

# On Invariants $G(\sigma)$ and $\Gamma(\sigma)$ for an Automorphism Group of a von Neumann Algebra

By

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## Abstract

An invariant  $\Gamma$  for an automorphism group of a factor given by Connes is generalized to a general von Neumann algebra and the relation between  $\Gamma$  and a characterization of an inner automorphism group of a von Neumann algebra due to Borchers are discussed.

## § 1. Introduction

Let  $G$  be a locally compact abelian group,  $dt$  a Haar measure on  $G$ ,  $\widehat{G}$  the dual of  $G$  and  $\langle t, \gamma \rangle$  the value of  $\gamma \in \widehat{G}$  at  $t \in G$ . For  $g \in L^1(G)$  and  $\gamma \in \widehat{G}$

$$\widehat{g}(\gamma) \equiv \int_G g(t) \overline{\langle t, \gamma \rangle} dt$$

and  $\Gamma(g) \equiv \{\gamma \in \widehat{G} : \widehat{g}(\gamma) = 0\}$ .

Let  $M$  be a von Neumann algebra,  $M_*$  the predual of  $M$  and  $\text{Aut } M$  the group of automorphisms of  $M$ . A homomorphism  $\sigma$  of  $G$  into  $\text{Aut } M$  satisfying that the functions  $t \in G \mapsto \phi(\sigma_t(x))$  are continuous for all  $x \in M$  and  $\phi \in M_*$  is called a representation of  $G$  on  $M$ . Let  $\text{Rep}(G, M)$  denote the set of all representations of  $G$  on  $M$ . For a finite measure  $\mu$  on  $G$  (resp.  $g \in L^1(G)$ ),  $\sigma \in \text{Rep}(G, M)$  and  $x \in M$  let

$$\sigma(\mu)x \equiv \int_G \sigma_t(x) \mu(dt) \quad \left( \text{resp. } \sigma(g)x \equiv \int_G g(t) \sigma_t(x) dt \right).$$

Let  $\text{sp } \sigma$  denote the intersection of  $\Gamma(g)$  with  $\sigma(g) = 0$  and  $\text{sp}_\sigma(x)$  the intersection of  $\Gamma(g)$  with  $\sigma(g)x = 0$ . For a closed subset  $E$  of  $\widehat{G}$ ,  $M^\sigma(E)$

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denotes the set of all  $x \in M$  with  $\text{sp}_\sigma(x) \subset E$ . Let  $M^\sigma \equiv M^\sigma(\{0\})$ ,  $Z(M) \equiv M \cap M'$  and  $Z(M^\sigma) \equiv M^\sigma \cap (M^\sigma)'$ . For projections  $e$  and  $f$  in  $M^\sigma$ ,  $\bar{e}$  denotes the carrier in  $Z(M)$  of  $e$  and  ${}^e\sigma^f$  the restriction defined by

$${}^e\sigma^f(x) \equiv \sigma_e(x), \quad x \in eMf,$$

in particular,  $\sigma^e \equiv {}^e\sigma^e$  or  $\sigma^e$  is the restriction of  $\sigma$  to  $M_e$ . Furthermore,  $\text{sp}^e\sigma^f$  denotes the intersection of  $\Gamma(g)$  with  ${}^e\sigma^f(g) = 0$ , where  ${}^e\sigma^f(g)$  is defined similarly as above.

**Definition 1.1.**  $G(\sigma)$  (resp.  $K(\sigma)$ ) denotes the set of all  $t \in G$  such that  $\sigma_t$  is implemented by a unitary in  $M^\sigma$  (resp.  $M$ ).

Then  $G(\sigma)$  and  $K(\sigma)$  are subgroups of  $G$  and  $G(\sigma) \subset K(\sigma)$ . The following definition is essentially due to Connes, [6].

**Definition 1.2.**  $\Gamma_0(\sigma)$  (resp.  $\Gamma_1(\sigma)$ ) denotes the intersection of all  $\text{sp} \sigma^e$ ,  $e \in M^\sigma$  with  $e \neq 0$  (resp.  $\bar{e} = 1$ ).

Then  $\Gamma_0(\sigma)$  is a closed subgroup of  $\widehat{G}$  and  $\Gamma_0(\sigma) \subset \Gamma_1(\sigma)$ . There is no difference between  $\Gamma_0(\sigma)$  and  $\Gamma_1(\sigma)$  if  $M$  is a factor.

The main purpose of this paper is to show the relations among the following four conditions for a closed subgroup  $\Xi$  of  $\widehat{G}$ :

(A) for any non zero projection  $f$  in  $Z(M^\sigma)$  and for any neighbourhood  $V$  of 0 there exists a non zero projection  $e$  in  $Z(M^\sigma)$  such that  $e \leq f$  and  $\Xi \subset \text{sp} \sigma^e \subset \Xi + V$ ;

(B)  $G(\sigma^e) = \Xi^\perp$  for all non zero  $e$  in  $Z(M^\sigma)$ ;

(B')  $G(\sigma^e) = \Xi^\perp$  and  $\Xi \subset \text{sp} \sigma^e$  for all non zero  $e$  in  $Z(M^\sigma)$ ;

(C)  $\Gamma_0(\sigma) = \Gamma_1(\sigma) = \Xi$ .

Using these conditions, we can state our main theorem.

**Theorem 1.1.** (i) *The condition (A) implies the condition (B'), and the condition (B') implies the condition (C).*

(ii) *If  $\widehat{G}/\Xi$  is compact, then conditions (A), (B') and (C) are equivalent.*

(iii) *If  $G$  satisfies the second axiom of countability,  $\Xi = \{0\}$  and*

$M_*$  is separable, then conditions (A) and (B) are equivalent. In this case,  $\sigma$  is inner.

The implications (A) $\Rightarrow$ (B) and (B') $\Rightarrow$ (C) are proved in Section 2 by similar techniques as Borchers, [4]. The implication (C) $\Rightarrow$ (A) for a discrete  $\mathfrak{E}^\perp$  is proved in Section 3 by similar ideas as Connes, [6]. The statement (iii) implies the following corollary.

**Corollary 1.1.** *If  $G$  satisfies the second axiom of countability,  $G(\sigma) = G$  and  $M_*$  is separable, then  $\sigma$  is inner.*

The ergodicity of  $\sigma$  implies the equivalence of conditions (A), (B) and (C), whenever  $\widehat{G}/\mathfrak{E}$  is compact (Remark 3.2).

Let  $W(M)$  be the set of semi-finite, faithful and normal weights on  $M_+$ . For  $\phi \in W(M)$ ,  $\Delta_\phi$  and  $\sigma^\phi$  denote the modular operator and modular automorphism of  $\phi$ , respectively.

**Definition 1.3.**  $S(M)$  denotes the intersection of all spectrum of  $\Delta_\phi$ ,  $\phi \in W(M)$ .

**Theorem 1.2.** *If  $\Gamma_0(\sigma^\phi) = \Gamma_1(\sigma^\phi) \neq \{0\}$ , then  $\log(S(M_e) \setminus \{0\}) = \Gamma_0(\sigma^\phi)$  for any non zero  $e$  in  $Z(M)$ .*

Finally, in Section 5 we shall give a characterization of an unbounded derivation which corresponds to a representation of  $\mathbf{R}$  on  $M$ .

## § 2. Proof of (i) in Theorem 1.1

The condition (A) implies the existence of a projection  $e_0$  in  $M^\sigma$  (or  $Z(M^\sigma)$ ) such that  $\mathfrak{E} \subset \text{sp } \sigma^{e_0} \subset \mathfrak{E} + V$  and  $\bar{e}_0 = 1$ . For this, let  $\mathcal{F}$  be the family of sets of non zero projections  $e$  in  $M^\sigma$  (or  $Z(M^\sigma)$ ) such that  $\mathfrak{E} \subset \text{sp } \sigma^e \subset \mathfrak{E} + V$  and their central carriers in  $M$  are mutually orthogonal. Then  $\mathcal{F}$  is a non empty ordered set by set inclusion. Here we apply Zorn's lemma to  $\mathcal{F}$  and obtain a maximal set  $\{e_\alpha\} \in \mathcal{F}$ . We complete the proof by defining  $e_0$  by  $\sum e_\alpha$ . Therefore the condition (A) implies that  $\mathfrak{E} \subset \Gamma_0(\sigma) \subset \Gamma_1(\sigma) \subset \cap \{\mathfrak{E} + V: \text{as above}\} = \mathfrak{E}$ , which implies the condition (C).

It is known that  $K(\sigma^e) = K(\sigma^{\bar{e}})$  for  $e \in M^\sigma$ , [4, Lemma 5.7; 6, Lemma 1.5.2].

**Lemma 2.1.**  $G(\sigma^e) = G(\sigma^{\bar{e}})$  for  $e \in M^\sigma$ .

*Proof.* Since  $G(\sigma^{\bar{e}}) \subset G(\sigma^e)$ , it suffices to show the converse inclusion for a non zero  $e$  in  $M^\sigma$ . Suppose that  $t \in G(\sigma^e)$ . Then we have a unitary  $v$  on  $e\mathcal{H}$  such that  $v \in M_e^\sigma$  and  $\sigma_t^e(x) = vxv^*$  for  $x \in M_e$ . Define an operator  $u$  on  $\bar{e}\mathcal{H}$  by  $uy\xi \equiv \sigma_t^{\bar{e}}(y)v\xi$  for  $y \in M_{\bar{e}}$  and  $\xi \in e\mathcal{H}$ . Since

$$(uy\xi|uz\eta) = (\sigma_t^e(z^*y)v\xi|v\eta) = (y\xi|z\eta)$$

whenever  $\xi, \eta \in e\mathcal{H}$ ,  $u$  is a unitary in  $M_{\bar{e}}$  such that  $u_e = v$  and  $\sigma_t^{\bar{e}}(y) = uyu^*$  for  $y \in M_{\bar{e}}$ . Since  $v \in M^\sigma \cap M_e$ , we have  $e\sigma_s^{\bar{e}}(u) = \sigma_s^{\bar{e}}(u)e$  and  $(\sigma_s^{\bar{e}}(u))_e = v$  for all  $s \in G$ . For  $s \in G$ ,  $y \in M_{\bar{e}}$  and  $\xi \in e\mathcal{H}$ , we have

$$\begin{aligned} yv\xi &= y\sigma_s^{\bar{e}}(u)\xi = \sigma_s^{\bar{e}}(\sigma_{-s}^e(y)u)\xi \\ &= \sigma_s^{\bar{e}}(u\sigma_{-t-s}^{\bar{e}}(y))\xi = \sigma_s^{\bar{e}}(u)\sigma_{-t}^{\bar{e}}(y)\xi = \sigma_s^{\bar{e}}(u)u^*yv\xi. \end{aligned}$$

Therefore  $\sigma_s^{\bar{e}}(u) = u$  for all  $s \in G$  and hence  $u \in M^\sigma \cap M_{\bar{e}}$ . Consequently we have  $G(\sigma^e) \subset G(\sigma^{\bar{e}})$ . Q.E.D.

This lemma implies the equivalence between the conditions (B) and (B<sub>1</sub>)  $G(\sigma^f) = \mathbb{E}^\perp$  for all non zero  $f$  in  $Z(M) \cap Z(M^\sigma)$ .

Let  $\tau$  be a representation of  $\mathbf{Z}$  defined by  $\tau_n = \sigma_{nt}$  for some fixed  $t \in G$  in this section.  $\langle t, \text{sp}_\sigma(x) \rangle$  denotes the set of all  $\langle t, \gamma \rangle$  with  $\gamma \in \text{sp}_\sigma(x)$ . In the following lemmas we shall identify the dual of  $\mathbf{Z}$  with the unit circle  $\mathbf{T}$ .

**Lemma 2.2.**  $\langle t, \text{sp}_\sigma(x) \rangle^- = \text{sp}_\tau(x)$  for all  $x \in M$ .

*Proof.* Suppose that  $\gamma \in \text{sp}_\sigma(x)$ . Let  $g \in l^1(\mathbf{Z})$  with  $\tau(g)x = 0$ . By setting  $\mu \equiv \sum_{n \in \mathbf{Z}} g(n)\delta_{nt}$ , we have  $\sigma(\mu)x = \tau(g)x = 0$  and hence

$$\hat{g}(\langle t, \gamma \rangle) = \hat{\mu}(\gamma) = 0.$$

Therefore we have  $\langle t, \gamma \rangle \in \text{sp}_\tau(x)$ .

Choose any  $g \in l^1(\mathbf{Z})$  so that  $\hat{g}$  vanishes on a neighbourhood  $V$  of  $\langle t, \text{sp}_\sigma(x) \rangle^-$ . Setting  $\mu \equiv \sum_n g(n)\delta_{nt}$ , we have

$$\hat{\mu}(\gamma) = \hat{g}(\langle t, \gamma \rangle) = 0$$

on the neighbourhood  $\{\gamma \in \widehat{G} : \langle t, \gamma \rangle \in V\}$  of  $\text{sp}_\sigma(x)$ , and so  $\tau(g)x = \sigma(\mu)x = 0$ . Consequently, we have

$$\text{sp}_r(x) \subset \langle t, \text{sp}_\sigma(x) \rangle^- . \quad \text{Q.E.D.}$$

We shall also identify the dual of  $Z$  with  $(-\pi, \pi]$  and denote  $[-\delta, \delta]$  by  $I_\delta$ . For a projection  $e \in Z(M^r)$  and a closed subset  $E$  of  $(-\pi, \pi]$ , let  $\phi(E, e)$  denote the projection onto the closed subspace spanned by  $M^r(E)e\mathcal{H}$ . Since Lemma 2.2 implies

$$M^r(\{\gamma \in \widehat{G} : \langle t, \gamma \rangle \in E\}) = M^r(E),$$

we have  $\phi(E, e) \in Z(M^o)$  for  $e \in Z(M^r)$ .

The following Lemmas 2.3 and 2.4 have been obtained by Borchers, [4], while we shall give their proofs for completeness.

Assume that  $\langle t, \text{sp}\sigma \rangle^- \subset (-2\pi/3, 2\pi/3)$  in Lemmas 2.3, 2.4 and 2.5.

**Lemma 2.3.** *There exists a family  $\{p(\delta) : \delta \in (0, 2\pi/3)\}$  of projections in  $Z(M^o)$  such that  $p(\delta)$  is increasing in  $\delta$ ,  $\text{sp } \tau^{p(\delta)} \subset I_\delta$  and  $\overline{p(\delta)} = 1$ .*

*Proof.* We shall define  $p_n$  by induction. Put  $\delta_n \equiv 2^{-n+2}\pi/3$  and  $p_1 \equiv 1$ . Then  $\text{sp } \tau^{p_1} \subset (-2\pi/3, 2\pi/3)$  by assumption. Assume that  $p_j \in Z(M^o)$ ,  $p_{j-1} \geq p_j$ ,  $\text{sp } \tau^{p_j} \subset I_{\delta_j}$  and  $\overline{p_j} = 1$  for  $j=2, 3, \dots, n$ . Put

$$\delta \equiv 2^{-1} \inf\{\varepsilon > 0 : \text{sp } \tau^{p_n} \subset I_\varepsilon\} \quad (< \pi/3),$$

$$p \equiv p_n \phi([\delta, 2\delta + \varepsilon], p_n) \in Z(M^o),$$

$$p_{n+1} \equiv p + p_n(1 - \overline{p}) \in Z(M^o)$$

for some  $\varepsilon \in (0, 2\pi - 6\delta)$ . Then  $\delta \leq \delta_{n+1}$ ,  $p_{n+1} \leq p_n$  and  $\overline{p_{n+1}} = 1$ . Since  $p_n(1 - \overline{p})\phi([\delta, 2\delta + \varepsilon], p_n) = 0$ , we have

$$\text{sp}^{p_n(1-\overline{p})} \tau^{p_n} \cap (\delta, 2\delta + \varepsilon) = \emptyset .$$

Since  $\text{sp } \tau^q \subset \text{sp}^q \tau^{p_n}$  and  $\text{sp } \tau^q = -\text{sp } \tau^q$  for  $q \equiv p_n(1 - \overline{p})$ ,

$$\text{sp } \tau^{p_n(1-\overline{p})} \subset \text{sp } \tau^{p_n} \setminus \{(-2\delta - \varepsilon, -\delta) \cup (\delta, 2\delta + \varepsilon)\} \subset I_\delta .$$

Since  $\text{sp}^{f\tau^{\phi(E,e)}} \subset \text{sp}^{f\tau^e} - E$  for  $e, f \in Z(M^r)$  in general,

$$\begin{aligned} \text{sp } \tau^p &\subset \text{sp } {}^{p_n} \tau^{\phi([\delta, 2\delta + \varepsilon], p_n)} \cap \text{sp } \phi([\delta, 2\delta + \varepsilon], p_n) \tau^{p_n} \\ &\subset (I_{2\delta} - [\delta, 2\delta + \varepsilon]) \cap (I_{2\delta} + [\delta, 2\delta + \varepsilon]) \subset I_\delta. \end{aligned}$$

Consequently, we have

$$\text{sp } \tau^{p_{n+1}} = \text{sp } \tau^p \cup \text{sp } \tau^{p_n(1-p)} \subset I_\delta \subset I_{\delta_{n+1}}.$$

Putting  $p(\delta) \equiv p_{n+1}$  for  $\delta \in [\delta_{n+1}, \delta_n)$ ,  $n \in \mathbb{N}$ , we have a family  $\{p(\delta) : \delta \in (0, 2\pi/3)\}$  with the desired property. Q.E.D.

**Lemma 2.4.** *For any projection  $e$  in  $Z(M^\sigma)$ , put*

$$S(e) \equiv \bigcap \{\text{sp } {}^e \tau^{p(\delta)} : \delta > 0\}.$$

*Then for any  $e_1, e_2, e_\alpha, e, f$  in  $Z(M^\sigma)$  and any closed subset  $E$  of  $(-\pi, \pi]$ , it holds that*

- (a)  $S(e_1) \subset S(e_2)$  if  $e_1 \leq e_2$ ;
- (b)  $S(p(\delta)) \subset I_\delta$ ;
- (c)  $S(\phi(E, e)) \subset S(e) + E$ ;
- (d)  $S(e) = \emptyset$  if and only if  $e = 0$ ;
- (e)  $(\bigcup S(e_\alpha))^- = S(\sup e_\alpha)$ ;
- (f)  $\text{sp } {}^e \tau^f \subset S(e) - S(f)$ ; and
- (g)  $eM^r(E)f \subset M^r(\{S(e) - S(f)\} \cap E)$ .

*Proof.* (a) and (b) are obvious.

(c) We have

$$S(\phi(E, e)) \equiv \bigcap_{\delta > 0} \text{sp } \phi^{(E, e)} \tau^{p(\delta)} \subset \bigcap_{\delta > 0} (\text{sp } {}^e \tau^{p(\delta)} + E).$$

Since  $E$  is compact, it follows that

$$\bigcap_{\delta > 0} (\text{sp } {}^e \tau^{p(\delta)} + E) = \bigcap_{\delta > 0} \text{sp } {}^e \tau^{p(\delta)} + E \equiv S(e) + E.$$

(d)  $e = 0$  clearly implies  $S(e) = \emptyset$ . By compactness,  $S(e) = \emptyset$  implies  $\text{sp } {}^e \tau^{p(\delta)} = \emptyset$  for some  $\delta > 0$ . Therefore  $eMp(\delta) = \{0\}$ . Since  $\overline{p(\delta)} = 1$ ,  $e = 0$ .

(e) For any  $\delta > 0$  and  $\varepsilon \in (0, \delta)$ , since  $\text{sp } \tau^{p(\delta)} \subset I_\delta$ ,  $p(\delta)\phi(I_\delta, p(\varepsilon))$  is the carrier in  $Z(M_{p(\delta)})$  of  $p(\varepsilon)$  and hence  $p(\delta) \leq \phi(I_\delta, p(\varepsilon))$ . Therefore

$$(2.1) \quad \text{sp } {}^e \tau^{p(\delta)} \subset \bigcap_{\varepsilon > 0} \text{sp } {}^e \tau^{\phi(I_\delta, p(\varepsilon))} \subset S(e) + I_\delta.$$

Therefore

$$\begin{aligned} S(\sup e_\alpha) &= \bigcap_{\delta > 0} \left( \bigcup_{\alpha} \text{sp } e_\alpha \tau^{p(\delta)} \right)^- \subset \bigcap_{\delta > 0} \left( \bigcup_{\alpha} (S(e_\alpha) + I_\delta) \right)^- \\ &\subset \bigcap_{\delta > 0} \left( \bigcup_{\alpha} S(e_\alpha) + I_\delta \right)^- = \bigcap_{\delta > 0} \left\{ \left( \bigcup_{\alpha} S(e_\alpha) \right)^- + I_\delta \right\} = \left( \bigcup_{\alpha} S(e_\alpha) \right)^-. \end{aligned}$$

The converse inclusion is clear from (a).

(f) and (g) From (2.1) it follows that  $eMp(\delta) \subset M^r(S(e) + I_\delta)$  and  $p(\delta)Mf \subset M^r(-S(f) + I_\delta)$ . Therefore

$$\begin{aligned} eMp(\delta)Mf &\subset M^r(S(e) + I_\delta)M^r(-S(f) + I_\delta) \\ &\subset M^r(S(e) - S(f) + I_{2\delta}). \end{aligned}$$

Since  $\overline{p(\delta)} = 1$ ,  $Mp(\delta)M$  is weakly total in  $M$ . Therefore

$$eMf \subset M^r(S(e) - S(f) + I_{2\delta}),$$

and hence

$$\text{sp } e\tau^f \subset S(e) - S(f) + I_{2\delta}.$$

By the arbitrariness of  $\delta > 0$ , we have (f) and

$$\begin{aligned} eM^r(E)f &\subset M^r(S(e) - S(f)) \cap M^r(E) \\ &= M^r(\{S(e) - S(f)\} \cap E), \end{aligned}$$

which is (g). Q.E.D.

**Lemma 2.5.** *Let*

$$e(\lambda) \equiv \sup \{e \in Z(M^o) : S(e) \subset (-\pi, \lambda]\}.$$

*Then  $\{e(\lambda) : \lambda \in (-\pi, \pi]\}$  is a spectral resolution of the identity which satisfies*

$$(h) \quad S(e(\lambda, \mu]) \subset [\lambda, \mu].$$

*Proof.* It is clear that  $e(\lambda)$  is increasing in  $\lambda$ . Since  $S(e(\lambda)) \subset (-\pi, \lambda]$  by (e), we have

$$S(\lim_{\mu \downarrow \lambda} e(\mu)) \subset \bigcap_{\mu > \lambda} S(e(\mu)) \subset \bigcap_{\mu > \lambda} (-\pi, \mu] = (-\pi, \lambda],$$

and hence  $\lim_{\mu \downarrow \lambda} e(\mu) \leq e(\lambda)$ . Therefore  $e(\lambda)$  is right continuous in  $\lambda$ .

Since  $\overline{\langle t, \text{sp } \sigma \rangle} \subset (-\pi, \pi)$  by assumption, it follows from (d) that

$$\lim_{\lambda \downarrow -\pi} e(\lambda) = 0 \quad \text{and} \quad \lim_{\lambda \uparrow \pi} e(\lambda) = 1.$$

(h) If  $\alpha \in (-\pi, \lambda)$ , there is a  $\delta > 0$  with  $\alpha + I_{2\delta} \subset (-\pi, \lambda)$ , and hence  $S(\phi(\alpha + I_\delta, p(\delta))) \subset (-\pi, \lambda)$  by (c). It follows that  $e((\lambda, \mu])\phi(\alpha + I_\delta, p(\delta)) = 0$  and hence  $\alpha \notin \text{sp}^{e(\lambda, \mu] \tau^p(\delta)}$ . Therefore  $\alpha \notin S(e(\lambda, \mu])$ .

Q.E.D.

*Proof of (A)  $\Rightarrow$  (B).* Suppose that  $t \in \Xi^\perp$ . The condition (A) assures the existence of a projection  $q \in Z(M^\sigma)$  with  $\bar{q} = 1$  and  $\langle t, \text{sp } \sigma^q \rangle^- \subset (-2\pi/3, 2\pi/3)$ . For the proof of  $\Xi^\perp \subset G(\sigma)$  we may assume by Lemma 2.1 that  $\langle t, \text{sp } \sigma \rangle^- \subset (-2\pi/3, 2\pi/3)$ .

Using a spectral resolution  $\{e(\lambda) : \lambda \in (-\pi, \pi]\}$  obtained in Lemma 2.5, we define a unitary  $u \in Z(M^\sigma)$  and a representation  $\rho$  of  $\mathbf{Z}$  by

$$u \equiv \int_{-\pi}^{\pi} \exp(-i\lambda) e(d\lambda), \quad \rho_n \equiv (\text{Ad } u)^n.$$

We shall show that  $M^\tau(E) \subset M^\rho(E)$  for any closed  $E$ . Then, by [2], we have  $\rho = \tau$ , and so,  $t \in G(\sigma)$ .

Assume that  $\text{sp}_\tau(x) \subset E$  and  $g \in l^1(\mathbf{Z})$  such that  $\hat{g}$  vanishes on a neighbourhood of  $E$ . It follows from (g) in Lemma 2.4 and (h) in Lemma 2.5 that

$$\begin{aligned} \rho(g)x &= \sum_{n \in \mathbf{Z}} g(n) u^n x u^{*n} \\ &= \sum_n g(n) \int \int \exp\{i(\mu - \lambda)n\} e(d\lambda) x e(d\mu) \\ &= \int \int \hat{g}(\lambda - \mu) e(d\lambda) x e(d\mu) = 0. \end{aligned}$$

Therefore  $\text{sp}_\rho(x) \subset E$ .

Since  $\Xi \subset \Gamma_1(\sigma)$  by the condition (A), the converse inclusion is clear from the following lemma, which is a partial generalization of [6, Theorem 2.3.1] for a factor.

According to [6, Lemma 2.3.8] we know that the spectrum  $\text{Sp}(\sigma_t)$  of  $\sigma_t$  on  $M$  as a Banach space is the closure  $\langle t, \text{sp } \sigma \rangle^-$  of  $\{\langle t, \gamma \rangle : \gamma \in \text{sp } \sigma\}$ .



**Lemma 2.6.**  $G(\sigma) \subset \Gamma_1(\sigma)^\perp$ .

*Proof.* Suppose that  $\sigma_t(x) = uxu^*$  for all  $x \in M$  with  $u \in M^\sigma$ . Choose any  $\varepsilon > 0$ . Let  $\mathcal{F}$  be the family of sets of  $(e_\alpha, \lambda_\alpha)$  of spectral projections  $e_\alpha$  of  $u$  and complex numbers  $\lambda_\alpha$  of modulus 1 such that

- (a)  $\|ue_\alpha - \lambda_\alpha e_\alpha\| < \varepsilon$ ; and
- (b)  $\bar{e}_\alpha$ 's are mutually orthogonal.

Since  $\mathcal{F}$  is ordered by set inclusion, we have a maximal set  $F \in \mathcal{F}$  by Zorn's lemma, say  $F = \{(e_\alpha, \lambda_\alpha) : (a), (b)\}$ . By maximality,  $\sum \bar{e}_\alpha = 1$ . Let  $e = \sum e_\alpha$  and  $v = \sum \lambda_\alpha^{-1} u \bar{e}_\alpha$ . Then  $e \in M^\sigma$ ,  $v$  is a unitary in  $M^\sigma$  and

$$\sigma_t(x) = uxu^* = vxv^*$$

for  $x \in M$ . Since  $\|ve - e\| < \varepsilon$  and

$$\text{Sp}(\sigma_t^e) \subset \{\lambda\mu^{-1} : \lambda, \mu \in \text{Sp}(v_e)\},$$

$\text{Sp}(\sigma_t^e)$  is included in  $\{z \in \mathbf{C} : |z| = 1, |z - 1| < 2\varepsilon\}$ . If  $\gamma \in \text{sp } \sigma^e$ , then  $|\langle t, \gamma \rangle - 1| < 2\varepsilon$  by [6, Lemma 2.3.8]. Therefore  $|\langle t, \gamma \rangle - 1| < 2\varepsilon$  for  $\gamma \in \Gamma_1(\sigma)$ . Since  $\varepsilon$  is arbitrary,  $t \in \Gamma_1(\sigma)^\perp$ . Q.E.D.

*Remark 2.1.* If  $\sigma \in \text{Aut } M$  satisfies  $\|\sigma - 1\| < 3^{1/2}$  and if  $G$  is an abelian subgroup of  $\text{Aut } M$  containing  $\sigma$ , then there exists a unitary  $u \in M$  such that  $\sigma = \text{Ad } u$  and  $\rho(u) = u$  for all  $\rho \in G$ .

*Remark 2.2.* Let  $\sigma \in \text{Rep}(G, M)$ . Under the condition (A), if  $G$  satisfies the first axiom of countability, we can define  $S(e)$ ,  $e \in Z(M^\sigma)$  as a subset of  $\widehat{G}/G(\sigma)^\perp$  and then  $e(\dot{\gamma}) \in Z(M^\sigma)$ ,  $\dot{\gamma} \in \widehat{G}/G(\sigma)^\perp$  as a spectral measure  $u_s$ :

$$u_s \equiv \int \overline{\langle s, \dot{\gamma} \rangle} e(d\dot{\gamma}), \quad \sigma_s = \text{Ad } u_s$$

for all  $s \in G(\sigma)$ .

*Remark 2.3.* Let  $\sigma \in \text{Rep}(G, M)$ . If  $G$  is discrete, then  $G(\sigma) = \Gamma_1(\sigma)^\perp$ .

*Proof of the implication (B')  $\Rightarrow$  (C).* From the condition (B) and

Lemma 2.6, we have  $\Gamma_1(\sigma) \subset \Xi$ . From the remaining condition of (B'), we have  $\Xi \subset \Gamma_0(\sigma)$ . Therefore  $\Gamma_0(\sigma) = \Gamma_1(\sigma) = \Xi$ . Q.E.D.

### § 3. Proofs of (ii) and (iii) in Theorem 1.1

In the following we denote the carrier projection of  $x$  by  $s(x)$  and the carrier of  $\hat{g}$  for  $g \in L^1(G)$  by  $\text{car } \hat{g}$ .

**Lemma 3.1.** *For any compact neighbourhood  $U$  of 0 in  $\widehat{G}$  and for any projections  $e_1$  and  $e_2$  in  $M^\sigma$  (resp.  $Z(M^\sigma)$ ) with  $\bar{e}_1 = \bar{e}_2 = 1$  there exist projections  $f_1$  and  $f_2$  in  $M^\sigma$  (resp.  $Z(M^\sigma)$ ) such that  $\bar{f}_1 = \bar{f}_2 = 1$ ,  $f_1 \leq e_1$ ,  $f_2 \leq e_2$ ,  $\text{sp } \sigma^{f_1} \subset U + \text{sp } \sigma^{f_2}$  and  $\text{sp } \sigma^{f_2} \subset U + \text{sp } \sigma^{f_1}$ .*

*Proof.* Since  $\bar{e}_1 = \bar{e}_2 = 1$ , there exists a non zero  $x_0 \in M$  such that  $x_0 = e_1 x_0 e_2$ . There exists a  $g_0 \in L^1(G)$  with  $\text{car } \hat{g}_0 - \text{car } \hat{g}_0 \subset U$  and  $\sigma(g_0)x_0 \neq 0$ . Put  $y_0 \equiv \sigma(g_0)x_0$ . Then  $e_1 y_0 e_2 = y_0$  and  $\text{sp}_\sigma(y_0) - \text{sp}_\sigma(y_0) \subset U$ . Let  $f_1^0 \equiv \sup\{s(\sigma_t(y_0^*)): t \in G\}$  and  $f_2^0 \equiv \sup\{s(\sigma_t(y_0)): t \in G\}$ . Then we have projections  $f_j^0$  in  $M^\sigma$  such that  $0 < f_j^0 \leq e_j$  for  $j=1, 2$ .

Let  $\mathcal{F}$  be the family of sets of  $(x_\alpha, g_\alpha) \in M \times L^1(G)$  such that

- (a)  $x_\alpha = e_1 x_\alpha e_2 \neq 0$ ;
- (b)  $\text{car } \hat{g}_\alpha - \text{car } \hat{g}_\alpha \subset U$ ; and
- (c) projections  $\bar{f}_1^\alpha$  are mutually orthogonal, where  $f_1^\alpha \equiv \sup\{s(\sigma_t(y_\alpha^*)): t \in G\}$  and  $y_\alpha \equiv \sigma(g_\alpha)x_\alpha$ .

Since  $\mathcal{F}$  is ordered by set inclusion, we have a maximal set  $F \in \mathcal{F}$  by Zorn's lemma, say  $F = \{(x_\alpha, g_\alpha) \in M \times L^1(G): \text{(a), (b), (c)}\}$ . By maximality,  $\sum \bar{f}_1^\alpha = 1$ . Let  $f_2^\alpha \equiv \sup\{s(\sigma_t(y_\alpha)): t \in G\}$  and  $f_j \equiv \sum f_j^\alpha$  for  $j=1, 2$ . Since  $s(\sigma_t(y_\alpha^*)) \sim s(\sigma_t(y_\alpha))$  in  $M$  for each  $t \in G$ , we have  $\bar{f}_1^\alpha = \bar{f}_2^\alpha$  and  $\bar{f}_1 = \bar{f}_2 = 1$ .

Suppose that  $\gamma \in \text{sp } \sigma^{f_1}$ . For any compact neighbourhood  $V$  of  $\gamma$  there exists a non zero  $x$  in  $M^\sigma(V)$  with  $x = f_1^\alpha x f_1^\alpha$  for some  $\alpha$ . Since  $x = f_1^\alpha x f_1^\alpha$ , it follows that  $\sigma_{t_2}(y_\alpha^*) x \sigma_{t_1}(y_\alpha) \neq 0$  for some  $t_1$  and  $t_2$  in  $G$ . Put  $y \equiv \sigma_{t_2}(y_\alpha^*) x \sigma_{t_1}(y_\alpha)$ . Since  $\text{sp}_\sigma(y) \subset V - U$  and  $y = f_2 y f_2$ , we have  $M^\sigma(V - U) \cap M_{f_2} \neq \{0\}$ . Since  $V \cap (U + \text{sp } \sigma^{f_2}) \neq \emptyset$  and  $U + \text{sp } \sigma^{f_2}$  is closed,  $\gamma \in U + \text{sp } \sigma^{f_2}$ .

The remaining inclusion is proved similarly as above. Q.E.D.

**Lemma 3.2.**  $\Gamma_1(\sigma^e) = \Gamma_1(\sigma^{\bar{e}})$  for  $e \in M^\sigma$ .

**Lemma 3.3.** Let  $\mathcal{F}(\sigma)$  be the set of all  $\text{sp } \sigma^e + V$  for  $e$  in  $M^\sigma$  (or  $Z(M^\sigma)$ ) with  $\bar{e} = 1$  and compact neighbourhoods  $V$  of 0 in  $\widehat{G}$ . Then  $\mathcal{F}(\sigma)$  is a filter base and  $\Gamma_1(\sigma) = \bigcap \{F : F \in \mathcal{F}(\sigma)\}$ .

These two lemmas are proved by combining Lemma 3.1 and similar arguments as the proofs of [6, Lemmas 2.3.3 and 2.3.4].

We are now ready to give a sufficient condition for a problem of Borchers which is proposed in the final remark in [4].

*Proof of the implication (C)  $\Rightarrow$  (A) in (ii).* Since  $\Gamma_0(\sigma) = \Xi$ , it follows that  $\Xi \subset \text{sp } \sigma^e$  for all non zero  $e$  in  $M^\sigma$  (or  $Z(M^\sigma)$ ).

Suppose that  $f$  is a non zero projection in  $M^\sigma$  (or  $Z(M^\sigma)$ ). For any  $\varepsilon$  in  $(0, 1)$  and  $t_j \in \Xi^\perp$  for  $j=1, 2, \dots, n$ ,  $V$  denotes the set of  $\gamma \in \widehat{G}$  such that  $1 - \varepsilon < \text{Re} \langle t_j, \gamma \rangle$  for all  $j=1, 2, \dots, n$ . Let  $\phi$  be the quotient mapping of  $\widehat{G}$  onto  $\widehat{G}/\Xi$ .

Since  $\Gamma_0(\sigma) \subset \Gamma_0(\sigma^f) \subset \Gamma_1(\sigma^f) = \Gamma_1(\sigma^f) \subset \Gamma_1(\sigma)$ , we have  $\Gamma_0(\sigma^f) = \Gamma_1(\sigma^f) = \Xi$ . By restricting our argument to  $M_f$ , we may assume that  $f=1$  for the moment. Since  $\mathcal{F}(\sigma)$  in Lemma 3.3 is a filter base and  $\widehat{G}/\Xi$  is compact,  $\{\phi(F) : F \in \mathcal{F}(\sigma)\}$  is also a filter base of compact sets. Since  $t_j \in \Xi^\perp$  for  $j=1, 2, \dots, n$ , we have  $V + \Xi = V$  and hence  $\phi^{-1}(\phi(V)) = V$ . Since  $\text{sp } \sigma + \Gamma_0(\sigma) = \text{sp } \sigma$ , we have  $\phi^{-1}(\phi(F)) = F$  for all  $F \in \mathcal{F}(\sigma)$ . Hence Lemma 3.3 implies that the intersection of all  $\phi(F)$ ,  $F \in \mathcal{F}(\sigma)$  is zero. Since  $\widehat{G}/\Xi$  is compact,  $\phi(F)$  converges to 0 and hence there exists an  $F \in \mathcal{F}(\sigma)$  such that  $\phi(F) \subset \phi(V)$  or  $F \subset V$ .

Consequently,  $\text{sp } \sigma^e \subset V$  for some non zero  $e$  in  $M^\sigma$  (or  $Z(M^\sigma)$ ) with  $e \leq f$ . Q.E.D.

The case  $\Xi = \{0\}$  is a special case where  $\Xi^\perp$  is not discrete.

Making a slight modification of [13, Theorem 5.2], we have the following lemma.

**Lemma 3.4.** If  $G$  satisfies the second axiom of countability, a Borel multiplier  $\alpha \in Z^2(G, \mathbf{T})$  with  $\alpha(s, t) = \alpha(t, s)$  for  $s, t \in G$  is triv-

ial, namely,  $\alpha \in B^2(G, \mathbf{T})$ .

*Proof.* Let  $G^\alpha \equiv G \times \mathbf{T}$  be the extension of  $G$  by  $\alpha$ , that is, the product is defined by

$$(3.1) \quad (t_1, \lambda_1)(t_2, \lambda_2) \equiv (t_1 + t_2, \alpha(t_1, t_2)\lambda_1\lambda_2)$$

for  $(t_j, \lambda_j) \in G^\alpha$ .  $G^\alpha$  is given the product Borel structure of  $G \times \mathbf{T}$ . Since  $G$  satisfies the second axiom of countability and  $\alpha(s, t) = \alpha(t, s)$  for  $s, t \in G$ ,  $G^\alpha$  is a locally compact abelian group with respect to the Weil topology. Let  $j$  be an injection of  $\mathbf{T}$  to  $G^\alpha$  such that  $j(\lambda) = (0, \lambda)$  for  $\lambda \in \mathbf{T}$ . Since  $j(\mathbf{T})$  is a topological subgroup of  $G^\alpha$  which is standard and  $j$  is a Borel measure isomorphism,  $j$  is a homeomorphism by a Mackey's theorem [13, Theorem 2.2]. Let  $\widehat{G}^\alpha$  and  $\widehat{j(\mathbf{T})}$  denote the duals of  $G^\alpha$  and  $j(\mathbf{T})$ , respectively. Let  $l$  be a mapping of  $j(\mathbf{T})$  to  $\mathbf{T}$  such that  $l: (0, \lambda) \in j(\mathbf{T}) \rightarrow \lambda \in \mathbf{T}$ . Then  $l \in \widehat{j(\mathbf{T})}$ . Since  $\widehat{G}^\alpha/j(\mathbf{T})^\perp$  is isomorphic to  $\widehat{j(\mathbf{T})}$ , we have the corresponding  $\chi^* \in \widehat{G}^\alpha/j(\mathbf{T})^\perp$  to  $l \in \widehat{j(\mathbf{T})}$ . If  $\chi \in \chi^*$ , then  $\chi \in \widehat{G}^\alpha$  and  $\chi = l$  on  $j(\mathbf{T})$ . Put  $\beta(t) \equiv \chi((t, 1))$ . From (3.1) we have  $\beta(t_1)\beta(t_2) = \beta(t_1 + t_2)\alpha(t_1, t_2)$ . Q.E.D.

*Remark 3.1.* This lemma is partly generalized as the following. Every symmetric (i.e.,  $\alpha(s, t) = \alpha(t, s)$ ) multiplier is trivial for an abelian discrete group. For this we have only to assume the product topology on  $G^\alpha = G \times \mathbf{T}$  in the above proof.

*Proof of (iii) in Theorem 1.1.* We have only to prove that the condition (B) implies the condition (A). Since  $0 \in \text{sp}_{\sigma^e}(1_e)$  for any non zero  $e$  in  $M^\sigma$  (or  $Z(M^\sigma)$ ), we have  $\Xi = \{0\} \subset \text{sp } \sigma^e$ .

Suppose that  $V$  is a neighbourhood of  $\Xi$ . Since  $0 \in \Xi$ , we may choose an open neighbourhood  $U$  of 0 with  $(U - U)^- \subset V$ . Since  $G(\sigma) = G$  and  $M_*$  is separable, it follows from Lemma 3.4 and [8, 11, 12] that there exists a strongly continuous unitary representation  $u$  of  $G$  in  $M^\sigma$  such that  $\sigma_t(x) = u_t x u_t^*$  for  $x \in M$  and  $t \in G$ . By virtue of Stone's theorem, we have a spectral resolution

$$u_t = \int_r \overline{\langle t, \gamma \rangle} e(d\gamma),$$

where  $e(d\gamma)$  is a spectral projection measure on  $\widehat{G}$ . Utilizing a  $\gamma_0 \in \widehat{G}$  with  $e(U + \gamma_0)f \neq 0$ , we define a projection  $e$  by  $e(U + \gamma_0)f$ . Then  $e \in M^\sigma$  (or  $Z(M^\sigma)$ ) and  $0 < e \leq f$ . For all  $g \in L^1(G)$  with  $\text{car } \widehat{g} \subset \widehat{G} \setminus (U - U)$  we have

$$\begin{aligned} e(\sigma(g)x)e &= \int_{\widehat{G}} g(t) e u_t x u_t^* e dt \\ &= \int_U \int_U \widehat{g}(\gamma - \gamma') e(d\gamma) x e(d\gamma') = 0 \end{aligned}$$

for all  $x \in M$ . Therefore  $\text{sp } \sigma^e \subset (U - U)^- \subset V$ . Q.E.D.

*Proof of Corollary 1.1.* It is immediate from (iii) of Theorem 1.1.

The following proposition generalizes [6, Theorem 2.4.1].

**Proposition 3.1.** (i) *If  $Z(M^\sigma) \subset Z(M)$ , then  $\text{sp } \sigma = \Gamma_1(\sigma)$ . In particular, if  $M^\sigma$  is a factor,  $\Gamma_0(\sigma) = \Gamma_1(\sigma)$ .*

(ii) *If  $\Gamma_0(\sigma) = \Gamma_1(\sigma) = \Xi$  and  $\Xi$  is discrete, then  $\text{sp } \sigma = \Xi$  is necessary and sufficient for  $Z(M^\sigma) \subset Z(M)$ .*

*Proof.* (i) Let  $e \in M^\sigma$  and  $f$  the carrier in  $Z(M^\sigma)$ . If  $\gamma \in \text{sp } \sigma^f$ , there exists an  $x \in M$  such that  $\text{sp}_\sigma(x) \cap (V + \gamma) \neq \emptyset$  for any neighbourhood  $V$  of 0. Since  $f$  is the carrier in  $Z(M^\sigma)$  of  $e$ , there exist  $y$  and  $z$  in  $M^\sigma$  with  $ezxye \neq 0$ . Since  $\text{sp}_\sigma(ezxye) = \text{sp}_\sigma(x)$ ,  $\gamma \in \text{sp } \sigma^e$  and hence  $\text{sp } \sigma^f \subset \text{sp } \sigma^e$ , which implies  $\text{sp } \sigma^e = \text{sp } \sigma^f$ . Consequently,  $\Gamma_1(\sigma) = \bigcap \{\text{sp } \sigma^f : f \in Z(M^\sigma) \text{ and } \bar{f} = 1\}$ . Since  $Z(M^\sigma) \subset Z(M)$ , we have  $\text{sp } \sigma = \Gamma_1(\sigma)$ .

(ii) By (i) we have only to show the sufficiency. First we shall show that if  $e_1$  and  $e_2$  in  $M^\sigma$  have mutually orthogonal central carriers, then  $\bar{e}_1 \bar{e}_2 = 0$ . Suppose that  $e_1 \in M^\sigma$ ,  $e_2 \in M^\sigma$  and  $\bar{e}_1 \bar{e}_2 \neq 0$ . Then there exists a non zero  $x \in M$  such that  $e_1 x e_2 = x$  and  $\text{sp}_\sigma(x) = \{\gamma\}$  for some  $\gamma \in \Xi$ . Put  $e_3 \equiv \text{s}(x)$ . Since  $\Gamma_1(\sigma^{e_3}) = \Xi$  by Lemma 3.2 and since  $\Gamma_1(\sigma^{e_3}) = \text{sp } \sigma^{e_3}$  from the assumption that  $\Xi = \text{sp } \sigma$ , it follows that there exists a non zero  $y \in M_{e_3}$  with  $\text{sp}_\sigma(y) = \{-\gamma\}$ . Then  $e_1 x y e_2 = x y \neq 0$  and  $x y \in M^\sigma$ . Thus the product of central carriers of  $e_1$  and  $e_2$  is non zero.

Now, suppose  $e \in Z(M^\sigma)$ . Since  $e(1 - e) = 0$ , we have  $\bar{e}(1 - e) = 0$  from the above. Since  $1 = e + (1 - e) \leq \bar{e} + \overline{(1 - e)} \leq 1$ , we have  $e = \bar{e}$ ,

namely,  $e \in Z(M)$ .

Q.E.D.

*Remark 3.2.* Assume that  $\widehat{G}/\Xi$  is compact. If  $M^\sigma$  is a factor, then the conditions (A), (B) and (C) are equivalent. For this we have only to show that (B) implies (C). Since  $G(\sigma)$  is discrete by assumption, there exists by Lemma 4.4 a  $\sigma' \in \text{Rep}(G, M)$  such that  $\sigma' \sim \sigma$ ,  $Z(M^{\sigma'}) = Z(M^\sigma)$  and  $G(\sigma) = (\text{sp } \sigma')^\perp$ . If  $M^\sigma$  is a factor, then  $M^{\sigma'}$  is a factor and hence  $\Gamma_0(\sigma') = \Gamma_1(\sigma') = \text{sp } \sigma'$  by Proposition 3.1. Therefore  $\text{sp } \sigma'$  is a group and hence  $\Xi = G(\sigma)^\perp = \text{sp } \sigma' = \Gamma_1(\sigma')$  by (B). Since  $\Gamma_0(\sigma) = \Gamma_1(\sigma)$  by Proposition 3.1 and  $\Gamma_1(\sigma) = \Gamma_1(\sigma')$  by Lemma 4.3, we have  $\Gamma_0(\sigma) = \Gamma_1(\sigma) = \Xi$ , which is (C).

#### § 4. Proof of (B) $\Rightarrow$ (C) and S-Set

In the following  $I_\varepsilon(\lambda)$  denotes the  $\varepsilon$ -neighbourhood of  $\lambda \in \mathbf{R}$ , namely, the open interval  $(\lambda - \varepsilon, \lambda + \varepsilon)$ .

**Proposition 4.1.** *For a  $\sigma \in \text{Rep}(\mathbf{R}, M)$  there exist projections  $q_\infty, q_0$  in  $Z(M^\sigma) \cap Z(M)$  and an increasing left continuous spectral resolution  $\{p(\lambda) \in Z(M^\sigma) \cap Z(M) : \lambda > 0\}$  of  $q_\infty - q_0$  such that*

- (i)  $\Gamma_0(\sigma^{q_0}) = \Gamma_1(\sigma^{q_0}) = \{0\}$ ;
- (ii)  $\Gamma_0(\sigma^{1-q_\infty}) = \Gamma_1(\sigma^{1-q_\infty}) = \mathbf{R}$ ;
- (iii) *for any non zero  $\lambda \in \text{Sp } h$  ( $h \equiv \int \lambda p(d\lambda)$ ),  $\varepsilon_0 \in (0, \lambda)$  and  $\varepsilon \in (0, \varepsilon_0)$  there exists a non zero projection  $e \in Z(M^\sigma)$  majorized by  $p(\lambda + \varepsilon_0) - p(\lambda - \varepsilon_0)$  satisfying that  $\text{sp } \sigma^f \subset I_\varepsilon(\lambda)\mathbf{Z} \cup I_\varepsilon(0)$  and  $\text{sp } \sigma^f \cap nI_\varepsilon(\lambda) \neq \emptyset$  for all  $n \in \mathbf{N}$  and  $f \in Z(M^\sigma)$  with  $0 < f \leq e$ ;*
- (iv) *if  $\lambda \in \text{Sp } h$  and  $\lambda > 0$ , then  $\lambda\mathbf{Z} \subset \Gamma_1(\sigma)$ ; and*
- (v) *if  $\lambda \in \text{Sp } h$  is isolated and  $q_\lambda \equiv p(\lambda + 0) - p(\lambda)$ , then  $\Gamma_0(\sigma^{q_\lambda}) = \Gamma_1(\sigma^{q_\lambda}) = \lambda\mathbf{Z}$ .*

*Proof.* (i) Let  $\mathcal{F}_0$  be the set of all projections  $p \in Z(M^\sigma) \cap Z(M)$  such that for any  $e \in Z(M^\sigma) \cap Z(M)$  with  $0 < e \leq p$  and for any  $\lambda > 0$  and  $\delta \in (0, \lambda/2)$  there exists a projection  $f \in Z(M^\sigma)$  with  $0 < f \leq e$  and  $\text{sp } \sigma^f \cap (\delta, \lambda - \delta) = \emptyset$ . Put  $q_0 \equiv \sup\{p : p \in \mathcal{F}_0\}$ . Using Zorn's lemma, we have a projection  $e_0 \in Z(M^\sigma)$  such that  $\bar{e}_0 = q_0$  and  $\text{sp } \sigma^{e_0} \cap (\delta, \lambda - \delta) = \emptyset$ .

Therefore

$$\Gamma_1(\sigma^{q_0}) \subset \bigcap_{\lambda > 0} \bigcap_{0 < \delta < \lambda/2} \mathbf{R} \setminus \{(-\lambda + \delta, -\delta) \cup (\delta, \lambda - \delta)\} = \{0\},$$

and hence  $\Gamma_0(\sigma^{q_0}) = \Gamma_1(\sigma^{q_0}) = \{0\}$ .

(ii) Let  $\mathcal{F}_\infty$  be the set of all projections  $e \in Z(M^\sigma)$  such that  $\text{sp } \sigma^e \neq \mathbf{R}$ . Put  $q_\infty \equiv \sup\{\bar{e} : e \in \mathcal{F}_\infty\}$ . Then  $q_0 \leq q_\infty$  and  $\Gamma_0(\sigma^{1-q_\infty}) = \Gamma_1(\sigma^{1-q_\infty}) = \mathbf{R}$ . Moreover,  $q_\infty \in Z(M^\sigma) \cap Z(M)$ .

For the proof of the remaining part we must prepare the following two lemmas. Before going into the proof we recall that if  $\text{sp } \sigma \cap (\delta, \lambda - \delta) \neq \emptyset$  with  $0 < 2\delta < \lambda$  then for any  $\varepsilon > 0$  there exist a  $\lambda_0 \in (\delta, \lambda - \delta)$  and a non zero  $x \in M$  such that

$$\text{sp}_\sigma(x) \subset I_\varepsilon(\lambda_0) \cap (\delta, \lambda - \delta).$$

**Lemma 4.1.** *Assume that  $q_0 = 1 - q_\infty = 0$ . For any  $\lambda > 0$  and  $\delta \in (0, \lambda/2)$  let  $\mathcal{F}_{\lambda, \delta}$  be the set of all projections  $e$  in  $Z(M^\sigma) \cap Z(M)$  such that if  $f$  is a projection in  $Z(M^\sigma)$  with  $0 < f \leq e$  then  $\text{sp } \sigma^f \cap (\delta, \lambda - \delta) \neq \emptyset$ . If*

$$p(\lambda) \equiv \sup_{0 < 2\delta < \lambda} \sup\{e : e \in \mathcal{F}_{\lambda, \delta}\},$$

then  $\{p(\lambda) : \lambda > 0\}$  is an increasing and left continuous spectral resolution of the identity.

*Proof.* In the following we denote  $\sup\{e : e \in \mathcal{F}_{\lambda, \delta}\}$  by  $p(\lambda, \delta)$ .

Since  $p(\lambda, \delta) \leq p(\mu, \delta)$  for  $0 < \lambda \leq \mu$ , it follows that  $p(\lambda) \leq p(\mu)$  for  $0 < \lambda \leq \mu$ . Therefore  $p(\lambda)$  is increasing in  $\lambda > 0$ .

Since  $\mathcal{F}_{\lambda, \varepsilon + \delta} \subset \mathcal{F}_{\lambda - \varepsilon, \delta}$ , it follows that

$$\begin{aligned} \lim_{\varepsilon \downarrow 0} p(\lambda - \varepsilon) &= \sup_{\varepsilon > 0} p(\lambda - \varepsilon) = \sup_{\varepsilon > 0} \sup_{\delta > 0} p(\lambda - \varepsilon, \delta) \\ &\geq \sup_{\varepsilon > 0} \sup_{\delta > 0} p(\lambda, \varepsilon + \delta) = p(\lambda), \end{aligned}$$

and hence that  $p(\lambda)$  is left continuous.

Putting  $p_\infty \equiv 1 - \lim_{\lambda \rightarrow \infty} p(\lambda)$ , we have  $p_\infty \in Z(M^\sigma) \cap Z(M)$  and

$$p_\infty = \inf_{\lambda > 0} \inf_{\delta > 0} (1 - p(\lambda, \delta)).$$

Suppose that  $p_\infty \neq 0$ . If  $e$  is a projection in  $Z(M^\sigma) \cap Z(M)$  with  $0 < e \leq p_\infty$ , then for any  $\lambda > 0$  and  $\delta \in (0, \lambda/2)$  there exists a projection  $f \in Z(M^\sigma)$  with  $0 < f \leq e$  and  $\text{sp } \sigma^f \cap (\delta, \lambda - \delta) = \emptyset$ . Thus  $p_\infty \leq q_0$ . Since  $q_0 = 0$  by assumption, it follows that  $\lim_{\lambda \rightarrow \infty} p(\lambda) = 1$ .

Putting  $p_0 \equiv \lim_{\lambda \rightarrow 0} p(\lambda)$ , we have  $p_0 \in Z(M^\sigma) \cap Z(M)$  and

$$p_0 = \inf_{\lambda > 0} \sup \{p(\lambda, \delta) : 0 < 2\delta < \lambda\}.$$

Suppose that  $p_0 \neq 0$ . If  $e$  is a projection in  $Z(M^\sigma)$  with  $0 < e \leq p_0$ , then for any  $\lambda > 0$  there exist a  $\delta \in (0, \lambda/2)$  and a projection  $e_0 \in Z(M^\sigma)$ ,  $0 < e_0 \leq ep(\lambda, \delta)$  such that  $\text{sp } \sigma^f \cap (\delta, \lambda - \delta) \neq \emptyset$  whenever  $f \in Z(M^\sigma)$  and  $0 < f \leq e_0$ .

For any  $\mu > 0$  and its  $\varepsilon$ -neighbourhood  $I_\varepsilon(\mu) \subset \mathbf{R}_+$  we shall show  $I_\varepsilon(\mu) \cap \text{sp } \sigma^e$  is non empty. For a given  $\varepsilon$  we have a positive  $\lambda < \varepsilon$ , for which we get a  $\delta$  and a projection  $e_0$  as above. Choose an  $n \in \mathbf{N}$  so that  $\mu \leq n\delta$  and put  $\eta \equiv \delta/n$ . Since  $\text{sp } \sigma^{e_0} \cap (\delta, \lambda - \delta) \neq \emptyset$ , we have a non zero  $x_1 \in M_{e_0}$  and a  $\lambda_1 \in (\delta, \lambda - \delta)$  satisfying

$$\text{sp}_\sigma(x_1) \subset I_\eta(\lambda_1) \cap (\delta, \lambda - \delta).$$

Let  $f_1$  be the carrier in  $Z(M^\sigma)$  of

$$\sup \{\sigma_t(s(x_1)) : t \in \mathbf{R}\},$$

where  $s(x_1)$  denotes the carrier of  $x_1$ . Since  $f_1 \in Z(M^\sigma)$  and  $0 < f_1 \leq e_0$ , we have  $\text{sp } \sigma^{f_1} \cap (\delta, \lambda - \delta) \neq \emptyset$ . Therefore we have a non zero  $x_2 \in M_{f_1}$  and a  $\lambda_2 \in (\delta, \lambda - \delta)$  satisfying  $\text{sp}_\sigma(x_2) \subset I_\eta(\lambda_2) \cap (\delta, \lambda - \delta)$ . Since  $x_2 \in M_{f_1}$ , we have a  $v_1 \in M^\sigma$  and a  $t_1 \in \mathbf{R}$  with

$$y_2 \equiv \sigma_{t_1}(x_1)v_1x_2 \neq 0.$$

Let  $f_2$  be the carrier in  $Z(M^\sigma)$  of

$$\sup \{\sigma_t(s(y_2)) : t \in \mathbf{R}\}.$$

Since  $f_2 \in Z(M^\sigma)$  and  $0 < f_2 \leq e_0$ , we have  $\text{sp } \sigma^{f_2} \cap (\delta, \lambda - \delta) \neq \emptyset$ . We repeat the similar argument as above and obtain sets  $\{x_1, \dots, x_n\} \subset M$  and  $\{\lambda_1, \dots, \lambda_n\} \subset (\delta, \lambda - \delta)$  satisfying

$$\text{sp}_\sigma(x_j) \subset I_\eta(\lambda_j) \cap (\delta, \lambda - \delta)$$

for  $j=1, \dots, n$ . Since  $f_j$  is the carrier in  $Z(M^\sigma)$  of



$$\sup\{\sigma_t(s(y_j)): t \in \mathbf{R}\}$$

and  $x_{j+1} \in M_{f_j}$  for  $j=2, \dots, n$ , we have sets  $\{v_1, \dots, v_{n-1}\} \subset M^\sigma$  and  $\{t_1, \dots, t_{n-1}\} \subset \mathbf{R}$  satisfying

$$y_k \equiv \sigma_{t_{k-1}}(\dots \sigma_{t_2}(\sigma_{t_1}(x_1)v_1x_2)v_2x_3 \dots)v_{k-1}x_k \neq 0$$

for all  $k=2, \dots, n$ . Since  $\text{sp}_\sigma(y_k) \subset \{\sum_{j=1}^k \text{sp}_\sigma(x_j)\}^-$ , we have

$$\text{sp}_\sigma(y_k) \subset \sum_{j=1}^k I_\eta(\lambda_j) \subset I_{n\eta}(\sum_{j=1}^k \lambda_j).$$

Since  $\mu \leq n\delta < \sum_{j=1}^n \lambda_j$ ,  $\delta < \lambda_j < \lambda - \delta$  and  $\lambda < \varepsilon$ , there exists an  $m \in \mathbf{N}$ ,  $m < n$  with  $\mu - \varepsilon < \sum_{j=1}^m \lambda_j < \mu$ . Since  $\delta = n\eta$ , we have  $I_\delta(\sum_{j=1}^{m+1} \lambda_j) \subset I_\varepsilon(\mu)$ , and hence

$$y_{m+1} \in M_{e_0} \subset M_\varepsilon \quad \text{and} \quad \text{sp}_\sigma(y_{m+1}) \subset I_\varepsilon(\mu).$$

Since  $\varepsilon$  can be arbitrarily small, it follows that  $\mu \in \text{sp } \sigma^e$ . Since  $\mu (> 0)$  is arbitrary,  $\text{sp } \sigma^e = \mathbf{R}$ . The arbitrariness of  $e \in Z(M^\sigma)$  with  $0 < e \leq p_0$  implies that  $\Gamma_0(\sigma^{p_0}) = \mathbf{R}$ . Since  $q_\infty = 1$  by assumption, we have a contradiction. Thus  $p_0 = 0$ , namely,  $\lim_{\lambda \rightarrow 0} p(\lambda) = 0$ . Q.E.D.

The idea of the following lemma is essentially due to Borchers, [4].

**Lemma 4.2.** *For any  $\varepsilon \in (0, \lambda/2)$  let  $p$  be a non zero projection in  $Z(M^\sigma)$  satisfying  $\text{sp } \sigma^e \cap I_\varepsilon(\lambda) \neq \emptyset$  for all  $e \in Z(M^\sigma)$  with  $0 < e \leq p$ . For a non zero projection  $q$  in  $Z(M^\sigma)$  if  $l(q)$  is defined by*

$$\sup\{k(e): e \in Z(M^\sigma), 0 < e \leq q\},$$

where  $k(e)$  denotes the supremum length of subintervals of  $(0, \lambda + \varepsilon) \setminus \text{sp } \sigma^e$ , then

(i)  $\text{sp } \sigma^e \cap nI_\varepsilon(\lambda) \neq \emptyset$  for all  $e \in Z(M^\sigma)$  with  $0 < e \leq p$  and  $n \in \mathbf{Z}$ ; and

(ii) for any  $\delta > 0$  there exists a projection  $e$  in  $Z(M^\sigma)$  such that  $0 < e \leq p$  and  $\text{sp } \sigma^e \subset I_\delta(l(p)) \mathbf{Z} \cup I_\delta(0)$ .

*Proof.* (i) We shall use an induction argument. Suppose that  $\text{sp } \sigma^e \cap nI_\varepsilon(\lambda) \neq \emptyset$  for some  $n > 0$ . For a non zero  $x$  in  $M^\sigma(nI_\varepsilon(\lambda))$  let  $f \equiv \sup\{\sigma_t(s(x)): t \in G\}$ . Then  $0 < f \leq e \leq p$ . Since  $\text{sp } \sigma^f \cap I_\varepsilon(\lambda) \neq \emptyset$  by as-

sumption, we have a non zero  $y$  in  $M^{\sigma^f}(I_\varepsilon(\lambda))$ . Since  $0 < f \leq e$  and  $\sigma_i^\varepsilon(x)y \neq 0$  for some  $t \in G$ , we have  $\text{sp } \sigma^e \cap (n+1)I_\varepsilon(\lambda) \neq \emptyset$ .

(ii) We have nothing to prove if  $\delta > 2^{-1}l(p)$ . For any positive  $\delta \leq 2^{-1}l(p)$  there exists a projection  $e \in Z(M^e)$  such that  $0 < e \leq p$  and  $k(e) > l(p) - \delta$ . Put  $l \equiv l(p)$ . We have then a subinterval  $(2^{-1}\delta, l - 2^{-1}\delta) + \lambda_0$  of  $(0, \lambda + \varepsilon) \setminus \text{sp } \sigma^e$  for some  $\lambda_0 \in \mathbf{R}$ . We shall show by induction that  $(n-1)l + n\delta, n(l-\delta) \cap \text{sp } \sigma^e = \emptyset$  for all  $n \in \mathbf{N}$  with  $n < (2\delta)^{-1}l$ . For  $n=1$  we assume the contrary. Let  $\phi(E, e)$  for  $e \in M^e$  denote the projection onto the subspace spanned by  $M^e(E)e\mathcal{H}$ . For any  $\lambda \in (\delta, l-\delta) \cap \text{sp } \sigma^e$  we have

$$e' \equiv e\phi([- \delta', \delta'] + \lambda, e)$$

for  $\delta' \in (0, \min\{\lambda - \delta, l - \delta - \lambda\})$ . Since  $\lambda \in \text{sp } \sigma^e$ , we have  $e' \neq 0$  and  $\text{sp } \sigma^{e'} \subset \text{sp } \sigma^e \cap (\text{sp } \sigma^e - I_{\delta'}(\lambda)^-)$ , for  $\text{sp } \sigma^{e'} \subset \text{sp } \sigma^e - E$  with  $E \equiv I_{\delta'}(\lambda)^-$ . Therefore  $\text{sp } \sigma^{e'}$  is disjoint from

$$\begin{aligned} & (\lambda_0 + 2^{-1}\delta, \lambda_0 + l - 2^{-1}\delta) \cup (\lambda_0 + 2^{-1}\delta - \lambda + \delta', \lambda_0 + l - 2^{-1}\delta - \lambda - \delta') \\ & = (\lambda_0 - \lambda + 2^{-1}\delta + \delta', \lambda_0 + l - 2^{-1}\delta). \end{aligned}$$

Since  $\lambda_0 + l - 2^{-1}\delta > 0$  and  $0 \in \text{sp } \sigma^{e'}$ ,  $\lambda_0 - \lambda + 2^{-1}\delta + \delta' > 0$ . The length  $l'$  of the interval on the right hand side is  $l - \delta + \lambda - \delta'$ . Since  $l < l' \leq l(e')$ , we have a contradiction. Thus  $\text{sp } \sigma^e$  is disjoint from  $(\delta, l - \delta)$ . Suppose that the result is true for  $n > 1$  ( $n < (2\delta)^{-1}l - 1$ ). If  $(nl + (n+1)\delta, (n+1)(l - \delta)) \cap \text{sp } \sigma^e \neq \emptyset$ , then

$$f \equiv e\phi([- \delta'', \delta''] + \mu, e)$$

is non zero for any fixed

$$\begin{aligned} & \mu \in (nl + (n+1)\delta, (n+1)(l - \delta)) \cap \text{sp } \sigma^e \\ & \delta'' \in (0, \min\{\mu - nl - (n+1)\delta, (n+1)(l - \delta) - \mu\}). \end{aligned}$$

Since  $\mu \in \text{sp } \sigma^e$ , we have  $f \neq 0$  and  $\text{sp } \sigma^f \subset \text{sp } \sigma^e \cap (\text{sp } \sigma^e - I_{\delta''}(\mu)^-)$ . Therefore  $\text{sp } \sigma^f$  is disjoint from

$$\begin{aligned} & (-l + \delta, -\delta) \cup ((n-1)l + n\delta - \mu + \delta'', n(l - \delta) - \mu - \delta'') \\ & = ((n-1)l + n\delta - \mu + \delta'', -\delta), \end{aligned}$$

whose length is larger than  $l$ , for  $\text{sp } \sigma^f = -\text{sp } \sigma^f$ . This contradicts

with the fact that  $l(f) \leq l(p) \equiv l$ . Thus the result is true for  $n+1$ .

Q.E.D.

*Proof of Proposition 4.1. (Continued).* By our previous proofs of (i) and (ii) we may assume that  $q_0 = 1 - q_\infty = 0$  in the remaining part of the proof.

(iii) Suppose that  $\lambda$  is a non zero element of  $\text{Sp } h$ . For any  $\varepsilon \in (0, \varepsilon_0/3)$

$$p \equiv p(\lambda + \varepsilon) - p(\lambda - \varepsilon) > 0.$$

Since  $p(\lambda + \varepsilon) = \sup_{\delta > 0} p(\lambda + \varepsilon, \delta)$ , there exists a projection  $q$  in  $Z(M^\sigma) \cap Z(M)$  such that  $qp(\lambda - \varepsilon) = 0$  and  $0 < q \leq p(\lambda + \varepsilon, \delta)$  for some  $\delta \in (0, \varepsilon/2)$ . Since  $qp(\lambda - \varepsilon) = 0$ , there exists a projection  $e' \in Z(M^\sigma)$  with  $0 < e' \leq q$  and  $\text{sp } \sigma^{e'} \cap (\delta, \lambda - \varepsilon - \delta) = \emptyset$ . On the other hand, since  $0 < q \leq p(\lambda + \varepsilon, \delta)$ , if  $f \in Z(M^\sigma)$  and  $0 < f \leq q$  then  $\text{sp } \sigma^f \cap (\delta, \lambda + \varepsilon - \delta) \neq \emptyset$ . Therefore, if  $f \in Z(M^\sigma)$  and  $0 < f \leq e'$ , then  $\text{sp } \sigma^f \cap I \neq \emptyset$  with  $I \equiv (\lambda - 2^{-1}3\varepsilon, \lambda + \varepsilon)$  and hence  $\text{sp } \sigma^f \cap nI \neq \emptyset$  by (i) in Lemma 4.2. Furthermore, we can define  $l(e')$  by the same way as in Lemma 4.2. It follows from the above that  $\lambda - 2\varepsilon < l(e') < \lambda + \varepsilon$ . By virtue of (ii) in Lemma 4.2 we have a projection  $e \in Z(M^\sigma)$  with  $0 < e \leq e'$  and  $\text{sp } \sigma^e \subset I_\varepsilon(l(e')) \mathbf{Z} \cup I_\varepsilon(0)$ . Then for any  $f \in Z(M^\sigma)$  with  $0 < f \leq e$  we have

$$\text{sp } \sigma^f \subset I_\varepsilon(l(e')) \mathbf{Z} \cup I_\varepsilon(0) \subset I_{3\varepsilon}(\lambda) \mathbf{Z} \cup I_{3\varepsilon}(0).$$

Considering  $3\varepsilon$  as  $\varepsilon$ , we have (iii).

(iv) Suppose that  $\lambda \in \text{Sp } h$  and  $\lambda > 0$ . We shall use the same notation as in (iii). For any  $\varepsilon \in (0, \varepsilon_0)$  let  $\mathcal{F}$  be the set of all projections  $e \in Z(M^\sigma)$  satisfying the same condition as in (iii). Put  $e_\varepsilon \equiv \sup\{e : e \in \mathcal{F}\}$ . For any  $p \in Z(M^\sigma)$  with  $\bar{p} = 1$  we set  $p_\varepsilon \equiv p\bar{e}_\varepsilon$ . By means of Lemma 3.1 since  $\bar{p}_\varepsilon = \bar{e}_\varepsilon$  there exist projections  $e_1$  and  $e_2$  in  $Z(M^\sigma)$  such that  $e_1 \leq e_\varepsilon$ ,  $e_2 \leq p_\varepsilon$ ,  $\bar{e}_1 = \bar{e}_2 = \bar{e}_\varepsilon$  and  $\text{sp } \sigma^{e_1} \subset \text{sp } \sigma^{e_2} + I_\varepsilon(0)$ . This inclusion relation and the condition in (iii) imply that  $(\text{sp } \sigma^{e_2} + I_\varepsilon(0)) \cap nI_\varepsilon(\lambda) \neq \emptyset$  for all  $n \in \mathbf{N}$ . Since  $e_2 \leq p$ , we have

$$(\text{sp } \sigma^p + I_\varepsilon(0)) \cap nI_\varepsilon(\lambda) \neq \emptyset$$

for all  $n \in \mathbf{N}$ . Since  $\varepsilon$  is arbitrary,  $\lambda \mathbf{Z} \subset \text{sp } \sigma^p$  and hence  $\lambda \mathbf{Z} \subset \Gamma_1(\sigma)$ .

(v) Since  $\lambda$  is isolated in  $\text{Sp } h$ ,  $\text{Sp } h \cap (\lambda - \varepsilon_0, \lambda + \varepsilon_0) = \{\lambda\}$  for some  $\varepsilon_0 > 0$ . For any  $\varepsilon \in (0, \varepsilon_0)$  let  $\mathcal{F}_\lambda$  be the family of sets of non zero projections  $e'$  in  $Z(M^\sigma)$  with mutually orthogonal carriers in  $Z(M)$  majorized by  $q_\lambda \equiv p(\lambda + \varepsilon) - p(\lambda)$  satisfying that if  $f \in Z(M^\sigma)$  and  $0 < f \leq e'$  then  $\text{sp } \sigma^f \subset I_\varepsilon(\lambda) \mathbf{Z} \cup I_\varepsilon(0)$  and  $\text{sp } \sigma^f \cap nI_\varepsilon(\lambda) \neq \emptyset$  for all  $n \in \mathbf{N}$ . Since  $\mathcal{F}_\lambda$  is ordered by set inclusion and inductive, we have a maximal  $\{e_\iota: \iota \in I\} \in \mathcal{F}_\lambda$  by Zorn's lemma. Put  $p_\lambda \equiv \sup\{\bar{e}_\iota: \iota \in I\}$ . If  $q_\lambda - p_\lambda \neq 0$ , there exists by (iii) a non zero projection  $e'' \in Z(M^\sigma)$  satisfying the same condition as  $e_\iota \in \mathcal{F}_\lambda$  and  $\bar{e}'' \bar{e}_\iota = 0$  for all  $\iota \in I$ , which contradicts with the maximality of  $\mathcal{F}_\lambda$ . Thus  $q_\lambda = p_\lambda$ . Putting  $e \equiv \sup\{e_\iota: \iota \in I\}$ , we have  $\bar{e} = q_\lambda$  and  $\text{sp } \sigma^e \subset I_\varepsilon(\lambda) \mathbf{Z} \cup I_\varepsilon(0)$ . Since  $\varepsilon$  is arbitrary, we have  $\Gamma_1(\sigma^{q_\lambda}) \subset \lambda \mathbf{Z}$ .

Suppose that  $\Gamma_0(\sigma^{q_\lambda}) \neq \lambda \mathbf{Z}$ . Since  $\Gamma_0(\sigma^{q_\lambda})$  is a subgroup, there exists a projection  $e_\lambda \in Z(M^\sigma)$  such that  $0 < e_\lambda \leq q_\lambda$  and  $\text{sp } \sigma^{e_\lambda} \cap I_\delta(\lambda) = \emptyset$  for some  $\delta > 0$ . Here we may assume that the above  $\varepsilon$  is less than  $\delta/2$ . Since  $\bar{e}_\lambda \leq \bar{e}$ , it follows from Lemma 3.1 that there are projections  $e_1$  and  $e_2$  in  $Z(M^\sigma)$  such that  $e_1 \leq e_\lambda$ ,  $0 < e_2 \leq e$  and  $\text{sp } \sigma^{e_2} \subset \text{sp } \sigma^{e_1} + I_{\delta/2}(0)$ . This inclusion relation contradicts with the fact that

$$I_{\delta/2}(\lambda) \cap \text{sp } \sigma^{e_2} \neq \emptyset, \quad I_{\delta/2}(\lambda) \cap (\text{sp } \sigma^{e_1} + I_{\delta/2}(0)) = \emptyset.$$

Thus  $\Gamma_0(\sigma^{q_\lambda}) = \lambda \mathbf{Z}$  and hence (v) follows.

Q.E.D

*Remark 4.1.* Let  $G$  be the additive group  $\mathbf{R}$  and  $\sigma \in \text{Rep}(\mathbf{R}, M)$ . If  $\text{sp } \sigma$  is compact, then  $\Gamma_0(\sigma) = \Gamma_1(\sigma) = \{0\}$ .

From the above proposition we have the following one.

**Proposition 4.2.** *The condition (B) implies the condition (C), if one of the following two assumptions is satisfied:*

- (i)  $G = G(\sigma)$ ; and
- (ii)  $G$  is the additive group  $\mathbf{R}$  or  $\mathbf{Z}$  with the usual topology,  $G(\sigma) \neq \{0\}$  and  $\Gamma_0(\sigma) \neq \{0\}$ .

For any  $\sigma$  and  $\sigma'$  in  $\text{Rep}(G, M)$ ,  $\sigma \sim \sigma'$  if there exists a strongly continuous mapping  $u$  of  $G$  to the unitaries in  $M$  such that  $u_{s+t} = u_s \sigma_s(u_t)$  and  $\sigma'_t(x) = u_t \sigma_t(x) u_t^*$  for  $s, t \in G$  and  $x \in M$ . This equivalence relation

“ $\sim$ ” is called an “exterior equivalence” by Connes. The following lemma follows immediately from Lemma 3.1.

**Lemma 4.3.** *If  $\sigma \sim \sigma'$ , then  $\Gamma_1(\sigma) = \Gamma_1(\sigma')$ .*

The following lemma is used to relate the  $\Gamma_0(\sigma)$  with the algebraic invariant  $S(M)$  which was defined in Section 1 for a general von Neumann algebra  $M$ .

**Lemma 4.4.** *Assume either that  $G(\sigma)$  is discrete or that  $G(\sigma)$  is closed and satisfies the second axiom of countability and  $M_*$  is separable. Then there exists a  $\sigma' \in \text{Rep}(G, M)$  such that  $\sigma' \sim \sigma$ ,  $M^\sigma \subset M^{\sigma'}$ ,  $Z(M^{\sigma'}) \subset Z(M^\sigma)$  and  $G(\sigma) = G(\sigma') = (\text{sp } \sigma')^\perp$ .*

*Proof.* By similar discussions as in the proof of Lemma 3.4 and Remark 3.1, we have a strongly continuous unitary representation  $v$  of  $G(\sigma)$  in  $Z(M^\sigma)$  such that  $\sigma_s(x) = v_s x v_s^*$  for  $x \in M$  and  $s \in G(\sigma)$ . Since  $G(\sigma)$  is a closed subgroup of  $G$ , it follows from [6, Lemma 3.3.12] that there exists a strongly continuous unitary representation  $u$  of  $G$  in  $Z(M^\sigma)$  such that  $u_s = v_s$  for  $s \in G(\sigma)$ . Define a  $\sigma' \in \text{Rep}(G, M)$  by  $\sigma'_t(x) \equiv u_t^* \sigma_t(x) u_t$  for  $t \in G$  and  $x \in M$ . Since  $u_{t+s} = \sigma_t(u_s) u_t$ , we have  $\sigma' \sim \sigma$  and

$$G(\sigma) = \{t \in G: \sigma'_t = 1\} \subset G(\sigma').$$

Since  $u_t \in M^\sigma \subset M^{\sigma'}$ , if  $y \in Z(M^{\sigma'})$ , then  $\sigma_t(y) = u_t \sigma'_t(y) u_t^* = y$  and hence  $y \in M^\sigma$ . Since  $M^\sigma \subset M^{\sigma'}$ ,  $y \in (M^{\sigma'})' \subset (M^\sigma)'$  and hence  $y \in Z(M^\sigma)$ . Thus  $Z(M^{\sigma'}) \subset Z(M^\sigma)$ . If  $\sigma'_s(x) = w x w^*$  for  $s \in G(\sigma')$  and a unitary  $w \in Z(M^{\sigma'})$ , then  $u_s w \in Z(M^\sigma)$  and hence  $G(\sigma') \subset G(\sigma)$ . Thus  $G(\sigma) = G(\sigma')$ .

Since  $G(\sigma') = (\text{sp } \sigma')^\perp$  is clear, we complete the proof.

*Proof of Proposition 4.2.* (i) If  $G(\sigma^e) = G$ , then  $\Gamma_0(\sigma) \subset \Gamma_1(\sigma) \subset \{0\}$  by Lemma 2.6, which implies the condition (C).

(ii) By (i) it suffices to consider the case  $G(\sigma) \neq G$ .

The case where  $G(\sigma) = (\text{sp } \sigma)^\perp$ . Suppose that  $G = \mathbf{R}$  (resp.  $\mathbf{Z}$ ). Since  $\Xi \equiv G(\sigma)^\perp$  is discrete and  $G(\sigma) \neq G$  by assumption, there exists a generator  $\gamma \in \mathbf{R}$  (resp.  $[0, 2\pi)$ ) of  $\Xi$ . Here we apply Proposition 4.1 to

$\sigma$ . Since  $G(\sigma) \neq \{0\}$  by assumption,  $q_\infty = 1$ . Since  $\Gamma_0(\sigma) \neq \{0\}$  by assumption, we have  $q_0 = 0$ . Since  $\Xi$  is discrete, we have a partition  $\{p_n: n \in \mathbf{N}\}$  (resp.  $\{p_n: n|m\}$ ,  $m \equiv 2\pi/\gamma$ ) in  $Z(M^\sigma) \cap Z(M)$  of the identity such that

$$\Gamma_0(\sigma^{p_n}) = \Gamma_1(\sigma^{p_n}) = n\gamma\mathbf{Z} \quad (\text{resp. } \{n\gamma: n|m\}),$$

which is the condition (C) for  $\sigma^{p_n}$  over  $M_{p_n}$ . Since  $\widehat{G}/n\gamma\mathbf{Z}$  is compact, the conditions (B') and (C) are equivalent over  $M_{p_n}$  by (ii) of Theorem 1.1 and hence  $G(\sigma^e)^\perp = n\gamma\mathbf{Z}$  (resp.  $\{n\gamma: n|m\}$ ) for all non zero  $e \in Z(M^\sigma)$  with  $e \leq p_n$ . On the other hand, the condition (B) implies  $G(\sigma^e)^\perp = \Xi$  for all  $e \in Z(M^\sigma)$ . Thus  $p_n = 1$  and  $p_m = 0$  ( $m \neq n$ ) for some  $n \in \mathbf{N}$ , namely,  $\Xi = n\gamma\mathbf{Z}$ . Since  $\gamma$  is a generator of  $\Xi$ ,  $n = 1$ . Thus  $\Gamma_0(\sigma) = \Gamma_1(\sigma) = \Xi$ .

The general case. For a given  $\sigma$  we choose a  $\sigma'$  as in Lemma 4.4. Then  $G(\sigma) = G(\sigma') = (\text{sp } \sigma')^\perp$  and hence  $\Gamma_0(\sigma') = \Gamma_1(\sigma') = G(\sigma')^\perp$  from the above. Since  $G(\sigma') = G(\sigma) = \Xi^\perp$  by the condition (B), we have  $\Gamma_0(\sigma') = \Gamma_1(\sigma') = \Xi$ . Therefore  $\Gamma_1((\sigma')^e) = \Xi$  for all non zero  $e \in Z(M^\sigma)$ . Since  $M^\sigma \subset M^{\sigma'}$  by Lemma 4.4, if  $e$  is a projection in  $Z(M^\sigma)$ ,  $\Gamma_1(\sigma^e) = \Gamma_1((\sigma')^e)$  by Lemma 4.3, and hence  $\Gamma_0(\sigma) = \Gamma_1(\sigma) = \Xi$ , which is the condition (C). Q.E.D.

*Proof of Theorem 1.2.* Since  $\widehat{G}/\Gamma_0(\sigma^\phi)$  is compact and  $\Gamma_0(\sigma^\phi) = \Gamma_1(\sigma^\phi)$  by assumption, the condition (B') holds by (ii) of Theorem 1.1 and hence  $G(\sigma) = \Gamma_0(\sigma^\phi)^\perp$  is discrete. Applying Lemma 4.4, we have a  $\sigma \in \text{Rep}(\mathbf{R}, M)$  such that  $\sigma \sim \sigma^\phi$  and  $\text{sp } \sigma \subset G(\sigma^\phi)^\perp$ . The condition (B') implies that

$$G(\sigma^\phi) = G((\sigma^\phi)^e) = \Gamma_0(\sigma^\phi)^\perp \quad \text{and} \quad \Gamma_0(\sigma^\phi) \subset \text{sp}(\sigma^\phi)^e$$

for all non zero  $e$  in  $Z(M^{\sigma^\phi})$  and hence that

$$\text{sp } \sigma \subset G(\sigma^\phi)^\perp = \Gamma_0(\sigma^\phi) \subset \text{sp}(\sigma^\phi)^e.$$

Since  $\sigma \sim \sigma^\phi$  implies  $\sigma = \sigma^\psi$  for some  $\psi \in W(M)$  by [6, Theorem 1.2.4], we have

$$(4.1) \quad \bigcap \{\text{sp } \sigma^\psi: \psi \in W(M)\} \subset \Gamma_0(\sigma^\phi).$$

On the other hand, since  $\sigma^\phi \sim \sigma^\psi$  for all  $\psi$  in  $W(M)$ , it follows from Lemma 4.3 that

$$\Gamma_0(\sigma^\psi) \subset \Gamma_1(\sigma^\psi) = \Gamma_1(\sigma^\psi) \subset \text{sp } \sigma^\psi$$

and hence

$$(4.2) \quad \Gamma_0(\sigma^\psi) = \cap \{ \text{sp } \sigma^\psi : \psi \in W(M) \}.$$

Since  $\text{sp } \sigma^\psi = \log (\text{Sp}(A_\psi) \cap \mathbf{R}_+^*)$  by [6, Lemma 3.2.2] and  $Z(M) \subset M^{\sigma^\psi}$  for  $\psi \in W(M)$ , we have a desired result.

### § 5. Unbounded Derivation

Before going into the definition of a derivation, we recall that  $\sigma(g)$  is  $\sigma$ -weakly continuous on  $M$  for  $g \in L^1(G)$ . For the sake of completeness we shall give a slightly different proof from [2, Proposition 1.4].

Let  $M_1$  be the unit ball of  $M$  with the  $\sigma$ -weak topology. Choose a compact  $K \subset G$  for a given  $\varepsilon > 0$  such that

$$\int_{\sigma \setminus K} |g(t)| dt < \varepsilon.$$

Since the dual representation  $\sigma'$  on  $M_*$  of  $\sigma \in \text{Rep}(G, M)$  is strongly continuous [1, Proposition 1 in § 6], for any  $t_j \in G$ ,  $\varepsilon > 0$  and  $\phi \in M_*$  there exists a neighbourhood  $V_j$  of  $t_j$  such that

$$\sup_{t \in V_j} \sup_{x \in M_1} | \langle (\sigma_t - \sigma_{t_j})(x), \phi \rangle | < 2^{-1}\varepsilon.$$

Since  $K$  is compact, we can find a finite covering  $V_j$ ,  $j=1, \dots, n$  of  $K$ . Since  $\sigma_{t_j}$  is  $\sigma$ -weakly continuous, there exists a neighbourhood  $N_j$  of 0 in  $M_1$  such that  $| \langle \sigma_{t_j}(N_j), \phi \rangle | < 2^{-1}\varepsilon$ . Set  $N \equiv \cap_{j=1}^n N_j$ . Since  $t \in K$  belongs to some  $V_j$ ,

$$| \langle \sigma_t(x), \phi \rangle | \leq | \langle (\sigma_t - \sigma_{t_j})(x), \phi \rangle | + | \langle \sigma_{t_j}(x), \phi \rangle | < \varepsilon$$

for all  $x \in N$ . Therefore

$$\begin{aligned} | \langle \sigma(g)x, \phi \rangle | &\leq \varepsilon \int_K |g(t)| dt + 2\|\phi\| \int_{\sigma \setminus K} |g(t)| dt \\ &\leq (\|g\|_1 + 2\|\phi\|) \varepsilon \end{aligned}$$

for all  $x \in N$ . Thus  $\phi \circ \sigma(g)$  is  $\sigma$ -weakly continuous on  $M_1$  and hence on  $M$  by Banach's theorem. Consequently,  $\sigma(g)$  is  $\sigma$ -weakly continuous.

Now we shall generalize the concept of a derivation of  $M$  to the unbounded case as the following, [7].

**Definition 5.1.** A linear operator  $\delta$  on  $M$  is called a *self-adjoint derivation* of  $M$  if the domain  $D(\delta)$  of  $\delta$  is a  $\sigma$ -weakly dense  $*$ -subalgebra of  $M$  and

$$\delta(xy) = \delta(x)y + x\delta(y), \quad \delta(x^*) = -\delta(x)^*$$

for all  $x, y \in D(\delta)$ . In addition,  $\delta$  is said to be *spatial* (resp. *inner*) if there exists a self-adjoint operator  $h$  (resp.  $h_\eta M$ ) whose domain is invariant under  $D(\delta)$  and which satisfies

$$\delta(x) = \overline{hx - xh} \equiv \overline{[h, x]}$$

for all  $x \in D(\delta)$ .

For a linear operator  $\delta$  on a Banach space  $E$ , an  $x \in E$  is *analytic* (resp. *entire*) for  $\delta$  if the function  $t \in \mathbf{R} \mapsto \sum_{n=0}^{\infty} (n!)^{-1} t^n \delta^n x \in E$  exists and is analytic in some neighbourhood of 0 (resp. *entire*). For a representation  $\sigma$  of  $\mathbf{R}$  on  $E$ , an  $x \in E$  is *analytic* (resp. *entire*) for  $\sigma$  if the function  $t \mapsto \sigma_t(x)$  is analytic in some neighbourhood of 0 (resp. *entire*).

If  $\sigma_t, t \in \mathbf{R}$  is a strongly continuous one parameter group of uniformly bounded operators on  $E$ , then

$$(5.1) \quad x_\lambda \equiv \left( \frac{1}{2\pi\lambda^2} \right)^{1/2} \int_{\mathbf{R}} \sigma_t(x) \exp\left(-\frac{t^2}{2\lambda^2}\right) dt$$

for  $x \in E$ , are entire for  $\sigma$  and  $x$  is the limit of  $x_\lambda$  as  $\lambda \rightarrow 0$ . Furthermore if  $\delta$  is the generator of  $\sigma$ , then

$$\sum_{n=0}^{\infty} \frac{t^n}{n!} \|\delta^n(x_\lambda)\| < +\infty, \quad \text{for all } t \in \mathbf{R}.$$

In the following a linear operator  $\delta$  on  $M$  is said to be  $\sigma$ -weakly closed if the graph of  $\delta$  in  $M \oplus M$  is  $\sigma$ -weakly closed.

**Proposition 5.1.** Let  $\sigma \in \text{Rep}(\mathbf{R}, M)$  and  $\delta$  be a linear operator on  $M$  whose domain  $D(\delta)$  is the set of  $x \in M$  for which  $t^{-1}(\sigma_t(x) - x)$  is  $\sigma$ -weakly convergent as  $t \downarrow 0$ , and

$$\delta x = \lim_{t \downarrow 0} (it)^{-1}(\sigma_t(x) - x)$$

for all  $x \in D(\delta)$ . Then



- (i)  $D(\delta)$  is a  $\sigma$ -weakly dense \*-subalgebra of  $M$  and  $\delta$  is a self-adjoint  $\sigma$ -weakly closed derivation of  $M$ ;
- (ii) for any non-zero real number  $\lambda$ ,  $\lambda - i\delta$  has the  $\sigma$ -weakly continuous inverse  $(\lambda - i\delta)^{-1}$  and  $\|(\lambda - i\delta)^{-1}\| \leq |\lambda|^{-1}$ ;
- (iii) the set of entire elements for  $\delta$  is  $\sigma$ -weakly dense in  $M$ ;
- (iv)  $\delta$  is spatial (resp. inner) if and only if  $\sigma$  is spatial (resp. inner); and
- (v) the infinitesimal generator of the dual representation  $\sigma'$  of  $\sigma$  is the dual of  $\delta$ .

Conversely, if  $\delta$  is a self-adjoint  $\sigma$ -weakly closed derivation of  $M$  and if for any non-zero real number  $\lambda$ ,  $\lambda - i\delta$  has an inverse and  $\|(\lambda - i\delta)^{-1}\| \leq |\lambda|^{-1}$ , then there exists a unique representation  $\sigma \in \text{Rep}(\mathbf{R}, M)$  of which  $\delta$  is an infinitesimal generator.

*Proof.* (i, ii) It is clear that  $D(\delta)$  is a \*-subalgebra of  $M$  and  $\delta$  is a self-adjoint derivation of  $M$ . Define  $\phi_\lambda$  for  $\lambda > 0$  by

$$\phi_\lambda = \int_0^\infty \lambda \sigma_t \exp(-\lambda t) dt.$$

Applying the same argument as the one parameter semi-group theory on a Banach space, we know that the range of  $\phi_\lambda$  coincides with  $D(\delta)$ , that  $\lambda^{-1}\phi_\lambda = (\lambda - i\delta)^{-1}$  and that  $\phi_\lambda(x)$  converges  $\sigma$ -weakly to  $x$  as  $\lambda \rightarrow \infty$  for  $x \in M$ . Therefore  $D(\delta)$  is  $\sigma$ -weakly dense in  $M$ . Since  $\phi_\lambda$  is  $\sigma$ -weakly continuous as shown at the beginning of this Section,  $\delta$  is  $\sigma$ -weakly closed.

(iii)  $x_\lambda$  in (5.1) is entire for  $\delta$  and  $\sigma$ -weakly converges to  $x$  as  $\lambda \rightarrow \infty$ . Therefore we conclude (iii).

(iv) Suppose that  $\sigma$  is spatial (resp. inner). There exists a self-adjoint operator  $h$  (resp.  $h \in \mathcal{M}$ ) such that  $\sigma_t(x) = u_t x u_t^*$  and  $u_t = \exp(it h)$ . Since

$$(it)^{-1}(\sigma_t(x) - x)\xi = u_t x (it)^{-1}(u_t^* - 1)\xi + (it)^{-1}(u_t - 1)x\xi,$$

if  $x \in D(\delta)$  and  $\xi$  is in the domain  $D(h)$  of  $h$ , then  $x\xi \in D(h)$  and  $\delta(x)\xi = [h, x]\xi$ . Since  $D(h)$  is dense in  $\mathcal{H}$ , we have  $\delta x = \overline{[h, x]}$ .

Conversely, suppose that  $\delta$  is spatial (resp. inner). Let  $h$  be a self-adjoint operator which induces  $\delta$  as in Definition 5.1. Put  $u_t \equiv \exp(it h)$ . Denote by  $\mathcal{H}^{(e)}$  (resp.  $M^{(e)}$ ) the set of entire elements for  $u$  (resp.  $\delta$ ).

We shall show by induction that  $x\mathcal{H}^{(e)} \subset D(h^n)$  for  $n \in \mathbf{N}$  and  $x \in M^{(e)}$ . By the assumption for  $h$ ,  $x\mathcal{H}^{(e)} \subset D(h)$ . If  $x\mathcal{H}^{(e)} \subset D(h^n)$ , then

$$\delta^n x \xi^{\hat{e}} = \sum_{k=0}^n \binom{n}{k} h^k x (-h)^{n-k} \xi^{\hat{e}},$$

for  $\xi^{\hat{e}} \in \mathcal{H}^{(e)}$ . Since  $(\delta^n x) \xi^{\hat{e}} \in D(h)$ , we know that

$$h^n x \xi^{\hat{e}} = (\delta^n x) \xi^{\hat{e}} - \sum_{k=0}^{n-1} \binom{n}{k} h^k x (-h)^{n-k} \xi^{\hat{e}}$$

is in  $D(h)$  and hence  $x \xi^{\hat{e}} \in D(h^{n+1})$ .

If  $x \in M^{(e)}$  and  $\xi, \eta \in \mathcal{H}^{(e)}$ , then

$$\begin{aligned} (\sigma_t(x) \xi^{\hat{e}} | \eta) &= \sum_{n=0}^{\infty} (n!)^{-1} (it)^n ((\delta^n x) \xi^{\hat{e}} | \eta) \\ &= \sum_{n=0}^{\infty} \frac{(it)^n}{n!} \left( \sum_{k=0}^n \binom{n}{k} h^k x (-h)^{n-k} \xi^{\hat{e}} \middle| \eta \right) \\ &= \sum_{n=0}^{\infty} \sum_{k=0}^n \left( x \frac{(it)^{n-k}}{(n-k)!} (-h)^{n-k} \xi^{\hat{e}} \middle| \frac{(it)^k}{k!} (-h)^k \eta \right). \end{aligned}$$

Since  $\xi, \eta \in \mathcal{H}^{(e)}$ , the right hand side is absolutely convergent. Therefore

$$\begin{aligned} (\sigma_t(x) \xi^{\hat{e}} | \eta) &= (x \sum_{n=0}^{\infty} (n!)^{-1} (-ith)^n \xi^{\hat{e}} | \sum_{m=0}^{\infty} (m!)^{-1} (-ith)^m \eta) \\ &= (x (\exp(-ith)) \xi^{\hat{e}} | \exp(-ith) \eta) \\ &= ((\exp(ith)) x (\exp(-ith)) \xi^{\hat{e}} | \eta). \end{aligned}$$

Since  $\mathcal{H}^{(e)}$  is dense in  $\mathcal{H}$  and  $M^{(e)}$  is  $\sigma$ -weakly dense in  $M$ , we have  $\sigma_t(x) = (\exp(ith)) x (\exp(-ith))$  for  $x \in M$ .

(v) Let  $\delta'$  and  ${}^t\delta$  be the infinitesimal generator of the dual  $\sigma'$  on  $M_*$  of  $\sigma$  and the dual of  $\delta$ , respectively. For  $\lambda > 0$ , the dual of  $(\lambda - i\delta)^{-1}$  is  $(\lambda - i{}^t\delta)^{-1}$ . Since  $\delta' \subset {}^t\delta$ ,  $(\lambda - i\delta')^{-1} \subset (\lambda - i{}^t\delta)^{-1}$ . Since the domain of  $(\lambda - i\delta')^{-1}$  is  $M_*$ , we have  $(\lambda - i\delta')^{-1} = (\lambda - i{}^t\delta)^{-1}$  and hence,  $\delta' = {}^t\delta$ .

Suppose that  $\delta$  is a self-adjoint  $\sigma$ -weakly closed derivation of  $M$  and that  $\|(\lambda - i\delta)^{-1}\| \leq |\lambda|^{-1}$  for any  $\lambda \neq 0$ . Denote by  $\delta'$  the dual of  $\delta$  on  $M_*$ . Since  $\|(\lambda - i\delta')^{-1}\| \leq |\lambda|^{-1}$ , by the Hille-Yosida theorem,  $\delta'$  is the generator of a strongly continuous contraction one parameter group  $\sigma'$  on  $M_*$ . The dual  $\sigma$  of  $\sigma'$  is a  $\sigma$ -weakly continuous contraction one parameter group on  $M$ . Moreover (v) is valid for  $\sigma$  and the generator of  $\sigma$  is

$\sigma$ -weakly closed. Since the bidual of a closed linear map is itself, the generator of  $\sigma$  is the dual of  $\delta'$ , namely,  $\delta$ . Therefore we have for any entire elements  $x$  and  $y$ ,

$$\sigma_t(x)\sigma_t(y) = \sum_{n=0}^{\infty} \frac{(it)^n}{n!} \delta^n(x) \sum_{n=0}^{\infty} \frac{(it)^n}{n!} \delta^n(y) = \sum_{n=0}^{\infty} \frac{(it)^n}{n!} \delta^n(xy) = \sigma_t(xy),$$

$$\sigma_t(x^*) = \sum_{n=0}^{\infty} \frac{(it)^n}{n!} \delta^n(x^*) = \sum_{n=0}^{\infty} \frac{(-it)^n}{n!} \delta^n(x)^* = \sigma_t(x)^*.$$

Since (iii) is valid for  $\delta$ , we conclude the multiplicativity and self-adjointness of  $\sigma_t$ . Therefore  $\sigma_t$  is a \*-automorphism. Q.E.D.

*Remark 5.1.* In the above proposition  $M^{(e)}$  is a core of  $\delta$  with respect to the  $\sigma$ -weak topology on  $M$ . Indeed, if  $x \in D(\delta)$ , then  $x_\lambda$  defined by (5.1) converges  $\sigma$ -weakly to  $x$ . Furthermore  $\delta(x_\lambda) = (\delta x)_\lambda$  converges  $\sigma$ -weakly to  $\delta x$  as  $\lambda \rightarrow 0$ .

**Lemma 5.1.** *If  $\delta$  is the infinitesimal generator of  $\sigma \in \text{Rep}(\mathbf{R}, M)$ , then  $\text{Sp } \delta = \text{sp } \sigma$ .*

*Proof.* Suppose that  $\lambda \in \text{sp } \sigma = -\text{sp } \sigma$  and  $\langle t, \lambda \rangle \equiv \exp(it\lambda)$  for  $t \in \mathbf{R}$ . Define a function  $g \in L^1(\mathbf{R})$  for any  $\alpha > 0$  by

$$g(t) \equiv \exp(-\alpha t) \overline{\langle t, \lambda \rangle} \quad (t > 0); \quad g(t) \equiv 0 \quad (t \leq 0).$$

Since  $i(\delta - \lambda)$  is the infinitesimal generator of a one parameter group  $t \mapsto \overline{\langle t, \lambda \rangle} \sigma_t$ , we have

$$\sigma(g) = \int_0^\infty \exp(-\alpha t) \overline{\langle t, \lambda \rangle} \sigma_t dt = -i(\lambda - i\alpha - \delta)^{-1}$$

and  $\hat{g}(-\lambda) = \alpha^{-1}$ . Therefore, by [6, Lemma 2.3.6], we have  $\|(\lambda - i\alpha - \delta)^{-1}\| \geq \alpha^{-1}$  and hence

$$(5.3) \quad \lim_{\alpha \downarrow 0} \|(\lambda - i\alpha - \delta)^{-1}\| = \infty.$$

Consequently,  $\lambda \in \text{Sp } \delta$ .

Assume that

$$\lim_{\alpha \downarrow 0} \|(\lambda - i\alpha - \delta)^{-1}\| < \infty.$$

By the resolvent equation,  $(\lambda - i\alpha - \delta)^{-1}$  converges in norm to a bounded

operator  $\rho$  as  $\alpha \downarrow 0$  and  $\rho = (\lambda - \delta)^{-1}$ . Therefore  $\lambda \in \text{Sp } \delta$  implies (5.3) and hence that there exist for any  $\varepsilon > 0$  a positive  $\alpha \in \mathbf{R}$  and a non zero  $y \in M$  such that  $2\alpha < \varepsilon$  and

$$\varepsilon \|(\lambda - i\alpha - \delta)^{-1}y\| > 2\|y\|.$$

By putting  $x = \|z\|^{-1}z$  for  $z = (\lambda - i\alpha - \delta)^{-1}y$ , we have

$$\|(\lambda - \delta)x\| \leq \|(\lambda - i\alpha - \delta)x\| + \|i\alpha x\| < \varepsilon.$$

From the equation

$$\overline{\langle t, x \rangle} \sigma_t(x) - x = \int_0^t \overline{\langle s, \lambda \rangle} \sigma_{s^\circ} (i(\delta - \lambda))(x) ds,$$

it follows that

$$\|\sigma_t(x) - \langle t, \lambda \rangle x\| < |t| \varepsilon.$$

Therefore, by [6, Lemma 2.3.6], we have  $-\lambda \in \text{sp } \sigma = -\text{sp } \sigma$ . Q.E.D.

Lemma 5.1 and Theorem 1.1 give following corollaries. It is clear that  $x \in M^\sigma$  if and only if  $\delta x = 0$ . Therefore the restriction  $\delta^\sigma$  of  $\delta$  to  $M_e$  is a derivation corresponding to  $\sigma^\sigma$ . For a derivation  $\delta$ , we denote by  $M^\delta$  the set  $\{x \in M : \delta x = 0\}$ .

**Corollary 5.1.** *Let  $\delta$  be a derivation of  $M$  which is the infinitesimal generator of a representation in  $\text{Rep}(\mathbf{R}, M)$ . The following conditions are equivalent for  $\lambda > 0$ :*

- (i)  $\cap \{\text{Sp } \delta^\sigma : e \in M^\delta, e \neq 0\} = \cap \{\text{Sp } \delta^\sigma : e \in M^\delta, \bar{e} = 1\} = \lambda \mathbf{Z}$ ; and
- (ii) for any non zero projection  $f$  in  $Z(M^\delta)$  and for any neighbourhood  $V$  of 0, there exists a non zero projection  $e$  in  $Z(M^\delta)$  such that  $e \leq f$  and  $\lambda \mathbf{Z} \in \text{Sp } \delta^\sigma \subset \lambda \mathbf{Z} + V$ .

**Corollary 5.2.** *Let  $\delta$  be a derivation of  $M$  which is the infinitesimal generator of a representation in  $\text{Rep}(\mathbf{R}, M)$ . If  $M_*$  is separable, then the following conditions are equivalent:*

- (i)  $\delta$  is inner; and
- (ii) for any non zero projection  $f$  in  $Z(M^\delta)$  and for any  $\varepsilon > 0$  there exists a non zero projection  $e$  in  $Z(M^\delta)$  such that  $e \leq f$  and  $\|\delta^\sigma\| \leq \varepsilon$ .

Since the separability of  $M_*$  is unnecessary for the implication (ii)  $\Rightarrow$  (i) in Corollary 5.2, we have Corollary 5.3, which is a restatement of a result of Borchers [3, Theorem]. We shall restate it more precisely.

**Corollary 5.3.** *Let  $\delta$  be a derivation of  $M$  which is the infinitesimal generator of a representation in  $\text{Rep}(\mathbf{R}, M)$ . If there is a non negative self-adjoint operator  $k$  implementing  $\delta$ , then  $\delta$  is inner, and a self-adjoint operator  $h \eta M$  implementing  $\delta$  is uniquely determined by the condition that  $2\|he\| = \|\delta^e\|$  for all  $e \in Z(M^\delta)$ . In particular,  $\text{Sp}(he) + \|he\| \subset \text{Sp } \delta^e \cap \mathbf{R}_+$ .*

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