

Classification of $SO(3)$ -Actions on Five Manifolds

By

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Introduction

P. Orlik and F. Raymond showed that some invariants classify smooth 3-manifolds with smooth S^1 -action, up to equivariant diffeomorphism (preserving the orientation of the orbit space if it is orientable) [6]. And R. W. Richardson JR. studied $SO(3)$ -actions on S^5 [7]. Also, K. A. Hudson classified smooth $SO(3)$ -actions on connected, simply connected, closed 5-manifolds admitting at least one orbit of dimension three [2].

In this paper, we discuss the equivariant classification of smooth $SO(3)$ -actions on closed, connected, oriented, smooth 5-manifolds such that the orbit space is an orientable surface. We call oriented $SO(3)$ -manifolds M and N are equivalent if there is an equivariant homeomorphism between M and N which induces an orientation preserving homeomorphism of the orbit spaces M^* to N^* . Since there exist various types as the principal orbit, we classify $SO(3)$ -manifolds about each type. It is well known that every subgroups of $SO(3)$ are conjugate to one of the following [4], [5].

$SO(2)$, $O(2)$, Z_n , dihedral group $D_n = \{x, y; x^2 = y^n = (xy)^2 = 1\}$, tetrahedral group $T = \{x, y; x^2 = (xy)^3 = y^3 = 1\}$, octahedral group $O = \{x, y; x^2 = (xy)^3 = y^4 = 1\}$, and icosahedral group $I = \{x, y; x^2 = (xy)^3 = y^5 = 1\}$.

T , I and O are isomorphic to the alternating groups A_4 , A_5 and the symmetric group S_4 , respectively. And, as the principal isotropy

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group, we have these groups except $SO(2)$ and $O(2)$.

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§ 0. Preliminaries

Let G be a compact Lie group and M a smooth G -manifold. For $x \in M$, we denote the orbit of x , and the isotropy group at x by $G(x)$ and G_x , respectively. If $H \subset G$, we write $(H) = \{K \subset G ; K \text{ is conjugate to } H \text{ by an inner automorphism of } G\}$, and $M_H = \{x \in M ; G_x = H\}$, $M_{(H)} = \{x \in M ; G_x \in (H)\}$, and $F(H, M) = M^H = \{x \in M ; gx = x \text{ for } \forall g \in H\}$.

The maximal orbit type (H) for orbits in M such that $M_{(H)}$ is open dense in M is called the principal orbit type, and H the principal isotropy group. For a principal orbit P and orbit Q , if $\dim P > \dim Q$, Q is called a singular orbit. If $\dim P = \dim Q$, but the isotropy group K corresponding to Q is not conjugate to H , Q is called an exceptional orbit. And for the orbit space $M^* = M/G$, let $p ; M \rightarrow M^*$ be a natural projection.

The normal bundle at $x \in G(x)$ has fibre $V_x = TM_x / (TG(x))_x$. For each $g \in G_x$, the differential of g induces a linear map $V_x \rightarrow V_x$ providing a representation $G_x \rightarrow GL(V_x)$ called the slice representation. And the following Theorem is given [1].

Slice Theorem. *Some G -invariant open neighbourhood of the zero section of $G \times_{G_x} V_x$ is equivariantly diffeomorphic to a G -invariant tubular neighbourhood of the orbit $G(x)$ in M by the map $[g, v] \rightarrow gv$ so that the zero section G/G_x maps onto the orbit $G(x)$.*

In smooth case, we can choose a suitably small closed disk S_x in V_x called a slice. And it is sufficient to discuss the representation $G_x \rightarrow O(n)$ ($n = \dim S_x$) because M has a G -invariant metric. The representation of each subgroup of $SO(3)$ is the following.

For a finite group G_x , we considered the representation $G_x \longrightarrow SO(2)$ because $SO(3) \times_{G_x} S_x$ and $SO(3)/G_x$ are orientable.

Next, we outline some basic results we need for the proofs of theorems in this paper.

1. G acts on a locally compact space M , and assume that all orbits of G are equivalent. Let $x \in M$, and let $N = \{y \in M ; G_y = G_x\}$. Then N is a locally trivial principal fibre bundle with the group $N(G_x)/G_x(N(G_x) = \text{the normalizer of } G_x)$. M is an associated fibre bundle with G/G_x as fibre.

2. We shall often quote the following Tube Theorem [1] V. 4. 2).

Tube Theorem. Let G be a compact Lie group and let W be a G -space with orbit space $I \times B$, where B is connected, locally connected, paracompact, and of the homotopy type of a CW-complex. Suppose that the orbit type on $\{0\} \times B$ is type (G/K) and that on $(0, 1] \times B$ is type (G/H) . Then there exists an equivariant map $\pi ; G/H \longrightarrow G/K$ and, with $S = S(\pi)$, there exists a principal S -bundle $X \longrightarrow B$ (unique up to equivalence) and a G -equivariant homeomorphism $M_x \times_s X \cong W$ commuting with the canonical projection to $I \times B$. Moreover, the map $\varphi = \pi \times_s X ; G/H \times_s X \longrightarrow G/K \times_s X$ gives rise to a G -equivariant homeomorphism $f ; M_x \longrightarrow M \times_s X \cong W$ over $I \times B$.

$S(\pi)$ in this theorem is given as follows; any G -equivariant map $\pi ; G/H \longrightarrow G/K$ is of the form $R_a^{K,H}$ by $R_a^{K,H}(gH) = ga^{-1}K$ for $a \in G$ satisfying $aHa^{-1} \subset K$ ([1] I. 4. 2). Then we put $S(\pi) = (N(H) \cap a^{-1}N(K)a)/H$.

We shall use this theorem for $H \subset K$ with K/H diffeomorphic to S^1 .

§ 1. Case of the Principal Orbit Type SO(3)

Let M be a closed, connected, oriented, smooth 5-dim. manifold with smooth $SO(3)$ -action whose principal isotropy group consists of the identity element e . Such manifolds have at most three orbit types, i. e. the principal orbit, exceptional orbit $(SO(3)/Z_\mu)$, and singular orbit $(SO(3)/SO(2))$ with the slice representations 1, 5 and 3 respectively. The orbit space M^* is a 2-dim. surface, and $(M_{(SO(2))})^*$ is the boundary of M^* , and $(M_e)^*$ consists of isolated points in M^* . Here, $M_e = \{x \in M ; G_x \text{ is a cyclic group}\}$. From now on, we use M_e in this sense, and orient M^* as follows; Since $SO(3)/H$ is orientable for a finite group H , we orient naturally the tubular neighbourhood $SO(3) \times_{\mathbb{H}} D^2 = V$ and $V^* = D^2/H$, and orient M^* by $V^* \subset M^*$. Also, for $B = \{\text{singular orbits}\} \subset M$, the boundary B^* is oriented so that it followed by an inward normal coincides with the orientation of M^* .

For each boundary component B_i^* , $p^{-1}(B_i^*) \rightarrow B_i^*$ is an $SO(3)/SO(2)$ -bundle with the structure group $N(SO(2))/SO(2) \cong Z_2$. Let f (or m) be the number of boundary connected components so that $p^{-1}(B_i^*) \rightarrow B_i^*$ is a trivial bundle (or non trivial). Then, $M_{SO(2)}$ has $2f+m$ connected components, and B^* has $f+m$ connected components. Let a pair (μ_i, ν_i) be the invariant uniquely determined for each exceptional orbit $SO(3)/Z_{\mu_i}$ ([5], [10]). The purpose of this section is to prove Theorem 1 (where g is the genus of M^*).

Theorem 1. *Let M be a closed, connected, oriented, smooth 5-dim manifold with smooth $SO(3)$ -action, and its principal isotropy group e . Then the following orbit invariants*

$$\{g, (f, m), b \in Z_2 ; (\mu_1, \nu_1), \dots, (\mu_r, \nu_r)\}$$

such that (i) $b=0$ if $f+m \neq 0$, $b \in Z_2$ if $f+m=0$

$$(ii) (\mu_i, \nu_i) = 1, 0 < \nu_i < \mu_i$$

determine M up to an equivariant homeomorphism (which preserves the orientation of M^*).

From now on, we say that some invariants *determine* M if they determine M up to the above equivalence.

Lemma 1-1. *If $M_x \cup M_{(SO(2))} = \phi$, then $\{g, b \in Z_2\}$ determine M .*

Proof. A principal $SO(3)$ -bundle $M \xrightarrow{p} M^*$ is classified by g and the obstruction class $b \in H^2(M^* ; \pi_1(SO(3))) \cong Z_2$. This lemma is immediately proved. q. e. d.

For $x \in M$ with $G_x = Z_{\mu_i}$, G_x -action on the slice $S_x = D^2$ is the slice representation 5, i. e.

$$\xi(r, \theta) = (r, \theta + \nu_i \xi) \quad \xi = 2\pi / \mu_i \quad (\mu_i, \nu_i) = 1, 0 < \nu_i < \mu_i.$$

Let M have r exceptional orbits, then we have

Lemma 1-2. *If M has no singular orbit, the following orbit invariants determine M*

$$\{g, b \in Z_2 ; (\mu_1, \nu_1), \dots, (\mu_r, \nu_r)\}$$

such that $(\mu_i, \nu_i) = 1, 0 < \nu_i < \mu_i$.

Proof. A pair (μ_i, ν_i) specifies a cross section on the boundary of the neighbourhood of the orbit $SO(3)/Z_{\mu_i}$ in the way of Raymond ([5], [6], [10]). We give the brief outline here. $V_i = SO(3) \times_{Z_{\mu_i}} D^2 \supset SO(2) \times_{Z_{\mu_i}} D^2 = U_i$ is a solid torus with $SO(2)$ -action equivalent to

$$\theta(r, \gamma, \delta) = (r, \gamma + \nu_i \theta, \delta + \mu_i \theta)$$

(the exceptional orbit $G(x)$ corresponds to $r=0$). (See Fig. 1-1.)

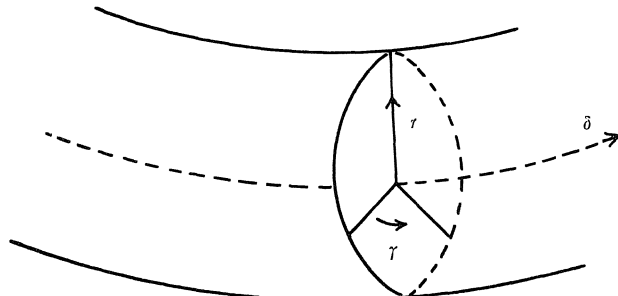


Fig. 1-1

If we give U_i the orientation naturally induced from V_i , then this orients the boundary of the slice m . Let l be an oriented curve on ∂U_i homologous to $SO(2)/Z_{\mu_i}$ in U_i , and so that the ordered pair (m, l) gives the orientation on ∂U_i . For a cross section q' of the bundle $\partial U_i \rightarrow (\partial U_i)^*$, we orient q' so that the ordered pair (q', h) gives the same orientation as (m, l) , where h is an oriented orbit $SO(2)$ on ∂U_i . Then we have

$$m = \mu_i q' + \beta h \quad (\beta > 0), \quad l = -\nu_i q' - \rho h, \quad \text{and} \quad \beta \nu_i \equiv 1 \pmod{\mu_i}$$

and a suitable choice of q' reduces β to $0 < \beta < \mu_i$. The pair (μ_i, ν_i) determines a cross section q_i on ∂U_i , uniquely, (therefore on ∂V_i) such that $m = \mu_i q_i + \beta h$, $\beta \nu_i \equiv 1, 0 < \beta < \mu_i$. Thus the pairs $(\mu_1, \nu_1), \dots, (\mu_r, \nu_r)$ specify the cross sections q_1, q_2, \dots, q_r on $\partial V_1, \dots, \partial V_r$. And we have an obstruction class in $H^2(M^* - \text{Int}(\bigcup_{i=1}^r V_i^*), \partial(\bigcup_{i=1}^r V_i^*); \pi_1(SO(3))) \cong Z_2$, to extend the above cross sections over $M^* - \text{Int}(\bigcup_{i=1}^r V_i^*)$. Its class is identified with the mod 2 integer b . Thus Lemma 1-2 follows. q. e. d.

Next, we consider the case of $M_{(SO(2))} \neq \phi$.

Lemma 1-3. *If $M_e = \phi, M_{(SO(2))} \neq \phi$, then $\{g, (f, m)\}$ determine M .*

Proof. By the Collaring Theorem, $M^* = M_1^* \cup (\bigcup_{i=1}^{f+m} I \times B_i^*)$ with $\{0\} \times B_i^*$ identified with each boundary component B_i^* . Since the equivariant map $SO(3) \xrightarrow{(1)} SO(3)/SO(2)$ is only a canonical projection π up to equivalence, M is constructed as $E(\rho) \cup (\bigcup_{i=1}^{f+m} M_\pi \times_s Q_i)$ by using the Tube Theorem. Here ρ is a principal $SO(3)$ -bundle over M_1^* , and Q_i a principal $S = (N(e) \cap N(SO(2)))/e = O(2)$ -bundle over B_i^* . And each attaching map of $E(\rho)$ to $M_\pi \times_s Q_i$ is an $SO(3)$ equivariant map in $\text{Homeo}_{SO(3)}(SO(3) \times S^1)$ ($\text{Homeo}_G M$ for G -space M , denote the group of self equivalences of M over M/G). Also, $\phi_i \in \text{Homeo}_{SO(3)}(SO(3) \times S^1)$ is induced by an injection of S^1 to $SO(3) \times S^1$.

(1) Two equivariant maps $f, g; SO(3) \rightarrow SO(3)/SO(2)$ are equivalent if there is an $SO(3)$ -equivariant map $\varphi; SO(3) \rightarrow SO(3)$ such that $g \cdot \varphi = f$.

Thus $\text{Homeo}_{SO(3)}(SO(3) \times S^1) \cong \pi_1(SO(3)) \cong Z_2$. Since $SO(3) \supset SO(2)$ represents a generator of $\pi_1(SO(3))$, and the bottom of $M_\pi \times Q_i$ is $(SO(3)/SO(2)) \times Q_i$, ϕ_i can be extended into $M_\pi \times Q_i$. Thus ϕ_i may be considered as the canonical identification. And we can say M is determined by $\{g, (f, m)\}$ because ρ is a trivial bundle.

q. e. d.

Now we prove Theorem 1.

Proof of Theorem 1. It is sufficient to see the case of $M_i \neq \phi$, $M_{(SO(2))} \neq \phi$. (The other case is given by Lemma 1-1, 1-2 or 1-3.) Let V_i be a suitable small tubular neighbourhood of an exceptional orbit $SO(3)/Z_{\mu_i}$. Then the cross sections q_1, q_2, \dots, q_r on $\partial V_1, \dots, \partial V_r$ determined by the pairs $(\mu_1, \nu_1), \dots, (\mu_r, \nu_r)$, can be extended over $M_2^* = M^* - \text{Int}(\bigcup_{i=1}^r V_i^*) - \bigcup_{j=1}^{f+m} [0, 1) \times B_j^*$. We denote this extended cross section by s . Next, we must investigate how to attach $p^{-1}(\{1\} \times B_j^*)$ to $E(\rho)$ where ρ is a principal $SO(3)$ -bundle over M_2^* . In Lemma 1-3, we investigated it with respect to a zero cross section of $\rho/(\{1\} \times B_j^*)$. Thus, taking the above section $s/\{1\} \times B_j^*$ in place of the zero cross section, Theorem 1 follows from the proof of Lemma 1-3.

q. e. d.

§ 2. Case of the Principal Orbit Type $SO(3)/A_5$, or $SO(3)/S_4$

Let M be an oriented 5-dim. $SO(3)$ -manifold with the principal isotropy group A_5 or S_4 . (From now on, we suppose M is closed, connected, smooth and the action is smooth.) Such a manifold M has only principal orbits, and the orbit space M^* is a closed 2-dim. surface. Thus, we have the following theorem immediately because of $N(A_5) = A_5$, $N(S_4) = S_4$.

Theorem 2. *Let M be a closed, connected, oriented, smooth 5-dim. manifold with smooth $SO(3)$ -action, and its principal isotropy group A_5 or S_4 . Then M is determined only by the genus g of M^* up to*

equivariant homeomorphism which preveves the orientation of M^ .*

§ 3. Case of the Principal Orbit Type $SO(3)/A_4$

A 5-dim. $SO(3)$ -manifold M with the principal isotropy group A_4 , has at most two orbit types, i. e. the principal orbit and exceptional orbit $SO(3)/S_4$ with the slice representation 9. And the orbit space M^* is a closed 2-dim. surface, and $(M_{(S_4)})^*$ consists of isolated points in M^* .

Let T_g be an oriented closed 2-dim. surface with the genus g , and M_0 be a non trivial Z_2 -bundle over T_g .

Lemma 3-1. *Let $M_{(S_4)} = \phi$. Then M is equivariantly homeomorphic to $SO(3)/A_4 \times S^2$ if $g=0$, and to $SO(3)/A_4 \times T_g$ or $SO(3)/A_4 \times M_0$ if $g \neq 0$.*

Proof. A bundle $F(A_4, M) \rightarrow M^*$ is classified by an element of $H^1(M^*; N(A_4)/A_4) = H^1(M^*; Z_2)$.

Case 1. Suppose $g=0$. Clearly, M is equivalent to $SO(3)/A_4 \times S^2$.

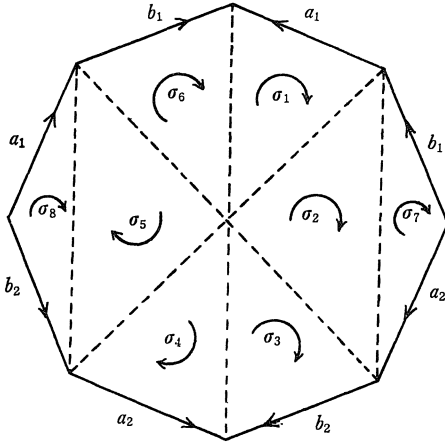
Case 2. Suppose $g=1$. Let ξ, η be Z_2 -principal bundles (over M^*) corresponding to $o(\xi), o(\eta) \in H^1(M^*; Z_2)$. If there is an orientation preserving homeomorphism f of M^* such that $f^*(o(\eta)) = o(\xi)$, then ξ is equivalent to η in the sense of our classification. And, we can easily construct the homeomorphisms φ_2, φ_3 of M^* inducing automorphisms $(\varphi_2)_*, (\varphi_3)_*$ of $H_1(M^*)$ (automorphisms of $\pi_1(M^*)$ are described in [4]).

$$(\varphi_2)_*(a) = ab, (\varphi_2)_*(b) = b, (\varphi_3)_*(a) = b, (\varphi_3)_*(b) = a^{-1}$$

where a, b represent the generators of $H_1(M^*) \cong Z \oplus Z$. Now, we will describe an element of $H^1(M^*; Z_2)$ by a pair (m, n) which maps a and b into mod 2 integer m and n , respectively. Let M_0 be a principal Z_2 -bundle (over M^*) corresponding to $(1, 0)$. Then, by operating $\varphi_2, \varphi_3, F(A_4, M)$ is equivalent to $SO(3)/A_4 \times M^*$ or $SO(3)/A_4 \times M_0$.

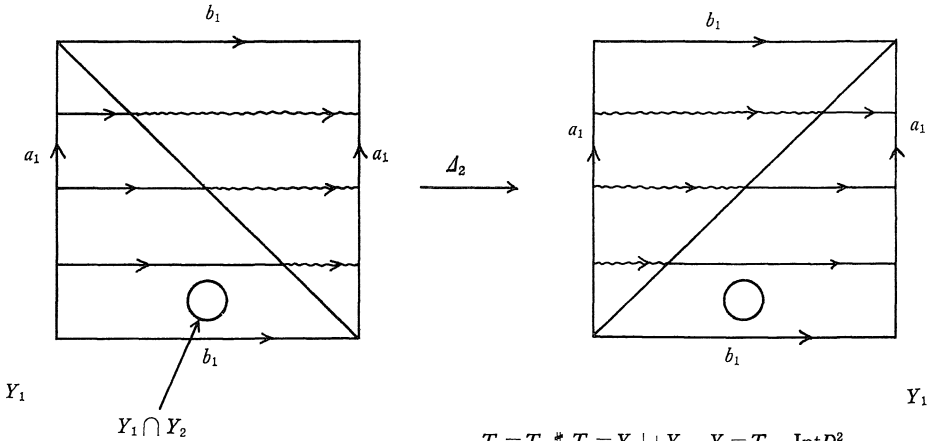
Case 3. Suppose $g=2$. First, we construct homeomorphisms A_1, A_2 of M^* as Fig. 3-1.

Fig. 3-1



$$\begin{aligned} \Delta_1 : \sigma_i &\rightarrow \sigma_{i+1} \quad (1 \leq i \leq 5) \\ \sigma_6 &\rightarrow \sigma_1 \\ \sigma_7 &\leftrightarrow \sigma_8 \end{aligned}$$

$$M^* = T_2$$



$$\begin{aligned} T_2 &= T_1 \# T_1 = Y_1 \cup Y_2 \quad Y_1 = T_1 - \text{Int}D^2 \\ \Delta_2/Y_2 &= \text{identity map} \end{aligned}$$

Then, $\varphi_1 = (\Delta_1)^3$, $\varphi_2 = \Delta_2$, $\varphi_3 = \Delta_1^{-1} \Delta_2 \Delta_1 \Delta_2 \Delta_1^{-1} \Delta_2 \Delta_1$, $\varphi_4 = \varphi_3 \Delta_1$ induce the automorphisms $(\varphi_i)_*$ ($i=1, 2, 3, 4$) of $H_1(T_g)$;

$$\begin{aligned} (\varphi_1)_* ; a_1 &\longrightarrow a_2 & b_1 &\longrightarrow b_2 & a_2 &\longrightarrow a_1 & b_2 &\longrightarrow b_1 \\ (\varphi_2)_* ; a_1 &\longrightarrow a_1 b_1 & b_1 &\longrightarrow b_1 & a_2 &\longrightarrow a_2 & b_2 &\longrightarrow b_2 \\ (\varphi_3)_* ; a_1 &\longrightarrow b_1 & b_1 &\longrightarrow a_1^{-1} & a_2 &\longrightarrow a_2 & b_2 &\longrightarrow b_2 \\ (\varphi_4)_* ; a_1 &\longrightarrow a_2^{-1} a_1^{-1} & b_1 &\longrightarrow b_1^{-1} & a_2 &\longrightarrow b_1^{-1} b_2 & b_2 &\longrightarrow a_2 \end{aligned}$$

By suitably operating φ_i ($i=1, 2, 3, 4$) on M^* , each non-trivial bundle

$F(A_4, M) \longrightarrow M^*$ is equivalent to $M_0 \longrightarrow M_0^*$. Here $M_0 \longrightarrow M_0^*$ corresponds to $(1, 0, 0, 0) \in H^1(T_g; Z_2)$. Thus M is equivalent to $SO(3)/A_4 \times T_g$ or $SO(3)/A_4 \times M_0$.

Case 4. Suppose $g \geq 3$. $H^1(M^*; Z_2) \cong \overbrace{Z_2 \oplus \dots \oplus Z_2}^{2g}$. After applying Case 3 to the last four direct summands, we repeat it to four direct summands between $2g-5$ th and $2g-2$ th. Then an element of $H^1(M^*; Z_2)$ is regarded as $(\cdot, \cdot, \dots, \cdot, 1, 0, 0, 0, 0, 0)$ or $(\cdot, \cdot, \dots, \cdot, 0, 0, 0, 0, 0, 0)$ up to equivalence. Repeating this process, we can say M is equivalent to $SO(3)/A_4 \times M^*$, or $SO(3)/A_4 \times M_0$ where $M_0 \longrightarrow M_0^*$ corresponds to $(1, 0, \dots, 0)$.

This completes the proof of Lemma 3-1. q. e. d.

We define $\varepsilon=0$ if a principal Z_2 -bundle over a closed surface is a trivial bundle, and $\varepsilon=1$ if it is not so.

Let $(M_{(s,r)})^* = \{x_1^*, \dots, x_r^*\}$ ($M_{(s,r)}$ has r isolated orbits).

Theorem 3. *Let M be a closed, connected, oriented, smooth 5-dim. manifold with smooth $SO(3)$ -action, and its principal isotropy group A_4 . Then, $\{g, \varepsilon \in \{0, 1\}, r\}$ determines M up to equivariant homeomorphism (which preserves the orientation of M^*) provided (i) $\varepsilon=0$ if $g=0$, and (ii) r is even.*

Proof. For a suitable neighbourhood D_i^* of x_i^* in M^* , $p^{-1}(D_i^*)$ is equivariantly diffeomorphic to $SO(3) \times D^2$. From S_4 -action on D^2 , an equivariant sewing between $F(A_4, p^{-1}(\partial D_i^*))$ and $F(A_4, p^{-1}(\partial M_1^*))$ is only the identity map (up to equivalence) where $M_1^* = M^* - \text{Int}(\bigcup_{i=1}^r D_i^*)$. Also, M_1^* is regarded as $M_2^* \cup D(r)$. $D(r)$ is given by removing open r disks $\text{Int}(\bigcup_{i=1}^r D_i^*)$ from a 2-dim. disk, and M_2^* is an oriented surface with one boundary and the genus g . Then a Z_2 -principal bundle over ∂M_2^* is a trivial bundle, and ∂M_2^* is homologous to $\bigcup_{i=1}^r \partial D_i^*$ in M_1^* . Thus r is even because $F(A_4, p^{-1}(\partial D_i^*)) \longrightarrow D_i^*$ is a non-trivial bundle. Therefore, if r is given, then the classification of M is reduced to that of principal Z_2 -bundles over M_1^* . Moreover, it is reduced to Lemma

3-1 because $F(A, p^{-1}(\partial M_1^*)) \longrightarrow \partial M_1^*$ is a trivial bundle. Therefore, $\{g, \epsilon, r\}$ determine M . q. e. d.

§ 4. Case of the Principal Orbit Type $(SO(3)/D_n)$ ($n \geq 3$)

Let M be a 5-dim. $SO(3)$ -manifold with the principal isotropy group $D_n (n \geq 3)$. Then M has at most three orbit types, i. e. the principal orbit, exceptional orbit $(SO(3)/D_{2n})$, and singular orbit $(SO(3)/O(2))$ with the slice representations 6-(b) and 4-(b). The orbit space M^* is a 2-dim. surface, $(M_{(O(2))})^*$ becomes the boundary of M^* , and $(M_{(D_{2n})})^*$ consists of isolated points in M^* .

$F(D_n, p^{-1}(\{1\} \times B_i^*)) \longrightarrow \{1\} \times B_i^*$ is a principal $N(D_n)/(D_n \cong Z_2$ -bundle when we denote the collar of each boundary component B_i^* by $I \times B_i^*$ with $\{0\} \times B_i^*$ identified with B_i^* . Let $f(m)$ be the number of boundary connected components such that $F(D_n, p^{-1}(\{1\} \times B_i^*)) \longrightarrow \{1\} \times B_i^*$ is a trivial Z_2 -bundle (non-trivial Z_2 -bundle). Then $f+m$ is the number of connected components of $M_{(O(2))}$. And let M have r exceptional orbits, and ϵ be the invariant to classify Z_2 -bundle $F(D_n, M_{(D_n)}) \longrightarrow (M_{(D_n)})^*$, defined in §3. Then we have the following Theorem 4, and the purpose of this section is to prove this theorem.

Theorem 4. *Let M be a closed, connected, oriented, smooth 5-dim. manifold with smooth $SO(3)$ -action, and its principal isotropy group $D_n (n \geq 3)$. Then the following orbit invariants determine M up to equivariant homeomorphism (which preserves the orientation of M^*)*

$$\{g, \epsilon \in \{0, 1\}, (f, m), r\}$$

such that (i) $\epsilon=0$ if $g=0$, (ii) $m+r$ is even.

Lemma 4-1. *If $M_{(O(2))} \neq \emptyset, M_{(D_{2n})} = \emptyset$, then $\{g, \epsilon \in \{0, 1\}, (f, m)\}$ determine M up to equivalence. And m must be even.*

Proof. By the Collaring Theorem, $M^* = M_1^* \cup (\bigcup_{i=1}^{f+m} I \times B_i^*)$ with $\{0\} \times B_i^*$ identified with each boundary component B_i^* . Then $\rho ; p^{-1}(M_1^*) \longrightarrow M_1^*$ is an $SO(3)/D_n$ -bundle. There is only one simultaneous

conjugacy class in $SO(3)$ of pairs (H, K) where $H \subset K$, with H conjugate to D_n , and K to $O(2)$. Thus, the $SO(3)$ -equivariant map of $SO(3)/D_n$ to $SO(3)/O(2)$ is only a canonical projection π up to equivalence. (There is a one-one correspondence between the simultaneous conjugacy classes of (H, K) and equivalence classes of equivariant maps $G/H \rightarrow G/K$ ([1], V. 4. 3).)

Now we put $S = (N(D_n) \cap N(O(2)))/D_n \cong Z_2$, $N = N(D_n)/D_n \cong Z_2$. By the Tube Theorem, M can be constructed as

$$E(\rho) \cup_{\phi_i} \left(\bigcup_{i=1}^{f+m} M_{\pi} \times_S Q_i \right)$$

where Q_i is a principal S -bundle over B_i^* , and ρ is an $SO(3)/D_n$ -bundle over M_1^* . If P_i is the associated principal N -bundle to $\rho/\{1\} \times B_i^*$, then there is a one-one correspondence between classes of S -equivariant maps of Q_i to P_i , and the classes of $SO(3)$ -equivariant maps of $SO(3)/D_n \times_S Q_i$ to $SO(3)/D_n \times_N P_i$ ([1], V. 3. 2). Let Q_i be a trivial S -bundle, then the S -equivariant map is either identity map or f_i given by

$$f_i(1, y) = (b, y) \text{ for } y \in \{1\} \times B_i^*, Z_2 = \{1, b\}.$$

Thus ϕ_i is either identity map or \tilde{f}_i induced by f_i . But \tilde{f}_i can be extended into $M_{\pi} \times_S Q_i$ because the bottom of M_{π} is $SO(3)/O(2)$. Thus ϕ_i may be considered as the identity map (up to equivalence). Similarly, the equivariant map may be considered as the identity map when Q_i, P_i are non-trivial bundles.

From the same argument as §3, it is seen that m must be even, and ρ is determined by ϵ . Then the lemma is proved. q. e. d.

Lemma 4-2. *If $M_{(0(2))} = \phi$, $M_{(D_{2n})} \neq \phi$, then $\{g, \epsilon \in \{0, 1\}, r\}$ determine M up to equivalence. In particular, r is even.*

Proof. For $x_i \in M_{D_{2n}}$, by investigating D_{2n} -action on the slice D_i^2 at x_i , M is constructed as $E(\rho) \cup \left(\bigcup_{i=1}^r SO(3) \times_{D_{2n}} D_i^2 \right)$ where ρ is an $SO(3)/D_n$ -bundle over $M^* - \text{Int} \left(\bigcup_{i=1}^r D_i^2/D_{2n} \right)$. Since $F(D_n, SO(3) \times_{D_{2n}} \partial D_i^2) \rightarrow \partial D_i^2/D_{2n} = S^1$ is a non-trivial Z_2 -bundle, r must be even. Thus, Lemma is proved. q. e. d.

In the similar way to §3, it is shown that $r+m$ is even if $M_{SO(2)} \neq \phi$, $M_{(D_{2n})} \neq \phi$. Then, Theorem 4 is immediately given from Lemma 4-1 and 4-2.

§ 5. Case of the Principal Orbit Type $(SO(3)/Z_k)$ ($k \geq 3$)

Let M be a 5-dim. $SO(3)$ -manifold with the principal isotropy group $Z_k(k \geq 3)$. Then M has at most four orbit types, i. e. the principal orbit, exceptional orbits $(SO(3)/D_k)$, and $(SO(3)/Z_{kq})$, and singular orbit $(SO(3)/SO(2))$ with the slice representations 6, 5 and 3 respectively. The orbit space M^* is a 2-dim. surface, $(M_{(SO(2))})^*$ is the boundary of M^* , and $(M_{(D_k)})^* \cup (M_e)^*$ consists of isolated points in M^* .

For boundary components $\cup B_i^*$, let f be the number of boundary components so that $F(SO(2), p^{-1}(B_i^*)) \rightarrow B_i^*$ is a trivial bundle, and m of non-trivial bundle (i. e. $M_{SO(2)}$ has $2f+m$ connected components). And let d be the number of exceptional orbits $(SO(3)/D_k)$, (μ_i, ν_i) be the invariant defined for $SO(3)/Z_{\mu_i k}$ in the same way as §1. And let ε be the invariant defined in §3.

The purpose of this section is to prove the following theorem.

Theorem 5. *Let M be a closed, connected, oriented, 5-dim. smooth manifold with smooth $SO(3)$ -action, and its principal isotropy group $Z_k(k \geq 3)$. Then the following orbit invariants determine M up to an equivariant homeomorphism (which preserves the orientation of M^*)*

$$\{g, \varepsilon \in \{0,1\}, b \in Z, (f,m), d; (\mu_1, \nu_1), \dots, (\mu_r, \nu_r)\}$$

- such that (i) $\varepsilon=0$ if $g=0$, (ii) $b=0$ if $f+m \neq 0$,
 (iii) $(\mu_i, \nu_i)=1, 0 < \nu_i < \mu_i$ (iv) $m+d$ is even.

An integer b in this theorem, corresponds to the secondary obstruction class for a principal $O(2)/Z_k$ -bundle over M^* . We will make its details clear in the proof of Lemma 5-1.

Lemma 5-1. *If $M_{(D_k)} \cup M_e \cup M_{(SO(2))} = \phi$, then $\{g, \varepsilon \in \{0, 1\}, b \in Z\}$*

determine M up to equivalence. In particular $\varepsilon=0$ if $g=0$.

Proof. $\xi; F(Z_k, M) \longrightarrow M^*$ is a principal $O(2)/Z_k$ -bundle, and $H^1(M^*; \pi_0(O(2)/Z_k)) \cong \overbrace{Z_2 \oplus, \dots, \oplus Z_2}^{2g}$. Thus we may assume ξ corresponds to $\varepsilon=0$ or $\varepsilon=1$. (See §3.) Clearly, if $\varepsilon=0$, then the classification of ξ depends only on g , and an obstruction class $b \in H^2(M^*; \pi_1(O(2)/Z_k)) \cong Z$. If $\varepsilon=1$, then M^* is considered as follows;

$$M^* = T_g = M_1^* \cup M_2^*, \quad M_1^* = T_1 - \text{Int } D^2, \quad M_2^* = T_{g-1} - \text{Int } D^2$$

$$T_g = c_1 d_1 c_1^{-1} d_1^{-1} \dots c_g^{-1} d_g^{-1}, \quad T_1 = c_1 d_1 c_1^{-1} d_1^{-1}$$

$\varepsilon=1$ means ξ/c_1 is a non-trivial and $\xi/c_i (i \neq 1)$, ξ/d_j are trivial $O(2)/Z_k$ -bundles. Then we can construct a double covering \tilde{N} of $F(Z_k, p^{-1}(M_1^*))$ such that $\tilde{N} \longrightarrow \tilde{N}/(O(2)/Z_k) = \tilde{N}^*$ is a trivial $O(2)/Z_k$ -bundle, and \tilde{N}^* is also a double covering of M_1^* . If we specify a cross section $\tilde{s}; \tilde{N}^* \longrightarrow \tilde{N}$, then \tilde{s} uniquely determines a cross section s of $\xi/\partial M_1^*$. Thus, ξ is determined by the genus g of M^* , and the obstruction class to extend the specified cross section $s/\partial M_1^*$ over M_2^* , i. e. by $b \in H^2(M_2^*, \partial M_2^*; \pi_1(O(2)/Z_k)) \cong Z$. Clearly $\varepsilon=0$ if $g=0$.

q. e. d.

Lemma 5-2. *If $M_{(D^2)} \cup M_c = \phi$, then $\{g, \varepsilon \in \{0, 1\}, (f, m)\}$ determine M up to equivalence. In particular, (i) $\varepsilon=0$ if $g=0$, and (ii) m is even.*

Proof. Let $B_i^* (i=1, 2, \dots, f+m)$ be a boundary component. Then, $M^* = M_1^* \cup (\bigcup_{i=1}^{f+m} I \times B_i^*)$ with $\{0\} \times B_i^*$ identified with B_i^* . We denote an $SO(3)/SO(2)$ -bundle $p^{-1}(B_i^*) \longrightarrow B_i^*$ by σ_i , and an $SO(3)/Z_k$ -bundle $p^{-1}(M_1^*) \longrightarrow M_1^*$ by ρ . $\rho/\{1\} \times B_i^*$ is a trivial bundle iff σ_i is a trivial bundle. By the Tube Theorem, M can be constructed as $E(\rho) \cup (\bigcup_{i=1}^{f+m} M_{\phi_i}^*)$ where ϕ_i is an equivariant map of $p^{-1}(\{1\} \times B_i^*)$ to $p^{-1}(B_i^*)$. Also we have precisely one (up to equivalence) as ϕ_i . (In fact, we may take a natural projection.) This implies M_{ϕ_i} depends only on (f, m) . Thus M depends on ρ and (f, m) . Now, we remove $f+m$ open disks from S^2 , and denote it by X . Then $M_1^* = T_g \# X = M_2^* \cup M_3^*$ where

$M_2^* = T_g - \text{Int } D^2$, $M_3^* = X - \text{Int } D^2$, and ρ/M_2^* depends only on ε and g , ρ/M_3^* only on (f, m) . Moreover, we may regard $\rho/\partial M_2^*$ is attached to $\rho/\partial M_3^*$ by the identity map. For, ∂X corresponds to $\bigcup_{i=1}^{f+m} \{1\} \times B_i^*$, and M is unchanged up to equivalence even if we exchange an equivariant attaching ϕ_i of M_{ϕ_i} . Hence, ρ depends only on ε and (f, m) and M is determined by ε, g and (f, m) . Also, it is seen m is even in the same way as §3. q. e. d.

Let $(M_{(D_k)})^* = \{x_1^*, \dots, x_d^*\} \subset M^*$, i. e. M has d exceptional orbits of type $SO(3)/D_k$.

Lemma 5-3. *If $M_\varepsilon \cup M_{(SO(2))} = \phi$, $M_{(D_k)} \neq \phi$, then $\{g, \varepsilon \in \{0, 1\}, d, b \in \mathbb{Z}\}$ determine M up to equivalence. Moreover (i) $\varepsilon = 0$ if $g = 0$, and (ii) d is even.*

Proof. For a suitable neighbourhood D_i^* of x_i^* , and a principal $O(2)/Z_k$ -bundle ρ over $M_1^* = M^* - \text{Int} (\bigcup_{i=1}^d D_i^*)$, $F(Z_k, M)$ is constructed as

$$E(\rho) \cup (\bigcup_{i=1}^d F(Z_k, p^{-1}(D_i^*))).$$

$E(\rho)$ is attached to $F(Z_k, p^{-1}(D_i^*))$ by $\varphi_i \in \text{Homeo}_{O(2)/Z_k}(O(2)/Z_k \times S^1)$. Since $F(Z_k, p^{-1}(\partial D_i^*))$ is a non-trivial $O(2)/Z_k$ -bundle, d is even by the same reason as §3.

Now, if we remove d open disks from S^2 , and denote it by Y , then $M_1^* = T_g \# Y = M_2^* \cup M_3^*$ where $M_2^* = T_g - \text{Int } D^2$, $M_3^* = Y - \text{Int } D^2$. Let \tilde{M}_3 be a double covering of $F(Z_k, p^{-1}(M_3^*))$ such that $\tilde{M}_3 \longrightarrow \tilde{M}_3 / (O(2)/Z_k) = \tilde{M}_3^*$ is a trivial $O(2)/Z_k$ -bundle. Since φ_i is determined by an injection f_i of S^1 to $O(2)/Z_k \times S^1$, we can specify a cross section $\tilde{s}; \tilde{M}_3^* \longrightarrow \tilde{M}_3$ by extending the lifting $\{\tilde{f}_i\}$ of $\{f_i\}$. Then \tilde{s} determines a cross section $s'; \partial M_3^* \cap M_2^* \longrightarrow F(Z_k, p^{-1}(\partial M_3^* \cap M_2^*))$ uniquely (i. e. s' depends only on $\{\varphi_i\}$). Taking a specified cross section s over ∂M_2^* defined in §5-1, then we can see that M is determined by ρ/M_2^* , d and how to attach $\rho/\partial M_2^*$ to $\rho/\partial M_3^*$ with respect to the specified cross sections s, s' , i. e. by $b \in \text{Homeo}_{O(2)/Z_k}(O(2)/Z_k \times S^1) \cong Z$. Since ρ/M_2^* is

classified by $\varepsilon=0$ or 1, the lemma was proved.

q. e. d.

If M_c has r connected components, i. e. $(M_c)^*$ has r isolated points $\{x_1^*, \dots, x_r^*\}$, then the following lemma is given in the similar way to §1.

Lemma 5-4. *If $M_{(D_r)} \cup M_{(SO(2))} = \phi$, then the following orbit invariants determine M up to equivalence ;*

$$\{g, \varepsilon \in \{0, 1\}, b \in Z; (\mu_1, \nu_1), \dots, (\mu_r, \nu_r)\}$$

such that (i) $\varepsilon=0$ if $g=0$, (ii) $(\mu_i, \nu_i)=1, 0 < \nu_i < \mu_i$.

Now, we prove Theorem 5 from the above lemmas.

Proof of Theorem 5. It is sufficient to see the case of having at least two orbit types except the principal orbit type. First, suppose $M_{(SO(2))} = \phi$. And let $S_{(3)}^2$ be the space given by removing 3 open disks from S^2 , $D_i^2 (i=1, 2)$ a 2-dim. disk, and T'_g a 2-dim. surface with the genus g and one boundary. Then we may regard M^* as

$$S_{(3)}^2 \cup T'_g \cup D_1^2 \cup D_2^2$$

by canonically identifying the boundaries of T'_g, D_i^2 with three boundary components of $S_{(3)}^2$, respectively. And we may suppose $(M_{(D_r)})^* \subset D_1^2, (M_c)^* \subset D_2^2$. Then, $p^{-1}(D_1^2)$ depends only on d , and $p^{-1}(D_2^2)$ only on the invariants $\{(\mu_1, \nu_1), \dots, (\mu_r, \nu_r)\}$, and $p^{-1}(T'_g)$ on $\{g, \varepsilon\}$. Moréover, taking the cross sections on $\partial D_1^2, \partial D_2^2, \partial T'_g$ defined in §5-3, §1 and §5-1, we can see M is determined by the above invariants and the obstruction class to extend this cross sections over $S_{(3)}^2$, i. e. by $b \in H^2(S_{(3)}^2, \partial S_{(3)}^2; \pi_1(O(2)/Z_k)) \cong Z$. Also, if we suppose $M_{(SO(2))} \neq \phi$, it is seen b is zero by the argument of §5-2. Thus, M is determined by $\{g, \varepsilon, b, (f, m), d; (\mu_1, \nu_1), \dots, (\mu_r, \nu_r)\}$ such that $b=0$ if $f+m \neq 0$. Also we can easily seen that $m+d$ is even.

§ 6. Case of the Principal Orbit Type ($SO(3)/Z_2$)

An oriented 5-dim. $SO(3)$ -manifold M with the principal isotropy

group Z_2 , has at most five orbit types, i.e. the principal orbit, exceptional orbits $(SO(3)/D_2)$, $(SO(3)/Z_{2q})$, and singular orbits $(SO(3)/SO(2))$, $(SO(3)/O(2))$ with slice representations 6-(a), 5, 3 and 4-(b), respectively. If M has not a singular orbit type $(SO(3)/O(2))$, then we can apply the argument of §5 to this case in its entirety. Thus, we shall discuss only the case of having orbit $(SO(3)/O(2))$.

It is easily seen that $(M_{(O(2))})^* \cup (M_{(SO(2))})^*$ is the boundary of 2-dim. surface M^* , and $(M_e)^* \cup (M_{(D_2)})^*$ consists of isolated points in M^* . We put $(M_{(O(2))})^* = \cup B_i^*$ where B_i^* is a boundary component, and denote the collar of each boundary component B_i^* by $I \times B_i^*$ with $\{0\} \times B_i^*$ identified with B_i^* . Let k be the number of boundary components so that $F(Z_2, p^{-1}(\{1\} \times B_i^*)) \longrightarrow \{1\} \times B_i^*$ is a trivial bundle, and n of a nontrivial bundle. (Then $M_{(O(2))}$ has $k+n$ connected components.)

Lemma 6-1. *If $M = M_{(Z_2)} \cup M_{(O(2))}$, then $\{g, \varepsilon \in \{0, 1\}, (k, n), b \in Z\}$ determine M up to equivalence. In particular, (i) $\varepsilon = 0$ if $g = 0$, and (ii) n is even.*

Proof. First, we show there exists only canonical projection as equivariant map of $SO(3)/Z_2$ to $SO(3)/O(2)$, because we want to use the Tube Theorem. For $H \subset K \subset G$, it is known there is a natural one-one correspondence between the equivalence classes of equivariant maps $G/H \longrightarrow G/K$ and orbits of the action of $N(H)/H \times N(K)/K$ on $F(H, G/K)$ where $N(H)/H$ acts on the left and $N(K)/K$ acts on the right ([1], p. 245). Now we take subgroups of $SO(3)$ which consist of the following matrices.

$$H = \left\{ 1, \begin{pmatrix} 1, & 0, & 0 \\ 0, & -1, & 0 \\ 0, & 0, & -1 \end{pmatrix} \right\} \text{ and } K = \left\{ \begin{pmatrix} * & | & 0 \\ - & | & \\ 0 & | & \pm 1 \end{pmatrix} \in SO(3) \right\}.$$

(K is conjugate to $O(2)$, and H to Z_2 .)

Then $F(H, SO(3)/K) / (N(H)/H \times N(K)/K) = \{eK \cup AK \cup A^2K\}$ where

$$A = \begin{pmatrix} 0, & 1, & 0 \\ 0, & 0, & 1 \\ 1, & 0, & 0 \end{pmatrix} \in SO(3), \quad A^3 = 1.$$

These orbits correspond to $SO(3)$ -equivariant maps,

$$\varphi_i ; SO(3)/H \longrightarrow SO(3)/K \text{ by } \varphi_i(gH) = gA^iK \quad (i=0, 1, 2).$$

But, by Bredon ([1] p. 200, Cor 6-3), N_i/H must be homeomorphic to S^1 where N_i is the isotropy group $A^iK(A^i)^{-1}$ of A^iK . This implies that there exists only the canonical projection $\varphi_0(gH) = gK$. We depended on K. A. Hudson [3] for this argument.

We rewrite π for φ_0 . Let Q_i be a principal S -bundle over B_i^* for $S = (N(H) \cap N(K))/H \cong Z_2$, and let ρ be an $SO(3)/H$ -bundle over $M_1^* = M^* - (\bigcup_{i=1}^{k+n} [0, 1) \times B_i^*)$. Then, by the Tube Theorem, M is equivalent to

$$E(\rho) \cup_{\phi_i} (\bigcup_{i=1}^{k+n} M_{\pi} \times_s Q_i).$$

Also, ϕ_i is an equivariant homeomorphism of $\rho/\{1\} \times B_i^*$ to the top of $M_{\pi} \times_s Q_i$, i. e. $SO(3)/K \times_s Q_i$. Since Q_i is a trivial bundle iff P_i is so,

$$\begin{aligned} \phi_i &\in \text{Homeo}_{SO(3)/H}(SO(3)/H \times S^1) = \text{Homeo}_{N(H)/H}(N(H)/H \times S^1) \\ \text{or } \phi_i &\in \text{Homeo}_{N(H)/H}(N(H)/H \times S^1). \end{aligned}$$

In §4-1, such a ϕ_i was uniquely determined (up to equivalence), but in this case we have various types. In fact,

$$\phi_i^j(eH, x) = (g_j(x)H, x) = (jg_1(x), x) \text{ for } j \in Z$$

is an equivariant homeomorphism in $\text{Homeo}_{SO(3)/H}(SO(3)/H \times S^1)$ where $g_1(x)$ generates $\pi_1(N(H)/H) \cong Z$. And

$$(E(\rho) \cup_{\phi_i} (\bigcup_{i=1}^{k-1+n} M_{\pi} \times_s Q_i)) \cup_{\phi_k^s} (M_{\pi} \times_s Q_k)$$

is not equivalent to

$$(E(\rho) \cup_{\phi_i} (\bigcup_{i=1}^{k-1+n} M_{\pi} \times_s Q_i)) \cup_{\phi_k^t} (M_{\pi} \times_s Q_k)$$

if $t \neq s$. Thus an obstruction element $b \in Z$ is determined by how to attach $\rho/\{1\} \times B_i^*$ to $SO(3)/H \times_s Q_i$, in the same way as §5-3.

Strictly speaking, if we put

$$M_1^* = M_2^* \cup Y, \quad M_2^* = T_g - \text{Int } D^2, \quad Y = D^2 - \text{Int} \left(\bigcup_{i=1}^{f+m} D_i^2 \right),$$

then, $b \in \mathbb{Z}$ is determined by how to attach $\rho/\partial M_2^*$ to $\rho/\partial Y \cap M_2^*$ with respect to two specifying cross sections, i. e. the cross section on $\partial Y \cap M_2^*$ induced from the above attaching maps $\{\phi_i\}$, and the cross section on ∂M_2^* determined in the similar way to § 5-1. Here ρ/M_2^* depends only on $\{g, \varepsilon\}$. Then Lemma is proved. q. e. d.

Let invariants $\{g, \varepsilon, b, (f, m), d; (\mu_1, \nu_1), \dots, (\mu_r, \nu_r)\}$ be the same as in Theorem 5. Since it is easily checked that $m+n+d$ is even, Lemma 6-1 and Theorem 5 gives the following theorem.

Theorem 6. *Let M be a closed, connected, oriented, smooth 5-dim. manifold with smooth $SO(3)$ -action, and its principal isotropy group Z_2 . Then the following orbit invariants determine M up to an equivariant homeomorphism (which preserves the orientation of the orbit space M^*)*

$$\{g, \varepsilon \in \{0, 1\}, b \in \mathbb{Z}, (f, m), (k, n), d; (\mu_1, \nu_1), \dots, (\mu_r, \nu_r)\}$$

such that

- (i) $\varepsilon = 0$ if $g = 0$,
- (ii) $m+n+d$ is even,
- (iii) $b = 0$ if $f+m \neq 0$,
- (iv) $(\mu_i, \nu_i) = 1, 0 < \nu_i < \mu_i$.

§ 7. Case of the Principal Orbit Type ($SO(3)/D_2$)

In this section, we treat $SO(3)$ -manifold M whose principal orbit type is $(SO(3)/D_2)$. Such a manifold has at most five orbit types, i. e. principal orbit, exceptional orbits $(SO(3)/D_4)$, $(SO(3)/A_4)$, singular orbit $(SO(3)/O(2))$ and fixed point $SO(3)/SO(3)$, with the slice representations 6-(b), 8, 4-(b) and 2-(b) respectively. Then $(M_{(D_4)})^* \cup (M_{(A_4)})^*$ consists of isolated points in a 2-dim. surface M^* , and $(M_{(O(2))})^* \cup (M_{SO(3)})^*$ is the boundary of M^* , and the fixed points set $(M_{SO(3)})^*$ consists of isolated points in ∂M^* . (We shall detail the case of having fixed points in the latter half of this section.)

First, we consider the case M has only principal orbit. Then

$F(D_2, M) \longrightarrow M^*$ is a principal $N(D_2)/D_2 \cong D_3$ -bundle. According to the Classification Theorem ([9], 13. 9), the usual bundle equivalence classes of D_3 -principal bundles over M^* are in one-one correspondence with the equivalence classes (under inner automorphisms of D_3) of homomorphisms of $\pi_1(M^*)$ into D_3 . Let D_3 -bundles ξ and η correspond to homomorphisms f and g , respectively. If there is an orientation preserving homeomorphism φ of M^* such that $\varphi^* \circ f = g$, then ξ is equivalent to η in our classification (even if ξ is not equivalent to η as the usual bundle equivalence). So, we shall say f and g with such a homeomorphism are equivalent, too.

Now we put

$$\pi_1(M^*) = \{\alpha_1, \beta_1, \dots, \alpha_g, \beta_g ; [\alpha_1, \beta_1] \dots [\alpha_g, \beta_g] = 1\}$$

by the canonical generators. (Here g is the genus of M^* , and $[\alpha_i, \beta_i]$ is the commutator of α_i and β_i .) Then there is a relation:

$$f([\alpha_1, \beta_1][\alpha_2, \beta_2] \dots [\alpha_g, \beta_g]) = 1 \quad \text{for } f \in \text{Hom}(\pi_1(M^*) ; D_3)$$

First, we investigate the equivalence classes of $\text{Hom}(\pi_1(M^*) ; Z_p)$ for a prime number p . For a generator x of Z_p we use a symbol $(*, *, \dots, *, x^i, x^t, *, \dots, *)$ in place of f with $f(\alpha_i) = x^i, f(\beta_i) = x^t$. Then, it is easily seen that there are the following relations for φ_i ($i=1, 2, 3, 4$) constructed in § 3.

$$\begin{array}{l} \text{(i)} \quad (x^t, x^t) \xrightarrow{\varphi_2^*} (x^{t+t}, x^t) \xrightarrow{\varphi_2^*} \dots \xrightarrow{\varphi_2^*} (x^{p-1}, x^t) \\ \quad \quad \quad \downarrow \varphi_3^* \\ (1, x) \xrightarrow{\varphi_2^*} \dots \xrightarrow{\varphi_2^*} (x^{t-1}, x) \xrightarrow{\varphi_2^*} (x^t, x) \\ \quad \quad \quad \downarrow \varphi_1^* \\ \quad \quad \quad (x, 1) \end{array}$$

$$\begin{array}{l} \text{(ii)} \quad (x, 1, x, 1) \longrightarrow \dots \longrightarrow (x, 1, x^{-1}, 1) \\ \quad \quad \quad \downarrow \varphi_4^* \\ \quad \quad \quad (1, 1, x, 1) \xrightarrow{\varphi_3^*} (1, 1, 1, x). \end{array}$$

From these relations (i), (ii), it is seen that the equivalence classes of $\text{Hom}(\pi_1(M^*) ; Z_p)$ are exactly two classes, i.e. $(1, 1, \dots, 1, 1)$ and $(1, 1, \dots, 1, 1, x, 1) = f_1$.

Let $\pi ; D_3 \longrightarrow D_3/Z_3 \cong Z_2 = \{1, x\}$ be a natural projection where

$$D_3 = \{x, y ; x^2 = y^2 = (xy)^2 = 1\} \supset Z_3 = \{1, y, y^2\}.$$

Since $\varphi_i^* \cdot \pi_* = \pi_* \cdot \varphi_i^*$ ($i=1, 2, 3, 4$) for π_* ; $\text{Hom} (\pi_1(M^*) ; D_3) \longrightarrow \text{Hom} (\pi_1(M^*) ; D_3/Z_3)$, the equivalence classes of $\text{Hom} (\pi_1(M^*) ; D_3)$ are given by computing the classes of $\pi_*^{-1}(1)$ and $\pi_*^{-1}(f_i)$. By the above argument,

$$\pi_*^{-1}(1) = \text{Hom} (\pi_1(M^*) ; Z_3) \subset \text{Hom} (\pi_1(M^*) ; D_3)$$

has only two classes, i. e.

$$(1, 1, \dots, 1, 1) \cdots (1) \text{ and } (1, 1, \dots, 1, 1, 1, y) \cdots (2).$$

Also, every elements of $\pi_*^{-1}(f_i)$ take the form of

$$(y^{i_1}, y^{j_1}, \dots, y^{i_{g-1}}, y^{j_{g-1}}, xy^{i_g}, y^{j_g}) \cdots (3)$$

$$(0 \leq i_k, j_k \leq 2).$$

Applying the above argument ($p=3$) to the first $2(g-1)$ components of (3), the classes of $\pi_*^{-1}(f_i)$ are in either

$$(1, 1, \dots, 1, 1, xy^{i_g}, y^{j_g}) \text{ or } (1, 1, \dots, 1, y, xy^{i_g}, y^{j_g}).$$

Moreover, considering that $f([\alpha_i, \beta_i] \dots [\alpha_g, \beta_g]) = 1$ and D_3 is not abelian, we can say that $\pi_*^{-1}(f_i)$ has only classes in the following forms.

$$(1, 1, \dots, 1, 1, x, 1) \cdots (4) \quad (1, 1, \dots, 1, 1, xy, 1) \cdots (5)$$

$$(1, 1, \dots, 1, 1, xy^2, 1) \cdots (6) \quad (1, 1, \dots, 1, 1, 1, y, x, 1) \cdots (7)$$

$$(1, 1, \dots, 1, 1, 1, y, xy, 1) \cdots (8) \quad (1, 1, \dots, 1, 1, 1, y, yx^2, 1) \cdots (9).$$

But (4), (5) and (6) are in the same class under some inner automorphisms. Similarly, (7), (8) and (9) are in one class.

So, we define the number ε to determine M as follows; $\varepsilon=0, 1, 2$ or 3 , if $F(D_2, M) \longrightarrow M^*$ corresponds to homomorphism (1), (2), (4) or (7) respectively.

Consequently we have

Lemma 7-1. *Let M have only principal orbits. Then $\{g, \varepsilon \in \{0, 1, 2, 3\}\}$ determine M up to equivalence. If $g=1$, then $\varepsilon \in \{0, 1, 2\}$ and if $g=0$, then $\varepsilon=0$.*

Now, suppose $M_{(D_4)} \neq \phi$, $M_{(A_4)} \cup M_{(O(2))} \cup M_{SO(3)} = \phi$, and put $(M_{(D_4)})^* = \{x_1^*, \dots, x_r^*\}$. By the Slice Theorem, for a suitable neighbourhood D_i^* of x_i^* , $F(D_2, p^{-1}(D_i^*))$ is equivalent to $O/D_2 \times_{D_4/D_2} D^2$. Then it is not difficult to see that equivariant attaching maps between $F(D_2, p^{-1}(\partial(M^* - \text{Int } D_i^*)))$ and $F(D_2, p^{-1}(\partial D_i^*))$ can be extended over $F(D_2, p^{-1}(D_i^*))$. Thus M is equivalent to

$$p^{-1}(M_1^*) \cup_{id} (\bigcup_{i=1}^r SO(3)/D_2 \times_{D_4/D_2} D^2)$$

where $M_1^* = M^* - \text{Int } \bigcup_{i=1}^r D_i^*$. Here, O is isomorphic to S_4 and D_2 to $V_4 \subset A_4$. (V_4 is defined in p. 3.) Thus $O/D_2 \cong S_4/V_4 \cong S_3$. Moreover, $D_3 = \{x, y; x^2 = (xy)^2 = y^3 = 1\}$ is isomorphic to S_3 by corresponding x to (12) and y to (123) (where (12) and (123) are cycles in S_3). We remark, under this isomorphism, D_4/D_2 is identified with $Z_2 = \{1, (13)\}$. Then we can see that the principal $O/D_2 \cong D_3$ -bundle $F(D_2, p^{-1}(\partial D_i^*)) = O/D_2 \times_{D_4/D_2} S^1 \longrightarrow S^1 = \partial D_i^*$ corresponds to (13), i. e. $xy \in \text{Hom}(\pi_1(S^1); D_3)$. Thus r must be even.

Next, suppose $M_{(A_4)} \neq \phi$, $M_{(D_4)} \cup M_{(O(2))} \cup M_{SO(3)} = \phi$, and put $(M_{(A_4)})^* = \{y_1^*, \dots, y_m^*\}$. Then, $F(D_2, p^{-1}(D_i^*))$ is equivalent to $O/D_2 \times_{A_4/D_2} D^2$ for a suitable neighbourhood D_i^* of y_i^* . And we have two types as $F(D_2, p^{-1}(\partial D_i^*))$, which arise from two different $A_4/D_2 \cong Z_3$ -actions on the slice D^2 at y_i , (1) and (2).

$$(1) \quad \xi_1(r, \theta) = (r, \theta + (2/3)\pi), \quad (2) \quad \xi_2(r, \theta) = (r, \theta + (4/3)\pi)$$

where (r, θ) is the polar coordinate of D^2 . By the same reason as the case of $M_{(D_4)} \neq \phi$, it is seen M is equivalent to

$$p^{-1}(M_1^*) \cup_{id} (\bigcup_{i=1}^{d_1+d_2} p^{-1}(D_i^*)).$$

Here d_1 is the number of points in $(M_{(A_4)})^*$ so that the D_3 -bundle $F(D_2, p^{-1}(\partial D_i^*)) \longrightarrow \partial D_i^*$ corresponds to type (1), and d_2 to type (2). And the bundle of type (1) corresponds to $y \in \text{Hom}(\pi_1(S^1); D_3)$, and the bundle of type (2) to y^2 . ($A_4/V_4 \subset S_4/V_4 \cong S_3$ and $A_4/V_4 = \{1, (123), (132)\}$ where (123) corresponds to y .)

Suppose $M_{(O(2))} \neq \phi$, $M_{(A_4)} \cup M_{(D_4)} \cup M_{SO(3)} = \phi$. We denote each

connected component of $(M_{(O(2))})^*$ by B_i^* ($i=1, \dots, f$), which is a boundary component of M^* . Applying the argument in §6-1 to this case, the orbit of the action of $N(D_2)/D_2 \times N(O(2))/O(2) = O/D_2$ on $F(D_2, SO(3)/O(2))$ is exactly one. So, there exists only the canonical projection π as the equivariant map of $SO(3)/D_2$ to $SO(3)/O(2)$. Therefore M is equivalent to $p^{-1}(M_1^*) \cup (\bigcup_{\phi_i}^f M_x \times_s Q_i)$ where $S = (N(O(2)) \cap N(D_2))/D_2 = D_4/D_2$, and Q_i is a principal S -bundle over B_i^* , and $M_1^* = M^* - \bigcup_{i=1}^f ([0, 1) \times B_i^*)$. And by investigating $SO(3)$ -equivariant attaching maps $\{\phi_i\}$ of $p^{-1}(\partial M_1^*)$ to $\bigcup_{i=1}^f SO(3)/D_2 \times_s Q_i$, we can see M is equivalent to

$$p^{-1}(M_1^*) \cup_{id} (\bigcup_{i=1}^f M_x \times_s Q_i)$$

(because the attaching map ϕ_i can be extended over $M_x \times_s Q_i$). Here, D_3 -bundle $F(D_2, p^{-1}(\{1\} \times B_i^*)) \longrightarrow \{1\} \times B_i^* = S^1$ corresponds to $xy \in \text{Hom}(\pi_1(S^1); D_3)$ if Q_i is a non-trivial $S \cong Z_2$ -bundle.

Finally, we shall consider the case of $M_{SO(3)} \neq \phi$, $M_{(A)} \cup M_{(D_4)} = \phi$. The results we mention here are the extension of the work of K. A. Hudson [2] (where she treats the case of the orbit space being simply connected), and we make use of her idea.

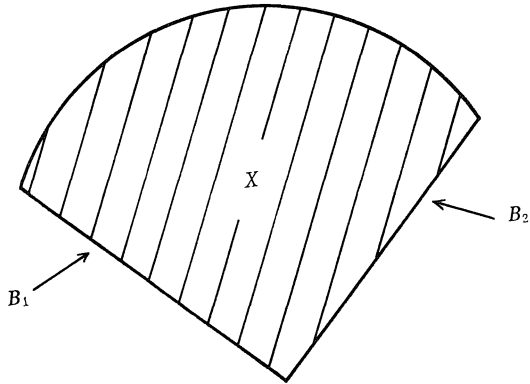
Let $x \in M$ with $G_x = SO(3)$. Then $SO(3)$ -action on the slice D^5 at x is given by the weight two representation (Bredon [1], p. 43). $O(2)$ has three different conjugate groups N_0, N_1, N_2 where $N_0 = O(2)$, $N_1 = A^{-1}N_0A$, $N_2 = A^{-1}N_1A$, for A in §6-1. Using this notation, $D^5/SO(3)$ can be illustrated below (Fig. 7-1), and B_i ($i=1, 2$) is in the boundary of M^* . Thus the neighbourhood in M^* of a boundary component having two orbit types, $(SO(3)/O(2))$ and fixed points can be illustrated as Fig. 7-2. According to Richardson ([8], 5-2), $p^{-1}(S_i)$ is homeomorphic to $S^4 = \partial D^5$. And it is clear that there is no boundary component with exactly one fixed point (by reason of $j_i \neq j_{i+1}$).

Now, we put

$$A = S_1 \cup \dots \cup S_n \cup L_1 \cup L_2 \cup \dots \cup L_n$$

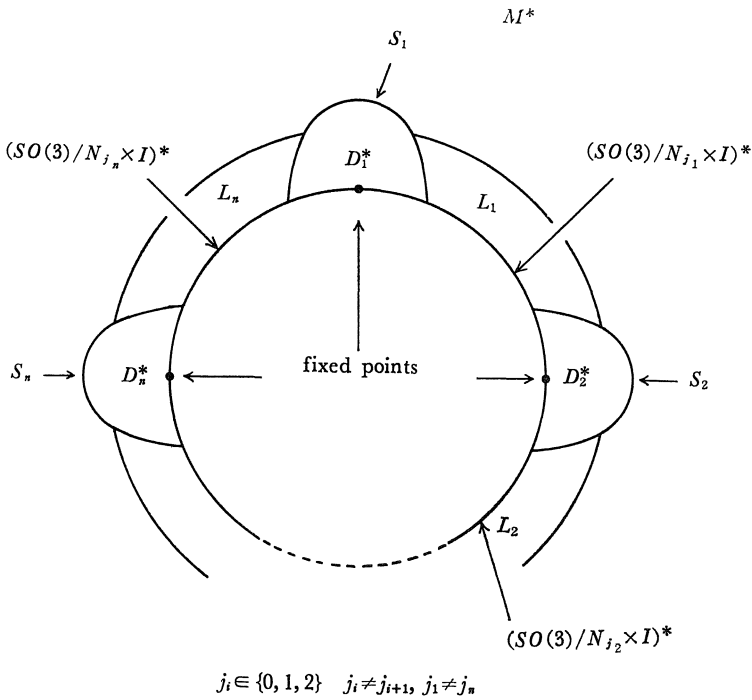
$$N^* = M^* - (A \cup \bigcup_{i=1}^n D_i^*) \quad (\text{see Fig. 7-2}).$$

Fig. 7-1



$$\begin{aligned}
 G_x &= N_i && \text{if } x^* \in B_1 \\
 G_x &= N_j && \text{if } x^* \in B_2 \quad (i, j \in \{0, 1, 2\} \text{ and } i \neq j) \\
 G_x &= SO(3) && \text{if } x^* \in B_1 \cap B_2 \\
 G_x &= D_2 && \text{if } x^* \in X - (B_1 \cup B_2)
 \end{aligned}$$

Fig. 7-2



Then, principal D_3 -bundle $F(D_2, p^{-1}(B)) \longrightarrow B = A \cap N^*$ is a trivial D_3 -bundle or a bundle corresponding to $xy \in \text{Hom}(\pi_1(S^1); D_3)$. For, this bundle is equivalent to $I \times D_3 / \sim$, with $\{0\} \times D_3$ identified with $\{1\} \times D_3$ by a D_3 -equivariant map ϕ which induces an equivariant map of $M_{\pi_{j_n}}(\pi_{j_n}; SO(3)/D_2 \longrightarrow SO(3)/N_{j_n}$ projection). Since we can assume $N_{j_n} = N_0$, ϕ must be

$$\phi(gD_2) = gaD_2, \quad a \in (N(D_2) \cap O(2))/D_2 = D_4/D_2 = \{1, xy\}$$

In both cases, the equivariant attaching map of $p^{-1}(B)$ over B is only identity map (up to equivalence).

We denote the above B by B_i ($i=1, \dots, f$) for each component. Here B_i means $\{1\} \times B_i^*$ in §6 when there is no fixed point on this boundary component. And we define $\delta(i) = 0$ if $p^{-1}(B_i) \longrightarrow B_i$ is a trivial bundle, and $\delta(i) = 1$ if it corresponds to $xy \in \text{Hom}(\pi_1(B_i); D_3)$. (B_i is a component of $\{1\} \times \partial M^*$ for the collar $I \times \partial M^*$ of $M^* = \{0\} \times \partial M^*$.)

For the open neighbourhoods $\bigcup_{i=1}^r \text{Int} D_i^*$, $\bigcup_{j=1}^{d_1+d_2} \text{Int} D_j^*$, $\bigcup_{k=1}^f [0, 1) \times B_k^*$ in M^* of $(M_{(D_4)})^*$, $(M_{(A_4)})^*$ and $(M_{SO(3)})^* \cup (M_{(O(2))})^* = \bigcup_{k=1}^f B_k^*$, let $(M')^*$ be a subspace which is given by removing these open neighbourhoods from M^* . Then we put $\varepsilon = 4, 5, 6$ or 7 if $\varphi(\alpha_1, \beta_1, \alpha_2, \beta_2, \dots, \alpha_g, \beta_g) = (1, 1, \dots, 1, x, y)$, $(1, 1, \dots, 1, 1, y, x, y)$, $(1, 1, \dots, 1, x, y^2)$ or $(1, 1, \dots, 1, y, x, y^2)$ for $\varphi \in \text{Hom}(\pi_1((M')^*); D_3)$. Given $r, (d_1, d_2), \delta(1) + \dots + \delta(f)$, we can see each equivalence class of homomorphisms of $\pi_1((M')^*)$ to D_3 , is represented by one of the above four types. That is, $\{r, (d_1, d_2), \delta(1) + \dots + \delta(f), \varepsilon\}$ determine $M_{(D_2)}$ up to equivalence. Moreover, $\delta(1) + \dots + \delta(f) + r$ must be even because of

$$\frac{(xy) \dots (xy)}{r + \delta(1) + \dots + \delta(f)} \cdot y^{d_1+2d_2} = \varphi([\alpha_1, \beta_1] \dots [\alpha_g, \beta_g]) = y^k \quad (k=0, 1, 2).$$

Let B_i^* be a boundary component with n fixed points $\{p_1, \dots, p_n\}$ ($n \neq 1$) which are arranged in this order. And let $C_{k(i)}$ be the closed arc on B_i^* joining p_k and p_{k+1} . (if $k=n$, then $p_{n+1} = 1$) As Fig. 7-2, $C_{k(i)}$ is the orbit space of $SO(3)/N_{j_{k(i)}} \times I / \sim$ given by collapsing $SO(3)/N_{j_{k(i)}} = (\{0\} \cup \{1\})$ to $SO(3)/SO(3) \times (\{0\} \cup \{1\})$.

Here, $N_{j_{k(i)}}$ is conjugate to $O(2)$, and $j_{k(i)}$ values in $\{0, 1, 2\}$. Then, by corresponding each $C_{k(i)}$ to $j_{k(i)}$, B_i^* gives an ordered n -tuple $(j_{1(i)}, \dots, j_{n(i)})$ such that $j_{k(i)} \neq j_{k+1(i)}$, $j_{1(i)} \neq j_{n(i)}$ (because $SO(3)$ acts on the slice at each fixed point by the weight two representation).

Then we obtain the following theorem.

Theorem 7. *Let M be a closed, connected, oriented, smooth 5-dim. manifold with smooth $SO(3)$ -action, and its principal isotropy group D_2 , then the following orbit invariants determine M up to equivariant homeomorphism (which preserves the orientation of M^*)*

$$\{g, \varepsilon, r, (d_1, d_2), (\delta(i); (j_{1(i)}, \dots, j_{n(i)})), i=1, \dots, f\}$$

such that

- (i) $\varepsilon \in \{0, 1, 2, 3, 4, 5, 6, 7\}$,
- (ii) $\delta(i) \in \{0, 1\}$,
- (iii) $j_{k(i)} \in \{0, 1, 2\}$, $n \neq 1$,
- (iv) $\delta(1) + \delta(2) + \dots + \delta(f) + r$ is even,
- (v) $\varepsilon = 0$ if $g = 0, d_1 + 2d_2 \equiv 0 \pmod{3}$
 $\varepsilon \in \{0, 1, 2\}$ if $g = 1, d_1 + 2d_2 \equiv 0 \pmod{3}$
 $\varepsilon \in \{0, 1, 2, 3\}$ if $g \geq 2, d_1 + 2d_2 \equiv 0 \pmod{3}$
 $\varepsilon = 4$ if $g = 1, d_1 + 2d_2 \equiv 1 \pmod{3}$
 $\varepsilon \in \{4, 5\}$ if $g \geq 2, d_1 + 2d_2 \equiv 1 \pmod{3}$
 $\varepsilon = 6$ if $g = 1, d_1 + 2d_2 \equiv 2 \pmod{3}$
 $\varepsilon \in \{6, 7\}$ if $g \geq 2, d_1 + 2d_2 \equiv 2 \pmod{3}$

($g = 0, d_1 + 2d_2 \equiv 1$, or $g = 0, d_1 + 2d_2 \equiv 2$ do not appear).

Proof. First, if $d_1 + 2d_2 \equiv 0 \pmod{3}$. Then the classification of $p^{-1}((M')^*) \rightarrow (M')^*$ is reduced to Lemma 7-1, i. e. $\varepsilon \in \{0, 1, 2\}$ and g determine $p^{-1}((M')^*)$.

Next, if $d_1 + 2d_2 \equiv 1 \pmod{3}$, then we have to compute the equivalence classes of $\text{Hom}(\pi_1(M')^*; D_3)$ which satisfy the condition

$$\varphi([\alpha_1, \beta_1][\alpha_2, \beta_2] \dots [\alpha_g, \beta_g]) = y.$$

The similar argument to Lemma 7-1, concludes that the equivalence classes are $(1, 1, \dots, 1, 1, x, y)$ and $(1, 1, \dots, 1, 1, y, x, y)$.

Similarly, if $d_1 + 2d_2 \equiv 2 \pmod{3}$, then

$$\varphi([\alpha_1, \beta_1][\alpha_2, \beta_2] \dots [\alpha_g, \beta_g]) = y^2,$$

and the classes are only $(1, 1, \dots, 1, x, y^2)$ and $(1, 1, \dots, 1, y, x, y^2)$.

Thus ε satisfying the condition (v) determines $p^{-1}((M')^*)$ up to equivalence. Since $M_{(d_i)}$ determine M if $r, (d_1, d_2), (\partial(i); (j_{1(i)}, \dots, j_{n(i)}))$ are given, these invariants and g, ε classify M up to equivalence.

q. e. d.

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