

On the Admissible Fundamental Groups of Curves over Algebraically Closed Fields of Characteristic $p > 0$

Dedicated to my mother on her 60th birthday

by

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Abstract

In the present paper, we study the anabelian geometry of pointed stable curves over algebraically closed fields of positive characteristic. We prove that the semi-graph of anabelioids of PSC-type arising from a pointed stable curve over an algebraically closed field of positive characteristic can be reconstructed group-theoretically from its fundamental group. This result may be regarded as a version of the combinatorial Grothendieck conjecture in positive characteristic. As an application, we prove that if a pointed stable curve over an algebraic closure of a finite field satisfies certain conditions, then the isomorphism class of the admissible fundamental group of the pointed stable curve completely determines the isomorphism class of the pointed stable curve as a scheme. This result generalizes a result of A. Tamagawa to the case of (possibly singular) pointed stable curves.

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§1. Introduction

The main question of interest in the anabelian geometry of curves is, roughly speaking, the following:

How much geometric information about the isomorphism class of a curve is contained in various versions of its fundamental group?

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In this paper, we study the anabelian geometry of curves over algebraically closed fields of positive characteristic, and prove the following:

If a pointed stable curve over an algebraic closure of a finite field satisfies certain conditions, then the isomorphism class of the admissible fundamental group of the pointed stable curve completely determines the isomorphism class of the pointed stable curve as a scheme.

Let $X^\bullet := (X, D_X)$ be a pointed stable curve of type (g_X, n_X) over an algebraically closed field k . Here, X denotes the underlying scheme of X^\bullet , and D_X denotes the set of marked points of X^\bullet . Write \mathcal{G}_{X^\bullet} for the semi-graph of anabelioids of PSC-type arising from X^\bullet . We do not recall the theory of semi-graphs of anabelioids in the present paper. Roughly speaking, a semi-graph of anabelioids (cf. [M4, Def. 2.1]) is a semi-graph (cf. [M4, Sect. 1]) that is equipped with a Galois category at each vertex and each edge, together with gluing isomorphisms that satisfy certain conditions; a semi-graph of anabelioids of PSC-type (cf. [M5, Def. 1.1]) is a semi-graph of anabelioids that is isomorphic to the semi-graph of anabelioids that arises from a pointed stable curve defined over an algebraically closed field.

Suppose that the characteristic $\text{char}(k)$ of k is 0. Then the admissible fundamental group $\pi_1^{\text{adm}}(X^\bullet)$ (cf. Definition 2.2) of X^\bullet depends only on (g_X, n_X) and is known to admit a presentation

$$\pi_1^{\text{adm}}(X^\bullet) \cong \langle a_1, \dots, a_{g_X}, b_1, \dots, b_{g_X}, c_1, \dots, c_{n_X} \mid [a_1, b_1] \dots [a_{g_X}, b_{g_X}] c_1 \dots c_{n_X} = 1 \rangle^{\text{pro}},$$

where $(-)^{\text{pro}}$ denotes the profinite completion of $(-)$. Thus we obtain that (g_X, n_X) and \mathcal{G}_{X^\bullet} are not completely determined by the isomorphism class of the profinite group $\pi_1^{\text{adm}}(X^\bullet)$.

On the other hand, when $\text{char}(k) = p > 0$, the situation is quite different from the characteristic 0 case. First, let us explain briefly some well-known results concerning the anabelian geometry of curves over algebraically closed fields of characteristic $p > 0$. In the remainder of the introduction, we assume that X^\bullet is a pointed stable curve of type (g_X, n_X) over an algebraically closed field k of characteristic $p > 0$.

Suppose that X^\bullet is smooth over k . By applying techniques based on subtle properties of wildly ramified coverings, A. Tamagawa proved that (g_X, n_X) can be reconstructed group-theoretically from the étale fundamental group $\pi_1(X \setminus D_X)$ of $X \setminus D_X$, and moreover, the following result:

If $g_X = 0$, then we can detect whether X^\bullet can be defined over $\overline{\mathbb{F}}_p$ (i.e., there exists a curve X_0^\bullet over $\overline{\mathbb{F}}_p$ such that $X^\bullet \cong X_0^\bullet \times_{\overline{\mathbb{F}}_p} k$), or not, group-theoretically from $\pi_1(X \setminus D_X)$; moreover, if $k = \overline{\mathbb{F}}_p$, then the isomorphism class of the profinite group $\pi_1(X \setminus D_X)$ completely determines the isomorphism class of the scheme $X \setminus D_X$ (cf. [T1]).

Afterwards, by generalizing M. Raynaud’s theory of theta divisors, Tamagawa proved that similar results hold if one replaces $\pi_1(X \setminus D_X)$ by the tame fundamental group $\pi_1^{\text{tame}}(X \setminus D_X)$ of $X \setminus D_X$ (cf. [T3]). Since $\pi_1^{\text{tame}}(X \setminus D_X)$ can be reconstructed group-theoretically from $\pi_1(X \setminus D_X)$ (cf. [T1, Cor. 1.10]), the tame fundamental group versions are stronger than the étale fundamental group versions. In the case of curves of higher genus, we have the following finiteness result:

If $k = \overline{\mathbb{F}}_p$, then there are only finitely many isomorphism classes of smooth pointed stable curves over k whose tame fundamental groups are isomorphic to $\pi_1^{\text{tame}}(X \setminus D_X)$.

This finiteness result was proved by Raynaud, F. Pop and M. Saïdi under certain conditions and by Tamagawa in full generality (cf. [R], [PS], [T4]). Note that by the definition of the admissible fundamental group $\pi^{\text{adm}}(-)$ (cf. Definition 2.2), we have a natural isomorphism $\pi_1^{\text{tame}}(X \setminus D_X) \cong \pi_1^{\text{adm}}(X^\bullet)$ if X^\bullet is smooth over k .

In the present paper, we consider a generalization of the results of Tamagawa mentioned above to the case where X^\bullet is an arbitrary pointed stable curve over an algebraically closed field k of characteristic $p > 0$. We were motivated by the following question.

Question 1.1. *Can the isomorphism class of the semi-graph of anabelioids of PSC-type*

$$\mathcal{G}_{X^\bullet}$$

be reconstructed group-theoretically from the profinite group $\pi_1^{\text{adm}}(X^\bullet)$? If we assume further that $k = \overline{\mathbb{F}}_p$, then is the isomorphism class of the scheme

$$X \setminus D_X$$

determined completely by the isomorphism class of the profinite group $\pi_1^{\text{adm}}(X^\bullet)$?

Next we explain the main results of the present paper. Let \mathcal{F} be a geometric object and $\Pi_{\mathcal{F}}$ a profinite group associated to the geometric object \mathcal{F} . Given an invariant $\text{Inv}_{\mathcal{F}}$ depending on the isomorphism class of \mathcal{F} (in a certain category), we shall say that $\text{Inv}_{\mathcal{F}}$ can be reconstructed group-theoretically from $\Pi_{\mathcal{F}}$ if $\Pi_{\mathcal{F}_1} \cong \Pi_{\mathcal{F}_2}$ (as profinite groups) implies that $\text{Inv}_{\mathcal{F}_1} = \text{Inv}_{\mathcal{F}_2}$ for two such geometric objects \mathcal{F}_1 and \mathcal{F}_2 . Moreover, suppose that we are given an additional structure $\text{Add}_{\mathcal{F}}$

(e.g., a family of subgroups) on the profinite group $\Pi_{\mathcal{F}}$ depending functorially on \mathcal{F} ; then we shall say that $\text{Add}_{\mathcal{F}}$ can be reconstructed group-theoretically from $\Pi_{\mathcal{F}}$ if all isomorphisms $\Pi_{\mathcal{F}_1} \cong \Pi_{\mathcal{F}_2}$ (as profinite groups) preserve the structures $\text{Add}_{\mathcal{F}_1}$ and $\text{Add}_{\mathcal{F}_2}$. In Section 6, we prove the following theorem (cf. Theorem 6.9).

Theorem 1.2. *Write \mathcal{G}_{X^\bullet} for the semi-graph of anabelioids of PSC-type arising from X^\bullet . Then $p := \text{char}(k)$ can be reconstructed group-theoretically from $\pi_1^{\text{adm}}(X^\bullet)$. If, moreover, $p := \text{char}(k) > 0$, then the isomorphism class of \mathcal{G}_{X^\bullet} can be reconstructed group-theoretically from $\pi_1^{\text{adm}}(X^\bullet)$.*

Remark 1.2.1. Write Γ_{X^\bullet} for the dual semi-graph of X^\bullet and $v(\Gamma_{X^\bullet})$ for the set of vertices of Γ_{X^\bullet} . For each $v \in v(\Gamma_{X^\bullet})$, we write \widetilde{X}_v for the normalization of the irreducible component of X corresponding to v and

$$\widetilde{X}_v^\bullet := (\widetilde{X}_v, D_{\widetilde{X}_v})$$

for the smooth pointed stable curve of type (g_v, n_v) over k , where the underlying curve is \widetilde{X}_v , and the divisor of marked points $D_{\widetilde{X}_v}$ is determined by the inverse images (via the natural morphism $\widetilde{X}_v \rightarrow X$) in \widetilde{X}_v of the nodes and the marked points of X^\bullet . Then Theorem 1.2 implies that the following data can be reconstructed group-theoretically from $\pi_1^{\text{adm}}(X^\bullet)$:

- (g_X, n_X) and Γ_{X^\bullet} ;
- the conjugacy class of the inertia group of every marked point of X^\bullet in $\pi_1^{\text{adm}}(X^\bullet)$;
- the conjugacy class of the inertia group of every node of X^\bullet in $\pi_1^{\text{adm}}(X^\bullet)$;
- for each $v \in v(\Gamma_{X^\bullet})$, (g_v, n_v) and the conjugacy class of the admissible fundamental group $\pi_1^{\text{adm}}(\widetilde{X}_v^\bullet)$ of \widetilde{X}_v^\bullet in $\pi_1^{\text{adm}}(X^\bullet)$.

Theorem 1.2 may be regarded as a *version of the combinatorial Grothendieck conjecture in positive characteristic* (cf. Remark 6.9.1 for more details on the combinatorial Grothendieck conjecture, which plays a central role in combinatorial anabelian geometry).

Remark 1.2.2. Write $\mathcal{G}_{X^\bullet}^{\text{sol}}$ for the semi-graph of anabelioids of pro-solvable PSC-type arising from X^\bullet and $\pi_1^{\text{adm}}(X^\bullet)^{\text{sol}}$ for the maximal pro-solvable quotient of $\pi_1^{\text{adm}}(X^\bullet)$. If one replaces \mathcal{G}_{X^\bullet} and $\pi_1^{\text{adm}}(X^\bullet)$ by $\mathcal{G}_{X^\bullet}^{\text{sol}}$ and $\pi_1^{\text{adm}}(X^\bullet)^{\text{sol}}$, respectively, then the proof of Theorem 1.2 implies that the solvable version of Theorem 1.2 also holds.

We maintain the notation introduced above. By combining Tamagawa’s results and Theorem 1.2, we obtain the following result, which is the main theorem

of the present paper (see Theorems 7.6 and 7.9 for more details). Theorem 1.3 generalizes Tamagawa’s results to the case of (possibly singular) pointed stable curves.

- Theorem 1.3.** (a) *Suppose that $g_v = 0$ for each $v \in v(\Gamma_{X^\bullet})$. Then we can detect whether X^\bullet can be defined over $\overline{\mathbb{F}}_p$, or not, group-theoretically from $\pi_1^{\text{adm}}(X^\bullet)$. Moreover, suppose that $k = \overline{\mathbb{F}}_p$, and that X^\bullet is irreducible. Then the isomorphism class of the profinite group $\pi_1^{\text{adm}}(X^\bullet)$ completely determines the isomorphism class of the scheme $X \setminus D_X$.*
- (b) *Suppose that $k = \overline{\mathbb{F}}_p$. Then there are only finitely many k -isomorphism classes of pointed stable curves over k whose admissible fundamental groups are isomorphic to $\pi_1^{\text{adm}}(X^\bullet)$.*

Remark 1.3.1. Theorem 1.3(a) proves a generalized form of a conjecture of Tamagawa in a special case (cf. Conjectures 7.2 and 7.5).

On the other hand, various versions of Theorem 1.3(a) are also known in the case where X^\bullet is a smooth pointed stable curve of type (1, 1) (cf. Remark 7.4.1, [S], [T6]). These versions in the case of smooth pointed stable curves of (1, 1) allow us to obtain a slightly more general form of Theorem 1.3(a) (cf. Remark 7.6.2).

§2. p -rank and p -average

In this section, we recall some definitions and results which will be used in the present paper.

Definition 2.1. Let $\mathbb{G} := (v(\mathbb{G}), e(\mathbb{G}), \{\zeta_e^{\mathbb{G}}\}_{e \in e(\mathbb{G})})$ be a semi-graph. Here, $v(\mathbb{G})$, $e(\mathbb{G})$ and $\{\zeta_e^{\mathbb{G}}\}_{e \in e(\mathbb{G})}$ denote the set of vertices of \mathbb{G} , the set of edges of \mathbb{G} and the set of coincidence maps of \mathbb{G} , respectively.

- (a) We define $e^{\text{op}}(\mathbb{G})$ (resp. $e^{\text{cl}}(\mathbb{G})$) to be the set of *open* (resp. *closed*) edges of \mathbb{G} .
- (b) Let $v \in v(\mathbb{G})$. We shall call \mathbb{G} *2-connected* at v if $\mathbb{G} \setminus \{v\}$ is either empty or connected.
- (c) We define a *one-point compactification* \mathbb{G}^{cpt} of \mathbb{G} as follows: if $e^{\text{op}}(\mathbb{G}) = \emptyset$ we set $\mathbb{G}^{\text{cpt}} = \mathbb{G}$; otherwise the set of vertices of \mathbb{G}^{cpt} is $v(\mathbb{G}^{\text{cpt}}) := v(\mathbb{G}) \amalg \{v_\infty\}$, the set of edges of \mathbb{G}^{cpt} is $e(\mathbb{G}^{\text{cpt}}) := e(\mathbb{G})$ and each edge $e \in e^{\text{op}}(\mathbb{G}) \subseteq e(\mathbb{G}^{\text{cpt}})$ connects v_∞ with the vertex that is abutted by e .
- (d) For each $v \in v(\mathbb{G})$, we set

$$b(v) := \sum_{e \in e(\mathbb{G})} b_e(v),$$

where $b_e(v) \in \{0, 1, 2\}$ denotes the number of times that e meets v . Moreover, we set

$$v(\mathbb{G})^{b \leq 1} := \{v \in v(\mathbb{G}) \mid b(v) \leq 1\}.$$

We fix some notation. Let k be an algebraically closed field and

$$X^\bullet = (X, D_X)$$

a pointed stable curve of type (g_X, n_X) over k . Here X denotes the underlying scheme of X^\bullet , and D_X denotes the set of marked points of X^\bullet . Write

$$\Gamma_{X^\bullet}$$

for the dual semi-graph of X^\bullet , and Γ_X for the dual graph of X . Note that by the definitions of Γ_{X^\bullet} and Γ_X , we have a natural embedding $\Gamma_X \hookrightarrow \Gamma_{X^\bullet}$; then we may identify $v(\Gamma_X)$ (resp. $e(\Gamma_X)$) with $v(\Gamma_{X^\bullet})$ (resp. $e^{\text{cl}}(\Gamma_{X^\bullet})$) via the natural embedding $\Gamma_X \hookrightarrow \Gamma_{X^\bullet}$. We denote by

$$\Pi_{X^\bullet}^{\text{ét}} \quad \text{and} \quad \Pi_{X^\bullet}^{\text{top}}$$

the étale fundamental group of X^\bullet and the profinite completion of the topological fundamental group of Γ_{X^\bullet} , respectively, and write r_X for $\dim_{\mathbb{C}}(H^1(\Gamma_{X^\bullet}, \mathbb{C}))$.

Definition 2.2. Let $Y^\bullet := (Y, D_Y)$ be a pointed stable curve over k and

$$f^\bullet : Y^\bullet \rightarrow X^\bullet$$

a morphism of pointed stable curves over $\text{Spec } k$.

We shall call f^\bullet a *Galois admissible covering* over $\text{Spec } k$ (or Galois admissible covering for short) if the following conditions hold:

- (i) There exists a finite group $G \subseteq \text{Aut}_k(Y^\bullet)$ such that $Y^\bullet/G = X^\bullet$, and f^\bullet is equal to the quotient morphism $Y^\bullet \rightarrow Y^\bullet/G$.
- (ii) For each $y \in Y^{\text{sm}} \setminus D_Y$, f^\bullet is étale at y , where $(-)^{\text{sm}}$ denotes the smooth locus of $(-)$.
- (iii) For any $y \in Y^{\text{sing}}$, the image $f^\bullet(y)$ is contained in X^{sing} , where $(-)^{\text{sing}}$ denotes the singular locus of $(-)$.
- (iv) For each $y \in Y^{\text{sing}}$, the local morphism between two nodes induced by f^\bullet may be described as

$$\begin{aligned} \hat{\mathcal{O}}_{X, f^\bullet(y)} &\cong k[[u, v]]/uv \rightarrow \hat{\mathcal{O}}_{Y, y} \cong k[[s, t]]/st, \\ &u \mapsto s^n, \\ &v \mapsto t^n, \end{aligned}$$

where $(n, \text{char}(k)) = 1$ if $\text{char}(k) > 0$. Moreover, write $D_y \subseteq G$ for the decomposition group of y and $\#D_y$ for the cardinality of D_y ; then

$$\tau(s) = \zeta_{\#D_y} s \quad \text{and} \quad \tau(t) = \zeta_{\#D_y}^{-1} t$$

for each $\tau \in D_y$, where $\zeta_{\#D_y}$ is a primitive $\#D_y$ th root of unity.

- (v) The local morphism between two marked points induced by f^\bullet may be described as follows:

$$\begin{aligned} \hat{\mathcal{O}}_{X, f^\bullet(y)} &\cong k[[a]] \rightarrow \hat{\mathcal{O}}_{Y, y} \cong k[[b]], \\ a &\mapsto b^m, \end{aligned}$$

where $(m, \text{char}(k)) = 1$ if $\text{char}(k) > 0$ (i.e., a tamely ramified extension).

Moreover, we shall call f^\bullet an *admissible covering* if there exists a morphism of pointed stable curves $(f^\bullet)' : (Y^\bullet)' \rightarrow Y^\bullet$ over $\text{Spec } k$ such that the composite morphism $f^\bullet \circ (f^\bullet)' : (Y^\bullet)' \rightarrow X^\bullet$ is a Galois admissible covering over $\text{Spec } k$. Let Z^\bullet be the disjoint union of finitely many pointed stable curves over $\text{Spec } k$. We shall call a morphism

$$Z^\bullet \rightarrow X^\bullet$$

over $\text{Spec } k$ a *multi-admissible covering* if the restriction of $Z^\bullet \rightarrow X^\bullet$ to each connected component of Z^\bullet is admissible.

We define a category $\text{Cov}^{\text{adm}}(X^\bullet)$ as follows:

- (i) Each object of $\text{Cov}^{\text{adm}}(X^\bullet)$ is either an empty object or a multi-admissible covering of X^\bullet .
- (ii) For any $A, B \in \text{Cov}^{\text{adm}}(X^\bullet)$, $\text{Hom}(A, B)$ consists of all the morphisms whose restriction to each connected component of B is a multi-admissible covering.

It is well known that $\text{Cov}^{\text{adm}}(X^\bullet)$ is a Galois category. Thus, by choosing a base point $x \in X^{\text{sm}} \setminus D_X$, we obtain a fundamental group $\pi_1^{\text{adm}}(X^\bullet, x)$ which is called the *admissible fundamental group* of X^\bullet . For simplicity of notation, we omit the base point and denote by

$$\Pi_{X^\bullet}$$

the admissible fundamental group of X^\bullet . Then we have the natural surjections

$$\Pi_{X^\bullet} \twoheadrightarrow \Pi_{X^\bullet}^{\text{ét}} \twoheadrightarrow \Pi_{X^\bullet}^{\text{top}}.$$

For more details on admissible coverings and the admissible fundamental groups for pointed stable curves, see [M1, Sect. 3], [M2, Sect. 2], and [M3, Appendix, “Pointed stable curves”].

Remark 2.2.1. Let $\overline{\mathcal{M}}_{g,n}$ be the moduli stack of pointed stable curves of type (g, n) over $\text{Spec } \mathbb{Z}$ and $\mathcal{M}_{g,n}$ the open substack of $\overline{\mathcal{M}}_{g,n}$ parametrizing pointed smooth curves. Write $\overline{\mathcal{M}}_{g,n}^{\text{log}}$ for the log stack obtained by equipping $\overline{\mathcal{M}}_{g,n}$ with the natural log structure associated to the divisor with normal crossings

$$\overline{\mathcal{M}}_{g,n} \setminus \mathcal{M}_{g,n} \subset \overline{\mathcal{M}}_{g,n}$$

relative to $\text{Spec } \mathbb{Z}$. The pointed stable curve $X^\bullet \rightarrow \text{Spec } k$ induces a morphism $\text{Spec } k \rightarrow \overline{\mathcal{M}}_{g_X, n_X}$. Write s_X^{log} for the log scheme whose underlying scheme is $\text{Spec } k$, and whose log structure is the pulling-back log structure induced by the morphism $\text{Spec } k \rightarrow \overline{\mathcal{M}}_{g_X, n_X}$. We obtain a natural morphism $s_X^{\text{log}} \rightarrow \overline{\mathcal{M}}_{g_X, n_X}^{\text{log}}$ induced by the morphism $\text{Spec } k \rightarrow \overline{\mathcal{M}}_{g_X, n_X}$ and a stable log curve

$$X^{\text{log}} := s_X^{\text{log}} \times_{\overline{\mathcal{M}}_{g_X, n_X}^{\text{log}}} \overline{\mathcal{M}}_{g_X, n_X+1}^{\text{log}}$$

over s_X^{log} whose underlying scheme is X . Then the admissible fundamental group Π_{X^\bullet} of X^\bullet is naturally isomorphic to the geometric log étale fundamental group of X^{log} (i.e., $\ker(\pi_1(X^{\text{log}}) \rightarrow \pi_1(s_X^{\text{log}}))$).

Remark 2.2.2. If X^\bullet is smooth over k , by the definition of admissible fundamental groups, then the admissible fundamental group of X^\bullet is naturally isomorphic to the tame fundamental group of $X \setminus D_X$.

In the remainder of this section we suppose that the characteristic of k is $p > 0$.

Definition 2.3. We define the p -rank of X^\bullet to be

$$\sigma(X^\bullet) := \dim_{\mathbb{F}_p} (\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{F}_p) = \dim_{\mathbb{F}_p} (\Pi_{X^\bullet}^{\text{ét, ab}} \otimes \mathbb{F}_p),$$

where $(-)^{\text{ab}}$ denotes the abelianization of $(-)$.

Remark 2.3.1. For each $v \in v(\Gamma_{X^\bullet})$, write X_v for the irreducible components of X corresponding to v . Then it is easy to prove that

$$\sigma(X^\bullet) = \sigma(X) = \sum_{v \in v(\Gamma_{X^\bullet})} \sigma(\widetilde{X}_v) + r_X,$$

where $\widetilde{(-)}$ denotes the normalization of $(-)$.

Definition 2.4. Let Π be a profinite group, n a natural number, and ℓ a prime number.

- (a) We denote by $\Pi(n)$ the topological closure of the subgroup $[\Pi, \Pi]\Pi^n$ of Π . Note that $\Pi/\Pi(n) = \Pi^{\text{ab}} \otimes (\mathbb{Z}/n\mathbb{Z})$.
- (b) We set $\gamma_\ell := \dim_{\mathbb{F}_\ell} (\Pi/\Pi(\ell)) \in \mathbb{Z}_{\geq 0} \cup \{\infty\}$.

(c) Let n be a natural number such that $[\Pi : \Pi(n)] < \infty$. We define the ℓ -average of Π to be

$$\gamma_\ell^{\text{av}}(n)(\Pi) := \gamma_\ell(\Pi(n))/[\Pi : \Pi(n)] \in \mathbb{Q}_{\geq 0} \cup \{\infty\}.$$

The following highly nontrivial result concerning the p -average of Π_{X^\bullet} was proved by Tamagawa (cf. [T5, Thm. 3.10]).

Proposition 2.5. *For any natural number $t \in \mathbb{N}$, we set*

$$\gamma_p^{\text{av}}(p^t - 1)(X^\bullet) := \gamma_p^{\text{av}}(p^t - 1)(\Pi_{X^\bullet}).$$

Suppose that, for any $v \in v(\Gamma_{X^\bullet}^{\text{cpt}})$, $\Gamma_{X^\bullet}^{\text{cpt}}$ is 2-connected at v . Then we have

$$\lim_{t \rightarrow \infty} \gamma_p^{\text{av}}(p^t - 1)(X^\bullet) = g_X - r_X - \#(v(\Gamma_{X^\bullet})^{b \leq 1}).$$

Remark 2.5.1. Tamagawa proved Proposition 2.5 as a main theorem of [T3] in the case where X^\bullet is a smooth pointed stable curve over k , by developing a general theory of Raynaud’s theta divisor; this result means that the genus of X^\bullet can be reconstructed group-theoretically from the tame fundamental group of $X \setminus D_X$. Afterwards, in [T5], Tamagawa extends the result to the case where X^\bullet is a certain pointed stable curve over k by using a result concerning the abelian injectivity of admissible fundamental groups.

§3. The set of irreducible components

We maintain the notation introduced in Section 2. Let X^\bullet be a pointed stable curve over an algebraically closed field k of characteristic $p > 0$. In this section, we study the set of irreducible components of X^\bullet .

Definition 3.1. Let $Z^\bullet := (Z, D_Z)$ be any pointed stable curve over $\text{Spec } k$. Write Γ_{Z^\bullet} for the dual semi-graph of Z^\bullet . We shall call Z^\bullet *untangled* (resp. *sturdy*) if each irreducible component of Z^\bullet is smooth (resp. the genus of the normalization of each irreducible component of Z^\bullet is ≥ 2). Write $\text{Irr}(Z^\bullet)$ for the set of irreducible components of Z . We define a set of irreducible components of Z to be

$$\text{Irr}(Z^\bullet)^{\sigma > 0} := \{Z_v, v \in v(\Gamma_{Z^\bullet}) \mid \sigma(\widetilde{Z}_v) > 0\} \subseteq \text{Irr}(Z^\bullet).$$

We have the following proposition.

Proposition 3.2. *There exists a connected Galois admissible covering*

$$f^\bullet : Y^\bullet \rightarrow X^\bullet$$

over $\text{Spec } k$ such that Y^\bullet is untangled and sturdy, and $\text{Irr}(Y^\bullet)^{\sigma > 0} = \text{Irr}(Y^\bullet)$.

Proof. The proposition follows immediately from [M2, Lem. 2.9] and Proposition 2.5. \square

In the remainder of this section, we suppose that $\text{Irr}(X^\bullet)^{\sigma>0} \neq \emptyset$. Write M_{X^\bullet} and $M_{X^\bullet}^{\text{top}}$ for $H_{\text{ét}}^1(X^\bullet, \mathbb{F}_p)$ and $H^1(\Gamma_{X^\bullet}, \mathbb{F}_p)$, respectively. Note that there is a natural injection $M_{X^\bullet}^{\text{top}} \hookrightarrow M_{X^\bullet}$ induced by the natural surjection $\Pi_{X^\bullet} \rightarrow \Pi_{X^\bullet}^{\text{top}}$. We set

$$M_{X^\bullet}^{\text{ntop}} := \text{coker}(M_{X^\bullet}^{\text{top}} \hookrightarrow M_{X^\bullet}).$$

The elements of M_{X^\bullet} correspond to étale, Galois abelian coverings of X^\bullet of degree p . Let $V^* \subseteq M_{X^\bullet}$ be the subset of elements whose image in $M_{X^\bullet}^{\text{ntop}}$ is not 0. Let $\alpha \in V^*$. Write $f_\alpha^\bullet : X_\alpha^\bullet \rightarrow X^\bullet$ for the étale covering correspond to α . Then we obtain a map

$$\iota : V^* \rightarrow \mathbb{Z}$$

such that $\iota(\alpha) = \#(\text{Irr}(X_\alpha^\bullet))$. Let $V \subseteq V^*$ be the subset of elements α , where ι attains its maximum. We set

$$m := \#\{X_v \subseteq \text{Irr}(X^\bullet) \mid f_\alpha^\bullet \text{ is a non-trivial étale covering over } X_v\}.$$

Then we have

$$\iota(\alpha) = p(\#\text{Irr}(X^\bullet) - m) + m.$$

Thus ι attains its maximum if and only if $\iota(\alpha) = p(\#\text{Irr}(X^\bullet) - 1) + 1$. Moreover, if $\alpha \in V$, we write $X^{\bullet,\alpha}$ for the admissible covering corresponding to α , $\Gamma_{X^{\bullet,\alpha}}$ for the dual semi-graph of $X^{\bullet,\alpha}$, r_{X^α} for $\dim_{\mathbb{C}}(H^1(\Gamma_{X^{\bullet,\alpha}}, \mathbb{C}))$, and X_v^α for the unique irreducible component of X^\bullet over which f_α^\bullet is a non-trivial étale covering. We observe that

$$\iota(\alpha) = p(\#\text{Irr}(X^\bullet) - 1) + 1$$

if and only if

$$r_{X^\alpha} = pr_X.$$

Next we define a pre-equivalence relation \sim on V as follows:

Let $\alpha, \beta \in V$; then $\alpha \sim \beta$ if, for each $\lambda, \mu \in \mathbb{F}_p^\times$ for which $\lambda\alpha + \mu\beta \in V^*$, we have $\lambda\alpha + \mu\beta \in V$.

Then we have the following lemma.

Lemma 3.3. *Suppose that $\text{Irr}(X^\bullet)^{\sigma>0} \neq \emptyset$. The pre-equivalence relation \sim on V is an equivalence relation, and, moreover, the quotient set V/\sim is naturally isomorphic to $\text{Irr}(X^\bullet)^{\sigma>0}$ which maps $[\alpha] \mapsto X_v^\alpha$, where $[\alpha]$ denotes the image of $\alpha \in V$ in V/\sim .*

Proof. For any $\delta \in V$, if $\iota(\delta)$ attains its maximum it implies that there exists a unique irreducible component $I_{X_\delta}^\delta \subseteq X_\delta^\bullet$ whose decomposition group is not trivial. We write $I_{X^\bullet}^\delta \subseteq X^\bullet$ for the image of $I_{X_\delta}^\delta$ of the covering morphism $X_\delta^\bullet \rightarrow X^\bullet$. Note that $I_{X^\bullet}^\delta \in \text{Irr}(X^\bullet)^{\sigma > 0}$. Then $V = \emptyset$ if and only if $\text{Irr}(X^\bullet)^{\sigma > 0} = \emptyset$.

We suppose that $\text{Irr}(X^\bullet)^{\sigma > 0} \neq \emptyset$. Let $\alpha, \beta \in V$. If $I_{X^\bullet}^\alpha = I_{X^\bullet}^\beta$, then, for each $\lambda, \mu \in \mathbb{F}_p^\times$ for which $\lambda\alpha + \mu\beta \in V^*$, we have $I_{X^\bullet}^{\lambda\alpha + \mu\beta} = I_{X^\bullet}^\alpha = I_{X^\bullet}^\beta$. Thus $\alpha \sim \beta$. On the other hand, if $\alpha \sim \beta$ we have $I_{X^\bullet}^\alpha = I_{X^\bullet}^\beta$; otherwise there exist two irreducible components of $X_{\alpha+\beta}^\bullet$ whose decomposition groups are not trivial. Thus $\alpha \sim \beta$ if and only if $I_{X^\bullet}^\alpha = I_{X^\bullet}^\beta$. This means that \sim is an equivalence relation on V . Then we obtain a natural morphism $\kappa : V / \sim \rightarrow \text{Irr}(X^\bullet)^{\sigma > 0}$ that maps $\delta \mapsto I_{X^\bullet}^\delta$.

Let us prove that κ is a bijection. It is easy to see that κ is an injection. For any irreducible component $X_v \in \text{Irr}(X^\bullet)^{\sigma > 0}$, since the p -rank of the normalization of X_v is not 0, we may construct an étale, Galois abelian covering $f^\bullet : Y^\bullet \rightarrow X^\bullet$ of degree p such that X_v is the unique irreducible component of X^\bullet such that $(f^\bullet)^{-1}(X_v)$ is connected. Then $\#(\text{Irr}(Y^\bullet)) = p(\#(\text{Irr}(X^\bullet)) - 1) + 1$. Thus we obtain an element of V corresponding to Y^\bullet . This means that κ is a surjection. We complete the proof of the lemma. □

Remark 3.3.1. Suppose that Γ_{X^\bullet} is 2-connected. Let $\gamma \in M_{X^\bullet}^{\text{top}}$, $\alpha \in M_{X^\bullet}$, $X_\gamma^\bullet \rightarrow X^\bullet$ be the admissible covering corresponding to γ , and $X_\alpha^\bullet \rightarrow X^\bullet$ be the admissible covering corresponding to α . Write $X_{\alpha,\gamma}^\bullet$ for the fiber product $X_\alpha^\bullet \times_{X^\bullet} X_\gamma^\bullet$. Note that the dual semi-graphs $\Gamma_{X_\gamma^\bullet}$ and $\Gamma_{X_{\alpha,\gamma}^\bullet}$ of X_γ^\bullet and $X_{\alpha,\gamma}^\bullet$, respectively, are 2-connected, and the dual semi-graph $\Gamma_{X_\alpha^\bullet}$ of X_α^\bullet is *not* 2-connected if $\alpha \in V$. Then it is easy to see that $\alpha \in V$ if and only if the Betti numbers satisfies

$$r_{X_{\alpha,\gamma}^\bullet} = pr_{X_\gamma^\bullet} + p^2 - 2p + 1.$$

§4. Geometry of admissible coverings

We maintain the notation introduced in the previous sections. Let X^\bullet be a pointed stable curve over an algebraically closed field k of characteristic $p > 0$. In this section, we study the admissible coverings of X^\bullet .

Lemma 4.1. *Let $\ell \neq 2$ be a prime number and*

$$\sum_{i=1}^n x_i = 0$$

a linear indeterminate equation. Suppose that $n \geq 2$. Then there exists a solution $(a_1, \dots, a_n) \in (\mathbb{Z}/\ell\mathbb{Z})^{\oplus n}$ such that $a_i \neq 0$ for each $i = 1, \dots, n$.

Proof. The lemma follows from elementary computation. □

Condition 4.2. Let $Z^\bullet := (Z, D_Z)$ be any pointed stable curve over $\text{Spec } k$. Write $\text{Cusp}(Z^\bullet)$ for the set of marked points D_Z of Z^\bullet . We shall say that Z^\bullet satisfies Condition 4.2 if the following conditions hold:

- (a) Z^\bullet is untangled and sturdy.
- (b) For any two irreducible components $Z_v, Z_{v'} \subseteq Z$ distinct from each other, if $Z_v \cap Z_{v'} \neq \emptyset$, we have $\#(Z_v \cap Z_{v'}) \geq 3$.
- (c) For each irreducible component $Z_v \subseteq Z$, if $Z_v \cap \text{Cusp}(Z^\bullet) \neq \emptyset$, we have $\#(Z_v \cap \text{Cusp}(Z^\bullet)) \geq 3$.

We have the following propositions.

Proposition 4.3. Suppose that $\text{Cusp}(X^\bullet) \neq \emptyset$, and X^\bullet satisfies Condition 4.2. Let $q \in \text{Cusp}(X^\bullet)$. Then for any prime number $\ell \neq 2$ distinct from p , there exists a Galois admissible covering $f^\bullet : Y^\bullet \rightarrow X^\bullet$ of degree ℓ such that f^\bullet is étale over q , and f^\bullet is totally ramified over $\text{Cusp}(X^\bullet) \setminus \{q\}$.

Proof. Since the maximal pro- ℓ quotients of admissible fundamental groups of pointed stable curves of type (g, n) do not depend on the moduli, without loss of generality, we may assume that $\#\text{Irr}(X^\bullet) = 1$. If X^\bullet is smooth over $\text{Spec } k$, then $\#(\text{Cusp}(X^\bullet) \setminus \{q\}) \geq 2$. Thus the proposition follows from the structure of the maximal pro- ℓ quotient of the admissible fundamental group of Π_{X^\bullet} and Lemma 4.1. This completes the proof of the proposition. \square

Proposition 4.4. Write $\text{Nod}(X^\bullet)$ for the set of nodes of X^\bullet . Suppose that

$$\text{Nod}(X^\bullet) \neq \emptyset,$$

and X^\bullet satisfies Condition 4.2. Let $q \in \text{Nod}(X^\bullet)$. Then for any prime number $\ell \neq 2$ distinct from p , there exists a Galois admissible covering $f^\bullet : Y^\bullet \rightarrow X^\bullet$ of degree ℓ such that f^\bullet is étale over q , and f^\bullet is totally ramified over $\text{Nod}(X^\bullet) \setminus \{q\}$.

Proof. We prove the proposition by induction on $\#\text{Irr}(X^\bullet) \geq 2$. Suppose that $\#\text{Irr}(X^\bullet) = 2$. Write X_q for an irreducible component of X which contains q . We set

$$\text{Cusp}(X_q) := X_q \cap \text{Cusp}(X^\bullet)$$

and

$$\text{Sing}(X_q) := X_q \cap \text{Nod}(X^\bullet).$$

Write $X_{\setminus q}$ for the irreducible component of X distinct from X_q . We set

$$\text{Cusp}(X_{\setminus q}) := X_{\setminus q} \cap \text{Cusp}(X^\bullet)$$

and

$$\text{Sing}(X_{\setminus q}) := X_{\setminus q} \cap \text{Nod}(X^\bullet).$$

Moreover, we define two pointed stable curves over $\text{Spec } k$ to be

$$X_q^\bullet := (X_q, \text{Cusp}(X_q) \cup \text{Sing}(X_q))$$

and

$$X_{\setminus q}^\bullet := (X_{\setminus q}, \text{Cusp}(X_{\setminus q}) \cup \text{Sing}(X_{\setminus q})).$$

Note that we have a natural bijection $\theta : \text{Sing}(X_q) \xrightarrow{\sim} \text{Sing}(X_{\setminus q})$ determined by X^\bullet .

Since X^\bullet satisfies Condition 4.2, then Lemma 4.1 implies that there exists a solution $(a_\nu)_{\nu \in \text{Sing}(X_q) \setminus \{q\}}$ (resp. $(b_\nu)_{\nu \in \text{Cusp}(X_q)}$, $(c_\nu)_{\nu \in \text{Cusp}(X_{\setminus q})}$) of the linear indeterminate equation

$$\sum_{\nu \in \text{Sing}(X_q) \setminus \{q\}} x_\nu = 0 \quad \left(\text{resp.} \quad \sum_{\nu \in \text{Cusp}(X_q)} x_\nu = 0, \quad \sum_{\nu \in \text{Cusp}(X_{\setminus q})} x_\nu = 0 \right)$$

in $\mathbb{Z}/\ell\mathbb{Z}$ such that $a_\nu \neq 0$ (resp. $b_\nu \neq 0$, $c_\nu \neq 0$) for each $\nu \in \text{Sing}(X_q) \setminus \{q\}$ (resp. $\nu \in \text{Cusp}(X_q)$, $\nu \in \text{Cusp}(X_{\setminus q})$). For any $\nu \in \text{Sing}(X_q) \setminus \{q\}$, we set $d_{\theta(\nu)} := -a_\nu$. Then $(d_{\theta(\nu)})_{\nu \in \text{Sing}(X_q) \setminus \{q\}}$ is a solution of the linear indeterminate equation

$$\sum_{\nu \in \text{Sing}(X_{\setminus q}) \setminus \{\theta(q)\}} x_\nu = 0$$

in $\mathbb{Z}/\ell\mathbb{Z}$.

Write $\Pi_{X_q^\bullet}^{\ell, \text{ab}}$ (resp. $\Pi_{X_{\setminus q}^\bullet}^{\ell, \text{ab}}$) for the abelianization of the maximal pro- ℓ quotient of the admissible fundamental group of X_q^\bullet (resp. $X_{\setminus q}^\bullet$). Moreover, for each $\nu \in \text{Sing}(X_q)$ (resp. $\nu \in \text{Cusp}(X_q)$, $\nu \in \text{Sing}(X_{\setminus q})$, $\nu \in \text{Cusp}(X_{\setminus q})$), we write α_ν (resp. β_ν , δ_ν , γ_ν) for a generator of the inertia group associated to ν in $\Pi_{X_q^\bullet}^{\ell, \text{ab}}$ (resp. $\Pi_{X_q^\bullet}^{\ell, \text{ab}}$, $\Pi_{X_{\setminus q}^\bullet}^{\ell, \text{ab}}$, $\Pi_{X_{\setminus q}^\bullet}^{\ell, \text{ab}}$). The structure of $\Pi_{X_q^\bullet}^{\ell, \text{ab}}$ (resp. $\Pi_{X_{\setminus q}^\bullet}^{\ell, \text{ab}}$) implies that we may construct a morphism from $\Pi_{X_q^\bullet}^{\ell, \text{ab}}$ (resp. $\Pi_{X_{\setminus q}^\bullet}^{\ell, \text{ab}}$) to $\mathbb{Z}/\ell\mathbb{Z}$ that maps $\alpha_\nu \mapsto a_\nu$ for $\nu \in \text{Sing}(X_q) \setminus \{q\}$, $\alpha_q \mapsto 0$, and $\beta_\nu \mapsto b_\nu$ for $\nu \in \text{Cusp}(X_q) \setminus \{q\}$ (resp. $\delta_\nu \mapsto d_{\theta(\nu)}$ for $d_{\theta(\nu)} \in \text{Sing}(X_{\setminus q}) \setminus \{\theta(q)\}$, $\delta_{\theta(q)} \mapsto 0$, and $\gamma_\nu \mapsto c_\nu$ for $\nu \in \text{Cusp}(X_{\setminus q}^\bullet)$). Then we obtain two Galois admissible coverings

$$f_q^\bullet : Y_q^\bullet \rightarrow X_q^\bullet$$

and

$$f_{\setminus q}^\bullet : Y_{\setminus q}^\bullet \rightarrow X_{\setminus q}^\bullet$$

over $\text{Spec } k$ of degree ℓ ; moreover, f_q^\bullet is totally ramified over

$$(\text{Cusp}(X_q) \cup \text{Sing}(X_q)) \setminus \{q\}$$

and étale over q , then $f_{\setminus q}^\bullet$ is totally ramified over

$$(\text{Cusp}(X_{\setminus q}) \cup \text{Sing}(X_{\setminus q})) \setminus \{\theta(q)\}$$

and étale over $\theta(q)$.

Thus, by gluing f_q^\bullet and $f_{\setminus q}^\bullet$ together, we obtain a Galois admissible covering $f^\bullet : Y^\bullet \rightarrow X^\bullet$ of degree ℓ such that f^\bullet is étale over q , and f^\bullet is totally ramified over $\text{Cusp}(X^\bullet)$ and $\text{Nod}(X^\bullet) \setminus \{q\}$.

Suppose that $\#\text{Irr}(X^\bullet) \geq 3$. Let X_1 be an irreducible component such that $q \notin X_1$. Write X_2 for $\overline{X \setminus X_1}$, where $\overline{\{-\}}$ denotes the closure of $\{-\}$. We define two pointed stable curves over k to be

$$X_1^\bullet := (X_1, (\text{Cusp}(X^\bullet) \cup \text{Sing}(X^\bullet)) \cap X_1)$$

and

$$X_2^\bullet := (X_2, (\text{Cusp}(X^\bullet) \cup X_1) \cap X_2).$$

By induction, we have a Galois admissible covering

$$f_2^\bullet : Y_2^\bullet \rightarrow X_2^\bullet$$

of degree ℓ such that f_2^\bullet is totally ramified over $((\text{Cusp}(X^\bullet) \cup X_1) \cap X_2)$ and $(X_2 \cap \text{Sing}(X^\bullet)) \setminus \{q\}$, and étale over q . Moreover, we may construct a Galois admissible covering

$$f_1^\bullet : Y_1^\bullet \rightarrow X_1^\bullet$$

such that f_1^\bullet is totally ramified over $(\text{Cusp}(X^\bullet) \cup \text{Sing}(X^\bullet)) \cap X_1$, and that f_1^\bullet and f_2^\bullet can be glued along $X_1 \cap X_2$ as an admissible covering of X^\bullet . Thus, by gluing f_1^\bullet and f_2^\bullet together, we obtain a Galois admissible covering $f^\bullet : Y^\bullet \rightarrow X^\bullet$ of degree ℓ such that f^\bullet is étale over q , and f^\bullet is totally ramified over $\text{Cusp}(X^\bullet)$ and $\text{Nod}(X^\bullet) \setminus \{q\}$. This completes the proof of the proposition. \square

§5. A result of pro- ℓ combinatorial anabelian geometry

Let ℓ be a prime number. In this section, we prove a result of pro- ℓ combinatorial anabelian geometry.

Definition 5.1. Let \mathcal{G} be a semi-graph of anabelioids of PSC-type. Write $\Pi_{\mathcal{G}}$ for the fundamental group of \mathcal{G} and $\Gamma_{\mathcal{G}}$ for the underlying semi-graph of \mathcal{G} .

- (a) We shall call \mathcal{G} untangled (resp. sturdy) if \mathcal{G} is isomorphic to the semi-graph of anabelioids of PSC-type arising from a untangled (resp. sturdy) pointed stable curve over an algebraically closed field (cf. [HM, Sect. 0, “Semi-graphs”] (resp. [M5, Def. 1(ii) & Rem. 1.1.5])).

- (b) For any open normal subgroup $H \subseteq \Pi_{\mathcal{G}}$, write \mathcal{G}_H for the Galois covering of \mathcal{G} determined by H , and write $\Gamma_{\mathcal{G}_H}$ for the underlying semi-graph of \mathcal{G}_H . We shall denote by $\Pi_{\mathcal{G}_H}^{\text{ab}/\text{edge}}$ the quotient of $\Pi_{\mathcal{G}_H}^{\text{ab}}$ by the closed subgroup generated by the images in $\Pi_{\mathcal{G}_H}^{\text{ab}}$ of the edge-like subgroups (cf. [HM, Def. 1.3(i)]).

In the remainder of this section, we suppose that \mathcal{G} is the semi-graph of anabelioids of PSC-type arising from a pointed stable curve over an algebraically closed field of characteristic $p > 0$; moreover, we suppose that $\ell \neq p$, and we write \mathcal{G}^ℓ for the semi-graph of anabelioids of pro- ℓ PSC-type induced by \mathcal{G} (cf. [M5, Def. 1.1(i)]). Write $\Pi_{\mathcal{G}^\ell}$ for the fundamental group of \mathcal{G}^ℓ . Then $\Pi_{\mathcal{G}^\ell}$ is naturally isomorphic to the maximal pro- ℓ quotient of $\Pi_{\mathcal{G}}$.

Condition 5.2. *We shall say that \mathcal{G}^ℓ satisfies Condition 5.2 if, for any open normal subgroup $H \subseteq \Pi_{\mathcal{G}^\ell}$, the set of vertices $v(\Gamma_{\mathcal{G}_H}^\ell)$ of $\Gamma_{\mathcal{G}_H}^\ell$, the morphism*

$$v(\Gamma_{\mathcal{G}_H}^\ell) \rightarrow v(\Gamma_{\mathcal{G}^\ell})$$

induced by the Galois covering $\mathcal{G}_H^\ell \rightarrow \mathcal{G}^\ell$ determined by H , and $\Pi_{\mathcal{G}_H}^{\text{ab}/\text{edge}}$ can be reconstructed group-theoretically from H and $\Pi_{\mathcal{G}}$.

Then we have the following result.

Proposition 5.3. *Suppose that \mathcal{G}^ℓ satisfies Condition 5.2. Then the isomorphism class of \mathcal{G}^ℓ can be reconstructed group-theoretically from $\Pi_{\mathcal{G}}$.*

Proof. Since \mathcal{G}^ℓ satisfies Condition 5.2, the set of vertical-like groups of $\Pi_{\mathcal{G}^\ell}$ can be reconstructed group-theoretically from $\Pi_{\mathcal{G}}$; furthermore, [HM, Lem. 1.6] implies that the set of edges-like groups of $\Pi_{\mathcal{G}^\ell}$ can be reconstructed group-theoretically from $\Pi_{\mathcal{G}}$.

On the other hand, by applying [HM, Lem. 1.9(ii)] (resp. [HM, Lems. 1.7 & 1.9(i)]), we have that the set of vertices $v(\Gamma_{\mathcal{G}^\ell})$ (resp. the set of edges $e(\Gamma_{\mathcal{G}^\ell})$) of the underlying semi-graph $\Gamma_{\mathcal{G}^\ell}$ of \mathcal{G}^ℓ can be reconstructed group-theoretically from $\Pi_{\mathcal{G}}$. Moreover, [HM, Lem. 1.7] implies that the set of coincidence maps of $\Gamma_{\mathcal{G}^\ell}$ can be reconstructed group-theoretically from $\Pi_{\mathcal{G}}$. This completes the proof of the proposition. \square

Remark 5.3.1. Suppose that \mathcal{G}^ℓ satisfies Condition 5.2. Note that the reconstruction of \mathcal{G}^ℓ from $\Pi_{\mathcal{G}^\ell}$ is functorial. Let $H \subseteq \Pi_{\mathcal{G}^\ell}$ be a normal open subgroup and $\mathcal{G}_H^\ell \rightarrow \mathcal{G}^\ell$ the covering corresponding to H . Then it is easy to see that the morphism of underlying graphs $\Gamma_{\mathcal{G}_H^\ell} \rightarrow \Gamma_{\mathcal{G}^\ell}$ induced by $\mathcal{G}_H^\ell \rightarrow \mathcal{G}^\ell$ can be reconstructed group-theoretically from H and $\Pi_{\mathcal{G}^\ell}$.

§6. A version of the Grothendieck conjecture for semi-graphs of anabelioids of PSC-type in positive characteristic

We maintain the notation introduced in the previous sections. Let X^\bullet be a pointed stable curve over an algebraically closed field k . Write \mathcal{G}_{X^\bullet} for the semi-graph of anabelioids of PSC-type arising from X^\bullet . In this section, we will give a group-theoretic reconstruction for \mathcal{G}_{X^\bullet} from Π_{X^\bullet} .

For any open normal subgroup $H \subseteq \Pi_{X^\bullet}$, we write $X_H^\bullet \rightarrow X^\bullet$ for the Galois admissible covering of X^\bullet determined by H , $\Gamma_{X_H^\bullet}$ for the dual semi-graph of X_H^\bullet , r_{X_H} for $\dim_{\mathbb{C}} H^1(\Gamma_{X_H^\bullet}, \mathbb{C})$, g_{X_H} for the genus of X_H^\bullet and n_{X_H} for the cardinality of the set of marked points of X_H^\bullet . Then in order to reconstruct \mathcal{G}_{X^\bullet} group-theoretically from Π_{X^\bullet} , we need to prove that, for any open normal subgroup $H \subseteq \Pi_{X^\bullet}$, the morphism of dual semi-graphs $\Gamma_{X_H^\bullet} \rightarrow \Gamma_{X^\bullet}$ induced by the Galois admissible covering $X_H^\bullet \rightarrow X^\bullet$ determined by H can be reconstructed group-theoretically from Π_{X^\bullet} .

In this section, we assume only that Π_{X^\bullet} is the admissible fundamental group of a pointed stable curve X^\bullet defined over an algebraically closed field k . First, we have the following basic proposition.

Proposition 6.1. *The characteristic $p := \text{char}(k)$ can be reconstructed group-theoretically from Π_{X^\bullet} .*

Proof. Suppose that $p > 0$. If

$$\dim_{\mathbb{F}_\ell}(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{F}_\ell) = \dim_{\mathbb{F}_{\ell'}}(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{F}_{\ell'})$$

holds for any two prime numbers ℓ and ℓ' , then either

$$\text{char}(k) = g_X = 2g_X + n_X - 1$$

or

$$\text{char}(k) = g_X = 2g_X$$

holds. Thus we obtain that either $(g_X, n_X) = (0, 1)$ or $(g_X, n_X) = (0, 0)$ holds. Since Π_{X^\bullet} is the admissible fundamental group of a pointed stable curve, this is a contradiction. Thus, if $\dim_{\mathbb{F}_\ell}(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{F}_\ell) = \dim_{\mathbb{F}_{\ell'}}(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{F}_{\ell'})$ holds for any two prime numbers ℓ and ℓ' , we have $p = 0$. Then we can detect whether $p > 0$, or not, group-theoretically from Π_{X^\bullet} . Moreover, if $p > 0$, then p is the unique prime number such that $\dim_{\mathbb{F}_p}(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{F}_p) \neq \dim_{\mathbb{F}_\ell}(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{F}_\ell)$ for each prime number $\ell \neq p$. \square

In the remainder of this section, we assume that $p := \text{char}(k) > 0$. Next let us introduce some conditions on semi-graphs.

Condition 6.2. Let \mathbb{G} be a semi-graph. We shall say that \mathbb{G} satisfies Condition 6.2 if \mathbb{G}^{cpt} is 2-connected and

$$\#(v(\mathbb{G})^{b \leq 1}) = 0.$$

Remark 6.2.1. If Γ_{X^\bullet} satisfies Condition 6.2, Proposition 2.5 implies that

$$\lim_{t \rightarrow \infty} \gamma_p^{\text{av}}(p^t - 1)(X^\bullet) = g_X - r_X.$$

Lemma 6.3. There exists an open characteristic subgroup $N \subseteq \Pi_{X^\bullet}$ such that

- (a) the order of N is prime to p ;
- (b) X_N^\bullet satisfies Condition 4.2;
- (c) $\Gamma_{X_N^\bullet}$ satisfies Condition 6.2;
- (d) N can be reconstructed group-theoretically from Π_{X^\bullet} .

Proof. Let $\ell \gg 0$ be a prime number distinct from p . Write $\Pi_{X^\bullet}^\ell$ for the maximal pro- ℓ quotient of Π_{X^\bullet} , and $\text{pr}^\ell : \Pi_{X^\bullet} \rightarrow \Pi_{X^\bullet}^\ell$ for the natural quotient morphism. Let ℓ be a prime number distinct from p , and let $\{\mathcal{G}_i^\ell\}_{i \in I}$ be a set of semi-graphs of anabelioids of pro- ℓ PSC-type such that

- (i) $\Pi_{\mathcal{G}_i^\ell} \cong \Pi_{X^\bullet}^\ell$ for each $i \in I$;
- (ii) for any semi-graph of anabelioids of pro- ℓ PSC-type \mathcal{G}^ℓ , if $\Pi_{\mathcal{G}^\ell} \cong \Pi_{X^\bullet}^\ell$, then there exists $\mathcal{G}_i^\ell \in \{\mathcal{G}_i^\ell\}_{i \in I}$ such that $\mathcal{G}^\ell \cong \mathcal{G}_i^\ell$;
- (iii) for any $i, j \in I$, $\mathcal{G}_i^\ell \cong \mathcal{G}_j^\ell$ if and only if $i = j$.

Let \mathcal{H} be a semi-graph of anabelioids of pro- ℓ PSC-type arising from a pointed stable curve W^\bullet over an algebraically closed field and $\Gamma_{\mathcal{H}}$ the underlying semi-graph of \mathcal{H} . Then the isomorphism class of \mathcal{H} is determined completely by $\Gamma_{\mathcal{H}}$ and the genera of irreducible components of W^\bullet corresponding to the vertices of $\Gamma_{\mathcal{H}}$. Thus we obtain that the set of isomorphism classes of the semi-graphs of anabelioids of pro- ℓ PSC-type whose fundamental groups are isomorphic to $\Pi_{X^\bullet}^\ell$ is finite. This means that I is a finite set.

For each $i \in I$, let $\mathcal{G}_{K_i}^\ell \rightarrow \mathcal{G}_i^\ell$ and $(\mathcal{G}_{K_i}^\ell)_{L_i} \rightarrow \mathcal{G}_{K_i}^\ell$ be two Galois coverings whose Galois groups are isomorphic to

$$K_i := \ker(\Pi_{\mathcal{G}_i^\ell} \rightarrow \Pi_{\mathcal{G}_i^\ell}^{\text{ab}} \otimes \mathbb{F}_\ell)$$

and

$$L_i := \ker(\Pi_{\mathcal{G}_{K_i}^\ell} \rightarrow \Pi_{\mathcal{G}_{K_i}^\ell}^{\text{ab}} \otimes \mathbb{F}_\ell),$$

respectively. It is easy to see that $(\mathcal{G}_{K_i}^\ell)_{L_i}$ is isomorphic to the semi-graph of anabelioids of pro- ℓ PSC-type arising from a pointed stable curve satisfying Condition 4.2, and that the underlying semi-graph of $(\mathcal{G}_{K_i}^\ell)_{L_i}$ satisfies Condition 6.2.

Let N_i be a maximal open characteristic subgroup of $\Pi_{\mathcal{G}_i^\ell}$ contained in L_i . Thus $\mathcal{G}_{N_i}^\ell$ is isomorphic to the semi-graph of anabelioids of pro- ℓ PSC-type arising from a pointed stable curve satisfying Condition 4.2, and the underlying semi-graph of $\mathcal{G}_{N_i}^\ell$ satisfies Condition 6.2. We set

$$N := (\text{pr}^\ell)^{-1} \left(\bigcap_{i \in I} N_i \right).$$

Then the lemma follows. □

If the dual semi-graph Γ_{X^\bullet} satisfies Condition 6.2, we have the following result.

Lemma 6.4. *Write $\Pi_{X^\bullet}^{p\text{-top}}$ for the maximal pro- p quotient of $\Pi_{X^\bullet}^{\text{top}}$. Suppose that Γ_{X^\bullet} satisfies Condition 6.2. Then*

$$\Pi_{X^\bullet}^{p\text{-top}}$$

can be reconstructed group-theoretically from Π_{X^\bullet} ; moreover,

$$g_X, \quad n_X \quad \text{and} \quad r_X$$

can be reconstructed group-theoretically from Π_{X^\bullet} .

Proof. Let H be any open normal subgroup of Π_{X^\bullet} . We note that, if Π_{X^\bullet}/H is a p -group, then the decomposition group of every irreducible component of X_H^\bullet is trivial if and only if

$$g_{X_H} - r_{X_H} = \#(\Pi_{X^\bullet}/H)(g_X - r_X).$$

Thus we may detect whether the equality

$$g_{X_H} - r_{X_H} = \#(\Pi_{X^\bullet}/H)(g_X - r_X)$$

holds, or not, group-theoretically from Π_{X^\bullet} and H if $\Gamma_{X_H^\bullet}$ is 2-connected.

We set

$$\begin{aligned} \text{Top}_p(\Pi_{X^\bullet}) := \{ & H \subseteq \Pi_{X^\bullet} \text{ open normal} \mid \Pi_{X^\bullet}/H \text{ is a } p\text{-group} \\ & \text{and, for any characteristic subgroup } Q \subseteq \Pi_{X^\bullet}, \\ & g_{X_{H \cap Q}} - r_{X_{H \cap Q}} = \#(\Pi_{X^\bullet}/(H \cap Q))(g_{X_Q} - r_{X_Q}) \}. \end{aligned}$$

Then $\Pi_{X^\bullet}^{p\text{-top}}$ can be reconstructed group-theoretically from Π_{X^\bullet} as

$$\Pi_{X^\bullet}^{p\text{-top}} = \Pi_{X^\bullet} / \left(\bigcap_{H \in \text{Top}_p(\Pi_{X^\bullet})} H \right).$$

Thus we obtain that $\Pi_{X^\bullet}^{p\text{-top}}$ and $r_X = \dim_{\mathbb{C}}(\Pi_{X^\bullet}^{p\text{-top,ab}} \otimes \mathbb{C})$ can be reconstructed group-theoretically from Π_{X^\bullet} . Moreover, the genus g_X can be reconstructed group-theoretically from Π_{X^\bullet} .

Next we reconstruct n_X . Let $\ell \neq p$ be a prime number. If

$$\dim_{\mathbb{F}_\ell}(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{F}_\ell) \neq 2g_X,$$

then we have

$$n_X = \dim_{\mathbb{F}_\ell}(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{F}_\ell) - 2g_X + 1.$$

Suppose that $\dim_{\mathbb{F}_\ell}(\Pi_{X^\bullet}^{\text{ab}} \otimes \mathbb{F}_\ell) = 2g_X$. Then $n_X = 0$ if, for any open normal subgroup $H \subseteq \Pi_{X^\bullet}$, $\dim_{\mathbb{F}_\ell}(H^{\text{ab}} \otimes \mathbb{F}_\ell) = 2g_{X_H}$. Otherwise, we have $n_X = 1$. This completes the proof of the lemma. \square

Lemma 6.4 implies the following corollary.

Corollary 6.5. *Suppose that Γ_{X^\bullet} satisfies Condition 6.2. Then the natural exact sequence*

$$0 \rightarrow M_{X^\bullet}^{\text{top}} \rightarrow M_{X^\bullet} \rightarrow M_{X^\bullet}^{\text{ntop}} \rightarrow 0$$

can be reconstructed group-theoretically from Π_{X^\bullet} . Moreover, the set

$$\text{Irr}(X^\bullet)^{\sigma > 0}$$

can be reconstructed group-theoretically from Π_{X^\bullet} .

Proof. Note that $M_{X^\bullet} = \text{Hom}(\Pi_{X^\bullet}, \mathbb{F}_p)$, $M_{X^\bullet}^{\text{top}} = \text{Hom}(\Pi_{X^\bullet}^{p\text{-top}}, \mathbb{F}_p)$ and

$$M_{X^\bullet}^{\text{top}} \hookrightarrow M_{X^\bullet}$$

is induced by the natural surjection $\Pi_{X^\bullet} \twoheadrightarrow \Pi_{X^\bullet}^{p\text{-top}}$. Then the corollary follows immediately from Lemma 3.3 and Lemma 6.4. \square

Next we reconstruct the set of vertices of Γ_{X^\bullet} from Π_{X^\bullet} . We have the following proposition.

Proposition 6.6. *The set of vertices*

$$v(\Gamma_{X^\bullet})$$

can be reconstructed group-theoretically from Π_{X^\bullet} . Moreover, for any open normal subgroup $Q \subseteq \Pi_{X^\bullet}$, the morphism

$$v(\Gamma_{X_Q^\bullet}) \twoheadrightarrow v(\Gamma_{X^\bullet})$$

on the sets of vertices induced by the admissible covering $X_Q^\bullet \rightarrow X^\bullet$ determined by Q can be reconstructed group-theoretically from Q and Π_{X^\bullet} .

Proof. Let $H \subseteq \Pi_{X^\bullet}$ be any open normal subgroup, and let $\{a_i\}_{i \in \Pi_{X^\bullet}/H} \subset \Pi_{X^\bullet}$ be a set of lifting of the elements of Π_{X^\bullet}/H such that $a_i \mapsto i$. Write $M_{X_H^\bullet}$ for $H_{\text{ét}}^1(X_H^\bullet, \mathbb{F}_p)$. Then, for any $i \in \Pi_{X^\bullet}/H$, the action of i on $M_{X_H^\bullet}$ is given by the conjugation by a_i . Thus, by applying Lemma 3.3, we have that the action of Π_{X^\bullet}/H on $M_{X_H^\bullet}$ induces an action of Π_{X^\bullet}/H on the set $\text{Irr}(X_H^\bullet)^{\sigma > 0}$. Note that the action of Π_{X^\bullet}/H on $\text{Irr}(X_H^\bullet)^{\sigma > 0}$ does not depend on the choices of $\{a_i\}_{i \in \Pi_{X^\bullet}/H}$. Thus we obtain a morphism

$$\text{Irr}(X_H^\bullet)^{\sigma > 0} \twoheadrightarrow \text{Irr}(X_H^\bullet)^{\sigma > 0}/(\Pi_{X^\bullet}/H) \subseteq \text{Irr}(X^\bullet).$$

By applying Lemma 6.3, we obtain a characteristic subgroup $N \subseteq \Pi_{X^\bullet}$ such that $\Gamma_{X_N^\bullet}$ satisfies Condition 6.2, and N can be reconstructed group-theoretically from Π_{X^\bullet} . For any open normal subgroup $H' \subseteq H \subset \Pi_{X^\bullet}$, we have a natural injection

$$\text{Irr}(X_{H \cap N}^\bullet)^{\sigma > 0}/(\Pi_{X^\bullet}/(H \cap N)) \hookrightarrow \text{Irr}(X_{H' \cap N}^\bullet)^{\sigma > 0}/(\Pi_{X^\bullet}/(H' \cap N)).$$

We set

$$\text{Irr}_{X^\bullet} := \varinjlim_{H \subseteq \Pi_{X^\bullet} \text{ open normal}} \text{Irr}(X_{H \cap N}^\bullet)^{\sigma > 0}/(\Pi_{X^\bullet}/(H \cap N)).$$

Then we see that $\text{Irr}_{X^\bullet} \subseteq \text{Irr}(X^\bullet)$. Moreover, Proposition 3.2 implies that $\text{Irr}_{X^\bullet} = \text{Irr}(X^\bullet)$.

By applying Remark 3.3.1 and Corollary 6.5, we have that $\text{Irr}(X_{H \cap N}^\bullet)^{\sigma > 0}$ can be reconstructed group-theoretically from Π_{X^\bullet} . Moreover, since the action of $\Pi_{X^\bullet}/(H \cap N)$ on $\text{Irr}(X_{H \cap N}^\bullet)^{\sigma > 0}$ can be reconstructed group-theoretically from Π_{X^\bullet} , $v(\Gamma_{X^\bullet}) = \text{Irr}(X^\bullet)$ can be reconstructed group-theoretically from Π_{X^\bullet} .

Let $Q \subseteq \Pi_{X^\bullet}$ be an open normal subgroup. We set $N_Q := Q \cap N$. Then, for any open normal subgroup $H \subseteq Q$, we have a natural morphism

$$\text{Irr}(X_{H \cap N_Q}^\bullet)^{\sigma > 0}/(Q/H \cap N_Q) \twoheadrightarrow \text{Irr}(X_{H \cap N}^\bullet)^{\sigma > 0}/(\Pi_{X^\bullet}/(H \cap N));$$

note that $H \cap N_Q = H \cap N$. Moreover, we set

$$\text{Irr}_{X_Q^\bullet} := \varinjlim_{H \subseteq Q \text{ open normal}} \text{Irr}(X_{H \cap N_Q}^\bullet)^{\sigma > 0}/(Q/(H \cap N_Q)).$$

Then we obtain a natural morphism

$$v(\Gamma_{X_Q^\bullet}) = \text{Irr}(X_Q^\bullet) = \text{Irr}_{X_Q^\bullet} \twoheadrightarrow \text{Irr}_{X^\bullet} = \text{Irr}(X^\bullet) = v(\Gamma_{X^\bullet}).$$

Since the morphism

$$\text{Irr}(X_{H \cap N_Q}^\bullet)^{\sigma > 0}/(Q/H \cap N_Q) \twoheadrightarrow \text{Irr}(X_{H \cap N}^\bullet)^{\sigma > 0}/(\Pi_{X^\bullet}/(H \cap N))$$

can be reconstructed group-theoretically from Π_{X^\bullet} , the morphism

$$v(\Gamma_{X_Q^\bullet}) \twoheadrightarrow v(\Gamma_{X^\bullet})$$

can be reconstructed group-theoretically from Π_{X^\bullet} . This completes the proof of the proposition. \square

Next let us start to reconstruct \mathcal{G}_{X^\bullet} from Π_{X^\bullet} . Let ℓ be a prime number distinct from p . Write $\mathcal{G}_{X^\bullet}^\ell$ for the semi-graph of anabelioids of pro- ℓ PSC-type induced by \mathcal{G}_{X^\bullet} . Then we have the following lemma.

Lemma 6.7. *Suppose that Γ_{X^\bullet} satisfies Condition 6.2. Then the isomorphism class of*

$$\mathcal{G}_{X^\bullet}^\ell$$

can be reconstructed group-theoretically from Π_{X^\bullet} .

Proof. Let H be any open normal subgroup of $\Pi_{X_H^\bullet}$. By applying Lemma 6.4, we obtain that n_{X_H} and r_{X_H} can be reconstructed group-theoretically from H ; moreover, Proposition 6.6 implies that the set of vertices $v(\Gamma_{X_H^\bullet})$ of $\Gamma_{X_H^\bullet}$ and the morphism $v(\Gamma_{X_H^\bullet}) \twoheadrightarrow v(\Gamma_{X^\bullet})$ induced by the Galois covering $X_H^\bullet \rightarrow X^\bullet$ determined by H can be reconstructed group-theoretically from H and Π_{X^\bullet} . Then, by applying the Euler–Poincaré characteristic formula for Γ_{X^\bullet} , we obtain that

$$\#(e^{\text{cl}}(\Gamma_{X_H^\bullet})) = r_{X_H} + \#(v(\Gamma_{X_H^\bullet})) - 1$$

can be reconstructed group-theoretically from H .

We set

$$\text{Et}(\Pi_{X^\bullet}) := \left\{ H \subseteq \Pi_{X^\bullet} \text{ open normal} \mid n_{X_H} + \#(e^{\text{cl}}(\Gamma_{X_H^\bullet})) = (\#\Pi_{X^\bullet}/H)(n_X + \#(e^{\text{cl}}(\Gamma_{X^\bullet}))) \right\}.$$

Then the étale fundamental group $\Pi_{X^\bullet}^{\text{ét}}$ of X^\bullet can be reconstructed group-theoretically from Π_{X^\bullet} as

$$\Pi_{X^\bullet}^{\text{ét}} := \Pi_{X^\bullet} / \bigcap_{H \in \text{Et}(\Pi_{X^\bullet})} H.$$

Note that $\Pi_{X^\bullet}^{\text{ét,ab}} = \Pi_{\mathcal{G}_{X^\bullet}}^{\text{ab/edge}}$. Then $\Pi_{\mathcal{G}_{X^\bullet}}^{\text{ab/edge}}$ can be reconstructed group-theoretically from Π_{X^\bullet} . Thus the lemma follows from Propositions 5.3 and 6.6. \square

Lemma 6.8. *Suppose that X^\bullet and \mathcal{G}_{X^\bullet} satisfy Conditions 4.2 and 6.2, respectively. Then the isomorphism class of*

$$\mathcal{G}_{X^\bullet}$$

can be reconstructed group-theoretically from Π_{X^\bullet} .

Proof. Let $H \subseteq \Pi_{X^\bullet}$ be any open normal subgroup. In order to prove the lemma, we need to prove only that the morphism $\phi_H : \Gamma_{X_H^\bullet} \rightarrow \Gamma_{X^\bullet}$ on dual semi-graphs induced by the Galois admissible covering $X_H^\bullet \rightarrow X^\bullet$ determined by H can be reconstructed group-theoretically from Π_{X^\bullet} ; moreover, Proposition 6.6 and Lemma 6.7 imply that it is sufficient to prove that the morphism

$$\phi_H|_{e(\Gamma_{X_H^\bullet})} : e(\Gamma_{X_H^\bullet}) \rightarrow e(\Gamma_{X^\bullet})$$

on the sets of edges induced by ϕ_H can be reconstructed group-theoretically from H and Π_{X^\bullet} .

Let $\ell \neq 2$ be a prime number distinct from p such that $(\#(\Pi_{X^\bullet}/H), \ell) = 1$. For each element $\alpha \in \text{Hom}(\Pi_{X^\bullet}, \mathbb{F}_\ell)$, write $f_\alpha^\bullet : Y_\alpha^\bullet \rightarrow X^\bullet$ for the admissible covering corresponding to α . We set

$$L_{X^\bullet} := \{ \alpha \in \text{Hom}(\Pi_{X^\bullet}, \mathbb{F}_\ell) \mid \# \text{Cusp}(Y_\alpha^\bullet) = \# \text{Cusp}(X^\bullet) + \ell - 1 \}.$$

Note that, for each $\alpha \in L_{X^\bullet}$, f_α^\bullet is étale over a unique marked point q_α of X^\bullet and is totally ramified over $\text{Cusp}(X^\bullet) \setminus \{q_\alpha\}$. Since we assume that X^\bullet satisfies Condition 4.2, Proposition 4.3 implies that, for each $q \in \text{Cusp}(X^\bullet)$, there exists $\alpha \in L_{X^\bullet}$ such that $q_\alpha = q$. Moreover, Lemma 6.4 implies that L_{X^\bullet} can be reconstructed group-theoretically from Π_{X^\bullet} .

Let $\beta \in L_{X^\bullet}$. We obtain a connected Galois admissible covering $g_\beta^\bullet : Y_{\beta,H}^\bullet := Y_\beta^\bullet \times_{X^\bullet} X_H^\bullet \rightarrow X_H^\bullet$. Here, g_β^\bullet is the natural projection. Write $\mathcal{G}_{X_H^\bullet}$ and $\mathcal{G}_{Y_{\beta,H}^\bullet}$ for the semi-graphs of anabelioids of PSC-type arising from X_H^\bullet and $Y_{\beta,H}^\bullet$, respectively; moreover, write $\mathcal{G}_{X_H^\bullet}^\ell$ and $\mathcal{G}_{Y_{\beta,H}^\bullet}^\ell$ for the semi-graphs of anabelioids of pro- ℓ PSC-type induced by $\mathcal{G}_{X_H^\bullet}$ and $\mathcal{G}_{Y_{\beta,H}^\bullet}$, respectively. Then Lemma 6.7 implies that the morphism of dual semi-graphs $\psi_{\beta,H} : \Gamma_{Y_{\beta,H}^\bullet} \rightarrow \Gamma_{X_H^\bullet}$ induced by g_β^\bullet can be reconstructed group-theoretically from H . Thus we have

$$\phi_H^{-1}(e_{q_\beta}) = \{ e \in e^{\text{op}}(\Gamma_{X_H^\bullet}) \mid \#(\psi_{\beta,H}^{-1}(e)) = \ell \},$$

where $e_{q_\beta} \in e^{\text{op}}(\Gamma_{X^\bullet})$ denotes the open edge corresponding to q_β . Then the morphism $\phi_H|_{e^{\text{op}}(\Gamma_{X_H^\bullet})} : e^{\text{op}}(\Gamma_{X_H^\bullet}) \rightarrow e^{\text{op}}(\Gamma_{X^\bullet})$ induced by ϕ_H on the sets of open edges can be reconstructed group-theoretically from H and Π_{X^\bullet} .

Together with Proposition 4.4, similar arguments to the arguments given in the proof above imply that the morphism $\phi_H|_{e^{\text{cl}}(\Gamma_{X_H^\bullet})} : e^{\text{cl}}(\Gamma_{X_H^\bullet}) \rightarrow e^{\text{cl}}(\Gamma_{X^\bullet})$ induced by ϕ_H on the sets of closed edges can be reconstructed group-theoretically from Π_{X^\bullet} . Then $\phi_H|_{e(\Gamma_{X_H^\bullet})} : e(\Gamma_{X_H^\bullet}) \rightarrow e(\Gamma_{X^\bullet})$ can be reconstructed group-theoretically from Π_{X^\bullet} . This completes the proof of the lemma. \square

Next we prove the main theorem of the present section.

Theorem 6.9. *Let X^\bullet be a pointed stable curve over an algebraically closed field k . Write Π_{X^\bullet} for the admissible fundamental group of X^\bullet , and \mathcal{G}_{X^\bullet} for the semi-graph of anabelioids of PSC-type \mathcal{G}_{X^\bullet} arising from X^\bullet . Then $p := \text{char}(k)$ can be reconstructed group-theoretically from Π_{X^\bullet} . Moreover, if $p := \text{char}(k) > 0$, then the isomorphism class of*

$$\mathcal{G}_{X^\bullet}$$

can be reconstructed group-theoretically from Π_{X^\bullet} .

Proof. Proposition 6.1 implies that the characteristic of k can be reconstructed group-theoretically from Π_{X^\bullet} . We prove only the “moreover” part of the theorem.

Suppose that $p := \text{char}(k) > 0$. Let $H \subseteq \Pi_{X^\bullet}$ be any open normal subgroup. Proposition 6.6 implies that, to verify the theorem, it is sufficient to prove that the morphism $\Gamma_{X_H^\bullet} \rightarrow \Gamma_{X^\bullet}$ on the sets of edges induced by the Galois covering $X_H^\bullet \rightarrow X^\bullet$ determined by H can be reconstructed group-theoretically from Π_{X^\bullet} .

We choose an open characteristic subgroup $N \subseteq \Pi_{X^\bullet}$ such that the conditions of Lemma 6.3. Write H_N for $H \cap N$, and $\mathcal{G}_{X_{H_N}^\bullet}$ for the semi-graph of anabelioids of PSC-type arising from $X_{H_N}^\bullet$. Since $X_{H_N}^\bullet$ and the dual semi-graph of $\Gamma_{X_{H_N}^\bullet}$ satisfy Conditions 4.2 and 6.2, respectively, Lemma 6.8 implies that $\mathcal{G}_{X_{H_N}^\bullet}$ can be reconstructed group-theoretically from H_N .

Note that the natural action of Π_{X^\bullet}/H_N on $\mathcal{G}_{X_{H_N}^\bullet}$ induces an action of Π_{X^\bullet}/H_N on $\Gamma_{X_{H_N}^\bullet}$; moreover, we have $\Gamma_{X^\bullet} = \Gamma_{X_{H_N}^\bullet}/(\Pi_{X^\bullet}/H)$ and $\Gamma_{X_H^\bullet} = \Gamma_{X_{H_N}^\bullet}/(H/H_N)$. Thus we obtain a natural morphism

$$\Gamma_{X_H^\bullet} = \Gamma_{X_{H_N}^\bullet}/(H/H_N) \rightarrow \Gamma_{X^\bullet} = \Gamma_{X_{H_N}^\bullet}/(\Pi_{X^\bullet}/H).$$

Thus $\Gamma_{X_H^\bullet} \rightarrow \Gamma_{X^\bullet}$ can be reconstructed group-theoretically from Π_{X^\bullet} . This completes the proof of the theorem. □

Remark 6.9.1. Let $\Sigma \subseteq \mathfrak{Primes}$ be a set of prime numbers that does not contain $\text{char}(k_1)$ and $\text{char}(k_2)$, where \mathfrak{Primes} denotes the set of prime numbers. The combinatorial Grothendieck conjecture for semi-graphs of anabelioids of pro- Σ PSC-type can be formulated as follows:

Let \mathcal{G}_1 and \mathcal{G}_2 be two semi-graphs of anabelioids of pro- Σ PSC-type associated to two pointed stable curves over algebraically closed fields k_1 and k_2 , respectively, $\Pi_{\mathcal{G}_1}$ and $\Pi_{\mathcal{G}_2}$ the fundamental groups of \mathcal{G}_1 and \mathcal{G}_2 , respectively, $\alpha : \Pi_{\mathcal{G}_1} \xrightarrow{\sim} \Pi_{\mathcal{G}_2}$ an isomorphism of profinite groups, I_1 and I_2 profinite groups, $\rho_{I_1} : I_1 \rightarrow \text{Out}(\Pi_{\mathcal{G}_1})$ and $\rho_{I_2} : I_1 \rightarrow \text{Out}(\Pi_{\mathcal{G}_2})$ outer Galois representations, and $\beta : I_1 \xrightarrow{\sim} I_2$ an isomorphism of profinite groups.

Suppose that the diagram

$$\begin{array}{ccc} I_1 & \xrightarrow{\rho_{I_1}} & \text{Out}(\Pi_{\mathcal{G}_1}) \\ \beta \downarrow & & \text{Out}(\alpha) \downarrow \\ I_2 & \xrightarrow{\rho_{I_2}} & \text{Out}(\Pi_{\mathcal{G}_2}) \end{array}$$

is commutative, where $\text{Out}(\alpha)$ denotes the isomorphism induced by α . Then we have $\mathcal{G}_1 \cong \mathcal{G}_2$.

The combinatorial Grothendieck conjecture for semi-graphs of anabelioids of pro- Σ PSC-type was proved by S. Mochizuki in the case where ρ_{I_1} and ρ_{I_2} are outer Galois representations of IPSC-type (cf. [M5]), and by Y. Hoshi and Mochizuki in the case where ρ_{I_1} and ρ_{I_2} are certain outer Galois representations of NN-type (cf. [HM]). Furthermore, Theorem 6.9 may be regarded as a *version of the combinatorial Grothendieck conjecture for the semi-graphs of anabelioids of PSC-type arising from pointed stable curves over algebraically closed fields of characteristic $p > 0$* .

Remark 6.9.2. Theorem 6.9 is a generalized version of a result of Tamagawa that the tame inertia groups associated to the cusps of smooth pointed stable curves can be reconstructed group-theoretically from their tame fundamental groups (cf. [T3, Thm. 5.2]).

§7. The anabelian geometry of curves over algebraically closed fields of characteristic $p > 0$

We maintain the notation introduced in Section 2. Let X^\bullet be a pointed stable curve over an algebraically closed field k of characteristic $p > 0$. In this section we use Theorem 6.9 to prove some anabelian results for pointed stable curves in positive characteristic.

Definition 7.1. We denote by $\text{td}(k)$ the transcendence degree of k over $\overline{\mathbb{F}}_p \subseteq k$. We denote by

$$\text{ed}(X^\bullet)$$

(i.e., essential dimension) the minimum of $\text{td}(k_1)$, where k_1 runs over the algebraically closed subfields of k over which there exists a smooth curve X_1^\bullet such that X^\bullet is k -isomorphic to $X_1^\bullet \times_{k_1} k$.

Tamagawa posed a conjecture as follows (cf. [T2, Conj. 5.3(ii)]).

Conjecture 7.2. *If X^\bullet is smooth over k , then the essential dimension*

$$\text{ed}(X^\bullet)$$

can be reconstructed group-theoretically from $\pi_1^{\text{adm}}(X^\bullet)$.

Tamagawa proved Conjecture 7.2 in the case where $g_X = 0$ and $\text{ed}(X^\bullet) = 0$. More precisely, Tamagawa proved the following theorem (cf. [T3, Thm. 0.2]).

Theorem 7.3. *Let $\overline{\mathbb{F}}_p \subseteq k$. Suppose that X^\bullet is a smooth pointed stable curve over k . If $g_X = 0$, then we can detect whether X^\bullet can be defined over $\overline{\mathbb{F}}_p$ (i.e., there exists a curve X_0^\bullet over $\overline{\mathbb{F}}_p$ such that $X^\bullet \cong X_0^\bullet \times_{\overline{\mathbb{F}}_p} k$), or not, group-theoretically from $\pi_1^{\text{tame}}(X \setminus D_X)$; moreover, if $k = \overline{\mathbb{F}}_p$, then the isomorphism class of the profinite group $\pi_1^{\text{tame}}(X \setminus D_X)$ completely determines the isomorphism class of the scheme $X \setminus D_X$.*

On the other hand, let ℓ be any prime number and $\overline{\mathbb{F}}_\ell$ an algebraic closure of \mathbb{F}_ℓ . We define two sets of rational points of moduli stacks as

$$R_{g,n} := \bigcup_{\ell \in \mathfrak{Primes}} \mathcal{M}_{g,n}(\overline{\mathbb{F}}_\ell)$$

and

$$\overline{R}_{g,n} := \bigcup_{\ell \in \mathfrak{Primes}} \overline{\mathcal{M}}_{g,n}(\overline{\mathbb{F}}_\ell),$$

where $\overline{\mathcal{M}}_{g,n}$ denotes the moduli stack of pointed stable curve of type (g, n) over $\text{Spec } \mathbb{Z}$, and $\mathcal{M}_{g,n}$ denotes the open substack of $\overline{\mathcal{M}}_{g,n}$ parametrizing pointed smooth curves of type (g, n) . For any rational point $\mathfrak{q} \in \overline{R}_{g,n} : \text{Spec } \overline{\mathbb{F}}_\ell \rightarrow \overline{\mathcal{M}}_{g,n}$, write $X_\mathfrak{q}^\bullet := (X_\mathfrak{q}, D_{X_\mathfrak{q}})$ for the pointed stable curve $\overline{\mathcal{M}}_{g,n+1} \times_{\overline{\mathcal{M}}_{g,n}} \overline{\mathbb{F}}_\ell$ over $\overline{\mathbb{F}}_\ell$ determined by \mathfrak{q} . We define an equivalence relation \sim^{sch} on $\overline{R}_{g,n}$ as follows: if $\mathfrak{q}_1, \mathfrak{q}_2 \in \overline{R}_{g,n}$, then $\mathfrak{q}_1 \sim^{\text{sch}} \mathfrak{q}_2$ if $X_{\mathfrak{q}_1} \setminus D_{X_{\mathfrak{q}_1}}$ and $X_{\mathfrak{q}_2} \setminus D_{X_{\mathfrak{q}_2}}$ are isomorphic as schemes (though not necessarily as $\overline{\mathbb{F}}_\ell$ -schemes). Let FPG be the category of topologically finitely generated profinite groups. We define an equivalence relation \sim^{pro} on FPG as follows: if $G_1, G_2 \in \text{FPG}$, then $G_1 \sim^{\text{pro}} G_2$ if G_1 and G_2 are isomorphic as profinite groups. Then we obtain a natural morphism

$$\pi_{g,n}^{\text{adm}} : \overline{R}_{g,n} / \sim^{\text{sch}} \rightarrow \text{FPG} / \sim^{\text{pro}}$$

that maps the equivalence class of \mathfrak{q} to the equivalence class of $\pi_1^{\text{adm}}(X_\mathfrak{q}^\bullet)$.

We may ask whether or not the moduli spaces of curves can be reconstructed group-theoretically from fundamental groups. This is equivalent to asking whether or not the map $\pi_{g,n}^{\text{adm}}$ defined above is an injection. By applying Theorem 7.3, Tamagawa obtained the following result.

Corollary 7.4. *The morphism*

$$\pi_{0,n}^{\text{adm}}|_{R_{0,n}/\sim^{\text{sch}}} : R_{0,n}/\sim^{\text{sch}} \hookrightarrow \text{FPG}/\sim^{\text{pro}}$$

induced by $\pi_{0,n}^{\text{adm}}$ on the subset $R_{0,n}/\sim^{\text{sch}}$ of $\overline{R}_{0,n}/\sim^{\text{sch}}$ is an injection.

Remark 7.4.1. By replacing FPG (resp. $\pi^{\text{adm}}(-)$) by the category of profinite groups (resp. $\pi_1(-)$, i.e., the étale fundamental group of $(-)$), we obtain the natural morphism

$$\pi_{g,n} : \overline{R}_{g,n}/\sim^{\text{sch}} \rightarrow \text{PG}/\sim^{\text{pro}}$$

which maps the equivalence class of \mathfrak{q} to the equivalence class of $\pi_1(X_{\mathfrak{q}} \setminus D_{X_{\mathfrak{q}}})$. Before Tamagawa proved Theorem 7.3, he obtained an étale fundamental group version of Theorem 7.3 (i.e., $\pi_{0,n}|_{R_{0,n}/\sim^{\text{sch}}}$ is an injection) in a completely different way (by using wildly ramified coverings; cf. [T1]). Note that, for any nonsingular pointed stable curve $Z^\bullet := (Z, D_Z)$ over an algebraically closed field of positive characteristic, since $\pi_1^{\text{adm}}(Z^\bullet)$ can be reconstructed group-theoretically from $\pi_1(Z \setminus D_Z)$ (cf. [T1, Cor. 1.10]), Theorem 7.3 is stronger than the étale fundamental group version.

Recently, by following Tamagawa’s idea, A. Sarashina (Tamagawa’s student) proved that $\pi_{1,1}|_{R_{1,1}/\sim^{\text{sch}}}$ is an injection (cf. [S], [T6, Thm. 6(i)]) if $p \neq 2$. Moreover, by applying the theory of Tamagawa developed in [T3], Sarashina’s result holds also for $\pi_{1,1}^{\text{adm}}|_{R_{1,1}/\sim^{\text{sch}}}$ (cf. [T6, Thm. 6(ii)]).

In the case of pointed stable curves, we may pose a generalized form of Conjecture 7.2 as follows.

Conjecture 7.5. *Let X^\bullet be pointed stable curves over k . Then the essential dimension*

$$\text{ed}(X^\bullet)$$

can be reconstructed group-theoretically from $\pi_1^{\text{adm}}(X^\bullet)$.

First, we generalize Theorem 7.3 as follows.

Theorem 7.6. (i) *Let $\overline{\mathbb{F}}_p \subseteq k$, and let X^\bullet be a pointed stable curve over k .*

(i-a) *Then Conjecture 7.2 implies Conjecture 7.5.*

(i-b) *If the genus of the normalization of each irreducible component of X^\bullet is equal to 0, then we can detect whether $\text{ed}(X^\bullet)$ is equal to 0, or not, group-theoretically from Π_{X^\bullet} .*

(ii) *Let X_1^\bullet and X_2^\bullet be two pointed stable curves over k_1 and k_2 of positive characteristics, $\Pi_{X_1^\bullet}$ and $\Pi_{X_2^\bullet}$ the admissible fundamental groups of X_1^\bullet and X_2^\bullet ,*

$\mathcal{G}_{X_1^\bullet}$ and $\mathcal{G}_{X_2^\bullet}$ the semi-graphs of anabelioids of PSC-type arising from X_1^\bullet and X_2^\bullet , $\Gamma_{X_1^\bullet}$ and $\Gamma_{X_2^\bullet}$ the dual semi-graphs of X_1^\bullet and X_2^\bullet , respectively. Suppose that $\Pi_{X_1^\bullet} \cong \Pi_{X_2^\bullet}$.

(ii-a) Then $\text{char}(k_1) = \text{char}(k_2)$ and $\mathcal{G}_{X_1^\bullet} \cong \mathcal{G}_{X_2^\bullet}$.

(ii-b) Let $\overline{\mathbb{F}}_p \subseteq k_1 \cap k_2$ and write $\gamma : \Gamma_{X_1^\bullet} \xrightarrow{\sim} \Gamma_{X_2^\bullet}$ for the isomorphism of semi-graphs induced by the isomorphism $\mathcal{G}_{X_1^\bullet} \cong \mathcal{G}_{X_2^\bullet}$. Suppose that $k_1 = k_2 = \overline{\mathbb{F}}_p$, and that the genus of the normalization of each irreducible component of X_1^\bullet is 0. Then, for each $v \in v(\Gamma_{X_1^\bullet})$, we obtain that $X_{1,v}^\bullet$ is isomorphic to $X_{2,\gamma(v)}^\bullet$ as schemes, where $(-)_*$ denotes the irreducible component of $(-)$ corresponding to the vertex $*$.

Proof. First let us prove (i). For each $v \in v(\Gamma_{X^\bullet})$, write X_v^\bullet for the irreducible component of X^\bullet corresponding to v . It is easy to see that

$$\text{ed}(X^\bullet) = \text{Max}_{v \in v(\Gamma_{X^\bullet})} \{ \text{ed}(X_v^\bullet) \}.$$

Thus (i-a) follows from Theorem 6.9. Moreover, (i-b) follows immediately from Theorems 6.9 and 7.3.

Next let us prove (ii). Statement (ii-a) follows immediately from Theorem 6.9 and (ii-b) follows immediately from Theorems 6.9 and 7.3 (or Corollary 7.4). \square

Remark 7.6.1. Theorem 7.6(i-b),(ii-b) generalize Theorem 6.9 and Corollary 7.4 to the case of *irreducible* pointed stable curves (possibly singular).

Remark 7.6.2. By Remark 7.4.1 and Theorem 7.6, we obtain the following generalized version of Theorem 7.6.

Let $\overline{\mathbb{F}}_p \subseteq k$, and let X^\bullet be a pointed stable curve of over k . Write Γ_{X^\bullet} for the dual semi-graph of X^\bullet . For each $v \in v(\Gamma_{X^\bullet})$, write (\widetilde{X}_v) for the normalization of the irreducible component of X corresponding to v and

$$\widetilde{X}_v^\bullet := (\widetilde{X}_v, D_{\widetilde{X}_v})$$

for the smooth pointed stable curve over $\overline{\mathbb{F}}_p$ determined by \widetilde{X}_v and the divisor of marked points $D_{\widetilde{X}_v}$ determined by the inverse images (via the natural morphism $\widetilde{X}_v \rightarrow X$) in \widetilde{X}_v of the nodes and marked points of X^\bullet ; (g_v, n_v) for the type of \widetilde{X}_v^\bullet . Suppose that, for each $v \in v(\Gamma_{X^\bullet})$, \widetilde{X}_v^\bullet is either a smooth pointed stable curve over $\overline{\mathbb{F}}_p$ of genus $g_v = 0$ or a smooth pointed stable curve over $\overline{\mathbb{F}}_p$ of type $(1, 1)$. Moreover, suppose that $p \neq 2$ if there exists $v \in v(\Gamma_{X^\bullet})$ such that $(g_v, n_v) = (1, 1)$. Then we can detect whether $\text{ed}(X^\bullet)$ is equal to 0, or not, group-theoretically from Π_{X^\bullet} . In particular,

the morphism

$$\pi_{g,n}^{\text{adm}} : \overline{R}_{g,n} / \sim^{\text{sch}} \hookrightarrow \text{FPG} / \sim^{\text{pro}}$$

is an injection if $(g, n) = (1, 1)$.

Next let us consider the case of higher genus.

Definition 7.7. Let $S_1 \rightarrow S_2$ be a morphism of sets. We shall call the morphism $S_1 \rightarrow S_2$ quasi-finite if, for any $s_2 \in S_2$, $\#((S_1 \rightarrow S_2)^{-1}(s_2))$ is finite.

Theorem 7.8. Let S be an \mathbb{F}_p -scheme, and η and s points of S such that $s \in \overline{\{\eta\}}$ holds. We denote by $\overline{\eta}$ and \overline{s} geometric points on η and s , respectively. Let \mathcal{X}^\bullet be a smooth pointed stable curve of type (g, n) over S and

$$sp_{\eta,s}^{\text{adm}} : \pi_1^{\text{adm}}(\mathcal{X}^\bullet \times_\eta \overline{\eta}) \rightarrow \pi_1^{\text{adm}}(\mathcal{X}^\bullet \times_s \overline{s})$$

a specialization map. Suppose that $\mathcal{X}^\bullet \times_\eta \overline{\eta}$ cannot be defined over an algebraic closure of \mathbb{F}_p , and $\mathcal{X}^\bullet \times_s \overline{s}$ can be defined over an algebraic closure of \mathbb{F}_p . Then $sp_{\eta,s}^{\text{adm}}$ is not an isomorphism. Moreover, the morphism

$$\pi_{g,n}^{\text{adm}}|_{R_{g,n}/\sim^{\text{sch}}} : R_{g,n}/\sim^{\text{sch}} \rightarrow \text{FPG} / \sim^{\text{pro}}$$

induced by $\pi_{g,n}^{\text{adm}}$ on the subset $R_{g,n}/\sim^{\text{sch}}$ of $\overline{R}_{g,n}/\sim^{\text{sch}}$ is quasi-finite.

Remark 7.8.1. Theorem 7.8 was proved by Raynaud (cf. [R]) and Pop–Saïdi (cf. [PS]) under certain assumptions about the Jacobian, and by Tamagawa in the fully general case (cf. [T4]).

Next we generalize Theorem 7.8 to the case of pointed stable curves as follows.

Theorem 7.9. Let S be an \mathbb{F}_p -scheme, and η and s points of S such that $s \in \overline{\{\eta\}}$ holds. We denote by $\overline{\eta}$ and \overline{s} geometric points on η and s , respectively. Let \mathcal{X}^\bullet be a pointed stable curve of type (g, n) over S ,

$$sp_{\eta,s}^{\text{adm}} : \pi_1^{\text{adm}}(\mathcal{X}^\bullet \times_\eta \overline{\eta}) \rightarrow \pi_1^{\text{adm}}(\mathcal{X}^\bullet \times_s \overline{s})$$

a specialization map. Suppose that $\mathcal{X}^\bullet \times_\eta \overline{\eta}$ cannot be defined over an algebraic closure of \mathbb{F}_p , and $\mathcal{X}^\bullet \times_s \overline{s}$ can be defined over an algebraic closure of \mathbb{F}_p . Then $sp_{\eta,s}^{\text{adm}}$ is not an isomorphism. Furthermore, the morphism

$$\pi_{g,n}^{\text{adm}} : \overline{R}_{g,n} / \sim^{\text{sch}} \rightarrow \text{FPG} / \sim^{\text{pro}}$$

is quasi-finite.

Proof. The first part follows immediately from Theorem 6.9. The “furthermore” part follows immediately from Theorems 6.9 and 7.8. □

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