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# A Classification of Factors, II

By

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#### Abstract

The algebraic invariant  $r_{\infty}(M)$  of a factor M, introduced in an earlier paper and called the asymptotic ratio set, is shown to be closed for any factor M. As a consequence, this set must be one of the following sets: (i) the empty set, (ii) {0}, (iii) {1}, (iv) a one parameter family of sets {0,  $x^n$ ;  $n=0, \pm 1, \cdots$ }, 0 < x < 1. (v) all non-negative reals, (vi) {0.1}.

### §1. Introduction

In an earlier paper [1], we introduced an algebraic invariant  $r_{\infty}(M)$  for a factor M. It is the set of all x,  $0 \le x < \infty$ , such that M is algebraically isomorphic to  $M \otimes R_x$ . Here  $R_0$  is the type  $I_{\infty}$  factor,  $R_1$  is the hyperfinite type II<sub>1</sub> factor, and  $R_x = R_{x^{-1}}$  for 0 < x < 1 is a type III factor given by definition 3.10 of [1].

In [1], it is shown that  $r_{\infty}(M) - \{0\}$  is either empty or a multiplicative group. Furthermore, for the case where M is an infinite tensor product of type I factors,  $r_{\infty}(M)$  is shown to be closed. However, this was not known in [1] for arbitrary M.

In this note, we show that  $r_{\infty}(M)$  is closed for any factor M. The method of proof is already indicated in section 6 of [1], but new additional technique here is the use of weak clustering property, which is obtained by the crucial lemma 2.4.

## §2. Lemmas

**Lemma 2.1.** Let  $R_i$  be mutually commuting factors such that

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## Huzihiro Araki

 $R = (\bigcup_{i} R_i)''$  is a factor. Let D be a finite set of unit vectors. Given  $\epsilon$ , there exists an N such that

$$(2.1) \qquad |(\varPsi, Q \phi) - (\varPsi, \phi)(\phi, Q \phi)| < \epsilon$$

for any i > N,  $Q \in R_i$ , ||Q|| = 1,  $\Psi \in D$ ,  $\emptyset \in D$ .

**Proof.** (cf. [2]) Since R is a factor, the von Neumann algebra generated by  $\bigcup_i R_i$  and R' is the set of all bounded operators. Thus the self adjoint elements of the \* algebra generated by  $\bigcup_i R_i$  and R'are strongly dense among all self adjoint operators. In particular for the one dimensional projection  $P(\emptyset)$  associated with a vector  $\emptyset$ , there exists a self adjoint P' in  $\{\bigcup_{i=1}^{N} R_i\} \cup R'\}''$  for some finite N such that P' is in the following strong neighbourhood of  $P(\emptyset)$ :

(2.2) 
$$\{A; \| \{ \mathbb{P}(\boldsymbol{\emptyset}) - A \} \boldsymbol{\Psi} \| \leq \epsilon/2, \forall \boldsymbol{\Psi} \in D \}.$$

Then for any  $Q \in R_i$ , i > N, ||Q|| = 1, we have [Q, P'] = 0 and

$$\begin{split} &|(\varPsi, Q \boldsymbol{\vartheta}) - (\varPsi, \boldsymbol{\vartheta})(\boldsymbol{\vartheta}, Q \boldsymbol{\vartheta})| \\ &= |(\varPsi, Q \{ \mathbf{P}(\boldsymbol{\vartheta}) - P' \} \boldsymbol{\vartheta})| + |(\{P' - \mathbf{P}(\boldsymbol{\vartheta})\} \varPsi, Q \boldsymbol{\vartheta})| < \epsilon. \end{split}$$

**Definition 2.2.** Let M be a type  $I_n$  factor with a matrix unit  $u_{kl}$ ,  $k, l=1, \dots, n$  and R be a factor containing M. For any  $Q \in R$ , define

(2.3) 
$$\tau_{kl}(M)Q = \sum_{j=1}^{n} u_{jk}Qu_{lj}$$

**Lemma 2.3.** Let R be a factor and M be a type  $I_n$  factor in R'. For  $Q \in (M \cup R)''$ ,  $\tau_{kl}(M)Q$  is in R,  $\|\tau_{kl}(M)Q\| \leq \|Q\|$  and

$$(2.4) Q = \sum_{k,l} u_{kl}(\tau_{kl}(M)Q).$$

Futhermore, let  $\psi$  be a unit vector and

$$(2.5) \qquad \qquad Q' = \sum_{k,l} u_{kl}(\psi, \tau_{kl}(M) Q \psi) \in M.$$

Then  $||Q'|| \leq ||Q||$ .

**Proof.** Since M is type  $I_n$ , it is possible to identify the Hilbert space H with a tensor product  $H_1 \otimes H_2$ , M with  $\mathcal{B}(H_1) \otimes \mathbf{1}$  and  $u_{kl}$  with  $\hat{u}_{kl} \otimes \mathbf{1}$ , where  $H_1$  is spanned by an orthonormal basis  $\varphi_1, \dots, \varphi_n$ ,

 $\hat{u}_{kl}\varphi_j = \delta_{lj}\varphi_k$  and  $\mathscr{B}(H_1)$  denotes the set of all bounded operators on  $H_1$ . M' is then  $1\otimes \mathscr{B}(H_2)$ , in which R is contained.

The equality (2.4) follows from (2.3) and  $u_{kl}u_{ij}=\delta_{li}u_{kj}$ ,  $\sum u_{kk}=1$ . If Q is in the \* algebra generated by M and R, then it is of the form (2.4) where  $\tau_{kl}(M)Q$  is in R. Therefore  $\tau_{kl}(M)Q \in R$  holds also for the weak closure of such Q, namely for all Q in  $(M \cup R)''$ . The norm of  $\tau_{kl}(M)Q$  can be estimated by

$$\|\tau_{kl}(M)Q\| \leq \sup_{||\Psi^{l}||=1} |\langle \varphi_{1} \otimes \psi^{1}, \tau_{kl}(M)Q \{\varphi_{1} \otimes \psi^{2}\})|$$

because  $\tau_{ii}(M)Q \in 1 \otimes \mathcal{B}(H_2)$ . The right hand side is majorized by

$$\sup_{||\psi_1||=1} |(\varphi_1 \otimes \psi^1, u_{1k} Q u_{l1} \{\varphi_1 \otimes \psi^2\})| \leq ||u_{1k} Q u_{l1}|| \leq ||Q||.$$

A unit vector  $\psi$  defines a density matrix  $\rho$  in  $\mathcal{B}(H_2)$  ( $\rho \geq 0$ , tr $\rho = 1$ ) through the relation

$$(\psi, \{1 \otimes \widehat{Q}\}\psi) = \operatorname{tr} \rho \widehat{Q}, \qquad \widehat{Q} \in \mathcal{B}(H_2).$$

$$|(\boldsymbol{\varphi}_1, \boldsymbol{Q}'\boldsymbol{\varphi}_2)| = |\operatorname{tr}\{(\rho_1 \otimes \rho)(\boldsymbol{u} \otimes 1)\boldsymbol{Q}\}| \leq ||\boldsymbol{u}|| ||\boldsymbol{Q}|| = ||\boldsymbol{Q}||$$

where  $\rho_1$  is the one dimensional projection on  $\mathscr{O}_2$  and  $\mathfrak{u}$  is an isometric operator with one dimensional range, bringing  $\mathscr{O}_1$  onto  $\mathscr{O}_2$ . Therefore  $\|Q'\| \leq \|Q\|$ .

**Lemma 2.4.** Let  $R_i$  be mutually commuting factors such that  $R = (\bigcup R_i)''$  is a factor. Let M be a type  $I_n$  ( $n < \infty$ ) factor contained in R'. Let D be a finite sets of unit vectors such that the inequality (2.1) holds for any  $Q \in M$ , ||Q|| = 1,  $\Psi \in D$ ,  $\phi \in D$ . Given  $\epsilon' > 0$ . Then there exists an N such that

(2.6) 
$$|(\Psi, Q\phi) - (\Psi, \phi)(\phi, Q\phi)| < \epsilon + \epsilon'$$

for any i > N,  $Q \in (M \cup R_i)''$ , ||Q|| = 1,  $\Psi \in D$ ,  $\emptyset \in D$ .

**Proof.** Let  $u_{kl}$ ,  $k, l=1, \dots, n$  be a matrix unit for M. Let  $P(\emptyset)$  be the one dimensional projection associated with each  $\emptyset \in D$ . Find sufficiently large  $N(\emptyset)$  for each  $\emptyset \in D$  such that there exists a selfadjoint  $P'(\emptyset)$  belonging to

Huzihiro Araki

 $\{(\bigcup_{i=1}^{\mathbf{N}(\phi)} R_i) \cup R'\}''$ 

and satisfying

- (2.7)  $\|\{\mathbf{P}'(\boldsymbol{\phi}) \mathbf{P}(\boldsymbol{\phi})\}\boldsymbol{\Psi}\| \leq \epsilon''$
- (2.8)  $\|\{\mathbf{P}'(\boldsymbol{\varPhi}) \mathbf{P}(\boldsymbol{\varPhi})\}\boldsymbol{u}_{lk}\boldsymbol{\Psi}\| < \epsilon^{\prime\prime}$

for all  $\Psi \in D$  and  $l, k=1, \dots, n$ . Here

(2.9) 
$$\epsilon'' = 2^{-1}(1+n^2)^{-1}\epsilon'.$$

Let  $N = \max_{\substack{\emptyset \in D}} N(\emptyset)$  and  $Q \in (M \cup R_i)''$ , i > N, ||Q|| = 1. We then have the following inequalities, which proves (2.6):

$$|(\Psi, Q \theta) - (\Psi, \theta)(\theta, Q \theta)|$$

$$\leq |(\Psi, Q \{P(\theta) - P'(\theta)\}\theta)|$$

$$+ \sum_{k,l} |(\{P'(\theta) - P(\theta)\}u_{lk}\Psi, Q_{kl}\theta)|$$

$$+ |(\Psi, Q'\theta) - (\Psi, \theta)(\theta, Q'\theta)|$$

$$+ \sum_{k,l} |(\{P(\theta) - P'(\theta)\}u_{lk}\theta, Q_{kl}\theta)(\Psi, \theta)|$$

$$+ |(\theta, Q \{P'(\theta) - P(\theta)\}\theta)(\Psi, \theta)|$$

$$\leq ||Q||\epsilon'' + \sum_{k,l} ||Q_{kl}||\epsilon'' + ||Q'||\epsilon + \sum_{k,l} ||Q_{kl}||\epsilon'' + ||Q||\epsilon''$$

$$\leq 2(1 + n^2)\epsilon'' + \epsilon = \epsilon' + \epsilon.$$

Here we have used the notation and result of the previous lemma in which  $\psi = \varphi$  and denoted  $\tau_{kl}(M)Q$  simply by  $Q_{kl}$ .

**Definition 2.5.** A unit vector  $\Psi$  is *pure* for a type I factor M if  $\varphi_{\mathbb{F}}(Q) = (\Psi, Q\Psi), Q \in M$  is a pure state on M.

If  $H = H_1 \otimes H_2$ ,  $M = \mathcal{B}(H_1) \otimes 1$ , then  $\mathcal{V}$  is pure if and only if  $\mathcal{V} = \mathcal{V}_1 \otimes \mathcal{V}_2$  for some  $\mathcal{V}_1 \in H_1$ ,  $\mathcal{V}_2 \in H_2$ .

**Lemma 2.6.** Let  $H=H_{\mathfrak{s}}\otimes H_{\mathfrak{b}}$ ,  $H_{\mathfrak{b}}=\otimes(H_{\nu}, \mathfrak{Q}_{\nu})$ ,  $R_{\nu}=\mathbf{1}_{\mathfrak{s}}\otimes\{\mathscr{B}(H_{\nu})\otimes(\bigotimes_{\mu\neq\nu}\mathbf{1}_{\mu})\}$ . Let M be a type I factor in  $\mathscr{B}(H_{\mathfrak{s}})\otimes\mathbf{1}$  and let a unit vector  $\mathscr{V}$  be pure for M. Given  $\epsilon>0$ . Then there exists an N and a unit vector  $\mathscr{V}_{\epsilon}$  such that  $\mathscr{V}_{\epsilon}$  is pure for M as well as for  $R_{\nu}$  for any  $\nu>N$ ,  $\|\mathscr{V}-\mathscr{V}_{\epsilon}\|<\epsilon$  and  $\varphi_{\mathfrak{V}_{\epsilon}}$  is the same as the vector state corresponding to  $\mathscr{Q}_{\nu}$  for each  $R_{\nu}, \nu>N$ .

**Proof.** Since M is-type I, we may identify  $H_a$  with  $H_{a1} \odot H_{a2}$ , M with  $\mathcal{B}(H_{a1}) \otimes 1$ . Since  $\Psi$  is pure for M, it can be identified with  $\Psi_{a1} \otimes \Psi'$  where  $\Psi' \in H_{a2} \otimes H_b$ . For given  $\epsilon > 0$ , there exists  $\Psi'_{\epsilon}$  of the form  $\sum_{i=1}^{k} \psi_i \otimes \psi'_i$ ,  $\psi_i \in H_{a2}$ ,  $(\psi_i, \psi_j) = \delta_{ij}$ ,  $\sum ||\psi'_i||^2 = 1$  such that

 $(2.10) || \Psi' - \Psi'_{\epsilon} || < \epsilon/2.$ 

By lemma 2.7 of [1], there exists an N and  $\psi_i''$  for each i such that

- (2.11)  $\psi_{i}^{\prime\prime} = \psi_{i}^{\prime\prime\prime} \otimes (\bigotimes_{\nu > N} \mathcal{Q}_{\nu}),$
- (2.12)  $\|\psi_i' \psi_i''\| < \epsilon/(2k),$
- $(2.13) \|\psi_i''\| = \|\psi_i'\|.$

Then the vector

(2.14) 
$$\Psi_{\epsilon} = \sum_{i=1}^{k} \Psi_{a1} \otimes \psi_{i} \otimes \psi_{i}^{\prime \prime \prime} \otimes (\bigotimes_{\nu > N} \mathcal{Q}_{\nu})$$

has all the required properties.

### §3. Theorem

**Theorem 3.1.** The asymptotic ratio set  $r_{\infty}(M)$  for any factor M is closed.

**Proof.** If  $x \neq 0$  and  $\neq 1$  is in  $r_{\infty}(M)$ , then  $R_x \sim R_x \otimes R_0 \sim R_x \otimes R_1$ shows that  $0 \in r_{\infty}(M)$  and  $1 \in r_{\infty}(M)$ . Thus we consider the case where  $x_n \in r_{\infty}(M)$ ,  $\lim x_n = x$ ,  $0 < x_n < 1$ , 0 < x < 1 and prove that  $x \in r_{\infty}(M)$ ; i.e.  $M \sim M \otimes R_x$ .

First fix a countable sequence of unit vectors  $\Psi_n$ ,  $n=1, 2, \cdots$  which are dense in the unit sphere of H and let  $D_n = \{\Psi_1, \dots, \Psi_n\}$ . Let  $\epsilon_n > 0$ ,  $\epsilon \equiv \sum \epsilon_n < \infty$ . We shall now construct by a mathematical induction on n a sequence of mutually commuting type I<sub>2</sub> factors  $M_n$  in R, and  $N_n$ in R', and a sequence of unit vectors  $\chi_n$ ,  $n=1, 2, \cdots$ , such that (1)  $\chi_n$ is pure for each  $(M_m \cup N_m)''$ ,  $m \leq n$ , (2) the vector state  $\varphi_{\chi_n}$  for each  $M_m$ ,  $m \leq n$  has a spectrum  $((1+\chi_m)^{-1}, \chi_m(1+\chi_m)^{-1})$ , (3)  $||\chi_n - \chi_{n-1}|| < \epsilon_n$  $(n \geq 2)$  and (4)

(3.1) 
$$|(\Psi, Q\phi) - (\Psi, \phi)(\phi, Q\phi)| < \sum_{\alpha=0}^{n-m} \epsilon_{m+\alpha}$$

for any Q in  $\{\bigcup_{\alpha=0}^{n-m} (M_{m+\alpha} \cup N_{m+\alpha})\}''$ , ||Q|| = 1,  $\Psi \in D_m$ ,  $\Phi \in D_m$ ,  $m \leq n$ .

For n=0, we do not have any object to construct. Now suppose  $M_n$ ,  $N_n$  and  $\chi_n$  are constructed for n < k satisfying all the requirements related to  $M_n$ ,  $N_n$ ,  $\chi_n$  n < k. We then want to construct  $M_k$ ,  $N_k$  and  $\chi_k$ .

Let  $M^{(k1)} \equiv (\bigcup_{n < k} M_n)''$ ,  $M^{(k2)} \equiv \{M^{(k1)}\}' \cap M$ ,  $N^{(k1)} \equiv (\bigcup_{n < k} N_n)''$ ,  $N^{(k2)} \equiv \{N^{(k1)}\}' \cap M'$ . Since  $M_n$  and  $N_n$  are finite type I factors, we may identify H with  $H^{(k1)} \otimes H^{(k2)}$ ;  $M^{(k1)}$ ,  $M^{(k2)}$ ,  $N^{(k1)}$ ,  $N^{(k2)}$  with  $\widehat{M}^{(k1)} \otimes 1$ ,  $1 \otimes \widehat{M}^{(k2)}$ ,  $\widehat{N}^{(k1)} \otimes 1$ ,  $1 \otimes \widehat{N}^{(k2)}$ ; and  $(M^{(k1)} \cup N^{(k1)})''$  with  $\mathcal{B}(H^{(k1)}) \otimes 1$ . By using (2.4), it is easily shown that M and M' are identified with  $\widehat{M}^{(k1)} \otimes \widehat{M}^{(k2)}$  and  $\widehat{N}^{(k1)} \otimes \widehat{N}^{(k2)}$ . Since M is type III  $(x_n \neq 1, 0$  is in  $r_{\infty}(M)$ , M is spatially isomorphic to  $\widehat{M}^{(k2)}$ . Since  $x_{k-1}$  is pure for each  $M_n \cup N_n$ , n < k, it is pure for  $\widehat{M}^{(k1)} \otimes \widehat{N}^{(k1)}$  and can be identified with  $\psi^{(k1)} \otimes \psi^{(k2)}$ ,  $\|\psi^{(k1)}\| = \|\psi^{(k2)}\| = 1$ .

We now use the information that M is isomorphic to  $M \otimes R_{x_k}$ where  $R_{x_k} = \otimes \widehat{R}_k^{\nu}$  on  $H_k = \otimes (H_k^{\nu}, \mathcal{Q}_k^{\nu})$ . Let  $R_k^{\nu}$  be  $1 \otimes \widehat{R}_k^{\nu} \otimes (\bigotimes_{\mu = \tau^{\nu}} 1_{\mu})$  and  $S_k^{\nu}$ be  $1 \otimes (\widehat{R}_k^{\nu})' \otimes (\bigotimes_{\eta = \tau^{\nu}} 1_{\mu})$ . By lemma 2.6, there exist an N, and a unit vector  $\psi^{(k3)}$  on  $H^{(k2)}$  such that  $\|\psi^{(k2)} - \psi^{(k3)}\| < \epsilon_k$ ,  $\psi^{(k3)}$  is pure for every  $(R_k^{\nu} \cup S_k^{\nu})''$ , with  $\nu > N_1$ , and the vector state  $\varphi_{\psi^{(k3)}}$  for  $(R_k^{\nu} \cup S_k^{\nu})'', \nu > N_1$ is the same as  $\varphi_{L_k^{\nu}}$  for  $(\widehat{R}_k^{\nu} \cup (\widehat{R}_k^{\nu})')''$ . We then set  $\chi_k = \psi^{(k1)} \otimes \psi^{(k3)}$ . (If k=1, take  $\chi_k = \psi^{(k2)} = \psi \otimes (\bigotimes \mathcal{Q}_1^{\nu})$  for any  $\|\psi\| = 1$ .) The conditions (1), (2), (3) are automatically satisfied for  $M_k = R_k^{\nu}$ ,  $N_k = S_k^{\nu}$ , any  $\nu > N_1$ .

By lemma 2.1, there exists an  $N_2$  such that

$$(3.2) \qquad |(\varPsi, Q\phi) - (\varPsi, \phi)(\phi, Q\phi)| < \epsilon_{k}$$

for any  $Q \subset R_k^{\nu}$ ,  $\nu > N_2$ ,  $\|Q\| = 1$ ,  $\Psi \in D_k$ ,  $\emptyset \in D_k$ .

By lemma 2.4, there exists an  $N_3^n$  for each n < k such that

$$(3.3) \qquad |(\Psi, Q\phi) - (\Psi, \phi)(\phi, Q\phi)| < \sum_{\alpha=n}^{k-1} \epsilon_{\alpha} + \epsilon_{k}$$

for any  $\nu > N_3^n$ ,  $Q \in [\{\bigcup_{\alpha=n}^{k-1} (M_\alpha \cup N_\alpha)\} \cup (R_k^\nu \cup S_k^\nu)]''$ , ||Q|| = 1,  $\Psi \in D_n$ ,  $\Phi \in D_n$ .

We then set  $M_k = R_k^{\nu}$ ,  $N_k = S_k^{\nu}$  for some  $\nu$  larger than max  $(N_1, N_2, N_3^1, \dots, N_3^{k-1})$ . The required properties are now all satisfied.

By the property (3) and  $\sum \epsilon_n < \infty$ , the unit vectors  $\chi_n$  form a Cauchy sequence. Let  $\chi$  be its strong limit. Then  $\chi$  is a unit vector, pure for each  $(M_n \cup N_r)''$  and the vector state  $\varphi_{\chi}$  on  $M_n$  has the spectrum  $((1+\chi_n)^{-1}, \chi_n(1+\chi_n)^{-1})$ . Let

(3.4) 
$$R = (\bigcup M_n)'', \quad S = (\bigcup N_n)'',$$

$$(3.5) H_0 = [(R \cup S)'' \chi]^u$$

where w denotes the closure. The properties of  $\chi$  imply that the restrictions of R and S to  $H_0$  and the space  $H_0$  are unitarily equivalent to  $\otimes R_n$ ,  $\otimes R'_n$  and  $\otimes (H_n, \mathcal{Q}_n)$  where dim  $H_n=4$ ,  $\operatorname{Sp}(\mathcal{Q}_n/R_n)=\operatorname{Sp}(\mathcal{Q}_n/R'_n)$  $=((1+x_n)^{-1}, x_n(1+x_n)^{-1})$ . Thus  $(R \mid H_0) \sim (S \mid H_0) \sim \otimes R_n$ , where  $R \mid H_0$ denotes the restriction of R to  $H_0$ .

Next we use the clustering property (4) to show that R, S and  $(R \cup S)''$  are factors. Let Q be an operator in the center of either R, S or  $(R \cup S)''$  and ||Q|| = 1. Then Q must commute with all  $(M_n \cup N_n)''$ ,  $n=1,2,3,\cdots$  and hence it is in  $\{\bigcup_{n>N} (M_n \cup N_n)\}''$  for any N. (Again use the fact that  $\{\bigcup_{n<N} (M_n \cup N_n)\}''$  is a finite type I factor and (2.4).) Since the unit ball of  $\bigcup_{m=1}^{\infty} (\bigcup_{n>N+m} [M_n \cup N_n])''$  is weakly dense in the unit ball of  $(\bigcup_{n<N} [M_n \cup N_n])''$ , we have

$$(3.6) \qquad |(\Psi, Q\phi) - (\Psi, \phi)(\phi, Q\phi)| < \sum_{n=N+1}^{\infty} \epsilon_n$$

for any  $\Psi \in D_{N+1}$ ,  $\emptyset \in D_{N+1}$ . Since N is arbitrary, we obtain in the limit of  $N \rightarrow \infty$ ,

$$(3.7) \qquad (\Psi, Q\phi) = (\Psi, \phi)(\phi, Q\phi).$$

The same equation for  $Q^*$ , with  $\Psi$  and  $\phi$  interchanged implies that

$$(3.8) \qquad (\Psi, Q\Psi) = (\emptyset, Q\emptyset)$$

for  $(\Psi, \emptyset) \neq 0$ . Since  $\Psi, \emptyset$  run over a set of unit vectors  $\{\Psi_n\}$  which is dense in the set of all unit vectors, (3.8) and (3.7) imply that Q=c1. This proves that R, S and  $(R \cup S)''$  are factors.

Since the projection on  $H_0$  commutes with R, S and  $(R \cup S)''$ , the factors R, S and  $(R \cup S)''$  are isomorphic to its restriction on  $H_0$ .

In particular,  $R \sim R \otimes R_x$  and  $(R \cup S)''$  is a type I factor.

The proof of the theorem can now be completed by

**Lemma 3.2.** Let  $H=H_1\otimes H_2$ ,  $\widehat{R}$  be an infinite tensor product of type  $I_2$  factors on  $H_2$ ,  $R=1\otimes \widehat{R}$ ,  $S=1\otimes \widehat{R'}$ . Let M be a factor on H such that  $M\supset R$ ,  $M'\supset S$ . Then  $M=M_1\otimes R$  for some factor  $M_1$  on  $H_1$ .

Proof. Let

$$(3.9) H_2 = \otimes (H_2^{\nu}, \mathcal{Q}_{\nu}), \quad \widehat{R} = \otimes \widehat{R}_{\nu},$$

(3.10) 
$$\mathbf{D}(n) = H_1 \otimes (\bigotimes_{\nu=1}^{n} H_2^{\nu}) \otimes (\bigotimes_{\nu>n} \mathcal{Q}_{\nu}),$$

$$(3\cdot 11) \qquad \qquad \mathbf{D}(n, n+k) = H_1 \otimes (\bigotimes_{\nu=1}^n H_2^{\nu}) \otimes (\bigotimes_{l=1}^s \mathcal{Q}_{n+l}) \otimes (\bigotimes_{\nu>n+k}^s H_2^{\nu}).$$

Let  $u_{ij}^{\nu}$  be a standard matrix unit of

(3.12) 
$$R_{\nu} \equiv \mathbf{1}_{1} \bigotimes \{ \widehat{R}_{\nu} \bigotimes (\bigotimes_{\mu \neq \nu} \mathbf{1}_{\mu}) \}$$

relative to  $\mathcal{Q}_{\nu}$ ,  $\operatorname{Sp}(\mathcal{Q}_{\nu}/\widehat{R}_{\nu})$  be  $(\lambda_{\nu}, 1-\lambda_{\nu})$  and

Further let  $[A]_n$  be the unique operator in  $\mathscr{B}(H_1 \otimes (\bigotimes_{\nu=1}^{n} H_2^{\nu})) \otimes (\bigotimes_{\nu>n} 1_{\nu})$ satisfying

$$(3.15) \qquad ( \emptyset_1, [A]_n \emptyset_2) = ( \emptyset_1, A \emptyset_2)$$

for all  $\Phi_1, \Phi_2 \in D(n)$ .

If 
$$A \in M$$
, then  $\tau_{n,n+k} A \in M$ ,  $\|\tau_{n,n+k} A\| \leq \|A\|$  and

$$(3.16) \qquad ( \varphi_1, (\tau_{n,n+k}A) \varphi_2) = ( \varphi_1, A \varphi_2)$$

for all  $\varphi_1$ ,  $\varphi_2 \in D(n, n+k)$ . Hence

$$(3.17) \qquad ( \boldsymbol{\emptyset}_1, (\boldsymbol{\tau}_{n,n+k} A) \boldsymbol{\emptyset}_2) = ( \boldsymbol{\emptyset}_1, [A]_n \boldsymbol{\emptyset}_2)$$

for  $\varphi_1, \varphi_2 \in D(n+k)$ . Since D(n) is an increasing sequence of sets with a dense union and  $\|\tau_{n,n+k}A\|$  is bounded uniformly in k,  $[A]_n$  is the weak limit of  $\tau_{n,n+k}A$  as  $k \to \infty$  and hence is in M. By definition,  $[A]_n$  is then in

A classification of factors, II

$$(3.18) M^{(n)} \equiv M \cap (\bigcup_{\nu > n} R_{\nu})'.$$

Because (3.15) holds for  $\Phi_1, \Phi_2 \in D_n$ ,  $D_n$  is an increasing sequence of sets with a dense union and  $||[A]_n||$  is uniformly bounded by ||A||(which immediately follows from (3.15)), A is the weak limit of  $[A]_n$ as  $n \to \infty$ . Hence

$$(3.19) M = (\cup M^{(n)})''.$$

Since  $\bigcup_{\nu=1}^{n} R_{\nu}$  is a finite type I factor,  $M^{(n)}$  is generated by  $\bigcup_{\nu=1}^{n} R_{\nu}$  and  $M^{(0)} = M \cap R'$ . Hence

(3.20) 
$$M = (M^{(0)} \cup R)'' = \{(M \cap R') \cup R\}''.$$

Since  $M \cap R'$  commutes with R and S, it is isomorphic to  $M_1 \otimes 1$  on  $H_1 \otimes H_2$  for some  $M_1$  and  $M = M_1 \otimes \widehat{R}$ .

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