Axiomatization of Models for Intermediate Logics Constructed with Boolean Models by Piling Up

By

Tsutomu Hosoi* and Hiroakira Ono

In [2] has been given a constructive method for the axiomatization of *finite* intermediate models. But the application of which, though constructive by nature, to even rather small finite models is already beyond man or computer's capacity. Besides, even if applied, obtained axioms are usually too complicated to be dealt with. So it is often the case, when we study the lattice structure of the intermediate logics, that we feel feeble with the lack of knowledge of axiomatizations of models and that it is wanted to have at hand a simple and handy axiomatization for such an often discussed model as $S_m \uparrow S_1^n$.

For the case of *infinite* models, Jankov [5] has given an example of models which are not finitely axiomatizable. But we should try, we think, to contrive a way of axiomatization for infinite models, as far as axiomatizable, for the facility of the study of logics.

Here we give an axiomatization for models, *possibly infinite*, of the form $S_1^{n_1} \uparrow S_1^{n_2} \uparrow \cdots \uparrow S_1^{n_k}$, that is, models constructed with Boolean models by *pile* operation (*piling*). We don't assert that this axiomatization is important by itself. But, as mentioned above, these models are often used when discussing intermediate logics and their axiomatization has been hoped for.

On the other hand, for the study of the intermediate logics, we introduced three operations for logics, $M \cap N$, $M \cup N$ and $M \uparrow N$. The

Received September 7, 1971.

^{*} Department of Mathematics, Tsuda College, Tokyo 187, Japan.

T. Hosoi and H. Ono

former two are defined for logics. Further, if M and N have been axiomatized, the axiomatic systems for $M \cap N$ and $M \cup N$ are easily obtained. The last operation piling $M \uparrow N$, however, is defined on the basis of model representations of M and N, giving different logics for different representations. It provides a difficult open problem to seize the pile operation by the axiomatic method. Our purpose of this paper is to attack this problem. And here we give a first clue for this problem by partly axiomatizing those models mentioned above.

We suppose familiarity with [3], and notations and results in it will be often used without special notices.

§1. Preliminaries

First, we prepare some definitions and lemmas, most of which are borrowed from [3]. Except when mentioned otherwise, we use lower (upper) case Latin letters for propositional variables (for well-formed formulas). Bold upper case letters are preserved for logics. Lower case Greek letters are for values of models. As models for intermediate logics, we only use pseudo-Boolean models, that is, relatively pseudo-complemented lattice with the maximum and the minimum elements. As this is the case, an ordered relation \geq is already defined for each model, with the designated element as the minimum. We take 1_M as the minimum (and the sole designated) value of a model M and ω_M as the maximum, both, possibly without the suffix. We use four logical connectives \supset (*implication*), \land (*conjunction*), \lor (*disjunction*) and \neg (*negation*). The same symbols are used for the corresponding operations in models. Conjunction and disjuction are also used in the forms \land and \lor . We abbreviate (($a \supset b$) $\land (b \supset a$)) as $a \equiv b$.

By L, we mean the intuitionistic propositional logic.

The next definition provides specially named formulas.

Definition 1.1. $Z(a, b) = (a \supset b) \lor (b \supset a)$,

 $X_n = \bigvee_{1 \leq i < j \leq n+1} (a_i \equiv a_j),$

$$\begin{cases}
P_1(a_1) = (\neg a_1 \supset a_1) \supset a_1, \\
P_{n+1}(a_1, \dots, a_{n+1}) = ((a_{n+1} \supset P_n(a_1, \dots, a_n)) \supset a_{n+1}) \supset a_{n+1} \\
(n \ge 1).
\end{cases}$$

Definition 1.2. An I (C, D, or N) formula is a formula which contains no other logical connectives but implication (conjunction, disjunction, or negation, respectively). An ICN formula is a formula whose logical connectives are implication, conjunction and negation, at the most. Other combinations are defined similarly.

Lemma 1.3. $A \lor B$ and $(A \supset c) \supset ((B \supset c) \supset c)$ are interdeducible in *L* if $A \lor B$ does not contain the propositional variable c.

Proof. This can be easily ascertained.

Corollary 1.4. Z(a, b) and X_n are interdeducible in \mathbb{L} with some I formulas.

For the definitions of $M \cap N$, $M \cup N$, and $M \uparrow N$, we refer to [3]. It should be noticed, that in constructing $M \uparrow N$, first we take the sets of values of M and N to be disjoint and we identify ω_M and 1_N . So, when we speak of M-part (N-part) of $M \uparrow N$, we mean the set of values of $M \uparrow N$ constructed from those equal or less (greater) than the former 1_N .

Lemma 1.5. If a logic is obtained by adding to L some (possibly infinite) ICN formulas as axioms, then it has the finite model property.

This lemma is proved in [8] for the case of finite additions. But this can be easily extended as above.

Lemma 1.6 ([6]). For any logic M, there exists a set of models $\{M_{\lambda} | \lambda \in \Lambda\}$ such that $M \supset \subset \bigcap_{\lambda \in \Lambda} (S_1 \uparrow M_{\lambda})$.

In the following §§, we deal with only those axioms that are interdeducible in L with some ICN formulas. Thus, all the logics dealt with have the finite model property. By this fact and by 1.6, we only have to deal with those logics expressed as $\bigwedge_{k=1}^{\infty} (S_1 \uparrow M_{\lambda})$ where each M_{λ} is finite.

Lemma 1.7 ([7]). If a logic is obtained by adding to L some I formulas as axioms and if it has a finite model, then it is separable. (For the definition of separability, see [1].)

Lemma 1.8. Let M be $S_p \uparrow S_1^q \uparrow S_r$ and δ be the value in M corresponding to the 1 of S_1^q -part. Let α and β be values in the S_1^q -part. Then (i) the value $((\alpha \supset \beta) \supset \alpha) \supset \alpha$ is either 1 or δ , and (ii) $((\alpha \supset \beta) \supset \alpha) \supset \alpha = \delta$ if and only if $\alpha = \delta$ and $\beta > \alpha$.

Lemma 1.9. Let M be a model of the form $S_1 \uparrow N$ and α and β be values in M. Then $Z(\alpha, \beta)=1$ if and only if $\alpha \geq \beta$ or $\alpha \leq \beta$.

These are easily ascertained.

§2. Balloon Type

In this §, we give an axiomatization for the models of the form $S_m \uparrow S_1^n$, which we call as of *balloon type* by the resemblance of the shape of the models expressed by the Hasse diagram. The case of m=1 has been dealt with in [4].

Definition 2.1. $A = Z(a, b) \lor (\neg \neg a \supset a).$

Corollary 2.2. For any m and n, $S_m \uparrow S_1^n \ni A$.

Proof. Suppose that the values α and β are incomparable, that is, $Z(\alpha, \beta) \neq 1$. Then $\neg \neg \alpha = \alpha$, since α is a non-minimal value belonging to the S_1^n -part of the model.

Lemma 2.3. Let **M** be a finite model of the form $S_m \uparrow N$ containing

A where N is not of the form $S_1 \uparrow N'$. Then there exists an integer $k \ge 2$ such that N is isomorphic to S_1^k as ordered sets.

Proof. Let W be the set of values $\{\alpha \mid \neg \alpha \neq 1, \omega\}$. First we prove that $W \neq \phi$. Suppose otherwise. Then, for any value α , $\neg \alpha = 1$ or ω . This means that N is of the form $N' \uparrow S_1$. Since M is not a linear model, there exists a pair of incomparable values α and β . Now, $Z(\alpha, \beta) \neq 1$. Since N is of the form $N' \uparrow S_1$, $\neg \neg \alpha = 1$, yielding $\neg \neg \alpha \supset \alpha = \alpha \neq 1$. Contradiction. Next, let be that $\alpha \in W$. Then, α and $\neg \alpha$ are incomparable. So, $Z(\alpha, \neg \alpha) \neq 1$. Since $M \ni A$, $\neg \neg \alpha \supset \alpha$ must be 1. Hence, if $\alpha \in W$, $\neg \neg \alpha \supset \alpha = 1$. Now, let δ be the minimal value of N-part of M. Then, we can prove that if $\delta < \alpha < \omega$, $\alpha \in W$. Suppose that $\alpha \notin W$. $\neg \alpha$ must be ω . Then $\neg \neg \alpha = 1$. Suppose that there exists a value β incomparable with α . Then $Z(\alpha, \beta) \lor (\neg \neg \alpha \supset \alpha) \neq 1$. Contradiction. Hence, α is comparable with any value. Therefore, there must be a pair of incomparable values β and γ between δ and α . Then, again, $Z(\beta, \gamma) \lor (\neg \neg \beta \supset \beta) \neq 1$. Contradiction. Thus, $W \cup \{\delta, \omega\}$ is isomorphic to some finite Boolean model as ordered sets.

Theorem 2.4.
$$L + A \supset \subset S_{\omega} \cap \bigcap_{m,n \leq \omega} (S_m \uparrow S_1^n) \supset \subset S_{\omega} \uparrow S_1^{\omega}.$$

Proof. We prove only the first relation. By 2.2, $\bigcap_{m,n<\omega} (S_m \uparrow S_1^n) \supset L + A$ and $S_{\omega} \supset L + A$. By 1.5, there exists a set of finite models $\{M_{\lambda} | \lambda \in A\}$ such that $L + A \supset \subset \bigcap_{\lambda \in A} (S_1 \uparrow M_{\lambda})$. By 2.3, each $S_1 \uparrow M_{\lambda}$ is of the form $S_m \uparrow S_1^n$ for some m and n or S_k for some k $(1 \leq k \leq \omega)$. Hence, $L + A \supset S_{\omega} \cap \bigcap_{m,n \leq \omega} (S_m \uparrow S_1^n)$.

Corollary 2.5. $S_n \uparrow S_1^{\omega} \supset \subset L + A + P_{n+1},$ $S_n \uparrow S_1^k \supset \subset L + A + P_{n+1} + X_{(2^k+n)}.$

Corollary 2.6. $S_n \uparrow S_1^k$ is separable.

In most of the succeeding §§, proofs go almost similarly as above.

So, details will be often omitted.

§3. Phi Type

In this §, we give axiomatization for the models of the form $S_m \uparrow S_1^n \uparrow S_1$, which we call as of ϕ type by the analogy of the shapes of their Hasse diagrams.

Definition 3.1. $B = Z(a, b) \lor ((\neg a \lor \neg \neg a) \land P_2(c, a)).$

Corollary 3.2. For any m and n, $M = S_m \uparrow S_1^n \uparrow S_1 \ni B$.

Proof. Suppose $Z(\alpha, \beta) \neq 1$. Then α and β belong to S_1^n -part of Mand they are incomparable. In this case, $\neg \alpha = \omega$, yielding $\neg \alpha \vee \neg \neg \alpha$ =1. Suppose $\gamma = \omega$, then $\neg \gamma = 1$, yielding $(\neg \gamma \supset \gamma) \supset \gamma = 1$, that is, $P_2(\gamma, \alpha) = 1$. Suppose $\gamma < \omega$, then $\neg \gamma = \omega$, yielding $(\neg \gamma \supset \gamma) \supset \gamma = \gamma$. If γ belongs to S_1^n -part, then $P_2(\gamma, \alpha) = ((\alpha \supset \gamma) \supset \alpha) \supset \alpha = 1$ by 1.8. If γ belongs to S_m -part, obviously $P_2(\gamma, \alpha) = ((\alpha \supset \gamma) \supset \alpha) \supset \alpha = 1$.

Lemma 3.3. If a finite model $S_1 \uparrow N$ contains B, then there exists N' such that $N = N' \uparrow S_1$.

Proof. Suppose otherwise. Then there must exist a value α incomparable with $\neg \alpha$. Then, $Z(\alpha, \neg \alpha) \neq 1$ and $\neg \alpha \lor \neg \neg \alpha \neq 1$, contradiction.

Lemma 3.4. Let M be a finite model of the form $S_p \uparrow N \uparrow S_p$ containing B where N is neither of the form $S_1 \uparrow N'$ nor $N'' \uparrow S_1$. Then q=1 and there exists an integer $k \ge 2$ such that N is isomorphic to S_1^k as ordered sets.

Proof. First we prove that q=1. Suppose that $q \ge 2$. Let γ be the next maximum value, that is, for any $\delta \ne \gamma$, ω , $\delta < \gamma$. This γ is not the maximum value of N-part in M. In N-part, we can take a pair of

incomparable values α and β , that is, $Z(\alpha, \beta) \neq 1$. By this assignment, $P_2(\gamma, \alpha) = \alpha \neq 1$ since $(\neg \gamma \supset \gamma) \supset \gamma = \gamma$. Contradiction. Now, let ε and δ be the minimum and the maximum values of *N*-part of *M* and *W* be the set $\{\alpha | \varepsilon < \alpha < \delta\}$. Then, we can prove that if $\alpha \in W$, there exists a value $\beta \in W$ incomparable with α . Suppose otherwise. There exists a pair of incomparable values β and γ between ε and α . Then $Z(\beta, \gamma) \neq 1$ and $P_2(\delta, \beta) = \beta \neq 1$. Contradiction. Next, we prove that $((\alpha \supset \delta) \supset \alpha) \supset \alpha$ =1 for any $\alpha \in W$. Suppose that $((\alpha \supset \delta) \supset \alpha) \supset \alpha \neq 1$. Let β be a value incomparable with α . Since $Z(\alpha, \beta) \neq 1$, $P_2(\gamma, \alpha)$ must be 1 for any γ . We take δ as γ . Since $(\neg \delta \supset \delta) \supset \delta = \delta$, $P_2(\delta, \alpha) = ((\alpha \supset \delta) \supset \alpha) \supset \alpha = 1$. Contradiction. Thus we have the lemma.

Theorem 3.5.
$$L+B \supset \subset S_{\omega} \cap \bigcap_{p,k < \omega} (S_p \uparrow S_1^k \uparrow S_1)$$

 $\supset \subset S_{\omega} \uparrow S_1^u \uparrow S_1.$

Proof. Similarly as 2.4.

Corollary 3.6. $S_n \uparrow S_1^{\omega} \uparrow S_1 \supset \subset L + B + P_{n+2},$ $S_n \uparrow S_1^k \uparrow S_1 \supset \subset L + B + P_{n+2} + X_{(2^k+n+1)}.$

Corollary 3.7. $S_n \uparrow S_1^k \uparrow S_1$ is separable.

§4. The Simplest Type

In this §, we treat the simplest type, that is, the models of the form $S_m \uparrow S_1^2 \uparrow S_n$.

Definition 4.1. $C = Z(a, b) \lor Z(c, d) \lor$

$$((\neg a \lor \neg \neg a) \land (((a \equiv c) \land (b \equiv d)) \lor ((a \equiv d) \land (b \equiv c)))).$$

Corollary 4.2. For any m and n, $S_m \uparrow S_1^2 \uparrow S_n \ni C$.

Lemma 4.3. If a finite model $M = S_1 \uparrow N$ contains C, where N is

not of the form S_k , then there exist positive integers m and n such that $M = S_m \uparrow S_1^2 \uparrow S_n$.

Proof. Suppose that there exist two pairs of values α , β , γ , and δ such that α and β are incomparable and that γ and δ are incomparable. Then, since $Z(\alpha, \beta) \neq 1$ and $Z(\gamma, \delta) \neq 1$, $\{\alpha, \beta\} = \{\gamma, \delta\}$ by the last part of C, that is, there only exists a unique pair of values that are incomparable. Since $\neg \alpha \lor \neg \neg \alpha = 1$ for this α , $\neg \alpha$ must be ω , that is, N is of the form $N' \uparrow S_1$. So, we have that M is of the form $S_m \uparrow S_1^2 \uparrow S_n$ for some m and n.

Theorem 4.4.
$$L+C \supset \subset S_{\omega} \cap \bigwedge_{m,n < \omega} (S_m \uparrow S_1^2 \uparrow S_n)$$

 $\supset \subset S_{\omega} \uparrow S_1^2 \uparrow S_{\omega}.$

Proof. Similarly as 2.4.

Corollary 4.5. $L+C+P_{k+1} \supset \subset L+C+X_{k+4}$

$$\supset \subset \bigwedge_{m+n=k} (\mathbf{S}_m \uparrow \mathbf{S}_1^2 \uparrow \mathbf{S}_n).$$

Now we list up similar results.

Definition 4.6. $C_n = Z(a, b) \lor Z(c, d) \lor ((\neg a \lor \neg \neg a) \land$

$$(((a \equiv c) \land (b \equiv d)) \lor ((a \equiv d) \land (b \equiv c))) \land$$
$$P_{n+1}(a_1, \dots, a_n, a)). \qquad (n = 1, 2, \dots)$$

Theorem 4.7. $L+C_n \supset \subset S_{\omega} \cap \bigcap_{\substack{m \leq \omega \\ k \leq n}} (S_m \uparrow S_1^2 \uparrow S_k)$ $\supset \subset S_{\omega} \uparrow S_1^2 \uparrow S_n.$

Definition 4.8. $C'_m = Z(a, b) \lor Z(c, d) \lor ((\neg a \lor \neg \neg a) \land$

$$(((a \equiv c) \land (b \equiv d)) \lor ((a \equiv d) \land (b \equiv c))) \land$$

$$P_{m+2}(a, a_1, \dots, a_{m+1})).$$
 $(m=1, 2, \dots)$

Theorem 4.9. $L+C'_m \supset \subset S_{\omega} \cap \bigcap_{\substack{k \leq m \\ n < \omega}} (S_k \uparrow S_1^2 \uparrow S_n)$ $\supset \subset S_m \uparrow S_1^2 \uparrow S_{\omega}.$

Definition 4.10.
$$C_{m,n} = Z(a, b) \lor Z(c, d) \lor ((\neg a \lor \neg \neg a) \land$$

 $(((a \equiv c) \land (b \equiv d)) \lor ((a \equiv d) \land ((b \equiv c))) \land$
 $P_{m+2}(a, a_1, \dots, a_{m+1}) \land P_{n+1}(b_1, \dots, b_n, a)).$
 $(m=1, 2, \dots; n=1, 2, \dots)$

Theorem 4.11. $L+C_{m,n} \supset \subset S_{\omega} \cap (S_m \uparrow S_1^2 \uparrow S_n).$

Corollary 4.12.
$$S_m \uparrow S_1^2 \uparrow S_n \supset \subset L + C_{m,n} + P_{m+n+1}$$

 $\supset \subset L + C_n + P_{m+n+1}$
 $\supset \subset L + C'_m + P_{m+n+1}.$

Corollary 4.13. $S_m \uparrow S_1^2 \uparrow S_n$ is separable.

§5. A Mixed Type

In this §, we deal with models of the form $S_p \uparrow S_1^2 \uparrow S_q \uparrow S_1^r$, mixed of the balloon type and the simplest type. We only give the results, since they can be proved easily by the analogy of the preceding §§.

Definition 5.1. $C_{\omega,\omega}^* = C \lor (\neg \neg a \supset a) \lor (\neg \neg c \supset c).$ Theorem 5.2. $L + C_{\omega,\omega}^* \supset \subset S_{\omega} \cap \bigwedge_{p,q,r < \omega} (S_p \uparrow S_1^2 \uparrow S_q \uparrow S_1^r)$ $\supset \subset S_{\omega} \uparrow S_1^2 \uparrow S_{\omega} \uparrow S_1^{\omega}.$

Definition 5.3. $C_{\omega,n}^* = C_n \vee (\neg \neg a \supset a) \vee (\neg \neg c \supset c).$

Theorem 5.4. $L+C^*_{\omega,n+1} \supset \subset S_{\omega} \cap \bigwedge_{\substack{p,r < \omega \\ q \leq n}} (S_p \uparrow S_1^2 \uparrow S_q \uparrow S_1^r)$ $\supset \subset S_{\omega} \uparrow S_1^2 \uparrow S_n \uparrow S_1^{\omega}.$

Definition 5.5. $C_{m,\omega}^* = C'_m \vee (\neg \neg a \supset a) \vee (\neg \neg c \supset c).$

Theorem 5.6.
$$L+C_{m,\omega}^* \supset \subset S_{\omega} \cap \bigcap_{\substack{p \leq m \\ q,r < \omega}} (S_p \uparrow S_1^2 \uparrow S_q \uparrow S_1')$$

 $\supset \subset S_m \uparrow S_1^2 \uparrow S_{\omega} \uparrow S_1^{\omega}.$

Definition 5.7. $C_{m,n}^* = C_{m,n} \vee (\neg \neg a \geq a) \vee (\neg \neg c \geq c).$

- Theorem 5.8. $L+C_{m,n+1}^* \supset S_{\omega} \cap \bigcap_{\substack{p \leq m \\ q \leq n \\ r < \omega}} (S_p \uparrow S_1^2 \uparrow S_q \uparrow S_1^r)$ $\supset \subset S_{\omega} \cap (S_m \uparrow S_1^2 \uparrow S_n \uparrow S_1^{\omega}).$
- Corollary 5.9. $S_m \uparrow S_1^2 \uparrow S_n \uparrow S_1^{\omega} \supset \subset L + C_{m,n+1}^* + P_{m+n+2}$ $\supset \subset L + C_{\omega,n+1}^* + P_{m+n+2}$ $\supset \subset L + C_{m,\omega}^* + P_{m+n+2}.$

Corollary 5.10. $S_m \uparrow S_1^2 \uparrow S_n \uparrow S_1^k \supset \subset L + C_{m,n+1}^* + P_{m+n+2} + X_{(2^k+m+n+1)}.$

References

- [1] Hosoi, T., The separation theorem on the classical system, J. Fac. Sci. Univ. Tokyo, Sect. I, 12 (1966), 223-230.
- [2] _____, On the axiomatic method and the algebraic method for dealing with propositional logics, *Ibid.* 14 (1967), 131-169.
- [3] _____, On intermediate logics I, *Ibid.* 14 (1967), 293-312.
- [4] Hosoi, T. and H. Ono, The intermediate logics on the second slice, *Ibid.* 17 (1970), 457-461.
- [5] Jankov, V. A., Constructing a sequence of strongly independent superintuitionistic propositional calculi, Soviet Math. Dokl. 9 (1968), 806-807.
- [6] McKay, C. G., On finite logics, Indag. Math. 29 (1967), 363-365.

10

- [7] _____, The non-separability of a certain finite extension of Heyting's propositional logic, *Ibid.* **30** (1968), 312-315.
- [8] _____, The decidability of certain intermediate propositional logics, J. Symbolic Logic, 33 (1968), 258-264.