  for some  $m \geq 1$ . For each  $m \geq 1$ , let

$$\widehat{\mathfrak{b}}_m := \operatorname{Image}(\langle \widehat{\Gamma}^{\operatorname{ss}}(m\overline{L}) \rangle_K \otimes_K (-mL) \to \mathfrak{O}_X)$$

be the ideal sheaf on X. Let  $\mu_m: X_m \to X$  be the normalized blow-up along  $\widehat{\mathfrak{b}}_m$ , let  $F_m:= \mathcal{H}om_{\mathcal{O}_{X_m}}(\widehat{\mathfrak{b}}_m\mathcal{O}_{X_m}, \mathcal{O}_{X_m})$ , and let  $1_{F_m} \in H^0(F_m)$  be the natural inclusion.

**Proposition 4.7.** For each  $m \geq 1$ , we can endow  $F_m$  with an adelic metric  $(|\cdot|_v^{\overline{F}_m})_{v \in M_K}$  such that, for each  $v \in M_K^{\mathrm{f}}$  and each  $x \in X_{m,v}^{\mathrm{an}}$ ,

$$(4.7) |1_{F_m}|_v^{\overline{F}_m}(x) = \max_{s \in \widehat{\Gamma}^{ss}(m\overline{L})} |s|_v^{m\overline{L}}(\mu_{m,v}^{an}(x))$$

and, for each  $x \in X_{m,\infty}^{\mathrm{an}}$ ,

$$(4.8) |1_{F_m}|_{\infty}^{\overline{F}_m}(x) = \max_{s \in \widehat{\Gamma}^{\mathrm{ss}}(m\overline{L})} \frac{|s|_{\infty}^{m\overline{L}}(\mu_{m,\infty}^{\mathrm{an}}(x))}{\|s\|_{\infty}^{m\overline{L}}}.$$

We set  $\overline{F}_m := (F_m, (|\cdot|_v^{\overline{F}_m})_{v \in M_K})$  and  $\overline{M}_m := \mu_m^*(m\overline{L}) - \overline{F}_m$ .

(1) We have

$$(4.9) \quad \widehat{\Gamma}^{\mathrm{ss}}(\overline{M}_m) \stackrel{\otimes 1_{F_m}}{=} \mathrm{Image}(\widehat{\Gamma}^{\mathrm{ss}}(m\overline{L}) \to H^0(\mu_m^*(mL))) \quad and \quad \widehat{\mathrm{Bs}}^{\mathrm{ss}}(\overline{M}_m) = \emptyset.$$

(2)  $\overline{M}_m$  is a nef adelically metrized line bundle on  $X_m$ .

Proof. We take an affine open covering  $\{W_{\lambda}\}$  of  $X_m$  such that  $\mu_m^*(mL)|_{W_{\lambda}}$  is trivial with local frame  $\eta_{\lambda}$ , and  $\operatorname{Supp}(1_{F_m})\cap W_{\lambda}$  is defined by a local equation  $f_{\lambda}$ . Since any  $s\in \langle \widehat{\Gamma}^{\operatorname{ss}}(m\overline{L})\rangle_K$  satisfies  $s\in H^0(mL\otimes\widehat{\mathfrak{b}}_m)$ , we can find an  $s'\in H^0(\mu_m^*(mL)-F_m)$  such that  $\mu_m^*s=s'\otimes 1_{F_m}$ . So we can write  $\mu_m^*s|_{W_{\lambda}}=\phi_{s,\lambda}\cdot f_{\lambda}\cdot \eta_{\lambda}$  on  $W_{\lambda}$ , where  $\phi_{s,\lambda}$  is a regular function on  $W_{\lambda}$  and  $\{x\in W_{\lambda}:\phi_{s,\lambda}(x)=0,\,\forall s\in \widehat{\Gamma}^{\operatorname{ss}}(mL)\}=\emptyset$ . For each  $v\in M_K^f$  and each  $x\in X_{m,v}^{\operatorname{an}}$ ,

$$\max_{s \in \widehat{\Gamma}^{\mathrm{ss}}(m\overline{L})} |s|_v^{m\overline{L}}(\mu_{m,v}^{\mathrm{an}}(x)) = \left(\max_{s \in \widehat{\Gamma}^{\mathrm{ss}}(m\overline{L})} |\phi_{s,\lambda}|(x)\right) \cdot |f_{\lambda}|(x) \cdot |\eta_{\lambda}|_v^{\mu_m^*(m\overline{L})}(x).$$

Therefore we can define  $|1_{F_m}|_v^{\overline{F}_m}(x)$  by (4.7), which gives a continuous metric on  $F_{m,v}^{\rm an}$  (see Section 2). In the same way, we can show that (4.8) defines a continuous Hermitian metric on  $F_{m,\infty}^{\rm an}$ .

Let  $(\mathscr{X}_U, \mathscr{L}_U)$  be a U-model of definition for  $\overline{L}^{\{\infty\}}$  (Definition 2.9). Since X is normal, we can assume that  $\mathscr{X}_U$  is also normal. By Proposition 2.13(1), given any  $\varepsilon > 0$ , we can find an  $O_K$ -model  $(\mathscr{X}_{\varepsilon}, \mathscr{L}_{\varepsilon})$  such that  $\mathscr{X}_{\varepsilon}$  is normal,  $\mathscr{X}_{\varepsilon} \times_{\operatorname{Spec}(O_K)} U$  is U-isomorphic to  $\mathscr{X}_U, \mathscr{L}_{\varepsilon}|_{\mathscr{X}_U} = \mathscr{L}_U$  as  $\mathbb{Q}$ -line bundles, and

$$(4.10) \qquad |\cdot|^{\mathscr{L}_{\varepsilon,O_{K_P}}}(x) \le |\cdot|^{\overline{L}}(x) \le \exp(\varepsilon)|\cdot|^{\mathscr{L}_{\varepsilon,O_{K_P}}}(x)$$

for  $P \in \operatorname{Spec}(O_K) \setminus U$  and  $x \in X_P^{\operatorname{an}}$ . For each  $\varepsilon$ , we fix an integer  $n = n_{\varepsilon} \geq 1$  such that  $n\mathscr{L}_{\varepsilon}$  is a line bundle on  $\mathscr{X}_{\varepsilon}$ . By Lemma 2.1(3), we have  $\widehat{\Gamma}^{\operatorname{f}}(mn\overline{L}) \subset$ 

 $H^0(mn\mathscr{L}_{\varepsilon})$ . Let  $\nu_{m,n,\varepsilon}:\mathscr{X}_{m,n,\varepsilon}\to\mathscr{X}_{\varepsilon}$  be the normalized blow-up along

$$\widehat{\mathfrak{b}}_{m,n,\varepsilon} := \operatorname{Image} \bigl( \operatorname{Sym}_{O_K}^n \langle \widehat{\Gamma}^{\operatorname{ss}}(m\overline{L}) \rangle_{O_K} \otimes_{O_K} (-mn\mathscr{L}_{\varepsilon}) \to \mathfrak{O}_{\mathscr{X}_{\varepsilon}} \bigr).$$

Note that  $\widehat{\mathfrak{b}}_{m,n,\varepsilon}\mathfrak{O}_X=(\widehat{\mathfrak{b}}_m)^n$ . Choose a normal  $O_K$ -model  $\mathscr{X}_{m,\varepsilon}$  of  $X_m$  dominating  $\mathscr{X}_{m,n,\varepsilon}$ , so that we have a morphism

(4.11) 
$$\mu_{m,\varepsilon} : \mathscr{X}_{m,\varepsilon} \xrightarrow{\psi_{m,n,\varepsilon}} \mathscr{X}_{m,n,\varepsilon} \xrightarrow{\nu_{m,n,\varepsilon}} \mathscr{X}$$

whose restriction to the generic fiber is  $\mu_m: X_m \to X$ . Let

$$\mathscr{F}_{m,n,\varepsilon} := \mathcal{H}om_{\mathfrak{O}_{\mathscr{X}_{m,n,\varepsilon}}}(\widehat{\mathfrak{b}}_{m,n,\varepsilon}\mathfrak{O}_{\mathscr{X}_{m,n,\varepsilon}},\mathfrak{O}_{\mathscr{X}_{m,n,\varepsilon}}),$$

and let  $\mathscr{F}_{m,\varepsilon}:=(1/n)\psi_{m,n,\varepsilon}^*\mathscr{F}_{m,n,\varepsilon}$  and  $\mathscr{M}_{m,\varepsilon}:=\mu_{m,\varepsilon}^*(m\mathscr{L}_{\varepsilon})-\mathscr{F}_{m,\varepsilon}$  be  $\mathbb{Q}$ -line bundles on  $\mathscr{X}_{m,\varepsilon}$ . Then  $(\mathscr{X}_{m,\varepsilon},\mathscr{F}_{m,\varepsilon})$  is an  $O_K$ -model of  $(X_m,F_m)$ .

Claim 4.8. (1) For every  $P \in \text{Spec}(O_K)$  and every  $x \in X_{m,P}^{\text{an}}$ ,

$$\left|1_{F_m}\right|^{\mathscr{F}_{m,\varepsilon,O_{K_P}}}(x) = \max_{s \in \widehat{\Gamma}^{\mathrm{ss}}(m\overline{L})} \left|s\right|^{m\mathscr{L}_{\varepsilon,O_{K_P}}}(\mu_{m,P}^{\mathrm{an}}(x)).$$

- (2) For every  $P \in U$  and every  $x \in X_{m,P}^{\mathrm{an}}$ ,  $|\cdot|_{P}^{\overline{F}_{m}}(x) = |\cdot|_{\mathscr{F}_{m,\varepsilon,O_{K_{P}}}}(x)$ .
- (3) For every  $P \in \text{Spec}(O_K) \setminus U$  and every  $x \in X_{m,P}^{\text{an}}$

$$\left|\cdot\right|^{\mathscr{F}_{m,\varepsilon,O_{K_{P}}}}(x) \leq \left|\cdot\right|^{\overline{F}_{m}}(x) \leq \exp(\varepsilon)\left|\cdot\right|^{\mathscr{F}_{m,\varepsilon,O_{K_{P}}}}(x).$$

In particular,  $(\mathscr{X}_{m,\varepsilon,U},\mathscr{F}_{m,\varepsilon,U})$  and  $(\mathscr{X}_{m,\varepsilon,U},\mathscr{M}_{m,\varepsilon,U})$  give U-models of definition for  $\overline{F}_m^{\{\infty\}}$  and  $\overline{M}_m^{\{\infty\}}$ , respectively.

Proof of Claim 4.8. It suffices to show (1). We decompose  $\mu_m$  as  $X_m \xrightarrow{\psi_{m,n}}$  $X_{m,n} \xrightarrow{\nu_{m,n}} X$  according to (4.11). For  $x \in X_{m,P}^{an}$ , we set  $x' := \psi_{m,n,P}^{an}(x)$  and  $x'' := \mu_{m,P}^{an}(x)$ . By Lemma 2.8 and (2.5), we have

$$\begin{split} \left| 1_{F_m} \right|^{\mathscr{F}_{m,\varepsilon,O_{K_P}}}(x) &= \inf \{ |f|(x')^{1/n} : f \in k(\rho_P(x')), \, 1_{\mathscr{F}_{m,n,\varepsilon}} \in ft_{x'}^* \mathscr{F}_{m,n,\varepsilon,O_{K_P}} \} \\ &= \max \{ |f|(x'')^{1/n} : f \in k(\rho_P(x'')), \, f \in t_{x''}^* \widehat{\mathfrak{b}}_{m,n,\varepsilon,O_{K_P}} \} \\ &= \max_{s \in \widehat{\Gamma}^{\mathrm{ss}}(m\overline{L})} |s|^{m\mathscr{L}_{\varepsilon,O_{K_P}}}(x''). \end{split}$$

By Claim 4.8, the collection  $(|\cdot|_{v}^{\overline{F}_{m}})_{v\in M_{K}}$  is in fact an adelic metric on  $F_{m}$ . (1): It is obvious that  $\widehat{\Gamma}^{\mathrm{ss}}(\overline{M}_{m}) \subset \{s': s \in \widehat{\Gamma}^{\mathrm{ss}}(m\overline{L})\}$ , and, by Claim 4.9 below, we have  $\widehat{\Gamma}^{ss}(\overline{M}_m) = \{s' : s \in \widehat{\Gamma}^{ss}(m\overline{L})\}.$ 

Claim 4.9. To each  $s \in \langle \widehat{\Gamma}^{ss}(m\overline{L}) \rangle_K$ , we associate an  $s' \in H^0(M_m)$  as above.

- (1) For any  $v \in M_K$ , we have  $||s'||_{v,\sup}^{\overline{M}_m} \ge ||s||_{v,\sup}^{m\overline{L}}$ .
- (2) If  $s \in \widehat{\Gamma}^{ss}(m\overline{L})$ , then  $||s'||_{\infty,\sup}^{\overline{M}_m} = ||s||_{\infty,\sup}^{m\overline{L}} < 1$ .

Proof of Claim 4.9. Assertion (1) is clear since  $\|1_{F_m}\|_{v,\sup}^{\overline{F}_m} \leq 1$  for every  $v \in M_K$ , so we are going to show (2). For each  $x \in (X_m \setminus \operatorname{Supp}(1_{F_m}))_{\infty}^{\operatorname{an}}$ ,

$$|s'|_{\infty}^{\overline{M}_m}(x) = |s|_{\infty}^{m\overline{L}}(\mu_m(x)) \cdot \min_{t \in \widehat{\Gamma}^{\mathrm{ss}}(m\overline{L})} \frac{\|t\|_{\infty,\sup}^{m\overline{L}}}{|t|_{\infty}^{m\overline{L}}(\mu_m(x))} \le \|s\|_{\infty,\sup}^{m\overline{L}}.$$

- (2): We are going to verify conditions (a)–(d) in Definition 2.14. Condition (a) is clear, and (d) follows from (4.9). Since  $n\mathcal{M}_{m,\varepsilon}$  is free, (b) follows from Claim 4.8.
- (c): For each  $x \in X_{m,\infty}^{\mathrm{an}}$ , we choose a  $\lambda$  and an  $s_0 \in \widehat{\Gamma}^{\mathrm{ss}}(m\overline{L})$  such that  $x \in W_{\lambda,\infty}^{\mathrm{an}}$  and  $\phi_{s_0,\lambda}(x) \neq 0$ , where  $\{W_{\lambda}\}$  and  $\{\phi_{s,\lambda}\}$  are chosen as in the proof of Proposition 4.7. Then  $s'_0$  gives a local frame of  $M_m$  around x, and

$$-\log|s_0'|_{\infty}^{\overline{M}_m}(x')^2 = \max_{s \in \widehat{\Gamma}^{\mathrm{ss}}(m\overline{L})} \left\{ \log|\phi_{s,\lambda}/\phi_{s_0,\lambda}|(x')^2 - \log(\|s\|_{\infty,\sup}^{m\overline{L}})^2 \right\}$$

is plurisubharmonic over  $\{x' \in W_{\lambda,\infty}^{\mathrm{an}} : \phi_{s_0,\lambda}(x') \neq 0\}.$ 

**Corollary 4.10.** Let X be a normal projective variety that is geometrically irreducible over K, and let  $\overline{L}$  be an adelically metrized line bundle on X. If  $\bigoplus_{m\geq 0} H^0(mL)$  is a finitely generated K-algebra, then the following are equivalent:

- (a)  $H^0(mL) = \langle \widehat{\Gamma}^{ss}(m\overline{L}) \rangle_K$  for every  $m \gg 1$ .
- (b) There exists an  $a \ge 1$  such that  $H^0(aL)$  generates  $\bigoplus_{m \ge 0} H^0(maL)$  over K and

$$\operatorname{Image}(H^0(aL) \otimes_K (-aL) \to \mathfrak{O}_X) = \operatorname{Image}(\langle \widehat{\Gamma}^{\operatorname{ss}}(a\overline{L}) \rangle_K \otimes_K (-aL) \to \mathfrak{O}_X).$$

*Proof.* By [8, Chap. III, §1.3, Proposition 3], there exists an  $e \geq 1$  such that  $\bigoplus_{m\geq 0} H^0(mneL)$  is generated by  $H^0(neL)$  over  $H^0(\mathfrak{O}_X) = K$  for every  $n \geq 1$ . So (a) $\Rightarrow$ (b) is obvious.

We are going show the reverse. For  $m \geq 1$ , we set

$$\mathfrak{b}_m := \operatorname{Image}(H^0(mL) \otimes_K (-mL) \to \mathfrak{O}_X).$$

Since  $\operatorname{Sym}_K^m H^0(aL) \to H^0(maL)$  is surjective, we have  $\mathfrak{b}_{ma} = (\mathfrak{b}_a)^m$  for every  $m \geq 1$ . Let  $\mu_a : X_a \to X$ ,  $\overline{M}_a$ ,  $\overline{F}_a$  be as in Proposition 4.7. Since  $\operatorname{Bs}^{\operatorname{ss}}(\overline{M}_a) = \emptyset$ , Theorem 4.3 says that  $H^0(mM_a) = \langle \widehat{\Gamma}^{\operatorname{ss}}(m\overline{M}_a) \rangle_K \subset \langle \widehat{\Gamma}^{\operatorname{ss}}(ma\overline{L}) \rangle_K$  for every  $m \gg 1$ . Since  $\mu_{a*}(-mF_a) \supset (\mathfrak{b}_a)^m$ , we have

$$H^0(mM_a) = H^0(maL \otimes \mu_{a*}(-mF_a)) \supset H^0(maL \otimes (\mathfrak{b}_a)^m) = H^0(maL)$$

for every  $m \geq 1$ . Thus  $H^0(maL) = \langle \widehat{\Gamma}^{ss}(ma\overline{L}) \rangle_K$  for every  $m \gg 1$ . We conclude the proof by invoking Lemma 4.1.

## §5. Arithmetic augmented base loci

**Definition 5.1.** Let X be a projective variety over a number field K. Let L be a line bundle on X, and let  $\overline{V}_{\bullet}$  be an adelically normed graded K-linear series belonging to L. We define the *arithmetic augmented base locus* of  $\overline{V}_{\bullet}$  as

$$\widehat{\mathbf{B}}_{+}(\overline{V}_{\bullet}) := \mathbf{B}_{+}(\langle \widehat{\Gamma}^{\mathrm{ss}}(\overline{V}_{\bullet}) \rangle_{K}),$$

which clearly does not depend on K (see Definition 3.1). If  $\overline{L}$  is an adelically metrized line bundle on X and  $\overline{V}_{\bullet}$  is given by (2.14), then we write  $\widehat{\mathbf{B}}_{+}(\overline{L}) := \widehat{\mathbf{B}}_{+}(\overline{V}_{\bullet})$ . Since  $\widehat{\mathbf{B}}_{+}(m\overline{L}) = \widehat{\mathbf{B}}_{+}(\overline{L})$  for every  $m \geq 1$ , we can define  $\widehat{\mathbf{B}}_{+}(\overline{L})$  for every  $\overline{L} \in \widehat{\mathrm{Pic}}_{\mathbb{Q}}(X)$ . Let  $\overline{L}$  be an adelically metrized line bundle on X.

(semiample): We say that  $\overline{L}$  is *free* if the homomorphism

$$\langle \widehat{\Gamma}^{\mathrm{ss}}(\overline{L}) \rangle_K \otimes_K \mathfrak{O}_X \to L$$

is surjective. We say that an  $\overline{L}\in\widehat{\mathrm{Pic}}_{\mathbb{Q}}(X)$  is semiample if some multiple of  $\overline{L}$  is free.

(w-ample): We say that  $\overline{L}$  is weakly ample or w-ample for short if L is ample and  $H^0(mL) = \langle \widehat{\Gamma}^{\rm ss}(m\overline{L}) \rangle_K$  for every  $m \gg 1$ . We say that an  $\overline{L} \in \widehat{\mathrm{Pic}}_{\mathbb{Q}}(X)$  is w-ample if some multiple of  $\overline{L}$  is w-ample. If  $\overline{L}$  is ample in the sense of Moriwaki [22, §0.3, (7)] or ample in the sense of Zhang ([27, Corollary (4.8)], [28, (1.3) and Theorem (1.8)]), then  $\overline{L}$  is w-ample.

**Remark 5.2.** The notion of w-ampleness does not depend on the choice of K. In fact, by Theorem 4.3, the following are equivalent:

- (a)  $\overline{L}$  is w-ample.
- (b) L is ample and there exist an  $m \geq 1$  and  $s_1, \ldots, s_N \in \widehat{\Gamma}^{ss}(m\overline{L})$  such that

$${x \in X : s_1(x) = \dots = s_N(x) = 0} = \emptyset.$$

We denote by  $\widehat{\Phi}_{\overline{L},K}$  the Kodaira map

$$\Phi_{\langle \widehat{\Gamma}^{\mathrm{ss}}(\overline{L}) \rangle_K} : X \setminus \widehat{\mathrm{Bs}}^{\mathrm{ss}}(\overline{L}) \to \mathbb{P}_K(\langle \widehat{\Gamma}^{\mathrm{ss}}(\overline{L}) \rangle_K)$$

associated to  $\langle \widehat{\Gamma}^{ss}(\overline{L}) \rangle_K$ .

**Lemma 5.3.** Let  $\overline{A}$  be an adelically metrized line bundle on X.

- (1) The following are equivalent:
  - (a)  $\overline{A}$  is w-ample.
  - (b)  $a\overline{A}$  is w-ample for an  $a \geq 1$ .

(c) For an  $a \ge 1$ ,

$$\widehat{\Phi}_{a\overline{A},K}: X \to \mathbb{P}_K(\langle \widehat{\Gamma}^{ss}(a\overline{A}) \rangle_K)$$

is a closed immersion.

- (d) Given any adelically metrized line bundle  $\overline{L}$  on X, mA + L is very ample and  $H^0(mA + L) = \langle \widehat{\Gamma}^{ss}(m\overline{A} + \overline{L}) \rangle_K$  for every  $m \gg 1$ .
- (e) Given any adelically metrized line bundle  $\overline{L}$  on X,  $m\overline{A} + \overline{L}$  is w-ample for every  $m \gg 1$ .
- (f) Given any adelically metrized line bundle  $\overline{L}$  on X,  $m\overline{A} + \overline{L}$  is semiample for every  $m \gg 1$ .
- (2) If  $\overline{A}$  is w-ample and  $\overline{F}$  is semiample, then  $\overline{A} + \overline{F}$  is w-ample.

*Proof.* (1): The implications (a) $\Rightarrow$ (b), (a) $\Rightarrow$ (c), (e) $\Rightarrow$ (b), and (e) $\Rightarrow$ (f) are trivial. The implication (b) $\Rightarrow$ (a) is obvious (see for example Remark 5.2).

(c) $\Rightarrow$ (a): By the implication (b) $\Rightarrow$ (a), we can assume a=1. Let  $P:=\mathbb{P}_K(\langle \widehat{\Gamma}^{\rm ss}(\overline{A})\rangle_K)$ , and let  $\mathcal{O}_P(1)$  be the hyperplane line bundle on P. Since A is isomorphic to  $\widehat{\Phi}_{\overline{A},K}^*\mathcal{O}_P(1)$ , the homomorphism

$$H^0(\mathcal{O}_P(m)) = \operatorname{Sym}_K^m \langle \widehat{\Gamma}^{\operatorname{ss}}(\overline{A}) \rangle_K \to H^0(mA)$$

is surjective for every  $m \gg 1$ . Hence  $\langle \widehat{\Gamma}^{ss}(m\overline{A}) \rangle_K = H^0(mA)$  for every  $m \gg 1$ .

(a) $\Rightarrow$ (d): There exists an  $\varepsilon > 0$  such that  $\overline{A} - \mathcal{O}_X(\varepsilon[\infty])$  is also w-ample. We can find positive integers a, b such that  $\langle \widehat{\Gamma}^{ss}(ma(\overline{A} - \mathcal{O}_X(\varepsilon[\infty])) \rangle_K = H^0(maA)$  for every  $m \geq 1$ , mA + L is very ample for every  $m \geq a$ , and

$$H^{0}(maA) \otimes_{K} H^{0}((ab+r)A+L) \to H^{0}(((m+b)a+r)A+L)$$

is surjective for all m, r with  $m \ge 1$  and  $0 \le r < a$ . Then

$$\langle \widehat{\Gamma}^{\mathrm{ss}}(ma(\overline{A} - \mathcal{O}_X(\varepsilon[\infty])) \rangle_K \otimes_K H^0((ab+r)A + L) \to H^0(((m+b)a+r)A + L)$$

is surjective for all m, r with  $m \ge 1$  and  $0 \le r < a$ , so we have  $\langle \widehat{\Gamma}^{\rm ss}(m\overline{A} + \overline{L}) \rangle_K = H^0(mA + L)$  for every  $m \gg 1$ .

The implication  $(d) \Rightarrow (e)$  is clear by  $(c) \Rightarrow (a)$ .

Before proving the implication (f) $\Rightarrow$ (a), we show (2). Take an  $m \geq 1$  such that  $\widehat{\Phi}_{m\overline{A},K}$  is a closed immersion and  $m\overline{F}$  is free. Set

$$Q_m := \operatorname{Coker}(\langle \widehat{\Gamma}^{\operatorname{ss}}(m\overline{F}) \rangle_K \otimes_K \langle \widehat{\Gamma}^{\operatorname{ss}}(m\overline{A}) \rangle_K \to \langle \widehat{\Gamma}^{\operatorname{ss}}(m(\overline{A} + \overline{F})) \rangle_K).$$

By considering the commutative diagram

$$X \xrightarrow{\widehat{\Phi}_{m(\overline{A}+\overline{F}),K}} \mathbb{P}_{K}(\langle \widehat{\Gamma}^{\mathrm{ss}}(m(\overline{A}+\overline{F})) \rangle_{K}) \setminus \mathbb{P}_{K}(Q_{m})$$

$$\parallel \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$X \xrightarrow{(\mathrm{Segre\ emb.}) \circ (\widehat{\Phi}_{m\overline{A},K} \times \widehat{\Phi}_{m\overline{F},K})} \mathbb{P}_{K}(\langle \widehat{\Gamma}^{\mathrm{ss}}(m\overline{A}) \rangle_{K} \otimes_{K} \langle \widehat{\Gamma}^{\mathrm{ss}}(m\overline{F}) \rangle_{K})$$

we find that  $\widehat{\Phi}_{m(\overline{A}+\overline{F}),K}$  is a closed immersion.

(f) $\Rightarrow$ (a): Let  $\overline{B}$  be a w-ample adelically metrized line bundle on X. By the condition (f),  $m\overline{A} - \overline{B}$  is semiample for every  $m \gg 1$ . Thus by (2),  $m\overline{A}$  is w-ample for every  $m \gg 1$ .

**Proposition 5.4.** Let  $\overline{L}$  be an adelically metrized line bundle on X.

(1) The augmented base locus of  $\overline{L}$  satisfies

$$\widehat{\mathbf{B}}_{+}(\overline{L}) = \bigcap_{\overline{A} \text{ $w$-ample}} \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L} - \overline{A}) = \mathbf{B}_{+}(L) \cup \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L}),$$

where the intersection is taken over all w-ample adelically metrized  $\mathbb{Q}$ -line bundles  $\overline{A}$  on X. In particular, given any w-ample adelically metrized line bundle  $\overline{A}$ ,  $\widehat{\mathbf{B}}_{+}(\overline{L}) = \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L} - \varepsilon \overline{A})$  for every sufficiently small rational  $\varepsilon > 0$ .

(2) Let  $\overline{M}$  be another adelically metrized line bundle on X. If  $s \in \widehat{\Gamma}^s(\overline{M})$ , then

$$\widehat{\mathbf{B}}_{+}(\overline{L}+\overline{M})\subset\widehat{\mathbf{B}}_{+}(\overline{L})\cup\operatorname{Supp}(s).$$

- (3)  $\overline{L}$  is w-ample if and only if  $\widehat{\mathbf{B}}_{+}(\overline{L}) = \emptyset$ .
- (4) Let  $\overline{A}_1, \ldots, \overline{A}_r$  be w-ample adelically metrized line bundles on X. Then there exists an  $\varepsilon > 0$  such that

$$\widehat{\mathbf{B}}_{+}(\overline{L}) = \widehat{\mathbf{B}}_{+}(\overline{L} - \varepsilon_{1}\overline{A}_{1} - \dots - \varepsilon_{r}\overline{A}_{r})$$

for any rational  $\varepsilon_1, \ldots, \varepsilon_r$  with  $0 \le \varepsilon_i \le \varepsilon$ .

(5) Let  $\overline{A}_1, \ldots, \overline{A}_r$  be adelically metrized line bundles on X. If  $\overline{L}$  is w-ample, then there exists a  $\delta > 0$  such that  $\overline{L} + \delta_1 \overline{A}_1 + \cdots + \delta_r \overline{A}_r$  is w-ample for any rational  $\delta_1, \ldots, \delta_r$  with  $|\delta_i| \leq \delta$ . In particular, w-ampleness is an open condition.

*Proof.* (1): Let  $\overline{V}_{\bullet}$  be as in (2.14). For any  $a \geq 1$  and for any w-ample adelically metrized line bundle  $\overline{A}$  on X, we have  $H^0(aL - A) \supset \Lambda(\langle \widehat{\Gamma}^{ss}(\overline{V}_{\bullet}) \rangle_K; A, a) \supset \langle \widehat{\Gamma}^{ss}(a\overline{L} - \overline{A}) \rangle_K$ . Thus by Lemma 3.2(3),

$$(5.3) \mathbf{B}_{+}(L) \cup \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L}) \subset \mathbf{B}_{+}(\langle \widehat{\Gamma}^{\mathrm{ss}}(\overline{V}_{\bullet}) \rangle_{K}) \subset \bigcap_{\overline{A} \text{ w-ample}} \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L} - \overline{A}).$$

Suppose that  $x \notin \mathbf{B}_{+}(L) \cup \widehat{\mathbf{B}}^{ss}(\overline{L})$ . We can find a w-ample adelically metrized line bundle  $\overline{A}$  on X, an  $s \in \widehat{\Gamma}^{ss}(b\overline{L})$ , and a  $t \in \widehat{\Gamma}^{f}(c\overline{L} - \overline{A})$  such that  $s(x) \neq 0$  and  $t(x) \neq 0$ . For a  $p \geq 1$ , we have

$$s^{\otimes p} \otimes t \in \widehat{\Gamma}^{\mathrm{ss}}((pb+c)\overline{L} - \overline{A}).$$

Thus  $x \notin \widehat{\mathrm{Bs}}^{\mathrm{ss}}((pb+c)\overline{L}-\overline{A})$ . This implies that the inclusions in (5.3) are equalities. Assertion (2) is clear since

$$\widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L} + \overline{M} - \overline{A}) \subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L} - \overline{A}) \cup \operatorname{Supp}(s)$$

for every w-ample adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{A}$ .

- (3): If  $\overline{L}$  is w-ample, then  $\widehat{\mathbf{B}}_{+}(\overline{L}) = \emptyset$ . Conversely, if  $\widehat{\mathbf{B}}_{+}(\overline{L}) = \emptyset$ , then there exists a w-ample  $\mathbb{Q}$ -line bundle  $\overline{A}$  on X such that  $\widehat{\mathbf{B}}^{ss}(\overline{L} \overline{A}) = \emptyset$ . Thus by Lemma 5.3(2),  $\overline{L}$  is w-ample.
- (4): The inclusion  $\subset$  is clear. Since  $\overline{A}_1 + \cdots + \overline{A}_r$  is w-ample, there exists a rational  $\varepsilon > 0$  such that

$$\widehat{\mathbf{B}}_{+}(\overline{L}) = \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L} - \varepsilon(\overline{A}_1 + \dots + \overline{A}_r)).$$

Then for any  $\varepsilon_1, \ldots, \varepsilon_r$  with  $0 \le \varepsilon_i \le \varepsilon$ , we have

$$\widehat{\mathbf{B}}_{+}(\overline{L}) \subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L} - \varepsilon_{1}\overline{A}_{1} - \dots - \varepsilon_{r}\overline{A}_{r}) \subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L} - \varepsilon(\overline{A}_{1} + \dots + \overline{A}_{r})).$$

Hence the assertion follows.

(5): Since every adelically metrized line bundle is a difference of two w-ample adelically metrized line bundles (Lemma 5.3(1)), we can assume that  $\overline{A}_1, \ldots, \overline{A}_r$  are all w-ample. By (3) and (4) above, there exists an  $\varepsilon > 0$  such that

$$\widehat{\mathbf{B}}_{+}(\overline{L} - \varepsilon_1 \overline{A}_1 - \dots - \varepsilon_r \overline{A}_r) = \emptyset$$

for any  $\varepsilon_1, \ldots, \varepsilon_r$  with  $0 \leq \varepsilon_i \leq \varepsilon$ . Then, for any  $\delta_1, \ldots, \delta_r$  with  $\delta_i \geq -\varepsilon$ ,  $\overline{L} + \delta_1 \overline{A}_1 + \cdots + \delta_r \overline{A}_r$  is w-ample.

**Remark 5.5.** There are several different definitions of the arithmetic augmented base locus. For an adelically metrized line bundle  $\overline{L}$ , we set

$$\widehat{\Gamma}^{\mathrm{s}}(\overline{L}) := \{ s \in H^0(L) : \|s\|_{v, \sup}^{\overline{L}} \le 1, \, \forall v \in M_K \}.$$

A section in  $\widehat{\Gamma}^s(\overline{L})$  is referred to as a *small section* of  $\overline{L}$ . In [22, §3], Moriwaki defined

$$\widehat{\mathbf{B}}'_{+}(\overline{L}) := \bigcap_{\overline{A} \text{ w-ample}} \widehat{\mathbf{B}}^{\mathbf{s}}(\overline{L} - \overline{A}),$$

where the intersection is taken over all w-ample adelically metrized  $\mathbb{Q}$ -line bundles  $\overline{A}$  (see Section 2). We easily see that  $\mathbf{B}_{+}(L) \cup \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L}) \subset \widehat{\mathbf{B}}'_{+}(\overline{L}) \subset \widehat{\mathbf{B}}_{+}(\overline{L})$ .

Hence Moriwaki's  $\widehat{\mathbf{B}}'_{+}(\overline{L})$  is identical to our  $\widehat{\mathbf{B}}_{+}(\overline{L})$ . Of course,  $\widehat{\mathbf{B}}^{\mathrm{s}}(\overline{L}) \subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$  and the inclusion is strict in general.

In [9, §4], Chen defined the augmented base locus by using sections with normalized Arakelov degree not less than zero. If  $\overline{L}$  is associated to a continuous Hermitian line bundle on an  $O_K$ -model of X, then by [6, Remark 3.8(ii)] one can see that Chen's definition also coincides with ours.

**Theorem 5.6.** Let X be a normal projective variety over a number field and let  $\overline{L}$  be an adelically metrized line bundle on X. The arithmetic augmented base locus  $\widehat{\mathbf{B}}_{+}(\overline{L})$  is characterized as the minimal Zariski closed subset of X such that the restriction of the Kodaira map

$$\widehat{\Phi}_{m\overline{L},K}: X \setminus \widehat{\mathrm{Bs}}^{\mathrm{ss}}(m\overline{L}) \to \mathbb{P}_K(\langle \widehat{\Gamma}^{\mathrm{ss}}(m\overline{L}) \rangle_K)$$

to  $X \setminus \widehat{\mathbf{B}}_{+}(\overline{L})$  is an immersion for every sufficiently divisible  $m \gg 1$ .

*Proof.* We choose an  $a \ge 1$  such that  $\widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L}) = \widehat{\mathrm{Bs}}^{\mathrm{ss}}(ma\overline{L})$  and

$$\operatorname{Ex}(\widehat{\Phi}_{ma\overline{L},K}) \cup \widehat{\operatorname{Bs}}^{\operatorname{ss}}(ma\overline{L}) = \bigcap_{n \geq 1} \left( \operatorname{Ex}(\widehat{\Phi}_{n\overline{L},K}) \cup \widehat{\operatorname{Bs}}^{\operatorname{ss}}(n\overline{L}) \right)$$

for every  $m \ge 1$  (Lemma 3.6(2)). By Lemma 3.7,

$$\widehat{\mathbf{B}}_{+}(\overline{L}) \supset \operatorname{Ex}(\widehat{\Phi}_{ma\overline{L},K}) \cup \widehat{\operatorname{Bs}}^{\operatorname{ss}}(ma\overline{L})$$

for every  $m \geq 1$ . To show the reverse inclusion, let  $\mu_a: X_a \to X$ ,  $\overline{F}_a$ , and  $\overline{M}_a$  be as in Proposition 4.7 and let  $1_{F_a} \in \widehat{\Gamma}^{\mathrm{s}}(\overline{F}_a)$  be the natural inclusion. Then  $\overline{M}_a$  is free. By Theorems 4.3 and 3.8, there exists a  $p \geq 1$  such that

(5.5) 
$$\widehat{\mathbf{B}}_{+}(\overline{M}_{a}) = \operatorname{Ex}(\widehat{\Phi}_{p\overline{M}_{a},K}) = \mathbf{B}_{+}(M_{a}).$$

Set  $Y:=\widehat{\Phi}_{pa\overline{L},K}(X)$  and  $Y':=\widehat{\Phi}_{p\overline{M}_a,K}(X_a)$ . We consider the commutative diagram

$$X_{a} \xrightarrow{\widehat{\Phi}_{p\overline{M}_{a},K}} Y'$$

$$\downarrow^{\mu_{a}} \qquad \qquad \downarrow^{\nu}$$

$$X = \xrightarrow{\widehat{\Phi}_{pa\overline{L},K}} Y$$

Proposition 5.4(2) applied to the decomposition  $p\mu_a^*(a\overline{L}) = p\overline{M}_a + p\overline{F}_a$  yields

$$(5.6) \qquad \widehat{\mathbf{B}}_{+}(p\mu_{a}^{*}(a\overline{L})) \subset \widehat{\mathbf{B}}_{+}(p\overline{M}_{a}) \cup \operatorname{Supp}(1_{F_{a}}^{\otimes p}).$$

Since

$$X_a \setminus \mu_a^{-1} \left( \operatorname{Ex}(\widehat{\Phi}_{pa\overline{L},K}) \cup \widehat{\operatorname{Bs}}^{\operatorname{ss}}(pa\overline{L}) \right) \xrightarrow{\mu_a} X \setminus \left( \operatorname{Ex}(\widehat{\Phi}_{pa\overline{L},K}) \cup \widehat{\operatorname{Bs}}^{\operatorname{ss}}(pa\overline{L}) \right) \xrightarrow{\widehat{\Phi}_{pa\overline{L},K}} Y$$

is an immersion, we have

$$(5.7) \operatorname{Ex}(\widehat{\Phi}_{p\overline{M}_a,K}) \subset \mu_a^{-1} \big( \operatorname{Ex}(\widehat{\Phi}_{pa\overline{L},K}) \cup \widehat{\operatorname{Bs}}^{\operatorname{ss}}(pa\overline{L}) \big).$$

Moreover,

$$\widehat{\mathbf{B}}_{+}(\mu_{a}^{*}\overline{L}) = \mu_{a}^{-1}\widehat{\mathbf{B}}_{+}(\overline{L})$$

thanks to Lemma 3.4(2). Therefore,

$$\mu_a^{-1} \widehat{\mathbf{B}}_+(\overline{L}) \subset \mu_a^{-1} \big( \mathrm{Ex}(\widehat{\Phi}_{pa\overline{L},K}) \cup \widehat{\mathrm{Bs}}^{\mathrm{ss}}(pa\overline{L}) \big)$$

by (5.5)-(5.8), and

$$\widehat{\mathbf{B}}_{+}(\overline{L}) \subset \operatorname{Ex}(\widehat{\Phi}_{na\overline{L},K}) \cup \widehat{\operatorname{Bs}}^{\operatorname{ss}}(pa\overline{L}). \qquad \Box$$

# §6. Yuan's estimation

The main result of this section is Theorem 6.7, which is the key to proving Theorem B and the fundamental properties of arithmetic restricted volumes and arithmetic multiplicities. The ideas to construct arithmetic Okounkov bodies can be traced back to Yuan's paper [25]. Later, Yuan [26] largely simplified the construction, and Boucksom—Chen [6] presented another method. In this paper, we rewrite the arguments in [25, 22] with necessary changes. We remark that most of the arguments in [26] are also applicable to the general case except some relations preceding [26, Lemma 3.2].

The arithmetic restricted volumes we study below were first introduced by Moriwaki [22]. Let M be a free  $\mathbb{Z}$ -module of finite rank. A subset  $\Gamma$  of M is called a CL-subset of M if the following equivalent conditions are satisfied (see [22, Proposition 1.2.1(2)]):

- (a) There exist a  $\mathbb{Z}$ -submodule N of M and a convex subset  $\Delta \subset M \otimes_{\mathbb{Z}} \mathbb{R}$  such that  $\Gamma = N \cap \Delta$ .
- (b) Let  $\langle \Gamma \rangle_{\mathbb{R}}$  be the  $\mathbb{R}$ -vector subspace of  $M \otimes_{\mathbb{Z}} \mathbb{R}$  generated by  $\Gamma$  and let  $\operatorname{Conv}_{\langle \Gamma \rangle_{\mathbb{R}}}(\Gamma)$  be the minimal convex body containing  $\Gamma$  in  $\langle \Gamma \rangle_{\mathbb{R}}$ . Then

$$\Gamma = \langle \Gamma \rangle_{\mathbb{Z}} \cap \operatorname{Conv}_{\langle \Gamma \rangle_{\mathbb{D}}}(\Gamma).$$

Note that  $\langle \Gamma \rangle_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{R} \xrightarrow{\sim} \langle \Gamma \rangle_{\mathbb{R}}$  in this case.

(c) 
$$\Gamma = \bigcup_{l>1} \{ (\gamma_1 + \dots + \gamma_l)/l \in \langle \Gamma \rangle_{\mathbb{Z}} : \gamma_1, \dots, \gamma_l \in \Gamma \}.$$

A subset  $S \subset M \otimes_{\mathbb{Z}} \mathbb{R}$  is said to be *symmetric* if  $\gamma \in S$  implies  $-\gamma \in S$ . Given any subset S in M, we define the CL-hull of S in M as the smallest CL-subset of M containing S, which we shall denote by  $\mathrm{CL}_M(S)$ . Moreover, we set

(6.1) 
$$m * S := \{ \gamma_1 + \dots + \gamma_m : \gamma_1, \dots, \gamma_m \in S \}$$

for every integer  $m \ge 1$  and every subset S of M.

**Lemma 6.1** ([25, Proposition 2.8], [22, Lemma 1.2.2]). Let M be a free  $\mathbb{Z}$ -module of finite rank, and let  $r: M \to N$  be a surjective homomorphism of  $\mathbb{Z}$ -modules.

(1) Let  $\Gamma$  be a symmetric finite subset of M. Then

$$\log \sharp \Gamma - \log \sharp (\operatorname{Ker}(r) \cap (2 * \Gamma)) \le \log \sharp r(\Gamma) \le \log \sharp (2 * \Gamma) - \log (\operatorname{Ker}(r) \cap \Gamma).$$

(2) Let  $\Delta$  be a bounded symmetric convex subset of  $M \otimes_{\mathbb{Z}} \mathbb{R}$ , and let  $a \geq 1$  be a real number. Then

$$0 \le \log \sharp (M \cap a\Delta) - \log \sharp (M \cap \Delta) \le \log(\lceil 2a \rceil) \operatorname{rk}_{\mathbb{Z}} M.$$

**Lemma 6.2.** Let K be a number field, let M be a projective  $O_K$ -module of finite rank, and let  $\Gamma$  be a finite subset of M. Then

$$\operatorname{rk}_{O_K}\langle\Gamma\rangle_{O_K} \leq \operatorname{rk}_{\mathbb{Z}}\langle\Gamma\rangle_{\mathbb{Z}} \leq [K:\mathbb{Q}]\operatorname{rk}_{O_K}\langle\Gamma\rangle_{O_K}.$$

*Proof.* The first inequality is clear. Since  $\langle \Gamma \rangle_{\mathbb{Z}} \subset \langle \Gamma \rangle_{O_K}$ , we have  $\mathrm{rk}_{\mathbb{Z}} \langle \Gamma \rangle_{\mathbb{Z}} \leq \mathrm{rk}_{\mathbb{Z}} \langle \Gamma \rangle_{O_K} = [K:\mathbb{Q}] \, \mathrm{rk}_{O_K} \langle \Gamma \rangle_{O_K}$ .

In the rest of this section, let X be a projective variety that is geometrically irreducible over a number field K. Let U be a non-empty open subset of  $\operatorname{Spec}(O_K)$  and let  $\pi_U: \mathscr{X}_U \to U$  be a U-model of X, so that  $\mathscr{X}_U$  is reduced and irreducible and  $\pi_U$  is flat and projective.

**Definition 6.3.** A flag on  $\mathscr{X}_U$  is a sequence of reduced irreducible closed subschemes of  $\mathscr{X}_U$ ,

$$F_{\bullet}: \mathscr{X}_U = F_{-1} \supsetneq F_0 \supsetneq F_1 \supsetneq \cdots \supsetneq F_{\dim X} = \{\xi\},\$$

such that each  $F_i$  has codimension i+1 in  $\mathscr{X}_U$ ,  $F_{\dim X}$  consists of a closed point  $\xi \in \mathscr{X}_U$ , and each  $F_{i+1}$  is locally principal in  $F_i$  around  $\xi$ . The closed point  $\xi = \xi_{F_{\bullet}}$  is called the *center* of the flag  $F_{\bullet}$ .

Let  $\Psi$  be a Zariski closed subset of  $\mathscr{X}_U$ . We say that  $F_{\bullet}$  is a  $\Psi$ -good flag on  $\mathscr{X}_U$  over a prime number p if:

- (a) There exists a prime ideal  $\mathfrak{p} \in U$  such that  $\mathfrak{p} \cap \mathbb{Z} = p\mathbb{Z}$  and  $[O_{K_{\mathfrak{p}}}/\mathfrak{p}O_{K_{\mathfrak{p}}} : \mathbb{F}_p]$ = 1
- (b)  $F_0 = \pi^{-1}(\mathfrak{p})$  and the center  $\xi$  is  $\mathbb{F}_p$ -rational.
- (c) The center  $\xi$  is not contained in  $\Psi$ .

An  $\emptyset$ -good flag will be simply called a *good flag* (see [22, §1.4]). Note that  $F_0$  is a Cartier divisor on  $\mathscr{X}_U$  and  $F_0, \ldots, F_{\dim X}$  are all geometrically irreducible over  $\mathbb{F}_p$ .

Let  $\operatorname{Rat}(X)$  be the field of rational functions on X and let  $F_{\bullet}: \mathscr{X}_U = F_{-1} \supset F_0 \supset \cdots \supset F_{\dim X} = \{\xi\}$  be any flag on  $\mathscr{X}_U$ . We then define the valuation map  $w_{F_{\bullet}}: \operatorname{Rat}(X)^{\times} \to \mathbb{Z}^{\dim X+1}$  associated to  $F_{\bullet}$  as follows. For each  $i = 0, \ldots, \dim X$ , we fix a local equation  $f_i$  defining  $F_i$  in  $F_{i-1}$  around  $\xi$ . For  $\phi \in \operatorname{Rat}(X)^{\times}$ , we set  $\phi_0 := \phi, w_0(\phi) := \operatorname{ord}_{F_0}(\phi_0)$ ,

$$\phi_i := (f_{i-1}^{-w_{i-1}(\phi)} \phi_{i-1})|_{F_{i-1}}, \quad w_i(\phi) := \operatorname{ord}_{F_i}(\phi_i)$$

for  $i = 1, \ldots, \dim X$ , inductively. Then define

(6.2) 
$$\mathbf{w}_{F_{\bullet}}(\phi) := (w_0(\phi), w_1(\phi), \dots, w_{\dim X}(\phi)),$$

which does not depend on the choice of  $f_0, \ldots, f_{\dim X}$ . Note that

(6.3) 
$$\mathbf{v}_{F_{\bullet}}(\phi_1) = (w_1(\phi), \dots, w_{\dim X}(\phi))$$

is the valuation vector of  $\phi_1 \in \operatorname{Rat}(F_0)^{\times}$  associated to the flag  $F_0 \supset \cdots \supset F_{\dim X}$  on  $F_0$  (see [20, §1.1]).

**Lemma 6.4.** Let  $\mathscr{X}'_U$  be another U-model of X and let  $\varphi_U : \mathscr{X}'_U \to \mathscr{X}_U$  be a projective birational U-morphism.

(1) Let  $\Psi'$  be a Zariski closed subset of  $\mathscr{X}'_U$  and let  $F'_{\bullet}: \mathscr{X}'_U \supset F'_0 \supset \cdots \supset F'_{\dim X}$ =  $\{\xi'\}$  be a  $\Psi'$ -good flag on  $\mathscr{X}'_U$  over a prime number p. If  $\varphi_U$  is isomorphic around  $\xi'$ , then the sequence of images

$$\varphi_U(F'_{\bullet}): \mathscr{X}_U \supset \varphi_U(F'_0) \supset \cdots \supset \varphi_U(F'_{\dim X}) = \{\varphi_U(\xi')\}$$

is a  $\varphi_U(\Psi')$ -good flag on  $\mathscr{X}_U$  over p, and  $\mathbf{w}_{\varphi_U(F_{\bullet}')} = \mathbf{w}_{F_{\bullet}} \circ \varphi_U^*$ .

(2) Let  $\Psi$  be a Zariski closed subset of  $\mathscr{X}_U$  and let  $F_{\bullet}: \mathscr{X}_U \supset F_0 \supset \cdots \supset F_{\dim X}$ =  $\{\xi\}$  be a  $\Psi$ -good flag on  $\mathscr{X}_U$  over a prime number p. If  $\varphi_U$  is isomorphic around  $\xi$ , then the sequence of strict transforms

$$\varphi_{U*}^{-1}(F_{\bullet}): \mathscr{X}'_{U} \supset \varphi_{U*}^{-1}(F_{0}) \supset \cdots \supset \varphi_{U*}^{-1}(F_{\dim X}) = \{\varphi_{U}^{-1}(\xi)\}$$

is a  $\varphi_U^{-1}(\Psi)$ -good flag on  $\mathscr{X}_U'$  over p and  $\mathbf{w}_{\varphi_{U_a}^{-1}(F_{\bullet})} \circ \varphi_U^* = \mathbf{w}_{F_{\bullet}}$ .

**Lemma 6.5** ([25, §2.2], [22, Proposition 1.4.1]). Given any Zariski closed subset  $\Psi$  of  $\mathscr{X}_U$  such that  $\Psi \neq \mathscr{X}_U$ , there exist  $\Psi$ -good flags on  $\mathscr{X}$  over all but finitely many prime numbers.

Proof. Let  $\varphi_U: \mathscr{X}'_U \to \mathscr{X}_U$  be a projective birational U-morphism such that  $X' := \mathscr{X}'_U \times_U \operatorname{Spec}(K)$  is smooth and geometrically irreducible over K. Set  $\pi'_U := \pi_U \circ \varphi_U$  and  $\widetilde{\Psi} := \varphi_U^{-1}(\Psi) \cup \operatorname{Ex}(\varphi_U)$ . We can choose a sequence of reduced irreducible closed subschemes of  $\mathscr{X}'_U$ ,  $\mathscr{F}_{\bullet}: \mathscr{X}'_U \supsetneq \mathscr{F}_0 \supsetneq \cdots \supsetneq \mathscr{F}_{\dim X - 1}$ , such that, for any

 $i=0,\ldots,\dim X-1,\,\pi'_U|_{\mathscr{F}_i}:\mathscr{F}_i\to U$  is flat,  $\mathscr{F}_{i,K}:=\mathscr{F}_i\times_U\operatorname{Spec}(K)$  is smooth of codimension i+1 in X, and  $\mathscr{F}_i$  is not contained in  $\widetilde{\Psi}$ . Let  $U_0\subset U\subset\operatorname{Spec}(O_K)$  be the set of all prime ideals  $\mathfrak p$  such that

- (a) the prime ideal  $p\mathbb{Z} := \mathfrak{p} \cap \mathbb{Z}$  completely splits in K,
- (b)  $\mathscr{F}_i \cap {\pi'}^{-1}(\mathfrak{p})$  is smooth and is not contained in  $\widetilde{\Psi}$ , for every i, and
- (c)  $\sharp (\mathscr{F}_{\dim X 1} \cap \widetilde{\Psi}) , where <math>g$  is the genus of the smooth curve  $\mathscr{F}_{\dim X 1, K}$ .

Thanks to Chebotarev's density theorem,  $\operatorname{Spec}(O_K) \setminus U_0$  is a finite set. By (c) and Weil's theorem, given any  $\mathfrak{p} \in U_0$ , we can take a  $\xi \in \mathscr{F}_{\dim X-1}(\mathbb{F}_p)$  that is not contained in  $\widetilde{\Psi}$ . Therefore, for each  $\mathfrak{p} \in U_0$ , the sequence

$$\pi_U^{\prime-1}(\mathfrak{p})\supset \mathscr{F}_0\cap \pi_U^{\prime-1}(\mathfrak{p})\supset \cdots\supset \mathscr{F}_{\dim X-1}\cap \pi_U^{\prime-1}(\mathfrak{p})\supset \{\xi\}$$

is a  $\varphi_U^{-1}(\Psi)$ -good flag on  $\mathscr{X}_U'$  over p and  $\varphi_U$  is isomorphic around  $\xi$ . Thus the assertion follows from Lemma 6.4(1).

Let R be an order of K such that  $\operatorname{Spec}(O_K) \to \operatorname{Spec}(R)$  is isomorphic over U. Let  $\mathscr X$  be an R-model of X that extends  $\mathscr X_U$  (for example, embed  $\mathscr X_U$  into a projective space  $\mathbb P_U$  over U and take as  $\mathscr X$  the Zariski closure of  $\mathscr X_U$  in  $\mathbb P_R$ ). Let  $\nu:\mathscr X'\to\mathscr X$  be a relative normalization in X and let  $F_{\bullet}$  be a flag on  $\mathscr X'_U$ . Let  $\overline L$  be an adelically metrized line bundle on X such that  $(\mathscr X_U,\mathscr L_U)$  gives a U-model of definition for  $\overline L^{\{\infty\}}$ . We fix a local frame  $\eta$  of  $\nu^*\mathscr L_U$  around  $\xi=\xi_{F_{\bullet}}$ . Any  $s\in\widehat{\Gamma}^f(\overline L)\setminus\{0\}$  can be written as  $\nu^*s=\phi\eta$  with a non-zero local function  $\phi$  around  $\xi$ . We define the valuation map associated to  $F_{\bullet}$  as

$$(6.4) w_{F_{\bullet}}: \widehat{\Gamma}^{f}(\overline{L}) \to H^{0}(\nu^{*}\mathscr{L}_{U}) \to \mathbb{Z}^{\dim X+1}, s \mapsto (w_{0}(\phi), \dots, w_{\dim X}(\phi)),$$

(see (2.15)), which does not depend on the choice of the frame  $\eta$ .

**Lemma 6.6.** (1) Let  $\mathscr{A}$  be any ample line bundle on  $\mathscr{X}$ . Then for every  $m \gg 1$ , the image  $\mathbf{w}_{F_{\bullet}}(H^0(m\mathscr{A}) \setminus \{0\})$  contains all of the vectors

$$(0,\ldots,0),(1,0,\ldots,0),\ldots,(0,\ldots,0,1)\in\mathbb{Z}^{\dim X+1}.$$

- (2) The valuation map  $\mathbf{w}_{F_{\bullet}} : \operatorname{Rat}(X)^{\times} \to \mathbb{Z}^{\dim X + 1}$  is surjective.
- (3) Let E be a subextension of  $\operatorname{Rat}(X)/K$ . Then  $\boldsymbol{w}_{F_{\bullet}}(E^{\times})$  is a free  $\mathbb{Z}$ -module of  $\operatorname{rank} \operatorname{tr.deg}_K E + 1$ .

*Proof.* Assertion (1) is nothing but [22, Lemma 5.4], and (2) follows from (1).

(3): The restriction of  $w_{F_{\bullet}}$  to  $K^{\times}$  is the same as the usual  $\mathfrak{p}$ -adic valuation. Thus  $\operatorname{rk}_{\mathbb{Z}} w_{F_{\bullet}}(K^{\times}) = 1$ . The map  $w_{F_{\bullet}}$  satisfies the axiom of valua-

tions [8, Chap. VI, §3.1], so by the arguments in [8, Chap. VI, §10.3, Theorem 1] we deduce that  $\operatorname{rk}_{\mathbb{Z}}(\boldsymbol{w}_{F_{\bullet}}(\operatorname{Rat}(X)^{\times})/\boldsymbol{w}_{F_{\bullet}}(E^{\times})) \leq \operatorname{tr.deg}_{E} \operatorname{Rat}(X)$  and  $\operatorname{rk}_{\mathbb{Z}}(\boldsymbol{w}_{F_{\bullet}}(E^{\times})/\boldsymbol{w}_{F_{\bullet}}(K^{\times})) \leq \operatorname{tr.deg}_{K} E$ . Since

$$\operatorname{rk}_{\mathbb{Z}} \boldsymbol{w}_{\bullet}(\operatorname{Rat}(X)^{\times}) = \operatorname{rk}_{\mathbb{Z}} \boldsymbol{w}_{F_{\bullet}}(E^{\times}) + \operatorname{rk}_{\mathbb{Z}}(\boldsymbol{w}_{F_{\bullet}}(\operatorname{Rat}(X)^{\times})/\boldsymbol{w}_{F_{\bullet}}(E^{\times}))$$

$$\leq \operatorname{tr.deg}_{K} E + 1 + \operatorname{tr.deg}_{E} \operatorname{Rat}(X) = \operatorname{tr.deg}_{K} \operatorname{Rat}(X) + 1,$$

we have  $\operatorname{rk}_{\mathbb{Z}} \boldsymbol{w}_{\bullet}(E^{\times}) = \operatorname{tr.deg}_{K} E + 1$ .

Given any adelically metrized line bundle  $\overline{L}$  on X, we set

(6.5) 
$$\delta(\overline{L}) := \inf_{\overline{A}} \frac{\widehat{\operatorname{deg}}(\overline{L} \cdot \overline{A}^{\cdot \dim X})}{\operatorname{vol}(A)}$$

(see Proposition 2.15(1)), where the infimum is taken over all nef adelically metrized line bundles  $\overline{A}$  on X such that vol(A) is positive.

**Theorem 6.7** ([25, §2.4], [22, Theorem 2.2]). Let X be a projective variety that is geometrically irreducible over K and let  $\overline{L}$  be an adelically metrized line bundle on X having a U-model of definition  $(\mathcal{X}_U, \mathcal{L}_U)$ . Let  $F_{\bullet}$  be a good flag on  $\mathcal{X}'_U$  over a prime number p. Let  $\Gamma$  be any symmetric CL-subset of  $\widehat{\Gamma}^{\mathrm{f}}(\overline{L})$  such that  $\Gamma \neq \{0\}$ . Set  $\beta := p\operatorname{rk}_{O_K}\langle \Gamma \rangle_{O_K} \geq 2$ . Then

$$|\log \sharp \Gamma - \sharp \boldsymbol{w}_{F_{\bullet}}(\Gamma \setminus \{0\}) \log(p)| \leq \left(\delta(\overline{L}) \log(4) + \log(4p) \log(4\beta)\right) \frac{\operatorname{rk}_{\mathbb{Z}}\langle \Gamma \rangle_{\mathbb{Z}}}{\log(p)}.$$

*Proof.* We borrow the ideas from [25, 22]. We divide the proof into four steps.

Step 1. Set  $\overline{F_0} := \overline{\mathbb{O}}_X([\mathfrak{p}])$  (Remark 2.10(4)), which is the adelically metrized line bundle on X associated to the Hermitian line bundle  $(\mathcal{O}_{\mathscr{X}'}(F_0), |\cdot|_{\infty}^{\operatorname{triv}})$  on  $\mathscr{X}'$ . Set  $M := \langle \Gamma \rangle_{\mathbb{Z}} \subset \widehat{\Gamma}^{\mathrm{f}}(\overline{L})$  and  $\Delta := \operatorname{Conv}_{\langle \Gamma \rangle_{\mathbb{R}}}(\Gamma)$ . Then  $\Delta$  is a compact symmetric convex body in  $\langle \Gamma \rangle_{\mathbb{R}}$ . For each  $n \geq 0$ , we set

(6.6) 
$$M_n := \{ s \in M : \operatorname{ord}_{F_0}(s) \ge n \} = M \cap \widehat{\Gamma}^{f}(\overline{L} - n\overline{F_0})$$

and let

$$r_n: \widehat{\Gamma}^{\mathrm{f}}(\overline{L} - n\overline{F_0}) \to H^0(\nu^* \mathscr{L}_U - n\mathfrak{O}_{\mathscr{X}'_U}(F_0)) \xrightarrow{\mathrm{rest.}} H^0(\nu^* \mathscr{L}_U|_{F_0} - n\mathfrak{O}_{\mathscr{X}'_U}(F_0)|_{F_0})$$

be the natural homomorphism. Then

(6.7) 
$$\sharp \boldsymbol{w}_{F_{\bullet}}(\Gamma \setminus \{0\}) = \sum_{n \geq 0} \sharp \boldsymbol{v}_{F_{\bullet}}(r_n(M_n \cap \Delta) \setminus \{0\}).$$

Step 2. In this step, we show that for each  $n \geq 0$ ,

(6.8) 
$$\log \sharp (M_n \cap (1/\beta)\Delta) - \log \sharp (M_{n+1} \cap (2/\beta)\Delta)$$

$$\leq \sharp v_{F_{\bullet}}(r_n(M_n \cap \Delta) \setminus \{0\}) \log(p)$$

$$\leq \log \sharp (M_n \cap 2\beta\Delta) - \log \sharp (M_{n+1} \cap \beta\Delta).$$

First, we have

$$(6.9) \sharp \mathbf{v}_{F_{\bullet}}(r_n(M_n \cap \Delta) \setminus \{0\}) \log(p) = \sharp \mathbf{v}_{F_{\bullet}}(\langle r_n(M_n \cap \Delta) \rangle_{\mathbb{F}_p} \setminus \{0\}) \log(p)$$
$$= \log \sharp \langle r_n(M_n \cap \Delta) \rangle_{\mathbb{F}_p}$$

thanks to [20, Lemma 1.4]. We choose  $\{s_1, \ldots, s_l\} \subset M_n \cap \Delta$  such that the image forms an  $\mathbb{F}_p$ -basis for  $\langle r_n(M_n \cap \Delta) \rangle_{\mathbb{F}_p}$ . Since  $l \leq \operatorname{rk}_{O_K} \langle \Gamma \rangle_{O_K}$  and  $r_n$  maps

$$S := \left\{ \sum_{i=1}^{l} a_i s_i : a_i = 0, \dots, p-1 \right\} \subset M_n \cap \beta \Delta$$

onto  $\langle r_n(M_n \cap \Delta) \rangle_{\mathbb{F}_p}$ , we have

(6.10) 
$$\log \sharp \langle r_n(M_n \cap \Delta) \rangle_{\mathbb{F}_p} \le \log \sharp r_n(S) \le \log \sharp r_n(M_n \cap \beta \Delta).$$

Note that  $2 * (M_n \cap \beta \Delta) \subset M_n \cap 2\beta \Delta$  and  $Ker(r_n) = M_{n+1}$ . By applying Lemma 6.1(1) to  $r_n(M_n \cap \beta \Delta)$ , we obtain

(6.11) 
$$\log \sharp r_n(M_n \cap \beta \Delta) \le \log \sharp (M_n \cap 2\beta \Delta) - \log \sharp (M_{n+1} \cap \beta \Delta).$$

By (6.9)–(6.11), we have the second inequality of (6.8).

Next, we choose  $\{t_1,\ldots,t_{l'}\}\subset M_n\cap (1/\beta)\Delta$  such that the image forms an  $\mathbb{F}_p$ -basis for  $\langle r_n(M_n\cap (1/\beta)\Delta)\rangle_{\mathbb{F}_p}$ . Since  $l'\leq \mathrm{rk}_{O_K}\langle\Gamma\rangle_{O_K}$  and  $r_n$  maps

$$S' := \left\{ \sum_{j=1}^{l'} a_j t_j : a_j = 0, \dots, p-1 \right\} \subset M_n \cap \Delta$$

onto  $\langle r_n(M_n \cap (1/\beta)\Delta) \rangle_{\mathbb{F}_n}$ , we have

$$(6.12) \qquad \sharp \boldsymbol{v}_{F_{\bullet}}(r_{n}(M_{n} \cap \Delta) \setminus \{0\}) \log(p) \geq \sharp \boldsymbol{v}_{F_{\bullet}}(r_{n}(S') \setminus \{0\}) \log(p)$$

$$= \sharp \boldsymbol{v}_{F_{\bullet}}(\langle r_{n}(M_{n} \cap (1/\beta)\Delta) \rangle_{\mathbb{F}_{p}} \setminus \{0\}) \log(p)$$

$$= \log \sharp \langle r_{n}(M_{n} \cap (1/\beta)\Delta) \rangle_{\mathbb{F}_{p}}$$

$$\geq \log \sharp r_{n}(M_{n} \cap (1/\beta)\Delta)$$

thanks to [20, Lemma 1.4] again. By applying Lemma 6.1(1) to  $r_n(M_n \cap (1/\beta)\Delta)$ ,

$$(6.13) \quad \log \sharp r_n(M_n \cap (1/\beta)\Delta) \ge \log \sharp (M_n \cap (1/\beta)\Delta) - \log \sharp (M_{n+1} \cap (2/\beta)\Delta).$$

By (6.12)–(6.13), we have the first inequality of (6.8).

Step 3. From (6.7) and (6.8), we obtain

$$(6.14) \quad \log \sharp (M \cap (1/\beta)\Delta) - \sum_{n \geq 1} (\log \sharp (M_n \cap (2/\beta)\Delta) - \log \sharp (M_n \cap (1/\beta)\Delta))$$

$$\leq \sharp \boldsymbol{w}_{F_{\bullet}}(\Gamma \setminus \{0\}) \log(p)$$

$$\leq \log \sharp (M \cap 2\beta\Delta) + \sum_{n \geq 1} (\log \sharp (M_n \cap 2\beta\Delta) - \log \sharp (M_n \cap \beta\Delta)).$$

Thanks to Lemma 6.1(2) we have, for each  $n \ge 1$ ,

(6.15) 
$$\log \sharp (M \cap 2\beta \Delta) \le \log \sharp \Gamma + \log(4\beta) \operatorname{rk}_{\mathbb{Z}} M,$$

(6.16) 
$$\log \sharp (M \cap (1/\beta)\Delta) \ge \log \sharp \Gamma - \log(2\beta) \operatorname{rk}_{\mathbb{Z}} M$$

and

(6.17) 
$$\log \sharp (M_n \cap 2\beta \Delta) - \log \sharp (M_n \cap \beta \Delta) \le \log(4) \operatorname{rk}_{\mathbb{Z}} M,$$

(6.18) 
$$\log \sharp (M_n \cap (2/\beta)\Delta) - \log \sharp (M_n \cap (1/\beta)\Delta) \le \log(4) \operatorname{rk}_{\mathbb{Z}} M.$$

We set  $T := \{n \ge 1 : M_n \cap 2\beta\Delta \ne \{0\}\} \supset \{n \ge 1 : M_n \cap (2/\beta)\Delta \ne \{0\}\}$ . Then, by (6.14)–(6.18), we have

(6.19) 
$$- (\log(2\beta) + \sharp T \log(4)) \operatorname{rk}_{\mathbb{Z}} M$$

$$\leq \sharp \boldsymbol{w}_{F_{\bullet}}(\Gamma \setminus \{0\}) \log(p) - \log \sharp \Gamma \leq (\log(4\beta) + \sharp T \log(4)) \operatorname{rk}_{\mathbb{Z}} M.$$

Step 4. If  $M_n \cap 2\beta\Delta \neq \{0\}$ , then  $\overline{L} - n\overline{F_0} + \overline{\mathbb{O}}_X(\log(2\beta)[\infty])$  is pseudoeffective and

(6.20) 
$$\widehat{\operatorname{deg}}((\overline{L} - n\overline{F_0} + \overline{\mathbb{O}}_X(\log(2\beta)[\infty])) \cdot \overline{A}^{\cdot \dim X}) \ge 0$$

for every nef adelically metrized line bundle  $\overline{A}$  on X (Proposition 2.15(1)). Suppose that vol(A) > 0. By (6.20) and Lemma 6.8 below,

$$n \le \left(\frac{\widehat{\operatorname{deg}}(\overline{L} \cdot \overline{A}^{\cdot \dim X})}{\operatorname{vol}(A)} + \log(2\beta)\right) \frac{1}{\log(p)},$$

so  $\sharp T$  has the same upper bound. Therefore,

$$\begin{split} |\sharp \boldsymbol{w}_{F_{\bullet}}(\Gamma \setminus \{0\}) \log(p) - \log \sharp \Gamma| \\ &\leq \left(\frac{\widehat{\operatorname{deg}}(\overline{L} \cdot \overline{A}^{\cdot \dim X})}{\operatorname{vol}(A)} \log(4) + \log(4p) \log(4\beta)\right) \frac{\operatorname{rk}_{\mathbb{Z}} M}{\log(p)} \end{split}$$

for every nef adelically metrized line bundle  $\overline{A}$  on X with vol(A) > 0.

**Lemma 6.8.** Let X be a projective variety that is geometrically irreducible over K. For any  $\mathfrak{p} \in \operatorname{Spec}(O_K)$  and any nef adelically metrized line bundle  $\overline{A}$  on X,

$$\widehat{\operatorname{deg}}\big(\overline{\mathbb{O}}_X([\mathfrak{p}])\cdot\overline{A}^{\,\cdot\dim X}\big)=\operatorname{vol}(A)\log(\sharp O_K/\mathfrak{p}).$$

*Proof.* Fix a rational  $\varepsilon > 0$ . Thanks to Proposition 2.13(2), we can find a finite subset  $S \subset M_K^{\mathrm{f}}$  and  $O_K$ -models  $(\mathcal{X}', \mathcal{A}_1)$  and  $(\mathcal{X}', \mathcal{A}_2)$  of (X, A) such that the  $\mathcal{A}_i$  are relatively nef and

$$(6.21) \qquad (\mathscr{A}_{1}^{\mathrm{ad}},|\cdot|_{\infty}^{\overline{A}}) \leq \overline{A} \leq (\mathscr{A}_{2}^{\mathrm{ad}},|\cdot|_{\infty}^{\overline{A}}) \leq (\mathscr{A}_{1}^{\mathrm{ad}},|\cdot|_{\infty}^{\overline{A}}) + \varepsilon \sum_{P \in S} \overline{\mathbb{O}}_{X}([P]).$$

Let  $\pi': \mathscr{X}' \to \operatorname{Spec}(O_K)$  denote the structure morphism and fix an adelically metrized line bundle  $\overline{H}$  associated to an ample  $C^{\infty}$ -Hermitian line bundle  $\overline{\mathscr{H}}$  on  $\mathscr{X}'$ . By invariance of degree, we have

$$\widehat{\operatorname{deg}}(\overline{\mathcal{O}}_X([\mathfrak{p}]) \cdot (\overline{\mathscr{A}}_i^{\operatorname{ad}} + \delta \overline{\mathscr{H}}^{\operatorname{ad}})^{\cdot \operatorname{dim} X}) = \operatorname{vol}((\mathscr{A}_i + \delta \mathscr{H})|_{\pi'^{-1}(\mathfrak{p})}) \log(\sharp O_K/\mathfrak{p})$$
$$= \operatorname{vol}(A + \delta H) \log(\sharp O_K/\mathfrak{p})$$

for every rational  $\delta > 0$  and for i = 1, 2. Therefore, by continuity,

$$\widehat{\operatorname{deg}}\big(\overline{\operatorname{O}}_X([\mathfrak{p}])\cdot(\overline{\mathscr{A}}_i^{\operatorname{ad}})^{\cdot\operatorname{dim}X}\big)=\operatorname{vol}(A)\log(\sharp O_K/\mathfrak{p})$$

for i = 1, 2. Set  $\lambda := -(\varepsilon/[K : \mathbb{Q}]) \sum_{P \in S} \log |\varpi_P|_P$ . Then  $\overline{\mathscr{A}}_1^{\mathrm{ad}} + \overline{\mathfrak{O}}_X(\lambda[\infty])$  is nef. By Proposition 2.15(1), (2),

$$\begin{split} \widehat{\operatorname{deg}}\big(\overline{\mathbb{O}}_X([\mathfrak{p}]) \cdot (\overline{\mathscr{A}}_1^{\operatorname{ad}})^{\cdot \operatorname{dim} X}\big) &= \widehat{\operatorname{deg}}\big(\overline{\mathbb{O}}_X([\mathfrak{p}]) \cdot (\overline{\mathscr{A}}_1^{\operatorname{ad}} + \overline{\mathbb{O}}_X(\lambda[\infty]))^{\cdot \operatorname{dim} X}\big) \\ &\leq \widehat{\operatorname{deg}}\big(\overline{\mathbb{O}}_X([\mathfrak{p}]) \cdot (\overline{A} + \overline{\mathbb{O}}_X(\lambda[\infty]))^{\cdot \operatorname{dim} X}\big) \\ &= \widehat{\operatorname{deg}}\big(\overline{\mathbb{O}}_X([\mathfrak{p}]) \cdot \overline{A}^{\cdot \operatorname{dim} X}\big) \\ &\leq \widehat{\operatorname{deg}}\big(\overline{\mathbb{O}}_X([\mathfrak{p}]) \cdot (\overline{\mathscr{A}}_2^{\operatorname{ad}})^{\cdot \operatorname{dim} X}\big). \end{split}$$

Hence we get the assertion.

# §7. Numbers of restricted sections

In this section, we study the asymptotic behavior of the numbers of restricted strictly small sections in general, and show Theorem B (Theorems 7.11).

**Definition 7.1.** Let X be a projective variety over a number field K, let Y be a closed subvariety of X with number field  $K_Y := H^0(\mathcal{O}_Y)$ , and let  $\overline{L}$  be an adelically metrized line bundle on X. We set

$$\widehat{\Gamma}_{X|Y}^{?}(\overline{L}) := \operatorname{Image}(\widehat{\Gamma}^{?}(\overline{L}) \to \widehat{\Gamma}^{f}(\overline{L}|_{Y}))$$

for ? = s or ss, and set

$$\widehat{\mathrm{CL}}_{X|Y}(\overline{L}) := \mathrm{CL}_{\widehat{\Gamma}^{\mathrm{f}}(\overline{L}|_{Y})} \big(\widehat{\Gamma}^{\mathrm{ss}}_{X|Y}(\overline{L})\big),$$

where  $\widehat{\Gamma}^{\mathrm{f}}(\overline{L}|_{Y})$  is regarded as a free  $\mathbb{Z}$ -module containing  $\widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(\overline{L})$ . Moreover, we set

(7.3) 
$$\widehat{\mathbf{N}}_{X|Y}(\overline{L}) := \left\{ m \in \mathbb{N} : \widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(m\overline{L}) \neq \{0\} \right\}$$

and

(7.4) 
$$\widehat{\kappa}_{X|Y}(\overline{L}) := \begin{cases} \operatorname{tr.deg}_{K_Y} \left( \bigoplus_{m \geq 0} \langle \widehat{\Gamma}_{X|Y}^{\operatorname{ss}}(m\overline{L}) \rangle_{K_Y} \right) - 1 & \text{if } \widehat{\mathbf{N}}_{X|Y}(\overline{L}) \neq \emptyset, \\ -\infty & \text{if } \widehat{\mathbf{N}}_{X|Y}(\overline{L}) = \emptyset. \end{cases}$$

Then we define the arithmetic restricted volume of  $\overline{L}$  along Y as

(7.5) 
$$\widehat{\operatorname{vol}}_{X|Y}(\overline{L}) := \limsup_{m \to \infty} \frac{\log \sharp \widehat{\operatorname{CL}}_{X|Y}(m\overline{L})}{m^{\dim Y + 1}/(\dim Y + 1)!}.$$

- (Y-effective): We say that an adelically metrized line bundle  $\overline{L}$  is Y-effective if there exists an  $s \in \widehat{\Gamma}^s(\overline{L})$  such that  $s|_Y$  is non-zero. We write  $\overline{L}_1 \leq_Y \overline{L}_2$  if  $\overline{L}_2 \overline{L}_1$  is Y-effective.
- (Y-big): We say that an adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{L}$  is Y-big if there exist an  $a \geq 1$  and a w-ample adelically metrized line bundle  $\overline{A}$  such that  $a\overline{L} \geq_Y \overline{A}$ .
- (Y-pseudoeffective): We say that an adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{L}$  is Y-pseudoeffective if  $\overline{L} + \overline{A}$  is Y-big for every Y-big adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{A}$ . We write  $\overline{L}_1 \leq_Y \overline{L}_2$  if  $\overline{L}_2 \overline{L}_1$  is Y-pseudoeffective.

**Remark 7.2.** Let  $\overline{L}$  be an adelically metrized line bundle on X.

- (1) If  $s \in \widehat{\operatorname{CL}}_{X|Y}(m\overline{L})$  and  $t \in \widehat{\operatorname{CL}}_{X|Y}(n\overline{L})$ , then  $s \otimes t \in \widehat{\operatorname{CL}}_{X|Y}((m+n)\overline{L})$ . In fact, we can write  $s = \sum a_i s_i$  and  $t = \sum b_j t_j$ , where  $a_i, b_j \in \mathbb{Q}_{\geq 0}$  with  $\sum a_i = \sum b_j = 1$ ,  $s_i \in \widehat{\Gamma}_{X|Y}^{ss}(m\overline{L})$ , and  $t_j \in \widehat{\Gamma}_{X|Y}^{ss}(n\overline{L})$ . Then  $s \otimes t = \sum a_i b_j s_i \otimes t_j$  and  $\sum a_i b_j = 1$ .
- (2) For any subfield K' of  $K_Y$ ,

$$\operatorname{tr.deg}_{K'} \left( \bigoplus_{m > 0} \langle \widehat{\Gamma}_{X|Y}^{ss}(m\overline{L}) \rangle_{K'} \right) - 1$$

does not depend on the choice of K' and coincides with  $\widehat{\kappa}_{X|Y}(\overline{L})$ .

(3) In [22], Moriwaki defined the arithmetic restricted volume of  $\overline{L}$  along Y as

$$\widehat{\operatorname{vol}}_{X|Y}'(\overline{L}) := \limsup_{m \to \infty} \frac{\log \sharp \operatorname{CL}_{\widehat{\Gamma}^{\mathrm{f}}(m\overline{L}|_{Y})}(\widehat{\Gamma}_{X|Y}^{\mathrm{s}}(m\overline{L}))}{m^{\dim Y + 1}/(\dim Y + 1)!}.$$

Obviously,  $\widehat{\operatorname{vol}}_{X|Y}(\overline{L}) \leq \widehat{\operatorname{vol}}'_{X|Y}(\overline{L})$ , and equality holds if  $\overline{L}$  is Y-big.

**Lemma 7.3.** (1) Let  $\overline{L}_1, \overline{L}_2 \in \widehat{Pic}(X)$ . If  $\overline{L}_1 \leq_Y \overline{L}_2$ , then

$$\widehat{\operatorname{vol}}_{X|Y}(\overline{L}_1) \leq \widehat{\operatorname{vol}}_{X|Y}(\overline{L}_2) \quad and \quad \widehat{\kappa}_{X|Y}(\overline{L}_1) \leq \widehat{\kappa}_{X|Y}(\overline{L}_2).$$

(2) Suppose that X is normal and let  $\overline{L} \in \widehat{\text{Pic}}(X)$ . Let  $\varphi : X' \to X$  be a birational projective K-morphism and let Y' be a closed subvariety of X' such that  $\varphi(Y') = Y$ . Then

$$\widehat{\operatorname{vol}}_{X'|Y'}(\varphi^*\overline{L}) = \widehat{\operatorname{vol}}_{X|Y}(\overline{L}) \quad and \quad \widehat{\kappa}_{X'|Y'}(\varphi^*\overline{L}) = \widehat{\kappa}_{X|Y}(\overline{L}).$$

*Proof.* (1): An  $s \in \widehat{\Gamma}^{s}(\overline{L}_{2} - \overline{L}_{1})$  with  $s|_{Y} \neq 0$  determines for all  $m \geq 1$  injections  $\widehat{\Gamma}^{ss}_{X|Y}(m\overline{L}_{1}) \xrightarrow{\otimes (s|_{Y})^{\otimes m}} \widehat{\Gamma}^{ss}_{X|Y}(m\overline{L}_{2})$ . This yields the assertion.

(2): Since X is normal,  $H^0(L) = H^0(\varphi^*L)$  as K-vector spaces. Since  $|\cdot|_v^{\varphi^*\overline{L}}(x) = |\cdot|_v^{\overline{L}}(\varphi_v^{\mathrm{an}}(x))$  for  $x \in X_v'^{\mathrm{an}}$  and  $v \in M_K$ ,

$$(7.6) \varphi^*: \left(H^0(L), (\|\cdot\|_{v,\sup}^{\overline{L}})_{v \in M_K}\right) \xrightarrow{\sim} \left(H^0(\varphi^*L), (\|\cdot\|_{v,\sup}^{\varphi^*\overline{L}})_{v \in M_K}\right)$$

as a delically normed K-vector spaces. By considering the commutative diagram

$$H^{0}(mL|_{Y}) \xrightarrow{\sim} H^{0}(\varphi^{*}(mL)|_{Y'})$$

$$\uparrow \qquad \qquad \uparrow \qquad \qquad \uparrow$$

$$H^{0}(mL) \xrightarrow{\sim} H^{0}(\varphi^{*}(mL))$$

we find that  $\widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(m\overline{L}) = \widehat{\Gamma}_{X'|Y'}^{\mathrm{ss}}(\varphi^*(m\overline{L}))$  for every  $m \geq 1$ .

Set 
$$H^0_{X|Y}(L) := \operatorname{Image}(H^0(L) \to H^0(L|_Y)),$$

(7.7) 
$$\mathbf{N}_{X|Y}(L) := \{ m \in \mathbb{N} : H^0_{X|Y}(mL) \neq \{0\} \},$$

and

(7.8) 
$$\kappa_{X|Y}(L) := \begin{cases} \operatorname{tr.deg}_{K_Y}(\bigoplus_{m \ge 0} \langle H_{X|Y}^0(mL) \rangle_{K_Y}) - 1 & \text{if } \mathbf{N}_{X|Y}(L) \ne \emptyset, \\ -\infty & \text{if } \mathbf{N}_{X|Y}(L) = \emptyset. \end{cases}$$

Lemma 7.4. We have

$$\widehat{\kappa}_{X|Y}(\overline{L}) = \begin{cases} \kappa_{X|Y}(L) & \text{if } Y \not\subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L}), \\ -\infty & \text{if } Y \subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L}). \end{cases}$$

*Proof.* We can assume that  $Y \not\subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$  and the inequality  $\leq$  is obvious. Since  $\kappa_{X|Y}(aL) = \kappa_{X|Y}(L)$  and  $\widehat{\kappa}_{X|Y}(a\overline{L}) = \widehat{\kappa}_{X|Y}(\overline{L})$  for every  $a \geq 1$ , we can also

assume that  $\widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(\overline{L}) \ni s \neq 0$ . Set

$$E_1 := \left\{ \frac{\phi}{\psi} \in \operatorname{Rat}(Y) : \exists m \ge 1 \text{ such that } \phi(s|_Y)^{\otimes m}, \psi(s|_Y)^{\otimes m} \in \langle H_{X|Y}^0(mL) \rangle_{K_Y} \right\},$$

$$E_2 := \left\{ \frac{\phi}{\psi} \in \operatorname{Rat}(Y) : \exists m \ge 1 \text{ such that } \phi(s|_Y)^{\otimes m}, \psi(s|_Y)^{\otimes m} \in \langle \widehat{\Gamma}_{X|Y}^{\operatorname{ss}}(m\overline{L}) \rangle_{K_Y} \right\}.$$

Then

$$E_1(T) \to \operatorname{Frac}\Bigl(\bigoplus_{m \geq 0} \langle H^0_{X|Y}(mL) \rangle_{K_Y}\Bigr), \quad \ Q(T) \mapsto Q(s|_Y),$$

and

$$E_2(T) \to \operatorname{Frac}\Bigl(\bigoplus_{m>0} \langle \widehat{\Gamma}^{\operatorname{ss}}(m\overline{L}) \rangle_{K_Y} \Bigr), \quad Q(T) \mapsto Q(s|_Y),$$

give isomorphisms of fields of finite type over  $K_Y$ . So what we have to show is  $E_1 = E_2$ . Given any  $t \in \langle H^0_{X|Y}(mL) \rangle_{K_Y}$ , we have  $t \otimes (s|_Y)^{\otimes p} \in \langle \widehat{\Gamma}^{\mathrm{ss}}_{X|Y}(m\overline{L}) \rangle_{K_Y}$  for sufficiently large  $p \geq 1$ . This yields the assertion.

Let X be a projective variety over K, let Y be a closed subvariety of X, and let  $\overline{L}$  be an adelically metrized line bundle on X. Set  $K_Y := H^0(\mathcal{O}_Y)$  and  $\overline{M} := \overline{L}|_Y$ . In the rest of this section, we fix models of X and (Y, M) as follows. By Lemma 2.7(1), there exists an  $O_K$ -model  $(\mathcal{X}, \mathcal{L})$  of (X, L) such that  $\mathcal{X}$  is relatively normal in X and  $\mathcal{L}$  is a line bundle on  $\mathcal{X}$ . Let  $\mathcal{Y}$  be the Zariski closure of Y in  $\mathcal{X}$  and let  $\mathcal{M} := \mathcal{L}|_{\mathscr{Y}}$ . By Lemma 2.7(2), one can find a non-empty open subset  $U_0$  of  $\operatorname{Spec}(O_K)$  such that  $(\mathcal{Y}_{U_0}, \mathcal{M}_{U_0})$  gives a  $U_0$ -model of definition for  $\overline{M}^{\{\infty\}}$ , where we have set

$$\mathscr{Y}_{U_0} := \mathscr{Y} \times_{\operatorname{Spec}(O_K)} U_0.$$

By Lemma 2.7 and Remark 2.10(2), one can also find a non-empty open subset U of  $\operatorname{Spec}(O_{K_Y})$  such that the morphism  $\operatorname{Spec}(O_{K_Y}) \to \operatorname{Spec}(H^0(\mathcal{O}_{\mathscr{Y}}))$  is isomorphic over U, U is mapped into  $U_0$  via  $\operatorname{Spec}(O_{K_Y}) \to \operatorname{Spec}(O_K)$ , and  $(\mathscr{Y}_U, \mathscr{M}_U)$  gives a U-model of definition for  $\overline{M}^{\{\infty\}}$ , where we have set

$$\mathscr{Y}_{U} := \mathscr{Y} \times_{\operatorname{Spec}(H^{0}(\mathcal{O}_{\mathscr{U}}))} U \subset \mathscr{Y}_{U_{0}}.$$

Let  $\nu: \mathscr{Y}' \to \mathscr{Y}$  be a relative normalization in Y. Then  $\mathscr{Y}' \to \operatorname{Spec}(\mathfrak{O}_{\mathscr{Y}})$  factorizes through  $\operatorname{Spec}(O_{K_Y})$  (see [13, (6.3.3)]). We fix a good flag

$$(7.11) F_{\bullet}: \mathscr{Y}'_{U} \supset F_{0} \supset F_{1} \supset \cdots \supset F_{\dim Y} = \{\xi\}$$

on  $\mathscr{Y}'_U$  over a prime number p.

**Definition 7.5.** As in (6.4), for each  $m \ge 1$  we denote the valuation map associated to  $F_{\bullet}$  by  $\mathbf{w}_{F_{\bullet}} : \widehat{\Gamma}^{\mathrm{f}}(m\overline{M}) \to H^0(\nu^*(m\mathcal{M}_U)) \to \mathbb{Z}^{\dim Y+1}$ . Let

$$\widehat{S}_{X|Y}(\overline{L}) := \left\{ (m, \boldsymbol{w}_{F_{\bullet}}(s)) : m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L}), \, s \in \widehat{\Gamma}^{\mathrm{ss}}_{X|Y}(m\overline{L}) \setminus \{0\} \right\} \subset \mathbb{N} \times \mathbb{Z}^{\dim Y + 1}.$$

Let  $\operatorname{pr}_1: \mathbb{R} \times \mathbb{R}^{\dim Y+1} \to \mathbb{R}$  and  $\operatorname{pr}_2: \mathbb{R} \times \mathbb{R}^{\dim Y+1} \to \mathbb{R}^{\dim Y+1}$  be the natural projections, and set  $\widehat{S}_{X|Y}(\overline{L})_m := \widehat{S}_{X|Y}(\overline{L}) \cap \operatorname{pr}_1^{-1}(m)$  for  $m \geq 1$ . The base  $\widehat{S}_{X|Y}(\overline{L})$  is defined as

$$\widehat{\Delta}_{X|Y}(\overline{L}) := \overline{\left(\bigcup_{m \geq 1} \frac{1}{m} \widehat{S}_{X|Y}(\overline{L})_m\right)} \subset \mathbb{R}^{\dim Y + 1},$$

and the affine space in  $\mathbb{R}^{\dim Y+1}$  spanned by  $\widehat{\Delta}_{X|Y}(\overline{L})$  is

$$\operatorname{Aff}(\widehat{S}_{X|Y}(\overline{L})) := \operatorname{pr}_2(\langle \widehat{S}_{X|Y}(\overline{L}) \rangle_{\mathbb{R}} \cap \operatorname{pr}_1^{-1}(1)).$$

The underlying  $\mathbb{R}$ -vector space  $\overrightarrow{\mathrm{Aff}}(\widehat{S}_{X|Y}(\overline{L})) = \mathrm{pr}_2(\langle \widehat{S}_{X|Y}(\overline{L}) \rangle_{\mathbb{R}} \cap \mathrm{pr}_1^{-1}(0))$  has the natural integral structure defined by

$$\overrightarrow{\mathrm{Aff}}_{\mathbb{Z}}(\widehat{S}_{X|Y}(\overline{L})) := \mathrm{pr}_{2}\big(\langle \widehat{S}_{X|Y}(\overline{L})\rangle_{\mathbb{Z}} \cap \mathrm{pr}_{1}^{-1}(0)\big)$$

(see [3] for details).

If  $\widehat{\kappa}_{X|Y}(\overline{L}) = \dim Y$ , then we define  $|\widehat{S}_{X|Y}(\overline{L})|$  to be the volume of the fundamental domain of  $\overrightarrow{\mathrm{Aff}}_{\mathbb{Z}}(\widehat{S}_{X|Y}(\overline{L})) \subset \mathbb{R}^{\dim Y + 1}$  measured by  $\mathrm{vol}_{\mathbb{R}^{\dim Y + 1}}$ , and if  $\widehat{\kappa}_{X|Y}(\overline{L}) < \dim Y$ , then we set  $|\widehat{S}_{X|Y}(\overline{L})| := 0$ .

**Lemma 7.6.** Suppose that  $Y \not\subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$ , or equivalently  $\widehat{\kappa}_{X|Y}(\overline{L}) \geq 0$ .

(1) For every sufficiently large  $m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L})$ ,

$$\widehat{\kappa}_{X|Y}(\overline{L}) = \max_{m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L})} \dim \widehat{\boldsymbol{\Phi}}_{m\overline{L},K}(Y) = \dim \widehat{\boldsymbol{\Phi}}_{m\overline{L},K}(Y).$$

(2) Suppose that there exist an  $m_0 \geq 1$  and an  $s_0 \in \widehat{\Gamma}^{ss}(m_0\overline{L})$  such that the restriction  $s_0|_Y \in \widehat{\Gamma}^{ss}(m_0\overline{L}|_Y) \subset H^0(m_0\mathcal{M}_U)$  does not vanish at the center  $\xi$  of  $F_{\bullet}$ . Then

$$\dim_{\mathbb{R}}\langle \widehat{S}_{X|Y}(\overline{L})\rangle_{\mathbb{R}} = \widehat{\kappa}_{X|Y}(\overline{L}) + 2.$$

Proof. (1): We have

(7.12) 
$$\widehat{\kappa}_{X|Y}(\overline{L}) = \dim \overline{\mathrm{Image}(\widehat{\Phi}_{m,K} : Y \dashrightarrow \mathbb{P}_K(\langle \widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(m\overline{L}) \rangle_K))}^{\mathrm{Zar}}$$

for every sufficiently large  $m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L})$  by applying the arguments in [5, Lemma 2.3] and [11, §8.2.1, Theorem A] (see also [3, Théorème 3.15]). Since

 $\langle \widehat{\Gamma}^{\rm ss}(m\overline{L}) \rangle_K \to \langle \widehat{\Gamma}^{\rm ss}_{X|Y}(m\overline{L}) \rangle_K$  is surjective, we have a commutative diagram

$$X - -\frac{\widehat{\Phi}_{m\overline{L},K}}{-} \to \mathbb{P}_{K}(\langle \widehat{\Gamma}^{ss}(m\overline{L}) \rangle_{K})$$

$$\uparrow \qquad \qquad \uparrow$$

$$Y - -\frac{\widehat{\Phi}_{m,K}}{-} \to \mathbb{P}_{K}(\langle \widehat{\Gamma}^{ss}_{X|Y}(m\overline{L}) \rangle_{K})$$

and  $\widehat{\Phi}_{m,K}$  is the restriction of  $\widehat{\Phi}_{m\overline{L},K}$  to Y for each  $m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L})$ .

(2): We write  $\kappa := \widehat{\kappa}_{X|Y}(\overline{L})$ . Let  $\mathscr{M}'$  be a line bundle on  $\mathscr{Y}'$  such that  $(\mathscr{Y}'_{U_0}, \mathscr{M}'_{U_0})$  is a  $U_0$ -model of definition for  $\overline{M}^{\{\infty\}}$  and  $\overline{M} \leq \overline{\mathscr{M}'}^{\mathrm{ad}}$ . For every  $a \geq 1$ , we then have  $\widehat{\Gamma}^{\mathrm{f}}(a\overline{M}) \subset H^0(a\mathscr{M}')$ . We choose an  $a \gg 1$  such that  $m_0$  divides a,  $\widehat{\mathrm{Bs}}^{\mathrm{ss}}(a\overline{L}) = \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$ , and the Zariski closure of  $\widehat{\Phi}_{a,K}(Y)$  has Krull dimension  $\kappa$  (see (1)). Let  $s \in \widehat{\Gamma}^{\mathrm{ss}}(a\overline{L})$  be the tensor power of  $s_0$ . The Kodaira map

$$\widehat{\Phi}_{a,K}: Y \dashrightarrow Q := \mathbb{P}_K(\langle \widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(a\overline{L}) \rangle_K)$$

extends to a Kodaira map

$$\widehat{\Phi}_{a,O_K}: \mathscr{Y}' \dashrightarrow \mathscr{Q} \subset \mathscr{P},$$

where  $\mathscr{Q}:=\mathbb{P}_{O_K}(\langle\widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(a\overline{L})\rangle_{O_K})$  and  $\mathscr{P}:=\mathbb{P}_{O_K}(\langle\widehat{\Gamma}^{\mathrm{ss}}(a\overline{L})\rangle_{O_K})$ . By the hypothesis,  $\widehat{\Phi}_{a,O_K}$  is defined at  $\xi$ . Let  $\mathscr{H}$  be the hyperplane line bundle on  $\mathscr{P}$ . For every  $b\geq 1$ , we then have  $H^0(b\mathscr{H})=\mathrm{Sym}_{O_K}^b\langle\widehat{\Gamma}^{\mathrm{ss}}(a\overline{L})\rangle_{O_K}$ .

Let  $\mathscr{Z}$  be the Zariski closure of  $\widehat{\Phi}_{a,O_K}(\mathscr{Y}')$  and let  $\mathscr{Z}_s := \{y \in \mathscr{Z} : s(y) \neq 0\}$ . Let E be the field of rational functions on  $\mathscr{Z}$  and let  $R := H^0(\mathcal{O}_{\mathscr{Z}_s})$ . Note that  $\mathcal{O}_{\mathscr{Z}_s} \xrightarrow{\sim} \mathscr{H}|_{\mathscr{Z}_s}$ ,  $\phi \mapsto \phi(s|_{\mathscr{Z}_s})$ , is isomorphic. Since the field of fractions of R is E, and E is a subextension of  $\mathrm{Rat}(Y)/K$ , one can find, by Lemma 6.6(3),  $\phi_0, \ldots, \phi_\kappa \in R \setminus \{0\}$  such that  $\mathbf{w}_{F_{\bullet}}(\phi_0), \ldots, \mathbf{w}_{F_{\bullet}}(\phi_\kappa)$  are  $\mathbb{Z}$ -linearly independent. For some  $b \gg 1$ ,  $\phi_i(s|_{\mathscr{Z}})^{\otimes b}$  extends to a global section of  $b\mathscr{H}|_{\mathscr{Z}}$  for every i, and the restriction  $H^0(b\mathscr{H}) \to H^0(b\mathscr{H}|_{\mathscr{Z}})$  is surjective.

For each i, we choose a lift of  $\phi_i(s|_{\mathscr{Z}})^{\otimes b} \in H^0(b\mathscr{H}|_{\mathscr{Z}})$  to  $H^0(b\mathscr{H})$ , and let  $e_i$  be the image of the lift via

$$H^0(b\mathscr{H}) = \operatorname{Sym}_{O_K}^b \langle \widehat{\Gamma}^{\operatorname{ss}}(a\overline{L}) \rangle_{O_K} \to \langle \widehat{\Gamma}^{\operatorname{ss}}(ab\overline{L}) \rangle_{O_K}.$$

By tensoring by s, we have  $e'_i := e_i \otimes s^{\otimes c} \in \widehat{\Gamma}^{ss}(a(b+c)\overline{L})$  for every i. The restriction  $s|_Y \in \widehat{\Gamma}^{ss}(a\overline{M}) \subset H^0(a\mathcal{M}')$  gives a local frame of  $a\mathcal{M}'$  on the open neighborhood  $\mathscr{Y}'_s$  of  $\xi$ . By construction,

$$(a, \mathbf{w}_{F_{\bullet}}(s|_{Y})), (a(b+c), \mathbf{w}_{F_{\bullet}}(e'_{0}|_{Y})), \ldots, (a(b+c), \mathbf{w}_{F_{\bullet}}(e'_{\kappa}|_{Y}))$$

are, respectively, equal to

$$(a, 0, \ldots, 0), (a(b+c), \mathbf{w}_{F_{\bullet}}(\phi_0)), \ldots, (a(b+c), \mathbf{w}_{F_{\bullet}}(\phi_{\kappa})).$$

So they are  $\mathbb{Z}$ -linearly independent.

**Lemma 7.7.** Let Y be a closed subvariety of X and let  $\overline{L}$  be an adelically metrized line bundle on X.

- (1) If  $\overline{L}$  is Y-big, then  $\widehat{\mathbf{N}}_{X|Y}(\overline{L}) \supset \{m \in \mathbb{N} : m \geq l_0\}$  for some  $l_0 \geq 1$ .
- (2) The following are equivalent:
  - (a)  $\overline{L}$  is Y-big.
  - (b) Given any adelically metrized line bundle  $\overline{N}$ ,  $m\overline{L} + \overline{N}$  is Y-effective for every  $m \gg 1$ .
  - (c)  $Y \not\subset \widehat{\mathbf{B}}_{+}(\overline{L})$ .
- (3) If  $\overline{L}$  is Y-big, then  $\widehat{\kappa}_{X|Y}(\overline{L}) = \dim Y$ .
- (4) If  $\overline{L}$  is Y-big, then  $\widehat{S}_{X|Y}(\overline{L})$  generates  $\mathbb{Z} \times \mathbb{Z}^{\dim Y+1}$ .

*Proof.* (1): There exist a free and w-ample adelically metrized line bundle  $\overline{A}$  and an  $a \geq 1$  such that  $a\overline{L} \geq_Y \overline{A}$ . By Lemma 5.3(1), there exists a  $b \geq 1$  such that  $\overline{L} + b\overline{A}$  is free. Thus  $a\overline{L}$  and  $(ab+1)\overline{L}$  are both Y-effective. The assertion follows from the following claim.

**Claim 7.8.** Let  $a, b \in \mathbb{N}$  be coprime positive integers. Then there exists a  $c_0 \gg 1$  such that

$$\{ax + by : x, y \in \mathbb{N}\} \supset \{c \in \mathbb{N} : c \ge c_0\}.$$

Proof of Claim 7.8. We may assume that a, b > 1, and  $ax_0 - by_0 = 1$  for some  $x_0, y_0 \in \mathbb{N}$ . For every  $0 \le c < b$  and every  $y \ge cy_0$ , we have

$$c + by = c(ax_0 - by_0) + by \in \{ax + by : x, y \in \mathbb{N}\}.$$

So we can set  $c_0 := b(b-1)y_0$ .

- (2): (b) $\Rightarrow$ (a) is clear.
- (a) $\Rightarrow$ (b): There exist an  $a \geq 1$  and a w-ample adelically metrized line bundle  $\overline{A}$  such that  $a\overline{L} \geq_Y \overline{A}$ . By Lemma 5.3(1),  $m\overline{A} + \overline{N}$  is free for every  $m \gg 1$ . So  $ma\overline{L} + \overline{N}$  is Y-effective for every  $m \gg 1$ . By (1),  $(ma + r)\overline{L}$  is Y-effective for  $r = 0, 1, \ldots, a 1$  and every  $m \gg 1$ . Thus  $(ma + r)\overline{L} + \overline{N}$  is Y-effective for  $r = 0, 1, \ldots, a 1$  and every  $m \gg 1$ .
- (a) $\Rightarrow$ (c): There exist an  $a \geq 1$  and a w-ample adelically metrized line bundle  $\overline{A}$  such that  $a\overline{L} \geq_Y \overline{A}$ . Then  $Y \not\subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L} (1/a)\overline{A})$ .

(c) $\Rightarrow$ (a): There exists a w-ample adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{A}$  such that  $Y \not\subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L} - \overline{A})$ . Thus there exists an  $a \geq 1$  such that  $a\overline{L} \geq_Y a\overline{A}$ .

- (3): By Lemmas 3.7, 7.6, and assertions (1), (2),  $\widehat{\kappa}_{X|Y}(\overline{L}) = \dim \widehat{\Phi}_{m\overline{L},K}(Y) = \dim Y$  for every sufficiently large  $m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L})$ .
- (4): Let  $\mathscr{X}$  be the  $O_K$ -model of X fixed before. By Lemma 6.6(1), one can find an ample line bundle  $\mathscr{A}$  on  $\mathscr{X}$ , and

$$s_0, s_1, \dots, s_{\dim Y + 1} \in H^0(\mathscr{A}), \quad s \in \widehat{\Gamma}^{\mathrm{f}}(\overline{L}^{\{\infty\}} + \mathscr{A}^{\mathrm{ad}})$$

such that  $H^0(\mathscr{A}) \to H^0(\mathscr{A}|_{\mathscr{Y}})$  is surjective,

$$w_{F_{\bullet}}(s_0|_Y) = (0, \dots, 0),$$
  
 $w_{F_{\bullet}}(s_1|_Y) = (1, 0, \dots, 0), \dots, w_{F_{\bullet}}(s_{\dim Y+1}|_Y) = (0, \dots, 0, 1)$ 

in  $\mathbb{Z}^{\dim Y+1}$ , and s does not vanish at the center of  $F_{\bullet}$ . One can choose a suitable metric on  $\mathscr{A}$  such that  $s_0, \ldots, s_{\dim Y+1} \in \widehat{\Gamma}^{\mathrm{ss}}(\overline{\mathscr{A}}^{\mathrm{ad}})$  and  $s \in \widehat{\Gamma}^{\mathrm{ss}}(\overline{L} + \overline{\mathscr{A}}^{\mathrm{ad}})$ . Set  $\overline{A} := \overline{\mathscr{A}}^{\mathrm{ad}}$ . By (2), there exist an  $a \geq 1$  and a  $t \in \widehat{\Gamma}^{\mathrm{s}}(a\overline{L} - \overline{A})$  such that  $t|_Y \neq 0$ . Then

$$(a+1, \boldsymbol{w}_{F_{\bullet}}((s\otimes t)|_{Y})) - (a, \boldsymbol{w}_{F_{\bullet}}((s_{0}\otimes t)|_{Y})) = (1, 0, \dots, 0) \in \mathbb{Z} \times \mathbb{Z}^{\dim Y + 1}$$

and

$$(a, \boldsymbol{w}_{F_{\bullet}}((s_i \otimes t)|_Y)) - (a, \boldsymbol{w}_{F_{\bullet}}((s_0 \otimes t)|_Y)) = (0, \dots, 0, \overset{i}{1}, 0, \dots, 0) \in \mathbb{Z} \times \mathbb{Z}^{\dim Y + 1}$$
for  $i = 1, \dots, \dim Y + 1$ .

**Proposition 7.9.** (1)  $\widehat{\Delta}_{X|Y}(\overline{L})$  is a compact convex body in  $\overrightarrow{\mathrm{Aff}}(\widehat{S}_{X|Y}(\overline{L}))$ .

(2) Let  $\operatorname{vol}_{\widehat{S}_{X|Y}(\overline{L})}$  be the Euclidean measure on  $\operatorname{Aff}(\widehat{S}_{X|Y}(\overline{L}))$  normalized by the integral structure  $\overrightarrow{\operatorname{Aff}}_{\mathbb{Z}}(\widehat{S}_{X|Y}(\overline{L}))$ . Then

$$(7.13) \qquad \operatorname{vol}_{\widehat{S}_{X|Y}(\overline{L})}(\widehat{\Delta}_{X|Y}(\overline{L})) = \lim_{\substack{m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L}) \\ m \to \infty}} \frac{\sharp \boldsymbol{w}_{F_{\bullet}}(\widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(m\overline{L}) \setminus \{0\})}{m^{\widehat{\kappa}_{X|Y}(\overline{L}) + 1}} \in \mathbb{R}_{>0}.$$

*Proof.* Let  $\overline{F_0}:=\overline{\mathbb{O}}_Y([\mathfrak{p}])$  (Remark 2.10) and choose an adelically metrized line bundle  $\overline{A}:=\overline{\mathscr{A}}^{\mathrm{ad}}$  that is associated to an ample  $C^{\infty}$ -Hermitian line bundle  $\overline{\mathscr{A}}$  on  $\mathscr{Y}'$ . For any non-zero section  $s\in\widehat{\Gamma}^{\mathrm{s}}(m\overline{M})\setminus\{0\},\ m\overline{M}-w_0(s)\overline{F_0}$  is pseudoeffective. Thus, by Proposition 2.15(1),

$$\frac{w_0(s)}{m} \leq \frac{\widehat{\deg}(\overline{M} \cdot \overline{A}^{\cdot \dim Y})}{\deg((\mathscr{A}|_{F_0})^{\cdot \dim Y})} =: b'.$$

On the other hand, by using a general result [3, Lemme 3.5], one can find a constant b'' > 0 such that

$$w_i(s) \le (m_1 + m_2)b''$$

for  $i=1,\ldots,\dim Y,\ m_1,m_2\geq 1$ , and every  $s\in H^0(\nu^*(m_1\mathcal{M}_U)|_{F_0}+m_2\mathcal{O}_{\mathscr{Y}_U'}(-F_0)|_{F_0})\setminus\{0\}$ . Set b:=(1+b')b''. Then  $w_i(s)\leq mb$  for  $i=0,\ldots,\dim Y,$   $m\geq 1$ , and every  $s\in \widehat{\Gamma}^s(m\overline{M})\setminus\{0\}$ . The last formula (7.13) follows from (1), Lemma 7.6(2), and [3, Théorème 1.12].

We write

$$\widehat{R}_{X|Y}(\overline{L})_{\bullet} := \bigoplus_{m>0} \langle \widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(m\overline{L}) \rangle_{K_Y}.$$

Then  $\kappa(\widehat{R}_{X|Y}(\overline{L})_{\bullet}) = \widehat{\kappa}_{X|Y}(\overline{L})$ . By arguing over an algebraic closure of  $K_Y$  and applying [3, Théorème 3.7] (or [17, Corollary 3.11]), the sequence

(7.14) 
$$\left( \frac{\dim_{K_Y} \widehat{R}_{X|Y}(\overline{L})_m}{m^{\widehat{\kappa}_{X|Y}(\overline{L})}/\widehat{\kappa}_{X|Y}(\overline{L})!} \right)_{m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L})}$$

converges to a positive real number  $e(\widehat{R}_{X|Y}(\overline{L})_{\bullet})$ .

## Proposition 7.10. Set

$$D = D_{X|Y}(\overline{L}) := \log(4)[K_Y : \mathbb{Q}]\delta(\overline{L}|_Y) \cdot \frac{e(\widehat{R}_{X|Y}(\overline{L})_{\bullet})}{\widehat{\kappa}_{X|Y}(\overline{L})!}$$

(see (6.5) for definition of  $\delta(\overline{L}|_Y)$ ). Suppose that there exists an  $s_0 \in \widehat{\Gamma}^{\mathrm{ss}}_{X|Y}(m_0\overline{L}) \setminus \{0\}$  for an  $m_0 \geq 1$ . Let  $\Psi := \{y \in \mathscr{Y}_U' : s_0(y) = 0\}$  and let  $F_{\bullet}$  be a  $\Psi$ -good flag on  $\mathscr{Y}_U'$  over a prime number p. Then

$$\limsup_{\substack{m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L}) \\ m \to \infty}} \left| \frac{\log \sharp \widehat{\mathrm{CL}}_{X|Y}(m\overline{L})}{m^{\widehat{\kappa}_{X|Y}(\overline{L})+1}} - \frac{\sharp \boldsymbol{w}_{F_{\bullet}}(\widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(m\overline{L}) \setminus \{0\}) \log(p)}{m^{\widehat{\kappa}_{X|Y}(\overline{L})+1}} \right| \leq \frac{D}{\log(p)}.$$

Proof. Set

$$\begin{split} D_m := \left(\log(4)\delta(m\overline{L}|_Y) + \log(4p)\log(4p\dim_{K_Y}\langle\widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(m\overline{L})\rangle_{K_Y})\right) \\ & \times \left[K_Y : \mathbb{Q}\right] \dim_{K_Y}\langle\widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(m\overline{L})\rangle_{K_Y}. \end{split}$$

Then, by Theorem 6.7 and Lemma 6.2,

$$(7.15) \left| \log \sharp \widehat{\mathrm{CL}}_{X|Y}(m\overline{L}) - \sharp \boldsymbol{w}_{F_{\bullet}}(\widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(m\overline{L}) \setminus \{0\}) \log(p) \right| \leq \frac{D_m}{\log(p)}.$$

Given any  $\varepsilon > 0$ ,

$$(7.16) \qquad \dim_{K_Y} \langle \widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(m\overline{L}) \rangle_{K_Y} \leq \frac{e(\widehat{R}_{X|Y}(\overline{L})_{\bullet}) + \varepsilon}{\widehat{\kappa}_{X|Y}(\overline{L})!} m^{\widehat{\kappa}_{X|Y}(\overline{L})}$$

for every sufficiently large  $m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L})$  by the arguments above. Therefore, given any  $\varepsilon > 0$ ,  $D_m \leq (D + \varepsilon) m^{\widehat{\kappa}_{X|Y}(\overline{L}) + 1}$  for every sufficiently large  $m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L})$ .  $\square$ 

**Theorem 7.11.** Let X be a projective variety over a number field and let Y be a closed subvariety of X.

(1) For every adelically metrized line bundle  $\overline{L}$  on X with  $\widehat{\kappa}_{X|Y}(\overline{L}) \geq 0$ , the sequence

$$\left(\frac{\log \sharp \widehat{\mathrm{CL}}_{X|Y}(m\overline{L})}{m^{\widehat{\kappa}_{X|Y}(\overline{L})+1}}\right)_{m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L})}$$

converges to a positive real number.

(2) Let  $\overline{L}$  be an adelically metrized line bundle on X such that either  $\overline{L}$  is Y-big or  $\widehat{\kappa}_{X|Y}(\overline{L}) < \dim Y$ . Then the sequence

$$\left(\frac{\log \sharp \widehat{\operatorname{CL}}_{X|Y}(m\overline{L})}{m^{\dim Y+1}/(\dim Y+1)!}\right)_{m>1}$$

converges to  $\widehat{\operatorname{vol}}_{X|Y}(\overline{L})$ .

**Definition 7.12.** Suppose that  $\widehat{\kappa}_{X|Y}(\overline{L}) \geq 0$ . We define the arithmetic multiplicity of  $\overline{L}$  along Y as

$$\widehat{e}_{X|Y}(\overline{L}) := \lim_{\substack{m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L}) \\ m \to \infty}} \frac{\log \sharp \widehat{\mathrm{CL}}_{X|Y}(m\overline{L})}{m^{\widehat{\kappa}_{X|Y}(\overline{L}) + 1}/(\widehat{\kappa}_{X|Y}(\overline{L}) + 1)!} \in \mathbb{R}_{\geq 0}.$$

By Theorem 7.11(1), there exists a positive constant c > 0 such that

$$c^{-1}m^{\widehat{\kappa}_{X|Y}(\overline{L})+1} \leq \log \sharp \widehat{\mathrm{CL}}_{X|Y}(m\overline{L}) \leq cm^{\widehat{\kappa}_{X|Y}(\overline{L})+1}$$

for every  $m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L})$ .

Proof of Theorem 7.11. (1): Let  $D:=D_{X|Y}(\overline{L})$  be as in Proposition 7.10. We can find an  $s_0 \in \widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(m_0\overline{L}) \setminus \{0\}$  for an  $m_0 \geq 1$ . Set  $\Psi := \{y \in \mathscr{Y}_U' : s_0(y) = 0\}$ . Given any  $\varepsilon > 0$ , we can find a prime number p such that there exists a  $\Psi$ -good flag  $F_{\bullet}$  on  $\mathscr{Y}_U'$  over p and

$$(7.17) \frac{D}{\log(p)} \le \varepsilon$$

(Lemma 6.5). By Propositions 7.10 and 7.9, we have

$$0 \leq \limsup_{\substack{m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L}) \\ m \to \infty}} \frac{\log \sharp \widehat{\mathrm{CL}}_{X|Y}(m\overline{L})}{m^{\widehat{\kappa}_{X|Y}(\overline{L})+1}} - \liminf_{\substack{m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L}) \\ m \to \infty}} \frac{\log \sharp \widehat{\mathrm{CL}}_{X|Y}(m\overline{L})}{m^{\widehat{\kappa}_{X|Y}(\overline{L})+1}} \leq 2\varepsilon.$$

This implies the assertion.

(2): If  $\widehat{\Gamma}_{X|Y}^{\mathrm{ss}}(m\overline{L}) = \{0\}$  for every m, then the assertion is obvious. If  $\overline{L}$  is Y-big, then the assertion is nothing but (1) (see Lemma 7.7(1)). If  $0 \leq \widehat{\kappa}_{X|Y}(\overline{L}) < \dim Y$ , then by (1),

$$0 \leq \frac{\log \sharp \widehat{\mathrm{CL}}_{X|Y}(m\overline{L})}{m^{\dim Y + 1}} = \frac{\log \sharp \widehat{\mathrm{CL}}_{X|Y}(m\overline{L})}{m^{\widehat{\kappa}_{X|Y}(\overline{L}) + 1}} \times \left(\frac{1}{m}\right)^{\dim Y - \widehat{\kappa}_{X|Y}(\overline{L})} \to 0. \qquad \Box$$

**Theorem 7.13.** Let X be a projective variety over a number field, let Y be a closed subvariety of X, and let  $\overline{L}, \overline{M}$  be adelically metrized line bundles on X.

(1) If  $\widehat{\kappa}_{X|Y}(\overline{L}) \geq 0$ , then for every integer  $a \geq 1$ ,

$$\widehat{e}_{X|Y}(a\overline{L}) = a^{\widehat{\kappa}_{X|Y}(\overline{L}) + 1} \cdot \widehat{e}_{X|Y}(\overline{L}).$$

(2) For every integer  $a \ge 1$ ,

$$\widehat{\operatorname{vol}}_{X|Y}(a\overline{L}) = a^{\dim Y + 1} \cdot \widehat{\operatorname{vol}}_{X|Y}(\overline{L}).$$

(3) If  $\widehat{\kappa}_{X|Y}(\overline{L}) = \dim Y$  and  $\widehat{\kappa}_{X|Y}(\overline{M}) \geq 0$ , then  $\widehat{\kappa}_{X|Y}(\overline{L} + \overline{M}) = \dim Y$  and

$$\begin{split} & \left( |\widehat{S}_{X|Y}(\overline{L} + \overline{M})| \cdot \widehat{\operatorname{vol}}_{X|Y}(\overline{L} + \overline{M}) \right)^{1/(\dim Y + 1)} \\ & \geq \left( |\widehat{S}_{X|Y}(\overline{L})| \cdot \widehat{\operatorname{vol}}_{X|Y}(\overline{L}) \right)^{1/(\dim Y + 1)} + \left( |\widehat{S}_{X|Y}(\overline{M})| \cdot \widehat{\operatorname{vol}}_{X|Y}(\overline{M}) \right)^{1/(\dim Y + 1)}, \end{split}$$

where  $|\widehat{S}_{X|Y}(\cdot)|$  is defined in Definition 7.5.

*Proof.* Note that  $\widehat{\kappa}_{X|Y}(a\overline{L}) = \widehat{\kappa}_{X|Y}(\overline{L})$  by Lemma 7.6(1). Since

$$\lim_{\substack{m \in \widehat{\mathbf{N}}_{X|Y}(a\overline{L}) \\ m \to \infty}} \frac{\log \sharp \widehat{\mathrm{CL}}_{X|Y}(ma\overline{L})}{m^{\widehat{\kappa}_{X|Y}(a\overline{L})+1}} = a^{\widehat{\kappa}_{X|Y}(\overline{L})+1} \cdot \lim_{\substack{m \in \widehat{\mathbf{N}}_{X|Y}(a\overline{L}) \\ m \to \infty}} \frac{\log \sharp \widehat{\mathrm{CL}}_{X|Y}(ma\overline{L})}{(ma)^{\widehat{\kappa}_{X|Y}(\overline{L})+1}},$$

we obtain (1).

- (2): If  $\widehat{\kappa}_{X|Y}(\overline{L}) < \dim Y$ , then both sides are zero. If  $\widehat{\kappa}_{X|Y}(\overline{L}) = \dim Y$ , then the assertion is nothing but (1).
- (3): The first assertion follows from Lemma 7.3(1). If  $\widehat{\kappa}_{X|Y}(\overline{M}) < \dim Y$ , then  $\widehat{\mathrm{vol}}_{X|Y}(\overline{M}) = 0$  and the assertion is clear by Lemma 7.3(1). Suppose that  $\widehat{\kappa}_{X|Y}(\overline{M}) = \dim Y$ . Let  $D_{X|Y}(\overline{L} + \overline{M})$ ,  $D_{X|Y}(\overline{L})$ , and  $D_{X|Y}(\overline{M})$  be as in Proposition 7.10, and set  $D := \max\{D_{X|Y}(\overline{L} + \overline{M}), D_{X|Y}(\overline{L}), D_{X|Y}(\overline{M})\}$ . We can find an

 $m_0 \ge 1$ , an  $s_0 \in \widehat{\Gamma}_{X|Y}^{ss}(m_0 \overline{L}) \setminus \{0\}$ , and a  $t_0 \in \widehat{\Gamma}_{X|Y}^{ss}(m_0 \overline{M}) \setminus \{0\}$ . Set

$$\Psi := \{ y \in \mathscr{Y}'_U : s_0(y) = 0 \} \cup \{ y \in \mathscr{Y}'_U : t_0(y) = 0 \}.$$

Given any  $\varepsilon > 0$ , we can find a prime number p such that there exists a  $\Psi$ -good flag  $F_{\bullet}$  on  $\mathscr{Y}'_U$  over p and

$$(7.18) \frac{D}{\log(p)} \le \varepsilon$$

 $\overline{L}$  be an adelically metrized line bundle on X.

(Lemma 6.5). By using Propositions 7.9 and 7.10 and by applying the Brunn–Minkowski inequality to the convex bodies

$$\widehat{\Delta}_{X|Y}(\overline{L}) + \widehat{\Delta}_{X|Y}(\overline{M}) \subset \widehat{\Delta}_{X|Y}(\overline{L} + \overline{M})$$

in  $\mathbb{R}^{\dim Y+1}$ , we obtain

and the assertion follows.

$$\begin{split} & \left( |\widehat{S}_{X|Y}(\overline{L} + \overline{M})| \cdot \widehat{\operatorname{vol}}_{X|Y}(\overline{L} + \overline{M}) \right)^{1/(\dim Y + 1)} \\ & \geq \left( |\widehat{S}_{X|Y}(\overline{L})| \cdot \widehat{\operatorname{vol}}_{X|Y}(\overline{L}) \right)^{1/(\dim Y + 1)} + \left( |\widehat{S}_{X|Y}(\overline{M})| \cdot \widehat{\operatorname{vol}}_{X|Y}(\overline{M}) \right)^{1/(\dim Y + 1)} - 3\varepsilon, \end{split}$$

Corollary 7.14. Let X be a normal projective variety over a number field and let

(1) We have

$$\widehat{\mathbf{B}}_{+}(\overline{L}) = \bigcup_{\substack{Z \subset X \\ \widehat{\mathrm{vol}}_{X|Z}(\overline{L}) = 0}} Z = \bigcup_{\substack{Z \subset X \\ \widehat{\kappa}_{X|Z}(\overline{L}) < \dim Z}} Z.$$

(2) For any prime divisor Y on X,  $\widehat{\operatorname{vol}}_{X|Y}(\overline{L}) > 0$  if and only if  $\widehat{\kappa}_{X|Y}(\overline{L}) = \dim X - 1$ .

*Proof.* (1): By Proposition 5.4(1), we have  $\widehat{\mathbf{B}}_{+}(\overline{L}) = \mathbf{B}_{+}(L) \cup \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$ . If  $Z \subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$ , then clearly  $\widehat{\mathrm{vol}}_{X|Z}(\overline{L}) = 0$ . If Z is a component of  $\mathbf{B}_{+}(L)$  and is not contained in  $\widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$ , then by [5, Theorem B] we have

$$\kappa_{X|Z}(\overline{L}) < \dim Z.$$

Thus  $\widehat{\kappa}_{X|Z}(\overline{L}) < \dim Z$  and  $\widehat{\operatorname{vol}}_{X|Z}(\overline{L}) = 0$ . On the other hand, if  $Z \not\subset \widehat{\mathbf{B}}_{+}(\overline{L})$ , then, by Lemma 7.7(2), (3), we have  $\widehat{\kappa}_{X|Z}(\overline{L}) = \dim Z$  and  $\widehat{\operatorname{vol}}_{X|Z}(\overline{L}) > 0$ .

Assertion (2) results from (1).

Corollary 7.15. (1) For any Y-big adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{L}$  and for any adelically metrized  $\mathbb{Q}$ -line bundles  $\overline{A}_1, \ldots, \overline{A}_r$ ,

$$\lim_{\varepsilon_1 \to 0, \dots, \varepsilon_r \to 0} \widehat{\operatorname{vol}}_{X|Y}(\overline{L} + \varepsilon_1 \overline{A}_1 + \dots + \varepsilon_r \overline{A}_r) = \widehat{\operatorname{vol}}_{X|Y}(\overline{L}).$$

(2) If  $\overline{L}_1, \overline{L}_2$  are Y-big adelically metrized  $\mathbb{Q}$ -line bundles and  $\overline{L}_2 - \overline{L}_1$  is Y-pseudoeffective, then

$$\widehat{\operatorname{vol}}_{X|Y}(\overline{L}_1) \le \widehat{\operatorname{vol}}_{X|Y}(\overline{L}_2).$$

*Proof.* Note that the cone of all Y-big adelically metrized  $\mathbb{Q}$ -line bundles is open by Lemma 5.4(4). Assertion (1) results from Theorem 7.13(3) and standard arguments (see [10, Theorem 5.2], [22, Proposition 1.3.1]).

(2): Let  $\overline{A}$  be a Y-big adelically metrized line bundle. For any  $\varepsilon \in \mathbb{Q}_{>0}$ , some sufficiently large multiple of  $\overline{L}_2 - \overline{L}_1 + \varepsilon \overline{A}$  becomes a Y-effective adelically metrized line bundle, so

$$\widehat{\operatorname{vol}}_{X|Y}(\overline{L}_1) \le \widehat{\operatorname{vol}}_{X|Y}(\overline{L}_2 + \varepsilon \overline{A})$$

by Lemma 7.3(1). By letting  $\varepsilon \to 0+$ , we obtain (2).

**Theorem 7.16.** Let X be a projective variety over a number field, let Y be a closed subvariety of X, and let  $\overline{L}$ ,  $\overline{M}$  be two adelically metrized line bundles on X.

- (1) If  $\overline{L} \leq_Y \overline{M}$  and  $\widehat{\kappa}_{X|Y}(\overline{L}) = \widehat{\kappa}_{X|Y}(\overline{M})$ , then  $\widehat{e}_{X|Y}(\overline{L}) \leq \widehat{e}_{X|Y}(\overline{M})$ .
- (2) If  $Y \not\subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$ , then

$$\lim_{\lambda \to 0} \widehat{e}_{X|Y}(\overline{L} + \overline{\mathcal{O}}_X(\lambda[\infty])) = \widehat{e}_{X|Y}(\overline{L}).$$

*Proof.* (1): We may assume that  $\widehat{\kappa}_{X|Y}(\overline{L}) = \widehat{\kappa}_{X|Y}(\overline{M}) \geq 0$ . Since  $\widehat{\operatorname{CL}}_{X|Y}(m\overline{L}) \subset \widehat{\operatorname{CL}}_{X|Y}(m\overline{M})$  for every  $m \geq 1$  and  $\widehat{\kappa}_{X|Y}(\overline{L}) = \widehat{\kappa}_{X|Y}(\overline{M})$ ,

$$\begin{split} \lim_{\substack{m \in \widehat{\mathbf{N}}_{X|Y}(\overline{L}) \\ m \to \infty}} \frac{\log \sharp \widehat{\mathrm{CL}}_{X|Y}(m\overline{L})}{m^{\widehat{\kappa}_{X|Y}(\overline{L})+1}/(\widehat{\kappa}_{X|Y}(\overline{L})+1)!} \\ & \leq \lim_{\substack{m \in \widehat{\mathbf{N}}_{X|Y} \\ m \to \infty}} \frac{\log \sharp \widehat{\mathrm{CL}}_{X|Y}(m\overline{M})}{m^{\widehat{\kappa}_{X|Y}(\overline{M})+1}/(\widehat{\kappa}_{X|Y}(\overline{M})+1)!}. \end{split}$$

(2): We start the proof with the following claims.

Claim 7.17. There exists a rational  $\lambda_0 > 0$  such that, for every  $\lambda \in \mathbb{R}$  with  $\lambda > -\lambda_0$ ,

$$\widehat{\kappa}_{X|Y}(\overline{L} + \overline{\mathcal{O}}_X(\lambda[\infty])) = \kappa_{X|Y}(L).$$

Proof. Take an  $a \gg 1$  and  $s_1, \ldots, s_N \in \widehat{\Gamma}^{\mathrm{ss}}(a\overline{L})$  such that  $\{x \in X : s_1(x) = \cdots = s_N(x) = 0\} = \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$ . Let  $\lambda_0$  be rational with  $0 < \lambda_0 \le \min_i \{-\log \|s_i\|_{\sup}\}$ . For every  $\lambda$  with  $\lambda > -\lambda_0$ , all  $s_i$ 's belong to  $\widehat{\Gamma}^{\mathrm{ss}}(\overline{L} + \overline{\mathcal{O}}_X(\lambda[\infty]))$  and  $\widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L} + \overline{\mathcal{O}}_X(\lambda[\infty]))$   $\subset \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$ . Therefore the claim follows from Lemma 7.4.

Claim 7.18. If  $Y \not\subset \widehat{\mathbf{B}}^{ss}(\overline{L})$ , then there exists an  $m_0 \geq 1$  such that, for every  $\lambda \in \mathbb{Q}$  with  $\lambda \leq \lambda_0$ ,  $m_0\overline{L} \geq_Y \lambda \overline{\mathbb{O}}_X([\infty])$ .

Proof. We take an  $a \geq 1$  and an  $s \in \widehat{\Gamma}^{ss}(a\overline{L})$  such that  $s|_Y \neq 0$ . We can choose an  $m_0 \geq 1$  such that  $\exp(\lambda_0) \|s\|_{\sup}^{m_0} < 1$ . Then  $m_0 \overline{L} \geq_Y \overline{\mathcal{O}}_X(\lambda[\infty]) = \lambda \overline{\mathcal{O}}_X([\infty])$  for every  $\lambda \in \mathbb{Q}$  with  $\lambda \leq \lambda_0$ .

By Theorem 7.13(1), Claims 7.17 and 7.18, and (1), we have

$$\begin{split} \left(1 - \frac{m_0}{\lambda_0} |\lambda|\right)^{\widehat{\kappa}_{X|Y}(\overline{L}) + 1} \cdot \widehat{e}_{X|Y}(\overline{L}) &= \widehat{e}_{X|Y}\left(\left(1 - \frac{m_0}{\lambda_0} |\lambda|\right) \overline{L}\right) \\ &\leq \widehat{e}_{X|Y}(\overline{L} + \lambda \overline{O}_X([\infty])) \\ &\leq \widehat{e}_{X|Y}\left(\left(1 + \frac{m_0}{\lambda_0} |\lambda|\right) \overline{L}\right) &= \left(1 + \frac{m_0}{\lambda_0} |\lambda|\right)^{\widehat{\kappa}_{X|Y}(\overline{L}) + 1} \cdot \widehat{e}_{X|Y}(\overline{L}) \end{split}$$

for every  $\lambda \in \mathbb{Q}$  with  $|\lambda| < \lambda_0/m_0$ . Hence the assertion follows.

# §8. Generalized Fujita approximation

In this section, we obtain a formula expressing an arithmetic restricted volume as a supremum of heights of projective varieties (Theorem 8.4). We can regard Theorem 8.4 as an arithmetic analogue of the generalized Fujita approximation proved in [10, Theorem 2.13] (in our case,  $\dim Y$  can be zero).

**Proposition 8.1.** Let X be a smooth projective variety over K and let  $\overline{L}$  be an adelically metrized line bundle on X. If  $\overline{L}$  is nef and Y-big, then

$$\widehat{\operatorname{vol}}_{X|Y}(\overline{L}) = \widehat{\operatorname{deg}}((\overline{L}|_Y)^{\cdot(\dim Y + 1)}).$$

Proof. If  $\overline{L}$  is associated to an ample  $C^{\infty}$ -Hermitian line bundle on an  $O_K$ -model of X, then the assertion follows from [22, Corollary 7.2(1)]. We assume that  $\overline{L}$  is associated to a nef continuous Hermitian line bundle on some  $O_K$ -model  $\mathscr X$  of X. Fix an adelically metrized line bundle  $\overline{H}$  associated to a Y-effective ample  $C^{\infty}$ -Hermitian line bundle  $\overline{\mathscr H}$  on  $\mathscr X$ . For every rational number  $\varepsilon > 0$ , there exists a non-negative continuous function  $\lambda_{\varepsilon}: X_{\infty}^{\mathrm{an}} \to \mathbb R_{\geq 0}$  such that  $\|\lambda_{\varepsilon}\|_{\mathrm{sup}} < \varepsilon$  and  $\overline{L} + \varepsilon \overline{H} + \overline{\mathbb O}_X(\lambda_{\varepsilon}[\infty])$  is associated to an ample  $C^{\infty}$ -Hermitian line bundle on  $\mathscr X$  [2, Theorem 1]. We then have

$$\widehat{\operatorname{vol}}_{X|Y}\big(\overline{L} + \varepsilon \overline{H} + \overline{\mathbb{O}}_X(\lambda_{\varepsilon}[\infty])\big) = \widehat{\operatorname{deg}}\big((\overline{L} + \varepsilon \overline{H} + \overline{\mathbb{O}}_X(\lambda_{\varepsilon}[\infty]))|_Y^{\cdot(\dim Y + 1)}\big)$$

for every rational  $\varepsilon > 0$ . By Corollary 7.15,

$$\widehat{\operatorname{vol}}_{X|Y}(\overline{L}) \leq \widehat{\operatorname{vol}}_{X|Y}(\overline{L} + \varepsilon \overline{H} + \overline{\mathbb{O}}_X(\lambda_{\varepsilon}[\infty])) 
\leq \widehat{\operatorname{vol}}_{X|Y}(\overline{L} + \varepsilon \overline{H} + \varepsilon \overline{\mathbb{O}}_X([\infty])) \xrightarrow{\varepsilon \downarrow 0} \widehat{\operatorname{vol}}_{X|Y}(\overline{L}).$$

On the other hand, by Proposition 2.15(1),

$$\widehat{\operatorname{deg}}((\overline{L}|_{Y})^{\cdot(\dim Y+1)}) \\
\leq \widehat{\operatorname{deg}}((\overline{L} + \varepsilon \overline{H} + \overline{\mathcal{O}}_{X}(\lambda_{\varepsilon}[\infty]))|_{Y}^{\cdot(\dim Y+1)}) \\
\leq \widehat{\operatorname{deg}}((\overline{L} + \varepsilon \overline{H} + \varepsilon \overline{\mathcal{O}}_{X}([\infty]))|_{Y}^{\cdot(\dim Y+1)}) \xrightarrow{\varepsilon \downarrow 0} \widehat{\operatorname{deg}}((\overline{L}|_{Y})^{\cdot(\dim Y+1)}).$$

This yields the assertion in this case.

In general, thanks to Proposition 2.13(2), there exists a finite subset  $S \subset M_K^f$  such that, for any rational  $\varepsilon > 0$ , one can find  $O_K$ -models  $(\mathscr{X}_{\varepsilon}, \mathscr{L}_{\varepsilon,1})$  and  $(\mathscr{X}_{\varepsilon}, \mathscr{L}_{\varepsilon,2})$  of (X, L) such that  $\mathscr{L}_{\varepsilon,i}$  are relatively nef and

$$\overline{L} - \varepsilon \sum_{P \in S} \overline{\mathbb{O}}_X([P]) \le (\mathscr{L}_{\varepsilon,1}, |\cdot|_{\infty}^{\overline{L}})^{\mathrm{ad}} \le \overline{L} \le (\mathscr{L}_{\varepsilon,2}, |\cdot|_{\infty}^{\overline{L}})^{\mathrm{ad}} \le \overline{L} + \varepsilon \sum_{P \in S} \overline{\mathbb{O}}_X([P]).$$

Claim 8.2. We have

$$(8.1) \qquad \overline{L} - \varepsilon \sum_{P \in S} \overline{\mathbb{O}}_X([P]) \leq_Y \overline{\mathscr{L}}_{\varepsilon, 1}^{\mathrm{ad}} \leq_Y \overline{L} \leq_Y \overline{\mathscr{L}}_{\varepsilon, 2}^{\mathrm{ad}} \leq_Y \overline{L} + \varepsilon \sum_{P \in S} \overline{\mathbb{O}}_X([P]).$$

*Proof.* We can write the four differences in the form of

$$(\mathfrak{O}_X, \sum_{P \in S} \lambda_P[P]),$$

where  $\lambda_P: X_P^{\mathrm{an}} \to \mathbb{R}_{\geq 0}$  is a non-negative continuous function on  $X_P^{\mathrm{an}}$ . Then  $1 \in H^0(\mathcal{O}_X)$  is a small section satisfying  $1|_Y \neq 0$ .

Set  $\lambda := -(\varepsilon/[K:\mathbb{Q}]) \sum_{P \in S} \log |\varpi_P|_P$ . Then  $\overline{\mathscr{L}}_{\varepsilon,1} + \overline{\mathbb{O}}_X(\lambda[\infty])$  is nef. By (8.1) and Proposition 2.15(1), (2), we have

$$\begin{split} \widehat{\operatorname{vol}}_{X|Y}(\overline{\mathscr{L}}_{\varepsilon,1}^{\operatorname{ad}}) + [K:\mathbb{Q}]\lambda \operatorname{deg}((L|_Y)^{\cdot \operatorname{dim} Y}) \\ &= \widehat{\operatorname{deg}}\big((\overline{\mathscr{L}}_{\varepsilon,1}^{\operatorname{ad}}|_Y + \overline{\mathbb{O}}_Y(\lambda[\infty]))^{\cdot (\operatorname{dim} Y + 1)}\big) \leq \widehat{\operatorname{deg}}\big((\overline{L}|_Y + \overline{\mathbb{O}}_Y(\lambda[\infty]))^{\cdot (\operatorname{dim} Y + 1)}\big) \\ &= \widehat{\operatorname{deg}}((\overline{L}|_Y)^{\cdot (\operatorname{dim} Y + 1)}) + [K:\mathbb{Q}]\lambda \operatorname{deg}((L|_Y)^{\cdot \operatorname{dim} Y}) \\ &\leq \widehat{\operatorname{deg}}((\overline{\mathscr{L}}_{\varepsilon,2}^{\operatorname{ad}}|_Y)^{\cdot (\operatorname{dim} Y + 1)}) + [K:\mathbb{Q}]\lambda \operatorname{deg}((L|_Y)^{\cdot \operatorname{dim} Y}) \\ &= \widehat{\operatorname{vol}}_{X|Y}(\overline{\mathscr{L}}_{\varepsilon,2}^{\operatorname{ad}}) + [K:\mathbb{Q}]\lambda \operatorname{deg}((L|_Y)^{\cdot \operatorname{dim} Y}), \end{split}$$

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$$\begin{split} \widehat{\operatorname{vol}}_{X|Y} \Big( \overline{L} - \varepsilon \sum_{P \in S} \overline{\mathbb{O}}_{X}([P]) \Big) &\leq \widehat{\operatorname{vol}}_{X|Y} (\overline{\mathscr{L}}_{\varepsilon,1}^{\operatorname{ad}}) \leq \widehat{\operatorname{deg}}((\overline{L}|_{Y})^{\cdot (\dim Y + 1)}) \\ &\leq \widehat{\operatorname{vol}}_{X|Y} (\overline{\mathscr{L}}_{\varepsilon,2}^{\operatorname{ad}}) \leq \widehat{\operatorname{vol}}_{X|Y} \Big( \overline{L} - \varepsilon \sum_{P \in S} \overline{\mathbb{O}}_{X}([P]) \Big) \end{split}$$

for every rational  $\varepsilon > 0$ , and we conclude the proof by invoking Corollary 7.15.  $\square$ 

Let X be a normal projective variety over K, let  $\overline{L}$  be an adelically metrized  $\mathbb{Q}$ -line bundle on X, and let Z be a closed subvariety of X. A Z-compatible approximation for  $\overline{L}$  is a pair  $(\mu: X' \to X, \overline{M})$  of a projective birational K-morphism  $\mu: X' \to X$  and a nef adelically metrized  $\mathbb{Q}$ -line bundle  $\overline{M}$  on X' having the following properties.

- (a) X' is smooth and  $\mu$  is isomorphic around the generic point of Z.
- (b) Let  $\mu_*^{-1}(Z)$  be the strict transform of Z via  $\mu$ . Then  $\overline{M}$  is  $\mu_*^{-1}(Z)$ -big and  $\mu^*\overline{L} \overline{M}$  is a  $\mu_*^{-1}(Z)$ -pseudoeffective adelically metrized  $\mathbb{Q}$ -line bundle.

We denote by  $\widehat{\Theta}_Z(\overline{L})$  the set of all Z-compatible approximations for  $\overline{L}$ .

**Lemma 8.3.**  $\widehat{\Theta}_Z(\overline{L}) \neq \emptyset$  if and only if  $\overline{L}$  is Z-big.

*Proof.* If  $(\mu: X' \to X, \overline{M}) \in \widehat{\Theta}_Z(\overline{L})$ , then  $\mu^*\overline{L}$  is  $\mu_*^{-1}(Z)$ -big. By Lemmas 3.4(2) and 7.7, we have  $\mu_*^{-1}(Z) \not\subset \mu^{-1}\widehat{\mathbf{B}}_+(\overline{L}) \cup \operatorname{Ex}(\mu)$ . Thus  $Z \not\subset \widehat{\mathbf{B}}_+(\overline{L})$ . The "if" part is obvious.

**Theorem 8.4.** Let X be a normal projective variety over K, let Z be a closed subvariety of X, and let  $\overline{L}$  be an adelically metrized  $\mathbb{Q}$ -line bundle on X. If  $\overline{L}$  is Z-big, then, for every closed subvariety Y containing Z,

$$\widehat{\operatorname{vol}}_{X|Y}(\overline{L}) = \sup_{(\mu, \overline{M}) \in \widehat{\Theta}_Z(\overline{L})} \widehat{\operatorname{deg}} \left( (\overline{M}|_{\mu_*^{-1}(Y)})^{\cdot (\dim Y + 1)} \right).$$

*Proof.* The inequality  $\geq$  is obvious (Lemma 7.3(1), (2) and Proposition 8.1), so it suffices to show that

$$\widehat{\operatorname{vol}}_{X|Y}(\overline{L}) \leq \sup_{(\mu, \overline{M}) \in \widehat{\Theta}_Z(\overline{L})} \widehat{\operatorname{vol}}_{X'|\mu_*^{-1}(Y)}(\overline{M}).$$

We can assume that  $\overline{L}$  is an adelically metrized line bundle on X. Let  $\mu_m: X_m \to X$ ,  $\overline{M}_m$ , and  $\overline{F}_m$  be as in Proposition 4.7. Without loss of generality, we can assume that the  $X_m$  are all smooth. Let  $Z_m:=\mu_{m*}^{-1}(Z)$  (respectively,  $Y_m:=\mu_{m*}^{-1}(Y)$ ) be the strict transform of Z (respectively, Y) via  $\mu_m$ . If  $\widehat{\mathbf{Bs}}^{\mathrm{ss}}(m\overline{L})=\widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$ , then  $Z_m$  is contained neither in  $\widehat{\mathbf{B}}_+(\overline{M}_m)\subset\mu_m^{-1}\widehat{\mathbf{B}}_+(\overline{L})$  nor in  $\widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{F}_m)\subset\mu_m^{-1}\widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$ . So  $\overline{M}_m$  is  $Z_m$ -big and  $\overline{F}_m$  is  $Z_m$ -effective. The theorem follows from Proposition 8.5 below.

Proposition 8.5. We have

$$\widehat{\operatorname{vol}}_{X|Y}(\overline{L}) = \lim_{\substack{\widehat{\operatorname{Bs}}^{\operatorname{ss}}(m\overline{L}) = \widehat{\mathbf{B}}^{\operatorname{ss}}(\overline{L}) \\ m \to \infty}} \frac{\widehat{\operatorname{vol}}_{X_m|Y_m}(\overline{M}_m)}{m^{\dim Y + 1}}.$$

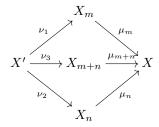
*Proof.* First, we show the convergence of the sequence.

Claim 8.6. The sequence

$$\left(\frac{\widehat{\operatorname{vol}}_{X_m|Y_m}(\overline{M}_m)}{m^{\dim Y+1}}\right)_{\widehat{\operatorname{Bs}}^{\operatorname{ss}}(m\overline{L})=\widehat{\mathbf{B}}^{\operatorname{ss}}(\overline{L})}$$

converges.

*Proof.* Take positive integers m, n such that  $\widehat{\mathrm{Bs}}^{\mathrm{ss}}(m\overline{L}) = \widehat{\mathrm{Bs}}^{\mathrm{ss}}(n\overline{L}) = \widehat{\mathrm{B}}^{\mathrm{ss}}(\overline{L})$ . Then  $\widehat{\mathrm{Bs}}^{\mathrm{ss}}((m+n)\overline{L}) = \widehat{\mathrm{B}}^{\mathrm{ss}}(\overline{L})$ . Let  $\widetilde{\mu}: \widetilde{X} \to X$  be a birational projective K-morphism such that  $\widetilde{\mu}$  dominates all of  $\mu_m$ ,  $\mu_n$ , and  $\mu_{m+n}$ , and  $\widetilde{\mu}$  is isomorphic over  $X \setminus \widehat{\mathrm{B}}^{\mathrm{ss}}(\overline{L})$ . In particular, we have a diagram



Let  $\widetilde{Z}$  be the strict transform of Z via  $\widetilde{\mu}$ . We are going to show that

(8.2) 
$$\nu_1^* \overline{F}_m + \nu_2^* \overline{F}_n \ge_{\widetilde{Z}} \nu_3^* \overline{F}_{m+n}.$$

Since  $\nu_1^* 1_{F_m} \otimes \nu_2^* 1_{F_n}$  vanishes along  $\nu_3^{-1} \operatorname{Supp}(1_{F_{m+n}})$ , there exists a section  $\iota \in H^0(\nu_1^* F_m + \nu_2^* F_n - \nu_3^* F_{m+n})$  such that

$$\nu_1^* 1_{F_m} \otimes \nu_2^* 1_{F_n} = \nu_3^* 1_{F_{m+n}} \otimes \iota \quad \text{and} \quad \operatorname{Supp}(\iota) \subset \widetilde{\mu}^{-1} \widehat{\mathbf{B}}^{\operatorname{ss}}(\overline{L}).$$

For each  $v \in M_K^f$  and  $x \in X_v^{\prime an}$ ,

$$\begin{split} |\iota|_v^{\nu_1^*\overline{F}_m + \nu_2^*\overline{F}_n - \nu_3^*\overline{F}_{m+n}}(x) \\ &= \frac{\max_{s \in \widehat{\Gamma}^{\mathrm{ss}}(m\overline{L})} |s|_v^{m\overline{L}}(\widetilde{\mu}_v^{\mathrm{an}}(x)) \cdot \max_{t \in \widehat{\Gamma}^{\mathrm{ss}}(n\overline{L})} |t|_v^{n\overline{L}}(\widetilde{\mu}_v^{\mathrm{an}}(x))}{\max_{u \in \widehat{\Gamma}^{\mathrm{ss}}((m+n)\overline{L})} |u|_v^{(m+n)\overline{L}}(\widetilde{\mu}_v^{\mathrm{an}}(x))} \leq 1. \end{split}$$

In the same way, we can see that  $\|\iota\|_{\infty}^{\nu_1^*\overline{F}_m+\nu_2^*\overline{F}_n-\nu_3^*\overline{F}_{m+n}} \leq 1$ . Thus, we obtain (8.2).

By Theorem 7.13(3), Lemma 7.3, and (8.2), we have

$$\begin{split} \widehat{\operatorname{vol}}_{X_m|Y_m}(\overline{M}_m)^{1/(\dim Y + 1)} + \widehat{\operatorname{vol}}_{X_n|Y_n}(\overline{M}_n)^{1/(\dim Y + 1)} \\ & \leq \widehat{\operatorname{vol}}_{X_{m+n}|Y_{m+n}}(\overline{M}_{m+n})^{1/(\dim Y + 1)}. \end{split}$$

By standard arguments, the sequence converges to its supremum.

We fix an integer  $a \geq 1$  such that  $\widehat{\mathrm{Bs}}^{\mathrm{ss}}(a\overline{L}) = \widehat{\mathbf{B}}^{\mathrm{ss}}(\overline{L})$  and there exists an  $s_0 \in \widehat{\Gamma}^{\mathrm{ss}}_{X|Y}(a\overline{L}) \setminus \{0\}$ . By Claim 8.6, it suffices to show that

(8.3) 
$$\widehat{\operatorname{vol}}_{X|Y}(\overline{L}) = \lim_{m \to \infty} \frac{\widehat{\operatorname{vol}}_{X_{ma}|Y_{ma}}(\overline{M}_{ma})}{(ma)^{\dim Y + 1}}.$$

We fix models and flags as follows. Let  $(\mathscr{X}, \mathscr{L})$  be an  $O_K$ -model of (X, L) such that  $\mathscr{X}$  is normal,  $\mathscr{L}$  is a line bundle on  $\mathscr{X}$ , and  $\overline{L} \leq \overline{\mathscr{L}}^{\mathrm{ad}}$ . Let  $U_0$  be a non-empty open subset of  $\mathrm{Spec}(O_K)$  such that  $(\mathscr{X}_{U_0}, \mathscr{L}_{U_0})$  gives a  $U_0$ -model of definition for  $\overline{L}^{\{\infty\}}$ . For each  $m \geq 1$ , let

$$\widehat{\mathfrak{b}}_{m,O_K} := \operatorname{Image}(\langle \widehat{\Gamma}^{\operatorname{ss}}(m\overline{L}) \rangle_{O_K} \otimes_{O_K} (-m\mathscr{L}) \to \mathfrak{O}_{\mathscr{X}})$$

and let  $\mu_{m,O_K}: \mathscr{X}_m \to \mathscr{X}$  be a normalized blow-up such that  $\mathscr{X}_{m,K}$  is smooth and  $\widehat{\mathfrak{b}}_{m,O_K}\mathfrak{O}_{\mathscr{X}_m}$  is Cartier. Let  $\mathscr{F}_m:= \mathcal{H}om_{\mathcal{O}_{\mathscr{X}_m}}(\widehat{\mathfrak{b}}_{m,O_K}\mathfrak{O}_{\mathscr{X}_m}, \mathfrak{O}_{\mathscr{X}_m})$  and let  $\mathscr{M}_m:=\mu_{m,O_K}^*(m\mathscr{L})-\mathscr{F}_m$ . Then  $(\mathscr{X}_{m,U_0},\mathscr{F}_{m,U_0})$  and  $(\mathscr{X}_{m,U_0},\mathscr{M}_{m,U_0})$  give  $U_0$ -models of definition for  $\overline{F}_m^{\{\infty\}}$  and  $\overline{M}_m^{\{\infty\}}$ , respectively (Claim 4.8).

Let  $\mathscr{Y}$  (respectively,  $\mathscr{Y}_m$ ) be the Zariski closure of Y (respectively,  $Y_m$ ) in  $\mathscr{X}$  (respectively,  $\mathscr{X}_m$ ). Let

$$\nu_{\mathscr{Y}}: \mathscr{Y}' \to \mathscr{Y} \quad \text{and} \quad \nu_{\mathscr{Y}_m}: \mathscr{Y}'_m \to \mathscr{Y}_m$$

be the relative normalizations in Y and in  $Y_m$ , respectively, and let  $\mu'_m: \mathscr{Y}'_m \to \mathscr{Y}'$  be the induced morphism. Let U be the inverse image of  $U_0$  via  $\operatorname{Spec}(O_{K_Y}) \to \operatorname{Spec}(O_K)$ . Then  $(\mathscr{Y}_U, \mathscr{L}|_{\mathscr{Y}_U})$  and  $(\mathscr{Y}_{m,U}, \mathscr{M}_m|_{\mathscr{Y}_{m,U}})$  give U-models of definition for  $(\overline{L}|_Y)^{\{\infty\}}$  and  $(\overline{M}_m|_{Y_m})^{\{\infty\}}$ , respectively.

Let  $D:=D_{X|Y}(a\overline{L})$  be as in Proposition 7.10, and let  $\Psi:=\{y\in\mathscr{Y}_U':s_0(y)=0\}$ . Given any  $\varepsilon>0$ , we can find a prime number p such that there exists a  $\Psi$ -good flag  $F_{\bullet}$  on  $\mathscr{Y}_U'$  over p and

$$(8.4) \frac{D}{\log(p)} \le \frac{\varepsilon}{3}$$

(Lemma 6.5). By Lemma 6.4(2),  $\mu'^{-1}_{m*}(F_{\bullet})$  is a  $\mu'^{-1}_{m}(\Psi)$ -good flag on  $\mathscr{Y}'_{m}$  for every  $m \geq 1$ , and  $\mu'^{-1}_{ma}(\Psi) = \{y \in \mathscr{Y}'_{ma} : \mu'^{*}_{ma}(s_{0}^{\otimes m})(y) = 0\}$  (see Proposition 4.7).

Claim 8.7. For every  $m \geq 1$ ,

$$\delta(\overline{M}_m|_{Y_m}) \le m\delta(\overline{L}|_Y).$$

*Proof of Claim 8.7.* For any nef adelically metrized line bundle  $\overline{A}$  on Y with vol(A) > 0, we have

$$\delta(\overline{M}_m|_{Y_m}) \le \frac{\widehat{\operatorname{deg}}(\overline{M}_m|_{Y_m} \cdot (\mu_m|_{Y_m})^* \overline{A}^{\cdot \dim Y})}{\operatorname{vol}((\mu_m|_{Y_m})^* A)} \le \frac{\widehat{\operatorname{deg}}(m\overline{L}|_Y \cdot \overline{A}^{\cdot \dim Y})}{\operatorname{vol}(A)}$$

by Proposition 2.15(3).

Claim 8.8. For every  $m \geq 1$ ,

$$\frac{e(\widehat{R}_{X_{ma}|Y_{ma}}(\overline{M}_{ma})_{\bullet})}{\widehat{\kappa}_{X_{ma}|Y_{ma}}(\overline{M}_{ma})!} \leq m^{\dim Y} \cdot \frac{e(\widehat{R}_{X|Y}(a\overline{L})_{\bullet})}{\widehat{\kappa}_{X|Y}(a\overline{L})!}.$$

Proof of Claim 8.8. Note that  $\widehat{\kappa}_{X|Y}(a\overline{L}) = \widehat{\kappa}_{X_{ma}|Y_{ma}}(\overline{M}_{ma}) = \dim Y$ . Since

$$\widehat{R}_{X_{ma}|Y_{ma}}(\overline{M}_{ma})_{\bullet}\subset \widehat{R}_{X_{ma}|Y_{ma}}(\mu_{ma}^{*}(ma\overline{L}))_{\bullet}=\widehat{R}_{X|Y}(ma\overline{L})_{\bullet}$$

as graded  $K_Y$ -algebras, we obtain the assertion.

For  $m \geq 1$  we set

$$T(m) := \left\{ (km, \boldsymbol{w}_{\mu_{ma*}^{'-1}(F_{\bullet})}(s \otimes (1_{F_{ma}}|_{Y_{ma}})^{\otimes k})) : \\ k \ge 0, \ s \in \widehat{\operatorname{CL}}_{X_{ma}|Y_{ma}}(k\overline{M}_{ma}) \setminus \{0\} \right\}$$

(Lemma 6.4(2)) and

$$\Delta(m) := \overline{\left(\bigcup_{k \geq 1} \frac{1}{km} \boldsymbol{w}_{\mu'_{ma*}(F_{\bullet})} \left( (\widehat{\operatorname{CL}}_{X_{ma}|Y_{ma}}(k\overline{M}_{ma}) \setminus \{0\}) \otimes (1_{F_{ma}|Y_{ma}})^{\otimes k} \right) \right)}.$$

Then  $T(m) \subset \widehat{S}_{X|Y}(a\overline{L})$  and  $\widehat{S}_{X|Y}(a\overline{L})_m \subset T(m)$  by Proposition 4.7 and Lemma 6.4(2). Thanks to [3, Théorème 1.15], there exists an  $m_0 \geq 1$  such that

(8.5) 
$$\operatorname{vol}_{T(m)}(\Delta(m))\log(p) \ge \operatorname{vol}_{\widehat{S}_{X|Y}(a\overline{L})}(\widehat{\Delta}_{X|Y}(a\overline{L}))\log(p) - \varepsilon/3$$

for every  $m \ge m_0$ . By Proposition 7.10, Claims 8.7 and 8.8, and (8.4),

$$(8.6) \operatorname{vol}_{\widehat{S}_{X|Y}(a\overline{L})}(\widehat{\Delta}_{X|Y}(a\overline{L}))\log(p) \geq \widehat{\operatorname{vol}}_{X|Y}(a\overline{L}) - \varepsilon/3,$$

(8.7) 
$$\frac{\widehat{\operatorname{vol}}_{X_{ma}|Y_{ma}}(\overline{M}_{ma})}{m^{\dim Y+1}} \ge \operatorname{vol}_{T(m)}(\Delta(m))\log(p) - \varepsilon/3.$$

Therefore, by (8.5)-(8.7),

$$\frac{\widehat{\operatorname{vol}}_{X_{ma}|Y_{ma}}(\overline{M}_{ma})}{(ma)^{\dim Y+1}} \ge \widehat{\operatorname{vol}}_{X|Y}(\overline{L}) - \varepsilon \quad \text{ for every } m \ge m_0.$$

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