# On the principle of limiting amplitude

By

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#### §1. Introduction and theorem

We study the behavior for large time of solutions of wave equations with a harmonic forcing term in the three dimensional euclidean space and we prove the so-called limiting amplitude principle. The principle states that every solution u(x, t) for the initial value problem,

(1.1) 
$$\left\{\frac{\partial^2}{\partial t^2} + b(x)\frac{\partial}{\partial t} - \varDelta + c(x)\right\} u(x,t) = f(x)e^{i\omega}$$

(1.2) 
$$u(x,t)|_{t=0} = \frac{\partial}{\partial t} u(x,t)|_{t=0} = 0$$

tends to the steady state solution,  $e^{i\omega t}v(x, i\omega)$  uniformly on bounded sets at  $t \rightarrow \infty$ . Here  $v(x, i\omega)$  satisfies the elliptic equation,

(1.3) 
$$\{-\varDelta + c(x) + i\omega b(x) - \omega^2\} v(x, i\omega) = f(x);$$

and the Sommerfeld radiation conditions at infinity.  $\Delta$  denotes the Laplacian in  $E^3$  and  $\omega$  is a real number. In the case when  $b(x) \equiv 0$  and the real valued function c(x) is once continuously differentiable and its support is compact, this principle has been proved by O. A. Ladyzenskaja [1]. Here the rate of approach to steady state is like  $e^{-\varepsilon t}$ ,  $\varepsilon > 0$  as  $t \to \infty$ . When b(x) and c(x) satisfy that  $b(x) \ge 0$ ,  $b(x) = 0\left(\frac{1}{|x|^{3+\varepsilon}}\right)$ ,  $c(x) = 0\left(\frac{1}{|x|^{2+\varepsilon}}\right)$  as  $|x| \to \infty$ , and others, S. Mizohata and K. Mochizuki [2] had shown the principle, but they did not give the rate of approach. In this paper we shall obtain the rate  $e^{-\varepsilon t}$  under the assumption that the real valued functions  $b(x) \ge 0$ ,  $c(x) \ge 0$  are bounded and their supports are compact.

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**Theorem 1.** Let f(x), b(x) and c(x) be functions which satisfy the following conditions,

- i) f(x), b(x) and c(x) vanish outside a bounded set
- ii)  $\sum_{|\alpha|\leq 2} |D^{\alpha}f| \in L^2(E^3)$
- iii)  $b(x) \ge 0$ ,  $c(x) \ge 0$ , and they are bounded functions.

And let u(x, t) be a solution for initial value problem (1.1), (1.2). Then there exists a steady state  $e^{i\omega t}v(x)$ , such that

$$(1.4) \qquad \max_{x \in K} |u(x,t) - v(x)e^{i\omega t}| \leq C \cdot e^{-\varepsilon t}, \quad \exists_{\varepsilon} > 0, \text{ as } t \to \infty,$$

and v(x) is a solution of (1.3) satisfying the Sommerfeld radiation conditions at infinity, that is,

$$\int_{|x|=R} |u|^2 ds = 0(1), \ \int_{|x|=R} \left| \frac{d}{d|x|} u + i\omega u \right|^2 ds = o(1) \ as \ R \to \infty,$$

where K is a bounded set of  $E^3$ .

We can regard a solution u(x, t) as a twice continuously differentiable function u(t) from  $[0,\infty)$  to  $L^2(E^3)$  and as a continuous function to  $\mathscr{D}_{L^2}^2(E^3)$ . In this sence there exists the unique solution of (1,1), (1,2) if  $f(x) \in \mathscr{D}_{L^2}^1(E^3)$ . Let  $\tilde{u}(\lambda)$  be the Laplace image of u(t) with respect to t,

(1.5) 
$$\tilde{u}(\lambda) = \int_{0}^{\infty} e^{-\lambda t} u(t) dt$$
 in  $L^{2}$ .

Then

$$\tilde{u}(\lambda) = v(\lambda)/\lambda - iw$$

and

(1.6) 
$$u(t) = \frac{1}{2\pi i} \lim_{\tau \to \infty} \int_{\sigma - i\tau}^{\sigma + i\tau} \frac{v(\lambda)}{\lambda - i\omega} e^{\lambda t} d\lambda \quad \text{in } L^2$$

for large  $\sigma > 0$ . Where

(1.7) 
$$\{-\varDelta + c(x) + \lambda b(x) + \lambda^2\} v(\lambda) = f(x), v(\lambda) \in L^2, \text{ Re } \lambda > 0.$$

(1.7) has the unique solution belonging to  $L^2(E^3)$  if f(x) belongs to  $L^2(E^3)$  and  $\operatorname{Re} \lambda$  is sufficiently large positive. Therefore we study the analyticity of  $v(\lambda)$  with respect to  $\lambda$  and the order of  $||v(\lambda)||_{L^2(K)}$  as  $|\operatorname{Im} \lambda| \to \infty$ .

# §2. Some lemmas

1) In the case when  $b(x) \equiv c(x) \equiv 0$ 

$$(-\varDelta + \lambda^2) v(x, \lambda) = f(x), f(x) \in L^2$$

has the unique solution  $v(x, \lambda)$  in  $\mathcal{D}_{L^2}^2$  at  $\operatorname{Re} \lambda > 0$  and  $v(x, \lambda)$  is an analytic function of  $\lambda$  to  $L^2$ .  $v(x, \lambda) \equiv R(\lambda)f$  is represented by a fundamental solutions  $E(\lambda)$  as following

$$R(\lambda)f = E(\lambda) * f, \text{ where } E(\lambda) = \overline{\mathcal{F}}\left\{\frac{1}{(4\pi^2|\xi|^2 + \lambda^2)}\right\} = \frac{e^{-\lambda|x|}}{4\pi|x|}.$$

Let  $Q(\delta)$  denote a Hilbert space consisting of all functions f such that  $e^{\delta|x|}f \in L^2(E^3)$  with the inner product  $(f,g)_{\delta} = (e^{\delta,x|}f, e^{\delta|x|}g)_{L^2(E^3)}$ ,  $(-\infty < \delta < +\infty)$ . Now it is clear that  $Q(\delta) \subset Q(\delta')$  if  $\delta \ge \delta'$ . Using these space,

Lemma 1. Let

$$R(\lambda)f=\frac{1}{4\pi}\int_{E^3}\frac{e^{-\lambda_1x-y|}}{|x-y|}f(y)dy.$$

Then  $R(\lambda)$ , which values a bounded operator from  $Q(2\delta)$  to  $Q(-2\delta)$ , is an analytic function of  $\lambda$  and satisfies the following estimates at  $\operatorname{Re} \lambda \geq -\delta$  ( $\delta > 0$ ).

- i)  $|R(\lambda)f|_{-2\delta} \leq \{C(\delta)/(1+|\lambda|)(1+|R_e|)\} \cdot |f|_{2\delta}$
- ii)  $|DR(\lambda)f|_{-2\delta} \leq \{C(\delta)/(1+|R_{e\lambda}|)\} |f|_{2\delta}$
- iii)  $|D^2R(\lambda)f|_{-2\delta} \leq \{C(\delta)(1+|\lambda|)/(1+|R_{\ell}\lambda|)\} |f|_{2\delta}$
- iv)  $|R(\lambda) R(\lambda+h) f|_{-2\delta} \leq \{C(\delta) |h|/(1+|\lambda|)(1+|R_{\epsilon}\lambda|)\} |f|_{2\delta}$

where  $| |_{\delta}$  denote the norm of  $Q(\delta)$ , i.e.  $|f|_{\delta}^2 = \int_{E^3} |e^{\delta |x|} f|^2 dx$  and  $C(\delta)$  are constants.

2) The case when  $b(x) \equiv 0$ ,  $c(x) \not\equiv 0$ .

Lemma 2. Let

$$L_1(\lambda)u = (-\varDelta + \lambda^2 + c(x)u), u \in \mathcal{D}_{L^2}^2$$

and  $G_1(\lambda)$  be the Green operators of  $L_1(\lambda)$ , that is,

$$G_1(\lambda) \cdot L_1(\lambda) \subset L_1(\lambda) \cdot G_1(\lambda) = I: L^2 \rightarrow L^2.$$

Then we can consider  $G_1(\lambda)$  as bounded operators from  $Q(\delta)$  to  $Q(-\delta)$ . In this sense we can analytically continue  $G_1(\lambda)$  to an analytic function of  $\lambda$  in  $\operatorname{Re} \lambda \geq -\delta' < 0$ , which satisfies the following estimates (we denote the extension also by  $G_1(\lambda)$ ),

i) 
$$|G_1(\lambda)f|_{-\delta} \leq \frac{C}{(1+|\lambda|)(1+|\operatorname{Re}\lambda|)}|f|_{\delta}$$
  
ii)  $|\{G_1(\lambda)-G_1(\lambda+h)\}f|_{-\delta} \leq \frac{C|h|}{(1+|\lambda|)(1+|\operatorname{Re}\lambda|)}|f|_{\delta}$ 

and  $G_1(\lambda)$  are compact operators from  $Q(\delta)$  to  $Q(-\delta)$  (which map any bounded set to a precompact set), where  $c(x) \ge 0$  is a bounded function with compact support.

3) The case when  $b(x) \not\equiv 0$ .

Lemma 3. Let

$$L_2(\lambda)u = (-\varDelta + \lambda^2 + c(x) + \lambda b(x))u, \quad u \in \mathcal{D}_{L^2}^2,$$

and  $G_2(\lambda)$  be the Green operators of  $L_2(\lambda)$ , that is,

$$G_2(\lambda) \cdot L_2(\lambda) \subset L_2(\lambda) \cdot G_2(\lambda) = I: L^2 \rightarrow L^2.$$

Then we can consider  $G_2(\lambda)$  as bounded operators from  $Q(\delta)$  to  $Q(-\delta)$ . In this sense we can analytically continue  $G_2(\lambda)$  to analytic function of  $\lambda$  in  $\operatorname{Re} \lambda \leq -\delta'' < 0$ , which satisfies the following estimate (we denote the extension also by  $G_2(\lambda)$ ),

$$|G_2(\lambda)f|_{-\delta} \leq \frac{C}{(1+|\lambda|)(1+|\mathrm{Re}\lambda|)}|f|_{\delta}$$

Where  $b(x) \ge 0$  and  $c(x) \ge 0$  are bounded functions with compact supports.

#### §3. Proof of lemmas

1) **Proof of Lemma 1** (the case when  $c(x) \equiv b(x) \equiv 0$ ).

We first prove that  $R(\lambda)$  is an analytic function of  $\lambda$  which values the bounded operators from  $Q(2\delta)$  to  $Q(-2\delta)$ . In order to do so it is sufficient to show that  $f(x) \rightarrow \varphi_i(x)$ ;  $\varphi_i(x) = \int_{\mathbb{R}^3} |x-y|^i e^{-\lambda |x-y|} f(y) dy$  $(i=-1,0,1,2\cdots)$  are bounded operators from  $Q(2\delta)$  to  $Q(-2\delta)$ .

Since 
$$|e^{-\lambda|x-y|}| = e^{-\operatorname{Re}\lambda'x-y|} \le e^{+\delta|x-y|} \le e^{+\delta|x|}e^{+\delta|y|}$$
,  $\operatorname{Re}\lambda \ge -\delta < 0$ ,  
 $\int |e^{-2\delta|x|}\varphi_i(x)|^2 dx \le \int \left\{ e^{-2\varepsilon|x|} \int |x-y|^i e^{-\lambda|x-y|}f(x)dy \right\}^2 dx$   
 $\le \int \int \{e^{-\delta|x|}|x-y|^i e^{-\delta|y|}\}^2 dx dy \cdot \int |e^{+2\delta|y|}f(y)|^2 dy$ 

that is,

 $|\varphi_i|_{-2\delta} \leq C_{i,\delta} |f|_{2\delta}.$ 

Hence  $f(x) \rightarrow \varphi_{\iota}(x)$  are bounded operators from  $Q(+2\delta)$  to  $Q(-2\delta)$ . Next we estimate  $R(\lambda)$ . Since  $Q(\delta)$  densely contains  $\mathcal{D}$  (compact support,  $C^{\infty}$ -function), it is sufficient to show the inequality in the case  $f \in \mathcal{D}$ . When  $\operatorname{Re} \lambda > 0$ , we can write

(3.1)  

$$R(\lambda)f = \overline{\mathcal{F}}\left[\frac{1}{(2\pi|\xi|)^2 + \lambda^2}\mathcal{F}(f)\right]$$

$$= \int \frac{e^{2\pi i \mathbf{x} \cdot \xi}}{4\pi^2 |\xi|^2 + \lambda^2} \widehat{f}(\xi)d\xi, \quad \widehat{f}(\xi) = \mathcal{F}(f)$$

(3.2) 
$$= \sum_{(i,j,k)} \int_{\Gamma(i,j,k)} \frac{e^{2\pi i x \cdot \xi}}{4\pi^2 (\xi_1^2 + \xi_2^2 + \xi_3^2) + \lambda^2} \hat{f}(\xi) d\xi,$$

where  $\mathcal{F}$  and  $\overline{\mathcal{F}}$  are Fourier transform and Fourier inverse transform, respectively, i, j, k take a sign of + or -, and

$$\Gamma(+, +, +) = [0, \infty) \times [0, \infty) \times [0, \infty)$$
  

$$\Gamma(+, +, -) = [0, \infty) \times [0, \infty) \times (-\infty, 0]$$
  
:

Now we prove the estimate i). To do so we divide  $\lambda$  into four cases which are  $\{\lambda; \operatorname{Re}\lambda \ge N > 0\}$ ,  $\{\lambda; -\delta \le \operatorname{Re}\lambda \le N, \operatorname{Im}\lambda \ge N\}$ ,  $\{\lambda; -\delta \le \operatorname{Re}\lambda \le N, \operatorname{Im}\lambda \le -N\}$  and  $\{\lambda; -\delta \le \operatorname{Re}\lambda \le N, |\operatorname{Im}\lambda| \le N\}$ . When  $\lambda$  is in  $\{\operatorname{Re}\lambda \ge N > 0\}$ , it follows that

$$\sup_{\xi \in \mathbb{R}^3} \left| \frac{1}{(2\pi |\xi|)^2 + \lambda^2} \right| \leq \frac{C}{(1+|\lambda|)(1+|\operatorname{Re}\lambda|)}$$

Hence, we have from (3.1)

$$||R(\lambda)f||_{L^2}^2 \leq \frac{C}{(1+|\lambda|)^2(1+|\mathrm{Re}\lambda|)^2} ||f||_{L^2}^2.$$

Since  $Q(2\delta) \subset L^2 \subset Q(-2\delta)$ ,  $(\delta > 0)$ , we conclude that

$$|R(\lambda)f|_{2\delta} \leq \frac{C}{(1+|\lambda|)(1+|\mathrm{Re}\lambda|)}|f|_{\delta}.$$

When  $\lambda$  is in  $\{-\delta < \operatorname{Re} \lambda \leq N, \operatorname{Im} \lambda \geq N\}$ , we consider that  $\xi$  is of threedimension complex space:  $C^3$ . Then  $\hat{f}(\xi)$  is an analytic function in  $C^3$  and satisfies that

(3.3) 
$$\sup_{|\operatorname{Im}\xi|\leq A} (1+|\xi|)^{M} |\widehat{f}(\xi)| \leq C_{M,A},$$

where A and M are real numbers and  $C_{M,A}$  is a constant depending on A, M and f. And  $1/4\pi^2(\xi_1^2+\xi_2^2+\xi_3^2)+\lambda^2$  is analytic in  $\xi$  unless the points are such that  $\xi_1^2+\xi_2^2+\xi_3^2=-\lambda^2/4\pi^2$ . When  $\lambda=\alpha+i\beta$ ,  $\alpha>0$ ,  $\beta\geq N>0$ , we set

(3.4) 
$$R_{(i,j,k)}(\lambda)f = \int_{r(i,j,k)} \frac{e^{2\pi i x \cdot \xi}}{4\pi^2 (\xi_1^2 + \xi_2^2 + \xi_3^2) + \lambda^2} \hat{f}(\xi) \cdot d\xi.$$

We move lines of integration of the right hand side of (3.4) as following,

$$[0, \infty) \rightarrow [0, +\delta i/2\pi] + [+\delta i/2\pi, +\infty + \delta i/2\pi) \equiv I_+ + J_+$$
$$(-\infty, 0] \rightarrow [0, -\delta i/2\pi] + [-\delta i/2\pi, -\infty - \delta i/2\pi) \equiv I_- + J_-.$$

Then

(3.5) 
$$R_{(i,j,k)}(\lambda)f = \int_{(I_i+J_k)\times(I_j+J_k)\times(I_k+J_k)} \frac{e^{2\pi i x\cdot\xi}}{4\pi^2(\xi_1^2+\xi_2^2+\xi_3^2)+\lambda^2} \hat{f}(\xi)d\xi.$$

In fact,  $e^{2\pi i s \cdot \xi} \{4\pi^2(\xi_1^2 + \xi_2^2 + \xi_3^2) + \lambda^2\}^{-1}$  is analytic and bounded in  $\xi$  on  $D_i \times D_j \times D_k$ , and (3.3) holds there, where

$$D_{+} = \{\operatorname{Re}\eta \geq 0, \, \delta/2\pi \geq \operatorname{Im}\eta \geq 0\}, \ D_{-} = \{\operatorname{Re}\eta \leq 0, \, -\delta/2\pi \leq \operatorname{Im}\eta \geq 0\}.$$

(3.5) shows that  $R_{(i,j,k)}(\lambda)f$  is analytic in  $\{\operatorname{Re} \lambda > -\delta, \operatorname{Im} \lambda \ge N\}$  as a  $Q(-\delta)$ -valued function of  $\lambda$ . Let

$$(3.6) \qquad S(K_i, K_j, K_k)(\lambda)f = \int_{K_i \times K_j \times K_k} \frac{e^{2\pi i x \cdot \xi}}{4\pi^2 (\xi_1^2 + \xi_2^2 + \xi_3^2) + \lambda^2} \widehat{f}(\xi) d\xi$$

where  $K_i$  is  $I_i$  or  $J_i$ .

**Lemma 4.** Let  $p(\xi, \lambda) = 1/|4\pi^2(\xi_1^2 + \xi_2^2 + \xi_3^2) + \lambda^2|$  and  $\lambda \in \{-\delta < \operatorname{Re} \lambda \le N, \operatorname{Im} \lambda \ge N\}$ . Then

i) 
$$\sup_{\xi \in I_{1} \times I_{1} \times I_{k}} p(\xi, \lambda) \leq \frac{C}{|\lambda|^{2}}$$
  
ii) 
$$\sup_{\xi \in K_{1} \times K_{2} \times K_{k}} p(\xi, \lambda) \leq \frac{C}{(1+|\lambda|)(\delta + \operatorname{Re} \lambda)}$$

where  $K_{h}$  is  $I_{h}$  or  $J_{h}$  and one of  $K_{i}$ ,  $K_{j}$  and  $K_{k}$  is  $J_{+}$  or  $J_{-}$ .

**Proof.** We may assume  $N \ge \delta$ . Then  $\operatorname{Re} \lambda^2 \le 0$ .

i) Since  $4\pi^2(\xi_1^2+\xi_2^2+\xi_3^2)=z\in[-3\delta^2,0]$  if  $\xi\in I_i\times I_j\times I_k$ , we have from  $\operatorname{Re}\lambda^2\leq 0$ 

$$p(\xi, \lambda) \leq \sup_{z \in [-3\delta^2, 0]} \left( \frac{1}{|\lambda^2 + z|} \right) \leq \frac{C}{|\lambda|^2}.$$

ii) Since  $\xi \in K_i \times K_j \times K_k$  and one of  $K_i$ ,  $K_j$  and  $K_k$  is  $J_+$  or  $J_-$ , we can write

$$4\pi^2(\xi_1^2+\xi_2^2+\xi_3^2)\!=\!4\pi^2z^2t(\xi), \ \ z\!\in\! J_+\,,\ t(\xi)\!\geq\!\!1.$$

Hence

$$\sup_{\xi \equiv \mathcal{I}_{1} \times \mathcal{K}_{j} \times \mathcal{K}_{k}} p(\xi, \lambda) \leq \sup_{z \in J_{1}} \frac{1}{|\lambda^{2} + 4\pi^{2}z^{2}|} \leq \frac{C}{(|\lambda| + 1)(\operatorname{Re} \lambda + \delta)}.$$

This proves Lemma 4.

$$1^{\circ} \qquad S(I_{+}, I_{+}, I_{+})(\lambda)f \equiv \int_{I_{+} \times I_{+} \times I_{+}} \frac{e^{2\pi i s \cdot \xi}}{4\pi^{2}(\xi_{1}^{2} + \xi_{2}^{2} + \xi_{3}^{2}) + \lambda^{2}} \hat{f}(\xi) d\xi$$
$$= -i \int_{0}^{\delta/2\pi} \int_{0}^{\delta/2\pi} \int_{0}^{\delta/2\pi} \frac{e^{-2\pi (s_{1}s_{1}+s_{2}s_{2}+s_{3}s_{2})}}{\lambda^{2} - 4\pi^{2}(s_{1}^{2} + s_{2}^{2} + s_{3}^{2})} \hat{f}(+is) ds_{1} ds_{2} ds_{3}, s = (s_{1}, s_{2}, s_{3}).$$

Since

$$\begin{split} |f(is)|^{2} \leq & \left\{ \int |e^{2\pi(s_{1}x_{1}+s_{2}x_{2}+s_{3}x_{3})}|f|(x)|dx \right\}^{2} \\ \leq & \int e^{-2\varepsilon|x|}ds \cdot \int |e^{(\sqrt{3}\,\delta+\varepsilon)|x|}f(x)|^{2}dx, \quad \left(|s_{1}|, |s_{2}|, |s_{3}| \leq \frac{\delta}{2\pi}\right), \\ \int |e^{-(\sqrt{3}\,\delta+\varepsilon)|x|}S(I_{+}, I_{+}, I_{+})(\lambda)f|^{2}dx \\ \leq & \left\{ \int e^{-2\varepsilon|x|}dx \right\}^{2} \left\{ \int \int \int_{0}^{2\pi}ds_{1}ds_{2}ds_{3} \right\}^{2} \cdot \int |e^{(\sqrt{3}\,\delta+\varepsilon)|x|}f(x)|^{2}dx \\ & \times \sup_{0 \leq s, s, s \leq \delta/2\pi} \left| \frac{1}{\lambda^{2} - 4\pi^{2}(s_{1}^{2} + s_{2}^{2} + s_{3}^{2})} \right|. \end{split}$$

From Lemma 4, i)

$$\leq \! \frac{(4\pi)^2}{(2\varepsilon)^6} \left(\!\frac{\delta}{2\pi}\right)^6 \! \int \! |e^{(\sqrt{3}\delta+\varepsilon)x'}f(x)|^2 dx \cdot \frac{C}{|\lambda|^4}.$$

$$2^{\circ} \qquad S(I_{+}, J_{+}, J_{+})(\lambda)f \equiv \int_{I_{+} \times J_{+} \times J_{+}} \frac{e^{2\pi i x \cdot \xi}}{4\pi^{2}(\xi_{1}^{2} + \xi_{2}^{2} + \xi_{3}^{2}) + \lambda^{2}} \hat{f}(\xi) d\xi$$
$$= i \int_{0}^{2\pi} ds_{1} \int_{0}^{\infty} ds_{2} \int_{0}^{\infty} \frac{e^{-2\pi s_{1} x_{1}} e^{2\pi i s_{2} x_{2} - \delta x_{2}} e^{2\pi i s_{3} x_{3} - \delta x_{3}}}{4\pi^{2} \left\{ (is_{1})^{2} + \left(s_{2} + i \frac{\delta}{2\pi}\right)^{2} + \left(s_{3} + i \frac{\delta}{2\pi}\right)^{2} \right\} + \lambda^{2}} \hat{f}(\sigma) ds_{3},$$

where  $\sigma$  denotes  $\left(is_1, s_2 + i\frac{\delta}{2\pi}, s_3 + i\frac{\delta}{2\pi}\right) = ie^{-\delta x_2 - \delta x_3}$ 

$$\times \int_{0}^{\infty} \int_{0}^{\infty} e^{2\pi i (s_{2}x_{2}+s_{3}x_{3})} \left\{ \int_{0}^{5/2\pi} \frac{e^{-2\pi s_{1}x_{1}}g_{1}(s_{1}, s_{2}, s_{3})}{q_{1}(s_{1}, s_{2}, s_{3}, \lambda)} ds_{1} \right\} ds_{2} ds_{3}$$

where

$$\left\{ egin{array}{l} q_1(s_1,s_2,s_3,\lambda)\!=\!4\pi^2 \Big\{\! \Big(\!s_2\!+\!irac{\delta}{2\pi}\Big)^2\!+\!\Big(\!s_3\!+\!irac{\delta}{2\pi}\Big)^2\!-\!s_1^2\!\Big\}\!+\!\lambda^2 \ g_1(s_1,s_2,s_3)\!=\!\widehat{f}\Big(\!is_1\!\!,s_2\!+\!irac{\delta}{2\pi}\!\!,s_3\!+\!irac{\delta}{2\pi}\Big)\!. \end{array} 
ight.$$

Since we can regard the above equality as Fourier transform from  $(s_2, s_3)$  to  $(x_2, x_3)$ , using Plancherel's theorem, we have the following inequality.

$$\begin{split} &\iint |e^{\delta x_2 + \delta x_3} S(I_+, J_+, J_+)(\lambda) f|^2 ds_2 ds_3 \\ = &\iint \left| \int_0^{\delta/2\pi} \frac{e^{-\pi s_1 x_1} g_1(s_1, s_2, s_3)}{q_1(s_1, s_2, s_3)} ds_1 \right|^2 ds_2 ds_3 \\ &\leq & \frac{e^{2\delta |x_1|}}{\inf_{(s_1, s_2, s_3)} |q_1(s_1, s_2, s_3)|} \left( \frac{\delta}{2\pi} \right) \iint_0^{-\infty} \left\{ \int_0^{-2/2\pi} |g_1(s_1, s_2, s_3)|^2 ds_1 \right\} ds_2 ds_3 \end{split}$$

and

$$\iint_{0}^{\infty} |g_{1}(s_{1}, s_{2}, s_{3})|^{2} ds_{2} ds_{3} \leq \iint_{-\infty}^{+\infty} \left| \hat{f}\left(is_{1}, s_{2}+i\frac{\delta}{2\pi}, s_{3}+i\frac{\delta}{2\pi}\right) \right|^{2} ds_{2} ds_{3}$$

also using Plancherel's theorem on  $(y_2, y_3) \rightarrow (s_2, s_3)$ ,

$$= \iint_{-\infty}^{+\infty} \left| \int_{-\infty}^{+\infty} e^{\delta y_2 + \delta y_3} e^{2\pi s_1 y_1} f(y) dy_1 \right|^2 dy_2 dy_3$$
$$\leq \int_{-\infty}^{+\infty} e^{-2\varepsilon |y_1|} dy_1 \cdot \iiint |e^{\sqrt{3} \delta |y| + \varepsilon |y_1|} f(y)|^2 dy_1 dy_2 dy_3.$$
In fact,  $|\delta y_1 + \delta y_2 + \delta y_3| \leq \sqrt{3} \delta |y|.$ 

Since

$$\inf_{\substack{\substack{(0\leq s_1\leq\delta/2\pi)\\(0\leq s_2,s_3<\infty)}}} |q_1(s_1, s_2, s_3, \lambda)|^2 = \{\sup_{\xi \equiv I_+ \times J_+ \times J_+} p(\xi, \lambda)^2\}^{-1} \\ \ge C(1+|\lambda|)^2 (\delta + \operatorname{Re} \lambda)^2$$

from Lemma 4, ii), it follows that

$$\begin{split} \int |e^{-(\sqrt{3}\delta+\varepsilon)|\mathbf{x}|} S(I_+, J_+, J_+)(\lambda)f|^2 dx \\ \leq & \int_{-\infty}^{\infty} e^{-2(\delta+\varepsilon)|\mathbf{x}_1|} \left\{ \iint_{-\infty}^{+\infty} |e^{\delta \mathbf{x}_2 + \delta \mathbf{x}_3} \cdot S(I_+, J_+, J_+)(\lambda)f|^2 dx_2 dx_3 \right\} dx_1 \\ \leq & C(1+|\lambda|)^{-2} (\delta + \operatorname{Re} \lambda)^{-2} \cdot \left\{ \int_{-\infty}^{+\infty} e^{-2\varepsilon|\mathbf{x}_1|} dx_1 \right\}^2 \left( \frac{\delta}{2\pi} \right)^2 \\ \times & \int_{\mathbb{R}^3} |e^{(\sqrt{3}\delta+\varepsilon)|\mathbf{x}|} f(x)|^2 dx. \end{split}$$

$$3^{\circ} \qquad S(J_{+}, J_{+}, J_{+})(\lambda)f \equiv \int_{J_{+} \times J_{+} \times J_{+}} \frac{e^{2\pi i x\xi}}{4\pi^{2}(\xi_{1}^{2} + \xi_{2}^{2} + \xi_{3}^{2}) + \lambda^{2}} \hat{f}(\xi)d\xi$$
$$= e^{-\delta(x_{1} + x_{2} + x_{3})} \int_{0}^{\infty} \int_{0}^{\infty} \int_{0}^{\infty} e^{2\pi i (x_{1}s_{1} + x_{2}s_{2} + x_{3}s_{3})} \frac{g_{2}(s_{1}, s_{2}, s_{3})}{q_{2}(s_{1}, s_{2}, s_{3}, \lambda)} ds_{1} ds_{2} ds_{3}$$

where

$$\begin{cases} g_2(s_1, s_2, s_3) = \hat{f}\left(s_1 + i\frac{\delta}{2\pi}, s_2 + i\frac{\delta}{2\pi}, s_3 + i\frac{\delta}{2\pi}\right) \\ q_2(s_1, s_2, s_3, \lambda) = 4\pi^2 \left\{ \left(s_1 + i\frac{\delta}{2\pi}\right)^2 + \left(s_2 + i\frac{\delta}{2\pi}\right)^2 + \left(s_3 + i\frac{\delta}{2\pi}\right)^2 \right\} + \lambda^2. \end{cases}$$

In the same manner with 2°, using Plancherel's theorem and Lemma 4, ii), we have

$$\begin{split} \int_{\mathbb{R}^{3}} |e^{-(\sqrt{3}3\delta+\mathcal{E})|x|} S(J_{+},J_{+},J_{+})(\lambda)f|^{2} dx \\ \leq & \int_{\mathbb{R}^{3}} e^{\delta(x_{1}+x_{2}+x_{3})} S(J_{+},J_{+},J_{+})(\lambda)f|^{2} dx \\ \leq & C(1+|\lambda|)^{-2} (\delta+\operatorname{Re}\lambda)^{-2} \int_{\mathbb{R}^{3}} |e^{-\delta(x_{1}+x_{2}+x_{3})}f(x)|^{2} dx \\ \leq & C(1+|\lambda|)^{-2} (\delta+\operatorname{Re}\lambda)^{-2} \int_{\mathbb{R}^{3}} |e^{(\sqrt{3}\delta+\mathcal{E})|x|}f(x)|^{2} dx. \end{split}$$

In the same manner we have the estimates of other  $S(\cdots)(\lambda)f$ , that is,

(3.7) 
$$\int |e^{-(\sqrt{3}+\varepsilon)|x|}S(\cdots)(\lambda)f|^2 dx$$
$$\leq C(1+|\lambda|)^{-2}(\delta+\operatorname{Re}|\lambda|)^{-2}\int |e^{(\sqrt{3}+\varepsilon)|x|}f|^2 dx.$$

Thus, from (3.2), (3.4), (3.6) and (3.7) we can estimate  $R(\lambda)f$  as following.

$$R(\lambda)f = \sum_{(i,j,k)} R_{(i,j,k)}(\lambda)f$$
  
=  $\sum_{(i,j,k)} \{ \sum_{(K_i,K_j,K_k)} S(K_i, K_j, K_k)(\lambda)f \}.$ 

Hence

$$\begin{split} & \int_{\mathbb{R}^3} |e^{-\gamma_i x} R(\lambda) f|^2 dx \\ & \leq \sum_{(i,j,k)} \{ \sum_{(K_i,K_j,K_k)} \int_{\mathbb{R}^3} |e^{-\gamma' x} S(K_i,K_j,K_k)(\lambda) f|^2 dx \} \\ & \leq C(1+|\lambda|)^{-2} (\delta + \operatorname{Re} \lambda)^{-2} \int_{\mathbb{R}^3} |e^{\gamma' x} f(x)|^2 dx. \end{split}$$

Where  $\lambda = \alpha + i\beta$ ,  $-\delta < \alpha \le N$ ,  $\beta \ge N$  and  $\gamma = \sqrt{3}\delta + \varepsilon > 0$ . If we take  $\varepsilon$  such that  $\gamma \le 2\delta$ , we have the estimate of Lemma 1.

When  $\text{Im} \lambda \leq -N < 0$ , we can have the estimates in the same manner with the above case if we move lines of integration of the right hand side of (3.4) as following

$$[0, \infty) \rightarrow \left[ 0, -i\frac{\delta}{2\pi} \right] + \left[ -i\frac{\delta}{2\pi}, +\infty - i\frac{\delta}{2\pi} \right)$$
$$(-\infty, 0] \rightarrow \left[ 0, +i\frac{\delta}{2\pi} \right] + \left[ +i\frac{\delta}{2\pi}, -\infty + i\frac{\delta}{2\pi} \right) .$$

Thus we obtain the estimate i) of Lemma 1. We can prove the estimates ii), iii) and iv) of Lemma 1, using the fact that  $p_1(\lambda)$ ,  $p_2(\lambda)$  and  $p_3(\lambda)$  satisfy the following inequalities,

$$\sup_{\substack{\xi \in K_{1} \times K_{j} \times K_{k}}} |p_{1}(\lambda)|^{2} \leq C(\delta + \operatorname{Re} \lambda)^{-2}$$

$$\sup_{\xi \in K_{1} \times K_{j} \times K_{k}} |p_{2}(\lambda)|^{2} \leq C(1 + |\lambda|)^{2}(\delta + \operatorname{Re} \lambda)^{-2}$$

$$\sup_{\xi \in K_{1} \times K_{j} \times K_{k}} |p_{3}(\lambda)|^{2} \leq C \frac{|h|^{2}|2\lambda + h|^{2}}{(1 + |\lambda|)^{4}(\delta + \operatorname{Re} \lambda)^{2}(\delta + \operatorname{Re} \lambda + h)^{2}}$$

where

$$p_{0}(\lambda) = \{4\pi^{2}(\xi_{1}^{2} + \xi_{2}^{2} + \xi_{3}^{2}) + \lambda^{2}\}^{-1}$$

$$p_{1}(\lambda) = 2\pi\xi_{\nu}p_{0}(\lambda), \quad p_{2}(\lambda) = 4\pi^{2}\xi_{\nu} \cdot \xi_{\mu}p_{0}(\lambda)$$

$$p_{3}(\lambda) = p_{0}(\lambda + h) - p_{0}(\lambda) = -p_{0}(\lambda + h)p_{0}(\lambda)h(2\lambda + h).$$

The proof of Lemma 1 is complete.

2) **Proof of Lemma 2** (the case when  $b(x) \equiv 0$ ,  $c(x) \neq 0$ ).

**Lemma 5.** Let c(x) be a bounded function with compact support and  $c(x) \ge 0$ . Let u(x) satisfy that

(3.8) 
$$u(x) + \frac{1}{4\pi} \int_{E^3} \frac{e^{-\lambda' x - y|}}{|x - y|} c(y) u(y) dy = 0, \quad u \in L^2_{loc}.$$

Then  $u(x) \equiv 0$  in  $E^3$  if  $\operatorname{Re} \lambda \geq 0$ .

**Proof.** From (3.8) and the assumption that c(x) is a bounded function with compact support, we have the condition of u(x) such that

(3.9) 
$$\{-\Delta + \lambda^2 + c(x)\} u(x) = 0$$

(3.10) 
$$u(x) = 0(|x|^{-1})e^{-\operatorname{Re}_{\lambda|x|}}, \frac{d}{dx}u(x) + \lambda u(x) = 0(|x|^{-1})e^{-\operatorname{Re}_{\lambda|x|}}$$

as  $|x| \rightarrow \infty$ . Let  $\lambda = \alpha + i\beta$ . When  $\beta = 0$ , we have from (3.9) that

(3.11) 
$$0 = \int_{|x| \le R} \{-\Delta + c(x) + \alpha^2\} u(x) \cdot \overline{u(x)} dx$$
$$= \int_{|x| \le R} |\operatorname{grad} u(x)|^2 dx + \int_{|x| \le R} c(x) |u(x)|^2 dx$$
$$+ \alpha^2 \int_{|x| \le R} |u(x)|^2 dx - \int_{|x| = R} \left(\frac{d}{d|x|}u\right) \overline{u} ds.$$

Since the last term of (3.11) vaishes when  $R \rightarrow \infty$  and  $c(x) \ge 0$ , we have that

 $\int_{|\mathbf{x}|\leq R} |\operatorname{grad} u(\mathbf{x})|^2 d\mathbf{x} = 0, \text{ that is, } |\operatorname{grad} u(\mathbf{x})| = 0.$ 

This implies that u(x) is constant. Thus  $u(x) \equiv 0$  in  $E^3$  from (3.10) if  $\alpha \ge 0$ . When  $\alpha \beta \neq 0$ , we have  $u(x) \equiv 0$  since  $2\alpha\beta \int |u(x)|^2 dx = 0$  which is the imaginary part of  $\int \{-\Delta + \lambda^2 + c(x)\} u(x) \cdot \overline{u(x)} dx$ . The case when  $\alpha = 0$  and  $\beta \neq 0$  is left. In this case it is sufficient to prove the two fact such that a) u(x), which satisfies (3.8), is a function of  $L^2$ ; b) if  $(\Delta + \beta^2)u(x) = 0$  at  $|x| \ge R$  and  $u(x) \in L^2(|x| \ge R)$ , then  $u(x) \equiv 0$ in  $|x| \ge R$ . In fact, if  $u(x) \equiv 0$  in  $|x| \ge R$ ,  $u(x) \equiv 0$  in whole space  $E^3$  from the unique continuation theorem of solutions of elliptic equation of second order (Refer to Eidus [3] or Povzner [4] for details of Lemma 5). q. e. d. We now prove Lemma 2. Let  $\mathcal{A}(\delta)$  be the Banach space of bounded operators from  $Q(-\delta)$  to  $Q(-\delta)$  and let  $\mathcal{B}(\delta)$  be that from  $Q(\delta)$  to  $Q(-\delta)$ . We denote the norm of each Banach space by

$$|A_{\delta} \equiv \sup_{|f_{-\delta} \leq 1} |Af|_{-\delta}, \quad A \in \mathcal{A}(\delta)$$
$$|B|_{\delta} \equiv \sup_{|f_{-\delta} \leq 1} |Bf|_{-\delta}, \quad B \in \mathcal{B}(\delta).$$

Let  $u(x, \lambda)$  be a solution in  $L^2$  of the equation  $L_1(\lambda)u=f$  where  $f \in L^2$ and Re $\lambda > 0$ . Then  $u(x, \lambda)$  is a solution of the integral equation

$$u(x, \lambda) + R(\lambda) \cdot c(x) \cdot u(x, \lambda) = R(\lambda) \cdot f(x)$$

which is obtained by operating  $R(\lambda)$  to the both sides of  $L_1(\lambda)u=f$ , where  $R(\lambda)$  is defined in Lemma 1 and c(x). is an operator which multiplies c(x). That is,

$$(3.12) \qquad \{I + R(\lambda) \cdot c(x) \cdot\} G_1(\lambda) = R(\lambda), \text{ at } \operatorname{Re} \lambda > 0,$$

where  $I+R(\lambda)\cdot c(x)$ ,  $G_1(\lambda)$  and  $R(\lambda)$  are bounded operators on  $L^2$ . To obtain the analytic continuation of  $G_1(\lambda)$  we shall show that the equation of (3.12) can be solved at  $\operatorname{Re} \lambda \leq 0$  if we consider  $G_1(\lambda)$  as an element of  $\mathscr{B}(\delta)$ .

(3.13): R(λ)∈ B(δ) and R(λ) · c(x) · ∈ A(δ) are compact operators, that is, they map a bounded set to a pre-compact set, and they are analytic functions of λ, which value in B(δ) and A(δ), respectively, at Re λ≥-δ' for some δ'>0.

In fact an integral operator having a kernel  $e^{-\delta|x|} \frac{e^{-\lambda|x-y|}}{|x-y|} e^{-\delta|y|}$ , which is the Hilbert-Schmidt type, is a compact operator on  $L^2$ ,  $e^{+\delta|x|}$ is a bounded operator from  $Q(\gamma)$  to  $Q(\gamma-\delta)$ , and c(x). is a bounded operator from  $Q(-\delta)$  to  $Q(\delta)$  from the assumption that c(x) is a bounded function with compact support. Hence we have that  $R(\lambda)$ and  $R(\lambda) \cdot c(x)$ . are compact operators. The analyticity follows from Lemma 1 and the assumption for c(x).

 $(3.14): \{I+R(\lambda)\cdot c(x)\cdot\} \text{ has an inverse } \{I+R(\lambda)\cdot c(x)\cdot\}^{-1} \in \mathcal{A}(\delta)$ at Re $\lambda \geq 0$ .

In fact, since  $R(\lambda) \cdot c(x)$  is a compact operator on  $Q(-\delta)$  from (3.13)

it is sufficient to show that, if  $\{I+R(\lambda)\cdot c(x)\cdot\}u=0$  and  $u\in Q(-\delta)$ , then u=0. This follows from Lemma 5. Let us put

$$R_1(\lambda) = \{I + R(\lambda) \cdot c(x) \cdot\}^{-1}.$$

We now assume that there exists the inverse  $R_1(\lambda)$  at  $\lambda = \lambda_0$ . If we operate  $R_1(\lambda_0)$  to the both sides of  $\{I + R(\lambda) \cdot c(x) \cdot\} u = f$ ; u and  $f \in Q(-\delta)$ , we have

$$\{I+S(\lambda)\}u=R_1(\lambda_0)f$$
, where  $S(\lambda)=R_1(\lambda_0)\{R(\lambda)-R(\lambda_0)\}C(x)$ :

Since  $R(\lambda) \cdot c(x)$  is analytic at  $\lambda = \lambda_0$ , we have  $|S(\lambda)|_{\delta} \le 1/2$  in some neighborhood of  $\lambda = \lambda_0$ . Hence the Neumann series

$$\{I+S(\lambda)\}^{-1}=I+S(\lambda)+S(\lambda)^2+\cdots$$

uniformly converge in the neighborhood of  $\lambda = \lambda_0$ . This implies that  $\{I + S(\lambda)\}^{-1}$  is analytic in the neighborhood of  $\lambda = \lambda_0$  for  $S(\lambda)$  is analytic there. Thus, there exists  $R_1(\lambda) \equiv \{I + S(\lambda)\}^{-1}R_1(\lambda_0)$  which is analytic in the neighborhood of  $\lambda = \lambda_0$ . Considering (3.14) and above, we have that

(3.15):  $R_1(\lambda) = \{I + R(\lambda) \cdot c(x) \cdot\}^{-1}$  is an analytic function of  $\lambda$  at Re $\lambda \ge 0$  which values in  $\mathcal{A}(\delta)$ .

Let us estimate  $R_1(\lambda)$ . From Lemma 1, i) we have, at Re $\geq -\delta/2$ ,

$$|R(\lambda) \cdot c(x) \cdot f(x)|_{-\delta} \leq C(1+|\lambda|)^{-1}(1+|\operatorname{Re}\lambda|)^{-1} \cdot |c(x) \cdot f(x)|_{\delta}$$
  
 
$$\leq C(1+|\lambda|)^{-1}(1+|\operatorname{Re}\lambda|)^{-1} \sup |c(x) \cdot e^{2\delta|x|}| \cdot |f(x)|_{-\delta},$$

that is,

$$\|R(\lambda) \cdot c(x) \cdot \|_{\delta} \leq C(1+|\lambda|)^{-1}(1+|\operatorname{Re}\lambda|)^{-1}.$$

Hence, since  $||R(\lambda) \cdot c(x) \cdot ||_{\delta} \le 1/2$  when  $|\lambda|$  is large enough and  $\operatorname{Re} \lambda \ge -\delta/2$ , there exists the inverse of  $\{I + R(\lambda) \cdot c(x) \cdot\}$  by Neumann's series;

$$R_1(\lambda) = I + R(\lambda) \cdot c(x) \cdot (R(\lambda) \cdot c(x) \cdot)^2 + \cdots,$$

which implies that  $R_1(\lambda)$  is analytic in  $\lambda$  and  $||R_1(\lambda)||_{\delta} \leq \text{Const.}$  in  $\text{Re} \lambda \geq -\delta/2$  and  $|\lambda| \geq M$ . Since (3.15) holds where  $|\lambda|$  is bounded, we have that

(3.16): R₁(λ) can be continued to an analytic function in Reλ≥-δ', δ'>0, which value A(δ). If we denote the extension also by R₁(λ), we can estimate R₁(λ) as following,

 $R_1(\lambda)_{\delta} \leq \text{Const.} < \infty; \quad \text{Re}\,\lambda \geq -\delta'.$ 

Using (3.16) and Lemma 1, we conclude that

(3.17): 
$$G_1(\lambda)$$
 can be continued to an analytic function in  $\operatorname{Re} \lambda \geq -\delta'$ ,  
 $\delta' > 0$ , which value  $\mathcal{B}(\delta)$  and has the estimates as

1° 
$$|G_1(\lambda)|_{\delta} \leq \text{Const.}(1+|\lambda|)^{-1}(1+|\text{Re}\lambda|)^{-1}$$
  
2°  $|G_1(\lambda)-G_1(\lambda+h)|_{\delta} \leq \text{Const.}|h|(1+|\lambda|)^{-1}(1+|\text{Re}\lambda|)^{-1}$ ,  
 $0 \leq \text{Re}h < 1$ ,  $|h| \leq 1$ .

In fact, from (3.12) we have  $G_1(\lambda) = R_1(\lambda) \cdot R(\lambda)$  at  $\operatorname{Re} \lambda > 0$ , the right hand side of which is analytic in  $\operatorname{Re} \lambda \ge -\delta'$  from (3.16). This defines the continuation. Since  $|G_1(\lambda)|_{\delta} \le ||R_1(\lambda)|_{\delta} \cdot |R(\lambda)|_{\delta}$ , the estimate 1° of (3.17) follows from the estimates of (3.16) for  $R_1(\lambda)$  and of Lemma 1, i) for  $R(\lambda)$  which is  $|R(\lambda)|_{\delta} \le C(1+|\lambda|)^{-1}(1+|\operatorname{Re} \lambda|)^{-1}$ .

Using (3.12), we have

$$\{I + R(\lambda) \cdot c(x) \cdot\} \cdot \{G_1(\lambda) - G_1(\lambda + h)\}$$
  
=  $\{R(\lambda + h) - R(\lambda)\} \cdot c(x) \cdot G_1(\lambda + h) - \{R(\lambda + h) - R(\lambda)\}.$ 

Operating  $R_1(\lambda) = \{I + R(\lambda) \cdot c(x) \cdot\}^{-1}$  to the both sides of above equality,

$$\begin{split} & [G_{1}(\lambda) - G_{1}(\lambda+h)]_{\delta} \\ \leq & [R_{1}(\lambda) \left[ \{R(\lambda+h) - R(\lambda)\} \cdot c(x) \cdot G_{1}(\lambda+h) - \{R(\lambda+h) - R(\lambda)\} \right]|_{\delta} \\ \leq & [R_{1}(\lambda) |_{\delta} \cdot \left[ R(\lambda+h) - R(\lambda)\} \cdot c(x) |_{\delta} \cdot [G_{1}(\lambda+h)]_{\delta} \\ & + |\{R(\lambda+h) - R(\lambda)\}|_{\delta}]. \end{split}$$

Using the estimates of iv) of Lemma 1, of (3.16) and of  $1^{\circ}$  of (3.17) and the inequality;

$$|\{R(\lambda+h)-R(\lambda)\}\cdot c(x)|_{\delta}\leq \text{Const.}|R(\lambda+h)-R(\lambda)|_{\delta},$$

we have the estimate  $2^{\circ}$  of (3.17), that is,

$$\begin{split} |G_{1}(\lambda) - G_{1}(\lambda + h)|_{\delta} \\ \leq & |\{R(\lambda + h) - R(\lambda)\}|_{\delta} \{\text{Const.} ||R_{1}(\lambda)||_{\delta} \cdot |G_{1}(\lambda + h)|_{\delta} + 1\} \\ \leq & \text{Const.} |h| (1 + |\lambda|)^{-1} (1 + |\text{Re}\lambda|)^{-1}; \ 0 \leq & \text{Re} h, \ |h| \leq 1. \end{split}$$

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The proposition of (3.17) is nothing but Lemma 2. Since  $R(\lambda)$  is a compact operator and  $R_1(\lambda)$  is a bounded operator,  $G_1(\lambda) = R_1(\lambda) \cdot R(\lambda)$  is also a compact operator.

The proof of Lemma 2 is complete.

# 3) **Proof of Lemma 3** (the case when $b(x) \neq 0$ ).

From the assumption of Lemma 3, that is,  $b(x) \ge 0$ ,  $c(x) \ge 0$  are bounded functions with compact supports, we may put  $b(x) = a^2(x)$ , where a(x) is a bounded real valued function with compact support, and there exists the Green operator  $G_1(\lambda)$  of  $L_1(\lambda)$  which satisfies Lemma 2.

Operating  $G_1(\lambda)$  to the both sides of the following equality

$$L_2(\lambda)u \equiv \{-\varDelta + \lambda^2 + c(x) + \lambda b(x)\}u = f,$$
  
$$u \in D(L_2(\lambda)), \quad f \in L^2, \quad \text{Re} \lambda > 0$$

we have

$$(3.18) \qquad \{I + \lambda G_1(\lambda) \cdot b(x) \cdot \} u(x) = G_1(\lambda) \cdot f(x) \equiv g(x).$$

From Lemma 2  $G_1(\lambda) \cdot b(x) \cdot$  is a compact operator on  $Q(-\delta)$  at  $\operatorname{Re} \lambda \geq -\delta' < 0$  and  $g(x) \in Q(-\delta)$  if  $f(x) \in Q(\delta)$ . In the same manner as in the proof of Lemma 2 we first prove that

(3.19): There exists the inverse  $R_2(\lambda) \equiv \{I + \lambda G_1(\lambda) \cdot b(x) \cdot\}^{-1} \in \mathcal{A}(\delta)$ in  $\operatorname{Re} \lambda \geq -\delta''$  for some positive  $\delta''$  which is analytic there and satisfies that

 $\|R_2(\lambda)\|_{\delta} \leq \text{Const.,}$ 

where  $\mathcal{A}(\delta)$  is the Banach space defined in the proof of Lemma 2 and  $\|\| \|_{\delta}$  is the norm of  $\mathcal{A}(\delta)$ .

It is clear from the analyticity of  $\lambda G_1(\lambda) \cdot b(x)$  that  $R_2(\lambda)$  is analytic where  $R_2(\lambda)$  exists. To prove (3.19) we note that the following two problems are equivalent, which are to solve the equation;

$$\{I + \lambda G_1(\lambda) \cdot b(x) \cdot\} u(x) = g(x) \text{ in } Q(-\delta)$$

and to solve in  $L^2$  the equation;

$$\{I+\lambda a(x)\cdot G_1(\lambda)\cdot a(x)\cdot\}v(x)=a(x)\cdot g(x)\equiv h(x).$$

Here the relations of u(x) and v(x) are given by

(3.20) 
$$\begin{cases} v(x) = a(x) \cdot u(x) \\ u(x) = -\lambda G_1(\lambda) \cdot a(x) \cdot v(x) + g(x) \end{cases}$$

We put

$$T_{\lambda} \equiv \lambda a(x) \cdot G_{1}(\lambda) \cdot a(x).$$

Since  $T_{\lambda}$  is a compact operator on  $L^2$ , there exists the inverse of  $\{I+T_{\lambda}\}$  if we can show the estimate  $||v||_{L^2} \leq C||\{I+T_{\lambda}\}v||_{L^2}$  for all  $v \in L^2$ . We show it when  $\alpha > 0$ ;  $\lambda = \alpha + i\beta$ . The proof of Lemma 5 gives that  $L_1 = -\varDelta + c(x)$ ,  $D(L_1) = \mathcal{D}_{L^2}^2$  is a positive definite selfadjoint operator, that is, there exists the resolution of the identity  $E_{\mu}(0 \leq \mu < \infty)$  such that

$$L_1f = \int_0^\infty \mu dE_\mu f$$
, for all  $f \in D(L_1) = \mathcal{D}_{L^2}^g$ 

Hence we can write

$$G_1(\lambda)f = \int_0^\infty \frac{1}{\mu+\lambda^2} dE_\mu f.$$

Therefore

$$T_{\lambda}v = \lambda a(x) \cdot \int_{0}^{\infty} \frac{1}{\mu + \lambda^{2}} dE_{\mu}a(x) \cdot v.$$

If we denote the inner product of  $L^2$  by (,),

$$\operatorname{Re}(T_{\lambda}v, v) = \operatorname{Re}\left(a(x) \cdot \int_{0}^{\infty} \frac{\lambda}{\mu + \lambda^{2}} dE_{\mu}a(x) \cdot v, v\right)$$
$$= \operatorname{Re}\int_{0}^{\infty} \frac{\lambda}{\mu + \lambda^{2}} d(E_{\mu}a(x) \cdot v, a(x) \cdot v)$$
$$= \int_{0}^{\infty} \frac{(\mu + a^{2} + \beta^{2})\alpha}{(\mu + \alpha^{2} - \beta^{2})^{2} + (2\alpha\beta)^{2}} d(E_{\mu}a(x) \cdot v, a(x) \cdot v);$$
$$\lambda = \alpha + i\beta, \ \alpha > 0.$$

Since  $(E_{\mu}a(x) \cdot v, a(x) \cdot v)$  is a monotone increasing function and the integrand is a positive function from  $\alpha > 0$  and  $\mu \ge 0$ , it follows that

Applying it to the equality;

$$||v_{L^2}^2|| + \operatorname{Re}(T_\lambda v, v) = \operatorname{Re}(\{I + T_\lambda\}v, v),$$

$$(3.22) ||v||_{L^2} \leq ||\{I+T_{\lambda}\}v||_{L^2} (\operatorname{Re} \lambda > 0).$$

The inequalities (3.21) and (3.22) hold at  $\operatorname{Re} \lambda \ge 0$  since  $T_{\lambda}$  is continuous in  $\lambda$  (The method of the proof of (3.22) is the same one as in Lemma 3, 4 of Mizohata and Mochizuki [2]). We now study the case  $\operatorname{Re} \lambda \le 0$ .

$$(3.23) \quad \{T_{\lambda} - T_{\lambda-h}\}v$$
  
=  $\{\lambda a(x) \cdot G_1(\lambda) \cdot a(x) \cdot - (\lambda - h)a(x) \cdot G_1(\lambda - h) \cdot a(x) \cdot\}v$   
=  $[h \cdot a(x) \cdot G_1(\lambda) \cdot a(x) \cdot + (\lambda - h) \cdot a(x) \{G_1(\lambda) - G_1(\lambda - h)\}a(x)]v.$ 

Applying i) and ii) of Lemma 2 to (3.23) for sufficiently small  $h \ge 0$ and  $\text{Re}\lambda - h \ge -\delta' < 0$ , we have

$$(3.24) || \{T_{\lambda} - T_{\lambda \to \delta}\} v ||_{L^{2}}$$

$$\leq |h| (\sup_{x} |a(x)e^{+\delta|x|}|) \cdot |G_{1}(\lambda)a(x)v|_{-\delta}$$

$$+ |\lambda - h| (\sup_{x} |a(x)e^{+\delta|x|})| \{G_{1}(\lambda) - G_{1}(\lambda - h)\} a(x)v|_{-\delta}$$

$$\leq \text{Const.} |h| (1 + |\lambda|)^{-1} |a(x) \cdot v|_{\delta}$$

$$+ \text{Const.} |\lambda - h| \cdot (1 + |\lambda|)^{-1} \cdot |h| \cdot |a(x) \cdot v|_{\delta}$$

$$\leq \text{Const.} |h| ||v||_{L^{2}}, \quad \text{for } \operatorname{Re} \lambda \geq 0.$$

On the other hand,

$$\|v\|_{L^2}^2 + \operatorname{Re}(T_{\lambda}v, v) = \operatorname{Re}(\{I + T_{\lambda - \hbar}\}v, v) + \operatorname{Re}(\{T_{\lambda} - T_{\lambda - \hbar}\}v, v).$$

Since  $\operatorname{Re}(T_{\lambda}v, v) \geq 0$  from (3.21) when  $\operatorname{Re} \lambda = 0$ ,

$$\|v\|_{L^2}^2 \leq \|\{I+T_{\lambda-\hbar}\}v\|_{L^2}\|v\|_{L^2}+\|\{T_\lambda-T_{\lambda-\hbar}\}v\|_{L^2}\|v\|_{L^2}.$$

Since  $\|\{T_{\lambda} - T_{\lambda-h}\}v\|_{L^2} \leq (1/2)\|v\|_{L^2}$  for  $|h| \leq \delta''$  from (3.24) if we choose sufficiently small  $\delta'' > 0$ , replacing  $\lambda - h$  with  $\lambda$ , we have the estimate

$$\|v\|_{L^2} \leq 2 \|\{I+T_{\lambda}\}v\|_{L^2} \text{ at } \operatorname{Re} \lambda \geq -\delta'' \leq 0.$$

Thus there exists the inverse  $(I+T_{\lambda})^{-1}$  at  $\operatorname{Re} \lambda \ge -\delta'' < 0$ , which satisfies (3.25)  $\|(I+T_{\lambda})^{-1}h(x)\|_{L^{2}} \le 2\|h(x)\|_{L^{2}}$ .

This implies the existence of the inverse of  $\{I + \lambda G_1(\lambda) \cdot b(x) \cdot\}$  in  $\mathcal{A}(\delta)$  at  $\operatorname{Re} \lambda \geq -\delta'' < 0$  by (3.20). In fact

$$\{I+\lambda G_1(\lambda)\cdot b(x)\cdot\}^{-1}=-\lambda G_1(\lambda)\cdot a(x)\cdot (I+T_\lambda)^{-1}\cdot a(x)\cdot I\in \mathcal{A}(\delta).$$

From (3.25) we obtain the estimate of norm of this inverse, that is,

$$\|\{I+\lambda G_{\mathfrak{l}}(\lambda)\cdot b(x)\cdot\}^{-1}\|_{\mathfrak{s}}^{\mathfrak{s}}$$

$$\leq |\lambda| |G_{\mathfrak{l}}(\lambda)|_{\mathfrak{s}} \sup_{x} |e^{\mathfrak{s}|x|} a(x)|\cdot|| \{I+T_{\lambda}\}^{-1}||_{L^{2}} \sup_{x} |e^{\mathfrak{s}|x|} a(x)|+1$$

$$\leq Const. <\infty.$$

This proves (3.19).

When  $\operatorname{Re} \lambda$  is sufficiently large,  $G_2(\lambda) = \{I + \lambda G_1(\lambda) \cdot b(x)\}^{-1} \cdot G_1(\lambda)$ from (3.18). The right hand side of this equality can be continued to an analytic function in  $\operatorname{Re} \lambda \geq -\delta'' < 0$  from (3.19) and Lemma 2. Applying the estimates of i) of Lemma 2 for  $G_1(\lambda)$  and of (3.19) the estimate of Lemma 3 for  $G_2(\lambda)$  follows.

The proof of Lemma 3 is complete.

## §4. Proof of Theorem 1

Let u(x, t) be a solution for initial value problem (1.1), (1.2). And let  $\tilde{u}(x, \lambda)$  be the Laplace image of u(x, t) with respect to t in the sence of  $L^2$ . Then by the inversion formula of Laplace transform for a positive constant  $\sigma_0$ ,

$$u(x,t) = \frac{1}{2\pi i} \lim_{\tau \to \infty} \int_{\sigma_0 - i\tau}^{\sigma_0 + i\tau} \tilde{u}(x,\lambda) d\lambda, \text{ in } L^2.$$

And  $\tilde{u}(x, \lambda)$  is a solution in  $L^2$  for the equation that

$$\{-\varDelta + c(x) + \lambda b(x) + \lambda^2\} \tilde{u}(x, \lambda) = \frac{f(x)}{\lambda - i\omega}$$

that is,

$$\tilde{u}(x,\lambda) = \frac{1}{\lambda - i\omega} G_2(\lambda) \cdot f(x).$$

If we regard  $\tilde{u}(x,\lambda)$  as a function in  $Q(-\delta)$ , we can apply Lemma 3 to  $\tilde{u}(x,\lambda)$ . When f(x) belongs to  $Q(\delta)$ ,  $\tilde{u}(x,\lambda)$  is analytic in  $\operatorname{Re} \lambda \geq -\delta'' < 0$  except for one point  $\lambda = i\omega$  which is a simple pole with the residue  $G_2(i\omega) \cdot f(x)$  and, where  $|\lambda|$  is large,  $\tilde{u}(x,\lambda)$  satisfies the estimate

$$\|e^{-\delta|\mathbf{x}|}\widetilde{u}(\mathbf{x},\lambda)\|_{L^2} \leq \text{Const.} (1+|\lambda|^2)^{-1} \|e^{\delta|\mathbf{x}|}f(\mathbf{x})\|_{L^2}.$$

This implies by means of Cauchy integral formula

(4.1) 
$$u(x,t) = \frac{1}{2\pi i} \int_{\sigma-i\infty}^{\sigma+i\infty} e^{\lambda t} \tilde{u}(x,\lambda) d\lambda, \text{ in } Q(-\delta)$$
$$= \frac{1}{2\pi i} \int_{-\varepsilon-i\infty}^{-\varepsilon+i\infty} e^{\lambda t} \tilde{u}(x,\lambda) d\lambda + G_2(i\omega) \cdot f(x) e^{i\omega t}, \text{ in } Q(-\delta),$$

where  $\delta'' \geq \varepsilon > 0$ .

In order to prove the rate of approach of Theorem 1 we require the stronger estimate of  $G_2(\lambda) \cdot f(x)$  for  $\lambda$  under the assumption to f(x) in Theorem 1.

Since  $\Delta f \in Q(-\delta)$ , we have

$$G_{2}(\lambda) \cdot f(x) = \frac{f(x) - g(x, \lambda)}{\lambda^{2}},$$
  
$$g(x, \lambda) = G_{2}(\lambda) \cdot \left[ \{\lambda b(x) + c(x)\} f(x) + \Delta f(x) \right].$$

Applying Lemma 3, for sufficiently large  $|\lambda|$ ,

(4.2) 
$$\|e^{-\delta|\mathbf{x}|}G_2(\lambda)\cdot f(\mathbf{x})\|_{L^2} \leq \text{Const.}(|\lambda|+1)^{-2}, \text{ at } \operatorname{Re}\lambda \geq -\delta'' < 0.$$

On the other hand,  $V(x, \lambda) = G_2(\lambda) \cdot f(x)$  satisfies the equation

(4.3) 
$$V(x,\lambda) + \frac{1}{4\pi} \int \frac{e^{-\lambda|x-y|}}{|x-y|} c(y) V(y,\lambda) dy + \frac{\lambda}{4\pi} \int \frac{e^{-\lambda|x-y|}}{|x-y|} b(y) V(y,\lambda) dy = \frac{1}{4\pi} \int \frac{e^{-\lambda|x-y|}}{|x-y|} f(y) dy.$$

This implies that

(4.4) 
$$\sup_{x \in K} |V(x, y)|$$
  

$$\leq \text{Const.} [ \|e^{-\delta |x|} V(x, \lambda)\|_{L^{2}} + |\lambda| \|e^{-\delta |x|} V(x, y)\|_{L^{2}}$$
  

$$+ (|\lambda| + 1)^{-2} \|e^{\delta |x|} \{f(x) + \Delta f(x)\}\|_{L^{2}} ],$$

where K is an arbitrary bounded set in  $E^3$ . In fact,

$$\sup_{x\in K} \left| \frac{1}{4\pi} \int \frac{e^{-\lambda|x-y|}}{|x-y|} p(y) dy \right|$$
  
$$\leq \left[ \sup_{x\in K} \frac{1}{4\pi} \int \left| \frac{e^{-\operatorname{Re}\lambda|x-y|} e^{-\delta|y|}}{|x-y|} \right|^2 dy \right] \cdot \int |e^{\delta|y|} p(y)|^2 dy$$

and

$$\frac{1}{4\pi}\int \frac{e^{-\lambda|x-y|}}{|x-y|}f(y)dy = \frac{1}{\lambda^2} \Big\{ f(x) - \frac{1}{4\pi}\int \frac{e^{-\lambda|x-y|}}{|x-y|} \Delta f(y)dy \Big\}.$$

Applying (4.2) to (4.4), we have

$$\sup_{x \in K} |V(x, \lambda)| \leq \text{Const.}(|\lambda|+1)^{-1}, \text{ at } \operatorname{Re} \lambda \geq -\delta'' < 0.$$

Since  $\tilde{u}(x,\lambda) = (\lambda - i\omega)^{-1} V(x,\lambda)$  and from (4.1)

$$\begin{split} \sup_{\lambda \in K} &| u(x,t) - G_{2}(i\omega) \cdot f(x)e^{i\omega t} |\\ \leq & \sup_{x \in K} \left| \frac{1}{2\pi i} \int_{-\varepsilon - i\omega}^{-\varepsilon + i\omega} e^{\lambda t} \frac{V(x,\lambda)}{\lambda - i\omega} d\lambda \right|\\ \leq & \frac{1}{2\pi i} e^{-\varepsilon t} \int_{-i\omega}^{+i\omega} \{ \sup_{x \in K} | V(x,\lambda - \varepsilon) | \} \left| \frac{1}{\lambda - \varepsilon - i\omega} \right| d\lambda\\ \leq & \text{Const.} e^{-\varepsilon t} \int_{-i\omega}^{+i\omega} (|\lambda| + 1)^{-2} d\lambda, \text{ where } \varepsilon > 0\\ = & 0(e^{-\varepsilon t}), \quad (t \to \infty). \end{split}$$

This proves the rate of approach of Theorem 1. Since  $G_2(i\omega) \cdot f(x) = V(x)$  satisfies (4.3) at  $\lambda = i\omega$ , it follows that V(x) is a solution of (1.3) and Sommerfeld's radiation conditions.

The proof of Theorem 1 is complete.

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