

# A New Class of Domains of Holomorphy (II)

(Domains of holomorphy on a three dimensional  
Stein space with an isolated singularity)

By

Osamu SUZUKI\*

## Introduction

The present paper is the continuation of O. Suzuki [10]. There we defined the concept of L-manifolds (see Definition (3.11) in O. Suzuki [10]) and showed that every L-manifold is a domain of holomorphy in the sense of H. Kerner [7] (see Definition (2.5) in O. Suzuki [10]). Moreover, we showed that there exist L-manifolds which are neither holomorphically convex nor pseudoconvex manifolds and there exist L-manifolds which admit non-Stein algebras (see Definition (3.15) in O. Suzuki [10]). These results are summarized in Theorems I and II in Introduction in O. Suzuki [10]. Unfortunately, only two examples are given there.

In this paper we shall prove that under the condition (A) certain domains of holomorphy (which will be called simple domains) on a certain three dimensional Stein space with an isolated singularity are in fact L-manifolds. By this we can systematically construct many examples of domains of holomorphy which are not Stein manifolds.

Let  $\underline{M}$  be a Stein space with an isolated singularity  $p_0$ . As will be shown in §3, every domain of holomorphy  $\underline{A}$  which does not contain  $p_0$  as a boundary point is a Stein space. But in the case where  $p_0 \in \partial \underline{A}$ , the situation is not simple. There we can find many domains of

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\* Department of Mathematics, University of Nihon, Tokyo, 156 Japan.

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holomorphy which have the properties far from Stein spaces. In order to make the points of difficulties clear, we have to restrict our considerations to the  $\underline{M}$  with the following condition (A) and special kinds of domains of holomorphy on  $\underline{M}$ . The condition (A) is stated as follows:

(A) There exists a resolution of the singularity  $M$  of  $\underline{M}$ ,  $\tau: M \rightarrow \underline{M}$  with the following properties: There exist a non-singular compact algebraic curve  $A$  and two complex line bundles  $F$  and  $G$  such that  $M$  is isomorphic to  $F \oplus G$  and  $\tau^{-1}(p_0)$  is nothing but the zero section.

We write the natural projection  $\pi: F \oplus G \rightarrow A$ . In the following we fix a fine covering  $\{V_\lambda\}$  of  $A$  and  $z_\lambda$  denotes the local coordinate parameter on  $V_\lambda$  and  $\zeta_\lambda$  (resp.  $\eta_\lambda$ ) denotes the fibre coordinate of  $F$  (resp.  $G$ ). Also by  $H$  and  $\underline{H}$ , we denote the divisor which is defined by  $\eta_\lambda = 0$  and the analytic set  $\tau(H)$  in  $\underline{M}$  respectively. For the description of desired domains, we prepare the following definition:

**Definition (0.1).** (1) A function  $\Phi$  defined on  $U - A$ , where  $U$  is a neighborhood of the zero section, is called a conoid function of type  $(k, l)$  along  $A$  if it is expressed as

$$\Phi = a_\lambda \frac{|\zeta_\lambda^2|^k}{|\eta_\lambda^2|^l} + b_\lambda |\eta_\lambda^2|^s + c_\lambda |\zeta_\lambda^2|^t \quad \text{on } \pi^{-1}(V_\lambda) \cap U,$$

where  $\{a_\lambda\}$  is a  $C^\infty$ -metric on  $U$  of  $\pi^*(F^k \otimes G^{-l})$  and  $\{b_\lambda\}$  (resp.  $\{c_\lambda\}$ ) is a non-negative  $C^\infty$ -section on  $U$  of  $\pi^*(G^s \otimes \bar{G}^s)$  (resp.  $\pi^*(F^t \otimes \bar{F}^t)$ ) with the following condition, where  $\bar{F}$  denotes the conjugate bundle of  $F$ :

$$a_\lambda = a_\lambda(z_\lambda, |\zeta_\lambda^2|, |\eta_\lambda|^2), \quad b_\lambda = b_\lambda(z_\lambda, |\zeta_\lambda^2|, |\eta_\lambda^2|)$$

$$\text{and } c_\lambda = c_\lambda(z_\lambda, |\zeta_\lambda^2|, |\eta_\lambda^2|)$$

and  $k, l$  are positive integers and  $s, t$  are non-negative integers with  $(s, t) \neq (0, 0)$ . (2) A function  $\underline{\Phi}$  defined on  $\underline{U} - p_0$ , where  $\underline{U}$  is a neighborhood of  $p_0$ , is called a conoid function at  $p_0$ , if  $\Phi = \tau^* \underline{\Phi}$  is a conoid function along  $A$ .

By this we make the following definition:

**Definition (0.2).**  $\underline{A}$  is called a simple domain if there exists a conoid function  $\underline{\Phi}$  at  $p_0$  such that for every small neighborhood  $\underline{U}$  of  $p_0$ , (i)  $\underline{A} \cap \underline{U} = \{\underline{\Phi} < \varepsilon\}$  for some positive constant  $\varepsilon$  and (ii) there exists one and only one connected component  $\underline{A}'$  of  $\underline{A} \cap \underline{U}$  with  $p_0 \in \partial \underline{A}'$ .

In §2, we will give several examples of simple domains which are explicitly written on  $\underline{M}$ . Although the definition of simple domains looks artificial, it seems to the author that other domains of holomorphy which are easily constructed may be Stein in a small neighborhood of  $p_0$ .

Now we state our Main Theorem:

**Main Theorem.** Under the condition (A), simple domains are domains of holomorphy if and only if they are L-manifolds.

Here we describe the outline of the proof of Main Theorem. First we show that simple domains of holomorphy are normal conoids (see Theorem I in §1). As for the definition of normal conoids, see Definition (1.6). We note that it is defined only by using the property of  $M$ . From this, by using the resolution of the singularity of indeterminacy of the characteristic function  $\phi^*$  (see Definition (1.6)), we can construct the B-resolution  $\underline{A}_*$  of  $\underline{A}$  in the canonical manner. Secondly we shall make a weakly 1-complete function on  $\underline{A}_*$  by using Lemma (3.5) (see Theorem II, IV). Finally we discuss the algebra of holomorphic functions on  $\underline{A}_*$  by using the results obtained in the previous paper (see Theorem III in §1).

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### §1. Statements of Main Results

Let  $\iota: \underline{M} \rightarrow \mathbb{C}^N$  be the imbedding of  $\underline{M}$  in  $\mathbb{C}^N$  and let

$$(1.1) \quad \omega = \iota^*(\omega'), \quad \text{where } \omega' = |z_1|^2 + |z_2|^2 + \cdots + |z_N|^2.$$

Then we have an  $s$ -pseudoconvex function  $\omega$  on  $\underline{M}$ . Let

$$(1.2) \quad V_\delta(p_0) = \{p \in \underline{M} : \omega(p) < \delta\}.$$

Then we have a neighborhood system of  $p_0$  on  $\underline{M}$ . Let

$$(1.3) \quad V_\delta(A) = \tau^{-1}(V_\delta(p_0)).$$

In the following, a domain on a complex manifold is assumed to be a relatively compact domain without mentioning it.

**Definition (1.4).** Let  $\Omega$  be a domain on  $M$ . (1)  $\Omega$  is called a simple domain along  $A$  of type  $(k, l)$  if there exist a conoid function  $\phi_{k,l}$  of type  $(k, l)$  and a positive constant  $\varepsilon$  such that for every small positive  $\delta$ , we have (i)

$$\Omega \cap V_\delta(A) = \{\phi_{k,l} < \varepsilon\} \cap V_\delta(A)$$

and (ii) there exists one and only one connected component  $\Omega'_\delta$  of  $\Omega \cap V_\delta(A)$  satisfying  $A \subset \partial\Omega'_\delta$ .

(2)  $\Omega$  is called a simple conoid along  $A$  if there exist a conoid function such that (i) there exist  $\varepsilon$  and  $\delta$  satisfying

$$\{\phi_{k,l} < \varepsilon\} \cap V_\delta(A) \subset \Omega \cap V_\delta(A)$$

and (ii) for any point  $p \in \Omega \cap V_\delta(A)$ , there exists  $\delta_0$  (which may depend on the choice of  $p$ ) satisfying

$$\Gamma_p^{\delta_0, \delta} \subset \Omega \cap V_\delta(A),$$

where  $\Gamma_p^\delta = \{q \in V_\delta(A) : \phi_{k,l}(q) = \phi_{k,l}(p)\}$  and  $\Gamma_p^{\delta_0, \delta} = \Gamma_p^\delta \cap V_{\delta_0}(A)$  and (iii) for every small  $\delta$ , there exists one and only one connected component  $\Omega'_\delta$  of  $\Omega \cap V_\delta$  with  $A \subset \partial\Omega'_\delta$ .

We note that  $A \subset \partial\Omega$ . In the following, a simple domain  $\Omega$  on  $M$  is assumed to have a boundary of a real submanifold of  $C^\infty$ -class of codimension one except  $A$ .

Here we define special kinds of conoid functions and conoid domains which are determined only by the resolution manifold  $M$ : Let

$$c_1(F^{-1})=f \text{ and } c_1(G^{-1})=g,$$

where  $c_1(E)$  is the first chern class of a complex line bundle  $E$  on  $A$ . Choosing a pair of natural numbers  $k_0$  and  $l_0$  by the condition that  $fk_0=gl_0$  and  $k_0$  and  $l_0$  have no common multiple other than 1, we consider a complex line bundle

$$E_M = F^{-k_0} \otimes G^{l_0}.$$

Then we see that  $E_M$  is a topologically trivial line bundle on  $A$ . By a well known lemma, we can choose suitable fibre coordinates  $\zeta_\lambda$  and  $\eta_\lambda$  of  $F$  and  $G$  respectively so that  $E_M$  is expressed as  $\{e_{\lambda\mu}\}$  with  $|e_{\lambda\mu}|=1$ . In the following we fix such coordinates. Then

$$(1.5) \quad \phi^* = |\zeta_\lambda^2|^{k_0} / |\eta_\lambda^2|^{l_0}$$

is a pseudoconvex function on  $M-H$ .

**Definition (1.6).** (1)  $\phi^*$  is called the characteristic function of  $M$ . (2)  $\Delta \subset M-H$  is called a normal conoid if  $\Delta$  is a simple conoid with respect to the conoid function  $\phi^*$  and  $\underline{\Delta} \subset \underline{M-H}$  is called a normal conoid if  $\Delta = \tau^{-1}(\underline{\Delta})$  is a normal conoid.

In what follows we assume that  $\underline{\Delta}$  is a simple domain which is a domain of holomorphy.

**Definition (1.7).** (1) A domain  $\Omega$  on a complex manifold is called a pseudoconvex domain if the following holds for any boundary point  $p \in \partial\Omega$ : There exist a neighborhood  $U(p)$  of  $p$  and a pseudoconvex function  $\varphi$  on  $U(p)$  such that  $\Omega \cap U = \{\varphi < 0\}$ . (2)  $\Omega$  is called a domain of holomorphy on  $M$  if there exists a holomorphic function  $f$  which cannot be continued analytically across the boundary of  $\Omega$ .

*Remark.* (1) If  $\Omega$  is a domain of holomorphy on  $M$ , then  $\Omega$  is a pseudoconvex domain. But the converse is not true in general. (2) The definition of domains of holomorphy on a complex manifold  $M$  is independent of the one of domains of holomorphy given in Definition (2.5) in O. Suzuki [10].

As for pseudoconvex domains we state the following Proposition which is due to T. Nishino [8]:

**Proposition (1.8).** *Let  $\Omega$  be a domain on a complex manifold  $M$  and let  $S$  be a divisor on  $M$ . If  $\Omega - S$  is a pseudoconvex domain, then  $\Omega$  is also a pseudoconvex domain.*

Because  $\underline{\Delta}$  is a domain of holomorphy, we see

**Proposition (1.9).**  *$\Delta = \tau^{-1}(\underline{\Delta})$  is a domain of holomorphy and so is a pseudoconvex domain on  $M$ .*

By using these notations we state our main results of this paper.

**Theorem I.** *Suppose that  $\underline{M}$  and  $\underline{H}$  satisfy the condition (A). If  $\underline{\Delta}$  is a simple domain which is a domain of holomorphy, then  $\Delta$  is a pseudoconvex normal conoid.*

**Theorem II.** *Let  $\Delta \subset M - H$  be a pseudoconvex normal conoid. Then there exists a proper modification of  $M$ ,  $(M^*, \mu, M)$  with the following properties: (1) Let  $\Delta_* = \overline{(\mu^{-1}(\Delta))}^0$ . Then  $\Delta_*$  is a weakly 1-complete manifold, where  $\bar{E}$  denotes the closure of  $E$  and  $E^0$  denotes the open kernel of  $E$ . (2) Let  $\Sigma = \mu^{-1}(A)$ . Then  $[\Sigma]^{-n}$  is positive for some  $n$ .*

As for the definition of weakly 1-complete manifolds and notations on complex line bundles, see §3 in O. Suzuki [10].

*Remark.* Because  $\Delta_*$  is a relatively compact domain on  $M^*$ , so we may assume that  $[\Sigma]^{-n} \otimes K_{M^*}^{-1}$  is also positive on  $\Delta_*$ , where  $K_{M^*}$  denotes the canonical line bundle of  $M^*$ .

Here we consider the algebra of holomorphic functions on  $\Delta_*$ . A topological trivial line bundle  $E$  is called of finite order (resp. infinite order) if  $E \otimes E \otimes \cdots \otimes E$  ( $k$ -times tensor product) is analytically trivial (not analytically trivial) with some  $k$  ( $k \neq 0$ ) (resp. for any  $k$  ( $k \neq 0$ )).

**Theorem III.** (1) *If  $E_M$  is of finite order, then  $\mathcal{O}(\Delta_*)$  is a Stein algebra.* (2) *If  $E_M$  is of infinite order, then  $\mathcal{O}(\Delta_*)$  is not a Stein algebra.*

*algebra.*

For the definition of Stein algebras, see Definition (3.15) in O. Suzuki [10]. Finally we can prove the following theorem, which also proves our Main Theorem.

**Theorem IV.** *If  $\underline{A}$  is a domain of holomorphy, then  $E_M$  is of infinite order. Moreover,  $\mathcal{O}(\underline{A})$  is not a Stein algebra.*

*Remark.* In the case where  $E_M$  is of finite order, for any  $\Phi: M \rightarrow \mathbb{C}^3$ ,  $\underline{A}$  is a domain of holomorphy in the sense of H. Grauert and R. Remmert [5], i.e., the  $\Phi$ -hulle of  $\underline{A}$  is identical with  $\underline{A}$  (for the definition, see H. Kerner [7]). But  $\underline{A}$  is not a domain of holomorphy in the sense of H. Kerner [7].

**§2. Normal Conoids Which Are Domains of Holomorphy**

In this section we consider a Stein space  $M$  with the condition (A) and an analytic set  $H$  in  $M$  which is defined in Introduction. Moreover, we assume that  $\overline{E_M}$  is of infinite order. The purposes of this section are to give some characterizations of normal conoids which are domains of holomorphy and to give their examples. Only in this section, domains are assumed to have  $C^\infty$ -boundaries of real submanifolds of codimension one except  $p_0$ , or intersections of such a kind of domains.

First we give some examples of normal conoids. By Theorem I we see that simple domains which are domains of holomorphy are normal.

**Definition (2.1).** (1)  $K \subset \underline{A}$  is called a *\*-compact* if  $K - V_\delta(p_0)$  is relatively compact in  $\underline{A}$  for any  $\delta$ . (2) As for two domains  $\underline{A}_1, \underline{A}_2$  on  $M$ ,  $\underline{A}_1 \in *_\underline{A}_2$  means that  $\underline{A}_1$  is *\*-compact* in  $\underline{A}_2$ , (3)  $\underline{A} \subset M$  has a *\*-compact exhaustion*  $\{\underline{A}_j\} (j=1, 2, \dots)$  if (i)  $\underline{A}_j \in *_\underline{A}_{j+1}$  and  $\bigcup_{j=1}^\infty \underline{A}_j = \underline{A}$ .

The following proposition is easy.

**Proposition (2.2).** (1) Suppose that  $\underline{A}_j (j=1, 2, \dots, r)$  is normal,

then  $\bigcap_{j=1}^r \underline{\Delta}_j$  is also normal. (2) Let  $\underline{\Delta}$  be a domain which admits a \*-compact exhaustion  $\{\underline{\Delta}_j\}$ , where  $\underline{\Delta}_j$  is normal for each  $j$ , then  $\underline{\Delta}$  is also normal.

**Definition (2.3).** (1) We say  $\underline{f} \in \mathcal{M}_{l,k}$ , if there exists a holomorphic section  $\varphi = \{\varphi_\lambda(z_\lambda)\} \in H^0(A, \mathcal{O}(F^{-1} \otimes G^k))$  such that  $\underline{f} = \tau^* \underline{f}$  is expressed as  $\underline{f} = \varphi_\lambda(z_\lambda) \zeta_\lambda^l \eta_\lambda^{-k}$ . (2) We say  $\underline{f} \in \mathcal{P}_{l,m}$ , if there exists a positive semi-definite metric  $\{a_\lambda(z_\lambda)\}$  of  $F^{-1} \otimes G^m$  such that  $\underline{f} = \tau^* \underline{f}$  is expressed as  $\underline{f} = a_\lambda(z_\lambda) |\zeta_\lambda^l| \cdot |\eta_\lambda^m|^{-m}$ .

We set  $\mathcal{M} = \bigcup_{l,k} \mathcal{M}_{l,k}$  and  $\mathcal{P} = \bigcup_{l,m} \mathcal{P}_{l,m}$ .

**Definition (2.4).** For  $\underline{f}_1, \underline{f}_2, \dots, \underline{f}_r \in \mathcal{M}$  (or,  $\mathcal{P}$ ) and for positive constants  $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_r$ , we define

$$(2.5) \quad \underline{\Delta}(\underline{f}_1, \underline{f}_2, \dots, \underline{f}_r; \varepsilon_1, \varepsilon_2, \dots, \varepsilon_r) \\ = \{p \in \underline{M} : |\underline{f}_1| < \varepsilon_1, |\underline{f}_2| < \varepsilon_2, \dots, |\underline{f}_r| < \varepsilon_r\}$$

$$(2.6) \quad \underline{\Delta}_\delta(\underline{f}_1, \dots, \underline{f}_r; \varepsilon_1, \dots, \varepsilon_r) = \underline{\Delta}(\underline{f}_1, \dots, \underline{f}_r; \varepsilon_1, \dots, \varepsilon_r) \cap \underline{V}_\delta(p_0).$$

In the following, for simplicity we write  $\underline{\Delta}(\underline{f}; \varepsilon)$  or  $\underline{\Delta}_\delta(\underline{f}; \varepsilon)$  for (2.5) or (2.6) respectively.

**Proposition (2.7).** For  $\underline{f}_1, \underline{f}_2, \dots, \underline{f}_r \in \mathcal{M}$  (or  $\mathcal{P}$ ),  $\underline{\Delta}_\delta(\underline{f}; \varepsilon)$  is a normal conoid for each  $\varepsilon$  and  $\delta$ .

*Proof.* By Proposition (2.2), we may prove only the following: For  $\underline{f} \in \mathcal{M}$  (or  $\mathcal{P}$ ), we set

$$\underline{\Delta}(\underline{f}; \varepsilon) = \{\underline{f} < \varepsilon\} \quad \text{and} \quad \underline{\Delta}_\delta(\underline{f}; \varepsilon) = \underline{\Delta}(\underline{f}; \varepsilon) \cap \underline{V}_\delta(p_0).$$

Then  $\underline{\Delta}_\delta(\underline{f}; \varepsilon)$  is a normal conoid for every  $\varepsilon$  and  $\delta$ . We prove the assertion only for  $\underline{f} \in \mathcal{M}$ . We assume that  $\underline{f} \in \mathcal{M}_{k,l}$ . We write  $\tilde{f} = \varphi_\lambda(z_\lambda) \zeta_\lambda^k \eta_\lambda^{-l}$ , where  $\tilde{f} = \tau^* \underline{f}$ . Then by Proposition (4.1), we see that

$$(2.8) \quad kf \geq gl.$$

Let  $\phi^*$  be the characteristic function of  $M$ . Then we have



$$|\tilde{f}|^2 = |\varphi_\lambda(z_\lambda)|^2 |\zeta_\lambda^2|^k \cdot |\eta_\lambda^2|^{-l}$$

and

$$\phi^* = |\zeta_\lambda^2|^{k_0} \cdot |\eta_\lambda^2|^{-l_0}.$$

We define  $\rho(\rho > 0)$  by  $l = l_0\rho$ . By (2.8) and by the choice of  $k_0$  and  $l_0$ , we find a non-negative constant  $h$  such that  $fk = f\rho k_0 + hf$ . First we consider the case  $h > 0$ . Making  $\delta'$  smaller, we may assume

$$\sup_\lambda |\varphi_\lambda(z_\lambda)|^2 |\zeta_\lambda^2|^h < 1 \text{ on } V_{\delta'}(A).$$

Then we see that

$$\{\phi^* < \varepsilon'\} \cap V_{\delta'}(A) \subset \Delta_\delta(\tilde{f} : \varepsilon) \text{ where } \varepsilon' = \varepsilon^{\frac{1}{\rho}} \text{ and } \Delta_\delta(\tilde{f} : \varepsilon) = \tau^{-1} \underline{\Delta}_\delta(f : \varepsilon),$$

which implies (i) in Definition (1.4). Let  $p \in \Delta_\delta(\tilde{f} : \varepsilon)$  and let  $\phi^*(p) = \sigma$ . Choose a point  $q \in \Gamma_p^\delta$  (see Definition (1.4)). Then

$$|\tilde{f}|^2(q) = |\varphi_\lambda(z_\lambda)|^2 \cdot |\zeta_\lambda^2|^h \sigma^\rho.$$

Making  $\delta_0$  smaller, we may assume that

$$\sup_\lambda |\varphi_\lambda(z_\lambda)|^2 \cdot |\zeta_\lambda^2|^h < \varepsilon / \sigma^\rho \text{ on } V_{\delta_0}(A).$$

This implies that  $|\tilde{f}|^2(q) < \varepsilon$  for  $q \in \Gamma_p^{\delta, \delta_0}$ . This proves (ii). (iii) is easy. Next we consider the remained case, i.e.,  $h = 0$ . Then we find that  $fk = gl$ . Therefore, we can find  $m$  satisfying  $k = mk_0$  and  $l = ml_0$ . Then we see that  $\{\varphi_\lambda(z_\lambda)\} \in H^0(A, \mathcal{O}(F^{-k_0} \otimes G^{l_0})^m)$ . Because  $E_M$  is of infinite order, we see that  $\varphi_\lambda(z_\lambda) = 0$  everywhere. This implies that  $f = 0$ . So the assertion is trivial in this case.

*Remark.* For  $f \in \mathcal{P}$ , we can prove the assertion in the same manner as in Proposition (2.7) when  $h > 0$ . When  $h = 0$ , we see that  $f = c(\phi^*)^m$  with some positive constant  $c$ . So the assertion is also proved in this case.

**Corollary (2.9).** *If  $\underline{\Delta}$  admits a \*-compact exhaustion  $\{\underline{\Delta}_j\}$ , where  $\underline{\Delta}_j$  is a polyhedron defined as in (2.6), then  $\underline{\Delta}$  is also a normal conoid.*

Now we shall give some characterizations of normal conoids to be domains of holomorphy.

**Definition (2.10).**  $\underline{A} \subset \underline{M}$  is called holomorphically  $*$ -convex if for any compact set  $K \subset \underline{A}$ , the convex hull of  $K$ ,  $\hat{K}$  is also  $*$ -compact.

**Definition (2.11).** (1) A real valued function  $\varphi$  on  $\underline{A}$  is called  $*$ -complete if  $\underline{A}_c = \{\varphi < c\}$  is  $*$ -compact for each  $c$ . (2)  $\underline{A}$  is called  $*$ -complete domain if there exists a  $*$ -complete pseudoconvex function of  $C^\infty$ -class on  $\underline{A}$ .

Now we consider a normal conoid  $\underline{A}$  on  $\underline{M}$ . We set  $\Delta = \tau^{-1}(\underline{A})$ . Let  $\mu: M^* \rightarrow M$  be the proper modification described in Theorem II. Then  $\Delta_*$  is a B-resolution of  $\underline{A}$  and that  $\Sigma = \varpi^{-1}(\Gamma)$  holds where  $\Gamma = \{p_0\}$  (as for the definitions of B-resolutions and  $\pi$  and  $\Gamma$ , see §1 in O. Suzuki [10] and also see Proposition (6.9)). Then we have the following

**Theorem (2.12).** Suppose that  $\underline{A}$  is a normal conoid. Then the followings are equivalent:

- (i)  $\underline{A}$  is a domain of holomorphy.
- (ii)  $\underline{A}$  is a  $*$ -complete domain.
- (iii)  $\underline{A}$  is a holomorphically  $*$ -convex domain.
- (iv)  $\underline{A}$  is an H-manifold with respect to  $\Delta_*$ ,  $[\Sigma]^{-n}$  and  $\Sigma$  (see Definition (3.3) in O. Suzuki [10]).
- (v)  $\underline{A}$  is an L-manifold with respect to  $\Delta_*$  and  $[\Sigma]^{-n}$  (see Definition (3.11) in O. Suzuki [10]).

*Proof.* (v) $\Rightarrow$ (iv). This is a direct consequence of Theorem 5 in O. Suzuki [10]. (iv) $\Rightarrow$ (iii). Setting  $\sigma = \tau \circ \mu$ , we see that  $\sigma(\Sigma) = p_0$ . Let  $\underline{K}$  be a compact set in  $\underline{A}$ . In view of  $\Delta_* - \Sigma \cong \underline{A}$ ,  $K = \sigma^{-1}(\underline{K})$  is also a compact set in  $\Delta_*$ . By  $\mathcal{O}_{(\Sigma, n)}(\Delta_*)$  we denote the subalgebra of holomorphic functions which are obtained from holomorphic sections  $H^0(\Delta_*, \mathcal{O}([\Sigma]^{-n}))$  by multiplying the defining equations of  $[\Sigma]^n$ . The convex hull of  $K$  with respect to this subalgebra is denoted by  $\hat{K}_{(n)}$ . Then we see that  $\hat{K}_{(n)} - \Sigma \in \Delta_*$  (see (3.12) in O. Suzuki [10]). For  $f \in \mathcal{O}_{(\Sigma, n)}(\Delta_*)$ ,

$\sigma^{-1}(f) \in \mathcal{O}(\underline{A})$  and  $\{\sigma^{-1}(f)\}$  make a certain subalgebra of  $\mathcal{O}(\underline{A})$ . The convex hull of  $K$  with respect to this subalgebra is nothing but  $\sigma(\hat{K}_{(n)})$ , which is  $*$ -compact. Hence  $\hat{K}$  is also  $*$ -compact. (iii) $\Rightarrow$ (ii). Let  $\underline{K}$  be a compact set in  $\underline{A}$ . For  $q \in \underline{A} - \hat{K}$ , there exists a holomorphic function  $f \in \mathcal{O}(\underline{A})$  such that  $|f(q)| > \|f\|_K$ . This holds on a small neighborhood of  $q$ . Now fix an arbitrary constant  $\delta$  ( $\delta > 0$ ). Then there exist holomorphic functions  $f_1, f_2, \dots, f_r$  on  $\underline{A}$  satisfying the following condition: Let

$$\tilde{\underline{A}} = \{|f_1| < \varepsilon_1, |f_2| < \varepsilon_2, \dots, |f_r| < \varepsilon_r\}.$$

Then we have

$$\hat{K} - V_\delta(p_0) \subset \tilde{\underline{A}} - V(p_0) \in \underline{A}$$

$$\text{and } \|f_j\|_K < 1 \text{ and } \varepsilon_j > 1 \quad (j=1, 2, \dots, r).$$

Now take positive constants  $\varepsilon$  and  $l$  and compact sets  $K$  and  $\tilde{K}$ . Then we can find holomorphic functions  $g_1, g_2, \dots, g_s$  so that

$$(2.13) \quad \varphi = \sum_{j=1}^s |g_j|^2$$

satisfies

$$(2.14) \quad \|\varphi\|_K < \varepsilon \text{ and } \varphi(q) > 1 \quad \text{for } q \in \tilde{K} - \tilde{\underline{A}}.$$

Let  $\{\delta_v\}$  be a sequence of positive numbers with  $\delta_v \rightarrow 0$  and let  $\underline{K}_1$  be a compact set in  $\underline{A}$ . We make an analytic polyhedron  $\tilde{\underline{A}}_1$  for  $(\underline{K}_1, V_{\delta_1}(p_0))$ . Let  $\underline{A}_1^* = \tilde{\underline{A}}_1 - V_{\delta_1}(p_0)$  and let  $\underline{K}_2$  be a compact set with  $\underline{A}_1^* \subset \underline{K}_2$ . Next we make  $\tilde{\underline{A}}_2$  for  $(\underline{K}_2, V_{\delta_2}(p_0))$ . By repeating this process we have a compact exhaustion  $\{\underline{A}_j^*\}$  of  $\underline{A}$ . Choose  $\varepsilon_j$  and  $l_j$  ( $j=1, 2, \dots$ ) with  $\sum \varepsilon_j < \infty$  and  $l_j \rightarrow \infty$  ( $j \rightarrow \infty$ ). For each  $j$  we construct  $\varphi_j$  as in (2.13) which satisfies (2.14) for  $K = \underline{K}_j, \tilde{K} = \underline{A}_{j+1}^*, \varepsilon = \varepsilon_j$  and  $l = l_j$ . Then

$$\varphi = \sum_{j=1}^{\infty} \varphi_j$$

is a  $*$ -complete pseudoconvex function on  $\underline{A}$ . (ii) $\Rightarrow$ (i). By Theorems II, III, IV, it is sufficient to show that  $\Delta = \tau^{-1}(\underline{A})$  is a pseudoconvex domain. For any point  $q \in \partial\Delta - A$  and for any sequence  $\{q_n\}$  with  $q_n \rightarrow q$

we see that  $\tau^*\varphi(q_n) \rightarrow \infty$ . So  $\Delta$  is pseudoconvex at  $\partial\Delta - A$ . Now we prove that  $\Delta$  is pseudoconvex at every boundary point on  $A$ . Take a point  $q \in A$ . We assume that  $q \in V_\lambda$ . By assumption,  $\Delta \cap H = \emptyset$ . Choose a small neighborhood  $U(q)$  and consider the following pseudoconvex function

$$\phi = 1/|\eta_\lambda|^2 + \tau^*\varphi \text{ on } U(q).$$

Then we see that for any point  $p \in U(q) \cap A$  and any sequence  $\{p_n\}$  with  $p_n \in \Delta$  and  $p_n \rightarrow p$ , we see that  $\phi(p_n) \rightarrow \infty$ , which implies that  $\Delta$  is pseudoconvex at  $p$ . Finally we show that (i) implies (v). If  $\underline{\Delta}$  is a domain of holomorphy, then  $\Delta$  is pseudoconvex by Proposition (1.9). Then by Theorems II, III, IV, we see that  $\underline{\Delta}$  is an L-manifold.

We finish this section with giving some examples of L-manifolds. The first ones are as follows:

**Proposition (2.15).** *Every  $\underline{\Delta}_\delta(f, \varepsilon)$  is an L-manifold and is a domain of holomorphy for every pair of  $\delta$  and  $\varepsilon$ .*

*Proof.* By the construction of  $\underline{\Delta}_\delta(f, \varepsilon)$ , we see that it is a weakly  $*$ -complete domain. Also by (2.7), it is a normal conoid. Then by Theorem (2.12), we prove the assertion.

Secondly we are concerned with some examples of simple domains which are explicitly expressed on  $\underline{M}$ . For this we assume that  $\underline{M}$  is nothing but the Remmert reduction of  $M$ , i.e.,  $\underline{M} = \text{Spec } \mathcal{O}(M)$ . Then  $\tau$  is expressed as follows:

$$\tau = (f_1, f_2, \dots, f_N): M \longrightarrow \underline{M} \subset \mathbb{C}^N,$$

where 
$$f_j = \varphi_\lambda^{(j)}(z_\lambda) \zeta_\lambda^{n_j} \eta_\lambda^{m_j} \quad (j=1, 2, \dots, N)$$

and  $n_j$  and  $m_j$  are non-negative integers. We assume that  $n_j \neq 0$  for  $j=1, 2, \dots, r$ . For a sufficiently large  $n$ ,  $H$  is expressed as

$$H: \{h_j = 0\},$$

where 
$$h_j = \phi_\lambda^{(j)}(z_\lambda) \eta_\lambda^n \quad (j=1, 2, \dots, s).$$

We define  $\underline{h}_j$  by  $\underline{h}_j = \tau^*(h_j)$ . Let  $\varphi_1(x_1, x_2, \dots, x_N)$  and  $\varphi_2(y_1, y_2, \dots, y_s)$

be two polynomials with positive coefficients satisfying the following conditions: (1)  $\varphi_1(0, 0, \dots, 0) = 0$  and (2)  $\varphi_2$  is a homogeneous polynomial. Letting

$$\underline{\Phi} = \varphi_1(|z_1|^2, |z_2|^2, \dots, |z_N|^2) / \varphi_2(|\underline{h}_1|^2, |\underline{h}_2|^2, \dots, |\underline{h}_s|^2),$$

we set

$$\underline{\Delta}_{\delta, \varepsilon} = \{ \underline{\Phi} < \varepsilon \} \cap \underline{V}_{\delta}.$$

The following proposition shows that the concept of simple domains contains many examples:

**Proposition (2.16).** *If  $p_0 \in \partial \underline{\Delta}_{\delta, \varepsilon}$ ,  $\underline{\Delta}_{\delta, \varepsilon}$  is a simple domain.*

Proof is easy and may be omitted. Choosing suitable  $\varphi_1, \varphi_2$ , we can construct simple domains which are domains of holomorphy.

**Proposition (2.17).** *If  $\tau^*(\underline{\Phi}) = \Phi$  is a pseudoconvex function on  $M - H$ , then  $\underline{\Delta}_{\delta, \varepsilon}$  is a domain of holomorphy if it is a normal conoid.*

This follows from Theorem (2.12), (ii).

By using this proposition, we construct simple domains which are domains of holomorphy with the explicit representations:

**Proposition (2.18).** *Let  $d$  (resp.  $e$ ) be the l.c.m of  $n_j$  (resp.  $m_j$ ). Set  $\underline{\Phi} = \varphi_1 / \varphi_2$  with*

$$\begin{aligned} \varphi_1 &= \varphi'_1(|z_1^2|^{d_1}, |z_2^2|^{d_2}, \dots, |z_r^2|^{d_r})^m \\ &+ \varphi''_1(|z_{r+1}^2|^{e_{r+1}}, \dots, |z_N^2|^{e_N})^k, \end{aligned}$$

where  $\varphi'_1$  and  $\varphi''_1$  are homogeneous polynomials of degree  $\tilde{d}$  and  $\tilde{e}$  respectively and  $d_j = d/n_j$  and  $e_j = e/m_j$ . Then simple domains defined by  $\underline{\Phi}$  are domains of holomorphy for a sufficiently large  $m$  and  $k$ .

*Proof.* Let

$$\tau^* \varphi'_1 = a_{\lambda} |\zeta_{\lambda}^2|^{d \cdot \tilde{d}} \quad \text{and} \quad \tau^* \varphi''_1 = b_{\lambda} |\eta_{\lambda}^2|^{e \cdot \tilde{e}}.$$

Then we get negative metrics  $\{a_\lambda\}$  and  $\{b_\lambda\}$  of  $[S]^d$  and  $[H]^e$  respectively, where  $S = \{\zeta_\lambda = 0\}$ . Then making  $m$  and  $k$  large, we see that  $\tau^*\underline{\phi}$  is a pseudoconvex function on  $M-H$ . We can prove that  $\underline{\Delta}_{\delta,\varepsilon}$  is a normal conoid as in the proof of Proposition (2.7).

### §3. Lemmas on Pseudoconvex Domains on a Complex Manifold

In this section,  $\underline{M}$  is assumed to be a Stein space with an isolated singularity and the condition (A) is not assumed on  $\underline{M}$  without mentioning it. Every domain  $\underline{A}$  on  $\underline{M}$  is assumed to be a domain of holomorphy. The main difficulties in the proofs of Theorems stated in §1 lie in the proof of (1) in Theorem II. The purpose of this section is to prepare a lemma (see Lemma (3.5)) which is an essential step in its proof. First we state the following lemma concerning pseudoconvex domains on a complex manifold, which is due to A. Takeuchi [11].

**Lemma (3.1).** *Let  $M$  be a complex manifold with a real analytic kähler metric and let  $D$  be a pseudoconvex domain on  $M$ . For two points  $p$  and  $q$ , we denote the distance between  $p$  and  $q$  by  $d(p, q)$  and we set*

$$d(p) = \inf_{q \in \partial D} d(p, q) \quad \text{and} \quad \varphi(p) = -\log d(p) \quad \text{for } p \in D.$$

*Then  $\varphi(p)$  is a complete function and the infimum of the eigen values of the Hessian of  $\varphi$  on  $D$  is bounded below by a real constant  $\rho$ , where  $\rho$  is determined only by  $D$ .*

The isolated singularity of  $\underline{M}$  is denoted by  $p_0$ . Then we have the following

**Proposition (3.2).** *If  $p_0 \notin \partial \underline{A}$ , then  $\underline{A}$  is Stein.*

*Proof.* We consider only the case where  $p_0 \in \underline{A}$ . The proof for the case where  $p_0 \notin \underline{A}$  is similar. An imbedding of  $\underline{M}$  in  $\mathbf{C}^N$  is given, which is denoted by  $\iota: \underline{M} \rightarrow \mathbf{C}^N$ . Restricting the canonical kähler metric of  $\mathbf{C}^N$  to  $\underline{M} - \{p_0\}$ , we get a real analytic kähler metric on  $\underline{M} - \{p_0\}$ . As (1.1) we get an  $s$ -pseudoconvex function  $\omega$  on  $\underline{M}$ . Because  $\underline{A}$  is an domain of

holomorphy and  $p_0 \notin \underline{A}$ ,  $\underline{A}$  is pseudoconvex. Then by Lemma (3.1) we get  $\varphi$ . Choosing a suitable convex increasing function  $\chi$ , we see that  $\varphi + \chi(\omega)$  becomes a complete  $s$ -pseudoconvex function on  $\underline{A}$ . By Theorem of A. Andreotti and R. Narasimhan [1], we see that  $\underline{A}$  is Stein.

**Corollary (3.3).** *If a domain of holomorphy  $\underline{A}$  is not Stein, then  $p_0$  must be contained in  $\partial \underline{A}$ .*

Here we consider the  $\Delta$  on  $M$  treated in §1. By Proposition (1.9),  $\Delta$  is a pseudoconvex domain. Because  $[S]^{-1}$  is positive, we can choose a real analytic kähler metric on  $M$ . By using Lemma (3.1) we can prove the following

**Proposition (3.4).** *For any neighborhood  $V$  of  $S$ , there exists a function  $\varphi$  on  $\Delta$  such that (1)  $\varphi$  is of  $C^\infty$ -class, (2)  $\varphi$  is  $s$ -pseudoconvex on  $\Delta - V$  and for any boundary point  $q \in \partial \Delta - V$  and for any sequence  $\{q_n\}$ ,  $q_n \in \Delta$  with  $q_n \rightarrow q$ ,  $\varphi(q_n) \rightarrow \infty$ .*

Here we remark on the analytic set  $\underline{H}$ :

*Remark.* If  $\underline{A}$  is contained in  $\underline{M} - \underline{H}$ , where  $\underline{H}$  is a Cartier divisor in  $\underline{M}$  through  $p_0$ , then  $\underline{A}$  is Stein.

The rest of this section is devoted to show the following lemma:

**Lemma (3.5).** *Let  $M$  and  $S$  be a complex manifold with a real analytic kähler metric and a divisor on  $M$  respectively. Let  $D$  be a domain in  $M$ . Suppose that  $D$  satisfies the following three conditions. Then we see that  $D$  is a weakly 1-complete manifold.*

- (i)  $D \cap S \neq \emptyset$  holds and  $[S]^{-1}$  is positive on  $M$ .
- (ii)  $D$  is a pseudoconvex domain whose boundary is a real one codimensional submanifold of  $C^\infty$ -class except  $S \cap \partial D$ .
- (iii) There exists an open set  $\Omega$  ( $\Omega \not\supseteq D$ ) such that (1)  $D \cap S = \Omega \cap S$  and (2) there exist a pseudoconvex function  $\eta$  on  $\Omega$  and a neighborhood  $V$  of  $S \cap \bar{D}$  which satisfy the following: For every point  $p \in \partial \Omega \cap V$  and for any  $p_n \in \Omega \cap V$  with  $p_n \rightarrow p$ ,  $\eta(p_n) \rightarrow \infty$ .

The proof of this lemma is very complicated. So we separate the proof into four steps.

(The first step). We fix a local coordinate covering  $\mathfrak{U}=\{U_\lambda\}$  of  $M$  and by  $\zeta_\lambda=0$  we denote the defining equation of  $S$  on  $U_\lambda$  with  $U_\lambda \cap S \neq \emptyset$ . In terms of  $\mathfrak{U}$ , we denote the positive metric of  $[S]^{-1}$  by  $\{a_\lambda\}$ . Then we obtain a pseudoconvex function on  $M$  which is an  $s$ -pseudoconvex function on  $M-S$  and a neighborhood system of  $S$  in  $\bar{D}$ :

$$h = a_\lambda^{-1} \cdot |\zeta_\lambda|^2,$$

$$V_\varepsilon \text{ where } V_\varepsilon = \{h < \varepsilon\}.$$

Then we have the following

**Proposition (3.6).** *Let  $K$  be an arbitrary compact set in  $D$ . Then there exists a domain  $\Delta$  in  $D$  with the following properties: (1)  $K \subset \Delta \Subset D$  and (2)  $\Delta$  is a weakly 1-complete manifold.*

*Proof.* First we choose a positive constant  $R$  sufficiently large so that  $K \subset \{\eta < R\}$ . Next making  $\varepsilon$  so small that we may assume that any connected component of  $\{\eta < R\} \cap V_\varepsilon$  contains exactly one of the connected components of  $\{\eta < R\} \cap S$  and

$$\{\eta = R\} \cap \partial D \cap V_\varepsilon = \emptyset.$$

If we choose  $\tilde{\varepsilon}$  with  $\tilde{\varepsilon} < \varepsilon$ , the above condition is also satisfied for  $\tilde{\varepsilon}$ . For such a fixed  $\varepsilon$ , we choose  $\varepsilon'$  and  $\varepsilon''$  with  $0 < \varepsilon'' < \varepsilon' < \varepsilon$  and choose a  $C^\infty$ -function  $\rho_\varepsilon$  ( $0 \leq \rho_\varepsilon \leq 1$ ) with the following property:

$$\rho_\varepsilon = \begin{cases} 1 & \text{on } M - V_{\varepsilon'} \\ 0 & \text{on } V_{\varepsilon''}. \end{cases}$$

The function on  $D$  which is obtained by Lemma (3.1) is denoted by  $\varphi$ . We set

$$\varphi'_\varepsilon = \varphi \cdot \rho_\varepsilon.$$

We may assume that  $\varphi'_\varepsilon$  is a function of  $C^\infty$ -class. By Lemma (3.1) there exists a real constant  $c$  such that the infimum of the eigen values



of the hessian of  $\varphi'_\varepsilon$  on  $D \cap \{\eta < R\}$  is bounded below by  $c$ . We write this implication as  $W(\varphi'_\varepsilon) \geq c$  on  $D \cap \{\eta < R\}$ . So by choosing a suitable convex increasing function  $\chi$  and referring to  $\varphi'_\varepsilon = 0$  on  $V_{\varepsilon''}$ , we may assume that

$$(3.7) \quad \varphi_\varepsilon = \varphi'_\varepsilon + \chi(h)$$

is pseudoconvex on  $D \cap \{\eta < R\}$  and  $s$ -pseudoconvex except  $S$ . We fix such a  $\chi$ . We note that (3.7) is satisfied for  $\tilde{\chi}(t)$  with  $\tilde{\chi}(t) \gg \chi(t)$ , where  $\tilde{\chi}(t) \gg \chi(t)$  implies that  $\tilde{\chi}(t) \geq \chi(t)$ ,  $\tilde{\chi}'(t) \geq \chi'(t)$  and  $\tilde{\chi}''(t) \geq \chi''(t)$ . Because  $D \subsetneq \Omega$ , we see that  $\{\eta = R\} \cap \partial D \neq \emptyset$  for a large  $R$ . Moreover, making  $R^*$  sufficiently large, we have

$$(3.8) \quad \{\varphi_\varepsilon = R^*\} \cap \{\eta = R\} \cap V_\varepsilon = \emptyset.$$

This holds for  $\tilde{R}^*$  with  $\tilde{R}^* > R^*$ . So choose  $R^*$  with  $R^* > \tilde{R}^*$ , where  $\tilde{R}^* = \max_{p \in K} \varphi_\varepsilon(p)$  and define

$$\begin{aligned} \Delta &= \{\eta < R\} \cap \{\varphi_\varepsilon < R^*\}, \\ \phi &= 1/(1 - \varphi_\varepsilon/R^*) + 1/(1 - \eta/R). \end{aligned}$$

Then (1) and (2) are satisfied for  $\Delta$  and  $\phi$ .

(The second step). We shall construct a special compact exhaustion  $\{\Delta_j\}$  of  $D$ , where  $\Delta_j$  is of the form as constructed in the first step. Take a compact set  $K_1$ . As in Proposition (3.6) choosing  $\varepsilon_1, \rho_1, \chi_1, R_1$  and  $R_1^*$ , we make  $\Delta_1$ . Next we choose a compact set  $K_2$  with  $\Delta_1 \Subset K_2$ . In the same manner we have  $\Delta_2$ . Repeating this process we make  $\Delta_\nu$  by choosing  $\varepsilon_\nu, \rho_\nu, \chi_\nu, R_\nu$  and  $R_\nu^*$ . We may assume that  $R_\nu < R_{\nu+1}$  and  $R_\nu \rightarrow \infty (\nu \rightarrow \infty)$ . In the following we write  $V_\nu, \varphi'_\nu$  and  $\varphi_\nu$  for  $V_{\varepsilon_\nu}, \varphi'_{\varepsilon_\nu}$  and  $\varphi_{\varepsilon_\nu}$  respectively. We may assume that (1)  $\varepsilon_\nu > \varepsilon_{\nu+1}$  and  $\varepsilon_\nu \rightarrow 0 (\nu \rightarrow \infty)$ , (2)  $\chi_\nu \ll \chi_{\nu+1}$ , (3)  $\rho_\nu < \rho_{\nu+1}$  and (4)  $\varphi_\nu < \varphi_{\nu+1}$ . We define  $\sigma_{\nu+1}$  by

$$(3.9) \quad \begin{aligned} \sigma_{\nu+1} &= \sup_{p \in D} \{\chi_{\nu+1}(h) - \chi_{\nu-1}(h)\} \\ &\quad + \text{Max} \left[ \sup_{p \in \Delta_{\nu-1}} \{\varphi'_{\nu+1} - \varphi'_{\nu-1}\}, 0 \right]. \end{aligned}$$

Also we may assume that  $R_\nu^* < R_{\nu+1}^*$  and  $R_\nu^* \rightarrow \infty (\nu \rightarrow \infty)$  and

$$(3.10) \quad R_\nu^* > R_{\nu-1}^* + \sigma_{\nu+1} \quad (\nu \geq 2).$$

We fix such an exhaustion in the following.

(The third step). We set

$$\partial A_v = \delta_v \cup \delta'_v,$$

where  $\delta_v = \{\eta = R_v\} \cap \{\varphi_v \leq R_v^*\}$  and  $\delta'_v = \{\varphi_v = R_v^*\} \cap \{\eta \leq R_v\}$ . Let  $A(\delta_v)$  be open sets in  $M$  with  $\partial A(\delta_v) \cap \{\eta = R_v\} = \delta_v$ . By these domains we set

$$\Omega_v = (A_v - \bar{A}_{v-1}) \cap A(\delta_v) \quad (v \geq 2).$$

Also we set

$$(3.11) \quad \mu_{v+1} = \sup_{p \in A_{v-1} \cap \Omega_{v-1}} \varphi_{v+1} \quad (v \geq 2).$$

Then we have

**Proposition (3.12).**  $\mu_{v+1} \leq R_{v-1}^* + \sigma_{v+1} < R_v^*$ .

*Proof.* In view of  $\varphi_v = \varphi'_v + \chi_v$ , (3.9) and (3.11), the assertion is easily proved.

Now we prove the following

**Proposition (3.13).** For each  $v+1$ , there exist positive convex increasing functions  $\Psi_{v+1}^{(1)}$  and  $\Psi_{v+1}^{(2)}$  such that

$$\Phi_{v+1} = \Psi_{v+1}^{(1)}(1/(1 - \varphi_{v+1}/R_{v+1}^*)) + \Psi_{v+1}^{(2)}(1/(1 - \eta/R_{v+1}))$$

satisfies the following condition: Let

$$m_{v+1} = \inf_{p \in A_{v+1} - A_v} \Phi_{v+1}(p) \quad \text{and} \quad M_{v+1} = \sup_{p \in A_{v-1}} \Phi_{v+1}(p),$$

then we have

$$m_{v+1} > M_{v+1}.$$

**Corollary (3.14).** Let

$$A_{v+1}(m) = \{\Phi_{v+1} < m\}.$$

Then

$$\Delta_{v-1} \subset \Delta_{v+1}(M_{v+1}) \Subset \Delta_{v+1}(m_{v+1}) \subset \Delta_v \Subset \Delta_{v+1}.$$

This corollary is a direct consequence of Proposition (3.13).

*Proof.* We prove in the following steps:

(i) First we choose  $\Psi_{v+1}^{(2)}$  such that

$$\inf_{p \in \{\eta > R_v\}} \Phi_{v+1}(p) > \sup_{p \in \Delta_{v-1} \cap \Omega_{v-1}} \Phi_{v+1}(p).$$

(ii) Next we choose  $\Psi_{v+1}^{(1)}$  such that

$$\inf_{p \in \{\varphi_v > R^{v*}\}} \Phi_{v+1}(p) > \sup_{p \in \Delta_{v-1} \cap \Omega_{v-1}} \Phi_{v+1}(p).$$

(iii) Finally we prove  $m_{v+1} > M_{v+1}$ .

In what follows we set

$$\tilde{\Psi}_{v+1}^{(1)}(t) = \Psi_{v+1}^{(1)}(1/(1-t/R_{v+1}^*)) \quad \text{and} \quad \tilde{\Psi}_{v+1}^{(2)}(t) = \Psi_{v+1}^{(2)}(1/(1-t/R_{v+1})).$$

Proof of (i). We choose  $\Psi_{v+1}^{(1)}$  with the following condition:

$$(3.15) \quad \tilde{\Psi}_{v+1}^{(1)}(t) = 1/(1-t/R_{v+1}^*) \quad \text{for} \quad t \leq \mu_{v+1}.$$

In what follows  $\Psi_{v+1}^{(1)}$  is assumed to satisfy (3.15).

By using  $\tilde{\Psi}_{v+1}^{(1)}(t) \geq 0$ , we have

$$(3.16) \quad \inf_{p \in \{\eta > R_v\}} \Phi_{v+1} \geq \tilde{\Psi}_{v+1}^{(2)}(R_v).$$

On the other hand, we have

$$\sup_{p \in \Delta_{v-1} \cap \Omega_{v-1}} \Phi_{v+1} \leq \sup_{p \in \Delta_{v-1} \cap \Omega_{v-1}} \tilde{\Psi}_{v+1}^{(1)}(\varphi_{v+1}) + \sup_{p \in \Delta_{v-1} \cap \Omega_{v-1}} \tilde{\Psi}_{v+1}^{(2)}(\eta).$$

Because  $\eta(p) < R_{v-1}$  holds on  $\Delta_{v-1} \cap \Omega_{v-1}$ , so the second term can be estimated as follows:

$$\sup_{p \in \Delta_{v-1} \cap \Omega_{v-1}} \tilde{\Psi}_{v+1}^{(2)}(\eta) \leq \tilde{\Psi}_{v+1}^{(2)}(R_{v-1}).$$

By (3.11), we have

$$\sup_{p \in \Delta_{v-1} \cap \Omega_{v-1}} \tilde{\Psi}_{v+1}^{(1)}(\varphi_{v+1}) \leq K_{v+1},$$

where

$$(3.17) \quad K_{v+1} = 1/(1 - \mu_{v+1}/R_{v+1}^*).$$

We note that  $K_{v+1}$  does not depend on a choice of  $\Psi_{v+1}^{(1)}$ . Then we have

$$(3.18) \quad \sup_{p \in \Delta_{v-1} \cap \Omega_{v-1}} \Phi_{v+1} \leq K_{v+1} + \tilde{\Psi}_{v+1}^{(2)}(R_{v-1}).$$

We choose a constant  $M'_{v+1}$  with

$$(3.19) \quad M'_{v+1} > K_{v+1}.$$

For the proof of (i), from (3.16), (3.18) and (3.19), it is sufficient to choose  $\Psi_{v+1}^{(2)}$  such that

$$(3.20) \quad \tilde{\Psi}_{v+1}^{(2)}(R_v) > \tilde{\Psi}_{v+1}^{(2)}(R_{v-1}) + K_{v+1} + 2M'_{v+1}.$$

This is always possible. Moreover, we can choose it with the following additional condition:

$$(3.21) \quad \tilde{\Psi}_{v+1}^{(2)}(R_{v-1}) < M'_{v+1}.$$

We choose such  $\Psi_{v+1}^{(2)}$  and fix in the following.

Proof of (ii). Referring to  $\tilde{\Psi}_{v+1}^{(2)}(\eta) \geq 0$ , we see that

$$\inf_{p \in \{\varphi_v < R_v^*\}} \Phi_{v+1} \geq \inf_{p \in \{\varphi_v > R_v^*\}} \tilde{\Psi}_{v+1}^{(1)}(\varphi_{v+1}).$$

Owing to  $\varphi_{v+1} > \varphi_v$ , we have  $\tilde{\Psi}_{v+1}^{(1)}(\varphi_{v+1}) > \tilde{\Psi}_{v+1}^{(1)}(\varphi_v)$ . Because

$$\inf_{p \in \{\varphi_v > R_v^*\}} \tilde{\Psi}_{v+1}^{(1)}(\varphi_v) \geq \tilde{\Psi}_{v+1}^{(1)}(R_v^*),$$

we have

$$(3.22) \quad \inf_{p \in \{\varphi_v > R_v^*\}} \Phi_{v+1} \geq \tilde{\Psi}_{v+1}^{(1)}(R_v^*).$$

On the other hand we see that

$$(3.23) \quad \sup_{p \in \Delta_{v-1} - \Omega_{v-1}} \Phi_{v+1} \leq \sup_{p \in \Delta_{v-1} - \Omega_{v-1}} \tilde{\Psi}_{v+1}^{(1)}(\varphi_{v+1}) + \sup_{p \in \Delta_{v-1} - \Omega_{v-1}} \tilde{\Psi}_{v+1}^{(2)}(\eta).$$

Because  $\eta(p) < R_{v-1}$  for  $p \in \Delta_{v-1} - \Omega_{v-1}$ , the second term in the right-

hand-side of (3.23) is bounded above by  $\tilde{\Psi}_{v+1}^{(2)}(R_{v-1})$ . Since  $\varphi_{v+1} = \varphi_{v-1} + \chi_{v+1} - \chi_{v-1} + \varphi'_{v+1} - \varphi'_{v-1}$ , the first term in the right-hand side of (3.23) is bounded above by  $\tilde{\Psi}_{v+1}^{(1)}(\varphi_{v-1} + \sigma_{v+1})$  on  $\Delta_{v-1} - \Omega_{v-1}$ , where we use (3.9). Because  $\sup_{p \in \Delta_{v-1} - \Omega_{v-1}} \varphi_{v-1} = R_{v-1}^*$ , we obtain from (3.23)

$$(3.24) \quad \sup_{p \in \Delta_{v-1} - \Omega_{v-1}} \Phi_{v+1} \leq \tilde{\Psi}_{v+1}^{(1)}(R_{v-1}^* + \sigma_{v+1}) + \tilde{\Psi}_{v+1}^{(2)}(R_{v-1}).$$

For the proof of (ii), from (3.22) and (3.24), it is sufficient to choose  $\Psi_{v+1}^{(1)}$  such that

$$(3.25) \quad \tilde{\Psi}_{v+1}^{(1)}(R_{v-1}^* + \sigma_{v+1}) + \tilde{\Psi}_{v+1}^{(2)}(R_{v-1}) < \tilde{\Psi}_{v+1}^{(1)}(R_v^*).$$

From (3.21), it is sufficient to choose  $\Psi_{v+1}^{(1)}$  such that

$$(3.26) \quad \tilde{\Psi}_{v+1}^{(1)}(R_{v-1}^* + \sigma_{v+1}) + M'_{v+1} < \tilde{\Psi}_{v+1}^{(1)}(R_v^*).$$

This is always possible. Moreover, by Proposition (3.12) we can choose it with the following additional condition:

$$(3.27) \quad K_{v+1} < \tilde{\Psi}_{v+1}^{(1)}(R_{v-1}^* + \sigma_{v+1}) \leq M'_{v+1},$$

where we use (3.17) and (3.19). This completes the proof of (ii).

Proof of (iii). For this it is sufficient to show that  $\Phi_{v+1}$  which is chosen as above satisfies the following two conditions:

$$(3.28) \quad \inf_{p \in \{\varphi_v > R_v^*\}} \Phi_{v+1} > \sup_{p \in \Delta_{v-1} \cap \Omega_{v-1}} \Phi_{v+1}$$

and

$$(3.29) \quad \inf_{p \in \{\eta > R_v\}} \Phi_{v+1} > \sup_{p \in \Delta_{v-1} - \Omega_{v-1}} \Phi_{v+1}.$$

First we show (3.28). By (3.22) and (3.18), it is sufficient to show that

$$\tilde{\Psi}_{v+1}^{(1)}(R_v^*) > K_{v+1} + \tilde{\Psi}_{v+1}^{(2)}(R_{v-1}).$$

Referring to (3.21), it is sufficient to show that

$$\tilde{\Psi}_{v+1}^{(1)}(R_v^*) > K_{v+1} + M'_{v+1}.$$

This follows from (3.26) and (3.27). Next we show (3.29). By (3.16)

and (3.24) it is sufficient to show that

$$\tilde{\Psi}_{v+1}^{(2)}(R_v) > \tilde{\Psi}_{v+1}^{(1)}(R_{v-1}^* + \sigma_{v+1}) + \tilde{\Psi}_{v+1}^{(2)}(R_{v-1})$$

By (3.21) and (3.27), it is sufficient to show that

$$\tilde{\Psi}_{v+1}^{(2)}(R_v) > 2M'_{v+1}.$$

This follows from (3.20), which proves (iii). Thus we complete the proof of Proposition (3.13).

(The fourth step). Finally we prove that  $D$  is a weakly 1-complete manifold. We choose  $n$  such that both line bundles  $[S]^{-n}$  and  $[S]^{-n} \otimes K_M^{-1}$  are positive on  $\bar{D}$ . We set for  $\varphi = \{\varphi_\lambda\} \in H^0(D, \mathcal{O}([S]^{-n}))$

$$(3.30) \quad \|\varphi\|^2(p) = a_\lambda |\varphi_\lambda|^2 \quad \text{and} \quad \|\varphi\|_\Delta = \sup_{p \in \Delta} \|\varphi\|(p) \quad \text{for} \quad \Delta \subset D,$$

where  $\{a_\lambda\}$  denotes the positive metric of  $[S]^{-n}$ .

Let  $\{\Delta_v\}$  be a compact exhaustion of  $D$  which is constructed in the third step.

**Proposition (3.31).** *Let  $\{\delta_v\}$  and  $\{l_v\}$  be two sequences of positive numbers with  $\sum \delta_v < +\infty$  and  $l_v < l_{v+1}$ ,  $l_v \rightarrow \infty$  ( $v \rightarrow \infty$ ). Let  $\{\Omega_v\}$  be a compact exhaustion of  $D$  such that  $\bar{\Delta}_{v-1} \subset \Omega_v$ . If there exists a system of functions  $\{\varphi_v\}$  satisfying the following conditions (1) and (2), then  $D$  is a weakly 1-complete manifold:*

- (1)  $\varphi_v$  is a non-negative pseudoconvex function of  $C^\infty$ -class on  $D$ ,
- (2)  $\|\varphi_{v+1}\|_{\Delta_{v-1}} < \delta_v$  and  $\varphi_{v+1}(p) \geq l_v$  for  $p \in \Omega_{v+1} - \Omega_v$ .

The proof is easy.

Now we construct such  $\{\varphi_v\}$ . For this we prepare the following

**Proposition (3.32).** (1) *For each  $v$ ,  $\Delta_v$  is  $[S]^{-n}$ -convex except  $S$  (see Definition (3.2) in O. Suzuki [10]).* (2) *Let  $\hat{\Delta}_v$  denotes the convex-hull of  $\Delta_v$  in  $\Delta_{v+k}$  ( $k \geq 1$ ) and let  $\hat{\Delta}_v^* = \overline{\hat{\Delta}_v - S}$ . Then*

$$\hat{\Delta}_v^* \equiv \Delta_{v+k}(m_{v+k}^{(v)}), \quad \text{where} \quad m_{v+k}^{(v)} = \sup_{p \in \Delta_v} \Phi_{v+k}.$$

This is a direct consequence of Theorem 5 in O. Suzuki [10].

Let  $\{\gamma_v\}$  and  $\{\omega_v\}$  be two positive sequences such that

$$\Delta_{v+1}(M_{v+1} + \gamma_{v+1}) \in \Delta_{v+1}(m_{v+1} - \omega_{v+1}) \quad (v \geq 2).$$

Set

$$\Omega_v = \bigcup_{\mu=1}^v \Omega'_{\mu+1} \cup \Delta_2,$$

where

$$\Omega'_{\mu+1} = \Delta_{\mu+2}(m_{\mu+2} - \omega_{\mu+2}) - \Delta_{\mu+1}(M_{\mu+1} + \gamma_{\mu+1}).$$

Then  $\{\Omega_v\}$  satisfies the condition in Proposition (3.31). First we show that for a fixed  $\delta$  the following holds: For a point  $p \in \bar{\Omega}'_{v+1} - V_\delta$ , we can find a holomorphic section  $\varphi_{v+1} \in H^0(D, \mathcal{O}([S]^{-n}))$  such that

$$(3.33) \quad \|\varphi_{v+1}\|_{\Delta_{v-1}} < \delta_{v+1}/C_0 \quad \text{and} \quad \|\varphi_{v+1}\|(p) \geq l_{v+1}D_{0,v}^{(\delta)},$$

where  $C_0 = \sup_{p \in D} h$  and  $D_{0,v}^{(\delta)} = \inf_{p \in \bar{\Omega}'_{v+1} - V_\delta} h$ .

This can be proved as follows: By using  $\Omega'_{v+1} \cap \Delta_{v-1} = \emptyset$  and by Proposition (3.32), we can find a section  $\varphi'_{v+1} \in H^0(\Delta_{v+1}, \mathcal{O}([S]^{-n}))$  for a sufficiently small constant  $\varepsilon$  such that

$$\|\varphi'_{v+1}\|_{\Delta_{v-1}} < \delta_{v+1}/C_0 - \varepsilon \quad \text{and} \quad \|\varphi'_{v+1}\|(p) \geq l_{v+1}D_{0,v}^{(\delta)} + \varepsilon.$$

By Corollary (3.14), we see that

$$\Delta_v \subset \Delta_{v+2}(M_{v+2}) \in \Delta_{v+2}(m_{v+2} - \omega_{v+2}) \subset \Delta_{v+1} \in \Delta_{v+2}.$$

Then by the Theorem of H. Kazama [6], for any  $\varepsilon_{v+1}$  we can find  $\varphi'_{v+2} \in H^0(\Delta_{v+2}, \mathcal{O}([S]^{-n}))$  satisfying

$$\|\varphi'_{v+2} - \varphi'_{v+1}\|_{\overline{\Delta_{v+2}(m_{v+2} - \omega_{v+2})}} < \varepsilon_{v+1}.$$

By Corollary (3.14) again, we see that

$$\Delta_{v+1} \subset \Delta_{v+3}(M_{v+3}) \in \Delta_{v+3}(m_{v+3} - \omega_{v+3}) \in \Delta_{v+2} \in \Delta_{v+3}.$$

Then by the Theorem of H. Kazama again, for any  $\varepsilon_{v+2}$  we have a section  $\varphi'_{v+3} \in H^0(\Delta_{v+3}, \mathcal{O}([S]^{-n}))$  such that

$$\|\varphi'_{v+3} - \varphi'_{v+2}\|_{\overline{\Delta_{v+3}(m_{v+3} - \omega_{v+3})}} < \varepsilon_{v+2}.$$

Repeating this process, we can find  $\varphi_{v+k} \in H^0(\Delta_{v+k}, \mathcal{O}([S]^{-n}))$  such that

$$\|\varphi'_{v+k} - \varphi'_{v+k-1}\|_{\overline{\Delta_{v+k}(m_{v+k}-\omega_{v+k})}} < \varepsilon_{v+k-1} \quad (k=2, 3, \dots).$$

Choose  $\{\varepsilon_v\}$  with  $\sum \varepsilon_v < \varepsilon$  and set

$$\varphi_{v+1} = \lim_{k \rightarrow \infty} \varphi'_{v+k}.$$

Then we have a holomorphic section  $\varphi_{v+1} \in H^0(D, \mathcal{O}([S]^{-n}))$  satisfying

$$\|\varphi_{v+1} - \varphi'_{v+1}\|_{\overline{\Delta_{v+1}(m_{v+1}-\omega_{v+1})}} < \varepsilon.$$

Therefore  $\varphi_{v+1}$  satisfies (3.33). Referring to (3.30), we see that

$$\|\varphi_\lambda^{(v+1)} \cdot \zeta_\lambda^\eta\|_{\Delta_{v-1}} < \delta_v \quad \text{and} \quad |\varphi_\lambda^{(v+1)} \zeta_\lambda^\eta|(p) \geq l_v,$$

where  $\varphi_{v+1} = \{\varphi_\lambda^{(v+1)}\}$ .

Thus choosing sections of  $[S]^{-n}$  on  $D$ ,  $\varphi_{v+1}^{(1)}, \varphi_{v+1}^{(2)}, \dots, \varphi_{v+1}^{(r_{v+1})}$  and sufficiently large  $m$ , we see that

$$\phi_{v+1} = \sum_{l=1}^{r_{v+1}} |\varphi_{v+1}^{(l)} \cdot \zeta_\lambda^\eta|^{2m}$$

satisfies

$$(3.34) \quad \|\phi_{v+1}\|_{\Delta_{v-1}} < \delta_v \quad \text{and} \quad \phi_{v+1}(p) \geq l_v \quad \text{for} \quad p \in \Omega'_{v+1} - V_\delta.$$

By using the construction of  $\{\Delta_v\}$ , we can find a real analytic function  $\Xi_{v+1}(t)$  for a small  $\delta$  and for every  $v$  with the following properties:

$$\|\Xi_{v+1}(\eta)\|_{\Delta_{v-1}} < \delta_v \quad \text{and} \quad \Xi_{v+1}(\eta)(p) \geq l_v \quad \text{for} \quad p \in \Omega'_{v+1} \cap V_\delta.$$

This can be proved as follows: Set

$$\eta_m = \inf_{p \in \Omega'_{v+1} \cap S} \eta \quad \text{and} \quad \eta_M = \sup_{p \in \Delta_{v-1} \cap S} \eta.$$

By using  $\Phi_{v+1}|_S = 1 + \tilde{\Psi}_{v+1}^{(2)}(\eta)$  and noting that  $\tilde{\Psi}_{v+1}^{(2)}$  is a convex increasing function and that  $\Omega'_{v+1} \cap \Delta_{v+1}(M_{v+1}) = \emptyset$ , we see that  $\eta_m > \eta_M$ . So choosing a real analytic convex increasing function  $\Xi_{v+1}(t)$ , we can satisfy

$$\Xi_{v+1}(t) \geq l_v \quad \text{for} \quad t \geq \eta_m \quad \text{and} \quad \Xi_{v+1}(t) \leq \delta_v \quad \text{for} \quad t \leq \eta_M.$$

Because  $\Delta_{v-1} \subset \{\eta < \eta_M\}$ , we see that  $\Xi_{v+1}(\eta) \leq \delta_v$  for  $p \in \Delta_{v-1}$ . Then



making  $\delta$  smaller, we may assume that

$$\begin{aligned} \Xi_{v+1}(\eta) &\geq l_v && \text{for } p \in \Omega'_{v+1} \cap V_\delta, \\ \Xi_{v+1}(\eta) &\leq \delta_v && \text{for } p \in \Delta_{v-1}. \end{aligned}$$

Thus for this  $\delta$  we make  $\phi_{v+1}$  and set

$$\phi_{v+1}^* = \frac{1}{2}(\phi_{v+1} + \Xi_{v+1}(\eta)).$$

Then  $\{\phi_{v+1}^*\}$  satisfy the conditions (1) and (2) in Proposition (3.31). This proves that  $D$  is a weakly 1-complete manifold.

**§4. Some Propositions Concerning Monoidal Transforms**

In §5 we will use monoidal transforms repeatedly to resolve singularities of indeterminacy of characteristic functions (see §1). There we will consider the signs of certain kinds of line bundles in detail. For this we have to fix local coordinates on a complex manifold which is obtained by forming monoidal transforms.

Let  $M$  be a 3-dimensional complex manifold with the condition (A) (see Introduction) and let  $S$  and  $H$  be non-singular divisors in  $M$  which are defined by  $S = \{\zeta_\lambda = 0\}$  and  $H = \{\eta_\lambda = 0\}$  respectively. The condition (A) is stated as follows:

(A)<sub>(0)</sub>: Let  $\zeta_\lambda = f_{\lambda\mu} \zeta_\mu$  and  $\eta_\lambda = g_{\lambda\mu} \eta_\mu$ . Then

$$f_{\lambda\mu} = f_{\lambda\mu}(z_\mu) \quad \text{and} \quad g_{\lambda\mu} = g_{\lambda\mu}(z_\mu).$$

(B)<sub>(0)</sub>:  $[S]_{|A}$  and  $[H]_{|A}$  are negative complex line bundles.

We write

$$c_1([S]_{|A}^{-1}) = f \quad \text{and} \quad c_1([H]_{|A}^{-1}) = g.$$

Then  $f$  and  $g$  are positive integers. The following proposition is easy:

**Proposition (4.1).** (1) *If and only if  $l \cdot f \geq g$  holds, then  $[S]^{-1} \otimes [H]_{|A}$  admits a positive semi-definite metric  $\{a_\lambda\}$  and*

$$(4.2) \quad \varphi_{l,1} = a_\lambda(z_\lambda) |\zeta_\lambda^1|^2 / |\eta_\lambda|^2$$

is a pseudoconvex function on  $M-H$ . (2) If and only if  $f \geq k \cdot g$  holds,  $[S]^{-1} \otimes [H]_{|A}^k$  admits a positive semi-definite metric  $\{b_\lambda\}$  and

$$(4.3) \quad \varphi_{1,k} = b_\lambda(z_\lambda) |\zeta_\lambda|^2 / |\eta_\lambda^k|^2$$

is a pseudoconvex function on  $M-H$ .

From this we make the following

**Definition (4.4).** (i) The smallest integer  $\sigma$  ( $\sigma \geq 1$ ) satisfying  $\sigma \cdot f \geq g$  is called a  $\sigma$ -characteristic number of  $(S, H)$ . The obtained pseudoconvex function (4.2) is called a  $\sigma$ -characteristic function. Moreover, if  $\sigma \cdot f = g$  holds, then  $(S, H)$  is called a  $\sigma$ -complete pair. If not so, it is called a  $\sigma$ -incomplete pair. (ii) The largest integer  $\tau$  ( $\tau \geq 0$ ) satisfying  $f \geq \tau \cdot g$  is called  $\tau$ -characteristic number of  $(S, H)$ . The obtained pseudoconvex function (4.3) is called a  $\tau$ -characteristic function. Also  $\tau$ -complete pairs and  $\tau$ -incomplete pairs are defined.

In what follows we use the following notations:

For a complex line bundle  $E$ , we write  $E > 0$  (resp.  $E < 0$  or  $E = 0$ ) if  $c_1(E) > 0$  (resp.  $c_1(E) < 0$  or  $c_1(E) = 0$ ).

Now we form a monoidal transform  $Q_{(1)}: M_{(1)} \rightarrow M$  with center  $A$ . Let  $\mathbf{P}$  be a rational curve and  $V^{(1)}$  and  $V^{(2)}$  be a canonical covering of  $\mathbf{P}$  whose inhomogeneous coordinates are denoted by  $u^{(1)}$  and  $u^{(2)}$  respectively. We define a negative line bundle  $\tau: F \rightarrow \mathbf{P}$  by  $\xi^{(1)} = u^{(2)}$ ,  $\xi^{(2)}$ , where  $\tau^{-1}(V^{(i)}) = \{(u^{(i)}, \xi^{(i)}): |\xi^{(i)}| < +\infty\}$  ( $i=1, 2$ ). For each  $\lambda$ , we prepare a copy of  $F$ , which is denoted by  $F_\lambda$  whose local coordinates are denoted by  $u_{\lambda|1}^{(i)}, \xi_{\lambda|1}^{(i)}$  ( $i=1, 2$ ). Then  $M_{(1)}$  is identical with a complex manifold which is obtained by the following identification between  $\{U_{\lambda|1}^{(i)}\}$ , where  $U_{\lambda|1}^{(i)} = \tau^{-1}(V_{\lambda|1}^{(i)}) \times \{|z_\lambda| < \rho\}$  ( $i=1, 2$ ):

$$(4.5) \quad \begin{cases} \xi_{\lambda|1}^{(1)} = f_{\lambda\mu} \xi_{\mu|1}^{(1)} & \text{on } U_{\lambda|1}^{(1)} \cap U_{\mu|1}^{(1)}, \\ u_{\lambda|1}^{(1)} = g_{\lambda\mu} f_{\lambda\mu}^{-1} \cdot u_{\mu|1}^{(1)} \\ \xi_{\lambda|1}^{(2)} = g_{\lambda\mu} \xi_{\mu|1}^{(2)} & \text{on } U_{\lambda|1}^{(2)} \cap U_{\mu|1}^{(2)}, \\ u_{\lambda|1}^{(2)} = f_{\lambda\mu} g_{\lambda\mu}^{-1} u_{\mu|1}^{(2)} \end{cases}$$

$$\begin{cases} \xi_{\lambda|1}^{(1)} = u_{\lambda|1}^{(2)} \xi_{\lambda|1}^{(2)} \\ u_{\lambda|1}^{(1)} = u_{\lambda|1}^{(2)-1} \end{cases} \quad \text{on } U_{\lambda|1}^{(1)} \cap U_{\lambda|1}^{(2)}.$$

Then  $Q_{(1)}$  is expressed as

$$(4.6) \quad \begin{cases} \zeta_{\lambda} = \xi_{\lambda|1}^{(1)} \\ \eta_{\lambda} = u_{\lambda|1}^{(1)} \cdot \xi_{\lambda|1}^{(1)} \end{cases} \quad \text{on } U_{\lambda|1}^{(1)} \quad \text{and} \quad \begin{cases} \zeta_{\lambda} = u_{\lambda|1}^{(2)} \xi_{\lambda|1}^{(2)} \\ \eta_{\lambda} = \xi_{\lambda|1}^{(2)} \end{cases} \quad \text{on } U_{\lambda|1}^{(2)}.$$

Let  $\Sigma_{(1)} = \{\xi_{\lambda|1}^{(1)} = 0\} \cup \{\xi_{\lambda|1}^{(2)} = 0\}$ . Then we see that  $Q_{(1)}^{-1}(A) = \Sigma_{(1)}$  and  $Q_{(1)}: M_{(1)} - \Sigma_{(1)} \rightarrow M - A$  gives a biholomorphic mapping.

By using this expression, we consider resolutions of singularities of indeterminacy of functions in the following form:

$$\varphi_{k,t} = a_{\lambda}(z_{\lambda}) |\zeta_{\lambda}^k|^2 / |\eta_{\lambda}^t|^2.$$

First we consider

(I) The resolution of singularities of indeterminacy of  $\varphi_{m,1}$ .

We form the monoidal transform with center  $A$ ,  $Q_{(1)}: M_{(1)} \rightarrow M$ . Choosing a local coordinate covering  $\{U_{\lambda|1}^{(i)}\}$  on  $M_{(1)}$ , as above, we see that

$$Q_{(1)}^*(\varphi_{m,1}) = Q_{(1)}^*(a_{\lambda}) |\xi_{\lambda|1}^{(1)(m-1)}|^2 / |u_{\lambda|1}^{(1)}|^2 \quad \text{on } U_{\lambda|1}^{(1)}.$$

When  $m \geq 2$ , there remain singularities of indeterminacy on  $A_{(1)}$ , where  $A_{(1)} = \{\xi_{\lambda|1}^{(1)} = 0\} \cap \{u_{\lambda|1}^{(1)} = 0\}$ . We form monoidal transform with center  $A_{(1)}$  again, which is denoted by  $Q_{(2)}: M_{(2)} \rightarrow M_{(1)}$ . Replacing  $\zeta_{\lambda}$  and  $\eta_{\lambda}$  by  $\xi_{\lambda|1}^{(1)}$  and  $u_{\lambda|1}^{(1)}$  respectively in the previous construction, we make a local coordinate covering  $\{U_{\lambda|2}^{(i)}\}$  of  $M_{(2)}$ . Local coordinates  $z_{\lambda}$ ,  $\xi_{\lambda|2}^{(i)}$ ,  $u_{\lambda|2}^{(i)}$  are determined so that  $Q_{(2)}$  is expressed as  $\xi_{\lambda|1}^{(1)} = \xi_{\lambda|2}^{(1)}$  and  $u_{\lambda|1}^{(1)} = \xi_{\lambda|2}^{(1)} u_{\lambda|2}^{(1)}$  on  $U_{\lambda|2}^{(1)}$ . Then the identification rule is given in the similar manner as (4.5). We see that

$$Q_{(2)}^*(a_{\lambda}) |\xi_{\lambda|2}^{(1)(m-1)}|^2 / |u_{\lambda|2}^{(1)}|^2 \quad \text{on } U_{\lambda|2}^{(1)},$$

where  $Q^{(2)} = Q_{(2)} \circ Q_{(1)}$ .

Repeating this process  $j$ -times, we obtain a complex manifold  $M_{(j)}$  and a local coordinate covering  $\{U_{\lambda|j}^{(i)}\}$  of  $M_{(j)}$  whose local coordinates are denoted by  $z_{\lambda}$ ,  $\xi_{\lambda|j}^{(i)}$ ,  $u_{\lambda|j}^{(i)}$  in the similar manner. The identification rule is given as follows:

$$(4.7) \quad \begin{cases} \xi_{\lambda|j}^{(1)} = f_{\lambda\mu} \xi_{\mu|j}^{(1)} & \text{on } U_{\lambda|j}^{(1)} \cap U_{\mu|j}^{(1)}, \\ u_{\lambda|j}^{(1)} = g_{\lambda\mu} f_{\lambda\mu}^{-j} u_{\mu|j}^{(1)} \\ \xi_{\lambda|j}^{(2)} = g_{\lambda\mu} f_{\lambda\mu}^{-(j-1)} \xi_{\mu|j}^{(2)} & \text{on } U_{\lambda|j}^{(2)} \cap U_{\mu|j}^{(2)}, \\ u_{\lambda|j}^{(2)} = f_{\lambda\mu}^j g_{\lambda\mu}^{-1} u_{\mu|j}^{(2)} \\ u_{\lambda|j}^{(1)} = u_{\lambda|j}^{(2)-1} & \text{on } U_{\lambda|j}^{(1)} \cap U_{\lambda|j}^{(2)} \\ \xi_{\lambda|j}^{(1)} = u_{\lambda|j}^{(2)} \cdot \xi_{\lambda|j}^{(2)} \end{cases}$$

Let  $Q^{(j)} = Q_{(j)} \circ Q_{(j-1)} \circ \dots \circ Q_{(1)}$ . Then we see that

$$\begin{cases} \zeta_{\lambda} = \xi_{\lambda|j}^{(1)} \\ \eta_{\lambda} = u_{\lambda|j}^{(1)} (\xi_{\lambda|j}^{(1)})^j \end{cases} \quad \text{on } U_{\lambda|j}^{(1)}$$

and

$$Q^{(j)*}(\varphi_{m,1}) = Q^{(j)*}(a_{\lambda}) |\xi_{\lambda|j}^{(1)(m-j)}|^2 / |u_{\lambda|j}^{(1)}|^2 \quad \text{on } U_{\lambda|j}^{(1)}.$$

Finally when  $j = m$ , we obtain

$$(4.8) \quad Q^{(m)*}(\varphi_{m,1}) = Q^{(m)*}(a_{\lambda}) |u_{\lambda|m}^{(1)}|^{-2} \quad \text{on } U_{\lambda|m}^{(1)},$$

which is a desired resolution.

Set

$$\begin{aligned} L_0 &= \{u_{\lambda|1}^{(2)} = 0\}, \\ L_j &= \{\xi_{\lambda|j}^{(2)} = 0\} \cup \{u_{\lambda|j+1}^{(2)} = 0\} \quad (j = 1, 2, \dots, m-1), \\ L_m &= \{\xi_{\lambda|m}^{(1)} = 0\} \cup \{\xi_{\lambda|m}^{(2)} = 0\}, \\ \Sigma_{(m)} &= L_1 \cup L_2 \cup \dots \cup L_m. \end{aligned}$$

Then  $Q^{(m)-1}(A) = \Sigma_{(m)}$ . Consider a complex line bundle  $[L_{j-1}]$  on  $L_j \cap L_{j-1}$ , which is denoted by  $E_{j-1}$ . Then

$$(4.9) \quad E_{j-1} \cong [H]^{-1} \otimes [S]_{|A}.$$

Also we consider another complex line bundle  $[L_j]$  on  $L_{j-1} \cap L_j$ , which is denoted by  $F_j$ . Then

$$(4.10) \quad F_j \cong [S]^{-(j-1)} \otimes [H]_{|A}.$$

$\Sigma_{(m)}$  is expressed on  $Q^{(m)-1}(\pi^{-1}(p))$  as follows, where  $p \in A$  and  $\pi: M \rightarrow A$ :

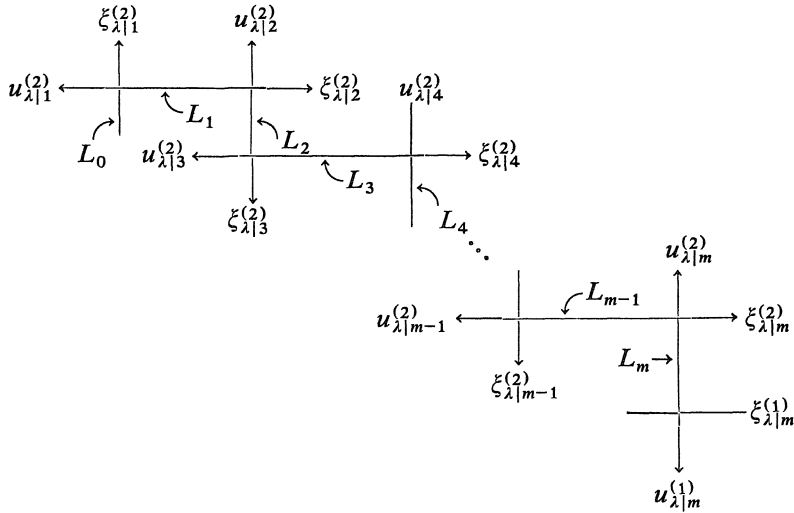


Figure 1

We have the following

**Proposition (4.11).** (i) If  $(S, H)$  is a  $\sigma$ -complete pair, then (1) if  $j \geq \sigma + 2, F_j > 0$  and  $E_{j-1} < 0$ , (2) if  $j = \sigma + 1, F_j = 0$  and  $E_{j-1} < 0$ , (3)  $j = \sigma, F_j < 0$  and  $E_{j-1} = 0$  and (4) if  $j \leq \sigma - 1, F_j < 0$  and  $E_{j-1} > 0$ .

(ii) If  $(S, H)$  is a  $\sigma$ -incomplete pair, then (1) if  $j \geq \sigma + 1, F_j > 0$  and  $E_{j-1} < 0$ , (2) if  $j = \sigma, F_j < 0$  and  $E_{j-1} < 0$  and (3) if  $j \leq \sigma - 1, F_j < 0$  and  $E_{j-1} > 0$ .

(II) The resolution of singularities of indeterminacy of

$$\varphi_{1,l} = b_\lambda(z_\lambda) |\zeta_\lambda|^2 / |\eta_\lambda|^2.$$

Let  $Q_{(1)}: M_{(1)} \rightarrow M$  be a monoidal transform with center  $A$ . We choose a local coordinate covering of  $M_{(1)}$ ,  $\{U_{\lambda|1}^{(i)}\}$  by (4.5). Then by (4.6),

$$Q_{(1)}^*(\varphi_{1,l}) = Q_{(1)}^*(b_\lambda) |u_{\lambda|1}^{(2)}|^2 / |\xi_{\lambda|1}^{(2)(l-1)}|^2.$$

In the case  $l \geq 2$ , there remain singularities on  $A_{(1)} = \{u_{\lambda|1}^{(2)} = \xi_{\lambda|1}^{(2)} = 0\}$ . Here we form  $Q_{(2)}: M_{(2)} \rightarrow M_{(1)}$  with center  $A_{(1)}$  again. Replacing  $\zeta_\lambda$  and  $\eta_\lambda$  by  $u_{\lambda|1}^{(2)}$  and  $\xi_{\lambda|1}^{(2)}$  respectively, we choose local coordinates on  $\{U_{\lambda|1}^{(i)}\}$  so that  $Q^{(2)}$  is expressed as  $u_{\lambda|1}^{(2)} = \xi_{\lambda|1}^{(1)}$  and  $\xi_{\lambda|1}^{(2)} = u_{\lambda|2}^{(1)} \xi_{\lambda|2}^{(1)}$  on

$U_{\lambda|2}^{(1)}$ . Repeating this process  $j$ -times, we obtain  $Q_{(j)}: M_{(j)} \rightarrow M_{(j-1)}$ . We choose local coordinates  $z_{\lambda}, \xi_{\lambda|j}^{(i)}, u_{\lambda|j}^{(i)}$  on  $U_{\lambda|j}^{(i)}$  by the following conditions:

$$\begin{aligned}
 \xi_{\lambda|j}^{(1)} &= f_{\lambda\mu} g_{\lambda\mu}^{-(j-1)} \xi_{\mu|j}^{(1)} && \text{on } U_{\lambda|j}^{(1)} \cap U_{\mu|j}^{(1)}, \\
 u_{\lambda|j}^{(1)} &= f_{\lambda\mu}^{-1} g_{\lambda\mu}^j u_{\mu|j}^{(1)} \\
 \xi_{\lambda|j}^{(2)} &= g_{\lambda\mu} \xi_{\mu|j}^{(2)} && \text{on } U_{\lambda|j}^{(2)} \cap U_{\mu|j}^{(2)}, \\
 u_{\lambda|j}^{(2)} &= f_{\lambda\mu} g_{\lambda\mu}^{-j} u_{\mu|j}^{(2)}
 \end{aligned}
 \tag{4.12}$$

Then

$$Q^{(j)*}(\varphi_{1,i}) = Q^{(j)*}(b_{\lambda}) |u_{\lambda|j}^{(2)}|^2 / |\xi_{\lambda|j}^{(2)(l-j)}|^2,$$

where

$$Q^{(j)} = Q_{(j)} \circ Q_{(j-1)} \circ \dots \circ Q_{(1)}.$$

Especially when  $j=l$ ,  $Q^{(l)*}(\varphi_{1,i})$  gives a desired resolution.  $Q^{(l)}(A)$  on  $Q^{(l)-1}(\pi^{-1}(p))$  is expressed as follows:

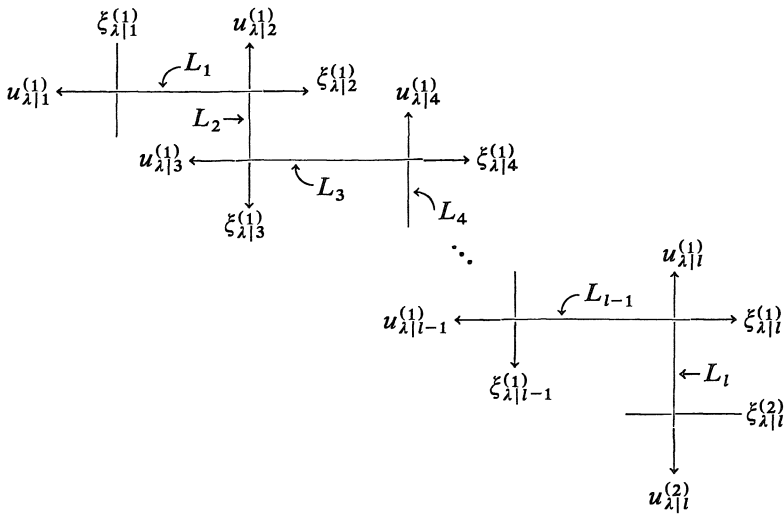


Figure 2

Set

$$L_j = \{\xi_{\lambda|j}^{(1)} = 0\} \cup \{u_{\lambda|j+1}^{(1)} = 0\} \quad (j = 1, 2, \dots, l-1),$$

$$L_l = \{\xi_{\lambda}^{(1)} = 0\} \cup \{\xi_{\lambda}^{(2)} = 0\},$$

$$\Sigma_{(1)} = L_1 \cup L_2 \cup \dots \cup L_l.$$

Then we see that  $Q^{(1)^{-1}}(A) = \Sigma_{(1)}$ . Let  $[L_k] |_{L_{k-1} \cap L_k} = F_k$ . Then by (4.12) we see that

$$F_k \cong [S] \otimes [H]^{-(k-1)} |_A.$$

Let  $[L_{k-1}] |_{L_{k-1} \cap L_k} = E_{k-1}$ . Then we have by (4.12)

$$E_{k-1} \cong [S]^{-1} \otimes [H]^k |_A.$$

We have the following

**Proposition (4.13).** (i) Let  $(S, H)$  be a  $\tau$ -complete pair with  $\tau \geq 1$ . Then (1) if  $k \geq \tau + 2, E_{k-1} < 0$  and  $F_k > 0$ , (2) if  $k = \tau + 1, E_{k-1} < 0$  and  $F_k = 0$ , (3) if  $k = \tau, E_{k-1} = 0$  and  $F_k < 0$  and (4)  $k < \tau, E_{k-1} > 0$  and  $F_k < 0$ . (ii) Let  $(S, H)$  be a  $\tau$ -incomplete pair with  $\tau \geq 1$ . Then (1) if  $k \geq \tau + 2, E_{k-1} < 0$  and  $F_k > 0$ , (2) if  $k = \tau + 1, E_{k-1} < 0$  and  $F_k < 0$  and (3) if  $k \leq \tau, E_{k-1} > 0$  and  $F_k < 0$ .

In the same manner, as for the conoid function  $\varphi_{1,l}$  we have

**Proposition (4.14).** (i) Let  $(S, H)$  be a  $\sigma$ -complete pair. Then (1) if  $l > 2$  and  $\sigma \geq 1$ , then  $E_{l-1} < 0$  and  $F_l > 0$ , (2) if  $l = 2$  and  $\sigma > 1$ , then  $E_{l-1} < 0$  and  $F_l > 0$  and (3) if  $l = 2$  and  $\sigma = 1, E_{l-1} < 0$  and  $F_l = 0$ . (ii) Let  $(S, H)$  be a  $\sigma$ -incomplete pair. Then (1) if  $\sigma \geq 2$  and  $l \geq 2$ , then  $E_{l-1} < 0$  and  $F_l > 0$  and (2) if  $\sigma \geq 1$  and  $l = 1$ , then  $E_{l-1} < 0$  and  $F_l < 0$ .

### §5. Lemmas on Extension of Holomorphic Functions

The purpose of this section is to prove the following theorem, which is a part of Theorem I:

**Theorem (5.1).** Suppose that  $M$  satisfies the conditions  $(A)_{(0)}$  and  $(B)_{(0)}$  (see the beginning of §4) and  $\Delta$  is a simple domain along  $A$  (see Definition (1.4)) which is a domain of holomorphy on  $M$ . Then there exist  $\varepsilon$  and  $\delta$  satisfying

$$\{\phi^* < \varepsilon\} \cap V_\delta(A) \subset \Delta \cap V_\delta(A),$$

where  $\phi^*$  is the characteristic function of  $M$  (see Definition (1.6)).

Let  $\Delta$  be a domain on  $M$  such that there exist a conoid function  $\varphi_{k,l}$  (see Definition (1.4)) and  $\delta, \varepsilon$  satisfying

$$(5.2) \quad \{\varphi_{k,l} < \varepsilon\} \cap V_\delta(A) \subset \Delta \cap V_\delta(A).$$

Then (5.2) is also satisfied for a conoid function  $\varphi_{1,l}$  for a sufficiently large  $l$ , i. e.,

(C)<sub>(0)</sub>: There exist a sufficiently large  $l$  and a conoid function such that for some  $\varepsilon$  and  $\delta$ ,

$$\{\varphi_{1,l} < \varepsilon\} \cap V_\delta(A) \subset \Delta \cap V_\delta(A).$$

It is easily seen that if  $M$  satisfies the condition (A) and  $\Delta$  is a simple domain along  $A$  (see Definition (1.4)), then  $M$  and  $\Delta$  satisfy (A)<sub>(0)</sub>, (B)<sub>(0)</sub> and (C)<sub>(0)</sub>.

**Lemma (5.3).** Suppose that  $M$  satisfies (A)<sub>(0)</sub>, (B)<sub>(0)</sub> and  $\Delta$  satisfies (C)<sub>(0)</sub>. If  $\Delta$  is a domain of holomorphy, then we have

(I) In the case where  $g \geq f$ , we have

(i) if  $(S, H)$  is a  $\sigma$ -complete pair, then there exist  $\varepsilon$  and  $\delta$  such that

$$\{\varphi_{\sigma,1} < \varepsilon\} \cap V_\delta(A) \subset \Delta \cap V_\delta(A),$$

where  $\varphi_{\sigma,1}$  is the  $\sigma$ -characteristic function of  $(S, H)$ ,

(ii) if  $(S, H)$  is a  $\sigma$ -incomplete pair and  $\sigma \geq 2$ , then there exist  $\varepsilon$  and  $\delta$  such that

$$\{\varphi_{\sigma-1,1} < \varepsilon\} \cap V_\delta(A) \subset \Delta \cap V_\delta(A),$$

where  $\varphi_{\sigma-1,1}$  is a conoid function.

(II) In the case where  $f \geq g$ , we have

(i) if  $(S, H)$  is a  $\tau$ -complete pair, then there exist  $\varepsilon$  and  $\delta$  such that

$$\{\varphi_{1,\tau} < \varepsilon\} \cap V_\delta(A) \subset \Delta \cap V_\delta(A),$$

where  $\varphi_{1,\tau}$  is the  $\tau$ -characteristic function,



(ii) if  $(S, H)$  is a  $\tau$ -incomplete pair, then there exist  $\varepsilon$  and  $\delta$  such that

$$\{\varphi_{1,\tau+1} < \varepsilon\} \cap V_\delta(A) \subset \Delta \cap V_\delta(A),$$

where  $\varphi_{1,\tau+1}$  is a conoid function.

By taking account that the characteristic function  $\phi^*$  is identical with the  $\sigma$ - (resp.  $\tau$ -) characteristic function in the case where  $(S, H)$  is a  $\sigma$ - (resp.  $\tau$ -) complete pair (see (1.5)), we have

**Corollary (5.4).** *In the case of (i) in (I) or (i) in (II), Theorem (5.1) holds.*

For the proof of Lemma (5.3), we prepare furthermore two lemmas:

**Lemma (5.5).** *Let  $A$  be a compact Riemann surface and let  $F$  and  $G$  be complex line bundles such that  $F < 0$  and  $G \geq 0$ . With respect to a fine covering  $\{V_\lambda\}$  of  $A$ , the fibre coordinates of  $F$  and  $G$  are denoted by  $\zeta_\lambda$  and  $\eta_\lambda$  respectively. We set  $V = F \oplus G$  and denote a divisor which is defined by  $\{\eta_\lambda = 0\}$  by  $S$ . Let  $W$  be a small neighborhood of the zero section of  $V$  and let  $\Omega$  be a neighborhood of  $(S - A) \cap W$ . Then every holomorphic function on  $\Omega$  can be extended to a holomorphic function on some neighborhood  $\tilde{\Omega}$  of the zero section. Moreover,  $\tilde{\Omega}$  is determined only by  $\Omega$ .*

*Proof.* Take metrics of  $F$  and  $G$ ,  $\{a_\lambda\}$ ,  $\{b_\lambda\}$  respectively and set  $h_1 = a_\lambda |\zeta_\lambda|^2$  and  $h_2 = b_\lambda |\eta_\lambda|^2$ . Then there exist  $\varepsilon_1, \varepsilon_2$  ( $\varepsilon_1 < \varepsilon_2$ ) and  $\varepsilon_3$  such that  $\Delta_\varepsilon = \{p \in V : \varepsilon_1 < h_1 < \varepsilon_2 \text{ and } h_2 < \varepsilon_3\}$  is contained in  $\Omega$ . Take a holomorphic function  $f$  on  $\Omega$ . Then  $f \in \mathcal{O}(\Delta_\varepsilon)$ . Thus  $f$  is expressed on  $\Delta_\varepsilon$  as follows:

$$f = \sum_{l=-\infty}^{\infty} \sum_{m=0}^{\infty} a_\lambda^{(l,m)}(z_\lambda) \zeta_\lambda^l \eta_\lambda^m,$$

where  $\{a_\lambda^{(l,m)}\} \in H^0(A, \mathcal{O}(F^{-l} \otimes G^{-m}))$ .

By assumption,  $F^{-l} \otimes G^{-m} < 0$  for  $l < 0$ . Therefore,  $\{a_\lambda^{(l,m)}\} = 0$  for  $l < 0$ . Then  $f$  can be extended to  $\Omega \cup \tilde{\Delta}_\varepsilon$ , where  $\tilde{\Delta}_\varepsilon = \{p \in V : h_1 < \varepsilon_2 \text{ and } h_2 < \varepsilon_3\}$ .

**Lemma (5.6).** *Let  $M$  be a 3-dimensional complex manifold and let  $L \subset M$  be a 2-dimensional compact complex submanifold which is isomorphic to the compactification of a positive complex line bundle  $F$  on a compact Riemann surface  $A$ . The zero section and the infinite section are denoted by  $A_0$  and  $A_\infty$  respectively. Let  $\Delta$  be a pseudoconvex domain with*

$$A_0 \subset \Delta \quad \text{and} \quad \partial\Delta \cap L \neq \emptyset.$$

*Then we have*

$$\partial\Delta \cap L = A_\infty.$$

*Proof.* Assume that  $\partial\Delta \cap L \neq A_\infty$ . In view of  $F > 0$ , there exists a strongly pseudoconcave neighborhood system  $\{V_\varepsilon\}$  such that  $V_\varepsilon = \{p \in L - A_0 : h(p) > \varepsilon\}$ . Then letting  $\varepsilon_0 = \inf_{p \in \Delta} h(p)$ , we see that  $\varepsilon_0 > 0$  and  $V_{\varepsilon_0} \subset \Delta \cap L$ . Take a point  $p_0 \in \partial(\Delta \cap L) \cap V_{\varepsilon_0}$ . By assumption  $\Delta \cap L$  is pseudoconvex and  $V_{\varepsilon_0}$  is  $s$ -pseudoconcave at  $p_0$ . Choose a Stein neighborhood  $U$  of  $p_0$  in  $L$ . Then  $U \cap \Delta$  is a Stein manifold. So there exists a holomorphic function  $f$  on  $U \cap \Delta$  which cannot be continued across  $p_0$ . On the other hand, restricting  $f$  to  $U \cap V_{\varepsilon_0}$ , we see that  $f$  is continued across  $p_0$ , which is a contradiction.

*Proof of Lemma (5.3).* We prove (i) in (I). For this we first prove that

$$(5.7) \quad \text{if } \{\varphi_{1,l} < \varepsilon\} \subset \Delta, \text{ then } \{\varphi_{1,1} < \varepsilon'\} \subset \Delta$$

on a small neighborhood of  $A$ . We may assume that  $l \geq 2$ . Now we consider the resolution of singularities of indeterminacy of  $\varphi_{1,l}$ ,  $Q^{(l)}: M_{(l)} \rightarrow M$ . We choose a local coordinate covering of  $M_{(l)}$  as fixed in (II) in §4. We set

$$\begin{aligned} \Delta_{(l)} &= (\overline{Q^{(l)-1}(\Delta)})^0, & \Omega_{(l)} &= (\overline{Q^{(l)-1}(\varphi_{1,l} < \varepsilon)})^0 \\ \Omega_{(1)} &= (\overline{Q^{(l)-1}(\varphi_{1,1} < \varepsilon')})^0 & \text{and} & \Sigma_{(l)} = \bigcup_{j=1}^l L_j. \end{aligned}$$

Then  $\Omega_{(l)}$  and  $\Omega_{(1)}$  are drawn in Figure 2 in §4 respectively as follows:

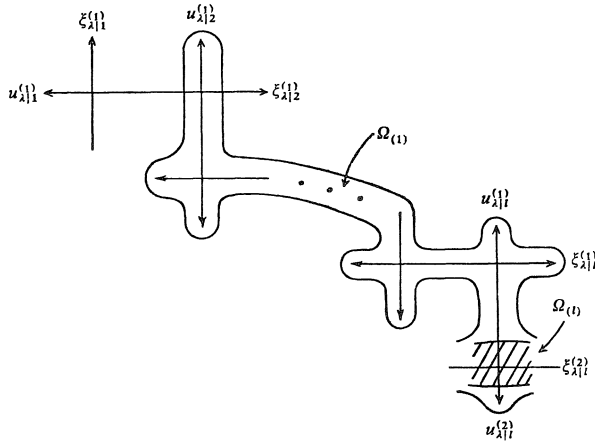


Figure 3

First we see that  $\Delta_{(l)}$  is a pseudoconvex domain by Proposition (1.8). We prove that  $\Delta_{(l)}$  contains a neighborhood of  $L_l \cap L_{l-1}$ .  $E_{l-1}$  is negative by (i) in Proposition (4.14). Take a complex line bundle which is defined by  $\{u_{\lambda|l}^{(2)}=0\}$  and restrict it to  $L_l \cap \{u_{\lambda|l}^{(2)}=0\}$ . Then we have a positive line bundle. Considering the case  $j=l$  in (4.12), we see that the line bundle defined by  $\{\xi_{\lambda|l}^{(2)}=0\}$  is negative on  $L_l \cap \{u_{\lambda|l}^{(2)}=0\}$ . Hence by using Lemma (5.5), every holomorphic function on  $\Delta_{(l)} - \Sigma_{(l)}$  can be extended at least to  $\{\xi_{\lambda|l}^{(2)}=0\}$ . If  $\partial\Delta_{(l)} \cap L_l = \emptyset$ , then  $L_l \cap L_{l-1} \subset \Delta_{(l)}$ . So for the proof of (5.7), we may assume that  $\partial\Delta_{(l)} \cap L_l \neq \emptyset$ . Then by Lemma (5.6) we see that  $\partial\Delta_{(l)} \cap L_l = L_l \cap L_{l-1}$ . Next we consider  $F_l$ . Then by (i) in Proposition (4.14)  $F_l$  is non-negative and  $F_l$  is flat if and only if  $l=2$  and  $\sigma=1$ . Since  $\Delta$  is a domain of holomorphy on  $M$ ,  $\Delta_{(l)}$  must contain a neighborhood of  $L_l \cap L_{l-1}$  by Lemma (5.5). From this we see that every holomorphic function on  $\Delta_{(l)} - \Sigma_{(l)}$  can be extended to  $L_l \cup \{u_{\lambda|l}^{(1)}=0\}$ . In the case where  $l=2$ , the assertion (5.7) is hereby proved. Assume that  $l>2$ . In this case we see that  $F_l$  is positive. By the same discussion as above, we find that  $L_{l-2} \cap L_{l-1} \subset \Delta_{(l)}$ . Repeating this process we can prove (5.7).

Next we prove that

$$(5.8) \quad \text{if } \{\varphi_{1,1} < \varepsilon\} \subset \Delta, \text{ then } \{\varphi_{\sigma,1} < \varepsilon\} \subset \Delta$$

on a small neighborhood of the zero section.

We may assume that  $\sigma \geq 2$ . Let  $Q^{(\sigma)}$  be the resolution of singularities of  $\varphi_{\sigma,1}$ . We choose a local coordinate covering of  $M_{(\sigma)}$  as expressed in (I) in §4. Set

$$A_{(\sigma)} = (\overline{Q^{(\sigma)-1}(A)^0}), \quad \Omega_{(\sigma)} = (\overline{Q^{(\sigma)-1}(\varphi_{\sigma,1} < \varepsilon)^0}),$$

$$\Omega_{(1)} = (\overline{Q^{(\sigma)-1}(\varphi_{1,1} < \varepsilon^i)^0}) \quad \text{and} \quad \Sigma_{(\sigma)} = \bigcup_{j=1}^{\sigma} L_j.$$

Then  $\Omega_{(\sigma)}$  and  $\Omega_{(1)}$  are expressed in Figure 1 in §4 respectively as follows:

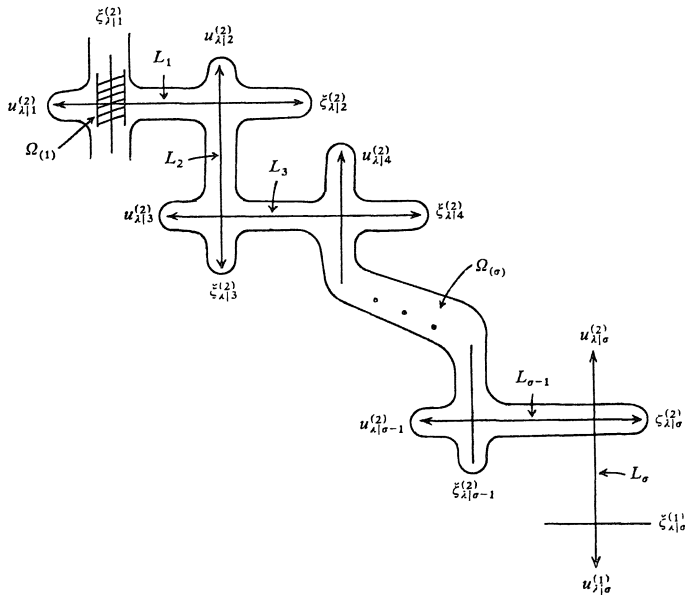


Figure 4

We see that  $A_{(\sigma)}$  is a pseudoconvex domain by Proposition (1.8). Since  $\sigma \geq 2$ ,  $E_0$  is positive and  $F_1$  is negative by (4), (i) in Proposition (4.11). So from Lemma (5.5), every holomorphic function on  $A_{(\sigma)} - \Sigma_{(\sigma)}$  can be extended to  $\{\xi_{\lambda^i}^{(2)} = 0\}$ . As in the proof of (5.7), first we prove that  $L_1 \cap L_2 \subset A_{(\sigma)}$ . So we may assume that  $\partial A_{(\sigma)} \cap L_1 \neq \emptyset$ . By Lemma (5.5) we see that  $\partial A_{(\sigma)} \cap L_1 = L_1 \cap L_2$ . By (3) or (4) in Proposition (4.11),  $F_2$  is negative and  $E_1$  is non-negative and  $E_1$  is flat if and only if  $\sigma = 2$ . In the case where  $\sigma = 2$ , the assertion is proved. If  $\sigma > 2$ , then  $E_1$  is positive. And by Proposition (4.11)  $F_3$  is negative. Then we see that  $\partial A_{(\sigma)} \cap L_2 = L_2 \cap L_3$  by Lemma (5.6). So we see that  $A_{(\sigma)}$  contains a

neighborhood of  $L_2 \cap L_3$ . Repeating this process, we prove the assertion (5.8). Here we also prove that  $\mathcal{O}(A_{(\sigma)} - \Sigma_{(\sigma)}) \cong \mathcal{O}(A_{(\sigma)})$ . So we complete the proof of (i) in (I). Proofs in the other cases can be done in the same manner by using (4.11) and (4.14). We omit them.

*Remark.* If  $(S, H)$  is a  $\sigma$ -complete pair, then the characteristic function  $\phi^*$  is identical with the  $\sigma$ -characteristic function  $\varphi_{\sigma,1}$  and

$$Q^{(\sigma)*}(\varphi_{\sigma,1}) = |u_{\lambda}^{(2)}|_{\sigma}|^2$$

on a neighborhood of  $L_{\sigma-1} \cap L_{\sigma}$  is a pseudoconvex function on  $M_{(\sigma)} - \{u_{\lambda}^{(1)}|_{\sigma} = 0\}$ .

*Proof of (II).* We prove only (i) in (II). The proof of (ii) in (II) is almost the same as that of (i) in (II) and is omitted.

By  $(C)_{(0)}$  we see that

$$\{\phi_{1,l} < \varepsilon'\} \subset \Delta \text{ on a small neighborhood of } A.$$

We may prove (i) only when  $l > \tau$ . Consider the resolution of singularities of indeterminacy of  $\phi_{1,l}$  as (II) in §4, which is denoted by  $Q^{(l)}$ :  $M_{(l)} \rightarrow M$ . We set

$$\Omega_{(l)} = (\overline{\Omega^{(l-1)}(\phi_{1,l} < \varepsilon')^0}), \quad Q_{(\tau)} = (\overline{Q^{(l-1)}(\phi_{1,\tau} < \varepsilon)^0})$$

$$\Delta_{(l)} = (\overline{Q^{(l-1)}(\Delta)^0}) \text{ and } \Sigma_{(l)} = \bigcup_{j=1}^l L_j.$$

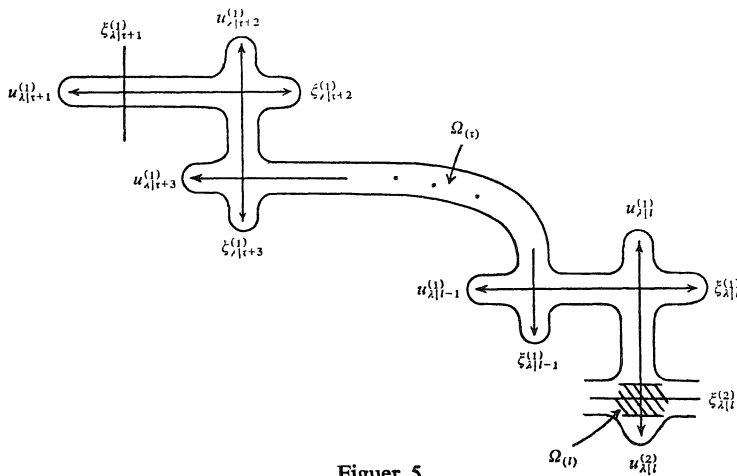


Figure 5

We see that  $\Delta_{(l)}$  is a pseudoconvex domain.  $\Omega_{(l)}$  and  $\Omega_{(\tau)}$  are expressed in Figure 2 respectively as in Figure 5.

By (1), (2) in (i) in Proposition (4.13),  $E_{l-1}$  is negative for  $l \geq \tau + 1$ . Then the complex line bundle which is determined by  $\{u_{\lambda}^{(2)}|_l = 0\}$  is positive on  $A_l^{(2)} = L_l \cap \{\xi_{\lambda}^{(2)} = 0\}$  when  $l \geq \tau + 1$ . By Lemma (5.5) every holomorphic function on a neighborhood of  $A_l^{(2)}$  except  $\{\xi_{\lambda}^{(2)} = 0\}$  can be extended to  $\{\xi_{\lambda}^{(2)} = 0\}$ . Then we see that  $\partial\Delta_{(l)} \cap L_l \cong L_l \cap L_{l-1}$  by Lemma (5.6). Also we see that  $F_l$  is non-negative when  $l \geq \tau + 1$  by (1), (2) in (i) in Proposition (4.13). Therefore we see that  $\Delta_{(l)}$  contains a neighborhood of  $L_{l-1} \cap L_l$ . If  $l = \tau + 1$ , the assertion is hereby proved. In the case where  $l > \tau + 1$ , we can prove the assertion by repeating the same discussions as given in the proofs of (I). The details are omitted. Also we see that  $\mathcal{O}(\Delta_{(l)} - \Sigma_{(l)}) \cong \mathcal{O}(\Delta_{(l)})$ .

*Remark.* In the case (i), letting  $Q^{(\tau)*}(\phi^*) = \phi$ , we see that (1)  $\phi$  is a pseudoconvex function on  $M_{(\tau)} - \{u_{\lambda}^{(1)} = 0\}$  and (2)  $\phi$  is expressed as  $\phi = |u_{\lambda}^{(2)}|_{\tau}^2$  near  $L_{\tau} \cap \{u_{\lambda}^{(2)} = 0\}$ . Moreover,  $\{\phi < \varepsilon\} \subset \Delta_{(l)}$ .

*Proof of Theorem (5.1).* We prove our Theorem only in the case where  $g \geq f$ . The proof for the other case is similar. We express the Euclidian algorithm of  $f$  and  $g$  as follows:

$$\begin{aligned}
 g &= \rho_1 f + r_1, \\
 f &= \rho_2 r_1 + r_2, \\
 r_1 &= \rho_3 r_2 + r_3, \\
 &\vdots \\
 r_q &= \rho_{q+2} r_{q+1}.
 \end{aligned}
 \tag{5.9}$$

We prove it in the following cases successively:

(Case I)<sub>(1)</sub>. The case where  $(S, H)$  is a  $\sigma$ -complete pair.

We see that  $\sigma = \rho_1$  and  $r_1 = 0$  (see Definition (4.4)). Thus by Corollary (5.4) we prove the assertion.

(Case I)<sub>(2)</sub>.  $(S, H)$  is a  $\sigma$ -incomplete pair.

By the definition of  $\sigma$ , we have  $g = (\sigma - 1)f + r_1$  (see Definition (4.4)). So we see that  $\rho_1 = \sigma - 1$ . First we restrict ourselves to the case where  $\rho_1 \geq 1$ . By (ii) in (I) in Lemma (5.3), we see that  $\{\varphi_{\rho_1, 1} < \varepsilon\} \subset \Delta$  on some neigh-

borhood of  $A$ . Let  $Q^{(1)}: M_{(1)} \rightarrow M$  be the resolution of  $\varphi_{\rho_1,1}$ . We choose a local coordinate covering of  $M_{(1)}$  as (I) in §4. We set

$$\begin{aligned} H_{(1)} &= u_{\lambda}^{(1)}|_{\rho_1} = 0, & S_{(1)} &= \{\zeta_{\lambda}^{(1)}|_{\rho_1} = 0\}, \\ A_{(1)} &= H_{(1)} \cap S_{(1)}, & \Delta_{(1)} &= (\overline{Q^{(1)-1}(A)}^0). \end{aligned}$$

Now we infer that  $E_{\rho_1-1}$  is positive by (3) in (ii) in Proposition (4.11). Then by Lemma (5.6), we may assume that  $\partial\Delta_{(1)} \cap S_{(1)} = A_{(1)}$ . So if  $\Delta$  is a simple domain along  $A$ , then  $\Delta_{(1)}$  is also a simple domain along  $A_{(1)}$ . Moreover,  $M_{(1)}, S_{(1)}, H_{(1)}, A_{(1)}$  and  $\Delta_{(1)}$  satisfy the conditions  $A_{(0)}, (B)_{(0)}$  and  $(C)_{(0)}$  near  $A_{(1)}$ , which are denoted by  $(A)_{(1)}, (B)_{(1)}$  and  $(C)_{(1)}$  respectively. Set

$$(5.10) \quad \begin{aligned} \zeta_{\lambda}^{(1)} &= \xi_{\lambda}^{(1)}|_{\rho_1} & \text{on } U_{\lambda}^{(1)}|_{\rho_1} & \text{ and } & f_{\lambda\mu}^{(1)} &= f_{\lambda\mu} & \text{on } U_{\lambda}^{(1)}|_{\rho_1} \cap U_{\mu}^{(1)}|_{\rho_1}, \\ \eta_{\lambda}^{(1)} &= u_{\lambda}^{(1)}|_{\rho_1} & & & g_{\lambda\mu}^{(1)} &= g_{\lambda\mu} f_{\lambda\mu}^{-\rho_1} \end{aligned}$$

We see that by (4.7)

$$\begin{cases} \zeta_{\lambda}^{(1)} = f_{\lambda\mu}^{(1)} \cdot \xi_{\mu}^{(1)} \\ \eta_{\lambda}^{(1)} = g_{\lambda\mu}^{(1)} \cdot \eta_{\mu}^{(1)} \end{cases} \quad \text{on } U_{\lambda}^{(1)}|_{\rho_1} \cap U_{\mu}^{(1)}|_{\rho_1}$$

and we have

$$c_1([S_{(1)}]^{-1}|_{\Delta_{(1)}}) = f \quad \text{and} \quad c_1([H_{(1)}]^{-1}|_{\Delta_{(1)}}) = r_1.$$

The  $\tau$ -characteristic number of  $(S_{(1)}, H_{(1)})$  is denoted by  $\tau_1$ . Then by  $c_1([S_{(1)}]^{-1} \otimes [H_{(1)}]) = f - r_1$ , we see that  $\tau_1 \geq 1$ . In the case where  $\rho_1 = 0$ , setting  $S_{(1)} = S$  and  $H_{(1)} = H$ , we find that the  $\tau$ -characteristic number of  $(S_{(1)}, H_{(1)})$  is also greater than one in this case. Hence for all  $\rho_1$  we can choose the  $\tau$ -characteristic number of  $(S_{(1)}, H_{(1)})$  greater than one.

(case II)<sub>(1)</sub>. The case where  $(S_{(1)}, H_{(1)})$  is  $\tau$ -complete. We see that  $f = \rho_2 \cdot r_1, r_2 = 0$  and  $\tau_1 = \rho_2$  by (5.11) and (4.6). Then we see that by (i) in (II) in Lemma (5.3)  $\{\varphi_{1,\rho_2} < \varepsilon\} \subset \Delta_{(1)}$  on some neighborhood of  $A_{(1)}$ . The  $\tau_1$ -characteristic function is

$$\varphi_{1,\rho_2} = |\zeta_{\lambda}^{(1)}|^2 / |\eta_{\lambda}^{(1)\rho_2}|^2.$$

By (I) in §4, we see that

$$(5.11) \quad \begin{aligned} \zeta_\lambda &= \zeta_{\lambda|\rho_1}^{(1)} && \text{on } U_{\lambda|\rho_1}^{(1)}. \\ \eta_\lambda &= \zeta_{\lambda|\rho_1}^{(1)\rho_1} u_{\lambda|\rho_1}^{(1)}. \end{aligned}$$

By (5.11) we see that  $k_0 = \rho_1 \cdot \rho_2 + 1$  and  $l_0 = \rho_2$ , where  $k_0$  and  $l_0$  are defined in (1.7). By this we obtain

$$Q^{(1)*}(\phi^*) = \varphi_{1,\rho_2},$$

which implies  $\{\phi^* < \varepsilon\} \subset \Delta$  near  $A$ . Thus we prove our Theorem in this case.

(Case II)<sub>(2)</sub>. The case where  $(S_{(1)}, H_{(1)})$  is  $\tau$ -incomplete pair. By (ii) in (II) in Lemma (5.3), we find that  $\{\varphi_{1,\rho_2+1} < \varepsilon\} \subset \Delta_{(1)}$  near  $A_{(1)}$ . We form monoidal transforms successively on  $M_{(1)}$   $\rho_2$ -times, which is denoted by  $Q^{(2)}: M_{(2)} \rightarrow M_{(1)}$ . The local coordinate covering of  $M_{(2)}$  is chosen as in (II) in §4. Then we have

$$Q^{(2)*}(\varphi_{1,\rho_2+1}) = Q^{(2)*}(b_\lambda) |u_{\lambda|\rho_2}^{(2)}|^2 / |\zeta_{\lambda|\rho_2}^{(2)}|^2.$$

By (4.12) we have

$$(5.12) \quad \begin{aligned} \xi_{\lambda|\rho_2}^{(2)} &= g_{\lambda\mu}^{(1)} \xi_{\mu|\rho_2}^{(2)} && \text{near } L_{\rho_2} \cap \{u_{\lambda|\rho_2}^{(2)} = 0\}. \\ u_{\lambda|\rho_2}^{(2)} &= f_{\lambda\mu}^{(1)} g_{\lambda\mu}^{(1)-\rho_2} u_{\mu|\rho_2}^{(2)} \end{aligned}$$

Set

$$\Delta_{(2)} = (\overline{Q^{(2)-1}(\Delta_{(1)})})^0, \quad S_{(2)} = \{u_{\lambda|\rho_2}^{(2)} = 0\},$$

$$H_{(2)} = \{\xi_{\lambda|\rho_2}^{(2)} = 0\} \quad \text{and} \quad A_{(2)} = S_{(2)} \cap H_{(2)}.$$

By (5.12) and (5.9), we obtain

$$c_1([H_{(2)}]_{|A_{(2)}}^{-1}) = r_1 \quad \text{and} \quad c_1([S_{(2)}]_{|A_{(2)}}^{-1}) = r_2.$$

Then we see that  $M_{(2)}, H_{(2)}, S_{(2)}, A_{(2)}$  and  $\Delta_{(2)}$  satisfy the conditions  $(A)_{(0)}, (B)_{(0)}$  and  $(C)_{(0)}$  near  $A_{(2)}$ , which are denoted by  $(A)_{(2)}, (B)_{(2)}$  and  $(C)_{(2)}$ . We set



$$\begin{aligned} \zeta_\lambda^{(2)} &= u_{\lambda|\rho_2}^{(2)} & f_{\lambda\mu}^{(2)} &= f_{\lambda\mu}^{(1)} g_{\lambda\mu}^{(1)-\rho_2} \\ & \text{and} & & \\ \eta_\lambda^{(2)} &= \xi_{\lambda|\rho_2}^{(2)} & g_{\lambda\mu}^{(2)} &= g_{\lambda\mu}^{(1)}. \end{aligned}$$

Then we see that by (5.12)

$$\begin{aligned} \zeta_\lambda^{(2)} &= f_{\lambda\mu}^{(2)} \zeta_\mu^{(2)} \\ \eta_\lambda^{(2)} &= g_{\lambda\mu}^{(2)} \eta_\mu^{(2)}. \end{aligned}$$

Here we note that  $r_1 > r_2$ .

(Case III)<sub>(1)</sub>. The case where  $(S_{(2)}, H_{(2)})$  is  $\sigma$ -complete. We see that  $r_3=0$  in (5.9) and  $\sigma=\rho_3$ . Then (i) in (I) in Lemma (5.3), we see that  $\{\varphi_{\rho_3,1} < \varepsilon\} \subset \Delta_{(2)}$ . By using

$$\begin{aligned} \zeta_\lambda^{(1)} &= \zeta_\lambda^{(2)} \cdot \eta_\lambda^{(2)\rho_2} \\ \eta_\lambda^{(1)} &= \eta_\lambda^{(2)}, \end{aligned}$$

We see that by (5.11)

$$\begin{aligned} \zeta_\lambda &= \zeta_\lambda^{(2)} \cdot \eta_\lambda^{(2)\rho_2} \\ \eta_\lambda &= \zeta_\lambda^{(2)\rho_1} \cdot \eta_\lambda^{(2)\rho_1 \cdot \rho_2 + 1}, \end{aligned}$$

Moreover, by (5.9) and (1.5), we obtain

$$k_0 = (\rho_2 \cdot \rho_3 + 1) \cdot \rho_1 + \rho_3 \quad \text{and} \quad l_0 = \rho_2 \cdot \rho_3 + 1.$$

Then we see that  $(Q^{(2)} \circ Q^{(1)})^*(\phi^*) = \varphi_{\rho_3,1}$ . Therefore we obtain  $\{\phi^* < \varepsilon\} \subset \Delta$ .

(Case III)<sub>(2)</sub>. The case where  $(S_{(2)}, H_{(2)})$  is  $\sigma$ -incomplete. As we defined  $S_{(1)}$  and  $H_{(1)}$  from  $S$  and  $H$ , we define  $S_{(3)}$  and  $H_{(3)}$  from  $S_{(2)}$  and  $H_{(2)}$ . Then we see that

$$c_1([S_{(3)}]^{-1}) = r_2 \quad \text{and} \quad c_1([H_{(3)}]^{-1}) = r_3.$$

Now we consider general cases. From (Case I) we see that by making  $Q^{(1)}: M_{(1)} \rightarrow M$ ,

$$c_1([S_{(1)}]^{-1}) = f \quad \text{and} \quad c_1([H_{(1)}]^{-1}) = r_1$$

and  $Q^{(1)}$  is the  $\rho_1$ -times composition of monoidal transforms. From

(Case II) we see that by making  $Q^{(2)}: M_{(2)} \rightarrow M_{(1)}$

$$c_1([H_{(2)}]^{-1})=r_1 \quad \text{and} \quad c_1([S_{(2)}]^{-1})=r_2$$

and  $Q^{(2)}$  is the  $\rho_2$ -times monoidal transforms. From (Case III) by making  $Q^{(3)}: M_{(3)} \rightarrow M_{(2)}$ , we see that

$$c_1([S_{(3)}]^{-1})=r_2 \quad \text{and} \quad c_1([H_{(3)}]^{-1})=r_3$$

and  $Q^{(3)}$  is the  $\rho_3$ -times composition of monoidal transform.

Hence repeating this process  $q+1$  times, we obtain a complex manifold  $M_{(q+1)}$  and divisors  $S_{(q+1)}$  and  $H_{(q+1)}$  on  $M_{(q+1)}$  satisfying

$$c_1([S_{(q+1)}]^{-1})=r_q \quad (\text{or } r_{q+1}) \quad \text{and} \quad c_1([H_{(q+1)}]^{-1})=r_{q+1} \quad (\text{resp. } r_q).$$

and  $Q^{(q+1)}: M_{(q+1)} \rightarrow M_{(q)}$  is the composition of  $\rho_{q+1}$ -times monoidal transforms. In view of (5.9), we see that  $(S_{(q+1)}, H_{(q+1)})$  is now  $\sigma$  (resp.  $\tau$ )-complete. Then as in (Case I)<sub>(1)</sub> or (Case III)<sub>(1)</sub>, we can prove the assertion. By this we complete the proof of Theorem (5.1).

Let  $\mu: M_* \rightarrow M$  be the resolution of the singularities of indeterminacy of  $\phi^*$  and let  $h = \mu^*(\phi^*)$ . The exceptional divisor is denoted by  $\Sigma$  and the divisor defined by  $\{h=0\}$  is denoted by  $\Sigma'$ . The exceptional baum which is inserted in the final step is denoted by  $L_*$  and we write  $A_* = \Sigma' \cap L_*$ . Finally we set  $A_* = (\overline{\mu^{-1}(A)})^0$ . Then we have the following

**Proposition (5.13).** (i) Let  $E_* = [L_*]_{L_* \cap \Sigma'}$ .

Then

$$E_* = [S]^{-k_0} \otimes [H]^{l_0}.$$

(ii)  $\mathcal{O}(A_* - \Sigma) \cong \mathcal{O}(A_*)$  holds.

Proofs can be done in each step in the proof of Theorem (5.1).

### §6. Proofs of Theorems I, II, III and IV

In this section we shall prove our Theorems stated in §1. We fix notations. Let  $\mu, M_*, h, \Sigma, \Sigma', L_*, A_*$  and  $A_*$  be as described at the end of §5. Let  $V_\delta(\Sigma) = \mu^{-1}(V_\delta(A))$  and  $V_\epsilon(\Sigma') = \{h < \epsilon\}$ . Then  $V_\delta(\Sigma)$  and  $V_\epsilon(\Sigma')$  give neighborhood systems of  $\Sigma$  and  $\Sigma'$  respectively.

*Proof of Theorem I.*

For the proof of Theorem I, we prepare a lemma. Let  $A$  be a compact Riemann surface and let  $F$  be a topological trivial line bundle on  $A$ . With respect to a fine covering  $\{V_\lambda\}$  of  $A$ ,  $F$  is expressed as  $\{f_{\lambda\mu}\}$ . We may assume that  $|f_{\lambda\mu}|=1$ . Then  $h' = |\zeta_\lambda|^2$  and  $V_\varepsilon = \{h' < \varepsilon\}$  are a  $C^\infty$ -function on  $F$  and a neighborhood system of the zero section respectively, where  $\zeta_\lambda$  denotes the fibre coordinate on  $V_\lambda$ .

**Lemma (6.1).** *Let  $\Delta$  be a connected pseudoconvex domain on  $F$ . Assume that (1) There exists a constant  $\varepsilon$  such that  $\{h' < \varepsilon\} \subset \Delta$  and (2) for a point  $p \in F$  with  $p = (z_\lambda(p), \zeta_\lambda(p))$  and for a real number  $\theta$ , we set  $p_\theta = (z_\lambda(p), e^{i\theta}\zeta_\lambda(p)) \in F$ . Suppose that  $p \in \Delta$  implies  $p_\theta \in \Delta$  for any  $\theta$ . Then there exist a constant  $c$  such that*

$$\Delta = \{h' < c\}.$$

*Proof.* For a point  $p$  in the zero section, we denote the Hartogs radius at  $p$  by  $d(p)$ . Then  $\varphi(p) = -\log d(p)$  becomes a pseudoconvex function on  $A$ . Then  $\varphi(p)$  is a constant function. By (2) we prove the assertion.

We infer that there exists a weakly 1-complete function  $\eta$  on  $\Delta$ , i.e.,

$$\eta = 1/(1 - h'/c).$$

Now we consider the resolution manifold  $\tau: M \rightarrow \underline{M}$  which is stated in Introduction and the simple conoid  $\Delta$  along  $A$  (see §1). By Proposition (1.9)  $\Delta$  is a domain of holomorphy on  $M$ . Then  $M, S, H, A$  and  $\Delta$  satisfy the conditions  $(A)_{(0)}$ ,  $(B)_{(0)}$  and  $(C)_{(0)}$  in §4 and 5. So by Theorem (5.1)

$$(6.2) \quad \{\phi^* < \varepsilon\} \cap V_\delta(A) \subset \Delta \cap V_\delta(A).$$

By (i) in Proposition (5.13), we see that  $[L_*]_{|A_*}$  is a topologically trivial line bundle on  $A_*$ . We choose a local coordinate covering of  $M_*$  by using (I) and (II) in §4. The local coordinates near  $L_* \cap \Sigma'$  are denoted by  $z_\lambda, u_\lambda^*$  and  $\xi_\lambda^*$ , where  $\{\xi_\lambda^* = 0\} = L_*$ . Set  $\Delta_* \cap L_* = \Delta_*(L_*)$ . Then if  $p \in \Delta_*(L_*)$ , then  $p_\theta \in \Delta_*(L_*)$  for every  $\theta$  by Definition (0.1). Moreover,

since  $\Delta$  is a simple domain along  $A$ ,  $\Delta_*(L_*)$  is connected. Hence by the choice of local coordinates, we see that the absolute value of the transition functions of  $[L_*]_{A_*}$  is identical with one. So we find that  $h|_{L_*} = h'$ . Therefore by Lemma (6.1), we see that

$$(6.3) \quad \Delta_*(L_*) = \{h < c\}$$

with some constant  $c$ . Let  $\Omega_\lambda^* = \{(z_\lambda, u_\lambda^*, \xi_\lambda^*) : |u_\lambda^*| < +\infty, |\xi_\lambda^*| < +\infty\}$  and  $\Omega^* = \bigcup_\lambda \Omega_\lambda^*$ . Then  $\Omega^*$  is the maximal domain which admits the fibre structure on  $A_*$  whose fibre is isomorphic to  $\mathbb{C}^2$ . The natural projection is denoted by  $\rho: \Omega^* \rightarrow A_*$ . We remark that  $M_* - \mu^{-1}(S \cup H) \subset \Omega^*$  holds. Then we have

**Lemma (6.4).**  $\Delta_* \cap \Omega^* \subset \{h < c\}$ .

*Proof.* By Definition (0.1) we see that if  $p = (z_\lambda(p), \xi_\lambda^*(p), u_\lambda^*(p)) \in \Delta_* \cap \Omega^*$ , then  $p_{\alpha\beta} = (z_\lambda(p), e^{i\alpha} \xi_\lambda^*(p), e^{i\beta} u_\lambda^*(p)) \in \Delta_* \cap \Omega^*$ . This implies that  $\Delta_* \cap \Omega^* \cap \rho^{-1}(p)$  is a Reinhardt domain. Assume that there exists a point  $p_0 \in \Delta_* \cap \Omega^*$  satisfying  $h(p_0) = c^*$  with  $c^* > c$ . By (6.2) we have

$$\{|\xi_\lambda^*|^2 < \varepsilon, |u_\lambda^*|^2 < \varepsilon\} \subset \Delta_* \cap \Omega^* \cap \rho^{-1}(\rho(p_0))$$

for a sufficiently small  $\varepsilon$ . Thus by Abel's theorem, we see that

$$\{|\xi_\lambda^*|^2 < c^{**}, |u_\lambda^*|^2 < c^*\} \subset \Delta_* \cap \Omega^* \cap \rho^{-1}(\rho(p_0)),$$

where  $c^{**} = |\xi_\lambda^*(p_0)|^2$ . Restricting this domain to  $L_*$ , we have  $\{|u_\lambda^*|^2 < c^*\} \subset \Delta_*(L_*)$ , which leads a contradiction.

For the proof of Theorem I, it is sufficient to show (2) in (ii) in Definition (1.4) (also see Definition (1.6)). By (6.2) we get  $V_\varepsilon(\Sigma') \subset \Delta_*$  with a sufficiently small  $\varepsilon$ . By the construction of  $\mu$ , we see that  $\Sigma \cap (\Delta_* - \Omega^*) \subset \Sigma'$  on a small neighborhood of  $\Sigma$ . So making  $\delta$  smaller, we may assume that  $(\Delta_* - \Omega^*) \cap V_\delta(\Sigma) \subset V_\varepsilon(\Sigma')$  holds. Then for a point  $p \in V_\delta(\Sigma) \cap (\Delta_* - \Omega^*)$ , we obtain  $\Gamma_p^{*\delta} \subset \Delta_*$ , where  $\Gamma_p^{*\delta} = \{q \in V_\delta(\Sigma) : h(p) = h(q)\}$ . Take a point  $p \in \Delta_* \cap \Omega^*$ . Then we see that  $h(p) < c$  by Lemma (6.4). Then  $\Gamma_p^{*\delta} \cap L_* \subset \Delta_*(L_*)$ . Thus making  $\delta_0$  smaller, we get  $\Gamma_p^{*\delta_0} \subset \Delta_*$ . So we see that  $\Gamma_p^{\delta, \delta_0} \subset \Delta$ .

*Proof of Theorem II.*

For the proof of Theorem we prepare two Propositions:

**Proposition (6.5).** *Suppose that  $M$  satisfies the condition  $(A)_{(0)}$ . Let  $Q: M_{(1)} \rightarrow M$  be a monoidal transform with center  $A$ . Then we obtain (i) if  $M$  satisfies the condition  $(B)_{(0)}$  furthermore, then  $[L] < 0$ , where  $L = Q^{-1}(A)$ .*

*(ii) Suppose that a negative line bundle  $E$  is given on  $M$ . Let  $L = Q^{-1}(A)$ . Then we can find a positive integer  $n_0$  such that*

$$(6.6) \quad Q^*(E^n) \otimes [L] < 0 \quad \text{for } n \geq n_0 \text{ on a small neighborhood of } L.$$

*Proof.* Proof of (i). By the conditions  $(A)_{(0)}$  and  $(B)_{(0)}$ , we see that  $L$  is an exceptional divisor on  $M_{(1)}$  and  $L$  admits a neighborhood which is isomorphic to a tubler neighborhood of the zero section of the normal bundle. Then by H. Grauert [3, Satz 1, p. 341], we see that  $[L] < 0$ .

Proof of (ii). Choosing metrics  $\{a_\lambda\}$  and  $\{b_\lambda\}$  of  $[S]$  and  $[H]$ , we set

$$h = a_\lambda |\zeta_\lambda|^2 + b_\lambda |\eta_\lambda|^2.$$

We choose a local coordinate covering of  $M_{(1)}$  as (4.5). Set

$$c_\lambda^{(1)} = a_\lambda + b_\lambda |u_{\lambda|1}^{(1)}|^2 \quad \text{on } U_{\lambda|1}^{(1)}, \quad c_\lambda^{(2)} = a_\lambda |u_{\lambda|1}^{(2)}|^2 + b_\lambda \quad \text{on } U_{\lambda|1}^{(2)}.$$

Then  $\{c_\lambda^{(i)}\}$  becomes a metric of  $[L]$ . Let  $\{e_\lambda\}$  be a negative metric of  $E$ . Then we have a metric of  $Q^*(E^n) \otimes L$ ,  $\{\tilde{e}_\lambda^{(i)}\}$  by

$$\tilde{e}_\lambda^{(i)} = c_\lambda^{(i)} \cdot Q^{(1)*}(e_\lambda^n) \quad (i = 1, 2).$$

For the proof of (ii), it is sufficient to show that the restriction of the above line bundle to  $L$  is negative. We write

$$\begin{aligned} \partial \bar{\partial} \log \tilde{e}_\lambda^{(i)} &= a_{1,1}^{(i)} dz_\lambda \wedge d\bar{z}_\lambda + a_{1,2}^{(i)} dz_\lambda \wedge d\bar{u}_{\lambda|1}^{(i)} \\ &\quad + a_{2,1}^{(i)} du_{\lambda|1}^{(i)} \wedge d\bar{z}_\lambda + a_{2,2}^{(i)} du_{\lambda|1}^{(i)} \wedge d\bar{u}_{\lambda|1}^{(i)}, \end{aligned}$$

where  $e_\lambda^{(i)} = Q^*(e_\lambda)$  on  $U_{\lambda|1}^{(i)} \cap L$  and

$$\partial \bar{\partial} \log c_\lambda^{(i)} = h_{1,1}^{(i)} dz_\lambda \wedge d\bar{z}_\lambda + h_{1,2}^{(i)} dz_\lambda \wedge d\bar{u}_{\lambda|1}^{(i)}$$

$$+ h_{2,1}^{(i)} du_{\lambda|1}^{(i)} \wedge d\bar{z}_\lambda + h_{2,2}^{(i)} du_{\lambda|1}^{(i)} \wedge d\bar{u}_{\lambda|1}^{(i)}.$$

Here we note that

$$h_{2,2}^{(i)} = a_\lambda b_\lambda |c^{(i)}|^{-2} \quad (i = 1, 2).$$

Since  $E < 0$ , we can find positive constants  $\delta$  and  $C$  such that

$$a_{1,1}^{(i)} \geq \delta, h_{2,2}^{(i)} \geq \delta \quad \text{and} \quad |h_{j,k}^{(i)}| \leq C \quad \text{for} \quad i, j, k = 1, 2.$$

Moreover, from  $Q^*(E) \geq 0$ , we have

$$(6.7) \quad \text{if } a_{2,2}^{(i)} = 0, \text{ then } a_{1,2}^{(i)} = 0 \text{ and } a_{2,1}^{(i)} = 0.$$

Then we see that  $n a_{2,2}^{(i)} + h_{2,2}^{(i)} > 0$  for  $n \geq 0$ . For a sufficiently large  $n$  we have  $n a_{1,1}^{(i)} + h_{1,1}^{(i)} > 0$ . Hence for the proof of the assertion, it is sufficient to show that the following determinant is positive definite for a sufficiently large  $n$ :

$$S = \|n \cdot a_{k,j}^{(i)} + h_{k,j}^{(i)}\|.$$

We see that

$$S \geq n^2(a_{1,1}^{(i)} \cdot a_{2,2}^{(i)} - a_{1,2}^{(i)} \cdot a_{2,1}^{(i)}) + n\delta^2 - nC(a_{2,2}^{(i)} + a_{1,2}^{(i)} + a_{2,1}^{(i)}) - 2C^2.$$

Take a positive constant  $\varepsilon_0$ . Then for any point  $p$  with  $a_{2,2}^{(i)}(p) \neq 0$ , we can satisfy  $S \geq \varepsilon_0$  on some neighborhood of  $p$ . If  $a_{2,2}^{(i)}(p) = 0$ , then by (6.7) we get  $S \geq n\delta^2 - 2C^2$  on some neighborhood of  $p$ . So making  $n$  larger, we have  $S \geq \varepsilon_0$  on a small neighborhood of  $L$ , which proves the assertion.

**Proposition (6.8).** *Suppose that  $\Delta$  is normal. Let  $\Delta_*$  and  $\Omega^*$  be as in Lemma (6.4). Then there exists a positive constant  $c$  such that*

(i)  $\Delta_* \cap \Omega^* \subset \{h < c\}$  and (ii)  $\Delta_*(L_*) = \{h < c\}$ ,

where  $c = \sup_{p \in \Delta_*(L_*)} h$ .

*Proof.* If (i) is not true, then there exists a point  $p_0 \in \Delta_* \cap \Omega^*$  such that  $h(p_0) = c^*$  with  $c^* > c$ . By assumption,  $\Gamma_{p_0}^{*\delta} \subset \Delta_*$  for some  $\delta$ . This yields  $\Gamma_{p_0}^{*\delta} \cap L_* \subset \Delta_*(L_*)$ , which contradicts the definition of  $c$ . (ii) follows from the condition (iii) in Definition (1.4).

First we show (2) in Theorem (II). Note that  $\mu$  is the composition of monoidal transforms, i.e.,  $\mu = Q_{(m)} \circ Q_{(m-1)} \circ \dots \circ Q_{(1)}$ . Then by  $(A)_{(0)}$ ,  $(B)_{(0)}$  and (i) in Proposition (6.5), we see that  $[L]$  is a negative line bundle, where  $L = Q_{(1)}^{-1}(A)$ . By (ii) in the same proposition,  $Q_{(2)}^*([L]^{n_1}) \otimes [L_{(2)}]$  is negative on  $M_{(2)}$  for some  $n_1$ , where  $M_{(2)} = Q_{(1)}^{-1}(M_{(1)})$  and  $L_{(2)} = Q_{(2)}^{-1}(A_{(1)})$ . Repeating this process, we obtain a negative line bundle  $[\Sigma]^n$  on  $M_*$  (for implication, see §3 in O. Suzuki [10]). Next we prove that  $\Delta_*$  is weakly 1-complete. For this we may prove that  $\Delta_*$  satisfies (i), (ii) and (iii) in Lemma (3.5). (i) is satisfied by  $[\Sigma]^n$ . (ii) is satisfied by Proposition (1.8). Now we will check (iii):

$$\Gamma'_c = \{q \in \bar{\Delta}_* : h(q) = c\},$$

$\Gamma_c$  = the connected component of  $\Gamma'_c$  which contains  $\{h=c\} \cap L_*$ .

Since  $\Delta_*$  is a relatively compact domain in  $M_*$ , we can choose neighborhoods  $\Omega_1$  and  $\Omega_2$  of  $\Gamma_c$  so that  $\Omega_1 \Subset \Omega_2$  and  $h(p) < c$  for  $p \in (\Omega_2 - \Omega_1) \cap \bar{\Delta}_*$ . For  $\delta_1$  and  $\delta_2$ , we set

$$U_{\delta_1} = (\Delta_* \cap V_{\delta_1}(A)) \cup \Omega_1 \quad \text{and} \quad U_{\delta_2} = (\Delta_* \cap V_{\delta_2}(A)) \cup \Omega_2.$$

We choose  $\delta_1$  and  $\delta_2$  so that  $U_{\delta_1} \Subset U_{\delta_2}$  holds. Choose a  $C^\infty$ -function  $\alpha(p)$  ( $0 \leq \alpha(p) \leq 1$ ) on  $M$  such that

$$\alpha(p) = \begin{cases} 1 & \text{on } U_{\delta_1} \\ 0 & \text{on } U_{\delta_2}^c \end{cases}$$

and set  $\eta' = \alpha \cdot h$ . Then  $\eta'(p) < c$  holds for  $p \in \Delta_*$  and  $\eta'$  is a pseudoconvex function on  $U_{\delta_1}$ . Set

$$\eta = 1 / (1 - \eta'/c) + \chi(\omega^*),$$

where  $\omega^* = \mu^* \circ \tau^*(\omega')$  (see (1.1)) and  $\chi$  is a convex increasing function. Choosing a suitable  $\chi$ , we get a pseudoconvex function  $\eta$  on  $\Delta_* \cup U_{\delta_2}^c$ .

Now replacing  $D, \Omega, S$  and  $V$  in Lemma (3.5) by  $\Delta_*, \Delta_* \cup (U_{\delta_2}^c - \{h \leq c\}), \Sigma$  and  $U_{\delta_1}$  respectively, we see that (iii) is satisfied. So we find that  $\Delta_*$  is a weakly 1-complete manifold.

*Proof of Theorem III.*

Proof of (i). Because  $E_M = [S]^{-k_0} \otimes [H]^{l_0}$  is of finite order, there exists a positive integer  $r$  such that  $r$ -times tensor product of  $E_M$  is analytically trivial. So

$$f = \zeta_\lambda^{k_0 r} / \eta_\lambda^{l_0 r}$$

is a meromorphic function on  $M$ . Referring to  $|f|^2 = \phi^{*r}$ ,  $f^* = \mu^*(f)$  is a holomorphic function on  $\Delta_*$  and  $g = f^*|_{\Delta_*(L_*)} : \Delta_*(L_*) \rightarrow \mathbf{D}$  is a proper mapping, where  $\mathbf{D}$  is the 1-dimensional disk. Every fibre of  $g$  is connected. We see easily that for any pair of two fibres of  $g$  there exists a holomorphic function on  $\Delta_*$  which separates their values at the given fibres. Also by O. Suzuki [10] (see Theorem 5),  $\Delta_*$  is  $[\Sigma]^{-n}$ -convex except  $\Sigma$ . So we see that each fibre of  $\varpi : \Delta_* \rightarrow \text{Spec } \mathcal{O}(\Delta_*)$  is compact and connected. So by O. Suzuki [10] (see Proposition (2.3))  $\underline{\Delta}_* = \text{Spec } \mathcal{O}(\Delta_*)$  admits the structure of complex space. Also we see that  $\underline{\Delta}_*$  is a weakly 1-complete manifold. Then by a well known Theorem of A. Andreotti and R. Narasimhan [1], we see that  $\underline{\Delta}_*$  is a Stein space.

Proof of (ii). Consider  $\varpi : \Delta_* \rightarrow \text{Spec } \mathcal{O}(\Delta_*)$ . By (i) in Proposition (5.13) every holomorphic function on  $\underline{\Delta}_*$  is constant on  $\Sigma$ . Because  $\underline{\Delta}_*$  is  $[\Sigma]^{-n}$ -convex except  $\Sigma$  (see Theorem 5 in O. Suzuki [10]), we see that  $\varpi^{-1}(\varpi(\Sigma)) = \Sigma$ . Let  $h = g_\lambda |\phi_\lambda'|^2$ , where  $\phi_\lambda$  denotes the minimal defining equation of  $\Sigma'$ . Then  $[\Sigma']^{-n'}$  is a non-negative complex line bundle. In (2) in Theorem 6 in O. Suzuki [10], replacing  $D, S$  and  $A$  by  $\Sigma' \setminus \Sigma$  and  $L_*$ , we prove the assertion.

*Proof of Theorem IV.*

For the proof of Theorem IV, it is sufficient to show the following

**Proposition (6.9).** (i) If  $E_M$  is of finite order, then  $\underline{\Delta}_* = \text{Spec } \mathcal{O}(\Delta_*)$  is the  $K$ -convex hull of  $\underline{\Delta}$  (see Definition (2.5) in O. Suzuki [10]).  
(ii) If  $E_M$  is of infinite order, then  $\underline{\Delta}$  is an  $L$ -manifold.

*Proof.* Proof of (i). Let  $\rho = \tau \circ \mu : \Delta_* \rightarrow \underline{\Delta}$ . Then in view of  $\Sigma = \rho^{-1}(p_0)$ ,  $\Delta_* - \Sigma \cong \underline{\Delta}$ . Take an arbitrary fibre discrete holomorphic mapping  $\underline{\Phi} = (f_1, f_2, f_3) : \underline{\Delta} \rightarrow \mathbf{C}^3$ . Since  $\Delta_* - \Sigma$  is holomorphically separable,  $\lambda = \omega \circ \rho^{-1} : \underline{\Delta} \rightarrow \underline{\Delta}_*$  is injective. We infer that  $\mathcal{O}(\underline{\Delta}) \cong \mathcal{O}(\underline{\Delta}_*)$  by (ii)



in Proposition (5.13). So there exists a fibre discrete mapping  $\underline{\Phi}^* = (\underline{f}_1^*, \underline{f}_2^*, \underline{f}_3^*): \underline{A}_* \rightarrow \mathbb{C}^3$  such that  $\underline{\Phi} = \underline{\Phi}^* \circ \lambda$ . This implies that the  $K$ -convex hull of  $\underline{A}$  is contained in  $\underline{A}_*$ . Because  $\underline{A}_*$  is a Stein space, we prove the assertion. Proof of (ii). In the same manner as in the proof of Proposition (4.4) in O. Suzuki [10], we see that  $\Gamma$  is nothing but  $\varpi(\Sigma)$ , where  $\Gamma$  denotes the closed set which never admit the structure of a complex space (see Introduction in O. Suzuki [10]). Then  $\underline{A}_*$  is a  $B$ -resolution of  $\underline{A}$ , which proves that  $\underline{A}$  is an  $L$ -manifold (see Introduction in O. Suzuki [10]).

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